



## **LGMSD 2022/23**

Zombo District

(Vote Code: 587)

<b>Assessment</b>	<b>Scores</b>
Crosscutting Minimum Conditions	75%
Education Minimum Conditions	85%
Health Minimum Conditions	50%
Water & Environment Minimum Conditions	100%
Micro-scale Irrigation Minimum Conditions	30%
Crosscutting Performance Measures	86%
Educational Performance Measures	81%
Health Performance Measures	57%
Water & Environment Performance Measures	85%
Micro-scale Irrigation Performance Measures	73%

**Crosscutting  
Performance  
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s):</li> <li>If so: Score 4 or else 0</li> </ul>	<p>From page 65 of the Annual Budget Performance Report. The DDEG Fund was utilized on only one project which was maintenance of Lorr Centre to Alango Via Ngelle which project comprised of timber decking. It was reported that the project achieved 100% completion of the project. The planned road length was 8kms and the achieved road length was 8kms which resonates to 100%. A visit to the road by the assessment team revealed that it was functional and being utilized by the community and thus serving the intended purpose.</p>	4
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> <li>By more than 5%, score 3</li> <li>1 to 5% increase, score 2</li> <li>If no increase, score 0</li> </ul> <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>Based on the analysis of LLG PA 2023 Synthesis Report dated 20th October 2023 titled Comparing LLG Scores for 2022 &amp; 2023. The average performance score of the LLG in 2022 was 61% and 56% in 2023 which thus indicated a performance decline of 5%.</p>	0
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> <li>If 100% the projects were completed : Score 3</li> <li>If 80-99%: Score 2</li> <li>If below 80%: 0</li> </ul>	<p>From page 65 of the Annual Budget Performance report, the approved DDEG budget under vote 918 was UGX93,415,000 and the amount spent was UGX95,467,000 which resonates to more than 100%. A budget of URF was brought on board to support the completion of the works. The main project undertaken using DDEG grant included the construction and road maintenance of Lorr Centre to Alango Via Ngelle which project comprised of timber decking. A site visit to the site found it finished and operational. Therefore, there was only one planned DDEG investment (1/1X100% = 100%) which was achieved at 100%.</p>	3

3	Investment Performance  Maximum 4 points on this performance measure	a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:  Score 2 or else score 0.	From page 65 of the Annual Budget Performance report, the approved DDEG budget under vote 918 was UGX93,415,000. All the DDEG grant was budgeted and utilized for only one infrastructure investment which was the construction and road maintenance of Lorr Centre to Alango Via Ngelle with timber decking. The project is legible for funding under page 13 of the DDEG guidelines updated for 2023-2024 on Eligible Activities and Minimum Quality Standards for Districts on Table 7: Positive List/Investment Menu provided in District Engineering Services no. iii Community access roads maintenance.  Therefore, the LG budgeted and spent all the DDEG for the previous FY on eligible projects as per the DDEG grant, budget, and implementation guidelines.	2
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3	Investment Performance  Maximum 4 points on this performance measure	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates,  score 2 or else score 0	The variation between the contract price and the engineers estimate was within the recommended range of +/-20% as calculated below;  Contract price = UGX26,295,400  Engineers estimates = UGX27,000,000  Variation (UGX27,000,000 - UGX26,295,400) = 704,600  Percentage variation = UGX704,600/UGX27,000,000x100% = 2.6%	2
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**Performance Reporting and Performance Improvement**

4	Accuracy of reported information  Maximum 4 points on this Performance Measure	a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate,  score 2 or else score 0	The LG provided evidence through obtained staff structure and staff list at the LLGs.  1. Zombo Town Council had 27/27 staff 2. Zeu Sub County had 7/11 staff 3. Kango Sub County had 9/11 staff  Therefore 43/ 49 x100 = 87.7 %	2
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4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> <li>• If 100 % in place: Score 2, else score 0.</li> </ul> <p><b>Note: if there are no reports produced to review: Score 0</b></p>	<p>A visit to the road discovered that the road is actually Lorr Centre to Alango Via Ngelle is in place and the timber decking on the road was conducted. The road was being used by motorists and other users accordingly. The District had only one DDEG project.</p>	2															
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs score 4 or else 0</p> <p><b>NB: The Source is the OPAMS Data Generated by OPM.</b></p>	<p>The scores obtained from the four LLGs in the District Internal assessment and from the LLG IVA outside the performance range of +/- 10 which implied that the assessment was not credible. The comparative analyzed data was as presented below;</p> <table border="1" data-bbox="794 797 1098 1055"> <thead> <tr> <th></th> <th colspan="2">DLG IVA</th> </tr> </thead> <tbody> <tr> <td>Zeus S/C</td> <td>68</td> <td>58</td> </tr> <tr> <td>Zombo T/C</td> <td>78</td> <td>66</td> </tr> <tr> <td>Atyak S/C</td> <td>61</td> <td>62</td> </tr> <tr> <td>Akaa S/C</td> <td>38</td> <td>51</td> </tr> </tbody> </table>		DLG IVA		Zeus S/C	68	58	Zombo T/C	78	66	Atyak S/C	61	62	Akaa S/C	38	51	0
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5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	<p>The LG did not develop performance improvement plans for at least 30% of the lowest performing LLGs current F/Y, based on previous assessment results.</p>	0															
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:</p> <p>Score 2 or else score 0</p>	<p>The LG did not provide evidence of implementation of PIP for the 30% lowest performing LLGs in the previous FY.</p>	0															

**Human Resource Management and Development**

6	<p>Budgeting for and actual recruitment and deployment of staff</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.</p> <p>Score 2 or else score 0</p>	<p>The LG consolidated and submitted staffing requirements for the coming FY to MoPS 28th September 2023 with a total wage bill of 16,141,290,936 UGX.</p>	2
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p> <p>Score 2 or else score 0</p>	<p>The LG conducted tracking and analysis of staff attendance for the previous FY with a copy to CAO, for example for the month of June to October 2023. For instance: in June 2023 analysis showed:</p> <ol style="list-style-type: none"> <li>1. Ayungu Esther Nursing Assistant who attended at 100%.</li> <li>2. Okumu Dinis Enrolled Nurse at Atenda Health Center attended at 100%.</li> </ol> <p>However the following had 0% attendance during the month of June 2023:</p> <ol style="list-style-type: none"> <li>1. Jayer Shepard Health Headquarters, Ouchi Alex Driver District Headquarters, Oyirwoth Albert SAS Jangokoro Sub County.</li> </ol>	2
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p>	<p>The LG had 9 HODs per the customized and approved staff structure. Some HODs were appraised while others were not as indicated below;</p> <p>DEO was appraised on 14th July 2022, DHO was appraised on 2nd July 2023, DCDO was appraised on 30th June 2023, and DNRO was appraised on 5th July 2023.</p> <p>There was no evidence availed for the appraisal of the following HoDs; District Engineer, CFO, among others.</p>	0

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to “a” above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>The following officers were invited for a disciplinary hearing and reprimanded as follows: Education Assistant Mr Onyuthi Tartizo was invited for a hearing pertaining to misappropriation of public funds where the committee recommended that he be submitted to DSC for disciplinary action. The District Service Commission warned Mr Onyuthi Tartizo for the last time and recommended for recovery of funds from his salary for 19 months under DSC minute: DSC/ZB/02/11/2022.</p> <p>Mungu Jakisa Education Assistant was invited for a Disciplinary hearing with DSC vide letter dated 9th November 2022 for unrepentant behavior and Alcohol abuse that had soiled the image of public service. His case was still pending.</p>	1
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>The LG established a Consultative Committee vide letter dated 5th August 2021 functional for 3yrs. The Committee was comprised of the Deputy CAO as chairperson, the DCDO Secretary, and five members. The committee had meetings dated 27th October 2022 and 7th Dec ember 2022 to review the cases reported.</p>	1

Payroll management

Maximum 1 point on this Performance Measure or else score 0

a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:

Score 1.

The LG had recruited a total of 8 Education Assistants and 4 Education Officers, 2 Enrolled Midwifery Officers, a Planner, and a Medical Records Officer. during the previous FY. All Staff accessed the salary payroll not later than 2 months after the appointment:

1. Aciro Esther Omara assumed duty on 7th March 2023 and accessed payroll in April 2023.
2. Madima Edward assumed duty on 14th February 2023 and accessed payroll in April 2023.
3. Ozua Karen assumed duty on 9th February 2023 and accessed payroll in March 2023.
4. Onegiu Robert assumed duty on 06/02/2023 and accessed payroll in February 2023.
5. Abeka Pascal assumed duty on 10/02/2023 and accessed payroll in March 2023.
6. Aromorac Stella assumed duty on 1st /March/2023 and accessed payroll in March 2023.
7. Mayokia Stella assumed duty on 13th /Feb/ 2023 and accessed payroll in March 2023.
8. Okethcwinyu Innocent assumed duty on 10th/2/2023 and accessed the payroll in March 2023.
9. Gomoshabe Osbert assumed duty on 10th/2/2023 and accessed payroll in March 2023.
10. Bashemera Barnabas Education Officer assumed duty on 10th/2/2023 and accessed payroll in March 2023.
11. Amolo Jenifer assistant education officer assumed duty on 13th/2/2023 and accessed payroll in April 2023.
12. Abalo Brenda Stenographer assumed duty on 27th/3/2023 and accessed payroll in April 2023.
13. Negowon Nelly Enrolled Midwife assumed duty on 5th/9/2023 and accessed payroll in October 2023.
14. Awekonimungu Brenda Enrolled Midwife assumed duty on 1st September 2023 and accessed payroll in October 2023.
15. Ogwang Louis Planner assumed duty on 31st May 2023 and accessed payroll on June 2023.
16. Cekecon Ronald Medical Records Officer assumed duty on 31st May 2023 and accessed payroll in June 2023.

Pension Payroll management

Maximum 1 point on this Performance Measure or else score 0

a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:

Score 1.

The LG retired 18 staff in the previous FY and accessed the pension payroll as follows:

1. Binega Godfrey Senior Education Assistant. Retired on 12th May 2022 and accessed pension payroll in Jan 2023.

2. Manano Richard, Head teacher retired on 18th June 2023 and accessed pension payroll in July 2023.

3. Mary Canvaa Nursing Assistant, retired on 1st Aug 2023 and accessed the pension payroll in Sep 2023.

4. Emmanuel Jacwichonge, Medical Records Assistant retired on 01st Dec 2022 and accessed pension payroll in Jan 2023.

5. Olubrwoth Amatho John, Head Teacher retired on 18th/12/ 2022 and accessed pension payroll in January 2023.

6. Jalmeo Thomas, Education Assistant retired on 19th/8/2022 and accessed pension payroll in September 2022

7. Adwogican Gilbert Head Teacher retired on 28th/12/2022 and accessed pension payroll in January 2023.

8. Opakrwoth Odongo Joel Senior Clinical Officer retired on 1st/12/2022 and accessed pension payroll in January 2023.

9. Obovi Kefa Keuto Education Assistant retired on 17th/4/2023 and accessed pension payroll in May 2023.

10. Unega Grace Education Assistant retired on 3rd/3/2023 and accessed pension payroll in April 2023.

11. Ukethwengu Ijino Senior Education Assistant retired on 17th/5/2023 and accessed pension payroll in June 2023.

12. Amathu O A John Senior Education Assistant retired on 1st/1/2023 and accessed pension payroll in March 2023.

13. Cwinyaai Gilbert Education Assistant, retired on 1st/9/2022 accessed pension payroll in October 2022.

14. Gencan Charles Education Assistant retired on 1st/9/2022 and accessed pension payroll in October 2022.

15. Ongei Alfred Senior Education Assistant retired on 1st/9/2022 and accessed pension payroll in October 2022.

16. Ludgero Alikor Head Teacher retired on 1st/9/2022 and accessed pension payroll in October 2022.

17. Ulonu Uokuru Sammy Enrolled Nurse retired on 1st/8/2022 and accessed pension payroll in September 2022.



18. Ongiera Sam Ajoga ADHO (EH) retired on 1st10/ 2022 and accessed pension payroll in November 2022

**Management, Monitoring and Supervision of Services.**

10

2

N23\_Effective Planning, Budgeting and Transfer of Funds for Service Delivery

Maximum 6 points on this Performance Measure

a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY: Score 2 or else score 0

The direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in the previous FY. The LG Present DDEG transfer request letters and payment vouchers to evidence transfer to the LLGs. The requests and transfers started to emerge in the second quarter as the releases came through. Quarter two requests for transfers were done on 10th October 2022, Quarter three requests for transfers were prepared on 2nd February 2023, and quarter 4 balances on 8th May 2023. After all the submissions and transfers, the LG obtained 100% of budget transfers. The transfers to the LLGs for the 3 tranches were as follows;

Sub counites

1. Abanga = UGX20,357,824
2. Akaa = UGX17,222,529
3. Alangi = UGX23,493,119
4. Athuma = UGX13,089,640
5. Atyak = UGX24,348,118
6. Jangokoro = UGX15,512,368
7. Kango = UGX16,866,246
8. Nyapea = UGX23,493,119
9. Paida = UGX18,291,380
10. Warr = UGX12,875,870
11. Zeu = UGX23,564,376

Town councils

1. Paida = UGX42,856,974
2. Zombo = UGX18,757,810
3. Padea = UGX4,003,220
4. Warr = UGX4,003,220

10

N23\_Effective Planning, Budgeting and Transfer of Funds for Service Delivery

Maximum 6 points on this Performance Measure

b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).

Score: 2 or else score 0

The LG conducted timely warranting and verification of all the development grants including the direct DDEG transfers to LLGs for the last FY. The cash limits started to emerge in the second quarter of the year. For the receipts, the CFO notified the CAO upon receipt and warranted immediately thus communicating the warrants codes back to the CAO.

The warrant codes for different quarters are;

- 1. Quarter two; Warrant Code 935AW-2023-11
- 2. Quarter three; Warrant Code935AW-2023-17
- 3. Quarter four; Warrant Code935AW-2023-26

The district received the cash limits were received by the LG as; Quarter 2 was received on 11th October 2022, Quarter 3 was received on 17th January 2023, and Quarter 4 was received on 12th April 2023. The warranting was conducted by the LG as evidenced by the provided warrant codes.

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N23\_Effective Planning, Budgeting and Transfer of Funds for Service Delivery

Maximum 6 points on this Performance Measure

c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:

Score 2 or else score 0

The invoices for the DDEG transfers for the DDEG grant for the Previous Financial year. For the development grants. The invoicing and communication were conducted at once as follows; The district received the cash limits as follows; Quarter 2 was received on 11th October 2022 and the same date. Acknowledgement of the receipt was publicized by the CAO on the District Headquarter noticeboard. Quarter 3 was received on 17th January 2023. Acknowledgement of the receipt was publicized by the CAO on the District Headquarter noticeboard. Quarter 4 was received on 12th April 2023. Acknowledgement of the receipt was publicized by the CAO on the District Headquarter noticeboard.

11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>From a review of quarterly support supervision and mentoring reports, it was found that for each quarter, the district supervised or mentored all LLGs in the District at least once per quarter consistent with guidelines;</p> <ol style="list-style-type: none"> <li>1. In quarter one, the LG government conducted support supervision and mentoring of LLGs on monitoring of the planning cycle and tracking progress on implementation of activities. This was evidenced through a support supervision report prepared by the Planner Mr. Nyipir Humfrey on 30th August 2022.</li> <li>2. In quarter two, the LG government conducted support supervision and mentoring of LLGs on the priority scoring process for preparation of works plans and budgets for financial year 2022/2023. This was evidenced through a support supervision report prepared by the Planner Mr. Nyipir Humfrey on 27th November 2022.</li> <li>3. In quarter three, the LG government conducted support supervision and mentoring of LLGs on use of DDEG guidelines while implementing DDEG projects, in the same meeting the DDEG guidelines were distributed to 15 Lower Local Governments. This was evidenced through a support supervision report prepared by the Planner Mr. Nyipir Humfrey on 23rd March 2023.</li> <li>4. In quarter one, the LG government conducted monitoring of functionalities of subcommittee technical planning Committees. This was evidenced through a support supervision report prepared by the Planner Mr. Nyipir Humfrey on 28th June 2023.</li> </ol>
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>	<p>The support supervision and monitoring visits reports were discussed by the TPC and recommendations were put into actions, one of the findings from the supervision exercise was Mr. Chothembo Fred from Nyapea subcounty had embezzled amounts worth UGX36,500,000 as transferred to the subcounty general fund account for Nyapea. It was recommended that Mr. Fred gets interdicted and investigations should be done by police. The recommendation was taken into action and was evidenced through a letter of interdiction CR/D/157/3 issued by the CAO Mr. Batamyeto Jacob on 15th September 2022.</p>

## Investment Management

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p><b>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</b></p>	<p>The LG maintains an updated IFMIS assets register with the current assets recorded. Some of the assets recorded the most recent procured assets in the report include; Motorvehicles e.g Toyota Double Cabin Hillax Petrol Engine No. plate LG0067-38, Nisaan UAJ 864X for production department, Digital camera code: ZD/CAM/PDN/001, Yamaha Motorcycle UG1045Y for community based department.</p>	2
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>From a review of the board of survey report for financial year 2022/2023 code number CR/D/56/1, The district used the Board of Survey Report to make Assets Management Decisions. Some of the board of survey recommendations that were put into action include;</p> <ol style="list-style-type: none"> <li>1. Need for purchase of laptops which was reported to be done</li> <li>2. Need for repair of Armed chair for works department which was reported to be done</li> <li>3. Need for repair of a computer for the probation office which was reported to be done.</li> </ol>	1
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Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.

Evidence was adduced that the LG has a functional physical planning committee in place. The committee in place as appointed on the 25th April 2019. A review of the appointment letters for the physical planning committee found the following members;

1. Mr. Batamyeto Jacob The chief administrative officer (Chairperson)
2. Mr. Richard Pituha (Secretary)
3. Mr. Bediso Godfrey (Natural Resources officer)
4. Mr. Odota Edmond - Paidah TC Clerk.
5. Mr. Jallawure Wokinen - Zombo Town clerk
6. Mr. Uwor Martin - District Environments officer
7. Mr. Ocaki Samuel - DCDO
8. Mr. Aneni Patrick - District Agricultural Officer
9. Mr. Ngangeno Isaac - District Roads Officer
10. Mr. Obedgui Anthony - Paidah Physical planner
11. Mr. Obeda Nicholas - DEO
12. Mr. Bramali Mark - DHO
13. Mr. Jangeyanga Patrick - Pysical planner private practice.

The physical planning committee has also submitted four sets of minutes to the MoLHUD as follows;

Quarter one meeting was held on 16th August 2022 and minutes were submitted on 2nd September 2023, Quarter two meeting was held on 27th December 2022 and minutes were submitted on 10th March 2023, Quarter three meeting was held on 10th March 2023 and minutes were submitted on 24th April 2023, Quarter four meeting was held on 20th April 2023 and minutes were submitted on 5th May 2023. All the meetings obtain complete quorums

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d. For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p>	<p>The DDEG grant was used for the construction and road maintenance of Lorr Centre to Alango Via Ngelle with timber decking. The project was desk appraised on 20th May 2023 by the appraisal team that comprised of Mr. Nyipr Humfrey the senior planner, Mr. Ongiera Walter the SCDO, Mr. Owor Martin the environments officer, Mr. Otingcwinyu Joseph the assistant Engineering officer. From the appraisal report prepared on 20th May 2023, the project was recommended considering that the investment was derived from the LG Development Plan and eligible for expenditure under the DDEG guidelines.</p>	2
		<p>Score 2 or else score 0</p>		
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p>	<p>The DDEG grant was used for the construction and road maintenance of Lorr Centre to Alango Via Ngelle with timber decking. The project was field appraised on 24th January 2023 by the appraisal team that comprised of Mr. Nyipr Humfrey the senior planner, Mr. Ongiera Walter the SCDO, Mr. Owor Martin the environments officer, Mr. Otingcwinyu Joseph the assistant Engineering officer. From the field appraisal report prepared on 24th January 2023, the project was recommended considering the fact that the investment was found technically feasible, environmental and socially acceptable and fits the customized project designs which included timber decking.</p>	2
		<p>Score 2 or else score 0</p>		
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p>	<p>The LG government development project profiles for the current financial year investments and were submitted to the Technical Planning committee by the senior planner Mr. Nyipir Humfrey on 5th May 2023 through minute ZTPC/5/5/2023. These were evidenced in a document booklet titled "Annual project profiles for capital investments for the financial year 2023/2024" which was stamped by the senior district planner. Some of the investments included on page 3 the completion of the refurbishment of a maternity block at Thur-Uru HCIII at UGX69,965,731 under health department to be funded under the Sector Development Grant. On page 2 under the administration is an investment to procure laptops computers under DDEG at UGX3,000,000.</p>	1
		<p>Score 1 or else score 0.</p>		

12

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:

Score 2 or else score 0

Timber decking and construction of bridge at River Oraa. The Environmental and social Screening form was dated; 14th November, 2022 and the Social Screening form dated; 14th July, 2022

Timber decking and construction of bridge at Atunda stream, The Environmental and social Screening form dated was dated 14th November, 2022 and the Social Screening form dated; 14th July, 2022

Timber decking and construction of a bridge along Afuda stream, The Environmental and social Screening form was dated; 14th November, 2022 and the Social Screening form dated; 14th July, 2022

Periodic mechanized road maintenance from Lor Central via Ngele to Alangi. The Environmental and social Screening form was dated; 14th November, 2022 and the Social Screening form dated; 16th July, 2022

Timber decking and construction of bridge at River Oraa. The ESMP report dated; 14th November, 2022

Timber decking and construction of a bridge along Afuda stream the ESMP report dated; 14th November, 2022

Timber decking and construction of a bridge along Atunda stream, the ESMP report dated; 14th November, 2022

Periodic mechanized road maintenance from Lor Central via Ngele to Alangi, the ESMP report dated; 14th November, 2022

13

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan

Score 1 or else score 0

There was evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan. The projects earmarked for FY 2023/2024 among others included; the refurbishment of a maternity block at Thur-Uru HCIII at Ugx 69,965,731 under health department and procuring of laptops computers under DDEG at Ugx 3,000,000.

1

13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0	There was evidence of approval of all the infrastructure projects implemented in the previous FY using DDEG before commencement of construction:  The LG had only one project implemented using DDEG; the construction of a Timber decked bridge at Ora river in Zeu sub-county at Ugx 26,295,400. The project was approved by the Contracts Committee on 14th April, 2023 under minute ZCC/2/14/4/2023 and the contract was signed on 3rd/May/2023.	<b>1</b>
13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:  Score 1 or else 0	The Education sector during the past FY established a Project Implementation Team which comprised of;  1. The DE, Ngageno Isaac as project manager  2. The DEO; Nicholas Odeba as Contracts manager  2. The DCDO, Ongiera Walter  3. The Environment Officer; Owor Martin  4. The labor officer; Berocan Pride  5. The Clerk of Works; AEO Otingcwinyu Joseph	<b>1</b>
13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer:  Score 1 or else score 0	The Timber bridge construction using DDEG followed the standard technical designs provided by the DE; the width of 3.6 m with eucalypus timber logs of a foot in diameter.	<b>1</b>
13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0	There was evidence to prove that the LG had provided supervision by the relevant technical officers during the construction of the timber bridge prior to verification and certification of works in previous FY. There was supervision evidenced by reports dated; 12th May, 2023 and 16th June, 2023.	<b>2</b>
13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):  Score 1 or else score 0	The construction of the Timber decking bridge at River Oraa in Nyapea Village, Lorr Central, Zeu Sub- county prior to the payment of the contractor there was verification by the DE on 31st May, 2023, the Environmental certification was conducted as per the report dated 31st May, 2023 and the Social verification report was dated 16th June, 2023	<b>1</b>



13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law:  Score 1 or else 0	There was evidence of a complete procurement file for the project "The Timber bridge construction using DDEG. The file had the evaluation report dated 14th April 2023, the contract committee awarded the contract to WACOPO investments limited on 21st April 2023 and the contract worth UGX26,295,400 was signed on 3rd May 2023.	1
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### Environment and Social Safeguards

14	Grievance redress mechanism operational.  Maximum 5 points on this performance measure	a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.  Score: 2 or else score 0	The Senior CDO (Ocaki Samuel) was designated focal person and also the secretary of the GRC and the Principal assistant CAO was the chairman. The district has a committee comprising of nine (9) appointed members; Dr. Barmadi Mark Bonny the Ag. DHO, Ocola Samuel the PHRO, Nyipir Emmanuel Humphrey the Senior Planner, Odongo Odokoyot a SAS and Nicholas Odeba the DEO. The committee comprises of different Heads of Department.  The appointment was being renewed annually with the latest appointment dating 26th, July, 2023	2
14	Grievance redress mechanism operational.  Maximum 5 points on this performance measure	b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.  If so: Score 2 or else 0	The district has a log book, with sections of capturing grievances and status sections of if they were resolved.  The district has a grievance handling mechanism as guided by the Local government guidelines	2
14	Grievance redress mechanism operational.  Maximum 5 points on this performance measure	c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.  If so: Score 1 or else 0	The grievance redress mechanism was pinned at different notice boards within the district headquarter premises.  With the contacts of the CDO. The chart has five different steps namely; community grievance complaint, Chief administrative office, grievance redress committee, field visit-arbitration and report writing	1

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0</p>	<p>A review of the LDGP III on page 130 indicated that Environment, Social and Climate change interventions were integrated into LG Development Plan. Under the Natural resources on page 130 of the LGDP III included project like;</p> <ol style="list-style-type: none"> <li>1. Establishment of tree nursery beds for climate improvement</li> <li>2. Planting 8 acres of eucalyptus trees of woodlot</li> <li>3. 5 afforestation of bare hilltops</li> <li>4. 10 water shed management points formulation</li> </ol> <p>The investments stated were integrated for the purposes of preserving the environment and climate change conditions in Zombo district. From the approved annual workplan 2023/2024 on page 36 of 64 included an aspect of investment servicing costs of environmental and social safeguards screening at under EU DDEG, Local DDEG at a cost of UGX8,702,486.</p> <p>Therefore the environment social and climate change interventions were integrated into LG Development Plans, annual workplans and budgets complied with for the Current FY.</p>	<b>1</b>
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management</p> <p>score 1 or else 0</p>	<p>In quarter three, the LG government conducted support supervision and mentoring of LLGs on use of DDEG guidelines while implementing DDEG projects, in the same meeting the DDEG guidelines were distributed to 15 Lower Local Governments. This was evidenced through a support supervision report prepared by the Planner Mr. Nyipir Humfrey on 23rd March 2023. The report and the distribution list attached indicated that 15 LLGS received the updated DDEG guidelines for 2023/2024 financial year.</p>	<b>1</b>

15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	(For investments financed from the DDEG other than health, education, water, and irrigation):  c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:  score 3 or else score 0	Timber decking and construction of bridge at River Oraa. The ESMP report dated; 14th November, 2022  Timber decking and construction of a bridge along Afuda stream the ESMP report dated; 14th November, 2022  Timber decking and construction of a bridge along Atunda stream, the ESMP report dated; 14th November, 2022  Periodic mechanized road maintenance from Lor Central via Ngele to Alangi, the ESMP report dated; 14th November, 2022	3
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	d. Examples of projects with costing of the additional impact from climate change.  Score 3 or else score 0	The review of the LDGP III on page 130 indicated that Environment, Social and Climate change interventions have been integrated into LG Development Plan. Under the Natural resources on page 130 of the LGDP III included project like;  1. Establishment of tree nursery beds for climate improvement  2. 10 water shed management points formulation  The investments stated were integrated for the purposes of preserving the environment and climate change conditions in Zombo district.	3
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:  Score 1 or else score 0	Periodic mechanized road maintenance from Lor Central via Ngele to Alangi  This was periodic maintenance and no major expansion works were required so only a few people whose properties were likely to be affected met and gave consent for the project to continue. The meeting was held on 14th June, 2023 in Angoro and 70 people appended their signatures  The other projects for construction of bridges, along the streams and the river no one has rights over them.	1

15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports:  Score 1 or else score 0	<p>Timber decking and construction of bridge at River Oraa in Nyapea Village, Lorr Central, Zeu Subcounty</p> <p>Environmental Monitoring dates; 31st May, 2023// 30th March, 2023</p> <p>Social Monitoring dates; 16th June, 2023// 10th May, 2023// 11th March, 2023</p> <p>Timber decking and construction of bridge at Atunda stream, Atunda Village, Ndara Parish. The Environmental Monitoring dates; 31st May, 2023// 28th April, 2023</p> <p>Social Monitoring dates; 11th June, 2023// 10th May, 2023// 10th March, 2023</p> <p>Timber decking and construction of a bridge along Afuda stream in Afuda Village, Jangokoro subcounty</p> <p>Environmental Monitoring dates; 31st May, 2023// 28th April, 2023// 30th March, 2023</p> <p>Social Monitoring dates; 16th June, 2023// 10th May, 2023// 11th March, 2023</p> <p>Periodic mechanized road maintenance from Lor Central via Ngele to Alangi</p> <p>Environmental Monitoring dates; 31st May, 2023// 28th May, 2023// 31st March, 2023</p> <p>Social Monitoring dates; 14th June, 2023// 11th April, 2023// 13th March, 2023</p>	1
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects:  Score 1 or else score 0	<p>Timber decking and construction of bridge at River Oraa</p> <p>Timber decking and construction of bridge at Atunda stream</p> <p>Timber decking and construction of a bridge along Afuda stream</p> <p>Periodic mechanized road maintenance from Lor Central via Ngele to Alangi</p> <p>All the projects got their certification forms dated 14th June, 2023 endorsed by both the CDO and Environment Officer</p>	1

**Financial management**

16	<p>LG makes monthly Bank reconciliations</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment:</p> <p>Score 2 or else score 0</p>	<p>The DLG had conducted bank reconciliations as at 30th September 2023. The following accounts were reconciled and had balances as follows as evidenced during the assessment;</p> <ol style="list-style-type: none"> <li>1. Youth Livelihood Project Account number 6712100008 had an account balance UGX6,483,660</li> <li>2. UWEP Recovery Bank Account number 6712100027 had an account balance UGX1,519,034</li> <li>3. The General fund account had zero balance</li> </ol> <p>All the district accounts reviewed are Centenary rural development bank accounts.</p>	<b>2</b>
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>The LG availed all the four quarterly internal audits reports as Required. The audit reports were produced on different dates as follows;</p> <ol style="list-style-type: none"> <li>1. Quarter One internal audit report was produced on 14th November 2022</li> <li>2. Quarter Two internal audit report was produced on 9th March 2023</li> <li>3. Quarter Three internal audit report was produced on 16th June 2023</li> <li>4. Quarter Four internal audit report was produced on 15th August 2023</li> </ol>	<b>2</b>
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>The LG provided information to the Council Chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY. From a letter titled "status of implementation of internal audit findings for Financial 2022-2023" as received on behalf of the LG PAC by the speaker and the chairperson through official stamps on 25th January 2023. The letter was prepared by Mr. Ongom Sam the District Internal Auditor and submitted through the Chief Administrative officer.</p>	<b>1</b>

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>All the four quarterly Internal LG audit reports were presented to the LGPAC and the CAO for a review on 25th October 2023 and the PAG reviewed them on the same day and raised audit queries in a report dated 26th October, 2023. I</p> <p>The issues handled included though not limited to these were: i. Headteachers of having delayed salary payment, ii. Primary Schools named in reports whose UPE funds lacked accounting records to face PAC.</p>	<b>1</b>
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**Local Revenues**

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>From Page 23 of the final accounts of the Previous FY 2022/2023, The total budgeted local revenues including tax and Non tax revenue = UGX1,200,014,977 whereas the actual revenue collection was = UGX1,080,328,556 which converts to 90% revenue realization thus fitting in the required threshold of +/-10%.</p>	<b>2</b>
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19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> <li>• If more than 10 %: score 2.</li> <li>• If the increase is from 5% -10 %: score 1.</li> <li>• If the increase is less than 5 %: score 0.</li> </ul>	<p>There was no increase in OSR. From Page 23 of the final accounts of the Previous FY 2022/2023, the actual revenue collection was = UGX1,080,328,556.</p> <p>From Page 19 of the final accounts of the Previous FY but one 2021/2022, the actual revenue collection was = UGX1,169,289,072</p> <p>Therefore, UGX1,080,328,556 - UGX UGX1,169,289,072</p> <p style="text-align: right;">= -UGX88,960,516</p> <p>The district therefore registered a decline worth UGX88,960,516 in OSR due to unrealistic budgeting where the budgeted local revenue was way higher than the local revenue realization margin.</p>	<b>0</b>
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20	Local revenue administration, allocation, and transparency  Maximum 2 points on this performance measure.	a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0	From the approved remittance schedules and remittance letters, the Local Government remitted 65% of the local revenue to LLGs. For the case of town councils, the LG remitted 100% of the revenue collected as a mandatory requirement.  The total revenue collected from the Lower Governments for Financial year 2022/2023 = UGX 793,831,189. Therefore 65% of UGX 793,831,189 would be UGX515,990,273. However, from the payment vouchers that provided for the assessment, the computation for monthly remittances stretching from July 2022 to June 2023 the amount remitted to Lower Local Governments = UGX 518,695,516 which resonates to more than 65%. This was due to the fact that the LG remitted 100% of Local revenue to town councils which included Paida Town Council, Zombo Town Council, Padea Town Council, Warr Town Council.	2
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**Transparency and Accountability**

21	LG shares information with citizens  Maximum 6 points on this Performance Measure	a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0	There was evidence that the procurement plan and awarded contracts for projects in the FY 2022/2023 were published as shown below;  1. Construction of a 3-classroom block, an office and store at Nyang Primary School, the best evaluated bidder notice dated 22nd December 2022 with the best evaluated bidder as Link Investments Limited at a contract price of UGX 152,484,909 was displayed on the notice board at the time of assessment.  2. Construction of a 2-classroom block, an office and store at station NFE Primary School, the best evaluated bidder notice dated 22nd December 2022 with the best evaluated bidder as Leko GL Yesu Nuti Construction and Engineering works LIMITED at a contract price of UGX 104,225,034 was displayed on the notice board at the time of assessment.  3. Construction of a 5-stance VIP Latrine at Papoga Primary School, the best evaluated bidder notice dated 27th December 2022 with the best bidder as Wuna and Julia Enterprises at a contract price of UGX 25,008,920 was displayed on the notice board at the time of assessment.	2
21	LG shares information with citizens  Maximum 6 points on this Performance Measure	b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0	The LG performance assessment results and implications were published on the district headquarters noticeboard on 16th August 2023 by the senior planner Mr. Nyipir Humfrey.	2

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>The LG conducted discussions with the public to provide feedback on status of activity implementation. On 27th October 2022. The Local Government held a baraza and provided departmental feedbacks and plans to the public, the status of development partners were among the key issues discussed in the budget conference. This was evidenced from the district baraza report prepared on 27th October 2022 endorsed by the CAO Mr. Batemyeto Jacob.</p>	1
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>Information on tax rates, collection procedures and procedures for appeal was availed to the public through a notice board publication on 27th September 2023 on the financé department notice board by the chief finance officer Mr. Kalonzo Emmy.</p>	1
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>The LG prepared an IGG report which included a recurring case of alleged fraud and corruption by the Chief Finance Officer Mr. Mokili Frank. The IGG recommended for termination of services. In a District council meeting held on 31st May 2023 minute no.ZDLG/COU/2022/2023/04, it was indicted that the process of interdiction was underway and the staff was tentatively terminated off his services as investigations were under way.</p>	1



**Educational  
Performance  
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 4</li> <li>• Between 1 and 5% score 2</li> <li>• No improvement score 0</li> </ul>	<p>For the year 2022, the total number of candidates who sat excluding Division X was 2798</p> <p>Total passes = for division 1-3 (1766)</p> <p>Percentage was <math>1766/2798 * 100=63\%</math></p> <p>For the year 2020, the total number of candidates excluding Division X who sat was 2391</p> <p>Total passes= for division 1-3 (1669)</p> <p>The percentage pass was <math>1669/2391* 100 = 70\%</math></p> <p>Percentage change was <math>63\%-70\% = -7\%</math></p> <p>Hence percentage decreased by 7% for PLE.</p> <p>A score of zero was nevertheless maintained.</p>	<b>0</b>
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 3</li> <li>• Between 1 and 5% score 2</li> <li>• No improvement score 0</li> </ul>	<p>For UCE For the year 2022, the total number of candidates who sat excluding Division X was 688</p> <p>Total passes = 511</p> <p>The percentage was <math>688/511 * 100= 74\%</math></p> <p>For the year 2020, the total number of candidates excluding Division X who sat was 605</p> <p>Total passes were 502</p> <p>The percentage pass was <math>502/605 *100 = 83\%</math></p> <p>Percentage change was <math>74\% - 83\% = -9\%</math></p> <p>Hence percentage decrease by 9%</p>	<b>0</b>

2	<p>N23_Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> <li>• By more than 5%, score 2</li> <li>• Between 1 and 5%, score 1</li> <li>• No Improvement, score 0</li> </ul> <p>NB: If the previous average score was 95% and above, Score 2 for any increase.</p>	<p>The education LLG performance had declined by 17% between the previous year but one and the previous year. According to the comparison provided in the Analysis - LLG PA 2023 Synthesis Report dated 20th October 2023 as extracted from OPAMS indicated that the LG scored 70% in 2022 and 53% in 2023 and therefore improvement.</p>	0
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>The LG education department received UGX 1,887,524,000 as a development grant as per Approved Budget Estimate for FY 2022/2023 page 33 of 66.</p> <p>The funds were used as follows:</p> <p>a) Construction of a 3-classroom block Nyanga Primary School in Kango Sub-county at UGX 130, 500,000/- as indicated on the contract Payment vouchers.</p> <p>b) Construction of one classroom block of two classrooms with offices attached at Station Cope Akaa Sub-county at UGX 95,000,000.</p> <p>c) Construction of a block of 5 stance VIP latrines attached at Asina Primary School in Abanga Sub-county at UGX 25,926,960.</p>	2

Investment  
Performance: The LG  
has managed  
education projects as  
per guidelines

Maximum 8 points on  
this performance  
measure

b) If the DEO,  
Environment Officer and  
CDO certified works on  
Education construction  
projects implemented in  
the previous FY before  
the LG made payments  
to the contractors score 2  
or else score 0

The following certificates were availed to the  
assessor from the Development Grant  
projects:

a) Payment of Classroom construction of a 3-  
Classroom block Nyanga Primary school in  
Kango Subcounty at UGX 130, 500,000/= was certified by the DEO on 18th May. The DCDO and the District Environment Officer did sign.

b) Payment of Construction of one classroom  
block of two class with offices attached at  
Station Cope Akaa Subcounty at UGX  
95,000,000 was certified by the DEO on 10th  
May 2023. The DCDO and the District  
Environment Officer did sign on 10th May  
2023.

c) Payment of Construction of a block of 5  
stance VIP latrines attached at Asina Primary  
school in Abanga Sub county at UGX  
25,926,960 was certified by the DEO on 20th  
July 2023. The DCDO and the District  
Environment Officer did sign on 15th June  
2023 and 22 June 2023 respectively.

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

c) If the variations in the contract price are within +/-20% of the MoWT estimates score 2 or else score 0

The education sector undertook the following projects whose calculations for the variations between contracts price and engineers estimates are presented below;

1. Construction of two classrooms block, an office and store at Station NFE primary school in Akaa subcounty

contract price = UGX104,225,034

Engineers estimate = UGX114,820,711

The percentage variation (UGX114,820,711 - UGX104,225,034) = UGX10,595,677

Therefore  
 $UGX10,595,677/UGX114,820,711 \times 100\% = 9.23\%$

2. Construction of a three classrooms block, an office and store at Nyang primary school

contract price = UGX152,484,909

Engineers estimate = UGX161,126,747

The percentage variation (UGX161,126,747 - UGX152,484,909) = UGX8,641,837

Therefore  
 $UGX8,641,837/UGX161,126,747 \times 100\% = 5.36\%$

3. Construction of a 5-stance VIP latrine at Papoga Primary school

contract price = UGX25,008,920

Engineers estimate = UGX25,673,500

The percentage variation (=UGX25,673,500 - UGX25,008,920) = UGX664,580

Therefore  
 $UGX664,580/UGX25,673,500 \times 100\% = 2.6\%$

For the 3 sampled projects, the variations were within the acceptable of +/-20%.

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY

- If 100% score 2
- Between 80 - 99% score 1
- Below 80% score 0

There was no Seed Secondary School in the previous FY implemented by the local government.

4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> <li>• If 100%: score 3</li> <li>• If 80 - 99%: score 2</li> <li>• If 70 - 79% score: 1</li> <li>• Below 70% score 0</li> </ul>	<p>The staff ceiling for Zombo DLG was 1,306 per the IPFs from MoFPED. The actual staffs in positions were 936.</p> <p>Therefore, <math>936/1306 \times 100 = 72\%</math>. A score of 1 has been awarded.</p>	<b>1</b>
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4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> <li>• If above 70% and above score: 3</li> <li>• If between 60 - 69%, score: 2</li> <li>• If between 50 - 59%, score: 1</li> <li>• Below 50 score: 0</li> </ul>	<p>The LG had 92 UPE schools and 8 USE schools. One Technical Institute. According to the consolidated assets register, 100 schools in the LG met basic minimum standards. The district had basic requirements and minimum standards set out in the DES guidelines especially classrooms, desks and latrines.</p> <p>To calibrate the school, <math>100/100 \times 100 = 100\%</math></p>	<b>3</b>
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**Performance Reporting and Performance Improvement**

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> <li>• If the accuracy of information is 100% score 2</li> <li>• Else score: 0</li> </ul>	<p>The LG reported accurately on teachers and where they were deployed, in the schools. The staff lists provided by the DEO were compared with the lists at the visited schools (Zombo Lower Primary school, Guna Primary School and Pakadha Primary school). The following were found:</p> <p>a) At Zombo Lower Primary school the DEO list and that found at the school were similar in both number and names, that is 13 teachers.</p> <p>b) At Guna Primary School, the list from the DEO's office had 13 teachers, while those on the ground were the same number and with similar names.</p> <p>c) Similarly, at Pakadha Primary school both the DEO's list and that at the school had 15 teachers.</p> <p>The attendance books in the three schools visited confirmed the correctness of the DEO's deployment list.</p>	<b>2</b>
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5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p>	<p>The LG education department compiled an asset register for 2022/23 FY that accurately reported on infrastructure in all registered schools. For example:</p>	2
	<p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• If the accuracy of information is 100% score 2</li> <li>• Else score: 0</li> </ul>	<p>a) Zombo Lower Primary School was reported in the assets register to have 10 classrooms, 10 latrines, 147 desks. This information was found to be true on verification by the assessor.</p> <p>b) At Guna Primary School the DEO's asset register was in harmony with the information on the ground as they both indicated 8 classrooms, 15 latrines latrine, 140 desks.</p> <p>c) At Pakadha Primary School there were 09 classrooms, 14 latrine, 280 desks. This information was in agreement with what was on the ground.</p>	
6	<p>School compliance and performance improvement:</p>	<p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p>	<p>There was evidence that the LG ensured that all the 92 registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports for the calendar year 2022 (signed by the head teacher and chairperson of the SMC) to the DEO by January 30.</p>	4
	<p>Maximum 12 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• If 100% school submission to LG, score: 4</li> <li>• Between 80 - 99% score: 2</li> <li>• Below 80% score 0</li> </ul>	<p>The assessor sampled 3 of them to check the details of school performance, cash flow, annual budget, and asset register, as follows:</p> <p>a) Zombo Lower Primary School submitted on 20th December 2022.</p> <p>b) Guna Primary Schools submitted on 10th December 2022.</p> <p>c) Pakadha Primary School submitted on 28th December 2022.</p> <p>The reports from the sampled schools clearly include among others. i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register</p> <p>Percentage: <math>3/3 * 100 = 100\%</math></p>	

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> <li>• If 50% score: 4</li> <li>• Between 30- 49% score: 2</li> <li>• Below 30% score 0</li> </ul>	<p>There was evidence that UPE schools were supported to make SIPs. For instance,</p> <ol style="list-style-type: none"> <li>1. Pakadha Primary School submitted its SIP on 28th December 2022.</li> <li>2. Guna primary School submitted on 10th December 2022.</li> <li>3. Zombo Lower Primary School submitted on 20th December 2022.</li> </ol> <p>A field visit to the schools indicated that the above schools were supported. They have uniform templates to make SIPs. Based on previous recommendations as starting points, these are reviewed with the Inspectors and the DEO monitors.</p> <p>Hence percentage of schools sampled was <math>\frac{3}{3} \times 100 = 100\%</math>.</p>	4
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6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> <li>• If 100% score: 4:</li> <li>• Between 90 - 99% score 2</li> <li>• Below 90% score 0</li> </ul>	<p>The LG collected and compiled EMIS return forms for all registered schools for FY 2022/23 as follows;</p> <p>92 UPE schools with a total enrolment of 69,154 pupils while 8 USE schools with enrolment of 3,765 and 1 Technical Institute Polytechnic were with enrolment of 145 students.</p> <p>To calculate compliance;  <math>\frac{100}{100} \times 100 = 100\%</math></p> <p>It was submitted on 15th April 2023.</p>	4
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**Human Resource Management and Development**

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>The LG budgeted for a head teacher and a minimum of 7 teachers per school for the current FY year at UGX 1,292,000,000 as per the approved budget estimates for FY 2023/2024 page 64 of 130 .</p>	4
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7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p> <p>Score 3 else score: 0</p>	<p>The LG had deployed teachers as per sector guidelines in the current FY 2023-2024. According to staff lists sampled, teachers were deployed as follows;</p> <ol style="list-style-type: none"> <li>1. Zombo Lower Primary School had 12 teachers and a headteacher. Teachers as indicated in the staff list were deployed e.g. Feti William Moses, Olegmungu Lino and Fuambe Irene</li> <li>2. Guna Primary School had 12 teachers and a headteacher. Teachers as indicated in the staff list were deployed e.g. Eyotaro Lillian, Openjmudgu Richard and Jakoma William</li> <li>3. Pakadha Primary School had 14 teachers and a headteacher. Teachers as indicated in the staff list were deployed e.g. Njamita Zulaika, Candiga Abdu and Canmwa Francis.</li> </ol>	<b>3</b>
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7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,</p> <p>score: 1 else, score: 0</p>	<p>At the district, the deployment list was displayed on the notice board of the department.</p> <p>Lists of deployment were displayed in headteachers' offices that were sampled and visited.</p> <ol style="list-style-type: none"> <li>a) Pakadha Primary School had 14 teachers and a headteacher.</li> <li>b) Zombo Lower Primary School had 12 teachers and a headteacher.</li> <li>c) Guna Primary School had 12 teachers and a headteacher.</li> </ol> <p>The details displayed included; name, date of birth, qualifications, and tittle among others.</p>	<b>1</b>
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Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copy to DEO/MEO

Score: 2 or else, score: 0

The primary school head teachers had been appraised for calendar year for 2022 and the following were sampled:

1. Oroma Beatrice Head Teacher Ndrinyi Primary school was appraised by SAS Zeu Sub County on 12th January 2023.
2. Kumakech Alfred of Zombo Upper primary School was appraised on 27th February 2023 by Apenjonga Angala Rocks Paschal Town Clerk Zombo Town Council.
3. Susu Alex of Oturgang Primary school was appraised on 21st December 2022 by Town Clerk Odota Esmond Town Clerk Paidha Town Council.
4. Onytha Rosemary of Paidha Demonstration primary school was appraised on 16th/January 2023 by Odota Esmond Town Clerk Paidah T/C.
5. Ocorcyen Orach Gilbert of Owenjo primary school was appraised on 24th/ Feb /2023 by Oyirwoth Albert SAS Jangokoro Sub County.
6. Nyabongo Gasper of Alala p/s was appraised on 24th Feb 2023 by Oyirwoth Albert SAS Jangokoro Sub County.
7. Anwangkane Patrick Ouchi of Ngathe p/s was appraised on 25th / Feb/ 2023 by Odota Esmond Town Clerk Paidah Town Council.
8. Bithum Jimmy of Paley Yugu p/s was appraised on 31st March 2023 by Chothembo Fred SAS Nyapea S/C.
9. Unega Innocent of Zombo Lower p/s was appraised on 3rd / Feb/ 2023 by Binega Kizito Town Clerk Zombo Town Council.
10. Canfua Jackline of Ajai p/s was appraised on 22nd Feb 2023 by Chothembo Fred SAS Nyapea S/C.

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM

Score: 2 or else, score: 0

The LG had 8 secondary schools and only 1 Head Teacher of Aluka secondary school in Paidha Sub County was appraised on 12th / 12 2022.

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p> <p>score: 2. Else, score: 0</p>	<p>The staff in the LG Education department were appraised as follows;</p> <ol style="list-style-type: none"> <li>1. Koi Alex Inspector of Schools was appraised by the DEO on 10th /Jul/ 2023.</li> <li>2. Onim Aziz Senior Inspector of Schools was appraised by DEO on the 30th /Oct/ 2023.</li> <li>3. Jalar Silvioo Education Officer (C&amp;G) was appraised by DEO on 24th/ Jul/2023.</li> <li>4. Opoka Leza Miriam -Senior Education Officer appraised 27th July 2023</li> <li>5. Opoti Ciriako- Sports Officer- appraised 9th July 2022.</li> </ol>	0
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>The LG education department prepared a training plan for previous FY 2022/2023 dated 24th July 2022.</p> <p>The Plan's key activities included:</p> <ol style="list-style-type: none"> <li>i. ICT proficiency for primary school head teachers</li> <li>ii. Counseling for teachers</li> <li>iii. Dissemination of school health policy</li> <li>iv. Digital Inspection</li> </ol>	2
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**Management, Monitoring and Supervision of Services.**

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p>	<p>Zombo DLG confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System for the previous FY 2022/2023. The letter was submitted on 15th April 2023. It indicated 92 UPE schools with a total enrolment of 69,154 pupils; 8 USE schools with enrolment of 3,765 and 1 Technical Institute Polytechnic with an enrolment of 145 students.</p> <p>To calculate compliance;  <math>101/101*100=100\%</math>.</p> <p>A score of 2 is thus awarded.</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p> <p>If 100% compliance, score:2 else, score: 0</p>	<p>The LG Education department made allocations to inspection and monitoring functions during the previous FY 2022-2023 of UGX 37,536,000/= .</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p> <p>If 100% compliance, score: 2 else score: 0</p>	<p>The LG conducted timely submitted warrants for school's capitation within 5 days for the last 3 quarters.</p> <p>The warrant codes for different quarters are;</p> <ol style="list-style-type: none"> <li>1. Quarter two; Warrant Code 935AW-2023-11</li> <li>2. Quarter three; Warrant Code935AW-2023-17</li> <li>3. Quarter four; Warrant Code935AW-2023-26</li> </ol> <p>The district received the cash limits as; Quarter 2 was received on 11th October 2022, Quarter 3 was received on 17th January 2023, and Quarter 4 was received on 12th April 2023. The warranting was conducted by the LG as evidenced by the provided warrant codes. For all the quarters, warranting was conducted on the same date as the cash limits were received.</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p> <p>If 100% compliance, score: 2 else, score: 0</p>	<p>Based on the warrants, the LG conducted timely submission for the school's capitation within 5 days for the last 3 quarters e.g. Quarter two; Warrant Code 935AW-2023-11, Quarter three; Warrant Code935AW-2023-17; and Quarter four; Warrant Code935AW-2023-26.</p> <p>From the sampled Primary Schools there was evidence that the LG has invoiced and the DEO/ MEO has communicated! publicized capitation releases to schools within three working days of release from MoFPED. The specific capitation releases for previous were as follows:</p> <ol style="list-style-type: none"> <li>1. Term I 2023 communicated on 1st February 2023.</li> <li>2. Term II 2023 was communicated on 22nd May 2023.</li> <li>3. Term III, 2023 communicated 28th August 2023.</li> </ol> <p>The DEO wrote to the COA requesting to have the money released to individual school accounts and then proceeded to publicize the details on the notice board and also upload the breakdown of capitation per school on the Headteacher WhatsApp platform.</p>	2
10	<p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <p>• If 100% compliance, score: 2, else score: 0</p>	<p>The department prepared an inspection plan for terms 1, 2 and 3 of 2022 as follows:</p> <ol style="list-style-type: none"> <li>a) 16th March 2023 with highlights of Inspection Tools, allocation of routes (Mapping) and logistics.</li> <li>b) 12th June 2023 highlighting the following areas e.g. Inspection planning, Facilitation, Duration, tools, reporting and evaluation</li> <li>c) A meeting held on 25th October 2022 chaired by the DEO – Odeba Nicholas, considered the Gaps on the previous inspection, discussion of Inspection findings, logistics and location of the Schools</li> </ol>	2

Routine oversight and monitoring

*Maximum 10 points on this performance measure*

b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:

- If 100% score: 2
- Between 80 - 99% score 1
- Below 80%: score 0

Based on the School E-Inspection activity plan and schedule UPE schools in the DLG were inspected and monitored as follows:

Term 1- from 16th March to 16th April 2023 indicated that 92 UPE schools, with no private primary schools inspected. Hence  $92/92*100=100\%$ .

Term 2 was from 12th June -12th July 2023 indicated that 92 UPE schools, with no private primary schools inspected. Hence  $92/92*100=100\%$ .

Term 3 was from 25th October to 26th November 2022, indicated that 92 UPE schools, with no private primary schools inspected. Hence  $92/92*100=100\%$ .

Therefore, the average percentage of compliance was  $(100+100+100)/3 = 100\%$ .

Routine oversight and monitoring

*Maximum 10 points on this performance measure*

c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,

Score: 2 or else, score: 0

There was evidence that inspection reports had been discussed and used to recommend corrective actions and that those actions had subsequently been followed up during the previous FY. For instance there were:

Departmental meetings are held as follows:

Term 1 2023 was held on 16th March 2023. Issues discussed included lack of preparedness of some schools on the first day of inspection, poor attendance by both learners and teachers in some schools, learning environment, School Management, and Headteacher's performance, etc.

Term 2 2023 held on 12th June 2023. Issues discussed include inadequate scheme of work, and lesson plans, indiscipline, lack of involvement of parents in schools activities, ICT gaps and data upload on EMIS.

Term 3, 2022, issues discussed included low morale of teachers affecting teaching and learning following industrial action of teachers, Absenteeism, rigidity of headteachers to develop SIPs, Re-entry of Teenage mothers, etc.

Information from the sample schools and visitors' books also affirmed the same especially by the staff from DEO's office.

At the schools visited inspection reports left behind by inspectors were seen. For example at

Zombo Lower Primary School inspection reports by different inspectors were seen such as: 30th October 2022; 26th June 2023 and 17th March 2023.

Pakadha Primary School inspection reports by different inspectors were seen such as: 18th November 2022; 11th July 2023 and 20th March 2023.

Guna Primary school inspection reports by different inspectors were seen such as: 16th March 2023; 7th August 2023 and Term II 2022 was missing.

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>Inspection reports were compiled and submitted to DES as follows;</p> <p>Based on Form 4: Acknowledgement Note from DES dated 2nd December 2022. The following documents submitted included:</p> <p>a) Inspection and Monitoring Annual workplan 2023/2024.</p> <p>b) Term 3 Inspection report term 2022.</p> <p>NB. The rest of report for term 1, and 2 of 2023 are on line.  <a href="https://education.iis.com.ug/">Htpps://education.iis.com.ug/</a></p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>There was evidence that the Council Social Services Committee meeting that was held on:</p> <p>a) 13th March 2023 discussed inspection and monitoring under  MIN. 05/ZDLG/SOC/2022/2023/03  Presentation and Discussion of Education Inspection Report.</p> <p>The meeting was attended by 12 people 8 Executive and 4 Ex Officios) .</p> <p>b) Minutes of Zombo District Council Meeting held on Thursday 30th March 2023 held at the district headquarters, community hall; Min 09/ZDLG/COU/2022/2023/01: Consideration of Education Inspection report. The recommendation included purchase of more motorcycles and support supervision be enforced, etc. 30 District Council members were in attendance and 26 Ex-Offocios.</p> <p>c) Minutes of Zombo District Council Meeting held on Thursday 31st May 2023 held at the district headquarters, community hall; Min 06/ZDLG/COU/2022/2023/04: Considering Establishment of the Seed School. The resolution was that all sub counties benefiting from seed schools should bring land agreement/consent letter for titling. 27 District Council members were in attendance and 36 Ex-Offocios.</p>	2

11	Mobilization of parents to attract learners  <i>Maximum 2 points on this performance measure</i>	Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,  <i>score: 2 or else score: 0</i>	The Education department carried out mobilization to attract learners and retain them during school AGMs. Overall five AGM meetings were organized in 10 schools, for example:  a) Agiermach Primary School held on 5th May 2023 under Min. 9: The DEO Zombo DLG.  b) Awusonzi primary school held on 29th March 2023 under Meeting notes.  c) Ngele Primary school held on 13th April 2013 Min. 7 Bullet 4.  d) Nyapea Girls Primary school Min 7: DEO Zombo DLG.	<b>2</b>
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### Investment Management

12	Planning and budgeting for investments  <i>Maximum 4 points on this performance measure</i>	a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i>	There was evidence that the LG has an assets register setting out facilities and equipment in schools for the previous year 2022.  This register was up to date as the information it carried corresponded with the information picked from the schools. For example, the register indicated that:  At Zombo Lower Primary School there were 10 classrooms, 10 latrine stances, and 147 desks.  Guna Primary School was reported in the assets register to have 9 classrooms, 18 latrines, 280. This information was found to be true on verification by the assessor.  At Pakadha Primary School the DEO's asset register was in harmony with the information on the ground as they both indicated 9 classrooms, 18 latrines, 280 desks.	<b>2</b>
12	Planning and budgeting for investments  <i>Maximum 4 points on this performance measure</i>	b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i>	From a review of appraisal reports prepared by the district planner on 20th May 2022, the prioritized investments under the planning process for financial year 2022/2023 were desk appraised to ascertain if they are derived from the LGDP III and are eligible for expenditure under sector guidelines. The investments included Construction of units of 5 stances VIP latrines at Asina P/S, Construction of Paidha Seed Secondary School, construction of Kango seed school, Renovation of 5 classrooms at Adusi Primary school.	<b>1</b>



12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0</p>	<p>From a review of field appraisal reports prepared by the district planner on 8th July 2022 at the start of the Financial Year, the prioritized investments were field appraised and were found technically feasible, environmental and socially and customized designs of the previous financial year. The investments included Construction of units of 5 stances VIP latrines at Asina P/S, Construction of Paidha Seed Secondary School, construction of Kango seed school, Renovation of 5 classrooms at Adusi Primary school. The investments were recommended for continuity after being deemed fit for technical, environment and social requirements.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, score: 1, else score: 0</p>	<p>The LG Education department had budgeted for and ensured that planned sector infrastructure projects were approved and incorporated into the procurement plan on 27th April, 2023</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, score: 1, else score: 0</p>	<p>There was evidence that the school infrastructure was approved by the Contracts Committee on 22nd December, 2022 before the commencement of construction</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. score: 1, else score: 0</p>	<p>There was evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY. The membership comprised;</p> <ol style="list-style-type: none"> <li>1. The DE; Ngageno Isaac as project manager</li> <li>2. The DEO; Odeba Nicholas as the contracts manager</li> <li>3. The DCDO; Ongiera Walter</li> <li>4. The Environment Officer; Owor Martin</li> <li>5. The Labor officer; Berocan Pride</li> <li>6. Clerk of works; Otingcwinyu Joseph</li> </ol>	1

13	Procurement, contract management/execution  <i>Maximum 9 points on this performance measure</i>	d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES  <i>Score: 1, else, score: 0</i>	There was no Seed school project in Zombo to check on adherence to the standard technical designs provided by the MoES.	<b>1</b>
13	Procurement, contract management/execution  <i>Maximum 9 points on this performance measure</i>	e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i>	There was no Seed school project in Zombo implemented during the previous FY.	<b>1</b>
13	Procurement, contract management/execution  <i>Maximum 9 points on this performance measure</i>	f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted <i>score: 1, else score: 0</i>	The LG carried out joint technical supervision that involved the engineers, environment officers, CDOs among others as per the reports dated; 7th April, 2023, 5th May, 2023 10th May, 2023 for the project of construction of a 2-classroom block and an office plus store at Station NFE Primary School, Akaa Sub-county.	<b>1</b>

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>The education infrastructure projects have been properly executed and payments to contractors were made within the specified time frames as per the contractual obligations. A comprehensive review of the project payment files and contracts revealed the following;</p> <ol style="list-style-type: none"> <li>1. Construction of 2 classroom blocks at Nyanga P/S by Link Investments Limited under Contract reference no. ZOMB/587/WRKS/2022-2023/00002 where the Contractor requested for payment on 10th May 2023, work was certified on 11th May 2023 and payment was made on the same date after certification of works. A magnitude of UGX,70,314,592 was paid as a contractual obligation.</li> <li>2. Construction of 2 classroom blocks with office attached and a store at Akaa NFE station in Akaa Subcounty contracted to Leko GL Yesu Nuti Construction and Engineering works under Contract reference no. ZOMB/935/WRKS/2022-2023/00001. where the Contractor requested for payment on 4th April 2023, work was certified on 10th May 2023 and payment was made after certification of works as a required of the contract. A magnitude of UGX50,218,089 was paid as a contractual obligation.</li> </ol> <p>Therefore the infrastructure projects have been properly executed and payments to contractors made within specified timeframes as per contractual arrangements.</p>	<b>1</b>
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i></p>	<p>LG Education department timely submitted a procurement plan in accordance with the PPDA requirements on 28th April, 2022</p>	<b>1</b>
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law <i>score 1 or else score 0</i></p>	<p>There was evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law. The file for project ZOMB/935/Wrks/22-23/00001; construction of a 2-classroom block and an office plus store at Station NFE Primary School, Akaa Sub-county. The file contained the BEB publicization was on 22nd/12/2023, bid acceptance was on 9th/01/2023, and contract was signed on 22nd/March/2023.</p>	<b>1</b>

**Environment and Social Safeguards**

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0</p>	<p>One grievance was registered during the construction of a latrine in Asina Primary School</p> <p>Failure of contractor to pay workers</p> <p>It was recorded on 04/4/2023 however the committee is yet to sit.</p>	0
15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>There was evidence that LG disseminated the Education guidelines to provide for access to land.</p> <p>The guidelines were disseminated in a circular to all Principals of Tertiary Education Institutions, Head Teachers of UPE; USE dated 15th April, 2023.</p> <p>However, all the sampled schools could not ascertain the where about of the circular but after probing, they had some knowledge about the expectations.</p>	3
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i></p>	<p>Construction of 3 classroom blocks in Nyang, in Kango Sub- County, 2 classroom blocks in NFE, Akaa Sub- County, issues of environment had costed to ESMP of 2,500,000 shs respectively and was mentioned in the BoQ</p> <p>Construction of Kango seed school, in Kango Sub- County, Paider Seed School in Paider Sub- County. The contract is a hybrid procurement and the contract was awarded but not yet signed.</p>	2
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i></p>	<p>Construction of a 3 classroom block in Nyang, in Kango Sub- County the agreement was signed with the land owner Uthuman Nestone on of 31st, October, 2022</p> <p>A 2-classroom block in NFE, Akaa Sub- County an agreement was signed and the community of Ajusi, Alusi on 14th, October, 2015</p> <p>Construction of Kango seed school, in Kango Sub- County, Paider Seed School in Paider Sub- County both schools had land titles.</p>	1

16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p>	<p>Construction of 3 classroom blocks in Nyang, in Kango Sub- County</p> <p>Environmental monitoring reports dated; 31st/May/2023// 28th /April/2023//</p> <p>Social Monitoring reports dated; 30th /May/2023// 28th /April/2023// 10th /March/2023</p> <p>2 classroom blocks in NFE, Akaa Sub- County</p> <p>Environmental monitoring reports dated; 31st/May/2023// 28th /April/2023// 30th /March/2023//</p> <p>Social Monitoring reports dated; 30th /June/2023// 19th /April/2023// 27th /February/2023</p>	<b>2</b>
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>d) If the E&amp;S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments</p> <p><i>Score: 1, else score:0</i></p>	<p>Construction of 3 classroom blocks in Nyang, in Kango Sub- County</p> <p>E &amp; S certification forms dated; 19th /June/2023 signed by both the environmental officer and CDO was reviewed.</p> <p>2 classroom blocks in NFE, Akaa Sub- County</p> <p>E &amp; S certification forms dated; 11th /May/2023 signed by both the environmental officer and CDO was reviewed.</p>	<b>1</b>

**Health  
Performance  
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> <li>• By 20% or more, score 2</li> <li>• Less than 20%, score 0</li> </ul>	<p>From the Biostatistician, using the HMIS 107 annual reports, data on deliveries for FY 2021/22 and 2022/23 were extracted and used to calculate the percentage increase in deliveries for three sampled health facilities of Paidha HCIII, Jangokoro HCIII and Kango HCIII as shown below;</p> <p>Paidha HCIII:</p> <p>In FY 2021/22, total deliveries was 1,140.</p> <p>In FY 2022/23, total deliveries was 1,001</p> <p>There was a decrease of <math>1,001 - 1,140 = -139</math>. The percentage decrease was therefore <math>139 / 1,140 \times 100 = 12.2\%</math>.</p> <p>Jangokoro HCIII:</p> <p>In FY 2021/22, total deliveries was 585.</p> <p>In FY 2022/23, total deliveries was 525.</p> <p>There was a decrease of <math>525 - 585 = -60</math>. The percentage decrease was therefore <math>60 / 585 \times 100 = 10.3\%</math>.</p> <p>Kango HCIII:</p> <p>In FY 2021/22, total deliveries was 409.</p> <p>In FY 2022/23, total deliveries was 405.</p> <p>There was a decrease of <math>405 - 409 = -4</math>. The percentage decrease was therefore <math>4 / 409 \times 100 = 1\%</math>.</p> <p>Average Percentage decrease was <math>12.2\% + 10.3\% + 1\% / 3 = 7.8\%</math>.</p> <p>There was a decrease in deliveries in Zombo district by 7.8% in FY 2022/23.</p>	<b>0</b>
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> <li>• 70% and above, score 2</li> <li>• 50% - 69%, score 1</li> <li>• Below 50%, score 0</li> </ul>	<p>The health LLG performance assessment score for 2022 was 83% and 68% for 2023 which presented a fall in performance of 15%. The data was extracted from the comparison provided in the Analysis - LLG PA 2023 Synthesis Report dated 20th October 2023 as extracted from OPAMS. The health LLG performance scores in 2022 was zero 83% and it was 53% in 2023 thus a decline.</p>	<b>1</b>

2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> <li>• 75% and above; score 2</li> <li>• 65 - 74%; score 1</li> <li>• Below 65; score 0</li> </ul>	<p>The indicator was dropped from the LGPA 2023. This was agreed during the OPM training conducted from 23rd- 24th October at Imperial Royale Hotel. RBF was reportedly not implemented in FY 2022/23.</p>	0
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p>	<p>The LG had one health project which the Upgrade of HCII to HCIII at Amwonyu health In Akaa Subcounty. The investment is legible for funding under activities sated on Page 18 of the Health Sub Programme Grant, Budget and Implementation Guidelines for Local Governments Financial Year 2023/24 provided on 4.1.1 Use of the Development Grant under (i) The upgrading of HC IIs to HC IIIs in the Sub Counties with no HC IIIs but have HC IIs and establishing a functional HC III per Sub County, where there is none across the country.</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0</p>	<p>The LG had one health project which the Upgrade of HCII to HCIII at Amwonyu health In Akaa Subcounty. Only 30% of the contract amount which amounted to UGX249,079,592. This amount was an advance to facilitate the start of works hence certification of works was not applicable.</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0</p>	<p>The LG earmarked and implemented only one health infrastructure investment which was the upgrading of Amwonyo HCII to HCIII.</p> <p>The contract price = UGX830,265,306</p> <p>Engineer's estimates = UGX841,134,517</p> <p>The percentage variation =  <math>(UGX841,134,517 - UGX830,265,306) = UGX10,869,211</math></p> <p>=1.3% which is within +/-20%</p>	2

3	Investment performance: The LG has managed health projects as per guidelines.	d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY	The health sector investment project of upgrading HC II to HC III was the upgrading of Amwonyo health centre II to III. The project was not phased and the construction works was still in progress.	1
	Maximum 8 points on this performance measure	<ul style="list-style-type: none"> <li>• If 100 % Score 2</li> <li>• Between 80 and 99% score 1</li> <li>• less than 80 %: Score 0</li> </ul>	The works at the time of site visit were between 80%-99% with works like; fixing windows were 1450 X 1200mm size, pompey openings of 2000 X 1400mm size, the main entrance was 1450 X 2100mm size and roofing with maroon roof was gauge 28 was completed.	
4	Achievement of Standards: The LG has met health staffing and infrastructure facility standards	a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure	From the staff list obtained from Human Resource Office the LG had recruited staff as per the staffing structure:	1
	Maximum 4 points on this performance measure	<ul style="list-style-type: none"> <li>• If above 90% score 2</li> <li>• If 75% - 90%: score 1</li> <li>• Below 75 %: score 0</li> </ul>	151/181 x100 = 83.4%	
4	Achievement of Standards: The LG has met health staffing and infrastructure facility standards	b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.	The health sector investment project of upgrading HC II to HC III was the Construction of new facilities for upgrade of Amwonyo health centre. From the site visit checks of the finished works, measurements of the works showed that the dimensions were in conformity with the infrastructure design as shown below;	2
	Maximum 4 points on this performance measure	<ul style="list-style-type: none"> <li>• If 100 % score 2 or else score 0</li> </ul>	The windows were 1450 X 1200mm	
			The pompey openings of 2000 X 1400mm	
			The main entrance was 1450 X 2100mm	
			The maroon roof was gauge 28	
			Therefore, the works were constructed as per MoH infrastructure designs..	

**Performance Reporting and Performance Improvement**



5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>From the Human Resource office (HRO), the assessment team obtained the staff list for FY 2023/24. Three health facilities of Paidha HCIII, Jangokoro HCIII and Kango HCIII were sampled and their facility staff lists for FY 2023/24 were compared with the list from the Human Resource Office.</p> <p>Paidha HCIII has 29 health workers from the HRO staff list. The facility staff list also has 29 health workers.</p> <p>Jangokoro HCIII has 15 health workers from the HRO staff list. The facility staff list also has 15 health workers.</p> <p>Kango HCIII has 14 health workers from the HRO staff list. The facility staff list also has 14 health workers.</p> <p>There was evidence that information on positions of health workers filled is accurate.</p>	2
5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>From the DHO, the list of Upgraded health facility in FY 2022/23 was obtained as shown below;</p> <p>-Amwonyo HCII upgrade to HCIII. This upgrade was reported to be incomplete and therefore the HCIII was not yet functional.</p> <p>This information was accurately reported in the annual report in the PBS on page 18 of 167.</p>	2

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans &amp; budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>From the DHO copies of health facility Annual work plans and budgets were obtained and submissions of three health facilities of Paidha HCIII, Jangokoro HCIII and Kango HCIII were sampled to check whether they were submitted by 31st March 2022 and whether they conform to the prescribed format in the Local Government Planning Guidelines for the health Sector.</p> <p>Paidha HCIII: The Annual work plan and Budget was submitted to DHO on 20th March 2022. It did not conform to the prescribed format.</p> <p>Jangokoro HCIII: The Annual work plan and Budget was submitted to DHO on 30th June 2022. It did not conform to the prescribed format.</p> <p>Kango HCIII: The Annual work plan and Budget was submitted to DHO on 7th June 2022. It did not conform to the prescribed format.</p> <p>Two of the sampled submitted Annual work plans and Budgets were submitted late. All the three submissions did not conform to the prescribed format.</p>	0
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>From the DHO, the assessment team did not find evidence of submission of health facility Annual Budget Performance reports for FY 2022/23.</p>	0
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>From the DHO, the assessment team did not find evidence of submission of health facility improvement plans for FY 2023/24.</p>	<b>0</b>
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,</p> <ul style="list-style-type: none"> <li>• score 2 or else score 0</li> </ul>	<p>From the DHO, the assessment team checked for the records of submissions of monthly and quarterly HMIS reports to establish timelines of submissions. Three health facilities of Paidha HCIII, Jangokoro HCIII and Kango HCIII were sampled and all the 12 monthly and 4 quarterly submissions were reviewed.</p> <p>Paidha HCIII submitted all 12 reports timely.</p> <p>July 2022- on 6th August 2022.</p> <p>August 2022- on 7th September 2022,</p> <p>September 2022- on 5th October 2022.</p> <p>October 2022- on 7th November 2022.</p> <p>November 2022- on 5th December 2022.</p> <p>December 2022- on 7th January 2023.</p> <p>January 2023- on 6th February 2023.</p> <p>February 2023- on 6th March 2023.</p> <p>March 2023- on 7th April 2023.</p> <p>April 2023- on 7th May 2023.</p> <p>May 2023- on 6th June 2023.</p> <p>June 2023- on 5th July 2023.</p> <p>Jangokoro HCIII submitted all 12 reports timely.</p> <p>July 2022- on 5th August 2022.</p> <p>August 2022- on 7th September 2022.</p> <p>September 2022- on 5th October 2022.</p> <p>October 2022- on 7th November 2022.</p> <p>November 2022- on 7th December 2022.</p> <p>December 2022- on 3rd January 2023.</p>	<b>2</b>
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January 2023- on 7th February 2023.

February 2023- on 3rd March 2023.

March 2023- on 3rd April 2023.

April 2023- on 5th May 2023.

May 2023- on 5th June 2023.

June 2023- on 6th July 2023.

Kango HCIII submitted all 12 reports timely.

July 2022- on 6th August 2022.

August 2022- on 7th September 2022.

September 2022- on 4th October 2022.

October 2022- on 6th November 2022.

November 2022- on 7th December 2022.

December 2022- on 6th January 2023.

January 2023- on 6th February 2023.

February 2023- on 6th March 2023.

March 2023- on 5th April 2023.

April 2023- on 7th May 2023.

May 2023- on 7th June 2023.

June 2023- on 7th July 2023.

Submission of quarterly reports (HMIS 106A)

Paidha HCIII: submitted all the 4 reports timely.

Quarter one report was submitted on 4th October 2022.

Quarter two report was submitted on 6th January 2023.

Quarter three report was submitted on 6th April 2023.

Quarter four report was submitted on 6th July 2023.

Jangokoro HCIII: submitted all the 4 reports timely.

Quarter one report was submitted on 5th October 2022.

Quarter two report was submitted on 3rd January 2023.

Quarter 3 report was submitted on 2nd April 2023.

Quarter 4 report was submitted on 5th July 2023.

Kango HCIII: submitted all the 4 reports timely.

Quarter one report was submitted on 4th October 2022.

Quarter two report was submitted on 3rd January 2023.

Quarter three report was submitted on 4th April 2023.

Quarter four report was

Submitted on 5th July 2023.

There was evidence that the sampled health facilities of Paidha HCIII, Jangokoro HCIII and Kango CIII submitted all 12 monthly reports and the 4 quarterly reports timely as required.

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0</p> <p>Note: Municipalities submit to districts</p>	<p>This indicator was dropped from the LGPA 2023. This was agreed on during the OPM training held from 23rd- 24th October 2023 at Imperial Royale Hotel. RBF was reportedly not implemented in FY 2022/23.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>The indicator was dropped from the LGPA 2023. This was agreed on during the OPM training conducted from 23rd- 24th October 2023 at Imperial Royale Hotel. RBF was reportedly not implemented in FY 2022/23.</p>	0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>The local government did not timely (by end of the first month of the following quarter) submit all the quarterly Budget performance reports of the Previous FY. From the PBS extraction details;</p> <ol style="list-style-type: none"> <li>1. Quarter one was submitted on 31st December 2023 which was beyond the first month of the following quarter.</li> <li>2. Quarter two was submitted on 31st January 2023 which was within the required timeframe of the first month of the following quarter</li> <li>3. Quarter three was submitted on 28th April 2023 which was within the required timeframe of the first month of the following quarter</li> <li>4. Quarter four was extracted on 15th August 2023.</li> </ol> <p>From the fact that the Quarter one budget performance report was submitted in December which is far beyond the first month of the following quarter. The LG didn't timely submit all the 4 budget performance reports.</p>	0
	<p>Maximum 14 points on this performance measure</p>			
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>h) Evidence that the LG has:</p> <ol style="list-style-type: none"> <li>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</li> </ol>	<p>From the District Health Office, there was no evidence of an approved Performance Improvement Plan developed for lowest performing health facilities.</p>	0
	<p>Maximum 14 points on this performance measure</p>			

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>From the District Health Office, There was no evidence of Performance Improvement Plan implementation report since there was no Improvement Plan developed</p>	0
	<p>Maximum 14 points on this performance measure</p>			

**Human Resource Management and Development**

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>a) Evidence that the LG has: i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>From the District Health Office, the Local Government performance contract for FY 2023/24 was obtained to check whether health workers have been budgeted for.</p>	2
	<p>Maximum 9 points on this performance measure</p>		<p>Uganda shs 3,928,595,000 was budgeted for as wage for the 200 health workers in posts. This is found on page 26 of 66 of the performance Contract for FY 2023/24</p>	

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>a) Evidence that the LG has: ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>The assessment team reviewed the health staff list for FY 2023/24 signed by Dr. Bramali Mark Bonny the DHO on 17th January 2023 to check whether the LG deployed the health workers as per guidelines (all health facilities to have at least 75% staff required). The findings are indicated below;</p>	0
	<p>Maximum 9 points on this performance measure</p>		<p>Some health facilities do not have the 75% of the staff as required for example;</p> <p>Atyak HCIII has 9 out of the required 19 staff. The percentage post filled is <math>\frac{9}{19} \times 100 = 47\%</math>.</p> <p>Pakadha HCIII has 11 out of the required 19 staff. The percentage post filled is <math>\frac{11}{19} \times 100 = 58\%</math>.</p> <p>There was evidence that not all health facilities had the required 75% of staff.</p>	

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0

From the DHO, the health workers deployment list was obtained and 3 health facilities of Paidha HCIII, Jangokoro HCIII and Kango HCIII were visited. At each of these health the facility Attendance book was reviewed to determine that health workers whose names appear in the deployment list and the health facility list are working where they are deployed.

The following sampled health workers in the facility staff list and deployment list were found to have signed in the Attendance Book on 2nd November 2023;

Paidha HCIII.

Pani Christine- Assistant Nursing Officer

Uyungrowth Mark- Senior Clinical Officer

Onegi Irene - Assistant Nursing Officer

Angala Bruna- Health Information Assistant

Rwothomio Epiphania-Enrolled Nurse

Thofua Albert- Laboratory Assistant

Adokorach Oliver- Enrolled Nurse

Titirach Anita- Assistant Nursing Officer.

Jangokoro HCIII

Bithum Emmanuel -Clinical Officer

Hakim Abdallah- Health Information Assistant

Akumu Joy- Assistant Nursing Officer

Asuru Jennifer- Enrolled Nurse

Acirucan Grety- Enrolled midwife

Afoyorwoth Jane- Enrolled Nurse

Kango HCIII

Odida Morris- Clinical Officer

Onen Emmanuel- Assistant Nursing Officer

Cekecan Ronald -Health information Assistant

Atimango Lucy- Enrolled midwife.

There was evidence that the health workers whose names appear in the deployment list for the above sampled health facilities were working in those facilities;



Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0

From DHO, the health workers deployment list for FY 2023/24 was obtained. Three health facilities of Paidha HCIII, Jangokoro HCIII and Kango HCIII were sampled and visited to check whether the list of health workers for FY 2023/24 was displayed on health facility notice boards.

Paidha HCIII: The staff list dated 17th January 2023 and signed by Uyungrwoth Mark, was displayed on the facility notice board.

Jangokoro HCIII: The staff list dated 1st July 2023 and signed by Bithum Emmanuel, was displayed on the facility notice board.

Kango HCIII: The staff list dated 5th September 2023 and signed by Odida Morris Daniel, was posted on the facility notice board.

8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	<p>a) Evidence that the DHO/MMOHs has:</p> <p>i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0</p>	<p>The LG had 16 Health Facilities, Appraisal of all the Health Facility In-charges against the agreed performance plans were done and submitted to HRO during the previous FY .but though outside the deadline provided by MoPS as listed below:</p> <ol style="list-style-type: none"> <li>1. Dr Kwambe Imelda a Medical Officer deployed at Warr H/C IV Warr Town Council and was appraised on 20th July/ 2023.</li> <li>2. Uyungrwoth Mark Kenny a Clinical Officer deployed at Paidha H/CIII, Paidha Town Council and was appraised on 30th June 2023.</li> <li>3. Bithum Emmanuel a Clinical Officer deployed at Jangokoro H/C III, Jangokoro Sub County and was appraised on 27th July 2023.</li> <li>4. Odida Morris Daniel a Clinical Officer deployed at Kango HCIII, Kango Sub County and was appraised on 29th June 2023.</li> <li>5. Acirucan Polline a Nursing Officer deployed at Otheke HCII, Paidah Sub County was appraised on 6th /Aug /2023.</li> <li>6. Genrwoth Rocky Enrolled Nurse deployed at Theruru HCII, Atyak Sub County and was appraised on 27th June 2023.</li> <li>7. Ocircan Edimond Enrolled Nurse deployed at Pamito H/CII, Abanga Sub County and was appraised on 10th July 2023</li> <li>8. Okumu Denis Enrolled Nurse In-charge, Atyenda HC II, Zombo Town Council was appraised 30th June 2023.</li> <li>9. Oyirwoth Vivian Odota Enrolled Nurse In Charge, Amwonyo HCII, Akaa Sub County was appraised on 6th July 2023.</li> <li>10. Oyirwoth James Enrolled Nurse deployed at Padea HCII, Padea Town Council was appraised on 30th June 2023.</li> </ol>	0
8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	<p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p>	<p>The In Charges did not submit the appraisal of the health facility workers to the HRM department</p>	0

8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	iii. Taken corrective actions based on the appraisal reports, score 2 or else 0	There was no evidence of corrective action taken on the gaps identified.	0
	Maximum 6 points on this performance measure			
8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	b) Evidence that the LG: i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0	From the DHO, the assessment team obtained and reviewed training reports for the FY 2022/23. Some of the reports are listed below;  1 Report on training of Health Information Assistants and in charges of HCII on DHIS2. The report was not dated and was signed by Nyamer Ruth, HMIS focal person. Training was conducted from 21st – 23rd February 2023.  2 Report on training of Midwives on Post abortion care. The report was not dated and was signed by Achirocan Christine ADHO MCH. Training was conducted from 23rd - 25th June 2023.  3 Report on training midwives on Basic Emergency Obstetric Care (BEMOC). The report was not dated and was signed by Achirocan Christine ADHO MCH. Training was conducted from 6th – 10th February 2023.  4 Report on training in the roll out of the revised integrated disease surveillance and response guidelines (3rd edition). The report was not dated and was signed by Monu Agnes, District Surveillance Focal Person (DSFP). The training was conducted from 15th – 19th May 2023.	1
	Maximum 6 points on this performance measure			
8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	ii. Documented training activities in the training/CPD database, score 1 or else score 0	From the DHO, the assessment team obtained lists of health workers trained in Basic emergency Obstetric Care (BEMOC), Post abortion care and integrated management of acute malnutrition. The lists appeared to have been hurriedly produced by the DHO to be reviewed as a training database.  It can be concluded that there was no training database where training activities in the FY 2022/23 were documented..	0
	Maximum 6 points on this performance measure			

**Management, Monitoring and Supervision of Services.**

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>From the HRO (registry) there was no evidence of a copy of the letter notifying the Ministry of Health of the list of health facilities accessing PHC Non-Wage Grants in the FY 2023/24.</p>	0
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>From Page 50 of the Approved Annual Budget Estimates, PHC NWR Grant = UGX334,241,000.</p> <p>A review on the health department workplan indicated that the allocations towards monitoring service delivery and management of = UGX63,573,000. 15% of UGX334,241,000= UGX50,136,150. The district made allocation of worth UGX63,573,000 to monitoring and management which resonates to more than 15%. Therefore, the District made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines.</p>	2
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>	<p>The LG made timely warranting of direct grant transfers to health facilities for the last FY The warrant codes for different quarters are;</p> <ol style="list-style-type: none"> <li>1. Quarter two; Warrant Code 935AW-2023-11</li> <li>2. Quarter three;Warrant Code935AW-2023-17</li> <li>3. Quarter four; Warrant Code935AW-2023-26</li> </ol> <p>The district received the cash limits as; Quarter 2 was received on 11th October 2022, Quarter 3 was received on 17th January 2023, and Quarter 4 was received on 12th April 2023. The warranting was conducted by the LG as evidenced by the provided warrant codes. For all the quarters, warranting was conducted on the same date as the cash limits were received.</p>	2

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0</p>	<p>Three facilities were sampled and visited and these included Paidah health Center III, Kango HCIII and Jangokoro HCIII. From all, none had received communication for all PHC NWR Grant transfers for the previous FY to health facilities.</p>	<b>0</b>
	<p>Maximum 9 points on this performance measure</p>			
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>The district received the cash limits as; Quarter 2 was received on 11th October 2022 and the same date. Acknowledgement of the receipt was publicized by the CAO on the District Headquarter noticeboard. Quarter 3 was received on 17th January 2023. Acknowledgement of the receipt was publicized by the CAO on the District Headquarter noticeboard. Quarter 4 was received on 12th April 2023. Acknowledgement of the receipt was publicized by the CAO on the District Headquarter noticeboard.</p>	<b>1</b>
	<p>Maximum 9 points on this performance measure</p>			

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>From the DHO, the assessment team obtained minutes of the DHMT review meetings for two Quarters as shown below;</p> <p>Quarter one meeting minutes were not availed.</p> <p>Quarter two meeting minutes were signed by ACAO Health, Kumakech Ephreim as Chairman and Dr. Bramali Bonny as Secretary. The meeting was held on 5th October 2022.</p> <p>The following were recommended for actions;</p> <ul style="list-style-type: none"> <li>- The DHO should ensure that DHMT meetings are held every Quarter.</li> <li>- Implementing Partners should share their activity reports by the 15th day of the first month of the Quarter</li> <li>- The DHO should follow up on the application made to Council on the takeover of Pakadha HCIII as a Government facility.</li> </ul> <p>Quarter three meeting minutes were signed by ACAO Health as Chairman and ADHO MCH as Secretary. The meeting was held on 19th January 2023.</p> <p>The following were recommended for action;</p> <ul style="list-style-type: none"> <li>- The DHO should conduct mentorship in health facilities on Nutrition service.</li> <li>-The Village Health teams (VHT) should be facilitated to carry out pregnancy mapping in their respective villages.</li> </ul> <p>Quarter four meeting minutes were not availed.</p> <p>There was no evidence that the actions recommended in the DHMT review meetings were implemented as no implementation reports were availed to the assessment team.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>From the DHO, there was no evidence that the Health department held Quarterly DHMT Performance review meetings in the FY 2022/23.</p>	0

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>From the DHO, the assessment team obtained and reviewed support supervision reports for FY2022/23 to establish whether Warr HCIV and Nyapea Hospital (PNFP) were supervised in each of the four Quarters.</p> <p>Quarter one supervision report was not dated. Supervision was conducted from 21st- 30th September 2022.</p> <p>Warr HCIV was supervised on 26th September 2022. Nyapea Hospital was not supervised in this Quarter.</p> <p>Quarter two supervision report was not dated. Supervision was conducted from 14th – 18th November 2022. Warr HCIV was supervised but the date of visit was not indicated. Nyapea Hospital was supervised but the date of visit was not indicated in the report.</p> <p>Quarter three report was not dated. Supervision was conducted from 24th – 30th March 2023. Warr HCIV was not supervised. Nyapea Hospital was supervised on 26th March 2023.</p> <p>Quarter four supervision report was not dated. Supervision dates were not indicated in the report. Warr HCIV was supervised but date of visit was not shown in the report. Nyapea Hospital was not supervised during this Quarter.</p> <p>It can be concluded that supervision of Warr HCIV and Nyapea Hospital in the FY 2022/23 was not consistently carried out in every Quarter.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <ul style="list-style-type: none"> <li>• If not applicable, provide the score</li> </ul>	<p>From the DHO, there was no evidence that the Health sub districts carried out support supervision in the FY 2022/23 as there were no Health sub district supervision reports availed by the DHO.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation</p>	<p>The assessment team visited the sampled 3 health facilities of Paidha HCIII, Jangokoro HCIII and Kango HCIII. The team obtained and reviewed the support supervision books to determine whether the health department provided recommendations from the supervision visits and that their implementation was followed up. The</p>	0

this performance measure

of these were followed up during the previous FY, score 1 or else score 0

findings from selected supervisions conducted were as follows;

Paidha HCIII:

1 During Supervision conducted on 23rd August 2022, the following recommendations were made;

- Facility staff to adhere to hand washing procedure from the Ministry of Health.
- The facility in charge was asked to get JIK and PPEs from the DHO office.

2 During Supervision conducted on 8th December 2022, the recommendations made was;

- Regular Continuing Medical Education (CME) on Family Planning. should be conducted.

3 During supervision conducted on 6th January 2023, the following recommendation was made;

- In charge Laboratory should develop SOP for Malaria Diagnosis.

There was no evidence from the supervision book that the recommendations made during support supervision visits in this facility were followed up

Jangokoro HCIII:

During the supervision visit of 6th July 2022, the following recommendations were made;

- The in charge should write down the names of Maternal, Perinatal Death Surveillance Review (MPDSR) committee members with their contacts.

- Meeting minutes for MPDSR should be written on time.

2 During the supervision visit of 3rd October 2022, the following recommendations was made;

- Community Nurse should intensify follow up of Neglected Tropical diseases (NTD) clients.

From the supervision book, there was no evidence that these recommendations were followed up.

Kango HCIII:

1 During supervision of 15th March 2023, the following recommendation was made;

- The in charge should conduct monthly CQI meetings to review and update CQI project journals

- .

2 Supervision conducted on 31st May 2023



had the following recommendations;

-Adolescent living with HIV and are on DTG medication should be weighed to determine dosage.

No evidence was found in the Support Supervision book that these recommendations were followed up.

10

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0

From the DHO, medicines and health supplies support supervision reports for FY 2022/23 were obtained and reviewed to check whether advice was given to health facility in charges on secure, safe storage and disposal of medicine and health supplies. Below are the findings from the reports obtained:

1 Support supervision report signed by Uyungrwoth Mark, medicines management supervisor. Supervision was conducted from 11th- 22nd August 2022 in 19 health facilities ((not listed). The advice given to health facility in charges were the following;

- In charges should ensure that stock cards and stock books are updated.

- Consumption data should be used for quantification of medicines and health supplies.

2 Support supervision report was signed by Uyungrwoth Mark, medicines management supervisor. The report was not dated. Supervision was conducted from 11th October -22nd October in 19 health facilities (not listed). The following advice was given ;

- In charges should adhere to medicines order schedules.

- Redistribute excess medicines to health facilities that need them.

3 support supervision report dated 5th April 2023 and signed by Uyungrwoth Mark, medicines management supervisor. Supervision was conducted from 20th -24th February 2023. The following health facilities were visited;

Alangi HCIII

Zeu HCIIi

Warr HCIV

Kango HCIII

Jangokoro HCIII

Otheku HCII

The following advice was given to in charges;

-Do physical counts every month

1

-Expired medicines should be transported to the District Health Office stores.

4 Supervision report dated 7th July 2023 and signed by Uyungrwoth Mark, medicines management supervisor. Supervision was conducted from 20th – 22nd June 2023. The following facilities were visited;

The uru HCII

Atyenda HCII

Paidha HCIII

Warr HCIV

Otheko HCII.

The following advice was given;

-In charges should improve on storage and stock management

-In charges should improve on use of stock books and stock cards.

There was evidence that advice was given to health facility in charges on secure, safe storage and disposal of medicines.

11 Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities  Maximum 4 points on this performance measure	a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0	From the review the Approved Annual Budget Estimates. The health budget that stretches from page 48 to page 58 did not provide for allocation of at least 30% of District Health Office budget to health promotion and prevention activities. The budget didnt not provide for any amounts allocated for the service line.	<b>0</b>
11 Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities  Maximum 4 points on this performance measure	b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0	From the DHO, the assessment team obtained and reviewed Quarterly Health Promotion activity reports to establish whether the DHT implemented Health Promotion, Disease Prevention and Social Mobilization activities in the FY 2022/23.  Quarter one report was dated 12th September 2022 and signed by Harriet Thumito, the District health Educator, (DHE). The activities implemented in this Quarter were;  - School Health education conducted from 5th – 8th September 2022 in the following schools;  Pakadha Primary School	<b>1</b>

Pakhada Seed Secondary School

Konga primary school.

-Community Drive using mobile vans focusing on COVID 19 vaccination, Health services continuity and adolescent sexual Reproductive Health. This was implemented from 19th -22nd July in all the sub counties.

Quarter two report was not dated but signed by Hariret Thumito, the DHE. Activities implemented in this Quarter were as follows;

- Radio talk show on Reproductive Health on radio Paidha FM on 8th October 2022.

- School health Education in the schools listed below:

- Zombo Upper Primary School - on 5th October 2022

- Zombo lower Primary school- on 6th October 2022

- Atiak Primary School -on 10th October 2022.

Quarter three report was not dated and signed by Harriet Thumito, the DHE. Activity implemented in this Quarter was;

- Radio talk show on Childhood immunization held on 22nd February 2023 on Radio Paidha FM.

Quarter four report was dated 15th May 2023 and signed by Harriet Thumito, the DHE. Activities implemented in this Quarter were the following;

- Sensitization of Senior Women Teachers and Senior Male teachers on Adolescent Sexual Reproductive health and HIV/AIDS. This was conducted from 5th -10th May 2023 at St Aloysius College Nyapea.

- Engagement of Religious and Cultural leaders for improvement of uptake of immunization services, Reproductive Health Services for adolescents. The engagement was held from 15th -20th June at sub county levels.

There was evidence that the DHT implemented Health Promotion activities in the FY 2022/23.

11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p>	<p>From the DHO, DHT meeting minutes of 24th April 2023 and 25th June 2023 were presented to the assessment team as evidence that the DHT took follow up actions on health promotion.</p> <p>There was no evidence in these meeting minutes that the DHT discussed follow up actions on health promotion, disease prevention and social mobilization aspects.</p> <p>The department Quarterly reports were not presented to the assessment team.</p>	<b>0</b>
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**Investment Management**

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>The assessment team obtained the standard list of medical equipment and service standards from the Ministry of Health. This list was compared with the equipment list in the LG Asset Register. The findings are as below;</p> <p>Zombo district had an Asset Register for FY 2022/23 endorsed by Achirocan Christine for the DHO. The Asset register details health facilities and equipment.</p> <p>The following sampled equipment for Warr HCIV were compared with the Ministry of Health standard equipment for HCIV and found to be similar</p> <ul style="list-style-type: none"> <li>Autoclave electric</li> <li>Operation Bed</li> <li>Anesthesia machine</li> <li>Oxygen Cylinder</li> <li>Instrument Trolley</li> <li>Doppler Fetoscope</li> <li>Drip stand</li> <li>Pulse oximeter hand held</li> <li>Ear Syringe</li> </ul>	<b>1</b>
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12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDPIII);</p> <p>(ii) desk appraisal by the LG; and</p> <p>(iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG));</p> <p>score 1 or else score 0</p>	<p>The LG carried out a desk appraisal for the health sector investment which was the Upgrade of HCII to HCIII at Amwonyu health In Akaa Subcounty. The project works were stipulated to start in July 2023 and therefore the appraisal was conducted earlier in May 2022 on the 20th of May 2022 based on an appraisal report that was prepared by the senior planner Mr. Nyipir Humfrey. The investment was found legible for investment under the health sector guidelines and derived from the LG development plan.</p>	1
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>The LG carried out a field appraisal for the health sector investment which was the Upgrade of HCII to HCIII at Amwonyu health In Akaa Subcounty. The project works were stipulated to start in July 2023 and therefore the field appraisal was conducted earlier at the start of June 2023 in preparation for implementation. The investment was field appraised on the 6th of June 2022 based on the field appraisal report that was prepared by the senior planner Mr. Nyipir Humfrey. The investment was found technically feasible, environmental and socially acceptable and fit the customized designs and therefore recommended for continuity.</p>	1
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>Construction of maternity Block &amp; OPD at Amwonyu Health Centre II</p> <p>Social screening report was dated 14th June, 2022 and the Environmental Screening Report dated 20th November, 2022 endorsed by both CDO and EO</p> <p>The magnitude of the project is exempted from undertaking ESIA These included;</p> <p>Construction of maternity Block &amp; OPD at Amwonyu Health Centre II with costed ESMP dated 20th November, 2022</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY ) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>There was evidence of timely submission of the infrastructure and other procurement requests to PDU for incorporation into the approved Procurement Plan for current FY dated 1st July, 2023. The submission was on 26th April, 2023</p>	<b>1</b>
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>The LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY was submitted on 19th August, 2023</p>	<b>1</b>
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>There was evidence that the health infrastructure investments for the previous FY were approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction</p> <p>The Upgrade of Amwonyo HC II to HC III; MOH/UGIFT/Wrks/22-23/00001 Lot 1 at Ugx 830,265,306 was cleared by the Solicitor General on 21st December, 2022.</p> <p>The LG never undertook any other infrastructure investment.</p>	<b>1</b>
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG established a Project Implementation team for all health projects composed of;</p> <ol style="list-style-type: none"> <li>1. The DHO; Brammali Mark</li> <li>2. The DE; Ngageno Isaac</li> <li>3. The CDO; Ongiera Walter</li> <li>4. The Environment officer; Owor Martin</li> <li>5. The labor officer; Berocan Pride</li> <li>6. Clerk of works; Otingcwinyu Joseph</li> </ol>	<b>1</b>

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The construction of the Health infrastructure followed the standard technical designs provided by the MoH that were found at site and the District for example; the windows were measuring 1.45m by 1.2m, the main door measurements were 1.45m by 2.1m, the internal doors were 1.45m by 2.4m.</p>	<b>1</b>
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The Clerk of Works maintained daily records that were consolidated weekly to the District Engineer in copy to the DHO. The project for the upgrade of the Amwonyo HC II to HC III had records dated; 11th/3/2023, 18th/March/2023, 25th/4/2023, 1st/4/2023, 8th/4/2023.</p> <p>The records assessed could not provide daily and weekly records from the CoW (Clerk of Works)</p>	<b>0</b>
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility, the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence of monthly site meetings held by project site committee. The meetings were held on; 28th/2/2023, 28th/3/2023, 28th/4/2023, 24th/5/2023, 28th/8/2023 and 28th/9/2023.</p> <p>There were no dates for the sittings in June and July 2023 hence the LG was not compliant.</p>	<b>0</b>
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence that the LG carried out technical supervision of works on infrastructure projects as per the supervision reports dated; 28th/1/2023, 24th/5/2023 and 26th/6/2023.</p> <p>Since the requirement is at least monthly, the LG was not compliant.</p>	<b>1</b>

13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines  Maximum 10 points on this performance measure	i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0	Verification of works by the DHO was not applicable for the payment which was made. The LG had one health project which the Upgrade of HCII to HCIII at Amwonyu health In Akaa Subcounty. Only 30% of the contract amount which amounted to UGX249,079,592. This amount was an advance to facilitate the start of works hence certification of works was not applicable since there was no work to be verified.	<b>1</b>
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13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines  Maximum 10 points on this performance measure	j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0	The LG had complete procurement file for each health infrastructure contract for example the file for the Upgrade of HCII to HCIII at Amwonyu health In Akaa Subcounty. The evaluation report dated 20th October, 2022 was on file together with Best evaluated bidder notice. The contract signed on 19th January, 2023 was clearly filed in the project file.	<b>1</b>
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**Environment and Social Safeguards**

14	Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework  Maximum 2 points on this performance measure	a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0	One grievance was reported on 14th March, 2023 where one of the workers working on the upgrading of Amwonyo HCII to HCIII was caught fornicating. A meeting was held and the issue was resolved	<b>2</b>
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15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery  Maximum 5 points on this performance measure	a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0	The guidelines for medical waste handling were in place and the Ag DHO had copies though they were not disseminated to the different officers. Lists of officers acknowledging receipt of the guidelines was not availed.	<b>0</b>
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15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>The health Centers manage their own waste generated.</p> <p>All health Centre III facilities have incinerators and the waste generated at health center II was transported to health center III</p>	<b>2</b>
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>The training for health/waste management was carried out on 29th June, 2022 at the District headquarters.</p> <p>The training included 19 health caretakers and 12 health assistants</p>	<b>1</b>
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0</p>	<p>The district had only one project under health that was the Construction of maternity Block &amp; OPD at Amwonyu Health Centre II and there was a fee allocated towards environment and social safeguards in the BoQ</p>	<b>2</b>
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>The upgrade was done on land acquired from one Yusufu Othembi and the land agreement was signed by both parties and dated 19th September, 2022</p>	<b>2</b>

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>The Senior CDO and Environmental Officer did independent monitoring and supervision.</p> <p>For social issues monitoring was done on; 16th June, 2023, 17th April, 2023, 15th February, 2023, 10th November, 2022</p> <p>For environmental issues monitoring was done on; 30th June, 2023, 31st May, 2023, 20th April, 2023, 30th March, 2023</p> <p>These were done for the upgrade of Amwonyo HCII to HCIII</p>	<b>2</b>
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0</p>	<p>An interim certificate was awarded for E &amp; S compliance dated 20th January, 2023 it was endorsed by both CDO and EO and the main contractor was Desert Breez Investment endorsed by the EO and CDO.</p>	<b>2</b>

**Water &  
Environment  
Performance  
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Water &amp; Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> <li>o 90 - 100%: score 2</li> <li>o 80-89%: score 1</li> <li>o Below 80%: 0</li> </ul>	<p>A review of the MIS data provided by the MoWE showed that the district rural water source functionality for FY 2022/2023 was at 79% which was below the scorable level.</p>	<b>0</b>
1	<p>Water &amp; Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water &amp; sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> <li>o 90 - 100%: score 2</li> <li>o 80-89%: score 1</li> <li>o Below 80%: 0</li> </ul>	<p>A review of the MIS data obtained from the MoWE showed that the percentage of the district rural water facilities with functional WSCs for FY 2022/2023 was at 72% which was below the minimum scorable level.</p>	<b>0</b>

N23\_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;

- Above 80%, score 2
- 60% - 80%, score 1
- Below 60%, score 0

A review of the LLGs performance assessment results in water and environment for FY 2022/2023 revealed the following performance;

Abanga 0%

Akaa 0%

Alangi 100%

Athuma 80%

Atyak 100%

Jangokoro 0%

Kango 30%

Nyapea 30%

Paidha 100%

Warr 0%

Zeu 80%

Therefore, the LG average was  
 $(0+0+100+80+100+0+30+30+100+0+80)/11$   
 $= 47.3\%$

N23\_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.

o If 100 % of water projects are implemented in the targeted S/Cs: Score 2

o If 80-99%: Score 1

o If below 80 %: Score 0

Reviewed MoWE MIS data on safe water coverage for FY 2021/2022 which was used for planning of water projects in the previous FY 2022/2023. Below is the safe water coverage per sub county in the district for FY 2021/2022;

- Abanga 95%
- Akaa 86%
- Alangi 57%
- Athuma 65%
- Atyak 89%
- Jangokoro 95%
- Kango 95%
- Nyapea 95%
- Paidha 95%
- Warr 95%
- Zeu 81%

District average safe water coverage was 82%. Sub counties with water coverage below district average were; Alangi (57%), Athuma (65%) and Zeu (81%) SCs

Reviewed water sector annual work plan and budget for FY 2022/2023 dated 8th July 2022 and approved on 11th July 2022 by MoWE. The following water projects were planned for in the district;

- 08 Deep boreholes drilling (hand pump); Alangi (3), Athuma (3) and Zeu (2); hence SCs below district scoring (8/8)
- Piped water supply system (Drilling four (04) production wells); Athuma (2), Alangi (1) and Zeu (1); hence SCs below district average scoring (4/4)

The total number of the projects implemented were 12 and the projects which were implemented in sub-counties with safe water coverage below the district average were 12 in number.

Percentage of projects implemented in S/Cs with coverage below the district average was =  $12/12 \times 100\% = 100\%$

2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates</p> <ul style="list-style-type: none"> <li>o If within +/-20% score 2</li> <li>o If not score 0</li> </ul>	<p>Borehole drilling and construction; Procurement Ref. No. Zomb/935/Wrks/2022-23/00014 had a contract award amount of UGX 184,013,920 against the engineer's estimated amount of UGX 195,072,000 leading to a variation of <math>(11,058,080/195,072,000) \times 100 = 5.7\%</math></p> <p>Construction of piped water system (Drilling of production wells); Procurement Ref. No. Zomb/935/Wrks/2022-23/00015 had a contract award amount of UGX 161,965,620 against the engineer's estimated amount of UGX 162,312,660 leading to a variation of <math>(347,040/162,312,660) \times 100 = 0.2\%</math></p> <p>Construction of a 4-stance VIP pit latrine; Procurement Ref. No. Zomb/935/Wrks/2022-23/00016 had a contract award amount of UGX 20,089,960 against the engineer's estimated amount of UGX 21,999,774 leading to a variation of <math>(1,909,814/21,999,774) \times 100 = 8.7\%</math></p> <p>Therefore, all the variations in the contract price of sampled WSS investments were with +/-20%</p>	2
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p>Maximum 8 points on this performance measure</p>	<p>d. % of WSS infrastructure projects completed as per annual work plan by end of FY.</p> <ul style="list-style-type: none"> <li>o If 100% projects completed: score 2</li> <li>o If 80-99% projects completed: score 1</li> <li>o If projects completed are below 80%: 0</li> </ul>	<p>A review of water sector annual work plan and budget for FY 2022/2023 dated 8th July 2022 and approved on 11th July 2022 by MoWE. The following WSS projects were planned for implementation;</p> <ol style="list-style-type: none"> <li>1. Construction of public VIP latrine</li> <li>2. 08 Deep boreholes drilling (hand pump).</li> <li>3. Piped water supply system (Drilling Production wells - 04).</li> <li>4. Borehole rehabilitation 10 no.</li> </ol> <p>The 4th quarter progress and financial accountability report for FY 2022/2023 dated 7th July 2023 and received by MoWE on 10th July 2023 reported that all the above WSS infrastructure projects were completed by the end of the FY 2022/2023.</p>	2
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <ul style="list-style-type: none"> <li>o If there is an increase: score 2</li> <li>o If no increase: score 0.</li> </ul>	<p>MoWE MIS data showed that 76% of district rural water supply facilities were functioning in the FY 2021/2022</p> <p>Also, MoWE MIS data showed that 79% of district rural water supply facilities were functioning in the FY 2022/2023</p> <p>Therefore, there was an increase of 3.0% in the district rural water supply facilities that were functioning.</p>	2

New\_Achievement of Standards:

The LG has met WSS infrastructure facility standards

*Maximum 4 points on this performance measure*

b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).

o If increase is more than 1% score 2

o If increase is between 0-1%, score 1

o If there is no increase : score 0.

MoWE MIS data indicated that facilities with functional water and sanitation committees in the FY 2021/2022 was 78%

Also, MoWE MIS data indicated that facilities with functional water and sanitation committees in the FY 2022/2023 was 72%

Therefore, there was a decline of 6.0% in water facilities with functional water and sanitation committees.

### **Performance Reporting and Performance Improvement**

Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance

*Maximum 3 points on this performance measure*

The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3

Reviewed water sector annual work plan and budget for FY 2022/2023 dated 8th July 2022 and approved on 11th July 2022 by MoWE. The following WSS projects were planned for implementation;

1. Construction of public latrine
2. 08 Deep boreholes drilling (hand pump).
3. Piped water supply system (Drilling Production wells - 04).

The 4th quarter LG rural water supply and sanitation sector progress report for FY 2022/2023 dated 7th July 2023 and received by MoWE on 10th July 2023 reported on WSS facilities as follows:

- Construction of a 4-stance VIP latrine was completed and functional.
- Drilling of 8 deep boreholes (hand pump) was completed and were functional
- Drilling of 4 production wells was completed successfully.

The following WSS facilities were visited;

1. Ocol borehole DWD 81729 in Athuma sub-county constructed on 31st May 2023 by MSR Technologies Limited was visited and found functional.
2. Asada / Zale trading center production well DWD 87817 in Zeu sub-county constructed in June 2023 by Icon Projects Limited was visited and found complete with a pedestal.
3. Construction of a 4-stance drainable pit latrine with urinal in Zeu sub-county by Sagitarius Engineering Limited was visited and found functional.

Since the information on WSS facilities reported in quarter 4 report for the FY 2022/2023 corroborated with what was seen at the sites visited, it confirmed that the DWO accurately reported on the WSS facilities constructed in the FY 2022/2023.



5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2</p>	<p>The following quarterly reports for FY 2022/2023 contained information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement as below:</p> <ul style="list-style-type: none"> <li>• 1st quarter water sector report for FY 2022/2023, dated 11th October 2022 and received by MoWE on 12th October 2022.</li> <li>• 2nd quarter water sector report for FY 2022/2023, dated 10th January 2023 and received by MoWE on 13th January 2023.</li> <li>• 3rd quarter water sector report for FY 2022/2023 dated 12th April 2023 and received by MoWE on 13th April 2023.</li> <li>• 4th quarter water sector report for FY 2022/2023 dated 7th July 2023 and received by MoWE on 10th July 2023</li> </ul>	2
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5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0</p>	<p>The DWO updated the MIS (WSS data) quarterly for the FY 2022/2023 using data collection form 1 which were submitted to MoWE under cover letter dated 7th July 2023 and received by MoWE on 10th July 2023.</p> <p>A sample of the following new water supply and sanitation facilities that were constructed in the FY 2022/2023 were seen recorded in the WSS data register for FY 2022/2023;</p> <ul style="list-style-type: none"> <li>• Ocol borehole DWD 81729 constructed in Athuma sub-county.</li> <li>• Zeguthe borehole DWD 81724 constructed in Zeu sub-county.</li> <li>• Ozorise borehole DWD 81726 constructed in Alinga sub-county.</li> </ul> <p>These were evidences of updating the MIS (WSS data).</p>	3
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5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>The DWO didn't present performance improvement plans / reports for the 25% lowest performing LLGs in the previous FY.</p>	0
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### Human Resource Management and Development

6	<p>Budgeting for Water &amp; Sanitation and Environment &amp; Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water &amp; Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation &amp; hygiene); 1 Engineering Assistant (Water) &amp; 1 Borehole Maintenance Technician: Score 2</p>	<p>The DWO budgeted for the following water and sanitation staff:</p> <ol style="list-style-type: none"> <li>1. District Water Officer and Assistant Engineering Officer Civil 74,000,000 UGX.</li> <li>2. Assistant Water Officer Sanitation and Hygiene 7,937,712 UGX .</li> </ol>	2
6	<p>Budgeting for Water &amp; Sanitation and Environment &amp; Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment &amp; Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>The LG budgeted for following staff :</p> <ol style="list-style-type: none"> <li>1. District Natural Recourses Officer 32,400,000</li> <li>2. Environment Officer 26,400,000</li> <li>3. Assistant Forest Officer 14,400,000</li> </ol>	2
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>The DWO appraised water office staff against agreed performance plans as listed below:</p> <ol style="list-style-type: none"> <li>1. Enzede Morris Engineering Assistant In Charge Boreholes was appraised on 16th / Aug/ 2023 by Water Officer.</li> <li>2. Tholith Faith Assistant Water Officer Mobilization was appraised on 20th/7/2023 by Water Officer.</li> </ol>	0

Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.

*Maximum 6 points on this performance measure*

b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database :  
Score 3

The DWO presented a capacity needs assessment report dated 25th August 2022 for the DWO staff (3 no.) received by the PHRO.

The DWO staff were trained on engineering designs of piped water supply systems as per training report dated 3rd April 2023 prepared by Ruvakuma Lawrence-Civil Engineer (water).

### **Management, Monitoring and Supervision of Services.**

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

*Maximum 6 points on this performance measure*

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:

- If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
- If 80-99%: Score 2
- If 60-79: Score 1
- If below 60 %: Score 0

Reviewed MoWE MIS for FY 2022/2023. Safe water coverage per sub-county were as follows;

- Abanga 95%
- Akaa 84%
- Alangi 57%
- Athuma 66%
- Atyak 89%
- Jangokoro 95%
- Kango 95%
- Nyapea 95%
- Paidha 95%
- Warr 95%
- Zeu 82%

District average safe water coverage was 86% and Sub-counties with water coverage below district average were; Akaa, Alangi, Athuma and Zeu S/Cs.

Reviewed water sector annual work plan and budget for FY 2023/2024 dated 7th July 2023 and approved on 10th July 2023 by MoWE showed that following water projects were planned to be implemented;

1. Drilling of 10 no. deep boreholes (hand pump) each at UGX 26,485,000 (total UGX 264,850,000). Alangi (2), Athuma (2), Akaa (3) and Zeu (2)

2. Borehole Siting and construction supervision at UGX 15,000,000

3. Construction of zale piped water supply system in Zeu SC at UGX 187,104,650

4. Design of Rabu piped water supply system in Athuma SC at UGX 25,000,000

Giving total allocation of UGX 491,954,650

All the above budget allocations were to sub-counties with safe water coverage below the district average.

Thus, percentage allocation to S/Cs below district average =  $(491,954,650 / 491,954,650) \times 100 = 100\%$

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3</p>	<p>The DWO presented a letter dated 20th July 2023 signed by Batemyetto Jacob-CAO Zombo DLG addressed to all LLGs which communicated the allocations of water sources to be constructed per sub-county in the FY 2023/2024 and was pinned on the district noticeboard.</p>	3
	<p><i>Maximum 6 points on this performance measure</i></p>		<p>The DWO also conducted sub-county advocacy to communicate to the lower local governments their allocations as per the advocacy report dated 13th September 2023.</p>	
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)</p>	<p>The quarterly monitoring reports were presented which had information on the monitoring of each WSS facilities during the FY 2022/2023 as indicated below;</p>	4
	<p><i>Maximum 8 points on this performance measure</i></p>	<ul style="list-style-type: none"> <li>• If 95% and above of the WSS facilities monitored quarterly: score 4</li> <li>• If 80-94% of the WSS facilities monitored quarterly: score 2</li> <li>• If less than 80% of the WSS facilities monitored quarterly: Score 0</li> </ul>	<ul style="list-style-type: none"> <li>• First quarter monitoring report dated 22nd September 2022; 1492 water sources were visited; Abanaga (111), Akaa (85), Athuma (74), Atyak (125), Alangi (123), Jangokoro (74), Padea TC (25), Kango (100), Nyapea (200), Paidha (106), Paidha TC (147), Warr (61), Warr TC (82), Zeu (108), Zeu TC (71) representing 100%</li> <li>• Second quarter monitoring report dated 28th December 2022; 1492 water sources were visited; Abanaga (111), Akaa (85), Athuma (74), Atyak (125), Alangi (123), Jangokoro (74), Padea TC (25), Kango (100), Nyapea (200), Paidha (106), Paidha TC (147), Warr (61), Warr TC (80), Zeu (108), Zeu TC (73) representing 100%</li> <li>• Third quarter monitoring report dated 28th March 2023; 1492 water sources were visited; Abanaga (111), Akaa (85), Athuma (74), Atyak (125), Alangi (123), Jangokoro (74), Padea TC (24), Kango (101), Nyapea (200), Paidha (106), Paidha TC (147), Warr (61), Warr TC (82), Zeu (108), Zeu TC (71) representing 100%</li> <li>• Fourth quarter monitoring report dated 22nd June 2023; 1500 water sources were visited; Abanaga (111), Akaa (85), Athuma (77), Atyak (125), Alangi (126), Jangokoro (74), Padea TC (25), Kango (100), Nyapea (200), Paidha (106), Paidha TC (147), Warr (61), Warr TC (82), Zeu (110), Zeu TC (71) representing 100%</li> </ul>	
			<p>Therefore, the DWO was able to monitor <math>(100+100+100+100)/4 = 100\%</math> of the WSS facilities with the help of the DWO staff and the extension workers.</p>	
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly</p>	<p>The following minutes were evidences of the quarterly DWSCC meetings which discussed issues on WSS projects status and implementation in the district;</p>	2
	<p><i>Maximum 8 points on</i></p>		<p>Minutes of quarter 1 DWSCC meeting held on 15th September 2022 attended by 16</p>	

*this performance measure*

monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2

participants in the health boardroom. Some of the key issues discussed were;

Min/5/WSCC/22-23

- Source protection and catchment management sensitization had been conducted for all the newly water facilities to be developed.
- Water quality testing and analysis had been conducted and results were under compilation.
- Monitoring of all existing water sources was undertaken jointly with the extension staff at the sub county level. A number of O&M issues were identified and communicated to the water users and follow up being made.

Minutes of quarter 2 DWSCC meeting held on 20th December 2022 attended by 20 participants in the executive boardroom. Some of the key issues discussed were;

Min 6: Discussion/Resolutions.

- The existing water sources that have been non-functional for more than five years had been verified for onward submission to the MoWE.
- NWSC promised to consider Songea P/S in the Aгаа project.
- Water quality was still a great challenge since most people didn't know how to treat water and make it safe for drinking.

Minutes of quarter 3 DWSCC meeting held on 23rd March 2023 attended by 17 participants in the health boardroom. Some of the key issues discussed were;

Min 5: Presentation of reports

- Sites for FY 2022/2023 water supply and sanitation projects were handed over to the contractors and works were progressing well.
- Post construction support to water user committees for selected water sources was ongoing.
- Monitoring and supervision of the construction works was ongoing.

Minutes of quarter 4 DWSCC meeting held on 27th June 2023 attended by 17 participants at Peter Karim's Hall. Some of the key issues discussed were;

Min: 5/4/2022/2023

- All existing water sources had been monitored with the help of subcounty extension staff (Health assistants)

- The works on borehole rehabilitation (10 no.) were ongoing within the different sub counties in the district.

- Post construction support was being given to the newly constructed water sources.

9	Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.	c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2	The DWO publicized the budget allocations for the current FY 2023/2024 to LLGs with safe water coverage below the district average by display of a letter dated 20th July 2023 and signed by Batemyetto Jacob-CAO Zombo DLG on the district noticeboard.	<b>2</b>
10	Mobilization for WSS is conducted	a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:	From the approved AWP for FY 2022/2023, the total NWR for rural water and sanitation budget was UGX 70,128,894 and allocation of the NWR to facilitate mobilization activities was UGX 28,571,819.  Therefore, allocation of the NWR to facilitate community mobilization activities was 40.7% which was higher than the minimum of 40% as per the sector guidelines.	<b>3</b>
	<i>Maximum 8 points on this performance measure</i>	<ul style="list-style-type: none"><li>• If funds were allocated score 3</li></ul>		
		<ul style="list-style-type: none"><li>• If not score 0</li></ul>		

Mobilization for WSS is conducted

*Maximum 6 points on this performance measure*

b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.

Reviewed the report titled: Report on training of water source and sanitation committees for newly constructed water facilities dated 18th May 2023. A total of 8 committees (7 members per committee) were trained between 17th March 2023 to 17th May 2023.

The report showed the WSCs in were trained on the following:

- Effective maintenance of water sources.
- To help committee members understand their roles and responsibilities.
- To enable water and sanitation committees establish water operation and maintenance funds.

The following WSS facilities was sampled as detailed below:

- Ocol borehole DWD 81729 in Athuma sub-county and found Opar Wilfred (caretaker) of the WSC who confirmed payment of user fees of UGX 1,000 per household and confirmed they were trained on O&M of the borehole.
- Asada production well DWD 81729 in Zeu sub-county. The production well is designed to be motorized for Asada/Zale piped water supply hence didn't require a water user and sanitation committee as the piped water supply scheme would be managed by Northern umbrella.
- The third WSS facility sampled was a 4-stance drainable pit latrine with a urinal in Zeu SC which didn't require formation and training of a water user and sanitation committee.

## Investment Management



11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:</p> <p>Score 4 or else 0</p>	<p>The DWO presented an excel soft copy of the asset register as for the FY 2022/2023.</p> <p>The document contained details for WSS facilities in the LG with the following key information captured for each facility; Subcounty, Location of facilities, Parish, number of households.</p> <p>The register contained the following WSS constructed in the FY 2022/2023 which showed that the it was updated.</p> <ul style="list-style-type: none"> <li>• Ocol borehole DWD 81729 constructed in Athuma sub-county.</li> <li>• Zeguthe borehole DWD 81724 constructed in Zeu sub-county.</li> <li>• Ozorise borehole DWD 81726 constructed in Alinga sub-county.</li> </ul>	4
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDP III) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p> <p>Score 4 or else score 0.</p>	<p>The DWO conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDP III) and are eligible for expenditure under sector guidelines. The projects included construction and drilling of deep boreholes in Jupangau west in Akaa Subcounty, Oriu in Akaa Subcounty, Ogwaku in Attuma Subcounty. The Desk appraisals were conducted on the 29th May 2023 according to the appraisal report provided for the assessment.</p>	4

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>The DWO presented community applications for the new WSS projects planned for in the FY 2023/2024.</p> <p>Three applications were sampled and detailed below;</p> <ul style="list-style-type: none"> <li>• Application for drilling of a borehole in Jupakopu village, Ndara parish, Alangi SC with 55 households dated 9th September 2023.</li> <li>• Application for drilling of a borehole in Ombakali village, Omoyo parish, Zeu sub-county with 57 households dated 5th January 2023.</li> <li>• Application for drilling of a borehole in Gombri Upper village, Zulume parish, Athuma sub-county with 43 households dated 19th December 2022.</li> </ul>	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>The DWO conducted field appraisals for all WSS projects in the budget to establish whether the prioritized investments were technically feasible, environmental and socially acceptable and fit the required designs. The projects included construction and drilling of deep boreholes in Jupangau west in Akaa Subcounty which was field appraised on the 14th June 2023, construction and drilling of deep boreholes which was field appraised on 19th June 2023, Oriu in Akaa Subcounty, construction and drilling of deep boreholes in Ogwaku in Attuma Subcounty which was field appraised on 12th June 2023.</p>	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>Borehole drilling and construction in Athuma Subcounty, Obajo Village, Zulume Parish</p> <p>In a contract document between Zombo DLG and MSR Limited, January 2023, 1% environmental restoration cost was allocated amounting 193,000shs</p> <p>Borehole drilling and construction in Zeu Subcounty, Padyere Village, Papoja Parish</p> <p>In a contract document between Zombo DLG and MSR Limited, January 2023, 1% environmental restoration cost was allocated amounting 339,750shs</p> <p>Production well construction in Aringa Village, Abagi parish, Athuma Subcounty</p> <p>In a contract document between Zombo DLG and ICON Projects Limited, January 2023, under preliminaries Environment and social safeguards were costed in the ESMP</p>	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>There was evidence of incorporating Water and sanitation in the approved Procurement Plan for current FY dated 1st July, 2023. The activities incorporated in the Plan included; drilling of deep borehole and construction of Namthin Vented Drift.</p>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>There was evidence of approval of the water supply and public sanitation infrastructure of the previous FY in a procurement plan dated 1st July, 2023</p>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>The LG improperly established the Project Implementation team whose membership comprised of;</p> <ol style="list-style-type: none"> <li>1. The contracts manager; Eng. Ruvakuma Lawrence</li> <li>2. Project manager; Ngageno Isaac</li> <li>3. The Environment officer; Owor Martin</li> <li>4. The CDO; Ongiera Walter</li> <li>5. Labour officer; Berocan Pride</li> <li>6. Clerk of works; Otingcwinyu Joseph</li> </ol> <p>.</p>	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>There was evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided as observed from the three water projects were sampled.</p> <p>1. Sampled the construction of a 4-stance drainable pit latrine with a urinal in Zeu SC, it was constructed as per the floor plan dimensions with a vent pipe and G28 pre-painted iron sheets.</p> <p>The drawings were availed by the DWO.</p> <p>2. Sampled Ocol borehole DWD 81729 in Athuma sub-county.</p> <p>The following measurements were checked and found to conform to the measurements on the design drawings provided by the DWO;</p> <ul style="list-style-type: none"> <li>• Pump pad radius of 0.9M</li> <li>• Length of drainage channel 6.0M</li> <li>• Platform stand (Length = 0.6M and width = 0.6M).</li> </ul> <p>3. Sampled Asada production well DWD 87817 in Zeu sub-county.</p> <p>The following measurements were checked and found to conform to the measurements on the design drawings provided by the DWO;</p> <ul style="list-style-type: none"> <li>• Pedestal with pump pad radius of 0.9M</li> </ul>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2</p>	<p>There was evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects for example; the borehole drilling whose execution period was 2 months had monthly reports dated; 14th May, 2023, 6th June, 2023 and 8th June, 2023</p>	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts</p> <p>o If 100 % contracts paid on time: Score 2</p> <p>o If not score 0</p>	<p>Evidence was adduced during the assessment that the DWO verified works and initiated payments of contractors with in specified time frames in the contracts. A review was done on the following projects and the following was found;</p> <p>1. Drilling and construction of boreholes in the Financial Year 2022-2023 under contract no. ZOMB/935/WRKS/22-23/00014 contracted by MSR technologies. A payment request was initiated on 2nd June 2023 and the DWO Mr. Lawrence Ruvakuma together with the Cival Engineer, Environments officer and the internal auditor verified works and issued a payment request on 8th June 2023 where as a payment was conducted on 26th October 2023 under EFT no. 6420393 of UGX178,357,837.</p> <p>2. Drilling, Development and construction of 4 boreholes in Zombo district under contract reference no. ZOMB/935/WRKS/2022-2023/00014 by ICONS limited. The contractor issued a requisition of 5th June 2023 which was endorsed in a period of 2 days on 8th June 2023 the DWO Mr. Lawrence Ruvakuma. Payment of worth UGX161,965,620 was made to the contractor under EFT6424784 after full endorsement and certification from the District Water Officer.</p>	2
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12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:</p> <p>Score 2, If not score 0</p>	<p>There was evidence of a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law.</p> <p>The project; Deep Borehole drilling Ref. ZOMB/587/Wrks/22-23/00014 file had; the evaluation report dated 22nd December, 2022 which was approved by the CC on 22nd December, 2022, contract acceptance letter 10th Janaury, 2022</p>	2
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**Environment and Social Requirements**

13	<p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p>	<p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p> <p>Score 3, If not score 0</p>	<p>During the rehabilitation of Aluda gravity flow scheme one of the residents complained that an access road was opened in their land without their knowledge.</p> <p>The grievance was recorded on 10th September, 2022</p> <p>The committee sat on 10th June 2022 after conducting though investigation and the issue was responded to and resolved.</p>	3
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14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source &amp; catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>Framework and guidelines for water source Protection.</p> <p>Volume 3: Guidelines for protecting water sources for point water supply systems</p> <p>The guidelines were disseminated in a meeting held on 29th August, 2022 in a meeting held at the district headquarters.</p>	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans &amp; natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p>	<p>The water source plan for the projects planned for construction in the financial year 2022/2023 was prepared by the Environmental Officer and Civil Engineer (water) on 18th August, 2022</p>	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 3, If not score 0</p>	<p>There was evidence that all WSS projects for the FY 2022/2023 were implemented on land where Zombo DLG has proof of consent as shown from the samples below:</p> <ol style="list-style-type: none"> <li>1. Land consent agreement for piece of land for development of community water source (deep borehole) dated 16th November 2022 between Opar Wilfred and the community of Ocol village, Zulume parish, Athuma sub-county Zombo district measuring 5M2. The consent form was signed off by Ocamo Goeffrey - LC1 chairperson, Onegiu Jinaro – Athuma sub-county chairperson and Oroma Milly SAS – Athuma SC.</li> <li>2. Land consent agreement for piece of land for development of community water source (deep borehole) dated 22nd February 2022 between Ubidha Pola and the community of Agbandru village, Gamba parish, Alabi sub-county Zombo district measuring 4M2. The consent form was signed off by Meuva Godfrey - LC1 chairperson, Madurwoth Richard – Alangi sub-county chairperson and Jawoth Peter – SAS Alangi SC.</li> <li>3. Land consent agreement for piece of land for development of community water source (deep borehole) dated 23rd February 2022 between Ongiera Anjelina and the community of Zeguthe village, Lendu parish, Zeu sub-county, Zombo district measuring 5M2. The consent form was signed off by Manano Peter - LC1 chairperson, Pimundu Francis – Zeu sub-county Chairperson and Cikawum Hudson – SAS Zeu SC.</li> </ol>	3

15	Safeguards in the Delivery of Investments  <i>Maximum 10 points on this performance measure</i>	c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:  Score 2, If not score 0	For the projects under water and sanitation, the Head of PDU alleged that there was certification by the Environment Officer, CDO prior to payment of contractor but for the two days of assessment, documentary evidence was not provided as proof.	0
15	Safeguards in the Delivery of Investments  <i>Maximum 10 points on this performance measure</i>	d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:  Score 2, If not score 0	<p>Borehole drilling and construction in Athuma Subcounty, Obajo Village, Zulume Parish:  Environmental Monitoring; 30th May, 2023, 28th April, 2023,30th March, 2023.  Social Monitoring; 07th June, 2023, 11th May,2023, 04th April, 2023</p> <p>Borehole drilling and construction in Zeu Subcounty, Padyere Village, Papoja Parish  Environmental Monitoring; 31st May, 2023, 28th April, 2023,30th March, 2023.  Social Monitoring; 18th June, 2023, 20th April,2023, 22nd March, 2023</p> <p>Borehole drilling and construction in Alangi Subcounty, Agbandru Village, Gamba Parish  Environmental Monitoring; 30th May, 2023, 28th April, 2023,31stMarch, 2023.  Social Monitoring; 14th June, 2023, 20th April,2023, 21stMarch, 2023</p>	2

**Micro-scale  
Irrigation  
Performance  
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>At the time of assessment, there was no evidence presented on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries.</p> <p>However, a report was shared on the status of micro and small-scale irrigation development in the district, showing a total of 39.5 acres irrigated in the whole DLG before the MSI program. Report was dated 6th/12/22 and compiled by the DAO_ Aneniwu Patrick.</p>	0
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> <li>• By more than 5% score 2</li> <li>• Between 1% and 4% score 1</li> <li>• If no increase score 0</li> </ul>	<p>There was evidence that the LG had registered an increase in acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <p>According to;</p> <ul style="list-style-type: none"> <li>- A report of micro and small-scale irrigation development in the district, showing a total of 39.5 acres irrigated in the whole DLG before the MSI program. Report was dated 6th/12/22 and compiled by the DAO_ Aneniwu Patrick.</li> <li>- A microscale irrigation subgrant quarterly report of Q4, FY 22/23, dated 15th/9/23 by the SAO indicating 4.5 acres added this FY through the UgIFT MSI program.</li> </ul> <p>Hence Total Acreage = 39.5+4.5 = 44 acres</p> <p>% increment = <math>\frac{44 - 39.5}{39.5} \times 100</math></p> <p>= <math>\frac{44 - 39.5}{39.5} \times 100 = 10.1\%</math></p>	2



2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> <li>• Above 70%, score 4</li> <li>• 60% - 70%, score 2</li> <li>• Below 60%, score 0</li> </ul>	<p>The micro-scale irrigation LLG performance has improved between the previous year but one and the previous year. According to the comparison provided in the Analysis - LLG PA 2023 Synthesis Report dated 20th October 2023 as extracted from OPAMS. The micro-scale irrigation LLG performance scores in 2022 was 63% and 91% in 2023. One can therefore infer that the LG had a 28% improvement in the micro-scale irrigation LLG performance assessment.</p>	4
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines  Maximum score 6</p>	<p>a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0</p>	<p>Evidence was presented that the development component of micro-scale irrigation grant was used on eligible activities. For example,</p> <ul style="list-style-type: none"> <li>- The UGIFT Microscale Irrigation Budget Performance report for the FY 2022/23 dated 7th/9/2023 and compiled by the DAO Patrick Aneniwu indicated that Ugx 64,777,500 was used on establishment of Demonstration sites, which was part of the eligible activities as per the grant guidelines.</li> <li>- The Zombo DLG Annual workplan FY 2022/2023 was presented, approved by the CAO, prepared by the DAO and certified by CFO on 5th/12/2022. The workplan indicated that Ugx 64,777,500 was budgeted for procurement and installation of Demonstration sites.</li> </ul>	2
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines  Maximum score 6</p>	<p>b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0</p>	<p>There was no any farmer acceptance form on file during the time of assessment. The file reviewed for supply and installation of an irrigation system in Paidha, Nyapea and Alangi sub counties under contract no. ZOMB/935/WRKS/2022-2023/00 Contracted by Mareki Awanga Solutions where a payment of UGX64,777,500 was made but the farmer acceptance and confirmartion file was not on file and not provided for the assessment.</p>	0

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0	The supply and installation of an irrigation system in Paidha, Nyapea and Alangi sub counties under contract no. ZOMB/935/WRKS/2022-2023/00 Contracted by Mareki Awanga Solutions where the contract price was UGX64,777,500. This was the same amount as engineers estimates and therefore the variation was 0% which is within +/-20%.	1
	Maximum score 6			
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY	The micro-scale irrigation equipment where a contract was signed was installed during last FY. The file reviewed for supply and installation of an irrigation system in Paidha, Nyapea and Alangi sub counties under contract no. ZOMB/935/WRKS/2022-2023/00 Contracted by Mareki Awanga Solutions where a payment of UGX64,777,500 was installed and a complete installation was done on the aforementioned areas.	2
	Maximum score 6	<ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• Between 80 – 99% score 1</li> <li>• Below 80% score 0</li> </ul>		
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	a) Evidence that the LG has recruited LLG extension workers as per staffing structure	The LG staffing structure had customised 3 extension workers per LLG, therefore the LG provided evidence that it had recruited staff as per the guidelines:	1
	Maximum score 6	<ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• If 75 – 99% score 1</li> <li>• If below 75% score 0</li> </ul>	32/42 x 100 = 76.1 %	
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF	There was evidence that the irrigation demonstration sites in the different LLGs meets standards as defined by MAAIF.	2
	Maximum score 6	<ul style="list-style-type: none"> <li>• If 100% score 2 or else score 0</li> </ul>	Upon visiting the site acreages were in line with MAAIF demo site standards i.e Paidhas/c site at 1.5 acres, Nyapea s/c site at 1.5 acres and Alangi s/c site at 1.5 acres.	
			Also, the installed systems were drip, sprinkler, drag hose and rain gun that were generator powered, which was in line with MAAIF standards.	
			The installed systems also met standards as per the inventory report of installed MSI equipment dated 28th/8/23, Signed by Stores officer for Production department Olwora Michael.	

4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	<p>b) Evidence that the installed micro-scale irrigation systems during last FY are functional</p> <ul style="list-style-type: none"> <li>• If 100% are functional score 2 or else score 0</li> </ul>	<p>Upon visiting the demo site at Nyapea s/c, it was found that the 4 systems (drip, sprinkler, drag hose and rain gun) installed were all functional. The drip irrigation system was operated by the tank water head, while the rest of the system were operated by a diesel pump.</p>	2
	Maximum score 6		<p>The site was owned by Chengkuru Peace Robert who utilizes the system to irrigate coffee intercropped with Bananas.</p>	

### Performance Reporting and Performance Improvement

5	Accuracy of reported information: The LG has reported accurate information	<p>a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0</p>	<p>There was accurate reporting on position of extension workers. When the assessment reviewed the staff at the DPO's desk and a field visit to Zombo TC revealed that the number of staff was 27, at Zeu S/C, the staff were 7 and at Kango the staff were 9. The number of the extension staff at the 3 sampled LLGs was in tandem with the number at the DPO staff list.</p>	2
	Maximum score 4			
5	Accuracy of reported information: The LG has reported accurate information	<p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p>	<p>The inventory report of installed MSI equipment dated 28th August 2023. Signed by Stores officer for Production department Olwora Michael, presented installed components but did not specify functionality.</p>	0
	Maximum score 4			
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	<p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>	<p>At the time of assessment, there was evidence that information was collected quarterly on newly irrigated land, functionality of irrigation equipment installed, provision of complementary services and farmer EOI.</p>	0
	Maximum score 6		<p>- A microscale irrigation subgrant quarterly report of Q4, FY 22/23, dated 15th/9/23 by the DAO.</p>	
			<p>The report indicated a total of EOI registered as 237 persons.</p>	
			<p>Only Q4 report was presented and according to the DAO most activities were conducted within this quarter. There quarterly reports were not presented for assessment.</p>	

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0	Evidence was presented on up to-date LLG information entry into the MIS.  - According to a printed microscale irrigation subgrant quarterly report of Q4, FY 22/23, dated 15th/9/23 by the DAO indicating 171 farm visits on Pg 34 of 8.	1
	Maximum score 6			
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0	There was evidence presented on preparation of quarterly report using information compiled from LLGs in the MIS for example,  - According to a printed microscale irrigation subgrant quarterly report of Q4, FY 22/23, dated 15th/9/23 by the DAO showing a total of 162 attendees for LLG awareness events, with 105 males and 57 females.  - Only Q4 report was presented and according to the DAO, this was because most activities were conducted within this quarter	1
	Maximum score 6			
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	The DPO was not available to provide information on whether the PIP for the lowest performing LLGs had been developed. The officer he delegated to caretake the office (Fisheries officer) did not know what the PIP was.	0
	Maximum score 6			
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	No evidence was presented on Implementing Performance Improvement Plans for lowest performing LLGs.  The DPO was not available to give feedback on this but, the office left around to caretake the office (Fisheries officer) did not know what the PIP was.	0
	Maximum score 6			

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0</p>	<p>There was evidence of that the LG had budgeted for extension workers according to the;</p> <ul style="list-style-type: none"> <li>- Zombo DLG Production department workplan and Budget estimates for FY 23/24, prepared by DPO, checked by CFO and approved by CAO showing "Payment of salary of field Agricultural extension officers" a total of Ugx 1,040,138,000.</li> </ul> <p>Extension officers were also included in the Production department staff list date 27th/7/23 showing staff e.g.</p> <ul style="list-style-type: none"> <li>- Ananiu Patrick as SAO at district HQ, U3Sc</li> <li>- Imvikia Dorothy as AO at Nyapea subcounty U4Sc</li> <li>- Pitua Collin as AAHO at Nyapea subcounty U5Sc</li> </ul>	1
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	ii Deployed extension workers as per guidelines score 1 or else 0	<p>There was evidence of deployed extension workers for example;</p> <ul style="list-style-type: none"> <li>- Posting instructions for Imvikia Dorothy as an Agricultural officer at Nyapea subcounty, signed by the CAO Batemyetto Jacob, Ref: CR/161/1.</li> </ul>	1
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0	<p>The LLGs provided staff attendance book showing attendance of extension staff</p> <ol style="list-style-type: none"> <li>1. Zombo TC e.g. Openj Mungu Moses as Agricultural Officer,</li> <li>2. Zeu Subcounty e.g. Denis Aliasi as Assistant Agriculture officer</li> <li>3. Kango subcounty e.g. Robert Nyiwan as Assistant Veterinary officer</li> </ol>	2
	Maximum score 6			
	Maximum score 6			
	Maximum score 6			

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

Maximum score 6

c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board.  
Score 2 or else 0

The LG provided evidence showing extension workers' deployment being publicized on the noticeboard in the three sampled LLGs as follows

1. Zombo Town council with 27 staff on the list e.g. Pithua Albert as Veterinary officer, Bayo Arnold as Asst. Agric. Officer and Yegutho Brenda as Agric Officer
2. Zeu Subcounty with 7 staff out of 11 displayed on the noticeboard e.g Nyeko Osman as Fisheries officer, Denis Alias as Asst. Agric Officer.
3. Kango Subcounty with 9/11 staff were displayed on the noticeboard e.g. Asiku John Keneddy as Agric. officer and Nyiwan Robert as Asst. Veterinary officer

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	a) Evidence that the District Production Coordinator has:	The LG conducted annual performance appraisals of all the extension workers but outside the MoPS set guideline as listed below:	0
	Maximum score 4	i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0	<ol style="list-style-type: none"> <li>1. Acirocan Dorothy Agricultural Officer Paidha Sub County was appraised on 21st/Aug/2023.</li> <li>2. Turinawe Cleophus Fisheries Officer Alangi Sub County was appraised on 3/Aug/2023.</li> <li>3. Invikia Dorothy Agricultural Officer Nyapea Sub County was appraised on 1st /Aug/2023.</li> <li>4. Nyiwan Robert Asistant Agricultural Officer Kango Sub County was appraised on 4th /Aug/2023.</li> <li>5. Munguaciel Ronald Assistant Agricultural Officer Nyapea Sub County was appraised on 6th /7/2023.</li> <li>6. Cwinyaa UJ Martine Animal Husbandry Officer Zombo Town Council was appraised on 1st /Aug/ 2023.</li> <li>7. Kasamba Tito Olong, Assistant Agricultural Officer, deployed at Alangi Sub County was appraised on 8th/8/2023.</li> <li>8. Adegitho Francis, Assistant Hanimal Husbandry Officer, deployed at Alangi Sub County and appraised on 8th/8/2023.</li> <li>9. Asiku John Kennedy, Assistant Agricultural Officer deployed at Kango Sub County and was appraised on 21st/8/2023.</li> <li>10. Wanguich Noel , Agricultural Officer deployed at Jangokoro Sub County and was appraised on 28th/7/2023.</li> </ol>	
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	a) Evidence that the District Production Coordinator has;	Evidence not availed by time of assessment.	0
	Maximum score 4	Taken corrective actions: Score 1 or else 0		

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>b) Evidence that:</p> <p>i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0</p>	<p>There was evidence for implementation training activities conducted for example,</p> <ul style="list-style-type: none"> <li>- Training in people's energy project Uganda, Solar powered irrigation systems software-based design training.</li> </ul> <p>Training by Ambuyo Albert and funded by GIZ.</p> <ul style="list-style-type: none"> <li>- Certificate of completion of the UGIFT MSI online training for module 1 by the AO Imvikia Dorothy dated 25th/7/2022.</li> <li>- Certificate of training on Climate Smart Agriculture and Sustainable Land Management, by Alias Denis, and funded by DINU, dated 25th/11/22.</li> </ul>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>ii Evidence that training activities were documented in the training database: Score 1 or else 0</p>	<p>There was no evidence that training activities were documented in the training database.</p> <p>There was no training database present at the time of assessment.</p>	0

**Management, Monitoring and Supervision of Services.**

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 - 75% capital development; and 25% complementary services): Score 2 or else 0</p>	<p>The Local Government made a supplementary on 4th October 2023. Under the supplementary Annex for Microscale Irrigation included a total Microscale irrigation budget of worth UGX530,248,512. This budget was segmented into 75% for capital development worth UGX397,686,384 and complimentary services 25% worth UGX132,562,128 compliant with the guidelines.</p>	2
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9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	<p>The total budget for Microscale irrigation was UGX530,248,512 based on the LG supplementary annex. However an additional aspect of co-funding was reflected through local revenue of worth UGX125,000,000 thus the total budget inclusive of co-funding being UGX655,248,512. Out of the total budget 25% worth UGX163,812,128 was allocated for LG capacity building and 75% worth UGX493,436,384 was allocated for enhancing farmer capacity to uptake the Microscale irrigation therefore being compliant with guidelines.</p>	2
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>On page 25 of the Approved annual estimates 2023/2024, co-funding was provided for assorted equipment including agricultural supplies and services at a cost of UGX125,000,000 being risen from Local revenue. Therefore, the cofunding is reflected in the LG Budget and allocated as per guidelines.</p>	2
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>There was no co-funding utilization information for the Previous Financial year provided for the assessment.</p>	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>There was evidence on dissemination of information on use of the farmer co-funding For example,  A report on awareness raising events on micro scale irrigation program at district level, where use of farmer cofunding was presented under the section of "Grant n implementation guidelines" by the DAO.</p>	2
	<p>Maximum score 10</p>		<p>The report was compiled by the DAO Patrick on 7t March 2023.</p>	

10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines	a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)	No evidence that the DPO monitored on a monthly basis installed micro- scale irrigation equipment.	0
	Maximum score 8	<ul style="list-style-type: none"> <li>• If more than 90% of the micro-irrigation equipment monitored: Score 2</li> <li>• 70-89% monitored score 1</li> <li>Less than 70% score 0</li> </ul>	However, joint monitoring report by the DAO was presented, titled “A progress report on Technical supervision of Installation of microscale irrigation equipment at demonstration farm”. Report Dated 24th/6/23, by the water officer_ Ruvakoma Lawrence	
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines	b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0	No evidence was presented on oversight for technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period.	0
	Maximum score 8		No reason was given by the DAO for not conducting the training	
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines	c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0	There was no evidence presented that the LG had provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines. However, the DAO confirmed that 2 training reports by MAAIF and LG	0
	Maximum score 8			
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	No evidence was presented that the LG had established and run farmer field schools as per guidelines.	0
	Maximum score 8		According to the DAO, Farmer field schools are scheduled for this year.	

11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0</p>	<p>Evidence was presented on the LG conducting activities to mobilize farmers as per guidelines for example,</p> <ul style="list-style-type: none"> <li>- A report on activity awareness creation under Microscale irrigation, compiled by AAO Kennedy Asiku, dated 10th/5/23.</li> <li>- Report on awareness raising events on community radio, dated 28th July 2023, compiled by Aneniwu Patrick, SAO.</li> </ul>	2
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11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0</p>	<p>Evidence was presented about training of staff and political leaders at District and LLG levels, e.g.,</p> <ul style="list-style-type: none"> <li>- A report on awareness raising on micro scale irrigation program at subcounty Level, dated 7th March 2023 and compiled by the DAO.</li> <li>- A report on awareness raising events on micro scale irrigation program at district level, compiled by the DAO Patrick on 7th March 2023.</li> </ul>	2
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**Investment Management**

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0</p>	<p>Evidence was presented that the LG had an updated register of micro-scale irrigation equipment Supplied to farmers in the previous FY, i.e</p> <ul style="list-style-type: none"> <li>- An inventory report of installed Microscale Irrigation equipment dated 28th August 2023. Signed by Stores officer for Production department Olwora Michael.</li> </ul> <p>For example, the report indicated that one water tank was supplied to all the 3 sites of Alangi, Nyapea and Paidha subcounty.</p>	2
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12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p>	<p>Evidence was presented on keeping an up to-date database of applications at the time of the assessment for example,</p> <ul style="list-style-type: none"> <li>- The database in the microscale irrigation file for Akaa subcounty indicting for example the EOI form for Ounga Lucious, Akaa subcounty, Ayaka Parish</li> </ul>	<b>2</b>
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>At the time of assessment, evidence was presented that the district had carried out farm visits to farmers that submitted complete Expressions of Interest (Eol).E.g,</p> <ul style="list-style-type: none"> <li>- A microscale irrigation subgrant quarterly report of Q4, FY 22/23, dated 15th/9/23 by the SAO.</li> <li>- The report indicates 171 farm visits conducted including; a farmer Named Orochi Aldo (M) NIN: CM640871001VRJ,</li> </ul> <p>Visited on 18th/7/23 at 9:50 am, Zombo DLG, Abanga subcounty, Awonyo Village.</p>	<b>2</b>
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>There was no DDEG project under the Microscale irrigation program and therefore no reasons for publicizing of DDEG financed projects under the sector.</p>	<b>2</b>
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>There was evidence of incorporating MSI irrigation systems in the approved Procurement Plan for current FY dated 1st July, 2023 which incorporated activities like supply and installation of an irrigation system and purchase of assorted items.</p>	<b>1</b>

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0	There was evidence of a per-qualified list of suppliers from MAAIF for example M/s Mareki Awanga Solutions for the supply and installation of an irrigation system in Paidha under contract no. ZOMB/935/WRKS/2022-2023/00	<b>2</b>
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0	There was evidence for selection of the irrigation equipment supplier based on the set criteria for example; the evaluation report dated 16th June 2023 approved by the CC on 16th June, 2023 followed the set criteria and awarded a contract to M/s Mareki Awanga Solutions.	<b>2</b>
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0	The Contracts Committee approved the micro-scale irrigation systems for the previous FY on 14th April, 2023 before commencement of supply.	<b>1</b>
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	The LG signed a contract with the lowest priced technically responsive equipment supplier M/s Mareki Awanga Solutions on 20th June, 2023	<b>2</b>

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	There was evidence was presented that the micro-scale irrigation equipment installed was in line with the design output sheet (generated by irriTrack App), or the approved workplan by MAAIF.	2
	Maximum score 18		A technical supervision of Installation of microscale irrigation equipment at demonstration farm dated 24th/June/2023 noted that the irrigation equipment followed standard designs.	
			Further more, a visitto the site, it was revealed that the equipment installed were in line with the submitted designs by the contractor in the contract file, e.g the Demo site at Nyapea subcounty by Chengkuru Peace Robert.	
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0	There was evidence that the LG conducting regular technical supervision of micro-scale irrigation projects by the relevant technical officers .for instance;	2
	Maximum score 18		- A progress report on Technical supervision of Installation of microscale irrigation equipment at demonstration farm. Report Dated 24th/6/23, by the water officer_ Ruvakoma Lawrence.	
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	h) Evidence that the LG has overseen the irrigation equipment supplier during: i. Testing the functionality of the installed equipment: Score 1 or else 0	There was evidence that the LG had overseen the irrigation equipment supplier during testing the functionality of the installed equipment.	1
	Maximum score 18		- A progress report on Technical supervision of Installation of microscale irrigation equipment at demonstration farm. Report Dated 24th/6/23, by the water officer_ Ruvakoma Lawrence.	
			The report indicates testing the functionality of the installed equipment by the Water officer.	

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	At the time of assessment, no evidence was presented that the LG had overseen the irrigation equipment supplier during the Hand-over of the equipment to the Approved Farmer.  This is because no formal handover has been conducted by the district	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	There was only one supplies file in the financial year. The file reviewed for supply and installation of an irrigation system in Paidha, Nyapea and Alangi sub counties under contract no. ZOMB/935/WRKS/2022-2023/00 Contracted to M/s Mareki Awanga Solutions where the contractor issued an invoice on 20th June 2023 and a payment of UGX64,777,500 was made on 23rd July 2023 and the client receipted the LG on 30th June 2023. The payment was within a specified timeframe of not more that 10 days after invoicing.	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	The project for the supply and installation of an irrigation system in Paidha, Nyapea and Alangi sub counties under contract no. ZOMB/935/WRKS/2022-2023/00 Contracted to M/s Mareki Awanga Solutions had a complete procurement file; the evaluation report dated 16th June 2023 approved by the CC on 16th June, 2023, contract ward was on 16th June, 2023 to M/s Mareki Awanga Solutions and the contract was signed on 20th June, 2023.	2

## Environment and Social Safeguards

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0	No evidence was shown about the Local Government displaying details of the nature and avenues to address grievance prominently in multiple public areas.	0
	Maximum score 6		However at the time of assessment, a grievance redress management book was presented by the DCDO that included UGIFT MSI program cases addressed. E.g	
			On 10th/6/23, A farmer reported destruction of his crops during site installation by the Demo farmer James, the case was settled and James was asked to pay 100,000/- to the complainant.	
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	b) Micro-scale irrigation grievances have been: i). Recorded score 1 or else 0 ii). Investigated score 1 or else 0 iii). Responded to score 1 or else 0 iv). Reported on in line with LG grievance redress framework score 1 or else 0	Grievance redress paths charts were pinned at all the notice boards within the district headquarters offices.	1
	Maximum score 6			
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	b) Micro-scale irrigation grievances have been: ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	A grievance was recorded on 10th June, 2023  In one of the micro scale irrigation demonstration sites, there was destruction of crops during transportation of materials to the site	1
	Maximum score 6			
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	b) Micro-scale irrigation grievances have been: iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	The grievance was investigated and findings were communicated in a meeting held on 6th September, 2023	1
	Maximum score 6			



14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>The grievance was handled in line with the LG grievance redress framework.</p>	<b>1</b>
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**Environment and Social Requirements**

15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.</p> <p>score 2 or else 0</p>	<p>Evidence was presented on the dissemination on Micro- irrigation guidelines for proper siting, land access and safe disposal of chemical waste containers. For example,</p> <ul style="list-style-type: none"> <li>- A report on activity awareness creation under Microscale irrigation, compiled by AAO Kennedy Asiku, dated 10th/5/23.</li> <li>- MOU signed between the DLG and Umirambe Simon from Alangi subcounty on 17th/5/23.</li> <li>- MOU signed between the DLG and Shidanakazi Farmers from Paidia subcounty on 17th/5/23.</li> <li>- MOU between the DLG and Robert Peace from Nyapae subcounty on 17th/5/23.</li> </ul>	<b>2</b>
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15	Safeguards in the delivery of investments Maximum score 6	b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.  i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0	Supply and installation of micro scale irrigation system in Cula, Angar Parish, Alangi Subcounty, Zombo. The Environmental screening was done on 20th July, 2022 and the Social Screening forms was dated 12th June, 2022  ESMP; 20th July, 2022  Supply and installation of micro scale in Oryeo Village, Cana Parish, Paider Subcounty, the Environmental screening form was dated 20th July, 2022 and Social Screening forms dated 14th June, 2022  ESMP; 20th July, 2022  Supply and installation of micro scale in Kalangoja Village, Osoye Parish, Subcounty, the Environmental screening was dated 20th July, 2022 and the Social Screening forms was 13th June, 2022  ESMP; 20th July, 2022	1
15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	The monthly reports provided were only highlighting environmental and social issues. And therefore issues of efficiency of system in terms of water conservation, use of agro-chemicals and management of resultant chemical waste containers were not highlighted	0
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	All the projects were contracted to one single contractor and so they were all certified-on 22nd June, 2023 for environmental issues and endorsed by the Environmental officer	1
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	All the projects were contracted to one single contractor and so they were all certified-on 22nd June, 2023 for social issues and endorsed by the CDO	1

**Crosscutting Minimum Conditions**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Human Resource Management and Development</b>				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	The LG substantively appointed Mukili Lomoro Frankson as the CFO vide letter dated 23rd /April / 2015 under DSC minute: DSC/ZB/30/2015	<b>3</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	The position of the DP was neither substantively appointed nor was there secondment of anybody from MoPS. However, Mr Nyipir Emmanuel Humphrey the Senior Planner had been assigned duties of the DP by the CAO vide letter dated 30th July 2023.	<b>0</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	The position of DE was neither substantively filled nor was there secondment of anybody from MoPS. However Mr. Ngageno Isaac Odoki the senior Engineer was in acting position of DE signed by Marley Ben Lawrence CAO vide letter dated 1st July 2022.	<b>0</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	The LG appointed Bedijo Geoffrey as DNRO vide letter dated 1st/ November/ 2021 under DSC minute: SDC/ZB/04/10/ 2021, CR/ZD/156/3.	<b>3</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	The position of the DPO was neither substantively appointed nor was there a secondment of anybody from MoPS . However, Dr Kumakech Walter Onegiu the Senior Veterinary officer had been assigned duties of the DPO by the CAO vide letter dated 1st July 2023,CR/156.	<b>0</b>

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>f. District Community Development Officer/Principal CDO, score 3 or else 0</p>	<p>The LG substantively appointed Ocaki Samuel as DCDO vide letter dated 10th/June / 2019 under DSC/ZB/99/2019, CR/156/2019/1.</p>	3
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0</p>	<p>The position of the DCO was neither substantively filled nor was there a secondment from MoPS.</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.</p>	<p>The LG substantively appointed Nyamungu Jesca as Senior Procurement Officer vide letter dated 19th February 2018 under DSC minute: DSC/ZB/79/2018.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0</p>	<p>Zombo LG substantively appointed Laikope Innocent as Procurement Officer vide letter dated 7th April 2020 under DSC minute: DSC/ZB/021/2020.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>i. Principal Human Resource Officer, score 2 or else 0</p>	<p>The LG substantively appointed Ocola Alfred as PHRO vide letter dated 24th January 2019 under DSC minute: DSC/ZB/ 8/2018.</p>	2

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the</p> <p>District/Municipal Council departments. Maximum score is 37.</p>	<p>j. A Senior Environment Officer, score 2 or else 0</p>	<p>The position of SEO was neither substantively filled nor was there a secondment.</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the</p> <p>District/Municipal Council departments. Maximum score is 37.</p>	<p>k. Senior Land Management Officer /Physical Planner, score 2 or else 0</p>	<p>The position of SLMO was neither substantively filled nor was there a secondment.</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the</p> <p>District/Municipal Council departments. Maximum score is 37.</p>	<p>l. A Senior Accountant, score 2 or else 0</p>	<p>The position of Senior Accountant was neither substantively appointed nor was there a seconded staff.</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the</p> <p>District/Municipal Council departments. Maximum score is 37.</p>	<p>m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0</p>	<p>The LG substantively appointed Kalonzo Pimudu Emmy as PIA vide letter dated 7th April 2020 under minute no: DSC/ZB/ 025/ 2020.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the</p> <p>District/Municipal Council departments. Maximum score is 37.</p>	<p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p>	<p>The position of Secretary DSC was neither substantively filled nor was there a seconded staff from MoPS.</p>	0

New\_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).

The LG had 14 LLGs which included 10 Sub Counties and 4 Town Councils. SAS had been appointed as follows:

1. Chothembo Fred vide letter dated 29th/5/ 2017 signed by the CAO Mussa Ismal Onzu under DSC minute: DSC/ZB/26/2017. Deployed at Nyapea Sub County.
2. Okech Robert Jalbyei vide letter dated 01/12/2012 signed by the CAO Okello Peter under DSC minute: 24/DSC/ZB/40/2012. Deployed at Paidha Town Council.
3. Odongo Odokoyot Albert vide letter dated 11th/3/2019 signed by the CAO Mussa Ismal Onzu under DSC minute: DSC/ZB/59/2018. Deployed at Padea Town Council.
4. Jawotho Peter vide letter 24th/ 1/2019 signed by the CAO Mussa Ismal Onzu under DSC minute: DSC/ZB/80/2018. Deployed at Warr Town Council.
5. Binega Kizito vide letter dated 01/7/2012 signed the CAO Jimmy Walamoe Lorwor under DSC minute: 24/DSC/ZOMBO/04/2012. Deployed at Zombo Town Council.
6. Oyirwoth Albert vide letter dated 01/7/2012 signed by CAO Jose Jimmy Walamoe Lorwor under DSC minute: 24/DSC/ZOMBO/04/2012. Deployed at Jangokoro Sub County.
7. Kumakech Ephraem Alias vide letter dated 29th/5/2017 signed by the CAO Mussa Ismal Onzu under DSC minute: DSC/ZB/26/2017 (iii). Deployed at District Headquarter.
8. Odota Esmond vide letter dated 18th /March/2020 under minute: DSC/ZB/133/2019 (iii) signed by CAO Mussa Ismal Onzu deployed at Paidha Town Council.
9. Okethwengu Wilfred vide letter dated 29th/ 5/ 2017 under DSC minute: DSC/ZB/26/2017 (ii). Deployed at Paidha Sub County.
10. Apenjonga Agala Rocks Paschal substantively appointed vide letter dated 22nd /7/2008 under DSC minute: DSC/ZB/059/2008. Deployed at Zombo Town Council.

New\_Evidence that the LG has recruited or the seconded staff is in place for all essential positions

b. A Community Development Officer / Senior

The LG had 14 LLGs and appointed CDOs in 10 of the Sub Counties and 4 in Town Councils as shown below;

in every LLG

Maximum score is 15

CDO in case of Town Councils, in all LLGS, score 5 or else 0.

1. Berocan Pride appointed CDO vide letter dated 17th July 2017 under DSC minute No. DSC/ZB/65/2017 (i) signed by Mussa Ismal Onzu. Deployed at Akaa Sub County.

2. Jacwicongeo Felix a SCDO vide letter dated 17th July 2017 under DSC minute No. DSC/ZB/65/2017 (iii) signed by Mussa Ismal Onzu CAO. Deployed at. Paidha Town Council.

3. Atimnedi Micheal appointed CDO vide letter dated 17th July 2017 under DSC minute No. DSC/ZB/65/2017 (iv). Signed by Mussa Ismal Onzu CAO. Deployed at Warr Sub-County.

4. Maryekmungu Immaculate appointed CDO vide letter dated 7th April 2020 under DSC minute No. DSC/ZB/009/2020 signed by Mussa Ismal Onzu CAO. Deployed at Zombo Town Council.

5. Abeditho Angala Joyce a CDO vide letter dated 18th Feb 2014 under DSC minute No. DSC/ZB/79/2013 signed by Edema Stephen. Deployed at Warr Town Council.

6. Awachngo Grace a CDO vide letter dated 17th July 2017 under DSC minute No. DSC/ZB/65/2017 (v). signed by Mussa Ismal Onzu. Deployed at Paidah Sub County.

7. Rwothomio Brian was appointed CDO vide letter dated 17th July 2017 under minute number DSC/ZB/66/2017 (ii) signed by Mussa Ismal Onzu. Deployed at Alangi Sub County.

8. Wangoich Mercy appointed CDO vide letter dated 14th July 2010 under DSC/NBB/80/2010 signed by Begumya Ntarwete Eriab. Deployed at Kango Sub County.

9. Oroma Milly appointed CDO vide letter dated 7th April 2020 under Minute No DSC/ZB/007/2020 signed by Mussa Ismal Onzu. Deployed at Athuma Sub County.

10. Munguryek Kermu Fred appointed CDO vide letter dated 10th Oct 2020 under minute number DSC/ZB/46/2020 (2) signed by Batemyetto Jacob. Deployed at Padea Town Council.

11. Ocuna Robert appointed CDO vide letter dated 27th Oct 2020 under minute DSC/ZB/46/2020 (1) signed by Batemyetto Jacob. Deployed at Jangokoro Sub County.

12. Owachgiu Godwill appointed CDO vide letter dated 17th/ July 2017 under munite: DSC/ ZB/65/2017 (vi) signed by

Mussa Ismal Onzu. Deployed at Atyak Sub County.

13. Ucamringa Robert Saleh appointed CDO vide letter dated 17th/July/2017 under minute: DSC/ZB/70/2017 (vii) signed by Mussa Ismal Onzu. Deployed at Nyapea Sub County.

14. Ongiera Walter appointed CDO vide letter dated 7th April 2020 under minute: DSC/ZB 008/2020 signed by Mussa Ismal Onzu. Deployed at District Headquarters

2

New\_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

The LG had 14 LLGs and had substantively appointed SAAs in all the Sub Counties and Town Councils as listed below:

1. Obima Sunday Peter appointed SAA vide letter dated 20th Feb 2002 under DSC minute No. DSC/ZB/71/2001 of 2002. Deployed at Atyak Sub County.

2. Edienanu Jimmy appointed SAA vide letter dated 29th May 2017 under DSC minute No. DSC/ZB/19/2017 (i). Deployed at District Headquarters.

3. Oola Rose Okech appointed SAA vide letter dated 01st Dec 2012 under DSC minute No. 24/DSC/ZB/42/2012. Deployed at Waar Sub County.

4. Okello Francis appointed SAA vide letter dated 1st June 2022 under DSC minute No. DSC/ZB/01/06/2022. Deployed at Alangi Sub County.

5. Oroma Gladies appointed SAA vide letter dated 29th May 2017 under Minute No. DSC/ZB/18/2017 (ii). Deployed to Zeu Sub County.

6. Jatho Onesmo Ozelle appointed SAA vide letter dated 7st April 2020 under Minute No .DSC/ZB/005/2020 (3). Deployed to Akaa Sub County.

7. Upenjuru Andrew substantively appointed SAA vide letetr dated 10th June 2019 under Minute No. DSC/ZB/92/2019 (1). Deployed at Warr Town Council.

8. Cwinyaai Lawrence appointed on promotion SAA vide letter dated 29th May 2017 under Minute: DSC/ZB/19/2017 (iii). Deployed at Zombo Town Council.

9. Berocan Dorine appointed SAA vide letter dated 7th/Oct/2021. Under DSC minute: DSC/ZB/19/09 (3). Deployed at Zombo Town Council.

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10. Thorach Rebecca appointed SAA vide letter dated 17th/July/2017. Under DSC/ZB/066/2017 (ii). Deployed at Paidha Sub County.

11. Kermundu Micheal appointed SAA vide letter dated 30th/11/2005, under DSC/187/2005 (ii). Deployed at Refferal Hospital, CR/156/5/3.

12. Atugonza Aidah Mugisha appointed SAA vide letter dated 20th/5/2022 under DSC/ZB/03/05/2022, CR/ZD/156/3. Deployed at Paidha Town Council.

13. Wiango Roseline appointed SAA vide letter dated 7th/10/2021 under minute DSC/ZB/19/09/(5). Deployed at Kango Sub County, CR/D/167.

14. Ogal Albert Oucha appointed vide letter 7th/10/2021 under DSC minute DSC/zb/19/09 (i). deployed at Jangokoro Sub County, CR/D/167.

## Environment and Social Requirements

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.  Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to:  a. Natural Resources department,  score 2 or else 0	From page 23 Final Accounts 2022-2023, the budgeted amount of the Natural Resources Department was UGX452,693,660. The release amount was UGX436,453,999 + UGX16,239,666 the non-wage balance that was swept back which resonates to UGX452,693,660. Therefore, the release to the department was up to 100%	2
3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.  Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to:  b. Community Based Services department.  score 2 or else 0.	From page 23 Final Accounts 2022-2023, the budgeted amount of the Community Based Services Department was UGX267,358,325. The release amount was UGX243,635,886 + UGX23,772,439 the non-wage balance that was swept back which resonates to UGX267,358,325. Therefore, the release to the department was up to 100%	2

4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p>	<p>a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0</p>	<p>The LG had four DDEG Projects during the FY 2022/2023 and they included;</p> <p>Timber decking and construction of bridge at River Oraa. The Environmental and social Screening form was dated; 14th November, 2022 and the Social Screening form dated; 14th July, 2022</p> <p>Timber decking and construction of bridge at Atunda stream, The Environmental and social Screening form dated was dated 14th November, 2022 and the Social Screening form dated; 14th July, 2022</p> <p>Timber decking and construction of a bridge along Afuda stream, The Environmental and social Screening form was dated; 14th November, 2022 and the Social Screening form dated; 14th July, 2022</p> <p>Periodic mechanized road maintenance from Lor Central via Ngele to Alangi. The Environmental and social Screening form was dated; 14th November, 2022 and the Social Screening form dated; 16th July, 2022</p>	4	
<p>Maximum score is 12</p>	4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p>	<p>b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG), score 4 or 0</p>	<p>The nature of the projects exempts them from undertaking ESIAs and therefore ESMPs were undertaken. For instance;</p> <p>Timber decking and construction of bridge at River Oraa. The ESMP report dated; 14th November, 2022</p> <p>Timber decking and construction of a bridge along Afuda stream the ESMP report dated; 14th November, 2022</p> <p>Timber decking and construction of a bridge along Atunda stream, the ESMP report dated; 14th November, 2022</p> <p>Periodic mechanized road maintenance from Lor Central via Ngele to Alangi, the ESMP report dated; 14th November, 2022</p>	4
<p>Maximum score is 12</p>					

4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>	<p>c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;</p> <p>score 4 or 0</p>	<p>The LG had a costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG). For instance;</p> <p>Timber decking and construction of bridge at River Oraa</p> <p>Costed ESMP report dated; 14th November, 2022</p> <p>Timber decking and construction of a bridge along Afuda stream</p> <p>Costed ESMP report dated; 14th November, 2022</p> <p>Timber decking and construction of a bridge along Atnuda stream</p> <p>Costed ESMP report dated; 14th November, 2022</p> <p>Periodic mechanized road maintenance from Lor Central via Ngele to Alangi</p> <p>Costed ESMP report dated; 14th November, 2022</p>	4
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**Financial management and reporting**

5	<p>Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.</p> <p>Maximum score is 10</p>	<p>If a LG has a clean audit opinion, score 10;</p> <p>If a LG has a qualified audit opinion, score 5</p> <p>If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0</p>	<p>The LG obtained Unqualified audit opinion from the OAG on its operations for the financial year 2022/2023.</p>	10
6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p> <p>maximum score is 10</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p> <p>score 10 or else 0.</p>	<p>Through a letter quoted CR/250/ prepared on 25th January 2023 and titled "Responses to audit report for Financial Year 2021/2022" addressed to the Permanent Secretary Ministry of Finance, Planning and Economic Development. This letter was received by the registry of Ministry of Finance Planning and Economic Development and Office of the Auditor General on 31st January 2023. Therefore, this information was sent before end of February thus being timely.</p>	10

7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p> <p>Maximum Score 4</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p> <p>score 4 or else 0.</p>	<p>From the Local government annual performance contract. The Local Government submitted the annual performance contract on 4th July 2023 which was before the deadline of August 31st of the current FY and it was received by the MoFPED on the 4th July 2023. The annual performance contract was countersigned by the PS/ST.</p>	4
8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>From the PBS generated Annual Performance Report, the local government had submitted the annual performance report on 15th August 2023 which was before the 31st August of the current FY.</p>	4
9	<p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p> <p>Maximum score is 4</p>	<p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The local government submitted all the quarterly Budget performance reports of the Previous FY before August 31 of the current FY. From the PBS extraction details; Quarter one was submitted on 31st December 2023, Quarter two was submitted on 31st January 2023, Quarter three was submitted on 28th April 2023, and Quarter four was extracted on 15th August 2023. All the dates were before the August 31 of the current FY.</p>	4

**Education Minimum  
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	<p>New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.</p> <p><i>The Maximum Score of 70</i></p>	<p>a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0</p>	<p>The LG substantively appointed Nicholas Odeba as the District Education Officer vide letter dated 24th January 2019 under DSC minute: DSC/ZB/77/2018 and letter signed by the CAO Mussa Ismal Onzu.</p>	<b>30</b>
1	<p>New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.</p> <p><i>The Maximum Score of 70</i></p>	<p>b) All District/Municipal Inspector of Schools, score 40 or else 0.</p>	<p>The LG approved staff structure provided for Two (2) Inspector of Schools. All the Inspector of Schools were substantively recruited as follows;</p> <p>1. The LG substantively appointed Koi Alex as Inspector of Schools vide letter dated 10th June 2019 under DSC minute: DSC/ZB/88/2019 (i) signed by Mussa Ismal Onzu CAO.</p> <p>2. The LG substantively appointed Onim Aziz Senior Inspector of Schools on 7st April 2020 under minute DSC/ZB/017/2020 signed by Mussa Ismal Onzu CAO</p>	<b>40</b>
<b>Environment and Social Requirements</b>				
2	<p>Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>The Maximum score is 30</p>	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>Construction of a 3 classroom block in Nyang, in Kango Sub- County, a 2 classroom block in NFE, Akaa Sub- County the social Screening form was dated 21st, 08, 2022 &amp; Environmental Screening dated 23rd, November, 2022</p> <p>The Construction of Kango seed school, in Kango Sub- County, Paider Seed School in Paider Sub-County, the Social Screening form was dated 21st, 08, 2022 &amp; Environmental and social Screening was dated 23rd, November, 2022</p> <p>Endorsed by the CDO and Environment Officer</p>	<b>15</b>

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

From the provisions of the National Environment Act, projects like Kango Seed School require full ESIA and the EO only undertook an ESMP. However, the developer who is Ministry of Education and Sports was responsible and it didn't deliver.

The Maximum score is 30

Construction of 3 classroom blocks in Nyang, in Kango Sub- County, 2 classroom blocks in NFE, Akaa Sub-County.

did not require undertaking ESIAs but from the screening, the minimal impacts would be handled through the implementation of the ESMPs dated 23rd, November, 2022

Construction of Kango seed school, in Kango Sub- County, Paider Seed School in Paider Sub- County required an ESIA but the LG could not provide evidence of its undertaking and the reason given was that the project was managed by the MoED..

Health Minimum Conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.	There was neither substantively recruited DHO nor seconded person from MoH. But Dr Barmadi Mark Bonny was assigned duties of the DHO vide letter dated 28th/ February/ 2019, CR/153/1.	0
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0	There was neither substantively recruited ADHO-MCH&N nor a secondment from MoH by the time of assessment.	0
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	c. Assistant District Health Officer Environmental Health, score 10 or else 0.	There was no substantively recruited ADHO-Environmental Health nor was there a seconded staff from MoPS. At the time of assessment	0
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.	The position was not in the Costed staff structure of the LG.	0
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	e. Senior Health Educator, score 10 or else 0.	The LG substantively appointed Thumitho Harriet as Senior Health Educator vide letter dated 1st November 2021 under DSC minute DSC/ZB 01/10/2021	10

1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>The LG substantively appointed Jayer Shepherded as Biostatistician vide letter dated 29th/ May / 2017 under DSC minute: DSC/ZB/22/2017 (ii). Signed by Mussa Ismal Onzu CAO.</p>	<b>10</b>
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>There was no substantively recruited District Cold Chain Technician nor a seconded staff.</p>	<b>0</b>
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>i. Principal Health Inspector, score 20 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>j. Health Educator, score 20 or else 0</p>		

**Environment and Social Requirements**

2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>Construction of maternity Block &amp; OPD at Amwonyu Health Centre II</p> <p>Social screening report was dated 14th June, 2022 and the Environmental Screening Report dated 20th November, 2022 endorsed by both CDO and EO</p>	<b>15</b>
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Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

The magnitude of the project is exempted from undertaking ESIA These included;

Construction of maternity Block & OPD at Amwonyu Health Centre II with costed ESMP dated 20th November, 2022

**15**

**Micro-scale Irrigation  
Minimum Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p>	<p>If the LG has recruited;</p> <p>a. the Senior Agriculture Engineer</p> <p><i>score 70 or else 0.</i></p>	<p>The LG had neither recruited the Senior Agricultural Engineer nor was there a secondment from line ministry.</p>	<b>0</b>
<b>Environment and Social Requirements</b>				
2	<p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p>	<p>If the LG:</p> <p>Carried out Environmental, Social and Climate Change screening score 30 or else 0.</p>	<p>Supply and installation of micro scale irrigation system in Cula, Angar Parish, Alangi Subcounty, Zombo. The Environmental screening form was dated 20th July, 2022 and the Social Screening form dated 12th June, 2022 endorsed by the CDO and EO</p> <p>ESMP; 20th July, 2022</p> <p>Supply and installation of micro scale in Oryeo Village, Cana Parish, Paider Subcounty, the Environmental screening was done on 20th July, 2022 while the Social Screening was done 14th June, 2022 endorsed by the CDO and EO</p> <p>ESMP; 20th July, 2022</p> <p>Supply and installation of micro scale in Kalangoja Village, Osoye Parish, Subcounty, the Environmental screening form was dated 20th July, 2022 and the Social Screening form dated 13th June, 2022 endorsed by the CDO and EO</p> <p>ESMP; 20th July, 2022</p> <p>These projects are exempted from undertaking ESIA's</p> <p>Supply and installation of micro scale irrigation system in Cula, Angar Parish, Alangi Subcounty, Zombo. the ESMP was prepared on 20th July, 2022</p> <p>Supply and installation of micro scale in Oryeo Village, Cana Parish, Paider Subcounty, the ESMP was prepared on 20th July, 2022</p> <p>Supply and installation of micro scale in Kalangoja Village, Osoye Parish, Subcounty, the ESMP was prepared on 20th July, 2022</p>	<b>30</b>

**Water & Environment Minimum Conditions**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Human Resource Management and Development</b>				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	The LG substantively appointed Ruvakuma Lawrence as Water Officer vide letter dated 10th June 2019 under DSC minute: DSC/ZB/79/2019 (i) CR/156/2019/1.	<b>15</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	The LG substantively appointed Tholith Faith as Assistant water officer In Charge Mobilization vide letter dated 24th February 2022 under minute: DSC/ZB/03/02/2022.	<b>10</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	The LG substantively appointed Enzede Morris as Borehole Maintenance Technician/ Engineering Assistant vide letter dated 24th February 2022 under minute: DSC/ZB/02/2022.	<b>10</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	The LG substantially appointed Bedijo Geoffrey as Natural Resource Officer vide letter dated 1st/ November / 2021 under minute: DSC/ZB/04/10/2021.	<b>15</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	The LG substantively appointed the Owor Martine as Environment Officer vide letter dated 1st July 2012 under minute: 24/DSC/ZOMBO/04/2012.	<b>10</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	f. Forestry Officer, score 10 or else 0.	The LG appointed Orombi Awekonimungu Annet as Forestry Officer vide letter dated 1st / January /2014 under DSC minute: DSC/ZB/76/2013.	<b>10</b>

**Environment and Social Requirements**

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

If the LG:

a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.

The LG carried out Environmental, Social, and Climate Change screening/Environment. For instance;

Borehole drilling and construction in Athuma Sub-county, Obajo Village, Zulume Parish

Social screening form dated; 21st May, 2022

Environment screening form dated; 29th November, 2022

Borehole drilling and construction in Zeu Sub-county, Padyere Village, Papoja Parish

Social screening form dated; 21st May, 2022

Environment screening form dated; 29th November, 2022

Borehole drilling and construction in Alangi Sub-county, Agbandru Village, Gamba Parish

Social screening form dated; 13th June, 2022

Environment screening form dated; 10th November, 2022

Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.

These projects are exempted from ESIAs. These included, among others;

Borehole drilling and construction in Athuma Subcounty, Obajo Village, Zulume Parish

ESMP dated; 29th November, 2022

Borehole drilling and construction in Zeu Subcounty, Padyere Village, Papoja Parish

ESMP dated; 29th November, 2022

Borehole drilling and construction in Alangi Subcounty, Agbandru Village, Gamba Parish

ESMP dated; 10th November, 2022

Production well construction in Aringa Village, Abagi parish, Athuma Subcounty

ESMP dated; 10th November, 2022

Production well construction in Ameri Village, Gamba parish, Alangi Subcounty

ESMP dated; 10th November, 2022

Production well construction in Aringa Village, Abagi parish, Athuma Subcounty

Social screening form dated; 13th June, 2022

Environment screening form dated; 10th November, 2022

Production well construction in Ameri Village, Gamba parish, Alangi Subcounty

Social screening form dated; 13th June, 2022

Environment screening form dated; 10th November, 2022

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.

Zombo DLG ensured that the contractors got a drilling permit for all the water infrastructure projects for FY 2022/2023

Permit Number:

KAM56/DP-03256/2022/RR

MSR TECHNOLOGIES (U) LIMITED.

P.O BOX 35067 Kampala.

Dated Thursday 23rd June 2022

and

Permit Number:

KAM45/DP-00933/2022/RR

ICON PROJECTS LIMITED.

P.O BOX 34678 Kampala.

Dated Tuesday 24th May 2022

Permits granted for one year

Friday, 01st July 2022 until

Friday, 30th June 2023.

Signed by Eng. Joseph Oriono Eyatu- Director of Water Development.

The LG was in the process of obtaining an abstraction permit at the time of assessment since no civil works had been carried out on the construction of Zale piped water supply system. The LG carried out phase one of the project in FY 2022/2023 - drilling of the production well