



LGMSD 2022/23

Mukono Municipal Council

(Vote Code: 772)

Assessment	Scores
Crosscutting Minimum Conditions	85%
Education Minimum Conditions	100%
Health Minimum Conditions	100%
Water & Environment Minimum Conditions	0%
Micro-scale Irrigation Minimum Conditions	0%
Crosscutting Performance Measures	63%
Educational Performance Measures	81%
Health Performance Measures	73%
Water & Environment Performance Measures	0%
Micro-scale Irrigation Performance Measures	0%

**Crosscutting
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): If so: Score 4 or else 0 	<p>There was evidence that the 2 DDEG projects implemented by the LG in the year 2022/23 were being utilized as per the purposes of the projects:</p> <p>1-Constructed Administration Block at Ugx.100m (LG Annual Performance report page 26); and</p> <p>2-Constructed Youth Centre at Ugx.80m (LG Annual Performance report page 26).</p>	4
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> By more than 5%, score 3 1 to 5% increase, score 2 If no increase, score 0 <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment by 1%. The LLG average scores was 86.5% in the year 2022 and 87% for the year 2023: In the year 2022,Goma Division had 87% and Central Division had 86%, which gave average of 86.5%; in the year 2023,Goma Division had 86% and Central Division had 88%, which gave average of 87%.</p>	2

2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> • If 100% the projects were completed : Score 3 • If 80-99%: Score 2 • If below 80%: 0 	<p>There was evidence that the DDEG funded investment projects implemented in the year 2022/23 were 100 completed, these were:-</p> <p>1-Constructed Administration Block at Ugx.100m (LG Annual Performance report page 26); and</p> <p>2-Constructed Youth Centre at Ugx.80m (LG Annual Performance report page 26)</p>	3
3	<p>Investment Performance</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:</p> <p>Score 2 or else score 0.</p>	<p>There was evidence from the LG Annual work plan (Pages 9 and 42) and page 26 of the Annual Performance Report that LG spent all the DDEG of the year 2022/23 Ugx 466.5m on eligible projects. The projects/activities included:</p> <ol style="list-style-type: none"> 1. Administration block at Ugx 100m; 2. Youth Centre at Ugx 80m; 3- Monitoring, Supervision, capacity building at Ugx 55m 4- Capacity building at Ugx 28.5m and 5- Transfers to LLGs Ugx203m. 	2

Investment Performance
Maximum 4 points on this performance measure

b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates,

score 2 or else score 0

There was evidence that the contract price for sampled DDEG funded infrastructure investments for the previous FY were within +/-20% of the LG Engineers estimate: Sampled projects were:

General

1. Phased construction of Mukono Municipal council administration block:(DDEG funded)

Procurement no: MMC772/works/22-23/00005

Engineer's estimate:UGX. 100,000,000

Contract Sum: Ugx. 97,767352

Percentage variation: 2.2%

Education

1. Construction of a two classroom block at Kiwango Umea P/S:(SFG funded)

Procurement no: MMC772/works/22-23/00002

Engineer's estimate:UGX. 150,000,000

Contract Sum:Ugx. 135,346,288

Percentage variation: 9.8%

2. Renovation of seven Classroom block at Nganda P/S. (DDEG funded)

Procurement no: MMC772/works/22-23/00001

Engineer's estimate:UGX. 214,285,396

Contract Sum: Ugx. 212,254,675

Percentage variation: 0.95%

Health

1. Construction of a surgical Theatre at Goma. (UGIFT funded)

Procurement no: MMC772/works/22-23/00006

Engineer's estimate:UGX. 204,004,418

Contract Sum: Ugx. 199,604,418

Percentage variation: 2.2%

2. Upgrade of Nyanja HC II to III. (UGIFT funded)

Procurement no: MMC772/works/22-23/0001-14

Engineer's estimate:UGX.920,000,000

Contract Sum: Ugx. 880,772,042

Percentage variation: 4.3%

4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate,</p> <p>score 2 or else score 0</p>	<p>There was evidence that the information on the positions filled in LLGs as per minimum staffing standards was accurate as follows:</p> <p>Goma Division staff list obtained from MC HRM dated 4/7/2023 had 15 staff filled. The 15 staff included Senior Assistant Town Clerk, Assistant Town Clerk, Community Development Officer, Senior Account Assistant, 4 Principal Town Agents, Pool Stenographer Secretary, Assistant Inventory Officer, Law Enforcement Assistant and 4 askaris.</p> <p>The list of staff for Central Division obtained from MC HRM dated 15/6/2023 had 12 staff filled. The 12 staff were Senior Assistant Town Clerk, Assistant Town Clerk, Community Development Officer, Senior Account Assistant, 5 Town Agents, Pool Stenographer Secretary and 2 Law Enforcement Assistant.</p>	2
4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> • If 100 % in place: Score 2, else score 0. <p>Note: if there are no reports produced to review: Score 0</p>	<p>There was evidence that the 2 DDEG projects were 100% complete as reported in the Infrastructure report dated 3/7/2023:</p> <p>1-Constructed Administration Block at Ugx.100m (LG Annual Performance report page 26); and</p> <p>2-Constructed Youth Centre at Ugx.80m (LG Annual Performance report page 26).</p>	2
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> <p>score 4 or else 0</p> <p>NB: The Source is the OPAMS Data Generated by OPM.</p>	<p>The deviations between Mukono MC LLGs results and IVA were not all within +-10.</p> <p>Goma Division, LG result was 86, IVA was 72, variance -14;</p> <p>Central Division, LG result was 88, IVA was 86, variance -2.</p>	0

5	N23_Reporting and Performance Improvement Maximum 8 points on this Performance Measure	b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results. Score: 2 or else score 0	The performance improvement plan was not developed by MC to reflect the performance gaps of the lowest performing Division in previous National Assessment..	0
5	N23_Reporting and Performance Improvement Maximum 8 points on this Performance Measure	c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY: Score 2 or else score 0	There was no implementation since performance improvement plan was not developed.	0

Human Resource Management and Development

6	Budgeting for and actual recruitment and deployment of staff Maximum 2 points on this Performance Measure	a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED. Score 2 or else score 0	There was evidence at the time of assessment MC had consolidated and submitted the recruitment plan for the financial year 2024/25 to the Ministry of Finance ,Planning and Economic Development, Ministry of Local Government , and, the Ministry of Public Service on the 27/09/2023. The total staff requirement was UGX 490,142,724 and category were Administration (7); Engineering and works (2), Physical Planning unit (1), Environment unit (1), Education (4) and production department (1).	2
7	Performance management Maximum 5 points on this Performance Measure	a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI): Score 2 or else score 0	There was evidence that MC conducted a tracking and analysis of staff attendance as guided by the Ministry of Public service SC for July 2022 to June 2023. The attendance book and the analysis period was conducted as reflected in the Quarter analysis reports dated as follows: Quarter 1- (July-Sept) 11/10/2022; Quarter 2 -(Oct-Dec) 23/01/2023; Quarter 3 -(Jan - March) 3/4/2023 and Quarter4 -(April- June) 10/7/2023	2

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p>	<p>Apart from the Principal Community development officer and Senior Environment Officer appraised on 30/06/2023 which followed MoPS guidelines on appraisal. The other HODs were appraised after prescribed period of 30/6/ 2023 as follows:</p> <p>Principal Finance Officer was appraised 20/9/2023, Senior Veterinary Office was appraised 11/7/2023, Principal Health Officer was appraised on 18/7/2023,Principal commercial Officer was appraised on 10/7/2023, and Principal Education Officer was appraised on 10/7/2023.</p> <p>The appraisal report for the Municipal Principal Engineer was not availed.</p>	0
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to "a" above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>There was evidence that administrative rewards and sanctions were implemented as provided for in the guidelines as evidenced in the minutes dated 12/7/2023 regarding sanctions of abscondment of Education Assistants and a Principal Town Clerk.</p>	1
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>The MC had established a 5 member Consultative Committee (CC) that included; Principal Community Officer as Chairperson, Senior Human Resource Officer as Secretary, Senior Assistant Town, Principal Education Officer, and Principal Medical Officer and it was functional as reflected from the minute dated 12/07/2022, 16/8/2022, and 16/7/2022</p>	1
8	<p>Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:</p> <p>Score 1.</p>	<p>There was no recruitment during the previous FY 2022/23</p>	0

Pension Payroll management

Maximum 1 point on this Performance Measure or else score 0

a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:

Score 1.

The MC retired 12 staff (teachers) and accessed the pay roll as follows:

- Education Assistant (Kawuki James retired on the 24/05/2023 and exceeded the pension pay roll on 8/06/ 2023;
- Education Officer Muyitiriri Patrick Musanyana retired on the 25/07/2022 and exceeded the pension pay roll 10/08/2022;
- Education Assistant Kembaga Jenifer Abwool retired on the 01/09/2022 and exceeded the pension pay roll on 10/09/2022;
- •Head teacher Namugalu Edina Constance retired on the 30/10/2022 and exceeded the pension pay roll on 9/11/2022;
- Senior Education Assistant Sentamu Joyce retired on the 31/10/2022 and exceeded the pension pay roll on 9/11/2022;
- Education Assistant Babirye Rebecca retired on the 1/9/2022 and exceeded the pension pay roll on 10/9/2022;
- Education officer Mutambi Wilson retired on the 10/11/2022 and exceeded the pension pay roll on 9/12/2022;
- Senior Education Assistant Kyakulagga Gerald retired on the 11/9/2022 and exceeded the pension pay roll on 9/10/2022;
- Senior Education Assistant Nakya Rebecca retired on the 29/10/2022 and exceeded the pension pay roll on 9/11/2022;
- Senior Education Assistant Kisozi James retired on the 13/04/2023 and exceeded the pension pay roll on 8/06/2023;
- Education Assistant Kityo Christopher retired on the 15/05/2023 and exceeded the pension pay roll on 8/06/2023; and
- Education Officer Bawaye Rose retired on the 5/05/2023 and exceeded the pension pay roll on 8/06/2023

Therefore, 100% of the of staff that retired during the previous FY 2022/23 accessed the pension pay roll not later than two months after retirement.

Management, Monitoring and Supervision of Services.

10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery Maximum 6 points on this Performance Measure	a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY: Score 2 or else score 0	The LG transferred DDEG funds in full to LLGs. A total of UGX 203,143,284 as budgeted for in the year 2022/23, was fully transferred to LLGs (Goma and Central Division as below: Quarter 1 Ugx 101,571,642 was transferred on 23/10/2022; and Quarter 2 Ugx 101,571,642 was transferred on 23/1/2023.	2
10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery Maximum 6 points on this Performance Measure	b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED). Score: 2 or else score 0	The LG did not submit warrants in time for DDEG transfers to LLGs: Quarter 2 warrant was on 19/10/2022, expenditure limits date was 30/9/2022; 19 days and Quarter 3 warrant was on 23/1/2023 , expenditure limits date was 29/12/2022;24 days.	0
10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery Maximum 6 points on this Performance Measure	c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter: Score 2 or else score 0	The LG did not invoice in time for DDEG transfers to LLGs: Quarter 2 invoiced on 20/10/2022, expenditure limits date was 30/9/2022; 20 days and Quarter 3 invoiced on 6/2/2023 , expenditure limits date was 29/12/2022;23 days.	0
11	Routine oversight and monitoring Maximum 4 points on this Performance Measure	a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines: Score 2 or else score 0	There was no evidence that the LG supervised and mentored all LLGs quarterly.	0

11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence that the supervision and monitoring reports were discussed in the TPC.</p>	0
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Investment Management

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</p>	<p>The LG maintained an up-dated assets register covering details on buildings, vehicle, Land etc. as per format in the accounting manual and was last updated on June 30,2023.</p> <p>Assets breakdown were as below:</p> <ol style="list-style-type: none"> 1. Land Ugx ; 6,543,000,000; 2. Building and structures: <ol style="list-style-type: none"> a) Non Residential buildings Ugx 3,452,939,304; b) Residential buildings Ugx 591,445,157; 3. Roads and bridges Ugx ;1, 986,681,484; 4. Others Ugx ;355,882,652; 5. ICT equipment Ugx ;20,604,091 6. Furniture and Fittings Ugx ;223,661,210 7. Motor vehicles Ugx 342,376,962; 8 others 161,275,026; 9 Medical Ugx26,788,158. <p>Total Ugx13,597,567,492.</p>	2
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>There was no evidence that the LG used the Board of Survey Report to make Assets Management decisions.</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that the District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>The LG Physical Planning Committee was in place and functioning, only 2 sets of minutes were prepared and submitted to MoLHUD instead of 4 as required:</p> <ol style="list-style-type: none"> 1. Minutes dated 16/8/2022 submitted on 16/1/2023; and 2. Minutes dated 20/10/2022 submitted on 16/1/2023. <p>Names Byabagambi, murungi, Masengere, Musitwa, Nakungu, Konde, Sebaduka, By TC July 01, 2022 for 3 yrs. Dated Aug 2022. No PDM, 30 days to approve.</p> <p>The committee was constituted with 12 members namely Byabagambi, Murungi, Masengere, Musitwa, Nakungu, Konde, Sebaduka, appointed by the TC on July 01, 2022 for 3 yrs. Submission of new investments were considered within 30 days of submission. The LG did not have an approved Physical Development Plan.</p>	0

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d.For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>The LG conducted desk appraisals, the investment derived from the LG Development Plan 2020/21-20245/25 (Page 138-148) and were eligible for funding under sector guidelines as indicated in the minutes dated 24/8/2022, page 1 for the projects implemented in the year 2021/22. The projects appraised included:</p> <p>1-Construction of Administration Block at Ugx.100m (LG Annual Budget page 9); and</p> <p>2-Construction of Youth Centre at Ugx.80m (LG Annual Budget page 42).</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>The LG conducted field appraisals, the investments were technically feasible, environmentally and socially acceptable and were customized for investment as indicated in reports dated 24/8/2022 page 1 for the projects implemented in the year 2022/23. The projects appraised included:</p> <p>1-Construction of Administration Block at Ugx.100m (LG Annual Budget page 9); and</p> <p>2-Construction of Youth Centre at Ugx.80m (LG Annual Budget page 42).</p>	2

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>There was evidence that the project profiles with costing were developed and discussed by TPC for all investments in the AWP for the current FY 2023/24. These projects were discussed in the meeting of 9/5/2023, min 4/Feb/2022, MIN MMC/TPC/05/5/2023. They included:</p> <ol style="list-style-type: none"> 1. Contribution to the Construction of the Youth Centre in Central division Ugx74,879,000; 2. Phased construction of surgical operating theatre Ugx 100,316,000; and 3. Completion of the construction of a 3 in 1 self contained staff house Ugx 50,000,000. 	1
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>There WAS Evidence that LG had screened projects funded by DDEG for current FY (2023/2024 FY) for environmental and social impacts and mitigation measures put in place where required as exemplified by the following multi-year phased projects that were funded under DDEG in the current FY and that also had completed Environmental and Social Screening Forms (ESSFs) and Costed ESMPs:</p> <ul style="list-style-type: none"> - Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Multi-Year Phased Construction of Youth Centre in Ntawo Parish, Nakabago Village under DDEG Funding, ESSF and Costed ESMP dated 10th/March/2019, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya. - Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Multi-Year Phased Construction of Office Block at Mukono Municipal Council Headquarters in Nsuube - Kauga Parish, Maternity Village under DDEG Funding, ESSF and Costed ESMP dated 10th/March/2020, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya. 	2

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan Score 1 or else score 0	There was no evidence of any DDEG project planned for the current financial availed to the assessor dated 29th/7/2023 signed by Mr. Francis Byabagambi	0
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0	The indicator is not applicable since there was no DDEG project incorporated into the current FY plan. signed on 29th/7/2023 by Mr. Francis Byabagambi.	0
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines: Score 1 or else 0	There was evidence that the LG had properly established the Project Implementation team as specified in the sector guidelines. Below was the list established: Dr. Konde Anthony -PHO Mr. Masengere George - SEO Mr. Ssebaduka Harunah -ME Ms. Namukose Minisa Kirya -PCDO Ms. Kigundu Annet - Labour Officer Ms. Nabayego Violet - Clerk of works This was established on 12th/12/2022 and 8th/02/2023 to handle projects across education and health department. This was signed by Mr. Francis Byabagambi, TC MMC	1

13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer:</p> <p>Score 1 or else score 0</p>	<p>There was evidence that the infrastructure project implemented using DDEG followed the standard technical designs provided by the LG Engineer, a field visit to the construction of Mukono municipal administration block under procurement number: MMC772/works/22-23/00005. revealed that, 19no columns of 300 x300mm were constructed on a 200mm ground slab with ground beams of 400mm depth and a retain wall of 300mm thick, 2.85m wall with a foundation depth of 1.5m. The completed phase is a framed structure with a first floor 200mm slab with drop beams of 300mm. The staircase tread was 300mm with a rise of 150mm and a landing of 3000mm by 1700mm. However, there was a visible cracks on the surface of first floor slab, these were continuous parallel to the main beam, though they were not visible from underneath the structure. Continuous, investigation study should be taken to ascertain the percentage of the effect before any addition load onto the structure.</p>	0
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0</p>	<p>There was evidence that the relevant technical officers held site supervision meetings dated 20th/2/2023, 10th/5/2023, 20th/3/2023, 15th/3/2023, 11th/1/2023, attended by Mr. Mugerwa Henry, Mr. Masengere George Chairperson contracts committee,(SEO), Dr. Kiwanuka Henry, Mr.Miremebe Jackie Baguma Aggrey secretary, Minisa.n Kirya, PCDO and Mr. Ssebaduka Harunah ME, where various construction projects were supervised among which included construction of a 5 stance water borne toilet, 2 classroom block ata Kiwango and construction of the Municipality administration block, all these projects had a 6 months duration.However, all the projects were still ongoing by the time of the assessment.</p>	2

13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):</p> <p>Score 1 or else score 0</p>	<p>There was no evidence availed to the assessor from the PDU department that Mukono municipality verified works (certified) and initiated payments of contractors within specified time frames as per contract (within 2 months if no agreement). Refer to the sample files below;</p> <p>1. Renovation of a 7 classroom block at Ngandu primary school. Procurement number: MMC/772/works/22-23/00001. The file presented had the interim certificate no.1 certified on 8th/2/2023 amounting to 130,250,600/= but the officer did not avail the required Vouchers and payment requests as required by the PPDA.</p> <p>For other sampled files like;</p> <p>Phased construction of Mukono Municipal council administration block:(DDEG funded). Procurement no: MMC772/works/22-23/00005 and the Construction of a surgical Theatre at Goma. (UGIFT funded) Procurement no: MMC772/works/22-23/00006. The officer did not have certificates, vouchers and payment requests at the time of assessment as required by PPDA law.</p>	0
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law:</p> <p>Score 1 or else 0</p>	<p>There was evidence that Mukono municipal Council had complete procurement file for infrastructural works carried out. Sampled files were:</p> <p>1. Phased construction of Mukono Municipal council administration block:(DDEG funded) Procurement no: MMC772/works/22-23/00005.</p> <p>Evaluation was done 19th/11/2022 and the following companies were invited to bid.</p> <p>NK general dealers Ltd - Ugx. 117,542,684</p> <p>Mitala Technical Works Ltd - Ugx. 172,241,020</p> <p>Rosana Investments Ltd - Ugx. 135,346,288</p> <p>Rosannah Investments Ltd was evaluated as the best bidder with the lowest bid, the committee meeting was chaired by Mrs. Bayga Ritah - PDU, Mr. Ssebaduka Harunah and Mr. Buguma Aggrey.</p> <p>CC meeting sat om 30th/11/2022 and approved the evaluation report under cc minute no. 04.06.11.2022 and the contract was awarded to M/s Rosannah Investments Ltd signed on 11th/01/2023 between the Municipal Council TC, Mr. Godfrey B. Kiseka, Mr. ssebaduka Harunah ME, M/S Rosannah Investments Ltd CEO-Mr. Kagulire Nathan and Mr. Buguma Aggrey Head PDU.</p> <p>1. Construction of a two classroom block at Kiwango Umea P/S:(SFG funded)</p> <p>Procurement no: MMC772/works/22-23/00002 Evaluation was done 3rd/11/2022 and the following companies were invited to bid.</p> <p>Rosannah Investments Ltd - Ugx.98,822,640</p>	1

Henritah Investments Ltd - Ugx. 87,898,200

Mwenu Construction Company Ltd - Ugx.
97,767,352

M/s Mwenu Construction Co Ltd was evaluated as the best bidder with the lowest bid of UGX. 97,767,352 after the elimination of M/s Henritah Investments Ltd due to lack of Income tax clearance and a work man compensation policy, the committee meeting was chaired by Mr. Ssebaduka Harunah, ME and Mr. Buguma Aggrey as the secretary.

CC meeting sat on 4th/4/2022 and approved the evaluation report under cc minute no. 04.02.02.23 and the contract was awarded to M/s Mwenu Construction Co Ltd signed on 11th/01/2023 between the Municipal Council TC, Mr.Byabagambi Francis, Mr. ssebaduka Harunah ME, M/s Mwenu Construction Co Ltd, CEO Mr. Mwenu Nuwasasira Ruth, Nassolo Faridah and Mr. Buguma Aggrey Head PDU.

Health

1. Construction of a surgical Theatre at Goma. (UGIFT funded)

Procurement no: MMC772/works/22-23/00006

Evaluation was done 3rd/11/2022 and the following companies were invited to bid.

Erasco Company Ltd - Ugx.189,798,162

Crean Construction and general supplies Ltd - Ugx.171,008,196

Erasco Company Ltd was evaluated as the best bidder with a bid of UGX. 189,798,162 after the elimination of M/s Crean Construction and general supplies Ltd due to lack of audited books of account for the past two years, the committee meeting was chaired by Dr. Konde Anthony -PHO, Mr. Ssebaduka Harunah, ME and Mr. Buguma Aggrey as the secretary.

CC meeting sat on 5th/5/2022 and approved the evaluation report under cc minute no. 04.02.02.05 and the contract was awarded to M/s Erasco Company Ltd signed on 24th/05/2023 between the Municipal Council TC, Mr.Godfrey B. Kiseka, Mr. Ssebaduka Harunah ME, M/s Erasco Company Ltd, CEO Mr.Kaweesi Stephen, Nassolo Faridah and Mr. Buguma Aggrey Head PDU.

Environment and Social Safeguards

14

Grievance redress mechanism operational.

Maximum 5 points on this performance measure

a. Evidence that the District/Municipality has i) designated a person to coordinate response to feedback (grievance

There WAS Evidence that Mukono MLG had designated a person to coordinate response to feedback on grievances/complaints and had established a centralized Grievance Redress Committee (GRC) as exemplified by the following:
- Mukono MLG presented a Letter Dated 20th/July/2023 and Referenced MMC/GRC/65,

2

/complaints) and ii) Addressed to Principal CDO (Secretary GRC) - Ms established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.

Score: 2 or else score 0

Addressed to Principal CDO (Secretary GRC) - Ms Namukose Minisa K. with the Subject: Recording of Complaints, specifying duties of Secretary GRC, Signed by Town Clerk - Byabagambi Francis, with Copies to Heads of Department; Members Grievance Redress Committee.

- Mukono MLG presented a Letter Dated 5th/July/2023 and Referenced MMC/COM/1050/09/2023, addressed to different officers, with the Subject: Appointment to Grievance Redress Committee (GRC). Mr. Byabagambi Francis (Town Clerk) - Chairperson; Ms Namukose Minisa Kirya (PCDO) - Secretary; Mr. Wassajja Abubaker (SLO) - Member; Ms Nanfuka Sarah Catherine (MCD Councillor) - Member; Mr. Mukwanya Julius (CSO/CBO rep) - Member; Mr. Nakabaale Andrewson (HUMCs rep) - Member; Mr. Mutyaba Mathias (PTA rep) - Member; Ms Nakitto Margret (SMC rep) - Member; Mr. Nsimbi James (SLEO) - Member; Signed by Town Clerk - Byabagambi Francis, with Copies to Mayor; Heads of Department.

- Mukono MLG presented Minutes for Community Grievance Committee Meeting that was held on Wednesday 11th/October/2023 at Adaella School concerning noise pollution from PMCC Church and Mr. Majid of Kiwanga Kasokoso. The meeting was attended by nine members of the Grievance Committee including Chairperson and Secretary. The meeting was also attended by Senior Probation and Welfare Officer and Senior Environmental Officer. The meeting minutes were separately signed by the Chairperson and also by the Secretary of Grievance Committee.

- Mukono MLG presented Minutes for Community Grievance Committee Meeting that was held on Wednesday 22nd/May/2023 at Mukono Municipal Council Boardroom concerning noise pollution from various religious centers in Njerere Village. The meeting was attended by eleven members of the Grievance Committee including Chairperson and Secretary. The meeting was also attended by PWD representative and LC I Chairperson. The meeting minutes were separately signed by the Chairperson and also by the Secretary of Grievance Committee.

- Mukono MLG presented Minutes for Community Grievance Committee Meeting that was held on Wednesday 4th/February/2023 at Mukono Municipal Council Boardroom concerning property trespass in Gwafu West Village. The meeting was attended by eleven members of the Grievance Committee including Chairperson and Secretary. The meeting was also attended by PWD representative and LC I Chairperson. The meeting minutes were separately signed by the Chairperson and also by the Secretary of Grievance Committee.

- Mukono MLG presented Minutes for Community Grievance Committee Meeting that was held on Wednesday 14th/September/2022 at Mukono Municipal Council Boardroom concerning invasion of monkeys in residential areas of Nakabago Cell. The meeting was attended by eleven members of

the Grievance Committee including Chairperson and Secretary. The meeting was also attended by PWD representative and LC I Chairperson. The meeting minutes were separately signed by the Chairperson and also by the Secretary of Grievance Committee.

14

Grievance redress mechanism operational.

Maximum 5 points on this performance measure

b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.

If so: Score 2 or else 0

There WAS Evidence that Mukono MLG had specified a system for recording, investigating and responding to grievances and had a defined complaints referral path and public display of information at LG offices given that the LG had a Website (www.mukonomunicipal.go.ug) and also had LG Complaints Log/Complaints Record Book (Grievance/Complaints Log Book) with case reference numbers, dates cases were recorded, names of clients, details of respective cases and respective actions taken. Starting entry in the Complaints Register for FY 2022/2023 was filed on 13th/September/2022 (Lubanga Samuel Mukulu Aguma LC I Chairperson Nakabago Cell filed a complaint about invasion of monkeys in residential areas, case was resolved on 14th/September/2022 by involving Uganda Wildlife Authority (UWA) and the community). Last entry in the Complaints Register was filed on 31st/October/2023 (Kanakulya Mike, casual laborer, Nyanja HC II, filed a complaint concerning non-payment of wages by the contractor to casual laborers working at Nyanja HC II in construction of the maternity and staff houses, a dialogue meeting was held with the community, contractor, GRC and casual laborers, case was resolved on 9th/November/2023). Other cases in the complaints register were filed on the following dates: 2nd/March/2023 (Nazziwa Mary filed a complaint about poor waste disposal in Nassuti - Ntawo Ward; GRC involved Principal Health Inspector and the community in the matter, measures were proposed to curb the poor waste disposal, case was settled on 2nd/March/2023); 20th/October/2023 (Katongole Meddie, member of School Management Committee, filed a complaint concerning encroachment on school land of Ngandu Primary School by neighbors that was proved during school construction, GRC convened a meeting with School Management Committee, neighbors and other members of the community to consider the matter, boundary opening was undertaken, case was settled on 27th/October/2023).

2

14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.</p> <p>If so: Score 1 or else 0</p>	<p>There WAS Evidence that Mukono MLG had publicized the grievance redress mechanisms so that aggrieved parties would know where to report and get redress given that the LG had a Website (www.mukonomunicipal.go.ug) and a Complaints Management Procedure/Flow Chart with Title: Mukono Municipality Grievance Redress Mechanism that was placed on public notice boards on 18th/July/2023. The Complaints Management Procedure/Flow Chart also had the name, title and telephone numbers of the contact person: Namukose Minisa, Secretary GRC - 0782150230/0705885480. Mukono MLG also had LG Complaints Log/Complaints Record Book with case reference numbers, dates cases were recorded, names of clients, details of respective cases and respective actions taken.</p>	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0</p>	<p>There was evidence that Environment, Social and Climate change interventions were integrated into LG Development Plans, annual work plans and budgets ,Ugx 10m was budgeted for it on page 20 of the 2022/23 LG approved budget for the 3 projects below:</p> <ol style="list-style-type: none"> 1. Construction of the Youth Centre in Central division Ugx74,879,000; 2.Phased construction of surgical operating theatre Ugx 100,316,000; and 3.Completion of the construction of a 3 in 1 self contained staff house Ugx 50,000,000. 	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management</p> <p>score 1 or else 0</p>	<p>There was evidence that DDEG guidelines were given to LLGs (Goma and Central Divisions) in the LG TPC meeting of 9/5/2023 under MIN TPC 9/5/2023 MMC/TPC/10/2</p>	1

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>(For investments financed from the DDEG other than health, education, water, and irrigation):</p> <p>c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:</p> <p>score 3 or else score 0</p>	<p>There was NO Evidence that Mukono MLG incorporated Costed Environmental and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for Discretionary Development Equalization Grant (DDEG) infrastructure projects of the previous FY (2022/2023 FY). The Quotation for Phased Construction of Youth Center in Mukono Municipal Council under DDEG funding did not contain any provisions for implementation of environmental and social safeguards/environmental mitigation measures. The summary of Bill for Proposed Construction of an Administration Block Phase Three in Mukono Municipal Council under DDEG funding contained 'allow a provisional sum of 1,500,000 for ESS & EMM'. However, ESS & EMM activities that were to be implemented and their respective costs as well as 'allow a provisional sum of 1,500,000 for ESS & EMM' were all not indicated anywhere in the budget breakdown within the main body of the BoQs.</p>	0
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>d. Examples of projects with costing of the additional impact from climate change.</p> <p>Score 3 or else score 0</p>	<p>There was NO Evidence that Mukono MLG had projects funded under DDEG with costing of the additional impact from climate change and costing of additional costs of addressing climate change adaptation. The Quotation for Phased Construction of Youth Center in Mukono Municipal Council under DDEG funding did not contain any provisions for implementation of environmental and social safeguards/environmental mitigation measures. The summary of Bill for Proposed Construction of an Administration Block Phase Three in Mukono Municipal Council under DDEG funding contained 'allow a provisional sum of 1,500,000 for ESS & EMM'. However, ESS & EMM activities that were to be implemented and their respective costs as well as 'allow a provisional sum of 1,500,000 for ESS & EMM' were all not indicated anywhere in the budget breakdown within the main body of the BoQs.</p>	0

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 1 or else score 0</p>	<p>There WAS Evidence that all DDEG projects were implemented on land where the LG had proof of ownership, access and availability, without any encumbrances as exemplified by the following:</p> <ul style="list-style-type: none"> - Mukono MLG Department of Environment, Gender and Community Based Services presented Land Title for Phased Construction of Youth Center in Mukono Municipal Council under DDEG funding with the following details: Registration of Titles Act, Certificate of Title, Mukono District, Kyaggwe County, Block 107, Plot 2470, Land at Nakabago, 0.4060 hectares, Ownership: Mukono Municipal Council, Date of Registration: November 2015, Instrument No.MKO-00022515. - Mukono MLG Department of Environment, Gender and Community Based Services presented a copy of duly signed Contract and Payment Schedule dated 12th/October/2018 for payment of premium by Mukono Municipal Council to the Registered Trustees of the Church of Uganda for land comprised in Kyaggwe, Block 530; Plots 65-67. That was the land leased from Mukono Diocese by Mukono Municipal Council for Proposed Construction of an Administration Block Phase Three in Mukono Municipal Council under DDEG funding for previous and current FYs. The Contract and Payment Schedule was signed by Registered Trustees of the Church of Uganda and Town Clerk of Mukono Municipal Council. 	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 1 or else score 0</p>	<p>There WAS Evidence that Mukono MLG Environmental Officer and CDO conducted support supervision and monitoring to ascertain compliance with ESMPs and provided monthly reports as exemplified by the following:</p> <ul style="list-style-type: none"> - Mukono MLG Department of Environment, Gender and Community Based Services presented 'Report on Environment and Social Safeguards Conducted on the Construction of a Youth Centre', dated 21st/September/2023; addressed to Town Clerk, signed by Senior Environmental Officer - Masengere George, signed by Principal Community Development Officer - Namukose Minisa Kirya. - Mukono MLG Department of Environment, Gender and Community Based Services presented 'Report on the Phased Construction of the Youth Centre at Nakabago', dated 20th/April/2023; addressed to Town Clerk, signed by Senior Environmental Officer - Masengere George, signed by Principal Community Development Officer - Namukose Minisa Kirya, with Copies to Engineer, Senior Internal Auditor, Mukono Municipal Council. - Mukono MLG Department of Environment, Gender and Community Based Services presented 'Inspection, Implementation and Monitoring Report for Environment and Social Safeguards for Construction of Mukono Municipal Administration Block Project', dated 29th/September/2023; addressed to Town Clerk, signed by Senior Environmental Officer - Masengere George, signed 	1

by Principal Community Development Officer - Namukose Minisa Kirya, with Copy to Engineer, Mukono Municipal Council.

- Mukono MLG Department of Environment, Gender and Community Based Services presented 'Inspection and Monitoring Report for Environment and Social Safeguards for the Phased Construction of Mukono Municipal Office', dated 3rd/March/2023; addressed to Town Clerk, signed by Senior Environmental Officer - Masengere George, signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented 'Inspection and Monitoring Report for Environment and Social Safeguards for Projects Implemented in the Financial Year 2022/23', dated 4th/July/2023; addressed to Town Clerk, with the following multi-sector projects: Education and Sports Department, Re-roofing of 4-in-1 Classroom Block and Construction of 4 Stance Pit Latrine at Seeta Umea Primary School; Construction of 2 Classroom Block at Kiwango Umea Primary School. Health Department, Phased Completion of 10-Bed Maternity Ward at Goma HC III; Mukono Goma Division, Construction of Staff Quarters at Nantabulirwa HC, Construction of Maternity Ward and Staff Quarters at Nyanja HC. Gender and Community Based Services Department, Contribution to the Construction of a Youth Centre. The inspection and monitoring report for environment and social safeguards was signed by Senior Environmental Officer - Masengere George, signed by Principal Community Development Officer - Namukose Minisa Kirya.

Safeguards for service delivery of investments effectively handled.

Maximum 11 points on this performance measure

g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects:

Score 1 or else score 0

There WAS Evidence that Environmental and Social Compliance Certification Forms (ESCCFs) were completed and signed by Environmental Officer and Community Development Officer (CDO) prior to payments of contractors' invoices at interim and final stages of projects as exemplified by the following projects:

- Mukono MLG Department of Environment, Gender and Community Based Services presented 'Certificate for Implementation of Environment and Social Safeguards' for Phased Construction of Administration Block at Mukono Municipal Council under DDEG Funding, Certificate No: 00002/Wrks/22-23. The completed/filled certification form was dated 20th/March/2023 and was signed by Senior Environmental Officer - Masengere George and was also signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented 'Certificate for Implementation of Environment and Social Safeguards' for Construction of Youth Centre at Nakabago under DDEG Funding, Certificate No: 001/Wrks/22-23. The completed/filled certification form was dated 13th/February/2023 and was signed by Senior Environmental Officer - Masengere George and was also signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented Contractor Payment Certificate that was duly signed by Senior Environmental Officer - Masengere George and Principal Community Development Officer - Namukose Minisa Kirya. The Contractor Payment Certificate presented was for the following project: Phased Construction of Mukono Municipal Offices/Administration Block under DDEG Funding. Contractor: Rosanah Investments Limited. Certification Date: 10th/May/2023. Amount Paid: 30,589,170 UGX.

- Mukono MLG Department of Environment, Gender and Community Based Services presented Contractor Payment Certificate that was duly signed by Senior Environmental Officer - Masengere George and Principal Community Development Officer - Namukose Minisa Kirya. The Contractor Payment Certificate presented was for the following project: Phased Construction of a Youth Centre at Nakabago under DDEG Funding. Contractor: NK General Dealers Limited. Certification Date: 17th/February/2023. Amount Paid: 76,000,000 UGX.

Financial management

16	<p>LG makes monthly Bank reconciliations</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment:</p> <p>Score 2 or else score 0</p>	<p>All the 3 bank accounts sampled had their monthly reconciliations done up to October 31, 2023. These were:</p> <ol style="list-style-type: none"> 1. UWEP in Bank of Africa; 2. MUWRPin Centenary Bank; and 3. General Fund in DFCU. 	2
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>Quarter 1 report was prepared on 24/2/2023, main issue was lack of budget meetings;</p> <p>Quarter 2 report was prepared on 28/3/2023, main issue was non transfer of local revenue to the consolidated fund;</p> <p>Quarter 3 report was prepared 22/5/2023, main issue was failure to repair damaged assets; and</p> <p>Quarter 4 report was prepared on 28/8/2023, main issue was poor wastage disposable management.</p>	2
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>The LG had provided status of implementation of internal audit findings to the LG PAC for all the 4 quarters:</p> <p>Quarter 1 status of implementation of internal audit findings provided to Council/Chairperson and LG PAC on 22/2/2023;</p> <p>Quarter 2 status of implementation of internal audit findings provided to Council/Chairperson and LG PAC on 28/3/2023;</p> <p>Quarter 3 status of implementation of internal audit findings provided to Council/Chairperson and LG PAC on 23/5/2023; and</p> <p>Quarter 4 status of implementation of internal audit findings provided to Council/Chairperson and LG PAC on 30/8/2023.</p>	1
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>There was no evidence that all the 4 quarterly audit reports were discussed by the LG PAC.</p>	0

Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>The actual/budget local revenue collection ratio for the FY 2022/23 was 80% (UGX4,200,841,930 / 5,216,322,000). This was a budget variance of 20% which was not within +/- 10 %.</p> <p>(Source: LG draft Final accounts for FY 2022/23 page 8 and the LG Approved Work Plan and Budget for 2022/23 page 9.)</p>	0
19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>The LG OSR increased by 5% from UGX 4,018,792,123 in the FY 2021/22 to UGX 4,200,841,930 in the FY 2022/23. (Source: LG audited accounts for Financial Year (FY) 2021/22 page 39 and draft accounts for the year 2022/23 page 8.</p>	1
20	<p>Local revenue administration, allocation, and transparency</p> <p>Maximum 2 points on this performance measure.</p>	<p>a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0</p>	<p>The shareable revenue was transferred at 50% to the Divisions as required with an additional 15% for the LLGs to run their lower levels (Wards) as below:-</p> <p>Goma Division, Ugx 1,358,840,075; and</p> <p>Central Division Ugx979,796,491.</p>	2

Transparency and Accountability

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0</p>	<p>There was evidence that Mukono municipality awarded contracts and amounts were published on the notice boarded. Below were the sampled projects;</p> <p>1.Phased construction of Mukono Municipality administration block, procurement number:MMC772/works/22-23/00002</p> <p>Published on 5th/12/2022 and removed 16th/12/2022</p> <p>Best evaluated bidder was M/s Rossanah Investement Ltd, contract amount 135,346,288/=</p> <p>2.Phased construction of a surgical Theatre at Goma, procurement number:MMC772/works/22-23/00006</p> <p>Published on 9th/05/2022 and removed 20th/05/2022</p> <p>Best evaluated bidder was M/s Erasco Co Ltd, contract amount 199,899,929/=.</p> <p>3.Construction of a 2 classroom block at Kiwango, procurement number:MMC772/works/22-23/00005</p> <p>Published on 4th/4/2023 and removed 17th/4/2023</p> <p>Best evaluated bidder was M/s Mwenu Construction Co Ltd, amount 97,767,352/=</p> <p>These were all signed by Mr. Byabagambi francis, TC</p>	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>LG performance assessment results for the year 2021/22 together with the implications dated 19/9/2023 were available on the LG notice board at the time of the assessment on.</p>	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>There was no evidence of any Baraza undertaken in the year 2022/23.</p>	0

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>There was evidence that the LG made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal dated 14/7/2022 on the notice board.</p>	1
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>The LG did not have any IGG case during the assessment year 2022/23.</p>	1

**Educational
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>The LG PLE Pass rate has improved between the previous school year but one and the previous year from 92% to 95% as indicated below.</p> <p>UNEB PLE Results for 2020 were as follows:</p> <p>Total Registered Students: 7,192.</p> <p>Absentee Students: 44. Students who sat exams (7,192-44) =7,148.</p> <p>Students that passed in 2020: DIV1: 2,104. DIV2: 3,751 and DIV3: 701 = Total Passed: 6.556.</p> <p>Percentage of Pass Ratio: (6,556 / 7,148) =92%.</p> <p>UNEB PLE Results for 2022 were as follows:</p> <p>Total Registered Students: 7,811.</p> <p>Absentee Students: 69. Students that sat Exams: (7,811-69) =7,742.</p> <p>Students that passed in 2022: DIV1:3,019. DIV2:3,697 and DIV3:600 = Total Passed 7, 316.</p> <p>Percentage of pass ratio: (7,316/7,742) = 95%.</p> <p>The Percentage increase is (95-93) =2%. This is between 1 and 5%.</p> <p>Score 2.</p>	2

1

0

Learning Outcomes:
The LG has improved
PLE and USE pass
rates.

Maximum 7 points on
this performance
measure

b) The LG UCE
pass rate has
improved between
the previous
school year but
one and the
previous year

- If improvement by more than 5% score 3
- Between 1 and 5% score 2
- No improvement score 0

The LG UCE Pass rate did not improve between the previous school year but and the previous year.

UNEB UCE Results for 2020 were as follows:

Total Registered Students: 487.

Absentee Students: 00. Students who sat exams 487.

Students that passed in 2020: DIV1: 52. DIV2: 162 and DIV3: 145 = Total Passed: 359.

Percentage of Pass Ratio: $(359 / 487) = 74\%$.

UNEB UCE Results for 2022 were as follows:

Total Registered Students: 566.

Absentee Students: 00. Students that sat Exams: 566.

Students that passed in 2022: DIV1:69. DIV2:180 and DIV3:163 = Total Passed 412.

Percentage of pass ratio: $(412/566) = 73\%$.

The Percentage declined from (74 to 73) = (1) %. This is No Improvement.

Score 0.

2

2

N23_Service Delivery
Performance: Increase
in the average score in
the education LLG
performance
assessment.

Maximum 2 points

a) Average score
in the education
LLG performance
has improved
between the
previous year but
one and the
previous year

- By more than 5%, score 2
- Between 1 and 5%, score 1
- No Improvement, score 0

NB: If the previous average score was 95% and above, Score 2 for any increase.

The average score for the 2 LLGs was 100% for the year 2021/22 and 100% for 2022/23, Both Goma Division and Central Division had 100% in Education for both Years 2021/22 and 2022/23.

Year	2021/22	2022/23
Goma	100	100
Central	100	100
Average	100	100

3

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0

The Budget performance report for 4th Quarter dated 11/8/2023 on page 19 -20 of 111, Department :060 Education, shows the Sector Development Grant of UGX.655,585,000 was used on the following eligible expenditure:

1-Re-roofing of two-classroom block and construction of an 8-stance water borne toilet at Seeta UMEA PS by Link Contractors valued at Ugx. 165,172,973.

2- Supply of 681 three seater wooden desks by Mafrosa valued at Ugx. 180,390,000.

3-Renovation of 7 classrooms and construction of 5- stance pit latrine at Ngandu PS by Sesami valued at Ugx 212,254,765.

4-Construction of Two Class room block at Kiwango UMEA by Mwenu Construction valued at Ugx. 97,767,352.

5- Construction of perimeter wall at Bajjo Ps.

2

3

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0

There was no evidence that the MEO, Environment Officer and CDO certified works on Education construction projects implemented in the year 2022/23 before the LG made payments to the contractors:-

1. A Contract for the construction of a 5 star pit latrine by Link Investments Ltd at Seeta P/S at Ugx 159,661,244 was the only one certified by the MEO, Environment Officer and CDO on 14/6/2023 before payment on 27/6/2023;

2. A Contract for the construction of a classroom block at 76,419,469 by Mwenu Ltd at Kiwago PS was not certified by the MEO, Environment Officer and CDO before payment on 27/6/2023;

3. A Contract for the construction of a classroom block at 76,419,469 by Sesami Ltd at Ngandu PS was not certified by the MEO, Environment Officer and CDO before payment on 27/2/2023;

0

3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If the variations in the contract price are within +/-20% of the MoWT estimates score 2 or else score 0</p>	<p>There was evidence that the the variations in the contract price were within +/-20% of the MoWT estimates: Sampled projects were:</p> <p>Education</p> <p>1. Construction of a two classroom block at Kiwango Umea P/S:(SFG funded)</p> <p>Procurement no: MMC772/works/22-23/00002</p> <p>Engineer's estimate:UGX. 150,000,000</p> <p>Contract Sum:Ugx. 135,346,288</p> <p>Percentage variation: 9.8%</p> <p>2. Renovation of seven Classroom block at Nganda P/S. (DDEG funded)</p> <p>Procurement no: MMC772/works/22-23/00001</p> <p>Engineer's estimate:UGX. 214,285,396</p> <p>Contract Sum: Ugx. 212,254,675</p> <p>Percentage variation: 0.95%</p>	2
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that education projects (Seed Secondary Schools)were completed as per the work plan in the previous FY</p> <ul style="list-style-type: none"> • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0 	<p>The indicator is not applicable since the municipality did not have any seed school implemented.</p>	2
4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 - 79% score: 1 • Below 70% score 0 	<p>Mukono MC primary teacher ceiling was 570 and filled 527 representing 92.5%</p>	2

4 Achievement of standards: The LG has met prescribed school staffing and infrastructure standards

Maximum 6 points on this performance measure

b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,

- If above 70% and above score: 3

- If between 60 - 69%, score: 2

- If between 50 - 59%, score: 1

- Below 50 score: 0

Basic Minimum Service delivery Standards / norms for every primary school as per Guidelines are :

1; At least 3 Permanent Classrooms complete with storage Lockers and Pupil: Classroom Ratio of UPE 1:53 and USE 1:60.

2; Desk to Pupil Ratio of 1:3 students.

3; One Five-stance latrine Block and Stance: Pupil ratio of 1:40.

4; Accommodation of at least 4 Teachers.

5; Water Tank of at least 10,000 Liters and

6; At least one changing Room per school.

7; At least a Multipurpose Building Laboratory / Library for USE.

From the list of registered UPE and USE schools and the consolidated Schools Asset Register (Format 1: Education Facility Register at LG Level), for both UPE and USE Schools from the previous two FYs i.e. 2021/22 dated 20/6/2022 and 2021/23 dated 10/6/23, plus Basic Requirements and minimum standards (BRMS) status report dated 13/11/20, the following schools meet the prescribed minimum standards:

There are 33 UPE schools with an Enrollment of 20,047 students:

No of classrooms : $507/20047=1:40$

No of latrines : $558/20047 = 1:40$

No of Desks: $7286/20047 = 1:3$

No of Teacher Accommodation: $130/33= 4$

The LG has 2 USE Schools with an enrollment of 4,565 students:

Number of Classrooms: $35/4,565= 1:130$

Number of Toilet Stances: $56/4,565=1:80$

Number of Desks : $1102/4565=1:4$

Number of Laboratories:

Number of Teachers Houses: $18/2=9$

Therefore:

Classroom combined is $1:85 =67\%$

Latrines combined is $1:60 = 67\%$

Desks Combined is $1:3.5 = 86\%$

Teachers Accommodation is $(13/2)=6.5$ is 163%

Overall $(67+67+86+163\%) =383/4=96\%$.

Therefore score is 3.

Performance Reporting and Performance Improvement

5

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

a) Evidence that the LG has accurately reported on teachers and where they are deployed.

- If the accuracy of information is 100% score 2
- Else score: 0

The MLG has accurately reported on Teachers and where they are deployed accurately. From the Sampled Schools, the Teachers are in schools where they are deployed. The Staff list for Schools, deployment and Staff attendance registers were verified on 13th November 2023 and below are the findings:

Mukono Boarding PS.(Urban)

Thirty-Seven Teachers were deployed and a head teacher, as per staff list at the DEO's office corresponded with deployment displayed at the school. The teachers are: Babirye Christine, Biyinzika Aidah, Kaggwa Nalubega Rebecca, Katende Husein, Kayanga Rogers, Kayenba Janat, Kisakye Lovincer, Kisekka Suzan, Mbabazi Edrida, Mbekeka Catherine, Mbolanyi Harriet, Mirembe Annet, Mombwe James, Mulinde Anthony, Mulumba Moses, Mutebi Umar, Nabbosa Joyce, Nabirye Sarah, Nakateraga Brenda, Nakayiwa Florence, Nakigudde R, Cynthia, Nakirya Jennifer, Nalugga Margaret, Namatovu Dorothy Tracy, Namiiro Annet, Nampeera Damalie, Namutebi Joweria, Ntege Joshua, Oboi John, Sematimba Kenneth, Siryowe Joyce, Takubika Florence, Turyashemererwa Lenard, Wambuzi Norah Annet, Wayana Dezz and Wamala Susan (Head teacher).

Namilyango Junior Boys School PS. (Semi-Urban)

Twenty Eight Teachers and a head teacher were deployed as per staff list and the displayed staff list and staff attendance register at School was also the same: Isingoma Felix, Abili Moses, Aketch Esther, Alapo Mary, Gabiri Godfrey, Kabahenda Getrude, Kalembe Lilian, Kalyesubula Peter, Kawuki James, Kityo Christopher, Kizza John Joseph, Kuunya Joel, Lukyamuzi Joel Festo, Lwasa Tracy Enid, Mbala Caesar, Mutuni Gerald Charles, Nabukenya Resty, Nabukenya Teddy, Nanteza Anne Margaret, Nkoge Robert, Ogweng Nixion, Opendi Didmus, Semata Lawrence, Semakula Douglas, Wakobe George Sr. Nabukalu Immaculate Leticia (Headmistress) and Zirabah Sarah.

St. Charles Lwanga Bukeerere PS.(Rural)

Ten Teachers and a head teacher were deployed as per staff list and Actual staff list displayed and staff attendance register at School was also ten: Kirunda Aggrey, Namboga Cotida, Nakinalwa Suzan, Nakitudde Margaret, Musoke Emmanuel, Bawuza Milly, Mugerwa Joseph, Namulinda Oliver, Namirembe Teddy and Namakula Clementine (Head teacher)

The information given by the MLG was accurate.

2

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.

- If the accuracy of information is 100% score 2
- Else score: 0

The LG had a Consolidated Schools Asset Register that was accurately reporting on the infrastructure in all registered primary schools.

From the Sampled Schools the findings on 13/11/2023 during verification, were as indicated below:

Mukono Boarding PS (Urban- Central Division) dated 10/06/2023.

Number of Classrooms: 14, Number of Latrines: 5 Stances, Number of Desks:80 , Number of Teachers Houses: 0.

Namilyango Junior Boys School (Semi Urban-Goma Division) dated 10/06/2023

Number of Classrooms: 10, Number of Latrines: 5 stances, Number of Desks: 213, and Number of Teachers Houses: 3.

St. Charles Lwanga Bukerere PS (Rural- Goma Division) dated 10/06/2023.

Number of Classrooms: 7, Number of Latrines: 14 stances, Number of Desks: 176, and Number of Teachers Houses: 5.

During the verification, all the Sampled schools asset registers on infrastructure and equipment, were in place as per the Consolidated Asset Register and were found to have accurate information at 100%.

The LG was compliant.

School compliance and performance improvement:

Maximum 12 points on this performance measure

a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:

- If 100% school submission to LG, score: 4
- Between 80 - 99% score: 2
- Below 80% score 0

The LG has complied with the MOES Guidelines since all registered primary schools have submitted copies of Annual school reports (Signed by the head teacher and chair of the SMC) and budgets from the previous year to the Principal Education Officer by January 30th.

The Sampled Schools submitted the reports on the following dates:

Mukono Boarding Primary School submitted on 22/12/2022.

Namilyango Junior Boys Primary School submitted on 18/12/2022.

St Charles Lwanga Bukerere Primary School Submitted on 3/12/2022.

This was before the 30th January deadline and hence compliant.

Mukono Boarding Primary School (Urban).

Highlights of Schools Performance for 2022.

SMC Minutes dated 8/10/2023 18/7/2023 and 15/3/2023.

Reconciled Cash flow statement and budgets for October 2022 and Asset Register dated 20/6/2022.

Namilyango Junior Boys PS (Semi Urban).

School performance for 2022.

SMC Minutes dated 19/2/2023, 19/7/2023 and 31/5/2023.

Reconciled Cash flow statement and budget for October 2022 and Asset Register dated 20/6/2022.

St. Charles Lwanga Bukerere Primary School. (Rural).

School Performance for 2022.

SMC Minutes dated 28/8/2023, 16/7/2023 and 3/02/2023.

Reconciled Cash flow Statement and budget for October 2022 and Asset Register dated 20/6/2022.

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> • If 50% score: 4 • Between 30-49% score: 2 • Below 30% score 0 	<p>In the Schools Inspection Report dated 15/1/2022, 22/5/2023 and 28/10/2023, the following were the areas of improvement recommended:</p> <p>1; A need of training on how to prepare a SIP. This training targeted Chairpersons and Vice Chairperson for School Management Committee and Head teachers. It took place at Seeta Boarding school on 22/5/2023 and 200 Schools participated and among them was Mukono Boarding PS, Namilyango Junior Boys School and St. Charles Lwanga Bukerere PS.</p> <p>2; Training the School Management Committee's and Head teachers on Financial Management in Budgeting and cash flows reporting. This took place at Seeta Boarding PS on 22/5/2023 and 250 schools attended. Among them was Mukono Boarding PS, Namilyango Junior Boys School and St. Charles Lwanga Bukerere PS.</p> <p>During verification on 13/11/2023, it was confirmed from the participant's attendance lists.</p> <p>From the Sampled Schools, this was more than 50% implementation.</p>	4
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 - 99% score 2 • Below 90% score 0 	<p>From the list of schools from Mukono Municipal council performance contract that was submitted and received by MoES on 13th December 2022 and from PBS, the schools were verified from the School list to be 33 Primary schools (23 in Goma Division AND 10 in Central Division). The total Primary school enrollment was 20,047 Students.</p> <p>The MLG has 2 Secondary Schools namely (Mukono High SSS - Central Division and St Charles Lwanga Bukerere SSS- Goma Division from the submitted list with a total enrollment of 4,565 Students.</p> <p>This was 100% Submission.</p>	4

Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>The Guidelines state that " Proper deployment of primary school teachers across primary schools. Each primary school must have at least a head teacher and a minimum of 7 additional teachers"</p> <p>The MLG Budgeted for a Head Teacher and a minimum of 7 Teachers per school. There are 33 Head teachers for the 33 Schools that have classes up to P7. There is a total of 527 Teachers and they were all budgeted for during FY2023/24 at UGX. 9,162,620,000 as per Wage Analysis Report for FY2023/24 and Approved Budget Report on Page 23 of 45.</p>	4
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Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

Maximum 8 points on this performance measure

b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,

Score 3 else score: 0

The LG had deployed Teachers according to MoES sector guidelines/staffing norms; which prescribe that a P7 school should have a minimum of seven teachers and a head teacher and all the 33 schools had a minimum of seven teachers and a head teacher.

The Sampled Schools deployment verified on 13/11/2023 was as follows:

Mukono Boarding PS.(Urban)

Thirty Seven Teachers were deployed and a head teacher, as per staff list at the DEO's office corresponded with deployment displayed at the school. The teachers are: Babirye Christine, Biyinzika Aidah, Kaggwa Nalubega Rebecca, Katende Husein, Kayanga Rogers, Kayenba Janat, Kisakye Lovincer, Kisekka Suzan, Mbabazi Edrida, Mbekeka Catherine, Mbolanyi Harriet, Mirembe Annet, Mombwe James, Mulinde Anthony, Mulumba Moses, Mutebi Umar, Nabbose Joyce, Nabirye Sarah, Nakateraga Brenda, Nakayiwa Florence, Nakigudde R, Cynthia, Nakirya Jennifer, Nalugga Margaret, Namatovu Dorothy Tracy, Namiiro Annet, Nampeera Damalie, Namutebi Joweria, Ntege Joshua, Oboi John, Sematimba Kenneth, Siryowe Joyce, Takubika Florence, Turyashemererwa Lenard, Wambuzi Norah Annet, Wayana Dezz and Wamala Susan (Head teacher).

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Twenty Eight Teachers and a head teacher were deployed as per staff list and the displayed staff list and staff attendance register at School was also the same: Isingoma Felix, Abili Moses, Aketch Esther, Alapo Mary, Gabiri Godfrey, Kabahenda Getrude, Kalembe Lilian, Kalyesubula Peter, Kawuki James, Kityo Christopher, Kizza John Joseph, Kuunya Joel, Lukyamuzi Joel Festo, Lwasa Tracy Enid, Mbala Caesar, Mutuni Gerald Charles, Nabukenya Resty, Nabukenya Teddy, Nanteza Anne Margaret, Nkoge Robert, Ogweng Nixion, Opendi Didmus, Semata Lawrence, Semakula Douglas, Wakobe George Sr. Nabukalu Immaculate Leticia (Headmistress) and Zirabah Sarah.

St. Charles Lwanga Bukeerere PS.(Rural)

Ten Teachers and a head teacher were deployed as per staff list and Actual staff list displayed and staff attendance register at School was also ten: Kirunda Aggrey, Namboga Cotida, Nakinalwa Suzan, Nakitudde Margaret, Musoke Emmanuel, Bawuza Milly, Mugerwa Joseph, Namulinda Oliver, Namirembe Teddy and Namakula Clementine (Head teacher).

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,</p> <p>score: 1 else, score: 0</p>	<p>From the Sampled Schools; Mukono Boarding Primary School, Namilyango Junior Boys School and St Charles Lwanga Bukerere PS, during verification on 13/11/2023 the lists of the Teachers deployed were displayed on the School Notice Boards.</p>	1
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copy to DEO/MEO</p> <p>Score: 2 or else, score: 0</p>	<p>There was evidence at the time of assessment that all 20 Primary School head teachers were appraised and 10 out of 20 teachers were sampled.</p> <p>The 10 head teachers sample were for primary schools of Ntawo, Taka Junge, Kati, Nabaale, Mukono Boarding, Lweza, Ngandu, Ssekibobo, Bishop's East and Mukono town Muslim.</p> <p>The head teachers were appraised by the Town Clerks of the respective Divisions and submission were made to the HRM with Copy to DEO. HRM compiled a list of the appraised head teachers dated 28/12/2022 and 22/3/2023 for Goma and Central Division respectively.</p>	2
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM</p> <p>Score: 2 or else, score: 0</p>	<p>There was evidence that the MC did not appraise the 33 secondary school head teachers by either the D/TC or the BOG as there were no appraisal reports submitted to HRM.</p>	0

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p> <p>score: 2. Else, score: 0</p>	<p>There was evidence at the time of assessment that the four (4) Education department staff were appraised against their performance plans as reflected in DEOS appraisal report dated 30/6/2022.</p> <p>The four staff were Inspector of schools (Nakito Doreen), Senior Inspector of schools (Bulya Olivia), Education Officer (Nassanga Esther) were appraised by the Principal Education Officer (Nassolo Farida) on the 30/6/2023</p>	2
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>The LG has prepared a Capacity Building plan for 1st July 2022 to 30th June 2023. Its dated 1st July 2022, prepared by the PEO and Approved by the Principal Human Resource Officer.</p> <p>The Activities included the following :</p> <p>1: Human Resource Management. (All Head Teachers and Deputies of all GOU Aided schools).</p> <p>2: Financial Management. (All Head teachers and Deputy Head teachers in ALL Divisions).</p> <p>3: Training of Teachers to make low cost instruction materials. (Head teachers, Deputies and Senior Education Assistants).</p> <p>4: Early Grade Reading. (Head teachers, Deputies and Directors of Studies).</p> <p>5: Lower Secondary school curriculum and other education policies. (Parents and School Management Committees).</p>	2

Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p>	<p>The list of schools from PBS VS School list submitted to MOES was the same at 33 GOU schools, their enrolment of 20,047 Students and budget allocation of UGX 11,442,932,000 (Wage -Ugx 9,162,620,000 and Non-Wage -2,280,312,000 was submitted on 13th December 2022. Refer to Quarter 4 Performance . There were no correction to be made hence no need for the letter from Town Clerk correcting the list.</p> <p>The MLG was compliant.</p>	2
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Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.

If 100% compliance, score: 2 else, score: 0

Non-wage recurrent (Grant item Code 321467)

The Education Sector April 2022 Guidelines FY2022/23, Pages 13-14, stipulates that:

“Education Management Service and Monitoring” (Output 078401): Must include DEOs/MEOs expenses for monitoring All schools and institutions in the LG at least once per year, as well as activities to mobilize and attract children to school and improve learning outcomes (e.g. By supplementing procurement of MoES recommended scholastic materials to undeserved schools from a prequalified list of suppliers). At a minimum, this function must be allocated a fixed rate of UGX. 4,500,000 per LG, plus UShs 110,000 per Government Aided School, and UShs 36,600 per Private school the level of the former DEO monitoring grant.”

“School Inspection” (Output 078402): Must cover all expenses for inspection of all schools and Institutions in the LG at least once per Term: At minimum, inspection must be allocated a fixed rate of UGX. 4,000,000 per LG, plus UShs 117,600 (3 inspections at UShs 39,200) per Government Aided school for the 3 terms, and UShs 61,600 per Private school.”

Therefore, with 33 UPE and 309 Private primary schools; 2 USE and 82 Private Secondary schools, below is the minimum allocation for Inspection and monitoring:

School Monitoring; $4,500,000 \text{ plus } (110,000 \times 35) + (36,600 \times 391) =$
 $(4,500,000 + 3,850,000 + 14,310,600) = 22,660,000.$

School Inspection; $4,000,000 \text{ plus } (117,600 \times 35) + (61,600 \times 391) = (4,000,000 + 4,116,000 + 24,085,600) = 32,201,600.$

Therefore the minimum allocation to inspection and monitoring functions in line with sector guidelines should have been $(22,660,000 + 32,201,600) = 54,861,600.$

The Allocation made to inspection and Monitoring for the previous financial year as per approved budget was Ugx. 23, 760,000.

This was below the minimum allowed and hence the MLG was not compliant.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p> <p>If 100% compliance, score: 2 else score: 0</p>	<p>The LG did not submit all warrants for school's capitation within 5 days for the last 3 quarters as below:</p> <p>Quarter 2 warrant was on 19/10/2022, release date was 30/9/2022; 19 days</p> <p>Quarter 3 warrant was on 23/1/2023, release date was 29/12/2022; 23 days and</p> <p>Quarter 4 warrant was on 26/4/2023, release date was 6/4/2023;20 days.</p>	0
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p> <p>If 100% compliance, score: 2 else, score: 0</p>	<p>There was no evidence that the LG invoiced all capitation releases to schools within 3 working days:</p> <p>Quarter 2 invoicing was on 20/10/2022 and release date was 30/9/2022, 11 days;</p> <p>Quarter 3 invoicing was on 6/2/2023 and release date was 29/12/2022, 6 days; and</p> <p>Quarter 4 invoicing was on 17/5/2023 and release date was 6/4/2023, 26 days.</p>	0
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <p>• If 100% compliance, score: 2, else score: 0</p>	<p>The LG prepared an Inspection Plan for FY2022/2023 dated 12/7/2022. It was prepared by the Inspector Of Schools and verified by the PEO, with the following activities and schedule.</p> <ol style="list-style-type: none"> 1: Support Supervision on Teaching and learning process in ALL Terms 1, 2 & 3, i.e. effective July 2022 to June 2023. 2: Analysis of schools structure and availability of necessary facilities, to happen in ALL School terms. 3: Examination of the organization, management of teaching and non-teaching staff. 4. Assessment of teaching and learning activities during all the 3 terms. <p>Preparatory meetings were held on the following dates : 22/5/2023, 6/6/2023 and 20/9/2022.</p>	2

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> • If 100% score: 2 • Between 80 - 99% score 1 • Below 80%: score 0 	<p>The LG carried out inspections in the previous three school terms in UPE Schools on the following dates:</p> <p>1: 15/1/2022 33 UPE = 100%</p> <p>2: 22/5/2023 33 UPE = 100%</p> <p>3: 28/10/2023 33 UPE= 100%</p> <p>The DEO carried out monitoring for the last three school terms:</p> <p>1. All government schools were monitored during the three terms as per Principal Education officers reports dated 22/5/2023, 24th June 2023 and 7/12/2022.</p> <p>Therefore, LG Inspections at 100% and DEO/MEO Monitoring at 100% is equal to average of 100%.</p> <p>The MLG was compliant.</p>	2
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10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>From the Sampled Schools, during verification on 13/11/2023, it was confirmed that the inspection reports were discussed and used to recommend for corrective action and those actions were followed up.</p> <p>Mukono Boarding PS, Namilyango Junior Boys School and St Charles Lwanga Bukerere PS.</p> <p>Inspected on 15/1/2022, 22/05/2023 and 28/10/2023.</p> <p>The following were the issues raised during inspection:</p> <p>Teaching and learning, Community engagement, hygiene and sanitation, status of physical infrastructure, absenteeism and gender based violence awareness.</p> <p>Actions taken after the inspections were:</p> <p>Sanitation and Hygiene, the compound was bushy and recommendation was made for it to be slashed, which was done. Planting of Trees and Flowers for beautification has been carried out.</p> <p>More furniture and Desks were procured for learners to sit on.</p> <p>Timetable display on the Notice Boards and staff room has been done and improved.</p> <p>The staff attendance registers are now regularly updated to curb absenteeism.</p>	2
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10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>Copies of the Inspection Reports from the previous three terms were left behind as evidenced from the three sampled Schools verified on 13th November 2023 as indicated below below:</p> <p>Namilyango Junior Boys School dated 10/10/2023, 03/08/2023 and 24/7/2023.</p> <p>St Charles Lwanga Bukerere PS dated 17/10/2023, 10/10/2023 and 3/10/2023.</p> <p>Mukono Boarding PS dated 27/3/2023, 18/7/2023 and 14/7/2023.</p> <p>The Inspection Reports were also submitted to the Directorate of Education Standards (DES) in the MoES by email dated :</p> <p>Term 3: 15/1/2023, Term 1: 22/5/2023 and Term 2; 28/9/2023 to the Directorate of Education Standards (DES).</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>There was evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings:</p> <ol style="list-style-type: none"> 1. Minutes of the sector committee meeting dated 5/7/2022 ; 2. Minutes of the sector committee meeting dated 14/9/2022. 3.. Minutes of the sector committee meeting dated 22/2/2023 ; and 4. Minutes of the sector committee meeting dated 4/7/2023. <p>These minutes were discussed in LG Council on 2/8/2022 under Min MMC/06/2022(A) and 26/5/2023 Min MMC45/2023(B).</p>	2
11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school, score: 2 or else score: 0</p>	<p>The LG Education Department conducted activities to mobilize and attract and retain children at school as evidenced in the Report to Town Clerk dated 24/6/2023 and received on 24/6/2023.</p> <p>The highlighted activity was a constant use of Local Community Radios daily, disseminating the message in the two Divisions of Central and Goma. DUNAMIS FM monthly talk shows from 7am to 8.30 am.</p> <p>Including the regular meetings with Parents to talk about education policy matters as indicated in the report.</p>	2

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i></p>	<p>The following infrastructure and equipment is in place as per consolidated Asset Register for UPE /USE Schools FY2022/23 dated 10/6/2023 and Format 1: Education Facility Asset Register at LG.</p> <p>From the Sampled Schools the findings were as follows;</p> <p>Mukono Boarding PS (Urban- Central Division) dated 10/06/2023.</p> <p>Number of Classrooms: 14, Number of Latrines: 5 Stances, Number of Desks:80 , Number of Teachers Houses: 0.</p> <p>Namilyango Junior Boys School (Semi Urban-Goma Division) dated 10/06/2023.</p> <p>Number of Classrooms: 10, Number of Latrines: 5 stances, Number of Desks: 213, and Number of Teachers Houses: 3.</p> <p>St. Charles Lwanga Bukeerere PS (Rural-Goma Division) dated 10/06/2023.</p> <p>Number of Classrooms: 7, Number of Latrines: 14 stances, Number of Desks: 176, and Number of Teachers Houses: 5.</p> <p>As per Consolidated Asset Register for FY 2022/23.</p> <p>This information was verified on 13th November 2023, from the schools Assets Registers and was found to be accurate.</p>	2
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i></p>	<p>The LG conducted desk appraisals, the investment derived from the LG Development Plan 2020/21-2024/25 (Page 138-148) and were eligible for funding under sector guidelines as indicated in the minutes dated 24/8/2022, page 2 for the projects implemented in the year 2022/23. The projects appraised included, Construction of 2(3 in 1) Classroom blocks (Misindye CU PS and Seeta UMEA PS in Goma Division) at Ugx476,585,000/=</p>	1

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0</p>	<p>The LG conducted field appraisals, the investments were technically feasible, environmentally and socially acceptable and were customized for investment as indicated in reports dated 24/8/2022 page 2 for the projects implemented in the year 2022/23. The projects appraised included, Construction of 2(3 in 1) Classroom blocks (Misindye CU PS and Seeta UMEA PS in Goma Division) at Ugx476,585,000/=</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p>	<p>The indicator is not applicable since the Municipal council has no seed school implemented.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>The indicator is not applicable since no seed school was implemented.</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>There was evidence that the LG had properly established the Project Implementation team as specified in the sector guidelines. Below was the list established:</p> <p>Ms. Nassolo Faridah - PEO</p> <p>Mr. Masengere George - SEO</p> <p>Mr. Ssebaduka Harunah -ME</p> <p>Ms. Namukose Minisa Kirya -PCDO</p> <p>Ms. Kigundu Annet - Labour Officer</p> <p>Ms. Nabayego Violet - Clerk of works</p> <p>This was established on 8th/02/2023 to handle projects across education and health department.</p> <p>This was signed by Mr. Francis Byabagambi, TC MMC</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p>	<p>The indicator is not applicable since seed school was implemented.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY</p> <p><i>score: 1, else score: 0</i></p>	<p>The indicator is not applicable since there was no seed school implemented.</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted score: 1, else score: 0</p>	<p>There was no enough evidence to show that the technical team carried out joint monthly supervision of the infrastructural projects in the previous FY, site monthly meetings dated 20th/2/2023,20th/3/2023 and 27th/4/2023 were the only availed reports to the assessor during the time of assessment. This was 38% of required 100% site supervision for projects of 8 months duration. These were chaired by Mr. Mugerwa henry, In attendance of Ms. Namukose Minisa, PCDO, Mr. Masengere George SEO, Mirembe Jackline and Dr. Kiwanuka Noah in absence of the Municipal Engineer.</p>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, score: 1, else score: 0</p>	<p>There was evidence that Education infrastructure projects were properly executed and payments to contractors made within specified timeframes within the contract as below:</p> <ol style="list-style-type: none"> 1. A Contract for the construction of a 5 star pit latrine by Link Investments Ltd at Seeta P/S at Ugx 159,661,244 invoice submitted on 14/6/2023 was certified by the MEO, Environment Officer and CDO on 14/6/2023 before payment on 27/6/2023 (13 days); 2. A Contract for the construction of a classroom block at 76,419,469 by Mwenu Ltd at Kiwago PS invoice submitted on 20/6/2023 was paid on 27/6/2023 (7 days); and 3. A Contract for the construction of a classroom block at 76,419,469 by Sesami Ltd at Ngandu PS invoice submitted on 23/2/2023 was paid on 27/2/2023 (4 days). 	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, score: 1, else, score: 0</p>	<p>There was evidence that the education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, The letter dated 30th/4/2022 signed by Ms. Nassolo Faridah, PEO was received by Mr. Buguma Aggrey and incorporated into the FY22/23 plan.</p>	1

13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law <i>score 1 or else score 0</i>	This indicator is not applicable since there was no seed school implemented	1
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Environment and Social Safeguards

14	Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework. <i>Maximum 3 points on this performance measure</i>	Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0	There WAS Evidence that Mukono MLG Education grievances had been recorded, investigated, and responded to in line with the LG grievance redress framework as records of education sector related grievances/complaints (indicating nature of cases, dates of registration, and any follow up actions taken) were found in LG Complaints Log/Complaints Record Book. 20th/October/2023 (Katongole Meddie, member of School Management Committee, filed a complaint concerning encroachment on school land of Ngandu Primary School by neighbors that was proved during school construction, GRC convened a meeting with School Management Committee, neighbors and other members of the community to consider the matter, boundary opening was undertaken, case was settled on 27th/October/2023).	3
15	Safeguards for service delivery. <i>Maximum 3 points on this performance measure</i>	Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation <i>Score: 3, or else score: 0</i>	The LG Disseminated Education guidelines incorporating E & S Requirements. Copies of Item 6.2.2" Environmental and Social Safeguards for Screening Capital Investments", of the Education Sector Guidelines, page 24 to 26 were found in the Sampled Schools namely: Mukono Boarding School, St Charles Lwanga Bukereree and Namilyango Junior School .They were delivered and received on 7/12/2022 and 22/5/2023. This was in addition to a letter from the Environment Officer, dated 24/5/2023 and Subject "Greening Programme".	3

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, *score: 2, else score: 0*

There was NO Evidence that Mukono MLG had Costed ESMPs incorporated in BoQs and contractual documents to comply with safeguards requirements within the Education Sector Guidelines as exemplified by the following education sector infrastructure projects:

- The summary of Bill for Construction of 8 Stance Waterborne Toilet, Reroofing and Painting of 4 Classroom Block and 1 Classroom Block with Main Hall at Seeta Umea P/S in Seeta Village, Seeta Parish, Goma Division under SFG Funding contained 'allow a provisional sum of 6,700,000 for ESS & EMM'. However, ESS & EMM activities that were to be implemented and their respective costs as well as 'allow a provisional sum of 6,700,000 for ESS & EMM' were all not indicated anywhere in the budget breakdown within the main body of the BoQs.

- The summary of Bill for Renovation of 7 Classroom Block at Ngandu P/S in Ngandu Village, Gulu Parish, Central Division under SFG Funding contained 'allow a provisional sum of 2,200,000 for ESS & EMM'. However, ESS & EMM activities that were to be implemented and their respective costs as well as 'allow a provisional sum of 2,200,000 for ESS & EMM' were all not indicated anywhere in the budget breakdown within the main body of the BoQs.

- The summary of Bill for Construction of 5 Stance Lined Pit Latrine with Washrooms and Urinal at St Charles Lwanga RC P/S in Bukerere Village, Bukerere Parish, Goma Division under UgIFT Funding contained 'allow a provisional sum of 1,500,000 for ESS & EMM'. However, ESS & EMM activities that were to be implemented and their respective costs as well as 'allow a provisional sum of 1,500,000 for ESS & EMM' were all not indicated anywhere in the budget breakdown within the main body of the BoQs.

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

b) If there is proof of land ownership, access of school construction projects, *score: 1, else score:0*

There WAS Evidence that Mukono MLG had proof of land ownership, access and availability to conduct planned school construction projects. LG provided to the assessor a Letter from Seeta Umea Primary School, dated 30th/March/2023, with Subject: Acceptance for the Government Offer to Construct the Eight Stances Waterborne Toilet and Renovation of the Two Classroom Blocks, addressed to Town Clerk Mukono Municipal Council, signed by Head teacher - Muyingo Musitafa, signed by Chairman SMC - Mbogo Shaban, with Copy to Chairman PTA. Additionally, LG provided to the assessor a Letter from Ngandu Primary School, dated 24th/January/2023, with Subject: Acceptance for a Renovation of a Seven Classroom Block, addressed to Town Clerk Mukono Municipal Council, signed by Head teacher - Nabisubi Harriet, signed by Chairman SMC - Njuba Frank. Also, LG provided to the assessor two land titles in the names of Kiwango Umea Primary School for land with the following details: Certificate of Title, East Buganda District, Kyaggwe County, Block 92, Plot 1724, 0.167 hectares, Land at Namwezi, Ownership: Kiwango Umea Primary School, Instrument No. MKO-00052759; Certificate of Title, East Buganda District, Kyaggwe County, Block 92, Plot 1722, 0.274 hectares, Land at Namwezi, Ownership: Kiwango Umea Primary School, Instrument No. MKO-00052759.

16

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, *score: 2, else score:0*

There WAS Evidence that Mukono MLG Environmental Officer and CDO conducted support supervision and monitoring for school construction projects to ascertain compliance with ESMPs and provided monthly reports as exemplified by the following:

- Mukono MLG Department of Environment, Gender and Community Based Services presented 'Inspection and Monitoring Report for Environment and Social Safeguards for Projects Implemented in the Financial Year 2022/23', dated 4th/July/2023; addressed to Town Clerk, with the following education sector projects: Education and Sports Department, Re-roofing of 4-in-1 Classroom Block and Construction of 4 Stance Pit Latrine at Seeta Umea Primary School; Construction of 2 Classroom Block at Kiwango Umea Primary School. The inspection and monitoring report for environment and social safeguards was signed by Senior Environmental Officer - Masengere George, signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented 'Inspection and Monitoring Report for Environment and Social Safeguards for the Construction of 8 Stance Waterborne Toilet, Reroofing of 2 Classroom Block and 1 Classroom Block with Main Hall at Seeta Umea Primary School', dated 18th/June/2023; addressed to Town Clerk. The inspection and monitoring report for environment and social safeguards was signed by Senior Environmental Officer - Masengere George, signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented 'Inspection and Monitoring Report for Environment and Social Safeguards for the Construction of Two Classroom Block at Kiwango Umea Primary School', dated 17th/February/2023; addressed to Town Clerk. The inspection and monitoring report for environment and social safeguards was signed by Senior Environmental Officer - Masengere George, signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented 'Inspection and Monitoring Report for Environment and Social Safeguards for the Renovation of a Seven Classroom Block at Ngandu P/S', dated 12th/December/2022; addressed to Town Clerk. The inspection and monitoring report for environment and social safeguards was signed by Senior Environmental Officer - Masengere George, signed by Principal Community Development Officer - Namukose Minisa Kirya.

16

Safeguards in the delivery of investments

d) If the E&S certifications were approved and

There WAS Evidence that Environmental and Social Compliance Certification Forms (ESCCFs) were completed and signed by Environmental Officer and

1

Maximum 6 points on this performance measure

signed by the environmental officer and CDO prior to executing the project contractor payments

Score: 1, else score:0

Community Development Officer (CDO) prior to payments of contractors' invoices at interim and final stages of projects as exemplified by the following projects:

- Mukono MLG Department of Environment, Gender and Community Based Services presented 'Certificate for Implementation of Environment and Social Safeguards' for Construction of 5 Stance Lined Pit Latrine with Washrooms and Urinal at St Charles Lwanga RC P/S in Bukerere Village, Bukerere Parish, Goma Division under UgIFT Funding. The completed/filled certification form was dated 18th/April/2023 and was signed by Municipal Environmental Officer - Masengere George and was also signed by Principal Community Development Officer - Wassajja Abubaker.

- Mukono MLG Department of Environment, Gender and Community Based Services presented 'Certificate for Implementation of Environment and Social Safeguards' for Renovation of 7 Classroom Block at Ngandu P/S in Ngandu Village, Gulu Parish, Central Division under SFG Funding. The completed/filled certification form was dated 18th/April/2023 and was signed by Municipal Environmental Officer - Masengere George and was also signed by Principal Community Development Officer - Wassajja Abubaker.

- Mukono MLG Department of Environment, Gender and Community Based Services presented 'Certificate for Implementation of Environment and Social Safeguards' for Construction of 2 Classroom Block at Kiwango Umea P/S in Kiwango Village, Bukerere Parish, Goma Division under SFG Funding. The completed/filled certification form was dated 14th/July/2023 and was signed by Municipal Environmental Officer - Masengere George and was also signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented 'Certificate for Implementation of Environment and Social Safeguards' for Construction of 8 Stance Waterborne Toilet, Reroofing and Painting of 4 Classroom Block and 1 Classroom Block with Main Hall at Seeta Umea P/S in Seeta Village, Seeta Parish, Goma Division under SFG Funding. The completed/filled certification form was dated 14th/July/2023 and was signed by Municipal Environmental Officer - Masengere George and was also signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented Contractor Payment Certificate that was duly signed by Senior Environmental Officer - Masengere George and Principal Community Development Officer - Namukose Minisa Kirya. The Contractor Payment Certificate presented was for the following project: Renovation of 7 Classroom Block at Ngandu P/S in Ngandu Village, Gulu Parish, Central Division under SFG Funding. Contractor: SESAMI Engineering Company Limited. Certification Date: 24th/February/2023. Amount Paid: 130,250,600 UGX.

- Mukono MLG Department of Environment, Gender and Community Based Services presented Contractor Payment Certificate that was duly signed by Senior Environmental Officer - Masengere George and Principal Community Development Officer - Namukose Minisa Kirya. The Contractor Payment Certificate presented was for the following project: Construction of 8 Stance Waterborne Toilet, Reroofing and Painting of 4 Classroom Block and 1 Classroom Block with Main Hall at Seeta Umea P/S in Seeta Village, Seeta Parish, Goma Division under SFG Funding. Contractor: Link Investments Limited. Certification Date: 14th/June/2023. Amount Paid: 151,678,182 UGX.

- Mukono MLG Department of Environment, Gender and Community Based Services presented Contractor Payment Certificate that was duly signed by Senior Environmental Officer - Masengere George and Principal Community Development Officer - Namukose Minisa Kirya. The Contractor Payment Certificate presented was for the following project: Construction of 2 Classroom Block at Kiwango Umea P/S in Kiwango Village, Bukerere Parish, Goma Division under SFG Funding. Contractor: MWENU Construction Company Limited. Certification Date: 14th/June/2023. Amount Paid: 92,867,774 UGX.

**Health
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score												
Local Government Service Delivery Results																
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>The LG registered increased utilization of health care services of 21% (from 1,118 deliveries in FY 2021/2022 to 1,351 in FY 2022/2023), which is above the required 20 percent. Changes in deliveries at the sampled facilities are as follows:</p> <p>Deliveries at Goma HCIII increased from 1,074 to 1,195 as shown in Health Unit Annual Reports (HMIS 107) for FY 2021/2022 and FY 2022/2023 respectively;</p> <p>Deliveries at IMC HCII increased from 44 to 90 as shown in Health Unit Annual Reports (HMIS 107) for FY 2021/2022 and FY 2022/2023 respectively; and</p> <p>Deliveries at Kyungu HCIII increased from 0 to 66 as shown in Health Unit Annual Reports (HMIS 107) for FY 2021/2022 and FY 2022/2023 respectively.</p>	2												
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> • 70% and above, score 2 • 50% - 69%, score 1 • Below 50%, score 0 	<p>The average score for the LLGs was 100% in the health sector for Goma Division and Central Division.</p> <table border="1"> <thead> <tr> <th>Year</th> <th>2021/22</th> <th>2022/23</th> </tr> </thead> <tbody> <tr> <td>Goma</td> <td>100</td> <td>100</td> </tr> <tr> <td>Central</td> <td>100</td> <td>100</td> </tr> <tr> <td>Average</td> <td>100</td> <td>100</td> </tr> </tbody> </table>	Year	2021/22	2022/23	Goma	100	100	Central	100	100	Average	100	100	2
Year	2021/22	2022/23														
Goma	100	100														
Central	100	100														
Average	100	100														
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> • 75% and above; score 2 • 65 - 74%; score 1 • Below 65; score 0 	<p>This indicator is not applicable</p>	0												

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p>	<p>There was evidence that the LG budgeted and spent all the health development grant Ugx 1,317,089,000 for the year 2022/23 on eligible activities as per the health grant and budget guidelines, that is on repairs and upgrades of Goma HC II to III, Nantabulirwa, Kyungo and Nyanja.</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0</p>	<p>There was no evidence that the LG Engineer, Environment Officer and CDO certified work on all health projects before the LG made payments to the contractors:</p> <ol style="list-style-type: none"> 1. Works by Kemusa Ltd, retention on the construction of staff houses at Nantabulirwa HCII worth Ugx 9,919,315 submitted on 14/6/2024 were the only ones certified by the MMOH, LG Engineer, Environment Officer and CDO on 14/6/2023 before payment on 27/6/2023; 2. Works by Erasco Ltd, retention on the construction of staff houses at Goma HCIII worth Ugx 9,980,221 submitted on 25/5/2024 were not certified by the MMOH, LG Engineer, Environment Officer and CDO before payment on 27/6/2023; and 3. Works by Prime Ltd, for upgrade of Nyanja HC II to worth Ugx 264,231,613 submitted on 19/4/2023 were not certified by the MMOH, LG Engineer, Environment Officer and CDO before payment on 26/5/2023. 	0

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0</p>	<p>There was evidence that the contract price for sampled infrastructure investments for the previous FY were within +/-20% of the LG Engineers estimate: Sampled project was:</p> <p>1. Construction of a surgical Theatre at Goma. (UGIFT funded)</p> <p>Procurement no: MMC772/works/22-23/00006</p> <p>Engineer's estimate:UGX. 204,004,418</p> <p>Contract Sum: Ugx. 199,604,418</p> <p>Percentage variation: 2.2%</p> <p>2. Upgrade of Nyanja HC II to III. (UGIFT funded)</p> <p>Procurement no: MMC772/works/22-23/0001-14</p> <p>Engineer's estimate:UGX.920,000,000</p> <p>Contract Sum: Ugx. 880,772,042</p> <p>Percentage variation: 4.3%</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY</p> <ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 	<p>There was no evidence that the health sector investment projects implemented in the previous FY were completed signed the project was still under construction at the time assessment. the contracted was signed 15th/4/2023 and it was a 8 months contract. The project was at wall palte at the time of assessment.</p>	0
4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	<p>The Health structure for the Goma HC IIIs was 19 staff and 19 were filled representing 100%.</p>	2

4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> • If 100 % score 2 or else score 0 	<p>There was evidence that the LG health infrastructure construction projects met the approved MoH Facility Infrastructure Designs. Sampled project was the Nyanja Upgrade health centre II to III, where the walls were 250mm Thick with finishes, foundation depth was 1.5m deep, waiting circulation area was 7m x 9.1m, with three waiting cast in-situ sits of 2.4m x 0.45m. Pompey windows were 2m x 1.5m (2no) and (0,9 x 1.2m), the exit door at the postnatal ward measured 1.5m x 2.1m with No. windows measuring 1.5m x 1.2m. Generally the project was still under construction at the time of assessment.</p>	2
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Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>The health workers were in the health facilities where they were deployed. The information on positions of health workers filled was accurate. There were no discrepancies between positions on staff deployment lists from the MHO and those in the staff registers at all the 3 sampled health facilities as reflected below:</p> <ol style="list-style-type: none"> 1. At Goma HCIII, all the 29 staff in the attendance register for FY 2023/2024 were reflected on the deployment list for FY 2023/2024 from the MHO; 2. At Kyungu HCII, all the 19 staff in the attendance register for FY 2023/2024 were reflected on the deployment list for FY 2023/2024 from the MHO; and 3. At Nantabulirwa HCII, all the 14 staff in the attendance register for FY 2023/2024 were reflected on the deployment list for FY 2023/2024 from the MHO. <p>The staff names and positions are as follows:</p> <p>Goma HCIII</p> <ol style="list-style-type: none"> 1. Dr. Sserunjogi David, Medical Officer 2. Kalamula Richard, Senior Clinical Officer 3. Okurut Paul, Clinical Officer 4. Namubiru Bitijuma, Health Inspector 5. Nakawala Topister, Enrolled Nurse 6. Mugeru Michael Johnson, Enrolled Nurse 7. Kiogo Oscar, Enrolled Nurse 8. Nakiberu Edith, Enrolled Nurse 9. Nambaziira Harriet, Enrolled Nurse 10. Nakitende Doroth, Nursing Assistant 11. Namaganda Salama, Nursing Assistant 12. Musoke Huudu, Nursing Assistant 13. Mukyala Harriet, Assistant Nursing Officer 	2
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- 14.Nakkito Harriet Florence, Assistant Nursing Officer
- 15.Tenywa Noeline, Enrolled Midwife
- 16.Nalugya Mercy, Enrolled Midwife
- 17.Nantale Resty, Enrolled Midwife
- 18.Mwenze Mawreen, Enrolled Midwife
- 19.Namugwanya Esther, Enrolled Midwife
- 20.Namuganga Juliet, Enrolled Midwife
- 21.Wavamwino Winnie, Enrolled Midwife
- 22.Kigongo Nicholas, Laboratory Technician
- 23.Kayise Isaac, Laboratory Assistant
- 24.Makumbi Fatuma, Medical Records Assistant
- 25.Namatovu Joanitah, Medical Records Assistant
- 26.Adungo Joseph, Askari
- 27.Adrole Stephen, Askari
- 28.Adwenyu Tom, Porter
- 29.Mubi Mark, Porter

Kyungu HCII

- 1.Nabuka Samson Namakola, Senior Clinical Officer
- 2.Niyonshima Alex, Clinical Officer
- 3.Zalwango Jessica Florence, Assistant Nursing Officer
- 4.Ayuo Lucy, Enrolled Midwife
- 5.Nakayemba Juliet, Enrolled Nurse
- 6.Opega Francis, Enrolled Nurse
- 7.Atuheirwe Gilma, Enrolled Nurse
- 8.Namubiru Joyce, Nursing Assistant
- 9.Nantume Annet, Nursing Assistant
- 10.Kaweesa Israel, Laboratory Assistant
- 11.Nakayinga Susan, Medical Records Assistant
- 12.Kaggwa Patrick, Medical Records Assistant
- 13.Bukirwa Lillian
- 14.Nalunkuuma Margaret, Porter
- 15.Naiga Sarah, Porter
- 16.Adongo Susan, Askari
- 17.Nantatya David, Askari

18. Ocen Stephen, Askari
19. Mulindwa Livingstone, Health Assistant

Nantabulirwa HCII

1. Nakandi Rita, Assistant Nursing Officer
2. Namutebi Norah, Enrolled Nurse
3. Nalubanga Cissy, Enrolled Nurse
4. Aliyinza Irene, Enrolled Nurse
5. Namugenyi Joannina, Enrolled Midwife
6. Nannozi, Shakirah, Enrolled Midwife
7. Naggirinya Elizabeth, Enrolled Midwife
8. Nassiwa Gorret, Medical Records Assistant
9. Mbambu Johnson, Medical Records Assistant
10. Olowa Ambrose, Askari
11. Bbaale William, Askari
12. Mazinga Dick Katumba, Porter
13. Namugere Sarah, Porter
14. Namusoke Joyce, Porter

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>The information on health facilities upgraded or constructed and functional is accurate. There was: construction of staff house at Nantabulirwa HCII, construction of operating theatre at Goma HCIII and construction of maternity ward at Nyanja HCII as evidenced on the list of upgraded facilities for FY 2022/2023, page 23.</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The health facilities prepared and submitted Annual Work plans & budgets to the DHO by March 31st of the previous FY 2022/2023 as per the LG Planning Guidelines for Health Sector as follows:</p> <ol style="list-style-type: none"> 1. Nantabulirwa HCII submitted on 14th March 2022; 2. Kyungu HCIII submitted on 30th March 2022; and 3. Goma HCIII submitted on 31st March 2022. <p>The budgets of these sampled health facilities conformed to the prescribed formats in the planning guidelines since they had highlights of performance, annual expenditure and had been endorsed by the In-charges.</p>	2

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The health facilities prepared and submitted to the DHO Annual Budget Performance Reports for the previous FY 2022/2023 by July 15th of the current FY 2023/2024 as per the Budget and Grant Guidelines. The submission dates for each of the 3 sampled health facilities are shown below:</p> <ol style="list-style-type: none"> 1. Goma HCIII submitted on 30th June 2023; 2. Kyungu HCIII submitted on 13th July 2023; and 3. Nantabulirwa HCII submitted on 30th June 2023 	2
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The health facilities developed and reported on implementation of facility Performance Improvement Plans (PIPs) that incorporated performance issues identified in monitoring and assessment reports. All the 3 facility PIPs for the sampled health facilities including; Goma HCIII, Kyungu HCIII and Nantabulirwa HCII had issues identified in the DHMT support supervision reports as shown below:</p> <ol style="list-style-type: none"> 1. Goma HCIII PIP dated 31st March 2023 on page 12 catered for procurement of medicines and health supplies. A challenge of stock outs of medicines and health supplies had been identified during MHMT support supervision for Goma HCIII held on 11th October 2022 as shown in quarter 2 supervision report titled “Lower Health Centre Support Supervision Report for Second Quarter of Financial Year 2022/2023” dated 11th October 2022; 2. Kyungu HCIII PIP dated 30th March 2023 on page 6 catered for procurement of stationery and secretarial services like printing and photocopying. The issue of lack of stationery and secretarial services at Kyungu HCIII had been identified during quarter 2 MHMT support supervision for Kyungu held on 10th October 2022 as evidenced in a report titled “Lower Health Centre Support Supervision Report for Second Quarter of Financial Year 2022/2023” dated 11th October 2022; and 3. Nantabulirwa HCII PIP dated 14th March 2023 on catered for ensuring availability of Postnatal services at Nantabulirwa HCII, specifically family planning services. A recommendation of provision of postnatal services at Nantabulirwa HCII had been noted during DHMT support supervision held on 11th November 2022 as shown in a supervision report titled “Activity Report for monitoring of service delivery” dated 14th November 2022. 	2
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Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,

- score 2 or else score 0

The health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter). The LG has only 2 HCIIIs and 2 HCII, therefore one of the health centres sampled is a HCII. Also the 2nd HCIII was upgraded in the 2nd quarter of FY2022/2023, therefore, submission for only quarter 2 and quarter 3 reports was made. The 3 sampled health facilities submitted the reports as follows:

1. Goma HCIII's latest monthly reports were submitted on the 7th day following the end of month and the latest quarterly reports were submitted on the 7th day following the end of month. The monthly submission dates are as follows: 5th August 2022, 5th September 2022, 7th October 2022, 7th November 2022, 7th December 2022, 6th January 2023, 7th February 2023, 7th March 2023, 5th April 2023, 5th May 2023, 7th June 2023 and 6th July 2023. The quarterly submission dates are as follows: 7th October 2022, 6th January 2023, 7th April 2023 and 7th July 2023;

2. Kyungu HCIII's latest monthly reports were submitted on the 7th day following the end of month and the latest quarterly report was submitted on the 6th day following the end of month. The monthly submission dates are as follows: 4th August 2022, 5th September 2022, 7th October 2022, 7th November 2022, 5th December 2022, 5th January 2023, 6th February 2023, 7th March 2023, 6th April 2023, 5th May 2023, 7th June 2023 and 6th July 2023. The quarterly submission dates are as follows: 6th April 2023 and 6th July 2023; and

3. Nantabulirwa HCII's latest monthly reports were submitted on the 7th day following the end of month, however, there was no submission of quarterly (106a) reports since it is a HCII. The monthly submission dates are as follows: 5th August 2022, 6th September 2022, 5th October 2022, 7th November 2022, 6th December 2022, 6th January 2023, 3rd February 2023, 7th March 2023, 6th April 2023, 4th May 2023, 7th June 2023 and 7th July 2023.

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0</p> <p>Note: Municipalities submit to districts</p>	This indicator is not applicable.	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	This indicator is not applicable.	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	There was no evidence at the time of assessment that the LG health department submitted quarterly reports in time to the planner for consolidation.	0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>The LG had an approved Performance Improvement Plan (PIP) dated 24th June 2022 that catered for the weakest performing health.</p> <p>For example, the following weak facilities were catered for as follows:</p> <ol style="list-style-type: none"> 1. Nyanja HCII was catered for under structural infrastructure, where the area of improvement was to construct a maternity ward; 2. Goma HCIII was catered for under patient clinical care and follow up, where the area of improvement was to acquire laboratory equipment; and 3. Nantabulirwa HCII was catered for under structural infrastructure, where the area of improvement was to construct a staff house. 	1
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>The LG implemented performance improvement plan for the weakest performing facilities as highlighted below:</p> <p>The PIP dated 24th June 2023 catered for acquisition of more laboratory equipment for Goma HCIII. This was implemented as evidenced in a letter written by the Medical Superintendent for Mukono General Hospital to the DHO recommending transfer of a CBC machine from Mukono General Hospital to Goma HCIII. The letter is titled “Re-allocation of a CBC machine (Sysmex Poch – 1001 Serial No. G4017) to Goma Health Centre III” dated 1st August 2022;</p> <p>The PIP dated 24th June 2023 catered for construction of a maternity ward at Nyanja HCII. This was implemented as evidenced in a construction project file titled “MOH-UGIFT/WORKS/2022-2023/00001-LOT 14 Upgrading of Nyanja HCII to HCIII (Mukono Municipal Council)”;</p> <p>The PIP dated 24th June 2023 catered for construction of a staff house at Nantabulirwa HCII. This was implemented as evidenced in a construction project file titled “MMC772/Works/21-22 00007, Phased construction of 3 in 1 staff house with self-contained one bedroom, lounge toilet store at Nantabulirwa HCII MMC772/Works/21-22 00007”;</p>	1

Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).	a) Evidence that the LG has: i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0	The LG had budgeted for health workers as per the guidelines/in accordance with the staffing norms. The performance contract for the current FY 2023/2024, had UGX 2,130,208,000 budgeted for the health workers' salaries as indicated in the approved structure named "VOTE: 724 Mukono Municipal Council" on page 41. Both the staff list and registers reflected a total of 80 staff (out of 71 required) and that is what was budgeted for and this staffing level is equivalent to 123%, which is way above the required staffing norms of 75%.	2
	Maximum 9 points on this performance measure			
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).	a) Evidence that the LG has: ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0	The LG had deployed health workers as per guidelines. The staffing level was 123% (80 out of 71 staff in the approved structure). This is evidenced on the staff list titled "List of staff for Mukono municipality", dated 17th July 2023 and the staff registers. Both the staff list and registers reflected a total of 80 staff and that is what was budgeted for.	2
	Maximum 9 points on this performance measure			
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).	b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0	The health workers were in the health facilities where they were deployed. The information on positions of health workers filled was accurate. There were no discrepancies between positions on staff deployment lists from the MHO and those in the staff registers at all the 3 sampled health facilities as reflected below: 1. At Goma HCIII, all the 29 staff in the attendance register for FY 2023/2024 were reflected on the deployment list for FY 2023/2024 from the MHO; 2. At Kyungu HCIII, all the 19 staff in the attendance register for FY 2023/2024 were reflected on the deployment list for FY 2023/2024 from the DHO; and 3. At Nantabulirwa HCII, all the 14 staff in the attendance register for FY 2023/2024 were reflected on the deployment list for FY 2023/2024 from the MHO.	3
	Maximum 9 points on this performance measure		The staff names and positions are as follows: Goma HCIII 1. Dr. Sserunjogi David, Medical Officer 2. Kalamula Richard, Senior Clinical Officer 3. Okurut Paul, Clinical Officer 4. Namubiru Bitijuma, Health Inspector 5. Nakawala Topister, Enrolled Nurse	

- 6.Mugera Michael Johnson, Enrolled Nurse
- 7.Kiogo Oscar, Enrolled Nurse
- 8.Nakiberu Edith, Enrolled Nurse
- 9.Nambaziira Harriet, Enrolled Nurse
- 10.Nakitende Doroth, Nursing Assistant
- 11.Namaganda Salama, Nursing Assistant
- 12.Musoke Huudu, Nursing Assistant
- 13.Mukyala Harriet, Assistant Nursing Officer
- 14.Nakkito Harriet Florence, Assistant Nursing Officer
- 15.Tenywa Noeline, Enrolled Midwife
- 16.Nalugya Mercy, Enrolled Midwife
- 17.Nantale Resty, Enrolled Midwife
- 18.Mwenze Mawreen, Enrolled Midwife
- 19.Namugwanya Esther, Enrolled Midwife
- 20.Namuganga Juliet, Enrolled Midwife
- 21.Wavamwino Winnie, Enrolled Midwife
- 22.Kigongo Nicholas, Laboratory Technician
- 23.Kayise Isaac, Laboratory Assistant
- 24.Makumbi Fatuma, Medical Records Assistant
- 25.Namatovu Joanitah, Medical Records Assistant
- 26.Adungo Joseph, Askari
- 27.Adrole Stephen, Askari
- 28.Adwenyu Tom, Porter
- 29.Mubi Mark, Porter

Kyungu HCII

- 1.Nabuka Samson Namakola, Senior Clinical Officer
- 2.Niyonshima Alex, Clinical Officer
- 3.Zalwango Jessica Florence, Assistant Nursing Officer
- 4.Ayuo Lucy, Enrolled Midwife
- 5.Nakayemba Juliet, Enrolled Nurse
- 6.Opega Francis, Enrolled Nurse
- 7.Atuheirwe Gilma, Enrolled Nurse
- 8.Namubiru Joyce, Nursing Assistant
- 9.Nantume Annet, Nursing Assistant
- 10.Kaweesa Israel, Laboratory Assistant

- 11.Nakayinga Susan, Medical Records Assistant
- 12.Kaggwa Patrick, Medical Records Assistant
- 13.Bukirwa Lillian
- 14.Nalunkuuma Margaret, Porter
- 15.Naiga Sarah, Porter
- 16.Adongo Susan, Askari
- 17.Nantatya David, Askari
- 18.Ocen Stephen, Askari
- 19.Mulindwa Livingstone, Health Assistant

Nantabulirwa HCII

- 1.Nakandi Rita, Assistant Nursing Officer
- 2.Namutebi Norah, Enrolled Nurse
- 3.Nalubanga Cissy, Enrolled Nurse
- 4.Aliyinza Irene, Enrolled Nurse
- 5.Namugenyi Joannina, Enrolled Midwife
- 6.Nannozi, Shakirah, Enrolled Midwife
- 7.Naggirinya Elizabeth, Enrolled Midwife
- 8.Nassiwa Gorret, Medical Records Assistant
- 9.Mbambu Johnson, Medical Records Assistant
- 10.Olowa Ambrose, Askari
- 11.Bbaale William, Askari
- 12.Mazinga Dick Katumba, Porter
- 13.Namugere Sarah, Porter
- 14.Namusoke Joyce, Porter

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0

The LG publicized health workers' deployment by posting deployment lists for FY 2023/2024 on facility notice boards. All the 3 sampled health facilities had deployment lists displayed on notice boards as shown below:

1. At Goma HCIII a deployment list of 29 staff dated 17th July 2023 for FY 2023/2024 was displayed on the patient waiting area notice board;
2. At Kyungu HCIII a deployment list of 19 staff for FY 2023/2024 dated 26th July 2023 was displayed on the patient waiting area notice board; and
3. At Nantabulirwa HCII a deployment list of 14 staff for FY 2023/2024 dated 26th July 2023, was displayed on the patient waiting area notice board.

The staff names and positions are as follows:

2

Goma HCIII

1. Dr. Sserunjogi David, Medical Officer
2. Kalamula Richard, Senior Clinical Officer
3. Okurut Paul, Clinical Officer
4. Namubiru Bitijuma, Health Inspector
5. Nakawala Topister, Enrolled Nurse
6. Mugeru Michael Johnson, Enrolled Nurse
7. Kiogo Oscar, Enrolled Nurse
8. Nakiberu Edith, Enrolled Nurse
9. Nambaziira Harriet, Enrolled Nurse
10. Nakitende Doroth, Nursing Assistant
11. Namaganda Salama, Nursing Assistant
12. Musoke Huudu, Nursing Assistant
13. Mukyala Harriet, Assistant Nursing Officer
14. Nakkito Harriet Florence, Assistant Nursing Officer
15. Tenywa Noeline, Enrolled Midwife
16. Nalugya Mercy, Enrolled Midwife
17. Nantale Resty, Enrolled Midwife
18. Mwenze Mawreen, Enrolled Midwife
19. Namugwanya Esther, Enrolled Midwife
20. Namuganga Juliet, Enrolled Midwife
21. Wavamwino Winnie, Enrolled Midwife
22. Kigongo Nicholas, Laboratory Technician
23. Kayise Isaac, Laboratory Assistant
24. Makumbi Fatuma, Medical Records Assistant
25. Namatovu Joanitah, Medical Records Assistant
26. Adungo Joseph, Askari
27. Adrole Stephen, Askari
28. Adwenyu Tom, Porter
29. Mubi Mark, Porter

Kyungu HCII

1. Nabuka Samson Namakola, Senior Clinical Officer
2. Niyonshima Alex, Clinical Officer
3. Zalwango Jessica Florence, Assistant Nursing Officer
4. Ayuo Lucy, Enrolled Midwife

- 5.Nakayemba Juliet, Enrolled Nurse
- 6.Opega Francis, Enrolled Nurse
- 7.Atuheirwe Gilma, Enrolled Nurse
- 8.Namubiru Joyce, Nursing Assistant
- 9.Nantume Annet, Nursing Assistant
- 10.Kaweesa Israel, Laboratory Assistant
- 11.Nakayinga Susan, Medical Records Assistant
- 12.Kaggwa Patrick, Medical Records Assistant
- 13.Bukirwa Lillian
- 14.Nalunkuuma Margaret, Porter
- 15.Naiga Sarah, Porter
- 16.Adongo Susan, Askari
- 17.Nantatya David, Askari
- 18.Ocen Stephen, Askari
- 19.Mulindwa Livingstone, Health Assistant

Nantabulirwa HCII

- 1.Nakandi Rita, Assistant Nursing Officer
- 2.Namutebi Norah, Enrolled Nurse
- 3.Nalubanga Cissy, Enrolled Nurse
- 4.Aliyinza Irene, Enrolled Nurse
- 5.Namugenyi Joannina, Enrolled Midwife
- 6.Nannozi, Shakirah, Enrolled Midwife
- 7.Naggirinya Elizabeth, Enrolled Midwife
- 8.Nassiwa Gorret, Medical Records Assistant
- 9.Mbambu Johnson, Medical Records Assistant
- 10.Olowa Ambrose, Askari
- 11.Bbaale William, Askari
- 12.Mazinga Dick Katumba, Porter
- 13.Namugere Sarah, Porter
- 14.Namusoke Joyce, Porter

8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	<p>a) Evidence that the DHO/MMOHs has:</p> <p>i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0</p>	<p>There was evidence at the time of assessment that Principal Medical Officer had conducted annual performance appraisal for 4 in-charges from 4 Health Centres against the agreed performance plans as reflected individual appraisal files as follows:</p>	0
	Maximum 6 points on this performance measure		<p>Two in-charges of Goma HC III and Natamburirwa HC II were appraised within the 30/06/2023 as prescribed as per MoPS guidelines.</p>	
			<p>The other two in Charges of Kyungu and Nyajja HC IIs were appraised on 11/7/2023 and 10/7/2023 respectively beyond the 30/06/2023 as prescribed in the MoPS guidelines. .</p>	
8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	<p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p>	<p>There was evidence at the time of assessment that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO to HRO during the previous FY 2022/23 as reflected in the individual appraisal files.</p>	0
	Maximum 6 points on this performance measure		<p>The 10 sampled health facility workers were appraised as follows:</p>	
			<p>Five (5) health workers; Enrolled Nurse Nakiberu Edithl), Medical Records Assistant(Makumbi Fatuma and Enrolled Midwife (Tenywa Noeline) Goma H/C III, Askari (Bbaale William) and Enrolled Nurse (Johnson Mbambu)-Nantaburirwa H/C II, were praised on 30/6/2023.</p>	
			<p>The other five (5) health workers; Nursing Assistant (Namayanja Recheal) , Askari (Bullo yidi) on , Enrolled Comprehensive Nurses (Nakirijja Caroline) and Porter (Nabirye Harriet)-Nyanja H/C III, Enrolled Nurse (Nambazira Khamuyati) Goma H/C III were all appraised on 6/7/2023 beyond the prescribed date of 30/5/2023 in the MoPS guidelines.</p>	
8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>Corrective actions based on the appraisal reports were not undertaken by the PHO as there were no cases of misconduct among the staff raised by the appraisers</p>	2
	Maximum 6 points on this performance measure			

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p>	<p>The LG had a Continuous Professional Development (CPD) work plan for FY 2022/2023 on file. It is titled, "Training /CPD Work Plan for Mukono Municipality FY 2022/2023" dated 11th July 2022 and trainings were conducted in accordance to this training work plan. For instance:</p> <ol style="list-style-type: none"> 1. Infection Control Management for health workers and HUMCs for Government and PNFP health facilities in Mukono Municipality conducted in May 2023 which was attended by 43 participants; 2. Refresher training of health facility Incharges on health care leadership and management held in December 2022 which was attended by 6 participants; 3. Training of private health facility workers in Goma on health care waste management held on 12th to 16th June 2023 which was attended by 103 participants; and 4. Training of VHTs in Goma division on implementation programme for improved community health services held on 1st to 31st June 2023 which was attended by 55 participants. 	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>There were documented training activities in the training/CPD database named "Training / CPD Database for Mukono Municipality for the trainings conducted in FY 2022/2023" dated 26th June 2022 which showed: the trainings which were conducted, the attendees, the trainers, the date when the trainings were conducted and the duration for the trainings. For example:</p> <ol style="list-style-type: none"> 1. Infection Control Management for health workers and HUMCs for Government and PNFP health facilities in Mukono Municipality conducted in May 2023 which was attended by 43 participants; 2. Refresher training of health facility Incharges on health care leadership and management held in December 2022 which was attended by 6 participants; 3. Training of private health facility workers in Goma on health care waste management held on 12th to 16th June 2023 which was attended by 103 participants; and 4. Training of VHTs in Goma division on implementation programme for improved community health services held on 1st to 31st June 2023 which was attended by 55 participants. 	1

Management, Monitoring and Supervision of Services.

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>There was no evidence that the Town Clerk confirmed the list of Health facilities (GoU and PNFP) that received PHC NWR grants and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY 2021/2022. A letter to this effect had been written on 24th October 2022 but there was no evidence of submission to MOH at the time of assessment.</p>	0
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>The LG made allocations of Ugx 19m (8.6%) out of the Ugx220,403,000 PHC NWR Grant for LLHF (page 12 of the LG Approved Budget) towards monitoring service delivery and management of District health services which was lower than the required 15%.</p>	0
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>	<p>The LG did not warrant to all PHC NWR Grant transfers for the FY 2022/23 to health facilities within the required 5 working days from the day of funds release:</p> <p>Quarter 1 warrant was on 10/8/2022, receipt of expenditure limits date was 8/7/2022; 32 days</p> <p>Quarter 2 warrant was on 19/10/2022, receipt of expenditure limits date was 30/9/2022; 19 days</p> <p>Quarter 3 warrant was on 23/1/ 2023, receipt of expenditure limits date was 29/12/2022; 23 days and</p> <p>Quarter 4 warrant was on 26/4/2023, receipt of expenditure limits date was 6/4/2023;20 days.</p>	0

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0</p>	<p>The LG did not invoice to all PHC NWR Grant transfers for the FY 2022/22 to health facilities within the required 5 working days from the day of funds release:</p> <p>Quarter 1 invoicing was on 23/8/2022, release date was 8/7/2022; 38 days</p> <p>Quarter 2 invoicing was on 20/10/2022, release date was 30/9/2022; 29 days</p> <p>Quarter 3 invoicing was on 6/2/ 2023, release date was 29/12/2022; 21 days and</p> <p>Quarter 4 invoicing was on 17/5/2023, release date was 6/4/2023;38 days.</p>	0
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9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>The LG publicized all the quarterly functional releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED as indicated below:</p> <p>In quarter 1 expenditure limits were released on 8th July 2022 and facilities were informed on 12th July 2022 (within 5 working days);</p> <p>In quarter 2 expenditure limits were released on 30th September 2022 and facilities were informed on 6th October 2022 (within 5 working days);</p> <p>In quarter 3 expenditure limits were released on 29th December 2023 and facilities were informed on 4th January 2023 (within 5 working days); and</p> <p>In quarter 4 expenditure limits were released on 6th April 2023 and facilities were informed on 11th April 2023 (within 5 working days).</p>	1
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Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0

The LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY as follows:

During quarter 1 MHMT performance review meeting held on 20th July 2022, one of the action points was to conduct community sanitation mobilization and sensitization. Follow up on this recommendation is evidenced in an activity report titled "Communities Triggered/Sanitation Follow Up Activities Report for Mukono Municipality" dated 15th September 2022;

In a quarter 2 MHMT meeting held on 7th November 2022, one of the action points was to conduct hygiene promotion activities. Follow up on this recommendation is evidenced in an activity report titled "Sanitation and Hygiene Promotion Activity Report - MMC" dated 29th December 2022;

During quarter 3 MHMT performance review meeting held on 10th January 2023, one of the action points was to conduct sensitization on waste management services in the community. Follow up on this action point is evidenced in an activity report titled "Review report on waste management services in Mukono Municipality" dated 17th January 2023; and

During quarter 4 MHMT performance review meeting held on 14th April 2023, one of the action points was to conduct sensitization on sanitation and hygiene in schools. Follow up on this action is evidenced in an activity report titled "Sensitization on Sanitation and Hygiene, Prevention of Sexual Exploitation and Abuse (PSEA) in schools" dated 5th May 2023.

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>The LG quarterly performance review meetings involved all the health facility In-Charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department. For example:</p> <ol style="list-style-type: none"> 1. Quarter 1 performance review meeting held on 20th July 2022 was attended by all the 4 health facility in-charges as evidenced by the attendance list attached to a report titled “Minutes for quarterly performance review meeting” dated 20th July 2022 which shows that all the In-charges attended the meeting; 2. Quarter 2 performance review meeting held on 7th November 2022 was attended by all the 4 health facility in-charges as evidenced by the attendance list attached to a report titled “minutes for quarterly performance review meeting” dated 7th November 2022 which shows that all the In-charges attended the meeting; 3. Quarter 3 performance review meeting held on 10th January 2023 was attended by all the 4 health facility in-charges as evidenced by the attendance list attached to a report titled “minutes for quarterly performance review meeting” dated 10th January 2023 which shows that all the In-charges attended the meeting; 4. Quarter 4 performance review meeting held on 14th April 2023 was attended by all the 4 health facility in-charges as evidenced by the attendance list attached to a report titled “minutes for quarterly performance review meeting” dated 14th April 2023 which shows that all the In-charges attended the meeting. 	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>The LG did not have any HCIVs and general hospitals which received PHC grant in the FY 2022/2023. Therefore, the LG did not have any HCIVs and General Hospitals to supervise.</p>	1

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <ul style="list-style-type: none"> • If not applicable, provide the score 	<p>The LG did not have any Health Sub Districts (HSDs) to carry out support supervision of lower level health facilities within the previous FY.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>The LG used results / reports from discussion of the support supervision and monitoring visits to make recommendations for specific corrective actions. For instance,</p> <ol style="list-style-type: none"> 1. In Nantabulirwa HCII supervision report for quarter 1 dated 28th July 2022, it was noted that the facility did not have a staff house, therefore, it was recommended that the staff house be constructed. This was implemented as evidenced in a construction project file titled “MMC772/Works/21-22 00007, Phased construction of 3 in 1 staff house with self-contained one bedroom, lounge toilet store at Nantabulirwa HCII MMC772/Works/21-22 00007”; 2. In Nyanja HCII supervision report for quarter 1 dated 28th July 2022, it was noted that there was lack of a maternity ward. This was implemented as evidenced in a construction project file titled “MOH-UGIFT/WORKS/2022-2023/00001-LOT 14 Upgrading of Nyanja HCII to HCIII (Mukono Municipal Council); and 3. In Goma HCIII supervision report for quarter 1 dated 28th July 2022, it was noted that there was inadequate patient clinical care and follow up and it was recommended that more laboratory equipment be acquired for Goma HCIII. This was implemented as evidenced in a letter written by the Medical Superintendent for Mukono General Hospital to the DHO recommending transfer of a CBC machine from Mukono General Hospital to Goma HCIII. The letter is titled “Re-allocation of a CBC machine (Sysmex Poch – 1001 Serial No. G4017) to Goma Health Centre III” dated 1st August 2022. 	1

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>The LG provided support to all health facilities in the management of medicines and health supplies in the FY 2022/2023 as highlighted below:</p> <p>On 11th to 14th April 2023, all the 4 health facilities, namely: Goma HCIII, Kyungu HCIII, Nantabulirwa HCII and Nyanja HCII were supervised in the management of medicines and health supplies as evidenced in the medicines support supervision report dated 17th April 2023.</p>	1
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p>	<p>The LG allocated Ugx 9m (47%) out of the Ugx 19m LG Health Office budget to health promotion and prevention activities, which was more than the required minimum of 30%.</p>	2

Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities

Maximum 4 points on this performance measure

b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0

The DHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the FY 2022/2023. For example:

1. In quarter 1, the MHT conducted community triggered sanitation follow up activities in Mukono municipality. This is evidenced in a report titled "Communities Triggered/Sanitation Follow Up Activities Report for Mukono Municipality" dated 15th September 2022;

2. In quarter 2, the MHT conducted Sanitation and Hygiene Promotion activity. This is evidenced in a report titled "Sanitation and Hygiene Promotion Activity Report - MMC" dated 29th December 2022; and

3. In quarter 3, the MHT conducted social mobilization and awareness creation on waste management services in Mukono municipality. This is evidenced in a report titled "Review report on waste management services in Mukono Municipality" dated 17th January 2023.

4. In quarter 4, the MHT conducted sensitization on sanitation and hygiene, prevention of sexual exploitation and abuse (PSEA) in Schools as evidenced in a report titled "Sensitization on Sanitation and Hygiene, Prevention of Sexual Exploitation and Abuse (PSEA) in schools" dated 5th May 2023.

11

Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities

Maximum 4 points on this performance measure

c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0

The DHT followed up actions on health promotion and disease prevention issues. For instance,

During quarter 1 MHMT performance review meeting held on 20th July 2022, one of the action points was to conduct community sanitation mobilization and sensitization. Follow up on this recommendation is evidenced in an activity report titled "Communities Triggered/Sanitation Follow Up Activities Report for Mukono Municipality" dated 15th September 2022;

In a quarter 2 MHMT meeting held on 7th November 2022, one of the action points was to conduct hygiene promotion activities. Follow up on this recommendation is evidenced in an activity report titled "Sanitation and Hygiene Promotion Activity Report - MMC" dated 29th December 2022;

During quarter 3 MHMT performance review meeting held on 10th January 2023, one of the action points was to conduct sensitization on waste management services in the community. Follow up on this action point is evidenced in an activity report titled "Review report on waste management services in Mukono Municipality" dated 17th January 2023; and

During quarter 4 MHMT performance review meeting held on 14th April 2023, one of the action points was to conduct sensitization on sanitation and hygiene in schools. Follow up on this action is evidenced in an activity report titled "Sensitization on Sanitation and Hygiene, Prevention of Sexual Exploitation and Abuse (PSEA) in schools" dated 5th May 2023.

Investment Management

12

Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.

Maximum 4 points on this performance measure

a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0

The LG had updated Asset registers which set out health facilities and equipment relative to basic standards. The registers were updated in July 2022 and contained asset category, cost, health facility, serial number, condition, among others. The assets for each health facility were as follows:

Goma HCIII had OPD, maternity ward, placenta pit, medical waste pit, drug store and 7 staff house units;

Kyungu HCIII had OPD, maternity ward, drug store, placenta pit and medical waste pit, and 3 staff houses;

Nantabulirwa had an OPD, drug store, medical waste pit and 5 staff houses; and

Nyanja HCII had OPD, drug store, medical waste pit and 3 staff houses.

1

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were:</p> <ul style="list-style-type: none"> (i) derived from the third LG Development Plan (LGDPIII); (ii) desk appraisal by the LG; and (iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG)); 	<p>The LG conducted desk appraisals, the investment derived from the LG Development Plan 2020/21-20245/25 (Page 138-148) and were eligible for funding under sector guidelines as indicated in the minutes dated 24/8/2022, page 1 for the projects implemented in the year 2022/23. The projects appraised included, renovations and upgrade of government health Centres, Goma,Nantabulirwa,Kyungu and Nyanja HCs at Ugx1,317,089,000/=</p>	1
	<p>Maximum 4 points on this performance measure</p>	<p>score 1 or else score 0</p>		
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for:</p> <ul style="list-style-type: none"> (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0 	<p>The LG conducted field appraisals, the investments were technically feasible, environmentally and socially acceptable and were customized for investment as indicated in reports dated 24/8/2022 page 1 for the projects implemented in the year 2022/23.The projects appraised included, renovations and upgrade of government health Centres, Goma,Nantabulirwa,Kyungu and Nyanja HCs at Ugx1,317,089,000/=</p>	1
	<p>Maximum 4 points on this performance measure</p>			

Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.

Maximum 4 points on this performance measure

d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0

There WAS Evidence that all health infrastructure projects for the previous FY (2022/2023 FY) were screened for environmental and social risks and mitigation measures put in place before being approved for construction and also complied with risk mitigation plans as exemplified by the following health sector projects that were implemented in the previous FY:

- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Phased Construction of Nyanja HC II Staff House and Maternity Ward in Nyanja Village, Bukerere Ward, Goma Division under UgIFT Funding, ESSF and Costed ESMP dated 3rd/March/2023, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Phased Construction of Nantabulilirwa HC II Staff House in Nantabulilirwa Village, Nantabulilirwa Ward, Goma Division under PHC Funding, ESSF and Costed ESMP dated 3rd/March/2023, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Construction of Goma HC III Theatre/Maternity in Misindye Village, Misindye Ward, Goma Division under PHC Funding, ESSF and Costed ESMP dated 6th/March/2023, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>The evidence provided to the assessor at the time of assessment indicated that the Health department did not timely submit its procurement requests to PDU by 30th April as required by the PPDA. Letter dated 5th/7/2022 signed by Dr.Konde Anthony includes the list of the projects submitted to PDU.</p> <p>Completion of the construction of a 3 in one staff house at Nantabulirwa, budgeted at 200,000,000/=</p> <p>Completion of the Theatre block at Goma HC (Phased) budgeted at 853,000,000/=</p> <p>Upgrading of Nyanja HC II to III, 920,000,000/=</p> <p>Procurement of assorted Medical equipment, budgeted at 13,700,000/=</p> <p>Fuel for the department, budgeted at 12,000,000/=</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>There was no evidence availed to the assessor at the time of assessment that the Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY as required by the PPDA guidelines.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>The contracts committee meeting dated 18th/8/2022 under minute no. MMCC.04.04.2022, the submitted procurement plan was approved by the contracts committee and the following projects were included into the procurement. Letters dated 20th/3/2023 approved the construction of upgrade of Nyanja HC II to III, under procuremnet reference number:MoH-UGIFT/Works/22-23/0000-14, signed by J.B.R Suuza</p> <p>1. Completion of the construction of a 3 in one staff house at Nantabulirwa, budgeted at 200,000,000/=</p> <p>2. Completion of the Theatre block at Goma HC (Phased) budgeted at 853,000,000/=</p> <p>3. Upgrading of Nyanja HC II to III, 920,000,000/=, this meeting was cahired by Mr. Mugerwa Henry and Mr. Buguma Aggrey as the secretary, Ms. Mirembe Jacqueline, Dr. Kiwanuka Noah members and Ms. Namukose Minisa K PCDO.</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence that the LG had properly established the Project Implementation team as specified in the sector guidelines. Below was the list established:</p> <p>Dr. Konde Anthony - PHO-Contracts Manager</p> <p>Mr. Masengere George - SEO</p> <p>Mr. Ssebaduka Harunah -ME</p> <p>Ms. Namukose Minisa Kirya -PCDO</p> <p>Ms. Kigundu Annet - Labour Officer</p> <p>Ms. Nabayego Violet - Clerk of works</p> <p>This was established on 12th/11/2022 to handle projects across education and health department.</p> <p>This was signed by Mr. Francis Byabagambi, TC MMC</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence that the LG health infrastructure construction projects met the approved MoH Facility Infrastructure Designs provided by the Municipal Engineer. Sampled project was the Nyanja Upgrade health centre II to III, where the walls were 250mm Thick with finishes, foundation depth was 1.5m deep, waiting circulation area was 7mx9.1m, with three waiting cast in-situ sits of 2.4mx0.45m. Pompey windows were 2mx1.5m(2no) and (0,9x1.2m), the exit door at the postnatal ward measured 1.5mx2.1m with No. windows measuring 1.5m x1.2m. Generally the project was still under construction at the time of assessment.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The evidence availed to the assessor at the time of assessment was not enough to show that weekly reports from the clerk of works were consolidated to the ME. The report dated 4th/10/2023, was the only availed report presented as a report from the clerk of works to the ME. This was signed by Mr. Seguya francis Clerk of workers.</p>	0

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence of site meetings dated 3rd/10/2023, 4th/7/2023,14th/7/2023 and 28th/06/2023, Chaired by Mr.Katongole Abdullah and Ms. Kigundu Annet, Labour officer as the secretary of the meeting, in attendance was Mr. Byabagambi Francis TC, PWD -Mr. Katongole Tadeo,Ms. Nakubilwa amina,Mr. Kasule Ayasi Chairperson, Dr. Konde Anthony, PHO and Ms.Namukose Minisa K PCDO, Nnambuya Florence and Grace Nakamya, MMC councilors.However, the project is still ongoing.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>From the visitors' books and site instruction books reviewed dated 21st/7/23, 23rd/8/23,28th/8/23,13th/11/2023, show that Mr. Byabagambi Francis, TC, Mr.Ssebaduka Harunah, Ms. Namukose Minisa PCDO, D/RDC, Mr. Mugerwa henry, Nnambuya Florence and Grace Nakamya, MMC councilors.However, the project is still ongoing.</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p>	<p>There was evidence that the MHO verified works and initiated payments of contractors within specified timeframe all within 2 weeks. The 3 sampled projects were:</p> <ol style="list-style-type: none"> 1. Works by Kemusa Ltd, retention on the construction of staff houses at Nantabulirwa HCII worth Ugx 9,919,315 submitted on 14/6/2024 were certified by the LG MHO on 14/6/2023 (1 day) and paid on 27/6/2023; 2. Works by Erasco Ltd, retention on the construction of staff houses at Goma HCIII worth Ugx 9,980,221 submitted on 25/5/2024 were certified by the LG MHO on 13/6/2023 (2 weeks) and paid on 27/6/2023; and 2. Works by Prime Ltd, for upgrade of Nyanja HC II to worth Ugx 264,231,613 submitted on 19/4/2023 were certified by the LG MHO on 19/6/2023 (1 day) and paid on 26/5/2023. 	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>There was evidence that the LG had a complete procurement file for each health infrastructure contract with all records as required by the PPDA. Construction of a surgical Theatre at Goma. (UGIFT funded)</p> <p>Procurement no: MMC772/works/22-23/00006</p> <p>Evaluation was done 3rd/11/2022 and the following companies were invited to bid.</p> <p>Erasco Company Ltd - Ugx.189,798,162</p> <p>Crean Construction and general supplies Ltd - Ugx.171,008,196</p> <p>Erasco Company Ltd was evaluated as the best bidder with a bid of UGX. 189,798,162 after the elimination of M/s Crean Construction and general supplies Ltd due to lack of audited books of account for the past two years, the committee meeting was chaired by Dr. Konde Anthony -PHO, Mr. Ssebaduka Harunah, ME and Mr. Buguma Aggrey as the secretary.</p> <p>CC meeting sat on 5th/5/2022 and approved the evaluation report under cc minute no. 04.02.02.05 and the contract was awarded to M/s Erasco Company Ltd signed on 24th/05/2023 between the Municipal Council TC, Mr. Godfrey B. Kiseka, Mr. Ssebaduka Harunah ME, M/s Erasco Company Ltd, CEO Mr. Kaweesi Stephen, Nassolo Faridah and Mr. Buguma Aggrey Head PDU.</p>	1

Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>There WAS Evidence that Mukono MLG Health sector grievances had been recorded, investigated, and responded to in line with the LG grievance redress framework as records of health sector related grievances/complaints (indicating nature of cases, dates of registration, and any follow up actions taken) were found in LG Complaints Log/Complaints Record Book.</p> <p>31st/October/2023 (Kanakulya Mike, casual laborer, Nyanja HC II, filed a complaint concerning non-payment of wages by the contractor to casual laborers that worked at Nyanja HC II in construction of the maternity and staff houses, a dialogue meeting was held with the community, contractor, GRC and casual laborers, case was resolved on 9th/November/2023); 2nd/March/2023 (Nazziwa Mary filed a complaint about poor waste disposal in Nassuti - Ntawo Ward; GRC involved Principal Health Inspector and the community in the matter, measures were proposed to curb the poor waste disposal, case was settled on 2nd/March/2023).</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>There was NO Evidence that Mukono MLG Health Department had disseminated guidelines on healthcare/medical waste management to health facilities in the LG that included guidelines on construction of medical waste facilities and had followed up implementation of the healthcare waste management guidelines by health centers. LG did not provide to the assessor any distribution lists for any healthcare/medical waste management guidelines with signed acknowledgements of receipt of the guidelines by health facilities in-charges. Additionally, LG did not provide to the assessor any site visit reports to prove that it had followed up implementation of any healthcare waste management guidelines by health centers.</p>	0
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>There was NO Evidence that Mukono MLG had a functional system/central infrastructures with equipment for medical waste management and had a dedicated/operational budget for healthcare waste management as LG did not provide to the assessor any contract or MoU with any registered medical waste management service provider.</p> <p>Also, LG did not provide to the assessor proof of central infrastructures with equipment for medical waste management. Additionally, LG did not provide to the assessor any dedicated/operational budget for healthcare waste management.</p>	0

15

Safeguards for service delivery: LG Health Department ensures safeguards for service delivery

Maximum 5 points on this performance measure

c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0

The LG had conducted training and created awareness on health waste management as reflected below:

1. Community Sensitization on Waste Management in Mukono Central Division as evidenced in a report titled "Community Sensitization Report on Waste Management" dated 22nd June 2023;
2. Community sensitization on waste management services in Mukono municipality as evidenced in a report titled "Community Sensitization on Waste Management Services in Mukono Municipality" dated 8th May 2023; and
3. Meeting for creation of awareness on food hygiene and safety for street / Market vendors held in Gongobe zone Seeta ward as evidenced in a report titled "Report about food hygiene and safety awareness creation meeting for street / market vendors held in Gongobe zone Seeta ward on 23rd June 2023" dated 23rd June 2023.

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0

There WAS Evidence that Mukono MLG had Costed ESMPs and Environment Social Health and Safety safeguards incorporated into designs, BoQs, bidding and contractual documents for health sector infrastructure projects of the previous FY (2022/2023 FY) as exemplified by the following health sector infrastructure projects that were implemented in the previous FY:

Maximum 8 points on this performance measure

- Mukono MLG Department of Environment, Gender and Community Based Services presented Costed ESMPs and ESHS safeguards incorporated into designs, BoQs, bidding and contractual documents for Phased Construction of Nyanja HC II Staff House and Maternity Ward in Nyanja Village, Bukerere Ward, Goma Division under UglIFT Funding. Main summary of BoQs had ESMP Implementation (5,000,000 UGX). Main body of BoQs had Occupational Health and Safety, HIV/AIDS and Gender; Compliance with NEMA Regulations and the Environment Impact Assessment Report Recommendations as specific activities that were to be undertaken as part of ESMP Implementation.

- Mukono MLG Department of Environment, Gender and Community Based Services presented Costed ESMPs and ESHS safeguards incorporated into designs, BoQs, bidding and contractual documents for Construction of Goma HC III Theatre/Maternity in Misindye Village, Misindye Ward, Goma Division under PHC Funding. Main summary of BoQs had ESMP Implementation (4,000,000 UGX). Main body of BoQs had Occupational Health and Safety, HIV/AIDS and Gender; Compliance with NEMA Regulations and the Environment Impact Assessment Report Recommendations as specific activities that were to be undertaken as part of ESMP Implementation. BoQs were signed and stamped by Contractor - Erasco Company Limited.

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0

There WAS Evidence that Mukono MLG had all health sector projects implemented on land where the LG had proof of ownership, access and availability, without any encumbrances on land acquisition status as exemplified by the following:

- Mukono MLG presented Letter dated 7th/April/2022 with Subject: Agreement to Offer Half (½) Acre Plot for Nyanja, written by Kabuye Abdallah of Nyanja, Bukerere, Goma Division, offering land to Mukono Municipal Council for construction of a health center and other works within the health sector. Five (5) people witnessed the land offer. Chairperson LC I Nyanja Cell - Kasule Ayasi signed and stamped on the land offer letter. This was the land for Phased Construction of Nyanja HC II Staff House and Maternity Ward in Nyanja Village, Bukerere Ward, Goma Division under UgIFT Funding.

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.

There WAS Evidence that Mukono MLG Environmental Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs and provided monthly reports as exemplified by the following health sector infrastructure projects that were implemented in the period under review:

- Mukono MLG Department of Environment, Gender and Community Based Services presented 'Inspection and Monitoring Report for Environment and Social Safeguards for Projects Implemented in the Financial Year 2022/23', dated 4th/July/2023; addressed to Town Clerk, with the following health sector projects: Health Department, Phased Completion of 10-Bed Maternity Ward at Goma HC III; Mukono Goma Division, Construction of Staff Quarters at Nantabulilirwa HC, Construction of Maternity Ward and Staff Quarters at Nyanja HC. The inspection and monitoring report for environment and social safeguards was signed by Senior Environmental Officer - Masengere George, signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented 'Inspection and Monitoring Report for Environment and Social Safeguards for Construction of Staff House at Nantabulilirwa HC II', dated 10th/March/2023, addressed to Town Clerk. The inspection and monitoring report for environment and social safeguards was signed by Labor Officer - Kiggundu Annet, signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented 'Inspection and Monitoring Report for Environment and Social Safeguards for Construction of Maternity and Staff House at Nyanja HC II', dated 10th/March/2023, addressed to Town Clerk. The inspection and monitoring report for environment and social safeguards was signed by Labor Officer - Kiggundu Annet, signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented 'Inspection and Monitoring Report for Environment and Social Safeguards for Construction of Maternity Ward at Goma HC III', dated 20th/March/2023, addressed to Town Clerk. The inspection and monitoring report for environment and social safeguards was signed by Labor Officer - Kiggundu Annet, signed by Principal Community Development Officer - Namukose Minisa Kirya.

- 16 Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments
- Maximum 8 points on this performance measure
- d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0
- There WAS Evidence that Mukono MLG Environmental and Social Certification Forms were completed and signed by the LG Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of all health sector infrastructure projects as exemplified by the following:
- Mukono MLG Department of Environment, Gender and Community Based Services presented 'Certificate for Implementation of Environment and Social Safeguards' for Phased Construction of 3-in-1 Staff House with Self Contained One-Bedroom Lounge, Toilet and Store at Nantabulirwa HC II in Goma Division. The completed/filled certification form was dated 15th/March/2023 and was signed by Municipal Environmental Officer - Masengere George and was also signed by Principal Community Development Officer - Namukose Minisa Kirya.
 - Mukono MLG Department of Environment, Gender and Community Based Services presented 'Certificate for Implementation of Environment and Social Safeguards' for Phased Construction of Surgical Theatre at Goma HC III in Goma Division. The completed/filled certification form was dated 24th/February/2023 and was signed by Municipal Environmental Officer - Masengere George and was also signed by Principal Community Development Officer - Wassajja Abubaker.
 - Mukono MLG Department of Environment, Gender and Community Based Services presented 'Certificate for Implementation of Environment and Social Safeguards' for Phased Construction of Maternity and Staff House at Nyanja HC II in Goma Division. The completed/filled certification form was dated 21st/June/2023 and was signed by Municipal Environmental Officer - Masengere George and was also signed by Principal Community Development Officer - Namukose Minisa Kirya.
 - Mukono MLG Department of Environment, Gender and Community Based Services presented Contractor Payment Certificate that was duly signed by Senior Environmental Officer - Masengere George and Principal Community Development Officer - Namukose Minisa Kirya. The Contractor Payment Certificate presented was for the following project: Phased Construction of Surgical Theatre at Goma HC III. Contractor: Erasco Company Limited. Certification Date: 9th/January/2023. Amount Paid: 98,313,588 UGX.
 - Mukono MLG Department of Environment, Gender and Community Based Services presented Contractor Payment Certificate that was duly signed by Senior Environmental Officer - Masengere George and Principal Community Development Officer - Namukose Minisa Kirya. The Contractor Payment

Certificate presented was for the following project: Phased Construction of 3-in-1 Staff House with Self Contained One-Bedroom Lounge, Toilet and Store at Nantabulirwa HC II in Goma Division. Contractor: Kemusa Construction Services and Supplies Limited. Certification Date: 13th/January/2023. Amount Paid: 80,244,484 UGX.

**Water &
Environment
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;</p> <ul style="list-style-type: none"> • Above 80%, score 2 • 60% - 80%, score 1 • Below 60%, score 0 	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.</p> <ul style="list-style-type: none"> o If 100 % of water projects are implemented in the targeted S/Cs: Score 2 o If 80-99%: Score 1 o If below 80 %: Score 0 	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0

2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates</p> <ul style="list-style-type: none"> o If within +/-20% score 2 o If not score 0 	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p>Maximum 8 points on this performance measure</p>	<p>d. % of WSS infrastructure projects completed as per annual work plan by end of FY.</p> <ul style="list-style-type: none"> o If 100% projects completed: score 2 o If 80-99% projects completed: score 1 o If projects completed are below 80%: 0 	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <ul style="list-style-type: none"> o If there is an increase: score 2 o If no increase: score 0. 	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <ul style="list-style-type: none"> o If increase is more than 1% score 2 o If increase is between 0-1%, score 1 o If there is no increase : score 0. 	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0

Performance Reporting and Performance Improvement

4	<p>Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0

Human Resource Management and Development

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0

Management, Monitoring and Supervision of Services.

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<ul style="list-style-type: none"> • a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district: • • • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3 • • If 80-99%: Score 2 • • If 60-79: Score 1 • • If below 60 %: Score 0 	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)</p> <ul style="list-style-type: none"> • If 95% and above of the WSS facilities monitored quarterly: score 4 • If 80-94% of the WSS facilities monitored quarterly: score 2 • If less than 80% of the WSS facilities monitored quarterly: Score 0 	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
<p><i>Maximum 6 points on this performance measure</i></p>				
<p><i>Maximum 6 points on this performance measure</i></p>				
<p><i>Maximum 8 points on this performance measure</i></p>				
<p><i>Maximum 8 points on this performance measure</i></p>				

9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
Investment Management				
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG: Score 4 or else 0</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDPIII) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p> <p>Score 4 or else score 0.</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0

12	Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements <i>Maximum 14 points on this performance measure</i>	e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2	The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.	0
12	Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements <i>Maximum 14 points on this performance measure</i>	f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts o If 100 % contracts paid on time: Score 2 o If not score 0	The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.	0
12	Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements <i>Maximum 14 points on this performance measure</i>	g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law: Score 2, If not score 0	The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.	0

Environment and Social Requirements

13	Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework <i>Maximum 3 points this performance measure</i>	Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework: Score 3, If not score 0	The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.	0
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14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 3, If not score 0</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:</p> <p>Score 2, If not score 0</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore, it is not assessed for Rural Water Projects.</p>	0

15

Safeguards in the
Delivery of Investments

*Maximum 10 points on
this performance
measure*

d. Evidence that the CDO and environment Officers
undertakes monitoring to ascertain compliance with
ESMPs; and provide monthly reports:

Score 2, If not score 0

The Municipal
Council is
being served
by National
Water and
Sewerage
Corporation
and therefore,
it is not
assessed for
Rural Water
Projects.

0

**Micro-scale
Irrigation
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>The indicator is not applicable since the area is under NWSC service coverage area.</p>	0
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>The indicator is not applicable since the area is under NWSC service coverage area.</p>	0
2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%, score 4 • 60% - 70%, score 2 • Below 60%, score 0 	<p>The indicator is not applicable since the area is under NWSC service coverage area.</p>	0
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0</p>	<p>The indicator is not applicable since the area is under NWSC service coverage area.</p>	0

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	The indicator is not applicable since the area is under NWSC service coverage area.	0
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6	Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0	The indicator is not applicable since the area is under NWSC service coverage area.	0
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY <ul style="list-style-type: none"> • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0 	The indicator is not applicable since the area is under NWSC service coverage area.	0
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	a) Evidence that the LG has recruited LLG extension workers as per staffing structure <ul style="list-style-type: none"> • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0 	The indicator is not applicable since the area is under NWSC service coverage area.	0
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF <ul style="list-style-type: none"> • If 100% score 2 or else score 0 	The indicator is not applicable since the area is under NWSC service coverage area.	0

4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	b) Evidence that the installed micro-scale irrigation systems during last FY are functional • If 100% are functional score 2 or else score 0	The indicator is not applicable since the area is under NWSC service coverage area.	0
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Performance Reporting and Performance Improvement

5	Accuracy of reported information: The LG has reported accurate information Maximum score 4	a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0	The indicator is not applicable since the area is under NWSC service coverage area.	0
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5	Accuracy of reported information: The LG has reported accurate information Maximum score 4	b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0	The indicator is not applicable since the area is under NWSC service coverage area.	0
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6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0	The indicator is not applicable since the area is under NWSC service coverage area.	0
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6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0	The indicator is not applicable since the area is under NWSC service coverage area.	0
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6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0	The indicator is not applicable since the area is under NWSC service coverage area.	0
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	The indicator is not applicable since the area is under NWSC service coverage area.	0
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	The indicator is not applicable since the area is under NWSC service coverage area.	0

Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	The indicator is not applicable since the area is under NWSC service coverage area.	0
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7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	ii Deployed extension workers as per guidelines score 1 or else 0	The indicator is not applicable since the area is under NWSC service coverage area.	0
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0	The indicator is not applicable since the area is under NWSC service coverage area.	0
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0	The indicator is not applicable since the area is under NWSC service coverage area.	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	a) Evidence that the District Production Coordinator has: i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0	The indicator is not applicable since the area is under NWSC service coverage area.	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	a) Evidence that the District Production Coordinator has; Taken corrective actions: Score 1 or else 0	The indicator is not applicable since the area is under NWSC service coverage area.	0

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	b) Evidence that: i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0	The indicator is not applicable since the area is under NWSC service coverage area.	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	ii Evidence that training activities were documented in the training database: Score 1 or else 0	The indicator is not applicable since the area is under NWSC service coverage area.	0

Management, Monitoring and Supervision of Services.

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10	a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0	The indicator is not applicable since the area is under NWSC service coverage area.	0
9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10	b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0	The indicator is not applicable since the area is under NWSC service coverage area.	0
9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10	c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0	The indicator is not applicable since the area is under NWSC service coverage area.	0

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>The indicator is not applicable since the area is under NWSC service coverage area.</p>	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>The indicator is not applicable since the area is under NWSC service coverage area.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 Less than 70% score 0 	<p>The indicator is not applicable since the area is under NWSC service coverage area.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>The indicator is not applicable since the area is under NWSC service coverage area.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0</p>	<p>The indicator is not applicable since the area is under NWSC service coverage area.</p>	0

10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	The indicator is not applicable since the area is under NWSC service coverage area.	0
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	The indicator is not applicable since the area is under NWSC service coverage area.	0
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	The indicator is not applicable since the area is under NWSC service coverage area.	0
Investment Management				
12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines Maximum score 8	a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0	Not applicable	0
12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines Maximum score 8	b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0	Not applicable	0

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	Not applicable	0
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	Not applicable	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	Not applicable	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	Not applicable	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0</p>	Not applicable	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0	Not applicable	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	Not applicable	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	f)Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	Not applicable	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0	Not applicable	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	h) Evidence that the LG has overseen the irrigation equipment supplier during: i. Testing the functionality of the installed equipment: Score 1 or else 0	Not applicable	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	Not applicable	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	Not applicable	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	Not applicable	0

Environment and Social Safeguards

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0	Not applicable	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: i). Recorded score 1 or else 0 ii). Investigated score 1 or else 0 iii). Responded to score 1 or else 0 iv). Reported on in line with LG grievance redress framework score 1 or else 0	Not applicable	0

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	Not applicable	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	Not applicable	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iv. Reported on in line with LG grievance redress framework score 1 or else 0	Not applicable	0

Environment and Social Requirements

15	Safeguards in the delivery of investments Maximum score 6	a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc. score 2 or else 0	Not applicable	0
15	Safeguards in the delivery of investments Maximum score 6	b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment. i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0	Not applicable	0

15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	Not applicable	0
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	Not applicable	0
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	Not applicable	0

**Crosscutting Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	There was evidence at the time of assessment that the Municipal Council (MC) had substantively recruited a Principal Finance Officer (Kibiribge Badru) appointed on 5/07/2021 under DSC minute No. MMC122/2021 as extracted from file No. MMC/034.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	There was no evidence that the MC recruited a substantive Senior Planner and no staff secondment.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	There was evidence that the MC had recruited a substantive Principal Engineer (Sebadduka Haruna) appointed on 5/07/2021 under DSC minute No. MMC.23/2021as extracted from file No. MMC/045	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	There was evidence that the MC had recruited a substantive Senior Environment Officer (Masengere George) appointed on 25/06/2021 under DSC minute No. MMC94/2018 as extracted from file No. MMC/043.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	There was evidence that the MC had recruited a substantive Senior Veterinary Officer (Dr Kiwanuka Noah) appointed on 25/06/2018 under MMSC minute No. MMC 96/2018 as extracted from file No MMC/103	3

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	There was evidence that the MC had recruited a substantive Principal CDO (Namulose Minisa) appointed on 28/06/2018 under DSC minute No. MMC147/2018 as extracted from file No. MMC/.052	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	There was evidence that the MC had recruited a substantive Principal Commercial Officer (Nkambwe Nelfred) appointed on 25/06/2010 under DSC minute No.MMC.95/2010 as extracted from file No. MMC/083	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	There was evidence that the MC had recruited a substantive Procurement Officer (Buguma Aggrey Kalubi) appointed on 5/04/2013 under DSC minute No.MMC.29/2013. as extracted from file No. MMC/097	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	There was no evidence that the MC recruited a substantive Municipal Assistant Procurement Officer) and no staff secondment.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	There was evidence that the MC recruited the Senior Human Resource Officer(Nabafu Toni Violet) appointed on 5/04/2023 under DSC minute No. MMC.022/2013 as extracted from file No. MMC/099.	2

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>j. A Senior Environment Officer, score 2 or else 0</p>	<p>There was evidence that the MC had recruited a substantive Senior Environment Officer (Masengere George) appointed on 25/06/2018 under DSC minute No. MMC.94/2018 as extracted from file No. MMC/043</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>k. Senior Land Management Officer /Physical Planner, score 2 or else 0</p>	<p>There was evidence at the time of assessment that the LG had recruited a Physical Planner (Murungi Hillary), appointed on 26/11/2014 under Minute No. MMC5/2014 as extracted from file No. MMC/98.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>l. A Senior Accountant, score 2 or else 0</p>	<p>There was evidence that at the time of assessment, the LG had substantively recruited a Senior Accountant (Sserwanga Emmanuel), appointed on 27/08/2021 under DSC Minute No. MMC. 139/2021 as extract from file No. MMC/035.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0</p>	<p>There was evidence at the time of assessment that the MC had recruited a substantive Senior Internal Auditor (Sentongo Herman), appointed on 05/4/2013 under DSC Minute No MMC.019/2013 as extracted from file No. MMC/100.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p>	<p>There was evidence that the Local Government (LG) had substantively recruited a Principal Human Resource Officer (Secretary DSC) (Kazibwe Stephen), appointed on 1/1/2019 under DSC Minute No. MDSC196/2018 as extracted from file No MKN/P.13022</p>	2

2	New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG	a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).	<p>There was evidence at the time of assessment that the LG had substantively recruited the Senior Assistant</p> <p>Town Clerks in the two (2) Division appointed as follows:</p> <ul style="list-style-type: none"> •Senior Assistant Town Clerks- Central Division (Lubulwa Charles Nickson) on 20/1/2021 under DSC Min No.MMC2/2020 as extracted from file No. MMC/092 •Senior Assistant Town Clerks- Goma Division (Semyalo Ismail) on 11/6/2011 under DSC Min No. MMCxx/2011 (j) (i) as extracted from file No. MMC/002 	5
2	New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG	b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.	<p>There was evidence on file that the Community Development Officers (CDOs) in the two (2) Divisions were substantively appointed as follows :</p> <ul style="list-style-type: none"> • CDO-Central Division (Kalibala Edith) on 29/07/2020 under DSC Min No .MMC.56/2020 as extracted from file No. MMC/031 • CDO-Goma Division (Kayaga Robinah) on 19/10/2018 under DSC Min No MMC.158/2018 as extracted from file No. MMC/015 	5
2	New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG	c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.	<p>There was evidence on file that a Senior Accounts Assistant in the two (2) Divisions were substantively appointed as follows :</p> <ul style="list-style-type: none"> • Senior Accounts Assistant - Central Division (Birimumaso Recheal), on 24/06/2011 under DSC Min No . MMC50/2011 (ii) as extracted from file No. MMC/088; • Senior Accounts Assistant -Goma Division (Mabwa Hope Rebecca) on 8/04/2006 under DSC Min No MMC.58/2005 A (5) as extracted from file No. MMC/036 	5

Environment and Social Requirements

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	<p>If the LG has released 100% of funds allocated in the previous FY to:</p> <p>a. Natural Resources department,</p> <p>score 2 or else 0</p>	<p>The LG released 100% of funds received from government in the year 2022/23 to Natural Resources Department. The LG received Ugx 447,592,421 and released Ugx 447,592,421 to Natural Resources Department (LG draft Financial statements for the year 2022/23 page 15).</p>	2
	Maximum score is 4			

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	The LG released 100% of funds allocated in the year 2022/23 to Community Based Services Department. The received Ugx 262,496,012 and released Ugx262,496,012 to the Community Based Services Department (LG draft Financial statements for the year 2022/23 page 15).	2
	Maximum score is 4	b. Community Based Services department.		
		score 2 or else 0.		
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0	There WAS Evidence that Mukono MLG carried out Environmental, Social and Climate Change Screening prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG) for the previous FY (2022-2023 FY) as exemplified by the following projects that were funded under DDEG in the previous FY and that also had completed Environmental and Social Screening Forms (ESSFs) and Costed ESMPs:	4
	Maximum score is 12		- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Multi-Year Phased Construction of Youth Centre in Ntawo Parish, Nakabago Village under DDEG Funding, ESSF and Costed ESMP dated 10th/March/2019, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.	
			- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Multi-Year Phased Construction of Office Block at Mukono Municipal Council Headquarters in Nsuube - Kauga Parish, Maternity Village under DDEG Funding, ESSF and Costed ESMP dated 10th/March/2020, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.	

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG), score 4 or 0

There WAS Evidence that Mukono MLG carried out Environmental, Social and Climate Change Screening prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG) for the previous FY (2022-2023 FY). The conclusions and recommendations of the Environmental, Social and Climate Change Screening did not require the LG to conduct Environmental and Social Impact Assessments (ESIAs) or any further environmental assessments as exemplified by the following projects that were funded under DDEG in the previous FY and that also had completed Environmental and Social Screening Forms (ESSFs) and Costed ESMPs:

- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Multi-Year Phased Construction of Youth Centre in Ntawo Parish, Nakabago Village under DDEG Funding, ESSF and Costed ESMP dated 10th/March/2019, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Multi-Year Phased Construction of Office Block at Mukono Municipal Council Headquarters in Nsuube - Kauga Parish, Maternity Village under DDEG Funding, ESSF and Costed ESMP dated 10th/March/2020, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;

score 4 or 0

There WAS Evidence that Mukono MLG had Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG) prior to commencement of all civil works for the previous FY (2022-2023 FY) as exemplified by the following projects that were funded under DDEG in the previous FY and that also had completed Environmental and Social Screening Forms (ESSFs) and Costed ESMPs:

- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Multi-Year Phased Construction of Youth Centre in Ntawo Parish, Nakabago Village under DDEG Funding, ESSF and Costed ESMP dated 10th/March/2019, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Multi-Year Phased Construction of Office Block at Mukono Municipal Council Headquarters in Nsuube - Kauga Parish, Maternity Village under DDEG Funding, ESSF and Costed ESMP dated 10th/March/2020, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.

Financial management and reporting

5

Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.

Maximum score is 10

If a LG has a clean audit opinion, score 10;

If a LG has a qualified audit opinion, score 5

If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0

Mukono Municipal Council LG had a clean audit opinion from the Auditor General for the Financial Year 2022/23.

10

6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p>	<p>The LG submitted status of implementation of Internal Auditor General and Auditor General audit issues for the year 2021/22 on 22 March 2023 to PS/ST, after the February 2023 deadline.</p>	0
	<p>maximum score is 10</p>	<p>score 10 or else 0.</p>		
7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p>	<p>The LG submitted an annual performance contract of 2023/24 on 28July 2023 before the deadline of August 31st, 2023.</p>	4
	<p>Maximum Score 4</p>	<p>score 4 or else 0.</p>		
8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p>	<p>The LG submitted the Annual Performance Report for the year 2022/23 on 11/8/2023 before the deadline of August 31, 2023.</p>	4
	<p>maximum score 4 or else 0</p>	<p>score 4 or else 0.</p>		
9	<p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p>	<p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p>	<p>The LG did submit all the quarterly budget Performance Reports for the year 2022/23 by the deadline of August 31,2023: Q1 was submitted on 21/1/2023 ; Q2 was submitted on 14/3/2023; Q3 was submitted on 7/5/2023 ; and Q4 was submitted on 11/8/2023.</p>	4
	<p>Maximum score is 4</p>	<p>score 4 or else 0.</p>		

**Education
Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
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Human Resource Management and Development

1				30
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New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.

a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0

There was evidence that at the time of assessment the LG had substantively recruited a Principal Education Officer (Nassolo Farida), appointed on 18/10/2021 Under DSC Min No. MMC.199/2021 extracted from file No MMC/084

The Maximum Score of 70

1				40
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New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.

b) All District/Municipal Inspector of Schools, score 40 or else 0.

There was evidence at the time of assessment that the MC had recruited a substantive Municipal t Inspector of Schools (Bulya Olivia), appointed on 20/07/2011 under DSC Minute No .MMC103/201(ii) as extracted from file No. MMC/091.

The Maximum Score of 70

Environment and Social Requirements

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is 30

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

There WAS Evidence that Mukono MLG carried out Environmental, Social and Climate Change Screening prior to commencement of all civil works for all education sector projects for the previous financial year (2022/2023 FY) as exemplified by completed Environmental and Social Screening Forms (ESSFs) and Costed ESMPs for the following education sector projects:

- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Construction of 5 Stance Lined Pit Latrine with Washrooms and Urinal at St Charles Lwanga RC P/S in Bukerere Village, Bukerere Parish, Goma Division under UglIFT Funding, ESSF and Costed ESMP dated 25th/January/2023, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Construction of 2 Classroom Block at Kiwango P/S in Kiwango Village, Bukerere Parish, Goma Division under SFG Funding, ESSF and Costed ESMP dated 5th/May/2023, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Renovation of 7 Classroom Block at Ngandu P/S in Ngandu Village, Gulu Parish, Central Division under SFG Funding, ESSF and Costed ESMP dated 7th/April/2023, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Construction of 8 Stance Waterborne Toilet, Reroofing and Painting of 4 Classroom Block and 1 Classroom Block with Main Hall at Seeta Umea P/S in Seeta Village, Seeta Parish, Goma Division under SFG Funding, ESSF and Costed ESMP dated 5th/May/2023, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is 30

If the LG carried out:

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

There WAS Evidence that Mukono MLG carried out Environmental, Social and Climate Change Screening prior to commencement of all civil works for all education sector projects for the previous financial year (2022/2023 FY). The conclusions and recommendations of the Environmental, Social and Climate Change Screening did not require the LG to conduct Environmental and Social Impact Assessments (ESIAs) or any further environmental assessments as exemplified by completed Environmental and Social Screening Forms (ESSFs) and Costed ESMPs for the following education sector projects:

- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Construction of 5 Stance Lined Pit Latrine with Washrooms and Urinal at St Charles Lwanga RC P/S in Bukerere Village, Bukerere Parish, Goma Division under UglIFT Funding, ESSF and Costed ESMP dated 25th/January/2023, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Construction of 2 Classroom Block at Kiwango P/S in Kiwango Village, Bukerere Parish, Goma Division under SFG Funding, ESSF and Costed ESMP dated 5th/May/2023, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Renovation of 7 Classroom Block at Ngandu P/S in Ngandu Village, Gulu Parish, Central Division under SFG Funding, ESSF and Costed ESMP dated 7th/April/2023, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Construction of 8 Stance Waterborne Toilet, Reroofing and Painting of 4 Classroom Block and 1 Classroom Block with Main Hall at Seeta Umea P/S in Seeta Village, Seeta Parish, Goma Division under SFG Funding, ESSF and Costed ESMP dated 5th/May/2023, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.

**Health Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.	a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.		
	<i>Applicable to Districts only.</i>			
	<i>Maximum score is 70</i>			
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.	b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0		
	<i>Applicable to Districts only.</i>			
	<i>Maximum score is 70</i>			
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.	c. Assistant District Health Officer Environmental Health, score 10 or else 0.		
	<i>Applicable to Districts only.</i>			
	<i>Maximum score is 70</i>			
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.	d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.		
	<i>Applicable to Districts only.</i>			
	<i>Maximum score is 70</i>			

1 New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. e. Senior Health Educator, score 10 or else 0.

Applicable to Districts only.

Maximum score is 70

1 New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. f. Biostatistician, score 10 or 0.

Applicable to Districts only.

Maximum score is 70

1 New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. g. District Cold Chain Technician, score 10 or else 0.

Applicable to Districts only.

Maximum score is 70

1 New_Evidence that the Municipality has substantively recruited or the seconded staff is in place for all critical positions. h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.

There was evidence at the time of assessment that the MC had recruited a substantive Principal Medical Officer (Dr Konde Anthony), appointed on 20/07/2011 under DSC Minute No MMC108/2011 (iii) as extracted from file No,PER/10580

Applicable to MCs only.

Maximum score is 70

1 New_Evidence that the Municipality has substantively recruited or the seconded staff is in place for all critical positions. i. Principal Health Inspector, score 20 or else 0. There was evidence at the time of assessment that the MC had recruited a substantive Principal Health Inspector (Mugerwa Henry), appointed on 13/05/2019 under DSC Minute No MMC139/2019 extracted from file No, MMC/81. **20**

Applicable to MCs only.

Maximum score is 70

1 New_Evidence that the Municipality has substantively recruited or the seconded staff is in place for all critical positions. j. Health Educator, score 20 or else 0 There was evidence at the time of assessment that the MC had recruited a substantive Health Educator (Nanteza Hajara), appointed on 25/02/2022 under DSC Minute No MMC37/2022 extracted from file No, MMC/134 **20**

Applicable to MCs only.

Maximum score is 70

Environment and Social Requirements

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

There WAS Evidence that Mukono MLG carried out Environmental, Social and Climate Change Screening prior to commencement of all civil works for all health sector projects for the previous financial year (2022/2023 FY) as exemplified by completed Environmental and Social Screening Forms (ESSFs) and Costed ESMPs for the following health sector projects that were implemented in the previous FY:

- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Phased Construction of Nyanja HC II Staff House and Maternity Ward in Nyanja Village, Bukerere Ward, Goma Division under UgIFT Funding, ESSF and Costed ESMP dated 3rd/March/2023, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Phased Construction of Nantabulilirwa HC II Staff House in Nantabulilirwa Village, Nantabulilirwa Ward, Goma Division under PHC Funding, ESSF and Costed ESMP dated 3rd/March/2023, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Construction of Goma HC III Theatre/Maternity in Misindye Village, Misindye Ward, Goma Division under PHC Funding, ESSF and Costed ESMP dated 6th/March/2023, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.

2

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

There WAS Evidence that Mukono MLG carried out Environmental, Social and Climate Change Screening prior to commencement of all civil works for all health sector projects for the previous financial year (2022/2023 FY). The conclusions and recommendations of the Environmental, Social and Climate Change Screening did not require the LG to conduct Environmental and Social Impact Assessments (ESIAs) or any further environmental assessments as exemplified by completed Environmental and Social Screening Forms (ESSFs) and Costed ESMPs for the following health sector projects that were implemented in the previous FY:

- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Phased Construction of Nyanja HC II Staff House and Maternity Ward in Nyanja Village, Bukerere Ward, Goma Division under UgIFT Funding, ESSF and Costed ESMP dated 3rd/March/2023, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Phased Construction of Nantabulilirwa HC II Staff House in Nantabulilirwa Village, Nantabulilirwa Ward, Goma Division under PHC Funding, ESSF and Costed ESMP dated 3rd/March/2023, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.

- Mukono MLG Department of Environment, Gender and Community Based Services presented completed Environmental and Social Screening Form (ESSF) and Costed ESMP for Construction of Goma HC III Theatre/Maternity in Misindye Village, Misindye Ward, Goma Division under PHC Funding, ESSF and Costed ESMP dated 6th/March/2023, Signed by Senior Environmental Officer - Masengere George, Signed by Principal Community Development Officer - Namukose Minisa Kirya.

**Micro-scale Irrigation Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation <i>Maximum score is 70</i>	If the LG has recruited; a. the Senior Agriculture Engineer <i>score 70 or else 0.</i>	Not applicable because there is no Micro Scale Irrigation	0
Environment and Social Requirements				
2	New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed. <i>Maximum score is 30</i>	If the LG: Carried out Environmental, Social and Climate Change screening score 30 or else 0.	Not Applicable.	0

**Water & Environment Minimum
Conditions**

No. Summary of requirements

**Definition of
compliance**

**Compliance
justification**

Score

Human Resource Management and Development

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.</p> <p><i>Maximum score is 70</i></p>	<p>a. 1 Civil Engineer (Water), score 15 or else 0.</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore is not assessed for Rural Water Projects.</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.</p> <p><i>Maximum score is 70</i></p>	<p>b. 1 Assistant Water Officer for mobilization, score 10 or else 0.</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore is not assessed for Rural Water Projects.</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.</p> <p><i>Maximum score is 70</i></p>	<p>c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore is not assessed for Rural Water Projects.</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.</p> <p><i>Maximum score is 70</i></p>	<p>d. 1 Natural Resources Officer, score 15 or else 0.</p>	<p>The Municipal Council is being served by National Water and Sewerage Corporation and therefore is not assessed for Rural Water Projects.</p>	0

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	The Municipal Council is being served by National Water and Sewerage Corporation and therefore is not assessed for Rural Water Projects.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	f. Forestry Officer, score 10 or else 0.	The Municipal Council is being served by National Water and Sewerage Corporation and therefore is not assessed for Rural Water Projects.	0

Environment and Social Requirements

2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	If the LG: a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.	The Municipal Council is being served by National Water and Sewerage Corporation and therefore is not assessed for Rural Water Projects.	0
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.	The Municipal Council is being served by National Water and Sewerage Corporation and therefore is not assessed for Rural Water Projects.	0
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.	The Municipal Council is being served by National Water and Sewerage Corporation and therefore is not assessed for Rural Water Projects.	0