



LGMSD 2022/23

Busia District

(Vote Code: 507)

Assessment	Scores
Crosscutting Minimum Conditions	50%
Education Minimum Conditions	30%
Health Minimum Conditions	80%
Water & Environment Minimum Conditions	50%
Micro-scale Irrigation Minimum Conditions	30%
Crosscutting Performance Measures	68%
Educational Performance Measures	64%
Health Performance Measures	58%
Water & Environment Performance Measures	62%
Micro-scale Irrigation Performance Measures	76%

**Crosscutting
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	Service Delivery Outcomes of DDEG investments Maximum 4 points on this performance measure	<ul style="list-style-type: none"> Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): If so: Score 4 or else 0 	There was evidence that the infrastructure Projects of Construction of Sikuda, Busime Sub-counties Administration Block, rehabilitation of Chairman's office implemented using DDEG were found functional and utilised as per intended purpose	4
2	N23_Service Delivery Performance Maximum 6 points on this performance measure	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> By more than 5%, score 3 1 to 5% increase, score 2 If no increase, score 0 <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	LLGS Average Score was 43 % for 2021/2022 as per information provided by Office of the Prime Minister and in FY 2022/2023 ,the average Score was 63% representing of 20%	3
2	N23_Service Delivery Performance Maximum 6 points on this performance measure	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> If 100% the projects were completed : Score 3 If 80-99%: Score 2 If below 80%: 0 	There was evidence that the DDEG funded investment projects of Construction of Sikuda, Busime Sub Counties Administration and Rehabilitation of District Chairperson's office implemented in the previous FY 2022/2023 were completed as per ABPR for previous FY 2022/2023 For Instance, Construction of Sikuda, Busime Sub Counties Administration blocks and Rehabilitation of District Chairperson's office were all reflected on page 11 of the ABPR	3

3	Investment Performance Maximum 4 points on this performance measure	a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines: Score 2 or else score 0.	The LG budgeted for UGX 164,214,640 and spent 164,214,640 (all the budgeted DDEG) The expenditures included: Construction of Sikuda Sub County Administration Block at a cost of UGX74,457,320 , Construction of Busime Sub County Administration Block at a cost of UGX74,457,320 Rehabilitation of District Chairperson's office at a cost of UGX 2,500,000, Monitoring and investment service costs UGX 8,600,000 Carrying out pre- assessment of 18 LLGs at a cost of UGX 4,200,000.	2
3	Investment Performance Maximum 4 points on this performance measure	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates, score 2 or else score 0	The variations in the contract price and Engineer's estimates of the sampled DDEG projects were as follows: Variation = 100% ((Contract Price - Engineers Estimate)/Engineer's Estimate)) Busi 507/Wrks/22-23/000824. Construction of the Admin block at Sikuda Subcounty Phase 2 was budgeted at UGX 79,657,320/= actual contract price was UGX 92,103,553/= with a variation of UGX -12,446,233 /= represented by -15.6 % Busi 507/Wrks/22-23/000925. Construction of the Admin block at Busime Subcounty was budgeted at UGX 74,457,320/= actual Contract price was UGX 93,719,199/= with a variation of UGX -19,261,879/= represented by -25.8 % In conclusion, All the variations were not within the range of +/- 20% provided in the manual.	0

Performance Reporting and Performance Improvement

4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate, score 2 or else score 0</p>	<p>According to sampled LLGs Busitema S/C, Tiira T/C and Buteba S/C there was evidence that the Information on the positions filled in the LLGs as per minimum staffing standards was accurate.</p> <p>Busitema Sub County:</p> <p>The HRM staff list and the LLG staff list as per 30th June 2023 had 10 staff.</p> <p>Emojong Godfrey as the Ag. SAS, Nekesa Jackie as a CDO and Wanyama George Stephen as the Accounts Assistant extra.</p> <p>Buteba Sub County:</p> <p>The HRM staff list and the LLG staff list as per 17th March, 2023 had 11 staff. For instance, Ouma Fred as a SAS, Ariko Harriet as the CDO and Ouma Darlington as the SAA among others.</p> <p>Tiira Town Council.</p> <p>The LLG staff list had 10 staff, for example Kayira Muhamud as a Town Clerk, Nekesa Jackie as a CDO (caretaker) and Wanyama George Stephen as Ag. Accountant.</p>	2
4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> • If 100 % in place: Score 2, else score 0. <p>Note: if there are no reports produced to review: Score 0</p>	<p>There was evidence that infrastructure constructed using DDEG was in place as per reports produced by the LG. For Instance, Completed Construction of Sikuda and Busime Sub Counties Administration blocks were reflected on page 108 of 4th Quarter Budget Performance Report FY 2022/2023. Completed Rehabilitation of District Chairperson's office was reflected on page 1 of the 4th Quarter Budget Performance Report FY 2022/2023</p>	2

N23_Reporting and Performance Improvement

Maximum 8 points on this Performance Measure

a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;

If there is no difference in the assessment results of the LG and national assessment in all LLGs

score 4 or else 0

NB: The Source is the OPAMS Data Generated by OPM.

The 4 sampled LLGs were Buhehe S/C, Busitema S/C, Lunyo S/C and Lumino-Majanji T/C. These had assessment results as follows;

1. Buhehe S/C scored 43% based on the assessment conducted by the DLG and 35% based on IVA. The deviation between the two was 8%.

2. Busitema S/C scored 76% based on the assessment conducted by the DLG and 80% based on IVA. The deviation was -4%.

3. Lunyo S/C scored 53% based on the assessment conducted by the DLG and 46% based on IVA. The deviation was 7%.

4. And finally Lumino-Majanji T/C scored 68% based on the assessment conducted by the DLG and 66% based on IVA. The deviation was -4%.

All the sampled LLGs had deviations within the allowed +/-10% hence a credible assessment.

5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	<p>There was evidence to show that the District had developed a performance improvement plan for at least 30% of the lowest performing LLGs in Financial Year 2023/2024 based on the previous assessment.</p> <p>The District had 18 LLGs and 30% of 18 was 5 LLGs so the least performing LLGs were, Namugondi T.C 38%, Buhehe Sub County 43%, Masafu T/.C 49%, Tiira T/.C 50% and Majanji Sub County 51%.</p> <p>At Namugondi T.C 35 performance gaps were observed, for example;</p> <p>(i). Town council extension staff did not provide support to farmers and farmer groups on crop management.</p> <p>(ii). The extension staff did not collect and analyse data on agriculture (crop and animal) and irrigation activities extra.</p> <p>The agreed action was, data correction exercise to be carried out on crop, fisheries and animal at a quarterly basis by the Town clerk and extension workers.</p> <p>The issue of appraisals was cross cutting in all the 5 Sub Counties and Town Councils. The sub county SAS' and T/.Cs did not appraise the HCIII and HC11 In-changes, primary school head teachers and extension workers.</p> <p>The agreed action was for all the appraisal reports to be filled by 30th at the end of Financial Year 2023/2024 and submitted.</p>	2
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5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence to show that the District had implemented the PIP for the 30% lowest performing LLGs in the Financial Year 2022/2023.</p>	0
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Human Resource Management and Development

6	<p>Budgeting for and actual recruitment and deployment of staff</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.</p> <p>Score 2 or else score 0</p>	<p>There was no evidence to show that the District had consolidated and submitted the staffing requirements for the FY 2024/2025.</p>	0
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7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p> <p>Score 2 or else score 0</p>	<p>There was no evidence to show that the District had conducted a tracking and analysis of staff attendance.</p>	0
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous FY: Score 1 or else 0</p>	<p>There was evidence that the District had conducted staff appraisal though late with some HoDs as per guidelines issued by the MoPS in the Financial Year 2022/2023.</p> <ol style="list-style-type: none"> 1. Mr. Wabwire Okumu Patrick a District Planner was appraised by Mr. Mukiibi Nasser the CAO on 20th June, 2023. 2. Mr. Mwidu George Makika a District Engineer was appraised by Ms. Alupo Scola the D/CAO on 14th August, 2023. 3. Dr. Barasa Patrick a District Production Officer was appraised by Ms. Alupo Scola D/CAO on 14th August, 2023. 4. Okumu Semu the District Community Development Officer was appraised by Mukiibi Nasser the CAO on 15th July, 2023. 5. Dr. Wabwire Mathias Panyako was appraised by the D/CAO Ms. Alupo Scola on 14th August, 2023. 6. Ms. Mwesigwa Harriet Ag. DEO was appraised by Ms. Alupo Scola the D/CAO on 14th August, 2023. <p>Those who were not appraised were,</p> <ol style="list-style-type: none"> 1. Mr. Ngolobe Jimmy the Ag. DNRO was not appraised. 2. Okia Ziporah the District Commercial Officer was not appraised. 3. Mr. Wakooli Patrick Boris was not appraised. 	0

Performance management

Maximum 5 points on this Performance Measure

ii. (in addition to "a" above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:

Score 1 or else 0

The rewards and sanction committee was functional during the financial year under review and considered various cases. For instance, a meeting held on 13th July, 2022 in the office of the D/CAO, Ms Omari Simo Catherine PHRO (Secretary DSC) under Minute No. RS/3/22 had been sanctioned to be cautioned against deliberate refusal to advertise 39 jobs, failure to guide the District Service Commission.

The committee resolved that there was no justification of the Officer on the alleged offences.

The meeting held on 10th/08/2023 in the DSC board room under Minute No. RS/2/8/23

Mr. Omukaga Christopher Head teacher Mawero P/S had been sanctioned to be cautioned against causing financial loss of UGX 1.156.000 while in Okame P/S, use of abusive language and rudeness to the CAO and other Officers.

The Officer admitted to have withdrawn the money from the school account while on transfer from Okame P/S to Mwero P/S and paid the money back.

The committee recommended that his case be submitted to the DSC for the above offences and be served with a severe reprimand.

Performance management

Maximum 5 points on this Performance Measure

iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.

Score 1 or else 0

The District had established a consultative Committee for staff grievance redress on 30th January, 2023 which was functional and appointed members as indicated below.

1. Egesa Antony as Chairperson
2. Ouma Chadiha as Secretary
3. Nanyama Benah ADHO-MCH a member
4. Wabwire Mathias P DHO a member
5. Okumu Semu DCDO a member
6. Mwidu George M. DE a member
7. Mwesigwa Harriet Ag. DEO a member
8. Babibrye Esther PANO Masafu Hospital member
9. Wanani Francis Principal Nalwire Technical Institute member
10. Wabwire Simon P. Assistant Education Officer Lumino H/S.
11. Nehumye Rosemary Headteacher Budandu P/S.

<p>Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:</p> <p>Score 1.</p>	<p>The District had 9 staff who were recruited in the Financial Year 2022/2023 and all accessed salary payroll not later than two months after appointment.</p> <ol style="list-style-type: none"> 1. Wandera Justine Assistant Agricultural Officer assumed duty on 2nd June, 2023 and accessed salary payroll in June, 2023. 2. Masiga Emmanuel Assistant Agricultural Officer assumed duty on 5th June, 2023 and accessed salary payroll in June, 2023. 3. Nasirumbi Sharon Senior Procurement Officer assumed duty on 2nd June, 2023 and accessed salary payroll in June, 2023. 4. Musanya Musa Assistant Engineering Officer assumed duty on 2nd June, 2023 and accessed salary payroll in June, 2023. 5. Wandere Godfrey Assistant Radiographer assumed duty on 2nd June, 2023 and accessed salary payroll in June, 2023. 6. Oundo Allen Senior Assistant Secretary assumed duty on 2nd June, 2023 and accessed salary payroll in June, 2023. 7. Bwire Stephen Office attendant assumed duty on 5th June, 2023 and accessed salary roll in June, 2023. 8. Adikin Nanzirina Office attendant assumed duty on 2nd June, 2023 and accessed salary payroll in June, 2023. 9. Magero Joshua assumed duty on 2nd June, 2023 and accessed salary payroll in June, 2023.
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Pension Payroll
management

Maximum 1 point on
this Performance
Measure or else score 0

a. Evidence that 100% of staff
that retired during the previous
FY have accessed the pension
payroll not later than two
months after retirement:

Score 1.

The District had 40 staff who retired in
the Financial Year 2022/2023 and not
all accessed their pension payroll
within a period of 2 months after
retiring.

Ten out of Forty pensioners had been
sampled, for example;

1. Ogallo Alex headteacher retired on
6th August, 2022 and accessed pension
payroll in October,2022.

2. Nabwire Maxecensia headteacher
retired on 15th September, 2022 and
accessed pension payroll in
October,2022.

3. Onyango Wilson deputy headteacher
retired on 4th June, 2023 and accessed
pension payroll in May, 2023

4. Kata Wafula Paul Erineo headteacher
secondary retired on 7th April, 2023
and accessed pension payroll in
May,2023.

5. Ikuleut Florence Mayende Education
Assistant retired on 4th May, 2023 and
accessed pension payroll in May, 2023.

6. Najabi Hellen Education Assistant
retired on 15th July, 2022 and accessed
pension payroll in September, 2022.

Those who didn't access in time were,

7. Odero Charles headteacher retired
on 4th December, 2022 and accessed
pension payroll in June, 2023.

8. Obura Eric Peter Education Assistant
retired on 17th August, 2022 and
accessed pension payroll in February,
2023.

9. Ouma David Livingstone Education
Assistant retired on 28th August, 2022
and accessed pension payroll in
February, 2023.

10. Abelu Donato Asogolimong
Education Assistant retired on 11th
July, 2022 and accessed pension
payroll in January, 2023.

10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:</p> <p>Score 2 or else score 0</p>	<p>Direct transfers (DDEG) to LLGs were executed in accordance with budget requirements as follows:</p> <p>Quarter two, a total of UGX109,912,188 was transferred to LLGs against a budget of UGX 109,912,188</p> <p>Quarter three, a total of UGX219,651332 was transferred to LLGs against a budget of UGX 219,651332</p> <p>This was as per Schedule provided by the CFO</p>	2
10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).</p> <p>Score: 2 or else score 0</p>	<p>The LG did timely warranting (5 days from the date from receipt of release from MoFPED of direct transfersdirect transfers to LLGs in accordance with their requirements of their budget:</p> <p>Quarter two, Cash Limit date 10th October 2022 Cash Limit Amount UGX109,912,188 Warrant date 10th October 2022, same day</p> <p>Quarter three, Cash Limit date 16th January 2023 Cash Limit Amount UGX 219,651,332 Warrant date 16th January 2023 , same day</p>	2

10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:</p> <p>Score 2 or else score 0</p>	<p>The LG invoiced and communicated all DDEG transfers to the LLGs as follows,</p> <p>Quarter 2 transfer date was 27th October 2022.</p> <p>Quarter 3 transfer date was 6th February, 2023.</p> <p>Busitema Sub County:</p> <p>Quarter 2 invoicing date was 31st October, 2022.</p> <p>Quarter 3 invoicing date was 9th February, 2023.</p> <p>All communications were done within 5 working days.</p> <p>Buteba Sub County:</p> <p>Quarter 2 invoicing date was 31st October, 2022</p> <p>Quarter 3 invoicing date was 22nd February, 2023</p> <p>Only Q2 invoicing was done within 5 working days.</p> <p>Tiira Town Council:</p> <p>Quarter 2 invoicing date was 16th November, 2022</p> <p>Quarter 3 invoicing date was 7th February, 2023.</p> <p>Only Q 3 was invoiced within 5 working days.</p> <p>However, according to the sampled LLGs not all invoicing and communications were made within 5 working days, Busitema S/C invoicing was within 5 working days Buteba S/C and Tiira T/C only one communication was within 5 working days</p>	0
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the District mentored all LLGs on Quarterly basis as per the reports indicated below:</p> <ol style="list-style-type: none"> 1. Quarter one, mentoring report dated 30th September 2022 2. Quarter two, mentoring report dated 29th December 2022 3. Quarter three, mentoring report dated 14th April 2023 4. Quarter four, mentoring report dated 30th June 2023 	2

11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the mentoring reports were discussed by TPC as follows:</p> <ol style="list-style-type: none"> 1. On 27th July 2023 under minute 3/TPC/27/7/2022, discussed appraisal of projects and 1st quarter mentoring report 2. On 6th April 2023 under minute 4/TPC/6/4/2023, discussed 2nd quarter and 3rd quarter mentoring reports 3. On 21st June 2023 under minute 4/TPC/21/6/2023, discussed 4th quarter mentoring report which highlighted properly label completed projects 	2
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Investment Management

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</p>	<p>The was evidence that the LG maintained an updated</p> <p>assets register covering details on buildings, vehicles General assets such as Computers etc. The following are some of the assets that were included in the assets register District Administration Block located on plot number 1591, the condition was stated as Good. Nissan Navara Pick UP Registration Number UG 100Z</p> <p>acquired from the Office of Prime Minister on 19th April 2021. A list of 4 Desk Top Computers acquired during the FY 2022/2023 were incorporated into the Assets Register to make it updated. Some of the Examples can be cited as follows::</p> <p>2 Desk2 Desk Top Computer acquired on 6th March 2023 at a cost of 4,134,935, each. Both were Located at Buteba</p> <p>Health Centre 111. 2 Desk2 Desk Top Computer acquired on 6th March 2023 at a cost of 4,134,935, each. Both were Located at Majanji Health Centre 111</p>	2
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>There was no evidence that the LG had used the Board of Survey report FY 2021/2022 dated 29th August 2022 to make assets management decisions including procurement of new assets and disposing off obsolete assets. The recommendations of the Board of Survey Report FY 2021/2022 (reflected on page 4 of the report) included disposing off obsolete assets which were a liability to the LG</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>The functionality of the physical planning committee was evidenced by the 4 sets of minutes of meetings that were produced and Submitted to the Ministry of Lands, Housing and Urban Development as required</p> <ol style="list-style-type: none"> 1. Minutes of Physical Planning Committee meeting held on 20th September 2022 were submitted to MoLHUD Tororo branch on 13th December, 2023 2. Minutes of Physical Planning Committee meeting held on 10th November 2022 were submitted to MoLHUD Tororo branch on 13th December, 2023 3. Minutes of Physical Planning Committee meeting held on 15th February 2023 were submitted to MoLHUD Tororo branch on 13th December, 2023 4. And minutes of Physical Planning Committee meeting held on 20th June 2023 were Submitted to MoLHUD Tororo branch on 13th December, 2023 	2

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d. For DDEG financed projects; Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the District had Conducted desk Appraisals for all projects in the Budget Construction of Sikuda, Busime Sub-counties Administration Blocks phase 11 Rehabilitation of District Chairperson's Office</p> <p>as per the reports dated 26th January 2023 Examples of such projects were:</p> <p>Construction of Sikuda, Busime Sub-counties Administration Blocks phase 11</p> <p>Rehabilitation of District Chairperson's Office</p> <p>The Following prioritized investments were derived from the LGDP:</p> <p>Construction of Sikuda, Busia Sub Counties</p> <p>(Reflected on pages 281 and 282 respectively of LGDP III)</p> <p>Administration Blocks phase 11 Rehabilitation of District Chairperson's Office</p> <p>(Reflected on page 282 of LGDP III)</p> <p>All the implemented projects were eligible for Expenditure as per Sector Guidelines and funding Source i.e DDEG page 16</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects: e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>For DDEG Financed projects, there was evidence that the LG Conducted Field Appraisals as per the report dated 5th July, 2022</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>Information available did not relate to current FY 2023/2024 as the requirement of the indicator</p>	0

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence of any Environmental screening report for the current FY 2023/2024 DDEG financed projects. For example;</p> <p>The construction of Maternity ward at Buteba HC III Phase III</p> <p>The rehabilitation of roads (Nahayaka-Masaba and Lumino-Omenya 12km)</p>	0
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>PDU of the DLG had evidence that all infrastructure projects for FY 2023/2024 to be implemented using the DDEG were incorporated in the LG approved Procurement Plan signed on 27th September 2023 by the DCAO.</p> <p>The Included Projects were;</p> <ul style="list-style-type: none"> ● Construction of a Maternity ward at Butebi HC III ● Completion of Sikuda Admin Block ● Completion of Busimu admin Block 	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0</p>	<p>There was evidence which showed that the Contracts Committee had sat to approve all DDEG funded projects on 19th July 2023 . These included;</p> <ul style="list-style-type: none"> ● Construction of a Maternity ward at Butebi HC III was approved under Min: 08/0012/22-23 ● Completion of Sikuda Admin Block was approved under Min: 06/0012/22-23 ● Completion of Busimu admin Block was approved under Min: 07/0012/22-23 	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:</p> <p>Score 1 or else 0</p>	<p>The LG did not appoint a project implementation team for the civil works undertaken during FY 2022/2023.</p>	0

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer: Score 1 or else score 0	The floor finishes, the ramp, the wall finishes and the roof were done well with no cracks. External and internal Painting works were also done well. Electrical installations were also perfectly done for all the two sub county administration blocks .	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0	There was evidence which showed that the LG provided supervision prior to verification and certification of works. ● For the Construction of the Admin block at Sikuda Sub County Phase 2, date of supervision was 12th January 2023 and same date of interim Certificate. ● For the Construction of the Admin block at Busime Sub County, date of supervision was 03rd May 2023 and same date of interim Certificate.	2
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement): Score 1 or else score 0	The LG had evidence of Certified works and payments initiated within timeframes in sampled project examples: Busi 507/Wrks/22-23/00824. Construction of the Admin block at Sikuda Sub County Phase 2 was awarded to M/s Proper Engineering Services (U) Ltd as the contractor; payment request made by the contractor on 03rd Jan 2023 verified and Certified by the DE on 12th Jan 2023 Environment and CDO on 24th Jan 2023 and subsequent payment timely paid on 02nd Mar 2023 under Voucher No. 4187742. Busi 507/Wrks/22-23/00925. Construction of the Admin block at Busia Sub County was awarded to Nafito General Contractors Ltd as the Contractor; payment request made by the contractor on 28th April 2023 verified and Certified by the DE, Environment and CDO on 03rd May 2023 and subsequent payment timely paid on 15th May 2023 under Voucher No. 5888302.	1

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law: Score 1 or else 0	From the file, there was evidence to show that the LG had a complete procurement file with all records as per PPDA. From the review of project file; Busi 507/Wrks/22-23/00824. Construction of the Admin block at Sikuda Sub County Phase 2 was awarded to M/s Proper Engineering Services (U) Ltd as the contractor. Approval of the Evaluation Report was done on 23rd July 2023 under minute number Min: 05-1DCC/23/07/22-23 and Contract signing was done on 16th Nov 2023. Busi 507/Wrks/22-23/00925. Construction of the Admin block at Busia Sub County was awarded to Nafito General Contractors Ltd as the Contractor. Approval of the Evaluation Report was done on 23rd July 2023 under minute number Min: 06-1DCC/23/07/22-23 and Contract signing was done on 04th Nov 2023.	1
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Environment and Social Safeguards

14	Grievance redress mechanism operational. Maximum 5 points on this performance measure	a. Evidence that the District/Municipality has i) designated a person to coordinate response to feedback (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant. Score: 2 or else score 0	There was evidence of an appointment letter for the Grievance Focal Person Mr. Mangeni Moses (Communications Officer) dated 7/09/2021 from Mr Anthony Egesa (PAS) for the CAO. There was evidence of appointment letters for the Grievance Redress Committee from Mr. Egesa Anthony dated 7/09/2021. The GRC members were; - Mr. Egesa Anthony, PAS (Chairperson). - Mr. Mangeni Moses, communications Officer (Secretary). - Ms. Mwesigwa Harriet, Ag. DEO. - Mr. Sumba Wycliffe Ojambo, Ag PHRO. - Mr Okumu Semu, DCDO. - Mr. Wanwire Patrickv Panyako, DHO. - Mr. Wabwire Patrick, District Planner. There was evidence of GRC meeting minutes dated 12th/06/2023.	2
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14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.</p> <p>If so: Score 2 or else 0</p>	<p>There was evidence of the Grievance Log book availed by the Grievance Focal Person.</p> <p>There was evidence of a Grievance Redress Mechanism with a defined complaints referral path for the LG.</p>	2
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.</p> <p>If so: Score 1 or else 0</p>	<p>The Grievance Redress Mechanism GRM was not publicised on the LG notice board and on the LG Website: www.busia.go.ug.</p>	0
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0</p>	<p>There was Evidence that Environment, Social and Climate change interventions had been incorporated into the LGDP, Work Plan and the Budget for the current FY 2023/2024 as follows:</p> <p>LGDP , the issues of Environment, Social And Climate Change were reflected on pages 231, 228, 217, 180 of LGDP: Narrative: Emphasis was being put on Environment and Social Screening for Projects</p> <p>Page 180 of LGDP is about Environment Enhancement projects such as Tree planting and Conservation of Forests.</p> <p>Work Plan: Issues of Environment Social and Climate Change were reflected on page 18</p> <p>In the Budget: Issues of Environment reflected on page 69 of the Budget There was a Budget provision of UGX 6,276,000 earmarked for purchase of Seedlings to enhance Tree planting.</p>	1

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management score 1 or else 0	No evidence was provided at the time of assessment	0
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	(For investments financed from the DDEG other than health, education, water, and irrigation): c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary: score 3 or else score 0	There were no Environmental and Social Screening reports and ESMPs for the construction of Sikuda and Busimi Administration Blocks. There were no costed ESMPs to be incorporated in their respective BoQs.	0
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	d. Examples of projects with costing of the additional impact from climate change. Score 3 or else score 0	There were no screening reports for the DDEG projects and ESMPs for FY 2022/2023 to verify that there was an additional impact from climate change that might have not been identified in the initial screening process.	0
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 1 or else score 0	There was evidence of Land titles for Sikuda Sub-county and Busime Sub-county. Sikuda Su-county- Land title for 0.1550 hectares of land in Samia Bugwe County on Plot 1144, Block 8 at Asopotoit 'B' dated 22/03/2023. Busime Sub-county- Land title for 0.4400 hectares of land in Samia Bugwe County on Plot 327, Block (Road) 9 at Bubo 'A' Busime dated 11/03/2019.	1

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 1 or else score 0	There was not any monitoring report availed by the SEO and DCDO for the DDEG financed projects implemented in the FY 2022/2023.	0
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15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0	There was not any Environmental and Social Compliance Certificate availed by the SEO and DCDO for the DDEG financed projects implemented in the FY 2022/2023.	0
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Financial management

16	LG makes monthly Bank reconciliations Maximum 2 points on this Performance Measure	a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment: Score 2 or else score 0	There Evidence that the LG made monthly bank reconciliations up to date as on 30th November 2023 at the time of Assessment. Busia District General Fund Account Number 9030009304021 at Stanbic Bank, Busia branch Reconciled up to 30th November 2023 with closing balance UGX 34,319,834 Busia District Uganda Women Entrepreneurship (UWEP) Account NO 01983501006268 at Equity bank , Busia Branch with closing balance UGX 39,208,600 Busia District Youth Livelihood account NO. 1008200745731 at Equity bank Busia branch with closing balance UGX 5,521,800 Busia District Revenue Collection account NO. 005070168000000 at Bank of Uganda main branch with closing balance UGX 0	2
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17	LG executes the Internal Audit function in accordance with the LGA Section 90 Maximum 4 points on this performance measure	a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY. Score 2 or else score 0	The LG produced all the quarterly internal audit reports during the FY 2022/2023. These were dated; 28th October 2022 for quarter 1, 27th January 2023 for quarter 2, 16th April 2023 for quarter 3 and 16th July 2023 for quarter 4.	2
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17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>There was no documentary evidence provided at the time of the assessment that LG provided information to Council Chairperson and PAC on the status of implementation of internal audit findings.</p>	0
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>The quarterly internal audit reports were submitted to the Accounting Officer and LG PAC on 28th October 2022 for quarter 1, 27th January 2023 for quarter 2, 16th April 2023 for quarter 3 and 16th July 2023 for quarter 4.</p> <p>However, PAC did not review all the Audit reports for previous FY 2022/2023</p>	0

Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>The budget for Local revenue was;</p> <p>FY 2022/2023 UGX 280,475,000 Page 38 of draft final accounts</p> <p>Local revenue collected for FY 2022/2023 was UGX 258,820,079</p> <p>Therefore, Budget realisation was $258,820,079/280,475,000 \times 100$ giving 92.3% resulting into a deficit of 7.8% which was within the required range of +/-10%</p>	2
19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>Local revenue increased from UGX 214,699,540 FY 2021/2022 to UGX 258,820,079 in FY 2022/2023 resulting into an increase of UGX 44,120,539. Percentage increase</p> <p>$44,120,539/214,699,540 \times 100$ making a , 20.5% increase</p>	2

20	Local revenue administration, allocation, and transparency	a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0	There was no documentary evidence provided at the time of the assessment	0
	Maximum 2 points on this performance measure.			

Transparency and Accountability

21	LG shares information with citizens	a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0	The procurement Unit awarded contracts and amounts for FY 2021/2022 were available, endorsed by CAO and Senior Procurement Officer, published on the procurement Notice Board at the District Headquarters. Sampled contracts included;	2
	Maximum 6 points on this Performance Measure			

Busi 507/Wrks/22-23/00125.
Construction of a 2 Classroom block at Buwanda P/s was awarded to M/s Nassi Construction Ltd as the contractor at a contract price of Ugx. 94,809,258/= and BEB date was on 06th Oct 2022.

Busi 507/Wrks/22-23/00225.
Construction of a 2 Classroom block at Bulengi was awarded to M/s Merkunder Holdings Ltd as the Contractor at a contract price of Ugx. 85,165,108/= and BEB date was on 06th Oct 2022.

Busi 507/Wrks/22-23/00225.
Construction of a 3 Stance lined pit latrine at Bulondani was awarded to M/s Raph Constructors and Consultants Ltd as the Contractor at a contract price of Ugx. 10,800,000/= and BEB date was on 11th Jan 2022.

21	LG shares information with citizens	b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0	The National Performance Results for Busia for 2022 were posted on the District notice board ; on 15th September 2023 by the District Communication Officer	2
	Maximum 6 points on this Performance Measure			

The LG also displayed the implications of the assessment on the District notice board. Nationally, Busia was ranked 111th and as a result of their poor performance there was a deduction of UGX 158,000,000 on development grants. The worst performing sector was production which registered 0%. The best performing sector was Health with a score of 90%

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>There was evidence that LG conducted discussions with the public to provide feedback on status of activity implementation through;</p> <p>Radio talk show held on 16th June, 2023 on Jogoo FM discussing TB and Malaria and the main discussants were the TB focal person, Deputy speaker, LP focal person, communication officer</p>	1
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>Information on Tax rates, collection procedure and procedures for appeal was displayed on the public notice boards on 29th June, 2023 by the communications officer</p>	1
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>The LG did not provide document evidence in regard to IGG issues and this was highlighted during the exit</p>	0

**Educational
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>In 2020, 5,708 pupils sat for PLE and of these, 3,916 passed in divisions 1, 2 and 3. The pass rate was 69%.</p> <p>In 2022, 6,849 pupils sat for PLE and 6,256 passed in divisions 1, 2 and 3. The pass rate was 72%.</p> <p>There was an increase of 3% between the two school years.</p>	2
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>In 2020, 1,812 students sat for UCE and of these 717 passed in divisions 1, 2 and 3. The pass rate was 40%.</p> <p>In 2022, 2,072 students sat for UCE and of these 829 passed in divisions 1, 2 and 3. The pass rate was also 40%.</p> <p>There was no improvement between the two school years.</p>	0
2	<p>N23_Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> • By more than 5%, score 2 • Between 1 and 5%, score 1 • No Improvement, score 0 <p>NB: If the previous average score was 95% and above, Score 2 for any increase.</p>	<p>The average score in education LLG performance was 37% in 2022 and 62% in 2023. There was an increase of 24%.</p>	2

Investment
Performance: The LG
has managed
education projects as
per guidelines

Maximum 8 points on
this performance
measure

a) If the education development
grant has been used on eligible
activities as defined in the
sector guidelines: score 2; Else
score 0

In the FY 2022/2023, Busia DLG
received UGX 860,420,000 as UGIFT
grant. All of it was used in
construction of Sikuda Seed
Secondary School.

It also received UGX 415,085,000 as
SFG and this was utilized as follows;

1. Construction of classroom blocks at
Bulengi P/S, Sichumbire P/S and
Buwanda P/S all at UGX 285,000,000

2. Renovation of classrooms at
Buhumwa P/S, Mawero P/S, Mbehenyi
P/S, Munyadeti P/S, and Busiabala P/S
all at UGX 77,112,000

3. Completion of unfinished works for
2021/2022 at Nasweswe P/S,
Namungodi P/S and Nanyoni
Sitamboko P/S all at UGX 15,000,000

4. Supply of Desks to Bulecha P/S,
Busiabala P/S, and Busitema P/S UGX
15,000,000

5. And investment servicing at UGX
21,972,000.

All the education grants were spent on
eligible expenditures.

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0

The DEO, Environment officer and CDO certified works before payments were made as indicated below;

1. Voucher NO. 6439501 dated 28th June 2023 amount Ugx 14,483,320 to M/s Mukhuuwa Divine services Ltd for renovation of classroom block at Mawero P/s, the contractor raised request for payment on 08th/5/2023, certificate signed by the DEO, DE, Environment Officer and CDO on 22nd/05/2023 and payment effected on 28th /06/2023

2. Voucher NO. 3751233 dated 20th February 2023 amount Ugx 40,991,037 to M/s Nassi Construction Ltd for construction of classroom block at Buwanda P/S, the contractor raised request for payment on 11th /01/2023, certificate signed by the DEO, DE, Environment Officer and CDO on 11th/01/2023 and payment effected on 20th/02/2023

3, Voucher NO. 5888302 dated 15th June 2023 amount Ugx 26,392,134 to M/s Nafito General contractors Ltd for construction of classroom block at Sidimbire P/S, the contractor raised request for payment on 20th April 2023, certificate signed by the DEO, DE, Environment Officer and CDO on 2nd /5/2023 and payment effected on 15th/06/2023

3	Investment Performance: The LG has managed education projects as per guidelines	c) If the variations in the contract price are within +/-20% of the MoWT estimates score 2 or else score 0	The variations in the contract price and Engineer's estimates of the sampled projects were as follows: Variation = 100% ((Contract Price - Engineers Estimate)/Engineer's Estimate)) Busi 507/Wrks/22-23/00125. Construction of a 2 Classroom block at Buwanda P/s was budgeted at UGX 95,000,000/=, actual contract price was UGX 94,809,258/= with a variation of UGX 190,742/= represented by - 0.20%. Busi 507/Wrks/22-23/00225. Construction of a 2 Classroom block at Bulengi was budgeted at UGX 95,000,000/=, actual contract price was UGX 85,165,108/= with a variation of UGX 9,834,892/= represented by 10.35%. Busi 507/Wrks/22-23/00221. Construction of a 3 Stance lined pit latrine at Bulondani was budgeted at UGX 11,000,000/=, actual contract price was UGX 10,800,000/= with a variation of UGX 200,000/= represented by 1.8 %.	2
	Maximum 8 points on this performance measure		In conclusion,	
			The variations were within the range of +/- 20% provided in the manual.	
3	Investment Performance: The LG has managed education projects as per guidelines	d) Evidence that education projects (Seed Secondary Schools)were completed as per the work plan in the previous FY • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0	From the Clerk of works Progress report as of June 2023, the Construction of Sikuda Seed Secondary Schools progress status was 45%.	0
	Maximum 8 points on this performance measure			
4	Achievement of standards: The LG has met prescribed school staffing and infrastructure standards	a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines • If 100%: score 3 • If 80 - 99%: score 2 • If 70 - 79% score: 1 • Below 70% score 0	The LG had recruited primary school teachers as per the prescribed MoES staffing guidelines. The LG had a ceiling of 1,145 teachers and filled 1,279. $1279/1145 * 100 = 111.7\%$	3
	Maximum 6 points on this performance measure			

Achievement of standards: The LG has met prescribed school staffing and infrastructure standards

Maximum 6 points on this performance measure

b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,

- If above 70% and above score: 3
- If between 60 - 69%, score: 2
- If between 50 - 59%, score: 1
- Below 50 score: 0

Busia LG had 117 primary schools and 13 secondary schools giving a total of 130 government schools;

The consolidated assets register for the Education Department for the Financial Year 2022/2023 had the following assets; 1,546 classrooms, 1,020 latrine stances, 18,250 desks, 68 laboratories, and 1,040 staff houses for the 117 Primary Schools, and 223 classrooms, 224 latrine stances, 3,384 desks, 41 laboratories, and 98 staff houses for the 13 Secondary Schools.

According to calculations that were done by the Inspector of Schools and reported in the inspection reports, 122 of the 130 government schools met the basic requirements and minimum standards set out in the DES guidelines, which amounted to 94%.

Performance Reporting and Performance Improvement

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

a) Evidence that the LG has accurately reported on teachers and where they are deployed.

- If the accuracy of information is 100% score 2
- Else score: 0

The LG accurately reported on all teachers and where they were teaching as per the staff lists provided by the DEO. For example for the three sampled schools, the staff lists at the Education Department had the following;

1. Busitema Primary School had 9 teachers; 4 male and 5 female.
2. Nangulu Primary Schools had 13 teachers; 6 male and 7 female.
3. And Tiira Primary School had 14 teachers; 9 male and 5 female.

The list and names of teachers at the education department for the three schools above were found to be accurate with the teachers deployed in the three schools, evidenced by the staff lists in their respective head teacher's offices and the staff attendance registers.

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.

- If the accuracy of information is 100% score 2
- Else score: 0

The consolidated Assets register for the Education Department for the Financial Year 2022/2023 dated 2nd March 2023 had the following assets; 1,546 classrooms, 1,020 latrine stances, 18,250 desks, 68 laboratories, and 1,040 staff houses for the 117 primary schools and 223 classrooms, 224 latrine stances, 3,384 desks, 41 laboratories, and 98 staff houses for the 13 secondary schools. For the sampled schools, it had the following;

1. Busitema Primary School; 8 classrooms, 129 desks, 9 latrine stances, 9 tables and 8 chairs among others.

2. Nangulu Primary school; 10 classrooms, 22 latrine stances, 171 desks, 2 staff houses, 8 chairs and 14 desks.

3. And Tiira Primary School; 17 classrooms, 14 latrine stances, 247 desks, and 13 chairs.

The assets recorded in the consolidated assets register were found to be consistent with the assets that were in the above sampled schools.

School compliance and performance improvement:

Maximum 12 points on this performance measure

a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:

- If 100% school submission to LG, score: 4
- Between 80 – 99% score: 2
- Below 80% score 0

There was evidence that all the Primary schools in the District Prepared their Annual Workplans and Budgets signed by Headteachers and Chairpersons SMC. For example for the sampled schools;

1. Nangulu Primary school had its Annul Budget in place signed by the Head teacher and Chair Person SMC on 30th Aug. 2023.

2. Tiira Primary School had their Annual Budget signed by the Head teacher and Chair Person SMC on 21st Aug. 2023.

3. And Busitema Primary School had their Annual Budget in place signed by the Headteacher and Chair Person SMC.

However, the dates for submission of these Reports and Budgets to the DEO were not registered to assess whether these had been submitted within the timeline.

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> • If 50% score: 4 • Between 30- 49% score: 2 • Below 30% score 0 	<p>There was evidence that all the Primary schools were supported to prepare and implement School Improvement Plans. This was done in a training workshop for all headteachers and their deputies on Capacity Building in Managerial Skills held on 30th June. 2022. Among the topic handled were; performance management for public servants, performance reports/assessment and appraisals, development of school improvement plans, and management of human resource at school among others.</p>	4
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 - 99% score 2 • Below 90% score 0 	<p>The LG compiled a list of all schools and their enrolment (EMIS) and submitted them to MoES on 18th November 2022. In the data submitted, there were a total of 85,739 pupils in for the 117 UPE Primary Schools and 9,830 students in 13 USE Secondary Schools</p> <p>Also the list of schools submitted was consistent with the list of schools in the performance contract.</p>	4

Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>The Education Department had a wage budget of UGX 9,255,151,638 for the FY 2023/2024. This was to cater for the 1,340 teachers including the 117 head teachers.</p>	4
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Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

Maximum 8 points on this performance measure

b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,

Score 3 else score: 0

From the staffing list obtained from the Education Department, it was observed that all schools had a headteacher and a minimum of 7 teachers as per the staffing guidelines which required that each school had a head teacher and a minimum of 7 teachers for schools going up to P7 and a teacher per class.

From the sample schools;

1. Busitema Primary School had a head teacher and 8 teachers.
2. Nangulu Primary School had a head teacher and 12 teachers.
3. And Tiira Primary School had a head teacher and 13 teachers.

3

7

Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

Maximum 8 points on this performance measure

c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,

score: 1 else, score: 0

The Education Department displayed on the LG Notice Board the staff deployment data for all the primary schools as was required. Also in the sampled schools which included Busitema P/S, Nangulu P/S and Tiira P/S, the deployment data was displayed in the Headteacher's offices.

1

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copy to DEO/MEO

Score: 2 or else, score: 0

Although there was evidence that primary school headteachers had been appraised and submitted a copy of appraisal reports to the HRM in the FY 2022/2023, the appraisal was done outside the set timelines as indicated below;

1. Munji Fredrick head teacher Bwaniha Baptist was appraised by Nasinyama Peter on 24th/8/2023.
2. Etyang James head teacher Nangwe Parents Primary School was appraised on 21st/12/2022 by Ouma Chadila SAS Dubani S/C.
3. Ajambo Anne head teacher Budecho Primary School was appraised by Ouma Chadila SAS Dubani S/C on 20th/12/2022.
4. Alowo Ctherine head teacher Bukobe Maboka Primary School was appraised on 20th/8/2023 by Aguma Nelson SAS Lumino S/C.
5. Barasa James head teacher Magale Primary School was appraised on 11th/01/2023 by Ebu John Mike SAS Masaba S/C.
6. Ogile Olaro Gerald head teacher Ajuket Primary School was appraised by Egesa W. W. Titus T/C Tiira T/C on 6th/12/2022.
7. Were Eric Sabati head teacher Amonikakinet Primary School was appraised by Ouma C. Fred on 22nd/12/2022.
8. Nabwire Maxecensia head teacher Butacho Primary School was appraised on 23/02/2023 by Ebu John Mike SAS Masaba S/C.
9. Omukaga Christopher head teacher Mawero Isamic School was appraised by Ouma Fred SAS Buteba S/C on 15th December, 2022.
10. Nyakecho Florence head teacher Tiira Primary School was appraised by Egesa Titus on 1st July, 2023.

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM</p>	<p>There was no evidence about the appraisal reports of secondary school headteachers at the time of assessment.</p>	0
	<p>Maximum 8 points on this performance measure</p>	<p>Score: 2 or else, score: 0</p>		
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p>	<p>There was evidence that the staff in the education department had been appraised against their performance plans in the Financial Year 2022/2023.</p>	0
	<p>Maximum 8 points on this performance measure</p>	<p>score: 2. Else, score: 0</p>	<p>However, past the deadline of 30th/June.</p>	
			<p>1. Mr. Menya J. J. M. Kennedy Ag. Senior Inspector of schools was appraised Mwesigwa Harriet Ag. DEO on 14th/8 /2023.</p>	
			<p>2. Barasa Gamaliel Gabriel a Senior Education Officer was appraised by Mwesigwa Harriet Ag. DEO on 14th/8/2023.</p>	
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p>	<p>The Department prepared a training Plan for 2022/2023 to address Capacity gaps. This was signed by the DEO on 3rd March, 2023. Some of the issues therein were;</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>score: 2 Else, score: 0</p>	<ul style="list-style-type: none"> • Inducting members of SMC and BOG. • Training Headteachers on School Improvement Plans. • Training Senior Women and Senior Men teachers on their roles among others. 	

Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p>	<p>The LG wrote to the Ministry of Education and Sports on 18th November 2022, confirming the lists of UPE and USE schools and their enrollment data which included a total of 85,739 for UPE and 9,830 for USE.</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score:2 or else, score: 0</p>		
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p>	<p>The Department received an Inspection grant of UGX 66,488,000 and this was allocated as follows;</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score:2 else, score: 0</p>	<p>1. UGX 17,800,000 was allocated towards the DEO's monitoring 2. And UGX 48,688,000 was allocated to the inspectorate.</p>	
			<p>This was in line with sector guidelines (page 12 of the guidelines) which call for a minimum allocation of UGX 4 million per LG, plus UGX 336,000 (6 inspections at UGX 56,000) per school for the 3 terms</p>	
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p>	<p>The LG did not provide documentary evidence in regard to capitation grant warrants at the time of assessment</p>	0
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score: 2 else score: 0</p>		

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p> <p>If 100% compliance, score: 2 else, score: 0</p>	<p>There was evidence that the DEO communicated UPE Capitation Release to all schools within the required time frame as follows;</p> <p>Quarter 1 was communicated on 7th. September, 2022.</p> <p>Quarter 2 was communicated on 4th November, 2022.</p> <p>Quarter 3 was communicated on 4th February, 2023.</p> <p>Quarter 4 was communicated on 19th May, 2023.</p> <p>However, the dates when invoicing of the UPE capitations were done were not provided for assessment.</p>	0
10	<p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <ul style="list-style-type: none"> • If 100% compliance, score: 2, else score: 0 	<p>There was evidence that the LG Education Department prepared inspection plans for Term 3 of 2022. Term 1 of 2023 and Teem 2 of 2023 (all not dated).</p> <p>They also held three planning meetings for term 3 2022 was held on 16th September, 2022. The one for term one of 2023 was on 28th February, 2023 and the one for term 2 2023 was held on 1st June, 2023.</p>	2
10	<p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> • If 100% score: 2 • Between 80 - 99% score 1 • Below 80%: score 0 	<p>The Department of Education inspected and monitored schools as follows;</p> <ol style="list-style-type: none"> 1. Term 3 of 2022 had 117 schools inspected hence 100% 2.Term 1 of 2023 had 117 schools inspected hence 100% 3. Term 2 of 2023 had 117 schools inspected hence 100% <p>Therefore, (100%) all schools were inspected.</p>	2
10	<p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>No evidence was provided, to the termly school inspection and monitoring reports had been discussed at department level.</p>	0

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>The Department presented inspection and monitoring reports to DES for term 1 and term 2 of 2023 on 11th August, 2023. Evidence of submission of the inspection and monitoring report for term III 2022 was not provided for assessment.</p>	0
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>The Committee responsible for education, Health and community based services sat and discussed service delivery issues as indicated below;</p> <p>Q1 meeting held on 14th September 2022 under minute 4/SSC/14/9/2022 and discussed the following key issues, staffing gaps for head teachers and education assistants</p> <p>Q2 meeting held on 15th December 2022 under minute 04/SSC/15/12/2022 and discussed the following key issues coding of community , relocation of Alupe P/S due to gold mining activities, recruitment of head teachers</p> <p>Q3 meeting held on 13th February 2023 under minute 5/SSC/13/2/2023 and discussed the following key issues ; handover of finished projects, secondment of staff in special needs office, replacement of staff by recruitment, recommended internal promotions to motivate staff</p> <p>Q4 meeting held on 17th May 2023 under minute 4/SSC/17/5/2023 and discussed the following key issues; low staffing levels affecting inspection of schools, delay in relocation of Aupe P/S</p>	2

11	Mobilization of parents to attract learners	Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,	The Education Department conducted activities to mobilise, attract, and retain children in school via radio talk shows which were conducted as follows;	2
	<i>Maximum 2 points on this performance measure</i>	<i>score: 2 or else score: 0</i>		

1. On 17th May, the DEO, DCDO and the DIS held a radio talk show on Jogo FM and the topics of discussion were; causes of teenage pregnancy, parenting, equal opportunities for boys and girls and dangers of gender based violence.

2. On 12th June 2023, the DEO, DCDO and one of the head teachers held a radio talk show on Jogo FM and the topic of discussion were; meaning, causes and ways of mitigating school drop out in the communities of Busia District.

Investment Management

12	Planning and budgeting for investments	a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i>	The Education Department had an updated assets register which had the following assets; 1,546 classrooms, 1,020 latrine stances, 18,250 desks, 68 laboratories, and 1,040 staff houses for the 117 primary schools and 223 classrooms, 224 latrine stances, 3,384 desks, 41 laboratories, and 98 staff houses for the 13 secondary schools. For the sampled schools, it had the following;	2
	<i>Maximum 4 points on this performance measure</i>			

1. Busitema Primary School; 8 classrooms, 129 desks, 9 latrine stances, 9 tables and 8 chairs among others.

2. Nangulu Primary school; 10 classrooms, 22 latrine stances, 171 desks, 2 staff houses, 8 chairs and 14 desks.

3. And Tiira Primary School; 17 classrooms, 14 latrine stances, 247 desks, and 13 chairs.

The assets recorded in the consolidated assets register were found to be consistent with the assets that were in the above sampled schools.

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i></p>	<p>There was evidence to show that LG carried out desk appraisals for Education sector projects as is per report on desk appraisals dated 26th January, 2023. the projects included; Construction and finishing of 2-classroom blocks at Primary schools, construction of 4- stance pit-latrines with urinals at various primary schools</p> <p>All the listed projects were derived from the LGDP page 281 and 282</p>	1
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, <i>score 1 else score: 0</i></p>	<p>Field appraisals for the Education sector projects were conducted as per the field appraisal report dated 18th April, 2022.the projects included; Construction and finishing of 2-classroom blocks at Primary schools, construction of 4- stance pit-latrines with urinals at various primary schools</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p>	<p>The LG Education department did not have funds for a Seed Secondary School and therefore; they never incorporated any in the approved Procurement Plan.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>There was evidence of the school infrastructure that were approved by the Contracts Committee and cleared by the Solicitor General;</p> <p>Ref: MoES – UGIFT/wrks/2021-2022/00005</p> <p>Construction of Sikuda Seed Secondary School. Approval of the evaluation report by the Contracts Committee was on 23rd February, 2022 under Min: DCC/05/2022. The Solicitor General’s clearance was on 08th August, 2022.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>The LG did not appoint a project implementation for infrastructure projects of FY 2022/2023</p>	0

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p>	<p>There evidence that the school infrastructure followed the standard technical designs provided by the Mo ES</p> <p>For the few sampled areas;</p> <p>The roofing iron sheets were gauge 26 as required by the design</p> <p>The classroom dimensions were 8810x6970mm as in the design.</p> <p>Main Entrance doors were 1200x2400mm</p> <p>Windows on the classroom block were 1200x1500mm as shown on the MoES designs.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY</p> <p><i>score: 1, else score: 0</i></p>	<p>There was evidence of site meetings conducted monthly. Meetings dated 13th January, 2023, 07th February, 2023, 23rd February. 2023, 21st March, 2023 etc.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted</p> <p><i>score: 1, else score: 0</i></p>	<p>There were some reports evidenced but they were not monthly as required. Supervision Report dated 28th April, 2023 for Buwanda P/S and 14th March, 2023 for Bulengi were seen on file.</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>The LG made payments to contractors within the time frame as per the sampled vouchers indicated below;</p> <p>Voucher NO. 6439501 dated 28th June 2023 amount UGX 14,483,320 to M/s Mukhuuwa Divine services Ltd for renovation of classroom block at Mawero P/S, the contractor raised request for payment on 08th/5/2023, certificate signed by the DEO, DE, Environment Officer and CDO on 22nd/05/2023 and payment effected on 28th /06/2023 after 29 working days</p> <p>Voucher NO. 3751233 dated 20th February 2023 amount UGX 40,991,037 to M/s Nassi Construction Ltd for construction of classroom block at Buwanda P/S, the contractor raised request for payment on 11th /01/2023, certificate signed by the DEO, DE, Environment Officer and CDO on 11th/01/2023 and payment effected on 20th/02/2023 after 25 working days</p> <p>Voucher NO. 5888302 dated 15th June 2023 amount UGX 26,392,134 to M/s Nafito General contractors Ltd for construction of classroom block at Sidimbire P/S, the contractor raised request for payment on 20th April 2023, certificate signed by the DEO, DE, Environment Officer and CDO on 2nd /05/2023 and payment effected on 15th/06/2023 after 27 working days</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i></p>	<p>The LG Education department submitted its Procurement Plan beyond timeline on 17th August, 2022.</p>	0

13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law score 1 or else score 0	There was evidence that the LG has a complete procurement file for each school Infrastructure contract with all records as required by the PPDA MoES – UGIFT/wrks/2021-2022/00005 Construction of Sikuda Seed Secondary School. Approval of the evaluation report Under Min:DCC/05/2022 of the Contracts Committee that sat on 23rd Feb 2022. The Contract was awarded to M/s Kranima Eco Solutions Ltd and contract signed on 14th Oct 2022.	1
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Environment and Social Safeguards

14	Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework. <i>Maximum 3 points on this performance measure</i>	Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0	There were various Grievances/complaints from the construction of Sikuda seed Secondary School which were not registered/ recorded by the Grievance focal Person nor responded to by the Central Grievance Redress Committee and other sub-committeescommittees. These complaints were reported by the site workers in presence of the contractor’s site manager and the Contractor’s Quantitative Surveyor to the Assessor during the site visit. after gathering all the site workers in one place. The complaints included; - Poor feeding yet the money for food was being deducted. - Few plates and no cups for drinking water. - Low pay of workers - None payment of workers and after accumulation of their wages, they just chase away the affected worker. There was evidence of a former security personnel who on realising there was a team that had come to inspect, also came and complained of none payment of 4 month amounting UGX. 1,200,000/= after was also chased from site in the first phase of construction FY 2022/2023.	0
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15	Safeguards for service delivery. <i>Maximum 3 points on this performance measure</i>	Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation <i>Score: 3, or else score: 0</i>	The Education Guidelines on environmental main streaming in schools were disseminated by the District Education Officer on 2nd July 2023. The issues therein included Waste Management, Energy Conservation, and Water Conservation Greening Schools among others.	3
16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i>	The ESMPs for the Education projects implemented in the previous FY 2022/2023 were not incorporated in their respective BoQs because they were prepared late (February) after contract award (December) so there was no possibility of incorporating the costed ESMPs in contract documents after Bidding process was done.	0
16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i>	There was no evidence of any form of proof of land ownership for Sidimbere, Bulengi and Buwanda Primary Schools availed by assessment time.	0
16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i>	There were no monthly monitoring reports for the Education Projects implemented in FY 2022/2023. There was only one combined report for all education projects dated 26/06/2023.	0
16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments <i>Score: 1, else score:0</i>	There were no Environment and Social Compliance Certificates for the Education projects.	0

**Health
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries).</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>The LG did not register a 20% higher population accessing health care services.</p> <p>The sampled health facilities had the following deliveries</p> <p>FY 2021-2022</p> <p>Lumino HC III - 988</p> <p>Sikuda HC III 475</p> <p>Busitema HC III 1023</p> <p>TOTAL 2486</p> <p>FY 2022-2023</p> <p>Lumino HC III - 963</p> <p>Sikuda HC III 523</p> <p>Busitema HC III 941</p> <p>TOTAL 2428</p> <p>%age change = (2428 - 2486)/2486 *100%</p> <p>= - 2.3%</p>	0
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> • 70% and above, score 2 • 50% - 69%, score 1 • Below 50%, score 0 	<p>The average score in Health for LLG performance assessment for 2022 was 57% and 2023 was 69%</p>	1
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> • 75% and above; score 2 • 65 - 74%; score 1 • Below 65; score 0 	<p>There was a change in MoH framework to mainstream RBF into PHC so this indicator was not applicable for this year's assessment</p>	0

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.

The development budget for the Health Department was UGX 3,617,472,314 and spent all the amount as follows

1. Upgrade of Sikuda HC III UGX 236,300,003
2. Upgrade of Bumunji HC III UGX 236,300,003
3. Upgrade of Buwumba HC III UGX 160,291,000
4. Construction of Maternity at Buteba UGX 97,294,599
5. Renovation of Namungodi HC III UGX 29,999,999
6. Supply of Medical Equipment UGX 401,263,770
7. Staff house at Ssikuda HC III UGX 138,663,254
8. Staff house at Bumanji HC III UGX 152,775,081
9. 2- stance pit latrine at Buwembe HC II UGX 15,705,000
10. Retention on staff houses UGXx 22,179,605

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0

The DHO, Environment officer and CDO certified works before payments were made as indicated below;

1. Voucher NO. 6421643 dated 28th June 2023 of amount UGX 12,432,805 to M/s Nakunda Merkunder Holdings Ltd for construction of staff house at Buwembe HC III. The DHO, District Engineer, Environmental Officer and CDO all certified on 25th/01/2023 and payment effected on 28th /06/2023

2. Voucher NO. 5861535 dated 15th June 2023 of amount UGX 97,294,599 to M/s Lit General Agencies for construction of maternity ward at Buteba HC III, the contractor raised request for payment on 27th/3/2023. The DHO, District Engineer, Environment Officer and CDO all certified works on 26th/04/2023 and payment effected on 15th June 2023.

3. Voucher NO. 6421643 dated 28th June 2023 of amount UGX 73,922,271 to M/s Merkunder Holdings Ltd for construction of staff house at Bumunji HC III. The DHO, District Engineer, Environment Officer and CDO on 2nd/06/2023 and payment effected on 28th/06/2023.

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0</p>	<p>The variations in the contract price and Engineer's estimates of the sampled projects were as follows:</p> <p>Variation = $100\% \left(\frac{\text{Contract Price} - \text{Engineer's Estimate}}{\text{Engineer's Estimate}} \right)$</p> <p>Busi 507/wrks/22-23/00425 Construction of a 2 in 1 staff House at Sikuda HCIII was budgeted at Ugx 161,500,000/= actual contract price was UGX 148,026,347/= with a variation of Ugx13,473,653/= represented by 8.34%</p> <p>Busi 507/wrks/22-23/00525 Construction of a 2 in 1 staff House at Bumungi HCIII was budgeted at Ugx 161,500,000/= actual contract price was Ugx 160,815,874/= with a variation of 684,126/= represented by 0.42%</p> <p>Busi 507/wrks/22-23/00525 Renovation of Namungodi HC II was budgeted at Ugx 30,000,000/= actual contract price was Ugx 30,000,000/= with a variation of 0/= represented by 0%</p> <p>In conclusion,</p> <p>The variations were within the range of +/- 20% provided in the manual.</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY</p> <ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 	<p>There was no any new Construction of HC III or HC II that was upgraded to HC III</p>	2
4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	<p>The LG had recruited staff of all HC III's as per staffing structure. The District had 10 HC III's with a ceiling of 225 and filled 150 that was,</p> <p>$150/225 * 100 = 66.6\%$</p> <p>Which was below 75%.</p>	0

4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> • If 100 % score 2 or else score 0 	<p>There was no new HCIII or upgrade.</p>	2
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Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>As per health staff lists obtained from DHOs office, the information was accurate for the sampled health facilities as detailed below.</p> <p>Lumino HCIII had 14 staff on the DHO's deployment; this was similar to the facility list.</p> <p>Sikuda HCIII had 8 staff on the deployment list and at the facility the same number of staff were displayed.</p> <p>Busitema HCIII had 11 staff on the deployment list and the same staff were found on the facility list which was pinned on the notice board.</p>	2
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5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>There was no facility upgraded or constructed in the Financial Year 2022/2023.</p>	2
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The health facilities submitted Annual work plans of FY 2023/24 to the DHO timely as stated below</p> <ol style="list-style-type: none"> 1. Lumino HC III submitted on 14th/3/2023 2. Sikuda HC III submitted on 15th/3/2023 3. Busitema HC III submitted on 10th/3/2023 	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The sampled health facilities submitted their Annual Budget Performance Reports as follows;</p> <ol style="list-style-type: none"> 1. Lumino HC III submitted on 13th/7/2023 signed by Okotch Vincent Wafula Chairperson HUMC 2. Sikuda HC III submitted on 10th/7/2023 signed by Otiya Amuku Francis Chairperson HUMC 3. Busitema HC III submitted on 13th/7/2023 signed by Chairman HUMC 	2

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>Health facilities developed facility improvement plans for FY23/24 as detailed below.</p> <ol style="list-style-type: none"> 1. Lumino HC III developed a Facility improvement plan dated 30th/6/2023. There was an issue of low immunisation in measles and the follow up reports showed that this was done 2. Sikuda HC III developed a Facility improvement plan dated 10th/7/2023. There was an issue of knowledge gap in TB and the follow up report showed that mentorship had been done. 3. Busitema HC III developed Facility improvement plan for dated 10th/3/2023. There was an issue of incomplete documentation and the plan was to build capacity of the health worker. Follow up report showed that ANC 1 timing indicator had improved. 	2
<p>Maximum 14 points on this performance measure</p>	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,</p> <ul style="list-style-type: none"> • score 2 or else score 0 	<p>Health facilities submitted monthly HMIS 105 reports as detailed below. Some reports were not submitted on time.</p> <p>July 2022</p> <p>Lumino HC III on 7th/8/2022</p> <p>Busitema HC III on 6th/8/2022</p> <p>Sikuda HC III on 3rd/8/2022</p> <p>August 2022</p> <p>Lumino HC III on 6th/9/2022</p> <p>Busitema HC III on 4th/9/2022</p> <p>Sikuda HC III on 5th/9/2022</p> <p>September 2022</p> <p>Lumino HC III on 5th/10/2022</p> <p>Busitema HC III on 7/10/2022</p> <p>Sikuda HC III on 3/10/2022</p> <p>October 2022</p> <p>Lumino HC III on 7/11/2022</p> <p>Busitema HC III on 7/11/2022</p> <p>Sikuda HCIII - 7/11/2022</p> <p>Novbember 2022</p> <p>Lumino HCIII on 7/12/2022</p>	2
<p>Maximum 14 points on this performance measure</p>				

Busitema HCIII on 7/12/2022

Sikuda HCIII on 7/12/2022

December 2022

Lumino HC III on 7/1/2023

Busitema HC III on 7/1/2023

Sikuda HC III on 7th/1/2023

January 2023

Lumino HC III on 5th/2/2023

Busitema HC III on 7th/2/2023

Sikuda HC III on 5th/2/2023

February 2023

Lumino HC III on 7th/3/2023

Busitema HC III on 7th/3/2023

Sikuda HC III on 6th/3/2023

March 2023

Lumino HC III on 6th/4/2023

Busitema HC III on 5th/4/2023

Sikuda HC III on 4th/4/2023

April 2023

Lumino HC III on 7th/5/2023

Busitema HC III on 6th/5/2023

Sikuda HC III on 5th/5/2023

May 2023

Lumino HC III on 6th/6/2023

Busitema HC III on 7th/6/2023

Sikuda HCIII on 5th/6/2023

June 2023

Lumino HC III on 5th/7/2023

Busitema HC III on 6th/7/2023

Sikuda HC III on 6th/7/2023

Quarterly 106

July-Sept 2022

Lumino HC III on 6th/10/2022

Busitema HC III - 7th/10/2022

Sikuda HC II on Not ART site so not applicable

Oct-Dec 2022

Lumino HC III on 7th/11/2022

Busitema HC III on 6th/11/2022

Sikuda HC II - Not ART site so not applicable

Jan-March 2023

Lumino HC III on 4th/4/2023

Busitema HC III on 7th/4/2023

Sikuda HC II on Not ART site so not applicable

April-June 2023

Lumino HC III on 6th/7/2023

Busitema HCIII on 7th/7/2023

Sikuda HC II - Not ART site so not applicable

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0

Note: Municipalities submit to districts

There was a change in MoH framework to mainstream RBF into PHC so this indicator was not applicable

0

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0

There was a change in MoH framework to mainstream RBF into PHC so this indicator is not applicable for this year's assessment There was a change in MoH framework to mainstream RBF into PHC so this indicator was not applicable

0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>The Department of Health submitted its quarterly budget performance reports as follows;</p> <ol style="list-style-type: none"> 1. The report for quarter 1 was submitted on 23rd October 2022 2. The quarter 2 report was submitted on 31st January 2023 3. The quarter 3 report on 10th May 2023 4. And quarter 4 report on 23rd August 2023. 	0
	<p>Maximum 14 points on this performance measure</p>		<p>The quarterly budget performance report for quarter 4 was submitted past the timeline.</p>	
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>h) Evidence that the LG has:</p> <ol style="list-style-type: none"> i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0 	<p>The LG developed a PIP for the lowest performing facilities dated 29th/7/2022 and the lowest performing facility were Buhehe, Mubehenyi, Sibona, Bulumbi</p>	1
	<p>Maximum 14 points on this performance measure</p>			
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<ol style="list-style-type: none"> ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0 	<p>The LG implemented the PIP detailed in a follow up report dated 8/7/23 showing resolution of an issue of low immunisation in measles and the follow up reports showed that this was done</p>	1
	<p>Maximum 14 points on this performance measure</p>			

Human Resource Management and Development

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>The LG budgeted UGX 6,689,887,000 for the FY 2023/2024 for 396 staff as detailed in the annual budget on page 33 of the PBS annual budget for FY 2023/2024</p>	2
	<p>Maximum 9 points on this performance measure</p>			
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>According to the deployment staff lists, the staffing level was at 75.2%. The LG had 396 staff positions filled out of the required 526.</p>	2
	<p>Maximum 9 points on this performance measure</p>			
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>Health workers were working where they were deployed as detailed below for the 3 sampled health facilities</p> <ol style="list-style-type: none"> 1. Lumino HC III had 14 staff on the DHO's deployment list and 10 staff could be found on the facility attendance register. 2 staff were on study leave and 2 staff were on annual leave for the month of December 2023. 2. Busitema HC III had 11 staff on the DHO's deployment list and 11 staff could be found on the facility attendance register. 3. Sikuda HC III had 8 staff on the DHO's deployment list and 8 staff could be found on the facility attendance register. 	3
	<p>Maximum 9 points on this performance measure</p>			

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0</p>	<p>The Local Government Publicised health workers on the facility notice boards. From the 3 sampled health facilities.</p> <ol style="list-style-type: none"> 1. Lumino HC III had 14 staff on the DHO's deployment this this was publicised on the facility notice 2. Sikuda HC III had 8 staff on the deployment list and this was publicised on the facility notice 3. Busitema HC III had 11 staff on the deployment list and this was publicised on the facility notice 	2
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the DHO/MMOHs has:</p> <ol style="list-style-type: none"> i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0 	<p>There was no evidence of appraisal reports of Health Facility In-charges against the agreed performance plans during the FY 2022/2023.</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<ol style="list-style-type: none"> ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0 	<p>There was no evidence to show that the Health Facility In-charges had conducted an appraisal of all health facility workers in the FY 2022/2023.</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<ol style="list-style-type: none"> iii. Taken corrective actions based on the appraisal reports, score 2 or else 0 	<p>There was no evidence about the corrective actions taken based on the appraisal reports.</p>	0

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p>	<p>The LG conducted training as per the district training plan dated 15th/7/2022 approved by the DHO.</p> <p>A training report on TOT in MNCH new guidelines on safe motherhood was conducted dated 3rd - 5th/5/23 in accordance with the training plan.</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>An MS excel database was available detailing Dr Mathias as having attended the New 2022 HIV treatment guideline training</p>	1

Management, Monitoring and Supervision of Services.

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>No documentation was provided during assessment.</p>	0
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>As per the schedule from DHO' s office the LG allocated UGX 66,994,180 towards monitoring of service delivery and management out of the total PHC non-wage recurrent budget of UGX 3,750,000. This amounted to 5.6%. against a minimum requirement of 15%</p>	0

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>	<p>The LG did not provide documentary evidence at the time of assessment</p>	0
	<p>Maximum 9 points on this performance measure</p>			
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0</p>	<p>The LG did not provide documentary evidence at the time of assessment</p>	0
	<p>Maximum 9 points on this performance measure</p>			
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>The LG did not provide documentary evidence at the time of assessment</p>	0
	<p>Maximum 9 points on this performance measure</p>			
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>There was evidence that the LG health department implemented recommendations from DHMT as detailed below</p>	2
	<p>Maximum 7 points on this performance measure</p>		<p>In a Q2 FY22/23 - DHMT meeting held on 19th/12/2022 had an issue of low TB case identification and a progress report in Q3 meeting held on 31st/3/23 under section on review of previous meeting showed that TB case identification had improved.</p>	

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0

In-charges, LG departments and IPs were in attendance of quarterly performance reviews and detailed below.

FY 2022/23 Q1 - Performance review meeting held on 13th/10/22 had in charges in attendance and IPs in attendance were Baylor. The PAS, DISO, RDC and sec Health were present for other departments.

FY 2022/2023 Q2 - Performance review meeting held on 28th/2/23 had in charges in attendance and IPs in attendance were Baylor. The Auditor was present for other departments.

FY 2022/2023 Q3 - Performance review meeting held on 19th/5/23 had in charges were in attendance and IPs in attendance were Baylor, CDFU, TASO. The Planner and Internal Auditor were present for other departments.

FY 2022/2023 Q4 - Performance review meeting held on 24/8/23 had in charges in attendance and IPs in attendance were Baylor, CDFU. The Auditor and Sectoral committee members were present for other departments

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>Masafu Hospital, Dabani Hospital and Busia HC IV were supervised as detailed below as per the support supervision reports.</p> <p>FY 2022/23 Q1 Masafu Hospital supervised on 5th/9/2022; Dabani Hospital supervised on 13th/9/23and Busia HC IV supervised on 5/9/22.</p> <p>FY 2022/23 Q2 Masafu Hospital supervised on 5th/12/22; Dabani Hospital supervised on 9th/12/23and Busia HC IV supervised on 5th/12/23.</p> <p>FY 2022/23 Q3 Masafu Hospital supervised on 10th/3/23; Dabani Hospital supervised on 15th/3/23 and Busia HC IV supervised on 10th/3/23.</p> <p>FY 2022/23 Q4 Masafu Hospital supervised on 20th/6/23; Dabani Hospital supervised on 29th/6/23and Busia HC IV supervised on 20th/6/23.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <p>• If not applicable, provide the score</p>	<p>The HSD carried out support supervision for sampled lower level health centres (Buwemba III, Bulumbi III, Busitema HCIII) as detailed below.</p> <p>FY 2022/2023 Q1 Buwemba was supervised on 10th/7/2022; Bulumbi was supervised on 13th/7/22and Busitema was supervised on 12th/7/2022.</p> <p>FY 2022/2023 Q2 Buwemba was supervised on 26th/12/2022; Bulumbi was supervised on 26th/12/2022and Busitema was supervised on 26th/12/2022.</p> <p>FY 2022/23 Q3 Buwemba was supervised on 26/3/23; Bulumbi was supervised on 28/3/23and Busitema was supervised on 25/3/23.</p> <p>FY 2022/23 Q4 Buwemba was supervised on 25/6/23; Bulumbi was supervised on 24/6/23and Busitema was supervised on 27/6/23.</p>	1

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>The LG made sure that recommendation given in support supervision were implemented as detailed by feedback reports below.</p> <p>Q2 FY 2022/2023 HSD Support supervision report dated 12/11/2022 detailed status of resolution and actions follow up in Buwumba HCIII where monthly meetings were not being held and the action recommended was for the facility to hold monthly meetings. This issue had been resolved according to the follow up report.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>The LG carried out medicines management supervision to facilities as detailed below.</p> <p>FY 2022/2023 Q1 MMS reports to the DHO dated 7/9/2022 to 30th/9/2022 detailed Busia HCIV, Masafu Hospital, Bulummbi, Buteba, Lumino, Mbehenyi, Buhehe, Lunyo, Buwemba, Busitema, Dabani Hospital having been supervised in EM SPARS and ART SPARS</p> <p>FY 2022/2023 Q3 MMS reports to the DHO dated 6/1/2023 to 29/1/2023 detailed Mbehenyi, Bulumbi, Majanji, Buteba, Buhehe, Lunyo, Lumino having been supervised in EM SPARS and ART SPARS</p>	1
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p>	<p>The LG allocated UGX 66,994,180 towards health promotion and prevention activities out of the total PHC non-wage recurrent budget of UGX 2,580,000. This amounted to 3.8 % against the minimum requirement of 30%</p>	0

11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0</p>	<p>The DHT led health promotion and prevention activities as detailed below.</p> <p>A DHE report to DHO dated 8/6/2023 on health promotion detailed support supervision done in Q3 for health facilities in Buweble, Nanayolo, Bulumbi. There was also a community dialogue done in Majanji as per the report dated 2/6/2023.</p>	1
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11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p>	<p>There was evidence of follow up detailed by the DHE's report to the DHO dated 28/3/2023 that showed that an issue raised of no community sensitization of condom use and HIV prevention. This issue was addressed by a community sensitisation drive.</p>	1
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Investment Management

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>The LG had an updated Asset register at the DHO's office which had the following equipment for the following sampled health facilities.</p> <ol style="list-style-type: none"> 1. Lumino HC III, the Asset register dated 28/9/22 had a weighing scale, Fetoscope and BP machine. 2. Busitema HC III the Asset register dated 22/9/22 had a weighing scale, thermometer and BP machine. 3. Sikuda HC III the Asset register dated 26/9/22 had a microscope, fetoscope, and weighing scale. <p>The equipment on the assets register above was found to be consistent with the equipment that were at the sampled health facilities.</p>	1
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12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDPIII); (ii) desk appraisal by the LG; and (iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG));</p>	<p>There was evidence to show that desk appraisals for health sector projects were conducted as per desk appraisal report dated 26th January, 2023; the projects included;</p>	1
	<p>Maximum 4 points on this performance measure</p>	<p>score 1 or else score 0</p>	<ol style="list-style-type: none"> 1. Cconstruction of staff house at Sikuda HC III 2. Construction of staff house at Bumundi HC III 3. Renovation of Namungodi HC III 4. Construction of maternity ward at Buteba HC III <p>Aall the listed projects were derived from LGDP page 195</p>	
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>There was evidence to show that field appraisals for health sector projects were conducted as per desk appraisal report dated 18th April, 2022; the projects included;</p>	1
	<p>Maximum 4 points on this performance measure</p>		<ol style="list-style-type: none"> 1. Cconstruction of staff house at Sikuda HC III 2. Construction of staff house at Bumundi HC III 3. Renovation of Namungodi HC III 4. Construction of maternity ward at Buteba HC III 	
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>There were no Environmental and Social screening reports for the current FY 2023/2024 Health projects.</p> <p>The SEO and DCDO had not screened for the current FY 2023/2024</p>	0
	<p>Maximum 4 points on this performance measure</p>			

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>The health department submitted its departmental Procurement plan to the PDU but it had no date of submission.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>The health department submitted their PP1 Forms to PDU by 1st Quarter of the FY 2023/24 that was on 12th June 2023. The projects included;</p> <ul style="list-style-type: none"> ● Completion of a maternity ward at Bulumbu HC III ● Renovation of District Medical Store ● Construction maternity ward at Buteba HC III Phase 3 	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>There was no Project that required clearance from Solicitor General because all were below the threshold of Ugx 200M.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG did not appoint a project implementation team for Construction projects of FY 2022/2023</p>	0

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There were no constructions of new HCiiis or Hciiis that were upgraded to HC IIIs during the FY under review. (Assessment procedure requires Construction of a new HCIII or any Upgrade)</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There were some reports seen on file but the reports were not consolidated from daily site activities. Reports dated 30th Jan 2023, 30th April 2023 and 31st May 2023 for Sikuda HC III.</p> <p>Report dated 30th Sep 2023 for Bumungi HC III.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility, the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There were no constructions of new HCiiis or Hciiis that were upgraded to HC IIIs during the FY under review.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>There were some supervision reports;</p> <p>For the construction of a staff house at Bumungi HC III dated 16th Jan 2023 and 30th May 2023.</p> <p>For the construction of a staff house at Sikuda HC III dated 10th Jan 2023.</p> <p>For the Renovation of Namungodi HC II dated 27th Jan 2022.</p> <p>These reports were in place but the supervision was not done on a monthly basis.</p>	0

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0

The LG made payments to contractors beyond the time frame as per the sampled vouchers indicated below;

Voucher NO. 6421643 dated 28th June 2023 amount UGX 12,432,805 to M/s Nakunda Merkunder Holdings Ltd for construction of staff house at Buwembe HC III, the contractor raised request for payment on 19th/01/2023, certificate signed by the DEO, DE, Environment Officer and CDO on 25th/01/2023 and payment was effected on 28th /06/2023 after 113 working days

Voucher NO. 5861535 dated 15th June 2023 amount UGX 97,294,599 to M/s Lit General Agencies for construction of maternity ward at Buteba HC III, the contractor raised request for payment on 27th/03/2023, certificate signed by the DEO, DE, Environment Officer and CDO on 26th/04/2023 and payment effected on 15th June 2023 after 35 working days

Voucher NO. 6421643 dated 28th June 2023 amount UGX 73,922,271 to M/s Merkunder Holdings Ltd for construction of staff house at Bumunji HC III, the contractor raised request for payment on 19th May, 2023, certificate signed by the DEO, DE, Environment Officer and CDO on 2nd/06/2023 and payment effected on 28th/06/2023 after 17 working days

13

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0

There was evidence that the LG had a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law. Examples include;

Busi 507/wrks/22-23/00425, Construction of a 2 in 1 staff House at Sikuda HCIII was awarded to M/s Lit General Agencies Ltd as the contractor. Approval of the Evaluation Report was done on 12th September, 2023 under minute number Min:4-3DCC/29/09/2022-23 and Contract signing was done on 01st November, 2022.

Busi 507/wrks/22-23/00525, Construction of a 2 in 1 staff House at Bumungi HC III was awarded to M/s Merkunder Holdings Ltd as the contractor. Approval of the Evaluation Report was done on 12th September, 2023 under minute number Min:3-3DCC/29/09/2022-23 and Contract signing was done on 27th October, 2022.

Busi 507/wrks/22-23/00525 Renovation of Namungodi HCII was awarded to M/s Mukiibi Masuda Co. Ltd as the contractor. Approval of the Evaluation Report was done on 30th November, 2022 under minute number Min:3-5DCC/30/11/2022-23 and Contract signing was done on 03rd December, 2022.

1

Environment and Social Safeguards

14

Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework

Maximum 2 points on this performance measure

a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0

In the centralized grievance log, no grievances were recorded from the implementation of Health projects in the FY 2022/2023 as none had arisen during the implementation process.

2

15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>There was evidence of Guidelines for Medical/ Health care waste management availed during Assessment.</p> <p>However;</p> <p>There was no evidence of dissemination of the guidelines on medical waste management</p> <p>There was no evidence of follow up on implementation of the guidelines.</p>	0
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>There was no specific budget dedicated to Medical/ Health care waste management.</p> <p>There was evidence of M/s Green Label Services Ltd as the contracted company to manage medical waste. However, Green Label Services limited was collecting and disposing of waste for Masafu Hospital only as verified from the waste transfer forms with the most recent dated 27/11/2023 to 12/12/2023.</p> <p>Other health facilities were practicing medical waste burning in open pits with no incinerators.</p>	0
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>There was evidence of the training report on waste management dated 7/12/2022.</p>	1
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0</p>	<p>The costed ESMPs for the Health projects implemented in the previous FY 2022/2023 were not incorporated in their respective contract documents/ BoQs.</p>	0

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>Not all the Health projects had proof of land ownership of the land where they were implemented.</p> <p>There were land ownership documents for;</p> <p>Sikuda HCII- Land title for 0.4870 hectares of land in Samia Bugwe County on Plot 1113, Block 8 at Sikuda dated 3/11/2021 was availed</p> <p>Bumuji HCIII- Land sale agreement (Memorandum of Sale) between Mr. Ojambo Erizaphine Chunju and Bumuji HCII Management committee on behalf of Masinya Sub-county for 30 ft by 100 ft at a cost of UGX. 5,000,000/= dated 27/11/2020 was availed.</p> <p>However,</p> <p>There was no land ownership document availed for Namugondi HC II.</p>	0
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>There was not any monthly supervision and monitoring reports for the Health projects implemented in the FY 2022/2023.</p> <p>There was one combined report for UGFIT projects that was construction of 2 in 1 staff houses at Bumuji HC III and Sikuda HC III dated 4/05/2023.</p> <p>There was no monitoring report for the renovation of Namugondi HC II OPD block.</p>	0
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0</p>	<p>There was not any Environmental and Social Compliance Certificate for the Health projects implemented in the previous FY2022/2023.</p>	0

**Water &
Environment
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees <i>Maximum 4 points on this performance measure</i>	a. % of rural water sources that are functional. If the district rural water source functionality as per the sector MIS is: o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0	The functionality of rural water sources was 95% according to the MIS report for 2022/2023	2
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees <i>Maximum 4 points on this performance measure</i>	b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is: o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0	The functionality of water and sanitation committees was 79% according to the MIS report for 2022/2023	0
2	N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment Maximum 8 points on this performance measure	a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is; • Above 80%, score 2 • 60% - 80%, score 1 • Below 60%, score 0	The average score for LLGs performance assessment for Year 2023 in water and environment was 70%	1
2	N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment Maximum 8 points on this performance measure	b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY. o If 100 % of water projects are implemented in the targeted S/Cs: Score 2 o If 80-99%: Score 1 o If below 80 %: Score 0	The safe water access for Busia District was 76% at the time of preparation of the work plan for 2022/2023. The sub counties that had their safe water coverages below that of the District were; Busime at 64%, Buteba at 66%, Dabani at 72%, Lunyo at 66%, Masinya at 59% and Sikuda at 67%. The following were the water projects implemented in FY 2022/2023. 1. Amunoit community	0

borehole in Sikuda Sub
County

2. Buwembe community
borehole in Buyanga Sub
County

3. Akipenet production well in
Buteba Sub County

4. Buwawo community
borehole in Dabani Sub
County

5. Bukhuku community
borehole in Lunyo Sub County

6. Bunyukhe production well
in Masinya Sub County

7. Kubo west community
borehole in Masafu Sub
County

8. Nanjeho community
borehole in Buhehe Sub
County

9. Mudondo production well in
Masaba Sub County

10. Busabi community
borehole in Busitema Sub
County

11. Buhauli A community
borehole in Bulumbi Sub
County

12. Nebolola A community
borehole in Lumino Sub
County

13. Buwero community
borehole in Namungodi Town
Council

14. And Sitengo community
borehole in Majanji Sub
County

Out of the 14 water projects,
6 were located in the sub
counties with safe water
access below that of the
District, amounting to an
allocation of 43%

2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates</p> <ul style="list-style-type: none"> o If within +/-20% score 2 o If not score 0 	<p>Three contracts in the Water Department were sampled and their variations were as follows;</p> <ol style="list-style-type: none"> 1. Drilling of 6 boreholes under lot 1 was estimated at UGX 129,000,000 and contracted at UGX 125,337,240. The variation was 2.8%. 2. Drilling of 3 production wells was estimated at UGX 150,000,000 and was contracted at UGX 145,197,171. The variation was 3.2%. 3. And construction of 2 latrines was estimated at UGX 16,000,000 and contracted at UGX 16,000,000. The variation was 0%. <p>All variations were within +/- 20% of their respective estimates.</p>	2
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p>Maximum 8 points on this performance measure</p>	<p>d. % of WSS infrastructure projects completed as per annual work plan by end of FY.</p> <ul style="list-style-type: none"> o If 100% projects completed: score 2 o If 80-99% projects completed: score 1 o If projects completed are below 80%: 0 	<p>The Water Office planned to implement the following WSS infrastructure projects in FY 2022/2023; drill 11 boreholes, drill 3 production wells, rehabilitate 28 boreholes, construct 2 latrines and design two piped water system.</p> <p>45 of the 68 planned WSS projects were completed as per the work plan by the end of the Financial Year amounting to 98% completion.</p> <p>One of the planned latrines was not completed because the site where it was sited was on top of a hard rock which took long to complete excavation. The project was thus rolled over to 2023/2024.</p>	1

3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <p>o If there is an increase: score 2</p> <p>o If no increase: score 0.</p>	<p>The functionality of water sources was 94% in 2021/2022 and 95% in 2022/2023. There was an increase of 1% between the two financial years.</p>	2
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <p>o If increase is more than 1% score 2</p> <p>o If increase is between 0-1%, score 1</p> <p>o If there is no increase : score 0.</p>	<p>The functionality of water and sanitation committees was 80% in 2021/2022 and 79% in 2022/2023. There was a decrease of 1% between the two financial years.</p>	0

Performance Reporting and Performance Improvement

4	<p>Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3</p>	<p>The following facilities were visited; Amunoi community borehole in Sikuda Sub County, Busabi community borehole in Busitema Sub County, and Nebolola community borehole in Lumino Sub County. All the visited facilities were in place and were functioning as had been reported in the fourth quarter report.</p>	3
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2</p>	<p>Collection and compiling of data on sub county water supply and sanitation was collected as was evidenced by form 4 reports that were dated 14th February 2023 and 17th July 2023 for quarter 3 and quarter 4 respectively. No evidence was provided to show that the same had been conducted for quarters 1 and 2.</p>	0

5	Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance	b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0	There was evidence that the LG had updated the District MIS for quarters 3 and 4. No evidence was provided for quarters 1 and 2.	0
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Maximum 7 points on this performance measure

5	Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance	c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0	Performance Improvement Plans (PIPs) for the 25% lowest performing LLGs in Water and Environment were not prepared following LLG assessment.	0
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Maximum 7 points on this performance measure

Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.

Human Resource Management and Development

6	Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff	a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2	The DWO had budgeted UGX 37,527,000 as per approved budget estimate 2023/2024, vote 825 page 60 of 78.	2
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Maximum 4 points on this performance measure

6	Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff	b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2	The Environment and Natural Resource Officer budgeted UGX 214,869,000 as per the approved budget estimate 2023/2024, Vote 825 page 68 of 78.	2
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Maximum 4 points on this performance measure

7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>There was no evidence that DWO had appraised District Water Officer staff against the agreed performance plans in the FY 2022/2023.</p>	0
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p>	<p>No evidence was provided at the time of assessment.</p>	0

Management, Monitoring and Supervision of Services.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
-
- • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
- • If 80-99%: Score 2
- • If 60-79: Score 1
- • If below 60 %: Score 0

The safe water access at the time of preparation of the Annual Work Plan for the FY 2023/2024 was 77%. The sub counties whose safe water access was lower than that for the District were; Buteba at 65%, Dabani at 72%, Lunyo at 69, Masafu at 68%, Masinya at 60% and Sikuda at 68%.

The District Water Office planned the following WSS projects for 2023/2024.

1. Drill 15 boreholes in the sub counties of Sikuda (1), Lunyo (1), Masafu (1), Buyanga (1), Masaba (1), Lumino (1), Busitema (1), Dabani (1), Majanji (1), Buhehe (1), Bulumbi (1), Masinya (1), Buteba (1), Namungodi Town Council (1) and Tiira Town Council (1) each at UGX 21,000,000
2. Construct a piped water system in Busime Sub County at UGX 270,399,907
3. Construct 2 latrines in the sub counties of Masafu (1) and Majanji (1) each at UGX 8,000,000

UGX 425,399,907 was allocated to the sub counties with safe water coverage below that of the District out of the development budget of UGX 683,106,907 . This amounted to an allocation of 62%.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

- b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3

The District Water Officer communicated to the LLGs their respective allocations per water source to be implemented in FY 2023/2024 via circular letters which were all dated 4th September, 2023. Copies of the said letters were found at Lunyo Sub County Headquarters and Huhehe Sub County Headquarters. These sub counties had each been allocated one new borehole and another for rehabilitation.

9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)</p>	<p>There was evidence, in form of "form 4 monitoring reports", that the LG had monitored all WSS facilities for quarters 3 and 4. No evidence was provided for quarters 1 and 2 to complete the assessment.</p>	0
	<p><i>Maximum 8 points on this performance measure</i></p>	<ul style="list-style-type: none"> • If 95% and above of the WSS facilities monitored quarterly: score 4 • If 80-94% of the WSS facilities monitored quarterly: score 2 • If less than 80% of the WSS facilities monitored quarterly: Score 0 		
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2</p>	<p>The DWO conducted DWSCC meetings on 28th September 2022 for quarter 1, 23rd December 2022 for quarter 2, 24th March 2023 for quarter 3 and 30th June 2023 for quarter 4. In the minutes of the said meetings, there was no evidence that key issues identified during the quarterly monitoring of WSS activities were discussed except for selected projects implemented in the FY 2021/2022 and 2022/2023.</p>	0
	<p><i>Maximum 8 points on this performance measure</i></p>			
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>	<p>The list WSS facilities for the FY 2023/2024, allocated per sub county was displayed on the notice board for the Water Office.</p>	2
	<p><i>Maximum 8 points on this performance measure</i></p>			
10	<p>Mobilization for WSS is conducted</p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p>	<p>The District Water Officer allocated UGX 30,233,811 towards software activities, out of the NWR budget of UGX 81,989,704. This amounted to an allocation of 36.9%.</p>	0
	<p><i>Maximum 6 points on this performance measure</i></p>	<ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 		

10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.</p>	<p>Training of water user committees was done on 20th June, 2023 for Amunoi village in Sikuda S/C, 29th June, 2023 for Busabi Village in Busitema S/C, and 7th December 2022 for Bunyuhe village among others. In the training reports seen, water user committee members were trained on hygiene and sanitation promotion, safe water chain, care, maintenance and operation of boreholes and book keeping among others.</p> <p>At the sites visited namely; Busabi borehole, Amunoi borehole and Bunyuhe borehole, the interviewed members agreed that the said training activities had been conducted.</p>	3
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Investment Management

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:</p> <p>Score 4 or else 0</p>	<p>The LG had a MIS extract from the Ministry of Water and Environment database for its assets register. It had been updated with water facilities implemented in the FY 2022/2023. These included; Bunyukhe community borehole in Masiya Sub County, Mudonko community borehole in Masaba Sub County and Bukhuli A community borehole in Bulumbi Sub County among others.</p>	4
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDPIII) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p> <p>Score 4 or else score 0.</p>	<p>There was evidence to show that desk appraisals for health sector projects were conducted as per desk appraisal report dated 26th January 2023; the projects included construction of deep wells in Hadoda village in Masinya Sub County, Buyuha village in Buhehe Sub County and Bubbala A village in Lumino sub County among others.</p> <p>All the listed projects were derived from LGDP page 195.</p>	4

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>In place were community applications for projects planned for the FY 2023/2024. Examples of these were as follows;</p> <ol style="list-style-type: none"> 1. The community of Hadoda village in Masinya Sub County applied for a borehole on 8th June, 2022. 2. The community of Buyuha village in Buhehe Sub County applied for a borehole on 10th December, 2022 3. The community of Buchicha A village in Sikuda Sub County applied for a borehole on 13th November, 2022 4. And the community of Bubbala A village in Lumino sub County applied for a borehole on 6th June 2022, among others. 	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>There was evidence to show that field appraisals for health sector projects were conducted as per desk appraisal report dated 18th April, 2022; the projects included installation of deep boreholes in Hadoda village in Masinya Sub County, Buyuha village in Buhehe Sub County and Bubbala A village in Lumino sub County among others.</p>	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>There was no evidence of Environmental and Social screening reports and ESMPs for the current FY 2023/2024 Water and Sanitation projects.</p> <p>The Senior Environment Officer and DCDO had not screened for the current FY 2023/2024 projects.</p>	0

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>There was evidence that the WSS infrastructure investments for previous FY were incorporated in the LG procurement plan endorsed by CAO on 15th Aug 2022; Sampled projects included;</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>		<ul style="list-style-type: none"> ● Drilling of 03 Production wells. ● Drilling, Casting and Installation of 06 Boreholes Lot 1 ● Drilling, Casting and Installation of 05 Boreholes Lot 2 	
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>There was evidence that the WSS infrastructure projects for the FY 2022/2023 were approved by the contracts committee before commencement as indicated below.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>		<p>Drilling of 03 Production wells. Ref: Busi 504/Wrks/2022-23/0000332. Approval of the evaluation report by the Contracts Committee was done on 12th September, 2022 under Min: 10-3DCC/29/09/22-23.</p> <p>Drilling, Casting and Installation of 06 Boreholes Lot 1 Ref: Busi 504/Wrks/2022-23/0000132. Approval of the evaluation report by the Contracts Committee was done on 12th September, 2022 under Min: 8-3DCC/29/09/22-23</p> <p>Drilling, Casting and Installation of 06 Boreholes Lot 1. Ref: Busi 504/Wrks/2022-23/0000232. Approval of the evaluation report by the Contracts Committee was done on 12th September, 2022 under Min: 9-3DCC/29/09/22-23.</p>	

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>The LG had no a project implementation team established for Construction projects of FY 2022/2023</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>There was evidence that the WSS infrastructure projects had been implemented as per the designs. For the 2-stance latrine at Mundaya Trading Center, the constructed facility had dimensions of 3.64 by 1.84 m against the design dimensions of 3.5 by 1.8m. The latrine also had internal stance dimensions of 0.85m against the design dimension of 0.9m.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2</p>	<p>There was evidence of technical supervision of WSS infrastructure projects. Below are the reports found on file showing supervision of WSS projects.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>		<p>Reports dated 26th June, 2023 on Drilling of 03 Production wells Lot 3.</p>	
			<p>Reports dated 25th February, 2023, 15th February, 2023, 12th February, 2023, and 29th January, 2023 on Drilling, Casting and Installation of 06 Boreholes Lot 1.</p>	
			<p>Reports dated 16th February, 2023, 15th February, 2023 and 07th February, 2023 on Drilling, Casting and Installation of 06 Boreholes Lot 2.</p>	

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts

o If 100 % contracts paid on time: Score 2

o If not score 0

The LG made payments to contractors within the time frame as per the sampled vouchers indicated below;

1. Voucher NO. 6425911 dated 28th June 2023 amount UGX 130,677,454 to M/s KLR (U) Ltd for Drilling and construction of 3 production wells in Busia DLG, the contractor raised request for payment on 13th June 2023, certificate signed by the DWO, Environment Officer and CDO on 15th June and payment effected on 28th/06/2023 after 11 working days

2. Voucher NO . 5868726 dated 15th June 2023 amount UGX 77,837,485 to M/s KLR (U) Ltd for drilling and installation of 5 bore halls, the contractor raised request for payment on 1st/3/2023, certificate signed by the DWO, Environment Officer and CDO on 21st/03/2023 and payment effected on 15th June 2023 after 30 working days

3. Voucher NO. 5860550 dated 15th June 2023 amount UGX 77,837,485 to M/s Mama bore wells Africa Ltd for drilling and installation of 6 bore halls, the contractor raised request for payment on 1st/3/2023, certificate signed by the DWO, Environment Officer and CDO on 21st/03/2023 and payment effected on 15th June 2023 after 30 working days

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:

Score 2, If not score 0

The DLG had evidence of complete procurement file for water infrastructure investments as required by PPDA law;

Drilling of 03 Production wells. Ref: Busi 504/Wrks/2022-23/0000332. Approval of the evaluation report by the Contracts Committee was done on 12th September, 2022 Under Min: 10-3DCC/29/09/22-23. The contract was awarded to M/s KLR (U) Ltd and the contract was signed on 24th Nov 2022.

Drilling, Casting and Installation of 06 Boreholes Lot 1 Ref: Busi 504/Wrks/2022-23/0000132. Approval of the evaluation report by the Contracts Committee was done on 12th Sep 2022 Under Min: 8-3DCC/29/09/22-23. The contract was given to M/s Mama Borewells Africa Ltd and the contract was signed on 24th Nov 2022.

Drilling, Casting and Installation of 05 Boreholes Lot 2 Ref: Busi 504/Wrks/2022-23/0000232. Approval of the evaluation report by the Contracts Committee was done on 12th Sep 2022 Under Min: 9-3DCC/29/09/22-23. The contract was given to M/s KLR (U) Ltd and the contract was signed on 24th Nov 2022.

Environment and Social Requirements

13

Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework

Maximum 3 points this performance measure

Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:

Score 3, If not score 0

In the centralized grievance log, no grievances were recorded from the implementation of Water projects in the FY 2022/2023 as none had arisen during the implementation process.

14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>There was evidence of Guidelines on water source and catchment protection and evidence of their dissemination to 14 CDOs was availed in the form of a dissemination list and minutes/ report was developed that was “Report on the dissemination of water source protection guidelines for FY 2022/2023” dated 9/01/2023 signed by the Senior Environment Officer and copied to the DWO.</p>	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p>	<p>There was evidence of Water source protection plans for water projects for FY 2022/2023 and evidence on sensitisation of the water user committees about the Environmental and Social management plans for water projects dated 13/02/2023.</p>	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 3, If not score 0</p>	<p>There was evidence that WSS projects were implemented on land with consent from the land owners. For example;</p> <ol style="list-style-type: none"> 1. On 5th July 2023, Mr. Esanyu John of Akipenet village in Buteba Sub County gave part of his land for drilling of a production well. 2. On 5th July 2023, Ms. Nanjuma Justine of Amunoit village in Sikuda Sub County gave part of her land for drilling of a borehole. 3. On 5th July 2023, Mr. Okechi Domiano of Nanjeho village gave part of his land for a borehole. 4. On 10th March 2023, Mr. Mukaga Johnson of Bukuhu village in Lunyo Sub County gave part of his land for a borehole. 5. And on 19th December 2022, Mr. Wafula Mugomi Wilberforce on Lumidi village in Majanji Sub Count gave land for a borehole among others. 	3

15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects: Score 2, If not score 0	There were no Environmental and Social Compliance Certificates for the Water projects implemented in the previous FY 2022/2023 availed during Assessment.	0
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 2, If not score 0	There was no evidence of monthly supervision and monitoring reports for the water projects implemented in the previous FY 2022/2023 availed The reports availed were combined for all water projects dated 17/04/2023 and another combined report dated 7/07/2023 (FY 2023/2024) which was after projects' completion and contractor payment	0

**Micro-scale
Irrigation
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries - score 2 or else 0</p>	<p>The LG had up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries</p> <p>FY 2021/20222</p> <p>microscale beneficiaries had 0 acres and non-beneficiaries where at 17 acres of land</p> <p>FY 2022/2023</p> <p>microscale beneficiaries had 2.75 acres (3 framers received the microscale irrigation equipment) and non-beneficiaries were at 17 acres of land.</p>	2
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>Busia district had increased acreage of newly irrigated land in the previous FY 2022/2023 as compared to previous FY but one FY 2021/2022 by 2.75 acres</p> <p>percentage increase = 16.2%</p>	2
2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%, score 4 • 60% - 70%, score 2 • Below 60%, score 0 	<p>The average score in MSI for LLG performance was 73% in for previous FY 2022/22023</p>	4

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0	Not applicable, Busia was a phase 2 DLG where the micro scale grant was 100% complementary.	2
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	Not applicable, Busia was a phase 2 DLG where the host farmers signed MOUs (No approved farmers at the time of assessment)	1
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	Evidence that the variations in the contract price are within +/- 20% of the Agriculture Engineers estimates: Score 1 or else score 0	From the 3 sampled beneficiary farmers, variation in the contract price was within +/-20% of the engineer's estimates as evidenced from the BoQs. as below; Supplier quote (3-sites) was 69,775,000/= Engineer's quote(3-sites) was 70,000,000/= variation was 225,000/= percentage variation was 0.321 %	1
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0	There was a certificate of practical (100%) completion, for supply and installation of 3 micro scale irrigation systems in Masaba, Lumino, and Busitema sub counties dated 10th/05/2023.	2
Maximum score 6	Maximum score 6	Maximum score 6	Maximum score 6	Maximum score 6

4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>a) Evidence that the LG has recruited LLG extension workers as per staffing structure</p> <ul style="list-style-type: none"> • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0 	<p>The LG had recruited LLG extension workers as per staffing structure.</p> <p>The HRM staff list had a ceiling of 96 extension workers and filled was 37.</p> <p>$37/96 * 100 = 38.5\%$.</p> <p>This was below 75%</p>	0
4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF</p> <ul style="list-style-type: none"> • If 100% score 2 or else score 0 	<p>The micro-scale irrigation equipment did not meet the standards as defined by MAAIF (More than one irrigation technology was installed in less than a quarter an acre yet the host farmer had enough land to be utilized). MAAIF design was to be customized to fit actual site conditions say; drip 0.5 acres, sprinkler 0.25acres,drag horse 0.75acres,this was possible but not followed, the Engineer assumed it was just having something on ground for demonstration leaving the host farmer not contented with more than one technology congested in a small area.</p>	0
4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>b) Evidence that the installed micro-scale irrigation systems during last FY are functional</p> <ul style="list-style-type: none"> • If 100% are functional score 2 or else score 0 	<p>The installed micro-scale irrigation systems during last FY were 100% functional for the 3 sampled demo sites in Masaba, Lumino, and Busitema sub counties.</p>	2

Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0</p>	<p>The information on the position of extension workers filled was accurate.</p> <p>Busitema Sub Counties HRM staff list , sector wise as per July, 2023 and LLG staff list as per 30th June, 2023 had 2 extension workers Ologe Joseph as the Agriculture Officer and Akumu Loyce Kana as the Assistant Animal Husbandry Officer.</p> <p>Buteba Sub County both the staff lists HRM and LLG had 2 extension workers Sande Francis Okumu as an Agricultural Officer and Wandera Moses as the Assistant Animal Husbandry Officer.</p>	2
5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p>	<p>The information on micro scale irrigation equipment installed and functionality was inaccurate at the time of assessment ,</p> <p>For example; Masiga Vincent demo site in Masaba sub county .</p> <p>There were 4 irrigation technologies, drip irrigation, rain gun, and drag horse and sprinkler irrigation.</p> <p>The rain gun and drag horse irrigation technologies were all installed in less than a quarter acre and only one hydrant was installed to serve the two. This farmer was using a petrol pump which was also not very efficient and had extended a request for a solar surface pump, the irrigation technologies were functional with a throw radius of over 10m but needed to be separated to cover a bigger acreage. There was request for a tank capacity to be increased from 3000ltrs to 5000ltrs.</p> <p>This was a common error for all the sampled sites.</p>	0

6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>	<p>It was reported that the district had not received UgiFT funds by quarter 1 and quarter 2, however the district had prepared the Action plan and also budgeted as evidenced by the quarter 2 report dated 19th/01/2023.</p>	2
	<p>Maximum score 6</p>		<p>During quarter 3 report dated 6th/04/2023, complementary services commenced with District level awareness raising meeting for local leaders, where 76 people participated and there was awareness raising for farmers where 680females,1600 males and 388 youth participated as compiled from 18 sub counties, the Eol during this quarter was 320.and 36 farm visits were conducted of which 29 were successful according to report dated 06th/04/2023</p>	
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p>	<p>The LG had entered up to-date LLG information into MIS and achieved Eol of 350 farmers with 31 farmers surpassing the target.</p>	1
	<p>Maximum score 6</p>			
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>c.Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0</p>	<p>The LG had prepared quarterly report using information compiled from LLGs in the MIS where during Qtr 1 and Qtr 2 Eol was 0, and farm visits Nil.</p>	1
	<p>Maximum score 6</p>		<p>During Qtr 3, Eol was 320 farmers, and 36 farm visits were conducted of which 29 were successful, 3 un successful and 4 were on going.</p>	
			<p>During Qtr 4, Eol had accumulated to 350 farmers, no farm visit was conducted in this Qtr</p>	

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	The LG had developed on approved Performance Improvement Plan for the lowest performing LLGs	1
	Maximum score 6			

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	ii) The PIP was developed but there was no evidence of implementation.	0
	Maximum score 6			

Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	The LG had budgeted UGX 136,522,219/= for extension workers.	1
	Maximum score 6			

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	ii Deployed extension workers as per guidelines score 1 or else 0	According to the sampled LLGs the LG had not deployed extension workers as per guidelines. For example Buteba S/C had 2 extension workers Wandera Moses AAHO, Sande Francis Okumu AO and the staff establishment was 6 extension workers. Veterinary Officer, Agricultural Officer, Fisheries Officer, Assistant Fisheries Office, Assistant Animal Husbandry Officer and Assistant Veterinary Officer.	0
	Maximum score 6			

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

Maximum score 6

b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0

According to the sampled LLGs, that is, Busitama S/C, Buteba S/C and Tiira T/C there was sufficient evidence to show that extension workers were working in the LLGs they were deployed. For example,

Buteba Sub County

The HRM staff list sector wise (production department) as per July, 2023 had Sande Francis Okumu Agricultural Officer and Wandera Moses AAHO. The attendance book at the Sub County and the LLG staff list as per 17th March, 2023 portrayed the same information.

However, Sande Francis Okumu was on study leave.

Busitema Sub County

The HRM staff list as per July, 2023 had Akumu Loyce Kana as the Assistant Animal Husbandry Officer and Ologe Joseph as an Agricultural Officer. The LLG staff list as per 30th June, 2023 and attendance book had portrayed the same information.

Tiira Sub County

The HRM staff list Sector wise (production department), didn't have any one deployed at the T.C but the LLG staff list had Wandera Moses caretaking as a Veterinary Officer and Nafula Lydia Agriculture Officer was on sick leave.

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

Maximum score 6

c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0

There was evidence in all the sampled LLGs that the extension workers' deployment had been publicized and disseminated to LLGs by displaying the staff list on the notice boards.

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	a) Evidence that the District Production Coordinator has: i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0	There was no evidence about the appraisal reports of extension workers at the time of assessment.	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	a) Evidence that the District Production Coordinator has; Taken corrective actions: Score 1 or else 0	There was no evidence since the appraisal reports had not been availed.	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	b) Evidence that: i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0	There was awareness raising meeting for District level leaders held on 10th/01/2023 where 77 people participated according to 5 attendance sheets.	1
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	ii Evidence that training activities were documented in the training database: Score 1 or else 0	There was evidence that the training activities were documented in the training database dated 7th/12/2023. The database was updated showing activities for both current and previous FY.	1
Management, Monitoring and Supervision of Services.				
9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10	a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 - 75% capital development; and 25% complementary services): Score 2 or else 0	The LG had appropriately allocated the micro scale irrigation grant UGX 636,875,518/= between; (i) capital development (micro scale irrigation equipment) 75% was Ugx 477,656,638/= (ii) complementary services 25% was UGX 159,218,880/	2

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	<p>The budget allocations made towards complementary services were in line with the sector guidelines.</p> <p>i.e.(i)maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders was UGX 23,882,832 /=and maximum 10% procurement. Monitoring and Supervision) was UGX 15,921,888/=</p> <p>(ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers. Farm visit, Demonstrations, Farmer Field Schools) was UGX 119,414,160/=</p>	2
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>The LG budgeted UGX 957,000,000 for co-funding and captured on page 78 of the approved budget and work plan for FY 2023/2024 signed by CAO on 29th June 2023</p>	2
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>Not applicable, the farmer co funding was not yet utilised. (Busia was a phase 2 DLG)</p>	2
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>There was evidence of minute to DTPC meeting dated 30th/11/2022</p> <p>Min6: TPC30/11/2022.</p>	2
Maximum score 10				

10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 Less than 70% score 0 	<p>There was no evidence provided that DPO had monitored on a monthly basis installed micro- scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation Equipment in terms of water conservation,</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>Not applicable, Busia was a phase 2 DLG at the time of assessment/ no approved farmers.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0</p>	<p>No documentary evidence was provided</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0</p>	<p>Not applicable, Busia was a phase 2 DLG, and had not yet established FFS.</p>	2

11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	There was awareness raising for farmers that was conducted in 10 days from 7th/02/2023-17th/02/2023 where 1280 farmers participated according to 48 attendance sheets	2
	Maximum score 4			

11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	There was evidence of awareness raising for staff and political leaders at district and LLGs which was held at parish level where 132 people participated according to 43 attendance sheets dated 13th/02/2023.	2
	Maximum score 4			

Investment Management

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0	The LG had an up dated register of micro-scale irrigation equipment supplied to farmers in the previous FY for example In Lumino sub county, acquired a solar powered irrigation scheme on 19/06/2023 from science corner Engineering ltd. Masaba sub county, acquired irrigation scheme of petrol poweredpetrol-powered folio 084 at 24,393,102 Reference GRN165 System generated. Busitema University acquired irrigation system with submersible pump at UGX 24,920,044/= folio 085.	2
	Maximum score 8			

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0	There was evidence that the LG had an up-to—date database of applications at the time of the assessment where for example Mugenyi Michael from Dabani S/C was registered on 25/10/2023	2
	Maximum score 8			

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>No evidence was provided.</p>	0
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>According to the sampled LLGs (Buteba S/C, Busitama S/C and Tiira T/C) there was no evidence about the District Agricultural Engineer publicizing the eligible farmers who had been approved by posting on the District and LLG noticeboard.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>There was evidence that the micro-scale irrigation systems for FY 2023/2024 were incorporated in the LG procurement plan endorsed by CAO on 27th Sep 2023; Sampled projects included. The project was; Supply of Micro-Scale Irrigation technologies.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>They wrote a letter on 13th April 2023 requesting Bugiri DLG to recommend a supplier they had worked with before, and Bugiri responded by wrote back on 18th April recommending M/s. Science Corner Engineering Ltd who was awarded the contract to do the supply and installation of the Micro scale irrigation Equipment.</p>	2

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0	There was evidence that the LG concluded the selection of the irrigation equipment suppliers based on the set criteria. i.e.; in the evaluation report, technical compliance selection Methodology was used i.e., screening through the;	2
	Maximum score 18		<ul style="list-style-type: none"> ● Preliminary stage ● Detailed Evaluation ● Financial comparison 	
			M/s. Science Corner Engineering Ltd sent in the Quotation and his bid documents, which they evaluated and found the firm compliant.	
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0	There was evidence that the micro-scale irrigation systems were approved by the Contracts Committee. Below was the approved project.	1
	Maximum score 18		Proc Ref: Busi 507/Supls/2022-2023/00038. Supply and Installation of 3 Micro Irrigation Systems Equipment. Approval of evaluation Report was on 11th April 2023 Under MIN: 5-9DCC/11/4/2022-23.	
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	Only one Firm; M/s Science Corner Engineering Ltd sent in the Quotation and their bid documents after recommendation from Bugiri DLG, which Busia DLG Contracts Committee evaluated and the Firm was found compliant.	2
	Maximum score 18			
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	f)Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	Busia Local Government received the standard designs from MAAIF upon which the microscale irrigation equipment was installed.	2
	Maximum score 18			

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0</p>	<p>The LG had conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Agricultural Engineer or Contracted staff) as evidenced by the site books where for example Masiga Vincent demonstration site in Masaba sub county was supervised on 02nd/05/2023 and 23rd/05/2023 by sub county Agricultural officer and District agricultural officer.</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>h) Evidence that the LG has overseen the irrigation equipment supplier during:</p> <p>i. Testing the functionality of the installed equipment: Score 1 or else 0</p>	<p>Not applicable/Busia had no beneficiary farmers.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0</p>	<p>Not applicable/Busia had no beneficiary farmers.</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0</p>	<p>The LG made payments to suppliers within 2 months' time frame as per the sampled vouchers below;</p> <p>Payment voucher NO . 5905176 amount UGX 22,929,516 to Science Corner Engineering Ltd for installation of MSI equipment at host farmer Wafula John's site at Lumino Sub county, the contractor raised request for payment on 10th May 2023, payment certificate signed by the SAE, DPO and CAO on 10th May 2023 and payment was effected on 15th June 2023, after 25 working days</p> <p>Payment voucher NO . 5905176 amount UGX 22,920,044 to Science Corner Engineering Ltd for installation of MSI equipment at Busitema University, the contractor raised request for payment on 19th May 2023, payment certificate signed by the SAE, DPO and CAO on 19th May 2023 and payment was effected on 15th June 2023, after 16 working days</p> <p>Payment voucher NO. 5905176 amount UGX 22,441,854 to Science Corner Engineering Ltd for installation of MSI equipment at host farmer Masiga Vincent's site at Masaba Sub county, the contractor raised request for payment on 10th May 2023, payment certificate signed by the SAE, DPO and CAO on 10th May 2023 and payment was effected on 15th June 2023, after 25 working days</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0</p>	<p>There was evidence that the LG had a complete procurement file for each contract and with oil records required by the PPDA Law</p> <p>Proc Ref: Busi 507/Supls/2022-2023/00038. Supply and Installation of 3 Micro Irrigation Systems Equipment. Approval of evaluation Report was on 11th April 2023 Under MIN: 5-9DCC/11/4/2022-23. The contract was awarded to M/s Science Corner Engineering Ltd and agreement signed on 24th April 2023.</p>	2

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0	The GRM was not displayed on the production department.	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: i). Recorded score 1 or else 0 ii). Investigated score 1 or else 0 iii). Responded to score 1 or else 0 iv). Reported on in line with LG grievance redress framework score 1 or else 0	There was evidence of a grievance log book and Grievance Redress Mechanism in place. There was no complaint/ grievance reported from implementation of the Micro Scale Irrigation projects for FY 2022/2023.	1
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	There was evidence of a grievance log book and Grievance Redress Mechanism in place. There was no complaint/ grievance reported from implementation of the Micro Scale Irrigation projects for FY 2022/2023.	1
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	There was evidence of a grievance log book and Grievance Redress Mechanism in place. There was no complaint/ grievance reported from implementation of the Micro Scale Irrigation projects for FY 2022/2023.	1

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There was evidence of a grievance log book and Grievance Redress Mechanism in place.</p> <p>There was no complaint/ grievance reported from implementation of the Micro Scale Irrigation projects for FY 2022/2023.</p>	1
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Environment and Social Requirements

15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.</p> <p>score 2 or else 0</p>	<p>The guidelines were in place but there was no evidence of dissemination.</p>	0
15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.</p> <p>i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0</p>	<p>There was evidence of Environmental and Social screening reports and costed ESMPs for the Micro scale irrigation projects for FY 2022/2023.</p> <p>The costed ESMPs were incorporated in Micro scale irrigation contract document and specifically as the last item on page 118 in the BoQ.</p>	1
15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0</p>	<p>There was no evidence of supervision and monitoring reports availed for the Micro scale Irrigation projects implemented in FY 2022/2023.</p>	0
15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0</p>	<p>There were no Environmental and Social Compliance Certificates prepared by the SEO and the DCDO.</p>	0

15

Safeguards in the delivery of investments

Maximum score 6

iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0

There were no Environmental and Social Compliance Certificates prepared by the SEO and the DCDO.

1

**Crosscutting Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	The LG had no substantively recruited CFO and there was no formally seconded person from Central Government to fill the vacancy. Mr. Wakooli Patrick Boris a Principal Internal Auditor however was assigned duties of a Chief Finance Officer on 30th June, 2022 through letter reference CR/157/1 signed by Joseph Balisanyuka the CAO.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	Mr. Wabwire Okumu Patrick was substantively appointed as the District Planner on June 1st, 2008 as directed by the DSC Minute No. 77/2008, signed by the CAO Mr. Ngobi Freddie Aggrey.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	The District had substantively appointed Mr. Mwidu George Makiika as the District Planner on 12th October, 2017 as directed by the DSC Minute No. 11/2017, signed by the CAO Mr. Iriama Walter.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	The LG had no substantively recruited DNRO and there was no formally seconded person from Central Government to fill the vacancy. Mr. Ngolobe Jimmy, a Senior Environment Officer was however assigned duties as the District Natural Resources Officer on 15th August, 2023 through letter reference CR/153/1, signed by Alupo Scola D/CAO.	0

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	Dr. Barasa Patrick was substantively appointed as the District Production Officer on May 19th, 2023 as directed by the DSC Minute No. 18/2023, signed by Mukiibi Nasser the CAO.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	Okumu Semu was substantively appointed as the District Community Development Officer on 8th December, 2020 as directed by the DSC Minute No. 28/2020 as signed by Joseph Balisanyuka the CAO.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	The LG had no substantively recruited District Commercial Officer and there was no formally seconded person from Central Government to fill the vacancy Ms. Okia Ziporah an Assistant Commercial Officer was however, assigned duties of a Commercial Officer on May 9th, 2023 through letter reference CR/153/1 as signed by the CAO Mr. Mukiibi Nasser.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal Procurement Officer, 2 or else 0.	Ms. Nasirumbi Sharon was appointed as a Senior Procurement Officer on May 19th, 2023 as directed by the DSC Minute No. 35/2023, signed by the CAO Mr. Mukiibi Nasser.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	This position was vacant at the time of assessment and there was no formally seconded from Central Government person to fill the vacancy	0

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	This position was vacant at the time of assessment and there was no formally seconded from Central Government person to fill the vacancy.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	Mr. Ngolobe Jimmy was substantively appointed as the Senior Environment Officer on 8th July 2019 as directed by the DSC Minute No. 37/2019, endorsed by the CAO Mr. Iriama Walter.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	k. Senior Land Management Officer /Physical Planner, score 2 or else 0	This position was vacant at the time of assessment and there was no formally seconded from Central Government person to fill the vacancy.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	l. A Senior Accountant, score 2 or else 0	Mr. Bwire Dismas Mangeni was substantively appointed as a Senior Accountant on 5th May, 2015 as directed by the DSC Minute No. 29/2015, endorsed by the CAO Mr. Wapowa George William.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0	Mr. Wakooli Patrick was substantively appointed as the Principal Internal Auditor on 17th June, 2013 as directed by the DSC Minute No.96/2013 (ii), signed by the CAO Mr. Okumu Charles.	2

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p>	<p>Ms. Simo Catherine Omari was appointed substantively as the Principal Human Resource Officer (Secretary DSC) on 12th January, 2010 as directed by the DSC Minute No. 121/2009, signed by Grace Adong Choda</p>	2
2	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG Maximum score is 15</p>	<p>a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).</p>	<p>The District had 18 LLGs which constituted 14 Sub Counties and 4 Town Councils. The District appointed 8 substantive Senior Assistant Secretaries, for example;</p> <ol style="list-style-type: none"> 1. Ouma Chadiha Godfrey was substantively appointed as the Senior Assistant Secretary on 26th June, 2006 as directed by the DSC Minute No. 70/2006, endorsed by the CAO Mr. Charles A. Okello and was deployed at Dabani Sub County. 2. Oketch Peter was substantively appointed as a Senior Assistant Secretary on 5th July, 2022 as directed by the DSC Minute No. 44/2022, signed by Angela Akurut for CAO and was deployed at Namugondi Town Council. 3. Aguma Nelson Mugeni was substantively appointed as a Senior Assistant Secretary on 23rd June, 2022 as directed by the DSC Minute No. 44 (i)/2022, signed by Joseph Balisanyuka the CAO and was deployed at Lumino Sub County. 4. Ebu John Mike was substantively appointed as a Senior Assistant Secretary on 8th July, 2019 as directed by the DSC Minute No. 37/2019, signed by the CAO Mr. Iriama Walter and was deployed at Masaba Sub County. 5. Ojjambo James was substantively appointed as a Senior Assistant Secretary on 13th September, 2005 as directed by the DSC Minute No. 96 (ii)/2005, signed by Charles A. Okello the CAO and was deployed at Lumino Town Council. 6. Mr. Makola Peter was substantively appointed as a Senior Assistant Secretary on 13th September as directed by the DSC Minute No. 96 (ii)/2005, signed by the CAO Mr. Charles A. Okello and was deployed at Busime Sub County. 7. Mr. Ojambo Charles Hasubi was substantively appointed as a Senior Assistant Secretary on 19th May, 2023 as directed by the DSC Minute No. 18/2023, signed by the CAO Mr. Mukiibi Nasser and was deployed at Buyanga S/C. 8. Kayira Muhamud was substantively appointed as a Senior Assistant Secretary on 7th July, 2023 as directed by the DSC 	0

Minute No. 49/2023, signed by the CAO Mr. Mukiibi Nasser and was deployed at Tiira T/C.

Those who were not substantive were

9. Mr. Oguttu Nelson, a Parish Chief was assigned duties of a Senior Assistant Secretary on 10th May, 2023 through letter reference CR/157/1 signed by the CAO Mr. Mukiibi Nasser and was deployed at Majanji S/C.

10. Mr. Wafula Benon Felix, a Parish Chief was assigned duties of a Senior Assistant Secretary on 10th May, 2023 through letter reference CR/157/1 signed by the CAO Mr. Mukiibi Nasser and was deployed at Sikuda S/C.

11. Ms. Nassuna Faridah a Parish Chief was assigned duties of a Senior Assistant Secretary on 9th Jan, 2023 through letter reference CR/157/1 signed by Ms. Angella Okurut the Ag. CAO and was deployed at Masafu S/C.

12. Mr. Emojong Godfrey a Parish Chief was assigned duties of a Senior Assistant Secretary on 27th October, 2022 through letter reference CR/153/1 signed by the CAO Mr. Joseph Balisanyuka and was deployed at Busitema S/C.

13. Mr. Malaba John a Parish Chief was assigned duties of a Senior Assistant Secretary on 13th May, 2022 through letter reference CR/ 153/1 signed By Mr. Mutungi Robert and was deployed at Bulumbi S/C.

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

Only six personal files for the community Development Officers were availed to the performance assessment team at the time of assessment.

1. Ms. Adikinyi Monica was substantively appointed as a Community Development Officer on 5th July, 2022 as directed by the DSC Minute No. 44/2022, signed by Angella Akurut for CAO and was deployed at Buhehe S/C.

2. Mr. Ofiti N. Sylvester was substantively appointed as a Community Development Officer on 5th July, 2011 as directed by the DSC Minute No. 224/2011, endorsed by Yusuf Ssentenza the Ag. CAO and was deployed at Buyanga S/C.

3. Ms. Nekesa Suzan was substantively appointed as a Community Development Officer on 5th July, 2011 as directed by the DSC Minute No. 44/2011, signed by Ms. Angella Okurut for CAO and was deployed at Masinya S/C.

4. Ms. Namudira Scovia was substantively appointed as a Community Development Officer on 19th June, 2018 as directed by the DSC Minute No. 14/2018, signed by Mr. Adeya Vincent for CAO and was deployed at Lumino S/C.

5. Mr. Maloba Alex Moya was substantively appointed as a Community Development Officer on 5th July, 2022 as directed by the DSC Minute No. 44/2022, endorsed by Ms. Angella Akurut for CAO and was deployed at Sikuda S/C.

6. Mr. Wasike George was substantively appointed as a Community Development Officer on 16th January, 2020 as directed by the DSC Minute No. 16/2019, endorsed by Mr. Joseph Balisanyuka the CAO and was deployed at Lunyo S/C.

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGs, score 5 or else 0.

The District had 18 LLGs and only 8 personal files for Accountants Assistants were availed at the time of assessment.

1. Mr. Were Moses, a Senior Accounts Assistant was appointed substantively on 4th June, 2018 as directed by the DSC Minute No. 14/2018, signed by Adeya Vincent for CAO and was deployed at Lunyo S/C.

2. Mr. Wanyama George Stephen was substantively appointed as an Accounts Assistant on 17th September, 2015 as directed by the DSC Minute No. 97/2015, signed by Mr. Adeya Vincent for CAO and was deployed at Buyanga S/C.

3. Ms. Apeo Sarah Billi was substantively appointed as an Accounts Assistant on 26th June, 2022 as directed by the DSC Minute No. 71/2006, signed by Mr. Charles. A. Okello the CAO and was deployed at Bulumbi S/C and Namungodi T/C.

4. Mr. Wandera Robert was substantively appointed as an Accounts Assistant on 25th August, 2021 as directed by the DSC Minute No. 11/2021, signed by Mr. Joseph Balisanyuka the CAO and was deployed at Masinya S/C.

5. Mr. Oundo Leonard was substantively appointed as an Accounts Assistant on 5th July 2011 as directed by the DSC Minute No. 225/2011, signed by Mr. Yusuf Sentenza CAO and was deployed at Majanji S/C.

6. Mr. Ouma Darlington was substantively appointed as a Senior Accounts Assistant on September 13th, 2005 as directed by the DSC Minute No. 96 (ii)/2005, signed by Mr. Charles A. Okello the CAO and was deployed at Buteba S/C.

7. Ojjambo Boniface, a Senior Accounts Assistant was substantively appointed on 4th June, 2018 as directed by the DSC Minute No. 14/2018, signed by Mr. Adeya Vincent for CAO and was deployed at Masabu S/C.

8. Amisi Hussein was substantively appointed as an Accounts Assistant on June 12, 2015 as directed by the DSC Minute No. 47/2015, signed by the CAO Mr. Wopuma George William and was deployed at Sikuda S/C.

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	The LG had released 85% allocated funds to Natural resources department as indicated below::	0
	Maximum score is 4	a. Natural Resources department, score 2 or else 0	Revised Budget was Ugx 607,195,975, Warranted Amount 607,195,975 Actual Amount released 517311518 Constituting 85%	
3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	The LG had released 100.0% of allocated funds to Natural resources department as indicated below:	2
	Maximum score is 4	b. Community Based Services department. score 2 or else 0.	Revised Budget 161,214,640 Warranted Amount 161,214,640 Actual Amount released 161,214,435 Constituting 100.0%	
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0	There was no evidence of the Environmental, Social and Climate Change screening reports for the two DDEG financed projects. Those were; The Construction of Sikuda Sub-county Administration Block (Phase 2). The Construction of Busime Sub-county Administration Block (Phase 2). The Senior Environment Officer claimed to have screened for the phase I of the above projects but no screening reports availed as requirement to have screened the previous FY 2022/2023 projects.	0
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG), score 4 or 0	The DDEG financed projects never required preparation of full ESIA reports since they lie under projects listed under Part 2 of Schedule 4 of the National Environment Act no. 5 of 2019 thereby requiring preparation of ESMPs to mitigate/ manage any anticipated during screening.	4
	Maximum score is 12			

4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>	<p>c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;</p> <p>score 4 or 0</p>	<p>There was no evidence of screening reports and ESMPs for the DDEG financed projects for the FY 2022/2023 availed during assessment.</p>	0
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Financial management and reporting

5	<p>Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.</p> <p>Maximum score is 10</p>	<p>If a LG has a clean audit opinion, score 10;</p> <p>If a LG has a qualified audit opinion, score 5</p> <p>If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0</p>	<p>Busia LG had an unqualified audit opinion in the FY 2022/2024.</p>	10
6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p> <p>maximum score is 10</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p> <p>score 10 or else 0.</p>	<p>The LG had provided information to the Chairperson, Parliamentary Public Accounts Committee on the Status of implementation of Auditor General's findings FY 2021/2022 on 12th June 2023 as per acknowledgement Stamp. However, there was no evidence at the time of assessment that the said information had been provided to PS/ST as required. Additionally, there was no documentary evidence at the time of assessment that the LG had provided information to PS/ST on the Status of implementation of Internal Auditor General's findings FY 2021/2022</p>	0
7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p> <p>Maximum Score 4</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p> <p>score 4 or else 0.</p>	<p>The LG had Submitted an annual performance Contract FY 2023/2024 on 29th June 2023 via online reporting System (PBS)</p>	4

8	Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year maximum score 4 or else 0	If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year, score 4 or else 0.	Annual Budget Performance Report for FY 2022/2023 was Submitted on 23rd August 2023 Via online reporting System (PBS)	4
9	Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year Maximum score is 4	If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year, score 4 or else 0.	Quarter one Budget Performance Report was Submitted on 23rd December 2022 Via online reporting System PBS) Quarter two Budget Performance Report was Submitted on 31st January 2023 Via online reporting System (PBS) Quarter three Budget Performance Report was Submitted on 10th May 2023 Via online reporting System (PBS) Quarter four Budget Performance Report was Submitted on 23rd August 2023 Via online reporting System (PBS)	4

Education Minimum Conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	The District did not have a substantively appointed District Education Officer and had not sought for a formal secondment from Central Government to fill the position. However, Ms. Mwesigwa Harriet a substantive Principal Education Officer was assigned duties of a District Education Officer on March 4th, 2022 as directed by the DSC Minute No. CR/153/1, signed by the CAO Mr. Joseph Balisanyuka.	0
	<i>The Maximum Score of 70</i>			
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	b) All District/Municipal Inspector of Schools, score 40 or else 0.	The District had two Inspectors of Schools and appointed only one substantively, for example, 1. Wanyama Lumumba Patrice was substantively appointed as an Education Officer (Inspectorate) on 23 August, 2006 as directed by the DSC Minute No. 102/2006, signed by the CAO Mr. Charles A. Okello. 2. Mr. Menya J. J. M. Kennedy a headteacher, was assigned duties as a Senior Inspector of Schools on 21st January, 2020 through letter reference CR/153/1, signed by the CAO Mr. Joseph Balisanyuka.	0
	<i>The Maximum Score of 70</i>			
Environment and Social Requirements				
2	Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)	If the LG carried out: a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.	There was evidence of Environmental and Social screening reports for the Education projects implemented in the previous FY 2022/2023 signed by the SEO and DCDO. There were screening reports for; The construction of a 2-classroom block at Sidimbere Primary School dated 03/02/2023. The construction of a 2-classroom block at Bulengi Primary School dated 3/02/2023. The construction of a 2-classroom block at Buwanda Primary School dated 01st/02/2023.	15
	The Maximum score is 30			

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is 30

If the LG carried out:

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

There was no need of conducting full ESIAs for the Education projects since they lie under Part 2 (construction of/in public schools) of Schedule 4 of the National Environment Act no. 5 of 2019 thereby requiring preparation of ESPMs after screening.

There were ESMPs signed by the SEO and DCDO for;

The construction of a 2-classroom block at Sidimbere Primary School dated 08/02/2023 costed at UGX. 1,500,000/=.

The construction of a 2-classroom block at Bulengi Primary School dated 08/02/2023 costed at UGX. 1,500,000/=.

The construction of a 2-classroom block at Buwanda Primary School dated 08/02/2023 costed at UGX. 1,500,000/=.

**Health Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.</p>	<p>Dr. Wabwire Mathias Panyako was substantively appointed as the District Health Officer on April 8th, 2021 as directed by the DSC Minute No.49/2021, signed by the CAO Mr. Joseph Balisanyuka.</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0</p>	<p>Ms. Nanyama Benah was substantively appointed as the Assistant District Health Officer Maternal Child Health and Nursing on 28th April, 2014 as directed by the DSC Minute No.165/2014, signed by Mr. Okumu Christopher the CAO.</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>c. Assistant District Health Officer Environmental Health, score 10 or else 0.</p>	<p>Mr. Wameana Dick was substantively appointed as Assistant District Health Office Environment Health on 8th July, 2019 as directed by the DSC Minute No. 37/2019, signed by Mr. Walter Iriama the CAO.</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.</p>	<p>This position was vacant at the time of assessment and the LG had not formally requested for secondment.</p>	0
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>e. Senior Health Educator, score 10 or else 0.</p>	<p>The personal file of a Senior Health Educator was not availed to the performance assessment team</p>	0

1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>Ms. Balwanaki Carolyn was substantively appointed as the Biostatistician on 27th July, 2020 as directed by the DSC Minute No.04/2020, signed by Mr. Joseph Balisanyuka the CAO.</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>The LG had substantively appointed Mr. Ngobi Henry as the District Cold Chain Technician on 23rd June, 2022 as directed by the DSC Minute No. 44 (i)/2022, signed by the CAO Mr. Joseph Balisanyuka</p>	10
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>i. Principal Health Inspector, score 20 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>j. Health Educator, score 20 or else 0</p>		

Environment and Social Requirements

2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>There was evidence of screening reports for the Health projects implemented in the FY 2022/2023 signed by the SEO and DCDO. The reviewed screening reports were for;</p> <p>The renovation of Namugondi HC II (OPD Block) dated 12/12/2022.</p> <p>The construction of 2 in 1 staff house at Bumuji HC III dated 13/12/2022.</p> <p>The construction of a staff house at Sikuda HC III dated 13/12/2022.</p>	15
2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>b. Social Impact Assessments (ESIAs) , score 15 or else 0.</p>	<p>There was evidence of costed ESMPs for the health projects implemented in the previous FY 2022/2023 signed by the SEO and DCDO. The costed ESMPs reviewed were for;</p> <p>The renovation of Namugondi HC II (OPD Block) dated 14/12/2022 costed at UGX. 1,500,000.</p> <p>The construction of 2 in 1 staff house at Bumuji HC III dated 14/12/2022 costed at UGX. 2,000,000.</p> <p>The construction of a staff house at Sikuda HC III dated 14/12/2022 costed at UGX. 2,000,000.</p>	15

**Micro-scale Irrigation
Minimum Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p>	<p>If the LG has recruited;</p> <p>a. the Senior Agriculture Engineer</p> <p><i>score 70 or else 0.</i></p>	<p>This position was vacant at the time of assessment and the LG had not formally sought secondment from Central Government to fill the vacancy.</p>	0
Environment and Social Requirements				
2	<p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p>	<p>If the LG: Carried out Environmental, Social and Climate Change screening score 30 or else 0.</p>	<p>There was evidence of Environmental and Social screening reports for the Micro Scale Irrigation projects implemented in the previous FY 2022/2023 signed by the DCDO and Seniors Environment Officer.</p> <p>There were Screening reports for;</p> <p>Mr. Wafula Ogumba John’s Micro Scale Irrigation site in Lumino Sub-county dated 16/01/2023.</p> <p>Mr Vincent Nasiga Najanga’s Micro Scale Irrigation site in Masaba Sub-county dated 17/01/2023.</p> <p>Busitema University Micro Scale Irrigation Site in Busitema Sub-county dated 18/01/2023.</p> <p>There was evidence of Costed Environmental and Social Management Plans (ESMPs) for the above Micro Scale Irrigation projects prepared by the SEO and DCDO.</p> <p>The ESMP for Mr. Wafula Ogumba John’s Micro Scale Irrigation site in Lumino Sub-county dated 19/01/2023 costed at UGX. 2,000,000.</p> <p>The ESMP for Mr Vincent Nasiga Najanga’s Micro Scale Irrigation site in Masaba Sub-county dated 19/01/2023 costed at UGX. 2,000,000.</p> <p>The ESMP for Busitema University Micro Scale Irrigation Site in Busitema Sub-county dated 19/01/2023 costed at UGX. 2,000,000.</p>	30

**Water & Environment Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	This position was vacant at the time of assessment and the LG had not formally sought for secondment from the Central Government	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	This position was vacant at the time of assessment and the LG had not formally sought for secondment from the Central Government.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	Mr. Wandera Geoffrey Nathan was substantively appointed as the Assistant Engineering Officer on 12th February, 2008 as directed by the DSC Minute No. 56/2007, signed by Aggrey Freddie Ngobi the CAO.	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	This position was not provided for in the approved structure of Busia DLG as per 30th August, 2017 endorsed by Salome Nyamungu for PS.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	This position was vacant at the time of assessment and the LG had not formally sought for secondment from the Central Government.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	f. Forestry Officer, score 10 or else 0.	Ms. Taaka Lilian was substantively appointed as the Forestry Officer on 24th April, 2018 as directed by the DSC Minute No. 9/2018, signed by Adeya Vincent for CAO	10

Environment and Social Requirements

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

If the LG:

a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.

There was evidence of Environmental and Social screening reports for the Water projects implemented in the previous FY 2022/2023 signed by the Senior Environment Officer (SEO) and DCDO.

There were screening reports for;

The Drilling and installation of a hand pump well (borehole) at Amunoit Village in Sikuda sub-county dated 5/01/2023.

The Drilling and installation of a hand pump well (borehole) at Bukuhu Village in Lunyo Sub-county dated 8/01/2023.

The Drilling, casting and installation of a production well at Akipenet Village (phase I) in Buteba Sub-county dated 03/01/2023.

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.

All the water projects implemented in the FY 2022/2023 never required conducting of full ESIAs since they lie under Part 2 Schedule 4 of the National Environment Act No. 5 of 2019 thereby requiring preparation of ESMPs to mitigate any anticipated impacts during screening.

There was evidence of costed ESMPs for the water projects signed by the SEO and DCDO for;

The ESMP for the Drilling and installation of a hand pump well (borehole) at Amunoit Village in Sikuda sub-county dated 17/01/2023 costed at UGX 982,000.

The ESMP for the Drilling and installation of a hand pump well (borehole) at Bukuhu Village in Lunyo Sub-county dated 17/01/2023 costed at UGX. 982,000

The ESMP for the Drilling, casting and installation of a production well at Akipenet Village (phase I) in Buteba Sub-county dated 17/01/2023 costed at UGX. 982,000.

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.

Busia DLG implemented only boreholes in the FY 2022/2023. Also, the two piped water systems in the District; Masafu piped water system and Busia water Supply System, were not in its management and so there was no need for the District to acquire abstraction permits from the DWRM.