



**THE REPUBLIC OF UGANDA**

**OFFICE OF THE PRIME MINISTER**

**ENVIRONMENTAL and SOCIAL  
COMMITMENT PLAN (ESCP)**

**For  
ENHANCEMENT OF PRODUCTIVITY,  
ACCOUNTABILITY AND KNOWLEDGE SYSTEMS  
(EPAKS) PROJECT**

**PRIME MINISTER'S DELIVERY UNIT (PMDU)**

**Plot 10-11 Apollo Kaggwa Road**

**P.O. Box 341, Kampala**

**TF Grant N0. TF073022**

**October 2021**

# Uganda / Strengthening PIM in Uganda – Prime Minister’s Delivery Unit (PMDU), Office of the Prime Minister (OPM)

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Office of the Prime Minister, through one of its units, the Prime Minister’s Delivery unit (PMDU) Under the Strategic Coordination and Policy Implementation department, is planning to implement the “Enhancement of Productivity, accountability and Knowledge systems (**EPAKS-the Project**). The International Bank for Reconstruction and Development/International Development Association (the “Bank”), acting as administrator of grant funds under the Partnership for Support to the Implementation of Uganda’s National Development Plan II Multi-Donor Trust Fund, has agreed to provide financing for the Project.
2. Office of the Prime Minister, through EPAKs, will implement material measures and actions so that the Project is implemented in accordance with the World Bank Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out a summary of the material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. The table below summarizes the material measures and actions that are required as well as the timing of the material measures and actions. Office of the Prime Minister is responsible for compliance with all requirements of the ESCP.
4. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by OPM through Prime Minister’s Delivery Unit (PMDU) as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
5. As agreed by the Bank and Prime Ministers’ Delivery Unit, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, OPM, through PMDU, will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and the Office of the Prime Minister (OPM). OPM, through PMDU will promptly disclose the updated ESCP. Depending on the project, the ESCP may also specify the funding necessary for completion of a measure or action.
6. Where Project changes, unforeseen circumstances such as Public health emergencies (COVID-19), or Project performance result in changes to the risks and impacts during Project implementation, OPM shall agree on the best way feasible to solicit financing needed to implement actions and measures to address such risks and impacts.

<b>Strengthening Public Investment Management in Uganda</b>					
<b>ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN</b>					
<b>Revised August 2021</b>					
<b>Summary of the Material Measures and Actions to Mitigate the Project's Potential Environmental and Social Risks and Impacts</b>		<b>Timeframe</b>	<b>Responsibility / Authority and Resources/Funding Committed</b>	<b>Date of Completion</b>	
<b>ESCP Monitoring and Reporting</b>					
A	<b>REGULAR REPORTING:</b> Prepare and submit regular monitoring reports on the implementation of the ESCP on the environmental, social, health and safety (ESHS) performance of the project, including but not limited to, stakeholder engagement activities and grievances log	As part of the Project Reports to be submitted to the Bank quarterly under Article II 2.05 of the Agreement.	PMDU	Throughout Project implementation.	
B	<b>INCIDENTS AND ACCIDENTS NOTIFICATION:</b> Promptly notify any incident or accident related or having an impact on the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken to address it.	Notify the Bank within 48 hours after taking knowledge of the incident or accident. Root-cause analysis to be provided within fifteen days.	PMDU	Within specified timeframe.	
<b>SUMMARY ASSESSMENT</b>					
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>					
1.1	<b>ORGANIZATIONAL STRUCTURE:</b> The Project Lead Coordinators for education and health at OPM/PMDU will be responsible for the implementation of the ESCP, as specified in its terms of reference.	Throughout Project implementation.	PMDU	Throughout Project implementation.	
1.2	<b>ENVIRONMENTAL AND SOCIAL ASSESSMENTS:</b> Given that this is a low impact project for which likely Environmental and Social risks and impacts can be managed at respective Local government level or escalated appropriately; the Borrower's Environment and Social	Throughout Project implementation.	PMDU/Respective DLGs	Throughout Project implementation.	

	Screening forms, which are based on national legislations and used at Local government level will guide the screening for E&S risks and recommendation of appropriate mitigation measures where needed during project implementation. PMDU to ensure respective district Environment Officers and Community Development Officers are engaged to conduct the screening.			
1.3	<p><b>CAPACITY BUILDING</b></p> <p>Conduct ESF capacity training of relevant project team and line ministries personnel to manage any E&amp;S risk</p> <p>The ESF capacity training will be carried out by WB's E&amp;S specialists.</p>	Prior to project implementation and throughout the project life circle	OPM/PMDU	Throughout project implementation.
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>				
2.1	<p><b>LABOR MANAGEMENT PROCEDURES:</b></p> <p>Labor Management Procedures (LMP) have been developed and will be implemented to the letter.</p>	Prior to the start of project activities. With procedures put in place and thereafter maintained for project implementation.	PMDU	Within the specified timeframe.
2.2	<p><b>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</b></p> <p>Implement occupational, health and safety (OHS) measures specified in the LMP.</p>	Throughout Project implementation.	PMDU/Respective DLGs	Throughout project implementation.
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>				
3.1	<p><b>E-WASTE MANAGEMENT PLAN</b></p> <p>The biometric equipment to be procured under the project shall be disposed of (when necessary) following the National E-Waste Management regulations. Disposal of the biometric gadgets (at end of useful life) will be handled by the Procurement and Disposal departments (of the responsible Ministries) according to the Procurement and Disposal of Public Assets regulations.</p>	During project implementation	PMDU/MoES/MoH	During project implementation

3.2	<p><b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b></p> <p>To minimize waste from the project activities, product specifications for the biometric devices will ensure that all packaging material is biodegradable cardboard paper with no hazardous coating and print both for outside body and inside-box shock absorbing formations. (Plastic foam will be avoided). Gadget users to retain the packaging materials for re-use in transportation of the devices to the District focal persons in case of need of repair. During the lifespan of the project the PMDU will provide gadget repair support to the district local governments, schools and health facilities during the routine monitoring visits.</p> <p>PMDU is to encourage the respective District Local Governments to budget for maintenance and repair of the devices in their annual work plans.</p>	<p>During procurement of the biometric devices</p> <p>Throughout project implementation</p>	<p>PMDU</p> <p>PMDU</p>	<p>Procurement of the biometric devices</p> <p>Throughout project implementation</p>
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>				
4:1	<p><b>COMMUNITY HEALTH AND SAFETY</b></p> <p>Project to institute measures to reduce the risk of spread of Covid-19 during the project activities in line with Ministry of Health Standard Operating Procedures.</p>	<p>Throughout project implementation</p>	<p>PMDU</p>	<p>Throughout project implementation</p>
4:2	<p><b>DATA SAFETY</b></p> <p>Data safety is complex, complicated and expensive depending on the level of safety you desire yet very vital to have optimal data safety. The anticipated risks to the concerned data includes destroying, modification of data and unauthorized reading of data.</p> <p><b>Ensuring data safety</b></p>	<p>Throughout project implementation</p>	<p>PMDU</p>	<p>Throughout project implementation</p>

	<p>PMDU shall ensure that the data collected is managed in an affordable way, putting into consideration the principle of value for money as follows:</p> <ul style="list-style-type: none"> <li>• <b>Client- End Data safeguards</b></li> <li>✓ Android operation system is inherently a robust system, and therefore its robustness shall be taken advantage of</li> <li>✓ PMDU shall avoid remote manipulation by ensuring that all the client biometric information is compiled and the inherent software solutions utilized to guarantee safety and other transmission of data to and fro desired locations.</li> <li>✓ The TORs for development of software shall cater for intentional Software backdoors, to avoid illegitimate use of the system or its data.</li> <li>• <b>Server-End Data safeguards</b></li> <li>✓ OPM and MOH have running Norton Server security licenses which will be utilized to safeguard the biometric data.</li> <li>✓ Proper user protocols shall be put in place in collaboration with MoH, to avoid abuse access rights to the detriment of biometric data.</li> </ul>			
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>				
10:1	<p><b>SEP IMPLEMENTATION</b></p> <p>Implement the Stakeholder Engagement Plan (SEP) that has been prepared for the Project, including the grievance redress mechanisms described in the SEP.</p>	Prior to project implementation and throughout Project implementation	PMDU	Throughout Project implementation
10:2	<p><b>GRIEVANCE MECHANISM</b></p> <p>Project related grievances shall be resolved using the existing structures at the implementing local governments, health</p>	Throughout project implementation	PMDU	Throughout project implementation

	facilities and schools with an OPM oversight for recording and monitoring to ensure reported grievances are resolved in a timely manner.			
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