



LGMSD 2022/23

Tororo District

(Vote Code: 554)

Assessment	Scores
Crosscutting Minimum Conditions	57%
Education Minimum Conditions	70%
Health Minimum Conditions	85%
Water & Environment Minimum Conditions	75%
Micro-scale Irrigation Minimum Conditions	100%
Crosscutting Performance Measures	70%
Educational Performance Measures	74%
Health Performance Measures	54%
Water & Environment Performance Measures	80%
Micro-scale Irrigation Performance Measures	82%

**Crosscutting
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): If so: Score 4 or else 0 	<p>There was evidence that infrastructure project of rehabilitated District headquarters Administration Block (White House) implemented using DDEG funding was functional and utilised as per intended purpose</p>	4
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> By more than 5%, score 3 1 to 5% increase, score 2 If no increase, score 0 <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>As per the LLGs performance assessment results; average score for FY 2021/2022 was 40% average score for FY 2022/2023 was 62% resulting in an increment of 22%.</p>	3
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> If 100% the projects were completed : Score 3 If 80-99%: Score 2 If below 80%: 0 	<p>There was evidence that the DDEG funded investment projects of rehabilitating District headquarters Administration Block (White House), Procurement of two Laptops at District level, procurement of Office Chair, Five (5) Small flags and three (3) big flags were Completed as per Annual work plan. All the aforesaid projects were reflected on page 1 of the Annual Work Plan</p>	3

3	Investment Performance Maximum 4 points on this performance measure	a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines: Score 2 or else score 0.	The LG budgeted for DDEG at 130,727,000 and Spent 130 577,000. The following were the Expenditure items: Rehabilitation of District Administration Block budgeted for 120,727,000 and Spent 120,727,000, Purchase of 2 Laptops: Budgeted for 6,000,000 and Spent 5,900,000 Purchase of Office Chair and flags: Budgeted for 4,000,000 and Spent 3950,000 All DDEG was spent on eligible expenditures as per the DDEG guidelines.	2
3	Investment Performance Maximum 4 points on this performance measure	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates, score 2 or else score 0	The variations in the contract price and Engineer's estimates of the sampled DDEG projects were as follows: Variation = 100% ((Contract Price - Engineers Estimate)/Engineer's Estimate)) Toro 554/Wrks/22-23/00009. Rehabilitation of White House (District Admin Block was budgeted at UGX 492,471,872/= actual contract price was UGX 492,471,872/= with a variation of UGX 0/= represented by 0% In conclusion, The variations were within the range of +/- 20% provided in the manual.	2

Performance Reporting and Performance Improvement

4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate,</p> <p>score 2 or else score 0</p>	<p>There was sufficient evidence to show that the information on the positions filled in LLGs was accurate. For instance, from the three sampled LLGs, Osukuru Town Council the staff list as per 28th August 2023 and HRM staff list had 20 staff Okoth R. Kitang as the Town Clerk, Amusolo Daisy as the Senior Community Development Officer and Othien Joseph Martin as an Accounts Assistant among others.</p> <p>Molo Sub County staff list as per 25th November, 2022 had 9 staff, Emun Samuel Opuu Senior Assistant Secretary, Omaset Thomas as the Community Development Officer and Emuria Herbert as an Accounts Assistant extra.</p> <p>Mukuju Sub County staff list as per 30th June, 2023 and HRM staff list had 11 staff Nasirumbi Damalie as the Senior Assistant Secretary, Among Juliet as the Community Development Officer and Apolot Magdalene as the Accounts Assistant among others.</p>	2
4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> • If 100 % in place: Score 2, else score 0. <p><i>Note: if there are no reports produced to review: Score 0</i></p>	<p>There was evidence that infrastructure of District Headquarters Administration block rehabilitated. Using DDEG was in place as per 4th Quarter Budget Performance Report FY 2022/2023.</p>	2

5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> <p>score 4 or else 0</p> <p>NB: The Source is the OPAMS Data Generated by OPM.</p>	<p>The 4 sampled LLGs were Nabuyoga S/C, Nyangole S/C, Sere S/C and Magodes T/C. These had assessment results as follows;</p> <ol style="list-style-type: none"> 1. Nabuyoga S/C scored 30% based on the assessment conducted by the DLG and 50% based on IVA. The deviation between the two was -20%. 2. Nyangole S/C scored 97% based on the assessment conducted by the DLG and 90% based on IVA. The deviation was 7%. 3. Sere S/C scored 54% based on the assessment conducted by the DLG and 52% based on IVA. The deviation was 2%. 4. And Magodes T/C scored 82% based on the assessment conducted by the DLG and 79% based on IVA. The deviation was -3%. <p>One of the sampled LLGs had its deviations outside the allowed +/- 10%. Hence the assessment was not credible.</p>	0
---	--	---	--	---

5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	<p>There was no evidence to show that the District had developed a performance improvement plan for at least 30% of the lowest performing LLGs for the Financial Year 2023/2024.</p>	0
---	--	---	--	---

5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence that the District had implemented the PIP for the lowest performing LLGs in the Financial Year 2022/2023.</p>	0
---	--	---	--	---

Human Resource Management and Development

6	<p>Budgeting for and actual recruitment and deployment of staff</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.</p> <p>Score 2 or else score 0</p>	<p>The District had consolidated and submitted the staffing requirements for the coming Financial Year on 29th September, 2023.</p>	2
---	---	--	---	---

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p> <p>Score 2 or else score 0</p>	<p>The LG conducted a tracking analysis of staff attendance on a monthly basis during Financial Year 2022/ 2023. For instance a tracking and analysis report dated 4th January, 2023 covering the months of February, March and April 2023.</p>	2
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p>	<p>There was evidence that the LG had conducted an appraisal with the HoDs as per guidelines issued by MoPS. For example,</p> <ol style="list-style-type: none"> 1. Mr. Asaya Andrew Peter Ag. District Engineer was appraised by Mr. Atama Gabriel Richard on 30th June, 2023. 2. Ms. Aol Mary Everlyne Ag. District Natural Resources Officer was appraised by Mr. Atama Gabriel Richard the CAO on 20th June, 2023. 3. Mr. Abudu Mark the Chief Finance Officer was appraised by Atama Gabriel Richard the CAO on 30th June, 2023. 4. Mr. Owino Felix a District Planner was appraised by Mr. Atama Gabriel Richard the CAO on 30th June, 2023. 5. Dr. Obbo Okoth a District Health Officer was appraised by Mr. Atama Gabriel Richard the CAO on 29th June, 2023. 6. Mr. Okware Patrick a District Production Officer was appraised on 29th June, 2023 by the CAO Mr. Atama Gabriel Richard. 7. Mr. Etyang George Emukule Ag. District Commercial Officer was appraised on 30th June, 2023 by the CAO Mr. Atama Gabriel Richard. 8. Mr. Odoi Albert Ag. DEO was appraised on 20th June by the CAO Mr. Atama Gabriel Richard. 9. Mr. Oguti Owendo Vincent Ag. Distinct Community Development Officer was appraised by the CAO Mr. Atama Gabriel Richard on 20th June, 2023. 	1

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to “a” above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>The rewards and sanction committee was established on 13th December, 2016 and was functional and had considered various cases for example;</p> <p>The meeting was held on the 16th September, 2022 at the District Council Chambers under Minute No. 4/TDLG/RSC/1/2022.</p> <p>Mr. Okia Sam, a Nursing Officer had been sanctioned to be cautioned against abscondment from duty from July 2012 to 2.</p> <p>The Officer apologised and acknowledged his absence from duty from October 2012 to December 2021. The committee recommended the Officer be submitted to the District Service Commission for a reprimand, reinstated to the payroll with effect from 1st January, 2022 and the period of absence to be treated as leave without pay.</p>	1
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>The District had established a Consultative Committee on 9th May, 2016 which was functional and appointed members as follows;</p> <ol style="list-style-type: none"> 1. Mr. Magiri Joseph D/CAO Chairperson 2. Mr. Augstine Severino Oteba PHRO Secretary 3. Mr. Okello Geoffrey Finance Officer UGAWU Member 4. Mr. Okumu Kerizoni Head teacher (UNATU CIP TORORO branch) Member. 5. Sr. Nyafwono Connie Bwire ADHO Member 	1
8	<p>Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:</p> <p>Score 1.</p>	<p>The District had 14 new staff recruited in the Financial Year 2022/2023 and all accessed their salary payroll within a period of two months after appointment. For example;</p> <ol style="list-style-type: none"> 1. Nakyanzi Moreen Enrolled Midwife assumed duty on 19th July, 2023 and accessed salary payroll in August, 2023. 2. Oluka Silas Senior Clinical Officer assumed duty on 3rd June, 2023 and accessed salary payroll in June, 2023. 3. Awori Juliet Enrolled Nurse assumed duty on 19th July, 2023 and accessed 	1

salary payroll in August, 2023.

4. Amuge Scovia a Cold Chain Technician was posted on 3rd June, 2023 and accessed salary pay roll in June 2023.

5. Owor Joseph Assistant Agricultural Officer was posted on 3rd June, 2023 and accessed salary payroll in June, 2023.

6. Olweny Lamu Omalla Senior Internal Auditor was posted on 3rd June, 2023 and accessed salary payroll in June, 2023.

7. Nyayuki Florence Askari was posted on 3rd June 2023 and accessed salary payroll in June 2023.

8. Okello Gerald a Parish Chief was posted on 3rd June, 2023 and accessed salary payroll in June, 2023.

9. Apero Eveline Catherine a Parish Chief was posted on 3rd June, 2023 and accessed salary payroll in June, 2023

10. Ogwen John Emmanuel a Parish Chief was posted on 3rd June, 2023 and accessed salary payroll in June, 2023.

11. Ofumbi Francis a Parish Chief was posted on 3rd June, 2023 and accessed salary payroll in June, 2023.

12. Oketcho Japheth Jerry a Parish Chief was posted on 3rd June, 2023 and accessed salary payroll in June, 2023.

13. Aboth Stella CDO was posted on 3rd June, 2023 and accessed salary payroll in August in 2023.

14. Adikin Beatrice CDO was posted on 3rd June, 2023 and accessed salary payroll in August, 2023.

Pension Payroll management

Maximum 1 point on this Performance Measure or else score 0

a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:
Score 1.

The District had 106 staff who retired in 2022/2023 and not all retirees accessed their pension payroll in time. For example;

1. Ofwono Charles Kadoketch retired on 30th November 2022 and accessed pension payroll in January, 2023.

2. Oketch Benedicto retired on 12th December, 2022 and accessed pension payroll in January, 2023.

3. Ojangole Lawrence retired on 10th February, 2023 and accessed pension payroll in March 2023.

4. Owor Lawrence retired on 1st September, 2022 and accessed pension payroll in October, 2022.

5. Ochomo Patrick retired on 2nd October, 2022 and accessed pension payroll in November, 2022.

6. Alokait Mansiliana retired on 1st July, 2022 and accessed pension payroll on September, 2022.

Those who did not access in time were,

7. Kasili Philip retired on 15th August, 2022 and accessed in March, 2023.

8. Onyango James Koth retired on 26th June, 2022 and accessed pension payroll in April, 2023.

9. Aure Albert retired on 1st January, 2023 and accessed pension payroll in May, 2023.

10. Onyango Vally retired on 3rd November, 2022 and accessed pension payroll in May, 2023.

Management, Monitoring and Supervision of Services.

10

N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery

Maximum 6 points on this Performance Measure

a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:

Score 2 or else score 0

Information to DDEG funds budgeted and transferred to the LLG in the FY 2022/2023 was not availed for assessment.

10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery Maximum 6 points on this Performance Measure	b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).	Information to DDEG funds budgeted and transferred to the LLG in the FY 2022/2023 was not availed for assessment.	0
		Score: 2 or else score 0		
10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery Maximum 6 points on this Performance Measure	c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:	According to the sampled LLGs Molo Sub County, Mukuju Sub County and Osukuru Town Council the LG invoiced and communicated within 5 working days. Quarter 2 was invoiced and communicated on 1st December, 2022. Quarter 3 was invoiced and communicated on 21st February 2023. In all the 3 LLGs communications were made within 5 working days. For Example, Mukuju S/C . Quarter 2 communications were made on 1st December, 2022. which was within 5 working days . Quarter 3 communication was made on 21st February, 2023	2
		Score 2 or else score 0		
11	Routine oversight and monitoring Maximum 4 points on this Performance Measure	a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:	There was evidence that the LG had supervised and monitored all LLG on a quarterly basis. In place were supervision and monitoring reports for the LLGs dated 19th October 2022 for quarter 1, 9th December 2022 for quarter 2, 3rd February 2023 for quarter 3 and 3rd May 2023 for quarter 4.	2
		Score 2 or else score 0		
11	Routine oversight and monitoring Maximum 4 points on this Performance Measure	b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:	There was evidence that the TPC had discussed supervision and monitoring reports. These were discussed in the TPC meetings which were held on 20th September 2022, 11th October 2022, 11th January 2023 and 6th June 2023.	2
		Score 2 or else score 0		

Investment Management

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</p>	<p>The LG consolidated assets register was not availed for assessment.</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>Evidence on actions taken on the recommendations in the Board of Survey Report was not provided for assessment.</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>Evidence of Physical Planning Committee Meetings nor submission of their minutes to MoLHUD was not provided for assessment.</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d. For DDEG financed projects; Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>There was evidence to show that LG conducted desk appraisal for DDEG funded investment.</p> <p>Desk appraisal report for completion of renovation of District Administration block dated 25th July 2023.</p> <p>The project was derived from the LGDP page 235.</p>	2

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was evidence to show that LG conducted field appraisal for DDEG funded investment</p> <p>Field appraisal report for completion of renovation of District Administration block dated 23rd July 2023.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>The LG provided evidence of project profiles through the project profile reports dated 24th August 2022, however there was no evidence that they were discussed in TPC.</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>There was evidence of the Environmental and Social screening reports and Costed ESMPs for the Two DDEG financed projects for the current FY 2023/2024 signed by the SEO and DCDO.</p> <p>There were screening reports for;</p> <p>The Renovation of District Staff House (LCV chairperson's residence) in Amoro 'A' South in Western Division dated 2/06/2023 and costed ESMP at UGX. 1,300,000/=.</p> <p>The Construction of Administration Block at Osia Sub-County dated 1/06/2023 and costed ESMP at UGX. 1,300,000/=.</p>	2
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>PDU of the DLG had evidence that all infrastructure projects for current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan signed on 02nd Sept 2023 by the DCAO.</p> <p>The Included Project was;</p> <p>Construction of Administration Block at Osia Sub county.</p>	1

13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0</p>	<p>The Contracts Committee had not yet sat to approve the Construction of Administration Block at Osia Sub county .</p>	0
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines: Score 1 or else 0</p>	<p>The LG had a project implementation team established as per CAO letter of appointment of team members dated 05th Aug 2022.</p> <p>The team members included;</p> <ul style="list-style-type: none"> • Albert Odoi (DEO) • Obbo Okoth (DHO) • Asaya Andrew (DE) • Leo Owora Adong (DWO) • Aol Evelyn (Natural resource) • Oguti Vicent (DCDO) • Onyango Jerome (Labor officer) <p>Appointments of different Clerks of Works.</p> <p>On 13th July 2022.</p> <ul style="list-style-type: none"> • Okada Paul Stephen (COW for Education Projects) • Okech Richard (COW for Education Projects) • Osinde Martin (CoW for Health Projects) 	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer: Score 1 or else score 0</p>	<p>There was evidence to show that all Rehabilitated works on the White House Project were good, i.e. the replaced roof was good, entire painting works, both Internally and Externally works were good, some doors and their door locks were well replaced, Toilet system was also replaced well, Splash apron was also redone and it was good with no cracks.</p>	1

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0	There was no Evidence which showed that the Environment and CDO supervised the works.	0
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement): Score 1 or else score 0	The LG had evidence of Certified works and payments initiated within timeframes in sampled project examples: Toro 554/Wrks/22-23/00009 Rehabilitation of Tororo DLG White House was awarded to Whimsy (U) Ltd as the contractor; payment request made by the contractor on 31st Jan 2023 verified and Certified by the DE on 01st Feb 2023 and subsequent payment initiated and timely paid on 27th March 2023 under Voucher No. 4552900	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law: Score 1 or else 0	From the file, there was evidence to show that the LG had a complete procurement file with all records as per PPDA. From the review of project file; Toro 554/Wrks/22-23/00009 Rehabilitation of Tororo DLG White House was awarded to Whimsy (U) Ltd as the contractor, Approval of the Evaluation Report was done on 26th May 2023 Under minute number MIN: 588/DCC/2022 and Contract signing was done on 17th Oct 2022.	1

Environment and Social Safeguards

14	Grievance redress mechanism operational. Maximum 5 points on this performance measure	a. Evidence that the District/Municipality has i) designated a person to coordinate response to feedback (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant. Score: 2 or else score 0	There was evidence of the appointment letter for the Grievance Focal Person Mr. Magiri Joseph (DCAO) dated 5/06/2021 from Ms. Susan Asemenye (Senior Assistant Secretary) on behalf of the CAO. There were appointment letters for the Grievance Redress Committee (GRC) members dated 10/09/2022. There were GRC meeting minutes dated 28/04/2023 and 27/09/2023 for meetings held at the council Chambers.	2
----	--	---	--	---

14	Grievance redress mechanism operational. Maximum 5 points on this performance measure	b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices. If so: Score 2 or else 0	There was evidence of a Centralised Grievance Log with a clearly defined grievance redress framework. There was also a Grievance Redress Mechanism in place and availed to the Assessor.	2
14	Grievance redress mechanism operational. Maximum 5 points on this performance measure	c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress. If so: Score 1 or else 0	There was evidence of the Grievance Redress Mechanism publicised on the LG public Notice Board by assessment time.	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0	There was evidence that environment, social and climate change interventions had been integrated into the LG development plans, annual work plan and budget for FY 2023/2024. for instance the said issues were reflected on page 47 Of the LGDP, and work plan page 42, in the budget page 38 and there was a budget provision of UGX 478,557,320.	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management score 1 or else 0	The LG provided evidence for dissemination of DDEG guidelines as per the reported dated 3rd May 2023.	1

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	(For investments financed from the DDEG other than health, education, water, and irrigation): c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary: score 3 or else score 0	There was evidence of the Environmental and Social Screening report and the Environmental and Social Management Plan (ESMP) for the Rehabilitation of the White House (District Administration Block) dated 19/8/2021 costed at UGX. 1,400,000/= signed by the DCDO and SEO. The Costed ESMP was incorporated in its contract documents/ BoQ.	3
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	d. Examples of projects with costing of the additional impact from climate change. Score 3 or else score 0	There was no additional impact from Climate change that needed to be costed while rehabilitating the White House.	3
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 1 or else score 0	There was evidence of proof of land ownership of the site/land where the White House is located in the form of a land title for 0.8130 hectares dated 16/12/2014.	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 1 or else score 0	There was evidence of monthly monitoring reports for the rehabilitation of the White House dated 24/01/2023, 24/02/2023 and 17/03/2023 and signed by the SEO and DCDO.	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0	The Environmental and Social Compliance Certificate for the rehabilitation of the White House was availed however, the SEO and the DCDO never signed on the certificate and more even the SEO and DCDO never signed on the contractor payment certificate.	0

Financial management

16	<p>LG makes monthly Bank reconciliations</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment:</p> <p>Score 2 or else score 0</p>	<p>Tororo DLG had the following accounts.</p> <p>Tororo District ACDP account no. 9030016984557 in Stanbic Bank Tororo Branch.with closing balance UGX 2,972 as at 30th November 2023</p> <p>Tororo District General Fund account no. 9030006249336 in Stanbic Bank Tororo Branch.with closing balance UGX 118,710,206 as at 30th November 2023</p> <p>Tororo District Livelihood account no. 9030008598605 in Stanbic Bank Tororo Branch.with closing balance UGX 943,027 as at 30th November 2023</p> <p>And Tororo District UWEP recovery account no. 01263554803159 Bank DFCU Branch with closing balance of UGX 778,950 as at 30th November 2023</p>	2
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>The LG produced all the quarterly internal audit reports during the FY 2022/2023. These were dated; 26th October 2022 for quarter 1, 27th January 2023 for quarter 2, 27th April 2023 for quarter 3 and 27th July 2023 for quarter 4.</p>	2
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>The LG provided information on the status of implementation of the internal audit findings to the Council Chairperson and the LG PAC for the FY 2022/2023 on 28th July 2023.</p>	1

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>The LG provided information on the status of implementation of the internal audit findings to the Council Chairperson and the LG PAC for the FY 2022/2023 as follows</p> <ul style="list-style-type: none"> • Q1 on 3rd November 2022 • Q2 on 30th January 2023 • Q3 on 3rd May 2023 • Q4 on 1st August 2023 <p>The LG PAC discussed the internal audit reports as follows; the report for quarter 1 was discussed on 10th November 2022, for quarter 2 was discussed on 9th February 2023, for quarter 3 on 10th May 2023 and for quarter 4 on 12th August 2023.</p>
----	--	--	--

Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>The budget for Local revenue was;</p> <p>FY 2022/2023 UGX 2,107,246,000 Page 9 of draft final accounts</p> <p>Local revenue collected for FY 2022/2023 was 1,004,776,280</p> <p>Therefore, Budget realisation was $1,004,776,280/2,107,246,000 \times 100$ giving 48% resulting into a deficit of 52% which was outside the required range of +/-10%</p>	0
19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>Local revenue increased from UGX 989,385,046 FY 2021/2022 to UGX 1,004,776,280 FY 2022/2023 resulting into an increase of UGX 15,391,234. Percentage increase, 1.6% increase.</p>	0

20	Local revenue administration, allocation, and transparency	a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0	Amount subjected to sharing was UGX 1,004, 776,280. Amount transferred to LLG was UGX 559,504,582 Percentage transferred to LLG was 56% which was below the requirement of 65%.	0
	Maximum 2 points on this performance measure.			

Transparency and Accountability

21	LG shares information with citizens	a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0	The procurement Unit awarded contracts and amounts for FY 2021/2022 were available, endorsed by CAO and Senior Procurement Officer, published on the procurement Notice Board at the District Headquarters. Sampled contracts included; Toro 554/Wrks/22-23/00009 Rehabilitation of Tororo DLG White House was awarded to Whimsy (U) Ltd as the contractor at a contract price of 492,471,872/= and BEB date was on 11th May 2022. Toro 554/Wrks/2022-23/ 00011. Construction of a 2-Classroom Block at Pawanga P/s was awarded to Karwok General Enterprises Ltd at a Contract Price of 88,724,550/= and the display date was 04th Nov 2023. Toro 554/Wrks/2022-23/ 00010. Construction of a 2-Classroom Block at Parangang P/s was awarded to New Port Investment Ltd at a Contract Price of 88,701,000/= and the display date was 04th Nov 2023. Toro 554/Wrks/2022-23/ 00013. Construction of a 2-Classroom Block at Bishop Okile P/s was awarded to Fiona International Ltd at a Contract Price of 93,786,341/= and the display date was 04th Nov 2023.	2
	Maximum 6 points on this Performance Measure			

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>The National Performance Results for Tororo for 2022 were posted on the District website; www.tororo.go.ug, on 4th August 2023. The same were also displayed on the notice boards of the District.</p> <p>Further evidence was captured on the noticeboards where results were pinned on the District administration public noticeboard on 25th August 2023 where the District was ranked 76 nationally. The best performing sector was MSI where the score was 100%.</p>	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>There was evidence that LG conducted discussions with the public to provide feedback on status of activity implementation through a report dated 28th July 2023 compiled by the Planner, the discussants included the LCV supported by the CAO and Heads of department.</p>	1
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>There was no evidence to show that the LG had displayed information on tax rates, collection and appeal procedures to the public.</p>	0
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>There was evidence to show that the LG prepared an IGG report in response to the recommendations of the IGG dated 30th June 2023, examples of recommendations and actions taken included.</p> <p>Ms. Stella Achom, Accounts Assistant Bukedde Senior Secondary School was recommended for deletion from payroll for abscondment of Duty and consequently CAO implemented the recommendation.</p> <p>Ms. Harriet Among and Mr. Jackson Osubo were recommended for interdiction case N0, HQT/CO/686/2022 to pave way for investigations into their irregular allocation of land and CAO implemented the recommendation by issuing a letter of interdiction dated 29th August 2022.</p>	1

**Educational
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>In 2020, 8,860 pupils sat for PLE. Of these, 6,033 passed in divisions 1, 2, and 3 representing 68%.</p> <p>In 2022, 10,138 pupils sat for PLE. Of these 7,474 passed in divisions 1, 2 and 3 representing 74%.</p> <p>There was an increase of 6% between the two school years.</p>	4
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>In 2020, 4,883 students sat for UCE. 2, 557 passed in divisions 1, 2, and 3 representing a pass rate of 52%.</p> <p>In 2022, 3,497 students sat for UCE of whom 1,679 passed in divisions 1 to 3. The pass rate was 48%.</p> <p>There was a decline of 4% between the two school years.</p>	0
2	<p>N23_Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> • By more than 5%, score 2 • Between 1 and 5%, score 1 • No Improvement, score 0 <p>NB: If the previous average score was 95% and above, Score 2 for any increase.</p>	<p>The average LLG performance for Education was 40% in 2022 and 60% in 2023. There was an increase of 20%.</p>	2

3	Investment Performance: The LG has managed education projects as per guidelines	a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0	Tororo DLG received UGX 2,300,189,596 as UGIFT. This was used for construction of Iyolwa Seed Secondary School, Sop-sop Seed Secondary School and Mwello Seed Secondary School.	2
	Maximum 8 points on this performance measure		It also received UGX 538,580,000 as SFG. This was used as follows;	
			1. Renovation of classrooms at St. Agnes P/S at UGX 60,000,000.	
			2. Construction of 2 classroom blocks at Bishop Okille P/S, Paragang P/S, Pawanga P/S and Soni Ogwang P/S all at UGX 320,000,000.	
			3. Construction of 5 stance pit latrines at Utro P/S, Kisoko Boys' P/S, Morukebu P/S, Barinyang P/S and Poyameri P/S all at UGX 125,000,000.	
			4. Supply of 66 desks to Pawanga P/S and Bishop Okille P/s at UGX 8,580,000.	
			5. And emptying 25 pit latrines in various primary school at UGX 25,000,000.	
			All the development grants were spent on eligible expenditures.	
3	Investment Performance: The LG has managed education projects as per guidelines	b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0	1. On the payment certificate no 1 for construction of classroom block at Paragang P/S, the DEO, Environmental Officer and CDO all certified works on 6th March 2023.	2
	Maximum 8 points on this performance measure		2. On the payment certificate no. 1 for construction of classroom block at St. Agnes P/S, the Environmental Officer, DEO and DCDO all signed on 7th June 2023.	
			3. And on the payment certificate no. 2 for construction of the classroom block at Soni Ogwang P/S, all the required officers certified on 19th June 2023.	
			All the above officers verified works before the contractors were paid.	

3	Investment Performance: The LG has managed education projects as per guidelines	c) If the variations in the contract price are within +/-20% of the MoWT estimates score 2 or else score 0	The variations in the contract price and Engineer's estimates of the sampled projects were as follows:	2
	Maximum 8 points on this performance measure		Variation = 100% ((Contract Price - Engineers Estimate)/Engineer's Estimate))	
			Toro 554/Wrks/2022-23/ 00011. Construction of a 2-Classroom Block at Pawanga P/s was budgeted at UGX 80,000,000/=, actual contract price was UGX 88,724,550/= with a variation of UGX -8,724,550/= represented by -10.9%.	
			Toro 554/Wrks/2022-23/ 00010. Construction of a 2-Classroom Block at Paragang P/s was budgeted at UGX 80,000,000/=, actual contract price was UGX 88,701,000/= with a variation of UGX -8,701,000/= represented by -10.9%.	
			Toro 554/Wrks/2022-23/ 00013. Construction of a 2-Classroom Block at Bishop Okile P/s was budgeted at UGX 80,000,000/=, actual contract price was UGX 93,786,341/= with a variation of UGX -13,786,341/= represented by -17.2%.	
			The variations were within the range of +/- 20% provided in the manual.	
3	Investment Performance: The LG has managed education projects as per guidelines	d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY	From the Clerk of works Progress report as of June 2023, the Construction of Sop Sop and Iyolwa Seed Secondary Schools progress status was 30%. And Construction of Mwello Seed Secondary School was at 40 %	0
	Maximum 8 points on this performance measure	<ul style="list-style-type: none"> • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0 		

4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 - 79% score: 1 • Below 70% score 0 	<p>The LG had 163 primary schools as per the staffing requirement of 8 teachers per school, the staff list had 1856.</p> <p>$163 * 8 = 1304$</p> <p>$1856/1304 * 100 = 142.3\%$</p>	3
---	---	---	---	---

4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> • If above 70% and above score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 	<p>Tororo District had 163 primary schools and 19 secondary schools giving a total of 182 government schools.</p> <p>From the consolidated assets register for the Education Department, it was established that Tororo District had the following assets; 2,091 classrooms, 2,931 latrine stances, 31,214 desks, 0 laboratories and 313 staff houses .</p> <p>Based on calculations by the District Inspector of Schools, 170 of the 182 government schools met the basic requirements and minimum standards set out by DES, representing 93%.</p>	3
---	---	---	---	---

Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>There was evidence that the LG had accurately reported on teachers and their deployment as was evidenced in the three sampled schools. According to the staff lists obtained from the DEO's office;</p> <ol style="list-style-type: none"> 1. Kidera Primary school had 12 teachers. Of these 6 were male and 6 were female. 2. Rubongi Primary school had 16 teachers; 6 male and 10 female. 3. And Osukuru Primary school had 17 teachers; 4 male and 13 female. <p>All reported teachers were found present in the schools where they had been deployed, evidenced by the teacher deployment lists displayed in the Head teachers' offices and attendance registers at the schools</p>	2
---	---	---	---	---

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>The Education department had an asset register which reported on all the infrastructure in all the registered primary schools. In the sampled school;</p> <ol style="list-style-type: none"> 1. The assets register for Kidera Primary school had the following; 9 classrooms, 261 desks, and 13 latrine stances. 2. The register for Osukuru Primary school had 10 classrooms, 204 desks, 30 latrine stances and 1 teacher accommodation. 3. And the register for Rubongi Primary School had 18 classrooms, 249 desks and 12 latrine stances. 	2
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p> <ul style="list-style-type: none"> • If 100% school submission to LG, score: 4 • Between 80 - 99% score: 2 • Below 80% score 0 	<p>All registered primary schools submitted their annual reports and budgets to the Education Department, complete with signatures of the Head teachers and SMC chair persons. Examples of these included;</p> <ol style="list-style-type: none"> 1. Kidera Primary School 2. Rubongi Primary School 3. Osukuru Primary School 4. St. Agness Primary School 5. Paragang Primary School 6. Kisoko Boys' Primary School 7. Soni Ogwang Primary School 8. Payomeri Primary School 9. Bishop Okille Primary School 10. And Pawang Primary School among others. <p>However, the dates of submission of these reports were not registered, by acknowledgement of receipt at the DEO's office, and as such, it was not possible to assess whether these had been submitted on time.</p>	0

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> • If 50% score: 4 • Between 30- 49% score: 2 • Below 30% score 0 	<p style="text-align: right;">4</p> <p>On 26th and 28th April 2023, the DLG conducted a training workshop for Chairpersons (SMC), Head teachers and other stakeholders in the government aided primary schools. During these workshops, the head teachers were guided on how to prepare and implement SIPs in their respective schools.</p> <p>On visiting the sampled schools, all had SIPs in place as follows;</p> <ol style="list-style-type: none"> 1. Rubongi Primary school had a SIP dated 6th March 2023. In it, the school planned for effective teaching and learning, midday meals, water tanks and a school kitchen among others. 2. Osukuru Primary School also had a SIP in place and among other things planned for improving academic performance, feeding pupils at school and teacher accommodation. 3. And Kidera Primary School also had a SIP which also planned for improving on poor performance, school dropout, school farming project and escaping of learners from school among others.
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 - 99% score 2 • Below 90% score 0 	<p style="text-align: right;">4</p> <p>The LG had 163 UPE Schools and 19 USE schools and the LG had collected and compiled OTIMS data on all of them representing 100%.</p> <p>According to the data collected, there were 154,648 pupils for all the 163 primary schools and 15,983 students in the 19 schools. Also the lists of schools were consistent with those submitted in the performance contract.</p>

Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>The LG had a wage budget of UGX 14,395,110,433 as per the Approved Budget Estimates for 2022/2023. This was to cater for 1,861 teachers including the 163 head teachers.</p>	4
	<p>Maximum 8 points on this performance measure</p>			
7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p> <p>Score 3 else score: 0</p>	<p>From the staffing list obtained from the Education Department, it was observed that all schools had a headteacher and a minimum of 7 teachers as per the staffing guidelines which required that each school had a head teacher and a minimum of 7 teachers for schools going up to P7 and a teacher per class.</p>	3
	<p>Maximum 8 points on this performance measure</p>		<p>For the sample schools;</p> <ol style="list-style-type: none"> 1. Kidera Primary School had a head teacher and 11 classroom teachers. 2. Rubongi Primary School had a head teacher and 15 classroom teachers. 3. And Osukuru Primary School had a head teacher and 16 classroom teachers. <p>All the above sampled schools were up to Primary Seven.</p>	
7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,</p> <p>score: 1 else, score: 0</p>	<p>Teacher deployment data for teachers was displayed at the Education department notice boards.</p>	1
	<p>Maximum 8 points on this performance measure</p>		<p>In the sampled schools, which were Osukuru P/S, Kidera P/S and Rubongi P/S, the lists were displayed in the head teachers' offices.</p>	

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copy to DEO/MEO

Score: 2 or else, score: 0

There was evidence that primary school head teachers had been appraised in the Financial Year 2022/2023. The LG had 163 schools and 10 out of 163 appraisal reports were sampled. For example;

1. Mr. Odoi Vona head teacher Chawolo Primary School was appraised by Nekesa Damali Waka SAS Mulanda S/C on 23rd December, 2022.
2. Mr. Dibworo Thomas head teacher Pawang Primary School was appraised on 31st December, 2022 by Appendi Mary Samali Town Clerk Nabuyoga Town Council.
3. Mr. Owor Emmanuel head teacher Magola Primary School was appraised on 10th December, 2022 by Angura Emma Edry SAS Magola Sub County.
4. Ms. Awor Josephine head teacher Abongit primary school 010870 was appraised on 28th December, 2022 by Ms Awori Teopista SAS Kisoko S/C.
5. Mr. Ofwono Erica head teacher Nabuyoga primary school 010895 was appraised on 19th December, 2022 by Mr. Oboth Charles SAS Nabuyoga S/C.
6. Ms. Nyakecho Tereza head teacher Pakoi primary school was appraised on 24th December, 2022 by Awori Teopista SAS Kisoko S/C.
7. Ms. Atheino Betty head teacher Milembe primary school was appraised by Okello Godfrey Nyeko SAS Kirewa S/C on 16th December, 2022.
8. Ms. Nyafwon Dorcus head teacher Amoni primary school was appraised on 21st December, 2022 by Mr. Okorwait Ben Okware SAS Kalait S/C.
9. Aboth Mary head teacher Amor primary school was appraised on 21st December, 2022 by Sakwo Jacob Obb Pajwenda T/C.

Performance management: Appraisals have been

b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence

There was evidence that secondary school head teachers conducted appraisals in the

conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

of appraisal reports submitted to HRM

Score: 2 or else, score: 0

Financial Year 2022/2023. The LG had 19 secondary schools, however, not all head teachers had been appraised. For example;

1. Ms. Aijo Owor Frances Regina head teacher Kidoko Secondary School was appraised on 17th February, 2022 by Okongo Jasper Akotol Chairman board of governors .

2. Zaina Nalukenge head teacher Rubungi Army S.S.S was appraised by Brig. Gen Joseph Balikudembe BoG on 22 December.

3. Onder Henry head teacher Kirewa S.S was appraised by Dr. Oyo Benedict chairman board of governors on 31st December, 2022.

4. Osinde David Waketh head teacher Paya S.S appraised by Mr. Amnon Ofwono Oboth Adeka chairperson board of governors on 24th November, 2022.

5. Okecho Ajoke Milton head teacher Kiyeyi high school was appraised by Oketcho Ajoke Milton chairman board of governors on 24th November, 2023.

6. Mr. Atyang Erisam head teacher Atiri SS was appraised by Davis Omella on 9th December, 2022.

7. Amoding Dinah Jesica head teacher Rainer S.S was appraised on 24th November, 2022 by Ochwo J. R chairman board of governors.

8. Womulibu Michael head teacher Katerema S.S was appraised by Rev. Davis Omella chairman board of governors on 12th December, 2022.

Those who were not appraised were,

1. Mr. Gudi Paul head teacher Asinge S.S was not appraised.

2. Ms. Nabitula Agnes head teacher St Mary's Assumpta Molle seed S.S was not appraised.

3. Atyang Alice Jane head teacher Malaba seeds was not appraised.

4. Bichachi Josephine head teacher Bukedi S.S was not appraised

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans score: 2. Else, score: 0</p>	<p>The Education department had 5 staff and all had been appraised in the Financial Year 2022/2023. For example;</p> <ol style="list-style-type: none"> 1. Mr. Tabu Denis Francis a Senior Inspector of schools was appraised on 30th June, 2023 by Odoi Albert the DEO. 2. Topista Nyadoi an inspector of schools was appraised by Tabu Denis Francis a Senior Inspector of Schools on 4th July, 2023. 3. Akougo Catherine a Senior Education Officer was appraised by Odoi Albert DEO on 26th July, 2023. 4. Ajilong Christine an Office attendant was appraised by Akongo Catherine a SEO on 30th June, 2023. 5. Ojomi Oscar Grace was appraised by Mr. Owino Joseph the Education Officer on 30th June, 2023. <p>However, the two inspectors were appraised past the deadline of 30th June, 2023.</p>	0
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level, score: 2 Else, score: 0</p>	<p>The Department prepared a Training Plan for FY 2022/2023 to address identified staff capacity gaps. Among the training needs were;</p> <ol style="list-style-type: none"> 1. EMIS/TELA and support supervision 2. Induction of Senior Women and Senior Men teachers on their roles. 3. SMSs and PTAs on their roles. 4. Training teachers on Special Needs Education. 5. And School Improvement Plans among others. 	2

Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually. If 100% compliance, score:2 or else, score: 0</p>	<p>The Department prepared a list of schools and their budget allocations for FY 2022/2023. The DEO submitted to CAO on 4th July 2023. However, the submission from the CAO to the Ministry of Education and Sports was done online, according to the DEO. The details of when this was done were not provided for assessment.</p>	0
	<p>Maximum 8 points on this performance measure</p>			
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines. If 100% compliance, score:2 else, score: 0</p>	<p>According to the LG Approved budget estimates for the Financial Year 2022/2023, the Education department received UGX 92,565,000 as an inspection grant. Of this UGX 23,499,999 was allocated to the DEO's monitoring and UGX 67,856,000 to the inspectorate. This was in line with the sector guidelines, which call for a minimum allocation of UGX 4 million per LG, plus UGX 336,000 (6 inspections at UGX 56,000) per school for the 3 terms</p>	2
	<p>Maximum 8 points on this performance measure</p>			

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p> <p>If 100% compliance, score: 2 else score: 0</p>	<p>The LG submitted warrants for School's capitation as follows;</p> <ul style="list-style-type: none"> • For quarter 1, cash limit date was 26th July 2022, amount was UGX 412,680,542, and warrant date was 23rd August 2023. This was within 17 working days. • For quarter 2, cash limit date was 23rd October 2022, amount was UGX 412,680,542 and warrant date was 25th October 2022. This was within 2 working days. • For quarter 3, cash limit date was 19th January 2023, amount was UGX 825,361,083 and warrant date was 23rd January 2023. This was within 4 working days. • And for quarter 4, cash limit date was 23rd April 2023, amount was UGX 825,361,083 and warrant date was 28th April 2023. This was within 4 working days. <p>There was a delay for the Q1 , therefore LG was not compliant</p>	0
---	---	---	--	---

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p> <p>If 100% compliance, score: 2 else, score: 0</p>	<p>Tororo District invoiced and communicated UPE capitation releases as follows;</p> <ol style="list-style-type: none"> 1. Q1 releases were invoiced on 31st August 2022 and were communicated on 20th September 2022. This was within 14 working days. 2. Q2 releases were invoiced on 25th October 2022, no evidence was provided to show that these had been communicated. 3. Q3 releases were invoiced on 27th January 2023 and were communicated on 7th February 2023. This was within 7 working days. 4. And Q4 releases were invoiced on 12th May 2023 and were communicated on 30th may 2023. This was within 12 working days. <p>The LG was therefore not compliant in all the four quarters.</p>	0
---	---	---	--	---

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <ul style="list-style-type: none"> • If 100% compliance, score: 2, else score: 0 	<p>In place were minutes of inspection planning meetings which were held on 9th September 2022 for term III 2022, 5th January 2023 for term I 2023 and 30th August 2023 for term II 2023.</p> <p>Also in place were inspection plans for the respective school terms.</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> • If 100% score: 2 • Between 80 - 99% score 1 • Below 80%: score 0 	<p>Out of the 163 primary schools, the LG inspected and monitored; 112 in term III 2022 (69%), 132 in term I 2023 (81%) and 147 in term II 2023 (90%).</p> <p>On average, the LG inspected and monitored 80% of the schools in 2022/2023.</p>	1

10

Routine oversight and monitoring

Maximum 10 points on this performance measure

c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,

Score: 2 or else, score: 0

There was evidence that inspection reports had been discussed and used to make corrective actions as was evidenced in the minutes of review meetings as follows;

1. On 13th December 2022, the inspection report for term III 2022 was discussed and under min. n0. 5/INSPE/DEC/2022, the following were recommended; there was need to train school heads on EMIS and TELA, there was need to guide schools to come up with SIPs and schools were to be encouraged to have feeding programs among others.

2. On 8th May 2023, the inspection report for term I 2023 was discussed under min. no. V/INSPE/MAY/2023 and the following were recommended; there was need to train SMT and SWTs, there was need meeting all head teachers of private education institutions and all schools were to submit staff lists on a monthly basis.

3. And 30th August 2023 the inspection report for term II 2023 was discussed under min. no.5/INSPE/AUGUST/2023 with the following recommendations; non performing head teachers were to be mentored, each area inspector was to have records of their enrolment and staff lists, and inspection reports were to the committee for Health and Education was to be done by the DIS.

10

Routine oversight and monitoring

Maximum 10 points on this performance measure

d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0

There was evidence that Inspection reports were submitted to DES. Acknowledge letters issued by DES were as follows;

1. 9th January 2023 for term 3 2023

2. 14th July 2023 for term 1, 2023

3. And 10th August 2023 for term 2, 2023.

2

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>The Committee responsible for education sat and discussed service delivery issues as indicated below;</p> <ul style="list-style-type: none"> • Q1 meeting held on 14th September 2022 under minute 11/TDLG/HE/2022 and discussed the following key issue, Approval of the names of the school management committees • Q2 meeting held on 12th October 2022 under minute 15/TDLG/HE/2022 and discussed the following key issues inspection of schools, monitoring and supervision of Government aided schools, understaffing in schools, inadequate classroom furniture , • Q3 meeting held on 2nd December 2022 under minute 26/TDLG/HE/2022 and discussed the following key issues; low staffing levels, inadequate infrastructure, poor performance in PLE, poor learning environment arising from dilapidated classrooms • Q4 meeting held on 15th February 2023 under minute 29/TDLG/HE/2022 and discussed the following key issues; fluctuating attendance of learners in schools, implementation of school improvement plans, frequent absenteeism of learners in schools 	2
11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,</p> <p><i>score: 2 or else score: 0</i></p>	<p>The LG Education Department did conduct activities to mobilise parents in order to achieve total access, retention and compilation rates. This was done through Radio Announcements on Radio East 100.2 FM in Tororo Town as follows; On 26th May 2023. 24th. May 2023, 17th. July.</p>	2

Investment Management

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i></p>	<p>The Education department had an up-to-date asset register which reported on all the infrastructure in all the registered primary schools. In the sampled school;</p> <ol style="list-style-type: none"> 1. The assets register for Kidera Primary school had the following; 9 classrooms, 261 desks, and 13 latrine stances. 2. The register for Osukuru Primary school had 10 classrooms, 204 desks, 30 latrine stances and 1 teacher accommodation. 3. And the register for Rubongi Primary School had 18 classrooms, 249 desks and 12 latrine stances. 	2
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i></p>	<p>There was evidence to show that LG conducted desk appraisal for Education investments as indicated;</p> <ol style="list-style-type: none"> 1. Desk appraisal report for construction of a 2 classroom block at Lwala P/s dated 13th/7/2023. 2. Desk appraisal report for Renovation of 7 classroom block at St. Agnes Mella P/s dated 13th /7/2023. 3. Desk appraisal report for Construction of 5 stance drainable pit latrine at Utro P/s dated 13th/7/2023. <p>All these projects were derived from LGDP page 245</p>	1

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0</p>	<p>There was evidence to show that LG conducted field appraisal for Education investments as indicated below;</p> <ol style="list-style-type: none"> 1. Field appraisal report for construction of a 2 classroom block at Lwala P/s dated 23rd/6/2023 2. Field appraisal report for Renovation of 7 classroom block at St. Agnes Mella P/s dated 23rd/6/2023 3. Field appraisal report for Construction of 5 stance drainable pit latrines at Utro P/s dated 23rd/6/2023 	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p>	<p>The LG Education department budgeted for and incorporated into the approved procurement plan for FY 2023/24, that was signed by the DCAO on 2nd Oct 2023. The projects included; Construction of Mwello Seed Secondary School and Completion of Iyolwa and Sopsop Seed Secondary Schools.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>There was evidence of the school infrastructure that were approved by the Contracts Committee and cleared by the Solicitor General;</p> <p>Ref: MoES – UGIFT/wrks/2021-2022/00005 Lot 32 Construction of Iyolwa and Sopsop Seed Secondary School. The Solicitor General’s clearance was on 05th Aug 2022. Approval of the evaluation report by the Contracts Committee was on 04th March 2022 under Min:553/DCC/2022.</p> <p>Ref: MoES – UGIFT/wrks/2021-2022/00005 Lot 32 Construction of Mwello Seed Secondary School. The Solicitor General’s clearance was on 26th April 2022. Approval of the evaluation report by the Contracts Committee was on 12th Jan 2023 under Min:639/DCC/2023.</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>The LG had a project implementation team established as per CAO letter of appointment of team members dated 05th Aug 2022.</p> <p>The team members included;</p> <ul style="list-style-type: none"> • Albert Odoi (DEO) • Obbo Okoth (DHO) • Asaya Andrew (DE) • Leo Owora Adong (DWO) • Aol Evelyn (Natural resource) • Oguti Vicent (DCDO) • Onyango Jerome (Labor officer) <p>Appointments of different Clerks of Works o</p> <p>On 13th July 2022.</p> <ul style="list-style-type: none"> • Okada Paul Stephen (COW for Education Projects) • Okech Richard (COW for Education Projects) • Osinde Martin (CoW for Health Projects) 	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p>	<p>There evidence that the school infrastructure followed the standard technical designs provided by the Mo ES.</p> <p>For the few sampled areas;</p> <ul style="list-style-type: none"> • The roofing iron sheets were gauge 26 as required by the design. • The classroom dimensions were 8810x6970mm as in the design. • Main Entrance doors were 1200x2400mm • Windows on the classroom block were 1200x1500mm as shown on the MoES designs, for all the three; Iyolwa, Sopsop and Mwello Seed Secondary Schools. 	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i></p>	<p>There was evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the FY 2022/23 as evidenced on Project management files by the presented by the DE.</p> <p>Report and site meeting Minutes at Iyolwa and Sopsop Seed Secondary School dated; 02nd Feb 2023, 07th March 2023, 18th April 2023, 19th May 2023, 20th June 2023 etc.</p> <p>Report and site meeting Minutes at Iyolwa and Sopsop Seed Secondary School dated; 08th June 2023, 16th June 2023 etc.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted <i>score: 1, else score: 0</i></p>	<p>There was evidence of Reports on the planned sector infrastructure projects in the FY 2022/2023 by the joint technical teams involving engineers, environment officers, CDOs.</p> <p>Site meeting Minutes at Iyolwa and Sopsop Seed Secondary School dated; 02nd Feb 2023, 07th March 2023, 18th April 2023, 19th May 2023, 20th June 2023 etc.</p> <p>Site meeting Minutes at Iyolwa and Sopsop Seed Secondary School dated; 08th June 2023, 16th June 2023.</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>There was evidence to show that projects were properly executed and payments to contractors made within timeframe as indicated</p> <p>1, Payment voucher NO. 6412230 dated 27th /6/2023 amounting to UGX 75,465,359 to Sandex Inv (U) Ltd for renovation of 7 classroom block at St. Agnes Mella p/s, contractor raised request for payment on 7th/6/2023, certificated endorsed by DEO, DE, CDO, Environment officer on 7th/6/2023 and payment was effected on 27th/6/2023 after 13 working days</p> <p>2, Payment voucher NO.6411538 dated 27th /6/2023 amounting to UGX 58,710,249 to Fiona International Ltd for construction of 2 classroom block at Bishop Okile P/s, contractor raised request on 12th /6/2023, Certificated signed by the DE, DEO, Environment Officer and CDO on 19th/6/2023 and payment was effected on 27th /06/2023 after 10 working days</p> <p>3. Payment Voucher NO 6417526 dated 27th/6/2023 amounting to UGX 55,526,826 to New port Investment (U) Ltd for construction of 2 classroom block at Paragang P/s , contractor raised request on 15th/6/2023, certificate was endorsed by DEO,DE,CDO and environment officer on 19th/6/2023 and payment was effected on 27th/6/2023, after 8 working days</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i></p>	<p>The LG Education department submitted its Procurement Plan on 06th April 2022 in accordance with the PPDA requirements to the Procurement Unit.</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>There evidence that the LG has a complete procurement file for each school Infrastructure contract with all records as required by the PPDA</p> <p>MoES - UGIFT/wrks/2021-2022/00005 Lot 32 5 Construction of Iyplwa and Sopsop Seed Secondary School. Approval of the evaluation report Under Min:553/DCC/2022 of the Contracts Committee that sat on 04th March 2022. The Contract was awarded to Custom Engineering Ltd and contract signed on 30th Aug 2022.</p> <p>Toro 554/Wrks/2022-23/00017 Construction of Mwello Seed Secondary School. Approval of the evaluation report Under Min:639/DCC/2023 of the Contracts Committee that sat on 12th Jan 2022. The contract was awarded to Engineering Trade Links Co. Ltd and contract signed on 17th April 2023.</p>	1
----	--	---	---	---

Environment and Social Safeguards

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0</p>	<p>There was evidence of the grievance log book and the LG Grievance Redress Mechanism (GRM) in place.</p> <p>There were six (6) complaints/grievances reported from implementation of Education projects. The complaints had been recorded in the grievance log book availed by the Grievance focal person, investigated and resolved with regard to the GRM.</p>	3
15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>The Education department disseminated guidelines on Environment protection for FY 2021/2022 to 2023/2024 which had been generated by the District themselves. However, evidence of these guidelines were not found in any of the sampled schools of Rubongi, Kidera and Osukuru primary schools.</p>	0

16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i></p>	<p>Not all the costed ESMPs developed for respective Education projects were incorporated in their respective BoQs/ Contract documents.</p>	0
			<p>The ESMPs incorporated in their BoQs were;</p>	
			<ul style="list-style-type: none"> • The ESMP for the construction of a 2 classroom block at Bishop Okille Primary School dated 10/08/2022 costed at UGX. 300,000/= incorporated on Page 1 of the BoQ. 	
			<ul style="list-style-type: none"> • The ESMP for the construction of a 2 classroom block at Paragang Primary School dated 18/8/2022 costed at UGX. 2,400,000/= incorporated on the last page of the BoQ. 	
			<p>However;</p>	
			<p>The ESMP for the construction of a 5 stance lined pit latrine at Kisoko Boys Primary School dated 2/8/2022 costed at UGX. 300,000/= was not incorporated in its contract document/ BoQ.</p>	
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i></p>	<p>There was no evidence of proof of land ownership for the Education projects for FY 2022/2023.</p>	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p>	<p>There was evidence of monthly Supervision and Monitoring reports for the Education projects implemented in the previous FY 2022/2023</p>	2
			<p>There were monitoring reports signed by the SEO and CDO Water for;</p>	
			<ul style="list-style-type: none"> • The construction of a 5 stance lined pit latrine at Kisoko Boys Primary School dated 18/04/2023 and 18/05/2023. 	
			<ul style="list-style-type: none"> • The construction of 2 classroom block at Bishop Okille and Paragang Primary Schools dated 28/02/2023, 25/03/2023, 29/04/2023, 30/05/2023 and 27/06/2023. 	

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

Score: 1, else score:0

There was evidence of Environmental and Social compliance certificates for the education projects implemented in the FY 2022/2023 signed by the DCDO and SEO.

There were Environmental and Social certificates for;

- The construction of a 5 stance lined pit latrine at Kisoko Boys Primary School dated 12/06/2023.

- The construction of 2 classroom block at Bishop Okille and Paragang Primary Schools dated 9/06/2023 and 08/06/2023 respectively.

**Health
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>The LG did not register a 20% higher population accessing health care services.</p> <p>The sampled health facilities had the following deliveries</p> <p>FY 2021-2022</p> <p>Molo HCIII - 388</p> <p>Mukunju HCIV 1516</p> <p>Usukuru HCIII 642</p> <p>TOTAL 2546</p> <p>FY 2022-2023</p> <p>Molo HCIII - 476</p> <p>Mukunju HCIV 1655</p> <p>Usukuru HCIII 514</p> <p>TOTAL 2645</p> <p>%age change = (2645 - 2546)/2546 *100%</p> <p>= 3.8%</p>	0
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> • 70% and above, score 2 • 50% - 69%, score 1 • Below 50%, score 0 	<p>The average score in Health for LLG performance assessment for 2023 was 71%</p>	2
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> • 75% and above; score 2 • 65 - 74%; score 1 • Below 65; score 0 	<p>There was a change in MOH framework to mainstream RBF into PHC so this indicator is not applicable for this year's assessment.</p>	0

3

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.

The LG budgeted for 1,320,259,000 and spent 529,367,024 of the Health development grant FY 2022/2023 as per the details indicated below:

- Upgrading of Namwaya HC11 to HC111. Budgeted Amount 899,000,000 page 13 of Annual Work plan, Amount spent 419,044,618 constituting 46.6%
- Upgrading of Namwire HC11 to HC111. Budgeted Amount 421,259,000 page 13 of Annual Work plan, Amount spent 110,272,406 constituting 26%

The LG did not provide evidence to show that it had spent all of its budgeted Health development grant.

0

3

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0

DHO, District Engineer, Certified works but the Environment Officer and Community Development did not Certify works as per the following payment voucher:

Voucher Number 6418211 dated 27th June 2023 amounting UGX 152,298,109 to RAK-BOLD EDGE JOINT VENTURE for Upgrade of Namwaya HC11 to HC111 , contractor raised request for payment on 21st/6/2023, certificate NO 1 endorsed by the DE and DHO on 21st/6/2023 and payment was effected on 27th/6/2023, after 4 working days, CDO and Environment officer did not sign.

0

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0</p>	<p>The variations in the contract price and Engineer's estimates of the sampled projects were as follows:</p> <p>Variation = 100% ((Contract Price - Engineers Estimate)/Engineer's Estimate))</p> <p>Toro 554/wrks/22-23/00015 Upgrade of Nawire HCII to HCIII was budgeted at 421,000,000/= actual contract price was UGX 421,258,745/= with a variation of -258,745/= represented by -0.061%</p> <p>MoH-UgiFT/wrks/21-22/00001 Upgrade of Namwaya HCII to HCIII in West Budama North Lot 7 was budgeted at 889,255,031/= actual contract price was UGX 889,255,031/= with a 0/= variation represented by -0.0%</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY</p> <ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 	<p>From the reviewed Reports from Clerk of Works, the construction of Namwaya was 35%.</p> <p>For the Upgrade of Nawire HCII to HCIII, the construction started beyond the year of assessment.</p>	0
4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	<p>The District had recruited staff for HCIII and HCIV as per the staffing structure, HCIV staff list had 390 and filled was 117, HCIII approved was 935 and filled 268.</p> <p>$390 + 935 = 1325$</p> <p>$117 + 268 = 385$</p> <p>$385/1325 * 100 = 29\%$.</p>	0
4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> • If 100 % score 2 or else score 0 	<p>There was evidence that the LG health infrastructure project met the approved MoH Facility Infrastructure Designs;</p> <p>The Linen store was 3mx1.75m, the Sterile Store was 1.8mx2.9m, Female ward 6.6mx5m which corresponded to the MoH designs.</p>	2

Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>As per health staff lists obtained from DHOs office, the information was accurate for the sampled health facilities as detailed below;</p> <ul style="list-style-type: none">• Mukuju HCIV had 35 staff on the DHO's deployment; this was similar to the facility list.• Osukuru HCIII had 13 staff on the deployment list and at the facility it also had the same number of staff displayed.• Molo HCIII had 14 staff on the deployment list and the same staff were found on the facility list which was pinned on the notice board.	2
5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>Namwaya HCIII was upgraded in FY 22/23 and Nawire HCIII constructed in FY22/23 as detailed in PBS budget FY22/23 specified on item 312121. NAMwaya HCIII was functional and Nawire phase 1 was complete. This information was accurate.</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none">• Score 2 or else 0	<p>The health facilities submitted Annual work plans of FY 2023/24 to the DHO timely as stated below;</p> <ul style="list-style-type: none">• Mukuju HCIV submitted on 26/3/2023• Osukuru HCIII submitted on 25/3/2023• Molo HCIII submitted on 25/3/2023	2

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p>	<p>This evidence was not provided.</p>	0
	<p>Maximum 14 points on this performance measure</p>	<ul style="list-style-type: none"> • Score 2 or else 0 		
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p>	<p>This evidence was not provided.</p>	0
	<p>Maximum 14 points on this performance measure</p>	<ul style="list-style-type: none"> • Score 2 or else 0 		
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,</p>	<p>Health facilities submitted monthly HMIS 105 and 106 quarterly reports as detailed below. Some reports were not submitted on time.</p>	2
	<p>Maximum 14 points on this performance measure</p>	<ul style="list-style-type: none"> • score 2 or else score 0 	<p>July 2022 Mukuju HCIV - 4/8/2022 Molo HCIII - 4/8/2022 Osukuru HCIII - 7/8/2022 August 2022 Mukuju HCIV - 5/9/2022 Molo HCIII - 3/9/2022 Osukuru HCIII - 6/9/2022 September 2022 Mukuju HCIV - 6/10/2022 Molo HCIII - 5/10/2022 Osukuru HCIII - 5/10/2022</p>	

October 2022

Mukuju HCIV - 3/11/2022

Molo HCIII - 6/11/2022

Osukuru HCIII - 4/11/2022

November 2022

Mukuju HCIV - 3/12/2022

Molo HCIII - 5/12/2022

Osukuru HCIII - 5/12/2022

December 2022

Mukuju HCIV - 5/1/2023

Molo HCIII - 5/1/2023

Osukuru HCIII - 5/1/2023

January 2023

Mukuju HCIV - 6/2/2023

Molo HCIII - 6/2/2023

Osukuru HCIII - 6/2/2023

February 2023

Mukuju HCIV - 5/3/2023

Molo HCIII - 6/3/2023

Osukuru HCIII - 3/3/2023

March 2023

Mukuju HCIV - 5/4/2023

Molo HCIII - 5/4/2023

Osukuru HCIII - 6/4/2023

April 2023

Mukuju HCIV - 6/5/2023

Molo HCIII - 4/5/2023

Osukuru HCIII - 4/5/2023

May 2023

Mukuju HCIV - 6/6/2023

Molo HCIII - 6/6/2023

Osukuru HCIII - 5/6/2023

June 2023

Mukuju HCIV - 4/7/2023

Molo HCIII - 4/7/2023

Osukuru HCIII - 4/7/2023

Quarterly 106

July-Sept 2022

Mukuju HCIV - 7/10/2022

Molo HCIII - 4/10/2022

Osukuru HCIII - 5/10/2022

Oct-Dec 2022

Mukuju HCIV - 6/1/2023

Molo HCIII - 5/1/2023

Osukuru HCIII - 6/1/2023

Jan-March 2023

Mukuju HCIV - 5/4/2023

Molo HCIII - 5/4/2023

Osukuru HCIII - 4/4/2023

April-June 2023

Mukuju HCIV - 6/7/2023

Molo HCIII - 4/7/2023

Osukuru HCIII - 4/7/2023

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0

Note: Municipalities submit to districts

There was a change in MOH framework to mainstream RBF into PHC so this indicator is not applicable for this year's assessment.

0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>There was a change in MOH framework to mainstream RBF into PHC so this indicator is not applicable for this year's assessment.</p>	0
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>The Department of Health submitted its quarterly budget performance reports as follows;</p> <ul style="list-style-type: none"> • The report for quarter 1 was submitted on 12th October 2022 • The quarter 2 report was submitted on 14th January 2023 • The quarter 3 report on 13th April 2023 • And quarter 4 report on 13th July 2023. <p>All the reports were submitted within the required timeline.</p>	1
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>The LG developed a PIP for the lowest performing facilities dated 11/7/2023 and this was approved by the DHO detailing kirewa, Kasli HCII as some of the lowest performing facilities.</p>	1
<p>Maximum 14 points on this performance measure</p>				

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>This evidence was not provided.</p>	0
---	--	--	--	---

Human Resource Management and Development

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>The LG budgeted 12,882,869,000/= in FY 2023/24 for health staff as detailed in the annual budget on page 36 of the PBS annual budget for FY 2023/24.</p>	2
---	---	---	---	---

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>This evidence was not provided.</p>	0
---	---	---	--	---

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>Health workers were working where they were deployed as detailed below for the 3 sampled health facilities below;</p> <ul style="list-style-type: none"> • Mukuju HCIV had 35 staff on the DHO's deployment list and 25 staff could be found on the facility attendance register. 7 staff were on study leave and staff were on annual leave at the time of assessment. • Molo HCIII had 14 staff on the DHO's deployment list and 11 staff could be found on the facility attendance register. 2 staff were on study leave, 1 staff was on annual leave and 1 staff was on compassionate leave at the time of assessment. • Osukuro HCIII had 13 staff on the DHO's deployment list and 8 staff could be found on the facility attendance register, 1 staff was on study leave and 3 staff were on annual leave at the time of assessment. 	3
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0</p>	<p>The Local Government Publicised health workers on the facility notice boards.</p> <p>From the 3 sampled health facilities;</p> <ul style="list-style-type: none"> • Mukuju HCIV had 35 staff on the DHO's deployment list and this was publicised on the facility notice board. • Molo HCIII had 14 staff on the deployment list and this was publicised on the facility notice board. • Osukuro HCIII had 13 staff on the deployment list and this was publicised on the facility notice board. 	2

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

a) Evidence that the DHO/MMOHs has:

i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0

All health facility In-charges had been appraised against the agreed performance plans during the financial year 2022/2023. However, some appraisals were conducted past the timeframe of 30th June. For example;

1. Dr. Onyango Jagire, a Senior Medical Officer Mukuju HCIII was appraised by Dr. Obbo Okoth DHO on 17th June, 2023.
2. Dr. Obbo Faustine, Medical Officer Nasongera HCIV was appraised by Dr. Onen Jacob on 1st October, 2023.
3. Amito Suzan Picho, a Senior Clinical Officer Merikit HCIII was appraised by Padde J. Etoori Ag. Town Clerk on 28th June, 2023.
4. Kyohangirwe Ivan, a Medical Clinical Officer Kwapa HCIII was appraised by Omella Isaac Aseu SATC on 30th June, 2023.
5. Tanga Emmanuel Okiteza, a Clinical Officer Mukuju HCIII was appraised by Dr. Onyango Jagire a Senior Medical Officer on 26th July, 2023.
6. Byali Sylvia, a Clinical Officer Mella HCIII was appraised by Mr. Namawa Alfred Mark SAS Mella S/C on 17th July, 2023.
7. Akol Robinah a Clinical Medical Officer Kiyeyi HCIII was appraised by Apendi Mary Samali SAS on 30th June, 2023.
8. Epuwat Remigios a Medical Clinical Officer Panyangasi HCIII was appraised by Omacet Thomas SAS on 30th June, 2023.
9. Amito Suzan Enrolled Nurse Namwayi HCIII was appraised by Auma Apophia SAS on 26th June, 2023.
10. Atim Dinah Rose, Assistant Nursing Officer Kamuli HCIII was appraised by Obol Emmanuel Ag. SAS on 30th June, 2023.

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on

ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the

All health facility In-charges had been appraised against the agreed performance plans during the financial year 2022/2023. However, some appraisals were conducted past the timeframe of 30th June. For example;

this performance
measure

previous FY score 1 or else 0

1. Dr. Onyango Jagire, a Senior Medical Officer Mukuju HCIII was appraised by Dr. Obbo Okoth DHO on 17th June, 2023.
2. Dr. Obbo Faustine, Medical Officer Nasongera HCIV was appraised by Dr. Onen Jacob on 1st October, 2023.
3. Amito Suzan Picho, a Senior Clinical Officer Merikit HCIII was appraised by Padde J. Etoori Ag. Town Clerk on 28th June, 2023.
4. Kyohangirwe Ivan, a Medical Clinical Officer Kwapa HCIII was appraised by Omella Isaac Aseu SATC on 30th June, 2023.
5. Tanga Emmanuel Okiteza, a Clinical Officer Mukuju HCIII was appraised by Dr. Onyango Jagile a Senior Medical Officer on 26th July, 2023.
6. Byali Sylvia, a Clinical Officer Mella HCIII was appraised by Mr. Namawa Alfred Mark SAS Mella S/C on 17th July, 2023.
7. Akol Robinah a Clinical Medical Officer Kiyeyi HCIII was appraised by Apendi Mary Samali SAS on 30th June, 2023.
8. Epuwat Remigios a Medical Clinical Officer Panyangasi HCIII was appraised by Omacet Thomas SAS on 30th June, 2023.
9. Amito Suzan Enrolled Nurse Namwayi HCIII was appraised by Auma Apophia SAS on 26th June, 2023.
10. Atim Dinah Rose, Assistant Nursing Officer Kamuli HCIII was appraised by Obol Emmanuel Ag. SAS on 30th June, 2023.

There was evidence that Health Facility In-charges had conducted performance appraisal of all health facility workers in the Financial Year 2022/2023 as indicated below;

1. Ameco Sarah Enrolled Nurse Mulenda HCIV was appraised by Nyachwo Catherine Assistant Nursing Officer on 30th June, 2023.
2. Taaka Emily Enrolled Psychiatric Nurse was appraised by Nyachwo Catherine Assistant Nursing Officer on 20th June, 2023.
3. Auma Betty Nursing Officer was appraised by Nyaburu Justine Enrolled Nurse Mulanda HCIV on

26th June, 2023.

4. Atheino Teddy Nursing Assistant was appraised by Nyaburu Justine Enrolled Nurse on 20th June, 2023.

5. Nyapendi Everly Enrolled Midwife was appraised by Amusuguti Jenipher Assistant Nursing Officer on 2nd July, 2023.

6. Awino Maureen Enrolled Midwife was appraised by Amusugut Jenipher Assistant Nursing Officer on 5th July, 2023.

7. Aboth Schola Prossy Assistant Nursing Officer was appraised by Nasimiyu Mary Christine Nursing Officer on 16th July, 2023.

8. Nanyange Rosemary Enrolled Midwife was appraised by Ajok Doreen SANO on 2nd July, 2023.

9. Marawa Rogers Enrolled Nurse was appraised by Imaet Faith Karakachi Assistant Nursing Officer on 27th July, 2023.

However, Nabwire Annet, a Nursing Assistant was not appraised.

8 Performance management: The LG has appraised, taken corrective action and trained Health Workers. Maximum 6 points on this performance measure	iii. Taken corrective actions based on the appraisal reports, score 2 or else 0	Corrective actions were taken according to appraisal reports such as health education talks, CME mentorship and post-natal services to facility workers 2
8 Performance management: The LG has appraised, taken corrective action and trained Health Workers. Maximum 6 points on this performance measure	b) Evidence that the LG: i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0	The LG conducted training as per the district training plan dated 5/7/2022 approved by the DHO. A training report of HUMC dated 16/11/22 was available and was conducted in accordance with the training plan. 1

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>There was an excel based training database in place and it had a training on integrated IN-REACH done on 23/7/22 with health workers Akello Rose and Apio Dorothy having attended the training.</p>	1
---	--	---	--	---

Management, Monitoring and Supervision of Services.

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>There was a letter provided showing the CAO communicating to the Ministry of Health dated 29/9/2023 signed by the CAO however there was no evidence that this letter was received by MOH registry.</p>	0
---	--	---	---	---

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>As per the schedule from DHO' s office the LG allocated UGX 5,160,000 towards monitoring of service delivery and management out of the total PHC non-wage recurrent budget of UGX 116,343,087. This amounted to 4.4% against the requirement of 15%</p>	0
---	--	---	--	---

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>	<p>The LG warranted PHC grants to the health facilities as follows;</p>	0
	<p>Maximum 9 points on this performance measure</p>		<p>For quarter 1, cash limit date was 26th July 2022, amount was UGX 156,047,971, and warranting was done on 9th August 2022. This was within 9 working days.</p>	
			<p>For quarter 2, cash limit date was 7th October 2022, amount was UGX 420,934,117 and warranting was done on 25th October 2022. This was within 13 working days.</p>	
			<p>For quarter 3, cash limit date was 5th January 2023, amount was UGX 280,624,124 and warranting was done on 19th January 2023. This was within 10 working days.</p>	
			<p>And for quarter 4, cash limit date was 17th April 2023, amount was UGX 280,624,124 and warranting was done on 28th April 2023. This was within 7 working days.</p>	
			<p>However, warranting was done outside the requirement of 5 working days from the cash limit dates. Therefore LG was not compliant</p>	
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0</p>	<p>The LG did invoicing and communication as follows;</p>	0
	<p>Maximum 9 points on this performance measure</p>		<ul style="list-style-type: none"> • Q1, date of funds release was 26th July 2022 and date of invoicing and communication was 13th August 2022, after 13 working days 	
			<ul style="list-style-type: none"> • Q2; date of funds release was 7th October 2022, date of invoicing and communication was 17th October 2022, after 8 working days 	
			<ul style="list-style-type: none"> • Q3; date of funds release was 5th January 2023, date of invoicing and communication was 10th February 2023, after 25 working days 	
			<ul style="list-style-type: none"> • Q4; date of funds release was 17th April 2023, date of invoicing and communication was 10th May 2023, after 17 working days 	
			<p>However, invoicing and communication was done outside the requirement of 5 working days from the dates. Therefore, LG was not compliant.</p>	

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>There was evidence to show that publicising was done within 5 working days for all the quarters as indicated;</p>	0
	<p>Maximum 9 points on this performance measure</p>		<ul style="list-style-type: none"> • Q1, date of receipt of expenditure limits was 26th July 2022 and date of publicising was 13th August 2022, after 13 working days • Q2; date of receipt of expenditure limits was 7th October 2022, date of publicising was 17th October 2022, after 8 working days • Q3; date of receipt of expenditure limits was 5th January 2023, date of publicising was 10th February 2023, 25 working days • Q4; date of receipt of expenditure limits was 17th April 2023, date of publicising was 10th May 2023, after 17 working days 	
			<p>However, publicising was done outside the requirement of 5 working days from the dates. Therefore, LG was not compliant.</p>	
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>There was evidence that the LG health department implemented recommendations from DHMT as detailed below;</p>	2
	<p>Maximum 7 points on this performance measure</p>		<p>In a Q4 QPR for FY22/23 - DHMT meeting held on 19/7/23 had an issue of data discrepancy in HMIS and the recommendation was to do data cleaning every 3rd week of the month and a follow up DQA activity report showed that data cleaning was being done.</p>	
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>The LG did not involve other key LG government departments in all the 4 health quarterly performance review meetings held.</p>	0
	<p>Maximum 7 points on this performance measure</p>			

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>The LG did not supervise all HCIV and hospitals as required 100% for all the 4 quarters. The available reports were only Q1 and Q3. In Q3 supervision was done only for Kukuju HCIV on 24/4/23, Mulanda HCIV on 26/4/23 and St Anthony Hospital on 20/3/23. Nagongera HCIV, Tororo general Hospital Rubonge hospital were not supervised</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <p>• If not applicable, provide the score</p>	<p>The HSD carried out support supervision as follows;</p> <ul style="list-style-type: none"> • FY 2022/23 Q1 Kamuli HCIII, Moro HCIII, Merokit HCIII supervised on 24th-30th/9/2022 • FY 2022/23 Q2 Kamuli HCIII, Moro HCIII, Merokit HCIII supervised on 24th-30th/1/2023 • FY 2022/23 Q3 Kamuli HCIII, Moro HCIII, Merokit HCIII supervised on 10th-15th/3/2023 • FY 2022/23 Q4 Kamuli HCIII, Moro HCIII, Merokit HCIII supervised on 18th-24th/6/2023 	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>This evidence was not provided.</p>	0

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>The LG carried our medicines management supervision to facilities as detailed below;</p> <ul style="list-style-type: none"> • July 2022 - PIP reports, PFM, ART SPARS, EMS was conducted to facilities including Miliri, Kamuli, Melan, Malaba. • August 2022 - PIP reports, PFM, ART SPARS, EMS was conducted to facilities including Kwepai, Merokit, Malaba • September 2022 - PIP reports, PFM, ART SPARS, EMS was conducted to facilities including KAyooro, PAyomeri, Tororo Prisons 	1
	<p>Maximum 7 points on this performance measure</p>			
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p>	<p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p>	<p>The LG allocated UGX 21,480,000 towards health promotion and prevention activities out of the total PHC non-wage recurrent budget of UGX 116,343,000. This amounted to 18.5% against a minimum requirement of 30%.</p>	0
	<p>Maximum 4 points on this performance measure</p>			
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p>	<p>b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0</p>	<p>The DHT led health promotion and prevention activities and detailed below.</p> <p>A report on SBCC activities implemented dated 13/3/23 was submitted to the DHO by Ofubo Joseph the Health educator. It detailed a community sensitisation meeting of cultural and religious leaders.</p>	1
	<p>Maximum 4 points on this performance measure</p>			

11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0	There was evidence of action taken on health promotion issues.	1
	Maximum 4 points on this performance measure		A Q1 performance review meeting dated 5/10/22 showed an issue of low ANC attendance with a recommendation made of using VHTs to identify and refer mothers for ANC as well as conduct community dialogues.	
			This recommendation was acted upon as evidence by a report on a community dialogue meeting held in Kayoro sub county in the report on SBCC dated 13/3/2023	

Investment Management

12	Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.	a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0	The LG had an Asset register and the Facilities sampled had the equipment needed as per the basic standards.	1
	Maximum 4 points on this performance measure		<ul style="list-style-type: none"> • Mukuju HCIV Asset register dated 30/6/23 had a weighing scale, Oxygen concentrator, BP machine and stethoscope. • Osukuro HCIII- Asset register dated 30/6/23 had a fetoscope, BP machine and weighing scale. • Molo HCIII - Asset register dated 30/4/23 had a BP machine, fetoscope, Height metre and stethoscope. 	
12	Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.	b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDPIII); (ii) desk appraisal by the LG; and (iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG));	The LG did not provide documentary evidence to the assessment team to proof that desk appraisals for the prioritised investments in health sector were done	0
	Maximum 4 points on this performance measure	score 1 or else score 0	<p>However, the LG provided evidence that the prioritised investments in the health sector for the previous FY 2022/2023 were derived from the LGDP as indicated;</p> <ol style="list-style-type: none"> 1. Upgrade of Namwaya HCII- HCIII at UGX 899,000,000 was on page 241 of the LGDP 2. Construction of Nawire HCII at UGX 421,259,000 was on page 241 of the LGDP 	

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>The LG did not provide documentary evidence to the assessment team for review.</p>	0
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>There was evidence of Environmental and Social screening reports and Costed ESMPs for the current FY 2023/2024 Health projects signed by the SEO and DCDO.</p> <p>There were screening reports and costed ESMPs for;</p> <ul style="list-style-type: none"> • The construction of a 4 stance pit latrine at Mukujju HCIV dated 15/06/2023 and costed ESMP at UGX. 400,000/= dated 4/07/2023. • The upgrading of Lwala HCII to HCIII dated 16/06/2023 and costed ESMP at UGX. 1,500,000/= dated 27/7/2023 • The construction of a 4 stance pit latrine at Molo HCIII dated 13/6/2023 and costed ESMP at UGX. 400,000/= dated 10/07/2023. 	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>There was evidence that the LG Health department timely submitted all its infrastructure requests to PDU for incorporation into the approved LG annual work plan.</p> <p>As per the copy of the health sector Procurement Plan for current FY prepared by the DHO, date of submission to PDU 27th April 2023 which was before 30th April as required by PPDA laws.</p> <ul style="list-style-type: none"> • Construction of a 4 Stance lined Pit latrines and Bathroom shelters, Urinal PVC tank for Hand washing in Were HCII • Construction of a 4 Stance lined Pit latrines and Bathroom shelters, Urinal PVC tank for Hand washing in Malo HCIII • Construction of a 4 Stance lined Pit latrines and Bathroom shelters, Urinal PVC tank for Hand washing in Petta HCIII • Renovation of Tororo General Hospital Male ward 	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>The health department submitted their PP1 Forms to PDU by 1st Quarter of the Current year that was on 03rd July 2023.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>There was evidence that the Contracts Committee sat on 12th Jan 2023 and approved the Upgrade of Nawire HCII to HCIII under Minute Min:639/DCC/2022 and the project was cleared by the Solicitor General on 06th April 2023.</p> <p>There was also evidence that the Contracts Committee sat on 14th Oct 2022 and approved the Upgrade of Namwaya HCII to HCIII under Minute Min:629/DCC/2022 and the project was cleared by the Solicitor General on 16th Dec 2022.</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG had a project implementation team established as per CAO letter of appointment of team members dated 05th Aug 2022.</p> <p>The team members included;</p> <ul style="list-style-type: none"> • Albert Odoi (DEO) • Obbo Okoth (DHO) • Asaya Andrew (DE) • Leo Owora Adong (DWO) • Aol Evelyn (Natural resource) • Oguti Vicent (DCDO) • Onyango Jerome (Labor officer) <p>Appointments of different Clerks of Works</p> <p>On 13th July 2022.</p> <ul style="list-style-type: none"> • Okada Paul Stephen (COW for Education Projects) • Okech Richard (COW for Education Projects) • Osinde Martin (CoW for Health Projects) 	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence that the LG health infrastructure project met the approved MoH Facility Infrastructure Designs;</p> <p>The Linen store was 3mx1.75m, the Sterile Store was 1.8mx2.9m, Female ward 6.6mx5m which corresponded to the MoH designs.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence of reports from the Clerk of Works in copy to the DHO and the Engineer. Reports dated 22nd Mar 2023, 27th April 2023, 30th May 2023, 30th June 2023. These reports were consolidated from daily site activities.</p>	1

13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0	There was evidence that showed that the DLG Held site meetings on 20th March 2023, 28th March 2023, 27th April 2023 etc. at the Upgrade of Namwaya HC II to HC III.	1
	Maximum 10 points on this performance measure	If there is no project, provide the score		
13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0	There was evidence which showed that the local government carried out technical supervision of works at the health infrastructure project by the relevant officers including the Engineers, Environment officers, CDO.	1
	Maximum 10 points on this performance measure	If there is no project, provide the score	There was evidence that showed that the DLG Supervised and also held site meetings on 20th March 2023, 28th March 2023, 27th April 2023 etc. Supervision reports dated 13th June 2023, 23rd June 2023 at the Upgrade of Namwaya HC II to HC III.	
13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0	The LG verified works and made payments to the contractors within timeframe as indicated below;	1
	Maximum 10 points on this performance measure		Voucher Number 6418211 dated 27th June 2023 amounting UGX 152,298,109 to RAK-BOLD EDGE JOINT VENTURE for Upgrade of Namwaya HC11 to HC111 , contractor raised request for payment on 21st/6/2023, certificate NO 1 endorsed by the DE and DHO on 21st/6/2023 and payment was effected on 27th/6/2023, after 4 working days.	

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>There was evidence that the LG had a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law. Examples include;</p> <p>Toro 554/wrks/22-23/00015 Upgrade of Nawire HCII to HCIII was awarded to Ochoda Enterprises Ltd as the contractor. Approval of the Evaluation Report was done on 12th Jan 2023 Under minute number Min:639/DCC/2022 and Contract signing was done on 11th April 2023.</p> <p>MoH-UgiFT/wrks/21-22/00001 Upgrade of Namwaya HCII to HCIII in West Budama North Lot 7 was awarded to RAK Bold Edge Joint Venture Ltd as the contractor. Approval of the Evaluation Report was done on 14th Oct 2022 Under minute number Min:629/DCC/2022 and Contract signing was done on 06th Jan 2023.</p>	1
----	--	---	---	---

Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>In the centralized grievance log no complaints were recorded from the implementation of Health projects for the FY 2022/2023 as none arose during the implementation process.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>There was evidence of Guidelines on Medical/Health care waste management and evidence of dissemination in the form of a dissemination list dated 02/06/2022.</p> <p>However, there was no evidence of follow up on the implementation of the disseminated guidelines to the health facilities.</p>	0

15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery	b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0	There was no specific or dedicated budget to Medical waste management in the LG Current FY 2023/2024 Annual Budget.	0
	Maximum 5 points on this performance measure		There evidence of Green Label Services Limited contracted to collect, transport and do final disposal of medical waste. However, it was picking Medical waste for only Tororo General Hospital and St. Anthony Hospital as evident on the waste transfer form dated 03/10/2023 to 16/10/2023.	
			Other health facilities were burning waste in open pits in absence of incinerators.	
15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery	c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0	There was evidence of the training report on medical waste management dated 07/06/2022 to health workers with the guiding tool as the WASH Guidelines.	1
	Maximum 5 points on this performance measure			
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0	There prepared costed ESMPs for the two Health projects implemented in the previous FY 2022/2023 were incorporated in their respective contract documents/ BoQs.	2
	Maximum 8 points on this performance measure		The ESMPs were for;	
			The Upgrade of Namwaya HCII to HCIII in Nagongera Town-Council dated 19/08/2022 and costed at UGX. 26,970,000/=.	
			The Upgrade of Nawire HCII to HCIII in Nawire Sub-county dated 31/08/2022 costed at UGX. 12,657,770/=.	

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>There was evidence of proof of land ownership for the land/ site for only one of the two Health projects</p> <p>There was evidence of land Sale agreement</p> <p>(UGX. 6,000,000/=) between Owor Coronas and Nawire Sub-county dated 01/08/2022 for upgrade of Nawire HCII to HCIII.</p> <p>There was an MoU between Tororo DLG and Registered Trustees of the Archdiocese of Tororo for allocation of 5 acres of land for the upgrade of Namwaya HCII to HCIII.</p> <p>However; the MoU copy availed during assessment for Namwaya HCIII land was not signed by the Second Party (Registered Trustees of the Archdiocese of Tororo) and was only signed by the District LG Officials.</p>	0
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>There was evidence of monthly supervision and monitoring reports for the two health projects signed by the Environment Officer and CDO Water.</p> <p>The monitoring reports for the upgrade of Namwaya HCII to HCIII dated 29/03/2023, 28/04/2023, 31/05/2023 and 22/06/2023.</p> <p>The monitoring reports for the upgrade of Nawire HCII to HCIII dated 26/04/2023, 31/05/2023 and 30/06/2023.</p>	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0</p>	<p>There was evidence of Environmental and Social compliance certificates for the two Health projects implemented in the FY 2022/2023 signed by the DCDO and SEO.</p> <p>There were Environmental and Social Compliance Certificates for;</p> <p>The upgrade of Namwaya HCII to HCIII dated 21/06/2023.</p> <p>The upgrade of Nawire HCII to HCIII dated 15/11/2023.</p>	2

**Water &
Environment
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>The functionality of rural water sources was 98% according to the MIS data for 2022/2023</p>	2
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>The functionality of water and sanitation committees was 79% as per the MIS data for 2022/2023</p>	0
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;</p> <ul style="list-style-type: none"> • Above 80%, score 2 • 60% - 80%, score 1 • Below 60%, score 0 	<p>The average score for LLG performance assessment in water and environment was 45%.</p>	0
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.</p> <ul style="list-style-type: none"> o If 100 % of water projects are implemented in the targeted S/Cs: Score 2 o If 80-99%: Score 1 o If below 80 %: Score 0 	<p>The safe water coverage was 58% at the time of preparation of the Annual Work Plan for 2022/2023. The Sub Counties which had safe water coverage below that of the District were; Merikit at 42%, Kirewa at 44%, Mulanda at 50%, Nabuyoga at 51%, Nagongera at 46% and Sop-sop at 56%.</p> <p>In 2022/2023, the District implemented the following water facilities;</p> <p>1. Misasa production well in</p>	0

Nabuyoga S/C

2. Abar production well in Kirewa S/C

3. Mukaganga A production well in Katajula S/C (formerly in Nagongera S/C)

4. Mukaganga B production well also in Katajula S/C (formerly in Nagongera S/C)

5. Extension of Kisoko-Peipei piped water system in Kisoko Sub County

6. Pajangango PS borehole in Magola Sub County

7. Auyo B community borehole in Iyolwa S/C

8. Busia center community borehole in Nagongera S/C

9. Rukuli community borehole in Kisoko S/C

10. Asinget community borehole in Kayoro S/C (formerly in Osukuru S/C)

11. Aderema community borehole in Kwapa T/C (formerly in Kwapa S/C)

12. Kayoro C community borehole in Akadot S/C (formerly in Mukuju S/C)

13. Sirongo A community borehole in Mulanda S/C

14. Abwonget community borehole in Osukuru T/C (formerly under Osukuru S/C)

15. Abworot central borehole in Mukuju S/C

16. Bere central community borehole in Sop-Sop S/C

17. Rulwa community borehole in Kisoko S/C

18. Wakasiki community borehole in Nabuyoga S/C

19. Kisoko central borehole in Kisoko S/C

20. Morikatipe central borehole in Morikatipe S/C (formerly in Osukuru S/C)

21. Iyoriang community borehole in Pajwenda T/C (formerly in Mulanda S/C)

22. Okuret community borehole in Mella S/C

23. Okwira community borehole in Nagongera S/C

24. Koyo community borehole in Mwelo S/C (formerly in Mulanda S/C)

25. And Maguria community borehole in Nyangole S/C (formerly in Rubongi S/C)

11 of the 25 implemented water facilities were in the underserved sub counties resulting in an allocation of 44%.

Please note that facilities implemented in the newly created sub counties and town councils were mapped back to their mother sub counties as the new ones were not in the MIS database for the Ministry of Water and Environment.

2

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates

o If within +/-20% score 2

o If not score 0

Three contracts in the Water Department were assessed and these had variations as follows;

1. Drilling and installation of 6 boreholes under lot 3 were estimated at UGX 145,800,000 and were awarded at UGX 139,965,464. The variation was 4%

2. Construction of three 3-stance VIP latrines at Mailo 1, Kateki, and Apokor rural growth centres were estimated at UGX 72,000,000 and awarded at UGX 70,000,000. The variation was 2.8%

3. And construction of 4 production wells were estimated at UGX 240,000,000 and contract was awarded at UGX 233,282,932. The variation was also 2.8%

All contracts had variations within +/-20% of their respective estimates.

2

2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p>Maximum 8 points on this performance measure</p>	<p>d. % of WSS infrastructure projects completed as per annual work plan by end of FY.</p> <ul style="list-style-type: none"> o If 100% projects completed: score 2 o If 80-99% projects completed: score 1 o If projects completed are below 80%: 0 	<p>In the Financial Year 2022/2023, Tororo LG planned to drill 17 new boreholes, construct 4 production wells, rehabilitate 10 boreholes, construct 3 public latrines and extend 1 piped water system. All the planned WSS projects were implemented as per the work plans amounting to 100%.</p> <p>In addition to the planned projects, the LG drilled 3 more boreholes and rehabilitated 15 other boreholes from the savings on the development budget realized throughout the Financial Year.</p>	2
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <ul style="list-style-type: none"> o If there is an increase: score 2 o If no increase: score 0. 	<p>The functionality of rural water sources was 88% in 2021/2022 and 98% in 2022/2023. There was an increase of 10% between the two financial years.</p>	2
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <ul style="list-style-type: none"> o If increase is more than 1% score 2 o If increase is between 0-1%, score 1 o If there is no increase : score 0. 	<p>The functionality of water user committees was 83% in 2021/2022 and 79% in 2022/2023. There was a decrease of 4%.</p>	0

Performance Reporting and Performance Improvement

4	<p>Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3</p>	<p>The following facilities were assessed in the field;</p> <ol style="list-style-type: none"> 1. Asinget A community borehole in Kayoro Sub County 2. Iyoriangi community borehole in Pajwenda Town Council 3. And Okuta Zone community borehole in Nagongera Sub County <p>All the above facilities were in place and were found to be functional as was reported in the fourth quarter report.</p>	3
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2</p>	<p>There was evidence that the District Water Office collected and compiled quarterly information on water supply and sanitation facilities. On file were form 4 monitoring reports for point water source for each of the four quarters dated; 15th October 2022 for quarter 1, 1st February 2023 for quarter 2, 15th April 2023 for quarter 3 and 25th July 2023 for quarter 4. Also in place were Form 1 monitoring reports for the newly constructed point water sources that were collected and compiled in quarter 4.</p>	2
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0</p>	<p>The DWO updated the quarterly District MIS on a quarterly basis, and the said MIS reports were submitted to the Ministry of Water and Environment on 10th February 2023 for quarter 1 and quarter 2, 17th April 2023 for quarter 3 and 25th July 2023 for quarter 4.</p>	3
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>The DWO did not develop performance improvement plans for the 25% lowest performing LLGs in water and environment as was required.</p>	0

Human Resource Management and Development

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p>	<p>The District Water Officer had budgeted UGX 289. 460 as per estimated budget 2023/2024 vote 932 page 69 of 90.</p> <p>This was to cater for Civil Engineer (Water), Assistant Water Officers (for mobilization and for sanitation and hygiene), Engineering Assistant (Water) and Borehole Maintenance Technician.</p>	2
6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>The Environment and a Natural Resource Officer budgeted UGX 301.600.000 as per estimated budgeted 2023/2024 vote 932 page 81 of 90.</p>	2
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>The District Water Officer only appraised one staff.</p> <p>1. Mr. Oloka Nicholas Asodio a Water Technical Assistant was appraised by Adongo Owore Leo DWO on 18th July, 2023, which was past the required timeframe of 30th June, 2023.</p>	0
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p>	<p>No capacity gaps were identified following appraisal of the staff in the Water Department.</p>	3

Management, Monitoring and Supervision of Services.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
-
- • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
- • If 80-99%: Score 2
- • If 60-79: Score 1
- • If below 60 %: Score 0

The safe water access at the time of preparation of the Annual Work Plan for 2023/2024 was 60%. The Sub Counties with safe water access below that of the District were; Merikit at 41%, Mukuju at 57%, Osukuru at 59%, Kirewa at 48%, Mulanda at 48%, Nabuyoga at 50%, Nagongera at 45% and Sop-Sop at 55%. Tororo DLG planned to implement the following WSS facilities in 2023/2024.

1. 17 boreholes each at UGX 24,235,294 allocated as follows; Katajula (1), Nagongera (1), Mulanda (1), Mwelo (1), Magola (1), Nawire (1), Nabuyoga (1), Kalite (1), Merikit (2), Mella (1), Iyolwa (2), Mollo (1), Nyangole (1), Kwapa (1) and Apokor (1).

2. 3 production wells, 1 in Apetai Sub County, 1 in Morikatipe and 1 in Mella Sub County, each at UGX 60,000,000

3. Extention of piped water in Kisoko Sub County at UGX 45,000,000, in Kirewa Sub County at UGX 89,108,000 and in Iyolwa Sub County at UGX 45,000,000

4. And 4 public latrines in Molo S/C (1), Nyangole S/C (1), Nabuyoga S/C (1) and Iyolwa S/C (1), each at UGX 30,000,000

A total of UGX 432,990,352 was allocated to the sub counties with safe water access below that of the District, out of the development budget of UGX 1,076,758,098 (excluding retention). This resulted in an allocation of 40.2%.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

- b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3

Communication to LLGs of their respective water source allocation was made via letters from the District Water Office, dated 16th August 2023. Kisoko S/C, Pajwenda T/C, Iyolwa S/C and Nyangole S/C all received their communication on 11th December 2023. According to the communication made, Kisoko S/C was to have its piped water system extended to Pei-Pei Parish, Pajwenda T/C was to have one new drilled borehole, Iyolwa S/C one new borehole and a public latrine in Nambogo Village, and Nyangole S/C was to also receive one new borehole and a public latrine at Aninda Market.

9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)</p> <ul style="list-style-type: none"> • If 95% and above of the WSS facilities monitored quarterly: score 4 • If 80-94% of the WSS facilities monitored quarterly: score 2 • If less than 80% of the WSS facilities monitored quarterly: Score 0 	<p>From the quarterly monitoring reports for the District, it was evident that all the 1,215 point water sources in Tororo District had been monitored on a quarterly basis representing 100%.</p> <p>According to the DWO, monitoring of the water sources was done with the help of the hand pump mechanics who were distributed throughout the different sub counties.</p>	4
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2</p>	<p>The District Water Officer conducted all the four DWSCC meetings in the fourth quarter of 2022/2023 as follows; the first meeting was held on 24th April 2023, the second meeting on 30th May 2023, the third meeting on 26th June 2023 and the final meeting was held on 25th July 2023.</p> <p>In the minutes of the said meeting, there was no evidence to show that key issues identified during the quarterly monitoring of water supply and sanitation facilities had been discussed.</p>	0
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>	<p>The allocation of WSS for 2023/2024 Financial Year was distributed on the notice board in the water office with display date 16th August 2023. Among the allocations displayed included 1 borehole to Nabuyonga S/C, 1 to Katajula S/C and 1 to Magola S/C among others.</p>	2
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 	<p>The LG allocated UGX 42,407,524 towards software activities out of the NWR budget of UGX 103,032,764. The allocation was 41.2%</p>	3

10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.</p> <p>Training of water user committees for projects implemented in 2022/2023 Financial Year was done on 8th May 2023 for Iyolwa S/C, 24th and 26th April 2023 for Kayoro S/C and 25th April for Pajwenda T/C facilities among others.</p> <p>For the sampled facilities which included Asinget A BH in Kayoro S/C, Iyoriang BH in Pajwenda T/C and Okwira Zone BH in Nagongera S/C, the interviewed water user committee members confirmed that the said training activities had indeed taken place. The training content included maintaining hygiene at the water facilities, conducting meetings and coming with by laws, and collection of O&M funds among others.</p>	3
----	---	---	----------

Investment Management

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG: Score 4 or else 0</p> <p>The LG had an MIS generated report from the MWE database for its assets register. This was updated to include water facilities implemented in 2022/2023 which included; Rulwa community borehole in Kisoko Sub County, Kisoko Central Zone in Kisoko Sub County, and Kayoro C community borehole among others.</p>	4
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDP III) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible: Score 4 or else score 0.</p> <p>Desk appraisal was conducted for water facilities planned for 2023/2024 Financial Year. Among the water projects appraised included;</p> <ol style="list-style-type: none"> 1. Ngota A village borehole in Nabuyoga Sub County was appraised on 20th July 2023 2. Kalungu village borehole in Merikit Sub County was appraised on 20th July 2023. 3. Kadomoche B village borehole in Mella Sub County was appraised on 20th July 2023. 4. The latrine for Nabuyoga Town Council was appraised on 19th July 2023. 5. And the latrine for Aninda Market in Nyangole Sub County was appraised on 19th July 2023. 	4

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>Community applications for projects planned for 2023/2024 Financial Year were on file. Examples included;</p> <ol style="list-style-type: none"> 1. The SAS for Nyangole Sub County applied for water sources on behalf of the communities of Nyangole B, Achilet B and Nyakesi areas on 21st December 2022. Achilet B was considered in 2023/2024 Financial Year. 2. The community of Kachinga South Cell in Merikit Town Council applied for a water source on 19th January 2023. 3. The LC 3 chairperson for Mwello Sub County applied for a water source for Aghee village on 27th January 2023. 4. The community of Ramogi East village in Petta Sub County applied for a water source on 24th January 2023 5. And the community of Magola B village in Magola Sub County applied for a water source on 2nd April 2023. <p>The above applications were considered for the FY 2023/2024</p>	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>Field appraisal was conducted on 12th May 2023 the three latrines for Nabuyoga T/C, Aninda Market and Mailo 8. Field appraisal was also conducted for boreholes for Ngota A village in Nabuyoga S/C, Kalungu village in Merikit S/C and Kadomoche B village in Mella S/C</p>	2

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents.</p> <p>Score 2</p>	<p>There was evidence of Environmental and Social Screening reports and costed ESMPs for the Water projects for the current FY 2023/2024 signed by the DCDO and SEO.</p> <p>There were screening reports and ESMPs for;</p> <ul style="list-style-type: none"> • The Amon Kagura 'B' borehole drilling and installation dated 9/05/2023 and costed ESMP at UGX. 500,000/= dated 29/06/2023. • The Nyiemera Village borehole drilling and installation dated 10/05/2023 and costed ESMP at UGX. 500,000/= dated 23/06/2023. • The Extension of pipe line water in Kisoko Sub-county dated 9/05/2023 and costed ESMP at UGX. 500,000/= dated 15/06/2023. 	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>There was evidence that the WSS infrastructure investments for previous FY were incorporated in the LG procurement plan endorsed by CAO on 15th Sep 2022; Sampled projects included;</p> <ul style="list-style-type: none"> • Drilling, Pump testing, Casting and Installation of 04 Production wells in various Sub counties • Construction of 05 and Rehabilitation of 1 hand Pumped Borehole Lot 1 • Construction of 04 additional hand Pumped Boreholes Lot 2. 	2

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction
Score 2:

There was evidence that the WSS infrastructure projects for the FY2022/2023 were approved by the contracts committee before commencement as indicated below;.

- Drilling, Pump testing, Casting and Installation of 04 Production wells in various Subcounties. Ref: Toro 554/Wrks/2022-23/00001. Approval of the evaluation report by the contracts committee was done on 31st Oct 2022 Under Min: 09/SCC/2022
- Construction of 05 and Rehabilitation of 1 hand Pumped Borehole Lot 1
- Ref: Toro 554/Wrks/2022-23/00002. Approval of the evaluation report by the contracts committee was done on 31st Oct 2022 Under Min: 09/SCC/2022.
- Construction of 04 additional hand Pumped Boreholes Lot 2. Ref: Toro 554/Wrks/2022-23/00003. Approval of the evaluation report by the contracts committee was done on 31st Oct 2022 Under Min: 09/SCC/2022

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>The LG had a project implementation team established as per CAO letter of appointment of team members dated 05th Aug 2022.</p> <p>The team members included;</p> <ul style="list-style-type: none"> • Albert Odoi (DEO) • Obbo Okoth (DHO) • Asaya Andrew (DE) • Leo Owora Adong (DWO) • Aol Evelyn (Natural resource) • Oguti Vicent (DCDO) • Onyango Jerome (Labor officer) <p>Appointments of different Clerks of Works</p> <p>On 13th July 2022.</p> <ul style="list-style-type: none"> • Okada Paul Stephen (COW) • Okech Richard (COW) • Osinde Martin (CoW) 	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>There was evidence that the contractors had implemented water and sanitation projects following the designs issued by the District Water Officer.</p> <p>For the 3 stance latrine at Milo 1 in Petta Sub County, the overall dimensions of the latrine facility was 4.51 by 3.08m against a design dimension of 4.45 by 2.95. The only addition that was not in the design was the partition wall separating the male from the female section which had been omitted in the designs.</p> <p>For the boreholes visited, implementation was as by the bills of quantities.</p>	2

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2

There was evidence that the relevant technical officers carry out technical supervision of WSS infrastructure projects. Below are the reports found on file showing supervision of WSS projects.

- Supervision Reports on Siting, Drilling, Pump testing, Casting and Installation of 04 Production wells in various Sub counties dated 01st Mar 2023 and 26th April 2023.
- Construction of 05 and Rehabilitation of 1 hand Pumped Borehole Lot 1 dated 23rd Jan 2023 and 15th Feb 2023.
- Construction of 04 additional hand Pumped Boreholes Lot 2 dated 24th April 2023, 28th April 2023 and 5th May 2023 were found on file.

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts

- o If 100 % contracts paid on time: Score 2
- o If not score 0

There was evidence to show that LG verified works and initiated payments within time frame as indicated below;

1.Payment Voucher NO 6411739 dated 27th/6/2023 amounting to UGX 100,375,373 to KLR (U) Ltd for construction of 5 boreholes in Tororo DLG, contractor raised request for payment on 14th/6/2023, certificate was endorsed by DWO,DCDO and Environment officer on 15th/6/2023 and payment was effected on 27th/6/2023, after 10 working days

2. Payment Voucher NO 6409287 dated 27th/6/2023 amounting to UGX 65,379,000 to Balbert Water Solution Ltd for construction of 3 VIP toilets in RGCs in Tororo DLG, contractor raised request for payment on 7th/6/2023, certificate was endorsed by DWO,DCDO and Environment officer on 14th/6/2023 and payment was effected on 27th/6/2023, after 20 working days

3.Payment Voucher NO6409589 dated 27th/6/2023 amounting to UGX 112,346,856 to Mama Bore Wells Africa Ltd for construction of 6 boreholes in Tororo DLG, contractor raised request for payment on 12th/6/2023, certificate was endorsed by DWO,DCDO and Environment officer on 15th/6/2023 and payment was effected on 27th/6/2023, after 10 working days

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:</p> <p>Score 2, If not score 0</p>	<p>The DLG had evidence of complete procurement file for water infrastructure investments as required by PPDA law;</p> <ul style="list-style-type: none"> • Drilling, Pump testing, Casting and Installation of 04 Production wells in various Sub counties. Ref: Toro 554/Wrks/2022-23/00001. Approval of the evaluation report by the contracts committee was done on 31st Oct 2022 Under Min: 09/SCC/2022. The contract was given to Multitech Consults (U) Ltd and the contract was signed on 11th April 2023. • Construction of 05 and Rehabilitation of 1 hand Pumped Borehole Lot 1 Ref: Toro 554/Wrks/2022-23/00002. Approval of the evaluation report by the contracts committee was done on 31st Oct 2022 Under Min: 09/SCC/2022. The contract was given to KLR (U) Ltd and the contract was signed on 06th Jan 2023. • Construction of 04 additional hand Pumped Boreholes Lot 2. Ref: Toro 554/Wrks/2022-23/00003. Approval of the evaluation report by the contracts committee was done on 31st Oct 2022 Under Min: 09/SCC/2022. The contract was given to Mama Borewells Africa Ltd and the contract was signed on 06th Jan 2023. 	2
----	---	---	--	---

Environment and Social Requirements

13	<p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p>	<p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p> <p>Score 3, If not score 0</p>	<p>In the centralized grievance log no complaints were recorded from the implementation of Water projects for the FY 2022/2023 as none arose during the implementation process.</p>	3
14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>There was evidence of Guidelines on water source and catchment protection and natural resource management and evidence of their dissemination to CDOs was availed in the form of an Activity report for training and dissemination of water source protection guidelines held at Teachers resource Centre on 10/08/2022.</p>	3

15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0	There was evidence of Tororo District Local Government water sources and catchment protection plan for FY 2022/2023 availed with planned activities, desired outcomes, key messages, targeted water sources, time frame and the respective responsible persons. These plans were signed by SEO and DCDO on 13/07/2022.	3
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 3, If not score 0	There was evidence that the District implemented WSS projects on land with proof of consent from the land owners. For example; 1. On 15th January 2023, Mr. Okecha John of Asinget A village gave part of his land for drilling and installation of a borehole. 2. On 20th January 2023, Mr. Oketch Peter of Wakasiki village gave part of his land for a borehole. 3. On 27th December 2022, Mr. Owor Michael of Koyo village gave part of his land for a borehole 4. On 12th January 2023, Mr. Simon Ochwo of Sirongo A village gave land for a community borehole 5. And on 20th June 2023, Mr. Omalla Charles of Abari village in Kirewa Sub County gave part of his land for construction of a production well.	3
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects: Score 2, If not score 0	There was evidence of Environmental and Social Compliance certificates for the Water projects implemented in the previous FY 2022/2023 signed by the DCDO and SEO. There were Environmental and Social Compliance Certificates for; ● The Asinget borehole drilling dated 15/06/2023. ● The Sirongo 'A' borehole drilling dated 5/05/2023. ● The Morikatipe Central Cell borehole drilling dated 11/06/2023.	2

Safeguards in the
Delivery of Investments

*Maximum 10 points on
this performance
measure*

d. Evidence that the CDO and
environment Officers undertakes
monitoring to ascertain
compliance with ESMPs; and
provide monthly reports:

Score 2, If not score 0

There was no evidence of monthly
monitoring reports for the water
projects implemented in the
previous FY 2022/2023.

Only a single monitoring report for
each of the water projects was
availed.

● The monitoring report for the
Asinget borehole drilling dated
20/04/2023.

● The monitoring report for the
Sirongo 'A' borehole drilling dated
21/03/2023.

● The monitoring report for the
Morikatipe Central Cell borehole
drilling dated 30/05/2023.

**Micro-scale
Irrigation
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>Tororo district had up to date data on irrigated land for the last two FYs disaggregated between microscale beneficiaries and non-beneficiaries as below</p> <p>FY 2021/20222</p> <p>microscale beneficiaries had 5.0 acres and non-beneficiaries where at 23 acres of land</p> <p>FY 2022/2023</p> <p>microscale beneficiaries had 20.0 acres(15 framers received the microscale irrigation equipment each at 1 acre) and non-beneficiaries were at 23 acres of land as per report 28/04/2022.</p>	2
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>Tororo district had increased acreage of newly irrigated land in the previous FY 2022/2023 as compared to previous FY but one FY 2021/2022 by 15 acres</p> <p>percentage increase=58%</p>	2
2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%, score 4 • 60% - 70%, score 2 • Below 60%, score 0 	<p>The average score in MSI for LLG performance for previous FY 2022/2023 was 79%</p>	4

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0	The development component of micro-scale irrigation grant 75% UGX152,480,381/= was used on eligible activities (procurement and installation of irrigation equipment for 15 eligible farmers who had co-funded).	2
	Maximum score 6		Out of 17 farmers who had co-funded, 2 did not have reliable water sources.	
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	There was evidence to show that approved farmers signed acceptance forms confirming equipment was working well as indicated below;	1
	Maximum score 6		<ul style="list-style-type: none"> • Acceptance form signed by approved farmer Omonyin Stephen unique N0. TRR/2021-08-11/M/19558 at Malaba Town Council on 24th February 2023 	
			<ul style="list-style-type: none"> • Acceptance form signed by approved farmer Okech Anthony unique N0. TRR/2021-08-10/at Molo Sub county on 10th May 2023 	
			<ul style="list-style-type: none"> • Acceptance form signed by approved farmer Othieno Godfrey unique N0. TRR/2020-09-18/M/3759 at Mukuju Sub county on 10th May 2023 	

Investment
Performance: The LG
has managed the
supply and installation
of micro-scale
irrigations equipment as
per guidelines

Maximum score 6

Evidence that the variations in the
contract price are within +/-20% of the
Agriculture Engineers estimates: Score
1 or else score 0

From the 3 sampled
beneficiary farmers, variation
in the contract price was
within +/-20% of the
engineer's estimates as
evidenced from the BoQs.

Tumwekwatse John Mery.

Engineer's quote was
10,758,000/=

Contract Quote was
11,313,000/=

Price variation was -555000/=
percentage variation = -5.16%

Omunyini Steven

Engineer's quote was
8,382,000/=

Contract Quote was
9,827,643.45/=

Price variation was
1,445,643/=
percentage variation = 17.2%

Osiru Azaria

Engineer's quote was
9,014,000/=

Contract Quote was
9,215,000/=

Price variation was 201,000/=
percentage variation was
2.3%

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY	The micro scale irrigation equipment where contracts were signed during the previous FY were installed and completed 100% within the previous FY. This was evidenced by a Technical Verification letters for the supply and Installation of MSI Technologies.	2
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0 	<p>For example,;</p> <p>For Rose Nsiima, the practical completion certificate of works was dated 13/03/2023 for works that comenced on 05/11/2022 and were completed on 12/11/2022.</p>	
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	a) Evidence that the LG has recruited LLG extension workers as per staffing structure	The LG had recruited LLG extension workers as per staffing structure. The LLG staff structure had a ceiling of 185 and filled was 30, $30/185 * 100 = 16.2\%$ which was less than 75%	0
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0 		
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF	Not Applicable, there was no standard design by MAAIF The micro-scale irrigation equipment installed was in line with the design output sheet.	0
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 or else score 0 		
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the installed micro-scale irrigation systems during last FY are functional	From the 3 sampled farmer-sites (Omunyin Stephen,Amoit Lydia ,and Viany Timwekwase -beneficiaries),the installed micro scale irrigation systems during the last FY were 100% functional at the time of assessment.	2
	Maximum score 6	<ul style="list-style-type: none"> • If 100% are functional score 2 or else score 0 		

Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0</p>	<p>There was no sufficient evidence to show that the information on the position of extension workers filled was accurate. For instance from the 3 sampled LLGs Molo Sub County staff list as per 25th November, 2022 had 2 extension workers Bukonga Isaac AAO and Jenga Paul AHO and the HRM staff list as per 2022/2023 had only one extension worker Bukonga Isaac AAO.</p> <p>Osukuru Town Council and Mukuju Sub County the same number of extension workers appeared on both the staff list and HRM staff list.</p>	0
5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p>	<p>The information on micro scale irrigation equipment installed and functionality was accurate as per the design output sheet at the time of assessment.</p> <p>for example;</p> <p>Omunyin stephen;</p> <p>There were 4 hydrants 25 m apart for drag horse irrigation technology.</p> <p>There was a submersible solar powered pump and solar panel-300 watts and an overhead tank 5000 litres.</p> <p>The system was functional and accurate with a throw radius of approximately 10 m targeting animal pastures, tomatoes ,and bananas.</p>	2

6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>	<p>Information was collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of Complementary services and farmer Expression of Interest as below,</p>	2
	<p>Maximum score 6</p>		<p>During Quarter 1 and 2, the district had not received funds thus implemented its action plan during quarter 3 and 4.</p>	
			<p>According to quarter 4 report dated 30/06/2023, there was supply and installation of irrigation equipment thus irrigated land was 15 acres.</p>	
			<p>Complementary services included training activities that were undertaken to have more farmers undertake co-payment which was achieved across the administrative parishes.</p>	
			<p>The EOI had accumulated to 1300 farmers.</p>	
			<p>100 farm visits were conducted and 17 farmers had co-funded by this quarter.</p>	
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p>	<p>The LG had entered up to-date LLG information into MIS and EOI had accumulated to 1357 with Ochieng Philip Mike from Osukuru sub county registered on 01/11/2023.</p>	1
	<p>Maximum score 6</p>			
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0</p>	<p>There was no evidence of quarterly reports using information compiled from LLGs in the MIS</p>	0
	<p>Maximum score 6</p>			

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	The LG had developed an approved Performance Improvement for the lowest performing LLGs as dated 16/06/2023.	1
	Maximum score 6			

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	ii)There was no evidence that the PIP was implemented.	0
	Maximum score 6			

Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	The LG had budgeted UGX 1.333.104.000 as per estimated budget 2023/2024 Vote 932 page 33 of 90.	1
	Maximum score 6			

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	ii Deployed extension workers as per guidelines score 1 or else 0	According to the sampled LLGs the LG had not deployed extension workers as per guidelines. For example, Mukuju S/C had 3 extension workers and the staff establishment was 6 extension workers. 1 Veterinary Officer, Agricultural Officer, Fisheries Officer, Assistant Fisheries Office, Assistant Animal Husbandry Officer and Assistant Veterinary Officer.	0
	Maximum score 6			

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p> <p>Maximum score 6</p>	<p>b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0</p>	<p>0</p> <p>According to the sampled LLGs (Molo S/C, Mukuju S/C and Osukur T/C) there was no sufficient evidence to show that extension workers were working in the LLGs where they are deployed .</p> <p>For instance, according to the HRM staff list as per 2022/2023 Jenga Paul AVO was deployed at Osukuru Town Council but from the findings at ground he was working in Molo S/C.His name appeared on the staff list of Molo S/C as per 25th November, 2022 and attendance book.</p>
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p> <p>Maximum score 6</p>	<p>c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0</p>	<p>2</p> <p>According to the sampled LLGs (Osukuru Town Council, Molo Sub County and Mukuju SubCounty) there was evidence that extension workers' deployment had been publicized and disseminated to LLGs by displaying the staff list on the LLG notice boards.</p>

Performance management: The LG has appraised, taken corrective action and trained Extension Workers

Maximum score 4

a) Evidence that the District Production Coordinator has:

i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0

There was evidence that the District Production Coordinator had conducted annual performance appraisal of all extension workers against the agreed performance plan in the Financial Year 2022/2023 as indicated below;

1. Mr. Ochieng Patrick Assistant Animal Husbandry Officer was appraised by Nasirumbi Damali SAS Mukuju S/C on 30th June, 2023.

2. Omitta Abemec Assistant Agricultural Officer was appraised by Oboth Charles SAS Nabuyoga S/C on 30th June, 2023.

3. Eng. Okoth Joseph Micheal SAE was appraised by Mw. Okware Patrick DPO on 30 June, 2023.

4. Agero Judith Agricultural Officer was appraised by Nasirumbi Damali SAS on 27th June, 2023.

5. Bukonga Isaac Assistant Husbandry Officer was appraised by Emon Samuel SAS Molo S/C on 25th July, 2023.

6. Obbo Moses Assistant Agricultural Officer was appraised by Etudi Felix Ag. TC Malaba T/C on 30th June 2023.

7. Abino Jennifer Assistant Animal Husbandry Officer on 23rd June, 2023 by Charles Oketcho Ag. SAS Iyolwa S/C.

8. Ofamba Peter Assistant Agricultural Officer was appraised on 7th July, 2023 by Nahirya Peace Ag. SAS.

9. Obudi Raphael Entomology Officer was appraised by Okware Patrick DPO on 30th July, 2023.

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	a) Evidence that the District Production Coordinator has; Taken corrective actions: Score 1 or else 0	According to appraisal reports corrective actions were observed like training in ICT.	1
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	b) Evidence that: i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0	There was no documentary evidence provided that training activities were conducted in accordance to the training plans at District level.	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	ii Evidence that training activities were documented in the training database: Score 1 or else 0	There was no documentary evidence provided training activities were documented in the training database .	0

Management, Monitoring and Supervision of Services.

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10	a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 - 75% capital development; and 25% complementary services): Score 2 or else 0	The LG had appropriately allocated the micro scale irrigation grant UGX 913,499,615/= between; (i) capital development (micro scale irrigation equipment) 75% was UGX 685,124,711/=	2
			(ii) complementary services 25% was 228,374,904/=	

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	<p>The budget allocations made towards complementary services were in line with the sector guidelines i.e.</p>	2
	<p>Maximum score 10</p>		<p>(i) maximum 25% (57093,726/=) for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement. Monitoring and Supervision).</p>	
			<p>The district had budgeted for a maximum of UGX 45,674,981/= which was equivalent to 20% of the complementary services budget and.</p>	
			<p>(ii) minimum 75% (171,281,178/=) for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers. Farm visit, Demonstrations, Farmer Field Schools):</p>	
			<p>The district had budgeted for a minimum of UGX 182,699,923/= which was equivalent to 80% of the complementary services budget.</p>	
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>The co—funding was reflected in the LG Budget 2023/2024 and allocated as per guidelines as UGX 545,000,000/=</p>	2
	<p>Maximum score 10</p>			

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>There was evidence to show that The LG used co-funding following the same rules applicable to MSI grant as follows;</p>	2
			<p>Total amount spent on suppliers was UGX 162,128,241.</p>	
			<p>Contribution from farmers was UGX 45,964,916</p>	
			<p>Percentage from co-funding was 45,964,916/162,128,241, giving 25% as per the guidelines</p>	
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>There were DTPC minutes to the meeting dated 31/08/2022 MIN 2/18/8/2022.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 Less than 70% score 0 	<p>There was support supervision of sub county agricultural officers while undertaking UgiFT programme report dated 08/02/2022. This was intended to monitor if Environmental and social safeguard screening of proposed irrigation sites was carried out.</p> <p>There was also a supervision report dated 16/04/2023 which aimed at farmers' water source for production in regards to colour changes and salinity, the depth of water sources and pump installation versus water level.</p>	2

10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>There was an implementation progress report dated 01/04/2023-31/06/2023 where emphasis was put on use of recommended spacing and planting in straightin straight rows using a string or raised bed or ridges for betyterbetter performance of the irrigation system.</p> <p>There was also a field day report which was intended to empower farmers with skills to make them experts in their own fields.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0</p>	<p>There was a capacity building report of extension workers that was conducted in two days on farmer field school role out and implementation dated 18/01/2023 where 38 and 34 people participated on 17/01/2023 and 18/01/2023 respectively.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0</p>	<p>The LG had established and run 15 farmer field schools.</p> <p>All Agricultural Officers were trained on establishment of farmer field school as per report dated 30/06/2023 from 42 sub counties.</p>	2
11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0</p>	<p>There was evidence that the LG had conducted activities to mobilize farmers, there was a sensitisation report dated 15/12/2022 which was intended to capture the details of the willing farmers into the system so that they are served with the irrigation equipment.</p> <p>This involved farmers from Budama North and Budama south where a total of 973 farmers participated across all the sub counties.</p>	2

11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	There was no sufficient evidence that Tororo district trained staff and political leaders at District and LLG levels .	0
	Maximum score 4			

Investment Management

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0	The LG had an up dated register of micro-scale irrigation equipment supplied to farmers in the previous FY.	2
	Maximum score 8			

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0	The LG had an up-to—date database of applications at the time of the assessment for both current and previous FY as below;, Previous FY2022/2023 Owere Mark from Kirewa S/C was registered on 08/02/2023. Omita Peter from Magola S/C was registered on 17/02/2023 Current FY2023/2024 Mutono Benard from Mwello S/C and Ochieng Philip Mike from Osukuru T/C were registered on 01/11/2023.	2
	Maximum score 8			

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0	The District had carried out farm visits to farmers that submitted complete Expressions of Interest as evidenced by farm visit report dated 20/01/2023 with photos in Merikit S/C, Murukanyangai etc	2
	Maximum score 8			

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>In all the sampled LLGs the District Agricultural Engineer had not publicized eligible farmers that had been approved by posting on the LLGs notice boards.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>There were Micro-scale projects incorporated in the approved Procurement Plan. The project was; Supply, Delivery and Installation of Small-Scale irrigation equipment at the Co-funded farmers sites.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>There was evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by MAAIF. Request for quotation dated was inviting the Firms below.</p>	2
			<ul style="list-style-type: none"> ● NEC Agro SMC Ltd ● Karf Aqua Engineering Solutions Ltd ● Davis and Shirtliff ● Grow more Seeds and Chemicals Ltd ● Innovation Africa Ltd. 	

Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines

Maximum score 18

c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0

There was evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria. I.e.; in the evaluation report, technical compliance selection Methodology was used i.e., screening through the:

- Preliminary stage
- Detailed Evaluation
- Financial comparison

For Lot 3&5 only Karf Aqua responded and it was given the installation for Tumwekwase J Vianny and Mr. Epila Charles Opio.

For Lot 4, only Grow more Seeds and chemicals responded and it was given the installation for Mr. Omunyin Stephen.

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0</p>	<p>There was evidence that the micro-scale irrigation systems were approved by the Contracts Committee. Below were the approved projects.</p> <p>Proc Ref: Toro 554/Supls/2022-2023/00019 Lot 3. Design, Supply and Installation of Micro scale Solar Powered Irrigation Systems for Mr. Epila Charles Opio. Approval of evaluation Report was on 04th Mar 2022 Under MIN: 554/DCC/2022.</p> <p>Proc Ref: Toro 554/Supls/2022-2023/00019 Lot 3. Design, Supply and Installation of Micro scale Solar Powered Irrigation Systems for Tumwekwase. J Vianny. Approval of evaluation Report was on 04th Mar 2022 Under MIN: 554/DCC/2022.</p> <p>Proc Ref: Toro 554/Supls/2022-2023/00019 Lot 3. Design, Supply and Installation of Micro scale Solar Powered Irrigation Systems for Mr. Omunyin Stephen. Approval of evaluation Report was on 04th Mar 2022 Under MIN: 554/DCC/2022.</p>	1
----	---	---	---	----------

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0</p>	<p>For Lot 3 & 5 only Karf Aqua responded and it was given the installation for Tumwekwase J Vianny and Mr. Epila Charles Opio.</p> <p>For Lot 4, only Grow more Seeds and chemicals responded and it was given the installation for Mr. Omunyin Stephen.</p> <p>Therefore, there was no room for comparison of prices since one individual firm showed up for each Lot .</p>	2
----	---	--	---	----------

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p>	<p>f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0</p>	<p>The information on micro scale irrigation equipment installed was in line with the design output sheet at the time of assessment.</p>	2
	<p>Maximum score 18</p>		<p>for example;</p> <p>Omunyin Stephen</p> <p>The beneficiary had a set of a solar powered irrigation system with a submersible pump.</p> <p>There was a drag horse irrigation technology where 4 hydrants were distributed 25 m apart for better performance. Each hydrant had a functional control valve, the farm was under animals, tomatoes and bananas all practiced on 1 acre.</p>	
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p>	<p>g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0</p>	<p>There was evidence that the LG had conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers i.e. District Agricultural Engineer on 04/04/2023, 07/02/2023 as per the site book at Koi B.</p>	2
	<p>Maximum score 18</p>		<p>There was also a supervision report dated 05/04/2023 where the Engineer noted that all contractual deliveries and installation were made and the farmer needed to expand the water source.</p>	
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p>	<p>h) Evidence that the LG has overseen the irrigation equipment supplier during:</p> <p>i. Testing the functionality of the installed equipment: Score 1 or else 0</p>	<p>There was a verification and supervision of UgiFT projects for supply and installation dated 14/04/2023 where 11 people participated.</p>	1
	<p>Maximum score 18</p>			

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	The LG had overseen the irrigation equipment supplier during hand-over of the equipment to the Approved Farmer for example	1
	Maximum score 18		There a delivery note to Charles Epila Opio where delivery was verified on 07/06/2023 by the LG from Aqua Engineering solutions, Goods Received Note No: 2425, Delivery Note 2209.	
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	The LG made payments to suppliers within 2 months' time frame as per the sampled vouchers below;	2
	Maximum score 18		<p>Payment voucher NO. 4768389 amount UGX 6,911,250 to Grow more seeds & chemicals Ltd for installation of MSI equipment at Omunyin Stephen farm, the contractor raised request for payment on 15th February 2023, payment certificate signed by the SAE, and cAO on 22nd March 2023 and payment was effected on 18th April 2023, after 45 working days</p> <p>Payment voucher NO. 6409543 amount UGX 8,503,540 to Karf Aqua Engineering Solutions Ltd for installation of MSI equipment at Othieno Godfrey farm, the contractor raised request for payment on 23rd May 2023, payment certificate signed by the SAE, and cAO on 14th June 2023 and payment was effected on 27th June 2023, after 25 working days</p> <p>Payment voucher NO. 6409543 amount UGX 10,596,000 to Karf Aqua Engineering Solutions Ltd for installation of MSI equipment at Oketch Anthony farm, the contractor raised request for payment on 23rd May 2023, payment certificate signed by the SAE, and cAO on 14th June 2023 and payment was effected on 21st June 2023, after 19 working days</p>	

Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines

Maximum score 18

j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0

There was evidence that the LG has a complete procurement file for each contract and with oil records required by the PPDA Law

Proc Ref: Toro
554/Supls/2022-2023/00019
Lot 3. Design, Supply and Installation of Micro scale Solar Powered Irrigation Systems for Mr. Epila Charles Opio. Approval of evaluation Report was on 04th Mar 2022 Under MIN: 554/DCC/2022. The contract was awarded to Kark Aqua Engineering Solutions Ltd and agreement signed on 25th Oct 2022.

Proc Ref: Toro
554/Supls/2022-2023/00019
Lot 3. Design, Supply and Installation of Micro scale Solar Powered Irrigation Systems for Tumwekwase. J Vianny. Approval of evaluation Report was on 04th Mar 2022 Under MIN: 554/DCC/2022. The contract was awarded to Kark Aqua Engineering Solutions Ltd and agreement signed on 25th Oct 2022.

Proc Ref: Toro
554/Supls/2022-2023/00019
Lot 3. Design, Supply and Installation of Micro scale Solar Powered Irrigation Systems for Mr. Omunyin Stephen. Approval of evaluation Report was on 04th Mar 2022 Under MIN: 554/DCC/2022. The contract was awarded to Grow more seed and Chemicals Ltd and agreement signed on 10th Nov 2022.

Environment and Social Safeguards

Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework

Maximum score 6

a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0

The GRM was displayed on production department approved by CAO on 22/07/2022.

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: i). Recorded score 1 or else 0 ii). Investigated score 1 or else 0 iii). Responded to score 1 or else 0 iv). Reported on in line with LG grievance redress framework score 1 or else 0	There was evidence of the Grievance log book and Grievance Redress Mechanism availed during assessment. There were Five (5) complaints reported and recorded by the Grievance Focal person in the Grievance log book. The complaints were investigated and resolved in line with the LG grievance redress framework.	1
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	There was evidence of the Grievance log book and Grievance Redress Mechanism availed during assessment. There were Five (5) complaints reported and recorded by the Grievance Focal person in the Grievance log book. The complaints were investigated and resolved in line with the LG grievance redress framework.	1
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	There was evidence of the Grievance log book and Grievance Redress Mechanism availed during assessment. There were Five (5) complaints reported and recorded by the Grievance Focal person in the Grievance log book. The complaints were investigated and resolved in line with the LG grievance redress framework.	1
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iv. Reported on in line with LG grievance redress framework score 1 or else 0	There was evidence of the Grievance log book and Grievance Redress Mechanism availed during assessment. There were Five (5) complaints reported and recorded by the Grievance Focal person in the Grievance log book. The complaints were reported, investigated and resolved in line with the LG grievance redress framework.	1

Environment and Social Requirements

15	Safeguards in the delivery of investments Maximum score 6	a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc. score 2 or else 0	The LG had disseminated Micro-irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers on radio talk shows and spot messages on East FM where Eng. Joseph Okoth(SAE) participated in the presentation on 14/02/2023 to 16/02/2023.	2
15	Safeguards in the delivery of investments Maximum score 6	b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment. i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0	The costed ESMPs developed for the respective Micro Scale Irrigation projects were not incorporated in their respective contract documents/ BoQs.	1
15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	Not all Micro scale irrigation projects implemented in the previous FY 2022/2023 had monthly Supervision and Monitoring reports. There were monthly monitoring reports for; • Mr. Omunyin Stephen Micro Scale Irrigation project dated 25/01/2023 and 16/02/2023 signed by the SEO and DCDO. • However, there were no monthly monitoring reports for Ms. Amoit Lydia and Br. Tumwekwase J. Vianney Micro Scale Irrigation projects. Only a single report for each project was availed. • The monitoring report for Ms. Amoit Lydia micro scale irrigation project dated 16/02/2023. • The monitoring report for Br. Tumwekwase J. Vianney micro scale irrigation project dated 23/02/2023.	0

15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	There was evidence of Environmental and Social compliance certificates for the Micro scale irrigation projects implemented in the FY 2022/2023 signed by the DCDO and SEO. There were compliance certificates for; • Mr. Omonyin Stephen Micro Scale Irrigation site at Asinge Cell in Maluba Town-council dated 25/01/2023. • Ms. Amoit Lydia Micro Scale Irrigation site at Koi Cell in Mukujju Sub-county dated 16/02/2023. • Br. Tumwekwase Vianney Micro Scale Irrigation site in Benedictines Cell Eastern Division Tororo Municipal Council dated 23/02/2022.	1
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	There was evidence of Environmental and Social compliance certificates for the Micro scale irrigation projects implemented in the FY 2022/2023 signed by the DCDO and SEO. There were compliance certificates for; • Mr. Omonyin Stephen Micro Scale Irrigation site at Asinge Cell in Maluba Town-council dated 25/01/2023. • Ms. Amoit Lydia Micro Scale Irrigation site at Koi Cell in Mukujju Sub-county dated 16/02/2023. • Br. Tumwekwase Vianney Micro Scale Irrigation site in Benedictines Cell Eastern Division Tororo Municipal Council dated 23/02/2022.	1

**Crosscutting Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	The District had substantively appointed Mr. Abulu Mark as a Chief Finance Officer on 3rd April, 2014 as directed by the DSC Minute No. 6/2014, endorsed by Mr. Oswan. V. K the CAO.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	The District had substantively appointed Mr. Owino Felix as the District Planner on 17th May, 2021 as directed by the DSC Minute No. 13/2021, signed by Dunstan Balaba the CAO.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	The District did not have a substantively appointed District Engineer. Mr. Asaya Andrew a Senior Engineer was assigned duties of a District Engineer on 23rd August,2018 through letter reference CR/D11344, signed by Mr. Musisi Joel for CAO	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	The District did not have a substantively appointed District Natural Resources Officer. Ms. Aol Mary Everlyne a Senior Environment Officer, was assigned duties of a District Natural Resource Officer on 5th September, 2018 through letter reference CR/D10073, signed by Mr. Musisi Joel for CAO.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	Mr. Okware Patrick was substantively appointed as a District Production Officer on 21st April, 2021 as directed by the DSC Minute No.51/2021, signed by the CAO Mr. Dunstan Balaba.	3

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	The District did not have a substantively appointed District Community Development Officer. Mr. Oguti Owendo Vincent a Senior Community Development Officer was assigned duties of District Community Development Officer on 23rd August, 2018 through letter reference CR/D11344, signed by Mr. Musisi Joel for CAO.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	The District did not have a substantively appointed District Commercial Officer. Mr. Etyang George Emukule a Principal Commercial Officer was assigned duties of District Commercial Officer on 4th September, 2019 through letter reference CR/153/3, signed by the CAO Mr. Dunstan Balaba.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal Procurement Officer, 2 or else 0.	Mr. Mangeni Richard was substantively appointed as a Senior Procurement Officer on 30th July, 2008 as directed by the DSC Minute No. 102/2008, signed by the CAO Mr. Felix Cuthbert Esoku.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	This position was not filled at the time of assessment.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	Mr. Oteba Augustine Severino was substantively appointed as a Principal Human Resource Officer on 3rd April, 2014 as directed by the DSC Minute No. 64/2014, signed by the CAO Mr. Oswan V.K.	2

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	Ms. Aol Mary Everlyne was substantively appointed as a Senior Environment Officer on 16th June, 2015 as directed by the DSC Minute No. 72/2015 (i), as signed by the CAO Mr. Oswan V. K.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	k. Senior Land Management Officer /Physical Planner, score 2 or else 0	The District did not have a substantively appointed Senior Land Management Officer. Ms. Agola Federico a Physical Planner, was assigned duties of a Senior Land Management Officer on 20th September, 2022 through letter reference CR/153/3 as signed by the CAO Mr. Dunstan Balaba.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	l. A Senior Accountant, score 2 or else 0	The District had substantively appointed Mr. Wange Frederick Khasango as a Senior Accountant on 24th June, 2021 as directed by the DSC Minute No. 160/2021, signed by Mr. Dunstan Balaba the CAO.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0	The District had appointed Ms. Namugwere Sarah substantively as a Principal Internal Auditor on 21st April, 2021 as directed by the DSC Minute No. 34/2021, signed by the CAO Mr. Dunstan Balaba.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0	Mr. Epuwata Richard Titus was substantively appointed as a Principal Human Resource Officer (Secretary DSC) on 20th March, 2013 as directed by the DSC Minute No. 135/2013 (i), signed by the CAO Oswan V. K.	2
2	New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG Maximum score is 15	a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal	The District had 42 LLGs which constituted 10 Town Councils and 32 Sub Counties. However, the LG appointed 15 substantive Senior Assistant Secretaries and 5 Senior Assistant Town Clerks as follows; 1. Ms. Apendi Mary Samali was	0

Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).

substantively appointed as a Senior Assistant Secretary on 27th June, 2016 as directed by the DSC Minute No. 110/2016 (ii), signed by Epodia Pauline Opio the CAO.

2. Mr. Namawa Alfred Mark was substantively appointed as a Senior Assistant Secretary on 31st May, 2019 as directed by the DSC Minute No. 115/2019 (i), signed by Mr. Dunstan Balaba the CAO.

3. Ms. Alow Vicky Emiley was substantively appointed as a Senior Assistant Secretary on 1st July, 2022 as directed by the DSC Minute No. 109/2022, signed by Mr. Dunstan Balaba the CAO.

4. Ms. Nasirumbi Damali was substantively appointed as a Senior Assistant Secretary on 18th May, 2016 as directed by the DSC Minute No. 65/2016 (i), signed by Epodia Pauline Opio the CAO.

5. Mr. Ikibo Robert Cleans was substantively appointed as a Senior Assistant Secretary on 31st May, 2023 as directed by the DSC Minute No. 59/2023, signed by Mr. Atama Gabriel Richard the CAO.

6. Mr. Okengere Christopher was substantively appointed as a Senior Assistant Secretary on 24th August, 2007 as directed by the DSC Minute No. 89/2007, signed by Felix Cuthbert Esoku the CAO.

7. Ms. Auma Apophia was substantively appointed as a Senior Assistant Secretary on 24th August, 2007 as directed by the DSC Minute No. 89/2007, signed by Felix Cuthbert Esoku the CAO.

8. Emoit Bernard was substantively appointed as a Senior Assistant Secretary on 18th January, 2023 as directed by the DSC Minute No. 163/2022, signed by Majiri Joseph the CAO.

9. Mr. Okumu Julius was substantively appointed as a Senior Assistant Secretary on 31st May, 2023 as directed by the DSC Minute No. 59/2023, signed by Mr. Atama Gabriel Richard the CAO.

10. Mr. Emun Samuel Opuwa was substantively appointed as a Senior Assistant Secretary on 24th August, 2007 as directed by the DSC Minute No.89/2007, signed by Felix Cuthbert Esoku the CAO.

11. Mr. Okoth Romanous Kitong was substantively appointed as a Principal Township on 1st July, 2022 as directed by the DSC Minute No. 108/ 2022, signed by Dunstan Balaba the CAO.

12. Oboth Charles was substantively appointed as a Senior Assistant Secretary on 18th January, 2023 as directed by the DSC Minute No. 160/2022, signed by Magiri

Joseph the CAO.

13. Ms Awori Teopista was substantively appointed as a Senior Assistant Secretary on 24th August, 2007 as directed by the DSC Minute No. 89/2007, signed by Mr. Felix Cuthbert Esoku the CAO.

14. Ms. Nekesa Damali Waka was substantively appointed as a Senior Assistant Secretary on 21st April, 2021 as directed by the DSC Minute No. 33/2021, signed by Mr. Dunstan Balaba the CAO.

15. Ms. Amita Jane Clare was substantively appointed as a Senior Assistant Secretary on 24th August, 2007 as directed by the DSC Minute No. 89 /2007 signed by Felix Cuthbert Esoku the CAO.

16. Mr. Japian Anthony Paul was substantively appointed as a Senior Assistant Town Clerk on 1st July, 2022 as directed by the DSC Minute No. 94/2022 signed by Balaba Dunstan the CAO.

17. Mr. Padde Jackson Etoori was substantively appointed as a Senior Assistant Town Clerk on 1st July, 2022 as directed by the DSC Minute No. 94/2022, signed by Dunstan Balaba the CAO.

18. Mr Obella J. O Anthony was substantively appointed as a Senior Assistant Town Clerk on 10th May, 2021 as directed by the DSC Minute No. 96/2021, signed by Dunstan Balaba the CAO.

19. Mr. Jakwo Jacob Obbo was substantively appointed as a Senior Assistant Town Clerk on 1st, July, 2022 as directed by the DSC Minute No. 94/2022 signed by Dunstan Balaba the CAO.

20. Mr. Sabane Samali Epiat was substantively appointed as a Senior Assistant Town Clerk on July 1st, 2022 as directed by the DSC Minute No. 94/2022 signed by Dunstan Balaba the CAO.

2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

The District had 42 LLGs but appointed 16 substantive Community Development Officers as follows;

1. Mr. Obango Benjamin was substantively appointed as a Community Development Officer on 24th September, 2014 as directed by the DSC Minute No. 163/2014 (vii), signed by Oswan V.K the CAO.

2. Ms. Aboth Stella was substantively appointed as a Community Development Officer on June 19th, 2023 as directed by the DSC Minute No. 87/2023, signed by Atama Gabriel Richard the CAO.

3. Ms. Adikin Beatrice was substantively

0

appointed as a Community Development Officer on June 19th, 2023 as directed by the DSC Minute No. 87/2023, signed by Atama Gabriel Richard the CAO.

4. Mr. Odoi Patrick was substantively appointed

d as a Senior Community Development Officer on 10th April, 2014 as directed by the DSC Minute No. 60/2014, signed by Irene Okuna the CAO.

5. Mr. Otheino Jackson was substantively appointed as a Community Development Officer on 25th May, 2017 as directed by the DSC Minute No. 87/2017, signed by Mr. Sande Kyomya Christopher the CAO.

6. Mr. Opio Denis Emidil was substantively appointed as a Community Development Officer on 3rd April, 2014 as directed by the DSC Minute No. 58/2014 (i), signed by the CAO Mr. Oswan V. K.

7. Ms. Amusolo Daisy was substantively appointed as a Community Development Officer on 22nd October, 2008 as directed by the DSC Minute No. 172/2008, signed by Mr. Felix Cuthbert Esoku the CAO.

8. Mr. Asang David was substantively appointed as a Community Development Officer on 25th May, 2017 as directed by the DSC Minute No. 87/2017, signed by Mr. Sande Kyomya Christopher the CAO.

9. Mr. Imma Emmanuel was substantively appointed as a Community Development Officer on 10th May, 2017 as directed by the DSC Minute No. 70/2017 (i), signed by Sande Kyomya Christopher the CAO.

10. Mr. Opoya Godwin was substantively appointed as a Community Development Officer on 21st April, 2021 as directed by the DSC Minute No. 60/2021, signed by the CAO Mr. Dunstan Balaba.

11. Ms. Nyadoi Hellen Peyele was substantively appointed as a Community Development Officer on 10th May, 2017 as directed by the DSC Minute No. 70/2017 (i), signed by Mr. Sande Kyomya Christopher the CAO.

12. Omaset Thomas was substantively appointed as a Community Development Officer on May 31st, 2019 as directed by the DSC Minute No. 108/2019 (i), signed by Dunstan Balaba the CAO.

13. Ms. Among Juliet was substantively appointed as a Community Development Officer on 21st April, 2021 as directed by the DSC Minute No. 60/2021, signed by Dunstan Balaba the CAO.

14. Mr. Angua Emma Edry was substantively appointed as a Community

Development Officer on 31st May, 2019 as directed by the DSC Minute No. 108/2019 (i), signed by Dunstan Balaba the CAO.

15. Ms. Akello Deborah was substantively appointed as a Community Development Officer on 6th January, 2011 as directed by the DSC Minute No. 170/2010, signed by Felix Cuthbert Esoku the CAO.

16. Owor Godfrey was substantively appointed as a Community Development Officer on 21st April 2021 as directed by the DSC Minute No. 60/2021, signed by Mr. Dunstan Balaba the CAO.

2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

The District had 42 LLGs but appointed 22 substantive Senior Accounts Assistant as follows;

1. Ms. Asegere Catherine was substantively appointed as a Senior Accounts Assistant on 10th May, 2017 as directed by the DSC Minute No. 14/2021 signed by Mr. Dunstan Balaba the CAO.

2. Mr. Okware Etudi Joseph Joel was substantively appointed as an Accounts Assistant on 25th April, 2017 as directed by the DSC Minute No.46/2017 (i), signed by Sande Kyomya Christopher the CAO.

3. Mr. Orukan Stanley Emukule was substantively appointed as an Accounts Assistant on 2nd May, 2008 as directed by the DSC Minute No. 52/2008, signed by the CAO Mr. Felix Cuthbert Esoku.

4. Ms. Achieng Topista was substantively appointed as an Accounts Assistant on 21st April, 2021 as directed by the DSC Minute No. 39/2021, signed by the CAO Mr. Dunstan Balaba.

6. Mr. Olweny Fedrick was substantively appointed as an Accounts Assistant on 30th January, 2012 as directed by the DSC Minute No. 9/2012, signed by Irene Okuna the Town Clerk

7. Okoth Romanes was substantively appointed as an Accounts Assistant on 10th May, 2021 as directed by the DSC Minute No. 14/2021, signed by Mr. Dunstan Balaba the CAO.

8. Mr. Otheino Joseph Martin was substantively appointed as an Accounts Assistant on 28th October, 2008 as directed by the DSC Minute No. 163/2008, signed by the CAO Mr. Wencho Wilber.

9. Ms. Achieng Mary Immaculate was substantively appointed as an Accounts Assistant on 7th November, 2017 as directed by the DSC Minute No. 178/2017, signed by Ms. Elizabeth Namanda the CAO.

0

10. Ms. Owere Wilbraod was substantively appointed as an Accounts Assistant on May 10th, 2021 as directed by the DSC Minute No. 87/2023, signed by Mr. Dunstan Balaba the CAO.

11. Mr. Okalang John Ben was substantively appointed as an Accounts Assistant on September 29th, 2006 as directed by the DSC Minute No. 180 of 2006, signed by J. E. O Emongolem for CAO.

12. Owino Constantines was substantively appointed as an Accounts Assistant on September 29th, 2006 as directed by the DSC Minute No. 180 of 2006, signed by J. E. O Emongolem for CAO.

13. Mr. Ekeya Joseph Livingstone was substantively appointed as an Accounts Assistant on 3rd April, 2014 as directed by the DSC Minute No. 46/2014, signed by the CAO Mr. Oswan V. K.

14. Ms. Apolot Magdalene was substantively appointed as an Accounts Assistant on 16th June, 2015 as directed by the DSC Minute No. 78/2015 (i), signed by the CAO Mr. Oswan V. K.

15. Ms. Nyawere Clare Othieno was substantively appointed as an Accounts Assistant on 16th June, 2021 as directed by the DSC Minute No. 121/2021, signed by Mr. Dunstan Balaba the CAO.

16. Ms. Akayoroit Christine was substantively appointed as an Accounts Assistant on 29th September, 2006 as directed by the DSC Minute No. 180 of 2006, signed by Mr. J. E. O Emongolem for CAO.

17. Mr. Okacuga Moses was substantively appointed as a Senior Accounts Assistant on 20th June, 2022 as directed by the DSC Minute No. 82/2022, signed by Mr. Dunstan Balaba the CAO.

18. Mr. Ekonyelet Charles was substantively appointed as an Accounts Assistant on 18th May, 2016 as directed by the DSC Minute No. 70/2016, signed by Mr. Epodoi Pauline Opiio the CAO.

19. Owori Lam Ochieng was substantively appointed as an Accounts Assistant on 28th April, 2020 as directed by the DSC Minute No. 201/2019, signed by Mr. Dunstan Balaba the CAO.

20. Ms Aketcha Annie was substantively appointed as an Accounts Assistant on 29th September, 2006 as directed by the DSC Minute No. 180 of 2006, signed by Mr. J. E. O Emongolem for CAO.

21. Mr. Emuria Herbert was substantively appointed as an Accounts Assistant on 21st April, 2021 as directed by the DSC Minute

No. 39/2021, signed by Mr. Dunstan Balaba the CAO.

22. Ms. Nyapendi Grace was substantively appointed as an Accounts Assistant on 21st April, 2021 as directed by the DSC Minute No. 39/2021, signed by Mr. Dunstan Balaba the CAO.

Environment and Social Requirements

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY. Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to: a. Natural Resources department, score 2 or else 0	The LG had released 85% allocated funds to Natural resources department as indicated below:: Revised Budget was Ugx 607,195,975, Warranted Amount 607,195,975 Actual Amount released 517311518 Constituting 85%	0
3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY. Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to: b. Community Based Services department. score 2 or else 0.	The LG had released 100.0% of allocated funds to Natural resources department as indicated below: Revised Budget 161,214,640 Warranted Amount 161,214,640 Actual Amount released 161,214,435 Constituting 100.0%	2
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works. Maximum score is 12	a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0	There was one DDEG financed project implemented in the previous FY 2022/2023. The project was being in phase two of Project implementation. There was evidence of the Environmental, Social and Climate Change Screening report for the Rehabilitation of White House (district Administration Block) dated 17/08/2021 signed by the DCDO and the Senior Environment Officer (SEO).	4

4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works. Maximum score is 12	b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG), score 4 or 0	There no need of preparation of a full ESIA report for the rehabilitation of the white House (District Administration Block) since it lies under projects listed in Part 2 of Schedule 4 of the National Environment Act no. 5 Of 2019 thereby requiring preparation of an ESMP to mitigate the anticipated impacts identified during project screening. There was evidence of the Environmental and Social Management Plan (ESMP) for the Rehabilitation of the White House (District Administration Block) dated 19/8/2021 costed at UGX. 1,400,000/= signed by the DCDO and SEO.	4
---	--	---	--	---

4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works. Maximum score is 12	c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);; score 4 or 0	There was evidence of the Environmental and Social Management Plan (ESMP) for the Rehabilitation of the White House (District Administration Block) dated 19/8/2021 costed at UGX. 1,400,000/= signed by the DCDO and SEO.	4
---	--	--	--	---

Financial management and reporting

5	Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY. Maximum score is 10	If a LG has a clean audit opinion, score 10; If a LG has a qualified audit opinion, score 5 If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0	Tororo LG had an unqualified audit opinion in the FY 2022/2023	10
---	---	---	--	----

6	Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).	If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),	The LG had provided information to the Chairperson, Parliamentary Public Accounts Committee on the Status of implementation of Auditor General's findings FY 2021/2022 on 12th June 2023 as per acknowledgement Stamp. However, there was no evidence at the time of assessment that the said information had been provided to PS/ST as required. Additionally, there was no documentary evidence at the time of assessment that the LG had provided information to PS/ST on the Status of implementation of Internal Auditor General's findings FY 2021/2022	0
	maximum score is 10	score 10 or else 0.		
7	Evidence that the LG has submitted an annual performance contract by August 31st of the current FY	If the LG has submitted an annual performance contract by August 31st of the current FY,	The LG had Submitted an annual performance Contract FY 2023/2024 on 29th June 2023 via online reporting System (PBS)	4
	Maximum Score 4	score 4 or else 0.		
8	Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year	If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,	Annual Budget Performance Report for FY 2022/2023 was Submitted on 23rd August 2023 Via online reporting System (PBS)	4
	maximum score 4 or else 0	score 4 or else 0.		
9	Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year	If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,	Quarter one Budget Performance Report was Submitted on 23rd December 2022 Via online reporting System PBS)	4
	Maximum score is 4	score 4 or else 0.	Quarter two Budget Performance Report was Submitted on 31st January 2023 Via online reporting System (PBS)	
			Quarter three Budget Performance Report was Submitted on 10th May 2023 Via online reporting System reporting System (PBS)	
			Quarter four Budget Performance Report was Submitted on 23rd August 2023 Via online reporting System (PBS)	

**Education Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	The District did not have a substantively appointed District Education Officer but Mr. Odoi Albert a Senior Education Officer was assigned duties of a District Education Officer on 23rd August, 2018 through letter reference CR D11344 as signed by Mr. Musisi Joel the CAO.	0
<i>The Maximum Score of 70</i>				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	b) All District/Municipal Inspector of Schools, score 40 or else 0.	<p>The District had substantively appointed three Inspectors of Schools i.e.</p> <p>1. Mr. Okware Stephen was substantively appointed as an Inspector of Schools on 31st May, 2019 as directed by the DSC Minute No. 114/2019 (i), signed by the CAO Mr. Dunstan Balaba.</p> <p>2. Ms. Nyadoi Topista was substantively appointed as an Inspector of Schools on June 20th , 2022 as directed by the DSC Minute No. 52/2022, signed by the CAO Mr. Dunstan Balaba.</p> <p>3. Mr. Tabu Denis Francis was substantively appointed as the Senior Inspector of Schools on 16th July, 2019 as directed by the DSC Minute No. 167/2019, signed by the CAO Mr. Dunstan Balaba.</p>	40
<i>The Maximum Score of 70</i>				
Environment and Social Requirements				
2	Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)	If the LG carried out: a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.	<p>There was evidence of Environmental and Social Screening reports for the Education projects implemented in the previous FY 2022/2023 signed by the SEO and CDO water.</p> <p>There were screening reports for;</p> <ul style="list-style-type: none"> • The construction of a 5 stance lined pit latrine at Kisoko Boys Primary School dated 11/07/2022. • The construction of a 2 classroom block at Bishop Okille Primary School dated 12/07/2022. • The construction of a 2 classroom block at Paragang Primary School dated 14/7/2022. 	15
<i>The Maximum score is 30</i>				

2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:
b. Social Impact Assessments (ESIAs) , score 15 or else 0.

The Education projects implemented in the previous FY 2022/2023 never required preparation of full ESIA reports since they lie under Part 2 Schedule 4 of the National Environment Act no. 5 of 2019 thereby requiring preparation of ESMPs to manage the anticipated environmental and social impacts identified during screening.

The Maximum score is 30

There was evidence of costed ESMPs for the Education projects implemented in FY 2022/2023 signed by the SEO and CDO Water. There were ESMPs for;

- The construction of a 5 stance lined pit latrine at Kisoko Boys Primary School dated 2/8/2022 costed at UGX. 300,000/=.
- The construction of a 2 classroom block at Bishop Okille Primary School dated 10/08/2022 costed at UGX. 300,000/=.
- The construction of a 2 classroom block at Paragang Primary School dated 18/8/2022 costed at UGX. 2,400,000/=.

**Health Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.</p>	<p>Dr. Okoth Obbo was substantively appointed as a District Health Officer on 21st April, 2021 as directed by the DSC Minute No. 41/2021, signed by the CAO Mr. Dunstan Balaba.</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0</p>	<p>Ms. Nyafwono Connie Bwire was substantively appointed as an Assistant District Health Officer Maternal Child Health on 31st May, 2019 as directed by the DSC Minute No. 109/2019, signed by Dunstan Balaba the CAO.</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>c. Assistant District Health Officer Environmental Health, score 10 or else 0.</p>	<p>Mr. Omitta Patrick Owino was substantively appointed as an Assistant District Health Officer Environmental Health on 3rd April, 2014 as directed by the DSC Minute No. 65/2014, signed by the CAO Mr. Oswan V. K.</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.</p>	<p>The LG substantively appointed Mr. Obbo Bonefence as the Principal Health Inspector on 31st May, 2019 as directed by the DSC Minute No. 111/2019, endorsed by the CAO Mr. Dunstan Balaba.</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>e. Senior Health Educator, score 10 or else 0.</p>	<p>Mr. Otheino Peter Okuga was substantively appointed as a Senior Health Educator on 16th July, 2019 as directed by the DSC Minute No. 132/2019 signed by Mr. Dunstan Balaba the CAO.</p>	10

1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>The LG had substantively appointed Mr. Mabuya Mugerwa Ali as the Biostatistician on 4th January, 2010 as directed by the DSC Minute No. 149/2009, endorsed by Felix Cuthbert Esoku.</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>Ms. Amuge Scovia was substantively appointed as a District Cold Chain Technician on 31st May, 2023 as directed by the DSC Minute No. 65/2023, endorsed by the CAO Mr. Atama Gabriel Richard.</p>	10
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>i. Principal Health Inspector, score 20 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>j. Health Educator, score 20 or else 0</p>		

Environment and Social Requirements

2

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

There was evidence of Environmental and Social screening reports for the Health projects implemented in the previous FY 2022/2023 signed by the Environment Officer and CDO Water.

There were only two health projects and below were their screen reports;

- The screening report for the Upgrade of Namwaya HCII to HCIII in Nagongera Town-Council dated 20/07/2022.

- The screening report for the Upgrade of Nawire HCII to HCIII in Nawire Sub-county dated 20/07/2022.

2

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

The Health projects implemented in the previous FY 2022/2023 required preparation of Environmental and Social Project Briefs (ESPBs) since they lie under projects listed in Part 1 of Schedule 4 of the National Environment Act no. 5 of 2019.

However; the ESPBs were not developed for the two Health Center upgrades from HCII to HCIII and only ESMPs were developed instead.

There were ESMPs for;

The Upgrade of Namwaya HCII to HCIII in Nagongera Town-Council dated 19/08/2022 and costed at UGX. 26,970,000/=.

The Upgrade of Nawire HCII to HCIII in Nawire Sub-county dated 31/08/2022 costed at UGX. 12,657,770/=.

0

**Micro-scale Irrigation
Minimum Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p>	<p>If the LG has recruited;</p> <p>a. the Senior Agriculture Engineer</p> <p><i>score 70 or else 0.</i></p>	<p>Mr. Okoth Joseph was substantively appointed as a Senior Agricultural Engineer on 27th March, 2017 as directed by the DSC Minute No. 9/2017 (i), endorsed by the CAO Mr. Sande Kyomya Christopher.</p>	70
Environment and Social Requirements				
2	<p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p>	<p>If the LG:</p> <p>Carried out Environmental, Social and Climate Change screening score 30 or else 0.</p>	<p>There was evidence of Environmental and Social screening reports for the Micro Scale Irrigation projects implemented in the previous FY 2022/2023 signed by the SEO and CDO water.</p> <p>There were screening reports for;</p> <ul style="list-style-type: none"> • Mr. Omonyin Stephen Micro Scale Irrigation site at Asinge Cell in Maluba Town-council dated 14/10/2022. • Ms. Amoit Lydia Micro Scale Irrigation site at Koi Cell in Mukujju Sub-county dated 23/09/2022. • Br. Tumwekwase Vianney Micro Scale Irrigation site in Benedictines Cell Eastern Division Tororo Municipal Council dated 6/09/2022. • There were ESMPs for the Micro Scale Irrigation projects for FY 2022/2023 signed by the SEO and CDO Water. • There were ESMPs for; • Mr. Omonyin Stephen Micro Scale Irrigation site at Asinge Cell in Maluba Town-council dated 19/10/2022 costed at UGX. 1,600,000/=. • Ms. Amoit Lydia Micro Scale Irrigation site at Koi Cell in Mukujju Sub-county dated 27/09/2022 costed at UGX. 1,600,000/=. • Br. Tumwekwase Vianney Micro Scale Irrigation site in Benedictines Cell Eastern Division Tororo Municipal Council dated 5/10/2022 costed at UGX. 1,600,000/=. 	30

**Water & Environment
Minimum Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	The District had substantively appointed Mr. Adongo Owora Leo as a Civil Engineer (Water) on 25th January, 2006 as directed by the DSC Minute No. 136 (xii) (a) and b of 2005, endorsed by S. P. O. Oboth the CAO.	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	Mr. Opio Moses was substantively appointed as an Assistant Water Officer for Mobilization on 23rd May, 2001 as directed by the DSC Minute No. 54 of 2001, endorsed by J.E.O Emongolet CAO.	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	Mr. Oloka Nicholas Asodio was substantively appointed as a Borehole Maintenance Technician on 16th June, 2015 as directed by the DSC Minute No. 86/2015, endorsed by the CAO Mr. Oswan V. K.	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	This position was vacant at the time of assessment.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	This position was vacant at the time of assessment.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	f. Forestry Officer, score 10 or else 0.	Mr. Aguti Silas was substantively appointed as a Forestry Officer on 17th February, 2006 as directed by the DSC Minute No. 135 (xxxiv) of 2005, endorsed by the CAO Mr. S.P.O Oboth.	10

Environment and Social Requirements

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

If the LG:

a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.

There was evidence of Environmental and Social screening reports for the Water projects implemented in the previous FY 2022/2023 signed by the Environment Officer and the CDO Water.

There were screening reports for;

- The Asinget Village deep borehole drilling, casting and installation in Kayiro Sub-county dated 20/07/2022.

- The Sirongo 'A' village deep borehole drilling, casting and installation in Mulanda Sub-county dated 20/07/2022.

- The Morikatipe Central Cell deep borehole drilling, casting and installation in Morikatipe Sub-county dated 19/07/2022.

2

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.

The water projects implemented in the previous FY 2022/2023 never required preparation of full ESIA reports since they lie under Part 2 Schedule 4 of the National Environment Act No. 5 of 2019 (Construction of community water points) thereby requiring preparation of ESMPs to manage/ mitigate any anticipated impacts during screening.

There was evidence of costed ESMPs for the Water projects implemented in FY 2022/2023 signed by the CDO water and the Environment Officer.;

There were ESMPs for;

- The Asinget Village deep borehole drilling, casting and installation in Kayiro Sub-county dated 19/08/2022 costed at UGX. 500,000/=.
- The Sirongo 'A' village deep borehole drilling, casting and installation in Mulanda Sub-county dated 19/08/2022 costed at UGX. 700,000/=.
- The Morikatipe Central Cell deep borehole drilling, casting and installation in Morikatipe Sub-county dated 25/08/2022 costed at UGX. 500,000/=.

2

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.

In 2022/2023, Tororo DLG implemented 4 production wells which included Misasa in Nabuyoga S/C, Abar in Kirewa S/C and Mukaganga A and Mukaganga B both in Katajula Sub County. For all of these production well, implemented was only to drill the water source and did not reach the level of water distribution to warrant acquiring abstraction permits.