



**LGMSD 2022/23**

Soroti District

(Vote Code: 553)

<b>Assessment</b>	<b>Scores</b>
Crosscutting Minimum Conditions	61%
Education Minimum Conditions	100%
Health Minimum Conditions	90%
Water & Environment Minimum Conditions	85%
Micro-scale Irrigation Minimum Conditions	30%
Crosscutting Performance Measures	86%
Educational Performance Measures	83%
Health Performance Measures	62%
Water & Environment Performance Measures	81%
Micro-scale Irrigation Performance Measures	70%

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s):</li> <li>If so: Score 4 or else 0</li> </ul>	<p>The DLG implemented two projects that were funded by DDEG during FY 2022/2023.</p> <p>The first project was Phase IV completion of district administration block that was budgeted for shs 67,000,000 as per page 10 of the district approved budget for FY 2022/2023. Total expenditure on the phased project was shs 67,000,000 under reference 048281 "Construction of Public Buildings and Upgrading".</p> <p>The second project was Tree Seedlings and Afforestation budgeted for shs 7,000,000 as per page 10 of the approved district budget under reference 098303.</p> <p>Both projects were functional and utilised for the purpose intended especially for the administration block that houses some of the district offices.</p>	4
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> <li>By more than 5%, score 3</li> <li>1 to 5% increase, score 2</li> <li>If no increase, score 0</li> </ul> <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>According to the OPM-generated data, the overall average score of the LLG performance assessment for Soroti LG was 77% in 2023, while in 2022 the assesment was not conducted. There was no rating to assess improvement.</p>	0
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> <li>If 100% the projects were completed : Score 3</li> <li>If 80-99%: Score 2</li> <li>If below 80%: 0</li> </ul>	<p>The project Phase IV completion of district administration block that was budgeted for shs 67,000,000 as per page 10 of the district approved budget for FY 2022/2023 was 100% completed as total expenditure on the phased project amounted to shs 67,000,000. As for the</p> <p>Tree Seedlings and Afforestation which was budgeted for shs 7,000,000 was 100% executed during FY 2022/2023.</p>	3
3	<p>Investment Performance</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:</p> <p>Score 2 or else score 0.</p>	<p>Phase IV completion of district administration block as well as Tree Seedlings and Afforestation projects budgeted funds were fully spent 100% i.e shs 67,000,000 and shs 7,000,000 respectively</p>	2

3	Investment Performance  Maximum 4 points on this performance measure	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates,  score 2 or else score 0	In the FY 2022/2023, the LG did not implement any DDED infrastructure project. However, Page 10 of the district approved budget for FY 2022/2023 indicated that the LG budgeted and spent shs 67,000,000 as payment of arrears to contractors for the phase IV construction/completion of the district administration block that was implemented in FY 2021/2022. Secondly, the LG spent shs 9,470,000 towards the supply of the assorted tree seedlings to the forestry department, which was not an infrastructure project.	2
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**Performance Reporting and Performance Improvement**

4	Accuracy of reported information  Maximum 4 points on this Performance Measure	a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate,  score 2 or else score 0	There was evidence that information on the positions filled in LLGs as per minimum staffing standards was accurate. In the 3 LLGs sampled, data obtained indicated as follows;  1. In Tubur TC, the approved staff structure provided for SAA and as per the staff list, this position had been filled by Kwemboi Robert  2. In Tubur SC, Mr. Motuba Paul was the SACAO on the staff list as provided for in the approved staff structure.  3. In Katine SC, Egiru Enos Enock was the CDO on the staff list, a position provided for in the approved staff structure.	2
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4	Accuracy of reported information  Maximum 4 points on this Performance Measure	b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:  • If 100 % in place: Score 2, else score 0.  <b>Note: if there are no reports produced to review: Score 0</b>	The DLG implemented two projects that were funded by DDEG during FY 2022/2023. The project: Phase IV completion of the district administration block which was budgeted at shs 67,000,000 and the second project ; Tree Seedling and Afforestation was budgeted for shs 7,000,000  The two projects were adequately reported in the DDEG quarterly performance reports during FY 2022/2023 as provided below:  In quarter one, the DLG did not receive DDEG funding and therefore, no report was produced. In quarter two, the report was produced on 10th April, 2023. In quarter three, the report was produced on 8th July, 2023 and in quarter four, the report was produced on 14th August, 2023.	2
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5	N23_Reporting and Performance Improvement  Maximum 8 points on this Performance Measure	a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;  If there is no difference in the assessment results of the LG and national assessment in all LLGs  score 4 or else 0  <b>NB: The Source is the OPAMS Data Generated by OPM.</b>	The LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise. The overall average score was 78.75% according to the national assessment firm (IVA) and the overall average score was 76% as per the LG report, giving a deviation of 2.75%	4
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5	N23_Reporting and Performance Improvement  Maximum 8 points on this Performance Measure	b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.  Score: 2 or else score 0	There was evidence that the district developed Performance Improvement Plans for at least 30% of the lowest performing LLGs for current FY, based on the previous assessment results. According to the LLGs Performance Assessment Results Summary 2021/22, the lowest performing LLG was 1 called Lalle SC - 69. PIPs were developed to address some of the issues that had caused poor performance such as failure to appraise staff etc. For stance one of the PIP was;  • To have all staff appraised	2
5	N23_Reporting and Performance Improvement  Maximum 8 points on this Performance Measure	c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:  Score 2 or else score 0	There was evidence that the district implemented PIP for the 30% lowest performing LLGs in the previous FY which boasted performance of these LLGs in this current FY. For stance,  • Staff appraisal was conducted for FY 2022/23 though still time frame wasn't complied with. Some were appraised after 30th June 2023 while others were completely not appraised at all. Nevertheless, there was evidence that performance for these LLGs improved as shown below;  LLG 2021/22 2022/23  Lalle SC 69% 81%	2

**Human Resource Management and Development**

6	Budgeting for and actual recruitment and deployment of staff  Maximum 2 points on this Performance Measure	a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.  Score 2 or else score 0	There was evidence that the LG consolidated and submitted the staffing requirements for the coming FY 2024/25 to the MoPS by 30th September of the current FY. Evidence obtained from HRM revealed that the recruitment plan and requisition of wage funds dated 25/9/2023 worth 4,581,373,644/= was submitted to PS but date for acknowledgement of receipt of submission was 27/09/2023	2
7	Performance management  Maximum 5 points on this Performance Measure	a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):  Score 2 or else score 0	There was evidence that the LG conducted daily tracking of attendance and quarterly analysis were being done. For instance  On 30/6/2023, the CAO submitted the attendance analysis report to PS MoPS for Q3 2022/23. In that analysis, I observed a high rate of absenteeism among staff in District. For example, in the month of June 2023, Eduku George (SEO), Achoro David (SVO) were all absent for the whole month and no reason was given in the remark's column.	2

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p>	<p>There was no evidence that the LG conducted appraisal for all HODs. For details, see findings below;</p> <ol style="list-style-type: none"> <li>1. Edyangu Thomas (CFO) was appraised on 30/6/2023</li> <li>2. Acaya Margaret Emuria (DCDO) was appraised on 16/11/2023, the day we were doing an assessment. All the other remaining HODs were not appraised and they included;</li> <li>3. Oriekot Alex (Civil Eng)</li> <li>4. Oboi Richard (D. Planner)</li> <li>5. Dr.Okadhi Charles (DHO)</li> <li>6. Adutu George (DNRO)</li> <li>7. Oede James (DEO)</li> <li>8. Apolot Joy Christine (DCO)</li> <li>9. Enyaku James (DPO)</li> <li>10. Okwatum Moses (PHRO-DSC)</li> </ol>	0
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to “a” above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>The LG implemented administrative rewards and sanctions. The rewards and sanctions Committee was established and composed of 5 members as indicated below;</p> <ol style="list-style-type: none"> <li>1. Oketayot Christopher (Chair)</li> <li>2. Oonty Charles (Secretary)</li> <li>3. Margaret Emuria Acaya</li> <li>4. Oede James</li> <li>5. Okadhi Charles</li> </ol> <p>The committee held a meeting on 26/10/2023 in the District Conference Hall. In the meeting Mr. Alomu Joseph (SEA) was being accused of absenteeism. While appearing before the committee, he admitted being absent for two weeks due to sickness. However, the medical documents presented as proof wasn’t for the period he claimed to have been sick. No recommendation was taken to conclude this issue.</p>	1
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>The Grievance Redress Committee was established on 14/07/2022 and it was composed of the following members;</p> <ol style="list-style-type: none"> <li>1. Oketayot Christopher (DCAO) as Chair</li> <li>2. Oede James (DEO)</li> <li>3. Dr. Okadhi Charles (DHO)</li> <li>4. Oonyu Charles (Ag.PHRO)</li> <li>5. Esatu Moses (Labour Officer)</li> </ol> <p>On 10th March 2023 held a meeting to scrutinise cases filled before it under Min No. 003/GRC/03/2023</p>	1
8	<p>Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:</p> <p>Score 1.</p>	<p>The LG did not recruit any staff in the FY 2022/23</p>	1

Pension Payroll management

Maximum 1 point on this Performance Measure or else score 0

a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:

Score 1.

The DLG retired 22 staff but of those who retired, only 4% accessed pension payroll within two months from the date of retirement. He was called Opolot Robert (EA II) who retired on 20/5/2023 and accessed pension in June 2023. The rest whose names appear below didn't and they include;

1. Ekochu John (SEA)
2. Ekotu Francis (SEA)
3. Elasu John Mackay (Tutor)
4. Ekotu John Peter (EA)
5. Atera Micheal (HT)
6. Emotu Etoru Peter (DHT)
7. Opio Peter (HT)
8. Apiny Mary Celine (EA)
9. Opit Beatrice (SEA)
10. Eboku Jacob (EA)
11. Ejangu Justine (EA)
12. Okello Elwaru Daniel (Tutor}
13. Obonipe Athur Boniface (Tutor)
14. Okalebo Peter (Tutor)
15. Emuli Stephen (Tutor)
16. Acito Beatrice (N/A)
17. Eyamu Stephen (EA)
18. Ogari Selestine (EA)
19. Semaphore Omedel (H/A)
20. Oryema Johnson (HT)
21. Ekou Margaret (EA)

### Management, Monitoring and Supervision of Services.

10

N23\_Effective Planning, Budgeting and Transfer of Funds for Service Delivery

Maximum 6 points on this Performance Measure

a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:

Score 2 or else score 0

Direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in FY 2022/2023.

The DLG did not receive DDEG funds in quarter one and four but received funds in quarter two and three only. The total amount that was received during FY 2022/2023 amounted to shs 208,948,752 as per approved district budget for FY 2022/2023.

Examples of DDEG transfers to LLGs during FY 2022/2023:

(i) Shs 16,012,683 was transferred to Gweri sub county on payment voucher number 3780688 dated 21st February, 2023 in quarter three. The sub county acknowledged amount received vide receipt number 6792 dated 28th February, 2023. (ii) Shs 1,514,075 was transferred to Awaliwal sub county on payment voucher number 2067707 dated 14th November, 2022 in quarter two. The sub county acknowledged amount received vide receipt number 2470 dated 1st December, 2022.

(iii) ) Shs 8,415,047 was transferred to Arapai sub county on payment voucher number 2066593 dated 11th November, 2022 in quarter two. The sub county acknowledged amount received vide receipt number 6054 13th December, 2022

2

10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery  Maximum 6 points on this Performance Measure	b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).  Score: 2 or else score 0	Soroti LG did not meet the requirements of timely warranting of DDEG transfers for the 2 quarters (3 & 4) received. The warranting for Q2 was done 17.10.2023 and transfers were on 02.11.2023. For Q3, it was 19.01.2023 and 02.02.2023, way beyond the 5 working days timeline.	0
10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery  Maximum 6 points on this Performance Measure	c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:  Score 2 or else score 0	The LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of funds release in each quarter as required.	2
11	Routine oversight and monitoring  Maximum 4 points on this Performance Measure	a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:  Score 2 or else score 0	The DLG supervised and mentored all LLGs in the District at least once per quarter consistent with guidelines: The activity was done on quarterly basis, various issue discussed and reports accordingly produced.  In quarter one, mentoring and supervision report was produced on 28th September, 2022. Issues discussed included planning and budgeting in a LG. In quarter two, the report was produced on 17th October, 2022 and issues discussed covered review of the district development plan. In quarter three, the report was produced on 26th March, 2023 and covered PDM issues. In quarter four, the report was produced on 17th May, 2023 and covered local revenue collection issues as well as the District Development Plan.	2
11	Routine oversight and monitoring  Maximum 4 points on this Performance Measure	b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:  Score 2 or else score 0	The results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District to make recommendations for corrective actions and followed-up:  The TPC meeting held on 15th July, 2022 discussed quarter one supervision and mentoring report under minute reference MIN 08/TPC/07/2022. The TPC meeting held on 10th May, 2023 discussed quarter three mentoring report under minute reference MIN09/TPC/05/2023.  The TPC meeting held on 8th May, 2023 discussed quarter three mentoring report under minute reference MIN05/TPC/05/2023.  The TPC meeting held on 19th June, 2023 discussed quarter four mentoring report under minute reference MIN08/TPC/06/2023.	2

## Investment Management

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p><b>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</b></p>	<p>The DLG maintained an up dated register to 31st October, 2023. All categories of assets like buildings, furniture, equipment, land, motor cycles were captured in the computerised assets register under IFMS. The DLG adhered to guidelines issued by the Accountant General. Examples: (i) Motor cycle Yamaha valued at shs 18,000,000 for the Production department referenced E3NZE -0915335. (ii) Acer Computer and Accessories desk top valued at shs 3,000,000 referenced 553/AD-DT.</p> <p>(iii) Tipper Track – FAW valued at shs 245,000,000 for the Engineering department referenced 5184167.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>The Board of Survey report for the DLG for FY 2021/2022 was submitted to the Accountant General through CAO's letter dated 18th August, 2022 and copied to the OAG and chairperson of the district. Recommendations that were raised in the Board of Survey Report for FY 2021/2022 included among others engraving of the district assets, updating of the assets registers and disposal of the old district assets. 80% of the recommendations had been cleared at the time of the assessment.</p>	1



12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>There was a functional Physical Planning Committee that was constituted and appointed by the CAO with 11 members. The committee convened meetings on quarterly basis. Meetings were held as detailed below and accordingly submitted to MLHUD as provided hereunder:</p> <p>In quarter one, the Physical Planning Committee met on 8th August, 2022 and minutes were submitted on 9th March, 2023; In quarter two, the Committee met on 12th December, 2022 and minutes were submitted on 9th March, 2023; In quarter three, the Committee met on 2nd March, 2023 and minutes were submitted on 31st August, 2023; in quarter four, the Committee met on 15th June, 2023 and minutes were submitted on 31st August, 2023.</p> <p>Members of the Physical Planning Committee were appointed by the CAO vide letters dated 1st November, 2013, 24th July, 2023 and 20th December, 2021 referenced CR/214/68. The members:</p> <ol style="list-style-type: none"> <li>1. The Chief Administrative Officer (CAO) and chairperson.</li> <li>2. Physical Planner – Secretary;</li> <li>3. District Natural Resources Officer</li> <li>4. Roads Inspector;</li> <li>5. DEO</li> <li>6. Agriculture Officer</li> <li>7. Water Officer</li> <li>8. DCDO</li> <li>9. DHO</li> <li>10. Senior Environment Officer</li> <li>11. District Staff Surveyor;.</li> </ol> <p>There was no evidence that the Physical Development Plan was in place.</p> <p>The Building Plan Registration Book was in place and up dated to 31st October, 2023.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d. For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>The DLG implemented two projects that were funded by DDEG during FY 2022/2023.</p> <p>The first project was Phase IV completion of district administration block that was budgeted for shs 67,000,000 as per page 10 of the district approved budget for FY 2022/2023. Total expenditure on the phased project amounted to shs 67,000,000. The project was captured in the DDP III page 137, AWP page 8. The desk appraisal report was dated 10th May, 2022 whereas the field appraisal report was dated 10th May, 2022.</p> <p>The second project was Tree Seedlings and Afforestation budgeted for shs 7,000,000 as per page 10 of the approved district budget under reference 098303. Total expenditure for the project totalled shs 7,000,000 as reflected in the draft final accounts for FY 2022/2023. The project was captured on page 141 of the DDP III and page 54 of the AWP. The desk and field appraisal reports were both dated 10th May, 2022.</p>	2

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>The LG works department did not implement any DDEG funded infrastructure project in the FY 2022/2023</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>The DLG implemented two projects funded by DDEG in FY 2023/2024.</p> <p>(i) The first project name: Construction of OPD block at Ojom HC II in Katine sub county budgeted for shs 142,000,000 in the approved district budget for FY 2023/2024, page 152 of the DDP III and page 37 of the AWP. The field and desk appraisal reports were dated 10th May, 2022.</p> <p>(ii) The second project was construction of maternity block at Ojom HC II in Katine sub county budgeted for shs 154,000,000 as per approved district budget, page 152 of the DDP III and page 37 of the AWP. The field and desk appraisal reports were both dated 10th May, 2022.</p> <p>The project profiles with costing were developed and discussed by the TPC in a meeting held on 29th August, 2022 under minute reference MIN 06/TPC/08/2022.</p>	1
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>Considering that the indicator required to sample three investment projects, it was realized that only two projects were screened and had costed ESMPs. The third project did not have an ESMP developed. Below are the projects and their screening and ESMP details;</p> <p>1. Construction of 5 stance pit latrine Ojam HCII. Screened on the 12/July 2023. Costed ESMP UGX 400,000/= dated 12th July 2023.</p> <p>2. Construction of OPD at Ojam HCII. Screened on 14th July 2023. Costed ESMP UGX 1,700,000/= dated 14th July 2023</p> <p>3. Construction of bore hole at Osinai village. Screened on 4th August 2023. Had no costed ESMP.</p>	0
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>Soroti DLG incorporated all the FY 2023/2024 DDEG funded infrastructure projects in the LG approved procurement plan as indicated on the excel sheet number 2 of the procurement plan. Such projects included; Construction of a OPD block in Ojom health centre II at an estimated cost of 142,000,000, Construction of a 5-stance pit latrine for OPD in Ojom HC II at an estimated cost of 25,000,000 and Completion of a small maternity ward in Ojom HC II at an estimated cost of 154,500,000.</p>	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0</p>	<p>There was no contracts committee minutes for the approval of all the planned DDEG infrastructure projects for the FY 2023/2024 at the time of assessment</p>	0

13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:  Score 1 or else 0	The LG did not implement any DDEG funded infrastructure project that would require the appointment of the project Implementation team.	<b>1</b>
13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer:  Score 1 or else score 0	The LG did not implement any DDEG funded infrastructure project that would require following the standard technical designs.	<b>1</b>
13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0	The LG did not implement any DDEG-funded infrastructure project that would require supervision by the relevant technical supervisors.	<b>2</b>
13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):  Score 1 or else score 0	The LG did not implement any DDEG funded infrastructure project that would require verification of works (certification) and initiation of payments of contractors.	<b>1</b>
13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law:  Score 1 or else 0	The LG had a complete procurement file for the DDEG contract of the procurement of assorted tree seedlings to forestry sector (Soro553/Supplies/2022-2023/00038) as in the following documents required by PPDA for a complete procurement file;  Evaluation report dated 7th/06/2023 with Fesa Harvest Ltd as the best bidder at a cost of 9,470,000.  Minutes of contract committee decision dated 29th/05/2023 and  Works contract signed on 12th/6/2023	<b>1</b>

## Environment and Social Safeguards

14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.</p> <p>Score: 2 or else score 0</p>	<p>LG had designated a person to coordinate response to feed-back (grievance / complaints) as evidenced by the Assignment of duty letter of Mr Ekwaru Abram the Communication Officer by the CAO on 14/07/2022</p> <p>The District had also established a Central Grievance Redress Committee as evidenced by the Appointment letters of the 8 committee members by the CAO on 07/08/2023 as follows;</p> <ul style="list-style-type: none"> <li>• Mr Oketayot Christopher James (Deputy CAO) – Committee Chairperson</li> <li>• Mr Oede James (DEO) – Committee Member</li> <li>• Dr Okadhi Charles (DHO) - Committee Member</li> <li>• Mr Oonyu Charles (Ag PHR) – Committee Member</li> <li>• Mr Esatu Moses (Senior Labour Officer) - Committee Member</li> <li>• Ms Acaya Margret Emuria (DCDO) - Committee Secretary</li> <li>• Mr Okello Moses Echekeu (DAO) - Committee Member</li> <li>• Mr Enou Robert (Commercial Officer) - Committee Member</li> </ul>	<b>2</b>
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.</p> <p>If so: Score 2 or else 0</p>	<p>LG had a specified system for Recording, investigating and responding to grievances as evidenced by the Grievance Log book availed from MAAIF (ACDP) coded 117097-117292</p>	<b>2</b>
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.</p> <p>If so: Score 1 or else 0</p>	<p>LG had a list of Grievance handling mechanism and reporting publicized on the district notice board by the Grievance Focal Person on all district notice boards with CAO’s stamp without date</p>	<b>1</b>
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0</p>	<p>Environment, Social and Climate change interventions were integrated into LG Development Plans as evidenced by Item 100 (Community Based Services) page 18, vote 930 of the AWP and Item 090 (Natural Resources) page 17 of the AWP dated 08/08/2023</p>	<b>1</b>

15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management  score 1 or else 0	LG had disseminated the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation to LLGs as evidenced by Item 9 on the agenda (Budget Cycle Mentoring of LLGs and Dissemination of New DDEG Guidelines), page 1 and min 09/TPC/05/2023 page 5 of the minutes of the district planning committee meeting on 10/05/2023	<b>1</b>
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	(For investments financed from the DDEG other than health, education, water, and irrigation):  c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:  score 3 or else score 0	N/A because the LG did not execute any DDEG infrastructure project in FY 2022/2023	<b>3</b>
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	d. Examples of projects with costing of the additional impact from climate change.  Score 3 or else score 0	N/A because the LG did not execute any DDEG infrastructure project in FY 2022/2023	<b>3</b>
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:  Score 1 or else score 0	N/A because the LG did not execute any DDEG infrastructure project in FY 2022/2023	<b>1</b>
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports:  Score 1 or else score 0	N/A because the LG did not execute any DDEG infrastructure project in FY 2022/2023	<b>1</b>

15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects:  Score 1 or else score 0	N/A because the LG did not execute any DDEG infrastructure project in FY 2022/2023	1
<b>Financial management</b>				
16	LG makes monthly Bank reconciliations  Maximum 2 points on this Performance Measure	a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment:  Score 2 or else score 0	The DLG operated three bank accounts namely the General Fund Account  Number 01983501007692 with DFCU Soroti branch which had a reconciled bank balance of shs 7,561,260 as on 31st October, 2023.  Agriculture Cluster Development Project account number 01553657975864 with DFCU Soroti branch which had a reconciled bank balance of shs 0 as on 31st October, 2023.  There was the Local Revenue bank account with Bank of Uganda which was reconciled to shs 0 balance as on 31st October, 2023.	2
17	LG executes the Internal Audit function in accordance with the LGA Section 90  Maximum 4 points on this performance measure	a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.  Score 2 or else score 0	The DLG produced the four quarterly internal audit reports for FY 2022/2023 as required. Quarter One report was produced on 7th November, 2022 under reference CR/252/1 and copied to PS MOLG, RDC, Chairperson LGPAC. The IAG acknowledged receipt of the internal audit report on 17th April. 2023. There were eight queries raised.  Quarter Two report was produced on 14th February, 2023 and copied to PS MOLG, IAG, OAG, RDC, Chairperson of the LGPAC. The report had six queries.  Quarter Three report was produced on 18th May, 2023 copied to PS MOLG, IAG, OAG, RDC, Chairperson of the LGPAC. The report had five queries.  Quarter Four report was produced on 4th August, 2023 and copied to PS MOLG, IAG, OAG, RDC, Chairperson of the LGPAC. The report had eight queries.	2
17	LG executes the Internal Audit function in accordance with the LGA Section 90  Maximum 4 points on this performance measure	b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.  Score 1 or else score 0	The DLG provided information to the Council! Chairperson and the LG PAC on the status of implementation of internal audit findings for FY 2022/2023; information on follow-up on audit queries from all quarterly audit reports as per evidence provided below:  Internal Auditor's letter to CAO dated 4th August, 2023 in respect of the recommendations and action required contained in the quarterly internal audit reports that was copied to secretary LG PAC and RDC. Most of the queries raised by the Internal Auditor had been resolved at the time of the assessment.	1

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>Internal audit reports for the previous FY 2022/2023 were submitted to LG Accounting Officer, LG PAC and that LG PAC reviewed them and followed-up. The LG PAC was established in the district and functional. The committee met on quarterly basis and generated one report covering all the four quarterly internal audit reports in a financial year for consideration by Council. For example; in quarter one, the LG PAC held meeting on 20th September, 2022. In quarter two, the LG PAC met on 15th March, 2023; in quarter three, the LG PAC met on 29th June, 2023. However, the LG PAC did not convene a meeting in quarter four. The LG PAC convened a meeting in July, 2023 and produced an Annual Report dated 5th July, 2023 for consideration by Council as required. However, Council had not convened a meeting to review the report by the time when the assessment was being conducted.</p>	<b>1</b>
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**Local Revenues**

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>The DLG planned for collection of local revenue amounting to shs 321,158,986 as provided on page 12 of the district draft accounts for FY 2022/2023. The DLG realised shs 244,905,455 on closure of FY 2022/2023 as per draft final accounts of the district. This was equivalent to 76% performance.</p>	<b>0</b>
19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> <li>• If more than 10 %: score 2.</li> <li>• If the increase is from 5% -10 %: score 1.</li> <li>• If the increase is less than 5 %: score 0.</li> </ul>	<p>OSR collection for Soroti DLG in FY 2022/23 was UGX 244,905,455 and UGX 321,158,986 for previous year but one 2021/2022. This translates into a realization decline of 24% and out of the +/-10% requirement.</p>	<b>0</b>
20	<p>Local revenue administration, allocation, and transparency</p> <p>Maximum 2 points on this performance measure.</p>	<p>a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0</p>	<p>The local revenue amount transferrable to LLGs in FY 2022/2023 was shs 73,880,908 as per page 37 of the draft final accounts for FY 2022/2023. Examples of local revenue that was transferred to LLGs:</p> <p>(i) Shs 25,066,093 was transferred to Asuret sub county as per payment voucher number 4736634 dated 5th April, 2023 as the 65% share. The sub county issued receipt number 2683 dated 11th April,2023.</p> <p>(ii) Shs 2,496,047 was transferred to Arapai sub county as per payment voucher number 4219255 dated 6th March, 2023 as the 65% share. The sub county issued receipt number 6058 dated 7th March,2023.</p> <p>(iii) Shs 2,946,060 was transferred to Asuret sub county as per payment voucher number 4219931 dated 6th March, 2023 as the 65% share. The sub county issued receipt number 26832 dated 9th March,2023.</p>	<b>2</b>

**Transparency and Accountability**

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0</p>	<p>There was evidence that all the awarded contracts for the FY 2022/2023 were published on the notice board.</p> <p>Sample projects include:</p> <ul style="list-style-type: none"> <li>• Construction of a 2-classroom block with an office at Omodoi P/S in Ocokican Subcounty. Contract was awarded to M/S Aputon Investments. Display was on 13th September 2022</li> <li>• Construction of General ward in Ojom HC II in Oculoi Subcounty. Contract was awarded to M/S Japemo Holdings Ltd. Display was on 29th June 2023</li> <li>• Phase 3 construction of a piped water supply and sanitation system at Adamasiko RGC. Contract was awarded to M/S Waterfix Engineering (U) Ltd. Display was on 13th September 2022</li> <li>• Construction of irrigation demonstration sites in Kamuda Seed School in Kamuda Subcounty and Mr. Ecengu Simon's home in Asuret Subcounty. Contract was awarded M/S Teso Crop Care Centre (T3C) Ltd. Display was on 8th June 2023</li> </ul>	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>The LG performance results for FY 2021/2022 were publicised as required on various notice boards at the district and lower local governments levels as per CAO's circular letter dated 21st September, 2023. The district established a website <a href="http://www.soroti.go.ug">www.soroti.go.ug</a> at the time of the assessment</p>	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feedback on status of activity implementation: Score 1 or else score 0</p>	<p>Barazas were held, radio talk shows conducted guided by Chairperson of the district and CAO. Reports were produced as evidenced below: Report dated 19th October, 2022, 6th July, 2022, 23rd June, 2023; 31st October, 2023.</p> <p>Radion Talk Shows with Voice of Teso FM; ETOP Radio and the website.</p>	1
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>The LG made publicly available information on i) tax rates as evidenced by report dated 13th January, 2023 to Council. The report was copied to RDC, Chairperson of the district. The report covered guidelines on the conduct of tax appeals, fees for filing appeals and procedures on appeals.</p>	1
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>There were no IGG issues in the DLG. Scrutiny of the minutes of Council of meetings held on dates indicated below reflected no IGG issues reported.</p> <p>Council meetings held on 21st October, 2022; 21st December, 2022; 30th March, 2023; 30th May, 2023.</p>	1



No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 4</li> <li>• Between 1 and 5% score 2</li> <li>• No improvement score 0</li> </ul>	<p>Remarks/ Justifications</p> <p>The PLE pass rates decreased by --0.02% between 2022 and 2020 school years.</p> <p><b>2020</b></p> <p>In 2020 the learners who passed in Div 1, Div 2 and Div 3 were 3483 out of 5163</p> <p><math>3483/5153 *100= 0.58\%</math></p> <p>2022</p> <p>3028 passed in Div 1,2, and 3 out of 5393 pupils, which represented 0.56% pass rate</p> <p>The percentage increase in pass rate was <math>0.56\% - 0.58\% = -0.02\%</math></p>	0
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 3</li> <li>• Between 1 and 5% score 2</li> <li>• No improvement score 0</li> </ul>	<p>The USE pass rates decreased by -0.1% between 2022 and 2020 school years.</p> <p>2020</p> <p>In 2020 the students who passed in Div 1, Div 2 and Div 3 were 235 out of 439</p> <p><math>235/439 *100= 0.53\%</math></p> <p>2022</p> <p>265 passed in Div 1,2, and 3 out of 616 students, which represented 0.43% pass rate</p>	0
2	<p>N23_Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> <li>• By more than 5%, score 2</li> <li>• Between 1 and 5%, score 1</li> <li>• No Improvement, score 0</li> </ul> <p>NB: If the previous average score was 95% and above, Score 2 for any increase.</p>	<p>The average score in the Education LLG performance assessment for Soroti LG was 75% in 2023, while 2022 the department was not accessed. There was no rating to assess improvement.</p>	0

3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>The Education development grant had been used on eligible activities for the FY 2022/23 which included; Construction of 2 classroom blocks for each of the following primary schools;</p> <ul style="list-style-type: none"> <li>(i) Kamuda Seed S S</li> <li>(ii) Primary schools: Omodoi P/S, Ojom P/S, Akolodong P/S</li> <li>(iii) Construction of Teacher’s house for Amusia P/S</li> <li>(iv) Construction of 5 stance pit latrines for the following schools; <ul style="list-style-type: none"> <li>Omugenya P/S,Angai P/S.Aminit P/S</li> </ul> </li> <li>(v) Desks were bought for the following schools; <ul style="list-style-type: none"> <li>- Asuret P/S</li> <li>-Aminit P/S</li> <li>- Dokolo-Gweri P/S</li> </ul> </li> </ul> <p>Oimai P/S and Abeko P/S.</p>	2
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3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0</p>	<p>The department implemented ten projects during FY 2022/2023 out of which a sample of three projects was selected. (i) Payment to Panu General Services for shs 31,457,799 on payment voucher number 5900733 dated 15th June, 2023 in respect of construction of a class room block with office at Akolodong Primary School in Asuret sub county. Contract reference SORO553/WRKS/2022-2023/0013. The DEO initiated payment on 26th May, 2023 and the DE, DCDO, Senior Environment Officer and CAO certified payment on the same date.</p> <p>(ii) Payment to Aputon Investment Limited for shs 10,191,241 in respect of construction of two class room block with office in Omodoi Primary School in Ocokican sub county on payment voucher number 5921286 dated 19th June, 2023. Payment was initiated by the DEO on 29th May, 2023. The DE, DCDO, Senior Environment Officer, CFO and CAO signed the documents to certify payment on 26th May, 2023.</p> <p>(iii) Payment to Arki Timers Limited for shs 15,747,336 in respect of construction of a five stance drainable pit latrine for girls in Angai Primary School in Arapai sub county per contract SORO 553/WRKS/2022-2023/00017. Payment voucher number 6417019 dated 27th June, 2023.</p> <p>Payment was initiated by the DEO on 13th June, 2023. The DE, DCDO, Senior Environment Officer, CFO and CAO signed the documents to certify payment on 23rd June, 2023.</p>	2
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3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If the variations in the contract price are within +/-20% of the MoWT estimates score 2 or else score 0</p>	<p>The percentage contract variations for all the sampled education contracts were within +/-20% as indicated below for the respective project contracts;</p> <p>Construction of a two classroom block with an office in Omodoi p/s in Ocokican Sub county under Procurement number. Soro553/Wrks/2022-2023/00012)</p> <p>Contract price = 93,916,205</p> <p>Estimated cost = 95,000,000</p> <p>Percentage change = -1.14%</p> <p>Construction of a two classroom block with an office in Akolodong p/s in Asuret Sub county under Procurement number. Soro553/Wrks/2022-2023/00013)</p> <p>Contract price = 93,916,205</p> <p>Estimated cost = 95,000,000</p> <p>Percentage change = -1.14%</p> <p>Construction of a two classroom block with an office in Ojom p/s in Oculoi Sub county</p> <p>Contract price = 93,916,205</p> <p>Estimated cost = 95,000,000</p> <p>Percentage change = -1.14%</p> <p>The percentage contract variation were all computed from (contract cost-Estimated cost)/(Estimated cost)*100</p>	2
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY</p> <ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• Between 80 - 99% score 1</li> <li>• Below 80% score 0</li> </ul>	<p>The field visit and education sector annual performance report indicated that the multiyear construction project of Kamuda seed secondary school was at not complete at the time of assessment as the contractor expected completion date was 30th/03/2024. All structures including the ICT library, Administration block, the three 2 classroom blocks, the science laboratory, the three twin staff houses, the staff quarter kitchens and two stance pit latrines and the 5-stance lined pit latrines for both boys and girls were all at the plaster level (finishes) giving an estimated percentage completion of between 80-99%</p>	1
4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> <li>• If 100%: score 3</li> <li>• If 80 - 99%: score 2</li> <li>• If 70 - 79% score: 1</li> <li>• Below 70% score 0</li> </ul>	<p>The LG did not meet the prescribed school staffing standards for Primary Teachers. The approved number of required teachers was 1523 but the LG managed to recruit only 873 teachers which translated to 57%.</p>	0

4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> <li>• If above 70% and above score: 3</li> <li>• If between 60 - 69%, score: 2</li> <li>• If between 50 - 59%, score: 1</li> <li>• Below 50 score: 0</li> </ul>	<p>All the 69 UPE schools within the Soroti DLG met the basic standards set out by DES, for example all the 69 UPE schools had 1192 classrooms representing 15 classrooms per school which is above the recommended 7 classes per school</p> <p>The 69 schools, had 1104 teacher accommodations representing 16 which is above the requirement of at least four permanent houses per school as required by DES.</p>	3
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**Performance Reporting and Performance Improvement**

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> <li>• If the accuracy of information is 100% score 2</li> <li>• Else score: 0</li> </ul>	<p>There was accurate deployment of teachers as indicated on the teacher’s deployment list and the school staff lists were displayed in all the 3 sampled school notice boards i.e., Asuret P/S had a total of 16 teachers out which 8 were female and 8 males, headed by Ms Mary Gorret Aigo.</p> <p>Dokoro-Gweri P/S had a total 11 teachers, deployed and 11 teachers on its school staff list led by Ms. Amongin Hellen Deborah as the Head teacher.</p> <p>With 6 teachers being male and 5 being female.</p> <p>Awoja P/S had 12 teachers, deployed, out of whom 7 are female and 5 were male led by Ms Aguro Faith.</p> <p>All the sampled schools had attendance registers and in use and TELA machines were operational.</p>	2
5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> <li>• If the accuracy of information is 100% score 2</li> <li>• Else score: 0</li> </ul>	<p>The infrastructure recorded in Soroti district local government primary school asset registers were all found present in all the visited sampled schools.</p> <p>Asuret P/S had 14 classrooms, 12 teachers’ houses, 116 desks and 10 latrine stances which were all found on ground.</p> <p>Dokolo-Gweri P/S had 9 classrooms, 6 staff houses, 7stances of pit latrines, and 125 desks.</p> <p>Awoja-Bridge P/S had 88 desks, 8 classrooms, 6 latrine stances, 6 teachers’ houses.</p>	2

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p> <ul style="list-style-type: none"> <li>• If 100% school submission to LG, score: 4</li> <li>• Between 80 - 99% score: 2</li> <li>• Below 80% score 0</li> </ul>	<p>100% of schools submitted their annual school reports as evidenced from the required 3 sampled schools.</p> <p>Dokolo-Gweri P/S submits its reports termly. The report included a total school enrolment of learners at the beginning of term and at the end of the term, total teaching staff and general status of the school and performance.</p> <p>Asuret P/S submits termly report and the report included a total school enrolment of 1143 learners with a staffing of 16 teachers, it included the successes registered in the term, challenges and way forward.</p> <p>Awoja-Bridge P/S submits termly reports as well. The report included a total school enrolment of learners, with staffing, the general status of the school including achievements, challenges and way forward.</p> <p>Percentage submission;</p> <p><math>3/3 \times 100 = 100\%</math></p>	4
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> <li>• If 50% score: 4</li> <li>• Between 30- 49% score: 2</li> <li>• Below 30% score 0</li> </ul>	<p>School Improvement plans were found in all the 3 sampled schools representing 100% i.e.</p> <p>AsuretP/S', Dokolo-Gweri P/S and Awoja -Bridge P/S.</p> <p>The school improvement plan of Asuret P/S prioritized;</p> <ul style="list-style-type: none"> <li>-Construction of teachers houses;</li> <li>-Teaching/Learning</li> <li>Feeding</li> <li>Provision of remedial lessons among others</li> </ul> <p>Awoja-Bridge P/S prioritized;</p> <ul style="list-style-type: none"> <li>- Construction of a dormitory, planting vegetation, improve performance, share and mentor teachers, support supervision among others</li> </ul> <p>Dokolo-Gweri P/S prioritized,</p> <ul style="list-style-type: none"> <li>Construction of teachers houses,</li> <li>Teaching/learning,</li> <li>Reduction of Absenteeism,</li> <li>Improve academic performance among others.</li> </ul> <p>Percentage</p> <p><math>3/3 \times 100 = 100\%</math></p>	4
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> <li>• If 100% score: 4:</li> <li>• Between 90 - 99% score 2</li> <li>• Below 90% score 0</li> </ul>	<p>The list of schools on PBS and OTIMS corresponded well with each having 69 UPE schools and 5 USE schools</p> <p><math>69/69 \times 100 = 100\%</math></p>	4

**Human Resource Management and Development**

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>The district budgeted Ugx 5,956,902,000 for 69 head teachers and 854 teachers in 69 primary schools of Soroti DLG as per the approved budget FY 2022/23</p>	<b>4</b>
7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p> <p>Score 3 else score: 0</p>	<p>Teachers had been deployed as required by the sector guidelines with a head teacher and a minimum of 7 teachers per class for schools with classes reaching P.7.</p> <p>Asuret P/S had a head teacher Ms Mary Gorret Aigo and a total of 16 teachers.</p> <p>Dokolo-Gweri P/S had a head teacher Ms. Amongin Hellen Deborah with a total of 11 teachers.</p> <p>Awoja-Bridge P/S had a head teacher Ms Aguro Faith with a total of 12 teachers.</p>	<b>3</b>
7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,</p> <p>score: 1 else, score: 0</p>	<p>All the primary schools sampled namely; Asuret, Awoja-Bridge and Dokolo-Gweri Primary schools had staff lists displayed on their office notice boards dated January ,2023.</p>	<b>1</b>

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copt to DEO/MEO</p> <p>Score: 2 or else, score: 0</p>	<p>The LG 69 Primary School Head Teachers and all were appraised. Ten (10) files were sampled for review and below were the findings;</p> <ol style="list-style-type: none"> <li>1. Emaju Solomon (Katine) appraised on 20/12/2022</li> <li>2. Atim Catherine (Angopet) was appraised on 30/12/2022</li> <li>3. Otim Charles (Takaramiam) was appraised on 9/12/2022</li> <li>4. Emadu Moses Emidiant (Okunguro) was appraised on 28/12/2022</li> <li>5. Aurut Francis (Omugenja Odela) was appraised on 26/11/2022</li> <li>6. Enyegu Jesse (Adomasiko) was appraised on 11/12/2022</li> <li>7. Ongedia Grace (Telamot) was appraised on 28/11/2022</li> <li>8. Acili Betty (Ojom) was appraised on 9/12/2022</li> <li>9. Aigo Mary Gorreti (Asuret) was appraised on 23/11/2022</li> <li>10. Achen Deborah (Dakabale) was appraised on 29/11/2022</li> </ol>	2
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM</p> <p>Score: 2 or else, score: 0</p>	<p>The LG had 5 Secondary School Head Teachers and some HT was not appraised.</p> <ol style="list-style-type: none"> <li>1. Alelo Kevin (Katine SS) was not appraised and only had the performance agreement on file</li> <li>2. Okoyo Richard (Kamuda Parents) was appraised on 4/12/2022</li> <li>3. Emedo Patrick (Gweri SS) was appraised on 15/12/2022</li> <li>4. Ikengo Tamali (Asuret Seed) was appraised on 31/12/2022</li> <li>5. Akori Micheal (Tubur SS) was appraised on 5/01/2023</li> </ol>	0
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p> <p>score: 2. Else, score: 0</p>	<p>There was evidence that all Education Department staff were appraised against their performance plans.</p> <ol style="list-style-type: none"> <li>1. Egonyu Paul (EO) special Needs was appraised on 14/11/2022</li> <li>2. Opio Nicholas (Sports Officer) was appraised on 30/6/2023</li> <li>3. Ilenyot Jenipher (SEO) was appraised on 20/6/2023</li> <li>4. Icimu Loyce Mildred (Inspector) was appraised on 14/8/2023</li> <li>5. Emoru Anango Simon (Snr. Inspector) was appraised on 30/6/2023</li> </ol>	2

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>There was a training plan for the FY 2022/23 for the training department. Some of the key trainings involved;</p> <ul style="list-style-type: none"> <li>- Training Head teachers on TELA</li> <li>- Training of games in kids Athletics</li> <li>- Training Teachers in SIPs</li> <li>- Training Head teachers in school leadership and management</li> <li>- Training School management committees and PTA on t/he their respective roles and responsibilities</li> <li>- Training teachers in ICT</li> <li>- Training of games teachers on ball games</li> </ul>	2
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**Management, Monitoring and Supervision of Services.**

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p>	<p>Letter from CAO dated 14th October 2022 reference <b>CR/311/1</b> was in place directed to PS Ministry of Education and Sports.</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p> <p>If 100% compliance, score:2 else, score: 0</p>	<p>The district had an inspection roadmap and the sampled schools had evidence of inspection reports. Inspection reports Term 3 of 2022, Term 1 and 2 of 2023, Term of Q 222/23 dated 26/04/2023.</p> <p>Inspection and monitoring were budgeted Ugx 29,536,000. Acknowledgement notes received by Mr. Ogwali Patrick PI-ES on 26/10/22;28/4/2023;16/08/23 respectively</p> <p>Objectives included;</p> <ul style="list-style-type: none"> <li>- Find out teaching/learning process</li> <li>- Level of infrastructure development in schools</li> <li>- General status and outlook of schools</li> </ul>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p> <p>If 100% compliance, score: 2 else score: 0</p>	<p>The PS/ST communicated cash limits for the Education Sector in quarter one on 28th July, 2022 under reference BPD/102/155/01. Warranting was done on 6th August, 2022, Invoicing was done on 26th August, 2022; Transfers to schools was done on 26th August, 2022 and communication to LLGs was done on 26th August,2022.</p> <p>In quarter two, the communication from PS/ST was done on 17th October, 2022 under reference BPD/102/155/01, Warranting on 17th October, 2022; Invoicing on 4th November, 2022. Transfers to schools was done on 4th November, 2022 and communication to LLGs was done on 4th November, 2022.</p> <p>The PS/ST communicated cash limits for the Education Sector in quarter three on 4th January, 2023 under reference BPD/102/155/01. Warranting was done on 19th , January, 2023, Invoicing was done on 2nd February, 2023; Transfers to schools was done on 2nd February, 2023 and communication to LLGs was done on 4th February, 2023.</p> <p>The PS/ST communicated cash limits for the Education Sector in quarter four on 6th April, 2023 under reference BPD/102/155/01. Warranting was done on 25th , April, 2023, Invoicing was done on 16th May, 2023; Transfers to schools was done on 16th May, 2023 and communication to LLGs was done on 16th May, 2023.</p> <p>There were no delays experienced by the DLG.</p>	2
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p> <p>If 100% compliance, score: 2 else, score: 0</p>	<p>There was evidence that the DLG invoiced and the DEO s communicated and publicized capitation releases to schools within three working days of release from MoFPED. The invoicing was done On 12th January, 2023 in respect of capitation grants to schools and DEO communicated to schools on 14th January, 2023 regarding the releases amounting to shs 102,000,000. DEO's circular letter dated 20th January provided guidance on the released funds as capitation grant. The DEO's circular was pinned on the Soroti DLG notice board and the same communication was verified at Angai Primary School in Arapai sub county, Omodoi Primary School in Ocokican sub county and Akolodong Primary School in Asuret sub county. The communication was pinned on notice boards in all cases.</p>	2
10	<p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <ul style="list-style-type: none"> <li>• If 100% compliance, score: 2, else score: 0</li> </ul>	<p>The inspection workplan for 2022/23 was in place covering all 69-government aided primary schools within Soroti DLG for 3 terms and the Inspection reports were discussed and findings followed up by each schools' SMC and PTA committees.</p>	2
10	<p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> <li>• If 100% score: 2</li> <li>• Between 80 – 99% score 1</li> <li>• Below 80%: score 0</li> </ul>	<p>The LG had an inspection plan for Term 3 2022, Term 1 2023, and Term 2 of 2023. Each covering 69 primary schools with a budget Ugx 29,536,000</p> <p>Meetings to plan for school inspections were done by the DIS, IS, Education Officer-Special needs and Associate Sports officer and each officer was allocated schools to inspect per term. All the 69 schools had been inspected in the 3 school terms accounting to 100%.</p>	2

10	Routine oversight and monitoring  <i>Maximum 10 points on this performance measure</i>	c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,  Score: 2 or else, score: 0	There was evidence of dissemination of findings arising from the inspection reports  from the file (Term 11 16/08/23, Term III Q1- 20/10/22). This was also evidenced from the files found at the sampled schools.	2
10	Routine oversight and monitoring  <i>Maximum 10 points on this performance measure</i>	d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0	Inspection findings had been presented to all the 3 sampled primary schools i.e. Asuret P/S, Awoja-Bridge P/S, and Dokolo-Gweri P/S.  The inspection and monitoring reports had been forwarded to DES as evidenced from DES acknowledgement sheets dated 26/10/2022, 28/04/2023,16/08/2023.	2
10	Routine oversight and monitoring  <i>Maximum 10 points on this performance measure</i>	e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0	The Council Committee responsible for education was in place that was also referred to as the Health, Education and Social Services Committee which met every quarter. In quarter one, the committee met on 1st September, 2022 and discussed departmental progress reports. In quarter two, the committee met on 2nd December, 2022 and discussed departmental reports of the DLG. In quarter three, the meeting was held on 15th February, 2023 and discussed planning and budgeting. In quarter four the committee met on 27th April, 2023 and discussed departmental budgets.	2
11	Mobilization of parents to attract learners  <i>Maximum 2 points on this performance measure</i>	Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,  <i>score: 2 or else score: 0</i>	There was a report on mobilizing parents in order to attract learners, the DEO and DIS conducted Radio talk shows on Veritas Radio, ETOP Radio 99.4FM, and Voice of Teso 88.4 FM geared towards encouraging parents to take the Girl child to school in March 2023, encouraging parents to enrol learners for ECD on 7/5/23 and 28/6/23. This was evidenced by the pictorials and Circulars in mobilization file.	2

## Investment Management

12	Planning and budgeting for investments  <i>Maximum 4 points on this performance measure</i>	a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i>	There was an asset register for 2022/23 listing all assets of the 69 primary schools of Soroti DLG.  There were a total 1,192 classrooms, 971 stance latrines, 18,898 desks and 1,104 teachers' houses.	2
12	Planning and budgeting for investments  <i>Maximum 4 points on this performance measure</i>	b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i>	The Education Department implemented projects during FY 2022/2023 out of which a sample of two projects was selected.  i. Construction of two classroom block with office at Akolodong Primary School in Asuret sub county budgeted at shs 92,000,000 as per page 35 of the district approved AWP and page 150 of the DDP III. The Desk Appraisal Report was dated 10th May, 2022.  ii. Construction of a two in one staff house in Amusia Primary School in Gweri sub county budgeted for 114,000,000 as per page 35 of the approved district budget and page 150 of the DDP III.  The Desk Appraisal Report was dated 10th May, 2022.	1

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0</p>	<p>The LG conducted the field appraisals for the education infrastructure projects including construction of a two classroom block with an office at Omodoi p/s, construction of a two classroom block with an office at Akolodong p/s, construction of 2 in 1 teachers house with an office at Amusia p/s and construction of a two classroom block with an office at Ojom p/s and checked for the technical feasibility, Environmental and social acceptability as indicated in the field appraisal reports dated 6th/07/2023,5th/07/2022,4th/7/2022 and 7th/07/2022 respectively . Among the key issues captured in the report included; project not causing household displacement, the project not tampering with the vegetation, project creating employment opportunities, the design being suitable for the site conditions and making use of the available local materials among other issues</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, score: 1, else score: 0</p>	<p>The LG had not planned any new seed secondary school in the FY 2023/2024 that could be incorporated in the FY 2023/2024 procurement plan</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, score: 1, else score: 0</p>	<p>The education infrastructure projects for the previous FY were approved by the Contract Committee on 13th September 2022 under Min 864/CC/09/2022-2023. Sampled projects include:</p> <ul style="list-style-type: none"> <li>• Construction of 2-classroom block with office at Akolodong P/S at cost of 93,631,820/-.</li> <li>• Construction of 2-classroom block with office at Omodoi P/S at cost of 93,916,205/-.</li> <li>• Construction of 2-classroom block with office at Ojom P/S at cost of 93,458,950/-.</li> </ul> <p>All projects sampled had costs that are less than the threshold of 200m/= and therefore did not require clearance by the Solicitor</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. score: 1, else score: 0</p>	<p>There was evidence that the CAO (Mr. Muramira Aggrey Winston) established proper Project Implementation Teams (PIT) for education infrastructure projects on 12th October 2022.</p> <p>The teams comprised of:</p> <ol style="list-style-type: none"> <li>1) District Eng (Mr. Oriekot Alex),</li> <li>2) District Education Officer (Mr. Oode James),</li> <li>3) Senior Environmental Officer (Mr. Aduku George)</li> <li>4) DCDO (Ms Ocaya Margarete),</li> <li>5) Senior Labour Officer (Mr. Esatu Moses)</li> <li>6) Clerk of Works (Mr. Oula Cuthbert)</li> </ol>	1

13	Procurement, contract management/execution  <i>Maximum 9 points on this performance measure</i>	d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES  <i>Score: 1, else, score: 0</i>	The field technical inspection of the Kamuda seed secondary school construction site in Kamuda sub county indicated that the structures, including the ICT library, administration block, the three 2-classroom blocks, the science laboratory, the three twin staff houses, the staff quarter kitchens, two 2-stance pit latrines, and the 5-stance lined pit latrines for both boys and girl were implemented following the specifications provided in both the approved architectural and structural designs. The window and door types and numbers installed were in line with the specifications for all the structures, there were no observed structural and surface cracks on the walling, floors, splash apron and a physical scratch check on the plaster indicated a good class mortar used, the roof covering type used (Gauge 26) was that in the specifications and the block work was done well and all the buildings component dimensions requirements were fulfilled.	<b>1</b>
13	Procurement, contract management/execution  <i>Maximum 9 points on this performance measure</i>	e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY  <i>score: 1, else score: 0</i>	There was sufficient evidence presented to the assessment team showing monthly technical site meetings were held for Kamuda Seed Secondary School.  The monthly technical meetings were always attended by the Soroti district technical committees, the school administration and contractor (Kakise Holdings Ltd).  Minutes of site meeting were prepared by the Clerk of Works (Mr. Erau Paul and approved by the District Engineer, Mr. Okello Simon. Sample minutes of site meetings were presented for meetings held on: <ul style="list-style-type: none"> <li>• 14th November 2022</li> <li>• 6th January 2023</li> <li>• 8th February 2023,</li> <li>• 17th March 2023,</li> <li>• 5th June 203</li> <li>• 28th June 2023</li> </ul>	<b>1</b>

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc ..., has been conducted <i>score: 1, else score: 0</i></p>	<p>There was evidence of participation of District Engineer, Environment office, Education officer and CDOs and others at critical stages of construction of education infrastructure projects.</p> <p>Joint monthly inspections were undertaken. They were attended by the following:</p> <ul style="list-style-type: none"> <li>• Mr. Ochen James (CAO)</li> <li>• Mr. Komakech Sali (RDC, Soroti)</li> <li>• Mr. Oriekot (District Engineer and project manager)</li> <li>• Mr. Oede James (DEO)</li> <li>• Mr. Okello Simon (Assistance Engineer)</li> <li>• Mr. Erau Paul (Clerk of Works)</li> <li>• Mr. Okoyo Richard (Head Teacher, Kamuda Secondary school),</li> <li>• Mr. Eyura Martin, (Assistant DHO),</li> <li>• Ms Acaya Margarete (CDO)</li> <li>• Mr. Ochen Simon (Site Eng, Kakise Holdings)</li> <li>• Mr. Adutu George (Senior Environment Officer)</li> </ul> <p>Sample monthly inspection reports were presented for the following dates:</p> <ul style="list-style-type: none"> <li>• 31st October 2022, 30th November and 31st December 2022</li> <li>• 28th February and 31st May 2023.</li> </ul>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>Sector infrastructure projects were properly executed and payments to contractors made within specified time frames within the contract as per examples of payments sampled below.</p> <p>The department implemented ten projects during FY 2022/2023 out of which a sample of three projects was selected. (i) Payment to Panu General Services for shs 31,457,799 on payment voucher number 5900733 dated 15th June, 2023 in respect of construction of a class room block with office at Akolodong Primary School in Asuret sub county. Contract reference SORO553/WRKS/2022-2023/0013. The DEO initiated payment on 26th May, 2023 and the DE, DCDO, Senior Environment Officer and CAO certified payment on the same date.</p> <p>(ii) Payment to Aputon Investment Limited for shs 10,191,241 in respect of construction of two class room block with office in Omodoi Primary School in Ocokican sub county on payment voucher number 5921286 dated 19th June, 2023. Payment was initiated by the DEO on 29th May, 2023. The DE, DCDO, Senior Environment Officer, CFO and CAO signed the documents to certify payment on 26th May, 2023.</p> <p>(iii) Payment to Arki Timers Limited for shs 15,747,336 in respect of construction of a five stance drainable pit latrine for girls in Angai Primary School in Arapai sub county per contract SORO 553/WRKS/2022-2023/00017. Payment voucher number 6417019 dated 27th June, 2023.</p> <p>Payment was initiated by the DEO on 13th June, 2023. The DE, DCDO, Senior Environment Officer, CFO and CAO signed the documents to certify payment on 23rd June, 2023.</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i></p>	<p>The procurement plan from the education department submitted to the PDU on 11/07/2023 was for FY 2023/2024</p> <p>There was no evidence presented to assessor showing that the DEO submitted education department procurement plans for the previous FY 2022/2023 to PDU.</p>	<b>0</b>
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13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law <i>score 1 or else score 0</i></p>	<p>The LG had a complete procurement file for the multi years construction project of Kamuda seed secondary school (MOES/UGIFT/SORO553/WRKS/2021-2022) started in the FY 2021/2022 through the FY 2022/2023 to date and the procurement files had the following documents as per the PPDA requirements</p> <ul style="list-style-type: none"> <li>• Evaluation report dated 2nd/02/2022 with Kakise Holdings Ltd as the best evaluated bidder at a cost of 2,950,302,304</li> <li>• Solicitor general letter of clearance dated 27th/9/2022 and minutes of contracts committee dated 25th/8/2022</li> <li>• Works contract dated 10th/10/2022</li> </ul>	<b>1</b>
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**Environment and Social Safeguards**

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, <i>score: 3, else score: 0</i></p>	<p>LG had not recorded any grievances under health in the FY 2022/2023 by the time of assessment, however the Grievance Log book was availed from MAAIF (ACDP) coded 117097-117292</p>	<b>3</b>
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15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>The Environmental guidelines had been issued to all the 3 sampled primary schools namely; Asuret, Awoja-Bridge P/S and Dokolo-Gweri Primary school in Soroti DLG in ,2022.</p> <p>They included,</p> <p>Guidelines for Greening environment in Soroti DLG signed by Senior Environment officer-Mr Adulu G P</p> <p>-Guidelines for Energy Conservation</p> <p>Guidelines for conserving water.</p> <p>There was a developed Questionnaire on Social Acceptability for FY22/23 among others .</p>	<b>3</b>
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16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i></p>	<p>LG had incorporated a costed ESMP within the BoQs as evidenced by Item 1 (Safety, Health and Welfare of workers) at 8,500,000/= on page 1 of the BoQs for the construction of Kamuda Seed School in the bidding document with Ref No. MoE/UGIFT/WRKS/2021-2022/0003 (Lot 20) on 31/12/2021</p>	<b>2</b>
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16	Safeguards in the delivery of investments  <i>Maximum 6 points on this performance measure</i>	b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i>	At the assessment time, not all education projects were established on LG owned land. Only the seed school had a land title - Plot 118, Block 6 at Owolo measuring 5.5700ha in the names of "the board of governors Kamuda Seed secondary school" issued on 14th October 2021.  The rest of the three education projects were established on church owned land. Letters of different churches were availed but these were appreciation letters for the investments to the Government of Uganda and not MOU/ consents.	<b>0</b>
16	Safeguards in the delivery of investments  <i>Maximum 6 points on this performance measure</i>	c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i>	The EO and DCDO conducted monthly support supervision and monitoring of education projects in FY 2022/2023 as evidenced below;  <ul style="list-style-type: none"> <li>• E&amp;S Monitoring Report for construction of a 5 stance pit drainable pit latrine in Angai P/S by the EO and DCDO on 30/04/2023</li> <li>• E&amp;S Monitoring Report for the construction of 2 in 1 staff house at Amusia P/S by the EO and DCDO on 21/11/2022</li> <li>• E&amp;S Monitoring Report for the construction of 2 classroom block with office space at Akolodong P/S by the EO and DCDO on 23/11/2022</li> <li>• E&amp;S Monitoring Report for the construction of 2 in 1 staff house at Amusia P/S by the EO and DCDO on 14/12/2022</li> </ul>	<b>2</b>
16	Safeguards in the delivery of investments  <i>Maximum 6 points on this performance measure</i>	d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments  <i>Score: 1, else score:0</i>	Payment certificates were seen on file for all education projects as below;  For the 5-stance pit latrine at Angai P/S, certificate dated 15th June 2023 with total amount UGX 14,946,624/= was availed.  For Kamuda seed school, certificate dated 25th May 2022 with total amount UGX 806,537,619/= was availed.  For classroom block and office space at Akolodong P/S, certificate dated 10th May 2023 with total amount UGX 31,764,096 was availed.  For 2 in 1 staff house at Amusia P/S certificate dated 30th January 2023 with total amount UGX 88,359,256/= was availed.  For all the above payment certificates, both the SEO and DCDO participated in the signing.	<b>1</b>

**Health  
Performance  
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score																						
<b>Local Government Service Delivery Results</b>																										
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries).</p> <ul style="list-style-type: none"> <li>• By 20% or more, score 2</li> <li>• Less than 20%, score 0</li> </ul>	<p>The sampled health facilities had the following deliveries:</p> <table border="0"> <tr> <td colspan="2">FY2021/22</td> </tr> <tr> <td>Dakabela HC III</td> <td>673</td> </tr> <tr> <td>Tubur HC III</td> <td>736</td> </tr> <tr> <td>Tirir HC IV</td> <td>967</td> </tr> <tr> <td>Total</td> <td>2376</td> </tr> <tr> <td colspan="2">FY2022/23</td> </tr> <tr> <td>Dakabela HC III</td> <td>661</td> </tr> <tr> <td>Tubur HC III</td> <td>687</td> </tr> <tr> <td>Tirir HC IV</td> <td>1118</td> </tr> <tr> <td>Total</td> <td>2466</td> </tr> <tr> <td colspan="2">Average change <math>(2466-2376)/2376*100 = 3.79\%</math></td> </tr> </table>	FY2021/22		Dakabela HC III	673	Tubur HC III	736	Tirir HC IV	967	Total	2376	FY2022/23		Dakabela HC III	661	Tubur HC III	687	Tirir HC IV	1118	Total	2466	Average change $(2466-2376)/2376*100 = 3.79\%$		0
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Average change $(2466-2376)/2376*100 = 3.79\%$																										
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> <li>• 70% and above, score 2</li> <li>• 50% - 69%, score 1</li> <li>• Below 50%, score 0</li> </ul>	<p>The final score card for LGMSD for FY 2023 indicated that Soroti LG's performance in the health sector was 93%</p>	2																						
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> <li>• 75% and above; score 2</li> <li>• 65 - 74%; score 1</li> <li>• Below 65; score 0</li> </ul>	Not applicable	0																						
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p>	<p>The DLG budgeted shs 320,155,500 as development grant for FY 2022/2023 as per page 27 of the district approved budget/AWP. Shs 318,599,394 was equally spent by closure of FY 2022/2023 as reflected on page 67 of the draft accounts for FY 2022/2023. Performance was 100%.</p>	2																						



3

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0

The Health Department implemented projects during FY 2022/2023 out of which a sample of three projects was selected. (i) Payment to Arise Blessed Destiny Enterprises for shs 7,010,477 per payment voucher number 5873826 dated 15th June, 2023 in respect of retention on OPD block in Aukot HC II in Gweri sub county.

The DHO initiated payment on 3rd March, 2023, the DE on 1st March, 2023 and the DCDO, Senior Environment Officer, CFO, CAO signed the payment on 14th March, 2023.

(ii) Payment to OPED Contractors and Suppliers Company Ltd for shs 205,440,533 per payment voucher number 6411505 dated 27th June, 2023 in respect of construction of general ward and five stance pit latrine in Aukot HC III in Aukot sub county.

The DHO initiated payment on 20th June, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment.

(iii) Payment to OPED Contractors and Suppliers Company Ltd for shs 35,836,641 per payment voucher number 44585562 dated 1st July, 2023 in respect of construction of OPD in Aukot HC II in Aukot sub county.

The DHO initiated payment on 16th June, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment.

2

3

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

c. If the variations in the contract price of sampled health infrastructure investments are within +/- 20% of the MoWT Engineers estimates, score 2 or else score 0

All the implemented health project contracts had percentage contract variations that are within +/-20% as indicated below for the respective project contracts

1. Construction an OPD at Aukot HC II

(Soro553/Wrks/2022-2023/00026) Contract price = 43,861,900

Estimated cost = 40,023,236

Percentage contract variation = +9.59%

2. Construction a general ward at Ojom HC II

(Soro553/Wrks/2022-2023/00002) Contract price = 234,027,040

Estimated cost = 210,624,336

Percentage contract variation = 11.1%

3. Construction of the 4-stance pit latrine (Soro553/Wrks/2022-2023/00019)

Contract price = 20,852,960

Estimated cost = 19,777,984

Percentage contract variation = 5.4%

2

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY</p> <ul style="list-style-type: none"> <li>• If 100 % Score 2</li> <li>• Between 80 and 99% score 1</li> <li>• less than 80 %: Score 0</li> </ul>	<p>There was no health centre upgrade in the FY 2022/2023.</p>	2																
4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> <li>• If above 90% score 2</li> <li>• If 75% - 90%: score 1</li> <li>• Below 75 %: score 0</li> </ul>	<p>The LG had recruited for all HC's and HC IV as follows:</p> <table border="1" data-bbox="758 548 1197 918"> <tr> <td>Tirir HC IV</td> <td>48 out of 48</td> </tr> <tr> <td>Asuret HC III</td> <td>19 out of 19</td> </tr> <tr> <td>Dakabela HC III</td> <td>17 out of 19</td> </tr> <tr> <td>Tubur HC III</td> <td>17 out of 19</td> </tr> <tr> <td>Gweri HC III</td> <td>14 out of 19</td> </tr> <tr> <td>Kamuda HC III</td> <td>15 out of 19</td> </tr> <tr> <td>Total</td> <td>130 out of 143</td> </tr> <tr> <td colspan="2" style="text-align: center;"><math>130/143*100 = 90.9\%</math></td> </tr> </table>	Tirir HC IV	48 out of 48	Asuret HC III	19 out of 19	Dakabela HC III	17 out of 19	Tubur HC III	17 out of 19	Gweri HC III	14 out of 19	Kamuda HC III	15 out of 19	Total	130 out of 143	$130/143*100 = 90.9\%$		2
Tirir HC IV	48 out of 48																			
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4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> <li>• If 100 % score 2 or else score 0</li> </ul>	<p>There was no health centre upgrade in the FY 2022/2023.</p>	2																

**Performance Reporting and Performance Improvement**

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>The information on positions of health workers filled was accurate. Tirir HC IV had 48 H/Ws on the list dated 20023/24 so was the District list dated 1/11/ 2023. Dakabela HC III had 17 H/Ws on the list dated 1/07/23. Tubur HC III had 17 H/Ws on the list dated 15/11/2023 so was the District list dated 1/11/2023.</p>	2
5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>There was no facility upgraded in the FY2022/23.</p>	2

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans &amp; budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>The sampled health facilities Tirir HC IV, Dakabela HC III and Tubur HC III did not submit the Annual Workplans and budgets FY 2023/2024 to the DHO.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>The sampled health facilities Tirir HC IV, Dakabela HC III and Tubur HC III did not submit the Annual Budget Performance Report for FY 2022/2023 to the DHO.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>Tirir HC IV, Dakabela HC III and Tubur HC III did not develop performance plans for FY 2023/2024.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,</p> <ul style="list-style-type: none"> <li>• score 2 or else score 0</li> </ul>	<p>The sampled health facilities submitted the monthly and quarterly reports late as follows:</p> <p>July 2022</p> <p>Tirir HC IV 10/08/22</p> <p>Tubur HC III 9/08/22</p> <p>Dakabela HC III 12/08/22</p> <p>August 2022</p> <p>Tirir HC IV 7/09/22</p> <p>Tubur HC III 9/09/22</p>	0

this performance  
measure

Dakabela HC III 7/09/2022

September 2022

Tirir HC IV 11/10/22

Tubur HC III 9/10/22

Dakabela HC III 11/09/2022

October 2022

Tirir HC IV 15/11/22

Tubur HC III 11/11/2022

Dakabela HC III 13/11/2022

November 2022

Tirir HC IV 9/12/22

Tubur HC III 14/12/2022

Dakabela HC III 9/12/2022

December 2022

Tirir HC IV 9/01/23

Tubur HC III 10/01/23

Dakabela HC III 16/01/2023

January 2023

Tirir HC IV 9/02/23

Tubur HC III 7/02/23

Dakabela HC III 13/02/2022

February 2023

Tirir HC IV 7/03/23

Tubur HC III 7/03/23

Dakabela HC III 13/03/23

March 2023

Tirir HC IV 13/04/23

Tubur HC III 5/04/23

Dakabela HC III 13/04/2023

April 2023

Tirir HC IV 12/05/23

Tubur HC III 5/05/23

Dakabela HC III 11/05/2022

May 2023

Tirir HC IV 14/06/23

Tubur HC III 14/06/23

Dakabela HC III 14/06/23

June 2023

Tirir HC IV 21/07/23

Tubur HC III 6/07/23

Dakabela HC III 9/07/23

Quarterly 2022/2023

1st Quarter 2022/2023  
 Tirir HC IV 11/10/22  
 Tubur HC III 9/10/22  
 Dakabela HC III 11/09/2022  
 2nd Quarter 2022/2023  
 Tirir HC IV 9/01/23  
 Tubur HC III 10/01/23  
 Dakabela HC III 16/01/2023  
 3rd Quarter 2022/2023  
 Tirir HC IV 13/04/23  
 Tubur HC III 5/04/23  
 Dakabela HC III 13/04/2023  
 4th Quarter 2022/2023  
 Tirir HC IV 21/07/23  
 Tubur HC III 6/07/23  
 Dakabela HC III 9/07/23

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.  
  
 Maximum 14 points on this performance measure

e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0  
  
 Note: Municipalities submit to districts

Not applicable

0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	Not applicable	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>Quarter 1 report was submitted on 25th October, 2022; Quarter 2 report was submitted on 23rd January, 2023; Quarter 3 reports was submitted on 26th April, 2023; Quarter 4 report was submitted on 28th August, 2023 beyond the first month of the following quarter that is required.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>h) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>The LG did not develop a Performance Improvement Plan for the weakest performing facilities.</p>	0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>The LG did not develop Performance Improvement Plan to implement.</p>	0
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**Human Resource Management and Development**

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>According to the LG Annual Budget Estimates 2023/24, the LG budgeted 4,084,759,000= for 181 health workers out of the 217 required.</p> <p>181/217*100=88.9%. This was above the required 75%.</p>	2
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7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>The LG had 6 out of 13 health facilities that had at least 75% of the staff required. These were:</p> <p>Tirir HC IV 48/48 (100%)</p> <p>Dakabela HC III 17/19 (89.5%)</p> <p>Asuret HC III 19/19 (100%)</p> <p>Tubur HC III 17/19 (89.5%)</p> <p>Kamuda HC III 15/19 (78.9%)</p> <p>Aukot HC II 7/9 (77.8%)</p>	0
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7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>The health workers were working where they were deployed. Tirir HC IV had 48 H/Ws on the list 2023/2024 and were found in the attendance book. Tubur HC III had 17 H/Ws on the list dated 15/11/2023 and were found in the attendance book. Dakabela HC III had 17 H/Ws dated 1/07/2023 and were found in the attendance book.</p> <p>The example of staff found were:</p> <p>Tirir HC IV</p> <p>Dr. Ongwee Jackline Aporo Senior Medical Officer</p> <p>Tubur HC III</p> <p>Agom Samuel Senior Clinical Officer</p> <p>Dakabela HC III</p> <p>Ijongat Harriet Assistant Nursing Officer.</p>	3
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7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0</p>	<p>The LG publicized health workers on the noticeboards. Tirir HC IV publicized 48 H/Ws dated 2023/2024.</p> <p>Tubur HC III publicized 17 H/Ws dated 15/11/2023. Dakabela HC III 17 H/Ws dated 1/07/2023.</p>	2
<p>Maximum 9 points on this performance measure</p>				
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p>	<p>a) Evidence that the DHO/MMOHs has:</p> <p>i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0</p>	<p>There was evidence that Health Facility In-charges were all appraised. However, some were appraised after the due date. Below are the review findings;</p> <ol style="list-style-type: none"> <li>1. Akany Dorcus (SCO) of Asuret HCIII was appraised on 10/7/2023</li> <li>2. Elago Denis (MCO) of Kamuda HCIII was appraised on 23/7/2023</li> <li>3. Cherop Clement (E/N) of Arabaka HCII was appraised on 1/8/2023</li> <li>4. Ibiara Rose (E/N) Agirigiroi HCII was appraised on 3/7/2023</li> <li>5. Okello Samuel (SCO) of Gweri HCIII was appraised on 10/7/2023</li> <li>6. Ijongat Harriet (ANO) of Dakabela HCIII was appraised on 3/7/2023</li> <li>7. Amuso Martha (E/N) of Awaliwal HCII was appraised on 7/7/2023</li> <li>8. Ediu Fredrick Richard (MCO) of Aukot HCII was appraised on 26/6/2023</li> <li>9. Opolot Daniel (E/N) of Ojom HCII was appraised on 2/7/2023</li> <li>10. Amusagut Caroline (E/N) of Lalle HCII was appraised on 8/8/2023</li> </ol>	1
<p>Maximum 6 points on this performance measure</p>				



8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p>	<p>There was evidence that all Health Facility Workers were appraised against the agreed performance plans.</p> <ol style="list-style-type: none"> <li>1. Sagat Elizabeth (E/Midwife) of Dakabele HCIII was appraised on 3/7/2023</li> <li>2. Omodoi Grace (Porter) of Gweri HCIII was appraised on 8/6/2023</li> <li>3. Okanya Jacob (Porter) of Asuret HCIII was appraised on 2/8/2023</li> <li>4. Atyanga Rebecca (E/Midwife) of Tiriri HCIV was appraised on 11/7/2023</li> <li>5. Acen Gladys Otim (ANO) of Tiriri HCIV was appraised on 10/7/2023</li> <li>6. Ikopu Grace (E/N) of Awaliwal HCII was appraised on 7/7/2023</li> <li>7. Aceng Christine (E/N) of Dakabela HCIII was appraised on 3/7/2023</li> <li>8. Agoye Beatrice (Health Assistant) of Tiriri HCIV was appraised on 16/8/2023</li> <li>9. Namuchwa Alice (CO) Tiriri HCIV was appraised on 19/7/2023</li> <li>10. Enusu Gerald (E/N) of Tiriri HCIV was appraised on 13/7/2023</li> </ol>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>There was no evidence and no reason given</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <ol style="list-style-type: none"> <li>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</li> </ol>	<p>The ADHO/MCH on 7/05/2023 trained 27 H/Ws on Maternal Perinatal Death Surveillance and Response (MPDSR) at the District Health Board room. The trainees included 19 E/M, 5 E/N, 1 CO and 2 DHT members.</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>The activities of training H/Ws on MPDSR conducted on 7/05/2023 was entered into the training database.</p>	1

**Management, Monitoring and Supervision of Services.**

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>The LG did not provide any evidence to show that MOH was informed of the facilities that receive PHC NWR grants.</p>	0
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>Fund allocation to the Health Department during FY 2022/2023 amounted to shs 51,067,347 as reflected on page 36 of the District approved budget for FY 2022/2023. The monitoring and service delivery was allocated shs 7,660,102 as per page 36 of the approved LG budget. This was equivalent to 15%.</p>	2
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>	<p>Soroti LG did not comply on timely warranting in all the 4 quarters for the FY 2022/2023 releases of PHC NWR grant to facilities. In Q1, warranting was done on 06.08.2022 and releases on 26.08.2022; Q2 - 17.10.2022 and 04.11.2022; Q3 - 09.01.2023 and 02.02.2023; and Q4 on 25.04.2023 and 16.05.2023, all way outside the 5 working days timeline.</p>	0
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0</p>	<p>In Q1, warranting was done on 06.08.2022 and invoicing/communication to LLGs was on 26.08.2022. In Q2, it was 17.10.2022 and 04.11.2022; Q3 was 19.01.2023 and 04.02.2023 and Q4 25.04.2023 and 16.05.2023 All were far beyond 5 working days.</p>	0

9	N23 Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.  Maximum 9 points on this performance measure	e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0	There was no displays of the quarterly MoFPED financial releases to the LG health facilities at the DLG noticeboards. Even based on the assessment, the circular does not demonstrate the 5-day working day timeline, nor does it cover all the 4 quarters.	0
10	Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.  Maximum 7 points on this performance measure	a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0	The LG did not hold any Performance Review meetings in the FY 2022/2023.	0
10	Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.  Maximum 7 points on this performance measure	b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0	The LG did not hold any Performance Review meetings in the FY 2022/2023.	0
10	Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.  Maximum 7 points on this performance measure	c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPS receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0  If not applicable, provide the score	The LG supervised Tirir HC IV on 8/10/2022, 2/01/2023, 20/04/2023 and 19/06/2023.	1
10	Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.  Maximum 7 points on this performance measure	d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0  • If not applicable, provide the score	Dakabella HSD led by Tirir HC IV supervised Asuret HC III, Aukot HC II, Gweri HC III, Agirigoi HC II and Tubur HC III (27-31/03/2023)  Tubur HC III, Ojom HC II, Dakabela HC III (22-28/03/2023). With consent of the HSD HUMC the funds for 1st Quarter 2022/2023 were used to fumigate Aukot HC II which was infected by Bats. on 11/10/2023.	1

10	Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.  Maximum 7 points on this performance measure	e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0	During support supervision 29/09/2022 Aliwaliwal HC II was found without a client charter which was supplied there and then. On 30/09/2022 Dakabela HC III had not been appraised, the DHT recommended for their appraisal; the staff were appraised for the FY 2022/2023 according to the letter written on 7/07/2023 by the In-charge Dakabella HC III to the DHO.	1
10	Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.  Maximum 7 points on this performance measure	f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0	The health facilities were supported in prescription, storage and dispensing of medicines as follows: Tirir HC IV 4/08/2022, Tubur HC III 16/08/2022, Aliwalwal HC II 13/09/2022, Ojom HC II 14/09/2022, Lale HC II 19/09/2022, Gweri HC III 5/10/2022, Asuret HC III 12/10/2022 and Ocokican HC II 16/11/2022.	1
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities  Maximum 4 points on this performance measure	a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0	Fund allocation to the Health Department during FY 2022/2023 amounted to shs 51,067,347 as reflected on page 22 of the District approved budget for FY 2022/2023. The allocation for health prevention and promotion activities was shs 15,320,204 as per page 22 of the approved LG budget. This was equivalent to 30%.	2
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities  Maximum 4 points on this performance measure	b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0	The DHE led Polio Campaign Socio-Mobilisation using Public Address System (Community Drive) from 5-8/11/2022.  Radio Talk shows on Integrated Child Days on Etop Radio on 5th,13th, 21/04/2023 were held by a Nursing Officer, Health Educator and Secretary for Health.	1
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities  Maximum 4 points on this performance measure	c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0	The ADHO/EH carried out support supervision on sanitation status of health facilities as follows: Lale HC II 9/01/2023, Kamuda HC III 10/01/2023, Dakabela HC III 21/03/2023, Aliwaliwal HC II and Aukot HC II. The ADHO/EH compiled a comprehensive report on Rural Sanitation to the MOH which was received on 14/07/2023.	1

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>The LG had an updated Asset register that set out health facilities and equipment relative to basic equipment. Torir HC IV had BP machine, PIMA machine, Delivery beds, Theatre equipment and Microscope. Dakabela HC III had Glucometer, BP machine, Delivery kits and Blood cell counter. Tubur HC III had BP machine, Weighing scale and Thermometer.</p>	1
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDPIII); (ii) desk appraisal by the LG; and (iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG)); score 1 or else score 0</p>	<p>The Health Department implemented projects during FY 2022/2023 out of which a sample of two projects was selected.</p> <p>(i) Completion of OPD block at Aukot HC II in Aukot sub county budgeted for shs 40,000,000 as per page 32 of the district approved budget for FY 2022/2023. The project was captured on page 32 of the AWP and page 152 of the DDP III. The desk appraisal was dated 10th May, 2022.</p> <p>(ii) Completion of OPD Ocokican HC II in Ocokican sub county which was budgeted for shs 50,000,000 as per page 32 of the district approved budget for FY 2022/2023. The project was captured on page 152 of the DDP III and page 32 of the AWP.</p> <p>The desk appraisal was dated 10th May, 2022.</p>	1
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>The LG conducted the field appraisals for the health infrastructure projects including Construction of a general ward at Ojom HC II, Construction of the 4-stance pit latrine at Awaliwal HC II and Construction of an OPD block in Ojom HC II. Projects were evaluated against the technical feasibility, Environmental and social acceptability as indicated in the respective field appraisal reports dated 13th/07/2022, 3rd/08/2022 and 14th/7/2023. Among the key issues captured in the report included; project not causing household displacement, the project not tampering with the vegetation, project creating employment opportunities, the design being suitable for the site conditions and making use of the available local materials among other issues.</p>	1
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>The LG health facility investment were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist as evidenced by the following screening forms by EO &amp; DCDO below;</p> <ul style="list-style-type: none"> <li>• E&amp;S Screening form for the construction of General Ward and Maternity Ward at Ojom HC II by the EO and DCDO on 04/08/2022</li> <li>• E&amp;S Screening form for the construction of 2 stance pit latrine in Awaliwal HC II by the EO and DCDO on 03/08/2022</li> </ul>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY ) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>The Health sector lately submitted all its infrastructure and other request to procurement unit on 30th/05/2023 after 30th/April/2023 the deadline date as per the PPDA requirement</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>There was no evidence that the LG Health department submitted the procurement request form (FormPP1) to the PDU.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>The health infrastructure projects for the previous FY were approved by the Contract Committee. Sample projects include:</p> <ul style="list-style-type: none"> <li>• Construction of Construction an OPD at Aukot HC II. Project approved by the Contracts Committee on 13/9/2022 under Min. 864/CC/09/2022-2023, section m at a cost of 43,861,900/-.</li> <li>• Construction a general ward at Ojom HC II. Project approved by the Contracts Committee on 13/9/2022 under Min. 864/CC/09/2022-2023 at a cost of 234,027,040/-.</li> <li>• Construction of a 2-stance pit latrine at Awaliwal HC III. Project approved by the Contracts Committee on 31/3/2023 under Min. 894/CC/01/2022-2023 at a cost of 19,919,580/-.</li> </ul> <p>However, The project for construction of a general ward at Ojom HC II had a cost above the threshold of 200m/= and therefore required clearance from the Solicitor General. There was no evidence presented for clearance as required.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG did not properly establish the project implementation team as per the PPDA guidelines as no labour officer was appointed on the team. The appointment letter by CAO dated 13th/06/2023 indicated that Dr.Okadhi Charles (DHO) was appointed as the contracts manager, Mr. Oriekot Alex (District Engineer) as the project manager, Mr. Adutu George as the Environment officer, Mr. Acaya Margret as the DCDO, Mr. Oula Cuthbert appointed on 12th/5/2023 as the clerk of works and no labour officer was appointed on the team</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG did not have any HC II being upgraded to HC III or new HC III in the FY 2022/2023</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG did not have any HC II being upgraded to HC III or new HC III in the FY 2022/2023</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG did not have any HC II being upgraded to HC III or new HC III in the FY 2022/2023</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG did not have any HC II being upgraded to HC III or new HC III in the FY 2022/2023. However, the LG conducted a joint supervision for other health infrastructure projects for the FY2022/2023 as in the joint inspection report dated 20th/06/2022 for the construction of Ojom HC II with participation of all the technical staff (District Engineer, Environment officer and DCDO)</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p>	<p>The DHO verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days after receiving payment requests) as provided in the three examples on sampled projects below.</p> <p>The Health Department implemented projects during FY 2022/2023 out of which a sample of three projects was selected. (i) Payment to Arise Blessed Destiny Enterprises for shs 7,010,477 per payment voucher number 5873826 dated 15th June, 2023 in respect of retention on OPD block in Aukot HC II in Gweri sub county.</p> <p>The DHO initiated payment on 3rd March, 2023, the DE on 1st March, 2023 and the DCDO, Senior Environment Officer, CFO, CAO signed the payment on 14th March, 2023.</p> <p>(ii) Payment to OPED Contractors and Suppliers Company Ltd for shs 205,440,533 per payment voucher number 6411505 dated 27th June, 2023 in respect of construction of general ward and five stance pit latrine in Aukot HC III in Aukot sub county.</p> <p>The DHO initiated payment on 20th June, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment.</p> <p>(iii) Payment to OPED Contractors and Suppliers Company Ltd for shs 35,836,641 per payment voucher number 44585562 dated 1st July, 2023 in respect of construction of OPD in Aukot HC II in Aukot sub county.</p> <p>The DHO initiated payment on 16th June, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>The LG had the complete procurement file for the health Infrastructure contract with all records as required by the PPDA Law as indicated below for the respective projects;</p> <p>Construction of a 4-stance pit latrine in Awaliwal HC II in Awaliwal Sub county (Procurement Reference no. Soro553/WRKS/2022-2023/00019)</p> <ul style="list-style-type: none"> <li>• Evaluation report dated 28th/04/2023 with M/S Sure friends Civil and Agro input consults as the best evaluated bidder at 20,852,960.</li> <li>• Minutes of contracts committee decision dated 2nd/05/2023 and works contract signed on 11th/05/2023</li> </ul> <p>Construction of the general ward at Ojom HC II (Procurement Reference no. Soro553/WRKS/2022-2023/00002)</p> <ul style="list-style-type: none"> <li>• Evaluation report dated 19/08/2022 with Japemo Holdings Uganda Ltd as the best evaluated bidder at 234,027,040.</li> <li>• Minutes of contracts committee decision dated 13th/09/2022 and works contract signed on 13th/06/2023</li> </ul> <p>Construction of an OPD at Aukot HC II in Aukot Sub county (Procurement Reference no. Soro553/WRKS/2022-2023/00026)</p> <ul style="list-style-type: none"> <li>• Evaluation report dated 7th/06/2023 with Arise Blessed Destiny Enterprise Ltd as the best evaluated bidder at 43,861,900</li> <li>• Minutes of contracts committee decision dated 13th/02/2023 and works contract signed on 12th/06/2023</li> </ul>	1



14	Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework  Maximum 2 points on this performance measure	a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0	LG had not recorded any grievances under health in the FY 2022/2023 by the time of assessment, however the Grievance Log book was availed from MAAIF (ACDP) coded 117097-117292	2
15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery  Maximum 5 points on this performance measure	a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0	The LG disseminated guidelines WASH IN HEALTH CARE FACILITIES on 20/01/2023 in a meeting held with in charges in the Health Board room. Waste segregation charts were found in Tirir HC IV, Dakabela HC III and Tubur HC III.	2
15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery  Maximum 5 points on this performance measure	b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0	The LG had a functional system for Medical waste management. Tirir HC IV, Tubur HC III and Dakabela HC III had bin liners for segregation of waste, safety boxes, waste pits and placenta pits. Green Label Services LTD a registered service provider was collecting health care waste from the LG. Tirir HC IV had an Incinerator.	2
15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery  Maximum 5 points on this performance measure	c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0	The ADHO/EH trained 29 H/Ws on health care waste management on 11-12/01/2023 at Gweri HC III. The trainees came from the HC IV and HC III's.	1
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments  Maximum 8 points on this performance measure	a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0	LG had incorporated costed ESMPs into designs, BoOs as evidenced by Item 12 (Provide for social and environmental safeguards) at 100,000/= page 11 of the BoQs in the bidding document for the fencing of Asuret HC III by Two Specific Builders Ltd with Procurement Reference : SORO553/WRKS/2022-2023/00004	2

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>LG had no proof that all health sector projects were implemented on land where the it had ownership, access because all the titles were under process</p>	0
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>The EO and DCDO conducted monthly support supervision and monitoring of health projects as evidenced below;</p> <ul style="list-style-type: none"> <li>• E&amp;S Monitoring Report for health projects in FY 2022/2023 (General Ward and Maternity Ward at Ojom HC II ) by the EO and DCDO on 16/06/2023</li> <li>• E&amp;S Monitoring Report for health projects in FY 2022/2023(construction of 2 stance pit latrine in Awaliwal HC II) by the EO and DCDO on 31/05/2023</li> <li>• E&amp;S Monitoring Report for health projects in FY 2022/2023(construction of 2 stance pit latrine in Awaliwal HC II) by the EO and DCDO on 19/06/2023</li> </ul>	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0</p>	<p>At the assessment time, payment certificates were availed as below;</p> <p>For the 4-stance pit latrine project in Awaliwal HCII, certificate and payment bounced so certificate was cancelled.</p> <p>For General ward at Ojom HCII, certificate dated 20th June 2023 with total amount UGX 103,947,984/= was seen on file. It was signed by both DEO and DCDO on 23rd June 2023.</p>	2

**Water & Environment Performance Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Water &amp; Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> <li>o 90 - 100%: score 2</li> <li>o 80-89%: score 1</li> <li>o Below 80%: 0</li> </ul>	<p>The District rural water source functionality as per the MWE MIS on 9th November 2023 was 86%.</p>	<b>1</b>
1	<p>Water &amp; Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water &amp; sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> <li>o 90 - 100%: score 2</li> <li>o 80-89%: score 1</li> <li>o Below 80%: 0</li> </ul>	<p>The District facilities with functional water &amp; sanitation committees as per the MWE MIS on 9th November 2023 was 90%.</p>	<b>2</b>
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;</p> <ul style="list-style-type: none"> <li>• Above 80%, score 2</li> <li>• 60% - 80%, score 1</li> <li>• Below 60%, score 0</li> </ul>	<p>Reviewed the LLGs performance assessment report for the FY 2023/2024 and the scores for sub counties converted to percentage for the water and environment indicators were as follows;</p> <p>Arapai s/c 50 %</p> <p>Asuret s/c 50%</p> <p>Gweri s/50 %</p> <p>Kamuda s/c 70%</p> <p>Katine s/c 70%</p> <p>Tubur s/c 70%</p> <p>Ocokican s/c 50%</p> <p>Aukot s/c 20 %</p> <p>Awaliwal s/c 50%</p> <p>Lalle s/c 70%</p> <p>Oculoi 70%</p> <p>The average score for the LG was 56%</p>	<b>0</b>

2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p>	<p>b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.</p>	<p>The district's average safe water coverage, as per the DWO MIS report for FY2021/22, was 86%. This report served as the foundation for budgetary allocation. The sub-counties listed below had a safe water coverage percentage that falls below the district's average figure; Gweri S/C 85%, Kamuda S/C 80%, Ocokican S/C 84%, Aukot S/C 87%, Awaliwal S/C 88% and Oculoi S/C 87%.</p>	1
	<p>Maximum 8 points on this performance measure</p>	<p>o If 100 % of water projects are implemented in the targeted S/Cs: Score 2</p>	<p>According to the FY2022/23 Budget and the approved AWP for FY2022/23 approved by the MoWE on 26th July 2022, the following projects were planned and implemented;</p>	
		<p>o If 80-99%: Score 1</p>	<p>7 hand pump boreholes were drilled each at a cost of 24,000,000 UGX in Kamuda s/c (1), Oculoi s/c (1), Lale s/c (1), Ocokican s/c (1), Awaliwal s/c (1), Aukot s/c (1) and Gweri s/c (1)</p>	
		<p>o If below 80 %: Score 0</p>	<p>A piped water systems construction Phase III in Oculoi s/c at 223,000,000UGX.</p>	
			<p>14 boreholes were rehabilitated each at a cost of 4,450,000 UGX in Kamuda s/c (3), Oculoi s/c (2), Lale s/c (1), Ocokican s/c (2), Awaliwal s/c (1), Aukot s/c (2) and Gweri s/c (1) and Acurot (2)</p>	
			<p>Budget for WSS infrastructure development = 14x4,450,000+223,000,000+ 7x24,500,000 = 456,800,000 UGX</p>	
			<p>The total fund used by the district for WSS infrastructure development in the sub counties with safe water coverage below district average = 5BH x 24,500,000+ Piped water (223,000,000)+ 9BH rehabs x 4,450,000 = 385,550,000 /=</p>	
			<p>Hence, The % of projects in Sub Counties with safe water coverage below the District average in previous year; = 385,550,00/456,800,000=84%=385,550,000/456,800,000 x 100=84%. This is between 80-99% of the scoring guideline in the manual.</p>	
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p>	<p>c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates</p>	<p>According to the 4th quarter progress report for FY 2022/2023 dated 19th July 2022, received by MWE on the 28th July 2022 there were only two WSS infrastructure development projects contracted;</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>o If within +/-20% score 2</p>	<p>1. Drilling of 7 hand pump boreholes, contract number SORO 627/WRKS/2022-2023/00008 was budgeted/estimated at 168,000,000 UGX. The contract document (Bill of quantity) showed that MS SKYLIGHT AFRICA LTD quoted at 166,538,120 UGX. The contract variation was +10%.</p>	
		<p>o If not score 0</p>	<p>2. Construction of piped water system Phase III in Oculoi s/c, contract number SORO 627/WRKS/2022-2023/00011 was budgeted/estimated at 223,000,000. The contract document (Bill of quantity) showed that MS SKYLIGHT AFRICA LTD quoted at 245,524884 UGX. The contract variation was -0.14%.</p>	
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p>	<p>d. % of WSS infrastructure projects completed as per annual work plan by end of FY.</p>	<p>From the District Water and Sanitation 4th quarter progress report for FY 2022/2023 dated 19th July 2023, received by the MWE on the 28th July 2023, all (100%) WSS infrastructure development projects implemented in the district were completed as per the AWP.</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>o If 100% projects completed: score 2</p>	<p>1. 7 hand pump boreholes were drilled each at a cost of 24,000,000 UGX in Kamuda s/c (1), Oculoi s/c (1), Lale s/c (1), Ocokican s/c (1), Awaliwal s/c (1), Aukot s/c (1) and Gweri s/c (1)</p>	
		<p>o If 80-99% projects completed: score 1</p>	<p>2. A piped water systems Phase III was constructed in Oculoi s/c at 223,000,000UGX.</p>	
		<p>o If projects completed are below 80%: 0</p>	<p>3. 14 boreholes were rehabilitated each at a cost of 4,450,000 UGX in Kamuda s/c (3), Oculoi s/c (2), Lale s/c (1), Ocokican s/c (2), Awaliwal s/c (1), Aukot s/c (2) and Gweri s/c (1) and Acurot (2)</p>	

3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <p>o If there is an increase: score 2</p> <p>o If no increase: score 0.</p>	<p>As per the sector (MoWE) MIS data, the % of rural water sources that are functional within Soroti District is FY2022/23 = 87% and FY2021/22 = 86%. Hence, an increase in functionality of water supply facilities of 1%</p>	2
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water &amp; sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <p>o If increase is more than 1% score 2</p> <p>o If increase is between 0-1%, score 1</p> <p>o If there is no increase : score 0.</p>	<p>As per the sector (MoWE) MIS data, the % of WSS facilities with functional water and sanitation committees in Soroti District was 90% for FY2022/23 and FY2021/22 was 89%. Hence, an increase of 1% was recorded in the functionality of water user committees.</p>	1

### Performance Reporting and Performance Improvement

4	<p>Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3</p>	<p>From the District Water and Sanitation 4th quarter progress report for FY 2022/2023 dated 19th July 2023, received by the MWE on 28th July 2023, the following constructed WSS facilities were sampled and visited to establish if they were completed, functional and in use;</p> <ol style="list-style-type: none"> <li>1. Ongongo hand pump borehole in Aukot s/c. The borehole was constructed by SKY LIGHT AFRICA LTD installed on 24th February 2023, DWD 74925. The water source was completed, functional and in use by the community.</li> <li>2. Olano B hand pump borehole in Ocoloi s/c. The water source was constructed by SKY LIGHT AFRICA LTD, installed on 22nd February 2023, DWD 74923. The water source was completed, functional and in use by the community.</li> <li>3. Adil hand pump borehole in Kamuda s/c. The water source was constructed by SKY LIGHT AFRICA LTD, installed on 26th February 2023, DWD 74927. The water source was completed, functional and in use by the community.</li> </ol>	3
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5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2</p>	<p>There was Form 4 used to collect and compile on quarterly bases information on the sub county water facilities (functionality, WSCs and population) which was also submitted with 4th quarter progress report for FY 2022/2023 dated 19th July 2023, received by the MWE on 28th July 2023.</p> <ul style="list-style-type: none"> <li>• In 1st quarter information were collected from 154 boreholes in Katine s/c.</li> <li>• In 2nd quarter information were collected from 188 boreholes in Asurets/c.</li> <li>• In 3rd quarter information were collected from 265 boreholes in Tubur and Arapai s/c.</li> <li>• In 4th quarter information were collected from 302 boreholes in Kamuda and Gweri s/c.</li> </ul>	2
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0</p>	<p>There were evidences that the DWO submitted Form 4 and Form 1 to the MWE for updating information on the water and sanitation in the District in the MIS;</p> <ul style="list-style-type: none"> <li>• Form 4 used to collect information on 909 old water sources from 1st to 4th quarters was submitted with the 4th quarter progress report for FY 2022/2023 dated 19th July 2023, received by the MWE on 28th July 2023.</li> <li>• Form 1 used to collect information on 7 newly constructed water sources by the district was submitted with the 4th quarter progress report for FY 2022/2023 dated 19th July 2023, received by the MWE on 28th July 2023.</li> <li>• Form 1 used to collect information on 8 newly constructed water sources by the development partners was submitted with the 3rd quarter progress report for FY 2022/2023 dated 10th April 2023, received by the MWE on 28th July 2023.</li> </ul>	3
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>According to the LLG water and environment performance assessment report FY2022/23, the following sub-counties are among the 25% lowest performing, Arapai S/C 50%, Asuret S/C 50%, Aukot S/C 20%, Awaliwal S/C 50%, Gweri S/C 50% and Ocokican S/C 50%. The assessor is reporting the overall performance of the LLGs.</p> <p>There was no evidence of PIPs developed. No Performance improvement reports at the time of assessment.</p>	0

## Human Resource Management and Development

6	<p>Budgeting for Water &amp; Sanitation and Environment &amp; Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water &amp; Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation &amp; hygiene); 1 Engineering Assistant (Water) &amp; 1 Borehole Maintenance Technician: Score 2</p>	<p>There was evidence that the DWO budgeted for critical positions in the department. According to the LG approved Budget Estimates VOTE 930, the wage budget was 193,069,000/= and this budget was for the 2 staff under water as shown below;</p> <ol style="list-style-type: none"> <li>1. Oriokot Alex (Civil Engineer Water)</li> <li>2. Okello Simon (Snr.Ass.Engineering Officer)</li> <li>3. Ocungu Denis (Snr. Engineer)</li> <li>4. Oula Cuthbert (Road Inspector)</li> </ol>	2
6	<p>Budgeting for Water &amp; Sanitation and Environment &amp; Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment &amp; Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>There was evidence that the Environment and Natural Resource Officer budgeted for staff in the department totalling to 168,201,000/= for the following;</p> <ol style="list-style-type: none"> <li>1. Adutu George Patrick Ejok (SEO)</li> <li>2. Akello Catherine (SLMO)</li> <li>3. Echengu Sam Peter (EO)</li> <li>4. Emeju Emmanuel (FO)</li> <li>5. Egwau Moses Paul (Physical Planner)</li> </ol>	2
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>There was no evidence that DWO appraised the District Water Office staff against the agreed performance plans during 2022/2023. Details of findings are as indicated below;</p> <ol style="list-style-type: none"> <li>1. Egomu Joseph (BHMT) was appraised on 1/7/2023</li> <li>2. Oriokot Alex (CE-Water) was not appraised</li> </ol>	0
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p>	<p>The Capacity Need Assessment (CAN) report was not presented. The DWO conducted the staff appraisal, however, there were no training plan, report and database to indicate that capacity needs were identified and actions were taken.</p>	0

**Management, Monitoring and Supervision of Services.**

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

*Maximum 6 points on this performance measure*

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
  - Arapai s/c 93.2 %
  - Asuret s/c 92%
  - Gweri s/c 85.8%
  - Kamuda s/c 81.1%
  - Katina s/c 93.8%
  - Tubur s/c 96.0%
  - Ocokcan s/c 87.2%
  - Aukot s/c 90.2 %
  - Awaliwal s/c 90.4%
  - Lalle s/c 94.8%
  - Oculoi 90.3%
  - Tubur TC 90.4%
- If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
- If 80-99%: Score 2
- If 60-79: Score 1
- If below 60 %: Score 0

Therefore, sub-counties with safe water coverage below the district average were Gweri, Kamuda, Katina, Ocokcan, Aukot and Oculoi.

Reviewed the District Water and Sanitation AWP for FY 2023/2024 dated 5th September 2023, approved by the MWE on 20th September 2023. The WSS infrastructure development projects budgeted for implementation in the district were as follows:

1. Drilling 17 hand pump boreholes at a total cost of 416,500,000 UGX in Kamuda s/c (3), Oculoi s/c (1), Lale s/c (1), Ocokcan s/c (2), Awaliwal s/c (1), Aukot s/c (1) and Gweri s/c (1), Arapai s/c (2), Katine s/c (2), Asuret s/c (1) and Tubur s/c (2).

2. Rehabilitation of 17 boreholes each at a total cost of 76,500,000 UGX in Kamuda s/c (2), Oculoi s/c (3), Lale s/c (1), Ocokcan s/c (1), Awaliwal s/c (2), Aukot s/c (1) and Gweri s/c (3), Katine s/c (2), Asuret s/c (1) and Tubur s/c (1).

3. Construction of Adamasko piped water scheme Phase IV in Oculoi s/c at 150,000,000 UGX.

4. Construction of piped water scheme in Acuret s/c at 49,000,000UGX.

The total fund used by the district for WSS infrastructure development was 692,000,000 UGX.

The total fund used by the district for WSS infrastructure development in the sub counties with safe water coverage below district average was Gweri (38,000,000 UGX), Kamuda (81,000,000 UGX), Katina (58,000,000 UGX), Ocokcan (53,500,000), Aukot (29,000,000) and Oculoi (188,000,000). Total was 447.500,000 UGX.

No MoU with development partners and their number of water sources allocations and costs were presented.

Therefore, % of water projects implemented by the district in the s/c with safe water coverage below the district average was 64.7%.



8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3</p>	<p>There was a letter written to SACAOs dated 6th July 2023 which indicated the number of allocations of water projects to sub-counties with their respective costs.</p> <p>There was also a minute of the district water and sanitation advocacy meeting held on the 7th September 2023 during which the issue of water project allocation for the FY 2023/2024 was discussed on page 6</p>	3
<p><i>Maximum 6 points on this performance measure</i></p>				
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)</p> <ul style="list-style-type: none"> <li>• If 95% and above of the WSS facilities monitored quarterly: score 4</li> <li>• If 80-94% of the WSS facilities monitored quarterly: score 2</li> <li>• If less than 80% of the WSS facilities monitored quarterly: Score 0</li> </ul>	<p>There was Form 4 used to monitor on quarterly bases information on the sub county water facilities (functionality, WSCs and population) which was also submitted with 4th quarter progress report for FY 2022/2023 dated 19th July 2023, received by the MWE on 28th July 2023. There was a total of 909 water sources.</p> <ul style="list-style-type: none"> <li>• In 1st quarter 154 boreholes were monitored in Katine s/c representing 16.9%.</li> <li>• In 2nd quarter 188 boreholes were monitored in Asurets/c representing 20.6%.</li> <li>• In 3rd quarter 265 boreholes were monitored in Tubur and Arapai s/c representing 29%.</li> <li>• In 4th quarter 302 boreholes were monitored in Kamuda and Gweri s/c representing 33%.</li> </ul>	0
<p><i>Maximum 8 points on this performance measure</i></p>				
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2</p>	<p>The following minutes indicated that the DWSCC meetings were conducted;</p> <ul style="list-style-type: none"> <li>• 1st quarter DWSCC meeting was held late on the 30th August 2022. Key issues identified from water sources monitoring were discussed under Min 6 (b) /DWSCCM/8/2022, page 5.</li> <li>• 2nd quarter DWSCC meeting was held late on the 26th October 2022. Key issues identified from water sources monitoring were discussed under Min 04/DWSCCM/10/2022, page 3.</li> <li>• 3rd quarter DWSCC meeting was held late on the 9th March 2023. Key issues identified from water sources monitoring were discussed under Min 3/DWSCCM/2023, page 3.</li> <li>• 4th quarter DWSCC meeting was held late on the 23rd June 2023. Key issues identified from water sources monitoring were discussed under Min 6/DWSCCM/2023, page 6.</li> </ul>	2
<p><i>Maximum 8 points on this performance measure</i></p>				

9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>	<p>Budget allocations to sub counties were displayed in the notice board of the district dated 6th July 2023. The allocations were as follows;</p> <ol style="list-style-type: none"> <li>1. Drilling 17 hand pump boreholes at a total cost of 416,500,000 UGX in Kamuda s/c (3), Oculoi s/c (1), Lale s/c (1), Ocokcan s/c (2), Awaliwal s/c (1), Aukot s/c (1) and Gweri s/c (1), Arapai s/c (2), Katine s/c (2), Asuret s/c (1) and Tubur s/c (2).</li> <li>2. Rehabilitation of 17 boreholes each at a total cost of 76,500,000 UGX in Kamuda s/c (2), Oculoi s/c (3), Lale s/c (1), Ocokcan s/c (1), Awaliwal s/c (2), Aukot s/c (1) and Gweri s/c (3), Katine s/c (2), Asuret s/c (1) and Tubur s/c (1).</li> <li>3. Construction of Adamasko piped water scheme Phase IV in Oculoi s/c at 150,000,000 UGX.</li> <li>4. Construction of piped water scheme in Acuret s/c at 49,000,000UGX</li> </ol>	2
10	<p>Mobilization for WSS is conducted</p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> <li>• If funds were allocated score 3</li> <li>• If not score 0</li> </ul>	<p>Reviewed approved AWP for FY 2022/2023 dated 5th August 2022, approved by the MWE on the 8th August 2022. The total allocation of NWR budget to rural water and sanitation was 74,384,571 UGX out from which allocation to facilitate community mobilisation activities was 33,424,511 UGX, presenting 44.9% which was above the required percentage of 40% by WSS grant guidelines.</p>	3
<p><i>Maximum 8 points on this performance measure</i></p>		<p><i>Maximum 6 points on this performance measure</i></p>		

Mobilization for WSS is conducted

*Maximum 6 points on this performance measure*

b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.

The was a training report dated 28th Nov 2022 presented by the District Water Officer which indicated that WSCs were trained on the O&M in the FY 2022/2023;

- Owali borehole in Ocokican s/c, WSC training was held on the 8th Novemeber 2022.
- Ogulai borehole in gweri s/c, WSC training was held on the 14th October 2022.
- Abiya borehole in Awaliwal s/c, WSC training was held on the 5th October 2022.
- Adil borehole in Kamuda s/c, WSC training was held on the 10th November 2022.
- Obar borehole in Lalle s/c, WSC training was held on the 18th October 2022.
- Ongongo borehole in Aukot s/c, WSC training was held on the 19th October 2022.
- Oland borehole in Kamuda s/c, WSC training was held on the 21st November 2022.

The following 3 water projects were visited to confirm if the training of the WSCs were conducted.

1. Adil borehole in Amudas/c. Found Auto John Bosco (Chairperson) and Abugo Hellen (Treasurer) who confirmed that the WSC was established and trained. Water user fee collection was 2000 UGX per month per household.
2. Olano B borehole in Oculoi s/c. Found Okech James (Secretary), Emou Daniel (Treasurer) and Aseu John (Caretaker) who confirmed that the WSC was established and trained. Water user fee collection was 1000 UGX per month per household.
3. Ongongo borehole in aukot s/c. Found Okello Moses (Chairperson), Obila Faustine (caretaker) and Eidu Stephen (Secretary) who confirmed that the WSC was established and trained. Water user fee collection was 1000 UGX per month per household

## Investment Management

11

Planning and Budgeting for Investments is conducted effectively

*Maximum 14 points on this performance measure*

a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:

Score 4 or else 0

The DWO presented Form 4 as water facilities asset register which was updated with information on the following newly constructed boreholes in the FY 2022/2023;

- Owali borehole in Ocokican s/c
- Ogulai borehole in gweri s/c
- Abiya borehole in Awaliwal s/c
- Adil borehole in Kamuda s/c
- Obar borehole in Lalle s/c
- Ongongo borehole in Aukot s/c
- Oland borehole in Kamuda s/c

Planning and Budgeting for Investments is conducted effectively

*Maximum 14 points on this performance measure*

Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDP/III) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:

Score 4 or else score 0.

The district water department implemented projects during FY 2022/2023 out of which a sample of two projects was selected. (i) Drilling of boreholes in Ogulia village in Gweri sub county budgeted for shs 24,000,000 as per page 53 of the district approved budget for FY 2022/2023. The project was captured in the AWP as well as the DDP III page 158. The desk and field appraisal reports were dated 10th May, 2022.

(ii) The Rural Growth Center project which was budgeted for shs 250,000,000 as reflected on page 53 of the AWP and approved district budget for FY 2022/2023. The project was captured on page 158 of the DDP III.

The desk and field appraisal reports were dated 10th May, 2022

Planning and Budgeting for Investments is conducted effectively

*Maximum 14 points on this performance measure*

c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2

The DWO presented community application forms for new water projects in the FY 2023/2024.

1. Application for a water source in Alero village in Lale s/c signed by the area LC1 on the 6th July 2023.
2. Application for a water source in Olocho village, Arapai s/c signed by the area LC1 on the 3rd July 2023.
3. Application for a water source in Amwolatar village, Kamuda s/c signed by the area LC1 on the 5th July 2023.
4. Application for a water source in Agulemaido village, Kamuda s/c signed by the area LC1 on the 5th July 2023.
5. Application for a water source in Ongoratok village, Kamuda s/c signed by the area LC1 on the 6th July 2023.
6. Application for a water source in Osinai village, Ocokcan s/c signed by the area LC1 on the 4th July 2023.
7. Application for a water source in Telamot village, Awaliwal s/c signed by the area LC1 on the 7th July 2023.
8. Application for a water source in Obule village, Asuret s/c signed by the area LC1 on the 17th July 2023.
9. Application for a water source in Ogolai village, Tubur s/c signed by the area LC1 on the 14th July 2023.
10. Application for a water source in Okoolai village, Aukot s/c signed by the area LC1 on the 8th July 2023.
11. Application for a water source in Abilipiin village, Katine s/c signed by the area LC1 on the 11th July 2023.
12. Application for a water source in Ogur village, Oculoi s/c signed by the area LC1 on the 10th July 2023.
13. Application for a water source in Opelel village, Asuret s/c signed by the area LC1 on the 15th July 2023.
14. Application for a water source in Anyalai village, Tubur s/c signed by the area LC1 on the 14th July 2023.
15. Application for a water source in Arusi village, Arapai s/c signed by the area LC1 on the 13th July 2023.
16. Application for a water source in Aputon village, Katine s/c signed by the area LC1 on the 12th July 2023.

Planning and Budgeting for Investments is conducted effectively

*Maximum 14 points on this performance measure*

d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2

The LG conducted the field appraisals for the FY 2023/2024 water and sanitation infrastructure projects including Sitting and drilling of 17 deep bore holes. Each borehole site was evaluated against the technical feasibility, Environmental and social acceptability as indicated in the respective field appraisal reports for each of the 17 boreholes dated 4/08/2023,3rd/08/2023, 24th/7/2023,21/7/2023,20/7/2023,25/7/2023,26/7/2023,28th/7/2023, 27th/7/2023 and among other field appraisal reports. Among the key issues captured in the report included; No land issues on the selected sites, community willingness to receive the project and contribute towards the borehole maintenance, project not causing household displacement, the project not tampering with the vegetation, project creating employment opportunities, the design being suitable for the site conditions and making use of the available local materials among other issues.

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>Soroti district had undertaken screenings and costed ESMPs for water projects. These were found on file as below;</p> <ol style="list-style-type: none"> <li>1. Sitting, drilling and construction of borehole at Agulia village, Gweri S/C. Screened on 13th September 2022; costed ESMP amounting to UGX 500,000/=</li> <li>2. Sitting, drilling and construction of borehole at Adil village, Kamuda S/C. Screened on 5th September 2022; costed ESMP amounting to UGX 500,000/=.</li> <li>3. Sitting, drilling and construction of borehole at Alano village, Oculoi S/C. Screened on 6th September 2022; ESMP amounting to UGX 500,000/=</li> <li>4. Installation of Adamasiko piped water supply scheme. Screened on 14th September 2022; ESMP amounting to UGX 400,000/=</li> </ol> <p>The above projects were all signed by both SEO and DCDO.</p>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>The water infrastructure investments for FY 2022/2023 were incorporated on excel sheet number 2 of the LG approved procurement plan and the projects included;</p> <p>Construction of the piped water supply and sanitation scheme and Sitting, drilling and installation of 7 deep boreholes.</p>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>All the water supply and public sanitation infrastructure projects for the FY2022/2023 including the phase III construction of a piped water supply and sanitation scheme for Adamasiko RGC and drilling and installation of 7 deep boreholes were approved by the Contracts Committee in the minute of the contracts committee dated 2nd/05/2023 under minute Min:903/CC/05/2022-2023 and the piped water scheme costing 245,524,884 was cleared by the solicitor general in the letter dated 23rd/11/2022.</p>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>The LG properly establish the project implementation team as per the PPDA guidelines was appointed on the team. The appointment letter by CAO dated 21st/11/2022 indicated that Mr.Oriekot Alex (DWO) was appointed as the contracts manager, Mr. Oriekot Alex aDistrict Engineer) as the project manager, Mr. Adutu George as the Environment officer, Mr. Acaya Margret as the DCDO, Mr. Esatu Moses as the Labour officer and Mr. Egonu Joseph appointed as the clerk of works</p>	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>The deep borehole visited in the field were fitted with Indian AOV U2 MODIFIED DEEP WELL HAND PUMP as specified. The following measurements were checked and conformed to the technical specifications; the Pump pad radius of 1.0m, the length of the drainage channel of 6.0m and the provision of a soak pit. The spout height was 9.0cm. The apron slab is 100mm as specified. Sometimes it is modified to incorporate cattle troughs.</p> <ul style="list-style-type: none"> <li>• Approved standard designs are being used for piped water extensions and new piped water systems. The designs are approved by the District Engineer for implementation</li> </ul>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2</p>	<p>The relevant technical officers carried out monthly technical supervision of WSS infrastructure projects as described in the following supervision/monitoring reports and/or minutes of site meetings:</p> <ul style="list-style-type: none"> <li>• Supervision report for the sitting,drilling and test pumping of 7 deep boreholes dated 8th/06/2023 with participation of DWO , Environment officer and DCDO.</li> <li>• Minutes of site meeting for launching of the construction of a piped water and sanitation scheme phase III at Adamasiko school dated 27th/01/2023</li> <li>• Report of settlement of land owner dated 17th/03/2023 at the borehole and piped water construction sites.</li> </ul>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts</p> <ul style="list-style-type: none"> <li>o If 100 % contracts paid on time: Score 2</li> <li>o If not score 0</li> </ul>	<p>The Water Department implemented projects during FY 2022/2023 out of which three projects were sampled. (i) Payment to Arise Blessed Destiny Enterprises for shs 28,872,600 as per payment voucher 6412702 dated 27th June, 2023 for supply of assorted borehole rehabilitation materials to the water office. Contract reference SORO 553/SUPPLY/2022 -2023/00035</p> <p>Initiation of payment was done by the DWO on 8th June, 2023 and on 13th June, 2023 the CFO, CAO, DE, CDO and Environment Officer signed the payment. (ii) Payment to Waterfix Engineering Uganda Ltd for shs 179,961,692 for phase three construction of piped water supply and sanitation scheme for Adamasiko village, Gweri sub county contract reference SORO 553/WRKS/2022 -2023/00011.</p> <p>Initiation was done by the DWO on 20th May, 2023 and on 26th June, 2023 the CFO, CAO, DE, CDO and Environment Officer signed the payment documents as required.</p> <p>(iii) Payment to Skylight Africa Ltd for shs 149,884,308 per payment voucher number 6416368 dated 27th June, 2023 for sitting, drilling and test pumping, casting and installation of seven boreholes. Contract reference SORO 553/WRKS/2022 -2023/00009.</p> <p>Initiation was done by the DWO on 8th June, 2023 and the CFO, CAO, DE, CDO and Environment Officer signed the payment documents on 13th June, 2023.</p>	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:</p>	<p>The LG had a complete procurement file for each water Infrastructure contract with all records as required by the PPDA Law as indicated below for the respective projects;</p> <p>Sitting, drilling and test pumping of 7 deep boreholes (Procurement Reference no. Soro553/Wrks/2022-2023/00009)</p> <ul style="list-style-type: none"> <li>• Evaluation report dated 11th/08/2022 with Skylight Africa as the best evaluated bidder at 166,538,123</li> <li>• Minutes of contracts committee decision dated 13th/9/2022 and works contract signed on 21/11/2022</li> </ul> <p>Phase III construction of the piped water supply and sanitation scheme for Adamasiko RGC (Procurement Reference no. Soro553/Wrks/2022-2023/00011)</p> <ul style="list-style-type: none"> <li>• Evaluation report dated 11/8/2022 with Waterfix Engineering as the best evaluated bidder at 245,524,884</li> </ul> <p>Minutes of contract committee decision dated 13th/08/2022 and evaluation report approval under minute (Procurement Reference no. Soro553/Wrks/2022-2023/00011)</p> <p>and works contract signed on 21st/11/2022</p> <p>Procurement of borehole spare parts (Procurement Reference no. Soro553/Wrks/2022-2023/00011)</p> <ul style="list-style-type: none"> <li>• Evaluation report dated 24/4/2023 with Icon projects Arise Blessed Destiny as the best evaluated bidder at 28,872,600</li> <li>• Minutes of contract committee decision dated 10th/05/2023 and works contract signed on 11th/05/2023.</li> </ul>	2
.		<p>Score 2, If not score 0</p>		

**Environment and Social Requirements**

13	<p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p>	<p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p>	<p>LG had recorded one grievance under water for the FY 2022/2023 in the Central Grievance Log as evidenced below;</p> <ul style="list-style-type: none"> <li>• Mr Ongwara John Robert wrote officially to the GRC on 14/02/2023 about the non-compensation of land where the water generator house was constructed for the RGC piped water in Adomasiko village and the GRC sat and directed the contractor to pay within 14 days since it was included in the BoQs and finally payment was made to the PAP by the contractor in the GRC meeting on 10/03/2023 under item 17 on page 4</li> </ul>	3
		<p>Score 3, If not score 0</p>		
14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source &amp; catchment protection and natural resource management to CDOs:</p>	<p>The EO disseminated guidelines on water source &amp; catchment protection and natural resource management to CDOs as evidenced by Item 4 (Presentation of Guidelines for water source supply) on the agenda on the first page and minute 4:09/2022 on unspecified page of the minutes for the staff performance review for FY 2022/2023 on 15/09/2022</p>	3
		<p>Score 3, If not score 0</p>		



15	Safeguards in the Delivery of Investments  <i>Maximum 10 points on this performance measure</i>	a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0	LG had prepared the water source protection plans and natural resource management plans for WSS facilities constructed in the FY 2022/2023 by the EO dated 22/03/2022	3
15	Safeguards in the Delivery of Investments  <i>Maximum 10 points on this performance measure</i>	b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:  Score 3, If not score 0	The following land agreements and MoUs for water sources constructed in the FY 2022/2023 were presented:  1. Land agreement for Olano borehole in Oculoi s/c signed by the land owner Ediau Wilson and LCI Etwomu Moses on 29th May 2023. 2. Land agreement for Obar borehole in Lale s/c signed by the land owner Abalo Modester and LCI Obedi Micheal on 14th May 2023 3. Land agreement for Adil borehole in Kamuda s/c signed by the land owner Abuga Hellen and LCI Engwedo Denis on 22nd May 2023. 4. Land agreement for Owali borehole in Ocokcan s/c signed by the land owner Aminu Justine and LCI Olobo samuel on 16th May 2023. 5. Land agreement for Abiya borehole in Awaliwal s/c signed by the land owner Akello Grace and LCI Okellem Jorem on 23rd May 2023. 6. Land agreement for Ongongo borehole in Aukot s/c signed by the land owner Arumo Elizabeth and LCI Eidu Faustine on 8th May 2023. 7. Land agreement for Ogulia borehole in Gweri s/c signed by the land owner Ogaju Joseph and LCI Edatu Leonard on 2nd May 2023.	3
15	Safeguards in the Delivery of Investments  <i>Maximum 10 points on this performance measure</i>	c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:  Score 2, If not score 0	E&S Certification form was completed and signed by the EO and DCDO prior to payments of contractors as evidenced by the E&S Certification form for the sitting, drilling, test pumping and Installation of 7 deep hand boreholes in 7 villages of Adil, Olano B, Obar, Owalai, Abia, Ongongo and Ogulia on 13/06/2023	2
15	Safeguards in the Delivery of Investments  <i>Maximum 10 points on this performance measure</i>	d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:  Score 2, If not score 0	The DCDO and EO undertook only one monthly monitoring of water projects in FY 2022/2023 as opposed to 3 as evidenced below;  • E&S Monitoring Report for the water sector projects in FY 2022/2023 by the EO and DCDO on 25/10/2023 • E&S Monitoring Report for the water sector projects in FY 2022/2023 by the EO and DCDO on 21/11/2023 • E&S Monitoring Report for the water sector projects in FY 2022/2023 by the EO and DCDO on 16/12/2023	2

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>The LG had up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries as evidenced by the report dated 28/Jun/2023 prepared by Okello Moses Echekeu-Ag. District Agricultural officer.</p> <p>For the FY 2022/23, Soroti district Local government was enrolled for the Micro scale irrigation program phase II. There was 23.5 acres of irrigated land for both beneficiaries and non-beneficiaries. The non-beneficiaries constituted to 20.5 acreage and the beneficiaries they constituted to 3 acreages as indicated in the data collection report dated 28/06/2023. Three demonstration sites were set out under micro scale irrigation grant beneficiary that is Kamuda Seed SS sized at 1 acre, Elasu Charles sized at 1 acre and Ecengu Simon Peter sized at 1</p>	2
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> <li>• By more than 5% score 2</li> <li>• Between 1% and 4% score 1</li> <li>• If no increase score 0</li> </ul>	<p>According to the reports on irrigated land by Okello Moses Echekeu-Ag. District Agricultural officer (written on 20/05/2022 and 28/06/2023), the District in the FY 2021/22 had 20 acres of irrigated land which increased to 23.5 acres in the FY 2022/23. Therefore, the increase in the irrigated land was</p> $((23.5 - 20)/23.5) \times 100 = 14.89\%$	2
2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> <li>• Above 70%, score 4</li> <li>• 60% - 70%, score 2</li> <li>• Below 60%, score 0</li> </ul>	<p>The LG's average score in the Production Services LLG performance assessment was indicates that in 2023, it was 82%.</p>	4
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0</p>	<p>The LG received a grant of 216,205,102 that was budgeted and used according to the set-out guidelines for districts under Phase II. Awareness raising for local leaders 10,467,000 (15%), Awareness raising for farmers 5,817,000(40%), Farm visits 5,884,000 (15%), Demonstration sites 86,530,603(30%) as evidenced from the Budget performance reports dated 17/08/2023. Funds worth UGX 107,506,499 was swept back to the treasury as 30/06/2023.</p>	2

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	There was no evidence that the approved farmers signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers since no evidence was availed at the time of assessment.	0
Maximum score 6				
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0	The Agricultural Engineer quoted 71,543,259 and the Supplier's Teso Crop Care Centre(T3C) Limited quote was 24,713,920/= Min No(916/CC/06/2022-2023:(b)) on 8/06/2023 procurement Ref No SORO553/WORKS/2022-2023/00031 For construction of an irrigation demo site at Mr Elasu Charles home in Tubur S/C and a quote of 49,427,840/= procurement Ref No SORO553/WORKS/2022-2023/00030 For construction of an irrigation demo sites in Kamuda Seed SS in Kamuda S/C and Mr. Ecengu Simons home in Asuret S/C Thus, the contract was within +/-20% of the Agriculture Engineers estimates.	1
Maximum score 6				
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY	According to the budget performance report dated 17th August 2023, there was evidence of UGX: 86,530,603 was spent on installation of three irrigation technology demonstration sites in Kamuda seed secondary school in Okamuda subcounty, Asuret and Tubur sub counties.	1
Maximum score 6		<ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• Between 80 - 99% score 1</li> <li>• Below 80% score 0</li> </ul>	<p>When we visited these sites, at Asuret Subcounty (Mr. Simon's demo site, was fully functional with all the three technologies of Drip, Sprinkler and drag hose irrigation systems installed, at Kamuda SSS, all the three irrigation technologies were installed, however the solar water pump was not installed despite it was supplied and delivered to the client (stored at Head teacher office) pending signing of MOU for the water source with the community and the contractor at the school to be able to share the water source.</p> <p>The third demo site was all so functional.</p>	
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	a) Evidence that the LG has recruited LLG extension workers as per staffing structure	There was no evidence that the LG recruited LLG extension workers as per the staffing structure. According to the approved structure, the LG required 18 and only recruited 15 extension workers translating to 83%	1
Maximum score 6		<ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• If 75 - 99% score 1</li> <li>• If below 75% score 0</li> </ul>		

Achievement of standards: The LG has met staffing and micro-scale irrigation standards

Maximum score 6

b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF

- If 100% score 2 or else score 0

There was evidence that the micro-scale irrigation equipment met standards as defined by MAAIF as evidenced on the inventory register of micro-scale irrigation equipment dated 28/06/2023.

1. Solar water pump of 1.25'' inlet and out let, TDH 30-40M, Qmax 2.7-3.5 M. Power 500-550 watts at host farms Kamuda Parents Seed SS, Mr Ecengu Simon's farm in Asuret S/C Obule parish Angorom Village and Mr Elasu Charles farm in Tubur S/C Aparisa parish.
2. Flexible hard sanction hose 7M, 1.25'' at host farms Kamuda Parents Seed SS, Mr Ecengu Simon's farm in Asuret S/C Obule parish Angorom Village and Mr Elasu Charles farm in Tubur S/C Aparisa parish.
3. Solar panel assembly of 2 panels 250-275 watts vol. max power 36.75-36.98 Vs at host farms Kamuda Parents Seed SS, Mr Ecengu Simon's farm in Asuret S/C Obule parish Angorom Village and Mr Elasu Charles farm in Tubur S/C Aparisa parish.
4. Solar power mounting structure of metallic structures strong to support panels at host farms Kamuda Parents Seed SS, Mr Ecengu Simon's farm in Asuret S/C Obule parish Angorom Village and Mr Elasu Charles farm in Tubur S/C Aparisa parish.
5. Storage tank of 5000ltrs HDPE Gentex tank at host farms Kamuda Parents Seed SS, Mr Ecengu Simon's farm in Asuret S/C Obule parish Angorom Village and Mr Elasu Charles farm in Tubur S/C Aparisa parish.
6. Plumbing fittings; valves, sockets, nozzles, MTA, FTA, tap, Tee, reducing bush, chips, unions, bends and adapters at host farms Kamuda Parents Seed SS, Mr Ecengu Simon's farm in Asuret S/C Obule parish Angorom Village and Mr Elasu Charles farm in Tubur S/C Aparisa parish.
7. 7M GI pipe DN 40mm at host farms Kamuda Parents Seed SS, Mr Ecengu Simon's farm in Asuret S/C Obule parish Angorom Village and Mr Elasu Charles farm in Tubur S/C Aparisa parish.
8. Water meter DN 40mm at host farms Kamuda Parents Seed SS, Mr Ecengu Simon's farm in Asuret S/C Obule parish Angorom Village and Mr Elasu Charles farm in Tubur S/C Aparisa parish.
9. Main delivery lines of 100, 50mm HDPE pipe PN6 at host farms Kamuda Parents Seed SS, Mr Ecengu Simon's farm in Asuret S/C Obule parish Angorom Village and Mr Elasu Charles farm in Tubur S/C Aparisa parish.
10. Sub main lines of 100, 40mm HDPE pipe at host farms Kamuda Parents Seed SS, Mr Ecengu Simon's farm in Asuret S/C Obule parish Angorom Village and Mr Elasu Charles farm in Tubur S/C Aparisa parish.

4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>b) Evidence that the installed micro-scale irrigation systems during last FY are functional</p> <ul style="list-style-type: none"> <li>• If 100% are functional score 2 or else score 0</li> </ul>	<p>From the 3 sampled irrigation facilities installed in FY2022/2023, it was evident that only two were functional, as both farmers systems were tested: Mr. Ecengu Simon's farm in Asuret S/C in Obule parish Angorom Village and Mr. Elasu Charles farm in Tubur S/C Aparisa parish.</p> <p>However, for the demo site in Kamuda Seed SS, the connection to the water source was still pending, despite all the equipment being either installed.</p>	0
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**Performance Reporting and Performance Improvement**

5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0</p>	<p>There was evidence that information on positions of extension workers filled was accurate as shown below;</p> <ol style="list-style-type: none"> <li>1. In Tubur TC, the approved structure provided for (AHO) and as per the staff list, this position had been filled by Epiangu Thomas. This same person was the Vet. Officer at Tubur Sub County.</li> <li>2. In Tubur SC, the approved structure provided for (VO) and as per the staff list, the position was occupied by Epiangu Thomas. This same officer was deployed at Tubur TC as Animal Husbandry Officer</li> <li>3. In Katine SC, the approved structure provided for (AAO) and as per the staff list, the position had been filled by Asau John Francis</li> </ol>	2
5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p>	<p>From the visit to the site by the assessment team on 17/11/2023 revealed that the information on demonstration site status was accurate for two demonstration sites.</p> <p>The host farmers Mr Ecengu Simon in Asuret S/C Obule parish Angorom Village and Mr Elasu Charles in Tubur S/C Aparisa parish were found irrigating.</p> <p>However, for the demo site in Kamuda Seed SS, it was still pending connection to the water source at the time of assessment.</p>	2
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>	<p>At the time of assessment, Ag DAO (Mr. Moses Okello Echeke) presented fourth quarter report dated 30th June 2023 under sub-heading "Irrigation demonstration site" and other activities' reports with dates that still fall within the fourth quarter period and he (Mr. Moses) explained verbally that all their activities for micro-scale irrigation grant was conducted in the fourth quarter release since they never received any money in quarter one, two and three.</p> <p>He farther showed evidence of money which was returned back to the national treasury as of 30/06/2023 as they received the money to implement activities late</p>	2

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	b) Evidence that the LG has entered up to-date LIG information into MIS: Score 1 or else 0	At the time of assessment visit, the Microscale irrigation focal person (Mr. Moses Logged in on 5th December 2023 and presented the dashboard with total number of awareness was 1,540, Expression of interest was 216 and the total farm visit was 9 and planned visit was 1 and the MIS system had up to date information as this numbers were representing different sub counties	1
	Maximum score 6			

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	c. Evidence that the LG has prepared a quarterly report using information compiled from LIGs in the MIS: Score 1 or else 0	At the time of visit, IVA was able to access quarter 4 quarterly report date 30th June 2023 which reflect on the farm visit on page 4 and the number achieved was 9 as observed in the Irritrack dashboard as evident that the LG uses the information from LIGs as in the Irritrack	1
	Maximum score 6			

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LIGs score 1 or else 0	There was no evidence that the LG developed an approved Performance Improvement Plan for the lowest performing LIGs.	0
	Maximum score 6			

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	ii. Implemented Performance Improvement Plan for lowest performing LIGs: Score 1 or else 0	There was no evidence that the LG prepared an implemented Performance Improvement Plan.	0
	Maximum score 6			

**Human Resource Management and Development**

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	At the time of assessment, the Ag. DAO, Mr. Moses, availed the approved budget estimates for 2023/24 for Vote: 930 Soroti district and the extension staffs' salaries were budgeted for according to the guidelines	1
	Maximum score 6			

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	ii Deployed extension workers as per guidelines score 1 or else 0	There was no evidence that the LG had budgeted for extension workers as per guidelines for the FY 2023/2024.	0
Maximum score 6				
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0	There was evidence that extension workers were working in the LLGs where they were deployed. For example;	0
Maximum score 6			4. In Tubur TC Epiangu Thomas was deployed as Animal Husbandry Officer and on 2/11/2023, he appeared for work at 9:30am.	
			5. In Tubur SC, Epiangu Thomas was deployed as Vet. Officer but in the attendance of 1st to 10th November 2023, he wasn't appearing anywhere and I think this was because of one officer being deployed even at Tubur TC	
			1. In Katine SC, Asau John Francis was deployed as (AAO) and in the attendance of 29/8/2022, he reported for duty at 8:40am	
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0	There was evidence that extension workers deployment had been published and disseminated to all notice boards of LLGs. However, they were not dated but stamped	2
Maximum score 6				

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has:</p> <p>i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0</p>	<p>There was no evidence that the DPO conducted appraisal for all extension workers against the agreed performance plans and submitted a copy to HRO. Below were the findings;</p> <ol style="list-style-type: none"> <li>1. Akello Regina (AO) of Aukot SC was not appraised</li> <li>2. Ejuku David Ambrose (AHO) of Asuret SC was appraised on 20/4/2023</li> <li>3. Okello Moses Echaku (AO) was not appraised</li> <li>4. Akiteng Vicky (AAO) of Arapai SC was not appraised</li> <li>5. Asau John Francis (AAO) of Katine SC was not appraised</li> <li>6. Epiangu Thomas (AHO) of Tubur was appraised on 30/6/2023</li> <li>7. Egasu Emmanuel (AHO) of Arapai Sc was appraised on 30/6/2023</li> <li>8. David Nathan (AHO) of Ockcan Sc was appraised on 30/6/2023</li> <li>9. Ilemat Christine (AO) Kamuda SC was not appraised</li> <li>10. Ben Eyomu (VO) of Katine SC was not appraised</li> </ol>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has;</p> <p>Taken corrective actions: Score 1 or else 0</p>	<p>There was no evidence of corrective action taken and no reason was given</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>b) Evidence that:</p> <p>i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0</p>	<p>Only one training report dated 4/05/2023 was evidenced and this included awareness creation for local leaders, training of LG staff in the Micro scale irrigation program and awareness of farmers.</p> <p>However, in the quarterly report Q4 dated 30/06/2023 on page 2 of 14 it indicated that trainings (staff implementing the Ugift MSI) conducted training in the different online modules.</p> <p>Furthermore, on page 3 of 14 of the Q4 report, awareness raising for local leaders at district level was conducted were 50 males and 25 females attended.</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>ii Evidence that training activities were documented in the training database: Score 1 or else 0</p>	<p>As observed on the MIS/ Irritrack, the training was documented. This was evidenced when Ag DAO logged in to the systems and shown evident with the training report in the MIS dashboard and the number of people trained including awareness training was 1,540 including the extension staffs trained on modules</p>	1



## Management, Monitoring and Supervision of Services.

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.  Maximum score 10	a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 - 75% capital development; and 25% complementary services): Score 2 or else 0	The LG received a grant of 216,205,102 that was budgeted and used according to the set-out guidelines for districts under Phase II. Awareness raising for local leaders 10,467,000 (15%), Awareness raising for farmers 5,817,000(40%), Farm visits 5,884,000 (15%), Demonstration sites 86,530,603(30%) as evidenced from the Budget performance reports dated 17/08/2023. Funds worth UGX 107,506,499 was swept back to the treasury as 30/06/2023.	2
9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.  Maximum score 10	b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0	There was no evidence that budget allocations had been made towards complementary services in line with the sector guidelines.	0
9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.  Maximum score 10	c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0	No evidence was availed to indicate that the co-funding was reflected in the LG budget.	0
9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.  Maximum score 10	d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0	No evidence was availed to indicate that the local government used farmer co-funding funds.	0
9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.  Maximum score 10	e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0	The LG disseminated information on use of the farmer co-funding as evidenced from the item 11 of the agenda page 1 for the minutes (Min 11/TPC/01/2023 report about the UGIFT) of the district technical planning committee meeting held on 23/01/2023 at the district council hall. Copies of the literature on UGIFT were produced and given to members for them to comprehend to the purpose of the fund. Highlights of the UGIFT were mentioned by the Agricultural officer (Focal person). Copies of farmers guide part 1,2 and 3 April 2023 were issued out to farmers during the farm visits and trainings held.	2

10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines  Maximum score 8	a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)  • If more than 90% of the micro-irrigation equipment monitored: Score 2  • 70-89% monitored score 1  Less than 70% score 0	The contract for supply and installation of the demo sites were signed on 5th June 2023 and the works commenced immediately and within the same month, on 12th June 2023, the Ag DAO was on site monitoring the activity progress as per the site books.  A monitoring report dated 29th June 2023 signed by the DPO was provided as more evidence and according to quarter four report dated 30th June 2023, indicated that the installation was all completed in June 2023 hence only one month for installation.	2
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines  Maximum score 8	b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0	The LG over saw technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period as evidenced in field monitoring reports dated 29/06/2023	2
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines  Maximum score 8	c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0	There was evidence that the LG had provided hands- on support to the LLG extension workers during the implementation of complementary services within the FY 2022/2023 as per guidelines according to the farm visit report dated 8/06/2023. Extension workers were trained on how to use the IRR- TRACK APP, filling and documentation, collection of EIO from farmers.	2
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines  Maximum score 8	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	No evident was provided	0
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.  Maximum score 4	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	The LG only conducted activities to mobilize farmers though radio talk shows as evidenced from reports on the radio talk show on UGIFT micro scale irrigation program on voice of Teso 88.4 FM radio on dated 20/06/2023, Report on radio talk show on Delta radio on 23/05/2023 and Report on radio talk show on E Top radio on 11/05/2023.	2
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.  Maximum score 4	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	The district trained staff and political leaders at District and LLG levels as evidenced in district level stakeholder's sensitization workshop report held at Akello hotel Soroti dated 4/05/2023 submitted to the CAO and attendance sheets dated 4/01/2023 and Q4 report dated 30/6/2023. At district level there were 50 males and 25 females.	2

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0</p>	<p>The LG had an up dated register of micro-scale irrigation equipment supplied to farmers in the FY 2022/2023 as per the format as evidenced from the inventory of irrigation equipment dated 28/06/2023.</p>	2
	<p>Maximum score 8</p>		<ol style="list-style-type: none"> <li>1. Solar water pump of 1.25'' inlet and out let, TDH 30-40M, Qmax 2.7-3.5 M. Power 500-550 watts at host farms Kamuda Parents Seed SS, Mr Ecengu Simon's farm in Asuret S/C Obule parish Angorom Village and Mr Elasu Charles farm in Tubur S/C Aparisa parish.</li> <li>2. Flexible hard sanction horse 7M, 1.25'' at host farms Kamuda Parents Seed SS, Mr Ecengu Simon's farm in Asuret S/C Obule parish Angorom Village and Mr Elasu Charles farm in Tubur S/C Aparisa parish.</li> <li>3. Solar panel assembly of 2 panels 250-275 watts vol. max power 36.75-36.98 Vs at host farms Kamuda Parents Seed SS, Mr Ecengu Simon's farm in Asuret S/C Obule parish Angorom Village and Mr Elasu Charles farm in Tubur S/C Aparisa parish.</li> <li>4. Solar power mounting structure of metallic structures strong to support panels at host farms Kamuda Parents Seed SS, Mr Ecengu Simon's farm in Asuret S/C Obule parish Angorom Village and Mr Elasu Charles farm in Tubur S/C Aparisa parish.</li> <li>5. Storage tank of 5000ltrs HDPE Gentex tank at host farms Kamuda Parents Seed SS, Mr Ecengu Simon's farm in Asuret S/C Obule parish Angorom Village and Mr Elasu Charles farm in Tubur S/C Aparisa parish.</li> <li>6. Plumbing fittings; valves, sockets, nozzles, MTA, FTA, tap, Tee, reducing bush, chips, unions, bends and adapters at host farms Kamuda Parents Seed SS, Mr Ecengu Simon's farm in Asuret S/C Obule parish Angorom Village and Mr Elasu Charles farm in Tubur S/C Aparisa parish.</li> <li>7. 7M GI pipe DN 40mm at host farms Kamuda Parents Seed SS, Mr Ecengu Simon's farm in Asuret S/C Obule parish Angorom Village and Mr Elasu Charles farm in Tubur S/C Aparisa parish.</li> <li>8. Water meter DN 40mm at host farms Kamuda Parents Seed SS, Mr Ecengu Simon's farm in Asuret S/C Obule parish Angorom Village and Mr Elasu Charles farm in Tubur S/C Aparisa parish.</li> <li>9. Main delivery lines of 100, 50mm HDPE pipe PN6 at host farms Kamuda Parents Seed SS, Mr Ecengu Simon's farm in Asuret S/C Obule parish Angorom Village and Mr Elasu Charles farm in Tubur S/C Aparisa parish.</li> <li>10. Sub main lines of 100, 40mm HDPE pipe at host farms Kamuda Parents Seed SS, Mr Ecengu Simon's farm in Asuret S/C Obule parish Angorom Village and Mr Elasu Charles farm in Tubur S/C Aparisa parish.</li> </ol>	
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p>	<p>Letter submitted by one of the farmers at LLG dated 2nd July 2022 and also others on 9th December 2022 and also data on the MIS/ Irritrack dashboard indicate an updated database</p>	2
	<p>Maximum score 8</p>			

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>Farm visits were carried out as evidenced by the farm visit reports dated 8/06/2023. 6 farm visits were conducted in the four sub counties, 1 from Katine, 1 in Tubur, 1 in Ochuloi and 3 from Aukot.</p> <p>From the farm visits a number of parameters were assessed including area to be irrigated, nature of water source, distance from the water source to the furthest point of the garden, agronomic practices equipment of preference and farmers cost share for the acquisition of the irrigation equipment.</p> <p>However, there was no evidence of farmers signing an Agreement to proceed for quotation form.</p>	2
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>There was no evidence that the LG District Agricultural Engineer publicised the eligible farmers that they have been approved for posting on the District and LLG notice boards</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>The micro-scale irrigation systems had not been incorporated in the LG approved procurement plan for the FY 2023/2024 as the LG had not yet received the Indicative planning Figure (IPF) for incorporation into the procurement plan</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>The LG through selective bidding contracted Teso Crop Care Centre for the supply and installation of two micro scale irrigation equipment for the demo in Kamuda seed secondary school and Home of Mr.Simon of Asuret Sub county (Soro553/Wrks/2022-2023/00030 at a total contract price of 49,427,840 but was not among the list of pre-qualified suppliers as per 2022/2023 list of pre-qualified suppliers.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0</p>	<p>The LG concluded the selection of the irrigation equipment supplier based on the set criteria. The procurement file had evaluation report dated 7th/06/2023 with Teso Crop Care Centre Ltd as the best evaluated bidder at 49,427,840 and Works contract signed on 12th/06/2023. The minutes of the contracts committee decision was dated 29th/05/2023.</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0</p>	<p>There was no evidence of approval of the micro-scale irrigation systems installed by the Contracts Committee. No minutes of the contracts committee was availed for review during assessment.</p>	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	The LG signed the contract with the lowest priced technically responsive irrigation equipment supplier as only one supplier turned up for the contract and that's Teso Crop Care Centre Ltd with a quotation of Ugx 49,427,840 and was evaluated at the best bidder at Ugx 49,427,840 for the two demonstration sites in Kamuda seed secondary school and Home of Mr.Simon of Asuret Sub county (Soro553/Wrks/2022-2023/00030)	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	f)Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	The micro-scale irrigation equipment installed was in line with the design output sheet (generated by irri Track App). The irrigation points were 7-10m apart and the drag horse 50m efficient for irrigation acreage coverage.	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0	Site books indicated that SAO, SEO& DPO concurrently visited the sites on different dates 5/9/2023,15/09/2023, 25/10/2023. supervision report dated 29/06/2023 filed by Okello Moses Echekeu (DAO), thus it was evidenced that the LG conducted regular technical supervision of the Micro-scale irrigation projects.	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	h) Evidence that the LG has overseen the irrigation equipment supplier during: i. Testing the functionality of the installed equipment: Score 1 or else 0	From supervision report dated 29/06/2023 filed by Okello Moses Echekeu (DAO), it was evidenced that the LG has oversaw the irrigation equipment supplier during testing the functionality of the installed equipment.  Furthermore, from Site books indicated that SAO, SEO& DPO concurrently visited the sites on different dates dated 5/9/2023,15/09/2023, 25/10/2023.	1
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	From supervision report dated 29/06/2023 filed by Okello Moses Echekeu (DAO), it was evidenced that the LG has oversaw the irrigation equipment supplier hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer)  Furthermore, from Site books indicated that SAO, SEO& DPO concurrently visited the sites on different dates dated 5/9/2023,15/09/2023, 25/10/2023.	1
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	The Local Government made payment of the supplier within specified timeframes evidenced from the request of payment dated 23/6/2023 and payment was made on 23/06/2023 Voucher No 6416549 EFT No 6416549.  However, there was no evidence of presence of the approved farmer since no acceptance form was signed.	2

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0</p>	<p>The LG had no complete procurement file for the supply and installation of the micro scale irrigation system as per the PPDA requirements. The procurement file had evaluation report dated 7th/06/2023 with Teso Crop Care Centre Ltd as the best evaluated bidder at 49,427,840 and Works contract signed on 12th/06/2023 but with no minutes of contract committee decisions.</p>	<b>0</b>
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**Environment and Social Safeguards**

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0</p>	<p>The LG did not display details of the nature and avenues to address grievance prominently in multiple public areas.</p>	<b>0</b>
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14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>i). Recorded score 1 or else 0</p> <p>ii). Investigated score 1 or else 0</p> <p>iii). Responded to score 1 or else 0</p> <p>iv). Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>The MSI grievance log book for 2022/2023 indicated that the LG had no grievances in the FY 2022/2023 and therefore there were no grievances to be investigated and responded to by the LG under Micro scale Irrigation.</p>	<b>1</b>
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14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>ii. Investigated score 1 or else 0</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>The MSI grievance log book for 2022/2023 indicated that the LG had no grievances in the FY 2022/2023 and therefore there were no grievances to be investigated and responded to by the LG under Micro scale Irrigation.</p>	<b>1</b>
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14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>The MSI grievance log book for 2022/2023 indicated that the LG had no grievances in the FY 2022/2023 and therefore there were no grievances to be investigated and responded to by the LG under Micro scale Irrigation.</p>	<b>1</b>
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14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>The MSI grievance log book for 2022/2023 indicated that the LG had no grievances in the FY 2022/2023 and therefore there were no grievances to be investigated and responded to by the LG under Micro scale Irrigation.</p>	<b>1</b>
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**Environment and Social Requirements**

15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.</p> <p>score 2 or else 0</p>	<p>The guidelines were in place and MOUs were signed between the LG and the host farmers. The LG disseminated these guidelines through the E&amp;S input distribution list for E&amp;S requirements guidelines, farmers brochure part 1&amp;2 dated April &amp; May/2023 where 11 members were inducted on the different farm visits as indicated in the report on farm visits dated 8/06/2023.</p>	<b>2</b>
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MOU was signed between Okoyo Richard for Kamuda Seed SS NIN CM82038102U24K and Enyaku James Michael on behalf of Soroti DLG on 7/7/2023.

MOU was signed between Elasu Charles NIN CM5605100715A and Enyaku James Michael on behalf of Soroti DLG on 11/8/2023.

MOU was signed between Ecengu Simon Peter and Enyaku James Michael on behalf of Soroti DLG on 7/7/2023.

Clauses to adhere with requirements for proper storage, use, transportation, and disposal of agro-chemicals and follow E&S recommendations were evidenced on page 1of 2 of the signed MOUs.

However, there was no evidence of land access without Encumbrance since no land tittle nor land agreement was availed at the time of assessment from the three host farmers.

15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.</p> <p>i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0</p>	<p>LG had prepared a costed ESMP for micro scale irrigation investments in FY 2022/2023 by the EO and DCDO as follows;</p> <ul style="list-style-type: none"> <li>• Costed ESMP of 850,000/= for the Supply and installation of irrigation demonstration equipment in Obule Ongorom Village at Echengu Simon Peter’s land on 16/09/2022</li> <li>• Costed ESMP of 850,000/= for the Supply and installation of irrigation demonstration equipment in Ogorai Village at Elasu Charles’s land on 12/09/2022</li> <li>• Costed ESMP of 850,000/= for the Supply and installation of irrigation demonstration equipment in Kamuda Seed Secondary School in Owoolo Village on 14/09/2022</li> </ul>	<b>1</b>
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15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	<p>LG had conducted monthly monitoring of micro scale irrigation investments in FY 2022/2023 as follows;</p> <ul style="list-style-type: none"> <li>• E&amp;S Monitoring Report for the micro scale irrigation demonstration site for at Echengu Simon Peter's land by the EO and DCDO on 19/06/2023</li> <li>• E&amp;S Monitoring Report for the micro scale irrigation demonstration site at Elasu Charles's land by the EO and DCDO on 20/06/2023</li> <li>• E&amp;S Monitoring Report for the micro scale irrigation demonstration site at Kamuda Seed Secondary School by the EO and DCDO on 19/06/2023</li> </ul>	<b>1</b>
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	<p>LG had Certification form completed and signed by EO for micro scale irrigation investments in FY 2022/2023 evidenced by the E&amp;S Certification form for the Supply and installation of irrigation demonstration equipment at Kamuda Seed Secondary School, Elasu Charles's land and Echengu Simon Peter's land on 13/07/2023</p>	<b>1</b>
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	<p>LG had Certification form completed and signed by DCDO for micro scale irrigation investments in FY 2022/2023 evidenced by the E&amp;S Certification form for the Supply and installation of irrigation demonstration equipment at Kamuda Seed Secondary School, Elasu Charles's land and Echengu Simon Peter's land on 13/07/2023</p>	<b>1</b>



**Crosscutting Minimum Conditions**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Human Resource Management and Development</b>				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	Mr. Edyangu Thomas was substantively appointed as CFO as per the letter of appointment dated 5/11/2019 under Min No. 83/10/2019	<b>3</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	The position was not substantively filled at the time of assessment. However, Oboi Richard was assigned duties of District Planner by CAO on 25/6/2015. There was no secondment	<b>0</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	The position was not substantively filled. However, Oriekot Alex currently working as Civil Eng. Water was assigned by CAO to undertake duties of District Engineer on 6/6/2022. There was no secondment	<b>0</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	The position was substantively filled but Adutu George Ejok Patrick currently working as Senior Environment Officer was assigned duties of DNRO by CAO on 28/10/2022. There was no secondment	<b>0</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	The position was not substantively filled but Mr. Enyaku James Micheal was assigned duties of DPO by CAO on 8/4/2021. There was no secondment	<b>0</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	Ms. Acaya Margaret Emuria was substantively appointed as DCDO as per the appointment letter dated 1/8/2008 under Min No. 18/2008	<b>3</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	The position was not substantively filled but Opolot Joy Christine was assigned duties of DCO by CAO on 3/9/2020. There was no secondment	<b>0</b>

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	Mr. Ewena Haggai was substantively appointed as SPO as per the letter of appointment dated 3/12/2018 under Min No. 104/11/2018 (ii)	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	Isenyi Beatrice was substantively appointed as PO as per the letter of appointment dated 5/11/2019 under Min No. 81/10/2019	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	Mr. Okanya Simon Peter was substantively appointed as PHRO as per the letter of appointment dated 13/7/2012 under Min No. 33/2012 (i)	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	Mr. Adutu George Ejok Patrick was substantively appointed as SEO on 12/3/2018 under Min No.20/03/2018	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	k. Senior Land Management Officer /Physical Planner, score 2 or else 0	Mr. Egau Paul Moses was the Physical Planner appointed on 2/5/2007 under Min No. 16/2007	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	l. A Senior Accountant, score 2 or else 0	Mr. Omutia David was substantively appointed as SA as per the appointment letter dated 3/12/2018 under Min No. 104/11/2018 (i)	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0	Mr. Okello Micheal was substantively appointed as PIA as per the appointment letter dated 3/12/2018 under Min No. 106/11/2018 (i)	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0	Mr. Okwatum Moses Emoisat was substantively appointed as PHRO – DSC on 10/12/2012 under Min No. 58/2012 (2)	2

New\_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGs, score 5 or else 0 (Consider the customized structure).

The LG had 12 LLGs and not all were substantively filled.

1. Kara Ronie Aloysius was assigned duties of SAS for Arapai SC by CAO on 11/6/2021
2. Omagor John was assigned duties of SACAO for Asuret SC on 6/9/2021
3. Ebale Herbert was substantively appointed as SAS for Tubur TC on 19/8/2020 under Min No. 55/07/2020 (ii)
4. Apetu Julius Henry was substantively appointed as SACAO for Katine SC on 19/8/2020 under Min No. 55/07/2020 (i)
5. Alobo Tom Vincent was substantively appointed as SACAO for Gweri SC on 5/5/2021 under Min No. 29/04/2021 (29.1)
6. Agetu Samuel Alexander was assigned duties of SACAO Kamuda Sc On 1/8/2018
7. Elunyu Stephen was assigned duties of SACAO for Oculoi SC on 11/6/2021
8. Otuba Paul was assigned duties of SACAO for Tubur SC on 27/4/2016
9. Malinga Cornelius was assigned duties of SACAO for Ockcan SC on 18/11/2020
10. Okiror John Micheal was assigned duties of SACAO for Awaliwal SC on 11/9/2023
11. Ainya Stella was assigned duties of SACAO for Aekot SC on 31/8/2023
12. Wakwesa Emmanuel was assigned duties of SACAO for Lalle SC on 29/5/2023

New\_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

The LG had 12 CDOs and not all were substantively appointed.

1. Ewalu Emmanuel Peter was assigned duties of CDO for Tubur SC on 14/9/2023
2. Adungo Paul was assigned duties of CDO for Tubur TC on 11/9/2023
3. Madudu Grace Mirriam was substantively appointed as CDO for Arapai SC on 5/9/2022 under Min No. 88/10/2021 (2)
4. Akurut Juliet was assigned duties of CDO for Aukot SC On 21/7/2021
5. Akurutjuliet was substantively appointed as CDO for Gweri On 21/6/2012 under Min No. 16/2012 (3)
6. Aguti Sarah was substantively appointed as CDO for Asuret SC on 3/12/2018 under Min No. 99/11/2018 (ii)
7. Ocen James was assigned duties of CDO for Ockocan Sc on 26/5/2023
8. ApolotEverlyne was assigned duties of CDO for Lalle Sc on 14/9/2023
9. Ejupu Patrick was substantively appointed as CDO for Kamuda SC on 2/11/2021 under Min No. 88/10/2021 (3)
10. Ayoto Eunice was substantively appointed as CDO for Katine SC on 5/9/2022 under Min No. 88/10/2021 (2)
11. Egiru Enock was assigned duties of CDO for Awaliwal SC on 21/12/2022
12. ArongatBabra was assigned duties of CDO for Oculoi SC on 14/9/2023

New\_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

The LG had 13 Accounts Assistants and all were substantively appointed.

1. Opiding Thomas was substantively appointed AA for Arapai Sc on 5/5/2021 under Min No. 27/04/2021 (4.10)

2. Eyanu Julius was substantively appointed AA for Gweri SC on 21/6/2012 under Min No. 16/2012 (2)

3. Odiit Anthony was substantively appointed SAA forKatine SC 5/5/2021 under Min No. 27/04/2021 (4.3)

4. Emadit Gertrude was substantively appointed as AA for Kamuda SC on 21/6/2012 under Min No. 16/2012 (4)

5. AbiaFionay was substantively appointed as AA for Awaliwal SC 5/5/2021 under Min No. 27/04/2021 (4.8)

6. EmoduJuventine was substantively appointed as SAA for Ockcan SC 5/5/2021 under Min No. 27/04/2021 (4.2)

7. Emaju Anthony Noel was substantively appointed as AA for Tubur SC 5/5/2021 under Min No. 27/04/2021 (4.9)

8. Okello Emmanuel was substantively appointed as SAA for Tubur TC on 5/5/2021 under Min No. 27/04/2021 (4.4)

9. Okwalinga Gilbert was substantively appointed as SAA for Asuret on 5/5/2021 under Min No. 27/04/2021 (4.5)

10. Ochabal Stephen was substantively appointed as AA Aukot SC on 1/7/2003 under Min No. 15/12/2003

11. Etonu Robert was substantively appointed as AA for Tubur TC on 18/7/2005 under Min No. 55/2005

12. Oluka George was substantively appointed as AA for Arapai SC on 21/6/2012 under Min No. 16/2012 (i)

13. Emolu Julius who was substantively appointed as AA for Oculoi SC had his file missing and couldn't confirm his appointment

### Environment and Social Requirements

Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.

Maximum score is 4

If the LG has released 100% of funds allocated in the previous FY to:

a. Natural Resources department,

score 2 or else 0

The Final Accounts indicated that in FY2022/23, the budget for Soroti DLG was UGX 814,866,265 towards the Natural Resources department, while the actual was UGX 778,638,674, which translates into 96%.

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.  Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to:  b. Community Based Services department.  score 2 or else 0.	The Final Accounts indicated that in FY2022/23, the budget for Soroti DLG for Community Based Services was UGX 363,463,644, while the actual was UGX 233,364,200 which translates into 64%..	0
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.  Maximum score is 12	a. If the LG has carried out Environmental, Social and Climate Change screening,  score 4 or else 0	N/A because the LG did not execute any DDEG infrastructure project in FY 2022/2023	4
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.  Maximum score is 12	b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),  score 4 or 0	N/A because the LG did not execute any DDEG infrastructure project in FY 2022/2023	4
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.  Maximum score is 12	c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;  score 4 or 0	N/A because the LG did not execute any DDEG infrastructure project in FY 2022/2023	4

### Financial management and reporting

5	Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.  Maximum score is 10	If a LG has a clean audit opinion, score 10;  If a LG has a qualified audit opinion, score 5  If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0	Soroti DLG had unqualified Auditor General's opinion in FY 2022/2023 according to Auditor General's report released in January 2024	10
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6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p>	<p>The DLG provided information to the PS/ST in respect of the status of implementation of the Auditor General findings in respect of FY 2021/2022 through CAO's letter dated 14th April, 2023 under reference AB 288/497/01;. The letter was submitted beyond the stipulated tom frame i.e by end of February, 2023. The letter was copied to the PS MOLG, RDC and Chairman of the DLG.</p>	0
	<p>maximum score is 10</p>	<p>score 10 or else 0.</p>	<p>There were nine queries that were raised and not all of them had been cleared at the time of the assessment. Secondly, the CAO's letter was submitted after the end of February, 2023.</p>	
			<p>Further more, the DLG provided information to the PS/ST in respect of the status of implementation of the Internal Auditor General findings in respect of FY 2021/2022 through CAO's letter dated 21st November, 2022 under reference CR/251/2. The letter was copied to the PS MOLG and the MOFPED acknowledged receipt of the CAO's letter on 1st December, 2022. Twelve queries were raised by the IAG and most of them had been cleared at the time of the assessment.</p>	
7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p>	<p>The Annual Performance Contract for FY 2023/2024 was submitted through CAO's letter dated 8th August, 2023 under reference BPD 86/107. The letter was copied to PS OPM, PS MOLG, RDC, and chairperson of the DLG. PS OPM, PS MOLG and MOFPED acknowledged receipt of the CAO's submission on 14th August, 2023.</p>	4
	<p>Maximum Score 4</p>	<p>score 4 or else 0.</p>		
8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p>	<p>The Annual Performance Report for FY 2022/2023 was submitted by the DLG through the PBS system on 14th August, 2023 within the prescribed time frame as required. The Annual Performance Report was approved by MOFPED on 8th August, 2023.</p>	4
	<p>maximum score 4 or else 0</p>	<p>score 4 or else 0.</p>		
9	<p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p>	<p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p>	<p>The DLG submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of FY2022/2023 by August 31st of the current FY 22023/2024. Submission of the quarterly reports was done through the PBS system as detailed below:</p>	4
	<p>Maximum score is 4</p>	<p>score 4 or else 0.</p>	<p>Quarter One on 26th October, 2022;          Quarter Two on 24th January, 2023;          Quarter Three on 27th April, 2023;          Quarter Four on 24th August, 2023.</p>	

**Education Minimum  
Conditions**

No. Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>			
<p>1</p> <p>New Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.</p> <p><i>The Maximum Score of 70</i></p>	<p>a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0</p>	<p>Mr. Oede James was substantively appointed as DEO as per the letter of appointment dated 5/11/2019 under Min No. 84/10/2019</p>	<b>30</b>
<p>1</p> <p>New Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.</p> <p><i>The Maximum Score of 70</i></p>	<p>b) All District/Municipal Inspector of Schools, score 40 or else 0.</p>	<p>1. Mr. Emoru Anango Simon was substantively appointment as senior inspector of schools as per the letter of appointment dated 6/5/2015 under Min No. 23/04/2015</p> <p>2. Ms. Ecimu Loyce Mildred was substantively appointed as Inspector of schools as per the appointment letter dated 5/11/2019 under Min No. 81/10/2019</p>	<b>40</b>
<b>Environment and Social Requirements</b>			
<p>2</p> <p>Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>The Maximum score is 30</p>	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>LG had carried out Environmental, Social and Climate Change Screening of all education projects prior to commencement of all civil works for FY 2022/2023 as evidenced below;</p> <ul style="list-style-type: none"> <li>• E&amp;S Screening form for the construction of a 5 stance pit drainable pit latrine in Angai P/S by the EO and DCDO on 11/07/2022</li> <li>• E&amp;S Screening form for the construction of 2 in 1 staff house at Amusia P/S by the EO and DCDO on 04/07/2022</li> <li>• E&amp;S Screening form for the construction of 2 classroom block with office space at Akolodong P/S by the EO and DCDO on 05/07/2022</li> <li>• E&amp;S Screening form for the construction of Kamuda Seed School by the EO and DCDO on 11/08/2020</li> </ul>	<b>15</b>
<p>2</p> <p>Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>The Maximum score is 30</p>	<p>If the LG carried out:</p> <p>b. Social Impact Assessments (ESIAs), score 15 or else 0.</p>	<p>Certificate of approval of the Environmental and Social Impact Assessment for the proposed construction of Kamuda Seed School under the Inter-Government Fiscal Transfer Program (UGIFT), Certificate No. NEMA/EIA/17071 issued on 17/04/2023</p>	<b>15</b>



**Health Minimum Conditions**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Human Resource Management and Development</b>				
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.	Dr. Okadhi Charles was substantively appointed as DHO as per the appointment letter dated 20/6/2011 under Min No. 37/2011 (I)	<b>10</b>
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0	Ms. Anaso Rosemary was substantively appointed as ADHO Maternal as per the appointment letter dated 3/12/2018 under Min No. 106/11/2018 (iii)	<b>10</b>
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	c. Assistant District Health Officer Environmental Health, score 10 or else 0.	Mr. Eyaru Martins was substantively appointed as ADHO Environmental Health as per the appointment letter dated 20/6/2011 under Min No. 37/2011 (i)	<b>10</b>
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.	The position was not substantively filled at the time of assessment. However, Ekodu Emmanuel was assigned duties of PHI by CAO on 1/7/2014	<b>0</b>
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	e. Senior Health Educator, score 10 or else 0.	Mr. Oriokot William was substantively appointed as SHE as per the appointment letter dated 11/6/2020 under Min No. 99/11/2018 (iii)	<b>10</b>
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	f. Biostatistician, score 10 or 0.	Mr. Edonyu Alfred was substantively appointed as Biostatistician as per the appointment letter dated 3/12/2018 under Min No. 91/11/2018 (1)	<b>10</b>
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	g. District Cold Chain Technician, score 10 or else 0.	Mr. Omutos Edward was substantively appointed as DCCT as per the letter of appointment dated 10/10/2007 under Min No. 59/2007	<b>10</b>

1 New\_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions. h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.

*Applicable to MCs only.*

*Maximum score is 70*

1 New\_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions. i. Principal Health Inspector, score 20 or else 0.

*Applicable to MCs only.*

*Maximum score is 70*

1 New\_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions. j. Health Educator, score 20 or else 0

*Applicable to MCs only.*

*Maximum score is 70*

### **Environment and Social Requirements**

2 Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs) If the LG carried out: a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0. LG had carried out Environmental, Social and Climate Change of the only 02 Health projects for FY 2022/2023 as evidenced below; • E&S Screening form for the construction of General Ward and Maternity Ward at Ojom HC II by the EO and DCDO on 04/08/2022 • E&S Screening form for the construction of 2 stance pit latrine in Awaliwal HC II by the EO and DCDO on 03/08/2022

**15**

2 Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs) b. Social Impact Assessments (ESIAs) , score 15 or else 0. The only health project for FY 2022/2023 did not require ESIA's given their small scope according to the NEA No. 05 of 2019

**15**

*Maximum score is 30*

**Micro-scale Irrigation Minimum  
Conditions**

No. Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>			
1 New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation  <i>Maximum score is 70</i>	If the LG has recruited;  a. the Senior Agriculture Engineer  <i>score 70 or else 0.</i>	The position was found vacant due to lack of wage	<b>0</b>
<b>Environment and Social Requirements</b>			
2 New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.  <i>Maximum score is 30</i>	If the LG:  Carried out Environmental, Social and Climate Change screening score 30 or else 0.	LG had carried out Environmental, Social and Climate Change screening of the micro scale irrigation investments for FY 2022/2023 as evidenced below;  • Supply and installation of irrigation demonstration equipment in Obule Ongorom Village at Echengu Simon Peter's land on 16/09/2022  • Supply and installation of irrigation demonstration equipment in Ogorai Village at Elasu Charles's land on 12/09/2022  • Supply and installation of irrigation demonstration equipment at Kamuda Seed Secondary School in Owolo Village on 14/09/2022	<b>30</b>

**Water & Environment Minimum Conditions**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Human Resource Management and Development</b>				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	Mr. Oriekot Alex was substantively appointed as CE -Water as per the appointment letter dated 12/11/2020 under Min No. 97/10/2020 (I)	<b>15</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	The position was not on the approved staff structure but Mr. Wakwesa Samuel had been assigned duties of AWO by CAO on 1/7/2023	<b>10</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	Mr. Egomu Joseph was appointed on contract for 24 months as BHMT as per the appointment on contract letter dated 12/5/2022 under Min No. Wks/5/24/3/2022	<b>10</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	The position was not on the approved staff structure but they had a DNRO position occupied by Aduku George Ejok Patrick in acting capacity as per the letter of assignment dated 28/10/2022. There was no secondment	<b>0</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	Mr. Echengu Sam Peter was substantively appointed as EO as per the appointment letter dated 2/6/2022 under Min No. 18/06/2022 (18.2.1)	<b>10</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	f. Forestry Officer, score 10 or else 0.	Mr. Emeju Emmanuel was substantively appointed as FO as per the appointment letter dated 17/10/2018 under Min No. 74/10/2018	<b>10</b>
<b>Environment and Social Requirements</b>				
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	If the LG: a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.	LG had carried out Environmental, Social and Climate Change screening as follows; <ul style="list-style-type: none"><li>• E&amp;S Screening form for the sitting, drilling and construction of a borehole at Agulia village by the EO and DCDO on 13/09/2022</li><li>• E&amp;S Screening form for the sitting, drilling and construction of a borehole at Adil village by the EO and DCDO on 08/09/2022</li><li>• E&amp;S Screening form for Adamasiko piped water supply scheme by the EO and DCDO on 14/09/2022</li><li>• E&amp;S Screening form for the sitting, drilling and construction of a borehole at Alano Bi village by the EO and DCDO on 06/09/2022</li></ul>	<b>10</b>

2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.	All water projects that were executed in FY 2022/2023 did not require ESIAs given their small scope according to the NEA No. 5 of 2019	<b>10</b>
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.	<p><b>DRILLING PERMIT</b></p> <p>Drilling permit number KAM45/DP03031/2021/RR issued to SKYLIGHT AFRICA LTD was used for drilling boreholes in the FY 2022/2023. The permit was valid for a period of 1-year Fri 1st July 2021 to Fri 30 June 2022. It was expired but the company attached a request for renewal of the drilling permit dated 7th June 2022, received by the DWRM on 8th July 2022.</p> <p><b>ABSTRACTION PERMIT;</b></p> <p>There was no piped water system completed and required abstraction permit in the FY 2022/2023 to the district.</p>	<b>10</b>