



LGMSD 2022/23

Rubirizi District

(Vote Code: 602)

Assessment	Scores
Crosscutting Minimum Conditions	90%
Education Minimum Conditions	100%
Health Minimum Conditions	90%
Water & Environment Minimum Conditions	80%
Micro-scale Irrigation Minimum Conditions	100%
Crosscutting Performance Measures	82%
Educational Performance Measures	76%
Health Performance Measures	67%
Water & Environment Performance Measures	92%
Micro-scale Irrigation Performance Measures	83%

**Crosscutting
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): If so: Score 4 or else 0 	<p>The infrastructure projects implemented using DDEG funding in FY2022/23 were observed to be functional and utilized as per the purpose of the project and design.</p> <p>Evidence</p> <p>Planned, completed and functional DDEG infrastructure projects</p> <ol style="list-style-type: none"> Construction of VIP Latrine at the District Headquarters for UGX 30,613,203. Works completed on 21 July 2023. Construction of VIP Latrine at Nyakarambi Primary School for UGX 30,000,000. Works completed on 2 May 2023. 	4
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> By more than 5%, score 3 1 to 5% increase, score 2 If no increase, score 0 <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>The LG's average score in the overall LLG performance assessment for 2023 improved by 22% compared to LLG performance assessment for 2022.</p> <p>Evidence</p> <p>OPAMS Data Generated by OPM</p> <p>Average Overall LLGPA Scores for 2023= 90%</p> <p>Average Overall LLGPA Scores for 2022= 68%</p> <p>Calculation</p> <p>Variance Average Overall LLGPA (2023-2022)= 90-68= 22%</p>	3

2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> • If 100% the projects were completed : Score 3 • If 80-99%: Score 2 • If below 80%: 0 	<p>A review of the Annual Budget and Annual Budget Performance Report for FY2022/23 revealed that the LG implemented and completed all planned DDEG Projects for FY2022/23 at 100%.</p> <p>Evidence</p> <p>Planned and completed projects</p> <ol style="list-style-type: none"> 1. Construction of VIP Latrine at the District Headquarters for UGX 30,613,203 at 100% level of completion 2. Construction of VIP Latrine at Nyakarambi Primary School for UGX 30,000,000 at 100% level of completion. <p>Calculation</p> <p>Budgeted Amount for 2 projects UGX +30,613,203+30,000,000) = UGX 60,613,203</p> <p>Weighted Budget Amount (30,613,203*100%)+(30,000,000*100%) = UGX 60,613,203</p> <p>Total Weighted/Annual Budget*100%= 60,613,203/60,613,203*100= 100%</p>	3
3	<p>Investment Performance</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:</p> <p>Score 2 or else score 0.</p>	<p>A review of LG's Budget Estimates FY2022/23 and Annual Budget Performance Reports FY2023 revealed that the LG did not budget and spend all DDEG funds on eligible projects/activities as per DDEG grant, budget, and implementation guidelines.</p> <p>Evidence</p> <p>Annual Budget Performance Report FY2022/23</p> <p>Total District Discretionary Equalization Development Grant UGX 259,490,000</p> <p>DDEG Allocations</p> <p>DDEG allocations to LLGs was UGX 144,328,193</p> <p>DDEG allocation to HLG was UGX 115,161,807</p> <p>HLG DDEG allocated to Infrastructure Projects (i.e. 55% less than the minimum 70%)</p> <ul style="list-style-type: none"> • Construction of VIP Latrine at the District Headquarters for UGX 30,613,203. i.e. 27% • Construction of VIP Latrine at Nyakarambi Primary School for UGX 30,000,000 i.e. 26% • Furniture and Fixtures Assorted Furniture Headquarters UGX 2,000,000 i.e 2% 	0

Investment
Performance

Maximum 4 points on
this performance
measure

b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates, score 2 or else score 0

The LG had only **one (01) fully funded DDEG** infrastructure project, that is, the construction of a 5-stance VIP latrine at the district HQs. The contract agreement was availed and had a contract sum of UGX 28,283,420/=, contract signed on 22/12/2022. The procurement plan dated 27/07/2022 was received by PPDA on 1/08/2022 and had an engineer's estimate of UGX 30,613,203/=. This represented a variation of -7.610% of the LG Engineer's estimate.

Note: DDEG contributed only UGX 3,000,000/= to the construction of VIP Latrine at Nyakarambi Primary School according to the procurement plan dated 27/07/2022 and received by PPDA on 1/08/2022.

Performance Reporting and Performance Improvement

Accuracy of reported information

Maximum 4 points on this Performance Measure

a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate,

score 2 or else score 0

A review of the MoPS approved and adopted staff structure providing for minimum staffing standards and the staff list 2023 the information on positions filled in LLGs and notice board displays at LLGs revealed that the district did not have accurate information as seen in the sampled LLGs(e.g. Kicwamba SC) below thereby not meeting the scoring threshold.

Kirugu SC

Out of the six extension staff positions provided for, in post was only three staff as per establishment status provided by the HRM division and three staff members, namely Anyijuka Osbert - Assistant Veterinary Officer, Mugisha Richard Guma-Assistant Fisheries Officer, Ahimibisibwe Jerom Ronalds - Agricultural Officer were found in the production department records deployed at the SC.

Kicwamba SC

Out of the six extension staff positions provided for, in post was only two staff as per establishment status provided by the HRM division while three staff members, namely Kabangira Isaiah-Agricultural Officer, Twijikuye Innocent - Assistant Veterinary Officer, Katushabe Emmanuel -Vermin Hunter, were found in the production department records deployed at the SC.

Ryeru SC

Out of the six extension staff positions provided for, in post was only three staff as per establishment status provided by the HRM division while three staff members, namely Kasingye Mubaraka-Agricultural Officer, Nuwagira Bosco - Assistant Veterinary Officer, Arianitwe Augustine- Assistant Fisheries Officer, were found in the production department records deployed at the SC.

4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> • If 100 % in place: Score 2, else score 0. <p>Note: if there are no reports produced to review: Score 0</p>	<p>The LG provided evidence confirming that progress reports of infrastructure projects implemented using DDEG funding in FY2022/23 were produced and reflect actual level of completion.</p> <p>Evidence</p> <ol style="list-style-type: none"> 1. Report Report for the Construction of VIP Latrine at the District Headquarters dated 22 June 2023. 2. Progress Report for the Construction of VIP Latrine at Nyakarambi Primary School dated 19 June 2023. 	2
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> <p>score 4 or else 0</p> <p>NB: The Source is the OPAMS Data Generated by OPM.</p>	<p>The LG conducted a credible assessment of LLGs for 2023 as verified by the IVA team during the National Local Government Performance Assessment Exercise.</p> <p>Evidence</p> <p>Sampled LLGS</p> <ol style="list-style-type: none"> 1. Kichwamba SC - DLG score was 93% and IVA score was 96%. The deviation was +3% i.e. Credible 2. Kirugu SC - DLG score was 87% and IVA score was 91%. The deviation was +4% i.e. Credible 3. Katerera SC - DLG score was 85% and IVA score was 89%. The deviation was +4% i.e. Credible 4. Katerera TC - DLG score was 88% and IVA score was 88%. The deviation was 0% i.e. Credible 	4
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	<p>Rubirizi DLG developed a performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results as seen by the Capacity Buidling Plan for Rubirizi DLG 2022/223 dated 5th July 2022.</p>	2
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence of implementation of the plans provided at thew time of assessment.</p>	0

Human Resource Management and Development

6	Budgeting for and actual recruitment and deployment of staff Maximum 2 points on this Performance Measure	a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.	Rubirizi DLG consolidated and submitted the staffing requirements for the coming FY to the MoPS detailing wage, pension, gratuities and recruitment plan to MOPS as seen by a letter from the CAO dated 29th September 2023 without evidence of receipt and an additional email sent by the PHRO to MOPS dated 30th September 2023 at 11: 21 am.	2
		Score 2 or else score 0		
7	Performance management Maximum 5 points on this Performance Measure	a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):	Rubirizi DLG conducted a tracking and analysis of staff attendance as evidenced by the following reports to the CAO by the HRO (Katushabe Phiona) as shown below. 1. June 2023 dated 4th July 2023 2. May 2023 dated 14th June 2023 3. April 2023 dated 4th May 2023 4. March 2023 dated 4th April 2023 5. February 2023 dated 2nd March 2023 6. January 2023 dated 2nd February 2023 7. December 2022 dated 4th January 2023 8. November 2022 dated 1st December 2022. 9. September 2022 dated 3rd October 2022 10. August 2022 dated 9th September 2022 11. July 2022 dated 13th August 2022.	2
		Score 2 or else score 0		

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p>	<p>Rubirizi DLG conducted the appraisals of all HoDs as shown hereunder.</p> <p>1. Chief Finance Officer- Tibamwenda Sarah. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 30th June 2023.</p> <p>3. Ag. District Engineer - Tumuhairwe Karlvin Bruce. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report dated 30th June 2023.</p> <p>4. District Natural Resources Officer- Turyamwesimira John. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report dated 30th June 2023.</p> <p>5. District Production Officer- Turyamwesimira John. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report dated 30th June 2023.</p> <p>6. District Community Development Officer- Turyamwesimira John. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report dated 30th June 2023.</p> <p>7. District Commercial Officer - Twebaze Crescensio. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report dated 30th June 2023.</p>	1
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to "a" above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>Rubirizi DLG implemented administrative rewards and sanctions evidenced by the Committee in place and seen in appointment letters of its membership e.g. appointment of Katushabe Phiona - HRO as a member in a letter dated 3rd August 2023. The committee was found to be functional as seen in the minutes of a meeting held on 12th June 2023 and a letter of resolution of the rewards and sanctions committee to the CAO by the Chairman (Mugizi Obed) dated 12th June 2023. The resolution amongst others under Min No. 29/2023(a) resolved that the Senior Labour Officer be submitted to the DSC for disciplinary action.</p>	1

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>Rubirizi DLG established a Consultative Committee (CC) for staff grievance redress which is functional as evidenced by appointments of its membership e.g. Nalwoga Asha, ULGWU representative dated 6th June 2022. The committee was found to be functional as evidenced by invitation to meeting dated 23rd September 2022 and the subsequent minutes of the COnsultative Commiutte meeting held on 5th October 2022</p>	1
8	<p>Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:</p> <p>Score 1.</p>	<p>Rubirizi DLG recruited five staff and two staff did not access the payroll within the stipulated time during the year under review as shown hereunder.</p> <ol style="list-style-type: none"> 1. Mbonye Mark Levis -DCDO, appointed 15th November 2022, accessed payroll in December 2022. 2. Amuhairwe Lordwine -Veterinary Officer, appointed 5th October 2022, accessed payroll in December 2022. 3. Tumwine Yonah -Vector Control Officer, appointed 5th October 2022, accessed payroll in December 2022. 4. Mucunguzi Abel -Assistant Engineering Officer, appointed 19th September 2022, accessed payroll in December 2022. 5. Agumeneitwe John Bosco - Machine Operator, appointed 19th September 2022, accessed payroll in December 2022. 	0
9	<p>Pension Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:</p> <p>Score 1.</p>	<p>Rubirizi DLG had eight staff members retiring during the year under review and accessed the payroll as shown hereunder.</p> <ol style="list-style-type: none"> 1. Est. Tumwine Bearnard.W., retired 1st September 2022, accessed payroll in October 2022. 2. Mwesigwa Polly, retired 1st October 2022, accessed payroll in November 2022. 3. Murwani Abdul, retired 1st September 2022, accessed payroll in October 2022. 4. Kobusingye Florence, retired 1st September 2022, accessed payroll in October 2022. 5. kabiite Siragi, retired 1st September 2022, accessed payroll in October 2022. 6. Namara Didacus, retired 1st September 2022, accessed payroll in October 2022. 7. Reuben Rwamahe, retired 1st September 2022, accessed payroll in October 2022. 8. Bigiirwaruhanga Vincent, retired 22nd April 2023, accessed payroll in June 2023. 	1

Management, Monitoring and Supervision of Services.

10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:</p> <p>Score 2 or else score 0</p>	<p>A review of the FY2022/23 Annual Budget Estimates and LG Cost Centre List & LLG allocation release for FY2022/23 provided by MoFPED revealed that the LG released DDEG transfers for FY2022/23 to LLGs in full.</p> <p>Evidence</p> <p>Katanda Subcounty – LG Budgeted and Released UGX 22,120,013</p> <p>Katerera Subcounty – LG Budgeted and Released UGX 14,238,419</p> <p>Katerera Town Council – LG Budgeted and Released UGX 16,357,036</p> <p>Katunguru Subcounty – LG Budgeted and Released UGX 7,698,373</p> <p>Kichwamba Subcounty – LG Budgeted and Released UGX 20,107,691</p> <p>Kirugu Subcounty – LG Budgeted and Released UGX 14,993,040</p> <p>Kyabakara Subcounty – LG Budgeted and Released UGX 16,334,588</p> <p>Magambo Subcounty – LG Budgeted and Released UGX 15,160,733</p> <p>Rubirizi Town Council – LG Budgeted and Released UGX 15,144,237</p> <p>Rutoto Subcounty – LG Budgeted and Released UGX 16,837,668</p> <p>Ryeru Subcounty – LG Budgeted and Released UGX 16,837,668</p>
10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).</p> <p>Score: 2 or else score 0</p>	<p>A review of PBS timestamps from MoFPED of LG warrant submissions revealed that in the FY2022/23, the LG warranted LLG Direct DDEG transfers more than 5 working days after cash limits were communicated by the PS/ST.</p> <p>Evidence</p> <p>Q2 FY2022/23. Cash limit communication on 30 Sept 2022. LG warranted on 12 October 2022 i.e. 5+ working days</p> <p>Q3 FY2022/23. Cash limit communication on 29 Dec 2022. LG warranted on 9 January 2023 i.e. 5+ working days</p> <p>Note: cash limits uploaded in the PBS by MoFPED were not accessible.</p>

10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:</p> <p>Score 2 or else score 0</p>	<p>Rubirizi DLG provided evidence of invoicing and warranting for the Q2 and Q3 for the year under review as 12th October 2022 and 19th January 2023. LG did not have evidence of correspondence from the CAO to the LLGs on DDEG releases. The time threshold could therefore not be ascertained.</p>	0
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>The LG provided evidence confirming that all LLGs were mentored at least once per quarter in FY2022/23 consistent with guidelines.</p> <p>Evidence</p> <p>Q1 FY2022/23</p> <p>A training Report on the Integration of Population Issues into Development Planning dated 24 August 2022. Training took place on 15 August 2022 targeting Sub County Chiefs and Community Development Officers to integrate population issues into Development Plan. i.e.</p> <ul style="list-style-type: none"> • Integration of Population issues in the Development Plan • Integration of population issues into developing planning and sub-county development plan <p>Q2 FY2022/23</p> <p>A training report on monitoring indicators to Lower Local Governments 2nd Quarter 2022/23 dated 17 November 2022 targeting Sub-county Chiefs.</p> <p>Q3 FY2022/23</p> <p>Report on the training/orientation of Lower Local Governments/Dissemination of DDEG Guidelines for 2023/24. Training held at the District Headquarters on 10 March 2023.</p> <p>Objectives</p> <ul style="list-style-type: none"> • Resource mobilization for financing service delivery • Explain to participants on the basis guidelines on planning, procurement, budgeting, and financial accountability • Dissemination of IPFs for 2023/24FY and DDEG guidelines for 2023/24FY <p>Q4 FY2022/23</p> <p>Lower Local Governments Training Report on Sustainable Development Goals dated 13 June 2023 targeting Sub County Chiefs, Parish Chiefs, and other Officers.</p>	2

11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>	<p>The LG provided evidence confirming that the TPC discussed the results/reports of support supervision and monitoring visits for FY2022/23 and used these results/reports to make recommendations for corrective actions.</p> <p>Evidence</p> <p>Q1 - Q2 FY2022/23.</p> <p>Minutes of the District Technical Planning Committee Meeting held on 12 January 2023 at the District Headquarters Council Hall. RUBI/TPC/MIN 155/ 2023: Presentation of 1st and 2nd Quarter LLG Monitoring Reports for FY2022/23. i.e.</p> <ul style="list-style-type: none"> • LLGs don't have IT Equipment- TPC recommended that LLGs should prioritize budgeting for computers • Government Lands untitled- TPC recommended LLGs prioritize titling of land. Another meeting was scheduled on 23 Jan 2023 to discuss matters surrounding the titling of Rutoto SC HQ land. <p>Q3 - Q4 FY2022/23</p> <p>Minutes of the District Technical Planning Committee meeting held on 15 August 2023 at the District Head Quarters Council Hall. RUBI/TPC/MIN/208/2023: Presentation and Discussion of Monitoring Reports for LLGs for 3rd Quarter and 4th Quarter.</p>
----	---	---	--

Investment Management

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</p>	<p>A review of the Fixed Assets Register revealed that the LG maintained an up-to-date Fixed Asset Register at the time of Assessment.</p> <p>Evidence</p> <p>Asset Additions in Draft Financial Statements Page 36.</p> <ul style="list-style-type: none"> • Motor Vehicles UGX 22,499,999 • Motorcycles and Bicycles UGX 43,500,000 • Laboratory & Research Equipment UGX 3,000,000 • Furniture and Fittings UGX 11,999,990. <p>Fixed Asset Register's last entries</p> <ul style="list-style-type: none"> • Medical Equipment last entry on 15 July 2023 • Motorcycles last entry on 13 June 2023 • Furniture last entry on 7 June 2023
----	---	---	--

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>The LG presented the Board of Survey FY2021/22 at the time of assessment. However, no actions were taken on the recommendations therein regarding asset management decisions.</p> <p>Evidence</p> <p>Board of Survey (BoS) FY2021/22 Recommendations</p> <ul style="list-style-type: none"> • Need to establish Departmental Asset Registers and ensure that they are regularly updated. • All Government Assets mainly Furniture and ICT Equipment should be engraved • Board off all assets in poor conditions as previously recommended by BoS • Renovate staff houses at Rugazi HCIV as earlier recommended • Unserviceable assets in the Departments should be put in the District stores for proper management • Implement previous BoS recommendations • Plastic plates in the stores should be disposed off or donated to the needy in the District. <p>Findings of BoS FY2022/23 Dated 21 August 2023. Received by Accountant General 28 August 2023.</p> <ul style="list-style-type: none"> • District Departments have not updated asset registers • All the previous recommendations of the BoS FY2021/22 were not implemented • Old/unserviceable assets like computers were not handed over to District Stores • The plastic plates recommended for disposal or donation in BoS FY2021/22 were in the stores
----	---	---	---

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>The LG provided evidence confirming that the Physical Planning Committee was functional and submitted at least a set of minutes of the Physical Planning Committee meetings held in each quarter of FY2022/23 to MoLHUD.</p> <p>Evidence</p> <p>Appointment of Members- CR/214/26</p> <ol style="list-style-type: none"> 1. Mr. Mubanganzi Kizito- District Health Officer appointed on 31 Janaury 2021 2. Mr Tinkamanyire Jonan- District Phyiscal Planner appointed on 31 Jan 2017 3. Ms. Ninsiima Elizabeth- Physical Planner in Private Practice appointed on 20 Oct 2020 4. Mr. Tumuhairwe K. Bruce- District Roads 	2
----	---	--	--	---

Engineer appointed on 27 March 2019

5. Mr. Twinemanzi Andrew- District Staff Surveyor appointed on 27 March 2019

6. Mr. Barigye Didas - District Agricultural Officer appointed on 27 March 2019

7. Ms. Murungi Ritah - District Natural Resources Officer appointed on 27 March 2019

8. Mr. Agaba Patriot Aggrey- District Senior Environment Officer appointed on 27 March 2019

9. Mr. Nyerinde Frank - District Water Engineer appointed on 27 March 2019

10. Mr. Biiro Steven - District Education Officer appointed on 27 March 2019

11. Mr. Nuwagaba Dalius - District Surveyor appointed on 21 February 2023

12. Mr. Mugizi Obed Town - Clerk Rubirizi Town Council appointed on 23 August 2023

13. Mr. Mahesi John- Town Clerk- Katerera Town Council appointed on 23 August 2023.

Submissions of Minutes to MOLHUD Mbarara MZO

Q1 FY2022/23. The meeting was held on 28 September 2022 at the District Council Hall and submission of the minutes to MoLHUD was made on 30 October 2022.

Q2 FY2022/23. The meeting was held on 19 December 2022 at District Natural Resources Office and submission of the minutes to MoLHUD was made on 30 January 2023

Q3 FY2022/23. The meeting was held on 03 March 2023 at the District Natural Resources Office and submission of the minutes to MoLHUD was made on 30 March 2023.

Q4 FY2022/23. The meeting was held on 27 June 2023 at the District Council Hall and submission of the minutes to MoLHUD was made on 30 June 2023.

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d. For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>The LG provided evidence confirming that desk appraisals were conducted for all DDEG financed projects in the budget FY2023/24, prioritized projects were derived from the LG DP III and are eligible for expenditure as per sector guidelines and funding source.</p> <p>Evidence</p> <ul style="list-style-type: none"> • Construction of VIP Latrine at Nyakashara Village was derived from Page VIII DP III. Desk appraisal completed on 14 September 2022. • Page VIII DP III- Titling of District Land in Butoha and Nyabubare Parish was derived from Page VIII DP III. Desk appraisal completed on 14 September 2022. • Page VIII DP III- Construction of VIP Latrine at Nyakarambi Primary School was derived from Page VIII DP III. Desk appraisal completed on 14 September 2022. 	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>The LG provided evidence confirming that field appraisals for DDEG-financed projects implemented in FY2022/23 were conducted to check for technical feasibility, environmental and social acceptability, and customized designs.</p> <p>Evidence</p> <ul style="list-style-type: none"> • Field appraisal for the construction of VIP Latrine at Nyakashara Village was completed on 19 September 2022. • Field appraisal for the titling of District Land in Butoha and Nyabubare Parish was completed on 19 September 2022. • Field appraisal for the construction of VIP Latrine at Nyakarambi Primary School was completed on 19 September 2022. 	2

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>The LG provided evidence confirming that the TPC discussed project profiles developed with costing for all investments in the AWP for FY2023/24 as per the LG planning and DDEG guidelines.</p> <p>Evidence</p> <p>Minutes of the District Technical Planning Committee meeting held on 15 March 2023 at the District Headquarters Council Hall.</p> <p>RUBI/TPC/ MIN 170/2023: Presentation of Project Profiles by Heads of Departments</p> <ul style="list-style-type: none"> • Construction of 5 Stance VIP Latrines- (Kyabakara and Buzenga Primary School)- Estimated Cost UGX 58,863,996 • Construction of Classroom Blocks at Mikonebiri Primary School- Estimated Cost UGX 120,000,000 • Renovation of staff quarters at Rugazi HCIV- Estimated Cost UGX 26,000,000. 	1
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that Rubirizi LG had screened for environmental and social risks/impact and put mitigation measures before being approved for construction for the DDEG-funded project for the current FY;</p> <p>Fencing of Kicwamba HC III was screened on 21/08/ 2023 with mitigation measures costed at UGX: 1,820,000.</p>	2
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>There was evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG-approved procurement plan, that is, fencing of Kichwamba HC III (construction of a chainlink perimeter fence) at UGX 70,000,000/=. The procurement plan was approved by the council (08/08/2023) and by the PPDA (14/08/2023).</p>	1

13

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0

There was evidence that the bidding documents and contract for the construction of a 5-stance VIP latrine at the district HQs for the previous FY DDEG-funded infrastructure projects were approved before commencement.

Specifically,

>>> The bidding documents (procurement process) were approved on 5/10/2022 under minute number 20/CC/22/23.

>>> The contract was approved by the contracts committee on 29/11/2022 under minute 48/CC/22/23.

Note: The LG had only one (01) DDEG-funded infrastructure project in the previous FY.

<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:</p> <p>Score 1 or else 0</p>	<p>There was evidence the LG properly established the Project Implementation team as specified in the sector guidelines.</p> <p><i>For health infrastructure projects,</i></p> <p>>>> In the letters (6No. with the same reference number CR156/6/1) signed and stamped by the CAO, dated 24/11/2022, the CAO appointed the Contracts Manager (district engineer), Project Manager (DHO), Labour officer, Community Development Officer, Environment Officer, and Clerk of Works as the Project Implementation Team (PIT) members.</p> <p><i>For education infrastructure projects,</i></p> <p>>>> In the letters (6No. with the same reference number CR156/6/1) signed and stamped by the CAO, dated 22/12/2022, the CAO appointed the Contracts Manager (district engineer), Project Manager (DEO), Labour officer, Community Development Officer, Environment Officer, and Clerk of Works as the Project Implementation Team (PIT) members for health infrastructure projects.</p> <p><i>For Water and sanitation infrastructure projects</i></p> <p>>>> In the letters (5No. with the same reference number CR156/6/1) signed and stamped by the CAO, dated 22/12/2022, the CAO appointed the Contracts Manager (district engineer), Project Manager (DEO), Labour officer, Community Development Officer, and Environment Officer as the Project Implementation Team (PIT) members for health infrastructure projects.</p> <p><i>For the DDEG-funded infrastructure/administration projects,</i></p> <p>>>> In the letters (5No. with the same reference number CR156/6/1) signed and stamped by the CAO, dated 22/12/2022, the CAO appointed the Contracts Manager (district engineer), Project Manager (DEO), Labour officer, Community Development Officer, and Environment Officers as the Project Implementation Team (PIT) members for education infrastructure projects.</p> <p>Note: The district had one (01) DDEG-funded infrastructure (construction of 5-stance VIP latrine at district HQs)</p>
---	---	--

13

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer:

Score 1 or else score 0

The 5-stance VIP latrine at the district HQs, DDEG-funded infrastructure followed the standard technical designs provided by the LG Engineer and did not exhibit defects at the time of assessment. The project was inspected and found functional without any defect.

1

Procurement, contract management/execution
Maximum 8 points on this Performance Measure

e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY.
Score 2 or else score 0

There was evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Whether the technical officers (DE, environmental officer, CDO) have supervised each project (site meetings with contractors) prior to verification and certification of works.

>>> Construction of a 5-stance VIP latrine at Kanyanshande P/S; Contractor: M/S Resala Engineering World Limited. Four (04) site meetings with the contractor were held on 8/02/2023, 7/03/2023, 8/05/2023, and 10/06/2023. The attendance lists were provided showing that all technical team members attended and issued instructions. Specifically, supervision report dated 27/02/2023 shows the technical team (CDO, Environment officer, and Engineer) supervised the projects attended site meeting number 1 of 8/02/2023. All payment certificates were verified and certified following technical supervision. For example, certificate number 1, dated 20/06/2023 with amount UGX 31,197,650/= was certified after the last site meeting was held.

>>> Construction of a staff house at Kyabakara HC III (UgIFT); Contractor: M/S Rimston and Mark Projects Limited. Four (04) site meetings with the contractor were held on 9/01/2023, 29/03/2023, 24/05/2023, and 14/06/2023. The attendance lists were provided showing that all technical team members attended and issued instructions. Specifically, supervision report dated 21/06/2023 shows the technical team (CDO, Environment officer, and Engineer) supervised the projects attended site meeting number 4 of 14/06/2023. All payment certificates were verified and certified following technical supervision. For example, certificate number 2, dated 23/06/2023 with amount UGX 89,442,115/= was certified after the last site meeting was held. The project is 100% completed.

>>> Construction of a general ward at Kichwamba HC III; Contractor: M/S Mutala Works Enterprises (U) Limited. Three (03) site meetings were held on 15/12/2022, 12/01/2023, and 25/02/2023. The attendance lists were provided showing that all technical team members attended and issued instructions. Specifically, supervision report dated 21/06/2023 shows the technical team (CDO, Environment officer, and Engineer) supervised the projects attended site meeting number 4 of 14/06/2023. All payment certificates were verified and certified following technical supervision. For example, certificate number 2, dated 23/06/2023 with amount UGX 89,442,115/= was certified after the last site meeting was held. The project is 100% completed.

<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):</p> <p>Score 1 or else score 0</p>	<p>Evidence availed showed that there was compliance with payment timelines.</p> <p>Three (03) invoices and payment certificates were reviewed:</p> <p>>>> Construction of a general ward at Kichwamba HC III; Contractor: M/S Mutala Works Enterprises (U) Limited. Specifically, supervision report dated 01/03/2023 shows the technical team (CDO, Environment officer, and Engineer) supervised the project and attended site meetings. All payment certificates were verified and certified following technical supervision. Specifically, certificate number 1 (amount UGX 110,092,972/=), the contractor invoiced on 19/05/2023, certificate was approved on 7/06/2023, and contractor received payment on 20/06/2023. This was within agreed timelines.</p> <p>>>> Construction of a staff house at Kyabakara HC III (UgIFT); Contractor: M/S M/S Rimston and Mark Projects Limited. Specifically, supervision report dated 21/06/2023 shows the technical team (CDO, Environment officer, and Engineer) supervised the project and attended site meetings. All payment certificates were verified and certified following technical supervision. Specifically, certificate number 2 (amount UGX 89,442,115/=), the contractor invoiced on 15/06/2023, certificate was approved on 23/06/2023, and contractor received payment on 27/06/2023. This was within agreed timelines.</p> <p>>>> Construction of a 5-stance VIP latrine at Kanyanshande P/S; Contractor: M/S Resala Engineering World Limited. Specifically, supervision report dated 27/02/2023 shows the technical team (CDO, Environment officer, and Engineer) supervised the projects attended site meeting number 1 of 8/02/2023. All payment certificates were verified and certified following technical supervision. Specifically, certificate number 1 (amount UGX 31,197,650/=), the contractor invoiced on 19/06/2023, certificate was approved on 21/06/2023, and contractor received payment on 23/06/2023. This was within agreed timelines.</p>
---	---	--

Procurement, contract management/execution
Maximum 8 points on this Performance Measure

g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law:

Score 1 or else 0

There was evidence that the LG has a complete procurement file in place for each contract with all records as required by the PPDA Law. The three contracts sampled were as follows:

>>> Construction of administration block;
Contract reference number: RUBI922/WRKS/2022-2023/001; Contract amount as per agreement UGX 849,072,010/=; Contracts Committee minutes (CC) approving the contract were available dated 29/11/2022 (all members signed the minutes); and Evaluation Report available dated 16/11/2022 approved by CC on 29/11/2022.

>>> Construction of a fence at Mubanda HC III;
Contract reference number: RUBI922/WRKS/2022-2023/0012; Contract amount as per agreement UGX 39,954,800/=; Contracts Committee (CC) minutes approving the contract were available dated 29/11/2022 (all members signed the minutes); and Evaluation Report available dated 16/11/2022 approved by CC on 29/11/2022.

>>> Construction of a 5-stance VIP latrine at the district HQs; Contract reference number: RUBI922/WRKS/2022-2023/0013; Contract amount as per agreement UGX 28,283,420/=; Contracts Committee (CC) minutes approving the contract were available dated 29/11/2022 (all members signed the minutes); and Evaluation Report available dated 16/11/2022 approved by CC on 29/11/2022.

Environment and Social Safeguards

Grievance redress mechanism operational.

Maximum 5 points on this performance measure

a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.

Score: 2 or else score 0

Mr. Bagambe Apex was appointed as the grievance redress focal person per the appointment letter dated 05/07/2022 by the CAO- Mr. Mugizi Obed.

The grievance redress committee was established as per appointment letters for each of the members, who included;

Atwine Albert - District planner

Nyerinde Frank - District Water Officer.

Mugara Patrick - Borehole management Technician.

Agaba Patriot Aggrey - Environment Officer.

Tinkamanyire Jonan - Physical Planner.

Twinemuzinya Aggrey - Labour Officer.

Murungi Ritah - Natural Resources Officer.

14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.</p>	<p>The GRM focal person presented a complaints log book which had provisions for the name of the complainant, date, address, details of the case, action taken, and remarks. The LG presented minutes of the Grievance Redress Committee dated 30/06/2023, 20/06/ 2023 and 28/09/2022.</p>	2
		<p>If so: Score 2 or else 0</p>		
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.</p>	<p>Rubirizi Local Government had publicized the grievance redress mechanism as evidenced by a grievance referral pathway displayed on the district main notice board and department notice boards dated 02/08/2020.</p>	1
		<p>If so: Score 1 or else 0</p>		
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0</p>	<p>The LG provided evidence confirming that environment, social, and climate change interventions were incorporated in the LG DPIII, however, these interventions were not integrated in the AWP's FY2023/24 and budget FY2023/24.</p>	0
			<p>Evidence</p> <ol style="list-style-type: none"> 1. LG DPIII- Pages 67-68 <ul style="list-style-type: none"> • Restoration of forests and tree cover by natural regeneration or by plantation or by agroforestry • Maintain and restore clean health and a productive environment • Reduce human and economic loss from natural hazards and disasters • Availability of adequate and reliable quality freshwater resources for all uses 2. AWP FY2022/23- No evidence adduced 3. Budget FY2022/23- Page 47 <ul style="list-style-type: none"> • Travel Allowances- Karagara wetland- UGX 6,000,000 	

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management score 1 or else 0	The LG disseminated enhanced DDEG guidelines and adaptation and social risk management to all LLGs. Evidence Report on the training/orientation of Lower Local Governments/Dissemination of DDEG Guidelines for 2023/24. Training held at the District Headquarters on 10 March 2023.	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	(For investments financed from the DDEG other than health, education, water, and irrigation): c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary: score 3 or else score 0	There was evidence that the LG incorporated a costed Environment and Social Management Plan (ESMP) into the BOQs for the DDEG infrastructure project of the previous FY, Construction of a VIP latrine at the administration block in its BOQs had a costed ESMP of UGX: 100,000 incorporated therein.	3
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	d. Examples of projects with costing of the additional impact from climate change. Score 3 or else score 0	Rubirizi DLG did not have any project that required costing for additional impact from climate change.	3
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 1 or else score 0	There was evidence that all DDEG projects were implemented on land where the LG had proof of ownership, land of the District headquarters on which the VIP latrine at the administration block is being constructed has a certificate of title- freehold register, volume MBRR390 FOLIO 23- block road 22 plot 166 at Rubirizi Ndekye dated 26/042017 signed by the registrar of titles.	1

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 1 or else score 0	There was evidence that the environmental officer and CDO conducted support supervision and monitoring to ascertain compliance with ESMPs, and provided monthly reports; Monitoring of the construction of a VIP latrine at the administration block was carried out per monitoring reports dated 30/05/2023 and 20/04/2023. Monitoring of the construction of a general ward at Kicwamba HCIII was conducted per monitoring reports dated; 03/07/2023 and 11/04/2023. Monitoring of the construction of Rutoto piped water system- phase II was carried out as per monitoring reports dated 10/05/2023, 27/06/2023, 22/09/2022, 23/11/2022, 30/03/2023 and 14/06/2023	1
----	--	--	---	----------

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0	There was evidence that E&S compliance Certification forms were completed and signed by the Environmental Officer and CDO prior to payments of contractors' invoices; The E&S certification form for the construction of a VIP latrine at the administration block was prepared on 26/06/2023 and payment was made on 23/06/2023- signed by both the Environment officer and CDO. The E&S certification form for the construction of a general ward at Kicwamba HCIII was prepared on 02/06/2023 and payment was made on 05/06/2023- signed by both the Environment officer and CDO. The E&S certification Monitoring of the construction of the Rutoto piped water system-phase II was prepared on 24/05/2023 and payment was made on 30/05/2023- signed by both the Environment officer and CDO.	1
----	--	---	---	----------

Financial management

16	LG makes monthly Bank reconciliations Maximum 2 points on this Performance Measure	a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment: Score 2 or else score 0	The LG did not maintain an up-to-date bank reconciliations up to the time of the assessment. Evidence Bank of Africa, A/c No. 02526230005. Bank Reconciliation Statement for June 2023. Report Date 14 August 2023. i.e. reconciled 30+ days. Bank of Africa Uganda Limited, A/c No. 02526230005. Bank Reconciliation Statement for October 2023. Report Date 23 November 2023. i.e. reconciled within 30 days.	0
----	---	--	---	----------

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>The LG Internal Auditor produced 4 quarterly internal audit reports for FY2022/23.</p> <p>Evidence</p> <p>Q1 FY2022/23. Produced on 31 October 2022 i.e. 7 issues in the current quarter.</p> <p>Q2 FY2022/23. Produced on 31 January 2023 with 15 issues.</p> <p>Q3 FY2022/23. Produced on 2 May 2023 with 13 issues.</p> <p>Q4 FY2022/23. Produced on 31 July 2023 with 8 issues.</p>	2
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>The LG did not provide information on the status of the implementation of internal audit findings in all the quarterly Internal Audit Reports FY2022/23 to the Council Chairperson and LG PAC.</p> <p>Evidence</p> <p>Implementation Status of DPAC recommendations for First & Second Quarters FY2022/23 arising from Internal Audit Report. Dated 15 August 2023.</p> <p>DPAC reviewed the last three quarters for 2022/2023 Internal Audit Reports and the DPAC recommendations are being implemented by the Accounting Officer. VIDE: Q4 FY2022/23 Internal Audit Report produced on 31 July 2023 i.e. Follow up of Internal Audit Recommendations.</p> <p>No information on the status of implementation of recommendations arising from the Q4 FY2022/23 Internal Audit Report provided.</p>	0

17

LG executes the Internal Audit function in accordance with the LGA Section 90

Maximum 4 points on this performance measure

c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:

Score 1 or else score 0

The LG provided evidence confirming that the quarterly internal audit reports for FY2022/23 were submitted to the LG Accounting Officer and LG PAC and that the reports were reviewed and follow-ups made by LG PAC

Evidence

All Internal Audit Reports were submitted to the Speaker with copies to the Chief Accounting Officer and DPAC

Minutes of Discussions were captured in 4 DPAC minutes i.e.

- District Public Accounts Committee Minutes for the Meeting Held on 11 April 2023 in the District Council Hall. Min. DPAC 38/2023: Compilation of Internal Audit Reports for First Quarter 2022/23FY
- District Public Accounts Committee Minutes for the Meeting Held on 14 April 2023 in the District Council Hall. Min. DPAC 43/2023: Compilation of Internal Audit Reports for Second Quarter 2022/23FY
- District Public Accounts Committee Minutes for the Meeting Held on 30 June 2023 in the District Council Hall. Min. DPAC 48/2023: Compilation of Internal Audit Reports for Third Quarter 2022/23FY
- District Public Accounts Committee Minutes for the Meeting Held on 15 August 2023 in the District Council Hall. Min. DPAC 52/2023: Compilation of Internal Audit Reports for Fourth Quarter 2022/23FY
- Submission of Rubirizi District Local Government Public Accounts Committee Report for Rubirizi District Departments and Lower Local Government Third Quarter 2022/23 Financial Year. Dated 3 July 2023.
- Submission of Rubirizi District Local Government Public Accounts Committee Report for Rubirizi District Departments and Lower Local Government Fourth Quarter 2022/23 Financial Year. Dated 23 August 2023.

Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>A review of LG Annual Budget Estimates FY2022/23 and LG Draft Final Accounts FY2022/23 revealed that the local revenue collected by the LG for FY2022/23 was more than budget by 4% (i.e. within +/-10% threshold)</p> <p>Evidence</p> <p>Annual Budget Estimates FY2022/23. Page No. 1</p> <p>Local revenue amount budgeted was UGX 386,841,000</p> <p>Draft Final Accounts FY2022/23. Page No.6</p> <p>Local revenue amount collected was UGX 152,630,904+250,314,645= UGX 402,945,549</p> <p>Calculation</p> <p>(Amount Collected-Amount Budgeted)/Amount Budgeted*100=</p> <p>(402,945,549 -386,841,000)/ 386,841,000*100 = 4.2%</p>	2
19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>A review of the LG's Draft Final Accounts for FY2022/23 disclosed that the LG's OSR collection improved by 2% between FY2021/22 and FY2022/23</p> <p>Evidence</p> <p>Draft Final Accounts FY2022/23 Page No. 6</p> <p>OSR Collection FY2022/23 was UGX 152,630,904+250,314,645= UGX 402,945,549</p> <p>OSR Collection FY2021/22 was UGX 177,688,940+210,095,272+8,547,000= UGX 396,331,212</p> <p>Calculations</p> <p>Change in OSR in %age</p> <p>(OSR FY2022/23-OSR FY2021/22)/OSR FY2021/22*100</p> <p>(402,945,549 -396,331,212)/ 396,331,212*100= 1.6%</p>	0

Local revenue administration, allocation, and transparency

Maximum 2 points on this performance measure.

a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0

A review of the LG's Draft Final Accounts FY2022/23 and remittances to LLGs for FY2022/23 disclosed that the LG remitted more than the 65% mandatory LLG share of local revenues FY2022/23, as mandated in Section 85 of the LG Act CAP 243.

Evidence

Amount of local revenue collections subject to share with LLGs

Draft Final Accounts FY2022/23 Page No. 6

Local Revenue FY2022/23 UGX
152,630,904+250,314,645= UGX 402,945,549

Total Remittances made during the FY2022/23 was UGX 303,364,607

Calculations

Remittances/Total Local Revenue Mandatory for Sharing*100=

$303,364,607/402,945,549*100= 75.3\%$

Transparency and Accountability

LG shares information with citizens

Maximum 6 points on this Performance Measure

a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0

There was evidence that the procurement plan and awarded contracts and all amounts were published. Three (03) projects were recorded to support the scoring of this indicator.

>>> Construction of administration block;
Contract reference number:
RUBI922/WRKS/2022-2023/001, the best-evaluated bidder (BEB) (M/S Khalsa Developments (U) Limited) was displayed on the district noticeboard on 30/11/2022 and removed on 13/12/2022. The contract amount UGX 849,072,010/= was included.

>>> Construction of a fence at Mubanda HC III;
Contract reference number:
RUBI922/WRKS/2022-2023/0012, the best-evaluated bidder (BEB) (M/S Matrock Technical Services Limited) was displayed on the district noticeboard on 30/11/2022 and removed on 13/12/2022. The contract amount UGX 39,954,800/= was included.

>>> Construction of a 5-stance VIP latrine at the district HQs; Contract reference number:
RUBI922/WRKS/2022-2023/0013, the best-evaluated bidder (BEB) (M/S David & Beatrice Construction Co., Limited) was displayed on the district noticeboard on 30/11/2022 and removed on 13/12/2022. The contract amount UGX 28,283,420/= was included.

Note: The procurement plan for the previous FY was displayed on the district noticeboard on 1/08/2022.

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>The LG provided evidence confirming that their performance assessment results for 2022 and their implications were published.</p> <p>Evidence</p> <p>Pinned on Chief Accounting Officer’s Notice Board.</p>	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>The LG provided evidence confirming that discussions were conducted with the public during FY2022/23 to provide feedback on the status of activity implementation.</p> <p>Evidence</p> <p>A Report for being hosted on Rubirizi FM for communicating District achievements for FY2022/23. Dated 19 July 2023. Receipt No. 1341 dated 25 May 2023 confirmed payment for the radio talk show.</p>	1
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>The LG provided evidence confirming that information on Tax Rates, Collection Procedures, and Procedures for Appeal at the time of assessment was made available to the public at the time of assessment.</p> <p>Evidence</p> <p>Tax rates FY2023/24 and procedures for collection and appeal are pinned on the Administration Notice Board.</p>	1

Reporting to IGG

Maximum 1 point on this Performance Measure

a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0

The Office of IGG made several recommendations during the course of FY2022/23. The LG reported to the IGG with the District Council Chairperson in copy, providing the status of the implementation of recommendations.

Evidence

- MBR /03/10/2021: Alleged failure to facilitate extension workers by Rubirizi DLG dated 3 November 2022 addressed to CAO. CAO reported to IGG actions taken (copied RDC and District Chairperson) on 21 November 2022. VIDE: CR.901/4. Alleged failure to facilitate extension workers.
- MBR/06/10/2021: Lifting of Interdiction of Dr. Tibenda B.K. Ste, District Health Officer, Rubirizi District Local Government. The CAO reported to D/IGG on the status of the implementation of the recommendation. VIDE: Lifting of Interdiction dated 24 November 2022 Copied to RDC and District Chairperson.
- MBR/06/10/2021: Lifting Interdiction of Ms. Jennifer Kyarimpa, Assistant Health Educator, Rubirizi District Local Government dated 14 November 2022. The CAO reported the status of implementation of the recommendation to D/IGG. VIDE: CR.157/2: Lifting of Interdiction dated 24 November 2022 Copied to RDC and District Chairperson.
- MBR/07/05/2022: Irregular Recruitment of Mr. Benard Bateezi as Senior Labour Officer by Rubirizi District Service Commission dated 15 September 2022. The CAO reported the status of implementation of the recommendation to IGG. VIDE: CR/157/2: Interdiction from Duty dated 28 October 2022 copied to District Chairperson, RDC. CR163: Rescission of Appointment Min.65/10/2023. Copied to RDC, District Chairperson.

**Educational
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>We obtained and reviewed PLE results released by UNEB in 2020 and 2022 for Rubirizi District and noted the following:</p> <p>In 2020, Rubirizi District performed as follows; Div. I:591 ; Div. II:1421; and Div. III:324 ; totalling to 2336 pupils against 2497 candidates in (49 primary schools) that sat for PLE that year. This translates into 93.5% pass rate (2336/2497).</p> <p>In 2022, Rubirizi performed as follows; Div.1:739 ; Div.11:1662 and Div.111:294 totalling to 2695 pupils against 2908 candidates in (49 primary schools) that sat for PLE that year. This translates into 92,6 % pass rate (2695/2908).</p> <p>There was a decline in performance of 0.9% (92.6% - 93.5%).</p> <p>Due to the COVID 19 pandemic, UNEB did not conduct PLE in 2021 hence the comparison between school years, 2020 and 2022 instead of 2021 and 2022 as guided by MoES.</p>	0

1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>We obtained and reviewed UCE results released by UNEB in 2020 and 2022 for USE schools in Rubirizi District and noted the following:</p> <p>In 2020, Rubirizi District performed as follows; Div. I: 280 ; Div. 11;339; and Div.III:316 totaling to 935 pupils against 1290 candidates, in eight (8) secondary schools that sat for UCE that year. This translates to 72.4% pass rate (935/1290).</p> <p>In 2022, Rubirizi performed as follows; Div.1; 339; ; Div.11:419 ; and Div.111: 350 totaling to 1108 pupils against 1515 candidates (in eight (8) secondary schools) that sat for UCE that year. This translates to 73.1% pass rate (1108/1515).</p> <p>There was a slight improvement in performance of 0.7% (73.1% -72.4 %). Not enough for a score.</p> <p>Due to COVID 19 pandemic, UNEB did not conduct UCE Exams in 2021. Hence the comparison between school years 2020 and 2022, instead of 2020 and 2022 as guided by MoES.</p>	0
---	---	---	--	---

2	<p>N23_Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> • By more than 5%, score 2 • Between 1 and 5%, score 1 • No Improvement, score 0 <p>NB: If the previous average score was 95% and above, Score 2 for any increase.</p>	<p>The LG average score in the Education LLG performance assessment for 2023 improved by 20% from the previous year's assessment.</p> <p>Evidence</p> <p>Education LLGPA Scores for 2023 was 94%</p> <p>Education LLGPA Scores for 2022 was 74%</p> <p>Calculation</p> <p>Education LLGPAS (2023-2022)= 94-74=20%</p>	2
---	---	--	---	---

Investment
Performance: The LG
has managed
education projects as
per guidelines

Maximum 8 points on
this performance
measure

a) If the education
development grant has been
used on eligible activities as
defined in the sector
guidelines: score 2; Else score
0

We obtained and reviewed the
Education Sector, Planning, Budgeting
and Implementation Guidelines for
Local Governments 2022/2023 and the
budget performance report, to
determine eligible activities.

We established evidence that, the
development grant was used in
accordance with the sector guidelines
i.e. supply of desks, construction of
latrines, construction of classrooms
and/or renovation and construction of
teacher's houses. The activities
conducted were

— Construction of a two (2) classroom
block with an office at Mugyera P/S

— Construction of a two (2) classroom
block with an office at Kashaka P/S

— Construction of a five (5) stance
lined latrine at Mugombwa P/S and

— Construction of a five (5) stance lined
latrine at Kanyansande P/S

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0

We obtained payment vouchers for all education construction projects contracts for the previous FY 2022/2023 in Rubirizi District, to establish whether the completion certificates were signed by the CDO and the Environment officer

We established the following details;

1. Payment of UGX 33,717,400 was made, vide voucher No 4711690 dated 03 April 2023, and UGX 58,584,600 vide voucher No 6429035 dated 28 June 2023 for construction of a two (2) classroom block with an office at Mugyera P/S. The CDO certified the certificates on, 23/03/2023 and 21/06/2023 and Environment Officer 23/03/2023 and 21/06/2023

2. Payment of UGX 25,621,116 was made vide voucher No 6439803 dated 28th June 2023, for construction of a five stance lined latrine at Kanyansande P/S. The completion certificate was signed by the; SCDO on 20/06/2023 and by the Environment Officer, on 20/06/2023 respectively.

3. Payment of UGX 24,012,994 was made vide voucher No 6439508 dated 22nd June 2023 for construction of a five (5) stance lined latrine at Nyamwamba P/S. The certificate of works was signed by the SDCO on, 09/05/2023 and by, the Environment officer on, 09/05/2023, respectively.

5. Payment of UGX 28,071,550 vide voucher No 6439364 dated 28th June 2023 and UGX 28,071,550 vide voucher 6439364 dated 28th June 2023 for construction of a 5 stance lined latrine at Mugombwa P/S, was certified by the SCDO on 21/06/2023 and 27/04/2023 and by the, Environment Officer on 21/06/2023 and 27/04/2023 respectively.

The Environment Officer and the Community Development Officer signed the certificate of completion before payment was made

3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If the variations in the contract price are within +/- 20% of the MoWT estimates score 2 or else score 0</p>	<p>The three (03) projects sampled under the education sector were as follows:</p> <p>>>> Construction of a 3-classroom block at Mujyera P/S; Contractor: Banob Plus (U) Limited; Contract amount UGX 114,648,800/=; Contract reference number: RUBI922/WRKS/2022-2023/0008. The procurement plan for the previous FY had an engineer's estimate was UGX 115,000,000/=. <i>This represented a variation of -0.305% of the MoWT estimate.</i></p> <p>>>> Construction of a 5-stance VIP latrine at Nyakarambi P/S; Contractor: Gabana Construction Co., Limited; Contract amount UGX 29,711,810/=; Contract reference number: RUBI922/WRKS/2022-2023/00011. The procurement plan for the previous FY had an engineer's estimate was UGX 30,000,000/=. <i>This represented a variation of -0.961% of the MoWT estimate.</i></p> <p>>>> Construction of a 2-classroom with an office and a store at Kashaka P/S; Contractor: Mutara Works Enterprises Limited; Contract amount UGX 113,970,300/=; Contract reference number: RUBI922/WRKS/2022-2023/0007. The procurement plan for the previous FY had an engineer's estimate was UGX 115,000,000/=. <i>This represented a variation of -0.895% of the MoWT estimate.</i></p>	2
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY</p> <ul style="list-style-type: none"> • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0 	<p><i>The LG had only one (01) seed school that begun running at the end of the FY. Contract signed on 25/05/2023 as per works contract.</i></p> <p>>>> Construction of St. Kizito Seed School in Magambo S/C, Commencement date 12/06/2023.</p> <p>The target by the end of the FY was achieved, 100%. <i>According to the work schedule and budget performance report for the previous FY dated June 2023, the activity (mobilization) was accomplished 100% as planned for that particular time.</i></p>	2

4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 - 79% score: 1 • Below 70% score 0 	<p>We obtained and reviewed the staffing structure from HRM and noted that Rubirizi District had recruited 548 (116.8%) teachers staff in position against a staff ceiling of 469 teachers as per the guidelines prescribed by MoES, i.e, a 1:53 (teacher: pupil ratio) and a teacher per class and a head teacher for a school with P7, in 56 schools.</p>	3
---	---	---	---	---

4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> • If above 70% and above score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 	<p>We reviewed the list of UPE and USE registered schools and the consolidated asset register for UPE and USE schools for FYs 2021/22 and 2022/23 in Rubirizi District. We noted the following;</p> <p>None of the 56 (0%) UPE and/or, 08 (0%) of USE schools met the basic requirements and minimum standards, set out in the DES guidelines for schools.</p> <p>All schools required additional classrooms or repair/renovation, additional desks, additional latrines and teachers' houses respectively.</p>	0
---	---	---	---	---

Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>We reviewed the teacher deployment list from the District education office and noted that Rubirizi District had accurately reported on 548 (100%) staff in position, including where they were deployed.</p> <p>In the three sampled schools; Kichwamba P/S (semi- urban) there were 16 teachers, in Rutoto P/S (rural) there were 11 teachers and in Rugazi P/S (urban), there were 19 teachers.</p> <p>This information was collated with the teacher's arrival books at the three schools, the staff lists for 2022/23 from the education office and the staff lists found at the sampled schools. The three sources of information were in synch.</p>	2
---	---	---	--	---

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.

- If the accuracy of information is 100% score 2
- Else score: 0

We reviewed the school asset registers of the three sampled schools and verified the information at the sampled schools, which revealed evidence that Rubirizi District, had a consolidated school asset register accurately reporting on infrastructure in all the schools (100% accuracy).

In the three sampled schools, we noted the information below;

— Kichwamba P/S (semi-urban) there were six (6) classroom blocks with 12 classrooms; three (3) latrine blocks with 10 stances, 218 three-seater desks and two (2) teachers houses in permanent material, accommodating 12 teachers.

— In Rutoto P/S (rural), there were, three (3) classroom blocks with nine (9) classrooms, two (2) latrine blocks with 10 stances, 214 three-seater desks and a two (2) teacher's house block in permanent material accommodating 18 teachers.

— In Rugazi P/S (urban) there were two (2) classroom blocks with nine (9) classrooms, three (3) latrine blocks with 18 stances, 188, three-seater desks and three (3) teachers houses accommodating 13 teachers

This information was corroborated with the consolidated Asset register at the Education Department office and both were in tandem.

School compliance and performance improvement:

Maximum 12 points on this performance measure

a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:

- If 100% school submission to LG, score: 4
- Between 80 - 99% score: 2
- Below 80% score 0

We obtained copies of the Annual school reports and budgets for the previous FY 2022/2023. Review of the documents revealed that the documents were not complete since they lacked some ingredients like; reconciled cash flow statements, the signing by the Chair SMC was not consistent and the submission deadline of 'on/or before 30th January 2023 was not verifiable in terms of when they were received at the DEO's office.

In the three sampled schools; Rugazi P/S (urban), Kichwamba P/S (semi-urban) and Rutoto P/S (rural) all lacked the ingredients i have explained above and the date of submission was not verifiable too.

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> • If 50% score: 4 • Between 30- 49% score: 2 • Below 30% score 0 	<p>We obtained the inspection reports of; term 3, 2022; term 1, 2023 and term 2, 2023 to identify the schools which needed to be supported to develop SIPs.</p> <p>The review indicated that all 56 schools needed the support and were duly supported to develop them. A total of 51 out of 56 or (91%) schools, developed the SIPs and submitted copies to the DEO.</p> <p>In three sampled schools; Rugazi P/S (urban), Kichwamba P/S (semi-urban) and Rutoto P/S (rural) , all had submitted copies to the DEO and had copies displayed in the head teachers office.</p>	4
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 - 99% score 2 • Below 90% score 0 	<p>We obtained and reviewed the OTIMS data extract for Rubirizi District and noted that, the LG submitted data for (24.905) pupils (100%).</p> <p>We reviewed the District LG performance contract for FY 2022/23 and noted a list of 56 schools.</p> <p>Therefore, the LG collected and compiled data for all registered schools (UPE) in the district and submitted it accordingly.</p>	4

Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>There was evidence that Rubirizi District budgeted for a head teacher and a minimum of seven teachers with P7 and a teacher for each class and head teacher for schools, below P.7, for 56 schools, to the tune of UGX 4,012,093,000 for FY 2023/2024 as per the Performance Contract (not yet signed by PS/ST) and approved budget estimates for FY 2023/2024 pg 34.</p>	4
---	--	---	--	---

7

Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

Maximum 8 points on this performance measure

b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,

Score 3 else score: 0

There was evidence that the education department had deployed 548 staff in position as per sector guidelines, i.e. a head teacher and a teacher per class for a P7 school and a head teacher and one teacher for each class for a school below P7, for 56 schools

In three sampled schools, we noted the following;

— Kichwamba P/S (semi-urban) - 16 teachers;

— Rutoto P/S(rural) - 11 teachers; and

— Rugazi P/S (urban) - 19 teachers

.
This information was corroborated with staff lists at school, teacher's arrival books and staff lists from the education department office, hence synced.

1

7

Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

Maximum 8 points on this performance measure

c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,

score: 1 else, score: 0

We reviewed the teacher deployment list and inspected the noticeboard in the three sampled schools.

We established evidence that the teacher deployment had been disseminated and/ or publicized at school noticeboards, in the three sampled schools; Kichwamba P/S (semi-urban), P/S Rutoto P/S (rural) and Rugazi P/S (urban).

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copt to DEO/MEO

Score: 2 or else, score: 0

Rubirizi DLG has fifty-six primary schools. All files were reviewed and below is an illustration of the Head Teachers appraisal status.

1. Mujulizi Igantius, HT Kanyashande PS, appraised 31st December 2022.
2. Mugarura Richard, HT Katsyoha PS, appraised 31st December 2022.
3. Sekito Badiru, HT Kirugu Moslem PS, appraised 5th December 2022.
4. Rubanzaz George, HT Kakaari PS, appraised 31st December 2022.
5. Nyesigye Mirriam, HT Busooko PS, appraised 31st December 2022.
6. Nuwamanaya Dan, HT Rutooto PS, appraised 31st December 2022.
7. Nantale Jessica, HT Buzenga PS, appraised 30th December 2022.
8. Nahikiriza Will K., HT Ngoro PS, appraised 31st December 2022.
9. Twinamtsiko Paul, HT Rugyenda PS, appraised 30th December 2022.
10. Nitumwesiga Fred, HT, Kashaka PS, appraised 31st December 2022.

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM</p>	<p>All files of the Secondary School Head Teachers were reviewed and only three Head Teachers had been appraised at the time of assessment as shown below.</p>	0
	<p>Maximum 8 points on this performance measure</p>	<p>Score: 2 or else, score: 0</p>	<ol style="list-style-type: none"> 1. Rukaijakare James HT St. Michael HS appraised 30th December 2022. 2. Katumba Gordon, HT Ryeru Seed Secondary School, appraised 17th May 2022. 3. Osiimwe Asenath B. HT Kirugu Secondary School, not appraised. 4. Tukesiga Fred Ferdinand, HT Archbishop Bakyenga Vocational Secondary School, no appraisal information was available at the time of assessment. 5. Nambimanya Keingana Stephen, HT Mwongyera Secondary School, no appraisal information was available at the time of assessment. 6. Charles Nuwagaba, HT. St.Thomas Vocational Secondary School, no appraisal information was available at the time of assessment. 7. Ndyanabo Christopher, HT Katunguru Secondary School, appraised 31st December 2022. 	
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p>	<p>As per Rubirizi DLG approved and costed staff establishment for the Education department, the following positions are provided for: (i) District Education Officer, (ii) Senior Education Officer, (iii) Senior Inspector of Schools, (iv) Inspector of Schools, (v) Education Officer (Guidance & Counselling), (vi) Education Officer /Special Needs(vii) Sports officer. However, at the time of assessment nine staff were found in-post and were all appraised as hereunder. However, only two staff as highlighted below were appraised within the stipulated time.</p>	0
	<p>Maximum 8 points on this performance measure</p>	<p>score: 2. Else, score: 0</p>	<ol style="list-style-type: none"> 1. District Education Officer - Biru Warufu Stephen. At the time of assessment, the Officer was found to have been duly appraised as evidenced by Annual Performance Report (APR) dated 14th July 2023. 2. Senior Inspector of Schools - Kyomugisha Mary. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 2nd August 2023. 3. Senior Education Officer - Tumusiime Geoffrey. At the time of 	

assessment, the Officer was found to have been duly appraised as evidenced by Annual Performance Report (APR) dated 4th July 2023.

4. Education Officer (Guidance and Counselling) - Nuwahereza Deborah. At the time of assessment, the Officer was found to have been duly appraised as evidenced by Annual Performance Report (APR) dated 30th June 2023.

5. Education Officer (Special Needs) - Murungi Rosemary. At the time of assessment, the Officer was found to have been duly appraised as evidenced by Annual Performance Report (APR) dated 30th June 2023.

Inspectors of Schools (4 No.):

6. Tumanyane John. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 3rd October 2023.

7. Sabiti Elisam, as evidenced by letter of appointment dated 20th November 2019 referenced under Min. No. 87/2019(a). At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 24th July 2023.

8. Tukundane Eugene, as evidenced by letter of appointment dated 28th February 2023 referenced under Min. No.48(b)(iii)/2023. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 24th July 2023.

9. Suna Akimu, as evidenced by letter of appointment dated 7th February 2023 referenced under Min. No. 48(b)ii/2023. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 4th January 2023.

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>We obtained a training plan from the DEO developed during FY 2022/23 dated 24/07/2022. The following were some of the activities therein;</p> <ul style="list-style-type: none"> — Orienting newly appointed/recruited Inspectors on; Monitoring, Evaluation and Assessment techniques — Retooling teachers on the Abridged curriculum — Skills/training on, Lesson/Session planning and schemes of work development and — Training teachers, on the development of teaching aids using local materials. 	2
---	--	--	---	---

Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p>	<p>The Local Government of Rubirizi did not write to MoES, regarding the list of schools and enrolment because all the data had been captured appropriately.</p>	2
---	---	---	--	---

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines. If 100% compliance, score:2 else, score: 0</p>	<p>We reviewed the MoES guidelines for Local Governments 2022/2023, the budget estimates contained in performance contract for FY 2022/23 and annual performance report for FY 2022/23, page 97</p>	2
	<p>Maximum 8 points on this performance measure</p>		<p>Review of the approved budget estimates in the performance contract revealed, evidence that Rubirizi allocated UGX 45,005,000 towards inspection and monitoring.</p>	
			<p>Review of the annual performance report on page 97 revealed an expenditure of UGX 39,354,000 (87.4%) on inspection and monitoring activities, that including the following;</p>	
			<p>— Conducting inspections, thrice for each school</p>	
			<p>— Conducting follow up inspections to establish whether recommendations were implemented and</p>	
			<p>— Discussion of findings and dissemination to head teachers</p>	
			<p>We established that the inspection and monitoring activities conducted, complied to sector guidelines.</p>	
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>c) Evidence that LG submitted warrants for school’s capitation within 5 days for the last 3 quarters If 100% compliance, score: 2 else score: 0</p>	<p>A review of PBS timestamps from MoFPED of LG warrant submissions for school capitation grants revealed that the LG in FY2022/23, warranted more than 5 working days after cash limits were communicated by the PS/ST.</p>	0
	<p>Maximum 8 points on this performance measure</p>		<p>Evidence</p>	
			<p>Q3 FY2022/23. Cash limit communication on 29 December 2022. LG warranted on 9 January 2023 i.e. 5+ working days.</p>	
			<p>Q4 FY2022/23. Cash limit communication on 06 April 2023. LG warranted on 20 April 2023 i.e. 5+ working days.</p>	
			<p>Q1 FY2023/24. Cash limit communication on 06 July 2023. LG warranted on 28 July 2023 i.e. 5+ working days</p>	
			<p>Note: Information on cash limit uploaded in the PBS by MoFPED could not be accessed.</p>	

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p> <p>If 100% compliance, score: 2 else, score: 0</p>	<p>Review of copies of MoFPED release circulars for the last three quarters indicated the following dates;</p> <ul style="list-style-type: none"> — 2022/23 Q3: 10 January 2022 — 2022/23 Q4: 24 April 2023 and — 2023/24 Q1: 17 July 2023 <p>The education department did not provide evidence that Rubirizi education office made release circulars and invoices of capitation to schools for the last three (3) quarters.</p> <p>In the three sampled schools of; Kichwamba P/S (semi-urban), Rutoto P/S (rural) and Rugazi P/S (urban), there was no evidence that the education department formally communicated this information through circulars within the timeline of 5 days.</p> <p>The head teachers indicated that they were informed through, WhatsApp messages or SMS.</p>	0
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <ul style="list-style-type: none"> • If 100% compliance, score: 2, else score: 0 	<p>We obtained a copy of the Annual inspection plan for FY 2022/2023 for inspection and monitoring, and reviewed the preparatory meeting minutes for three terms as follows;</p> <ul style="list-style-type: none"> - Term 3 2022; preparatory meeting was held on 22/08/2022 under Min.2/2022 - Term 1, 2023 preparatory meeting was held on 23/02/2023 under Min. 2/2023 and - Term 2 2023 preparatory meeting was held on 06/06/2023 under Min. 2/2023 <p>There was evidence therefore, that the LG education department held the meetings to plan for inspection and monitoring of schools for the three terms.</p>	2

Routine oversight and monitoring

Maximum 10 points on this performance measure

b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:

- If 100% score: 2
- Between 80 - 99% score 1
- Below 80%: score 0

We reviewed inspection reports for Rubirizi District and noted that all the 56 UPE schools had been inspected at least once per term in the three (3) previous terms. The inspection reports were dated as follows;

— 15 September 2023 for term two (2) of 2023;

— 23 May 2023 for Term one (1) of 2023 and

— 30 November 2022 for term three (3) of 2022.

The number of schools inspected as per the inspection reports corresponded with what was on PBS, thus 100%.

Routine oversight and monitoring

Maximum 10 points on this performance measure

c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,

Score: 2 or else, score: 0

We obtained three (3) departmental meeting minutes of Rubirizi District, where inspection reports were discussed dated as follows;

— 03 February 2023 under for Term 3 2022

— 08 June 2023 under and

— 24 September 2023

In the three sampled schools; we noted thus;

In Kichwamba P/S (semi-urban) an inspection conducted on 13 May 2023 by Kyomugisha Mary DIS, it was noted that the school had not developed an SIP, then. By the time of assessment, the SIP was in place displayed in the head teachers office and a copy had been submitted to the DEO's office.

In Rugazi P/S (urban) in an inspection carried out on 9th May 2023 by Kyomugisha Mary DIS, it was noted that the school had no SIP. This had been worked on and there was an SIP in place displayed and a copy sent to the DEO. The other recommendation was that there was no analysed teachers and learners attendance. A meeting had been organised by the head teacher on 10/10/2023 where it was decided that for pupils who were regularly absent, their parents be called on 25/11/2023 to chart a way forward.

In Rutoto P/S (rural), in an inspection carried out on 25 May 2023 by Kyomugisha Mary DIS, a recommendation that disciplinary actions on teachers should regurailiy be documented, the head teacher had acknowledged this and documented that,teacher Tusasire Molly had been cautioned for late coming and that the latter had written an apology and reformed by the time of assessment.

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>We obtained a list of primary schools and established that copies of inspection reports were left behind in three sampled schools as follows;</p> <p>In Rutoto P/S (rural) an inspection report dated 25/05/2023 was left behind, In Rugazi P/S (urban) a copy dated 09/06/2023 was left behind and in Kichwamba P/S(semi-urban) a report dated 13/05/2023, was left behind.</p> <p>The DIS had submitted copies of the inspection reports for the three (3) terms to DES and they were acknowledged received as follows;</p> <p>A report dated 30/11/2023 for 3rd term 2022, was acknowledged received by DES on 13/12/2022</p> <p>A report dated 23/05/2023 18:32 for 1st term 2023 was acknowledged received by DES on 14/07/2023 and</p> <p>A report dated 15/09/2023 13:03 for 2nd term 2023 was acknowledged received by DES on 20/09/2023</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>The Sectoral Services Committee met and discussed service delivery issues pertaining to the education sector in the course of FY2022/23.</p> <p>Evidence</p> <p>Social Services Committee Meeting Held on 19 May 2023 in the District Council Hall. Min. RUB/SS/43/2023: Presentation and Discussion of Departmental Performance Report for 3rd Quarter and Progress for Q4 2022/2023FY</p> <p>Challenges</p> <ul style="list-style-type: none"> • Transport challenges for District Staff • Lack of staff quarters • Poor funding of the sector <p>Social Services Committee Meeting Held 23 April 2023 in the District Council Hall. Min. RUB/SS/37/2023: Presentation and Discussion of 2nd Quarter and Progress of 3rd Quarter for 2022/2023FY</p> <ul style="list-style-type: none"> • Ongoing Infrastructure developments lack fundamental facilities i.e. staff quarters and toilets. Recommendations-LLGs should come in hand to support with said basics to bridge the gap • Majority of schools are dilapidated. Proposed that DDEG FY2023/24 should be allocated to renovations. 	2

- Transport challenges- Proposed that the sector purchase 4 motorcycles in FY2023/24 using Education Development Grant and part of investment of services under UGIFT

Social Services Committee Meeting Held 02 December 2022 in the District Council Hall. Min. RUB/SS/31/2022: Presentation and Discussion of First Quarter and Second Quarter Progress for 2022/2023FY

- Dilapidated facilities in all schools
- Limited funding from local revenue
- Lack of support from the District during examination period
- High dropout in schools
- Electricity challenges

Recommendations

- Lobby for more funds for renovations
- Electricity budget should be managed by the Education Department
- Need to fix transport challenges
- COPE schools need direction in terms of policy.

11 Mobilization of parents to attract learners
Maximum 2 points on this performance measure

Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,
score: 2 or else score: 0

We obtained and reviewed reports from the DEO, in regard to the meetings they had held to mobilize parents, opinion leaders, religious leaders, councilors, members of SMCs and PTA's and head teachers. The meetings were held at County levels of Bunyaruguru on 6th February 2023 and 7th February 2023, with an attendance of 230 and 179 respectively.

2

Investment Management

Planning and budgeting for investments

Maximum 4 points on this performance measure

a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, *score: 2, else score: 0*

We obtained and reviewed the school asset register of FY 2022/23, to establish whether the LG had an up-to-date assets register, which revealed evidence that the register set out school facilities and equipment relative to basic education, for all schools (100%)

In the three sampled schools, we noted the information below;

— Kichwamba P/S (semi-urban) there were six (6) classroom blocks with 12 classrooms; three (3) latrine blocks with 10 stances, 218 three-seater desks and two (2) teachers houses in permanent material, accommodating 12 teachers.

— In Rutoto P/S (rural), there were, three (3) classroom blocks with nine (9) classrooms, two (2) latrine blocks with 10 stances, 214 three-seater desks and two (2) teacher's house block in permanent material accommodating 18 teachers.

— In Rugazi P/S (urban) there were two (2) classroom blocks with nine (9) classrooms, three (3) latrine blocks with 18 stances, 188, three-seater desks and three (3) teachers houses accommodating 13 teachers

This information was corroborated with the consolidated Asset register at the Education Department office and both were in tandem.

12	Planning and budgeting for investments <i>Maximum 4 points on this performance measure</i>	b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, score: 1 or else, score: 0	The LG provided evidence confirming that the LG TPC conducted desk appraisals of all sector projects in the budget FY2022/23, prioritized projects were derived from the LG DPIII and eligible for expenditure as per sector guidelines and funding source. Evidence Construction of 5 stance VIP latrines at Mugombwa Primary School was derived from the DPIII Page VIII. Desk appraisal completed on 8 August 2022. Construction of a 3 Classroom block at Kashaka Primary School in Katunguru Sub County was derived from the DPIII Page VIII. Desk appraisal completed on 8 August 2022. Construction of a 3 Classroom block at Mugyera Primary School was derived from the DPIII Page VIII. Desk appraisal completed on 8 August 2022.	1
12	Planning and budgeting for investments <i>Maximum 4 points on this performance measure</i>	c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0	The LG provided evidence confirming that field appraisals of sector projects in FY2022/23 were conducted to establish their technical feasibility, environmental and social acceptability, and customized designs to suit site conditions. Evidence Field appraisal for the construction of 5 stance VIP latrines at Mugombwa Primary School was completed on 19 August 2022. Field appraisal for the construction of a 3 Classroom block at Kashaka Primary School in Katunguru Sub County was completed on 19 August 2022. Field appraisal for the construction of a 3 Classroom block at Mugyera Primary School was completed on 8 August 2022.	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p>	<p>There was evidence that the LG Education department has budgeted for and ensured that planned sector infrastructure projects (seed secondary school) have been approved and incorporated into the procurement plan, that is, construction of Magambo Seed School, amount allocated as per current FY procurement plan: UGX 1,350,482,438/= (amount expected in the current FY).</p> <p>>>> <i>Construction of a seed school at St Kizito in Magambo SC; Contractor: Khalsa Development (U) Limited; Contract amount UGX 3,532,121,696/=; Contract reference number: MoES/UgIFT/WRKS/2021-2022/0002 Lot 8; Contract signature date 25/05/2023.</i></p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>There was evidence that the school infrastructure was approved by the Contracts Committee (CC) and cleared by the Solicitor General (where above the threshold) before the commencement of construction.</p> <p>>>> <i>Construction of a seed school at St Kizito in Magambo SC; Contractor: Khalsa Development (U) Limited; Contract amount UGX 3,532,121,696/=; Contract reference number: MoES/UgIFT/WRKS/2021-2022/0002 Lot 8; Contract signature date 25/05/2023 - -- was approved by the CC on 25/04/2022 under minute number: 254/CC/2021-2022, and Solicitor General approved 24/05/2023.</i></p> <p>>>> <i>Construction of a 3-classroom block at Mujiyera P/S; Contractor: Banob Plus (U) Limited; Contract amount UGX 114,648,800/=; Contract reference number: RUBI922/WRKS/2022-2023/0008; Contracts Committee (CC) approved on 17/01/2023 under minute number: 69/CC/22-23.</i></p> <p>>>> <i>Construction of a 2-classroom with an office and a store at Kashaka P/S; Contractor: Mutara Works Enterprises Limited; Contract amount UGX 113,970,300/=; Contract reference number: RUBI922/WRKS/2022-2023/0007, Contracts Committee (CC) approved on 29/11/2022 under minute number: 42/CC/22-23.</i></p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>There was evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines.</p> <p><i>>>> In the letters (6No. with the same reference number CR156/6/1) signed and stamped by the CAO, dated 22/12/2022, the CAO appointed the Contracts Manager (district engineer), Project Manager (DEO), Labour officer, Community Development Officer, Environment Officer, and Clerk of Works as the Project Implementation Team (PIT) members for health infrastructure projects.</i></p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p>	<p>There was evidence that the school infrastructure follows the standard technical designs provided by the MoES.</p> <p><i>>>> Construction of a seed school at St Kizito in Magambo SC; Contractor: Khalsa Development (U) Limited; Contract amount UGX 3,532,121,696/=; Contract reference number: MoES/UgIFT/WRKS/2021-2022/0002 Lot 8; Contract signature date 25/05/2023.</i></p> <p>A visit to the school infrastructure revealed that the standard technical designs provided by the MoES were followed as field measurements were taken on a 2-classroom block and conformed to the technical drawings.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i></p>	<p>There was evidence that monthly site meetings were conducted for all sector infrastructure projects (seed schools) planned in the previous FY.</p> <p><i>Specifically, groundbreaking took place on 12/06/2023.</i></p> <p><i>>>> Construction of a seed school at St Kizito in Magambo SC; Contractor: Khalsa Development (U) Limited; Contract amount UGX 3,532,121,696/=; Contract reference number: MoES/UgIFT/WRKS/2021-2022/0002 Lot 8; Contract signature date 25/05/2023.</i></p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc ..., has been conducted score: 1, else score: 0</p>	<p>The CDO did not attend joint technical supervision sessions as shown from the attendance lists and visitors' books. This disqualified all evidence availed to support joint technical supervision.</p> <p><i>There was no evidence to show that the CDO supervised the education sector infrastructure projects in the previous FY.</i></p>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, score: 1, else score: 0</p>	<p>A review of AWP and a sample of LG's payment vouchers for payments to 3 contractors for education Infrastructure projects implemented in FY2022/23 revealed that the LG did not initiate and make timely payments to contractors.</p> <p>Evidence</p> <p>Payments to contractors are recommended within 30 days after certification of works.</p> <p>1. Request for Payment was made by M/s Leader Investments Limited on 1 June 2023 for the construction of a 5-stance VIP Latrine at Mugombwa Primary School for UGX 34,878,440. The District Education Officer recommended the request for payment on 16 June 2023. Payment Certificate 1 was signed by the District Education Officer on 16 June 2023. Payment was made on EFT No. 6439364 on 28 June 2023 i.e. This payment was made 12 days after certification of works.</p> <p>2. Request for Payment was made by M/s Gebana Company Limited on 18 April 2023 for the completion of 5-stance Latrines at Nyakarambi Primary School for UGX 29,711,810. The District Education Officer recommended the request for payment on 8 June 2023. Payment Certificate 1 was signed by Assistant District Engineer on 27 April 2023. Payment was made on EFT No. 6430804 on 28 June 2023 i.e. This payment was made 62 days after certification of works.</p> <p>3. Request for Payment was made by M/s Banob Plus (U) Limited on 5 June 2023 for the construction of a 3-classroom block for UGX 72,768,240. The District Education Officer recommended the request for payment on 20 June 2023. Payment Certificate 2 was signed by District Education Officer on 20 June 2023. Payment was made on EFT No. 6429035 on 28 June 2023 i.e. This payment was made 8 days after certification of payment.</p>	0

Procurement, contract management/execution

Maximum 9 points on this performance measure

h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, score: 1, else, score: 0

There was evidence that the LG Education Department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30. The department submitted the sector plan on 12/04/2022, signed and stamped by DEO, and received by the head PDU.

Items included:

>>> Construction of Kichwamba Seed School, Phase I, at a budget of UGX 855,090,058/=

>>> Construction of a 5-stance VIP latrine at Mugombwa P/S, at a budget of UGX 35,000,000,/=.

>>> Construction of a 2-classroom block with an office and a store at Kashaka P/S, at a budget of UGX115,000,000/=

>>> Etc.

Procurement, contract management/execution

Maximum 9 points on this performance measure

i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law score 1 or else score 0

There was evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law.

>>> Construction of a seed school at St Kizito in Magambo SC; Contractor: Khalsa Development (U) Limited; Contract amount UGX 3,532,121,696/=; Contract reference number: MoES/UgIFT/WRKS/2021-2022/0002 Lot 8; Contract was availed signed on 25/05/2023; Evaluation report availed dated 10/02/2022; Minutes of Contracts Committee decision available dated 25/04/2022. The procurement file was complete.

>>> Construction of a 2-classroom with an office and a store at Kashaka P/S; Contractor: Mutara Works Enterprises Limited; Contract amount UGX 113,970,300/=; Contract reference number: RUBI922/WRKS/2022-2023/0007; Contract signature date 16/12/2022 was available in the file; Evaluation report dated 16/11/2022; Minutes of Contracts Committee decision available dated 29/11/2022. The procurement file was complete.

>>> Construction of a 5-stance VIP latrine at Nyakarambi P/S; Contractor: Gabana Construction Co., Limited; Contract amount UGX 29,711,810/=; Contract reference number: RUBI922/WRKS/2022-2023/00011; Contract availed signed on 28/12/2022; Evaluation report availed dated 16/11/2022; Minutes of Contracts Committee decision availed dated 29/11/2022. The procurement file was complete.

Environment and Social Safeguards

Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.

Maximum 3 points on this performance measure

Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0

There was evidence that grievances had been recorded, investigated, responded to, and recorded in line with the grievance redress framework under Education;

On 28/09/2022, Mr. Tumuhimbise Steven reported poor workmanship at the construction of a three-classroom block at Mugyera Primary School, the contractor was ordered to immediately demolish the entire structure and start rebuilding. The contractor complied with the demand of the committee.

15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>We obtained and reviewed evidence that the Environment Officer had disseminated Education guidelines on 24 April 2023 and 26 head teachers attended.</p> <p>In the three sampled schools of; Rugazi P/S (urban) Kichwamba P/S (semi-urban) and Rutoto P/S(rural) all had a copy of the guidelines and attended the dissemination</p>	3
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i></p>	<p>A review of the BOQs of projects implemented under education had costed ESMPs incorporated therein;</p> <p>Construction of a VIP latrine at Mugombwa Primary School had a costed ESMP of UGX: 500,000 incorporated in the BoQs.</p> <p>Construction of a classroom block at Kashaka primary school had a costed ESMP of UGX: 200,000 incorporated in the BoQs.</p> <p>Construction of a classroom block at Mugyera primary school had a costed ESMP of UGX: 650,000 incorporated in the BoQs.</p>	2
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i></p>	<p>There was evidence that all school construction projects were implemented where there is proof of land ownership,</p> <p>Mugombwa Primary School- Land offer for construction of pit latrine at Mugombwa p/s by Kyamwiru parish dated 21/03/2023 and signed by Rev. Amen Joseph Mukasa.</p> <p>Nyakarambi Primary School- Land offer for construction of a pit latrine at Nyakarambi p/s by Kyamwiru catholic parish dated 21/03/2023, signed by Rev. FR. Amen Joseph Mukasa.</p> <p>Kaashaka Primary School- Land offer for construction of classroom block at Kashaka p/s by the community dated 15/09/2022 and signed by Emmanuel Igesa- the L.C I chairperson</p>	1

16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p>	<p>There was evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow-up on recommended corrective actions, and prepared monthly monitoring reports;</p> <p>Supervision and monitoring for the construction of a classroom block at Kashaka Primary School was conducted per reports dated 30/05/2023, 30/06/2023, and 04/04/2023.</p> <p>Supervision and monitoring of the Construction of a classroom block at Mugyera Primary School was conducted per reports dated 30/05/2023, 30/06/2023, and 04/04/2023.</p> <p>Supervision and monitoring of the construction of a VIP latrine at Mugombwa Primary School was conducted per reports dated 30/05/2023, 30/06/2023, and 04/04/2023.</p>	2
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments</p> <p><i>Score: 1, else score:0</i></p>	<p>There was evidence that E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments;</p> <p>The E&S certification form for the construction of a VIP latrine at Mugombwa Primary School was prepared on 12/06/2023 and payment was made on 16/06/2023 - signed by the Environment Officer and CDO.</p> <p>The E&S certification form for the Construction of a classroom block at Kashaka Primary School was prepared on 09/06/2023 and payment was made on 15/06/ 2023.</p> <p>The E&S certification form for the construction of the classroom block at Mugyera Primary School was prepared on 30/05/2023 and payment was made on 20/06/2023.</p>	1

**Health
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>There was no increment in deliveries as the LG did not register more than 20% increase in utilization of health care services in deliveries. The sampling done from all the 17 Health facilities conducting deliveries from the health unit annual reports (HMIS 107) for financial years 2021/2022 and 2022/2023 indicated 11% increment.</p> <p>In the financial year 2021-2022, total deliveries amounted to 3847.</p> <p>In the financial year 2022-2023, total deliveries amounted to 3391.</p> <p>(3391-2847) divided by 2847, and then multiplied by 100, which equaled to 11%.</p>	0
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> • 70% and above, score 2 • 50% - 69%, score 1 • Below 50%, score 0 	<p>The LG average score in the Health LLG performance assessment for 2023 was 88%.</p>	2
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> • 75% and above; score 2 • 65 - 74%; score 1 • Below 65; score 0 	<p>This indicator was not applicable</p>	0

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.

A review of the LG's Annual Budget Performance Report and Annual Budget Estimates for FY2022/23 revealed that the LG Health Development Grant was budgeted and spent on eligible activities as per the Health Grant and Budget Guidelines.

Evidence

Budget Estimates FY2022/23

Travel Facilitation- Monitoring UGX 7,000,000

Construction of a General Ward at Kichwamba HCIII UGX 119,523,000

Construction of a fence at Mubanda HCIII UGX 37,999,999

Construction of staff house at Kyabakara HCIII UGX 161,500,000

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0

A review of the sampled payments to contractors revealed that only the LG Health Officer, Engineer, and Environment Officer certified works implemented by the LG's Health Department in FY2022/23 before payments were made to contractors. The District Community Development Officer did not certify works before payments were made to contractors.

Evidence

1. Request for Payment was made by M/s Rimston & Mark Projects Ltd on 15 June 2023 for the construction of a staff house at Kyabakara HCIII for UGX 124,230,123. The payment was made on EFT NO. 6439819 on 28 June 2023. Payment Certificate 2 was signed by the District Engineer, District Health Officer, and Senior Environment Officer on 26 June 2023. The District Community Development Officer did not certify works.

2. Request for Payment was made by M/s Mutara Works Enterprises Limited on 19 May 2023 for the construction of a General Ward at Kichwamba HCIII for UGX 116,507,964. The payment was made on 28 June 2023 on EFT NO. 6434130 Payment Certificate 1 was signed by the District Engineer, Senior Environment Officer, and District Health Officer. The District Community Development Officer did not certify works.

3. Request for Payment was made by M/s Rimston & Mark Projects Ltd on 30 January 2023 for the construction of a staff house at Kyabakara HCIII for UGX 45,695,500. The payment was made on EFT NO. 4715851 on 03 April 2023. Payment Certificate 1 was signed by the District Engineer, District Health Officer, District Community Development Officer, and Senior Environment Officer on 28 Feb 2023.

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0

The three (03) sampled health infrastructure investments were as follows:

>>> Construction of a staff house at Kyabakara HC III; Contract reference number RUBI922/WRKS/2022-2023/0004; Contractor: Rimston and Mark Projects Limited; Contract sum as read from the contract agreement was UGX 161,866,187/=. The procurement plan dated 27/07/2022, signed and stamped by the CAO, and received by PPDA on 1/08/2022, had an engineer's estimate of UGX 161,500,000/=. **This represented a variation of +0.227% of the MoWT engineer's estimate.**

>>> Construction of a fence at Mubanda HC III; Contract reference number RUBI922/WRKS/2022-2023/00012; Contractor: Mattrack Technical Services Limited; Contract sum as read from the contract agreement was UGX 39,954,800/=. The procurement plan dated 27/07/2022, signed and stamped by the CAO, and received by PPDA on 1/08/2022, had an engineer's estimate of UGX 40,000,000/=. **This represented a variation of -0.113% of the MoWT engineer's estimate.**

>>> Construction of general ward at Kichwamba HC III; Contract reference number RUBI922/WRKS/2022-2023/0005; Contractor: Mutara Works Enterprises Limited; Contract sum as read from the contract agreement was UGX 116,507,964/=. The procurement plan dated 27/07/2022, signed and stamped by the CAO, and received by PPDA on 1/08/2022, had an engineer's estimate of UGX 119,523,880/=. **This represented a variation of -2.523% of the MoWT engineer's estimate.**

Conclusion

Pass

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY

- If 100 % Score 2
- Between 80 and 99% score 1
- less than 80 %: Score 0

The entity had one (01) HC II upgrade to HC III procured at the end of the previous FY. The details were captured as follows to support the scoring of this indicator.

Upgrading of Butoha HC II in Rubirizi District Local government; Contractor: M/S Mariam and Brother Trading Co., Limited; Contract reference number: MoH-UGIFT/WRKS/21-22/00019 Lot 14; Contract Sum: UGX 794,727,166/=; Contract signature date: 27/03/2023.

The contracts committee approved the contract on 25/01/2023 under minute number 081; the Solicitor General approved the contract on 20/03/2023.

The commencement order has not been given to the contractor to date because the entity has not received money from the Ministry of Health (MoH).

The contractor availed the:

>>> Advance payment guarantee: UGX 20% of the contract price (as per GCC 60.1), that is, UGX 158,862,361/=, dated 12/07/2023, expiring on 8/4/24; from Centenary Bank.

>>> Performance security (as per GCC 61.1), 10% of the contract sum, that is UGX 79,462,406/= dated 12/07/2023, expiring on 8/4/24; from Centenary Bank.

4	Achievement of Standards: The LG has met health staffing and infrastructure facility standards	a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure	The approved structure for HCIV and HC III facilities provides for staffing levels as follows: (i) HC IIIs – 19 and (ii) HC IVs – 49. A review of the data provided revealed that Rubirizi DLG has one HC IV and nine HC IIIs. The total number of staff found in-post at the time of assessment was one hundred ninety-six (196) against the expected staffing of two hundred twenty- for the ten facilities as shown below. Therefore, the total staffing level at HCIII at the time of assessment was found to be 87.5%.	1
	Maximum 4 points on this performance measure	<ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	The following staffing levels were found at the HC IV	
			1. Rugazi HC IV - 56/59(114%)	
			The following staffing levels were found at the HC IIIs	
			1. Katunguru HC III- 13/19 (68%)	
			2. Butoha HC III- 14/19 (73%)	
			3. Katerera HC III - 21/19 (110%)	
			4. Kicwamba HC III- 14/19 (73%)	
			5. Kyabakara HC III - 16/19 (84%)	
			6. Kyenoza HC III- 16/19 (84%)	
			7. Mubanda HCIII - 15/19 (78%)	
			8. Munyonyi HC III - 15/19 (78%)	
			9. Ndangaro HC III - 15/19 (78%)	
4	Achievement of Standards: The LG has met health staffing and infrastructure facility standards	b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.	There was evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.	2
	Maximum 4 points on this performance measure	<ul style="list-style-type: none"> • If 100 % score 2 or else score 0 	Note:	
			1) From the inventory of existing and newly constructed health facilities, all health facilities conformed to the approved designs.	
			2) The entity had one (01) HC II upgrade to HC III (Upgrading of Butoha HC II to HC III) procured at the end of the previous FY (Contract signature date: 27/03/2023). At the time of assessment, the contractor had not received the commencement order because the LG had not received money from the Ministry of Health (MoH).	

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>There was evidence that the information on positions of health workers filled was accurate. This was evidenced from the deployment staff lists from the DHO of 16th October 2023 and that on the staff lists and attendance registers at the 3 sampled health facilities of Rugazi Health centre IV, Katunguru Health centre III and Ndangaro Health centre III as indicated below;</p> <ol style="list-style-type: none"> 1. At Rugazi Health center IV, 56 out of 49 staff were indicated on the deployment list at the DHO's office corresponded to the 56 staff list of July 2023. 2. At Katunguru Health center III, 13 out of 19 staff were indicated on the deployment list at the DHO's office which corresponded to the 13 staff list of April 2023 3. At Ngangaro Health center III, 15 out of 19 staff was indicated on the deployment list at the DHO's office corresponding to the 15 staff of the updated list of 2023/2024. 	2
5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>There was evidence that the information on health facilities upgrades was accurate. Butoha HC II was upgraded to Health center III.</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>There was evidence that the Health facilities prepared and submitted Annual Work plans and budgets to the DHO for the previous financial year. The sampled health facilities of Rugazi HC IV, Katunguru HC III and Ndangano HC III Health facilities submitted as follows;</p> <ol style="list-style-type: none"> 1. Rugazi Health center IV submitted on 6th March 2023 as endorsed by the DHO and approved by the CAO. 2. Katunguru health center III submitted on 30th March 2023 as endorsed by the DHO and approved by the CAO and; 3. Ndangano Health center III submitted on 13th March 2023 as endorsed by the DHO and approved by the CAO. <p>All the submissions were by 31st March which was within the timeline and also conformed to the prescribed formats.</p>	2

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>There was evidence that the sampled Health facilities prepared and submitted to the DHO Annual Budget Performance Reports for the previous FY.</p> <ol style="list-style-type: none"> 1. Rugazi HC IV submitted on 11th July 2023 signed by the in-charge, HUMC chairman and approved by the DHO 2. Ndangano HC III submitted on 11th July 2023 signed by the in-charge and HUMC chairman and; 3. Katunguru HC III submitted on 11th July 2023 signed by the HUMC chairman and in-charge <p>The submissions complied to The timeline submission by July 15th of the current FY as per the Budget and Grant Guidelines</p>	2
---	--	---	---	---

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>There was evidence that the health facility improvement plans incorporated performance issues for the current financial year. This was evidenced from the previous financial year monitoring and assessment reports. The improvement plans included; routine monitoring of staff due to massive late coming, conducting facility base mentorship and CMEs for knowledge based approach, timely submission of reports for planning and quality improvement. The sampled and visited health facilities submitted their annual comprehensive work plans (HMIS 001) which replaced the improvement plans during the MOH roll out nationally. The submissions were as follows;</p> <ol style="list-style-type: none"> 1. Rugazi HC IV submitted on 5th July 2023. 2. Katunguru HC III submitted on 16th March 2023 and; 3. Ndangano HC III submitted on 16th March 2023 	2
---	--	---	--	---

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,</p>	<p>There was evidence that the health facilities submitted HMIS 105 monthly reports 100% up to date and timely (7 days following the end of each month and quarter). Monthly and quarterly reports for the 3 sampled health facilities of Rugazi, Katunguru and Ngangano health facilities were indicated as below;</p>	2
	<p>Maximum 14 points on this performance measure</p>	<ul style="list-style-type: none"> • score 2 or else score 0 	<p>Rugazi health facility submitted as follows; 5th August, 6th September, 5th October, 5th November, 5th December, 3rd January, 6th February, 7th March, 6th April, 6th May, 5th June and 6th July</p>	
			<p>Katunguru health facility submitted as follows; 4th August, 5th September, 4th October, 3rd November, 6th December, 5th January, 7th February, 7th March, 5th April, 2nd May and 7th June</p>	
			<p>Ndangano Health facility submitted as follows; 3rd August, 4th September, 4th October, 7th November, 6th December, 3rd January, 3rd February, 4th March, 3rd April, 4th May, 5th June and 7th July</p>	
			<p>The submissions of 3 facilities were timely for all the monthly (12) and quarterly (4) reports for the previous FY</p>	
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0</p>	<p>This indicator was not applicable</p>	0
	<p>Maximum 14 points on this performance measure</p>	<p>Note: Municipalities submit to districts</p>		

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>This indicator was not applicable</p>	<p>0</p>
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>The LG Health Department compiled and submitted timely Quarterly Budget Performance Reports for FY2022/23 to the Planner for consolidation.</p>	<p>1</p>
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>h) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>There was evidence that the LG developed a Performance Improvement Plan (PIP). This was evidenced from the LG PIP for the financial year 2023/2024. The improvement plans and the PIP implementation reports indicated 6 facilities as the weak performing health facilities. These included; Katunguru HC III, Kyabakara HC III, Kashaka HC III, Munyonyi HC III, Kazinga HC II and Kisenyi HC II</p>	<p>1</p>
<p>Maximum 14 points on this performance measure</p>				
			<p>The facility implementation reports included continuous sensitization and awareness to strengthen the low antenatal care coverage, orientation on reporting to improve the VHT/ICCM reporting rate, orient and build capacity to the data persons to strengthen the poor data and records management, recruitment/ human resource interventions to take care of the inadequate staffing and community sensitisation to improve poor immunization coverage.</p>	

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0

There was evidence that the LG implemented Performance Improvement Plan for the lowest performing health facilities. The improvement plans and the PIP implementation reports indicated Katunguru HC III, Kyabakara HC III, Kashaka HC III, Munyonyi HC III, Kazinga HC II and Kisenyi HC II as the weak performing health facilities

The implementation reports established low antenatal care coverage, VHT/ICCM reporting rate, poor data and records management, inadequate staffing and poor immunization coverage.

1

Human Resource Management and Development

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

a) Evidence that the LG has:
i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0

The evidence indicated that the LG did not budget for health workers following guidelines / staffing norms. Under vote 922 of the LG approved estimates, the LG budgeted for the 224 instead of 276 health workers at 4,277,528.572/= . This was evidenced on the annual comprehensive work plan and budget dated 30th June 2023

The total number of staff on the approved was 276 on the approved structure and the deployment was 224 staff. This indicated that (276-224) =52. Therefore, 52 staff were not budgeted for.

The staffing norms included;

1. DHOs office staff deployed=10/11
2. 1 HC IV staff deployed=56/49
3. 9 HC IIIs staff deployed =140/171
4. 5 HC IIs staff deployed=18/45

Total deployed =224/276 staff.

0

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

a) Evidence that the LG has:
ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0

Not all health facilities had the required 75% of the health staff required in accordance with the staffing norms. A review of the staff deployment list indicated the following;

1. Rugazi HC IV had 56/49=114%
2. Katunguru HC III had 13/19=68%
3. Butoha HC III had 14/19=73%
4. Katerera HC III had 21/19=110%
5. Kyabakara HC III had 16/19=84%
6. Kichwamba HC III had 14/19=73%
7. Kyenaza HC III had 16/19=84%
8. Mubanda HC III had 16/19=84%
9. Munyonyi HC III had 15/19=78%
10. Ndangaro HC III=15/19=78%

Katunguru HC III, Butoha HC III and Kicwamba staffing did not conform to the 75% guidelines.

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0

There was evidence that the health workers were working in health facilities where they were deployed. The reviewed Health workers' staff lists, facility attendance book/register (DHMT supervision/ monitoring reports; Automated Attendance Analysis (AAA) indicated that the health workers were working where they were deployed.

This was evidenced on the deployment staff lists from the DHO of 16th October 2023 and that on the staff lists and attendance registers at the 3 sampled health facilities of Rugazi Health centre IV, Katunguru Health centre III and Ndangaro Health centre III as indicated below;

1. At Rugazi Health center IV , 56 out of 49 staff were indicated on the deployment list at the DHO's office corresponded to the 56 staff list of July 2023 that was pinned on the notice board at the facility

4. At Katungur Health center III, 13 out of 19 staff were indicated on the deployment list at the DHO's office which corresponded to the 13 staff list of April 2023 that was pinned at the Health facility notice board during the time of visit.

5. At Ndangano Health center III, 15 out of 19 staff were indicated on the deployment list at the DHO's office corresponding to the 15 on the updated staff list of 2023/2024 that was pinned on the walls at the Health facility.

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0

There was evidence that the LG had publicized health worker's deployment and disseminated as evidenced by the display of the list of deployed health workers on health facilities notice boards. The displayed lists of the health facilities visited indicated the name of the facility, name of the staff, cadre, and gender among others as they appeared on the health facility notice boards

1. At Rugazi Health center IV, the 56 staff list of July 2023 was pinned on the notice board and walls at the facility

2. At Katunguru Health center III, the 13 staff list of April 2023 was pinned at the Health facility notice board during the time of visit.

3. At Ndangano Health center III, the 15 staff list of 2023/2024 was pinned at the Health facility notice board

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

a) Evidence that the DHO/MMOHs has:

i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0

Rubirizi DLG has a total of seventeen health facilities. All files were reviewed to establish whether In-Charges were appraised and below is an illustrative representation of 60% of the In-Charges appraised by 30th June of the year under review as detailed hereunder.

1. Kicwamba HC III - Nuwagaba Deus, Clinical Officer, appraised 30th June 2023 as seen in the APR.

2. Munyonyi HC III - Ahimbisibwe Anatori, Senior Clinical Officer, appraised 30th June 2023 as seen in the APR.

3. Mushumba HC II - Katusiimwe Medius, Enrolled Nurse, appraised 25th July 2023 as seen in the APR.

4. Butoha HC II - Kyampaire Florence, Senior Clinical Officer, appraised 30th June 2023 as seen in the APR.

5. Kashaka HC II - Musoki Jackline, Enrolled Midwife, appraised 31st July 2023 as seen in the APR.

6. Ndangaro HCII - Banzanisabo Magidu, Senior Clinical Officer, appraised 30th June 2023 as seen in the APR.

7. Kyenzaza HC II- Ndairaho Baluku Jimmy, Senior clinical Officer, appraised 20th June 2023 as seen in the APR.

8. Kazinga HC II - Muganzi Ronald, Enrolled Nurse, appraised 30th June 2023 as seen in the APR.

9. Katunguru HC III- Nakacwa Stella, Senior Clinical Officer, appraised 9th May 2023 as seen in the APR.

10. Rugazi HC IV - Dr. Ida Nankinga, Senior Medical Officer, appraised 5th July 2023 as seen in the APR.

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0

Health facility In-Charges conducted performance appraisals of health facility workers and only three were found to have been appraised within the stipulated timelines as shown hereunder.

1. **Turinawe Joseph**, Enrolled Nurse, Katunguru HC III, appraised 1st July 2023 as seen in the APR.

2. **Nkwenge Beatrice**, Public Health Nurse, Rugazi HC IV, appraised 7th August 2023 as seen in the APR.

3. **Nantongo Paskazia, Enrolled Nurse, Kashaka HC II, appraised 20th June 2023 as seen in the APR.**

4. **Nabisere Phiona**, Enrolled Nurse, Kicwamba HC III, appraised 3rd July 2023 as seen in the APR.

5. **Nabasa Anita**, Enrolled Nurse, Ndangaro HC III, appraised 7th July 2023 as seen in the APR.

6. **Maate Brad Mumbere**, Assistant Nursing Officer, Munyonyi HC III, appraised 20th July 2023 as seen in the APR.

7. **Mbabazi Fedelis**, Assistant Nursing Officer, Katerera HC III, appraised 29th July 2023 as seen in the APR.

8. **Bukebuhangwa Thembo Moses**, Theatre Assistant, Rugazi HC IV, appraised 13th July 2023 as seen in the APR.

9. **Bukundaika Gladys, Enrolled Midwife, Butoha HC III, appraised 30th June 2023 as seen in the APR.**

10. **Artrinaitwe Judith, Assistant Nursing Officer, Ndangaro HCIII, appraised 30th June 2023 as seen in the APR.**

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

iii. Taken corrective actions based on the appraisal reports, score 2 or else 0

No evidence of corrective action was availed at the time of assessment.

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p>	<p>There was evidence that the LG conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District. The Health department had a CPD plan dated 5th July 2022. The conducted trainings were evidenced from the training reports as implemented below;</p> <ol style="list-style-type: none"> 1. Training of health workers on the 2022 revised consolidated guidelines for prevention and treatment of HIV in Uganda dated 1st July 2023 2. Training rapid response teams on surveillance dated 27th July 2022 3. Training of health workers on Laboratory support dated 24th June 2023 4. Training of health workers on community based disease surveillance dated 1st April 2022 5. Training of midwives and clinicians on Basic Maternal and Obstetric Care (BMOC) dated 4th February 2023 	1
---	--	--	---	---

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>There was evidence that the CPDs were documented into the training database as evidenced on 30th June 2022. The data base indicated the date of training, the type of training, the name and number of participants trained.</p>	1
---	--	---	---	---

Management, Monitoring and Supervision of Services.

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>There was evidence that the CAO confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th as there were 9 Health facilities that did not received PHC.</p> <p>This was evidenced from the letter dated 29th August 2022 from CAO to the Permanent Secretary Ministry of Health confirming that all the names of the 17 Health facilities (15 government and 2 PNFPs) were written correctly and that none of them missed PHC.</p>	2
---	--	---	--	---

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>A review of Budget Estimates FY2022/23 revealed that the LG allocated less than 15% of PHC NWR Grant for Lower Level Facilities FY2022/23 towards monitoring service delivery and management of LG Health services.</p>	2
	<p>Maximum 9 points on this performance measure</p>		<p>Evidence</p> <p>PHC NWR Budget UGX 167,027,581+ 12,974,150 = UGX 180,001,731</p> <p>DHO Allocation for monitoring service delivery & and management of LG Health Services UGX 6,272,000</p>	
			<p>Calculation</p> <p>DHO Allocation for monitoring /PHC NWR*100</p> <p>$6,272,000/180,001,731*100= 3.5\%$ (i.e. this is less than 15% maximum allowed in Sector Grant Guidelines)</p>	
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>	<p>A review of PBS timestamps from MoFPED of LG warrant submissions of PHC NWR to Health Facilities revealed that the LG in FY2022/23, warranted more than 5 working days after cash limits for the LG were communicated by the PS/ST.</p>	0
	<p>Maximum 9 points on this performance measure</p>		<p>Evidence</p> <p>Q1 FY2022/23. Cash limit communication on 08 July 2022. LG warranted on 2 August 2022 i.e. 5+ working days</p> <p>Q2 FY2022/23. Cash limit communication on 30 Sept 2022. LG warranted on 12 October 2022 i.e. 5+ working days</p> <p>Q3 FY2022/23. Cash limit communication on 29 Dec 2022. LG warranted on 9 January 2023 i.e. 5+ working days</p> <p>Q4 FY2022/23. Cash limit communication on 06 April 2023. LG warranted on 20 April 2023 i.e. 5+ working days</p> <p>Note: Information on cash limit uploads by MoFPED could not accessed.</p>	
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0</p>	<p>A review of transfers to 3 health facilities sampled from the LG Cost Centre List & LLG allocation release provided by MoFPED revealed that the LG communicated PHC NWR grant releases for FY2022/23 to health facilities prior to the release of the grants.</p>	0
	<p>Maximum 9 points on this performance measure</p>		<p>Evidence</p> <p>KASHAKA HEALTH CENTRE II</p> <p>Q1 FY2022/23. EFT No. 938662 was dated 02 September 2022. Communication made on</p>	

24 August 2022 i.e. Communication made prior to release.

Q2 FY2022/23. EFT No. 1988919 was dated 7 November 2022. Communication made on 12 October 2022 i.e. Communication made prior to release.

Q3 FY2022/23. EFT No. 3748744 was dated 20 February 2023. Communication made on 1 February 2023 i.e. Communication made prior to release.

Q4 FY2022/23. EFT No. 5305160 was dated 17 May 2023. Communication made on 2 May 2023 i.e. Communication made prior to release

KATERERA HCIII

Q1 FY2022/23. EFT No. 938753 was dated 02 September 2022. Communication made on 24 August 2022 i.e. Communication made prior to release.

Q2 FY2022/23. EFT No. 1989030 was dated 07 November 2023. Communication made on 12 October 2022 i.e. Communication made prior to release.

Q3 FY2022/23. EFT No. 3748788 was dated 20 February 2023. Communication made on 1 February 2023 i.e. Communication made prior to release.

Q4 FY2022/23. EFT No. 5305285 was dated 17 May 2023. Communication made on 2 May 2023 i.e. Communication made prior to release.

RUGAZI HC IV

Q1 FY2022/23. EFT No. 915925 was dated 02 September 2022 2022. Communication made on 24 August 2022 i.e. Communication made prior to release.

Q2 FY2022/23. EFT No. 1964278 was dated 07 November 2022. Communication made on 12 October 2022 i.e. Communication made prior to release.

Q3 FY2022/23. EFT No. 3727188 was dated 20 February 2023. Communication made on 1 February 2023 i.e. Communication made prior to release.

Q4 FY2022/23. EFT No. 5291577 was dated 17 May 2023. Communication made on 2 May 2023 i.e. Communication made prior to release.

N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0

Despite the fact that the LG publicized all the quarterly financial releases to all health facilities, they were beyond the 5 working days from the date of receipt of the expenditure limits from MoFPED. These were posted on DHOs and the visited health facility notice boards as noted below;

1. Q1 posted on 24th August 2022 after a communication of 2 August 2022-5+ working days
2. Q2 posted on 12th October 2022 after a communication of 12 October 2022 within 5 working days
3. Q3 posted on 1st February 2023 after a communication of 9 January 2023- 5+ working days
4. Q4 posted on 2nd May 2023 after a communication of 20 April 2023 -5+ working days

It was only quarter two communication which was done within 5 working days.

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>Despite the fact that the the LG health department implemented the actions recommended by the DHMT quarterly performance review meetings held during the previous FY, only two quarterly reports were submitted out of four. The recommendations and follow up actions as extracted from the DHMT minutes included among others;</p> <ol style="list-style-type: none"> 1. Low number of mothers attending the 1st antenatal visit during the 1st trimester at Katerera, Kyabakara, Kyenzaza, Munyonyi and Kisenyi health facilities.. This was due to lack of sensitisation of pregnant mothers about the importance of attending early antenatal. The action was to conduct routine dialogues, health education and reporting of midwives on duty early 2. Low immunisation coverage due to poor documentation in the child health registers; stock out of vaccines and poor knowledge about the population knowledge at all the health facilities. The actions were streamlining of data in the registers, conducting CMEs, mobilization through VHTs, political and religious leaders. 3. Not assessing for nutrition at OPD, ANC and PNC due to knowledge gap and inadequate documentation. The action was to streamline internal support supervision, conduct CMEs on use of zero scores and formulating quality improvement projects. 	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>These recommendations were only identified from two submissions instead of four as required by the assessment procedure.</p> <ol style="list-style-type: none"> 1. Q1 no evidence as not submitted 2. Q2 no evidence as not submitted 3. Q3 dated 21st February 2023 and 4. Q4 dated 28th August 2023 <p>Not all performance review meetings involved health facilities in charges, implementing partners, DHMTs and key LG departments as the two meetings were not conducted. No submission of minutes for quarter three and four hence attendance lists could not be evidenced.</p>	0

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0

If not applicable, provide the score

There was evidence that the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY. One health center IV and 2 PNFPs were supervised at least once every quarter in the previous FY. This was evidenced from the quarterly support supervision reports as indicted below;

1. Quarter one supervision report dated 31st October 2022
2. Quarter two supervision report dated 3rd January 2023
3. Quarter three report dated 3rd March 2023 and ;
4. Quarter four report dated 30th June 2023

The actions that were discussed and implemented as noted below;

1. Low number of mothers attending the 1st antenatal visit during the 1st trimester at Katerera, Kyabakara, Kyenzaza, Munyonyi and Kisenyi health facilities.. This was due to lack of sensitisation of pregnant mothers about the importance of attending early antenatal. The action was to conduct routine dialogues, health education and reporting of midwives on duty early
2. Low immunisation coverage due to poor documentation in the child health registers; stock out of vaccines and poor knowledge about the population knowledge at all the health facilities. The actions were streamlining of data in the registers, conducting CMEs, mobilization through VHTs, political and religious leaders.
3. Not assessing for nutrition at OPD, ANC and PNC due to knowledge gap and inadequate documentation. The action was to streamline internal support supervision, conduct CMEs on use of zero scores and formulating quality improvement projects.

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <ul style="list-style-type: none"> • If not applicable, provide the score 	<p>There was evidence that DHT ensured that the 1 Health Sub District (HSDs of Bunyaruguru under Rugazi HC IV carried out support supervision of lower level health facilities within the previous FY. This was evidenced from the HSD Support Supervision and Monitoring visits as indicated below;</p> <ol style="list-style-type: none"> 1. Q1 report dated 10th October 2022 2. Q2 report dated 1st December 2022 3. Q3 report dated 6th April 2023 and, 4. Q4 report dated 12th June 2023 <p>The feedback from the LG Health department to HSDs as noted from the supervision visits recommended on leadership/governance, human resource for health, health financing, health management information system, medicines, supplies and equipment.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>There was evidence that corrective actions were made as evidenced from the HSD supervision reports</p> <ol style="list-style-type: none"> 1. Meetings of staff, HUMC, Joint planning and dialogues were held on leadership and governance. 2. Display of PHC guidelines and follow approved plans during procurement. 3. Health workers and data persons to completely fill the data registers for quality data. 4. Put into plan an annual medicine and health supplies procurement plan for better medicine management. 	1

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0

There was evidence that the LG provided support to all health facilities in the management of medicines and health supplies in FY 2022/2023. These reports indicated that guidance was given to health facility in-charges on secure, safe storage and disposal of medicines and health supplies in the areas of dispensing quality, prescription quality, storage quality, stock management and ordering/reporting quality.

The feedback and guidance given to the in-charges included, mentorship on utilization and filling of all the data tools in store and redistribution of medicines which were overstocked to avoid expiry.

This was evidenced from the quarterly medicine management, supervision and monitoring reports of ;

1. Q1 dated 28th September 2022
2. Q2 dated 28th November 2023
3. Q3 dated 20th February 2023
4. Q4 dated 20th June 2023

Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities

Maximum 4 points on this performance measure

a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0

A review of LG's Annual Budget Estimates for FY2022/23 revealed that the LG allocated 17% (i.e. less than at least 30% as required by guidelines) of the Health office budget to health promotion, education, and prevention (Community Health) activities.

Evidence

DHO Budget UGX 43,513,863

DHO Budget FY2022/23 allocated to health promotion and prevention activities

22 August 2022- Implementation of Health Promotion and Disease Prevention- UGX 816,000

24 May 2023- Inspection of Public and Private Health Facilities on Sanitation and Medical Waste- UGX 1,200,000

13 June 2023- Implementation of Health Promotion and Diseases Prevention- UGX 1,632,000

23 June 2023- Conducting School Health and Sanitation Program- UGX 212,000

07 November 2022- Carrying out Inspection of Private Clinics for Disease prevention and control- UGX 1,030,000

14 December 2022- Implementation of Health Promotion and Disease Prevention- UGX 2,547,650

Total allocated to Health Promotion and Prevention UGX 7,437,650

Allocations/DHO Budget*100=
7,437,650/43,513,863*100= 17.1%

11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0	<p>There was evidence that the DHT implemented health promotion, disease prevention and social mobilization activities. This was evidenced from the Health promotion reports and minutes below;</p> <ol style="list-style-type: none"> 1. Conducted a dialogue meeting on malaria upsurge on 15th March 2023 2. Conducted a community dialogue on 1st June 2023 3. Held an advocacy meeting for religious and cultural leaders on Polio vaccination (nOPV) campaign on 17th November 2022. 4. Conducted radio talk shows on social mobilisation strategy on 17th November 2022 5. Conducted health education and promotion activities as evidenced from quarter 1 report dated 12th September 2022 and quarter 4 report dated 30th June 2023. 6. Conducted promotion and education activities as evidenced from the mid-year health education report dated 30th December 2022. 	1
	Maximum 4 points on this performance measure			
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0	<p>There was evidence that the District health team followed up the actions on health promotion and disease prevention from the progress reports and minutes of the conducted health promotion activities below.</p> <ol style="list-style-type: none"> 1. The conducted meeting on malaria upsurge created awareness in the communities that led to malaria reduction and observed house holds sleeping under mosquito nets as evidenced from the minutes and reports of 15th March 2023 . 2. The advocacy meeting for religious and cultural leaders on Polio vaccination (nOPV) campaign led to massive community mobilisation and turned up with their children for vaccination as evidenced from the minutes of 17th November 2022. . 4. The 6 conducted radio talk shows on social mobilisation strategy created community awareness and response positively as evicened on17th November 2022 	1
	Maximum 4 points on this performance measure			

Investment Management

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>There was evidence that the LG had an updated asset register that set out the health facilities and equipment relative to basic standards as per the format. The asset register for the financial year 2022/2023 was dated 13th July 2023. This asset register detailed health facilities and equipment in the LG, relative to the medical equipment list and service standards. The standard list of medical equipment for Health Facilities and service standards were availed</p>	1
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDPIII);</p> <p>(ii) desk appraisal by the LG; and</p> <p>(iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG));</p> <p>score 1 or else score 0</p>	<p>The LG provided evidence confirming that desk appraisals for all Health sector projects implemented in FY2022/23 were conducted, the prioritized projects were derived from the LG DPIII and eligible for expenditure as per sector guidelines and funding source.</p> <p>Evidence</p> <p>The construction of a Staff House at Kyabakara HCIII was derived from DPIII. Desk appraisal completed on 01 August 2022.</p> <p>Construction of a General ward at Kichwamba HCIII was derived from DPIII. Desk appraisal completed on 29 July 2022.</p> <p>Construction of a fence at Mutanda HCIII was derived from DPIII. Desk appraisal completed on 01 August 2022.</p>	1
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>The LG provided evidence confirming that field appraisals for the Health sector projects implemented in FY2022/23 were conducted to establish their technical feasibility, environmental and social acceptability, and customized designs.</p> <p>Evidence</p> <p>Field appraisal for the construction of a Staff House at Kyabakara HCIII was completed on 05 August 2022.</p> <p>Field appraisal for the construction of a General ward at Kichwamba HCIII was completed on 10 August 2022.</p> <p>Field appraisal for the construction of a fence at Mutanda HCIII on 05 August 2022.</p>	1

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>There was evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist;</p> <p>The fencing of Mubanda HC III was screened on 31/08/2022 with mitigation measures costed at UGX: 1,820,000.</p> <p>Construction of staff house at Kyabakara HCIII was screened on 30/08/2022 with mitigation measures costed at UGX: 4,200,000.</p> <p>Construction of a general ward at Kichwamba HC III was screened on 29/08/2022 with mitigation measures costed at UGX: 3,500,000.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>There was evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans. The plan presented was dated 13/04/2023.</p> <p>Items include :</p> <p>>>> Construction of an OPD at Mwongera HC III, budgeted for UGX 180,500,000/=</p> <p>>>> Construction of a staff house at Butoha HC III, budgeted for UGX 175,750,000/=</p> <p>>>> Completion of a General Ward at Kichwamba HC III, budgeted for UGX103,867,456/=</p> <p>>>> Etc.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>There is evidence that the LG Health Department submitted a procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY. The following were availed:</p> <p>>>> Construction of a staff house at Butoha HC III, the CAO signed the PP1 form from health on 18/09/2023 forwarded by DHO and confirmed by the head of finance on 18/09/2023.</p> <p>>>> Construction of an OPD at Mwonjera HC III, the CAO signed the PP1 form from health on 18/09/2023 forwarded by DHO and confirmed by the head of finance on 18/09/2023.</p>	1

13

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0

There was evidence that the health infrastructure investments for the previous FY were approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before the commencement of construction. The contracts are outlined below:

>>> Upgrading of Butoha HC II in Rubirizi District Local government; Contractor: M/S Mariam and Brother Trading Co., Limited; Contract reference number: MoH-UGIFT/WRKS/21-22/00019 Lot 14; Contract Sum: UGX 794,727,166/=; Contract signature date: 27/03/2023. The contracts committee approved the contract on 25/01/2023 under minute number 081; the Solicitor General approved the contract on 20/03/2023.

>>> Construction of a staff house at Kyabakara HC III; Contract reference number RUBI922/WRKS/2022-2023/0004; Contractor: Rimston and Mark Projects Limited; Contract sum as read from the contract agreement was UGX 161,866,187/=; Contract signature date 21/12/2022; The contracts committee approved the contract on 29/11/2022 under minute number: 40/CC/22-23.

>>> Construction of general ward at Kichwamba HC III; Contract reference number RUBI922/WRKS/2022-2023/0005; Contractor: Mutara Works Enterprises Limited; Contract sum as read from the contract agreement was UGX 116,507,964/=. Contract signature date 16/12/2022; The contracts committee approved the contract on 29/11/2022 under minute number: 41/CC/22-23.

13

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0

If there is no project, provide the score

There was evidence that the LG properly established a Project Implementation team for all health projects as follows:

>>> In the letters (6No. with the same reference number CR156/6/1) signed and stamped by the CAO, dated 24/11/2022, the CAO appointed the Contracts Manager (district engineer), Project Manager (DHO), Labour officer, Community Development Officer, Environment Officer, and Clerk of Works as the Project Implementation Team (PIT) members.

1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was one project contracted in the previous FY.</p> <p>>>> <i>Upgrading of Butoha HC II in Rubirizi District Local government; Contractor: M/S Mariam and Brother Trading Co., Limited; Contract reference number: MoH-UGIFT/WRKS/21-22/00019 Lot 14; Contract Sum: UGX 794,727,166/=; Contract signature date: 27/03/2023.</i></p> <p>Note: This project did not commence (the contractor has not received the commencement order to date). Therefore, the LG did not have running project(s) for upgrading HC IIs to HC IIIs in the previous FY.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no evidence that the Clerk of Works maintained daily records that were consolidated weekly to the district engineer. The Clerk of Works reports the engineer presented lacked daily records and were consolidated monthly without being copied to the DHO. The reports were dated 1/03/2023, 30/05/2023, and 5/05/2023.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was one project contracted in the previous FY.</p> <p>>>> <i>Upgrading of Butoha HC II in Rubirizi District Local government; Contractor: M/S Mariam and Brother Trading Co., Limited; Contract reference number: MoH-UGIFT/WRKS/21-22/00019 Lot 14; Contract Sum: UGX 794,727,166/=; Contract signature date: 27/03/2023.</i></p> <p>Note: This project did not commence (the contractor has not received the commencement order to date). Therefore, the LG did not have running project(s) for upgrading HC IIs to HC IIIs in the previous FY.</p>	1

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0

If there is no project, provide the score

There was one project contracted in the previous FY for upgrading HC IIs to HC IIIs.

>>> Upgrading of Butoha HC II in Rubirizi District Local government; Contractor: M/S Mariam and Brother Trading Co., Limited; Contract reference number: MoH-UGIFT/WRKS/21-22/00019 Lot 14; Contract Sum: UGX 794,727,166/=; *Contract signature date: 27/03/2023.*

Note: *This project did not commence (the contractor has not received the commencement order to date). Therefore, the LG did not have running project(s) for upgrading HC IIs to HC IIIs in the previous FY.*

Other projects under health were as follows:

>>> Construction of a staff house at Kyabakara HC III; Contract reference number RUBI922/WRKS/2022-2023/0004; Contractor: Rimston and Mark Projects Limited; Contract sum as read from the contract agreement was UGX 161,866,187/=. Contract period: 5 months. *This was evidenced through four (04) monthly meetings held on 9/01/2023, 29/03/2023, 24/05/2023, and 14/06/2023. Evidence that CDO, environment officer and engineer attended the meetings was availed.*

>>> Construction of a fence at Mubanda HC III; Contract reference number RUBI922/WRKS/2022-2023/00012; Contractor: Mattrock Technical Services Limited; Contract sum as read from the contract agreement was UGX 39,954,800/=. **No site meetings held.**

>>> Construction of general ward at Kichwamba HC III; Contract reference number RUBI922/WRKS/2022-2023/0005; Contractor: Mutara Works Enterprises Limited; Contract sum as read from the contract agreement was UGX 116,507,964/=. Contract period: 5 months. This was evidenced through three (03) monthly site meetings held on 25/02/2023, 12/01/2023, and 15/12/2022. Evidence that environment officer and engineer attended the meetings was shown in the attendance lists. **However, there was no evidence that the CDO participated in the supervision.**

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0

The LG Health Officer did not certify works and recommend payments to contractors implementing Health Projects in FY2022/23 within 10 working days after the request for payment was made by contractors.

Evidence

1. Request for Payment was made by M/s Rimston & Mark Projects Ltd on 15 June 2023 for the construction of a staff house at Kyabakara HCIII for UGX 124,230,123. The District Health Officer recommended payment on 22 June 2023 and certified works on 26 June 2023 within 10 working days after receiving the request for payment from the contractor. The payment was made on EFT NO. 6439819 on 28 June 2023.

2. Request for Payment was made by M/s Mutara Works Enterprises Limited on 19 May 2023 for the construction of a General Ward at Kichwamba HCIII for UGX 116,507,964. The District Health Officer recommended payment and certified works on 5 June 2023 i.e. 11 working days after receiving the request for payment from the contractor. The payment was made on 28 June 2023 on EFT NO. 6434130.

3. Request for Payment was made by M/s Rimston & Mark Projects Ltd on 30 January 2023 for the construction of a staff house at Kyabakara HCIII for UGX 45,695,500. The District Health Officer recommended payment and certified works on 28 February 2023 i.e. 21 working days after receiving request for payment from contractor. The payment was made on EFT NO. 4715851 on 03 April 2023.

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0

There was evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law.

>>> Upgrading of Butoha HC II in Rubirizi District Local government; Contractor: M/S Mariam and Brother Trading Co., Limited; Contract reference number: MoH-UGIFT/WRKS/21-22/00019 Lot 14; Contract Sum: UGX 794,727,166/=; *Contract signature date: 27/03/2023 (contract agreement available on file). The contracts committee approved the contract on 25/01/2023 under minute number 081 (document available on file); the Solicitor General approved the contract on 20/03/2023 (document available on file); the Evaluation report dated 6/06/2022 (document available on file).*

>>> Construction of a staff house at Kyabakara HC III; Contract reference number RUBI922/WRKS/2022-2023/0004; Contractor: Rimston and Mark Projects Limited; Contract sum as read from the contract agreement was UGX 161,866,187/=; *Contract signature date 21/12/2022 (contract agreement available on file); The contracts committee approved the contract on 29/11/2022 under minute number: 40/CC/22-23 (contract available on file); Evaluation report dated 16/11/2022 (document available on file).*

>>> Construction of general ward at Kichwamba HC III; Contract reference number RUBI922/WRKS/2022-2023/0005; Contractor: Mutara Works Enterprises Limited; Contract sum as read from the contract agreement was UGX 116,507,964/=. *Contract signature date 16/12/2022 (contract agreement available on file); The contracts committee approved the contract on 29/11/2022 under minute number: 41/CC/22-23 (contract available on file); Evaluation report dated 16/11/2022 (document available on file).*

Environment and Social Safeguards

Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework

Maximum 2 points on this performance measure

a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0

There was evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework for health projects;

Mr. Kwemara Deo on 30/06/2023 reported a complaint of encroachment on his land during construction of a fence at Mutanda HCIII . The committee sat and recommended demarcating of the land and the matter was closed.

15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>There was evidence that the LG issued guidelines on medical waste management and followed up on the implementation of the health care waste management guidelines by HCs. This was evidenced from the dissemination dated 21st June 2022 where 15 health facilities signed for the WASH 2022 guidelines.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>The LG had in place a functional system for Medical waste management and a local infrastructure for managing medical waste. Green label services ltd was the service provider contracted to manage medical waste. This was evidenced from the Memorandum of Understanding dated 1st October 2022 and signed by the DHO and CAO on 3rd October 2022.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>There was evidence of Health care waste management trainings were conducted. This was evidenced from the training report of 2nd January 2023.</p>	1
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0</p>	<p>There was evidence that coted ESMPs were incorporated in the BoQs of health projects;</p> <p>BOQs for the Fencing of Mubanda HCIII had a costed ESMP of UGX: 870,000 incorporated therein.</p> <p>BOQs for the Construction of the staff house at Kyabakara HCIII had costed ESMP of UGX: 400,000 incorporated therein.</p> <p>BOQs for the Construction of a general ward at Kichwamba HCIII had costed ESMP of UGX: 200,000 incorporated therein.</p>	2

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>There was evidence that health sector projects were implemented on land where the LG has proof of ownership, access, and availability ;</p> <p>Mubanda HC III- Form A- Confirmation of availability of land to support the upgrading of HCIIIs to HCIIIIs for Mushumba (Mubanda) signed by the CAO, DHO, and sub-county chief- dated 23/07/2021.</p> <p>Kyabakara HC III - Certificate of title -freehold register, volume MBR 1445 FOLIO 20, Plot 19, block 6 at Nyabubare II dated 03/04/2023 signed by the registrar of titles.</p> <p>Kichwamba HC III- Certificate of title -freehold register, volume MBR 499, FOLIO 20, block road 10, plot 269, Kichwamba on 06/12/2017 by the registrar of titles.</p>	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>There was evidence that LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provided monthly reports;</p> <p>Monthly monitoring for the fencing of Mubanda HCIII was conducted per monitoring reports dated 03/07/2023 and 11/04/2023.</p> <p>Monthly monitoring for the construction of the staff house at Kyabakara HC III was conducted per monitoring reports dated 03/07/2023 and 11/04/2023.</p> <p>Monthly monitoring for a general ward at Kichwamba HC III was conducted per monitoring reports dated 03/07/2023 and 11/04/2023.</p>	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0</p>	<p>There was evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects;</p> <p>The E&S certification form for fencing of Mubanda HC III was prepared on 07/06/2023 and payment was made on 14/06/2023.</p> <p>The E&S certification form for the construction of the staff house at Kyabakara HC III was prepared on 19/06/2023 and payment was made on 23/06/2023</p> <p>The E&S certification form for the construction of a general ward at Kichwamba HCIII was prepared on 02/06/2023 and payment was made on 05/06/2023The</p>	2

**Water &
Environment
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>According to the Ministry of Water and Environment (MoWE) Management Information Systems (MIS) the LG had rural water source functionality at 95% in FY 2023/2024.</p>	2
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>Review of MoWE MIS report, it was established that the LG had 97% of facilities with functional water and sanitation committees characterized by documented water user fee collection records and utilization with approval of the Water and Sanitation Committees.</p>	2
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;</p> <ul style="list-style-type: none"> • Above 80%, score 2 • 60% - 80%, score 1 • Below 60%, score 0 	<p>There was evidence Rubirizi District LG conducted LLG performance assessment. Results were submitted to the Office of the Prime Minister (OPM) The average score under water and environment was 98%. This was justification for the score awarded.</p>	2

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.

o If 100 % of water projects are implemented in the targeted S/Cs: Score 2

o If 80-99%: Score 1

o If below 80 %: Score 0

There was evidence that 100% of the implemented water projects targeted sub-counties with safe water below district average including Magambo and Kirugu.

From the Ministry of Water and Environment (MoWE) Management Information Systems (MIS) report for FY 2022/2023, Rubirizi District Local Government had an average safe water coverage of 67%. The sub-counties of Kirungu at 37%, Kicwamba at 39%, Magambo at 57%, Katanda at 64% and Kateera at 67% were reported below district average.

Review of the Annual Work Plan and Budget dated 30th/06/2022 and the Annual Progress Report FY 2022/2023 the LG had a total development grant of UGX 429,050,849/= and budgeted for implementation the following WSS Projects.

a) Construction of Rutoto piped water supply system phase II in Magambo sub-county at UGX 417,572,500/=

b) Installation of hydropower to Kikumbo piped water supply system in Kirugu sub-county at a cost of UGX 9,799,900/=

c) Extension of safe water to UgIFT projects including construction of Ferrocement tank at Kyabagara HCIII, extension of water to Ndangaro HCIII at a cost of UGX 24,931,040/= (the grant guidelines stipulate extension on water to UgIFT projects regardless the safe water coverage of the sub-county)

Review of the APR FY 2022/2023 Page 5, all the planned WSS projects were in sub-counties below the district average safe water coverage and reported implemented.

2

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/-20% of engineer's estimates

o If within +/-20% score 2

o If not score 0

There was evidence variations in contract prices of sampled WSS infrastructure investments for FY 2022/2023 were within +/-20% of the engineer's estimates. Sampled project contracts included;

a) Construction of Rutoto piped water supply system that had engineer's estimates of UGX 423,472,500/=. Review of the contract agreement signed between Rubirizi District LG and M/S Shine Afric Ltd dated 15th/06/2023 the contract price was UGX 417,572,500/=. The project had a price variation of 1.4%.

b) The installation of hydropower to Kikimbo piped water supply system that had engineer's estimates of UGX 9,982,800/=. Review of contract agreement dated 28th/12/2022 between Rubirizi District LG and M/S Tumoga Enterprises Ltd the contract price was UGX 9,799,900/=. The variation was 1.9%

c) Extension of safe water to UgIFT projects had engineer's estimates of 24,977,060/=. From the contract agreement signed between the LG and M/S Tumoga Enterprises Ltd on 17th/04/2023 the contract sum was UGX 24,931,040/=. The variation in price was 0.9%. All sampled contracts were within stipulated range.

2

2

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

d. % of WSS infrastructure projects completed as per annual work plan by end of FY.

o If 100% projects completed: score 2

o If 80-99% projects completed: score 1

o If projects completed are below 80%: 0

1. Review of the Annual Performance Report FY 2022/2023 status of implemented WSS projects was reported on Page 5. It was established that physical completion of the rehabilitation of shallow wells and borehole was at 100%, extension of piped water supply system to UgIFT projects was at 100% and Installation of hydropower to Kikumbo piped water supply system reported completed at 100% and in conformity to engineer's specifications. However, the construction of Rutoto piped water supply system phase II was reported rolled over to FY 2023/2024 due to budget constraints. The percentage completion of WSS projects within the FY stood at 75% below the threshold for the score.

0

3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <p>o If there is an increase: score 2</p> <p>o If no increase: score 0.</p>	<p>According to the MoWE MIS report, the LG had 95% of the water facilities functioning in FY 2021/2022. The LG registered the same percentage coverage in FY 2022/2023. It was established that there was no percentage increment across the two FYS.</p>	0
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <p>o If increase is more than 1% score 2</p> <p>o If increase is between 0-1%, score 1</p> <p>o If there is no increase : score 0.</p>	<p>There was evidence the LG had registered increase in functionality of WSCs between FY 2021/2022 and 2022/23. According to the MoWE MIS the LG had functionality at 89% in 2021/2022 and increased to 97% in FY 2022/2023. This represented 8% increment across the FYS.</p>	2

Performance Reporting and Performance Improvement

4	<p>Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3</p>	<p>There was evidence the LG Water Office accurately reported on WSS facilities constructed in FY 2022/2023 and performance of the facilities. Review of the APR FY 2022/2023 dated 7th/07/2023 the Status of procured infrastructure projects was reported whereby completion levels per infrastructure reported. Triangulation was made by field visits to the sub-counties of Magambo, Rutoto and Kirugu for the construction of Rutoto piped water supply systems, extension of safe water to UgIFT projects and installation of hydropower respectively. It was indeed established that Rutoto piped water supply had rolled in the next FY as reported, other projects were completed and functional as stated in the Annual Performance Report.</p>	3
---	---	--	--	---

5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2</p>	<p>There was evidence that the LG Water Office collected and compiled quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs and involvement of communities.</p> <p>The four quarterly reports were presented for review where quarter one information was compiled on 14th/10/2022, quarter two report on 12th/01/2023, quarter three report on 13th/04/2023 and quarter four report 7th/07/2023. From the reviewed reports summary of issues like sanitation including, population, latrine coverage and hand washing facilities percentages in Ryeru (the selected Sanitation LLG within the FY was captured) The functionality of facilities and WSCs captured using MoWE database Form 4 and participation and roles of communities in the critical requirements elaborated as evidence to community involvement.</p>	2
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0</p>	<p>The LG Water Office updated the MIS (WSS data) quarterly with water and sanitation information about new facilities, population served, functionality of WSCs and WSS facilities. There was equally evidence that the collected data was used to inform selection of beneficiary sub-counties during planning process. The update was done using MoWE Form 1 for rehabilitated water sources dated 4th/04/2023 and Form 2-data collection for piped water systems/schemes. The piped water system data was updated on 5th/06/2023.</p>	3

5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>There was evidence the LG Water Office had supported the 25% lowest performing LLGs in performance assessment. According to the submitted results to Office of the Prime Minister (OPM), the average LLG performance under water and environment was 98%. The sub-counties of Katerera with 85%, Kyabakara with 86% and Kirugu with 87% were the lowest performing.</p> <p>Presented for review was the Performance Improvement Plan (PIP) for LLGs under water and environment dated 6th/10/2023 developed by the LG Water Officer addressed to CAO copied to the District Planner. Identified gaps included laxity in submitting requests for consideration, inadequate monitoring of water related projects, poor O&M fees collection and poor sanitation and hygiene.</p>	2
---	--	--	--	---

Human Resource Management and Development

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p>	<p>A review of the Rubirizi District Local Government Budget Framework Paper for FY 2023/24(Vote 922), the Water Officer budgeted for the critical staff as found at page 5 sub-programme water.</p>	2
6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>A review of the Rubirizi District Local Government Budget Framework Paper for FY 2023/24(Vote 922), the District Natural Resources Officer budgeted for the critical staff as found at page 5 sub-programme Natural Resources.</p>	2

7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>Rubirizi DLG District Water Officer appraised all the District Water Office staff within the stipulated time for the period under review as shown below.</p> <p>1. Assistant Water Officer (Mobilisation) - Bagambe Apex. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 30th June 2023.</p> <p>2. Borehole Maintenance Technician - Magara Patrick. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 30th June 2023.</p>	3
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p>	<p>There was evidence the LG Water Office identified capacity needs of staff from performance appraisal process. The staff from water department were appraised using staff performance appraisal forms for public service-PS Form 5. From the capacity building file, a capacity building needs plan for Water Department for FY 2022-2023 (Ref.752/4/1) dated 24th/08/2022 was reviewed. The plan was prepared by the LG Water Officer addressed to Human Resource Officer. The subject of training included design of water projects, report writing, value for money audit and use of water equipments.</p> <p>Presented for review was report dated 10th/06/2022 about training of Water Office staff about new water technologies at the Ministry of Water and Environment (MoWE) Headquarters. The trainees included the DWO, AWO in charge Mobilization and Borehole Maintenance Technician.</p> <p>Presented as evidence was a training report on detailed Engineering of water designs dated 10th/04/2023 addressed to CAO. The training scope included rural water supply, importance of design water committees, stages of design report, demand estimations, permitting systems etc.</p>	3

Management, Monitoring and Supervision of Services.

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<ul style="list-style-type: none"> • a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district: • • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3 • If 80-99%: Score 2 • If 60-79: Score 1 • If below 60 %: Score 0 	<p>There was evidence that the LG Water Officer prioritized budget allocations to sub-counties that had safe water coverage below that of the district.</p> <p>According to the MoWE MIS report, the district had an average safe water coverage of 67%. The sub-counties of Kirugu at 37%, Kicwamba at 39%, Katunguru at 56%, Magambo at 57% and Katanda at 64% were reported below district average.</p> <p>Review of the AWP&B FY 2023/2024 the LG had a total development budget of UGX 444,816,629/= and budgeted for the following WSS projects.</p> <p>a) Extension of Rutoto piped water supply system phase III in Magambo sub-county at a cost of UGX 294,753,000/=</p> <p>b) Design of a Gravity Flow Scheme in Katanda sub-county at UGX 40,000,000/=</p> <p>c) Rehabilitation of point water sources at UGX 20,000,000/=</p> <p>All the capital investment budget of the development grant targeted sub-counties with safe water coverage below district average.</p>	3
8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3</p>	<p>There was evidence that the LG Water Office communicated to LLGs their respective allocation to be constructed in FY 2023/2024. Presented for review was a circular dated 4th/01/2023 addressed to Senior Assistant Secretaries (SAS) of Magambo, Kirugu, Katerera, Kicwamba, Rutoto, Katunguru and Katanda about budget allocations. There was equally evidence of receipt of the communication by respective SAS (appending signatures on circulars)</p>	3

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)

- If 95% and above of the WSS facilities monitored quarterly: score 4
- If 80-94% of the WSS facilities monitored quarterly: score 2
- If less than 80% of the WSS facilities monitored quarterly: Score 0

There was evidence the LG monitored all water and sanitation facilities quarterly in FY 2022/2023. Presented as evidence was the water and sanitation monitoring plan FY 2022/2023 dated 10th/07/2022. The plan detailed WSS facilities and point sources to be monitored, timeframe within the four quarters. The monitoring plan was developed by the LG Water Officer.

Presented for review was quarter one monitoring report for water facilities dated 22/09/2022. Among key findings included bushy water sources, non-functional tap stands, leakages of pipes notably near Kagongoro among others. The key challenges included inadequate collection of user fees to cover O&M requirements, inefficient scheme attendants, taps on high altitude experience low water pressure especially during peak hours.

Quarter two monitoring report of water facilities was dated 23rd/11/2022. The report highlighted experienced emergencies and recommended allocation of budget line for emergency occurrences in water. Another monitoring finding was wastage at the source due to poor O&M of facilities.

Quarter three monitoring dated 30th/03/2023, the main finding was huge volumes of water put to waste due to poor Operations and Monitoring

Quarter four report dated 14th/06/2023 and major monitoring findings included low water pressure experienced with most piped schemes. limited response to O&M issues, colored water reported from Kashaka piped water supply system. The report recommended intensive treatment and replacement of non-functional pumps.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2

There was evidence from the LG quarterly reports that District Water and Sanitation Coordination Committee (DWSCC) meetings were conducted. Reviewed from quarterly software reports were minutes of DWSCC meeting held on 29th/06/2023 whereby under minute 3/2022/2023 the LG Water Officer informed stakeholders leakages, breakdown of facilities and poor O&M. Proposed was to partially handover Kikumbo piped water supply system and Munyonyi GFS to Southwestern Umbrella and National Water and Sewerage Cooperation (NWSC) for better operational arrangements.

Quarter two DWSCC meeting was conducted on 16th/03/2023 at the district headquarters under minute 10/2022/2023; Presentation of progress report where the LG Water Officer reported that Kikumbo piped water supply was down due to poor O&M. Under Min:12/2022/2023 main issues raised included misuse of collected user fees and under Min:13/2022/2023: Way forward; non-functional water sources were compiled and submitted to LG Water Office for action.

Quarter three DWSCC meeting held on 15th/03/2023 under Min:06/2022/2023, members were informed by the DWO that Katerera Parish in Katerera Sub-County had severe water problems and there was need to install at least 3-Public Stand Taps. Under Min: 06/2022/2023 meeting resolved to handover piped water systems to Southwestern Umbrella and NWSC for better management.

Quarter four DWSCC meeting held on 29th/06/2023 under Min:18/2022/2023 way forward and closure the need to utilize NWSC and Southwestern Umbrella for proper management was stressed after failure of committees and water boards.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2

There was evidence the LG Water Officer publicized budget allocations for FY 2023/2024 detailing allocations to sub-counties. Circulars were pinned on the District Notice Board.

10	Mobilization for WSS is conducted <i>Maximum 6 points on this performance measure</i>	a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities: <ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 	There was evidence the LG allocated 41.2% of the Non-Wage Recurrent (NWR) rural water and sanitation budget towards mobilization activities as per grant guidelines. The total budget NWR budget was UGX 56,962,590/= of which UGX 23,474,500/= was allocated to software component.	3
----	--	--	--	----------

10	Mobilization for WSS is conducted <i>Maximum 6 points on this performance measure</i>	b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.	There was evidence the LG Water Officer in liaison with Community Development trained WSCs on roles on O&M of WSS facilities. Presented for review was a report on formation and training of Water and Sanitation Committee members. The report was dated 28th/12/2022. Committee members were trained on sanitation and hygiene promotion, community mobilization for water activities, purchase of simple spare parts, reporting arrangements, O&M and critical requirements. Facilitation was by DWO, AWO-Mobilization and CDO-Rutoto sub-county.	3
----	--	---	--	----------

Investment Management

11	Planning and Budgeting for Investments is conducted effectively <i>Maximum 14 points on this performance measure</i>	a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG: Score 4 or else 0	There was evidence the LG had a LG Water and Sanitation Asset register. The register detailed WSS facilities, location, date of acquisition and condition of facility. The register was last updated on 22/08/2023 capturing all constructed WSS facilities of FY 2022/2023.	4
----	---	---	--	----------

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDPIII) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p> <p>Score 4 or else score 0.</p>	<p>The LG provided evidence confirming that desk appraisals for all WSS projects to be implemented in FY2023/24 were conducted, the prioritized projects were derived from the LG DPIII and eligible for expenditure as per sector guidelines and funding source.</p> <p>Evidence</p> <p>Rutoto Piped Water Supply System Phase III in Rwemitagu Parish was derived from the DPIII. Desk appraisal was completed on 6 July 2023.</p> <p>Rehabilitation of a Spring (Ryamatumba I) in Katanda Sub County was derived from the DPIII. Desk appraisal was completed on 19 July 2023.</p> <p>Rehabilitation of Shallow Well (Nyangorogoro I) in Magambo Sub County was derived from the DPIII. Desk appraisal was completed on 19 July 2023.</p>	4
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>There was evidence that all budgeted investments for FY 2023/2024 were demand driven. They all had application letters from beneficiary communities. For extension of Rutoto piped water supply system, presented as evidence was signed request form for provision of safe water to Ndangaro Primary School dated 29th/03/2023 addressed to the CAO through the DWO by the Head Teacher.</p> <p>The community of Nyandongo Parish in Katanda sub-county requested for safe water in a letter dated 18th/01/2023 addressed to CAO through DWO. In the AWP&B for FY 2023/2024 the design of Nyandongo GFS in Katanda sub-county was prioritized.</p>	2

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>The LG provided evidence confirming that field appraisals for WSS Projects to be implemented in FY2023/24 were conducted to establish their technical feasibility, environmental and social acceptability, and customized designs.</p> <p>Evidence</p> <p>Field appraisal for Rutoto Piped Water Supply System Phase III in Rwemitagu Parish was completed on 25 July 2023.</p> <p>Field appraisal for the rehabilitation of a Spring (Ryamatumba I) in Katanda Sub County was completed on 28 July 2023.</p> <p>Field appraisal for the rehabilitation of Shallow Well (Nyangorogoro I) in Magambo Sub County was completed on 20 July 2023.</p>	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>There was evidence that all water infrastructure projects for the previous FY were screened for environmental and social risks/ impacts and ESMPs were prepared before being approved for construction;</p> <p>Construction of Ruto piped water system phase II was screened on 10/08/2022 with costed ESMPs of UGX: 2,450,000 prepared on 10/08/2022.</p> <p>Extension of hydroelectric power to Kikumbo piped water system source was screened on 30/08/2020 with a costed ESMP of UGX: 250,000 on 10/08/2022.</p> <p>Construction of Ferro water tank at Kyabakara HCIII was screened on 31/08/2022 with costed ESMP of UGX: 1,270,000 dated 31/08/2022.</p>	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>There was evidence that the water infrastructure investments were incorporated in the LG-approved procurement plan for the previous FY. These included the following:</p> <p>>>> Construction of Rutoto piped water system, budgeted for UGX 433,786,000/=.</p> <p>>>> Rehabilitation of water point sources in the district, budgeted for UGX 17,000,000/=</p> <p>>>> Supply and installation of hydropower at Kikumbo water supply system, budgeted for UGX 10,000,000/=</p>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>There was evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before the commencement of construction as follows:</p> <p>>>> Construction of Rutoto piped water system; contract sum: UGX 417,572,500/=; Contractor: M/S Shine Afric Limited; Contracts Committee approved the contract on 29/11/2022 under minute number: 38/CC/22-23.</p> <p>>>> Rehabilitation of water point sources in the district; contract sum: UGX 16,643,900/=; Contractor: M/S MR Constructors (U) Limited; Contracts Committee approved the contract on 16/03/2023 under minute number: 99/CC/22-23.</p> <p>>>> Supply and installation of hydropower at Kikumbo water supply system; Contract sum: UGX 9,799,900/=; Contractor: M/S Tumoga Enterprises Limited; Contracts Committee approved the contract on 29/11/2022 under minute number: 57/CC/22-23.</p>	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p><i>For Water and sanitation infrastructure projects</i></p> <p>>>> In the letters (5No. with the same reference number CR156/6/1) signed and stamped by the CAO, dated 22/12/2022, the CAO appointed the Contracts Manager (district engineer), Project Manager (DEO), Labour officer, Community Development Officer, and Environment Officer as the Project Implementation Team (PIT) members for health infrastructure projects.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>There was proof that the sampled three WSS facilities were constructed as per designs and technical specifications.</p> <p>a) Reviewed was designs for Rutoto piped water supply systems Report by Voyage Investments Ltd and the technical specifications in the BoQs for phase II. Fittings of HDPE coupling 90mm PN16 for transmission and distribution lines. 4inch GI pipe, reinforced concrete beam. The scheme had 8 public double tap stands covering a distance of 4kms to address the issues of delays in peak ours. The project had power installation including a transformer, poles and meter. Installation of pump and control panel.</p> <p>b) The extension of water to UgIFT projects- Ndangaro HCIII; works included installation of overhead tank, pipe networks to maternity ward and staff houses. Installation of double public tap stand post.</p> <p>c) Installation of hydropower to Kikumba that involved installation of one electric pole, wire stringing and installation of a meter.</p> <p>All the works were in conformity to designs and technical specifications.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2</p>	<p>There was evidence that the relevant technical officers carried out technical supervision of WSS infrastructure projects. The reports dated 14/06/2023, 30/3/2023, 23/11/2022, and 22/9/2022 for quarters 4, 3, 2, and 1 respectively were presented to confirm supervision where the engineer, DWO, environment officer participated in the supervision.</p> <p>However, the supervision was not monthly; it was quarterly.</p>	0
	<p><i>Maximum 14 points on this performance measure</i></p>			

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts

o If 100 % contracts paid on time: Score 2

o If not score 0

The LG Water Officer did not verify, certify, and initiate payments to contractors implementing water projects in FY2022/23 within the recommended timeframes for payments to contractors.

Evidence

Payments to contractors are recommended within 30 days after certification of works.

1. Request for Payment was made by M/s Shine Afric Ltd on 29 May 2023 for the construction of Rutoto Piped Water Supply system phase II for UGX 62,000,000. The District Water Officer recommended payment on 30 May 2023. Payment Certificate 2 was prepared and signed by the District Water Officer on 30 May 2023. The payment was made on 15 June 2023 EFT NO. 5903694. The payment was made within 16 days after the certification of works.

2. Request for Payment was made by M/s Tumoga Enterprises Ltd on 19 May 2023 for the extension of water to UGIFT of Ndangaro and Kyabakara HCIII for UGX 24,931,040. The District Water Officer recommended payment on 23 May 2023. Payment Certificate 1 was prepared and signed by District Water Officer on 22 May 2023. The payment was made on 28 June 2023 EFT NO. 6440015. The payment was made 37 days after the certification of works.

3. Request for Payment was made by M/s M.R- Constructors (U) Ltd on 8 May 2023 for the rehabilitation of water sources in the District for UGX 16,643,900. The District Water Officer recommended payment on 12 June 2023. Payment Certificate 1 was prepared and signed by the District Water Officer on 12 June 2023. The payment was made on 28 June 2023. EFT NO. 6439492. The payment was made within 16 days after the certification of works.

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:

Score 2, If not score 0

There was evidence that a complete procurement file for water infrastructure investments was in place for each contract with all records as required by the PPDA Law.

Details captured as shown below:

>>> Construction of Rutoto piped water system; contract sum: UGX 417,572,500/=; Contractor: M/S Shine Afric Limited; Contracts Committee approved the contract on 29/11/2022 under minute number: 38/CC/22-23 (document available on file); Evaluation report dated 16/11/2022 (document available on file); Contract agreement dated 6/01/2023 (document available on file).

>>> Rehabilitation of water point sources in the district; contract sum: UGX 16,643,900/=; Contractor: M/S MR Constructors (U) Limited; Contracts Committee approved the contract on 16/03/2023 under minute number: 99/CC/22-23 (document available on file); Evaluation report dated 15/03/2023 (document available on file); Contract agreement dated 17/04/2023 (document available on file).

>>> Supply and installation of hydropower at Kikumbo water supply system; Contract sum: UGX 9,799,900/=; Contractor: M/S Tumoga Enterprises Limited; Contracts Committee approved the contract on 29/11/2022 under minute number: 57/CC/22-23 (document available on file); Evaluation report dated 16/11/2022 (document available on file); Contract agreement dated 28/12/2022 (document available on file).

Environment and Social Requirements

13	<p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p>	<p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p>	<p>There was evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework;</p>	3
		<p>Score 3, If not score 0</p>	<p>On 20/06/2023, Mr. Kijana Jackson reported a complaint of failure to be considered as a beneficiary of water from Rutoto pumped supply yet the water source is from his village. The committee engaged the water technicians and subcounty team to handle the matter and the matter was resolved.</p>	
14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p>	<p>There was evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs per minute report on dissemination of guidelines addressed to the CAO on 18/08/2022, signed by the DWO, SEO and CDO. Attached to the report was an attendance list of CDOs and other extension workers.</p>	3
		<p>Score 3, If not score 0</p>		
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented:</p>	<p>There was evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented as per the plan developed for Rutoto piped water system dated 07/06/2023. In the same vein, the Environment Officer presented a report to the CAO dated 25/10/2023² showing the progress of implementation of the water system per activities recommended in the plan, some of the activities included; the water source being fenced off and farmers in the wide catchment area had been trained in soil and water conservation technologies by the agricultural officers.</p>	3
		<p>Score 3, If not score 0</p>		

15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 3, If not score 0</p>	<p>There was evidence of ownership of land by the LG were WSS infrastructure investments were constructed. Presented as evidence was land tittle transferred from Yonasan Beshorora of Nkumbe II village TO Rubirizi District LG for the construction of Rutoto piped water supply system. The tittle was on Block 29, Plot 68 (IS Number: MBR/2022/02146) Ref.MBR 00096571</p> <p>Construction of Ferro-cement tank at Kyabakara HCIII in Kyabakara sub-county. There was evidence of land tittle in names of Rubirizi District LG. Area 1.0130 hectares, plot 19, block 6.</p> <p>Extension of water to UgIFT projects, there was evidence of signed agreement between Kashenyi Catholic Church and LC II Ndangaro dated 10th/11/2004.</p>	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:</p> <p>Score 2, If not score 0</p>	<p>The LG provided evidence confirming that the LG Environment Officer and Community Development Officer completed and signed E&S Certification forms prior to payments being made to Contractors for Water Projects implemented in FY2022/23.</p> <p>Evidence</p> <ol style="list-style-type: none"> 1. Request for Payment was made by M/s Shine Afric Ltd on 29 May 2023 for the construction of Rutoto Piped Water Supply system phase II for UGX 62,000,000. E & S Certification forms were completed by the LG Environment Officer and Community Development Officer on 24 May 2023. The payment was made on 15 June 2023 EFT NO. 5903694. 2. Request for Payment was made by M/s Tumoga Enterprises Ltd on 19 May 2023 for the extension of water to UgIFT of Ndangaro and Kyabakara HCIII for UGX 24,931,040. E & S Certification forms were completed by the LG Environment Officer and Community Development Officer on 12 May 2023. The payment was made on 28 June 2023 EFT NO. 6440015. 3. Request for Payment was made by M/s M.R- Constructors (U) Ltd on 8 May 2023 for the rehabilitation of water sources in the District for UGX 16,643,900. E & S Certification forms were completed by the LG Environment Officer and Community Development Officer on 9 June 2023. The payment was made on 28 June 2023. 	2

Safeguards in the
Delivery of Investments

*Maximum 10 points on
this performance
measure*

d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:

Score 2, If not score 0

There was evidence that the CDO and environment Officers undertook monitoring to ascertain compliance with ESMPs; and provided monthly reports;

Monitoring for the construction of Rutoto piped water system phase II was conducted per monitoring reports dated 10/05/2023, 27/06/2023, 22/09/22, 23/11/2023, 30/03/2023, and 14/06/2023.

Monitoring for the extension of hydro-electric power to Kikumbo piped water system source was conducted per monitoring report dated 03/04/2023.

Monitoring for the Ferro water tank at Kyabakara HCIII was conducted per the monitoring report dated 22/05/2023.

**Micro-scale
Irrigation
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>Yes, there was evidence that the LG has up to-date data on irrigated land for the last two FYs.</p> <p>As per a report titled “Rubirizi District irrigation projects summary report” dated 10th November 2023, for FY 2022/2023 total land under irrigation was 22.8 acres, of which 10.9 acres was under micro-scale irrigation grant beneficiaries and 11.9 acres under micro-scale irrigation non beneficiaries.</p> <p>According to the same report for FY 2021/2022 there was no land under micro-scale irrigation grant beneficiaries and 3.5 acres under micro-scale irrigation non beneficiaries.</p>	2
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>Yes, there was evidence that the LG has increased acreage of newly irrigated land in the previous fiscal year.</p> <p>In the fiscal year 2022/2023, the total land under irrigation was 22.8 acres, whereas in the fiscal year 2021/2022, the total land under irrigation was 3.5 acres. Therefore increased acreage of newly irrigated land was 19.3 acres, hence more than 5% increase.</p>	2
2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%, score 4 • 60% - 70%, score 2 • Below 60%, score 0 	<p>The LG average score in the Micro-scale Irrigation LLG performance assessment for 2023 was 96%.</p>	4

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0	As per the grant guidelines of previous FY, the LG was allocated a total of 176,895,084/-, of which 53,068,525/- (30%) was meant for establishment of three micro-scale irrigation demonstration sites. Implying that each site could cost 17,689,508/-	2
	Maximum score 6		Budget performance was captured in Quarter three report dated 4th April 2023, LG used 72,314,260/- (40.9%) for establishment of three micro-scale irrigation demonstration sites. Implying that each site cost 24,104,753/-. The LG got the permission to use 40.9% from the MAAIF in a letter dated 7th March 2023 and signed by Permanent Secretary. In the letter the LG was instructed to utilize not more than 25,000,000/- for each irrigation demonstration site due to hard to reach nature of the demonstration sites.	
			Therefore, LG was permitted by MAAIF to use 40.9% instead of 30% for installation of demonstrations sites since the sites were in hard to reach areas.	
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	The LG provided evidence confirming that Approved Demo Host farmers signed acceptance forms confirming that equipment on the demonstration sites was working well before payments were made to suppliers in FY2022/23.	1
	Maximum score 6		<p>Evidence</p> <p>Request for Payment was made by M/s Adritex (U) Ltd on 15 June 2023 for the supply and installation of irrigation for UGX 72,314,260. The production Officer recommended payment on 19 June 2023. Payment was made on EFT No. 6439819 on 28 June 2023.</p> <p>Acceptance/Non-Acceptance Forms were signed by Demo Host Farmers i.e.</p> <ul style="list-style-type: none"> • Mr. Kateeba Eric on 08 June 2023 • Mr. Kayemba Munir on 09 June 2023. • Mr. Kanyanshanda Catholic on 07 June 2023. 	

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	Evidence that the variations in the contract price are within +/- 20% of the Agriculture Engineers estimates: Score 1 or else score 0	M/S ADRITEX Ltd, the irrigation equipment supplier signed a contract on 27th February 2023 to install three micro-scale irrigation demonstration sites at a total cost of 72,314,260/-	1
	Maximum score 6		As per the agricultural engineer's cost estimates, the cost of installing the three demonstration sites were as follows:	
			Katanda site; = 24,409,400/-	
			Kirugu site = 25,872,600/-	
			Rutoto site = 23,583,660/-	
			The total for agricultural engineers cost estimates = 73,865,660/-	
			Hence the percentage variation in the contract price = +2.1%, hence within +/-20%.	
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY	As per the Quarter four report dated 7th July 2023, the installation of three micro-scale irrigation demonstration sites (Rutoto, Kirugu and Katanda) were completed and commissioned on 31st May 2023. Completion certificate was availed.	2
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0 	Therefore, the planned irrigation demonstration sites where contracts were signed during the previous FY were installed/completed within the previous FY.	
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	a) Evidence that the LG has recruited LLG extension workers as per staffing structure	Rubirizi DLG recruited LLG extension staff. Out of the sixty staff (SC-54No. and TCs- 6No.) provided for in the approved staff structure, thirty-seven were in post and deployed in the LLG as evidenced by the current staff list provided by the HRM Division. However, a review of the appraised staff list indicating their workstations from the DPO's office revealed that without the district staff, the extension staff at the LLGs were thirty-three inclusive of two (vermin guards) not provided for in the structure. This gave an average of 55% of extension staff filled at the LLGs as evidenced by discrepancies shown hereunder.	0
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 • If 75 - 99% score 1 • If below 75% score 0 		

4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF</p> <ul style="list-style-type: none"> • If 100% score 2 or else score 0 	<p>Out of the three irrigation demonstration sites installed, only Katanda site was visited. Kirugu and Rutoto sites were not reachable due to heavy rains making the roads impassable.</p> <p>Therefore, the features observed from the site were;</p> <p>Katanda site: Solar powered drip system (solar water pump (50m head, pump discharge is 45 liters/min); 10,000lts HDPE water tank; 63mm HDPE pipe PN.10; 50mm HDPE pipe PN.10; 40mm HDPE pipe PN.10; Sprinklers of 3/4" (12); Drag hose pipe system (2 hydrants); Drip line 16mm; Solar panels (8).</p> <p>These features were in conformity with the technical designs and the inventory.</p>	2
4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>b) Evidence that the installed micro-scale irrigation systems during last FY are functional</p> <ul style="list-style-type: none"> • If 100% are functional score 2 or else score 0 	<p>Out of the three irrigation demonstration sites installed, only Katanda site was visited. Kirugu and Rutoto sites were not reachable due to heavy rains making the roads impassable.</p> <p>At Katanda site: there was solar powered drip system, drag hose pipe and drip irrigation system.</p> <p>These irrigation systems were switched on and were functioning, field photos were captured at the time of assessment.</p>	2

Performance Reporting and Performance Improvement

Accuracy of reported information: The LG has reported accurate information

Maximum score 4

a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0

Rubirizi DLG as per its staff establishment structure approved by MoPS(2017) provided for the following extension staff at the LLG: SC - (i.) Veterinary officer; (ii) Agricultural officer; (iii) Fisheries officer; (iv). Assistant animal husbandry officer and (v) Assistant fisheries development officer. TC - (i) Agricultural officer; (ii) Assistant Agricultural officer; and (iii) Assistant Veterinary officer.

The positions of extension workers filled were not accurate as seen hereunder. One LLGs (Katerera SC) were found to have inaccurate information as seen hereunder.

Katerera SC

Five staff provided for in the structure list while three staff were deployed as seen on the noticeboard at the LLG namely, Lucky Yarvangard - Agricultural Officer, Habyarimana Charles- Assistant Veterinary Officer and Mwesigye Jackson - Assistant Animal Fisheries Officer. Ssebina Moses - Veterinary Officer who was found on the Production Department deployment/appraisal list dated 25th July 2023 and not the SC notice board.

Katerera TC

Five staff provided for in the structure list while three staff were deployed as seen on the noticeboard at the LLG namely, Ssebina Moses - Veterinary Officer, Kwarisima Patricia - Assistant Agricultural Officer, Sande Brian - Assistant Veterinary Officer and Sentongo Martin - Assistant Animal Fisheries Officer. The DPO staff list at the time of assessment had the same information as found at LG.

Accuracy of reported information: The LG has reported accurate information

Maximum score 4

b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0

Out of the three irrigation demonstration sites installed, only Katanda site was visited. Kirugu and Rutoto sites were not reachable due to heavy rains making the roads impassable.

Therefore, key features observed from the site were;

Katanda site: Solar powered drip system (solar water pump (50m head, pump discharge is 45 liters/min); 10,000lts HDPE water tank; 63mm HDPE pipe PN.10; 50mm HDPE pipe PN.10; 40mm HDPE pipe PN.10; Sprinklers of 3/4" (12); Drag hose pipe system (2 hydrants); Drip line 16mm; Solar panels (8).

These observed features at the Katanda site were complete, functioning and conforms to technical designs and the inventory.

Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans

Maximum score 6

a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0

As per the micro-scale irrigation sub-grant quarter one and two reports dated 7th October 2022 and 6th January 2023, the LG did only awareness raising on micro-scale irrigation program. Quarter one awareness was for LLGs and captured 87 attendees whereas Quarter two awareness was for District leaders and a total of 25 attendees were captured. No farmer EOIs were captured and no farm visits conducted.

As per the Quarter three report on micro-scale irrigation dated 4th April 2023, there was awareness raising events carried out at District Headquarters which included officials from MAAIF to share experiences with District leadership. Further awareness was also carried out at seven LLGs (47 villages) and captured 721 attendees. Out of 418 EOIs, 326 were successfully captured in the MIS and 84 farm visits were conducted

In quarter four report dated 7 July 2023, the LG further conducted awareness raising. The participants were District political leadership led by chairperson LCV, DEC, District Technical staff led by CAO. Further awareness was also carried out at three LLGs (48 villages) and captured 473 attendees. 418 EOIs captured in the MIS and 233 farm visits conducted. Three micro-scale irrigation demonstration sites were installed and functioning.

Therefore, the LG collected information quarterly on; newly irrigated land, functionality of irrigation equipment installed, provision of complementary services and farmer Expression of Interest (EOI).

Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans

Maximum score 6

b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0

At the time of assessment, MIS/Irritrack information contained 419 farmer EOIs captured in the MIS and 233 farm visits conducted as compared to 418 EOIs submitted and 233 farm visits in the previous FY. Screenshot of MIS/Irritrack was captured.

Therefore, the LG had entered up to-date LLG information into MIS/Irritrack.

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0	As per the micro-scale irrigation sub-grant quarter one and two reports dated 7th October 2022 and 6th January 2023, the LG did only awareness raising on micro-scale irrigation program. Quarter one awareness was for LLGs and captured 87 attendees whereas Quarter two awareness was for District leaders and a total of 25 attendees were captured. No farmer EOIs were captured and no farm visits conducted. As per the Quarter three report on micro-scale irrigation dated 4th April 2023, Further awareness was also carried out at seven LLGs (47 villages) and captured 721 attendees. 418 EOIs submitted and 84 farm visits were conducted In quarter four report dated 7 July 2023, Further awareness was also carried out at three LLGs (48 villages) and captured 473 attendees. 418 EOIs submitted and 233 farm visits were conducted. Therefore, the LG had prepared quarterly reports using information compiled from LLGs in the MIS/Irritrack.	1
	Maximum score 6			
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	There was no evidence that the LG had developed and approved any Performance Improvement Plan for the lowest performing LLGs.	0
	Maximum score 6			
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	The LG did not implement any approved Performance Improvement Plan for the lowest performing LLGs since there were no approved Performance Improvement Plans developed.	0
	Maximum score 6			

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	As per the approved Budget for production Department FY 2023/2024, there was Ugx 1,303,315,000/- allocated for general staff salaries, which incorporates 33 extension staffs deployed at 11 LLGs in addition to the other 11 staffs who sit at the District Head quarter, production Department. This means that three extension workers were deployed per LLG, this was in accordance with the staffing norm	1
	Maximum score 6		Therefore, the LG budget for extension workers was in accordance with staffing norm.	
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	ii Deployed extension workers as per guidelines score 1 or else 0	As per the production Department deployment register, deployment of extension workers was as follows; 9 Agricultural Officers, 2 Veterinary Officers, 1 Fisheries Officer, 1 Assistant Agricultural Officer, 7 Assistant Fisheries Officers, 11 Assistant Veterinary Officers and 2 Assistant Entomologist Officers.	1
	Maximum score 6		Therefore 33 extension workers were deployed and currently engaged in implementation activities across 11 LLGs. This means that three extension workers were deployed per LLG, this was in accordance with the staffing norm	
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0	An inspection of the staff, DPOs deployment lists and displays at the LLGs hereunder showed that extension workers were working at the stations they were deployed at.	2
	Maximum score 6		<p>Katerera SC</p> <p>The staff deployed were Lucky Yarvangard - Agricultural Officer, Habyarimana Charles- Assistant Veterinary Officer and Mwesigye Jackson - Assistant Animal Fisheries Officer.</p>	
			<p>Katerera TC</p> <p>The staff deployed as seen on the noticeboard at the LLG were Ssebina Moses - Veterinary Officer, Kwarisima Patricia - Assistant Agricultural Officer, Sande Brian - Assistant Veterinary Officer and Sentongo Martin - Assistant Animal Fisheries Officer.</p>	

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

Maximum score 6

c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0

LG had publicized and disseminated to LLGs notice boards extension workers deployment. The following information was obtained from the LLGs visited.

Katerera SC

Lucky Yarvangard - Agricultural Officer, Habyarimana Charles- Assistant Veterinary Officer and Mwesigye Jackson - Assistant Animal Fisheries Officer.

Katerera TC

Ssebina Moses - Veterinary Officer, Kwarisima Patricia - Assistant Agricultural Officer, Sande Brian - Assistant Veterinary Officer and Sentongo Martin - Assistant Animal Fisheries Officer.

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	<p>a) Evidence that the District Production Coordinator has:</p> <p>i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0</p>	<p>Rubirizi DLG District Production Coordinator appraised extension workers as illustrated hereunder and two staff were appraised beyond the 30th of June 2023.</p>	0
	Maximum score 4		<p>1. Ssebina Moses, Veterinary Officer, Katerera County. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 3rd July 2023.</p> <p>2. Rurangirwe Tadeo, Assistant Veterinary Officer, Rutoto SC. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 30th June 2023.</p> <p>3. Nantongo Mary, Fisheries Officer, Katunguru SC. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 30th June 2023.</p> <p>4. Kabangira Isaiah, Agricultural Officer, Kicwamba SC. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 30th June 2023.</p> <p>5. Nansumba Magdalene, Assistant Fisheries Officer, Katerera TC. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 2nd August 2023.</p>	
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	<p>a) Evidence that the District Production Coordinator has;</p> <p>Taken corrective actions: Score 1 or else 0</p>	<p>Only a list of appraised fourty four staff under production was available at the time of assessment. No evidence of corrective action taken arising out of appraisal was made available.</p>	0
	Maximum score 4			

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>b) Evidence that:</p> <p>i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0</p>	<p>As per the production Department work plan for FY 2022/2023, the LG mainly planned for the following:</p> <p>Training to increase competency of agricultural advisors in service delivery, training on solar powered irrigation system design, training LLGs on integration of social and environmental management plans, planning and budgeting processes, training on the use of Computed Aided Design etc</p> <p>As per a training report dated November 2022, titled "Training report on increasing competency of agricultural advisors in service delivery", Senior Agricultural Engineer and extension staffs got trained.</p> <p>Therefore, the LG conducted training activity as per the plans at the District level.</p>	1
---	---	--	--	---

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>ii Evidence that training activities were documented in the training database: Score 1 or else 0</p>	<p>The LG did not have any training database at the time of assessment</p>	0
---	---	---	--	---

Management, Monitoring and Supervision of Services.

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 - 75% capital development; and 25% complementary services): Score 2 or else 0</p>	<p>The LG was a phase 2 LG that received Micro-Scale Irrigation Grant for the first time in FY2022/23 and allocated 100% of the fund appropriately to complimentary services.</p> <p>Evidence</p> <ul style="list-style-type: none"> • Awareness Local Leaders- UGX 26,534,264 • Procurement & Monitoring- UGX 17,689,508 • Awareness Farmers- UGX 30,072,164 • Farm Visits- UGX 17,689,508 • Farm Field School- UGX 12,595,380 • Irrigation Demo UGX 72,314,260 • Total Complimentary Services- UGX176,895,084 	2
---	---	---	---	---

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	<p>The LG budget allocations towards complimentary services were not in line with sector guidelines for implementation in FY2022/23.</p>	0
	<p>Maximum score 10</p>		<p>Evidence</p> <p>Awareness Local Leaders- UGX 26,534,264 i.e. 15% as per guidelines</p> <p>Procurement & Monitoring- UGX 17,689,508 i.e. 10%</p> <p>Awareness Farmers- UGX 30,072,164 i.e. 17% less than 40% stipulated by the guidelines</p> <p>Farm Visits- UGX 17,689,508</p> <p>Farm Field School- UGX 12,595,380</p> <p>Irrigation Demo UGX 72,314,260 i.e. 41% more than the 30% stipulated by the guidelines</p> <p>Total Complimentary Services- UGX176,895,084</p>	
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>The LG reflected UGX 5,540,000 as farmers' co-funding as local revenue for the Production Sector Budget FY2023/24 and allocated as per guidelines.</p>	2
	<p>Maximum score 10</p>			
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>The LG is a phase 2 LG that received micro-scale irrigation grant for the first time in FY2022/23. It was not a requirement for the LG to provide for farmer's co-funding in their budget for FY2022/23.</p>	2
	<p>Maximum score 10</p>			

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>As per the activity report on awareness creation on micro-scale irrigation program dated 18th January 2023, LG disseminated information on the use of farmer co-funding (cost sharing of the irrigation equipment where by a farmer contributes 25% of the total cost), brochures were given out to the leaders and farmers</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 Less than 70% score 0 	<p>As per the field report on micro-scale irrigation demonstration sites establishment dated June 2023, the LG monitored the three demonstration sites. Key findings were; adequate water abstraction and distribution at the sites, irrigation equipment installation was successful and were functional, training host farmers on operation and maintenance, etc. The periods over which the sites were monitored was not mentioned in the report.</p> <p>Therefore, as per the findings there was evidence that DPO monitored the micro-scale irrigation demonstration sites monthly (May and June).</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>As per the field report on micro-scale irrigation demonstration sites establishment dated May 2023, the host farmers were trained on micro-scale irrigation system handling and testing, this mainly focused on operation and maintenance of the systems (sprinkler, drip and drag hose pipe systems).</p> <p>Therefore, the LG did oversee approved farmer training and support to the micro-scale irrigation demonstration site host farmers.</p>	2

10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0</p>	<p>As per the micro-scale irrigation sub-grant quarter one and two reports dated 7th October 2022 and 6th January 2023, the LG did conduct training of extension workers on the use of Irritrack App to capture farmers' expression of interest for micro-scale irrigation, farm visits, awareness raising events, etc.</p> <p>The hands on-support was confirmed from the three LLGs (Katerera sub-county, Rubirizi town council and Rutoto sub-county) sampled. Some of the respondents were; Ndyarugayo Gerald, AVO from Rubirizi town council and Yarvangard Lucky, AO from Katerera.</p> <p>Therefore, the LG did provide hands-on support to LLGs</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0</p>	<p>The Senior Agricultural Engineer availed a Field report on micro-scale irrigation demonstration sites establishment dated May 2023, but the report did not capture any information on farmer field schools.</p> <p>Therefore, there was no evidence that the LG established and run farmer field schools.</p>	0
11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0</p>	<p>As per the micro-scale irrigation sub-grant quarter one report dated 7th October 2022, LG sensitized farmers and District leaders (District councilors, DTPC, etc) on micro-scale irrigation programme. Key information shared included; overview of micro-scale irrigation program; benefits from irrigation, how stakeholders could help communities access the program, etc</p>	2

Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.

Maximum score 4

b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0

As per the Quarter two report dated 6th January 2023, LG did awareness raising on micro-scale irrigation at LLGs, during which extension staffs were trained to enhance irrigation knowledge and skills.

Also as per Quarter four report dated 7 July 2023, LG sensitized political leaders at the District during an awareness raising on micro-scale irrigation. The participants were District political leadership led by chairperson LCV, DEC, District Technical staff led by CAO.

Therefore, the LG did train staff and sensitized political leaders at District and LLG levels (mobilizing farmers to participate on irrigation and irrigated agriculture).

Investment Management

12

Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines

Maximum score 8

a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0

The register of micro-scale irrigation demonstration equipment supplied to the host farmers were as follows;

At Katanda site: Solar powered drip system (solar water pump (50m head, pump discharge is 45 liters/min); 10,000lts HDPE water tank; 63mm HDPE pipe PN.10; 50mm HDPE pipe PN.10; 40mm HDPE pipe PN.10; Sprinklers of 3/4" (12); Drag hose pipe system (2 hydrants); Drip line 16mm; Solar panels (8).

Kirugu site. 5,000lts HDPE water tank (1); Concrete tank stand for the tank; High pressure petrol engine pump, 75m head, Q=20m³/hr; 50mm HDPE pipe PN.10; 40mm HDPE pipe PN.10; 50mm PE COUPLER PN. 16; 50mm HDPE union ball valve (8); 50mm PE elbow PN. 16; Brass sprinkler 3/4 inch (8); 3/4 inch rise pipe, 1 m high; (22).

Rutoto site. 5,000lts HDPE water tank; Metallic tank stand; Sunlight solar surface pump, 40m head, Q= 2.7m³/hr; PV solar module 275 Wp (2); 50mm HDPE pipe PN.10; 40mm HDPE pipe PN.10; 50mm PE COUPLER PN. 16 (4); 50mm HDPE union ball valve (10); 50mm PE elbow PN. 16; 3/4 inch rise pipe (12); 16mm drip line, 0.3m spacing; Drip line end cap (100)

Therefore, LG had an updated register of micro-scale irrigation equipment supplied to the six host farmers in the previous FY

2

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p>	<p>At the end of previous FY, 418 EOIs and 233 farm visits were captured in the database whereas in the current FY there was only 1 EOI captured and no farm visits conducted.</p> <p>So the database of applications for EOIs for current and previous FYs had 419 EOIs and 233 farm visits at the time of assessment.</p> <p>Therefore, the LG keeps up-to-date database of applications (EOIs) in the MIS/Irritrack for the current and previous FYs at the time of the assessment.</p>	2
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>As per the micro-scale irrigation sub-grant quarter four activity report dated 7 July 2023, a total of 233 farm visits were made out of 418 EOIs submitted.</p> <p>Therefore LG did not visit all farmers who submitted complete EOIs.</p>	0
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>The Rubirizi DLG Agricultural Engineer provided evidence of publicizing the eligible farmers(167 No.) as seen on file at the LG at the the time of assessment.</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>There was evidence that the micro-scale irrigation systems were incorporated in the LG-approved procurement plan for the previous FY.</p> <p>>>> Irrigation system installations for selected farmers, budgeted for UGX 176,895,000/=.</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>There was evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF).</p> <p>Project: Supply and installation of irrigation gardens in the sub-counties of Kirugu, Katanda, and Rutoto;</p> <p>The requests for quotation for irrigation equipment was sent to suppliers that were pre-qualified by MAAIF. The list of suppliers pre-qualified by MAAIF who received the RFQ was as follows:</p> <ul style="list-style-type: none"> >>> Adritex (U) Lintied >>> Rivan Engineering (U) Limited >>> Virat Engineering Limited >>> Water and Pump Limited >>> Science Corner Limited >>> Irrisol Engineering Limited 	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0</p>	<p>Form the six (06) suppliers pre-qualified by MAAIF who received the RFQ, only two (02) returned:</p> <ul style="list-style-type: none"> >>> Adritex (U) Lintied >>> Irrisol Engineering Limited <p>The criteria were followed to award the contract (Supply and installation of irrigation gardens in the sub-counties of Kirugu, Katanda, and Rutoto).</p> <p>Irrisol Engineering Limited failed at preliminary stage lacking power of attorney and lines of credit.</p> <p>Technically, the criteria required the supplier to have done two (02) similar contracts of the same nature worth UGX 200,000,000/= in the last four (04) years which Adritex possessed.</p> <p>The financial proposal (offer) was within the LG's budget: UGX 72,314,260/=.</p>	2

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0	There was evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee (CC).	1
	Maximum score 18		>>> <i>Supply and installation of irrigation gardens in the sub-counties of Kirugu, Katanda, and Rutoto; Contract was approved by CC on 30/01/2023 under minute number: 74/CC/22-23.</i>	
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	The LG had only one (01) contract in the previous FY:	0
	Maximum score 18		There was evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer. However, there was no evidence that a farmer witnessed the agreement.	
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	f)Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	Out of the three irrigation demonstration sites installed, only Katanda site was visited. Kirugu and Rutoto sites were not reachable due to heavy rains making the roads impassable.	2
	Maximum score 18		Therefore, they features observed from the Katanda site were;	
			Solar powered drip system (solar water pump (50m head, pump discharge is 45 liters/min); 10,000lts HDPE water tank; 63mm HDPE pipe PN.10; 50mm HDPE pipe PN.10; 40mm HDPE pipe PN.10; Sprinklers of 3/4" (12); Drag hose pipe system (2 hydrants); Drip line16mm; Solar panels (8).	
			These features were in conformity with the technical designs and the inventory.	

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0</p>	<p>As per the field report on micro-scale irrigation demonstration sites establishment dated May 2023, the Senior Agricultural Engineer monitored the demonstration sites. Key findings were; adequate water abstraction and distribution at the sites, irrigation equipment installation was successful and were functional (testing equipment for functionality), training host farmers on operation and maintenance and commissioning of the demonstration sites. The period over which monitoring was done was not mentioned in the report.</p> <p>Therefore, as per the key findings there was evidence that monitoring of micro-scale irrigation demonstration sites was regularly.</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>h) Evidence that the LG has overseen the irrigation equipment supplier during:</p> <p>i. Testing the functionality of the installed equipment: Score 1 or else 0</p>	<p>As per the field report on micro-scale irrigation demonstration sites establishment dated May 2023, the LG monitored the demonstration sites. Key findings were; adequate water abstraction and distribution at the sites, irrigation equipment installation was successful and were functional (testing equipment for functionality), training host farmers on operation and maintenance and commissioning of the demonstration sites.</p> <p>Therefore, the LG oversaw the supply and installation and testing for functionality of the irrigation demonstration sites installed.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0</p>	<p>As per the field report on micro-scale irrigation demonstration sites establishment dated May 2023, the LG carried out commissioning of the demonstration sites, during which the demonstration sites were officially handed over to the host farmers.</p> <p>Therefore, there was evidence that LG had overseen handover of irrigation demonstration sites to the host farmers.</p>	1

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	The LG made payments to suppliers in FY2022/23 within specified timeframes subject to the presence of an approved farmer's signed acceptance form. Evidence Recommended timeframe for payment was 30 days from invoice day. Request for Payment was made by M/s Adritex (U) Ltd on 15 June 2023 for the supply and installation of irrigation for UGX 72,314,260. The production Officer recommended payment on 19 June 2023. The payment was made on EFT No. 6439819 on 28 June 2023 i.e. within 13 days from the time the supplier requested for payment.	2
----	--	---	---	---

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	There was evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law. The LG had only one (01); >>> Supply and installation of irrigation gardens in the sub-counties of Kirugu, Katanda, and Rutoto; Contractor: Adritex (U) Limited; Evaluation report dated 27/01/2023, Minutes of Contracts Committee decision availed dated 30/01/2023; and contract agreement availed signed on 24/03/2023.	2
----	--	--	---	---

Environment and Social Safeguards

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0	LG did display on the District Production Department notice board and LLGs the details of the nature and avenues to address grievance at the time of assessment. The notice boards of the three LLGs (Rutoto sub-county and Rubirizi town councils, and Katerera sub-county) were visited.	2
----	--	--	--	---

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>i). Recorded score 1 or else 0</p> <p>ii). Investigated score 1 or else 0</p> <p>iii). Responded to score 1 or else 0</p> <p>iv). Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>Rubrizi DLG had a functional GRM which entailed appointment of focal person and GRC members system, minutes of the GRC, publicization of the GRC and complaints log book, however no case has been referred to the district GRC.</p>	1
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>ii. Investigated score 1 or else 0</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>Rubrizi DLG had a functional GRM which entailed appointment of focal person and GRC members system, minutes of the GRC, publicization of the GRC and complaints log book, however no case has been referred to the district GRC.</p>	1
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>Rubrizi DLG had a functional GRM which entailed appointment of focal person and GRC members system, minutes of the GRC, publicization of the GRC and complaints log book, however no case has been referred to the district GRC.</p>	1
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>Rubrizi DLG had a functional GRM which entailed appointment of focal person and GRC members system, minutes of the GRC, publicization of the GRC and complaints log book, however no case has been referred to the district GRC.</p>	1
Maximum score 6				
Maximum score 6				
Maximum score 6				
Maximum score 6				

Environment and Social Requirements

15	Safeguards in the delivery of investments Maximum score 6	a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc. score 2 or else 0	As per the farm visit report on micro-scale irrigation program dated May 2023, the LG did disseminate micro-scale irrigation guidelines to provide for proper siting, land access, proper use of agro-chemicals and safe disposal of chemical waste containers.	2
15	Safeguards in the delivery of investments Maximum score 6	b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment. i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0	There was evidence that environmental, social, and climate Change screening had been carried out and where required, ESMPs developed, prior to the installation of irrigation equipment; Construction of the irrigation demonstration site at Kanyanshande parish was carried out on 08/11/2022 with a costed ESMP of UGX: 500,000 on 08/11/2022. Construction of the irrigation demonstration site at Mr. Kateeba's farm was carried out on 07/11/2022 with a costed ESMP of UGX: 500,000 dated 07/11/2022. Construction of the irrigation demonstration site at Mr. Kayemna Munir's was carried out on 07/11/2022 with a costed ESMP of UGX: 500,000 dated 07/11/2022.	1
15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	Monitoring of the three micro-scale irrigation demonstration sites was conducted as per monitoring reports dated; 16/07/2023, 04/04/2023, and 06/01/2023.	1
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	The environment and social certification form for the three micro-scale irrigation demonstration sites was prepared by the environment officer on 14/06/2023 and payment was made on 19/06/2023.	1
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	The environment and social certification form for the three micro-scale irrigation demonstration sites was prepared by the CDO on 14/06/2023 and payment was made on 19/06/2023.	1

Crosscutting Minimum Conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	Rubirizi DLG has a substantive Chief Finance Officer- Tibamwenda Sarah evidenced by the letter of appointment as Chief Finance Officer dated 29th January 2020 referenced under Min. No. 05/2020(a). At the time of assessment,the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 30th June 2023.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	Rubirizi DLG does not have a substantive District Planner. Atwiine Vincent Albert the Senior Planner holds the position as evidenced by the letter of assignment of duties as District Planner dated 1st November 2018. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 30th June 2023.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	Rubirizi DLG does not have a substantive District Engineer. Tumuhairwe Karlvin Bruce, Civil Engineer holds the position as evidenced by the letter of assignment of duties as District Engineer dated 14th July 2022. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report dated 30th June 2023.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	Rubirizi DLG has a substantive District Natural Resources Officer- Turyamwesimira John as evidenced by the letter of appointment as District Natural Resources Officer dated 10th May 2019 referenced under Min No. 40/2019(h). At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report dated 30th June 2023.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	Rubirizi DLG has a substantive District Production Officer- Turyamwesimira John as evidenced by the letter of appointment as District Production Officer dated 3rd April 2017 referenced under Min No. 24/2017. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report dated 30th June 2023.	3

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	Rubirizi DLG has a substantive District Community Development Officer- Turyamwesimira John as evidenced by the letter of appointment as District Community Development Officer dated 15th November 2022 referenced under Min No. 41/11/2022. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report dated 30th June 2023.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	Rubirizi DLG has a substantive District Commercial Officer- Twebaze Crescensio as evidenced by the letter of appointment as District Community Development Officer dated 29th December 2021 referenced under Min No. 59/2021. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report dated 30th June 2023.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	Rubirizi DLG has a substantive Senior Procurement Officer- Kwikiriza Alex as evidenced by the letter of appointment as Senior Procurement Officer dated 25th May 2012 referenced under Min No. 49/2012. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report dated 30th June 2023.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	Rubirizi DLG has a substantive Procurement Officer- Saturday Izidooro as evidenced by the letter of appointment as Procurement Officer dated 20th June 2011 referenced under Min No. 181/2011. At the time of assessment,the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 30th June 2023.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	Rubirizi DLG has a substantive PHRO - Tusiime Doreen evidenced by the letter of appointment as Principal Human Resource Officer dated 28th May 2015 referenced under Min. No. 22/2015(o)(i)(ii) and letter of transfer to the CAO's office from the District Service Commission dated 15th March 2018. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 30th June 2023.	2

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>j. A Senior Environment Officer, score 2 or else 0</p>	<p>Rubirizi DLG has a substantive Senior Environment Officer- Agaba Patrick Aggrey as evidenced by the letter of appointment as Senior Environment Officer dated 1st December 2014 referenced under Min No. 48/2014. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report dated 14th July 2023.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>k. Senior Land Management Officer /Physical Planner, score 2 or else 0</p>	<p>Rubirizi DLG has a substantive Senior Land Management Officer- Tumushabe Nelson as evidenced by the letter of appointment as Senior Land Management Officer dated 18th December 2017 referenced under Min No. 79/2017. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report dated 30th June 2023.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>l. A Senior Accountant, score 2 or else 0</p>	<p>Rubirizi DLG has a substantive Senior Accountant - Bongyerera Archangel as evidenced by the letter of appointment as Senior Accountant dated 21st January 2021 referenced under Min No. 06/2021(p). At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report dated 4th July 2023.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0</p>	<p>Rubirizi DLG has a substantive Principal Internal Auditor - Ruhamire Ivan as evidenced by the letter of appointment as Principal Internal Auditor dated 21st January 2021 referenced under Min No. 06/2021(p). At the time of assessment, the Officer was found not to have been duly appraised as evidenced by the Annual Performance Report dated 30th June 2023.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p>	<p>Rubirizi DLG has a substantive PHRO (Secretary DSC) - Munoli Richard as evidenced by the letter of appointment as PHRO (Secretary DSC) dated 29th December 2021 referenced under Min. No. 59/2021(1). At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 1st July 2023.</p>	2
2	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG Maximum score is 15</p>	<p>a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all</p>	<p>Rubirizi DLG has eleven LLGSS. Senior Assistant Town Clerk/ Senior Assistant Secretary staff were recruited and deployed as shown hereunder. <u>Town Councils:</u> 1. Rubirizi TC</p>	5

LLGS, score 5 or else 0 (Consider the customized structure).

Byomuhangi Vianey appointed as Principal Township Officer evidenced by the letter of appointment 18th September 2019 referenced under Min. No. 71/2019(d), posting instruction dated 2nd October 2019. At the time of assessment, the Officer was not duly appraised.

2. Katerera TC

Akampurira Baker, appointed as Principal Township Officer evidenced by the letter of appointment 9th November 2020 referenced under Min. No. 39/2020(b) no posting instructions. At the time of assessment, the Officer was not duly appraised.

Sub-Counties:

1. Rutoto SC

Nalwoga Asha, appointed as Sub County Chief/ Senior Assistant Secretary evidenced by the letter of appointment 18th December 2017 referenced under Min. No. 78/2017(2) no posting instruction. At the time of assessment, the Officer was found duly appraised as evidenced by APR dated 30th June 2023

2. Katerera SC

Musoke Paul, appointed as Sub County Chief/ Senior Assistant Secretary evidenced by the letter of appointment 18th December 2017 referenced under Min. No. 78/2017(3), no posting instruction. At the time of assessment, the Officer was not duly appraised.

3. Ryeru SC

Kiiza Charles, appointed as Sub County Chief/ Senior Assistant Secretary evidenced by the letter of appointment 18th December 2017 referenced under Min. No. 78/2017(1), no posting instruction. At the time of assessment, the Officer was found duly appraised as evidenced by APR dated 30th June 2023.

4. Magambo SC

Mahesi John, appointed as Sub County Chief/ Senior Assistant Secretary evidenced by the letter of appointment 1st February 2011 referenced under Min. No. 21/2011(ii), no posting instructions. At the time of assessment, the Officer was not duly appraised.

5. Kyabakara SC

Musinguzi Everest, appointed as Sub County Chief/ Senior Assistant Secretary evidenced by the letter of appointment 25th May 2012 referenced under Min. No. 38/2012(1), no posting instructions. At the time of assessment, the Officer was found duly appraised as evidenced by APR dated 30th

June 2023.

6. **Katunguru SC**

Ekyarigaba Angella, appointed as Sub County Chief/ Senior Assistant Secretary evidenced by the letter of appointment 18th December 2017 referenced under Min. No. 78/2017(4), no posting instructions. At the time of assessment, the Officer was found duly appraised as evidenced by APR dated 14th July 2023.

7. **Kirugu SC**

Muhangi Abruno, appointed as Sub County Chief/ Senior Assistant Secretary evidenced by the letter of appointment 25th May 2012 referenced under Min. No. 38/2012(2), no posting instructions. At the time of assessment, the Officer was not duly appraised.

8. **Kicwamba SC**

Nshekanabo Amidu, appointed as Sub County Chief/ Senior Assistant Secretary evidenced by the letter of redesignation from Clerk to Council to Senior Assistant Secretary dated 31st July 2012 referenced under Min. No. 180/2011, no posting instructions. At the time of assessment, the Officer was found duly appraised as evidenced by APR dated 30th June 2023.

9. **Katanda SC**

Mwijukye Onan appointed as Sub County Chief/ Senior Assistant Secretary evidenced by the letter of appointment dated 20th June 2011 referenced under Min. No. 177/2011, no posting instructions. At the time of assessment, the Officer was found duly appraised as evidenced by APR dated 30th June 2023.

2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

Rubirizi DLG has eleven LLGSs. Community Development Officer / Senior CDO staff were recruited and deployed as shown hereunder.

Town Councils:

1. **Rubirizi TC**

Mujuni Kwebeiha Conrad was appointed as Senior CDO evidenced by the letter of appointment 9th April 2014 referenced under Min. No. 19(e)/2014(i) no posting instructions. At the time of assessment, the Officer was not duly appraised.

2. **Katerera TC**

Atwongyere Grimbald, appointed as Senior CDO evidenced by the letter of appointment 4th June 2012 referenced under Min. No. 36/2012(i) no posting instructions. At the time of assessment, the Officer was not duly appraised as evidenced by APR dated 3rd

5

August 2023.

Sub-Counties:

1. Rutoto SC

Mujuni Patrick, appointed as Community Development Officer evidenced by the letter of appointment 1st February 2011 referenced under Min. No. 6/2011 no posting instruction. At the time of assessment, the Officer was not duly appraised.

2. Katerera SC

Asiimwe Joseph, appointed as Community Development Officer evidenced by the letter of appointment 30th May 2012 referenced under Min. No. 58/2012(2), no posting instruction. At the time of assessment, the Officer was not duly appraised.

3. Ryeru SC

Tumwesigye Robert, appointed as Community Development Officer evidenced by the letter of appointment 18th December 2017 referenced under Min. No. 74/2017, no posting instruction. At the time of assessment, the Officer was not duly appraised.

4. Magambo SC

Biira Hellen, appointed as Community Development Officer evidenced by the letter of appointment 7th February 2023 referenced under Min. No. 48(f)2023, no posting instructions. At the time of assessment, the Officer was not duly appraised as evidenced by APR dated 30th June 2023.

5. Kyabakara SC

Tumwebaze Wycliff, appointed as Community Development Officer evidenced by the letter of appointment 30th May 2012 referenced under Min. No. 58/2012(3), no posting instructions. At the time of assessment, the Officer was not duly appraised.

6. Katunguru SC

Twinomujuni Cecilia, appointed as Community Development Officer evidenced by the letter of appointment 1st December 2014 referenced under Min. No. 48/2014, no posting instructions. At the time of assessment, the Officer was found duly appraised as evidenced by APR dated 2nd August 2023.

7. Kirugu SC

Tukashaba Robert, appointed as Community Development Officer evidenced by the letter of appointment 23rd January 2018 referenced under Min. No. 05/2018, no posting instructions. At the time of assessment, the Officer was not duly appraised as evidenced by APR dated 24th July 2023.

8. **Kicwamba SC**

Tumushabe Edrida, appointed as Community Development Officer evidenced by the letter of appointment 23rd January 2018 referenced under Min. No. 05/2018, no posting instructions. At the time of assessment, the Officer was found duly appraised as evidenced by APR dated 24th August 2023.

9. **Katanda SC**

Kagimu Kusign Kawooya, appointed as Community Development Officer evidenced by the letter of appointment 23rd January 2018 referenced under Min. No. 05/2018, no posting instructions. At the time of assessment, the Officer was not duly appraised.

Rubirizi DLG has eleven LLGSs. Senior Accounts Assistant / Accounts Assistant staff were recruited and deployed as shown hereunder.

Town Councils:

1. **Rubirizi TC**

Basemera Violet, appointed Senior Accounts Assistant evidenced by letter of appointment dated 21st January 2021, referenced under Min. No. 06/2021(1)(6), no posting instructions. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 30th June 2023.

2. **Katerera TC**

Arinitwe Shalot, appointed Senior Accounts Assistant evidenced by letter of appointment dated 29th December 2021, referenced under Min. No. 59/2021(h), no posting instructions. At the time of assessment, the Officer was not duly appraised.

Sub-Counties:

1. **Rutoto SC**

Katwiremu Joseph, appointed Senior Accounts Assistant evidenced by letter of appointment dated 21st January 2021, referenced under Min. No. 06/2021(1)(3), no posting instructions. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 10th July 2023.

2. **Katerera SC**

Kule Didas was appointed as Accounts Assistant evidenced by the letter of appointment dated 8th January 2013 referenced under Min. No. 114/2012(i) no posting instructions. At the time of

2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

5

assessment, the Officer was not duly appraised.

3. *Ryeru SC*

Arinitwe Venus, appointed as Senior Accounts Assistant evidenced by the letter of appointment dated 25th May 2012 referenced under Min. No. 35/2012(5), no posting instruction. At the time of assessment, the Officer was not duly appraised.

4. *Magambo SC*

Rutaro James, was appointed as Accounts Assistant evidenced by the letter of appointment dated 20th June 2011 referenced under Min. No. 187/2011(6) no posting instructions. At the time of assessment, the Officer was not duly appraised.

5. *Kyabakara SC*

Twikirize Patrick Alex Muhanga, appointed as Senior Accounts Assistant evidenced by the letter of appointment dated 21st January 2021 referenced under Min. No. 6/2021(1)(4), no posting instructions. At the time of assessment, the Officer was found duly appraised as evidenced by APR dated 24th July 2023.

6. *Katunguru SC*

Asiimwe Alex, appointed as Senior Assistant Accountant evidenced by the letter of appointment dated 8th December 2017 referenced under Min. No. 77/2017(1), no posting instruction. At the time of assessment, the Officer was found duly appraised as evidenced by APR dated 30th June 2023.

7. *Kirugu SC*

Mukama Taremwa Caius, appointed as Senior Accounts Assistant evidenced by the letter of appointment dated 20th December 2017 referenced under Min. No. 77/2017(2), no posting instructions. At the time of assessment, the Officer was found duly appraised as evidenced by APR dated 4th June 2023.

8. *Kicwamba SC*

Nuwagaba Naboth, appointed as Senior Accounts Assistant evidenced by the letter of appointment dated 1st February 2011 referenced under Min. No. 25/2011, no posting instruction. At the time of assessment, the Officer was found not duly appraised.

9. *Katanda SC*

Magezi Nathan, appointed as Senior Assistant Accountant evidenced by the letter of appointment dated 21st June 2011

referenced under Min. No. 196/2011, no posting instruction. At the time of assessment, the Officer was found duly appraised as evidenced by APR dated 30th June 2023.

Environment and Social Requirements

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY. Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to: a. Natural Resources department, score 2 or else 0	A review of LG Draft Final Accounts for FY2022/23 revealed that LG released 100% of the funds allocated to the Department of Natural Resources for FY2022/23. Evidence Rubirizi District Local Government Reports and Financial Statements for the Year Ended 30 June 2023. Received by the Office of the Auditor General 21 August 2023. Page 12. Amount Warranted for FY2022/23 was UGX 757,701,898 Revised Budget Amount for FY2022/23 was UGX 757,701,898 Calculation Amount Warranted/Revised Budget*100=757,701,898/757,701,898*100= 100%	2
3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY. Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to: b. Community Based Services department. score 2 or else 0.	A review of LG Draft Final Accounts for FY2022/23 revealed that the LG released 100% of the funds allocated to the Department of Community-Based Services for FY2022/23. Evidence Revised Budget Amount for FY2022/23 was UGX 235,735,833+5,000,000= UGX 240,735,833 Warranted Amount for FY2022/23 was UGX 235,735,833+ 4,999,635= UGX 240,735,468 Calculation Amount Warranted/Revised Budget*100= 240,735,468/240,735,833*100 = 99.9%	2

4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>	<p>a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0</p>	<p>There was evidence that Rubirizi LG carried out Environmental, Social, and Climate Change screening for the DDEG-funded project for the previous FY;</p> <p>Construction of a VIP latrine at the administration block was screened on 01/09/2022 with a costed ESMP of UGX: 500,000 dated 01/09/2022.</p>	4
4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>	<p>b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG), score 4 or 0</p>	<p>The project implemented under DDEG did not qualify to undergo an ESIA upon being screened the environment Officer and CDOs.</p>	4
4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>	<p>c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);; score 4 or 0</p>	<p>Construction of a VIP latrine at the administration block was screened on 01/09/2022 with a costed ESMP of UGX: 500,000 dated 01/09/2022.</p>	4

Financial management and reporting

5	Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY. Maximum score is 10	If a LG has a clean audit opinion, score 10; If a LG has a qualified audit opinion, score 5 If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0	The list of LG audit opinions for FY 2022/23 released by OAG confirms that LG's financial statements for FY 2022/23 was unqualified.	10
6	Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015). maximum score is 10	If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g), score 10 or else 0.	The LG submitted information on the status of implementation of recommendations in the Internal Auditor General's Report for FY2021/22 to the PS/ST before 28 February 2023. However, the LG submitted responses to the PS/ST on the report of the Auditor General on 14 November 2023 after the PS/ST requested the information on 10 October 2023. Evidence Verification of Audit Issues raised in the Internal Auditor General's Report for the Year Ended June 2022. PS/ST in Copy. Dated 21 February 2023 and received by the Office of the Internal Auditor General on 27 February 2023. CR 251/01: Submission in Respect to Actions required for preparation of a Treasury Memorandum in respect to the Report of the Public Accounts Committee- Local Governments on the Report of the Auditor General for the Financial Year 2021/22. MoFPED Registry Received on 14 November 2023. This submission was made in response to the PS/ST letter dated 10 October 2023 Ref: AGO 50/331/01.	10
7	Evidence that the LG has submitted an annual performance contract by August 31st of the current FY Maximum Score 4	If the LG has submitted an annual performance contract by August 31st of the current FY, score 4 or else 0.	The LG provided evidence confirming that the Annual Performance Contract for FY2023/24 was submitted to the PS/ST before 31 August 2023. However, the copy presented at the time of assessment was not countersigned by the PS/ST. Evidence VIDE: CR/102/2/5. Submission of Final Performance Contract dated 22 June 2023. Received by MoFPED Registry 3 July 2023. Not countersigned by PS/ST.	0

8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The LG submitted the Annual Performance Report for FY2022/23 to MoFPED before the 31 August 2023.</p> <p>Evidence</p> <p>Submission of 4th Quarter PBS Progress Report 2022/23FY on the 18 August 2023. Received by MoFPED Registry 21 August 2023.</p>	4
9	<p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p> <p>Maximum score is 4</p>	<p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The LG submitted all Quarterly Budget Performance Reports (QBPR) for FY2022/23 to MoFPED before 31 August 2023.</p> <p>Evidence</p> <ul style="list-style-type: none"> • Submission of 1st Quarter PBS Progress Report 2022/23FY on the 20 January 2023. Received by MoFPED Registry 24 January 2023. • Submission of 2nd Quarter PBS Progress Report 2022/23FY on the 13 February 2023. Received by MoFPED Registry 27 February 2023. • Submission of 3rd Quarter PBS Progress Report 2022/23FY on the 25 April 2023. Received by MoFPED Registry 03 May 2023. • Submission of 4th Quarter PBS Progress Report 2022/23FY on the 18 August 2023. Received by MoFPED Registry 21 August 2023. 	4

**Education Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office. <i>The Maximum Score of 70</i>	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	Rubirizi DLG has a substantive District Education Officer- Biru Warufu Stephen evidenced by the letter of appointment as District Education Officer dated 18th September 2019 referenced under Min. No. 71/2019(c). At the time of assessment, the Officer was found to have been duly appraised as evidenced by Annual Performance Report (APR) dated 14th July 2023.	30

1

New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.

b) All District/Municipal Inspector of Schools, score 40 or else 0.

Rubirizi DLG has five Inspectors of Schools positions (Senior Inspector of Schools 1No. & Inspector of Schools 4No.) as per the MoPS approved staff establishment structure and met the score requirement as detailed below.

The Maximum Score of 70

Senior Inspector of Schools

1. Kyomugisha Mary, as evidenced by letter of appointment dated 15th April 2019 referenced under Min. No. 20/2019(a). At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 2nd August 2023.

Inspectors of Schools:

1. Tumanyane John, as evidenced by letter of appointment dated 7th February 2023 referenced under Min. No. 48(b)i/2023. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 3rd October 2023.

2. Sabiti Elisam, as evidenced by letter of appointment dated 20th November 2019 referenced under Min. No. 87/2019(a). At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 24th July 2023.

3. Tukundane Eugene, as evidenced by letter of appointment dated 28th February 2023 referenced under Min. No.48(b)(iii)/2023. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 24th July 2023.

4. Suna Akimu, as evidenced by letter of appointment dated 7th February 2023 referenced under Min. No. 48(b)ii/2023. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 4th January 2023.

Environment and Social Requirements

2	Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)	If the LG carried out: a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.	<p>There was evidence that Environmental, Social, and Climate Change screening was carried out for Education projects in the previous FY;</p> <p>Construction of a VIP latrine at Mugombwa p/s was screened on 30/08/2022 with a costed ESMP of UGX: 500,000 dated 30/08/2022.</p> <p>Construction of a classroom block at Kashaka p/s was screened on 29/08/2022 with a costed ESMP of UGX: 650,000 prepared on 29/08/2022.</p> <p>Construction of a classroom block at Mugyera p/s was screened on 01/09/2022 with a costed ESMP of UGX: 650,000 prepared on 01/09/2022.</p>	15
	The Maximum score is 30			
2	Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)	If the LG carried out: b. Social Impact Assessments (ESIAs) , score 15 or else 0.	<p>The projects implemented under Education did not qualify to undergo an ESIA upon being screened. The projects included;</p> <p>Construction of a VIP latrine at Mugombwa p/s.</p> <p>Construction of a classroom block at Kashaka p/s.</p> <p>Construction of a classroom block at Mugyera p/s.</p>	15
	The Maximum score is 30			

**Health Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.	Rubirizi DLG has a substantive District Health Officer - Dr. Tibenda Bulemu Kise-Sete as evidenced by letter of appointment dated 28th May 2015 referenced under Min. No. 22/2015(p)(i). At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 21st July 2023.	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0	Rubirizi DLG has a substantive Assistant District Health Officer (Maternal, Child Health and Nursing) - Kyaligonza Imelda Amooti as evidenced by letter of appointment dated 16th December 2019 referenced under Min. No. 71/2019(e). At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 31st July 2023.	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	c. Assistant District Health Officer Environmental Health, score 10 or else 0.	Rubirizi DLG does not have a substantive Assistant District Health Officer Environmental Health. There is not staff assigned duties in this role.	0
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.	Rubirizi DLG has a substantive Principal Health Inspector - Andinda Bernard as evidenced by letter of appointment dated 31st August 2021 referenced under Min. No. 25/2021(d). At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 30th June 2023.	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	e. Senior Health Educator, score 10 or else 0.	Rubirizi DLG has a substantive Senior Health Educator - Ainomugisha Patience as evidenced by letter of appointment dated 7th February 2022 referenced under Min. No. 48(h)2023. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 31st July 2023.	10

1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>Rubirizi DLG has a substantive Biostatistician - Tumusiime Besigye Albert as evidenced by letter of appointment dated 28th May 2015 referenced under Min. No. 21/2015(b)(i). At the time of assessment, the Officer was not duly appraised.</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>Rubirizi DLG has a substantive District Cold Chain Technician - Tusiime Stephen as evidenced by letter of appointment dated 8th February 2023 referenced under Min. No. 59/2021(c). At the time of assessment, the Officer was not duly appraised.</p>	10
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>i. Principal Health Inspector, score 20 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>j. Health Educator, score 20 or else 0</p>		

Environment and Social Requirements

2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>There was evidence that Environmental, Social, and Climate Change screening was conducted for projects under Health;</p> <p>Fencing of Mubanda HC III was screened on 31/08/2022 with a costed ESMP of UGX: 1,820,000 prepared on 31/08/2022.</p> <p>Construction of a staff house at Kyabakara HC III was screened on 30/08/2022 with a costed ESMP of UGX: 4,200,000 developed on 30/08/2022.</p> <p>Construction of a general ward at Kichwamba HC III was screened on 29/08/2022 with a costed ESMP of UGX: 3,500,000 developed on 29/08/2022.</p>	15
2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>b. Social Impact Assessments (ESIAs) , score 15 or else 0.</p>	<p>Projects implemented under Health did not qualify to undergo an ESIA. The projects included;</p> <p>Fencing of Mubanda HC III.</p> <p>Construction of a staff house at Kyabakara HC III.</p> <p>Construction of a general ward at Kichwamba HC III.</p>	15

**Micro-scale Irrigation
Minimum Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p>	<p>If the LG has recruited;</p> <p>a. the Senior Agriculture Engineer</p> <p><i>score 70 or else 0.</i></p>	<p>Rubirizi DLG has a substantive Senior Agriculture Engineer - Baluku Robert as evidenced by the letter of appointment dated 27th July 2020 referenced under Min No. 333/2020(p). At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report dated 24th July 2023.</p>	70
Environment and Social Requirements				
2	<p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p>	<p>If the LG:</p> <p>Carried out Environmental, Social and Climate Change screening</p> <p><i>score 30 or else 0.</i></p>	<p>There was evidence that Environmental, Social, and Climate Change screening was conducted for projects under micro-scale irrigation projects including costed ESMPs;</p> <p>Construction of the irrigation demonstration site at Kanyanshande parish was screened on 08/11/2022 with a costed ESMP of UGX: 500,000 prepared on 08/11/2022.</p> <p>Construction of the micro-scale irrigation demonstration site at Mr. Kateeba's farm was screened on 07/11/2022 with a costed ESMP of UGX: 500,000 prepared on 07/11/2022.</p> <p>Construction of the micro-scale irrigation demonstration site at Mr. Kayemba's farm was screened on 03/11/2022 with a costed ESMP of UGX: 500,000 prepared on 03/11/2022.</p>	30

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	Rubirizi DLG has a substantive Civil Engineer (Water) - Nyerinde Frank as evidenced by letter of appointment dated 15th April 2019 referenced under Min. No. 20/2019(d). At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 10th July 2023.	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	Rubirizi DLG has a substantive Assistant Water Officer - Bagambe Apex as evidenced by letter of appointment as Community Development Officer dated 5th July 2022 referenced under Min. No. 20(b)/RDSC/2022 and assignment of duties as CDO incharge of water mobilization dated 14th March 20223. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 30th June 2023.	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	Rubirizi DLG has a substantive Borehole Maintenance Technician - Magara Patrick as evidenced by letter of appointment dated 17th October 2005 referenced under Min. No. 63/2005(1). At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report (APR) dated 30th June 2023.	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	Rubirizi DLG has a substantive District Natural Resources Officer- Turyamwesimira John as evidenced by the letter of appointment as District Natural Resources Officer dated 10th May 2019 referenced under Min No. 40/2019(h). At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report dated 30th June 2023.	15

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	Rubirizi DLG does not have an Environment Officer.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	f. Forestry Officer, score 10 or else 0.	Rubirizi DLG has a substantive Forestry Officer- Murungi Rita as evidenced by the letter of appointment dated 25th May 2012 referenced under Min No. 46/2012. At the time of assessment, the Officer was found to have been duly appraised as evidenced by the Annual Performance Report dated 30th June 2023.	10

Environment and Social Requirements

2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	If the LG: a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.	There was evidence that Environmental, Social, and Climate Change screening was conducted for water infrastructure projects for the previous FY; Construction of Rutoto piped water system phase II was screened on 10/08/2022 with a costed ESMP of UGX: 2,450,000 prepared on 10/08/2022. Extension of hydroelectric power to Kikumbo piped water was screened on 30/08/2022 with a costed ESMP of UGX: 250,000 prepared on 30/08/2022. Construction of the Ferro water tank at Kyabakara HC III was screened on 31/08/2022 with a costed ESMP of UGX: 1,270,000 prepared on 31/08/2022	10
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.	The projects under water did not qualify to undergo an ESIA upon being screened for environmental and social safeguards. The projects included; Construction of Rutoto piped water system phase II. Extension of hydroelectric power to Kikumbo piped water system source. Construction of the Ferro water tank at Kyabakara HC III.	10

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.

The LG did not have an abstraction permit despite implementation of piped water supply systems. The local Government had fully paid and applied for the permits. There was also proof that the LG through a letter addressed to Permanent Secretary Ministry of Water and Environment requested for assistance in processing the abstraction permits for Kyabakara GFS, Nyamabare GFS and Rutoto piped water supply system.