



LGMSD 2022/23

Rukungiri District

(Vote Code: 550)

Assessment	Scores
Crosscutting Minimum Conditions	70%
Education Minimum Conditions	100%
Health Minimum Conditions	80%
Water & Environment Minimum Conditions	90%
Micro-scale Irrigation Minimum Conditions	100%
Crosscutting Performance Measures	79%
Educational Performance Measures	77%
Health Performance Measures	68%
Water & Environment Performance Measures	83%
Micro-scale Irrigation Performance Measures	87%

**Crosscutting
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): • If so: Score 4 or else 0 	<p>Rukungiri DLG did have eight (08) DDEG funded investments including two (02) infrastructural projects in its FY2022/2023 annual workplan and budget.</p> <ul style="list-style-type: none"> 📌 Construction of 2 classroom block with office at Kakindo P/S in Bugangari S/C UGX105,905,676 📌 Construction of 5 stance latrine with changing room for girls at Rwentuha P/S in Buyanja S/C UGX31,824,324 <p>The two projects were site visited and the works carried out confirmed. Both projects - the 2 classroom block with office at Kakindo P/S and the 5 stance latrine at Rwentuha were found in a functional state.</p>	4
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> • By more than 5%, score 3 • 1 to 5% increase, score 2 • If no increase, score 0 <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>Overall, the performance of RDLG in its LLG assessment was 38% in FY2021/2022 and 83% in FY2022/2023, meaning an increase of 45%. This was an increase, in the range 'more than 5%'</p>	3

N23_Service Delivery Performance

Maximum 6 points on this performance measure

b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.

- If 100% the projects were completed : Score 3
- If 80-99%: Score 2
- If below 80%: 0

Rukungiri DLG did have eight (08) DDEG funded investments including two (02) infrastructural projects in its FY2022/2023 annual workplan and budget.

🏗️ Construction of 2 classroom block with office at Kakindo P/S in Bugangari S/C UGX105,905,676

🏗️ Construction of 5 stance latrine with changing room for girls at Rwentuha P/S in Buyanja S/C UGX31,824,324

🏗️ Land titling UGX5,000,000

🏗️ Performance improvement to HR/Admin UGX20,390,057

🏗️ Investment servicing costs UGX10,195,028

🏗️ Monitoring of government projects UGX10,195,028

🏗️ Data collection UGX10,195,028

🏗️ Assessment of Lower Local Governments UGX10,195,028

The LG's 4th Performance Report for FY 2022/2023 shows that DDEG was spent 100% by the end of the year. The total amount budgeted was UGX493,330,000 and what was released as per the reports was UGX493,330,000 which made 100%. This included DDEG sent to LLGs.

Investment
Performance

Maximum 4 points on
this performance
measure

a. If the LG
budgeted and spent
all the DDEG for the
previous FY on
eligible
projects/activities as
per the DDEG grant,
budget, and
implementation
guidelines:

Score 2 or else
score 0.

Rukungiri DLG implemented the following DDEG
funded projects in FY2022/2023.

🏗️ Construction of 2 classroom block with office at
Kakindo P/S in Bugangari S/C UGX105,905,676

🏗️ Construction of 5 stance latrine with changing
room for girls at Rwentuha P/S in Buyanja S/C
UGX31,824,324

🏗️ Land titling UGX5,000,000

🏗️ Performance improvement to HR/Admin
UGX20,390,057

🏗️ Investment servicing costs UGX10,195,028

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🏗️ Data collection UGX10,195,028

🏗️ Assessment of Lower Local Governments
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The LG's 4th Performance Report for FY
2022/2023 shows that DDEG was spent 100% by
the end of the year. The total amount budgeted
was UGX493,330,000 and what was received
(including DDEG to LLGs) as per the reports was
UGX493,330,000 which made 100%.

**The projects were all eligible under DDEG
guidelines (Table 7 - Positive
List/Investment Menu, page 7 and 8).**

Investment Performance
Maximum 4 points on this performance measure

b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates,

score 2 or else score 0

There was evidence that the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineer's estimates. The AWP and Budget for the FY 2022/23 indicated a number of projects funded under the DDEG and of those, the implemented infrastructure projects had contract amounts according to contract documents as follows:

1. Construction of a lined 5 Stance VIP Latrine with a Changing Room for Girls at Rwentuha P/S in Buyanja S/C- RUKU924/WRKS/2022-23/00014. The Engineer's Estimate (A) was UGX 31,780,586/=; the contract Sum/Price (B) was UGX 31,625,180/=. The Variation was at +0.49% $\{[(A - B)/A] * 100\}$.

2. Construction of a 2-Classroom Block at Kakindo P/S in Bugangari S/C- RUKU550/WRKS/2022-23/00016. The Engineer's Estimate (A) was UGX 102,341,276/=; the contract Sum/Price (B) was UGX 101,824,324/=. The Variation was at +0.51% $\{[(A - B)/A] * 100\}$.

Therefore, variations in the contract prices for both DDEG funded infrastructure investments for the previous FY were in the recommended range of +/-20% thus the score of 2

Performance Reporting and Performance Improvement

Accuracy of reported information

Maximum 4 points on this Performance Measure

a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate,

score 2 or else score 0

The staffing was not in place as per minimum standards and staff list - obtained from HRM Division (attendance registers, appraisal reports, TPC minutes)

In Rukungiri District, there was **inadequate staffing** in place as per minimum standards and staff list at LLGs as per the three sampled LLGs of Bugangari Sub County, Kebisoni Sub County, and Kebisoni Town Council.

At Bugangari Sub County with staff strength of **15** out of a staff establishment ceiling of **19**, the staff included:

1. Ankunda Emily, Senior Assistant Secretary
2. Alinda Constance, Community Development Officer
3. Tusingwire Ivas, Senior Accounts Assistant

Some of the staff that were substantively deployed at Kebisoni Sub County, with a staff strength of **13** against a staff establishment ceiling of **19**, were:

1. Himbisa Edwin, Senior Assistant Secretary
2. Biryomumaisho Stephen, Community Development Officer
3. Musiimenta Wilson, Accounts Assistant

At Kebisoni Town Council, with a staff strength of **23** out of a staff establishment of **51**, some of the staff substantively deployed were:

1. Kshaaha Adrine, Principal Township Officer
2. Byamukama Jackson, Senior Community Development Officer
3. Tebemany Emmanuel, Treasurer

Accuracy of reported information

Maximum 4 points on this Performance Measure

b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:

• If 100 % in place: Score 2, else score 0.

Note: if there are no reports produced to review: Score 0

Rukungiri DLG did have eight (08) DDEG funded investments including two (02) infrastructural projects in its FY2022/2023 annual workplan and budget.

🏗️ Construction of 2 classroom block with office at Kakindo P/S in Bugangari S/C UGX105,905,676

🏗️ Construction of 5 stance latrine with changing room for girls at Rwentuha P/S in Buyanja S/C UGX31,824,324

According to the verification done on the site visits, the actual level of completion as verified during site visit to the two sites was found to be consistent with what was in the reports.

5	N23_Reporting and Performance Improvement	a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;	There was no evidence that the Rukungiri DLG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise. The assessment team sampled four LLGs and found that the LG did not undertake credible assessment. Three out of four sampled Local Level Governments (LLGs) exhibited a deviation within the recommended range of +/-10%, as outlined below.	0
	Maximum 8 points on this Performance Measure	If there is no difference in the assessment results of the LG and national assessment in all LLGs	<ol style="list-style-type: none"> 1. Kebisoni TC: The district internal assessment awarded a perfect score of 97%, while the national assessment team awarded a slightly lower score of 85%. This indicates a deviation of +12%. 2. Bwambara SC: The district internal assessment awarded a perfect score of 74%, while the national assessment team awarded a slightly lower score of 83%. This indicates a deviation of +9%. 3. Bugangari SC: The district internal assessment awarded a perfect score of 84%, while the national assessment team awarded a slightly lower score of 82%. This indicates a deviation of -2%. 4. Kebisoni SC : The district internal assessment awarded a perfect score of 87%, while the national assessment team awarded a slightly lower score of 83%. This indicates a deviation of -4%. 	
		score 4 or else 0		
		NB: The Source is the OPAMS Data Generated by OPM.		
5	N23_Reporting and Performance Improvement	b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.	There was evidence that the District had developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.	2
	Maximum 8 points on this Performance Measure	Score: 2 or else score 0	The plan signed by the Ag. District Planner Isaac Turyazayo and titled "Performance Improvement Plan for the Lowest Performing Lower Local Governments in the Performance Assessment of 2022/2023" indicated the lowest performing LLGs, summarized their areas of weakness and set out a template showing the Issue; Proposed Activity; Modality; Responsible Person and Output.	
5	N23_Reporting and Performance Improvement	c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:	There was no evidence that the District had implemented the PIP for the 30 % lowest performing LLGs in the previous FY.	0
	Maximum 8 points on this Performance Measure	Score 2 or else score 0	A report titled "Mentoring Report and Implementation of the Performance Improvement Plan for Lower Local Governments on Budget Implementation and Performance Assessment" dated May 11, 2023 does not indicate the dates when the activities were conducted, the pictures in the report are not dated and from the date of the report, the activities could not have been targeted to the results of the assessment of LLGs in 2022/2023 and been implemented before the end of the year of assessment.	

Human Resource Management and Development

6	Budgeting for and actual recruitment and deployment of staff Maximum 2 points on this Performance Measure	a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED. Score 2 or else score 0	There was evidence that the LG had consolidated and submitted the staffing requirements for the coming FY to the Ministry of Public Service by September 30th of the current FY, with copy to the respective MDAs and Ministry of Finance, Planning, and Economic Development. The submission was made in a letter from the Chief Administrative Officer, signed by Agaba Hillary to the Permanent Secretary, Ministry of Public Service copied to the Ministry of Finance, Planning and Economic Development and the Ministry of Local Government, dated September 19, 2023 ref.: CR/167/1 titled "Submission of Wage, Pension & Gratuity Budget Estimates for FY 2024/2025" and received at Ministry of Public Service by Harriet on September 29, 2023.	2
7	Performance management Maximum 5 points on this Performance Measure	a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI): Score 2 or else score 0	There was evidence that the District had conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI) Attendance was tracked using a Daily Attendance Register and analyzed monthly in an excel table showing number of days attended and percentage e.g. in the month of June 2023, Barigye Martin, a Senior Community Development Officer in Bikurungu Town Council attended for 19 out of 22 days (86.3%). After this analysis, a report was compiled monthly to the Chief Administrative Officer for action.	2

Performance management

Maximum 5 points on this Performance Measure

i. Evidence that the LG has conducted an appraisal with the following features:

HODs have been appraised as per guidelines issued by MoPS during the previous

FY: Score 1 or else 0

There was no evidence that HODs had been appraised as per guidelines issued by Ministry of Public Service during the previous FY.

Some of the files reviewed indicated the following:

1. The Chief Finance Officer, Asimwe Joram was appraised by Masokoyi Swalikh Wasswa, Chief Administrative Officer on June 30, 2023.
2. The District Natural Resources Officer, Lukwago Severino was not appraised.
3. The District Production Officer, Oneck Pius Kwesiga was appraised by Masokoyi Swalikh Wasswa, Chief Administrative Officer on June 30, 2023.
4. The District Community Development Officer, Agaba Posias Nemesius was appraised by Masokoyi Swalikh Wasswa, Chief Administrative Officer on June 12, 2023.
5. The District Commercial Officer, Kamanzi Ferdinand was not appraised.
6. The Senior Procurement Officer, Murekyezi Gordon Vons was not appraised.
7. The Procurement Officer, Ahimbisibwe Richard was appraised by Kamwehanga Denis, Principal Assistant Secretary on July 10, 2023.
8. The Principal Human Resource Officer (Administration), Tumwebaze Ivan was appraised by Masokoyi Swalikh Wasswa, Chief Administrative Officer on June 30, 2023.
9. The Senior Environment Officer, Mbabazi Caroline was not appraised.
10. The Senior Land Management Officer, Agaba Martin was not appraised.
11. The Senior Accountant, Bataka Denis Katungwensi was not appraised.
12. The Principal Internal Auditor, Aine Sarah Kamara was not appraised.
13. The Principal Human Resource Officer (Secretary DSC), Mutungi W. K Katirimba was not appraised.

Performance management

Maximum 5 points on this Performance Measure

ii. (in addition to "a" above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:

Score 1 or else 0

There was evidence that the LG had also implemented administrative rewards and sanctions on time as provided for in the guidelines.

From a letter availed by HRM, written by Kagaba R. Ndora for Chief Administrative Officer dated May 13, 2022 titled "Composition of Members Rukungiri District Rewards and Sanctions Committee", the Committee comprised of the following:

1. Kagaba R, Ndora, Deputy Chief Administrative Officer as Chairperson
2. Tumwebaze Ivan, Principal Human Resource Officer as Secretary
3. Dr. Akasiima Mucunguzi, District Health Officer as Member
4. Twesiime Charlene, Senior Labor Officer as Member
5. Tumusiimire Jennifer, Senior Probation Officer as Member
6. Turyahumura Jackson, District Education Officer as Member

In the minutes of a meeting held on August 30, 2023 under Minute No.4/R&S/23/24 the Committee considered various cases of absenteeism, abscondment, theft of drugs and recommended among others, submission to District Service Commission for disciplinary action and written warnings depending on gravity of offences and outcome of their hearings.

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>There was evidence that the LG had established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>The Committee was constituted in letters of appointment to Chairperson and Members by the Chief Administrative Officer, signed for CAO by Kagaba R. Ndora dated May 29, 2022 ref.: CR/212/8 titled "Appointment on the District Consultative Committee" and was comprised of the following:</p> <ol style="list-style-type: none"> 1. Hajji Wasswa Masokoyi Swalikh, Chief Administrative Officer as Chairperson 2. Tumwebaze Ivan, Principal Human Resource Officer as Secretary 3. Twesiime Charlene, Senior Labour Officer as Member 4. Kamwehanga Denis, Principal Assistant Secretary as Member 5. Ganshanga Anxious, Senior Education Officer as Member 6. Kamanzi Fatuma, Senior Assistant Secretary as Member 7. Tumusiimire Jennifer, Senior Probation and Welfare Officer as Member 8. Biriho Lovence, Headteacher as Member (UNATU Representative) 9. Akatwijuka John Andrew, Deputy Headteacher as Member (UNATU Representative) 10. Tumukunde Geoffrey, Chairperson UNMU as Member and UNMU Representative 11. Katwizere Apophia, Vice Secretary UNMU as Member 12. Tumweboneire Kenneth, Assistant Education Officer and UPSTU Representative 	1
8	<p>Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:</p> <p>Score 1.</p>	<p>The HRM was not able to present the salary payroll for verification as there was power outage.</p>	0
9	<p>Pension Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:</p> <p>Score 1.</p>	<p>The HRM was not able to present the pension payroll for verification as there was power outage.</p>	0

Management, Monitoring and Supervision of Services.

10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:</p> <p>Score 2 or else score 0</p>	<p>Rukungiri District FY2022/2023 DDEG annual budget for LLGs was UGX289,430,000. What was transferred to 9 sub counties and 4 town councils during the FY according to the financial report was UGX292,034,411, composed as follows:</p> <p>Quarter one 0</p> <p>Quarter two on 01/11/2023 UGX96,476,486</p> <p>Quarter three on 16/03/2023 UGX192,952,971</p> <p>Total UGX289,429,457</p> <p>This was 100% and means all the funds were transferred in accordance with the budget.</p>	2
10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).</p> <p>Score: 2 or else score 0</p>	<p>For RDLG, the DDEG funds were warranted and transferred during FY2022/2023 to LLGs as follows:</p> <p>For Quarter 1, the MoFPED circular is dated 8th July 2022, the CAO warranted on 1st August 2022 and the funds were transferred on 1st August 2022 (24 days).</p> <p>For Quarter 2, the MoFPED circular is dated 30th September 2022, the CAO warranted on 12th October and the funds were sent on 27th October 2022 (12 days).</p> <p>For Quarter 3, cash limits were communicated through MoFPED circular dated 29th December 2022. The CAO warranted 24th January 2022 and the disbursements made on 31st January 2023 (31 days).</p> <p>In all the three quarters, the warranting/verification of DDEG grants was effected beyond the 5 days' time limit. This was besides the first quarter disbursement not being sent in time.</p>	0

N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery

Maximum 6 points on this Performance Measure

c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:

Score 2 or else score 0

There was no evidence that the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter.

The DDEG was released in the second quarter and third quarter. The second quarter release was warranted on October 12, 2022 and disbursement communicated to Bugangari Sub County on October 27, 2022, 12 days later.

The third quarter release was warranted on January 24, 2023 and disbursement communicated to Bugangari Sub County on January 31, 2023, 6 days later.

Second quarter release warranted on October 12, 2022 and disbursement communicated to Kebisoni Sub County on October 27, 2022, 12 days later.

Third quarter release was warranted on January 24, 2023 and disbursement communicated to Kebisoni Sub County on January 31, 2023, 6 days later.

Second quarter release was warranted on October 12, 2022 and disbursement communicated to Kebisoni Town Council on October 27, 2022, 12 days later.

Third quarter release was warranted on January 24, 2023 and disbursement communicated to Kebisoni Town Council on January 31, 2023, 6 days later.

Routine oversight and monitoring

Maximum 4 points on this Performance Measure

a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:

Score 2 or else score 0

Four (04) reports were provided as evidence of RDLG supervision and mentoring activities in LLGs during FY2022/2023.

A monitoring and mentoring report dated 15/09/2022 titled - Q1 MONITORING REPORT OF GOVERNMENT PROJECTS IN LOWER LOCAL GOVERNMENT FOR FY2022/2023. Quarter one monitoring as carried out in the sub counties of Buhunga, Ruhinda, Bwambara, Kebisoni and Kebisoni T/C. Among the projects monitored included Renovation and replacement of cement floor with terrazzo at Buhunga HCIV, Constructions of 4 stance latrine at Buhunga HCIV, Construction of stance water borne latrine at Nyakanyinya P/S, Construction of two classroom block at Nyamihuku P/S and renovation of two classroom block at Kakoni P/S.

A monitoring and mentoring report dated 19/12/2023 titled Q2 MONITORING REPORT OF GOVERNMENT PROJECTS IN LOWER LOCAL GOVERNMENT FOR FY2022/2023. The activity involved monitoring and mentoring across LLGs and urban councils in schools, health centres, water facilities, operation wealth creation, It was carried out in the subcounties of Nyakishenyi, Bugangari, Nyakagyeme and Rwerere T/C. Among the projects monitored included Construction of two classroom block and office at Kakindo P/S,

Construction of four classroom block at Mitooma P/S, Construction of Kiborogota culvert crossing and Construction of 3 twin staff houses and 3 twin kitchens, ICT library, multipurpose hall admin block and laboratory block at Seed School - Nyakishenyi High School.

A report dated 23/03/2023 titled Q3 MONITORING REPORT OF GOVERNMENT PROJECTS IN LOWER LOCAL GOVERNMENT FOR FY2022/2023. The main focus of the monitoring activity was verifying the information in the quarterly performance reports and assessing the value for money in project implementation. The activity was carried out in the LLGs of Buyanja S/C, Buyanja T/C, Nyarushanje S/C and Nyakishenyi S/C. Projects monitored included Construction of 3 classroom block, 10000ltr water tank and supply of furniture at Katungu P/S, Construction of staff houses at Kasheshe P/S, progress of the Buyanja S/C admin block, construction of 5 stance latrine at Rwentuha P/S, Construction of Matooke market at Omukiragiro in Buyanja S/C, Construction of 2 stance latrine at Omukiragiro and General renovations at Buyanja HCIII.

A monitoring and mentoring report dated 30/05/2023 titled Q4 MONITORING REPORT OF GOVERNMENT PROJECTS IN LOWER LOCAL GOVERNMENT FOR FY2022/2023. The activity involved monitoring and mentoring across LLGs and urban councils in schools, health centres, water facilities, operation wealth creation, It was carried out in the subcounties of Nyakishenyi, Buyanja, Bwambara, Buhunga and Bugangari. Among the projects monitored included monitoring the end of year progress of the works at: Two classroom block at Kakindo P/S, three classroom block at Katungu P/S, classroom block at Rutooma, construction of Nyakishenyi H/S, general renovation of Buhunga HCIV, supply of health equipment at Kasheshe HCIII, staff house at Kasheshe, Buanja S/C headquarters construction and two classroom block at Nyamihuku in Bwambara S/C.

Overall, RDLG reports were found satisfactory to meet the requirement of mentoring/ monitoring/supervision for LLGs during FY2022/2023.

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Routine oversight and monitoring

Maximum 4 points on this Performance Measure

b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:

Score 2 or else score 0

Three sets of minutes were provided as evidence of presentation of monitoring /supervision reports for the four quarters to, and their discussion in TPC. In addition, attendance registers of these meetings were provided and reviewed.

TPC minutes for a meeting which sat on 19/09/2022 discussed quarters one and two monitoring and supervision reports (Minute No. 12/DTPC/2022/2023 - PRESENTATION AND DISCUSSION OF MONITORING Q1 REPORT). Monitoring findings were presented by the Ag. District Planner. Quarter one monitoring was carried out in the subcounties of Buhunga, Ruhunda, Bwambara, Kebisoni and Kebisoni T/C.

2

The LLGs monitored were presented and the progress of the works in the projects: Renovation and replacement of cement floor with terrazzo at Buhunga HCIV, Construction of 4 stance latrine at Buhunga HCIV, Construction of stance water borne latrine at Nyakanyinya P/S, Construction of two classroom block at Nyamihuku P/S and renovation of two classroom block at Kakoni P/S.

TPC minutes for a meeting which sat on 31/01/2023 discussed quarter two monitoring and supervision report (Minute No. 40/DTPC/2022/2023 - PRESENTATION AND DISCUSSION OF MONITORING Q2 REPORT). Q1 monitoring was carried out in the subcounties of Nyakishenyi, Bugangari, Nyakagyeme and Rwerere T/C. Projects monitored included the 2 classroom block at Kakindo P/S, the 4 classroom block at Mitooma P/C and Construction of Nyakishenyi Seed Secondary School. The meeting requested the Engineer to ensure that arrangements are made to see that all completed projects are officially commissioned.

TPC minutes for the TPC meeting on 27/03/2023 discussed quarter four monitoring and supervision report (Minute No. 56/DTPC/2022/2023 - PRESENTATION AND DISCUSSION OF MONITORING Q3 REPORT). In this meeting, the District planner presented a monitoring follow up of ongoing projects in the subcounties of Buyanja, Nyarushanje, Nyakishenyi and Buyanja T/C. Projects monitored three classroom block and supply of furniture at Katungu P/S, staff houses at Kasheshe P/S, Buyanja S/C admin block, 5 stance latrine with changing room at Rwentuuha P/S, Matooke market and 2 stance latrine at Omukiragiro, renovation of Buyanja HCIII and water tank at Buyanja HCIII.

TPC minutes for the TPC meeting on 03/07/2023 discussed quarter four monitoring and supervision report (Minute No. 56/DTPC/2022/2023 - PRESENTATION AND DISCUSSION OF MONITORING Q4 REPORT). Quarter 4 monitoring was carried out in the subcounties of Nyakishenyi, Buyanja, Bwambara, Buhunga and Bugangari. The projects monitored were focused on ensuring the good progress of GoU funded investments as the year came to close. The projects included the two classroom block at Kakindo P/S, three classroom block at Katungu P/S, classroom block at Rutooma, construction of Nyakishenyi H/S, general renovation of Buhunga HCIV, supply of health equipment at Kasheshe HCIII, staff house at Kasheshe, Buyanja S/C headquarters construction and two classroom block at Nyamihuku in Bwambara S/C.

The LG did supervise and mentor the activities of LLGs and the results of the monitoring and mentoring were discussed in the TPC and appropriate actions taken.

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:

Score 2 or else score 0

Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0

The LG had an Excel based electronic assets register, formatted as required by the LG Accounting Manual. A printed copy was also availed for this assessment.

A number of assets were sampled during this assessment including land and buildings, computers, furniture, motor vehicles and road equipment to check for the completeness and up datedness of the register. Sixteen (15) assets were sampled and except for two of them which were not engraved, they were all found in the register. The assets were recorded as required in the accounting manual.

The LG maintains an assets register and it is updated, formatted as required in the accounting manual.

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:

Score 1 or else 0

On the two days of this assessment on 30th November and 1st December 2023 the Board of Survey Report for FY2021/2022 was said to have been taken by the office of the IGG to Kabale and they could not obtain an electronic copy. This assessment was therefore forced to use the report of FY2020/2021 which was available. The report's recommendations majored on asset disposal, but they also had these key issues in the report:

1. No action was taken to almost all the previous Board of survey recommendations. Therefore, the management should ensure that all those recommendations made by boards of survey (BOS) Committees are always adhered to.

2. Most of the assets are not engraved. Therefore, there is need for engraving of all major assets for proper identity

3. It is very hard/ impossible to ascertain the date of purchase for most of the assets since they have been in existence for a long time more than the people occupying offices.

4. Some of biological assets that were inspected lacked identity as most of those animals were not tagged and therefore there is a need for all Biological assets be tagged to enable the stakeholders identify them easily

As on the date of this assessment on 01/12/2023, a number of steps had been taken in addressing these recommendations. They included:

1. A majority of the DLG's assets had been engraved and the ones that were not were mainly the newly acquired assets plus some recent donations from line ministries.

2. The DLG during the previous FY2022/2023 carried out a major disposal of assets in October 2022. The assets disposed included old motor vehicles, motorcycles and scrap road equipment such as dump trucks and vibro rollers.

The LG has made effort to address the recommendations of the Board of Survey report and did comply with the requirement.

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>Rukungiri DLG has a 15 member Physical Planning Committee. According to the minutes seen, during the year ended 2022/2023 the Physical Planning Committee met on the following dates:</p> <p>Quarter 1 meeting on 17/08/2022 and minutes submitted to MoLHUD on 15/09/2023.</p> <p>Quarter 2 meeting on 03/10/2022 and minutes submitted to MoLHUD on 15/09/2023.</p> <p>Quarter 3 meeting on 06/02/2023 and minutes submitted to MoLHUD on 15/09/2023.</p> <p>Quarter 3 meeting on 27/04/2023 and minutes submitted to MoLHUD on 15/09/2023.</p> <p>The requirement of quarterly physical planning committee meetings and submission of four (04) sets of minutes of the year to MoLHUD was satisfied.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d. For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>Rukungiri DLG did have eight (08) DDEG funded investments including two (02) infrastructural projects in its FY2022/2023 annual workplan and budget.</p> <ul style="list-style-type: none"> ❑ Construction of 2 classroom block with office at Kakindo P/S in Bugangari S/C UGX105,905,676 ❑ Construction of 5 stance latrine with changing room for girls at Rwentuha P/S in Buyanja S/C UGX31,824,324 <p>A Desk Appraisal aims to ensure that a project meets the following requirements.</p> <ul style="list-style-type: none"> -Derived from the LG Development Plan -Consistent with sector guidelines & DDEG objectives -Financially feasible -Having costed project profiles. <p>Evidence of desk appraisal for the two (02) FY2022/2023 RDLG DDEG projects was availed/seen.</p>	2

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>Rukungiri DLG did have eight (08) DDEG funded investments including two (02) infrastructural projects in its FY2022/2023 annual workplan and budget.</p> <p>📌 Construction of 2 classroom block with office at Kakindo P/S in Bugangari S/C UGX105,905,676</p> <p>📌 Construction of 5 stance latrine with changing room for girls at Rwentuha P/S in Buyanja S/C UGX31,824,324</p> <p>A Field Appraisal aims to ensure that a project meets the following requirements.</p> <p>-Technical feasibility</p> <p>-Environmental and social acceptability requirements.</p> <p>Evidence of field appraisal for the two (02) FY2022/2023 RDLG DDEG projects was availed/ seen.</p>	2
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>Rukungiri DLG has a 5 year Development Plan 2020/2021-2024/2025 containing project profiles for the projects of the DLG. The profiles cover different aspects of the DLG including Production, Health, Water, Works, Irrigation, Education and Administration for the 5 years. The DLG's project profiles are in a separate appendix companion to the 5 year development plan.</p> <p>RDLG FY2023/2024 Project profiles (costed) were presented and discussed in the TPC meeting which sat on 26/10/2022, Minute No. 21/DTPC/2022/23 - PRESENTATION AND DISCUSSION OF PROJECT PROFILES FOR THE FY2023/2024. The profiles were presented departmentally - Education, Health, Water, Administration etc.</p> <p>Both the DTPC minutes and the costed profiles were availed for the assessment and seen/reviewed and the accompanying attendance register for the meeting were also provided/seen.</p> <p>Project profiles with costing were developed and discussed by TPC for all investments in the AWP for FY2023/2024.</p>	1
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG had screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists and provided desk and field-based appraisals for screened projects for the current FY.</p> <ol style="list-style-type: none"> 1. Screening for environmental and social risks/impact for the completion of staff house at Buyanja HC III was carried out on 19/5/23, signed and stamped by both SEO and DCDO, desk and field appraisals were provided for review dated 20/9/23 with the appraisal team members 2. Screening for environmental and social risks/impact for the construction of pit lined latrine at Nangara P/s was carried out on 23/6/23, signed and stamped by both SEO and DCDO, desk and field appraisals were provided for review dated 20/9/23 with the appraisal team members 3. Screening for environmental and social risks/impact for the renovation of a 3 classroom block at Rwentuha P/s was carried out on 6/6/23, signed and stamped by both SEO and DCDO, desk and field appraisals were provided for review dated 20/9/23 with the appraisal team members 	2
			<p>The following were the appraisal team members for all the projects above;</p> <ol style="list-style-type: none"> 1. Turyazayo Isaac District Planner 2. Agaba P Nemesius DCDO 3. Mbabazi Caroline SEO 4. Karuhanga Nicholas District engineer 5. Dr. Akasiima Mucunguzi 6. Mr. Ganshanga Anxious <ul style="list-style-type: none"> • 	
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>There was evidence that all infrastructure projects for the current FY (2023/24) to be implemented using the DDEG were incorporated in the LG approved procurement plan. These include:</p> <ol style="list-style-type: none"> 1. General Renovation Works at Rwentuha P/S- RUKU924/WRKS/2023-24/00009; Contract Price at UGX 53,051,800/=. 	1

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0	There is evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction as listed below. 1. Under Min. No. 13/07/DCC/2023/2024 of the CC meeting held on 3/10/2023, General Renovation Works at Rwentuha P/S was approved.	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines: Score 1 or else 0	There is Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines. There was evidence of proper establishment of the PITs for the Health construction projects within the last FY (2022/23) as per guidelines. Through the memo dated 4/7/2022, the CAO appointed a PIT (for Education, Health and Water departments) that comprised of: a District Engineer/Project Manager, Senior Environmental Officer, DCDO and Labor Officer. Through another memo dated 4/11/2022, the CAO appointed a PIT (for education and health departments) that comprised of: a Contract Manager, Senior Environmental Officer, DCDO and Labour Officer and Clerk of Works. The sampled projects are listed below: 1. Renovation of Theatre at Buhunga HC IV. 2. Renovation of a Twin Staff House at Buyanja HC III 3. Construction of Kateramo Water System Phase II	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer: Score 1 or else score 0	There is evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer. Infrastructure projects under DDEG Funding were found to be compliant with the standard designs and specifications as provided by the LG Engineer. Physical inspection was done for one DDEG project and the details are listed below: 1. Construction of a Lined 5 Stance VIP Latrine with a Changing Room for Girls at Rwentuha P/S in Buyanja S/C- The project followed the technical designs. The project had high structural integrity and there were no defects found on the floor, walls, roof and doors.	1
13	Procurement, contract management/execution	e. Evidence that the LG has provided supervision by the	There was evidence that the LG provided supervision by the relevant technical officers for infrastructure projects prior to verification and	2

Maximum 8 points on this Performance Measure

relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0

certification of works in previous FY (2022/23).

A Project Implementation Team was specifically set up for each project and it comprised of several technical officers (i.e. CDO, Labour Officer, Contract Manager, Environment Officer, Clerk of Works and Project Manager) that jointly inspected the infrastructure prior to its verification and certification.

The following projects among others were sampled:

- 1. Construction of a Lined 5 Stance VIP Latrine with a Changing Room for Girls at Rwentuha P/S in Buyanja S/C-** The contractor's claim dated 19/2/2023 was certified for payment by the CAO, DEO and Project Supervisor. A joint inspection was done by the DE, Senior Environment Officer and DCDO on 21/2/2023 and a supervision report prepared. A Final Payment certificate was prepared and signed on 21/2/2023 by the DE, SEO, DEO and CAO. A Practical Completion certificate was issued and signed by the DE and CAO. An Environment and Social compliance certificate was also signed by the District Environment Officer and DCDO on 2/6/2023 .
- 2. Construction of a 3-Classroom Block with an Office at Kakindo P/S-** The contractor's claim dated 22/2/2023 was certified for payment by the CAO, DEO and DE. A joint inspection was done by the DE, Senior Environment Officer and DCDO on 22/2/2023 and a supervision report prepared. An Interim Payment certificate No. 1 was prepared and signed on 22/2/2023 by the DE, SEO, DEO and CAO. The contractor's 2nd claim dated 2/6/2023 was certified for payment by the CAO, DEO and DE. A joint inspection was done by the DE, Senior Environment Officer and DCDO on 2/6/2023 and a supervision report prepared. A Final Payment certificate was prepared on 2/6/2023 and signed by the DE, SEO, DEO and CAO on 15/6/2023. A Practical Completion certificate was issued and signed by the DE and CAO on 2/6/2023. An Environment and Social compliance certificate was also signed by the Senior Environment Officer and DCDO on 2/6/2023.
- 3. Construction of a Lined 4 Stance VIP Latrine with 2 bathrooms at Buhunga HC IV-** The contractor's claim dated 9/3/2023 was certified for payment by the CAO, DHO and DE. A joint inspection was done by the DE, Senior Environment Officer and DCDO on 10/3/2023 and a supervision report prepared. A Final Payment certificate was prepared on 10/3/2023 and signed by the DE, SEO, DEO and CAO on 15/3/2023. A Practical Completion certificate was issued and signed by the DE and CAO. An Environment and Social compliance certificate was also signed by the District Environment Officer and DCDO on 30/6/2023 .

Procurement, contract management/execution
Maximum 8 points on this Performance Measure

f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):

Score 1 or else score 0

There was evidence that Rukungiri DLG verified works (certified) and initiated payments of contractors timely. The sampled projects are listed below:

1. **Construction of a Lined 5 Stance VIP Latrine with a Changing Room for Girls at Rwentuha P/S in Buyanja S/C-** The contractor's claim dated 19/2/2023 was certified for payment by the CAO, DEO and Project Supervisor. A joint inspection was done by the DE, Senior Environment Officer and DCDO on 21/2/2023 and a supervision report prepared. A Final Payment certificate was prepared and signed on 21/2/2023 by the DE, SEO, DEO and CAO. A Practical Completion certificate was issued and signed by the DE and CAO. An Environment and Social compliance certificate was also signed by the District Environment Officer and DCDO on 2/6/2023.
2. **Construction of a 3-Classroom Block with an Office at Kakindo P/S-** The contractor's claim dated 22/2/2023 was certified for payment by the CAO, DEO and DE. A joint inspection was done by the DE, Senior Environment Officer and DCDO on 22/2/2023 and a supervision report prepared. An Interim Payment certificate No. 1 was prepared and signed on 22/2/2023 by the DE, SEO, DEO and CAO. The contractor's 2nd claim dated 2/6/2023 was certified for payment by the CAO, DEO and DE. A joint inspection was done by the DE, Senior Environment Officer and DCDO on 2/6/2023 and a supervision report prepared. A Final Payment certificate was prepared on 2/6/2023 and signed by the DE, SEO, DEO and CAO on 15/6/2023. A Practical Completion certificate was issued and signed by the DE and CAO on 2/6/2023. An Environment and Social compliance certificate was also signed by the Senior Environment Officer and DCDO on 2/6/2023.
3. **Construction of a Lined 4 Stance VIP Latrine with 2 bathrooms at Buhunga HC IV-** The contractor's claim dated 9/3/2023 was certified for payment by the CAO, DHO and DE. A joint inspection was done by the DE, Senior Environment Officer and DCDO on 10/3/2023 and a supervision report prepared. A Final Payment certificate was prepared on 10/3/2023 and signed by the DE, SEO, DEO and CAO on 15/3/2023. A Practical Completion certificate was issued and signed by the DE and CAO. An Environment and Social compliance certificate was also signed by the District Environment Officer and DCDO on 30/6/2023.

13

Procurement, contract management/execution
Maximum 8 points on this Performance Measure

g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law:

Score 1 or else 0

There was evidence of Complete procurement files in place for the all projects/contracts; including the Contract documents, approved Evaluation reports, memos of Bid Acceptance and Award of Contract indicating the Contracts Committee (C.C) approvals and/or minutes. These included

1. **Rehabilitation of 3No. Boreholes and 3No. Shallow Wells in Kebisoni S/C, Kebisoni T/C, Bwambara S/C, Bugangari S/C and Rukungiri Municipality-** RUKU924/WRKS/2022/2023/00013; approved by the Contracts Committee under Min. No. 46/14/DCC/2022/2023 in a meeting held on 22/11/2022 after evaluation. The evaluation report was approved by Contracts Committee. The contract document was signed on 12/12/2022.
2. **Construction of a 3 Stance Water Borne Toilet at Nyakanyinya P/S in Ruhinda-** RUKU924/WRKS/2022/2023/00008; approved by the Contracts Committee under Min. No. 26/01/DCC/2022/2023 in a meeting held on 17/10/2022 after evaluation. The evaluation report was approved by Contracts Committee. The contract document was signed on 30/10/2022.
3. **Construction of Kateramo Water Supply System Phase II-** RUKU924/WRKS/2022/2023/00010; approved by the Contracts Committee under Min. No. 46/09/DCC/2022/2023 in a meeting held on 22/11/2022 after evaluation. The evaluation report was approved by Contracts Committee. The contract document was signed on 20/4/2023.

Environment and Social Safeguards

14	Grievance redress mechanism operational.	a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.	There was evidence that the District/Municipality had i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant. In the letter of Ref No CR/214, dated 15/5/2022, the CAO appointed Mr. Agaba Posious Nemesius (DCDO) as the focal point person for grievance redress committee, signed and stamped by CAO Mr. Masokoyi Swalikh Wasswa, copied RDC and district Chairperson. In another letter dated of Ref No CR/214, dated 15/5/2022, the CAO appointed the following members on centralized Grievance Redress Committee (GRC), signed and stamped by CAO and copied to RDC and district Chairperson;	2
	Maximum 5 points on this performance measure	Score: 2 or else score 0	In the letter of Ref No CR/214, dated 15/5/2022, the CAO appointed Mr. Agaba Posious Nemesius (DCDO) as the focal point person for grievance redress committee, signed and stamped by CAO Mr. Masokoyi Swalikh Wasswa, copied RDC and district Chairperson. In another letter dated of Ref No CR/214, dated 15/5/2022, the CAO appointed the following members on centralized Grievance Redress Committee (GRC), signed and stamped by CAO and copied to RDC and district Chairperson;	
			<ol style="list-style-type: none"> 1. Mr. Agaba P Nemesius DCDO Secretary 2. Mr. Masokoyi Swalikh Wasswa Chairperson 3. Ms. Bagira Julius District Engineer 4. Ms. Twesime Charlene senior Labor Officer 5. Mbabazi Caroline SEO 	
14	Grievance redress mechanism operational.	b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.	There was evidence that LG had specified a system for recording, investigating and responding to grievances, which included a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district offices A log book was in place with columns detailing on how complaints were captured including the Ref No, date, mode of receipt, name of compliant, contact description of the complaint, status of investigation and feedback to the complaint, complaints were recorded in the log book and a public display information was displayed on the LG website.	2
	Maximum 5 points on this performance measure	If so: Score 2 or else 0		
14	Grievance redress mechanism operational.	c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.	There was evidence that the district had publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress. On web site of the district (rukungiri.go.ug) on the home page, there is paragraph on redress mechanism which states "the grievance committee was formed which is chaired by CAO and secretary is the DCDO, link on how to log in the complaint is displayed.	1
	Maximum 5 points on this performance measure	If so: Score 1 or else 0		

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0</p>	<p>Rukungiri DLG Development Plan III and Annual Workplan and budget 2023/2024 encompass aspects of environment, social and climate change interventions as most are cross cutting and embedded throughout the plans. Specific examples of the sections where environment, social and climate change interventions are highlighted include:</p> <p>In the RDLG DDP, there are interventions incorporated on different pages.</p> <p>Cover page - The DLG vision/cover page has environment in it.</p> <p>Pages 55-59 of the plan cover Environment and Natural Resources</p> <p>Page 69-72 covers SDGs for Environment</p> <p>Page 84-86 covers projects and outputs under Water and Environment</p> <p>Page 122 - Costing for the program for Water, Climate Change, Environment and Natural Resources Management</p> <p>Approved budget FY2023/2024</p> <p>Natural Resources and environment approved budget - sub budget (page 91-100)</p> <p>Community Based Services budget (Pages 100-109)</p> <p>Program 06 - Natural resources, environment, climate change, land and water</p> <p>Environmental, social and climate change interventions were integrated into RDLG Development Plans, annual work plans and budgets.</p>
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management</p> <p>score 1 or else 0</p>	<p>RDLG Planning Unit disseminated enhanced DDEG guidelines FY2022/2023 by distributing them to LLG staff in an Extended TPC meeting which sat on 31/01/2023 (MIN 41/DTPC/2022/23 - DISSEMINATION OF DDEG GUIDELINES FOR FY2023/2024).</p> <p>A sheet dated 31/01/2023 where RDLG LLG staff did sign for attendance in the meeting, was presented as evidence. On the sheet, 13 staff from LLGs signed as evidence of attending the meeting and collecting the guidelines.</p> <p>The attendance register for this Extended TPC meeting was also availed as evidence.</p>

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	(For investments financed from the DDEG other than health, education, water, and irrigation): c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary: score 3 or else score 0	There was evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY. 1. Environmental, Social and Climate Change screening for the construction of a 2 classroom block at Kakindo P/s was carried out on 15/10/2022, signed and stamped by both the SEO and DCDO. After screening the project didn't qualify for a detailed study, therefore a costed ESMP was prepared on 15/10/22 at a tune of Ugx 970,000 signed and stamped by both the SEO and DCDO. A costed Environment and Social Management Plans (ESMP) was incorporated into designs, BoQs, bidding and contractual documents in bill 2 for environmental concerns, engravement and sign post under item A to E at tune of Ugx 525,000 2. Environmental, Social and Climate Change screening for the construction of a a lined 5 stance VIP latrine with a changing room for girls at Rwentuha P/s was carried out on 15/10/2022, signed and stamped by both the SEO and DCDO. After screening the project didn't qualify for a detailed study, therefore a costed ESMP was prepared on 15/10/22 at a tune of Ugx 950,000 signed and stamped by both the SEO and DCDO. A costed Environment and Social Management Plans (ESMP) was incorporated into designs, BoQs, bidding and contractual documents in bill 2 for environmental concerns, engravement and sign post in element 9 under item A to E at tune of Ugx 810,000	3
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15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	d. Examples of projects with costing of the additional impact from climate change. Score 3 or else score 0	There was no evidence for examples of projects with costing of the additional impact from climate change	0
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15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 1 or else score 0	There was no evidence that all DDEG projects were implemented on land where the LG had proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances	0
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 1 or else score 0	There was evidence that environmental officer and CDO conducted support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports <ol style="list-style-type: none"> 1. Support supervision and monitoring for the construction of a lined 5 stance VIP latrine at Rwentuha P/s was carried on 6/1/2023, signed and stamped by both the SEO and DCDO, monthly monitoring reports were provided for review dated from 6/1/2023, 26/2/23, 7/3/23 and 2/6/23, the project started on 12/12/22 and ended 20/2/23 2. Support supervision and monitoring for the construction of a classroom block at Kakindo P/s was carried on 6/1/2023, signed and stamped by both the SEO and DCDO, monthly monitoring reports were provided for review dated from 6/1/2023, 26/2/23, 7/3/23 and 2/6/23, the project started on 22/12/22 and ended 2/6/23 	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0	There was evidence that E&S compliance Certification forms were completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects <ol style="list-style-type: none"> 1. E&S compliance Certification form for the construction of a 2 classroom block at Kakindo P/s was approved, signed and stamped on 2/6/2023, by both SEO and DCDO, payments were made on 28/6/23 2. E&S compliance Certification form for the construction of a 5 stance VIP latrine with a changing room for girl's at Rwentuha P/s was approved, signed and stamped on 10/3/2023, by both SEO and DCDO, payments were made on 20/3/23 	1

Financial management

16	<p>LG makes monthly Bank reconciliations</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment:</p> <p>Score 2 or else score 0</p>	<p>According to RDLG FY2022/2023 financial report, the LG runs the following bank accounts:</p> <p>Treasury single account</p> <p>General Fund account</p> <p>Rukungiri Imprest account</p> <p>Rukungiri Resilient account</p> <p>Rukungiri UWEP recovery account</p> <p>Rukungiri Youth livelihood recovery account</p> <p>The responsibility of reconciliation of the TSA is said to have been taken back to MoFPED.</p> <p>As on date of the assessment 01/12/2023, all the other bank accounts were reconciled to 31/10/2023.</p> <p>The DLG's bank accounts were reconciled up to date as required under the manual.</p>	2
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>The four quarterly reports of FY 2022/2023 were produced by the RDLG Internal Audit department.</p> <p>Quarter 1 report was dated 09/11/2022, Quarter 2 dated 06/02/2023, Quarter 3 dated 12/05/2023 and Quarter 4 dated 28/07/2023.</p> <p>There was evidence that the reports were submitted to the Speaker, CAO, LGPAC, Internal Auditor General and the LCV Chair through dated acknowledgement signatures of the recipients in the audit submission book.</p>	2

LG executes the Internal Audit function in accordance with the LGA Section 90

Maximum 4 points on this performance measure

b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.

Score 1 or else score 0

RDLG quarterly internal audit reports for FY2022/2023 did contain a section for follow-up on the status of implementation of prior audit findings/ recommendations.

RDLG internal audit reports were submitted to the Speaker, CAO, LGPAC, RDC, Internal Auditor General and the LCV Chair as follows: Quarter one submitted on 11/10/2022, Quarter two on 10/02/2023, Quarter three on 15/05/2023 and Quarter four on 28/07/2023.

The four PAC reports seen in this assessment show that PAC made effort to follow-up on the previous internal audit findings documented in the quarterly internal audit reports.

The LG internal audit department also produced a report addressed to the IAC copied the CAO, PAC and LC5 chair at the end of the FY detailing all the audit findings and recommendations made during the year. The report seen during this assessment was dated 28/07/2023 and contained all the audit findings of FY2022/2023 and the actions taken on them and those which remained outstanding/not acted on. The report was copied to the CAO and the CFO.

We conclude that the LG had a process and did follow-up previous internal audit findings as required.

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>RDLG records show that the four quarterly internal audit reports were received by CAO, Council and DPAC through witness of the acknowledgement signatures of the recipients in the audit submission book.</p> <p>According to the PAC report dated 27/01/2023 Ref: RUK:LGPAC/225/01/IA, Quarter one Internal Audit report was handled by the PAC.</p> <p>According to the PAC report dated 27/02/2023 Ref: RUK:LGPAC/225/01/IA, Quarter two Internal Audit report was handled by the PAC.</p> <p>According to the PAC report dated 16/10/2023 Ref: RUK:LGPAC/225/03/IA, Quarter three Internal Audit report was handled by the PAC.</p> <p>According to the PAC report dated 26/10/2023 Ref: RUK:LGPAC/225/04/IA, Quarter two Internal Audit report was handled by the PAC.</p> <p>The LGPAC reports had several recommendations and there was evidence CAO was taking action in follow-up the recommendations including writing to affected persons demanding for action.</p> <p>FY2022/2023 internal audit reports of RDLG were presented to the LGPAC and PAC sufficiently discussed and took actions on them.</p>	1
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Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>Rukungiri DLG OSR budget for FY 2022/2023 was UGX1,080,772,129. What was realised according to the financial report was UGX1,224,631,985. Of this figure, UGX127,074,950 was realised from the sale of assets leaving a balance of UGX1,097,557,035. This was 101.5% of what was budgeted and this fell within the +/-10% range.</p>	2
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19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>According to the financial reports, Rukungiri DLG OSR performance for FY 2021/2022 was UGX849,092,600. Performance for FY 2022/2023 was UGX1,224,631,985. Of this figure, UGX127,074,950 was realised from the sale of assets leaving a balance of UGX1,097,557,035.</p> <p>The actual increase in OSR was therefore UGX248,464,435 in revenue, which was i.e. 29.2%.</p> <p>The increase was >10%.</p>	2
20	<p>Local revenue administration, allocation, and transparency</p> <p>Maximum 2 points on this performance measure.</p>	<p>a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0</p>	<p>During the year ended 2022/2023, what was collected as by RDLG OSR was UGX1,224,631,985. Of this figure, UGX127,074,950 was realised from the sale of assets and UGX277,803,878 from co-funding payments of Micro-scale farmers and therefore not remittable. This left the remittable total at UGX819,753,157.</p> <p>What was remitted to the 9 sub counties and 4 town councils during the FY was UGX572,816,364, which made 69.8%</p> <p>Quarter one UGX89,963,360</p> <p>Quarter two UGX127,700,364</p> <p>Quarter three UGX73,844,952</p> <p>Quarter four UGX281,307,688</p> <p>TOTAL UGX572,816,364</p> <p>This was 69.8%, which was above the 65% threshold.</p> <p>The DLG did comply with the 65% OSR remittance requirement to LLGs.</p>	2

Transparency and Accountability

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0</p>	<p>There was evidence that the Procurement Plan and the Awarded Contracts were duly published/displayed on the Rukungiri DLG Procurement Notice board for Public View.</p> <p>The sampled projects are listed below:</p> <ol style="list-style-type: none"> 1. Proc. Ref. No- RUKU924/WRKS/2022-2023/00007: Ngabi Traders Ltd; for the Renovation of the Theatre at Buhunga HC IV; with an amount of - UGX 39,380,000/=; Display date was 22/11/2022 and Removal date was 7/12/2022. Method of Procurement was Selective National Bidding. 2. Proc. Ref. No- RUKU924/WRKS/2022-2023/00014: Freight Technologies U Ltd; for the Construction of a Lined 5 Stance VIP Latrine with a Changing Room for Girls at Rwentuha P/S in Buyanja S/C; with an amount of - UGX 31,625,180/=; Display date was 22/11/2022 and Removal date was 7/12/2022. Method of Procurement was Selective National Bidding. 3. Proc. Ref. No- RUKU550/WRKS/2022-2023/00003: ArtNick Ltd; for the Renovation of Staff House at Buyanja HC III; with an amount of - UGX 34,087,722/=; Display date was 22/11/2022 and Removal date was 7/12/2022. Method of Procurement was Selective National Bidding. 	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>The LG's performance assessment results for FY2021/2022 were disseminated by displaying them on the DLG noticeboard.</p> <p>During this assessment, the results were found on the DLG noticeboard which could be easily accessed by the staff and the general public.</p> <p>The results were also disseminated on the LG website and this was confirmed during this assessment.</p> <p>The results were also disseminated through an extended TPC which sat on 08/05/2023 attended by 36 DLG and LLG staff, as per minute (66/DTPC/2022/2023 - DISSEMINATION PERFORMANCE ASSESSMENT RESULTS). The results were presented by the Planner and the DLG had performed fairly well. More effort was required to come out among the best.</p> <p>The attendance list for the TPC meeting was also availed/seen during the assessment.</p> <p>There was evidence that the DLG duly disseminated the assessment results of the previous year.</p>	2

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>The LG had been conducting radio programs on Radio Rukungiri and Radio Boona.</p> <p>The LG has a radio program every Monday on Boona Radio and Tuesday on Rukungiri Radio.</p> <p>The programs are conducted by RDC, CAO and the heads of department such as DPO, DHO, DEO and DWO.</p> <p>As on the day of assessment on 01/12/2023, a team from the Production Department had just been hosted on Radio Boona on 22/11/2023 where they tackled many issues including issues of Extension Workers, Markets for farming. They also received grievances from call in farmers and gave feedback.</p> <p>The Health Department was at Radio Boona on 29/11/2023 where they talked about public health, water and sanitation. The team was made of the DHI and Communications Officer.</p> <p>In the previous year FY2022/2023, the radio schedule provided by the Communications department shows the following programs were conducted on the two radios:</p> <ul style="list-style-type: none"> On 15/08/2022 Education Department On 22/08/2022 Production Department On 29/08/2022 Health Department On 05/09/2022 Water Department On 19/09/2022 Works Department On 26/09/2022 Planning Unit On 03/10/2022 Community Based Services On 10/10/2022 Internal Audit On 24/10/2022 Trade and LED On 31/10/2022 Natural Resources <p>There was evidence that during the previous FY2022/2023 RDLG conducted radio programs and interacted with the public to listen to queries and provide feedback on progress.</p>	1
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>No evidence was provided/seen as to RDLG publishing i.e. making publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal during the previous FY2021/2022.</p>	0

Reporting to IGG
Maximum 1 point on
this Performance
Measure

a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0

The DLG (CAO) did not prepare a report on actions taken on IGG recommendations of the assessment year, nor was any such report for FY2022/2023 presented to Council.

The DLG did have an IGG file but it wasn't availed for the assessment.

The LG did not meet the requirement of the performance measure.

**Educational
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>We obtained and reviewed the PLE results for 2020 and 2022 and calculated the percentage improvement in performance as indicated below:</p> <p>☞ 5,867 out of 6,715 (87.3%) pupils who sat PLE in 2020 passed between grade 1 and 3 (Div1:1,063, Div2:3,407 & Div3:1,397=5,867). This excludes absentees (6,888-173=6,715).</p> <p>☞ 5,842 out 6,700 (87.2%) pupils who sat PLE in 2022 passed between grade 1 and 3 (Div1:1,063, Div2:3,383 & Div3:1,396=6,700). This excludes absentees (6,880-180=6,700)</p> <p>Thus, a percentage decrease between years of -0.1% (No improvement), the score is 0.</p>	0
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>We obtained and reviewed the UCE results for 2020 and 2022 for USE schools and calculated the percentage improvement in performance as indicated below.</p> <p>☞ 1,943 out of 3,251 (59.7%) students who sat UCE in 2020 passed between grade 1 and 3 (Div1:276, Div2:774 & Div3:893=1,943. This excludes absentees (3,276-31=3,251)</p> <p>☞ 1,810 out 2,716 (66.6%) students who sat UCE in 2022 passed between grade 1 and 3 (Div1:247, Div2:647 & Div3:916=1,810. This excludes absentees (2,747-31=2,716)</p> <p>Thus, a performance improvement of 6.9%, between the years (improvement by more than 5 %), the score is 3.</p>	3

N23_Service Delivery
Performance: Increase
in the average score in
the education LLG
performance
assessment.

Maximum 2 points

a) Average score in the
education LLG
performance has
improved between the
previous year but one and
the previous year

- By more than 5%, score
2
- Between 1 and 5%,
score 1
- No Improvement, score
0

NB: If the previous
average score was 95%
and above, Score 2 for any
increase.

The performance of RDLG in its LLG
Education assessment was 32% in
FY2021/2022 and 71% in FY2022/2023,
meaning an increase of 39%.

**This was an increase, in the range
'more than 5%'.**

3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>There was evidence that the education development grant was used on eligible capital invest activities as per the planning, budgeting and implementation guidelines for LGs for the education sector FY 2023-2024, page (17).</p>	2
			<p>The review of the 2022-2023_ LG Approved budget estimates: Vote_924_Rukingiri district, indicated that Ugx.1,802,334,000 was budgeted under development grant. A total of Ugx.772,686,986 was released for capital investments in UPE schools. This excluded money for construction of seed school at Nyakishenyi High School and investment service costs.</p>	
			<p>Specific details below;</p>	
			<ul style="list-style-type: none"> • Construction of latrines at Nyarushanje PS & Kabingo PS at Ugx.58,000,000 • Renovation of classroom blocks at Bugarama PS and Kakoni PS at Ugx.70,000,000 • Construction of a twin staff house, kitchen and 2 lined stance latrines at Kasheshe PS at Ugx.244,619,361 • Construction of a 3 classroom block at Katungu PS at Ugx.142,500,000 • Construction of 2 classroom block at Nyamihuku PS at Ugx.71,000,000 • Construction of 2 classroom block and office at Kakindo PS at Ugx.102,343,880 • Construction works at Rubanga parents S at Ugx.171,000,000 • Renovation works at Bikurungu Parents PS at Ugx.43,200,000 	
			<p>The expenditure was Ugx.760,163,241 against Ugx.772,686,986 which is 98.3% of the budget. This is compliant with sector guidelines. The score is 2.</p>	
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0</p>	<p>Eight (08) RDLG Education infrastructural projects of FY2022/2023 were sampled to check certification before payment.</p> <p>Payment to Kinombe Nyaruzinga Construction Ltd for Construction of school facilities at Kasheshe P/S in Buyanja S/C (UGX112,894,626) – Requisition dated 19/01/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer and CAO on 24/01/2023 and payment on 08/02/2023.</p>	2
			<p>Payment to Belfast Engineering Co. Ltd for construction of 3 classroom block at Katungu P/S (UGX126,426,608) – Requisition</p>	

dated 23/05/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer and CAO on 13/06/2023 and payment on 28/06/2023.

Payment to Ruhinda Technical Services Ltd for construction of 2 classroom block with office and store at Kakindo P/S (UGX50,055,880) – Requisition dated 02/06/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer and CAO on 13/06/2023 and payment on 28/06/2023.

Payment to Ruhinda Technical Services Ltd for construction of 2 classroom block with office and store at Kakindo P/S (UGX35,047,392) – Requisition dated 22/02/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer and CAO on 11/02/2023 and payment on 18/04/2023.

Payment to NK General Dealers Ltd for construction of 5 stance VIP latrine with changing room for girls at Nyarushanje Upper P/S (UGX28,450,296) – Requisition dated 31/05/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer and CAO on 31/05/2023 and payment on 28/06/2023.

Payment to Kinombe Nyaruzinga Construction Ltd for Construction of school facilities at Kasheshe P/S in Buyanja S/C (UGX93,649,397) – Requisition dated 24/05/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer and CAO on 24/05/2023 and payment on 15/06/2023.

Payment to Freight Technologies Ltd for construction of 5 stance latrine with changing room for girls and screen walling at Rwentuuha P/S (UGX28,516,264) – Requisition dated 21/02/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer and CAO on 22/02/2023 and payment on 20/03/2023.

Payment to Kyatoko Technical Supplies Ltd for completion of 2 classroom block at Bugarama P/S (UGX22,968,328) – Requisition dated 20/05/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer and CAO on 31/05/2023 and payment on 15/06/2023.

In all the eight (08) sampled projects, certification for Education infrastructural projects was done before payments were effected in accordance with the requirements.

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

c) If the variations in the contract price are within +/-20% of the MoWT estimates score 2 or else score 0

There is evidence that the variations in the contract price are within +/-20% of the MoWT estimates. From the DE and DEO's offices, the following Works contracts were sampled; and the Engineer's estimates (Budgets) Vs. the Contract Prices are as listed with the corresponding Variation percentages; $[(A - B)/A] * 100\%$:

1. **Construction of a Lined 5 Stance VIP Latrine with a Changing Room for Girls at Rwentuha P/S in Buyanja S/C-RUK550/WRKS/2022-23/00014.** The Engineer's Estimate (A) was UGX 31,780,586/=; the Contract Sum/Price (B) was UGX 31,625,180/= . The Variation was at 0.49%.
2. **Construction of a 3-Classroom Block with an Office at Kakindo P/S- RUKU924/WRKS/2022-23/00016.** The Engineer's Estimate (A) was UGX 102,341,276/=; the Contract Sum/Price (B) was UGX 101,824,324/= . The Variation was at 0.51%.

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY

- If 100% score 2
- Between 80 - 99% score 1
- Below 80% score 0

There is evidence that the education project (seed secondary school) was completed as per the work plan in the previous FY 2022/23.

As per the work plan of the previous FY 2022/23 and the site inspection done at Nyakishenyi Seed Secondary School, below is the work progress of each structure:

1. **3 2-Classroom blocks-** As per work plan, wall finishes, should be complete. During site inspection, it was noted that wall finishes for 2 out of 3 classroom blocks were complete hence scoring 80%.
2. **3 2-unit teacher's houses-** As per work plan, ceiling finishes should be complete (at 100%). During site inspection, it was noted that wall and ceiling finishes for 2 out of 3 teacher's houses were complete hence scoring 70%.
3. **1 administration block-** As per work plan, joinery fittings should be complete (at 100%). During site inspection, it was noted that joinery fittings were still in progress hence scoring 80%.
4. **2 units science laboratory block-** As per work plan, ceiling finishes should be complete. During site inspection, it was noted that ceiling finishes were complete hence scoring 100%.
5. **3 2-unit teacher's kitchen-** As per work plan, mechanical installations should be complete. During site inspection, it was noted that mechanical installations had not commenced. The structures were at roofing level (way behind schedule)

hence scoring 60%.

6. **2-5 stance VIP latrines-** As per work plan, all works should be complete (at 100%). During site inspection, it was noted that door installation was still pending hence scoring 90%.
7. **1 2-Stance VIP latrine (Teacher's)-** As per work plan, all works should be complete (at 100%). During site inspection, it was noted that all works are complete hence scoring 100%.
8. **1 2-Stance VIP latrine (Administration Block)-** As per work plan, all works should be complete (at 100%). During site inspection, it was noted that all works are complete hence scoring 100%.
9. **1 ICT library-** As per work plan, floor finishes should be complete (at 100%). During site inspection, it was noted that floor finishes had not commenced. The structure was at roofing and plastering levels (way behind schedule) hence scoring 60%.
10. **1 Multipurpose hall-** As per work plan, Electrical installations should be complete (at 100%). During site inspection, it was noted that electrical installations had not commenced. The structures were at floor finishes level. Windows and doors are not installed yet and joinery fittings are not yet done i.e. (the structure is way behind schedule) hence scoring 60%.

- **Therefore, the total average score as per the work plan in the previous FY is 80%**
-

4

Achievement of standards: The LG has met prescribed school staffing and infrastructure standards

Maximum 6 points on this performance measure

a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines

- If 100%: score 3
- If 80 - 99%: score 2
- If 70 - 79% score: 1
- Below 70% score 0

There was evidence that the LG had recruited primary school teachers as per the prescribed MoES staffing guidelines to fill **95.5%** of the structure.

The structure of Rukungiri District provided for a staff establishment ceilings for primary school teachers of **1472** and the LG had recruited **1406** teachers computing to a percentage of **95.5%**.

2

Achievement of standards: The LG has met prescribed school staffing and infrastructure standards

Maximum 6 points on this performance measure

b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,

- If above 70% and above score: 3
- If between 60 - 69%, score: 2
- If between 50 - 59%, score: 1
- Below 50 score: 0

The education department had consolidated schools' asset registers for 2022/2023 covering only UPE schools (165). The USE schools (23) were not captured on the asset register.

The consolidated asset register for FY 2021/22 was not availed to the Assessor at the time of the assessment.

The review of the consolidated asset register for FY 2022/23 indicated that 17/162 UPE schools representing (10%) met all the basic requirements and minimum standards set out in the DES guidelines; requirement for permanent accommodation for at least four (4) teachers, classroom: pupil ratio of 53, Latrine stance: pupil ratio of 1:40 and desk: pupil ratio of 1:3.

However, we could not compute the percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines since the consolidated asset register for FY 2021/2022 was missing at the time of the assessment. The score is 0.

Performance Reporting and Performance Improvement

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

a) Evidence that the LG has accurately reported on teachers and where they are deployed.

- If the accuracy of information is 100% score 2
- Else score: 0

The primary teacher deployment list 2023 obtained from DEO's office dated 21 November 2023 had a total of (1,407) teachers in post covering all the (162) UPE schools in Rukungiri District.

Verification was done in the three (3) sampled UPE school and the following was established as per the deployment list from the DEO's office.

□ The number of teachers (09) on the DEO's deployment list was consistent with the number of teachers on the school staff list (09) in Nyamifura primary school, Nyakagyeme S/C.

The names of teachers verified:

1. Biriho Lovence
2. Kabajurizi Edvinah
3. Mwesigwa Manson
4. Twinomujuni Gerald
5. Akampumuza David
6. Oikiriza Odillar
7. Komuhangi Alison
8. Tumushabe Annet
9. Agaba Remmy

□ The number of teachers (08) on the DEO's deployment list was consistent with the number of teachers on the school staff list (08) in Kabwoma primary school, Rwerere TC.

The names of teachers verified:

1. Kamugisha Bosco
2. Ebyamukama Andrew
3. Ahereza Jackline
4. Tumuhairwe Monic
5. Tumuhairwe Winnie
6. Katushabe Annah
7. Akatuhurira Doreen
8. Kemigisha Apophia

□ The number of teachers (12) on the DEO's deployment list was consistent with the number of teachers on the school staff list (12) in Nyakariro Primary school, Bugangari S/C

The names of teachers verified:

1. Musharaza Justus
2. Ninsiima Flavia
3. Twinamtsiko Boris
4. Asiiimwe Phionah
5. Komushana Phionah
6. Kyarisiima Honest
7. Kwikiriza Arthur
8. Twesigye Ronald
9. Kyomugisha Christine
10. Ninsiima Ketura
11. Mugisha Michael
12. Ahereza Brendah

It was verified that the total number of teachers as indicated on the DEO's teacher deployment list was consistent with the number of teachers on the school staff lists in all the three (3) sampled UPE schools as indicated above. Therefore, the information on deployment list for teachers was 100% accurate. The score is 2.

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.

- If the accuracy of information is 100% score 2

- Else score: 0

There was evidence that the information on the LG education departmental consolidated schools' asset register for FY 2022/23 and school asset registers of the sampled 03 UPE schools was in place as per the consolidated asset register. Specific details as indicated below:

☐ Nyakariro PS: The education department consolidated school asset register for FY 2022/23 indicated that the school had (12) classrooms, (10) latrine stances, (128) desks and teacher accommodation (4), while the school asset register had (12) classrooms, (10) latrine stances, (128) desks and teacher accommodation (4). Information was consistent

☐ Kabwoma PS: The education department consolidated school asset register for FY 2022/23 indicated that the school had (09) classrooms, (14) latrine stances, (100) desks and teacher accommodation (00), while the school asset register had (09) classrooms, (14) latrine stances, (100) desks and teacher accommodation (00). Information was consistent

☐ Nyamifura PS: The education department consolidated school asset register for FY 2022/23 indicated that the school had (11) classrooms, (10) latrine stances, (157) desks and teacher accommodation (02), while the school asset register had (11) classrooms, (04) latrine stances, (157) desks and teacher accommodation (02). Information was consistent.

Based on above, information was 100% accurate; the score is 2.

School compliance and performance improvement:

Maximum 12 points on this performance measure

a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:

- If 100% school submission to LG, score: 4
- Between 80 - 99% score: 2
- Below 80% score 0

There was evidence of compliance to MoES annual budgeting and reporting guidelines.

All the 162 (100%) UPE schools submitted annual school reports and budgets to DEO by 30 January 2023. The review of submitted annual school reports on file revealed that all reports were compiled as per the reporting formats for annual school reports and budgets provided in the sector guidelines (Budgeting and implementation guidelines for primary and secondary schools, pages 21-25.

The departmental annual school reports' collection form indicated that reports were submitted and recorded as per sub county covering Nyakashenyi, Buyanja, Kebisoni, nyarushanje, Nyakagyame, Bwambara, Bugangari, Buhinda and, Bwambara.

A sample of annual school reports on file showed that the annual school reports and budgets were duly signed by head teachers and chairpersons of school management committees (SMCs) and submitted by 30 January 2023; Kiryaruhotora PS-31/12/22, Nyarushanje PS-31/1/23, Kiryamacumu PS-5/1/23, Rusharara PS-30/1/23, Kigarama PS-3/1/23, Bikongozo PS-20/1/23, Katungu PS-30/1/23, Rwamagaya PS-20/1/23, and Ruteete PS-30/1/23 among others,

Information obtained from the three (3) sampled UPE schools confirmed that.

☐ Nyamifura PS, submitted a duly signed annual school report and budget for calendar year 2022 on 30 January 2023

☐ Kabwoma PS: Submitted a duly signed annual school report and budget for calendar year 2022 on 18 January 2023

☐ Nyakariro PS: Submitted a duly signed annual school report and budget for calendar year 2022 on 30 January 2023

As indicated above, all the three sampled UPE schools had copies of duly signed annual school reports and budgets on file and compliant with the requirement of the indicator in the LGMSD manual (September 2020)

The score is 4.

School compliance and performance improvement:

Maximum 12 points on this performance measure

b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:

- If 50% score: 4
- Between 30- 49% score: 2
- Below 30% score 0

There was evidence that education department supported all the 162 (100%) UPE schools to prepare and implement the school improvement plans (SIPs) in line with inspection recommendations.

The review of school files in DEO's office revealed that all UPE schools submitted their SIPs as part of the annual school report and budget for calendar year 2022.

We noted a report on training of head teachers on development of school improvement plans (SIPs) in Bugangaris S/C by the Inspector of schools (IS) dated 20 April 2023.

Verification done in all the three (3) sampled UPE schools revealed that copies of SIPs were displayed on the school notice boards as indicated below:

- Nyamifura PS had a SIP 2023 displayed on school notice board. The plan highlighted improving academic performance among others.
- Kabwoma PS: had a SIP for 2023 displayed on school notice board. Issues to be addressed included late coming of teachers and pupils, irregular lesson plans of teachers and absenteeism of some learners.
- Nyakariro PS: There was evidence of a SIP 2023 displayed on school notice board. Issues to be addressed included avoiding failures at P7 by increasing 1st grades among others

Therefore, the submission of annual school reports with enclosed copies of SIPs stood at 100% hence the score is 4.

School compliance and performance improvement:

Maximum 12 points on this performance measure

c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:

- If 100% score: 4:
- Between 90 - 99% score 2
- Below 90% score 0

We obtained and reviewed the list of schools from LG performance contract and the EMIS data of schools from MoES. We established that the list of government aided primary schools (162) captured in 2022-2023 Approved Performance contract_924_RUKUNGIRI District was consistent with the number of schools (162) in excel data sheet (EMIS) for FY 2022/23.

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p>	<p>Rukungiri district budgeted for a head teacher and minimum of (7) teachers per school or a teacher per class in all the (162) Government aided primary schools as per wage provision. The 2023-2024 Approved budget estimates Vote: 924 Rukungiri District had a total salary budget of UGX.11,037,670,000 for (1,407) primary teachers in all the 162 UPE schools.</p>	4
	<p>Maximum 8 points on this performance measure</p>	<p>Score 4 or else, score: 0</p>	<p>We noted that, Keihumure PS had the lowest number of teachers (7) with total enrolment of (256) pupils. While Omurusheshe PS had the highest number of teachers (15) with total enrolment of 901 pupils. The score is 4.</p>	
7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p>	<p>The primary schools staff deployment list 2023 obtained from the DEO's office and reviewed, indicated that a total of (1,407) teachers were deployed in (162) UPE schools as per sector guidelines e.g. all the (162) UPE schools had a minimum of (7) teachers per school or a minimum of one (1) teacher per class for schools with less than P.7.</p>	3
	<p>Maximum 8 points on this performance measure</p>	<p>Score 3 else score: 0</p>	<p>Verification was done in 3 sampled UPE school and the following was established as per the teacher deployment list 2023 / school staff lists.</p>	
			<p>□ The number of teachers (09) on the DEO's deployment list was consistent with the number of teachers on the school staff list (09) in Nyamifura primary school, Nyakagyeme S/C.</p>	
			<p>□ The number of teachers (08) on the DEO's deployment list was consistent with the number of teachers on the school staff list (08) in Kabwoma primary school, Rwerere TC.</p>	
			<p>□ The number of teachers (12) on the DEO's deployment list was consistent with the number of teachers on the school staff list (12) in Nyakariro Primary school, Bugangari S/C</p>	
			<p>We established that the number of teachers on the DEO's deployment list was consistent with the number of teachers on school staff lists in all the (3) sampled UPE schools. This was further confirmed by checking the teachers' attendance books. The score is 3.</p>	

Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

Maximum 8 points on this performance measure

c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,

score: 1 else, score: 0

There was evidence that teacher deployment data had been displayed on school notice boards in all the 03 sampled UPE schools as indicated below:

☐ Nyamifura PS (NyakagyemeS/C) the deployment staff list displayed on the notice board had (9) teachers i.e. Male (4) and Female (5)

☐ Kabwoma PS (Rwerere TC) the deployment staff list displayed on the notice board had (8) teachers i.e. Male (2) and Female (6)

☐ Nyakariro PS (Bugangari S/C) the deployment staff list displayed on the notice board had (11) teachers i.e. Male (4) and Female (7). The score is 1.

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copt to DEO/MEO

Score: 2 or else, score: 0

There was no evidence that all primary school head teachers had been appraised in accordance with guidelines as the appraisals were conducted outside the stipulated timelines.

Some of the files reviewed indicated the following:

1. Turyahabwe Kenneth, the headteacher of Kishonga Primary School in Kebisoni Sub County was appraised by Ganshanga Anxious, Senior Education Officer on **February 20, 2023**.
2. Twinomugisha Deus, the headteacher of Rugyendwa Primary School in Kebisoni Sub County was appraised by Ganshanga Anxious, Senior Education Officer on **January 20, 2023**.
3. Mujuni Denis, the headteacher of Ngoma Primary School in Nyakishenyi Sub County was appraised by Ganshanga Anxious, Senior Education Officer on **February 13, 2023**.
4. Tumugabirwe Lastone, the headteacher of Mabanga Primary School in Kebisoni Sub County was appraised by Ganshanga Anxious, Senior Education Officer on **February 16, 2023**.
5. Tuesday Yahaya, the headteacher of Rwakanyegyero Primary School in Kebisoni Sub County was appraised by Ganshanga Anxious, Senior Education Officer on **February 15, 2023**.
6. Mworozzi Hillary, the headteacher of B w a n g a Primary School in Nyarushangye Sub County was appraised by Ganshanga Anxious, Senior Education Officer on **February 30, 2023**.
7. Tibenderana Augustine, the headteacher of Kasheshe Primary School in Buyanja Sub County was appraised by Ganshanga Anxious, Senior Education Officer on **January 03, 2023**.
8. Mujuni Charles, the headteacher of Nyamakukuuru Primary School in Nyarushangye Sub County was appraised by Ganshanga Anxious, Senior Education Officer on **January 16, 2023**.
9. Tweheyo Bennet, the headteacher of Burombe Primary School in Ruhinda Sub County was appraised by Ganshanga Anxious, Senior Education Officer on **January 15, 2023**.
10. Karakwende Sarapious, the headteacher of Kaamira Primary School in Nyarushangye Sub County was appraised by Ganshanga Anxious, Senior Education Officer on December 30, 2022.

8

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM

Score: 2 or else, score: 0

The HRM did not have appraisal files for secondary school headteachers so the assessment team could not review their appraisals.

0

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

c) If all staff in the LG Education department have been appraised against their performance plans

score: 2. Else, score: 0

There was no evidence that all staff in the LG Education department had been appraised against their performance plans. Some were not appraised and others were appraised outside the stipulated timelines.

Some files reviewed indicated the following:

1. T h e District Education Officer, Turyahumura Jackson was not appraised.
2. T h e Principal Inspector of Schools, Tushemereirwe Lydia was appraised by Ngabirano Frank, Assistant Secretary on December 12, 2022.
3. T h e Senior Education Officer, Ganshanga Anxious was appraised by Agaba Hillary, Deputy Chief Administrative Officer on July 10, 2023.
4. T h e Inspector of Schools, Gumisiriza Keisheki Aida was appraised by Kamwehanga Denis, Principal Assistant Secretary on June 30, 2023.
5. T h e Inspector of Schools, Amperiize Anthony was not appraised.
6. T h e Inspector of Schools, Mutungi Able was not appraised.
7. T h e Inspector of Schools, Mpirirwe Jackline was not appraised.
8. T h e Inspector of Schools, Arineitwe Solomon was not appraised.
9. T h e Inspector of Schools, Muzoora Bruce was not appraised.
10. T h e Inspector of Schools, Nuwamanya Topher was not appraised.
11. T h e Inspector of Schools, Nyesigire Amos was not appraised.
12. T h e Education Officer (Special Needs), Kembabazi Peace was appraised by Kamwehanga Denis, Principal Assistant Secretary on February 20, 2023.
13. T h e Education Officer (Guidance and Counselling), Twesigye Ronald was appraised as an Education Assistant before getting a promotion by Musharaza Justus, Headteacher on December 20, 2022.

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>There was evidence of a departmental capacity building plan FY 2022/23. The plan was signed by DEO and dated July 2022</p> <ul style="list-style-type: none"> ☞ Key training staff capacity gaps to address at the school level included among others <ul style="list-style-type: none"> <input type="checkbox"/> Managing and updating school EMIS accounts through performance improvement training <input type="checkbox"/> Management of female learners' menstrual hygiene and adolescence issues learners in schools through professional development training <input type="checkbox"/> Functions of the school management committees through performance improvement training <input type="checkbox"/> Annual school reporting and budgeting through performance improvement training <input type="checkbox"/> Skills in handling SNE learners through professional development training 	2
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Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p>	<p>We noted from the DEO, that the education department was compliant and had no errors for correction regarding the submitted school lists and enrolment data in PBS for 2022_2023 Approved Performance Contrat_924_Rukungiri District which was submitted to MoFPED.</p> <p>Therefore, there was no need of communicating corrections/ revisions of school lists and enrolment data submitted in PBS as well as adjusting the IPFs for Rukungiri District.</p> <p>The score is 2</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p>	<p>There was evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score:2 else, score: 0</p>	<p>We obtained and reviewed the LG quarterly performance report Q4 FY 2022-2023- Vote: 924-Rukungiri District and established that under budget output: 000023 Inspection and monitoring (page 59); Ugx.92,648,000 was budgeted for inspection and monitoring functions in line with MoES guidelines –page 17. This included Ugx.68,848,000 for inspection and Ugx.23,800,000 for monitoring activities.</p>	
			<p>The score is 2.</p>	
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p>	<p>Rukungiri DLG timeliness of warranting schools' capitation grants for the school year 2023 i.e. FY2022/2023 and FY2023/2024 was tested in the three terms as follows:</p>	0
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score: 2 else score: 0</p>	<p>Term1 (which was quarter 3 FY2022/2023) cash limits were communicated through MoFPED circular dated 29th December 2022. The CAO warranted 24th January 2023 and the disbursements made on 31st January 2023 (26 days).</p>	
			<p>For Term 2 (which was quarter 4 FY2022/2023), MoFPED circular is dated 6th April 2023 and the CAO warranted on 24th April 2023 and the funds were transferred on 26th April 2023 (18 days).</p>	
			<p>For Term 3 (which was quarter 1 FY2023/2024), the MoFPED circular is dated 6th July 2023, the CAO warranted on 20th July 2023 and the funds were transferred on 2nd August 2023 (14 days).</p>	
			<p>In all the three cases, the 5 days' time limit for warranting was not met.</p>	
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p>	<p>There was no evidence that DEO had communicated/ publicized capitation releases to schools within three working days of release from MoFPED as indicated below:</p>	0
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score: 2 else, score: 0</p>	<p>QTR3 Expenditure limits FY 2022/23 were communicated through MoFPED release circular dated 29 December 2022. The DEO publicized capitation releases for Term 1 2023 on LG notice board on 5 January 2023 (5 days). This was not compliant to the three working days of release from MoFPED.</p>	
			<p>Information on invoicing of capitation grant to schools was not availed at the time of the</p>	

assessment.

QTR4 Expenditure limits FY 2022/23 were communicated through MoFPED release circular dated 6 April 2023. The DEO publicized capitation releases for Term II 2023 on LG notice board on 14 April 2023 (6 days). This was not compliant to the three working days of release from MoFPED.

Information on invoicing of capitation grant to schools was not availed at the time of the assessment.

QTR 1 Expenditure limits FY 2023/24 were communicated through MoFPED release circular dated 6 July 2023. There was no evidence of display on the LG notice board capitation releases for Term III 2023.

Verification done in the sampled (3) UPE schools revealed that there was evidence of display of capitation releases on school notice boards in all the 3 sampled UPE schools as indicated below:

Nyamifura PS: The display contained capitation releases for;

□ Q3 of FY 2022/23 (term I 2023),
Ugx.1,949,300 dated 9 February 2023

□ Q4 of FY 2022/3 (term II 2023),
Ugx.1,949,300 dated 30 May 2023

□ Q1 of FY 2023/24 (term III 2023),
Ugx.2,535,133 dated 24 August 2023

Kabwoma PS: The display indicated UPE funds releases for;

□ Q3 FY2022/3 (term I 2023), Ugx.
1,533,000 dated 15 February 2023

□ Q4 FY 2022/3 (term II 2023),
Ugx.1,508,500 dated 30 May 2023

□ Q1 FY 2023/4 (term III 2023),
Ugx.2,458,800 dated 28 September 2023

Nyakariro PS: The display indicated UPE funds releases for;

□ Q3 FY2022/3 (term I 2023), Ugx.
3,409,934 not dated

□ Q4 FY 2022/3 (term II 2023),
Ugx.3,409,933 not dated

□ Q1 FY 2023/4 (term III 2023),
Ugx.4,523,400 not dated

The DEO 's communication/publicization of capitation releases was not done within the three working days of release from MoFPED for all the three quarters as indicated above. The score is 0.

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <ul style="list-style-type: none"> • If 100% compliance, score: 2, else score: 0 	<p>There was evidence that the education department prepared the inspection annual work plan for FY 2022/23 dated 20 December 2022 signed by District Inspector of Schools.</p> <p>Key activities included Inspection preparatory meetings, inspection logistics, inspection of educational institutions, and reporting among others.</p> <p>Minutes of pre-inspection planning meetings for term III of 2022, term I of 2023 and term II of 2023 were on file and dated 12 September 2022, 13 February 2023 and 29 May 2023 respectively. The score is 2.</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> • If 100% score: 2 • Between 80 - 99% score 1 • Below 80%: score 0 	<p>There was evidence of three (3) school inspection reports as indicated below:</p> <ul style="list-style-type: none"> □ School Inspection Report Term III of 2022 dated 19 December 2022. The total of UPE schools inspected during this quarter was 162 representing 100%. □ Primary School Inspection report for Term I of 2023 (TELA), dated 12 July 2023. A total of 227 schools were inspected by (9) inspectors of which 150 were UPE schools representing 92%. □ Primary school inspection report for Term II of 2023 (TELA) dated 23 October 2023. A total of 130 UPE schools were inspected representing 80%. <p>Based on the above, the percentage of UPE schools inspected stood at 90%, the score is 1.</p>	1

Routine oversight and monitoring

Maximum 10 points on this performance measure

c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,

Score: 2 or else, score: 0

There was evidence that all the three inspection reports were presented and discussed during departmental staff meetings. Specific details below:

☐ Minutes of departmental meeting held on 21 March 2023. The meeting discussed the inspection findings for Term III 2022 under Min.17/2022.

☐ Minutes of departmental meeting held on 8 August 2023. The meeting discussed the inspection findings for Term I 2023 under Min.30/2023

☐ Minutes of departmental meeting held on 9 September 2023. The meeting discussed the inspection findings for Term II 2023 under Min.35/2023

☐ We noted that during the head teachers' meeting with education department officials held on 22 August 2023, inspection findings were disseminated under Min.23/2023.

Verification was done at school level through the review of inspection files in the (3) sampled UPE schools, and established that:

☐ Nyamifura PS in Nyakagyeme S/C; had evidence of (1) feedback inspection report dated July 2022. The school was visited by the inspectors as per the visitor's book (2/11/23, 24/10/23, 4/7/23) but school feedback reports were not left behind.

☐ Kabwoma PS in Rwerere TC; had no evidence of feedback inspection reports on file. The school was visited by the inspectors as per the visitor's book (26/10/23, 3/7/23, 16/3/23) but school feedback reports were not left behind.

☐ Nyakariro PS in Bugangari S/C; had evidence of visitation by inspectors as per the visitor's book dated 13/10/23, 4/7/2023, 25/7/22 but feedback inspection reports were not on file.

Failure to leave school inspection feedback reports behind was attributed to capacity gaps regarding the introduction of the new inspection tool (TELA).

As above, there was evidence that all the 3 inspection reports for Term III 2022, Term I 2023 and Term II 2023 were presented and discussed at departmental level. The score is 2.

Routine oversight and monitoring

Maximum 10 points on this performance measure

d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0

There was evidence of submission of three (3) inspection reports to DES as indicated below:

- School inspection report for Term 3 of 2022 was submitted and received by DES secretary (Tooko Victoria) on 9 February 2023 (signed & stamped)
- The E-School inspection report for Term 1 of 2023 was submitted and received by DES call centre Agent (Arinaitwe) on 14 August 2023
- The E-School inspection report for Term 2 of 2023 was submitted and received by DES IT officer (Jordan Turyatunga) on 23 October 2023

Verification was done through the review of inspection files in the (3) sampled UPE schools and established that copies of the inspection reports from the previous three terms were not left behind as required in all the (3) sampled schools as indicated below;

□ Nyamifura PS in Nyakagyeme S/C; had evidence of (1) feedback inspection report dated July 2022. The school was visited by the inspectors as per the visitor's book (2/11/23, 24/10/23, 4/7/23) but school feedback reports were not left behind.

□ Kabwoma PS in Rwerere TC; had no evidence of feedback inspection reports on file. The school was visited by the inspectors as per the visitor's book (26/10/23, 3/7/23, 16/3/23) but school feedback reports were not left behind.

□ Nyakariro PS in Bugangari S/C; had evidence of visitation by inspectors as per the visitor's book dated 13/10/23, 4/7/2023, 25/7/22 but feedback inspection reports were not on file.

Failure to leave school inspection feedback reports behind was attributed to capacity gaps regarding the introduction of the new inspection tool (TELA).

As above, all the (3) inspection reports were submitted to DES, the score is 2.

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>Education, Health and CBS issues at RDLG are handled by the Social Services Committee of Council. Here below are some examples of committee meetings where Education specific issues were presented and discussed in FY2022/2023.</p> <p>Minutes of the Social Services Committee which sat on 24/03/2023 discussed Education issues as per minute (MIN/28/SS/2022/23 – COMMUNICATION FROM CHAIRPERSON). The good PLE results of the Education department were appreciated by the Chairperson. (MIN/33/SS/2022/23 – REACTIONS FROM REPORTS –EDUCATION). Monitoring had found that there was a challenge of shortage of twin desks Omuburama and Centenary P/Ss. Funds mobilised to purchase land for seed school in Bikurungu T/C.</p> <p>Minutes of the Social Services Committee which sat on 19/05/2023 per minute number (MIN/40/SS/2022/23 – DISCUSSION AND APPROVAL OF DEPARTMENTAL BUDGETS OF FY2023/24). Education budget for FY2023/24 was presented, discussed and approved.</p> <p>For FY2022/2023 RDLG Education issues were handled by the Council through its Social Services Standing Committee.</p>	2
11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,</p> <p><i>score: 2 or else score: 0</i></p>	<p>There was evidence that the LG Education department conducted activities to mobilize, attract and retain children at school as indicated below:</p> <ul style="list-style-type: none"> • Report on community mobilization activities in Bugangari and Bwambara sub counties dated 14 April 2023 • Report on community mobilization activity in Kibirizi primary school dated 7 March 2023 • Report on mobilization and sensitisation of parents and school stakeholders dated 25 October 2022 <p>The score is 2.</p>	2

Investment Management

Planning and budgeting for investments

Maximum 4 points on this performance measure

a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, *score: 2, else score: 0*

The information on the LG education department consolidated asset register for FY 2022/23 and school asset registers of the sampled 3 UPE schools was verified.

We noted that the education department prepared and submitted an asset register in the prescribed format (Planning, Budgeting and Implementation Guidelines for the Education and Sports Sub-Program FY 2023-2024- page 57).

The verification process carried out in the three sampled UPE schools showed that the LG had accurate up-to date asset register as indicated below:

□ Nyakariro PS: The education department consolidated school asset register for FY 2022/23 indicated that the school had (12) classrooms, (10) latrine stances, (128) desks and teacher accommodation (4), while the school asset register had (12) classrooms, (10) latrine stances, (128) desks and teacher accommodation (4). Information was consistent

□ Kabwoma PS: The education department consolidated school asset register for FY 2022/23 indicated that the school had (09) classrooms, (14) latrine stances, (100) desks and teacher accommodation (00), while the school asset register had (09) classrooms, (14) latrine stances, (100) desks and teacher accommodation (00). Information was consistent

□ Nyamifura PS: The education department consolidated school asset register for FY 2022/23 indicated that the school had (11) classrooms, (10) latrine stances, (157) desks and teacher accommodation (02), while the school asset register had (11) classrooms, (04) latrine stances, (157) desks and teacher accommodation (02). Information was consistent.

Based on the above, the asset register was up to date in all the 3 sampled UPE schools. The score is 2.

Planning and budgeting for investments

Maximum 4 points on this performance measure

b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, *score: 1 or else, score: 0*

For FY 2022/2023, the RDLG Education department had the following infrastructural projects:

- 🏗️ Construction of two School facilities at Kasheshe P/S in Buyanja S/C Phase IV UGX244,086,304
- 🏗️ Construction of a two Classroom Block at Kakindo P/S in Bugangari S/C UGX101,824,324
- 🏗️ Construction of a three Classroom Block Katungu P/S in Buyanja S/C UGX 140,209,960
- 🏗️ Construction of School facilities at Nyamihuku p/s in Bwambara S/C UGX70,246,580
- 🏗️ Construction of a lined 5 stance VIP latrine with a changing room for Girls at Rwentuha P/S in Buyanja S/CUGX31,625,180
- 🏗️ Construction of a lined 5 stance VIP latrine with a changing room for Girls at Kabingo P/S in Kebisoni T-C UGX31,625,180
- 🏗️ Construction of a lined 5 stance VIP latrine with a changing room for Girls at Nyarushanje Upper P/S in Nyarushanje S/C UGX31,552,020
- 🏗️ Partial renovation of a 4 Class room Block at Kakoni P/S Phase I UGX29,953,120
- 🏗️ Construction of Nyakisheyi Seed S.S UGX2,900,000,000

A Desk Appraisal aims to ensure that a project meets the following requirements.

- Derived from the LG Development Plan
- Consistent with sector guidelines & DDEG objectives
- Financially feasible
- Having costed project profiles.

Evidence of desk appraisals for all the nine (09) RDLG Education Department projects for FY2022/2023 was availed/seen.

Planning and budgeting for investments

Maximum 4 points on this performance measure

c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0

For FY 2022/2023, the Education department had the following infrastructural projects:

- 🏗️ Construction of two School facilities at Kasheshe P/S in Buyanja S/C Phase IV UGX244,086,304
- 🏗️ Construction of a two Classroom Block at Kakindo P/S in Bugangari S/C UGX101,824,324
- 🏗️ Construction of a three Classroom Block Katungu P/S in Buyanja S/C UGX 140,209,960
- 🏗️ Construction of School facilities at Nyamihuku p/s in Bwambara S/C UGX70,246,580
- 🏗️ Construction of a lined 5 stance VIP latrine with a changing room for Girls at Rwentuha P/S in Buyanja S/CUGX31,625,180
- 🏗️ Construction of a lined 5 stance VIP latrine with a changing room for Girls at Kabingo P/S in Kebisoni T-C UGX31,625,180
- 🏗️ Construction of a lined 5 stance VIP latrine with a changing room for Girls at Nyarushanje Upper P/S in Nyarushanje S/C UGX31,552,020
- 🏗️ Partial renovation of a 4 Class room Block at Kakoni P/S Phase I UGX29,953,120
- 🏗️ Construction of Nyakisheyi High School (Seed S.S) UGX2,900,000,000

A Field Appraisal aims to ensure that a project meets the following requirements.

-Technical feasibility

-Environmental and social acceptability requirements.

Evidence of field appraisals for all the nine (09) RDLG Education Department projects for FY2022/2023 was availed/ seen.

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p>	<p>There is evidence that the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan. As per the amended Approved Work Plan for FY 2023-24, the following projects were incorporated in the AWP and Procurement Plan for the current FY.</p> <ol style="list-style-type: none"> 1. Completion of School Facilities Phase II at Nyakishenyi Seed School; Budgeted at UGX 453,604,302/=. 2. General Renovation Works of a Classroom Block at Rwentuha P/S, Buyanja S/C; Budgeted at UGX 55,884,000/=. 3. Construction of School Facilities at Rwamagaya P/S; Budgeted at UGX 150,000,000/=. 	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>There is evidence that School infrastructure Projects were approved by the Contracts Committee (C.C) before commencement of Works as listed below:</p> <ol style="list-style-type: none"> 1. Construction of Nyakishenyi Seed Secondary School, Nyabwishenya S/C- The project was cleared by the Solicitor General as per memo dated 3/10/2022. The project was approved under Min. No. 065/April/2022 of the CC meeting held on 20/4/2022. 2. Construction of a Lined 5 Stance VIP Latrine with a Changing Room for Girls at Rwentuha P/S, Buyanja S/C- the project was approved under Min. No. 46/02/DCC/2022/2023 of the CC meeting held on 22/11/2022. 3. Construction of a 2 Classroom Block at Kakindo P/S, Bugangari S/C- the project was approved under Min. No. 46/07/DCC/2022/2023 of the CC meeting held on 22/11/2022. 	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>There is evidence that Rukungiri DLG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY (2022/23) as per the guidelines.</p> <p>Through the memo dated 4/7/2022, the CAO appointed a PIT that comprised of: a District Engineer/Project Manager, Senior Environmental Officer, DCDO and Labor Officer. Through another memo dated 4/11/2022, the CAO appointed a PIT that comprised of: a Contract Manager, Senior Environmental Officer, DCDO and Labour Officer and Clerk of Works was present; Another appointment letter dated 26/6/2023 of the Clerk of Works (COW) by the CAO to specifically supervise Nyakishenyi Seed School was present. Another appointment letter dated 20/9/2022 of the Contract Manager by the CAO to specifically supervise Nyakishenyi Seed School was present.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p>	<p>• There is Evidence that the school infrastructure followed the standard technical designs provided by the MoES.</p> <p>The sampled project is listed below:</p> <ul style="list-style-type: none"> • Construction of Nyakishenyi Seed Secondary School- It comprised of the following components: 3 2-CR blocks, 3 2-unit staff houses, 1 administration block, 1 unit science laboratory block, 3 2-units teacher's kitchen, 2-5 stance VIP latrines, 3 2-stance VIP latrines (teachers), 1 ICT library and 1 multipurpose hall, 1 2-stance VIP latrine (multipurpose hall); <p>1. 3 2-Classroom Blocks- were implemented following Standard technical designs with each classroom block measuring 18400x7500mm; overall external dimension; constructed in 230mm thick concrete block work. 2 out of 3 structures were plastered with cement/sand mortar; steel windows and doors installed for 2 out of 3 classroom blocks; roofing works done with corrugated maroon-colored iron sheets on steel trusses and Z-purlins with steel fascia boards. The floor slabs were not yet cast. The enclosures (steel casements), i.e. Doors (2No. for each classroom; sizes 900x2400mm and 1200x2400mm) and steel Windows (6No. for each classroom; size 1200mmx1490mm).</p>	1

2. **1 unit science laboratory block-** comprised of 2 science rooms and 2 preparation rooms. Overall external dimension is 21300x9300mm; constructed in 230mm thick concrete block work. The structure was plastered with cement/sand mortar; steel windows and doors installed; and roofing works done with corrugated maroon-colored iron sheets and Z-purlins with steel fascia boards. The floor slabs were not yet cast. The enclosures (steel casements), i.e. Doors (2No. for each science room; sizes 900x2400mm and 1200x2400mm) and steel Windows (6No. for each science room; size 1200mmx1490mm).
3. **1 Administration block-** overall size; 18400x7500mm; constructed in 230mm thick concrete block work. The structure was plastered with cement/sand mortar; and roofing works done with corrugated maroon-colored iron sheets on steel trusses and Z-purlins with steel fascia boards. The floor slabs were not yet cast.
4. **3 2-unit staff house-** overall size; 14100x7000mm; constructed in 230mm thick concrete block work. 2 out of 3 structures were plastered with cement/sand mortar; and roofing works done with corrugated maroon-colored iron sheets on steel trusses and Z-purlins with steel fascia boards. The floor slabs were not yet cast; steel windows (6No. size 1500x1200mm, 2 No. size 900x890mm, 2No. 800x690mm) and doors (2No. 900x2400mm, 2No. 1200x2400mm, 8No. doors 900x2400mm) were installed;
5. **3 2-unit staff kitchen-** overall size; 5800x3600mm; constructed in 230mm thick concrete block work;
6. **2 5-stance VIP latrine (boys and girls)-** overall size; 6800x2950mm; constructed in 230mm thick concrete block; The structure was plastered with cement/sand mortar; and roofing works done with corrugated maroon-colored iron sheets on timber trusses with timber fascia boards. The floor slabs were already cast. The structure was plastered with cement/sand mortar; Door installation is in progress.
7. **4 2-stance VIP latrine-** overall size; 6650x3020mm; constructed in 230mm thick concrete block; The structure was plastered with cement/sand mortar; and roofing works done with corrugated maroon-colored iron sheets on timber trusses with timber fascia boards. The floor slabs were already cast. The structure was plastered with cement/sand mortar; Door installation is in progress.
8. **1 ICT Library-** overall size;

34400x8600mm; constructed in 230mm thick concrete block work. Half of the structure was plastered with cement/sand mortar; and roofing works done for half of the structure with corrugated maroon-colored iron sheets on steel trusses and Z-purlins with steel fascia boards. The other half had steel trusses only; The floor slabs were not yet cast; steel window and door installation is also in progress.

9. **1 Multipurpose Hall-** overall size; 27500x7500mm; constructed in 230mm thick concrete block work. The structure was plastered with cement/sand mortar; and roofing works done with corrugated maroon-colored iron sheets on steel trusses and Z-purlins with steel fascia boards. The floor slabs were not yet cast; steel windows and doors were installed;

13

Procurement, contract management/execution

Maximum 9 points on this performance measure

e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY
score: 1, else score: 0

There is evidence that monthly Site Meetings were conducted for School infrastructure projects during the previous FY (2022/2023).

The sampled school is Nyakishenyi Seed Secondary School.

1. The following **monthly reports** were present; 30/11/2022, 9/12/2022, 31/12/2022, 31/1/2023, 22/2/2023, 28/2/2023, 31/3/2023, 30/4/2023, 31/5/2023, 30/6/2023, 31/7/2023, 31/8/2022, 30/9/2022, 31/10/2022.
2. The following **Minutes of meetings** were present with signed attendance sheets: 8/11/2022, 13/12/2022, 12/4/2023, 28/7/2023.

1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted <i>score: 1, else score: 0</i></p>	<p>There is NO evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted.</p> <p>Monthly joint technical supervisions of the construction of planned sector infrastructure projects were regular (with regards to critical stages) but did not include some key staff e.g environment officer and CDO.</p> <p>The sampled projects are listed below:</p>	0
			<ol style="list-style-type: none"> 1. Construction of lined 5 Stance VIP Latrine with a Changing Room for Girls at Rwentuha P/S in Buyanja S/C- with Minutes of Meetings dated: 31/1/2023, 30/12/2022 (with no attendance sheets) and no joint inspection reports. 2. Construction of a 2 CR Block at Kakindo P/S in Bugangari S/C- with Minutes of Meetings dated: 31/5/2023, 28/4/2023, 31/3/2023, 28/2/2023, 31/1/2023 and no joint inspection reports. 3. Construction of Nyakishenyi Seed Secondary school- with Minutes of Meetings dated: 12/4/2023, 28/7/2023, 13/12/2022, 8/11/2022 and no joint inspection reports. The following minutes of meetings did not have attendance sheets: 6/6/2023, 29/9/2023, 27/8/2023, 25/11/2022, 30/12/2022, 31/3/2023, 27/1/2023, 28/2/2023, 31/5/2023. 	
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>Eight (08) RDLG Education projects of FY2022/2023 were sampled to check certification and timeliness of effecting payments for infrastructure projects.</p> <p>Payment to Kinombe Nyaruzinga Construction Ltd for Construction of school facilities at Kasheshe P/S in Buyanja S/C (UGX112,894,626) - Requisition dated 19/01/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer and CAO on 24/01/2023 and payment on 08/02/2023 (20 days).</p> <p>Payment to Belfast Engineering Co. Ltd for construction of 3 classroom block at Katungu P/S (UGX126,426,608) - Requisition dated 23/05/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer and CAO on 13/06/2023 and payment on 28/06/2023 (35 days).</p> <p>Payment to Ruhinda Technical Services Ltd for construction of 2 classroom block with office and store at Kakindo P/S</p>	0

(UGX50,055,880) – Requisition dated 02/06/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer and CAO on 13/06/2023 and payment on 28/06/2023 (26 days).

Payment to Ruhinda Technical Services Ltd for construction of 2 classroom block with office and store at Kakindo P/S (UGX35,047,392) – Requisition dated 22/02/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer and CAO on 11/02/2023 and payment on 18/04/2023 (56 days).

Payment to NK General Dealers Ltd for construction of 5 stance VIP latrine with changing room for girls at Nyarushanje Upper P/S (UGX28,450,296) – Requisition dated 31/05/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer and CAO on 31/05/2023 and payment on 28/06/2023 (28 days).

Payment to Kinombe Nyaruzinga Construction Ltd for Construction of school facilities at Kasheshe P/S in Buyanja S/C (UGX93,649,397) – Requisition dated 24/05/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer and CAO on 24/05/2023 and payment on 15/06/2023 (21 days).

Payment to Freight Technologies Ltd for construction of 5 stance latrine with changing room for girls and screen walling at Rwentuuha P/S (UGX28,516,264) – Requisition dated 21/02/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer and CAO on 22/02/2023 and payment on 20/03/2023 (29 days).

Payment to Kyatoko Technical Supplies Ltd for completion of 2 classroom block at Bugarama P/S (UGX22,968,328) – Requisition dated 20/05/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer and CAO on 31/05/2023 and payment on 15/06/2023 (26 days).

In all the eight (08) sampled projects, payments were effected beyond the 14 days' time limit.

13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i>	There is evidence that the LG Education Department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit on 14/04/2023. .The following projects were included among other Works/Supplies; <ol style="list-style-type: none"> 1. Construction of a 5 Stance Pit Latrine at Kabingo P/S, Rubuguri Town Council 2. Construction of a 5 Stance Pit Latrine at Nyarushanje Upper P/S 3. Construction of a 5 Stance Pit Latrine at Rwentuha P/S 	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law <i>score 1 or else score 0</i>	There is evidence that Rukungiri DLG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA. The files included: the Contract documents, approved Evaluation reports, memos of Bid Acceptance and Award of Contract indicating the Contracts Committee (C.C) approvals. The project Files sampled are listed below; <ol style="list-style-type: none"> 1. Construction of Nyakishenyi Seed Secondary school- MoES/UGIFT/WRKS/2021-22/00002. Approved by the CC under Min. No. 065/April/2022 in a meeting held on 20/4/2022. Minutes of evaluation exercise were noted on 20/4/2022. The Contract Document was signed on 11/10/2022. 2. Construction of lined 5 Stance VIP Latrine with a Changing Room for Girls at Rwentuha P/S in Buyanja S/C-RUKU924/WRKS/2022-2023/00014. Approved by the CC under Min. No. 46/02/DCC/2022/23 in a meeting held on 22/11/2022. Minutes of evaluation exercise were noted on 22/11/2022. The Contract Document was signed on 12/12/2022. 3. Construction of a 2 CR Block at Kakindo P/S in Bugangari S/C-RUKU550/WRKS/2022-2023/00016. Approved by the CC under Min. No. 46/07/DCC/2022/23 in a meeting held on 22/11/2022. Minutes of evaluation exercise were noted on 22/11/2022. The Contract Document was signed on 12/12/2022. 	1

Environment and Social Safeguards

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0</p>	<p>There was evidence that grievances had been recorded, investigated, responded to and recorded in line with the grievance redress framework</p> <p>On 27/9/21, The workers representative for Nyebingo seed School, complained about non payment of wages from Mupa construction company, in minute dated 4/11/2022, under minute No 15 GHC/22/23, the complaint was investigated and discussion was taken and the contractor agreed to pay the outstanding wages</p>	3
15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>There was evidence of dissemination of environment guidelines for schools as per the letter addressed to all head teachers by the district education officer (DEO)- Rukungiri District dated 6 July 2022 (Ref:550/1).</p> <p>The environment guidelines for schools highlighted the importance of the environment, and roles of schools in environment conservation including environmentally friendly school compounds.</p> <p>We noted copies of the school environment guidelines on file in all the 3 sampled UPE schools (Nyamifura PS, Kbwoma PS and Nyakariro PS). The score is 3.</p>	3

16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i>	There was evidence that the LG had in place a costed ESMP and this is incorporated within the BoQs and contractual documents <ol style="list-style-type: none"> 1. A costed ESMP for the construction of a school facility at Nyamihuka P/s was prepared on 15/10/2022, stamped and signed by both SEO and DCDO, this ESMP was incorporated within the BoQs and contractual documents of Proc No RUKU550/WRKS/22-23/00018 in bill 3 for environmental concerns sign post and engravement under item A to E at tune of Ugx 1,388,000 2. A costed ESMP for the construction of a 3 classroom block at Katungu P/s was prepared on 15/10/2022, stamped and signed by both SEO and DCDO, this ESMP was incorporated within the BoQs and contractual documents of Proc No RUKU550/WRKS/22-23/00017 in bill 2 for environmental concerns sign post and engravement under item A to E at tune of Ugx 2,450,000 3. A costed ESMP for the construction of a school facility at Kasheshe P/s was prepared on 15/10/2022, stamped and signed by both SEO and DCDO, this ESMP was incorporated within the BoQs and contractual documents of Proc No RUKU550/WRKS/22-23/00015 in bill 4 for environmental concerns sign post and engravement under item 4.1.1 to 4.1.5 at tune of Ugx 550,000 	2
16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i>	There was no evidence for proof of land ownership, access of school construction projects i.e. construction of a school facility at Nyamihuka P/s, construction of a 3 classroom block at Katungu P/s and construction of a school facility at Kasheshe P/s.	0

16

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, *score: 2, else score:0*

There **was evidence** that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports

1. Support supervision and monitoring construction for a school facility at Nyamihuka P/s was carried out on 19/12/22, signed and stamped by both the SEO and DCDO, monthly monitoring reports were provided for review dated 12/12/22, 19/1/23, 21/2/23, 10/3/23, 13/4/23 22/5/23 and 2/6/23, the project started from 12/12/22 and ended on 20/2/23.
2. Support supervision and monitoring construction for a 3 classroom block at Katungu P/s was carried out on 19/12/22, signed and stamped by both the SEO and DCDO, monthly monitoring reports were provided for review dated 12/12/22, 19/1/23, 21/2/23, 10/3/23, 13/4/23, 22/5/23 and 2/6/23, the project started from 22/12/22 and ended on 22/5/23.
3. Support supervision and monitoring construction for school facilities at Kasheshe P/s was carried out on 19/12/22, signed and stamped by both the SEO and DCDO, monthly monitoring reports were provided for review dated 12/12/22, 19/1/23, 21/2/23, 10/3/23, 13/4/23, 22/5/23 and 2/6/23, the project started from 19/12/22 and ended on 22/5/23.

16

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

Score: 1, else score:0

There **was evidence** that the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

1. E&S certification for the construction of a school facility at Nyamihuka P/s was approved, stamped and signed by both the SEO and DCDO on 13/4/2023, payments were made on 15/6/23
2. E&S certification for the construction of a 3 classroom block at Katungu P/s was approved, stamped and signed by both the SEO and DCDO on 22/5/2023, payments were made on 28/6/23
3. E&S certification for the construction of school facilities at Kasheshe P/s was approved, stamped and signed by both the SEO and DCDO on 2/6/2023, payments were made on 15/6/23

1

**Health
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>There was no evidence to confirm whether Rukungiri DLG registered an increased utilization of healthcare services, with a specific focus on total deliveries. The computation of healthcare services utilization was guided by the instructions provided during the orientation of Health Specialists, which indicated that the computation should be based on all the HCIIIs and HCIVs.</p> <p>Rukungiri DLG had eleven (11) HC IIIs and three (3) HC IVs conducting deliveries (Including PNFPs). The assessment team reviewed the annual reports (HMIS 107) for all HC IIIs and HC IVs for FY 2021/2022 and compared them with FY 2022/2023. The findings are as follows:</p> <p>The total number of deliveries for FY 2021/2022 was 12,805 and for FY 2022/2023, it was 11,857, representing an overall decrease of 7.4%.</p> <p><i>As a result, Rukungiri DLG did not achieve the recommended 20% increase in the utilization of healthcare services, as required by the performance measure.</i></p>	0
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> • 70% and above, score 2 • 50% - 69%, score 1 • Below 50%, score 0 	<p>The performance of RDLG in its LLG FY2022/2023 Health assessment was 84%, making the score fall in the range '70% and above'</p>	2
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> • 75% and above; score 2 • 65 - 74%; score 1 • Below 65; score 0 	<p>This indicator is not applicable in this round of assessment due to changes in the management of the RBF program by the MoH.</p>	0

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.

Rukungiri DLG Annual Performance Report for FY 2022/2023 shows that UGX454,976,000 was budgeted for the health development grant. The total amount spent was UGX UGX451,768,000 (RDLG Annual Performance Report, Page 17).

🏗️ Roofing and electrical installation of 4 Housing units at Buyanja HCIII UGX50,000,000

🏗️ Walkways, Fixing terrazzo floor and Repairs in a theatre at Buhunga HCI UGX40,827,300

🏗️ Renovation of cracked midwife staff house at Buyanja HCIII UGX35,000,000

🏗️ Construction of 4 stance staff latrine at Buhunga HCIV UGX25,000,000

🏗️ Completion of staff house at Buhunga HCIV phase II UGX25,228,400

🏗️ Facelifting of Bwanga HCII UGX15,000,000

🏗️ Face-lifting of Nyabiteete HCII UGX20,490,706

🏗️ Additional works to complete fencing of Bugangari HCIVUGX8,430,0000

These activities were all eligible under the Health Development Grant guidelines. They didn't involve purchase of land, procurement of vehicles etc. (MoH, Sector Grant and Budget Guidelines to Local Governments, 2020/2021)

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0

For Rukungiri DLG Health six (06) infrastructural project payments in FY2022/2023 were sampled to test for certification before payments.

Payment to K-Maró General Contractors Ltd for facelifting of Bwanga and Nyabitete HCII in Nyarushanje and Bugangari S/Cs (UGX27,838,325) - Requisition dated 31/05/2023, certified by District Engineer, DHO, Environment Officer, CDO and CAO on 31/05/2023 and payment on 28/06/2023.

Payment to Artnick Ltd for renovation of staff house for midwife at Buyanja HCIII (UGX25,416,775) - Requisition dated 29/05/2023, certified by District Engineer, DHO, Environment Officer, CDO and CAO on 29/05/2023 and payment on 28/06/2023.

Payment to Dranic Engineering and Supplies Ltd for construction of 4 stance VIP latrine with bathrooms at Buhunga HCII (UGX27,667,800) - Requisition dated 13/03/2023, certified by District Engineer, DHO, Environment Officer, CDO and CAO on 13/03/2023 and payment on 03/05/2023.

Payment to Kyatoko Technical and Supplies Ltd for renovation of Buyanja HCIII (UGX38,870,296) - Requisition dated 15/06/2023, certified by District Engineer, DHO, Environment Officer, CDO and CAO on 13/06/2023 and payment on 28/06/2023.

Payment to Ngabi Traders Ltd for fixing terrazzo at Buhunga HCIV (UGX37,411,000) - Requisition dated 24/03/2023, certified by District Engineer, DHO, Environment Officer, CDO and CAO on 24/03/2023 and payment on 25/05/2023.

Payment to K-Maró General Contractors Ltd for roofing of 4 unit staff house at Buyanja HCIII (UGX40,247,510) - Requisition dated 19/06/2023, certified by District Engineer, DHO, Environment Officer, CDO and CAO on 19/06/2023 and payment on 28/06/2023.

In all the six (06) sampled projects, certification for Education infrastructural projects was effected in accordance with the requirements.

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0</p>	<p>There is evidence that the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates.</p> <p>From the DE and DHO, the following Works contracts were sampled and the Engineer's estimates (Budgets) Vs. the Contract Prices are as listed with the corresponding Variation percentages; [(A - B)/A]*100%:</p>	2
			<ol style="list-style-type: none"> 1. Renovation of Theatre at Buhunga HC IV- RUKU924/WRKS/2022-23/00007. The Engineer's Estimate (A) was UGX 39,806,592/=; the contract Sum/Price (B) was UGX 39,380,000/=. The Variation was at 1.07%. 2. Renovation of Staff House at Buyanja HC III- RUKU550/WRKS/2022-23/00003. The Engineer's Estimate (A) was UGX 34,108,608/=; the contract Sum/Price (B) was UGX 34,087,722/=. The Variation was at 0.06%. 3. Construction of a Lined 4-Stance VIP Latrine with Two Bathrooms at Buhunga HC IV- RUKU550/WRKS/2022-23/00001. The Engineer's Estimate (A) was UGX 29,125,421/=; the contract Sum/Price (B) was UGX 29,124,000/=. The Variation was at 0.0048%. 	
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY</p> <ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 	<p>There was No HC II to HC III upgrade for Rukungiri DLG. However, other infrastructure projects that were implemented include:</p> <ol style="list-style-type: none"> 1. Renovation of Theatre at Buhunga HC IV- RUKU924/WRKS/2022-23/00007. 2. Renovation of Staff House at Buyanja HC III- RUKU550/WRKS/2022-23/00003. 3. Construction of a Lined 4-Stance VIP Latrine with Two Bathrooms at Buhunga HC IV- RUKU550/WRKS/2022-23/00001. 	2
4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	<p>There was evidence to confirm that Rukungiri DLG recruited staffs for all eleven (11) HC IIIs and three (3) HC IVs, in accordance with the staffing structure. The staffing structure provided by the District Health Office (DHO) indicated that HC IVs were required to have 48 staff, while HC IIIs were required to have 19 health workers.</p> <p>According to the approved budget for FY 2023/2024, the allocated conditional sector conditional grant for wages was UGX 7,856,653,000. This allocation covered the</p>	1

wages of 282 staff members out of the required 353, as per the staffing norms for the available HC IVs and HC IIIs. This indicates that only 78.9% of the health worker positions for the available HC IVs and HC IIIs were filled. The breakdown of the percentage of health worker positions filled for these facilities is provided below.

1. **Bugangari HC IV:** 36 staff were deployed out of 48 required staffing norms. This represents 75% of filled positions.
2. **Kebisoni HC IV:** 39 staff were deployed out of 48 required staffing norms. This represents 81.3% of filled positions.
3. **Bihunga HC IV:** 35 staff were deployed out of 48 required staffing norms. This represents 72.9% of filled positions.
4. **Kasheeshe HC III:** 16 staff were deployed out of 19 required staffing norms. This represents 84.2% of filled positions.
5. **Buyanja HC III:** 19 staff were deployed out of 19 required staffing norms. This represents 100% of filled positions.
6. **Karuhembe HC III:** 15 staff were deployed out of 19 required staffing norms. This represents 78.9% of filled positions.
7. **Kisiizi HC III:** 15 staff were deployed out of 19 required staffing norms. This represents 78.9% of filled positions.
8. **Nyakagyeme HC III:** 18 staff were deployed out of 19 required staffing norms. This represents 94.7% of filled positions.
9. **Bikurungu HC III:** 18 staff were deployed out of 19 required staffing norms. This represents 94.8% of filled positions.
10. **Rwenshama HC III:** 14 staff were deployed out of 19 required staffing norms. This represents 73.7% of filled positions.
11. **Kavuya memorial HC III:** 8 staff were deployed out of 19 required staffing norms. This represents 42% of filled positions.
12. **Bwambala HC III:** 16 staff were deployed out of 19 required staffing norms. This represents 84.2% of filled positions.
13. **Nyakishenyi HC III:** 16 staff were deployed out of 19 required staffing norms. This represents 84.2% of filled positions.
14. **Ruhunda HC III:** 18 staff were deployed out of 19 required staffing norms. This represents 94.8% of filled positions.

4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> • If 100 % score 2 or else score 0 	<p>Rukungiri District Local Government did not have any HC II to HC III upgrade and therefore the requirement of the indicator (Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs) could not be assessed. Therefore, the LG earns the maximum score.</p>	2
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Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>There was evidence to confirm that information given by Rukungiri DLG on position of health workers filled accurate as evidenced by the findings from three (3) sampled facilities. The assessment team reviewed the staff list for FY 2023/2024 and compared it with the staff lists found at the sampled facilities. The details of the findings were as follows.</p> <ol style="list-style-type: none"> 1. Bugangari HC IV: 36 health workers deployed as per staff list obtained from the DHO. There was no observed deviation between the staff list obtained from the DHO and the list found at the facility. 2. Bukulungu HC III: 16 health workers deployed as per staff list obtained from the DHO. There was no observed deviation between the staff list obtained from the DHO and the list found at the facility. 3. Nyakagyema HC III: 18 health workers deployed as per staff list obtained from the DHO. There was no observed deviation between the staff list obtained from the DHO and the list found at the facility. 	2
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Accuracy of Reported Information: The LG maintains and reports accurate information

Maximum 4 points on this performance measure

b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0

There **was evidence to confirm that information** on health facilities constructed and functional was accurate. The list obtained from the DHO included the following items.

- a) Renovation of the theatre at Bihunga HC IV
- b) Renovation of staff house at Buyanja HC III
- c) Completion of the renovation maternity ward at Buyanja HC III
- d) Renovation of Bwanga HC II OPD block
- e) Facelift of Nyabitete HC II OPD block
- f) Undertook roofing of 4-unit staff house at Buyanja Hc III
- g) Construction of VIP latrine at Bihunga HC III

The assessment team reviewed LGs's quarterly PBS report (Quarter 4), which was submitted by Masokoyi Swalikh Wasswa on 15-09-2023. It was established that the information regarding their status and functionality was accurate.

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:

- Score 2 or else 0

There **was evidence to confirm that** health facilities in Rukungiri DLG prepared and submitted Annual Workplans & budgets to the DHO by March 31st of the FY 2022/2023 as per the LG Planning Guidelines for Health Sector.

The assessment team sampled three health facilities which included Bungangari HC IV, Nyakagyeme HC III, Bikurungu HC III.

1. **Bungangari HC IV:** submitted it's annual workplan and budget to the DHO 22nd March 2022 by Dr. Asaph Byamugisha, the health facility in charge. The assessment team noted that the copy of the annual workplan and budget was also endorsed by the facility HUMC chairperson and was received by the the DHO- Akasiima Mucunguzi.
2. **Bikurungu HC III:** Submitted its annual workplan and budget to the DHO on 22nd March 2022 by the facility in charge Tucungurwe Godfrey and was endorsed by the HUMC chairperson- Canon Kabagambe Samuel.
3. **Rugyeyo HC III:** Submitted it's annual workplan and budget to the DHO on Nyakagyeme HC III on 22nd March 2022 by the facility incharge (Tushabe Ohurira). The assessment team noted that the this annual workplan and budget was endorsed by the HUMC chairperson (Ngeteireyo Henry) on the same day.

The assessment team noted that all the submitted Annual Workplans & budgets for the three sampled health facilities were submitted late beyond 31st of the FY 2022/2023 as recommended by the LG Planning Guidelines for Health Sector.

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :

- Score 2 or else 0

There **was evidence to confirm that health facilities** of Rukungiri DLG prepared and submitted to the DHO Annual Budget Performance Reports for the FY 2022/2023 by July 15th of the as per the Budget and Grant Guidelines. The assessment team randomly sampled three health (Bungangari HC IV, Nyakagyeme HC III, Bikurungu HC III) and established that these did submit their Annual Budget Performance Reports for FY 2022/2023 to the DHO as detailed below.

1. **Bikurungu HC III:** The evidence accessed by the assessment team indicated that the facility Annual Budget Performance Report was submitted on 13th July 2023. This was endorsed by the facility in charge (Tucungwire Godfrey (SCO) and the HUMC chairperson (Canon Kabagambe Samwuel) on the same day.
2. **Nyakagyeme HC III:** The evidence accessed by the assessment team indicated that the facility Annual Budget Performance Report was submitted on 6th July 2023. This was endorsed by the facility in charge (Tushabe Ohurira (SCO) and the HUMC chairperson (Ngaheireyo Henry) on the same day.
3. **Bungangari HC IV:** The evidence accessed by the assessment team indicated that the facility Annual Budget Performance Report was submitted on 12th July 2023. This was endorsed by the facility in charge (Dr Rukundo Henry and the HUMC chairperson (Mugambire Gad) on the same day.

The assessment further established that the reviewed Annual Budget Performance Reports contained highlights of performance, reconciled cash flow statements, annual expenditure and budget report and asset register.

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports

- Score 2 or else 0

There **was no sufficient evidence to confirm whether** health facilities in Rukungiri DLG had developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports. The assessment team randomly sampled three (3) health facilities (Bungangari HC IV, Nyakagyeme HC III, Bikurungu HC III) and established that Rukungiri DLG did not report on implement the facility improvement plans that incorporate performance issues identified in monitoring and assessment report as explained below.

Nyakagyeme HC III: Submitted its PIP to the DHO on 30th March 2023

Bikurungu Hc III: Submitted its PIP to the DHO on 30th March 2023

Bungangari HC IV: Submitted its PIP to the DHO on 20th March 2023

The review of the facility improvement plans indicated that these did not incorporate performance issues identified in monitoring and assessment reports as required by this performance measure.

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,

- score 2 or else score 0

There **was evidence to confirm that** health facilities submitted up to date monthly and quarterly HMIS reports in a timely manner, meeting the requirement of submission within 7 days following the end of each month and quarter. All three sampled health facilities ie. **Bungangari HC IV, Nyakagyeme HC III, Bikurungu HC III**), submitted monthly HMIS reports within the stipulated 7-day timeframe. The assessment team noted that the quarterly HMIS reports for the above health facilities where submitted timely as follows:

1. **Nyakagyeme HC III:** Submitted it's quarterly HMIS reports as follows: 6th October 2022,7th January 2023,6th April 2023 and on 5th July 2023
2. **Bugangari HC IV:** Submitted it's quarterly HMIS reports as follows: 7th October 2022,6th January 2023,4th April 2023 and on 6th July 2023
3. **Bikurungu HC III:** Submitted it's quarterly HMIS reports as follows: 7th October 2022,5th January 2023,6th April 2023 and on 5th July 2023

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0</p> <p>Note: Municipalities submit to districts</p>	<p>This indicator is not applicable in this round of assessment due to changes in the management of the RBF program by the MoH.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>This indicator is not applicable in this round of assessment due to changes in the management of the RBF program by the MoH.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>No evidence was provided/seen concerning a system for timely of submission of Health Department quarterly reports to Planner for consolidation.</p>	0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>The assessment team established that the Rukungiri DLG DHMT had developed a Performance Improvement Plan (PIP) for the weakest performing health facilities prepared on 28th March 2023. This PIP received endorsement from the DHO, Dr. Akasiima Mucunguzi on the same day. The PIP noted there was no proper supervision of lower-level health facilities by the HSD and thus prioritized support supervision of these facilities in the FY 2022/2023.</p>	1
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>There was evidence to confirm that the health department of Rukungiri DLG Implemented Performance Improvement Plan for weakest performing facilities. The assessment team observed that the Rukungiri DLG health department has effectively managed the Health Sub-Districts (HSDs), as indicated by the support supervision reports from the three HSDs. For example, the HSD located at Kebisoni HC IV submitted its reports on October 11, 2022, January 24, 2023, March 30, 2023, and June 30, 2023.</p>	1
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Human Resource Management and Development

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

- a) Evidence that the LG has:
- i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0

There **was evidence to confirm that** Rukungiri DLG Health Department budgeted for healthcare workers in accordance with staffing norms and guidelines. The approved staff structure, obtained from the DHO's office, indicates an approved structure of 661 healthcare workers.

A review of the approved Budget Estimates for FY 2023/2024 revealed that the allocated conditional Sector Conditional Grant (Wage) for Rukungiri DLG was UGX UGX 7,856,653,000 intended to cover the wage of 415 healthcare workers in post out of the required 661 according to the staffing norm (62.8%). The assessment team also found that the second budget call circular (2nd BCC) regarding the finalization of the budget Estimates for the financial year 2023/2024, as indicated in item 43 (Page 11 of 23), stated: "Therefore, no vote will be authorized to recruit new staff except on a replacement basis, with evidence that the position(s) to be recruited have provisions in the budget for FY 2023/2024.

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

- a) Evidence that the LG has:
- ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0

There **was no evidence to confirm whether** the Rukungiri DLG Health Department deployed healthcare workers in accordance with staffing guidelines, which require that all health facilities have at least 75% of the staff required according to the staffing norms. The assessment team reviewed the deployment lists for FY 2023/2024 and observed that some health facilities did not meet the requirement of having at least 75% of the required staff deployed in accordance with staffing norms. For instance, the evaluation team observed that all the Health Center IIs did not have 75% of the required staff, as per the staffing norms.

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0

The **was evidence to confirm that health workers** in Rukungiri DLG were working in health facilities where they are deployed. The assessment team reviewed the deployment list for FY 2023/2024 and compared them with the logs in the attendance book at the randomly sampled health facilities and established that the two were in agreement. The assessment team sampled three health facilities (Bungangari HC IV, Nyakagyeme HC III, Bikurungu HC III) and established that was no variance between the deployment list obtained from DHO's and logs in the staff attendance books found at the health facilities as summarized below.

3

1. **Bungangari HC IV:** 36 health workers deployed as per the deployment obtained from the DHO. The staff list found the health facility was Staff list was in agreement with the list obtained from the DHO.
2. **Nyakagyeme HC III:** 18 health workers deployed as per the deployment obtained from the DHO. Staff list found the health facility was Staff list was in agreement with the list obtained from the DHO.
3. **Bikurungu HC III:** 17 health workers deployed as per the deployment obtained from the DHO. The staff list found the health facility was Staff list was in agreement with the list obtained from the DHO.

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0

There **was evidence to confirm that** Rukungiri DLG publicized the deployment of health workers through various means, including posting on facility notice boards. The assessment team visited three sampled health facilities and observed that staff lists for FY 2023/2024 were available on the notice boards at Bungangari HC IV, Nyakagyeme HC III, Bikurungu HC III.

2

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

a) Evidence that the DHO/MMOHs has:

i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0

There was no evidence that the DHO had conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans in accordance with the guidelines as most of the appraisals seen were conducted **outside the stipulated timelines**.

Some of the files reviewed revealed the following:

1. Tumuhimbise Jackline, Enrolled Nurse In-Charge Bikungu HC II in Kebisoni Sub County was appraised by Asiimwe Tracy, Assistant Secretary on **August 10, 2023**.
2. Bashabomwe Elivah, Enrolled Nurse In-Charge Buhandagazi HC II in Buyanja Sub County was appraised by Asiimwe Tracy, Assistant Secretary on **August 03, 2023**.
3. Namanya Jacqueline, Clinical Officer In-Charge Kavuuuya HC III in Kebisoni Town Council was appraised by Asiimwe Tracy, Assistant Secretary on **August 02, 2023**.
4. Natukunda Emily, Senior Clinical Officer In-Charge Buyanja HC III in Buyanja Town Council was appraised by Asiimwe Tracy, Assistant Secretary on **August 02, 2023**.
5. Katushabe Peace, Senior Clinical Officer In-Charge Kasheshe HC III in Buyanja HC III was appraised by Asiimwe Tracy, Assistant Secretary on **August 03, 2023**.
6. Tushaba Ohurira, Senior Clinical Officer In-Charge Nyakagyeme HC III in Nyakagyeme Sub County was appraised by Asiimwe Tracy, Assistant Secretary on **August 02, 2023**.
7. Mukisa Serunjogi Jimmy, Medical Officer In-Charge Buhunga HC IV in Buhunga Sub County was appraised by Asiimwe Tracy, Assistant Secretary on **August 02, 2023**.
8. Tumuheise Emilly, Enrolled Nurse In-Charge Rubanga HC II in Buyanja Sub County was appraised by Asiimwe Tracy, Assistant Secretary on **August 01, 2023**.
9. Baryomunsi Emmanuel, Senior Clinical Officer In-Charge Bwambara HC III in Bwambara Sub County was appraised by Asiimwe Tracy, Assistant Secretary on **August 02, 2023**.
10. Kanyesigye Priscilla, Enrolled Midwife In-Charge Bwanga HC II in Nyarushanje Sub County was appraised by Asiimwe Tracy, Assistant Secretary on **August 04, 2023**.

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0

There was no evidence to show that the DHO ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans in accordance with the guidelines as most of the appraisals were conducted **outside the stipulated timelines.**

Some files reviewed indicated the following:

1. Muhumuza Francis, Clinical Officer posted to Nyakagyeme HC III in Nyakagyeme Sub County was appraised by Asiimwe Tracy, Assistant Secretary on **July 03, 2023.**
2. Tumukunde Benson , Clinical Officer posted to Kebisoni HC IV in Kebisoni Town Council was appraised by Asiimwe Tracy, Assistant Secretary on **July 30, 2023.**
3. Byomuhangi Dismas, Clinical Officer posted to Buhunga HC IV in Buhunga Sub County was appraised by Asiimwe Tracy, Assistant Secretary on **August 02, 2023.**
4. Busingye Georgia, Clinical Officer posted to Bugangari HC IV in Bugangari Sub County was appraised by Asiimwe Tracy, Assistant Secretary on **August 03, 2023.**
5. Ankunda Lillian, Assistant Nursing Officer posted to Karuhembe HC III in Buyanja Sub County was appraised by Asiimwe Tracy, Assistant Secretary on **August 02, 2023.**
6. Twebaze Provia, Enrolled Nurse posted to Bugangari HC III in Bugangari Sub County was appraised by Asiimwe Tracy, Assistant Secretary on **July 28, 2023.**
7. Tumanyomwe Marvarous, Enrolled Midwife posted to Kisiizi HC III in Nyarushangye Sub County was appraised by Asiimwe Tracy, Assistant Secretary on **August 02, 2023.**
8. Naikoba Maliza, Enrolled Nurse posted to Nyakariro HC II in Bugangari Sub County was appraised by Asiimwe Tracy, Assistant Secretary on **August 14, 2023.**
9. Atuhairi Jovita, Enrolled Midwife posted to Bugangari HC IV in Bugangari Sub County was appraised by Asiimwe Tracy, Assistant Secretary on **August 02, 2023.**
10. Tukashaba Innocent, Enrolled Nurse posted to Ruhinda HC III in Ruhinda Sub County was appraised by Asiimwe Tracy, Assistant Secretary on **August 02, 2023.**

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

iii. Taken corrective actions based on the appraisal reports, score 2 or else 0

There was no evidence adduced to show that the DHO had taken corrective actions based on the appraisal reports.

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p>	<p>There was evidence to confirm that health workers received training through Continuous Professional Development in accordance with the training plans. The assessment team observed that the department has maintained a training plan for FY 2022/2023 - 2025. Additionally, the team noted that the health department had implemented various training initiatives in alignment with this plan. Notable among these was the training in the electronic logistics management information system, with the training report being submitted to the District Health Officer (DHO) on March 14, 2023. Furthermore, the department conducted Infectious Disease Surveillance and Response (IDSR) training for health workers at Kirigime Hotel from May 22 to May 26, 2023. These and numerous other training sessions were documented in the aforementioned training plan.</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>There was no evidence to confirm whether the health department documented training activities in the training/CPD database. By the end of day of the assessment exercise in the district, no evidence was shared with assessment to confirm whether the DLG met this performance requirement.</p>	0

Management, Monitoring and Supervision of Services.

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>There was evidence to confirm that the Chief Administrative Officer (CAO) of Rukungiri DLG confirmed the list of 73 health facilities (Government of Uganda and Private-Not-For-Profit) receiving Primary Health Care Non-Wage Recurrent (PHC NWR) grants and notified the Ministry of Health (MOH) in writing by September 30th if any health facility had been listed incorrectly or was omitted from the FY 2023/2024 list.</p> <p>The assessment team established that this letter was received by the MoH registry on September 25, 2023. The review of this letter revealed that it was authored by Dr Akasiime Mucunguzi. The assessment team also noted that the department had made submission of the same via email to Hillary Airinatwe (from MoH planning unit) on 29th September 2023.</p>	2
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9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>Rukungiri DLG FY2022/2023 budget - PHC NWR grant for Lower Level Health Facilities was UGX279,476,000.</p> <p>Total allocation in the DHO's budget for management and monitoring activities in the same year was UGX106,600,000 which 38.1% of the total. This was well above the 15% minimum.</p>	2
	<p>Maximum 9 points on this performance measure</p>			
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>	<p>The disbursements of all funds to government funded institutions and facilities follow the four quarterly routine. For RDLG FY2022/2023, PHC NWR grants were warranted/verified as follows:</p> <p>For Quarter 1, the MoFPED circular is dated 8th July 2022, the CAO warranted on 1st August 2022 and the funds were transferred on 1st August 2022 (24 days).</p> <p>For Quarter 2, the MoFPED circular is dated 30th September 2022, the CAO warranted on 12th October and the funds were sent on 27th October 2022 (27 days).</p> <p>For Quarter 3, cash limits were communicated through MoFPED circular dated 29th December 2022. The CAO warranted 24th January 2023 and the disbursements made on 31st January 2023 (33 days).</p> <p>For Quarter 4, MoFPED circular is dated 6th April 2022 and the CAO warranted on 24th April 2023 and the funds were transferred on 26th April 2023 (20 days).</p>	0
	<p>Maximum 9 points on this performance measure</p>		<p>In all the four quarters, the warranting/verification of PHC NWR grants was effected beyond the 5 days deadline.</p>	

N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0

The disbursements of all funds to government funded institutions and facilities follow the four quarterly routine. For RDLG FY2022/2023 the invoicing and communication of transfers to health facilities followed the following routine:

For Quarter 1, the MoFPED circular is dated 8th July 2022, the CAO warranted on 1st August 2022 and the funds were transferred on 1st August 2022. The communication concerning the disbursement of PHC NWR grants for quarter 1 was dated 01/08/2022 (24 days).

For Quarter 2, the MoFPED circular is dated 30th September 2022, the CAO warranted on 12th October and the funds were sent on 27th October 2022. The communication concerning the disbursement of PHC NWR grants for quarter 2 was dated 06/10/2022 (6 days).

For Quarter 3, cash limits were communicated through MoFPED circular dated 29th December 2022. The CAO warranted 24th January 2023 and the disbursements made on 31st January 2023. The communication concerning the disbursement of PHC NWR grants for quarter 3 was dated 31/01/2023 (31 days).

For Quarter 4, MoFPED circular is dated 6th April 2022 and the CAO warranted on 24th April 2023 and the funds were transferred on 26th April 2023. The communication concerning the disbursement of PHC NWR grants for quarter 4 was dated 26/04/2023 (20 days).

In three (03) of the four (04) quarters, the invoicing and communication of funds on the funds releases was done beyond the 5 working days' time limit.

N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0

There **was no sufficient evidence** to confirm whether Rukungiri DLG LG had publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g., through posting on public notice boards. This is evidenced by the details below.

- **Quarter 1:** Display on the notice board was made on 21st August 2022; Th expenditure limits received from MoFPED 8th July 2022. This implies that publication of quarterly financial releases to all health facilities was made within 43 days from the date of receipt of the expenditure limits from MoFPED.
- **Quarter 2:** Display on the notice board was made on 6th October 2022; Th expenditure limits received from MoFPED on 30th September 2022. This implies that publication of quarterly financial releases to all health facilities was made within six days from the date of receipt of the expenditure limits from MoFPED.
- **Quarter 3:** Display on the notice board was made on 3rd January 2023; Th expenditure limits received from MoFPED on 29th December 2022. This implies that publication of quarterly financial releases to all health facilities was made within five days from the date of receipt of the expenditure limits from MoFPED.
- **Quarter 4:** Display on the notice board was made on 26th April 2023; The expenditure limits received from MoFPED on 6th April 2023. This implies that publication of quarterly financial releases to all health facilities was made within twenty (20) days from the date of receipt of the expenditure limits from MoFPED.

Based on the evidence provided, it is apparent that the publicization for quarters 1, 2, and 4 occurred later than the recommended 5 working days from the date of receiving the expenditure limits from the Ministry of Finance, Planning, and Economic Development (MoFPED).

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>There was evidence to confirm that the Rukingiri DLG Health Department implemented actions recommended by the DHMT Quarterly Performance Review Meetings held during FY 2022/23 on the following days; 29th September 2022, 15th December 2022, 28th March 2023 and 22nd June 2023.</p> <p>In terms of actions taken during the DHMT Quarterly Performance Review Meetings on the mentioned dates, the recommendation was made for the Biostatistician to send m-track messages to organize a Continuous Medical Education (CME) session on the eligibility screening tool for HIV at the Outpatient Department (OPD). A subsequent review of the DHMT Quarterly Performance Review Meeting minutes dated December 15, 2022, revealed that this action had been successfully implemented.</p> <p>Furthermore, during the DHMT Quarterly Performance Review Meeting on December 15, 2022, it was noted that the District Health Team (DHT) had addressed the issue of in-charges who had defaulted on submitting monthly returns and staff attendance. A follow-up assessment, reviewing a letter dated April 17, 2023, from the Assistant District Health Officer for Environmental Health (ADHO-E), Nsengiyunva Confidence, showed that the facility in-charges were formally requested to submit two copies of monthly staff attendance.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>There was evidence to confirm that the Rukungiri DLG Health Department's quarterly performance review meetings included all health facility in-charges and implementing partners, DHMTs, and key LG departments.</p> <p>The assessment team reviewed the attendance lists for the DHMT performance review meetings held on various dates: 29th September 2022, 15th December 2022, 28th March 2023 and 22nd June 2023. The assessment team noted that these meetings had representation from all health facility in-charges, implementing partners, DHMTs, key LG departments, and partners. Notably, key attendees included Tremwa Rolland Mathew-CDO Nyarushanje Subcounty, Caroline Isuba (M&E officer USAID LPHS-Kigezi, Nassam Hilda-PCDO- Rukungiri. The attendance lists further indicated that these meetings were represented by four key LG departments, including WASH, Community Development, and Education.</p>	1

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>There was evidence to confirm that Rukungiri DLG conducted supervision visits to 100% of the following healthcare facilities: These facilities comprised two Private Not-For-Profit (PNFP) hospitals, namely Nyakibale Hospital and Kisiizi Hospital, along with four government-funded Health Center IVs (Bugangari HC IV, Buhunga HC IV, and Rukungiri HC IV). Additionally, one PNFP Health Center IV, North Kigezi HC IV, was part of this group.</p> <p>The assessment team reviewed the support supervision reports submitted to the DHO on 3rd October 2022,6th January 2023,6th April 2023 and on 7th July 2023. The content of the reports indicate that all the above stated health facilities were supervised by the DHT in all the quarters within FY 2022/2023.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <ul style="list-style-type: none"> • If not applicable, provide the score 	<p>There was evidence to confirm that the Rukungiri DLG District Health Team (DHT) ensured that Health Rukungiri DLG Health Sub-District (HSD) conducted support supervision of lower-level health facilities during FY 2022/2023. The assessment team noted that Rukungiri DLG had three HSD points housed at Bugangari HIV, Buhunga HIV and Bugangari Hc IV. The assessment team randomly selected three health facilities, namely Karuhembe HC III, Rubanga HC III, and Kahengye HC II. The team reviewed the HSD support supervision reports submitted to the DHO on 11th October 2022,24th January 2023,30th March 2023 and 30th June 2023. The assessment team noted that the sampled health facilities were supervised by HSD housed at Kebisoni HCIV.</p>	1

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>There was evidence to confirm that Rukungiri DLG used results and reports from the discussions during support supervision and monitoring visits to make recommendations for specific corrective actions, and these recommendations were followed up during the FY 2022/2023. This is demonstrated by the following instances: The assessment team reviewed the support supervision book logs at sampled health facilities and identified the following:</p> <ol style="list-style-type: none"> 1. At Nyakagyeme HC III: The support supervision book logs for the DHT support supervision visit dated 22nd November 2022, recommended that the facility should intensify TB screening at the facility. The assessment team noted that this was being implemented at all service points within the facility. 2. At Bungangari HC IV, a review of support supervision logs indicated that on September 26th, 2022, the DHT recommended that DHO was to follow up with the request the MoH to extend the maternity ward at the facility. The assessment found the maternity ward being constructed at had reached the roofing level. 3. At Bikurungu HC III, during the support supervision visit dated 28th June 2023, the DHT recommended that the compound should be kept clean and slashed. On the day of visit to the facility, the assessment team observed the compound was well slashed and was clean. 	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>There was no sufficient evidence to confirm that Rukungiri District Local Government (DLG) provided support to all health facilities in the management of medicines and health supplies during the FY 2022/2023. The assessment team accessed and reviewed sets of medicines and health supplies supervision report submitted to the DHO on 4th June 2023, 3rd April 2023 and 16th June 2023 and noted that only about six out of the 73 available health facilities in the district received support in the management of medicines and health supplies.</p>	0

11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0	Rukungiri DHO health office budget for FY 2022/2023 was UGX106,600,000. Out of this a total of UGX92,600,000 was allocated to health promotion and prevention activities.	2
	Maximum 4 points on this performance measure		This was a proportion of 86.8%, which met the 30% minimum requirement.	
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0	There was evidence to confirm that the Rukungiri District Local Government (DLG) DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the FY 2022/2023.	1
	Maximum 4 points on this performance measure		The assessment team observed that in the 4th quarter, the health department engaged in various activities:	
			<ol style="list-style-type: none"> 1. Conducted radio talk shows between April 12, 2023, and April 18, 2023, to raise awareness in the community about malaria prevention and the yellow fever preventative mass vaccination campaign. Radio messages on the same topics were broadcasted between June 6, 2023, and June 21, 2023. 2. In Quarter 2 of FY 2022/2023, the health department organized a house-to-house polio campaign, conducted support supervision of health facilities for health promotion, and distributed Information, Education, and Communication (IEC) materials related to Ebola and polio campaigns. 3. In Quarter 3 of FY 2022/2023, the health department distributed VHT (Village Health Team) coordinators' kits, including facemasks, temperature guns, gloves, and oxatomides. 4. Also in Quarter 3 of FY 2022/2023, as per the health promotion report submitted to the District Health Officer (DHO) on July 4, 2023, the department supervised environmental health activities in Rukungiri district. The report was submitted by Muhwezi Henry, Acting Senior Environmental Health Officer (SEHO), and Assistant District Health Officer for Environmental Health (ADHO_E), Nsengiyunva Confidence. 	

11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p>	<p>Evidence of follow-up actions taken by the Rukungiri District Local Government (DLG) District Health Team (DHT) regarding health promotion and disease prevention issues is documented in their minutes and reports. For instance, among other actions: The review of the District Health Team (DHT) meeting minutes dated March 31, 2023, highlighted that the department recommended conducting a training session for health workers in Infection Prevention and Control (IPC) to ensure the cleanliness of health facilities. Subsequently, the assessment team observed that health workers were indeed trained in safe medical waste segregation practices at selected health facilities. This was substantiated by reports submitted to the District Health Officer (DHO) on April 20, 2023.</p>	1
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Investment Management

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>There was evidence to confirm that Rukungiri DLG health department had an updated Asset register which sets out health facilities and equipment relative to basic standards. A compressive assets register was found on file in the DHO's office. The assets register was last updated on 30th June 2023. The assets register detailed among others, Asset number, Initial cost, Description of the assets, Date of purchase, location, and current condition.</p>	1
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Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.

Maximum 4 points on this performance measure

b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDPIII);

(ii) desk appraisal by the LG; and

(iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG));

score 1 or else score 0

For previous year FY 2022/2023, the prioritised investments under RDLG Health department were the following projects:

- 🏠 Roofing and electrical installation of 4 Housing units at Buyanja HCIII UGX50,000,000
- 🏠 Walkways, Fixing terrazzo floor and Repairs in a theatre at Buhunga HCI UGX40,827,300
- 🏠 Renovation of cracked midwife staff house at Buyanja HCIIIUGX35,000,000
- 🏠 Construction of 4 stance staff latrine at Buhunga HCIV UGX25,000,000
- 🏠 Completion of staff house at Buhunga HCIV phase II UGX25,228,400
- 🏠 Facelifting of Bwanga HCII UGX15,000,000
- 🏠 Face-lifting of Nyabiteete HCII UGX20,490,706
- 🏠 Additional works to complete fencing of Bugangari HCIVUGX8,430,0000

A Desk Appraisal aims to ensure that a project meets the following requirements.

- Derived from the LG Development Plan
- Consistent with sector guidelines & DDEG objectives
- Financially feasible
- Having costed project profiles

Evidence of desk appraisals for all the eight (08) RDLG FY2022/2023 Health department projects was provided/seen during the assessment.

Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.

Maximum 4 points on this performance measure

c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0

For previous year FY 2022/2023, the prioritised investments under RDLG Health department were the following projects:

- 🏗️ Roofing and electrical installation of 4 Housing units at Buyanja HCIII UGX50,000,000
- 🏗️ Walkways, Fixing terrazzo floor and Repairs in a theatre at Buhunga HCI UGX40,827,300
- 🏗️ Renovation of cracked midwife staff house at Buyanja HCIIIUGX35,000,000
- 🏗️ Construction of 4 stance staff latrine at Buhunga HCIV UGX25,000,000
- 🏗️ Completion of staff house at Buhunga HCIV phase II UGX25,228,400
- 🏗️ Facelifting of Bwanga HCII UGX15,000,000
- 🏗️ Face-lifting of Nyabiteete HCII UGX20,490,706
- 🏗️ Additional works to complete fencing of Bugangari HCIVUGX8,430,0000

A Field Appraisal aims to ensure that a project meets the following requirements.

-Technical feasibility

-Environmental and social acceptability requirements

Evidence of field appraisals for all the eight (08) RDLG Health FY2022/2023 projects was provided/seen during the assessment.

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>There was evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist</p>	1
	<p>Maximum 4 points on this performance measure</p>		<ol style="list-style-type: none"> 1. Screening for environmental and social risks for the construction of a lined 4 stance latrine with 2 bathroom at Buhunga HC IV was carried out on 20/7/22 signed and stamped by both SEO and DCDO, after assessment the project didn't qualify for detailed study therefore a costed ESMP was prepared at a tune of Ugx 840,000 2. Screening for environmental and social risks for the renovation of theater at Buhunga HC IV was carried out on 20/7/22 signed and stamped by both SEO and DCDO, after assessment the project didn't qualify for detailed study therefore a costed ESMP was prepared at a tune of Ugx 1,703,400 3. Screening for environmental and social risks for the renovation of staff house at Buyanja HC III was carried out on 20/7/22 signed and stamped by both SEO and DCDO, after assessment the project didn't qualify for detailed study therefore a costed ESMP was prepared at a tune of Ugx 820,000 	
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>There is evidence that the LG health department timely submitted all its infrastructure and other procurement requests to PDU (as signed by the DHO and received by the CC on 14/4/2023) for incorporation into the approved LG annual work plan, budget and procurement plans; The following Projects were visible;</p>	1
	<p>Maximum 10 points on this performance measure</p>		<ol style="list-style-type: none"> 1. Completion of Staff House art Buhunga HC IV. Estimated cost is UGX 25,228,400/= 2. Phase 2 Roof Completetion of Maternity Ward at Buyanja HC III. Estimated cost is UGX 50,000,000/= 3. Fixing Terrazo Floor in one of HC IV theatre, Buhunga HC IV. Estimated cost is UGX 40,827,306/= 	

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>There is evidence that the LG Health department submitted Procurement Requisition Forms - LG PP Forms to the PDU by 1st Quarter of the current FY (2023/24).</p> <p>LG PP form 1 for the following projects were submitted, forwarded (Confirmation of Need) by the DHO and confirmation of funding by CAO.</p> <ol style="list-style-type: none"> 1. LG PP form 1 for the Face lifting of 2 Health Centre IIs in Nyarushanje and Bugangari S/Cs- Budgeted Cost is UGX 30,00,000/=. Submitted on 27/7/2023. 2. LG PP form 1 for the Expansion of Maternity Unit at Bugangari HC IV- Budgeted Cost is UGX 174,630,718/=. Submitted on 21/8/2023. 3. LG PP form 1 for the Renovation and Fixing Terrazo at Kebisoni HC IV Operating Theatre- Budgeted Cost is UGX 50,00,000/=. Submitted on 27/7/2023. 	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>There is evidence that the Health infrastructure Projects for the previous FY (2022/2023) were approved by the Contracts Committee before commencement of Works. The sample projects are listed below:</p> <ol style="list-style-type: none"> 1. Under Min. No. 46/10/DCC/2022/2023, the Renovation of Theatre at Buhunga HC IV- RUKU924/WRKS/2022-23/00007; approved by the Contracts Committee in a meeting held on 22/11/2022. 2. Under Min. No. 46/11/DCC/2022/2023, the Renovation of Staff House at Buyanja HC III- RUKU550/WRKS/2022-23/00003; approved by the Contracts Committee in a meeting held on 22/11/2022. 3. Under Min. No. 46/13/DCC/2022/2023, the Construction of a Lined 4 Stance VIP Latrine with 2 Bathrooms at Buhunga HC IV, Buhunga- RUKU550/WRKS/2022-23/00001; approved by the Contracts Committee in a meeting held on 22/11/2022. 	1

13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0	There was evidence of proper establishment of the PITs for the Health construction projects within the last FY (2022/23) as per guidelines. Through the memo dated 4/7/2022, the CAO appointed a PIT that comprised of: a District Engineer/Project Manager, Senior Environmental Officer, DCDO and Labor Officer. Through another memo dated 4/11/2022, the CAO appointed a PIT that comprised of: a Contract Manager, Senior Environmental Officer, DCDO and Labour Officer and Clerk of Works.	1
	Maximum 10 points on this performance measure	If there is no project, provide the score	The following projects were sampled: 1. Renovation of Theatre at Buhunga HC IV. 2. Renovation of Twin Staff House at Buyanja HC III, Buyanja T/C. 3. Construction of a Lined 4-Stance VIP Latrine with 2 Bathrooms at Buhunga HC IV, Buhunga S/C.	
13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0	There was no HC II to HC III upgrade for Rukungiri DLG. Therefore the requirement of the indicator (that the health infrastructure followed the standard technical designs provided by the MoH) could not be assessed. Therefore, the LG earns the maximum score.	1
	Maximum 10 points on this performance measure	If there is no project, provide the score		
13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0	There was NO HC II to HC III Upgrade at Rukungiri DLG, thus no daily/weekly records were maintained by the Clerk of Works hence the requirement of the indicator could not be assessed. Therefore, the LG earns the maximum score.	1
	Maximum 10 points on this performance measure	If there is no project, provide the score		

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no HC II to HC III upgrade for Rukungiri DLG. Therefore the requirement of the indicator (that the LG held monthly site meetings by project site committee) could not be assessed. Therefore, the LG earns the maximum score.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>There is NO Evidence that Rukungiri DLG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction.</p> <p>Joint technical supervisions of the construction of health infrastructure projects (by the Engineers team - including the Environment Officer and DCDO among other officers) were conducted but not on a monthly basis (with regards to Critical stages). There was no evidence that site instruction books were used during the course of the projects at any of the Health facilities.</p> <p>The following projects were sampled;</p> <ol style="list-style-type: none"> 1. Renovation of Theatre at Buhunga HC IV- with no joint inspection report. 2. Renovation of Twin Staff House at Buyanja HC III, Buyanja T/C- with no joint inspection report. 3. Construction of a Lined 4-Stance VIP Latrine with 2 Bathrooms at Buhunga HC IV, Buhunga S/C- with joint inspection reports dated: 10/3/2023, 31/1/2023, 30/12/2023. 	0

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0

For Rukungiri DLG Health six (06) infrastructural project payments in FY2022/2023 were sampled to test for certification and the timeliness of payments.

Payment to K-Maró General Contractors Ltd for facelifting of Bwanga and Nyabitete HCIIIs in Nyarushanje and Bugangari S/Cs (UGX27,838,325) – Requisition dated 31/05/2023, certified by District Engineer, DHO, Environment Officer, CDO and CAO on 31/05/2023 and payment on 28/06/2023 (29 days).

Payment to Artnick Ltd for renovation of staff house for midwife at Buyanja HCIII (UGX25,416,775) – Requisition dated 29/05/2023, certified by District Engineer, DHO, Environment Officer, CDO and CAO on 29/05/2023 and payment on 28/06/2023 (29 days).

Payment to Dranic Engineering and Supplies Ltd for construction of 4 stance VIP latrine with bathrooms at Buhunga HCII (UGX27,667,800) – Requisition dated 13/03/2023, certified by District Engineer, DHO, Environment Officer, CDO and CAO on 13/03/2023 and payment on 03/05/2023 (50 days).

Payment to Kyatoko Technical and Supplies Ltd for renovation of Buyanja HCIII (UGX38,870,296) – Requisition dated 15/06/2023, certified by District Engineer, DHO, Environment Officer, CDO and CAO on 13/06/2023 and payment on 28/06/2023 (13 days).

Payment to Ngabi Traders Ltd for fixing terrazzo at Buhunga HCIV (UGX37,411,000) – Requisition dated 24/03/2023, certified by District Engineer, DHO, Environment Officer, CDO and CAO on 24/03/2023 and payment on 25/05/2023 (31 days).

Payment to K-Maró General Contractors Ltd for roofing of 4 unit staff house at Buyanja HCIII (UGX40,247,510) – Requisition dated 19/06/2023, certified by District Engineer, DHO, Environment Officer, CDO and CAO on 19/06/2023 and payment on 28/06/2023 (9 days).

In four (04) of the six (06) sampled RDLG infrastructural projects, payments were effected beyond the 14 days' time limit.

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>There is Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Complete Procurement files for the health infrastructure contracts with Evaluation Reports and Minutes of the Contract Committee and contract agreements.</p> <p>Files for the following projects were sampled accordingly;</p> <ol style="list-style-type: none"> 1. Renovation of Theatre at Buhunga HC IV- RUKU924/WRKS/2022-23/00007; approved by the Contracts Committee under Min. No. 46/10/DCC/2022/2023 in a meeting held on 22/11/2022. The contract was awarded on 8/12/2022. 2. Renovation of Staff House at Buyanja HC III- RUKU550/WRKS/2022-23/00003; approved by the Contracts Committee under Min. No. 46/11/DCC/2022/2023, the in a meeting held on 22/11/2022. The contract was awarded on 12/8/2022. 3. Construction of a Lined 4 Stance VIP Latrine with 2 Bathrooms at Buhunga HC IV, Buhunga- RUKU550/WRKS/2022-23/00001; approved by the Contracts Committee under Min. No. 46/13/DCC/2022/2023, the in a meeting held on 22/11/2022. The contract was awarded on 12/12/2022. 	1
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Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>There was no evidence that the Local Government had recorded, investigated, responded and reported in line with the LG grievance redress framework</p>	0
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15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>There was evidence to confirm that Rukungiri DLG Health Department had disseminated guidelines on health care/medical waste management to health facilities. The assessment team established that the health department conducted a meeting with health facility in-charges where various topics were discussed, including safe medical waste management practices at health facilities, medical waste segregation, and guidelines for medical safety. During the meeting, the assessment team observed charts on medical waste segregation displayed in the maternity ward and laboratory at three sampled health facilities—Bungangari HC IV, Nyakagyeme HC III, and Bikurungu HC III.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>There was evidence to confirm that Rukungiri DLG had a functional system/central infrastructure with equipment for medical waste management and had a dedicated/operational budget. The assessment team determined that Green Label Company Ltd provided support to the local government in recovering obsolete cold chain equipment from health facilities. The team discovered medical waste transfer forms covering the period from October 5 to November 17, 2023, filed in the District Health Officer's (DHO) office.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>There was evidence to confirm that Rukungiri DLG has conducted training and created awareness in healthcare waste management. The assessment team examined a training report submitted to the District Health Officer (DHO) on June 3, 2023. The report highlighted that health workers had undergone training in proper medical waste management and the dissemination of guidelines on medical waste management. During the training, the following challenges to medical waste management were identified: insufficient waste bins in health facilities, National Medical Stores (NMS) not supplying bin liners, and delays in waste collection by Green Label Services Ltd from health facilities.</p>	1

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0</p>	<p>There was evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY</p> <ol style="list-style-type: none"> 1. A costed ESMP for the completion of maternity ward at Buyanja HC III was prepared on 15/10/2022, signed and stamped by both the SEO and DCDO. this ESMP of Proc No RUKU550/WRKS/22-23/00002 was incorporated into designs, BoQs, bidding and contractual documents in bill 2 for environmental concerns and sign post under item A to E at cost of Ugx 1,380,000 2. A costed ESMP for the renovation of the theatre at Buhunga HC IV was prepared on 1/11/2022, signed and stamped by both the SEO and DCDO. this ESMP of Proc No RUKU550/WRKS/22-23/00007 was incorporated into designs, BoQs, bidding and contractual documents in bill 2 for environmental concerns and sign post under item A to E at cost of Ugx 2,000,000 3. A costed ESMP for the construction of a 4 stance VIP latrine with bathroom at Buhunga HC IV was prepared on 15/10/2022, signed and stamped by both the SEO and DCDO. this ESMP of Proc No RUKU550/WRKS/22-23/00001 was incorporated into designs, BoQs, bidding and contractual documents in element 9 for environmental concerns and sign post under item A to E at cost of Ugx 680,000 	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>There was no evidence that all health sector projects were implemented on land where the LG had proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances</p>	0

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>There was evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports</p> <ol style="list-style-type: none"> 1. Support supervision and monitoring for the completion of maternity ward at Buyanja HC III was carried out on 20/12/22, signed and stamped by both the SEO and DCDO, monthly monitoring reports were provided for review dated 20/12 22, 10/3/23, 10/5/23 and 30/6/23, the project started on 10/1/23 and ended on 17/5/23 2. Support supervision and monitoring for the renovation of the theatre at Buhunga HC IV was carried out on 20/12/22, signed and stamped by both the SEO and DCDO, monthly monitoring reports were provided for review dated 20/12 22, 10/3/23, 10/5/23 and 30/6/23, the project started on 28/12/22 and ended on 23/3/23 3. Support supervision and monitoring for the construction of a 4 stance VIP latrine with bathroom at Buhunga HC IV was carried out on 20/12/22, signed and stamped by both the SEO and DCDO, monthly monitoring reports were provided for review dated 20/12 22, 10/3/23, 10/5/23 and 30/6/23, the project started on 15/12/22 and ended on 9/3/23 	2
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16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0</p>	<ol style="list-style-type: none"> 1. Environment and Social Certification form for the renovation of the theatre at Buhunga HC IV was prepared on 30/6/2023, signed and stamped by both the SEO and DCDO, payments were made on 25/5/23 2. Environment and Social Certification form for the completion of maternity ward at Buyanja HC III was prepared on 30/6/2023, signed and stamped by both the SEO and DCDO, payments were made on 28/5/23 3. Environment and Social Certification form for the construction of a 4 stance VIP latrine with bathroom at Buhunga HC IV was prepared on 10/3/2023, signed and stamped by both the SEO and DCDO, payments were made on 3/5/23 	0
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N.B for the three sample projects only one project (i.e. construction of a 4 stance VIP latrine with bathroom at Buhunga HC IV) the contractor was paid after E&S certification forms were signed as required by this indicator, therefore the LG can't score since in the other two projects the contactors were paid before E&S certifications were approved and signed by both SEO and DCDO

**Water &
Environment
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>As per the MWE-MIS for the FY 2022/2023, the rural water functionality for Rukungiri DLG was 87% which falls between 80% and 89% and thereby justifying a score one (1)</p>	1
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>From the MWE-MIS for the FY 2022/2023, the percentage of WSS facilities with functional WSCs in Rukungiri DLG, as seen under the Management Column was 90% that falls between 90% to 100%, thereby justifying a score two (2).</p>	2
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;</p> <ul style="list-style-type: none"> • Above 80%, score 2 • 60% - 80%, score 1 • Below 60%, score 0 	<p>The performance of RDLG in its FY2022/2023 LLG Water and Environment assessment was 83%, making it fall in the range '80% and above'.</p> <p>From the LLGs performance assessment for the FY 2023/2024, the Rukungiri DLG average score in the water and environment sector as seen under the Water & Environment Services Management Column (J) was 83% that falls above 80%, thereby justifying a score two (2).</p>	2

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.

o If 100 % of water projects are implemented in the targeted S/Cs: Score 2

o If 80-99%: Score 1

o If below 80 %: Score 0

• According to the 4th Quarter/ Annual report titled “Rukungiri District Local Government Water and Sanitation Development Grant Annual Report for 2022/2023 (no REF:)” submitted by CAO on 21st/07/2023 to MWE PS, received by MWE Central Registry and approved by MWE RWSSD on 1st/08/2023; the Safe Water Coverage (SWC) for Rukungiri DLG was **93%**. The Sub-County with SWC below the district average was **Bwambara** S/C with SWC of 77%

• As per the document titled “Rukungiri District Water and Sanitation Development Grant Work Plan for FY 2022/2023 (CR/210/28/1)” submitted by CAO on 12th/07/2022 to MWE PS, received by MWE Central Registry and approved by MWE RWSSD on 14th/07/2022 and in reference to my analysis of the annual progress reports for FY 2022/2023, Rukungiri district water department implemented the following budgeted water projects in the targeted sub counties.

i. Project 1: RUKU 924/WRKS/2022-2023/00010: Construction of Kateramo Water Supply Project Phase II at Kateramo village, Kikongi parish in Bwambara S/C at a cost of UGX 295,576,017; which is a target of **1 out of 1, equivalent to 100%**;

ii. Project 2: RUKU 924/WRKS/2022-2023/00009: Kabalanga Spring Protection at Kabalanga village, Murama parish in Muram Nakishenyi S/C at a cost of UGX 4,970,717; which is a target of **0 out of 1, equivalent to 0%**;

iii. Project 3: RUKU 924/WRKS/2022-2023/00001: Construction of Water borne toilet at Nyakanyenya village, Rwamugoma Parish in Ruhinda S/C at a cost of UGX 44,995,012; which is a target of **0 out of 1, equivalent to 0%**;

iv. Project 4: RUKU 924/WRKS/2022-2023/00013: Borehole rehabilitation in Bwambara S/C, Kebison S/C, Kebison T/C, Bugangari S/C, Nyakagyeme S/C and Rukungiri Municipality at a cost of UGX 15,397,112; which is a target of **1 out of 6, equivalent to 16.7%**;

• Therefore, the number of projects implemented in target S/Cs is **2 (UGX 298,142,202) out of 9 (UGX 360,938,854)** budgeted projects in the Previous FY 2022/2023, equivalent to **82.6% approximated to 83%, which is between 80% and 99% thereby justifying a score of one (1).**

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment	c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates	As per the document titled "Rukungiri District Water and Sanitation Development Grant Work Plan for FY 2022/2023 (CR/210/28/1)" submitted by CAO on 12th/07/2022 to MWE PS, received by MWE Central Registry and approved by MWE RWSSD on 14th/07/2022 and in reference to my analysis of the annual progress reports for FY 2022/2023, and basing on sample of three (3) WSS contracts that were implemented in Rukungiri DLG, the following percentage variation of the engineering estimates were revealed:
Maximum 8 points on this performance measure	<ul style="list-style-type: none"> o If within +/-20% score 2 o If not score 0 	<p>i. Project 1: RUKU 924/WRKS/2022-2023/00010: Construction of Kateramo Water Supply Project Phase II at Kateramo village, Kikongi parish in Bwambara S/C;</p> <ul style="list-style-type: none"> • Contractor: Daikam technologies Ltd ; • Engineer's estimated cost of UGX 305,000,000 (A); • Contracted Sum of UGX 295,576,017 (B); • Percentage variation= $[(A-B)/A]*100 = [(305,000,000 -295,576,017)/ 305,000,000]*100 = \mathbf{3.1\%}$ <p>ii. Project 2: RUKU 924/WRKS/2022-2023/00009: Kabalanga Spring Protection at Kabalanga village, Murama parish in Muram Nakishenyi S/C;</p> <ul style="list-style-type: none"> • Contractor: Haruna and Sons Enterprises Ltd ; • Engineer's estimated cost of UGX 5,030,217 (A); • Contracted Sum of UGX 4,970,717 (B); • Percentage variation= $[(A-B)/A]*100 = [(5,030,217 -4,970,717)/ 5,030,217]*100 = \mathbf{1.2\%}$ <p>iii. Project 3: RUKU 924/WRKS/2022-2023/00001: Construction of Water borne toilet at Nyakanyinya village, Rwamugoma Parish in Ruhinda S/C;</p> <ul style="list-style-type: none"> • Contractor: Ndere Investment Ltd; • Engineer's estimated cost of UGX 45,000,000 (A); • Contracted Sum of UGX 44,995,012 (B); • Percentage variation= $[(A-B)/A]*100 = [(45,000,000 -44,995,012)/ 45,000,000]*100 = \mathbf{0.01\%}$ • Hence the variations in the contract price and engineer's estimates of the three (3) sampled WSS infrastructure investment contracts for FY 2022/2023

are all within +/-20%, thereby justifying a score of two (2)

2

2

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

d. % of WSS infrastructure projects completed as per annual work plan by end of FY.

o If 100% projects completed: score 2

o If 80-99% projects completed: score 1

o If projects completed are below 80%: 0

• As per the document titled “Rukungiri District Water and Sanitation Development Grant Work Plan for FY 2022/2023 (CR/210/28/1)” submitted by CAO on 12th/07/2022 to MWE PS, received by MWE Central Registry and approved by MWE RWSSD on 14th/07/2022 and 4th Quarter/ Annual report titled “Rukungiri District Local Government Water and Sanitation Development Grant Annual Report for 2022/2023 (no REF:)” submitted by CAO on 21st/07/2023 to MWE PS, received by MWE Central Registry and approved by MWE RWSSD on 1st/08/2023; **all the planned projects were completed by the end of the FY 2022/2023.** The following were the planned WSS infrastructure projects and level of achievement by the end of the FY 2022/2023:

i. Project 1: RUKU 924/WRKS/2022-2023/00010: Construction of Kateramo Water Supply Project Phase II at Kateramo village, Kikongi parish in Bwambara S/C at a cost of UGX 295,576,017; **Achieved 100%;**

ii. Project 2: RUKU 924/WRKS/2022-2023/00009: Kabalanga Spring Protection at Kabalanga village, Murama parish in Muram Nakishenyi S/C at a cost of UGX 4,970,717; **Achieved 100%;**

iii. Project 3: RUKU 924/WRKS/2022-2023/00001: Construction of Water borne toilet at Nyakanyinya village, Rwamugoma Parish in Ruhinda S/C at a cost of UGX 44,995,012; **Achieved 100%;**

iv. Project 4: RUKU 924/WRKS/2022-2023/00013: Borehole rehabilitation in Bwambara S/C, Kebison S/C, Kebison T/C, Bugangari S/C, Nyakagyeme S/C and Rukungiri Municipality at a cost of UGX 15,397,112; **Achieved 100%;**

• Therefore, **100% WSS infrastructure projects were completed thereby justifying a score of two (2).**

3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <p>o If there is an increase: score 2</p> <p>o If no increase: score 0.</p>	<ul style="list-style-type: none"> • From Ministry of Water and Environment Management Information System (MWE-MIS) assessment report for the FY 2022/2023, Rukungiri DLG had 87% of water supply facilities that were functioning • Also, from MWE-MIS assessment report for the FY 2021/2022, Rukungiri DLG had 86% of water supply facilities that were functioning • The variation in Rukungiri DLG rural water functionality from 86% to 87% represents an increase of 1% in the water supply facilities that are functional. • There is an increase and therefore, justifying a score of two (2). 	2
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <p>o If increase is more than 1% score 2</p> <p>o If increase is between 0-1%, score 1</p> <p>o If there is no increase : score 0.</p>	<ul style="list-style-type: none"> • From Ministry of Water and Environment Management Information System (MWE-MIS) District Software Report (rural water Management) for FY/2022/2023, Rukungiri DLG had • 879 functional WSCs out of the 977 established WSCs equivalent to Management of $[879/977]*100 = 89.97\%$. • Also, MWE-MIS District Software Report (rural water Management) for FY 2021/2022, Rukungiri DLG had • 857 functional WSCs out of the 963 established WSCs equivalent to Management of $[857 /963]*100 = 88.99\%$. • The variation in Rukungiri DLG rural water functionality from 88.99% to 89.97% represents an increase of 0.98% in the water supply facilities with functional water and sanitation committees. • There was an increase between 0 to 1%, justifying a score of one (1). 	1

Performance Reporting and Performance Improvement

4	<p>Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3</p>	<ul style="list-style-type: none"> • According to the 4th Quarter/ Annual report titled “Rukungiri District Local Government Water and Sanitation Development Grant Annual Report for 2022/2023 (no REF:)” submitted by CAO on 21st/07/2023 to MWE PS, received by MWE Central Registry and approved by MWE RWSSD on 1st/08/2023; Rukungiri DLG water department implemented (constructed/ rehabilitated) 9 WSS projects in FY 2022/2023. I sampled and visited seven (4) WSS facilities in four 	3
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(4) S/Counties and I observed/noted the following:

(i) Rehabilitation of Rugunda shallow well, Nyakingo Parish in Nyakagyeme S/County

- The facility had pathway for easy access.
- Also, the drainage channel was dirty with no soak pit.
- There was no paspalum planted and the environment was dirty
- I found the borehole functional but with a missing handle for pumping.
- I tested the minimum well yield, and on average a 20-litre jerrycan was filled in $(81+77)/2=79$ seconds approximated to $[(20/79)*3600] = 911$ litres per hour greater than 600 litres per hour reported in the standard design, technical specifications and terms of reference and thus good well yield.
- The facility serves about 100 households
- During the field visit, I found two (2) WSC C/Person-Kamunyu Nelco and two (2) community members
- According to WSC C/Person, the functional WSC comprises five (5) members including two (2) women and these were trained once in August 2022 on cleanliness, non-wastage of water (using water sparingly and cleaning drainage channel) and the facility was clean. However, the facility was very bushy and dirty without no soak pit.
- There was no payment for water by each household

• Overall, the shallow well was functioning well as reported by DWO.

(ii) Phase I: Kateramo piped water supply system Kateramo village, Kikongi parish in Bwambara S/C

- The water was an existing water sump, pump house and generator house.
- The house was well roofed with corrugated blue-iron sheets.
- The pump, generator and guard house combined was 5500mm X5100mm and 2600mm-height. The width of brick wall was 225mm. The door was 940mm x2350mm.
- Also there was a PN110mm transmission pipeline being installed at the time of field visit.

- The water sump was 10400mm X 5500mm and 3100mm deep.

- At the time of field visit, I found two members of the Scheme Management/ Project implementation Team (C/Person-Tumwobere Geoffrey and General Secretary -Tabiiti Innocent).

(iii) Construction of a 3 Stance Water-borne Toilet at Nyakanyenya P/S in Nyakanyenya village, Rwamugoma Parish in Ruhinda S/C;

- The latrine was generally constructed according to the technical design drawings with three (3) stances and a “Menstrual pad changing/shower room”.

- The facility was engraved but had no ramps on either side for people living with disabilities (PWDs)

- Width of the facility was 1830mm

- The corridor door was 950mm X 1600mm and corridor width was 1040mm.

- Thickness of the screen/ brick wall was 170mm

- Each stance was 1000mm X 1600mm and the door width was 810mm X 1600mm

- It had a screen wall (1700mm high) constructed for privacy protection of users

- The surrounding environment was well clean.

- There was two (2) existing hand-washing taps/sinks.

- Veranda was 230mm wide.

- There was an existing raised 3000 litres raised water tank

- The latrine was open at the time of visit and thus I verified the stance internal dimensions (1000mmx1600mm).

- The septic tank was 2600mm X 4700mm

- The facility served 198 girls out of 450 pupils and 11 teachers.

- According to the H/Teacher (Asiimwe Molly), the caretaker of toilet was Senior Woman Teacher-Mpumwire Agnes

- Challenge: the flushing system of toilet was causing several leakages. However, these were being rectified at the time of field visit.

• **Overall, the facility was completed and was functional as reported by the Rukungiri DWO.**

(iv) Rehabilitation of Mabanga CoU Borehole in Rugorogoro village, Mabanga Parish in Kebisoni S/County

- The facility had pathway for easy access.
- Also, the drainage channel was dirty with a blocked soak pit.
- There was no paspalum planted and the environment was dirty and bushy
- I found the borehole functional and well live-fenced with barbed wires.
- I tested the minimum well yield, and on average a 10-litre jerrycan was filled in $(54+51)/2=52.5$ seconds approximated to $[(10/52.5)*3600] = 686$ litres per hour greater than 600 litres per hour reported in the standard design, technical specifications and terms of reference and thus good well yield.
- The facility served about 40 households
- During the field visit, I found two (2) WSC C/Person-Mugabirwe Elaston-H/Teacher- Mabanga CoU P/S and Caretaker- Turinayo Richard
- According to WSC C/Person, the functional WSC comprises five (5) members including two (2) women and these were trained once in August 2022 on cleanliness, non-wastage of water (using water sparingly and cleaning drainage channel) and the facility was clean. However, the facility was very bushy and dirty without no soak pit.
- There was no payment for water by each household
- Overall, the deep borehole was functioning well as reported by DWO.
- **Therefore, on average all the four (4) WSS facilities I visited/ observed were constructed/ rehabilitated were generally functioning well, and the DWO fairly reported on them in the Annual Performance and Progress Reports of 4th Quarter in the FY 2022/2023 and therefore, justifying a score of three (3)**

improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2

collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement.

- There was evidence that Rukungiri district LG Water Office collects and compiles information on sub-county water supply and sanitation reported in the minutes of the coordination committee meetings held each quarter incorporated in the following Quarterly reports:

i. **1st Quarter Report** for FY 2022/2023 (No REF:) submitted by Rukungiri DLG CAO on 3rd/10/2022 to MWE Permanent Secretary (PS), received by the MWE Central Registry and MWE Rural Water Supply & Sanitation Department (RWSSD) on 4th/10/2022.

ii. **2nd Quarter Report** for FY 2022/2023 (No REF:) submitted by Rukungiri DLG CAO on 3rd/01/2023 to MWE Permanent Secretary (PS), received by the MWE Central Registry and MWE Rural Water Supply & Sanitation Department (RWSSD) on 4th/01/2023.

iii. **3rd Quarter Report** for FY 2022/2023 (No REF:) submitted by Rukungiri DLG CAO on 24th/04/2023 to MWE Permanent Secretary (PS), received by the MWE Central Registry and MWE Rural Water Supply & Sanitation Department (RWSSD) on 2nd/05/2023.

iv. **4th Quarter Report** for FY 2022/2023 (No REF:) submitted by Rukungiri DLG CAO on 21st/07/2023 to MWE Permanent Secretary (PS), received by the MWE Central Registry and MWE Rural Water Supply & Sanitation Department (RWSSD) on 1st/08/2023.

- The other information details on functionality of facilities and WSCs, safe water coverage, and community involvement especially in management through WSCs were also reported in the summary list attached to especially the 4th Quarter report and Form 1 for data collection for new point water sources/facilities.

- Examples of information in 4th Quarter included the following newly constructed water points in FY 2022/2023 as presented in Water Source database update forms:

i. Nangara spring in Kabalanga village, Murama Parish, Nyakishenyi S/C constructed in March 2023 serving 35 households each comprising 7 people.

• and therefore, justifying a score of two (2).

5

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0

3

• There was some evidence that Rukungiri DLG Water Office updates the MIS (WSS data) as evidenced in only 4th Quarter Report, the newly constructed facilities are reported and their details are filled in Form 1 as a data collection form for point water sources for new facilities, detailing location of the new facilities, population served, functionality of WSCs and WSS facilities, etc... These are compiled together sent to MWE for updating and the DWO downloads this information as Form 4 compilations of the updated MWE database forming the DWO MIS.

• There were also the following data update reports for District Water and Sanitation Development Grant for FY 2022/2023, submitted to MWE as listed below:

i. Submission of the 1st Quarter data (Form-4) report for FY 2022/2023 by Rukungiri DLG CAO on 3rd/10/2022 to MWE PS, by the MWE Central Registry and MWE Rural Water Supply & Sanitation Department (RWSSD) on 4th/10/2022

ii. Submission of the 2nd Quarter data (Form-4) report for FY 2022/2023 by Rukungiri DLG CAO on 3rd/01/2023 to MWE PS, by the MWE Central Registry and MWE Rural Water Supply & Sanitation Department (RWSSD) on 4th/01/2023

iii. Submission of the 3rd Quarter data (Form-4) report for FY 2022/2023 by Rukungiri DLG CAO on 24th/04/2023 to MWE PS, by the MWE Central Registry and MWE Rural Water Supply & Sanitation Department (RWSSD) on 2nd/05/2023

iv. Submission of the 4th Quarter data (Form-4) report for FY 2022/2023 by Rukungiri DLG CAO on 20th/07/2023 to MWE PS, by the MWE Central Registry and MWE Rural Water Supply & Sanitation Department (RWSSD) on 1st/08/2023

• There was a compilation for form 1s and form 4s at the LG Water Office for only the 4th Quarter and these were used by the DWO for planning purposes (plan for villages/ S/Counties based on their access/ functionality of water sources).

• Therefore, Rukungiri DLG Water Office

updated the MIS (WSS data) with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) **for all the four (4) quarters for the FY 2022/2023**

• and therefore, justifying a score of three (3)

5

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LIG assessment to develop and implement performance improvement plans: Score 2 or else 0

Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.

• According to the Rukungiri Lower Local Government Assessment Results for 2021/2022 FY; the 25% lowest performing LLGs and PIPs were therefore to be developed and implemented for them included: **Nyakishenyi S/C at 3% , Nyarushanje S/C at 5%, and Bwambara S/C at 8%.**

• There was a document titled "performance improvement plans for Indicators under water" written by DWO to CAO Rukungiri DLG on 4th/07/2022.

• Also, there was document titled "**Performance Improvement Report**" written by DWO to CAO Rukungiri DLG on 10th/04/2023.

• The following were the key action plans: Monitoring template was designed and shared for use; Water User committees have been trained by CDOs; Community requests for new water sources and rehabilitation have been submitted; updated list of water facilities at S/County are being compiled among others.

• Therefore, there was evidence that the **DWO supported the 25% lowest performing LLGs in the Previous FY 2022/2023, and thereby justifying a score of two (2).**

2

Human Resource Management and Development

6

Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff

Maximum 4 points on this performance measure

a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2

There was evidence that the District Water Officer budgeted for critical staff in the District Water Office. The sum of **UGX 38,656,924/=** had been provided for **wage** in the sector in the approved budget estimates of revenue and expenditure for FY2023/2024.

2

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>There was evidence that the District Natural Resources Officer budgeted for critical staff in the Environment and Natural Resources Office. The sum of UGX 487,634,881/= had been provided for wage in the sector in the approved budget estimates of revenue and expenditure for FY2023/2024.</p>	2
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>There was no evidence adduced to show that the DWO had appraised District Water Office staff against the agreed performance plans during the previous FY.</p> <p>Some files from the sector that the assessment team reviewed indicated the following:</p> <ol style="list-style-type: none"> 1. The Civil Engineer (Water), Twekwase Deus was not appraised. 2. The Assistant Water Officer for Mobilization, Twesigomwe John Bosco was appraised by Kamwehanga Denis, Principal Assistant Secretary on August 11, 2023. 3. The Borehole Maintenance Technician/Assistant Engineering Officer, Karuhanga Nicholas was not appraised. 4. The Natural Resources Officer , Lukwago Severino was not appraised. 5. The Environment Officer, Gumoshabe Memory was not appraised. 6. The Senior Forestry Officer, Twinomujuni Arthur was not appraised. 	0

Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.

Maximum 6 points on this performance measure

b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3

- According to the document titled **“Submission of Identified Staff Capacity Needs for Training”** submitted by DWO on 29th/06/2022 to PHRO, received by PHRO on 29th/06/2022 to; the District Water Office had identified capacity needs of staff from the performance appraisal process and ensured that training activities were conducted.

- According to the document titled **“Rukungiri DLG Vote 924-Capacity Building/ Training Plan 2022-2023”** submitted by PHRO on 21st/06/2022 to CAO; the Stenographer- Water Department was to be trained on Computer skills;

- According to the document titled **“Training Report”** written by personal secretary, District C/Person (no date); the Office Typist-water department (sanyu Anneciah) was trained on computer skills especially Microsoft excel;

- According to the document titled **“Training Report”** written by Senior Procurement Officer to CAO Rukungiri DLG on 19th/10/2022 the ADWO-Mobilization (John Bosco Twesigomwe) was trained on Procurement and Logistics Management;

- There was a document titled **“Rukungiri DLG Vote 924-List of Trained Staff under Capacity Building/ Training Plan 2022-2023”** written by PHRO on 12th/07/2023. A list of Rukungiri DLG officers were trained including the ADWO-Mobilization (John Bosco Twesigomwe)

- Therefore, the DWO submitted staff capacity needs to the PHRO for consolidation into the District Training database **and two (2) staff were trained.**

- **and therefore, justifying a score of three (3).**

Management, Monitoring and Supervision of Services.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
-
- If 100 % of the budget allocation for the current FY is allocated to S/Cs

- According to the 4th Quarter/ Annual report titled “Rukungiri District Local Government Water and Sanitation Development Grant Annual Report for 2022/2023 (no REF:)” submitted by CAO on 21st/07/2023 to MWE PS, received by MWE Central Registry and approved by MWE RWSSD on 1st/08/2023; the Safe Water Coverage (SWC) for Rukungiri DLG was **91%**.

measure

below the district average coverage: Score 3

- If 80-99%: Score 2
- If 60-79: Score 1
- If below 60 %: Score 0

• The Sub-Counties (S/Cs) with SWC below the district average: **Bugangari** S/C with SWC of 83%, **Bwambara** S/C with SWC of 77%, and **Ruhinda** S/C with SWC of 85%

• These were all to be the target S/Cs for budget allocations in the FY 2023/24.

• As per the document titled “Rukungiri District Water and Sanitation Development Conditional Grant Annual work plan for FY 2023/2024 (CR/210/28/1) submitted by CAO on 21st/07/2023 to MWE PS, received by MWE Central Registry and approved by MWE RWSSD on 1st/08/2023, the following were the budget allocations:

i. Construction of Kateramo Water Supply Project Phase II at Kateramo village, Kikongi parish in Bwambara S/C at a cost of UGX 511,249,825; which is a target of **1 out of 1 projects planned to be implemented in target S/Counties, equivalent to 100%**;

ii. Protection of three (3) medium springs at UGX 15,000,000 in Bwambara S/C (2), and Bugangari S/C (1) ; which is **3 out of 3 projects planned to be implemented in target S/Counties equivalent to 100%**.

iii. Construction of one (1) 3-stance VIP Latrine at UGX 29,574,000 at Nangara P/S in Nyakishenyi S/C; which is **0 out of 1 project planned to be implemented in target S/Counties equivalent to 0%**.

iv. Rehabilitation of three (3) shallow wells in Bugangari S/C, Buhunga S/C and Bwambara S/C at @ UGX 2,743,000 (8,229,000); which is **2 out of 3 projects planned to be implemented in target S/Counties equivalent to 66.7%**.

v. Rehabilitation of three (3) boreholes in Buyanja S/C, Nyakishenyi S/C and Nyarushanje S/C at UGX @ UGX 6,530,000 (19,590,000); which is **0 out of 3 projects planned to be implemented in target S/Counties equivalent to 0%**.

• The Budget for FY 2023/24, therefore reflects a total of **UGX 583,642,825 (A)** allocated to WSS developmental projects out of which **UGX 531,735,825 (B)** is allocated to target S/Cs.

• Therefore % of the budget allocation for FY 2023/2024 that was allocated to S/Cs below the district average coverage was **(B/A)*100 = UGX 531,735,825/ 583,642,825)*100 = 91.1% equivalent to 91%**

• This was between 80% and 99% and therefore, justifying a score of two (2)

8

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3

• There was evidence that Rukungiri DWO communicated to the LLGs their respective allocations per source to be constructed in the FY 2023/2024 as seen from the following:

• According to the 4th Quarter/ Annual report titled “Rukungiri District Local Government Water and Sanitation Development Grant Annual Report for 2022/2023 (no REF:)” submitted by CAO on 21st/07/2023 to MWE PS, received by MWE Central Registry and approved by MWE RWSSD on 1st/08/2023;

• There was a document titled “**Report for Advocacy and Meeting held at Ruhinda S/County Headquarters during FY 2022/2023**” written by Senior CDO- Mobilization to Senior Civil Engineer Rukungiri DLG on 22nd/06/2023. The meeting was attended by 42 participants.

• There were letters to the Senior Assistant Secretaries on the subject of:

• “**Rehabilitation of Boreholes**” written by Rukungiri DLG DWO on 22nd/07/2023,

• “**Construction of a 3-stance pit lined latrine**” written by Rukungiri DLG DWO on 10th/08/2023,

• “**Spring protection**” written by Rukungiri DLG DWO on 10th/08/2023,

• “**Construction of Kateramo Water Supply Project Phase III**” written by Rukungiri DLG DWO on 10th/08/2023,

• and besides a list of WSS projects was displayed on **Rukungiri DLG notice board and on 4 out of 4 noticeboards of the sampled S/Counties for field visits.**

• and therefore, justifying a score of three (3)

3

9

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)

• If 95% and above of the

• There was some evidence that Rukungiri District Water Office monitored some WSS facilities at least quarterly.

• There was a document titled “Water Source Monitoring Plan for FY 2022-2023” written by the DWO Rukungiri DLG on 4th/07/2022, 2197 WSS facilities were planned to be monitored.

0

WSS facilities monitored quarterly: score 4

• If 80-94% of the WSS facilities monitored quarterly: score 2

• If less than 80% of the WSS facilities monitored quarterly: Score 0

• There were the following quarterly monitoring reports for FY 2022/2023 that were provided by Rukungiri DWO.

• Quarterly Monitoring and Supervision Report for the **1st Quarter** FY 2022/2023 written by DWO Rukungiri DLG on 29th/9/2022 (**a total of 550 facilities were monitored**)

• Quarterly Monitoring and Supervision Report for the **2nd Quarter** FY 2022/2023 written by DWO Rukungiri DLG on 30th/12/2022. (**a total of 551 facilities were monitored**)

• The List of sources for Rural Water Supply and sanitation facilities for Rukungiri district constructed in FY 2022/2023 included the following:

i. Project 1: RUKU 924/WRKS/2022-2023/00010: Construction of Kateramo Water Supply Project Phase II at Kateramo village, Kikongi parish in Bwambara S/C at a cost of UGX 295,576,017; **Monitored, 100%**;

ii. Project 2: RUKU 924/WRKS/2022-2023/00009: Kabalanga Spring Protection at Kabalanga village, Murama parish in Muram Nakishenyi S/C at a cost of UGX 4,970,717; **Monitored, 100%**;

iii. Project 3: RUKU 924/WRKS/2022-2023/00001: Construction of Water borne toilet at Nyakanyinya village, Rwamugoma Parish in Ruhinda S/C at a cost of UGX 44,995,012; **Monitored, 100%**;

iv. Project 4: RUKU 924/WRKS/2022-2023/00013: Borehole rehabilitation in Bwambara S/C, Kebison S/C, Kebison T/C, Bugangari S/C, Nyakagyeme S/C and Rukungiri Municipality at a cost of UGX 15,397,112; **Monitored, 100%**;

• Overall, from my analysis of all the monitoring reports of WSS facilities for FY 2022/2023, I conclude that the estimated percentage of water facilities monitored at least quarterly was **$(B/A)*100=(1101/2197)*100 = 50.1\%$ equivalent to 50% that was below 80%**

• **thereby, justifying a score zero (0).**

9

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on

b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial

There was evidence that Rukungiri DWO planned and conducted all the four (4) quarterly DWSCC meetings and key issues identified from quarterly monitoring of WSS facilities were discussed:

2

this performance measure

actions incorporated in the current FY AWP. Score 2

(i) Minutes of the Rukungiri DLG **1st Quarter** District Water and Sanitation Coordination Committee Meeting held on 20th/09/2022 held at the Farmers Resource Center, attended by 22 participants: Especially under agenda item 7-“Report from Departments and Development Partners” and 8-“Discussion”

(ii) Minutes of the Rukungiri DLG **2nd Quarter** District Water and Sanitation Coordination Committee Meeting held on 20th/12/2022 held at the Farmers Resource Center, attended by 20 participants: Especially under agenda item 7-“Report from Departments and Development Partners” and 8-“Discussion”

(iii) Minutes of the Rukungiri DLG **3rd Quarter** District Water and Sanitation Coordination Committee Meeting held on 30th/03/2023 held at the Farmers Resource Center, attended by 23 participants: Especially under agenda item 7-“Report from Departments and Development Partners” and 8-“Discussion and way forward”

(iv) Minutes of the Rukungiri DLG **4th Quarter** District Water and Sanitation Coordination Committee Meeting held on 14th/07/2023 held at the Farmers Resource Center, attended by 23 participants: Especially under agenda item 7-“Report from Departments and Development Partners” and 8-“Discussion and Way Forward”

• Key Issue identified and discussed included but not limited to the following:

(i) ...CAO to write to Umbrella on the issue of water source for Nyakabingo and on mode of payment....
(Min.14/CM/2022/23).

(ii) ...DWO to write to follow up by writing a letter to ministry to harmonize the discrepancy in data specifically on the safe water coverage of Nyarushanje and Bwambara....
(Min.14/CM/2022/23).

(iii) ...Education Department to present a technical report to senior management on 5 schools per s/county with the worst sanitation.... **(Min.27/CM/2022/23).**

(iv) ...Umbrella should publicize the new rates and mode of payment....
(Min.27/CM/2022/23).

(v) ...Education Department should allocate a latrine as a priority for Kyaburere primary school....
(Min.20/CM/2022/2023).

(vi) ...National Water to solve the

Kyatoko 3 issue by providing water at the source by the end of the next quarter.... **(Min.07/CM/2022/2023).**

• therefore, justifying a score of two (2)

• There was evidence that Rukungiri district water office publicizes budget allocations for the current FY 2023/24. The list of planned projects for FY 2023/2024 was displayed on Rukungiri DLG notice board by the DWO and on **4 out 4 notice boards of sampled benefiting S/Counties**

• and therefore, justifying a score of two (2)

2

9

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2

10

Mobilization for WSS is conducted

Maximum 6 points on this performance measure

a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:

- If funds were allocated score 3
- If not score 0

🔗 The Total Non-Wage Recurrent budget for the previous FY 2022/2023 was UGX 88,420,500 (A) while the amount spend on Mobilization (Software) was UGX 35,510,800 (B).

🔗 Percentage of NWR rural water and sanitation budget allocated to mobilization = **$(B/A)*100 = (35,510,800 / 88,420,500)*100 = 40.2\%$**

🔗 This **percentage is greater than the minimum of 40%** as per sector guidelines and therefore, justifying a score of three (3).

3

10

Mobilization for WSS is conducted

Maximum 6 points on this performance measure

b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.

🔗 According to the four (4) quarterly Rukungiri District software reports, the DWO in liaison with the CDO established and trained all WSCs for the new facilities constructed in FY 2022/2023 on their roles and responsibilities on O&M for the facilities, and on hygiene indicated in the Quarterly Software Reports.

🔗 According to the document titled "Report on training of water user committees FY 2022/2023" written by SCDO-Mobilisation-Water Department to Senior Civil Engineer Rukungiri DLG on 27th/06/ 2023, there was evidence that nine (9) water User Committees (WSCs) for newly constructed/ rehabilitated water supply facilities/projects each comprising of five (5) members were trained from Keihumure Catholic Church by the staff of Rukungiri DLG Department. The training was attended by 52 members.

🔗 There was some photos of Training water user committees in in different

3

S/Counties.

🔗 For the four (4) WSS facilities sampled in four (4) S/Counties namely, I observed/noted the following:

- I sampled and visited four (4) WSS facilities in four (4) S/Counties and I observed/noted the following:

(i) Rehabilitation of Rugunda shallow well, Nyakingo Parish in Nyakagyeme S/County

- The facility serves about 100 households
- During the field visit, I found two (2) WSC C/Person-Kamunyu Nelco and two (2) community members
- According to WSC C/Person, the functional WSC comprises five (5) members including two (2) women and these were trained once in August 2022 on cleanliness, non-wastage of water (using water sparingly and cleaning drainage channel) and the facility was clean. However, the facility was very bushy and dirty without no soak pit.
- There was no payment for water by each household

(ii) Phase I: Kateramo piped water supply system Kateramo village, Kikongi parish in Bwambara S/C

- At the time of field visit, I found two members of the Scheme Management/ Project implementation Team (C/Person-Tumworobere Geoffrey and General Secretary -Tabiiti Innocent).

(iii) Construction of a 3 Stance Water-borne Toilet at Nyakanyenya P/S in Nyakanyenya village, Rwamugoma Parish in Ruhinda S/C;

- The facility served 198 girls out of 450 pupils and 11 teachers.
- According to the H/Teacher (Assimwe Molly), the caretaker of toilet was Senior Woman Teacher-Mpumire Agnes

(iv) Rehabilitation of Mabanga CoU Borehole in Rugorogoro village, Mabanga Parish in Kebisoni S/County

- The facility served about 40 households
- During the field visit, I found two (2) WSC C/Person-Mugabirwe Elaston-H/Teacher- Mabanga CoU P/S and Caretaker- Turinayo Richard
- According to WSC C/Person, the functional WSC comprises five (5)

members including two (2) women and these were trained once in August 2022 on cleanliness, non-wastage of water (using water sparingly and cleaning drainage channel) and the facility was clean. However, the facility was very bushy and dirty without no soak pit.

- There was no payment for water by each household

- **Therefore, on average all the four (4) WSS facilities I visited/ observed had functional WSCs and these were trained on their roles on O&M of WSS facilities**

- **therefore, justifying a score of three (3)**

Investment Management

11

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:

Score 4 or else 0

- There was an assets register titled **“District Asset Register for Water and Sanitation Facilities as of 30th/6/2023”** for WSS facilities for Rukungiri district Water Department signed and stamped by the Rukungiri DLG District Water Officer on 30th/6/2023.

- This was listed by S/N, Subcounty, Parish, Village, Name of Source, Type, Year of Construction, Management and Functionality.

- This was updated with the most recently entered facility constructed in 2023.

- Examples of functional and communally managed WSS facilities constructed in FY 2022/2023 and were updated in the WSS asset register included:

i. **A three (3) Stance Water borne toilet** at Nyakanyinya primary school, Rwamugoma parish in Ruhinda S/County

ii. **Kabalanga water spring, Murama** parish in Nyakishenyi S/County

- Therefore, basing on the summarized (sampled) WSS facilities and their functionality, I established that most of the WSS facilities implemented (constructed/ rehabilitated/ designed) in FY 2022/2023 were updated.

- **There was an up-to-date WSS asset register available at Rukungiri DLG Water Department and therefore, justifying a score of four (4).**

4

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDP/III) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p>	<p>For FY 2023/2024, RDLG Water and Environment Unit has the following projects:</p> <ul style="list-style-type: none"> ☑ Construction of public Toilets at Nangara PS UGX45,165,000 ☑ Bore holes rehabilitation in Kebison, Nyakagyeme and Bwambara UGX25,165,000 ☑ Construction of Kateramo GFS Phase II UGX350,000,000 ☑ Spring protection in Bwambara, Bugangari, Bukurungu T/C and Kebisoni UGX22,300,000 <p>A Desk Appraisal aims to ensure that a project meets the following requirements.</p> <ul style="list-style-type: none"> -Derived from the LG Development Plan -Consistent with sector guidelines & DDEG objectives -Financially feasible -Having costed project profiles. 	4
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<ul style="list-style-type: none"> • As per the document “Rukungiri District Water and Sanitation Development Conditional Grant Annual work plan for FY 2023/2024 (CR/210/28/1) submitted by CAO on 21st/07/2023 to MWE PS, received by MWE Central Registry and approved by MWE RWSSD on 1st/08/2023, the following were the budget allocations: <ul style="list-style-type: none"> i. Construction of Kateramo Water Supply Project Phase II at Kateramo village, Kikongi parish in Bwambara S/C at a cost of UGX 511,249,825; which is a target of 1 out of 1, equivalent to 100%; ii. Protection of three (3) medium springs at UGX 15,000,000 in Bwambara S/C (2), and Bugangari S/C (1) ; iii. Construction of one (1) 3-stance VIP Latrine at UGX 29,574,000 at Nangara P/S in Nyakishenyi S/C; iv. Rehabilitation of three (3) shallow wells in Bugangari S/C, Buhunga S/C and Bwambara S/C at @ UGX 2,743,000 (8,229,000); 	2

v. Rehabilitation of three (3) boreholes in Buyanja S/C, Nyakishenyi S/C and Nyarushanje S/C at UGX @ UGX 6,530,000 (19,590,000);

- There was evidence that the beneficiary communities applied for WSS investments for the current FY 2023/2024.

(i) **Request** for safe water (Borehole) from District Water Office by LC-I chairperson Kakirago II village in Bugangari S/County signed by 40 members ,Stamped and dated by LC-I chairperson Kakirago II village, Bugangari Parish, Bugangari S/County on 13th/12/2022.

(ii) **Request** for a well from district water office by LC-I Kikongi Trading Center village signed, Stamped and dated by LC-I Kikongi Trading Center village Kikongi parish in Bwambara sub county.

(iii) **Request** for a water point in Nyakabungo B village from district water office by LC-I Nyakabungo B village, signed, Stamped and dated by LC-I Nyakabungo B village and LC-II Kikarara Parish on 12th/9/2023 and CDO, Bwambara S/County on 13th/9/2023

(iv) **Application** for repair of Borehole from district water office by LC-I C/Person Rwakariisa village, signed by 26 members' stamped and dated by LC-I Rwakariisa village, Buhunga Parish in Buhunga S/County stamped and dated on 4th/5/2023 and by CDO Buhunga S/County on 27th/9/2023.

(v) **Request** for water pipe and construction of water well in Kigomera from district water office by LC-I C/Person Nyabubare village, signed, stamped and dated by LC-I Nyabubare village, Kahoko Parish in Nyakishenyi S/County stamped and dated on 30th/9/2023 and by CDO Nyakishenyi S/County on 4th/10/2023.

(vi) **Request** for Borehole handle from district water office by CDO Nyakishenyi S/C, signed, stamped on 10th/7/2023.

- **Therefore, at least five (5) sampled beneficiary communities applied for the budgeted WSS investments for current FY 2023/2024 and therefore, justifying a score of two (2).**

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2

For the current FY2023/2024, RDLG Water and Environment department has the following projects:

☑ Construction of public Toilets at Nangara PS UGX45,165,000

☑ Bore holes rehabilitation in Kebison, Nyakagyeme and Bwambara UGX25,165,000

☑ Construction of Kateramo GFS Phase II UGX350,000,000

☑ Spring protection in Bwambara, Bugangari, Bukurungu T/C and Kebisoni UGX22,300,000

A Field Appraisal aims to ensure that a project meets the following requirements.

-Technical feasibility

-Environmental and social acceptability requirements

Evidence with regard to field appraisals for the four (04) RDLG FY2023/2024 Water and Environment projects was provided/seen during assessment and reviewed.

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2

There **was evidence** that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents

1. Screening for environmental and social risks/ impacts for the protection of spring/sepe in Nyakabungo village was carried out on 6/7/23, signed and stamped by both SEO and DCDO, after screening the project didn't qualify for a detailed study, therefore a costed ESMP was prepared on 6/7/23 at tune of Ugx 400,000 signed and stamped by SEO and DCDO.
2. Screening for environmental and social risks/ impacts for the construction of pit lined latrine at Nangara P/s was carried on 23/6/23, signed and stamped by both SEO and DCDO, after screening the project didn't qualify for a detailed study, therefore a costed ESMP was prepared on 6/7/23 at tune of Ugx 500,000 signed and stamped by SEO and DCDO.
3. Screening for environmental and social risks/ impacts for the protection of spring at Nyakinengo village was carried on 7/7/23, signed and stamped by both SEO and DCDO, after screening the project didn't qualify for a detailed study, therefore a costed ESMP was prepared on 6/7/23 at tune of Ugx 500,000 signed and stamped by SEO and DCDO.

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>2</p> <p>There is Evidence that the water infrastructure investments were incorporated in the LG approved Procurement Plan for the previous FY (2022-23) dated 15/7/2022. The following water infrastructure investment projects among others were incorporated in the Procurement Plan:</p> <ol style="list-style-type: none"> 1. Construction of Kateramo GFS Phase II- RUKU550/WRKS/2022-2023/00010; Contract Price is UGX 295,576,017/=. 2. Construction of a 3 Stance Water Borne toilet at Nyakanyinya Primary School in Ruhinda - RUKU550/WRKS/2022-2023/00010; Contract Price is 44,975,928/=. 3. Rehabilitation of 3No. Boreholes and 3 No. Shallow Wells in Kebisoni S/C, Kebisoni T/C, Bwambara S/C, Nyakagyeme S/C, Bugangari S/C and Rukungiri Municipality- RUKU924/WRKS/2022-2023/00013; Contract Price is 15,397,112/=.
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12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>2</p> <p>There is Evidence that the water supply and public sanitation infrastructure for the previous FY (2022/23) was approved by the Contracts Committee before commencement.</p> <p>The sampled projects are listed below:</p> <ol style="list-style-type: none"> 1. Construction of Kateramo Water System Phase II- RUKU550/WRKS/2022-23/00010; approved by the Contracts Committee under Min. No. 46/09/DCC/2022/2023 in a meeting held on 22/11/2022. 2. Rehabilitation of 3No. Boreholes and 3 Shallow Wells in Kebisoni S/C, Kebisoni T/C, Bwambara S/C, Nyakagyeme S/C, Bugangari S/C and Rukungiri Municipality- RUKU924/WRKS/2022-23/00013; approved by the Contracts Committee under Min. No. 46/14/DCC/2022/2023 in a meeting held on 22/11/2022. 3. Construction of Nyakanyinya Water Borne Toilet at Rwamugoma in Ruhinda S/C- RUKU550/WRKS/2022-23/00008; approved by the Contracts Committee under Min. No. 26/01/DCC/2022/2023 in a meeting held on 17/10/2022.
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12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>There was NO evidence of proper establishment of the PITs for the Water Sector projects within the last FY (2022/2023) as per guidelines.</p>	0
	<p><i>Maximum 14 points on this performance measure</i></p>		<p>A copy of joint appointment in memo dated 4/7/2022 has the Project Manager, DCDO, Senior Environment Officer and District Labour Officer appointed by the CAO for all infrastructure projects under Water section of FY 2022/2023. However, there was no appointment of the Contract Manager and Clerk of Works by the CAO. The sampled projects are listed below:</p>	
			<ol style="list-style-type: none"> 1. Construction of Kateramo Water System Phase II 2. Rehabilitation of 3No. Boreholes and 3 Shallow Wells in Kebisoni S/C, Kebisoni T/C, Bwambara S/C, Nyakagyeme S/C, Bugangari S/C and Rukungiri Municipality 3. Construction of Nyakanyinya Water Borne Toilet at Rwamugoma in Ruhinda S/C 	
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>There was evidence that the four (4) water facilities were sampled and visited in four (4) S/Counties and presented below were constructed as per the standard Technical Designs provided by the DWO in the BOQs and Technical drawings:</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>		<p>(i) Rehabilitation of Rugunda shallow well, Nyakingo Parish in Nyakagyeme S/County</p>	
			<ul style="list-style-type: none"> • Also, the drainage channel was dirty with no soak pit. • The borehole was functional but with a missing handle for pumping. • Overall, the shallow well was functioning well as reported by DWO. 	
			<p>(ii) Phase I: Kateramo piped water supply system Kateramo village, Kikongi parish in Bwambara S/C</p>	
			<ul style="list-style-type: none"> • The pump, generator and guard house combined was 5500mm X5100mm and 2600mm-height. • The width of brick wall was 225mm. The door was 940mm x2350mm. • Also there was a PN110mm transmission pipeline being installed at the time of field visit. • The water sump was 10400mm X 5500mm and 3100mm deep. 	

(iii) Construction of a 3 Stance Water-borne Toilet at Nyakanyenya P/S in Nyakanyenya village, Rwamugoma Parish in Ruhinda S/C;

- Width of the facility was 1830mm
- The corridor door was 950mm X 1600mm and corridor width was 1040mm.
- Thickness of the screen/ brick wall was 170mm
- Each stance was 1000mm X 1600mm and the door width was 810mm X 1600mm
- It had a screen wall (1700mm high) constructed for privacy protection of users
- The surrounding environment was well clean.
- There was two (2) existing hand-washing taps/sinks.
- Veranda was 230mm wide.
- There was an existing raised 3000 litres raised water tank
- The latrine was open at the time of visit and thus I verified the stance internal dimensions (1000mmx1600mm).
- The septic tank was 2600mm X 4700mm
- **Overall, the facility was completed and was functional as reported by the Rukungiri DWO.**

(iv) Rehabilitation of Mabanga CoU Borehole in Rugorogoro village, Mabanga Parish in Kebisoni S/County

- The facility had pathway for easy access.
- There was no payment for water by each household

Overall, the deep borehole was functioning well as reported by DWO

- **Overall, all the four (4) water and sanitation facilities sampled were constructed as per the standard technical designs provided by the DWO, and therefore, justifying a score of two (2).**

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2</p>	<p>There was NO evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects. Monthly technical supervision of WSS infrastructure projects was carried out by the relevant technical officers (i.e. Contract Manager). It was noted during the assessment that two sampled projects had no site inspection reports.</p>	0
	<p><i>Maximum 14 points on this performance measure</i></p>		<p>The projects sampled include;</p> <ol style="list-style-type: none"> 1. Construction of Kateramo Water System Phase II- with Minutes of meeting dated 24/4/2023 and an inspection report dated 3/5/2023. 2. Rehabilitation of 3No. Boreholes and 3 Shallow Wells in Kebisoni S/C, Kebisoni T/C, Bwambara S/C, Nyakagyeme S/C, Bugangari S/C and Rukungiri Municipality- with Minutes of meeting dated 24/4/2023 and no inspection report. 3. Construction of Nyakanyinya Water Borne Toilet at Rwamugoma in Ruhinda S/C-with Minutes of meetings dated: 18/1/2023 and 22/2/2023 and no inspection report. 	
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts</p>	<p>Six (06) payments of RDLG FY2022/2023 Water and Environment infrastructure projects were sampled to test for certification and the timeliness of payment for works executed.</p>	0
	<p><i>Maximum 14 points on this performance measure</i></p>	<p>o If 100 % contracts paid on time: Score 2 o If not score 0</p>	<p>Payment to SBR Supply and Construction Ltd for Construction of Kateramo Water Supply Systems phase I (UGX11,294,724) – Requisition dated 13/06/2023, certified by Water Officer, CDO, Environment Officer and CAO on 13/06/2023 and payment effected on 28/06/2023 (15 days).</p> <p>Payment to Diakam Technologies Ltd for Construction of Kateramo water supply systems phase II (UGX121,149,311) – Requisition dated 23/05/2023, certified by Water Officer, CDO, Environment Officer and CAO on 08/05/2023 and payment effected on 28/06/2023 (36 days).</p> <p>Payment to Diakam Technologies Ltd for Construction of Kateramo water supply systems phase II (UGX89,369,935) – Requisition dated 13/06/2023, certified by Water Officer, CDO, Environment Officer and CAO on 12/06/2023 and payment effected on 28/06/2023 (15 days).</p> <p>Payment to Haruna and Sons Enterprises</p>	

Ltd for rehabilitation of 3 boreholes and 3 shallow wells in Kebison S/C, Kebisoni T/C, Bwambara S/C, Nyakagyeme S/C, Bugangari S/C and Rukungiri MC (UGX13,427,680) – Requisition dated 23/03/2023, certified by Water Officer, CDO, Environment Officer and CAO on 23/03/2023 and payment effected on 18/04/2023 (26 days)

Payment to Ndere Investments Ltd for construction of 3 stance water borne toilet at Nyakanyinya (UGX6,101,049) – Requisition dated 22/02/2023, certified by Water Officer, CDO, Environment Officer and CAO on 20/02/2023 and payment effected on 18/04/2023 (54 days)

Payment to Daikam Technologies Ltd for Construction of Kateramo GFS phase II (UGX59,115,204) – Requisition dated 29/12/2022, certified by Water Officer, CDO, Environment Officer and CAO on 23/01/2023 and payment effected on 20/02/2023 (52 days)

Though in all payments certification before payment was duly effected, in all the sampled projects payments were effected beyond the 14 days' time limit.

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:</p> <p>Score 2, If not score 0</p>	<p>There was evidence that Rukungiri DLG has a complete procurement file for all water infrastructure investments with all records; including: the evaluation report approved by the Contracts Committee; Works Contract; and Minutes of Contracts Committee decisions. The Project files among others included;</p> <ol style="list-style-type: none"> 1. Construction of Kateramo Water System Phase II- RUKU550/WRKS/2022-23/00010; approved by the Contracts Committee under Min. No. 46/09/DCC/2022/2023 in a meeting held on 22/11/2022. The contract was awarded on 12/12/2022.. The Contracts Committee approved the evaluation report on 22/11/2022 2. Rehabilitation of 3No. Boreholes and 3 Shallow Wells in Kebisoni S/C, Kebisoni T/C, Bwambara S/C, Nyakagyeme S/C, Bugangari S/C and Rukungiri Municipality- RUKU924/WRKS/2022-23/00013; approved by the Contracts Committee under Min. No. 46/14/DCC/2022/2023 in a meeting held on 22/11/2022. The contract was awarded on 12/12/2022. The Contracts Committee approved the evaluation report on 22/11/2022. 3. Construction of Nyakanyinya Water Borne Toilet at Rwamugoma in Ruhinda S/C- RUKU550/WRKS/2022-23/00008; approved by the Contracts Committee under Min. No. 26/01/DCC/2022/2023 in a meeting held on 17/10/2022. The contract was awarded on 30/10/2022. The Contracts Committee approved the evaluation report on 17/10/2022.
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Environment and Social Requirements

13	<p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p>	<p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p> <p>Score 3, If not score 0</p>	<p>There was evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework</p> <p>On 15/11/22, the community of Omukatoma complained to the CAO about water not extending to their village yet they were in the original plan, in the minutes dated 4/2/2023 the water officer promised to plan for the extension in the next FY.</p>
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14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>There was evidence that the DWO and the Environment Officer disseminated guidelines on water source & catchment protection and natural resource management to CDOs</p> <p>In the minutes dated 15/9/2022, under minute 2RM/2022/2023, the water source & catchment protection and natural resource management guidelines were disseminated to 22 people according to the attendance list. minutes were stamped and signed by secretary Mr. Twesigomwe John Bosco and Chairman Mr. Tweekwase Deus</p>	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p>	<p>There was evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented</p> <p>In a report dated 10/10/22, the SEO prepared the water source protection plans & natural resource management plans for WSS facilities, stating the objectives of the plan and ways for implementation</p>	3

Safeguards in the Delivery of Investments

Maximum 10 points on this performance measure

b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:

Score 3, If not score 0

• There was evidence that WSS projects were implemented on land where the LG has proof of consent as seen from the following listed requests and the accompanying land consent statements:

(i) **Consent agreement** for construction of Water borne toilet at Nyakanyinya P/S between the land owner (Nyaknyinya P/S) and Rukungiri DLG in presence of 1 witness signed and stamped by Head Teacher-Nyakanyinya P/S on 19th/06/2023.

(ii) **Consent agreement** for construction/protection of spring between the land owner (Turyamureeba Benon) and community of Kabranga village, Murama Parish, Nyakishenyi S/County in the presence of two (2) witnesses signed and stamped by LC1 C/P Kabaranga village on 8th/7/2022 and Senior Assistant Secretary Nyakishenyi S/County on 8th/7/2022.

(iii) **Land Sale agreement** for construction of Kateramo piped water Supply between the land owner (Ngabirano Venansio) of Kateramo village, Kikoni Parish, Bwambara S/C in the presence of twelve (12) witnesses signed and stamped by LC1 C/Person on 7th/09/2022

• **There was evidence that all WSS facilities budgeted for FY 2022/2023 were implemented on land where Rukungiri DLG had proof of consent , and therefore, justifying a score of three (3).**

Safeguards in the
Delivery of Investments

*Maximum 10 points on
this performance
measure*

c. Evidence that E&S
Certification forms are
completed and signed by
Environmental Officer and
CDO prior to payments of
contractor
invoices/certificates at
interim and final stages of
projects:

Score 2, If not score 0

A sample of nine (09) RDLG
FY2022/2023 investment projects was
tested to check for E&S certification of
projects before payment:

Construction of 2 classroom block at
Kakindo P/S - E&S certificate dated
02/06/2023.

Construction of 3 classroom block at
Katungu P/S - E&S certificate dated
22/05/2023.

Spring protection at Kabalanga in
Nyakishenyi S/C - E&S certificate dated
30/06/2023.

Construction of 5 stance latrine with
changing room for girls at Nyarushanje -
E&S certificate dated 10/03/2023.

Renovation of 4 classroom block at
Kakoni Phase1 - E&S certificate dated
02/03/2023.

Renovation of maternity ward at
Buhunga HCIV - E&S certificate dated
30/06/2023.

Designing, supply and installation of
micro scale irrigation system for
Rwamunahe Beatrice - E&S certificate
dated 10/03/2023

Designing, supply and installation of
micro scale irrigation system for Muhiire
Benon - E&S certificate dated
15/03/2023

Construction of 5 stance VIP latrine with
changing room for girls at Kabingo P/S -
E&S certificate dated 18/03/2022.

For all the samples tested, E&S
certification was effected in accordance
with the requirements.

Safeguards in the
Delivery of Investments

*Maximum 10 points on
this performance
measure*

d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:

Score 2, If not score 0

There **was evidence** that the CDO and environment Officers undertook monitoring to ascertain compliance with ESMPs; and provide monthly reports

1. Monitoring for the construction of a 3 stance water borne toilet at Nyakanyinya P/s was carried out on 22/11/22, signed and stamped by SEO and DCDO, monthly reports were provided for review dated 22/11/22, 22/12/22, 24/1/23, 20/2/23, 22/2/23, 22/5/23 and 10/3/23, the project started on 30/10/22 and ended on 20/3/23
2. Monitoring for the construction of a water supply system phase II at Kateremo village was carried out on 22/11/22, signed and stamped by SEO and DCDO, monthly reports were provided for review dated 22/11/22, 22/12/22, 24/1/23, 20/2/23, 22/2/23, 22/5/23 and 10/3/23, the project started on 12/12/22 and ended on 12/6/23
3. Monitoring for the construction protection spring at Kabalanga was carried out on 22/11/22, signed and stamped by SEO and DCDO, monthly reports were provided for review dated 22/11/22, 22/12/22, 24/1/23, 20/2/23, 22/2/23, 22/5/23 and 10/3/23, the project started on 14/1/23 and ended on 16/2/23

**Micro-scale
Irrigation
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>The Senior Agricultural Engineer (SAE), Mr. Alfred Ahumuza availed a document titled “cumulative data on irrigated land as at 5th July, 2023” containing data on irrigated land all the way from FY 2019/202 to 2022/2023. The data was desegregated between beneficiaries and non-beneficiaries of the microscale irrigation grant. Among the non-beneficiaries are 7acres in Nyakabungo & 20 acres in Rwakabengo funded by MWE, while under microscale irrigation program, there was Uganda Martyrs’ technical institute, Nyarushanje having 2 acres.</p>	2
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>According to the document titled “cumulative data on irrigated land as at 5th July, 2023”, in FY 2021/2022 the district had 102 acres while in FY 2022/2023 the district had 111 acres this gives a percentage increase of $[(111-102)/102] * 100 = 8.91\%$.</p>	2
2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%, score 4 • 60% - 70%, score 2 • Below 60%, score 0 	<p>The performance of RDLG in its FY2022/2023 LLG Micro scale irrigation (Production Services) assessment was 98% making it fall in the range ‘80% and above’.</p>	4

Investment
Performance: The LG
has managed the
supply and installation
of micro-scale
irrigations equipment as
per guidelines

Maximum score 6

a) Evidence that the
development component
of micro-scale irrigation
grant has been used on
eligible activities
(procurement and
installation of irrigation
equipment, including
accompanying supplier
manuals and training):
Score 2 or else score 0

BPR for financial year 2022/2023, dated
30th June 2023; availed by the SAE
indicating that Rukungiri DLG received
UGX1,242,196,967 of which
UGX931,647,725 was utilized to pay for
equipment supply and installation. The
guideline requires that the development
component is only utilized to pay for
supply and installation of irrigation
equipment. Therefore, the DLG used the
development component of the Microscale
irrigation grant on eligible activities.

Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines

Maximum score 6

b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0

For F2022/2023, Rukungiri DLG micro-irrigation program had 45 approved farmers. RDLG made the GoU funding payments for equipment in the period April to June 2023.

Evidence concerning approved farmer signing Acceptance Forms confirming that equipment was working well, before the LG made payments to the suppliers was seen and verified.

A sample of 10 approved farmers was tested:

☑ Mugabe Alex signed Acceptance Form on 22/03/2023 payment on 18/04/2023.

☑ Arinaitwe Prudence signed Acceptance Form on 25/04/2023 payment on 25/05/2023.

☑ Ankunda Irene signed Acceptance Form on 20/03/2023 payment on 25/05/2023.

☑ Musasizi Herbert signed Acceptance Form on 15/01/2023 payment on 08/02/2023.

☑ Katombozi Boaz signed Acceptance Form on 15/05/2023 payment on 15/06/2023.

☑ Bwamunahe Beatrice signed Acceptance Form on 10/03/2023 payment on 18/04/2023.

☑ Arinaitwe Richard signed Acceptance Form on 15/05/2023 payment on 15/06/2023.

☑ Ahumuza Alfred signed Acceptance Form on 15/05/2023 payment on 15/06/2023.

☑ Byabagambi Abel signed Acceptance Form on 25/05/2023 payment on 28/06/2023.

☑ Atukwasa Christopher signed Acceptance Form on 22/03/2023 payment on 18/04/2023.

RDLG approved farmers signed an Acceptance Form confirming that equipment was working well, before the LG made payments to the suppliers.

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0	45 contracts for farmers were signed in the previous FY, of these four were sampled as follows;	1
	Maximum score 6		<ol style="list-style-type: none"> 1. Design, supply and installation of microscale Irrigation system for Arinaitwe Florence, Engineer's estimate UGX21,000,000, supplier quote by Kaftech, UGX 20,476,000, variation=$[(20,476,000-21,000,000)/21,000,000]*100=-2.5\%$ 2. Sharif Tumukeki Bimbona, Ruku924/wrks/2022-2023 awarded at UGX20,800,000 against the Engineer's estimate dated 19/6/2023 against 20,800,000 from farm visit report dated 11may 2023, variation = $[(20,800,000-20,800,000)/20,800,000] *100=0\%$. 3. Kwatampora Bridger awarded at UGX 15,200,000 15,200,000 from the estimate from Irri track, variation = $[(15,200,000-15,200,000)/15,200,000] * 100=0\%$ 4. Ngabirano Eve UGX 28,252,250 Supplier quote UGX28,251,250, variation =$[(28,251,250-28,251,250)/28,251,250]*100=0\%$ 	
			From this sample, it was observed that variations in the contract prices were	
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY	45 contracts for farmers were signed in the previous FY and completed as follows;	2
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0 	<ol style="list-style-type: none"> 1. Gashirabake Christopher contract signed on 15/1/2023 and certificate of completion dated 10/5/2023 2. Nuwera Conie Ampwera contract signed on 15/1/2023 completion certificate dated 10/5/2023 3. Tindibakira Eric John contract signed on 5/1/2023 and certificate of completion dated 15/04/2023 4. Arinaitwe Florence Gino contract signed on 3/1/2023 and certificate of completion dated 17/1/2023 5. Musasizi Herbert contract signed on 3/1/2023 and certificate of completion dated 12/1/2023 6. Tumusiime Sabina contract signed on 3/1/2023 and certificate of completion dated 12/1/2023 7. Ngabirando ponsiano contract signed on 4/1/2023 and certificate of completion dated 15/2/2023 8. Ngabirano Eve contract signed on 4/1/2023 15/2/2023 9. Rwamunahe Beatrice contract signed on 9/1/2023 and certificate of completion 	

dated 10/3/2023

10. Mwambutsya Martin contract signed on 4/1/2023 and certificate of completion dated 15/2/2023

11. Kakunda Patricia contract signed on 4/1/2023 15/2/2023

12. Ahimbisibwe Justus Buzarwa contract signed on 15/2/2023 and certificate of completion dated 10/4/2023

13. Besigye Howard contract signed on 10/2/2023 15/3/2023

14. Muhire Benon contract signed on 10/2/2023 and certificate of completion dated 15/3/2023

15. Nagawa Siema contract signed on 10/12/2023 and certificate of completion dated 15/3/2023

16. Kachope Sr Gladys contract signed on 14/2/2023 and certificate of completion dated 20/3/2023

17. Ankunda Irene contract signed on 14/2/2023 20/03/2023

18. Byaruhanga Julius contract signed on 14/2/2023 and certificate of completion dated 20/03/2023

19. Tuguma Katungamo Steven contract signed on 22/2/2023 and certificate of completion dated 15/3/2023

20. Atukwasa Christopher contract signed on 2/3/2023 and certificate of completion dated 25/5/2023

21. Mugabe Alex Nathan contract signed on 9/3/2023 and certificate of completion dated 22/3/2023

22. Ndyabahika Henry contract signed on 9/3/2023 and certificate of completion dated 22/3/2023

23. Zepher Karyaija contract signed on 17/4/2023 and certificate of completion dated 30/4/2023

24. Nyesigomwe Denis contract signed on 17/4/2023 and certificate of completion dated 30/4/2023

25. Tumwebaze Dorothy Mbabazi Mugabe contract signed on 12/4/2023 and certificate of completion dated 25/4/2023

26. Bafaki James contract signed on 24/4/2023 and certificate of completion dated 15/5/2023

27. Kyomuhendo Ioy Mwebaze contract signed on 13/3/2023 and certificate of completion dated 26/4/2023

28. Mugerwa living contract signed on 13/3/2023 and certificate of completion dated 26/4/2023
29. Kamugisha Patrick contract signed on 23/3/2023 and certificate of completion dated 5/5/2023
30. Arinaitwe Prudence contract signed on 23/3/2023 and certificate of completion dated 5/5/202
31. Kwatampora Bridger contract signed on 23/3/2023 and certificate of completion dated 5/5/202
32. Kukundakwe Mildred contract signed on 25/4/2023 and certificate of completion dated 20/5/2023
33. Kivuna Christian contract signed on 25/4/2023 and certificate of completion dated 15/5/2023
34. Muhwezi Shoalla contract signed on 25/4/2023 and certificate of completion dated 15/5/2023
35. Arinaitwe Richard contract signed on 25/4/2023 and certificate of completion dated 15/5/2023
36. Katombozi Boaz contract signed on 1/4/2023 and certificate of completion dated 15/5/2023
37. Nuwagaba Godfrey contract signed on 19/4/2023 and certificate of completion dated 15/5/2023
38. Byabashaija Johnson contract signed on 25/4/2023 and certificate of completion dated 15/5/2023
39. Biryomumaisho Richard contract signed on 19/4/2023 and certificate of completion dated 15/5/2023
40. Kyarisima Herbert contract signed on 10/5/2023 and certificate of completion dated 25/5/2023
41. Aguma Jimmy Bafaki contract signed on 24/4/2023 and certificate of completion dated 30/5/2023
42. Harpe Tumuheki Bimbona contract signed on 10/5/2023 and certificate of completion dated 25/5/2023
43. Byabagambi Abel contract signed on 11/5/2023 and certificate of completion dated 25/5/2023
44. Akankiza Samson Mpiira contract signed on 23/5/2023 and certificate of completion dated 10/6/2023
45. Bakaine Michael Nkuzi contract signed on 27/5/2023 and certificate of completion dated 15/6/2023

4

Achievement of standards: The LG has met staffing and micro-scale irrigation standards

Maximum score 6

a) Evidence that the LG has recruited LLG extension workers as per staffing structure

- If 100% score 2
- If 75 – 99% score 1
- If below 75% score 0

From this, it's evident that all the **45 (100%)** contracts signed in the previous FY were completed in the same FY.

0

There was no evidence that the LG had recruited LLG extension workers as per staffing structure.

Rukungiri District Local Government had **9 Sub Counties** and **4 Town Councils**.

The approved structure provided for **six extension workers in each sub county** (Veterinary Officer, Agricultural Officer, Fisheries Officer, Assistant Animal Husbandry Officer, Assistant Agricultural Officer, and Assistant Fisheries Development Officer), and **three in each town council** (Veterinary Officer, Assistant Agricultural Officer, and Assistant Veterinary Officer). The staff list for extension workers showed that the District had recruited only **38 staff** out of the expected **66** computing to a percentage of **57.6%**.

Some of the staff substantively appointed included:

1. Natukunda Naomi, Agricultural Officer appointed by the Chief Administrative Officer in a letter dated December 18, 2015 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 204/2015 and posted to Kebisoni Sub County. Natukunda Naomi was appraised by Kamwehanga Denis, Principal Assistant Secretary on July 10, 2023.
2. Ainomugisha Levi, Assistant Animal Husbandry Officer appointed by the Chief Administrative Officer in a letter dated March 20, 2015 ref.: CR/156/2 as directed by the District Service Commission under Minute No. 70/2015 (iii) and posted to Kebisoni Sub County. Ainomugisha Levi was appraised by Asiimwe Tracy, Assistant Secretary on July 03, 2023.
3. Turyahariwe Seppy, Assistant Veterinary Officer appointed by the Chief Administrative Officer in a letter dated March 25, 2019 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 63/2019 (2) and posted to Buyanja Sub County. Turyahariwe Seppy was not appraised.
4. Mpabukire Pauson Joshua, Assistant Fisheries Officer appointed by the Chief Administrative Officer in a letter dated December 06, 2016 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 141/2016 (1) and posted to Nyarushangye Sub County. Mpabukire Pauson Joshua was not appraised.
5. Kanyesigye Plan, Assistant

- Agricultural Officer appointed by the Chief Administrative Officer in a letter dated March 27, 2018 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 28/2018 and posted to Nyakishenyi Sub County. Kanyesigye Plan was appraised by Asiimwe Tracy, Assistant Secretary on July 03, 2023.
6. Rukundo Alex, Agricultural Officer appointed by the Chief Administrative Officer in a letter dated March 20, 2015 ref.: CR/156/2 as directed by the District Service Commission under Minute No. 70/2015 (iii) and posted to Buyanja Sub County. Rukundo Alex was appraised by Ngabirano Frank, Assistant Secretary on July 30, 2023.
 7. Keishanyu Provia, Assistant Agricultural Officer appointed by the Chief Administrative Officer in a letter dated March 27, 2018 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 22/2018 (4) and posted to Buhunga Sub County. Keishanyu Provia was not appraised.
 8. Sikuhizi Emmanuel, Assistant Veterinary Officer appointed by the Chief Administrative Officer in a letter dated March 25, 2019 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 63/2019 (1) and posted to Bugangari Sub County. Sikuhizi Emmanuel was appraised by Kamwehanga Denis, Principal Assistant Secretary on July 21, 2023.
 9. Asiimwe Nelson, Assistant Agricultural Officer appointed by the Chief Administrative Officer in a letter dated June 06, 2019 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 97/2019 (1) and posted to Kebisoni Town Council. Asiimwe Nelson was appraised by Keshaha Adrine, Town Clerk on June 30, 2023.
 10. Buregyeya Ben, Assistant Agricultural Officer appointed by the Chief Administrative Officer in a letter dated March 27, 2018 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 22/2018 (1) and posted to Nyarushangye Sub County. Buregyeya Ben was not appraised.

4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF	The SAE availed a list of installations for last year and the following were randomly sampled, visited and checked for conformity to standards.	2
	Maximum score 6	• If 100% score 2 or else score 0	<ol style="list-style-type: none"> 1. A Byaruhanga Julius' far in Buyanja S/C 2. Kyarisima Herbert's farm in Eastern division 3. Sharpe Tumuheki Bimbona's farm in Ruhinda S/C <p>According MAAIF, the typical components of the irrigation system are pumping system, water transmission and storage system and water application system. Upon checking, all these installations were found to conform to MAAIF guidelines.</p>	
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the installed micro-scale irrigation systems during last FY are functional	The SAE availed a list of installations for previous FY and the following were randomly sampled, visited and test and the findings were as follows;	2
	Maximum score 6	• If 100% are functional score 2 or else score 0	<ol style="list-style-type: none"> 1. A Byaruhanga Julius' far in Buyanja S/C, the installation was up and running with all the components in place (sprinklers, drip lines, tank solar pumping equipment) 2. Kyarisima Herbert's farm in Eastern division, the installation was up and running with all components intact (Solar pump, Sprinklers, tank and drag hose) 3. Sharpe Tumuheki Bimbona's farm in ruhinda S/C, the installation was up and running with all components intact (Solar pump, Sprinklers, tank and drag hose) <p>From these sampled installations, it was concluded that all the equipment installed in the previous FY were functional.</p>	

Performance Reporting and Performance Improvement

Accuracy of reported information: The LG has reported accurate information

Maximum score 4

a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0

There was evidence that information on position of extension workers filled was accurate.

In Rukungiri District, Bugangari Sub County, Kebisoni Sub County, and Kebisoni Town Council were sampled for assessment.

In Bugangari Sub County the information on the position of extension workers filled was accurate.

The following extension workers were on the staff list of Bugangari Sub County, consistent with information on the staff list and deployment list for extension workers from HRM:

1. Buzaabo Denis, Agricultural Officer
2. Atuhaire Phionah, Assistant Agricultural Officer
3. Sikuyizi Emmanuel, Assistant Veterinary Officer

In Kebisoni Sub County the information on the position of extension workers filled was accurate.

The following extension workers were on the staff list of Kebisoni Sub County:

1. Natukunda Naomi, Agricultural Officer
2. Besigye Protaz, Assistant Agricultural Officer
3. Muhereza Fred, Assistant Veterinary Officer

In Kebisoni Town Council the information on the position of extension workers filled was accurate.

The following extension workers were on the staff list of Kebisoni Town Council:

1. Katushabe Alex, Agricultural Officer
2. Asimwe Godfrey, Assistant Animal Husbandry Officer
3. Asimwe Nelson, Assistant Agricultural Officer

5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p>	<p>The SAE availed a list of installations for previous FY and the following were randomly sampled, visited and test and the findings were as follows;</p> <ol style="list-style-type: none"> 1. A Byaruhanga Julius' far in Buyanja S/C, the installation was up and running with all the components in place (sprinklers, drip lines, tank solar pumping equipment) 2. Kyarisima Herbert's farm in Eastern division, the installation was up and running with all components intact (Solar pump, Sprinklers, tank and drag hose) 3. Sharpe Tumuheki Bimbona's farm in ruhinda S/C, the installation was up and running with all components intact (Solar pump, Sprinklers, tank and drag hose) <p>From these sampled installations, it was concluded that all the equipment installed in the previous FY were functional. Therefore, information that established irrigation equipment installed are functioning is accurate.</p>	2
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>	<p>The SAE availed the following quarterly reports;</p> <ol style="list-style-type: none"> 1. Quarter four report dated 15th July, 2023 and submitted to MAAIF on 11/08/2023 according to which EOIs were 180(131 successful), 106 farm visits prepared,95 farm visits conducted. 2. Quarter two report dated 10th January 2023 according to which EOIs submitted were 78 (57 successful), 42 farm visits prepared and 35 farm visits conducted (page 7) 3. Quarter three report dated 20th April 2023, according to which EOIs submitted were 180 (131 successful), 301 farm visits were prepared, 94 farm visits were conducted, testing of equipment at installed sites, joint monitoring with MAAIF, site inspection/supervision were conducted. 4. Quarter one report dated 15th October 2023, according to which submitted EOIs were 57, 30 EOIs with prepared farm visits, 26 farm visits conducted <p>From these, it was evident that information is collected quarterly on; newly irrigated land, functionality of irrigation equipment installed, provision of complementary services and farmer Expression of Interest (EOI).</p>	2

6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p>	<p>The SAE accessed his MIS account for review by the assessor. Upon review, it was found that the LG had up to date LLG information to MIS. According to the MIS, EOIs were 920 (758 successful) for all the 16 LLGs. Latest entries were in November 2023 i.e., Kembabazi Charity RKG/2023-06-06/F/57626(3/Nov/2023), Mbalinda Alinda Dreki, RKG/2023-07-19/M/63602(6/11/2023).</p> <p>Therefore, Rukungiri DLG has entered up to-date LLG information into MIS/Irritrack and has reached their target EOIs.</p>	1
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>c.Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0</p>	<p>The SAE availed the following quarterly reports;</p> <ol style="list-style-type: none"> 1. Quarter four report dated 15th July, 2023 and submitted to MAAIF on 11/08/2023 according to which EOIs were 180(131 successful), 106 farm visits prepared,95 farm visits conducted in all LLGs. 2. Quarter two report dated 10th January 2023 according to which EOIs submitted from all LLGs were 78 (57 successful), 42 farm visits prepared and 35 farm visits conducted in all the LLGs (page 7) 3. Quarter three report dated 20th April 2023, according to which EOIs submitted were 180 (131 successful), 301 farm visits were prepared, 94 farm visits were conducted, testing of equipment at installed sites, joint monitoring with MAAIF, site inspection/supervision were conducted. 4. Quarter one report dated 15th October 2023, according to which submitted EOIs were 57, 30 EOIs with prepared farm visits, 26 farm visits conducted in all LLGS <p>From these quarterly reports, it was noted that the contents were drawn from MIS hence Rukungiri DLG has prepared Quarterly reports using LLG information in the MIS.</p>	1

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	The DPO availed a document titled "performance improvement plan for the lowest performing Lower Local governments" prepared by the SAE, dated 30th September 2023. In the plan, the lowest performing LLGs were listed as Burungu and Bambara S/C. The reasons for the poor performance were sated as limited information on microscale irrigation program and low interest by farmers to engage in irrigation. The plan of action was to undertake awareness raising campaign at both LLG and district levels by the by the DPO, SAE and extension staff. Therefore, DLG had developed an approved Performance Improvement Plan for the lowest performing LLGs in the Microscale Irrigation program.	1
	Maximum score 6			
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	The DPO availed report on performance improvement implementation for the lowest performing LLGs dated 30th May, 2023, showing awareness raising was conducted at District level and 65 people were attracted and also that district councilors and technical staff met to review progress and disseminate guidelines as a form of awareness raising. Also, awareness raising activities were conducted at LLG levels where at least one event was conducted in each of the 16 LLGs	1
	Maximum score 6			

Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	LG performance contract was not availed for assessment	0
	Maximum score 6			
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	ii Deployed extension workers as per guidelines score 1 or else 0	Staff list was availed but neither the approved staffing norm nor budget was availed making it difficult to judge whether the deployment was per guideline.	0
	Maximum score 6			

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

Maximum score 6

b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0

There was evidence that extension workers were working in LLGs where they were deployed.

Review of the staff list, attendance registers and monitoring reports at Bugangari Sub County showed that the following LLG extension workers were actually physically working at Bugangari Sub County:

1. Buzaabo Denis, Agricultural Officer
2. Atuhair Phionah, Assistant Agricultural Officer
3. Sikuyizi Emmanuel, Assistant Veterinary Officer

These were physically working at Kebisoni Sub County:

1. Natukunda Naomi, Agricultural Officer
2. Besigye Protaz, Assistant Agricultural Officer
3. Muhereza Fred, Assistant Veterinary Officer

These were physically at Kebisoni Town Council:

1. Katushabe Alex, Agricultural Officer
2. Asimwe Godfrey, Assistant Animal Husbandry Officer
3. Asimwe Nelson, Assistant Agricultural Officer

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

Maximum score 6

c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0

There was evidence that extension workers deployment had been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board.

The staff lists including extension workers where there were any posted and their telephone contacts were prominently displayed at the Notice Boards of all the three sampled LLGs of Bugangari Sub County, Kebisoni Sub County, and Kebisoni Town Council.

Names of some of the LLG extension workers displayed included:

1. Buzaabo Denis, Agricultural Officer
2. Atuhaire Phionah, Assistant Agricultural Officer
3. Sikuyizi Emmanuel, Assistant Veterinary Officer

found at Bugangari Sub County;

1. Natukunda Naomi, Agricultural Officer
2. Besigye Protaz, Assistant Agricultural Officer
3. Muhereza Fred, Assistant Veterinary Officer

were at Kebisoni Sub County; and

1. Katushabe Alex, Agricultural Officer
2. Asimwe Godfrey, Assistant Animal Husbandry Officer
3. Asimwe Nelson, Assistant Agricultural Officer

were at Kebisoni Town Council.

Performance management: The LG has appraised, taken corrective action and trained Extension Workers

Maximum score 4

a) Evidence that the District Production Coordinator has:

i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY:
Score 1 else 0

There was no evidence that the District Production Coordinator had conducted annual performance appraisal of all extension workers against the agreed performance plans and has submitted a copy to HRO during the previous FY. Many appraisals were not done and the few that were done were conducted outside the stipulated timelines.

Some of the files reviewed indicated the following:

1. Natukunda Naomi, Agricultural Officer posted to Kebisoni Sub County was appraised by Kamwehanga Denis, Principal Assistant Secretary on **July 10, 2023.**
2. Ainomugisha Levi, Assistant Animal Husbandry Officer posted to Kebisoni Sub County was appraised by Asiimwe Tracy, Assistant Secretary on **July 03, 2023.**
3. Turyahariwe Seppy, Assistant Veterinary Officer posted to Buyanja Sub County **was not appraised.**
4. Mpabukire Pauson Joshua, Assistant Fisheries Officer posted to Nyarushangye Sub County **was not appraised.**
5. Kanyesigye Plan, Assistant Agricultural Officer posted to Nyakishenyi Sub County was appraised by Asiimwe Tracy, Assistant Secretary on **July 03, 2023.**
6. Rukundo Alex, Agricultural Officer posted to Buyanja Sub County was appraised by Ngabirano Frank, Assistant Secretary on **July 30, 2023.**
7. Keishanyu Provia, Assistant Agricultural Officer posted to Buhunga Sub County **was not appraised.**
8. Sikuhizi Emmanuel, Assistant Veterinary Officer posted to Bugangari Sub County was appraised by Kamwehanga Denis, Principal Assistant Secretary on **July 21, 2023.**
9. Asiimwe Nelson, Assistant Agricultural Officer posted to Kebisoni Town Council was appraised by Keshaha Adrine, Town Clerk on June 30, 2023.
10. Buregyeya Ben, Assistant Agricultural Officer posted to Nyarushangye Sub County **was not appraised.**

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	a) Evidence that the District Production Coordinator has; Taken corrective actions: Score 1 or else 0	There was no evidence adduced to show that the District production Coordinator had taken corrective action on the basis of the appraisals.	0
	Maximum score 4			
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	b) Evidence that: i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0	Neither a training plan nor training reports were availed. Therefore, there was no evidence that training activities were conducted in accordance with the training plans at the district level.	0
	Maximum score 4			
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	ii Evidence that training activities were documented in the training database: Score 1 or else 0	The DPO did not avail a training database at the time of assessment. Therefore, there was no evidence that training activities were documented in the training database.	0
	Maximum score 4			

Management, Monitoring and Supervision of Services.

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.	a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 - 75% capital development; and 25% complementary services): Score 2 or else 0	<p>RDLG Micro-scale irrigation program has been running for some time and for the current FY2023/2024, allocation has been made for complimentary services (awareness rising of leaders and farmers, demonstration establishment and farm visits - making 25%) and capital development (75%), making 100%.</p> <p>In the budget of FY2023/2024, the following allocations have been made:</p> <p>Capital Development is UGX670,122,567 (75%)</p> <p>Complementary services UGX223,374,189 (25%)</p> <p>(total budget UGX893,496,756 including co-funding portion UGX237,597,500)</p> <p>The allocations were made in accordance with Micro-scale irrigation grant allocation guidelines.</p>	2
	Maximum score 10			

Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum score 10

b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools):
Score 2 or else score 0

RDLG Micro-scale irrigation program has been running and for the current year FY2023/2024, allocations have been made for complimentary services in line with MAIF Guidelines.

Complementary services should include a maximum 25% on enhancing LG capacity to support irrigated agriculture (Awareness leaders and procurement) and 75% on enhancing farmer capacity (Awareness farmers, Farm visits, Irrigation demos and Farmer field schools). For RDLG, the financial breakdown was as follows:

Awareness - LG Leaders UGX33,506,128 (15%)

Procurement 22,337,419 (10%)

Awareness - Farmers UGX44,674,838 (20%)

Farm Visits UGX44,674,838 (20%)

Irrigation Demos 22,337,419 (10%)

Farmer Field Schools UGX55,843,527 (25%)

TOTAL Complementary Services UGX223,374,189.

For FY2023/2024, allocations have been made for Complementary Services in accordance with the guidelines.

Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum score 10

c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0

Co-funding is included in the FY2023/2024 as part of the above budget. For FY2023/2024, of the total budget of UGX893,496,756, the co-funding amount is UGX237,597,500 and the PBS is already reflecting this amount.

A sample of fourteen (10) RDLG farmers who signed co-funding agreements and have paid co-funding portions on their micro scale equipment was tested as follows:

Gashirabake Christopher of Buyanja S/C paid UGX14,467,960

Tindibakira Eric of Buhunga S/C paid UGX5,300,000

Musasizi Herbert of Kebisoni T/C paid UGX4,600,000

Ngabirano Posiano of Ruhinda paid UGX10,251,250

Rwamunahe Deatrine of Rukungiri MC Southern Division paid UGX5,470,000

Mwambutsya Martin od Ruhinda paid UGX8,644,500

Ahimbisibwe Justus of Nyarushanje paid UGX5,562,000

Kachope Gladys of Nyakabungo paid UGX10,000,000

Ankunda Irene of Buyanja S/C paid UGX6,778,867

Atukwasa Christopher of Kebisoni T/C paid UGX5,200,000

There was evidence that the co-funding is reflected in the LG FY2023/2024 Budget and allocations made as per laid down Micro-scale irrigation guidelines.

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>As of the day of assessment on 01/12/2023, seventy one (87) farmers had signed agreements for co-funding, confirming compliance to the co-funding rules.</p> <p>For FY2023/2024, RDLG Micro-scale total budget is UGX893,496,756 including co-funding portion UGX237,597,500.</p> <p>For each of the approved farmers, their co-funding portions followed the same procedures to ensure GoU doesn't pay more than the limit.</p>	2
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>According to DTPC minute no. Min 09/DTPC/2022/2023: "presentation and discussion of micro irrigation farmers" arising from TPC meeting held on 29th August 2022, the principal Agricultural officer, Mr. Oneck made a presentation on co-funding farmers for approval by DTPC.</p>	2

10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 Less than 70% score 0 	<p>The SAE availed the following monthly reports for support supervision and technical monitoring of irrigation sites for all the months of previous FY with the following details;</p> <ol style="list-style-type: none"> 1. July 2022 showing 7 installations monitored 2. August 2022 showing 10 installations monitored 3. September 2022 showing 12 installations monitored 4. October 2022 showing 10 installations monitored 5. November 2022 showing 10 installations monitored 6. December 2022 showing 10 installations monitored 7. January 2023 showing 8 installations monitored 8. January 2023 showing 8 installations monitored 9. February 2023 showing 12 installations monitored 10. March 2023 showing 13 installations monitored 11. April 2023 showing 12 installations monitored 12. May 2023 showing 10 installations monitored <p>Therefore, 100% of all the installations were monitored on monthly basis.</p>
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10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>The SAE Mr. Alfred Ahumuza availed a report on training of farmers on operation and Maintenance of the newly installed micro irrigation systems, dated 15th July and prepared by himself, 2023 indicating that all the 45 newly recruited farmers in all the 16 LLGs were trained on operation and maintenance of the installed systems. Therefore, it was evident that LG has overseen approved farmer training to achieve servicing and maintenance.</p>
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10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines	c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0	The SAE availed a report on training of staff and sensitization of political leaders at district and Lower Local Government levels under Microscale irrigation program FY 2022/2023 indicating that 28 extension staff were trained in modules 1 to 6a of the Irritrack, refresher trainings for extension staff and national training of trainers on Farmer Field School dated 1/7/2023.	2
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	<p>The SAE availed the following monthly reports for support supervision and technical monitoring of irrigation sites for all the months of previous FY with the following details;</p> <ol style="list-style-type: none"> 1. July 2022 showing 7 installations monitored 2. August 2022 showing 10 installations monitored 3. September 2022 showing 12 installations monitored 4. October 2022 showing 10 installations monitored 5. November 2022 showing 10 installations monitored 6. December 2022 showing 10 installations monitored 7. January 2023 showing 8 installations monitored 8. January 2023 showing 8 installations monitored 9. February 2023 showing 12 installations monitored 10. March 2023 showing 13 installations monitored 11. April 2023 showing 12 installations monitored 12. May 2023 showing 10 installations monitored <p>Therefore, 100% of all the installations were monitored on monthly basis.</p>	2
	Maximum score 8			
	Maximum score 8			

11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	The SAE availed a report on awareness raising of local leaders in microscale irrigation program for quarter 2 FY 2022/23, dated November 1st 2022 according to which it was noted that awareness raising activity took place on 21st November 2023 attracting 42 participants.	2
	Maximum score 4			

11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	The DPO availed the following documents for review; <ol style="list-style-type: none"> 1. Report on training of staff and sensitization of political leaders at district and Lower local government levels under Microscale irrigation program FY 2022/2023 showing that all extension staff and local leaders at both DLG and LLG levels were trained. 2. Certificates for 28 extension staff trained in modules 1 to 6a of the irri-track 3. Report on refresher trainings for extension staff and national training of trainers on Farmer Field School methodology dated 20th June, 2023 prepared by the SAE. <p>Therefore, the DLG conducted a training for Staff and political leaders at District and LLGs.</p>	2
	Maximum score 4			

Investment Management

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0	The SAE availed a folder labelled "Inventory of Irrigation equipment and beneficiary farmers". Upon review, the file was found to contain all the details of the supplied/installed equipment by farmer name, unique ID, contact, S/C, Parish, Village, acreage, contract value, farmer contribution, technology installed, and other details of the equipment specification and photographs attached. (For example, Bakaine Michael Nkuzi, RKG/2023-03-14/M/44956, 0783246282, Buyanja S/C, Nyabiteete Parish, Buhandagazi village, 2.5acres, shs. 2080000, famer contribution 5,200,000 and drag hose technology)	2
	Maximum score 8			

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p>	<p>The SAE accessed his MIS account for review. Upon review, it was evident that the DLG keeps up to date database of applications. The EOIs in the MIS were All the way from 2019/2020 to date. For example, Akankiisa Samson Mpiira expressed interest in 2021/2022, Biryomumaisho Richard Expressed interest in 2022/2023 while, Kembabazi Charity expressed interest in November 2023(current FY).</p> <p>Therefore, the LG keeps and up-to-date database of applications (EOIs) for the current and previous FY.</p>	2
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>Agreements to for Rwamunahe Beatrice, dated 8/11/22 (southern Division, Kigaga ward)</p> <p>Mugabe Nathan Alex, dated 15/2/2021(Kebison T/C, Northern ward), Ankunda Irene, dated 2nd August, 2022 (Buyanja S/C, nyakabungo), Arinaitwe Prudence, 17/11/2022 (Rwerere T/C, kagugu ward), Tuguma Kantungamo Stephen, 8/11/2022 (Ruhimba S/C), among others.</p>	2

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects:</p> <p>Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>There was evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they had been approved for benefits from the program.</p> <p>A document presented during assessment titled "List of supported farmers under Micro-Scale Irrigation Program" showed all the beneficiaries from the program and included the following farmers from the sampled LLGs:</p> <p>From Bugangari Sub County there was:</p> <ol style="list-style-type: none"> 1. Baryakashanga Eriabu 2. Begumanya Spriano Baguma <p>From Kebisoni Sub County there was no beneficiary.</p> <p>From Kebisoni Town Council there was:</p> <ol style="list-style-type: none"> 1. Kubihikaaho Steven 2. Nyesigomwe Dennis 3. Carthetbet Ishearahukayo 4. Atukwasa Christopher 5. Musasizi Herbert 6. Nankwasa Barambwire Ezra 7. Mugyerwa Living 8. Tukundane Cuthbert 9. Karekezi Christine Nankwasa 10. Mugabe Alex Nathan 11. Tumwebaze Dorothy Mbabazi Mugabe 12. Biryomumaisho Richard 13. Mugisha Abel 14. Muhwezi John Mugisha 15. Muhwezi Shoalla 16. Kivuna Christian 17. Mugerwa Living
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>There is evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY (2023/24) as listed below:</p> <ol style="list-style-type: none"> 1. Micro Scale Irrigation Equipment with a Budgeted cost of UGX 935,392,768/= 2. Micro Scale Irrigation Equipment with a Budgeted cost of UGX 270,000,000/=

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>There is evidence that Rukungiri DLG requested for quotations from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF).</p> <p>As per memo dated 25/5/2021 and email (attached to stated memo) from Andrew Kasibante (Engineer-MAAIF) for Commissioner, DAIMWAP, a list of pre-qualified suppliers was provided by MAAIF. As per stated memo, Rukungiri DLG was No. 35 on the distribution list of pre-qualified suppliers. The pre-qualified suppliers are listed below under Group A which includes Rukungiri District:</p> <ol style="list-style-type: none"> 1. Davis and Shirliff 2. Associated Design and Build Engineers Ltd 3. Sprinktech 4. Adritex Uganda Limited 5. Kaftech Investments Ltd <p>As per memo dated 25/10/2022, all the above-mentioned pre-qualified suppliers were invited to bid for the acquisition of Design, Supply and installation of Micro-Scale irrigation systems for 44 selected farmers in Rukungiri DLG.</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0</p>	<p>There is evidence that Rukungiri DLG concluded the selection of the irrigation equipment supplier based on the set criteria.</p> <ol style="list-style-type: none"> 1. Under Min. No. 18/03/DCC/2022/2023 of the Contracts Committee meeting held on 6/9/2022, the selection of the irrigation equipment supplier based on the set criteria was concluded. 	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0</p>	<p>There is evidence that the micro-scale irrigation systems for the previous FY (2022/23) was approved by the Contracts Committee.</p> <ol style="list-style-type: none"> 1. Under Min. No. 46/15/DCC/2022/2023 of the Contracts Committee meeting held on 22/11/2022, the micro-scale irrigation systems were approved. 	1
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of</p>	<p>There is evidence that Rukungiri DLG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation. The list of farmers is as follows:</p>	2

installation score 2 or else
0

1. Ngabirano Ponsiano- RKG/2022-RUKU924/WRKS/2022-2023/00011/32-Farmer No. 10-12/m/28205
2. Mugyerwa Living-RUKU924/WRKS/2022-2023/00011/01-Farmer No. RKG/2021-05-03/M/18023
3. Ndyabahika Henry-RUKU924/WRKS/2022-2023/00011/41-Farmer No. RKG/2020-11-03/M/14895

A. As per bid evaluation report for the meeting held on 8/11/2022 for the design, supply and installation of Micro Scale irrigation system for selected farmers in Rukungiri DLG (**for Ngabirano Ponsiano as the farmer**), 2 bidders submitted their quotations and were evaluated as listed below:

1. Sprinktech Ltd- with bid amount of UGX 35,552,500/=
2. Kaftech Investments Ltd- with bid amount of UGX 28,651,250/=

After the preliminary examination, assessment of eligibility and financial comparison, the evaluation committee recommended **Kaftech Investments Ltd** (lowest priced technically responsive bid) be awarded the contract for the design, supply and installation of Micro Scale irrigation system for **Ngabirano Ponsiano**.

B. As per the best evaluated bidder notice for the design, supply and installation of Micro Scale irrigation system for selected farmers in Rukungiri DLG (**for Mugyerwa Living as the farmer**), 2 bidders submitted their quotations and were evaluated as listed below:

1. Sprinktech Ltd- with bid amount of UGX 19,376,000/=
2. Kaftech Investments Ltd- with bid amount of UGX 19,276,750/=

After the preliminary examination, assessment of eligibility and financial comparison, the evaluation committee recommended **Kaftech Investments Ltd** (lowest priced technically responsive bid) be awarded the contract for the design, supply and installation of Micro Scale irrigation system for **Mugyerwa Living** in Rukungiri DLG.

C. As per the best evaluated bidder notice for the design, supply and installation of Micro Scale irrigation system for selected farmers in Rukungiri DLG (**for Ndyabahika Henry as the farmer**), only 1 bidder submitted a quotation as listed below:

1. Kaftech Investments Ltd- with bid amount of 19,900,000/=

After the preliminary examination, assessment of eligibility and financial

comparison, the evaluation committee recommended **Kaftech Investments Ltd** (lowest priced technically responsive bid) be awarded the contract for the design, supply and installation of Micro Scale irrigation system for **Ndyabahika Henry** in Rukungiri DLG.

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	The SAE availed a list of installations for last year and the following were randomly sampled and checked for conformity to design output sheets.	2
	Maximum score 18		<ol style="list-style-type: none">1. A Byaruhanga Julius' far in Buyanja S/C, the installation was as per the design output sheet (5000litre tank, 40m head pump)2. Kyarisima Herbert's farm in Eastern division, the installation was as per design output sheet (i.e., 10000litre tank and 40m head pump)3. Sharpe Tumuheki Bimbona's farm in Ruhinda S/C, the installation was as per design output sheet, i.e. 5000litre tank with 40m head)	
			Therefore, from the sampled installations it was evident that the installations were in line with the design output sheets.	
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0	The SAE, Mr. Alfred Ahumuza availed a quarterly report on support supervision and technical monitoring for Microscale irrigation activities for quarter four FY 2022/2023, dated 5/4/2023 and prepared by himself, for review. The report shows that site supervision during installation was conducted to ensure that works done by the contractor were to the standard as agreed and stipulated in the contract, joint monitoring with MAAIF was conducted and testing equipment for functionality in different sites before hand over to the farmers were as well conducted.	2
	Maximum score 18		Therefore, LG has overseen the irrigation equipment supply, installation and testing for functionality.	

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>h) Evidence that the LG has overseen the irrigation equipment supplier during:</p> <p>i. Testing the functionality of the installed equipment: Score 1 or else 0</p>	<p>The SAE, Mr. Alfred Ahumuza availed a quarterly report on support supervision and technical monitoring for Microscale irrigation activities for quarter four FY 2022/2023, dated 5/4/2023 and prepared by himself, for review. The report shows that site supervision during installation was conducted to ensure that works done by the contractor were to the standard as agreed and stipulated in the contract, joint monitoring with MAAIF was conducted and testing equipment for functionality in different sites before hand over to the farmers were as well conducted.</p> <p>Therefore, LG has overseen the irrigation equipment supply, installation and testing for functionality.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0</p>	<p>The SAE availed acceptance forms for Atukwatsa Christopher (22nd March, 2023), Arineitwe Richard (15th May 2023), Musasizi Herbert (15th January, 2023), Ankunda Irene (20th March, 2023), Tugume Kantungamo Stephen (15th March, 2023). All these forms were witnessed by the SAE, meaning that the DLG oversaw hand-over of the equipment to the approved farmers.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0</p>	<p>Rukungiri DLG was one of the pilot districts in the country for the Micro scale irrigation program. For FY2022/2023, the following eight (08) farmers were sampled to test the timeliness of effecting payments to suppliers of equipment. Payment to these vendors was made as follows:</p> <p>Payment to Kaftech Investments Co. Ltd for design, supply and installation of micro scale equipment for Nuwagaba G in RDLG (UGX19,291,317) – Requisition dated 10/05/2023, certified by the Senior Agricultural Engineer, Environment Officer, CDO and CAO on 15/05/2023, and payment effected on 15/05/2023 (10 days)</p> <p>Payment to Kaftech Investments Co. Ltd for design, supply and installation of micro scale equipment for Bafaki J in RDLG (UGX23,370,000) – Requisition dated 15/05/2023, certified by the Senior Senior Agricultural Engineer, Environment Officer, CDO and CAO on 15/03/2023, and payment effected on 15/06/2023 (10 days)</p> <p>Payment to Sprinktech Investments Co. Ltd for design, supply and installation of micro scale equipment for Nyesigomwe Denis in RDLG (UGX20,027,950) – Requisition dated 30/04/2023, certified by the Senior Agricultural Engineer, Environment Officer, CDO and CAO on 30/04/2023, and</p>	0

payment effected on 28/06/2023 (58 days)

Payment to Kaftech Investments Co. Ltd for design, supply and installation of micro scale equipment for Barigye E in RDLG (UGX19,135,228) – Requisition dated 15/03/2023, certified by the Senior Agricultural Engineer, Environment Officer, CDO and CAO on 04/04/2023, and payment effected on 18/04/2023 (33 days)

Payment to Sprinktech Investments Co. Ltd for design, supply and installation of micro scale equipment for Ahimbisibwe in RDLG (UGX21,136,550) – Requisition dated 20/04/2023, certified by the Senior Agricultural Engineer, Environment Officer, CDO and CAO on 28/04/2023, and payment effected on 28/06/2023 (68 days)

Payment to Kaftech Investments Co. Ltd for design, supply and installation of micro scale equipment for Loy K in RDLG (UGX12,250,250) – Requisition dated 26/04/2023, certified by the Senior Agricultural Engineer, Environment Officer, CDO and CAO on 26/04/2023, and payment effected on 25/05/2023 (29 days)

Payment to Kaftech Investments Co. Ltd for design, supply and installation of micro scale equipment for Julius B in RDLG (UGX23,444,923) – Requisition dated 20/03/2023, certified by the Senior Agricultural Engineer, Environment Officer, CDO and CAO on 20/03/2023, and payment effected on 25/05/2023 (65 days)

Payment to Kaftech Investments Co. Ltd for design, supply and installation of micro scale equipment for Akankiza in RDLG (UGX24,362,560) – Requisition dated 10/06/2023, certified by the Senior Agricultural Engineer, Environment Officer, CDO and CAO on 10/06/2023, and payment effected on 28/06/2023 (18 days)

In six (06) of the eight (08) cases, payment was made beyond the mandatory 14 days deadline.

Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines

Maximum score 18

j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0

There was evidence of complete procurement files in place for the all projects/contracts; including the Contract documents, approved Evaluation reports, memos of Bid Acceptance and Award of Contract indicating the Contracts Committee (C.C) approvals and/or minutes. These included the following:

1. **Design, Supply and Installation of Micro-Scale Irrigation System for Ndyabahika Henry- RKG/2020-11-03/M/14895-**
RUKU924/WRKS/2022/2023/00011/41; approved by the Contracts Committee under Min. No. 46/16/DCC/2022/2023 in a meeting held on 22/11/2022 after evaluation. The evaluation report was approved by Contracts Committee. The contract document was signed on 21/3/2023.
2. **Design, Supply and Installation of Micro-Scale Irrigation System for Ngabirano Ponsiano- RKG/2022-10-12/M/28205-**
RUKU924/WRKS/2022/2023/00011/32; approved by the Contracts Committee under Min. No. 46/16/DCC/2022/2023 in a meeting held on 22/11/2022 after evaluation. The evaluation report was approved by Contracts Committee. The contract document was signed on 3/1/2023.
3. **Design, Supply and Installation of Micro-Scale Irrigation System for Mugyerwa Living- RKG/2021-05-03/M/18023-**
RUKU924/WRKS/2022/2023/00011/01; approved by the Contracts Committee under Min. No. 46/16/DCC/2022/2023 in a meeting held on 22/11/2022 after evaluation. The evaluation report was approved by Contracts Committee. The contract document was signed on 19/4/2023.

Environment and Social Safeguards

Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework

Maximum score 6

a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0

There **was evidence** that the Local Government had displayed details of the nature and avenues to address grievance prominently in multiple public areas

A display dated 12/5/2021, with a title list of avenues for different types of grievances, showed the type of grievance and avenues for lodging in a complaint

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: i). Recorded score 1 or else 0 ii). Investigated score 1 or else 0 iii). Responded to score 1 or else 0 iv). Reported on in line with LG grievance redress framework score 1 or else 0	There was evidence that micro scale irrigation grievances were recorded in line with LG grievance redress framework In the log book for micro scale irrigation program, a grievance was recorded on 1/4/22 from Mr Barigye Robert about change of site by the farmer which led to change in the scope of works that were signed for by the contractor	1
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	There was evidence that micro scale irrigation grievances were investigated in line with LG grievance redress framework The implementation team together with the supplies carried out a site visit for the newly preferred site, to carryout assessment and rescoping on the works were done	1
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	There was no evidence that micro scale irrigation grievances were responded in line with LG grievance redress framework	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iv. Reported on in line with LG grievance redress framework score 1 or else 0	There was no evidence that Micro-scale irrigation grievances were reported on in line with LG grievance redress framework	0

Environment and Social Requirements

15	Safeguards in the delivery of investments Maximum score 6	a) Evidence that LGs have disseminated Micro-irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc. score 2 or else 0	The SAE availed MOUs between Rukungiri DLG and the following farmers: Rwamunahe Beatrice, MOU dated 6th Jan, 2023; Katombozi Boaz, MOU dated 2nd May, 2023; Musasizi Herbert MOU dated 5th Jan, 2023; Atukwasa Christopher, MOU dated 2nd March, 2023 and Aguma Jimmy Bafaki, MOU dated, MOU dated 16th May, 2023. This shows that micro- scale irrigation guidelines (including E & S requirements) have been issued to the beneficiary small-holder farmers.	2
15	Safeguards in the delivery of investments Maximum score 6	b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment. i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0	Environmental, Social and Climate Change screening for design, supply and installation of micro scale irrigation schemes for Sr. Gladys Kachope in Rurengye village was carried out on 29/7/2022, signed and stamped by both SEO and DCDO, A costed ESMP was prepared on 15/1/23 at tune of 800,000, However it was not incorporated into designs, BoQs, bidding and contractual documents Environmental, Social and Climate Change screening for design, supply and installation of micro scale irrigation schemes for Mr. Tumusiime Sabina in Rurengye village was carried out on 1/8/2022, signed and stamped by both SEO and DCDO, A costed ESMP was prepared on 15/1/23 at tune of 800,000, However it was not incorporated into designs, BoQs, bidding and contractual documents Environmental, Social and Climate Change screening for design, supply and installation of micro scale irrigation schemes for Mr. Ngabirano Posiano in Rwenshaka village was carried out on 12/10/2022, signed and stamped by both SEO and DCDO, A costed ESMP was prepared on 15/1/23 at tune of 800,000, However it was not incorporated into designs, BoQs, bidding and contractual documents	0

Safeguards in the delivery of investments

Maximum score 6

ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0

There **was evidence** for Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers

1. Monitoring for the design, supply and installation of micro scale irrigation schemes for Mr. Tumusiime Sabina in Rurengye village was carried on 13/4/23, signed and stamped by both the SEO and DCDO, monthly monitoring reports were provided for review dated 13/4/23, 20/5/23, 24/7/23, project started on 4/1/23 and ended on 12/1/23.
2. Monitoring for the design, supply and installation of micro scale irrigation schemes for Sr. Gladys Kachope in Rurengye village was carried on 13/4/23, signed and stamped by both the SEO and DCDO, monthly monitoring reports were provided for review dated 13/4/23, 20/5/23, 24/7/23, project started on 4/1/23 and ended on 12/1/23.
3. Monitoring for the design, supply and installation of micro scale irrigation schemes for Mr. Ngabirano Posiano in Rwenshaka village was carried on 13/4/23, signed and stamped by both the SEO and DCDO, monthly monitoring reports were provided for review dated 13/4/23, 20/5/23, 24/7/23, project started on 4/1/23 and ended on 12/1/23.

15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	<p>There was evidence that the E&S Certification forms were completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects</p> <ol style="list-style-type: none"> 1. E&S Certification form for the design, supply and installation of micro scale irrigation system for Kachope Sr Gladyce was prepared on 20/3/23, signed and stamped by both the SEO and DCDO, payments were made on 25/5/23. 2. E&S Certification form for the design, supply and installation of micro scale irrigation system for Ngabirano Posiano was prepared on 15/2/23, signed and stamped by both the SEO and DCDO, payments were made on 30/3/23. 3. E&S Certification form for the design, supply and installation of micro scale irrigation system for Tumusiime Sabina was prepared on 12/1/23, signed and stamped by both the SEO and DCDO, payments were made on 20/2/23. 	1
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	<p>There was evidence that the E&S Certification forms were completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects</p> <ol style="list-style-type: none"> 1. E&S Certification form for the design, supply and installation of micro scale irrigation system for Kachope Sr Gladyce was prepared on 20/3/23, signed and stamped by both the SEO and DCDO, payments were made on 25/5/23. 2. E&S Certification form for the design, supply and installation of micro scale irrigation system for Ngabirano Posiano was prepared on 15/2/23, signed and stamped by both the SEO and DCDO, payments were made on 30/3/23. 3. E&S Certification form for the design, supply and installation of micro scale irrigation system for Tumusiime Sabina was prepared on 12/1/23, signed and stamped by both the SEO and DCDO, payments were made on 20/2/23. 	1

Crosscutting Minimum
Conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	There was evidence that the position of Chief Finance Officer was substantively filled by Asimwe Joram appointed by the Chief Administrative Officer in a letter dated March 25, 2019 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 60/2019. Asimwe Joram was appraised by Masokoyi Swalikh Wasswa, Chief Administrative Officer on June 30, 2023.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	There was no evidence that the position of District Planner was substantively filled and there was no secondment from the line ministry.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	There was no evidence that the position of District Engineer was substantively filled and there was no secondment from the line ministry.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	There was evidence that the position of District Natural Resources Officer was substantively filled by Lukwago Severino appointed by the Chief Administrative Officer in a letter dated May 30, 2006 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 19/2006. Lukwago Severino was not appraised.	3

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>e. District Production Officer/Senior Veterinary Officer, score 3 or else 0</p>	<p>There was evidence that the position of District Production Officer was substantively filled by Oneck Pius Kwesiga appointed by the Chief Administrative Officer in a letter dated October 12, 2023 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 108/2023. Oneck Pius Kwesiga was not appraised. Masokoyi Swalikh Wasswa, Chief Administrative Officer on June 30, 2023.</p>	3
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>f. District Community Development Officer/Principal CDO, score 3 or else 0</p>	<p>There was evidence that the position of District Community Development Officer was substantively filled by Agaba Posias Nemesius appointed by the Chief Administrative Officer in a letter dated February 26, 2020 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 105/2020. Agaba Posias Nemesius was not appraised. Masokoyi Swalikh Wasswa, Chief Administrative Officer on June 12, 2023.</p>	3
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0</p>	<p>There was evidence that the position of District Commercial Officer was substantively filled by Kamanzi Ferdinand appointed by the Chief Administrative Officer in a letter dated March 01, 2023 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 021/2023. Kamanzi Ferdinand was not appraised.</p>	3
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.</p>	<p>There was evidence that the position of Senior Procurement Officer was substantively filled by Murekyezi Gordon Vons appointed by the Chief Administrative Officer in a letter dated February 25, 2011 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 04/2011. Murekyezi Gordon Vons was not appraised.</p>	2

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0</p>	<p>There was evidence that the position of Procurement Officer was substantively filled by Ahimbisibwe Richard appointed by the Chief Administrative Officer in a letter dated December 18, 2015 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 201/2015. Ahimbisibwe Richard was appraised by Kamwehanga Denis, Principal Assistant Secretary on July 10, 2023.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>i. Principal Human Resource Officer, score 2 or else 0</p>	<p>There was evidence that the position of Principal Human Resource Officer (Administration) was substantively filled by Tumwebaze Ivan appointed by the Chief Administrative Officer in a letter dated April 25, 2022 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 041/2022. Tumwebaze Ivan was appraised by Masokoyi Swalikh Wasswa, Chief Administrative Officer on June 30, 2023.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>j. A Senior Environment Officer, score 2 or else 0</p>	<p>There was evidence that the position of Senior Environment Officer was substantively filled by Mbabazi Caroline appointed by the Chief Administrative Officer in a letter dated May 25, 2018 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 48/2018. Mbabazi Caroline was not appraised.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>k. Senior Land Management Officer /Physical Planner, score 2 or else 0</p>	<p>There was evidence that the position of Senior Land Management Officer was substantively filled by Agaba Martin appointed by the Chief Administrative Officer in a letter dated March 15, 2017 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 14/2017 (1). Agaba Martin was not appraised.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>l. A Senior Accountant, score 2 or else 0</p>	<p>There was evidence that the position of Senior Accountant was substantively filled by Bataka Denis Katungwensi appointed by the Chief Administrative Officer in a letter dated June 02, 2020 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 119/2020. Bataka Denis Katungwensi was not appraised.</p>	2

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0</p>	<p>There was evidence that the position of Principal Internal Auditor was substantively filled by Aine Sarah Kamara appointed by the Chief Administrative Officer in a letter dated October 12, 2023 ref.: CR/161/1 as directed by the District Service Commission under Minute No. 110/2023. Aine Sarah Kamara was not appraised.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p>	<p>There was evidence that the position of Principal Human Resource Officer (Secretary DSC) was substantively filled by Mutungi W. K Katirimba appointed by the Chief Administrative Officer in a letter dated November 16, 2016 ref.: CR/160/2 as directed by the District Service Commission under Minute No. 89/2016 (1). Mutungi W. K Katirimba was not appraised.</p>	2
2	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG Maximum score is 15</p>	<p>a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).</p>	<p>There was evidence that the LG had recruited a Senior Assistant Secretary (Sub-Counties) and Town Clerk (Town Councils) in all LLGs.</p> <p>Rukungiri District had 9 Sub Counties and 4 Town Councils and the positions of Senior Assistant Secretary and Principal Township Officer were substantively filled as follows:</p> <ol style="list-style-type: none"> 1. Kwizeera Gershom, Senior Assistant Secretary appointed by the Chief Administrative Officer in a letter dated March 19, 2008 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 7/2008 and posted to Ruhinda Sub County. Kwizeera Gershom was appraised by Agaba Hillary, Deputy Chief Administrative Officer on July 03, 2023. 2. Kansiime Wilberforce, Senior Assistant Secretary appointed by the Chief Administrative Officer in a letter dated September 02, 2022 ref.: CR/161/1 as directed by the District Service Commission under Minute No. 101/2022 and posted to Nyakishenyi Sub County. Kansiime Wilberforce was not appraised. 3. Ankunda Emily, Senior Assistant Secretary appointed by the Chief Administrative Officer in a letter dated March 01, 2023 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 020/2023 (3) and posted to Bugangari Sub County. Ankunda Emily was not appraised. 4. Kyokutamba Pamela, Senior Assistant Secretary appointed by the 	5

Chief Administrative Officer in a letter dated March 01, 2023 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 020/2023 (4) and posted to Nyakagyeme Sub County. Kyokutamba Pamela was not appraised.

5. Nuwagaba Sarah, Senior Assistant Secretary appointed by the Chief Administrative Officer in a letter dated March 01, 2023 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 020/2023 (1) and posted to Buyanja Sub County. Nuwagaba Sarah was not appraised.
6. Himbisa Edwin, Senior Assistant Secretary appointed by the Chief Administrative Officer in a letter dated March 01, 2023 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 020/2023 (2) and posted to Kebisoni Sub County. Himbisa Edwin was appraised by Agaba Hillary, Deputy Chief Administrative Officer on July 03, 2023.
7. Tibanyendera Kamugisha Henry, Senior Assistant Secretary appointed by the Chief Administrative Officer in a letter dated June 02, 2020 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 120/2020 and posted to Nyarushanje Sub County. Tibanyendera Kamugisha Henry was not appraised.
8. Kshaaha Adrine, Principal Township Officer appointed by the Chief Administrative Officer in a letter dated March 31, 2022 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 026/2022 (1) and posted to Kebisoni Town Council. Kshaaha Adrine was not appraised.
9. Najunah Charles, Principal Township Officer appointed by the Chief Administrative Officer in a letter dated March 25, 2019 ref.: CR/161/1 as directed by the District Service Commission under Minute No. 56/2019 and posted to Rwerere Town Council. Najunah Charles was not appraised.
10. Nayebare Wilber, Principal Township Officer appointed by the Chief Administrative Officer in a letter dated March 31, 2022 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 026/2022 (2) and posted to Bikurungu Town Council. Nayebare Wilber was not appraised.
11. Kemigisha Sylvia, Principal Township Officer appointed by the Chief Administrative Officer in a

letter dated March 31, 2022 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 026/2022 (3) and posted to Buyanja Town Council Kemigisha Sylvia was not appraised.

12. Byarugaba Prosper, Senior Assistant Secretary appointed by the Chief Administrative Officer in a letter dated December 08, 2006 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 105(b)/2006 and posted to Bwambara Sub County Byarugaba Prosper was appraised by Agaba Hillary, Deputy Chief Administrative Officer on July 08, 2023.
13. Kamanzi Fatuma, Senior Assistant Secretary appointed by the Chief Administrative Officer in a letter dated December 18, 2015 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 206/2015 and posted to Buhunga Sub County Kamanzi Fatuma was not appraised.

2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

There was no evidence that the LG had recruited a Community Development Officer (Sub-Counties) and Senior Community Development Officer (Town Councils) in all LLGs.

Rukungiri District had 9 Sub Counties and 4 Town Councils and presented files to show that it had recruited to substantively fill 2 positions of Senior Community Development Officer and 6 of Community Development Officer as follows:

1. Tusingwire Esther, Community Development Officer appointed by the Chief Administrative Officer in a letter dated February 26, 2020 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 106/2020 (1) and posted to Nyakagyeme Sub County. Tusingwire Esther was appraised by Asiiimwe Tracy, Assistant Secretary on July 03, 2023.
2. Biryomumaisho Stephen, Community Development Officer appointed by the Chief Administrative Officer in a letter dated June 04, 2003 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 53/2003 and posted to Kebisoni Sub County. Biryomumaisho Stephen was not appraised.
3. Alinda Constance, Community Development Officer appointed by the Chief Administrative Officer in a letter dated November 16, 2016 ref.:

0

CR/160/2 as directed by the District Service Commission under Minute No. 90/2016 (2) and posted to Bugangari Sub County. Alinda Constance was appraised by Kamwehanga Denis, Principal Assistant Secretary on September 11, 2023.

4. Tukamuhebwa Sergius, Community Development Officer appointed by the Chief Administrative Officer in a letter dated May 24, 2021 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 078/2021 and posted to Bwambara Sub County. Tukamuhebwa Sergius was appraised by Kamwehanga Denis, Principal Assistant Secretary on September 11, 2023.
5. Mugabe Lawrence, Community Development Officer appointed by the Chief Administrative Officer in a letter dated February 26, 2020 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 106/2020 (2) and posted to Buhunga Sub County. Mugabe Lawrence was appraised by Asiimwe Tracy, Assistant Secretary on August 02, 2023.
6. Taremwa Roland Mathew, Community Development Officer appointed by the Chief Administrative Officer in a letter dated May 24, 2021 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 079/2021 and posted to Nyarushanje Sub County. Taremwa Roland Mathew was appraised by Kamwehanga Denis, Principal Assistant Secretary on September 11, 2023.
7. Byamukama Jackson, Senior Community Development Officer appointed by the Chief Administrative Officer in a letter dated March 25, 2019 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 61/2019 (1) and posted to Kebisoni Town Council. Byamukama Jackson was appraised by Kamwehanga Denis, Principal Assistant Secretary on September 11, 2023.
8. Tushemereirwe Alice Honest, Senior Community Development Officer appointed by the Chief Administrative Officer in a letter dated March 25, 2019 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 61/2019 (2) and posted to Buyanja Town Council. Tushemereirwe Alice Honest was appraised by Kamwehanga Denis, Principal Assistant Secretary on August 02,

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

There was no evidence that the LG had recruited an accounts staff in all LLGs.

Rukungiri District had 9 Sub Counties and 4 Town Councils and presented files during assessment to show that it had recruited to substantively fill 10 positions of accounts assistant, assistant accountant, senior accounts assistant, senior assistant accountant, accountant, treasurer and senior treasurer as follows:

1. Tashobya Norbert, Assistant Accountant appointed by the Chief Administrative Officer in a letter dated February 25, 2020 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 103/2020 (4) and posted to Bikurungu Town Council. Tashobya Norbert was appraised by Najunah Charles, Town Clerk on July 06, 2023.
2. Naatweta Kate, Senior Assistant Accountant appointed by the Chief Administrative Officer in a letter dated March 25, 2019 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 65/2019 (3) and posted to Buyanja Sub County Naatweta Kate was not appraised.
3. Anyerekire Hilda, Assistant Accountant appointed by the Chief Administrative Officer in a letter dated February 26, 2020 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 103/2020 (2) and posted to Nyakishenyi Sub County. Anyerekire Hilda was appraised by Asimwe Tracy, Assistant Secretary on July 03, 2023.
4. Buhungiro Hans Betegyeka, Senior Accounts Assistant appointed by the Chief Administrative Officer in a letter dated April 25, 2014 ref.: CR/D/11617 as directed by the District Service Commission under Minute No. 28(1)/2014 and posted to Nyakagyeme Sub County. Buhungiro Hans Betegyeka was appraised by Asimwe Tracy, Assistant Secretary on August 02, 2023.
5. Musiimenta Wilson , Accounts Assistant appointed by the Chief Administrative Officer in a letter dated November 02, 2004 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 111/2004 and posted to Kebisoni Sub County. Musiimenta W i l s o n was appraised by Kamwehanga Denis, Principal Assistant Secretary on August 24, 2023.

6. Tusiime Sarah Viorah, Accounts Assistant appointed by the Chief Administrative Officer in a letter dated March 09, 2022 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 020/2022 (1) and posted to Ruhinda Sub County. Tusiime Sarah Viorah was appraised by Asimwe Tracy, Assistant Secretary on July 08, 2023.
7. Kabasinguzi Enid, Accounts Assistant appointed by the Chief Administrative Officer in a letter dated February 10, 2004 ref.: CR/157/1 as directed by the District Service Commission under Minute No. 43/2004 and posted to Nyarushanje Sub County. Kabasinguzi Enid was appraised by Kamwehanga Denis, Principal Assistant Secretary on August 03, 2023.
8. Ampeire Laban, Assistant Accountant appointed by the Chief Administrative Officer in a letter dated September 02, 2022 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 100/2022 and posted to Bwambara Sub County. Ampeire Laban was appraised by Asimwe Tracy, Assistant Secretary on August 02, 2023.
9. Muhimbise Grace, Assistant Accountant appointed by the Chief Administrative Officer in a letter dated March 09, 2022 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 020/2022 (2) and posted to Buhunga Sub County. Muhimbise Grace was appraised by Asimwe Tracy, Assistant Secretary on August 02, 2023.
10. Twinomujuni Frankline, Senior Treasurer appointed by the Chief Administrative Officer in a letter dated August 05, 2020 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 157/2020 (1) and posted to Rwerere Town Council. Twinomujuni Frankline was not appraised.

Environment and Social Requirements

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	For RDLG Natural Resources what was budgeted for FY2022/2023 was UGX539,892,919. What was spent according to the report of the year ended 30 June 2023 was UGX510,793,241. The ratio of the expenditure to the budgeted funds was 94.6%, which was less than 100%.	0
	Maximum score is 4	a. Natural Resources department, score 2 or else 0		
3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	For RDLG Community Based Services what was budgeted for FY2022/2023 was UGX554,559,606. What was spent according to the report of the year ended 30 June 2023 was UGX454,065,037. The ratio of the expenditure to the budgeted funds was 81.8%, which was way less than 100%.	0
	Maximum score is 4	b. Community Based Services department. score 2 or else 0.		
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0	There was evidence that the LG had carried out Environmental, Social and Climate Change screening for all projects implemented using the DDEG for the previous FY 1. Environmental, Social and Climate Change screening for the construction of a 2 classroom block at Kakindo P/s was carried out on 7/7/2022, signed and stamped by both the SEO and DCDO. 2. Environmental, Social and Climate Change screening for the construction of a a lined 5 stance VIP latrine with a changing room for girls at Rwentuha P/s was carried out on 18/7/2022, signed and stamped by both the SEO and DCDO.	4
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG), score 4 or 0	The projects implemented using the DDEG (i.e. construction of a 2 classroom block at Kakindo P/s was carried out on 15/10/2022, construction of a a lined 5 stance VIP latrine with a changing room for girls at Rwentuha P/s was carried out on 15/10/2022) implemented by the district in the previous FY, were screened by EO and DCDO, however after screening the projects didn't qualify for detailed study (ESIA) according to NEA 2019 under schedule 4 and 5, therefore costed ESMPs were prepared.	4
	Maximum score is 12			

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;

score 4 or 0

There **was evidence** that the LG had a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG)

1. Environmental, Social and Climate Change screening for the construction of a 2 classroom block at Kakindo P/s was carried out on 15/10/2022, signed and stamped by both the SEO and DCDO. After screening the project didn't qualify for a detailed study, therefore a costed ESMP was prepared on 15/10/22 at a tune of Ugx 970,000 signed and stamped by both the SEO and DCDO.
2. Environmental, Social and Climate Change screening for the construction of a a lined 5 stance VIP latrine with a changing room for girls at Rwentuha P/s was carried out on 15/10/2022, signed and stamped by both the SEO and DCDO. After screening the project didn't qualify for a detailed study, therefore a costed ESMP was prepared on 15/10/22 at a tune of Ugx 950,000 signed and stamped by both the SEO and DCDO.

Financial management and reporting

5

Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.

Maximum score is 10

If a LG has a clean audit opinion, score 10;

If a LG has a qualified audit opinion, score 5

If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0

Rukungiri DLG had an unqualified audit opinion for its FY2022/2023 final accounts.

10

6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p>	<p>No evidence was availed/seen as to the LG providing information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous FY but one by end of February of the previous FY.</p> <p>No submission to the IAG was made before the previous FY February end deadline.</p>	0
	maximum score is 10	score 10 or else 0.		
7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p>	<p>According to the MoFPED inventory of submissions and records at the DLG, Rukungiri DLG Performance Contract for FY 2023/2024, signed by the Accounting Officer (CAO) was submitted on 16/06/2023.</p>	4
	Maximum Score 4	score 4 or else 0.	<p>This was before the mandatory August 31st deadline.</p>	
8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p>	<p>According to the MoFPED inventory of submissions and records at the DLG, Rukungiri DLG Annual Performance Report for FY 2022/2023, signed by the Accounting Officer (CAO) was submitted on 24/07/2023.</p>	4
	maximum score 4 or else 0	score 4 or else 0.	<p>The submission was made before the mandatory August 31 deadline.</p>	
9	<p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p>	<p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p>	<p>According to the MoFPED inventory of submissions and records at the DLG, Rukungiri DLG Quarterly Performance Reports for FY 2022/2023, signed by the Accounting Officer (CAO) were submitted as follows:</p>	4
	Maximum score is 4	score 4 or else 0.	<p>Quarter 1 report on 14/12/2022</p> <p>Quarter 2 report on 16/02/2023</p> <p>Quarter 3 report on 26/04/2023</p> <p>Quarter 4 report on 24/07/2023</p>	
			<p>All the quarterly performance reports for FY2022/2023 were submitted before the mandatory August 31 deadline.</p>	

**Education Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	There was evidence that the position of District Education Officer was substantively filled by Turyahumura Jackson appointed by the Chief Administrative Officer in a letter dated May 24, 2021 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 077/2021. Turyahumura Jackson was not appraised.	30
	<i>The Maximum Score of 70</i>			
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	b) All District/Municipal Inspector of Schools, score 40 or else 0.	<p>There was evidence that the LG had substantively filled all positions of Inspector of Schools.</p> <p>Rukungiri District had a position of Principal Inspector of Schools and 8 Inspectors of Schools and all these positions had been substantively filled as follows:</p> <ol style="list-style-type: none"> 1. There was evidence that the position of Principal Inspector of Schools was substantively filled by Tushemereirwe Lydia appointed by the Chief Administrative Officer in a letter dated September 02, 2022 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 098/2022. Tushemereirwe Lydia was appraised by Ngabirano Frank, Assistant Secretary on December 12, 2022. 2. There was evidence that the position of Inspector of Schools was substantively filled by Gumisiriza Keisheki Aida appointed by the Chief Administrative Officer in a letter dated March 12, 2015 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 56/2015 (2). Gumisiriza Keisheki Aida was appraised by Kamwehanga Denis, Principal Assistant Secretary on June 30, 2023. 3. There was evidence that the position of Inspector of Schools was substantively filled by Amperiize Anthony appointed by the Chief Administrative Officer in a letter dated May 11, 2022 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 050/2022 (1). Amperiize Anthony was not appraised. 4. There was evidence that the position of Inspector of Schools was substantively filled by Mutungi Able appointed by the Chief Administrative Officer in a letter dated May 11, 2022 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 050/2022 	40
	<i>The Maximum Score of 70</i>			

- (3). Mutungi Able was not appraised.
5. There was evidence that the position of Inspector of Schools was substantively filled by Mpirirwe Jackline appointed by the Chief Administrative Officer in a letter dated May 11, 2022 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 050/2022 (7). Mpirirwe Jackline was not appraised.
 6. There was evidence that the position of Inspector of Schools was substantively filled by Arineitwe Solomon appointed by the Chief Administrative Officer in a letter dated May 11, 2022 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 050/2022 (2). Arineitwe Solomon was not appraised.
 7. There was evidence that the position of Inspector of Schools was substantively filled by Muzoora Bruce appointed by the Chief Administrative Officer in a letter dated May 11, 2022 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 050/2022 (4). Muzoora Bruce was not appraised.
 8. There was evidence that the position of Inspector of Schools was substantively filled by Nuwamanya Topher appointed by the Chief Administrative Officer in a letter dated May 11, 2022 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 050/2022 (5). Nuwamanya Topher was not appraised.
 9. There was evidence that the position of Inspector of Schools was substantively filled by Nyesigire Amos appointed by the Chief Administrative Officer in a letter dated May 11, 2022 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 050/2022 (6). Nyesigire Amos was not appraised.

Environment and Social Requirements

2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

There **was evidence** that the LG carried out Environmental, Social and Climate Change screening for all Education projects for the previous FY

1. Environmental, Social and Climate Change screening for the construction of 2 school facilities at Kasheshe P/s was carried out on 18/7/2022, signed and stamped by both SEO and DCDO.
2. Environmental, Social and Climate Change screening for the construction of 2 school facilities at Nyamuhuku P/s was carried out on 13/7/2022, signed and stamped by both SEO and DCDO.
3. Environmental, Social and Climate Change screening for the construction of a 3 classroom block at Katungu P/s was carried out on 18/7/2022, signed and stamped by both SEO and DCDO.

The Maximum score is 30

15

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out:
Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:
b. Social Impact Assessments (ESIAs) , score 15 or else 0.

Education projects i.e. (construction of 2 school facilities at Kasheshe P/s was carried out on 18/7/2022, construction of 2 school facilities at Nyamuhuku P/s was carried out on 13/7/2022, construction of a 3 classroom block at Katungu P/s was carried out on 18/7/2022), that were implemented by the district in the previous FY, were screened by SEO and DCDO, however after screening all projects didn't qualify for detailed study (ESIA) according to NEA 2019 under schedule 4 and 5, therefore costed ESMP was prepared.

The Maximum score is
30

**Health Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.	a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.	There was evidence that the position of District Health Officer was substantively filled b y Mucunguzi Akasiima appointed by the Chief Administrative Officer in a letter dated June 30, 2017 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 81/2017 (1). Mucunguzi Akasiima was not appraised.	10
	<i>Applicable to Districts only.</i>			
	<i>Maximum score is 70</i>			
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.	b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0	There was evidence that the position of Assistant District Health Officer Maternal, Child Health and Nursing was substantively filled by Kyomuhangi Christine Kakuru appointed by the Chief Administrative Officer in a letter dated February 26, 2020 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 107/2020. Kyomuhangi Christine Kakuru was appraised by Mucunguzi Akasiima, District Health Officer on August 04, 2023.	10
	<i>Applicable to Districts only.</i>			
	<i>Maximum score is 70</i>			
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.	c. Assistant District Health Officer Environmental Health, score 10 or else 0.	There was evidence that the position of Assistant District Health Officer Environmental Health was substantively filled b y Msengiyumva Confidence Imanishimwe appointed by the Chief Administrative Officer in a letter dated November 17, 2021 ref.: CR/161/1 as directed by the District Service Commission under Minute No. 181/2021. Msengiyumva Confidence Imanishimwe was appraised by Mucunguzi Akasiima, District Health Officer on July 24, 2023.	10
	<i>Applicable to Districts only.</i>			
	<i>Maximum score is 70</i>			
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.	d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.	There was no evidence that the position of Senior Environmental Health Officer was substantively filled and there was no secondment from the line ministry.	0
	<i>Applicable to Districts only.</i>			
	<i>Maximum score is 70</i>			

1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>e. Senior Health Educator, score 10 or else 0.</p>	<p>There was no evidence that the position of Senior Health Educator was substantively filled and there was no secondment from the line ministry.</p>	0
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>There was evidence that the position of Biostatistician was substantively filled by Niwomuhwezi Judith appointed by the Chief Administrative Officer in a letter dated Novembr 30, 2010 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 72/2010. Niwomuhwezi Judith was appraised by Asiimwe Tracy, Assistant Secretary on August 02, 2023.</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>There was evidence that the position of District Cold Chain Technician was substantively filled by Nshemereirwe Gard appointed by the Chief Administrative Officer in a letter dated May 30, 2006 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 25/2006. Nshemereirwe Gard was not appraised.</p>	10
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>i. Principal Health Inspector, score 20 or else 0.</p>		

- 1 New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.
- j. Health Educator, score 20 or else 0

Applicable to MCs only.

Maximum score is 70

Environment and Social Requirements

- 2 Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)
- If the LG carried out:
- a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.
- There **was evidence** that the LG carried out Environmental, Social and Climate Change screening for all Health projects for the current FY
1. Environmental, Social and Climate Change screening for the expansion of a maternity ward at Bugangari HC IV was carried out on 22/6/23, signed and stamped by SEO and DCDO.
 2. Environmental, Social and Climate Change screening for the face-lifting of Kyaburere HC II was carried out on 22/6/23, signed and stamped by SEO and DCDO.
 3. Environmental, Social and Climate Change screening for the completion of staff house at Buyanja HC III was carried out on 19/6/23, signed and stamped by SEO and DCDO.
- Maximum score is 30
- 2 Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)
- b. Social Impact Assessments (ESIAs) , score 15 or else 0.
- Health projects** i.e. (screening for expansion of a maternity ward at Bugangani HC IV was carried out on 22/6/23, face-lifting of Kyaburere HC II, completion of staff house at Buyanja HC III was carried out on 19/6/23), that were implemented by the district in the current FY, were screened by SEO and DCDO, however after screening all projects didn't qualify for detailed study (ESIA) according to NEA 2019 under schedule 4 and 5, therefore costed ESMP was prepared.
- Maximum score is 30

15

15

**Micro-scale
Irrigation Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p>	<p>If the LG has recruited;</p> <p>a. the Senior Agriculture Engineer</p> <p><i>score 70 or else 0.</i></p>	<p>There was evidence that the position of Senior Agriculture Engineer was substantively filled by Ahumuza Alfred appointed by the Chief Administrative Officer in a letter dated March 19, 2021 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 040/2021. Ahumuza Alfred was appraised by Kamwehanga Denis, Principal Assistant Secretary on September 11, 2023.</p>	70
Environment and Social Requirements				
2	<p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p>	<p>If the LG:</p> <p>Carried out Environmental, Social and Climate Change screening</p> <p><i>score 30 or else 0.</i></p>	<p>There was evidence that the LG carried out Environmental, Social and Climate Change screening for all micro-scale irrigation projects</p> <ol style="list-style-type: none"> 1. Environmental, Social and Climate Change screening for the design, supply and installation of micro scale irrigation schemes for Sr. Gladyce Kachope in Rurengye village was carried out on 29/7/2022, signed and stamped by both SEO and DCDO. 2. Environmental, Social and Climate Change screening for the design, supply and installation of micro scale irrigation schemes for Mr. Tumusiime Sabina in Rurengye village was carried out on 1/8/2022, signed and stamped by both SEO and DCDO. 3. Environmental, Social and Climate Change screening for the design, supply and installation of micro scale irrigation schemes for Mr. Ngabirano Posiano in Rwenshaka village was carried out on 12/10/2022, signed and stamped by both SEO and DCDO. 	30

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	There was evidence that the position of Civil Engineer (Water) was substantively filled by Tweekwase Deus appointed by the Chief Administrative Officer in a letter dated September 23, 2009 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 27/2009. Tweekwase Deus was not appraised.	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	There was evidence that the position of Assistant Water Officer for Mobilization was substantively filled by Twesigomwe John Bosco assigned by the Chief Administrative Officer in a letter dated April 12, 2022 ref.: CR/156/2. Twesigomwe John Bosco was appraised by Kamwehanga Denis, Principal Assistant Secretary on August 11, 2023.	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	There was evidence that the position of Borehole Maintenance Technician/Assistant Engineering Officer was substantively filled by Karuhanga Nicholas appointed by the Chief Administrative Officer in a letter dated June 06, 2019 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 92/2019. Karuhanga Nicholas was not appraised.	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	There was evidence that the position of Natural Resources Officer was substantively filled by Lukwago Severino appointed by the Chief Administrative Officer in a letter dated May 30, 2006 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 19/2006. Lukwago Severino was not appraised.	15

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	There was evidence that the position of Environment Officer was substantively filled by Gumoshabe Memory appointed by the Chief Administrative Officer in a letter dated March 31, 2022 ref.: CR/156/1 as directed by the District Service Commission under Minute No. 028/2022. Gumoshabe Memory was not appraised.	10
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1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	f. Forestry Officer, score 10 or else 0.	There was evidence that the position of Senior Forestry Officer was substantively filled by Twinomujuni Arthur appointed by the Chief Administrative Officer in a letter dated December 18, 2015 ref.: CR/160/1 as directed by the District Service Commission under Minute No. 202/2015. Twinomujuni Arthur was not appraised.	10
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Environment and Social Requirements

2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	If the LG: a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.	There was evidence that the LG carried out Environmental, Social and Climate Change screening for all water infrastructure projects for the previous FY, <ol style="list-style-type: none"> 1. Environmental, Social and Climate Change screening for the construction of protected spring in Kabaranga village was carried out on 22/8/22, signed and stamped by both SEO and DCDO. 2. Environmental, Social and Climate Change screening for the construction of supply system at Kateramo village was carried out on 23/8/22, signed and stamped by both SEO and DCDO. 3. Environmental, Social and Climate Change screening for the construction of water closet toilet at Nyakanyinya P/s was carried out on 23/8/22, signed and stamped by both SEO and DCDO. 	10
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2	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects</p>	<p>b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.</p>	<p>The water projects i.e. (construction of protected spring in Kabaranga village was carried out on 22/8/22, construction of supply system at Kateramo village was carried out on 23/8/22, construction of water closet toilet at Nyakanyinya P/s was carried out on 23/8/22) implemented by the district in the previous FY, were screened by SEO and DCDO. however after screening all projects didn't qualify for detailed study (ESIA) according to NEA 2019 under schedule 4 and 5, therefore costed ESMP was prepared.</p>	10
2	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects</p>	<p>c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.</p>	<ul style="list-style-type: none"> • According to Rukungiri DWO, at the end of FY 2022/2023, there was 2 operational piped water supply systems including: Bugarama GFS in Nyakagyeme S/C with average yield of 1.5 L/s and Omukatooma GFS in Nyakishenyi S/C with an average yield of 1.1 L/s constructed in 2021. • However, Rukungiri DLG Water department had not acquired water abstraction permits for all piped water supply systems. • Therefore, Rukungiri DLG specifically the Water Department did not get water abstraction permit issued by DWRM thereby justifying a score zero (0) 	0