



LGMSD 2022/23

Pakwach District

(Vote Code: 618)

Assessment	Scores
Crosscutting Minimum Conditions	81%
Education Minimum Conditions	100%
Health Minimum Conditions	70%
Water & Environment Minimum Conditions	85%
Micro-scale Irrigation Minimum Conditions	100%
Crosscutting Performance Measures	74%
Educational Performance Measures	76%
Health Performance Measures	67%
Water & Environment Performance Measures	93%
Micro-scale Irrigation Performance Measures	64%

**Crosscutting
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): If so: Score 4 or else 0 	<p>The LG utilized the DDEG funding on the construction of a vehicle shade at the district health office and construction of the main office block. vehicle shade at the district health office was fully functional and utilized and motorcycles had parked under the shade at the point of time the assessment was conducted. The main office block was not yet in use due to the fact that the block was still work in progress but the planned phase was complete. A review on the budget performance report also indicated under item 263303 on page 62 that the approved DDEG budget was UGX53,548,000 and all the amount was spent at 100%.</p>	4
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> By more than 5%, score 3 1 to 5% increase, score 2 If no increase, score 0 <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>The average score in the overall LLG performance assessment in 2022 was 80% and 84% in 2023 which registered an increase of 4%. Therefore 1 to 5% increase.</p>	2
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> If 100% the projects were completed : Score 3 If 80-99%: Score 2 If below 80%: 0 	<p>From page 62 of the Annual Budget Performance report, the approved DDEG budget under vote 918 was UGX53,548,000 and the amount spent was UGX53,548,000 which resonates to 100%. The projects undertaken using DDEG grant included the construction of a vehicle shade and construction of the main office block. The prioritized investmentes were 2 and all were complete. Therefore $2/2 \times 100 = 100\%$.</p>	3

3	Investment Performance Maximum 4 points on this performance measure	a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines: Score 2 or else score 0.	The total DDEG funds received FY 2022/23 UGX53,548,000 as indicated on page 62 of the ABPR and all was spent on two planned projects within the guidelines as indicated below; 1. construction of a vehicle shade 2. construction of the main office block. All these projects are provided for on page 12 table 7 on the DDEG guidelines under a positive list of the administration line which covers for construction, rehabilitation and furnishing of government offices. Therefore, the DDEG guidelines eligibility was followed.	2
3	Investment Performance Maximum 4 points on this performance measure	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/- 20% of the LG Engineers estimates, score 2 or else score 0	The variation in the contract price and Engineers' estimates of the sampled DDEG projects were as follows; 1. Construction of the main office block at Ugx 398,023,760 against a budget of Ugx 400,000,000 implying a variation of -0.49% 2. The construction of a vehicle shade at Ugx 398,023,760 against a budget of Ugx 400,000,000 implying a variation of -0.49%	2

Performance Reporting and Performance Improvement

4	Accuracy of reported information Maximum 4 points on this Performance Measure	a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate, score 2 or else score 0	There was evidence that the information on the positions filled in LLGs as per minimum staffing standards was accurate. Three LLGs were visited, and the staff were in place. The the positions at the LLGs were filled according to the minimum standards with 14 staff, 17 staff and 24 staff respectively for the visited LLGs.	2
4	Accuracy of reported information Maximum 4 points on this Performance Measure	b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG: • If 100 % in place: Score 2, else score 0. Note: if there are no reports produced to review: Score 0	All the DDEG infrastructure were in place as per the reports produced. Page 62 of the annual budget performance report indicates 100% utilization of the approved budget = UGX53,548,000 on construction of a vehicle shade at the district health office and construction of the main office block which both the infrastructures are in place at the district as evidence and seen through site visits.	2

5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> <p>score 4 or else 0</p> <p>NB: The Source is the OPAMS Data Generated by OPM.</p>	<p>The sampled LLGs scores from the District internal assessment and the LLG IVA were compared as indicated below;</p> <table border="0" style="margin-left: 40px;"> <thead> <tr> <th></th> <th>DLG</th> <th>IVA</th> </tr> </thead> <tbody> <tr> <td>Panyimur T/S</td> <td>81</td> <td>70</td> </tr> <tr> <td>Ragem S/C</td> <td>82</td> <td>67</td> </tr> <tr> <td>Dei S/C</td> <td>81</td> <td>38</td> </tr> <tr> <td>Waden S/C</td> <td>93</td> <td>67</td> </tr> </tbody> </table> <p>Using a performance scoring range of +/-10 for the credible assessment, one can infer that the Pakwach internal assessment was not credible.</p>		DLG	IVA	Panyimur T/S	81	70	Ragem S/C	82	67	Dei S/C	81	38	Waden S/C	93	67	0
	DLG	IVA																	
Panyimur T/S	81	70																	
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5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	<p>There were no Performance Improvement Plans developed for the lowest performance LLGs,</p>	0
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5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:</p> <p>Score 2 or else score 0</p>	<p>There were no Performance Improvement Plans developed for the lowest performance LLGs and therefore no implementation.</p>	0
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Human Resource Management and Development

6	<p>Budgeting for and actual recruitment and deployment of staff</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.</p> <p>Score 2 or else score 0</p>	<p>The LG consolidated and submitted staffing requirements for the coming FY on 6th September 2023 received by MoPS on the same day.</p>	2
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7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p> <p>Score 2 or else score 0</p>	<p>The LG conducted tracking and analysis of staff attendance for the previous FY with a copy to CAO, for example for the month of June, July, August and September 2023.</p>	2
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p>	<p>The LG had 9 HODs per the customized and approved staff structure. All HODs were appraised as follows: DEO was appraised on 16th June 2023, and DNRO, DHO, and DCDO were appraised on 30th June 2023. However, evidence for appraisal of the rest of the HoDs was not availed at the time of assessment.</p>	0
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to "a" above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>The following officers were severely reprimanded for attendance below 50% within the months: Ag. Planner warning letter dated 18th October 2023 as well as Ag Senior Human Resource Officer and Senior Procurement Officer in a warning letter by the CAO on 11th October 2023.</p>	1
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>The LG established a Consultative Committee comprised of DCDO as chairperson, Ag. PHRO secretary and five other members.</p> <p>The committee had sittings dated 16th August 2022 and 22/10 / 2022.</p>	1

8	<p>Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:</p> <p>Score 1.</p>	<p>The LG recruited a total of 16 Senior Education Assistants during the previous FY and all assumed duty at the beginning of FY. Sampled staff that accessed the salary payroll not later than 2 months after assumption of duty were;</p> <ol style="list-style-type: none"> 1. Owiny Emmanuel, Education Assistant in August 2023 2. Adong Paska, Education Assistant accessed payroll in August 2023. 3. Ovolglw Gideon, Education Assistant accessed payroll in August 2023 4. Rothomio Francis, Education Assistant accessed payroll in August 2023 5. Ocan Andrew, Education Assistant accessed payroll in August 2023. <p>However, the following didn't access the salary payroll within 2 months after assumption of duty. Reasons for not accessing were largely to do with inconsistencies on their National Identification Cards. There were;</p> <ol style="list-style-type: none"> 1. Kawambe Christine, Education Assistant. 2. Ovrutho Denis, Education Assistant. 3. Pacutho Vivian, Education Assistant. 	0
9	<p>Pension Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:</p> <p>Score 1.</p>	<p>The LG retired 8 staffs in the previous FY and the access the pension payroll as follows:</p> <ol style="list-style-type: none"> 1. Olokcan Ocaya Norbert, Finance Officer retired on 25/07/2022, accessed in Sep 2022. 2. Urowthwun Silvester Auditor retired on 05/06/2023. Accessed the pension payroll in August. <p>However the following did not access the pension payroll within 2 months because had variance in the information on file and national identity cards.</p> <ol style="list-style-type: none"> 1. Ofoyru Rose Beninyo Senior Assistant Accountant retired on 01/09/2022. 2. Okech Mutoro Beninyo Head Teacher retired on 23/01/2023. 3. Enyanga Faustine Obale Senior Agricultural Officer retired on 10/03/2023. 	0

Management, Monitoring and Supervision of Services.

10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:</p> <p>Score 2 or else score 0</p>	<p>The direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in the previous FY. The LG transferred DDED Funds to LLGS as follows;</p> <p>Panyamur S/C - UGX5,776,974</p> <p>Pakwach S/C - UGX8,965,534</p> <p>Wadeli S/C - UGX6,491,651</p> <p>Panyango S/C -UGX7,591,154</p> <p>Alwi S/C - UGX7,975,980</p> <p>Pokwero S/C - UGX7,975,980</p> <p>Dei S/C - UGX1,269,010</p> <p>Ragem S/C -UGX 1,269,011</p> <p>Panyamur T/C -UGX1,618,708</p> <p>Pakwach T/C -UGX12,457,024</p> <p>The first transfer was made on 15th June 2023, the second transfer was conducted on 1st November 2023, the 3rd transfer was conducted on 20th February 2023.</p>	2
10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).</p> <p>Score: 2 or else score 0</p>	<p>The LG did not timely warrant within 5 days from the dates of releases from MoFPED. The DDEG grant was provided in 2 quarters which include; In Quarter two; Cash limits were uploaded on 3rd October 2022 and warranting by LG was conducted on 19th October 2022. In Quarter three; Cash limits were uploaded on 2nd January 2023 and warranting by LG was conducted on 17th January 2023. All the above provided dates were beyond 5 working days.</p>	0

10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:</p> <p>Score 2 or else score 0</p>	<p>The LG did not timely invoice and communicate all the DDEG transfers within 5 days from the dates of releases from MoFPED. The DDEG grant was provided in 2 quarters which include;</p> <p>In Quarter two; Cash limits were uploaded on 3rd October 2022 and invoicing and communication by LG was done on 10th October 2022 in a letter addressed to the district Chairperson.</p> <p>In Quarter three; Cash limits were uploaded on 2nd January 2023 and invoicing and communication by LG was conducted on the same date 2022 in a letter addressed to the district Chairperson.</p> <p>Due to the fact that the first release communication was conducted 7 days late, therefore the the deadline and timeframe of 5 working days was not achieved.</p>	0
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>The district supervised and mentored all the LLGs at least per quarter. Four quarterly LLG supervision reports were availed for the assessment. Quarter one LLG monitoring supervision report was prepared on 29th September 2022, Quarter two LLG monitoring supervision report was prepared on 4th November 2022, Quarter three LLG monitoring supervision report was prepared on 1st February 2023, Quarter four LLG monitoring supervision report was prepared on 18th May 2023. Some of the key monitoring issues indicated include; Dissemination of DDEG guidelines done across all LLGs on 22nd February 2023, Mentoring on Budget preparation.</p>	2
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>	<p>The mentoring and supervision results were discussed in the TPC. On 24th May 2023 the TPC had a meeting and discussed under minute no. 05/DTPC/2022/23 Mentoring LLGs on preparation of budgets was done and it was reported the LLGs had a weakness and that the all LLGs are preparing budgets under the guidance of the higher local government.</p>	2

Investment Management

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</p>	<p>The LG maintains an updated assets register with the current assets recorded, the most recent procured assets that Included GPS Machine (918/NR/GP/10002) for the Natural resources department, Laptops for the planning unit and Metallic cupboards for health.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>On Page 4 of the Board of survey of report for FY2021/2022, There were recommendations requiring the LG to engrave all office assets more especially furniture, fencing of the health headquarters and construction of the health headquarter shade. Page 3 of the Board of survey of report for FY2022/2023 provides a report for Actions on previous years recommendations and these included; completion of fencing health department, completion of engraving most of the office items was done.</p>	1

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.

Evidence was adduced that the LG has a functional physical planning committee in place. The committee in place was appointed on 15th February 2023 signed and stamped by the CAO Mr. Tivu Mark. This was evidenced by the general appointment letter date reference no. CR/214/13.

The members of the committee include;

1. The chief administrative officer (Chairperson)
2. Mr. Wakunga Odongo Stephen - Town Clerk Panyimur
3. Mr. Adriko Simon - Town Clerk Pakwach
4. Mr. Ochakachon Geoffrey Avola - District Engineer
5. Ms. Oweka Jennifer - Senior Environment officer
6. Mr. Alenyi Richard Richard - Senior Land Management officer
7. Mr. Owinja Richard - District physical planner
8. Mr. Ajal Paul - District Health Officer
9. Hon. Acayo Christine - District Education officer
10. Mr. Awor Bernardette - DCDO
11. Mr. Oweknimungu Benedict - District Water Engineer
12. Mr. Kawambe Felix - District Agricultural officer

The physical planning committee has also submitted four sets of minutes to the MoLHUD as follows;

Quarter one meeting was held on 15th September 2022 and minutes were submitted on 6th June 2023, Quarter two meeting was held on 6th December 2022 and minutes were submitted on 6th June 2023, Quarter three meeting was held on 29th March 2023 and minutes were submitted on 27th October 2023, Quarter four meeting was held on 1st June 2023 and minutes were submitted on 27th October 2023. All the meetings complete quorums.

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d. For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>Pakwach District conducted desk appraisals for all projects in the budget to establish whether the prioritized investments were derived from the LG Development Plan, eligible for expenditure as per sector guidelines and funding source. A review at project desk appraisals for projects like Construction of a 2 stance VIP latrine with washroom and water tank in Lwala Panyimur done on 22nd August 2022, A desk appraisal for construction of a classroom block at Puyoo NFE Amor East conducted on 2nd February. From these appraisal reports, the prioritized investments were desk appraised and found to be fitting the fit the funding criteria and are derived from the LDGP III.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>Pakwach District conducted field appraisal to check for technical feasibility, environmental and social acceptability and customized design for investment projects. The field appraisals for the following projects were reviewed;</p> <ol style="list-style-type: none"> 1. Construction of a 2 stance VIP latrine with washroom and water tank in Lwala Panyimur whose field appraisal was done on 25th August 2022. 2. Construction of a classroom block at Puyoo NFE Amor East whose appraisal was conducted on 5th February 2022. 3. Construction of a 2 stance VIP latrine at DEI HCIII 2022. <p>The projects were found to be technically feasible, environmentally acceptable and socially acceptance and therefore recommended for continuity.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>The LG prepared project profiles and they were discussed in by the TPC. A review on the TPC minutes for the meeting held on 14th July 2023 minute no. 4/1/DTPC/2023/4 Presentation of project profiles. Some of the projects on the profile list include Extension of piped water supply system at a cost UGX198,387,500, Construction of a 2-stance latrine with urinal attached at Ajini Primary school at a cost of UGX15,000,000, Construction of a 2 classroom block at Ocayo primary school at a cost of UGX126,000,000.</p>	1

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>1. The construction of two storeyed office block at the District headquarters and the was screening was undertaken as evidenced from the E & S screening form that were endorsed by both EO and CDO as on 20th August, 2022</p> <p>2. The construction of vehicles shade at DHO's office which was screened as per the E & S screening form was endorsed by both EO and CDO as on 22nd August, 2022</p>	2
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>There was evidence to show that the DDEG infrastructure projects were incorporated in the current FY procurement plan. Examples of the projects incorporated in the Procurement Plan included;</p> <p>1. Extension of piped water supply system at a cost Ugx 198,387,500,</p> <p>2. Construction of a 2-stance latrine with urinal attached at Ajini Primary school at a cost of Ugx 15,000,000, and</p> <p>3. Construction of a 2 classroom block at Ocayo primary school at a cost of Ugx 126,000,000</p>	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0</p>	<p>There was evidence of the contracts committee approving the projects of FY 2023/2024 as follows;</p> <p>1. Extension of piped water supply system at a cost Ugx 198,387,500,</p> <p>2. Construction of a 2-stance latrine with urinal attached at Ajini Primary school at a cost of Ugx 15,000,000, and</p> <p>3. Construction of a 2 classroom block at Ocayo primary school at a cost of Ugx 126,000,000</p> <p>The projects were aproved on 4th April, 2023</p>	1

13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:</p> <p>Score 1 or else 0</p>	<p>There was evidence of the proper establishment of the Project Implementation team for the DDEG funded project;</p> <p>The PIT membership was;</p> <ol style="list-style-type: none"> 1. The District Engineer - Mr. Ochakachon Geoffrey Avola 2. District Education Officer - Achayo christine 3. District Health Officer - Dr. Paul Ajal 4. Community Development Officer - Mr. Patrick Olwo 5. Environment Officer - Mr. Akwayo Panga 6. Labour officer - Jinaro 7. Clerk of Works - Kerumbe Julius 	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer:</p> <p>Score 1 or else score 0</p>	<p>There was adherence to standard technical designs during the construction of a vehicle shade at the district health office and construction of the main office block. vehicle shade at the district health office.</p> <p>The construction of the main office block project followed the standard technical designs as per the following evidence. The scope of works was up to the ring beam level and were appropriately executed according to the scope of works with a 200mm thick block wall, well plumbed and no signs of straight joints, nor were cracks observed.</p>	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0</p>	<p>The projects for construction of a vehicle shade at the district health office and construction of the main office block, the project were duly certified by the District Engineer, the Environment Officer and the DCDO certified on 12th June 2023</p>	2
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):</p> <p>Score 1 or else score 0</p>	<p>There was evidence that the LG verified works (certified) and initiated payments to contractors within 2 months. The verification and certification was done by line heads of departments, the District Engineer, the Environments officer, the commercial development officer. A review at payment vouchers accross different sectors found as follows;</p> <ol style="list-style-type: none"> 1. Construction of 2 stance VIP latrines with washrooms at Kitawe Primary School by LEKO GL YESU NUT contract ref no: PKCH/618/WRKS/22-23/00009 where the 	1

Contractor requested for payment on 15th May 2023, inspection of works was conducted on 22nd May 2023 where by the certification of works was conducted on 28th June 2023 thus the payment being effected on 28th June 2023 observed by EFT Voucher number 6436881 of an Amount worth UGX14,000,000.

2. Construction of 2 stance VIP latrines with washrooms at Kayonga P/S By Pyem Lako and sons' enterprises contract ref no: PKCH/618/WRKS/22-23/00007 where the Contractor requested for payment on 9th May 2023, inspection of works was conducted on 22nd May 2023 where by the certification of works was conducted on 28th June 2023 thus the payment being effected on 28th June 2023 observed by EFT Voucher number 6436887 of an Amount worth UGX13,992,200.

3. Sitting, construction and supervision of 5 deep boreholes PKCH/618/WRKS.22-23/00023 contracted by Aquatech Enterprises Uganda. A payment request of UGX17,405,000 was issued by the contractor on 24th May 2023 and certification of work evidenced by a supervision certificate no. 1 and Interim payment certificate was certified by the DWO Mr. Bedicto Oweknimungu on 29th May 2023 in a space of 5 days which was timely.

4. Drilling and construction of five boreholes for Pakwach District by Icon Projects Limited PKCH/618/WRKS/22-23/00021. A payment request of UGX157,500,000 was issued by the contractor on 24th May 2023 and certification of work evidenced by a supervision certificate no. 1 and Interim payment certificate was certified by the DWO Mr. Bedicto Oweknimungu on 29th May 2023 after issuing a certificate of project completion.

5. Fencing and construction of vehicle shade at the health department under contract no: PKCH/618/WRKS/22-223/00001. The DHO Dr. Paul, The District Engineer Mr Ochakachon Geoffrey, The CDO Mr. Patrick Olwo, The Environments Officer Mr. Owinja Richard had all certified the works on 15th June 2023 before payment was done on 29th June 2023.

6. Construction of 4-2 stance VIP latrine for health department PKCH/618/WRKS/22-23/0003 had the interim certificated certified by The DHO Dr. Paul, The District Engineer Mr Ochakachon Geoffrey, The CDO Mr. Patrick Olwo, The Environments Officer Mr. Owinja Richard on 23rd May 2023 before payment was done on 28th June 2023 under EFT 6432638.

7. Construction of an emergency delivery room with Placenta Pit attached at Ragem HCII (PKCH/618/WRKS/22-23/00002 EFT

6438141 had the interim Payment certificate certified by The DHO Dr. Paul, The District Engineer Mr Ochakachon Geoffrey, The CDO Mr. Patrick Olwo, The Environments Officer Mr. Owinja Richard on 14th June 2023 before payment was done on 28th June 2023 under EFT 6438141.

All the project payment vouchers reviewed indicate that has verified works and initiated payments of contractors within 2 months which was timely as per the contractual obligations.

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law: Score 1 or else 0	The LG had complete procurement files for each contract with all records as required by the PPDA Law. For instance; The projects for construction of a vehicle shade at the district health office and construction of the main office block, the project files contained the Evaluation report, the Contracts Committee minute awarding the contract and a copy of the signed contract.	1
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Environment and Social Safeguards

14	Grievance redress mechanism operational. Maximum 5 points on this performance measure	a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant. Score: 2 or else score 0	The committee members are appointed on an annual basis and the renewal was done on 4th, July 2023. The Senior Community Development Officer is the designated person The committee include 5 members namely; Senior Community Development Officer who works as the Secretary, Principal Assist CAO who chairs the committee, Senior Environment Officer, Senior Education Officer and the District Environment Officer.	2
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14	Grievance redress mechanism operational. Maximum 5 points on this performance measure	b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices. If so: Score 2 or else 0	The district had a grievance redress value chain on the District Website and the District notice boards. www.pakwach.go.ug	2
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14	Grievance redress mechanism operational.	c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.	The grievance redress mechanism is publicized on the notice boards and also on the district website www.pakwach.go.ug The grievance redress value chain has six steps; uptake (location & channel), sort and process, acknowledgement and follow up, verify, investigate and act, monitor and evaluate and finally provide feedback	1
	Maximum 5 points on this performance measure	If so: Score 1 or else 0	The last update was on 25th October, 2023	
15	Safeguards for service delivery of investments effectively handled.	a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0	Environment, Social and Climate change interventions had not yet been integrated into LG Development Plans, annual workplans and budgets at the time of the assessment. A review of the LGDP, AWP and Budgets revealed that the aspect was not prioritized and integrated in the budgeting and planning process.	0
	Maximum 11 points on this performance measure			
15	Safeguards for service delivery of investments effectively handled.	b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management	The LG disseminated the DDEG guidelines on 22nd February 2023. The DDEG distribution list indicated the following LLGs received copies of DDEG guidelines; Panyamur S/C , Pakwach S/C ,Wadeli S/C, Panyango S/C , Alwi S/C, Pokwero S/C, Dei S/C ,Ragem S/C Panyamur T/C and Pakwach T/C	1
	Maximum 11 points on this performance measure	score 1 or else 0		
15	Safeguards for service delivery of investments effectively handled.	(For investments financed from the DDEG other than health, education, water, and irrigation):	Construction of 2 storeyed office block at the District headquarters the ESMPs was incorporated into the BOQs in the contract document as of February 2023	3
	Maximum 11 points on this performance measure	c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:	Construction of vehicle shade for DHOs office the ESMPs was incorporated into the BOQs in the contract document as of February 2023 costed at 900,000ugshs	
		score 3 or else score 0		

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	d. Examples of projects with costing of the additional impact from climate change. Score 3 or else score 0	The evidence for projects involving costing of additional costs of addressing climate change adaptation was not availed	0
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 1 or else score 0	construction of two storeyed office block and, construction of vehicles shade were implemented on the District headquarters and Land title for the District headquarters was provided dated 26th September 2011,	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 1 or else score 0	The Environment officer in place reported he had been serving in the position for only one month therefore he wasn't in position to retrieve or provide the reports by his predecessor	0
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0	Construction of two storeyed office block and, construction of vehicles shade at DHOs office These were all certified as of 20th June 2023 by both the Environment Officer and Community Development Officer	1

Financial management

16	LG makes monthly Bank reconciliations Maximum 2 points on this Performance Measure	a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment: Score 2 or else score 0	The DLG had conducted bank reconciliations as at 30th September 2023. The following accounts were reconciled and had balances as follows; 1. Pakwach DLG General fund Post Bank Account number 2110049000057 had an account balance UGX1,069,242 2. UWEP Recovery Post Bank Account number 2110049000277 had an account balance UGX3,893,904	2
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17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>The LG availed all the four quarterly internal audits reports as Required. The audit reports were produced on different dates as follows;</p> <ol style="list-style-type: none"> 1. Quarter One internal audit report was produced on 8th November 2022 2. Quarter Two internal audit report was produced on 14th February 2023 3. Quarter Three internal audit report was produced on 5th May 2023 4. Quarter Four internal audit report was produced on 20th July 2023 <p>All the reports were produced by Mr. Urothwon Silvester the District Internal Auditor</p>	2
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>Evidence was provided through a letter written to the LG PAC titled "Pakwach Local Government status of implementation of internal audit findings for the year 2022/2023. The letter was produced on 28th July 2023 and was received with a report by the speaker on 31st July 2023. The LG PAC sat on 17th October 2023 to discuss on the queries raised and this was discussed under minute CR/214/4.</p>	1
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>All the internal audit reports for the previous FY were submitted to the LG PAC on 3rd April 2023, The current Internal Auditor consolidated 3 quarterly internal reports and forwarded them to LG PAC and the Chief administrative officer to make a consolidated review of the results, these were together discussed with the Quarter 4 internal audit report on 17th October 2023 in a meeting held in the health boardroom under minute number CR/124/4.</p>	1
Local Revenues				
18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>From Page 7 of the final accounts of the Previous FY 2022/2023, The total budgeted local revenues including tax and Non tax revenue = UGX1,173,884,682 whereas the actual revenue collection was = UGX452,200,836 which converts to 38% thus out of the threshold of +/-10%.</p>	0

19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>From Page 7 of the final accounts of the Previous FY 2022/2023, The Revenue collection was = UGX452,200,836. From Page 55 of the Previous FY but one 2021/2022. The revenue collection was = UGX611,052,631. Therefore, there was a decline in OSR worth UGX158,851,795 thus no increase achieved.</p>	0
20	<p>Local revenue administration, allocation, and transparency</p> <p>Maximum 2 points on this performance measure.</p>	<p>a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0</p>	<p>From the Annual Local Revenue Remittance report prepared by the Chief Finance Officer Mr. Ocanda Kenan and Approved by the CAO on 30th June 2023. The Revenue collected from LLG was UGX330,324,130 where the tax remitted to LLG was UGX214,710,685 which converts to 65%.</p> <p>65% of UGX330,324, 130 = UGX214,710,685.</p> <p>From the revue of Payment Vouchers, the following amounts were remitted to LLGs as follows;</p> <p>Pokwero UGX 21,222,500, Pakwach TC UGX36,000,000, Panyango UGX7,206,707, Dei UGX8,255,000, Pakwach TC, UGX 4,095,000, Panyimur TC UGX168,172,305, Panyimur UGX2,963,339, Ragem UGX6,536,140, Wadelai UGX21,385,000, Alwi UGX10,335, 685 Which all resonates to UGX214,710,685 as reported. Therefore, the DLG remitted the mandatory LLGs share of local revenues during the previous FY.</p>	2

Transparency and Accountability

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0</p>	<p>There was evidence that the procurement plan and awarded contracts for projects in FY 2022/2023 were published. For example;</p> <ol style="list-style-type: none"> 1. For construction of 2 class room block with office attached at Puyoo NFE Primary School, the best evaluated bidder notice dated 20th January 2023 with the best evaluated bidder as River Shore Trade Links at a contract price of UGX 106,387,459 was presented. 2. Construction of a 2-stance VIP latrine with urinals at Ojigo primary school, the best evaluated bidder notice dated 20th January 2023 with the best evaluated bidder as Ongeywun Business Solutions Limited at a contract price of UGX 13,999,000 was presented. 3. Fencing and construction of health facility for Health department, the best evaluated bidder notice dated 20th January 2023 with the best evaluated bidder as Bosan Investments Limited at a contract price of UGX 44,772,000 was presented. 	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>The LG government performance assessment results were placed on the notice board on 11th September 2023 by the Ditsrict planner Mr. Owoda Emmanuel.</p>	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>The LG during the previous FY conducted with the public to provide feedback on status of activity implementation. From the report on the achievement of Pakwach District Local Government presented in the community baraza held at Wadelai Sucounty on 23rd June 2023 prepared by the CAO Mr. Dalili RK. Moses. The achievements on Education were presented on page 3 of the report, progress on routine departmental activities on page 4, production on page 9.</p>	1
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>The information on tax rates, collection procedures together with the procedures for appeal was displayed on the main office notice board on 1st August 2023 by the District Planner and it was still at the District headquarter noticeboard at the point of assessment.</p>	1

Reporting to IGG

Maximum 1 point on
this Performance
Measure

a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0

There was no IGG report prepared to portray a list of cases of alleged fraud and corruption and their status including the administrative and action taken/being taken. The district rather for assessment submitted information that was provided to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous FY which serves a very different.

**Educational
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	Learning Outcomes: The LG has improved PLE and USE pass rates.	a) The LG PLE pass rate has improved between the previous school year but one and the previous year	For 2022, total number of candidates who sat excluding Division X was 2276 Total passes=1979 Percentage was $1979/2276 * 100=87\%$ For 2020, total candidates excluding Division X who sat was 1651 Total passes- 1529 Percentage pass was $1529/1651 * 100 = 93\%$ Percentage change was $93\%-87\% = -6\%$ Hence percentage decreased by 6%	0
	Maximum 7 points on this performance measure	<ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 		
1	Learning Outcomes: The LG has improved PLE and USE pass rates.	b) The LG UCE pass rate has improved between the previous school year but one and the previous year	For 2022, total number of candidates who sat excluding Division X was 376 Total passes = 302 Percentage was $302/376 * 100= 80\%$ For 2020, total candidates excluding Division X who sat was 519 Total passes were 456 Percentage pass was $456/519 *100 = 88\%$ Percentage change was $80\% - 88\% = -8\%$ Hence percentage decreased by -8%	0
	Maximum 7 points on this performance measure	<ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 		

2	<p>N23_Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p>	<p>Average score in the education LLG performance had improved between the previous year but one and the previous year. The score in 2022 was 78% and increase to 98% thus registering a 20% increment which was more than 5%.</p>	2
	<p>Maximum 2 points</p>	<ul style="list-style-type: none"> • By more than 5%, score 2 • Between 1 and 5%, score 1 • No Improvement, score 0 		
		<p>NB: If the previous average score was 95% and above, Score 2 for any increase.</p>		
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>The LG Education department received UGX 241,705,509/= excluding UGiFT as sector development grant.</p> <p>The funds were used as follows;</p> <ol style="list-style-type: none"> 1. Classroom construction of a 2-Classroom block with an office at Puyoo NFE P/S at UGX 106,387,459/- as indicated on the contract Payment vouchers. 2. Construction of 2 Stance VIP latrines and wash rooms attached at Ojigo Primary school at UGX 13.999.000. 3. Construction of 2 Stance VIP latrines and wash rooms attached at Owiny Primary school at UGX 13, 999,800. 	2
	<p>Maximum 8 points on this performance measure</p>			

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0

The following certificates were availed to the assessor from the Development Grant projects:

1. Payment of construction of a 2-Classroom block with an office at Puyoo NFE P/S of UGX 106,387,459 was certified by the DEO on April 2023. The DCDO and the District Environment Officer signed on 24th April 2023.

2. Payment of 2 Stance VIP latrines and wash rooms attached at Ojigo Primary school of UGX 13,999,000 was certified by the DEO on 12th June 2023. The District Environment Officer signed on 14th June 2023 and DCDO signed on 15th June 2023.

3. Payment of Construction of 2 Stance VIP latrines and wash rooms attached at Owiny Primary school of UGX 13,999,800 was certified by the DEO on 12th June 2023. The DCDO signed on 15th June 2023 and the District Environment Officer signed on 14th June 2023.

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

c) If the variations in the contract price are within +/-20% of the MoWT estimates score 2 or else score 0

The assessment noted that the variation between the contract price and the MoWT estimates were within the +/-20% as per the three examples below;

1-Project -Construction of a Two Classroom block with office at Puyoo Primary School.

Ref No-PKCH/618/Wrks/22-23/00014,

Contract Sum =106,874,459/=

Engineers estimates=120,528,740/=

=120,528,740-106,874,459=13,654,281/=

13,654,281/120,528,740*100=11.3%

This is within +/-20%.

2-Project-Construction of Two Stance VIP Latrine with Urinals and Washrooms at Kitawe Primary School,

Ref No-PKCH/618/Wrks/22-23/00009,

Contract sum =14,000,000/=

Engineers estimates =15,000,000/=

=15,000,000-14,000,000=1,000,000/=

1,000,000/15,000,000*100=6.67%

This is within +/-20%

3-Project-Construction of a Two-Classroom block with Office at Ocayo Primary School.

Ref No-PRCN/618/Wrks/22-23/00010.

Contract Sum = 108,524,102/=

Engineers Estimates = 120,528,740/=

=120,528,740-108,524,102 =12,004,638/=

12,004,638/120,528,740*100 = 9.96%

This is within +/-20%

From the calculations, the contract price variations for the sampled projects implemented in FY2022/2023 were within +/-20% of Engineers estimates.

3	Investment Performance: The LG has managed education projects as per guidelines	d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY	There was a seed secondary school in Pakwach DLG. The project with an 18 months implementation duration was at roofing level by the assessment time and was therefore still on going. The non-phased project according to the achieved the implementation target for the FY 2022/2023 which was the roofing. The assessment team however was not availed a completion certificate for the FY 2022/2023 achieved target. The overall completion was at 80%.	1
	Maximum 8 points on this performance measure	<ul style="list-style-type: none"> • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0 	<p>Project- Construction of seed secondary school at Wadelai.</p> <p>Ref No- MOES/UGIFT/WRKS/00007.</p> <p>Contract Sum UGX 3,054,165,291</p> <p>Contractor- M/s Rhema Engineering Company Limited.</p>	
4	Achievement of standards: The LG has met prescribed school staffing and infrastructure standards	a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines	The staff ceiling for Pakwach LG was 1,118 per the IPFs from MoFPED. The actual staffs in positions were 987. Therefore, $987/1118*100=88\%$	2
	Maximum 6 points on this performance measure	<ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 - 79% score: 1 • Below 70% score 0 		
4	Achievement of standards: The LG has met prescribed school staffing and infrastructure standards	b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,	The LG had 63 UPE schools and 8 USE schools. According to the consolidated assets register, 71 schools in the LG had basic requirements and minimum standards set out in the DES guidelines especially classrooms, desks and latrines. To calibrate the school, $71/71 * 100 = 100\%$	3
	Maximum 6 points on this performance measure	<ul style="list-style-type: none"> • If above 70% and above score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 		

Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>The LG reported accurately on teachers and where they were deployed, in the schools. The staff lists provided by the DEO were compared with the lists at the visited schools (Omach Primary school, Pachego Primary School and Ojigo Primary school). The following were found:</p> <p>At Omach Primary school the DEO's list and that found at the school were similar in both number and names, that is 19 teachers.</p> <p>At Pachego Primary School, the list from the DEO's office had 18 teachers, while those on the ground were the same number and with similar names.</p> <p>Similarly, at Ojigo Primary school both the DEO's list and that at the school had 15 teachers. The attendance books in the three schools visited confirmed the correctness of the DEO's deployment list.</p>	2
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5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>The LG education department compiled an asset register for 2022/23 FY that accurately reported on infrastructure in all registered schools.</p> <p>For example, Ojigo Primary School was reported in the assets register to have 7 classrooms, 10 latrines, 94 desks and 0 units of staff houses. This information was found to be true on verification by the assessor.</p> <p>At Pacego Primary School the DEO's asset register was in harmony with the information on the ground as they both indicated 8 classrooms, 13 latrines latrine, 200 desks and 0 units of staff houses.</p> <p>At Omach Primary School there were 11 classrooms, 5 latrine, 22 desks and 0 units of staff houses. This information was in agreement with what was on the ground.</p>	2
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School compliance and performance improvement:

Maximum 12 points on this performance measure

a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:

- If 100% school submission to LG, score: 4
- Between 80 - 99% score: 2
- Below 80% score 0

There was evidence that the LG ensured that all the 63 registered primary schools complied with MoES budgeting and reporting guidelines and that they have submitted reports for the calendar year 2022 (signed by the head teacher and chairperson of the SMC) to the DEO by January 30.

The assessor sampled 10 (not 3) of them to check the details of school performance, cash flow, annual budget and asset register, as follows:

- a) Owere primary school submitted on 5th January 2023.
- b) Andibo primary school submitted on 13th December 2022.
- c) Owiny primary school submitted on unknown date.
- d) Pokwero primary school submitted on 14th December 2022.
- e) Ayara primary school submitted on 6th January 2023.
- f) Paroketo primary school submitted on 13th February 2023.
- g) Pumvuga primary school submitted on 24th November 2022.
- h) Ojigo primary school submitted on 2nd February 2023.
- i) Avodu primary school submitted on 13th February 2023.
- j) Pacego primary school submitted on 3rd February 2023.

However, on the report, other attached documents were not consistent with the letter of submission. Secondly the annual report was not signed by the chairperson SMC apart from Pacego primary school. Thirdly, Some schools did not meet the deadline of 30th January.

Of the sampled schools, 10 did not conform to the recommended aspects (i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register).

Percentage: $0/10 * 100 = 0\%$

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> • If 50% score: 4 • Between 30- 49% score: 2 • Below 30% score 0 	<p>There was evidence provided by the LG that they supported schools to make SIPs. For instance,</p> <ol style="list-style-type: none"> 1. Omach Primary school submitted its SIP on 22nd February 2023. 2. There was no evidence that Pachego Primary School submitted its SIP. 3. There was no evidence that Ojigo Primary school submitted its SIP. 4. Lobogegi Primary school no indication of when it was received by the DEO's office. 5. Pajobi Primary School submitted its SIP on 23rd February 2023. <p>A field visit to the three sampled schools indicated that Ojigo primary school had a SIP for 2023. Similarly Pacego Primary School had a SIP, however, Omach Primary School did not present any documentary evidence of a SIP at the time of field assessment.</p> <p>Hence percentage of schools sampled was $\frac{2}{3} * 100 = 67\%$.</p>	4
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6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 - 99% score 2 • Below 90% score 0 	<p>The LG collected and compiled EMIS return forms for all registered schools for FY 2022/23 as follows;</p> <p>63 UPE schools with a total enrolment of 50,923 pupils while 8 USE schools with an enrolment of 2,859 and 1 Polytechnic were with an enrolment of 99 students.</p> <p>To calculate compliance; $\frac{71}{71} * 100 = 100\%$</p> <p>It was submitted on 15th April 2023.</p>	4
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Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>The LG budgeted for recruitment of primary schools in the FY 2022-2023 a total of 63 schools at 5,739,294,632 UGX.</p>	4
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7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p> <p>Score 3 else score: 0</p>	<p>The LG had deployed teachers as per sector guidelines in the current FY 2023-2024. According to staff lists sampled, teachers were deployed as follows:</p> <ol style="list-style-type: none"> 1. Omach Primary School had 16 teachers and a headteacher. Teachers as indicated in the staff list were deployed e.g. Jacan Denis, Orochi Wilson, and Acan Fiona. 2. Pachego Primary School had 16 teachers and a headteacher. Teachers as indicated in the staff list were deployed e.g. Mwangi Patrick, Kayeny Jennifer, and Okumu Robert. 3. Ojigo Primary School had 18 teachers and a headteacher. Teachers, as indicated in the staff list, were deployed e.g. Pacutho Tiberius, Onencan Patrick, and Oryek Fredo. 	3
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7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,</p> <p>score: 1 else, score: 0</p>	<p>At the district, the deployment list was displayed the notice board of the department.</p> <p>Lists of deployment were displayed in headteachers' offices that were sampled and visited.</p> <ol style="list-style-type: none"> a) Omach Primary School had 16 teachers and a headteacher. b) Pachego Primary School had 16 teachers and a headteacher. c) Ojigo Primary School had 14 teachers and a headteacher. <p>The details displayed included; name, date of birth, qualifications, and tittle among others.</p>	1
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Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copt to DEO/MEO

Score: 2 or else, score: 0

The primary school head teachers had been appraised for calendar year for 2022. For instance;

1. Ojok Christopher of Owere p/s was appraised by the Town Clerk of pakwach T/C on 15th January 2023.

2. Oyer Enos of Pakech p/s was appraised on 18th January 2023 by Nyalonga Colbert SAS Panyimur S/C.

3. Bedijo Joel of Pajobi p/s was appraised on 24th January 2023 by Town Clerk Adriko Simon Pakwach T/C.

4. Ruping Ronald of Pakwach Public p/s was appraised on 14th/Jan /2023 by Adriko Simon Town Clerk Pakwach T/C.

5. Okot John of Ayara p/s was appraised on 3rd/ Jan /2023 by Adriko Simon Town Clerk Pakwach T/C.

6. Ngamita Jane of Omach p/s was appraised on 9th December 2022 by Adriko Simon Town Clerk Pakwach T/C.

7. Olore Paul of Atyak Luga p/s was appraised on 10th / Feb/ 2023 by Nyalonga Colbert SAS Panyimur S/C.

8. Pithua John of Panyimur p/s was appraised on 15th January 2023 by Odongo Stephen SAS Panyimur S/C.

9. Thaibo Flika Faith of Pagwaya p/s was appraised on 24th / Feb/ 2023 bay Anena Mary Racheal SAS Panyango S/C

10. Acen Pauline of Pumvuga p/s was appraised on 31st January 2023 by Anena Mary Racheal SAS Panyango S/C.

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM

Score: 2 or else, score: 0

The LG did not avail the evidence by the time of assessment.

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans score: 2. Else, score: 0</p>	<p>The staff in the LG Education department were appraised by DEO. For instance;</p> <ol style="list-style-type: none"> 1. Odongker Maxwell Atiya- Senior Inspector of Schools was appraised by the DEO on 15th /Aug/ 2023. 2. Jummanywal Wedunga Commix- Inspector of Schools was appraised by DEO on the 15th /Jun/ 2023. 3. Giramia Faith- Education Officer (C&G) was appraised by DEO on 1st/ Mar/2022. 4. Ongeyowun Nassur Hassan -Senior Education Officer appraised 10th July 2022 5. Opoti Ciriako- Sports Officer- appraised 9th /July/ 2022. 	0
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level, score: 2 Else, score: 0</p>	<p>The LG education department prepared a training plan for previous FY 2022/2023 dated 1st July 2022.</p> <p>The plan aimed and focused on education department only at the Higher Local Government.</p> <p>The following included: Jumanywal Weduga Comix for PGD in Education Management.</p> <p>Giramia Faith- Certificate in Administrative Law</p> <p>Atiya Maxwell in Basic Computer Skills and Ongeyowun Nassur Hassan also in Certificate in Basic Computer Skills.</p> <p>However, a training plan to address identified staff capacity gaps LG level and not at the school level.</p>	2
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Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually. If 100% compliance, score:2 or else, score: 0</p>	<p>The LG confirmed in writing these details. For previous FY 2022/2023 Pakwach DLG confirmed in writing the list of schools, their enrolment and budget allocation. The letter was written on 3rd November 2022 and received on 4th November 2022.</p> <p>It indicated that 63 UPE schools with a total enrolment of 59,770 pupils and 8 USE schools with enrolment of 3,068.</p> <p>To calculate compliance; $71/71 \times 100 = 100\%$.</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p> <p>If 100% compliance, score:2 else, score: 0</p>	<p>The LG Education department made allocations to inspection and monitoring functions during the previous FY 2022-2023 of UGX 39,456,000/= .</p>	2
<p>Maximum 8 points on this performance measure</p>				
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p> <p>If 100% compliance, score: 2 else score: 0</p>	<p>The LG did not timely warrant within 5 days from the dates of releases from MoFPED.</p> <p>In Quarter one; Cash limits were uploaded on 18th July 2022 and warranting by LG was conducted on 10th August 2022.</p> <p>In Quarter two; Cash limits were uploaded on 3rd October 2022 and warranting by LG was conducted on 19th October 2022.</p> <p>In Quarter three; Cash limits were uploaded on 2nd January 2023 and warranting by LG was conducted on 17th January 2023.</p> <p>In Quarter four; Cash limits were uploaded on 11th April 2023 and warranting by LG was conducted on 28th April 2023.</p> <p>All the above provided dates were beyond 5 working days.</p>	0
<p>Maximum 8 points on this performance measure</p>				

Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.

If 100% compliance, score: 2 else, score: 0

The LG did not warrant and subsequently invoice and communicate, publicize capitation releases to schools within three working days of release from MoFPED.

However, the DEO produced the communication of the CAO to all departments from which the specific capitation releases were as follows;

1. Quarter 3 FY 2022/23 totaling to

UGX 34, 695,900/= for Education Office;

UPE UGX 277,751,261

USE UGX 155,780,000

Tertiary UGX 22,721,844 was made on 2nd January 2023

2. Quarter 4 (Term II, 2022) totaling to

UGX 34, 695,900/= for Education Office;

UPE UGX 137,368,208

USE UGX 77,890,000

Tertiary UGX 11,360,922; was made on 15th April 2023.

3. Quarter 1 on 7th August 2023:

UPE UGX 274, 736, 417

USE UGX 155,780,000

Tertiary UGX 22,721,844; was made on 7th August 2023.

The DEO communicated to headteachers by immediately pinning the same information on the departmental notice board and SMS messages.

Routine oversight and monitoring

Maximum 10 points on this performance measure

a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.

• If 100% compliance, score: 2, else score: 0

The department prepared an inspection plan for FY 2022/23 dated:

a) 13th February 2023 with highlights of Inspection program and logistics/Tools

b) 3rd March 2023 highlighting the following areas e.g. Inspection program/Teaming, and Logistics/Tools

c) A meeting held on 29th May 2023 chaired by the senior inspector of schools – Odongker Atiya, considered the inspection program. The two inspectors were distributed among the 10 sub counties of Pakwach.

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> • If 100% score: 2 • Between 80 - 99% score 1 • Below 80%: score 0 	<p>UPE schools were inspected and monitored as follows:</p> <p>In the quarter 2 (term I, 2023) inspection report dated 25th July 2023 indicated that 54 UPE schools, with no private primary schools inspected. Hence $54/63 \times 100 = 86\%$.</p> <p>In the quarter 3 (term II, 2023) inspection report dated 12th September 2023 indicated that 53 UPE schools, with no private primary schools inspected. Hence $53/63 \times 100 = 84\%$</p> <p>Therefore the average percentage of compliance was $(84+86)/2 = 85\%$</p>	1
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>There was evidence that inspection reports had been discussed and used to recommend corrective actions and that those actions had subsequently been followed up during the previous FY. For there were:</p> <p>Departmental meetings held on 29th August 2022, 31st January 2023; 6th February 2023, and 28th April 2023.</p> <p>Information from the sample schools and visitors' books also affirmed the same, especially by the staff from DEO's office.</p> <p>At the schools visited inspection reports left behind by inspectors were seen. For example at</p> <p>St. Mary's Omach Primary School inspection reports by different inspectors were seen such as: 14 June 2023; 16 March 2023 and 15 September 2022.</p> <p>Pacego Primary School inspection reports by different inspectors were seen such as: 15 June 2023; 31 March 2023 and 19 September 2022.</p> <p>Ojigo Primary school inspection reports by different inspectors were seen such as: 25 April 2023; 12 June 2023 and 6 September 2022.</p>	2

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>There was evidence that DIS and DEO presented findings from inspection and monitoring results of respective schools to DES.</p> <p>Based on Form 4: Acknowledgement Note from DES dated 18th October 2023. The following documents submitted included:</p> <ul style="list-style-type: none"> a) Inspection and Monitoring Annual workplan 2023/2024. b) E-Inspection report term 1, 2023 and c) E-Inspection report term II, 2023. <p>At the schools visited inspection reports left behind by inspectors were seen. For example at</p> <p>St. Mary's Omach Primary School inspection reports by different inspectors were seen such as: 14 June 2023; 16 March 2023 and 15 September 2022.</p> <p>Pacego Primary School inspection reports by different inspectors were seen such as: 15 June 2023; 31 March 2023 and 19 September 2022.</p> <p>Ojigo Primary school inspection reports by different inspectors were seen such as: 25 April 2023; 12 June 2023 and 6 September 2022.</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>The social services committee held a meeting on 21st February 2022 at the district headquarters/. Under minute no. MIN/11/SOC/PAK/12/22 (Minute of the the review of the quarterly performance reports). In this meeting, the DEO noted that monitoring and supervision of primary schools was conducted and it was found out that the school always gauges the attendance of teachers but it was also found that teachers often spend time under trees having conversations. It was also reported that the results slips of Nyakagei P/S were lost and the DEO made appeals to the policy makers to help the students through and avoid the similar cases.</p>	2

11	Mobilization of parents to attract learners <i>Maximum 2 points on this performance measure</i>	Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school, <i>score: 2 or else score: 0</i>	The Education department carried mobilization of attracting learners and retaining them at sub county/Town council level. Overall five meetings were organized, for example: a) Panyimur Sub county on 15th September 2022. b) Pakwach Subcounty on 1st September 2022. c) Wadelai Sub county on 12th September 2022. A total of 69 education stakeholders were reached including headteachers, SMCs chairpersons and PTA chair persons.	2
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Investment Management

12	Planning and budgeting for investments <i>Maximum 4 points on this performance measure</i>	a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i>	There was evidence that the LG has assets register setting out facilities and equipment in schools for the previous year 2022. This register was up to date as the information it carried corresponded with the information picked from the schools. For example the register indicated that: At Omach Primary School there were 11 classrooms, 5 latrine, 22 desks and 0 units of staff houses. Ojigo Primary School was reported in the assets register to have 7 classrooms, 10 latrines, 94 desks and 0 units of staff houses. This information was found to be true on verification by the assessor. At Pacego Primary School the DEO's asset register was in harmony with the information on the ground as they both indicated 8 classrooms, 13 latrines latrine, 200 desks and 0 units of staff houses.	2
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12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i></p>	<p>The LG conducted desk appraisals for all sector projects in the budget to establish whether the prioritized investments are derived from the LGDP, eligible for expenditure under sector guidelines and funding source. The following project appraisals were reviewed;</p> <ol style="list-style-type: none"> 1. Construction of a 2 stance VIP latrine with washroom and water tank in Lwala Panyimur done on 25th August 2022. 2. Cconstruction of a classroom block at Puyoo NFE Amor East conducted on 5th February 2022. 3. Construction of a classroom block at Puyoo Amor East ward Pakwach TC conducted on 2nd February 2023 <p>The appraisal team consisting of Mr. Ochakachon Geoffrey the District Engineer, Judith Kigezi the environments officer, Mr. Owoda Emmanuel the Planner, Mr. Akwayapanga Dennis the Environments officer recommended for projects continuity indicating befitting of the requirements of guidelines compliancy.</p>	1
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, <i>score 1 else score: 0</i></p>	<p>The field appraisals of the Education sector infrastructure were not availed at the time of the assessment.</p>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p>	<p>The Education department sector multi year infrastructure projects [seed secondary school] was incorporated into the LG procurement plan FY 2023/2024.</p> <p>Construction of Wandelai Seed secondary school – procurement ref. no. MoES/UGIFT/WRKS/21-22/00007 at a budget price of UGX 3,054,166,000 dated 15th August 2023.</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>There was of civil works approval by the Concracts Committee and Solicitor General prior to execution as per the examples below;</p> <p>The assessment team was informed that the procurement of the Wadelai Seed School was done by the Central Government and the clerance by the solicitor General was with the MoES.</p> <p>However for the projects Construction of a two Classroom block with office at Ocayo Primary School, Construction of a two Classroom block with office at Puyoo Primary School, Construction of Two stance VIP Latrine at Kitawe Primary School, the approval by the contracts committee was on 20th January 2023 under minute number 04:20/01/2023.</p> <p>All the above named projects were below the threshold of UGX 200,000,000 to require approval by solicitor general</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>There was evidence of establishing the Project implementation team for the school construction projects during the previous FY as examples below;</p> <ol style="list-style-type: none"> 1. The District Engineer 2. District Education Officer 3. Community Development Officer 4. Environment Officer 5. Labor officer 6. Clerk of works 	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p>	<p>There was adherence to the standard technical designs provided by the Engineer. For instance;</p> <p>From the site visit at the Seed school at Wadelai and physical checks of the constructed buildings dimensions in comparison with the MoES technical designs, they matched;</p> <ol style="list-style-type: none"> 1. Shade was 1500mm both on the site and also the drawings, 2. Windows sampled for classroom block was 1200x1500mm both on the site and also the technical drawings, 3. Doors sampled for classroom block was 1200x2400mm both on the site and the technical designs. <p>For construction of two classroom block with office attached at Puyoo NFE primary school. From the site visit, it was found construction of two class room block, roofing with maroon pre-painted 28mm gauge iron sheets, fixing steel casement windows of 1200x1450mm and casement doors 800x2400mm and shade width 1500mm. This was as per the specifications.</p> <p>For construction of 2-stance VIP latrine with Urinal or washroom at Ojigo primary school. From the site visit, it was found that the VIP was of 2 stances plus 1 shelter. Each stance was 1.2m internal width, there was a ramp at the entrance and a curtain wall of 1.7m height. The roof was of timber trusses with 28mm gauge iron sheets. This was as per the specifications.</p> <p>For construction of 2-stance VIP latrine with Urinal or washroom at Panyimur primary school. From the site visit, it was found that the VIP was of 2 stances plus 1 shelter. Each stance was 1.2m internal width, there was a ramp at the entrance and a curtain wall of 1.7m height. The roof was of timber trusses with 28mm gauge iron sheets. This was as per the specifications.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY</p> <p><i>score: 1, else score: 0</i></p>	<p>A visit to Wadelai Seed School indicated that monthly site meetings were held during the previous FY. The reviewed records indicated that monthly site meetings were conducted, for example on 31st October 2022 and 17th February 2023</p>	1

13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted <i>score: 1, else score: 0</i>	The reviewed records indicated technical supervision that involved the engineers, environment officer, CDOs were conducted for example on 31st /10/2022 and 17th /2/2023	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i>	<p>The education infrastructure projects had been properly executed and payments to contractors were made within the specified time frames as per the contractual obligations. A comprehensive review of the project payment files and contracts revealed the following;</p> <ol style="list-style-type: none"> 1. Construction of 2 stance VIP latrines with washrooms at Kitawe Primary School by LEKO GL YESU NUT contract ref no: PKCH/618/WRKS/22-23/00009 where the Contractor requested for payment on 15th May 2023, inspection of works was conducted on 22nd May 2023 where by the certification of works was conducted on 28th June 2023 thus the payment being effected on 28th June 2023 observed by EFT Voucher number 6436881 of an Amount worth UGX14,000,000. 2. Construction of 2 stance VIP latrines with washrooms at Kayonga P/S By Pyem Lako and sons' enterprises contract ref no: PKCH/618/WRKS/22-23/00007 where the Contractor requested for payment on 9th May 2023, inspection of works was conducted on 22nd May 2023 where by the certification of works was conducted on 28th June 2023 thus the payment being effected on 28th June 2023 observed by EFT Voucher number 6436887 of an Amount worth UGX13,992,200. 3. Construction of 2 stance VIP latrines with washrooms at Panyamur P/S by Onenworth and family Enterprise Limited contract ref no: PKCH/618/WRKS/22-23/00008 where the Contractor requested for payment on 9th May 2023, inspection of works was conducted on 11th May 2023 where by the certification of works was conducted on 28th June 2023 thus the payment being effected on 28th June 2023 observed by EFT Voucher number 6436203 of an Amount worth UGX14,000,000. 	1

13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i>	The LG Education department timely submitted a procurement plan as required by the PPDA guidelines.	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law <i>score 1 or else score 0</i>	The assessment team was informed that the procurement of the Wadelai Seed Secondary School was done by the Central Government and that the procurement file was not with the LG at the time of assessment.	0

Environment and Social Safeguards

14	Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework. <i>Maximum 3 points on this performance measure</i>	Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, <i>score: 3, else score: 0</i>	On 19th May, 2023 a grievance was recorded; a crack on a classroom block in Puyoo Nfe resulting from construction works around the school, the committee had a meeting on 4th June 2023 the contractor was ordered to repair the cracks which they did	3
15	Safeguards for service delivery. <i>Maximum 3 points on this performance measure</i>	Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation <i>Score: 3, or else score: 0</i>	There was evidence that LG disseminated the Education guidelines to provide for access to land. The guidelines were disseminated in a headteachers' meeting held from 17-19th May 2023. This evidenced by a report to CAO on dissemination of guidelines on Land acquisition by schools and Minimum Standards. Participants included headteachers, PTA chairpersons, and SMCs.	3

16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i></p>	<p>construction of two stance VIP latrine with urinals and wash rooms at Owiny Primary School in a contract document of February 2023 between Pakwach District local government and Ongeywun Business Solution Limited, environment and social safeguards were allocated 1,407,000shs</p> <p>construction of two stance VIP latrine with urinals and wash rooms at Ojigo Primary School in a contract document of February 2023 between Pakwach District local government and Ongeywun Business Solution Limited, environment and social safeguards were allocated 1,017,000shs</p> <p>construction of 1 block and two classrooms with office attached at PAJAU Nfe Ojigo Primary School in a contract document of May 2023 between Pakwach District local government and Bolingo Technical Services Limited, environment and social safeguards were allocated 2,550,000shs</p>	2
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i></p>	<p>Construction of a two stance VIP latrine at Owiny Primary School had a customary letter from the clan heads, The land offer agreement is dated 27th October 2023 stating that on 15th January 1948, 20 acres of land were offered to the Catholic Church by Mzee Oyuda Ali part of which the school was established</p> <p>Construction of a two stance VIP latrine at Ojigo Primary School. A letter dated 6th February 2023, the Parish priest gave the school a piece of land measuring 11m X 11m to construct the proposed development.</p> <p>Construction of a two-classroom block with office attached to PAJAU nfe had an MOU between the school and the community members of Bondawala Village which was signed by four different elders measuring 5 acres to allow developments on 5th December, 2022</p>	1
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p>	<p>The Environment and social monitoring reports for projects under Education were not availed citing the Environmental officer who was in charge at the time of assessment had been in the position barely two months and could not track the documents/reports.</p>	0

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

Score: 1, else score:0

Construction of a two stance VIP latrine at Owiny Primary School the E & S certification was signed as of 20th June, 2023 endorsed by both CDO and EO,

Construction of a two stance VIP latrine at Ojigo Primary School E & S certification was signed as of 20th June 2023 endorsed by both CDO and EO

Construction of a two-classroom block with office attached to PAJAU nfe E & S certification was signed as of 20th June 2023 endorsed by both CDO and EO

**Health
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>Using the HMIS 107 annual reports, data on deliveries for FY 2021/22 and 2022/23 were extracted and used to calculate the percentage increase in deliveries for three sampled health facilities of Wadilai HCIII, Pokwero HCIII and Pakia HCIII as shown below;</p> <p>Wadilai HCIII:</p> <p>In FY 2021/22, total deliveries was 745.</p> <p>In FY 2022/23, total deliveries was 704.</p> <p>There was a decrease of $704 - 745 = -41$. The percentage decrease was therefore $41/745 \times 100 = 5.5\%$</p> <p>Pokwero HCIII:</p> <p>In FY 2021/22, total deliveries was 633.</p> <p>In FY 2022/23, total deliveries was 510.</p> <p>There was a decrease of $510 - 633 = 123$. The percentage decrease was therefore, $123/633 \times 100 = 19.4\%$.</p> <p>Pakia HCIII:</p> <p>In FY 2021/22, total deliveries was 548.</p> <p>In FY 2022/23, total deliveries was 428.</p> <p>There was a decrease of $428 - 548 = 120$. The percentage decrease was therefore $120/548 \times 100 = 21.9\%$.</p> <p>Average percentage decrease was $5.5\% + 19.4\% + -21.9\% = 46.8/3 = 15.6$</p> <p>Pakwach district had a percentage decrease of 15.6% in total annual deliveries in the FY 2022/23.</p>	0

2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> • 70% and above, score 2 • 50% - 69%, score 1 • Below 50%, score 0 	<p>Average score in the Health LLG performance assessment in 2022 was 81% whereas it was 100% in 2023 according to the LLG PA 2023 Synthesis Report which were both above 70%.</p>	2
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> • 75% and above; score 2 • 65 - 74%; score 1 • Below 65; score 0 	<p>There was no RBF operations during the FY under review. This followed a letter from the Ministry of Health dated 7th December, 2022 addressed to all CAOs highlighting the termination of RBF Funding was availed</p>	0
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p>	<p>The LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant. A review On page 10 of Health Sub Programme Grant, Budget and Implementation Guidelines for Local Governments Financial Year 2023/24 table 13: Eligible activities for the Health Development Grant, the budgeted activities were compliant as follows;</p> <ol style="list-style-type: none"> 1. Fencing and construction of vehicle shade at the health department under contract no: PKCH/618/WRKS/22-223/00001 is compliant under 4.1.1 Use of the Development Grant(s) Rehabilitation, completion of health infrastructure and equipping of existing public health facilities. 2. Construction of 4-2 stance VIP latrine for health department PKCH/618/WRKS/22-23/0003 is compliant with 088155 - Standard pit latrine construction (incl. rehabilitation and emptying) 3. Construction of an emergency delivery room with Placenta Pit attached at Ragem HCII (PKCH/618/WRKS/22-23/00002 EFT is compliant under 088182 - Maternity Ward construction and rehabilitation <p>Therefore, all the projects were eligible for funding under the health sector guidelines.</p>	2

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0

It was revealed and evidence that the Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors. A comprehensive review of the project payment files and contracts revealed the following;

1. Fencing and construction of vehicle shade at the health department under contract no: PKCH/618/WRKS/22-223/00001. The DHO Dr. Paul, The District Engineer Mr Ochakachon Geoffrey, The CDO Mr. Patrick Olwo, The Environments Officer Mr. Owinja Richard had all certified the works on 15th June 2023 before payment was done on 29th June 2023.

2. Construction of 4-2 stance VIP latrine for health department PKCH/618/WRKS/22-23/0003 had the interim certificated certified by The DHO Dr. Paul, The District Engineer Mr Ochakachon Geoffrey, The CDO Mr. Patrick Olwo, The Environments Officer Mr. Owinja Richard on 23rd May 2023 before payment was done on 28th June 2023 under EFT 6432638.

3. Construction of an emergency delivery room with Placenta Pit attached at Ragem HCII (PKCH/618/WRKS/22-23/00002 EFT 6438141 had the interim Payment certificate certified by The DHO Dr. Paul, The District Engineer Mr Ochakachon Geoffrey, The CDO Mr. Patrick Olwo, The Environments Officer Mr. Owinja Richard on 14th June 2023 before payment was done on 28th June 2023 under EFT 6438141.

3	Investment performance: The LG has managed health projects as per guidelines.	c. If the variations in the contract price of sampled health infrastructure investments are within +/- 20% of the MoWT Engineers estimates, score 2 or else score 0	The Contract variations in the contract price of sampled health investment projects were within +/-20% of the MoWT Engineers estimates. For instance;	2
	Maximum 8 points on this performance measure		<p>1. Fencing and Construction of vehicle shade to health department, procurement reference No-PKCH/618/Wrks/22-23/00001 at a contract amount of UGX 44,772,000 against the Engineers estimate of UGX 44,772,000. The contract price variation was calculated as 0.0%.</p> <p>2. Construction of four 2-stance VIP Latrine to health department, procurement reference No-PKCH/618/Wrks/22-23/00003 at contract amount UGX 56,000,000 against the Engineers estimate of UGX 58,377,400. The contract price variation was calculated as 4.1%.</p> <p>3. Construction of an emergency delivery room with Placenta Pit attached at Paroketo HCII (PKCH/618/WRKS/22-23/00044 at contract amount UGX 50,000,000 against the Engineers estimate of UGX 50,000,000. The contract price variation was calculated as 0%.</p>	
3	Investment performance: The LG has managed health projects as per guidelines.	d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY	In the approved procurement plans for FY 2022/2023 dated 5th September 2022, there were no health Center upgrade from HC II to HC III.	2
	Maximum 8 points on this performance measure	<ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 		

4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	<p>The LG had 6 Health Centre III and 1 Health Centre IV and has recruited staff for all H/C III and IV as listed below:</p> <ol style="list-style-type: none"> 1. Alwi H/C III had 16 staff. 2. Pakia H/C III had 11 staff. 3. Panyigoro H/C III 13 staff. 4. Panyimur H/C III had 16 staff. 5. Pokwero H/C III had 13 staff. 6. Wadelai H/C III had 16 staff. 7. Pakwach H/C IV had 57 staff. <p style="text-align: center;">Total = 142 staff</p> <p style="text-align: center;">$142/162 \times 100 = 87.6\%$</p>	1
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4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> • If 100 % score 2 or else score 0 	<p>In the approved procurement plans for FY 2022/2023 dated 5th September 2022, there were no health Center upgrade from HC II to HC III.</p>	2
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Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>The assessment team obtained the health staff list from the Human resource office for FY 2023/24. Three health facilities of Wadilai HCIII, Pokwero HCIII and Pakia HCIII were sampled to establish that the health workers were in place as indicated in the staff list.</p> <p>Wadilai HCIII has 16 staff on the district staff list. The health facility list also has 16 staff.</p> <p>Pokwero HCIII has 13 staff on the district staff list. The health facility list also has 13 staff.</p> <p>Pakia HCIII has 11 staff on the district staff list. The health facility staff list also has 11 staff.</p> <p>There was evidence that information on positions of health workers filled was accurate.</p>	2
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Accuracy of Reported Information: The LG maintains and reports accurate information

Maximum 4 points on this performance measure

b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0

The assessment team obtained from DHO the list of the following construction works in the FY 2022/23 as shown below;

1 Construction of emergency delivery room and placenta pit at Ragem HCII. This was reported to be completed and in use.

2 Construction of emergency delivery room and placenta pit at Paroketo HCII. This was reported to be completed and in use.

3 Construction of VIP latrine at Dei HCII. This was reported to be completed and in use.

4 Construction of VIP latrine at Alwii HCIII. This was reported to be completed and in use.

5 Construction of VIP latrine at Pakia HCIII. This was reported to be completed and in use.

6 Construction of VIP latrine at Wadilai HCIII. This was reported to be completed and in use.

7 Construction of VIP latrine at Ragem HCII. This was reported to be completed and in use.

However, there was no evidence that these construction works were reported in the Annual report for FY 2022/23 in the PBS. The assessment team therefore could not verify the accuracy of this information.

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>There was evidence that the 3 sampled health facilities of Wadilai HCIII, Pokwero HCIII and Pakia HCIII submitted their annual work plans and Budgets for FY 2022/23 to the DHO as indicated below;</p> <p>1 Wadilai HCIII</p> <p>The annual work plan and budget was submitted to DHO on 12th March 2022. The work plan and budget conformed to the prescribed format in the Local Government Planning Guidelines for the Health Sector.</p> <p>2 Pokwero HCIII</p> <p>The annual work plan and budget was submitted to DHO on 25th March 2022. The work plan and budget conformed to the prescribed format in the Local Government Planning Guidelines for the Health Sector.</p> <p>3 Pakia HCIII</p> <p>The annual work plan and budget was submitted to DHO on 21st March 2022. The work plan and budget conformed to the prescribed format in the in the Local Government Planning Guidelines for the Health sector.</p> <p>There was evidence that the 3 sampled work plans and budgets were submitted within the required time. The work plans and budgets conformed to the prescribed format.</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>There was evidence of submission of copies of health facility Annual Budget performance reports for FY 2022/23 by the three sampled health facilities of Wadilai HCIII, Pokwero HCIII and Pakia HCIII.</p> <p>1 Wadilai HCIII annual budget performance report for FY 2022/23 was submitted on 5th July 2023. The report was signed by Oryema CB Jimmy the facility in charge.</p> <p>2 Pokwero HCIII annual budget performance report for FY 2022/23 was submitted on 15th July 2023. The report was signed by Oting cwinywu Ferdinand, the facility in charge.</p> <p>3 Pakia HCIII annual budget performance report for FY 2022/23 was submitted on 14th July 2023. The report was signed by Binega Vincent, the facility in charge.</p>	2

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>For the two of assessing Pakwach LG, the DHO never presented evidences for the preparation and submission of facility Improvement Plans for FY 2023/24.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,</p> <ul style="list-style-type: none"> • score 2 or else score 0 	<p>From DHO, the assessment team reviewed the submitted monthly reports (HMIS) 105 and quarterly reports (HMIS 106A) in the FY 2022/23 for timeliness of submission by the three sampled facilities of Wadilai HCIII, Pokwero HCIII and Pakia HCIII as shown below;</p> <p>Submission of monthly reports (HMIS 105)</p> <p>1 Wadilai HCIII: submitted all the 12 reports timely.</p> <p>July 2022 - on 5th August 2022.</p> <p>August 2022 - on 3rd September 2022.</p> <p>September 2022 - on 3rd October 2022.</p> <p>October 2022- on 3rd November 2022.</p> <p>November 22 on - 5th December 2022.</p> <p>December 2022- on 4th January 2023.</p> <p>January 2023-on 3rd February 2023.</p> <p>February 2023- on 7th March 2022.</p> <p>March 2023- on 7th April 2023.</p> <p>April 2023- on 5th May 2023.</p> <p>May 2023- on 6th June 2023.</p> <p>June 2023- on 5th July 2023.</p> <p>2 Pokwero HCIII: submitted all the 12 reports timely</p> <p>July 2022- on 6th August 2022.</p> <p>August 2022- on 7th September 2022,</p> <p>September 2022- on 7th October 2022.</p>	2

October 2022- on 7th November 2022.

November 2022- on 7th December 2022.

December 2022- on 7th January 2023.

January 2023- on 5th February 2023.

February 2023- on 7th March 2023.

March 2023- on 7th April 2023.

April 2023- on 7th May 2023.

May 2023- on 5th June 2023.

June 2023- on 2nd July 2023.

3 Pakia HCIII: submitted all the 12 reports timely.

July 2022- on 7th August 2022.

August 2022- on 7th September 2022.

September 2022- on 7th October 2022.

October 2022- on 6th November 2022.

November 2022- on 7th December 2022.

December 2022- on 7th January 2023.

January 2023- on 7th February 2023.

February 2023- on 7th March 2023.

March 2023- on 7th April 2023.

April 2023- on 5th May 2023.

May 2023- on 7th June 2023.

June 2023- on 6th July 2023.

Submission of Quarterly reports (HMIS 106A)

1 Wadilai HCIII: submitted all the 4 reports timely.

Quarter one report was submitted on 6th October 2022

Quarter two report was submitted on 5th January 2023

Quarter three report as submitted on 6th April 2023.

Quarter four report was submitted on 6th July 2023.

2 Pokwero HCIII: submitted all the 4 reports timely.

Quarter one report was submitted on 7th October 2022.

Quarter two report was submitted on 7th January 2023.

Quarter 3 report was submitted on 2nd April 2023.

Quarter 4 report was submitted on 5th July 2023.

3 Pakia HCIII: submitted all the 4 reports timely.

Quarter one report was submitted on 5th October 2022.

Quarter two report was submitted on 6th January 2023.

Quarter three report was submitted on 5th April 2023.

Quarter four report was

Submitted on 6th July 2023.

There was evidence that the sampled health facilities of Wadilai HCIII, Pokwero HCIII and Pakia HCIII submitted all 12 monthly reports and the 4 quarterly reports timely as required.

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0

Note: Municipalities submit to districts

This indicator was not assessed, this is because a letter from the ministry of health dated 7th December 2022 addressed to all Town clerks highlighting the termination of RBF funding was availed to the assessment.

0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>This indicator was not assessed The indicator was removed from the 2023 assessment during the OPM training meeting held from 23rd-24th October 2023. The reason given was that RBF was not implemented in FY 2022/23.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>The PBS generated quarterly reports show the dates of submission as follows;</p> <p>Quarter one: 15th August 2023</p> <p>Quarter two: 30th August 2023</p> <p>Quarter three: 30th August 2023</p> <p>Quarter four: 24th August 2023</p> <p>With such submissions, it was no ascertained that LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly Budget performance reports.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>There was evidence that Pakwach district Health department developed and approved a Performance Improvement Plan for FY 2023/24..</p> <p>The performance improvement plan mentions Pakia HCIII as a poor performing health facility. It was signed by Dr. Ajal Paul on 12th January 2023.</p>	1

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0

There was evidence that Pakwach district health department implemented its approved Performance Improvement Plan and produced a performance improvement implementation report dated 10th August 2023 and signed by Dr. Ajal Paul, the DHO.

1

Human Resource Management and Development

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

a) Evidence that the LG has:

i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0

From DHO, the assessment team obtained and reviewed Pakwach District Local Government Approved Budget for FY 2023/2024 to determine whether the District had budgeted for health workers in accordance with staffing norms.

UGX 3,523.934,000 was budgeted for, as Conditional wage for the 200 health workers in posts in the approved Budget for FY 2023/2024 for Pakwach District. This is found on page 24 of 53 of the approved budget.

2

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

a) Evidence that the LG has:
ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0

From the DHO, the assessment team reviewed the staff deployment list for FY 2023/24, dated 2nd August 2023, to determine whether health workers were deployed as per guidelines (the Health Sub Programme Grants and Budget implementation Guidelines 2023/2024).

Pakwach district health department has a total of 200 health workers in posts out of the expected 245 as per the staffing norms. The percentage filled posts for the district is therefore $200/245 \times 100 = 81.6\%$.

However, some health facilities did not have 75% of staff required as shown below;

Pokwero HCII had 13 out of the required 19 staff. The proportion of posts filled in this facility was $13/19 \times 100 = 68.4\%$.

Pakia HCIII had 12 out of the required 18 staff. The proportion of posts filled was $12/19 \times 100 = 63.2\%$

Some health facilities in Pakwach district did not have at least 75% of the staff required in accordance with the staffing norms.

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0

The assessment team obtained the staff deployment list from the DHO and visited 3 sampled health facilities of Wadilai HCIII, Pokwero HCIII and Pakia HCIII. The health facility attendance books were reviewed to determine whether the health workers whose names are in the deployment list are actually working there.

Wadilai HCIII: The following sampled health workers whose names appear on the deployment list had signed in the attendance book on 31st October 2023.

Oryema CB Jimmy, Senior clinical officer.

Akelo Getrude, Health assistant.

Ayikoru Christine, Nursing Officer.

Pule, Laboratory Assistant.

Pokwero HCIII: The following sampled health workers whose names appear on the deployment list had signed in the attendance book on 31st October 2023.

Sikhoya Petora, Enrolled midwife.

Amia Salama, Enrolled Nurse.

Obedgiu denis, Medical laboratory technician.

Otuga John, Health information Assistant.

Abaciku Dan, health assistant.

Pakia HCIII: The following sampled health workers whose names appear on the deployment list had signed in the attendance book on 31st October 2023.

Binaga Vincent, Clinical officer.

Okethwengu John, Laboratory assistant.

Ongula Morris, Health information Assistant.

Odongo Yoweri, Health assistant.

Kayaya Beatrice, Enrolled midwife.

There was evidence that health workers whose names appear on the deployment list of the sampled facilities, were working there

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0

The assessment team obtained from the DHO, the deployment list of health workers for the FY 2023/24. Three health facilities of Wadilai HCIII, Pokwero HCIII and Pakia HCIII were sampled and visited to check whether the list of health workers deployed to these facilities were displayed on the facility notice boards. The findings were as follows;

Wadilai HCIII: The facility staff list, signed by Oryema CB Jimmy the In charge on 20th July 2023, was displayed on the notice board.

Pokwero HCIII: The facility staff list, signed by Otingcwinyu Ferdinand the In charge on 20th July 2023, was displayed on the notice board;

Pakia HCIII: The facility staff list, signed by Binega Vincent the In charge on 29th August 2023, was displayed on the notice board.

8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	<p>a) Evidence that the DHO/MMOHs has:</p> <p>i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0</p>	<p>The LG had 9 Health Facilities Appraisal of all the Health Facility In-charges against the agreed performance plans were done and submitted to HRO during the previous FY was as below;</p> <ol style="list-style-type: none"> 1. Hadijja Aliku a Senior Clinical Officer at Alwi H/CIII was appraised on 30th June/ 2023. 2. John Otingchwinyu Fredinant a Senior Clinical Officer at Pokwero H/CIII was appraised on 30th June 2023. 3. Fred Were a Clinical Officer at Panyimur H/C III was appraised on 30th June 2023. 4. Peter Julius Obete Clinical Officer at Pakia HCIII was appraised on 27th Jan 2023 5. Kimu Henry a Clinical Officer at Dei HCII was appraised on 30/June 2023. 6. Binega Vincent a Clinical Officer at Pakech HCII was appraised on 30th June 2023. 7. Stephen Oryema the Senior Clinical officer at Wadelai H/CIII was appraised on 14th Jan 2023 8. Oryema John Bosco Medical Officer In-charge, Pakwach HCIV was appraised 27th Jan 2023. 9. Oyet Alfred Enrolled Nurse In Charge, Mukele HC was appraised on 30th June 2023. 	1
8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	<p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p>	<p>There were no records pertaining to the appraisal of health workers by the Health facility in-charges presented at the time of assessment.</p>	0
Maximum 6 points on this performance measure	Maximum 6 points on this performance measure			

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p>	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>There was no evidence presented in respect of corrective actions based on health workers and HC facilities in-charges' appraisal.</p>	0
	<p>Maximum 6 points on this performance measure</p>			
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p>	<p>b) Evidence that the LG: i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p>	<p>There was evidence that Pakwach district health department conducted trainings of health workers (Continuous Professional Development)</p>	1
	<p>Maximum 6 points on this performance measure</p>		<p>In the FY 2022/3 as shown in the training reports from the DHO below;</p>	
			<p>1 Training on immunization in practice and new vaccination schedule. Conducted from 1st to 2nd September 2022. The report was submitted to DHO by Fuathum Judith Kigezi, the ADHO MCH, on 3rd September 2022.</p>	
			<p>2 Training on SMART malaria Home for health workers. Conducted from 23rd to 25th August 2022. The report was submitted by Ukumu Noah, Vector control officer, to DHO on 9th September 2022</p>	
			<p>3 Training on management of long lasting insecticide treated nets. Conducted from 26th to 30th July 2022. The report was submitted by Ukumu Noah, Vector control Officer, to DHO on 8th August 2022.</p>	
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>The LG presented evidence of an up to date training database with the following parameters tracked:</p>	1
	<p>Maximum 6 points on this performance measure</p>		<p>Serial number, name of the participant, Title, Training area/ topic, duration of the training, Venue, time and organizer.</p>	
			<p>All the trainings above were reported;</p>	
			<p>For example, the Immunization in practice and new vaccination schedule organized by MoH is serial number 13.</p>	
			<p>This training database is being operated by the district biostatistician</p>	

Management, Monitoring and Supervision of Services.

N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0

The assessment team obtained a copy of the letter notifying the MOH of the list of facilities (GOU and PNFP) accessing PHC NWR Grants in FY 2023/24 signed by the DHO to the Commissioner Planning MOH dated 30th September 2023. The following health facilities appear on that list.

Amor HCII

Boro HCII

Dei HCII

Fualwonga HCII

Mukale HCII

Pacego HCII

Paroketo HCII

Ragem HCII

Nyariegi HCII

Pacuora HCII

Alwii HCIII

Pakia HCIII

Panyigoro HCIII

Panyimur HCIII

Pokwero HCIII

Wadilai HCIII

Pakwach Mission HCIII

Pakwach HCIV

The above list of health facilities rhymes with the one in the Health subprogram Budget and Grants Guidelines for FY 2023/24.

N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.

From Page 22 of the Approved Annual Budget Estimates, PHC NWR Grant = UGX290,695,000. A review on the health department workplan indicated that the allocations towards monitoring service delivery and management of = UGX43,604,250. 15% of UGX290,695,000 = UGX43,604,250. Therefore, the District made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines.

9

N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0

The LG did not timely warrant direct grant transfers to health facilities for the last FY within 5 days from the dates of releases from MoFPED.

In Quarter one; Cash limits were uploaded on 18th July 2022 and warranting by LG was conducted on 10th August 2022.

In Quarter two; Cash limits were uploaded on 3rd October 2022 and warranting by LG was conducted on 19th October 2022.

In Quarter three; Cash limits were uploaded on 2nd January 2023 and warranting by LG was conducted on 17th January 2023.

In Quarter four; Cash limits were uploaded on 11th April 2023 and warranting by LG was conducted on 28th April 2023.

All the above provided dates were beyond 5 working days.

0

9

N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0

From the quarterly communication letters to all in charges for the health facilities signed and stamped by Dr. Paul Ajal the District Health Officer, the following was identified;

In Quarter one; Cash limits were uploaded on 18th July 2022 and communication was done on 17th August 2022.

In Quarter two; Cash limits were uploaded on 3rd October 2022 and communication was done on 25th October 2022.

In Quarter three; Cash limits were uploaded on 2nd January 2023 and communication was done 3rd February 2023.

In Quarter four; Cash limits were uploaded on 11th April 2023 and communication was done 18th May 2023.

All the above provided dates were beyond 5 working days.

0

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>The LG publicized all quarterly financial releases for health facilities; however, it was not done within the required timeframe of 5 working days from the date of receipt of the expenditure limits. From the letters obtained and displayed at the district health office noticeboard;</p>	0
	<p>Maximum 9 points on this performance measure</p>		<p>In Quarter one; Cash limits were uploaded on 18th July 2022 and publicizing was done on 17th August 2022.</p>	
			<p>In Quarter two; Cash limits were uploaded on 3rd October 2022 and publicizing was done on 25th October 2022.</p>	
			<p>In Quarter three; Cash limits were uploaded on 2nd January 2023 and publicizing was done 3rd February 2023.</p>	
			<p>In Quarter four; Cash limits were uploaded on 11th April 2023 and publicizing was done 18th May 2023. All the above provided dates were beyond 5 working days.</p>	
			<p>Therefore, much as communication was done, it was not conducted in the required time frame of 5 working days from the date of receipt of the expenditure limits from MoPPED</p>	
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>The assessment team obtained a set of minutes for DHMT quarterly review meetings from the DHO and reviewed the minutes for actions recommended for implementation.</p>	0
	<p>Maximum 7 points on this performance measure</p>		<p>1 Quarter one meeting was held on 4th October 2022. The minutes were signed by Dr, Ajal Paul, Chairman and Amia Harriet, Secretary</p>	
			<p>The meeting recommended the following actions;</p>	
			<ul style="list-style-type: none"> • Technical mentorship of health workers on nutrition management should be conducted. 	
			<ul style="list-style-type: none"> • The Biostatistician should improve on data capture in the DHIS2. 	
			<p>2 Quarter two meeting was held on 9th January 2023. The minutes wer signed by Dr, Ajal Paul, Chairman, and Amia Harriet, Secretary.</p>	
			<p>The meeting recommended the following actions;</p>	
			<ul style="list-style-type: none"> • In charges health facilities and midwives should ensure that 	

partographs in maternity are properly filled

- Internet connectivity should be procure for the DHO office.

3 Quarter three meeting was held on 5th April 2023 and the minutes were signed by Dr. Ajal Paul, Chairman and Amia Harriet, Secretary.

The meeting recommended the following actions;

- A multi sectoral approach should be adopted to reduce teen age pregnancies in the district.
- Health facilities should scale up postnatal services by giving complete package after deliveries.

4 Quarter 4 meeting was held on 16th June 2023 and the meeting minutes were signed by Dr. Ajal Paul Chairman and Amia Harriet, Secretary.

The meeting recommended the following actions;

- Health facilities should vaccinate school going children for Covid 19 during the holidays.
- Health facilities should draw EPI outreach schedules early enough in the quarter.

The assessment team, however did not find any evidence inform of implementation reports for all the actions recommended above in the quarterly DHMT meetings held.

10

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0

The assessment team obtained and reviewed a set of four minutes of Pakwach DHMT quarterly performance review meetings held in the FY 2022/23 to establish attendance.

1 Quarter one meeting was held on 26th October 2022. The minutes were signed by Dr.Ajal Paul, Chairman and Picho Lawrence, Secretary. The following members among others, were in attendance;

Dr. Ajal Paul, DHO.

Omitto James. Biostatistician.

Dr Oryema John Bosco, Incharge Pakwach HCIV.

Keuber Moses, Incharge Ragem HCII.

Okello Moses, Incharge Pakwach Mission (PNFP) HCIII.

1

Oryema Ronald, District Planner.

Owekimungu Benedictine, Water Officer.

Vukoni James, RHITES West Nile, Implementing Partner representative. 2 Quarter two meeting was held on 31st January 2023. The minutes were signed by Dr. Ajal Paul, Chairman and Picho Lawrence, Secretary. The following members among others, were in attendance;

Dr Ajal Paul, DHO.

Amia Harriet, DHE.

Okello Ronald, Incharge Pakwach Mission (PNFP) HCIII.

Bilula Roselyn, Incharge Fualwonga HCII.

Aliku Hadija, Incharge Alwii HCIII.

Owiny Ben, District Vice Chairperson.

Achayo Christine, DEO.

Ayega Pamela , DISO.

Okaro Herbert, Senior Assistant Secretary.

Issa Tumwa, Deputy RDC.

Vukoni James, RHITES West NILE, Implementing Partner representative.

3 Quarter three meeting was held on 28th April 2022. The minutes were signed by Dr Ajal Paul, Chairman and Picho Lawrence, Secretary. The following members among others, were in attendance;

Dr. Ajal Paul, DHO

.Omitto James, Biostatistician

Oryema Stephen, Incharge Pakia HCIII.

Adokorach Elizabeth, incharge Amoro HCII.

Bitum Tryfoster, incharge Pachego HCII.

Fred Were, incharge Panyimur HCIII.

Acayo Christine, DEO.

Okumu Christopher, CAO

Muswa Charles, Principal Assistant Secretary.

Vukoni James, RHITES Wet Nile, Implementing Partner.

4 Quarter four meeting was held on 4th

August 2023. The meeting minutes were signed by Dr. Ajal Paul, Chairman and Binaga Vincent, Secretary. The following members among others, were in attendance;

Owiny Ben, Vice Chairperson LC5

Omitto James, Biostatistician.

Arijole Copperfield, District medicines Supervisor.

Oyet Alfred, Incharge Mukale HCII.

Owere Fred, Incharge Panyimur HCIII.

Obete Julius, Incharge Panyigor HCIII

Adriko Simon, Town Clerk Pakwach Town Council.

Muswa Charles, Principal Assistant Secretary.

Namugaya Dinah, Secretary, Social Services.

Olor Patrick, senior Community Development Officer.

There was evidence that the performance review meetings involved health facility in charges, DHMT, Implementing partners and key Local Government departments. Quarter four meeting was reported to have been held in August 2023 due to delays in receiving financial support for the activity.

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>From DHO, the assessment team obtained copies of the Local Government (District) quarterly supervision reports to check whether Pakwach Health Center IV, the only HCIV in the district, was supervised in each of the quarters in the FY 2022/2023. Below are the findings;</p> <p>Quarter one (July- September) 2022. The report was dated 15th August 2022 and supervision was conducted from 19th July -28th July 2022. Pakwach HCIV was supervised but the date of the supervision was not indicated in the report.</p> <p>Quarter two (October- December) 2022 report was dated 11th January 2023 and signed by Dr. Ajal Paul. Supervision was conducted from 4th January -10th January 2023. Pakwach HCIV was supervised but the date of the supervision visit was not indicated in the report.</p> <p>Quarter three (January- March) 2023 report was dated 14th April 2023 and signed by Dr Ajal Paul. Pakwach HCIV was visited and supervised but the date of supervision was not indicated in the report</p> <p>Quarter four (April-June) 2023 report was dated 7th July 2023 and signed by Dr. Ajal Paul. Supervision was conducted from 29th June -6th July 2023. Pakwach HCIV was visited and supervised but the date of supervision was not indicated in the report</p> <p>It can be concluded that the Local Government supervised Pakwach HCIV at least once every quarter in the FY 2022/23.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <p>• If not applicable, provide the score</p>	<p>There was no evidence from the DHO to show that the Health Sub District conducted supervision of lower health facilities in FY 2022/23.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make</p>	<p>A reviewe of the support supervision reports and support supervision books from the three sampled health facilities of Wadilai HCIII, Pokwero HCIII and</p>	0

supervision to health facilities.

Maximum 7 points on this performance measure

recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0

Pakia HCIII, the assessment team identified the recommendations for specific actions that were made following the supportive supervisions to establish whether the implementation of these actions were followed up. Below are some of the recommendations made for the action;

Wadilai HCIII

Quarter one supervision recommendations.

- The in-charge facility should get new stock cards from the DHO.

- Stock taking should be done every month.

Quarter two supervision recommendations.

- Follow up on clients on TB treatment.

- The Midwives should use partographs to monitor mothers in labour.

Quarter three supervision recommendation.

- The health workers to use job aide when filling in the registers.

Though recommendations were made during support supervision, there was no evidence from the support supervision book that implementation of these recommendations were followed up.

2 Pokwero HCIII.

Quarter one supervision recommendation.

The facility health workers should be oriented on use of data capture tools.

Quarter two supervision recommendation.

- Facility in-charge should conduct internal supervision.

Quarter three supervision recommendation.

- midwives should screen all mothers during ANC for eclampsia.

Quarter four supervision recommendation.

- The in-charge facility should come up with one quality improvement project on integrated community case management (ICCM).

Though recommendations were made during support supervision, there was no evidence from the support

supervision book that implementation of these recommendations were followed up.

Pakia HCIII.

Quarter one supervision recommendation,

- The child register should be updated.

Quarter two supervision recommendation.

-Dispensing log book for ICCM should be placed in an accessible place.

Quarter three supervision recommendation.

-Health facility should submit facility annual work plan and budget for FY 2022/23 to DHO.

Though recommendations were made during support supervision, there was no evidence from the support supervision book that implementation of these recommendations were followed up.

-

10

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0

From the DHO, the assessment team obtained medicines management supervision reports for the FY 2022/23 as seen below;

Quarter one report.

The report was dated 27th September 2022 and signed by Arjole Copperfield, medicines management supervisor. Supervision was conducted from 5th September -27th September. The following health facilities were supervised;

Alwii HCIII

Amor HCII

Boro HCII

Dei HCII

Fualwonga HCII

Mukale HCII

Pachego HCII

Ragem HCII

Pakia HCIII

Pakwach HCIV

The following were some of the guidance given to these health

1

facilities

- Use pallets to ensure safe storage of medicines.
- Redistribute excess medicines to other facilities that require them
- Fumigate stores infested with bats.

Quarter two report

The report was dated 30th October 2022 and signed by Arjole Copperfield, medicines management supervisor. Supervision was conducted from 3rd October – 28th October 2022, The following health facilities were supervised;

Amor HCII

Mukale HCII

Panyigoro HCII

Pokwero HCIII

Wadilai HCIII

Pakia HCIII

Pakwach HCIV

Alwii HCIII

Wadilai HCIII

The following were some of the guidance given to these health facilities;

- Consistent and correct use of stock cards in medicines management.
- Label shelves for proper medicines identification.
- Use the first expiry first out (FEFO) principle.

Quarter three report

The report was dated 29th March 2023 and signed by Arjole Copperfield, medicines management supervisor. Supervision was conducted from 3rd March -29th March 2023. The following health facilities were supervised;

Wadilai HCIII

Pakwach HCIV

Pokwero HCIII

Pachora HCII

Ragen\m HCII

Pachego HCII Nyakale HCII

Fualwonga HCII

Dei HCII

Alwii HCIII

Amor HCII

The following were some of the guidance given to these health facilities;

-Use pallets for safe storage of medicines.

- Redistribute excess medicines to facilities that need them.

-in charges should mentor their staff on correct use of tools for data management.

Quarter four report

The report was dated 30th June 2023 and signed by Arjole Copperfield, medicines management supervisor. Supervision was conducted from 15th June -27th June 2023. The following health facilities were supervised;

Panyimur HCIII

Panyigoro HCIII

Nyariegi HCII

Alwii HCIII

Pakwach HCIV

Pakwach Mission HCIII

Amor HCII

Pachego HCII

Pakia HCIII

Pokwero HCIII

Fualwonga HCII

Ragem HCII

Wadilai HCIII

The following were some of the guidance given to these health facilities;

-Health facility in charges should do data cleaning in the dispensing logs to ensure there are no discrepancies.

-In charge health facilities should ensure consistent and correct use of stock card and stock books.

There was evidence that the Local Government provided support to health facilities in the management of medicines and health supplies in FY 2022/23.

11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0	From the review the Approved Annual Budget Estimates 2022/2023 and the Annual budget performance report of the same period, the 30% allocation to health promotion and prevention activities was not provided.	0
	Maximum 4 points on this performance measure			
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0	From the DHO, the assessment team obtained quarterly health promotion, disease prevention and social mobilisation reports for FY 2022/23 as shown below;	1
	Maximum 4 points on this performance measure		Quarter one report was dated 15th October 2022 and signed by Amia Harriet, District health Educator.	
			The major activity in this quarter was home visit for hygiene and sanitation promotion conducted by Village health teams in the sub counties from 17th July-24th July 2022.	
			Quarter two report was dated 23rd December 2022 and signed by Amia Harriet, District health Educator. Key activities in this quarter were;	
			- Community dialogue on malaria prevention in the subcounties of Wadilai, Panyimur and Panyango. The dialogue dates were not indicated in the report.	
			- School health sensitization on malaria prevention in the following schools;	
			Pakech Primary school	
			Lei Primary school	
			Ragem Primary school	
			Ojigo Primary school	
			Pamito Primary school	
			The sensitization was conducted from 4th - 8th October 2022.	
			Quarter three report was dated 30th March 2023 and signed by Amia Harriet, District Health Educator. Key activities in this quarter were the following;	
			- Community drive on HIV/AIDS prevention, care and treatment in	

Pakwach town council on 14th March 2023.

- Radio talk show on Pakwach FM focusing on HIV testing on 5th February 2023.

Quarter four report was dated 30th June 2023 and signed by Amia Harriet, District Health Educator. Key activities in the quarter were the following;

-Community dialogue on cassava poisoning in Alwii sub county, Pakwach sub county, Panyimur sub county. This was conducted from 12th -17th April 2023.

- Community sensitization on hand washing and open defecation free (ODF) campaign. In Palwonga parish and Ragem upper parish. This was conducted from 10th - 15th May 2023.

There was evidence that the DHT led health promotion, disease prevention and social mobilization activities in Pakwach district in FY 2022/23.

11

Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities

Maximum 4 points on this performance measure

c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0

The assessment team obtained from the DHO, minutes of DHT meetings held in FY 2022/23 to check whether health promotion, disease prevention and social mobilization follow up action/issues were discussed by the DHT in FY 2022/3.

There was no evidence from the DHT minutes reviewed that showed follow up actions taken on health promotion, disease prevention and social mobilization aspects. There was also no evidence of quarterly progress report prepared by the DHT to show follow up actions taken on health promotion, disease prevention and social mobilization.

0

Investment Management

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>The assessment team obtained the list of medical equipment for health facilities and service standards from the Ministry of health for the purpose of comparing this list with the list of medical equipment for health facilities in the Local Government asset register for FY 2022/23.</p>	1
	<p>Maximum 4 points on this performance measure</p>		<p>There was evidence that Pakwach district had an updated asset register for 2022/23 that sets out health facilities and equipment relative to basic standards and the equipment list provided by the Ministry of Health as shown in the example of some selected equipment below;</p>	
			<p>Wadilai HCIII in the asset register had the following equipment that were listed in the MOH medical equipment list for HCIII:</p>	
			<ul style="list-style-type: none"> -BP machine aneroid adult -delivery beds -kidney dishes -IV stand - cold boxes and ice packs -Autoclave external heated 20 liters. 	
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDPIII);</p>	<p>On 23rd August 2023, The following investments in the health were desk appraised and were found to have originated from the Local Government Development plan and were eligible for funding under sector guidelines;</p>	1
	<p>Maximum 4 points on this performance measure</p>	<p>(ii) desk appraisal by the LG; and (iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG)); score 1 or else score 0</p>	<ol style="list-style-type: none"> 1. Fencing and construction of vehicle shade at the health department under contract no: PKCH/618/WRKS/22-223/00001. 2. VIP latrine for health department PKCH/618/WRKS/22-23/0003 3. Construction of an emergency delivery room with Placenta Pit attached at Ragem HCII (PKCH/618/WRKS/22-23/00002 	
			<p>This was evidenced from the desk appraisals that were conducted on 23rd August 2023.</p>	

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>On 25th August 2023, the following investments in the health were field appraised and were found technically feasible, environment and socially acceptable and customized designs to site conditions</p> <ol style="list-style-type: none"> 1. Fencing and construction of vehicle shade at the health department under contract no: PKCH/618/WRKS/22-223/00001. 2. VIP latrine for health department PKCH/618/WRKS/22-23/0003 3. Construction of an emergency delivery room with Placenta Pit attached at Ragem HCII (PKCH/618/WRKS/22-23/00002 <p>This was evidenced from the filed appraisal reports that were prepared on 23rd August 2023.</p>	1
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>Construction of an emergency delivery room with a placenta pit attached to OPD at Paroketo HCII at Pakech South Village, Paroketo Parish, Pakwach Subcounty</p> <p>Environment and social screening form dated 03rd, Aug, 2022.</p> <p>Construction of a 2 stance VIP latrine at Pakia HCIII in Dispensary East Village, Pakia Ward, Pakia Parish, Panyango Subcounty</p> <p>Environment and social screening form dated 05th August, 2022</p> <p>Construction of an Emergency Delivery room with a placenta Pit attached to OPD at Rgaem HCII in Upetku Village, Nyakumba Ward, Ragem Subcounty</p> <p>Environment and social screening form dated 4th Aug, 2022</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>There was evidence of timely submission of the Current FY infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans. The submission was on 11th April 2023 and some of the projects embedded included;</p> <ol style="list-style-type: none"> 1. Fencing and Construction of a Vehicle Shade. 2. Construction of 4-Two stance VIP Latrine. 	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>There was evidence of submission of the Procurement request form to PDU by 1st quarter of the current financial year. The requests submitted included;</p> <ol style="list-style-type: none"> 1. Fencing and Construction of a Vehicle Shade. 2. Construction of four 2-stance VIP Latrine 	1

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0

There was evidence that all infrastructure investments projects for the FY 2022/2023 under health were approved by the Contracts Committee. For instance;

1. Fencing and construction of vehicle shade at the health department under contract no: PKCH/618/WRKS/22-223/00001, the contract was approved by the contracts committee on 20th January 2023 under minute number 4:20/01/2023 and awarded to Bosan Investment (U) Ltd at a contract sum of UGX 44,772,000.

2. Construction of four 2-stance VIP latrine for health department PKCH/618/WRKS/22-23/0003, the contract was approved by the contracts committee on 20th January 2023 under minute number 4:20/01/2023 and awarded to Kris Consults Ltd at a contract sum of UGX 56,000,000.

3. Construction of an emergency delivery room with Placenta Pit attached at Paroketo HCII (PKCH/618/WRKS/22-23/00044, the contract was approved by the contracts committee on 20th January 2023 under minute number 4:20/01/2023 and awarded to Kris Consults Ltd at a contract sum of UGX 50,000,000.

All the above projects were below the threshold of UGX 200,000,000 hence didn't require being cleared by solicitor general before commencement of construction.

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0

If there is no project, provide the score

The records pertaining to the establishment of the Project implementation teams indicated that the PIT membership was;

1. The District Engineer: Ochakachon Avola Geoffrey.

2. District Health Officer; Paul Ajalo.

3. District Community Development Officer: Awor Bernatte.

4. Environment Officer; Akwayo Panga

5. Community Development Officer for Labour; Patrick Olwo

6. Clerk of works: Kelumbe Julius.

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence of adherence to the standard technical designs provided by the MoH as noted under the project construction of the four 2-stance VIP Latrine. The assessment site visit indicated that the latrines had a 28-gauge pre-painted iron sheets ,a super weather guard paint coating externally which is in comfirmity with the standard designs and drawings.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The assessment reviewed the records on the four 2-stance latrines and failed to trace the evidence that the clerk of work was maintaing daily records</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG during the Financial Year under review never executed an Health facility upgrading activity. The LG however implemented two short duration projects;</p> <p>Fencing and Construction of vehicle shade to health department and Project-Construction of four 2-stance VIP Latrine to health department which were supervised by the technical team that comprised of the DE, the DEO, Environment Officer and the Community Development Officer for example on 15th June, 2023 and Construction of an emergency delivery room with Placenta Pit attached at Ragem HC II.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG during the Financial Year under review undertook the activities of fencing and Construction of vehicle shade to health department and Project-Construction of four 2-stance VIP Latrine to health department which were supervised by the technical team that comprised of the DE, the DEO, Environment Officer and the Community Development Officer for example on 15th June, 2023.</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p>	<p>It was revealed and evidence that the Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors. A comprehensive review of the project payment files and contracts revealed the following;</p> <ol style="list-style-type: none"> 1. Fencing and construction of vehicle shade at the health department under contract no: PKCH/618/WRKS/22-223/00001. The contract issued a payment request on 10th June 2023 and the DHO Dr. Ajal Paul verified and certified the works on 15th June 2023 which was 5 days and within the required timeframes of within 2 weeks or 10 working days after receiving payment requests. 2. Construction of 4-2 stance VIP latrine for health department PKCH/618/WRKS/22-23/0003. The contractor issued a payment request on 15th May 2023 and the DHO Dr. Ajal Paul verified and certified the works on 23rd May 2023 which was 9 days which was required timeframes of within 2 weeks or 10 working days after receiving payment requests. 3. Construction of an emergency delivery room with Placenta Pit attached at Ragem HCII (PKCH/618/WRKS/22-23/00002) The contractor issued a payment request on 7th June 2023 and the DHO Dr. Ajal Paul verified and certified the works on 14th June 2023 which was 7 days which was required timeframes of within 2 weeks or 10 working days after receiving payment requests. 	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>There was evidence of complete procurement files for the Construction of the four 2-stance VIP latrine for health department PKCH/618/WRKS/22-23/0003. The evaluation report and its approval by the Contracts Committee was on file. The contract award minutes and signed contract were also on file.</p>	1

Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>Under health, there was a grievance of pending payments that caused a halt in construction work in Wadelai Health Centre III.</p> <p>The grievance was recorded on 11th June, 2023 and a meeting was held on 19th June 2023 to address the issue. The issue was resolved and the contractor ordered to pay.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>The District Health and Environment Officer had the guidelines and they were reportedly disseminated however there was no report or list of attendance by the responsible officers acknowledging receipt of the guidelines.</p>	0
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>Infectious Disease Institute signed an MOU with Green Label on behalf of the district to be handling all the medical waste generated signed on 1st June, 2020. It is IDI that makes waste management payments and a copy of the MOU was sent to the District. The District has copies of collection certificates with the latest being in October.</p> <p>All the health centers have placenta pits and other health centers collect all the waste generated and confine it at the health Centre IV where Green Label collects it at once.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>The training record for waste management indicated that the training was carried out on 14th June 2023</p> <p>The training was attended by the managers and health assistants/CDOs</p>	1

16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0	For the construction of emergency delivery rooms with a placenta pit attached to OPD at Ragem H/C II, the contract document between the District and Ulto Engineering Limited in February 2023, the ESMP was incorporated in the BOQ	2
	Maximum 8 points on this performance measure		For the construction of 2 emergency delivery with placenta pit attached to OPD at Paroketo H/C, the contract document between the District and Leko GI Yesu Nuti Construction and Engineering Works Limited in February 2023 the ESMP was incorporated in the BOQ	
			For the construction of 4-2 stances VIP latrines to the health department, the contract document between the District and Kris Consults Limited in February 2023 the ESMP was incorporated in the BOQ	
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0	Construction of an emergency delivery room with a placenta pit attached to OPD at Paroketo HCII at Pakech South Village, Paroketo Parish, Pakwach Subcounty	2
	Maximum 8 points on this performance measure		Certificate of title dated; 22nd September, 2011.	
			Construction of a 2 stance VIP latrine at Pakia HCIII in Dispensary East Village, Pakia Ward, Pakia Parish, Panyango Subcounty	
			Certificate of title dated; 22nd September, 2011.	
			Construction of an Emergency Delivery room with a placenta Pit attached to OPD at Ragem HCII in Upetku Village, Nyakumba Ward, Ragem Subcounty	
			Certificate of title dated; 22nd September, 2011.	

16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.	There was evidence of monitoring on work progress for the various projects for health. Monitoring was undertaken by the District Health Officer and reports provided dated 25th April, 2023 and 26th May, 2023.	2
	Maximum 8 points on this performance measure			
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0	There was evidence that the projects under health were certified for Environment and social issues; For the construction of an emergency delivery room with a placenta pit attached to OPD at Paroketo HCII at Pakech South Village, Paroketo Parish, Pakwach Subcounty, the E & S certificate was dated; 20th June, 2023.	2
	Maximum 8 points on this performance measure		For the construction of a 2 stance VIP latrine at Pakia HCIII in Dispensary East Village, Pakia Ward, Pakia Parish, Panyango Subcounty, the E & S certificate was dated; 20th June, 2023 For the construction of an Emergency Delivery room with a placenta Pit attached to OPD at Rgaem HCII in Upetku Village, Nyakumba Ward, Ragem Subcounty, the E & S certificate was dated; 20th June, 2023	

**Water &
Environment
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>A review of the MIS data provided by the MoWE showed that the District rural water source functionality for FY 2022/2023 was at 91%</p>	2
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>A review of the MIS data obtained from the MoWE showed that the percentage of the district rural water facilities with functional WSCs for FY 2022/2023 was at 92%</p>	2
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;</p> <ul style="list-style-type: none"> • Above 80%, score 2 • 60% - 80%, score 1 • Below 60%, score 0 	<p>A review of the LLGs performance assessment results in water and environment for FY 2022/2023 revealed the following performance;</p> <p>Alwi 100%</p> <p>Dei 100%</p> <p>Pakwach 20%</p> <p>Panyango 60%</p> <p>Panyimur 60%</p> <p>Pokwero 60%</p> <p>Ragem 100%</p> <p>Wadelai 30%</p> <p>Therefore, the LG average was $(100+100+20+60+60+60+100+30)/8 = 66.5\%$</p>	1

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.

o If 100 % of water projects are implemented in the targeted S/Cs: Score 2

o If 80-99%: Score 1

o If below 80 %: Score 0

A review of the MoWE MIS data on safe water coverage for FY 2021/2022 which was used for planning of water projects in the previous FY 2022/2023. The report provided evidence of the following safe water coverage per sub county in the district;

Remarks: Panyimur sub county was not part of Pakwach district as indicated in MoWE MIS for FY 2021/2022 therefore the DWO MIS which included Panyimur sub-county safe water coverage data was used.

- Alwi 60.5%
- Pakwach 57.9%
- Panyango 47.7%
- Panyimur 46%
- Wadelai 53.5%

District average safe water coverage was 59.4%. Sub counties with water coverage below district average were; Pakwach, Panyango, Panyimur and Wadelai SCs

Reviewed water sector annual work plan and budget for FY 2022/2023 dated 1st August 2022 and approved on 5th August 2022 by MWE. The following water projects were planned for in the district;

- Construction of 2-stances pit latrine (Wadelai SC)
- 05 Deep boreholes drilling (hand pump); Wadelai (1), Pakwach (1), Ragem (curved out of Wadelai) - 1, Dei (curved out of Panyimur) - 1, Pokwero (curved of Panyango) -1,
- Construction of piped water supply system in Pakwach SC.

The total number of the projects implemented were 7 and the projects which were implemented in sub-counties

with safe water coverage below the district average were 7 in number.

Percentage of projects implemented in S/Cs with coverage below the district

average was = $7/7 \times 100\% = 100\%$

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates

o If within +/-20% score 2

o If not score 0

1. Construction of piped water supply system; Procurement Ref. No. PKCH/618/Wrks/22-23/00019 had a contract award amount of UGX 193,635,876 against the engineer's estimated amount of UGX 263,083,916 leading to a variation of $(69,448,020/263,083,916) \times 100 = 26.4\%$

2. Construction of 2-stances pit latrine; Procurement Ref. No. PKCH/618/Wrks/22-23/00023 had a contract award amount of UGX 14,949,892 against the engineer's estimated amount of UGX 15,000,000 leading to a variation of $(50,108/15,000,000) \times 100 = 0.3\%$

3. Drilling of five (05) deep boreholes; Procurement Ref. No. PKCH/618/Wrks/22-23/00021 had a contract award amount of UGX 175,000,000 and siting of five (05) boreholes; Procurement Ref No; PKCH/618/Wrks/22-23/00023 had a contract award price of UGX 17,405,000 giving a total contract amount of UGX 192,405,000 against the engineer's estimated amount of UGX 192,500,000 leading to a variation of $(95,000/192,500,000) \times 100 = 0.05\%$

Therefore, not all the variations in the contract price of sampled WSS investments were with +/-20%

2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p>Maximum 8 points on this performance measure</p>	<p>d. % of WSS infrastructure projects completed as per annual work plan by end of FY.</p> <ul style="list-style-type: none"> o If 100% projects completed: score 2 o If 80-99% projects completed: score 1 o If projects completed are below 80%: 0 	<p>Reviewed water sector annual work plan and budget for FY 2022/2023 dated 1st August 2022 and approved on 5th August 2022 by MoWE. The following WSS projects were planned for implementation;</p> <ol style="list-style-type: none"> 1. 05 Deep boreholes drilling (hand pump). 2. Borehole rehabilitation 7 no. 3. Construction of 2-stances drainable pit latrine with shelter. 4. Construction of piped water supply system. 	2
			<p>The 4th quarter progress and financial accountability report for FY 2022/2023 dated 21st July 2023 and received by MoWE on 27th July 2023 reported that all the above WSS infrastructure projects were completed by the end of the FY 2022/2023.</p>	
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <ul style="list-style-type: none"> o If there is an increase: score 2 o If no increase: score 0. 	<p>MoWE MIS data showed that 88% of district rural water supply facilities were functioning in the FY 2021/2022</p> <p>MoWE MIS data showed that 91% of district rural water supply facilities were functioning in the FY 2022/2023</p> <p>Therefore, there was an increase of 3.0% in the district rural water supply facilities that were functioning.</p>	2
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <ul style="list-style-type: none"> o If increase is more than 1% score 2 o If increase is between 0-1%, score 1 o If there is no increase : score 0. 	<p>MoWE MIS data indicated that facilities with functional water and sanitation committees in the FY 2021/2022 was 97%</p> <p>Also, MoWE MIS data indicated that facilities with functional water and sanitation committees in the FY 2022/2023 was 92%</p> <p>Therefore, there was a decline of 5.0% in water facilities with water and sanitation committees functioning</p>	0

Performance Reporting and Performance Improvement

Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance

Maximum 3 points on this performance measure

The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3

Reviewed water sector annual work plan and budget for FY 2022/2023 dated 1st August 2022 and approved on 5th August 2022 by MoWE. The following WSS projects were planned for implementation;

1. 05 Deep boreholes drilling (hand pump).
2. Construction of 2-stances drainable pit latrine with shelter.
3. Construction of piped water supply system.

The 4th quarter LG rural water supply and sanitation sector progress report for FY 2022/2023 dated 21st July 2023 and received by MoWE on 27th July 2023 reported on WSS facilities as follows:

- Drilling of 05 deep boreholes was completed and functional
- Construction of 2-stances pit latrine was completed and functional
- Construction of piped water supply system was completed and functional.

The following WSS facilities were visited and found functional.

1. Kanyinyi upper borehole DWD 87811 in Pakwach sub-county constructed on 26th May 2023 by Icon Projects Limited.
2. Japiem Onen South borehole DWD 87815 in Pokwero sub-county constructed on 2nd May 2023 by Icon Projects Limited.
3. Construction of 2-stances drainable pit latrine with shelter in Wadelai sub-county by Rivershores Trade Links.

Since the information on WSS facilities reported in quarter 4 report for the FY 2022/2023 corroborated with what was seen at the sites visited, it confirmed that the DWO accurately reported on the WSS facilities constructed in the FY 2022/2023.

5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2</p>	<p>The following quarterly reports for FY 2022/2023 contained information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement as below:</p> <ul style="list-style-type: none"> • 1st quarter water sector report for FY 2022/2023, dated 5th January 2023 and received by MoWE on 11th January 2023. • 2nd quarter water sector report for FY 2022/2023, dated 30th January 2023 and received by MoWE on 17th February 2023. • 3rd quarter water sector report for FY 2022/2023 dated 17th April 2023 and received by MoWE on 25th April 2023. • 4th quarter water sector report for FY 2022/2023 dated 21st July 2023 and received by MoWE on 27th July 2023 	2
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0</p>	<p>The DWO updated the MIS (WSS data) quarterly for the FY 2022/2023 using data collection forms / sheets. Form 1 (for new WSS facilities) and 4 (for existing WSS facilities) were officially submitted to MoWE under cover letter dated 8th September 2023.</p> <p>A sample of the following new water supply and sanitation facilities that were constructed in the FY 2022/2023 were seen recorded in the WSS data register for FY 2022/2023;</p> <ul style="list-style-type: none"> • Kanyinyi Upper borehole DWD 87811 constructed in Pakwach sub-county. • Bar poro borehole DWD 87813 constructed in Wadelai sub-county. • Japiem Onen South borehole DWD 87815 constructed in Pokwero sub-county. <p>These were evidences of updating the MIS (WSS data)</p>	3

5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>The DWO presented a performance improvement plan dated 21st July 2023 prepared by Mr. Ocakacon Abara Godfrey (Assistant water officer-mobilization)</p> <p>The performance improvement plan targeted all the sub-counties in the district and was intended to bridge the areas of weakness identified during the LLGs assessment of the FY 2021/2022 and FY 2022/2023 for subsequent improvement in the LLG performance in the FY 2023/2024.</p>	2
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Human Resource Management and Development

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p>	<p>The DWO budgeted for the following water and sanitation staffs as per PBS staff list:</p> <ol style="list-style-type: none"> 1. District Water Officer 78,000,000 UGX 2. Assistant Engineering Officer Civil 26,400,000 UGX 3. Engineering Assistant Water Supply 4,533,367 UGX 4. Assistant Water Officer In Charge Mobilization 7,927,688 UGX 5. Assistant Water Officer Sanitation and Hygiene 7,927,688. UGX 	2
6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>The LG budgeted for following staff as per PBS staff list :</p> <ol style="list-style-type: none"> 1. District Natural Recourses Officer 32,400,000 UGX 2. Environment Officer 26,400,000 UGX 3. Assistant Forest Officer 14,400,000 UGX 	2

7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>The DWO appraised water office against agreed performance plans as listed below:</p> <ol style="list-style-type: none"> 1. Okello Haruni Engineering Assistant In Charge Boreholes was appraised on 30th/ June/ 2023. 2. Ocakacon Abara Geoffrey Assistant Water Officer Mobilization was not appraised since he was newly recruited on 30th June 2023. 	3
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p>	<p>The DWO presented a capacity needs assessment report dated 24th July 2023 for the DWO staff (2 no.) received by the PHRO</p> <p>The DWO staff were trained in water quality testing / surveillance as per training report dated 20th August 2023 prepared by Oweknimungu Benedicto-District water officer.</p>	3

Management, Monitoring and Supervision of Services.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
- • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
- • If 80-99%: Score 2
- • If 60-79: Score 1
- • If below 60 %: Score 0

Reviewed MoWE MIS for FY 2022/2023. Safe water coverage per sub-county were as follows;

Remarks: Panyimur sub county was not part of Pakwach district as indicated in MoWE MIS for FY 2022/2023 therefore the DWO MIS which included Panyimur sub-county safe water coverage data was used.

- Alwi 75%
- Pakwach 71.3%
- Panyango 57.7%
- Panyimur 35.9%
- Wadelai 57.6%

District average safe water coverage was 62% and Sub-counties with water coverage below district average were; Panyango, Panyimur and Wadelai S/Cs.

Reviewed water sector annual work plan and budget for FY 2023/2024 dated 16th August 2023 and approved on 18th August 2023 by MoWE showed that following water projects were planned to be implemented;

1. Drilling of 5 no. deep boreholes (hand pump) each at UGX 38,500,000 (total UGX 192,500,000). Wadelai (1), Ragem (curved out of Wadelai)-2, Pokwero (curved out of Panyango)-2

2. Construction of piped water supply system in Wadeli SC at UGX 202,962,583

3. Design of a piped water supply system at UGX 40,000,000 in Panyango SC

4. Construction of VIP latrine in rural growth centres (RGCs) at UGX 15,000,000 in Panyango SC

Giving total allocation of UGX 450,462,583

All the above budget allocations were to sub-counties with water coverage below the district average.

Thus, percentage allocation to S/Cs below district average =
 $(450,462,583/450,462,583) \times 100 = 100\%$

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3</p>	<p>The DWO presented a letter date 16th August 2023 signed by Dalili RK Moses-CAO Pakwach DLG addressed to all LLGs which communicated the allocations of water sources to be constructed per sub-county in the FY 2023/2024 and was pinned on the district noticeboard.</p>	3
	<p><i>Maximum 6 points on this performance measure</i></p>		<p>The DWO also conducted sub-county advocacy to communicate to the lower local governments their allocations as per the minutes of meeting dated 11th August 2023.</p>	
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)</p> <ul style="list-style-type: none"> • If 95% and above of the WSS facilities monitored quarterly: score 4 • If 80-94% of the WSS facilities monitored quarterly: score 2 • If less than 80% of the WSS facilities monitored quarterly: Score 0 	<p>The Quarterly reports were presented which had information on the monitoring of each WSS facilities during the FY 2022/2023 as indicated below;</p> <ul style="list-style-type: none"> • First quarter progress report dated 5th January 2023; 295 water points were visited; Alwi (49), Pakwach (65), Panyimur (57), Wadelai (60), Panyango (64), representing 100% • Second quarter progress report dated 30th January 2023; 277 water points were visited; Alwi (36), Pakwach (55), Panyimur (56), Wadelai (84), Panyango (46), representing 94% • Third quarter progress report dated 17th April 2023; 295 water points were visited; Alwi (49), Pakwach (65), Panyimur (57), Wadelai (60), Panyango (64), representing 100% • Fourth quarter progress report dated 21st July 2023; 280 water points were visited; Alwi (37), Pakwach (55), Panyimur (57), Wadelai (85), Panyango (46), representing 100% <p>Therefore, the DWO was able to monitor $(100+94+100+95)/4 = 97\%$ of the WSS facilities with the help of the DWO staff and the extension workers.</p>	4
	<p><i>Maximum 8 points on this performance measure</i></p>			
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2</p>	<p>The following minutes were evidences of the quarterly DWSCC meetings which discussed issues on WSS projects status and implementation in the district;</p> <p>Minutes of Quarter 1 DWSCC meeting held on 7th October 2022 at the district headquarters attended by 20 participants. Some of the key issues discussed were;</p> <p>Min 7: 1st DWSCC 7/Oct/2022</p>	2
	<p><i>Maximum 8 points on this performance measure</i></p>			

- NWSC should involve the DWO water quality testing / surveillance.

- The CAO to write to the sub counties through the tenderer the installation of garbage bins and enforcement to be done.

- DCDO to coordinate CDOs to do stakeholder sensitization on projects before implementation.

Minutes of Quarter 2 DWSCC meeting held on 20th January 2023 attended by 20 participants. Some of the key issues discussed were;

Min 6: 2nd DWSCC 20/Jan/2023

- Africa Water Solutions (AWS) to engage health assistants in project implementation from project onset.

- Africa Water Solutions (AWS) to conduct EIA of Fualwonga piped water supply system.

Minutes of Quarter 3 DWSCC meeting held on 24th April 2023 attended by 22 participants. Some of the key issues discussed were;

Min 6: 3rd DWSCC 4th /April/2023

- Water board to intensify on issues of water board meeting to help discuss methods to improve on water user fee collection by going to the community for collection.

- NWSC to extend piped water to Goro village in Panyango subcounty if it lies within Pacego small town project.

Minutes of Quarter 4 DWSCC meeting held on 7th July 2023 attended by 23 participants. Some of the key issues discussed were;

Min 6: 4th DWSCC 7th /July/2023

- Northern Umbrella for water and sanitation (NUWs) to speed connection of customers who missed promotional connections latest end of August 2023.

- Northern Umbrella for water and sanitation (NUWs) to device a mechanism to help in rapid response of break downs.

- All sister organizations dealing in WASH should give the report given at the DWSCC meeting to the sub counties.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2

The DWO publicized the budget allocations for the current FY 2023/2024 to LLGs with safe water coverage below the district average by display of a letter dated 16th August 2023 and signed by Dalili RK Moses-CAO on the district noticeboard.

The following were the allocations to the sub-counties;

1. Wadelai sub-county was allocated the following WSS projects.

- 01 borehole drilling in forest village, Pawinyo parish at a cost of UGX 38,5000,000.
- 01 borehole rehabilitation in Pajago east village, Pumit parish at a cost of UGX 19,670,951.
- Extension of pipe water system to Pakwinyo and Ocayo parishes at a cost of UGX 202,962,583

2. Ragem sub-county was allocated the following WSS projects.

- Borehole drilling in Paten west village, Ragem upper parish and Paten lower, Ragem lower parish each at a cost of UGX 38,5000,000.
- Borehole rehabilitation in Jalaranga village, Nyakumba parish and Opetku village Ragem upper parish each at a cost of UGX 19,670,951.

3. Panyango sub-county was allocated the following WSS projects.

- Feasibility studies and design for piped water supply system at a cost of UGX 40,000,000.
- Sanitation facility at Pagwaya, Pakiya parish at a cost of UGX 15,000,000.

4. Pokwero (curved out of Panyango) sub-county was allocated the following WSS projects.

- Borehole drilling in Pacer village, Lobodegi parish and Lao Okwonga, Lobodegi parish each at a cost of UGX 38,5000,000.
- Borehole rehabilitation in Odroo village, Pokwero parish at a cost of UGX 19,670,951.

10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 	<p>From the approved AWP for FY 2022/2023, the total NWR for rural water and sanitation budget was UGX 60,543,336 and allocation of the NWR to facilitate mobilization activities was UGX 24,300,000 as per item 6 (software) of the budget.</p> <p>Therefore, allocation of the NWR to facilitate community mobilization activities was 40.1% which was higher than the minimum of 40% as per the sector guidelines.</p>	3
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.</p>	<p>Reviewed the report titled: Report of the water user committee training for the five (5) drilled boreholes in Pakwach district in FY 2022/2023 compiled by Kimira Innocent – ADWO (Mobilization). A total of 48 participants attended of which 35 were WUCs.</p> <p>The report showed the WSCs in were trained on the following:</p> <ul style="list-style-type: none"> - Community based management system. - Equipping WUC leaders with skills to manage their sources. - To provide them with roles and responsibilities of the various stakeholders <p>The following WSS facilities was sampled as detailed below:</p> <ul style="list-style-type: none"> • Kanyinyi upper borehole DWD 87811 in Pakwach Sub-county and found Ogwolmu Charles (caretaker) of the WSC who confirmed payment of user fees of UGX 1,000 per household, and confirmed they were trained on O&M of the borehole. • Japiem Onen South borehole DWD 87815 in Pokwero sub-county found Onencan Micheal (chairperson) of the WSC who confirmed payment of user fees of UGX 1,000 per household, and confirmed they were trained on O&M of the borehole. • The third WSS facility sampled was a drainable pit latrine in Wadelai SC which didn't require formation and training of a water user and sanitation committee 	3

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:</p> <p>Score 4 or else 0</p>	<p>The DWO presented an asset register as per 17th July 2023 for the FY 2022/2023</p> <p>The document contained details for WSS facilities in the LG with the following key information captured for each facility; Sub-county, Location of facilities, Parish, number of households. Condition, fence, latrine within 50 meters of water source, pipe material.</p> <p>The register contained the following WSS constructed in the FY 2022/2023 which showed that the it was updated.</p> <ul style="list-style-type: none"> • Kanyinyi Upper borehole DWD 87811 constructed in Pakwach sub-county. • Bar poro borehole DWD 87813 constructed in Wadelai sub-county. • Japiem Onen South borehole DWD 87815 constructed in Pokwero sub-county.
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDPIII) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p> <p>Score 4 or else score 0.</p>	<p>From the Desk Appraisal reports provided for the assessment, the following Water Sector Projects were desk appraised to establish if they were derived from the LGDPIII, eligibility for expenditure under sector guidelines and funding source;</p> <ol style="list-style-type: none"> 1. Extension of piped water system in Pakwinyo Parish Wadelai S/C appraised on 6th July 2023 2. Drillig and construction of 5 breholes in Pakwach, Panyamur, Alwi, Pokwero and Ragem appraised on 6th July 2023 3. Rehabilitation of 5 deeepboreholes in Panyango, Dei, Alwi, Pokwero and Pakwach appraised on 10th July 2023 <p>The appraisal team that comprised of Mr. Oweknimungu Benedicto the DWO, Okaro Herbert, Olwo Patrick and Akwagopanga Dennis reported that all the above projects fit the appraisal criteria as provided.</p>

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>The DWO presented community applications for the new WSS projects planned for in the FY 2023/2024.</p> <p>Three applications were sampled and detailed below;</p> <ul style="list-style-type: none"> • Application for drilling of a borehole in Forest village, Pakwinyo parish, Wadelai sub-county with 114 households dated 17th August 2023. • Application for drilling of a borehole in Paten west village, Ragem Upper parish, Ragem SC with 56 households dated 16th August 2023. • Application for drilling of a borehole in Pacer village, Lobodegi parish, Pokwero sub-county with 51 households dated 15th August 2023. 	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>From the Field Appraisal reports provided for the assessment, the following Water Sector Projects were field appraised and found technically feasible, environmentally and socially acceptable and fit the customized designs for WSS projects;</p> <ol style="list-style-type: none"> 1. Extension of piped water system in Pakwinyo Parish Wadelai S/C appraised on 17th July 2023 2. Drillig and construction of 5 breholes in Pakwach, Panyamur, Alwi, Pokwero and Ragem appraised on 19th July 2023 3. Rehabilitation of 5 deeepboreholes in Panyango, Dei, Alwi, Pokwero and Pakwach appraised on 21st July 2023 <p>The appraisal team that comprised of Mr. Oweknimungu Benedicto the DWO, Okaro Herbert, Olwo Patrick and Akwagopanga Dennis reported that all the above projects fit the appraisal criteria as provided.</p>	2

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>Sille East Borehole rehabilitation in Sille East, Fualwonga Parish, Alwi Subcounty, Pakwach District with an Environment and social screening form dated 11th August, 2022,</p> <p>Padoch Central borehole rehabilitation in Padoch Central, Padoch Parish, Panyango Subcounty, Pakwach District with an Environment and social screening form dated 10th August, 2022 and Ovurokojo borehole rehabilitation in Ovurokojo Village, Lwaga Parish, Panyimur Subcounty, Pakwach District with an Environment and social screening form dated 10th August 2022</p>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>Evidence showed that water infrastructure investments were incorporated in the LG-approved procurement plan for the previous FY. These were as follows:</p> <ol style="list-style-type: none"> 1. extension of piped water supply system to Jakok, Kaligonzi, Ajika, Atara villages and St. Agatha P/S at Bugdget Ugx 193,331,000 2. Siting and Construction of Supervision of 5 Deep Boreholes at Ugx. 17,500,000 3. Rehabilitation of 7 Deep Boreholes at Ugx 175,000,000 4. Construction of a 2-stance VIP latrine at Ugx 92,285,000 	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>There was evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction. The projects approved included;</p> <ol style="list-style-type: none"> 1. extension of piped water supply system to Jakok, Kaligonzi, Ajika, Atara villages and St. Agatha P/S at Bugdget Ugx 193,331,000 2. Siting and Construction of Supervision of 5 Deep Boreholes at Ugx. 17,500,000 3. Rehabilitation of 7 Deep Boreholes at Ugx 175,000,000 4. Construction of a 2-stance VIP latrine at Ugx 92,285,000 	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>The LG provided evidence that the District Water Officer properly had established the Project Implementation team whose membership was appointed by CAO in a letter dated 13th Feburary 2023;</p> <ol style="list-style-type: none"> 1. The District Engineer; Ochakachon Geoffrey 2. The Diatrick Water Officer: Oweknimungu Benedicto 3. The Environment Officer: Achayo Christine 4. The Community Development Officer: Olwo Patric 5. Community Development Officer - Mr. Patrick Olwo 6. Clerk of works; Kerumbe Julius 	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>There was evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided as observed from the three water projects were sampled.</p> <p>1. Sampled the construction of a 2-stance drainable pit latrine with a shelter in Wadelai SC, it was constructed as per the floor plan dimensions with a vent pipe and Gauge 28 pre painted iron sheets.</p> <p>The drawings were availed by the DWO.</p> <p>2. Sampled two boreholes Kanyinyi upper borehole DWD 87811 in Pakwach sub-county and Japiem Onen South borehole DWD 87815 in Pokwero sub-county</p> <p>The following measurements were checked and found to conform to the measurements on the design drawings provided by the DWO;</p> <ul style="list-style-type: none"> • Pump pad radius of 1.0M • Length of drainage channel 6.0M • Platform stand (Length = 0.6M and width = 0.6M). 	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2</p>	<p>There was evidence that the relevant technical officers carried out monthly technical supervision of the following WSS infrastructure projects as per progress report dated 21st July 2023;</p> <p>1. Siting and Construction Supervision of 5 Deep Boreholes</p> <p>2. Extension of piped water supply system to Jakok, Kaligonzi, Ajika, Atara villages and St. Agatha P/S</p> <p>3. Rehabilitation of 7 Deep Boreholes</p> <p>4. Construction of a 2-stance VIP latrine</p>	2

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts

- o If 100 % contracts paid on time: Score 2
- o If not score 0

Evidence was adduced during the assessment that the DWO verified works and initiated payments of contractors with in specified time frames in the contracts. A review was done on the following projects and the following was found;

1. Sitting, construction and supervision of 5 deep boreholes PKCH/618/WRKS.22-23/00023 contracted by Aquatech Enterprises Uganda. A payment request of UGX17,405,000 was issued by the contractor on 24th May 2023 and certification of work evidenced by a supervision certificate no. 1 and Interim payment certificate was certified by the DWO Mr. Bedicto Oweknimungu on 29th May 2023 in a space of 5 days which was timely.

2. Drilling and construction of five boreholes for Pakwach District by Icon Projects Limited PKCH/618/WRKS/22-23/00021. A payment request of UGX157,500,000 was issued by the contractor on 24th May 2023 and certification of work evidenced by a supervision certificate no. 1 and Interim payment certificate was certified by the DWO Mr. Bedicto Oweknimungu on 29th May 2023 after issuing a certificate of project completion.

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:</p> <p>Score 2, If not score 0</p>	<p>2</p> <p>There was evidence that the LG had complete procurement files for water infrastructure investments. For instance;</p> <p>1. For construction of 2-stance VIP latrine with Urinal or washrooms at Pakwinyo Auction market- procurement ref no PKCH/618/wrks/22-23/00023, the procurement file had an evaluation report dated 20th January 2023, the contract was approved by the contracts committee on 20th January 2023 under minute number 4:20/01/2023 and awarded to Rivershore Trade Links at contract price of UGX 14,949,892. The contract between the parties was dated 27th February 2023.</p> <p>2. Drilling and construction of five deep boreholes- procurement ref no PKCH/618/wrks/22-23/00021, the procurement file had an evaluation report dated 20th January 2023, the contract was approved by the contracts committee on 20th January 2023 under minute number 4:20/01/2023 and awarded to Icon Projects Limited at contract price of UGX 175,000,000. The contract between the parties was dated 14th March 2023.</p> <p>3. Siting and construction supervision of five deep boreholes - procurement ref no PKCH/618/srcvs/22-23/00023, the procurement file had an evaluation report dated 20th January 2023, the contract was approved by the contracts committee on 20th January 2023 under minute number 4:20/01/2023 and awarded to Aqua Tech Enterprises (U) Ltd at contract price of UGX 17,405,000. The contract between the parties was dated 15th March 2023.</p>
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Environment and Social Requirements

13	<p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p>	<p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p> <p>Score 3, If not score 0</p>	<p>3</p> <p>One grievance was recorded under this. Drilling of a rig spoiled sweet potatoes plantation in JapyemOnen South in Pokwero Subcounty, Pakwach.</p> <p>Grievance was recorded on 20th, June, 2023 and the Grievance Committee sat on 28th, June, 2023 and compensation was made</p>
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14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>Guidelines for water sources, catchment protection and natural resources management were disseminated on 26th June, 2023 by the District Water officer. In a meeting held at the District headquarters</p>	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p>	<p>There was evidence that source management plans were prepared; Sille East Borehole rehabilitation in Sille East, Fualwonga Parish, Alwi Subcounty, Pakwach District had management plan dated 17th August, 2022,</p> <p>Padoch Central borehole rehabilitation in Padoch Central, Padoch Parish, Panyango Subcounty, Pakwach District had a management plan dated 17th August, 2022 and,</p> <p>Ovurokojo borehole rehabilitation in Ovurokojo Village, Lwaga Parish, Panyimur Subcounty, Pakwach District had a management dated 17th August 2022</p> <p>Prepared by the Environment and water officers</p>	3

15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p>	<p>There was evidence that all WSS projects for the FY 2022/2023 were implemented on land where Pakwach DLG had proof of consent as shown from the samples below:</p>	3
		<p>Score 3, If not score 0</p>	<ol style="list-style-type: none"> 1. Land consent agreement for piece of land for development of community water source (deep borehole) dated 15th May 2023 between Mr. Ouya Olore and the community of Kanyinyi upper village, Mukale parish, Pakwach sub-county measuring 12.5Mx15M. The consent form was signed off by Mr. Rwamukaga Geoffrey the LC1 chairperson. 2. Land consent agreement for piece of land for development of community water source (deep borehole) dated 6th March 2023 between Mr. lwutung Thomas and the community of Japiem onen south village, Pokwero parish, Pokwero sub-county measuring 10Mx5M. The consent form was signed off by Mr. Onencan Micheal the LC1 chairperson 3. Land consent agreement for piece of land for development of community water source (deep borehole) dated 11th May 2023 between Mr. Acibu Santina and the community of Mukandwa village, Nyakumbwa parish, Ragem sub-county, Pakwach district measuring 20Mx20M. The consent form was signed off by Mr. Onyai Onencan the LC1 chairperson. 	
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:</p>	<p>There was evidence that all projects under water were certified for Environment and Social issues;</p> <p>Sille East Borehole rehabilitation in Sille East, Fualwonga Parish, Alwi Subcounty, Pakwach District an E & S certificate endorsed by both CDO and EO on 20th June 2023.</p> <p>Padoch Central borehole rehabilitation in Padoch Central, Padoch Parish, Panyango Subcounty, Pakwach District with an E & S certificate endorsed by both CDO and EO on 20th June 2023.</p> <p>Ovurokojo borehole rehabilitation in Ovurokojo Village, Lwaga Parish, Panyimur Subcounty, Pakwach District with an E & S certificate endorsed by both CDO and EO on 20th June 2023.</p>	2
		<p>Score 2, If not score 0</p>		

15	Safeguards in the Delivery of Investments	d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:	No environment and social monitoring reports were availed at the time of inspection and the Environment officer reported that; they had been serving in the position less than two months at the time of assessment and therefore their predecessor did not hand over the monitoring reports	0
	<i>Maximum 10 points on this performance measure</i>	Score 2, If not score 0		

**Micro-scale
Irrigation
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>At the time of assessment, there was no evidence presented on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries.</p> <p>However, a report on the summary of total irrigated land in the Pakwach DLG dated 30th June 2023, as compiled by the DAO Felix Kawambe was presented for assessment.</p>	0
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>At the time of assessment, there was no evidence presented on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries.</p> <p>However, a report on the summary of total irrigated land in the Pakwach DLG dated 30th June 2023, as compiled by the DAO Felix Kawambe.</p> <p>Verbal evidence was presented by the SAE that 3 Ugift Demo sites had increased acreage of irrigated land by 45 acres.</p>	0
2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%, score 4 • 60% - 70%, score 2 • Below 60%, score 0 	<p>According to the uploaded results generated from the Analysis of LLG PA 2023 Synthesis Report dated 20th October 2023. The average score for the micro-scale irrigation for LLG is 100% improving from 80% obtained in 2022 thus being above 70%.</p>	4

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0	Evidence was presented that the development component of micro-scale irrigation grant was used on eligible activities, for example, According to the budget performance section (Page 2 of 23) in the Progress report Q4, dated 5th/7/23, prepared by DAO, reviewed by the DPO, and approved by the CAO, UGX 7, 862, 000 was spent on the sensitization of district stakeholders, which is an eligible activity according to the grant guidelines.	2
	Maximum score 6		Approved annual work plan and budget FY 2022/2023 prepared by the DAO Felix, verified by the DPO Oloya and approved by the CAO on 18th/7/22. The work plan reveals that for example, awareness raising of leaders at parish, LLG and LG was costed at UGX 23, 586, 000, which is an eligible activity.	
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	There was no any farmer acceptance form on file during the time of assessment. The file for procurement of demonstration materials for setting up microscale irrigation demonstration under contract number PKCH/618/Supls/22-23/000026 didn't have any farmer acceptance form.	0
	Maximum score 6			
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	Evidence that the variations in the contract price are within +/- 20% of the Agriculture Engineers estimates: Score 1 or else score 0	The variations in the contract price was within +/-20% of the Agriculture Engineer's estimates calculated as; Engineer's estimates = UGX 47, 163, 915 Contractor's price = UGX 46, 997, 559 Variation = $(47,163,915 - 46,997,559) / (46,997,559) \times 100$ = 0.35%	1
	Maximum score 6			

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY	No evidence was presented that micro-scale irrigation equipment where contracts were signed during the previous FY were also installed/ completed within the previous FY.	0
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0 	However, a “Report on technical handover of macro scale irrigation sites to farmers” dated 30th. June. 2023, by the district Agricultural officer Felix, Kawambe.	
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	a) Evidence that the LG has recruited LLG extension workers as per staffing structure	The LG had 9 LLGs with 15 extension staff deployed.	0
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0 	15/36 X100 = 41.6 %	
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF	There was evidence that the irrigation demonstration sites in the different LLGs meet standards as defined by MAAIF.	2
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 or else score 0 	Upon visiting the site acreages were in line with MAAF standards i.e. Panyango site at 1.5 acres and Pakwach SS site at 1 acre.	
			Also, the installed systems were drip, sprinkler, drag hose and rain gun both solar and generator powered, which is in line with MAAIF standards.	
			The installed systems also met standards as per the inventory report of installed MSI equipment dated 30th/6/2023. Signed by DPO.	

4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>b) Evidence that the installed micro-scale irrigation systems during last FY are functional</p> <ul style="list-style-type: none"> • If 100% are functional score 2 or else score 0 	<p>Upon visiting the field, it was found that 2 systems (drip and sprinkler) were functional and 2 systems (drag hose and rain gun) were not functional. This was because water levels at the river had risen and flooded the pump house hence no water could be pumped to the tank.</p>	0
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For Pakwach SS site, the system was not functional due to community vandalism and crop damage by monkeys in the area. At the time of assessment, the school administration was in the process of devising a security solution for the site to revive it.

Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0</p>	<p>The LG provided evidence that information on position of extension workers was accurate as listed below:</p>	2
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1. Jakony Neman who is Assistant animal Husbandry Officer at Ragem Sub County on the general staff list of Production department is consistent with the list at the Sub County dated on 1st July 2023.
2. Kumakech Casto who is Agricultural Officer at Wadelai Sub County in the general staff list of Production Department was consistent with the list in the file at the Sub County.
3. Nyeko Osman who is Fisheries Officer at Dei Sub County in the general staff list was consistent with the list at Dei Sub County.

5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p>	<p>The inventory report of installed MSI equipment dated 30th/6/2023. Signed by DPO, presented installed components but did not specify functionality. The information filled was accurate but the systems were not entirely functional at the time of assessment.</p>	0
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6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>	<p>At the time of assessment, there was evidence that information was collected quarterly on newly irrigated land, functionality of irrigation equipment installed, provision of complementary services and farmer EOI.</p>	2
	<p>Maximum score 6</p>		<p>For example, the quarterly progress reports compiled by DAO (Felix Kawambe) and forwarded by the CAO dated 7th/10/23 (Q1), 4th/1/23 (Q2), 5th/4/23 (Q3) and 5th/7/23 (Q4).</p>	
			<p>For example, Q3 report indicated that 5 farmers had expressed interest with 3 male and 2 females.</p>	
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p>	<p>Evidence was presented on up to-date LLG information entry into the MIS.</p>	1
	<p>Maximum score 6</p>		<p>For example, 52 farm visits, 258 EOI and 1,976 attended awareness raising events.</p>	
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0</p>	<p>Quarterly report was prepared and submitted to MAAIF as of 5th July 2023 for the fourth quarter 2022/23. For example, 52 farm visits, 258 EOI and 1,976 attended awareness raising events and this very information are shown on the dashboard of the MIS system. and field visit reported dated 19/10/2023 signed by SAE, Mr. Robert which information were reflected in the quarterly report</p>	1
	<p>Maximum score 6</p>			
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0</p>	<p>No evidence was presented that the LG has: Developed and approved Performance Improvement Plan for the lowest performing LLGs.</p>	0
	<p>Maximum score 6</p>			

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	No evidence was presented on Implementing Performance Improvement Plans for lowest performing LLGs.	0
	Maximum score 6			

Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	There was evidence that the LG had budgeted for extension workers according to the Pakwach DLG Production department work plan and Budget for FY 2022/2023, prepared by DPO, checked by CFO and approved by CAO on 15th December 2023, showing "Payment of salary of 21 staff" a total of Ugx 146,315,000. Extension officers were also included in the Production department staff list date 1st July 2023 showing staff e.g. - SAE Lalobo Robert at district HQ, U3Sc - AO Okurmu Edimond at Panyango s/c, U4Sc	1
	Maximum score 6			

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

Maximum score 6

ii Deployed extension workers as per guidelines score 1 or else 0

The LG had deployed the extension workers as shown below:

1. Wanguich Godwin Assistant Animal Husbandry Officer deployed at Panyimur Sub County.

2. Nyeko Osman Fisheries Officer deployed at Dei Sub County.

3. Pikwo James Assistant Animal Husbandry Officer deployed at Aliw Sub County.

4. Kumakech Walter Assistant Fisheries Officer deployed at Pokwero Sub County.

5. Okumu Edimond Assistant Agricultural Officer deployed at Panyango Sub County.

6. Olum Christoher Agricultural Officer deployed at Pakwach Sub County.

7. Adongu Richard Fisheris Offier deployed at Pakwach Sub County.

8. Otika tonny Vermin Hunter deployed at Pakwach Sub County.

9. Thocibongiero Flora Assistant Animal Husbandry Officer deployed at Panyimur Town Council.

10. Kumakech Casto Agricutulral Officer deployed at Wadelai Sub County.

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

Maximum score 6

b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0

Extension workers were working at LLGs where they were deployed. The list of Extension workers obtained from the DPO was compared with the deployed extension workers at the LLGs sampled. Likewise, the names in the staff attendance book tallied with the list at DPO office. For instance;

2. Nyeko Osman Fisheries Officer deployed at Dei Sub County.

3. Pikwo James Assistant Animal Husbandry Officer deployed at Aliw Sub County.

4. Kumakech Walter Assistant Fisheries Officer deployed at Pokwero Sub County.

5. Okumu Edimond Assistant Agricultural Officer deployed at Panyango Sub County.

6. Olum Christoher Agricultural Officer deployed at Pakwach Sub County.

7. Adongu Richard Fisheris Offier deployed at Pakwach Sub County.

8. Otika tonny Vermin Hunter deployed at Pakwach Sub County.

2

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

Maximum score 6

c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0

The LG pinned extension staff list on the notice board. For example Imvikia Dorothy Agricultural Officer Deployed at Nyapea Sub County, Sabatu Charles Assistant Animal Husbandry Officer deployed at Padea Sub County and Acirocan Dorothy Agricultural officer deployed at Paidha Sub County.

2

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has:</p> <p>i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0</p>	<p>The LG conducted annual performance appraisals of all the extension workers as listed below:</p> <ol style="list-style-type: none"> 1. Adongu Richard Fisheries Officer Pakwach Sub County was appraised on 06/07/2023. 2. Olum Christopher Agricultural Officer Pakwach Sub County was appraised on 3/7/2023. 3. Kumakech Casto Agricultural Officer Wadelai Sub County was appraised on 3/7/2023. 4. Opio Emans Fisheries Officer Wadelai Sub County was appraised on 3/7/2023. 5. Bakhit Siraji Agricultural Officer Alwi Sub County was appraised on 3/7/2023. 6. Pikwo James Animal Husbandry Officer Panyimur Sub County was appraised on 6/2/ 2023. 	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has;</p> <p>Taken corrective actions: Score 1 or else 0</p>	<p>Evidence not availed by the time of assessment.</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>b) Evidence that:</p> <p>i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0</p>	<p>There was evidence for implementation training activities conducted for example,</p> <ul style="list-style-type: none"> • Online training certificate of completion by the DAO for Module 4, dated 7th January 2023 • Certificate of completion for module 6 by the SAE E.g. Robert Lalobo dated 26th July 2023. • Certificate of training to Okurmu Edimond dated 1st – 5th August 2022, on Plant clinic by Danida. 	1

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	ii Evidence that training activities were documented in the training database: Score 1 or else 0	The training activities were documented for example, field reports dated 30th June 2023 and 13th July 2023 and others	1
	Maximum score 4		The training database was presented with a file of reports with examples presented above both with list of attendants and actual report as well the district also uses the MIS/Irritrack systems which so number of awareness training conducted.	

Management, Monitoring and Supervision of Services.

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.	a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 - 75% capital development; and 25% complementary services): Score 2 or else 0	There was no budget for Microscale irrigation grant in the financial year at the time of the assessment. It was indicated by the planner Mr. Oweda Emmanuel that the local government is in the process of budget revision where the MSI grant will be included in the FY2022/23 Estimates.	0
	Maximum score 10			
9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.	b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0	There was no budget for Microscale irrigation grant in the financial year at the time of the assessment. It was indicated by the planner Mr. Oweda Emmanuel that the local government was in the process of budget revision where the MSI grant will be included in the budget Estimates.	0
	Maximum score 10			
9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.	c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0	There was no co-funding reflected in the LG budget. There was no budget for Microscale irrigation grant in the financial year at the time of the assessment. It was indicated by the planner Mr. Oweda Emmanuel that the local government was in the process of budget revision where the MSI grant will be included in the FY2022/23 Estimates.	0
	Maximum score 10			

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>There was no co-funding reflected in the previous FY and therefore there was no farmer co-funding used the District in the previous FY.</p>	0
<p>Maximum score 10</p>				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>Evidence was presented that the LG had disseminated information on use of the farmer co-funding for example;,</p>	2
<p>Maximum score 10</p>				
			<p>A report on awareness raising events for District leaders on micro scale irrigation project, dated 10th February 2023, compiled by DAO Felix.</p>	
			<p>The report specified that the government cofunding shall be 75% and the farmer shall co-fund 25% for solar powered systems.</p>	
			<p>A report on awareness raising on Ugift in Pokwero sub-county dated 28th /4/2023, compiled by the Agricultural officer Pokwero sub-county.</p>	
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p>	<p>The DPO, has monitored the installation of the irrigation system evidenced on the activity report dated 23rd June 2023 by senior agricultural engineer of Nebbi district on request for service by CAO of Pakwach) (Ms. Conslate) and also an inventory of the irrigation equipment prepared on 30th June 2023 by Mr. Felix, district agricultural officer. All these reports show presence of DPO on monitoring and the irrigation system installation was in one month as contract was signed on 5th June 2023 and technical handover report was on 30th June 2023</p>	2
<p>Maximum score 8</p>		<ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 		
		<ul style="list-style-type: none"> • 70-89% monitored score 1 		
		<p>Less than 70% score 0</p>		

10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines	b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0	Evidence was presented on oversight for technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period for example,	2
	Maximum score 8		<ul style="list-style-type: none"> • According to a Quarterly report on crop Q4 FY 2022/2023 by DAO and dated 6th July 2023, where farmers at Panyango sub-county were trained in the operation of the installed irrigation system. 	
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines	c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0	At the time of assessment, there was evidence presented that the LG had provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines. For example,	2
	Maximum score 8		<ul style="list-style-type: none"> • A report on Benchmarking visit to Mpigi district on Micro scale irrigation dated 4th/7/2023 and compiled by the DAO. 	
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	Demonstration site has been established and the farmers field school was conducted as compiled in a report dated 13th July 2023 by Okurum Edmond agricultural officer	2
	Maximum score 8			
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	Evidence was presented on the LG conducting activities to mobilize farmers as per guidelines for example,	2
	Maximum score 4		<ul style="list-style-type: none"> • A report on awareness creation and registration of interested farmers in Wadelai sub-county dated 30th/6/2023 by the AO and forwarded by the DPO. 	

11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	Evidence was presented about training of staff and political leaders at District and LLG levels, for example, • A report on awareness raising events for District leaders on micro scale irrigation project, dated 10th February 2023, compiled by DAO Felix.	2
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Investment Management

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines Maximum score 8	a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0	Evidence was presented that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY, i.e. • A report on the inventory of installed Micro scale irrigation equipment in Pakwach district, dated 30th/6/2023 and Signed by the District Production Officer.	2
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12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines Maximum score 8	b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0	The record on the MIS system is up to date with the evidence of farmer signed agreement to proceed with quotation on 26th October 2023 and with evidence of farmers continuing to express interest as updated on 19th October 2023 signed by SAE (Mr. Robert Lalobo)	2
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12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines Maximum score 8	c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0	At the time of assessment, evidence was presented that the District had carried out farm visits to farmers that submitted complete Expressions of Interest (Eoi), for example, • A report on farmers' farm visits conducted under the macro scale irrigation project for Wadelai sub-county dated 10th/7/23 compiled by the AO, Kumakech Casto.	2
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12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>There was no evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>The LG Procurement Plan dated 15th August, 2023 was in place but activities for MSI were not included</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>There was no evidence availed on the request for quotation from irrigation equipment suppliers pre-qualified by MAAIF.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0</p>	<p>The LG had only one irrigation equipment supplier Mereki Awanga Solutions Ltd but the LG did not avail evidence to this selection.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0</p>	<p>There was evidence that the micro-scale irrigation systems were approved by the contracts committee. For instance;</p> <p>1. Procurement of demonstration materials for setting up two micro scale irrigation demonstration sites - procurement ref no PKCH/618/supls/22-23/00026, the project was approved by the contracts committee on 5th May 2023 and awarded to Mereki Awanga Solutions Ltd at a contract sum of UGX 46,997,559.</p>	1

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	There was no evidence that the LG signed a contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	The LG at the time of verification had only installed demonstration farms in Panyango Subcounty in Padoch Village, Padoch Ward, and Pakwach Secondary School in Pajobi South, Pakwach Town Council, which designs were provided by MAAIF on document signed on 21/03/2023 with all the estimates henceforth has been approved by MAAIF. Site visit certificate as indicated on the contractors' bidding documents	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0	At the time of assessment, there was evidence presented on the LG conducting regular technical supervision of micro-scale irrigation projects by the relevant technical officers. E.g., <ul style="list-style-type: none"> • Report on field supervision and monitoring, compiled by the DPO Samuel Nawede and dated 16th/6/2023. • Report on district stakeholder monitoring, compiled by the DPO and dated 16th /6/2023 	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	h) Evidence that the LG has overseen the irrigation equipment supplier during: i. Testing the functionality of the installed equipment: Score 1 or else 0	Technical supervision report by senior agricultural Engineer on 23rd June 2023	1

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	Evidence was presented that the LG had overseen the irrigation equipment supplier during the Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer), • According to a report on technical handover of micro scale irrigation sites to farmers” dated 30th. June. 2023, by the District Agricultural officer Felix, Kawambe.	1
	Maximum score 18			
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer’s signed acceptance form: Score 2 or else 0	Payments records for procurement and installation of two micro-scale irrigation demonstrations in Pakwach SS and in a host Farmers Field in Panyango Subcounty at UGX46,997,559 under contract number PKCH/618/Supls/22-23/000026. However, there was no record for farmers approval hence the request was made and payment was made on 28th June 2023.	0
	Maximum score 18			
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	The procurement file for each contract under MSI was not aviled at the time of assessment.	0
	Maximum score 18			

Environment and Social Safeguards

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0	<p>The grievance redress mechanism is publicized on the notice boards and also on the district website www.pakwach.go.ug</p> <p>However at the time of assessment, letter were presented on appointment of different officers as members on the grievance committee e.g.,</p> <p>• Letter for assignment of extra duty as a member of grievance redress committee, dated 15th/7/23, and approved by the CAO Mr. Dalili Moses.</p>	2
	Maximum score 6			

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: i). Recorded score 1 or else 0 ii). Investigated score 1 or else 0 iii). Responded to score 1 or else 0 iv). Reported on in line with LG grievance redress framework score 1 or else 0	A review of the Grievance log showed No grievance was received from community for recording. IVA agrees with the assessor's findings and disagree with the score	1
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	A review of the Grievance log showed No grievance was received from community for recording and investigation.	1
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	A review of the Grievance log showed No grievance was received from community for recording.	1
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iv. Reported on in line with LG grievance redress framework score 1 or else 0	A review of the Grievance log showed No grievance was received from community for recording.	1

Environment and Social Requirements

15	Safeguards in the delivery of investments Maximum score 6	a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc. score 2 or else 0	Evidence was presented on the dissemination of Micro- irrigation guidelines for proper siting, land access and safe disposal of chemical waste containers. For example, • A report on awareness creation and registration of interested farmers in Wadelai sub-county dated 30th/6/2023 by the AO and forwarded by the DPO. • A report on awareness raising events for District leaders on micro scale irrigation project, dated 10th February 2023, compiled by DAO Felix.	2
15	Safeguards in the delivery of investments Maximum score 6	b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment. i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0	Under Micro-scale irrigation only two projects were carried out namely; Micro scale irrigation in Panyango Subcounty in Padoch Village, Padoch Ward, Panyango Subcounty, Pakwach District and screening form was dated 11th August, 2022 and Micro small-scale project in Pakwach Secondary School in Pajobi South, Pakwach Town Council, Pakwach and screening form was dated 11th August 2022. The ESMPs were dated 23rd August 2022 for both projects and the contract document including the BOQs dated 22nd May, 2023	1
15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	The progress monitoring for projects under micro scale irrigation was carried out on 16th June, 2023 by the Agriculture officer.	1
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	The signed E & S certificates were dated as of 20th June, 2023 and endorsed by both the CDO and Environment Officer	1

15

Safeguards in the delivery of investments

Maximum score 6

iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0

The signed E & S certificates were dated as of 20th June, 2023 and endorsed by both the CDO and Environment Officer

1

**Crosscutting Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	.The LG substantively appointed Wilfred Alirach as CFO vide letter dated 27th/Jan/ 2023 under DSC minute: DSC/PAK/018/2023 Signed by Okumu Christopher CAO	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	The position of the DP was neither substantively appointed nor was there a secondment in place. However, Mr Richard Oryem the Senior Planner had been assigned duties of the DP by the CAO vide letter dated 30th July 2023.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	The position of DE was neither substantively filled nor was there seconded person in place. However Mr. Ochakachon Geoffrey the senior Engineer was in acting position of DE	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	The position of the DNRO was neither substantively filled nor was there a seconded person in place.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	The LG substantively appointed Samuel Habajja as DPO vide letter dated 16th/ March/ 2020 under DSC minute: DSC/PAK/ 010/2020	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	The LG substantively appointed Awor Bernardette as DCDO vide letter dated 27th/June / 2023 under DSC/PAK/ 018/2023	3

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	Mr. Jakweyo Christopher was substantively appointed to the position of District Commercial Officer. This was evidenced by the letter dated 27th Jan 2023, signed by CAO Tivo Mark and minute DSC/PAK/034/2023.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	The LG substantively appointed John Onyutha as Senior Procurement Officer vide letter dated 16th/10/ 2019 under DSC minute: DSC/PAK/062/2019.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	The LG substantively appointed Faith Mungudit vide as Procurement Officer letter dated 16th/ March/ 2020 under DSC minute: DSC/PAK/09/2020.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	The position of PHRO was neither substantively filled nor was there a seconded person in place. However, Kerobedi Jenifer Senior Human Resource Officer had been appointed Vote controller vide letter dated 1st /July / 2023.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	The LG substantively appointed Jenifer Oweka as Senior Environment vide letter dated 10th/ 5/2021 under DSC minute: DSC/PAK/18/2021.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	k. Senior Land Management Officer /Physical Planner, score 2 or else 0	The LG substantively appointed Richard Alenyo as SLMO vide letter dated 10th/ 09/2021 under DSC minute: DSC/PAK/030/2021.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	l. A Senior Accountant, score 2 or else 0	The LG substantively appointed Beatrice Ochuna as Senior Accountant vide letter dated 30th/ 06/ 2023 under DSC minute: DSC/PAK/ 043/2023.	2

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0</p>	<p>The position of PIA was neither substantively filled nor was there a seconded person in place. However, the Internal Auditor Urwohwun Silveter had been assigned by CAO duties of Principal Internal Auditor vide letter dated 1st /July / 2023.</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p>	<p>The position of Secretary DSC was neither substantively filled nor was there a seconded person in place. However, Ronald Dhogupaul Senior Human Resource Officer had been assigned by CAO duties of Secretary District Commission vide letter dated 1st / July /2023.</p>	0
2	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG Maximum score is 15</p>	<p>a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).</p>	<p>The LG had 10 LLGs which included 8 Sub Counties and 2 Town Councils. SAS had been appointed as follows:</p> <ol style="list-style-type: none"> 1. Mary Racheal Anena was appointed SAS vide letter dated 30th/10/ 2021 signed by the CAO Stella Abyeto under DSC minute: DSC/PAK/044/2021. Deployed at Panyango Sub County. 2. Hawa Sebbi was appointed SAS vide letter dated 30th/10/2021 signed by the CAO Stella Abyeto under DSC minute: DSC/PAK/045/2021. Deployed at Pokwero Sub County. 3. Colbert Nyolonga was appointed SAS vide letter dated 30th/10/2021 signed by the CAO Stella Abyeto under DSC minute: DSC/PAK/044/2021. Deployed at Panyimur Sub County 4. Harbert Okaro was appointed SAS vide letter 16th/ 3/2020 signed by the CAO Stella Abyeto under DSC minute: DSC/PAK/09/2020. Depolyed at Panyimur Sub County. 5. Maurice Mucwa was appointed SAS vide letter dated 16th/3/2020 signed the CAO Stella Abyeto under DSC minute: DSC/PAK/010/2020. Deployed at Alwi Sub County. 6. Jepter Ukurboth was appointed SAS vide letter dated 30th/7/2018 signed by CAO Ogwang Godfery Okello under DSC minute: DSC/PAK/030/2018. Deployed at Wadelai Sub County. 7. Harry Henry Othubi was appointed SAS vide letter dated 	5

14th/01/2019 signed by the CAO Nkugwa Norbert Robert under DSC minute: DSC/PAK/038/2018. Deployed at Dei Sub County.

8. Martine Okumu was appointed SAS vide letter dated 2nd/ 8/2023 signed by CAO Dalili RK Moses deployed at Ragem Sub County.

9. Adriko Simon was appointed SAS vide letter dated 1st/ 3/ 2022 signed by the CAO Okumu Christopher under DSC minute: DSC/PAK/08/2022. Deployed at Pakwach Town Council.

10. Wakunga Stephen Odongo was substantively appointed Town Clerk vide letter dated 10th/09/2021 signed by the CAO Stella Abyeto under DSC minute: DSC/PAK/030/2021. Deployed at Panyimur Town Council.

2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

The LG had 10 LLGs and appointed CDOs in 8 of the Sub Counties and Town Council as shown below;

1. Prisca Anyayo was appointed SCDO vide letter dated 30th October 2021 signed by the CAO Stella Abyeto under DSC minute No. DSC/PAK/045/2021. Deployed in Panyimur Town Council.

2. Patrick Olowor was appointed SCDO vide letter dated 14th January 2019 signed by the CAO Robert Norbert Nkugwa under DSC minute No. DSC/PAK/038/2019. Deployed at. HQ.

3. Hellen Comfort Ocan was appointed CDO vide letter dated 14th January 2019 signed by the CAO Robert Norbert Nkugwa under DSC minute No. DSC/PAK/038/2019. Deployed in Panyango Sub-County.

4. Douglas Okumu was appointed CDO vide letter dated 14th January 2019 signed by the CAO Robert Norbert Nkugwa under DSC minute No. DSC/PAK/038/2019. Deployed in Dei Sub-County.

5. Innocent Kimira was appointed CDO vide letter dated 5th March 2021 signed by the CAO Stella Abyeto under DSC minute No. DSC/PAK/08/2021. Deployed in Wadelai Sub-county.

6. Hannington Ovona was appointed SCDO vide letter dated 14th January 2019 signed by the CAO Robert Norbert Nkugwa under

5

DSC minute No.
DSC/PAK/038/2019. Deployed at
Pakwach TC.

7. Robert Owachgiu was
substantively appointed CDO on
16th March 2022 under minute
number DSC/PAK/06/2022.
Deployed at Alwi Sub County.

8. Jayne Mwanga Thopachu was
substantively appointed CDO vide
letter dated 16th March 2022 under
DSC/PAK/07/2022. Deployed at
Pokwero Sub County.

9. Owormungu Hassan was
substantively appointed CDO vide
letter dated 1st March 2022 under
Minute No DSC/PAK/06/2022.
Deployed at Pakwach Sub County.

10. Amulla Derrick was
substantively appointed CDO on
1st March 2022 under minute
number DSC/PAK/06/2022.
Deployed at Panyimur Sub-County

2

New_Evidence that the LG has
recruited or the seconded staff is in
place for all essential positions in
every LLG

Maximum score is 15

c. A Senior Accounts
Assistant /an
Accounts Assistant
in all LLGS, score 5
or else 0.

5

The LG had 10 LLGs and had
substantively appointed SAAs in all
the Sub Counties as listed below:

1. Kenan Ocanda was appointed
SAA vide letter dated 16th March
2020 under DSC minute No.
DSC/PAK/038/2018. Deployed at
Pokwero Sub County.

2. Mark Okaba was appointed SAA
vide letter dated 11th April 2023
under DSC minute No.
DSC/PAK/026/2023. Deployed at
Pakwach Sub County.

3. Stephen Ogwang was appointed
SAA vide letter dated 14th January
2019 under DSC minute No.
DSC/PAK/038/2018. Deployed at
Panyimur Sub County.

4. Frank Udoma was appointed SAA
vide letter dated 16th March 2020
signed by the CAO Stella Abyeto
under DSC minute No.
DSC/PAK/010/2020. Deployed at
Wadelai Sub County.

5. Alice Abalo appointed SAA on
promotion vide letter dated 11th
April 2023 under Minute No.
DSC/PAK/025/2023. Deployed to
Ragem Sub County.

6. Ciesto Omul Ongio appointed
SAA vide letter dated 1st March
2022 under Minute No
.DSC/PAK/08/2022. Deployed to

Pakwach Sub County.

7. Alfred Warom Avola substantively appointed on promotion to SAA vide letter dated 11th April 2023 under Minute No. DSC/PAK/025/2023. Deployed at Alwi Sub County

8. Richard Okumu, substantively appointed on promotion to SAA on letter dated 16th March 2020 under Minute: DSC/PAK/010/2020. Deployed at Panyango Sub County.

9. Gabriel Jakisa appointed SAA on promotion vide letter dated 11th/April/2023. Under DSC minute: DSC/PAK/025/2023. Deployed at Panyimur Town Council.

10. Philips Wuna Oyirwoth appointed SAA on promotion vide letter dated 11th/April/ 2023. Under DSC/PAK/025/2023. Deployed at Dei Sub County.

Environment and Social Requirements

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY. Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to: a. Natural Resources department, score 2 or else 0	From page 23 Draft Final Accounts 2022-2023, the budgeted amount of the Natural Resources Department was UGX1,204,172,696, However the release amount was UGX925,050,869 thus leaving a variance of UGX279,121,827. Therefore, the release to the department was not up to 100%	0
3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY. Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to: b. Community Based Services department. score 2 or else 0.	From page 23 Draft Final Accounts 2022-2023, the budgeted amount of the Community Based Services Department was UGX784,954,411 However the release amount was UGX162,761,465 thus leaving a variance of UGX622,192,946. Therefore, the release to the department was not up to 100%	0

4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0	The LG implemented 2 projects under DDEG namely; 1. The construction of two storeyed office block at the District headquarters and the was screening was undertaken as evidenced from the E & S screening form that were endorsed by both EO and CDO as on 20th August, 2022 2. The construction of vehicles shade at DHO's office which was screened as per the E & S screening form was endorsed by both EO and CDO as on 22nd August, 2022	4
Maximum score is 12				
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG), score 4 or 0	The LG implemented 2 projects under DDEG namely and these are exempted from undertaking ESIAs; The construction of two storeyed office block at the District headquarters and the ESMP was prepared on 21st August, 2022 and endorsed by both EO and CDO The construction of vehicles shade at DHO's office and the ESMP was prepared on 23rd August, 2022 and endorsed by both EO and CDO	4
Maximum score is 12				
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);; score 4 or 0	There was evidence of costed ESMPs for all the projects undertaken using the DDEG during the previous FY as indicated below; 1. For the project of the construction of two storeyed office block at the District headquarters, the ESMP was costed at Ugx 2,500,000 2. For the project of the construction of vehicles shade at DHO office, the ESMP was costed at Ugx 2,500,000	4
Maximum score is 12				

Financial management and reporting

5	<p>Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.</p> <p>Maximum score is 10</p>	<p>If a LG has a clean audit opinion, score 10;</p> <p>If a LG has a qualified audit opinion, score 5</p> <p>If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0</p>	<p>The LG obtained an Unqualified audit opinion from the OAG for the work of FY 2022/2023.</p>	10
6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p> <p>maximum score is 10</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p> <p>score 10 or else 0.</p>	<p>From Submission letter code CR/20/3 dated 28th July 2023 and received by the auditor general on 31st July 2023. The LG LG provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous FY on the aforementioned dates. Therefore, the LG submitted the information to the PS/ST before the end February.</p>	10
7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p> <p>Maximum Score 4</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p> <p>score 4 or else 0.</p>	<p>From the Local government annual performance contract reference no. CR/210/2. The Local Government submitted the annual performance contract on 5th July 2023 which was before the deadline of August 31st of the current FY and it was received by the MoFPED on the same date. The annual performance contract was countersigned by the PS/ST.</p>	4
8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>From the PBS generated Annual Performance Report, the local government had submitted the annual performance report on 24th August 2023. The annual performance report was also received and approved by MoFPED on 25th August 2023 which all before the 31st August of the current FY.</p>	4

Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year

Maximum score is 4

If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,

score 4 or else 0.

The local government submitted all the quarterly Budget performance reports of the Previous FY before August 31 of the current FY. From the PBS extraction details;

Quarter one was extracted on 15th August 2023, Quarter two was extracted on 30th August 2023, Quarter three was extracted on 15th August 2023, and Quarter four was extracted on 24th August 2023. All the dates were before the August 31. of the current FY.

Education Minimum Conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
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Human Resource Management and Development

1	<p>New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.</p> <p><i>The Maximum Score of 70</i></p>	<p>a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0</p>	<p>The LG substantively appointed Christine Acayo as the District Education Officer vide letter dated 10th May 2021 under DSC minute: DSC/PAK/19/2021 and letter signed by the CAO Stella Abyeto.</p>	30
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1	<p>New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.</p> <p><i>The Maximum Score of 70</i></p>	<p>b) All District/Municipal Inspector of Schools, score 40 or else 0.</p>	<p>The LG approved staff structure provided for Two (2) Inspector of Schools. At the time of assessment, the Education inspectorate department was staffed as below;</p> <p>1. The LG substantively appointed Maxwell Odongker Atiya 10th May, 2021 as Senior Inspector of Schools as was directed by DSC minute: DSC/PAK/18/2021.</p> <p>2. The LG substantively appointed Jumanywal Commix Wedunga as the Inspector of Schools on 1st March 2022 as was directed by DSC/PAK/07/2022</p>	40
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Environment and Social Requirements

2	<p>Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p><i>The Maximum score is 30</i></p>	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>Wadelai Seed Secondary School in Ojigo East, Mutir Parish, Wadelai Subcounty that was screened on 16th, 08, 2022.</p> <p>Construction of a two-classroom block with an office attached to PAJAU NFE in Pajau East, Ayila Ward, Alwi Subcounty Pakwach screened on 22nd August, 2022</p> <p>Construction of two stances VIP latrine at Ojigo primary school, Ojigo East Village, Ojigo Parish, Wadelai Subcounty screened on 20th August, 2022</p>	15
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2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out:
Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:
b. Social Impact Assessments (ESIAs) , score 15 or else 0.

According to the requirements of the National Environment Act 2019 Schedule 5, projects of small magnitudes are exempted from undertaking ESIAs and therefore; For the construction of a two-classroom block with an office attached to PAJAU NFE in Pajau East, Ayila Ward, Alwi Subcounty Pakwach; construction of two stances VIP latrine at Ojigo primary school, Ojigo East Village, Ojigo Parish, Wadelai Subcounty and; Construction of a two stance VIP latrine at Owiny Primary School, ESMPs were prepared and endorsed by both EO and CDO

The Maximum score is
30

Health Minimum Conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.	The LG substantively appointed Paul Ajal as the DHO on 14th May, 2020 as was directed by DSC minute: DSC/PAK/022/2020.	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0	There was no substantively recruited ADHO-MCH&N nor was there a seconded staff from MoPS. At the time of assessment.	0
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	c. Assistant District Health Officer Environmental Health, score 10 or else 0.	There was no substantively recruited ADHO-Environmental Health nor was there a seconded staff from MoPS. At the time of assessment.	0
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.	The position of Senior Environment Officer was filled by a substantive by Oweka Jennifer who was appointed through a letter dated 10th May 2021 as was directed by DSC/PAK/18/2021	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	e. Senior Health Educator, score 10 or else 0.	There was no substantively recruited Senior Health Inspector nor was there a seconded staff from MoPS. At the time of assessment.	0

1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>The LG substantively appointed James Omitto as the Biostatistician on 24th August, 2021 as was directed by DSC minute: DSC/PAK/029/2021.</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>The LG substantively appointed John Wabineno as the Cold Chain Technician vide letter dated 14th January 2019 under DSC minute: DSC/PAK/038/2018. Signed by Nkugwa Norbert Robert.</p>	10
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>i. Principal Health Inspector, score 20 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>j. Health Educator, score 20 or else 0</p>		

Environment and Social Requirements

2	Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)	If the LG carried out: a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.	Construction of an emergency delivery room with a placenta pit attached to OPD at Paroketo HCII at Pakech South Village, Paroketo Parish, Pakwach Subcounty	15
	Maximum score is 30		Environment and social screening form dated 03rd, Aug, 2022.	
			Construction of a 2 stance VIP latrine at Pakia HCIII in Dispensary East Village, Pakia Ward, Pakia Parish, Panyango Subcounty	
			Environment and social screening form dated 05th August, 2022	
			Construction of an Emergency Delivery room with a placenta Pit attached to OPD at Rgaem HCII in Upetku Village, Nyakumba Ward, Ragem Subcounty	
			Environment and social screening form dated 4th Aug, 2022	
2	Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)	b. Social Impact Assessments (ESIAs) , score 15 or else 0.	The projects are exempted from undertaking full ESIA	15
	Maximum score is 30		Construction of an emergency delivery room with a placenta pit attached to OPD at Paroketo HCII at Pakech South Village, Paroketo Parish, Pakwach Subcounty	
			ESMP dated 05th, Aug, 2022.	
			Construction of a 2 stance VIP latrine at Pakia HCIII in Dispensary East Village, Pakia Ward, Pakia Parish, Panyango Subcounty	
			ESMP dated 16th August, 2022	
			Construction of an Emergency Delivery room with a placenta Pit attached to OPD at Rgaem HCII in Upetku Village, Nyakumba Ward, Ragem Subcounty	
			ESMP dated 05th Aug, 2022	

**Micro-scale Irrigation
Minimum Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p>	<p>If the LG has recruited;</p> <p>a. the Senior Agriculture Engineer</p> <p><i>score 70 or else 0.</i></p>	<p>The LG had substantively appointed Lalobo Robert as Senior Agricultural Engineer vide letter dated 13th June 2023 under minute: DSC/PAK/034/2023 signed by Tivu Mark CAO.</p>	70
Environment and Social Requirements				
2	<p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p>	<p>If the LG:</p> <p>Carried out Environmental, Social and Climate Change screening score 30 or else 0.</p>	<p>Under Micro-scale irrigation only two projects were carried out namely;</p> <p>Micro scale irrigation in Panyango Subcounty in Padoch Village, Padoch Ward, Panyango Subcounty, Pakwach District and screening form was dated 11th August, 2022 and</p> <p>Micro small-scale project in Pakwach Secondary School in Pajobi South, Pakwach Town Council, Pakwach and screening form was dated 11th August 2022.</p> <p>The projects were exempted from ESIA's and therefore ESMPs were prepared dated 23rd August 2022 for both projects.</p>	30

**Water & Environment
Minimum Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	The LG substantively appointed Benedicto Oweknimungu as Water Officer vide letter dated 28th June 2019 under DSC minute: DSC/PAK/047/2019	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	The LG substantively appointed Ocakacon Abara Geoffrey as Assistant water officer In Charge Mobilization vide letter dated 30th June 2023 under minute: DSC/PAK/048/2023 signed by Tivu Mark CAO.	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	The LG substantively appointed Okello Haruni as Engineering Assistant Boreholes vide letter dated 16th March 2020 under minute: DSC/PAK/09/2020 signed by Stella Abyeto CAO.	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	At the time of the LG assessment, there was no substantially appointed Natural Resources Officer and the LG had not sought formal secondment from MoPS	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	The LG substantively appointed the Oweka Jenifer as Senior Environment Officer vide letter dated 10th May 2021 under minute: DSC/PAK/ 18/2021	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	f. Forestry Officer, score 10 or else 0.	The LG appointed Picho David Ongi as Forestry Officer vide letter dated 16th March 2020 under DSC minute: DSC/PAK/18/2020.	10

Environment and Social Requirements

2	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects</p>	<p>If the LG: a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.</p>	<p>All projects under water and environment were majorly rehabilitation works; Sille East Borehole rehabilitation in Sille East, Fualwonga Parish, Alwi Subcounty, Pakwach District with an Environment and social screening form dated 11th August, 2022, Padoch Central borehole rehabilitation in Padoch Central, Padoch Parish, Panyango Subcounty, Pakwach District with an Environment and social screening form dated 10th August, 2022 and Ovurokojo borehole rehabilitation in Ovurokojo Village, Lwaga Parish, Panyimur Subcounty, Pakwach District with an Environment and social screening form dated 10th August 2022</p>	10
2	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects</p>	<p>b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.</p>	<p>All projects under water and environment were majorly rehabilitation works and these are exempted from full ESIA; Sille East Borehole rehabilitation in Sille East, Fualwonga Parish, Alwi Subcounty, Pakwach District with an ESMP dated 12th August, 2022, Padoch Central borehole rehabilitation in Padoch Central, Padoch Parish, Panyango Subcounty, Pakwach District an ESMP dated 12th August, 2022 and Ovurokojo borehole rehabilitation in Ovurokojo Village, Lwaga Parish, Panyimur Subcounty, Pakwach District with an ESMP dated 12th August, 2022</p>	10

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.

Pakwach DLG presented an abstraction permit addressed to Pakwach District Local Government.

P.O BOX 64 Pakwach

Permit Number

NEB912/GP-966/2021/NN

Permit granted for five years
Issued Saturday, 20th November 2021 until Thursday 19th November 2026

Signed by Eng. Joseph Oriono
Eyata - Director of Water
Development