



## **LGMSD 2022/23**

Obongi District

(Vote Code: 629)

<b>Assessment</b>	<b>Scores</b>
Crosscutting Minimum Conditions	75%
Education Minimum Conditions	100%
Health Minimum Conditions	80%
Water & Environment Minimum Conditions	70%
Micro-scale Irrigation Minimum Conditions	30%
Crosscutting Performance Measures	65%
Educational Performance Measures	52%
Health Performance Measures	62%
Water & Environment Performance Measures	77%
Micro-scale Irrigation Performance Measures	69%

**Crosscutting  
Performance  
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s):</li> <li>If so: Score 4 or else 0</li> </ul>	<p>According to page of the LG Approved annual budget estimates for 2022/2023, The LG implemented 2 key investments that included; Construction of a modern market with stall in Palorinya and construction of community resource centers in Palorinya and Itula Subcounties. All the investments were functional and operational. The market staff in Palorinya was being utilized by community vendors and the community resource center in Palorinya was utilized for a knowledge co-creation workshop by the subcounty staff.</p>	4
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> <li>By more than 5%, score 3</li> <li>1 to 5% increase, score 2</li> <li>If no increase, score 0</li> </ul> <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>From the Analysis of the Lower Local Government Performance assessment report, the District had an overall performance of 84% in 2022 and 91% in 2023 thus an increase if <math>(91\% - 84\%) = 6\%</math> thus greater than 5%.</p>	3
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> <li>If 100% the projects were completed : Score 3</li> <li>If 80-99%: Score 2</li> <li>If below 80%: 0</li> </ul>	<p>According to page of the LG Approved annual budget estimates for 2022/2023, The LG implemented 2 key investments that included; Construction of a modern market with stall in Palorinya and construction of community resource centers in Palorinya and Itula Subcounties. All the investments were functional and operational. The market staff in Palorinya was being utilized by community vendors and the community resource center in Palorinya was utilized for a knowledge co-creation workshop by the subcounty staff.</p> <p>Therefore planned investments = 2</p> <p>Completed investments = 2</p> <p>So <math>2/2 \times 100\% = 100\%</math></p>	3

3	Investment Performance  Maximum 4 points on this performance measure	a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:  Score 2 or else score 0.	According to page of the LG Approved annual budget estimates for 2022/2023, The LG implemented 2 key investments that included; Construction of a modern market with stall in Palorinya and construction of community resource centers in Palorinya and Itula Subcounties. All the investments were eligible for funding under Discretionary Development Equalization Grant (DDEG) Budget and Implementation Guidelines Effective from FY 2022/23 under code 068380 – Construction and Rehabilitation of markets provided for on Table 6: Positive List/Investment Menu on page 18 and 048281 – Construction of public building including major up-grading.	2
3	Investment Performance  Maximum 4 points on this performance measure	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates,  score 2 or else score 0	The variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY were within +/-20% of the LG Engineers' estimates. For instance;  1. Construction of Ndirindiri market in Ituka sub-county, with procurement reference number: OBO629/Wrks/USMID/00003-Lot 3 at a contract price of UGX 1,745,396,196 against Engineer's estimate of UGX 1,745,396,196. The contract price variation was calculated as 0.0%.	2

### Performance Reporting and Performance Improvement

4	Accuracy of reported information  Maximum 4 points on this Performance Measure	a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate,  score 2 or else score 0	From the 3 sampled and visited LLGs, it was confirmed that information on the positions filled was as per the minimum staffing. The assessment observed the key staff at the 3 sampled LLGs and noted that;  1. Ewafa S/C  Ulema Mudashir Noah was deployed as the SAS and Butia Pauline as CDO which positions were provided for on the approved staff structure.  2. Aliba S/C  Rashid Fadul Ibrahim was the SAS and Aliga Richard Male as CDO which positions were which position was provided for in the approved staff structure.  3. Palorinya S/C  Tako Samuel was deployed as Senior Assistant Secretary and Tako Geoffrey as CDO which positions were which was provided for in the approved staff structure.	2
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4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> <li>• If 100 % in place: Score 2, else score 0.</li> </ul> <p><b>Note: if there are no reports produced to review: Score 0</b></p>	<p>According to page of the LG Approved annual budget estimates for 2022/2023, The LG implemented 2 key investments that included; Construction of a modern market with stall in Palorinya and construction of community resource centers in Palorinya and Itula Subcounties. All the investments were functional and operational. The market staff in Palorinya was being utilized by community vendors and the community resource center in Palorinya was utilized for a knowledge co-creation workshop by the subcounty staff.</p>	2															
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> <p>score 4 or else 0</p> <p><b>NB: The Source is the OPAMS Data Generated by OPM.</b></p>	<p>The scores obtained from the four LLGs in the District assessment and from the LLG IVA were outside the performance range of +/- 10 which implied that the assessment was not credible. The comparative analyzed data was as presented below;</p> <table border="1" data-bbox="810 801 1414 1059"> <thead> <tr> <th></th> <th colspan="2">DLG IVA</th> </tr> </thead> <tbody> <tr> <td>Obongi T/C</td> <td>94</td> <td>52</td> </tr> <tr> <td>Palorinya S/C</td> <td>91</td> <td>45</td> </tr> <tr> <td>Aliba S/C</td> <td>91</td> <td>76</td> </tr> <tr> <td>Itula S/C</td> <td>84</td> <td>51</td> </tr> </tbody> </table>		DLG IVA		Obongi T/C	94	52	Palorinya S/C	91	45	Aliba S/C	91	76	Itula S/C	84	51	0
	DLG IVA																		
Obongi T/C	94	52																	
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Aliba S/C	91	76																	
Itula S/C	84	51																	
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	<p>There was no evidence that Obongi DLG had developed performance improvement plans for the lowest performing LLGs.</p>	0															
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence that Obongi DLG had developed and implemented performance improvement plans for the lowest performing LLGs.</p>	0															

**Human Resource Management and Development**

6	<p>Budgeting for and actual recruitment and deployment of staff</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.</p> <p>Score 2 or else score 0</p>	<p>There was no evidence to show that LG consolidated and submitted the staffing requirements for the coming FY to MoPS and respective MDAs by 30th September.</p>	0
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p> <p>Score 2 or else score 0</p>	<p>There was evidence produced by the LG of tracking and analysing of staff attendance as per guidelines by MoPS Circular Standing Instruction (CSI).</p> <p>In the submission of quarter 4 attendance analysis to CAO, dated 30th July 2023, OBO/D/ CR/156/1 by Iranya Martine acting PHRO showed the following:</p> <p>In the analysis of June 2023 the highest attendance was recorded in Administration, Natural Resources and Health Department at 100% respectively.</p> <p>The highest attendance was recorded at 100% by 11 staff and these were; Lokorio Okwi Charles, Omuku Nora, Senya Rukia Gumbiri, Mbaya Majid, Anzoo Beatrice Mesiku, Dropia Betty and Alone Beatrice in Administration department. While in Natural Resources department Abubaakar Sam Longaand Jode Josephine and Asuru Anatazia in Health department.</p> <p>However 8 staff attendance were below 50 %with the lowest at 9% by Andruma Richard Lalia, Lemeriga George Origason at 23%, Loudu Juma at 23%, Kajoyingi Basiri at 32%.</p>	2

Performance management

Maximum 5 points on this Performance Measure

i. Evidence that the LG has conducted an appraisal with the following features:

HODs have been appraised as per guidelines issued by MoPS during the previous

FY: Score 1 or else 0

The LG had evidence that Heads of Department were appraised for the previous FY against their performance agreements as follows:

1. The Acting Chief Finance Officer, Opio Elijah was appraised on 30th June 2023.
2. The District Education Officer, Angala Patrick was appraised on 30th June 2023.
3. The Acting District Health Officer, Lomurechu Dominic Guli was appraised on 30th June 2023.
4. The District Community Development Officer, Nyango Ernest was appraised on 30th June 2023.
5. The Acting District Production Officer, Opigo Johnson was appraised on 30th June 2023.
6. The Acting District Natural Resource Officer, Onzima Henry Pastore was appraised on 30th June 2023.
7. The Acting District Engineer, Onapa Ivan Opio was appraised on 30th June 2023.
8. The District Planner, Lemeriga George Origason was appraised on 30th June 2023.
9. The Acting District Commercial Officer Abdullai Sebbi Ajagason was appraised on 30th June 2023.

Performance management

Maximum 5 points on this Performance Measure

ii. (in addition to "a" above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:

Score 1 or else 0

There was evidence that administrative rewards and sanctions were implemented.

The administrative rewards and sanctions committee was appointed on 27th March 2023, OBO/D/CR/155/3 and the appointment were as follows:

1. Tako Samuel SAS as Chairperson
2. Iranya Martine Ag HRO as Secretary
3. Issa Stephen Senior Medical Officer as a Member
4. Elizabeth Amen Tourism Officer as a Member
5. Wanican Linda Senior Accountant as a Member
6. Abubakar Sam Longa Inspector of Schools as a Member.

The rewards and sanction committee was found functional in that under minute: Rewards and Sanction committee meeting held at CAO's Board Room on 24th May 2023..

Min: 4/ RSC/9/ 2023 Presentation of Cases:

The Chairperson asked the secretary to read the list of nominees for the award which should be in both the soft and hard copies to be sent to Ministry of Health Committee on medals for Health workers in Ebola and COVID 19 response.

The rewards and sanction committee selected 8 staff and these were in five categories which included ; Leadership and Governance, Risk Communication and Social Mobilization, Disease Surveillance and Laboratory Testing, Case management of COVID 19 Vaccination.

The following were selected for onward submission to Ministry of Health Dr Arike Josph Koloni Amooti AG DHO, Lomurechu Dominic Guli SEHO/PHI/Ag DHE, Sitaku Sunday Abalo Jackline, Dr Oluma Jacob, Dramatiga Justine and Alisar Lilian.

Performance management

Maximum 5 points on this Performance Measure

iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.

Score 1 or else 0

There was evidence that the LG established had a Consultative Committee for staff grievance management which was functional.

The Consultative Committee was appointed vide letter dated 14th July 2023 OBO/D/CR/161/1 with the following as members:

1. Lokoroi Charles Okwi as Chairperson
2. Alore Beatrice HRO as Secretary
3. Angala Patrick DEO as Member
4. Nyango Ernest DCDO as Member
5. Lomurechu Dominic Ag DHO as Member
6. Opigo Johnson Ag DPO as Member
7. Dr Oule Martin UNMU, Medical Member
8. Adrupio Rose Vuchiri ULGWU Member
9. Odda Anthony ULGWU Member

The Committee was found functional in that minutes of meeting held on the 6th May 2023 at CAO's Office.

Min 3/05/CC/2023 Conflict between Works Department and Ewafa Sub County that arose because of miscommunication sub county Leadership and Works department about culvert installation at Ewafa Sub County road.

Works department was reported to Police allegedly by Chairperson Local Council III where Staff of works department were arrested and still reporting to Police.

Therefore the Consultative Committee meeting was called to harmonise and reconcile the two sides of the conflict as Works department had vowed not to do any work in Ewafa S/C.

Committee recommendations were as follows:

Senior Assistant Secretary always to share information pertaining Government Programs in the Sub County with Chairperson.

All stakeholder should always follow reporting channel works department to resume its technical support to Ewafa Sub County in order to provide service delivery to the community.

The meeting was adjourned and signed by both Committee Chairperson and Secretary.



<p>Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:</p> <p>Score 1.</p>	<p>There was evidence to show that 55 Education Assistants were recruited in the previous FY and there was evidence to show that the LG sought for clearance to recruit as follows:</p> <ol style="list-style-type: none"> <li>1. Avayo Yoid, Education Assistant (IPPS1174387) assumed duty on 2nd February 2023 and accessed payroll in April 2023.</li> <li>2. Augustine Anyaja, Education Assistant (IPPS1174396) assumed duty on 2nd February 2023 and accessed payroll in April 2023.</li> <li>3. Ojobe Anthony, Education Assistant (IPPS 1174402) assumed duty on 2nd February 2023 and accessed payroll in April 2023.</li> <li>4. Amagu Emmanuel, Education Assistant(IPPS 1174416) assumed duty on 2nd February 2023 and accessed payroll in April 2023.</li> <li>5. Joyo Susan, Education Assistant(IPPS1174421) assumed duty on 2nd February 2023 and accessed payroll in April 2023.</li> <li>6. Draparaku Martin, Education Assistant (IPPS1174429) assumed duty on 2nd February 2023 and accessed payroll in April 2023.</li> <li>7. Olega Hamim, Education Assistant (IPPS1174432) assumed duty on 2nd February 2023 and accessed payroll in April 2023.</li> <li>8. Lujawo Mariam, Education Assistant (IPPS1174437) assumed duty on 2nd February 2023 and accessed payroll in April 2023</li> <li>9. Irama Saviour, Education Assistant (IPPS1174443) assumed duty on 2nd February 2023 and accessed payroll in April 2023.</li> <li>10. Mander Mildred, Education Assistant (IPPS1174455) assumed duty on 2nd February 2023 and accessed payroll in April 2023.</li> </ol>
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9	Pension Payroll management  Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:  Score 1.	There was evidence provided by the LG that 100% of staff retired during the previous FY had accessed pension payroll not later than two months after retirement as listed below:  1. Dramatiga Justine Nursing Assistant, retired on 31st August 2022 and accessed pension payroll in September 2022.  2. Abio Rose Nursing Assistant retired on 4th September 2022 and accessed pension payroll in November 2022.  3. Aurelia Kakayo Nursing Assistant, retired on 1st September 2022 and accessed pension payroll in November 2022.	<b>1</b>
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**Management, Monitoring and Supervision of Services.**

10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery  Maximum 6 points on this Performance Measure	a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:  Score 2 or else score 0	The direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in the previous FY. The LG Present DDEG transfer request letters and payment vouchers to evidence transfer to the LLGs. The requests and transfers started to emerge in the second quarter as the releases came through. Quarter two requests for transfers were done on 17th October 2022, Quarter three requests for transfers were prepared on 13th December 2023. A comparison of the budgeted amount and what was transferred to the Lower Local Governments revealed that 100% of the DDEG was transferred as follows:  1. Itula S/C = UGX17,846,000  2. Gimara S/C = UGX17,442,000  3. Aliba S/C = UGX20,871,000  4. Palorinya S/C = UGX3,120,000  5. Ewafa S/C = UGX18,295,000  The transfers were all conducted in totality as budgeted.	<b>2</b>
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10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).</p> <p>Score: 2 or else score 0</p>	<p>From the information provided by MoFPED and the warrant reports provided by the district, the quarter 2 cash limits were approved on 10th October 2022 and Warrant for the all the development grant for quarter 2 was done on 14th October 2022 under warrant code 913AW-2023-11 and the quarter 3 cash limits were approved on 10th January 2023 whereas warrant for quarter 3 development grant was conducted on 12th January 2023 under warrant code 913AW-2023-18.</p> <p>All the warrants were conducted within the timeframes since all were warranted within 2 days after the receipt of releases.</p>	2
10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:</p> <p>Score 2 or else score 0</p>	<p>The invoices and communication letters for the releases were displayed on the district notice board on 13th October 2022 for quarter two and 11th January 2023 for quarter 3. All these dates were within 5 days after the release of cash limits.</p>	2
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>From a review of quarterly support supervision and mentoring reports, it was found that for each quarter, the district supervised or mentored all LLGs in the District at least once per quarter consistent with guidelines;</p> <ol style="list-style-type: none"> <li>1. In quarter one, the LG government conducted a mentoring program for functionality of water sources and providing technical feedback on how to ensure clean water sources on the district. This activity was conducted on 18th August 2022.</li> <li>2. In quarter two, the LG government conducted a joint mentoring on the status of Health, Education infrastructure to determine efficiency ratios. This activity was conducted on 2nd December 2023.</li> <li>3. In quarter three, the LG government conducted mentoring and supervision of status of infrastructures at school, health facilities, roads, water, USMID projects as well as the status of service delivery on 3rd March 2023.</li> <li>4. In quarter four, the LG government conducted mentoring assets management on the 28th April 2023.</li> </ol> <p>All the above reports were prepared by the Planner Mr. Lameriga George.</p>	2

11	Routine oversight and monitoring  Maximum 4 points on this Performance Measure	b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:	All the reports and findings of the support supervision and monitoring visits were discussed in the TPC on 29th June 2023 under minute no. Min:024/DTPC/2022-2023 "Presentation of monitoring and supervision reports" The minutes were prepared by the meeting secretary the Planner Mr. Lameriga George.	<b>2</b>
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Score 2 or else score 0

**Investment Management**

12	Planning and budgeting for investments is conducted effectively  Maximum 12 points on this Performance Measure	a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:	The LG had an IFMIS format updated register. The assets register obtained the key assets like Ford Ranger double cabin pick up no.for production department. LG0011-169 at a cost of UGX178,822,377, motorcycle UGBoss no. UEW0571 for the Obongi parish chief, Toyota Hillux no. UG2433M for Obongi health incharge.	<b>2</b>
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Score 2 or else score 0

**Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0**

12	Planning and budgeting for investments is conducted effectively  Maximum 12 points on this Performance Measure	b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:	There was no record for use of board of survey report for its intended purpose (Making decisions on assets maintenance, disposal and procurement)	<b>0</b>
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Score 1 or else 0

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>The DLG had a functional physical planning committee in place which comprised of the following members as seen in appointment letters;</p> <ol style="list-style-type: none"> <li>1. Tabu Job - Principal Assistant CAO</li> <li>2. Drate Hamid - District Psychical planner</li> <li>3. Onapa Ivan Opio - Ag. District Engineer</li> <li>4. Angala Patrick - D.E.O</li> <li>5. Lomurechu Dominic - Ag. DHO</li> <li>6. Nyango Ernest - DCDO</li> <li>7. Onzimai Henry Pastore - Ag.Natural Resources Officer</li> <li>8. Iranya Dominic - District Water Engineer</li> <li>9. Guli Martine - District Agricultural Officer</li> <li>10. Makosa Said Sebbi - Town Clerk</li> <li>11. Nakimera Lydia - Environment officer</li> </ol> <p>The physical planning committee had submitted four sets of minutes to the Ministry of lands and urban development.</p> <p>The Quarter one minutes were submitted on 23rd January 2023</p> <p>The Quarter two minutes were submitted on 27th March 2023</p> <p>The Quarter three minutes were submitted on 27th March 2023</p> <p>The Quarter four minutes were submitted on 14th July 2023</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d.For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>The desk and field appraisals for the DDEG/USMID investments were not availed for the assessment. It was indicated that the desk and field appraisals were done by a consultant but the appraisal forms were not found at the district.</p>	0

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>The desk and field appraisals for the DDEG/USMID investments were not availed for the assessment. It was indicated that the desk and field appraisals were done by a consultant but the appraisal forms were not found at the district.</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>The current financial year project profiles with their due discussions in the TPC were not provided for the assessment.</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>The LG did not adduce evidence to the effect that projects for current FY had been screened for environmental and social risks/impact and mitigation measures put in place where required before being approved for construction using checklists.</p>	0
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>Approval of the FY 2023/2024 PDU was dated 30th May 2023 under council Min. 13/COU/OBO/30/5/23. In the procurement plan, there were DDEG/USMID projects incorporated as indicated below;</p> <p>1.DDEG funded Supply of GNSS machine indicated on page 1 of 4,</p> <p>2. USMID funded Construction of Non-Residential buildings indicated on page 3 of 4.</p>	1

13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0	The procurement process of DDEG funded projects for FY 2023/2024 was still ongoing hence no evidence of infrastructure projects to be implemented was availed at the time of assessment.	0
13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:  Score 1 or else 0	There was no evidence at the time of assessment that the LG properly established the project implementation	0
13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer:  Score 1 or else score 0	Construction of Ndirindiri market in Ituka sub-county was a USMID funded project.  From the site visit, all checks for works performed were as per the technical designs. The designs had;  -A 10,000l water tank,  -A restaurant shade which was to house 12 occupants, each with a cooking area with a sink and eating area,  -There were two market shades each with 32 stalls,  -There were two blocks each of shops. One block was housing 18shops each of size 2480x2460mm. The second block was housing 20 shops each of size 3050x3450mm. Doors sizes to these shops were 1800x2500mm.  -An administration block,  -Pit latrines of 6-stance for ladies and 5-stances for men.  It was evident that all structures as constructed on the ground were as per the standard technical designs.	1
13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0	There was no evidence availed at the time of assessment that the LG provided supervision by the relevant technical officers prior to verification and certification of works in the previous FY.	0

13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):  Score 1 or else score 0	There was no evidence at the time of assessment that the LG verified works(certified) and initiated payments of contractors within the specified timeframes.	<b>0</b>
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13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law:  Score 1 or else 0	The LG had complete procurement files for each contract with all records as required by the PPDA Law. For instance;  1. For construction of Ndirindiri market in Ituka sub-county, with procurement reference number : OBO629/Wrks/USMID/00003-Lot 3, the file had a project evaluation report dated 5th December 2021 and the report was approved by the contracts committee on 5th December 2021. The minute number was not availed at the time of assessment. The contract was awarded to Bygon Enterprises Limited at a contract sum of UGX 1,745,396,196 as per contract between the parties. Date not availed at the time of assessment.	<b>1</b>
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**Environment and Social Safeguards**

14	Grievance redress mechanism operational.  Maximum 5 points on this performance measure	a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.  Score: 2 or else score 0	Mr. Tako Geoffrey was the focal point person of the GRC committee and was appointed on 26th July, 2022.  The Grievance Redress committee constitutes of ten members; Mr. Leru Andrew the chairperson, Mr. Nyango Ernest, Ms. Alone Beatrice, Mr. Tako Geoffrey the secretary, Ms. Ociru Beatrice, Mr. Lomurechu Dominic, Mr. Onzimai Henry Pastore, Mr. Nyadru Ceasor, Mr. Onapa Ivan Opio and Mr. Opigo Johnson all appointed on 26th July, 2022.	<b>2</b>
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14	Grievance redress mechanism operational.  Maximum 5 points on this performance measure	b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.  If so: Score 2 or else 0	The Obongi District Grievance Redress Mechanism had four stages namely; Reporting to CAO's office, grievance redress committee, field visit/arbitration/reconciliation, report writing and the cycle continues.	<b>2</b>
14	Grievance redress mechanism operational.  Maximum 5 points on this performance measure	c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.  If so: Score 1 or else 0	The Obongi District Grievance Redress chart was pinned on the Administration block's notice board.	<b>1</b>
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0	The Local Government Integrated Environment, Social and Climate change interventions into LG Development Plans, annual workplans and budgets complied for the Current Financial year. A review of page 194 of the Approved LG development plan III indicated the following interventions;  1. Increase in land area covered by forests  2. Increase in land area covered by wetlands  3. Increase in water samples complying with National Standards	<b>1</b>
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management  score 1 or else 0	The district had disseminated to LLGs the enhanced DDEG guidelines in a meeting that was held on 13th July 2023. According to the DDEG guidelines distribution list all the 6 Lower Local Governments received a copy of the enhanced DDEG guidelines.	<b>1</b>

15

Safeguards for service delivery of investments effectively handled.

Maximum 11 points on this performance measure

(For investments financed from the DDEG other than health, education, water, and irrigation):

c. Evidence that the LG incorporated costing Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:

score 3 or else score 0

There was evidence that the LG incorporated costing Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects implemented during the previous FY as seen below;

The proposed construction of a community resource centre at Itula Subcounty headquarters in Obongi district; In a payment requisition document submitted to Obongi DLG by Agweru General Merchandise Limited dated 11th May, 2023, clothing and safety equipment was costing at 4,300,000 Ugshs, Occupational health and safety, HIV/AIDS and Gender costing at 1,880,000 and environmental protection and waste disposal costing 1,100,000 Ugshs

Environmental and Social Management Plan (ESMP) for the Proposed Construction of a Community Resource Centre in Palorinya Subcounty Obongi District; In a payment requisition document submitted to Obongi DLG by Reliefline Uganda Limited dated 18th November, 2022 clothing and safety equipment was costing at 2,000,000 Ugshs, Occupational health and safety, HIV/AIDS and Gender costing at 2,000,000 and environmental protection and waste disposal costing 2,000,000 Ugshs

Construction of Ndirindiri Market in Lubujo Village, Yenga Parish, Palorinya Subcounty Obongi District; In a payment requisition document submitted Obongi DLG by Reliefline Uganda Limited dated 18th November, 2022, clothing and safety equipment was costing at 3,000,000 Ugshs, Occupational health and safety, HIV/AIDS and Gender costing at 0 Ugshs and environmental protection and waste disposal costing 0 Ugshs

15

Safeguards for service delivery of investments effectively handled.

Maximum 11 points on this performance measure

d. Examples of projects with costing of the additional impact from climate change.

Score 3 or else score 0

No project had costing of the additional impact from climate change

0

15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:  Score 1 or else score 0	Evidence was provided to show that all DDEG projects were implemented on land where the LG had proof of ownership, access, and availability as per the examples below;  Construction of resource centre at Itula Sub-County headquarters in Doma Village, the certificate of title is dated 11th July, 2023 and the area measures 2.5670 hectares  Construction of a Community Resource Centre in Palorinya Subcounty Obongi District. The land offer agreement of Palorinya Sub County between Convu-Vura Clan and Palorinya Sun-county Local Government made on 18th September, 2021 measuring an area of 168m X 190m X 310m  Construction of Ndirindiri Market in Lubujo Village, Yenga Parish, Palorinya Subcounty Obongi District; The land offer agreement of Palorinya Sub County between Convu-Vura Clan and Palorinya Sun-county Local Government made on 18th September, 2021 measuring an area of 80 by 100 meters	1
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports:  Score 1 or else score 0	January monthly report for USMID_AF was carried out for all the three projects and checklists were used during the exercise. Three quarterly reports were availed for a period of October-December 2022, January-March 2023 and July-September 2022	1
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects:  Score 1 or else score 0	Construction of resource centre at Itula Sub-County headquarters in Doma Village; E& S compliance interim Certification for payment of 204,091,032 Ugshs endorsed by both Environment Officer and CDO on 24th May, 2023  Construction of a Community Resource Centre in Palorinya Subcounty Obongi District. E& S compliance interim Certification for payment of 347,852,716.04 Ugshs endorsed by both Environment Officer and CDO on 8th June, 2023  Construction of Ndirindiri Market in Lubujo Village, Yenga Parish, Palorinya Subcounty Obongi District; E& S compliance interim Certification for payment of 271,629,793 Ugshs endorsed by both Environment Officer and CDO on 18th November, 2022	1

## Financial management

16	<p>LG makes monthly Bank reconciliations</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment:</p> <p>Score 2 or else score 0</p>	<p>The LG has not printed all the bank reconciliation statements for the current Financial Year. Therefore, unable to ascertain if the LG had made bank reconciliation at the time of the assessment.</p>	0
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>The LG availed all the four quarterly internal audits reports as Required. The audit reports were produced on different dates as follows;</p> <ol style="list-style-type: none"> <li>1. Quarter One internal audit report was produced on 17th October 2022</li> <li>2. Quarter Two internal audit report was produced on 13th January 2023</li> <li>3. Quarter Three internal audit report was produced on 13th April 2023</li> <li>4. Quarter Four internal audit report was produced on 18th August 2023</li> </ol> <p>All the reports were produced by Mr. Ayume Charles the District Internal Auditor</p>	2
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>The LG provided information to the Council Chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY. From a letter titled “the status of implementation of internal audit findings for 2022-2023” as received on behalf of the LG PAC by the speaker and the chairperson through official stamps on 30th June 2023. The letter was prepared by Mr. Ayume Charles the Senior Internal Auditor.</p>	1
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>All the internal audit reports for the previous FY were submitted to LG PAC and that LGPAC had reviewed them and followed-up. The PAC provided a report on the examination of quarter one Internal Audit report on 30th September 2022, quarter two Internal Audit report on 31st December 2022, quarter three Internal Audit report on 31st March 2023 and quarter four Internal Audit report on 30th June 2023.</p>	1

## Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>From Page 13 of the final accounts of the Previous FY 2022/2023, The total budgeted local revenues including tax and Non tax revenue = UGX600,000,000 whereas the actual revenue collection was UGX353,933,387.</p> <p>The percentage OSR realization was therefore <math>\frac{UGX353,933,387}{UGX600,000,000} \times 100\% = 59\%</math> thus befitting out of +/-10% budget realization.</p>	0
19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> <li>• If more than 10 %: score 2.</li> <li>• If the increase is from 5% -10 %: score 1.</li> <li>• If the increase is less than 5 %: score 0.</li> </ul>	<p>From Page 10 of the final accounts of the Previous FY but one (2021/2022), The total revenue collection was UGX597,490,077.</p> <p>From Page 13 of the final accounts of the Previous FY 2022/2023, The total revenue collection was UGX UGX353,933,387.</p> <p>Therefore, the difference was <math>(UGX353,933,387 - UGX597,490,077) = -243,556,690</math>.</p> <p>Therefore, the LG registered a decline in OSR collection of worth UGX243,556,690.</p>	0
20	<p>Local revenue administration, allocation, and transparency</p> <p>Maximum 2 points on this performance measure.</p>	<p>a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0</p>	<p>The LG City remitted the mandatory LLGs share of local revenues during the previous FY. A review of the certified copy of the revenue remittance and transfer to LLGs report for Financial Year 2022/2023 indicated a total collection of UGX360,929,313 from the Lower Local Governments and a remittance of 65% worth UGX144,868,425 and an amount worth UGX138,054,813 was remitted as 100% to Town Councils. From the payment vouchers, the following amounts were transmitted to each Lower Local Government respective accounts as follows;</p> <ol style="list-style-type: none"> <li>1. Aliba S/C - UGX7,369,700</li> <li>2. Gimara S/C - UGX14,070,875</li> <li>3. Itula S/C - UGX58,532,500</li> <li>4. Palorinya S/C - UGX51,914,200</li> <li>5. Ewaffa S/C - UGX12,981,150</li> <li>6. Obongi T/C - UGX76,779,200</li> <li>7. Obongi District - UGX61,275,613</li> </ol>	2

## Transparency and Accountability

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0</p>	<p>There was evidence that the procurement plan and awarded contracts for projects in FY 2022/2023 were published. For example;</p> <p>1. For construction of Ndirindiri market in Ituka sub-county, with procurement reference number: OBO629/Wrks/USMID/00003-Lot 3, the project evaluation report dated 5th December 2021 with the best evaluated bidder as Bygon Enterprises Limited was displayed on 3rd February 2022 and at a contract price of UGX 1,745,396,196. Contract date signing was not availed at the time of assessment.</p>	<b>0</b>
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>The LG performance assessment results and implications were published on the district notice board on 15th August 2023 by the district planner.</p>	<b>2</b>
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>There was no evidence shared to the assessment team. It was indicated that the LG holds alot of radio talk shows but there is a gap in reporting.</p>	<b>0</b>
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>Information on tax rates, collection procedures and procedures for Appeal was availed to the public through a district headquarter notice board on 11th August 2023 on the district notice board. The information was publicized by the office of the CFO which was evidenced by the official stamp.</p>	<b>1</b>
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>The LG had no IGG issues and therefore never wrote a letter on the status of actions taken.</p>	<b>1</b>

**Educational  
Performance  
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 4</li> <li>• Between 1 and 5% score 2</li> <li>• No improvement score 0</li> </ul>	<p>For the year 2022, the total number of candidates who sat excluding Division X was 2321</p> <p>Total passes=1744</p> <p>Percentage was <math>1744/2321 * 100 = 75\%</math></p> <p>For the year 2020, the total number of candidates excluding Division X who sat was 1940</p> <p>Total passes- 1727</p> <p>The percentage pass was <math>1376/1940 * 100 = 71\%</math></p> <p>Percentage change was <math>75\% - 71\% = 4\%</math></p> <p>Hence percentage improvement by 4%</p>	<b>2</b>
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 3</li> <li>• Between 1 and 5% score 2</li> <li>• No improvement score 0</li> </ul>	<p>For the year 2022, the total number of candidates who sat excluding Division X was 781</p> <p>Total passes = 649</p> <p>The percentage was <math>649/781 * 100 = 83\%</math></p> <p>For the year 2020, the total candidates excluding Division X who sat was 748</p> <p>Total passes were 657</p> <p>The percentage pass was <math>675/748 * 100 = 88\%</math></p> <p>Percentage change was <math>83\% - 88\% = -5\%</math></p> <p>Hence percentage decrease of 5</p>	<b>0</b>

2	<p>N23_Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> <li>• By more than 5%, score 2</li> <li>• Between 1 and 5%, score 1</li> <li>• No Improvement, score 0</li> </ul> <p>NB: If the previous average score was 95% and above, Score 2 for any increase.</p>	<p>Average score in the education LLG performance had improved between the previous year but one and the previous year. The score in 2022 was 80% and increased to 90% thus registering an increment of 10% which was above 5%.</p>	2
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>The LG Education department received UGX 333,455,000/= as a sector development grant as evidenced from page 53 of 134 of the Approved Budget Estimates report for 2022/2023.</p> <p>The funds were used as follows:</p> <p>a) Construction of a 5 stance VIP latrine with washrooms for girls at Delo Primary School in Gimara Sub-county at UGX 34,258,500/- as indicated on the budget performance report.</p> <p>b) Rehabilitation of 1 block of 4 classrooms at Lomunga Primary School in Gimara Sub county at UGX 169,600,200/- as indicated on the budget performance report.</p> <p>c) Construction of a 5 stance VIP latrine with washrooms and incinerator at Aliba Primary School in Aliba Sub-county at UGX 47,322,800/- as indicated on the budget performance report.</p>	2



3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0</p>	<p>The following certificates were availed to the assessor from the Development Grant projects:</p> <p>a) Payment of construction of a 5 stance VIP latrine with washrooms for girls at Delo Primary School in Gimara Sub-county at UGX 34,258,500/- was certified by the DEO on 22nd May 2023, DCDO and District Environment Officer both signed on 16th May 2023. Payment date of the voucher was 15th June 2023.</p> <p>b) Rehabilitation of 1 block of 4 classrooms at Lomunga Primary School in Gimara Sub county at UGX 169,600,200/- was certified by the DEO on 22nd May, 2023, DCDO, and District Environment Officer both signed on 22nd May 2023. Payment date of the voucher was 15th June 2023.</p> <p>c) Payment of construction of a 5 stance VIP latrine with washrooms and incinerator at Aliba Primary School in Aliba Sub-county at UGX 47,322,800/- was certified by the DEO on 8th June 2023, DCDO and District Environment Officer both signed on 22nd June 2023. Payment date of the voucher was 25th June 2023.</p>	0
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If the variations in the contract price are within +/- 20% of the MoWT estimates score 2 or else score 0</p>	<p>c The contract price variations for the sampled works projects were within +/- 20% for the FY 2022/2023. For instance;</p> <ol style="list-style-type: none"> <li>1. For construction of Gimara seed secondary school, Lot 5 at a contract sum of UGX 2,998,522,308 against Engineer's estimate of UGX 3,000,000,000. The contract price variation was calculated as 0.05%.</li> <li>2. For rehabilitation of one block of 4-classroom at Lomunga Primary school in Gimara sub- county at a contract sum of UGX 169,600,200 against Engineer's estimate of 169,782,697. The contract variation was calculated as 0.1%.</li> <li>3. For construction of 5-stance VIP Latrine with washrooms and incinerator at Aliba Primary School, sub- County at a contract sum of UGX 47,323,800 against Engineer's estimate of 53,000,000. The contract variation was calculated as 10.7%.</li> </ol> <p>Therefore, all contract price variations for the education projects implemented in FY 2022/2023 was within +/-20%.</p>	2

3	Investment Performance: The LG has managed education projects as per guidelines	d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY	For construction of Gimara Seed Secondary School Lot 5 started in FY 2023/2024, the classroom blocks, administration block, ICT block, Science laboratory were at beam level.	0
	Maximum 8 points on this performance measure	<ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• Between 80 - 99% score 1</li> <li>• Below 80% score 0</li> </ul>	<p>Staff quarters had not yet started, Latrines pits had not yet been excavated</p> <p>Therefore, overall works were at below 80%.</p>	
4	Achievement of standards: The LG has met prescribed school staffing and infrastructure standards	a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines	The teacher's staff ceiling for Obongi DLG was 909 per the IPFs from MoFPED. The actual staff in positions was 337 as per current staff list.	0
	Maximum 6 points on this performance measure	<ul style="list-style-type: none"> <li>• If 100%: score 3</li> <li>• If 80 - 99%: score 2</li> <li>• If 70 - 79% score: 1</li> <li>• Below 70% score 0</li> </ul>	<p>Therefore <math>337/909 * 100 = 37\%</math>.</p> <p>The 37% staffing level was above the MoES requirement</p>	
4	Achievement of standards: The LG has met prescribed school staffing and infrastructure standards	b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,	The LG had 24 UPE schools and 2 USE schools. According to the consolidated assets register, 26 schools in the LG had basic requirements and minimum standards set out in the DES guidelines especially classrooms, desks, and latrines.	3
	Maximum 6 points on this performance measure	<ul style="list-style-type: none"> <li>• If above 70% and above score: 3</li> <li>• If between 60 - 69%, score: 2</li> <li>• If between 50 - 59%, score: 1</li> <li>• Below 50 score: 0</li> </ul>	<p>For FY 2021/2022 all the 24 schools met the basic requirements and minimum standards set out in the DES guidelines especially classrooms, desks, and latrines.</p> <p>For FYs 2022/2023 all the 26 schools basic requirements and minimum standards set out in the DES guidelines especially classrooms, desks, and latrines.</p> <p>To calibrate the school, <math>26/26 * 100 = 100\%</math>.</p>	

## Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p>	<p>The LG reported accurately on teachers and where they were deployed, in the schools. The DEO's deployment list was compared with the lists at the visited schools (Obongi Primary School, Gopele Primary School, and Lomunga Primary School). The following were found:</p>	2
	<p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• If the accuracy of information is 100% score 2</li> <li>• Else score: 0</li> </ul>	<p>a) At Obongi Primary School the DEO list and that found at the school were similar in both number and names, that is 17 teachers for instance</p>	
			<p>Hakim Mark, Drani George and Bayo Robert were present.</p>	
			<p>b) Similarly, at Lomunga Primary School both the DEO's list and that of the school had 8 teachers for instance Alioru Berta, Kinya Stephen.</p>	
			<p>c) At Gopele Primary School, the list from the DEO's office had 14 teachers same as the DEO list for instance Lekuru Cezeria.</p>	
			<p>The staff attendance books in the three schools visited confirmed the correctness of the DEO's deployment list.</p>	
5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p>	<p>The LG education department compiled an asset register for 2022/23 FY that accurately reported on infrastructure in all registered primary schools. For example from the LG consolidated register the following schools were sampled:</p>	0
	<p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• If the accuracy of information is 100% score 2</li> <li>• Else score: 0</li> </ul>	<p>a) Obongi Primary School was reported in the assets register to have 18 classrooms, 25 vs 20 latrines, 385 vs 230 desks and 6 vs 2 units of staff houses. This information was found to be true on verification by the assessor.</p>	
			<p>b) At Gopele Primary School the DEO's asset register was in harmony with the information on the ground as they both indicated 16 classrooms, 29 latrines, 141 desks and 5 units of staff houses.</p>	
			<p>c) At Lomunga Primary School there were xx classrooms, 9 latrines, 107 desks and 3 units of staff houses.</p>	
			<p>However, both at Gopele and Lomunga Primary Schools supporting documents were not availed at the time of assessment.</p>	

School compliance and performance improvement:

Maximum 12 points on this performance measure

a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:

- If 100% school submission to LG, score: 4
- Between 80 - 99% score: 2
- Below 80% score 0

Supporting documents were not availed at the time of assessment to show that the LG ensured that all the 22 registered primary schools complied with MoES budgeting and reporting guidelines and that they submitted reports for the calendar year 2022 (signed by the head teacher and chairperson of the SMC) to the DEO by January 30.

The assessor sampled 3 primary schools which all reports had not been signed by the chairperson of SMC and they did not indicate the recipient of the

Percentage:  $0/0 * 100 = 0\%$

School compliance and performance improvement:

Maximum 12 points on this performance measure

b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:

- If 50% score: 4
- Between 30- 49% score: 2
- Below 30% score 0

There was no sufficient evidence that UPE schools were supported to prepare and implement SIPs in line with inspection recommendations as per the inspection reports.

For instance:

Term 1, 2023: Supporting documents were not availed at the time of assessment.

Term 2, 2023: Supporting documents were not availed at the time of assessment.

Term 3, 2022 : Supporting documents were not availed at the time of assessment.

Nevertheless a field visit to the Obongi Primary School further indicated that had SIPs for term 1 & 2, 2023 following an initiative from the school management and staff.

However, at Gopele Primary School and Lomunga Primary School supporting documents were not availed at the time of the field assessment.

Hence percentage of schools sampled was

$1/3 * 100 = 33\%$ .

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> <li>• If 100% score: 4:</li> <li>• Between 90 - 99% score 2</li> <li>• Below 90% score 0</li> </ul>	<p>The LG collected and compiled EMIS return forms for all registered schools for FY 2022/23 as follows;</p> <p>24 UPE schools with a total enrolment of 19,679 pupils while 2 USE schools with enrolment of 1362.</p> <p>To calculate compliance;  <math>26/26 * 100 = 100\%</math></p> <p>It was submitted on 17th October 2022 and received by the office of the Commissioner Education Planning on 24th October 2022.</p>	4
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### Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>The LG budgeted for recruitment of primary schools in the FY 2023/2024 a total of 337 teachers in 24 schools at UGX. 2,458,042/= according to the BAE FY 2023/2024 page 31 of 60.</p>	4
7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p> <p>Score 3 else score: 0</p>	<p>The LG had deployed teachers as per sector guidelines in the current FY 2023-2024. According to staff lists sampled, teachers were deployed in Urban, Semi-urban and rural primary schools sampled as follows respectively;</p> <p>a) At Obongi Primary School the DEO list and that found at the school were similar in both number and names, that is 17 teachers e.g. Hakim Mark, Drani George and Bayo Robert</p> <p>b) Similarly, at Lomunga Primary School both the DEO's list and that of the school had 8 teachers e.g. Alioru Berta, Kinya Stephen.</p> <p>c) However, at Gopele Primary School, the list from the DEO's office had 14 teachers, while those on the ground supporting documents were not availed at the time of assessment.</p>	0

Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

Maximum 8 points on this performance measure

c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,

score: 1 else, score: 0

At the district, the deployment list was displayed on the notice board of the department.

Lists of deployment were displayed in headteachers' offices that were sampled and visited.

a) Obongi Primary School had 17 teachers

b) Gopele Primary School had 14 teachers

c) Lomunga Primary School had 8 teachers

The details displayed included; name, date of birth, qualifications, and title among others.

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copy to DEO/MEO

Score: 2 or else, score: 0

There was evidence produced to show that the LG had 24 Primary Schools and all Primary School Head Teachers were appraised and reports submitted by SAS for the previous Calendar year.

A sample of 10 Primary Schools Head Teacher was taken and all of them had been appraised.

1. Ombak Brahan Maruku Head Teacher of Aringa Jobi Primary school was appraised on 20th December 2022.

2. Adam Abasi Head Teacher of Dilokata Primary School was appraised on 6th December 2022.

3. Luduma James Head Teacher of Dello Primary School was appraised on 20th December 2022.

4. Baako Joyce Primo Head Teacher of Liwa Primary School was appraised on 30th December 2022.

5. Drani Geoffrey Lio Head Teacher of Gopele Ahmed Primary School was appraised on 24th November 2022.

6. Oyaru Liliyas Head Teacher of Iboa Primary School appraised on 25th November 2022.

7. Asiki Rashid Head Teacher of Waka Primary School was appraised on 19th December 2022.

8. Ajiga Ibahim Ajagason Head Teacher of Chinyi Primary School was appraised on 30th December 2022.

9. Koma Michael Head Teacher of Aliba Primary School was appraised on 21st December 2022.

10. Alu Birunga Edward Head Teacher of Ewafa Primary School was appraised on 10th December 2022.

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM</p> <p>Score: 2 or else, score: 0</p>	<p>The LG had 2 Secondary Schools and there was no evidence to show that all secondary Schools Head Teachers were appraised by Chair BoG / DCAO for the previous calendar year.</p> <ol style="list-style-type: none"> <li>1. Leku Simon Head Teacher of Itula Secondary School was not appraised.</li> <li>2. Ngobe John Head Teacher of Obongi Secondary School and was not appraised</li> </ol>	0
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p> <p>score: 2. Else, score: 0</p>	<p>The LG did appraise all staff in Education department as follows;</p> <ol style="list-style-type: none"> <li>1. Jode Joshpine Education Officer was not appraised.</li> <li>2. Abubakar Sam Longa Senior Inspector of Schools was appraised on 4th October 2023.</li> <li>3. Chandiga Saidi Education Officer (Special Needs and Administration) was appraised on 18th October 2023.</li> <li>4. Buga Ismail Inspector of Schools was appraised on 11th August 2023.</li> <li>5. Mawa Tella George (Sports Officer) was appraised on 11th August 2023.</li> <li>6. Idraku Felix Abraham Principle Education Officer was not appraised.</li> </ol>	0
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>Supporting documents were not availed at the time of assessment to show that the LG had prepared a training plan to address identified staff capacity gaps at the school and LG level.</p>	0

**Management, Monitoring and Supervision of Services.**



9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p>	<p>The LG confirmed in writing these details. For the previous FY 2022/2023 Obongi DLG confirmed in writing the list of schools, their enrolment, and budget allocation. The letter was submitted on 17th October 2022 and received by the office of the Commissioner Education Planning on 24th October 2022.</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score:2 or else, score: 0</p>	<p>It indicated that 24 UPE schools with a total enrolment of 19,679 pupils while 2 USE schools with enrolment of 1362. The total budget allocated was 2,706,901,000/= as per the LG Vote approved workplan FY 2022/2023 page 11 of 19.</p>	
			<p>To calculate compliance; 26/26*100=100%.</p>	
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p>	<p>The LG Education department made allocations to inspection and monitoring functions during the previous FY 2022-2023 of UGX 25,610,000/=. Therefore, the LG complied with the sector guidelines whence a score of 100%.</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score:2 else, score: 0</p>		
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p>	<p>From the information provided by MoFPED and the warrant reports provided by the district, Cash limits of the first quarter of the current FY were received on 27th July 2023 and warranting was done on 28th July 2023, Cash limits of the fourth quarter of the previous FY were received on 19th April 2023 and warranting was done on 19th April 2023, Cash limits of the third quarter of the previous FY were received on 6th January 2023 and warranting was done on 6th January 2023. All the warrants were conducted within the timeframes since all were warranted within 5 days after the receipt of releases.</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score: 2 else score: 0</p>		

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p> <p>If 100% compliance, score: 2 else, score: 0</p>	<p>Supporting documents were not availed at the time of assessment to show evidence that the LG invoiced and the DEO communicated, publicized capitation releases to schools with three working days of release from MoFPED.</p>	0
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <ul style="list-style-type: none"> <li>• If 100% compliance, score: 2, else score: 0</li> </ul>	<p>Supporting documents were not availed at the time of assessment to show that the department prepared an inspection plan and meetings for terms 1, 2 (2023) and 3 of 2022.</p>	0
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> <li>• If 100% score: 2</li> <li>• Between 80 - 99% score 1</li> <li>• Below 80%: score 0</li> </ul>	<p>Supporting documents were not availed at the time of assessment to show that UPE schools were inspected and monitored.</p> <p>Therefore the average percentage of compliance was <math>(0+0+0)/3 = 0\%</math>.</p>	0

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>There was evidence that inspection reports had been discussed and used to recommend corrective actions and that those actions had subsequently been followed up during the previous FY. For instance, there were:</p> <p>Departmental meetings are held as follows:</p> <p>Term 1 2023 was held on 3rd February 2023. Agenda Item number 4: Report from the Sub sectors. Min.4/2/2023.</p> <p>Term 2 2023 was held on 2nd June 2023. Agenda Item number 4: Report from the Sub sectors. Min.4/2/06/2023. Inspection Report.</p> <p>Term 3, 2022, was held on 13th November, 2022. Agenda item number 4: Reports. Min.4/2022: Reports.</p> <p>Information from the sample schools and visitors' books also affirmed the same, especially by the staff from DEO's office.</p> <p>At the schools visited inspection reports left behind by inspectors were seen. For example at</p> <p>Obongi Primary School inspection reports by different inspectors were seen such as: 11th November 2022.</p> <p>Both at Gopele and Lumagu Primary Schools supporting documents were not availed at the time of verification at schools.</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>Supporting documents were not availed at the time of assessment to show that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports.</p>	0

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>There was evidence that the social services committee meeting that was held on:</p> <p>a) 17th January 2023. Agenda Item 5: Discussion of the District State of affairs: Min.5/SSC/23(1): Education Sector.</p> <p>The meeting was attended by 5 council members and 4 technical staff.</p> <p>b) 25th April 2023. Supporting documents were not availed at the time of assessment</p> <p>c) 29th August 2022. Agenda Item 6: Presentation of Q4 reports for FY 2021/2022. Min 5/SSC/22: Education Presentation of Q4 progress reports. The meeting was attended by 4 Council members and 5 technical staff.</p>	<b>0</b>
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11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,</p> <p><i>score: 2 or else score: 0</i></p>	<p>The Education department carried out mobilization to attract learners and retain children in school on Aulogo radio station held on Tuesday, February 2023 from 7-8 pm.</p> <p>The talk show was attended by 3 participants.</p> <p>This was evidenced in a report dated 14th February 2023.</p> <p>A report on Community Baraza meetings held in Ewafa, Aliba, Gimara, Itula, Palorinya Sub-counties, and Obongi Town council.</p>	<b>2</b>
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**Investment Management**

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i></p>	<p>Supporting documents were not availed at the time of assessment during field verification of the three schools (Obongi, Lumunga and Gopele Primary Schools) to show that there was an up-to-date LG asset's register which sets out school facilities and equipment relative to basic standards for the previous year 2022.</p>	<b>0</b>
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12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, score: 1 or else, score: 0</p>	<p>The District conducted a desk appraisal for all projects in the budget of FY 2022/2023 and a report dated 10th January, 2023 signed by the planner was produced and forwarded to CAO. It was reported that the projects were derived from the development plan and were eligible expenditure in line with Education sector Planning and Budgeting Guidelines, 2022. Examples included:</p> <ol style="list-style-type: none"> <li>1. Rehabilitation of 2 classroom blocks at Lomunga Primary School</li> <li>2. Construction of 4 blocks of 5-stance VIP latrines of which 2 blocks were for girls for girls with incinerators at Aliba and Dello P/S</li> </ol> <p>The projects were eligible according to page 10 and 11 of the Education sector Planning and Budgeting guidelines and were derived from the DDP III on page 197.</p>	<b>1</b>
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0</p>	<p>The District conducted a field appraisal of all the projects for FY 2022/2023. A report dated 12th January, 2023 was presented and it highlighted projects like;</p> <ol style="list-style-type: none"> <li>1. Rehabilitation of 2 classroom blocks at Lomunga Primary School</li> <li>2. Construction of 4 blocks of 5-stance VIP latrines of which 2 blocks were for girls for girls with incinerators at Aliba and Dello P/S</li> </ol> <p>The outcome confirmed that all the projects were linked to the 5-year development plan. In the forms filled during the exercise , the officers pronounced themselves by ticking right the technical feasibility and environmental and social acceptability. Finally, TPC recommended that projects could be funded</p>	<b>1</b>

Procurement, contract management/execution

*Maximum 9 points on this performance measure*

a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, *score: 1, else score: 0*

The LG education department budgeted and ensured sector projects were incorporated. In the council approved budget for FY 2023/2024 dated 30th May 2023 under council Min. 13/COU/OBO/30/05/23, the following were incorporated;

1. On page 2 of 4, there was a planned construction of 12 blocks of Gopeele seed secondary school at Aliba sub-county at a budget estimate of UGX 2,998,000, therefore, it has been incorporated in the plan.

2. On page 2 of 4, there was a planned construction of 4 classroom blocks at Liwa plus Gimara sub-county at a budget estimate of UGX 134,945,330.

3. On page 2 page 4, there was a planned supply of oil, fuel and lubricants at a budget estimate of UGX 20,540,000.

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>There was evidence that the school infrastructure was approved by the contracts committee and cleared by the Solicitor General for projects above threshold;</p> <ul style="list-style-type: none"> <li>• For construction of school facilities at Gimara Seed Secondary School Lot 5, with procurement reference number: MoES/UGIFT/Wrks/21-22/00001, was approved by the contract committee on 10th February 2022 under Min No. YDCC29/10/02/2122 and awarded to Spider Contractors Limited at a contract price of UGX 2,998,522,308. Date of Solicitor General’s clearance was not availed at the time of assessment. Agreement between the two parties was signed on 13th February 2023.</li> <li>• For rehabilitation of one block of 4-classroom at Lomunga in Gimara sub-county, with procurement reference number: OBON913/Wrks/22-23/00001, was approved by the contracts committee on 23rd February 2023 under Min. No. 239/DCC/OBO/22-23 and awarded to Afri Timber Dealers (U) Limited at a contract price of UGX 169,600,200. Agreement between the parties was signed 13th February 2023.</li> <li>• For construction of 5-stance VIP Latrine with washrooms and incinerator at Aliba Primary School, Aliba sub-county, with procurement reference number: OBO913/Wrks/22-23/00002, was approved by contracts committee on 13th January 2023 under Min. No. 232/DCC/OBO/22-23 and awarded to Obongi Prime Enterprises at a contract price of UGX 47,323,800. Agreement between the parties was signed 13th February 2023.</li> </ul>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>There was no evidence that the LG established a PIT for school construction projects constructed within FY 2022/2023 at the time of assessment.</p>	0

13	Procurement, contract management/execution  <i>Maximum 9 points on this performance measure</i>	d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES  <i>Score: 1, else, score: 0</i>	From the site visit to Gimara Seed Secondary school, the following observation was taken which shows adherence to the standard technical designs;  -Ground floor slab was reinforced with ground beams,  -The door openings for Science Laboratory, ICT building, administration block and classrooms were of sizes ; 1200x2400mm and 900x,2400mm as the buildings were at ring beam level,  -Window openings for the buildings above were of size1200x1500mm,  -For both science laboratory and ICT buildings, poly-num thermal insulating material was used as ceiling,  -200mm thick concrete blocks were used for external walls, supported with reinforced columns and beams at specified positions as per the design.	<b>1</b>
13	Procurement, contract management/execution  <i>Maximum 9 points on this performance measure</i>	e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i>	There was evidence that monthly site meetings were conducted for all sector infrastructure projects as shown below;  1. For Gimara Seed Secondary School, monthly progress and monitoring meetings were conducted by the DE, EO, DEO and CAO as dated on 31st May 2023, 30th June 2023 and 29th July 2023.  2. For construction of 5-stance VIP Latrine with washrooms and incinerator at Aliba Primary School, monthly progress and monitoring meetings were conducted by the DE, DEO, DCDO and EO as dated on 24th April 2023.	<b>1</b>



13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc ..., has been conducted <i>score: 1, else score: 0</i></p>	<p>There was evidence that during critical stages of construction of the planned sector infrastructure projects in the previous FY a monthly technical supervision was done jointly by the relevant technical officers. For instance;</p> <ul style="list-style-type: none"> <li>• For construction of Gimara Seed Secondary School, the District Engineer and CAO made a joint site visit on the 12th July 2023. The CDO and EO also made a joint site supervision visit on 17th July 2023, in which emphasis was put PPE wear, good housekeeping and safety.</li> <li>• For construction of 5-stance VIP Latrine at with washrooms and incinerator at Aliba Primary School, Aliba sub-county, a joint supervision by DE, DEO, EO and DCDO was conducted on 16th May 2023 as per reports.</li> </ul>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>The education infrastructure projects had been properly executed and payments to contractors were made within the specified time frames as per the contractual obligations. A comprehensive review of the project payment files and contracts revealed the following;</p> <ol style="list-style-type: none"> <li>1. The Construction of a 5-stance VIP latrine with urinals for boys at Dello Primary School in Gimara S/C with procurement reference number: Obon913/wrks.22-23/00007 by Lulu Masikin Enterprises where the contractor on 2nd May 2023 requested for payment, certification was done on 24th May 2023 and a payment of UGX26,507,116 via EFT voucher No.5880242 was done on 15th June 2023.</li> <li>2. Rehabilitation of one block of four classrooms at Lomunga P/S with procurement reference number: Obon913/wrks.22-23/00001 by Afri Timber Dealers (U) Limited where the contractor on 26th April 2023 requested for payment, certification was done on 22nd May 2023 and a payment of UGX42,616,205 via EFT voucher No.5904145 was done on 15th June 2023.</li> </ol>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i></p>	<p>The education department submitted its sector procurement plan on 27th June 2022. This was beyond 30th April, therefore not in accordance with the PPDA requirements.</p>	1

Procurement, contract management/execution

*Maximum 9 points on this performance measure*

i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law score 1 or else score 0

Based on the evidence provided, all school infrastructure contracts (seed secondary schools) in FY 2022/2023 had a complete procurement file with all records as indicated below;

1. Construction of Gimara Seed Secondary School, Lot 5, with procurement reference number: MoES/UGIFT/Wrks/21-22/00001, the project evaluation report dated 9th February 2022 which was approved by contracts committee on 10th February 2022 under min. No. YDCC29/10/02/21/22 and the contract was awarded to Spider Contractors Limited at a contract price of UGX 2,998,522,308. There was no Solicitor General's clearance availed at the time of assessment. Agreement between the parties was signed on 13th February 2023.

2. Construction of 5-stance VIP Latrine with washrooms and incinerator at Aliba Primary School, Aliba sub-county, with procurement reference number: OBO913/Wrks/22-23/00002, the project evaluation report dated 12th January 2023 which was approved by contracts committee on 13th January 2023 under Min.No. 232/DCC/OBO/22-23 and the contract was awarded to Obongi Prime Enterprises at a contract price of UGX 47,323,800. Agreement between the parties was signed on 13th February 2023.

3. Construction of one block of 4-classroom at Lomunga Primary School, with procurement reference number: OBO913/Wrks/22-23/00001, the project evaluation report dated 12th January 2023 which was approved by the contracts committee on 23rd February 2023 under Min. No. 239/DCC/OBO/22-23 and the contract was awarded to Afri-Timber Dealer (U) Limited at a contract price of UGX 169,600,200. Agreement between the two parties was on 13th February 2023.

## Environment and Social Safeguards

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0</p>	<p>No grievances emanated from the civil works of the education sector to be recorded, investigated, and responded to in line with the grievance redress framework.</p>	3
15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>There was evidence that LG disseminated the Education guidelines for protection of Environment and Social safeguards for Education Institutions. Ref: Educ/01/2023 dated 5th January 2023.</p>	3
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, score: 2, else score: 0</p>	<p>The LG had in place costed ESMPs that were incorporated within the BoQs and contractual documents as per the examples below;</p> <p>Construction of 2 blocks of 5-stance latrines at Dello Primary School in Liwa Parish, Gimara Sub- County; In a contract document between Lulu Masikini Enterprises and Obongi DLG dated 16th December, 2022, the BOQ had Environmental mitigation costed at 450,000 Ugsh, occupational health and safety, HIV/AIDS and gender costed at 300,000 Ugshs, clothing and safety equipment costed at 600,000 Ughsh.</p> <p>Construction of 2 blocks of 5-stance latrines at Aliba Primary School, Otubanga Parish, Aliba Subcounty; In a contract document between Obongi Prime Enterprises and Obongi DLG dated 29th December, 2022 the BOQ had Environmental protection costed at 1,000,000 Ugshs.</p> <p>Rehabilitation of 4 classroom block at Lomunga Primary School in Lomunga Parish, Gimara Sub- County; In a contract document between Afri Timber Dealers Uganda Ltd and Obongi DLG dated 27th December, 2022, the BOQ had had Environmental protection costed at 1,000,000 Ugsh, occupational health and safety, HIV/AIDS and gender costed at 500,000 Ugshs, clothing and safety equipment costed at 3,300,000 Ughsh.</p>	2

16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, score: 1, else score:0</p>	<p>There was proof of land ownership, access of school construction projects as indicated below;</p> <p>Gopele Seed Secondary School in Aringa Village, Gopele Parish, Gimara Sub-County, Obongi County; Certificate of title dated 17th September, 2020 measuring 4.3313 hectares under the ownership of Obongi District Local Government</p> <p>Construction of 2 blocks of 5-stance latrines at Dello Primary School in Liwa Parish, Gimara Sub- County; Voluntary land contribution consent form was signed by Mr. Afema Jildo and Mr. Dratele Robert on 29th September, 2022. The land measured an area of 40 hectares and the contribution attracted no compensation.</p> <p>Construction of 2 blocks of 5-stance latrines at Aliba Primary School, Otubanga Parish, Aliba Subcounty Voluntary land contribution consent form was signed by Mr. Kazimingi Alex and Mr. Abau Nusura on 1st June, 2022.</p> <p>Rehabilitation of 4 classroom block at Lomunga Primary School in Lomunga Parish, Gimara Sub- County; Certificate of title dated 16th September, 2020 measuring 1.8471 hectares under the ownership of Obongi District Local Government</p>	<b>1</b>
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, score: 2, else score:0</p>	<p>No project reports on monitoring and supervision activities conducted over the previous FY were availed during time of assessment</p>	<b>0</b>

Safeguards in the delivery of investments

*Maximum 6 points on this performance measure*

d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

*Score: 1, else score:0*

There was evidence that E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments during the previous FY as shown below;

Construction of 2 blocks of 5-stance latrines at Dello Primary School in Liwa Parish, Gimara Sub- County; E&S certification form endorsed by Environment Officer and CDO on 15th May, 2023

Construction of 2 blocks of 5-stance latrines at Aliba Primary School, Otubanga Parish, Aliba Subcounty; E&S certification form endorsed by Environment Officer and CDO on 12th May, 2023

Rehabilitation of 4 classroom block at Lomunga Primary School in Lomunga Parish, Gimara Sub- County; E&S certification form endorsed by Environment Officer and CDO on 3rd April, 2023

Gopele Seed Secondary School in Aringa Village, Gopele Parish, Gimara Sub-County, Obongi County; E&S certification form endorsed by Environment Officer and CDO on 13th September, 2023

**Health  
Performance  
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> <li>• By 20% or more, score 2</li> <li>• Less than 20%, score 0</li> </ul>	<p>Using the HMIS 107 annual reports, data on deliveries for FY 2021/22 and 2022/23 were extracted and used to calculate the percentage increase in deliveries for three sampled health facilities of Palorinya HCIII, Luru HCIII and Idiwa HCIII as shown below;</p> <p>Palorinya HCIII:</p> <p>In FY 2021/22, total deliveries was 481.</p> <p>In FY 2022/23, total deliveries was 465.</p> <p>There was a decrease of <math>465-481 = 16</math>. The percentage decrease was therefore <math>16/481 \times 100 = 3.3\%</math>.</p> <p>Luru HCIII:</p> <p>In FY 2021/22, total deliveries was 417.</p> <p>In FY 2022/23, total deliveries was 368.</p> <p>There was a decrease of <math>368-417 = 49</math>. The percentage decrease was therefore <math>49/417 \times 100 = 11.8\%</math>.</p> <p>Idiwa HCIII:</p> <p>In FY 2021/22, total deliveries was 321.</p> <p>In FY 2022/23, total deliveries was 253.</p> <p>There was a decrease of <math>253-321 = 68</math>. The percentage decrease was therefore <math>68/321 \times 100 = 21.2\%</math>.</p> <p>Average percentage decrease was <math>3.3\% + 11.8\% + 21.2\% = 36.3/3 = 12.1\%</math>.</p> <p>There was a decrease in total deliveries in Obongi district by 12.1% in the FY 2022/23.</p>	0
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> <li>• 70% and above, score 2</li> <li>• 50% - 69%, score 1</li> <li>• Below 50%, score 0</li> </ul>	<p>The average score in Health for LLG performance assessment was 100% thus above 70%.</p>	2

2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> <li>• 75% and above; score 2</li> <li>• 65 - 74%; score 1</li> <li>• Below 65; score 0</li> </ul>	<p>The indicator was dropped from the LGPA 2023. This was agreed upon during the OPM training conducted from 23rd -24th October 2023 at Imperial Royale Hotel. RBF was reportedly not implemented in FY 2022/23.</p>	0
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p>	<p>According to page 24 of the LG Approved Budget Estimates 2022/23 under programm 12, The LG budgeted renovation of an OPD at Maduga HCII, Renovation of staff house at Aliba HCIII, Renovation of general ward (OPD) at Palorinya HCIII. All the investments were eligible for expenditure under Health Sub Programme Grant, Budget and Implementation Guidelines for Local Governments for FY 2022/23 on page 18 Table 13: Eligible activities for the Health Development Grant that provides for 088281 - Staff houses construction and rehabilitation and 088283 - OPD and other ward construction and rehabilitation.</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0</p>	<p>The CDO was not consistent with certifying of works for health projects before the LG made payments to the contractors. A review on project files discovered that the CDO had not participated in certifying of works for renovation of an OPD at Maduga HCII and Renovation of staff house at Aliba HCIII. However, the CDO had certified for works for Renovation of general ward (OPD) at Palorinya HCIII on 26th May 2023 before a payment of UGX39,406,528 was done on 15th June 2023.</p>	0

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0</p>	<p>The contract price variations for the sampled health infrastructure works projects were within +/-20% of the MoWT. For instance;</p> <ol style="list-style-type: none"> <li>1. For renovation of staff house at Aliba HC III at a contract price of UGX 37,559,550 against Engineer's estimate of UGX 38,000,000. The contract price variation was calculated as 1.2%.</li> <li>2. For renovation of OPD at Madiga HC II at a contract price of UGX 43,417,500 against Engineer's estimate of UGX 43,500,000. The contract price variation was calculated as 0.2%</li> <li>3. For renovation of General ward at Palorinya HC III at a contract price of UGX 44,597,700 against Engineer's estimate of UGX 46,600,000. The contract price variation was calculated as 4.3%.</li> </ol> <p>Therefore, all contract price variations of sampled health projects implemented in FY 2022/2023 were within +/-20%.</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY</p> <ul style="list-style-type: none"> <li>• If 100 % Score 2</li> <li>• Between 80 and 99% score 1</li> <li>• less than 80 %: Score 0</li> </ul>	<p>There were no Health Centre upgrades from HC II to HC III in the procurement plan for FY 2022/2023. However, from the site visit to check the OPD renovation works at Palorinya HC III, the works included;</p> <ul style="list-style-type: none"> <li>-replacing the dilapidated ceiling,</li> <li>-replacing of the broken window panels,</li> <li>-painting the internal walls,</li> <li>-replacing some iron sheets,</li> <li>- There added 4 solar panel,</li> <li>-replacing fascia boards and water gutters.</li> </ul> <p>The project was completed and functional.</p>	2



4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> <li>• If above 90% score 2</li> <li>• If 75% - 90%: score 1</li> <li>• Below 75 %: score 0</li> </ul>	<p>The LG recruited staff for all H/C III's as per staffing structure:</p> <ol style="list-style-type: none"> <li>1. Aliba H/C III 17/19 = 89.4%</li> <li>2. Belle H/C III 15/19 =78.9 %</li> <li>3. Idiwa H/C III 16/19 =84.2 %</li> <li>4. Luru H/C III 15/19 =78.9 %</li> <li>5. Palorinya H/C III 15/19 =78.9 %</li> <li>6. Itula H/C III 16/19 =84.2 %</li> <li>7. Obongi H/C IV 42/48 = 87.5%</li> </ol> <p>136/162X100 = 83.9%</p>	1
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4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> <li>• If 100 % score 2 or else score 0</li> </ul>	<p>There were no Health Centre upgrades from HC II to HC III in the procurement plan for FY 2022/2023. However, from the site visit to Palorinya HC III, works on the renovation of the OPD were done as per the design.</p>	2
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**Performance Reporting and Performance Improvement**

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>From the Human Resource office (HRO), the assessment team obtained the staff list for FY 2023/24. Three health facilities of Palorinya HCIII, Luru HCIII and Idiwa HCIII were sampled and their facility staff lists for FY 2023/24 were compared with the list from the Human Resource Office.</p> <p>Palorinya HCIII: The staff list obtained from the HRO had 15 health workers. The staff list obtained from the health facility had 16 health workers.</p> <p>Asibazuyo Linda, Enrolled midwife is on the health facility staff list but not on the HRO list.</p> <p>Luru HCIII: The staff list obtained from the HRO had 15 health workers. The staff list obtained from the health facility also had 15 health workers.</p> <p>Idiwa HCIII: The staff list obtained from the HRO had 16 health workers. The staff list obtained from the health facility also had 16 health workers.</p> <p>Information on positions of health workers filled was not accurate for Palorinya HCIII.</p>	0
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5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>From DHO, the list of renovated health facilities for the FY 2022/23 was obtained. The document was not dated but signed by Lomurechu Dominic Guli Ag. DHO. The Annual report for FY 2022/23 in the PBS was also reviewed to establish whether the listed renovation works, their status and functionality were accurately reported in the PBS. The following facilities, their status and functionality were listed in the document from DHO;</p> <p>1 Renovation of staff house at Aliba HCIII. This was reported as completed and in use.</p> <p>2 Renovation of Outpatient (OPD) block at Maduga HCII. This was reported as completed and in use.</p> <p>3 Renovation of General Ward at Palorinya HCIII. This was reported as not yet completed.</p> <p>There was no evidence that the information on the renovation of health facilities listed above was reported in the Annual Report for FY2022/23 in the PBS.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans &amp; budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>From the DHO copies of health facility Annual work plans and budgets were obtained. The assessment team sampled 3 copies of the submitted Annual work plans and budgets from Palorinya HCIII, Luru HCIII and Idiwa HCIII. The team checked whether they were submitted by 31st March 2022 and whether they conformed to the prescribed format in the Local Government Planning Guidelines for the Health Sector.</p> <p>Palorinya HCIII Annual work plan and budget for FY 2022/23 was submitted to DHO on 20th July 2022 by Adriko Sunday, the in charge. It conformed to the prescribed format.</p> <p>Luru HCIII Annual budget and work plan for FY 2022/23 was submitted to DHO on 25th August 2022 by Ouma Lawrence, the in charge. It conformed to the prescribed format</p> <p>.Idiwa HCIII Annual budget and work plan for FY 2022/23 was submitted to DHO on 4th April 2022 by Adriko Sunday, the in charge (transferred to Palorinya HCIII). It conformed to the prescribed format</p> <p>The Annual work plans and budgets for the 3 sampled health facilities were submitted to DHO late (Beyond 31st March 2022).</p>	0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>From the DHO, the assessment team obtained copies of health facility Annual Budget Performance reports for FY 2022/23 and sampled reports from Palorinya HCIII, Luru HCIII and Idiwa HCIII.</p> <p>Palorinya HCIII report was submitted on 14th July 2023 by Sitaku Sunday, the in charge.</p> <p>Luru HCIII report was submitted on 13th July 2023 by Alu M Richard, the in charge.</p> <p>Idiwa HCIII report was submitted on 10th July 2023 by Adriko Sunday, the in charge.</p> <p>There was evidence that the Annual Budget Performance Reports of the three health facilities sampled were submitted in time in the FY 2023/24 as per the Budget and Grants Guidelines (By 15th July 2023).</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>There was no evidence of submission of health facility improvement plans for FY 2023/24 to the DHO.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,</p> <ul style="list-style-type: none"> <li>• score 2 or else score 0</li> </ul>	<p>From the DHO, the assessment team checked for the record of submissions of monthly and quarterly HMIS reports to establish timeliness of submissions. Three health facilities of Palorinya HCIII, Luru HCIII and Idiwa HCIII were sampled and all the 12 monthly and 4 quarterly submissions were reviewed.</p> <p>Submission of monthly reports (HMIS 105).</p> <p>Palorinya HCIII submitted all 12 reports timely.</p> <p>July 2022- on 6th August 2022.</p> <p>August 2022- on 4th September 2022.</p> <p>September 2022- on 3rd October 2022.</p> <p>October 2022- on 5th November 2022.</p> <p>November 2022- on 6th December 2022.</p> <p>December 2022- on 5th January 2023.</p>	2
<p>Maximum 14 points on this performance measure</p>	<p>Maximum 14 points on this performance measure</p>	<p>Maximum 14 points on this performance measure</p>	<p>Maximum 14 points on this performance measure</p>	<p>Maximum 14 points on this performance measure</p>

January 2023- on 6th February 2023.

February 2023- on 7th March 2023.

March 2023- on 6th April 2023.

April 2023- on 6th May 2023.

May 2023- on 6th June 2023.

June 2023- on 6th July 2023.

Luru HCIII submitted all 12 reports timely.

July 2022- on 5th August 2022.

August 2022- on 6th September 2022.

September 2022- on 5th October 2022.

October 2022- on 5th November 2022.

November 2022- on 6th December 2022.

December 2022- on 4th January 2023.

January 2023- on 5th February 2023.

February 2023- on 7th March 2023.

March 2023- on 5th April 2023.

April 2023- on 7th May 2023.

May 2023- on 6th June 2023.

June 2023- on 5th July 2023.

Idiwa HCIII submitted all 12 reports timely.

July 2022- on 5th August 2022.

August 2022- on 5th September 2022.

September 2022- on 5th October 2022.

October 2022- on 2nd November 2022.

November 2022- on 5th December 2022.

December 2022- on 3rd January 2023.

January 2023- on 4th February 2023.

February 2023- on 6th March 2023.

March 2023- on 4th April 2023.

April 2023- on 5th May 2023.

May 2023- on 4th June 2023.

June 2023- on 6th July 2023.

Submission of quarterly reports (HMIS 106A).

Palorinya HCIII submitted all the 4 reports timely.

Quarter one report was submitted on 6th October 2022.

Quarter two report was submitted on 6th January 2023.

Quarter three report was submitted on 6th April 2023.

Quarter four report was submitted on 6th July 2023.

Luru HCIII submitted all the 4 reports timely.

Quarter one report was submitted on 6th October 2022.

Quarter two report was submitted on 7th January 2023.

Quarter three report was submitted on 7th April 2023.

Quarter four report was submitted on 7th July 2023.

Idiwa HCIII submitted all the 4 reports timely.

Quarter one report was submitted on 4th October 2022.

Quarter two report was submitted on 7th January 2023.

Quarter three report was submitted on 5th April 2023.

Quarter four report was

Submitted on 5th July 2023

There was evidence that the sampled health facilities of Palorinya HCIII, Luru HCIII and Idiwa HCIII submitted the 12 monthly (HMIS 105) reports and the 4 quarterly HMIS (106A) reports timely as required.

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0

Note: Municipalities submit to districts

The indicator was dropped from the LGPA 2023. This was agreed upon during the OPM training conducted from 23rd -24th October 2023 at Imperial Royale Hotel. RBF was reportedly not implemented in FY 2022/23.

0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>The indicator was dropped from the LGPA 2023. This was agreed upon during the OPM training conducted from 23rd -24th October 2023 at Imperial Royale Hotel. RBF was reportedly not implemented in FY 2022/23.</p>	0
	<p>Maximum 14 points on this performance measure</p>			
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>The LG did not timely (by end of the first month of the following quarter) compile and submit all quarterly (4) Budget Performance Reports. Quarter one was submitted on 22nd December 2022, Quarter two was submitted on 6th February 2023, Quarter three was submitted on 6th May 2023, and Quarter four was submitted on 8th August 2023.</p>	0
	<p>Maximum 14 points on this performance measure</p>			
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>h) Evidence that the LG has:  i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>From the District Health Office, the assessment team obtained and reviewed the district Performance Improvement Plan for FY 2023/24. The Plan was endorsed by the Ag. DHO Lomurechu Dominic and the CAO Lokoroi Charles Okwi on 13th July 2023. This plan however did not focus on weakest performing health facilities.</p>	0
	<p>Maximum 14 points on this performance measure</p>			

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>There was no evidence of implementation reports on the approved Performance Improvement plan developed.</p>	<b>0</b>
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**Human Resource Management and Development**

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>From the District Health Office, the Local Government Approved Budget for FY 2023/24 was obtained to check whether health workers had been budgeted for.</p> <p>Uganda shs 3,115,410,000 was budgeted for as conditional wage Grant for the 215 health workers in posts. This was found on page 24 of 60 of the Approved budget for FY 2023/24.</p>	<b>2</b>
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7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>The assessment team reviewed the health staff list for FY 2023/24 signed by Dragule Sunday, ADHO Environmental health on 10th July 2023 to check whether the LG deployed the health workers as per guidelines (all health facilities to have at least 75% staff required). The findings are indicated below;</p> <p>Obongi district had 215 health workers in posts out of the required number of 263. The district percentage of posts filled was <math>215/263 \times 100=81.7\%</math>. Review of the staff list showed that all the 17 health facilities had the required 75% of the staff for example;</p> <p>Lomunga HCII had 7 out of the required 9 staff. (77.8%).</p> <p>Malanga HCII had 7 out of the required 9 staff.. (77.8%).</p> <p>Obongi HCIV had 42 out of the required 48 staff.(87.5%).</p> <p>Luru HCIII had 15 out of the required 19 staff. (78.9%).</p> <p>Palorinya HCIII had 16 out of the required 19 staff.84.2%).</p> <p>There was evidence that all the 17 health facilities in Obongi district had at least 75% of the required staff.</p>	2
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>From the DHO, the health workers deployment list was obtained and 3 health facilities of Palorinya HCIII, Luru HCIII and Idiwa HCIII were visited on 12th December 2023. At each of these health facility, the facility Attendance book was reviewed to determine that health workers whose names appear in the deployment list and the health facility list are working where they are deployed.</p> <p>The following sampled health workers in the facility staff list and deployment list were found to have signed in the Attendance Book on 12th December 2023;</p> <p>Palorinya HCIII</p> <p>Nyadru Julius Askari</p> <p>Mananu Zuboda Enrolled nurse</p> <p>Ambayo Robert Laboratory Assistant</p> <p>Hassan Yusuf Clinical Officer</p> <p>Leku Richard Laboratory Technician</p> <p>Abiria Denis Assistant Nursing Officer</p> <p>Okitiru Stella Enrolled midwife</p> <p>Situka Sunday Senior Clinical Officer</p>	3
<p>Maximum 9 points on this performance measure</p>	<p>Maximum 9 points on this performance measure</p>			



Masudo Lilian Porter  
 Marutia Dorothy Enrolled midwife  
 Luru HCIII  
 Amandu Robert Health Assistant  
 Adroni Linus Porter  
 Icareo Connie Assistant Nursing Officer  
 Magara Christopher Laboratory Assistant  
 Ijo Cosmas Laboratory Technician  
 Ucadio Ambrose Enrolled Nurse  
 Anyamo Christopher Enrolled nurse  
 Wani Wilson Enrolled nurse  
 Idiwa HCIII  
 Amandu Saviour health Assistant  
 Saidia Margaret Assistant Nursing Officer  
 Adriko Sunday Senior Clinical Officer  
 Nadia Caesar Enrolled nurse  
 Okello Rashid Askari  
 Amanga Bandura Askari

There was evidence that the sampled health workers whose names appear in the deployment list for the above sampled health facilities were working in those facilities.

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0

From DHO, the health workers deployment list for FY 2023/24 was obtained. Three health facilities of Palorinya HCIII, Luru HCIII and Idiwa HCIII were sampled and visited on 12th December 2023 to check whether the list of health workers for FY 2023/24 was displayed on health facility notice boards.

Palorinya HCIII: The staff list for FY 2023/24 was not displayed on the health facility notice board.

Luru HCIII: The staff list for FY 2023/24 was not displayed on the facility notice board.

Idiwa HCIII: The staff list for FY 2023/24 was not displayed on the facility notice board.

There was no evidence that lists of health workers for FY 2023/24 for the 3 sampled health facilities were displayed on health facility notice boards.

0

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the DHO/MMOHs has:</p> <p>i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0</p>	<p>The LG had 17 Health Facilities Appraisal of all the Health Facility In-charges against the agreed performance plans were done and submitted to HRO during the previous FY was as below:</p> <ol style="list-style-type: none"> <li>1. Adriko Sunday, Senior Medical Clinical Officer at Idwa H/C III was appraised on 30th June 2023.</li> <li>2. Mahad Abdulmalik Enrolled Nurse at Ibakwe H/C II was appraised on 30th June 2023.</li> <li>3. Asiki Rasul Enrolled Nurse at Maduga H/C II was appraised on 30th June 2023.</li> <li>4. Ogama George Enrolled Nurse at Belameling H/C II was appraised on 30th June 2023.</li> <li>5. Tabuga Aruna Enrolled Nurse at Iboa H/C II was appraised on 28th June 2023.</li> <li>6. Owule Martin Senior Medical Officer at Obongi H/C IV was appraised on 30th June 2023</li> <li>7. Endema Kennedy , Enrolled Nurse at Kali H/C II was appraised on 30th June 2023.</li> <li>8. Drichi Boniface Clinical Officer at Aliba H/C III and was appraised on 28th June 2023.</li> <li>9. Acidri Sunday Enrolled Nurse at Lomonga H/C II was appraised on 30th June 2023.</li> <li>10. Adiru Florence Enrolled Nurse at Liwa H/C II was appraised on 30th June 2023</li> </ol>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p>	<p>The Health Facility In-charges did not conduct performance appraisal of health facility workers against the agreed performance plans during the previous FY.</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>There was no evidence of corrective actions available by the time of assessment</p>	0

8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	b) Evidence that the LG: i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0	<p>From the DHO, the assessment team was availed selected reports on Continuous Professional Development (CPD) trainings that were conducted in the FY 2022/23 for review as shown below;</p> <p>1 Training on Maternal, Infant, Young Child and Adolescent Nutrition (MIYCAN).The report was dated 23rd September 2022 and signed by Muhindo Geoffrey, Senior Health Educator. Training was conducted from 19th -21st September 2022.</p> <p>2 Training of health workers on Integration of Baby Friendly Health Initiatives (BFHI) in Continuous Quality Improvement Strategy. The report was not dated but signed by Night Kalsum Assistant Nursing Officer. Training was conducted from 21st -25th November 2022.</p> <p>3 Training of regional Trainers and mentors on Adolescent responsive health services. The report was not dated but compiled by Dragule Sunday, ADHO MCH and Adrupia Rose, Nursing Officer. The training was conducted from 22nd -26th August 2022.</p> <p>4 Training on Emergency Medical Services. The report was dated 27th February 2023 and signed by Hamidu Serera, Assistant Nursing Officer. The training was conducted from 23rd - 24th February 2023.</p> <p>There was evidence that the Local Government conducted training of health workers, (CPD), in the FY 2022/23.</p>	1
8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.  Maximum 6 points on this performance measure	ii. Documented training activities in the training/CPD database, score 1 or else score 0	<p>From the DHO, the training database for FY 2022/2023 was obtained and reviewed. The database was signed by Lomurechu Dominic, Ag. DHO on 10th July 2023. It sets out the trainings conducted, the names of the health workers who attended the trainings, their cadres, the health workers' work stations and the training dates.</p>	1

**Management, Monitoring and Supervision of Services.**

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>From the HRO (registry); the assessment team obtained a copy of the letter notifying the Ministry of Health of the list of health facilities (GOU and PNFP) accessing PHC Non-Wage grants in the FY 2023/24. The letter was dated 31st May 2023 and signed by Leru Andrew, CAO Obongi district. The letter was received at the Ministry of Health Registry on 1st July 2023.</p> <p>The following health facilities were listed in that letter:</p> <ul style="list-style-type: none"> <li>Obongi HCIV</li> <li>Itula HCIII</li> <li>Belle HCIII</li> <li>Palorinya HCIII</li> <li>Idiwa NCIII</li> <li>Luru HCIII</li> <li>Aliba HCIII</li> <li>Ibakwe HCII</li> <li>Malanga HCII</li> <li>Indiliga HCII</li> <li>Liwa HCII</li> <li>Maduga HCII</li> <li>Lomunga HCII</li> <li>Waka HCII</li> <li>Kali HCII</li> <li>Balameling HCII</li> <li>Iboa HCII</li> </ul> <p>The listed health facilities rhyme with the list in the Budget and Grants Guidelines 2023/24.</p>	2
9	<p>Maximum 9 points on this performance measure</p>			
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>A review of page 24 of the LG approved budget estimates for FY 2022/2023. The total Budget for health department was UGX331,603,000</p> <p>The monitoring of health services was allocated UGX 52,445,000</p> <p>Thus, the percentage allocation was</p> $\text{UGX } 52,445,000 \times 100\%$ $\text{UGX } 331,603,000$ $= 15 \% \text{ which was the 15 percent minimum}$	2
	<p>Maximum 9 points on this performance measure</p>			

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>	<p>The warrant reports for the district were not printed from IFMIS, an attempt to print them from the system was not successful due to network issues. Only warrant information for the current financial year was provided where by warranting was conducted on 18th July 2023 under warrant code 913AW-2024-3 which was not applicable for this indicator and the rest were not printed and the information was not availed since it was not recorded anywhere.</p>	0
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0</p>	<p>Information for LG invoices and communication of all PHC NWR Grant transfers for the previous FY to health facilities was not availed at the sampled health facilities which were Palorinya HC III, Luru HC III and Idiwa HC III.</p>	0
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>The information for all the quarterly financial releases to all health facilities was not publicized on the noticeboards at the time of the assessment.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>The assessment team obtained from the DHO, minutes of DHMT Quarterly review meetings to check on the actions recommended in the meetings. The findings are shown below;</p> <p>Quarter one meeting was held on 19th September 2022 and the minutes were signed by Tako Samuel Assistant Chief Administrative Officer (ACAO) as Chairman and Dragule Sunday as Secretary' The actions recommended in the meeting were as follows;</p> <p>-The DHO should ensure that the District Health Team (DHT) conducts quarterly support supervision of health facilities.</p>	0

-The DHO should organize Immunization (EPI) specific performance review meeting during the second Quarter.

-All HCIII and IV should develop Quality improvement projects for implementation.

Quarter two meeting was held on 5th December 2022 and the meeting minutes were signed by Tako Samuel, ACAO as chairman and Adriko Godwill as Secretary. The actions recommended for implementation in this meeting were;

-The DHO should conduct training of the newly recruited staff through continuing medical education (CME).

-The Surveillance Focal person should ensure that all government and private health facilities submit weekly surveillance reports.

-The Assistant Inventory Management Officer (AIMO) should enter all the equipment received eg microscopes in the Asset Register.

Quarter three meeting was held on 17th February 2023. The meeting minutes were signed by Tako Samuel, ACAO as Chairman and Ofoyuru David as Secretary. The actions recommended for implementation in this meeting were the following;

-The DHO should orient all health facility in charges on the indicators used by the Ministry of Health for National performance assessment (District League Table).

-The DHO should ensure that all health facilities submit their draft work plans and budgets for FY 2023/24 before 31st march 2023.

Quarter four meeting was held on 13th April 2023. The meeting minutes were signed by Tako Samuel, ACAO as Chairman and Muhindo Geoffrey as Secretary. The following actions were recommended for implementation;

-The DHO should ensure that all pending financial accountabilities are submitted to the Sector Accountant within one week.

-The surveillance Focal Person should set up mechanism for obtaining timely reports of suspected disease outbreaks through community surveillance system.

There was no evidence of reports on implementation of actions arising from the DHMT Quarterly review meetings.

Maximum 7 points on this performance measure

LG departments e.g. WASH, Community Development, Education department, score 1 or else 0

Water, Community Development, attended the meetings.

It was reported by the Ag. DHO that performance review meetings in the FY 2022/23 were not conducted in the time required due to late approvals of funding from implementing partners who fund these meetings.

Quarter one performance review meeting was held on 15th December 2022 and the minutes were signed by Dragule Sunday ADHO MCH as Chairman and Ofoyuru David as Secretary.

Quarter two performance review meeting was held on 19th January 2023. The minutes were signed by Lomurechu Dominic Ag. DHO as chairman and Dragule Sunday as Secretary.

Quarter three performance review meeting was held on 27th April 2023 and the minutes were signed by Lomurechu Dominic Ag. DHO as Chairman and Dragule Sunday as Secretary.

Quarter four performance review meeting was held on 29th August 2023. The meeting minutes were signed by Dragule Sunday ADHO MCH as Chairman and Ofoyuru David as Secretary.

The signed attendance list for Quarter one meeting was availed to the team to establish attendance.

Quarter one selected attendance by category;

Health facility in charges:

Abu Siraji Iboa HCII

Ogama George Belamaling HCII

Oduga Benedict Itula HCIII

DHMT members:

Lomurechu Dominic Ag. DHO

Dragule Sunday ADHO

Ofoyuru David Biostatistician

Implementing Partners:

Dr. Patrick Omara Medical Team International (MTI)

Tweenatwine Leus IDI

Local Government departments:

Iranya Dominic Water Officer

Ameko Alex Community Development officer

Draecabo Patrick Education Assistant

There was evidence that the performance review meetings were attended by health facility in charges, DHMT, Implementing partners and key Local government

departments.

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>From the DHO, the assessment team obtained and reviewed support supervision reports for FY2022/23 to establish whether Obongi HCIV was supervised in each of the four Quarters.</p> <p>Quarter one support supervision report was not dated and signed by Ofoyuru David, Biostatistician. Supervision was conducted from 18th -22nd September 2022. The report did not mention the health facilities supervised but indicated all health facilities.</p> <p>Quarter two support supervision report was not dated and signed by Ofoyuru David, Biostatistician and Dragule Sunday ADHO. Supervision was conducted from 4th -8th December 2022. The health facilities supervised in this period were not listed.</p> <p>Quarter three support supervision report was not dated and signed by Ofoyuru David, Biostatistician and Dragule Sunday ADHO. Supervision was conducted from 13th -17th March 2023. The health facilities supervised in this period were not listed.</p> <p>Quarter four support supervision report was not dated and signed by Ofoyuru David, Biostatistician and Dragule Sunday ADHO. Supervision was conducted from 12th -16th June 2023. The health facilities supervised in this period were not listed.</p> <p>It can be concluded that though the Local government conducted support supervision in every Quarter of the FY 2022/23, no mention was made in the reports that Obongi HCIV was visited and supervised.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <p>• If not applicable, provide the score</p>	<p>From the DHO, there was no evidence that the health sub district in Obongi district carried out support supervision of lower health facilities in the FY 2022/23. No health sub district supervision reports were availed to the assessment team at the time of assessment</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits,</p>	<p>The assessment team visited the sampled 3 health facilities of Palorinya HCIII, Luru HCIII and Idiwa HCIII. The team obtained and reviewed the support supervision books to determine whether the Local government provided recommendations from the</p>	0



Maximum 7 points on this performance measure

to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0

supervision visits and that their implementation was followed up. The findings from selected supervisions conducted were as follows;

Palorinya HCIII

During the Quarter one FY 2022/23 support supervision conducted at the health facility on 9th August the following recommendations were made for action;

-The facility in charge should ensure that all stock cards for medicines and other health supplies are updated by the end of the month

-The in charge should liaise with the DHO to effect redistribution of excess medicines in the stores to other health facilities before the end of the month.

In the subsequent support supervision conducted in the Second Quarter of FY 2022/23 on 8th December 2022, there was no documentation in the support supervision book that the implementation of recommendations made during the previous supervision of 9th August 2022 was followed up.

2 In the third Quarter of FY 2022/23 supervision conducted on 16th March 2023, the following recommendations were made for implementation;

-The in charge maternity should open quality improvement projects on post abortion care and family planning service uptake

-In charge maternity should provide kangaroo medical care to all preterm and under weight babies.

In the subsequent support supervision conducted in the Fourth Quarter of FY 2022/23 on 22nd June 2023, there was no documentation in the support supervision book that the implementation of recommendations made during the previous supervision of 16th March 2023 was followed up.

Luru HCIII

There was only one supportive supervision conducted by the Local government that was documented in the health facility support supervision book on 8th June 2023. The recommendations made during this supervision were the following;

-The health facility immunization focal person should ensure that children who miss their immunization schedules are physically followed by the village health team (VHT).

-The in charge should start displaying the performance of Immunization (EPI) indicators monthly.

There was no documentation on follow up on implementation of the above recommendations in the support supervision book.

Idiwa HCIII

The health facility in charge was using a counter book that was improvised as a support supervision book. No support supervision book had been issued by the DHO since the beginning of FY 2022/23.

Only one supervision visit conducted by the District health Team (DHT) on 4th August 2022 was documented in this counter book. The following recommendations were made;

-The in charge immunization should implement the multi dose vaccine policy. Un used doses of opened vials of multi dose vaccines at outreaches should be discarded.

-The in charge should regularly update the staff list whenever staff are transferred in or out of the health facility.

There was no documentation in the support supervision book that implementation of the above recommendations was followed up.

There was evidence that recommendations were made by the Local government during support supervision conducted at the health facilities. However, implementation of these recommendations were not followed up.

10

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0

From the DHO, medicines and health supplies support supervision reports for FY 2022/23 were obtained and reviewed to check whether advice was given to health facility in charges on secure, safe storage and disposal of medicine and health supplies. Below are the findings from the reports obtained:

1 Report dated 30th September 2022 and signed by Mandre William, medicines management supervisor (MMS). Supervision was conducted from 11th -23rd July. The following health facilities were supervised;

Obongi HCIV

Itula HCIII

Belle HCIII

Palorinya HCIII

Idiwa NCIII

Luru HCIII

Aliba HCIII

Ibakwe HCII

Malanga HCII

Indiliga HCII

Liwa HCII

1

Maduga HCII

Lomunga HCII

Waka HCII

Kali HCII

Balameling HCII

Iboa HCII

Some of the advice given to the health facility in charges were as follows;

-Medicines dispensing officers at the health facilities should use and fill the medicines log book to ease tracking of flow of medicines out.

-Health workers prescribing medicines should adhere to the Uganda Clinical Guidelines.

-Stock books should be used in all health facilities and Average Minimum Consumption (AMC) data for each item should be recorded in the book.

2 Report dated 30th December 2022 and signed by Mandre William, MMS. Supervision was conducted from 15th October-12th November in all 17 health facilities;

Obongi HCIV

Itula HCIII

Belle HCIII

Palorinya HCIII

Idiwa NCIII

Luru HCIII

Aliba HCIII

Ibakwe HCII

Malanga HCII

Indiliga HCII

Liwa HCII

Maduga HCII

Lomunga HCII

Waka HCII

Kali HCII

Balameling HCII

Iboa HCII.

The following were some of the advice given to the health facility in charges;

-Health facility in charges should redistribute excess medicines to avoid expiry in the stores to needy facilities with support from DHO.

-In charges should ensure that health facility orders for medicines and other health supplies are sent to National Medical Stores (NMS) in time to avoid stock outs at the facility.

3 Report dated 30th March 2023 and signed by Mandre William, MMS. Supervision was conducted from 5th January-5th February 2023. All the 17 health facilities were supervised;

Obongi HCIV

Itula HCIII

Belle HCIII

Palorinya HCIII

Idiwa NCIII

Luru HCIII

Aliba HCIII

Ibakwe HCII

Malanga HCII

Indiliga HCII

Liwa HCII

Maduga HCII

Lomunga HCII

Waka HCII

Kali HCII

Balameling HCII

Iboa HCII.

Some of the advice given to the health facility in charges during this supervision were the following;

-In charges who get support from existing implementing Partners should lobby them to supply wooden pallets for proper medicines storage in the stores.

-Health workers at the facilities should be mentored on calculating Average Monthly Consumption (AMC) data for medicines and other health supplies.

4 Report dated 15th June 2023 and signed by Mandre William, MMS. Supervision was conducted from 2nd April-12th May 2023. All the 17 health facilities were supervised;

Obongi HCIV

Itula HCIII

Belle HCIII

Palorinya HCIII

Idiwa NCIII

Luru HCIII  
 Aliba HCIII  
 Ibakwe HCII  
 Malanga HCII  
 Indiliga HCII  
 Liwa HCII  
 Maduga HCII  
 Lomunga HCII  
 Waka HCII  
 Kali HCII  
 Balameling HCII  
 Iboa HCII.

The health facility in charges were advised as follows;

-Stock cards should be updated and also correctly used.

-In health facilities with inadequate space in the store, additional rooms within the health facilities should be identified and designated as stores.

-Medicines and health supplies store temperatures should monitored daily and the temperature charted to avoid exposing commodities in the stores to excessive heat.

There was evidence that health facility in charges were given advice on secure, safe storage and disposal of medicines and health supplies during medicines management supervision conducted in the FY 2022/23.

11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0	According to the Approved budget and workplan FY 2022/2023, DHO's office was allocated UGX 279,158,000  Then out of the total allocation, UGX 52,445,000 was allocated to health promotion activities  UGX 52,445,000X 100  UGX 279,158,000  = 18%.which was less than the minimum required.	2
Maximum 4 points on this performance measure				
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health	b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities	From the DHO, the assessment team obtained and reviewed Quarterly Health Promotion activity reports to establish whether the DHT implemented Health Promotion, Disease Prevention and Social Mobilization activities in	1

promotion, disease prevention and social mobilization activities

Maximum 4 points on this performance measure

as per ToRs for DHTs, during the previous FY score 1 or else score 0

the FY 2022/23.

Quarter one (July-September) 2022 report was not dated. The report was signed by Muhindo Geoffrey, Senior health Educator. The following were the activities listed as implemented in the quarter;

1 Health promotion Staff meeting held on 22nd August 2022 to agree on proposed activity implementation plan for FY 2022/23.

2 Distribution of 6,668 IEC materials in Madhi language to the local communities in the district on various thematic areas;

-Flyers on COVID19 prevention

-Posters on Yellow Fever

-Posters on cleft palate.

The distribution report was dated 28th September 2022.

Quarter two (October-December) 2022 report was not dated but signed by Muhindo Geoffrey, Senior Health Educator. The following activities were listed as implemented in this quarter;

1 Social mobilisation of the communities in Moyo district on uptake of Indoor Residual Spraying (IRS) as a malaria prevention intervention. This was done through Radio Talk shows on the following dates;

-6th October 2022.

-21st October 2022

-1st November 2022.

-10th November 2022.

Talk shows were on Radio Aulogo in Obongi Town.

2 Social mobilisation on uptake of Polio immunization through Road Drive in the following areas;

-Obongi Town Council.

-Aliba Sub county.

-Gimara Sub county.

The dates of the Road drive in the mentioned areas were not indicated in the report.

Quarter three (January-March) 2023 report was not dated but signed by Muhindo Geoffrey, Senior health Educator. The activities implemented in this Quarter were the following;

1 Distribution of 712 IEC materials.

-Facts Sheets on mental health among adolescents.

-Brochures on Schistosomiasis.

-Posters on Psychological and mental health First Aid.

-Posters on Family MUAC by the VHTs.

The report on distribution of the IEC materials was dated 7th March 2023.

Quarter four (April-June) 2023 report was dated 29th June 2023 and signed by Muhindo Geoffrey, Senior health Educator. Key activities conducted in this Quarter were the following;

1 Conducting health education in Obongi Market on good health seeking behavior for community members.

2 social mobilisation and sensitisation of communities through Road drive in the following areas;

-on 16th April 2023

Obongi Town Council.

Ewaffa sub county.

Aliba sub county.

Gimara sub county.

-On 17th April 2023.

Asavu Landing site.

Rupo Landing site.

Lomunga village.

-On 18th April 2023.

-Palorinya Trading Center.

Iboa Trading Center.

Delo village.

-On 19th April 2023.

Liwa North and South Villages.

Kango village.

-On 20th April 2023.

Itula sub county

Chinyi Village.

NdiriNdiri Town Council.

There was evidence that the DHT implemented Health Promotion, Disease Prevention and Social mobilization activities in FY 2022/23.

11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p>	<p>From the DHO, there was no evidence that follow up actions were taken on issues of health promotion, disease prevention and social mobilisation. The assessment team was not availed the DHT minutes and Quarterly progress reports to review at the time of assessment.</p>	<b>0</b>
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**Investment Management**

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>The assessment team obtained the standard list of medical equipment and service standards from the Ministry of Health. This list was compared with the equipment list in the LG Asset Register. The findings are as below;</p> <p>The Local government health facility Asset Register for FY 2022/23 was obtained. It was endorsed by Lomurechu Dominic, the Ag.DHO on 3rd July 2023. The register details all the health facilities and their medical equipment. An example of the equipment for Palorinya HCIII listed in the register were as follows;</p> <p>Autoclave</p> <p>Drip stand</p> <p>Episiotomy scissor</p> <p>Fetoscope</p> <p>Suction machine</p> <p>Weighing scale infant</p> <p>Examination couch</p> <p>Kidney dishes</p> <p>Delivery bed</p> <p>Blood pressure machine</p> <p>The above listed equipment were also found in the equipment list for HCIII obtained from the Ministry of Health.</p>	<b>1</b>
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12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were:</p> <ul style="list-style-type: none"> <li>(i) derived from the third LG Development Plan (LGDPIII);</li> <li>(ii) desk appraisal by the LG; and</li> <li>(iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG));</li> </ul>	<p>The District conducted a desk appraisal for all projects in the budget of FY 2022/2023 and a report dated 10th January, 2023 signed by the planner was produced and forwarded to CAO. It was reported that the projects were derived from the development plan and were eligible expenditure in line with Health sector Planning and Budgeting Guidelines, 2022. Examples included:</p> <ol style="list-style-type: none"> <li>1. Renovation of one in one staff house at Aliba HCIII</li> <li>2. Renovation of OPD at Maduga HCII</li> <li>3. Renovation of maternity and general ward at Palorinya HCIII</li> </ol> <p>The projects captured under Assets and Facility Management were on page 235 of the DDP III and All the investments were eligible for expenditure under Health Sub Programme Grant, Budget and Implementation Guidelines for Local Governments for FY 2022/23 on page 18 Table 13: Eligible activities for the Health Development Grant that provides for 088281 - Staff houses construction and rehabilitation and 088283 - OPD and other ward construction and rehabilitation.</p>	1
<p>Maximum 4 points on this performance measure</p>	<p>score 1 or else score 0</p>			
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for:</p> <ul style="list-style-type: none"> <li>(i) technical feasibility;</li> <li>(ii) environment and social acceptability;</li> <li>and (iii) customized designs to site conditions: score 1 or else score 0</li> </ul>	<p>The District conducted a field appraisal of all the projects for FY 2022/2023. A report dated 12th January, 2023 was presented and it highlighted projects like;</p> <ol style="list-style-type: none"> <li>1. Renovation of one in one staff house at Aliba HCIII</li> <li>2. Renovation of OPD at Maduga HCII</li> <li>3. Renovation of maternity and general ward at Palorinya HCIII</li> </ol> <p>The outcome confirmed that all the projects were linked to the 5-year development plan. In the forms filled during the exercise , the officers pronounced themselves by ticking right the technical feasibility and environmental and social acceptability. Finally, TPC recommended that projects could be funded</p>	1
<p>Maximum 4 points on this performance measure</p>				

12

Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.

Maximum 4 points on this performance measure

d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0

There was evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place as in the examples below;

Renovation of staff House at ALIBA HCIII in Otubanga Parfish, Ewafa Subcounty, Obongi County; Environment and social screening form endorsed by both DCDO and Environment Officer on 13th September, 2022

Renovation of OPD House at Maduga HCII in Gopele Parish, Gimara Subcounty, Obongi County; Environment and social screening form endorsed by both DCDO and Environment Officer on 13th September, 2022

Renovation of General Ward at Palorinya HCIII in Palujo Parish, Palorinya Sub- County, Obongi County; Environment and social screening form endorsed by both DCDO and Environment Officer on 13th September, 2022

Renovation of staff House at ALIBA HCIII in Otubanga Parfish, Ewafa Subcounty, Obongi County; ESMP costed at 2,000,000 Ugshs endorsed by both DCDO and Environment Officer on 19th September, 2022

Renovation of OPD House at Maduga HCII in Gopele Parish, Gimara Subcounty, Obongi County; ESMP costed at 2,300,000 Ugshs endorsed by both DCDO and Environment Officer on 19th September, 2022

Renovation of General Ward at Palorinya HCIII in Palujo Parish, Palorinya Sub- County, Obongi County; ESMP costed at 2,379,782 Ugshs endorsed by both DCDO and Environment Officer on 19th September, 2022

13

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

a. Evidence that the LG health department timely (by April 30 for the current FY ) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0

There was no evidence at the time of assessment, of timely submission of the Current FY infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans.

0

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>The LG health department submitted procurement request Form PP 1 to the PDU within the 1st Quarter as indicated below;</p> <ul style="list-style-type: none"> <li>-Supply of stationery and assorted small office equipments dated 31st July 2023 at an estimated cost of UGX 5,069,000 ,</li> <li>-Supply of meals and refreshments during training and meetings dated 31st July 2023 at an estimated cost of UGX 12,400,000,</li> <li>-Supply of fuel, oils and lubricants dated 31st July 2023 at an estimated cost of UGX 10,509,000.</li> </ul>	<b>1</b>
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>There was evidence that the health infrastructure investments for previous FY was approved by the contracts committee and cleared by the Solicitor General for projects above threshold;</p> <ol style="list-style-type: none"> <li>1. Renovation of staff house at Aliba HC III, with procurement reference number: OBO913/Wrks/22-23/00012, was approved by the contracts committee on 13th January 2023 under Min. No. 239/DCC/OBO/22-23 and awarded to Asibuku and Brothers Enterprises at a contract price of UGX 37,559,550. Agreement between the parties was signed on 13th February 2023.</li> <li>2. Renovation of OPD at Madiga HC II, with procurement reference number: OBO913/Wrks/22-23/00011, was approved by the contracts committee on 13th January 2023 under Min. No. 248/DCC/ OBO/22-23 and awarded to Sam and Brothers Limited at a contract price of UGX 43,417,500. Agreement between the parties was signed on 13th February 2023.</li> <li>3. Renovation of General ward at Palorinya HC III, with procurement reference number: OBO913/Wrrks/22-23/00010, was approved by the contracts committee on 13th January 2023 under Min. No. 248/DCC/OBO/22-23 and awarded to Ayiasi Vuga and Brothers at a contract price of UGX 44,597,700. Agreement between the parties was signed on 13th February 2023.</li> </ol>	<b>1</b>

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG established a PIT for health projects constructed within FY 2022/2023 as indicated below;</p> <p>A letter dated 17th April 2023 in which the CAO appointed the following as members of the project implementation team for health projects;</p> <p>DHO(Contracts manager – Lomurechu Dominic, District Engineer – Musafir F Ibrahim, DEO – Onzimai Pastore, DCDO – Nyango Ernest, Chairman HMC – Andama Bosco, Health Centre Incharge – Nyadru Pascal.</p> <p>The team above was to oversee the projects; named below;</p> <ol style="list-style-type: none"> <li>1.Renovation of staff house at Aliba HC III,</li> <li>2.Renovation of OPD at Madiga HC III,</li> <li>3.Renovation of General Ward at Palorinya HC III.</li> </ol>	<b>1</b>
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There were no HC II upgrades to HC III in the FY 2022/2023. But there were other health infrastructure projects constructed as indicated below;</p> <ol style="list-style-type: none"> <li>1. Renovation of staff house at Aliba HC III,</li> <li>2. Renovation of OPD at Madiga HC II,</li> <li>3. Renovation of General Ward at Palorinya HC III.</li> </ol>	<b>1</b>
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There were no health Centre upgrades from HC II to HC III in the FY 2022/2023. Therefore no clerk of works records to the DE availed at the time of assessment.</p>	<b>1</b>

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There were no health centre upgrades from HC II to HC III in the FY 2022/2023.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>There were no health centre upgrades from HC II to HC III in the FY 2022/2023.</p>	1

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0

It was revealed and evidence that the Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors. A comprehensive review of the project payment files and contracts revealed the following;

1. Renovation of an OPD at Maduga HCII. The contractor issued a request for payment on 19th May 2023, certification was done on 25th May 2023 whereas the payment of UGX38,363,703 was done on 15th June 2023 under EFT5905564.

2. Renovation of staff house at Aliba HCIII. The contractor issued a request for payment on 21st April 2023, certification was done on 22 May 2023 whereas the payment of UGX35,305,977 was done on 28th June 2023 under EFT6441527.

3. Renovation of general ward (OPD) at Palorinya HCIII. The contractor issued a request for payment on 24th August 2023, certification was done on 26th May 2023 whereas the payment of UGX39,406,528 was done on 15th June 2023 under EFT5904270.

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>Based on the evidence provided, all health infrastructure contracts had a complete procurement file with all records as required by PPDA as indicated below;</p> <ul style="list-style-type: none"> <li>• Renovation of staff house at Aliba HC III, with procurement reference number: OBO913/Wrks/22-23/00012, the file had project evaluation report dated 19th December 2022 which was approved by the Contracts Committee on 13th January 2023 under Min. No. 239/DCC/OBO/22-23 and subsequently awarded the contract to Asibuku and Brothers Enterprises at a Contract Sum of UGX 37,559,550 and an agreement between the contractor and District was signed on 13th February 2023.</li> <li>• Renovation of OPD at Madiga HC III, with procurement reference number: OBO913/Works/22-23/00011, the file had a project evaluation report dated 19th September 2022 which was approved by the contracts committee on 13th January 2023 under Min. No. 248/DCC/OBO/22-23 and awarded the contract to Sam and Brothers at a contract price of UGX 43,417,500, and the Agreement between the parties was signed on 13th February 2023.</li> <li>• Renovation of General Ward at Palorinya HC III, with procurement reference number: OBO913/Wrks/22-23/00010, the file had a project evaluation report dated 9th January 2023 which was approved by the contracts committee on 13th January 2023 under Min. No. 248/DCC/OBO/22-23 and awarded the contract to Ayiasi Vuga and Brothers at a contract price of UGX 44,597,700, and the Agreement between the parties was signed on 13th February 2023.</li> </ul>
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### Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>The past year Health sector investments never attracted any grievances hence no grievance was recorded, investigated, responded and reported to.</p>
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15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>The LG had disseminated guidelines on health care / medical waste management to health facilities as per the examples below;</p> <p>National Guidelines. Managing health care waste generated from safe male circumcision procedures.</p> <p>A copy of a letter dated 22nd August, 2022 addressed to all in charges by the District Health Officer requested them to acknowledge receipt of the guidelines by appending their signatures.</p> <p>20 in-charges acknowledged the receipt of the guidelines that were issued by Mrs. Senya Rukia G who was the Secretary,</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>The LG had in place a functional system for Medical waste management or central infrastructures for managing medical waste as per the evidence below;</p> <p>17 facilities, one Health Center IV, six health center IIIs, and ten health Center IIs and all of them have incinerators. For the waste generated at the facility and it can't be handled there, the DLG had an MOU with green label services limited dated 3rd February, 2023</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>Report on training of health facilities in-charges on medical waste management was presented for assessment. It was a one-day training that took place on 22nd August, 2022 in the DHO's boardroom. The training was facilitated by the District Health Officer, Health Inspector and ADHO-MCH. 19 participants attended the training with 18 male and 1 female. The training was based on the provisions of the National Guidelines. Managing health care waste generated from safe male circumcision procedures.</p>	1



Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0

The LG had in place costed ESMPs that were incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY as per the examples below;

Renovation of staff House at ALIBA HCIII in Otubanga Parish, Ewafa Subcounty, Obongi County; In a bid document submitted to Obongi DLG by Asibuku & Brothers Enterprises dated 20th December, 2022, clothing and safety equipment was costed at 2,000,000 Ugshs, Occupational health and safety, HIV/AIDS and Gender costed at 600,000 and environmental protection costed 400,000 Ugshs

Renovation of OPD House at Maduga HCII in Gopele Parish, Gimara Subcounty, Obongi County; In a contract agreement document between Obongi DLG and Sam & Brothers dated 13th February, 2023, clothing and safety equipment was costed at 400,000 Ugshs, Occupational health and safety, HIV/AIDS and Gender costed at 550,000 and environmental protection costed 600,000 Ugshs

Renovation of General Ward at Palorinya HCIII in Palujo Parish, Palorinya Sub- County, Obongi County; In a contract agreement document between Obongi DLG and Sa & Brothers dated 13th February, 2023, clothing and safety equipment was costed at 400,000 Ugshs, Occupational health and safety, HIV/AIDS and Gender costed at 550,000 and environmental protection costed 550,000 Ugshs

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0

All the health sector projects were implemented on land where the LG had proof of ownership, access and availability for instance;

Renovation of staff House at ALIBA HCIII in Otubanga Parish, Ewafa Subcounty, Obongi County. Voluntary land contribution for health care with an area of 0.0446 hectares. The land belonged to five landlords namely; Nasuru Mustafa, Majid Nasuru, Adaku Zubeir, Onziga Adnan and, Amba Maimud signed on 29th March, 2021

Renovation of OPD House at Maduga HCII in Gopele Parish, Gimara Subcounty, Obongi County; Gimara Subcounty area land committee submitted an application to the district land board for grant of freehold and the notice of hearing stated 5th June, 2019 as the date for the hearing at Maduga HC II. The land had an area of 130m X 134m

Renovation of General Ward at Palorinya HCIII in Palujo Parish, Palorinya Sub- County, Obongi County; Certificate of title dated 10th April, 2018. The area of the land measures 3.9381 hectares located at Block (Road) 1 Plot 5 at Ukuni West Village under the ownership of Moyo District Local Government.

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.

There was evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports:

Renovation of staff House at ALIBA HCIII in Otubanga Parfish, Ewafa Subcounty, Obongi County; ESMP costed at 2,000,000 Ugshs endorsed by both DCDO and Environment Officer on 19th September, 2022. Report on compliance monitoring of Environmental and social safeguards endorsed by the Environment Officer and DCDO on 14th June, 2023// 30th June, 2023. Some of the recommendations included; frequent follow up visits be made to ascertain compliance, awareness creation and sensitization of community on HIV/AIDs, Gender based violence, sanitation and waste management, specific trainings to be given to contractors on waste management especially o sorting, recycling and reuse and the debris should be removed from site and used to restore the open pits.

Renovation of OPD House at Maduga HCII in Gopele Parish, Gimara Subcounty, Obongi County; Report on compliance monitoring of Environmental and social safeguards endorsed by the Environment Officer and DCDO on 14th June, 2023// 30th June, 2023. Some of the recommendations included; The contractor was compliant with the Environmental and social safeguards during project implementation.

Renovation of General Ward at Palorinya HCIII in Palujo Parish, Palorinya Sub- County, Report on compliance monitoring of Environmental and social safeguards endorsed by the Environment Officer and DCDO on 14th June, 2023// 30th June, 2023. Some of the recommendations included; tree planting to mitigate climate change, the debris should be removed from site and used to restore open pits, hoarding should be done to reduce dust and avoid accessibility of unauthorized personnel at site

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0

There was evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments as per the examples below;

Renovation of staff House at ALIBA HCIII in Otubanga Parfish, Ewafa Subcounty, Obongi County; Environment and social certification form endorsed by both DCDO and Environment Officer on 16th September, 2023

Renovation of OPD House at Maduga HCII in Gopele Parish, Gimara Subcounty, Obongi County; Environment and social certification form endorsed by both DCDO and Environment Officer on 15th September, 2023

Renovation of General Ward at Palorinya HCIII in Palujo Parish, Palorinya Sub- County, Obongi County; Environment and social certification form endorsed by both DCDO and Environment Officer on 16th September, 2023

**Water &  
Environment  
Performance  
Measures**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Local Government Service Delivery Results</b>				
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees  <i>Maximum 4 points on this performance measure</i>	a. % of rural water sources that are functional.  If the district rural water source functionality as per the sector MIS is:  o 90 - 100%: score 2  o 80-89%: score 1  o Below 80%: 0	A review of the MIS data for FY 2022/2023 obtained from the MoWE showed that the district rural water source functionality for Obongi DLG in FY 2022/2023 was at 72%.	<b>0</b>
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees  <i>Maximum 4 points on this performance measure</i>	b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:  o 90 - 100%: score 2  o 80-89%: score 1  o Below 80%: 0	A review of the MIS data for FY 2022/2023 obtained from the MoWE showed that the percentage of Obongi DLG district rural water facilities with functional WSCs was at 96%.	<b>2</b>

N23\_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;

- Above 80%, score 2
- 60% - 80%, score 1
- Below 60%, score 0

A review of the LLGs performance assessment results in water and environment for FY 2022/2023 for Obongi District obtained from the District Planner revealed the following performance;

**Sub-County  
% In Water and Environment  
Performance**

Itula	50
Aliba	100
Ewafa	100
Gimara	80
Palorinya	100

Therefore, the LG average score in water and environment in the LLGs performance assessment was;

$$=(50+100+100+80+100)$$

$$= 430/5$$

$$= 86\%$$

N23\_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.

o If 100 % of water projects are implemented in the targeted S/Cs: Score 2

o If 80-99%: Score 1

o If below 80 %: Score 0

A review of the MoWE MIS data on safe water coverage for FY 2021/2022 the following data for Obongi DLG were obtained.

**Sub-county  
% Access**

Aliba  
95

Gimara  
95

Itula  
95

District average safe water coverage was 95%, all the three Sub-counties had the same safe water coverage as that of the district:

**NB:** Ewafa Sub-county was curved from Aliba Sub-county, Palorinya Sub-county from Itula Sub-county and Obongi TC from Gimara Sub-county,. this was not reflected in the MoWE MIS.

Reviewed water sector annual work plan and budget for FY 2022/2023 dated 15th July 2022, received on 28th July 2022 by MoWE.

The following water project was planned for implementation.

1. Construction of deep boreholes (hand pump) 6No.

This project was implemented in the three mentioned three Sub-counties as per MoWE MIS.

Therefore, the percentage of budgeted water project implemented in the sub counties with safe water coverage below the district average was  $(1/1) \times 100 = 100\%$

N23\_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates

o If within +/-20% score 2

o If not score 0

The reviewed water sector annual work plan and budget for FY 2022/2023 dated 15th July 2022, received on 28th July 2022 by MoWE and water sector contracts the following WSS infrastructure investments were sampled:

1. Drilling, casting and installation of six (6) Boreholes in Obongi District; Procurement Ref. No. OBON913/Wrks/22-23/00003, had a contract award amount of UGX 149,797,460 against the engineer's estimated amount of UGX 156,000,000 leading to a variation of  $(6202540/156,000,000) \times 100 = 3.97\%$ ,

4% within +/- 20%.

2. Borehole sitting and supervision of drilling works 6) Boreholes in Obongi District; Procurement Ref. No. OBON913/Srvcs/22-23/00001, had a contract award amount of UGX 17,880,000 against the engineer's estimated amount of UGX 18,000,000 leading to a variation of  $(120,000/18,000,000) \times 100 = 0.7\%$ ,

0.7% within +/- 20%.

Therefore, all the contract price variations for the sampled projects implemented in FY2022/2023 were within +/- 20% of Engineers' estimates



2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p>Maximum 8 points on this performance measure</p>	<p>d. % of WSS infrastructure projects completed as per annual work plan by end of FY.</p> <ul style="list-style-type: none"> <li>o If 100% projects completed: score 2</li> <li>o If 80-99% projects completed: score 1</li> <li>o If projects completed are below 80%: 0</li> </ul>	<p>Reviewed water sector annual work plan and budget for FY 2022/2023 dated 15th July 2022, received on 28th July 2022 by MoWE.</p> <p>No. of planned project;</p> <p>1. Deep boreholes (hand pump) 6No.</p> <p>Reviewed of 4th quarter for FY 2022/2023 dated 15th July 2023 received by MWE on 25th July 2023.</p> <p>No. of implemented project with completion status.</p> <p>1. Deep boreholes (hand pump) 6No. at 100%</p> <p>= (100x1)/1 = 100%</p> <p>The planned sector project for FY2022/2023 was 100% completed.</p>	2
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <ul style="list-style-type: none"> <li>o If there is an increase: score 2</li> <li>o If no increase: score 0.</li> </ul>	<p>MoWE MIS data showed that 72% of district rural water supply facilities were functioning in the FY 2021/2022.</p> <p>Also, MoWE MIS data showed that 72% of district rural water supply facilities were functioning in the FY 2022/2023.</p> <p>Therefore, there was no increase in functionality of the district rural water supply facilities that were functioning.</p>	0
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If there is an Increase in % of facilities with functional water &amp; sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <ul style="list-style-type: none"> <li>o If increase is more than 1% score 2</li> <li>o If increase is between 0-1%, score 1</li> <li>o If there is no increase : score 0.</li> </ul>	<p>MoWE MIS data indicated that facilities with functional water and sanitation committees in the FY 2021/2022 was 96%</p> <p>Also, MoWE MIS data indicated that facilities with functional water and sanitation committees in the FY 2022/2023 was 96%</p> <p>The Obongi DLG maintained the performance of WSC at 96%</p> <p>Therefore, there was no increase in the % of facilities with functional water and sanitation committee</p>	0

**Performance Reporting and Performance Improvement**

Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance

*Maximum 3 points on this performance measure*

The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3

Reviewed water sector annual work plan and budget for FY 2022/2023 dated 15th July 2022, received on 28th July 2022 by MoWE, revealed the following;

No. of planned project;

1. Deep boreholes (hand pump) 6No.

Reviewed of 4th quarter LG rural water supply and sanitation sector progress report for FY 2022/2023 dated 15th July 2023 received by MWE on 25th July 2023 reported on WSS facilities as follows:

No. of implemented project;

1. Deep boreholes (hand pump) 6 No. were constructed and reported as 100% complete.

The following constructed WSS facilities were visited and performance reported.

1. Drilled borehole (DWD 89143) in Lomunga village, Lomunga parish, Gimara sub-county with location details: 36N E340725, N370883 constructed by Aadhira Solution Ltd on the 6th July 2023, this facility was completed and functional at the time of site visit

2. Drilled borehole (DWD 89142) in Delo village, Liwa parish, Gimara sub-county with location details: 36N E328799, N360066 constructed by Aadhira Solution Ltd on the 7th July 2023, this facility was completed and functional at the time of site visit

3. Drilled borehole (DWD 89145) in Ukuni west village, Palujo parish, Paloriya sub-county with location details: 36N E297371, N382494 constructed by Aadhira Solution Ltd on the 30th June 2023, this facility was completed and functional at the time of site visit

Since the information on WSS facilities reported in quarter 4 report for the FY 2022/2023 corroborated with what was seen at the sites visited, it confirmed that the DWO accurately reported on the WSS facilities constructed and performance in the FY 2022/2023.

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

*Maximum 7 points on this performance measure*

a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2

The following quarterly reports for FY 2022/2023 contained information on water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement as below:

- 1st quarter water sector report for FY 2022/2023, dated 28th October 2022 and received by MoWE on 10th November 2022.
- 2nd quarter water sector report for FY 2022/2023, dated 12th January 2023 and received by MoWE on 16th January 2023.
- 3rd quarter water sector report for FY 2022/2023 dated 4th April 2023 and received by MoWE on 14th April 2023.
- 4th quarter water sector report for FY 2022/2023 dated 15th July 2023 and received by MoWE on 25th July 2023.

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

*Maximum 7 points on this performance measure*

b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0

The DWO updated the MIS (WSS data) quarterly for the FY 2022/2023 using data collection form 1 dated 25th June 2023.

Sample of the following new water supply and sanitation facilities that were constructed in the FY 2022/2023 were seen recorded in the WSS data register as;

1. Drilled borehole (DWD 89143) in Lomunga village, Lomunga parish, Gimara sub-county with location details: 36N E340725, N370883 with the date of construction as 6th July 2023, recorded as functional with functional WSC.

2. Drilled borehole (DWD 89142) in Delo village, Liwa parish, Gimara sub-county with location details: 36N E328799, N360066 with the date of construction as 7th July 2023, recorded as functional with functional WSC.

3. Drilled borehole (DWD 89145) in Ukuni west village, Palujo parish, Paloriya sub-county with location details: 36N E297371, N382494 with the date of construction as 30th June 2023, recorded as functional with functional WSC.

These were evidences of updating the MIS (WSS data)

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

*Maximum 7 points on this performance measure*

c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0

*Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.*

A review of the LLGs performance assessment results for Obongi DLG in water and environment for FY 2022/2023 obtained from district planner revealed the following performance;

S/No	Sub-County	% in Water and Environment Performance
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1	Itula	50%
2	Aliba	100%
3	Ewafa	100%
4	Gimara	80%
5	Palorinya	100%

From the above results 25% of lowest performing LLGs =  $(25/100) \times 2 = 0.5 = 1$  sample size

The DWO presented performance improvement plans from the lowest performing LLGs of Gimara dated 7th March 2023 and Itula dated 28th February 2023 prepared by the respective SASs.

The reviewed PIP report from DWO, dated 22nd May 2023, on training of sub county staff of Gimara, and Itula Sub-Counties showed that these LLGs were trained on improving their existing WSS facilities.

## Human Resource Management and Development

Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff

*Maximum 4 points on this performance measure*

a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2

There was evidence that the District water officer budgeted for critical staff ( Water Officer, Engineering Assistant – water, Borehole Maintenance Technician and Assistant Water Officer for mobilization ) to a tune of 80,400,000 UGX, page 44 of 60 of LG Approved Budget Estimate 2023/24.

6	<p>Budgeting for Water &amp; Sanitation and Environment &amp; Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment &amp; Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>There was evidence that District Natural Resource Officer budgeted for critical staff ( DNRO, Environment Officer, and Forestry Officer ) to a tune of 225,800,000 UGX For FY 2023/ 2024 ( LG Approved Budget Estimates, page 47 of 60 of Approved Budget Estimate.</p>	<b>2</b>
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>There was evidence that District Water Office staff were appraised during the previous FY against their agreed performance plans as follows:</p> <ol style="list-style-type: none"> <li>1. Andama Kapitex Abdu Assistant Water Officer for Mobilization was appraised on 30th June 2023.</li> <li>2. Ayiman Abdul Assistant Engineering Officer Borehole Maintenance was appraised on 30th June 2023.</li> </ol>	<b>3</b>
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p>	<p>There was evidence that staff capacity needs assessment from the performance appraisal reports dated 20th July 2023 which highlighted key gaps for performance improvement that were submitted to HRM division and training of ADWO Sanitation on water quality testing, Training of staff on the use of data collection for water source.</p>	<b>3</b>

**Management, Monitoring and Supervision of Services.**

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

*Maximum 6 points on this performance measure*

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
  - • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
  - • If 80-99%: Score 2
  - • If 60-79: Score 1
  - • If below 60 %: Score 0

Reviewed MoWE MIS for FY 2022/2023. Safe water coverage per sub-county were as follows;

<b>Sub-county Access</b>	<b>%</b>
Aliba	95
Gimara	95
Itula	95

District average safe water coverage was 95%, all the three Sub-counties had the same safe water coverage as that of the district:

Reviewed water sector annual work plan and budget for FY 2023/2024 dated 15th July 2023 and approved on 25th July 2023 by MoWE showed that following water projects were planned to be implemented;

2No. Drilling and installation production well in Gimara SC at cost of UGX 101,983,897.

1No. Drilling and installation production well in Itula SC at cost of UGX 50,991,949.

1No. Extension of piped water system in Gimara SC at cost of UGX 7,416,384.

All the above projects were planned to be implemented in Gimara and Itula sub-counties with the same water coverage with the district

$$= (160,392,230 / 160,392,230) \times 100 = 100\%$$

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

*Maximum 6 points on this performance measure*

b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3

On 15th July 2023, the DWO presented the following letters communicating the allocations to LLGs of water sources to be constructed in the FY 2023/2024, the letters were pinned on the district and sub-county noticeboards.

The sampled DWO communicated of WSS allocations to the LLGs were:

1. Sitting and drilling of production well in Obugubu village, Liwa parish, Gimara SC at UGX 48,000,000.
2. Sitting and drilling of production well in Lukuri village, Demgbele parish, Itula SC at UGX 48,000,000.
3. Extension of 1.5km pipe water system in Liwa north, Liwa parish, Gimara SC at UGX 7,416,384.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

*Maximum 8 points on this performance measure*

a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)

- If 95% and above of the WSS facilities monitored quarterly: score 4
- If 80-94% of the WSS facilities monitored quarterly: score 2
- If less than 80% of the WSS facilities monitored quarterly: Score 0

The quarterly reports were presented which had information on the monitoring of each WSS facilities during the FY 2022/2023 as indicated below;

**First quarter progress report dated 30th September 2022;**

Type of WSS	Number	Status
Boreholes	60	Functional
functional	8	Non
Shallow Wells	10	Functional
functional	4	Non
Piped Water Systems	10	Functional
functional	4	Non
Protection Springs	2	Functional
functional	2	Non
Public Sanitation	18	Functional
functional	2	Non



Total WSS monitored  
120

% Of WSS facilities monitored =  
(120/120) X100 = 100%

**Second quarter progress  
report dated 30th December  
2022.**

<b>Type of WSS</b>	<b>Number</b>	<b>Status</b>
Boreholes Functional	80	
functional	2	Non
Shallow Wells Functional	4	
functional	0	Non
Piped Water Systems Functional	2	
functional	4	Non
Protection Springs Functional	1	
functional	2	Non
Public Sanitation Functional	15	
functional	2	Non
Total WSS monitored	112	

% Of WSS facilities monitored =  
(112/112) X100 = 100%

**Third quarter progress  
report dated 26th March  
2023;**

<b>Type of WSS</b>	<b>Number</b>	<b>Status</b>
Boreholes Functional	50	
functional	10	Non
Shallow Wells Functional	1	
functional	1	Non

Piped Water Systems		
Functional	2	
		Non
functional	2	
Protection Springs		
Functional	1	
		Non
functional	1	
Public Sanitation		
Functional	10	
		Non
functional	2	
Total WSS monitored		
	80	

% Of WSS facilities monitored =  
 $(80/80) \times 100 = 100\%$

**Fourth quarter progress report dated 28th June 2023.**

Type of WSS	Number	Status
Boreholes		Functional
40		
		Non
functional	8	
Shallow Wells		Functional
1		
		Non
functional	1	
Piped Water Systems		Functional
2		
		Non
functional	2	
Protection Springs		Functional
0		
		Non
functional	1	
Public Sanitation		Functional
5		
		Non
functional	2	
Total WSS monitored		
	62	

% Of WSS facilities monitored =  
 $(62/62) \times 100 = 100\%$

9 Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.  
*Maximum 8 points on this performance measure*

b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP.  
Score 2

4 The following minutes for FY 2022/2023 were evidences of the quarterly DWSCC meetings which discussed issues on WSS projects status and implementation in the district;

**Minutes of Quarter 1 DWSCC meeting held on 19th September 2022 at the CAO board room, attended by 19 participants.**

Some of the key issues discussed under Min 4: 1st DWSCC 26th /Oct/2022

- Need to mainstream environment in all partner projects.
- Sub-counties with rampant breaking of boles to be prioritized for major rehabilitations

**Minutes of Quarter 2 DWSCC meeting held on 20th December 2022 at the CAO board room, attended by 14 participants and three with apologies.**

Some of the key issues discussed were under Min 6: 2nd DWSCC 10th /Jan/2023

- A member requested that the risk assessment need to be conducted by district team at all flood prone water points
- The Meeting resolved that the DWO should carry out mandatory water quality analysis especially on water facilities already flood along the Nile

**Minutes of Quarter 3 DWSCC meeting held on 30th March 2023 at the CAO board room, attended by 18 participants.**

Some of the key issues discussed were under Min 6: 3rd DWSCC 12th /April/2023

- Operation and maintenance challenges to be enhance by training the new WUC & refresher training for the old members.
- CBMS requires a comprehensive sensitization of the community to undertake fully in order to minimize O&M issues at the WSS Infrastructures.

**Minutes of Quarter 4 DWSCC meeting held on 4th July 2023 at the District Production Board Room, attended by 35 participants.**

Some of the key issues discussed were under Min 6: 4th DWSCC 4th /July/2023

- Frequent breakdown of water with minimal operation and maintenance, community sensitization to conduct across sector on quarterly basis.
- Non submission of values for assets by development partners, CAO to write to OPM and UNHCR immediately.

9

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

*Maximum 8 points on this performance measure*

c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2

2

On 15th July 2023, the DWO presented the following letters communicating the allocations to LLGs of water sources to be constructed in the FY 2023/2024, the letter were pinned on the district and sub-county noticeboards.

The following were the sampled budget allocations communicated to the LLGs:

**Gimara Sub-County**

Sitting and drilling of production well in Obugubu village, Liwa parish, Gimara Sub-County at UGX 48,000,000

Sitting and drilling of production well in Liwa South village, Liwa parish, Gimara Sub-County at UGX 48,000,000

Extension of 1.5km pipe water system in Liwa north, Liwa parish, Gimara Sub-County at UGX 7,000,000

**Itula Sub-County**

Sitting and drilling of production well in Lukuri village, Demgbele parish, Itula Sub-County at UGX 48,000,000

10

Mobilization for WSS is conducted

*Maximum 6 points on this performance measure*

a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:

- If funds were allocated score 3
- If not score 0

Reviewed water sector annual work plan and budget for FY 2022/2023 dated 15th July 2022 and approved on 28th July 2022 by MoWE, the total NWR for rural water and sanitation budget was UGX 63,340,496 and allocation of the NWR to facilitate mobilization activities was UGX 25,180,496 of the approved budget.

The percentage allocation of the NWR to facilitate community mobilization activities was

$$= (25,180,496 / 63,340,496) \times 100$$

$$= 40\%$$

This was within the minimum of 40% as per the sector guidelines.

10

Mobilization for WSS is conducted

*Maximum 6 points on this performance measure*

b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.

Reviewed the report titled: Training report for the Water User and Sanitation Committee in Obongi District in FY 2022/2023 dated 8th July 2023, compiled by Community Development Officer - Water Mobilization, received by the central registry Obongi DLG, a total of 50 WUC from 6 villages participated.

The report showed the WSCs in were trained on the following among others:

- Policy Issues and rural water and sanitation sector guidelines
- Roles and responsibilities of WSS facilities.
- Community mobilization and Organization for effective O&M
- Establishment and management of water source funds.

The following WSS facilities was sampled as detailed below:

- Alua borehole in Alua village, Morobi parish Itula Sub-County, Water User and Sanitation Committee Member, Female 3No. and Male 6No. a total of 9No. members were trained on O&M of the borehole.
- Okuni West borehole in Okuni West village, Paalujo parish Palorinya Sub-County, Water User and Sanitation Committee Member, Female 6No. and Male 3No. a total of 9No. members were trained on O&M of the borehole.
- Lomunga borehole in Lomunga village, Lomunga parish Gimara Sub-County, Water User and Sanitation Committee Member, Female 3No. and Male 4No. a total of 7No. members were trained on O&M of the borehole.

## Investment Management

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:</p> <p>Score 4 or else 0</p>	<p>The DWO presented an asset register (DWO MIS) as per 25th June 2023 for the FY 2022/2023, stamped by DWO Obongi DLG.</p> <p>The asset register contained details for WSS facilities in the DLG with the following key information captured for each facility; Serial no. LLG location details and year of construction.</p> <p>In the register the following WSS constructed in the FY 2022/2023 were sampled, this showed that the it was update to date.</p> <ol style="list-style-type: none"> <li>1. Drilled borehole (DWD 89143) in Lomunga village, Lomunga parish, Gimara sub-county with location details: 36N E340725, N370883 with the date of construction as 6th July 2023.</li> <li>2. Drilled borehole (DWD 89142) in Delo village, Liwa parish, Gimara sub-county with location details: 36N E328799, N360066 with the date of construction as 7th July 2023.</li> <li>3. Drilled borehole (DWD 89145) in Ukuni west village, Palujo parish, Paloriya sub-county with location details: 36N E297371, N382494 with the date of construction as 30th June 2023.</li> </ol>	<b>4</b>
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDPIII) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p> <p>Score 4 or else score 0.</p>	<p>All the current Financial year desk and field appraisal reports were not provided for the assessment thus no evidence obtained.</p>	<b>0</b>

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>The DWO presented community applications for the new WSS projects planned for in the FY 2023/2024.</p> <p>The following applications were sampled as detailed below;</p> <ul style="list-style-type: none"> <li>• Application for drilling of a borehole in Liwa village, Liwa parish, Gimara Sub- County dated 12th April 2022.</li> <li>• Application for drilling of a borehole in Obogubu village, Liwa parish, Gimara Sub- County dated 3rd May 2022.</li> </ul>	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>All the current Financial year desk and field appraisal reports were not provided for the assessment thus no evidence obtained.</p>	0
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>There was no evidence to show that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared.</p>	0
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>There was evidence that WSS infrastructure projects were incorporated into the LG approved Procurement Plan for FY 2022/2023. For instance;</p> <ol style="list-style-type: none"> <li>1. Drilling, casting and installation of 6 boreholes in Obongi district on page 1 of 3 of the procurement plan dated 30th July 2022 at an estimated budget of UGX 164,000,000.</li> </ol>	2



12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>There was evidence that the water supply and public sanitation infrastructure for the FY 2022/2023 was approved by the Contracts Committee before commencement of construction. For example,</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>		<p>1. Drilling, casting and installation of 6 boreholes in Obongi district, with procurement reference number: OBO913/Wrks/22-23/00003, the file had project evaluation report dated 22nd February 2023, was approved by the contracts committee on 30th March 2023 under minute number Min. No. 264/DCC/OBO/22-23 and awarded to Aadhira Solutions Limited at a contract price of UGX 149,797,460 as per contract between the two parties signed on 8th May 2023.</p>	
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>The District Water Officer established a Project Implementation Team appointed by the CAO on 24th February 2023 as specified by the sector guidelines. It comprised of the following members;</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>		<p>1.DWO (Contract Manager) – Iranya Dominic,  2.District Engineer – Onapa Ivan Opio,  3.Environment Officer – Onzima Henry Pastore,  4.District Community Development Officer –Ernest Nyango,  5.Senior Labour Officer – Tako Geoffrey,</p> <p>These members handled the project below;</p> <p>Drilling, casting and installation of 6 boreholes in Obongi district.</p>	
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>There was evidence that water infrastructure sampled were constructed as per the standard technical designs provided as observed from the three WSS projects sampled and visited.</p>	2

Maximum 14 points on this performance measure

1. Drilled borehole (DWD 89143) in Lomunga village, Lomunga parish, Gimara sub-county with location details: 36N E340725, N370883 constructed by Aadhira Solution Ltd on the 6th July 2023, the following measurements were checked and found to conform to the measurements on the design drawings provided by the DWO;

- Pump pad radius of 0.9M against 1M
- Length of drainage channel of 4.3M against 5.0M
- Platform stand (length= 0.57M against 0.6M, width = 0.6M, against 0.6M).

2. Drilled borehole (DWD 89142) in Delo village, Liwa parish, Gimara sub-county with location details: 36N E328799, N360066 constructed by Aadhira Solution Ltd on the 7th July 2023, the following measurements were checked and found to conform to the measurements on the design drawings provided by the DWO;

- Pump pad radius of 0.95M against 1M
- Length of drainage channel of 5.7M against 5.0M
- Platform stand (length= 0.6M against 0.6M, width = 0.6M, against 0.6M).

3. Drilled borehole (DWD 89145) in Ukuni west village, Palujo parish, Paloriya sub-county with location details: 36N E297371, N382494 constructed by Aadhira Solution Ltd on the 30th June 2023, the following measurements were checked and found to conform to the measurements on the design drawings provided by the DWO;

- Pump pad radius of 0.95M against 1M
- Length of drainage channel of 5.7M against 5.0M
- Platform stand (length= 0.6M against 0.6M, width = 0.6M, against 0.6M).

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2</p>	<p>There was no evidence availed at the time of assessment that the relevant technical officers carried out monthly technical supervision of WSS infrastructure projects.</p>	0
	<p><i>Maximum 14 points on this performance measure</i></p>			
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts</p> <ul style="list-style-type: none"> <li>o If 100 % contracts paid on time: Score 2</li> <li>o If not score 0</li> </ul>	<p>There was only one investment under the water department which was the drilling and construction of 6 boreholes for Obongi DLG with contract rereference number Obon913/wrks/22-23/0003 by Adhira Solutions Limited. The contractor issued a payment requisition on 8th June 2023, certification was done the DWO Mr. Iranya Dominic on 12th June 2023, and payment of UGX132,361,035 was done on 28th June 2023 under EFT6441938.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law: Score 2, If not score 0</p>	<p>There was evidence that complete procurement files for WSS investments were in place for each contract with all records as required by the PPDA law. For instance;</p> <p>1. Drilling, casting and installation of 6-boreholes in Obongi district, with procurement reference number: OBO913/Wrks/22-23/00003, the file had project evaluation report dated 22nd February 2023. The contract was approved by the contracts committee on 30th March 2023 under minute number Min. No.264/DCC/OBO/22-23 and awarded to Icon Projects Limited at a contract price UGX 149,797,460 as per contract between the parties signed on 8th May 2023.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			

**Environment and Social Requirements**

13	<p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p>	<p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p> <p>Score 3, If not score 0</p>	<p>Although the LG had in place a Grievance Redress Framework, during the FY under review the DWO in liaison with the District Grievances Redress Committee never recorded, investigated, responded to and reported on water and environment grievances. This was attributed to the fact the community never raised any grievance but more application for WSS projects were made by the community.</p>	<b>3</b>
14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source &amp; catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>There was evidence of dissemination of guidelines on 24th/03/2023 during the social mobilizers meeting under minute 03rd/03/2023 water source protection in Uganda and the guideline was titled "A framework and guidelines for water source protection in Uganda" and health assistants from different sub-counties</p>	<b>3</b>
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans &amp; natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p>	<p>No evidence of water source protection plans &amp; natural resource management plans for WSS facilities constructed in the previous FY was presented for assessment.</p>	<b>0</b>

15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 3, If not score 0</p>	<p>There was evidence that all WSS projects for the FY 2022/2023 were implemented on land where Obongi DLG has proof of consent as shown from the samples below:</p> <ol style="list-style-type: none"> <li>1. Land consent agreement for piece of land for development of community water source (deep borehole) dated 15th June 2023 between Mr. Ayiga Geoffrey and Obongi District on behalf of the community of Andra village, Ubbi parish, Palorinya sub-county measuring 30Mx30M. The consent form was stamped by Mr. Kofu Raymond the LC1 chairperson.</li> <li>2. Land consent agreement for piece of land for development of community water source (deep borehole) dated 7th August 2023 between Mr. Madrama Angelo and Obongi District on behalf of the community of Lomuga village, Lomuga parish, Gimara sub-county measuring 30Mx30M, the consent form was stamped by Mr. Tusu Abdlla the LC1 chairperson.</li> <li>3. Land consent agreement for piece of land for development of community water source (deep borehole) dated 3rd August 2023 between Mr. Suwali Musa and Obongi District on behalf of the community of Ukukuk village, Foligo parish, Ewafa sub-county measuring 17Mx24M, the consent form was stamped by Mr. Amidu Musa the LC1 chairperson.</li> </ol>	<b>3</b>
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c. Evidence that E&amp;S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:</p> <p>Score 2, If not score 0</p>	<p>There was no evidence that E&amp;S Certification forms were completed and signed by Environmental Officer and CDO prior to payments of contractor during the previous FY.</p>	<b>0</b>
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 2, If not score 0</p>	<p>Monitoring reports by CDO and Environment officer for compliance with ESMPs during civil works implemented the previous FY were not presented for assessment.</p>	<b>0</b>

**Micro-scale  
Irrigation  
Performance  
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>At the time of assessment, there was evidence presented on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries.</p> <p>- Submission of rapid assessment report on A report on irrigation for Obongi DLG dated 27th January 2022 by the CAO- Ouma Charles.</p> <p>The report indicated the following;</p> <ul style="list-style-type: none"> <li>o FY 2021/2022- A total of 120 acres were irrigated, with no UglFT grant beneficiaries</li> <li>o FY 2022/2023- A total of 203 acres, with 4 UglFT grant beneficiaries</li> </ul>	2
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> <li>• By more than 5% score 2</li> <li>• Between 1% and 4% score 1</li> <li>• If no increase score 0</li> </ul>	<p>At the time of assessment, there was evidence presented on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries.</p> <p>Submission of rapid assessment report on A report on irrigation for Obongi DLG dated 27th January 2022 by the CAO- Ouma Charles.</p> <p>The report indicated the following;</p> <p>FY 2021/2022 = 120 acres Total</p> <p>FY 2022/2023= 203 acres Total.</p> <p>Increase in acreage = <math>(203 - 120) / 120 \times 100</math></p> <p>= 69 %.</p>	2

2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> <li>• Above 70%, score 4</li> <li>• 60% - 70%, score 2</li> <li>• Below 60%, score 0</li> </ul>	<p>The average score in the micro-scale irrigation for LLG performance assessment was 87% which was above 70%.</p>	4
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines  Maximum score 6</p>	<p>a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0</p>	<p>Evidence was presented that the development component of micro-scale irrigation grant was used on eligible activities. For example;</p> <ul style="list-style-type: none"> <li>- Obongi DLG Microscale irrigation subgrant quarterly report dated 25th July 2023 compiled by the CAO- Leru Andrew and the DAO- Guli Martin.</li> <li>- The budget performance section of the report indicated that Ugx 28,890,000/- was spent on procurement of irrigation machinery and equipment for establishment of demo sites, indicating 100% expenditure.</li> </ul>	2
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines  Maximum score 6</p>	<p>b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0</p>	<p>Microscale irrigation contract details, requisitions, payment vouchers were not submitted for the assessment. It was alleged that the information was taken for an external audit by the Office of The Auditor General.</p>	0

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0	Evidence was presented that showed that the variations in the contract price were within +/-20% of the Agriculture Engineer's estimates calculated as;	1
	Maximum score 6		Engineer's estimates = UGX 26,008,160	
			Contractor's price = UGX 25,890,000	
			Variation = $(26,008,160 - 25,890,000) / 26,008,160 \times 100$	
			= 0.4%	
			Hence the variation was within +/-20% of the engineer's estimates.	
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY	No evidence was presented that micro-scale irrigation equipment where contracts were signed during the previous FY were also installed/ completed within the previous FY.	0
	Maximum score 6	<ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• Between 80 - 99% score 1</li> <li>• Below 80% score 0</li> </ul>	The contract was not presented at the time of assessment to ascertain the commencement date of the contract.	
			- However, the request letter for payment indicated that the contract No. was OBON913/SUPLS/22-23/0004, Signed between Obongi DLG and Adritex Ltd on 7th June 2023.	
			- In addition, the demonstration sites hadn't been commissioned yet hence no completion certificate was presented to ascertain completion date.	
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	a) Evidence that the LG has recruited LLG extension workers as per staffing structure	The LG had not recruited LLG extension workers as per staffing structure for instance the reviewed list did not show entomologist for Obongi Town Council.	0
	Maximum score 6	<ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• If 75 - 99% score 1</li> <li>• If below 75% score 0</li> </ul>		



4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF</p> <ul style="list-style-type: none"> <li>• If 100% score 2 or else score 0</li> </ul>	<p>There was evidence that the irrigation demonstration sites in the different LLGs meet standards as defined by MAAIF.</p> <ul style="list-style-type: none"> <li>- Upon visiting the sites, the acreages found were in line with MAAF standards i.e. 1 acre at both site.</li> <li>- Also, the systems that were installed included drip, sprinkler, drag hose and rain gun using solar energy which is in line with MAAIF standards.</li> <li>- The installed irrigation equipment also met MAAIF standards, according to equipment inventory report for Microscale irrigation demonstration at Palio site Mamutu Paul, prepared by DAO-Guli Martin, dated 20th august 2023.</li> </ul> <p>The report indicated the following; tank stands, tank, drag hose pipes installed on both sites</p>	2
4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>b) Evidence that the installed micro-scale irrigation systems during last FY are functional</p> <ul style="list-style-type: none"> <li>• If 100% are functional score 2 or else score 0</li> </ul>	<p>Upon visiting the field, it was found that the irrigation systems installed on 2 sites (drip, drag hose and sprinkler) were not functional.</p> <ul style="list-style-type: none"> <li>- This is because both installed sites had been flooded by the river, with the drip irrigation system totally submersed by the water.</li> <li>- Also, there was no water in the tank hence the systems could not be tested for functionality.</li> <li>- The DAO however mentioned that they are working towards extending the garden and irrigation stem further up the hill to avoid flooding.</li> </ul>	2

**Performance Reporting and Performance Improvement**

5	Accuracy of reported information: The LG has reported accurate information	a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0	The information on position of extension workers filled was very scanty to ascertain the accuracy	0
	Maximum score 4			
5	Accuracy of reported information: The LG has reported accurate information	b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0	There was no evidence that the information on micro-scale irrigation system installed and functioning is accurate.	0
	Maximum score 4		- This is because of the equipment inventory report indicated that the irrigation system installed wee functional, and yet upon visiting the site it was found that the systems were not functional due to flooding.	
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0	At the time of assessment, there was evidence that information was collected quarterly on newly irrigated land, functionality of irrigation equipment installed, provision of complementary services and farmer EOI.	2
	Maximum score 6		<p>For example,</p> <p>- Obongi DLG Microscale irrigation subgrant quarterly report for quarter 3 FY 2022/2023 dated 30th March 2023, compiled by the DAO- Guli Martin and approved by CAO- Leru Andrew.</p> <p>The report indicated 0 and one radio talk show conducted.</p> <p>- Microscale irrigation subgrant quarterly report for quarter 4 FY 2022/2023 dated 25th July 2023, compiled by the DAO- Guli Martin and approved by CAO- Leru Andrew.</p> <p>The report indicated 201 Expressions of interest, 60 Awareness raising event attendances &amp; 39 farm visits.</p>	

6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p>	<p>Evidence was presented on up to-date LLG information entry into the MIS.</p> <p>For example, upon logging into the system by the Senior Agricultural Engineer, the MIS system indicated an update of 178 Expressions of interest, 45 preparations of farm visits, 318 Awareness raising event attendances &amp; 37 farm visits.</p>	1
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0</p>	<p>Evidence was presented on preparation of quarterly report using information compiled from LLGs in the MIS.</p> <p>For example,</p> <ul style="list-style-type: none"> <li>- Obongi DLG Microscale irrigation subgrant quarterly report for quarter 3 FY 2022/2023 dated 30th March 2023, compiled by the DAO- Guli Martin and approved by CAO- Leru Andrew.</li> </ul> <p>The report indicated 0 and one radio talk show conducted.</p> <ul style="list-style-type: none"> <li>- Microscale irrigation subgrant quarterly report for quarter 4 FY 2022/2023 dated 25th July 2023, compiled by the DAO- Guli Martin and approved by CAO- Leru Andrew.</li> </ul> <p>The report indicated 201 Expressions of interest, 60 Awareness raising event attendances &amp; 39 farm visits.</p>	1

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	Evidence was presented that the LG has: Developed and approved Performance Improvement Plan for the lowest performing LLGs.,  - The capacity improvement plan for Gimara subcounty, dated 12th September 2023 compiled by the Ag. DPO- Opigo Johnson.  - The plan indicated the following areas for capacity enhancement; Irritrack and MIS training, design layout and agronomy.	1
	Maximum score 6			

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	No evidence was presented on Implementing Performance Improvement Plans for lowest performing LLGs.  - The DPO commented that several interventions had been implemented but not evidence as presented on the same.	0
	Maximum score 6		No evidence was presented on Implementing Performance Improvement Plans for lowest performing LLGs.  - The DPO commented that several interventions had been implemented but not evidence as presented on the same.	

**Human Resource Management and Development**

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	There was evidence that the LG had budgeted for extension workers according to the  - Obongi DLG approved budget estimated FY 2023/2024 showing Ugx 520,500,000/- budgeted for wage recurrent under the production & marketing section, page 21 of 60.	1
	Maximum score 6			

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p>	<p>ii Deployed extension workers as per guidelines score 1 or else 0</p>	<p>There was evidence for deployment of extension workers as per guidelines, according to the</p> <ul style="list-style-type: none"> <li>- Obong DLG staff List as of February 2023 for the production department, showing; <ul style="list-style-type: none"> <li>o Guli Martin as the District Senior Agricultural Officer posted at the District at U3Sc.</li> <li>o Unzi Albert as the AO at Gemara subcounty at U4Sc.</li> <li>o Chandiga Chris as the AFO at Tula subcounty at U5Sc.</li> </ul> </li> </ul>	<b>1</b>
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p>	<p>b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0</p>	<p>There was evidence that the extension workers are working in LLGs where they are deployed,</p> <p>For example;</p> <ul style="list-style-type: none"> <li>- The daily attendance book at the District Headquarters, showing Guli Martin as the District Senior Agricultural Officer, signing in on the 30th March 2023.</li> <li>- The daily attendance book at Gemara subcounty, showing Unzi Albert as AO, signing in on the 19th September 2023.</li> <li>- The daily attendance book at Tula subcounty, showing Chandiga Chris as the assistant forestry officer, signing in on the 12th October 2023.</li> </ul>	<b>2</b>
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p>	<p>c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0</p>	<p>While at the District Production Office and the sampled LLGs like Obongi Town Council, the assessment never saw displaying of the list of extension staff.</p>	<b>0</b>
	<p>Maximum score 6</p>			

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	<p>a) Evidence that the District Production Coordinator has:</p> <p>i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0</p>	<p>There was no evidence that all extension workers were appraised by the District Production Coordinator for the previous FY and copies submitted to HRO. A sample of 10 appraisal files for extension workers were reviewed as follows:</p>	0
	Maximum score 4		<ol style="list-style-type: none"> <li>1. Tasia Mary Assistant Agricultural Officer was not appraised.</li> <li>2. Kisenyi Amos Solomon Fisheries Officer was not appraised.</li> <li>3. Arima Amos Agricultural Officer was not appraised.</li> <li>4. Tidini James Assistant Animal Husbandry Officer was not appraised.</li> <li>5. Ambayo Charles Mamgbwi Assistant Fisheries Officer was not appraised.</li> <li>6. Jamal Siraj Assistant Animal Agricultural Officer was not appraised.</li> <li>7. Ida Charles Assistant Fisheries Officer was appraised on 26th June 2023.</li> <li>8. Ippe Saviour Cosmas Assistant Animal Husbandry Officer was not appraised.</li> <li>9. Guli Martine Senior Agricultural Officer was not appraised.</li> <li>10. Asema Emmanuel Assistant Agricultural Officer was not appraised.</li> </ol>	
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	<p>a) Evidence that the District Production Coordinator has;</p> <p>Taken corrective actions: Score 1 or else 0</p>	Evidence not provided by LG	0
	Maximum score 4			

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	b) Evidence that: i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0	The LG did not provided evidence of training conducted in accordance to training plans at the District,	<b>0</b>
	Maximum score 4			

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	ii Evidence that training activities were documented in the training database: Score 1 or else 0	There was evidence presented that training activities were documented in the training database according to the Obongi DLG production department training database dated 15th March 2023, signed by DAO- Guli Martin, indicating the following trainings;	<b>1</b>
	Maximum score 4		<ul style="list-style-type: none"> <li>o Certificate of completion for online microscale irrigation program training, Module 3 by Jamal Siraj AO for Tula sub county on 23rd August 2023.</li> <li>o Certificate of completion for online microscale irrigation program training, Module 5a by Amos Arima AO for Aliba sub county on 22nd February 2023.</li> <li>o Certificate of completion for online microscale irrigation program training, Module 4 by Asema Emmanuel AAO for Ewafa sub county on 18th June 2023.</li> </ul>	

**Management, Monitoring and Supervision of Services.**

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.	a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0	From the UGIFT microscale irrigation workplan for 2023/2024, the total budget was UGX112,502,320. The 75% allocation for capital development was UGX78,751,624 and 25% allocation for complementary services = UGX28,125,580.	<b>2</b>
	Maximum score 10		Therefore the LG had appropriately allocated the micro scale irrigation grant between capital development and complementary services.	

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	<p>From the UGIFT microscale irrigation workplan for 2023/2024, the total budget was UGX112,502,320. The 75% allocation for capital development was UGX78,751,624 and 25% allocation for complementary services = UGX28,125,580.</p> <p>The allocation for complimentary services was redistributed as follows;</p> <ol style="list-style-type: none"> <li>1. 25% for enhancing LG capacity to support irrigated agriculture = UGX7,031,395</li> <li>2. 15% awareness raising of local leaders = UGX4,218,837</li> <li>3. 10% procurement, Monitoring and Supervision = UGX2,812,558</li> <li>4. 75% for enhancing farmer capacity for uptake of micro scale irrigation = UGX21,094,185</li> </ol> <p>Therefore, the LG had made budget allocations towards complementary services in line with the sector guidelines.</p>	2
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>The co-funding is reflected in the LG Budget as the locally raised revenue on page 21 of 60 of the LG approved budget estimates for FY2023/2024 at an amount of UGX20,000,000</p>	2
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>No evidence was presented that the LG had used of the farmer co-funding.</p>	0
Maximum score 10				



9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>There was evidence presented that the LG had disseminated information on use of the farmer co-funding for example;</p> <ul style="list-style-type: none"> <li>- Obongi DLG production department report for sub parish Level awareness creatin for UglIFT Microscale irrigation, prepared by DAO- Guli The report indicated that Copayment by the farmer is 25% and 75% by the government, for solar powered systems.</li> </ul>	2
	<p>Maximum score 10</p>			
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p>	<p>Evidence was presented that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment.</p> <ul style="list-style-type: none"> <li>- Obongi DLG 3rd quarter joint monitoring report for UGIFT Microscale irrigation demo sites prepared by DAO- Guli Marting dated 11th April 2023.</li> </ul> <p>The report indicated that stray animals could damage the system hence fencing was recommended.</p> <ul style="list-style-type: none"> <li>- Obongi DLG 4th quarter joint monitoring report for UGIFT Microscale irrigation demo sites prepared by DAO- Guli Marting dated 17th September 2023.</li> </ul> <p>The report indicated that there was need to involve all stakeholders in the monitoring events.</p>	2
	<p>Maximum score 8</p>	<ul style="list-style-type: none"> <li>• If more than 90% of the micro-irrigation equipment monitored: Score 2</li> <li>• 70-89% monitored score 1</li> <li>Less than 70% score 0</li> </ul>		

10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>b. Evidence that the LG has overseen technical training &amp; support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>Evidence was presented on oversight for technical training &amp; support to the Approved Farmer to achieve servicing and maintenance during the warranty period for example,</p> <ul style="list-style-type: none"> <li>- Report for farmer learning visit to Julius irrigation &amp; mixed farm in Amuru District, by the DAO-Guli Martin dated 30th June 2023.</li> </ul> <p>The visit involved 10 farmers, who were trained on how to switch on and off the solar installed systems (Drip, sprinkler, Drag hose and Rain gun).</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0</p>	<p>At the time of assessment, there was evidence presented that the LG had provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines. For example,</p> <ul style="list-style-type: none"> <li>• Report for farmer learning visit to Julius irrigation &amp; mixed farm in Amuru District, by the DAO-Guli Martin dated 30th June 2023.</li> </ul> <p>The visit involved 6 extension staff, who were trained on how to switch on and off the solar installed systems (Drip, sprinkler, Drag hose and Rain gun).</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0</p>	<p>No evidence was presented that the LG had established and run farmer field schools as per guidelines.</p> <p>The SAE commented that the FFS establishment is planned for the current FY.</p>	0

11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0</p>	<p>2</p>
			<p>Evidence was presented on the LG conducting activities to mobilize farmers as per guidelines for example,</p> <ul style="list-style-type: none"> <li>• Report for sub county Level awareness creation for UGIFT Microscale irrigation, prepared by DAO- Guli Martin dated 30th May 2023. The training had a total on 170 persons trained.</li> </ul>

11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0</p>	<p>2</p>
			<p>Evidence was presented about training of staff and political leaders at District and LLG levels, e.g.,</p> <ul style="list-style-type: none"> <li>• Report for district level awareness creation for UGIFT Microscale irrigation by DAO- Martin Guli, dated 29th May 2023.</li> </ul> <p>The meeting was attended by 44 persons with 21 males and 13 females, including; CAO, DPO, RDC, DISO, LCV, DEC, opinion leader and Media.</p>

**Investment Management**

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0</p>	<p>2</p>
			<p>Evidence was presented that the LG has an updated register of micro-scale irrigation equipment</p> <p>supplied to farmers in the previous FY.</p> <p>- Inventory report for Microscale irrigation demonstration at Palio site Mamutu Paul, prepared by DAO- Guli Martin, dated 20th august 2023.</p> <p>The report indicated the following; tank stands, tank, drag hose pipes installed on both sites.</p>

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p>	<p>There was evidence presented on keeping an up to-date database of applications at the time of the assessment.</p>	2
	<p>Maximum score 8</p>		<p>e.g.;</p> <p>Database of expression of interest under the production department as at 1st February 2023, compiled by the DAO- Guli Martin.</p>	
			<p>The database included the following people;</p>	
			<p>- Drami Paul/ Male/ Palorinya s.c/ DOB 35th August 1975/ 0775087504</p>	
			<p>- Francis Duluga/ Male/ Pa;orinya s.c/ DOB 8th Dcember 1979/ 0778225893.</p>	
			<p>- Oliver Jumason/ Male/ Ewafa s.c/ DOB 15th January 1975/ 0775559423.</p>	
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>At the time of assessment, evidence was presented that the District had carried out farm visits to farmers that submitted complete Expressions of Interest (Eol). E.g.,</p>	2
	<p>Maximum score 8</p>		<p>• Activity report on UGIFT Microscale irrigation farm visits (Aliba &amp; Ewafa s/c) by Amos Arima dated 17th November 2023.</p>	
			<p>The report indicated a total of 17 farm visits conducted, with 12 in Ewafa and 5 in Aliba sub counties.</p>	
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>There was no publicization of eligible farmers by the LG District Agricultural Engineer.</p>	0
	<p>Maximum score 8</p>			

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.	There was no evidence at the time of assessment to show that MSI was incorporated in FY 2023/2024.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0	The LG didn't request for quotations from prequalified suppliers by MAAIF because the LG was at demonstration stage.  • However as per Micro-scale technical guidelines version 3, April 2023 the LG requested for quotations from local suppliers i.e. M/S ADRITEX (U) LIMITED.	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0	There was evidence that the LG concluded the selection of irrigation equipment suppliers, For instance;  • Contracts committee on 2nd June 2023 under minute no 274/DCC/OBO/22-23 approved the evaluation report dated 30th March 2023 and awarded the contract to M/S ADRITEX (U) LIMITED to Supply Irrigation Equipment.The suppliers who bided were;  -Adritex (U) Limited'  -Akvo International SMC Limited,  -Kaftech Investment Limited.	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0	The contracts committee on 2nd June 2023 under minute no.274/DCC/OBO/22-23 approved the award of supply of irrigation equipment, with procurement reference number: OBO913/Surplus/22-23/00004 to M/S ADRITEX (U) LIMITED at a contract price of UGX 25,890,000. The project evaluation report was dated 30th March 2023.	1

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0</p>	<p>From the Project Evaluation Report, the lowest priced technically responsive irrigation equipment supplier from those who bid was Adritex (U) Limited at a contract price of UGX 25,890,000. Others who bid were;</p> <p>- Akvo International SMC Limited at UGX 36,200,000,</p> <p>- Kaftech Investments Limited at UGX 87,180,000.</p>	<b>2</b>
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0</p>	<p>No evidence was presented that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by irriTrack App), or the approved work plan by MAAIF.</p> <p>This is because the DLG never forwarded designs for approval by MAAIF. However, upon visiting the site, it was seen that the equipment installed were in line with the submitted designs by the contractor in the UglIFT Microscale irrigation contract file.</p>	<b>0</b>

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0</p>	<p>At the time of assessment, there was evidence presented on the LG conducting regular technical supervision of micro-scale irrigation projects by the relevant technical officers. E.g.,</p> <ul style="list-style-type: none"> <li>• Obongi DLG 3rd quarter joint monitoring report for UGIFT Microscale irrigation demo sites prepared by DAO- Guli Marting dated 11th April 2023.</li> <li>o The report indicated that stray animals could damage the system hence fencing was recommended.</li> <li>• Obongi DLG 4th quarter joint monitoring report for UGIFT Microscale irrigation demo sites prepared by DAO- Guli Marting dated 17th September 2023.</li> <li>o The report indicated that there was need to involve all stakeholders in the monitoring events.</li> </ul>	<b>2</b>
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>h) Evidence that the LG has overseen the irrigation equipment supplier during:</p> <p>i. Testing the functionality of the installed equipment: Score 1 or else 0</p>	<p>Evidence was presented that the LG has overseen the irrigation equipment supplier during testing the functionality of the installed equipment. For example;</p> <ul style="list-style-type: none"> <li>- Demonstration installation and farmer training supervision report for UGIFT microscale irrigation demo site, report compiled by DAO- Guli Martin and dated 17th June 2023.</li> <li>- The following technical persons were part of the training; DAO, DPO and engineer from Adritex. The pump pipe work, tank and system pressure were tested.</li> </ul>	<b>1</b>

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	No evidence was presented that the LG had overseen the irrigation equipment supplier during the Hand-over of the equipment to the Approved Farmer.  The DPO however commented that the commissioning and handover plan is for the current financial year.	<b>0</b>
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	Microscale irrigation contract details, requisitions, payment vouchers were not submitted for the assessment. It was alleged that the information was taken for an external audit by the Office of The Auditor General.	<b>0</b>
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	1. For supply of Irrigation equipment, with procurement reference number: OBO913/SUPLS/22-23/00004, the file had a project evaluation report dated 30th March 2023. The contract was approved by the contracts committee on 2nd June 2023 under minute number 274DCC/OBO/22-23 and awarded to Adritex (U) Limited at a contract price of UGX 25,890,000. Agreement between the parties was signed on 15th June 2023.	<b>2</b>

**Environment and Social Safeguards**



14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p>	<p>a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0</p>	<p>Evidence was presented at the time of assessment that the Local Government displayed details of the nature and avenues to address grievance prominently in multiple public areas. E.g,</p>	2
	<p>Maximum score 6</p>		<p>- The Grievance Redress Mechanism indicating a list of avenues for different grievances, that was publicised on the District Head Quarter notice board on 5th April 2023 by the SAO- Guli Martin.</p> <p>- The GRM Logbook for Obingi DLG production department. The Logbook indicated an entry on 10th July 2023, that was summoning Adritex to respond to the gaps in delivery and installation of demos.</p>	
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>i). Recorded score 1 or else 0</p> <p>ii). Investigated score 1 or else 0</p> <p>iii). Responded to score 1 or else 0</p> <p>iv). Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>The implementation of MSI never attracted grievances the previous FY and therefore no complaint was recorded.</p>	1
	<p>Maximum score 6</p>			
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>ii. Investigated score 1 or else 0</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>The implementation of MSI never attracted grievances the previous FY and therefore no complaint was recorded and therefore investigated.</p>	1
	<p>Maximum score 6</p>			

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>The implementation of MSI never attracted grievances the previous FY and therefore no complaint was recorded, investigated and responded to.</p>	<b>1</b>
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14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>The implementation of MSI never attracted grievances the previous FY and therefore no complaint was reported to.</p>	<b>1</b>
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**Environment and Social Requirements**

15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.</p> <p>score 2 or else 0</p>	<p>Evidence was presented on the dissemination of Micro-irrigation guidelines for proper siting, land access and safe disposal of chemical waste containers.</p> <p>MOUs were signed between CAO on behalf of Obongi DLG and the following farmers;</p> <p>- Mamutu Paul of Gimara sub county on 6th March 2023.</p> <p>- Aliga Gabriel of Obongi town council on 6th March 2023.</p> <p>The MOUs specify that the farmer should provide land free of any encumbrances for the UgIFT microscale irrigation systems.</p>	<b>2</b>
15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.</p> <p>i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0</p>	<p>There was no record on the LG having conducted Environmental, Social and Climate Change screening for MSI investments for the previous FY.</p>	<b>0</b>

15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	No documents in respect of Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers were presented for assessment.	0
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	There was no proof that the E&S Certification forms were completed and signed by Environmental Officer prior to payments of contractor.	0
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	There was no proof that the E&S Certification forms were completed and signed by Environmental Officer prior to payments of contractor.	0

**Crosscutting Minimum  
Conditions**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Human Resource Management and Development</b>				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	The LG neither had substantively appointed CFO nor secondment from Finance Ministry. But Opio Elijah Principle Internal Auditor was assigned duties of CFO dated 17th August 2022 as vote controller.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	The LG substantively appointed Lemeriga George Origason as District Planner vide letter dated 21st July 2020, under DSC minute 02/ OBO/20/21.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	The LG neither had substantively appointed District Engineer nor secondment from Ministry of Works and Transport. But Onapa Ivan Opio was assigned duties of District Engineer vide letter dated 29th August 2023.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	The LG neither had substantively appointed District Natural Resources Officer nor secondment from Ministry of Lands Housing and Urban Development. But Onzima Henry Pastore Senior Environment Officer was assigned duties of DNRO vide letter dated 1st July 2023.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	The LG neither had substantively appointed District Production Officer nor secondment from MAIF. But Opigo Johnson Senior Veterinary Officer was assigned duties of DPO vide letter dated 14th July 2023.	0

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	The LG substantively appointed Nyango Ernest as DCDO vide letter dated 21st July 2020, under DSC minute 01//OBO/20/21.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	The LG neither had substantively appointed District Commercial Officer nor secondment from Ministry of Trade and Industry. But Abdullai Sebbi Ajagason Commercial Officer was assigned duties of District Commercial Officer vide letter dated 1st July 2023.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	The LG neither had substantively appointed Senior Procurement Officer nor secondment from MoFPED.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	The LG substantively appointed Madrwa William as Procurement Officer vide letter dated 3rd March 2020 under DSC minute: DSC/Min/13/OBO/2020.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	The LG substantively appointed Drati George Aliga as PHRO, vide letter dated 3rd March 2020 under DSC minute: DSC/Min/4/OBO/2020.	2

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>j. A Senior Environment Officer, score 2 or else 0</p>	<p>The LG substantively appointed Lomurechu Dominic Guli as Senior Environment Officer, vide letter dated 21st July 2020 under DSC minute: 03/OBO/20/21.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>k. Senior Land Management Officer /Physical Planner, score 2 or else 0</p>	<p>The LG neither had substantively appointed Senior Procurement Officer nor secondment from Ministry of Lands Housing and Urban Development.</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>l. A Senior Accountant, score 2 or else 0</p>	<p>The LG substantively appointed Wanican Gladies Linda as Senior Accountant, vide letter dated 4th May 2022 under DSC minute: 89/OBO/2022.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0</p>	<p>The LG substantively appointed Opio Elijah as Principal Internal Auditor, vide letter dated 22nd February 2021 under DSC minute: 67/OBO/20/21</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p>	<p>The LG neither had substantively appointed PHRO DSC nor secondment from MoPS.</p>	0

New\_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).

The LG had 6 LLGs and SAS and Town Clerk had been appointed in all the LLGs as follows:

1. Ulema Mudashir Noah was appointed as SAS vide letter dated 22nd December 2021, under DSC minute: 25/OBO/20/21. Deployed at Ewafa Sub County.
2. Rashid Fadul Ibrahim was appointed as SAS vide letter dated 22nd December 2021, under DSC minute: 24/OBO/20/21. Deployed at Aliba Sub County.
3. Tako Samuel was appointed as SAS vide letter dated 12th January 2021, under DSC minute: 04/OBO/2021. Deployed at Palorinya Sub County.
4. Ebcruku Pius was appointed SAS vide letter dated 3rd March 2008, under DSC minute: 06 of 2008 refers, CR/ 10883. Deployed at Gimara Sub County.
5. Odda Anthony was appointed SAS vide letter dated 18th June 2015, under DSC minute: DSC/Min/105/2015. Deployed at Itula Sub County.
6. Makosa Said Sebbi was appointed Senior Assistant Town Clerk vide letter dated 27th April 2022, under DSC minute: 82/OBO/2022, CR/156/01. Deployed at Obongi Town Council.

New\_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

The LG had 6 LLGs and appointed CDOs in all LLGs as listed below:

1. Aliga Richard Male was appointed as CDO vide letter dated 27th June 2022, under DSC minute No. 125/OBO/2022. Deployed at Aliba Sub County.
2. Moriku Gloria was appointed as CDO vide letter dated 27th June 2022, under DSC minute No. 125/OBO/2022. Deployed at Itula Sub County.
3. Taban Musa Ramadhan was appointed as CDO vide letter dated 27th June 2022, under DSC minute No. 116/OBO/2022. Deployed at Gimara Sub County
4. Ameko Alex was appointed as CDO vide letter dated 11th March 2020, under DSC minute No. DSC/Min/10/OBO/2020. Deployed at Obongi Town Council.
5. Tako Geoffrey was appointed CDO vide letter dated 16th April 2004, under DSC minute No: 11th of 2004, CR/10815. Deployed at Palorinya Sub County.
6. Butia Pauline was appointed CDO vide letter dated 11th March 2020. Under DSC minute No: DSC/Min/10/OBO/2020. Deployed at Ewafa Sub County.



New\_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

The LG had 6 LLGs and had substantively appointed SAAs in all LLGs as listed below:

1. Zubeda Shafi was appointed as SAA vide letter dated 8th April 2020 under DSC minute No. 84/OBO/2020. Deployed at Ewafa Sub County

2. Mawadri Isaac was appointed as SAA vide letter dated 27th April 2022 under DSC minute No. 81/OBO/2022. Deployed at Itula Sub County.

3. Cheptegei Stella Masai was appointed as SAA vide letter dated 27th April 2022 under DSC minute No. 81/OBO/2022. Deployed at Gimara Sub County.

4. Adebasiku Emmanuel was appointed as SAA vide letter dated 8th April 2020 under DSC minute No. 84/ OBO/2020 (1) of March 2020. Deployed at Aliba Sub County.

5. Malidriku Dominic Natal was appointed SAA vide letter dated 8th April 2020 under DSC minute No 84/OBO/2020 (1) of March 2020. Deployed at Palorinya Sub County.

6. Vuchiri Van Nichodemus was appointed SAA vide letter dated 11th March 2020. Under DSC minute No DSC/Min/16/OBO/2020. Deployed at Obongi Town Council.

### Environment and Social Requirements

3

Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.

Maximum score is 4

If the LG has released 100% of funds allocated in the previous FY to:

a. Natural Resources department,

score 2 or else 0

From page 13 of the Final Accounts 2022-2023, the budgeted amount of the Natural Resources Department was UGX 678,499,296. The revised budget was UGX 678,499,296. The released amount was UGX632,856,313 thus leaving a variance of UGX174,006,691 which was not up 100%

0

3

Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.

Maximum score is 4

If the LG has released 100% of funds allocated in the previous FY to:

b. Community Based Services department.

score 2 or else 0.

From page 13 of the Final Accounts 2022-2023, the budgeted amount of the CBS department was UGX16,900,000. The released amount was UGX0.00 thus leaving a variance of UGX16,900,000. which was not up 100%

0

4

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0

The LG had carried out Environmental, Social and Climate Change screening as per the examples below;

1. Construction of a community resource centre at Itula Subcounty headquarters in Obongi district;
2. Environmental and Social safeguards screening form endorsed by both Environment Office and CDO on 21st February, 2021
3. Community Resource Centre in Palorinya Subcounty Obongi District; Environmental and Social safeguards screening form endorsed by both Environment Office and CDO on 21st February, 2021
4. Construction of Ndirindiri Market in Lubujo Village, Yenga Parish, Palorinya Subcounty Obongi District; Environmental and Social safeguards screening form endorsed by both Environment Office and CDO on 21st February, 2021

4

4

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG), score 4 or 0

Environmental and social management plan (ESMP) for the proposed construction of a community resource centre at Itula Subcounty headquarters in Obongi district; prepared by UB CONSULTING ENGINEERS LTD, UGANDA in JV with AIR WATER EARTH (AWE) LTD between March-October 2021 and submitted on 2nd April 2022

Environmental and Social Management Plan (ESMP) for the Proposed Construction of a Community Resource Centre in Palorinya Subcounty Obongi District; prepared by UB CONSULTING ENGINEERS LTD, UGANDA in JV with AIR WATER EARTH (AWE) LTD between March-October 2021 and submitted on 2nd April 2022

Environmental and Social Project Brief for the proposed Construction of Ndirindiri Market in Lubujo Village, Yenga Parish, Palorinya Subcounty Obongi District; prepared by UB CONSULTING ENGINEERS LTD, UGANDA in JV with AIR WATER EARTH (AWE) LTD between March-October 2021 and submitted on 2nd April 2022

4

4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>	<p>c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;</p> <p>score 4 or 0</p>	<p>The LG had Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG as evidenced below;</p> <p>Environmental and social management plan (ESMP) for the proposed construction of a community resource centre at Itula Subcounty headquarters in Obongi district; ESMP matrix (financing and implementation costs) page 64 of the ESMP</p> <p>Environmental and Social Management Plan (ESMP) for the Proposed Construction of a Community Resource Centre in Palorinya Subcounty Obongi District; ESMP matrix (financing and implementation costs) page 68 of the ESMP</p> <p>Environmental and Social Project Brief for the proposed Construction of Ndirindiri Market in Lubujo Village, Yenga Parish, Palorinya Subcounty Obongi District; ESMP matrix (financing and implementation costs) page 121-134 of the ESMP</p>	4
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**Financial management and reporting**

5	<p>Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.</p> <p>Maximum score is 10</p>	<p>If a LG has a clean audit opinion, score 10;</p> <p>If a LG has a qualified audit opinion, score 5</p> <p>If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0</p>	<p>The LG obtained Unqualified audit opinion from the OAG on its operations for the financial year 2022/2023.</p>	10
6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p> <p>maximum score is 10</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p> <p>score 10 or else 0.</p>	<p>The LG provided to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous FY which was submitted on 23rd February 2023. This was evidence from a report titled "Implementation status of responses/actions taken in respect of auditor Generals report and internal auditors' quarterly reports for the FY 2021/2022" The report was prepared on 20th February 2023 and submitted to MoFPED on 23rd February 2023.</p>	10

7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p> <p>Maximum Score 4</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p> <p>score 4 or else 0.</p>	<p>From the Local government annual performance contract. The Local Government submitted the annual performance contract on 22nd June 2023 which was before the deadline of August 31st of the current FY.</p>	4
8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>From the PBS generated report, the LG submitted the annual budget performance report on 8th August 2023 which was before August 31, of the current Financial Year.</p>	4
9	<p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p> <p>Maximum score is 4</p>	<p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The local government submitted all the quarterly Budget performance reports of the Previous FY before August 31 of the current FY. From the PBS extraction details;</p> <p>Quarter one was submitted on 22nd December 2022, Quarter two was submitted on 6th February 2023, Quarter three was submitted on 6th May 2023, and Quarter four was submitted on 8th August 2023. All the dates were before the August 31. of the current FY.</p>	4

**Education Minimum  
Conditions**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Human Resource Management and Development</b>				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.  <i>The Maximum Score of 70</i>	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	The LG substantively appointed Angala Patrick as DEO vide letter dated 15th February 2021 under DSC minute No 66/OBO/20/21.	<b>30</b>
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.  <i>The Maximum Score of 70</i>	b) All District/Municipal Inspector of Schools, score 40 or else 0.	The LG approved staff structure provided for Two (2) Inspector of Schools. All the Inspector of Schools were substantively recruited as follows;  1. The LG substantively appointed Abubakar Sam Longa as Senior Inspector of Schools vide letter dated 19th January 2021 under DSC minute: 20/OBO/2021.  2. The LG substantively appointed Buga Ismail Inspector of Schools vide letter 27th June 2022 under minute :132/OBO/2022	<b>40</b>

**Environment and Social Requirements**

2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

The LG carried out Environmental, Social and Climate Change screening/Environment for the projects implemented the previous FY as seen below;

Construction of 2 blocks of 5-stance latrines at Dello Primary School in Liwa Parish, Gimara Sub- County; Environment and social screening form endorsed by Environment Officer and CDO on 14th September, 2022

Construction of 2 blocks of 5-stance latrines at Aliba Primary School, Otubanga Parish, Aliba Subcounty; Environment and social screening form endorsed by Environment Officer and CDO on 13th September, 2022

Rehabilitation of 4 classroom block at Lomunga Primary School in Lomunga Parish, Gimara Sub- County; Environment and social screening form endorsed by Environment Officer and CDO on 13th September, 2022

Gopele Seed Secondary School in Aringa Village, Gopele Parish, Gimara Sub- County, Obongi County; Environment and social screening form endorsed by Environment Officer and CDO on 15th August, 2022

The Maximum score is 30

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is 30

If the LG carried out:  
b. Social Impact Assessments (ESIAs) , score 15 or else 0.

The Education projects did not require ESIAs in reference to the National Environment Act 2019 under schedule 4 part 2 which consist of projects with simple environment and social measures and the minimal level of impacts requiring ESMPs that were developed and costed as per the examples below;

Construction of 2 blocks of 5-stance latrines at Dello Primary School in Liwa Parish, Gimara Sub- County; ESMP costed at 3,500,000 Ugshs endorsed by Environment Officer and CDO on 19th September, 2022

Construction of 2 blocks of 5-stance latrines at Aliba Primary School, Otubanga Parish, Aliba Subcounty; ESMP costed at 4,000,000 Ugshs endorsed by Environment Officer and CDO on 19th September, 2022

Rehabilitation of 4 classroom block at Lomunga Primary School in Lomunga Parish, Gimara Sub- County; ESMP costed at 4,000,000 Ugshs endorsed by Environment Officer and CDO on 19th September, 2022

Gopele Seed Secondary School in Aringa Village, Gopele Parish, Gimara Sub- County, Obongi County; ESMP costed at 59,904,000 Ugshs endorsed by Environment Officer and CDO on 15th August, 2022

**Health Minimum  
Conditions**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Human Resource Management and Development</b>				
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.  <i>Applicable to Districts only.</i>  <i>Maximum score is 70</i>	a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.	The LG neither had substantively appointed DHO nor a secondment from MoH. However Lomurechu Dominic Guli Principle Health Inspector was in acting position.	<b>0</b>
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.  <i>Applicable to Districts only.</i>  <i>Maximum score is 70</i>	b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0	The LG substantively appointed Dragule Sunday Afghan as ADHO Maternal, Child Health and Nursing vide letter dated 22nd December 2021 under DSC minute 38/OBO/20/21	<b>10</b>
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.  <i>Applicable to Districts only.</i>  <i>Maximum score is 70</i>	c. Assistant District Health Officer Environmental Health, score 10 or else 0.	The LG neither had substantively appointed ADHO Environmental Health nor a secondment from MoH.	<b>0</b>
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.  <i>Applicable to Districts only.</i>  <i>Maximum score is 70</i>	d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.	The LG substantively appointed Lomurechu Dominic Guli as Principle Health Inspector vide letter dated 21st July 2020 under DSC minute: 03/OBO/20/21.	<b>10</b>
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.  <i>Applicable to Districts only.</i>  <i>Maximum score is 70</i>	e. Senior Health Educator, score 10 or else 0.	The LG substantively appointed Muhindu Geoffrey as Senior Health Educator vide letter dated 22nd December 2021 under DSC minute: 36/OBO/20/21.	<b>10</b>



1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.  <i>Applicable to Districts only.</i>  <i>Maximum score is 70</i>	f. Biostatistician, score 10 or 0.	The LG substantively appointed Ofoyuru David as Biostatistician vide letter dated 22nd December 2021 under DSC minute: 32/OBO/20/21.	<b>10</b>
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.  <i>Applicable to Districts only.</i>  <i>Maximum score is 70</i>	g. District Cold Chain Technician, score 10 or else 0.	The LG substantively appointed Asuru Antazia as Cold Chain Technician vide letter dated 22nd December 2021 under DSC minute 27/OBO/20/21.	<b>10</b>
1	New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.  <i>Applicable to MCs only.</i>  <i>Maximum score is 70</i>	h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.		
1	New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.  <i>Applicable to MCs only.</i>  <i>Maximum score is 70</i>	i. Principal Health Inspector, score 20 or else 0.		
1	New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.  <i>Applicable to MCs only.</i>  <i>Maximum score is 70</i>	j. Health Educator, score 20 or else 0		

**Environment and Social Requirements**

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

If the LG carried out:  
a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

Renovation of staff House at ALIBA HCIII in Otubanga Parfish, Ewafa Subcounty, Obongi County; Environment and social screening form endorsed by both DCDO and Environment Officer on 13th September, 2022

Renovation of OPD House at Maduga HCII in Gopele Parish, Gimara Subcounty, Obongi County; Environment and social screening form endorsed by both DCDO and Environment Officer on 13th September, 2022

Renovation of General Ward at Palorinya HCIII in Palujo Parish, Palorinya Sub- County, Obongi County; Environment and social screening form endorsed by both DCDO and Environment Officer on 13th September, 2022

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

The Health projects did not require ESIAs in reference to the National Environment Act 2019 under schedule 4 part 2 which consist of projects with simple environment and social measures and the minimal level of impacts requiring ESMPs.

Renovation of staff House at ALIBA HCIII in Otubanga Parfish, Ewafa Subcounty, Obongi County; Environment and social screening form endorsed by both DCDO and Environment Officer on 13th September, 2022

Renovation of OPD House at Maduga HCII in Gopele Parish, Gimara Subcounty, Obongi County; Environment and social screening form endorsed by both DCDO and Environment Officer on 13th September, 2022

Renovation of General Ward at Palorinya HCIII in Palujo Parish, Palorinya Sub- County, Obongi County; Environment and social screening form endorsed by both DCDO and Environment Officer on 13th September, 2022

**Micro-scale Irrigation  
Minimum Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p>	<p>If the LG has recruited;</p> <p>a. the Senior Agriculture Engineer</p> <p><i>score 70 or else 0.</i></p>	<p>The LG neither had a substantively appointed Senior Agricultural Engineer nor a secondment from MAIF.</p>	<b>0</b>
<b>Environment and Social Requirements</b>				
2	<p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p>	<p>If the LG:</p> <p>Carried out Environmental, Social and Climate Change screening score 30 or else 0.</p>	<p>Micro scale irrigation Demo site at Palio Village, Gopele Parish, Gimara Subcounty. Environment and social screening form endorsed by both Environment Officer and DCDO on 16th May, 2023</p> <p>Micro scale irrigation at Yekinemiji Cell, Yekinemiji Ward, Obongi Town Council. Environment and social screening form endorsed by both Environment Officer and DCDO on 16th May, 2023</p> <p>Micro scale irrigation Demo site at Palio Village, Gopele Parish, Gimara Subcounty. ESMP costed at 1,030,000 Ugshs endorsed by both Environment Officer and DCDO on 19th May, 2023</p> <p>Micro scale irrigation at Yekinemiji Cell, Yekinemiji Ward, Obongi Town Council. ESMP costed at 890,000 Ugshs endorsed by both Environment Officer and DCDO on 20th May, 2023</p>	<b>30</b>

**Water & Environment Minimum Conditions**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Human Resource Management and Development</b>				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	The LG neither had substantively appointed Civil Engineer (Water) nor secondment from MoWE. But Iranya Dominic Engineering Assistant was in acting position.	<b>0</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	The LG assigned Ameko Alex as CDO as Assistant water officer Mobilization vide letter dated 14th February 2023, OBO/D/CR/156/1	<b>10</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	The LG substantively appointed Iranya Dominic as Borehole Maintenance Technician/ Assistant Engineering Officer vide letter dated 11th March 2020 under DSC minute : DSC/Min/18/OBO/2020	<b>10</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	The LG neither substantively appointed District Natural Resource Officer nor a secondment from MAIF	<b>0</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	The LG substantively appointed Nakimerra Lydia Jenifer as Environment Officer vide letter dated 26th January 2021 under minute: 40/OBO/2021.	<b>10</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	f. Forestry Officer, score 10 or else 0.	The LG substantively appointed Rongumu Tairi as Forestry Officer vide letter dated 8th April 2020 under minute: 82/OBO/2020 of March.	<b>10</b>

**Environment and Social Requirements**

2	Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	If the LG: a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.	Sitting and drilling of Borehole at Luminga Village, Luminga Parish, Gimara Subcounty; Environment and Social screening form endorsed by Environment Officer and DCDO on 2nd September, 2022	10
			Sitting and drilling of Borehole at Okukua Village, Ewafa Parish, Ewafa Subcounty; Environment and Social screening form endorsed by Environment Officer and DCDO on 1st September, 2022	
			Sitting and drilling of Borehole at Andramare Village, Ubii Parish, Palorinya Subcounty; Environment and Social screening form endorsed by Environment Officer and DCDO on 2nd September, 2022	
2	Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.	Sitting and drilling of Borehole at Luminga Village, Luminga Parish, Gimara Subcounty; ESMP costed at 1,500,000 Ugshs endorsed by Environment Officer and DCDO on 2nd September, 2022	10
			Sitting and drilling of Borehole at Okukua Village, Ewafa Parish, Ewafa Subcounty; ESMP costed at 1,500,000 Ugshs endorsed by Environment Officer and DCDO on 1st September, 2022	
			Sitting and drilling of Borehole at Andramare Village, Ubii Parish, Palorinya Subcounty; ESMP costed at 1,500,000 Ugshs endorsed by Environment Officer and DCDO on 1st September, 2022	

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.

In FY 2022/2023 Obongi DLG did not construct new piped water supply system that required abstraction permit however Obongi DLG presented a Drilling Permit for the deep boreholes drilled addressed to AADHIRA SOLUTIONS LTD, Permit Number: LIR05/DP-00001/2022/RR, P.O Box 221, Lira.

Permit was granted for period not exceeding 1 year issued Friday, 1st July 2022 and Friday, 30th June 2023.

The issuance date was Tuesday, 24th May 2022, signed by Eng. Joseph Oriono Eyatu, Director of Water Development