



LGMSD 2022/23

Nwoya District

(Vote Code: 606)

Assessment	Scores
Crosscutting Minimum Conditions	80%
Education Minimum Conditions	100%
Health Minimum Conditions	70%
Water & Environment Minimum Conditions	100%
Micro-scale Irrigation Minimum Conditions	100%
Crosscutting Performance Measures	81%
Educational Performance Measures	82%
Health Performance Measures	55%
Water & Environment Performance Measures	79%
Micro-scale Irrigation Performance Measures	81%

**Crosscutting
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): If so: Score 4 or else 0 	<p>The LG implemented only one DDEG investment which was Renovation of planning unit located in Anaka Town Council. This was budgeted for under page 2 of the LG Budget Estimates for FY2022/2023 at UGX52,000,000 as the non-residential buildings improvement. The investment was functional and utilized by the planning unit at the Local Government.</p>	4
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> By more than 5%, score 3 1 to 5% increase, score 2 If no increase, score 0 <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>From the Analysis of the Lower Local Government Performance assessment report, the District had an overall performance of 62% in 2022 and 74% in 2023 thus an increase if (74%-62%) = 12% thus greater than 5%.</p>	3
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> If 100% the projects were completed : Score 3 If 80-99%: Score 2 If below 80%: 0 	<p>The LG implemented only one DDEG investment which was Renovation of planning unit located in Anaka Town Council. This was budgeted for under page 2 of the LG Budget Estimates for FY2022/2023 at UGX52,000,000 as the non-residential buildings improvement. The investment was completed, functional and utilized by the planning unit at the Local Government.</p> <p>Therefore, planned projects = 1 Completed projects = 1 1/1X100% = 100%</p>	3

3	Investment Performance Maximum 4 points on this performance measure	a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines: Score 2 or else score 0.	The LG implemented only one DDEG investment which was Renovation of planning unit located in Anaka Town Council. This was budgeted for under page 2 of the LG Budget Estimates for FY2022/2023 at UGX52,000,000 as the non-residential buildings improvement. The investment was eligible for funding under Discretionary Development Equalization Grant (DDEG) Budget and Implementation Guidelines Effective from FY 2022/23 under code 148272 - Administrative Capital (construction or rehabilitation and furnishing of government offices)	2
3	Investment Performance Maximum 4 points on this performance measure	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates, score 2 or else score 0	The variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY were within +/-20% of the LG Engineers' estimates. For instance; 1. Renovation of planning unit, with procurement reference number Nwoy912/Wrks/22-23/FY/00023 at a contract price of UGX 46,678,086 against Engineer's estimate of UGX 49,500,000. The contract price variation was calculated as 5,7%.	2

Performance Reporting and Performance Improvement

4	Accuracy of reported information Maximum 4 points on this Performance Measure	a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate, score 2 or else score 0	From the 3 sampled and visited LLGs, it was confirmed after reviewing the staff list and attendance books at the LLGs and comparing with the PHRO staff list that information on the positions filled was accurate as indicated below, 1. Anaka S/C Parmu Harriet Omach was deployed as SAS, Abalo Dorothy as CDO and Watmon Augustine as SAA which positions were provided for on the approved staff structure. 2. Purongo T/C Acayo Peace Diana was serving as SATC, Ayoo Paska as CDO and Apwoyorwot Denish the SAA which positions were provided for in the approved staff structure. 3. Koch Goma T/C Odong Robert Omara was deployed as SATC, Ajok Annet Francisca as CDO and Nyamchika Ben Richard as SAA which positions were provided for in the approved staff structure.	2
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4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> • If 100 % in place: Score 2, else score 0. <p>Note: if there are no reports produced to review: Score 0</p>	<p>The LG implemented only one DDEG investment which was Renovation of planning unit located in Anaka Town Council. This was budgeted for under page 2 of the LG Budget Estimates for FY2022/2023 at UGX52,000,000 as the non-residential buildings improvement. The investment was completed, functional and utilized by the planning unit at the Local Government.</p> <p>According to the a report dated 28th June 2023 titled "Progress of DDEG investments for FY2022/2022" written by the planner Mr. Lakony Lino, it was indicated that the District had completed the Renovation of planning unit at 100%.</p>	2															
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> <p>score 4 or else 0</p> <p>NB: The Source is the OPAMS Data Generated by OPM.</p>	<p>The scores obtained from the four LLGs in the District assessment and from the LLG IVA were outside the performance range of -/+ 10 which implied that the assessment was not credible. The comparative analyzed data was as presented below;</p> <table border="1" data-bbox="810 891 1149 1149"> <thead> <tr> <th></th> <th colspan="2">DLG IVA</th> </tr> </thead> <tbody> <tr> <td>Alero S/C</td> <td>73</td> <td>79</td> </tr> <tr> <td>Got Apwoyo S/C</td> <td>79</td> <td>53</td> </tr> <tr> <td>Koch Goma T/C</td> <td>72</td> <td>27</td> </tr> <tr> <td>Koch Goma S/C</td> <td>80</td> <td>51</td> </tr> </tbody> </table>		DLG IVA		Alero S/C	73	79	Got Apwoyo S/C	79	53	Koch Goma T/C	72	27	Koch Goma S/C	80	51	4
	DLG IVA																		
Alero S/C	73	79																	
Got Apwoyo S/C	79	53																	
Koch Goma T/C	72	27																	
Koch Goma S/C	80	51																	
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	<p>The District never developed performance improvement plans for at least 30% of the lowest performing LLGs.</p>	0															
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:</p> <p>Score 2 or else score 0</p>	<p>The District never developed performance improvement plans and therefore never implemented any plan for at least 30% of the lowest performing LLGs.</p>	0															

Human Resource Management and Development

6	<p>Budgeting for and actual recruitment and deployment of staff</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.</p> <p>Score 2 or else score 0</p>	<p>The LG consolidated and submitted the staffing requirements for the coming FY to MoPS in a letter dated 27th September 2022 and received by MoLG, MoFPED and MoPS on 30th September 2022 respectively.</p>	2
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p> <p>Score 2 or else score 0</p>	<p>There was evidence produced by the LG of tracking and analysing of staff attendance as per guidelines by MoPS Circular Standing Instruction (CSI). A sample of November 2023 showed 94 staff analysed as below;</p> <p>The highest attendance was recorded by Omony Ronald Assistant Animal Husbandry Officer who attended at 100%.</p> <p>72 staff attended above 50% during the month of November 2023. However 22staff attended below 50%.</p> <p>The report was compiled by Onen Chan Patrick HRO.</p>	2

Performance management

Maximum 5 points on this Performance Measure

i. Evidence that the LG has conducted an appraisal with the following features:

HODs have been appraised as per guidelines issued by MoPS during the previous

FY: Score 1 or else 0

The LG had evidence that Heads of Department were appraised for the previous FY against their performance agreements as follows:

1. The District Community Development Officer, Akena Geoffrey was appraised on 30th June 2023.
2. The District Commercial Officer, Ocitti Anying Godfrey Odoki was newly recruited on 13th February 2023 under DSC minute: NDSC/1/2023;7/02/2023(2.2).
3. The District Education Officer, Ayiba George Butele was appraised on 30th June 2023.
4. The Ag District Health Officer, Okot Samuel Obonyo ADHO Environmental Health was appraised on 30th June 2023.
5. The District Production Officer, Ebong Tamim was newly recruited on 2nd May 2023 under DSC minute: NDSC/5/2023;dd;28/4/2023(PP.079).
6. The District Natural Resource Officer Okot Peter Byron was newly recruited on 22nd May 2023 under DSC minute: NDSC/6/2023;dd;10/05/2023(PP.111).
7. The acting District Engineer, Okumu Peter was appraised on 30th June 2023.
8. The acting District Planner, Lakony Lino was appraised on 30th June 2023.
9. The acting Chief Finance Officer, Otim Charles was appraised on 30th June 2023.

Performance management

Maximum 5 points on this Performance Measure

ii. (in addition to "a" above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:

Score 1 or else 0

There was evidence that administrative rewards and sanctions were implemented.

The administrative rewards and sanctions committee was appointed on 2nd August 2022 and the appointment were as follows:

1. Akubonabona Yusuf DCAO as Chairperson
2. Oryema Philip as a Member
3. Odokonyero Mark for DHO as a Member
4. Ebong Tamim DPO as a Member
5. Apiyo Gladys PHRO as Secretary

The rewards and sanction committee was found functional in that 15th Rewards and Sanction committee meeting held on 12th September 2023.

Min:4/RSC/2023.

1. Owona Walter, Community Development Officer who was on interdiction from 4th March 2014 on account of uttering false documents during implementation of NUSAF II and forgery of documents.

2. Komakech Joseph Jason, Senior Community Development Officer who was granted sick leave for two month effective 1st September to 31st October 2021. But since then he had not reported back to work.

3. Ochan Godfrey, Town Agent Anaka Town Council who requested for leave without pay but was not granted. It had been reported that he got a job with Solidarities International.

Rewards and Sanction Committee Recommendations:

The Rewards and Sanction Committee noted that all the employees invited before them were not present and the meeting could not go on without hearing from the accused. Thus recommending for another invitation within two weeks for fair hearing.

Performance management

Maximum 5 points on this Performance Measure

iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.

Score 1 or else 0

There was evidence that the LG established a Consultative Committee for staff grievance management which was functional dated 26th June 2023 CR/156/3. The committee was composed of;

1. Akubonabona Yusuf (DCAO) Chairperson
2. Akena Geoffrey DCDO (Secretary)
3. Abalo Joan SLMO Member
4. Loum Alfred SCDO Member
5. Arike Joseph Ag DHO Member
6. Oryema Philip For DEO Member
7. Apiyo Gladys PHRO Member
8. Acca Evaline Sen. Environment Officer Member
9. Odoki Godfrey DCO Member

The committee met on 30th November 2023 to discuss a case of Ocaya James Donas Head Teacher of Koch Laminto Primary School who had not paid teachers Incentives that led to sit-down strike. The committee handled the case and reconciled the teachers and the Head Teacher.

Payroll management

Maximum 1 point on this Performance Measure or else score 0

a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:

Score 1.

There was evidence to show that the LG recruited 67 staff in the previous FY and 100% accessed salary payroll within two months and a sample of 10 were as follows:

1. Acen Florence, Office Attendant was recruited on 15th May 2023 and accessed payroll in June 2023.
2. Muhumuza Justus, Human Resource Officer was recruited on 15th May 2023 and accessed payroll in June 2023.
3. Acayo Agnes, Education Officer Special Needs was recruited on 15th May 2023 and accessed payroll in June 2023.
4. Omony Ronald, Assistant Inventory Officer was recruited on 15th May 2023 and accessed payroll in June 2023.
5. Onyutha Raphael, Senior Treasurer was recruited on 15th May 2023 and accessed payroll in June 2023.
6. Odongpiny Gifty, Senior Treasurer was recruited on 15th May 2023 and accessed payroll in June 2023.
7. Apiyo Galdys, Principle Human Resource Officer was recruited on 15th May 2023 and accessed payroll in June 2023.
8. Ayiga Daniel, Senior Assistant Town Clerk was recruited on 15th May 2023 and accessed payroll in June 2023.
9. Nakyanzi Proscovia, Community Development Officer was recruited on 15th May 2023 and accessed payroll in June 2023.
10. Otim Thomas, Veterinary Officer was recruited on 15th May 2023 and accessed payroll in June 2023.

Pension Payroll
management

Maximum 1 point on
this Performance
Measure or else score 0

a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:

Score 1.

There was evidence provided by the LG that 100% of staff retired during the previous FY had accessed pension payroll not later than two months after retirement as listed below:

1. Lakor Hider, Head Teacher Primary (IPPS 599523) retired on 22nd February 2023 and accessed pension payroll in April 2023.
2. Bob Anywar Okello, Senior Education Officer (IPPS 275861) retired on 30th October 2022 and accessed pension payroll in November 2022.
3. F Centis Opobo, Head Teacher Primary (IPPS275804) retired on 24th December 2022 and accessed pension payroll in February 2023.
4. Adong Joyce, Nursing Assistant (IPPS821685) retired on 1st March 2023 and accessed pension payroll in May 2023.
5. Aoo Con Opira, Senior Assistant Secretary (IPPS821651), retired on 4th December 2022 and accessed payroll in December 2022.
6. Banard Bond Opira, Education Assistant (IPPS275542) retired on 12th December 2022 and accessed pension payroll in December 2022.
7. Obama Kinyera Ronald, Deputy Head Teacher Primary (IPPS275955) retired on 22nd November 2022 and accessed pension payroll in January 2023.

Management, Monitoring and Supervision of Services.

10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:</p> <p>Score 2 or else score 0</p>	<p>The direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in the previous FY. The LG Presented DDEG transfer request letters and payment vouchers to evidence transfer to the LLGs. The requests and transfers started to emerge in the second quarter as the releases came through. Quarter two requests for transfers were done on 12th October 2022, Quarter three requests for transfers were prepared on 11th January 2023. A comparison of the budgeted amount and what was transferred to the Lower Local Governments revealed that 100% of the DDEG was transferred as follows:</p> <ol style="list-style-type: none"> 1. Alero S/C - UGX68,285,023 2. Koch Goma S/C - UGX52,882,176 3. Anaka S/C - UGX35,581,878 4. Gof Apwoyo S/C - UGX39,711,628 5. LII S/C - UGX26,987,536 6. Lungolu S/C - UGX31,117,284 7. Purongo S/C - UGX24,978,469 8. Anaka T/C - UGX42,700,760 9. Paminyoi T/C - UGX9,017,548 10. Purongo T/C - UGX3,866,604 11. Koch Goma T/C - UGX3,866,604 <p>The transfers were all conducted in totality as budgeted.</p>
10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).</p> <p>Score: 2 or else score 0</p>	<p>From the information provided by MoFPED and the warrant reports provided by the district, the quarter 2 cash limits were approved on 10th October 2022 and Warrant for the all the development grant for quarter 2 was done on 13th October 2022 under warrant code 912AW-2023-2 and the quarter 3 cash limits were approved on 6th January 2023 whereas warrant for quarter 3 development grant was conducted on 11th January 2023 under warrant code 912AW-2023-5.</p> <p>All the warrants were conducted within the timeframes since all were warranted within 2 days after the receipt of releases.</p>

10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:</p> <p>Score 2 or else score 0</p>	<p>The invoices and communication letters for the releases were displayed on the district notice board on 14th October 2022 for quarter two and 12th January 2023 for quarter 3. All these dates were within 5 days after the release of cash limits.</p>	2
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>From a review of quarterly support supervision and mentoring reports, it was found that for each quarter, the district supervised or mentored all LLGs in the District at least once per quarter consistent with guidelines;</p> <ol style="list-style-type: none"> 1. In quarter one, the LG government conducted a supervision and mentoring program for LLG quarter one budgeting 3rd July 2023. 2. In quarter two, the LG government conducted a mentoring and training on quarterly budgeting for quarter two and submission of quarter one progress reports and budget performance reports which was done on 2nd October 2023. 3. In quarter three, the LG government conducted mentoring and supervision of preparation of quarterly budget performance reports. This was evidenced through a report prepared on 16th February 2023. 4. In quarter four, the LG government conducted mentoring on disseminating of planned TORs and performance indicators for administrative quarterly supervision which was done on 10th April 2023. <p>All the above reports were prepared by the Planner Mr. Lakony Linus.</p>	2
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>	<p>All the reports and findings of the support supervision and monitoring visits were discussed in the TPC on 27th June 2023 under minute no. Min:NWOYA.3/DTPC/22-23 "Presentation of monitoring and supervision reports "The minutes were prepared by the district planner Mr. Lakonyi Linus.</p>	2

Investment Management

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</p>	<p>The LG had an IFMIS format updated register. The assets register obtained the key assets like Toyota Hillux no. UG7692A for the production department, Toyota Hillux no. LG0040-095 for the education department, Toyota Hillux no. LG0024-095 for water department.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>From a review of the board of survey report for financial year 2022/2023. The district used the Board of Survey Report of the FY 2021/2022 to make Assets Management Decisions. This was evidenced from the actions on recommendations that were in the board of survey reports for 2022/2023. Some of recommendations that were put into action on page 50 of 72 include;</p> <ol style="list-style-type: none"> 1. Renovation of the planning unit block which was done 2. Engraving tangible assets including tables and chairs which was reported to be in progress 	1

12

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.

The DLG had a functional physical planning committee in place which comprised of the following members as seen in appointment letters;

1. Odong Robert Omara - Town Clerk Koch-Goma
2. Acayo peace Diana - Town Clerk Purongo T/C
3. Okot Peter - Ag. DNRO
4. Oloka Moses - District Planner
5. Arike Joseph - DHO
6. Akena Geoffrey - DCDO
7. Oloya Albert - District Water Engineer
8. Kilama Alfred - District Agricultural Officer
9. Oloka Mosess - Physical Planner
10. Acca Everline - Senior Environment officer

The physical planning committee had submitted four sets of minutes to the Ministry of lands and urban development.

The Quarter one minutes were submitted on 23rd January 2023

The Quarter two minutes were submitted on 27th March 2023

The Quarter three minutes were submitted on 27th March 2023

The Quarter four minutes were submitted on 14th July 2023

12

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

d.For DDEG financed projects;

Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:

Score 2 or else score 0

The LG budgeted for only one DDEG investments which was "The renovation of the planning office block that was budget at UG52,000,000". On 10th August 2022, the LG conducted desk appraisals for the investment to ascertain if they were derived from the LG Development Plan and eligible for expenditure as per sector guidelines and funding source. This was evidenced by the desk appraisal form prepared on 10th August 2022 where it was ticked that the projects were derived from the LG Development Plan and eligible for expenditure. The appraisal form was prepared by Mr. Lakony Linus the District Planner.

2

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>The LG budgeted for only one DDEG investments which was "The renovation of the planning office block that was budget at UG52,000,000". On 16th August 2022, the LG conducted a field appraisals for the investments to check for technical feasibility environmental and socially acceptability and designs customized for investment projects. It was ticked that all the fields were complied for and this was evidenced by the field appraisal form prepared on 19th August 2022 by Mr. Lakony Linus the District Planner.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>The project profiles for the current FY investments were discussed by the TPC in a meeting held on 3rd March 2023 under minute no.3/DTPC/2022/2023. The discussed profiles included construction Construction of 1 block of 4-units of staff house at Coorom HC II at a budget estimate of UGX 150,051,620, Construction of 1 bock of 4-stance drainable VIP Latrine with bathing shelter at Langol at a budget estimate of UGX 6,163,188.</p>	1
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>Renovation of planning unit located in Anaka Town Council. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 30th November, 2022</p> <p>Completion of commercial block structure in Anaka Town Council. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 30th November, 2022</p> <p>Renovation of planning unit located in Anaka Town Council. The ESMP endorsed by the DCDO and Environment officer dated 30th November, 2022</p> <p>Completion of commercial block structure in Anaka Town Council. The ESMP endorsed by the DCDO and Environment officer dated 30th November, 2022</p>	2

13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>In the consolidated annual procurement and disposal plan for FY 2023/2024 for Nwoya DLG dated 1st September 2023, which was passed by council sitting dated 25th May 2023 under Min. 8/Fem/05/2023, there was evidence of the following DDEG funded infrastructure projects being incorporated in the LG approved procurement plan. These were;</p> <ul style="list-style-type: none"> • Construction of 1 block of 4-units of staff house at Coorom HC II as indicated on page 4 of 13 of the procurement plan at a budget estimate of UGX 150,051,620, • Construction of 1 bock of 4-stance drainable VIP Latrine with bathing shelter at Langol as indicated on page 4 of 13 of the procurement plan at a budget estimate of UGX 6,163,188, • Supply of Assorted Executive Office Furniture to Anaka Hospital, Administration/CAO, Council, Finance and Planning, Works, Natural Resources, Community Base Services, DHO Production, Trade, Education as indicated on page 4of 13 of the procurement plan at a budget estimate of UGX 10,700,000. 	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0</p>	<p>The procurement process of DDEG funded projects for FY 2023/2024 was still ongoing hence no evidence of infrastructure projects to be implemented was availed at the time of assessment.</p>	0
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:</p> <p>Score 1 or else 0</p>	<p>The following was presented as evidence that the LG properly established the project implementation team;</p> <p>In a letter dated 18th January 2023 the CAO appointed the following as members of PIT for the Renovation of planning unit at the District Headquarters, these were;</p> <p>District Engineer - Okumu Peter Anywar,</p> <p>District Planner - Lakony Lino,</p> <p>District Natural Resources Officer - Okot Byron,</p> <p>District Community Development Officer - Akena Geoffrey,</p> <p>Senior Environment Officer - Acca Evelyn,</p> <p>Clerk of Works - Oloya Albert,</p> <p>Senior Labour Officer - Abalo Joan.</p>	1

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer: Score 1 or else score 0	There was no evidence availed at the time of assessment to show that infrastructure projects implemented using DDEG followed standard technical designs provided by the LG engineer.	0
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0	There was no evidence availed at the time of assessment to show that the LG provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works.	0
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement): Score 1 or else score 0	There was no evidence availed at the time of assessment to show that the LG certified and initiated payments of contractors within specified timeframes. The Procurement officer was away involved with the auditor general's office.	0
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law: Score 1 or else 0	The LG had complete procurement file for each contract with all records as required by the PPDA Law. For instance; 1. For renovation of planning unit , with procurement reference number: Nwoy912/Wrks/22-23/FY/00023, the file had a project evaluation report dated 13th March 2023 and approved by contracts committee on 24th March 2023 under Min. No. 4/151/NCC/22-23. The contract was awarded to Blair Foundation Limited at a contract price of UGX 46,678,086 as per contract between the parties dated 13th April 2023.	1

Environment and Social Safeguards

14	Grievance redress mechanism operational.	a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.	The letter dated 26th June, 2023 appointed the DCDO Mr. Akena Geoffrey as the secretary and focal person of the Grievance redress committee. The letter of dated 3rd July, 2023 appointed members to the committee namely; Mr. Akubonabona Yusuf DCAO the Chairperson of the committee, Ms. Abalo Joan SLO, Mr. Loum Alfred SCDO, Dr. Arike Joseph DHO, Mr. Oryema Phillip DEO, Ms. Apiyo Gladys PHRO, Ms. Acca Everline Senior Environment Officer and Mr. Odoki Godfrey the District Commercial Officer.	2
	Maximum 5 points on this performance measure	Score: 2 or else score 0		
14	Grievance redress mechanism operational.	b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.	The Nwoya District Grievance redress framework and it had different steps/stages; whistle blower/affected person/complainant, report to HOD/Project Manager/relevant stakeholder, grievance redress committee, reporting to the CAO and finally feedback	2
	Maximum 5 points on this performance measure	If so: Score 2 or else 0		
14	Grievance redress mechanism operational.	c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.	The Nwoya District Grievance redress framework was posted on the notice boards within the District premises	1
	Maximum 5 points on this performance measure	If so: Score 1 or else 0		
15	Safeguards for service delivery of investments effectively handled.	a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0	The Local Government Integrated Environment, Social and Climate change interventions into LG Development Plans, annual workplans and budgets complied for the Current Financial year. A review of page 157 of the Approved LG development plan III indicated the following interventions; 1. Greening of urban and selected degraded areas in the district. 2. Development of waste management site for land fill. 3. Tree planting in the dry areas of the district. The interventions were provided for on page 157 of the Local Government Development Plan III.	1
	Maximum 11 points on this performance measure			

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management score 1 or else 0	The district had disseminated to LLGs the enhanced DDEG guidelines in a meeting that was held on 9th August 2023. According to the DDEG guidelines distribution list that was presented by Mr. Lakony Linus the District planner, all the LLGs had all received a copy of the the guidelines.	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	(For investments financed from the DDEG other than health, education, water, and irrigation): c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary: score 3 or else score 0	There was no evidence adduced to show that the the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY.	0
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	d. Examples of projects with costing of the additional impact from climate change. Score 3 or else score 0	No project had additional costs of addressing climate change adaptation.	0
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 1 or else score 0	The projects under DDEG where all implemented at the District Headquarters and the certificate of title of ownership was dated 9th May, 2017. The land measured 5.2430 hectares but no title was presented for assessment.	0

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 1 or else score 0	Report on monitoring of social and environmental compliance of the district commercial Block dated 28th June, 2023 and some of the recommendations made included; employer to issue contracts to workers with immediate effect, company to ensure sensitization on HIV/AIDs, more signage to be installed at appropriate points and provision/enforcement of PPE usage. Report on monitoring of social and environmental compliance of the district planning Unit Block dated 28th June, 2023 and some of the recommendations made included; employer to issue contracts to workers with immediate effect, company to ensure sensitization on HIV/AIDs, more signage to be installed at appropriate points, provision/enforcement of PPE usage and employment of more local people to benefit from the project.	1
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15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0	Renovation of planning unit located in Anaka Town Council. The Environment and Social Compliance Certification form endorsed by the DCDO and Environment officer dated 8th May, 2023 Completion of commercial block structure in Anaka Town Council. The Environment and Social Compliance Certification form endorsed by the DCDO and Environment officer dated 11th May, 2023	1
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Financial management

16	LG makes monthly Bank reconciliations Maximum 2 points on this Performance Measure	a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment: Score 2 or else score 0	The DLG had conducted bank reconciliations as at 30th November 2023. The following accounts were reconciled and had balances as follows as evidenced during the assessment; The LG had one active account which was the General Fund Account number 019838010007803 had an account balance UGX121,255,681.	2
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17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>The LG availed all the four quarterly internal audits reports as Required. The audit reports were produced on different dates as follows;</p> <ol style="list-style-type: none"> 1. Quarter One internal audit report was produced on 27th October 2022 2. Quarter Two internal audit report was produced on 30th January 2023 3. Quarter Three internal audit report was produced on 28th April 2023 4. Quarter Four internal audit report was produced on 28th July 2023 <p>The Reports were written by Mr. Ocen George the District Internal Auditor.</p>	2
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>The LG provided information to the Council Chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY. From a letter titled "Status of implementation of internal audit findings 2022-2023" as received on behalf of the LG PAC by the speaker and the chairperson through official stamps on 28th June 2023 was a follow up statement on how the staff were attending to the queries.</p>	1
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>All the four quarterly Internal audit reports were presented to the LGPAC for a review. The quarter one report was submitted on 30th October 2022, quarter two report was submitted on 28th February 2023, quarter three report was submitted on 28th April 2023 and Quarter four was submitted on 30st July 2023. There was no evidence of their discussion and follow up.</p>	0

Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>From Page 23 of the final accounts of the Previous FY 2022/2023, The total budgeted local revenues including tax and Non tax revenue = UGX1,416,700,000 whereas the actual revenue collection was UGX556,698,000</p> <p>The percentage OSR realisation was therefore $UGX556,698,000 / UGX1,416,700,000 \times 100\% = 39\%$ thus befitting out of +/-10% budget realization.</p>	2
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19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>From Page 14 of the final accounts of the Previous FY but one (2021/2022), The total revenue collection was UGX 415,250,194.</p> <p>From Page 23 of the final accounts of the Previous FY 2022/2023, The total revenue collection was UGX UGX 556,698,000.</p> <p>Therefore, the difference was (UGX 556,698,000-UGX 415,250,194) = UGX141,447,806.</p> <p>Therefore, percentage increase = UGX141,447,806/UGX415,250,194x100% = 34% which was more than 10%.</p>	2
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20	<p>Local revenue administration, allocation, and transparency</p> <p>Maximum 2 points on this performance measure.</p>	<p>a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0</p>	<p>Supportive evidence was not provided alleging that the information was in custody of the external auditor at the time of the assessment.</p>	0
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Transparency and Accountability

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0</p>	<p>There was evidence that the procurement plan and awarded contracts for projects in FY 2022/2023 were published. For example;</p> <p>1. For renovation of planning unit, with procurement reference number: Nwoy912/Wrks/22-23/00023,with project evaluation report dated 13th March 2023 and the best evaluated bidder as Blair Foundation Limited was displayed on 24th March 2023 at a contract price of UGX 46,678,086 was presented.</p>	2
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21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>The LG performance assessment results and implications were published on the district notice board on 2nd October 2023 by the district planner Mr. Lakony Lino</p>	2
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21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>On 20th/October/2022, the District held a community dialogue and discussed issues that included progress on the renovation of the planning unit block, construction of production wells at Owee and LII, Construction of drainable latrine at Purongo HCIII. This was evidenced through a community dialogue/baraza report written on 21st/October/2022 by the district planner Mr. Lakony Lino.</p>	1
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>Information on tax rates, collection procedures and procedures for Appeal was availed to the public through a district headquarter notice board on 15th August 2023 on the district notice board. This contained an official stamp of the CFO Mr. Otimi Charles.</p>	1
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>There were no IGG issues brought to the attention of the council and therefore preparation of a report on IGG issues was not applicable.</p>	1

**Educational
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>For the year 2022, the total number of candidates who sat excluding Division X was 2584</p> <p>Total passes = for division 1-3 (1608)</p> <p>Percentage was $1608/2584 * 100=62\%$</p> <p>For the year 2020, the total number of candidates excluding Division X who sat was 2239</p> <p>Total passes= for division 1-3 (1404)</p> <p>The percentage pass was $1404/2239 * 100 = 63\%$</p> <p>Percentage change was $62\%-63\% = -1\%$</p> <p>Hence percentage decreased by -1%</p>	0
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>For the year 2022, the total number of candidates who sat excluding Division X was 370</p> <p>Total passes = 355</p> <p>The percentage was $355/370 * 100= 96\%$</p> <p>For the year 2020, the total number of candidates excluding Division X who sat was 344</p> <p>Total passes were 305</p> <p>The percentage pass was $305/344 *100 = 89\%$</p> <p>Percentage change was $96\% - 89\% = 7\%$</p> <p>Hence percentage increase by 7%</p>	3

2	<p>N23_Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> • By more than 5%, score 2 • Between 1 and 5%, score 1 • No Improvement, score 0 <p>NB: If the previous average score was 95% and above, Score 2 for any increase.</p>	<p>Average score in the education LLG performance had improved between the previous year but one and the previous year. The score in 2022 was 67% and increased to 85% thus registering an increment of 18% which was above 5%.</p>	2
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>Based on the Education and Sports Sector: Local Government Planning, Budgeting and Implementation Guidelines page 11 of 80, the LG Education department received UGX 819,942,000/= as a sector development grant as evidenced from page 36 of 62 of the Approved Budget Estimates report for 2022/2023.</p> <p>The funds were used as follows:</p> <p>a) Fencing and laying compound bricks at Education and Sport department compound Construction of 1 block of 2 classrooms with UGX 51,187,651/- as indicated on the budget performance report.</p> <p>b) Renovation of 1 block of 2-classroom Agung Primary School in Anaka Subcounty at UGX 65,000,000/- as indicated on the budget performance report.</p> <p>c) Construction of a 1 block of 5 stances latrines at Lii Primary School in Koch Lii Sub-county at UGX 35,000,000/- as indicated on the budget performance report.</p>	2

3	Investment Performance: The LG has managed education projects as per guidelines	b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0	Supporting documents were availed at the time of assessment to show that the DEO, Environment Officer and CDO had certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors	2
	Maximum 8 points on this performance measure		This was shown in the following details below:	
			a) Payment of construction Fencing and laying compound bricks at Education and Sport department compound Construction of I block of 2 classrooms with UGX 51,187,651/- was certified by the DEO, DCDO and District Environment Officer on 14th June 2023, while the payment date of the voucher was 29th June 2023.	
			b) Payment of renovation of I block of 2-classroom Agung Primary School in Anaka Subcounty at UGX 65,000,000/- was certified by the DEO,CDO and District Environment Officer on 16th June, 2023, while the payment date of the voucher was 29th June 2023.	
			c) Payment of a 1 block of 5 stances latrines at Lii Primary School in Koch Lii Sub-county at UGX 35,000,000/- was certified by the DEO, the DCDO and District Environment Officer 14th June 2023, while date of the voucher was 28th June 2023.	
3	Investment Performance: The LG has managed education projects as per guidelines	c) If the variations in the contract price are within +/-20% of the MoWT estimates score 2 or else score 0	The contract price variations for the sampled works projects were within +/-20% for the FY 2022/2023. For instance;	2
	Maximum 8 points on this performance measure		1. For the construction of seed secondary school at Got-Apwoyo at a contract sum of UGX 2,565,870,623 against Engineer's estimate of UGX 2,700,000,000. The contract price variation was calculated as 5.0%.	
			2. For construction of one block of 2-classrooms with office at Lamoki Primary School at a contract sum of UGX 98,208,100 against Engineer's estimate of UGX 100,000,000. The contract variation was calculated as 1.8%.	
			3. For construction of one block of 3-classrooms at Ongai Primary School at a contract price of UGX 68,403,127 against Engineer's estimate of 80,000,000. The contract variation was calculated as 14.5%.	
			Therefore, all contract price variations for the education projects implemented in FY 2022/2023 was within +/-20%.	

3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY</p> <ul style="list-style-type: none"> • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0 	<p>Nwoya LG had a Seed Secondary School at Got-Apwoyo which was a 18 months contract period that commenced on 30th January 2023. From the site visit checks. The physical targets set to be achieved during FY 2022/2023 were achieved like external walls were built of 200mm thick blocks and reinforced with columns at specified dimensions.</p> <p>All external walls were built of 200mm thick blocks and reinforced with columns at specified dimensions.</p> <p>For construction of one block of 2-classrooms with office at Lamoki Primary School and renovation of one block of 3-classrooms at Ongai Primary School, the works were complete.</p> <p>Therefore, overall, the works were between 80%-99%.</p>	1
4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 - 79% score: 1 • Below 70% score 0 	<p>The teacher's staff ceiling for Nwoya DLG was 467 per the IPFs from MoFPED. The actual staff in positions was 414.</p> <p>Therefore $414/467*100=89\%$.</p> <p>The 89% staffing level was above the MoES requirement.</p>	2
4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> • If above 70% and above score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 	<p>The LG had 44 UPE schools and 6 USE schools. According to the consolidated assets register, 50 schools in the LG had basic requirements and minimum standards set out in the DES guidelines especially classrooms, desks, and latrines.</p> <p>In FY 2021/2022 Nwoya DLG had 342 classrooms, 570 latrine stances, 7282 desks and 179 housing units for teachers, while in FY 2022/2023 379 classrooms, 628 latrine stances, 7436 desks and 198 housing units for teachers.</p> <p>To calibrate the school, $50/50 * 100 = 100\%$.</p>	3

Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>The LG reported accurately on teachers and where they were deployed, in the schools. The DEO's deployment list was compared with the lists at the visited schools (Anaka Central Primary School, Lamoki Primary School, and Anaka P.7 Primary School). The following were found:</p> <p>a) At Anaka Central Primary School the DEO list and that found at the school were similar in both number and names, that was of 14 teachers.</p> <p>b) At Lamoki Primary School, the list from the DEO's office had 8 teachers, while those on the ground were the same number and with similar names.</p> <p>c) Similarly, at Anaka P.7 Primary School both the DEO's list and that of the school had 19 teachers.</p> <p>The attendance books in the three schools visited confirmed the correctness of the DEO's deployment list.</p>	2
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5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>The consolidated asset register for the LG education department for FY 2022/23 that accurately reported on infrastructure in all registered schools. This information had some inaccuracies on verification by the assessor when we visited the sampled three schools. For example:</p> <p>a) Anaka Central Primary School was reported in the assets register to have 8 vs 8 classrooms, 12 vs 12 latrine stances, 122 vs 122 desks, and 8 vs 8 units of staff houses.</p> <p>b) At Lamoki Primary School the DEO's asset register was not in harmony with the information on the ground as they both indicated 13 vs 13 classrooms, 12 vs 12 latrines, 87 vs 102 desks, and 4 units of staff houses.</p> <p>c) At Anaka P.7 Primary School there were 26 vs 26 classrooms, 28 vs 44 latrines, 356 vs 345 desks, and 21 vs 6 units of staff houses.</p>	0
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School compliance and performance improvement:

Maximum 12 points on this performance measure

a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:

- If 100% school submission to LG, score: 4
- Between 80 – 99% score: 2
- Below 80% score 0

Supporting documents were availed at the time of assessment to show that the LG ensured that all the 44 registered primary schools complied with MoES budgeting and reporting guidelines and that they submitted reports for the calendar year 2022 (signed by the head teacher and chairperson of the SMC) to the DEO by January 30.

The assessor sampled 3 of them to check the details of school performance, cash flow, annual budget, and asset register, as follows:

a) Olwiyo Primary School submitted on 5th December 2022. The Chairperson SMC is Oryem Franco

b) Lamoki Primary School submitted on 8th December 2022. The Chairperson SMC is Olobo Santo.

c) Anaka P.7 Primary School submitted on 5th January 2024. The Chairperson SMC is Kitena Mathew Stephen.

Of the sampled schools, they all conformed to the recommended aspects (i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register).

Percentage: $3/3 * 100 = 100\%$.

School compliance and performance improvement:

Maximum 12 points on this performance measure

b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:

- If 50% score: 4
- Between 30– 49% score: 2
- Below 30% score 0

At the LG level the inspection reports during the previous year indicated the following:

Term 1, 2023: Report dated 12th March 2023. 40/44 were supported to prepare and implement SIPs in line with inspection recommendations page 2 of 14 of the inspection report.

Term 2, 2023: Report dated 6th June 2023. 44/44 were supported to prepare and implement SIPs in line with inspection recommendations page 2 of 16 of the inspection report.

Term 3, 2022 : Report dated 20th December 2022. 41/44 were supported to prepare and implement SIPs in line with inspection recommendations page 2 of 14 of the inspection report.

At school level, supporting documents were not availed at the time of assessment to show that they were supported to prepare and implement SIPs in line with inspection recommendations as per the inspection reports. However, they were aware of the expectations.

Hence percentage of schools sampled was $3/3 * 100 = 100\%$.

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 - 99% score 2 • Below 90% score 0 	<p>The LG collected and compiled EMIS return forms for all registered schools for FY 2022/23 as follows;</p> <p>44 UPE schools with a total enrolment of 35,510 pupils while 6 USE schools with enrolment of 2,847.</p> <p>To calculate compliance; $44/44*100=100\%$</p> <p>It was submitted on 28th November 2022 and received by the office of the Commissioner Education Planning on 28th November 2022.</p>	4
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Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>The LG budgeted for recruitment of primary schools in the FY 2023/2024 a total of 44 schools at UGX. 3,445,331,000/= according to the Approved Budget Estimates for FY 2023/2024 page 45 of 74.</p>	4
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7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p> <p>Score 3 else score: 0</p>	<p>The LG had deployed teachers as per sector guidelines in the current FY 2023-2024. The LG's deployment adhered to the guidelines of deploying a teacher per class according to staff lists sampled, teachers were deployed as follows;</p> <ol style="list-style-type: none"> 1. Anaka Central Primary School had 14 teachers and a headteacher. Teachers as indicated in the staff list were deployed e.g. Topiny Boniface, Opio Moses Mike, and Amone Robert Mugambe. 2. Lamoki Primary School had 8 teachers and a headteacher. Teachers as indicated in the staff list were deployed e.g. Wakorach Emmy, Nyeko Francis, and Onapa Denis. 3. Anaka P.7 Primary School had 19 teachers and a headteacher. Teachers as indicated in the staff list were deployed e.g. Kilama Charles, OpiO Lawrence and Onen John. 	3
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7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,</p> <p>score: 1 else, score: 0</p>	<p>At the district, the deployment list was displayed on the notice board of the department.</p> <p>Lists of deployment were displayed in headteachers' offices that were sampled and visited.</p> <p>i. Anaka Central Primary School had 14 teachers and a headteacher.</p> <p>ii. Lamoki Primary School had 8 teachers and a headteacher</p> <p>iii. Anaka P.7 Primary School had 19 teachers and a headteacher.</p> <p>The details displayed included; name, date of birth, qualifications, and title among others.</p>	1
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copt to DEO/MEO</p> <p>Score: 2 or else, score: 0</p>	<p>There was evidence produced to show that all Primary School Head Teachers were appraised and reports submitted by SAS for the previous Calendar year.</p> <p>A sample of 10 Primary Schools Head Teacher was taken and all of them had been appraised.</p> <ol style="list-style-type: none"> 1. Arop Robert, Head Teacher of Amuka Primary school was appraised on 20th December 2022. 2. Patel David Hadley, Head Teacher of Nwoya Primary School was appraised on 20th December 2022. 3. Akidi Irene Tekwo, Head Teacher of Gotngur Primary School was appraised on 20th December 2022. 4. Acar Josephine, Head Teacher of Purongo Primary School was appraised on 20th December 2022. 5. Ocaya James Donas, Head Teacher of Koch Laminoto Primary School was appraised on 20th December 2022. 6. Okullu Grace Jennie, Head Teacher of Coorom Primary School appraised on 20th December 2022. 7. Tokwera Daglas, Head Teacher of Koch Goma Primary School was appraised on 20th December 2022. 8. Odong George, Head Teacher of Goro Primary School was appraised on 20th December 2022. 9. Odoki Magret, Head Teacher of Anaka Primary School was appraised on 20th December 2022. 10. Amono Josephine, Head Teacher of Purongo Hill Primary School was appraised on 20th December 2022. 	2

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM</p>	<p>The LG had 6 Secondary Schools and there was no evidence to show that secondary Schools Head Teachers were appraised by Chair BoG / DCAO for the previous calendar year. Since the files were not availed for assessment.</p>	0
	<p>Maximum 8 points on this performance measure</p>	<p>Score: 2 or else, score: 0</p>		
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p>	<p>The LG did appraise all staff in Education department as follows;</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>score: 2. Else, score: 0</p>	<p>1. Kidega Phonic Onekalit Senior Inspector was appraised on 30th June 2023. 2. Achola Harriet Inspector of Schools was appraised on 30th June 2023. 3. Acayo Agnes, Education Officer Special Needs was newly recruited on 2nd May 2023 under DSC minute: NDSC/5/2023;dd;28/4/2023(PP.24.</p>	
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p>	<p>The LG education department prepared a training work plan for previous FY 2022/2023 dated 11th July 2022.</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>score: 2 Else, score: 0</p>	<p>The plan aimed and focused on education department only at the Higher Local Government and the Headteachers. The following areas included: Training of Headteachers, deputies on financial management, Training of SMC on roles and responsibilities, Training headteachers in Budgeting and planning, etc.</p>	

Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p>	<p>The Nwoya DLG confirmed in writing the list of schools, their enrolment and budget allocation in the Programme Budgeting System for the previous FY 2022/2023. The letter was written on 28th November 2022 and received on 29th November 2022.</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score:2 or else, score: 0</p>	<p>It indicated that 44 UPE schools with a total enrolment of 30,469 pupils and 6 USE school with an enrolment of 2867</p> <p>To calculate compliance; $50/50 \times 100 = 100\%$.</p>	
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p>	<p>The LG Education department made allocations to inspection and monitoring functions during the previous FY 2022-2023 of UGX 12,800,000/= as per sector guidelines page 41 of 62 Therefore, the LG complied with the sector guidelines whence a score of 100%.</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score:2 else, score: 0</p>		
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p>	<p>From the information provided by MoFPED and the warrant reports provided by the district, Cash limits of the first quarter of the current FY were received on 27th July 2023 and warranting was done on 28th July 2023, Cash limits of the fourth quarter of the previous FY were received on 19th April 2023 and warranting was done on 19th April 2023, Cash limits of the third quarter of the previous FY were received on 6th January 2023 and warranting was done on 6th January 2023. All the warrants were conducted within the timeframes since all were warranted within 5 days after the receipt of releases.</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score: 2 else score: 0</p>		
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p>	<p>Supporting documents were not availed at the time of assessment to show evidence that the LG invoiced and the DEO communicated, publicized capitation releases to schools with three working days of release from MoFPED.</p>	0
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score: 2 else, score: 0</p>		

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <ul style="list-style-type: none"> • If 100% compliance, score: 2, else score: 0 	<p>The department prepared an inspection plan dated 27th June 2023 and meetings for terms 1, 2 (2023) and 3 of 2022 as follows:</p> <p>a) Term 1 dated 12th March 2023. Agenda Item number 3: School allocation. Min 3/12/03/2023.</p> <p>b) Term 2 dated 6th June 2023. Agenda Item number 4: Reading previous minutes. Min.4/06/2023.</p> <p>c) Term 3 for a meeting held on 12th September 2022: Agenda Item number 4: Allocation of schools. Min 4/12/09/2022.</p>	2
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10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> • If 100% score: 2 • Between 80 - 99% score 1 • Below 80%: score 0 	<p>UPE schools were said to have been inspected and monitored, and findings compiled in the DEO's monitoring report. However, the following review per term found the following:</p> <p>In the term I, 2023 dated 12th April 2023, indicated that 44 UPE schools were inspected and monitored. Hence $40/44 * 100 = 91\%$.</p> <p>In the term II, 2023 dated 6th June 2023, indicated that 44 UPE schools were inspected and monitored. Hence $44/44 * 100 = 100\%$.</p> <p>In the term III, 2022 dated 20th December 2022, indicated that 41 UPE schools were inspected and monitored. Hence $41/44 * 100 = 93\%$.</p> <p>Therefore the average percentage of compliance was $(91\% + 100\% + 93\%) / 3 = 95\%$.</p>	1
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Routine oversight and monitoring

Maximum 10 points on this performance measure

c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,

Score: 2 or else, score: 0

There was evidence that inspection reports had been discussed and used to recommend corrective actions and that those actions had subsequently been followed up during the previous FY. For instance, there were:

Departmental meetings are held as follows:

Term 1 2023 was held on 5th April 2023. Agenda Item number 4: Reports from Units. Min: 4/5/2023(c): Inspection.

Term 2 2023 was held on 14th June 2023. Agenda Item number 4: Discussing the tool. Min: 4/6/2023.

Agenda Item number 5: Building report writing formats. Min: 5/6/2023.

Term 3, 2022, was held on 5th September 2022. Agenda Item number 4(c). Min: 4/9/2022.

Information from the sample schools and visitors' books affirmed the same, especially by the staff from DEO's office for only Anaka P.7 Primary School inspection reports by different inspectors were seen such as: 7th February 2023, 31st May 2023 and 12th October 2022 inspection done by Ocitti Odong Charles.

However, at both Anaka Central Primary School and Lamoki Primary School no supporting documents were availed at the time of verification to show inspection by different inspectors.

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>Inspection reports were compiled and submitted to DES as follows;</p> <p>In the term I, 2023 inspection and monitoring results report dated 6th September 2023.</p> <p>In the term II, 2023 inspection and monitoring results report dated 6th September 2023.</p> <p>In the term III, 2022 inspection and monitoring results report dated 6th September 2023.</p> <p>At school level the head teachers receive these reports through the monthly Head teachers coordination meeting.</p> <p>For example at:</p> <p>Anaka Central Primary School inspection reports were not availed at the time of verification.</p> <p>Anaka P7 Primary School inspection reports by different inspectors were seen such as 15th February 2023, 13th July 2023 and 30th November 2022.</p> <p>Lamoki Primary School inspection reports were seen for 15th February 2023, and 13th July 2023. Supporting documents for term 3 of 2022 were not availed.</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>The social services committee held a meeting on 8th June 2023 at the district headquarters/. Under minute no. MIN/11/SOC/NWOYA/22-23 (Minute of the the review of the quarterly performance reports). In this meeting, the DEO noted that the headteacher of Anaka Central Primary School should ensure that all teachers must sign in attendance books before and after teachers attend class. The DEO also noted that Lamoki Primary School has improved in management of teachers.</p>	2

11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,</p> <p><i>score: 2 or else score: 0</i></p>	<p>The Education department carried out mobilization to attract learners and retain them. The LG uses radio talk shows, Go-back school campaigns, meeting with school management committees, School and Sub county level meetings, community barazas, etc.</p> <p>a) A Report on stakeholder's engagement meetings with parents and community leaders in primary schools with Nwoya District from 5th March from 19th March 2023 dated 25th March 2023.</p> <p>b) A Report on stakeholder's engagement meetings with parents of different primary schools in Nwoya District from 5th March from 19th March 2023 dated 25th March 2023. The engagement was led by the district speaker, secretary for Health, Secretary for education, chairperson social services and education team from 4th January to 8th February 2022.</p>
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Investment Management

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i></p>	<p style="text-align: right;">0</p> <p>There was no evidence that the LG has an assets register setting out facilities and equipment in schools for the previous year 2022. However, a visit to the schools indicated that the register was not up to date. For example:</p> <p>a) Anaka Central Primary School was reported in the assets register to have 8 classrooms, 12 latrines stances, 122 desks, and 8 units of staff houses.</p> <p>b) At Lamoki Primary School the DEO's asset register was in harmony with the information on the ground as they both indicated 13 classrooms, 12 latrines, 87 desks, and 4 units of staff houses.</p> <p>c) At Anaka P.7 Primary School there were 26 classrooms, 28 latrines, 356 desks, and 21 units of staff houses.</p>
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12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i></p>	<p>The District conducted a desk appraisal for all projects in the budget of FY 2022/2023 and a report dated 20th July, 2022 signed by the planner was produced and forwarded to CAO. It was reported that the projects were derived from the development plan and were eligible expenditure in line with Education sector Planning and Budgeting Guidelines, 2022. Examples included:</p> <ol style="list-style-type: none"> 1. Fencing and laying compound bricks at Education and Sport department compound Construction of 1 block of 2 classrooms 2. Renovation of 1 block of 2-classroom Agung Primary School in Anaka Subcounty 3. Construction of a 1 block of 5 stances latrines at Lii Primary School in Koch Lii Sub-county <p>The projects were eligible according to page 21 and 22 of the Education sector Planning and Budgeting guidelines and were derived from the DDP III on page 237</p>	1
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, <i>score 1 else score: 0</i></p>	<p>The District conducted a field appraisal of all the projects for FY 2022/2023. A report dated 27th July, 2022 was presented and it highlighted projects like;</p> <ol style="list-style-type: none"> 1. Fencing and laying compound bricks at Education and Sport department compound Construction of 1 block of 2 classrooms 2. Renovation of 1 block of 2-classroom Agung Primary School in Anaka Subcounty 3. Construction of a 1 block of 5 stances latrines at Lii Primary School in Koch Lii Sub-county <p>The outcome confirmed that all the projects were linked to the 5-year development plan. In the forms filled during the exercise , the officers pronounced themselves by ticking right the technical feasibility and environmental and social acceptability. Finally, TPC recommended that projects could be funded</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p>	<p>The procurement plan for FY 2022/2023 was passed by council under council Min10/Fem/05/2022 on 31st May 2022. The LG education department budgeted and ensured that planned sector infrastructure projects were incorporated dated 11th November 2022. Some of the projects are;</p> <ul style="list-style-type: none"> -Renovation of 1 block of 3 classrooms at Ongai Primary School on page 12 of 17 at a budget estimate of UGX 80,000,000 of the procurement plan, -Construction of 1 block of 2 classrooms with office at Lamoki Primary School on page 11 of 17 at a budget estimate of UGX 100,000,000 of the procurement plan, -Construction of Got-Apwoyo Seed Secondary School on page 3 of 17 at a budget estimate UGX 2,700,000,000 of the procurement plan.. 	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>There was evidence that the school infrastructure was approved by the contracts committee and cleared by the Solicitor General for projects above threshold;</p> <ul style="list-style-type: none"> • For construction of a Seed Secondary School at Got-Apwoyo, with procurement reference number; MoES/UGIFT/Wrks/21-22/00001-Lot 1, was approved by the contract committee on 17th February 2022 under Min No. 4/136/NCC/22-23 and awarded to Mogen Enterprises Limited at a contract price of UGX 2,565,870,623. Solicitor General's clearance was dated 9th January 2023. Agreement between the two parties was signed on 16th January 2023. • For construction of one block of 2-classrooms with office at Lamoki Primary School, with procurement reference number: Nwoy912/Wrks/22-23/00011, was approved by contracts committee on 31st October 20r22 under Min. No. 4/146/NCC/22-23 and awarded to Awoto Foundation (U) Limited at a contract price of UGX 98,208,100. Agreement between the two parties was signed on 28th November 2023. -For renovation of one block of 3-classrooms at Ongai Primary School, with procurement reference number: Nwoy912/Wrks/22-23/00016, was approved by contracts committee on 31st October 2022 under Min. No. 4/146/NCC/22-23 and awarded to Yonason Millers Limited at a contract price of UGX 68,403,127. Agreement between the two parties was signed on 28th November 2022. 	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>The LG established a PIT for school construction projects constructed within FY 2022/2023 as indicated below;</p> <p>A letter dated 9th October 2022 in which the CAO appointed the following as members of the project implementation team for school construction project of Nyakaliso seed secondary school, the construction of 3-classroom block with furniture at Arindruwe Primary School and construction of 2 units of 5-stance VIP Latrine at Kela and Woliwo Primary School;</p> <ul style="list-style-type: none"> • District Education Officer - Oryema Phillip, • District Engineer - Okumu Peter Anywar, • Senior Environment Officer - Acca Evelyn, • DCDO - Akena Geoffrey, • Senior Labour Officer - Abalo Joan, • Clerk of works - Okech Denis. 	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p>	<p>Nwoya LG had a Seed Secondary School at Got-Apwoyo which is a 18 months contract period that commenced on 30th January 2023. From the site visit checks,</p> <p>Some buildings were at ground floor slab and yet others not at that level.</p> <p>The ground floor slab was reinforced with an underground beam as per the design,</p> <p>For the super structure that had started, the walls had not gone beyond 1200mm high.</p> <p>All external walls were built of 200mm thick blocks and reinforced with columns at specified dimensions as per the design.</p> <p>For construction of one block of 2-classrooms with office at Lamoki Primary School and renovation of one block of 3-classrooms at Ongai Primary School, the works were complete and constructed as per the design.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY</p> <p><i>score: 1, else score: 0</i></p>	<p>There was no evidence at the time of assessment to show that monthly site meetings were conducted for all sector infra structure projects .</p>	0

13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted <i>score: 1, else score: 0</i>	There was no evidence at the time of assessment to show that there was at least 1 monthly joint technical supervision involving engineer's, environment officers CDOs.	0
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i>	The education infrastructure projects had been properly executed and payments to contractors were made within the specified time frames as per the contractual obligations. A comprehensive review of the project payment files and contracts revealed the following; 1. Rehabilitation of school facilities in Aparanya Primary School with procurement reference number: NWOY606/NUDEIL/Wrks 22-23/FY/00004 by Oyam Intergrated Company Limited, where the contractor on 7th November 2022 requested for payment of UGX 62,149,989, certification by DE, EO, and CDO was done and on 8th November 2022 payment of UGX 62,149,989 via EFT voucher No.3144327 was done on 11th January 2023. 2. Rehabilitation of school facilities in Amar Primary School with procurement reference number: NWOY606/NUDEIL/Wrks 22-23/FY/00003 by Oyam Intergrated Company Limited, where the contractor on 8th May 2023 requested for payment of UGX 25,353,698 certification by DE, EO, and CDO was done and on 22nd May 2023 payment of UGX 25,353,698 via EFT voucher No.6438794 was done on 28th June 2023. Therefore, payment requests for sector infrastructure projects were initiated and executed as per contract and implementation results.	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, score: 1, else, score: 0</p>	<p>There was evidence that the LG Education department timely submitted its sector procurement plan of FY 2022/2023 to the procurement unit for incorporation into DLG procurement plan on 24th April 2022 before April 30th which is in accordance with the PPDA requirements. The projects submitted include;</p> <ol style="list-style-type: none"> 1. Construction of 1 block of 2-classrooms with office at Lamoki Primary School, 2. Construction of 1 block of 2-units of staff house at St. Kizito Alero Cuku Primary School, 3. Renovation of 1 block of 3-classrooms at Onagia Primary School 	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>Based on the evidence provided, all school infrastructure contracts (seed secondary schools) in FY 2022/2023 had a complete procurement file with all records as indicated below;</p> <ol style="list-style-type: none"> 1. Construction of Seed Secondary School at Got-Apwoyo, with procurement reference number: MoES/UGIFT/Wrks/21-22/00001 Lot 1, the project evaluation report dated 9th February 2022 which was approved by contracts committee on 17th February 2022 under min. No. 4/136/NCC/22-23 and the contract was awarded to Mogen Enterprises Limited at a contract price of UGX 2,565,870,623. Solicitor General's clearance was dated 9th January 2023 and agreement between the parties was signed on 16th January 2023. 2. Construction of one block of 2-classrooms with office at Lamoki Primary School, with procurement reference number: Nwoy912/Wrks/22-23/FY/00011, the project evaluation report dated 11th October 2022 which was approved by contracts committee on 31st October 2022 under Min.No. 4/146/NCC/22-23 and the contract was awarded to Awoto Foundation Limited at a contract price of UGX 68,208,100. Agreement between the parties was signed on 28th November 2023. 3. Renovation of one block of 3-classrooms at Ongai Primary School, with procurement reference number: Nwoy912/Wrks/22-23/00016, the project evaluation report dated 12th October 2022 which was approved by the contracts committee on 31st October 2022 under Min. No. 4/146/NCC/22-23 and the contract was awarded to Yonason Millers Limited at a contract price of UGX 68,403,127. Agreement between the two parties was on 28th November 2022. 	1

Environment and Social Safeguards

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0</p>	<p>A complaint was logged on the 29th August, 2023 by Anena Susan Molly, Apoko Lilly and Achiro Sunday Palmah. Unfair dismissal, termination of contracts and, non-remittance of NSSF by Te-kworo foundation. Several GRC meetings were held to address the issue. The matter was first addressed internally on 18th of July, 2023 and the two parties failed to agree. 1st September, 2023 the District GRC sent a notice inviting both parties for a meeting which was not honored. On 27th of October 2023 a notification was sent again inviting both parties for a meeting on 8th November which was honored. One of the resolutions was the Te-kworo foundation to write and end of service letter for the teachers and the dismissal letter to be reverted, write good recommendation letters for the teachers and also pay the teachers and on 8th December 2023, Te-Kworo foundation complied to the resolutions.</p>	3
15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>There was evidence that LG disseminated the Education guidelines for protection of Environment and Social safeguards for Education Institutions. Subject: Environment Guidelines for all schools in Nwoya District dated 5th July 2022.</p>	3

16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i>	<p>In an agreement document dated 26th May, 2023 between Nwoya DLG and Norah Foundation (U) Ltd for the Construction of 1 block of 5-stances drainable latrine with Urinal at Kamguru Primary School in Lungulu Subcounty; safety health and welfare of work people was costed at 500,000 Ugshs, protection of works and persons costed at 500,000 Ugshs and environmental mitigation costed at 550,000 Ugshs in the BOQ.</p> <p>In an agreement document dated 28th November, 2022 between Nwoya DLG and Letsom Investments Limited for the Construction of 1 block of 2 classrooms with office at St. Peters Bwobomanam Primary School in Lungulu Subcounty; safety health and welfare of work people was costed at 1,000,000 Ugshs, protection of works and persons costed at 400,000 Ugshs and environmental mitigation was not costed in the BOQ.</p> <p>In an agreement document dated 28h November, 2022 between Nwoya DLG and Mesi Construction Co. Ltd for the Construction of one block of 2 classroom with office at Agung Primary School in Anaka Subcounty; safety health and welfare of work people was costed at 700,000 Ugshs, protection of works and persons costed at 300,000 Ugshs and environmental mitigation costed at 1,650,000 Ugshs in the BOQ.</p>	2
16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i>	The documents showing proof of land ownership for the school projects could not be accessed and the responsible officers reported that the head teachers and school heads of the different schools were the project were carried out had broken off for end of year hollidays.	0
16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i>	Report on monitoring of capital works in various schools under school facilitation grant dated 31st May, 2023 prepared by the Senior Environment Inspector; the schools that were inspected included; Construction of 1 block of 2 classrooms with an office space at Lamoki Primary school, construction of 1 block of 2 classrooms with an office space at St. Peter Bwobomanam Primary school, renovation of 1 block of 3 classrooms at Ongai Primary School, Construction of 1 block of 5 stances drainable latrine in Koch Lii Primary school, Construction of 1 block of 5 stances drainable latrine at Alelelele Primary School, renovation of 1 block of 2 classrooms at Agung Primary school, completion of 1 block of 2 classrooms at Olwiyo Primary School and, Construction of 1 block of 5 stances drainable latrine at St. Luke Te-Olam Primary School. Some of the recommendations made included; meeting contractors especially those whose sites were slowly progressing and certification of work did to ensure partial payment.	2

16

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

Score: 1, else score:0

Construction of one block of 2 classrooms block with office at Lamoki Primary School in Anaka Subcounty. The Environment and Social mitigation compliance certification form endorsed by the DCDO and Environment officer dated 26th May, 2023

Construction of 1 block of 5 stance drainable latrine at Kamguru Primary School in Lii Subcounty. The Environment and Social mitigation compliance certification form endorsed by the DCDO and Environment officer dated 16th May,2023.

Construction of one block of 2 classroom with office at Agung Primary School in Anaka Subcounty. The Environment and Social mitigation compliance certification form endorsed by the DCDO and Environment officer dated 12th May, 2023

1

**Health
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>Using the HMIS 107 annual reports, data on deliveries for FY 2021/22 and 2022/23 were extracted and used to calculate the percentage increase in deliveries for three sampled health facilities of Purongo HCIII, Alero HCIII and Koch Goma HCIII as shown below;</p> <p>Purongo HCIII:</p> <p>In FY 2021/22, total deliveries was 692.</p> <p>In FY 2022/23, total deliveries was 500.</p> <p>There was a decrease of $500-692 = -192$. The percentage decrease was therefore $192/692 \times 100 = 27.7\%$</p> <p>Alero HCIII:</p> <p>In FY 2021/22, total deliveries was 888.</p> <p>In FY 2022/23, total deliveries was 741.</p> <p>There was a decrease of $741-888 = -147$. The percentage decrease was therefore, $147/888 \times 100 = 16.6\%$.</p> <p>Koch Goma HCIII:</p> <p>In FY 2021/22, total deliveries was 617.</p> <p>In FY 2022/23, total deliveries was 686.</p> <p>There was an increase of $686-617 = 69$. The percentage increase was therefore $69/617 \times 100 = 11.2\%$.</p> <p>Average percentage decrease was $-27.7\% + -16.6\% + 11.2\% = -33.1/3 = 11\%$</p> <p>Nwoya district had a percentage decrease of 11% in total annual deliveries in the FY 2022/23.</p>	0

2	N23_Service Delivery Performance: Average score in the Health LLG performance assessment.	a. If the average score in Health for LLG performance assessment is:	The average score in Health for LLG performance assessment was 89% thus above 70%.	2
	Maximum 4 points on this performance measure	<ul style="list-style-type: none"> • 70% and above, score 2 • 50% - 69%, score 1 • Below 50%, score 0 		
2	N23_Service Delivery Performance: Average score in the Health LLG performance assessment.	b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:	The indicator was dropped from the LGPA 2023. This was agreed upon during the OPM training conducted from 23rd -24th October 2023 at Imperial Royale Hotel. RBF was reportedly not implemented in FY 2022/23.	0
	Maximum 4 points on this performance measure	<ul style="list-style-type: none"> • 75% and above; score 2 • 65 - 74%; score 1 • Below 65; score 0 		
3	Investment performance: The LG has managed health projects as per guidelines.	a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.	According to page 24 of the LG Approved Budget Estimates 2022/23 under programm 12, The LG budgeted for construction of new facillity at Got-Apwoyo at UGX 900,966,970, Construction of one block of 2-unit staff house at Lutyango HC II at UGX 140,310,413, Construction of a twin staff house at Todora HC III at UGX 165,072,509. All the investments were eligible for expenditure under Health Sub Programme Grant, Budget and Implementation Guidelines for Local Governments for FY 2022/23 on page 18 Table 13: Eligible activities for the Health Development Grant that provides for 088281 - Staff houses construction and rehabilitation and 088283 - OPD and other ward construction and rehabilitation.	2
	Maximum 8 points on this performance measure			
3	Investment performance: The LG has managed health projects as per guidelines.	b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0	Supportive documentation was not provided, it was indicated that the information was taken for external audit by the office of the auditor general.	0
	Maximum 8 points on this performance measure			

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0</p>	<p>Sampled health infrastructure works projects were within +/-20% of the MoWT. For instance;</p> <ol style="list-style-type: none"> 1. For the construction of new facility at Got-Apwoyo at a contract price of UGX 900,966,970 against Engineer's estimate of UGX 900,000,000. The contract price variation was calculated as -0.1%. 2. For construction of one block of 2-unit staff house at Lutyango HC II at a contract price of UGX 140,310,413 against Engineer's estimate of UGX 150,000,000. The contract price variation was calculated as 6.5%. 3. For construction of a twin staff house at Todora HC III at a contract price of UGX 165,072,509 against Engineer's estimate of UGX 170,000,000. The contract price variation was calculated as 2.9%. <p>Therefore, all contract price variations of sampled health projects implemented in FY 2022/2023 were within +/-20%.</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY</p> <ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 	<p>From the site visit checks of new facility at Got-Apwoyo constructed by Bertllanz Engineering Limited.</p> <p>The works as checked had the following;</p> <ul style="list-style-type: none"> -Pompey vent openings of sizes 2000x1500mm and 900x1500mm, -Doors of sizes 1500x2100mm, 1500x2400mm for major passages and 900x2400mm for internal room doors, -Windows of sizes 1500x1400mm(with 1500x500mm vents at the bottom) and 900x750mm, -The roof was of gauge 26 maroon pre-painted iron sheets on steel trusses, <p>The works were estimated to be between 80%.</p>	0
4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	<p>The LG recruited staff for all H/C III's as per staffing structure:</p> <ol style="list-style-type: none"> 1. Koch Lii H/C III 12/19 = 63% 2. Purongo H/C III 16/19 = 84 % 3. Koch Goma H/C III 17/19 = 89% 4. Alero H/C III 16/19 = 84% 5. Todora H/C III 12/19 = 63 % <p>73/95 X 100 = 76%</p>	1

Achievement of Standards: The LG has met health staffing and infrastructure facility standards

Maximum 4 points on this performance measure

b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.

- If 100 % score 2 or else score 0

From the site visit checks of new facility at Got-Apwoyo constructed by Bertllanz Engineering Limited.

The works as checked had the following;

-The external wall thickness was 200mm and internal block wall thickness was 150mm,

-Pompey vent openings of sizes 2000x1500mm and 900x1500mm,

-Doors of sizes 1500x2100mm, 1500x2400mm for major public passages and 900x2400mm for internal room doors,

-Windows of sizes 1500x1400mm(with 1500x500mm vents at the bottom) and 900x750mm,

-The roof was of gauge 26 maroon pre-painted iron sheets on steel trusses,

The works were performed as per the MoH designs.

Performance Reporting and Performance Improvement

Accuracy of Reported Information: The LG maintains and reports accurate information

Maximum 4 points on this performance measure

a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0

From the Human Resource office (HRO), the assessment team obtained the staff list for FY 2023/24. Three health facilities of Purongo HCIII, Alero HCIII and Koch Goma HCIII were sampled and their facility staff lists for FY 2023/24 were compared with the list from the Human Resource Office.

Purongo HCIII had 13 health workers from the HRO staff list. The facility staff list also had 13 health workers.

Alero HCIII had 16 health workers from the HRO staff list. The facility staff list also had 16 health workers.

Koch Goma HCIII had 16 health workers from the HRO staff list. The facility staff list also had 16 health workers.

There was evidence that information on positions of health workers filled was accurate.

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>From DHO, the list of constructed and renovated health facilities for the FY 2022/23 was obtained. The document was dated 21st December 2023 and signed by Dr. Arike the DHO. The following constructed and renovated structures were listed;</p> <p>1 Construction of Staff house at Latoro HCII. This was reported as completed and in use.</p> <p>2 Construction of Staff house at Langol HCII. This was reported as completed and in use.</p> <p>3 Renovation of OPD block at Aparanga HCII. This was reported as completed and is functional.</p> <p>On reviewing the Quarter four (Annual) report in the PBS for FY 2022/23, there was no evidence that information on the above listed constructed and renovated health facilities was reported in the PBS.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>From the DHO copies of health facility Annual work plans and budgets were obtained and submissions of three health facilities of Purongo HCIII, Alero HCIII and Koch Goma HCIII were sampled to check whether they were submitted by 31st March 2022 and whether they conformed to the prescribed format in the Local Government Planning Guidelines for the health Sector.</p> <p>Purongo HCIII: The Annual Work plan and Budget was submitted on 28th June 2022 by Lony Jacob, the in charge. It conformed to the prescribed format.</p> <p>Alero HCIII: The Annual Work plan and Budget was submitted on 30th June 2022 by Ojok David OKeny, the in charge. It conformed to the prescribed format.</p> <p>Koch Goma HCIII: The Annual Work plan and Budget was submitted on 29th June 2022. By Okot Patrick Denis, the in charge. It conformed to the prescribed format.</p> <p>Though the health facility Annual work plans and Budgets for the three sampled health facilities conformed to the prescribed format, they were submitted late (Beyond 31st March 2022).</p>	0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>From the DHO, the assessment team did not find evidence of submission of health facility Annual budget performance reports for FY 2022/23.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>There was no evidence of developing and therefore implementing the facility improvement plans that incorporated performance issues for FY 2023/2024 to the DHO.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,</p> <ul style="list-style-type: none"> • score 2 or else score 0 	<p>From the DHO, the assessment team checked the record of submissions of monthly and quarterly HMIS reports to establish timeliness of submissions. Three health facilities of Purongo HCIII, Alero HCIII and Koch Goma HCIII were sampled and all the 12 monthly and 4 quarterly submissions were reviewed.</p> <p>Submission of monthly reports (HMIS 105). Purongo HCIII submitted all 12 reports timely. July 2022- on 4th August 2022. August 2022- on 6th September 2022. September 2022- on 6th October 2022. October 2022- on 5th November 2022. November 2022- on 6th December 2022. December 2022- on 4th January 2023. January 2023- on 5th February 2023. February 2023- on 4th March 2023.</p>	2

March 2023- on 6th April 2023.

April 2023- on 6th May 2023.

May 2023- on 3rd June 2023.

June 2023- on 5th July 2023.

Alero HCIII submitted all 12 reports timely.

July 2022- on 5th August 2022.

August 2022- on 4th September 2022.

September 2022- on 5th October 2022.

October 2022- on 4th November 2022.

November 2022- on 7th December 2022.

December 2022- on 4th January 2023.

January 2023- on 4th February 2023.

February 2023- on 4th March 2023.

March 2023- on 5th April 2023.

April 2023- on 7th May 2023.

May 2023- on 7th June 2023.

June 2023- on 7th July 2023.

Koch Goma HCIII submitted all 12 reports timely.

July 2022- on 6th August 2022.

August 2022- on 5th September 2022.

September 2022- on 7th October 2022.

October 2022- on 6th November 2022.

November 2022- on 6th December 2022.

December 2022- on 6th January 2023.

January 2023- on 6th February 2023.

February 2023- on 5th March 2023.

March 2023- on 5th April 2023.

April 2023- on 6th May 2023.

May 2023- on 6th June 2023.

June 2023- on 6th July 2023.

Submission of quarterly reports (HMIS 106A).

Purongo HCIII submitted all the 4 reports timely.

Quarter one report was submitted on 6th October 2022.

Quarter two report was submitted on 6th January 2023.

Quarter three report was submitted on 7th April 2023.

Quarter four report was submitted on 7th July 2023.

Alero HCIII submitted all the 4 reports timely.

Quarter one report was submitted on 7th October 2022.

Quarter two report was submitted on 7th January 2023.

Quarter three report was submitted on 6th April 2023.

Quarter four report was submitted on 7th July 2023.

Koch Goma HCIII submitted all the 4 reports timely.

Quarter one report was submitted on 5th October 2022.

Quarter two report was submitted on 5th January 2023.

Quarter three report was submitted on 5th April 2023.

Quarter four report was

Submitted on 5th July 2023

There was evidence that the sampled health facilities of Purongo HCIII, Alero HCIII and Koch Goma HCIII submitted the 12 monthly (HMIS 105) reports and the 4 quarterly (HMIS 106A) reports timely as required.

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0

Note: Municipalities submit to districts

The indicator was dropped from the LGPA 2023. This was agreed upon during the OPM training conducted from 23rd -24th October 2023 at Imperial Royale Hotel. RBF was reportedly not implemented in FY 2022/23.

0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>The indicator was dropped from the LGPA 2023. This was agreed upon during the OPM training conducted from 23rd -24th October 2023 at Imperial Royale Hotel. RBF was reportedly not implemented in FY 2022/23.</p>	0
	<p>Maximum 14 points on this performance measure</p>			
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>The LG didn't timely compile and submit all quarterly (4) Budget Performance Reports. The local government submitted all the quarterly Budget performance reports of the Previous FY before August 31 of the current FY. From the PBS extraction details; Quarter one was submitted on 7th December 2022, Quarter two was submitted on 19th February 2023, Quarter three was submitted on 16th May 2023, and Quarter four was submitted on 28th August 2023.</p>	0
	<p>Maximum 14 points on this performance measure</p>			
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>h) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>There was no evidence that an approved Performance Improvement Plan (PIP) for weakest performing health facilities was developed by the Local Government.</p>	0
	<p>Maximum 14 points on this performance measure</p>			

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>There was no evidence of an implementation report on an approved Performance Improvement plan as no such plan was developed.</p>	0
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Human Resource Management and Development

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>There was evidence of the LG budgeting for the health workers as per the guidelines/in accordance with the staffing norms. Uganda shs 5,657,247,000 was budgeted for as wage for the 312 health workers in posts. This was found on page 30 of 74 of the Approved Budget FY 2023/24.</p>	2
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7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>The assessment team reviewed the health staff list for FY 2023/24 signed by Dr. Arike, DHO on 21st December 2023 to check whether the LG deployed the health workers as per guidelines (all health facilities to have at least 75% staff required). The findings are indicated below;</p> <p>Some health facilities did not have the 75% of the staff as required for example;</p> <p>Purongo HCIII had 13 out of the required 19 health workers. The percentage of posts filled was $13/19 \times 100 = 68.4\%$.</p> <p>Coorom HCII had 5 out of the required 9 health workers. The percentage of posts filled was $5/9 \times 100 = 55.6\%$.</p> <p>Not all health facilities in Nwoya District had the required 75% of staff.</p>	0
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Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0

From the DHO, the health workers deployment list was obtained and 3 health facilities of Purongo HC III, Alero HC III and Koch Goma HC III were visited on 22nd December 2023. At each of these health facility, the facility Attendance book was reviewed to determine that health workers whose names appear in the deployment list and the health facility list are working where they are deployed.

The following sampled health workers in the facility staff list and deployment list were found to have signed in the Attendance Book on 22nd December 2023;

Purongo HC III

Kibwota Alfred Askari.

Akullu Linda Enrolled nurse.

Arach Nancy Assistant Nursing Officer.

Atim Betty Enrolled Nurse

Atimango Brenda Enrolled midwife.

Alero HC III

Ayot Joyce Enrolled nurse.

Abodo Tarsis Enrolled midwife.

Opinia Winfred Health Information Assistant.

Agenorwoth Fillian Enrolled nurse

Kakanyero Pius Enrolled nurse.

Ajok Lucy Mercy Laboratory Technician.

Ojok David Okeny Senior Clinical Officer.

Koch Goma HC III

Rasi David Moni Health Assistant.

Aciro Nelda Nursing Assistant.

Lanyero Innocensia Enrolled midwife.

Toga Peter Enrolled nurse.

Odong James Health Information Assistant.

Ayamo Lily Grace Senior Clinical Officer.

Ocitti Bosco Laboratory Technician.

Akullu Poline Obol Laboratory Assistant.

Auma Juliet Enrolled midwife

There was evidence that the health workers whose names appear in the deployment list for the above sampled health facilities were working in those facilities.

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0

From DHO, the health workers deployment list for FY 2023/24 was obtained. Three health facilities of Purongo HCIII, Alero HCIII and Koch Goma HCIII were sampled and visited on 22nd December 2023 to check whether the list of health workers for FY 2023/24 was displayed on health facility notice boards.

Purongo HCIII: The staff list for FY 2023/24 signed by Lony Jacob, was displayed on the facility notice board.

Alero HCIII: The staff list for FY 2023/24 dated 22nd September 2023 and signed by Ojok David Okeny, was displayed on the facility notice board.

Koch Goma HCIII: The staff list for FY 2023/24 not dated but signed by Ayamo Lily Grace, was posted on the facility notice board.

There was evidence that lists of health workers for FY 2023/24 for the 3 sampled health facilities were displayed on facility notice boards.

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

a) Evidence that the DHO/MMOHs has:

i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0

The LG had Health Facilities Appraisal of all the Health Facility In-charges against the agreed performance plans were done and submitted to HRO during the previous FY was as below:

1. Ayamo Lilly Grace Senior medical Clinical Officer In Charge at Koch Goma H/C III was appraised on 30th June 2023.
2. Odiye Geoffery Medical Clinical Officer, In Charge at Paraa H/C II was appraised on 30th June 2023.
3. Okwera John Bosco Clinical Officer, In-charge at Todora H/C III was appraised on 30th June 2023.
4. Auma Christine, Enrolled Midwife, In-charge at Latoro H/C II was appraised on 30th June 2023.
5. Atim Eunice Enrolled Nurse, In-charge at Aparanga H/C II, was appraised on 30th June 2023.
6. Otto Charles, Clinical Officer, In-charge at Koch Lii. H/C III was appraised on 30th June 2023
7. Adot Wilfred Assistant Nursing Officer, In-charge at Panokrac H/C II was appraised on 30th October 2023.
8. Ojok David Okeny Senior Clinical Officer, In-charge at Alero H/C III and was appraised on 30th June 2023.
9. Oloya Geoffery Senior Clinical Officer, In-charge at Purongo H/C III was appraised on 30th June 2023.
10. Lakony Geoffrey Enrolled Nurse Officer, In-charge at Kibar H/C II was appraised on 30th June 2023.
11. Tabu Kenneth Guffu Enrolled Nurse, in-charge at Langol H/C II was appraised on 30th June 2023.

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0

The Health Facility In-charges did not conduct performance appraisal of health facility workers against the agreed performance plans during the previous FY.

8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	iii. Taken corrective actions based on the appraisal reports, score 2 or else 0	There were corrective actions taken based on appraisal reports for example, developing a capacity needs assessment report dated 10th August 2023 highlighting key gaps for performance improvement such as training in ART care, training in financial management of health workers all these were embedded in training plan for an orientation seminar in clinical and HIV management.	2
	Maximum 6 points on this performance measure			
8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	b) Evidence that the LG: i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0	The assessment team was not availed reports on training of health workers (Continuous Professional Development) conducted in FY 2022/23 and neither was the training plan for FY 2022/23 availed, at the time of assessment. Onek Newton, Senior Health Educator representing the DHO reported that training reports for FY 2022/2023 were kept by another Officer in the DHO office who could not be reached at the time of assessment.	0
	Maximum 6 points on this performance measure			
8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	ii. Documented training activities in the training/CPD database, score 1 or else score 0	From the DHO, there was no evidence of a training database for FY 2022/2023 to enable the assessment team establish that training activities were conducted and documented in the database.	0
	Maximum 6 points on this performance measure			

Management, Monitoring and Supervision of Services.

9	N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.	a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0	From the HRO (registry); the assessment team obtained a copy of the letter notifying the Ministry of Health of the list of health facilities (GOU and PNFP) accessing PHC Non-Wage grants in the FY 2023/2024. The letter was dated 21st October 2023 and signed by Hon. Nantume Jenipher Egunyu, Chief Administrative Officer Nwoya District. The names of the health facilities were not indicated in the letter. The letter only gave the number of General Hospital, HCIII and HCII in Nwoya district accessing PHC Non-Wage Grants in The FY 2023/24. The letter was submitted late (after 30th September 2023). The list/names of the health facilities was/were not indicated in the letter.	0
	Maximum 9 points on this performance measure			

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>According to the sector Annual work plan for FY 2022/2023, the total Budget for health department was Uganda Shillings UGX369,170,201</p> <p>The monitoring of health services was allocated Shillings UGX55,375,530</p> <p>Thus, the percentage allocation was</p> $\text{UGX55,375,530} / \text{UGX369,170,201} \times 100\% = 15\%$ <p>which was the 15% minimum</p>	2
9	<p>Maximum 9 points on this performance measure</p>			
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>	<p>The LG made timely warranting/verification of direct grant transfers to health facilities for the last FY within 5 days from the dates of releases. From MoFPED warrants upload information and warrant reports provided by the Municipality indicated that;</p> <p>In Quarter one; Cash limits were uploaded on 3rd August 2022 and warranting by LG was conducted on 5th August 2022 evidenced from warrant no.912AW-2023-6</p> <p>In Quarter two; Cash limits were uploaded on 10th October 2022 and warranting by LG was conducted on 13th October 2022 evidenced from warrant no.912AW-2023-10</p> <p>In Quarter three; Cash limits were uploaded on 6th January 2023 and warranting by LG was conducted on 10th January 2023 evidenced from warrant no.912AW-2023-18</p> <p>In Quarter four; Cash limits were uploaded on 18th April 2023 and warranting by LG was conducted on 26th April 2023. evidenced from warrant no.912AW-2023-23</p> <p>All the above provided dates were within 5 working days.</p>	2
9	<p>Maximum 9 points on this performance measure</p>			
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0</p>	<p>From the sampled health facilities which were Purongo HCIII, Alero HCIII and Koch Goma HCIII. The bank statements were not presented to establish the dates. Therefore unable to establish if LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter.</p>	0
9	<p>Maximum 9 points on this performance measure</p>			

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>The LG had publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED. While checking at the notice board;</p> <p>In Quarter one; expenditure limits were received on 3rd August 2022 and the LG publicized the release on the notice board on 5th August 2022.</p> <p>In Quarter two; Expenditure limits were received on 10th October 2022 and the LG publicized the release on the notice board on 13th October 2022.</p> <p>In Quarter three; Expenditure limits were received on 6th January 2023 and the LG publicized the release on the notice board on 10th January 2023.</p> <p>In Quarter four; Expenditure limits were received on 18th April 2023 and the LG publicized the release on the notice board on 22nd April 2023.</p> <p>All the above provided dates were within 5 working days.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>From the DHO, the assessment team obtained minutes of the DHMT review meeting for only one Quarter of the FY 2022/23 as shown below;</p> <p>Meeting held on 7th December 2022 and minutes were signed by Isaac Okello Wonyima, Ag. DHO as Chairman and Jatim Brian, Biostatistician as Secretary. In that meeting, The following actions were recommended for implementation;</p> <p>-The Biostatistician should share the monthly staff Attendance Analysis report for all the health facilities for appropriate action.</p> <p>-The DHO should prepare supportive supervision schedules, share with stakeholders and also display on notice board.</p> <p>. The Assistant District Health Officer (ADHO) maternal and child health (MCH) should do an analysis of the number of implemented PHC outreaches in each health facility against the number planned to ascertain value for money.</p> <p>There was no evidence that the actions recommended in the DHMT review meeting were implemented as no implementation report was availed to the assessment team at the time of assessment.</p>	0

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0

From the DHO, the assessment team obtained minutes of only one Local government performance review meeting for the health department held on 17th January 2023. The minutes were signed by Okello Isaac Wonyima, Ag. DHO as Chairman and Jatim Brian, as Secretary.

The team reviewed the signed attendance list for this performance reviewed meeting and confirmed that there was evidence that health facility in-charges, members of the DHMT, Implementing Partners and key Local government departments eg Water, Education, Planning, attended this meeting.

Below are names of sampled participants by each category;

Health facility in-charges:

Lakot Maureen in-charge Wii Anaka HCII.

Lakony Geoffrey in-charge Kibar HCII.

Ongom Kenneth in-charge Latoro HCII.

Oloya Geoffrey in-charge Purongo HCIII.

DHMT members:

Okot Samuel Obonyo ADHO environmental health (EH).

Komakech Wilfred HMIS focal person.

Jatim Brian Biostatistician.

Okello Isaac Wonyima Ag. DHO.

Local Government Departments:

Ayiba George Butele District Education Officer (DEO).

Oloya Albert Water Officer.

Kinyera Ronald Senior Planner

Implementing Partner

Oryema Charles Malaria Consortium.

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>From the DHO, the assessment team was not availed support supervision reports for FY2022/23, at the time of assessment, to establish whether Anaka General Hospital) was supervised in each of the four Quarters of the FY 2022/23.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <p>• If not applicable, provide the score</p>	<p>From the DHO, there was no evidence that the Health sub district in Nwoya district with headquarters at Anaka General Hospital carried out support supervision of lower health facilities in the FY 2022/23. There were no Health sub district support supervision reports availed by the DHO, at the time of assessment.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>The assessment team visited the sampled 3 health facilities of Purongo HCIII, Alero HCIII and Koch Goma HCIII on 22nd December 2023. The team obtained and reviewed the support supervision books to determine whether the Local government provided recommendations from the supervision visits and that their implementation was followed up. The findings from selected supervisions conducted were as follows;</p> <p>Purongo HCIII</p> <p>During the support supervision conducted at the health facility on 29th September 2022 by the District Health team (DHT), the following recommendations were made for action;</p> <p>-The DHO should ensure that maternal and perinatal death audits are conducted and reported within the timelines given by the Ministry of Health.</p> <p>-Key indicators monitoring charts should be updated on a monthly basis and displayed on notice board.</p> <p>-The in-charge should ensure that the Quality Improvement meetings are held monthly to review progress in implementation of Quality improvement projects initiated at the health facility.</p> <p>In the subsequent support supervision conducted by the DHT on 10th November</p>	0

2022, there was no documentation in the support supervision book that the recommendations made during the previous supervision of 29th September 2022 were followed up.

Alero HC III

During the support supervision conducted at the health facility on 17th October 2022 by the District Health team (DHT), the following recommendations were made for action;

-Triage patients in the outpatient (OPD) to reduce on waiting time.

-The in charge should contact the contractor renovating the health facility laboratory to ensure that the works are completed within the time limit.

In the subsequent support supervision conducted by the DHT on 25th February 2023, there was no documentation in the support supervision book that the recommendations made during the previous supervision of 17th October 2022 were followed up.

Koch Goma HCIII

During the support supervision conducted at the health facility on 29th September 2022 by the District Health team (DHT), the following recommendations were made for action;

-The in charge should fast track the change of signatories for PHC non-wage grant for the facility to facilitate access to disbursed PHC funds.

-The health facility work plan and budget for FY 2022/23 should be displayed on the facility notice board.

-The health facility in-charge should ensure that the facility Rewards and sanction Committee sit every quarter of the Financial year with meeting minutes properly filed.

In the subsequent support supervision conducted by the DHT on 8th December 2022, there was no documentation in the support supervision book that the recommendations made during the previous supervision of 29th September 2022 were followed up.

From the review of support supervision books at the 3 sampled health facilities, there was evidence that recommendation for action were made following supportive supervisions. However, implementation of these recommendations were not followed up as shown by the non-documentation of follow up of previous recommendations in the supervision books.

hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

management of medicines and health supplies, during the previous FY: score 1 or else, score 0

advice was given to health facility in-charges on secure, safe storage and disposal of medicine and health supplies. Below are the findings from the reports obtained;

1 Report not dated but signed by Okello Jimmy, medicines management supervisor. The following health facilities were supervised;

Purongo HC III on 10th August 2022.

Todora HC III on 16th August 2022.

Kibar HC II on 18th August 2022.

Coorom HC II on 25th August 2022.

Lulyango HC II on 23rd August 2022.

Alero HC III on 27th September 2022.

Anaka General Hospital on 1st September 2022.

Aparanga HCII on 30th September 2022.

Koch Goma HCIII on 22nd September 2022.

Langol HCII on 14th September 2022.

Latoro HCII on 29th September 2022.

Panokrach HCII on 6th September 2022.

The following advice was given to the health facility in charges;

-Daily stores temperature should be charted to monitor stores temperature and avoid excessive heat that may destroy medicines.

-Average monthly consumption for each commodity in the stores must be calculated to guide ordering of medicines and health supplies.

-Medicines and health supplies should be arranged systematically on the shelves.

- Put a bucket with adequate quantity of sand in the store as a fire safety measure.

2 Report dated 5th January 2023 and signed by Okello Jimmy, medicines management supervisor. The report indicated that all the 13 health facilities were supervised but did not indicate dates of supervision.

The following advice was given to health facility in charges;

-Adopt a system of organizing the medicines stores by labeling shelves with names of the medicines.

-Ensure that all stock cards are filled correctly.

-On a monthly basis, do physical count of all commodities to track availability.

-Segregate expired medicines and other health supplies. Pack and label them to await collection for disposal.

There was evidence that advice was given to health facility in charges on secure, safe storage and disposal of medicines.

11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities Maximum 4 points on this performance measure	a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0	According to the Approved budget and workplan FY 2022/2023, DHO's office was allocated UGX 52,147,612 of which a of UGX15,644,287 was allocated to health promotion activities $UGX15,644,287 \times 100\% / UGX52,147,612 = 30\%$.which was the minimum required.	2
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities Maximum 4 points on this performance measure	b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0	From the DHO, the assessment team was not availed health promotion, disease prevention and social mobilisation activity reports for FY 2022/2023 at the time of assessment. The officer representing the DHO reported that the reports were kept by another officer in the DHO office who could not be reached at the time of the assessment.	0
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities Maximum 4 points on this performance measure	c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0	There was no evidence availed to the assessment team, at the time of assessment, in form of DHT minutes and quarterly progress reports to show that the DHT discussed follow up actions on health promotion, disease prevention and social mobilization aspects.	0

Investment Management

12

Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.

Maximum 4 points on this performance measure

a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0

The assessment team obtained the standard list of medical equipment and service standards from the Ministry of Health. This list was compared with the equipment list in the LG Asset Register. The findings are as below;

Nwoya district had an Asset Register for FY 2022/2023 endorsed by Okello Isaac Wonyima, Ag. DHO on on 14th July 2023. The Asset register details health facilities and equipment.

The following sampled equipment for Alero HCIII were compared with the Ministry of Health standard equipment for HCIII and found to be similar;

Weighing scale Adult.

Examination Couch.

Drip stand.

Kidney dishes.

Gallipot.

Blood Pressure (BP) machine.

Stethoscope.

Medicines Trolley.

Delivery set.

Delivery bed.

Fetoscope.

12

Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.

Maximum 4 points on this performance measure

b. Evidence that the prioritized investments in the health sector for the previous FY were:

(i) derived from the third LG Development Plan (LGDPIII);

(ii) desk appraisal by the LG; and

(iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG));

score 1 or else score 0

The District conducted a desk appraisal for all projects in the budget of FY 2022/2023 and a report dated 18th July, 2022 signed by the planner was produced and forwarded to CAO. It was reported that the projects were derived from the development plan and were eligible expenditure in line with Health sector Planning and Budgeting Guidelines, 2022. Examples included:

1. Construction of new facility at Got-Apwoyo
2. Construction of one block of 2-unit staff house at Lutyango HC
3. Construction of a twin staff house at Todora HC III

The projects were captured under Assets and Facility Management were on page 235 of the DDP III

1

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>The District conducted a field appraisal of all the projects for FY 2022/2023. A report dated 5th June, 2022 was presented and it highlighted projects like;</p> <ol style="list-style-type: none"> 1. Construction of new facility at Got-Apwoyo 2. Construction of one block of 2-unit staff house at Lutyango HC 3. Construction of a twin staff house at Todora HC III 	1		
<p>Maximum 4 points on this performance measure</p>	<p>The outcome confirmed that all the projects were linked to the 5-year development plan. In the forms filled during the exercise, the officers pronounced themselves by ticking right the technical feasibility and environmental and social acceptability. Finally, TPC recommended that projects could be funded</p>	12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>Construction of 1 block of 4 stances drainable latrine with Urinal at Alero HCIII. Environment and Social Screening Form endorsed by CDO and Environment Officer on 21st November, 2022</p>	1
<p>Maximum 4 points on this performance measure</p>	<p>Construction of a twin staff house at Todora HC III in Anaka Subcounty. Environment and Social Screening Form endorsed by CDO and Environment Officer on 28th November, 2022</p>	<p>Renovation of maternity Block at Purongo Health Center III. Environment and Social Screening Form endorsed by CDO and Environment Officer on 21st November, 2022</p>	<p>Construction of 1 block of 4 stances drainable latrine with Urinal at Alero HCIII. ESMP costed at 800,000 Ugshs endorsed by CDO and Environment Officer on 21st November, 2022</p>	<p>Construction of a twin staff house at Todora HC III in Anaka Subcounty. ESMP costed at 1,500,000 Ugshs. endorsed by CDO and Environment Officer on 28th November, 2022</p>	<p>Renovation of maternity Block at Purongo Health Center III. ESMP costed at 1,000,000 Ugshs. endorsed by CDO and Environment Officer on 21st November, 2022</p>	

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>There was evidence of timely submission of the Current FY infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans. The submission was on 22nd April 2023 and therefore timely.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>The LG health department submitted procurement request form (form PP1) to PDU by 1st Quarter of the current FY dated 17th July 2023. It was prepared by the AIMO, approved by the ADHO and confirmed by the CAO all dated 17th July 2023.</p> <p>The following projects were requested;</p> <ul style="list-style-type: none"> - Construction of a four stance drainable latrine with bathing shelters at Langol HC II at an estimated cost of UGX 26,163,188, - Construction of a four unit staff house at Coorom HC II, Koch Goma sub-county at an estimated cost of UGX 150,051,620, - Construction of 2 incinerators at Koch Lii HC III and Todora HC III at an estimated cost of UGX 17,266,264. 	1

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0

There was evidence that health infrastructure investments for previous FY was approved by the contracts committee before commencement, for instance;

1. Construction of new facility at Got-Apwoyo, with procurement reference number: MoH-UGIFT/Wrks/22-23/00001, Lot 6 with project evaluation report dated 11th November 2022, was approved by contracts committee on 11th November 2022 under Min. No. 3/LCCC/22-23 and awarded to Bertllanz Engineering Limited at a contract price of UGX 900,966,970. Solicitor General's clearance dated 21st December 2022. Agreement between the parties was signed on 10th January 2023.

2. Construction of one block of 2-unit staff house at Lulyango HC II, with procurement reference number: Nwoy912/Wrks/22-23/00007, with project evaluation report dated 17th October 2022, was approved by contracts committee on 28th December 2022 under Min. No. 4/147/NCC/22-23 and awarded to Pathway Technical Services Limited at a contract price of UGX 140,310,413. Agreement between the parties was signed on 31st January 2022.

3. Construction of a twin staff house at Todora HC III, with procurement reference number: Nwoy912/Wrks/22-23/00006, with project evaluation report dated 17th October 2022, was approved by contracts committee on 28th December 2022 under Min. No. 4/147/NCC/22-23 and awarded to Lachan Bill (U) Limited at a contract price of UGX 165,072,509. Agreement between the parties was signed on 10th January 2023.

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG established a PIT for health projects constructed within FY 2022/2023 as indicated below;</p> <p>A letter dated 18th January 2023 in which the CAO appointed the following as members of the project implementation team for health projects;</p> <p>ADHO - Okot Samuel Obonyo , District Engineer - Okumu Peter Anywar, SEO - Acca Evelyn, DCDO - Akena Geoffrey, DNRO - Okot Byron, SLO - Abalo Joan. Clerk of Works - Oleach Herbert.</p> <p>The team above was to oversee the projects below; namely</p> <ol style="list-style-type: none"> 1. Construction of twin staff house at Todora HC III, 2. Construction of one block of 2-unit staff house at Lulyango HC II, 3. Construction of new facility at Got-Apwoyo. 	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence of adherence to the standard technical designs provided by the MoH.</p> <p>,From the site visit checks of new facility at Got-Apwoyo constructed by Bertllanz Engineering Limited.</p> <p>The works as checked had the following;</p> <ul style="list-style-type: none"> -The external wall thickness was 200mm and internal block wall thickness was 150mmm, -Pompey vent openings of sizes 2000x1500mm and 900x1500mm, -Doors of sizes 1500x2100mm, 1500x2400mm for major public passages and 900x2400mm for internal room doors, -Windows of sizes 1500x1400mm(with 1500x500mm vents at the bottom) and 900x750mm, -The roof was of gauge 26 maroon pre-painted iron sheets on steel trusses, <p>The works were performed as per the MoH designs.</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The report looked at during the site visit, had no consolidated weekly reports generated by the clerk of works and submitted to the District Engineer in copy to the DHO.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was a new facility at Got-Apwoyo. However, there was no progress and monitoring reports availed at the time of assessment</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was a new facility at Got-Apwoyo in the FY 2022/2023 where the Engineer, EO and CDO technical supervision was done on 24th March 2023 and 27th April 2023.</p> <p>Other health projects constructed were as indicated below;</p> <p>1. Construction of twin staff house at Todora HC II and technical supervision by the Engineer, Environment Officers and CDO was done on 15th March 2023 and 16th May 2023.</p> <p>2. Construction of one block of 2-unit staff house at Lulyango HC II. Technical supervision by Engineer, Environment Officers and CDO was done on 17th March 2023 and 15th June 2023.</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p>	<p>Supportive documentation was not provided, it was alleged that the information was in custody of OAG for external audit.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>Based on the evidence provided, all health infrastructure contracts had a complete procurement file with all records as required by PPDA as indicated below;</p> <ul style="list-style-type: none"> - Construction of twin staff house at Todora HC III, with procurement reference number: Nwoy912/Wrks/22-23/00006, the file had project evaluation report dated 17th October 2022 which was approved by the Contracts Committee on 28th December 2022 under Min. No. 4/147/NCC/22-23 and subsequently the contract awarded to Lachan Bill (U) Limited at a Contract Sum of UGX 165,072,509 and an agreement between the contractor and District was signed on 10th January 2023. - Construction of one block of 2-unit staff house at Lulyango HC II, with procurement reference number: Nwoy912/Wrks/22-23/00007, the file had project evaluation report dated 17th October 2022 which was approved by the contracts committee on 28th December 2022 under Min. No. 4/147/NCC/22-23 and awarded the contract to Pathways Technical Services Limited at a contract price of UGX 140,310,413, and the Agreement between the parties was signed on 10th January 2022. -Construction of new facility at Got-Apwoyo, with procurement reference number: MoH-UGIFT/Wrks/22-23/00001, Lot 6, the file had project evaluation report dated 11th November 2022 which was approved by the contracts committee on 11th November 2022 under Min. No. 3/LCCC/22-23 and awarded the contract to Bertllanz Engineering Limited at a contract price of UGX 900,966,970. The agreement between the partries was signed on 10th January 2023. 	1

Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>A complaint was reported on 25th May, 2023 by the SAS Mr. Kidega Kenneth Got Apwoyo Health Center III regarding unfair treatment of workers by M/s Bertlanz Engineering Limited. The District GRC reached out to the contractor matter was investigated, a meeting was held on site on 18th September, 2023 and the issue was resolved.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>Proper Hospital Waste Management to Control Cross Infection at Nwoya District. USAID Regional Health Integration to Enhance Services - N, Acholi</p> <p>Presented by Sr. Norah Nakate</p> <p>Date: 12th/04/2023</p> <p>Dissemination of Waste Management Guidelines 2009/2012 at Anaka Hospital, Nwoya District.</p> <p>By Mutebi Rogers</p> <p>Date: 27th/09/2022</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>There was a system of waste management like the facilities had incinerators like at Anaka Hospital and M/s Green Lebal was correcting wastes at Anaka Hospital.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>Training reports were not availed during the time of assessment</p>	0

16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0	<p>In a contract agreement between Nwoya District Local Government and M/s Lacan Bil (U) Limited dated 10th January, 2023 for the construction of 1 block of 4 stances drainable latrine with Urinal at Alero HCIII, the costed ESMP was not integrated in the BOQ.</p> <p>In a contract agreement between Nwoya District Local Government and M/s Atum General Services dated 3rd March, 2023 for the Renovation of maternity Block at Purongo Health Center III aspects from the ESMP were integrated in the BOQ however, no value was attached.</p>	0
	Maximum 8 points on this performance measure			
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0	<p>Construction of 1 block of 4 stances drainable latrine with Urinal at Alero HCIII. A Memorandum of understanding was made on 16th April, 2022 between Mrs. Aol Lucy and Alero Subcounty. As consideration the receiver of the land accepted to pay a reward of 1,250,000 Ugshs for the land that measured 33m X 80m.</p> <p>Construction of a twin staff house at Todara HCIII in Anaka Subcounty. Application for conversion from customary tenure to freehold tenure on 18th October, 2014. The land measured 3 acres.</p> <p>Renovation of maternity Block at Purongo Health Center III. Application for conversion from customary tenure to freehold tenure on 13th July, 2018 and the board approved the request. The land measured 0.2 hectares.</p>	2
	Maximum 8 points on this performance measure			
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.	Social and Environment safeguard compliance monitoring/ inspection report for Latoro HC III in Wii Anaka dated 24th May, 2023 prepared by Senior Labor Officer and some of the recommendations made included; covering of the medical waste pit and placenta pit, signage to be put to show dangerous places and balancing of gender but also engaging local people in the construction works.	2
	Maximum 8 points on this performance measure			

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0	<p>Construction of 1 block of 4 stances drainable latrine with Urinal at Alero HCIII. Environment and Social Certification Form endorsed by CDO and Environment Officer on 22nd May, 2023</p> <p>Construction of a twin staff house at Todara HCIII in Anaka Subcounty. Environment and Social Certification Form endorsed by CDO and Environment Officer on 31st May, 2023</p> <p>Renovation of maternity Block at Purongo Health Center III. Environment and Social Certification Form endorsed by CDO and Environment Officer on 6th June, 2023</p>
Maximum 8 points on this performance measure		

**Water &
Environment
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>A review of the MIS data provided by the MoWE showed that the district rural water source functionality for FY 2022/2023 was at 74%.</p>	0
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>A review of the MIS data obtained from the MoWE showed that the percentage of the district rural water facilities with functional WSCs was at 88%</p>	1

2

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;

- Above 80%, score 2
- 60% - 80%, score 1
- Below 60%, score 0

A review of the LLGs performance assessment results in water and environment for FY 2022/2023 obtained from the District Planner revealed the following performance;

Sub-County water and performance	% In environment
Alero	100
Anaka (Payira)	50
Got Apwoyo	80
Koch-Goma	80
Lii	100
Lungulu	60
Paminyai	70
Purongo	70
S u m	
610	
Average score	$= 610/8$
	$= 76.25$

1

2

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.

- o If 100 % of water projects are implemented in the targeted S/Cs: Score 2
- o If 80-99%: Score 1
- o If below 80 %: Score 0

A review of the MoWE MIS data on safe water coverage for FY 2021/2022 which was used for planning of water projects in FY 2022/2023. The report provided evidence of the following safe water coverage per sub county in the district;

From the MoWE MIS the following data on Sub-Counties were obtained.

Subcounty %Access	
Alero	47
Anaka (Payira)	95
Koch-Goma	64
Purongo	66

From the DWO MIS the following data on Sub-Counties were obtained

Mother Sub-county %Access	New Sub-County
1. Alero	Lungulu
47	

0

	47	Paminyai
	47	Alero
2. Anaka (Payira)	95	Anaka
	95	Anaka TC
3. Koch-Goma	64	Got
Apwoyo	64	Purongo
	64	Purongo
TC	64	Purongo
4. Purongo	66	Lii
	66	Koch
Goma	66	Koch
Goma TC	66	

District average safe water coverage was 59 %, from above data, the Sub-counties that had safe water coverage below the district average were Lungulu, Paminyai, Alero sub-counties under Alero Sub-county at 47%.

Reviewed water sector annual work plan and budget for FY 2022/2023 dated 29th June 2022 and approved on 8th July 2022 by MoWE. The following water projects were planned for implementation.

1. Deep boreholes drilling (hand pump) 14No.
2. Production well 2No.
3. Construction of piped water supply system 1No. (Phase 1)

Total = 14+2+1 = 17

Reviewed fourth quarter report for FY 2022/2023 dated 7th July 2023, received on 14th July 2023 by MoWE, the following water projects were reported as constructed in sub-counties below the district safe water coverage:

1. Deep boreholes (hand pump) 8No.

2. Production well 1No.

Total = 8+1= 9

Therefore, the percentage of budgeted water projects implemented in the sub counties with safe water coverage below the district average was $(9/17) \times 100 = 53\%$

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates

o If within +/-20% score 2

o If not score 0

The review of the sector annual budget and sampled 3 WSS contracts for FY 2022/2023, the following variations were obtained;

Construction of 02 Production well at Owee and Lii Junction in Lungulu and Lii Sub-Counties - Procurement Ref. No. NWOY912/WRKS/22-23/0005, had a contract award amount of UGX 81,207,600 against the engineer's estimated amount of UGX 83,000,000.

$$= (1,792,400/83,000,000) \times 100 = 2.16\%$$

= 2.16% was within a variation of +/- 20%.

Drilling, test pumping, engravement & installation of 8 deep boreholes in Anaka, Lungulu, Purongo & Got Apwoyo sub-counties Lot 1; Procurement Ref. No. NWOY912/WRKS/22-23/00002, had a contract award amount of UGX 149,605,120 against the engineer's estimated amount of UGX 153,120,000.

$$= (3514880/153,120,000) \times 100 = 2.3\%$$

= 2.3% was within a variation of +/- 20%.

Drilling, test pumping, engravement & installation of 8 deep boreholes in Alero, Lii, Paminyai & Koch Goma sub-counties Lot 2; Procurement Ref. No. NWOY912/WRKS/22-23/00003, had a contract award amount of UGX 149,605,120 against the engineer's estimated amount of UGX 153,120,000.

$$= (3514880/153,120,000) \times 100 = 2.3\%$$

= 2.3% was within a variation of +/- 20%.

Therefore, all contract price variations for the three sampled contracts for FY2022/2023 were within +/-20% of Engineers' estimates

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

d. % of WSS infrastructure projects completed as per annual work plan by end of FY.

o If 100% projects completed: score 2

o If 80-99% projects completed: score 1

o If projects completed are below 80%: 0

Reviewed water sector annual work plan and budget for FY 2022/2023 dated 29th June 2022 and approved on 8th July 2022 by MoWE. The following water projects were planned for in the district

1. Deep boreholes drilling (hand pump) 14No.

2. Production well 2No.

3. Construction of piped water supply system 1No

Reviewed fourth quarter report for FY 2022/2023 dated 7th July 2023, received on 14th July 2023 by MoWE revealed No. of implemented project with completion status as follows;

1. Deep boreholes drilling (hand pump) 14No. reported as completed at 100%.

2. Production well 2No. reported as completed at 100%.

3. Construction of piped water supply system (phase 1) 1No reported as completed at 100%.

= (100+100+100)/3 = 100%

All the planned sector projects for FY2022/2023 were 100% completed.

New_Achievement of Standards:

The LG has met WSS infrastructure facility standards

Maximum 4 points on this performance measure

a. If there is an increase in the % of water supply facilities that are functioning

o If there is an increase: score 2

o If no increase: score 0.

MoWE MIS data showed that 79% of district rural water supply facilities were functioning in the FY 2021/2022.

Also, MoWE MIS data showed that 74% of district rural water supply facilities were functioning in the FY 2022/2023.

From the above data, there was a decline of 5% (from 79% to 74%) in the district rural water supply facilities that were functioning between FY 2021/2022 and FY 2022/2023.

3

New_Achievement of Standards:

The LG has met WSS infrastructure facility standards

Maximum 4 points on this performance measure

b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).

- o If increase is more than 1% score 2
- o If increase is between 0-1%, score 1
- o If there is no increase : score 0.

MoWE MIS data indicated that facilities with functional water and sanitation committees in the FY 2021/2022 was 92%

Also, MoWE MIS data indicated that facilities with functional water and sanitation committees in the FY 2022/2023 was 88%

The above data indicated a decline in performance of WSC of 4% (from 92% to 88%) between FY 2021/2022 and FY 2022/2023

0

Performance Reporting and Performance Improvement

4

Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance

Maximum 3 points on this performance measure

The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3

Reviewed water sector annual work plan and budget for FY 2022/2023 dated 29th June 2022 and approved on 8th July 2022 by MoWE. The following water projects were planned for in the district

1. Deep boreholes drilling (hand pump) 14No.
2. Production well 2No.
3. Construction of piped water supply system 1No

Reviewed fourth quarter report for FY 2022/2023 dated 7th July 2023, received on 14th July 2023 by MoWE provided No. of implemented projects with completion status as follows;

1. Deep boreholes drilling (hand pump) 14No reported as completed at 100%.
2. Production well 2No. reported as completed at 100%.
3. Construction of piped water supply system 1No reported as completed at 100%.

The following constructed WSS facilities were visited and performance reported.

1. Drilled borehole (DWD 81706) in Itu Duny village, Todora parish, Anaka sub-county, constructed by MSR Technologies (U) Ltd on the 24th May 2023, with location details: 36N E381422, N277712, this facility was completed and functional at the time of site visit.
2. Drilled borehole (DWD 81712) in Patira East Job B village, Patira parish, Purongo sub-county, constructed by MSR

3

Technologies (U) Ltd on the 1st June 2023 with location details: 36N E375685, N280011, this facility was completed and functional at the time of site visit.

3. Drilled borehole (DWD 81707) in Kal Okura village, Kal parish, Alero sub-county, constructed by MSR Technologies (U) Ltd on the 27th June 2023 with location details: 36N E381615, N298603, this facility was completed and functional at the time of site visit.

Since the information on WSS facilities reported in quarter 4 report for the FY 2022/2023 corroborated with what was seen at the sites visited, it confirmed that the DWO accurately reported on the WSS facilities constructed and performance in the FY 2022/2023.

5

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2

The following quarterly reports for FY 2022/2023 contained information on water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement as below:

- First quarter water sector report for FY 2022/2023, dated 26th September 2022 and received by MoWE on 29th September 2022.
- Second quarter water sector report for FY 2022/2023, dated 6th January 2023 and received by MoWE on 12th January 2023.
- Third quarter water sector report for FY 2022/2023 dated 12th April 2023 and received by MoWE on 14th April 2023.
- Fourth quarter water sector report for FY 2022/2023 dated 7th July 2023 and received by MoWE on 14th July 2023

2

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0

The DWO updated the MIS (WSS data) quarterly for the FY 2022/2023 using data collection form 1 and 4 dated 16th August 2023 received by the MoWE on 18th August 2023.

Sample of the following new water supply facilities that were constructed in the FY 2022/2023 were seen recorded in the WSS data register as;

Drilled borehole (DWD 81706) in Itu Duny village, Todora parish, Anaka sub-county, the year of construction was 24th May 2023, with location details: 36N E381422, N277712, this facility was completed and recorded as functional with functional WSC.

Drilled borehole (DWD 81712) in Patira East Job B village, Patira parish, Purongo sub-county, the year of construction was on 1st June 2023 with location details: 36N E375685, N280011, this facility was completed and recorded as functional with functional WSC.

Drilled borehole (DWD 81707) in Kal Okura village, Kal parish, Alero sub-county, the year of construction was on 27th June 2023 with location details: 36N E381615, N298603, this facility was completed and recorded as functional with functional WSC.

These were evidences of updating the MIS (WSS data)

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0

Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.

A review of the LLGs performance assessment results in water and environment for FY 2022/2023 obtained from the District Planner revealed the following performance;

Sub-County % In water and environment performance

A l e r o
100

L i i
100

Got Apwoyo
80

Koch-Goma 80

Paminyai 70

Purongo 70

L u n g u l u
60

Anaka (Payira) 50

From the above data 25% lowest performing LLGs;

$$= 4 \times (25/100) = 1$$

A minimum of 1 No. of LLGs was to be supported.

The DWO presented a performance improvement plans from the lowest performing LLGs of Paminyai, Purongo, Lungulu and Anaka (Payira) Sub-counties prepared by the respective SASs dated 18th October 2022.

The reviewed PIP report from DWO, dated 14th March 2023, on training of sub county staff of Paminyai, Purongo, Lungulu and Anaka (Payira) sub counties showed that these LLGs were trained on improving their existing WSS facilities.

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p>	<p>There was evidence that the District water officer budgeted for critical staff (Water Officer, Engineering Assistant – water, Borehole Maintenance Technician and Assistant Water Officer for mobilization) to a tune of 78,258,000 UGX, page 54 of 76 of LG Approved Budget Estimate 2023/24, Vote 912.</p>	2
6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>There was evidence that District Natural Resource Officer budgeted for critical staff (DNRO, Environment Officer, and Forestry Officer) to a tune of 324,000,000 UGX For FY 2023/ 2024 (LG approved budget estimates, vote 912, page 58 of 76 of Approved Budget Estimate.</p>	2
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>There was evidence that District Water Office staff were appraised during the previous FY against their agreed performance plans as follows:</p> <ol style="list-style-type: none"> 1. Abalo Dorothy CDO for Mobilization was appraised on 30th June 2023. 2. Okumu Francis Assistant Engineering Officer Borehole Maintenance was appraised on 30th June 2023. 	3

Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.

Maximum 6 points on this performance measure

b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3

The DWO presented a capacity needs assessment report for the two DWO staff that were identified during appraisal exercise, the report was dated 8th August 2022 received by the PHRO.

A report on refresher training of DWO staff dated 14th November 2022 prepared by DWO and review of the training program indicated that the staff were trained on:

-Software implementation steps.

-Package on formation and training of water user committees.

Key aspects in supervision and monitoring of water facilities/water user committees.

These provided evidences of capacity development in District Water Sector.

Management, Monitoring and Supervision of Services.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
 - • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
 - • If 80-99%: Score 2
 - • If 60-79: Score 1
 - • If below 60 %: Score 0

A review of the MoWE MIS data on safe water coverage for FY 2021/2022 which was used for planning of water projects in FY 2022/2023. The report provided evidence of the following safe water coverage per sub county in the district;

From the MoWE MIS the following data on Sub Counties were obtained.

Subcounty	%Access
Alero	47
Anaka (Payira)	95
Koch-Goma	64
Purongo	66

From the DWO MIS the following data on Sub Counties were obtained

Mother Sub-County	%Access	New Sub-County
1. Alero	47	Lungulu
	47	Paminyai
	47	Alero
2. Anaka (Payira)		Anaka

	95	Anaka TC
	95	
3. Koch-Goma Apwoyo	64	Got
		Purongo
	64	
TC	64	Purongo
4. Purongo	66	Lii
		Koch
Goma	66	
		Koch
Goma TC	66	

District average safe water coverage was 59 %, from above data, the Sub-counties that had safe water coverage below the district average were Lungulu, Paminyai, Alero sub-counties under Alero Sub-county at 47%.

Reviewed water sector annual work plan and budget for FY 2022/2023 dated 25th June 2023 and approved on 29th June 2023, the following water projects were planned for implementation.

Drilling and construction of 15 boreholes in Nwoya DLG with total amount of 330,000,000

12 out of the 15 planned boreholes were allocated to sub-counties that had safe water coverage below that of the average district.

$$12 \times 22,000,000 = 264,000,000$$

This implies that = $(264,000,000/330,000,000) \times 100 = 80\%$ of the budget was allocated to sub-counties that had safe water coverage below that of the district average.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3

On 17th October 2023, the DWO presented the following letters communicating the allocations to LLGs of water sources to be constructed in the FY 2023/2024, the letters were pinned on the district and sub-county noticeboards.

The sampled DWO communicated to the LLGs were:

Nwoya County

1. Construction of deep borehole in Wii polo Auma Sanina village, Todora parish, Anaka Sub-County at a cost of UGX 22,000,000 under development grant.

2. Construction of deep borehole in Opoo-Lacen village, Patira parish, Purongo Sub-County at a cost of UGX 22,000,000 under development grant.

3. Construction of deep borehole in Aringokec village, Bar-Lyec parish, Got-Apwoyo Sub-County at a cost of UGX 22,000,000 under development grant

Nwoya East County

1. Construction of deep borehole in Cuk Pam Ajiya village, Orum parish, Lii Sub-County at a cost of UGX 22,000,000 under development grant

2. Construction of deep borehole in Kal Okura Dog Acwa village, Kal parish, Alero Sub-County at a cost of UGX 22,000,000 under development grant

3. Construction of deep borehole in Alelelele P/S, Kal parish, Paminyai Sub-County at a cost of UGX 22,000,000 under development grant

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)

- If 95% and above of the WSS facilities monitored quarterly: score 4

- If 80-94% of the WSS facilities monitored quarterly: score 2

The quarterly reports were presented which had information on the monitoring of each WSS facilities during the FY 2022/2023 as indicated below;

First quarter progress report dated 26th September 2022;

Type of WSS Status	Number
Boreholes Functional	430

- If less than 80% of the WSS facilities monitored quarterly: Score 0

functional	74	Non
Shallow Wells		
Functional	30	
functional	61	Non
Protection Springs		
Functional	63	
functional	31	Non
Piped water scheme		
Functional	9	
functional	0	Non
Total WSS monitored		
698		

% Of WSS facilities monitored = (698/698 X100 = 100%

Second quarter progress report dated 6th January 2023

Type of WSS	Number	Status
Boreholes	427	Functional
functional	79	Non
Shallow Wells	28	Functional
functional	62	Non
Protection Springs		
Functional	63	
functional	31	Non
Piped water scheme		
Functional	9	
functional	0	Non
Total WSS monitored		
699		

% Of WSS facilities monitored = (699/699 X100 = 100%

Third quarter progress report dated 12th April 2023;

Type of WSS	Status	Number
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Boreholes			
Functional	430		
			Non
functional	76		
Shallow Wells			
Functional	28		
			Non
functional	58		
Protection Springs			
Functional	63		
			Non
functional	31		
Piped water scheme			
Functional	9		
			Non
functional	0		
Total WSS monitored	695		

% Of WSS facilities monitored = (695/695 X100 = 100%

Fourth quarter progress report dated 7th July 2023.

Type of WSS Status	Number		
Boreholes			
Functional	430		
			Non
functional	76		
Shallow Wells			
Functional	28		
			Non
functional	63		
Protection Springs			
Functional	63		
			Non
functional	31		
Piped water scheme			
Functional	9		
			Non
functional	0		
Total WSS monitored	700		

% Of WSS facilities monitored = (700/700 X100 = 100%

Therefore, the DWO was able to monitor $(100+100+100+100)/4 =$

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2

The following minutes were evidences of the quarterly DWSCC meetings which discussed issues on WSS projects status and implementation in the district;

Minutes of Quarter 1 DWSCC meeting held on 28th September 2022 at Engineering boardroom attended by 35 participants.

Some of the key issues discussed under NDLG/COOR/03

The CAO pointed out that the meeting would help improve on the transparencies in coordination and also that it would out put the partners implementation matrix (intervention, locations, and specific interest)

Under minute NDLG/COOR/05, the District Planner noted low participation in planning meetings by stakeholders during the BFP Consultative meetings

Under minute NDLG/COOR/06, Save the Children raised inadequate fund as one of the challenge they faced to support project implementation.

Minutes of Quarter 2 DWSCC meeting held on 23th - 24th November 2022 at Engineering Boardroom attended by 51 participants.

Some of the key issues discussed were;

Under minute 8: 2nd DWSCC 23rd-24th 2022, Implementation of Environmental, Social and Safety Safeguards measures in all DDEG funded projects, Additional LGs are advised to encourage education institutions, other facilities and households to do woodlots and use energy cooking stoves.

Minutes of Quarter 3 DWSCC meeting held on 10th March 2023 at the Tee Mvule Paminyai attended by 26 participants

Some of the key issues discussed were;

Under minute 04: 3rd DWSCC 4th /March/2023, there is need for a new borehole at Paminyai primary school as the existing one in the community cannot meet the current water demand also

degradation of Okun wetland by the communities. Poor maintenance of boreholes with lack of fencing, soak away pits and good hygiene around water points.

Minutes of Quarter 4 DWSCC meeting held on 19th June 2023 at Engineering Boardroom attended by 29 participants.

Some of the key issues discussed were;

Under minute 9: 4th DWSCC 19th /June /2023, the following were noted;

- A general assembly meeting be organised with all stakeholders at Lungulu Sub- County to address the challenges of Lungulu piped water system before the system breaks.
- The district executive committee should step into address the appalling sanitation problem at Anaka General Hospital because it is threatening service delivery to the community
- The WUC should be supported to start enterprise groups in order to benefit from government programmes.

9

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2

On 17th October 2023, the DWO presented the following letters communicating the allocations to LLGs of water sources to be constructed in the FY 2023/2024, the letters were pinned on the district and sub-county noticeboards

District average safe water coverage was 59 %, the Sub-Counties that had safe water coverage below the district average were Lungulu, Paminyai, Alero sub-counties under Alero Sub-county at 47%.

The following were the sampled budget allocations to these LLGs:

1. Construction of deep borehole in Paminyai village, Lalar parish, Paminyai Sub-County at a cost of UGX 22,000,000 under development grant
2. Construction of deep borehole in Kal Okura Dog Acwa village, Kal parish, Alero Sub-County at a cost of UGX 22,000,000 under development grant
3. Construction of deep borehole in Got-okwara Tee village, Nyamukino parish, Lungulu Sub-County at a cost of UGX 22,000,000 under development grant

2

10

Mobilization for WSS is conducted

Maximum 6 points on this performance measure

a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:

- If funds were allocated score 3
- If not score 0

From the approved AWP for FY 2022/2023 dated 29th June 2022, received by MWE on 8th July 2022, the total NWR for rural water and sanitation budget was UGX 95,756,361 and allocation of the NWR to facilitate mobilization activities was UGX 43,609,200

$$= (43,609,200/95,756,361) \times 100$$

$$= 45.55\% = 46\%$$

Therefore, allocation of the NWR to facilitate community mobilization activities was 46% above the minimum of 40% as per the sector guidelines.

3

10

Mobilization for WSS is conducted

Maximum 6 points on this performance measure

b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.

The reviewed report dated 28th June 2023 and titled: Post construction support for 16 Deep Boreholes rehabilitated to reactivate the 16 non-functional committees for FY2022/2023, The Training of water user committee was conducted from 15th April 2022 to 28th June 2023 by DWO and ADWO in-charge of mobilization and Health Assistant. A total of 89 participants were trained.

The report showed the WUC were trained on the following:

- Awareness creation on the water sector developments
- Roles and responsibilities in borehole management
- Planning, financing, implementation and monitoring O&M activities
- Providing knowledge and skills in cross-cutting issues and conflict resolution

The following WSS facilities and their committee were sampled as below:

- Lamin borehole in Lamin Olel village, Bargere parish, Lungulu Sub-County whose Chair person is Mr. Ojok Francis brown, agreed water user fees of UGX 1,000 per household, the committee was composed of four female and eight male members.
- Patira east borehole in Patira east village, Patira parish, Purongo Sub-County whose Chair person is Mr. Opio Richard, agreed water user fees of UGX 1,000 per household, the committee was composed of six female and four male members.
- Abilokec borehole in Abilokec village, Agonga parish, Koch Goma Sub-County whose Chair person is Mrs. Arach Santa, agreed water user fees of UGX 1,000 per household, the committee was composed of eight female and five male members.

11

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:

Score 4 or else 0

The DWO presented an asset register (DWO MIS) as per 16th August 2023 for the FY 2022/2023, stamped by CAO Nwoya DLG.

The document contained details for WSS facilities in the DLG with the following key information captured for each facility; Serial no. sub-county, parish, year of construction, source name.

The register contained the following WSS constructed in the FY 2022/2023 which showed that the it was updated.

1. Drilled borehole (DWD 81706) in Itu Duny village, Todora parish, Anaka sub-county, the year of construction was 24th May 2023, with location details: 36N E381422, N277712, this facility was completed and recorded as functional with functional WSC

2. Drilled borehole (DWD 81712) in Patira East Job B village, Patira parish, Purongo sub-county, the year of construction was on 1st June 2023 with location details: 36N E375685, N280011, this facility was completed and recorded as functional with functional WSC.

3. Drilled borehole (DWD 81707) in Kal Okura village, Kal parish, Alero sub-county, the year of construction was on 27th June 2023 with location details: 36N E381615, N298603, this facility was completed and recorded as functional with functional WSC.

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDPIII) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p> <p>Score 4 or else score 0.</p>	<p>The district water officer Mr. Oloya Albert conducted desk appraisals for the current FY. The prioritized WSS projects included;</p> <ol style="list-style-type: none"> 1. Drilling of a borehole in Itu Duny village, Todora parish, Anaka sub-county 2. Drilling of a borehole in Patira East Job B village, Patira parish, Purongo sub-county 3. Drilling of a borehole in in Kal Okura village, Kal parish, Alero sub-county <p>A review on the Desk Appraisal report that was prepared on 18th July 2023. It was found that all the investments were derived from the LGDPIII and were eligible for expenditure under the sector guidelines.</p>	4
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>The DWO presented community applications for the new WSS projects planned for in the FY 2023/2024.</p> <p>Three applications were sampled and detailed below;</p> <ul style="list-style-type: none"> • Application for drilling of a borehole in Agung village, Todora parish, Anaka sub-county dated 26th October 2022. • Application for drilling of a borehole in Lii Bungu village, Lii parish, Lii Sub-County dated 3th August 2022. • Application for drilling of a borehole in Obul village, Orum parish, Lii Sub- County, dated 22th September 2022. 	2

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>The district water officer Mr. Oloya Albert conducted field appraisals for the current FY. The prioritized WSS projects included;</p> <ol style="list-style-type: none"> 1. Drilling of a borehole in Itu Duny village, Todora parish, Anaka sub-county 2. Drilling of a borehole in Patira East Job B village, Patira parish, Purongo sub-county 3. Drilling of a borehole in in Kal Okura village, Kal parish, Alero sub-county <p>A review on the Field Appraisal report that was prepared on 18th July 2023. It was found the the investments were technically feasible, environmental and socially acceptable and fit the customized designs.</p>	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>In a contract agreement dated 28th November, 2022 between Nwoya DLG and MSR Technologies (U) Ltd Ltd for the drilling, test pumping, engravement & installation of 8 deep boreholes in Anaka, Lungulu, Purongo & Got Apwoyo sub counties in Lot 1 for every borehole Environment mitigation and adaptation of undesirable impacts was costed at 100,000 Ughsh in the BoQ.</p>	2

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0

There was evidence that WSS infrastructure projects were incorporated into the LG approved Procurement Plan for FY 2022/2023. For instance;

1. For drilling, pump testing, engravement and installation of 16 boreholes on page 3 of 17 of the procurement plan dated 11th November 2022 at an estimated budget of UGX306,240,000,
2. Construction of production well 7 cased upto the bottom drilling, test pumping engravement and installation at Owee and Lii junction on page 3 of 17 of the procurement plan dated 11th November 2022 at an estimated budget UGX 83,000,000.
3. Major rehabilitation of Deep boreholes in all the sub-counties by Nwoya Hand Pump mechanic on page 3 of 17 of the procurement plan dated 11th November 2022 at an estimated cost of UGX 51,980,000..

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:

There was evidence that the water supply and public sanitation infrastructure for the FY 2022/2023 was approved by the Contracts Committee before commencement of construction. For example,

1. Drilling, pump-testing, engravement and installation of 8 boreholes, with procurement reference number: Nwoy912Wrks/22-23/00004, Lot 1 was approved by the contracts committee on 31st October 2022 under Min No. 4/146/NCC/22-23 and awarded to MSR Technologies (U) Limited at a contract price of UGX 149,605,120 as per contract between the two parties signed on 28th November 2022.

2. Construction of phase 1-Solar powered motorised borehole at Gok-Anak, with procurement reference number: Nwoy912/Wrks/22-23/00004 was approved by the contracts committee on 31st October 2022 under Min.No. 4/146/NCC/22-23 and awarded to Fereft Investment Limited at a contract price of UGX 244,261,732. Solicitor General's clearance dated 4th January 2023. Contract between the two parties was signed on 10th January 2023.

3. Construction of production well 7 cased upto the bottom drilling, test pumping engravemnt and installation at Owee and Lii junction, with procurement reference number Nwoy912/Wrks/22-23/00005 was approved by the contracts committee on 31st October 2022 under Min. No. 4/146/NCC/22-23 and awarded to BM Watson Foldings Limited at a contract price of UGX 81,207,600 as per the contract between the two parties signed on 28th November 2022.

12

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:

The District Water Officer established a Project Implementation Team (PIT) appointed by the CAO on 18th January 2022 as specified by the sector guidelines. It comprised of the following members;

1.Contract Manager (DWO) - Oloya Albert,

2.Project Manager (DE) -Wani Nelson,

3.Senior Environment Officer - Acca ,Evelyn

4.District Community Development Officer - Akena Geoffrey,

5.Senior Labour Officer - Abalo Joan,

6.District Natural Resources Officer - Okot Byron.

7. Clerk of Works - Oleach Herbert.

These members handled the following projects;

1.Construction of phase 1-Solar powered motorised boreholes at Gok-Anak,

2. Construction of production well 7 cased upto the bottom drilling , test pumping engravement and installation at Owee and Lii junction,

3.Drilling, pump testing, engravement and installation of 8 boreholes.

12

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2

There was evidence that water infrastructure sampled were constructed as per the standard technical designs provided as observed from the three WSS projects sampled.

1. Drilled borehole (DWD 81706) in Itu Duny village, Todora parish, Anaka sub-county, the year of construction was 24th May 2023, with location details: 36N E381422, N277712, this facility was found completed and functional with functional WSC, the following measurements were checked and found to conform to the measurements on the design drawings provided by the DWO

• Pump pad radius of 0.95M against 1M

2

- Length of drainage channel of 5.7M against 5.0M

- Platform stand (length= 0.7M against 0.6M, width = 0.6M, against 0.6M).

2. Drilled borehole (DWD 81712) in Patira East Job B village, Patira parish, Purongo sub-county, the year of construction was on 1st June 2023 with location details: 36N E375685, N280011, this facility was found completed and functional with WSC, the following measurements were checked and found to conform to the measurements on the design drawings provided by the DWO

- Pump pad radius of 0.95M against 1M

- Length of drainage channel of 5.7M against 5.0M

- Platform stand (length= 0.7M against 0.6M, width = 0.6M, against 0.6M).

3. Drilled borehole (DWD 81707) in Kal Okura village, Kal parish, Alero sub-county, the year of construction was 27th June 2023 with location details: 36N E381615, N298603, this facility was found completed and functional with functional WSC, the following measurements were checked and found to conform to the measurements on the design drawings provided by the DWO;

- Pump pad radius of 0.75M against 0.8M

- Length of drainage channel of 6 M against 6.0M

- Platform stand (length= 0.9M against 0.6M, width = 0.9 M, against 0.6M).

12

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2

There was no evidence availed at the time of assessment to show that there was monthly technical supervision of WSS infrastructure projects.

0

Maximum 14 points on this performance measure

12

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts

- o If 100 % contracts paid on time: Score 2
- o If not score 0

There was no evidence aviled at the time of assessment to show the DWO verified and initiated payments of contractors within specified timeframes.

0

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:

Score 2, If not score 0

There was evidence that complete procurement files for WSS investments were in place for each contract with all records as required by the PPDA law. For instance;

1. For construction of phase 1- Solar powered motorised boreholes at Gok-Anak, with procurement reference number: Nwoy912/Wrks/22-23/00004, the file had project evaluation report dated 14th October 2022. The contract was approved by the contracts committee on 31st October 2022 under Min. No. 4/146/NCC/22-23 and awarded to Fereft Investments Limited at a contract price UGX 244,261,732. Solicitor General's clearance dated 4th January 2023. The contract between the parties signed on 10th January 2023.

2. For drilling, pump-testing, engravement and installation of 8 boreholes, with procurement reference number Nwoy912/Wrks/22-23/00004- Lot 1, the file had project evaluation report dated 11th October 2022. The contract was approved by the contracts committee on 31st October 2022 under Min. No. 4/146/NCC/22-23 and awarded to MSR Technologies (U) Limited at a contract price of UGX 149,605,120 as per agreement between the parties dated 28th November 2022.

3. For construction of production well 7 cased upto the bottom drilling, test pumping, engravement and installation at Owee and Lii junction, with procurement reference number: Nwoy912/Wrks/22-23/00005, the file had project evaluation report dated 31st October 2022. The contract was approved by the contracts committee on 31st October 2022 under Min. No. 4/146/NCC/22-23 and awarded to BM Watson Foldings Limited at a contract price of UGX 81,207,600 as per agreement between the parties was signed on 28th November 2022.

13	<p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p>	<p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p> <p>Score 3, If not score 0</p>	<p>Wrong sitting/double sitting of borehole in Abilokech and Coorom. On 17th April, 2023, Mr. Opiyo Geoffrey Councilor V representing Goma Subcounty in the District Council, raised a complaint that Coorom already had a functional borehole unlike Abilokech which even had a higher population. On 1st May, 2023, the chairman LC1 mobilized for a meeting the issue was addressed and the meeting was attended by 40 people and the issue was resolved.</p>	3
14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>Evidence that the DWO and the Environment Officer disseminated guidelines on water source & catchment protection and natural resource management to CDOs was not availed</p>	0
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p>	<p>Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY was not availed</p>	0

Safeguards in the
Delivery of Investments

*Maximum 10 points on
this performance
measure*

b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:

Score 3, If not score 0

There was evidence that all WSS projects for the FY 2022/2023 were implemented on land where Nwoya DLG has proof of consent as shown from the samples below:

1. Land consent agreement for piece of land for development of community water source (deep borehole) dated 5th June 2023 between Mr. Odur Julius and Nwoya District on behalf on the community of Ayerolwango village, Paminolango parish, Got-Apwoyo sub-county measuring 20Mx20M. The consent form was signed off by Mr. Okwonga Vitorino the LC1 chairperson.

2. Land consent agreement for piece of land for development of community water source (deep borehole) dated 1st June 2023 between Mr. Otto Enyasio and Nwoya District on behalf on the community of Patira East village, Purongo parish, Purongo sub-county measuring 25Mx25M. The consent form was signed off by Mr. Odoki Micheal the LC1 chairperson.

3. Land consent agreement for piece of land for development of community water source (deep borehole) dated 14th December 2022 between Mr. Okumu Peter Anywar and Nwoya District on behalf on the community of Lapono Village, Pabali parish, Anaka sub-county measuring 65Mx65M. The consent form was signed off by Mr. Ojok Francis Opira the LC1 chairperson.

15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:</p> <p>Score 2, If not score 0</p>	<p>Borehole drilling in Mola A Village, Paminyai Subcounty. Environment and Social Certification form endorsed by Environment Officer and CDO on 15th June, 2023</p> <p>Borehole drilling in Lagur Lokwir Village, Lungulu Subcounty. Environment and Social Certification form endorsed by Environment Officer and CDO on 15th June, 2023</p> <p>Borehole drilling in Ayerolwango Village, Got Apwoyo Subcounty. Environment and Social Certification form endorsed by Environment Officer and CDO on 15th June, 2023</p> <p>Borehole drilling in Patira East Job B Village, Purogo Subcounty. Environment and Social Certification form endorsed by Environment Officer and CDO on 15th June, 2023</p>	2
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 2, If not score 0</p>	<p>Report of borehole commissioning of 15 deep boreholes under rural water development grant FY 2022/23 dated 21st June, 2023 prepared by the District Water Officer.</p>	2

**Micro-scale
Irrigation
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>At the time of assessment, there was evidence presented on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries.</p> <p>- Nwoya DLG Department of production and marketing, irrigation database between 2018 – 2023, developed by Tabu Justine.</p> <p>The report indicated the following;</p> <ul style="list-style-type: none"> o FY 2021/2022- A total of 74.53 acres were irrigated, with 54.53 UglIFT grant beneficiaries o FY 2022/2023- A total of 124.53 acres, with 122.53 UglIFT grant beneficiaries 	2
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>At the time of assessment, there was evidence presented on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries.</p> <p>Nwoya DLG Department of proodcuition and marketing, irrigation database between 2018 – 2023, developed by Tabu Justine.</p> <p>The report indicated the following;</p> <p>FY 2021/2022 = 74.53 acres Total</p> <p>FY 2022/2023= 124.53 acres Total.</p> <p>Increase in acreage = $(124.53 - 74.53) / 74.53 \times 100$</p> <p>= 67 %.</p>	2

2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%, score 4 • 60% - 70%, score 2 • Below 60%, score 0 	<p>The average score in the micro-scale irrigation for LLG performance assessment was 100% which was above 70%</p>	4
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0</p>	<p>Evidence was presented that the development component of micro-scale irrigation grant was used on eligible activities. For example;</p> <ul style="list-style-type: none"> - Nwoya DLG Microscale irrigation subgrant quarterly report for FY2022/23, compiled by SAE- Tabu Jusitn, dated 15th July 2023. <p>o The report indicated that a total of Ugx 1,180.235,000/- was used on installation of microscale irrigation sites.</p>	2

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	There was evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers.	1
	Maximum score 6		<p>1. From a file for the "Supply and installation of Micro-scale irrigation systems to farmers in Nwoya District under Contract Reference No. Nwoy912/UGIFT/SUPLS/22-23/00023 by Adritex Complete Water Solutions" An approved farmer in the names of Mr. Sekenye Crinsesia had signed an acceptance Form confirming that equipment is working well on 21st June 2023 before an amount UGX69,370,157 was paid to the supplier on 28th June 2023.</p> <p>2. From a file for the "Hydrogeophysical Surveys, sitting and supervision of drilling, pump testing and installation of 16 deep boreholes and 2 production wells under contract reference no. Nwoy912/srvcs/22-23/00014" An approved farmer Mr. Ocitti Simon Peter had signed an acceptance Form confirming that equipment is working well on 21st June 2023 before an amount UGX25,566,300 was paid to the supplier on 28th June 2023.</p>	
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	Evidence that the variations in the contract price are within +/- 20% of the Agriculture Engineers estimates: Score 1 or else score 0	There was evidence presented that showed that the variations in the contract price were within +/-20% of the Agriculture Engineer's estimates.	1
	Maximum score 6		<p>All contracts were signed by CAO-Hon. Nantume Jenepher and Linda Willis on behalf of AKVO, in the witnessed by SAE and DPO, on 7th June 2023.</p> <p>For example;</p> <p>1. Contract No: NWOY912/UGIFT/SUPLS/22-23/00023 LOT 1 (16 Farmers)</p> <p>Engineer's estimates: 214,500,000 /-</p> <p>Contract price: 194,850,000/-</p> <p>Variation = $(214,500,000 - 194,850,000) / 214,500,000 \times 100$</p> <p style="text-align: center;">= 9.2%</p> <p>2. Contract No: NWOY912/UGIFT/SUPLS/22-23/00023 LOT 2 (6 Farmers)</p>	

Engineer's estimates: 73,800,000/-

Contract price: 67,750,000/-

$$\begin{aligned}\text{Variation} &= (73,800,000 - 67,750,000) / 73,800,000 \times 100 \\ &= 8.2\%\end{aligned}$$

3. Contract No:
NWOY912/UGIFT/SUPLS/22-
23/00023 LOT 3 (6 Farmers)

Engineer's estimates: 129,000,000/-

Contract price: 115,638,000/-

$$\begin{aligned}\text{Variation} &= (129,000,000 - 115,638,000) / 129,000,000 \times 100 \\ &= 10.4\%\end{aligned}$$

4. Contract No:
NWOY912/UGIFT/SUPLS/22-
23/00023 LOT 4 (2 Farmers)

Engineer's estimates: 43,500,000/-

Contract price: 38,700,000/-

$$\begin{aligned}\text{Variation} &= (43,500,000 - 38,700,000) / 43,500,000 \times 100 \\ &= 11\%\end{aligned}$$

5. Contract No:
NWOY912/UGIFT/SUPLS/22-
23/00023 LOT 5 (3 Farmers)

Engineer's estimates: 56,000,000/-

Contract price: 49,958,000/-

$$\begin{aligned}\text{Variation} &= (56,000,000 - 49,958,000) / 56,000,000 \times 100 \\ &= 10.8\%\end{aligned}$$

6. Contract No:
NWOY912/UGIFT/SUPLS/22-
23/00023 LOT 6 (5 Farmers)

Engineer's estimates: 62,500,000/-

Contract price: 56,105,000/-

$$\begin{aligned}\text{Variation} &= (62,500,000 - 56,105,000) / 62,500,000 \times 100 \\ &= 10.2\%\end{aligned}$$

7. Contract No:
NWOY912/UGIFT/SUPLS/22-
23/00023 LOT 7 (4 Farmers)

Engineer's estimates: 64,500,000/-

Contract price: 57,315,000/-

$$\begin{aligned}\text{Variation} &= (64,500,000 - 57,315,000) / 64,500,000 \times 100 \\ &= 11.1\%\end{aligned}$$

Note: All contract Reference numbers were the same for the presented contracts, however, the procurement officer commented that they Contract numbers are differentiated by the "Lot No.s"

Hence the variations were within +/- 20%.

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY	No evidence was presented that micro-scale irrigation equipment where contracts were signed during the previous FY were also installed/ completed within the previous FY.	0
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0 	<p>- This is because the completion certificates for the installed sites were not presented at the time of assessment, hence the commencement date could not be ascertained.</p>	
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	a) Evidence that the LG has recruited LLG extension workers as per staffing structure	Information on recruitment of extension workers as per the guidelines was not presented for assessment.	0
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0 		
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF	There was evidence that the irrigation demonstration sites in the different LLGs meet standards as defined by MAAIF.	2
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 or else score 0 	<p>- Upon visiting the sites, the acreages found were in line with MAAF standards i.e. 1 acre at Okech Michael at Alero sub county and Oyuwelo peter.</p> <p>- Also, the systems that were installed included drip, sprinkler, drag hose and rain gun using petrol and solar energy which is in line with MAAIF standards.</p>	

4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>b) Evidence that the installed micro-scale irrigation systems during last FY are functional</p> <ul style="list-style-type: none"> • If 100% are functional score 2 or else score 0 	<p>Upon visiting the field, it was found that the irrigation systems installed on 2 sites (drip, drag hose and sprinkler) were functional.</p> <p>- This is because water was successfully pumped from the water source to the tank using the pumps, and thereafter distributed to different systems; drip, drag hose and sprinkler</p>	2
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Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0</p>	<p>The HRO never presented information on positions of extension workers filled.</p>	0
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5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p>	<p>There was evidence that the information on micro-scale irrigation system installed and functioning is accurate.</p> <p>- This is because the “Report on inventory of installed equipment for the Microscale irrigation program in different sub counties, compiled by SAE- Tabu Justin, as of January 2023” indicated that the irrigation system installed were functional, and upon visiting the site it was found that the systems were indeed functional, since the water was successfully pumped to the different distribution pipes.</p>	2
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6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>	<p>At the time of assessment, there was evidence that information was collected quarterly on newly irrigated land, functionality of irrigation equipment installed, provision of complementary services and farmer EOI.</p>	2
	<p>Maximum score 6</p>		<p>For example,</p> <ul style="list-style-type: none"> - Nwoya DLG Microscale irrigation subgrant quarterly report for quarter 4 FY 2022/2023 dated 15th July 2023 compiled by the SAE- Tabu Justin. <p>The report indicated 11 EOI, 27 Farm visits prepared and 6 Farm visits.</p> <ul style="list-style-type: none"> - Nwoya DLG Microscale irrigation subgrant quarterly report for quarter 3 FY 2022/2023 dated 15th April 2023, compiled by the SAE- Tabu Justin. <p>The report indicated 649 Expressions of interest, 188 Awareness raising event attendances, 88 prepared farm visits & 15 farm visits.</p>	
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p>	<p>Evidence was presented on up to-date LLG information entry into the MIS.</p>	1
	<p>Maximum score 6</p>		<p>For example, upon logging into the system by the Senior Agricultural Engineer, the MIS system indicated an update of 723 Expressions of interest, 431 preparations of farm visits, 431 Awareness raising event attendances & 275 farm visits.</p>	

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0	Evidence was presented on preparation of quarterly report using information compiled from LLGs in the MIS.	1
	Maximum score 6		<p>For example,</p> <ul style="list-style-type: none"> - Nwoya DLG Microscale irrigation subgrant quarterly report for quarter 4 FY 2022/2023 dated 15th July 2023 compiled by the SAE- Tabu Justin. <p>The report indicated 11 EOI, 27 Farm visits prepared and 6 Farm visits.</p> <ul style="list-style-type: none"> - Nwoya DLG Microscale irrigation subgrant quarterly report for quarter 3 FY 2022/2023 dated 15th April 2023, compiled by the SAE- Tabu Justin. - The report indicated 649 Expressions of interest, 188 Awareness raising event attendances, 88 prepared farm visits & 15 farm visits. 	
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	No evidence was presented that the LG has: Developed and approved Performance Improvement Plan for the lowest performing LLGs., - The SAE commented that he did not receive the results from the LLG assessment hence couldn't develop the Performance Improvement Plan.	0
	Maximum score 6			
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	No evidence was presented on Implementing Performance Improvement Plans for lowest performing LLGs. For example; - The SAE commented that he did not receive the results from the LLG assessment hence couldn't develop the Performance Improvement Plan.	0
	Maximum score 6			

Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	There was evidence that the LG had budgeted for extension workers according to the estimates FY 2023/2024, showing Wege recurrent as UGX 1,149,920/-, under the production and marketing department, on page 26 of 74.	1
Maximum score 6				
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	ii Deployed extension workers as per guidelines score 1 or else 0	There was evidence for deployment of extension workers as per guidelines, according to the; - Nwoya DLG production staff List as of January 2023, showing; o Tabu Justin as the SAE posted at the District Head Quarters. U3Sc. o Olak George as the AAO posted at Alero sub county at U5Sc. o Akello Harriet as the AVO posted at Purongo subcounty at U5Sc.	1
Maximum score 6				
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0	There was evidence that extension workers were working where they were deployed for example; Olak George as the AAO was working where he was posted at Alero sub county, Akello Harriet as the AVO was working where he was posted at Purongo subcounty and Tabu Justin was working where he was posted as the SAE posted at the District Head Quarters.	2
Maximum score 6				
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0	There was publicization of extension workers at LLGs for example at Alero sub county and Purongo subcounty where Akello Harriet and Olak George were found posted within the staff lists of the sub counties.	2
Maximum score 6				

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has:</p> <p>i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0</p>	<p>There was evidence that all extension workers were appraised by the District Production Coordinator for the previous FY and copies submitted to HRO. A sample of 10 appraisal files for extension workers were reviewed as follows:</p> <ol style="list-style-type: none"> 1. Akello Hariet Kilama Assistant Veterinary Officer at Lungulu S/C was appraised on 30th June 2023. 2. Komakech Michael Veterinary Officer at Koch Goma S/C was appraised on 30th June 2023. 3. Otim Thomas, Veterinary Officer at Alero S/C was appraised on 2nd May 2023. 4. Otim Boniface Veterinary Officer at Paminyai was appraised on 30th June 2023. 5. Maktunu Bernad Agricultural Officer at Paminyai Sub County was appraised on 30th June 2023. 6. Omony Moses Okumu Agricultural Officer at Purongo S/C was appraised on 30th June 2023. 7. Oola Geoffrey Maxwell Agricultural Officer at Anaka S/C was appraised on 30th June 2023. 8. Lanek John Peter Fisheries Officer at Purongo S/C was appraised on 30th June 2023. 9. Oloya Kabila Lawrence Fisheries Officer at Got Apwoyo Sub County was newly recruited on 2nd May 2023. 10. Akongo Pamela Agricultural Officer at Got Apwoyo S/C was appraised on 30th June 2023. 	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has;</p> <p>Taken corrective actions: Score 1 or else 0</p>	<p>Evidence not provided by LG</p>	0

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>b) Evidence that:</p> <p>i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0</p>	<p>There was evidence for implementation of training activities conducted for example,</p> <ul style="list-style-type: none"> • Certificate of completion for online microscale irrigation program training, Module 6 Tabu Justin SAE for Nwoya DLG on 6th May 2021. • Certificate of completion for online microscale irrigation program training, Module 6 by Anena Anjella Kidega AO for Anaka Sub County on 23rd May 2021. • Certificate of completion for online microscale irrigation program training, Module 6 by Oola Godfrey AO for Koch Goma sub county on 11th May 2021. 	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>ii Evidence that training activities were documented in the training database: Score 1 or else 0</p>	<p>There was evidence presented that training activities were documented in the training database according to the Nwoya DLG Training database for production department as of June 2021 signed by DPO- Dr. Ukwi James. indicating the following trainings;</p> <ul style="list-style-type: none"> - On-farm training report for UGIFT Microscale irrigation project, dated 15th August , compiled by Omonyi Moses Okumu AO for Purongo subcounty. - Certificate of completion for online microscale irrigation program training, Module 6 Tabu Justin SAE for Nwoya DLG on 6th May 2021. - Certificate of completion for online microscale irrigation program training, Module 6 by Anena Anjella Kidega AO for Anaka Sub County on 23rd May 2021. - Certificate of completion for online microscale irrigation program training, Module 6 by Oola Godfrey AO for Koch Goma sub county on 11th May 2021. 	1

Management, Monitoring and Supervision of Services.

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 - 75% capital development; and 25% complementary services): Score 2 or else 0</p>	<p>From the UGIFT microscale irrigation workplan for FY 2023/2024, the total budget was UGX 213,396,200. The 75% allocation for capital development was UGX 160,047,150 and 25% allocation for complementary services = UGX 53,349,050.</p> <p>Therefore the LG had appropriately allocated the micro scale irrigation grant between capital development and complementary services.</p>	2
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	<p>From the UGIFT microscale irrigation workplan for FY 2023/2024, the total budget was UGX 213,396,200. The 75% allocation for capital development was UGX 160,047,150 and 25% allocation for complementary services = UGX 53,349,050.</p> <p>1. From the 25% allocation for complementary services = UGX 53,349,050. (15% of worth UGX 8,002,357 was allocated for awareness raising of local leaders and 10% worth UGX 5,334,905 was allocated for procurement, Monitoring and Supervision)</p> <p>2. From the 75% of the complimentary services worth UGX 160,047,150. (15% worth UGX 24,007,072 was allocated for Awareness raising of farmers, 10% worth UGX 16,004,715 was allocated for Farm visits, 30% worth UGX 48,014,145 was allocated for Demonstrations and 20% worth UGX 3,200,943 was allocated for Farmer Field Schools)</p> <p>Therefore, the budget allocations were made towards complementary services in line with the sector guidelines.</p>	2
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>The farmer co-funding was reflected on page 25 of 72 of the LG approved annual budget estimates for FY22023/2024. An amount of UGX 17,200,00 was reflected as Local revenue for Agro-industrialization program.</p>	2
Maximum score 10				

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>No evidence was presented that the LG had used of the farmer co-funding.</p>	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>There was evidence presented that the LG had disseminated information on use of the farmer co-funding for example;</p> <ul style="list-style-type: none"> - Report of cofounding meeting held with farmers under the UGIFT microscale irrigation program, Nwoya DLG UGIFT, dated 30th May 2022, compiled by SAE- Tabu Jusin. - The report indicated that the SAE emphasised Copayment by the farmer as 25% and 75% by the government, for solar powered systems. 	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 Less than 70% score 0 	<p>Evidence was presented that the DPO has monitored on a monthly basis installed micro- scale irrigation equipment.</p> <ul style="list-style-type: none"> - DPO Monitoring report on UGIFT Microscale irrigation Anak subcounty. Dated 19th August 2022, compiled by the Acting DPO- Kilama Fred. o The report indicated the following challenges; Poor attitude of farers to pay cofounding and price fluctuation of equipment. - DPO Monitoring report on UGIFT Microscale irrigation Lungulu subcounty. Dated 25th April 2023, compiled by the Acting DPO- Kilama Fred. o The report indicated the following challenges; Poor attitude of farmers. 	2

10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p>	<p>b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>Evidence was presented on oversight for technical training & support to the approved Farmer to achieve servicing and maintenance during the warranty period for example,</p> <ul style="list-style-type: none"> - Report on- farm training on dry season vegetables production conducted in Koch-Goma/ GOT Apwoyo sub counties, under microscale irrigation. - The training involved 30 participants, and included irrigation scheduling, factors that affect irrigation and system operations. 	2
	<p>Maximum score 8</p>			
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p>	<p>c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0</p>	<p>At the time of assessment, there was evidence presented that the LG had provided hands- on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines. For example,</p> <ul style="list-style-type: none"> • Report on capacity building to Omoro district under UGIFT Microscale irrigation program, on conducting farm visits, compiled by SAE- Tabu Justin, dated 20th June 2021. • The report indicated that the training focused on farm visits development, irri-track usage and problem/ knowledge sharing across different extension staff. 	2
	<p>Maximum score 8</p>			
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p>	<p>d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0</p>	<p>Evidence was presented that the LG had established and run farmer field schools as per guidelines.</p> <ul style="list-style-type: none"> - Nwoya DLG farmer field schools' formation report, compiled by AO-Moses Omony, Purong subcounty, date 28th August 2023. - The report indicated that the training focused on setting up of successful nursery beds, transplanting and disease control in tomatoes. 	2
	<p>Maximum score 8</p>			

11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0</p>	<p>Evidence was presented on the LG conducting activities to mobilize farmers as per guidelines for example,</p> <ul style="list-style-type: none"> • Report on Radio talk show on UGIFT project Nwoya DLG, compiled SAE- Tabu Jusitn on 27th June 2023. <p>The talk show was conducted at Voice of Nwoya FM, involving the SAE, RDC and Secretary for production.</p>	2
11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0</p>	<p>Evidence was presented about training of staff and political leaders at District and LLG levels, e.g.,</p> <ul style="list-style-type: none"> • Report on Awareness creation activity under UGIFT microscale irrigation program conducted in Lungulu sub county, compiled by Akongo Pamela, AO for Lungolu sub county, dated 18th January 2023. <p>Report involved 10 technical staff, 7 council executives, 16 are councillors and 15 farmers' representatives. A total of 48 persons attended the meeting.</p> <ul style="list-style-type: none"> • Activity report for Awareness creation on microscale irrigation program in Lungulu sub county, compiled by Okonga Pamela, dated 12th March 2023. • Report involved 13 technical staff, 7 council executives, 16 area councillors and 15 LC1 chairpersons. A total of 51 individuals attended the meeting. 	2

Investment Management

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0</p>	<p>Evidence was presented that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY.</p>	2
	<p>Maximum score 8</p>		<p>- Report on inventory of installed equipment for the Microscale irrigation program in different sub counties, compiled by SAE- Tabu Justin, as of January 2023.</p> <p>- The report indicated that the following sub counties received functional irrigation equipment, Alero subcounty, Got Apwoyo sub county, Lii subcounty, Lungulu subcounty, Purong subcounty, Koch Goma Town council and Koch Goma sub county.</p>	
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p>	<p>There was evidence presented on keeping an up to-date database of applications at the time of the assessment.</p>	2
	<p>Maximum score 8</p>		<p>e.g.; The database of EOI indicated some of the following farmers;</p> <p>- Godfrey Ojok/ Male/ Anaka sub county/ DOB 1st May 1988/ 0775524599</p> <p>- Felix Ouma/ Male/ Alero sub county/ DOB 15th August 1988/ 07728100026.</p> <p>- Godfrey Ouma/ Male/ Lii sub county/ DOB 2nd October 1972/ 0772383971.</p>	
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>At the time of assessment, evidence was presented that the District had carried out farm visits to farmers that submitted complete Expressions of Interest (Eoi). E.g.,</p>	2
	<p>Maximum score 8</p>		<p>• Report on farm visits under the UGIFT Microscale irrigation project, compiled by SAE; Tabu Justin, dated 13th Justin 2023.</p> <p>The report indicated a total of 152 successful farm visits recorded across the different sub counties.</p>	

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0	At the DPO notice board, the assessment never saw any posting of the eligible farmers.	0
	Maximum score 8			
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.	The LG incorporated MSI systems in the procurement plan for FY 2023/2024 dated 1st September 2023. for instance;	1
	Maximum score 18		1.Design, supply and installation of Micro-Scale Irrigation Equipment for all the farmers indicated on page 8 of 13 at an estimated budget of UGX 700,000,000.	
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0	The LG didn't request for quotations from prequalified suppliers by MAAIF because the LG was at demonstration stage.	2
	Maximum score 18		However as per Micro-scale technical guidelines version 3, April 2023 the LG requested for quotations from local suppliers i.e. M/s Adritex (U) Limited and M/s Akvo International SMC Limited.	

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0	There was no evidence captured at the time of assessment that the LG concluded the selection of irrigation equipment suppliers.	2
	Maximum score 18		There was evidence that the LG concluded the selection of irrigation equipment suppliers, For instance;	
			1. Contracts committee on 22nd May 2023 under minute no 4/155/NCC/22-23 approved the evaluation report dated 9th May 2023 and awarded the contract to M/s Akvo International SMC Limited to Supply Micro- Scale Irrigation system to farmers in Alero sub-county. Equipment.The suppliers who bided were;	
			- M/s Adritex (U) Limited'	
			- M/s Akvo International SMC Limited,	
			- M/s Kaftech Investment Limited.	
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0	The contracts committee on 24th March 2023 under minute no.4/151/NCC/22-23 approved the award of Design, supply and installation of micro scale irrigation systems, demonstration sites at Got-Apwoyo seed secondary school site, with procurement reference number: Nwoy912/UGIFT/Surplus/22-23/00022 to M/S Adritex (U) Limited at a contract price of UGX 67,599,000. The project evaluation report was dated 14th March 2023.	1
	Maximum score 18			
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	There was no evidence at the time of assessment to show that the LG signed a contract with the lowest priced technically responsive irrigation equipment supplier.	0
	Maximum score 18			

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	No evidence was presented that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App), or the approved work plan by MAAIF.	0
	Maximum score 18		This was because the DLG never forwarded designs for approval by MAAIF.	
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0	<p>At the time of assessment, there was evidence presented on the LG conducting regular technical supervision of micro-scale irrigation projects by the relevant technical officers. E.g.,</p> <ul style="list-style-type: none"> • Report on interframe visit conducted in Amar- Lakalac under Microscale irrigation project, compiled by SAE; Tabu Justin, dated 24th September 2022, attended by 8 subcounty officials ,8 district officials, 50 farmers, with a total of 66 participants. <p>The monitoring focus on technology adoption including farmers' ability to operate the system.</p> <ul style="list-style-type: none"> • DPO Monitoring report on UGIFT Microscale irrigation Anak subcounty. Dated 19th August 2022, compiled by the Acting DPO- Kilama Fred. <p>o The report indicated the following challenges; Poor attitude of farers to pay cofounding and price fluctuation of equipment.</p> <ul style="list-style-type: none"> • DPO Monitoring report on UGIFT Microscale irrigation Lungulu subcounty. Dated 25th April 2023, compiled by the Acting DPO- Kilama Fred. <p>o The report indicated the following challenges; Poor attitude of farers to pay cofounding and crop diseases.</p>	2
	Maximum score 18			

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>h) Evidence that the LG has overseen the irrigation equipment supplier during:</p> <p>i. Testing the functionality of the installed equipment: Score 1 or else 0</p>	<p>Evidence was presented that the LG has overseen the irrigation equipment supplier during testing the functionality of the installed equipment. For example;</p> <ul style="list-style-type: none"> - Report on supervision of the installation and testing of the irrigation equipment for demonstration sites under the UGIFT Microscale irrigation program. Report compiled by SAE-Tabu Justin, dated 15th July 2022. o The engineer recommended that 2 sites were functional, but there was low pressure at Okello Robert at the furthest end of the plot. Pump replacement was recommended to ensure sufficient pressure. 	1
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0</p>	<p>No evidence was presented that the LG had overseen the irrigation equipment supplier during the Hand-over of the equipment to the Approved Farmer.</p> <p>The SAE however commented that the commissioning and handover plan was for the current financial year.</p>	0

Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines

Maximum score 18

i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0

The Local Government had made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form

1. From a file for the "Supply and installation of Micro-scale irrigation systems to farmers in Nwoya District under Contract Reference No. Nwoy912/UGIFT/SUPLS/22-23/00023 by Adritex Complete Water Solutions" The contractor issued a requisition for payment on 20th June 2023 and An approved farmer in the names of Mr. Sekenye Crinsesia had signed an acceptance Form confirming that equipment is working well on 21st June 2023 before an amount UGX69,370,157 was paid to the supplier on 28th June 2023 under EFT6422301.

2. From a file for the "Hydrogeophysical Surveys, sitting and supervision of drilling, pump testing and installation of 16 deep boreholes and 2 production wells under contract reference no. Nwoy912/srvcs/22-23/00014" An approved farmer Mr. Ocitti Simon Peter had signed an acceptance Form confirming that equipment is working well on 21st June 2023 before an amount UGX25,566,300 was paid to the supplier on 28th June 2023 under EFT64339802.

Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines

Maximum score 18

j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0

There was evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA ,for instance, .

1. For design, supply and installation of micro scale irrigation systems, demonstration sites at Got-Apwoyo seed secondary school site, with procurement reference number: Nwoy912/UGIFT/Surplus/22-23/00022, the file had a project evaluation report dated 14th March 2023. The contract was approved by the contracts committee on 24th May 2023 under minute number 4/151/NCC/22-23 and awarded to Adritex (U) Limited at a contract price of UGX 67,599,000. Agreement between the parties was signed on 13th April 2023.

2. For supply of micro scale irrigation system to farmers in Alero sub-county, Lot 2, with procurement reference number: Nwoy912/UGIFT/Surplus/22-23/00023, the file had a project evaluation report dated 9th May 2023. The contract was approved by the contracts committee on 22nd May 2023 under Min. No. 4/155/NCC/22-23 and awarded to Adritex (U) Limited at a contract price of UGX 101,850,000(for nine farmers). Agreement between the parties was signed on 7th June 2023.

3. For supply of micro scale irrigation system to farmers in Alero sub-county, with procurement reference number: Nwoy912/UGIFT/Surplus/22-23/00023, Lot 2, the file had a project evaluation report dated 9th May 2023. The contract was approved by the contracts committee on 22nd May 2023 under Min. No. 4/155/NCC/22-23 and awarded to Akvo International SMC Limited at a contract price of UGX 67,750,000. Agreement between the parties was signed on 7th June 2023.

Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0</p>	<p>Evidence was presented at the time of assessment that the Local Government displayed details of the nature and avenues to address grievance prominently in multiple public areas. E.g,</p> <ul style="list-style-type: none"> - There was evidence of GRM details dissemination, for example, publicising of the GRM on 3rd July by the DPO, indicating a list of avenues for different grievances, that was publicised on the Production department notice board on 3rd July by the DPO, Dr. Tamim Ebong. - There was also evidence of the Grievance Redress Logbook for UGIFT microscale irrigating program FY 2022.2023, as of January 2023. - The Logbook capture several cases for example - Lakot Matriona of Gotaowyoyo subcounty reported a delay of delivery of the systems after payment on 4th January 2023. The grievance was handled by contacting the contractor and handled on the 17th February 2023. 	2
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <ul style="list-style-type: none"> i). Recorded score 1 or else 0 ii). Investigated score 1 or else 0 iii). Responded to score 1 or else 0 iv). Reported on in line with LG grievance redress framework score 1 or else 0 	<p>On 7th March, 2023, Mr. Lakot Matriona of Latoro Tegot Village, reported that the drag horse pipe had busted and the district team was taking long to replace it. The Agricultural officer and SAS captured the case and after investigation it was found that the farmer had connected a plastic sprinkler to the drag horse instead of hand-held nozzle. This created high pressure and eventually the pipe busted. On 4th April, 2023 the issue was resolved.</p>	1
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <ul style="list-style-type: none"> ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0 	<p>On 7th March, 2023, Mr. Lakot Matriona of Latoro Tegot Village, reported that the drag horse pipe had busted and the district team was taking long to replace it. The Agricultural officer and SAS captured the case and after investigation it was found that the farmer had connected a plastic sprinkler to the drag horse instead of hand-held nozzle. This created high pressure and eventually the pipe busted. On 4th April, 2023 the issue was resolved.</p>	1

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>On 7th March, 2023, Mr. Lakot Matriona of Latoro Tegot Village, reported that the drag horse pipe had busted and the district team was taking long to replace it. The Agricultural officer and SAS captured the case and after investigation it was found that the farmer had connected a plastic sprinkler to the drag horse instead of hand-held nozzle. This created high pressure and eventually the pipe busted. On 4th April, 2023 the issue was resolved.</p>	1
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14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>On 7th March, 2023, Mr. Lakot Matriona of Latoro Tegot Village, reported that the drag horse pipe had busted and the district team was taking long to replace it. The Agricultural officer and SAS captured the case and after investigation it was found that the farmer had connected a plastic sprinkler to the drag horse instead of hand-held nozzle. This created high pressure and eventually the pipe busted. On 4th April, 2023 the issue was resolved.</p>	1
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Environment and Social Requirements

15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.</p> <p>score 2 or else 0</p>	<p>Evidence was presented on the dissemination of Micro- irrigation guidelines for proper siting, land access and safe disposal of chemical waste containers.</p> <p>MOUs were signed between CAO on behalf of Hin. Nantume Jenepher and the following farmers;</p> <p>- Tabu Francis of Alero sub county on 13th June 2023.</p> <p>- Loum Moses of Alero subcounty on 3rd May 2023.</p> <p>- Obwoyo Benard of Lii subcounty on 11th May 2023.</p> <p>The MOUs specify that the farmer should provide land free of any encumbrances for the UgIFT microscale irrigation systems.</p>	2
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15	Safeguards in the delivery of investments Maximum score 6	b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment. i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0	In a Contract document for the design, supply and installation of micro-scale irrigation systems to farmers in Purongo Subcounty between Nwoya DLG and Adritex (U) Limited, costed ESMPs were not integrated in the BoQ.	0
15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	Report on follow up of UGIFT micro irrigation scheme project on implementation of social safeguards and the report was prepared on 30th July, 2023. The inspection was carried out by the DCDO, Senior Labour officer, senior agricultural officer and Chief administrative officer among others. Some of the inspection findings included; labour employed met the minimum age requirement, improvement in relationships at family labour accruing out of joint planning.	1
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	Supply of irrigation system at Kochi Goma in Koch Goma Subcounty. Environment and social compliance certification form endorsed by both CDO and Environment Officer on 20th January, 2023. Micro-scale irrigation system supply in Lungulu Subcounty in Lungulu Subcounty, Nwoya District. Environment and social compliance certification form endorsed by both CDO and Environment Officer on 18th January, 2023. Supply of micro irrigation system to Paminyai Subcounty in Paminyai Subcounty. Environment and social compliance certification form endorsed by both CDO and Environment Officer on 18th January, 2023.	1

Safeguards in the delivery of investments

Maximum score 6

iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0

Supply of irrigation system at Kochi Goma in Koch Goma Subcounty. Environment and social compliance certification form endorsed by both CDO and Environment Officer on 20th January, 2023.

Micro-scale irrigation system supply in Lungulu Subcounty in Lungulu Subcounty, Nwoya District. Environment and social compliance certification form endorsed by both CDO and Environment Officer on 18th January, 2023.

Supply of micro irrigation system to Paminyai Subcounty in Paminyai Subcounty. Environment and social compliance certification form endorsed by both CDO and Environment Officer on 18th January, 2023.

**Crosscutting Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	The LG neither had substantively appointed Chief Finance Officer nor a formally seconded staff from MoFPED to fill the position.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	The LG neither had substantively appointed District Planner nor sought for a formally seconded staff from MoFPED to fill the position.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	The LG neither had substantively appointed District Engineer nor had a formally seconded staff from Ministry of Works and Transport to fill the position.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	The LG substantively appointed Okot Peter Byron as DNRO vide letter dated 22nd May 2023 as was directed by DSC minute: NDSC/6/2023. .	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	The LG substantively appointed Ebong Tamim as DPO vide letter dated 2nd May 2023 as was directed by DSC minute NDSC/5/2023.	3

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	The LG substantively appointed Akena Geoffrey as DCDO vide letter dated 22nd July 2016, under DSC minute DSC/04/2016/03.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	The LG substantively appointed Ocitti Anying Godfrey Odoki as DCO vide letter dated 13th February 2023 as was directed by DSC minute NDSC/1/2023.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	The LG substantively appointed Achiro Jane as Senior Procurement Officer vide letter dated 21st September 2017 as was directed by DSC minute: NDSC/6/2017.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	The LG neither had substantively appointed Procurement Officer nor a formally seconded staff from MoFPED to fill the position.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	The LG substantively appointed Apiyo Gladys as PHRO vide letter dated 13th February 2023 as was directed by DSC minute: NDSC/1/2023.	2

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	The LG substantively appointed Acca Evaline as Senior Environment Officer, vide letter dated 24th January 2018 as was directed by DSC minute: NDSC/6/2017..	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	k. Senior Land Management Officer /Physical Planner, score 2 or else 0	The LG substantively appointed Ocwa Simon Peter as Senior Land Management Officer, vide letter dated 25th January 2013 as was directed by DSC minute: 25/06/2012.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	l. A Senior Accountant, score 2 or else 0	The LG substantively appointed Awanga Samuel as Senior Accountant, vide letter dated 8th August 2019 as was directed by DSC minute: NDSC/4/2019.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0	The LG neither had substantively appointed Principal Internal Auditor nor a formally seconded staff from MoFPED to fill the position.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0	The LG neither had substantively appointed PHRO DSC nor a formally seconded staff from MoPS to fill the position.	0

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).

The LG had 11 LLGs and SAS had been appointed as follows:

1. Parmu Harriet Omach was appointed as SAS vide letter dated 5th April 2017, under DSC minute: DSC/3/2017/dd/13-23/3/2017. Deployed at Anaka Sub County.
2. Oneka Innocent was appointed as SAS vide letter dated 17th July 2023, under DSC minute: NDSC/8/2023;dd;03/7/2023(PP134). Deployed at Lii Sub County.
3. Aciro Paska was appointed as SAS vide letter dated 18th January 2021, under DSC minute: NDSC/4/2019/dd/13/6/2019. Deployed at Koch Goma Sub County.
4. Alwan Richard was appointed as SAS vide letter dated 6th October 2020, under DSC minute: NDSC/4/2019/dd/13/6/2019. Deployed at Alero Sub County.
5. Lanyero Beatrice was appointed as SAS vide letter dated 12th May 2022, under DSC minute: NDSC/\$/2022;22/4/2022(PP.019). Deployed at Purongo Sub County.
6. Ochan Debob was appointed as SAS vide letter dated 5th April 2017, under DSC minute: DSC/3/2017/dd/13-23/3/2017. Deployed at Paminyai Sub County.
7. Okongo Ben Ajabi was appointed as SAS vide letter dated 5th February 2013, under DSC minute: 31/6/2012. Deployed at Lungulu Sub County.
8. Acayo Peace Diana was appointed as Town Clerk vide letter dated 22nd May 2023, under DSC minute: NDSC/6/2023/dd/10/5/2023(PP:113). Deployed at Purongo Town Council.
9. Onyee John Paul was appointed as Town Clerk vide letter dated 13th February 2023, under DSC minute: NDSC//1/2023;dd/07/02/2023(2.1). Deployed at Anaka Town Council.
10. Odong Robert Omara was appointed as Town Clerk vide letter dated 22nd May 2023, under DSC minute: NDSC/6/2023/dd/10/5/2023(PP:112). Deployed at Koch Goma Town Council.
11. Ayiga Daniel was appointed as SATC vide letter dated 22nd May 2023, under DSC minute: NDSC/6/2023;dd10/05/2023(PP.088). Deployed at Got Apwoyo Sub County.

2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

The LG had 11 LLGs and appointed CDOs in only 9 of the Sub Counties.

1. Olanya David was appointed as CDO vide letter dated 10th May 2017 as was directed by DSC minute No. DSC/4/2017 and deployed at Alero Sub County.
2. Lapyem Denish was appointed as CDO vide letter dated 2nd May 2023 as was directed by DSC minute No. NDSC/4/2023 and deployed at Paminyai Sub County.
3. Abalo Dorothy was appointed as CDO vide letter dated 10th May 2017 as was directed by DSC minute No. DSC/4/2017 and deployed at Anaka Sub County
4. Lamwaka Josephine was appointed as CDO vide letter dated 2nd November 2020 as was directed by DSC minute No. NDSC/1/2020 and was deployed at Lii Sub County.
5. Ajok Annet Francisca was appointed CDO vide letter dated 10th May 2017 as was directed by DSC minute No: DSC/4/2017 and deployed at Koch Goma Sub County.
6. Ayoo Paska was appointed CDO vide letter dated 10th May 2017 as was directed by DSC minute No: DSC/4/2017 and was deployed at Purongo Sub County.
7. Akello Christine was appointed CDO vide letter dated 10th May 2017 as was directed by DSC minute No: DSC/4/2017 and was deployed at Lungulu Sub county.
8. Loum Alfred was appointed CDO vide letter dated 5th April 2017as was directed by DSC minute No: DSC/3/2017 and deployed at Nwoya Town Council.
9. Nakyanzi Proscovia was appointed as CDO vide letter dated 2nd May 2023 as was directed by DSC minute No. NDSC/4/2023 and was deployed at Got Apwoyo Sub County.

0

2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

The LG had 11 LLGs and had substantively appointed SAAs in all the LLGs as listed below:

1. Apwoyorwot Denish was appointed as AA vide letter dated 10th May 2017 as directed by the DSC minute No. DSC/4/2017 and was deployed at Purongo Sub County.
2. Ocira Geoffrey was appointed as SAA vide letter dated 28th June 2010, as directed by the DSC minute No. 03/2010(b) (7) and deployed at Lii Sub

5

County.

3. Obalim Vincent Bright was appointed as SAA vide letter dated 1st April 2013 as directed by the DSC minute No. 41/08/2013 and deployed at Lungulu Sub County

4. Watmon Augustine was appointed as SAA vide letter dated 5th April 2017 as directed by the DSC minute No. DSC/3/2017 and deployed at Anaka Sub County.

5. Okello Denis was appointed AA vide letter dated 10th May 2017 as directed by the DSC minute No DSC/4/2017 and deployed at Got Apwoyo Sub County.

6. Akena Geoffrey Anyella was appointed SAA vide letter dated 5th April 2017 as directed by the DSC minute No DSC/3/2017 and deployed at Alero Sub County.

7. Nyamchika Ben Richard was appointed SAA vide letter dated 27th June 2018 as directed by the DSC minute No NDSC/3/2018 and deployed at Koch Goma Sub County.

8. Achire Francis was appointed as SAA vide letter dated 5th April 2017 as directed by the DSC minute No. DSC/3/2017 and deployed at Paminyai Sub County

9. Oloya Denish was appointed as AA vide letter dated 8th August 2019 as directed by the DSC minute No. NDSC/4/2019 and deployed at Purongo Town Council.

10. Okello Raymond was appointed AA vide letter dated 1st August 2018 as directed by the DSC minute No NDSC/4/2018 and deployed at Anaka Town Council.

11. Akullu Susan was appointed AA vide letter dated 10th May 2017 as directed by the DSC minute No DSC/4/2017 and deployed at Koch Goma Town Council.

Environment and Social Requirements

3

Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.

Maximum score is 4

If the LG has released 100% of funds allocated in the previous FY to:

a. Natural Resources department,

score 2 or else 0

From page 18 of the Draft Final Accounts 2022-2023, the budgeted amount of the Natural Resources Department was UGX 283,391,470 and the released amount was UGX 283,391,470 which was 100%.

2

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	From page 15 of the Draft Final Accounts 2022-2023, the budgeted amount of the Community Based Services department was UGX 794,826,653 and the released amount was UGX 794,826,653 which was 100%.	2
	Maximum score is 4	b. Community Based Services department.		
		score 2 or else 0.		
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	a. If the LG has carried out Environmental, Social and Climate Change screening,	The LG had carried out Environmental, Social and Climate Change screening for the DDEG investments implemented last FY as per the examples below;	4
	Maximum score is 12	score 4 or else 0	Renovation of planning unit located in Anaka Town Council. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 30th November, 2022	
			Completion of commercial block structure in Anaka Town Council. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 30th November, 2022	
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),	The DDEG financed projects did not require ESIAs in reference to the National Environment Act 2019 under schedule 4 part 2 which consist of projects with simple environment and social measures and the minimal level of impacts requiring ESMPs.	4
	Maximum score is 12	score 4 or 0	Renovation of planning unit located in Anaka Town Council. The ESMP endorsed by the DCDO and Environment officer dated 30th November, 2022	
			Completion of commercial block structure in Anaka Town Council. The ESMP endorsed by the DCDO and Environment officer dated 30th November, 2022	

4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>	<p>c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;</p> <p>score 4 or 0</p>	<p>The LG had developed costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG) in the previous FY as shown below;</p> <p>Renovation of planning unit located in Anaka Town Council. The ESMP costed at 4,500,000 Ugshs endorsed by the DCDO and Environment officer dated 30th November, 2022</p> <p>Completion of commercial block structure in Anaka Town Council. The ESMP costed at 4,050,000 Ug shs endorsed by the DCDO and Environment officer dated 30th November, 2022</p>	4
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Financial management and reporting

5	<p>Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.</p> <p>Maximum score is 10</p>	<p>If a LG has a clean audit opinion, score 10;</p> <p>If a LG has a qualified audit opinion, score 5</p> <p>If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0</p>	<p>The LG obtained Unqualified audit opinion from the OAG on its operations for the financial year 2022/2023.</p>	10
6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p> <p>maximum score is 10</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p> <p>score 10 or else 0.</p>	<p>Through a letter prepared on 22nd November 2023 and titled "Status of Implementations of Internal Auditor General Findings for FY ended June 2022 " addressed to the Permanent Secretary Ministry of Finance, Planning and Economic Development. This letter was received by the registry of Ministry of Finance Planning and Economic Development and Office of the Auditor General on 27th February 2023. Therefore, this information was sent before end of February thus being timely.</p>	10
7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p> <p>Maximum Score 4</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p> <p>score 4 or else 0.</p>	<p>From the Local government annual performance contract. The Local Government submitted the annual performance contract on 28th August 2023 which was before the deadline of August 31st of the current FY. The annual performance contract was countersigned by the PS/ST.</p>	4

8	Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year maximum score 4 or else 0	If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year, score 4 or else 0.	From the PBS generated Annual Performance Report, the local government had submitted the annual performance report on 28th August 2023 which was before the 31st August of the current FY.	4
9	Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year Maximum score is 4	If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year, score 4 or else 0.	The local government submitted all the quarterly Budget performance reports of the Previous FY before August 31 of the current FY. From the PBS extraction details; Quarter one was submitted on 7th December 2022, Quarter two was submitted on 19th February 2023, Quarter three was submitted on 16th May 2023, and Quarter four was submitted on 28th August 2023. All the dates were before the August 31 of the current FY.	4

**Education Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.</p> <p><i>The Maximum Score of 70</i></p>	<p>a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0</p>	<p>The LG substantively appointed Ayiba George Butele as DEO vide letter CR/NDLG/10440 dated 6th February 2018 as was directed by the DSC minute No NDSC/2/2018.</p>	30
1	<p>New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.</p> <p><i>The Maximum Score of 70</i></p>	<p>b) All District/Municipal Inspector of Schools, score 40 or else 0.</p>	<p>The LG approved staff structure provided for Two (2) Inspector of Schools. All the Inspector of Schools were substantively recruited as follows;</p> <p>1. The LG substantively appointed Kidega Phonic Onekalit as Senior Inspector of Schools vide letter dated 19th March 2019 as was directed by the DSC minute: NDSC/2/2019.</p> <p>2. The LG substantively appointed Achola Harriet as Inspector of Schools vide letter 8th August 2019 as was directed by DSC minute NDSC/5/2019.</p>	40
Environment and Social Requirements				
2	<p>Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>The Maximum score is 30</p>	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>The LG carried out Environmental, Social and Climate Change screening/Environment for the Education sector investments implemented the previous FY;</p> <p>Construction of one block of 2 classrooms block with office at Lamoki Primary School in Anaka Subcounty. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 25th November, 2022</p> <p>Completion of 1 block of 2 classrooms at Olwiyo Primary School. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 24th November, 2022.</p> <p>Fencing and laying of compound bricks at Education and sports office compound. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 15th January, 2023.</p>	15

Construction of 1 block of five stances drainable latrine at Alelelele Primary School in Paminya Subcounty. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 6th March, 2023

Construction of 1 block of 2 classrooms with office at St. Peters Bwobomamu Primary school. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 5th December, 2022

Construction of one block of 5 stances drainable latrine at St. Luke Te-Olam Primary School in Anaka Subcounty. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 17th June, 2023

Construction of Cot Apwoyo Seed Secondary School I Cot Apwoyo Subcounty. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 1st December, 2022

Construction of 1 block of 3 classrooms at Ungai Primary School in Alero Subcounty. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 17th November, 2022

Construction of 1 block of 5 stance drainable latrine at Lii Primary School in Lii Subcounty. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 29th November, 2022

Construction of 1 block of 5 stance drainable latrine at Kamguru Primary School in Lii Subcounty. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 24th November, 2022

Construction of 1 block of 2 units staff house at St. Kizito Alero Luku Primary School. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 30th November, 2022

Construction of one block of 2 classroom with office at Agung Primary School in Anaka Subcounty. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 18th November, 2022

Construction of 1 block of 2 units staff house at Alero Primary School. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 15th November, 2022

2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:
b. Social Impact Assessments (ESIAs) , score 15 or else 0.

The Education sector projects did not require ESIAs in reference to the National Environment Act 2019 under schedule 4 part 2 which consist of projects with simple environment and social measures and the minimal level of impacts requiring ESMPs.

15

The Maximum score is 30

Construction of one block of 2 classrooms block with office at Lamoki Primary School in Anaka Subcounty. ESMP was costed at 3,000,000 Ugshs endorsed by the DCDO and Environment officer dated 25th November, 2022

Completion of 1 block of 2 classrooms at Olwiyo Primary School. ESMP was costed at 2,000,000 Ugshs endorsed by the DCDO and Environment officer dated 24th November, 2022.

Fencing and laying of compound bricks at Education and sports office compound. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 15th January, 2023.

Construction of 1 block of five stances drainable latrine at Alelelele Primary School in Paminya Subcounty. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 6th March, 2023

Construction of 1 block of 2 classrooms with office at St. Peters Bwobomanam Primary school. ESMP was costed at 2,800,000 Ugshs endorsed by the DCDO and Environment officer dated 5th December, 2022

Construction of one block of 5 stances drainable latrine at St. Luke Te-Olam Primary School in Anaka Subcounty. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 17th June, 2023

Construction of Cot Apwoyo Seed Secondary School I Cot Apwoyo Subcounty. The Environment and Social Screening form endorsed by the DCDO and Environment officer

dated 1st December, 2022

Construction of 1 block of 3 classrooms at Ungai Primary School in Alero Subcounty. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 17th November, 2022

Construction of 1 block of 5 stance drainable latrine at Lii Primary School in Lii Subcounty. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 29th November, 2022

Construction of 1 block of 5 stance drainable latrine at Kamguru Primary School in Lii Subcounty. ESMP was costed at 1,100,000 Ugshs endorsed by the DCDO and Environment officer dated 24th November, 2022

Construction of 1 block of 2 units staff house at St. Kizito Alero Luku Primary School. ESMP was costed at 950,000 Ugshs endorsed by the DCDO and Environment officer dated 30th November, 2022

Construction of 1 block of 2 units staff house at Alero Primary School. The Environment and Social Screening form endorsed by the DCDO and Environment officer dated 15th November, 2022

Renovation of one Block of 3 classrooms at Purongo Hill Primary School. ESMP was costed at 700,000 Ugshs endorsed by the DCDO and Environment officer dated 9th November, 2022

**Health Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.</p>	<p>The LG neither had a substantively appointed DHO nor a formally seconded staff from MoH to fill the position. However, Dr Arike Joseph was in acting capacity at the time of assessment.</p>	0
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0</p>	<p>The position of the Assistant District Health Officer, Child Health and Nursing was vacant and the LG had not sought formal secondment to fill the vacancy.</p>	0
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>c. Assistant District Health Officer Environmental Health, score 10 or else 0.</p>	<p>The LG substantively appointed Okot Samuel Obonyo as ADHO Environmental Health vide letter dated 5th April 2017 as was directed by the DSC minute DSC/3/2017.</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.</p>	<p>The LG substantively appointed Arike Joseph as Principal Health Inspector vide letter dated 27th April 2022 as was directed by the DSC minute: NDSC/1/2022.</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>e. Senior Health Educator, score 10 or else 0.</p>	<p>The LG neither had a substantively appointed Senior Health Educator nor a formally seconded staff from MoH to fill the position</p>	0

1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	f. Biostatistician, score 10 or 0.	The LG substantively appointed Ocira Denis as Biostatistician vide letter dated 6th May 2015 under DSC minute: 06/04/2015.	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	g. District Cold Chain Technician, score 10 or else 0.	The LG substantively appointed Okech Michael as Cold Chain Technician vide letter dated 5th April 2017 as was directed by the DSC minute DSC/3/2017..	10
1	New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions. <i>Applicable to MCs only.</i> <i>Maximum score is 70</i>	h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.		
1	New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions. <i>Applicable to MCs only.</i> <i>Maximum score is 70</i>	i. Principal Health Inspector, score 20 or else 0.		
1	New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions. <i>Applicable to MCs only.</i> <i>Maximum score is 70</i>	j. Health Educator, score 20 or else 0		

Environment and Social Requirements

2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>The LG carried out Environmental, Social and Climate Change screening/Environment for all the Health sector investments for the last FY as per the examples below;</p> <p>Construction of 1 block of 4 stances drainable latrine with Urinal at Alero HC III. Environment and Social Screening Form endorsed by CDO and Environment Officer on 21st November, 2022</p> <p>Construction of a twin staff house at Todara HC III in Anaka Subcounty. Environment and Social Screening Form endorsed by CDO and Environment Officer on 28th November, 2022</p> <p>Renovation of maternity Block at Purongo Health Center III. Environment and Social Screening Form endorsed by CDO and Environment Officer on 21st November, 2022</p>	15
2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>b. Social Impact Assessments (ESIAs) , score 15 or else 0.</p>	<p>The Health sector projects did not require ESIs in reference to the National Environment Act 2019 under schedule 4 part 2 which consist of projects with simple environment and social measures and the minimal level of impacts requiring ESMPs.</p> <p>Construction of 1 block of 4 stances drainable latrine with Urinal at Alero HCIII. ESMP costed at 800,000 Ugshs endorsed by CDO and Environment Officer on 21st November, 2022</p> <p>Construction of a twin staff house at Todora HCIII in Anaka Subcounty. ESMP costed at 1,500,000 Ugshs. endorsed by CDO and Environment Officer on 28th November, 2022</p> <p>Renovation of maternity Block at Purongo Health Center III. ESMP costed at 1,000,000 Ugshs. endorsed by CDO and Environment Officer on 21st November, 2022</p>	15

**Micro-scale Irrigation
Minimum Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation <i>Maximum score is 70</i>	If the LG has recruited; a. the Senior Agriculture Engineer <i>score 70 or else 0.</i>	The LG substantively appointed Tabu Justin as Senior Agricultural Engineer vide letter dated 2nd June 2017 as was directed by the DSC minute: DSC/5/2017.	70

Environment and Social Requirements

New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.

Maximum score is 30

If the LG:
Carried out Environmental, Social and Climate Change screening score 30 or else 0.

The LG carried out Environmental, Social and Climate Change screening for all the MSI projects implemented the previous FY as per the examples below;

Supply of irrigation system at Kochi Goma in Koch Goma Subcounty. Environment and Social screening form endorsed by both CDO and Environment Officer on 26th October, 2022.

Micro-scale irrigation system supply in Lungulu Subcounty in Lungulu Subcounty, Nwoya District. Environment and Social screening form endorsed by both CDO and Environment Officer on 28th October, 2022.

Supply of micro irrigation system to Paminyal Subcounty in Paminyal Subcounty. Environment and Social screening form endorsed by both CDO and Environment Officer on 24th October, 2022.

Supply of irrigation system at Kochi Goma in Koch Goma Subcounty. ESMP costed at 1,800,000 Ugshs endorsed by both CDO and Environment Officer on 26th October, 2022.

Micro-scale irrigation system supply in Lungulu Subcounty in Lungulu Subcounty, Nwoya District. ESMP costed at 2,000,000 Ugshs endorsed by both CDO and Environment Officer on 28th October, 2022.

Supply of micro irrigation system to Paminyai Subcounty in Paminyai Subcounty. ESMP costed at 1,900,000 Ugshs endorsed by both CDO and Environment Officer on 24th October, 2022.

**Water & Environment Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	The LG substantively appointed Oloya Albert Civil Engineer as Water Officer vide letter dated 25th January 2013 under DSC minute: 25/6/2012.	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	The LG assigned duty of Assistant Water Officer for Mobilisation to Abalo Dorothy CDO vide letter CR/156/3. dated 1st July 2023 as was directed byDSC Min: NDSC/3/2023	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	The LG substantively appointed Okumu Francis Borehole Maintenance Technician/ Assistant Engineering Officer vide letter dated 6th May 2015 under DSC minute : 05/04/2015.	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	The LG substantively appointed the Okot Peter Byron as DNRO vide letter dated 22nd May 2023 as was directed by the DSC minute: NDSC/6/2023.	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	The LG substantively appointed the Acca Evaline as Senior Environment Officer vide letter dated 24th January 2018 as was directed by DSC minute: NDSC/6/2017.	10

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.</p> <p><i>Maximum score is 70</i></p>	<p>f. Forestry Officer, score 10 or else 0.</p> <p>The LG substantively appointed the Omara Emmanuel as Forestry Officer vide letter dated 15th February 2013 under minute: 31/06/2012.</p>	10
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Environment and Social Requirements

2	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects</p>	<p>If the LG:</p> <p>a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.</p> <p>The LG carried out Environmental, Social and Climate Change screening/Environment for all the WSS projects implemented during the last FY as evidenced below;</p> <p>Borehole drilling in Aganga Abuilokec Village, Koch Goma Subcounty. Environment and Social screening form endorsed by Environment Officer and CDO on 14th March, 2023</p> <p>Borehole drilling in Hu Duny Agung Village, Todora Parish, Anaka Subcounty. Environment and Social screening form endorsed by Environment Officer and CDO on 16th March, 2023</p> <p>Borehole drilling in Otit Village, Lii Subcounty. Environment and Social screening form endorsed by Environment Officer and CDO on 14th March, 2023</p> <p>Borehole drilling in Adedi B Village, Lalar Parish, Paminyai Subcounty. Environment and Social screening form endorsed by Environment Officer and CDO on 15th March, 2023</p>	10
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2	Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.	The WSS projects did not require ESIAs in reference to the National Environment Act 2019 under schedule 4 part 2 which consist of projects with simple environment and social measures and the minimal level of impacts requiring ESMPs.	10
2	Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.	<p>The LG never implemented a piped line project that require obtaining an abstraction permit. The had drilling of borehole projects and sought and obtained a drilling permit as detailed below;</p> <p>Nwoya DLG presented a drilling permit for deep boreholes and production wells drilled in FY2022/2023, the permit was addressed to MSR Technologies (U) Limited with Permit Number: KAM56/DP-03266/2022/RR, P.O Box 35067, Kampala</p> <p>Permit was granted for period not exceeding 1 year which came into force on Friday, Jul 01 2022 and Friday June 30th 2023.</p> <p>The issuance date was Thursday, 23rd June 2022, this was signed b y Eng. Joseph Oriono Eyatu the Director of Water Development.</p>	10