



LGMSD 2022/23

Ngora District

(Vote Code: 603)

Assessment	Scores
Crosscutting Minimum Conditions	59%
Education Minimum Conditions	100%
Health Minimum Conditions	90%
Water & Environment Minimum Conditions	65%
Micro-scale Irrigation Minimum Conditions	100%
Crosscutting Performance Measures	87%
Educational Performance Measures	82%
Health Performance Measures	59%
Water & Environment Performance Measures	55%
Micro-scale Irrigation Performance Measures	58%

**Crosscutting
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): If so: Score 4 or else 0 	<p>Ngora DLG did not implement any infrastructure projects funded by DDEG during FY 2022/2023</p>	4
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> By more than 5%, score 3 1 to 5% increase, score 2 If no increase, score 0 <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>In 2022, the average score in the overall LLG performance assessment was 25% and in 2023, the average score in the overall LLG performance assessment was 54%, giving a variance of 29%</p>	3
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> If 100% the projects were completed : Score 3 If 80-99%: Score 2 If below 80%: 0 	<p>Ngora DLG did not implement any infrastructure projects funded by DDEG during FY 2022/2023</p>	3

3	Investment Performance Maximum 4 points on this performance measure	a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines: Score 2 or else score 0.	Ngora DLG did not implement any infrastructure projects funded by DDEG during FY 2022/2023	2
3	Investment Performance Maximum 4 points on this performance measure	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates, score 2 or else score 0	The LG did not implement any DDEG project in the Financial year 2022/2023 and therefore no percentage contract price variations could be computed	2

Performance Reporting and Performance Improvement

4	Accuracy of reported information Maximum 4 points on this Performance Measure	a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate, score 2 or else score 0	There was evidence that information on the positions filled in LLGs as per minimum staffing standards was accurate. 3 LLGs were sampled and below were the findings; 1. In Kapir SC, the approved staff structure provided for SAS and as per the staff list, the position was filled by Atim Godwill Besemese 2. In Mukura TC, the approved structure provided for Town Clerk and as per the staff list, the position was filled by Alungat Celine Mercy 3. In Mukura SC, the approved structure provided for CDO and as per the staff list, the position was filled by Aluka Gladys	2
4	Accuracy of reported information Maximum 4 points on this Performance Measure	b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG: • If 100 % in place: Score 2, else score 0. Note: if there are no reports produced to review: Score 0	Ngora DLG did not implement any infrastructure projects funded by DDEG during FY 2022/2023	2

5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> <p>score 4 or else 0</p> <p>NB: The Source is the OPAMS Data Generated by OPM.</p>	<p>The LG did not conduct a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise. Two LLGS had deviations of greater than +- 10%, as indicated below.</p> <p>Atooti Sub county scored 44% as per the LG, 45% as per the IVA and gave a variance of 1%.</p> <p>Odwarat Sub county scored 69% as per the LG, 84% as per the IVA and gave a variance of 15%.</p> <p>Murakakise Sub county scored 52% as per the LG, 73% as per the IVA and gave a deviation of 21%.</p> <p>Makura TC scored 47% as per the LG, 53% as per the IVA and gave a deviation of 6%.</p>	0
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	<p>There was evidence that the district developed Performance Improvement Plans for at least 30% of the lowest performing LLGs for current FY, based on the previous assessment results. According to the LLGs Performance Assessment Results Summary 2021/22, the lowest performing LLGs were six (5) which included;</p> <ol style="list-style-type: none"> 1. Atoot SC 0% 2. Opot SC 0% 3. Morukakise 0% 4. Mukura TC 3% 5. Odwarat SC 4% 6. Agirigiroi SC 15% <p>Some of the factors which caused poor performance were as follows;</p> <ol style="list-style-type: none"> 1. Failure to pay domestic arrears 2. Failure to offer guidance to LLGs in the planning processes by HLG <p>After identifying the above issues, PIPs were prepared which included;</p> <ol style="list-style-type: none"> 1. Providing mentorship to LLGs 2. Paying of arrears 3. Conducting monitoring of LLGs 	2

5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the district implemented PIP for the 30% lowest performing LLGs in the previous FY which boasted performance of these LLGs in this current FY. For stance,</p> <ol style="list-style-type: none"> 1. Mentoring of LLGs was done in council Chambers on 5/8/2022 and was attended by 21 participants 2. There was monitoring of LLGs as per the monitoring report dated 20/10/2022 in which issues attendance, work ethics among others were handling and such improved LLGs performance as evidenced below; <p>LLG 2021/22 2022/23</p> <p>Atoot Sc 0% 44%</p> <p>Morukakise SC 0% 52%</p> <p>Opot SC 0% 27%</p> <p>Mukura TC 3% 47%</p> <p>Odwarat Sc 4% 69%</p> <p>Agirigiroi Sc 15% 60%</p>	2
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Human Resource Management and Development

6	<p>Budgeting for and actual recruitment and deployment of staff</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG consolidated and submitted the staffing requirements for the coming FY 2024/25 to the MoPS by 30th September of the current FY. Evidence obtained from HRM revealed that the recruitment plan and requisition of wage funds dated 10/8/2023 worth 2,698,190,100/= was submitted to PS and received on 11/08/2023</p>	2
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG conducted tracking and analysis of staff attendance. For stance Oluka John (BMT) reported for duty at 9:00am on 29/6/2023. Evidence was obtained from staff attendance register and monthly attendance analysis. For example,</p> <ul style="list-style-type: none"> • In June 2023, the Inspector of Schools Mr. Okurut George was present for duty for only 4 days out of 18days scoring 22%. Although the analysis was being done on monthly basis, there was no evidence of it being submitted to CAO by PHRO. 	2

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p>	<p>There was evidence that the LG conducted appraisal for HODs. Below were the details of findings;</p> <ol style="list-style-type: none"> 1. Otai Mackay (DCO) was appraised on 14/8/2023 2. Ochung Moses Mathew (DEO) was appraised on 14/8/2023 3. Oluka Charles (DHO) was appraised on 23/8/2023 4. Oluka Jacob (DPO) was appraised on 14/8/2023 5. Elungat Charles (CFO) was appraised on 27/7/2023 6. Otwao Dennis Geoffrey (PIA) was appraised on 3/10/2023 7. Awekonimungu Margaret (DNRO) was appraised on 20/7/2023 8. Opio Stanslas Francis (District Engineer) was appraised on 3/10/2023 9. Asio Priscilla Margery (District Planner) was appraised on 3/10/2023 	0
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to "a" above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>The LG implemented administrative rewards and sanctions. The rewards and sanctions Committee was established and composed of five members as indicated below;</p> <ol style="list-style-type: none"> 1. Olupot Gad -Chairperson 2. Ojukol Joseph PHRO 3. Otwao Dennis 4. Dr. Oluka Charles DHO 5. Elingat Charles <p>The committee held a meeting on 30/5/2023. In the meeting Mr. Ojulong Daniel was accused of rampant absenteeism and members agreed to have his salary suspended as penalty.</p>	1

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>There was evidence that the LG had an established and functional consultative committee to deal with staff grievances. The committee was composed of the below Members;</p> <ol style="list-style-type: none"> 1. Olupot Gad as Chairperson 2. Ojukol Joseph 3. Otwao Dennis Geoffrey 4. Dr. Oluka Charles 5. Elingat Charles Kejju <p>The committee was functional and on 26/5/2023 it held a meeting. In the meeting, aggrieved staff members presented their concerns over lack of transport means to office which was causing late coming. The committee agreed to offer 45,000/= as transport allowance to staff.</p>	1
8	<p>Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:</p> <p>Score 1.</p>	<p>The LG recruited 13 Education Assistants on 29/6/2023 as per letters of appointment and all accessed payroll in August 2023 which was 100%. They included;</p> <ol style="list-style-type: none"> 1. Ayamo Teddy 2. Okongel Daniel 3. Itiakat Gilbert 4. Amondong Grace 5. Amuron Mary Immaculate 6. Abuge Josephine 7. Amulen Christine 8. Apia Brenda 9. Amoding Jesca 10. Nabwire Agnes 11. Ewolu Gard 12. Acam Rhoda 13. Edemu George 	1

Pension Payroll management

Maximum 1 point on this Performance Measure or else score 0

a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:

Score 1.

The LG retired 9 staff and only two accessed pension payroll within the recommended timeline.

1. Ilomu Anna Margaret (SEA) retired on 8/2/2022 and accessed pension in June 2023
2. Okello Herbert Ocepa (DHT) retired on 9/6/2022 and accessed pension in June 2023
3. Kakayi Jenipher (EA) retired on 25/1/2023 and accessed pension in June 2023
4. Olupot Moses (EA) retired on 13/4/2023 and accessed pension in June 2023
5. Echodu John Robert (EA) retired on 28/8/2022 and accessed pension in June 2023
6. Omoding Erasmus Julius (EA) retired on 9/8/2022 and accessed pension in June 2023
7. Okupan Ojune Stephen (EA) retired on 26/4/2023 and accessed pension in June 2023
8. Ariong James Jememous (DHT) retired 21/3/2023 and accessed pension in June 2023
9. Ogonono Samuel (Tutor) retired on 9/10/2022 and accessed pension in June 2023

Management, Monitoring and Supervision of Services.

10

N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery

Maximum 6 points on this Performance Measure

a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:

Score 2 or else score 0

The Ngora DLG received DDEG funds in two quarters only; quarter two and quarter three. The total amount that was received during FY 2022/2023 amounted to shs 416,237,362 as per page 8 of the approved district budget for FY 2022/2023.

Direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in FY 2022/2023.

Examples of DDEG transfers to LLGs during FY 2022/2023:

(i) Shs 9,259,801 was transferred to Mukura sub county in quarter two. (ii) Shs 17,224,408 was transferred to Ngora TC in quarter three.

(iii) Shs 12,023,993 was transferred to Kapron sub county in quarter two.

10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery	b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).	The DLG did not receive funding from DDEG during quarter one and quarter four in FY 2022/2023. In quarter two, the DLG received communication from PS/ST on cash limits on 15th October, 2022 under reference BPD/267/268/01. Warranting was done on 19h October, 2022. Invoicing was done on 24th October, 2022. Transfers to LLGs was done on 24th October, 2022. Communication to LLGs by the CAO was done on 29th October, 2022.	0
	Maximum 6 points on this Performance Measure	Score: 2 or else score 0	In quarter three, the DLG received communication from PS/ST on cash limits on 25th January, 2023 under reference BPD/267/268/01.Warranting was done on 2nd February, 2023. Invoicing was done on 6th February, 2023. Transfers to LLGs was done on 6th February, 2023. Communication to LLGs by the CAO was done on 10th February, 2023. The DLG did not comply within the prescribed time frame of 5 working days from the date of receipt of releases from MoFPED) warranting /verification of direct DDEG transfers to LLGs in accordance with the requirement of the budget.	
10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery	c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:	The LG invoiced and communicated all DDEG transfers for the previous FY 2022/2023 to LLGs within 5 working days from the date of funds release in each quarter as required.	2
	Maximum 6 points on this Performance Measure	Score 2 or else score 0		
11	Routine oversight and monitoring	a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:	Ngora DLG supervised and mentored all LLGs in the district at least once per quarter consistent with guidelines: The activity was done on quarterly basis, various issues were discussed and reports accordingly produced.	2
	Maximum 4 points on this Performance Measure	Score 2 or else score 0	In quarter one, mentoring and supervision report was produced on 4th August, 2022. Issues discussed covered human resource management, administrative units and local revenue. In quarter two, the report was produced on 8th November, 2022 and issues discussed covered guidelines for planning and budgeting. In quarter three, the report was produced on 10th January, 2023 and covered project management and monitoring reports at parish levels. In quarter four, the report was produced on 24th May, 2023 and discussion performance of projects at LLGs.	

11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>	<p>The results/reports of support supervision and monitoring visits were discussed in the DTPC, used by the district to make recommendations for corrective actions and followed-up:</p> <p>The DTPC meeting held on 11th November, 2022, discussed quarter two supervision and mentoring report under minute reference MIN 020/DTPC/NOV/2022-2023. The DTPC meeting held in quarter one on 5th August, 2022 discussed quarter two mentoring and supervision report under minute reference MIN012/DTPC/AUG/2022-223.</p> <p>The DTPC meeting held on 13th June, 2023, discussed quarter three and quarter four supervision and mentoring reports under minute reference MIN 075/DTPC/JUNE/2022-23.</p>	2
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Investment Management

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</p>	<p>The DLG maintained an up dated register to 31st October, 2023. All categories of assets like buildings, furniture, equipment, land, motor cycles were captured in the computerised assets register under IFMS in compliance of guidelines issued by the Accountant General. Examples: (i) Donated motor cycle by GIZ to the Production Department registration number UG 3641A under assets register reference number 612600.</p> <p>(ii) Desk top computer HP from TASO and donated to the Health Department under assets register number 36659 FX.</p> <p>(iii) Ultra sound scan valued at shs 34,000,000 for the Health Department under assets register reference number 560006.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>The Board of Survey report for the DLG for FY 2021/2022 was submitted to the Accountant General through CAO's letter dated 23rd August, 2022 under reference CR/D/10015. The CAO's letter was copied to PS MOLG, District chair person, OAG, IAG and Internal Auditor. Recommendations that were raised in the Board of Survey Report for FY 2021/2022 included among others engraving of the district assets, updating of the assets registers, boarding off old assets in the DLG and LLGs, O&M planning in LGs, demolition of old structures at schools like Ajeluk Primary School, Ngora Girls Primary School etc, disposal of expired ingredients and drugs. All the recommendations had been cleared at the time of the assessment.</p>	1

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.

There was a functional Physical Planning Committee that was constituted and 13 members appointed by the CAO through letter dated 5th July, 2022 under reference CR/212/1 and copied to the RDC and District Chairperson.

The committee convened meetings on quarterly basis. Meetings were held as detailed below and minutes accordingly submitted to MLHUD as provided hereunder:

There was no meeting held in quarter one, however thereafter, the Physical Planning Committee met on 13th October, 2022 in quarter two and minutes were submitted on 6th November, 2022. In quarter three, the meeting held on 23rd February, 2023 and minutes were submitted to MLHUD on 27th February, 2023.

In quarter four, the Committee met on 2nd June, 2023 and minutes were submitted on 5th June, 2023.

Members of the Physical Planning Committee that were appointed by CAO were as detailed below:

1. Chief Administrative Officer (CAO) as chairperson.
 2. DE
 3. District Roads Engineer
 4. District Physical Planner - Secretary of the Committee
 5. DEO
 6. District Agriculture Officer
 7. District Water Officer
 8. DCDO
 9. DHO
 10. Environment Officer
 11. MukuraTown Clerk.
 12. Ngora TC
 13. Opot TC
- There was no evidence that the Physical Development Plan was in place.

The Building Plan Registration Book was updated and the last recording was done on 8th November, 2023.

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d.For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>Ngora DLG did not implement any infrastructure projects funded by DDEG during FY 2022/2023</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>Ngora DLG did not implement any infrastructure projects funded by DDEG during FY 2022/2023</p>	2

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>Ngora DLG implemented one project funded by DDEG in the current FY 2023/2024.</p> <p>(i) Construction of roads and the district Engineering Department Office which was budgeted for shs 41,098,000 as per page 53 of the district approved budget for FY 2022/2023.</p> <p>The project was captured on page 80 of the DDP III and page 15 of the AWP for FY 2023/2024.</p> <p>The desk appraisal and field report for the project was dated 5th January, 2023.</p> <p>The project profiles with costing were developed and discussed by the TPC in a meeting held on 7th March, 2023 under minute reference MIN 032/DTPC/March/2022 - 2023 project profiles.</p>	1
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>E&S Screening form for the proposed construction of a surgical Ward by the EO and DCDO on 04/08/2023, as the only DDEG project in FY 2023/2024</p>	2
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>Ngora DLG incorporated all FY 2023/2024 DDEG infrastructure projects in the LG approved procurement plan approved on 15th/08/ 2023 as indicated on page 5 and 6 of the procurement plan. Such projects included the Construction of the works department office at 41,098,000, Construction of a medicine store at Ngara HC IV at 40,000,000 and Construction of a laboratory space at Ngara HC IV at 16,623,887.</p>	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0</p>	<p>The contracts committee of Ngara DLG had not yet approved the DDEG infrastructure project for the FY 2023/2024</p>	0

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines: Score 1 or else 0	The LG did not implement any physical project under DDEG in the FY 2022/2023 that would require the formation of the project Implementation team.	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer: Score 1 or else score 0	The LG did not implement any physical project under DDEG in the FY 2022/2023.	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0	The LG did not implement any physical project under DDEG in the FY 2022/2023 that would require supervision by the relevant technical officers.	2
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement): Score 1 or else score 0	The LG did not implement any physical project under DDEG in the FY 2022/2023 that would require verification of works (certification) and initiation of payments of contractors	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law: Score 1 or else 0	The LG did not implement any physical project under DDEG in the FY 2022/2023 that would require checking for completeness of the procurement files.	1

Environment and Social Safeguards

14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.</p> <p>Score: 2 or else score 0</p>	<p>LG had designated a person to coordinate response to feed-back (grievance / complaints) as evidenced by the Assignment of duty letter of Ms Apolot Joan the Records Officer by the CAO on 24/05/2019, Ref 212/1</p> <p>LG had also established a Central Grievance Redress Committee as evidenced by the appointment letters of the 9 committee members by the CAO on 14/06/2019, Ref:/CR/214 as follows;</p> <ul style="list-style-type: none"> • Mr Ongodia Ignatius (Senior Assistant Secretary) - Committee Member • Mr Ojukol Joseph (PHR) - Committee Secretary • Dr Oluka Charles Onyait (DHO) - Committee Member • Mr Olupot Gad (PAS) - Committee Chairperson • Mr Elungat Charles Keju (CFO) - Committee Member • Mr Otwao Dennis Geoffrey (PIA) - Committee Member • Mr Eguny Francis (DCDO) - Committee Member • Ms Awekonimungu Margaret W (EO) - Committee Member 	2
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.</p> <p>If so: Score 2 or else 0</p>	<p>LG had a specified system for Recording, investigating and responding to grievances as evidenced by the Grievance Log was availed dated July 2019</p>	2
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.</p> <p>If so: Score 1 or else 0</p>	<p>LG had a list of Grievance handling mechanism and reporting publicized on the district notice board by the Grievance Focal Person on all district notice boards dated 14/07/2022 by the CAO's stamp</p>	1

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0	Environment, Social and Climate change interventions were integrated into LG Development Plans as evidenced by Programme 06 (Natural Resources, Environment, Climate Change, land and water management) page 59 Programme 15 (Community Mobilisation and Mind-set change) page 63 of the approved Annual Work Plan FY 2022/2023 dated 07/03/2023	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management score 1 or else 0	LG had disseminated the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation to LLGs as evidenced by Item 8 on the Agenda (Dissemination of DDEG Guidelines and Budget call circular for FY 2023-2024) on page 1 and minute 015/DTPC/SEPT/2021/22, page 17 of the DTPC minutes of 07/03/2023	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	(For investments financed from the DDEG other than health, education, water, and irrigation): c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary: score 3 or else score 0	Not Applicable because the LG had not executed DDEG infrastructure project(s) in FY 2022/2023	3
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	d. Examples of projects with costing of the additional impact from climate change. Score 3 or else score 0	Not Applicable because the LG had not executed DDEG infrastructure project(s) in FY 2022/2023	3

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 1 or else score 0	Not Applicable because the LG had not executed DDEG infrastructure project(s) in FY 2022/2023	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 1 or else score 0	Not Applicable because the LG had not executed DDEG infrastructure project(s) in FY 2022/2023	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0	Not Applicable because the LG had not executed DDEG infrastructure project(s) in FY 2022/2023	1

Financial management

16	LG makes monthly Bank reconciliations Maximum 2 points on this Performance Measure	a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment: Score 2 or else score 0	The DLG operated bank accounts inclusive of the UWEP Account Number 3100030176 with Centenary Rural Development Bank Kumi branch. The account was reconciled up to 31st October, 2023 with a balance of shs 1,606,950. The General Fund Account number 310049089 with the Centenary Rural Development Bank, Kumi branch had a reconciled bank balance of shs 74,933,639 as on 31st October, 2023.	2
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17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>The DLG produced the four quarterly internal audit reports for FY 2022/2023 as required. Quarter One report was produced on 24th November, 2022 addressed to the Speaker and copied to PS MOLG, IAG, OAG, LGPAC, District Chairman, RDC, OAG and CAO. The report was acknowledged by MOLG and IAG on 6th April, 2023. There were seven queries.</p> <p>The quarter two report was produced on 15th February, 2023 under reference AUD/252/1 addressed to the Speaker and copied to PS MOLG, IAG, OAG, LGPAC, District Chairman, RDC, and CAO. There were six queries.</p> <p>Quarter three report was produced on 28th April, 2023 under reference AUD/252/1 addressed to the Speaker and copied to PS MOLG, IAG, OAG, LGPAC, District Chairman, RDC, and CAO. There were seven queries.</p> <p>Quarter four report was produced on 15th August, 2023 under reference AUD/252/1 addressed to the Speaker and copied to PS MOLG, IAG, OAG, LGPAC, District Chairman, RDC, and CAO. There were five queries.</p>	2
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>The DLG provided information to the Council! Chairperson and the LG PAC on the status of implementation of internal audit findings for FY 2022/2023; information on follow-up on audit queries from all quarterly audit reports as per evidence provided below:</p> <p>CAO's letter to the district Chairperson dated 24th October, 2022 under reference CR/152/3 in respect of the recommendations and action required contained in the quarterly internal audit reports that was copied to chairperson LG PAC and RDC.</p> <p>CAO's letter to the District Chairperson dated 12th April, 2023 under reference CR/152/5.</p> <p>CAO's letter to the District Chairperson dated 20th January, 2023 under reference CR/152/18. It was however noted that the DLG had not constituted the LGPAC in the first three quarters in FY 2022/2023 until the 4th quarter of FY 2022/2023.</p>	1

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>Internal audit reports for the previous FY 2022/2023 were submitted to LG Accounting Officer, LG PAC and that LGPAC reviewed them and followed-up as provided below: The DLG had no appointed LGPAC during quarter one, two and three of the FY 2022/2023. Accordingly, there were no meetings held by the LGPAC until the 4th quarter of FY 2022/2023. There after, the LGPAC held two meetings as follows: on 26th June, 2023 whereby internal audit reports for quarters one and two were reviewed. The LGPAC meeting held on 29th June, 2023 reviewed the internal audit reports for quarter three and four for FY 2022/2023. A LGPAC report dated 29th June, 2023 was produced for consideration by Council. At the time of the assessment, Council had not convened a meeting to consider the LGPAC dated 29th June, 2023.</p>	1
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Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>The DLG planned for collection of local revenue amounting to shs 521,512891 as provided on page 17 of the district draft accounts for FY 2022/2023. The DLG realised shs 114,681,381 on closure of FY 2022/2023 as indicated on page 17 of the draft final accounts of the district. This was equivalent to 23% performance.</p>	0
19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>The DLG realised shs 114,681,381 on closure of FY 2022/2023 as indicated as per page 17 of the draft final accounts of the district. In FY 2021/2022, the district realised shs 110,233,463 as per page 17 of the audited accounts of the district for FY 2021/2022. Performance was 4% and therefore below 5%.</p>	0

20	Local revenue administration, allocation, and transparency	a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0	<p>The local revenue amount transferrable to LLGs in FY 2022/2023 was shs 69,172,500 as per page 18 of the draft final accounts for FY 2022/2023. Examples of local revenue that was transferred to LLGs:</p> <p>(i) Shs 2,270,450 was transferred to Kobwin sub county as per payment voucher number 3233317 dated 20th January, 2023 as the 65% share.</p> <p>(ii) Shs 9,512,754 was transferred to Kapir sub county as per payment voucher number 4271192 dated 16th March, 2023 as the 65% share of local revenue.</p> <p>(iii) Mukura sub county received shs 1,466,565 as per payment voucher number 3235338 dated 20th January, 2023 as the 65% share on local revenue.</p>	2
Maximum 2 points on this performance measure.				

Transparency and Accountability

21	LG shares information with citizens Maximum 6 points on this Performance Measure	a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0	The LG did not Award any project contract under the DDEG funding in the FY 2022/2023 that would require publicizing the procurement plan and awarded contracts.	2
21	LG shares information with citizens Maximum 6 points on this Performance Measure	b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0	The DLG performance results for FY 2021/2022 were publicised as required on various notice boards at the district and lower local governments levels as per CAO's circular letter dated 26th October, 2022 under reference NGR/24/88. The circular was equally pinned at the notice board of Ngora sub county as was noted by one of the assessors. The district had established a website at the time of the assessment. The DLG further pinned extract of the DTPC MIN 7/DTPC/JULY/203-24 to all the LLGs which circular explained the implications of the assessment results to the LLGs for FY 2021/2022.	2
21	LG shares information with citizens Maximum 6 points on this Performance Measure	c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0	<p>Barazas were held through radio talk shows guided by the RDC, DCDO, DHO, DEO, Chairperson of the district and CAO. Reports were produced as evidenced below:</p> <p>Report dated 27th October, 2022; 4th October, 2022; 11th July, 2022 and 14th September, 2023. ISA FM radio station in Ngora TC conducted most of the radio talk shows that covered planning and budgeting in LLGs, issues on PDM, local revenue collection and its relevance to service delivery in LLGs among others.</p>	1

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>The DLG made publicly available information on i) tax rates as evidenced by CAO's circular letter dated 18th April, 2023 under reference CR/112/8 on local revenue procedures and as well as appeals. The circular was pinned on the district headquarters as well as LLGs. CFO's circular letter dated 18th February, 2023 under reference CR/12/22 on tax appeals and the requirement to pay tax to enhance service delivery in LLGs</p>	1
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>Scrutiny of the minutes of meetings held by Council on dates indicated below reflected no IGG issues reported in the Council.</p> <p>Meetings held on 29th August, 2022; 16th September, 2022; 30th May, 2023 and 9th March, 2023.</p>	1

**Educational
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>The PLE pass rates decreased by - 7.9% between 2022 and 2020 school years.</p> <p>2020</p> <p>In 2020 the learners who passed in Div 1, Div 2 and Div 3 were 2550 out of 3643</p> <p>$2550/3643*100= \mathbf{69.9\%}$</p> <p>2022</p> <p>2673 passed in Div 1,2, and 3 out of 4310 pupils, which represented 62 % pass rate.</p> <p>The percentage decrease in pass rate was</p> <p>$62\% - 69.9\% = - 7.9\%$</p>	0
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>The percentage increase between 2022 and 2020 of UCE results was 4.6%</p> <p>In 2022, 1134 students passed Div 1, 2 and 3 out of 1666 students, accounting to 68%</p> <p>In 2020, 1025 students passed in Div 1, 2 and 3 out of 1615 representing 63.4 %</p> <p>Percentage increase was $68\% - 63.4\% = 4.6\%$</p>	2

2	<p>N23_Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> • By more than 5%, score 2 • Between 1 and 5%, score 1 • No Improvement, score 0 <p>NB: If the previous average score was 95% and above, Score 2 for any increase.</p>	Not applicable	0
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>The Education development grant was used on eligible activities as stipulated in the Guidelines manual of FY 2022/23 page 21, Table 11. The projects included;</p> <ul style="list-style-type: none"> (i) Construction of 152m chain link fence for Atiira P/S (ii) Construction of Four in One teacher's house for Agogomit P/S (iii) Construction of Five Stance pit latrine for Morukakise P/S (iv) Construction of a Two classroom block at Okoboi P/S . 	2

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0

The DLG implemented projects during FY 2022/2023 out of which a sample of three projects was selected. (i) Payment to

Grace Development Ltd for 18,016,840 as per payment voucher number 58999396 dated 15th June, 2023 in respect of construction of a two class room block at Atiira Primary School in Kapari sub county as per contract number NGOR 603/WRKS/2022 -23

The DEO initiated payment on 26th April 2023, CDO, the Senior Environment Officer CFO, DE, CFO and CAO signed the documents to certify payment on the same date.

(ii) Payment to Kees and Madock Contractors Ltd for shs 20,968,998 on payment voucher number 5882659 dated 15th June, 2023 in respect of construction of a five stance pit latrine at MORU-KAKISE Primary School in MORU sub county as per contractor number NGOR 603/WTRKS/2022 - 2023/0004

Payment was initiated by the DEO on 23rd March, 2023. The CDO, DE and Senior Environment Officer signed the payment documents on 27th March, 2023.

(iii) Payment to Orena and Brothers Ltd for shs fence with concrete 16,165,528 on payment voucher number 5900680 dated 15th June, 2023 in respect of construction of a 152 mm chain link fence with concrete poles at Atiira Primary, School Kapira sub county. DEO initiated payment on 7th April, 2023; DE, Environment Officer, DCDO and DE on 14th April, 2023.

3	Investment Performance: The LG has managed education projects as per guidelines	c) If the variations in the contract price are within +/- 20% of the MoWT estimates score 2 or else score 0	The percentage contract variations for all the three sampled education project contracts were within +/-20% as indicated below for the respective contracts.	2
	Maximum 8 points on this performance measure		Construction of a 4 in 1 teacher's house with a kitchen and a 2-stance VIP pit latrine at Agogomit primary school under procurement number Ngor909/Wrks/2022-2023/00039.	
			Contract price = 149,348,918	
			Estimated cost = 150,000,000	
			Percentage change = -0.43%	
			Construction of a 2 classroom block, supply of 36 three seater desks and solar at Ngora Okoboi primary school under Procurement number. Ngor909/Wrks/2022-2023/00040.	
			Contract price = 96,908,408	
			Estimated cost = 99,750,000	
			Percentage change = -2.8%	
			Construction of a 5-stance VIP latrine at Murukakise primary school. (Procurement ref no. Ngor909/Wrks/2022-2023/00049)	
			Contract price = 24,786,050	
			Estimated cost = 25,000,000	
			Percentage change = -0.86%	
			The percentage contract price variations were computed as	
			(contract cost-Estimated cost)/(Estimated cost)*100	

3	Investment Performance: The LG has managed education projects as per guidelines	d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY	Ngora DLG did not implement any Seed secondary school in FY 2022/2023 that would require checking for completeness.	2
	Maximum 8 points on this performance measure	<ul style="list-style-type: none"> • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0 		

4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 - 79% score: 1 • Below 70% score 0 	<p>The LG did recruit Primary School Teachers as the prescribed MoES staffing guidelines. The approved number of required teachers was 849 but the LG managed to recruit only 685 teachers which translated to 80% staffing levels.</p>	2
4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> • If above 70% and above score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 	<p>All the schools within the LG met the basic standards set out by DES, for example all the 59 UPE schools had 487 classrooms representing 8 classrooms per school with each school having at least a class for each learning group as required.</p> <p>The 59 schools, had 347 teacher accommodations representing a minimum of 5 staff accommodations for each school as required by DES.</p> <p>$59 / 59 * 100 = 100\%$</p>	3

Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>There was accurate deployment of teachers as indicated on the teacher's deployment list and the school staff lists displayed in all the 3 sampled schools i.e. Ngora Boys P/S had 13 teachers deployed and 13 teachers on its school staff list led by Ms. Florence Agwanga the Head teacher.</p> <p>With 7 teachers being male and 6 being female.</p> <p>Agu P/S had 10 teachers, deployed, out of which 3 were female and 7 were male led by Mr.Odapu Antony.</p> <p>Apama P/S had 12 teachers deployed, out of which 6 were male and 6 females, led by Mr Opolot Deogratius.</p>	2
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5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>The infrastructure recorded in Ngora district local government primary school asset register was found present in all the visited sampled schools.</p> <p>Ngora Boys had 7 classrooms, 7 teachers' houses, 10 stance latrines and 192 desks which were all found on ground.</p> <p>Agu P/S had 7 classrooms, 4 staff houses, 10 latrine stances, and 149 desks.</p> <p>Apama P/S had 124 desks, 9 classrooms and 10 latrine stances, 7 teachers' houses which were all found on ground.</p>	2
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p> <ul style="list-style-type: none"> • If 100% school submission to LG, score: 4 • Between 80 - 99% score: 2 • Below 80% score 0 	<p>100% of schools submitted their annual school reports as evidenced from the required 3 sampled schools.</p> <p>Ngora Boys P/S submits annual reports. The report includes a total school enrolment of learners and teacher school performance. It also captures issues on discipline, UPE expenditure, New year Plans/way forward, challenges, recommendations among others.</p> <p>Agu P/S submits termly and annual reports. The reports include a total school enrolment of both learners and teachers, SIPs developed, Schools budgets and Finances expenditures challenges and recommendations.</p> <p>Apama P/S submits termly reports. The reports include total school enrolment of learners with staffing school performance, discipline issues, achievements, challenges, and suggested solutions.</p> <p>Percentage submission; $3/3 \times 100 = 100\%$</p>	4

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> • If 50% score: 4 • Between 30- 49% score: 2 • Below 30% score 0 	<p>School Improvement plans were found in all the 3 sampled schools representing 100% i.e. Ngora Boys, Agu and Apama primary schools.</p> <p>The school improvement plan of Ngora P/S prioritized Scheming, Sensitization and mobilization of parents to attract learners, making instructional materials, making a record of works among others.</p> <p>Agu P/S prioritized, General school sanitation and hygiene, academic improvement, hold stake holders meetings, carry out general repairs among others.</p> <p>Apama P/S prioritized, Improving time management, feeding of both learners and teachers, make local learning materials, SMCs, PTAs, AGMs meetings among others,</p> <p>Percentage</p> <p>$3/3*100=100\%$</p>	4
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6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 - 99% score 2 • Below 90% score 0 	<p>The list of schools on PBS and OTIMS corresponded well with each having 59 UPE schools and 6 USE schools with was 100%.</p> <p>$65/65* 100=100\%$</p>	4
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Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>The district budgeted Ugx 4,850,932,128 for the 59 head teachers and 685 teachers of the 59 primary schools of NGORA DLG for the of FY 2022/23.</p>	4
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7 Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,
Score 3 else score: 0

Teachers had been deployed as required by the sector guidelines with a head teacher and a minimum of 7 teachers per school for schools with classes reaching P.7 .

Ngora Boys P/S had a head teacher Ms. Florence Agwang and a total of 13 teachers.

Agu P/S had a head teacher Mr.Odapu Antony and a total staffing of 10 other teachers.

Apama P/S had a head teacher Mr. Opolot Deogratiuous and a total of 12 teachers.

3

7 Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,
score: 1 else, score: 0

All the primary schools sampled namely, Apama, Agu and Ngora Boys had staff lists displayed on their office walls dated 2023.

1

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copt to DEO/MEO

Score: 2 or else, score: 0

The LG had 66 Primary School Head Teachers and there was evidence that all were appraised. Ten (10) files were sampled for review and below were the findings;

1. Amoding Stella Catherine (Agirigiroi) was appraised on 1/12/2022 with in the calendar year 2022 before 31st/12/2022

2. Ailigat Didmus (Ngora Girls) was appraised on 5/01/2022 with in the calendar year 2022 before 31st/12/2022

3. Okello James Peter (Onyede) was appraised on 5/01/2022 with in the calendar year 2022 before 31st/12/2022

4. Osega Ocola James (Kococwe) was appraised on 15/2/2022 with in the calendar year 2022 before 31st/12/2022

5. Akebu Bon Richard (Ngora Okoboi) was appraised on 5/1/2022 with in the calendar year 2022 before 31st/12/2022

6. Agwang Florence Beatrice (Ngora Boys) was appraised on 5/1/2022 with in the calendar year 2022 before 31st/12/2022

7. Egigi George Francis (Kococwa) was appraised on 17/10/2022 with in the calendar year 2022 before 31st/12/2022

8. Okwakol Ben Joseph (Agorigiroi) was appraised on 18/8/2022 with in the calendar year 2022 before 31st/12/2022

9. Akullo Teddy (Atukubui) was appraised on 12/8/2022 with in the calendar year 2022 before 31st/12/2022

10. Okwi Eric (Mukura Okunguro) was appraised on 21/12/2022 with in the calendar year 2022 before 31st/12/2022

Note: Page 4 of the Ministry of Education and Sports performance management guidelines for tertiary institutions and schools dated May 2020 specifies that the appraisals should be carried out following the calendar year from 1st/January to 31st/Dec

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM</p> <p>Score: 2 or else, score: 0</p>	<p>The LG had 6 Secondary Schools and there was evidence that all Secondary School HT were appraised. however, most head teachers were appraised late after 31st/12/2022 and only one head teacher called Orikot George (Okapel High) was appraised within the timeline as indicated below;</p> <ol style="list-style-type: none"> 1. Aluka Margaret (Mukura Memorial SS) was appraised on 2/1/2023 2. Nabudwa Grace Olive Musingo (Ngora Girls SS) was appraised on 30/1/2023 3. Florence Ataike Okiding (Kobwin Seed) was appraised on 30/1/2023 4. Olaki Simon Peter (Ngora Seed) was appraised on 30/1/2023 5. Opolot Eliphaz Ewalu (Ngora High School) was appraised on 20/1/2023 6. Orikot George (Okapel High) was appraised on 30/12/2022 	0
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p> <p>score: 2. Else, score: 0</p>	<p>There was evidence that all Education Department staff were appraised against their performance plans. However, all the staff as indicated below were appraised late after 30th/June.</p> <ol style="list-style-type: none"> 1. Ongadia Charles (Inspector) was appraised on 4/8/2023 2. Okurut George (Inspector) was appraised on 4/8/2023 3. Ekotoi Berna (Inspector) was appraised on 4/8/2023 4. Acham Betty (Inspector) was appraised on 4/8/2023 	0
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>There was a training plan for the FY 2022/23 for the identified staff capacity building gaps within the Education department of Ngora DLG. The trainings undertaken included;</p> <ul style="list-style-type: none"> - Training of SMCs in all the 59 schools -Trained head teachers in leadership skills -Trained Care Givers Centre Management Committees in ECD. 	2
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Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p>	<p>The NGORA DLG had confirmed in writing the list of schools as evidenced on the letter referenced CR/311 dated 28/10/2022.</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p> <p>If 100% compliance, score:2 else, score: 0</p>	<p>The district had an inspection and monitoring budget of Ugx 53,392,000 for the 59 primary schools.</p>	2
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Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters

If 100% compliance, score: 2
else score: 0

The PS/ST communicated cash limits for the Education Sector in quarter one on 28th July, 2022 under reference BPD 267/268/01. Warranting was done on 8th August, 2022, Invoicing was done on 25th August, 2022; Transfers to schools was done on 25th August, 2022 and communication to LLGs was done on 30th September, 2022.

In quarter two, the communication from PS/ST was done on 10th October, 2022 under reference BPD 267/268/01, Warranting on 12th October, 2022; Invoicing on 17th October, 2022. Transfers to schools was done on 17th October, 2022 and communication to LLGs was done on 20th October, 2022.

The PS/ST communicated cash limits for the Education Sector in quarter three on 10th January, 2023 under reference BPD 267/268/01. Warranting was done on 14th , January, 2023, Invoicing was done on 18th January, 2023; Transfers to schools was done on 18th January, 2023 and communication to LLGs was done on 21st January, 2023.

The PS/ST communicated cash limits for the Education Sector in quarter four on 24th April, 2023 under reference BPD 267/268/01. Warranting was done on 27th , May 2023, Invoicing was done on 30th April, 2023; Transfers to schools was done on 30th April, 2023 and communication to LLGs was done on 2nd May, 2023.

There were therefore delays in warranting experienced by the DLG as detailed above for quarter one and quarter four.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p> <p>If 100% compliance, score: 2 else, score: 0</p>	<p>There was evidence that the DLG invoiced and the DEO s communicated and publicized capitation releases to schools within three working days of release from MoFPED. Invoicing and communication was verified at Atiira Primary School in Paporis sub county per CAO's circular letter dated 15th November, 2022 under reference CR 252/10/118 for shs 29,989,000 to various schools. The same was verified at Moru -Kakise Primary School in Moru sub county.</p> <p>CAO's communication dated 15th April, 2023 under reference CR 252/83/11 for shs 38,987,000 as capitation grant to schools. The same circular letter was verified at Ngoro and Okoboi Primary School in Ngora TC, Aggogomit Primary School in Mukura sub county.</p>	2
10	<p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <ul style="list-style-type: none"> • If 100% compliance, score: 2, else score: 0 	<p>There was evidence that Ngora District Local Government Education department prepared an inspection plan and meetings were conducted to plan for school inspections as evidenced from the inspection workplan for 22/23 dated 17/07/2022.</p>	2
10	<p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> • If 100% score: 2 • Between 80 - 99% score 1 • Below 80%: score 0 	<p>The LG had inspection reports for Term 3 2022, Term 1 2023, and Term 2 of 2023. Each covering 59 primary schools as evidenced from reports bearing the dates below.</p> <p>30/07/23,30/08/22,23/05/23</p> <p>All the 59 schools had been inspected in the 3 school terms accounting to 100%.</p>	2
10	<p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>There was evidence of dissemination of findings arising from the inspection reports.</p> <p>Recommendations were shared with SMCs for implementation, this was evidenced from the reports found at the sampled schools. dated 30/08/22; 30/07/23; 23/05/2023 .</p>	2

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>Inspection findings had been presented to all the 3 sampled primary schools i.e. Apama P/S, Ngora Boys P/S, and Agu P/S.</p> <p>The Inspection and Monitoring reports had been forwarded to DES as evidenced from DES acknowledgement sheets dated 9/5/2023; 23/08/2023 received by Mr Ongwali Patrick DES Mbale.</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>The Council Committee responsible for education was in place that was also referred to as the Social Services Committee which met every quarter. The Committee did not meet in quarter one. In quarter two, the committee met on 20th September, 2022 and discussed departmental reports of the DLG. In quarter three, the meeting was held on 8th February, 2023 and discussed</p> <p>The status of the education sector in the district. In quarter four the committee met on 18th May, 2023 and discussed departmental reports and planning and budgeting.</p>	2

11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,</p> <p><i>score: 2 or else score: 0</i></p>	<p>There were minutes of meetings held to mobilize parents in order to attract learners, as evidenced from the mobilization records i.e. Radio Talk Shows Script sheet of 14/09/2023. The Deo was encouraging parents to bring the Girl Child that had dropped out of school due to pregnancy to go and write PLE exams, this yielded results as ten learners from the following schools turned up to write exams.</p> <ul style="list-style-type: none"> - Akisim P/S (2) - Orisai P/S (1) - BKCM P/S (1) - Agogomit P/S (1) - Amugagara P/S (1) - Agolitom P/S (1) - Omaditok (1) - Oluwaci(1) - Omito (1) <p>Mobilizations are done during AGMs, Stakeholder meetings, community meetings /gatherings, during dialogue meetings with identified schools that may be having unique challenges.</p>
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Investment Management

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i></p>	<p>There was an up to- date asset register for 2023, listing all the assets within the 59 primary schools of Ngora DLG. There was a total of 487 classrooms, 10254 desks, 568 stances and 347 teachers' houses.</p>
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12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i></p>	<p>The Education Department implemented four projects during FY 2022/2023 out of which a sample of two projects was selected. (i)</p> <p>Construction of a two class room block at Ngoro Okoboi Primary School in Ngora TC which was budgeted for shs 99,750,000 as per page 66 of the district approved budget for FY 2022/2023. Total expenditure during the FY 2022/2023 amounted to shs 91,566,588 as per page 18 of the district draft final accounts for FY 2022/2023.</p>	1
			<p>The project was captured in DDP III on page 95, page 13 of the AWP. The field appraisal report was dated 6th December, 2022 and desk appraisal report was dated 22nd November, 2022.</p>	
			<p>(ii) Construction of a four in one staff house at Agogomit Primary School in Mukura sub county which which was budgeted for shs 150,000,000 as per page 66 of the district approved budget for FY 2022/2023. Total expenditure during the FY 2200/2023 amounted to shs 131,234,526 as per page 18 of the district draft final accounts for FY 2022/2023.</p>	
			<p>The project was captured in DDP III on page 96, page 14 of the AWP.</p>	
			<p>The field appraisal report was dated 6th December, 2022 and desk reports was dated 22nd November, 2022.</p>	
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, <i>score 1 else score: 0</i></p>	<p>The field appraisal report was dated 6th December, 2022 and desk reports was dated 22nd November, 2022.</p> <p>Technical feasibility, environmental and social acceptability; and customized designs of the previous FY 2022/2023 were taken care of.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p>	<p>The education planned sector infrastructure project for the FY 2023/2024 (Agirigiroi seed secondary school) was incorporated on page 4 of the FY 2023/2024 approved procurement plan approved on 15th/08/2023.</p>	1

13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i>	All the school infrastructure projects that were to be implemented in the FY 2023/2024 were approved by the contracts committee as indicated in the minutes of the contract committee dated 17th/10/2022 under minute 6(a)/NDCC/2022-2023 and 6(b)/NDCC/2022-2023.	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i>	The LG properly established the project implementation team as per the PPDA guidelines for all the education sector projects. The appointment letter by CAO dated 3rd/11/2022 indicated that Eng. Opio Stanlas (District Engineer) was appointed as the Projects manager, Ademun Petua (DEO) was appointed as the contracts manager, Mr Awekonimungu Margret appointed as the environment officer, Mr. Egungu Francis as the DCDO and the labour officer and Mr. Olupot Edson appointed as the clerk of works.	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES <i>Score: 1, else, score: 0</i>	Ngora DLG did not implement any seed secondary school in the FY 2022/2023 that would require checking for standard technical design compliance as per the indicator manual specification.	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i>	Ngora DLG did not implement any seed secondary school in the FY 2022/2023 would require checking for the monthly site meetings as per the indicator manual specification.	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc ..., has been conducted <i>score: 1, else score: 0</i>	There was no evidence that during critical stages of construction of education sector infrastructure projects, joint technical supervision involving engineers, environment officers, CDOs were conducted as no joint technical supervision reports and minutes of site meetings were presented at the time of assessment.	0

Procurement, contract management/execution

Maximum 9 points on this performance measure

g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, *score: 1, else score: 0*

The DLG implemented projects during FY 2022/2023 out of which a sample of three projects was selected.

Sector infrastructure projects were properly executed and payments to

contractors made within specified timeframes within the contract as summarised below:

(i) Payment to Grace Development Ltd for 18,016,840 as per payment voucher number 58999396 dated 15th June, 2023 in respect of construction of a two class room block at Atiira Primary School in Kapari sub county as per contract number NGOR 603/WRKS/2022 -23

The DEO initiated payment on 26th April 2023, CDO, the Senior Environment Officer, CFO, DE, CFO and CAO signed the documents to certify payment on the same date.

(ii) Payment to Kees and Madock Contractors Ltd for shs 20,968,998 on payment voucher number 5882659 dated 15th June, 2023 in respect of construction of a five stance pit latrine at MORU-KAKISE Primary School in MORU sub county as per contractor number NGOR 603/WTRKS/2022 - 2023/0004

Payment was initiated by the DEO on 23rd March, 2023. The CDO, DE and Senior Environment Officer signed the payment documents on 27th March, 2023.

(iii) Payment to Orena and Brothers Ltd for shs16,165,528 on payment voucher number 5900680 dated 15th June, 2023 in respect of construction of a 152 mm chain link fence with concrete poles at Atiira Primary, School Kapira sub county. DEO initiated payment on 7th April, 2023; DE, Environment Officer, DCDO and DE on 14th April, 2023.

Procurement, contract management/execution

Maximum 9 points on this performance measure

h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, *score: 1, else, score: 0*

The Education Department submitted the procurement plan to procurement unit on July 12, 2022 late after the deadline date of April 30, 2022, as per the PPDA requirement.

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law</p> <p><i>score 1 or else score 0</i></p>	<p>Ngora DLG did not implement any seed secondary school in the FY 2022/2023 that would require checking for procurement file completeness as per the indicator manual specification.</p>	1
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Environment and Social Safeguards

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0</p>	<p>LG had no grievances under education sector for FY 2022/2023 by the time of assessment as indicated in the grievance logbook for 2022/2023</p>	3
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15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>There was evidence that guidelines were shared with the schools, the visited schools i.e. Agu P/S, Ngora Boys P/S, and Apama P/S had guidelines on greening of the environment, planting trees and flowers as well as creating pathways to avoid damaging the green environment.</p>	3
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Water , Saniataion and Hygiene(WASH) in schools as well as National standards in Uganda were disseminated.

In the performance appraisal , one of the key outputs of the head teachers, it is required that each head teacher plants trees and flowers at the school.

Social, safety and health guidelines from the Ministry of Gender Labour and Social Development were shared to schools in April 2022.

There was evidence of other guidelines such as National Ethical values policy, Budgetting, planning and Finance, ending HIV stigma and discrimination.

16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, score: 2, else score: 0</p>	<p>LG had a costed ESMP incorporated within the BoQs as evidenced by Non-coded item (plant a minimum of 10 trees well watered and maintained throughout the project time) under item 1 (site preparation), page 207 of the bid document for the construction of a 2 classroom block, 36-3 seater desks and solar at Ngora Okoboi P/S with Procurement Reference Ngor 909/Works/2022-2023/00040 dated 10/11/2022 by Grace Developers Ltd</p>	2
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16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i>	LG had no proof of land ownership, access of school construction projects by the time of assessment because land titles were under process	0
16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i>	LG conducted only 2 monthly support supervision and monitoring of education projects as opposed to the minimum of 3 as required in FY 2022/2023 as evidenced below; <ul style="list-style-type: none"> • E&S Monitoring Report for the construction of 02 in 01 classroom block at Okoboi P/S by the EO and DCDO on 18/05/2023 • E&S Monitoring Reports for the proposed construction of 4 in 1 Staff house at Agogomit P/S in Mukura Sub County by the EO and DCDO on 12/05/2023 	0
16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments <i>Score: 1, else score:0</i>	LG prepared the E&S certification forms for education projects prior to payment of contractors as evidenced below; <ul style="list-style-type: none"> • E&S Screening form for the proposed construction of 02 classroom blocks at Okoboi P/S by the EO and DCDO on 08/07/2022 • E&S Screening form for the proposed phased fencing of Apama P/S by the EO and DCDO on 14/07/2022 • E&S Screening form for the proposed construction of staff house at Agogomit P/S by the EO and DCDO on 10/08/2022 	1

**Health
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score																
Local Government Service Delivery Results																				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>The sampled health facilities had their deliveries as follows:</p> <p>FY 2021/2022</p> <table border="1"> <tr> <td>Ngora HC IV</td> <td>1472</td> </tr> <tr> <td>District Maternity Unit HC III</td> <td>1309</td> </tr> <tr> <td>Mukura HC III</td> <td>863</td> </tr> <tr> <td>Total</td> <td>3644</td> </tr> </table> <p>FY 2022/2023</p> <table border="1"> <tr> <td>Ngora HC IV</td> <td>1543</td> </tr> <tr> <td>District Maternity Unit HC III</td> <td>1372</td> </tr> <tr> <td>Mukura HC III</td> <td>968</td> </tr> <tr> <td>Total</td> <td>3883</td> </tr> </table> <p>Percentage change $(3883-3644)/3644*100=6.6\%$</p>	Ngora HC IV	1472	District Maternity Unit HC III	1309	Mukura HC III	863	Total	3644	Ngora HC IV	1543	District Maternity Unit HC III	1372	Mukura HC III	968	Total	3883	0
Ngora HC IV	1472																			
District Maternity Unit HC III	1309																			
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Total	3644																			
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District Maternity Unit HC III	1372																			
Mukura HC III	968																			
Total	3883																			
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> • 70% and above, score 2 • 50% - 69%, score 1 • Below 50%, score 0 	Not applicable.	0																
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> • 75% and above; score 2 • 65 - 74%; score 1 • Below 65; score 0 	Not applicable	0																

3	Investment performance: The LG has managed health projects as per guidelines.	a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.	The DLG budgeted shs 267,816,000 as development grant for FY 2022/2023 as per Annual Performance Report on page 52. Shs 216,669,000 was spent by closure of FY 2022/2023 as reflected on page 52 of the Annual Performance Report for FY 2022/2023, Performance was 100%.	2
Maximum 8 points on this performance measure				
3	Investment performance: The LG has managed health projects as per guidelines.	b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0	The DLG implemented and completed two projects in the department during FY 2022/2023. (i) Payment to CAB Uganda Ltd for shs 97,032,843 per payment voucher number 6437448 dated 28th June, 2023 in respect of completion of maternity ward at Ngora HCIV in Ngora sub county as per contract number NGOR 603/WRKS/2021-2022/00042.	2
Maximum 8 points on this performance measure			The DHO initiated payment on 15th June, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment.	
			(ii) Payment to Marco Enterprises Ltd for shs 19,134,975 per payment voucher number 5859928 dated 15th June, 2023, in respect of construction of	
			Construction of sub structure and super structure at Agu HC III in Odwara sub county per contract number NGOR 603/WRKS/2022-2023/00043.	
			The DHO initiated payment on 10th March, 2023; DE signed the payment documents on 10th March, 2023; CAO, CFO, DCDO and Environment Officer on 14th March, 2023.	

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0</p>	<p>The implemented health project contracts had percentage contract variations that were within +/-20% as indicated below for the two health respective project contracts.</p> <ul style="list-style-type: none"> • Completion of a comprehensive maternity ward at Ngora HC IV (Procurement Reference no. Ngor909/Wrks/2022-2023/00044) <p>Contract price = 119,034,447</p> <p>Estimated cost = 120,000,000</p> <p>Percentage contract variation = -0.80% and</p> <ul style="list-style-type: none"> • Phase 1 construction of a maternity ward at Agu HC III (Procurement Reference no. Ngor909/Wrks/2022-2023/00043) <p>Contract price = 66,157,789</p> <p>Estimated cost = 66,157,789</p> <p>Percentage contract variation = -0%</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY</p> <ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 	<p>There was no HC II upgrade to HC III in the FY 2022/2023</p>	2
4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	<p>The LG recruited staff for all HC IIIs and HC IV as follows:</p> <p>Ngora HC IV 38 out of 48</p> <p>Agu HC III 16 out of 19</p> <p>Kobwin HC III 14 out of 19</p> <p>Mukura HC III 13 out of 19</p> <p>Ngora District Maternity Unit HC III 17 out of 19</p> <p>Ajeluk HC III 14 out of 19</p> <p>Kapir HC III 11 out of 19</p> <p>Total 123 out of 162</p> <p>Percentage $123/162 \times 100 = 75.9\%$.</p>	1

4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> • If 100 % score 2 or else score 0 	<p>There was no HC II upgrade to HC III in the FY 2022/2023.</p>	2
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Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>The information on positions of health workers filled was accurate. Mukura HC III had 13 H/Ws on the list dated 20/07/2023. District Maternity Unit HC III had 17 H/Ws on the list dated 20/07/2023. Ngora HC IV had 38 H/Ws on the list dated 15/08/2023 so was the District list dated 20/07/2023.</p>	2
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5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>There was no facility upgraded in FY2022/2023.</p>	2
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The health facilities submitted the Annual Workplans late to the DHO.</p> <p>Mukura HC III 25/08/2023</p> <p>District Maternity Unit HC III 15/08/2023</p> <p>Ngora HC IV 7/06/2023</p>	0
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The sampled health facilities Ngora HC IV, District Maternity Unit HC III and Mukura HC III did not submit the Annual Budget Reports FY 2022/2023 to the DHO.</p>	0																								
<p>Maximum 14 points on this performance measure</p>																												
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>Mukula HC III 25/08/2023 and Ngora District Maternity Unit 15/08/2023 submitted their Performance Improvement Plans but Ngora HC IV did not. The Performance Improvement Plans had issues like Quality improvement and Immunisation coverage.</p>	0																								
<p>Maximum 14 points on this performance measure</p>																												
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,</p> <ul style="list-style-type: none"> • score 2 or else score 0 	<p>The sampled health facilities submitted up to date monthly and quarterly HMIS reports timely as follows:</p> <table border="0"> <tr> <td colspan="2">July 2022</td> </tr> <tr> <td>District Maternity Unit HC III</td> <td>7/08/2022</td> </tr> <tr> <td>Ngora HC IV</td> <td>7/08/2022</td> </tr> <tr> <td>Mukura HC III</td> <td>7/08/2022</td> </tr> <tr> <td colspan="2">August 2022</td> </tr> <tr> <td>District Maternity Unit HC III</td> <td>7/09/2022</td> </tr> <tr> <td>Ngora HC IV</td> <td>7/09/2022</td> </tr> <tr> <td>Mukura HC III</td> <td>7/09/2022</td> </tr> <tr> <td colspan="2">September 2022</td> </tr> <tr> <td>District Maternity Unit HC III</td> <td>5/10/2022</td> </tr> <tr> <td>Ngora HC IV</td> <td>7/10/2022</td> </tr> <tr> <td>Mukura HC III</td> <td>7/10/2022</td> </tr> </table>	July 2022		District Maternity Unit HC III	7/08/2022	Ngora HC IV	7/08/2022	Mukura HC III	7/08/2022	August 2022		District Maternity Unit HC III	7/09/2022	Ngora HC IV	7/09/2022	Mukura HC III	7/09/2022	September 2022		District Maternity Unit HC III	5/10/2022	Ngora HC IV	7/10/2022	Mukura HC III	7/10/2022	2
July 2022																												
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Ngora HC IV	7/10/2022																											
Mukura HC III	7/10/2022																											
<p>Maximum 14 points on this performance measure</p>																												

October 2022

District Maternity Unit HC III 5/11/2022

Ngora HC IV 7/11/2022

Mukura HC III 4/11/2022

November 2022

District Maternity Unit HC III 7/12/2022

Ngora HC IV 7/12/2022

Mukura HC III 7/12/2022

December 2022

District Maternity Unit HC III 7/01/2023

Ngora HC IV 7/01/2023

Mukura HC III 5/01/2023

January 2023

District Maternity Unit HC III 7/02/2023

Ngora HC IV 7/02/2023

Mukura HC III 7/02/2023

February 2023

District Maternity Unit HC III 6/03/2023

Ngora HC IV 7/03/2023

Mukura HC III 7/03/2023

March 2023

District Maternity Unit HC III 7/04/2023

Ngora HC IV 7/04/2023

Mukura HC III 3/04/2023

April 2023

District Maternity Unit HC III 6/05/2023

Ngora HC IV 7/05/2023

Mukura HC III 7/05/2023

May 2023

District Maternity Unit HC III 7/06/2023

Ngora HC IV 7/06/2023

Mukura HC III 7/06/2023

June 2023

District Maternity Unit HC III 7/07/2023

Ngora HC IV 7/07/2023

Mukura HC III 7/07/2023

Quarterly

1st Quarter 2022/2023

District Maternity Unit HC III 5/10/2022

Ngora HC IV 7/10/2022

Mukura HC III 7/10/2022

2nd Quarter 2022/2023

District Maternity Unit HC III 7/01/2023

Ngora HC IV 7/01/2023

Mukura HC III 5/01/2023

3rd Quarter 2022/2023

District Maternity Unit HC III 7/04/2023

Ngora HC IV 7/04/2023

Mukura HC III 3/04/2023

4th Quarter 2022/2023

District Maternity Unit HC III 7/07/2023

Ngora HC IV 7/07/2023

Mukura HC III 7/07/2023

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0

Note: Municipalities submit to districts

Not applicable.

0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>Not applicable</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<ul style="list-style-type: none"> • The DLG did not meet the timeline for submission of quarterly reports in quarters 1 and 2, as detailed below. • Quarter 1 report was submitted on February 16, 2023; Quarter 2 report was submitted on March 23, 2023; Quarter 3 report was submitted on April 27, 2023; and Quarter 4 report was submitted on July 28, 2023. Quarter one reports and quarter two reports were submitted beyond the prescribed time frame and therefore not compliant with the regulations and guidelines. 	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>The LG did not develop an Approved Performance Improvement Plan for the weakest health facilities.</p>	0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>There was no plan to implement.</p>	0
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Human Resource Management and Development

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>The LG budgeted 2,858,064,815= for 143 H/Ws out of the required 197.</p> <p>$143/197*100=72.5\%$ which was below the recommended 75%.</p>	0
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7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>There were only 3 out of 10 health facilities with at 75% staff required.</p> <p>These were Agu HC III 16/19 (84.2%), District Maternity Unit HC III 17/19 (89.5%) and Ngora HC IV 38/38 (79%).</p>	0
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7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0

The health workers were working where they were deployed. Mukura HC III had 13 H/Ws on the list 2023/2024 and were found in the attendance book. Ngora District Unit HC III had 17 H/Ws on the list dated 2023/2024 and were found in the attendance book. Ngora HC IV had 38 H/Ws dated 15/08/2023 and were found in the attendance book.

The example of staff found were:

Ngora District Maternity Unit

Apio Ochom Margaret Nursing Officer/Midwifery

Mukura HC III

Ibore Josephine Clinical Officer

Ngora HC IV

Dr. Onyait Julius Medical Officer

3

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0

The LG publicized health workers on the noticeboards. Mukura HC III publicized 13 H/Ws dated 2023/2024.

Ngora District Maternity Unit HC III publicized 17 H/Ws dated 2023/2024. Ngora HC IV publicized 38 H/Ws dated 15/08/2023.

2

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

a) Evidence that the DHO/MMOHs has:

i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0

The LG had 10 Health Facility In-charges and there was evidence that all were appraised. However, most facility in-charges were appraised beyond 30th/June.

1. Opolot Richard (SCO) Kapir HCIII was appraised on 3/7/2023

2. Pule Grace Awna (E/Midwife) Opot HCII was appraised on 18/7/2023

3. Ogwang Moses (N/O) Ajeluku HCIII 8/7/2023

4. Asio Janets (E/N) Atoot HCII was appraised on 26/6/2023

5. Apio Ochom Margaret (ANO) Ngora HCIII was appraised on 19/7/2023

6. Ayago Florence (E/N) Agu HCIII was appraised on 19/7/2023

7. Agwang Agnes (AEO) Omiit HCII was appraised on 24/7/2023

8. Amongin Budesta (E/Midwife) Mukura HCIII was appraised on 19/7/2023

9. Mugalya Brian (Dispensor) Ngora HCIII was appraised on 18/7/2023

10. Adupa George (CO) Omiit HCII was appraised on 24/7/2023

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p>	<p>The LG had 128 facility workers and there was evidence that all Health Facility Workers were appraised against the agreed performance plans.</p> <ol style="list-style-type: none"> 1. Agilo Melida Rose (H.I.A) Kobwin HCIII was appraised on 10/7/2023 2. Akurut Patricia (CO) Kobwin HCIII was appraised on 8/7/2023 3. Omudu Tom Okadan (E/N) Kapir HCIII was appraised on 4/8/2023 4. Apiot Christine Betty (E/N) Kapir HCIII was appraised on 24/7/2023 5. Apiny Caroline Merab (E?Midwife) Opot HCII was appraised on 18/7/2023 6. Ogonono Gilbert (E/N) Opot HCII was appraised on 18/7/2023 7. Bua Isaac (Askari) Ajeluka HCIII was appraised on 28/6/2023 8. Acham Christine (M.Lab Tech) Ajeluka HCIII was appraised on 18/6/2023 9. Acam Jane Sylvia (Anesthetic Officer) Ngora HCIII was appraised on 19/7/2023 10. Osele Moses (E/N) Ngora HCIII was appraised on 18/7/2023 	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>There was no evidence and no reason given</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <ol style="list-style-type: none"> i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0 	<p>The DHT trained all Midwives from 12 health facilities on Malaria in Pregnancy in the LG from 9-24/01/2023 but had no training plan. The health facilities among others included Ngora Fred Carr hospital, Ngora HC IV, Mukura HC III and Ngora District Maternity Unit HC III.</p>	0

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>The LG had no training database.</p>	0
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Management, Monitoring and Supervision of Services.

9	<p>N23 Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>The CAO did not confirm the facilities that receive PHCNWR grants.</p>	0
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9	<p>N23 Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>Fund allocation to the Health Department during FY 2022/2023 amounted to shs 41,546,000 as reflected on page 12 of the district quarter four quarterly budget performance report for FY 2022/2023. The monitoring and service delivery was allocated shs 23,720,000 as per page 12 of the of the district quarter four quarterly budget performance report for FY 2022/2023.</p>	2
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N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0

The DLG received communication from PS/ST on quarter one cash limits on 30th August, 2022 under reference BPD267/268/01. Warranting was done on 3rd September, 2022, invoicing was done on 7th September, 2022, Transfers to Health Centers on 7th September, 2022 and communication to Health Centers by CAO on 9th September, 2022.

In quarter two, communication on cash limits from the PS/ST was received on 8th October, 2022 under reference BPD267/268/01. Warranting was done on 13th October, 2022; Invoicing was done on 17th October, 2022; Transfers to Health Centers was done on 17th October, 2022 and communication to Health Centers was done on 20th October, 2022.

In quarter three, communication from the PS/ST was received by the DLG on 16th January, 2023 under reference BPD267/268/01, Warranting was done on 19th January, 2023; Invoicing was done on 24th January, 2023; transfers to Health Centers was done 24th January, 2023 and communication to Health Centers by the CAO was done on 26th January, 2023.

In quarter four, communication from the PS/ST was received by the DLG on 1st April, 2023 under reference BPD267/268/01, Warranting was done on 1st April, 2023; Invoicing was done on 5th May, 2023; transfers to Health Centers was done 18th May, 2023 and communication to Health Centers by the CAO was done on 6th April, 2023.

N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0

The DLG invoiced and communicated all PHC NWR Grant transfers in FY 2022/2023 to health facilities within 5 working days from the day of funds release in each quarter as per details provided above

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>There was evidence that the district publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoPPED for example circular letter under reference SDLG/DLG/HQ/02 dated 14th October, 2022 verified at Agu HC III in Odwara sub county in Ngora TC notice board. CAO's circular letter dated 23rd March, 2023 under reference CR/SCC/56/es and pinned at the district headquarters.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>The LG did not hold Performance Review meetings in FY2022/2023.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>There was no meeting held in FY2022/2023.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>There was no Government Hospital in the LG, Ngora HC IV was supervised as follows: 26-30/09/2022, 12/01/2023, 3-7/04/2023 and 5-9/06/2023. Ngora Fred Carr hospital a PNFP was supervised on 26-30/09/2022.</p>	1

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <ul style="list-style-type: none"> • If not applicable, provide the score 	<p>Ngora HSD led by Ngora HC IV supervised lower level health facilities as follows:</p> <p>8/08/2022 Ngora District Maternity Unit (DMU) HC III, Kobwin HC III, Agu HC III and Mukura HC III.</p> <p>12/12/2022 Atoot HC II, Kobwin HC III, Opot HC III, Mukura HC III and Ngora DMU HC III.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>There was no evidence to show that the recommendations made after supervision were followed up.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>The LG provided support to health facilities in the prescription, dispensing, storing and stock management of medicines and health supplies as follows:</p> <p>18/04/20203 Ngora HC IV</p> <p>8/05/2023 Ngora HC IV, Ajeluk HC III, Agu HC III and Kobwin HC III.</p>	1
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p>	<p>Fund allocation to the Health Department during FY 2022/2023 amounted to shs 41,456,000 as reflected on page 12 of the district 4th quarter budget performance report for FY 2022/2023. The allocation for health prevention and promotion activities was allocated shs 17,826,080 as per page 2 of the 4th quarter budget performance report for FY 2022/2023.</p>	2

11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0</p>	<p>The DHT held Radio Talk shows on Integrated Child Health Days and Measles Rubella campaign on 92.3 AISA FM on 12/09/2022, 23/09/2022 and 3/10/2022. The panellists were Ag. DHI, SHE, ADHO/MCH, Secretary for Health and DCCT.</p> <p>The Ag. DHI held community dialogue talks on HIV on 10th ,11th and 13th January 2023 in Kapir, Mukura and Kalowin S/Cs.</p>	1
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11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p>	<p>The Ag. DHI held Sanitation and Hygiene inspection of Public places in Mukura and Kawodikima Trading Centres on 18/03/2023. Filled up pit latrines were emptied in Mukura P/S during the inspection.</p>	1
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Investment Management

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>The LG did not have an updated Assets register which sets out health facilities and equipment relative to basic standards.</p>	0
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12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDPIII); (ii) desk appraisal by the LG; and (iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG));</p>	<p>The DLG implemented three projects in the health department during FY 2022/2023 out of which a sample of two projects was selected.</p> <p>(i) Construction of a maternity ward at Agu HC III in Odwarati sub county budgeted for shs 66,158,000 as per page 28 of the approved district budget for FY 2022/2023. Total expenditure amounted to shs 51,936,747 as per 4th quarter budget performance report page 23 for FY 2022/2023.</p> <p>The project was captured on page 97 of the DDP III, page 6 of the AWP. The desk appraisal report was dated 16th November, 2022. The Field appraisal report was dated 6th December, 2022.</p> <p>(ii) Completion of two staff houses at Ngora HC IV budgeted for shs 62,963,000 as per page 28 of the district approved budget for FY 2022/2023. The project was captured on page 97 of the DDP III and page 14 of the AWP. Total expenditure was shs 49,047,859 as detailed on page 11 of the 4th quarter budget performance report for FY 2022/2023.</p> <p>The field appraisal report was dated 6th December, 2022 and the desk appraisal report was dated 16th November, 2022.</p>	1
	<p>Maximum 4 points on this performance measure</p>	<p>score 1 or else score 0</p>		
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>The DLG conducted field Appraisal to check for:</p> <p>(i) technical feasibility;</p> <p>(ii) environment and social acceptability; and</p> <p>(iii) customized designs to site conditions: The field appraisal report was dated 6th December, 2022 and the desk appraisal report was dated 16th November, 2022.</p>	1
	<p>Maximum 4 points on this performance measure</p>			

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>The LG health facility investment was screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist as evidenced by the following screening forms by EO & DCDO below;</p> <ul style="list-style-type: none"> • E&S Screening form for the proposed construction of a maternity Ward at Agu HC III by the EO and DCDO on 28/10/2022 • E&S Screening form for the proposed construction of 2 staff units at Ngora HC IV by the EO and DCDO on 20/01/2022 • E&S Screening form for the proposed completion of a maternity Ward at Ngora HC IV by the EO and DCDO on 20/07/2022 	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>The Health sector lately submitted all its infrastructure and other request to procurement unit on 13th/07/2023 after the deadline date of 30th/April/2023 as per the PPDA requirement.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>There was no evidence that the Health sector submitted the sector procurement request form (FormPP1) to the PDU by 1st Quarter of the FY 2023/2024.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>The Contracts Committee approved all the health infrastructure investments for the FY2022/2023 in the minutes of the contracts committee dated 17th /10/2022 under minute number 6(a)/NDCC/2022-2023.</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG properly established the project implementation team as per the PPDA guidelines for all the education sector projects. The appointment letter by CAO dated 3rd/11/2022 indicated that Eng.Opio Stanlas (District Engineer) was appointed as the Projects manager, Dr.Oluka Onyait (DHO) was appointed as the contracts manager, Mr Awekonimungu Margret appointed as the environment officer , Mr.Egungu Francis as the DCDO and the labour officer and Mr.Okello Samuel appointed as the clerk of works</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>Two health infrastructure projects were visited during the assessment. These projects were; phase 1 construction of the maternity ward at Agu HC III and the construction completion of the comprehensive maternity ward at Ngora HC IV. The field inspection results of the two construction sites indicated that the standard technical designs for the maternity as provided by the ministry of health was accurately followed and no defects in terms of surface cracks, structural crack or other structural defects were observed during the assessment. The window and door schedule were accurately followed.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no evidence that the Clerk of Works maintained the daily records and consolidated them weekly to the District Engineer in copy for the DHO. No clerk of works reports were available for review during the two days of assessment.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no health centre upgrade in the FY 2022/2023</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>Besides not having a health center upgrade in the FY 2022/2023, Ngora DLG carried out a technical supervision of works of all the health infrastructure projects implemented in the FY 2022/2023 as evidenced in the minutes of site meeting dated 13th/02/2023 for the construction of the maternity ward at Ngora HC IV and in the site instruction book page dated 3th/08/2023 for the phase 1 construction of the maternity ward at Agu HC III.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p>	<p>The DLG implemented and completed two projects in the department during FY 2022/2023.</p> <p>The DHO verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days after receiving payment requests as per examples provided below:</p> <p>(i) Payment to CAB Uganda Ltd for shs 97,032,843 per payment voucher number 6437448 dated 28th June, 2023 in respect of completion of maternity ward at Ngora HCIV in Ngora sub county as per contract number NGOR 603/WRKS/2021-2022/00042.</p> <p>The DHO initiated payment on 15th June, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment.</p> <p>(ii) Payment to Marco Enterprises Ltd for shs 19,134,975 per payment voucher number 5859928 dated 15th June, 2023, in respect of construction of</p> <p>Construction of sub structure and super structure at Agu HC III in Odwara sub county per contract number NGOR 603/WRKS/2022-2023/00043.</p> <p>The DHO initiated payment on 10th March, 2023; DE signed the payment documents on 10th March, 2023; CAO, CFO, DCDO and Environment Officer on 14th March, 2023.</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>The LG had a complete procurement file for the health Infrastructure contract with all records as required by the PPDA Law as indicated below for the respective projects;</p> <ul style="list-style-type: none"> • Completion of the maternity ward at Ngora HC IV (Procurement Reference no. Ngor909/Wrks/2022-2023/00044) <p>Evaluation report dated 12th/12/2022 with Simpjo Tech Uganda Ltd as the best evaluated bidder at 119,034,447</p> <p>Minutes of contracts committee decision dated 27th/12/2023 and works contract signed on 13th/01/2023</p> <ul style="list-style-type: none"> • Construction of the maternity ward at Agu HC III (Procurement Reference no. Ngor909/Wrks/2022-2023/00043) <p>Evaluation report dated 12th/12/2022 with mARCO Enterprises Ltd as the best evaluated bidder at 66,157,789</p> <p>Minutes of contracts committee decision dated 22nd/12/2023 and works contract signed on 30th/01/2023.</p>	1
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Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>LG had not recorded any grievances under health in the FY 2022/2023 by the time of assessment, however the Grievance Log was availed dated July 2019</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>The LG distributed guidelines on health care waste management WASH IN HEALTH FACILTIES 2022 on 27/04/2023. These were found in Ngora HC IV, Ngora District Maternity Unit HC III and Mukura HC III.</p>	2

15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery	b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0	The LG had a functional system for Medical waste management. Ngora HC IV, Mukura HC III and Ngora District Maternity Unit HC III had bin liners for segregation of waste, safety boxes, waste pits and placenta pits. Green Label Services LTD a registered service provider was collecting waste from the facilities. Ngora Fred Carr hospital, Ngora HC IV and Mukura HC III each had an incinerator.	2
15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery	c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0	The DHT created awareness in health care waste management during the supervision visits to the health facilities in FY 2022/2023 like Ngora HC IV, District Maternity Unit HC III and Mukura HC III.	1
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0	Item 1 (plant a minimum of 10 trees, watered and protected through the project time) page 95 of the bid document for the construction of a maternity ward at Agu HC III dated 16/11/2022 with Procurement Reference Ngor 909/Wrks/2022-2023/00043 by Marco Enterprises Ltd	2
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0	Land title for Ngora DLG of P.O.Box 31, Ngora of 0.8720 hectares plot 197 block 1 at Kobuku Cell dated 07/04/2022	2
Maximum 5 points on this performance measure	Maximum 5 points on this performance measure	Maximum 8 points on this performance measure	Maximum 8 points on this performance measure	

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>The EO and DCDO conducted only one monthly support supervision and monitoring of the health project as opposed to the 3 minimum required as evidenced by the E&S Monitoring Reports for the proposed Health projects in FY 2022/2024 by the EO and DCDO on 29/06/2023</p>	0
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0</p>	<p>Environment and Social Certification form was completed and signed by the SEO and DCDO prior to payments of contractor invoices/certificates of the only health infrastructure project as evidenced below;</p> <ul style="list-style-type: none"> • E&S Certification form for the proposed construction of a maternity Ward at Ngora HC IV by the EO and DCDO on 15/06/2023 • Payment Certificate 02 of 22,001,604/= for the construction of a maternity ward at Agu HC III signed by the EO and DCDO on 14/03/2023 • E&S Certification form for the proposed construction of a maternity Ward at Agu HC III by the EO and DCDO on 15/06/2023 	2

**Water &
Environment
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>The District rural water sources functionality as per the MWE MIS on was 88%.</p>	1
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>The District facilities with functional water & sanitation committees as per the MWE MIS was 99%.</p>	2
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;</p> <ul style="list-style-type: none"> • Above 80%, score 2 • 60% - 80%, score 1 • Below 60%, score 0 	<p>Reviewed the LLGs performance assessment report for the FY 2023/2024 and the scores for sub counties converted to percentage for the water and environment indicators were as follows;</p> <p>Kapir s/c 80 %</p> <p>Kobwin s/c 0%</p> <p>Mukura s/c 20%</p> <p>Ngora s/c 100%</p> <p>Odwarat s/c (New) 70%</p> <p>Morukakise s/c (New) 70%</p> <p>Agirigiroi s/c (New) 0%</p> <p>The average score for the LG was 48.5 %</p>	0
2	<p>N23_Service Delivery Performance: Average</p>	<p>b. % of budgeted water projects implemented in the</p>	<p>According to the Ministry MIS, the district safe water coverage in the FY</p>	1

score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

sub-counties with safe water coverage below the district average in the previous FY.

- o If 100 % of water projects are implemented in the targeted S/Cs: Score 2
- o If 80-99%: Score 1
- o If below 80 %: Score 0

2021/2022 was 86% and sub-county (s/c) water coverage were as follows:

Kapir s/c 94 %

Kobwin s/c 81%

Mukura s/c 77%

Ngora s/c 95%

The new sub counties were not yet updated in the Ministry MIS.

Therefore, sub-counties with safe water coverage below the district average were Kobwin and Mukura.

Reviewed the Water and Sanitation AWP for FY 2022/2023 dated 28th July 2022, approved by MWE on the 15th August 2022. The WSS infrastructure development projects implemented in the district were as follows:

1. Drilling of 2 boreholes each at a cost of 25,000,000 UGX;

i. Apuwai borehole in Morukakise s/c

ii. Olukangor borehole in Otoot s/c previously Kobwin s/c.

2. Extension of piped water systems to Kadok trading center and St St. Gusta p/s in Kobwin s/c at 78,562,000 UGX.

3. Rehabilitation of 2 boreholes at a cost of 5,000,000 UGX;

i. Kobuku borehole in Ngora TC

ii. Agirigiroi borehole in Agirigiro s/c

4. Construction of 2 stanch pit latrine (Phase I) in Omoditok trading center, Odwarat s/c at a cost of 7,035,000 UGX.

5. Driling of a production well in Kapujan village in Kobwin s/c at a cost of 52,298,000 UGX

The total budget allocation to WSS infrastructure development was 192,894,000 UGX

The following were the budget allocated to WSS infrastructure development in the sub counties with safe water coverage below district average:

- Kobwin s/c, 155,860,000 UGX
- Mukura s/c no allocation

Giving a total of 155,860,000UGX.

No MoU with development partner was presented

Therefore, % of water projects implemented by the district in the s/c with safe water coverage below the district average was 80.8%.

2

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates

o If within +/-20% score 2

o If not score 0

The following contracts were sampled from the water and sanitation 4th quarter progress report for FY 2022/2023 dated 7th August 2023, received by MWE on the 11th September 2023;

1. Drilling of 2 deep boreholes, contract number NGOR909/WORKS/2022-2023/00041 was budgeted/estimated at 50,000,000UGX. The contract document (Bill of quantity) showed that MAMA BOREWELLS AFRICA LTD quoted at 45,830,610 UGX. The contract variation was -8 %.

2. Construction of 2 stanch pit latrine, contract number NGOR909/WORKS/2022-2023/00050 was budgeted/estimated at 11,88,700 UGX. The contract document (Bill of quantity) showed that BUJOSONS HOLDINGS LTD quoted at 11,88,700 UGX. The contract variation was 0%.

3. Rehabilitation of 2 boreholes, contract number NGOR909/WORKS/2022-2023/00051 was budgeted/estimated at 5,000,000 UGX. The contract document (Bill of quantity) showed that GRACE DEVELOPERS LTD quoted at 8,047,600 UGX. The contract variation was +60%.

2

2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p>Maximum 8 points on this performance measure</p>	<p>d. % of WSS infrastructure projects completed as per annual work plan by end of FY.</p> <ul style="list-style-type: none"> o If 100% projects completed: score 2 o If 80-99% projects completed: score 1 o If projects completed are below 80%: 0 	<p>From the 4th quarter progress report for FY 2022/2023 dated 25th July 2023, received by the MWE on the 11th September 2023 on page 4, all (100%) WSS infrastructure development projects implemented in the district were completed as planned.</p> <ol style="list-style-type: none"> 1. Drilling of 2 boreholes. Apuwai borehole in Morukakise s/c and Olukangor borehole in Otoot s/c. 2. Extension of piped water systems to Kadok trading center and St St. Gusta p/s in Kobwin s/c. 3. Rehabilitation of 2 boreholes. Kobuku borehole in Ngora TC and Agirigiroi borehole in Agirigiroi s/c 4. Construction of 2 stanch pit latrine (Phase I) in Omoditok trading center, Odwarat s/c. 5. Drilling of a production well in Kapujan village, Kobwin s/c. 	2
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <ul style="list-style-type: none"> o If there is an increase: score 2 o If no increase: score 0. 	<p>From the Ministry MIS, the functionality of rural water supply facilities in the district for the FY 2021/2022 was 88% and for the FY 2022/2023 was 88% giving increase of 0%.</p>	0
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <ul style="list-style-type: none"> o If increase is more than 1% score 2 o If increase is between 0-1%, score 1 o If there is no increase : score 0. 	<p>From the Ministry MIS, the water sources with functional WSCs in the district for the FY 2021/2022 was 99% and for the FY 2022/2023 was 99% giving increase of 0%.</p>	0

Performance Reporting and Performance Improvement

Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance

Maximum 3 points on this performance measure

The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3

From the 4th quarter progress report for FY 2022/2023 dated 25th July 2023, received by the MWE on the 11th September 2023, the following constructed WSS facilities were sampled and visited to establish if they were completed, functional and in use;

1. Olukangor borehole in Atoot s/c. The borehole was constructed by MAMA BOREWELLS AFRICA LTD, installed on 27th February 2023, DWD 86498. The water source was completed, functional and in use by the community.

2. Extended piped water systems from Ngora TC to Kadok trading centre and Kosim primary school. A water kiosk was constructed in the trading centre and a PSP in the primary school. All were completed and functional.

3. Constructed 2 stanch pit latrine in Odwarat s/c. Phase I construction awarded in the FY 2022/203 was completed and phase II construction planed for FY 2023/2024 was on-going.

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement):
Score 2

From the 4th quarter progress report for FY 2022/2023 dated 25th July 2023, received by the MWE on the 11th September 2023, the following constructed WSS facilities were sampled and visited to establish if they were completed, functional and in use;

1. Olukangor borehole in Atoot s/c. The borehole was constructed by MAMA BOREWELLS AFRICA LTD, installed on 27th February 2023, DWD 86498. The water source was completed, functional and in use by the community.

2. Extended piped water systems from Ngora TC to Kadok trading centre and Kosim primary school. A water kiosk was constructed in the trading centre and a PSP in the primary school. All were completed and functional.

3. Constructed 2 stanch pit latrine in Odwarat s/c. Phase I construction awarded in the FY 2022/203 was completed and phase II construction planed for FY 2023/2024 was on-going.

The following monitoring reports for functionality of existing water sources conducted by the Borehole Pump Mechanics were presented;

- 1st quarter monitoring report dated 26th June 2022. Only 3 sources were monitored.

- 2nd quarter monitoring report dated 29th December 2022. Only 3 water sources were monitored

The following Form 4 data collection sheet for existing water sources and Form 1 for new water sources were presented;

- 2nd quarter Form 4 dated 13th December 2022

- 3rd quarter Form 4 and Form 1 dated 13th March 2023.

- 4th quarter Form 4 and Form 1 dated 19th September 2023.

5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0</p>	<p>There were no evidences that the DWO submitted Form 4 and Form 1 to the MWE for updating information on the water and sanitation in the MIS. All the forms presented were not received and stamped with date by the MWE.</p>	0
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5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>The following were the LLGs performance assessment results for FY 2022/2023;</p> <p>Kapir s/c 60 %</p> <p>Kobwin s/c 61%</p> <p>Mukura s/c 45%</p> <p>Ngora s/c 35%</p> <p>Odwarat s/c (New) 4%</p> <p>Morukakise s/c (New) 0%</p> <p>Agirigiroi s/c (New) 0%</p> <p>A PIP was presented but it was not dated and did not specify, the year of the LLGs performance assessment and the lower performing sub-counties with their respective areas in water and environment that required improvement. Therefore, it was not possible to ascertained if the PIP presented was for lowest performing LLGs in the previous FY LLG assessment.</p>	0
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Human Resource Management and Development

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p>	<p>There was evidence that the DWO budgeted for critical positions in the department. According to the LG approved Budget Estimates VOTE 845, the wage budget was 63,000,000/= and this budget was for the 2 staff under water as shown below;</p> <ol style="list-style-type: none"> 1. Opio Stanslas Francis (Civil Eng) 2. Oluka John (BMT) 3. Amuron Grace (A.W.O) for mobilization 	2
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6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>There was evidence that the Environment and Natural Resource Officer budgeted for staff in the department totalling to 69,000,000/= for the following;</p> <ol style="list-style-type: none"> 1. Awekonimungu Margaret (Environment Officer) 2. Ogeuna Anis (SLMO) 	2
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>There was evidence that DWO appraised the District Water Office staff against the agreed performance plans during 2022/2023 and this can be confirmed by the below findings;</p> <ol style="list-style-type: none"> 1. Oluka John (BMT) was appraised on 30/6/2023 within the FY 2022/2023 2. Opio Stanslas Francis (Civil Eng-Water) was appraised on 3/10/2023 before 30th/June/2023 	0
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p>	<p>A performance appraisal of Oluka John the Borehole Pump Mechanics was presented. He was recommended by the DWO for capacity building in computer skills and drilling logs interpretations. There was a training report conducted by the MWE in Ngora district in June 2023 where staff in the Water Department attended and were trained in many areas.</p>	3

Management, Monitoring and Supervision of Services.

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<ul style="list-style-type: none"> • a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district: • • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3 • • If 80-99%: Score 2 • • If 60-79: Score 1 • • If below 60 %: Score 0 	<p>According to the Ministry MIS, the district safe water coverage in the FY 2022/2023 was 86% and sub-county (s/c) water coverage were as follows:</p> <p>Kapir s/c 94 %</p> <p>Kobwin s/c 81%</p> <p>Mukura s/c 77%</p> <p>Ngora s/c 95%</p> <p>The new sub counties were not yet updated in the Ministry MIS.</p> <p>Therefore, sub-counties with safe water coverage below the district average were Kobwin and Mukura.</p> <p>Reviewed the Water and Sanitation Sector AWP for FY 2023/2024 dated 8th September 2023, approved by the MWE on 11th September 2023. The WSS infrastructure development</p>	1
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projects budgeted for implementation in the district were as follows:

1. Drilling of 2 boreholes each at a cost of 25,000,000 UGX;

- Kokodu borehole in Mukura s/c
- Opot borehole in Opot TC

2. Extension of piped water systems to Amapu RGC in Mukura s/c at a cost of 40,000,000 UGX.

3. Rehabilitation of 2 boreholes at a cost of 12,492,000 UGX;

- Kobuku borehole in Ngora TC
- Agirigiroi borehole in Agirigiroi s/c

4. Construction of 2 stanch pit latrine (Phase II) in Omoditok trading center, Odwarat s/c at a cost of 6,000,000 UGX.

5. Construction of piped water system (Phase II) in Kapujan village in Kobwin s/c at a cost of 71,778,153 UGX.

6. Maintenance of existing of solar powered piped water systems at 17,982,500 UGX;

- Kalango village in Ngora s/c
- Murokakise village in Murokakise s/c
- Obario and Osigiria cells in Ngora TC

The total budget allocation to WSS infrastructure development was 192,252,653 UGX.

The total budget allocated to WSS infrastructure development in the sub counties with safe water coverage below district average;

- Kobwin s/c, 71,778,153 UGX
- Mukura s/c 65,000,000 UGX

Giving a total of 136,778,153 UGX.

No MoU with development partners and their number of water sources allocations and costs were presented.

Therefore, % of water projects implemented by the district in the s/c with safe water coverage below the district average was 71%.

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3</p>	<p>There was undated copy of allocations of water sources projects to the LLGs in the FY 203/2024 displayed in the Water department notice board. However, there was no evidence or letter written to SACAOs at the sub counties to inform them about the water allocations.</p>	0
<p><i>Maximum 6 points on this performance measure</i></p>				
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)</p>	<p>Form 4 were presented to show that the district water office monitored quarterly the existing water sources. The total number of water sources was 456. The information showed that;</p>	0
<p><i>Maximum 8 points on this performance measure</i></p>		<ul style="list-style-type: none"> • If 95% and above of the WSS facilities monitored quarterly: score 4 	<ul style="list-style-type: none"> • In the 2nd quarter, all the existing water sources in Form 4 dated 13th December 2022 were monitored. 	
		<ul style="list-style-type: none"> • If 80-94% of the WSS facilities monitored quarterly: score 2 	<ul style="list-style-type: none"> • In the 3rd quarter, all the existing water sources in Form 4 dated 13th March 2023 were monitored. 	
		<ul style="list-style-type: none"> • If less than 80% of the WSS facilities monitored quarterly: Score 0 	<ul style="list-style-type: none"> • In 4th quarter, all the existing water sources in Form 4 dated 19th September 2023 were monitored. 	
		<p>The was no evidence of monitoring existing water sources in 1st quarter of the FY 2022/2023 and also sanitation facilities in all the quarters. Therefore, monitoring of WSS facilities were not implemented in all the quarters.</p>		

9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2</p>	<p>The following minutes indicated that DWSCC meeting were conducted;</p> <ul style="list-style-type: none"> • 1st quarter DWSCC meeting was held late on the 30th September 2022. There were no key issues identified from water sources monitoring discussed. • 2nd quarter DWSCC meeting was held late on the 22th December 2022. There were no key issues identified from water sources monitoring discussed. • 3rd quarter DWSCC meeting was held late on the 10th March 2023. Key issues identified from water sources monitoring were discussed under Min 24/DWSCCM/10/3/23 • 4th quarter DWSCC meeting was held late on the 26th June 2023. Key issues identified from water sources monitoring were discussed under Min 30/DWSCCM/26th /June/2023. 	2
<p><i>Maximum 8 points on this performance measure</i></p>				
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>	<p>There was undated copy of the LLGs allocations of water sources displayed in the Water department notice board. The water source allocations only indicated the location per sub county without the respective cost of the project. There was no evidence or letter written to SACAOs at the sub counties to inform them about the water allocations. The allocations were not displayed in the notice board of the sub counties visited (Otoot and Adwarat).</p>	0
<p><i>Maximum 8 points on this performance measure</i></p>				
10	<p>Mobilization for WSS is conducted</p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 	<p>Reviewed the Water and Sanitation AWP for FY 2022/2023 dated 28th July 2022, approved by MWE on the 15th August 2022. The total allocation of NWR budget to rural water and sanitation was 55,682,615 UGX out from which allocation to facilitate community mobilisation activities was 24,910,198 UGX, presenting 44.7 % which was above the required percentage of 40% by WSS grant guidelines.</p>	3
<p><i>Maximum 6 points on this performance measure</i></p>				

10	Mobilization for WSS is conducted <i>Maximum 6 points on this performance measure</i>	b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.	The was a training report dated 24th October 2022 presented which indicated that WSCs were trained on the O&M in the FY 2022/2023. The training was conducted on the 23rd October 2022 for 2 boreholes completed; Apuwai borehole in Munokakise s/c and Olukangor borehole in Atoot s/c. Among the 3 WSS projects samples, Olukangor borehole was visited to confirm if the training of the WSCs was conducted. The Secretary WSC Sam Okutui was found at the water source and confirmed that the WSC was established and trained. Water user fee collection was 1000 UGX per month per household.	3
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Investment Management

11	Planning and Budgeting for Investments is conducted effectively <i>Maximum 14 points on this performance measure</i>	a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG: Score 4 or else 0	Form 4 were presented as asset register for water source facilities. However, it was not updated with information on the newly constructed water sources in the FY 2022/2023. There was also no asset register for sanitation facilities.	0
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11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDPIII) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p> <p>Score 4 or else score 0.</p>	<p>The district implemented three projects in the water department during FY 2022/2023 out of which a sample of two projects was selected.</p> <p>(i) Drilling of two boreholes in Lukangor village in Atooto sub county which was budgeted at shs 23,000,000 as per DLG approved budget page 28 for FY 2022/2023. The project was captured in the DDP III page 91 as well as the AWP page 12 for FY 2022/2023. Total expenditure was shs 20,538,018 as per page 14 of the 4th quarter budget performance report for FY 2022/2023. The desk appraisal report was dated 25th November, 2022. The field appraisal report was dated 25th November, 2022</p> <p>(ii) Drilling of borehole at Apuwai village in Morukakise sub county budgeted for shs 23,000,000 as per page 48 of the district approved budget for FY 2022/2023. Total expenditure was shs 22,956,897 as per page 14 of the 4th quarter budget performance report for FY 2022/2023. The project was captured on page 91 of the DDP III and page 14 of the AWP. The desk appraisal report was dated 25th November, 2022. The field appraisal report was dated 25th November, 2022.</p>	4
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>The following community application forms for new water projects in the FY 2023/2024 were presented.</p> <ol style="list-style-type: none"> 1. Application for a water source in Kokodu village in Mukura s/c signed by the area LC1 on the 17th November 2023. 2. Application for a water source in Opot cell, Opot TC signed by the area LC1 on the 15th November 2023. 	2

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>The following field appraisal for the WSS projects in the FY 2023/2024 were presented;</p> <p>2. Field appraisal for Kodou borehole in Mukura s/c dated 1st November 2023 and Opot borehole in Opot TC dated 24th November 2023.</p> <p>3. Field appraisal for extension of piped water to Atoot s/c dated 24th November 2023.</p> <p>4. Filed appraisal for Kodike solar powered water scheme in Kapujan village, Kobwin s/c dated 24th November 2023.</p> <p>All the field appraisal targeted technical, environmental and social eligibilities.</p>	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<ul style="list-style-type: none"> • E&S Screening form for the proposed extension of piped water system to Kadok-St Gusta P/S by the EO and DCDO on 12/04/2023 <p>Only one project was assessed</p>	0
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>The water infrastructure investments were incorporated on page 3 of the LG approved procurement plan and the projects included;</p> <ul style="list-style-type: none"> • Rehabilitation of 2 deep boreholes • Construction of two powered borehole pump house, a water Kiosk and fabrication of the water tank base. • Phase 1 construction of the 2-stance pit latrine at Omaditok RGC. • Drilling and installation of 2 deep boreholes and • Drilling of one production well at Kodike 	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>All the water supply and public sanitation infrastructure projects for the FY2022/2023 were approved by the Contracts Committee in the minutes of the contracts committee dated 17th/10/2022 under minute 6(a)/NDCC/2022-2023.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>The DWO did not properly establish the project implementation team as per the PPDA guidelines. The appointment letters by CAO dated 1st/06/2023 indicated that Opolot Thomas (DWO) was appointed as the contracts manager, Eng. Opio Stanlas (District Engineer) as the project manager, Awekonimungu Margret as the Environment officer and Mr. Egunyu Francis as the CDO. However, the LG Water office did not appoint the clerk of works and labour officer on the team.</p>	0
	<p><i>Maximum 14 points on this performance measure</i></p>			
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>The following water and sanitation projects were visited to confirm if they were constructed as per the standard technical designs;</p> <ol style="list-style-type: none"> 1. Olukangor borehole in Atoot s/c. The following measurements were checked and found to be within acceptable range in the standard technical design; <ul style="list-style-type: none"> i. Slap (apron) external diameter ii. Length of drainage channel iii. Sprout (pipe outlet) height 2. A 2 stances pit latrine in Odwarat s/c. The room dimensions were checked and found to be within the range in the standard technical design provided. 3. Extension of pipe water systems to Katok trading centre and Kosim primary school in Kobwin s/c. The taps stand and water kiosk were constructed according to the standard technical design provided 	2
	<p><i>Maximum 14 points on this performance measure</i></p>			

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2</p>	<p>There was no evidence that the relevant technical officers carried out monthly technical supervision of WSS infrastructure projects. No joint supervision reports or minutes of site meeting involving Engineer, environment officer and CDO.</p>	0
	<p><i>Maximum 14 points on this performance measure</i></p>			
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts</p>	<p>The DLG implemented four projects in the department during FY 2022/2023 out of which two projects were sampled. (i) Payment to Grace Developers Ltd for shs 5,989,680 payment voucher number 5314793 dated 17th May, 2023 for rehabilitation of two deep boreholes at Kobuku village Eastern Ward TC and Agirigiri village in Agirigiri sub county under contract reference NGRA 603/WRKS/2022-2023/00051. DWO initiated payment on 23rd March, 2023. The DE, DCDO, Environment Officer and CAO signed the payment documents on 4th April, 2023.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>	<p>o If 100 % contracts paid on time: Score 2 o If not score 0</p>	<p>(ii) Payment to Cresttanks Ltd for shs 43,968,500 for supply of water pipes and materials for the water department as per payment voucher number 5868847 dated 15th June, 2023.</p> <p>Initiation was done by the DWO on 23rd May, 2023 and on the same date the CFO, CAO, DE, CDO and Environment Officer signed the payment documents as required</p>	
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:</p>	<p>The LG had a complete procurement file for each water Infrastructure contract with all records as required by the PPDA Law as indicated below for the respective projects;</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>	<p>Score 2, If not score 0</p>	<p>1. Phase 1 construction of two powered borehole pump house, a water Kiosk and fabrication of the water tank base (Procurement Reference no. Ngor909/Wrks/2022-2023/00063)</p> <ul style="list-style-type: none"> • Evaluation report dated 5th/06/2023 with Dauci Investment Ltd as the best evaluated bidder at 16,199,983. • Minutes of contracts committee decision dated 5th/6/2023 and works contract signed on 5th/6/2023. 	

2. Drilling of two deep boreholes in Morukakise and Makura Sub counties (Procurement Reference no. Ngor909/Wrks/2022-2023/00041)

- Evaluation report dated 12/6/2023 with Mama Bore wells Africa Ltd as the best evaluated bidder at 45,830,610.

- Minutes of contract committee decision dated 22nd/12/2022 and works contract signed on 12th/01/2023.

3. Rehabilitation of 2 deep boreholes (Procurement Reference no. Ngor909/Wrks/2022/2023/00051)

- Evaluation report dated 12/0/2023 Grace Developers Ltd as the best evaluated bidder at 8,047,600

- Minutes of contract committee decision dated 22nd/12/2022 and works contract signed on 13th/01/2023.

4. Drilling of one production well at Kodike (Procurement Reference no. Ngor909/Wrks/2022/2023/00055)

- Evaluation report dated 6th/03/2023 Mama Bore wells Africa Ltd as the best evaluated bidder at 39,628,800

- Minutes of contract committee decision dated 7th/03/2023 and works contract signed on 13th/04/2023.

5. Construction of the 2-stance lined pit latrine at Omaditok RGC (Procurement Reference no. Ngor909/Wrks/2022/2023/00050)

- Evaluation report dated 12th/12/2022 BujoSons Holdings Ltd as the best evaluated bidder at 7,035,000

- Minutes of contract committee decision dated 22nd/12/2022 and works contract signed on 30th/01/2023.

Environment and Social Requirements

13

Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework

Maximum 3 points this performance measure

Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:

Score 3, If not score 0

LG had not recorded some grievances under water for the FY 2022/2023, however the Grievance Log was availed dated July 2019

3

14	Safeguards for service delivery <i>Maximum 3 points on this performance measure</i>	Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs: Score 3, If not score 0	LG had no evidence of disseminated of guidelines on Water source & catchment protection and Natural resource management to CDOs	0
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0	LG had not prepared the water source protection plans and natural resource management plans for WSS facilities constructed in the FY 2022/2023 as required	0
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 3, If not score 0	The following land consent and MoUs for water sources constructed in the FY 2022/2023 were presented: 1. Land consent for Olukangor borehole in Atoot s/c signed by the land owner Ojongole Andrew on the 4th May 2023. 2. Land consent for Apuwai borehole in Monukakise s/c signed by the land owner Aluka Margret on the 5th May 2023	3
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects: Score 2, If not score 0	<ul style="list-style-type: none"> • Payment certificate 1 of 41,076,036/= for the drilling of 2 boreholes signed by the EO on 02/05/2023 and DCDO on 17/04/2023 • Payment certificate 2 of 14,203,306/= for the drilling of 6 boreholes signed by the EO on 02/05/2023 and DCDO on 17/04/2023 • Payment certificate 1 of 6,048,000/= for the rehabilitation of 2 boreholes signed by the EO and DCDO on 04/04/2023 	2
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 2, If not score 0	<ul style="list-style-type: none"> • E&S Monitoring Report for the proposed mini solar scheme by the EO and DCDO on 20/06/2023 • E&S Monitoring Report for the proposed Kadok-St Gusta water extension project by the EO and DCDO on 20/06/2023 <p>Only two monitoring reports were availed as opposed to the minimum of 3 as required</p>	0

**Micro-scale
Irrigation
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else</p>	<p>The LG had up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries as evidenced by the report dated 30/Jun/2023 prepared by Okiring Patrick Omoding-SAE</p> <p>For the FY 2022/23, Ngora district Local government was enrolled for the Micro scale irrigation program phase II. There was 17 acres of irrigated land for both beneficiaries and non-beneficiaries. The non-beneficiaries constituted to 15 acreage and the beneficiaries they constituted to 2 acreages as indicated in the data collection report dated 30/06/2023. Two demonstration sites were set out under micro scale irrigation grant beneficiary that is Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village sized at 1 acre and Otwao Gorreti in Mukura S/C Ajeluk Parish Ajeluk Village sized at 1 acre.</p>	2
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>According to the reports on irrigated land by Okiring Patrick Omoding-SAE (written on 30/06/2022 and 30/06/2023), the District in the FY 2021/22 had 15 acres of irrigated land which increased to 17 acres in the FY 2022/23. Therefore, the increase in the irrigated land was</p> $((17 - 15)/15) \times 100 = 13.3\%$	2
2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%, score 4 • 60% - 70%, score 2 • Below 60%, score 0 	<p>Awaiting result verification from LLG assessment.</p>	0

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6	a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0	The LG received a grant of 157,240,075 that was budgeted and used according to the set-out guidelines for districts under Phase II. Awareness raising for local leaders 23,586,000/= (15%), Awareness raising for farmers 62,896,030/= (40%), Farm visits 23,586,011/= (15%), Demonstration sites 45,923,000/= (30%) as evidenced from the Budget performance reports dated 30/06/2023. The balance was swept back to the system.	2
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	There was no evidence of the approved farmer signing an acceptance form confirming that the equipment was working well, before the LG made payments to the supplier.	0
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6	Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0	The Agricultural Engineer quoted 47,172,023/= and the contractor Teso Crop Care Center (T3C) Limited quoted 45,923,000/= which was recommend for award under Procurement Ref No: Ngor909/suppls/2022-2023/00046 on the 31/01/2023. Thus, the contract was within +/-20% of the Agriculture Engineers estimates.	1
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0	There was no evidence provided to show the completion date of installation micro irrigation equipment since no evidence was availed at the time of assessment.	0
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	a) Evidence that the LG has recruited LLG extension workers as per staffing structure • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0	There was no evidence that the LG recruited LLG extension workers as per the staffing structure. According to the approved structure, the LG required 20 and only recruited 9 extension workers translating to 45%	0

Achievement of standards: The LG has met staffing and micro-scale irrigation standards

Maximum score 6

b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF

- If 100% score 2 or else score 0

There was evidence that the micro-scale irrigation equipment met standards as defined by MAAIF as evidenced on the inventory register of micro-scale irrigation equipment dated 30/06/2023.

1. 6pcs of Solar panels 260 V at host farms Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village and Otwao Gorreti in Mukura S/C Ajeluk Parish Ajeluk Village.
2. 60m ¾inch drag hose pipe at host farms Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village and Otwao Gorreti in Mukura S/C Ajeluk Parish Ajeluk Village.
3. 800m 16mm, 21/hr discharge drip lines at host farms Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village and Otwao Gorreti in Mukura S/C Ajeluk Parish Ajeluk Village.
4. 2 number 5000ltr crest storage tank at host farms Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village and Otwao Gorreti in Mukura S/C Ajeluk Parish Ajeluk Village.
5. 2 units of Plumbing fittings of valves, sockets, nozzles, tape, FTA, clips, unions, reducers bend at host farms Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village and Otwao Gorreti in Mukura S/C Ajeluk Parish Ajeluk Village.
6. 200m 40mm PN6 HDPE pipes as sub mains at host farms Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village and Otwao Gorreti in Mukura S/C Ajeluk Parish Ajeluk Village.
7. 200m 50 mm PN6 HDPE pipes as mains at host farms Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village and Otwao Gorreti in Mukura S/C Ajeluk Parish Ajeluk Village.
8. 4(7m&5m) DN40mm of GI pipes at host farms Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village and Otwao Gorreti in Mukura S/C Ajeluk Parish Ajeluk Village.
9. 400mm of 63mm PN10 HDPE pipe as transmission lines at host farms Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village and Otwao Gorreti in Mukura S/C Ajeluk Parish Ajeluk Village.
10. 2pcs of Solar Submissible water pump 1.25inch inlet & outlet at host farms Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village and Otwao Gorreti in Mukura S/C Ajeluk Parish Ajeluk Village.

4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>b) Evidence that the installed micro-scale irrigation systems during last FY are functional</p> <ul style="list-style-type: none"> • If 100% are functional score 2 or else score 0 	<p>From the 2 sampled irrigation facilities installed in the FY 2022/2023, it was evident that they were not functional as both sites were pending connections to the water source.</p>	0
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Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0</p>	<p>There was evidence that information on positions of extension workers filled was accurate. Three (3) LLGs were sampled and visited and below were the findings;</p> <ol style="list-style-type: none"> 1. In Mukura Town Council, the approved structure dated 12/6/2017 provided for AAHO and as per the staff list, the position was filled by Obenyu Francis 2. In Kapir Sub County, the approved structure provided for Assistant Fisheries Officer and as per the staff list, the position was filled by Olinga Stephen. 3. In Mukura Sub County, the approved staff structure provided for Agriculture Officer and this position was filled by Opio John Paul as per the staff list. 	2
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5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p>	<p>From the visit to the site by the assessment team on 27/11/2023 revealed that the information on demonstration site status was accurate for all demonstration sites.</p> <p>However, none of the demonstration sites were functional as both sites were pending connections to the water source. The drip irrigation lines at Otwao Gorreti in Mukura S/C Ajeluk Parish Ajeluk Villag were pending connections from the mains supply. The drag hose at Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village was pending full connection to the mains supply.</p>	0
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6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>	<p>Three sets of Quarterly supervision and monitoring were report by prepared by Okiring Patrick Omoding-SAE</p> <p>The Q1 report was not evidenced since money came in the Q2. Q2 was written on 28/12/2022, Q3 on 23/03/2023 and Q4 on 23/06/2023.</p> <p>The supervisions reports captured the status of the irrigated land, equipment functionality, the provision of complementary services and farmers expression of interest.</p>	2
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p>	<p>The LG had entered up to- date LLG information into MIS as evidenced by 146 expressions of interests were 132 were successful and 14 unsuccessful and the last entry of EOI as Ecemu Mathias NIN CM78108102RFRC in Odwarat S/C Angod Parish Ogirigiroi Village dated 27/07/2023.</p>	1
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>c.Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0</p>	<p>The LG prepared quarterly reports using information compiled for LLGs in the MIS as evidenced by Q2 report dated 28/12/2022, Q3 report dated 23/03/2023 and Q4 report dated 23/06/2023. For Q4 report EOI were 143,66 farm visits preparation and 43 farm visits conducted.</p> <p>Q1 report was not evidenced since money came in Q2.</p>	1
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0</p>	<p>There was no evidence that the LG developed an approved Performance Improvement Plan for the lowest performing LLGs.</p>	0
	<p>Maximum score 6</p>			

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	There was no evidence that the LG prepared an implemented Performance Improvement Plan.	0
	Maximum score 6			

Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	There was no evidence that the LG had budgeted for extension workers since they were still in roll out.	0
	Maximum score 6			

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	ii Deployed extension workers as per guidelines score 1 or else 0	From the staff register dated 30/10/2023, its indicated that there were 11 extension workers, 8 at the S/C level and 3 at the district level.	1
	Maximum score 6			

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0	There was evidence that extension workers were working in the LLGs where they were deployed. For example; 1. In Mukura SC, Obenyu Francis was deployed as (AHO) and on 16/10/2023, he reported for duty at 8:05am 2. In Kapir SC, Olinga Stephen was deployed as (AFO) and on 29/9/2023 he reported for work at 8:50am 3. In Mukura TC, Obenyu Francis was deployed as (AHO) and on 25/10/2023, he reported for duty at 8:30am	2
	Maximum score 6			

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0	There was evidence that extension workers deployment had been published and disseminated to the LLGs of Kapir SC and Mukura SC. However, in Mukura TC it was found lacking on the Notice board.	2
	Maximum score 6			
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	a) Evidence that the District Production Coordinator has: i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0	There was evidence that the DPO conducted appraisal for all nine (9) extension workers against the agreed performance plans and submitted a copy to HRO. However, some extension workers were appraised late after 30th/June as indicated below. 1. Okore Simon Peter (AO) Ngora SC was appraised on 30/6/2023 2. Okure Moses (AAO) Ngora TC was appraised on 30/6/2023 3. Obenyu Francis (AAHO) Mukura and Kapir SC was appraised on 30/6/2023 4. Ekichu Johnson (AAO) Ngora SC was appraised on 30/6/2023 5. Olinga Stephen (AFO) Kobwin SC was appraised on 4/7/2023 6. Adude Peter (AO) Ngora TC was appraised on 7/7/2023 7. Ariong Gideon (AO) Kapir SC was appraised on 6/7/2023 8. Otukei Charles (Lab Tech) Head Office was appraised on 14/7/2023 9. Aguti Esther (AAO) Kapir SC was appraised on 10/7/2023	0
	Maximum score 4			
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	a) Evidence that the District Production Coordinator has; Taken corrective actions: Score 1 or else 0	There was no evidence and no reason given	0
	Maximum score 4			

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>b) Evidence that:</p> <p>i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0</p>	<p>Training activities were conducted in accordance to the training plans at District level as evidenced in training reports dated 09/12/2022, 30/12/2022 1/01/2023 and training data base dated 30/06/2023. Awareness raising micro-scale irrigation held on 9/12/2022 at council hall were 43 members inclusive of RDC, DISO, CAO, district chairperson, all district councillors, opinion leaders, religious leaders and heads of departments attended.</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>ii Evidence that training activities were documented in the training database: Score 1 or else 0</p>	<p>Training activities were documented in the training database as evidenced in training data base dated 30/06/2023. Awareness raising micro-scale irrigation held on 9/12/2022.</p>	1

Management, Monitoring and Supervision of Services.

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0</p>	<p>From the Annual work plan and budget dated 18/10/2023 for FY 2022/2023, the district appropriately allocated the micro scale irrigation grant of 157,240,000/= as follows, Awareness raising for local leaders 29,970,000/= (15%), Awareness raising for farmers 46,510,000/= (40%), Farm visits 10,944,000/= (15%), Demonstration sites 47,172,000/= (30%) and 22.644,000/= for supervision and monitoring</p>	2
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	<p>Not Applicable, the LG was still in roll out at the time of assessment.</p>	0

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>No evidence was availed to indicate that the co-funding was reflected in the LG budget.</p>	0
<p>Maximum score 10</p>				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>No evidence was availed to indicate that the local government used farmer co-funding funds.</p>	0
<p>Maximum score 10</p>				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>There was no evidence of minutes from meetings between DPO and DTTC and LLGs and copies of information shared for the dissemination of information on the use of farmer co-funding.</p>	0
<p>Maximum score 10</p>				
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p>	<p>For FY 2022/2023 it was not applicable to monitor on a monthly basis installed micro-scale irrigation equipment. since installation of these equipment was still ongoing at the end of FY 2022/2023.</p>	0
<p>Maximum score 8</p>				
		<ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 		
		<ul style="list-style-type: none"> • 70-89% monitored score 1 		
		<p>Less than 70% score 0</p>		

10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>There was no evidence that the LG had over seen technical training and support to the approved farmers to achive servicing and maintenance during the warranty period.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0</p>	<p>There was evidence that the LG had provided hands- on support to the LLG extension workers during the implementation of complementary services within the FY 2022/2023 as per guidelines according to the farm visit reports dated 29/06/2023. 9 Extension workers were trained on how to use the IRR- TRACK APP, filling and documentation, collection of EIO from farmers.</p> <p>However, minutes of field meetings were not evidenced.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0</p>	<p>There was no evidence that the LG had established and run farmer field schools as per guidelines.</p>	0
11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0</p>	<p>The LG has conducted activities to mobilize farmers as per guidelines as evidenced from reports on mobilization of farmers dated 6/04/2023 30/06/2023, Attendance sheets dated 25/01/2023, 23/01/2023, 20/01/2023, 19/01/2023, 18/01/2023, 16/01/2023, 14/02/2023 and field photos.</p> <p>252 male and 150 female farmers were mobilized.</p>	2

11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0</p>	<p>The district has trained staff and political leaders at District and LLG levels as evidenced in training report of district local leaders dated 9/12/2022. 43 members inclusive of RDC, DISO, CAO, district chairperson, all district councillors, opinion leaders, religious leaders and heads of departments</p> <p>A report dated 30/12/2022 on the awareness at S/C level were 274 S/C councillors, technical staff and opinion leaders from 8 S/C and 3 TC of Kobwin(37members), Mukura T/C (24 members), Mukura(27members), Atoot (22 members), Odwarat (26 members), Ngora (20 members), Ngora T/c (22members), Kapir (30 members), Agirigiroi (27 members), Opot T/C(20members), and Morukakise (19members) were sensitized.</p> <p>Furthermore, another report dated 1/01/2023 on awareness at Parish level were 130 LC11, LC111 and Parish leaders were trained.</p>	2
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Investment Management

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0</p>	<p>The LG had an up dated register of micro-scale irrigation equipment supplied to farmers in the FY 2022/2023 as per the format as evidenced from the inventory of irrigation equipment dated 30/06/2023.</p> <ol style="list-style-type: none"> 1. 6pcs of Solar panels 260 V at host farms Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village and Otwao Gorreti in Mukura S/C Ajeluk Parish Ajeluk Village. 2. 60m ¾inch drag hose pipe at host farms Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village and Otwao Gorreti in Mukura S/C Ajeluk Parish Ajeluk Village. 3. 800m 16mm, 2l/hr discharge drip lines at host farms Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village and Otwao Gorreti in Mukura S/C Ajeluk Parish Ajeluk Village. 4. 2 number 5000ltr crest storage tank at host farms Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village and Otwao Gorreti in Mukura S/C Ajeluk Parish Ajeluk Village. 5. 2 units of Plumbing fittings of valves, sockets, nozzles, tape, FTA, clips, unions, reducers bend at host farms Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village and Otwao Gorreti 	2
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in Mukura S/C Ajeluk Parish Ajeluk Village.

6. 200m 40mm PN6 HDPE pipes as sub mains at host farms Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village and Otwaog Gorreti in Mukura S/C Ajeluk Parish Ajeluk Village.

7. 200m 50 mm PN6 HDPE pipes as mains at host farms Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village and Otwaog Gorreti in Mukura S/C Ajeluk Parish Ajeluk Village.

8. 4(7m&5m) DN40mm of GI pipes at host farms Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village and Otwaog Gorreti in Mukura S/C Ajeluk Parish Ajeluk Village.

9. 400mm of 63mm PN10 HDPE pipe as transmission lines at host farms Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village and Otwaog Gorreti in Mukura S/C Ajeluk Parish Ajeluk Village.

10. 2pcs of Solar Submissable water pump 1.25inch inlet & outlet at host farms Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village and Otwaog Gorreti in Mukura S/C Ajeluk Parish Ajeluk Village.

11. 16pcs of 1.5-inch micro sprinklers at host farms Ekichu Johnson in Morukakise S/C Ariet Parish Puna Village and Otwaog Gorreti in Mukura S/C Ajeluk Parish Ajeluk Village.

12

Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines

Maximum score 8

b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0

The LG kept an up-to-date database of applications at the time of the assessment as evidenced in the data base of farmers applications for micro scale irrigation dated 28/11/2023 were 146 farmers applied and the last entry of EOI as Echemu Mathias CM78108102RFRC in Odwarat S/c Angod Parish Agirigirio Village dated 27/07/2023.

2

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>Farm visits were carried out as evidenced by the farm visit report dated 11/05/2023 where 49 farm visits were conducted from 06/03/2023 0:21 PM to 27/04/2023 11:2AM. The first farm visit was Odongo Charles Nin CM75108101VFKL on 06/03/2023 0:21PM in Kapir S/C Atapar Parish Agule Village and the last farm visit was to Ajula Grace CM68038104GZWK on 27/04/2023 11:2AM in Agirigiroi S/c Kokong Parish Komolo Village.</p> <p>From the farm visits a number of parameters were assessed including area to be irrigated, nature of water source, distance from the water source to the furthest point of the garden, agronomic practices and equipment of preference.</p> <p>A number of farmers signed an Agreement to proceed for quotation form that is Obwin Stanslaus Farmer ID NGORA/2023-01-25/MALE/33584 on 12/04/2023.</p> <p>Okiror Richard Farmer ID NGORA/2023-01-23/MALE/32950 on 16/05/2023.</p> <p>Otim John Robert Farmer ID NGORA/2023-01-27/MALE/34128 on 16/05/2023.</p> <p>Ajula Grace Farmer ID NGORA/2023-01-25/FEMALE/33485 on 16/05/2023.</p>	2
	<p>Maximum score 8</p>			
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>There was no evidence that the LG District Agricultural Engineer publicised the eligible farmers that they have been approved for posting on the District and LLG notice boards</p>	0
	<p>Maximum score 8</p>			
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>The micro-scale irrigation systems had not been incorporated in the LG approved procurement plan for the FY 2023/2024 as the LG had not yet received the Indicative planning Figure (IPF) for incorporation into the procurement plan</p>	0
	<p>Maximum score 18</p>			

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>The LG under selective bidding requested for quotation from two irrigation equipment suppliers including Teso Crop Care Centre (T3C) with a quotation of 98,083,000 and Adrix (U) Ltd with a quotation of 102,105,577. Teso Crop Care Centre (T3C) was evaluated as the best bidder at 98,083,000 for the supply of agricultural inputs, and supply and installation of micro scale irrigation equipment at two demo sites and was among the supplier pre-qualified suppliers by the Ministry of Agriculture, Animal Industry and Fisheries.</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0</p>	<p>The LG concluded the selection of the irrigation equipment supplier as indicated in the minutes of the contract committee decision dated 22nd/12/2022 with Teso Crop Care Centre (T3C) as the best evaluated bidder and the works contract was signed on 31st/01/2023.</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0</p>	<p>The micro-scale irrigation systems installed were approved by the Contracts Committee as per minutes of the contracts committee dated 17th/10/2022 under minute 6(a)/NDCC/2022-2023.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0</p>	<p>The LG signed the contract with the lowest-priced technically responsive irrigation equipment supplier, as only two irrigation equipment suppliers turned up for the contract, including Teso Crop Care Centre (T3C) with a quotation of 98,083,000 and Adrix (U) Ltd with a quotation of 102,105,577. Teso Crop Care Centre (T3C), with a quotation of 98,083,000, was evaluated as the lowest-priced technically responsive irrigation equipment supplier and was awarded the contract at 98,083,000.</p>	2

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	The micro-scale irrigation equipment installed was in line with the design output sheet (generated by irri Track App). The irrigation points were 7-10m apart and the drag horse 50m efficient for irrigation acreage coverage.	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0	Site books indicated that SAO, SAE & DPO concurrently visited the sites on different dates 01/06/2023, 8/06/2023, 22/7/2023, 26/06/2023, 29/06/2023 and 29/08/2023 and 15/05/2023. supervision report dated 11/04/2023 & 29/06/2023 filed by Okiring Patrick Omoding -SAE, thus it was evidenced that the LG conducted regular technical supervision of the Micro-scale irrigation projects.	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	h) Evidence that the LG has overseen the irrigation equipment supplier during: i. Testing the functionality of the installed equipment: Score 1 or else 0	Not applicable since installation was not yet complete.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	From supervision report dated 11/04/2023 & 29/06/2023 filed by Okiring Patrick Omoding -SAE, it was evidenced that the LG has oversaw the irrigation equipment supplier hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer Furthermore, from Site books indicated that SAO, SAE, DAO & DPO concurrently visited the sites on different dates dated 01/06/2023, 8/06/2023, 22/7/2023, 26/06/2023, 29/06/2023 and 29/08/2023 and 15/05/2023	1

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	There was no evidence that the Local Government made payment of the supplier within specified timeframes subject to the presence of the Approved farmer since no evidence was availed at the time of assessment.	0
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13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	The LG had a complete procurement file for the installed irrigation systems. The file had the evaluation report dated December 12, 2022 with Teso Crop Care Centre as the best-evaluated bidder at 98,083,000, the minutes of the contracts committee decision dated 22nd/12/2022 and Works contract signed on 31st/01/2023.	2
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Environment and Social Safeguards

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0	The LG had displayed details of the nature and avenues to address grievance prominently in multiple public areas as dated 20/08/2022	2
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14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: i). Recorded score 1 or else 0 ii). Investigated score 1 or else 0 iii). Responded to score 1 or else 0 iv). Reported on in line with LG grievance redress framework score 1 or else 0	There were no grievances recorded, however there was a grievance stock taking tool in place ready to take on grievances.	1
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14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>ii. Investigated score 1 or else 0</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There were no grievances recorded, however there was a grievance stock taking tool in place ready to take on grievances.</p>	1
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There were no grievances recorded, however there was a grievance stock taking tool in place ready to take on grievances.</p>	1
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There were no grievances recorded, however there was a grievance stock taking tool in place ready to take on grievances.</p>	1

Environment and Social Requirements

15	Safeguards in the delivery of investments Maximum score 6	a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc. score 2 or else 0	<p>The guidelines were in place and MOUs were signed between the LG and the host farmers. The LG disseminated these guidelines through the E&S input distribution list for E&S requirements guidelines, farmers brochure part 1,2,3&4 dated April 2023 where 402 members 252 males and 150 females were inducted on the different farm visits as indicated in the report on training of farmers on safe use of Agro chemical and Agronomic practices in 8 Subcounty and 3 Town council dated 5/04/2023.</p> <p>MOU was signed between Ekichu Johnson Nin CM9110813U6ZA the host farmer in Morukakise S/C Ariet Parish Puna Village and Okiring Patrick Omoding-SAE on behalf of Ngora DLG on 4/1/2023.</p> <p>MOU was signed between Otwao Gorreti NIN CF76021102PWJ the host farmer in Mukura S/C Ajeluk Parish Ajeluk Village sized and Okiring Patrick Omoding-SAE on behalf of Ngora DLG on 4/1/2023.</p> <p>Clauses to adhere with requirements for proper storage, use, transportation, and disposal of Agro-chemicals and follow E&S recommendations were evidenced on page 1of 2 of the signed MOUs.</p> <p>However, there was no evidence of land access without Encumbrance since no land tittle nor land agreement was availed at the time of assessment from both the host farmers.</p>	2
15	Safeguards in the delivery of investments Maximum score 6	<p>b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.</p> <p>i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0</p>	No evidence availed as required	0

15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	LG had not conducted monthly monitoring of micro scale irrigation investments in FY 2022/2023 as required	0
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	LG had no Certification forms completed and signed by SEO for micro scale irrigation investments in FY 2022/2023 as follows as required	0
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	LG had no Certification forms completed and signed by DCDO for micro scale irrigation investments in FY 2022/2023 as required	0

**Crosscutting Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	Mr. Elungat Charles was substantively appointed as CFO as per the appointment letter dated 6/6/2016 under Min No. DSC 6 (a)/2016	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	The position of DP was not substantively filled at the time of assessment. However, Asio Priscilla Margery, the Principal Planner was assigned duties of DP by CAO on 28/8/2021. On 18/9/2023, CAO wrote to MoPS requesting for Secondment but still the ministry didn't respond	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	The position of DE was not substantively filled at the time of assessment. However, Mr. Opio Stanslas Francis, the Civil Engineer - Water was assigned duties of DE by CAO on 28/7/2022. On 18/9/2023, CAO wrote to MoPS requesting for Secondment but still the ministry didn't respond	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	The position was not substantively filled at the time of assessment. However, Awekonimungu Margaret, the Environment Officer was assigned duties of DNRO by CAO on 30/11/2021. On 18/9/2023, CAO wrote to MoPS requesting for Secondment but still the ministry didn't respond	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	Mr.Oluka Jacob was substantively appointed as District Production and Marketing Officer as per the appointment letter dated 14/5/2020 under Min No. 04/DSC/2020/V	3

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	The position was not substantively filled at the time of assessment. However, Mr. Enguyu Francis, the Senior Probation Officer was assigned duties of DCO by CAO on 28/8/2021. On 18/9/2023, CAO wrote to MoPS requesting for Secondment but still the ministry didn't respond	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	The position was not substantively filled at the time of assessment. However, Mr. Otai Mackay, the Senior Commercial Officer was assigned duties of DCO by CAO on 28/8/2021. On 18/9/2023, CAO wrote to MoPS requesting for Secondment but still the ministry didn't respond	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	Mr. Esiat Richard Okurut was substantively appointed as SPO as per the appointment letter dated 18/6/2012 under Min No. 38/2012	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	Ms. Asio Cecillia was substantively appointed as PO as per the appointment letter dated 30/5/2018 under Min No. 05/2018/2	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	Mr. Ojukol Joseph was substantively appointed as PHRO as per the letter of appointment dated 20/4/2023 under Min No. 23/NDSC/2022/23 (f)	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	The position was found vacant due to lack of wage	0

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	k. Senior Land Management Officer /Physical Planner, score 2 or else 0	The position was not substantively filled at the time of assessment. However, Mr. Ogeuna Anis, was assigned duties of District Internal Auditor by CAO on 15/12/2020	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	l. A Senior Accountant, score 2 or else 0	Mr. Ilakut John was substantively appointed as SA as per the appointment letter dated 4/4/2017 under Min No. 17/2017	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0	Mr. Otwaio Dennis Geoffrey was substantively appointed as PIA as per the appointment letter dated 18/6/2012 under Min No. 34/2012	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0	The position was not substantively filled at the time of assessment. However, Mr. Ojukol Joseph, the PHRO was assigned duties by CAO on 28/8/2021. On 18/9/2023, CAO wrote to MoPS requesting for Secondment but still the ministry didn't respond	0

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).

The LG had 11 LLGs including 3 TCs and 9 SC but there was no evidence that all were substantive in their positions. See the details below;

1. Kulume Theresa was substantive SAS of Agirigiri SC from 30/5/2018 under Min No. 05/2018/6
2. Ongodia Ignatius was substantively appointed SAS of Ngora SC on 18/6/2018 under Min No. 36/2012 (iii)
3. Alungat Celline Mercy was the Ag. Town Clerk of Mukura TC assigned by CAO on 18/7/2023. However, she was a substantive SAS of Mukura SC.
4. Aarakit Jean Rose was the Ag. Town Clerk of Ngora TC assigned by CAO on 12/7/2022. She was also a substantive SAS
5. Okurut Samuel was the Ag. SAS of Odwarat SC assigned by CAO on 30/7/2021. He was a substantive Parish Chief before the assignment
6. Atim Godwill Besemese was the Ag.SAS of Kapir SC assigned by CAO on 9/1/2023. She was also a substantive Parish Chief before the assignment
7. Otai Jacob was the Ag. SAS of Kobwin SC assigned by CAO on 15/8/2023. He was a substantive Parish Chief before the assignment.
8. Okwadi Isaac was the Ag. SAS of Morukakise SC assigned by CAO on 30/7/2021. Isaac was a substantive parish Chief before
9. Abilu Joyce was the Ag. SAS of Atoot SC assigned by CAO on 30/7/2021. She was the parish chief before
10. Amuron Harriet was the Ag. SAS of Mukura SC assigned by CAO on 30/7/2021. She was a substantive CDO before
11. Okiria John Micheal was the Ag. Town Clerk of Opot TC assigned by CAO on 30/7/2021. Before he was a substantive town agent.

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

The LG had 10 CDO's but there was no evidence that all were substantively appointed. For details check below;

1. Epodoi Hellen was substantively appointed CDO of Mukura SC on 22/2/2013 under Min No. 70/2012

2. Amuron Grace was substantively appointed CDO for Ngora SC on 14/5/2020 under Min No. 04/DSC/2020/XIII

3. Amuron Harriet was substantively appointed CDO of Mukura TC on 8/3/2019 under Min No. 06/2019/39

4. Apio Deborah Florence was the Ag. CDO of Ngora SC assigned by CAO on 7/3/2022. She was a substantive parish chief before the assignment

5. Iboyo Florence was the Ag. CDO of Mukura TC assigned by CAO on 7/3/2022. She was a substantive parish chief before

6. Outa Tom Ilungole was the Ag. CDO of Atoot SC assigned by CAO on 7/3/2022

7. Aluka Gladys was the Ag. CDO of Mukura SC assigned by CAO on 7/3/2022

8. Anyoin Ann Margaret was the Ag. CDO of Agirigiri SC assigned by CAO on 7/3/2022

9. Opolot Robert was the Ag. CDO of Odwarat SC assigned by CAO on 7/3/2022

10. Opolot William was the Ag. CDO of Morukakise SC assigned by CAO on 7/3/2022

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

The LG had 9 Accounts Assistants and all were substantively appointed as shown below;

1. Okurut James was substantively appointed S.A.A of Ngora SC on 29/10/2021 under Min No. 17/NDSC/2020/21 (ii)
2. Acom Jesca was substantively appointed SAA of Kapir SC on 2/12/2015 under Min No. 8/2015/2016 (b)
3. Omoding Moses Ariong was substantively appointed A.A of Mukura SC on 15/12/2016 under Min No. 16/7/2016 (V)
4. Egesa James was substantively appointed A.A of Agirigiri SC on 29/10/2021 under Min No. 17/NDSC/2020/2021 (B)
5. Okwi John Bosco was substantively appointed A.A Kobwin SC on 29/10/2021 under Min No. 17/NDSC.2020/2021 (A)
6. Ikoolit Suzan was substantively appointed A.A of Atoot SC on 8/6/2017 under Min No. 29/2017 i
7. Akwanet Gilbert was substantively appointed A.A of Ngora TC on 10/4/2017 under Min No. 15/7/2016
8. Ecodu James was substantively appointed A.A of Opot TC on 25/7/2011 under Min No. 10/2011 (iv)
9. Opus Emmanuel was substantively appointed S.A.A of Morukakise SC 29/10/2021 under Min No. 17/NDSC/2020/21 (i)

Environment and Social Requirements

Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.

Maximum score is 4

If the LG has released 100% of funds allocated in the previous FY to:

a. Natural Resources department,
score 2 or else 0

As for the Natural Resources Department, Shs 83,609,672 was warranted as per page 18 of the draft final accounts for FY 2022/2023.

Shs 83,609,672 was released as detailed on page 18 of the draft final accounts for FY 2022/2023. Performance was therefore 100% as required

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY. Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to: b. Community Based Services department. score 2 or else 0.	Shs 92,618,330 was warranted for the Community Based Department as reflected on page 18 of the draft final accounts for FY 2022/2023. Shs 92,618,330 was released as detailed on page 18 of the draft final accounts for FY 2022/2023. Performance was 100% as required.	2
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works. Maximum score is 12	a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0	Not Applicable because the LG had not executed DDEG infrastructure project(s) in FY 2022/2023	4
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works. Maximum score is 12	b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG), score 4 or 0	Not Applicable because the LG had not executed DDEG infrastructure project(s) in FY 2022/2023	4
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works. Maximum score is 12	c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);; score 4 or 0	Not Applicable because the LG had not executed DDEG infrastructure project(s) in FY 2022/2023	4

Financial management and reporting

5	<p>Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.</p> <p>Maximum score is 10</p>	<p>If a LG has a clean audit opinion, score 10;</p> <p>If a LG has a qualified audit opinion, score 5</p> <p>If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0</p>	<p>Ngora DLG had Un-qualified Auditor General's opinion in the FY 2022/2023.</p>	10
6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p> <p>maximum score is 10</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p> <p>score 10 or else 0.</p>	<p>The DLG provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for FY 2021/2022 through CAO's letter dated 17th April, 2023 under reference CR/100/1 and copied to PS MOLG, Accountant General, IAG and OAG. The IAG, Accountant General and OAG. There were essentially twenty four queries that were raised which were fully addressed at the time of the assessment.</p> <p>However, the response to PS/ST by the DLG was executed after the prescribed time frame i.e by end of February, 2023.</p>	0
7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p> <p>Maximum Score 4</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p> <p>score 4 or else 0.</p>	<p>The Annual Performance Contract was submitted through CAO's letter dated 6th July, 2023 under reference 105/1. The letter was copied to PS OPM, LGPAC, OAG, Chairperson Ngora DLG, RDC, LG Finance Commission and the PS MOLG. The letter was acknowledged by MOFPED on 17th July, 2023</p>	4
8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The Annual Performance Report for FY 2022/2023 was submitted by the DLG through the PBS system on 7th August, 2023 within the prescribed time frame as required. The Annual Performance Report was approved by MOFPED on 8th August, 2023</p>	4

Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year

Maximum score is 4

If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,

score 4 or else 0.

The DLG submitted Quarterly Budget Performance

Reports (QBPRs) for all the four quarters of FY2022/2023 by August 31st of the current FY 22023/2024. Submission of the quarterly reports was done through the PBS system as detailed below:

Quarter One on 21st December, 2022;

Quarter Two on 30th March, 2023;

Quarter Three on 27th June, 2023;

Quarter Four on 7th August, 2023, 2023.

**Education Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	Mr. Ochung Moses Mathew was substantively appointed DEO as per the appointment letter dated 7/4/2014 under Min No. 10/2014	30
<i>The Maximum Score of 70</i>				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	b) All District/Municipal Inspector of Schools, score 40 or else 0.	<p>The LG had 4 inspectors of Schools and were substantively appointed. They included;</p> <p>1. Ekotoi Berna was substantively appointed Inspector of Schools on 29/10/2021 under Min No. 25/NDSC/2020/21 (a)</p> <p>2. Ongodia Charles Peter was substantively appointed Inspector of Schools on 29/10/2021 under Min No. 25/NDSC/2020/21 (c)</p> <p>3. Okurut George was substantively appointed as Inspector of Schools on 14/5/2020 under Min No. 04/DSC/2020/X</p> <p>4. Acham Betty was substantively appointed as Inspector of Schools on 29/10/2021 under Min No. 25/NDSC/2020/21 (b)</p>	40
<i>The Maximum Score of 70</i>				

Environment and Social Requirements

2	<p>Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p>	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>LG had carried out Environmental, Social and Climate Change Screening of all education projects prior to commencement of all civil works for FY 2022/2023 as evidenced below;</p> <ul style="list-style-type: none"> • E&S Screening form for the proposed construction of 02 classroom blocks at Okoboi P/S by the EO and DCDO on 08/07/2022 • E&S Screening form for the proposed phased fencing of Apama P/S by the EO and DCDO on 14/07/2022 • E&S Screening form for the proposed construction of staff house at Agogomit P/S by the EO and DCDO on 10/08/2022 	15
	<p>The Maximum score is 30</p>			

2	<p>Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p>	<p>If the LG carried out:</p> <p>b. Social Impact Assessments (ESIAs) , score 15 or else 0.</p>	<p>All education projects that were implemented in FY 2022/2023 did not require ESIAs given their small scope, according to the National Environment Act NO. 05 of 2019</p>	15
	<p>The Maximum score is 30</p>			

Health Minimum Conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.	Dr. Oluka Charles Onyait was substantively appointed DHO on 14/5/2020 under Min No. 04/DSC/2020/1	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0	Ms. Aliano Rose was substantively appointed as ADHO Maternal as per the appointment letter dated 6/6/2016 under Min No. 6 (h)/2016	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	c. Assistant District Health Officer Environmental Health, score 10 or else 0.	The position was not substantively filled at the time of assessment. However, Okilong David, the PHI was assigned duties of ADHO by CAO on 28/8/2021	0
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.	Mr. Okilong David was substantively appointed as PHI on 15/5/2012 under Min No. 27/2012	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	e. Senior Health Educator, score 10 or else 0.	Ms. Iculet Esther was substantively appointed as SHE on 23/6/2015 under Min No. 15/NDSC/2015	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	f. Biostatistician, score 10 or 0.	Mr. Akol Andrew was substantively appointed on 23/6/2015 under Min No. 20/NDSC/2015	10

- 1 New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. g. District Cold Chain Technician, score 10 or else 0. Mr. Ochom Joseph was substantively appointed on 18/6/2012 under Min No. 42/2012
- Applicable to Districts only.*
- Maximum score is 70*
- 1 New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions. h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.
- Applicable to MCs only.*
- Maximum score is 70*
- 1 New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions. i. Principal Health Inspector, score 20 or else 0.
- Applicable to MCs only.*
- Maximum score is 70*
- 1 New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions. j. Health Educator, score 20 or else 0
- Applicable to MCs only.*
- Maximum score is 70*

Environment and Social Requirements

2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>15</p> <p>LG had carried out Environmental, Social and Climate Change of the Health project for FY 2022/2023 as evidenced below;</p> <ul style="list-style-type: none"> • E&S Screening form for the proposed construction of a maternity Ward at Agu HC III by the EO and DCDO on 28/10/2022 • E&S Screening form for the proposed construction of 2 staff units at Ngora HC IV by the EO and DCDO on 20/01/2022 • E&S Screening form for the proposed completion of a maternity Ward at Ngora HC IV by the EO and DCDO on 20/07/2022
2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>b. Social Impact Assessments (ESIAs) , score 15 or else 0.</p>	<p>15</p> <p>The health projects for FY 2022/2023 did not require ESIs given their small scope according to the NEA No. 05 of 2019</p>

**Micro-scale Irrigation
Minimum Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p>	<p>If the LG has recruited;</p> <p>a. the Senior Agriculture Engineer</p> <p><i>score 70 or else 0.</i></p>	<p>Mr. Okiring Patrick Omoding was substantively appointed Senior Agriculture Engineer as per the appointment letter dated 14/5/2020 under Min No. 04/DSC/2020/VI</p>	70
Environment and Social Requirements				
2	<p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p>	<p>If the LG:</p> <p>Carried out Environmental, Social and Climate Change screening score 30 or else 0.</p>	<p>LG had carried out Environmental, Social and Climate Change screening of the only two micro scale irrigation investments for FY 2022/2023 as evidenced below;</p> <ul style="list-style-type: none">• E&S Screening form for the proposed Micro scale irrigation demonstration site at Ajeluk village by the EO and DCDO on 25/08/2022• E&S Screening form for the proposed Micro scale irrigation demonstration site at Puna village by the EO and DCDO on 25/08/2022	30

Water & Environment Minimum Conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	Mr. Opio Stanslas Francis was substantively appointed as Civil Eng-Water on 30/5/2018 under Min No. 5/2018/11	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	The position was not on the approved structure. However, Amuron Grace was assigned by CAO as Assistant Water Officer for Mobilization on 23/6/2023	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	Mr. Oluka John was substantively appointed as BMT on 15/12/2015 under Min No. 16/6/2016	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	The position was not on the approved staff structure dated 12/6/2017	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	Ms. Awekonimungu Margaret was substantively appointed as Environment Officer on 20/6/2022 under Min No. 22/NDSC/2021/2022 (c)	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	f. Forestry Officer, score 10 or else 0.	The position was vacant at the time of assessment due to lack of wage	0

Environment and Social Requirements

2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	If the LG: a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.	LG had carried out Environmental, Social and Climate Change screening as follows; • E&S Screening form for the proposed mini solar scheme by the EO and DCDO on 17/07/2022 • E&S Screening form for the proposed pump testing and installation of Apuwai community borehole by the EO and DCDO on 07/07/2022 • E&S Screening form for the proposed pump testing and installation of Olukangor community borehole by the EO and DCDO on 04/07/2022	10
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.	All water projects that were executed in FY 2022/2023 did not require ESIAs given their small scope according to the NEA No. 5 of 2019	10
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.	<p>DRILLING PERMIT</p> <p>Drilling permit number KAM46/DP-01960/2022/RR issued MAMA BOREHOLES AFRICA LTD was used for drilling boreholes in the FY 2022/2023. The permit was valid for a period of 1-year Fri 1st July 2022 to Fri 30 June 2023.</p> <p>ABSTRACTION PERMIT</p> <p>Abstraction permit was not presented. There was no project on piped water systems that required abstraction permit.</p>	10