



LGMSD 2022/23

Mbale District

(Vote Code: 536)

Assessment	Scores
Crosscutting Minimum Conditions	73%
Education Minimum Conditions	100%
Health Minimum Conditions	75%
Water & Environment Minimum Conditions	65%
Micro-scale Irrigation Minimum Conditions	100%
Crosscutting Performance Measures	70%
Educational Performance Measures	69%
Health Performance Measures	73%
Water & Environment Performance Measures	76%
Micro-scale Irrigation Performance Measures	85%

**Crosscutting
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): If so: Score 4 or else 0 	<p>There was evidence that infrastructure projects constructed using DDEG funds of Jema Primary School pit Latrine and pit Latrine at Nabumali Primary School were functional and utilised as per purpose of the project. The performance assessment Team visited the said Schools and found out that the Pupils were utilising the pit latrines The construction of Out Patients Department (OPD) at Kama HC 111 was on going.</p>	4
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> By more than 5%, score 3 1 to 5% increase, score 2 If no increase, score 0 <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>The average LLG performance for 2022 was 71 and for 2023 was 76.8. This represented an increment of 5.8 % which was more than 5%.</p>	3
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> If 100% the projects were completed : Score 3 If 80-99%: Score 2 If below 80%: 0 	<p>Construction of the Out Patient Department at Kama Health Centre III was a two year phased project and the phase that had been planned for 2022/2023 was completed before the end of the financial year as had been reported on page 18 of the quarter 4 Budget Performance Report for 2022/2023. This represented 100% completion.</p> <p>The other DDEG funded projects of construction of a pit latrine at Nabumali P/S and another at Jewa P/S were completed before the end of the Financial Year.</p> <p>This represented 100% completion for all projects, and phases of multi-year projects, that had been planned for 2022/2023.</p>	3

3	Investment Performance Maximum 4 points on this performance measure	a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:	The LG budgeted UGX 370,953,045 for DDEG in the Financial Year 2022/2023 as per the authenticated Schedule from the CFO. UGX 370,805,901 was received of which UGX 232,826,800 was for direct transfers to the Lower Local Governments. This left a balance of UGX 137,979,101 as DDEG for the District. This was utilized as follows;	0
		Score 2 or else score 0.	<ol style="list-style-type: none"> 1. Construction of OPD at Kama HC III at UGX 31,898,766 2. Construction of pit latrines at UGX 50,155,000 3. The balance of UGX 55,925,335 was utilized on various complimentary activities which included preparation of Bills of Quantities for projects, monitoring and appraisal, administrative retooling, and internal assessment among others. <p>The LG utilized only 55.9% of its DDEG grant on infrastructure projects, and not as per the guidelines which required allocation of at least 70%.</p>	
3	Investment Performance Maximum 4 points on this performance measure	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates, score 2 or else score 0	<p>The variations in the contract price and Engineer's estimates of the sampled DDEG projects were as follows:</p> <p>Variation = 100% ((Contract Price - Engineer's Estimate)/Engineer's Estimate)</p> <p>MBAL891/wrks/22-23/00023 Construction of a 5-stance lined pit latrine at Jewa P/S - budgeted at UGX 26,025,000/= actual contract price was UGX 25,998,000/= with a variation of UGX 27000/= represented by 0.1%</p> <p>MBAL891/wrks/22-23/00013 Construction of a 5-stance lined pit latrine at Nabumali P/S Budgeted at 24,500,000/= actual contract price was UGX 24,108,958/= with a variation of 391,042 /= represented by 0.6%</p> <p>MBAL891/wrks/22-23/00006 Construction of OPD at Kama HCIII in Bufumbo Sub county Budgeted at 350,401,431/= actual contract price was UGX 365,032,222/= with a variation of -14,630,791/= represented by -4.2%</p> <p>In conclusion, the variations were within the range of +/- 20% provided in the manual.</p>	2

Performance Reporting and Performance Improvement

4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate, score 2 or else score 0</p>	<p>There was no sufficient evidence to show that the information on the positions filled in LLGs as per minimum staffing standards.</p> <p>For instance from the 3 sampled LLGs , Busiu Town Council the HRM staff list had Wakooli Barbara as the SCDO and from the findings at LLG staff attendance and staff list as per 1st July, 2023 had Namutosi Esther as the SCDO.</p>	0
4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> • If 100 % in place: Score 2, else score 0. <p>Note: if there are no reports produced to review: Score 0</p>	<p>The 5 stance pit latrines constructed at Nabumali Day Primary School, and Jewa Primary School were visited in the field and these were found to be in place, and were being utilized as per their intended purpose.</p>	2
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> <p>score 4 or else 0</p> <p>NB: The Source is the OPAMS Data Generated by OPM.</p>	<p>The 4 sampled LLGs were Bumasikeye S/C, Bungokho S/C, Lukhonge S/C and Jewa T/C. These had assessment results as follows;</p> <ol style="list-style-type: none"> 1. Bumasikeye S/C scored 56% based on the assessment conducted by the DLG and 70% based on IVA. The deviation between the two was -14%. 2. Bungokho S/C scored 91% based on the assessment conducted by the DLG and 92% based on IVA. The deviation was -1%. 3. Lukhonge S/C scored 62% based on the assessment conducted by the DLG and 85% based on IVA. The deviation was -23%. 4. And Jewa T/C scored 68% based on the assessment conducted by the DLG and 72% based on IVA. The deviation was -4%. <p>2 of the sampled LLGs had deviations outside the allowed +/-10%. Hence the assessment was not credible.</p>	0

5	N23_Reporting and Performance Improvement	b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.	The District had developed a performance improvement plan for the lowest performing LLGS for Financial year 2023/2024 as per 12th July, 2023. The lowest performing LLGs were Wanale Sub County with 28%, Busano Sub County with 32% and Budwale with 49%.	2
	Maximum 8 points on this Performance Measure	Score: 2 or else score 0	The key areas observed where; Performance appraisals, . Financial management . Environment and safety . Primary health care . Production services, extra.	
5	N23_Reporting and Performance Improvement	c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:	The District had not implemented the PIP for the lowest performing LLGs in the financial year 2022/2023.	0
	Maximum 8 points on this Performance Measure	Score 2 or else score 0		

Human Resource Management and Development

6	Budgeting for and actual recruitment and deployment of staff	a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.	The District had consolidated and submitted the staffing requirements for the Financial Year 2023/2024 on 21st October, 2023.	2
	Maximum 2 points on this Performance Measure	Score 2 or else score 0		
7	Performance management	a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):	The District conducted a tracking analysis of staff attendance on a monthly basis during the financial year 2022/2023. For instance a tracking analysis report covering the months of July, August and September.	2
	Maximum 5 points on this Performance Measure	Score 2 or else score 0		

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p>	<p>The LG conducted an appraisal with the Heads of departments as per guidelines issued by the MoPs during the financial year 2022/2023.</p> <p>However, Ms. Shimiyu Irene Buteme the Ag. District Commercial Officer was not appraised because she joined in the Financial Year 2023/2024.</p> <p>Those appraised were,for example ,</p> <ol style="list-style-type: none"> 1. Wamanga Kenneth the Chief Finance Officer was appraised by Lucy Frances Amulen on 2nd August, 2022. 2. Wanaile Abdallah Magomu District Planner was appraised by Lucy Frances Amulen the CAO on 4th July, 2022. 3. Mr. Wenyira R. Nasimolo the Ag. District Engineer was appraised by Lucy Frances Amulen on 2nd August, 2022' 4. Opusi Joseph the District Natural Resource Officer was appraised by Lucy Frances Amulen on 2nd August, 2022. 5. Wanakina George Davidson District Production Officer was appraised by Lucy Frances Amulen on 30th June,2023 6. Mutonyi Meresi the District Community Development Officer was appraised by Lucy Frances Amulen the CAO on 20th July, 2022. 7. Musungu Lydia the District Education Officer was appraised by Lucy Frances Amulen on 12th August, 2022. 8. Dr. Wangisi Jonathan Massa the District Health Officer was appraised by Lucy Frances Amulen on 8th August, 2022. 	1
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to "a" above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>The LG rewards and sanction committee was fully established and functional and had considered cases as follows;</p> <p>Mr. Kimbugwe Daniel had been sanctioned under Minute No. 3/6/2023 to be cautioned against acts of abscondment from duty and financial indebtedness. He said he was sick after exams he fell sick and the Doctor advised him to rest for 3 weeks. He promised to attend duty. The committee resolved that he writes any apology and be allowed to attend all other activities so that he could raise money to pay his debts.</p>	1

7	Performance management Maximum 5 points on this Performance Measure	iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional. Score 1 or else 0	There was no evidence that Distinct had established a consultative committee.	0
8	Payroll management Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: Score 1.	The district did not recruit any staff in the Financial Year 2022/2023.	1
9	Pension Payroll management Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: Score 1.	The District had 6 staff who retired in the financial year 2022/2023 and all accessed their pension payroll not later than two months after retirement. 1. Wabuyi Kenneth Gr. III teacher retired on 9th August, 2022 and accessed pension payroll on September 9th 2022. 2. Ouma Humphrey Education Officer retired on 1st April, 2023 and accessed pension payroll on 13th May, 2023. 3. Magombe Samuel Deputy Head teacher retired on 27th March, 2023 and accessed pension payroll on 13th May, 2023. 4. Walyaula Samuel Baker Head teacher retired on 8th August, 2023 and accessed in August, 2023. 5. Nabwire Constance Grade.III teacher retired on 6th May, 2023 and accessed pension payroll on 13th June.2023. 6. Nalukowe Edith Deputy Head teacher retired on 6th May, 2023 and accessed pension payroll on 13th June, 2023.	1

Management, Monitoring and Supervision of Services.

10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery Maximum 6 points on this Performance Measure	a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY: Score 2 or else score 0	The LG executed direct transfers to LLGs as follows; 1. For quarter 2 of 2022/2023, UGX 77,608,944 was transferred against a budget of UGX 77,608,944 2. For quarter 3 of 2022/2023, UGX 155,217,888 was transferred against a budget of UGX 155,217,888 All transfers were made in accordance with budget requirements.	2
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10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery	<p>b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).</p> <p>Score: 2 or else score 0</p>	<p>For quarter 2, cash limit date was 14th October 2022, for UGX 77,608,944 and warrant date was 14th October 2022. This was within 1 working day.</p> <p>For quarter 3, cash limit date was 18th January 2023, amount was UGX 155,217,888 and warrant date was 18th January 2023. This was within 1 working day.</p> <p>All DDEG transfers to the LGG were within 5 working days of warranting.</p>	2
10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery	<p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:</p> <p>Score 2 or else score 0</p>	<p>Three LLGs had were sampled Lukhonge S/C, Bumbobi S/C and Busiu T/C and it was evidenced that the LG had not communicated all the DDEG transfers for financial year 2022/2023 within 5 working days.</p> <p>Bumbobi S/C quarter 2 the LG invoiced and communicated on 17th October 2022 and the transfer date was 31st October, 2022.</p> <p>For Quarter 3 the communication date was 19th January 2023 and the value date was 13th February 2023.</p> <p>Busia T/C quarter 2 the LG invoiced and communicated on 17th October 2022 and the LLG received on 25th October 2022.</p> <p>For quarter 3 the communication date was 19th January, 2023 and the transfer date was 7th February, 2023.</p>	0
11	Routine oversight and monitoring	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the District had Supervised/mentored LLGS in the District on quarterly basis and in place were quarterly monitoring reports as follows; quarter one Supervision report dated 12th September 2022, Quarter two reports dated 27th December 2022, quarter three reports dated 26th April 2023 and quarter 4 reports dated 26th July 2023.</p>	2
11	Routine oversight and monitoring	<p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence that the LG TPC had discussed supervision and monitoring reports for 2022/2023. The only minutes of TPC discussing supervision and monitoring reports was held on 27th July 2022 and in it under agenda item 5, the supervision and monitoring report for fourth quarter of 2021/2022 were presented and discussed which the target year of assessment was.</p>	0

Investment Management

12	Planning and budgeting for investments is conducted effectively Maximum 12 points on this Performance Measure	a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: Score 2 or else score 0 Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0	The District maintained an updated Assets Register covering details on Buildings and Land Motor Vehicles and General Assets as per Accounting manual page 167-169. For example it had the following entries; 1. Office building on plot no. 27-36 on Lyada Road. Date acquired 1st June 2005 at a cost of UGX 314,550,000 2. Vehicle no. UG 5386M acquired from Ministry of Health (date of acquisition not recorded)	2
12	Planning and budgeting for investments is conducted effectively Maximum 12 points on this Performance Measure	b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets: Score 1 or else 0	In place was a Board of Survey Report for 2021/2022 dated 28th August 2022. On page 7 of the report were the following recommendations; 1. The need for refresher courses such that all sectors improved on the maintenance of their inventory both movable and non-movable. 2. The need to dispose off some of the District residential buildings which it had failed to renovate over the years and were in a sorry state of despair. 3. And the need for Sector heads to initiate the process of disposal of all unserviceable and obsolete assets to avoid vandalism of the said assets among others. No evidence was provided to show that the LG had acted upon those recommendations at the time of assessment.	0

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>The Physical Planning Committee prepared and produced four sets of minutes that were submitted to the Ministry of Lands, Housing and Urban Development (Mbale regional office) as follows;</p> <ol style="list-style-type: none"> 1. Minutes of PPC meeting held on 24th August 2022 were submitted on 14th December 2022 2. Minutes of PPC meeting held on 13th December 2022 were submitted on 27th February 2023 3. Minutes of PPC meeting held on 22nd February 2023 were submitted on 4th July 2023 4. And minutes of PPC meeting held on 30th June 2023 were submitted on 7th September 2023. 	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d. For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>In place was a desk appraisal report for 2022/2023 projects dated 24th August 2022. In it, it was evident that all DDEG funded projects had been appraised.</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>In place was a field appraisal report for all projects dated 14th August 2022 including the DDEG funded projects namely;</p> <ol style="list-style-type: none"> 1. Construction of OPD at Kama Health Centre III. 2. Construction of a 5 stance lined latrine at Jewa P/S. 3. And construction of a 5 stance lined pit latrine at Nabumali Day P/S. 	2

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>Project profiles for all DDEG funded projects for 2023/2024 were in place. These had been discussed by the TPC in a meeting held on 20th March 2023, under Min. 08/DTPC/20/3/2023. Examples of the profiles discussed included construction of Bunambutye OPD at UGX 136,903,000 which was to be implemented in a phased manner, and construction of Lwasso Seed Secondary School at UGX 3,249,192,658.</p>	1
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>There was evidence of Screening reports Environmental and Social screening reports and costed ESMPs for the current FY 2023/2024 DDEG financed projects to be implemented by Mbale DLG</p> <p>Screening report for the construction of Kama HCIII (phase II) dated 27/09/2023 signed by SEO and CDO and costed ESMP at UGX. 1,650,000/=</p> <p>Screening report for Construction of OPD at Bunambutye HCIII dated 6/11/2023 signed by the SEO and CDO and costed ESMP at UGX. 1,550,000/=</p> <p>Screening report for the construction of classroom block at Jewa Primary School dated 18/08/2023 signed by SEO and CDO and costed ESMP at UGX. 1,100,000/=</p>	2
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>PDU of the DLG had evidence that all infrastructure projects for current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan signed on 09th Aug 2023 by the DCAO.</p>	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0</p>	<p>The LG had evidence of the Contracts Committee approving all DDEG projects for current FY contained in a meeting dated 24TH Oct 2023. The projects under MIN: 58.0Mbal/CC04/10/23-24 included;</p> <p>Construction of a Classroom block at Jewa P/S</p> <p>Completion of OPD at Kama HC III Phase II</p> <p>Repair and Maintenance of Solar systems at HF's and DHO's Offices.</p>	1

13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:</p> <p>Score 1 or else 0</p>	<p>The LG had a project implementation team established as per CAO letter of appointment of team members dated 02nd Mar 2023.</p> <p>The team members included;</p> <p>Musungu Lydia (DEO)</p> <p>Wangisi Jonathan (DHO)</p> <p>Wenyira R. Nasimolo (Ag.DE)</p> <p>Mustaka Fredrick (COW)</p> <p>Nakayenze Anna (SEO)</p> <p>Mutonyi Meresi (DCDO)</p> <p>Wamboba Sidra (SLO)</p>	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer:</p> <p>Score 1 or else score 0</p>	<p>There was evidence to show that all infrastructure projects implemented using DDEG followed the standard technical design; Examples of visited project included;</p> <p>Construction of a 5-stance lined pit latrine at Jewa P/S had all stances with the length of 1.35m and the width of 0.9m, the iron sheets used were gauge 26 as required.</p> <p>Construction of a 5-stance lined pit latrine at Nabumali P/S had all stances with the length of 1.35m and the width of 0.9m, the iron sheets used were gauge 26 as required.</p> <p>Construction of OPD at Kama HCIII. The EPI room was 3mx3.6m as per the design, gauge 26 iron sheets were used, the ramp width at the entrance of the waiting area was 2m.</p> <p>In conclusion, the DDEG Funded projects followed standard technical designs.</p>	1

<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0</p>	<p>From the reviewed site supervision reports sampled below provided evidence of joint technical supervision of infrastructure projects;</p> <p>Supervision and inspection report on construction on a 5-stance lined pit Latrine at Nabumali P/S dated 28th Feb 2023, 09th May 2023, and 19th June 2023.</p> <p>Supervision and inspection reports at Jewa P/s dated 31st May 2023.</p> <p>Site Inspection reports on the Construction of the OPD at Kama HCIII dated 28th April 2023, 23rd May 2023, 30th May 2023, 23rd June 2023, 28th June 2023. Also, site meetings held on 02nd Feb 2023 and 16th May 2023.</p> <p>All the relevant technical personnel; The CDO, Engineer and Environmental officer attended</p>
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Procurement, contract management/execution
Maximum 8 points on this Performance Measure

f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):

Score 1 or else score 0

The LG had evidence of Certified works and payments initiated within timeframes in sampled project examples:

MBAL891/wrks/22-23/00023 Construction of a 5-stance lined pit latrine at Jewa P/S – Nami Hardware Ltd as the contractor; payment request made by the contractor on 12th June 2023 and approved by the DE on 19th June 2023 and subsequent payment initiated and timely paid on 28th June 2023 under Voucher No. 6427053

MBAL891/wrks/22-23/00013 Construction of a 5-stance lined pit latrine at Nabumali P/S Khaupe beverages and Contractors Ltd as the contractor;

First payment request made by the contractor on 25th April 2023 received and approved by the DE on 5th May 2023 and subsequent payment initiated and timely paid on 09th May 2023 under Voucher No. 6441453

Second payment request made by the contractor on 09th June 2023 received and approved by the DE on 19th June 2023 and subsequent payment initiated and timely paid on 28th June 2023 under Voucher No. 6441453

MBAL891/wrks/22-23/00006 Construction of OPD Block at Kama HCIII; Namugabwe general Construction Co. Ltd as the contractor;

First payment request made by the contractor on 14th April 2023 received and approved by the DE on 25th April 2023 and subsequent payment initiated and timely paid on 23rd May 2023 under Voucher No. 6424067

Second payment request made by the contractor on 19th June 2023 received and approved by the DE on 23rd June 2023 and subsequent payment initiated and timely paid on 28th June 2023 under Voucher No. 6424067

13	Procurement, contract management/execution	g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law:	From a sample of 3 files, there was evidence to show that the LG had a complete procurement file with all records as per PPDA. Examples of project files reviewed alongside the contract register include;	1
	Maximum 8 points on this Performance Measure	Score 1 or else 0	<p>MBAL891/wrks/22-23/00023 Construction of a 5-stance lined pit latrine at Jewa P/S – Nami Hardware Ltd as the contractor, approval of the Evaluation Report was done on 07th Mar 2023 Under minute number MIN: 98.0Mbal/CC09/03/22-23 and Contract signing was done on 30th Mar 2023.</p> <p>MBAL891/wrks/22-23/00013 Construction of a 5-stance lined pit latrine at Nabumali P/S Khaupe beverages and Contractors Ltd as the contractor, approval of the Evaluation Report was done on 24th Jan 2023 Under minute number MIN: 80.0Mbal/CC08/01/22-23 and Contract signing was done on 10th Feb 2023.</p> <p>MBAL891/wrks/22-23/00006 Construction of OPD Block at Kama HCIII; Namugabwe general Construction Co. Ltd as the contractor approval of the Evaluation Report was done on 12th Dec 2022 Under minute number MIN: 66.0Mbal/CC07/12/22-23 and Contract signing was done on 18th Jan 2023.</p>	

Environment and Social Safeguards

14	Grievance redress mechanism operational.	a. Evidence that the District/Municipality has	There was no evidence of an appointment letter designating Mr. Anthony Wabomba (Principal Human Resource Officer) as the grievance focal person.	0
	Maximum 5 points on this performance measure	i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.	<p>However, there was evidence of a circular from CAO Ms. Lucy Frances Amulen dated 17/11/2022 appointing grievance committee(GRC) members</p> <ul style="list-style-type: none"> - Lucy Francis Amulen (former CAO) Chairperson - Anthony Wabomba (PHRO) Secretary - Musungu Lydia Constance (DEO) - Dr. Wangisi Jonathan (DHO) - Wabomba Sidrah (SLO) - Muramu Twairu (UPSTU) - Namataka Juliet (UNSMU) - Nabukenya Cissy (Local Govt. Union) - Nangoye Denis (Local Govt. Union) - Wambi Moses (UNATU) <p>There was no evidence of any set of GRC meeting minutes availed by assessment time,</p>	
		Score: 2 or else score 0		

14	Grievance redress mechanism operational. Maximum 5 points on this performance measure	b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices. If so: Score 2 or else 0	There was no evidence of a centralised grievance log. None was availed by assessment time. There was evidence of Grievance redress mechanism however it was not clear and not well defined.	0
14	Grievance redress mechanism operational. Maximum 5 points on this performance measure	c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress. If so: Score 1 or else 0	The Grievance redress mechanism was publicised on the Local government notice board	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0	There was evidence that environment, social and climate change interventions had been integrated in the LG Development plan, Annual work plan and budget for 2023/2024. In the LG Development Plan 3 on page 63 page 77, and pages 96 and 97, tree cover across the district, screening and monitoring project for environmental compliance were talked about. On page 11 of the work plan and page 57 of the budget, climate change mainstreaming, and climate change resilience were programmed.	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management score 1 or else 0	The enhanced DDEG guidelines were physically disseminated to the staff of Lower Local Governments on the 19th of September 2022 when they came to pick the Budget Call Circulars for the Financial Year 2022/2023, as was evidenced by the signed delivery books.	1

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	(For investments financed from the DDEG other than health, education, water, and irrigation): c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary: score 3 or else score 0	There were no other DDEG financed projects other than those in Health and Education.	3
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	d. Examples of projects with costing of the additional impact from climate change. Score 3 or else score 0	There was need to cost for the additional impacts from climate change for the construction OPD at Kama HCIII which was not done. There were signs of soil erosion (gullies) due to high runoff (both ground and roof) rain water whenever it rains and the fact that there the OPD/ Kama HCIII was located on a typically sloppy area characterised with too much runoff (storm) water. However, the DHO informed the assessor that they were in plans of creating variation orders for the above cause.	0
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 1 or else score 0	There was evidence in the form of land consent letter, land agreement and Memorandum of Understanding for the DDEG project sites FY 2022/2023 Jewa Primary School- Letter of consent between Church of Uganda Mbale Diocese by Mbale DLG dated 14/11/2022 Nabumali Primary school- Land Agreement with Holy Trinity Church (COU) dated 2/2/2023. Kama HCIII Memorandum of Understanding dated 13/04/22 between Mbale DLG and St. Jude Kama Catholic Church.	1

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 1 or else score 0	<p>There was evidence of monthly supervision and monitoring reports for the DDEG financed projects for previous FY 2022/2023</p> <p>Monitoring reports for the construction of five-stance lined pit latrine at Jewa Primary School dated 17/05/2023 and 29/06/2023 by CDO and Senior Environment Officer (SEO)</p> <p>Monitoring reports for the construction of the five-stance lined pit latrine at Nabumali Primary School dated 17/05/2023 and 29/06/2023 signed by SEO and CDO</p> <p>Monitoring reports for the construction of OPD, Sanitary facility with washrooms, ash pit and Incinerator at Kama HCIII (new Health facility) in Bufumbo Sub-county dated 17/05/2023 and 29/06/2023 signed by the CDO and SEO</p>	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0	<p>There was evidence of Environmental and Social Compliance Certificates for the DDEG financed projects FY 2022/2023 signed by SEO and CDO prior to signing of the contractor payment certificates</p> <p>Environment and Social Compliance certificate for the construction of five-stance lined pit latrine at Jewa Primary School</p> <p>Compliance certificate on 19/06/2023</p> <p>Payment certificate on 23/06/2023</p> <p>Environment and Social Compliance certificate for the construction of the five-stance lined pit latrine at Nabumali Primary School</p> <p>Compliance certificate on 20/06/2023</p> <p>Payment certificate on 23/06/2023</p> <p>Environment and Social Compliance certificate for the construction of OPD, Sanitary facility with washrooms, ash pit and Incinerator at Kama HCIII (new Health facility) in Bufumbo Sub-county</p> <p>Compliance certificate on 5/06/2023</p> <p>Payment certificate on 06/06/2023</p>	1

Financial management

16	<p>LG makes monthly Bank reconciliations</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG made monthly bank reconciliations and were up to date at the point of time of assessment</p> <p>The District maintained 3 Bank Accounts that were reconciled up to 30th June 2023 and one bank Account was not closed, was reconciled up to date as 11th November 2023 The following were the 3 Bank Accounts that were maintained : Uganda Women Entrepreneurship program Bank Account 01983501006870 at DFCU Mbale Branch, reconciled to 30th June 2023 and was closed, Revenue Collection bank Account number 005360168000000 at Bank of Uganda (BOU) was reconciled to 30th June 2023 and was up to date as at 13th November 2023. Only the Revenue Collection bank Account remained and the rest were Closed. The said bank Account was being reconciled by MoFPED (Accountant General)</p>	2
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>Quarter 1 internal audit report dated 31st October 2022</p> <p>Quarter 2 Internal audit report dated 30th January 2023.</p> <p>Quarter 3 Internal audit report dated 28th April 2023</p> <p>Quarter 4 Internal audit report dated 31st July 2023</p>	2
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>There was no evidence that the LG had provided information to Council Chairperson and LGPAC on the status of implementation of internal audit reports</p>	0

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>There was evidence that the internal audit reports for the previous FY 2022/2023 were Submitted to LG Accounting Officer, LGPAC and that LGPAC had reviewed them and followed up</p> <p>1st Quarter audit reports were submitted to both the CAO and the LG PAC on 31st October 2022</p> <p>2nd Quarter audit report submitted to both the CAO and the LG PAC on 30th January 2023</p> <p>3rd Quarter audit report submitted to both the CAO and the LG PAC on 28th April 2023</p> <p>And the 4th Quarter audit report submitted to both the CAO and the LG PAC on 31st July 2023</p> <p>LGPAC reviewed all the 4 Quarterly audit reports as follows: 1st Quarter audit report was reviewed as per LGPAC report dated 29th October 2022, 2nd Quarter audit report reviewed as per LGPAC report dated 14th March 2023, 3rd Quarter audit report was reviewed as per LGPAC report dated 6th June 2023 and the 4th Quarter audit report was reviewed as per LGPAC report dated 6th September 2023</p>	1
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Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>Budget for Local revenue FY 2022/2023 UGX 800,000,000 Page 1 of approved budget FY 2022/2023.</p> <p>Local Revenue Collected FY 2022/2023 UGX 496,200,828 Page14.</p> <p>Budget realization= $496,200,828 / 800,000,000 * 100 = 62\%$ leaving a deficit of 38%</p>	0
19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>Local revenue collections decreased from UGX 686,232,207 FY 2021/2022 to UGX 496,260,828.FY 2022/2023</p> <p>UGX 686,232,20 7 minus 496,260,828.FY 2022/2023 resulting into a drop of UGX 189,971379</p> <p>The percentage decrease = $(189,971379 / 680,232,207) * 100 = 28\%$</p> <p>There was a decrease of 28% in revenue collections</p>	0

20	Local revenue administration, allocation, and transparency Maximum 2 points on this performance measure.	a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0	UGX 108,801,024 was subjected to mandatory sharing with LLGS. as per authenticated schedule by the CFO UGX 76,818,000 was transferred to LLGS Percentage transferred was $(76,818,000/108,801,024) * 100 = 70\%$ The LG remitted 70.6% as mandatory share of Local revenue to LLGs	2
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Transparency and Accountability

21	LG shares information with citizens Maximum 6 points on this Performance Measure	a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0	The Procurement Unit awarded contracts and amounts for FY 2021/2022 were available, endorsed by CAO and Senior Procurement Officer, published on the procurement Notice Board at the District Headquarters. Sampled contracts included; Construction of a 5-stance lined pit latrine at Jewa P/S - was awarded to Nami Hardware Ltd as the contractor at a contract price of 25,998,000/= and BEB date was on 24th Jan 2023. Construction of a 5-stance lined pit latrine at Nabumali P/S Khaupe beverages and Contractors Ltd as the contractor at a contract price of 24,108,958/= and BEB date was on 24th Jan 2023. Construction of OPD Block at Kama HCIII; Namugabwe general Construction Co. Ltd as the contractor at a contract price of 365,032,222/= and BEB date was on 12th Dec 2022.	2
21	LG shares information with citizens Maximum 6 points on this Performance Measure	b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0	There was a display of the LG performance assessment results for Mbale DLG for the FY 2021/2022 on the District Notice Boards with display date 17th August 2023. Also on display were the implications of the assessment results which include being ranked the third nationally in Microscale Irrigation and 27th in overall performance nationally.	2
21	LG shares information with citizens Maximum 6 points on this Performance Measure	c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0	The LG conducted radio talk shows on Open Gate FM as follows; 1. 3rd April 2023 between 7 and 8 PM and the topic of discussion was on Animal Health. 2. 13th March 2023 between 7 and 8 PM and the topic of discussion was on Clean Milk Production 3. 27th March 2023 and the topic of discussion was on cattle housing and some discussion was made on the PDM loan acquisition process.	1

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>The LG displayed tax rates by the communication officer on LG notice board in July 2022. Information included charging policy which was based on the statutory instrument no. 2 of 2017, and collection procedures based on the IRAS system</p>	1
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>The IGG raised a query on 4th August 2023, on failure of release of interview results for the position of Tourism Officer by the DSC following interviews conducted between 7th and 27th February 2022. The CAO responded to the query by instructing the District Service Commission to revisit the minutes in which the candidates were interviewed and appoint the most suitable candidate for the position.</p>	1

**Educational
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>In 2020, 4,749 pupils sat PLE of whom 3,223 passed in Divisions 1, 2 and 3. This represented a pass rate of 69%.</p> <p>In 2022, 5,654 pupils sat PLE and 3,414 passed in Divisions 1, 2, 3 which represented a pass rate of 60%.</p> <p>There was a decline of 9 percentage points between 2020 and 2022.</p>	0
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>In 2020, 3,017 students sat UCE examinations of whom 1,125 passed in Divisions 1, 2 and 3. This represented a pass rate of 37%.</p> <p>In 2022, 1,207 students sat UCE examinations and 450 passed in Divisions 1, 2, 3. This represented a 37% pass rate.</p> <p>There was no improvement in UCE pass rate between the two school years.</p>	0
2	<p>N23_Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> • By more than 5%, score 2 • Between 1 and 5%, score 1 • No Improvement, score 0 <p>NB: If the previous average score was 95% and above, Score 2 for any increase.</p>	<p>Average Score in the education LLGS performance in FY 2021/2022 was 68% (6.8/10) Average Score in the education LLGS performance in FY 2022/2023 was 71% (7.1/10)</p> <p>The improvement was 71 - 68= 3%</p>	1

3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>The Education Department received UGX 900,009,500 as UGIFT grant. All of it was utilised for construction of Lwasso Seed Secondary School.</p> <p>It also received UGX 205,136,732 as SFG and all of it was utilized in construction of 10 latrines in Bufooto P/S, Bukhanakwa P/S, Buzalangizo P/S, Bumadanda P/S, and Buhooba P/S among others.</p> <p>All the grants received were spent on eligible activities as per the requirements of the grant guidelines.</p>	2
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0</p>	<p>The DEO, Environment Officer and CDO certified Education works projects before payment was made as follows;</p> <ol style="list-style-type: none"> 1. On the payment certificate for construction of the 5 stance latrine at Maumbe Mukhwana P/S of UGX 26,093,764, the DEO, Environment Officer and the DCDO all signed on 15th July 2023. 2. On the payment certificate for construction of the 5 stance latrine at Tubei P/S of UGX 16,965,855, all the three officers signed on the 29th May 2023. <p>All the officers signed before payments had been made to the contractors.</p>	2

3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If the variations in the contract price are within +/- 20% of the MoWT estimates score 2 or else score 0</p>	<p>The variations in the contract price and Engineer's estimates of the sampled projects were as follows:</p> <p>Variation = 100% ((Contract Price - Engineer's Estimate)/Engineer's Estimate))</p> <p>MBAL891/wrks/22-23/00012 Construction of a 5-stance lined pit Latrine at Bufooto P/S was budgeted at UGX 26,000,000/=, actual contract price was UGX 25,639,247/= with a variation of UGX 360,753/= represented by 1.4%,</p> <p>MBAL891/wrks/22-23/00014 Construction of a 5-stance lined pit Latrine at Bukikoso P/S was budgeted at UGX 26,000,000/=, actual contract price was UGX 25,926,013/= with a variation of UGX 73,987/= represented by 0.3%,</p> <p>MBAL891/wrks/22-23/00019 Construction of a 5-stance lined pit Latrine at Namwalye P/S was budgeted at UGX 24,500,000/=, actual contract price was UGX 24,069,434/= with a variation of UGX 430,566/= represented by 1.75%,</p> <p>In conclusion,</p> <p>The variations were within the range of +/- 20% provided in the manual.</p>	2
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY</p> <ul style="list-style-type: none"> • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0 	<p>From the June 2023 monthly progress report, the status of the construction of Lwasso seed secondary school was at 82%.</p>	1
4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 - 79% score: 1 • Below 70% score 0 	<p>There was evidence that the District had recruited primary school teachers as per the prescribed MoES staffing guidelines. The LG had 69 primary schools and the approved staff list had 1243 and the filled were 1118, that is,</p> <p>$1118/1243 * 100 = 89.9\%$.</p>	2

4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> • If above 70% and above score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 	<p>The LG had 69 primary schools and 15 secondary schools for a total of 84 government schools. Of these, 80 met the basic requirement and minimum standards as set in the DES guidelines according to the Inspection reports dated 27th February 2023 and 6th April 2023. This amounted to 95%, which was above 70%.</p>	3
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Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>There was evidence that the LG had accurately reported on teachers and their deployment as was evidenced in the three sampled schools. According to the staff lists obtained from the DEO's office;</p> <ol style="list-style-type: none"> 1. Bombobi Primary School had 31 teachers of whom 9 were male and 22 were female. 2. Busiu Primary School had 24 teachers including 12 male teachers and 12 female teachers. 3. And Wokukiri Primary School had 16 teachers of whom 7 were male and 9 were female. <p>All reported teachers were found present in the schools where they had been deployed, evidenced by the teacher deployment lists displayed in the Head teachers' offices and attendance registers at the schools.</p>	2
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5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>The Education department had an asset register which reported on all the infrastructure in all the registered primary schools. In the sampled school;</p> <ol style="list-style-type: none"> 1. For Wokukiri Primary School, the asset register had 10 classrooms, 10 latrine stances, 227 desks, and 9 office chairs. 2. For Busiu Primary School, the asset register had 15 classrooms, 15 latrine stances, 403 desks and 3 staff houses. 3. For Bumbobi Primary School, the asset register had 15 classrooms, 12 latrine stances, and 260 desks among others. <p>All these facilities were found present in the sampled schools during the field visits.</p>	2
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p> <ul style="list-style-type: none"> • If 100% school submission to LG, score: 4 • Between 80 – 99% score: 2 • Below 80% score 0 	<p>All registered primary schools submitted their annual reports and budgets to the Education Department, complete with signatures of the Head teachers and SMC chair persons. However, the dates of submission of these reports were not registered, by acknowledgement of receipt at the DEO's office, and as such, it was not possible to assess whether these had been submitted on time.</p>	0

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> • If 50% score: 4 • Between 30- 49% score: 2 • Below 30% score 0 	<p>There was evidence that the Education department had supported all Primary Schools in the District to prepare School Improvement Plans as was evidenced by Min: 05/08/2023 of the Education Inspectorate Sector meeting which was held on 17th August 2023.</p> <p>For the sampled schools, Busiu Primary School had a School Improvement Plan dated 31st March 2023. Among the items planned for improvement included teaching and learning process, assets management, feeding of learners and records management.</p> <p>However, Bumbobi and Wokukiri Primary Schools had School Improvement Plans prepared in the 2021/2022 Financial Year and had not revised nor up dated it to include issues identified in 2022/2023.</p>	0
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 - 99% score 2 • Below 90% score 0 	<p>There was evidence that the Local Government had collected and compiled EMIS return forms for all the registered UPE schools in the Financial Year 2022/2023. This was evidenced by the letter from the CAO to the Permanent Secretary with subject matter "SUBMISSION OF PLANNING STATISTICS (TEACHERS, PUPILS AND STUDENT ENROLLMENT DATA) TO GENERATE INDICATIVE PLANNING FIGURES FOR FY 2023.2024" dated 7th November 2022. 100% data collected</p>	4

Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>The LG had a wage budget of UGX 7,227,516 as per the Approved Budget Estimates for 2023/2024. This was to cater for 1095 primary school teachers.</p>	4
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7

Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

Maximum 8 points on this performance measure

b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,

Score 3 else score: 0

From the staffing list obtained from the Education Department, it was observed that teachers had been deployed as per the staffing guidelines which required that each school had a head teacher and a minimum of 7 teachers for schools going up to P7. For schools without P7 class, deployment was one teacher per class as was the case for Bushimali P/S which was had 4 classes with 4 teachers deployed, Bukhaleba P/S which had 3 classes with 3 teachers deployed, and Bukibumbi P/S had four classes and had 4 teachers deployed, among others.

From the sample schools;

1. Buzalangizo Primary School, which was up to Primary 7, had a head teacher and 11 teachers.

2. Jewa Primary School, also up to P7, had a head teacher and 20 classroom teachers.

3. Bufumbo Primary School had a head teacher and 14 classroom teachers and was also up to P&

4. Busano Primary School had a head teacher and 16 teachers

5. And Bubentsye Primary School had a head teacher and 12 classroom teachers among others.

All the above schools were up to Primary Seven.

1

7

Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

Maximum 8 points on this performance measure

c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,

score: 1 else, score: 0

Teacher deployment data not displayed on the notice boards at the Education department at the time of assessment.

In the sampled schools, which were Busiu Primary School, Bumbobi Primary School and Wokukiri Primary School, the lists were displayed in the head teachers' offices.

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copt to DEO/MEO

Score: 2 or else, score: 0

The District had 69 primary schools and 10 personal files were sampled. There was evidence that the primary school head teachers had been appraised in the financial year 2022/2023.

1. Nelima Mary Gorreti head teacher Bumbobi Primary School was appraised by Kinanga Dorothy SAS on 31st December 2022.

2. Masibo Annet head teacher Kama Primary School was appraised by Wakhanza Ismail SAS on 31st December, 2022.

3. Masaba Swaib head teacher Buzalangizo primary school was appraised by Wakhainza Ismail SAS Bufombo S/C on 30th December, 2022.

4. Nanzala Janet head teacher Nasyere Primary School was appraised by Masaba Tom SAS Bumboibi S/C on 30th Dec, 2022.

5. Musibikha Esther head teacher Rongoro was appraised by Nbukwansi Betty SAS on 31st December, 2022.

6. Nadunga Josephine head teacher Bufumbo Primary School was appraised by Wamono Andrew SAS Bufumbo S/C on 15th Dec, 2022.

7. Mayeku Robert head teacher Wokukiri Primary School was appraised by Makokha J. Caleb SAS Bumasikeye S/C on 25th Nov, 2022.

8. Mwelu Isaac head teacher Bumwesi primary school was appraised by Mr. Makha Janan Caleb on 30th December, 2022'

9. Byatika Jenipher head teacher Namwenula Primary School was appraised by Makokha Janan Caleb on 31st December, 2022.

10. Mukimba Gladys head teacher, Makunda primary school was appraised by Makokha Janan Caleb on 31st December, 2023.

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM</p>	<p>There was no evidence about the appraisal reports of secondary school head teachers at the time of assessment. The PHRO acknowledged that head teachers for secondary schools don't report direct to the district.</p>	0
<p>Maximum 8 points on this performance measure</p>		<p>Score: 2 or else, score: 0</p>		
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p>	<p>There was evidence that all the staff in the Education department had been appraised against their performance plan in the Financial Year 2022/2023 as follows,</p>	0
<p>Maximum 8 points on this performance measure</p>		<p>score: 2. Else, score: 0</p>	<ol style="list-style-type: none"> 1. Bulimwa Sarah secretary was appraised by Ms. Musungu Lydia Constance DEO on 31st July, 2023, 2. Wamalugu Joy Education Officer was appraised by Musungu Lydia Constance DEO on 7th July, 2023. 3. Bakumba Joseph Hannigton Senior Education Officer was appraised by Musungu Lydia Constance on 3rd July, 2023. 4. Walufu Asadi Inspector of Schools was appraised by Khaua sam Paddy Senior Inspector of Schools on 5th July 2023. 5, Watenga Kharunda Sarah Anne Inspector of School was appraised by Khau Sam Paddy Senior Inspector of Schools on 30th July. 	
			<p>However, all appraisal reports were done past the required timeframe of 30th June.</p>	

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>The Education department had a training plan for the Financial Year 2022/2023 dated 20th June 2022. Among the activities planned was to train Head teachers and Deputy Head teachers on financial management, records management and school planning and resource management among others.</p>	2
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Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p>	<p>The LG confirmed, in writing, the list of schools, their budget allocations on 29th July 2022 in a letter with subject matter, "SUBMISSION OF THE APPROVED BUDGET ESTIMATES FOR FY 2022/2023 FOR VOTE 891 - MBALE DISTRICT"</p> <p>It also submitted to the Ministry their school enrolment on 9th November 2022 in a letter with subject matter "SUBMISSION OF PLANNING STATISTICS (TEACHERS, PUPILS AND STUDENT'S ENROLMENT DATA) TO GENERATE INDICATIVE PLANNING FIGURES FOR FY 2023/2024"</p> <p>The submissions were all before December 15th 2022.</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p> <p>If 100% compliance, score:2 else, score: 0</p>	<p>According to the LG Approved budget estimates for the Financial Year 2022/2023, the Education department received UGX 44,688,000 as an inspection grant. Of this UGX 12,800,000 was allocated to the DEO's office and UGX 31,888,000 to the inspectorate.</p> <p>This was in line with the sector guidelines, which call for a minimum allocation of UGX 4 million per LG, plus UGX 336,000 (6 inspections at UGX 56,000) per school for the 3 terms</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p> <p>If 100% compliance, score: 2 else score: 0</p>	<p>For quarter one, the cash limit date was 25th July 2022, amount was UGX 182,578,050 and warranting date was 25th July 2022. This was within one working day.</p> <p>For quarter two, the cash limit date was 7th October 2022, amount was UGX182,378, 050 and warranting date was 7th October 2022. This was within one working day.</p> <p>For quarter three, the cash limit date was 5th January 2023, amount was UGX 365,156,100 and warranting date was 5th January 2023. This too was within one working day.</p> <p>Warranting was done within the required timeline of 5 working days from the cash limit dates</p>	2
<p>Maximum 8 points on this performance measure</p>				
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p> <p>If 100% compliance, score: 2 else, score: 0</p>	<p>The DEO's office communicated capitation grant releases to schools as follows;</p> <p>Q1 date of release was 09/8/2022 and date of communication was 09/8/2022, same day</p> <p>Q2 date of release was 10/10/2022 and date of communication was 15th /10/2023 after 5 working days</p> <p>Q3 date of release was 09/2023 and date of communication was 9th/01/2023, same day</p> <p>Q4 date of release was 18th /04/2023 and date of communication was 3rd/05/2023, after 10 working days</p> <p>Therefore communications for Q2 and Q3 were done beyond 3 working days as per the requirement</p>	0
<p>Maximum 8 points on this performance measure</p>				
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <ul style="list-style-type: none"> If 100% compliance, score: 2, else score: 0 	<p>In place were minutes of inspection planning meetings which were held on 24th November 2022 for term III 2022, 18th January 2023 for term I 2023 and 11th April 2023 for term II 2023. Also in place were inspection plans for term 3 2022, term 1 2023 and term 2 2023. There was therefore 100% compliance</p>	2

10	Routine oversight and monitoring <i>Maximum 10 points on this performance measure</i>	b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report: <ul style="list-style-type: none"> • If 100% score: 2 • Between 80 – 99% score 1 • Below 80%: score 0 	The percentage of schools inspected and monitored were as follows; 98% of schools were inspected in term III 2022, 72% of schools in term I 2023 and 92% in term II 2023. The average percentage of inspection was 87% which was between 80 and 99%.	1
10	Routine oversight and monitoring <i>Maximum 10 points on this performance measure</i>	c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up, Score: 2 or else, score: 0	There was evidence that inspection reports had been discussed as was observed in the minutes of meetings held on 24th November 2022, 18th January 2023 and 11th April 2023.	2
10	Routine oversight and monitoring <i>Maximum 10 points on this performance measure</i>	d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0	All the inspection reports were submitted to DES as follows; the inspection report for term III 2022 was submitted on 17th October 2022, for term I 2023 on 29th July 2023 and for term II 2023 on 24th May 2023.	2
10	Routine oversight and monitoring <i>Maximum 10 points on this performance measure</i>	e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0	There was evidence that inspection reports had been discussed by the committee responsible for Education as was evidenced by the minutes of meetings which were held on 27th October 2022 (under Min. 03/H&E/10/22), 23rd March 2023 (under Min. H&E/03/03/2023) and 20th April 2023 (under Min. 04/WPNR/04/23)	2
11	Mobilization of parents to attract learners <i>Maximum 2 points on this performance measure</i>	Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school, score: 2 or else score: 0	The Education department conducted activities to mobilise, attract and retain children in school via a radio talk show that was held on OPEN GATE FM on 14th June 2023. The subject matter of the radio talk show was about the safety of children at school.	2

Investment Management

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i></p>	<p>The Local Government Education Department had an asset register of all facilities and equipment contained in the secondary schools. For example;</p> <ol style="list-style-type: none"> 1. For Wokukiri Primary School, the asset register had 10 classrooms, 10 latrine stances, 227 desks, and 9 office chairs. 2. For Busiu Primary School, the asset register had 15 classrooms, 15 latrine stances, 403 desks and 3 staff houses. 3. For Bumbobi Primary School, the asset register had 15 classrooms, 12 latrine stances, and 260 desks among others. <p>All these facilities were found presented in the sampled schools during the field visits.</p>	2
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i></p>	<p>There was evidence the LG had conducted desk all s projects in the Budget as per a report dated 24th August 2022. Examples of projects that were appraised can be cited as follows;</p> <p>Construction of Outpatients Department (OPD) at Kama HC 111 in Bufumbo Sub County. The project was derived from LGDP 111 Page 186, Page 51 of AWP, Page 28 of Annual Budget. Construction of Out Patient Department is allowable under DDEG Guidelines</p> <p>Construction of 5 Stance lined Pit at Jewa Primary School. The Project was derived from LGDP 111 Page 189 Page 52 of AWP,57 of Annual Budget Project was Compliant DDEG guidelines</p>	1
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, <i>score 1 else score: 0</i></p>	<p>There was evidence that the LG had Conducted Field Appraisals for Technical Feasibility Environmental and Social acceptability This was as per Appraisal report dated 14th August 2022T</p> <p>Construction of Lwaso Seed Secondary School in Lwaso Sub county was among the projects where Field Appraisal was carried out.</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p>	<p>The LG Education department budgeted for and incorporated into the approved procurement plan for FY 2023/24, the Construction of Lwasso Seed Secondary School which was signed by the DCAO on 09th Aug 2023.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>There was evidence of the school infrastructure that was approved by the Contracts Committee and cleared by the Solicitor General;</p> <p>MoES – UGIFT/wrks/2021-2022/00005 Lot 40 Cluster Construction of Lwasso Seed Secondary School. The solicitor General clearance was on 16th Sep 2022. Contracts committee approval Under Min:130.0Mbal/CC07/03/21-22 of the contracts committee that sat in Mbale on 11th March 2022.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>The LG had a project implementation team established as per CAO letter of appointment of team members dated 02nd Mar 2023.</p> <p>The team members included;</p> <ul style="list-style-type: none"> • Musungu Lydia (DEO) • Wangisi Jonathan (DHO) • Wenyira R. Nasimolo (Ag.DE) • Mustaka Fredrick (COW) • Nakayenze Anna (SEO) • Mutonyi Meresi (DCDO) • Wamboba Sidra (SLO) 	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p>	<p>There was evidence that the school infrastructure followed the standard technical designs provided by the Mo ES</p> <p>For the few sampled areas;</p> <p>The roofing iron sheets were gauge 26 as required by the design</p> <p>The classroom dimensions were 8810x6970mm as in the design.</p> <p>Main Entrance doors were to classrooms 1200x2400mm</p> <p>Windows on the classroom block were 1200x1500mm as shown on the MoES designs.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i></p>	<p>There evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the FY 2022/23 as evidenced on project management files presented by the DE.</p> <p>Report and site meeting Minutes at Lwasso Seed Secondary School dated 18th Jan 2023, 28th Feb 2023, 22nd March 2023, 27th April 2023, 10th May 2023, 08th June 2023 etc.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted <i>score: 1, else score: 0</i></p>	<p>There was evidence of Reports on the planned sector infrastructure projects in the FY 2022/2023 by the joint technical teams involving engineers, environment officers, CDOs.</p> <p>Report and site meeting Minutes at Lwasso Seed Secondary School dated 18th Jan 2023, 28th Feb 2023, 22nd March 2023, 27th April 2023, 10th May 2023, 08th June 2023 etc.</p> <p>Reports and minutes of meetings dated 3rd May 2023, 19th June 2023 on the construction of a 5-stance Pit Latrine at Bufooto P/s.</p> <p>Reports and minutes of meetings dated 3rd Mar 2023, 17th April 2023, and 19th June 2023 on the construction of a 5- stance Pit Latrine at Namwalye P/s.</p> <p>Reports and minutes of meetings dated 16th May 2023, 31st May 2023, 07th July 2023 on the construction of a 5- stance Pit Latrine at Bukikoso P/s.</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>Sector infrastructure projects had been properly executed and payment to contractors made within specified time frame as follows:</p> <ol style="list-style-type: none"> 1. Voucher NO 6427953 of UGX 2,252,986 for Construction of 5 stance pit Latrine at Jewa Primary School, the Contractor requested for payment on 8th June 2023 and payment was made on 28th June 2023. Payment was made within 2 months. 2. Voucher No 6441453 of UGX 11,199,958 for Construction of a 5 Stance lined Latrine at Nabumali Day Primary School, the contractor requested for payment on 19th June 2023 and payment was made on 28th June 2023. This was within the timeframe of 2 months. 3. Voucher No 6427083 of UGX 422,395,046 for Construction of Lwasa Seed Secondary School, the contractor requested for payment on 22nd June 2023 and payment was made on 28th June 2023. This was within the time frame of 2 months. 	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i></p>	<p>The LG Education department submitted its Procurement Plan on 13th March 2022 in accordance with the PPDA requirements to the Procurement Unit.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law <i>score 1 or else score 0</i></p>	<p>There evidence that the LG has a complete procurement file for each school Infrastructure contract with all records as required by the PPDA</p> <p>MoES – UGIFT/wrks/2021-2022/00005 Lot 40 Cluster Construction of Lwasso Seed Secondary School. Approval of the evaluation report Under Min:130.0Mbal/CC07/03/21-22 of the contracts committee that sat in Mbale on 11th March 2022. The contract was awarded to MKN Pages Co. Ltd and signed on 21st Sep 2023.</p> <p>(That was the date of contract signing. The money delayed to come and execution of the project started in 2022/2023)</p>	1

Environment and Social Safeguards

14

Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.

Maximum 3 points on this performance measure

Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0

No evidence was provided to show that grievances in the Education sector had been recorded, investigated and responded to in line with the Grievance Redress Mechanism. There was no grievance log book available neither were there minutes from GRC meeting to verify its functionality.

More so, the Grievance Redress Mechanism provided did not clearly elaborate on the path for investigating and addressing grievances. That is, the reporting, recording, investigation, resolution and feedback to the complainant was not clear. The one page paper/document below has no involvement of the Grievance Redress Committee at any point and seemed to cater instead for Rewards and Sanctions Committee.

The available document was titled, "Grievance Redress Referral Pathway (Managing Complaints and Appeals)". Below were the bullets under the heading;

1- The aggrieved or dissatisfied client or party will raise the complaint to the staff attending to the client.

2 - if the client is not satisfied with the officer, a complaint may be raised to the supervisor within 3 days.

3- Should the Supervisor fail to satisfy the client with the necessary explanation, the complaint or appeal may be channeled to the head of department within 5 days.

4- If the response from the head of department is not satisfactory, a complaint/ appeal may be channeled to the office of the CAO drawing it to the Focal Point Officer responsible for implementation of client charter and the District Chairperson.

The copy was stamped by the Principle Human Resource Officer but no stamp date and signature.

0

15

Safeguards for service delivery.

Maximum 3 points on this performance measure

Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation

Score: 3, or else score: 0

The Education department disseminated education guidelines on Environmental Mainstreaming on 17th February 2023. Copies of the said environmental guidelines were found in the visited Primary Schools.

3

16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i></p>	<p>All the costed ESMPs availed for Education projects implemented in the previous FY 2022/2023 were incorporated in their respective BoQs</p> <p>ESMP for the construction of 5 stance lined pit latrine at Bufooto Primary School incorporated as per Page 11/12 of its BoQ</p> <p>ESMP for the construction of 5 stance lined pit latrine at Bukikoso Primary School incorporated as per page 11/12 of its BoQ</p> <p>ESMP for the construction of 5 stance lined pit latrine at Namwalye Primary School incorporated as per page 11/20 of its BoQ</p>	2
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i></p>	<p>There was evidence of land ownership documents for education projects implemented in the previous FY 2022/2023</p> <p>Bufooto Primary School- MoU between Bufooto Church of Uganda and the school leadership dated 20/2/2023</p> <p>Bukikoso Primary School - Land Sale Agreement between Namoma Muhamudu and Management Bukikoso Primary School</p> <p>Namwalye Primary School - MoU between Namwalye Church of Uganda and Mbale District Local Government. dated 01/03/2023</p>	1
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p>	<p>There was evidence of the monthly supervision reports for the construction of 5 stance lined pit latrine at Bufooto Primary School dated 17/5/2023 and 29/06/2023 signed by the SEO and CDO</p> <p>However, there were no supervision and monitoring reports for;</p> <p>The construction of 5 stance lined pit latrine at Bukikoso primary school and;</p> <p>The construction of a 5 stance lined pit latrine at Namwalye Primary School.</p>	0

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

Score: 1, else score:0

There was evidence of Environmental and social compliance certificates for education projects prepared by the SEO and CDO.

However, one of the compliance certificates was completed and signed by the SEO and CDO after they had already signed its respective contractor payment certificate. That was;

The compliance certificate for the construction of 5 stance lined pit latrine at Namwalye Primary School.

compliance certificate signed on 23/06/2023

Contractor payment certificate signed on 22/06/2023 by SEO and 26/06/2023 CDO.

This is as a result of developing documents during assessment time.

The other two projects had their Environment and social certificates completed and signed prior to signing of the contractor payment certificates

Compliance certificate for the construction of 5 stance lined pit latrine at Bufooto Primary School

compliance certificate signed on 20/06/2023

Contractor payment certificate signed on 23/06/2023 by SEO and CDO

Construction of 5 stance lined pit latrine at Bukikoso primary school

compliance certificate signed on 5/06/2023

Contractor payment certificate signed on 6/06/2023 by SEO 5/06/2023 CDO

Health Performance Measures

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	New_Outcome: The LG has registered higher percentage of the population accessing health care services.	a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries). <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	The LG registered a 0.58% increase in the population accessing health care services based on the sampled health facilities as follows; FY 2021/2022 Busiu HCIV 1,751 Siira HCIII 661 Namawanga HCIII 350 TOTAL = 2,762 FY 2022/2023 Busiu HCIV 1,804 Siira HCIII 673 Namawanga HCIII 301 TOTAL = 2,778 $\text{Percentage change} = \frac{(2,778 - 2,762)}{2,762} * 100\%$ = 0.576%	0
2	N23_Service Delivery Performance: Average score in the Health LLG performance assessment.	a. If the average score in Health for LLG performance assessment is: <ul style="list-style-type: none"> • 70% and above, score 2 • 50% - 69%, score 1 • Below 50%, score 0 	The average performance in Health for LLG performance assessment was 88% (8.8/10). This was above 70%	2
2	N23_Service Delivery Performance: Average score in the Health LLG performance assessment.	b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is: <ul style="list-style-type: none"> • 75% and above; score 2 • 65 - 74%; score 1 • Below 65; score 0 	There was a change in MOH framework to mainstream RBF into PHC so this indicator is not applicable for this year's assessment	0
Maximum 4 points on this performance measure				

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p>	<p>The LG budgeted for UGX 184,192,687 and Spent all UGX 184,192,687 was spent as per payment Voucher 6424067 of 28th June 2023 on Construction of Out Patient Department (OPD) at Kama HC111 This was eligible expenditure.</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0</p>	<p>On the payment certificate for the Construction of the OPD at Kama Health Centre III, the District Engineer and Environment Officer signed on 24th May 2023, the CDO on 6th June 2023, the DHO on 8th June 2023. The contractor was paid on 28th June 2023. All the officers signed before the contractor was paid.</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0</p>	<p>The variations in the contract price and Engineer's estimates of the sampled projects were as follows:</p> <p>Variation = 100% ((Contract Price - Engineer's Estimate)/Engineer's Estimate)</p> <p>MBAL891/wrks/22-23/00006 Construction of OPD at Kama HCIII in Bufumbo Sub County Budgeted at 350,401,431/= actual contract price was UGX 365,032,222/= with a variation of -14,630,791/= represented by -4.2%</p> <p>In conclusion,</p> <p>The variations were within the range of +/- 20% provided in the manual.</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY</p> <ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 	<p>The construction of Kama HCIII was complete as per the work plan up to 100%</p>	2

4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	<p>There was evidence that the LG had recruited staff for all H.C III'S and HC IVs per staffing structure.</p> <p>The district had a ceiling of 478 covering both HC III and IV and filled was 418, hence;</p> <p>$418/478*100 = 87.4\%$</p>	1
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4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> • If 100 % score 2 or else score 0 	<p>There was evidence that the LG health infrastructure project met the approved MoH Facility Infrastructure Designs;</p> <p>Construction of OPD at Kama HCIII.</p> <p>The EPI room was 3mx3.6m as per the design, gauge 26 iron sheets were used, and the ramp width at the entrance of the waiting area was 2m which was all compliant to the designs.</p>	2
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Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>As per health staff lists obtained from DHO's office, the information was accurate for the sampled health facilities as detailed below.</p> <p>Busui HCIV had 56 staff on the DHO's deployment list and 56 staff could be found on the facility staff list dated 3/7/2023.</p> <p>Siira HCIII had 26 staff on the deployment list and at the facility it also had the same number of staff dated 3/7/2023</p> <p>Namawanga HCIII had 22 staff on the deployment list and the same staff were found on the facility list dated 3/7/2023</p>	2
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5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>The information on facilities constructed was accurate as detailed below;</p>	2
	<p>Maximum 4 points on this performance measure</p>		<p>Kama HCIII was constructed in FY22/23 and phase one of the project which was budgeted for was complete and detailed in PBS reports page 48 total budget of 237,764,000/= was all utilized as budgeted and the facility is functional.</p>	
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p>	<p>The health facilities submitted Annual work plans of FY 2023/24 to the DHO timely as stated below;</p>	2
	<p>Maximum 14 points on this performance measure</p>	<ul style="list-style-type: none"> • Score 2 or else 0 	<ul style="list-style-type: none"> • Busiu HCIV - Submitted on 18/3/2023 • Siira HCIII submitted on 20/3/2023 • Namawanga HCIII submitted on 20/3/2023 	
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p>	<p>Health facilities submitted budget performance reports for FY 2022/23 timely as follows;</p>	2
	<p>Maximum 14 points on this performance measure</p>	<ul style="list-style-type: none"> • Score 2 or else 0 	<ul style="list-style-type: none"> • Busiu HCIV submitted 14/7/2023 signed by Nabalayo Mill (HUMC - C/P) • Siira HCIII - 14/7/2023 signed by Mukweli Nelson Malema(HUMC - C/P) • Namawunga HCIII submitted on 7/7/2023 signed by Wabuyanga Oscar (HUMC - C/P) 	

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>Health facilities developed facility improvement plans that were incorporated in the work plans for FY23/24 in the section of bottle neck analysis as detailed below.</p> <p>Busiu HCIV developed an improvement plan for FY23/24 dated 18/3/2023</p> <p>Siira HCIII developed an improvement plan for FY23/24 dated 20/3/2023</p> <p>Namawunga HCIII developed an improvement plan for FY23/24 dated 20/3/2023</p>	2
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,</p> <ul style="list-style-type: none"> • score 2 or else score 0 	<p>Health facilities submitted monthly and quarterly HMIS 105 & 106 reports timely within 7 days as follows;</p> <p>105 Reports</p> <p>July 2022</p> <p>Busiu HCIV - 2/8/2022</p> <p>Siira HCIII - 6/8/2022</p> <p>Namawanga HCII - 7/8/2022</p> <p>August 2022</p> <p>Busiu HCIV - 6/9/2022</p> <p>Siira HCIII - 6/9/2022</p> <p>Namawanga HCII - 7/9/2022</p> <p>September 2022</p> <p>Busiu HCIV - 4/10/2022</p> <p>Siira HCIII - 6/10/2022</p> <p>Namawanga HCII - 7/10/2022</p> <p>October 2022</p> <p>Busiu HCIV - 3/11/2022</p> <p>Siira HCIII - 7/11/2022</p> <p>Namawanga HCII - 7/11/2022</p> <p>Novbember 2022</p> <p>Busiu HCIV - 7/12/2022</p> <p>Siira HCIII - 6/12/2022</p> <p>Namawanga HCII - 7/12/2022</p> <p>December 2022</p> <p>Busiu HCIV - 4/1/2023</p>	2
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Siira HCIII - 3/1/2023
Namawanga HCII - 5/1/2023
January 2023
Busiu HCIV - 4/2/2023
Siira HCIII - 3/2/2023
Namawanga HCII - 7/2/2023
February 2023
Busiu HCIV - 7/3/2023
Siira HCIII - 6/3/2023
Namawanga HCII - 7/3/2023
March 2023
Busiu HCIV - 7/4/2023
Siira HCIII - 7/4/2023
Namawanga HCII - 7/4/2023
April 2023
Busiu HCIV - 5/5/2023
Siira HCIII - 3/5/2023
Namawanga HCII - 7/5/2023
May 2023
Busiu HCIV - 6/6/2023
Siira HCIII - 3/6/2023
Namawanga HCII - 7/6/2023
June 2023
Busiu HCIV - 6/7/2023
Siira HCIII - 4/7/2023
Namawanga HCII - 3/7/2023
106 quarterly Reports
July-Sept 2022
Busiu HCIV - 4/10/2022
Siira HCIII - 6/10/2022
Namawanga HCII - 7/10/2022
Oct - Dec 2022
Busiu HCIV - 4/1/2023
Siira HCIII - 3/1/2023
Namawanga HCII - 5/1/2023
Jan - March 2023
Busiu HCIV - 7/4/2023

Siira HCIII - 7/4/2023

Namawanga HCII - 7/4/2023

April - June 2023

Busiu HCIV - 6/7/2023

Siira HCIII - 4/7/2023

Namawanga HCII - 3/7/2023

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0

Note: Municipalities submit to districts

There was a change in MOH framework to mainstream RBF into PHC so this indicator is not applicable for this year's assessment

0

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0

There was a change in MOH framework to mainstream RBF into PHC so this indicator is not applicable for this year's assessment

0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>The LG submitted the Quarterly Budget Performance reports as follows</p> <ul style="list-style-type: none"> • Qtr 1 report submitted on 12th December 2022 • Qtr 2 report Submitted on 7th February 2023 • Qtr 3 report Submitted on 5th May 2023 • Qtr 4 report Submitted on 31st July 2023 <p>Quarter one report was not submitted within the time Frame of end of October 2022.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>The Local Government developed a PIP for the lowest performing facilities dated 15/7/2023 - Wanale HCIII was among the Lowest performing facilities.</p>	1
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>The Local Government PIP for the lowest performing facilities was implemented as detailed by a progress report dated 30/12/2022. There was a gap of no targeted testing at Wanale, Bukiende and Bwale Health centres and this was addressed by having mentorship done on HTS.</p>	1

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>The local government budgeted 6,299,475,000/= in FY 2023/24 for 403 staff as detailed in the annual budget on page 28 of the PBS annual budget for FY 2023/24</p>	2
<p>Maximum 9 points on this performance measure</p>				
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>According to the deployment staff lists, the staffing level was at 103% as of 30/102023. There were 403 staff positions were filled out of the required 391 for the health department</p>	2
<p>Maximum 9 points on this performance measure</p>				
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>Health workers were working where they were deployed as detailed below for the 3 sampled health facilities</p> <p>Busiu HCIV had 56 staff on the DHO's deployment list dated Oct 2023 and 49 staff could be found on the facility attendance register. 5 staff were on study leave as follows; 1 person was on maternity leave and 1 person was on sick leave</p> <p>Siira HCIII had 21 staff on the DHO's deployment list dated Oct 2023 and 17 staff could be found on the facility attendance register. 3 staff were on study leave and 1 person was on sick leave.</p> <p>Namawanga HCIII had 21 staff on the DHO's deployment list dated Oct 2023 and 19 staff could be found on the facility attendance register 2 staff were on study leave.</p>	3
<p>Maximum 9 points on this performance measure</p>				

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0</p>	<p>The Local Government Publicised health workers on the facility notice boards.</p> <p>From the 3 sampled health facilities</p> <p>Busiu HCIV had 56 staff on the deployment list dated October 2023.</p> <p>Siira HCIII had 21 staff on the deployment list dated October 2023</p> <p>Namawanga HCIII had 21 staff on the deployment list dated October 2023</p>	2
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the DHO/MMOHs has:</p> <p>i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0</p>	<p>The District Health Officer had conducted annual performance appraisal of all Health Facility In-charges in the financial year 2022/2023 as follows;</p> <ol style="list-style-type: none"> 1. Buyi Jonah a Medical Clinical Officer was appraised by Dr. Balayo on 30th June, 2023. 2. Domba Eric a Medical Clinical Office was appraised by Dr. Balaya Issa Mo/HsdD on 30th June, 2023. 3. Olupot Martin Medical Clinical Officer was appraised by Dr. Balayo Issa on 30th August, 2023. 4. Mudyero Yunusu Medical Clinical Officer was appraised by Dr. Balayo ISSA HSD on 14th August, 2023. 5. Naluwugge Prossy Senior Medical Clinical Officer was appraised Dr. Balayo Issa on 31st July 2023. 6. Wakooba Julius Enrolled Nurse was appraised by Dr. Balayo Issa on 26th July, 2023. 7. Mushebu Godfrey Senior Medical Clinical Officer was appraised by Makwa Isaac Fred SAS on 20th July, 2023. <p>Some appraisal reports were done past the required timeframe of 30th June.</p>	0

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0

Health facility In-Charges had conducted performance appraisal of all health facility workers against the agreed plans as was evidenced in the performance reports, for instance;

1. Bwire Denis Medical Laboratory Technician was appraised by Buyi Jonah Medical Clinical Officer on 7th August, 2023.

2. Apogo Hellen Kevin Enrolled Nurse was appraised by Ewatu Charles Assistant Nursing Officer on 27th July, 2023.

3. Nsabira Stephen Medical laboratory Laboratory Assistant was appraised by Ocom Bernard Medical Laboratory technician on 2nd August, 2023

4. Nagudi Samali Enrolled Nurse was appraised by Wangotu Godfrey Assistant Nursing Officer on 30th June, 2023.

5. Kissa Wamballo Rebecca Enrolled Nurse was appraised by Wateka Ben Medical Clinical Officer.

6. Ocom Bernard Medical Laboratory Technician was appraised by Wotsomu Sam Medical Clinical Officer on 2nd August, 2023.

7. Chemutah Hellen Cherotwo Assistant Nursing Officer was appraised by Omuut Paul Senior Clinical Officer on 30th June, 2023.

8. Kangala Sarah Enrolled Midwife was appraised by Wakooba Julius Enrolled Nurse on 2nd August, 2023

9. Katooke Clare Enrolled Midwife was appraised by Namasopo Lydia Assistant Nursing Officer.

10. Nakhaiso Jackson Askari was appraised by Dr. Balanyo Issa on 25th July, 2023.

Appraisals were conducted past the timeframe of 30th June, 2023.

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>According to the appraisal reports, corrective actions were taken like the need for surgical skills, adequate knowledge in management of T.B cases, financial procedure and regulations. Apongo Hellen Kevin Enrolled Nurse was trained in management of T.B cases.</p>	2
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p>	<p>The LG conducted training as per the district training plan for FY 2022/23 dated 4/7/2022</p> <p>A report for HUMC training on 4th/9/22 on their roles and responsibilities. The training was conducted in accordance with the training plan.</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>There was a training database in place dated 20/7/23 having Malema George as having trained in DIT/EPI on 14/6/2018</p>	1

Management, Monitoring and Supervision of Services.

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>This information was not available</p>	0
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9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>There was evidence that the LG allocated 15% towards monitoring Lower level facilities</p> <p>UGX 24,717,081/ 57,774,116*100%=42.8% (AS per vouchers)</p> <p>A budget figure of UGX 57,774,116 was availed from the District Planner</p> <p>The LG allocated 42.8% towards monitoring against the requirement of 15%</p>	2
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>	<p>The LG warranted PHC grants as follows;</p> <ol style="list-style-type: none"> 1. For quarter 1, cash limit amount was UGX 75,176,750 and the warranting date was 20th August 2022. 2. For quarter 2, cash limit amount was UGX 75,176,750 and the warranting date was 7th October 2022. 3. For quarter 3, cash limit amount was UGX 75,176,750 and the warranting date was 23rd January 2023. 4. And for quarter 4, the cash limit amount was UGX 75,176,750 and the warranting date was 18th April 2023. <p>However, the cash limit dates for all the four quarters were not availed during assessment.</p>	0
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0</p>	<p>The LG invoiced PHC releases to health facilities as follows;</p> <p>For quarter 1, UGX 75,176,750 was invoiced on 24th August 2022</p> <p>For quarter 2, UGX 75,176,750 was invoiced on 19th October 2022</p> <p>For quarter 3, UGX 75,176,750 was invoiced on 27th January 2023</p> <p>For quarter 4, UGX 75,176,750 was invoiced on 18th April 2023</p> <p>However, the date of communication of releases to the health facilities were not availed for assessment.</p>	0
<p>Maximum 9 points on this performance measure</p>				
<p>Maximum 9 points on this performance measure</p>				
<p>Maximum 9 points on this performance measure</p>				

N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0

There was evidence that the LG publicised quarterly releases of PHC on the notice boards;

- Q1 Financial Year 23/24 had PHC cash limits set on 13/7/23 and the DHO received the release letter on 14/7/23 which was pinned on the noticeboard and this was within 5 days of setting the limits.

- Q2 FY23/24 had PHC cash limits set on 3/10/23 and the DHO received the release letter on 4/10/23 which was pinned on the noticeboard and this was within 5 days of setting the limits.

- Q1 FY22/23 had PHC cash limits set on 25/7/23 and the DHO received the release letter on 26/7/23 The notice board copy was available on file and was pinned on the noticeboard within 5 days of setting the limits.

- Q2 FY22/23 had PHC cash limits set on 7/10/22 and the DHO received the release letter on 10/10/22 The notice board copy was available on file and was pinned on the noticeboard within 5 days of setting the limits.

- Q3 FY22/23 had PHC cash limits set on 5/10/23 and the DHO received the release letter on 9/1/23 The notice board copy was available on file and was pinned on the noticeboard within 5 days of setting the limits.

- Q4 FY22/23 had PHC cash limits set on 17/4/23 and the DHO received the release letter on 18/4/23 The notice board copy was available on file and was pinned on the noticeboard within 5 days of setting the limits.

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>There was evidence that the LG health department implemented recommendations from DHMT as detailed below</p>	2
	<p>Maximum 7 points on this performance measure</p>		<p>In a DHT meeting held on 23/6/2023 agenda No 4 involved reading of previous minutes quoting actions that had been set in the previous meeting. Minute 4/23/6/2023 detailed an issue/gap of dispensing logs not being reviewed by in charges. This issue had a follow up response detailing that this had been resolved and the process ongoing.</p>	
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>In charges, IPs and LG departments were in attendance of quarterly performance reviews and detailed below;</p>	1
	<p>Maximum 7 points on this performance measure</p>		<ul style="list-style-type: none"> • FY 2022/23 Q1 - Performance review meeting held on 22/8/22 had in charges in attendance and IPs in attendance were UHA. The Planner was present for other departments. • FY 2022/23 Q2 - Performance review meeting held on 30/11/22 had in charges in attendance and IPs in attendance were Baylor, PACE, UWESO. The Planner was present for other departments. • FY 2022/23 Q3 - Performance review meeting held on 1/3/23 had in charges were in attendance and IPs in attendance were Baylor LPHS-E, MAJOC. The Planner was present from other departments. • FY 2022/23 Q4 - Performance review meeting held on 23/5/23 had in charges in attendance and IPs in attendance were Baylor, UHA. The Planner was in attendance from other departments. 	

10	Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.	c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0	Busiu HCIV was supervised as detailed below as per the support supervision reports. <ul style="list-style-type: none"> • FY 2022/23 Q1 on 19/9/22 • FY 2022/23 Q2 on 25/11/22 • FY 2022/23 Q3 on 15/3/23 • FY 2022/23 Q4 on 28/6/23 	1
Maximum 7 points on this performance measure	If not applicable, provide the score			
10	Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.	d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0	The DHT ensured that HSD carried out Support supervision as detailed below from the 3 sampled lower level health facilities Q2 FY 22/23 Siira HCIII - 7/12/2022 Namawanga HCIII - 6/12/2022 Busoba HCII - 7/12/2022	1
Maximum 7 points on this performance measure	• If not applicable, provide the score			
10	Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.	e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0	The LG made sure that recommendations given in support supervision were implemented as detailed by feedback reports below. Q4 Support supervision report by DHT dated 29/6/23 detailed display of IEC materials in Busano HACIII which had been an issue raised as a gap in Q3 support supervision dated 9/3/2023.	1
Maximum 7 points on this performance measure				
10	Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.	f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0	The Local government did medicines management supervision as evidenced by EM SPARS report dated 11/4/23 submitted by MMS Musana Henry. All health facilities were supervised on medicines management.	1
Maximum 7 points on this performance measure				

11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0	The LG allocated UGX 25,201,000 against the budget of UGX 57,774,116 which was 43.8%. This was in line was more than the minimum of 30%.	2
	Maximum 4 points on this performance measure			
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0	The DHT led health promotion and prevention activities and detailed below: A report to the DHO on 22/9/22 by the DHE detailed radio talk show, community drives, 3 community dialogues as well as distribution on IEC materials. The report was submitted by Masagwayi Agnes the DHE. Also Q2 report dated 30/12/21 detailed community drives and radio talk shows carried out.	1
	Maximum 4 points on this performance measure			
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0	There was evidence of a follow up detailed Extended DHT meeting held on 30/12/2022. Minute 6 detailed action on sensitising of the community for a community drive that was due	1
	Maximum 4 points on this performance measure			

Investment Management

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>The LG had an updated Asset register at the DHO's office. Facilities sampled had the equipment needed as per the basic standards</p>	1
	<p>Maximum 4 points on this performance measure</p>		<p>Siira HCIII- Asset register dated July 23 had a weighing scale, BP machine and a microscope.</p>	
			<p>Namawangwa HC III - Asset register dated 30/8/23 had a weighing scale, Glucometer and a microscope.</p>	
			<p>Busiu HCIV - Asset register dated 29/10/23 had a Delivery bed, BP machine and microscope.</p>	
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDPIII);</p>	<p>There was evidence that the prioritised investment of Constructing Outpatients Department (OPD) was derived from LGDP (Page 186)</p>	1
	<p>Maximum 4 points on this performance measure</p>	<p>(ii) desk appraisal by the LG; and (iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG)):</p>	<p>The investment was subjected to Desk Appraisal (page 1) of the Appraisal report dated 24th August 2022 The investment was eligible for expenditure under DDEG guidelines</p>	
		<p>score 1 or else score 0</p>		
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>There was evidence that the LG conducted Field Appraisal for all the investments in the budget as per the report dated 14th August 2022.</p>	1
	<p>Maximum 4 points on this performance measure</p>			

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>There was evidence of Screening reports Environmental and Social screening reports and costed ESMPs for the current FY 2023/2024 Health projects to be implemented by Mbale DLG</p>	1
	<p>Maximum 4 points on this performance measure</p>		<p>Screening report for the construction of Kama HCIII (phase II) dated 27/09/2023 signed by SEO and CDO and costed ESMP at UGX. 1,650,000/=</p>	
			<p>Screening report for Construction of OPD at Bunambutye HCIII dated 6/11/2023 signed by the SEO and CDO and costed ESMP at UGX. 1,550,000/=</p>	
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>There was evidence that the LG Health department timely submitted all its infrastructure requests to PDU for incorporation into the approved LG annual work plan,</p>	1
	<p>Maximum 10 points on this performance measure</p>		<p>As per a copy of the health sector procurement plan for current FY prepared by the DHO, date of submission to PDU 27th April 2023 which was before 30th April as required by PPDA laws.</p>	
			<p>Completion of OPD at Kama HCIII Phase II</p>	
			<p>Construction of OPD at Bunambutye HCIII Phase I</p>	
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>The health department did not submit their PP1 Forms to PDU by the 1st Quarter of the Current year.</p>	0
	<p>Maximum 10 points on this performance measure</p>			

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>There was evidence that the LG approved health infrastructure by the contracts committee were cleared by the Solicitor General. Letter signed and stamped by the solicitor general on 16th Jan 2022.</p> <p>The project below was cleared.</p> <p>MBAL891/wrks/22-23/00006 Construction of new HCIII OPD Block at Kama, approval of the Evaluation Report was done on 12th Dec 2022 Under minute number MIN: 66.0Mbal/CC07/12/22-23</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG had a project implementation team established as per CAO letter of appointment of team members dated 02nd March 2023.</p> <p>The team members included;</p> <ul style="list-style-type: none"> • Musungu Lydia (DEO) • Wangisi Jonathan (DHO) • Wenyira R. Nasimolo (Ag.DE) • Mustaka Fredrick (COW) • Nakayenze Anna (SEO) • Mutonyi Meresi (DCDO) • Wamboba Sidra (SLO) 	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence that the LG health infrastructure project met the approved MoH Facility Infrastructure Designs;</p> <p>Construction of OPD at Kama HCIII.</p> <p>The EPI room was 3mx3.6m as per the design, gauge 26 iron sheets were used, and the ramp width at the entrance of the waiting area was 2m which was all compliant to the designs.</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The construction of the new HCIII OPD at Kama had no reports from the Clerk of works that are consolidated weekly to the District Engineer in copy to the DHO.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence that showed they Held site meetings on 23rd Feb 2023 and 16th May 2023 but these meetings were not monthly.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence which showed that the local government carried out technical supervision of works at the health infrastructure project by the relevant officers including the Engineers, Environment officers, CDO.</p> <p>Site Inspection and supervision reports on the Construction of the New HCIII OPD at Kama dated 28th April 2023, 23rd May 2023, 30th May 2023, 23rd June 2023, 28th June 2023, though the supervision was not done monthly.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p>	<p>Voucher no. 6424067 of UGX 184,192,687 for construction of OPD at Kama Health Centre III, the contractor requested for payment on 23rd June 2023 and payment was made on 28th June 2023. This was within the timeframe of 10 working days.</p>	1

13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0	There was evidence that the LG had a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law.	1
	Maximum 10 points on this performance measure		MBAL891/wrks/22-23/00006 Construction of OPD Block at Kama HCIII; Namugabwe general Construction Co. Ltd as the contractor approval of the Evaluation Report was done on 12th Dec 2022 Under minute number MIN: 66.0Mbal/CC07/12/22-23 and Contract signing was done on 18th Jan 2023.	

Environment and Social Safeguards

14	Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework	a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0	There was no evidence of a centralised grievance log. None was availed by assessment time. There was evidence of Grievance redress mechanism however it was not clear and well defined.	0
	Maximum 2 points on this performance measure			
15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery	a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0	There was evidence of guidelines (WASH guidelines) on medical waste management and dissemination list of the guidelines to health facilities dated 30/08/2022 was availed There was evidence of follow up in the form of support supervision activity report from supervision activity carried out from 8/04/2023 to 15/04/2023. These were availed by the ADHO Environment Health and Health Inspector.	2
	Maximum 5 points on this performance measure			

15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery	b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0	There was no budget dedicated to medical/ healthcare waste management in the LG current FY 2023/2024 Annual Budget.	0
	Maximum 5 points on this performance measure		There was evidence of an MoU signed between Male District Local Government and Green Label Services Limited to manage medical/health care waste.	
			Some Health facilities were burning medical waste in an open pit for example Busano HCIII	
15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery	c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0	There was evidence of the training report on medical waste management conducted on 16/08/2022.	1
	Maximum 5 points on this performance measure			
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0	There was evidence of costed ESMP incorporation of the construction of Kama HCIII OPD in its BoQ as confirmed on page 3/3.	0
	Maximum 8 points on this performance measure			
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0	There was evidence of land ownership for Kama HCIII Kama HCIII - Memorandum of Understanding dated 13/04/22 between Mbale District local government and St. Jude Kama Catholic Church.	2
	Maximum 8 points on this performance measure			

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>There was evidence of monthly monitoring and supervision reports for the construction of Kama HCIII</p> <p>Monitoring reports for the construction of OPD, Sanitary facility with washrooms, ash pit and Incinerator at Kama HCIII (new Health facility) in Bufumbo Sub-county dated 17/05/2023 and 29/06/2023 signed by the CDO and SEO</p>	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0</p>	<p>There was evidence of Environmental and Social Compliance certificates completed and signed on 5/06/2023 before signing of the contractor payment certificate on 6/06/2023 by SEO and CDO for the construction of OPD at Kama HCIII</p>	2

**Water &
Environment
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>According to the MIS report for District Key Facts for 2023, the functionality of rural water sources for Mbale District was 89%.</p>	1
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>According to the MIS report for District Key Facts for 2023, the functionality of water and sanitation committees for Mbale District was 98%.</p>	2
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;</p> <ul style="list-style-type: none"> • Above 80%, score 2 • 60% - 80%, score 1 • Below 60%, score 0 	<p>The average score in the Water and Environment LLG performance assessment for 2023 was 75% (7.5 out of 10)</p>	1

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.

o If 100 % of water projects are implemented in the targeted S/Cs: Score 2

o If 80-99%: Score 1

o If below 80 %: Score 0

At the time of preparation of the work plan for 2022/2023, the safe water coverage for Mbale District was 62%. The Sub Counties with safe water coverage below this were; Bubyangu at 48%, Bumbobi at 61%, Bungokho at 57%, Busiu at 41%, and Nyondo at 27%.

Planned and implemented in 2022/2023 were the following water projects;

1. Lwaboba Muyeka community borehole in Busiu Sub County

2. Wameli community borehole in Lukhonge Sub County

3. Namama community borehole in Bukiende Sub County

4. Bufumbo - Bubyangu Gravity Flow Scheme in Bufumbo and Bubyangu Sub Counties.

2 of the 4 implemented facilities were located in the sub counties with safe water coverage below that of the District. This amounted to 50% allocation, which was below 80%.

Mbale LG had a Memorandum of Understanding with Oxfam which it signed on 1st November 2022. The purpose of the MoU was to establish a framework for cooperation in providing humanitarian assistance program in Mbale District, in areas including Water,, Sanitation and Hygiene promotion (WASH) and other related activities.

In 2022/2023 Financial Year, Oxfam rehabilitate a number of boreholes and protected springs in Busano, Bumasikye and Bungokho Sub Counties following floods which had damaged a number of water facilities in the said Sub Counties. It was noted that throughout 2022/2023, Oxfam did not construct any new water source in the sub counties with safe water coverage below that of the District, to absolve the District of its responsibility of implementing water source there as per the requirements of the water sector guidelines.

2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates</p> <ul style="list-style-type: none"> o If within +/-20% score 2 o If not score 0 	<p>1. Construction of Bufumbo-Bubyangu Gravity Flow Scheme under phase 3 was estimated at UGX 358,541,989 and contracted at UGX 348,541,989.65. The variation was 2.8%</p> <p>2. Construction of a 3 stance VIP latrine in Busiu Sub County was estimated at UGX 15,801,864 and contracted at UGX 15,756,929. The variation was 0.3%</p> <p>3. Drilling of 3 boreholes was estimated at UGX 73,190,252 and contracted at UGX 72,973,560. The variation was 0.3%.</p> <p>All variations were within +/-20% of the estimates.</p>	2
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p>Maximum 8 points on this performance measure</p>	<p>d. % of WSS infrastructure projects completed as per annual work plan by end of FY.</p> <ul style="list-style-type: none"> o If 100% projects completed: score 2 o If 80-99% projects completed: score 1 o If projects completed are below 80%: 0 	<p>In the Financial Year 2022/2023, the LG planned to drill 3 boreholes, construct phase 3 of Bufumbo-Bubyangu GFS, construct a 3 stance VIP latrine and rehabilitate 15 boreholes.</p> <p>All the 21 above planned infrastructure projects were completed before the end of the Financial Year representing 100% completion.</p>	2
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <ul style="list-style-type: none"> o If there is an increase: score 2 o If no increase: score 0. 	<p>The functionality of water facilities was 87% in 2021/2022 and 89% in 2022/2023. There was an increase of 2 percentage points between the two financial years</p>	2

3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <p>o If increase is more than 1% score 2</p> <p>o If increase is between 0-1%, score 1</p> <p>o If there is no increase : score 0.</p>	<p>The functionality of water user committees was 90% in 2021/2022 and 98% in 2022/2023. There was an increase of 8 percentage point, which was more than 5%</p>	2
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Performance Reporting and Performance Improvement

4	<p>Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3</p>	<p>The facilities that were visited were the reservoir tank for Bufumbo-Bunambutye GFS, the 3 stance latrine constructed in Busiu Sub County and Namama Borehole in Bukiende Sub County. All the sampled facilities were in place and were functional as had been reported in the Quarter 4 Report for the Water Department.</p>	3
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5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2</p>	<p>The facilities that were visited were the reservoir tank for Bufumbo-Bunambutye GFS, the 3 stance latrine constructed in Busiu Sub County and Namama Borehole in Bukiende Sub County. All the sampled facilities were in place and were functional as had been reported in the Quarter 4 Report for the Water Department.</p>	2
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5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0</p>	<p>The LG Water Office submitted form 4 monitoring reports to the Ministry of Water and Environment on 27th March 2023 for Quarter 3 and 28th August 2023 for Quarter 4. It also submitted Form 1 reports on new water sources and form 2 reports on piped water schemes constructed in 2022/2023 on 7th August 2023.</p> <p>No evidence was provided to show that quarter 1 and quarter 2 monitoring reports had been submitted to the Ministry.</p>	0
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5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>Out of the 14 sub counties in Mbale District, the following were the 25% lowest performing; Bumasikeye with 0 out of 10, Wanale with 2 out of 10, Nyondo with 6 out of 10 and Budwale with 6 out of 10.</p> <p>The DWO conducted a training to sub counties in the areas for improvement in the LLG performance assessment with respect to Water and Environment on 18th October 2023. In attendance were staff from the above four sub counties.</p>	2
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Human Resource Management and Development

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p>	<p>The District Water Officer had budgeted UGX 49.981.392 as per estimated budgeted 2023/2024 Vote 891 page 54 Of 71.</p>	2
6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>The Environment Officer budgeted UGX 208,824.468 as per estimated budget of 2023/2024 Vote 891 page 58 Of 71. This was to cater for Natural resource Officer, Environment Officer and Forestry Officer.</p>	2
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>The District Water Officer had only one staff to appraise that is,</p> <p>i. Mr. Makanga Yahava the Borehole Maintenance technician was appraised by Wandera Simon Peter the DWO, on 7th ,August,2023.</p> <p>However he was appraised past the required timeframe of 30th June.</p>	0

7

Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.

Maximum 6 points on this performance measure

b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3

For the one staff that was appraised in the department, there were no capacity gaps identified.

3

Management, Monitoring and Supervision of Services.

8

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
 - • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
 - • If 80-99%: Score 2
 - • If 60-79: Score 1
 - • If below 60 %: Score 0

At the time of preparation of the work plan for 2023/2024, the safe water coverage for Mbale District was 61%. The Sub Counties with safe water coverage below this were; Bubyangu at 47%, Bumbobi at 60%, Bungokho at 55%, Busiu at 40% and Nyondo at 26%.

The development budget for the water sector was UGX 845,422,384 and the following were the planned WSS facilities;

1. Construction of 2 latrines in Budwale Sub County and Bumasikeye Sub County each at UGX 14,400,000
2. 5 boreholes in the following sub counties; Bungokho (1), Bukiende (1), Busiu (1), Busoba (1) and Lukhonge (1) each at UGX 22,500,000
3. Phase 4 of Bufumbo - Bubyangu GFS in Bufumbo and Bubyangu Sub Counties
4. And rehabilitate 25 boreholes at UGX 136,395,500

UGX 533,209,362 was allocated to the sub counties with safe water coverage below that of the district amounting to 63.1%.

1

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY:
Score 3

The DWO communicated to all sub counties their respective allocations via official mail. For the sub counties with low safe water coverage;

1. Bunyangu Sub County received its communication on 26th June 2023 and it had been allocated UGX 22,596,751 for one borehole in Namashisyo village
2. Busiu Sub County received its communication on 20th June and it had been allocated UGX 27,079,000 for rehabilitating four boreholes.
3. And Bungokho Sub County received its communication on 26th June 2023 where it had been allocated UGX 27,959,552 for one new borehole and rehabilitating another among others.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)

- If 95% and above of the WSS facilities monitored quarterly: score 4
- If 80-94% of the WSS facilities monitored quarterly: score 2
- If less than 80% of the WSS facilities monitored quarterly: Score 0

There was evidence that the LG had monitored each of the water facilities in the District during the 2022/2023 Financial Year as follows; This was done on a monthly basis and on file were monitoring reports for each of the months that comprised the financial year. It was noted that when broken down on a quarterly basis, each of the facilities had been monitored at least once per quarter. For example;

For quarter 1, the facilities in Jewa T/C, Wanale S/C, Budwale S/C, Bufumbo S/C and Bungokho S/C were monitored in the month of July 2022, the facilities in Nabumali T/C, Bumbobi S/C, Busano S/C, Bunambutye S/C, Bumasikye S/C and Nyondo S/C were monitored in the month of August, and the facilities in Busiu S/C, Lukhonje S/C, Busoba S/C, Bukiende S/C, Bubyangu S/C and Busiu T/C were monitored in the month of September 2022.

For quarter 2, the facilities in Nabumali T/C, Bumbobi S/C, Busano S/C, Bunambutye S/C, Bumasikye S/C and Nyondo S/C were monitored in the month of October 2022, the facilities in Busiu S/C, Lukhonje S/C, Busoba S/C, Bukiende S/C, Bubyangu S/C and Busiu T/C were monitored in November 2022, and those in Jewa T/C, Wanale S/C, Budwale S/C, Bufumbo S/C and Bungokho S/C were monitored in the month of November and so on.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2

The DWO conducted DWSCC meetings as follows; Quarter 1 meeting was held on 1st September 2022, Quarter 2 meeting on 13th December 2022, Quarter 3 meeting on 12th March 2023 and quarter 4 meeting on 13th July 2023.

In all these meetings, the DWO did not present key finds obtained during the quarterly monitoring of water and sanitation facilities.

9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>	<p>The DWO publicized its budget allocation for the Financial Year 2023/2024 on 11th August 2023. On it were allocations of WSS facilities per sub county as follows;</p> <ol style="list-style-type: none"> 1. Drilling of 5 boreholes in Bukiende (1), Bunambutye (1), Lukhonje (1), Bungokho (1) and Busoba (1) all estimated at UGX 112,983,755 2. Construction of Bufumbo-Bubyangu GFS (in Bufumbo and Bubyangu Sub Counties) estimated at UGX 444,562,802 3. Construction of two 3-stance VIP latrines Budwale Sub County and Bumasikye Sub County all at UGX 28,803,728 4. And rehabilitation of 25 boreholes in various sub counties all estimated at UGX 136,395,500 	2
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 	<p>The NWR budget for the Water Department was UGX 71,422,274 of which UGX 28,589,699 was allocated towards software activities which included planning and conducting advocacy meetings, training of water user committees and training of hand pump mechanics among others.</p> <p>The allocation towards mobilisation activities was 40.03%</p>	3

10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.</p>	<p>On file were training reports for all the water and sanitation committees for the implemented facilities of 2022/2023. For the sampled water facilities, training was conducted as follows;</p> <ol style="list-style-type: none"> 1. Water user committee members for boreholes were done on 21st March 2023 and the content of training included proper hygiene and sanitation and collection of O&M funds. 2. And the sanitation committee for latrine at Shisala RGC was conducted on 17th February 2023 and the training content included the importance of hand washing. <p>Upon visiting the facilities of Namama BH, and the latrine at Shisala RGC, the committees were in place and had been trained. The interviewed members also demonstrated recall of the trained information.</p>	3
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Investment Management

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:</p> <p>Score 4 or else 0</p>	<p>The LG Water Office had an asset register with all point water sources in the District. It had been updated to include facilities implemented in the Financial Year 2022/2023 which included Wgenda tap in Bubyangu S/S, Haji Mafabi tap also in Bubyangu S/C, Wameli Borehole in Lukhonge S/C and Lwaboba Borehole in Busiu S/C among others.</p>	4
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDPIII) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p> <p>Score 4 or else score 0.</p>	<p>The LG conducted a desk appraisal for all Water Projects. There was an appraisal report dated 24th August 2022.</p>	4

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>1. The SAS for Busoba Sub County requested for a borehole on behalf of Bulusambu village in 2023/2024. Bulusambu village had been considered in 2023/2024 Financial Year</p> <p>2. The community of Lwaboba Muyekhe village applied for a borehole on 21st May 2020.</p> <p>3. The community of Nangano also applied for a borehole on 14th December 2023 among others.</p> <p>The above communities had been considered for borehole allocation in 2023/2024 Financial Year.</p>	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>The LG conducted a desk appraisal for all Water Projects. There was an appraisal report dated 14th August 2022.</p>	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>Screening for Environmental and Social risks/impacts had already been done by Assessment time.</p> <p>There was evidence of Environmental and Social screening reports and costed ESMPs for the current FY 2023/2024 water projects prepared and signed by the Environment Officer and CDO water.</p> <p>Screening report for the Rehabilitation of Matutu borehole at Matutu Village in Lukhonje Sun-county dated 10/07/2023 and costed ESMP at 500,000/=</p> <p>Screening report for the construction of Bufumbo-Bubyangu gravity flow scheme (phase III) in Bubyangu Sub-county dated 10/07/2023 costed at UGX. 45,000/=</p> <p>Screening report for the sitting, drilling pump testing and casting of Borehole at Makunda Village in Bunambutye Sub-county dated 18/08/2023 and costed ESMP at UGX. 250,000/=</p>	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>There was evidence that the WSS infrastructure investments for previous FY were incorporated in the LG procurement plan endorsed by CAO on 2nd Aug 2022; Sampled projects included;</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>		<p>Construction of new Bubyangu – Bufumbo GFS Phase III</p> <p>Rehabilitation of 15 boreholes in various sub counties in Mbale district.</p> <p>Siting, Drilling, Pump testing, Casting and Installation of 3 Boreholes.</p>	
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>There was evidence that the WSS infrastructure projects for the FY 2022/2023 were approved by the contracts committee before commencement as indicated below.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>		<p>Rehabilitation of 15 boreholes in various sub counties in Mbale district Ref: MBAL891/works/2022-2023/00009, approval of the evaluation report by the contracts committee was done Under Min:58.0Mbal/CC07/12/22-23 was done on 12th Dec 2022</p> <p>Siting, Drilling, Pump testing, Casting and Installation of 3 Boreholes. Ref: MBAL891/works/2022-2023/00008, approval of the evaluation report by the contracts committee was done Under Min:57.0Mbal/CC07/12/22-23 was done on 12th Dec 2022</p> <p>Construction of new Bubyangu – Bufumbo GFS Phase III. Ref: MBAL891/works/2022-2023/00007, approval of the evaluation report by the contracts committee was done Under Min:114.0Mbal/CC11/04/22-23 was done on 12th Dec 2022</p>	

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>There was evidence that the WSS infrastructure projects for the previous FY were approved by the contracts committee and appointment made by the CAO on 07th July 2022 before commencement of works as indicated below;</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>		<ul style="list-style-type: none"> • Wandera Simon Peter (DWO) • Wakube Charles (Environment) • Wanyira Robert (DE) • Kasawa Moses (CDO) • Makanga Ayaaya (COW) • Wamboba Siydra (LO) • Kakai Esther (Health Inspector) 	
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>The reinforced concrete tank constructed for Bufumbo - Bubyangu GFS was assessed and it was observed that the designs were not adhered to. For example the constructed tank had a circumference of 24.2m resulting in an overall diameter of 7.7m, this was short of 2.9m from the design diameter of 10.6m including the concrete walls of 0.3m.</p>	0
	<p><i>Maximum 14 points on this performance measure</i></p>			
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2</p>	<p>There was evidence that the relevant technical officers carry out technical supervision of WSS infrastructure projects. Below are the reports found on file showing supervision of WSS projects.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>		<p>Supervision Reports on Rehabilitation of 15 boreholes in various sub counties in Mbale district dated 31st Mar 2023, 27th April 2023,</p> <p>Supervision Reports on Siting, Drilling, Pump testing, Casting and Installation of 3 Boreholes dated 1st Mar 2023, 10th Mar 2023, 23rd Mar 2023, 30th Mar 2023, 14th April 2023 and 14th April 2023.</p> <p>Supervision Reports on Construction of new Bubyangu - Bufumbo GFS Phase III dated 31st Mar 2023; 27th April 2023 were found on file.</p>	

12	Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements	f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts	1. Voucher no. 6427045 for UGX 36,933,987 for rehabilitation of Budwale Gravity Flow Scheme, the contractor requested for payment on 12th June 2023 and payment was made on 28th June 2023. This was within the timeline of 2 months.	2
	<i>Maximum 14 points on this performance measure</i>	o If 100 % contracts paid on time: Score 2 o If not score 0	2. Voucher no. 6425913 for UGX 60,727,982 for Siting, Drilling and Installation of 3 boreholes, the contractor requested for payment on 30th May 2023 and the contractor was paid on 28th June 2023.	
12	Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements	g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:	The DLG had evidence of complete procurement file for water infrastructure investments as required by PPDA law;	2
	<i>Maximum 14 points on this performance measure</i>	Score 2, If not score 0	Rehabilitation of 15 boreholes in various sub counties in Mbale district Ref: MBAL891/works/2022-2023/00009, approval of the evaluation report by the contracts committee was done Under Min:58.0Mbal/CC07/12/22-23 was done on 12th Dec 2022. The contract was given to Biramin Technical Services Ltd and the contract was signed on 28th Dec 2022. Siting, Drilling, Pump testing, Casting and Installation of 3 Boreholes. Ref: MBAL891/works/2022-2023/00008, approval of the evaluation report by the contracts committee was done Under Min:57.0Mbal/CC07/12/22-23 was done on 12th Dec 2022. The contract was given to KLR Company (U) Ltd and the contract was signed on 28th Dec 2022 Construction of new Bubyangu - Bufumbo GFS Phase III. Ref: MBAL891/works/2022-2023/00007, approval of the evaluation report by the contracts committee was done Under Min:114.0Mbal/CC11/04/22-23 was done on 12th Dec 2022. The contract was given to Bana Enterprises Ltd and the contract was signed on 18th Jan 2023.	

Environment and Social Requirements

13	<p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p>	<p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p> <p>Score 3, If not score 0</p>	<p>There was no evidence of grievance log availed by the grievance focal person by assessment time.</p>	0
14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>There was evidence of water source and catchment guidelines availed by the DWO.</p> <p>There was evidence of minutes from a Social Mobilisers meetings held on 14/01/2023 at Mulukhu Hall were the DWO and Environment officer disseminated the guidelines to CDOs.</p>	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p>	<p>There was evidence of screening reports for the water projects implemented in the previous FY 2022/2023</p> <p>Screening report for the construction of Bufumbo-Bubyangu Gravity flow scheme dated 14/07/2022 signed by CDO water and SEO.</p> <p>Screening report for the construction of Pit latrine (three stance pit) in Busiu Sub-county at Bulusambu Rural Growth Centre dated 12/08/2022 signed by CDO water and SEO.</p> <p>Screening report for the sitting, drilling, pump testing and installation of Lwaboba Moyekhe borehole in Bisiu sub-county dated 8/08/2022 signed by the CDO water and SEO.</p> <p>Costed ESMPs were developed for only two projects that was;</p> <p>Construction of Pit latrine at Bulusambu Rural Growth Centre and Drilling and installation of borehole.</p> <p>However, there was no costed ESMP prepared for the construction of Bufumbo-Bubyangu Gravity flow scheme.</p>	0

15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 3, If not score 0</p>	<p>There was evidence that the LG had implemented WSS facilities on land with consent from the land owners. For example;</p> <ol style="list-style-type: none"> 1. On 25th October 2022, Mr. Mudoma Sadi of Matenze village in Bubyangu Sub County gave part of his land for installation of a community tap. 2. On 14th September 2022, Mr. Mugoya Mustafa gave part of his land for installation of a community tap. 3. On 26th April 2023, Mr. Bulayo James gave part of his land in Namama village for drilling and installation of a borehole 4. And on 14th January 2023, Mr. Mulekwa Nasuru of Bukikoso village in Bubyangu Sub County gave part of his land for installation of a community tap among others. 	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:</p> <p>Score 2, If not score 0</p>	<ol style="list-style-type: none"> 1. On the payment certificate for Siting, Drilling and Installation of 3 boreholes, the Environmental Officer and CDO signed on 30th May 2023 and payment was made on 28th June 2023. 2. On the payment certificate for Rehabilitation of Budwale GFS, the Environmental Officer and CDO both signed on 12th June 2023 and payment was made on 28th June 2023 3. On the payment certificate for Construction of Bufumbo - Bubyangu GFS, the Environment Office and CDO signed on 12th June 2023 and final payment was made on 28th June 2023. <p>The Environmental Officer and CDO both signed before contractors were paid.</p>	2

Safeguards in the
Delivery of Investments

*Maximum 10 points on
this performance
measure*

d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:

Score 2, If not score 0

There was no evidence of monthly supervision and monitoring reports.

There was a single report for each of the water projects.

Monitoring report for the construction of Bufumbo-Bubyangu Gravity flow scheme dated 18/05/2023 signed by CDO water and SEO.

Monitoring report for the construction of Pit latrine (three stance pit) in Busiu Sub-county at Bulusambu Rural Growth Centre dated 16/05/2023 signed by CDO water and SEO

Monitoring report for the sitting, drilling, pump testing and installation of Lwaboba Moyekhe borehole in Bisiu sub-county dated 16/05/2023 signed by the CDO water and SEO.

**Micro-scale
Irrigation
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries - score 2 or else 0</p>	<p>Mbale district had up to date data on irrigated land for the last two FYs disaggregated between microscale beneficiaries and non-beneficiaries as below</p> <p>FY 2021/20222</p> <p>microscale beneficiaries had 62.4 acres and non-beneficiaries where at 205.9 acres of land</p> <p>FY 2022/2023</p> <p>microscale beneficiaries had 42.5 acres and non-beneficiaries were at 248.4 acres of land as per report dated 20/08/2023.</p>	2
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>The area of irrigated land in Mbale was 205.9 acres in the FY 2021/2022 and 248.4 acres in the FY 2022/2023. There was an increase of 42.5 acres between the two financial years.</p> <p>Percentage increase = $(42.5/205.9)*100 = 20.6\%$</p>	2
2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%, score 4 • 60% - 70%, score 2 • Below 60%, score 0 	<p>The average performance in micro-scale irrigation for LLG performance was 100% (10/10)</p>	4

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0	The development component of micro scale irrigation grant (873,710,646/=)was used on eligible activities where; 652,314,510/= (75%) was used to procure micro scale irrigation equipment for 16 farmers who had co-funded as per Budget performance report dated 25/07/2023.	2
Maximum score 6				
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	There was evidence that the approved farmers signed acceptance forms confirming that equipment is working well, before the LG made payments to the suppliers (Payment Voucher no. 6420729, amount UGX. 179,975,700/= for each of the Lots).	1
Maximum score 6			There was lot 1 and lot 2 and; each lot consisted of 8 farmers.	
			Sampled three Farmers from lot 1;	
			Natti Stephen signed the acceptance form on 23/06/2023	
			Mukweli Stephen signed the acceptance form on 22/06/2023	
			Mutuwa Regina Mabongo signed the acceptance form on 20/06/2023.	
			Sampled three farmers from Lot 2;	
			Walikhe Joseph signed the acceptance form on 23/06/2023.	
			Mayengo Catherine signed the acceptance form on 22/06/2023.	
			Musungu Thomas Prove signed the acceptance form on 22/06/2023.	

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0	Farmers were organised and registered in two Lots (1 and 2) with each Lot consisting of 8 farmers.	1
	Maximum score 6		Considered Lot 1 contract to calculate the variation, that is;	
			Engineers estimate was 190,805,000/=	
			Contract price 179,975,700/=	
			percentage variation was 5.675%	
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY	The micro scale irrigation equipment where contracts were signed during the previous FY were installed and completed 100% within the previous FY. This was evidenced by completion certificate for Lot 1 farmers dated 24/06/2023. This was to recommend the contractor for payment for completion of works.	2
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0 		
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	a) Evidence that the LG has recruited LLG extension workers as per staffing structure	The LG had recruited LLG extension workers as per staffing structure.	0
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 • If 75 - 99% score 1 • If below 75% score 0 	The HRM staff list had approved 51 approved 51 and filled were 37 that is, $37/51*100 = 72.5\%$	
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF	Not Applicable, there was no standard design by MAAIF, Standard designs were provided for only phase 2 districts to be customized in establishment of the demonstration sites.	2
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 or else score 0 		

4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>b) Evidence that the installed micro-scale irrigation systems during last FY are functional</p> <ul style="list-style-type: none"> • If 100% are functional score 2 or else score 0 	<p>From the 3 sampled MSI beneficiaries,</p> <p>The micro scale irrigation equipment installed during the last FY was functional at the time of assessment.</p> <p>for example;</p> <p>Nakami Salika - Bukende S/C</p> <p>The suction hose was 6m long and there were 15 hydrants installed on 2.5 acres of land distributed 15m apart and 20m between the lines for efficient drag hose irrigation.</p> <p>There was a movable system of solar (1 PC-500w), and an overhead tank 5000 litres.</p> <p>The system was functional and was targeting horticulture</p>	2
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Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0</p>	<p>According to the sampled LLGs it was evident that the position of extension workers filled was accurate.</p> <p>Bumboi Sub County staff list had two extension workers Namutosi Fatuma Agriculture Officer and Katabi Adam Animal Production Officer.</p> <p>Lukhonge Sub County staff list had Mafabi Ronald Assistant Veterinary Officer and Bwayo Isaac Noel Agricultural Officer.</p> <p>Busiu Town Council staff list had Mwolabi Ritah Assistant Agriculture Officer and Dr. Kakala Isaac Veterinary Officer.</p> <p>The HRM and LLGs staff list all displayed the same information</p>	2
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Accuracy of reported information: The LG has reported accurate information

Maximum score 4

b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0

From the 3 sampled MSI beneficiaries,

The information on micro scale irrigation equipment installed and functionality was accurate at the time of assessment.

for example;

Nakami Salika-Bukende S/C

The suction hose was 6m long and there were 15 hydrants installed on 2.5 acres of land distributed 15m apart and 20m between the lines for efficient drag hose irrigation with the throw radius of 10 m.

There was a movable system of solar (1 PC-500w), and an overhead tank 5000 litres which was used as a reservoir to supply water to the 15 hydrants.

Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans

Maximum score 6

a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0

There Information was collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of Complementary services and farmer Expression of Interest for example;

During Quarter One report dated 21/08/2022

The irrigated land was 0 acres because no installations were done during this quarter

Therefore installed equipment functionality was Nil.

The budget for complementary services was 3,897,540/=

Awareness raising of local leaders where 30 people participated, and 29 farm visits were conducted.

During Quarter two report dated 16/01/2023

The irrigated land was 0 acres because no installations were done during this quarter

Therefore installed equipment functionality was Nil.

The budget for complementary services budget was 35,205,470/=

Awareness raising of local leaders where 36 people participated, and 24 farm visits were conducted.

During Quarter four report dated 25/07/2023

The irrigated land was 36 acres

There were 18 new installations and all reported functionality.

The budget for complementary services budget was 77,759,000/=

Awareness raising of local leaders were 46 people participated,

The EOI was 10 farmers all registered successfully.

6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p>	<p>The LG had entered up to date LLG information in MIS, where Expression of interest was 718 farmers with the last farmer registered as Wakuyili Joseph on 23/06/2023.</p>	1
<p>Maximum score 6</p>				
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0</p>	<p>The LG had prepared a quarterly report using information compiled from LLGs in the MIS as below</p>	1
<p>Maximum score 6</p>				
			<p>During Quarter report dated 21/08/2022</p>	
			<p>EOI was Zero and 29 farm visits were conducted.</p>	
			<p>During Quarter 2 report dated 16/01/2023</p>	
			<p>EOI was 30 and 24 farm visits were conducted.</p>	
			<p>During Quarter 3 report dated 25/04/2023</p>	
			<p>EOI was 10 and 6 farm visits were conducted.</p>	
			<p>During Quarter 4 report dated 25/07/2023</p>	
			<p>EOI was 10 registered successful.</p>	
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0</p>	<p>The LG had developed an approved Performance Improvement plan for the lowest performing LLGs dated 10/10/2022 where;</p>	1
<p>Maximum score 6</p>				
			<p>The underperformed LLGs pertaining EOIs (EOI less than 20 farmers) included</p>	
			<p>Bungokho S/C</p>	
			<p>Busiu T/C</p>	
			<p>Bukasakya S/C</p>	
			<p>Nambale S/C</p>	
			<p>Namabasa S/C</p>	
			<p>Bukande S/C</p>	

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	There was evidence of implementation of the Performance Improvement plan. The LG had developed a schedule for farm visits, for example on 1/02/2022 to Busiu T/C.	1
	Maximum score 6			

Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	The LG had Budgeted UGX 926.565.984 as per the approved budget 2023/2024 Vote 891 page 26 of 71.	1
	Maximum score 6			

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	ii Deployed extension workers as per guidelines score 1 or else 0	The LG had deployed Extension workers as per guidelines. The HRM staff list had 2 extension workers deployed in each LLG and this was evidenced at Bumboi Sub County, Lukhonge Sub County and Busia Town Council the staff list and attendance book displayed the same information.	1
	Maximum score 6			

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p> <p>Maximum score 6</p>	<p>b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0</p>	<p>There was sufficient evidence to show that extension workers are working in the LLGs where they were deployed.</p> <p>Bumboi Sub County had two extension workers Namutosi Fatuma Agriculture Officer and Katabi Adam Agriculture Production Officer.</p> <p>,</p> <p>Lukhonge Sub County had Mafabi Ronald Assistant Veterinary Officer and Bwayo Noel Agricultural Officer,,</p> <p>Busia Town Council had Mwolabi Ritah Assistant Agriculture Officer and Dr. Kakala Isaac Veterinary Officer.</p> <p>All their names appeared in both the staff lists and attendance books</p>	2
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p> <p>Maximum score 6</p>	<p>c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0</p>	<p>In all the sampled LLGs of Lukhonge S/C, Busia T/C and Bumboi S/C the deployment of extension workers had been displayed on the LLGs noticeboards</p>	2

Performance management: The LG has appraised, taken corrective action and trained Extension Workers

Maximum score 4

a) Evidence that the District Production Coordinator has:

i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0

There was evidence that the District Production Coordinator had appraised Extension workers against the agreed performance plans during financial year 2022/2023, for example;

1. Namukowa Benard Agriculture Officer was appraised by Mabala Elias Wambalo SAS on 30th June, 2023.

2. Salome Nalukoye Agriculture Officer was appraised by Wamuwaya Geoffrey SAS on 30th June, 2023.

3. Namutosi Fatuma Agriculture Office was appraised by Masaba Tom SAS on 30th June, 2023.

4. Wamimbi Andrew Agriculture Officer was appraised by Nandaah Welishe SAS on 30th June, 2023.

5. Pande Fred Assistant Fisheries Officer was appraised by Okoche Joseph DPO on 30th June, 2023.

6. Nambobi Kalich Mugudwa Assistant Veterinary Officer appraised by Wamono Andrew SAS on 30th June, 2023.

7. Nagimesi Derick Assistant Veterinary Officer was appraised by Nabukwasi Betty SAS on 30th June, 2023.

8. Mushikoma Martin Assistant Veterinary Officer was appraised by Wamuwaya Geoffrey SAS on 30th June, 2023.

9. Adongo Laura Lydia Veterinary Officer was appraised by Wakhawza Frank SAS on 29th June, 2023.

10. Wabwoba Fred Veterinary Officer was appraised by Kinagia Dorothy SAS on 30th June, 2023.

11. Onyango Fred Assistant Veterinary Officer was appraised by Katabi Adam Production Officer on 29th June, 2023.

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	a) Evidence that the District Production Coordinator has; Taken corrective actions: Score 1 or else 0	According to the appraisal corrective actions were taken like training farmers in modern farming practices, vaccination of all Livestock and poultry, training farmers and this was evidenced in the activity report as per 30th September - 3rd October, 2023	1
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	b) Evidence that: i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0	There was capacity building on the Micro scale irrigation programme report dated 28/09/2022 where 15 people participated.	1
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	ii Evidence that training activities were documented in the training database: Score 1 or else 0	The training activities were not documented in the training database at the time of assessment 2022/2023	0

Management, Monitoring and Supervision of Services.

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10	a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0	The Local Government appropriately allocated the micro sale irrigation grant as follows; Total Budget stood at UGX. 526,622,856/= FY 2023/2024 Capital development (Microscale irrigation equipment) was UGX. 394,967,142 (75% of the total budget) Operational budget (complementary services) was UGX. 131,655,714 (25% of the total budget) Complimentary services comprised enhancing of farmer capacity 75% and complementary services 25% Budget for enhancing farmer capacity was UGX. 98,741,786 Budget for complementary services was UGX. 32,913,929	2
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9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	<p>The budget allocations made towards complementary services were not in line with sector guidelines.</p> <p>Budget for complementary services was UGX. 32,913,929</p> <p>Under complementary services, there was awareness of local leaders 15% and procurement monitoring and supervision at 10%</p> <p>Budget for awareness of local leaders was UGX. 19,748,357. This was not as per current budget 2023/2024 (The LG budget indicated 15% as UGX. 14,000,000)</p> <p>Budget for Procurement monitoring and supervision was UGX. 13,165,572. This was not as per current budget 2023/2024 (The LG budget indicated 10% as UGX. 13,165,572)</p> <p>The budget for Enhancing farmer capacity for uptake of microscale irrigation was UGX. 98,741,786</p>	0
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>There was evidence that co-funding was reflected in the LG current FY 2023/2024 budget as UGX. 131,655,714 (farmers contribution to capital development)</p>	2
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>From budget performance report for previous FY 2022/2023, page 48 approved by CAO on 13th August 2023,</p> <p>Amount spent on supply and installation of 16 MSI equipment was UGX 685,793,676</p> <p>Amount raised from farmer co-funding was UGX 171,448,419 representing 25% as per the guidelines</p>	2
Maximum score 10				

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>There was evidence of communication to beneficiary farmers about co-funding figures under micro scale programme as per report dated 17/04/2023.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 <p>Less than 70% score 0</p>	<p>The DPO had monitored the installed micro- scale irrigation equipment quarterly other than monthly as required.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>There was evidence that every farmer was trained and shown components, connections, operation and maintenance procedures.</p> <p>for example;</p> <p>Natti Stephen was trained on 20/06/2023 in Lot 1.</p> <p>Welikhe Joseph trained on 21/06/2023 in Lot 2.</p> <p>This was achieved during the installation of the equipment.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0</p>	<p>There was a capacity building report of extension workers on Agriculture extension services and production practices held on 12/04/2023, where 25 people participated.</p>	2

10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0</p>	<p>The LG had established and run 5 farmer field schools as per the report dated 30/03/2023.</p> <p>that is;</p> <ul style="list-style-type: none"> • Lwasso FFS • Bukiende FFS • Busano FFS • Lukhonje FFS • Namabasa FFS <p>The technical staff had carried out supervision to backstop the field facilitators for smooth farmer field school methodology implementation.</p> <p>Farmers were also trained on proper nursery siting for nursery bed establishment and reasons for using nursery beds, 941 farmers participated from 16 sub counties.</p>	2
11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0</p>	<p>The LG had conducted activities to mobilise farmers, evidences as below;</p> <p>There was a farmer field day held on 24/06/2023 at Mweru Joshua Farm in Jewa Town Council where 130 farmers participated.</p> <p>The district had also prepared for a radio talk show which was done weekly on open gate FM, for example radio talk shows conducted on 6/6/2023,16/05/2023,18/04/2023.</p>	2
11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0</p>	<p>There was a field day held on 22/12/2022 at Grace Namara farm where 136 people participated. This involved staff and political leaders like RDC, City Mayor-Mbale city, City clerk, City Executive Committee Members, District Internal Security Officer, District executive committee members and many others.</p>	2

Investment Management

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0</p>	<p>The LG had an updated inventory of micro-scale irrigation equipment supplied to farmers in the previous FY dated 21/AUG./2023 for example, From the 3 sampled beneficiary farmers;</p>	2
	<p>Maximum score 8</p>		<p>MUKWERI STEPHEN.</p>	
			<p>Received: Drag hose with hand sprayer, solar panel (2pcs each 200 watts), 5000 litre plastic tank, metallic tank stand, and a metallic platform for solar panels which was as per the register.</p>	
			<p>MASIBO CLARE</p>	
			<p>Received: Drag hose with hand sprayer, solar panel(1pcs each 5000 watts)and a surface pump,5000 litre plastic tank, metallic tank stand, and a metallic platform for solar panels which was as per the register.</p>	
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p>	<p>The LG kept an up-to—date database of applications at the time of the assessment where for example Masibo Clare from Bukhiede Subcounty was registered on 20/10/2022 and Wakinyile Julius from Namabasa Sub county was registered on 2/10/2023 as per the database.</p>	2
	<p>Maximum score 8</p>			
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>There was a farm visit report dated 22/12/2022 to Bungokho Sub county which was intended to confirm the site designs, Environmental safeguard screening and follow up on sites under installation, farmer readiness for co-payment.</p>	2
	<p>Maximum score 8</p>			
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>The District Agriculture Engineer had publicized the eligible farmers that had been approved on the District noticeboard although it was not dated. However in the sampled LLGs of Bomboi S/C, Lukhonge S/C and Busiu T/C the District Agriculture Engineer had not publicized the eligible farmers.</p>	0
	<p>Maximum score 8</p>			

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>There was evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan endorsed by the CAO on approved on 09th Aug 2023 for the current FY stated as;</p>	1
	<p>Maximum score 18</p>		<p>Procurement and Installation of micro scale irrigation equipment for Demonstration sites.</p>	
			<p>UgiFT Procurement and Installation of micro scale irrigation equipment.</p>	
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>There was evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Request was made on 28th April 2023 inviting firms that were sent to the LG through a letter dated 25th May 2021.</p>	2
	<p>Maximum score 18</p>		<ul style="list-style-type: none"> • Nec Agro SMC Ltd • Karf Aqua Engineering Solutions Ltd • Davis and Shirtliff • Innovation Africa Ltd • Grow more seeds and Chemicals Ltd. 	
			<p>The Local Government was given a go ahead to use the same firms that were prequalified in FY 2021/22 through an email from MAAIF titled Advance Notice for the extension of the tenure of pre-qualified irrigation equipment suppliers for the microscale irrigation on 24th Nov 2022.</p>	

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0</p>	<p>There was evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria. I.e.; in the evaluation report, technical compliance selection Methodology was used i.e. screening through the:</p> <ul style="list-style-type: none"> • Preliminary stage • Detailed Evaluation • Financial comparison 	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0</p>	<p>There was evidence that the micro-scale irrigation systems were approved by the Contracts Committee. Below were the approved projects.</p> <p>Proc Ref: Mbal801/wrks/2022-2023/00026 Lot 1 supply and installation of microscale irrigation system for 8 sites. approval of evaluation Report was on 14th May 2023 Under MIN:137.0Mbal/CC14/05/22-23</p> <p>Proc Ref: Mbal801/wrks/2022-2023/00026 Lot 2 supply and installation of microscale irrigation system for 8 sites. approval of evaluation Report was on 14th May 2023 Under MIN:138.0Mbal/CC14/05/22-23</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0</p>	<p>Five prequalified firms were invited to place in their quotations but only one firm responded hence being the only firm that was evaluated and on 30th May 2023 signed a contract to carry on microscale irrigation works for FY 2022/2023.</p>	2

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	The Senior Agricultural Engineer did not provide me with the design output sheet to compare with the installed microscale irrigation equipment at the time of assessment 2022/2023.	2
	Maximum score 18			
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0	Technical supervision of micro-scale irrigation projects by the relevant technical officers was conducted quarterly.	2
	Maximum score 18		For example; There was a site monitoring and supervision report in Nakaloke Subcounty dated 10/08/2022 and it was noted that, there was a faulty pump, the suction pipe was missing and the solar panel mounting structure was also missing at Karungi Doreen Gesa-farmer.	
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	h) Evidence that the LG has overseen the irrigation equipment supplier during: i. Testing the functionality of the installed equipment: Score 1 or else 0	The LG had overseen the irrigation equipment supplier during testing the functionality of the installed equipment as evidenced by the verification report for sites; Mutuwa Regina, Natti Steven, Mabonga Mike dated 10/06/2023 with Field photos on page 4 to page 6.	1
	Maximum score 18			
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	The LG had overseen the irrigation equipment supplier during hand-over of the equipment to the Approved Farmer for example there was a delivery Note and a goods received note dated 14/06/2023 from KARF AQUA ENGINEERING SOLUTIONS.	1
	Maximum score 18			

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	1. Voucher no. 6420729 of UGX 179,975,700 for supply and installation of irrigation equipment, the supplier requested for payment on 14th June 2023 and payment was made on 28th June 2023. This was within the timeline	2
	Maximum score 18		2. Voucher no. 6420729 of UGX 179,285,400 for supply and installation of irrigation equipment, the supplier requested for payment on 14th June 2023 and payment was made on 28th June 2023. This was within the timeline	

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	There was evidence that the LG has a complete procurement file for each contract and with oil records required by the PPDA Law	2
	Maximum score 18		Proc Ref: Mbal801/wrks/2022-2023/00026 Lot 1 supply and installation of microscale irrigation system for 8 sites. approval of evaluation Report was on 14th May 2023 Under MIN:137.0Mbal/CC14/05/22-23, The contract was awarded to Karf Aqua Engineering solutions and agreement signed on 30th May 2023.	
			Proc Ref: Mbal801/wrks/2022-2023/00026 Lot 2 supply and installation of microscale irrigation system for 8 sites. approval of evaluation Report was on 14th May 2023 Under MIN:138.0Mbal/CC14/05/22-23, The contract was awarded to Karf Aqua Engineering solutions and agreement signed on 30th May 2023.	

Environment and Social Safeguards

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0	There was evidence of the grievance redress mechanism (GRM) displayed on the production department notice board but was not dated.	2
	Maximum score 6			

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p>	<p>b) Micro-scale irrigation grievances have been:</p> <ul style="list-style-type: none"> i). Recorded score 1 or else 0 ii). Investigated score 1 or else 0 iii). Responded to score 1 or else 0 iv). Reported on in line with LG grievance redress framework score 1 or else 0 	<p>There were grievances from implementation of Micro scale irrigation projects however none was recorded. The Department of production claimed that they submitted a log grievance to the grievance focal person However, evidence was not availed.</p> <p>The grievances included;</p> <ul style="list-style-type: none"> - Delayed installation of equipment yet payment was made early. - Complaint the community from converting bore hole to a submersible pump in Bungokho Sub County. - Breakdown of equipment on irrigation sites and delayed response by technicians - poor installations <p>However, all the above grievances were by word of mouth from production officer and there was no evidence in form of documentation.</p> <p>The grievance redress mechanism/ complaints referral path was clearly defined.</p> <p>There was no complaint reported according to the availed grievance redress system.</p>	0
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p>	<p>b) Micro-scale irrigation grievances have been:</p> <ul style="list-style-type: none"> ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0 	<p>No evidence was provided to show that the grievances in the Production department identified in (i) above were investigated</p>	0
	<p>Maximum score 6</p>			

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>No evidence was provided to show that the grievances in the Production department identified in part (i) above had been responded to.</p>	0
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14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>No evidence to show that the grievances in the Production department in (i) abover had been reported in the grievance redress framework.</p>	0
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Environment and Social Requirements

15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.</p> <p>score 2 or else 0</p>	<p>The LG had disseminated Micro-irrigation guidelines which was achieved as evidenced from an awareness raising report dated 22/12/2022 and MoUs between LGs and beneficiary farmers were also signed.</p>	2
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15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.</p> <p>i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0</p>	<p>The costed ESMPs for the Microscale Irrigation projects implemented in the previous FY 2022/2023 were not incorporated in their respective BOQs.</p>	0
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Safeguards in the delivery of investments

Maximum score 6

ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0

There was evidence of monthly supervision and monitoring reports availed for the Microscale irrigation projects implemented in FY 2022/2023.

Only a single monitoring report was availed for each irrigation project signed by the SEO and CDO.

Monitoring report for Nakami Salika Microscale irrigation scheme dated 15/07/2023 developed after the environmental and social compliance certificate dated 23/06/2023.

Monitoring report for Masiboclare Microscale irrigation scheme dated 15/07/2023 developed after the environmental and social compliance certificate dated 23/06/2023.

Monitoring report for Mukweli Stephen Microscale irrigation scheme dated 20/07/2023 developed after the environmental and social compliance certificate dated 23/06/2023.

15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	There was evidence of Environmental and Social Certificates for the Micro scale irrigation projects availed and signed by the Senior Environment Officer and CDO.	1
			Environmental and Social Compliance Certificate for Nakami Salika Microscale Irrigation Scheme dated 23/06/2023.	
			Contractor paid on 28/06/2023	
			Environmental and Social Compliance Certificate for Masibo Clare Microscale Irrigation Scheme dated 23/06/2023.	
			Contractor paid on 28/06/2023	
			Environmental and Social Compliance Certificate for Mukweli Stephen Microscale Irrigation Scheme dated 23/06/2023.	
			Contractor paid on 28/06/2023	
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	There was evidence of Environmental and Social Certificates for the Micro scale irrigation projects availed and signed by the Senior Environment Officer and CDO.	1
			Environmental and Social Compliance Certificate for Nakami Salika Microscale Irrigation Scheme dated 23/06/2023.	
			Contractor paid on 28/06/2023	
			Environmental and Social Compliance Certificate for Masibo Clare Microscale Irrigation Scheme dated 23/06/2023.	
			Contractor paid on 28/06/2023	
			Environmental and Social Compliance Certificate for Mukweli Stephen Microscale Irrigation Scheme dated 23/06/2023.	
			Contractor paid on 28/06/2023	

**Crosscutting Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	The District had substantively recruited Mr. Wamanya Kenneth as the Chief Finance Officer on 30th June, 2020 as was directed by the DSC Minute No. 6(d) June 17 /2020, endorsed by Ekachelan Esau the CAO.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	Mr. Waniale Abdallah Magomu was substantively appointed as the District Planner on September 16th , 2019 as directed by the DSC Minute No. 035/2019, signed by the CAO Mr. Ekachelan Esau.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	This position was vacant at the time of assessment. There was no evidence from the HRM division for a seconded staff from the central government. However, Mr. Wenyira R. Nasimolo substantively a Senior Civil Engineer was appointed in acting capacity as a District Engineer on 10th October 2018as through letter reference CR/156/2, signed by the CAO Mr. Ekachelan Esau.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	Mr. Opusi Joseph was substantively appointed as the District Natural Resource Officer on January 4th , 2018 as was directed by the DSC Minute No. 202/2017(a), signed by Adongo Roseline Luhoni the CAO.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	Mr. Wanakina George Davidson was substantively appointed as the District Production Officer on December 15th , 2014 as was directed by the DSC Minute No. 63/2014(b), signed by the CAO Mr. Ben Otim Ogwette.	3

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	Ms. Mutonyi Meresi was substantively appointed as a District Community Development Officer on 2nd November, 2021 as was directed by the DSC Minute No. 07/Oct/11/2021, signed by the CAO Lucy Frances Amulen	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	This position was vacant at the time of assessment. There was no evidence from the HRM division for a seconded staff from the central government. However, Ms. Shimiyu Irene Buteme the substantively a Principal Commercial Officer, was assigned duties of a District Commercial Officer on 20th September, 2023 through letter reference CR/163/2, signed by the CAO Lucy Frances Amulen.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	Mr. Ariko Samuel was substantively appointed as a Senior Procurement Officer on 25th July, 2012 as was directed by the DSC Minute No. 32/2012(b), signed by the CAO Mr. Ben Otim.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	This position was vacant at the time of assessment. The procurement Officer had been transferred to Sironko DLG in July 2023. The LG had submitted to the DSC though not acted upon.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	Mr. Wabomba Anthony was substantively appointed as a Principal Human Resource Officer on 24th March, 2014 as was directed by the DSC Minute No. 11/2014, signed by the CAO Lucy Ntimba Edmond Ag. CAO.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	Ms. Nakayenze Anna was substantively appointed as a Senior Environment Officer on 20th September, 2005 as was directed by the DSC Minute No. 103/2005, signed by the CAO Ouma Stephen.	2

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	k. Senior Land Management Officer /Physical Planner, score 2 or else 0	Mr. Kigaye Emmanuel Paul was substantively appointed a Senior Land Management District C0mmunity Development Officer on 15th June, 2016 as was directed by the DSC Minute No. 064, 2016, signed by the CAO Mr. Walakira Paul.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	l. A Senior Accountant, score 2 or else 0	The District had substantively appointed Ms. Wasagali Oliver as a senior Accountant on June 15th, 2016 as was directed by the DSC Minute No. 057/2016(i), signed by the CAO Mr. Walakira Paul.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0	The District had substantively appointed Mr. Mayoka Watasa as a Principal Internal Auditor on October 19th , 2018 as was directed by the DSC Minute No. 118/2018, signed by the CAO Mr. Ekachelan Esau.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0	The District had substantively appointed Mr. Wambi Francis as a Principal Human Resource Officer on September 16th , 2019 as was directed by the DSC Minute No. 044/2019, signed by the CAO Mr. Ekachelan Esau.	2
2	New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG Maximum score is 15	a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).	The LG had 17 LLGs which were constituted of three Town Councils and fifteen Sub Counties. The District appointed 16 substantive Senior Assistant Secretaries as follows; 1.Mutuwa Reginah Mabonga was appointed a Senior Assistant Secretary on 16th December, 2021 as directed by the DSC Minute No. 3(c) Dec 8/2021, signed by the CAO Lucy Frances Amulen 2. Wamono Andrew was appointed a Senior Assistant Secretary on 24th September, 2019 as directed by the DSC Minute No. 050/2019, signed by the CAO Ekachelan Esau. 3. Mukobera Hamza was appointed a Senior Assistant Secretary on 1st February, 2018 as directed by the DSC Minute No. 192/2017(a), signed by the CAO Adongo Roseline Luhon.	0

4. Mwaka Isaac Fred Wakama was appointed a Senior Assistant Secretary on 1st February, 2018 as directed by the DSC Minute No. 192/2017(a)3, signed by the CAO Ms. Adongo Roseline Luhoni.

5. Nabulwala Catherine was appointed a Senior Assistant Secretary on 14th April 2023 as directed by the DSC Minute No. 05(a) March, 28/2023(ii), signed by the CAO Lucy Frances Amulen.

6. Nandaah Welisho Martin was appointed a Senior Assistant Secretary on 30th June, 2020 as was directed by the DSC Minute No. 6(e) June 17/2020(i), signed by the CAO Mr. Ekachelam Esau.

7. Wambalo Mabala Elias was appointed a Senior Assistant Secretary on 30th June, 2020 as directed by the DSC Minute No. 6(e) June 17th, 2020(i) signed by the CAO Ekachla Esau.

8. Ms. Kinaga Dorothy was appointed as a Senior Assistant Secretary as directed by the DSC Min No. 52/2010(a), signed by the CAO Mr. Maweje Andrew.

9. Negesa Samali was appointed a Senior Assistant Secretary on 17th April, 2012 as directed by the DSC Minute No. 9/2012(a), signed by Maweje Andrew the CAO.

10. Wakhainza Ismail was appointed a Senior Assistant Secretary on 1st February, 2017 as directed by the DSC Minute No. 032/2017(z), signed by Adongo Roseline Luhoni the CAO.

11. Masaba Dally Stevens was appointed a Senior Assistant Secretary on 15th June, 2016 as directed by the DSC Minute No. 15, 2016, signed by Walakira Paul the CAO

12. Masaba Tom was appointed a Senior Assistant Secretary on 15th June, 2012 as directed by the DSC Minute No. 26/2012, signed by Wamburu David the CAO.

13. Nelima Grace was appointed a Principal Township Officer on 4th April, 2023 as directed by the DSC Minute No. 05(a) March 28 /2023(i), signed by Lucy Francis Amulen the CAO

14. Betty Nabukwasi was appointed on 20th December, 2012 as directed by the DSC

Minute No.43/2012, signed by the CAO Ben Otim Ogwette.

15. Geoffrey Wamwaya was appointed a Senior Assistant Secretary on 20th December, 2012 as directed by the DSC Minute No. 43/2012, signed by the CAO Ben Otim Ogwette.

16. Janan Caleb Makokha was appointed as a Senior Assistant Secretary on 20th December, 2012 as directed by the DSC Minute No.43/2012, signed by the CAO Ben Otim Ogwette.

2

New Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

The LG had appointed 17 substantive Community Development Officers in all the LLGs as follows;

1. Gidobo William was appointed as a Senior Community Development Officer on 1st March 2022 as was directed by the DSC Minute No. 04(b) Jan 24/2022(ii), signed by the CAO Lucy Frances Amulen

2. Wakooli Babra was appointed as a Senior Community Development Officer on 19th July 2016 as was directed by the DSC Minute No 129/2016, signed by the CAO Walakira Paul.

3. Nabuuma Betty was appointed as a Community Development Officer on 18th February 2018 as was directed by the DSC Minute No 16/2018(a), signed by the CAO Ben Otim Ogwette.

4. Kasaka Kana was appointed as a Senior Community Development Officer on 19th July 2016 as was directed by the DSC Minute No 125/2016(z), signed by the CAO Walakira Paul.

5. Wakachi Sulait was appointed as a Community Development Officer on 17th May 2021 as was directed by the DSC Minute No. 04(a) March 15/2021(iii), signed by the CAO Loyce Joyce Namboze.

6. Ms. Wetaya Dorothy was appointed as a Community Development Officer on 20th February 2013 as was directed by the DSC Minute No 129/2016, signed by the CAO Walakira.

7. Kamira Rogers was

5

substantively appointed as a Community Development Officer on 9th February, 2017 as was directed by the DSC Minute No.022/2017(3), signed by the CAO Ms. Adongo Roseline Luhoni.

8. Ms. Mukimbe Suzan was appointed as a Community Development Officer on 23rd May, 2018 as was directed by the DSC Minute No 014/2018, signed by the CAO Ms. Adongo Roseline Luhoni.

9. Gudozi Zaina was appointed as a Community Development Officer on 1st April 2022 as was directed by the DSC Minute No 05a/Feb25/2022(vi), signed by the CAO Lucy Frances Amulen.

10. Ms. Namarome Tabitha Kaamu was appointed as a Community Development Officer on 1st April, 2022 as was directed by the DSC Minute No. 05a/Feb 25/2022(vi), signed by the CAO Lucy Frances Amulen.

11. Ms. Bisikwa Amalia was appointed as a Community Development Officer on 1st April, 2022 as was directed by the DSC Minute No, 05a/Feb25/2022(vi), signed by the CAO Lucy Frances Amulen.

12. Mr. Kassawa Moses was appointed as a Community Development Officer on 9th February, 2017 as was directed by the DSC Minute No 022/2017(4), signed by the CAO Ms. Adongo Roseline Luhoni.

13. Ms. Kharo Doreen was appointed as a Community Development Officer on 1st April 2022 as was directed by the DSC Minute No. 05a/Feb 25/2022(vi), signed by the CAO Lucy Frances Amulen.

14. Olowo Hatim was appointed as a Community Development Officer on 1st April, 2022 as was directed by the DSC Minute No 05a/Feb 25/2022(vi) signed by the CAO Lucy Frances Amulen.

15. Ms, Namutosi Esther was appointed as a Senior Community Development Officer on 19th July 2016 as was directed by the DSC Minute No 192/2017(c), signed by the CAO Adongo Roseline Luhoni.

16. Ms. Wandeka Jacqueline was appointed as a Community

Development Officer on 1st Feb, 2018 as was directed by the DSC Minute No 192/20167(c), signed by the CAO Ms. Adongo Roseline Luhoni.

17. Mr. Mwolohi Loab Wandab was appointed as a Community Development Officer on 23rd May, 2018 as was DSC Minute No.014/2018, signed by the CAO Ms. Adongo Roseline Luhoni.

2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

The District had appointed 17 substantive Senior Accountants Assistant in all LLGs, for instance;

1. Mukwana Erisa was appointed as an Accounts Assistant on March 5th , 2020 as was directed by the DSC Mite No. 03(d) Nov 2/2020, signed by Ms Loyce Joyce Nambooze.

2. Mukombe Samuel was appointed as a Senior Accounts Assistant as was directed by the DSC Minute No. 03(d) Nov 2/2020 (i), signed by the CAO Loyce Joyce Nambooze.

3. Mr. Abura Joshua was appointed as a Senior Accounts Assistant on 9th December, 2020 as was directed by the DSC Minute No. 03(d) Nov 2/2020(i), signed by the CAO Ms. Loyce Joyce Nambooze.

4. Mr. Nabende Fredrick Mulondo was appointed as a Senior Accounts Assistant on 9th Dec, 2020 as was directed by the DSC Minute No. 03(d) Nov 2/2020(i), signed by the CAO Ms.Loyce Joyce Nambozo.

5. Mr. Natubu N. Fred was appointed as a Senior Accounts Assistant on 9th Dec, 2020 as was directed by the DSC Minute No. 03(d) Nov 2/2020(i), signed by the CAO Ms. Loyce Joyce Nambozo.

6. Mr. Wamududa Bosco was appointed as a Senior Accounts Assistant on 9th Dec, 2020 as was directed by the DSC Minute No. 03(d) Nov 2/2020(i), signed by the CAO Ms. Loyce Joyce Nambozo.

7. Mr. Haumba Joseph was appointed as a Senior Accounts Assistant on 9th Dec, 2020 as was directed by the DSC Minute No. 03(d) Nov 2/2020(i), signed by the CAO Ms.Loyce Joyce Nambozo.

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8. Ms. Munialo Agnes was appointed as a Senior Accounts Assistant on 30th June, 2020 as was directed by the DSC Minute No. 6(e) June 17 /2020(iii), signed by the CAO Mr. Ekachelan Esau.

9. Mr. Wandwasi Peter was appointed as a Senior Accounts Assistant on 9th Dec, 2020 as was directed by the DSC Minute No. 03(d) Nov 2/2020(i), signed by the CAO Ms.Loyce Joyce Nambozo.

10. Mr. Woluba Patrick was appointed as a Senior Accounts Assistant on 1st April, 2022 as was directed by the DSC Minute No. 05 February 25/2022(viii), signed by the CAO Mr. Lucy Francis Amulen.

11. Mr. Foozi Kasim was appointed as a Senior Accounts Assistant on 1st April, 2022 as was directed by the DSC Minute No. 05a Feb 22/2020(viii), signed by the CAO Mr. Lucy Frances Amulen.

12. Alele Dillis Gertrude was appointed as a Senior Accounts Assistant on 1st February, 2022 as was directed by the DSC Minute No. 05a/Feb 25/2022 (viii), signed by the CAO Mr. Lucy Frances Amulen.

13. Mr. Gisujje Thomas was substantively appointed as a Senior Accounts Assistant on 30th June, 2020 as was directed by the DSC Minute No. 6(e) June 17/2020(iii), signed by Ekechelah ESau the CAO.

14, Ms. Namutosi Sylvia was appointed as a Senior Town treasurer on 22nd February, 2019 as was directed by the DSC Minute No. 009/2019, signed by the CAO Mr. Ekachelan Esau.

15. Ogwang Grace was appointed as a Senior Accounts Assistant on 9th December,2020 as was directed by the DSC Minute No. 3(d) Nov 2/2020(i), signed by Loyce Joyce Nambozo.

16.Nabukenya Brenda was appointed aappointed a Senior Accounts Assistant on 1st April, 2022 as was directed by the DSC Minute No. 05a/Feb 25/2022 v(iii), signed by the CAO Lucy Francis Amuren.

17. Namenzeri Isaac was appointed as a Senior Accounts Assistant on 1st May, 2022 as was directed by the DSC Minute No.

Environment and Social Requirements

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY. Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to: a. Natural Resources department, score 2 or else 0	There was evidence that the LG had released 100% of funds allocated in the previous FY 2022/23 to Natural Resources department. Budget was 460,979,766; Warranted was 370,683,792 while Spent was 370,683,792 bringing Percentage Expenditure to 100%	2
3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY. Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to: b. Community Based Services department. score 2 or else 0.	There was evidence that the LG had released 100% of funds allocated in the previous FY 2022/23 to Community Based Services. Budget was 328,997,766; Warranted was 370,683,792 while Spent 370,683,792 bringing Percentage Expenditure=100%	2
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works. Maximum score is 12	a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0	There was evidence of Environment, social and climate change screening reports for the DDEG financed projects implemented in the previous FY 2022/2023. Screening report for the construction of five-stance lined pit latrine at Jewa Primary School dated 15/08/2022 by CDO and Senior Environment Officer (SEO) Screening report for the construction of the five-stance lined pit latrine at Nabumali Primary School dated 22/08/2022 signed by SEO and CDO Screening report for the construction of OPD, Sanitary facility with washrooms, ash pit and Incinerator at Kama HCIII (new Health facility) in Bufumbo Sub-county dated 15/08/2022 signed by the CDO and SEO	4

4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),	The construction of 2 five-stance lined pit latrines in two primary schools never required preparation of full ESIs since they lie under part 2 of Schedule 4 of the National Environment Act no. 5 of 2019 thereby requiring only ESMPs.	0
	Maximum score is 12	score 4 or 0	The construction of the OPD, Sanitary facility with washrooms, ash pit and Incinerator at Kama HCIII (new Health facility) in Bufumbo Sub-county required preparation of an Environmental and Social Project Brief (ESPB) and submit it to NEMA for review and approval since the project lies under Part 1 of Schedule 4 of the National Environment Act No. 5 of 2019.	
			There was no evidence of the Environmental and Social Project Brief for the construction of OPD, Sanitary facility with washrooms, ash pit and Incinerator at Kama HCIII (new Health facility) in Bufumbo Sub-county	
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;	There was evidence of Costed ESMPs for the DDEG financed projects implemented in the previous FY 2022/2023.	4
	Maximum score is 12	score 4 or 0	ESMP for the construction of five-stance lined pit latrine at Jewa Primary School dated 22/08/2022 costed at UGX. 750,000/= and signed by CDO and Senior Environment Officer (SEO)	
			ESMP for the construction of the five-stance lined pit latrine at Nabumali Primary School dated 26/08/2022, costed at UGX. 650,000/= and signed by SEO and CDO	
			ESMP for the construction of OPD, Sanitary facility with washrooms, ash pit and Incinerator at Kama HCIII (new Health facility) in Bufumbo Sub-county dated 22/08/2022, costed at UGX. 1,650,000/= and signed by the CDO and SEO	

Financial management and reporting

5	<p>Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.</p> <p>Maximum score is 10</p>	<p>If a LG has a clean audit opinion, score 10;</p> <p>If a LG has a qualified audit opinion, score 5</p> <p>If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0</p>	<p>Mbale DLG had an un-qualified audit opinion for the FY 2022/2023</p>	10
6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p> <p>maximum score is 10</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p> <p>score 10 or else 0.</p>	<p>There was evidence that the LG had provided information to PS/ST on the status of implementation of Auditor General findings for the previous but one FY 2021/2022 on 30th March 2023 as per acknowledgement stamp. This was outside the timeline of end of February.</p> <p>There was evidence that the LG had provided information to PS/ST on the status of implementation of Internal Auditor General findings FY2021/2022 on 15th December 2022 as per acknowledgement Stamp</p>	0
7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p> <p>Maximum Score 4</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p> <p>score 4 or else 0.</p>	<p>The LG had Submitted Annual Performance Contract FY2023/2024 on 28th July 2023 as per acknowledgement stamp</p>	4
8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The LG had Submitted the Annual Performance Report for FY 2022/2023 on 31st July 2023</p>	4

Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year

Maximum score is 4

If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,

score 4 or else 0.

The LG had Submitted 1st Quarter Budget Performance Report FY 2022/2023 on 23rd December 2023

The LG had Submitted 2nd Quarter Budget Performance Report FY 2022/2023 on 7th February 2023

The LG had Submitted 3rd Quarter Budget Performance Report FY 2022/2023 on 5th May 2023

The LG had Submitted 4th Quarter Budget Performance Report FY 2022/2023 on 31st July 2023

**Education Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	Ms. Musungu Lydia Constance was substantively appointed as a District Education Officer on 4th April, 2023 as directed by the DSC Minute No. 058(b) March 20/2023(i), signed by the CAO Lucy Frances Amulen.	30
<i>The Maximum Score of 70</i>				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	b) All District/Municipal Inspector of Schools, score 40 or else 0.	<p>The District had 2 inspectors of schools and all were appointed substantively.</p> <p>1. Ms. Watenga Kharunda Sarah Anne head teacher was substantively appointed as an Inspector of School on 17th May, 2021 as directed by the DSC Minute No. 04(a) March 15/2021(v), signed by Loyce Joyce Nambozo the CAO.</p> <p>2. Mr. Walufu Asadi head teacher was appointed as an Inspector of Schools on 17th May, 2021 as directed by the DSC Minute NO.04(a) March 15/2021(I), signed by the CAO Loyce Joyce Nambozo.</p>	40
<i>The Maximum Score of 70</i>				
Environment and Social Requirements				
2	Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>There was evidence in the form of Environmental, Social and Climate change screening reports for the Education project implemented in the previous FY 2022/2023.</p> <p>Screening report for the construction of 5 stance lined pit latrine at Bufooto Primary School dated 11/08/2022 signed by the SEO and CDO</p> <p>Screening report for the construction of 5 stance lined pit latrine at Bukikoso Primary School dated 9/08/2022 signed by the SEO and CDO</p> <p>Screening report for the construction of 5 stance lined pit latrine at Namwalye Primary School dated 12/08/2022 signed by SEO and CDO</p>	15
<i>The Maximum score is 30</i>				

2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:
b. Social Impact Assessments (ESIAs) , score 15 or else 0.

All the Education projects implemented in the previous FY 2022/2023 never required preparation of full ESIAs since they lie under Part Schedule 4 (construction of public schools) of the National Environment Act no. 5 of 2019. They required preparation of respective ESMPs.

The Maximum score is 30

ESMP for the construction of 5 stance lined pit latrine at Bufooto Primary School dated 23/08/2022, costed at UGX. 750,000/= signed by the SEO and CDO.

ESMP for the construction of 5 stance lined pit latrine at Bukikoso Primary School dated 22/08/2022, costed at UGX. 650,000/= signed by the SEO and CDO.

ESMP for the construction of 5 stance lined pit latrine at Namwalye Primary School dated 23/08/2022, costed at UGX. 850,000/= signed by SEO and CDO.

**Health Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.	Dr. Wangisi Jonathan Massa was substantively appointed as the District Health Officer on 23rd October, 2018 as was directed by the DSC Minute No. 120/2018, signed by Ekachelan Esau the CAO.	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0	Ms. Kisolo Stella Abigail was substantively appointed as an Assistant District Health Officer Maternal Health and Nursing on 19th October, 2018 as directed by the DSC Minute No. 119/2018, signed by Ekacherau Esau the CAO.	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	c. Assistant District Health Officer Environmental Health, score 10 or else 0.	The District did not have a substantively appointed Assistant District Environment Officer and there was no evidence from the HRM for a seconded staff from the MoPs. However, Ms. Kudhonganai Beatrice, a Principal Health Inspector, was assigned duties of Assistant District Health Officer Environmental Health on 5th October, 2021 through letter reference CR/163/2, signed by the CAO Lucy Frances Amulen..	0
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.	The District had substantively appointed Ms. Kudhongania Beatrice as a Principal Health Inspector on 4th April, 2023 as was directed by the DSC Minute No. 5a March 20/2023 (iv), signed by the CAO Mr. Lucy Frances Amulen.	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	e. Senior Health Educator, score 10 or else 0.	Masagwayi Agnes was substantively appointed as a Senior Health Educator on 23rd September, 2019 as directed by the DSC Minute No. 33/2019, signed by the CAO Mr. Ekachelan Esau.	10

1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	f. Biostatistician, score 10 or 0.	The District had substantively appointed Ms. Kisakye Margaret Kabiredi as a Biostatistician on 16th August 2016 as directed by the DSC Minute No. 139/2016, signed by Mr. Walakira Paul the CAO.	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	g. District Cold Chain Technician, score 10 or else 0.	The District had substantively appointed Mr. Mutambo Alfred as a Cold Chain Technician on 23rd September, 2019 as directed by the DSC Minute No. 054/2019, signed by the CAO Mr. Ekachelan Esau.	10
1	New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions. <i>Applicable to MCs only.</i> <i>Maximum score is 70</i>	h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.		
1	New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions. <i>Applicable to MCs only.</i> <i>Maximum score is 70</i>	i. Principal Health Inspector, score 20 or else 0.		
1	New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions. <i>Applicable to MCs only.</i> <i>Maximum score is 70</i>	j. Health Educator, score 20 or else 0		

Environment and Social Requirements

2	Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)	If the LG carried out: a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.	There was only one health project implemented in the previous FY 2022/2023 There was evidence of the Environmental, Social and Climate Change screening report for the health project implemented. Screening report for the construction of OPD, Sanitary facility with washrooms, ash pit and Incinerator at Kama HCIII (new Health facility) in Bufumbo Sub-county dated 15/08/2022 signed by the CDO and SEO	15
Maximum score is 30				
2	Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)	b. Social Impact Assessments (ESIAs) , score 15 or else 0.	The construction of the OPD, Sanitary facility with washrooms, ash pit and Incinerator at Kama HCIII (new Health facility) in Bufumbo Sub-county required preparation of an Environmental and Social Project Brief (ESPB) and submit it to NEMA for review and approval since the project lies under Part 1 of Schedule 4 of the National Environment Act No. 5 of 2019. There was no evidence of the Environmental and Social Project Brief for the construction of OPD, Sanitary facility with washrooms, ash pit and Incinerator at Kama Hill (new Health facility) in Bufumbo Sub-county Instead only an ESMP dated 22/08/2022, costed at UGX. 1,650,000/= and signed by the CDO and SEO was prepared	0
Maximum score is 30				

**Micro-scale Irrigation
Minimum Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p>	<p>If the LG has recruited;</p> <p>a. the Senior Agriculture Engineer</p> <p><i>score 70 or else 0.</i></p>	<p>The District had substantively appointed Namakhola Rajab as a Senior Agriculture Engineer on April 25th,2014 as was directed by the DSC Minute No. 17/2014(c),signed), signed by Otim Ogwette.</p>	70
Environment and Social Requirements				
2	<p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p>	<p>If the LG:</p> <p>Carried out Environmental, Social and Climate Change screening score 30 or else 0.</p>	<p>There was evidence of Environmental, Social and Climate Change screening reports for all the Micro scale irrigation projects implemented in the previous FY 2022/2023</p> <p>Screening report for Nakami Salika Micro scale irrigation scheme dated 28/02/2023 signed by CDO and Senior Environment Officer (SEO)</p> <p>Screening report for Masibo Clare Micro scale irrigation scheme dated 27/02/2023 signed by the CDO and SEO</p> <p>Screening report for Mukweli Stephen Micro scale irrigation scheme dated 23/02/2023 signed by the CDO and SEO.</p> <p>There was evidence of Costed ESMPs for the Micro scale irrigation projects implemented in the previous FY 2022/2023.</p> <p>ESMP for Nakami Salika Micro scale irrigation scheme dated 28/02/2023, costed at UGX. 1,6,00,000/= and signed by CDO and Senior Environment Officer (SEO)</p> <p>ESMP for Masibo Clare Micro scale irrigation scheme dated 27/02/2023, costed at UGX. 1,700,000/= and signed by the CDO and SEO</p> <p>ESMP for Mukweli Stephen Micro scale irrigation scheme dated 28/02/2023, costed at UGX. 1,800,000/= and signed by the CDO and SEO</p>	30

**Water & Environment Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	This position was vacant at the time of assessment	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	The position of Assistant Water Officer for mobilisation was filled on secondment. Mr. Kasswa Moses a Community Development Officer was assigned extra duties of Assistant Water Officer for mobilisation on January 25th,2019 through letter reference CR/163/2., signed by the CAO Wabomba Anthony,	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	The position of the Borehole Maintenance Technician was vacant at the time of assessment and there was no evidence from the HRM of a seconded staff from the local government. However, the Borehole Maintenance Technician was appointed on contract. Mr. Makanga Yahaya was appointed on contract as a Borehole Maintenance on 20thth June, 2023 through letter reference CR/156/2 Minute No.5/June 20/2023, signed by Lucy Frances Amulen.	0

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.</p> <p><i>Maximum score is 70</i></p>	<p>d. 1 Natural Resources Officer, score 15 or else 0.</p>	<p>The LG didn't have a Natural Resource Officer but had a District Natural Resource Officer who was appointed substantively.</p> <p>Mr. Opusi Joseph was appointed a DNRO on 4th Jan, 2018 as was directed by the DSC Minute No.202/2017 (a), endorsed by the CAO Adongo Roseline Luhoni.</p>	15
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.</p> <p><i>Maximum score is 70</i></p>	<p>e. 1 Environment Officer, score 10 or else 0.</p>	<p>Mr. Wakube Charles was substantively appointed the Environment Officer on 17th March, 2008 as was directed by the DSC Minute No. 21 (a) 2008, signed by Ouma Stephen the CAO.</p>	10
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.</p> <p><i>Maximum score is 70</i></p>	<p>f. Forestry Officer, score 10 or else 0.</p>	<p>Mr. Nakimesi Micheal was substantively appointed a Forestry Officer on 22nd May, 2018 as was directed by the DSC Minute No. 007/2018(b), signed by Adongo Roseline Luhoni.</p>	10

Environment and Social Requirements

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

If the LG:

a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.

There was evidence in the form of Environmental, social and climate change screening reports for the water projects implemented in the previous FY 2022/2023

Screening report for the construction of three stance pit latrine at Bulusambu Rural Growth Centre (RGC) dated 12/07/2022 signed by the Environment Officer and CDO water.

Screening report for the sitting, drilling, pump testing and installation of Lwaboba Muyekhe borehole in Buwalasi Parish, Bisui Sub-county dated 8/08/2022 signed by the Environment Officer and CDO water.

Screening report for the construction of Bufumbo-Bubyangu gravity flow scheme (installation of 10 water taps) dated 14/07/2022 signed by the Environment officer and CDO water.

Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.

The water projects implemented in the previous FY 2022/2023 never required conducting full ESIAs since they lie under schedule 4 part 2 of the National Environment Act no. 5 of 2019. They required preparation of costed ESMPs for respective water project and ensure their implementation.

There was evidence of costed ESMPs for two of the three water projects availed.

ESMP for the construction of three stance pit latrine at Bulusambu Rural Growth Centre (RGC) dated 16/08/2022, costed at UGX. 180,000/= and signed by the Environment Officer and CDO water.

ESMP for the sitting, drilling, pump testing and installation of Lwaboba Muyekhe borehole in Buwalasi Parish, Bisiu Sub-county dated 16/08/2022, costed at UGX. 346,000/= and signed by the Environment Officer and CDO water.

However,

There was no costed ESMP prepared for the construction of Bufumbo-Bubyangu gravity flow scheme

Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.

The LG acquired an abstraction permit for Bufumbo-Bubyangu Gravity Flow Scheme on 24th August 2021 and its validity was 5 years, up to 2026.