



## **LGMSD 2022/23**

Lwengo District

(Vote Code: 599)

<b>Assessment</b>	<b>Scores</b>
Crosscutting Minimum Conditions	72%
Education Minimum Conditions	100%
Health Minimum Conditions	100%
Water & Environment Minimum Conditions	90%
Micro-scale Irrigation Minimum Conditions	70%
Crosscutting Performance Measures	73%
Educational Performance Measures	81%
Health Performance Measures	54%
Water & Environment Performance Measures	56%
Micro-scale Irrigation Performance Measures	82%

**Crosscutting  
Performance  
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s):</li> <li>• If so: Score 4 or else 0</li> </ul>	<p>There was evidence that all the investment projects implemented with DDEG funding were complete and functional.</p> <p>In the previous FY, the district planned for only one project under DDEG (Pg. 44 of the Approved Budget) which was road works and swamp raising on Bunyere – Kirayangoma – Nkunyu Road at UGX 50,000,000. This was 100% complete and functional as reported on Pg. 67 of the Annual Performance Report.</p>	4
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> <li>• By more than 5%, score 3</li> <li>• 1 to 5% increase, score 2</li> <li>• If no increase, score 0</li> </ul> <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>There was evidence that the average score in the overall LLG performance assessment increased by 15.1% from the previous assessment (more than 5% improvement)</p> <p>1 Katovu T/C improved by 31% from 49% to 80%;</p> <p>2 Kingo S/C improved by 26% from 62% to 88%;</p> <p>3 Kinoni T/C improved by 27% from 55% to 82% ;</p> <p>4 Kisekka S/C declined by -7% from 80% to 73%;</p> <p>5 Kyazanga S/C declined by -10% from 69% to 59%;</p> <p>6 Kyazanga T/C improved by 17% from 66% to 83%;</p> <p>7 Lwengo S/C declined by -5% from 75% to 70%;</p> <p>8 Lwengo T/C improved by 9% from 76% to 85%;</p> <p>9 Malongo S/C improved by 40% from 38% to 78%;</p> <p>10 Ndagwe S/C improved by 23% from 61% to 84%; and</p> <p>The average improvement was by 15.10% from 63.10% to 78.20%</p>	3

2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> <li>• If 100% the projects were completed : Score 3</li> <li>• If 80-99%: Score 2</li> <li>• If below 80%: 0</li> </ul>	<p>There was evidence that the DDEG-funded investment projects implemented in the previous FY were completed as per the performance contract and other district reports (with AWP) by the end of the FY.</p> <p>In the previous FY, the district planned for only one project under DDEG (Pg. 44 of the Approved Budget) which was road works and swamp raising on Bunyere - Kirayangoma - Nkunyu Road at UGX 50,000,000. This was 100% complete and functional as reported on Pg. 67 of the Annual Performance Report.</p>	3
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3	<p>Investment Performance</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:</p> <p>Score 2 or else score 0.</p>	<p>There was evidence that the LG budgeted and spent all the DDEG funds of UGX 314,785,000 for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines: In the previous FY, the district planned for only one project under DDEG (Pg. 44 of the Approved Budget) which was road works and swamp raising on Bunyere - Kirayangoma - Nkunyu Road at UGX 50,000,000. This was all spent as reported on Pg. 67 of the Annual Performance Report.</p>	2
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3	<p>Investment Performance</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates,</p> <p>score 2 or else score 0</p>	<p>The project for the road works and swamp raising on Bunyere on Bunyere - Kirayangoma - Nkunyu Road funded under DDEG was executed using Force on account at Ugx 50,000,000</p>	2
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**Performance Reporting and Performance Improvement**

4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate,</p> <p>score 2 or else score 0</p>	<p>The LLG of Ndagwe and Kisekka Sub Counties; and Kinoni TC were sampled to establish the accuracy of information on filled positions.</p> <p>Ndagwe SC staff list had 8 filled positions. The list obtained from the HR division had 5. The Kisekka SC staff list had 11 and the HR list had 6 and the Kinoni TC list had 15, the HR list 6</p> <p>The information on filled positions was not accurate</p>	0
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4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> <li>• If 100 % in place: Score 2, else score 0.</li> </ul>	<p>There was evidence that the infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <p>In the previous FY, the district planned for only one project under DDEG (Pg. 44 of the Approved Budget) which was road works and swamp raising on Bunyere – Kirayangoma – Nkunyu Road at UGX 50,000,000. This was in place as reported on Pg. 67 of the Annual Performance Report.</p>	2
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> <p>score 4 or else 0</p>	<p>There was no evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise according to the data extract below, as provided by OPM:</p> <ol style="list-style-type: none"> <li>1 Ndagwe SC: the LG Assessors scored 84%, and IVA Scored 77%, variance of -07%, Credible;</li> <li>2 Kkingo SC: the LG Assessors scored 88%, and IVA Scored 94%, variance of +06%, Credible;</li> <li>3 Kyazanga SC: the LG Assessors scored 59%, and IVA Scored 51%, variance of -8%, Credible; and</li> <li>4 Lwengo TC: the LG Assessors scored 85%, and IVA Scored 68%, variance of -17%, Not Credible</li> </ol>	0
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	<p>There was no evidence at the time of assessment that the LG had developed performance improvement plans (PIPs) for the three Sub counties that had low performance based on the previous assessment average results (78.20%). The following LLGs scored below average:</p> <ol style="list-style-type: none"> <li>1. Kisekka Sub county scored 73%;</li> <li>2. Kyazanga Sub county scored 59%; and</li> <li>3. Lwengo Sub county scored 70%</li> </ol>	0

5	N23_Reporting and Performance Improvement  Maximum 8 points on this Performance Measure	c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:  Score 2 or else score 0	There was no evidence that the district had implemented any PIPs for the 30 % lowest performing LLGs in the previous FY since no PIPs had been developed  The average score was 78.20% and the following LLGs scored below average:  1 Kisekka S/C scored 73%;  2 Kyazanga S/C scored 59%; and  3 Lwengo S/C scored 70%	<b>0</b>
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**Human Resource Management and Development**

6	Budgeting for and actual recruitment and deployment of staff  Maximum 2 points on this Performance Measure	a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.  Score 2 or else score 0	The LG consolidated and submitted the staffing requirements for the coming FY to the MoPS, as per the submission letter, CR/D/2018, dated 23rd September 2023	<b>2</b>
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7	Performance management  Maximum 5 points on this Performance Measure	a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):  Score 2 or else score 0	The LG conducted the tracking and analysis of staff attendance as per the quarterly submission letters, as follows;  1st quarter letter CR/D/155/2 dated 18th July 2022; 2nd quarter letter CR/D/155/2 dated 30th January 2023; 3rd quarter letter CR/D/155/2 dated 3rd April 2023; and 4th quarter letter CR/D/155/2 dated 26th July 2023	<b>2</b>
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7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p>	<p>The 10 HoD were appraised on the following dates;</p> <p><b>1.</b> District Commercial Officer, Kagumire Wilson – 15th July 2023; <b>2.</b> Chief Finance Officer, Serwadda Paul – 3rd July 2023; <b>3.</b> District Production Coordinator, Nandawula Allen – 14th July 2023; <b>4.</b> District Community Development Officer, Mazinga Joseph – 14th July 2023; <b>5.</b> District Education Officer, Mulumba Joseph – 10th July 2023; <b>6.</b> District Planner, Barigye Nicholas – 14th July 2023; <b>7.</b> District Health Officer, Kafumbe Moses – 30th June 2023; <b>8.</b> Deputy CAO, Nyende Alex – 3rd July 2023; <b>9.</b> District Engineer, Kaweesa Robert – 10th July 2023; and <b>10.</b> District Natural Resources Officer, Mutemba Godfrey – 3rd July 2023</p> <p><b>Only one HoD was appraised within the prescribed time period</b></p>	0
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to “a” above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>The LG implemented the rewards and sanctions as per the minutes of meetings held on the following dates;</p> <p>1. 28th July 2022, during which staff abscondments, failure to account for funds spent on office stationery and resolutions on facilitation of the rewards and Sanctions Committee, were discussed; 2. 9th October 2022, during which, absenteeism, late coming and early departure by staff member were discussed and course of action agreed, The committee also discussed misconduct and failure to appear before the committee by members of staff who were summoned; and 3. 9th March 2023, during which, the committee continued hearing cases of absenteeism</p>	1
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>The Consultative Committee for staff grievances redress was not established</p>	0

Payroll management

Maximum 1 point on this Performance Measure or else score 0

a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:

Score 1.

Seventy two new employees were recruited during 2022/2023 FY. Ten names were sampled on the district recruitment list and IPPS payroll, to establish whether the recruited employees accessed the payroll within the prescribed time period, as

Follows;

**1.** Luboobi Badru, Assistant Veterinary Officer, assumed duty on 28th February 2023 and accessed payroll during May 2023; **2.** Kirabo Kedress Kiconco. Enrolled Nurse assumed duty on 27th February 2023 and accessed payroll during May 2023; **3.** Ampaire Racheal, Education Assistant, assumed duty on 6th February 2023 and accessed payroll during May 2023; **4.** Lutaaya Simon Peter, assumed duty on 6th February 2023 and accessed payroll during May 2023; **5.** Sebuliba Remigio Jumba, Education Officer, assumed duty on 15th December 2022 and accessed payroll during May 2023; **6.** Azairwe Innocent Ndaaza, Education Officer, assumed duty on 20th September 2022 and accessed payroll during May 2023; **7.** Luyima Ibrahim, Assistant Education Officer, assumed duty on 15th December 2022 and accessed payroll during May 2023. **8.** Kataki George William, Deputy Head Teacher, assumed duty on 1st August 2022 and accessed payroll during September 2022; **9.** Kakooza Vincent, Education Assistant, assumed duty on 7th February 2023 and accessed payroll during April 2023; and **10.** Ngobi Fred, Education Officer, assumed duty on 10th August 2023 and accessed payroll during October 2023

Only 3 of the sampled new employee accessed the payroll within the prescribed time period

Pension Payroll management

Maximum 1 point on this Performance Measure or else score 0

a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:

Score 1.

Eighteen employees retired during 2022/2023 FY. Nine names were sampled on the district retirement list and the IPPS payroll, to establish whether the retiring employees accessed the payroll within the prescribed time period, as follows;

**1.** Tushemerirwe Peace, Education Assistant, retired on 1st September 2022. Accessed payroll during the month of September 2022; **2.** Kahanyure George, Education Assistant retired on 20th March 2023. Accessed payroll during the month May 2023; **3.** Nakayima Alice, retired on 5th December 2022. Accessed payroll during the month of December 2022; **4.** Juuko Dalawusi, Head Teacher, retired on 1st August 2022. Accessed payroll during the month of September 2022; **5.** Nagawa Jackline, Education Assistant, retired on 2nd September 2022. Accessed payroll during the month of September 2022; **6.** Namaganda Mary, Deputy Head Teacher, retired on 26th July 2022. Accessed payroll during the month of July 2022; **7.** Kagolo Fred, Head Teacher, retired on 29th July 2022. Accessed payroll during the month of August 2022; **8.** Nyamijumbi Loy, Agriculture Officer, retired on 1st September 2022. Accessed payroll during the month of October 2022; and **9.** Mawulugungu Aloysius, Head Teacher, retired on 7th April 2023. Accessed payroll during the month of April 2023

## Management, Monitoring and Supervision of Services.

<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence that direct transfers of DDEG funds to LLGs were executed in accordance with the requirements of the budget in the previous FY.</p> <p>DDEG Funds were received only in Q2 &amp; Q3 and transferred to LLGs as follows:</p> <p>Q2 cash limits were received on 30/09/2023 and funds were transferred to LLGs on 12/10/2022 (beyond 5 working days); and</p> <p>Q3 cash limits were received on 29/12/2023 and funds were transferred to LLGs on 24/01/2023 (beyond 5 working days)</p> <p>The following LLGs benefitted:</p> <p>1 Lwengo S/C UGX 45,777,429</p> <p>2 Kisekka S/C UGX 17,322,045</p> <p>3 Malongo S/C UGX 20,959,200</p> <p>4 Kyazanga S/C UGX 34,081,482</p> <p>5 Kkingo S/C UGX 24,311,088</p> <p>6 Ndagwe S/C UGX 37,076,784</p> <p>7 Kyazanga T/C UGX 19,786,089</p> <p>8 Lwengo T/C UGX 18,725,727</p> <p>9 Katovu T/C UGX 2,502,180</p> <p>10 Kinoni T/C UGX 2,502,180</p> <p>Grand Total UGX 223,044,204</p>
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N23\_Effective Planning, Budgeting and Transfer of Funds for Service Delivery

Maximum 6 points on this Performance Measure

b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget: Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).

Score: 2 or else score 0

There was no evidence that direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY.

The DDEG funds were only received in Q2&3 and warranted as follows:

Q2 cash limits were received on 30/09/2023 and Warrant No. 883AW-2023-12 was prepared on 12/10/2022 (beyond 5 working days); and

Q3 cash limits were received on 29/12/2023 and Warrant No. 883AW-2023-19 was prepared on 13/01/2023 (beyond 5 working days)

The following LLGs benefitted:

1 Lwengo S/C UGX 45,777,429

2 Kisekka S/C UGX 17,322,045

3 Malongo S/C UGX 20,959,200

4 Kyazanga S/C UGX 34,081,482

5 Kkingo S/C UGX 24,311,088

6 Ndagwe S/C UGX 37,076,784

7 Kyazanga T/C UGX 19,786,089

8 Lwengo T/C UGX 18,725,727

9 Katovu T/C UGX 2,502,180

10 Kinoni T/C UGX 2,502,180

Grand Total UGX 223,044,204

N23\_Effective Planning, Budgeting and Transfer of Funds for Service Delivery

Maximum 6 points on this Performance Measure

c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:

Score 2 or else score 0

There was evidence that the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of approval of warrants for each of the quarters:

DDEG Funds were received only in Q2 & Q3 and transferred to LLGs as follows:

Q2 cash limits were received on 30/09/2023, warrant No. 883AW-2023-12 was approved on 07/10/2022 and were invoiced and communicated to LLGs on 12/10/2022 (within 5 working days); and

Q3 cash limits were received on 29/12/2023, warrant No 883AW-2023-19 was approved on 20/01/2023, were invoiced and communicated to LLGs on 24/01/2023 (within 5 working days)

The following LLGs benefitted:

1 Lwengo S/C UGX 45,777,429

2 Kisekka S/C UGX 17,322,045

3 Malongo S/C UGX 20,959,200

4 Kyazanga S/C UGX 34,081,482

5 Kkingo S/C UGX 24,311,088

6 Ndagwe S/C UGX 37,076,784

7 Kyazanga T/C UGX 19,786,089

8 Lwengo T/C UGX 18,725,727

9 Katovu T/C UGX 2,502,180

10 Kinoni T/C UGX 2,502,180

Grand Total UGX 223,044,204

11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the District had conducted both mentorships on all LLGs in the District at least once per quarter consistent with guidelines, as per the following reports:</p> <p>Q-1 report on mentorship and supervision was dated 29/092022;</p> <p>Q-2 report on mentorship and supervision was dated 15/12/2022;</p> <p>Q-3 report on mentorship and supervision was dated 16/01/2023; and</p> <p>Q-4 report on mentorship and supervision was dated 20/06/2023.</p> <p>Mentorship sessions were on the following areas:</p> <ul style="list-style-type: none"> <li>-Program based budgeting as opposed to output budgeting;</li> <li>-Monitoring and Evaluation frameworks in LLGs;</li> <li>-Development planning and alignment to NPD-III</li> <li>-Staffing norms</li> <li>-Local Revenue performance</li> </ul>	2
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District to make recommendations for corrective actions and followed-up</p> <p>-Q1 report dated 29/09/2022 had been discussed by TPC on 27th Oct. 2022;</p> <p>-Q2 report 15/12/2022 had been discussed by TPC on 25/01/2023;</p> <p>-Q3 report dated 16/01/2023 had also been discussed by TPC on 25/01/2023; and</p> <p>-Q4 Monitoring report was produced on 20th Jun. 2023 and had not been discussed by TPC on 27/07/2023.</p> <p>Matters discussed included:</p> <ul style="list-style-type: none"> <li>-Status of mosquito net distribution;</li> <li>-Need to share local revenue with traditional healers;</li> <li>-Plan for establishment of shrines and heritage sites</li> </ul>	2

**Investment Management**

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p><b>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</b></p>	<p>There was evidence that The District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual.</p> <p>Derived from Pg 46 of the Final Accounts Note-22 Schedule of Assets as follows:</p> <ol style="list-style-type: none"> <li>1. Land (Pg. 2) UGX 60,000,000</li> <li>2. Non-Residential buildings (Pg.8) UGX 1,556,756,084</li> <li>3. Motor vehicles (Pg.63) UGX 1,630,334,760</li> <li>4. Heavy Plant (Pg.147) UGX 3,980,000,000</li> <li>5. Motor Cycles (Pg.281) UGX 801,500,000</li> <li>6. ICT Equipment (Pg.326) UGX 414,953,214</li> <li>7. Office Equipment (Pg 400) UGX 85,003,000</li> <li>8. Furniture &amp; Fittings (Pg.402) UGX 281,417, 365</li> <li>9. Machinery (Pg. 292) UGX 109,900,000</li> <li>10. Medical Equipment (Pg.408) UGX 89,000,000</li> </ol> <p>Total value UGX 9,008,863,423</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>There was evidence that the District had used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets</p> <p>The BoS survey report was produced on 24th Aug. 2023, duly signed by the CAO.</p> <p>Out of the 8 recommendations on Pg. 8-9 the following had been implemented.</p> <ol style="list-style-type: none"> <li>1. Furniture worth UGX 8,896,000 had been procured on 07/11/2023 against recommendation No.4 for Office Re-tooling and replacement of furniture</li> <li>2. The finance department had been equipped with ICT Equipment worth UGX 7,500,000 on 25/10/2023 against recommendation No.5; and</li> <li>3. The district had planned to procure medical equipment worth UGX 300,000,000 on Pg.30 of the current budget against recommendation No.2</li> </ol>	1

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>There was evidence that District had a functional physical planning committee in place which had submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD during the previous FY</p> <p>Minutes of Q1 were submitted to Masaka Zonal Office on 03/10/2022;</p> <p>Minutes of Q2 were submitted to Masaka Zonal Office on 21/12/2022;</p> <p>Minutes of Q3 were submitted to Masaka Zonal Office on 27/03/2023; and</p> <p>Minutes of Q4 were submitted to Masaka Zonal Office on 10/07/2023</p> <p>The physical planning committee was fully constituted of 25 Members:</p> <p>CAO (Chairman), 6 S/C Chiefs, 4 Town Clerks, Physical Planner, Head of Natural Resources, CDO, Staff Surveyor, District Engineer, DEO, District Agric Officer, DWO, DHO and The Environmental Officer</p> <p>Building Plans Registration book was in place and verified. It was opened on 08/01/2019 and was last updated on 07/09/2023</p> <p>The Physical Development Plan is still being developed.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d. For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the District had conducted the desk appraisal for all projects in the budget on 15/11/2021- to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source. A desk appraisal report for the rehabilitation and swamp raising on Bunyere - Kirayangoma - Nkunyu Road at UGX 50,000,000 (derived from Pg.177 of the DDP) was dated 15/11/2021</p>	2

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY; the district planner did not present any field appraisal reports for verification</p> <p>During the year, the district implemented the rehabilitation and swamp raising on Bunyere – Kirayangoma – Nkunyū Road at UGX 50,000,000 (derived from Pg.177 of the DDP)</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>There was evidence that project profiles with costing have been developed and discussed by TPC on 26/04/2023 (Agenda No.6, Minute 06/LWEN/04/2023) for all investments in the AWP for the current FY, as per LG Planning guidelines and DDEG guidelines</p> <p>The following projects are planned under DDEG funding in 2023/24:</p> <ol style="list-style-type: none"> <li>1. Renovation and Extension of Naanywa Maternity Ward at UGX 60,831,0000 (Pg 30 of the Budget);</li> <li>2. Drainage Channel in Katovu TC at UGX 20,000,000 (Pg 52 of the Budget)</li> <li>3 Drainage Channel in Kinoni TC UGX 15,000,000</li> <li>4 Rehabilitation of the District Toilet UGX 12,309,000 (Pg 57 of the Approved Budget)</li> <li>5 Construction of Water Tank at Lwengo HC IV UGX 10,000,000</li> <li>6 Construction of Water Tank at Kakoma HC III UGX 10,000,000</li> <li>7 Construction of Water Tank at Kyazanga HC IV UGX 10,000,000</li> <li>8 Construction of Water Tank at Katovu HC III UGX 10,000,000</li> </ol>	1

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that Lwengo DLG screened for environmental and social risks/impact and put mitigation measures where required before projects funded by the DDEG are approved for construction. There were eight DDEG projects implemented the last FY namely:</p> <ol style="list-style-type: none"> <li>1) Purchase of Furniture for Principle Human Resource Officer-Admin and Principle Assistant Secretary</li> <li>2) Purchase of Intercom</li> <li>3) Swamp Raising on Bunyere-Kirayangoma-Nkunyu Road</li> <li>4) Borehole Rehabilitation in Kankamba</li> <li>5) Land Titling and Tree Planting</li> <li>6) Purchase of a Laptop</li> <li>7) Promotion of Tourism Services in the District</li> <li>8) Support to Physical Planning</li> </ol> <p>Of these, only (3) above, namely:</p> <p>Swamp Raising on Bunyere-Kirayangoma-Nkunyu Road – needed screening. The screening was done on 14/10/2022 by Mutemba Godfrey, Senior Environment Officer, Lwengo DLG.</p>	2
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>There was evidence of the infrastructure project for "Construction of a 5-stance VIP Latrine at Nanywa HC III" at Ugx 28,689,000 which was incorporated the in LG approved procurement plan.</p>	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0</p>	<p>There was record of minute MIN: 00005/DCC/23-24 of the contracts committee meeting which sat on July 17, 2023 to approve the procurement of the DDEG projects.</p>	1

13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:  Score 1 or else 0	There was evidence that the LG has properly established the Project Implementation teams for the various projects. The sampled appointment letters are: a letter dated January 30, 2023 appointing the District Engineer, the District Education officer, the District Engineer, the labour officer and the environment officer on the Education sector projects; and a letter dated June 12, 2023, appointing the DHO, the District Engineer, the labour officer, and environment officer on the Health sector projects.	<b>1</b>
13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer:  Score 1 or else score 0	The LG did not have a DDEG funded infrastructure project requiring standard designs. The project funded was road works and swamp raising on Bunyere – Kirayangoma – Nkunyu Road	<b>1</b>
13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0	There was no evidence of the involvement of the environment officer during the construction of the infrastructure project for the Education and Health sector projects.	<b>0</b>
13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):  Score 1 or else score 0	There was evidence of LG verified works (certified) and initiated payments of contractors within specified timeframes as per contract. The sampled payments were for: Payment for Fencing of Kyetume HC, where the requisition was made on May 9, 2023, the payment was certified by the DHO on May 14, 2023, and payment was effected July 4, 2023 under voucher no. 6420206; and Payment for Construction of Pit Latrine at Kyazanga PS, where the requisition was made on June 14, 2023, the certificate was prepared by the DEO on June 14, 2023, and payment was effected July 10, 2023 under voucher no. 6420206; and Payment for Construction of Pit Latrine at Kyazanga PS, where the requisition was made on June 14, 2023, the certificate was prepared by the DEO on June 14, 2023, and payment was effected July 10, 2023 under voucher no. 6420206	<b>1</b>

13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law:  Score 1 or else 0	There was evidence of complete procurement files with record as required. The sampled projects were: LWEN599/WRKS/2022-23/00001 Completion of 2-class block, staff house and 2-5stance VIP latrines at Kyazanga PS, whose requisition was made on July 13, 2022, advert was made on July 21, 2022, evaluation was completed on September 15, 2022, Solicitor General's clearance was obtained on October 24, 2022, vis a letter referenced DLAS/MBR/106/2022 and contract signed on February 6, 2022 at an award price of Ugx 508,226,426; LWEN599/WRKS/2022-23/00008 Fencing of Kinoni and Kyetume HC II, whose requisition was made on July 13, 2022, 2022, advert was made on July 21, 2022, evaluation was completed on March September 2, 2022, and contract signed on January 11, 2023 at an award price of Ugx103,437,900; and LWEN599/WRKS/2022-23/00006 Construction of a 3000 cu m valley tank, whose requisition was made on July 14, 2022, advert was made on July 21, 2022, evaluation was completed on September 2, 2022 and contract signed on October 26, 2022 at an award price of Ugx 59,981,500.	<b>1</b>
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**Environment and Social Safeguards**

14	Grievance redress mechanism operational.  Maximum 5 points on this performance measure	a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.  Score: 2 or else score 0	There was evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant. The situation was as follows:  i) A letter dated 22/01/2018 written by Kisembe Grace, CAO, appointed Ssekabira Peter, Senior Records Officer as Complaints Desk Officer, Lwengo District;  ii) A letter dated 22/02/2022 written by Nabakooza Afisah for CAO Lwengo, submitted the Lwengo District Grievance Committee to the Permanent Secretary, Ministry of Public Service.	<b>2</b>
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14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.</p> <p>If so: Score 2 or else 0</p>	<p>There was evidence that the LG had specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action and public display of information at district/municipal offices. A Hard Cover Grievance Log was available with the GRC Focal Person. The Book had columns for Case Ref. No., Date, Name of Client, Location, Details of the Case and Action Taken. The Log had cases running from 2001 to date. The first case logged was LDCR 2016/001 of 06/4/2016. It concerned Nakayalya Esther. She complained about irregular deduction of salary by B-Blue. Action taken was that B-Blue was directed to refund the money to the client.</p> <p>The latest case was that of LDCR18/05/2023 logged by Najjingo Annet of Kalisizo primary school. The complaint was that of her irregular attendance. The Action Taken was that the Officer was summoned to appear before the Rewards and Sanctions Committee.</p>	2
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.</p> <p>If so: Score 1 or else 0</p>	<p>There was District had publicized the grievance redress mechanisms so that aggrieved parties knew where to report and get redress. The following steps were taken by the LG:</p> <p>1) The GRM was advertised on the General Noticeboard;</p> <p>2) The DLG had a Website: <a href="http://www.lwengo.go.ug">www.lwengo.go.ug</a></p>	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0</p>	<p>There was evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with:</p> <p>the following Environmental, Social and Climate change interventions were derived from Pages 202-to-204 of the DDP:</p> <ul style="list-style-type: none"> <li>-Plan for expansion and conservation of catchment areas;</li> <li>-Plan to plant 8,000 trees around all infrastructure projects;</li> <li>-procurement of waste management equipment; and</li> <li>-creation of environmental public awareness</li> </ul> <p>These had been costed on Pg.202 of the DDP for total cost projection UGX 104,085,000</p>	1

15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management  score 1 or else 0	There was evidence of a report dated 12/11/2022 prepared by the District Planner for a meeting held at the DLG H/Q to disseminate to LLGs the enhanced DDEG guidelines	<b>1</b>
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	(For investments financed from the DDEG other than health, education, water, and irrigation):  c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:  score 3 or else score 0	Costing of Swamp Raising on Bunyere-Kiryangoma-Nkuny Road was done on 14/10/2022 by Mutemba Godfrey, Environment Officer and Mazinga Joseph DCDO. It was costed at UGX2,100,000/- for mitigation measures and UGX900,000/- for monitoring activities.  The Road project was on Force Account being done by the DLG and there were no BoQs that needed to be prepared.	<b>3</b>
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	d. Examples of projects with costing of the additional impact from climate change.  Score 3 or else score 0	There were no projects with costing of the additional impact from climate change.	<b>0</b>

15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:  Score 1 or else score 0	There was no need for proof of land ownership for the road project under DDEG. Construction of the Bunyere-Kirayangoma-Nkunyu Road was definitely on public land.	<b>1</b>
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports:  Score 1 or else score 0	There was no supervision report for the Bunyere-Kirayangoma-Nkunyu Road.	<b>0</b>
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects:  Score 1 or else score 0	This was Force Account and there was no Certification.	<b>1</b>

**Financial management**

16	<p>LG makes monthly Bank reconciliations</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment:</p>	<p>There was evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment. The last reconciliations had been made for the month of Oct. 2023.</p>	2
		<p>Score 2 or else score 0</p>	<p>The district operates the following current accounts besides the TSA:</p>	
			<p>-Lwengo District UWEP Recovery A/C No. 216253000029, had been reconciled by the Accountant on 2nd Nov. 2023 and checked by the Finance Officer on the same day;</p>	
			<p>-Lwengo District Scaling Up A/C No. 216253000012 had been reconciled by the Accountant on 2nd Nov. 2023 and checked by the Finance Officer on the same day; and</p>	
			<p>-Lwengo District General Fund A/C No. 216253000010 had been reconciled by the Accountant on 2nd Nov. 2023 and checked by the Finance Officer on the same day</p>	
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p>	<p>There was evidence that LG had produced all quarterly internal audit (IA) reports for the previous FY</p>	2
		<p>Score 2 or else score 0</p>	<p>Q-I report was produced on 20/12/2022;</p>	
			<p>Q-II report was produced on 25/04/2023;</p>	
			<p>Q-III report was produced on 31/07/2023; and</p>	
			<p>Q-IV report was produced on 06/09/2023</p>	
			<p>Some of the findings included:</p>	
			<p>-Unaccounted funds of UGX 3,300,000;</p>	
			<p>-Failure to dispose of obsolete assets; and</p>	
			<p>-Nugatory expenditure of UGX 4,500,000</p>	

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>There was evidence that the LG had provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports</p> <p>Q-I report was produced on 20/12/2022 and distributed to the District Chairman and to DPAC on 12/01/2023;</p> <p>Q-II report was produced on 25/04/2023 and distributed to the District Chairman and to DPAC on 11/05/2023;</p> <p>Q-III report was produced on 31/07/2023 and distributed to the District Chairman and to DPAC on 14/08/2023; and</p> <p>Q-IV report was produced on 06/09/2023 and distributed to the District Chairman and to DPAC on 29/09/2023</p>	1
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>There was evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed up the issues.</p> <p>Q-I report was produced on 20/12/2022 was discussed by DPAC on 03/04/2023;</p> <p>Q-II report was produced on 31st Jan. 2023 and had been discussed by DPAC on 10/07/2023;</p> <p>Q-III report was produced on 31/07/2023 and had been discussed by DPAC together with Q4 Report on 16/10/2023; and</p> <p>Q-IV report was produced on 06/09/2023 and had been discussed by DPAC together with Q3 report on 16/11/2023.</p> <p>Some of the issues discussed included:</p> <ul style="list-style-type: none"> <li>-Unaccounted funds of UGX 3,300,000;</li> <li>-Failure to dispose of obsolete assets; and</li> <li>-Nugatory expenditure of UGX 4,500,000</li> </ul>	1

**Local Revenues**

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>There was evidence that the local revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) was 63% ( beyond +/- 10 %)</p> <p>From Pg.38 of the Financial Statements –“Statement of Revenues Collected”, the district planned to collect UGX 858,571,844.00 but actually collected only UGX 540,097,173.00 representing 63% hence shortfall of 37%.</p>	0
19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> <li>• If more than 10 %: score 2.</li> <li>• If the increase is from 5% -10 %: score 1.</li> <li>• If the increase is less than 5 %: score 0.</li> </ul>	<p>There was evidence that the LG’s own source revenue increased by 65.5% (more than 10%) in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>From Pg.38 of the Financial Statements –“Statement of Revenues Collected:</p> <p>The local revenue performance in FY 2022/23 was USh 540,097,173.00 having increased by USh 213,673,553.00 (65.5%) from FY 2021/2022 when the performance was USh 326,423,620.00 which was more than 10% increment</p>	2

20	Local revenue administration, allocation, and transparency	a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0	<p>There was evidence that the LG remitted the mandatory LLG share of local revenues during the previous FY as follows:</p> <p>From Pg.38 of the Financial Statements –“Statement of Revenues Collected”, the district collected UGX 540,097,173.00 out of which UGX 196,971,673 was shareable. This was disbursed as follows:</p> <ul style="list-style-type: none"> <li>1 Ndagwe S/C UGX 16,514,926</li> <li>2 Kyazanga S/C UGX 8,295,739</li> <li>3 Lwengo S/C UGX 11,804,322</li> <li>4 Kinoni T/C UGX 31,459,962</li> <li>5 Kisekka S/C UGX 20,352,955</li> <li>6 Lwengo T/C UGX 18,607,514</li> <li>7 Lwengo S/C UGX 19,302,634</li> <li>8 Kkingo S/C UGX 12,540,952</li> <li>9 Katovu T/C UGX 27,556,370</li> <li>10 Malongo S/C UGX 26,742,964</li> <li>11 Kyazanga S/C UGX 3,793,335</li> </ul> <p>Total UGX 196,971,673</p>	2
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**Transparency and Accountability**

21	LG shares information with citizens  Maximum 6 points on this Performance Measure	a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0	<p>There was evidence of a notice board at the LG procurement office, having recent procurement information. The sampled information was for: notice dated September 9, 2023 for Bids for the collection of local revenue awarded to Iga Edward at Ugs 520,000 per month; Unsuccessful bidder notices for the Construction of external works at Mbirizi seed school; and notice dated September 11 for the best evaluated bidder for the Construction of external works at Mbirizi seed school awarded at Ugx 528,864,496 and constriction of a multipurpose hall at Ndagwe SS at Ugx 250,583,294.</p>	2
21	LG shares information with citizens  Maximum 6 points on this Performance Measure	b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0	<p>There was evidence that the LG disseminated performance assessment results and implications on 31/08/2023 for the previous year.</p> <p>The results were pasted on the main notice board on 31/08/2023 by the District Planner.</p> <p>The district was ranked 27th nationally having improved from 70th position in the previous year.</p>	2

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>There was evidence that the LG conducted a public baraza at Lwengo S/C H/Q on 25/05/2023 to provide feed-back on accountability and status of implementation of activities in the district:</p> <p>All the heads of departments were present to provide public accountability and to receive feedback from the community</p>	1
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>There was evidence that the LG had made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal</p> <p>The district had copies of tax rates in file and on notice boards signed by the District Chairman on 30th May 2022.</p> <p>The rates were approved by council on 30/05/2023, Minute No. 08/LDC/05/2022</p>	1
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>There was evidence that the LG had prepared a report on the status of implementation of the IGG recommendations which included a list of cases of alleged fraud and corruption and their status, administrative and action taken/being taken, and the report has been presented and discussed in the council</p> <p>The district has an active file for IGG correspondences CR/902/1 and status report on outstanding investigations was submitted to the District Speaker on 30/10/ 2023</p> <p>Some of the outstanding investigation were the following:</p> <p>Case Ref. IG/LC/BR/002/2023 of 07/11/2023 regarding leaders who have failed to declare assets; and</p> <p>MSK/12/03/2021 of 15/06/2021 against the Town Clerk who had usurped powers of Kinoni Town Council</p>	1

**Educational  
Performance  
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 4</li> <li>• Between 1 and 5% score 2</li> <li>• No improvement score 0</li> </ul>	<p>The number of candidates that registered for PLE in 2020 was 7159</p> <p>The number of absentees was 185 so the number that sat for PLE was 6974</p> <p>The number that passed in Div. 1 was 957 = 13.72%</p> <p>The number that passed in Div.2 was 3677 = 52.72%</p> <p>The number that passed in Div. 3 was 1126 = 16.14%</p> <p>The number of candidates that passed between Div.. and 3 was 4750 = 68.10%</p> <p>The number of candidates that registered for PLE in 2022 was 7254</p> <p>The number of absentees was 199 so the number that sat for PLE was 7055</p> <p>The number that passed in Div. 1 was 1172 = 16.60%</p> <p>The number that passed in Div.2 was 3484 = 49.38%</p> <p>The number that passed in Div. 3 was 1149 = 16.28%</p> <p>The number of candidates that passed between Div.. and 3 was 5805 = 82.28%</p> <p>There was a percentage improvement of 14.18%</p>	<b>4</b>

Learning Outcomes:  
The LG has improved  
PLE and USE pass  
rates.

Maximum 7 points on  
this performance  
measure

b) The LG UCE pass rate  
has improved between  
the previous school year  
but one and the previous  
year

- If improvement by  
more than 5% score 3

- Between 1 and 5%  
score 2

- No improvement score  
0

The number of candidates that registered for  
UCE in 2020 was 1066

The number of absentees was 77 so the  
number that sat for UCE was 989

The number that passed in Div. 1 was 70 =  
7.78%

The number that passed in Div.2 was 171 =  
17.29%

The number that passed in Div. 3 was 274 =  
27.70%

The number of candidates that passed  
between Div.. and 3 was 515 = 52.07%

The number of candidates that registered for  
UCE in 2022 was 999

The number of absentees was 44 so the  
number that sat for UCE was 955

The number that passed in Div. 1 was 106 =  
11.09%

The number that passed in Div.2 was 182 =  
%

The number that passed in Div. 3 was 275 =  
%

The number of candidates that passed  
between Div.. and 3 was 563 = 58.95%

There was a percentage improvement of  
6.88%

2

N23\_Service Delivery Performance: Increase in the average score in the education LLG performance assessment.

Maximum 2 points

a) Average score in the education LLG performance has improved between the previous year but one and the previous year

- By more than 5%, score 2
- Between 1 and 5%, score 1
- No Improvement, score 0

NB: If the previous average score was 95% and above, Score 2 for any increase.

There was evidence that the average score in the education LLG performance improved by 17% (more than 5%) between the previous year but one and the previous year

- 1 Katovu Town Council improved by 50% from 30% to 80%
  - 2 Kkingo Subcounty improved by 50% from 50% to 100%
  - 3 Kinoni Town Council improved by 60% from 40% to 100%
  - 4 Kisekka Subcounty remained at 100% for both years
  - 5 Kyazanga Subcounty improved by 30% from 50% to 80%
  - 6 Kyazanga Town Council remained at 80% for both years
  - 7 Lwengo Subcounty remained at 100% for both years
  - 8 Lwengo Town Council improved by 30% from 70% to 100%
  - 9 Malongo Subcounty remained at 40% for both years
  - 10 Ndagwe Subcounty declined by 10% from 80% to 70%
- The average improved by 17% from 64% to 81%

2

3

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0

From the budget performance report FY 2022/2023 and the education sector guidelines, there was evidence that the LG department used the development grant of Ug. Shs. 914,309,000 on the eligible activities as shown below;

1. Construction of a 2 classroom block at Kagogwa PS Kyazanga sub county at Ug. Shs. 85,000,000.
2. Construction of a 2 classroom block at Balimankya PS Lwengo sub county at Ug. Shs. 85,000,000
3. Construction of 5 stance pit latrines at Gavu PS Katovu TC at Ug. Shs. 25,000,000
4. Costruction of a 5 stance pit latrine at Kagogwa PS Lwengo sub county at Ug. Shs. 25,000,000
5. Construction of a 5 stance pit latrine at Hope Bulera PS Kiseka sub county at Ug. Shs. 25,000,000

2

3	Investment Performance: The LG has managed education projects as per guidelines	b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0	There was no evidence that the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors	0
	Maximum 8 points on this performance measure		VN 5315449 of 17/05/2023 being payment of UGX 76,690,833 to M/S Suha Quality Investments SMC Ltd against Certificate No.2 for the construction of 2-Classroom Block and Office at Balimanyankya P/School	
			The payment certificate was signed by DEO on 25/04/2023 but not the CDO or the Environment Officer o	
			VN 4195355 of 02/03/2023 being payment of UGX 76,001,801 to M/S P&D Traders and Contractors Ltd against Certificate No.1 for the construction of 2-Classroom Block and a Store at Kagogwa P/School	
			The payment certificate was signed by DEO on 24/02/2023 but not CDO or the Environment Officer	
			VN 5896355 of 15/06/2023 being payment of UGX 22,499,091 to M/S Tripah Engineering Services Ltd against Certificate No.1 for the construction of 5-Stance Lined Pit Latrine at Bulemere P/S	
			The payment certificate signed by DEO on 08/05/2023 but not CDO or the Environment Officer	
3	Investment Performance: The LG has managed education projects as per guidelines	c) If the variations in the contract price are within +/-20% of the MoWT estimates score 2 or else score 0	There was evidence that the variations in the contract price for the funded education infrastructure investments for the previous FY were within +/-20% of the Engineer's estimate. The sampled projects were: the Completion of 2-class block, staff house and 2-5stance VIP latrines at Kyazanga PSS, whose estimate was Shs 508,000,000 and contract price Shs 508,226,426, and hence the variation was +0.04%; the Construction of a 2-class room Block, with office and store at Kiwangala P.S, whose estimate was Shs 85,000,000 and contract price Shs 84,955,000, and hence the variation was - 0.05%.; and the construction of a 2-class room Block, with office and store at Balimanyankya P.S, whose estimate was Shs 85,000,000 and contract price Shs 85,051,861 , and hence the variation was - 0.09%	2
	Maximum 8 points on this performance measure			

3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY</p> <ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• Between 80 - 99% score 1</li> <li>• Below 80% score 0</li> </ul>	<p>The construction of the seed school at Katovu TC has reached roofing level and contractor is due to raise roof trusses. The works are estimated at 50% completion.</p>	0
4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> <li>• If 100%: score 3</li> <li>• If 80 - 99%: score 2</li> <li>• If 70 - 79% score: 1</li> <li>• Below 70% score 0</li> </ul>	<p>From the LG approved structure, the staffing structure for schools and the teacher staff list, there was evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines that provide for a headteacher and a minimum of 7 teachers per school with P7 and a teacher per class for those schools with less than P7. The LG has 130 registered primary schools out of which three schools (Bijjaba A CPOE PS, Kigeye COPE PS and Bijaaba B) has less than P&amp; and 127 schools have P7. The LG 1026 recruited teachers in all the 130 (100%) primary school as the MoES guidelines</p>	3

Achievement of standards: The LG has met prescribed school staffing and infrastructure standards

Maximum 6 points on this performance measure

b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,

- If above 70% and above score: 3
- If between 60 - 69%, score: 2
- If between 50 - 59%, score: 1
- Below 50 score: 0

The basic requirements and minimum standards set out in the DES guidelines are;

Classrooms: A classroom pupil ratio of 1:53.

Latrine stances: A stance pupil ratio of 1:40.

Desks: A desk pupil ratio of 1:3

Teacher accommodation: A school should have at least 4 teacher accommodation units.

The LG has 130 UPE schools with an enrolment of 50,773 learners.

The LG has 983 classrooms  $(983 \times 53)/50,773 > 100\%$

The LG has 1069 latrine stances  $(1069 \times 40)/50,773 = 85.79\%$

The LG has 11196 desks  $(11196 \times 3)/50773 = 66.15\%$

The LG has 23 schools with a minimum of 4 teacher accommodation units = 17.69%

Average percentage was 67.40%

The LG has 7 USE schools with an enrolment of 3410 learners.

The LG has 75 classrooms  $(75 \times 53)/3410 > 100\%$

The LG has 117 latrine stances  $(117 \times 40)/3410 > 100\%$

The LG has 1917 desks  $(1917 \times 3)/3410 > 100\%$

The LG has 23 schools with a minimum of 4 teacher accommodation units = 17.69%

Average percentage was 79.40%

UPE and USE average was 73.40%

## Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p>	<p>From the LG teacher deployment list for the current FY and from the teachers disseminated on the notice boards of the sampled schools, there was evidence that the LG accurately reported on teachers and where they are deployed.</p>	2
	<p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• If the accuracy of information is 100% score 2</li> <li>• Else score: 0</li> </ul>	<p>Seeke PS Kinoni TC (urban) had the following teachers; Ssuuna Mugula Cassim, Nassazi Fatuma, Nduga Sadat, Nanziri Polly, Bakabulindi Richard, Makumbi Tauba, Nanyondo Halimati, Namatovu Plaxeda, Naiga Immaculate, Nantaba Dorothy, Wanyana Madina, Ssendawula Gonzaga, Nakaweesa Prossy, Mukalwengo Grace and Abaho Evalist.</p>	
			<p>Kyanjove PS Lwengo sub county (rural) had the following teachers; Bulungu George, Lukyamuzi Daniel, Hafashimaana Apulinali, Ssuuna Edward, Nasali Phiona, Kimera Denis, Baluku Hamisi, Namara R. Juliet, Kabugho Nuria, Lutaya Simon Peter, Nansubuga Rose and Batte Leonard.</p>	
			<p>Kyetume PS Kyetume sub county (peri urban) had the following teachers; Ssebitosi Isack, Seruwu Moses, Tumwebaze Benjamin, Kenyina Shallot, Kyebuzibwa Julius, Mwebaze Justus, Nassiwa Scovia, Gatete Johnson, Nakayinga Esther, Nakawesi Slivia and Kyarisiima Christine.</p>	
5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p>	<p>From consolidated LG school asset register and from the asset registers at sampled schools, there was evidence that the LG had a school asset register reporting on the infrastructure in all registered primary schools. In the sampled schools the asset registers were as follows;</p>	2
	<p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• If the accuracy of information is 100% score 2</li> <li>• Else score: 0</li> </ul>	<p>Seekke PS Kinoni TC had 11 classrooms, 12 latrine stances, 232 desks and seven teacher accommodation units.</p>	
			<p>Kyanjovu PS Lwengo sub county had 9 classrooms, 13 latrine stances, 180 desks and 0 teacher accommodation units.</p>	
			<p>Kyetume PS Kyetue sub county had 7 classrooms, 10 latrine stances, 100 desks and 0 teacher accommodation units.</p>	

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p> <ul style="list-style-type: none"> <li>• If 100% school submission to LG, score: 4</li> <li>• Between 80 – 99% score: 2</li> <li>• Below 80% score 0</li> </ul>	<p>From the list of 130 registered primary schools in the performance contract, from the 130 copies of annual reports submitted to the DEO there was evidence that the LG ensured that all the 130 registered primary schools complied with the MoES annual budgeting and reporting guidelines and submitted reports to the DEO by January 30. From the sampled schools; Seeke PS submitted on 11/12/2022, Kyenjove PS submitted on 25/11/2022 and Kyetume CU PS submitted on 25/11/2022.</p>	4
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> <li>• If 50% score: 4</li> <li>• Between 30- 49% score: 2</li> <li>• Below 30% score 0</li> </ul>	<p>From the minutes of education department meeting held on 02/01/2023 (Min. 04/Educ/11/2023) and departmental meeting held on 14/06/2023 and from copies of SIPs found in the sampled schools of Seeke PS, Kyanjovu PS and Kyetume PS, there was evidence that all the 130 (100%) UPE schools were supported to develop SIPs.</p>	4
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> <li>• If 100% score: 4:</li> <li>• Between 90 – 99% score 2</li> <li>• Below 90% score 0</li> </ul>	<p>From the list of schools from LG performance contract, EMIS/OTIMS data extract of schools from MoES and list of schools from PBS, there was evidence that the LG collected and compiled EMIS return forms for all 130 UPE and 7 USE (100%) registered schools from the previous FY</p>	4

## Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p>	<p>From the approved budget estimates 2022/2023 FY, there was evidence that the LG budgeted Ug. Shs. 13,526,874,000 (page 32) for 1026 teachers in 130 registered primary schools in the LG.</p>	4
	<p>Maximum 8 points on this performance measure</p>	<p>Score 4 or else, score: 0</p>		
7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY, Score 3 else score: 0</p>	<p>From the LG teacher deployment list for the current FY and from the teachers disseminated on the notice boards of the sampled schools, there was evidence that the LG accurately reported on teachers and where they are deployed.</p>	3
	<p>Maximum 8 points on this performance measure</p>		<p>Seeke PS Kinoni TC (urban) had the following teachers; Ssuuna Mugula Cassim, Nassazi Fatuma, Nduga Sadat, Nanziri Polly, Bakabulindi Richard, Makumbi Tauba, Nanyondo Halimati, Namatovu Plaxeda, Naiga Immaculate, Nantaba Dorothy, Wanyana Madina, Ssendawula Gonzaga, Nakaweesa Prossy, Mukalwengo Grace and Abaho Evalist.</p>	
			<p>Kyanjove PS Lwengo sub county (rural) had the following teachers; Bulungu George, Lukyamuzi Daniel, Hafashimaana Apulinali, Ssuuna Edward, Nasali Phiona, Kimera Denis, Baluku Hamisi, Namara R. Juliet, Kabugho Nuria, Lutaya Simon Peter, Nansubuga Rose and Batte Leonard.</p>	
			<p>Kyetume PS Kyetume sub county (peri urban) had the following teachers; Ssebitosi Isack, Seruwu Moses, Tumwebaze Benjamin, Kenyina Shallot, Kyebuzibwa Julius, Mwebaze Justus, Nassiwa Scovia, Gatete Johnson, Nakayinga Esther, Nakawesi Slivia and Kyarisiima Christine.</p>	
7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board, score: 1 else, score: 0</p>	<p>From the staff lists and actual deployment per school on LG and school notice boards of sampled schools, there was evidence that the teacher deployment list was disseminated on LG and school notice boards. In the sampled schools Seeke PS had 15 teachers, Kyanjovu PS had 12 teachers and Kyetume PS had 11 teachers as indicated on the LG teacher deployment list.</p>	1
	<p>Maximum 8 points on this performance measure</p>			

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copt to DEO/MEO</p>	<p>The LG had 130 primary schools and therefore 130 Head Teachers HTs). Ten appraisal reports were sampled to establish whether HTs were appraised within the prescribed time period. They were appraised on the following dates;</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>Score: 2 or else, score: 0</p>	<p><b>1.</b> Sseke PS (Ssuuna Magala Casim) - 22nd December 2022; <b>2.</b> Kaboyo PS (Nabukalu Rebecca) - 20th December 2022; <b>3.</b> St. Joseph Kinoni PS (Kiweewa Charles) - 22nd December 2022; <b>4.</b> Nakateete PS (Nabakiibi Zaina) - 29th November 2022; <b>5.</b> St. Barnabas Kabalungi PS (Kulabilawo Geraldine) - 7th December 2022; <b>6.</b> Kibimba Building Tomorrow PS (Byakatonda Matheus) - 30th November 2022; <b>7.</b> Kasana Bukoto PS (Ruzundura Aisha) 29th December 2022; <b>8.</b> Nkundwa PS (Bukenyu Erikadi) 14th December 2022; <b>9.</b> Lyakibirizi PS (Magembe Nicholas) - 14th December 2022; and <b>10.</b> Bijaaba "B" Cope PS - 30th November 2022</p>	
			<p><b>All were appraised by Mr. Mulumba Joseph within the prescribed time period.</b></p>	
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM</p>	<p>The LG had 9 Secondary Schools. <b>Only 5 appraisal reports for Head Teachers were presented for review.</b> The 5 HTs were appraised on the following dates;</p>	0
	<p>Maximum 8 points on this performance measure</p>	<p>Score: 2 or else, score: 0</p>	<p><b>1.</b> St. Paul Kyamukuzi SS - (Magala Achillies) - 21st December 2022; <b>2.</b> Mibirizi Seed SS - (Nakalya Abdala Nsubuga) - 31st December 2022; <b>3.</b> Nakyenyi SS - (Busulwa Tasera Fred) - 31st December 2022; <b>4.</b> Kaikolongo Seed SS - (Nakiryu Dalia - 8th November 2022; and <b>5.</b> Busiibo SS - (Atusasire Doreen) - 6th December 2022.</p>	
			<p>The five above were appraised by Mr. Mulumba Joseph.</p>	
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p>	<p>The Education Department had 6 members of staff. They were all appraised by Mr. Mulumba Joseph within the prescribed time period, as follows;</p>	0
	<p>Maximum 8 points on this performance measure</p>	<p>score: 2. Else, score: 0</p>	<p><b>1.</b> Senior Inspector of Schools, Mbaziira Gonzaga - 30th June 2023; <b>2.</b> Senior Education Officer, Kyambadde Godfrey - 30th June 2023; <b>3.</b> Inspector of Schools, Mulumba Sumayah - 30th June 2023; <b>4.</b> Education Officer, Ssempiila Moses - <b>1st July 2023</b>; <b>5.</b> Sports Officer, Mukasa Lawrence - 30th June 2023; and <b>6.</b> Education Officer - special needs, Mukiza Godfrey - 30th June 2023</p>	
			<p><b>One staff member, Education Officer, Ssempiila Moses, was appraised outside the prescribed time period.</b></p>	

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>From the Education Department training plan for FY 2022/2023 developed and submitted to CAO on 22/07/2022, there was evidence that the LG prepared a training plan to address capacity gaps at LG and school levels.</p>	2
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**Management, Monitoring and Supervision of Services.**

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p>	<p>From letter from CAO submitted on 13/12/2022 to PS MoES, there was evidence that the LG confirmed in writing the list of 130 UPE schools with an enrolment of 50773 learners and 9 USE schools with an enrolment of 7733 learners by 15th December.</p>	2
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p> <p>If 100% compliance, score:2 else, score: 0</p>	<p>From the approved budget estimates FY 2022/2023 (page 35) the LG allocated Ug. Shs. 78,476,000 to monitoring and inspection.</p> <p>Monitoring 4,500,000 + (100,000 x 130 schools) 17,500,000</p> <p>Inspection 4,000,000 + (112,000 x 130 schools) 18,560,000</p> <p>The total figure for monitoring and inspection is 36,060,000</p> <p>The budgeted figure of Ug. Shs. 78,476,000 is greater than Ug. Shs. 36,060,000</p>	2

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p>	<p>There was no evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p>	0
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score: 2 else score: 0</p>	<p>Q1 cash limits were received on 08/07/2023 and Warrant No. 883AW-2023-06 was prepared on 08/08/2022 (beyond 5 working days);</p>	
			<p>Q2 cash limits were received on 30/09/2023 and Warrant No. 883AW-2023-13 was prepared on 12/10/2022 (beyond 5 working days);</p>	
			<p>Q3 cash limits were issued on 29/12/2023 and Warrant No. 883AW-2023-15 was prepared on 13/01/2023 (beyond 5 working days); and</p>	
			<p>Q4 cash limits were received on 06/04/2023 and Warrant No. 883AW-2023-20 was prepared on 27/04/2023 (beyond 5 working days)</p>	
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p>	<p>There was no evidence that the LG invoiced and communicated releases of capitation grants to schools within 3 working days from the release date on quarterly basis as analysed below:</p>	0
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score: 2 else, score: 0</p>	<p>-Q1 Cash Limits were issued on 6th Jul 2022 and School capitation grant was invoiced and published on 10th Aug. 2022 (beyond 3 working days);</p>	
			<p>-Q2 Cash Limits were issued on 10th Sep. 2022 and School capitation grant was invoiced and published on 13th Oct. 2022 (beyond 3 working days);</p>	
			<p>-Q3 Cash Limits were issued on 29th Dec. 2022 and School capitation grant was Invoiced on 16th Jan 2023. This was published on 3rd Feb. 2023 on Notice Boards; and</p>	
			<p>-Q4 Cash Limits were issued on 6th Apr 2023 School capitation grant was Invoiced and published on 12th May 2023 (beyond 3 working days)</p>	
10	<p>Routine oversight and monitoring  <i>Maximum 10 points on this performance measure</i></p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p>	<p>From minutes of meetings held on 06/10/2022 (for term 3 2023), minutes of 22/02/2023 for (term 1 2023) and minutes of 16/06/2023 for (term 2 2023) and inspection workplan for 2022/2023, there was evidence that the LG held meetings to plan for inspection and prepared an inspection plan.</p>	2
		<p>• If 100% compliance, score: 2, else score: 0</p>		

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> <li>• If 100% score: 2</li> <li>• Between 80 - 99% score 1</li> <li>• Below 80%: score 0</li> </ul>	<p>From inspection reports of term 3 2022 (submitted to DES on 21/12/2022), term 1 2023 (submitted to DES on 24/03/2023) and term 2 report (submitted to DES on 30/06/2023), there was evidence that all the 130 (100%) registered primary schools were inspected.</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>From minutes of staff meetings, there was evidence that inspection reports were discussed and used to make corrective actions and that those actions have subsequently been followed up. From staff meeting held on 02/02/2023 (Min. 04/Educ/11/2022) the DEO observed that the SIPS had not been prepared properly and requested the inspectors of schools to train headteachers in all the schools in developing SIPS and report back in the next meeting. In the meeting held on 14/06/2023 (Min. 04/Educ/06/2022) the inspectors of schools reported that the training of headteachers had been done and that all the schools had developed SIPS. From sampled schools of Seeke PS, Kyenjovu PS and Kyetume PS their was evidence of school improvement plans displayed on the notice boards.</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>From sampled schools there was evidence that copies of inspection reports had been left behind. For Seeke PS copies of reports dated 21/11/2022 and 12/03/2023, for Kyanjove PS copies dated 23/11/2022, 14/07/2023 and 25/09/2023 and Kyetume copies dated 19/07/2022 and 17/03/2023 had been left behind. From submittal letters to DES, there was evidence that the LG submitted inspection reports to DES as follows;</p> <p>Term 3 report 2022 submitted on 21/12/2022.</p> <p>Term 1 report 2023 submitted on 24/03/2023.</p> <p>Term 2 report 2023 submitted on 30/06/2023.</p>	2

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>There was evidence that education sector matters at Lwengo DLG were discussed by the Committee of Social Services four times during the year and that there reports had been presented to council as follows:</p> <ol style="list-style-type: none"> <li>1. Education committee report of 05/08/2022 was discussed by council on 30/08/2022 under Agenda No.8, Minute 08/LDC/08/2022;</li> <li>2. Education committee report of 21/09/2022 was discussed by council on 20/10/2022 under Agenda No.9, Minute 09/LDC/10//2022;</li> <li>3. Education committee report of 23/11/2022 was discussed by council on 15/12/2022 under Agenda No.10, Minute 10/LDC/12/2022; and</li> <li>4 Education Committee report of 02/01/2023, had been discussed by council on 23/02/2022 discussed under Agenda No.10, Minute No. 10/LDC/02/2022</li> </ol> <p>Some of the issues discussed included:</p> <ol style="list-style-type: none"> <li>i. Approval or workplans for 2022/23;</li> <li>ii. Status of construction of sanitary facilities in selected P/Ss</li> <li>iii. Abscondment of teachers in Kyanjovu P/S</li> </ol>	<b>2</b>
11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school, score: 2 or else score: 0</p>	<p>From reports on mobilization meetings with communities dated 25/11/2022 and 03/04/2023, there was evidence that the LG education department conducted activities to mobilize, attract and retain children at school.</p>	<b>2</b>

**Investment Management**

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i></p>	<p>From consolidated LG school asset register updated on 08/02/2023 and from the asset registers at sampled schools, there was evidence that the LG had a school asset register reporting on the infrastructure in all registered primary schools. The information from the consolidated LG school asset register was consistent with that from the three sampled schools as follows;</p> <p>Seekke PS Kinoni TC had 11 classrooms, 12 latrine stances, 232 desks and seven teacher accommodation units.</p> <p>Kyanjovu PS Lwengo sub county had 9 classrooms, 13 latrine stances, 180 desks and 0 teacher accommodation units.</p> <p>Kyetume PS Kyetue sub county had 7 classrooms, 10 latrine stances, 100 desks and 0 teacher accommodation units.</p>	2
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i></p>	<p>There was evidence that the LG has conducted a desk appraisal for all sector projects in the budget on 15/11/2021 to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). Copies of the appraisal forms were verified for:</p> <p>i. Construction of 2-Classroom Block and a Store at Kagogwa P/School;</p> <p>ii. construction of 5-Stance Lined Pit Latrine at Bulemere P/S; and</p> <p>iii. construction of 2-Classroom Block and Office at Balimanyankya P/School</p>	1
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, <i>score 1 else score: 0</i></p>	<p>There was evidence that the LG has conducted field Appraisal on 12/11/2021 to determine the (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, the district planner presented the appraisal forms/reports for verification</p> <p>The following projects were appraised:</p> <p>i. Construction of 2-Classroom Block and a Store at Kagogwa P/School;</p> <p>ii. construction of 5-Stance Lined Pit Latrine at Bulemere P/S; and</p> <p>iii. construction of 2-Classroom Block and Office at Balimanyankya P/School</p>	1

13	Procurement, contract management/execution  <i>Maximum 9 points on this performance measure</i>	a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i>	There was evidence that the LG has budgeted for the Construction of a Seed Secondary School in Katovu Town Council at Ugx 3,117,407,000.	<b>1</b>
13	Procurement, contract management/execution  <i>Maximum 9 points on this performance measure</i>	b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i>	There was evidence of minute 00005/DCC/22-23 of the contracts committee meeting which sat on September 7, 2022 to approve the procurement of the school infrastructure projects. There was evidence of a letter referenced DLAS/MBR/106/2022, dated October 24, 2022 from the solicitor general which cleared the Completion of 2-class block, staff house and 2-5stance VIP latrines at Kyazanga PS at Ugx 508,226,426.	<b>1</b>
13	Procurement, contract management/execution  <i>Maximum 9 points on this performance measure</i>	c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i>	There was evidence of a letter referenced CR/185/1 dated January 30, 2023 from the CAO, appointing the DEO, the CDO, the labour officer, the Environment officer and the District Engineer to the Education sector Project Implementation team.	<b>1</b>
13	Procurement, contract management/execution  <i>Maximum 9 points on this performance measure</i>	d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES  <i>Score: 1, else, score: 0</i>	There was evidence that the construction of the seed school at katovu TC followed the standard technical designs provided by the MoES. The sampled dimension were for: the internal dimensions of before plastering of the Class room which were 8.9 x 7.1 which compared were with the finished dimensions of 8.81 x 6.97m; the internal dimensions of before plastering of the ICT which were 9.0 x 8.9m which compared were with the finished dimensions of 8.81 x 8.81m; and the internal dimensions of before plastering of the Staff room which were 8.86 x 7.10 m which compared were with the finished dimensions of 8.81 x 7.0m	<b>1</b>

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i></p>	<p>There was evidence that monthly site meetings were conducted for all sector infrastructure projects planned. The sampled meetings were: meeting held on July 28, 2023 which was attended by Assistant Engineering officer, Clerk of works, the DEO, the site Engineer, Foremen, the Acting DNR Officer, and the Town Engineer( Katovu TC); and the meeting held on August 23, 2023 which was attended by Assistant Engineering officer, Clerk of works, the DEO, the CDO, DHI (Lwengo), and media person from Buddu FM; meeting held on October 20, 2023 which was attended by Assistant Engineering officer, Clerk of works, the DEO, the Senior CDO, , Foremen, the Parish Chief, and the Acting DNR Officer, and the Town Engineer( Katovu TC</p>	<b>1</b>
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted <i>score: 1, else score: 0</i></p>	<p>There was evidence that the Environment office, the CDO and the district Engineer participated in the technical supervision through attendance of meetings. The sampled meetings were held on: July 28, 2023; August 23, 2022; and October 20, 2023.</p>	<b>1</b>

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>There was no evidence that the sector infrastructure projects had been properly executed and payments to contractors made within specified timeframes within the contract:</p> <p>VN 5315449 of 17/05/2023 being payment of UGX 76,690,833 to M/S Suha Quality Investments SMC Ltd against Certificate No.2 for the construction of 2-Classroom Block and Office at Balimanyankya P/School</p> <p>Payment was requested 06/01/2023, and was effected on 17/05/2023 (beyond 10 working days)</p> <p>The payment certificate was signed by DEO on 25/04/2023 but not the CDO or the Environment Officer o</p> <p>VN 4195355 of 02/03/2023 being payment of UGX 76,001,801 to M/S P&amp;D Traders and Contractors Ltd against Certificate No.1 for the construction of 2-Classroom Block and a Store at Kagogwa P/School</p> <p>Payment was requested 04/01/2023, and was effected on 02/03/2023 (beyond 10 working days)</p> <p>The payment certificate was signed by DEO on 24/02/2023 but not CDO or the Environment Officer</p> <p>VN 5896355 of 15/06/2023 being payment of UGX 22,499,091 to M/S Tripah Engineering Services Ltd against Certificate No.1 for the construction of 5-Stance Lined Pit Latrine at Bulemere P/S</p> <p>Payment was requested 02/05/2023, and was effected on 15/06/2023 (beyond 10 working days)</p> <p>The payment certificate was signed by DEO on 08/05/2023 but not CDO or the Environment Officer</p>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i></p>	<p>The was evidence that the LG Education department timely submitted a procurement plan on April 27, 2023</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>There was evidence of a complete procurement file for the Katovu Seed school. The details of the file were: MoES/Ugift/WRKS/21-22/00002 Construction of a Seed School in Katovu, whose requisition was made on February 6, 2021, advert was made on December 3, 2021, evaluation was completed on February 10, 2022 The Solicitor General's clearance was obtained on October 6, 2022 under the letter referenced AG/2022/2057 and contract signed on November 19, 2022 at an award price of Ugx 3,724,623,980.</p>	<b>1</b>
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**Environment and Social Safeguards**

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0</p>	<p>There was no Grievance Log at the education Department. There was no GRM advertised at the Education Department.</p>	<b>0</b>
15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>From the activity report for dissemination of educational guidelines to CAO (dated 21/06/2023, from the copy of guidelines prepared in October 2022 and from the sample schools where compounds were green and planted with trees, there was evidence that educational guidelines were disseminated.</p>	<b>3</b>

Safeguards in the delivery of investments

*Maximum 6 points on this performance measure*

a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, *score: 2, else score: 0*

There was no evidence that Lwengo DLG has in place a costed ESMP and these were incorporated within the BoQs and contractual documents. Costing was done by the Environment Department for projects indicated below:

- 1) Construction of a 2-classroom block office & toilet at Kagwoogwa primary school;
- 2) Construction of a 5-stance pit latrine at Gavu P/S;
- 3) Construction of a 5-stance lined pit latrine at Naanywa P/S;
- 4) Construction of a 5-stance lined pit latrine at Hope Bulemere P/S;
- 5) Construction of a 2-classroom block office & store at Balimanyankya primary school costed at UGX2,400,000/- for mitigation and UGX900,000/- for monitoring activities by the Environment Officer;
- 6) Construction of a 5-stance lined pit latrine at Bigando primary school
- 7) Construction of a 2-classroom block office & store at Kiwangala P/S; and
- 8) Construction of a 5-stance lined pit latrine at Hope Bulemere primary school dated 27/9/2022.

On checking BoQs, the values costed by the Environment Officer were never included in BoQs. It was therefore clear that BoQs did not take into consideration what the Environment Department costed.

Safeguards in the delivery of investments

*Maximum 6 points on this performance measure*

b) If there is proof of land ownership, access of school construction projects, *score: 1, else score:0*

There was no evidence that all Education projects were on land where the LG had proof of ownership. It was mentioned that most schools were on land owned by faith-based organisations but these had not signed any Agreements or MOUs with the DLG.

16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p>	<p>There was evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports. There were supervision and monitoring Reports for:</p> <p>Construction of a 5-stance pit latrine at</p> <ol style="list-style-type: none"> <li>1) Hope Bulemere PS dated 8th June 2023;</li> <li>2) Naanywa PS dated 8th June 2023;</li> <li>3) Bigando PS dated 8th June 2023; and</li> <li>4) Construction of Katovu Seed School dated 10th June 2023.</li> </ol>	<b>2</b>
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>d) If the E&amp;S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments</p> <p><i>Score: 1, else score:0</i></p>	<p>There was evidence that the E&amp;S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments. Payment Certificate prepared by Biira Jennifer Assistant Engineer, Checked by Kaweesi Robert District Engineer, Verified by Mulumba Joseph the District Education Officer and Authorised by the Chief Administrative Officer. But before these signed, a Committee comprising of four people including the Environment Officer and CDO. Certificated were availed for:</p> <ol style="list-style-type: none"> <li>1) Hope Bulemere PS dated from 5th to 12th of May 2023;</li> <li>2) Kagoogwa PS with no clear dates when the certification was signed;</li> <li>3) Gwavu PS dated 5th to 12th May 2023; and</li> <li>4) Naanywa PS dated from 16h to 24th of June 2023.</li> </ol>	<b>1</b>

**Health  
Performance  
Measures**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Local Government Service Delivery Results</b>				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> <li>• By 20% or more, score 2</li> <li>• Less than 20%, score 0</li> </ul>	<p>There was no evidence that the Lwengo District Local Government attained a 20% or more increase in the coverage of institutional deliveries. The total number of institutional deliveries in the three sampled health facilities in FY 2021/22 was 1,882 , dropping to 1,797 in FY 2022/23, a decrease of 4.5%.</p> <p>1. Kiwangala HCIV (859) - (821)</p> <p>2. Nanywa HCIII (360) - (376)</p> <p>3. Katovu HCIII: (663) - (600)</p> <p>Total 2022/23 (1797) - Total 2021/22 (1882)/1882 = -4.5%</p>	<b>0</b>
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> <li>• 70% and above, score 2</li> <li>• 50% - 69%, score 1</li> <li>• Below 50%, score 0</li> </ul>	<p>There was evidence that the average score in Health for LLG performance assessment was 89%</p> <p>1 Katovu Town Council scored 100%;</p> <p>2 Kkingo Subcounty scored 100%;</p> <p>3 Kinoni Town Council scored 100%;</p> <p>4 Kisekka Subcounty scored 100%;</p> <p>5 Kyazanga Subcounty scored 100%;</p> <p>6 Kyazanga Town Council scored 100%;</p> <p>7 Lwengo Subcounty scored 70%;</p> <p>8 Lwengo Town Council scored 70%</p> <p>9 Malongo Subcounty scored 80%</p> <p>10 Ndagwe Subcounty scored 70%</p> <p>Average score was 89%</p>	<b>2</b>

2	N23_Service Delivery Performance: Average score in the Health LLG performance assessment.	b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:	RBF was not implemented in 2022/23.	0
	Maximum 4 points on this performance measure	<ul style="list-style-type: none"> <li>• 75% and above; score 2</li> <li>• 65 – 74%; score 1</li> <li>• Below 65; score 0</li> </ul>		
3	Investment performance: The LG has managed health projects as per guidelines.	a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.	There was evidence that the health development grant of UGX 1,201,074,000 had been fully spent (100%) on eligible activities during the year as reported on Pg. 17 of the Q4 Performance Report.	2
	Maximum 8 points on this performance measure		<p>Some of the projects included:</p> <ol style="list-style-type: none"> <li>1. Fencing work at Kyentume H/C-IV at UGX 45,931,233;</li> <li>2. Renovation of Kyazanga H/C-IV at UGX 37,797,536; and</li> <li>3. Renovation of Staff House at Lwengenyi H/C III at UGX 45,136,834</li> </ol>	
3	Investment performance: The LG has managed health projects as per guidelines.	b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0	<p>There was no evidence that the DHO, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers</p> <p>VN 6420206 of 28/06/2023 being payment of UGX 45,931,233 to M/S Hanan Contractors U Ltd against Certificate No.1 for the fencing work at Kyentume H/C-IV</p> <p>The payment certificate was signed by DHO on 10/05/2023 but not CDO or the Environment Officer</p> <p>VN 6439964 of 28/06/2023 being payment of UGX 37,797,536 to M/S Tahoor Designers and Civil Engineering Ltd against Certificate No.1 for the renovation of Kyazanga H/C-IV</p> <p>The payment certificate was signed by DHO on 09/05/2023 but not CDO or the Environment Officer</p> <p>VN 6439352 of 28/06/2023 being payment of UGX 45,136,834 to M/S Kyamulibwa Carpentry Work Shop Ltd against Certificate No.1 for the renovation of Staff House Lwengenyi H/C III</p> <p>Payment certificate was signed by DHO 24/07/2023 (long after payment had been made), CDO and the Environment Officer did not sign</p>	0

3	Investment performance: The LG has managed health projects as per guidelines.	c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0	There was evidence that the variation of the variation of prices in the health infrastructure projects were within +/-20% of the LG estimates. The sampled projects were: Fencing of Kinoni and Kyetume HC II, where the estimate was Ugx 105,437,900 and the award price Ugx 103,437,900 and hence the variation was -1.89%; and Renovation of staff house at Kyazanga HC IV, whose estimate was Ugx 43,918,320 and contract price Ugx 41,918,320 hence the variation was -4.55%; Renovation of Lwengenyi HC II Staff house, whose estimate was Ugx 71,243,924 and contract price Ugx 70,000,000 hence the variation was -1.74%.	2
3	Investment performance: The LG has managed health projects as per guidelines.	d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY	There was evidence that the HC III construction at Lwengenyi is now at finished level. The major outstanding works are Ceiling, floor finishes, painting and painting. The works acn be rated at 85%.	1
	Maximum 8 points on this performance measure	<ul style="list-style-type: none"> <li>• If 100 % Score 2</li> <li>• Between 80 and 99% score 1</li> <li>• less than 80 %: Score 0</li> </ul>		
4	Achievement of Standards: The LG has met health staffing and infrastructure facility standards	a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure	Lwengo DLG has 5 HCIII and 3 HCIV. There was no evidence that the Lwengo LG had recruited staff for all HCIIIs and HCIVs as per staffing structure. The average number of staff for all the 5 HCIII was 75%, and that for the 3 HCIVs was 67.4%. However, three of the HCIIIs had less than 75% of the minimum staffing norms (Kakoma, Nanwya and Katovu HCIII) each had 68.4% of positions filled.	0
	Maximum 4 points on this performance measure	<ul style="list-style-type: none"> <li>• If above 90% score 2</li> <li>• If 75% - 90%: score 1</li> <li>• Below 75 %: score 0</li> </ul>		
4	Achievement of Standards: The LG has met health staffing and infrastructure facility standards	b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.	There was evidence that the health infrastructure for the Upgrade of Lwengenyi HC II to HCIII followed the standard technical designs provided by the MoH. The sampled internal dimensions were for: the internal dimensions of paediatric ward which were 6.5 x 5.2m which were in consonant with the design dimensions of 6.53 x 5.17m; the internal dimensions of Post natal ward which were 6.69 x 7.0m which were in consonant with the design dimensions of 6.7 x 7.0m; and the internal dimensions of the delivery ward which were 5.4 x 5.4 m which were in consonant with the design dimensions of 5.4 x 5.4m	2
	Maximum 4 points on this performance measure	<ul style="list-style-type: none"> <li>• If 100 % score 2 or else score 0</li> </ul>		

## Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>There was evidence that the health workers were in place as indicated in the staff list from the District Health Office and that this matched the list on the noticeboard at <b>only one of the three</b> sampled facilities. The details were as follows:</p> <ol style="list-style-type: none"><li>1) Nanywa HCIII (18/09/23 15/15);</li><li>2) Kinoni HCIII (2023/24 -16/18 staff the two staff who were not on the health facility list - Nakisekka Gladys an Enrolled Nurse was reported to be working at a private health facility - Mbirizi Muslim Centre and Birimuye James an Askari was missing; and</li><li>3) Katovu HCIII (July 2023 15/16 staff as 1 staff - Nagawa Angel was reported to have been on Study Leave for the previous two years.</li></ol>	0
5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>There was evidence that the information submitted in the PBS on construction status and functionality was accurate. The Annual PBS (2022/23) report under vote 883 includes i) Fencing of Kinoni HCIII (page 159 of 186) ii) Renovation of Lwengenyi HCII staff houses (page 162 of 186) iii) fencing of Kyetume HCIII (page 155 of 186) that was also documented in the Lwengo Health department Procurement Plan for FY 20223/23'.</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans &amp; budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"><li>• Score 2 or else 0</li></ul>	<p>There was evidence that the annual work plans and budgets of the three sampled facilities were submitted by 31st March of the previous FY for <b>only one out of the three sampled</b> health facilities. The submission dates for the sampled health facilities were:</p> <ol style="list-style-type: none"><li>1) Kiwangala HCIV (11/07/2022); -</li><li>2) Katovu HCIII (04/07/2022); and</li><li>3) <b>Nanywa HC3 (13/03/22)</b></li></ol> <p>The budgets that were available conformed to the prescribed formats in the planning guidelines (i.e., allocation of 30% to health promotion and disease prevention) for <b>only two out of the three</b> (Nanywa HCIII - 70.6% &amp; Katovu HCIII - 35%). The allocation by Kiwangala HCIV to health promotion and disease prevention <b>was only 18%</b>.</p>	0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>All three of the sampled health facilities (Katovu HCIII) submitted its annual budget performance report on the 12/07/23. Kiwangala HCIV and Nanywa HCIII had submitted monthly income and expenditure statements - on 02/07/23 and 30/06/23 respectively.</p>	2
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>There was evidence that the three sampled health facility improvement plans incorporated performance issues identified in the DHMT monitoring and assessment reports.</p> <p>1) Kiwangala HCIV (02/06/23), Nanywa HCIII (30/03/23), and Katovu HCIII (30/03/23). The examples of incorporating performance issues identified in the DHMT monitoring, and assessment reports were as follows:</p> <p>1. Kiwangala HCIV: The EDHMT meeting held in Q4 (19/04/2023) under minute 6, noted the inadequate support supervision of lower-level health facilities. Subsequently, the PIP budget included UGX 960,000 per quarter for this activity;</p> <p>2. Nanywa HCIII: The EDHMT meeting held in Q4 (19/04/2023) under minute 6, noted that some health facilities do not conduct quarterly performance review meetings. Subsequently, the health facility has included a budget of UGX 720,000 per quarter for the item.</p> <p>3. Katovu HCIII: The EDHMT meeting held on 20/01/23 noted that the health facility needed to conduct more supervisory visits to lower-level units. Subsequently, this item was budgeted UGX 480,000 per quarter.</p>	2
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,</p> <ul style="list-style-type: none"> <li>• score 2 or else score 0</li> </ul>	<p>There was evidence that the three sampled health facilities 1) Kiwangala HCIV 2) Katovu HCIII, and 3) Nanywa HCIII had submitted timely monthly 7 days following the end of the month.</p> <p>Kiwangala HCIV: 05/08/2022, 05/09/2022, 05/10/2022, 05/11/2022, 05/12/2022, 05/01/2023, 02/03/2023 04/04/2023 02/05/2023 06/06/2023 07/07/2023;</p> <p>Nanywa HCIII: 04/09/2022, 01/09/2022, 05/10/2022, 04/11/2022, 05/12/2022, 04/01/2023, 06/02/2023, 04/03/2023, 04/04/2023, 04/05/2023, 04/06/2023, 04/07/2023; and</p> <p>Katovu HCIII: 04/08/2022, 05/09/2022, 05/10/2022, 05/11/2022, 05/12/2022, 06/01/2023, 05/02/2023, 04/03/2023, 06/04/2023, 04/05/2023, 05/06/2023, 06/07/2023.</p> <p>The quarterly reports were timely for all three sampled facilities - 1) Kiwangala HCIII 2) Nanywa HCIII, and 3) Katovu HCIII except the Q2 report for Katovu HCIII, which was reportedly submitted at the start of the 1st quarter.</p> <p>Kiwangala HCIV: 07/10/2022, 06/01/2023, 07/04/2023, 05/07/2023;</p> <p>Nanywa HCIII: 04/10/2022, 02/01/2023, 05/04/2023, 05/07/2023; and</p> <p>Katovu HCIII: 07/10/2022, <b>07/07/2022</b>, 06/04/2023, 07/07/2023.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0</p> <p>Note: Municipalities submit to districts</p>	<p>RBF was not implemented in 2022/23.</p>	0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>RBF was not implemented in 2022/23.</p>	0
	<p>Maximum 14 points on this performance measure</p>			
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>There was evidence that the DHO had compiled and submitted all quarterly (4) Budget Performance Reports within the first month of the following quarter:)</p> <p>The quarterly reports were submitted as follows:</p> <ul style="list-style-type: none"> <li>-Q1 was submitted on 12th Oct. 2022 through the PBS (within one month);</li> <li>-Q2 was submitted on 10th Jan. 2023 through the PBS (within one month);</li> <li>-Q3 was submitted on 11th Apr. 2023 through the PBS (within one month); and</li> <li>-Q4 was submitted on 12th Jul. 2023 through the PBS Tool (submitted within one month)</li> </ul>	1
	<p>Maximum 14 points on this performance measure</p>			
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>There was evidence that Lwengo LG had developed and approved a Performance Improvement Plan for the lowest-performing health facilities. The lowest-ranked health facility based on several RBF performance health system strengthening blocks e.g., leadership and governance, service delivery, etc. The DHT health facility improvement plan is dated 05/07/22 with a column on the progress of the actions. E.g., it was noted that HUMC meetings were reported to have been done.</p>	1
	<p>Maximum 14 points on this performance measure</p>			

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>There was no evidence that Lwengo LG had implemented an approved Performance Improvement Plan for the lowest performing health facilities since the evidence presented was too generic and not matched to the areas recommended for improvement.</p>	0
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**Human Resource Management and Development**

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>There was no evidence that Lwengo district had budgeted for health workers as per the guidelines. The PBS (Performance Budgeting System) indicates a budget of UGX 4,726,029,000 for an average staffing level of 63.6% for the current FY.</p>	0
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7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>There was no evidence that Lwengo district had deployed for health workers as per the guidelines. The overall average for filled positions for HCII, HCIII and HCIV was only 63.6%. The average number of staff for the 3 HCIV is 67.4% (range 66.7-68.8; 4 HCIII was only 75% (range 68.4-89.5%); and the HCII 38.1% (range 33.3%-44.4%).</p>	0
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7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).	b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0	There was evidence from the arrival and departure register that health staff in the sampled health facilities: Nanywa HCIII (15/15 staff); 2) Kinoni HCIII (18/18 staff); and 3) Katovu HCIII (15/16 staff) on the deployment list for current FY were working where they were deployed. The staff who was missing from the arrival and departure register - Nagawa Angel an Enrolled Nurse, was reported to be on study leave since 2021/22.	3
	Maximum 9 points on this performance measure			
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).	c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0	There was evidence that the list of health workers deployed at the sampled facilities for the current FY 2023/24 was displayed on the health facility notice boards at three of the sampled health facilities - Nanywa HCIII (15/15 staff); 2) Kinoni HCIII (16/18 staff); and 3) Katovu HCIII (15/16 staff).	2
	Maximum 9 points on this performance measure			
8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	a) Evidence that the DHO/MMOHs has:  i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0	The LG had 42 Health Facilities and therefore 42 Officers In Charge. Ten appraisal reports were sampled to establish whether the officers In Charge were appraised within the prescribed time period.  1, Nankya Antonifa Kawooya (Naanywa HC II) - 30th June 2023; <b>2.</b> Kyabanabo Mary (Kalegero HC II) - 30th June 2023; <b>3.</b> Nabayinda Cissy (Lwengenyi HC II) - 30th June 2023; <b>4.</b> Kabejja Sarah (Katovu HC III) - 30th June 2023; <b>5.</b> Namwanga Edwin (Kyetume HC III) - 30th June 2023; <b>6.</b> Musisi Moses (Kinoni HC III) - 30th June 2023; <b>7.</b> Kaye Ronald (Lwengo HC IV) - 30th June 2023; <b>8.</b> Mutyangoma Joseph (Kiwangala HC IV) - 30th June 2023; <b>9.</b> Bwanika John (Kyazanga HC IV) - 30th June 2023; and <b>10.</b> Namyalo Sylvia (Nakatete HC II ) - 30th June 2023	1
	Maximum 6 points on this performance measure		All the above Health facility in-charges were appraised by DHO Kafumbe Moses.	

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p>	<p>Ten appraisal reports of Health workers were sampled to establish the dates of their appraisals;</p> <p><b>1.</b> Enrolled Nurse, Nakisozi Irene (Naanywa HC III) appraised by Nankya Antonifa Kawooya on 30th June 2023; <b>2.</b> Laboratory Assistant, Nassali Cissy (Lwengo HC IV) appraised by Kaye Ronald on 30th June 2023; <b>3.</b> Health Information Officer, Ninsiima Privah (Kakome HC III - 30th June 2023; <b>4.</b> Health Assistant, Samba George (Kikenene HC II) 30th June 2023; <b>5.</b> Laboratory Assistant, Gazza Richard (Lwengo HV IV) appraised by Kaye Ronald on 30th June 2023; ; <b>6.</b> Clinical Officer, Samba Godfrey (Kinoni HC III) appraised by Musisi Moses on 30th June 2023; <b>7.</b> Enrolled Midwife, Namugalu Edith (Kyetume HC III) appraised by Namwanga Edwin on 30th June 2023; <b>8.</b> Nursing Assistant, Nankya Teddy (Kasaana HC II) - 30th June 2023; <b>9.</b> Enrolled Nurse, Nalukwago Ruth (Kakoma HC III) 330th June 2023; and <b>10.</b> Enrolled Midwife, Najuma Mary (Kakoma HC III) 30th June 2023.</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>The DHO took corrective actions based on the appraisal reports as per the letter HEA/350, dated 1st July 2023, addressed to the CAO, through PHRO. The subject matter was "Performance Improvement Plan for Health Department FY 2023/24" The attachments to the letter outlined each individuals' capacity gaps, the specific technical area and the method of capacity enhancement</p>	2
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p>	<p>Although there was no training plan, there was evidence that the Lwengo local government had conducted training during the previous FY. There are several training reports in the file for the previous FY 2022/23 e.g., 1) HIV Care and Prevention Guidelines for HCII 2) Community Model care approach for HCII 3) District Based Quality Improvement Learning Session for Health Workers 4) NCD Integration in HIV Care ((# trained 4) 5) HIV Care and prevention guidelines -community models 6) Provision of friendly services for key priority and vulnerable populations 7) Roll out of HMIS/HIV SOPS training (# trained not indicated) 8) SGBV.</p>	1

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>There was evidence that Lwengo local government had documented the training activities for the previous FY. There are 8 training reports in the file with number of staff who had been trained. e.g., 1) HIV Care and Prevention Guidelines for HCII 2) Community Model care approach for HCII (# trained 7) 3) District Based Quality Improvement Learning Session for Health Workers (# trained 51) 4) NCD Integration in HIV Care ((# trained 4) 5) HIV Care and prevention guidelines -community models (# trained 7+5+5) 6) Provision of friendly services for key priority and vulnerable populations (# trained 63) 7) Roll out of HMIS/HIV SOPS training (# trained not indicated) 8) SGBV training (# trained 40).</p>	1
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**Management, Monitoring and Supervision of Services.**

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>There was no evidence that the CAO had notified the MoH of status of health facilities whether correct or wrong.</p>	0
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9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>There was no evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH). Deriving from Pg. 38 of the Approved Budget, the allocation for PHC NWR Grant for LLHF was UGX 292,111,538 out of which UGX 11,372,000 (3.9%) had been allocated to the monitoring of health services (less than 15%)</p>	0
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9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>	<p>There was no evidence that the LG made timely warranting of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget</p> <p>Q1 cash limits were issued on 08/07/2023 and Warrant No. 883AW-2023-06 was prepared on 08/08/2022 (beyond 5 working days);</p> <p>Q2 cash limits were issued on 30/09/2023 and Warrant No. 883AW-2023-13 was prepared on 12/10/2022 (beyond 5 working days);</p> <p>Q3 cash limits were issued on 29/12/2023 and Warrant No. 883AW-2023-15 was prepared on 13/01/2023 (beyond 5 working days); and</p> <p>Q4 cash limits were issued on 06/04/2023 and Warrant No. 883AW-2023-20 was prepared on 27/04/2023 (beyond 5 working days)</p>	0
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9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0</p>	<p>The LG did not invoice or communicate releases to health facilities within 5 working days from the release date on quarterly basis as analysed below:</p> <p>-Q1 Cash Limits were issued on 6th Jul 2022 and PHC-NWR were Invoiced to health facilities on 15th Aug. 2022 (beyond 5 working days), this had been published on the same day;</p> <p>-Q2 Cash Limits were issued on 30th Sep. 2022 and PHC-NWR were Invoiced to health facilities and published on 19th Oct. 2022 (beyond 5 working days);</p> <p>-Q3 Cash Limits were issued on 29th Dec. 2022 and PHC-NWR were Invoiced to health facilities on 20th Jan 2023 (within 5 working days); and</p> <p>-Q4 Cash Limits were issued on 6th Apr 2023 and PHC-NWR were Invoiced to health facilities on 4th May 2023 (beyond 5 working days). This was published on 27/05/2023.</p>	0
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N23\_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0

There was no evidence that the LG had publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED

-Q1 Cash Limits were issued on 6th Jul 2022 and PHC-NWR were Invoiced to health facilities on 15th Aug. 2022 (beyond 5 working days), this had been published on the same day;

-Q2 Cash Limits were issued on 30th Sep. 2022 and PHC-NWR were Invoiced to health facilities and published on 19th Oct. 2022 (beyond 5 working days);

-Q3 Cash Limits were issued on 29th Dec. 2022 and PHC-NWR were Invoiced to health facilities on 20th Jan 2023 (within 5 working days); and

-Q4 Cash Limits were issued on 6th Apr 2023 and PHC-NWR were Invoiced to health facilities on 4th May 2023 (beyond 5 working days). This was published the following day on 27/05/2023.

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0

There was evidence that the Lwengo LG Health Department had implemented actions recommended by the DHMT Quarterly Performance Review meeting. The quarterly performance review meetings were held on the following dates for each quarter: Q1: 13/12/22; Q2: 20/01/23 Q3: 11/05/23; Q4: 26/07/23 & 22/08/23. There was no evidence that the actions raised in the meetings that had been held were implemented. For example, in Q4, it was noted that Nanywa HCIII had a pit latrine in a very poor state. Subsequently, the i/c wrote a letter to CAO requesting that it be funded, and this activity was included in the approved budget estimates for the current FY 2023/24 (see page 30 of 79 under vote 883). The action points for Q1 noted the need to streamline documentation to ensure that Kaganda HCII receives medicines and health supplies. Subsequently the delivery notes of 06/09/23 indicate that the health facility received supplies.

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>There was no evidence that the quarterly performance review meetings were attended by all health facility in-charges, implementing partners, and other departments as shown in the attendance lists for the meetings by quarter.</p> <p>Q1: Health facility in charges (33/42); Implementing Partners (Health Access Connect, Options, Mariestopes), DHT (7/10); Other departments (Deputy CAO, ACAO Health);</p> <p>Q2: Health facility in charges (33/42), Implementing Partners (Mayanja Memorial), DHT (6/10), Other departments (CAO, DEO, DCDO);</p> <p>Q3: Health facility in charges (26/42), Implementing Partners (Mayanja Memorial), DHT (6/10); and</p> <p>Q4: Health facility in charges (35/42), Implementing Partners (None), DHT (5/10); Other departments (DCDO).</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>Lwengo LG has three HCIVs. There was evidence that the LG had supervised them during the previous FY.</p> <p>Q1 Kiwangala HCIV (report dated 13/09/22), Kyazanga HCIV (report dated 27/09/22), Lwengo HCIV (report dated 21/09/22)23;</p> <p>Q2: Kiwangala HCIV (report dated 12/10/22), Kyazanga HCIV (report dated 12/12/22), Lwengo HCIV report dated 29/11/22);</p> <p>Q3: Kiwangala HCIV (report dated 15/02/23), Kyazanga HCIV (report dated 21/03/23), Lwengo HCIV (report dated 21/03/23); and</p> <p>Q4: Kiwangala HCIV (Report dated 26/06/23), Kyazanga HCIV (report dated 05/04/23), Lwengo HCIV(report dated 04/04/23).</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <p>• If not applicable, provide the score</p>	<p>There was no evidence that the LG supervised the three sampled facilities during the previous FY. The supervision dates from the reports at the health facility and a review of the support supervision book for the sampled health facilities are as follows:</p> <p>1) Kinoni HCIII: Q4: 06/04/23, Q3 16/02/23, Q2 - 21/12/23, Q1 23/08/22;</p> <p>2) Nanywa HCIII: Q4: No dates, Q3 No dates, Q2 - No date; Q1 No dates; and</p> <p>3) Katovu HCIII: Q4: 30/05/23; Q3 No dates, Q2 - 27/12/22, Q1: 24/08/22.</p>	0

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>There was evidence in the facility support supervision books that the three sampled health facilities had received recommendations health department and implemented them as follows:</p> <ol style="list-style-type: none"> <li>1. Lwengo HCIV: During Q1 on 18/07/22 the DHT had recommended that the in charge, intensify TPT initiation for eligible &lt;5year contacts. Subsequently, the health facility implemented a QI project to increase the number of PBC TB patients who have a documented sputum smear test result;</li> <li>2. Kinoni HCIII: In Q1on 23/08/22 the DHT had recommended that the health facility Update the disease monthly surveillance charts. This item was observed on site during the assessment; and</li> <li>3. Katovu HCIII: In Q1 on 24/08/22 the DHMT recommended that the facility in charge authorise all requests for HIV positive vouchers and compile a weekly summary for the testing kits. These actions were observed to have been implemented by the 29/08/22.</li> </ol>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>There was evidence that guidance was provided to only 7/15 health facility in-charges on secure, safe storage and disposal of medicines and health supplies during 2022/23 as per the report provided in June 2023. The other reports (dated 10/03/23, 20/02/23, 20/06/23, 6/06/23) availed related to plenary feedback meetings which do not constitute a structured approach to guidance of the secure, safe storage and disposal of medicines and health supplies.</p>	0
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p>	<p>There was evidence that the LG allocated at least 30% of District Health Office budget to health promotion and prevention activities. Deriving from Pg.38 of the approved budget, the allocation for PHC-N/W for DHO's office was UGX 57,148,115 out of which UGX 18,600,000 (33%) had been allocated for health promotional activities.</p>	2

11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0</p>	<p>There was evidence that the DHT had implemented health promotion, disease prevention and social mobilization activities in the previous FY. The topics reported on in the quarterly report by quarter are as follows:</p> <p>Q1: Report dated 05/09/22 – activities conducted included community dialogue on malaria, condom distribution, IEC distribution;</p> <p>Q2: 07/11/22 – describes a radio talk show on the polio campaign;</p> <p>Q3: Activity reported dated 29/03/23 describes the distribution of IEC materials on Ebola, and a community malaria dialogue; and</p> <p>Q4: Report dated 29/06//23 – reported on a malaria community dialogue</p>	<b>1</b>
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11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p>	<p>There was evidence that the follow-up actions were taken on health promotion, disease prevention and social mobilization aspects For example, in Q1, recommendation was made to conduct a community dialogue with VHTs on the prevention of self-medication. Subsequently, one of the achievements documented for the Q2 report was that VHTs were oriented on the need to avoid sharing anti-malaria medicines.</p>	<b>1</b>
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**Investment Management**

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>There was no evidence that the Assets register details health facilities and equipment in the LG relative to the medical equipment list and service standards. The file containing the Assets register included individual information for 12/15 GoU facilities. Three health facilities – Kakoma HCIII, Kyazanga and Kiwangala HCIVs were missing. The names of the other health facilities in the register were:</p> <p>1 Lwengenyi HCII, 2 Kalegero HCII, 3 Kasaana HCII, 4 Kisansala HCII, 5 Kikenene HCII, 6 Kaganda HCII, 7 Nakateete HCII, 8 Kinoni HCIII, 9 Kyetume HCIII, 10 Nanywa HCIII, 11 Katovu HCIII, and 12 Lwengo HCIV.</p>	<b>0</b>
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12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDPIII); (ii) desk appraisal by the LG; and (iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG));</p>	<p>There was evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from Pg 202 of the third LG Development Plan (LGDPIII); (ii) desk appraisal by the LG on 15/11/2021; and (iii) eligible for expenditure under sector guidelines and funding source</p> <p>Some of the projects implemented include the following:</p> <ol style="list-style-type: none"> <li>1. Fencing work at Kyentume H/C-IV at UGX 45,931,233;</li> <li>2. Renovation of Kyazanga H/C-IV at UGX 37,797,536; and</li> <li>3. Renovation of Staff House Lwengenyi H/C III at UGX 45,136,834</li> </ol>	1
	<p>Maximum 4 points on this performance measure</p>	<p>score 1 or else score 0</p>		
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>There was no evidence that the LG had conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions. The planner did not provide field appraisal reports for any of the following projects:</p> <ol style="list-style-type: none"> <li>1. Fencing work at Kyentume H/C-IV at UGX 45,931,233;</li> <li>2. Renovation of Kyazanga H/C-IV at UGX 37,797,536; and</li> <li>3. Renovation of Staff House Lwengenyi H/C III at UGX 45,136,834</li> </ol>	0
	<p>Maximum 4 points on this performance measure</p>			
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>There was evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction. Screening done by Mutemba Godfrey, Senior Environment Officer for:</p> <ol style="list-style-type: none"> <li>1) Fencing of Kyetume HC III, done on 4th/ 10/2022;</li> <li>2) Renovation of staff house at Rwengenyi done on 4th/ 10/2022;</li> <li>3) Renovation of staff house at Kyazanga HC IV dated 5th/ 10/2022; and</li> <li>4) Facility upgrade from Rwengenyi HC II to HC III dated 5th/ 10/2022.</li> </ol>	1
	<p>Maximum 4 points on this performance measure</p>			

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY ) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>There was evidence that the LG health department submitted all its infrastructure and other procurement requests to PDU on April 27, 2023.</p>	<b>1</b>
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>There was evidence that the LG Health department submitted procurement request form on July 26, 2023. The procurement requests included: Construction of 4 unit staff houses at Lwengenyi HC II; "Renovation of a staff house at Kyazanga HC IV"; and the "Construction of a 5-stance VIP Latrine at Nanywa HC III".</p>	<b>1</b>
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>There was evidence of minute MIN: 00005/DCC/22-23, which sat on July 18, 2022 to approve all the infrastructure project for the last FY. There was also evidence of a letter dated June 2, 2023, referenced DLAS/MBR/105/2023, which cleared the procurement of the Upgrade of Lwengenyi HC II to HCIII.</p>	<b>1</b>
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence of a letter dated June 12, 2023 appointing the DHO, the District Engineer, the District labour office and the Environment officer on the Project Implementation Team for the all health projects.</p>	<b>1</b>

13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0	There was evidence that the health infrastructure for the Upgrade of Lwengenyi HC II to HCIII followed the standard technical designs provided by the MoH. The sampled internal dimensions were for: the internal dimensions of paediatric ward which were 6.5 x 5.2m which were in consonant with the design dimensions of 6.53 x 5.17m; the internal dimensions of Post natal ward which were 6.69 x 7.0m which were in consonant with the design dimensions of 6.7 x 7.0m; and the internal dimensions of the delivery ward which were 5.4 x 5.4 m which were in consonant with the design dimensions of 5.4 x 5.4m	1
	Maximum 10 points on this performance measure	If there is no project, provide the score		
13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0	There was no evidence of the Clerk of Works daily records for the Lwengenyi HC III	0
	Maximum 10 points on this performance measure	If there is no project, provide the score		
13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0	There was no evidence monthly site meetings chaired by the CAO/Town Clerk and attended by the the Sub-county Chief and chairpersons of the HUMC during the construction of the HC at Lwengenyi.	1
	Maximum 10 points on this performance measure	If there is no project, provide the score		

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no evidence that the LG carried out technical supervision of works with the Environment officers and the CDO.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p>	<p>There was no evidence that the DHO verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days)</p> <p>VN 6420206 of 28/06/2023 being payment of UGX 45,931,233 to M/S Hanan Contractors U Ltd against Certificate No.1 for the fencing work at Kyentume H/C-IV</p> <p>Payment was requested 09/05/2023, and was effected on 28/06/2023 (within 10 working days)</p> <p>The payment certificate was signed by DHO on 10/05/2023 but not CDO or the Environment Officer</p> <p>VN 6439964 of 28/06/2023 being payment of UGX 37,797,536 to M/S Tahoor Designers and Civil Engineering Ltd against Certificate No.1 for the renovation of Kyazanga H/C-IV</p> <p>Payment was requested 03/05/2023, and was effected on 28/06/2023 (beyond 10 working days)</p> <p>The payment certificate was signed by DHO on 09/05/2023 but not CDO or the Environment Officer</p> <p>VN 6439352 of 28/06/2023 being payment of UGX 45,136,834 to M/S Kyamulibwa Carpentry Work Shop Ltd against Certificate No.1 for the renovation of Staff House Lwengenyi H/C III</p> <p>Payment was requested 22/06/2023, and was effected on 28/06/2023 (within 10 working days)</p> <p>The Payment Certificate was signed by DHO on 24/07/2023 (long after payment had been made), CDO and the Environment Officer did not sign</p>	0

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>There was evidence of complete procurement files with record as required. The sampled projects were: LWEN599/WRKS/2022-23/00008 Fencing of Kinoni and Kyetume HC III, whose requisition was made on July 13, 2022, advert was made on July 21, 2022, evaluation was completed on September 2, 2022, and contract signed on July 11, 2023 at an award price of Ugx 103,437,900; LWEN599/WRKS/2022-23/00012 Renovation of staff house at Kyazanga HC IV, whose requisition was made on July 13, 2022, advert was made on July 21, 2022, evaluation was completed on September 2, 2022 and contract signed on March 13, 2023 at an award price of Ug Shs 41,918,320; and MoH/Ugift/WRKS/21-22/00034 Upgrade of Lwengenyi HC II to HCIII, whose estimate was Ugx 600,000,000, advert was made on May 18, 2023, evaluation was completed on May 25, 2023, Solicitor Generals clearance was obtained on June 2, 2023 through a letter referenced DLAS/MBR/105/2023 and contract signed on June 5, 2022 at an award price of Ugx 617,000,000.</p>	1
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**Environment and Social Safeguards**

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>There was no Grievance Log at the Health Department. There was no GRM advertised at the Health Department.</p>	0
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>There was evidence that the LG had disseminated guidelines on health care / medical waste management to health facilities. A dissemination list of Medical Waste Management to Health facilities were available. The dissemination list indicated that the Guidelines had been disseminated to 17 Health Facilities. The first recipient was Bwanika John, MO and the last to receive for the facility was Namyalo Sylvia, ANO.</p>	2

15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery	b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0	There was evidence that the LG had in place a functional system for Medical waste management or central infrastructures for managing medical waste. There was evidence that the LG had in place a functional system for Medical waste management. An MOU between Lwengo DLG and Green Label Services was available. It was dated 01/10/2022 and signed by CAO Lwengo, Mr. Ntulume George and Dr. Grace Mugume on behalf of Green Label Services Limited. The MOU was witnessed by Dr. Kafumbe Moses, DHO on behalf of Lwengo DLG.	2
	Maximum 5 points on this performance measure			
15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery	c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0	There was evidence that Lwengo DLG conducted training and created awareness in healthcare waste management. A report dated 12/10/2022 titled: 'A REPORT FOLLOWING TRAINING AND WASTE MENEAGEMENT AWARENESS ON HEALTH CARE WASTE MANAGEMENT BY HEALTH WORKERS' was available. It was written by Mugabi David, ADHO Environment Health.	1
	Maximum 5 points on this performance measure			
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0	<p>There was no evidence that Lwengo DLG incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY. Costing was done by Mutemba Godfrey, Senior Environment Officer for:</p> <p>1) Fencing of Kyetume HC III, was costed on 13th/ 10/2022. Costed values for mitigation were UGX1,200,000/- and monitoring at UGX650,000/-;</p> <p>2) Renovation of staff house at Rwengenyi was costed on 13th/ 10/2022. Costed values for mitigation were UGX1,750,000/- and monitoring at UGX750,000/-;</p> <p>3) Renovation of staff house at Kyazanga HC IV costed on 13th/ 10/2022. Costed values for mitigation were UGX2,800,000/- and UGX1,100,000/-; and</p> <p>4) Facility upgrade from Rwengenyi HC II to HC III costed on 13th/ 10/2022. Costed values for mitigation were UGX2,700,000/- and UGX1,050,000/-.</p> <p>However, on checking BoQs, the values costed by the Environment Officer were never included in BoQs. It was therefore clear that BoQs did not take into consideration what the Environment Department costed.</p>	0
	Maximum 8 points on this performance measure			

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>There was one Health Centre that had a Land Title. This was Kyetume HC III. It was located on Plot 36, Block 484 Buddu. But the other two Health Centres namely, Kyazanga HC IV and Rwengenyi HC III, were not located on titled land.</p>	0
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>There was evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provided monthly reports. Supervision reports done by Mutemba Godfrey, Senior Environment Officer and Mazinga Joseph, District Community Development Officer, were availed for:</p> <ol style="list-style-type: none"> <li>1) Renovation of Lwengenyi HC II Staff house, dated 10th June 2023;</li> <li>2) Renovation of Kyazanga HC IV Staff Houses, dated 8th June 2023;</li> <li>3) Fencing of Kinoni HC III, dated 8th June 2023;</li> <li>4) Fencing of Kinoni HC III, dated 8th June 2023;</li> <li>5) Fencing of Kyetume HC III, dated 8th June 2023;HC III</li> <li>6) Upgrading Lwengenyi HC II to HC III, dated 8th June 2023.</li> </ol>	2

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0

There was evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects. Payment Certificates were presented having signatures of the District Engineer, District Health Officer and Authorised by the Chief Administrative Officer. But before these signed, a Committee comprising of four people including the Environment Officer and CDO. Certificated were availed for:

- 1) Renovation of staff House at Rwengenyi HC II signed from 28th Feb. to 07 March 2023;
- 2) Renovation of Kyazanga HC IV Staff Houses, dated from 7th to 09 May 2023; and
- 3) Construction of staff house at Rwengenyi HC III dated from 24th July to 26th July 2023.

**Water &  
Environment  
Performance  
Measures**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Local Government Service Delivery Results</b>				
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees  <i>Maximum 4 points on this performance measure</i>	a. % of rural water sources that are functional.  If the district rural water source functionality as per the sector MIS is:  o 90 - 100%: score 2  o 80-89%: score 1  o Below 80%: 0	According to Management Information System of the Ministry of Water and Environment, the functionality of Lwengo district rural water sources is 80%.	<b>1</b>
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees  <i>Maximum 4 points on this performance measure</i>	b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:  o 90 - 100%: score 2  o 80-89%: score 1  o Below 80%: 0	According to Management Information System of the Ministry of Water and Environment the percentage of facilities with functional water and sanitation committees (document water user fee collection records and utilization with the approval of the WSCs) is 90%.	<b>2</b>
2	N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment  <i>Maximum 8 points on this performance measure</i>	a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;  • Above 80%, score 2  • 60% - 80%, score 1  • Below 60%, score 0	The LG average score in the water and environment LLGs performance assessment for the current. FY was 58%  1 Kkingo Subcounty scored 80%  2 Kisekka Subcounty scored 100%  3 Kyazanga Subcounty scored 70%  4 Lwengo Subcounty scored 0%  5 Malongo Subcounty 100%  6 Ndagwe Subcounty 100%  The average score was 58%	<b>0</b>
2	N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment  <i>Maximum 8 points on this performance measure</i>	b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.  o If 100 % of water projects	Based on the Ministry MIS, Lwengo District has six sub counties and Four Town council namely Kiseka Sub county (with a coverage of 95%); Kiigoa Sub County (with a coverage of 95%); Kyazanga Sub County (with a coverage of 73%); Lwengo Sub county (with a coverage of 52%); Malongo Sub county	<b>1</b>

this performance measure

are implemented in the targeted S/Cs: Score 2

o If 80-99%: Score 1

o If below 80 %: Score 0

(with a coverage of 50%); and Ndagwe Sub county (with a coverage of 88%). The Town Councils are Lwengo Town Council, Katovu TC, Kinono TC and Kyazanga TC. Lwengo District had average water coverage of 71% which implies that two sub counties of Lwengo Sub county (with a coverage of 52%), and Malongo Sub County (with a coverage of 50%) had a water coverage below the district average. Annual Report (also Fourth Quarter Report) was presented for review during assessment.

Annual Report (Also Fourth Quarter Report) submitted under cover letter Ref CR/185/1 dated July 31st, 2023 and received at the Ministry of Water and Environment on August 4th, 2023. Letter was signed for Chief Administrative Officer by Mr. Mugumya Wilfred with copies to the Director Budget, Ministry of Finance, Planning and Economic Development; the Permanent Secretary, Ministry of Local Government; Resident District Commissioner – Lwengo; the Chief Finance Officer – Lwengo; the Chief Internal Auditor-Lwengo District; RWRC-4 Wakiiso; and File.

According to the above named report, of the 17 projects planned, all of which were implemented in the year namely:

- Construction of public latrine (#01) at a cost of UGX 26,200,000/=
- Construction of Brick Masonry Rain Water Harvesting Tanks (#06) at a cost of UGX 178,092,674/= (Two 30 cubic meter tanks @ at UGX 19,362,414/= costing UGX 58,090,242/= and Four 50 Cubic Meter Tanks @ at UGX 30,000,608/= costing UGX 120,002,432/=);
- Rehabilitation of Hand Pumped Boreholes (#06) at a cost of UGX 60,000,000/= ;
- Drilling of Hand Pumped Deep Boreholes (#02) at a cost of UGX 52,937,204/=;
- Construction of Mini Solar Pumped System at a Cost of UGX 120,085,576/=; and
- Construction of 3,000 cubic meter Valley Tank at a Cost of UGX 62,000,000.

Of these 17 projects, (6) were in sub counties with coverage below district average all of which (100%) were implemented which is above 80% giving a score of 2.

Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates

- o If within +/-20% score 2
- o If not score 0

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According to this work plan 17 projects were planned namely:

- Construction of public latrine (#01) at a cost of UGX 26,200,000/=
- Construction of Brick Masonry Rain Water Harvesting Tanks (#06) at a cost of UGX 178,092,674/= (Two 30 cubic meter tanks @ at UGX 19,362,414/= costing UGX 58,090,242/= and Four 50 Cubic Meter Tanks @ at UGX 30,000,608/= costing UGX 120,002,432/=);
- Rehabilitation of Hand Pumped Boreholes (#06) at a cost of UGX 60,000,000/= ;
- Drilling of Hand Pumped Deep Boreholes (#02) at a cost of UGX 52,937,204/=;
- Construction of Mini Solar Pumped System at a Cost of UGX 120,085,576/=; and
- Construction of 3,000 cubic meter Valley Tank at a Cost of UGX 62,000,000.

Three of the Contracts of the above activities were analyzed for cost variation as outlined below:

- Installation of Motorized Mini Solar Powered Borehole System and Pipe Work at Kyetume - Lwengo Sub County at a cost of UGX 114,960,399/= by MS Tripah Engineering Services Ltd unreferenced and undated contract - this is different from the engineering estimates by 4%;
- Borehole Drilling for Deep Boreholes Hand Pumps in Kisekka and Kkingo sub counties of at a cost of UGX 57,938,000/= by MS ICON Projects Ltd - Unreferenced Contract dated February 02nd, 2023 - this is different from the engineering estimates by -9%; and
- Construction of one 50 cubic meter Brick Masonry Rain Water Harvesting

Tank at St. Charles Kasenene in Malongo Sub County at a cost of UGX 27,897,442/= by MS KAROBS Enterprises Ltd unreferenced and undated contract - this is different from the engineering estimates by 7%.

Variations in the contract prices of all the three sampled WSS infrastructure investments for the previous FY was within +/- 20% of engineer's estimates.

2

N23\_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

d. % of WSS infrastructure projects completed as per annual work plan by end of FY.

o If 100% projects completed: score 2

o If 80-99% projects completed: score 1

o If projects completed are below 80%: 0

1

Annual Work Plan 2022-2023 submitted under cover letter Ref CR/185/1 dated July 31st, 2023 and received at the Ministry of Water and Environment on August 4th, 2023. Letter was signed for Chief Administrative Officer by Mr. Ntulume George with copies to the Director Budget, Ministry of Finance, Planning and Economic Development; the Permanent Secretary, Ministry of Local Government; Resident District Commissioner - Lwengo; the Chief Finance Officer - Lwengo; the Chief Internal Auditor-Lwengo District; RWRC-4 Wakiiso; and File.

According to this work plan 19 projects were planned namely:

- Construction of public latrine (#01) at a cost of UGX 26,200,000/=
- Construction of Brick Masonry Rain Water Harvesting Tanks (#06) at a cost of UGX 178,092,674/= (Two 30 cubic meter tanks @ at UGX 19,362,414/= costing UGX 58,090,242/= and Four 50 Cubic Meter Tanks @ at UGX 30,000,608/= costing UGX 120,002,432/=);
- Rehabilitation of Hand Pumped Boreholes (#06) at a cost of UGX 60,000,000/= ;
- Drilling of Hand Pumped Deep Boreholes (#02) at a cost of UGX 52,937,204/=;
- Construction of Mini Solar Pumped System at a Cost of UGX 120,085,576/=; and
- Construction of one 3,000 cubic meter Valley Tank at a Cost of UGX 62,000,000.

Annual Report (Also Fourth Quarter Report) submitted under cover letter Ref CR/185/1 dated July 31st, 2023 and received at the Ministry of Water and Environment on August 4th, 2023. Letter was signed for Chief Administrative Officer by Mr. Mugumya Wilfred with copies to the Director Budget, Ministry of Finance, Planning and Economic Development; the Permanent Secretary,

Ministry of Local Government; Resident District Commissioner – Lwengo; the Chief Finance Officer – Lwengo; the Chief Internal Auditor-Lwengo District; RWRC-4 Wakiiso; and File was reviewed during the assessment.

According to the Fourth Quarter Report, of the 19 projects, 17 planned projects (89%) mentioned above were implemented before the end of the year 2022/2023 FY.

3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <p>o If there is an increase: score 2</p> <p>o If no increase: score 0.</p>	<p>According to Management Information System of the Ministry of Water and Environment, the functionality of the district rural water sources is 80 % while functionality in the previous year was still 80%. This represents no increase (0%) in the functionality of water facilities in the district.</p>	0
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water &amp; sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <p>o If increase is more than 1% score 2</p> <p>o If increase is between 0-1%, score 1</p> <p>o If there is no increase : score 0.</p>	<p>According to Management Information System of the Ministry of Water and Environment the percentage of facilities with functional water and sanitation committees (document water user fee collection records and utilization with the approval of the WSCS) is 83% while facilities with functional WSCs in the previous year was 90%. This represents a decrease (-7%) in the functionality of water user committees in the district.</p>	0

**Performance Reporting and Performance Improvement**

4	<p>Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3</p>	<p>Based on the Ministry MIS, Lwengo District has six sub counties and Four Town council namely Kiseka Sub county (with a coverage of 95%); Kiigoa Sub County (with a coverage of 95%); Kyazanga Sub County (with a coverage of 73%); Lwengo Sub county (with a coverage of 52%); Malongo Sub county (with a coverage of 50%); and Ndagwe Sub county (with a coverage of 88%). The Town Councils are Lwengo Town Council, Katovu TC, Kinono TC and Kyazanga TC. Lwengo District had average water coverage of 71% which implies that two sub counties of Lwengo Sub county (with a coverage of 52%), and Malongo Sub County (with a coverage of 50%) had a water coverage below the district</p>	3
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average. Annual Report (also Fourth Quarter Report) was presented for review during assessment.

Annual Report (Also Fourth Quarter Report) submitted under cover letter Ref CR/185/1 dated July 31st, 2023 and received at the Ministry of Water and Environment on August 4th, 2023. Letter was signed for Chief Administrative Officer by Mr. Mugumya Wilfred with copies to the Director Budget, Ministry of Finance, Planning and Economic Development; the Permanent Secretary, Ministry of Local Government; Resident District Commissioner – Lwengo; the Chief Finance Officer – Lwengo; the Chief Internal Auditor-Lwengo District; RWRC-4 Wakiiso; and File.

According to the above named report, of the 17 projects planned, all of which were implemented in the year namely:

- Construction of public latrine (#01) at a cost of UGX 26,200,000/=
- Construction of Brick Masonry Rain Water Harvesting Tanks (#06) at a cost of UGX 178,092,674/= (Two 30 cubic meter tanks @ at UGX 19,362,414/= costing UGX 58,090,242/= and Four 50 Cubic Meter Tanks @ at UGX 30,000,608/= costing UGX 120,002,432/=);
- Rehabilitation of Hand Pumped Boreholes (#06) at a cost of UGX 60,000,000/= ;
- Drilling of Hand Pumped Deep Boreholes (#02) at a cost of UGX 52,937,204/=;
- Construction of Mini Solar Pumped System at a Cost of UGX 120,085,576/=; and
- Construction of 3,000 cubic meter Valley Tank at a Cost of UGX 62,000,000.

Of these 17 projects, (6) were in sub counties with coverage below district average all of which (100%) were implemented which is above 80% giving a score of 2.

5

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

*Maximum 7 points on this performance*

a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2

During the assessment, the four undermentioned quarterly reports were reviewed:

- First Quarter Report submitted under cover letter Ref CR/185/1 dated October 12th, 2022 and received at the Ministry of Water and Environment on October 17th, 2022. Letter was signed for Chief Administrative Officer by Nabakooza Afisa with copies to the Director Budget,

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Ministry of Finance, Planning and Economic Development; the Permanent Secretary, Ministry of Local Government; Resident District Commissioner - Lwengo; the Chief Finance Officer - Lwengo; the Chief Internal Auditor-Lwengo District; and RWRC-4 Wakiso;

- Second Quarter Report submitted under cover letter Ref CR/185/1 dated January 20th, 2023 and received at the Ministry of Water and Environment on January 27th, 2023. Letter was signed for Chief Administrative Officer by Mr. Ntulume George with copies to the Director Budget, Ministry of Finance, Planning and Economic Development; the Permanent Secretary, Ministry of Local Government; Resident District Commissioner - Lwengo; the District Chairperson-Lwengo District, the Chief Finance Officer - Lwengo; the Principal Internal Auditor-Lwengo District; TSU 7; and the File;
- Third Quarter Report submitted under cover letter Ref CR/185/1 dated April 25th, 2023 and received at the Ministry of Water and Environment on April 28th, 2023. Letter was signed for Chief Administrative Officer by Mr. Ntulume George with copies to the Director Budget, Ministry of Finance, Planning and Economic Development; the Permanent Secretary, Ministry of Local Government; Resident District Commissioner - Lwengo; the District Chairperson-Lwengo District, the Chief Finance Officer - Lwengo; the Principal Internal Auditor-Lwengo District; TSU 7; and the File; and
- Annual Report (Also Fourth Quarter Report) submitted under cover letter Ref CR/185/1 dated July 31st, 2023 and received at the Ministry of Water and Environment on August 4th, 2023. Letter was signed for Chief Administrative Officer by Mr. Mugumya Wilfred with copies to the Director Budget, Ministry of Finance, Planning and Economic Development; the Permanent Secretary, Ministry of Local Government; Resident District Commissioner - Lwengo; the Chief Finance Officer - Lwengo; the Chief Internal Auditor-Lwengo District; RWRC-4 Wakiiso; and File.

There was no Evidence attached on the reports that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation situation, functionality of facilities and WSCs, safe water collection and storage and community that was attached to each of the four Quarterly Reports. However, the evidence was contained in the new online system called mwater and the form 4 that were submitted to the ministry.

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

*Maximum 7 points on this performance measure*

b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0

During the assessment, the four undermentioned quarterly reports were reviewed:

- First Quarter Report submitted under cover letter Ref CR/185/1 dated October 12th, 2022 and received at the Ministry of Water and Environment on October 17th, 2022. Letter was signed for Chief Administrative Officer by Nabakooza Afisa with copies to the Director Budget, Ministry of Finance, Planning and Economic Development; the Permanent Secretary, Ministry of Local Government; Resident District Commissioner – Lwengo; the Chief Finance Officer – Lwengo; the Chief Internal Auditor-Lwengo District; and RWRC-4 Wakiso;
- Second Quarter Report submitted under cover letter Ref CR/185/1 dated January 20th, 2023 and received at the Ministry of Water and Environment on January 27th, 2023. Letter was signed for Chief Administrative Officer by Mr. Ntulume George with copies to the Director Budget, Ministry of Finance, Planning and Economic Development; the Permanent Secretary, Ministry of Local Government; Resident District Commissioner – Lwengo; the District Chairperson-Lwengo District, the Chief Finance Officer – Lwengo; the Principal Internal Auditor-Lwengo District; TSU 7; and the File;
- Third Quarter Report submitted under cover letter Ref CR/185/1 dated April 25th, 2023 and received at the Ministry of Water and Environment on April 28th, 2023. Letter was signed for Chief Administrative Officer by Mr. Ntulume George with copies to the Director Budget, Ministry of Finance, Planning and Economic Development; the Permanent Secretary, Ministry of Local Government; Resident District Commissioner – Lwengo; the District Chairperson-Lwengo District, the Chief Finance Officer – Lwengo; the Principal Internal Auditor-Lwengo District; TSU 7; and the File; and
- Annual Report (Also Fourth Quarter Report) submitted under cover letter Ref CR/185/1 dated July 31st, 2023 and received at the Ministry of Water and Environment on August 4th, 2023. Letter was signed for Chief Administrative Officer by Mr. Mugumya Wilfred with copies to the Director Budget, Ministry of Finance, Planning and Economic Development; the Permanent Secretary, Ministry of Local Government; Resident District Commissioner – Lwengo; the Chief Finance Officer – Lwengo; the Chief Internal Auditor-Lwengo District; RWRC-4 Wakiiso; and File.

There was no Evidence attached on the reports that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation situation, functionality of facilities and WSCs, safe water collection and storage and community that was attached to each of the four Quarterly Reports. However, the evidence was contained in the new online system called mwater and the form 4 that were submitted to the ministry.

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Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

*Maximum 7 points on this performance measure*

c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0

*Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.*

The average score of water and environment based LLG assessment results for the current FY according to the report dated November 2nd, 2023 shared by the District Planner Mr. Barigye Nicholas - the average LLG average score for water was 58%.

The score for Kkingo Sub County was 80%, for Kisekka Sub County was 100%, for Kyazanga Sub County was 70%, Lwengo Sub County was 0%, Malongo Sub County was 100%, and Ndagwe Sub County 100 % which give an average score of 58%

The lowest performing sub counties were Kyazanga and Lwengo sub counties scoring 70% and 0% respectively. There was however no evidence of existence of clear Performance Improvement Plan for these two sub County presented for review during the assessment.

0

## Human Resource Management and Development

6

Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff

*Maximum 4 points on this performance measure*

a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2

There were 2 staff members in the District Water Office. They were both budgeted for as per the costed district staff structure examined;

**1.** Lugalambi Thaddeus Frerio, Water Officer – shillings 4,000,000 monthly and 48,000,000 annual; and **2.** Namutinda Charles, Assistant Water Officer, Shillings 2,200,000 monthly and 26,400,000 Annual

2

6	<p>Budgeting for Water &amp; Sanitation and Environment &amp; Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment &amp; Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>There were 3 critical filled positions in the Natural Resources Department. They were all budgeted for as per the costed district staff structure examined;</p> <p><b>1.</b> Senior Environment Officer, Mutemba Godfrey - Shillings 24,250,000 Monthly; <b>2.</b> Environment Officer, Namuleme Mary Jude - 4,000,000 monthly; and <b>3.</b> Forest Ranger, Ssonzi Abdullah - 316,393 monthly</p>	2
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>There were two members of staff in the Water Office. They were appraised by DWO Lugalambi Frerio Theddens as follows;</p> <p><b>1.</b> Borehole Technician, Namutinda Charles - 30th June 2023; and <b>2.</b> Water Officer - Mobilization, Nahurira Sarah - 30th June 2023</p>	3
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p>	<p>The average score of water and environment based LLG assessment results for the current FY according to the report dated November 2nd, 2023 shared by the District Planner Mr. Barigye Nicholas - the average LLG average score for water was 58%.</p> <p>The score for Kkingo Sub County was 80%, for Kisekka Sub County was 100%, for Kyazanga Sub County was 70%, Lwengo Sub County was 0%, Malongo Sub County was 100%, and Ndagwe Sub County 100 % which give an average score of 58%</p> <p>The lowest performing sub counties were Kyazanga and Lwengo sub counties scoring 70% and 0% respectively. There was however no evidence of existence of clear Performance Improvement Plan for these two sub County presented for review during the assessment.</p>	0

**Management, Monitoring and Supervision of Services.**

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<ul style="list-style-type: none"> <li>• a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:</li> <li>• • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3</li> </ul>	<p>Based on the Ministry MIS, Lwengo District has six sub counties and Four Town council namely Kiseka Sub county (with a coverage of 95%); Kiigoa Sub County (with a coverage of 95%); Kyazanga Sub County (with a coverage of 70%); Lwengo Sub county (with a coverage of 51%); Malongo Sub county (with a coverage of 49%); and Ndagwe Sub county (with a coverage of 87%). The Town Councils are Lwengo Town Council, Katovu TC, Kinono TC and Kyazanga TC. Lwengo District had average water coverage of 72% which implies that three</p>	1
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- If 80-99%: Score 2
- If 60-79: Score 1
- If below 60 %: Score 0

sub counties of Lwengo Sub county (with a coverage of 52%), Kyazanga Sub County (with a coverage of 70%) and Malongo Sub County (with a coverage of 50%) had a water coverage below the district average. Annual Report (also Fourth Quarter Report) was presented for review during assessment.

Annual Work Plan 2023-2024 submitted under cover letter Ref CR/185/1 dated July 31st, 2023 and received at the Ministry of Water and Environment on August 4th, 2023. Letter was signed for Chief Administrative Officer by Mr. Mugumya Wilfred with copies to the Director Budget, Ministry of Finance, Planning and Economic Development; the Permanent Secretary, Ministry of Local Government; Resident District Commissioner - Lwengo; the Chief Finance Officer - Lwengo; the Chief Internal Auditor-Lwengo District; RWRC-4 Wakiiso; and File.

Besides, Supplementary Annual Work Plan 2023-2024 submitted under cover letter Ref CR/185/1 dated October 27th, 2023 and received at the Ministry of Water and Environment on November 03rd, 2023. Letter was signed for Chief Administrative Officer by Mr. Mugumya Wilfred with copies to the Director Budget, Ministry of Finance, Planning and Economic Development; the Permanent Secretary, Ministry of Local Government; Resident District Commissioner - Lwengo; the Chief Finance Officer - Lwengo; the Principal Internal Auditor-Lwengo District; TSU 7; and File.

According to the above named annual work plan, 27 Hardware projects planned in 2023/2024 were contained in the work plan and reflected in the Budget Annexed to the work plan. They included:

- Construction of Public Latrine (#01) at Keyikorongo TC, Malongo Parish, Malongo Sub County at a Cost of UGX 26,200,000;
- Drilling of one Hand Pumped Boreholes at Kikenene Village, Kikenene Parish, Kiseka Sub County at UGX 28,488,602/=;
- Rehabilitation of boreholes (#06) in selected Sub Counties at a cost of UGX 44,297,187/= one in each sub County;
- Mini Solar Piped Scheme at Kengwe, Byakibirizi, Kyazanga S/C at a cost of UGX 120,850,576/=;
- Construction of 3,000 cubic meters Valley Tanks Public Latrine (#02) at
  - o Kitazigolokoka village, Lwengo Sub

County at a cost of UGX 62,000,000/=

o Kakilaga Village, Ndagwe Sub County at a cost of UGX 62,000,000/=

- Construction of 30 cubic meter Rain Water Harvesting Tanks (#02) at

o Lwendezi, Malongo Sub County at a cost of UGX 19,363,414/= and

o Lwemiyanga, Malongo Sub County at a cost of UGX 19,363,414/=

- Construction of 50 cubic meter Rain Water Harvesting Tanks (#05) at

o Good Shepherd, Katovu, Katovu TC at a Cost of UGX 30,000,606/=;

o Nakatete P/S, Kyazanga Sub County at a cost of UGX 30,000,606=;

o Nakalenzi P/S, Lwengo Sub County at a cost UGX 30,000,606/=;

o Bigado P/S, Kiigo Sub County at a cost UGX 30,000,606/=; and

o Lusana P/S, Ndagwe Sub County at a cost UGX 30,000,606/

- Repair of Protected Spring wells in Kiseka Sub County at a cost of UGX 568,418/=;

- Rehabilitation of Rain Water Harvesting Tank at Lwengo District Head Quarters , Lwengo TC at a cost of UGX 713,846/=; and

- Repair of Solar Systems (#03) at a cost of UGX 43,885,501/=

o Lubale RGC in Kyazanga Sub County,

o Mayera RGC in Lwengo Sub County, and

o Kyasonko RGC in Kiseka Sub County

Of these projects, 12 were planned in locations with water coverage below the district average rural water coverage namely:

- Construction of Public Latrine (#01) at Keyikorongo TC, Malongo Parish, Malongo Sub County at a Cost of UGX 26,200,000;

- Rehabilitation of boreholes (#03) in selected Sub Counties at a cost of UGX 22,148,594/= one in each sub County;

- Mini Solar Piped Scheme at Kengwe, Byakibirizi, Kyazanga S/C at a cost of UGX 120,850,576/=;

- Construction of 3,000 cubic meters Valley Tanks Public Latrine (#01) at

- o Kitazigolokoka village, Lwengo Sub County at a cost of UGX 62,000,000/=
- Construction of 30 cubic meter Rain Water Harvesting Tanks (#02) at
- o Lwendezi, Malongo Sub County at a cost of UGX 19,363,414/= and
- o Lwemiyanga, Malongo Sub County at a cost of UGX 19,363,414/=
- Construction of 50 cubic meter Rain Water Harvesting Tanks (#02) at
- o Nakatete P/S, Kyazanga Sub County at a cost of UGX 30,000,606=;
- o Nakalenzi P/S, Lwengo Sub County at a cost UGX 30,000,606/=; and
- Repair of Solar Systems (#02) at a cost of UGX 29,257,001/=
- o Lubale RGC in Kyazanga Sub County,
- o Mayera RGC in Lwengo Sub County, and

27 Hardware projects planned in 2023/2024 contained in the work plan and reflected in the Budget Annexed to the work plan at a cost of UGX 577,733,988/=, 12 projects costing UGX 359,184,211 are planned for the sub counties with a coverage below district average.

This means that of the total budget of UGX 577,733,988/=, UGX 359,184,211/= which translates to 62.2% of the total budget for hard ward projects was budgeted for activities in sub counties with water coverage below the district average coverage.

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3</p>	<p>There was evidence that the DWO conducted sub county advocacy meetings as follows:</p> <ul style="list-style-type: none"> <li>• November 16th, 2022,</li> <li>• For Malongo Sub County - October 03rd, 2023,</li> <li>• For Kiseka Sub County - October 11th, 2023,</li> <li>• For Kiigo Sub County - September 29th, 2022, and</li> <li>• For Ndagwe Sub County - October 6th, 2023.</li> </ul> <p>Minutes of these advocacy meetings were reviewed. However there was no evidence in any of them to show that the District Water Office publicized to the LLGs their respective allocations sources to be constructed in the current financial year 2023/2024. There was equally no evidence to that effect in the software activity report that was attached to the annual.</p>	0
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)</p> <ul style="list-style-type: none"> <li>• If 95% and above of the WSS facilities monitored quarterly: score 4</li> <li>• If 80-94% of the WSS facilities monitored quarterly: score 2</li> <li>• If less than 80% of the WSS facilities monitored quarterly: Score 0</li> </ul>	<p>The monitoring of the old WSS facilities were evidenced by the Form 4 which were sub mitted to the Ministry of Water and Environment under cover letter Ref CR/185/1 dated on July 31st, 2023 where it was received on August 04th, 2023 along with the respective quarterly report. This cover letter was signed for the Chief Administrative Officer by Mr. Mugumya Wilfred with copied to RWRC-4 Wakiiso and File.</p>	4
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2</p>	<p>There was evidence that the DWO conducted quarterly DWSCC meetings comprised of a four sets of minutes which were presented to the assessor for review namely:</p> <p>The same evidence was contained in the software reports attached to the respective Quarterly reports as outlined below:</p>	2

- First Quarter Report submitted under cover letter Ref CR/185/1 dated October 12th, 2022 and received at the Ministry of Water and Environment on October 17th, 2022. Letter was signed for Chief Administrative Officer by Nabakooza Afisa with copies to the Director Budget, Ministry of Finance, Planning and Economic Development; the Permanent Secretary, Ministry of Local Government; Resident District Commissioner - Lwengo; the Chief Finance Officer - Lwengo; the Chief Internal Auditor- Lwengo District; and RWRC-4 Wakiso;
- Second Quarter Report submitted under cover letter Ref CR/185/1 dated January 20th, 2023 and received at the Ministry of Water and Environment on January 27th, 2023. Letter was signed for Chief Administrative Officer by Mr. Ntulume George with copies to the Director Budget, Ministry of Finance, Planning and Economic Development; the Permanent Secretary, Ministry of Local Government; Resident District Commissioner - Lwengo; the District Chairperson-Lwengo District, the Chief Finance Officer - Lwengo; the Principal Internal Auditor-Lwengo District; TSU 7; and the File;
- Third Quarter Report submitted under cover letter Ref CR/185/1 dated April 25th, 2023 and received at the Ministry of Water and Environment on April 28th, 2023. Letter was signed for Chief Administrative Officer by Mr. Ntulume George with copies to the Director Budget, Ministry of Finance, Planning and Economic Development; the Permanent Secretary, Ministry of Local Government; Resident District Commissioner - Lwengo; the District Chairperson-Lwengo District, the Chief Finance Officer - Lwengo; the Principal Internal Auditor-Lwengo District; TSU 7; and the File; and
- Annual Report (Also Fourth Quarter Report) submitted under cover letter Ref CR/185/1 dated July 31st, 2023 and received at the Ministry of Water and Environment on August 4th, 2023. Letter was signed for Chief Administrative Officer by Mr. Mugumya Wilfred with copies to the Director Budget, Ministry of Finance, Planning and Economic Development; the Permanent Secretary, Ministry of Local Government; Resident District Commissioner - Lwengo; the Chief Finance Officer - Lwengo; the Chief Internal Auditor-Lwengo District; RWRC-4 Wakiiso; and File.

Software Report attached to Quarter 4 Report. The DWSCC Meeting report was dated July 13th, 2023. It was attended by

21 participants- 09 of whom were female. Min. 06/Coord/13/07/2023 discussed emerging water sector issues.

Another DWSCC Meeting Minutes dated February 15th, 2023. It was attended by 21 participants- 08 of whom were female.

9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>	<p>There was evidence that the DWO publicized to the LLGs their respective allocations per source to be constructed in the current financial year 2023/2024. This evidence was contained in the communication dated July 11th, 2023 that was sent to all Senior Assistant Secretaries in the district. It was signed by the Deputy Chief Administrative Officer Mr. Alex Nyende with copies to the District Chairperson- Lwengo, RDC- Lwengo, and District Water Officer. A copy of this communication was pinned on the door as a provision for the Notice board.</p>	2
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"><li>• If funds were allocated score 3</li><li>• If not score 0</li></ul>	<p>Annual Work Plan 2022-2023 submitted under cover letter Ref CR/185/1 dated July 31st, 2023 and received at the Ministry of Water and Environment on August 4th, 2023. Letter was signed for Chief Administrative Officer by Mr. Ntulume George with copies to the Director Budget, Ministry of Finance, Planning and Economic Development; the Permanent Secretary, Ministry of Local Government; Resident District Commissioner - Lwengo; the Chief Finance Officer - Lwengo; the Chief Internal Auditor-Lwengo District; RWRC-4 Wakiiso; and File.</p> <p>According to the budget attached to the work plan, the total NWR budget was UGX 65,606,213/= of which UGX 29,644,005 for activities 6.1-6.19 was allocated to software activities which represented 45% of NWR budget.</p>	3
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&amp;M of WSS facilities: Score 3.</p>	<p>There was evidence that for the previous FY 2022/2023, the District Water Officer in liaison with the Community Development Officer trained Water and Sanitation Committees (WSCs) on their roles on O&amp;M of WSS facilities. For Malongo Sub County, this evidence was contained in Water User Committee Training and Selection Report dated January 18th, 2023. From the software report attached to Quarter 4 report, the training was for 86 water user committee members, 48 of whom were female.</p>	3

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:</p> <p>Score 4 or else 0</p>	<p>There was no evidence of existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG. The available asset register had been last updated on November 3rd, 2023.</p>	0
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDPIII) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p> <p>Score 4 or else score 0.</p>	<p>There was evidence that the LG DWO had conducted a desk appraisal for all WSS projects in the budget 0N 26/04/2023 to establish whether the prioritized investments were derived from the approved district development plans (LGDPIII) and are eligible for expenditure under sector guidelines. Copies of appraisal forms were verified:</p> <ol style="list-style-type: none"> <li>1. Renovation and Extension of Naanywa Maternity Ward at UGX 60,831,0000 (Pg 30 of the Budget);</li> <li>2. Rehabilitation of the District Toilet UGX 12,309,000 (Pg 57 of the Approved Budget)</li> <li>3. Construction of Water Tank at Lwengo HC IV UGX 10,000,000</li> <li>4. Construction of Water Tank at Kakoma HC III UGX 10,000,000</li> </ol>	4
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities:</p> <p>Score 2</p>	<p>Annual Work Plan 2023-2024 submitted under cover letter Ref CR/185/1 dated July 31st, 2023 and received at the Ministry of Water and Environment on August 4th, 2023. Letter was signed for Chief Administrative Officer by Mr. Mugumya Wilfred with copies to the Director Budget, Ministry of Finance, Planning and Economic Development; the Permanent Secretary, Ministry of Local Government; Resident District Commissioner - Lwengo; the Chief Finance Officer - Lwengo; the Chief Internal Auditor-Lwengo District; RWRC-4 Wakiiso; and File.</p> <p>Besides, Supplementary Annual Work Plan 2023-2024 submitted under cover letter Ref CR/185/1 dated October 27th, 2023 and received at the Ministry of Water and Environment on November 03rd, 2023. Letter was signed for Chief Administrative Officer by Mr. Mugumya Wilfred with copies to the Director Budget, Ministry of Finance, Planning and Economic Development; the Permanent Secretary, Ministry of Local Government; Resident District Commissioner - Lwengo; the Chief Finance Officer - Lwengo; the Principal Internal Auditor-</p>	0

Lwengo District; TSU 7; and File.

27 Hardware projects planned in 2023/2024 were contained in the work plan and reflected in the Budget Annexed to the work plan. They included:

- Construction of Public Latrine (#01) at Keyikorongo TC, Malongo Parish, Malongo Sub County at a Cost of UGX 26,200,000;
- Drilling of one Hand Pumped Boreholes at Kikenene Village, Kikenene Parish, Kiseka Sub County at UGX 28,488,602/=;
- Rehabilitation of boreholes (#06) in selected Sub Counties at a cost of UGX 44,297,187/= one in each sub County;
- Mini Solar Piped Scheme at Kengwe, Byakibirizi, Kyazanga S/C at a cost of UGX 120,850,576/=;
- Construction of 3,000 cubic meters Valley Tanks Public Latrine (#02) at
  - o Kitazigolokoka village, Lwengo Sub County at a cost of UGX 62,000,000/=
  - o Kakilaga Village, Ndagwe Sub County at a cost of UGX 62,000,000/=
- Construction of 30 cubic meter Rain Water Harvesting Tanks (#02) at
  - o Lwendezi, Malongo Sub County at a cost of UGX 19,363,414/= and
  - o Lwemiyanga, Malongo Sub County at a cost of UGX 19,363,414/= Keyikorongo TC, Malongo Parish, Malongo Sub County at a Cost of UGX 26,200,000;
- Construction of 50 cubic meter Rain Water Harvesting Tanks (#05) at
  - o Good Shepherd, Katovu, Katovu TC at a Cost of UGX 30,000,606/=;
  - o Nakatete P/S, Kyazanga Sub County at a cost of UGX 30,000,606=;
  - o Nakalenzi P/S, Lwengo Sub County at a cost UGX 30,000,606/=;
  - o Bigado P/S, Kiigo Sub County at a cost UGX 30,000,606/=; and
  - o Lusana P/S, Ndagwe Sub County at a cost UGX 30,000,606/
- Repair of Protected Spring wells in Kiseka Sub County at a cost of UGX 568,418/=;
- Rehabilitation of Rain Water Harvesting Tank at Lwengo District Head Quarters , Lwengo TC at a cost of UGX 713,846/=; and
- Repair of Solar Systems (#03) at a

cost of UGX 43,885,501/=

- o Lubale RGC in Kyazanga Sub County,
- o Mayera RGC in Lwengo Sub County, and
- o Kyasonko RGC in Kiseka Sub County

There was no evidence of the Letters of request or Application Forms for any of these projects planned for 2023/2024.

11	Planning and Budgeting for Investments is conducted effectively  <i>Maximum 14 points on this performance measure</i>	d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2	There was no evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY, the district planner did not avail filed appraisal reports for any of the following projects:  1. Renovation and Extension of Naanywa Maternity Ward at UGX 60,831,0000 (Pg 30 of the Budget);  2. Rehabilitation of the District Toilet UGX 12,309,000 (Pg 57 of the Approved Budget)  3. Construction of Water Tank at Lwengo HC IV UGX 10,000,000  4. Construction of Water Tank at Kakoma HC III UGX 10,000,000	0
11	Planning and Budgeting for Investments is conducted effectively  <i>Maximum 14 points on this performance measure</i>	e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2	There was no evidence that Lwengo DLG screened all water infrastructure projects for the Current FY. There was no evidence presented to support this.	0
12	Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements  <i>Maximum 14 points on this performance measure</i>	a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0	There was evidence that the water infrastructure investments were incorporated in the LG approved for the previous FY. The sampled projects were: Construction of a valley tank at Kyazanga S/C at Ugx 60,000,000 ; Construction of 4-50m3 rain water masonry tank in Lwengo, Kyazanga, Kisekka and Ndagwe at Ugx 114,000,000 ; and Construction of 2-30m3 rain water mansorary tank in Malongo at Ugx 36,000,000.	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>There was evidence of minute 00005/DCC/22-23 of the contracts committee meeting which sat on July 18, 2022 and approved the water supply and public sanitation infrastructure for the previous FY.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			
	<p>.</p>			
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>There was evidence of a letter dated January 30, 2023 referenced CR/185/01 from the CAO appointing the DWO, the LG Engineer, The CDO, the Environment officer on the PIT for the water project.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			
	<p>.</p>			
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>There was no evidence of water and public sanitation infrastructure standard technical designs provided by the DWO.</p>	0
	<p><i>Maximum 14 points on this performance measure</i></p>			
	<p>.</p>			
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2</p>	<p>There was no evidence that Environment and Community Development Officer participated in supervising WSS projects.</p>	0
	<p><i>Maximum 14 points on this performance measure</i></p>			
	<p>.</p>			

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

*Maximum 14 points on this performance measure*

f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts

o If 100 % contracts paid on time: Score 2

o If not score 0

There was no evidence that payment requests were certified and recommended for payment as per contract before payments were executed:

VN 5897908 of 15/06/2023 being payment of UGX 23,831,036 to M/S Karobs Enterprises Ltd against Certificate No.1 for the construction of 50m3 Masonry Water Tank at Mbirizi Seed School

Payment was requested on 11/04/2023 and was effected on 15/06/2023 (beyond 10 working days)

The payment certificate was signed by DWO on 24/04/2023), CDO, and the Environment Officer on the same day 24/04/2023

VN 5313014 of 17/05/2023 being payment of UGX 98,203,409 to M/S Tripah Engineering Ltd against Certificate No.1 for the supply and installation of solar-powered borehole for the Nkunya - Mayiira Community

The payment was requested on 27/03/2023 and effected on 17/05/2023 (beyond 10 working days)

The payment Certificate was signed by DWO, CDO, and the Environment Officer on 19/04/2023

VN 5867504 of 15/06/2023 being payment of UGX 50,915,820 to M/S Icon Projects Ltd against Certificate No.1 for the hydrogeological survey, drilling, and test pumping of 2 hand borehole at Kyekulula and Kiseka Villages

The payment was requested on 26/04/2023 and was effected on 15/06/2023

Certificate was signed by DWO, CDO and the Environment Officer on 15/05/2023

12	Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements	g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:	There was evidence of complete procurement files for the water infrastructure investments with record as required. The sampled projects were: LYAN580/WRKS/2022-23/00005 Construction of and installation 1-mini solra powered borehole at Kyetume, whose requisition was made on July 14, 2022, advert was made on July 21, 2022, evaluation was completed on September 2, 2022 and contract signed on December 16, 2022 at an award price of Ugx 114,960,399; and LWEN599/WRKS/2022-23/00006 Construction of a 3000 cu m valley tank, whose requisition was made on July 14, 2022, advert was made on July 21, 2022, evaluation was completed on September 2, 2022 and contract signed on October 26, 2022 at an award price of Ugx 59,981,500.	2
	<i>Maximum 14 points on this performance measure</i>	Score 2, If not score 0		

**Environment and Social Requirements**

13	Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework	Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:	There was no Grievance Log at the Water and Environment Department. There was no GRM advertised at Water and Environment Department.	0
	<i>Maximum 3 points this performance measure</i>	Score 3, If not score 0		
14	Safeguards for service delivery	Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:	There were no Guidelines disseminated. No such evidence was presented.	0
	<i>Maximum 3 points on this performance measure</i>	Score 3, If not score 0		
15	Safeguards in the Delivery of Investments	a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0	No evidence was presented to show that water source protection plans or Natural resource management plans for WSS Facilities constructed in the previous FY were prepared and implemented	0
	<i>Maximum 10 points on this performance measure</i>			

Safeguards in the Delivery of Investments

*Maximum 10 points on this performance measure*

b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:

Score 3, If not score 0

There was evidence to show that some WSS projects are implemented on land where the LG had proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances. Three facilities were sampled as follows:

- For the Constructed 50 cubic meter Brick Masonry rain water harvesting tank, land offer agreement was dated May 14th, 2021. It was signed by Naansana Faith for the Head Teacher;
- For Deep Borehole Drilled at Kyakulula, land offer agreement was dated October 20th, 2021. It was signed by Kyabasasira Madalena -land owner with Birungi David Chairperson Tel 078221903; and
- For 30 cubic meter Brick Masonry Rain water harvesting Tank, the land agreement was signed by Buyinza James (Catechist) Tel - 0774486129 and Ayebazibwe Faustine Head Mistress Kawule Church of Uganda- Tel 0760433064.

Safeguards in the Delivery of Investments

*Maximum 10 points on this performance measure*

c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:

Score 2, If not score 0

There was evidence that E&S Certification forms were completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:

VN 5897908 of 15/06/2023 being payment of UGX 23,831,036 to M/S Karobs Enterprises Ltd against Certificate No.1 for the construction of 50m<sup>3</sup> Masonry Water Tank at Mbirizi Seed School

The payment certificate was signed by DWO on 24/04/2023), CDO, and the Environment Officer on the same day 24/04/2023

VN 5313014 of 17/05/2023 being payment of UGX 98,203,409 to M/S Tripah Engineering Ltd against Certificate No.1 for the supply and installation of solar-powered borehole for the Nkunyu - Mayiira Community

The payment Certificate was signed by DWO, CDO, and the Environment Officer on 19/04/2023

VN 5867504 of 15/06/2023 being payment of UGX 50,915,820 to M/S Icon Projects Ltd against Certificate No.1 for the hydrogeological survey, drilling, and test pumping of 2 hand borehole at Kyekulula and Kiseka Villages

The certificate was signed by DWO, CDO and the Environment Officer on 15/05/2023

Safeguards in the  
Delivery of Investments

*Maximum 10 points on  
this performance  
measure*

d. Evidence that the CDO  
and environment Officers  
undertakes monitoring to  
ascertain compliance with  
ESMPs; and provide monthly  
reports:

Score 2, If not score 0

There was evidence that Lwengo DLG  
CDO and Environment Officers undertook  
monitoring to ascertain compliance with  
ESMPs. Monitoring and supervision  
reports prepared by Mutemba Godfrey,  
Senior Environment Officer and Mazinga  
DCDO were presented as sampled below:

- 1) Inspection report on Water Projects on  
Katuulo, for Katuulo Community, dated  
8th June 2023;
- 2) Inspection report on Water Projects on  
Nakalago, for Nakalago Community dated  
8th June 2023;
- 3) Inspection report on Water Projects for  
Kensenenene, for St. Charles Lwanga P/S,  
dated 8th June 2023;
- 4) Inspection report on Water Projects on  
Kiwangala for Mustard Seed Primary  
School, dated 8th June 2023; and
- 5) Inspection report on Water Projects on  
Maira for Maria, dated 8th June 2023.

**Micro-scale  
Irrigation  
Performance  
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>There was evidence of 5 beneficiaries with 12.5 acres and 123 non beneficiaries with 307.5 acres in the financial year 2021/2022. There was evidence of 31 beneficiaries with 77.5 acres and 526 non beneficiaries with 1,315 acres in the financial year 2022/2023</p>	<b>2</b>
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> <li>• By more than 5% score 2</li> <li>• Between 1% and 4% score 1</li> <li>• If no increase score 0</li> </ul>	<p>There was evidence that the LG had increased acreage of newly irrigated land where in the financial year 2021/2022 the acreage was 320 acres which increased to 1,392.5 acres in the financial year 2022/2023 giving a percentage increase of 335.2%</p>	<b>2</b>
2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> <li>• Above 70%, score 4</li> <li>• 60% - 70%, score 2</li> <li>• Below 60%, score 0</li> </ul>	<p>There was evidence that the average score in the micro-scale irrigation for LLG performance assessment was 94%</p> <p>1 Katovu Town Council scored 100%</p> <p>2 Kkingo Subcounty scored 100%</p> <p>3 Kinoni Town Council scored 100%</p> <p>4 Kisekka Subcounty scored 80%</p> <p>5 Kyazanga Subcounty scored 100%</p> <p>6 Kyazanga Town Council scored 80%</p> <p>7 Lwengo Subcounty scored 100%</p> <p>8 Lwengo Town Council scored 100%</p> <p>9 Malongo Subcounty scored 100%</p> <p>10 Ndagwe Subcounty scored 80%</p> <p>The average score was 94%</p>	<b>4</b>

Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines

Maximum score 6

a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0

There was evidence that the development component of micro-scale irrigation grant amounting to 916,837,708/= for the financial year 2022/2023 had been used on eligible activities. Sampled activities included;

1. VN 6461388 of 28/06/2023 being payment of UGX 81,260,150 to M/S Anjana Projects Ltd against Certificate No.1 for the supply and installation of irrigation equipment for 4-farmers: Martin Mugarura, Nassali Fatima, Sewanyana Henry and Sendagire Mubarak

2. VN 5898470 of 15/06/2023 being payment of UGX 92,641,151 to M/S Anjana Projects Ltd against Certificate No.1 for the supply and installation of irrigation equipment for 4-farmers: Muhigira Betty, Subi Zainab, Gerald Sendawula and Magembe Majidu

3. VN 6461388 of 28/06/2023 being payment of UGX 116,184,000 to M/S Anjana Projects Ltd against Certificate No.1 for the supply and installation of irrigation equipment for 4-farmers: Vincent Wandera, Kagwa Robert, Sebutunzi Sharifa and Mubalak Sendagire

4. Awareness raising of leaders both at district and LG as detailed in report dated 2/02/2023 (584 attendees)

5. Farm visits to farmers with farmer IDs LWENGO/2022-04-01/Male/25381 (Ssendagire Mubalakah) dated 01/04/2022 of Lwengo TC, LWENGO/2023-03-13/Male/44499 (Namuna John) dated 13/03/2023 of Kissekka SC and LWE/2022-04-12/Female/25670 (Muhigira Betty Nakawooya) dated 12/04/2022 of Lwengo SC who had successful expressions of interests

6. Awareness raising of farmers at LLG level dated 2/02/2023 (584 attendees), 12/05/2023 and 23/06/2023 (167 attendees)

3

Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines

Maximum score 6

b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0

There was evidence that the approved farmers signed Acceptance Forms on 18/04/2023 confirming that equipment is working well, before the LG made payments to the suppliers:

VN 6461388 of 28/06/2023 being payment of UGX 81,260,150 to M/S Anjana Projects Ltd against Certificate No.1 for the supply and installation of irrigation equipment for 4-farmers: Martin Mugarura, Nassali Fatima, Sewanyana Henry and Sendagire Mubarak

Acceptance Forms were signed on 18/04/2023

VN 5898470 of 15/06/2023 being payment of UGX 92,641,151 to M/S Anjana Projects Ltd against Certificate No.1 for the supply and installation of irrigation equipment for 4-farmers: Muhigira Betty, Subi Zainab, Gerald Sendawula and Magembe Majidu

Acceptance Forms were signed on 18/04/2023

VN 6461388 of 28/06/2023 being payment of UGX 116,184,000 to M/S Anjana Projects Ltd against Certificate No.1 for the supply and installation of irrigation equipment for 4-farmers: Vincent Wandera, Kagwa Robert, Sebutunzi Sharifa and Mubalak Sendagire

Acceptance Forms were signed on 18/04/2023

1

3

Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines

Maximum score 6

Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0

There was evidence of contracts done by Anjana Projects Limited and MS Sprinktek.

1. Anjana Projects Limited had a contract sum of 693,098,000/= and the Agricultural Engineers estimate was 768,280,000/= creating a variation of 9.8% which falls within the -/+20% variation of Agricultural Engineers Estimate.

2. MS Sprinktek had a contract sum of 24,953,600/= and the Agricultural Engineers estimate was 25,000,000/= creating a variation of -0.1% which falls within the -/+20% variation of Agricultural Engineers Estimate.

1

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY	There was evidence that 31 contracts were signed under procurement reference numbers LWEN599/WRKS/22-23/00013-14 under MS Sprinktek and Anjana Projects Limited.	0
	Maximum score 6	<ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• Between 80 – 99% score 1</li> <li>• Below 80% score 0</li> </ul>	There was evidence that 12 contracts were completed in the financial year 2022/2023 while 19 contracts were installed and completed in 2023/2024. Sampled installed and completed systems included farmer IDs LWENGO/2022-04-01/Male/25381 (Ssendagire Mubalakah) completed on 9/06/2023 of Lwengo TC, LWENGO/2023-03-13/Male/44499 (Namuna John) completed on 05/07/2023 of Kissekka SC and LWE/2022-04-12/Female/25670 (Muhingira Betty Nakawooya) completed on 26/04/2023 of Lwengo SC who had successful expressions of interests. This gave a percentage completion rate of 38.7% in the previous financial year	
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	a) Evidence that the LG has recruited LLG extension workers as per staffing structure	The LG had 37 approved positions of extension workers. 31 were filled and 6 vacant. The filled positions constituted 83% of the total establishment	1
	Maximum score 6	<ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• If 75 – 99% score 1</li> <li>• If below 75% score 0</li> </ul>		
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF	There was evidence that irrigation demonstration site(s) in different LLGs met standards as defined by MAAIF such as the existence of a water source, transmission and distribution lines from an approved supplier like gentex with accessories such as reservoir, pipes and fittings and a power source for sampled farmers with farmer IDs LWENGO/2022-04-01/Male/25381 (Ssendagire Mubalakah) of Lwengo TC, LWENGO/2023-03-13/Male/44499 (Namuna John) of Kissekka SC and LWE/2022-04-12/Female/25670 (Muhingira Betty Nakawooya) of Lwengo SC	2
	Maximum score 6	<ul style="list-style-type: none"> <li>• If 100% score 2 or else score 0</li> </ul>		

4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>b) Evidence that the installed micro-scale irrigation systems during last FY are functional</p> <ul style="list-style-type: none"> <li>• If 100% are functional score 2 or else score 0</li> </ul>	<p>There was evidence that the micro-scale irrigation systems installed during last FY are functional with the existence of a water source, transmission and distribution lines from an approved supplier like gentex with accessories such as reservoir, pipes and fittings and a power source for sampled installed irrigation facilities with farmer IDs LWENGO/2022-04-01/Male/25381 (Ssendagire Mubalakah) of Lwengo TC, LWENGO/2023-03-13/Male/44499 (Namuna John) of Kissekka SC and LWE/2022-04-12/Female/25670 (Muhingira Betty Nakawooya) of Lwengo SC</p>	2
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**Performance Reporting and Performance Improvement**

5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0</p>	<p>Three LLGs of Kinoni TC, Ndagwe and Kisekka Sub Counties were sampled to ascertain the accuracy of information on filled positions of extension workers.</p> <p>Kinoni TC staff list had 2 position of extension workers; Agriculture Officer, Sendagire Mubarak and Veterinary Officer, Buyondo Martin. The list obtained from the HR division had the same two names</p> <p>The Ndagwe SC staff list had 2 positions, Agriculture Officer, Kiganda Simon and Assistant Veterinary Officer, Ntale Joseph. and the HR list had the same 2 officers</p> <p>The Kisekka SC list had. 2 positions. Agriculture Officer Sendagire Mubarak and Veterinary Officer Walusimbi Emmanuel; and the HR list had the same 2 names</p> <p>Information on the filled positions of extension workers was accurate</p>	2
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5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p>	<p>The was evidence that information on established irrigation demonstration site(s) installed and functioning was accurate because of the existence of a water source, transmission and distribution lines from an approved supplier like gentex with accessories such as reservoir, pipes and fittings and a power source for sampled facilities with farmer IDs LWENGO/2022-04-01/Male/25381 (Ssendagire Mubalakah) of Lwengo TC installed from 15/5/2023 to 5/6/2023, LWENGO/2023-03-13/Male/44499 (Namuna John) of Kissekka SC installed from 15/5/2023 to 5/7/2023 and LWE/2022-04-12/Female/25670 (Muhingira Betty Nakawooya) of Lwengo SC installed from 3/4/2023 to 25/4/2023.</p>	2
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>	<p>There was evidence of quarterly reports dated 5/09/2022 for First quarter, 11/01/2023 for second quarter, 5/5/2023 for third quarter and 17/7/2023 for Fourth quarter.</p> <p>There was evidence of expression of interest for sampled farmers IDs IDs LWENGO/2022-04-01/Male/25381 (Ssendagire Mubalakah) dated 01/04/2022 of Lwengo TC, LWENGO/2023-03-13/Male/44499 (Namuna John) dated 13/03/2023 of Kissekka SC and LWE/2022-04-12/Female/25670 (Muhingira Betty Nakawooya) dated 12/04/2022 of Lwengo SC who had successful expressions of interests</p>	2
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p>	<p>There was evidence of quarterly reports dated 5/09/2022 for First quarter, 11/01/2023 for second quarter, 5/5/2023 for third quarter and 17/7/2023 for Fourth quarter.</p> <p>There was evidence of 630 expressions of interest where 557 were successful having 85.7% male and 14.3% female with 73 unsuccessful EOIs. There was evidence of 201 farm visits prepared where 173 were successful farm visits, 28 unsuccessful and 10 ongoing</p>	1

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans  Maximum score 6	c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0	There was evidence that the LG had prepared quarterly report using information compiled from LLGs such as Lwengo TC, Lwengo SC, Kissekka SC, Kinoni TC, Jingo SC, Ndagwe SC, Kyazabga SC, Kyazanga TC, Katovu SC, Malongo SC in the MIS 5/09/2022 for First quarter, 11/01/2023 for second quarter, 5/5/2023 for third quarter and 17/7/2023 for Fourth quarter.	1
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans  Maximum score 6	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	There was evidence that LG developed an approved Performance Improvement Plan for the lowest performing LLGs such as Lwengo TC, Ndagwe SC, Kissekka SC dated 1/7/2022 approved and signed by the District Production Officer in July 2023	1
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans  Maximum score 6	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	There was evidence that LG Implemented Performance Improvement Plan for lowest performing LLG of Lwengo TC, Ndagwe SC, Kissekka SC with report dated 12/01/2023 for 167 sensitized farmers.	1

### Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines  Maximum score 6	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	There was evidence of a 1,276,796,508 /= budget for extension workers/services for the financial year 2023/2024. The staffing norms provided for 37 extension workers but only 34 extension workers are filled up giving a 91.9% position fill up.	1
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	ii Deployed extension workers as per guidelines score 1 or else 0	There was evidence of deployed extension workers as per guidelines as per the list below;  Head quarters  Nandawula Allen Matovu-DPO	1

Maximum score 6

Bamwesigye Peter-PAO  
Beala Keziah-SAO  
Mukuye Samuel-SVO  
Nabbanja Resty-FO  
Nabasirye Stella-AHO  
Kashangeho Bailon-SAE  
Ssebaduka Richard-AO  
Owamani Olive Kagweza- Entomologist  
Lwengo TC  
Sseremba George William-AAO  
Mukasa Aaron Timothy-AAHO  
Lwengo SC  
Nakuya Angera-AFO  
Sewanyana Vincent-AO  
Kakuvire Martha-VO  
Lubobi Badru-AVO  
Malongo SC  
Nalugo Mariat-AFO  
Kakoza Stephen-AO  
Etori Alex-VO  
Ndagwe SC  
Kiganda Simon-AO  
Ntale Joseph-AAHO  
Katovu SC  
Muhumuza Spencer-AAHO  
Kinoni TC  
Buyondo Martin-VO  
Kisekka SC  
Mukugize Emmanuel-AFO  
Walusimbi Emmanuel-VO  
Ssendagire Mubalakah-AO  
Kkingo SC  
Ssemwogerere Jerevazio- AO  
Mujuzi Godfrey- AFO  
Francis Jumba-VO  
Kyazanga SC  
Kawesa Henry-AO

Nanyonjo Dinah Mirembe-VO

Kyazanga TC

Walakira Sekayiba Isaac-AAHO

Ssali Henry-AO

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

Maximum score 6

b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0

Extension workers were working in LLGs where they were deployed, in the three sampled LLGs, The extension workers presented their activity report for review;

Ndagwe SC. The Agriculture Officer, Kiganda Simon presented activity report dated 23rd July 2023 and Assistant Veterinary Officer, Ntale Joseph 's report dated 31st January 2023

Kisekka SC. The Agriculture Officer, Sendagire Mubarak, Veterinary Officer, Walusimbi Emmanuel and Assistant Fisheries Officer, Mukungize presented Emmanuel presented their joint reports dated 27th September 2022, 13th April 2023 and 28th July 2023

Kinoni TC. Veterinary Officer, Buyondo Martin's reports were Dated 7th November 2022 and 16th March 2023. Agriculture Officer Sendagire Mubarak covered Kinoni TC and Kisekka SC

2

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

Maximum score 6

c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0

Extension workers deployment was publicized and disseminated to the sampled LLGs. Their names and telephone contacts were displayed on the notice boards;

Kinoni TC. Agriculture Officer, Sendagire Mubarak - 0703 065 336 and Veterinary Officer, Buyondo Martin - 0751 565 821

Ndagwe SC. Agriculture Officer, Kiganda Simon and Assistant Veterinary Officer, Ntale Joseph. 0750 816 935

The Kisekka SC. Agriculture Officer Sendagire Mubarak - 0703 065 336, Veterinary Officer Walusimbi Emmanuel and Fisheries Officer Mukungize Emmanuel

2

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has:</p> <p>i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0</p>	<p>The Production Department had 37 filled positions of extension workers. Ten appraisal reports were examined to determine the date of their performance appraisal, as follows;</p> <ol style="list-style-type: none"> <li>1. Assistant Fisheries officer, Nalugo Mariati (Malongo SC) – 30th June 2023;</li> <li>2. Agriculture Officer, Kakooza Stephen (Malongo SC – 30th June 2023;</li> <li>3. Assistant Veterinary Officer, Walakira Sekayiba Isaac (Kyazanga TC) – 30th June 2023;</li> <li>4. Assistant Animal Husbandry Officer, Muhumuza Spencer (Katovu TC) - 30th June 2023;</li> <li>5. Agriculture Officer, Ssali Morton Henry (Kyazanga TC) – 30th June 2023;</li> <li>6. Assistant Fisheries Officer.</li> </ol> <p>Nankya Angella (Lwengo SC) 30th June 2023;</p> <ol style="list-style-type: none"> <li>7. Assistant Veterinary Officer, Mukasa Alone Timothy (Kinoni SC) – 30th June 2023;</li> <li>8. Veterinary Officer, Jumba Francis (Kkingo SC) – 30th June 2023;</li> <li>9. Agriculture Officer, Semwogerere Jerevazio (Kkingo SC) – 30th June 2023;</li> <li>and 10. Assistant Agriculture Officer, Sseremba George William (Lwengo TC) – 30th June 2023</li> </ol> <p>All the above extension workers were appraised by Nandawula Allen.</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has;</p> <p>Taken corrective actions: Score 1 or else 0</p>	<p>The Production Coordinator took corrective action as per the letter dated 20th June 2023, addressed to the CAO, titled Lwengo District performance Improvement Plan for FY 2023/23". The PIP outlined 11 actions areas to improve the staff performance</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>b) Evidence that:</p> <p>i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0</p>	<p>There was evidence of a document with training plans at District level comprising of training activities with reports dated 2/02/2023 (584 attendees) and 23/06/2023 (167 attendees)</p>	1

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers  Maximum score 4	ii Evidence that training activities were documented in the training database: Score 1 or else 0	There was evidence of training activities documented in the training database by extension officers dated 3/3/2023 by Agricultural Officer-Ssendagire Mubalakah (Kisekka SC), 23/6/2022 by Agricultural Officer-Ssendagire Mubalakah (Kisekka SC)	<b>1</b>
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**Management, Monitoring and Supervision of Services.**

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.  Maximum score 10	a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0	There was evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services on (Pg.22 of The Approved Budget)  FY 2022/23 being the pilot year, the entire UGX 916,837,708 was allocated to complimentary services; this was further split as follows (Pg.22 of The Budget):  15% was allocated to awareness creation UGX 137,525,656  40% was allocated to farmer mobilization UGX 366,735,083  15% was allocated to farm visits UGX 137,525,656  30% was allocated to Equipping Demonstration farms UGX 275,051,312  Total UGX 916,837,708	<b>2</b>
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9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	<p>There was evidence on (Pg.22 of The Approved Budget) that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations</p> <p>FY 2022/23 being the pilot year, the entire UGX 916,837,708 was allocated to complimentary services; this was further split as follows (Pg.22 of The Budget):</p>	2
	<p>Maximum score 10</p>		<p>15% was allocated to awareness creation UGX 137,525,656</p> <p>40% was allocated to farmer mobilization UGX 366,735,083</p> <p>15% was allocated to farm visits UGX 137,525,656</p> <p>30% was allocated to Equipping Demonstration farms UGX 275,051,312</p> <p>Total UGX 916,837,708</p>	
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>There was no evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines since there was no requirement for co-funding in the pilot year (2022/23)</p> <p>Hence the score of 2</p>	2
	<p>Maximum score 10</p>			
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>There was no evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant since there was no co-funding in the pilot years (2022/23)</p> <p>Hence Score of 2</p>	2
	<p>Maximum score 10</p>			

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>There was evidence of sensitization meetings and minute report dated 2/02/2023 (584 attendees) where leaders attended of which DPO and DTPC and LLGs were party to the meetings.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> <li>• If more than 90% of the micro-irrigation equipment monitored: Score 2</li> <li>• 70-89% monitored score 1</li> <li>Less than 70% score 0</li> </ul>	<p>There was evidence of monitoring and supervision reports dated 20/4/2023 for quarter three and 6/06/2023 for quarter four because the installation of equipment took place within quarter three and quarter four. Quarterly reports also form part of the supervision and monitoring reporting dated 5/09/2022 for First quarter, 11/01/2023 for second quarter, 5/5/2023 for third quarter and 17/7/2023 for Fourth quarter.</p> <p>Field visits indicated evidence of adequacy and efficiency of micro irrigation equipment in terms of water conservation for the sample farmers IDs LWENGO/2022-04-01/Male/25381 (Ssendagire Mubalakah) dated 01/04/2022 of Lwengo TC, LWENGO/2023-03-13/Male/44499 (Namuna John) dated 13/03/2023 of Kissekka SC and LWE/2022-04-12/Female/25670 (Muhingira Betty Nakawooya) dated 12/04/2022 of Lwengo SC who had successful expressions of interests</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>b. Evidence that the LG has overseen technical training &amp; support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>There was evidence of trainings of farmers about the servicing and maintenance of equipment at sites where installations were completed in the financial year 2022/2023 with farmer IDs LWENGO/2022-04-01/Male/25381 (Ssendagire Mubalakah) completed on 9/06/2023 of Lwengo TC, LWENGO/2023-03-13/Male/44499 (Namuna John) completed on 05/07/2023 of Kissekka SC and LWE/2022-04-12/Female/25670 (Muhingira Betty Nakawooya) completed on 26/04/2023 of Lwengo SC who had successful expressions of interests. There was evidence of a training dated 4/6/2023</p>	2

10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines  Maximum score 8	c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0	There was evidence that the LG had provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines through;  Reports detailing sensitization on micro scale irrigation programme sampled and dated 15/8/2023 and 19/12/2022 by Principal Agricultural Officer-Bamwesigye Peter (Lwengo SC), 16/6/2023 by Assistant Agricultural Officer-Sseremba George William (Lwengo TC)	2
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines  Maximum score 8	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	There was evidence that the LG had established and run farmer field schools/irrigation demo sites as per the guidelines with training dated 19/12/2022 and farmer field schools situated in Ndagwe SC and Malongo SC as per report dated 15/8/2023	2
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.  Maximum score 4	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	There was evidence that the LG had conducted activities to mobilize and sensitize farmers as per guidelines (i.e. farmer meetings, radio talk shows, farmer-to-farmer events, demonstrations by irrigation equipment suppliers) meetings and minute report dated 12/4/2023 and 13/4/2023. However, there was no evidence of radio talk shows	2
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.  Maximum score 4	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	There was evidence that the district had trained staff and sensitized political leaders at District and LLG levels (mobilizing farmers to participate on irrigation and irrigated agriculture) meetings and minute report dated 2/02/2023 (584 attendees) and 23/06/2023 (167 attendees)	2

## Investment Management

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0	There was evidence of a register containing delivery notes of equipment such as solar modules, pipes and accessories, pumps and tanks with the last update dated in June 2023	2
	Maximum score 8			
12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0	There was evidence that the LG kept and up-to-date database of applications (EOIs) for the current and previous FY at the time of the assessment with EOIs from sampled farmers with farmer IDs LWENGO/2022-04-01/Male/25381 (Ssendagire Mubalakah) dated 01/04/2022 of Lwengo TC, LWENGO/2023-03-13/Male/44499 (Namuna John) dated 13/03/2023 of Kissekka SC and LWENGO/2022-04-12/Female/25670 (Muhingira Betty Nakawooya) dated 12/04/2022 of Lwengo SC who had successful expressions of interests	2
	Maximum score 8			
12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0	There was evidence that the district had carried out 201 farm visits to farmers that submitted complete Expressions of Interest (EOI).  Three farmers were sampled as below:  1. Ssendagire Mubalakah ID LWENGO/2022-04-01/Male/25381 dated 01/04/2022 of Lwengo TC  2. Namuna John ID LWENGO/2023-03-13/Male/44499 dated 13/03/2023 of Kissekka SC  3. Muhingira Betty Nakawooya ID LWENGO/2022-04-12/Female/25670 dated 12/04/2022 of Lwengo SC	2
	Maximum score 8			
12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	d) For DDEG financed projects:  Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0	The District Agricultural Engineer publicized the 6 names of farmers eligible for the micro scale irrigation program.  <b>1.</b> Juuko Patrick of Kankambe parish, Kissekka village; <b>2.</b> Mugabi Kefasi of Kikenene parish, Lyagulwe village, <b>3.</b> Namuna John of Kikenene parish, Lyagulwe village; <b>4.</b> Sewanyana Henry of Kiwangala parish Katooke village, Katooke village; <b>5.</b> Mugambe Magidu of Makandu parish, Luyiyi village; and <b>6.</b> Mukasa Henry of Makonge parish Kiganju village	2
	Maximum score 8			

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.	There was no evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0	There was evidence of an evaluation report dated April 13, 2023, recommending the selection Anjana projects Limited which confirmed that the the LG requested for quotations from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF).	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0	There was evidence of an evaluation report dated February 13, 2023 and minute MIN: 00005/DCC/22-23 of the contracts committee meeting which sat on February 20, 2023 to confirm that the irrigation equipment supplier was elected basing on the set criteria.	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0	There was evidence MIN: 00005/DCC/22-23 of the contracts committee meeting which sat on February 20, 2023 to approve the the procurement of the micro-irrigation systems.	1
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	There was evidence of an evaluation report dated February 13, 2023, which detailed the evaluation criteria for the selection of the best evaluated bidder and subsequently a contract signed on May 5, 2023 with Anjana Projects Limited for the Design, supply and Installation of Micro Irrigation systems to 11 Beneficiary farmers, which confirmed that LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmers a witness. The sampled farmers were: Kaggwa Robert at Ugx 23,000,000; Gerald Sendawula at Ugx 19,275,000; and Vincent Wandera at Ugx 22,950,000.	2

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	There was no evidence that the irrigation demonstration site(s) installed were in line with the design output sheet (generated by the MIS/IrriTrack App. Note that this sheet was not provided however the contracts were a design, supply and install	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0	There was evidence that the LG had conducted regular technical supervision of the irrigation demonstration sites by Senior Agricultural Engineer dated 2/5/2023 for quarter three and 5/9/2023 for quarter four because the systems were installed in quarter three and four	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	h) Evidence that the LG has overseen the irrigation equipment supplier during:  i. Testing the functionality of the installed equipment: Score 1 or else 0	There was evidence that the LG had overseen the irrigation equipment supply, installation and testing for functionality with supervision reports dated 2/5/2023 for quarter three and 5/9/2023 FOR quarter four that included sampled farmer IDs LWENGO/2022-04-01/Male/25381 (Ssendagire Mubalakah) completed on 9/06/2023 of Lwengo TC, LWENGO/2023-03-13/Male/44499 (Namuna John) completed on 05/07/2023 of Kissekka SC and LWE/2022-04-12/Female/25670 (Muhingira Betty Nakawooya) completed on 26/04/2023 of Lwengo SC	1
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	There was evidence that the LG had overseen the irrigation equipment hand-over to the Approved host/beneficiary Farmer with sampled signed acceptance form of irrigation system dated 28/6/2023 for Mugabi Cephas (LWENGO/2023-03-13/Male/44497) and Magembe Abdul (LWENGO/2020-10-16/M/11778)	1

Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines

Maximum score 18

i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0

There was no evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form; payments were made beyond 10 working days.

VN 6461388 of 28/06/2023 being payment of UGX 81,260,150 to M/S Anjana Projects Ltd against Certificate No.1 for the supply and installation of irrigation equipment for 4-farmers: Martin Mugarura, Nassali Fatima, Sewanyana Henry and Sendagire Mubarak

Payment was requested 06/06/2023, and was effected on 28/06/2023 (beyond 10 working days)

The payment certificate was signed by the Engineer on 09/06/2023 but not CDO or the Environment Officer

Acceptance Forms were signed on 18/04/2023

VN 5898470 of 15/06/2023 being payment of UGX 92,641,151 to M/S Anjana Projects Ltd against Certificate No.1 for the supply and installation of irrigation equipment for 4-farmers: Muhigira Betty, Subi Zainab, Gerald Sendawula and Magembe Majidu

Payment was requested 02/05/2023, and was effected on 15/06/2023 (beyond 10 working days)

The payment certificate was signed by the Engineer on 02/05/2023 but not CDO or the Environment Officer

Acceptance Forms were signed on 18/04/2023

VN 6461388 of 28/06/2023 being payment of UGX 116,184,000 to M/S Anjana Projects Ltd against Certificate No.1 for the supply and installation of irrigation equipment for 4-farmers: Vincent Wandera, Kagwa Robert, Sebutunzi Sharifa and Mubalak Sendagire

Payment was requested 06/06/2023, and was effected on 28/06/2023 (beyond 10 working days)

The payment certificate was signed by the Engineer on 09/06/2023 but not CDO or the Environment Officer

Acceptance Forms were signed on 18/04/2023

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0</p>	<p>There was evidence of a complete procurement file for LWEN599/SPLS/2022-23/00013, Design, supply and Installation of Micro Irrigation systems to 8 Beneficiary farmers, whose requisition was made on July 13, 2022, advert was made on January 27, 2023, evaluation was completed on April 13, 2023 and contract signed on May 5, 2023 at an award price of Ugx 190,262,000.</p>	2
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**Environment and Social Safeguards**

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0</p>	<p>There was no evidence that the Local Government had displayed details of the nature and avenues to address grievance in public areas</p>	0
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14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>i). Recorded score 1 or else 0</p> <p>ii). Investigated score 1 or else 0</p> <p>iii). Responded to score 1 or else 0</p> <p>iv). Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>The Grievance Platform was on a Mobile Phone. The App was called IrriTrack on a UgiFT Micro-scale Irrigation Program. There were no grievances recorded.</p>	0
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14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>ii. Investigated score 1 or else 0</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There were no Micro-scale irrigation grievances investigated. No any such records.</p>	0
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14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There were no Micro-scale irrigation grievances responded to. No such records were availed.</p>	<b>0</b>
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14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There were no Micro-scale irrigation grievances reported. No such records were availed.</p>	<b>0</b>
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**Environment and Social Requirements**

15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.</p> <p>score 2 or else 0</p>	<p>There was evidence that LGs had disseminated Micro-scale irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agro-chemicals and safe disposal of chemical waste containers. Farm visits for sampled farmers with farmer IDs LWENGO/2022-04-01/Male/25381 (Ssendagire Mubalakah) dated 01/04/2022 of Lwengo TC, LWENGO/2023-03-13/Male/44499 (Namuna John) dated 13/03/2023 of Kissekka SC and LWENGO/2022-04-12/Female/25670 (Muhingira Betty Nakawooya) dated 12/04/2022 of Lwengo SC. There was evidence of an MOU signed between LG and Farmers with sampled MOU of Kiyimba Siraje (LWENGO/2023-01-31/Male/35066)</p>	<b>2</b>
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15	Safeguards in the delivery of investments Maximum score 6	<p>b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.</p> <p>i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0</p>	<p>There was no evidence that Lwengo DLG Carried out Environmental, Social and Climate Change screening for all Micro-scale Irrigation projects.</p> <p>Fourteen screening Forms were availed with Farmer's names. True, DLG did not indicate demonstration farmers and individual farmers separately. Screening done by Mutemba Godfrey, Senior Environment Officer for sampled as follows:</p> <ol style="list-style-type: none"> <li>1) Hon: Ssendawula Gerald dated 03/10/2022;</li> <li>2) Magembe Abduh Majiiu dated 04/10/2022;</li> <li>3) Muhigira Betty Nakawooya dated 04/10/2022;</li> <li>4) Ssendagire Mubalakah dated 0/10/2022;</li> <li>5) Mugarura Martin dated 03/10/2022;</li> <li>6) Kwatampola Robert dated 05/10/2022;</li> <li>7) Byakatonda Jackson dated 03/10/2022;</li> </ol> <p>However, out of the 30 farmers on the Planner's list for screening, the Environment Officer had screened only 14.</p> <p>Even for those screened, nothing was indicated in the BoQs.</p>	0
15	Safeguards in the delivery of investments Maximum score 6	<p>ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality &amp; quantity), efficiency of system in terms of water conservation, use of agro-chemicals &amp; management of resultant chemical waste containers score 1 or else 0</p>	<p>There was no evidence of Monitoring of irrigation impacts - efficiency of system in terms of water conservation, use of agro-chemicals &amp; management of resultant chemical waste containers. No such evidence was presented.</p>	0
15	Safeguards in the delivery of investments Maximum score 6	<p>iii. E&amp;S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0</p>	<p>There were no E&amp;S Certification forms completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects in irrigation.</p>	0

15

Safeguards in the delivery of investments

Maximum score 6

iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects  
score 1 or else 0

There were no E&S Certification forms completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects in irrigation.

0

**Crosscutting  
Minimum  
Conditions**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Human Resource Management and Development</b>				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	The Chief Finance Officer, Serwadda Paul was substantively appointed as per his appointment letter, dated 4th January 2018, Min, no 46/2017/2018 (LDSC) (a)	<b>3</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	The District Planner, Barigye Nicholas, was substantively appointed as per his appointment letter, dated 9th December 2019, Min. no. 44/2019 (LDSC) (2)	<b>3</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	District Engineer Kaweesi Robert, was substantively appointed as per his appointment letter, dated 14th June 2022, Min. no. 36/2022 (LDSC)	<b>3</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	The District Natural Resources Officer was <b>not</b> substantively appointed. Duties were performed by Mutemba Geoffrey , Senior Natural Resources Officer as per his appointment letter dated 20th October 2014, Min. no. 4/8 – 9/2014 (LDSC) (a)	<b>0</b>

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	The District Production Officer, Nandawula Allen was substantively appointed as per her appointment letter, dated 26th October 2021, Min. no. 30/2021/(LDSC) (2)	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	The District Community Development Officer, Mazinga Joseph, was substantively appointed as per his appointment letter, dated, 4th January 2018, Min. no. 47/2017 (LDSC) (i)	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	The District Commercial Officer, Kagumire Wilson, was substantively appointed as per his appointment letter dated 9th December 2019 Min. no. 44/2019 (LDSC) (3)	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	The senior Procurement Officer, Baine Frank, appointed was substantively as per his appointment letter dated 10th August 2017, Min. no. 29/2017 (LDSC) (1)	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	The Procurement Officer, Businge Oscar, was substantively appointed as per his appointment letter dated 5th November 2018, Min. no. 40/2018 (LDSC)	2

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the	i. Principal Human Resource Officer, score 2 or else 0	The Principal Human Resource Officer, Namaganda Florence, was substantively appointed as per her appointment letter dated 6th April 2018, Nin no. 01/2018 (LDSC) (1)	2
	District/Municipal Council departments. Maximum score is 37.			
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the	j. A Senior Environment Officer, score 2 or else 0	The Senior Environment Officer, Mutemba Geoffrey, was as per his appointment letter dated 20th October 2014, Min. no.4/8 - 9/2014 (LDSC) (d)	2
	District/Municipal Council departments. Maximum score is 37.			
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the	k. Senior Land Management Officer /Physical Planner, score 2 or else 0	The Senior Land Management Officer, Seguya Jacqueline, was substantively appointed, as per her appointment letter dated 26th June 2013, Min. no. LDSC 12 / JUN / 2013	2
	District/Municipal Council departments. Maximum score is 37.			
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the	l. A Senior Accountant, score 2 or else 0	The Senior Accountant, Jjemba Fulgencio, was substantively appointed, as per his appointment letter dated 4th January 2023, Min. no. 74/2022 (LDSC)	2
	District/Municipal Council departments. Maximum score is 37.			
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the	m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0	The Principal Internal Auditor, Atukwase Bernard, was substantively appointed as per his appointment letter dated 4th January 2018, Min. no. 46/2017 (LDSC) (b)	2
	District/Municipal Council departments. Maximum score is 37.			

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p>	<p>Principal Human Resource Officer (Secretary DSC), Kakembo Abdigafar, was substantively appointed as per his appointment letter dated 17th February 2014, Min. no. LDSC/13/2013</p>	2
2	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG  Maximum score is 15</p>	<p>a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).</p>	<p>The LG had 10 LLGs, 6 Sub Countries and 4 Town Councils. The appointment details of SASs and TCs were as follows;</p> <p><b>SAS</b></p> <p><b>1.</b> Mutebi Fredrick (Malongo SC), appointment letter dated 25th July 2007, Min. no. DSC/MIN. 25/July 2007; <b>2.</b> Nanyonga Jamila, Assistant TC, <b>performed duties</b> of the SAS at (Kyazanga SC) as per her appointment letter dated 30th May 2022, Min. no.26/2022 (LDSC); <b>3.</b> Nsubuga Paul , Assistant TC, <b>performed duties</b> of the TC at Kkingo SC, appointment letter dated 8th August 2021, Min. no. 42/2020 (LDSC); <b>4.</b> Sendagala John (Lwengo SC) appointment letter dated 4th January 2020, Min. no. 53/2019 (LDSC) (2); <b>5.</b> Damulira Susan (Kisekka SC) appointment letter dated 28th June 2019, Min. no. 15/2019 (LDSC) (r); <b>4.</b> Nawadde Shabilah (Ndagwe CS) appointment letter dated 16th February 2012, Min. no. DSC/MIN.28/January 2011; <b>5.</b> Damulira Susan (Kisekka SC) appointment letter dated 28th June 2019, Min.no. 15/2019 (LDSC) (r); <b>6.</b> Nalwadda Shabitah (Ndagwe SC) appointment letter dated 16th February 2012, Min. no. DSC/MIN.28/January /2011</p> <p><b>Town Clerks</b></p> <p><b>7.</b> Nsereko Moses, Senior Assistant TC, <b>performed duties</b> of the Town Clerk, at Lwengo TC, appointment letter dated 6th April 20118, Min. no.01/2018 (LDSC) (3); <b>8.</b> Kyomuhendo Ronah Mariam, Senior Assistant TC, <b>performed duties</b> of the Town Clerk at Kyazanga TC, appointment letter dated 10th August 2017, Min. no. 26/2017 (LDSC) (2); <b>9.</b> Ngabire Juliet (Katovu TC), appointment letter dated 9th December 2019, Min. no. 44/2019 (LDSC) (1); and <b>10.</b> Nabakooza Afisah, Kinoni TC, appointment letter dated 25th April 2019, Min, no. 17/2019 (LDSC) (a)</p> <p><b>Two Senior Assistant Secretaries and two Town Clerks were nor substantively appointed</b></p>	0

New\_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG  
Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

**The LG had 6 Sub Countries and 4 Town Councils. The appointment details of Community Development Officers were as follows;**

**S/CDOs**

**1.** Kasule Denis (Kyazanga TC) appointment letter dated 6th April 2018, Min. no. 01/2018 (LDSC) (9); **2.** Kiggundu Abdusalaam (Katovu TC) appointment letter dated 28th June 2019, Min no. 11/2019) LDSC (30); **3.** Mibirizi Charles, CDO performed duties of the S/CDO at Lwengo TC), as per his appointment letter dated 4th January 2018, Min. no. 47/2017 (LDSC) (4); **4.** Nagawa Rebecca, CDO performed duties of the S/CDO at Kinoni TC, as per her appointment letter dated 28th June 2019, Min. no. 11/2019

**CDOs**

**5.** Namata Annet Birungi (Malongo SC) appointment letter dated 30th May 2022, Min, no. 24/2022 (LDSC) (5); **6.** Magembe Julius (Lwengo SC) appointment letter dated 15th May 2018, Min. no. 09/2018 (LDSC); **7.** Niringiye Boniface (Ndagwe SC) appointment letter dated 9th December 2019, Min. no. 46/2022 (LDSC); **8.** Nakato Nassiwa Josephine (Kisekka SC) appointment letter dated 4th January 2023, Min. no. 68/2022 (LDSC); **9.** Ampaire Diana Ritah (Kingo SC) appointment letter dated 5th November 2018, Min. no. 38/2018 (LDSC) (5); and **10.** Bbale Raymond, Parish Chief, performed duties of the CDO at Kyazanga SC as per his appointment letter dated 8th June 2018, Min. no. 23/2019 (LDSC) (1)

**One Senior Community Development Officers and two Community Development Officers were not substantively appointed**

New\_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

The appointment details of the Senior Accounts Assistant and / or Accounts Assistant were as follows;

1. Nampewo Prossy (Kinoni TC) appointment letter dated 30th May 2022, Min. no. 27/2022 (LDSC) (2);  
**2.** Nanfuka Aidah Kabejja (Kisekka SC) appointment letter dated 28th June 2017, Min. no. 11/2019 (LDSC) (43);  
**3 .** Kategere Fred (Lwengo SC) appointment letter dated 15th June 2019, Min. no. 08/2019 (LDSC) (2).  
**4.** Bamweyana Moses (Kyazanga TC) appointment letter dated 9th January 2023, Min. no. 60/ 2023 (LDSC);  
**5.** Kamyia Ruth (Kyazanga SC) appointment letter dated 4th January 2018, Min. no. 46/2018 (LDSC) (1);  
**6.** Lusiba Robert (Ndagwe SC) appointment letter dated 15th May 2018, Min. no. 09/2018 (LDSC) (3);  
**7.** Lubwama Manisuli (Kkingo SC) appointment letter dated 5th November 2018, Min. no. 38/2018 (LDSC) (2);  
**8.** Semakula David Kevin (Malongo SC) appointment letter dated 15th July 2020, Min. no. 24/2020 (LDSC) (2);  
**9.** Gwakaze Ronald (Katovu TC) appointment letter dated 4th January 2018, Min. no. 46/2017 (LDSC) (3); and  
**10.** Lwengo TC - The Accounts Assistant position was vacant

## Environment and Social Requirements

3

Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.

Maximum score is 4

If the LG has released 100% of funds allocated in the previous FY to:

a. Natural Resources department,

score 2 or else 0

There was evidence that LG had released 100% of funds allocated in the previous FY to the Natural Resources Department

From Pg.17 of the annual financial statement: Statement of Appropriations,

The budget for Natural Resources was UGX 245,064,121 out of which only UGX 223,718,720 had been received by the district during the year, the district had released 100% of the funds received (UGX 223,718,720) to the department.

The district did not receive wage component of UGX 21,345,401 from the Central Government.

2

3

Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.

Maximum score is 4

If the LG has released 100% of funds allocated in the previous FY to:

b. Community Based Services department.

score 2 or else 0.

There was evidence that the LG had released the entire 100% of funds allocated in the previous FY to Community Based Services department

Deriving from Pg.17 of the annual financial statement: Statement of Appropriations:

The budget for Community-Based Services was UGX 649,166,762 out of which only UGX 408,528,656 was realized and entirely released to the department (100%).

The district did not receive funds amounting to UGX 240,638,106 meant for PCA: Parish Community Associations

2

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

a. If the LG has carried out Environmental, Social and Climate Change screening,

score 4 or else 0

There was evidence that Lwengo DLG carried out Environmental, Social and Climate Change screening prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG). There were eight DDEG projects implemented the last FY namely:

- 1) Purchase of Furniture for Principle Human Resource Officer-Admin and Principle Assistant Secretary
- 2) Purchase of Intercom
- 3) Swamp Raising on Bunyere-Kirayangoma-Nkunyu Road
- 4) Borehole Rehabilitation in Kankamba
- 5) Land Titling and Tree Planting
- 6) Purchase of a Laptop
- 7) Promotion of Tourism Services in the District
- 8) Support to Physical Planning

Of these, only (3) above, namely:

Swamp Raising on Bunyere-Kirayangoma-Nkunyu Road – needed screening. The screening was done on 14/10/2022 by Mutemba Godfrey, Senior Environment Officer, Lwengo DLG.

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),

score 4 or 0

Screening results showed no need for ESIA.

4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>	<p>c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;</p> <p>score 4 or 0</p>	<p>There was evidence that Lwengo DLG had costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG). Costing of Swamp Raising on Bunyere-Kirayangoma-Nkunyu Road was done on 14/10/2022 by Mutemba Godfrey, Environment Officer and Mazinga Joseph DCDO. It was costed at UGX2,100,000/- for mitigation measures and UGX900,000/- for monitoring activities.</p>	4
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**Financial management and reporting**

5	<p>Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.</p> <p>Maximum score is 10</p>	<p>If a LG has a clean audit opinion, score 10;</p> <p>If a LG has a qualified audit opinion, score 5</p> <p>If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0</p>	<p>From the list of Audit Opinions for FY 2022/2023, issued by the Auditor General on 17th Jan. 2024, Lwengo DLG (Vote No.883) received a clean (un-qualified) audit opinion for the year.</p>	10
6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p> <p>maximum score is 10</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p> <p>score 10 or else 0.</p>	<p>There was evidence that the LG had provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year (but one) on 12/05/2023 (after February 2023) This statement includes actions against all findings where the</p> <p>Some of the issues in the report:</p> <ol style="list-style-type: none"> <li>1. Un-spent balances of UGX 771,087,444</li> <li>2. Under absorption of pension and salary budget</li> <li>3. Errors in payroll and pension computations</li> </ol>	0

7	Evidence that the LG has submitted an annual performance contract by August 31st of the current FY  Maximum Score 4	If the LG has submitted an annual performance contract by August 31st of the current FY,  score 4 or else 0.	There was evidence that the LG has submitted an annual Performance Contract and Procurement Plan for 2023/2024 within the time limit on 26th Jun. 2023 through the PBS system; a printed, physical copy was verified.	4
8	Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year  maximum score 4 or else 0	If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,  score 4 or else 0.	There was evidence that The LG submitted the Annual Performance Reports for the year 2022/2023 to PSST before August 31, 2023 as follows:  Q1 report was submitted to PSST on 16/01/2022; Q2 was submitted to PSST on (CR/D/213/3); Q3 was submitted PSST on 08/05/2023 (CR/D/103/1); and Q4 was submitted to PSST on 21/08/2023 (CR/D/213/4) (before 31st Aug. 2022)	4
9	Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year  Maximum score is 4	If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,  score 4 or else 0.	There was evidence that the LG submitted all the Annual Performance Reports for the year 2022/2023 to PSST before August 31, 2023.  The following submission letters were verified: Q1 report was submitted to PSST on 16/01/2022; Q2 was submitted to PSST on (CR/D/213/3); Q3 was submitted PSST on 08/05/2023 (CR/D/103/1); and Q4 was submitted to PSST on 21/08/2023 (CR/D/213/4) (before 31st Aug. 2022)	4

**Education Minimum  
Conditions**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Human Resource Management and Development</b>				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.  <i>The Maximum Score of 70</i>	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	The District Education Officer, Mulumba Joseph was substantively appointed as per his appointment letter dated 9th November 2021, Min no. 32/2021 (LDSC)	<b>30</b>
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.  <i>The Maximum Score of 70</i>	b) All District/Municipal Inspector of Schools, score 40 or else 0.	The LG had 2 Inspector of Schools. They were both substantively appointed as per their appointment letters.  <b>1.</b> Senior Inspector of Schools, Mibirizi Gonzaga, letter dated 17th November 2021, Min. no. 32/2021 (9) (LDSC); and <b>2.</b> Inspector of Schools, Mulumba Sumayyah, letter dated 11th December 2015, Min. no. 11/2015 (LDSC) (c)	<b>40</b>

**Environment and Social Requirements**

2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

There was evidence that Lwengo DLG carried out Environmental, Social and Climate Change screening for all Education projects for the previous FY. There were nine projects implemented by the DLG. Screening Forms signed by Mutemba Godfrey, Senior Environment Officer were done for those sampled as follows:

The Maximum score is 30

1) Construction of a 2-classroom block office & toilet at Kagwoogwa primary school dated 27/09/2022;

2) Construction of a 5-stance pit latrine at Gavu P/S, dated 27/09/2022;

3) Construction of a 5-stance lined pit latrine at Nanya P/S, dated 26/09/2022;

4) Construction of a 5-stance lined pit latrine at Hope Bulemere dated 26/09/2022;

5) Construction of a 2-classroom block office & store at Balimanyankya primary school dated 26/09/2022;

6) Construction of a 5-stance lined pit latrine at Bigando primary school dated 26/9/2022;

7) Construction of a 2-classroom block office & store at Kiwangala P/S dated 27/9/2022; and

8) Construction of a 5-stance lined pit latrine at Hope Bulemere primary school dated 27/9/2022.

2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

Screening results showed no need for ESIA.

The Maximum score is 30

**Health Minimum  
Conditions**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Human Resource Management and Development</b>				
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.</p>	<p>The District Health Officer, Kafumbe Moses, was substantively appointed as per his appointment letter dated 9th March 2020, Min. no. 05/2020 (LDSC) (1)</p>	<b>10</b>
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0</p>	<p>The Assistant District Health Officer Maternal, Child Health and Nursing, Nakityo Constansio, was substantively appointed as per her appointment letter dated 10th June 2021, Min. no. 15/2021 (LDSC) (b)</p>	<b>10</b>
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>c. Assistant District Health Officer Environmental Health, score 10 or else 0.</p>	<p>The Assistant District Health Officer - Environmental Health, Mugabi David, was substantively appointed as per his appointment letter dated 24th January 2022, Min. no. 02/2022 (LDSC)</p>	<b>10</b>
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.</p>	<p>The Principal Health Inspector, Mwanani Charles, was substantively appointed as per his appointment letter dated 30th May 2022, Min. no. 25/2022 (LDSC) (1)</p>	<b>10</b>
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>e. Senior Health Educator, score 10 or else 0.</p>	<p>The Senior Health Educator, Nassozi Deborah, was substantively appointed as her appointment letter dated 4th January 2018, Min. no. 49/2017 (LDSC)</p>	<b>10</b>

1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>The Biostatistician, Nakawoya Joanitah, was substantively appointed as per her appointment letter dated 5th May 2016, Min. no. 07/2016 (LDSC) (1)</p>	<b>10</b>
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>The District Cold Chain Technician, Okello Alfred, was substantively appointed as His appointment letter dated 9th December 2019, Min. no. 42/2019 (LDSC) (1)</p>	<b>10</b>
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>i. Principal Health Inspector, score 20 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>j. Health Educator, score 20 or else 0</p>		

**Environment and Social Requirements**

2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>There was evidence that Lwengo DLG carried out Environmental and Social Screening for all health projects implemented the previous FY. The DLG implemented six projects in Health. Screening was done by Mutemba Godfrey, Senior Environment Officer for those sampled as:</p> <p>1) Fencing of Kyetume HC III, done on 4th/ 10/2022;</p> <p>2) Renovation of staff house at Rwengenyi done on 4th/ 10/2022;</p> <p>3) Renovation of staff house at Kyazanga HC IV dated 5th/ 10/2022; and</p> <p>4) Facility upgrade from Rwengenyi HC II to HC III dated 5th/ 10/2022.</p>	<b>15</b>
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2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>b. Social Impact Assessments (ESIAs) , score 15 or else 0.</p>	<p>Screening results showed no need for ESIA.</p>	<b>15</b>
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**Micro-scale Irrigation  
Minimum Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p>	<p>If the LG has recruited;</p> <p>a. the Senior Agriculture Engineer</p> <p><i>score 70 or else 0.</i></p>	<p>The Senior Agricultural Engineer, Kanshangeho Bailon was substantively appointed as per the appointment letter dated 10th June 2021, under DSC Min. no. 14/2021 (LDSC) (2)</p>	<b>70</b>
<b>Environment and Social Requirements</b>				
2	<p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p>	<p>If the LG:</p> <p>Carried out Environmental, Social and Climate Change screening score 30 or else 0.</p>	<p>There was no evidence that Lwengo DLG Carried out Environmental, Social and Climate Change screening for all Micro-scale Irrigation projects.</p> <p>Fourteen screening Forms were availed with Farmer's names. True, DLG did not indicate demonstration farmers and individual farmers separately. Screening done by Mutemba Godfrey, Senior Environment Officer for sampled as follows:</p> <ol style="list-style-type: none"> <li>1) Hon: Ssendawula Gerald dated 03/10/2022;</li> <li>2) Magembe Abduh Majiiu dated 04/10/2022;</li> <li>3) Muhigira Betty Nakawooya dated 04/10/2022;</li> <li>4) Ssendagire Mubalakah dated 0/10/2022;</li> <li>5) Mugarura Martin dated 03/10/2022;</li> <li>6) Kwatampola Robert dated 05/10/2022;</li> <li>7) Byakatonda Jackson dated 03/10/2022;</li> </ol> <p>However, out of the 30 farmers on the Planner's list for screening, the Environment Officer had screened only 14.</p> <p>16 farmers were not careened and it was not therefore possible to tell whether any of those not screened would have required Environmental Impact Assessment or not.</p>	<b>0</b>

**Water & Environment Minimum  
Conditions**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Human Resource Management and Development</b>				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	The Civil Engineer (Water), Lugalambi Frerio Theddens, was substantively appointed, as per His appointment letter dated 23rd June 2017, Min. no. 16/2017 (LDSC) (3)	<b>15</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	The Assistant Water Officer for mobilization, Nahurira Sarah, was substantively appointed, as per her appointment letter dated 4th January 2023, DSC Min. no. 69/2022 (LDSC) (1)	<b>10</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	The Borehole Maintenance Technician, Namutinda Charles, was substantively appointed, as per his appointment letter dated 18th October 2010, Min. no. DSC/MIN. 14/SEPTEMBER/2010	<b>10</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	The Natural Resources Officer, Mutemba Godfrey, was substantively appointed, as per his appointment letter dated 20th October 2014, Min. no. 418 - 9/2014 (LDSC) (d)	<b>15</b>

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.</p> <p><i>Maximum score is 70</i></p>	<p>e. 1 Environment Officer, score 10 or else 0.</p>	<p>The Environment Officer, Namuleme Mary Jude, was substantively appointed, as per his appointment letter dated (9th March 2020, Min. no. 05/2020 (LDSC) (2)</p>	<b>10</b>
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.</p> <p><i>Maximum score is 70</i></p>	<p>f. Forestry Officer, score 10 or else 0.</p>	<p>The Forestry Officer, Ssonzi Abdallah, was substantively appointed, as per his appointment letter dated 30th March 2022,Min. no.28/2022 (LDSC) (17)</p>	<b>10</b>

**Environment and Social Requirements**

2	Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	If the LG: a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.	There was evidence that Lwengo DLG Carried out Environmental, Social and Climate Change screening/Environment screening for all Water and Environment project implemented the previous FY. There were twelve projects implemented under Water and Environment.	10
			Screening done by Mutemba Godfrey, Senior Environment Officer for:	
			1) Construction of 30M3 Masonry Tank - Naanywa, dated 5th/10/2022;	
			2) Construction of 30M3 Masonry Tank at Mustard seed Primary School, dated 3/10/2022;	
			3) Construction of Valley Tank at Nakalago village, dated 3/10/2022;	
			4) Construction of Mini-solar powered borehole in Maira dated 3/10/2022;	
			5) Construction of Drilling Deep boreholes at Kisansala village dated 3/10/2022;	
			6) Construction of 30M3 Masonry Tank at St. Peters C/U P/S, Kawule dated 4th/10/2022; and	
			7) Construction of water-borne toilet at District Headquarters dated 4/10/2022.	
2	Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.	Screening results showed no need for ESIA.	10

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.

The Environment Officer said that there is no known Contractor in the District with an Abstraction Permit.