



## **LGMSD 2022/23**

Luuka District

(Vote Code: 593)

<b>Assessment</b>	<b>Scores</b>
Crosscutting Minimum Conditions	67%
Education Minimum Conditions	100%
Health Minimum Conditions	70%
Water & Environment Minimum Conditions	55%
Micro-scale Irrigation Minimum Conditions	100%
Crosscutting Performance Measures	78%
Educational Performance Measures	92%
Health Performance Measures	91%
Water & Environment Performance Measures	78%
Micro-scale Irrigation Performance Measures	87%

**Crosscutting  
Performance  
Measures**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Local Government Service Delivery Results</b>				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s):</li> <li>If so: Score 4 or else 0</li> </ul>	<p>The two implemented DDEG funded projects were complete and functional as per the field reviews;</p> <p>Phased fencing at the district headquarters was done as per the planned scope.</p> <p>The rehabilitation works at Kiroba-Bunyiro road and Lusampa swamp were serving the purpose of easing accessibility.</p>	<b>4</b>
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> <li>By more than 5%, score 3</li> <li>1 to 5% increase, score 2</li> <li>If no increase, score 0</li> </ul> <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>Luuka DLG had no base data (had Not Applicable in 2022) and scored 66% in 2023.</p>	<b>3</b>
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> <li>If 100% the projects were completed : Score 3</li> <li>If 80-99%: Score 2</li> <li>If below 80%: 0</li> </ul>	<p>All planned infrastructure works under DDEG fund (Phased fencing at the district headquarters and rehabilitation of Kiroba-Bunyiro road plus Lusampa swamp ) were 100% complete according to the ABPR FY 2022/2023.</p>	<b>3</b>

3	Investment Performance  Maximum 4 points on this performance measure	a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:  Score 2 or else score 0.	The LG for the FY under review set out to implement two projects were;  ● Phased fencing of the district headquarters  ● repairing of Kiroba-Bunyiro road and bridging of Lusampa swamp  The two investments were found to be eligible as per the DDEG grant, budget and implementation guidelines page 12 and 13.	2
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3	Investment Performance  Maximum 4 points on this performance measure	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates,  score 2 or else score 0	There was evidence to show that variations in the contract's sum were within the Engineer's estimates.  Phased fencing of the district headquarters.  Contract sum =Ugx 49,000,000  Engineers estimate =Ugx 49,000,000  % Variations = 0%  The works done at Kiroba-Bunyiro road and bridging of Lusampa swamp were implemented on force account hence no variations to account for.	2
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**Performance Reporting and Performance Improvement**

4	Accuracy of reported information  Maximum 4 points on this Performance Measure	a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate,  score 2 or else score 0	Evidence showed that information on the position filled in the LLGs was not accurate as per minimum staffing standards in the 3 sampled LLGs of Waibuga S/C, Bukooma S/C and Luuka Town Council; for instance;  Waibuga S/C was missing a Veterinary Officer, and Fisheries Officer;  Similarly, Bukooma S/C was missing a Assistant Agricultural Officer, Office attendant and Office typist;  Luuka T/C was missing a Health Assistant, Machine Operator, and Assistant Labour Officer as these position were approved to be filled in each LLG	0
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4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> <li>• If 100 % in place: Score 2, else score 0.</li> </ul> <p><b>Note: if there are no reports produced to review: Score 0</b></p>	<p>There was evidence that infrastructure projects implemented using DDEG funding were in place as per the Annual Budget Performance Report, FY 2022/2023. The projects implemented included;</p> <ul style="list-style-type: none"> <li>● Phased fencing of the district headquarters</li> <li>● repairing of Kiroba-Bunyiro road and bridging of Lusampa swamp</li> </ul> <p>The assessment visited the Council hall and the renovated hall and were in place.</p>	2										
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> <p>score 4 or else 0</p> <p><b>NB: The Source is the OPAMS Data Generated by OPM.</b></p>	<p>The scores obtained from the LLGs in the District assessment and from the LLG IVA within the performance range of +/- 10 which implied that the assessment was credible. The comparative analyzed data was as presented below;</p> <table border="1" data-bbox="858 846 1157 1104"> <thead> <tr> <th></th> <th>DLG IVA</th> </tr> </thead> <tbody> <tr> <td>Waibuga S/C</td> <td>71 80</td> </tr> <tr> <td>Luuka T/C</td> <td>80 84</td> </tr> <tr> <td>Bukunga S/C</td> <td>71 81</td> </tr> <tr> <td>Bukoma S/C</td> <td>76 83</td> </tr> </tbody> </table>		DLG IVA	Waibuga S/C	71 80	Luuka T/C	80 84	Bukunga S/C	71 81	Bukoma S/C	76 83	4
	DLG IVA													
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Luuka T/C	80 84													
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Bukoma S/C	76 83													
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	<p>There was no evidence availed at the time of assessment</p>	0										
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence availed at the time of assessment</p>	0										

**Human Resource Management and Development**

6	<p>Budgeting for and actual recruitment and deployment of staff</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.</p> <p>Score 2 or else score 0</p>	<p>Luuka DLG consolidated and submitted the staffing requirements for FY 2024/25 on 25th/9/2023 and receipt by MoPS MoLG and MoFPED was on 29th/9/2023.</p>	2
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p> <p>Score 2 or else score 0</p>	<p>Evidence of analysis reports were on file for both LLGs and those at the headquarters.</p> <p>Evidence taken from Duty Analysis Attendance for the month of May, 2023 which was prepared by the PHRO showed that.</p> <ul style="list-style-type: none"> <li>● Maganda Siragi, (Town Clerk) attended for 22 days;</li> <li>● Waiswa George, (Senior Finance Officer) attende for 17 days and was absent for 5 days;</li> <li>● Chakasi Fred, (CDO) attended for 12 and was absent for 10 days;</li> </ul> <p>Similarly, evidence taken from Duty analysis attendance for the month of April, 2023 for Luuka Town Council showed that.</p> <ul style="list-style-type: none"> <li>● Bamulekwire Richard, (Ag. Town Engineer) attended for all the 22 days</li> <li>● Nsooli Dorcus, (Law enforcement Officer) attend for 17 days and was absent for 5 days;</li> <li>● Musisi Cyrus, (Health Inspector) attended for 15 and was absent for 7 days.</li> </ul>	2

Performance management

Maximum 5 points on this Performance Measure

i. Evidence that the LG has conducted an appraisal with the following features:

HODs have been appraised as per guidelines issued by MoPS during the previous

FY: Score 1 or else 0

Evidence showed that the Heads of departments were appraised by the CAO. However, two of them were not appraised and four of them were also appraised past the month of June 30th which was late in reference to MoPS CSI;

1. Dr Wandira Christopher, (DHO) was appraised on 18/10/2023.

2. Musisi Rajab, (Ag. District Engineer) was appraised on 30/06/2023.

3. Musena Aggrey, (DPO) was appraised on 30/07/2023

4. Bikadho Hamis, (DCDO) was appraised on 19/07/2023.

5. Kamyuka Francis (DEO) was appraised on 3/8/2023

6. Kirunda Baker (Ag. DCO) was appraised on 30/6/2023

The following were missing their Annual performance hence no evidence of being appraised for FY 2022/23.

1. Musenero Benard, (Ag. DNRO)

2. Kipaalu Bogere George (CFO)

Performance management

Maximum 5 points on this Performance Measure

ii. (in addition to "a" above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:

Score 1 or else 0

The rewards and sanctions committee was in place and it was fully constituted with 5 members as listed below.

1. Wangadya Moses PAS Chairperson
2. Kamyuka Francis DEO Member
3. Dr. Wandira Christopher DHO- Member
4. Musenero Benard SEO- Member
5. Wamala Godwin HRO- Secretary

In terms of functionality, the committee was functional and reference was made to a committee report dated 7/9/2022 under Min.2/09/RSC/2022 where there was communication from the chairperson who welcomed members and informed them that the main business of the day was concerning the issue of the Ag. District Engineer (Musisi Rajab) who was in attendance but on interdiction due to mismanagement of government assets.

Under Min.3/09/RSC/2022, Musisi Rajab, denied the allegations accused against him regarding the release of the vibro roller to go and work on roads in Nakigo Sub county Iganga District. In his defence he said that he never issued any pass out of the operator.

Under Min. 4/09/RSC/2022, the committee recommended that a central place should be identified where the keys are kept other than the drivers moving with them

Rajab should be cautioned against failing to supervise his subordinate in the department yet he is a vote controller.

Performance management

Maximum 5 points on this Performance Measure

iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.

Score 1 or else 0

The consultative Committee was formed on 1/6/2022 and it was comprised of 12 members as listed below:

1. Wangadya Moses, PAS (Chairperson)
2. Kamyuka Francis , DEO (Member)
3. Dr. Wandira Christopher, DHO (Member)
4. Bikadho Hamis, DCDO, (Member\_
5. Kipaalu George Bogere, CFO, (Member)
6. Luwano Janet, SLO, (Member)
7. Mulondo Erisa, Chairperson UNATU (Member)
8. Nambago Sarah, Secretary UNATU (Member)
9. Ahimbisiibwe Esther, Chairperson UNWU (Member)
10. Jomani Abubaker, Chairperson UPSTU (Member)
11. Aliyinza Alice,,Rep. ULWU (Member)
12. Nadiope Wilber, HRO (Secretary)

Payroll management

Maximum 1 point on this Performance Measure or else score 0

a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:

Score 1.

With Luuka DLG, a sample of 10 recruited officers were sampled and it was revealed that not all them accessed salary payroll in a period of not later than two months as indicated below:

1. Mudoo Godfrey, (Educ. Assistant) at Ikumbya P.S who assumed duty on 16/1/2023 and accessed in March, 2023.

2. Makumbi Bernard, (Assistant Nursing Officer) at Ikumba HC III who assumed duty on 24/8/2022 and accessed in November, 2022.

3. Namisanvu Lydia (Internal Auditor) who assumed duty on 7/9/2022 and accessed in November, 2023.

4. Nakito Naimah, (Laboratory Assistant) at Irongo HC III who assumed duty on 10/1/2023 and accessed in February, 2023.

5. Ntono Betty, (Porter) at Nakiswiiga HC II who assumed duty on 21/11/2022 and accessed in January, 2023.

6. Naikote Phiona (Enrolled Nurse) at Bukanga HC III who assumed duty on 9/11/2022 and accessed in December, 2023.

7. Bageya Mubiru Hassan, (Health Assistant) at Kalwoya HC II who assumed duty on 7/11/2022 and accessed in February, 2023.

8. Nsooli Dorcus, (Law enforcement Officer) at Luuka T/C who assumed duty on 12/8/2022 and accessed in November, 2023.

9. Isiiga Specioza, (Educ. Assistant) at Buyenze P.S who assumed duty on 31/1/2023 and accessed in March, 2023.

10. Tamwizanga Betty Irene, (Labour Officer) assumed duty on 10/1/2023 and accessed in March, 2023.

Pension Payroll management

Maximum 1 point on this Performance Measure or else score 0

a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:

Score 1.

Evidence at the time of assessment revealed that less than 100% of the staff that retired during FY 2022/23, had accessed the pension payroll within a period of not more 2 months' as per the ten sampled officers below:

1.Kyeyune Godfrey, (IPPS No. 250565) retired on 13/7/2022 and accessed in September, 2022;

2.Nyiro Wabulembo, (IPPS No. 250411) retired on 6/10/2022 and accessed December, 2022;

3. Kako Jane, (IPPS No. 250369) retired on 20/6/2022 and accessed in April, 2023;

4.Kawudha Margret, (IPPS No.1249963) retired on 24/4/2023 and accessed in June, 2023;

5.Kalembe Mary, (IPPS No. 754446) retired on 12/2/2023 and accessed in June, 2023;

6.Asoniwa Lydia Mudingu, (IPPS No. 251817) retired on 1/9/2023 and accessed in June, 2023;

7.Lwokyaza Charles Isabirye, (IPPS No. 250323) retired on 15/11/2022 and accessed in April,2023;

8.Kunya Samuel, (IPPS No.250701) retired on 20/1/2023 and accessed in April, 2023;

9.Gabula John Bosco, (IPPS No. 250090) retired on 19/9/2022 and accessed in December, 2022.

10.Nadonga Sabra, (IPPS No.754476) retired on 23/10/2022 and accessed in December, 2022;

### Management, Monitoring and Supervision of Services.

10

N23\_Effective Planning, Budgeting and Transfer of Funds for Service Delivery

Maximum 6 points on this Performance Measure

a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:

Score 2 or else score 0

DDEG transfers to LLGs during FY 2022/2023 were made in two instalments. The first instalment was made in 2nd Qrt. on 15th/10/2022 of Ugx 93,989,141 and the second installment in 3rd Qrt. of Ugx 187,978,281 2nd Fenruary, 2023.

10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery	b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).	The LG did timely (within 5 working days) warranting of DDEG transfers from the date of receipt of releases from MoFPED. In 2nd Qrt. the date of receipt of releases was 3rd/10/2022 while the warranting date was 6th/10/2022 (within 3 days). In 3rd Qrt. the date of receipt of release was 3rd/1/2023 while the date of warranting was 7th/1/2023 (4 days).	2
	Maximum 6 points on this Performance Measure	Score: 2 or else score 0		
10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery	c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:	The time lag between invoicing and communication of DDEG transfers to the LLGs from the date of funds release could not be determined because the Municipality failed to retrieve the dates from the system.	0
	Maximum 6 points on this Performance Measure	Score 2 or else score 0		
11	Routine oversight and monitoring	a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:	In FY 2022/2023, the Municipality mentored all the LLGs on a quarterly basis, reports were produced and forwarded to CAO as follows: 1st Qrt. the report was forwarded on 26th/9/2022, 2nd Qrt report on 16th/12/2022, 3rd Qrt on 23rd/3/2023 and 4th Qrt report submitted on 29th/6/2023.	2
	Maximum 4 points on this Performance Measure	Score 2 or else score 0	Some of the objectives of mentoring LLGs were:  i. To ensure that the sub-counties are well acquitted with planning and budgeting calendar,  ii. Adequate integration of cross cutting issues into the planning process at the LLGs levels, iii. Local revenue generation, mobilisation and management at various levels.	

11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>	<p>In FY 2022/2023, monitoring LLGs activities was done on a quarterly basis, reports produced and forwarded to CAO. The same reports were also presented to the DTPC for discussion as follows. 1st Qrt report produced on 10th/10/2022 and discussed on 14th/10/2022 under Min. 07/TPC/08/10/2022. 2nd Qrt report produced on 13rd/1/2023 and discussed on 21st/1/2023 under Min. 06/TPC/01/2023. 3rd Qrt produced on 14th/4/2023 and discussed on 21st/4/2023 under Min. 07/TPC/04/2023 and 4th Qrt. was produced on 28th/6/2023 and discussed on 30th/6/2023.</p> <p>Some of the recommendations made by TPC were: a) DPU to expedite procurement process in order to start using the funds already released, b) Continuous supervision and monitoring during implementation to ensure that value for money was achieved, c) The Executive should be involved fully at that advanced stage of project implementation.</p>	2
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### Investment Management

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p><b>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</b></p>	<p>The District which was on IFMS maintained the assets register for Land, Transport Equipment, Office Equipment, Buildings, etc. Each category had its own details recorded. The details for Land are: Description, Asst category, Site/Plot No., Block No., Title Deed No., Size, Date of acquisition, Purpose, Cost, Department, Section, Physical location and Date o registration.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>The Board of survey report for FY 2021/2022 was prepared and submitted to the PS/ST of MoFPED. In the report there were seven recommendations made by the Board. One of the recommendations was that a pick-up Reg. UZU 547 which was beyond mechanical repair was finally reported repaired and was in working condition. The other recommendations for disposal of other vehicles, the process was ongoing as was reported in the next Survey report for FY 2022/2023.</p>	1

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>A ten-member Physical Planning Committee of the District was appointed on 2/11/2020 and was functional. During FY 2022/2023, the Committee held four meetings on a quarterly basis as follows: 1st Qrt meeting held on 30th/9/2022, 2nd Qrt meeting on 19th/12/ 2022, 3rd Qrt on 30th/3/2023 and 4th Qrt meeting on 20th/4/2023. The minutes of each meeting were produced and submitted in a batch to the Director, Physical Planning and Urban Management of MoLHUD through a letter Ref. CR/251/1 on 30th/8/2023 and received on 1st/9/2023 by stamping.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d.For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>District conducted a desk appraisal of the projects in the budget for FY 2022/2023 and a report was produced dated 30th/6/2022. In the report, under column "Origin of the projects' ', the officers confirmed that all projects were linked to the 5-year DDP. On eligibility, they ticked "right" for all the projects e.g. the two DDEG projects namely: a) Partial fencing of the District Headquarters and b) Sport improvement of swamps in the District, the expenditures were eligible as noted on pages 7 and 8 of DDEG guidelines.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>A field appraisal for the projects of FY 2022/2023 was conducted and a report dated 20th/8/2022 was produced and forwarded to CAO. Among the projects were two DDEG projects namely i. Titling of Bulalu HC II and Ikumbya HC II, ii. Payment of retention of District canteen. In the report, the officers positively pronounced themselves on the technical feasibility and environmental and social acceptability.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>The project profiles with costing for FY 2023/2024 were developed e.g. a) Partial fencing of Administration Block at Ugx.49, 000,000, ii. Partial completion of Administration Block at Ugx 300,000,000 and iii. Construction of pit latrines for Primary Schools at Ugx 92,000,000. However, there were no minutes of DTTPC as evidence of the discussion of the profiles.</p>	0

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence presented for the screening of DDEG projects for the FY2023/24</p>	0
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>There was evidence of an approved procurement plan dated 26th July 2023 incorporating DDEG funded projects for the current financial year.</p> <p>Procurement and supply of 250 3-seater desks at Ugx 40,000,000 on page 1.</p> <p>Renovation of inpatient block at Kiyunga HC IV at Ugx 80,000,000 on page 1.</p> <p>Terrazzo screening of maternity ward at Bukendi HC III on page 1</p>	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0</p>	<p>There was evidence of the contracts committee approving DDEG infrastructure projects to be implemented in the current financial year as shown below.</p> <p>Procurement and supply of 250 3-seater desks were approved under Min. 04/DCC/10/2023 (xx) on the 23rdOctober 2023.</p> <p>Renovation of the inpatient block at Kiyunga HCIV was approved under Min. 04/ DCC/10/2023 (xviii) on 23rdOctober 2023.</p> <p>Terrazzo screening of the maternity ward at Bukendi HC III was approved under Min. 04 /DCC/10/2023/(vii) on the 23rdOctober 2023.</p>	1

13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:  Score 1 or else 0	There was evidence of an appointment by the CAO (Mr. Wangadya Moses) for project implementation members.  Eng. Musisi Rajab as the contract manager  Eng. Tabandika Twaha as the Clerk of works  Mr. Musenero Bernanrd as the Environment officer.  Ms. Lawano Janat as the Labour officer  Bikhadho Hamis as the DCDO.	1
13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer:  Score 1 or else score 0	The works were well executed at the district headquarters for fencing. The concrete cast was of good mix, the chain link was received with concrete fence poles and the roads were wide with compressed murrum on the tough.	1
13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0	There was evidence for supervision of works with a sampled report dated 5th June, 2023 for the phased fencing works at the district headquarters by Eng. Musisi Rajab, works were 100% and under defects liability.  A supervision report dated 24th May, 2023, works at this point had just commenced which included site clearance, and excavations to receive fence poles casting was ongoing.	2

13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):</p> <p>Score 1 or else score 0</p>	<p>There was evidence for payments made to the contractor for the works done as shown below.</p> <p>Phased fencing of the district headquarters.</p> <p>A requisition made by M/s Build Luuka Initiatives (U) Ltd on the 16th June 2023 of Ugx 49,000,000.</p> <p>Two interim certificates were attached to the requisition dated 20th June 2023 of Ugx 44,183,051 and of 26th June 2023 of Ugx 2,303,000 and the voucher 6429400 effected the payment on 28th June 2023.</p> <p>Road works at Kiroba-Bunyiyo and bridging of Lusampa swamp.(Force on account)</p> <p>A requisition raised by Iganga Service Station (Total) for payment of fuel consumption of Ugx 26,010,000 on 23rd February 2023 and a voucher 5164803 on the 3rd May 2023 effected the payment.</p> <p>A voucher 6429500 of Ugx 5,301,600 effected payment to Buyima contractors catering for supply of material used at Lusampa swamp on 28th June 2023.</p> <p>A voucher 5167102 of Ugx 3,846,000 effected payment for facilitation allowances for implementation of works for the road.</p>	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law:</p> <p>Score 1 or else 0</p>	<p>Evidence of a complete procurement file for the phased fencing of the district headquarters.</p> <p>A procurement ref no .Luuk 881/wrks/2022-23/00018 with a contract signed on 11th May 2023 with M/s . Build Luuka Initiatives (U) Ltd . An evaluation report was approved by the contracts committee on 4th May 2023 and the contract was awarded under Min. 04/DCC/05/2023 (ii) on 5th May 2023.</p>	1

## Environment and Social Safeguards

14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the District/Municipality has i) designated a person to coordinate response to feedback (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.</p> <p>Score: 2 or else score 0</p>	<p>Ms.Luwano Janat - Senior Labour officer was designated as the focal person for the district grievance redress through a letter dated 9th/08/2021 approved by the Principal Assistant secretary (PAS) and further appointed the GRC 9th/08/2021 and the members were;</p> <ol style="list-style-type: none"> <li>1.Mugolo Moses - CAO chairperson GRC</li> <li>2.Bikadho Hamis - DCDO secretary GRC</li> <li>3.Makinabu Yahaya DWO</li> <li>4.Luwano Janat - Senior Labour officer</li> <li>5.Musenero Bernard - senior Environment Officer</li> <li>6.Mafumo Adonia Isadha - Youth Council (PWD)</li> <li>7.Wandira Christopher - DHO</li> </ol> <p>Waiswa Charles - SMC</p>	<b>2</b>
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.</p> <p>If so: Score 2 or else 0</p>	<p>There was evidence of the centralized complaints log which was opened on 30th/03/2023 for the FY2022/23 and on review there were grievances recorded.</p>	<b>2</b>
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.</p> <p>If so: Score 1 or else 0</p>	<p>There was evidence availed for the display of the GRM titled “Luuka District Local government pathway for grievance redress” at the main administration block and community-based services.</p>	<b>1</b>

15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0	There was evidence that environment, social and climate change had been integrated into the LGDP III, Annual work plans and budgets as shown below.  LGDPIII pages 85-87  1. planting of 60,000 seedlings annually (100 acres)  2. Restoration of forests and tree cover by natural regeneration and plantation or by agroforestry  3. increase forest cover from 11% to 25%  4. 60km of wetlands to be restored  180km of wetlands to be demarcated	1
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management  score 1 or else 0	There was no evidence available for the dissemination of DDEG guidelines to the LLGs	0
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	(For investments financed from the DDEG other than health, education, water, and irrigation):  c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:  score 3 or else score 0	Costed ESMP of UGX. 200,000 under bill No. 1 preliminaries item J for the Partial fencing of the district headquarters.  LUUK881/WRKS/22-23/00018  Contractor: M/S Build Luuka initiatives (U) Ltd.  Costed ESMP of UGX. 300,000 under bill No. 1 preliminaries item 9 environmental conservation for the mechanised maintenance of Kiroba-Bunyiro road and Bridging of Lusampa (Bukkade swamp) force on account	3
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	d. Examples of projects with costing of the additional impact from climate change.  Score 3 or else score 0	There was no project with additional costs for addressing impacts resulting from climate change at the LG in the FY 2022/23.	0

15 Safeguards for service delivery of investments effectively handled. e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Land title for District headquarters where there was partial fencing of the administration headquarters DDEG funded.  
Freehold volume 756 FOLIO 15 on block 33 plots 29 to 32 at Kiyunga-Bulongo measuring 55.924 hectares issued on 18th/6/2010  
Maximum 11 points on this performance measure  
Score 1 or else score 0 **1**

15 Safeguards for service delivery of investments effectively handled. f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports: There was no evidence of monthly supervision and monitoring to ascertain compliance with ESMPs for the DDEG funded projects in FY 2022/23.  
Maximum 11 points on this performance measure  
Score 1 or else score 0 **0**

15 Safeguards for service delivery of investments effectively handled. g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: There was no evidence availed for the certification of works by the environment officer and CDO prior to payment of the contractors such as partial fencing of the district headquarters phase-1 payment was made on 28th/06/2023 CDO and environment officer did not certify payment.  
Maximum 11 points on this performance measure  
Score 1 or else score 0 **0**

**Financial management**

16 LG makes monthly Bank reconciliations a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment: The DLG which was on IFMS made monthly bank reconciliations and were up-to-date at the time of this assessment. The following are examples of reconciled balances (in Uganda shillings) at particular points of time:  
Maximum 2 points on this Performance Measure  
Score 2 or else score 0 **2**

	30/6/2023.
	31/10/2023
General Fund	129,179,210
57,532,181	
UWEP Recovery	38,104,350
38,147,350	
YLP Recovery	1,339,557
1,339,557	

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>All the four quarterly internal audit reports for FY 2022/2023 were produced and forwarded to Speaker with copies to District Chairman, CAO, Chairman PAC, among others. 1st Qrt. report was produced on 28th/10/2022, 2nd Qrt report on 27th/1/2023, 3rd Qrt. on 28th/4/2023 and 4th Qrt produced on 25th/7/2023.</p>	<b>2</b>
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>Information on the status of implementation of internal audit findings for FY 2022/2023 was provided in the subsequent audit report under section "Here matters raised in the previous audit". Since the reports were copied to Council Chair and LG PAC, the information is received accordingly.</p>	<b>1</b>
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>There were no minutes of PAC to prove that the committee reviewed the quarterly internal audit reports for FY 2022/2023.</p>	<b>0</b>

### Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>The budgeted revenue collections for FY 2022/2023 Ugx 152,793,000 as per the approved budget of the period (pages not pagged). Actual revenue collected during the period Ugx 260,666,570 (less farmers' co-funding contributions as per a schedule signed by CAO on 30/6/2023) i.e. Ugx 152,792,782. This was a performance of 99.9% collection ratio</p>	<b>2</b>
19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> <li>• If more than 10 %: score 2.</li> <li>• If the increase is from 5% - 10 %: score 1.</li> <li>• If the increase is less than 5 %: score 0.</li> </ul>	<p>OSR collections for FY 2021/2022 Ugx 139,950,799 as noted on page 33 of the audited financial statements of the period. On the other hand, OSR collections for 2022/2023 Ugx 152,792,782 as noted in a. above. That was an increase of Ugx 12,841,983 between both periods i.e. 9.1%.</p>	<b>1</b>

20	Local revenue administration, allocation, and transparency  Maximum 2 points on this performance measure.	a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0	The sharable LST during FY 2022/2023 Ugx.103,565,000 as noted in financial statements of the year. The actual mandatory LLGs share of local revenue remitted Ugx. 68,840,337 as per the four approved requisitions dated 6th/9/2022 (Ugx 17,210,084.25), 17th/1/2023 (Ugx 17,210,084.25) , 15th/6/2023 (Ugx 17,210,084.25) and 28th/6/2023 (Ugx 17,210,084.25) and the payment vouchers attached. This was 66.2% transfer, above the 65% set in the law.	2
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### Transparency and Accountability

21	LG shares information with citizens  Maximum 6 points on this Performance Measure	a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0	Although the publications had been removed from the notice board at the time of this assessment, there was evidence that at one point in time, the documents were there. Examples of awarded contracts and amounts are as listed below;  1. Construction of a 2-classrooms block at Ikumbya Primary School awarded to M/s Record Times General Enterprises Ltd at Ugx.63,578,400,  2. Siting and drilling 9 boreholes testing pumping and water quality analysis awarded to M/s Dharani Boreholes Ltd at Ugx 286,774,810,  3. Phased construction of a Maternity ward at Ikonia HC III awarded to M/s Record Time General Enterprises Ltd at Ugx .119,750,058	2
21	LG shares information with citizens  Maximum 6 points on this Performance Measure	b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0	The District performance results for the year 2022 was published on notice board at the entrance in the main building. Scores in the various categories were shown e.g. Crosscutting Minimum Conditions 54%, Education Minimum Conditions 46%, Health Minimum Conditions 39%, Water and Environment Minimum Conditions 58%, etc.	2
21	LG shares information with citizens  Maximum 6 points on this Performance Measure	c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0	On 5th/06/2023 a Baraza congregation was held at the District Headquarters. The purpose was to inform the Luuka District community on outputs delivered by the Government during FY 2022/2023. A report was compiled under the signature of the Planner and forwarded to CAO	1

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>Information on tax rates was published on the notice board at the entrance in the main building together with the collection procedures and procedures of appeal for community consumption.</p>	<b>1</b>
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>The District did not prepare an IGG report during FY 2022/2023 because there were no cases experienced in the District.</p>	<b>1</b>

**Educational  
Performance  
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 4</li> <li>• Between 1 and 5% score 2</li> <li>• No improvement score 0</li> </ul>	<p>School Year 2020</p> <p>Total No. of Candidates registered = 5873</p> <p>Total absentees = 104</p> <p>Total that sat = 5769</p> <p>Total Grades (I, II &amp; III) = (151+1823+1389) = 3363</p> <p>Pass rate = <math>3363 \times 100</math></p> <p style="padding-left: 40px;">5769</p> <p style="padding-left: 40px;">= 58.3%</p> <p>School Year 2022</p> <p>Total No. of Candidates registered = 6231</p> <p>Total absentees = 186</p> <p>Total that sat = 6045</p> <p>Total Grades (I, II &amp; III) = (232+2074+1358) = 3664</p> <p>Pass rate = <math>3664 \times 100</math></p> <p style="padding-left: 40px;">6045</p> <p style="padding-left: 40px;">= 60.6%</p> <p>PLE pass rate increased by 2.3% (from 58.3% to 60.6)</p>	<b>2</b>

1

Learning Outcomes:  
The LG has improved  
PLE and USE pass  
rates.

Maximum 7 points on  
this performance  
measure

b) The LG UCE pass rate has  
improved between the  
previous school year but one  
and the previous year

- If improvement by more than 5% score 3
- Between 1 and 5% score 2
- No improvement score 0

School Year 2020

Total No. of Candidates registered =  
1800

Total absentees = 13

Total that sat = 1787

Total Grades (I, II & III) = (43+221+386)  
= 650

Pass rate =  $\frac{650}{1787} \times 100$

1787

= 36.3%

School Year 2022

Total No. of Candidates registered =  
1377

Total absentees = 10

Total that sat = 1360

Total Grades (I, II & III) = (46+162+330)  
= 542

Pass rate =  $\frac{542}{1360} \times 100$

1360

= 39.9%

The UCE pass rate increased by 3.6%  
(from 36.3% to 39.9%)

2

2

N23\_Service Delivery  
Performance: Increase  
in the average score in  
the education LLG  
performance  
assessment.

Maximum 2 points

a) Average score in the  
education LLG performance  
has improved between the  
previous year but one and the  
previous year

- By more than 5%, score 2
- Between 1 and 5%, score 1
- No Improvement, score 0

NB: If the previous average  
score was 95% and above,  
Score 2 for any increase.

The LG had zero score in 2022 and in  
2023 the performance score was 55%

2

Investment  
Performance: The LG  
has managed  
education projects as  
per guidelines

Maximum 8 points on  
this performance  
measure

a) If the education  
development grant has been  
used on eligible activities as  
defined in the sector  
guidelines: score 2; Else score  
0

The SFG received by the LG in FY was  
Ugx 390,326,000.

According to page 24 and 25 of the  
Planning, Budgeting and  
Implementation guidelines for Local  
Governments for Education and Sports,  
the DLG spent the grant on eligible  
projects which included construction of  
two classroom blocks at primary  
schools; Ikumbya Catholic, Maunda,  
Bugonza and Ikumbya CoU and 5-stance  
lined Pit latrines at Buwiri as per the  
detailed examples below;

- Construction of a 2-classroom block  
at Ikumbya Catholic P/S at Contract  
price Ugx 63,578,400. The procurement  
was awarded to M/s Record Time  
General Enterprises Limited

- The construction of a 5-stance a 5-  
stance Pit latrine at Buwiri P/S. The  
procurement was awarded to M/s Emika  
General Services Limited at contract  
price Ugx 19,996,750.

- Construction of a 2-classroom block  
at Maunda P/S at Contract price Ugx  
63,979,500. The procurement was  
awarded to M/s Muza General  
Enterprises

All the activities were defined in the  
investment menu under the sector  
guidelines on page 4.

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0

There was evidence that the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY prior to the LG making payments to the contractors as per the examples below;

- The project for the Construction of a 2-classroom block at Ikumbya Catholic P/S. The contractor M/s Record Time General Enterprises Limited raised a payment claim of Ugx 40,000,000 on 27th/02/2023. The interim payment certificate Ugx 34,980,975 was drawn and cleared by the DEO Environment Officer and CDO on 10th/03/2023. The payment of Ugx 34,980,975 was made on 30th/03/2023 via EFT voucher 4692147.

- The project for the Construction of a 2-classroom block at Maunda P/S. The contractor M/s Muza General Enterprises Limited raised a payment claim of Ugx 30,000,000 on 18th/April, 2023. The interim payment certificate Ugx 22,870,932 was drawn and cleared by the DEO Environment Officer and CDO on 26th/04/2023. The payment of Ugx 22,870,932 was made on 15th/04/2023 via EFT voucher 5887869.

- The project for the Construction of a 5-stance lined VIP latrine at Buwiri P/S. The contractor M/s Emika General Services Limited raised a payment claim of Ugx 19,996,750 on 6th/06/2023. The interim payment certificate Ugx 17,857,098 was drawn on 14th/06/2023 and cleared by the DEO Environment Officer and CDO on 19th/06/2023. Three payments of Ugx 7,512,874 via voucher 6440907, Ugx 4,459,428 via voucher 6440907 and Ugx 5,884,797 via voucher 6440907 were made on 28th/07/2023.

3	Investment Performance: The LG has managed education projects as per guidelines	c) If the variations in the contract price are within +/- 20% of the MoWT estimates score 2 or else score 0	There was evidence for variations in contract prices for all school infrastructure projects.	2
	Maximum 8 points on this performance measure		Construction of a 2-class room block at Bugonza primary school.	
			Contracts sum =Ugx 63,780,200	
			Engineers estimates = Ugx 64,000,000	
			% Variation =-0.34%	
			Construction of a 2- classroom block at Ikumbya Catholic primary school.	
			Contract sum =Ugx 63,999,990	
			Engineer estimate =Ugx 64,000,000	
			% Variation = 0%	
			Construction of 2 classroom block at Ikumbya Church of Uganda primary school.	
			Contract sum =Ugx 63,578,400	
			Engineers estimate=Ugx 64,000,000	
			% Variation =-0.66%	
3	Investment Performance: The LG has managed education projects as per guidelines	d) Evidence that education projects (Seed Secondary Schools)were completed as per the work plan in the previous FY	The LG in its Work Plan for FY 2022/2023 set out to implement projects (Ikumbya Catholic, Maunda, Bugonza and Ikumbya CoU and 5-stance lined Pit latrines at Buwiiri) funded by SFG and according to the ABPR FY 2022/2023, it was evident that the Education sector projects were 100% completed as per the work plan plus the practical completion certificates and had been paid fully and commissioned at the time of the assessment. The projects among others included;	2
	Maximum 8 points on this performance measure	<ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• Between 80 - 99% score 1</li> <li>• Below 80% score 0</li> </ul>	<ul style="list-style-type: none"> <li>● The project for the Construction of a 2-classroom block at Ikumbya Catholic P/S. at Contract price Ugx 63,578,400.</li> </ul>	
			<ul style="list-style-type: none"> <li>● The project for the Construction of a 2-classroom block at Maunda P/S at contract price of Ugx 63,979,500.</li> </ul>	
			<ul style="list-style-type: none"> <li>● The project for the Construction of a 5-stance lined VIP latrine at Buwiri P/S at contract price at Ugx 19,996,750.</li> </ul>	

4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> <li>• If 100%: score 3</li> <li>• If 80 - 99%: score 2</li> <li>• If 70 - 79% score: 1</li> <li>• Below 70% score 0</li> </ul>	<p>There was adherence to the prescribed MoES guidelines when recruiting teachers. The LG at least had recruited a teacher per class. At the time of the assessment, the LG had 1346 teachers in service against the approved staffing norm of 1376 which implied that the LG recruitment was at 97.8%</p>	3
4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> <li>• If above 70% and above score: 3</li> <li>• If between 60 - 69%, score: 2</li> <li>• If between 50 - 59%, score: 1</li> <li>• Below 50 score: 0</li> </ul>	<p>A review of the consolidated Assets register at the DEO's indicated that all the 89 primary schools and 09 secondary schools which translated into 100% met the basic requirements and minimum standards in respect of compiling the School Asses registers.</p> $\frac{\text{Total (UPE+USE)}}{(89+09)} \times 100$ $\frac{(89+09)}{(89+09)} = 100\%$ <p>The assessment analysed the Consolidated assets registers of the UPE and USE in the past two FYs and presents the detailed account below. The FY 2021/2022 register was endorsed by the DEO on 24th/06/2022 and for FY 2022/2023 was endorsed by the DEO on 21st June, 2023.</p> <p>The registers presented the average stocks for the LG as indicated below;</p> <p><b><u>FY 2021/2022</u></b></p> <p>The UPE schools' average stocks were;</p> <ol style="list-style-type: none"> <li>1. Classrooms were 554</li> <li>2. Latrine stances were 635</li> <li>3. Desks were 12350</li> <li>4. Staff houses were 59</li> </ol> <p>The USE school's average stocks were;</p> <ol style="list-style-type: none"> <li>1. Classrooms were 243</li> <li>2. Latrine stances were 135</li> <li>3. Desks were 3545</li> <li>4. Laboratories were 08</li> <li>5. Staff houses were 24</li> </ol> <p><b><u>FY 2022/2023</u></b></p> <p>The UPE schools' average stocks were;</p>	3

1. Classrooms were 562
2. Latrine stances were 735
3. Desks were 12481
4. Staff houses were 59

The USE school's average stocks were;

1. Classrooms were 243
2. Latrine stances were 135
3. Desks were 3545
4. Laboratories were 08
5. Staff houses were 24

## Performance Reporting and Performance Improvement

5

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

a) Evidence that the LG has accurately reported on teachers and where they are deployed.

- If the accuracy of information is 100% score 2

- Else score: 0

The LG accurately reported on teachers and their work stations according to the Staff deployment list endorsed by the DEO on 1st July, 2023 that captured 1346 teachers serving in 89 registered Primary Schools.

The assessment sampled three (3) of; Kitwekyambogo (Urban), Kamwirungu (Semi urban) and Kiyunga (rural) to verify the accuracy of deployment information. The pointers of verification were; the displayed teachers' list, teacher's duty rosta and the teacher's daily attendance books. When the assessment reviewed the 3, the teacher's names, the staff size per school, they were all in tandem with the deployment list at the DEO's office.

The staff list that was posted on the walls of the Headteachers' office at Kitwekyambogo P/S indicated that the Government paid teachers were 17 which was in tandem with the DEO's deployment list

At Kamwirungu P/S, the staff list posted on the walls of the Headteachers' office indicated that the GoU paid teachers were 17 which number tallied with the DEO's deployment list.

While at Kiyunga P/S, the staff list posted on the wall of the Headteachers' office indicated that the GoU paid teachers were 17 same number and names as captured on the DEO's deployment list

2

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.

- If the accuracy of information is 100% score 2
- Else score: 0

There was evidence that the LG had a School Asset register that consolidated the Assets of all the registered Primary Schools. The register was endorsed by the DEO on 21st/6/2023.

The registers presented the average stocks for the LG as indicated below;

1. Classrooms were 562
2. Latrine stances were 735
3. Desks were 12481
4. Staff houses were 59

The assessment sampled three schools (Kitwekyambogo, Kamwirungu and Kiyunga) to verify the records presented by the DEO. The verification noted that the records at the DEO's and at the respective schools were the same as shown below;

1. Kitwekyambogo (Urban) the stocks were Classrooms 15, latrine stances 12, desks were 230 and staff houses were 5. That information was similar to the stocks at DEO's office.

2. Kamwirungu (semi-urban) the assets were; classrooms were 17, latrine stances were 22, desks were 450 and staff houses were 00 units, the same as reported by the DEO's register.

3. Kiyunga P/S asset stocks assessed indicated; 11 classrooms, 36 latrine stances and 192 desks and 03 units of staff houses. The asset stocks tallied with those at the DEO's office.

School compliance and performance improvement:

Maximum 12 points on this performance measure

a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:

- If 100% school submission to LG, score: 4
- Between 80 - 99% score: 2
- Below 80% score 0

There was evidence of compliance to MoES annual budgeting and reporting guidelines.

All the 89 (100%) UPE schools submitted annual school reports and budgets to DEO by 30 January, 2023. The review of submitted annual school reports on file revealed that all reports were compiled as per the reporting formants for annual school reports and budgets provided in the sector guidelines (Budgeting and implementation guidelines for primary and secondary schools, pages 21-25.

A sample of annual school reports on file showed that the annual school reports and budgets were duly signed by head teachers and chairpersons of school management committees (SMCs) and submitted by 30 January 2023;

Kitwekyambogo P/S submitted on 20th/12/2022,

Kamwirungu P/S submitted on 28th/01/2023

Namagera P/S submitted on 22nd /12/2022,

Nabitama P/S submitted on 9th/12/2022,

Nawandyo P/S submitted on 6th/01/2023,

Kiroba P/S submitted on 9th/12/2022,

Busiuro Muslim P/S submitted on 9th/1/2023,

Kiyunga P/S submitted on 18th/12/2022.

Buyola P/S submitted on 01st/1/2023

Ikumbya Catholic P/S submitted on 10th/12/2022.

Buyoga P/S submitted on 18/01/2023

Wandago P/S submitted on 18/12/2022.

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> <li>• If 50% score: 4</li> <li>• Between 30- 49% score: 2</li> <li>• Below 30% score 0</li> </ul>	<p>There was evidence that the education department supported all the 89 UPE schools to prepare and implement the school improvement plans (SIPs) in line with inspection recommendations.</p> <p>The review of school files in DEO's office revealed that all UPE schools submitted their SIPs as part of the annual school report and budget for School/Calendar year 2022.</p> <p>During the education department and head teachers' meeting held at Kitwe Kyambogo P/S on 24th September 2022, head teachers were trained on developing the school improvement plan under Min.05/2022 (Way forward). The inspectors of schools inducted head teachers on how to develop the school improvement plan (SIP) in the required format.</p> <p>The verification done in all the three sampled UPE schools (Kitwekyambogo, Kamwirungu and Kiyunga) revealed that copies of SIPs were displayed on the school notice boards.</p>	4
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> <li>• If 100% score: 4:</li> <li>• Between 90 - 99% score 2</li> <li>• Below 90% score 0</li> </ul>	<p>The list of schools from LG performance contract and the EMIS data of schools from MoES were assessed. It was established that the list of government aided schools captured in FY 2022/2023 Approved Performance contract was consistent with the number of schools (89) with 74621 pupils of Primary and 1246 learners of Secondary in excel data sheet (EMIS) for FY 2022/23 submitted to MoES on 17th/10/2022.</p>	4

**Human Resource Management and Development**

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>The LG budgeted for a head teacher and minimum of (7) teachers per school in all the 89 Government aided primary schools as per wage provision. The FY 2023/2024 LG Approved budget estimates for 89 headteachers and 1257 teachers salary budget of Ugx.8,903,461,299 for the 1346 teachers all the 89 UPE schools</p>	4
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7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY, Score 3 else score: 0</p>	<p>From the three sampled and visited Primary Schools of Kitwekyambogo (urban), Kamwirungu (semi-urban) and Kiyunga (rural), it was evident that LG deployed at least a teacher per class as required by the guidelines.</p> <p>The assessment noted that the names and number of teachers as displayed in the Headteachers' office were tallying with what was on the teacher's deployment list dated 10th November, 2023 at the DEO's office.</p> <p>The DEO's Deployment list for School year 2023 indicated that; Kitwekyambogo P/S had 15, Kamwirungu had 17 and Kiyunga had 17 teachers including the Headteachers.</p> <p>The School verification tour reviewed the teacher's daily attendance book and the posted staff lists plus the staff duty allocation schedules, it was revealed that the numbers and names of teachers were similar with those on the deployment list at the DEO's desk for the HT at Kitwekyambogo was Mr. Olowo Michael and from the staff attendance Teacher Olowo Michael had registered as No. 9 on 14th/11/2023 and he was No. 1 on the DEO's deployment list.</p>	<b>3</b>
7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board, score: 1 else, score: 0</p>	<p>There was evidence that teacher deployment data had been displayed at the DEO's notice board and on school notice boards in all the 03 sampled UPE schools of Kiwekyambogo, Kamwirungu and Kiyunga</p>	<b>1</b>

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copt to DEO/MEO

Score: 2 or else, score: 0

The findings from the 10 randomly sampled and reviewed Headteacher's files in respect of appraisal for work done during the School year 2022 indicated that the appraisals were done within the set timelines as presented below;

Mr. Olowo Michael the HT Kitwekyambogo P/S P/S in Luuka Town Council was appraised by the Town Clerk Maganda Siraji on 18th/12/2022.

Ms. Muteesi Yudesi the HT Nabikunyu P/S in Nawampiti S/C was appraised by the SAS Byakika Jowadu on 12th December, 2022.

Mr. Mukoome David the HT of Kamwirungu in Bulombo S/C was appraised by SAS Tezikya Ruth on 19th/12/2022.

Mr. Kyami Ismeal the HT of Nawandyo P/S in Nawampiti S/C was appraised by the SAS Byakika Jowadu on 15th/12/2022

Mr. Birumbi Godfrey the HT of Namagera P/S in Nawampiti S/C was appraised by the SAS Byakika Jowadu on 15th/12/2022.

Mr. Waiduba Edward the HT of Bukobo P/S in Ikumbya S/C was appraised by SAS Barasa Patrick Musisi on 25th/12/2022.

Mr. Dhikusoka Izimula Robert the HT of Buyola P/S in Buyola S/C was appraised by SAS Byakika Jowadu on 28th/12/2022.

Mr. Kawola Nelson Nkwanga the HT of Kiyunga P/S in Luuka T/C was appraised by Town Clerk Maganda Siraji on 18th/12/2022.

Mr. Dhikusoka Alpari the HT of Wandago P/S in Kyanvuma T/C was appraised by the Ag. Town Clerk Mwanja Ivan on 17th/12/2022.

Mr. Wakabya Issa the HT of Busiuro Muslim P/S in Waibuga S/C was appraised by the SAS Gangira Emmanuel on 11th/12/2022.

Records pertaining to the appraisal of Secondary Schools Headteachers were not presented for assessment.

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM</p> <p>Score: 2 or else, score: 0</p>	<p>Records pertaining to the appraisal of Secondary Schools Headteachers were not presented for assessment.</p>	<b>0</b>
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p> <p>score: 2. Else, score: 0</p>	<p>Evidence showed that only one staff member of the DEO was appraised by CAO on 3rd/8/2023. This appraisal was outside the set timelines.</p>	<b>0</b>
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>The training plan dated 4th /01/2023 and cost at Ugx 11,200,000 was endorsed by the DEO captured performance gaps like inadequate administrative Law skills and therefore suggested that the DIS goes for an Administrative law course in January 2014 was presented.</p>	<b>2</b>

**Management, Monitoring and Supervision of Services.**

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p>	<p>The LG had no error in the enrolment and budget allocation in the Programme Budgeting System and therefore no correction was written.</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score:2 or else, score: 0</p>		
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p>	<p>The LG Education Department made allocations to monitoring (Ugx 14,400,000) and inspection (Ugx 32,928,000) captured in the unpagged LG Approved Budget Estimates FY 2022/2023 under output 000023. This was in line with sector guidelines (page 18 and 21 of the guidelines) which call for a minimum allocation of UGX 4,000,000 per LG.</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score:2 else, score: 0</p>		
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p>	<p>The DLG received three tranches of UPE capitation</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score: 2 else score: 0</p>	<p>Cash limits for 1st Quarter was on 3rd July, 2022 and warranting was 7th July, 2022</p>	
			<p>Cash limits for Quarter III was 3rd January, 2023 and the funds were warranted 7th January, 2023.</p>	
			<p>Cash limits for Quarter IV was 3rd April, 2023 and the funds were warranted 7th April, 2023</p>	
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p>	<p>The DLG received three tranches of UPE capitation whose invoicing and communication dates were;</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score: 2 else, score: 0</p>	<p>Quarter I invoicing was on 8th July, 2022 same as Communication date</p>	
			<p>Quarter III on 9th January, 2023 same as Communication date</p>	
			<p>Quarter IV on 10th January, 2023 same as Communication date</p>	

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <ul style="list-style-type: none"> <li>• If 100% compliance, score: 2, else score: 0</li> </ul>	<p>The District Inspector of Schools prepared the FY 2022/2023 inspection Plan and was presented for assessment. The plan was duly signed by the DEO and the DIS on 10th July, 2022. The plan contained six targets which included; support supervision and monitoring of teaching and learning , school management and community involvement at a budget of Ugx 21,630,000 for all the UPE and USE Schools.</p> <p>The LG held inspection planning meetings to discuss among other issues deployments of inspectors, inspection logistics. Examples of meetings held included;</p> <p>On 14th/09/2022 through Min.06/09/2022, the meeting discussed the allocation of schools to the inspectors and through Min. 2/09/2022 the meeting emphasised the use of the standard inspection tool.</p> <p>The Education Inspectorate department held meetings for Term I, 2023 on 17th February, 2023 and Term II, 2023 meeting was held on 3rd/06/2023.</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> <li>• If 100% score: 2</li> <li>• Between 80 - 99% score 1</li> <li>• Below 80%: score 0</li> </ul>	<p>A review of the inspection reports for the past three Terms revealed that for every round of the termly inspection, all the 89 registered Primary schools and the nine Secondary Schools were inspected for instance the report for Term III, 2022 dated 29th/11/2022 and submitted to the DES on 31st/01/2023 indicated that 109 Schools were inspected and priority was given to GoU schools.</p> <p>(89+09) X 100</p> <p>98</p> <p>= 100%</p>	2

Routine oversight and monitoring

*Maximum 10 points on this performance measure*

c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,

Score: 2 or else, score: 0

It was observed by the assessment team that inspection reports were discussed at both the school and the LG levels. The examples are presented below;

In a departmental meeting held on 15th /11/2022, vide Min. 04/Educ/Inspe/2022, there was presentation of the inspection report for Term II, 2022 and through Min. 5/Educ/Inspe/2022, the DEO requested to know how the Headteachers were managing the TELA program.

In a meeting held on 7th April, 2023 through Min.. 4/Educ/Inspe/2023 the meeting resolved that routine inspection should be carried out in all the Government aided schools..

From the sampled schools (Kitwekyambogo, Kamwirungu and Kiyunga) it was evident from the inspection feedback reports that the inspectors and the school administration held discussion of inspection findings and came up with resolutions for example;

While at Kamwirungu P/S on 29th/06/2023, the DIS Ms. Jane Nabwire noted that the displaying of relevant information like the UPE funds releases was not being done. In his response, the HT said that the information had been removed because the office was being repaired. The two resolved that the charts containing the relevant information be displayed and on the assessment day (11th/12/2023), the carts were found posted in the HT's office

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>The inspection feedback reports duly signed by the Inspectors of Schools and the School Headteachers were found at the three sampled Schools (Kitwekyambogo, Kamwirungu and Kiyunga). There was evidence of discussion and agreement on the inspection findings between the Headteacher and the inspectors for example;</p> <p>The DIS prepared and submitted all the inspection reports together with the monitoring reports, activity work plans and budgets to DES as per the examples below;</p> <ul style="list-style-type: none"> <li>● Term III, 2022 report was submitted to DES on 31st/01/2023.</li> <li>● Term I, 2023 report was submitted to DES on 10th /08/2023.</li> <li>● Term II, 2023 report was submitted to DES on 20th /09/2023.</li> </ul>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>The Social Services Committee was responsible on the discussion of matters for education service delivery as per the examples below;</p> <p>On 12th/08/2022 the committee through Min. 03/LDLG SOC SERV/08/2022, Hon. Nvanungi requested to know how much funds had been received and how it was to be utilised</p> <p>On 10th/03/2023 through Min. 5, the committee discussed the rates of the Nawampiti Seed School and changed them from Ugx 2.8bn to Ugx 3bn.</p>	2
11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,</p> <p><i>score: 2 or else score: 0</i></p>	<p>There was evidence of mobilising and attracting plus retaining of learners into Schools through the community engagements.</p> <p>A report dated 31st/05/2023: Report on support Supervision and Evaluation meetings at coordinating centres resolved that parents should stop negligence and support their children with school necessities to allow them to attend school and perform well and that teachers needed to remain professional when handling children at schools and to motivate them.</p>	2

Planning and budgeting for investments

*Maximum 4 points on this performance measure*

a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, *score: 2, else score: 0*

There was evidence of an up to date Assets register of all the registered Primary Schools. The register was endorsed by the DEO on 21st/8/2023. The register captured assets that were acquired by the LG last FY that included; the 2 classrooms at Ikumbya Catholic P/S and Bugonza and the latrine at Buwiri P/S.

The registers presented the average stocks for the LG as indicated below;

1. Classrooms were 562
2. Latrine stances were 735
3. Desks were 12481
4. Staff houses were 59

The assessment sampled three schools (Kitwekyambogo, Kamwirungu and Kiyunga) to verify the records presented by the DEO. The verification noted that the records at the DEO's and at the respective schools were the same as shown below;

1. Kitwekyambogo (Urban) the stocks were Classrooms 15, latrine stances 12, desks were 230 and staff houses were 5. That information was similar to the stocks at DEO's office.
2. Kamwirungu (semi-urban) the assets were; classrooms were 17, latrine stances were 22, desks were 450 and staff houses were 00 units, the same as reported by the DEO's register.
3. Kiyunga P/S asset stocks assessed indicated; 11 classrooms, 36 latrine stances and 192 desks and 03 units of staff houses. The asset stocks tallied with those at the DEO's office.

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, score: 1 or else, score: 0</p>	<p>The project Desk appraisal exercise was conducted by the District officials for all the projects in budgets in the budget conference of FY 2022/2023. In the forms dated 30th June, 2022 that were used in the exercise the officers committed themselves by marking “yes” to indicate that the Education projects were derived from the LGDP III on pages 66. Also for the Education projects were eligible according to the investment menu captured on pages 4 of the Education Sector Planning and Budgeting guidelines, for the year 2022. The Education projects were:</p> <ul style="list-style-type: none"> <li>● Construction of 2-classroom blocks at Maunda, Ikubya, Lambala, Nakabale, Gwembuzi primary schools.</li> <li>● Construction of a 5-stance lined pit latrine at Buwiri, Buyemba, Nabitama and Walibo primary school.</li> <li>● Renovation of 3 classroom blocks at Nawansenga , Busira primary school.</li> </ul>	1
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0</p>	<p>A project field appraisal exercise was conducted for all the projects implemented by the District during the previous FY. The officials for instance the principal CDO and the senior environment officer on `30th June, 2022 carried out the screening of the projects that included;</p> <ul style="list-style-type: none"> <li>● Construction of a 2-classroom block at each of the primary schools[ Maunda, Ikubya, Lambala , Nakabale , Gwembuzi.</li> <li>● Construction of a 5-stance lined pit latrine at each of the Primary Schools; Buwiri, Buyemba, Nabitama and Walibo.</li> <li>● Renovation of 3 classroom blocks at Nawansenga , Busira primary school.</li> </ul> <p>In the reviewed forms the officers in regard to the Education projects pronounced themselves that the technical feasibility, environmental and social acceptability and the projects were all feasible</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p>	<p>There was evidence of a procurement plan incorporating school infrastructure projects for the current financial year that was approved on 26th July 2023.</p> <p>Construction of a 2-classroom blocks at Lambala, Nakabale, Gwembuzi primary schools each at Ugx 70,000,000 on page 1.</p> <p>Construction of a 5-stance lined pit latrine at Walibo primary school at Ugx 23,000,000 on page 1.</p> <p>Renovation of a 3-classroom blocks at Nawansenga , Busira primary school at Ugx 40,000,000 on page 1.</p>	<b>1</b>
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>The sampled school infrastructure projects were all approved by the contracts committee before commencement as indicated below.</p> <p>The a 2-classroom block at Bugonza primary school was approved under Min. 09 / DCC / 10 / 2022(b) on 3rd October 2022.</p> <p>The a 2-class room block at Ikumbya C.O.U was approved under Min. 11 / DCC / 10 / 2022(a) on 3rd October 2022.</p> <p>Construction of Nawampiti seed school was approved under Min. 05 / DCC / 04 / 2022 on 4th April 2022 and cleared by Solicitor general on the 29thSeptember, 2022.</p> <p>A sector work plan was submitted on the 28thApril, 2022 by the DEO (Mr. Kamyuka Francis) incorporating the sampled projects.</p>	<b>1</b>

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>There was evidence of an appointment by the CAO (Mr. Wangadya Moses) for project implementation members.</p> <p>Mr. Kamyuka Francis as the project manager.</p> <p>Eng. Musisi Rajab as the contract manager</p> <p>Eng. Tabandika Twaha as the Clerk of works</p> <p>Mr. Musenero Bernard as the Environment officer.</p> <p>Ms. Lawano Jannat as the Labour officer</p> <p>Bikhadho Hamis as the DCDO</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p>	<p>The construction works at Nawampiti seed school followed standard technical designs; The block work raised was off 200mm thick concrete blocks for the staff houses, classroom blocks and the administration blocks.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i></p>	<p>Evidence for supervision of works at Nawampiti seed school was as indicated with;</p> <p>A monthly progress report for February dated 6th March 2023 with works at the foundation level.</p> <p>A progress report dated 9th June, 2023 for works at 45.6%</p> <p>A site meeting held on 19th May 2023 was attended by the District Engineer, RDC, Contractor, Site engineer, Clerk of works, DEO, Labour officer, Environment officer.</p> <p>A status report dated 30th June 2023, all structures were raised up to the ring beam level</p>	1

Procurement, contract management/execution

*Maximum 9 points on this performance measure*

f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted *score: 1, else score: 0*

There was evidence for supervision of works executed at the different schools as shown with reports below.

A progress report for the a 2- classroom block at Bugonza primary school was on the 26th May 2023 indicating 100% completion level and under defects liability.

Substantial completion certificates dated 26th May 2023 for the classroom blocks at Bugonza, Ikumbya C.o.U primary schools by the Ag.District engineer (Eng . Makinabu Yahaya).

A completion report for works done at Ikumbya Catholic as per design standards was dated 10th March 2023.

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>There was evidence for payments of works done by the contractors.</p> <p>Nawampiti Seed school</p> <p>The requisition was raised for an advance payment on 19th December 2022 of Ugx 612,469,271. A certificate signed by the District engineer, Environment officer, DCDO, DEO on the 8th February 2023 was of Ugx 575,721,115 and the voucher 4735020 effected the payment on 5th April 2023.</p> <p>A second requisition was raised on 8th June 2023 of 1,531,173,177 accounting for 50% works done. An interim certificate of Ugx 515,461,997 signed by the DEO, DCDO, Environment officer and the District engineer on 20th June 2023 and the voucher 6425300 of Ugx 507,280,475 was effected on 28th June 2023</p> <p>A 2-classroom block at Bugonza primary school.</p> <p>A requisition for payment was raised on 26th May 2023 of Ugx 63,708,200 and the certificate of Ugx 56,955,718 was endorsed on 30th May 2023 by the DEO, DCDO, Environment officer and the District Engineer. The voucher 5904055 was effected on 15th June 2023.</p> <p>A 2-classroom block at Ikumbya C.O.U primary school.</p> <p>A requisition was raised on 6th June 2023 of Ugx 19,039,654 and the interim certificate of Ugx 12,191,655 signed by the DEO, DCDO, Environment and District engineer was on 6th June 2023 effecting the payment on 28th June 2023.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i></p>	<p>There was evidence of timely submission of the sector work plan to PDU on the 28th April 2022.</p>	1

Procurement, contract management/execution

*Maximum 9 points on this performance measure*

i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law  
*score 1 or else score 0*

There was evidence of complete procurement files for education infrastructure projects as required by the PPDA law.

Construction of Nawampiti seed school

A procurement ref no. MoEs-UgiFT / wrks / 21-22 / 00005. The contract was signed with M/s. Semwo Construction Company Ltd on 28th November 2022.

The evaluation report was approved by the contracts committee on 17th January 2022 and awarded by the contracts committee under Min. 05 / DCC / 04 / 2022 on 4th April 2022.

Construction of a 2-classroom at Bugonza primary school.

Procurement ref no. Luuk 881 / wrks / 22-23 / 00004 . The contract was signed with M/s. Record Times General Enterprises Ltd on 28th November 2022 and evaluation report was approved on 28th September 2022 with the contract awarded under Min. 11 / DCC / 10 / 2022 (a) on 3rd October 2022.

Construction of a 2-classroom block at Ikumbya C.O.U primary school.

Procurement ref no. Luuk 881/wrks / 22-23/00003. The contract was signed with M/s. Wazibas General Contractors and Designers Ltd on 28th November 2022 and the evaluation report approved on 28th September 2022. The contract was awarded under Min. 09/DCC/10/ 2022 (b) on 3rd October, 2022.

## Environment and Social Safeguards

14

Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.

*Maximum 3 points on this performance measure*

Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0

20 workers working at a construction site for Nawampiti SEED school by the contractor SEMWO construction Co. Ltd reported on 24th/05/2023 about delayed payment of workers for 3 months.

on 13th/06/2023 there was a meeting held at Nawampiti SEED school site together with the contractor, where the contractor expressed the cause of the delayed payment was attributed to by the delayed release of funds by the District and promised to pay the workers once the money was released.

on 22nd/06/2023, payment was made and the RDC, CAO, CFO DPC project engineers and local leaders went to the site to confirmed the workers were paid their wages and salaries.

15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>There was evidence to show that Luuka DLG disseminated education guidelines incorporating E &amp; S requirements. The District Education Officer on 22nd February 2022 communicated to head teachers of schools in Bukooma, Bukanga and Waibuga Sub-counties to provide access to land and greening of school compounds.</p>	<b>3</b>
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i></p>	<p>There was evidence of incorporating costed ESMPs in the BoQs and contractual documents as shown below;</p> <p>A costed ESMP of UGX 650,000 was incorporated into the BoQs under bill 1 preliminaries and general items A&amp;C for the construction of a 2-classroom block at Bugonza primary school. contractor: M/s: Record time general enterprise Ltd, under procurement ref. No. LUUK881/WRKS/22-23/00005.</p> <p>A costed ESMP of UGX 600,000 was incorporated into the BoQs under bill 1 preliminaries and general items A&amp;C for the construction of 2-classrooms block at Ikumbya primary school. contractor: M/s: Wazibas General Contractors and designers Ltd, under procurement ref. No. LUUK881/WRKS/22-23/00004</p> <p>A costed ESMP of UGX 680,000 was incorporated into the BoQs under bill 1 preliminaries and general items A&amp;C for the construction of 2-classrooms block at Ikumbya catholic primary school. contractor: M/s: Record time general enterprise Ltd, under procurement ref. No. LUUK881/WRKS/22-23/00003</p>	<b>2</b>
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i></p>	<p>There was no evidence availed for the land ownership documents for where education projects were constructed in FY 2022/23. The district claimed the schools were on the land of the founding entities.</p>	<b>0</b>

16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p>	<p>Monitoring reports for the construction of 2 classrooms block at;</p> <ol style="list-style-type: none"> <li>1.Ikumbya catholic primary school</li> <li>2.Ikumbya primary school</li> <li>3.Bugonza primary school</li> <li>4.Mawundo primary school</li> </ol> <p>As per the reports dated 10th/01/2023, 18th/03/2023 and 23rd/05/2023 with recommendations such as; create awareness about environmental and social concerns, contractors should regrass the bare ground and plant trees before retention is paid and ESMPs should be clearly included into the BoQs prepared by the senior Environment officer and the DCDO.</p>	<b>2</b>
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>d) If the E&amp;S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments</p> <p><i>Score: 1, else score:0</i></p>	<p>E&amp;S certificate issued for the construction of a 2- classrooms block at Bugonza primary school. contractor: M/s: Record general enterprise under procurement ref. No. LUUK881/WRKS/22-23/00005</p> <p>The Senior Environment Officer and DCDO certified works on 30th/05/2023 and payment was made on 15th/06/2023.</p> <p>E&amp;S certificate issued for the construction of a 2- classrooms block at Ikumbya primary school. contractor: M/s: Wazibas general contractors and designers Ltd under procurement ref. No. LUUK881/WRKS/22-23/00004</p> <p>The Senior Environment Officer and DCDO certified works on 10th/03/2023 and payment was made on 30th/03/2023.</p> <p>E&amp;S certificate issued for the construction of a 2- classrooms block at Ikumbya Catholic primary school. contractor: M/s: Record general enterprise under procurement ref. No. LUUK881/WRKS/22-23/00003</p> <p>The Senior Environment Officer and DCDO certified works on 20th/12/2022 and payment was made on 27th/01/2023.</p>	<b>1</b>

**Health  
Performance  
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> <li>• By 20% or more, score 2</li> <li>• Less than 20%, score 0</li> </ul>	<p>The assessment team reviewed HMIS records for the last two previous years for the different health facilities. 3 Health facilities were sample to determine increment in Health care services utilisation using deliveries as the parameter;</p> <p>1). Irongo HCIII</p> <p>FY 2021/2022 Deliveries; 372 cases, FY 2022/2023 Deliveries: 449 cases increase in utilisation = 77 % increase <math>77/372 \times 100 = 21\%</math></p> <p>2). Ikumbya Ikumbya HCIII</p> <p>FY 2021/2022 Deliveries; 326 cases, FY 2022/2023 deliveries:410 cases increase in utilisation = 84 % increase <math>84/360 \times 100 = 26\%</math></p> <p>3) Waibuga HC III</p> <p>FY 2021/2022 Deliveries; 273 cases, FY 2022/2023 Deliveries: 335 cases increase in utilisation = 62 % increase <math>62/273 \times 100 = 23\%</math></p> <p>From the above information there was evidence of increased utilisation of health care services by more than 20%</p>	<b>2</b>

2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> <li>• 70% and above, score 2</li> <li>• 50% - 69%, score 1</li> <li>• Below 50%, score 0</li> </ul>	<p>The assessment team reviewed report for LLGs performance assessment for FY 2022/2023 Luuka DLG and the scores for Health were as follows</p> <p>Bukanga S/c 74%</p> <p>Irongo S/c 58%</p> <p>Nawampite S/C 74%</p> <p>Waibuga S/C 74%</p> <p>Bukoova T/C 68%</p> <p>Bulanga T/C 58%</p> <p>Bulongo S/C 79%</p> <p>Bukooma S/C 76%</p> <p>Ikombya S/C 59%</p> <p>Luuka T/C 80%</p> <p>therefore, total score was 790% giving an average (790/10) %, 79%</p>	2
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> <li>• 75% and above; score 2</li> <li>• 65 - 74%; score 1</li> <li>• Below 65; score 0</li> </ul>	<p>A letter from the ministry of health dated 7th December 2022 addressed to all CAOs highlighting the termination of RBF Funding was availed</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p>	<p>The LG budgeted UGX 1,057,945,717 and spent all health development grant for previous FY 2022/2023 on eligible activities as extracted from the Budget performance report for previous FY 2022/2023 approved by CAO on 30th July 2023; the eligible activities were;</p> <p>Construction of a 4-stance latrine at Nantamali HCII at UGX 11,945,717</p> <p>Upgrade of Bulalu HCII-HCIII at UGX 920,000,000</p> <p>Completion of a maternity block at Ikonia HCIII at UGX 120,000,000</p> <p>Project monitoring and Supervision at UGX 6,000,000</p>	2

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0

There was evidence for verification of works and initiating payments.

For the project of the construction of maternity ward at Ikonja HC III

M/s. Record Times General Enterprises Ltd made a payment requisition on 27th/02/2023. The Interim payment certificate (IPC) was drawn and was endorsed by the District engineer, DHO, DCDO, Environment officer of Ugx 64,602,797 on 27th February 2023 and payment of Ugx 78,479,558 was made through voucher 4281276 on 16th March 2023.

Upgrading of Bulalu HC II to HC III

M/s. Visvar Investments Ltd claimed payment and an IPC of Ugx 166,605,700 was drawn and cleared by the District engineer, DHO, DCDO, Environment officer on 26th May 2023 before effecting the payment worth Ugx 166,603,700 via voucher 5868266 on 15th June 2023.

Phased fencing of Kiyunga HC IV.

A requisition for payment raised by M/s. Morgan General Investments (U) Ltd on 6th June 2023 of Ugx 45,000,000. A certificate was of Ugx 38,310,064 endorsed by District engineer, DHO, Finance officer and internal auditor and a voucher 6433594 effected a sum of Ugx 23,500,000 on 28th June 2023.

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If the variations in the contract price of sampled health infrastructure investments are within +/- 20% of the MoWT Engineers estimates, score 2 or else score 0</p>	<p>There was evidence for variations in contract prices for health infrastructure projects as indicated below.</p> <p>Phased fencing at Kiyunga HC IV</p> <p>Contract sum =Ugx 45,000,000</p> <p>Engineers estimate =Ugx 45,300,000</p> <p>% Variations =-0.66%</p> <p>Construction Maternity ward at Ikonia HC III</p> <p>Contract sum =Ugx 119,750,058</p> <p>Engineers estimate =Ugx 120,000,000</p> <p>%Variations = -0.21%</p> <p>Upgrading of Bulalu HC II to HC III</p> <p>Contract sum =Ugx 883,444,536</p> <p>Engineers estimate -Ugx 920,000,000</p> <p>% Variation = -3.97%</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY</p> <ul style="list-style-type: none"> <li>• If 100 % Score 2</li> <li>• Between 80 and 99% score 1</li> <li>• less than 80 %: Score 0</li> </ul>	<p>According to the ABPR for FY 2022/2023, the 3 sector projects set out for implementation were completed at 100% and these included;</p> <p>Phased fencing at Kiyunga HC IV</p> <p>Construction Maternity ward at Ikonia HC III</p> <p>Upgrading of Bulalu HC II to HC III</p>	2

4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> <li>• If above 90% score 2</li> <li>• If 75% - 90%: score 1</li> <li>• Below 75 %: score 0</li> </ul>	<p>There was evidence to confirm that Luuka DLG recruited staff for all HC IIIs and HC IV as per the staffing structure dated 1st July 2023.</p> <p>The staff structure obtained from DHO indicated that the district had both HC IIIs and HC IVs whereby HC IIIs were required to have 19 health workers and 49 for HC IVs. The district had 8HCIIIs and 1 HC IV</p> <p>The LG health sector staff establishment list had 200 approved health workers of which 158 were in the post which represented 79% recruitment.</p> <p>This implied that 79% of positions of health workers for the available HC IIIs and HC IV were filled.</p>	<b>1</b>
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4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> <li>• If 100 % score 2 or else score 0</li> </ul>	<p>There was adherence to the approved standard designs during Upgrading of Bulalu HC II to HC III and the construction of a Maternity ward at Ikonja for instance;</p> <p>The maternity ward structure was as per the design by MoH for instance the specifications require a terrazzo floor finish, the main entrance and exit opening were 1800mm wide, the window openings were of standard steel casement at 1500mm.</p>	<b>2</b>
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**Performance Reporting and Performance Improvement**

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>At Kiyunga HC IV the approved staff structure indicated 49 staff and the staff list verified indicated the same number of staff indicating a sign of accuracy</p> <p>At Ikonja HC III, the approved staff structure reflected 19 staff and the staff list had 19 deployed and serving at Ikonja.</p> <p>At Waibuga HC III the staff structure had 19 which number tallied with that in the staff attendance book and staff list with the in-charge.</p>	<b>2</b>
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5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>The assessment team visited Bulalu health facility which was upgraded from HCII -HCIII and facility was functional</p>	<b>2</b>
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans &amp; budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>The LG provided evidence to show that Health facilities prepared and submitted annual work plans and budget for current FY 2023/2024 by 31st March as indicated below;</p> <ol style="list-style-type: none"> <li>1).Kiyunga HC IV, prepared Annual workplan and budget for FY 2023/2024 by the Facility In-charge and submitted on 30th March 2023,</li> <li>2)Waibuga HCIII, prepared the Annual workplan and budget by the facility in-charge on 17th March 2023</li> <li>3). Bukanga HC III prepared the Annual workplan and budget by the facility in-charge on 19th March 2023</li> </ol>	2
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>From a sample of 3 facilities, it was confirmed that the LG prepared and submitted the ABPR before the deadline of 15th July.</p> <ol style="list-style-type: none"> <li>1). Kiyunga HCIV, was prepared and submitted on 10th July 2023 by the Facility In-Charge</li> <li>2) Irongo HC III, was prepared and submitted on 12th July 2023 by the facility In-charge</li> <li>3) Waibuga HC III, Was prepared and submitted on 10th July, 2023 by the facility In-charge</li> </ol>	2
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Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports

- Score 2 or else 0

From the Annual facility performance reports, the following sampled facilities developed and reported on implementation of improvement plans

1) Kiyunga HCIV developed and reported on the implementation of facility Improvement plans that incorporate performance issues identified included; dilapidated infrastructure, lack of key staff, demotivated staff, lack of transport for referrals among others by in-charge on 20th July 2023

2) Ikonja HCIII developed and reported on the implementation of facility Improvement plans on 30th July 2023 by in-charge, issues included lack of perimeter fencing, lack of transport for referrals, inadequate drugs and supplies, lack of accommodation for staff among others

3) Irongo HCIII developed and reported on the implementation of facility improvement plans on 19th July 2023 by the in-charge and issues included; understaffing, inadequate drugs and supplies, absence of perimeter fencing, lack of transport for referral activities among others

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,

- score 2 or else score 0

A review of the monthly and quarterly HMIS records by the assessment team, it was confirmed that Health facilities submitted reports within 7 days following the end of each month and quarter

Kiyunga HCIV submitted reports for

Q1 on 5th October, 2022,

Q2 on 5th January 2023,

Q3 on 6th April 2023

Q4 on 7th July 2023.

Ikumbya HCIII submitted reports for

Q1 on 7th October, 2022,

Q2 on 7th January 2023,

Q3 on 7th April 2023

Q4 on 6th July 2023

Bukoova HCIII submitted reports for

Q1 on 7th October 2022,

Q2 on 7th January 2023,

Q3 on 7th April 2023 and

Q4 on 6th July 2023.

Therefore, from the information Health facilities submitted up to date monthly and quarterly HMIS reports timely 7 days following the end of each month and quarter

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0

Note: Municipalities submit to districts

A letter from MOH to all CAOs dated 7th December 2022 highlighting change of policy on RBF signed by the Ps was availed

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>A letter from MOH to all CAOs dated 7th December 2022 highlighting change of policy on RBF signed by the Ps was availed</p>	1
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>The LG compiled and submitted quarterly Budget performance reports as follows;  in Qtr 1, on 17th October 2022,  In Qtr 2, on 20th January 2023  In Qtr 3, on 20th April 2023  In Qtr 4, on 19th July 2023</p>	1
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>h) Evidence that the LG has:  i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>There was evidence to show that PIPs for the weakest performing Health facilities namely Bukendi HCIII, Inula HCII and Ntayigirwa HCII were developed; these included Low institutional deliveries, low immunisation coverage, reduced outreach activities, poor weekly reporting among others</p>	1
<p>Maximum 14 points on this performance measure</p>				

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>Implementation of the PIPs was done on 19th March, 2023 through;</p> <p>Increased community sensitization regarding importance of delivering in Health facilities</p> <p>Strengthening outreach programmes</p>	1
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### Human Resource Management and Development

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>From the approved budget estimates for current FY 2023/2024 signed by CAO on the 18th July 2023, the LG budgeted UGX 4,193,288,136 for 285 health workers</p>	2
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7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>The LG approved staff structure was 315, recruited staff number was 283. Giving percentage recruitment of 89% which was above the minimum requirement of 75%.</p>	2
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7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0

The assessment team sampled and visited 3 health facilities namely verify whether the staff deployed as per the DHO list were at the respective work station as revealed by the station staff lists and the staff attendance books;

Kiyunga HCIV, found duty rosta showing 47 health staff that included Dr. Nabongho Aaron the medical officer and Kisakye Rebecca an enrolled midwife as was listed on the DHO deployment list,

Waibuga HCIII, found duty rosta showing 16 health staff that included among others; Mukama Gideon, Kifuko Nsasira Dinah and Kagoya Rebecca that were also indicated on the DHO's list.

Irongo HCIII, found duty roaster showing 18 health staff that included Nakasango Oliver who was indicated on the DHO list as having been deployed at Irongo.

Therefore, Health staff were working at the deployed facilities

3

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0

There was a display of staff list dated 1st July 2023 on the Health department notice boards and all the visited health facilities i.e. Kiyunga HCIV, Waibuga HC III and Irongo HCIII

2

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

a) Evidence that the DHO/MMOHs has:

i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0

Evidence from the reviewed files of the 10 Health Facility In-charges revealed that they were all appraised against the agreed performance plans and submitted copies to HRO during the FY 2022/23. However, one of them was out of the set timelines a shown below:

1. Munyavu Jane, Enrolled Nurse at Nakiswiga HCII appraised by Kibumba Rodgers (MCO) on 30/6/2023

2. Babirye Agnes, (Enrolled Midwife) at Ntayigirwa HC II by Kadeero Ruth (SMCO) on 30/6/2023

3. Kataike Deborah, (Enrolled Midwife) at Buwologoma HC II was appraised by Ssegonga Andrew (SMCO) on 30/6/2023.

4. Kakusu Samuel, (Enrolled Nurse) at Inuula HC II was appraised by Kadeero Ruth (SMCO) on 30/6/2023

5. Dr. Nabongho Aaron Kenneth, (Medical Officer) at Kiyunga HC IV was appraised by Dr. Wandira Christopher, (DHO) on 30/6/2023.

6. Kadeero Ruth, (SCO) at Ikumbya HC III was appraised by Barasa Patrick, (SAS) on 30/6/2023.

7. Ssegonga Andrew, (SMCO) at Bukanga HC III was appraised by Dr. Wandira Christopher, (DHO) on 30/6/2023.

8. Isabirye Jacob, (SCO) at Ikonja HC III was appraised by Dr. Wandira Christopher, (DHO) on 30/6/2023.

9. Mukama Gideon, (SMCO) at Waibuga HC III was appraised by Dr. Wandira Christopher, (DHO) on 30/6/2023.

10. Acirocan Otwikwide Immaculate, (MCO) at Bulalu HC III was appraised by Dr. Wandira Christopher, (DHO) on 4/7/2023.

8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0	Evidence from the review of the sampled 10 appraisal reports for health workers revealed that they were appraised on the set timelines as indicated below:	1
Maximum 6 points on this performance measure			<ol style="list-style-type: none"> <li>1. Kifuko Nsirira Dinah, ANO at Waibuga HCIII appraised by Mukama Gideon (SMCO) on 7/6/2023</li> <li>2. Kagoya Rebecca, (Enrolled Midwife) at Waibuga HC III by Mukama Gideon on 8/6/2023</li> <li>3. Makumbi Benard, (Assistant Nursing Officer) at Ikumbya HC III was appraised by Kadeero Ruth (SCO) on 29/6/2023.</li> <li>4. Naikazi Topista, (Nursing Assistant) at Butogonya HC II was appraised by Kubaza Habiiba (Enrolled Nurse) on 25/6/2023.</li> <li>5. Kauma Dorothy, (Porter) at Bulalu HC III was appraised by Acirocan Otwikwide Immaculate, (MCO) on 27/6/2023.</li> <li>6. Kisakye Rebecca, (Enrolled Midwife) at Kiyunga HC IV was appraised by Ahimbisibwe Esther (SNO) on 27/6/2023.</li> <li>7. Suubi Alice, (Enrolled Midwife) at Bukanga HC III was appraised by Kisanafu Persis, (Nursing Officer) on 30/6/2023.</li> <li>8. Mubbeezi Phoebe, (Enrolled Nurse) at Lwaki HC II was appraised by Naisanga Ruth (Enrolled Nurse) on 23/6/2023.</li> <li>9. Nakasango Oliver, (Enrolled Nurse) at Irongo HC III was appraised by Amuchu Faith, (Assistant Nursing Officer) on 28/6/2023.</li> <li>10. Nabirye Joy, (Enrolled Midwife) at Ikonja HC III was appraised by Kibumba Rodgers (Nursing Officer) on 20/6/2023</li> </ol>	
8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	iii. Taken corrective actions based on the appraisal reports, score 2 or else 0	There was no evidence available at the time of assessment	0
Maximum 6 points on this performance measure				

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p>	<p>The following training reports were reviewed by the assessment team to confirm that training activities were conducted;</p> <p>Training report on waste management dated 10th August 2022</p> <p>Training report on sexual reproductive health dated 23rd April 2023</p> <p>Training report on malaria test and treat policy dated 8th June 2023</p> <p>Training report on data collection and reporting dated 10th October 2022</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>All training activities conducted in previous FY 2022/2023 were documented in a training database as reviewed by the assessment team, for instance,</p> <p>Training on waste management, training on reproductive health, training on malaria test and treat policy, training on data collection and reporting</p>	1

**Management, Monitoring and Supervision of Services.**

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>A letter from CAO of Luuka DLG to the PS ministry of Health dated 27th September 2022 listing HCs benefitting from PHC and these included; Kiyunga HCIV, Bukanga HCIV, Ikonja HCIII, Waibuga HCIII, Irongo HCIII, Bukoova HCIII, Ikumbya HCIII among others</p>	2
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>According to ABPR for previous FY 2022/2023 for Luuka DLG, total budget allocation for Health sector (PHC) was UGX 67,236,052</p> <p>Total allocation to monitoring was UGX 15,000,000 representing 22.3%</p>	2

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>	<p>The LG did timely warranting of direct grant transfers to health facilities as indicated below;</p> <p>Q1, cash limit date was 3rd July 2022 and warrantee date was 7th July 2022, after 4 working day</p> <p>Q2, cash limit date was 3rd October 2022 and warrantee date was 7th October 2022, after 3 working days</p> <p>Q3, cash limit date was 4th January 2023 and warrantee date was 6th January 2023, after 2 working days</p> <p>Q4, cash limit date was 4th April 2023 and warrantee date was 7th April 2023, after 3 working day</p> <p>LG was compliant for all the 4 quarters</p>	2
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9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0</p>	<p>The invoicing and communication of Q1, and Q4 PHC funds were done outside the timeframe</p> <p>Q1, date of release of funds was 12th September 2022 and date of invoicing and communication was 12th September 2022, after 0 working days</p> <p>Q2, date of release of funds was 7th November 2022 and date of invoicing and communication was 8th November 2022, after 0 working days</p> <p>Q3, date of release of funds was 8th February 2023 and date of invoicing and communication was 8th February 2023, after 0 working days</p> <p>Q4, date of release of funds was 25th May 2023 and date of invoicing and communication was 25th May 2023, after 0 working days</p>	2
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9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>The publicizing of quarterly financial releases for all the quarters were done within the time frame as indicated below;</p> <p>Q1, date of receipt of expenditure limits was 12th September 2022 and date of publicising was 12th September 2022, on same day</p> <p>Q2, date of receipt of expenditure limits was 7th November 2022 and date of publicising was 7th November 2022, on same day</p> <p>Q3, date of receipt of expenditure limits was 8th February 2023 and date of publicising was 8th February 2023, on same day</p> <p>Q4, date of receipt of expenditure limits was 25th May 2023 and date of publicising was 25th May 2023, on same day</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>There was proof that LG implemented actions of DHMT quarterly performance review meetings as follows;</p> <p>Q1, held on 14th July 2022. and recommended the following; Provide support supervision books to improve on National assessment, follow up on the allowance of the DHMT</p> <p>Q2, held on 21st December 2022; recommended; to secure test kits for Hepatitis B, enforce observance of proper dress code for health workers while on duty ,In-charges to check on absenteeism of staff, close monitoring and supervision of St. Clarity HCII to improve on the performance</p> <p>Q3; held on 22nd February 2023; recommended that there should be increased supervision and monitoring of DHMT,</p> <p>Q4; held on 21st June 2023, recommended that HR should produce weekly reports on staff attendance, each member of DHMT to produce quarterly reports of individual activities undertaken</p>	2

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>As per minutes of quarterly performance review meetings reviewed by the assessment team, all incharges and other stakeholders participated as follows;</p> <p>Q1 dated 15th September 2022;participants included all HC in-charges, DHO, CDO, District Councillors, DRDC, RHITES, MJAP,RHU, UHA, URC</p> <p>Q2 dated 29th November 2022;participants included all HC in-charges, DHO,D/CAO, CDO, DPO, DEO, USAID, Lutherland, LPHS, RHITES, MJAP</p> <p>Q3 dated 23rd February 2023;participants included all HC in-charges, DHO,D/CAO, CDO, Planner, DEO, RDC, PMI,LPHS, MJAP,RHITES</p> <p>Q4 dated 21st June 2023;participants included all HC in-charges, DHO,D/CAO, CDO, Planner, DEO, RDC, DPO, District councillors, Mariestopes, URC,MJAP,PMI,RHITES,</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>There were reports on joint supervision of HCs and these included</p> <p>Q1, quarterly support supervision report dated 23rd September 2022 and facility supervised included; Kiyunga HCIV,</p> <p>Q2, quarterly support supervision report dated 22nd November 2022 and facility supervised included; Kiyunga HCIV</p> <p>Q3, quarterly support supervision report dated 28th March 2023 and facilities supervised included; Kiyunga HCIV</p> <p>Q4, quarterly support supervision report dated 22nd July 2023 and facility supervised included; Kiyunga HCIV</p>	1

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <ul style="list-style-type: none"> <li>• If not applicable, provide the score</li> </ul>	<p>The LG availed quarterly HSDs supervision reports which were reviewed by the assessment team as indicated below;</p> <p>Q1, support supervision report dated 10th July 2022 and HCs supervised included Ikumbya HCIII, Bukoova HCIII, Bukendi HC III, Bukanga HCIII among others</p> <p>Q2, support supervision report dated 13th December 2022 and HCs supervised included Kalyowa HCII, Busiro HCII, Ikonio HCIII among others</p> <p>Q3, support supervision report dated 10th January 2023 and HCs supervised included Ist Clarity HCII, Bukoova HCIII, Bukendi HC III, Bukanga HCIII among others</p> <p>Q4, support supervision report dated 14th June 2023 and HCs supervised included Kalyowa HCII, Busiro HCII, Ikonio HCIII among others</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>There was evidence as indicated below;</p> <p>Disciplinary action against staff namely Kubazza Habib enrolled nurse, Kabutanya Annet nursing assistant and Suubi Alice enrolled midwife. this happened on 19th December 2022</p> <p>Staff transfers were undertaken for instance Kabutanya Annet was transferred from Nairika HCII to Bukanga HCIII, Nabuwala Oliva, enrolled nurse was relieved of her duties as in-charge of Nairika HCII and transferred to Bukende HCIII</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>There was evidence of support supervision to lower Health facilities in the management of medicines captured in the quarterly SPARS reports below;</p> <p>Q1 report dated 7th July 2022</p> <p>Q2 report dated 6th January 2023</p> <p>Q3 report dated 6th April 2023</p> <p>Q4 report dated 10th July 2023</p>	1

11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0	From the approved BPR for Luuka DLG for previous FY 2022/2023; Total allocation to DHO's office was UGX 67,236,052 The amount allocated for health promotion and prevention was Ugx 21,000,000 giving a percentage of 31.2%. Therefore, LG was compliant	2
Maximum 4 points on this performance measure				
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0	The LG provided evidence to show that Health promotional activities were held through; Report on community sensitization about covid19 prevention and other health related diseases dated 11th July 2022 Report on health education and promotion dated 14th March 2023 Report on dialogue on home improvement dated 9th September 2022	1
Maximum 4 points on this performance measure				
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0	This was evidenced through; Follow-up report on covid 19 Sops adherence dated 10th September 2022 Follow up report on home improvement campaign dated 12th December 2022	1
Maximum 4 points on this performance measure				

**Investment Management**

12	Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.	a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0	The assets register for Luuka DLG department of health last updated on 15th June 2023 was reviewed by the assessment team and included the following items; 2 motorcycles	1
Maximum 4 points on this performance measure				

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDPIII); (ii) desk appraisal by the LG; and (iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG)):</p>	<p>The project Desk appraisal exercise was conducted by the LG officials for all the projects in budgets in the budget conference of FY 2022/2023. In the forms dated 30th June, 2022 that were used in the exercise the officers committed themselves by marking "yes" to indicate that the Education projects were derived from the LGDP III on pages 64. Also, for the Health projects were eligible according to the investment menu captured on pages 4 of the Health Sector Planning and Budgeting guidelines, for the year 2022. The Health projects were:</p>	1
	<p>Maximum 4 points on this performance measure</p>	<p>score 1 or else score 0</p>	<p>Construction of maternity ward at Ikonja HC III Upgrading of Bulalu HC II to HC III Phased fencing of Kiyunga HC IV</p>	
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>The project field appraisal exercise was conducted for all the projects implemented by the LG during the previous FY. The officials for instance the principal CDO and the senior environment officer on `30th June, 2022 carried out the screening of the projects that included;</p>	1
	<p>Maximum 4 points on this performance measure</p>		<p>Construction of maternity ward at Ikonja HC III Upgrading of Bulalu HC II to HC III Phased fencing of Kiyunga HC I  In the reviewed forms the officers in regard to the Education projects pronounced themselves that the technical feasibility, environmental and social acceptability and the projects were all feasible</p>	

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>The environmental, Social and Risk Screening form for the construction of the maternity block at Ikonja HCIII in Nawampiti sub-county was carried out as per the screening form dated 19th/10/2022 this was prepared by the senior environment Officer and the DCDO.</p> <p>The environmental, Social and Risk Screening form for the construction of the twin staff house at Bukendi HCIII in Bulongo sub-county was carried out as per the screening form dated 19th/10/2022 this was prepared by the senior environment Officer and the DCDO.</p> <p>Environmental, Social and Risk Screening form for the construction of infrastructure for the upgade of Bulalu HCII to HCIII in Bukooma sub-county was carried out as per the screening form dated 19th/10/2022 this was prepared by the senior environment Officer and the DCDO on 11th/10/2022.</p> <p>Environmental, Social and Risk Screening form for the construction of 4-stances of pit latrine at Nantamli HCII in Ikumbya sub-county was carried out as per the screening form dated 19th/10/2022 this was prepared by the senior environment Officer and the DCDO on 11th/10/2022.</p> <p>The environmental and social Screening form for the fencing of Kiyunga HCIV in Luuka Town Council was carried out as per the screening from dated 9th/05/2022 this was prepared by the Senior Environment Officer and the DCDO.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY ) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>There was evidence for timely submission of the health departmental work plan dated 24th April 2023 incorporation of the following requests.</p> <p>Completion of maternity block at Ikonja HC III at Ugx 60,000,000</p> <p>Renovation of Inpatient block at Kiyunga HC IV at Ugx 80,000,000</p> <p>Construction of Bulanga HC III at Ugx 80,000,000</p> <p>Terrazzo screeding of maternity ward at Bukendi HC III at Ugx 60,000,000.</p>	1

13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0	<p>There was evidence of submission of request forms (Form PP1 ) by the 1st quarter of the current financial year.</p> <p>A requisition form for renovation of Impatient block at Kiyunga HC IV at Ugx 80,000,000 on the 2nd August 2023 by the DHO (Dr.Wandira Christopher ).</p> <p>A request form for screeding (Terrazzo) of Bukendi HC III maternity ward at Ugx 60,000,000 on the 2nd August 2023.</p>	1
	Maximum 10 points on this performance measure			
13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0	<p>There was evidence for minutes approving health infrastructure projects by the Contracts Committee.</p> <p>Upgrading of Bulalu HC II to HC III was a hybrid project procured under Tororo DLG and approved under Min . 634 / DCC /2022 /Tororo DLG and was cleared by Solicitor General on 14th December 2022.</p> <p>Construction of a maternity ward at Ikonja HC III was approved by the contracts committee under Min. 11/DCC/10/2022 (b) on 3rd October 2022.</p> <p>Phased fencing at Kiyunga HC IV was approved by the contracts committee under Min. 04 /DCC /05 /2023 (iv) on 5th May 2023.</p>	1
	Maximum 10 points on this performance measure			
13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0	<p>Evidence for project implementation members appointed by CAO (Mr. Wangadya Moses) on the 18th November 2022 as shown.</p> <p>Eng. Musisi Rajab as contract manager</p> <p>Dr. Wandira Christopher the DHO</p> <p>Eng. Tabandika Twaha as Clerk of works</p> <p>Mr. Musenero Bernard as Environment officer</p> <p>Mr. Luwano Janat as Labour officer</p> <p>Mr . Bikandho Hamis as DCDO.</p>	1
	Maximum 10 points on this performance measure	If there is no project, provide the score		

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The upgrading of Bulalu HC II to HC III and the Construction of a maternity ward at Ikonja HC II adhered to the health infrastructure standard technical designs provided by MoH as in the example below;</p> <p>The maternity ward structure was as per the design by MoH for instance the main entrance and exit opening were 1800mm wide, the window openings were of standard steel casement at 1500mm</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no evidence for compiled weekly reports by the clerk of works for the health infrastructure projects</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence of minutes of site meetings held for the upgrading health centre II to III project.</p> <p>Minutes of site meeting held on 12th May 2023 attended by the CAO , Labour officer , Contractor , Project manager , Chairman LC V and RDC.</p> <p>Minutes of site meeting held on 16th June 2023,at this point works were at 44.74% and it was attended by the District engineer, DHO, RDC, Contractor, Environment Officer and Labour Officer.</p> <p>Minutes of site meeting held on 19th April 2023 by the HUMC, DHO, RDC , District Engineer, site engineer and overall work progress was at 32.54%</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence for supervision of works for health projects with the following.</p> <p>A monthly progress report dated 19th May 2023 for works at Bulalu HC III with substructure works completed and block walling ongoing (41.23%)</p> <p>A monthly report dated 11th April 2023 for a 4-stance pit latrine at Nantamile HC II at 100% overall progress.</p> <p>A progress report dated 5th June 2023 for phased fencing at Kiyunga HC IV for works at 96.25%</p> <p>A site instruction made on 4th May 2023 by the project manager to the contractor for works at Bulalu HC II to HC III.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p>	<p>There was evidence for verification of works and initiating payments.</p> <p>Construction of maternity ward at Ikonja HC III</p> <p>A requisition for payment was made on 20th February 2023 for Ugx 78,479,558 by M/s. Record Times General Enterprises Ltd , An interim certificate was endorsed by the District engineer , DHO , DCDO ,Environment officer of Ugx 64,602,797 on 27th February 2023 and a voucher 4281276 effected the payment on 16th March 2023.</p> <p>Upgrading of Bulalu HC II to HC III</p> <p>A requisition for payment was raised on 2nd May 2023 of Ugx 289,409,396 by M/s. Visvar Investments Ltd. A certificate of Ugx 166,605,700 signed by the District engineer, DHO, DCDO, Environment officer on 26th May 2023 and voucher 5868266 effected the payment on 15th June 2023.</p> <p>Phased fencing of Kiyunga HC IV.</p> <p>A requisition for payment raised by M/s. Morgan General Investments (U) Ltd on 6th June 2023 of Ugx 45,000,000.A certificate was of Ugx 38,310,064 endorsed by District engineer , DHO , Finance officer and internal auditor and a voucher 6433594 effected a sum of Ugx 23,500,000 on 28th June 2023.</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>There was evidence for a complete procurement for all sampled health infrastructure projects.</p> <p>Upgrading of Bulalu HC II to HC III</p> <p>Procurement ref no. MoH / UgiFT /wrks / 21-22 / 00001 Lot 8.The contract was signed with M/s . Visvar Investment Ltd on 8th January 2023. Evaluation report was approved by the contracts committee on 21st September 2022 and awarded under Min. 634 / DCC / 2022 / Tororo DLG.</p> <p>Phased fencing at Kiyunga HC IV.</p> <p>Procurement ref no.Luuk 881 / wrks /22-23 / 00019 with a contract signed on 8th June 2023 with M/s . Morgan General Investments Ltd. The evaluation report was approved on 4th May 2023 and awarded under Min . 04 / DCC /05 / 2023 (iv) on 5th May 2023</p> <p>Construction of maternity ward at Ikonja HC III .Procurement ref no . Luuk 881 / wrks /22-23 / 00007.Contract agreement was signed on29th November 2022 with M/s . Record Times General Enterprises Ltd ,Evaluation was on 28th September 2022 and awarded under Min . 11/DCC /20/2022 (b) on 3rd October 2022.</p>	1
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**Environment and Social Safeguards**

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>There was a centralized grievances log opened on 30th/03/2023 at the LG for the FY 2022/23 under review where grievances are recorded, investigated and responded, the log of grievances was reviewed and there was no grievance reported resulting from health projects</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>The LG had guidelines on health care / medical waste management titled “National guidelines for WASH in health care facilities 2022 under chapter 8 health care waste management.”and there was evidence of guidelines and medical waste segregation charts in sampled Health Centres such as;</p> <ol style="list-style-type: none"> <li>1. Irongo HCIII</li> <li>2. Kiyunga HCIV</li> <li>3. Ikonja HCIII</li> </ol>	2

15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery	b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0	The health facilities had functional health care waste bins and placenta pits, waste pits  M/s Green Label Services Ltd was contracted by MoH with funding from USAID to collect waste, transport and safe disposal arising from voluntary male medical circumcision (VMMC) maternal New-born and child health (MNCH) and care and treatment (C&T) activities at Health Centres IVs and IIIs that generate higher volumes of waste and there was evidence of waste collection forms dated  16th/08/2022, 14th/09/2022, 20th/10/2022, 20th/01/2023, 15th/02/2023 and 16th/03/2023, collection waste was done on a monthly basis	2	
Maximum 5 points on this performance measure	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery	c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0	No evidence was availed for training of health workers on medical waste management.	0	
Maximum 5 points on this performance measure	16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0	There was a costed ESMP for only one health project for the FY 2022/2023 and was incorporated in contract documents and BoQs seen for the health investment while other health projects did not incorporate like the construction of a Maternity ward at Ikonja HCIII, construction of staff house at Bukendi HCIII, construction of 4-stances of pit latrine at Nantamali HCII  A costed ESMP of UGX. 200,000 for the Construction of fencing of Kiyunga HCIV was incorporated into the contract documents and BoQ under Bill 1: preliminaries item N  under procurement Ref No. LUUK881/WRKS/22-23/00019. Contractor: M/s. Morgan General Investment Ltd	0
Maximum 8 points on this performance measure					

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>Land title for where there was fencing of Kiyunga HCIV. Freehold register volume 756 FOLIO 15 block 33 plots 29 to 32 at Kiyunga-Bulongo measuring 55.924 hectares issued on 18th/06/20210.</p> <p>However, other sites where projects were implemented in the FY2022/23 did not have land documents like Nantamali HCII, Ikonja HCIII, Bukendi HCIII and Bulalu HCIII</p>	0
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>Monitoring reports for the construction of maternity ward at Ikonja HCIII conducted by the Senior Environment Officer and DCDO on 15th/02/2023, 9th/03/2023 19th/05/2023 and 12th/06/2023 with recommendations such as removal of construction waste, site levelling and planting trees and grass around the site.</p> <p>Monitoring reports for the construction of infrastructure for the upgrade of Bulalu HCII to HCIII conducted by the Senior Environment Officer and DCDO on 15th/02/2023, 9th/03/2023 19th/05/2023 and 12th/06/2023 with recommendations such as removal of construction waste, site levelling and planting trees and grass around the site.</p>	2

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0

E&S certificate and Payment certificate for the construction of a maternity ward at Ikonja HCIII, under procurement Ref. No. LUUK881/WRKS/22-23/00010

Contractor: M/S Record time general enterprise Ltd, the DCDO and the Senior Environment Officer endorsed works for payment on 21st/02/2023 and payment was made on 16th/03/2023.

E&S certificate and Payment certificate for the construction of twin staff house at Bukendi HCIII,

Contractor: M/S Tech Engineering Services Ltd, the DCDO and the Senior Environment Officer endorsed works for payment on 19th/06/2023 and payment was made on 28th/06/2023.

E&S certificate and Payment certificate for the construction of an maternity ward at Nantamali HCII, under procurement Ref. No. LUUK881/WRKS/22-23/00008

Contractor: M/S Prosperous general construction Ltd, the DCDO and the Senior Environment Officer endorsed works for payment on 11th/04/2023 and payment was made on 15th/06/2023.

**Water &  
Environment  
Performance  
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Water &amp; Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> <li>o 90 - 100%: score 2</li> <li>o 80-89%: score 1</li> <li>o Below 80%: 0</li> </ul>	<p>There was evidence obtained from the Ministry of Water and Environment MIS showing that water sources functionality in Luuka DLG for 2022/2023 was at 96%</p>	<b>2</b>
1	<p>Water &amp; Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water &amp; sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> <li>o 90 - 100%: score 2</li> <li>o 80-89%: score 1</li> <li>o Below 80%: 0</li> </ul>	<p>There was evidence obtained from the Ministry of Water and Environment MIS showing that the functional WSCs in Luuka DLG for 2022/23 was at 79%.</p>	<b>0</b>
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;</p> <ul style="list-style-type: none"> <li>• Above 80%, score 2</li> <li>• 60% - 80%, score 1</li> <li>• Below 60%, score 0</li> </ul>	<p>The LLGs performance was Not Applicable in 2022 and for 2023, the score was 26%</p>	<b>0</b>

N23\_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.

o If 100 % of water projects are implemented in the targeted S/Cs: Score 2

o If 80-99%: Score 1

o If below 80 %: Score 0

Luuka DLG safe water coverage was 79% and thus the percentage allocation to LLGs below average were;

Bukanga S/C at 78% received 2 BHs sources, Bukooma S/C at 56% received 1 BHs, Bulongo S/C at 95% received 1BH, Ikumbya S/C at 66% received 2BHs and 2 production wells, Irongo S/C at 90% received 2BHs, Nawampiti S/C at 95% received non-while Waibuga S/C at 91% received 1BH. Therefore, out of the 13 water Point Projects implemented in the FY 2022/2023; 9 were Implemented in S/C below the District average

Average

$$=9/13*100 = 69.2\%$$

N23\_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates

o If within +/-20% score 2

o If not score 0

From the three (3) sampled project contracts, the percentage contract price variations for all the three project contracts sampled were all within - +20% as follows;

1. Sitting, drilling, casting and installation of the platforms of the 9 deep boreholes and 2 production wells

Proc.Ref no. LUUK 881/Wrks/22-23/00001

· Engineer's estimate = 286,887,258

· Contract sum = 286,774,810

Percentage contract price Variation = 0.039%

2. Construction of a 4 Stance drainable VIP latrine at Nawansega RGC

LUUK 881/Wrks/22-23/0003

· Engineer's estimate = 19,580,116

· Contract sum = 19,475,000

Percentage contract price Variation = 0.05%

3. Feasibility study and Design of a piped water supply system in Ikumbya RGC

Proc. Ref no. LUUK 881/SVS/22-23/00001

· Engineer's estimate = 68,457,120

· Contract sum = 68,071,000

Percentage contract price Variation = 0.56%

N23\_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

d. % of WSS infrastructure projects completed as per annual work plan by end of FY.

o If 100% projects completed: score 2

o If 80-99% projects completed: score 1

o If projects completed are below 80%: 0

From the Approved Budget performance report for FY 2022/2023 submitted to the Ministry on 09th/08/2023, the LG planned to drill 9 Deep Boreholes, 2 production wells, plus a 4-stance VIP latrine and to rehabilitate 4 water sources.

All the projects were implemented and completed in the FY 2022/23 as stated in the Work plan and reported in the ABPR for FY 2022/2023.

Thus, the percentage of projects completed as per the AWP was 100%

3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <p>o If there is an increase: score 2</p> <p>o If no increase: score 0.</p>	<p>From the Ministry MIS, there was no increase in the percentage of functional water facilities between the FY 2021/22 and 2022/23.</p> <p>Percentage of functional water facilities in the FY 2022/23 = 96%</p> <p>Percentage of functional water facilities in the FY 2021/22 = 96%</p> <p>percentage change = 0%</p>	<b>0</b>
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water &amp; sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <p>o If increase is more than 1% score 2</p> <p>o If increase is between 0-1%, score 1</p> <p>o If there is no increase : score 0.</p>	<p>From the Ministry MIS, there was no Increase in the percentage of rural water and sanitation facilities with functional committees.</p> <p>Percentage of rural water facilities with functional water and sanitation committee in the FY 2022/23 was 79%</p> <p>Percentage of rural water facilities with functional water and sanitation committee in the FY 2021/22 was 80%</p> <p>Percentage Increase = -1%</p>	<b>0</b>

**Performance Reporting and Performance Improvement**

Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance

*Maximum 3 points on this performance measure*

The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3

The DWO accurately reported on WSS facilities constructed in the previous FY and performance of the facilities was as reported.

Findings from the field visit of the three sampled projects showed that all projects were in place and functional, 2 Boreholes were well protected with fences, no deep latrines in the radius of 30m, water yield and water quality was visually good and all had functional WUCs

The VIP was well finished with roofing sheets Gauge 28 as prescribed with the associated fascia boards to mention but a few.

The 3 sampled projects included,

1. Budhuuba/Bukukayimbi Village borehole (DWD No. 88287) completed on 06/06/2023) found in Ikumbya S/c.
2. Gwembuzi Village borehole (DWD No. 88286) completed on 06/06/2023 found in Bukooma S/c.
3. A 4 stance drainable VIP Pit latrine with a urinal in Nawansega RGC Bukooma S/C.

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

*Maximum 7 points on this performance measure*

a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2

There was evidence that the LG water office collects and compiles quarterly information on sub-county water supply and sanitation as evidenced by the 4 quarterly progress reports below.

Quarter 1 report was submitted on 12th/10/2022 and the information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement was found in the software report attached

Similarly, quarter 2 report was submitted on 09th/03/2023 and the information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement was still found in the detailed software report attached

Quarter 3 report was submitted on 16th/05/2023 and the information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement was found in the software report.

Quarter 4 report was submitted on 09th/08/2023 and the information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement was found through the pages

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

*Maximum 7 points on this performance measure*

b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0

The LG Water Office updated the MIS (WSS data) quarterly with water supply and sanitation information on (new facilities, population served, functionality of WSCs and WSS facilities.

The form 1s for the 9 newly drilled and installed boreholes and form 4 monitoring report tools used for the monthly update were attached to the DWO MIS and original copies submitted to the ministry for approval and MIS update on 09th/08/2023.

5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>There was no evidence that the DWO had developed PIPs for the 25% lowest performing LLGs.</p> <p>The DWO reported that they had not developed PIPs for FY 2022/23 but have planned to consider them for this current FY 2023/2024.</p>	0
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### Human Resource Management and Development

6	<p>Budgeting for Water &amp; Sanitation and Environment &amp; Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water &amp; Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation &amp; hygiene); 1 Engineering Assistant (Water) &amp; 1 Borehole Maintenance Technician: Score 2</p>	<p>There was evidence that the DWO budgeted for the Civil Engineer (Water) and Engineering Assistant (Water) under vote 881 (221,097,000shs)</p> <p>The Assistant Water Officer for mobilization was seconded from the Community Based Services and the Borehole Maintenance Technician was vacant at the time of assessment.</p>	2
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6	<p>Budgeting for Water &amp; Sanitation and Environment &amp; Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment &amp; Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>There was no evidence that the Environment and Natural Resources Officer had budgeted for the positions of Environment Officer and Forestry Officer because they were vacant at the time of assessment.</p> <p>The position of Natural Resources Officer was not provided for on the approved staff structure.</p>	0
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7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>Evidence showed that only the Civil Engineer Water was appraised by CAO on 5th/7/2023 which was beyond the set timeline of 30th June.</p>	0
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7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p>	<p>The DWO did not identify gaps in the staff within the department and thus not reports were also written to that effect.</p>	0
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## Management, Monitoring and Supervision of Services.

8

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

*Maximum 6 points on this performance measure*

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
- • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
- • If 80-99%: Score 2
- • If 60-79: Score 1
- • If below 60 %: Score 0

From the excel sheet attachment (Budget line) to the AWP for the current FY 2022/2023, DWO allocated the budget to the sub counties below the district average as follows

Sub counties that were below the district average (79%) in the FY 2023/2024 included;

Bukanga S/c at 76%

Bukooma S/c at 55%

Ikumbya S/c at 65%

The DWO allocated One (1) new borehole to Bukanga S/c amounting to Ugx 19,937,213, One (1) new boreholes to Bukooma S/c amounting to Ugx 19,937,213, two (2) new boreholes to Ugx 39,874,426 and 1 Piped water scheme phase 11 amounting to Ugx 276,890,066

Total project budget for sub counties with safe water coverage below the district average Ugx 316,764,492

Total project Budget for all the sub counties = Ugx 456,324,983

Percentage budget allocation to sub counties below the district average =  $(316,764,492)/(456,324,983)*100 = 78.15\%$

1

8

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

*Maximum 6 points on this performance measure*

- b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3

There was evidence that the DWO communicated to the LLGS their respective allocations for the current FY 2023/2024 according to the district advocacy meeting that sat in the District Council hall on 3/07/2023 where allocation was being discussed.

The communication was publicised on the district and sub county notice boards on 12th/07/2023. Allocations were as below;

Two (2) new boreholes to Bulongo S/c, Two (2) new boreholes and a piped water scheme to Ikumbya S/c (UGX 276,890,066), One (1) new borehole to each of Irongo (UGX 19,937,213), Bukanga (UGX 19,937,213), Nawampiti (UGX 19,937,213), Bukooma (UGX 19,937,213) and Waibuga (UGX 19,937,213), sub counties among others

3

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

*Maximum 8 points on this performance measure*

a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)

- If 95% and above of the WSS facilities monitored quarterly: score 4
- If 80-94% of the WSS facilities monitored quarterly: score 2
- If less than 80% of the WSS facilities monitored quarterly: Score 0

here was evidence that the district water office had monitored each WSS facilities at least quarterly. During assessment presented for review was the Luuka District LG Water and Sanitation facilities monitoring plan for FY 2022/2023 dated 9th/07/2022. The plan was compiled by the DWO and approved by the CAO. The plan pointed out specific facilities to be monitored, exact location and parish within the sub-county. The plan equally highlighted specific aspects within the facility to be monitored.

Presented for review were quarterly monitoring reports for water supply and sanitation facilities detailing status of each facility in different sub-counties. The monitoring reports reviewed included; quarter one monitoring report dated 30th/09/2022, report dated 29th/12/2022 for quarter two, quarter three report compiled on 30th/03/2023 and quarter four WSS monitoring report compiled on 30th/06/2023.

The main issues captured in the reviewed reports included functionality of water points, functionality of WSCs per source, compliance to E&S, safe water collection and storage and involvement of communities in O &M aspects. The report also captured recommendations by the DWO

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

*Maximum 8 points on this performance measure*

b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2

There was evidence that the DWO conducted quarterly District Water and Sanitation Coordination Committee (DWSCC) meetings on a quarterly basis. Presented for review was the LG quarter one report dated 13th/10/2022 with Annex 7-page 31 capturing minutes of the first DWSCC meeting for FY 2022/2023. Among the agenda items was report on water and sanitation status by DWO captured under Minute DWSCC6/9/2022/23 where issues identified by the District Water Engineer and DWO-Mobilization during monitoring were communicated. (detailed report from monitoring of water facilities that was conducted in the period of July-September)

Quarter two report dated 3rd/01/2023, Annex 7 agenda item Minute DWSCC 6/12/2022/23 report on water and sanitation status. Highlights of the monitoring were communicated.

From the reviewed quarter three report dated 14th/04/2023, Annex 7 the minutes of third quarter DWSCC meeting were captured. Under Minute DWSCC 5/3/2022/23 the District Water Officer presented a detailed report about the water status in the district.

Quarter four report had an annex 7 where the fourth DWSCC meeting minutes were captured for FY 2022/2023. Under Minute DWSCC 5/4/2022/23 details of the monitoring of WSS facilities were communicated. Key issues like functionality of water facilities, functionality of WSCs and environmental compliance were discussed.

From the communicated and discussed issues in the DWSCC meetings, the LG prioritized in the Bills of Quantities in the AWP &B for FY 2023/2024 issues of environment and social safeguards a budget of UGX 6,000,000/= included follow-up on O&M, behavior change with a budget of UGX 4,418,000/= and post-construction support to water user committees with a budget of UGX 3,000,000/=

9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>	<p>The communication was publicised at the district and sub county notice boards on 12/07/2023. Allocations were as below;</p>	2
			<p>Two (2) new boreholes to Bulongo S/c, Two (2) new boreholes and a piped water scheme to Ikumbya S/c, One (1) new borehole to each of Irongo, Bukanga, Nawampiti, Bukooma and Waibuga sub counties among others</p>	
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> <li>• If funds were allocated score 3</li> <li>• If not score 0</li> </ul>	<p>The DWO allocated 40.01% of the NWR rural water and sanitation budget greater than 40%.</p> <p>From the annual software report for the previous FY 2022/2023 On page 2 of 3 of the budget line attachment to annual progress report for the FY 2022/2023, the DWO allocated UGX 27,198,909 equivalent to 40.01% of the NWR rural water and sanitation budget UGX 67,995,104 towards community mobilisation activities</p>	3
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&amp;M of WSS facilities: Score 3.</p>	<p>There was evidence that the DWO in liaison with the Community Development Officer established and trained WSCs on their roles on O&amp;M of WSS facilities as in the following training activity reports.</p> <p>From the annual software report for the previous FY 2022/2023 dated 28th/02/2023, 9 new WUCs were formed and trained and 7 non-functional committees were re-activated.</p> <p>From the sampled WSS in Budhuuba/Bukukayimbi Village borehole (DWD No. 88287) found in Ikumbya S/c, Gwembuzi Village borehole (DWD No. 88286) found in Bukooma S/c, A 4 stance drainable VIP Pit latrine with a urinal in Nawansega RGC Bukooma S/C.</p> <p>The sampled members of the WSCs were able to remember the training that was given to them about the O &amp; M including daily cleaning of the water source and collection of the water user fees of 1,000 per household.</p>	3

## Investment Management

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:</p> <p>Score 4 or else 0</p>	<p>The assets register for Luuka DLG department of water was last updated on 15th June 2023 was reviewed by the assessment team and included the following items; 9 boreholes and 2 production wells and a 4-stance pit latrine at Nawansenga RGC drilled in the previous financial year.</p>	4
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDP III) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p> <p>Score 4 or else score 0.</p>	<p>The project Desk appraisal exercise was conducted by the District officials for all the projects in budget in the budget conference of FY 2022/2023. In the forms dated 30th June, 2022 that were used in the exercise the officers committed themselves by marking “yes” to indicate that the Water projects were derived from the LGDP III on pages 68. Also, for the Water projects were eligible according to the investment menu captured on pages 4 of the Water Sector Planning and Budgeting guidelines, for the year 2022. The Water projects were:</p> <ul style="list-style-type: none"> <li>● 9 boreholes and 2 production wells at Bugabula B , Butimbwa-Nabamba , Budondo A . Gwembuzi B , Innuula , Gansembye , Bighunho , Buniko B , Bukobbo , Ikumbya A and Ikumbya B</li> <li>● Rehabilitation of 2 boreholes</li> <li>● Construction of a public latrine at Mawansega RGC</li> </ul>	4

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>There was evidence all budgeted investments for FY 2023/2024 were demand driven. All projects had application/ request forms from beneficiary communities. From the community application file, a number of applications were presented. For example;</p> <ol style="list-style-type: none"> <li>1. Application letter dated 17th/12/2019 by the community of Nakabugu parish in Bulongo sub-county. From the AWP&amp;B FY 2023/2024 a Rainwater harvesting tank was prioritised at Buseete village to serve the area.</li> <li>2. application letter dated 27th/02/2023, the community of Banafu parish, Wandaga village in Ikumbya sub-county requested for safe water in the area through a letter addressed to CAO. A Borehole was budgeted by the LG in FY 2023/2024.</li> <li>3. The community of Bugomba parish in Nawampiti sub-county applied for safe water through a letter dated 25th/02/2023 addressed to LG Water Office. The LG budgeted for a Borehole at Nawandyo village</li> </ol>	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>The project field appraisal exercise was conducted for all the projects implemented by the District during the previous FY. The officials for instance the CDO and the environment officer on `30th June, 2022 carried out the screening of the projects that included;</p> <ul style="list-style-type: none"> <li>● Nine (9) boreholes and 2 production wells at Bugabula B , Butimbwa-Nabamba, Budondo A, Gwembuzi B, Innuula, Gansembye, Bighunho, Buniko B, Bukobbo, Ikumbya A and Ikumbya B</li> <li>● Rehabilitation of 2 boreholes</li> <li>● Construction of a public latrine at Mawansega RGC</li> </ul> <p>In the reviewed forms the officers in regard to the Water projects pronounced themselves that the technical feasibility, environmental and social acceptability and the projects were all feasible</p>	2

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>There were filled environment, social and climate change screening forms for all the water projects.</p> <p>There were costed ESMPs for all the water projects in the FY2022/23,</p> <p>The Screening for the drilling, casting and installation of 9 deep well boreholes in the sub-counties of Waibuga, Bulongo, Ikumbya, Bukooma, Bukanga and Irongounder procurement Ref No. LUUK881/WRKS/22-23/00001</p> <p>Contractor: M/S Dharani Boreholes Ltd prepared by senior environment officer and the DCDO and costed ESMP of 540,000 was incorporated into the BoQ under Bill No.2: preliminaries items 2.19 and 2.20 planting of trees and grass around the water source.</p> <p>The costed ESMP of UGX.220,000 for the drilling of the production wells was incorporated into the BoQ under Bill No.2: preliminaries items 2.19 and 2.20 planting of trees and grass around the water source.</p>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>There was evidence of an approved procurement plan dated 12th August 2022 incorporating water investment projects as shown below.</p> <p>Casting of 11 borehole platforms and installation of 11 hand pumps at Ugx 13,442,119 on page 5</p> <p>Rehabilitation of 2 boreholes at Ugx 15,600,000 on page 5</p> <p>Construction of a public latrine at Nawansega RGC at Ugx 19,580,116 on page 5</p>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>There was evidence of minutes for approval of water investments by the contracts committee as indicated.</p> <p>Siting, motorised drilling and constructing of 9 boreholes was approved under Min. 05 / DCC / 10 / 2022 on 3rd October 2022.</p> <p>Construction of a 4-stance pit latrine at Nawansenga RGC was approved under Min. 08 /DCC /10 / 2022 (b) on 3rd October 2022.</p>	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>There was evidence of an appointment of project implementation members by the CAO (Wangandya Moses) on the 18th November 2022.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>		<p>These were;</p> <p>Eng . Musisi Rajab as the contract manager</p> <p>Eng. Tabandika Twaha as the Clerk of works</p> <p>Mr . Musenero Bernard as the Environment officer.</p> <p>Ms . Lawano Janat as the Labour officer</p> <p>Mr . Bikhadho Hamis as DCDO.</p>	
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>All the water and public sanitation infrastructure sampled adhered to the standard designs obtained from the DWO for example the boreholes and 2 production wells at Bugabula B , Butimbwa-Nabamba , Budondo A . Gwembuzi B , Innuula , Gansembye , Bighunho , Buniko B , Bukobbo , Ikumbya A and Ikumbya B. The assessment visited the Boreholes at Budondo A . Gwembuzi B , Innuula and found; the platform stand was 600mm by 600mm and the apron depth and width were 100mm as prescribed on the designs. The piped water too was constructed in conformity with the design drawings obtained from the DWO</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2</p>	<p>There was evidence for supervision of works by preparing reports on implemented works as shown below.</p> <p>A substantial completion certificate dated 26th May 2023 by the water officer and a completion report for 9 boreholes and 2 production wells at Bugabula B , Butimbwa-Nabamba , Budondo A . Gwembuzi B , Innuula , Gansembye , Bighunho , Buniko B , Bukobbo , Ikumbya A and Ikumbya B</p> <p>A substantial completion certificate dated 20th February 2023 for a 4 stance line pit latrine at Nawansenga RGC for works at 100% completion and under defects liability.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts</p> <p>o If 100 % contracts paid on time: Score 2</p> <p>o If not score 0</p>	<p>There was evidence for payments made after verification of works by the water officer.</p> <p>Drilling of 9 boreholes and 2 production wells.</p> <p>A requisition for payment was raised by M/s. Dharani Boreholes Ltd on 18th May 2023 of Ugx 286,774,810. An interim certificate was signed by the water officer, DCDO, Environment officer of Ugx 255,772,507 on the 2nd June 2023 and the voucher 6429987 was affected on 28th June 2023.</p> <p>Construction of a 4 stance pit latrine at Nawansenga RGC.</p> <p>A request for payment was raised on 24th January 2023 of Ugx 19 , 475 , 000 by M/s . An interim certificate endorsed by the water officer , environment officer and DCDO was on 27th February 2023 of Ugx 17,391,175 and the voucher 4692033 was effected on 30th March 2023.</p>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:</p> <p>Score 2, If not score 0</p>	<p>There was evidence of complete procurement files for water investment projects.</p> <p>Sitting, motorised drilling and construction of 9 boreholes, Procurement ref no. Luuk 881/ wrks /22-23 / 00001 with a contract signed with M/s . Dharani Boreholes Ltd .An evaluation report was approved by the contracts committee on 28th September 2022 and awarded by the committee under Min . 05 / DCC / 10 /2022 on 3rd October 2022.</p> <p>Construction of a 4-stance pit latrine at Nawansenga RGC .Procurement ref no. Luuk 881 / wrks / 22-23 / 00009 with a contract signed with M/s . Luz Properties Ltd. The evaluation report was approved by the contracts committee on 28th September 2022 and Min . 08 / DCC / 10 / 2022 ( b) on 3rd October 2022.</p>	2

**Environment and Social Requirements**

13	<p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p>	<p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p> <p>Score 3, If not score 0</p>	<p>The LG had a grievance log where grievances were recorded for further investigation and response which was opened on 30th/03/2023 and when the log of complaints was reviewed there was no grievance arising from the implementation of water sector projects.</p>	3
14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source &amp; catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>There was evidence availed for the dissemination of guidelines of Water source &amp; catchment protection and Natural resource management to the CDOs during the handover of Boreholes at Bugabula B , Butimbwa-Nabamba on June 12th, 2023.</p>	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans &amp; natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented:</p> <p>Score 3, If not score 0</p>	<p>There were filled environment, social and climate change screening forms for all the water projects.</p> <p>There were costed ESMPs for all the water projects in the FY2022/23,</p> <p>The Screening for the drilling, casting and installation of 9 deep well boreholes in the sub-counties of Waibuga, Bulongo, Ikumbya, Bukooma, Bukanga and Irongounder procurement Ref No. LUUK881/WRKS/22-23/00001</p> <p>Contractor: M/S Dharani Boreholes Ltd prepared by senior environment officer and the DCDO and costed ESMP of 540,000 was incorporated into the BoQ under Bill No.2: preliminaries items 2.19 and 2.20 planting of trees and grass around the water source.</p> <p>Costed ESMP of UGX.220,000 for the drilling of the production wells was incorporated into the BoQ under Bill No.2: preliminaries items 2.19 and 2.20 planting of trees and grass around the water source.</p>	3

15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 3, If not score 0</p>	<p>The DLG had evidence of all the MoUs where the water sources were implemented as per the examples below;</p> <ol style="list-style-type: none"> <li>1. An agreement dated 25/04/2023 between Luuka DLG and Kamamuli Kipalu the landowner for a Borehole drilling in Ikumbya S/C signed by Odoch. R the DCAO</li> <li>2. An agreement dated 17/04/2023 between Luuka DLG and Fransisko Makonko the landowner for a Borehole drilling in Bukooma S/C witnessed by Odoch. R the DCAO</li> <li>3). An agreement dated 20/04/2023 between Bugabula B village and Gazibale Zubali the land owner for a Borehole drilling in Bulongo Sub County witnessed by Odoch. R the DCAO.</li> </ol>	<b>3</b>
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c. Evidence that E&amp;S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:</p> <p>Score 2, If not score 0</p>	<p>There was evidence of signed E&amp;S compliance certification forms. Examples include;</p> <p>E&amp;S certification and payment certification for the drilling and installation of 9 deep well boreholes and 2 production wells in the sub-counties of Waibuga, Bulongo, Ikumbya, Bukooma, Bukanga and Irongo under procurement Ref No. LUUK881/WRKS/22-23/00001</p> <p>Contractor: M/S Dharani Boreholes Ltd</p> <p>The senior environment officer and the DCDO certified works forpayment on 2nd/06/2023 and payment was made on 28/06/2023.</p>	<b>2</b>
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 2, If not score 0</p>	<p>The assessor reviewed monthly monitoring reports of WSS projects and established that the LG monitored the following projects;</p> <ol style="list-style-type: none"> <li>1. Construction of a 4-stance pit latrine at Nawansenga RGC had monthly monitoring reports dated 28th/04/2023 and 25th/05/2023.</li> <li>2. Drilling of Bukooma borehole had monthly monitoring reports dated 24th/04/2023 and 30th/05/2023.</li> <li>3. Drilling of Bukanga borehole had monthly monitoring reports dated 27th/04/2023 and 29th/05/2023</li> </ol>	<b>2</b>

**Micro-scale  
Irrigation  
Performance  
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else</p>	<p>The LG provided data on irrigated land for the last 2 FYs and reviewed by the assessment team as indicated below;</p> <p>Data sheet on irrigated land for FY 2021/2022 signed by CAO on 30th June 2022</p> <p>MSI non grant beneficiaries was 154.4 Acres</p> <p>MSI grant beneficiaries was 10 Acres</p> <p>Data sheet on irrigated land for FY 2022/2023 signed by CAO on 4th July 2023</p> <p>MSI non grant beneficiaries was 52.5 Acres</p> <p>MSI grant beneficiaries was 52 Acres</p>	<b>2</b>
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> <li>• By more than 5% score 2</li> <li>• Between 1% and 4% score 1</li> <li>• If no increase score 0</li> </ul>	<p>The reviewed data on irrigated land showed that the LG registered a decrease in acreage of newly irrigated land in the previous FY as compared to previous FY but one as indicated below;</p> <p>Total irrigated land FY 2021/2022 was 164.4 acres</p> <p>Total irrigated land FY 2022/2023 was 104.5 acres</p> <p>Therefore, decrease was 59.9 acres giving percentage decrease of (59.9/164.4x100), 36.4%</p>	<b>0</b>

2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> <li>• Above 70%, score 4</li> <li>• 60% - 70%, score 2</li> <li>• Below 60%, score 0</li> </ul>	<p>The assessment team reviewed report for LLGs performance assessment for FY 2022/2023 Luuka DLG and the scores for MSI were as follows</p> <p>Bukanga S/c 80%</p> <p>Irongo S/c 40%</p> <p>Nawampite S/C 100%</p> <p>Waibuga S/C 80%</p> <p>Bukoova T/C 80%</p> <p>Bulanga T/C 80%</p> <p>Bulongo S/C 100%</p> <p>Bukooma S/C 0%</p> <p>Ikombya S/C 100%</p> <p>Luuka T/C 80%</p> <p>therefore, total score was 640% giving an average (640/10) %, 64%</p>	2
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0</p>	<p>As per the Budget performance report approved by CAO on 30th June 2023, the LG used the development component on eligible activities indicated below as defined on page 6 of the technical guidelines;</p> <p>Procurement and installation of 33 msi equipment for various farmers within Luuka DLG was UGX 554,720,284</p> <p>Procurement and installation of 1 MSI demonstration sites was UGX 25,000,000</p>	2

Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines

Maximum score 6

b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0

The assessment team reviewed signed acceptance forms for the 10 farmers whose installations were completed confirming equipment was working well, these included;

1..Acceptance form signed by approved farmer Kanyali Fredrick unique N0. LKA/2020-08-24/M/961 at Bulongo S/C dated 21st June 2023

2.Acceptance form signed by approved farmer Nangobi Daphine unique N0. LKA/2020-08-19/F/720 at Bulongo S/C dated 21st June 2023

3.Acceptance form signed by approved farmer Kisame Benard unique N0. LKA/2020-08-30/M/1485 at Luuka T/C dated 21st June 2023

4.Acceptance form signed by approved farmer Baleterewa Grace unique N0. LKA/2022-09-17/M/27976 at Ikumbya S/C dated 21st June 2023

5.Acceptance form signed by approved farmer Kisa Fred unique N0. LKA/2022-05-19/M/26276 at Ikumbya S/C dated 21st June 2023

6.Acceptance form signed by approved farmer Nambi Fatuma unique N0. LKA/2022-09-17/M/27975 at Ikumbya sub county dated 23rd June 2023

7.Acceptance form signed by approved farmer Asimire Joanita unique N0. LKA/2022-09-26/F/28079 at Ikumbya Sub County dated 23rd June 2023

8.Acceptance form signed by approved farmer Inyensiko George unique N0. LKA/2020-10-01/M/7119 at Ikumbya Sub County dated 23rd June 2023

9.Acceptance form signed by approved farmer Nabuleme Irene unique LKA/2022-03-01/F/26046 at Bukooma Subcounty dated 4th June 2023

10.Acceptance form signed by approved farmer Ikumbya Seed Secondary School and Ikumbya Subcounty dated 21st June 2023

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0	<p>The variations in the contract price and Engineer's estimates for setting up msi sites were as follows:</p> <ul style="list-style-type: none"> <li>• Supply and installation of 5 MSI equipment under lot 2 budgeted at UGX 85,000,000 actual was UGX 77,016,817 with a variation of UGX 7,983,183 represented by 9.4%</li> <li>• Supply and installation of 3 msi equipment under lot 1 budgeted at UGX 51,000,000 actual was UGX 49,850,103 with a variation of UGX 1,149,897 represented by 2.3%</li> <li>• Supply and installation of 10 msi equipment under lot 3 budgeted at UGX 187,500,000 actual was UGX 173,793,441 with a variation of UGX 13,706,559 represented by 7.3%</li> </ul> <p>The variations were within the range of +/- 20% as per the requirement.</p>	1
Maximum score 6				
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY	<p>A review of the quarter 4 budget performance report for previous FY 2022/2023 approved by CAO on 30th June 2023, page 15 revealed that 10 MSI installations were completed out of 34, representing 29.4%</p> <p>i.e. <math>10/34 * 100 = 29.4\%</math></p> <p>the reason for delayed completion was due to delayed procurement of the contractors</p>	0
Maximum score 6		<ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• Between 80 - 99% score 1</li> <li>• Below 80% score 0</li> </ul>		
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	a) Evidence that the LG has recruited LLG extension workers as per staffing structure	<p>Based on the staff structure dated February, 2017 the LLGs were supposed to have 57 extension workers.</p> <p>However, based on the staff list dated 1/12/ 2023 P1-2 showed that 15 (35%) staff were recruited in the district.</p> <p><math>15/57 * 100 = 26.3\%</math></p>	0
Maximum score 6		<ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• If 75 - 99% score 1</li> <li>• If below 75% score 0</li> </ul>		

4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF</p> <ul style="list-style-type: none"> <li>• If 100% score 2 or else score 0</li> </ul>	<p>As per MAAIF Technical guidelines issued on 24th November 2020, on page 12, MSI equipment installation should be;</p> <ul style="list-style-type: none"> <li>• Up to 2.5 acres of irrigated land coverage</li> <li>• Simple technology used to include solar pump, small petrol pump, horse pipe, sprinkler and drip</li> <li>• An already existing water source should be available and within 700m from the irrigated land</li> </ul> <p>All the 3 visited beneficiary farmers sites namely Kanyali Fredrick (Bulongo S/C), Inyensiko George (Bukooma S/C) and Bitira Robert (Bulongo S/C) were found to be compliant with the requirement</p>	2
4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>b) Evidence that the installed micro-scale irrigation systems during last FY are functional</p> <ul style="list-style-type: none"> <li>• If 100% are functional score 2 or else score 0</li> </ul>	<p>The assessment team sampled and visited three sites for beneficiary farmers namely Kanyali Fredrick (Bulongo S/C), Inyensiko George (Bukooma S/C) and Bitira Robert (Bulongo S/C) and the equipment was found to be functioning well</p>	2

**Performance Reporting and Performance Improvement**

5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0</p>	<p>Three LLGs including two sub counties of Bukooma, Waibuga and Luuka TC were sampled and visited on 12/12/2023. Evidence revealed that the information on the staff list was accurate. Based on daily attendance signed by extension workers, it was confirmed that:</p> <p>i. Gwatia Daniel (AHO) and Nabirye Fiida (AO), were working in Bukooma Sub County.</p> <p>ii. Kubyankula Eria (AO) and Nsubuga Patrick (AHO) were deployed in Waibuga Sub County.</p> <p>iii. Tenywa Illium, Agricultural Officer deployed at Ikumbya Subcounty</p>	2
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5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p>	<p>A site visit to three sampled beneficiary farmers namely Kanyali Freddrick (Bulongo S/C), Inyensiko George (Bukooma S/C) and Bitira Robert (Bulongo S/C) confirmed that the equipment were installed and functioning accurately</p>	2												
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>	<p>Quarterly supervision and monitoring reports detailing information on newly irrigated land, functionality of irrigation equipment installed, complimentary services and farmer expression of interest were availed as indicated below</p> <p>Q1 report was compiled by SAE and endorsed by CAO on 3rd October 2022</p> <p>Q2 report was compiled by SAE and endorsed by CAO on 1st January 2023</p> <p>Q3 report was compiled by SAE and endorsed by CAO on 3rd March 2023</p> <p>Q4 report was compiled by SAE and endorsed by CAO on 18th July 2023</p>	2												
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p>	<p>A review of the MIS data and field reports from LLGs revealed that the LG did enter up to -date data from LLG into MIS</p> <p>MIS data for FY 2022/2023</p> <table border="1" data-bbox="890 1290 1406 1783"> <thead> <tr> <th>MIS</th> <th>Target</th> </tr> </thead> <tbody> <tr> <td>Farmer Field School 13</td> <td>4</td> </tr> <tr> <td>Number of farm visits 193 149</td> <td></td> </tr> <tr> <td>Number of awareness(leaders) 11</td> <td>8</td> </tr> <tr> <td>Number of awareness (farmers) 12 39</td> <td></td> </tr> <tr> <td>Number of irrigation demos 1 1</td> <td></td> </tr> </tbody> </table> <p>From the quarterly supervision and monitoring reports the actual data was the same as reported in the MIS</p>	MIS	Target	Farmer Field School 13	4	Number of farm visits 193 149		Number of awareness(leaders) 11	8	Number of awareness (farmers) 12 39		Number of irrigation demos 1 1		1
MIS	Target															
Farmer Field School 13	4															
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6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0	Quarterly supervision and monitoring reports detailing information on newly irrigated land, functionality of irrigation equipment installed, complimentary services and farmer expression of interest were availed as indicated below	1
	Maximum score 6		Q1 report was compiled by SAE and endorsed by CAO on 3rd October 2022	
			Q2 report was compiled by SAE and endorsed by CAO on 1st January 2023	
			Q3 report was compiled by SAE and endorsed by CAO on 3rd March 2023	
			Q4 report was compiled by SAE and endorsed by CAO on 18th July 2023	
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	The LG provided documentary evidence of the development of PIPs dated 6th April 2023 for Kyanvuma TC, Irongo S/c and Bukooma S/c which were the weakest performing LLGs and the issues to address included; Lack of Environment and social safeguards screening forms, limited knowledge of irrigation technologies by farmers, farmers' failure to meet their cofounding obligation, lack of visitors' books at farmers' sites	1
	Maximum score 6			
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	No documentary evidence was availed to the assessment team	0
	Maximum score 6			

**Human Resource Management and Development**

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	From the budget and work plan for current FY 2023/2024 approved on 18th July 2023 on page 46, the LG budgeted UGX 1,034,440,000 for 22 extension workers	1
	Maximum score 6			

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	ii Deployed extension workers as per guidelines score 1 or else 0	The LG deployed extension staff as per the staff register for Agriculture extension staff to LLGs for current FY 2023/2024 dated 1st December 2023	1
	Maximum score 6			
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0	Three LLGs including two sub counties of Bukooma, Waibuga and Luuka TC were sampled and visited on 12/12/2023. Evidence revealed that the information on the staff list was accurate. Based on daily attendance signed by extension workers, it was confirmed that:  i.Gwatia Daniel (Animal Husbandry Officer) and Nabirye Fiida (AO),were working in Bukooma Sub County.  ii.Kubyanukula Eria (AO) and Nsubuga Patrick (AHO) were deployed in Waibuga Sub County.	2
	Maximum score 6			
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0	Based on the three LLGs sampled including two sub counties of Bukooma, Waibuga and Luuka T/C, there was evidence of displaying staff list on the LLG notice board.	2
	Maximum score 6			

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has:</p> <p>i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0</p>	<p>Evidence based on the 10 appraisal reports for Extension workers reviewed indicated that they were appraised against the agreed performance plans and submitted copies to HRO during the FY 2022/23 as follows:</p> <ol style="list-style-type: none"> <li>1. Nabirye Filda AO at Irongo S/C was appraised by Mirembe Catherine SAS on 30/6/2023</li> <li>2. Tenywa Illium AO at Ikumbya S/C was appraised by Barasa Patrick Musisi (SAS) on 28/6/2023</li> <li>3. Tamukede Richard, Senior Fisheries Officer (Production) by Musena Aggrey (DPO) ON 30/6/2023</li> <li>4. Kisdha Alex, Senior Agricultural Engineer, (Production) by Kaziba Charles, (Principal Agricultural Officer) on 30/6/2023</li> <li>5. Dr. James Batyani, (Principal Veterinary Officer) in the Production department, by Musena Aggrey (DPO) on 30/6/2023</li> <li>6. Kaziba Charles, (Principal Agricultural Officer) in the Production department was appraised by Musena Aggrey (DPO) on 28/6/2023.</li> <li>7. Babinga Geoffrey Senior Agricultural Officer at production department was appraised by Kaziba Charles Principal Agriculture Officer on 30/6/2023</li> <li>8. Namwase Diana AO at Bulongo S/C was appraised by Tezikya Ruth SAS on 30/6/2023</li> <li>9. Nyanga Joshua Justus, AO at Irongo S/C was appraised by Kamamuli Eric SAS on 30/6/2023</li> <li>10. Bukaaye Nelson Assistant Animal Husbandry Officer at Bulongo S/C was appraised by Tezikya Ruth SAS on 25/4/2023.</li> </ol>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has;</p> <p>Taken corrective actions: Score 1 or else 0</p>	<p>There was no evidence on taking corrective actions by the production department</p>	0

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>b) Evidence that:</p> <p>i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0</p>	<p>The LG provided evidence to show that training activities were conducted as per the reports indicated below;</p> <p>Training report for extension staff on MSI modules dated 22nd June 2023 compiled by the SAE</p> <p>Training report on capacity building of extension staff on FFS concept as relevant extension approach in irrigation agronomy dated 20th October 2022 compiled by the Senior Agricultural Engineer</p>	<b>1</b>
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>ii Evidence that training activities were documented in the training database: Score 1 or else 0</p>	<p>A training database was availed and reviewed by the assessment team and last updated on 1st April 2023 Trainings documented during last FY 2022/2023 included;</p> <p>Training of LLGs extension staff on formation of farmer field schools</p> <p>Training of extension staff on various msi modules</p>	<b>1</b>

**Management, Monitoring and Supervision of Services.**

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0</p>	<p>From the approved budget and work plan for current FY 2023/2024, total allocation for MSI was UGX 1,105,705,727 and appropriated as follows</p> <p>Capital development was UGX 829,279,295 representing 75% (829,279,295/1,105,705,727x100)</p> <p>Complimentary services was UGX 276,426,432 representing 25% (276,426,432/1,105,705,727 x100)</p>	<b>2</b>
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9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	<p>From the approved budget and work plan for current FY 2023/2024, total allocation for MSI complementary services was UGX 276,426,432 and appropriated as follows</p> <p>Enhancing LG capacity to support irrigated agriculture (25%) UGX 45,498,813</p> <p>a. Awareness raising of local leaders (15%) UGX 41,463,965</p> <p>b. Procurement, monitoring &amp; supervision (10%) UGX 27,642,643</p> <p>Enhancing farmer capacity for uptake of microscale irrigation (75%) UGX 207,319,824</p> <p>{Awareness raising of formers (41,463,964), farm visits (51,829,958), demonstrations (41,463,964), farmer field schools (72,561,938)}</p>	2
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>The LG provided evidence as extracted from the Luuka DLG Annual budget and workplan for current FY 2023/2024 page 45 approved by CAO on 18th July 2023, LG budgeted UGX 150,000,000 for co-funding</p>	2
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>From the budget performance report for previous FY 2022/2023, page 52 approved by CAO on 30th June 2023</p> <p>Total amount spent on supply and installation of 33 MSI equipment was UGX 554,720,284</p> <p>Amount raised from farmer co funding was 138,681,143 representing 25% of total amount spent as per the guidelines</p>	2
Maximum score 10				

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>The LG provided evidence according to minutes of DTPC meeting held on 6th June 2023 under minute 05/LDG/DTPC/07/2023. The DPO shared about the available co-funding options and the percentages the farmers would pay depending on the preferred installation i.e., 25% of the cost for solar- powered system and 75% of the cost for petrol powered system and a value cap of the subsidy of UGX 18,000,000 for solar pump system and UGX 5,000,000 for petrol pump system. He also shared with the team the list of banks namely Centenary partnering with farmers to provide loans to cover their co-funding obligation.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> <li>• If more than 90% of the micro-irrigation equipment monitored: Score 2</li> <li>• 70-89% monitored score 1</li> <li>Less than 70% score 0</li> </ul>	<p>Installation of the MSI equipment under lot 1 was completed on 21st June 2023 therefore one monthly monitoring report compiled by the SAE dated 29th June 2023 was reviewed by the assessment team and only 3 installations were captured</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>b. Evidence that the LG has overseen technical training &amp; support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>The assessment team interviewed beneficiary farmers namely Kanyali Fredrick (Bulongo S/C), Inyensiko George (Bukooma S/C) and Bitira Robert (Bulongo S/C) which revealed they were knowledgeable on equipment operation and maintenance</p> <p>Further evidence was captured in the training report for farmers on the concept of FFS dated 30th May 2023</p>	2

10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0</p>	<p>A copy of activity report for training of extension staff on implementation of MSI dated 11th May 2023 compiled by Senior Agricultural Engineer reviewed by the assessment team showing evidence that the LG provided hands on support to LLG extension workers</p> <p>Also interviews with sampled extension workers were conducted and revealed that LG provided hands-on support to Lower extension workers. The sampled extension workers included;</p> <ol style="list-style-type: none"> <li>1. Namwase Diana, Agricultural Officer Bulongo Subcounty</li> <li>2. Tenywa Ilium, Agricultural Officer Ikumbya Subcounty</li> <li>3. Nabirye Fiida, Agricultural Officer Irongo Subcounty</li> </ol>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0</p>	<p>The LG established and ran 5 farmer field Schools as per the reports indicated below;</p> <p>Report on formation of 5 FFS dated 12th May 2023 namely;</p> <ul style="list-style-type: none"> <li>• Abaliaghalala FFS, Kyanvuma Parish (Vegetables)</li> <li>• Irongo Horticultural Irrigation FFS , Kibbinga parish (Horticulture)</li> <li>• Twegaite Irrigation FFS , Nawanyago parish (vegetables)</li> <li>• Tugemere Walaila FFS , iirongo parish (Vegetables)</li> <li>• Katenge Irrigation FFS, Kibbinga parish (Vegetables)</li> </ul>	2
11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0</p>	<p>The LG availed evidence to the assessment team to show that activities to mobilise farmers were conducted in form of;</p> <p>Report on farmer field day at Waibuga S/C dated 30th June 2023, where 102 farmers participated</p> <p>Report on awareness raising of farmers on msi held at Irongo S/C, dated 30th June 2023, where 181 farmers participated</p>	2

11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0</p>	<p>The LG provided evidence that District Staff and political leaders were trained on MSI in form of training reports, examples of reviewed reports by the assessment team included;</p> <p>Report on awareness raising of local leaders for LLGs on MSI dated 5th May 2023 and the participants included, SASS, CDOs, LC III chairpersons and their executives, Giso, parish chiefs etc</p> <p>Training report of the District Technical and Political leaders on MSI awareness raising dated 4th May 2023 and the participants included Heads of Departments, District Executive Committee, Diso, RDC, CAO, DVO</p>	<b>2</b>
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**Investment Management**

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0</p>	<p>The assessment reviewed the assets register for production department which was last updated on 30th June 2023 and the following MSI equipment at the 11 sites were included</p> <p>Solar powered irrigation equipment (10)</p> <p>10,000L plastic tanks (11)</p> <p>HDPE pipes and connections</p> <p>10 drag horses</p> <p>300w solar panels (11)</p>	<b>2</b>
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p>	<p>The assessment team reviewed data on Irrtrack/MIS and the current status of farmers EOIs was 559 successful out of total submission 685 and this was last updated on 6th December 2023. Farmer Nantogo Juliet unique NO. LKA /2023-12-06/F/71030 of Budhabangula parish, Bulongo Sub county was the last applicant entered in the data base</p>	<b>2</b>

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>There was evidence to show that the LG carried out 193 farm visits as indicated in the following reports</p> <p>Farm visit report for farmers with EOIs compiled by Senior Agricultural Engineer dated 28th October 2022 showing 120 farmers were visited</p> <p>Farm visit report for farmers with EOIs compiled by Senior Agricultural Engineer dated 20th April 2023 indicating 40 farmers were visited</p> <p>Farm visit report for farmers with EOIs compiled by Senior Agricultural Engineer dated 30th April 2023 indicating 33 farmers were visited</p>	<b>2</b>
Maximum score 8				
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>d) For DDEG financed projects:</p> <p>Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>A visit/check on the various public notice boards, a list with 60 eligible farmers was displayed on the notice board at the production department on 4th July 2023.</p> <p>There were also displays at the LLGs notice boards which were visited by the assessment team namely; Luuka S/C, Bukooma S/C and Bulongo S/C dated 4th July 2023 Therefore, LG was compliant</p>	<b>2</b>
Maximum score 8				
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>There was evidence for an approved procurement plan dated 26th July 2023 incorporating Micro scale irrigation systems as shown below.</p> <p>Construction of micro scale irrigation systems for farmer beneficiaries upon payment of co-funding at Ugx 973,892,346 on page 2</p>	<b>1</b>
Maximum score 18				

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>There was evidence for prequalified suppliers by MAAIF to the LG as listed below.</p> <p>M/s . NEC Agro SMC Ltd</p> <p>M/s . Karf Aqua Engineering Solutions Ltd</p> <p>M/s . W&amp;S Consult International</p> <p>M/s . Innovations Africa Ltd.</p> <p>The LG requested for quotation from these pre qualified supplier on the 1st March 2022.</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0</p>	<p>There was evidence for minutes selecting the irrigation supplier on 4th April 2022 under Min . 04 / DCC / 04 /2022</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0</p>	<p>The LG CC approved and awarded the contract under Min .04 / DCC / 04 / 2022 for the supply of rrigation equipment at three sites for beneficiary farmers namely Kanyali Fredrick (Bulongo S/C), Inyensiko George (Bukooma S/C) and Bitira Robert (Bulongo S/C) on the 4th April 2022</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0</p>	<p>The LG received to two responsive bidders that was;</p> <p>M/s .W&amp; S International Ltd</p> <p>NEC Agro SMC Ltd.</p> <p>W&amp; S International Ltd was the best evaluated bidder the LG signed with the reason of being the lowest price as compared to M/s . NEC Agro SMC Ltd.</p>	2

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	The LG did not avail design output sheet for assessment, therefore the assessment team could not verify whether the MSI equipment installed were in line with the design output sheet	<b>0</b>
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0	A review of the site books at the visited farmers namely Kanyali Fredrick (Bulongo S/C), Inyensiko George (Bukooma S/C) and Bitira Robert (Bulongo S/C) provided proof that the relevant technical officers regularly visited sites during installations i.e. SAE, DPO, SAO visited on 4th April 2023, 20th April 2023, 15th May 2023, 14th June 2023	<b>2</b>
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	h) Evidence that the LG has overseen the irrigation equipment supplier during:  i. Testing the functionality of the installed equipment: Score 1 or else 0	The assessment team reviewed copies of the hand over reports dated 21st June 2023 and 29th June 2023 compiled by the SAE. It was clearly indicated that the LG oversaw the testing the functionality of the installed msi equipment	<b>1</b>
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	The assessment team reviewed copies of the hand over reports dated 21st June 2023 and 29th June 2023 compiled by the SAE. It was clearly indicated that the LG oversaw the handover of the msi equipment	<b>1</b>

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0</p>	<p>Review of payment vouchers for suppliers of MSI equipment confirmed that suppliers were paid within the specified timeframes</p> <p>Payment NO. 6420316 to M/s W &amp; S consult International amounting to UGX 46,859,097, the contractor submitted his request for payment on 15th June 2023 and payment was effected on 28th June 2023, after 10 working days which was within the timeframe</p> <p>Payment NO. 6420301 to M/s Ssebablaz Investment (U) Ltd amounting to UGX 22,314,331, the contractor submitted his request for payment on 22nd June 2023 and payment was effected on 28th June 2023, after 12 working days which was within the timeframe</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0</p>	<p>There was evidence of a complete procurement file for Micro scale irrigation systems as required by PPDA.</p> <p>Supply and installation and testing of irrigation equipment at Ugx 730,419,260.</p> <p>Procurement ref no . Luuk 593 / wrks / 2021-2022 / 00005 with a contract signed with M/s . W&amp;S International Ltd,An evaluation report was approved by the contracts committee on 30th March 2022 and awarded by the contracts committee under Min . 04 / DCC / 04 /2022 on 4th April 2022.</p>	2

### Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0</p>	<p>There was display of grievance redress mechanism addressing micro- scale irrigation grievances both at the District headquarters/ production department noticeboard and all visited LLGs notice boards namely; Bukooma S/C, Bukanga S/C and Luuka T/C.</p>	2
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14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework  Maximum score 6	b) Micro-scale irrigation grievances have been:  i). Recorded score 1 or else 0  ii). Investigated score 1 or else 0  iii). Responded to score 1 or else 0  iv). Reported on in line with LG grievance redress framework score 1 or else 0	The LG had log for grievances opened on 30th/03/2023 at the time of assessment there were no grievances recorded under the irrigation projects.	<b>1</b>
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework  Maximum score 6	b) Micro-scale irrigation grievances have been:  ii. Investigated score 1 or else 0  iii. Responded to score 1 or else 0  iv. Reported on in line with LG grievance redress framework score 1 or else 0	There was no grievance to investigate since there were no grievances reported under the sector	<b>1</b>
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework  Maximum score 6	b) Micro-scale irrigation grievances have been:  iii. Responded to score 1 or else 0  iv. Reported on in line with LG grievance redress framework score 1 or else 0	There was no grievance to responded to but the LG had the GRM in place	<b>1</b>
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework  Maximum score 6	b) Micro-scale irrigation grievances have been:  iv. Reported on in line with LG grievance redress framework score 1 or else 0	The LG had log for grievances and grievance redress framework at the time of assessment there were no grievances reported and recorded.	<b>1</b>

**Environment and Social Requirements**

15	Safeguards in the delivery of investments Maximum score 6	a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.  score 2 or else 0	There was evidence of the LG disseminating Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers. This was during the signing of MoUs for the installation of MSI with beneficiary farmers on different dates and different locations as per the examples bellow;  1. On 1st March, 2023 at Luuka Town Council at Kisame Benard (Beneficiary farmer) residence,  2. On 14th March 2023 at Bulongo S/C at Kanyali Freddrick (Beneficiary farmer) residence,  3. On 23rd May 2023 at the residences of Nambi Fatuma (Beneficiary farmer) of Ikumbya sub-county, Kisa Fred (Beneficiary farmer) of Ikumbya S/C, Bitira Robert (Beneficiary farmer) of Bulongo S/C and Inyensiko George (Beneficiary farmer) of Bukooma sub-county.	2
15	Safeguards in the delivery of investments Maximum score 6	b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.  i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0	There was no evidence of incorporation of the costed ESMPs into the BoQs for the micro-scale irrigation projects for the FY2022/23	0

15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	Monitoring reports for the installation of micro-scale irrigation equipment at; 1.Dhalaga Johnny’s farm in Busiro - Navunano village, Waibuga sub-county 2.Ingensiko George’s farm in Naigobya “A” village, Bukooma sub-county 3.Wasede Jacob’s farm in Nawaka “B” village, Ikumbya sub-county 4.Nambi Fatumah’s farm in Nawaka-Bugonza village, Ikumbya sub-county 5.Asiimwe Joanita’s farm in Nawaka-Bugonza village, Ikumbya sub-county 6.Kanyali Fredrick’s farm in Budhabangula village, Bulongo sub-county 7.Kisami Benard’s farm in Kitwe Kyabongo ward, Luuka Town council 8.Nagobi Daphine’s farm in Busaala village, Namalema sub-county 9.Baleterwa Grace’s farm in Nawaka village, Ikumbya sub-county 10.Kitawo Simon Peter’s farm Nakabuku village, Bulongo sub-county With recommendations such as proper waste management, water source protection and use organic manure prepared by the Environment officer and DCDO on 10th/05/2023 and 15th/06/2023.	1
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	E&S certificate for the installation of micro-scale irrigation equipment at Kanyali Fredrick’s farm, Nagobi Daphine’s farm and Kisame Benard’s farm. contractor: M/S W&S Consult Ltd LUUK881/SUPLS/22-23/00007, the Senior Environment Officer on 21st/06/2023 certified works and payment was made on 28/06/2023.	1
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	E&S certificate for the installation of micro-scale irrigation equipment at Kanyali Fredrick’s farm, Nagobi Daphine’s farm and Kisame Benard’s farm. contractor: M/S W&S Consult Ltd LUUK881/SUPLS/22-23/00007, the DCDO on 21st/06/2023 certified works and payment was made on 28/06/2023.	1

**Crosscutting Minimum  
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0</p>	<p>The position of Chief Finance Officer was substantively filled by Mr. Kipaalu Bogere George appointed on 30/6/2020 as was directed by the DSC under Min. No.06/LK/DSC/5/6/2020.</p>	3
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>b. District Planner/Senior Planner, score 3 or else 0</p>	<p>There was no evidence of filling the position of District Planner substantively. This was because the personal file for the appointed District Planner was alleged to have been taken by auditors for investigation at the time of assessment.</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>c. District Engineer/Principal Engineer, score 3 or else 0</p>	<p>The position of District Engineer was not substantively filled and there was no evidence of a seconded staff from the central government for that position.</p> <p>However, Mr. Musisi Rajab was the Ag. District Engineer effective 7/4/2022 under Min.04/LK/DSC/3/2022 (1).</p> <p>Substantively, he was appointed Senior Civil Engineer on 24/5/2019 under Min. 5/LK/DSC/5/2019(1).</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0</p>	<p>The position of District Natural Resources Officer was not substantively filled and there was no evidence of a seconded staff from the central government for that position.</p> <p>However, Musenero Bernard was the Ag. District Natural Resources Officer substantively appointed as a Senior Environmental Officer on 7/4/2022 under Min. No. 04/LK/DSC /3/2022(1).</p> <p>He was assigned duties of Ag. District Natural Resources Officer effective 14/7/2020 under Min.04/LK/DSC/6/7/2020(2).</p>	0

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>e. District Production Officer/Senior Veterinary Officer, score 3 or else 0</p>	<p>The position of District Production Officer was substantively filled by Musena Aggrey appointed on 30/6/2020 as was directed by Min. 06/LK/DSC/6/6/2020.</p>	3
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>f. District Community Development Officer/Principal CDO, score 3 or else 0</p>	<p>Bikadho Hamis (DCDO) was substantively appointed by CAO on 30/06/2020 under the DSC MIN NO. 06/LK/DSC/5/6/2020.</p>	3
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0</p>	<p>The position of District Commercial Officer was not substantively filled and there was no evidence of a seconded staff from the central government for that position.  However, Mr. Kirunda Baker, a substantive Commercial Officer appointed on 9/7/2020 under Min.04/LK/DSC/4/7/2020 was the Ag. District Commercial Officer effective 7/4/2022 under Min. 5/LK/DSC/3/2022(1).</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.</p>	<p>Mr. Erimirwa Robert, (Senior Procurement Officer) was substantively appointed on 8/2/2018 under DSC Min. No.64/LK/DSC/02/18(a).</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0</p>	<p>Ms. Bakirya Mercy, (Procurement Officer ) was substantively appointed on 30/5/2018 under DSC Min. No.18/LK/DSC/05/18(vii).</p>	2

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the</p> <p>District/Municipal Council departments. Maximum score is 37.</p>	<p>i. Principal Human Resource Officer, score 2 or else 0</p>	<p>The position of PHRO was not substantively filled and there was no evidence of a seconded staff from the central government for that position.</p> <p>However, Kabambwe Samuel Kiyemba, a substantive PHRO (Secretary DSC) appointed on 23/3/2023 Min. 07/LK/DSC/03/2023(2) was assigned Ag. PHRO effective 13/11/2023</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the</p> <p>District/Municipal Council departments. Maximum score is 37.</p>	<p>j. A Senior Environment Officer, score 2 or else 0</p>	<p>Mr. Musenero Bernard was the substantive Senior Environment Officer appointed on 7/4/2022 as was directed by Min.No.04/LK/DSC /3/2022(1).</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the</p> <p>District/Municipal Council departments. Maximum score is 37.</p>	<p>k. Senior Land Management Officer /Physical Planner, score 2 or else 0</p>	<p>The Senior Land Management Officer, (Kisakye Dorothy) was substantively appointed on 27/2/2018 as was directed by DSC Min.No.03/LK/DSC /02/18(a)(i).</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the</p> <p>District/Municipal Council departments. Maximum score is 37.</p>	<p>l. A Senior Accountant, score 2 or else 0</p>	<p>The Senior Accountant, (Naigembe Lydia) was substantively appointed on 27/2/2018 as was directed by the DSC Min.No.03/LK/DSC /02/18(b)(ii).</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the</p> <p>District/Municipal Council departments. Maximum score is 37.</p>	<p>m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0</p>	<p>The District Internal Auditor (Kakungulu David Kigenyi) was substantively appointed on 8/6/2022 as was directed by the DSC Min.No.07/LK/DSC /05/13/2022(a).</p>	2

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p>	<p>Kabambwe Samuel Kiyemba, was the substantive PHRO (Secretary DSC) appointed on 23/3/2023 Min. 07/LK/DSC/03/2023(2) .</p>	2
2	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG  Maximum score is 15</p>	<p>a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).</p>	<p>Luuka District had positions of SAS and Town Clerks both substantively filled and others in acting capacities as indicated below:</p> <p><b>Among the substantive were</b></p> <ol style="list-style-type: none"> <li>1. Mirembe Catherine, the SAS deployed in Bukooma S/C was appointed on 10/4/2017 under Min. No. 23/LK/DSC/03/17 (xi).</li> <li>2. Mutesi Mariam, the SAS deployed in Bukanga S/C was appointed on 22/5/2009 under Min. No. 128/2009 (a).</li> <li>3. Gangira Emmanuel, the SAS deployed in Waibuga S/C was appointed on 11/6/2003 under Min. No. 69/2003</li> <li>4 .Tezikya Ruth, the SAS deployed in Bulongo S/C was appointed on 30/5/2018 under Min. No. 18/LK/DSC/05/18 (vi).</li> <li>5.Byakika Jowadu, the SAS deployed in Nawampiti S/C was appointed on 14/7/2020 under Min. No. 04/LK/DSC/6/7/2020.</li> <li>6 .Barasa Patrick Musisi, the SAS deployed in Ikumbya S/C was appointed on 16/10/2006 under Min. No. 194/2006.</li> <li>7 .Maganda Siraji, the Town Clerk deployed in Luuka T/C was appointed on 14/7/2020 under Min. No. 04/LK/DSC/6/7/2020.</li> </ol> <p><b>Among the ones not substantive included:</b></p> <ol style="list-style-type: none"> <li>1. Kamamuli Eric, a substantive Parish Chief appointed on 19/10/2020 under Min. 16/LK/DSC/10/2020 (10) was assigned as a SAS of Irongo S/C on 1/8/2022.</li> <li>2. Mwanja Ivan, a substantive Parish Chief appointed on 28/6/2018 under Min. 05/LK/DSC/06/18 (j) (vi) was assigned as a Town Clerk of Kyanvuma T/C on 11/8/2022.</li> <li>3 .Namugaya Esther, a substantive Parish Chief appointed on 16/12/2020 under Min. 16/LK/DSC/10/2020 (1) was assigned as a Town Clerk of Busalamu</li> </ol>	0

T/C on 3/7/2023.

4. Waiswa Alfred Saul, a substantive Parish Chief appointed on 21/5/2012 under Min. KLR/DSC/029/2012 was assigned as a Town Clerk of Bukoova T/C on 11/8/2022.

5. Ngobi Robert, a substantive SAS appointed on 30/10/2006 under Min. No. 210/2006 was assigned as a Town Clerk of Bulanga T/C on 3/7/2023.

2

New Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

Luuka District had positions of CDO's both substantively filled and others in acting capacities as indicated below:

**Among the substantive were:**

1. Mutundi John Lander, the CDO deployed in Nawampiti S/C was appointed on 28/6/2018 under Min. No. 05/LK/DSC/06/18 (f).

2 . Kisakye Juliet Rachael, the CDO deployed in Waibuga S/C was appointed on 19/3/2012 under Min. KLR/DSC/036/2012 (ii).

3. Wabodha Isaac, the CDO deployed in Bulongo S/C was appointed on 14/7/2020 under Min. 04/LK/DSC/6/7/2020 (2).

4. Mwaula William, the CDO deployed in Bukanga S/C was appointed on 19/3/2012 under Min. KLR/DSC/036/2012 (ii).

5. Naisikwe Dorothy, the CDO deployed in Irongo S/C was appointed on 14/7/2020 under Min. 04/LK/DSC/6/7/2020 (3).

6. Museene Michael, the CDO deployed in Bukooma S/C was appointed on 19/3/2012 under Min. KLR/DSC/036/7/2012 (ii).

7 . Kyakasi Fred CDO Luuka TC was missing his file because it was taken by IGG for some investigations.

**Not Substantive**

1. Magumba Julius, a substantive Parish Chief, appointed on 21/5/2012 under Min. KLR/DSC/029/2012 was assigned duties of Ag. CDO in Ikumbya S/C effective 11/8/2022.

0

New\_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

Luuka District had substantively filled only 9 positions of Senior Accounts Assistant or Accounts Assistant as indicated below:

Senior Assistant Accountant and Assistant Accountants

1. Nairemba Westiike Moses, the SAA deployed in Waibuga S/C was appointed on 9/7/2020 under Min. No. 05/LK/DSC/3/7/2020 (1).

2. Bogere Mpaata Joshua, the AA deployed in Nawampiti S/C was appointed on 28/4/2017 under Min. No. 28/LK/DSC/04/17 (II) (f).

3. Lubaale Fredrick, the SAA deployed in Bulanga T/C was appointed on 29/6/2018 under Min. 05/LK/DSC/06/18 (a).

4 .Tibiri Frances Konso, the SAA deployed in Bukanga S/C was appointed on 9/7/2020 under Min. No. 05/LK/DSC/3/7/2020 (2).

5. Babiryre Rebecca, the SAA deployed in Bulongo S/C was appointed on 16/3/2018 under Min. 08/LK/DSC/03/18 (a) (1).

6. Wotanajja Fatuma, the SAA deployed in Ikumbya S/C was appointed on 9/7/2020 under Min. No. 05/LK/DSC/3/7/2020 (5).

7. Katumba Kennedy, the SAA deployed in Irongo S/C was appointed on 9/7/2020 under Min. No. 05/LK/DSC/3/7/2020 (4).

8 .Kaweire Chris, the SAA deployed in Bukooma S/C was appointed on 18/4/2018 under Min. 03/LK/DSC/03/18 (e).

9. Wekiya Silas, the AA deployed in Luuka T/C was appointed on 28/4/2017 under Min. No. 28/LK/DSC/04/17 (II) (h).

## Environment and Social Requirements

Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.

Maximum score is 4

If the LG has released 100% of funds allocated in the previous FY to:

a. Natural Resources department,

score 2 or else 0

There was evidence of the LG releasing 100% of the funds allocated to the Natural Resources Department in the FY2022/23, the amount warranted was UGX. 165,844,570 and the department received 100% of the warranted amount UGX. 165,844,570 as indicated on page 16 of the draft financial statement ended 30th/06/2023 FY 2022/23 approved by the CAO on 30th/08/2023.

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	There was evidence of the LG releasing 100% of the funds allocated to the Community Based Services Department in the FY2022/23, the amount warranted was UGX. 177,927,709 and the department received 100% of the warranted amount UGX. 177,927,709 as indicated on page 16 of the draft financial statement ended 30th/06/2023 FY 2022/23 approved by the CAO on 30th/08/2023.	2
	Maximum score is 4	b. Community Based Services department.  score 2 or else 0.		
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	a. If the LG has carried out Environmental, Social and Climate Change screening,  score 4 or else 0	There was evidence availed for the screening of the DDEG project for the FY 2022/2023 which was partial fencing of the district headquarters and mechanised of Kiroba-Nunyiro road and bridging of Luswampa (Bukkade swamp) 8.5km. The screening report prepared and duly signed by both the Environment Officer and CDO on 23rd/02/2023	4
	Maximum score is 12			
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),	The DDEG financed project did not require ESIAs, this was in reference to the National Environment Act 20219 under schedule 4 part 2 which consist of projects with simple environment and social measures and the minimal level of impacts and require ESMPs and strictly following the requirements and guidance in the ESMPs for example, traffic management to avoid possible accidents at Ugx 1,500,000, provide water harvesting tanks to control water runoff at Ugx 1,000,000.	4
	Maximum score is 12	score 4 or 0		
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;	The LG availed evidence of the costed ESMPs for the partial fencing of the district headquarters phase-1 and routine maintenance mechanised of Kiroba-Nunyiro road and bridging of Luswampa (Bukkade swamp) 8.5km for example; for example, traffic management to avoid possible accidents at Ugx 1,500,000, provide water harvesting tanks to control water runoff at Ugx 1,000,000.	4
	Maximum score is 12	score 4 or 0		

**Financial management and reporting**

5	<p>Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.</p> <p>Maximum score is 10</p>	<p>If a LG has a clean audit opinion, score 10;</p> <p>If a LG has a qualified audit opinion, score 5</p> <p>If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0</p>	<p>The LG obtained Unqualified audit opinion from the OAG on its operations for the FY 2022/2023.</p>	<b>10</b>
6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p> <p>maximum score is 10</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p> <p>score 10 or else 0.</p>	<p>The District provided information to the PS/ST on the status of implementation of Auditor General's findings for FY 2021/2022 through letter Ref. CR/115/1 dated 6/3/2023 and received on 7/3/2023. For the Internal Auditor General's findings, the information was through letter Ref. CR/115/1 dated 25th/11/2022 and received on 5/12/2022.</p> <p>Information on the Auditor General's findings was before the end of February as required by the provision in PFMA 112g.</p>	<b>10</b>
7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p> <p>Maximum Score 4</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p> <p>score 4 or else 0.</p>	<p>The District submitted an annual performance contract for FY 2023/2024 to MoFPED through a letter Ref. CR/103/2 on 13/7/2023, a date before August 31st. For this matter the DLG was compliant.</p>	<b>4</b>
8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The Annual Performance Report for FY 2023/2024 was submitted via PBS to MoFPED on 13th/7/2023. For this reason, the DLG was compliant.</p>	<b>4</b>

Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year

Maximum score is 4

If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,

score 4 or else 0.

The four quarterly Budget Performance Reports were submitted to MoFPED through PBS as follows: 1st Qrt report submitted on 12th/9/2022, 2nd Qrt report on 12th/9/2022, 3rd Qrt on 1st/9/2022 and 4th Qrt report on 1st/9/2023. (The team was informed that for the earlier reports, the system jammed in printing the dates). However, in general all the quarterly reports were not in by August 31st as required, thus noncompliance.

**Education Minimum  
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	<p>New Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.</p> <p><i>The Maximum Score of 70</i></p>	<p>a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0</p>	<p>The LG had a substantively appointed District Education Officer.</p> <p>The incumbent Mr. Kamyuka Francis was appointed on 30th June, 2020 as was directed by DSC MIN. 06/LK/DSC/5/6/2020.</p>	<b>30</b>
1	<p>New Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.</p> <p><i>The Maximum Score of 70</i></p>	<p>b) All District/Municipal Inspector of Schools, score 40 or else 0.</p>	<p>The LG Education Inspectorate was substantively staffed;</p> <ul style="list-style-type: none"> <li>● Ms. Nabwire Jane the Senior Inspector of Schools (DIS) was appointed on 30th June, 2020 as was directed by DSC. MIN. 06/LK/DSC/5/6/2020.</li> <li>● Mr. Mabuzi Moses Galandi the Inspector of Schools was appointed on 14th July, 2020 as was directed by DSC MIN. 04/LK/DSC/6/7/2020 (i).</li> <li>● Kamyuka Francis the Inspector of Schools was appointed on 30th June, 2020 as was directed by DSC MIN. 06/LK/DSC/5/6/2020.</li> </ul>	<b>40</b>
<b>Environment and Social Requirements</b>				
2	<p>Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>The Maximum score is 30</p>	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>Screening forms for the construction of 2 classrooms block at;</p> <ol style="list-style-type: none"> <li>1. Ikumbya catholic primary school in Ikumbya sub-county</li> <li>2. Bugonza primary school in Ikumbya sub-county</li> <li>3. Ikumbya primary school in Ikumbya sub-county</li> <li>4. Mawundo primary school in Bulanga Town Council were prepared by the Senior Environment Officer and DCDO on 28th/11/2022.</li> </ol> <p>Screening for the construction of infrastructure for the establishment of Nawampiti SEED school in Nawampiti sub-county prepared by the Senior Environment Officer and DCDO on 28th/11/2022.</p>	<b>15</b>

2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:  
b. Social Impact Assessments (ESIAs) , score 15 or else 0.

All the Education projects in the LG did not require ESIAs, this was in reference to the National Environment Act 2019 schedule 4, part 2 section (4) sub-section (d) which were small projects that required ESMPs after screening and had minimal impacts.

The Maximum score is 30

The anticipated impacts and Mitigation measures for the education projects were identified in the ESMPs

**Health Minimum  
Conditions**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Human Resource Management and Development</b>				
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.  <i>Applicable to Districts only.</i>  <i>Maximum score is 70</i>	a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.	The District Health Officer, (Dr. Wandira Christopher) was substantively appointed on 24/5/2019 as was directed by the DSC Min.No.15/LK/DSC /5/2019(1).	<b>10</b>
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.  <i>Applicable to Districts only.</i>  <i>Maximum score is 70</i>	b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0	The post of Assistant District Health Officer Maternal, Child Health and Nursing was not substantively filled and there was no evidence of a seconded staff from the central government.  However, Babirye Josephine, a substantively appointed Senior Nursing Officer on 14/7/2020 under Min. 04/LK/DSC/6/7/20202 was found to be acting Capacity for that particular position with effect from 7/4/2022 under Min.No.04/LK/DSC /3/2020(1).	<b>0</b>
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.  <i>Applicable to Districts only.</i>  <i>Maximum score is 70</i>	c. Assistant District Health Officer Environmental Health, score 10 or else 0.	The Assistant District Health Officer Environmental Health, (Nangobi Hidaya) was substantively appointed on 9/7/2020 as was directed by the DSC Min.No.04/LK/DSC /3//7/2020.	<b>10</b>
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.  <i>Applicable to Districts only.</i>  <i>Maximum score is 70</i>	d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.	The position of Principal Health Inspector was vacant at the time of assessment and there was no evidence of a formally seconded staff from the central government to fill the vacancy.	<b>0</b>
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.  <i>Applicable to Districts only.</i>  <i>Maximum score is 70</i>	e. Senior Health Educator, score 10 or else 0.	The Senior Health Educator, (Mugabe Moses) was substantively appointed on 29/9/2020 as was directed by the DSC Min.No.05/LK/DSC /9/2020.	<b>10</b>

- 1 New\_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. f. Biostatistician, score 10 or 0. The Biostatistician, (Kaweesi Henry) was substantively appointed on 21/5/2015 as was directed by the DSC Min.No.4/LK/DSC /6/2015-(ii)(2).

*Applicable to Districts only.*

*Maximum score is 70*

- 1 New\_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. g. District Cold Chain Technician, score 10 or else 0. The position of District Cold Chain Technician was vacant at the time of assessment and there was no evidence of a formally seconded staff from the central government to fill the vacancy..

*Applicable to Districts only.*

*Maximum score is 70*

- 1 New\_Evidence that the Municipality has substantively recruited or the seconded staff is in place for all critical positions. h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.

*Applicable to MCs only.*

*Maximum score is 70*

- 1 New\_Evidence that the Municipality has substantively recruited or the seconded staff is in place for all critical positions. i. Principal Health Inspector, score 20 or else 0.

*Applicable to MCs only.*

*Maximum score is 70*

- 1 New\_Evidence that the Municipality has substantively recruited or the seconded staff is in place for all critical positions. j. Health Educator, score 20 or else 0

*Applicable to MCs only.*

*Maximum score is 70*

## **Environment and Social Requirements**

2

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

Environmental, Social and Climate Change Screening form for the construction of the maternity block at Ikonja HCIII in Nawampiti sub-county was carried out as per the screening from dated 19th/10/2022 this was prepared by the Senior Environment Officer and the DCDO.

Environmental, Social and Climate Change Screening form for the construction of the twin staff house at Bukendi HCIII in Bulongo sub-county was carried out as per the screening from dated 19th/10/2022 this was prepared by the Senior Environment Officer and the DCDO.

Environmental, Social and Climate Change Screening form for the construction of infrastructure for the upgrade of Bulalu HCII to HCIII in Bukooma sub-county was carried out as per the screening from dated 19th/10/2022 this was prepared by the Senior Environment Officer and the DCDO on 11th/10/2022.

Environmental, Social and Climate Change Screening form for the construction of 4-stances of pit latrine at Nantamli HCII in Ikumbya sub-county was carried out as per the screening from dated 19th/10/2022 this was prepared by the Senior Environment Officer and the DCDO on 11th/10/2022.

Environmental, Social and Climate Change Screening form for the fencing of Kiyunga HCIV in Luuka town council was carried out as per the screening from dated 9th/05/2022 this was prepared by the Senior Environment Officer and the DCDO.

2

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

There was no requirement for Environment and Social Impact Assessments (ESIAs) for the health projects, this was in reference to the National environment Act 2019 schedule 4, part 2 section (4) sub-section (e) of which categorised them as small projects that required ESMPs after screening and had minimal impacts.

The environmental and social measures were identified and mitigated in the ESMPs.

15

**Micro-scale Irrigation  
Minimum Conditions**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Human Resource Management and Development</b>				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation  <i>Maximum score is 70</i>	If the LG has recruited;  a. the Senior Agriculture Engineer  <i>score 70 or else 0.</i>	The position of Senior Agriculture Engineer was substantively filled by Kisadha Alex appointed on 10/4/2017 as was directed by DSC Min. 23/LK/DSC/03/17(viii).	<b>70</b>

**Environment and Social Requirements**

2

New\_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.

*Maximum score is 30*

If the LG:

Carried out Environmental, Social and Climate Change screening score 30 or else 0.

The LG carried out Environmental, Social and Climate Change screening for all the Micro-scale irrigation for different farm sites implemented in the FY2022/23.

Screening form for the installation of micro-scale irrigation equipment at;

1. Dhalaga Johnny's farm in Busiro -Navunano village, Waibuga sub-county
2. Ingensiko George's farm in Naigobya "A" village, Bukooma sub-county
3. Wasede Jacob's farm in Nawaka "B" village, Ikumbya sub-county
4. Nambi Fatumah's farm in Nawaka-Bugonza village, Ikumbya sub-county
5. Asiiimwe Joanita's farm in Nawaka-Bugonza village, Ikumbya sub-county
6. Kanyali Fredrick's farm in Budhabangula village, Bulongo sub-county
7. Kisami Benard's farm in Kitwe Kyabongo ward, Luuka Town council
8. Nagobi Daphine's farm in Busaala village, Namalema sub-county
9. Baleterwa Grace's farm in Nawaka village, Ikumbya sub-county
10. Kitawo Simon Peter's farm Nakabuku village, Bulongo sub-county

The were prepared by the DCDO and the Senior Environment Officer on 30th/08/2022 and a costed ESMP of UGX. 2,000,000 for installation of 10 micro-scale irrigation equipment at different farmers site.

There was no requirement for Environment and Social Impact Assessments (ESIAs) for the micro-scale irrigation projects this was in reference to the National Environment Act 2019 schedule 4, part 2 section (4) sub-section(5c) which were small projects that require screening and have minimal impacts ESMPs were prepared for all the MSI projects

**Water & Environment Minimum  
Conditions**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Human Resource Management and Development</b>				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	The Civil Engineer (Water), (Yahaya Lukwitira) was substantively appointed on 31/01/2012 as was directed by DSC Min.No. KLR/DSC /026/2012(ii).	<b>15</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	The position of Assistant Water Officer for mobilization was filled on secondment by the CAO.  Kigenyi Asifu, a substantive CDO appointed on 14/7/2020 under Min. No.LK/DSC /6/7/2020(1) was assigned additional duties of Assistant Water Officer for mobilization with effect from 21/6/2018.	<b>10</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	The position of Borehole Maintenance Technician was vacant at the time of assessment and and there was no evidence of a formally seconded staff from the central government to fill up the vacancy.	<b>0</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	The position of Natural Resources Officer was not provided for as per the staff establishment structure dated 2/2/2017.  The post of District Natural Resources Officer was not substantively filled and there was no proof of secondment of staff from the central government at the time of assessment.	<b>0</b>

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.</p> <p><i>Maximum score is 70</i></p>	<p>e. 1 Environment Officer, score 10 or else 0.</p>	<p>The position of Environment Officer was vacant at the time of assessment and there was no evidence of a seconded staff from the central government to fill the vacancy.</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.</p> <p><i>Maximum score is 70</i></p>	<p>f. Forestry Officer, score 10 or else 0.</p>	<p>The position of Forestry Officer was vacant at the time of assessment and there was no evidence of a formally seconded staff from the central government to fill the vacancy.</p>	0

**Environment and Social Requirements**

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

If the LG:

a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.

Environmental, Social and Climate Change Screening form for the drilling, casting and installation of deep well boreholes at;

1.Nabamba village in Waibuga sub-county

2.Bugabula-Bugala village in Bulongo sub-county

3.Inuula village in Ikumbya sub-county

4.Kisiga-Buvundu village in Bukooma sub-county

5.Budondo village in Bukanga sub-county

6.Bubugo village in Irongo sub-county

7.Kakenya village in Bukanga sub-county

8.Wandha village in Irongo sub-county

9.Buyima-Bureile village in Ikumbya sub-county

Were prepared by the DCDO and Environment Officer on 19th/07/2022, 21st/07/2022 and 27th/07/2022.

Costed ESMPs of UGX. 700,000 for each borehole site impacts and mitigation measures identified such as; vegetation clearance, solid waste generation, crop destruction and soil and noise pollution. replant trees to replace cut trees and regrass site, dig up deep and large soak pits

prepared by the DCDO and Senior Environment Officer on 11th/07/2022 and 11th/07/2022.

2	Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.	The water projects at the LG did not require Environment and Social Impact Assessments (ESIAs) this was in reference to the National Environment Act 2019 schedule 4, part 2 section (3a) which were categorised as small projects that required formulation of ESMP after screening and had minimal impacts.  The Impacts were identified and mitigation measures were suggested in the ESMPs.	10
2	Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.	There was evidence of drilling permit since the projects required the contractor to have it as shown below;  M/s. Dharani Boreholes Ltd was contracted to drill and install 9 deep well boreholes and 2 production wells  A drilling permit of number KAM 61/DP-00003/2022/RR was issued to M/s. Dharani Boreholes Ltd on 27th/06/2022 by the Director of Water Development Eng. Joseph Oriono Eyatu.  The permit was valid from 1st/7/2022 to 30th/06/2023.	10