



LGMSD 2022/23

Lira city

(Vote Code: 858)

Assessment	Scores
Crosscutting Minimum Conditions	71%
Education Minimum Conditions	100%
Health Minimum Conditions	60%
Water & Environment Minimum Conditions	0%
Micro-scale Irrigation Minimum Conditions	0%
Crosscutting Performance Measures	64%
Educational Performance Measures	84%
Health Performance Measures	57%
Water & Environment Performance Measures	0%
Micro-scale Irrigation Performance Measures	0%

**Crosscutting
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): • If so: Score 4 or else 0 	<p>The evidence provided indicated the following infrastructure project implemented using USMID funding was functional and utilized as per the purpose. It should be noted, though, that this infrastructure project was initiated in FY 2021/2022 and competed in in the following FY.</p> <p>Rehabilitation works of 3.026km at a cost of Ushs 8,588,852,385 (ABPR page 87) was done on the following roads below;</p> <p style="padding-left: 40px;">Boundary Roa road (0.293km),</p> <p style="padding-left: 40px;">Obanga kene Road (0.844km),</p> <p style="padding-left: 40px;">Aber Road (0.44km) and</p> <p style="padding-left: 40px;">Olwol Road (1.429km)</p> <p>For elimination of doubt, there were no new infrastructure USMID projects initiated in FY 2022/2023.</p>	4
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> • By more than 5%, score 3 • 1 to 5% increase, score 2 • If no increase, score 0 <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>The average score for the LLG performance assessment for Year 2022 was 95% and for Year 2023 it was 94%. Therefore, the average score decreased by 1%</p>	0

2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> • If 100% the projects were completed : Score 3 • If 80-99%: Score 2 • If below 80%: 0 	<p>There was evidence that the USMID funded investment projects initiated in the previous FY but one, was completed in FY 2022/2023. The City Council had planned to implement the construction works of 7.2km which were 100% completed by end of the FY 2022/2023 as per ABPR as indicated below;</p> <ul style="list-style-type: none"> • Construction works of 3.026km at a cost of Ushs 8,588,852,385 (ABPR page 87) on the roads indicated below; <p>Boundary Roa road (0.293km), Obangakene Road (0.844km), Aber Road (0.44km) and Olwol Road (1.429km).</p>	3
3	<p>Investment Performance</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:</p> <p>Score 2 or else score 0.</p>	<p>There was evidence that the City Council budgeted for Ushs 8,588,852,385 and spent Ushs 8,588,852,385 of the USMID for the previous FY on eligible projects/activities as per the USMID grant, budget and implementation guideline as indicated below.</p> <ul style="list-style-type: none"> • Construction works of 3.026km at a cost of Ushs 8,588,852,385 (ABPR page 87) on the roads indicated below; <p>Boundary Roa road (0.293km), Obanga kene Road (0.844km), Aber Road (0.44km) and Olwol Road (1.429km).</p> <p>The City Council spend the entire budgeted USMID grant of Ushs 8,588,852,385 on eligible projects.</p>	2
3	<p>Investment Performance</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates,</p> <p>score 2 or else score 0</p>	<p>The variation in contract price was not applicable as DDEG projects planned for in FY 2022/2023 under Divisions were implemented under force account. Infrastructure project implemented under USMID were initiated in FY 2021/2022. The DDEG planned infrastructure projects included the following;</p> <ul style="list-style-type: none"> • Opening of 2 Km road of Olugu-Omule swamp under DDEG at a budget of UGX 10,000,000. • Opening of a 3 Km Road of Ogwang George – Ayok Samwiri – Bua Moses under DDEG at a budget of UGX 15,500,000. • Opening of a 2.5 Km Road of Obong Cipriano to Imat Ida under DDEG at a budget of UGX 13,000,000. 	2

Performance Reporting and Performance Improvement

Accuracy of reported information

Maximum 4 points on this Performance Measure

a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate,

score 2 or else score 0

There was evidence that information on the positions filled in LLGs as per the minimum standards was accurate. This was collaborated with the list received at HR Office and the staff attendance book at the sampled and visited Divisions of Lira West and Lira East, as follows;

At Lira West division;

1. Komackech Emmanuel - Ag. Town Clerk
2. Okello Sam-Ag. Deputy Town clerk
3. Otim Benedict-Principal Accountant
4. Okori Alfred Denis-Senior Revenue Officer
5. Ogwok Raymond-Senior Accountant
6. Ayeke Geoffrey-Accountant
7. George Isaac Amot- Senior Commercial Officer
8. Aceng Suzan Christine-Senior Community Development Officer
9. Agech Patrick- Community Development Officer
10. Haritot Christ-Senior Health Inspector
11. Dr. Okello Peter Ogwal- Senior Veterinary Officer
12. Omika Moses-Agriculture Officer

At Lira East division

1. Kasadha John Stephen-Town clerk
2. Angole Jimmy-Deputy Town clerk
3. Rebecca Acen- Senior Assistant Town clerk
4. Olung Moses-Ag. Principal Treasurer
5. Labogo Doreen-Revenue Officer
6. Alele Innocent Darias- Assistant Law enforcement Officer
7. Ajwang Evelyne- Agriculture Officer
8. Odongo Emmanuel-Medical Officer
9. Omedi Gilbert-Community Development Officer

4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> • If 100 % in place: Score 2, else score 0. <p>Note: if there are no reports produced to review: Score 0</p>	<p>There was evidence that infrastructure constructed using the USMID were in place as per reports produced by the City Council.</p> <ul style="list-style-type: none"> • Construction works of 3.026km at a cost of Ushs 8,588,852,385 (ABPR page 87) on the roads indicated below; <p>Boundary Roa road (0.293km), Obanga kene Road (0.844km), Aber Road (0.44km) and Olwol Road (1.429km). The above projects were 80% completed and in place as was reported the ABPR.</p> <p>It should be noted that these are projects initiated in FY 2021/2022 and completed in FY 2022/2023.</p>	2
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> <p>score 4 or else 0</p> <p>NB: The Source is the OPAMS Data Generated by OPM.</p>	<p>The information from the National IVA Team for LLGs performance assessment revealed that the City Council did credible assessment as the results of the assessment of LLGs were verified and compared with the National Local Government Performance Assessment Exercise and no difference was observed.</p>	4
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	<p>There was no evidence to show that the City Council developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY.</p>	0
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence that Lira City Council implemented PIPs for the 30% lowest performing LLGs in the previous FY</p>	0

Human Resource Management and Development

6	<p>Budgeting for and actual recruitment and deployment of staff</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.</p> <p>Score 2 or else score 0</p>	<p>There was no evidence provided to ascertain that Lira City Council submitted the consolidated staffing requirements for the FY 2024/2025 inclusive of all budget estimates to Ministry of Public Services and a copy to the respective MDAs and MOFPED as required.</p>	0
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p> <p>Score 2 or else score 0</p>	<p>There was no evidence that Lira City Council conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI) at the time of assessment.</p>	0
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p>	<p>A review of Heads of Departments personnel files obtained from the Principal Human Resources Office indicated that 6 out of the 9 HoDs had been appraised for FY 2022/2023 as follows;</p> <ol style="list-style-type: none"> 1. Abura Jasper the City Education Officer was appraised by Thibihika Theophilus the Town Clerk on 30th June 2023 2. Dr. Otucu Benard the City Health Officer was appraised by Thibihika Theophilus the Town Clerk on 30th June 2023 3. Awio Patrick Acting City Chief Finance Officer was appraised by Thibihika Theophilus the Town Clerk on 30th June 2023 4. Owing Freddie acting Ag. City Engineer was appraised by Thibihika Theophilus the Town Clerk on 30th June 2023 5. Okello Odyek Richard the City Commercial Officer was appraised by Thibihika Theophilus the Town Clerk on 30th June 2023 6. Dr. Okello Nelson Acting City Production Officer was appraised by Lillian Grace Apio the Deputy town clerk on 30th June 2023 <p>However, there was no evidence provided at the time of assessment that the City Community Development Officer and the City Physical Planner were appraised.</p>	0
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to "a" above) has also implemented administrative rewards and sanctions on time</p>	<p>Lira City Council had a functional Rewards and Sanctions Committee in place. It constituted of 6 members who were appointed by the Town Clerk through ref. letter CR/214/15 dated 15th May 2022.</p>	1

Measure

as provided for in the guidelines:

Score 1 or else 0

The members included the following;

1. Acen Rebecca-Chairperson
2. Abura Jasper-Member
3. Otim Benedict-Member
4. Okello Hillary-Member
5. Okello Robert Ayo-Member
6. Apio Damalie-Secretary

The Sanctions and Reward Committee was functional by way of regular meetings, for example, meeting on 17th August 2022. The members who attended the meeting were;

1. Acen Rebecca-Chairperson
2. Okello Robert Ayo-Member
3. Otim Benard-Member
4. Okello Hillary-Member
5. Damalie Apio-Secretary

Absent with Apology

1. Abura Jasper-Member

Agenda

1. Prayer
2. Communication from the chairperson
3. Presentation of the case
4. Hearing from the accused
5. Discussion and way forward
6. adjournment

The meeting started with an opening prayer led by Hilary Okello a member of the committee. In her communication, the Chairperson thanked members for their continued commitment and support towards the committee meetings.

The Secretary presented the case of alleged theft from Akello Florence the Cleaner attached to the Council Hall. The secretary reported that on several occasions she received complaints of lost items in the finance department to which Florence was attached. Of recent, she was caught in possession of a client's phone. In her, response, she accepted the case of theft and asked for forgiveness from the committee.

Recommendation of the rewards and sanctions committee

Since theft is one of the commonest

misconduct in public service, it shouldn't be tolerated at any time since it jeopardises the security of staff properties at the workplace. The committee agreed to terminate her services with immediate effect and ensure that she is paid her two months' salary.

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>There was no evidence provided at the time of assessment that Lira City Council had established a Consultative Committee for handling staff grievances.</p>	0
8	<p>Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:</p> <p>Score 1.</p>	<p>During FY 2022/2023, Lira City Council did not recruit any new staff but made internal promotions of 53 staff under ref. CR/214/1 dated 21st of November, 2022 in 10 departments for instance; Administration; Finance, Community Based Services, Natural Resources; Works; Education, Trade and Industry, Health and Production. The assessor sampled out 10 staff promoted as follows;</p> <ol style="list-style-type: none"> 1. Mr. Komackech Emmanuel under Administration department was promoted to Principal Assistant Town Clerk in November 2022 and accessed salary payroll in January 2. Mr. Otim Benedict under Finance department was promoted to Principal Treasurer in November 2022 and accessed new payroll in January 3. Ms. Nafulah Aminah under Finance department was promoted to Senior Accountant in November 2022 and accessed new payroll in January 2023 4. Mr. Okello Tom Richard under Community based services department was promoted to City Community Development Officer in November 2022 and accessed new payroll in January 2023 5. Mr. Otika Leonard under Natural Resources department was promoted to Senior Natural Resources Officer in November 2022 6. Mr. Owiny Freddie under Works department was promoted to Senior Engineer in November 2022 and accessed new payroll in January 2023 	1

9	Pension Payroll management Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: Score 1.	There was evidence that 100% of the staff that retired during the previous FY had accessed the pension payroll not later two months after retirement. For instance; 1. Alex Cyprian Lege Education Officer retired on 21st July 2022 and accessed payroll in the month September 2022 2. James Opio a Pump Attendant retired on 5th May 2022 and accessed pension payroll in the month of July 2022 3. Eunice Akidi Senior Educationist retired on 23rd November, 2022 and accessed pension payroll in December 2022 4. Jimmy Olong Deputy Head teacher retired on 23rd September 2022 and accessed payroll in November 2022	1
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Management, Monitoring and Supervision of Services.

10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery Maximum 6 points on this Performance Measure	a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY: Score 2 or else score 0	The evidence from the release letters indicated that the transfers (DDEG) to Divisions were executed in accordance with the requirements of the budget in previous FY as per the releases below; Lira City West Division received Ushs 213,299,906 Lira City East Division received Ushs 208,911,824 The total transfers to all the LLGs in the City Council added up to Ushs 422,211,730 which was the Actual amount released by MoFPED for the FY 2022/2023. The above transfers were made in two instalments dated: Q2 - Ushs 140,737,243 was transferred on 13th October 2022. Q3 - Ushs 281,474,487 was transferred on 16th January 2023.	2
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10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).</p> <p>Score: 2 or else score 0</p>	<p>There was evidence that the City Council did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance with the requirements of the budget as per copy of the warrant availed to the PAT;</p> <p>Quarter 2 warrant was done on 11th October 2022 while approval was on 7th October 2022 which was 1 working day after the upload by MoFPED.</p> <p>Quarter 3 warrant was done on 12th January 2023 while approval was on 11th January 2023 which was 1 working day after the upload by MoFPED.</p> <p>The City Council was compliant with doing warrants in 5 working days after upload of warrants by the MoFPED.</p>	2
10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:</p> <p>Score 2 or else score 0</p>	<p>The evidence indicated that the invoicing and communicating of all DDEG transfers for the previous FY to LLGs was within 5 working days from the date of funds release in each quarter;</p> <p>Quarter 2 funds were uploaded on 18th October 2022 and the City Council invoicing and communicating to LLGs on 19th October 2022 which was 1 working days from the date of receipt of releases from MoFPED</p> <p>Quarter 3 funds were uploaded on 17th January 2023 and the City Council invoicing and communicating to LLGs on 19th January 2023 which was 2 working days from the date of receipt of releases from MoFPED</p>	2
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>There was no documentary evidence to prove that the City Council supervised or mentored all the Divisions at least once per quarter consistent with the guidelines</p>	0

11	Routine oversight and monitoring Maximum 4 points on this Performance Measure	b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up: Score 2 or else score 0	There were no minutes for the CTPC quarterly meetings to prove that the results/reports of support supervision and monitoring visits were discussed to make recommendations for corrective actions.	0
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Investment Management

12	Planning and budgeting for investments is conducted effectively Maximum 12 points on this Performance Measure	a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: Score 2 or else score 0 Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0	The LG maintained an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual 2007, which clearly indicated the details of all assets as evidenced from the IFMIS Records of the Asset register. For example; Land/ Buildings; Date, Category, cost, Department, Location, Plot No, description of use, value etc. Vehicles particulars like Category, cost/donation, location, Engine No. Chassis No. Type, Model, Year of acquisition, condition and responsible person, particulars of maintenance. Furniture: Date, Tag No, condition, user title/name, cost Computers; Category, cost, location, department date of purchase, Model, serial No. Types, condition	2
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12	Planning and budgeting for investments is conducted effectively Maximum 12 points on this Performance Measure	b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets: Score 1 or else 0	There was no evidence to show that the City Council used the Board of Survey Report of the previous FY 2021/2022 recommendations to make assets management decisions concerning procurement of new assets, maintenance of existing assets and disposal of asset.	0
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>There was no evidence concerning the composition of the City Physical Planning Committee and its functionality. The quarterly minutes of the committee were not availed to the PAT for review.</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d.For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>Desk appraisal reports for all USMID projects implemented during the previous FY was not applicable, as there were no new USMID projects initiated in that FY.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence to show that field appraisals for all USMID projects implemented during the previous FY were prepared.</p>	0

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>There was no evidence that City Council developed the project profiles for projects implemented in the in the current FY 2023/2024. Therefore, the CTPC did not discuss any investment projects for the current FY.</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>There were no DDEG/USMID initiated in the current FY as those that were still under implementation were rolled over from the previous FYs. Accordingly, there were no projects screened for the current FY 2023/2024.</p>	2
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>There was evidence that all infrastructure projects for FY 2023/2024 to be implemented using DDEG/USMID were incorporated in the LG approved PDP dated 20th October 2023.</p> <p>For instance;</p> <ul style="list-style-type: none"> • Construction of Pavement of Tipper Stage/NUMA Ground under USMID at a budget of UGX 500,000,000 indicated on page 2. • Renovation of toilet at Lira West Division Headquarters under DDEG at a budget of UGX 15,000,000 indicated on page 4. • Road opening and culvert installation at Ober – Apii Pee to Lira University under DDEG at a budget of UGX 23,341,450 indicated on page 5. 	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0</p>	<p>There was no evidence that all infrastructure projects to be implemented in FY 2023/2024 using DDEG/USMID were approved by the contracts committee. Noted, Contracts Committee appointments expired on 3rd November 2023. New names submitted for approval had not been approved by the time of assessment.</p>	0

13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:</p> <p>Score 1 or else 0</p>	<p>There was no appointment of PIT presented at the time of assessment</p>	0
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer:</p> <p>Score 1 or else score 0</p>	<p>All planned DDEG projects by Divisions were implemented under force on account. The infrastructure projects under USMID that were completed in FY 2022/2023 were initiated in FY 2022/2023.</p>	1

<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0</p>	<p>There was evidence that the relevant technical officers conducted supervision of projects for FY 2022/2023 prior to verification and certification of works.</p> <p>For instance;</p> <ul style="list-style-type: none"> • Construction of a Two Classroom Blocks with an Office at Barapwo P/S, a request for payment by M/S Olet Magezi was dated 27th February 2023. A progress report on construction works including E & S aspects by Okune Fred in which the percentage of work done was reported as 42% was presented. A project monitoring report for construction of two classrooms with an office at Barapwo P/S by all relevant Officers dated 1st May 2023 in which it was reported that the project had not impacted negatively on the environment was presented. Certificate 01 issued on 27th February 2023 was signed by City Environment Officer, City Community Development Officer, City Engineer and City Education Officer on 1st March 2023. Voucher no. 4701152 was effected on 31st March 2023. • For continuity with Construction of Ober HC IV double storey operation theatre & Maternity unit Phase III, a request for payment of UGX 327,052,165 by B.P Enterprises & Construction Co. Ltd was dated 5th June 2023. Payment certificate 01 was signed by City Environment Officer, City Engineer and City Medical Officer on 12th June 2023. Voucher 5875628 was effected on 15th June 2023. Though there was no evidence of supervision by all relevant Officers prior to verification of this voucher was presented at the time of assessment. • For construction of chain link with a gate house at Barapwo HC III, a request for payment by M/S Sturgeon Investments Company (U) Ltd was dated 26th May 2023. A progress report including Environment and Social Safeguards aspects by Civil Engineer Lira City (Okune Fred) in which the physical progress of work was reported as 100% was presented. Payment certificate 01 issued on 6th June 2023 was signed by City Engineer, City Environment Officer and Town Clerk on 8th June 2023. Voucher no. 5966886 was effected on 21st June 2023.
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Procurement, contract management/execution

Maximum 8 points on this Performance Measure

f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):

Score 1 or else score 0

There was evidence that the City Council verified works and initiated payments of contractors within specified time frames as per contract.

For example;

- For construction of a two classroom block with an Office at Barapwo P/S a request for payment by M/S Olet Magezi was dated 27th February 2023. Certificate 01 issued on 27th February 2023 was signed by City Environment Officer, City Community Development Officer, City Engineer and City Education Officer on 1st March 2023. Voucher no. 4701152 was effected on 31st March 2023.

- For continuity with construction of Ober HC IV double storey operation theatre & Maternity unit Phase III, a request for payment of UGX 327,052,165 by B.P Enterprises & Construction Co. Ltd was dated 5th June 2023. Payment certificate 01 was signed by City Environment Officer, City Engineer and City Medical Officer on 12th June 2023. Voucher 5875628 was effected on 15th June 2023.

- For construction of chain link with a gate house at Barapwo HC III, a request for payment by M/S Sturgeon Investments Company (U) Ltd was dated 26th May 2023. Payment certificate 01 issued on 6th June 2023 was signed by City Engineer, City Environment Officer and Town Clerk on 8th June 2023. Voucher no. 5966886 was effected on 21st June 2023.

Note, the USMID projects are not included because they were initiated in FY 2021/2022.

13

Procurement, contract management/execution
Maximum 8 points on this Performance Measure

g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law:

Score 1 or else 0

There was evidence that the LG had complete procurement files in place for each contract with all records as required by PPDA Law.

For instance;

- For Construction of a 2 Classroom block with an Office at Barapwo P/S, procurement ref; Lira858/WRKS/2022-2023/00001, the file had an evaluation report signed by the Evaluation Committee on 22nd December 2022 recommending award to M/S Olet Magezi Lira Hardware Co. Ltd at a contract price of UGX 98,304,119. The Contracts Committee approved the evaluation report in a meeting held on 29th December 2022 (minutes signed on 13th February 2023) under minute number CC5/6/12/2022 (2) and contract between the parties was signed on the 2nd February 2023.

- For Continuity with Construction of Ober HC IV double storey operation theatre & Maternity unit Phase III, procurement ref: Lira531/WRKS/21-22/00031, the file had an evaluation report signed by the Evaluation Committee on 22nd February 2023 recommending award to M/S B.P Enterprises & Construction Co. Ltd at a bid price of UGX 999,183,347. The Contracts Committee approved the evaluation report in a meeting held on 23rd January 2023 (minutes signed on 13th February 2023) under minute number .CC4/8/January/2023 and the contract between the parties was signed on the 27th March 2023.7th April 2023.

- For Opening of a 2 Km Road of Olugu-Omule Swamp, there was no procurement file presented because the project was implemented under force on account.

Note, the USMID projects are not included because they were initiated in FY 2021/2022.

Environment and Social Safeguards

Grievance redress mechanism operational.

Maximum 5 points on this performance measure

a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.

Score: 2 or else score 0

There was evidence that the LG designated a person to coordinate response to feedback and established a centralised Grievance Redress Committee.

There was appointment letter dated 2nd June 2021 to Mr. Okello Tom Richard the Principle Community Development Officer as a Chairperson and focal point person complaints handling committee. The main role was to handle complaints raised during USMID projects and any other projects. The letter was signed by Apio Ocari Lillian Grace the Town Clerk.

A letter of appointment dated 15th February 2022 to Mr. Etura Ambross the Physical Planner as a member complaints handling and good governance committee.

A letter of appointment dated 2nd June 2021 to Morris Chris Ogom the President CDF as a member complaints handling and good governance committee.

A letter of appointment dated 2nd June 2021 to Ms Acen Rebbeca the Assistant Town Clerk as a member of complaints handling and good governance committee.

A letter of appointment dated 27th September 2018 to Mr. Otika Leonard the Environment Officer as a member of complaints handling and good governance committee.

A letter of appointment dated 2nd June 2021 to Ms Abonyo Gloria the Surveyor as a member of complains handling and good governance committee.

All letters were signed by Apio Ocari Lillian Grace for the Town Clerk.

14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.</p>	<p>The LG had a log book titled "Lira Municipal Council, Grievance/Complaints Entry Book"</p> <p>It contained no. date, name and address of complainants, sector of complaint, defendant, mode of reporting, complaint, action taken and comment. The log book had complaints registered for instance:</p> <p>There was a complaint reported on 13th July 2022 by Eric Jasper of Teso Bar (0773717183), that there were culverts left that caused the injury to the child. The defendant was ATS, this was reported verbally. The culvert left on the compound freely moved and caused injury to the child who was playing. The assessment was done to ascertain the magnitude of the accident by the committee. The complaint was not resolved.</p>	2
		<p>If so: Score 2 or else 0</p>		
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.</p>	<p>There was no evidence that the City had publicized the grievance redress mechanism.</p>	0
		<p>If so: Score 1 or else 0</p>		
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0</p>	<p>At the time of the assessment the City Council did not provide any evidence to show that they provided and integrated the Environment, Social and Climate change interventions into the City Development Plan, AWP and budgets for the current FY.</p>	0
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management</p>	<p>The was no evidence proved that the City Council disseminated the DDEG/USMID guidelines to the LLGs.</p>	0
		<p>score 1 or else 0</p>		

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	(For investments financed from the DDEG other than health, education, water, and irrigation): c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary: score 3 or else score 0	The projects did not require BoQs since they were implemented under force on account. There were no USMID investments initiated for the FY 2022/2023.	3
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	d. Examples of projects with costing of the additional impact from climate change. Score 3 or else score 0	There were no projects with costings of additional impact from climate change.	3
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 1 or else score 0	Proof of land ownership for the road works was not required for the following projects; - Opening of 2 km road of Olungu Omule swamp. - Opening of 3 km road of Ogwang George – Ayok Samwiri-Bua Moses and - Opening of 2.5 km Road of Omito- St. Joseph Church Cross swamp to Ajuli Juma. The roads were passing through individual land. There were no USMID investments initiated for the FY 2022/2023.	1

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 1 or else score 0	There was no evidence that the District Environment Officer and the CDO had conducted support supervision and monitoring to ascertain compliance with ESMPs for all the DDEG projects. The following projects had no monitoring reports seen at the time of assessment; - Opening of 2 km road of Olungu Omule swamp. - Opening of 3 km road of Ogwang George – Ayok Samwiri-Bua Moses and - Opening of 2.5 km Road of Omito- St. Joseph Church Cross swamp to Ajuli Juma. There were no USMID investments initiated for the FY 2022/2023.	0
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15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0	There was no evidence that the E&S compliance certification forms were completed and signed by the CDO and Environment Officer. The following projects had no compliance certifications seen at the time of assessment; - Opening of 2 km road of Olungu Omule swamp. - Opening of 3 km road of Ogwang George – Ayok Samwiri-Bua Moses and - Opening of 2.5 km Road of Omito- St. Joseph Church Cross swamp to Ajuli Juma. There were no USMID investments initiated for the FY 2022/2023.	0
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Financial management

16	LG makes monthly Bank reconciliations Maximum 2 points on this Performance Measure	a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment: Score 2 or else score 0	There was evidence that the City Council made monthly bank reconciliations and were up to-date at the time of the assessment as per the printed copies of the reconciled bank accounts availed to PAT; Reconciliation Previous FY 2022/2023 A/c name: LIRA CITY COUNCIL General Fund A/c A/c No:2293368769 Bank Name: Kenya Commercial Bank – Lira Branch Reconciled up to 30th June 2023 with a closing Balance of Ushs 1,284,932 verified by the CFO and approved by the CAO on 28th August 2023 Reconciliation Current FY 2023/2024 A A/c name: LIRA CITY COUNCIL General Fund A/c A/c No:2293368769 Bank Name: Kenya Commercial Bank – Lira	2
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Branch

Reconciled up to 31st October 2023 with a closing Balance of Ushs 1,227,432 verified by the CFO and approved by the CAO on 8th November 2023

Reconciliation Previous FY 2022/2023

A/c name: LIRA CITY COUNCIL UWEP A/c

A/c No: 2293447928

Bank Name: KENYA COMMERCIAL Bank Ltd - Lira Branch

Reconciled up to 30th June 2023 with a closing Balance of Ushs 5,973,813 verified by the CFO and approved by the CAO on 28th August 2023

Reconciliation Current FY 2023/2024

A/c name: A/c name: LIRA CITY COUNCIL UWEP A/c

A/c No: 2293447928

Bank Name: KENYA COMMERCIAL Bank Ltd - Lira Branch

Reconciled up to 31st October 2023 with a closing Balance of Ushs 21,422,513 verified by the CFO and approved by the CAO on 8th November 2023

Reconciliation Previous FY 2022/2023

A/c name: LIRA CITY COUNCIL YLP A/c

A/c No: 04685150008

Bank Name: Bank of Africa Ltd - Lira Branch

Reconciled up to 30th June 2023 with a closing Balance of Ushs 12,087,837 verified by the CFO and approved by the CAO on 28th August 2023

Reconciliation Current FY 2023/2024

A/c name: LIRA CITY COUNCIL YLP A/c

A/c No: 04685150008

Bank Name: Bank of Africa Ltd - Lira Branch

Reconciled up to 31st October 2023 with a closing Balance of Ushs 12,099,612 verified by the CFO and approved by the CAO on 8th November 2023.

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the City Council produced all quarterly internal audit (IA) reports for the previous FY as shown below.</p> <p>1st quarter report was produced on 30th October 2022</p> <p>2nd quarter report was produced on 27th January 2023</p> <p>3rd quarter report was produced on 28th April 2023</p> <p>4th quarter report was produced on 28th July 2023</p> <p>From the observation the reports were timely produced to impact the improvement in financial management and reporting of the City as per the report production dates stated above,</p>	2
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>There was no evidence that Lira City Council did not provide information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY.</p>	0
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>From the stamped copies of the Internal Audit Reports, it was evident that the reports for the previous FY were submitted to City Accounting Officer.</p> <p>1st quarter report was submitted on 30th October 2022</p> <p>2nd quarter report was submitted on 27th January 2023.</p> <p>3rd quarter report submitted on 28th April 2023.</p> <p>4th quarter report submitted on 28th July 2023</p> <p>However, the City did not have an appointed CPAC in place to review the submitted reports. Still, in absence of CPAC, there was no evidence that Lira LGPAC discussed these reports.</p>	0

Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>The City Council planned revenue collection for the last FY was Ushs 3,100,000,000 and Actual Revenue collected was Ushs 2,533,911,099 which gave a variance of Ushs 566,088,901 (Final Draft A/cs 2022/2023-page 63)</p> $(2,533,911,099 / 3,100,000,000) \times 100\% = 82\%$ <p>The City Council only managed to correct 82% of its planned revenue, leaving a balance of -18% not collected. The budget unrealized was above -10%.</p> <p>The failure to realize the planned revenue was because of;</p> <ul style="list-style-type: none"> - Lack of the City Land Board and Division Area Land Committees led to the nontaxable revenue from land. - Lack of regulations for the management of the satellite markets in the City. - Non remittance of Local Service Tax from private Institutions. 	0
19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>The ratio of OSR for the City Council the previous FY as compared to that the previous FY but one as per Final Draft A/cs 2022/23 page 33 was;</p> <p>OSR 2021/2022 Final Accounts FY2021/2022 page 32)</p> <p>Total revenue = Ushs 2,181,228,232</p> <p>OSR 2022/2023 Final Draft Accounts FY2022/2023-page 33)</p> <p>Total revenue = Ushs 2,533,911,099</p> <p>Therefore, Revenue 2022/2023 less revenue 2021/2022</p> $\text{Ushs } 2,533,911,099 - \text{Ushs } 2,181,228,232$ $= \text{Ushs } 352,682,867$ $= 352,682,867 / 2,181,228,232 \times 100 = 16\%$ <p>Therefore, the OSR for FY 2022/2023 increased by 16%.</p> <p>The increase in the OSR for the FY 2022/2023 was due to; the introduction and close monitoring of the IRA system regulated the revenue collection gaps hence the increase.</p>	2

20	<p>Local revenue administration, allocation, and transparency</p> <p>Maximum 2 points on this performance measure.</p>	<p>a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0</p>	<p>Local Revenue collections subjected to sharing with Divisions was Ushs 2,224,220,357 (Final Draft Accounts FY 2022/2023 page 63), amount of local revenue the City Council remitted to Divisions was Ushs 1,112,110,179 which was which was 50% as per the guidelines.</p> <p>The schedules below were the remittances made;</p> <p>- Lira City West Division received Ushs 518,902,440</p> <p>- Lira City East Division received Ushs 593,207,739</p> <p>Therefore, the percentage remitted:</p> <p>Town Councils was $(1,112,110,179 / 2,224,220,357) \times 100 = 50\%$</p> <p>Therefore, the LG was compliant in remitting 50% of the Local Revenue to the City Divisions.</p>	2
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Transparency and Accountability

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0</p>	<p>There was evidence that the procurement plan and awarded contracts and all amounts for FY 2022/2023 were publicised on the City notice board.</p> <ul style="list-style-type: none"> • For construction of Level IV OPD at Ongica HC Phase I, the best evaluated bidder notice was from 2nd January 2023 – 13th January 2023 with best bidder as M/S AKOL Holdings Ltd at a bid price of UGX 229,520,537. • For construction of two classroom block with an Office at Barapwo P/S, the best evaluated bidder notice was 2nd January 2023 – 13th January 2023 with best evaluated bidder as M/S Olet Magezi Lira Hard Ware Co. Ltd at a bid price of UGX 98,304,119. • For Opening of a 2 Km Road of Olugu-Omule Swamp, work was implemented under force on account. No best evaluated bidder notice was presented at the time of assessment. 	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>There was evidence that the City performance assessment results and implications for the FY 2021/2022 were disseminated through TPC Meeting held on 3rd May 2023 under Min No; 09/LCC/TPC/May 2023 – Dissemination of USMID Assessment Results. The results were presented by the USMID Coordinator and displayed on the City Notice board and Division notice boards.</p>	2

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>There was no evidence to show that in the FY 2022/2023, the City Council conducted radio programs in various community dialogues. radio stations to provide feed-back on the status of activity implementation to the public.</p>	0
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>There was no evidence that the City Council displayed the tax rates on the notice board detailing the collection procedures and procedures for appeal to inform the taxpayers.</p>	0
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>No alleged fraud and corruption cases were raised by the IGG hence no report was prepared.</p>	1

**Educational
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>For 2022, total number of candidates who sat excluding Division X were 5,726</p> <p>Total passes in Division I, II & III = $1,403+2,691+753= 4,847$</p> <p>Percentage was $4,847/5,726 \times 100=84.6\%$</p> <p>For 2020, total number of candidates excluding Division X were 2,518</p> <p>Total passes in Division I, II & III = $662+1,025+295= 1,982$</p> <p>Percentage pass was $1,982/2,518 \times 100 = 78.1\%$</p> <p>Percentage change was $84.6\% - 78.1\% = 6.5\%$</p> <p>Hence percentage increase by 6.5%</p>	4
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>For 2022, total number of candidates who sat excluding Division X were 1,329</p> <p>Total passes in Division I, II & III = $161+424+356= 941$</p> <p>Percentage was $941/1,329 \times 100=70.8\%$</p> <p>For 2020, total number of candidates excluding Division X were 1,200</p> <p>Total passes in Division I, II & III = $163+299+245= 707$</p> <p>Percentage pass was $707/1,200 \times 100 = 58.9\%$</p> <p>Percentage change was $70.8\% - 58.9\% = 11.9\%$</p> <p>Hence percentage increased by 11.9%</p>	3

2	<p>N23_Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> • By more than 5%, score 2 • Between 1 and 5%, score 1 • No Improvement, score 0 <p>NB: If the previous average score was 95% and above, Score 2 for any increase.</p>	<p>The LLG performance results for Year 2022 was 50% and for Year 2023 was 100%, therefore there was performance increase of 50% in the Education sector of the LLGs.</p>	2
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>There was evidence that the education development grant of Ushs 174,374,994 had been used on eligible activities as defined in the sector guidelines, e.g.</p> <ul style="list-style-type: none"> - Construction of a 1 Block of 2-unit classrooms with an Office at Bar Apwo P/s at total cost of Ushs 98,304,119 (AWP page 23, ABPR page 43). - Construction of perimeter wall fence Adyel P/s at a total cost of Ushs 11,956, (AWP page 23, ABPR page 43). - Procurement of 150 pieces of 3-seater school desks to Primary schools in the Divisions at a total cost of Ushs 22,050,000 (AWP page 23, ABPR page 43). - Construction of a 4-stance and 5-stance drainable latrine at Akwiaworo and Anyomore P/s at a cost of Ushs 42,064,050 (AWP page 23, ABPR page 43). <p>The above were eligible expenses under the Education Grant as per page 14.</p>	2

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0

The verified certificates indicated that the City Education Officer, CDO and Environment Officer certified the works on Education construction projects implemented in the previous FY before the LG made payments to the contractor as per the certificates below;

- Certificate No. 1 issued on 27th February 2023 for Ushs 37,251,606; Contract No. LIRA 858/WRKS/2022-2023/00001 Project; Construction of a 1 Block of 2-unit classrooms at Bar Apwo P/s by M/s Olet Magezi Lira Hardware Ltd was certified on 1th March 2023 by City Education Officer; Environment Officer and CDO as per the guidelines; payments was done on 31st March 2023.

- Certificate No. 2 issued on 12th June 2023 for Ushs 21,601,222; Contract No. LIRA 858/WRKS/2021/2022/000001 Project; Construction of a 5-stance drainable latrine at Anyomore P/s by M/s Olet Magezi Lira Hardware Ltd was certified on 14th June 2023 by City Education Officer; Environment Officer and CDO as per the guidelines; payments was done on 21st June 2023.

- Certificate No. 1 issued on 12th June 2023 for Ushs 16,938,291; Contract No. LIRA 858/WRKS/2021/2022/000005 Project; Construction of a 5-stance drainable latrine at Akwiaworo P/s by M/s Olet Magezi Lira Hardware Ltd was certified on 14th June 2023 by City Education Officer; Environment Officer and CDO as per the guidelines; payments was done on 21st June 2023.

From the above sampled certificates, the LG was compliant with the guidelines.

3	Investment Performance: The LG has managed education projects as per guidelines	c) If the variations in the contract price are within +/-20% of the MoWT estimates score 2 or else score 0	The variation in the contract price for all sampled education projects for FY 2022/2023 was within +/-20% of the engineer's estimates as per evidence provided.	2
	Maximum 8 points on this performance measure		<p>For instance;</p> <ul style="list-style-type: none"> • For construction of a 4 stance drainable latrine at Akwia-woro P/S at a contract price of UGX 18,967,851 against the engineers estimates of UGX 20,000,000 giving the contract price variation +5.2%. • For Construction of a two Classroom Block with an Office at Barapwo P/S at a contract price of UGX 99,108,849 against the engineers estimates of UGX 106,929,375 giving the contract variation of +7.3%. • For construction of Water Harvesting Tank at Burlobo P/S, work was implemented under force on account. Contract price variation could not be calculated. 	
3	Investment Performance: The LG has managed education projects as per guidelines	d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY	There was no Seed Secondary School projects planned for in the approved updated PDP for FY 2022/2023 dated 30th May 2023.	2
	Maximum 8 points on this performance measure	<ul style="list-style-type: none"> • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0 		
4	Achievement of standards: The LG has met prescribed school staffing and infrastructure standards	a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines	<p>A review of the staffing structure for schools obtained from the Principal Human Resources Office indicated that Lira City Council had a Staff ceiling of 1079 primary teachers and those in post were 655 teachers in their respective primary schools.</p> <p>Therefore; $655/1079 \times 100 = 60.7\%$</p> <p>Lira City Council was below the minimum staffing and Infrastructure standards as set by MoES</p>	0
	Maximum 6 points on this performance measure	<ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 - 79% score: 1 • Below 70% score 0 		

4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> • If above 70% and above score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 	<p>The City Council had 44 UPE schools, and 6 USE Schools. According to the consolidated assets register Lira City for FY 2022/2023, all the 44 UPE schools met the basic requirements and all the 6 USE schools met the basic requirements.</p> <p>$44+6 = 50$</p> <p>$50/50 \times 100 = 100\%$</p>	3
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Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>Lira City accurately reported on teachers and where they were deployed. The deployment list was dated 15th February 2023. The staff lists provided by the City Education Officer were compared with the lists at the visited schools (Ober, Adyel, Ngetta Boys). The two lists were similar as verified from the staff lists posted at the head teachers' notice boards at the schools visited. For example;</p> <p>At Ober PS there were 22 teachers (14 M, 8 F) deployed</p> <p>Adyel PS had 26 teachers (18 M, 8 F) deployed</p> <p>Similarly, Ngetta Boys PS had 18 teachers (11 M, 7 F) deployed.</p>	2
5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>Lira City education department compiled an assets register that accurately reported on infrastructure in all registered schools. It was dated 15th February 2023. Three schools were sampled (Ober, Adyel and Ngetta Boys Primary Schools) and the information in the consolidated asset register was verified to be true.</p> <p>For example; Ober primary school had 12 classrooms, 22 latrine stances, 98 desks and 4 teachers' houses.</p> <p>Adyel primary school had 20 classrooms, 18 latrine stances, 460 desks and 17 teacher houses.</p> <p>Ngetta Boys had 11 classrooms, 15 latrine stances, 190 desks and 7 teacher houses.</p>	2

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p> <ul style="list-style-type: none"> • If 100% school submission to LG, score: 4 • Between 80 - 99% score: 2 • Below 80% score 0 	<p>Lira City did not fully comply with the annual budgeting and reporting guidelines. At the city level, only 6 schools submitted the annual budget for 2023 with the three accompanying reports before 30th January 2023.</p> <p>One of the three schools sampled had the annual budget but with a wrong date.</p> <p>For example, the budget for Adyel PS was dated 25th August 2023.</p> <p>At Ober PS the annual budget was dated 27th January 2023. At Ngetta Boys P/S the annual budget and the accompanying reports was dated 11th January 2023.</p> <p>Hence percentage of compliance was $\frac{2}{3} \times 100 = 66.7\%$</p>	0
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> • If 50% score: 4 • Between 30- 49% score: 2 • Below 30% score 0 	<p>Lira City Council supported schools to prepare and implement SIPs in line with inspection recommendations. For example, there was a training conducted for government and private school head teachers on the process of preparing school improvement plans on 5th October 2022. Fifty head teachers were trained. In the report, a planning template was attached.</p> <p>A visit to the sampled schools indicated that:</p> <p>Ober PS, Adyel PS and Ngetta Boys PS had the SIPs for 2023</p> <p>Percentage was $= \frac{3}{3} \times 100 = 100$</p>	4

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 – 99% score 2 • Below 90% score 0 	<p>Lira City Council collected and compiled OTIMS return forms for all registered schools from previous FY as follows;</p> <p>44 UPE schools with a total enrolment of 56,065 pupils, 6 USE school with a total enrolment of 7,372 students.</p> <p>The approved work plan and budget for Lira City FY 2023/24 had these schools reflected on pages (26-28)/54 in agreement with OTIMS</p> <p>To calculate level of compliance; 50/50x100=100%</p>	4
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Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>According to Lira City Annual work plan and Budget for FY 2023/2024, the City Council budgeted for a head teacher and a minimum of 7 teachers per school for the current FY year at UGX 6,367,191,000 as reflected on page 28/54.</p>	4
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7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p> <p>Score 3 else score: 0</p>	<p>Lira City had deployed teachers as per sector guidelines in the current FY. According to staff lists of the three sampled primary schools, teachers were deployed as follows:</p> <ol style="list-style-type: none"> 1. Ober PS had 21 teachers and a head teacher. Attendance register between 17th and 18th August 2023 confirmed these teachers to be on the ground as deployed. 2. Adyel PS had 25 teachers and a head teacher deployed. Attendance register between 18th and 19th September 2023 confirmed these teachers to be on the ground as deployed. 3. Ngetta Boys PS had 18 teachers and a head teacher deployed. The attendance book was not in use as the staff were reported to be using the TELA phone. But these teachers assigned different duties by the head teacher. 	3
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Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

c) If teacher deployment data has been disseminated or publicized on LG and or school notice board, score: 1 else, score: 0

Lists of deployment were displayed in head teachers' offices in the schools that were sampled and visited(Adyel PS, Ober PS and Ngetta Boys PS). At the City Council, the deployment list was displayed the notice board of the education department.

Maximum 8 points on this performance measure

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copt to DEO/MEO

Score: 2 or else, score: 0

Lira City Council had 44 Primary schools in the FY 2022/2023 under her jurisdiction. The assessor sampled out 10 appraisal files of Primary Head teachers who were appraised for analysis from the different schools in the two divisions of Lira West and East division as follows;

1. Eلول Veronica Olaka Head teacher of Childcare P/S was appraised by Angole Jimmy town clerk of Lira City West division on 30th December 2022
2. Akullo Jenipher Brenda Head teacher of Olaka Annex P/S was appraised by Angole Jimmy town clerk of Lira City West division on 30th December 2022
3. Omara George Head teacher of Railway P/S was appraised by Angole Jimmy town clerk of Lira City West division on 28th December 2022
4. Ogwang Hellen Head teacher of Aduku road P/S was appraised by Angole Jimmy town clerk of Lira City West division on 30th December 2022
5. Dila Godffrey Head teacher of Lira Police P/S was appraised by Angole Jimmy town clerk of Lira City West division on 30th December 2022
6. Olwa Moses Head teacher of Acwikot P/S was appraised by Komakech Emmanuel Town clerk of Lira East division on 30th December 2022
7. Aporo John Bosco Head teacher of Ojwina P/S was appraised by Angole Jimmy town clerk of Lira City West division on 30th December 2022
8. Opio Easter Head teacher of Owinya P/S was appraised by Komakech Emmanuel Town clerk of Lira East division on 28th December 2022
9. Akidi Secondina Head teacher of Akia P/S was appraised by Komakech Town clerk Lira East division on 30th December 2022.
10. Omonya Mathias Head teacher of Amuka P/S was appraised by Angole Jimmy Town clerk of Lira City West division on 30th December 2022

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM

Score: 2 or else, score: 0

Lira City Council had 6 Secondary schools in the FY 2022/2023 under review. The assessor reviewed all the 6 Head teacher appraisal files from all the schools in the two divisions of Lira West and East division as follows;

1. Okino Sammy Head teacher of Lango college was appraised by Apio Ocari Deputy Town clerk on 12th December 2022
2. Nangwara Rose Head teacher of St. Catherine S.S was appraised by Apio Ocari Grace Deputy Town clerk on 30th December 2022
3. Alengo Dick Head teacher of Dr. Obote College Boroboro was appraised by the Deputy Town clerk Apio Ocari Grace on 30th December 2022
4. Obua John Charles Head teacher of Comboni College was appraised by Deputy Town clerk on 30th December 2022
5. Acan Sofi Rose Head teacher of Lira Town college was appraised by Deputy Town clerk Acan Ocari Grace on 30th December 2022
6. Ocoo Isaac Head teacher of Lira S.S was appraised by Deputy Town clerk Acan Ocari Grace on 30th December 2022.

All the appraisals of secondary head teachers conducted met the required deadlines

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans score: 2. Else, score: 0</p>	<p>The Education department had 5 staff during the FY 2022/23. All the 5 staff were substantively appointed to their positions for instance the City Education Officer, Senior Inspector of schools, Senior Education Officer, Inspector of schools and the City Sports Officer. Their appraisal reports were analyzed as follows;</p> <ol style="list-style-type: none"> 1. Abura Jasper City Education Officer was appraised by Tibihika Town Clerk on 18th June 2023 2. Omara Tom Ronald Senior Inspector of schools was appraised by City Education Officer on 11th June 2023 3. Ogwang Oyang Andrew Senior Education Officer was appraised by Ocari Lillian Grace Deputy Town Clerk on 10th June 2023 4. Ogwok Samuel Inspector of schools was appraised by Ogwang Oyang Andrew Senior Education Officer on 30th June 2023 5. Ogole Daniel Fred City Sports Officer was appraised by Ogwang Oyang Andrew Senior Education Officer on 30th June 2023 	2
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level, score: 2 Else, score: 0</p>	<p>The City Council Education department had a training plan for FY 2022/2023, dated 4th July 2022. "Quarterly work plan for training staff in primary and secondary schools by City Education Officer, FY 2022/23" The department planned to train 88 senior men and women teachers on Environmental education in schools, 44 Head teachers from primary school and 6 Head teachers from secondary on financial management, Special needs teachers on sign language, SMC and PTA members on their roles and responsibilities, Primary seven teachers on question-and-answer approach on PLE then reading and writing. The plan was prepared by Abura Jasper the City Education Officer.</p>	2
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Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually. If 100% compliance, score:2 or else, score: 0</p>	<p>There was a letter dated 21st May 2022 to the Permanent Secretary, Ministry of Education and Sports on submission of Enrolment data for schools. The letter was prepared by Omara Levi the Town Clerk Lira City Council. There were 44 UPE schools with a total enrolment of 56,065 pupils, 6 USE schools with a total enrolment of 7,372 students submitted.</p> <p>44 UPE + 6 USE = 50 50/50x100 = 100%.</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p>	<p>The City Council Education department made allocation of UGX 22,765,000/- to inspection and monitoring as per the Lira City approved budget estimates of FY 2022/23, to cover support for school inspection and monitoring, page 29/45.</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score:2 else, score: 0</p>	<p>According to the Local Government quarterly performance report quarter 4 2022/2023, the department spent UGX 22,764,000 for inspection of schools.</p>	
			<p>All primary and secondary schools were inspected;</p>	
			<p>50/50x100 = 100%.</p>	
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p>	<p>There was evidence that the LG made timely submission of warrants for school's capitation grants for the last FY, in accordance with the requirements as per the dates from the IFMS.</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score: 2 else score: 0</p>	<p>Quarter 3 warrants for school's capitation grants were submitted on 13th January 2023 which was 1 day after approval from MoFPED on 11th January 2023.</p>	
			<p>Quarter 4 warrants for school's capitation grants were submitted on 25th April 2023 which was 4 working days after approval from MoFPED on 19th April 2023.</p>	
			<p>Quarter 1 (Current FY) warrants for school's capitation grants were submitted on 24th July 2023 which was 1 day after approval from MoFPED on 21st July 2023.</p>	
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p>	<p>There was no evidence that Lira City Council invoiced and communicated school capitation grant releases for FY 2022/2023 to schools within three working days of release from MoFPED per the verified transfer vouchers;</p>	0
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score: 2 else, score: 0</p>	<p>Quarter 3 was invoiced and communicated on 27th February 2023 which was more than 3 working days from the release of funds from MoFPED on 20th January 2023.</p>	
			<p>Quarter 4 was invoiced and communicated on 17th May 2023 which was which was 3 days from the release of funds from MoFPED on 12th May 2023</p>	
			<p>The invoicing for quarter three was delayed hence not being compliant.</p>	

Routine oversight and monitoring

Maximum 10 points on this performance measure

a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.

- If 100% compliance, score: 2, else score: 0

There was evidence that Lira City Council Education Department had prepared inspection plans for all the 3 terms.

School inspection work plan for term 3, 2022 dated 5th July 2022.

School inspection work plan for term 1, 2023 dated 6th June 2023.

And school inspection work plan for term 2 dated 23rd August 2023.

All prepared by Ogwok Samuel the Inspector of schools.

All the work plans were received by the Directorate of Education Standards.

School inspection planning meeting for term three dated 7th September 2022 and 7 people attended the meeting. From the meeting 5 people were apportioned schools for inspection. The meeting minutes were signed by both the Chairperson and the secretary.

Departmental meeting in preparation for term one school inspection dated 27th February 2023. The meeting was attended by 9 members and 7 members were apportioned schools for inspection. Minutes signed by the Chairperson and the Secretary.

Minutes of meeting to plan school's inspection for term 2 2023, held in Adyel office, dated 23rd June 2023. The meeting was attended by 10 members and 8 were deployed for inspection. Minutes were signed by Chairperson and the Secretary.

Routine oversight and monitoring

Maximum 10 points on this performance measure

b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:

- If 100% score: 2
- Between 80 - 99% score 1
- Below 80%: score 0

There was evidence presented to show that registered UPE schools were inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:

Report on school inspection for term 3 (Quarter one 2022/2023), dated 30th November 2022. The inspection was conducted from 7th November to 11th November 2022. The findings showed that all the 44 schools were inspected and monitored. There were 7 members who conducted the inspection and monitoring. They included; City Inspector of schools, Inspector of Schools, Education Officer Special Needs, Senior Education Officer and Associate Assessors. The report was signed by Wamburu Soita Emmanuel the Town Clerk

$$44/44 \times 100 = 100\%$$

School Inspection for term 1 (Quarter three 2022/2023), dated 26th April 2023. Inspections were conducted from 7th to 18th March 2023. The findings showed that all the 44 schools were inspected and monitored. There were 8 members who conducted the inspection and monitoring. They included; City Inspector of schools, Inspector of Schools, Education Officer Special Needs, Senior Education Officer and Associate Assessors. The report was signed by Apio Ocaro Lillian Grace the Town Clerk

$$44/44 \times 100 = 100\%$$

School Inspection for term 2 (Quarter four 2022/2023), dated 22nd August 2023. Inspections were conducted from 26th June 2023 to 14th July 2023. The findings showed that all the 44 schools were inspected and monitored. There were 10 members who conducted the inspection and monitoring. They included; City Inspector of schools, Inspector of Schools, Education Officer Special Needs, Senior Education Officer and Associate Assessors. The report was signed by Tibihika Theophilus the Town Clerk

$$44/44 \times 100 = 100\%$$

All the three terms inspection was conducted 100%.

Hence average percentage was $(100+100+100)/3 = 100\%$.

10

Routine oversight and monitoring

Maximum 10 points on this performance measure

c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,

Score: 2 or else, score: 0

There was evidence produced to indicate that inspection reports were discussed at departmental level.

A meeting to discuss school inspection findings for term three 2022 with the head teachers was held on 16th November 2022. The meeting was attended by 46 members and top on the agenda was the discussion of the school inspection findings and resolutions made like punishing absentees, maintaining hygiene, design of compounds etc. the minutes were signed by the Chairperson and the Secretary.

School inspection meeting to discuss term 2 inspection findings with head teachers held on 3rd August 2023. The meeting was attended by 46 members and the main agenda was the discussion of the school inspection findings and resolutions made included; replacing the retired and the dead teachers, punishing absentees, maintaining hygiene, closely monitor the teachers and pupils' attendance, design of compounds etc. the minutes were signed by the Chairperson and the Secretary.

Routine oversight and monitoring

Maximum 10 points on this performance measure

d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0

There was evidence produced to indicate that the City Inspector of Schools and City Education Officer presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES)

In a meeting held on 16th November 2022, head teachers were given inspection findings from term 3 of 2022. All the 44 head teachers attended.

Another dissemination meeting was held on 10th April 2023 to discuss inspection reports for term 1 of 2023

Another meeting was held on 3rd August 2023. All head teachers attended.

At the three schools sampled, inspection reports were left with the head teachers on the following dates: .

At Adyel PS reports were seen on 17th July 2023, 15th November 2022 and 17th February 2023.

At Ober PS reports were left on 26th October 2022, 10th March 2023 and 7th July 2023.

Similarly, inspection reports were seen at Ngetta Boys PS on 7th July 2023, 15th November 2022 and 27th March 2023.

The report for term 3 of 2022 was delivered to DES and received on 19th January 2023.

Term 1 and 2 of 2023 inspection reports were received by DES on 25th August 2023.

Routine oversight and monitoring

Maximum 10 points on this performance measure

e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0

There was evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LGPAC reports etc. during the previous FY as per the minutes below;

The minutes for the Council Committee meeting held on 27th July 2022 under Min 005/LCC/GPC/JUL.2022 Education department monitoring, and inspection reports were deliberated upon by the committee and the following recommendations to the issues were made;

- PTA Chairpersons should have a minimum of S4 to enable them to communicate to parents and follow up record of financial transactions in the schools.

- networking and resource mobilization for schools.

The minutes for the Council Committee meeting held on 31st August 2022 under Min 19b/LCC/8/2022 the monitoring and inspection reports and deliberated upon by the committee and the following recommendations to the issues were made;

- Installation of a water tank at Burlobo Rock view P/s for rainwater harvesting.

- Renovation of Education department, toilet at Adyel Division Office and purchase of furniture for CEO's Office.\

- Headteachers for schools that performed poorly in the PLE 2022 should appear before the rewards and sanctions committee.

The minutes for the Council Committee meeting held on 30th March 2023 under Min 014/LCC/03/2023 the monitoring and inspection reports and deliberated upon by the committee and the following recommendations to the issues were made;

- The City Council should plan for water sources e.g., boreholes for schools that do not access the National Water grid.

- The City Education Department should prioritize the supply of desks for schools that were affected by Covid 19 school closure.

11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,</p> <p><i>score: 2 or else score: 0</i></p>	<p style="text-align: right;">2</p> <p>Lira City Education department carried out mobilization activities to mobilize, attract and retain children at school.</p> <p>In a report to the City Clerk on 11th November 2022, the City education Officer indicated that three community engagements had taken place as follows;</p> <p>On 22nd August 2022 a meeting was held at Adwila Primary School. The parents agreed in this meeting on the school feeding program for all learners and boarders section and boarders section to be encouraged for all candidates.</p> <p>On 12th October 2022 an engagement meeting was held at Ojwina PS . Members agreed that, the school should be fenced, to recruit a school askari and remedial lessons for all learners.</p> <p>Also radio talk shows took place on the following dates:</p> <p>Unity FM on 10th November 2022.</p> <p>Radio Wa on 7th October 2022</p> <p>QFM on 16th October 2022.</p>
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Investment Management

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i></p>	<p style="text-align: right;">2</p> <p>There was evidence that Lira City had an up to-date assets register. The asset register for the FY 2022/2023, not dated was found to contain information similar to the one picked from the sampled schools. For example;</p> <p>Ober primary school had 12 classrooms, 5 blocks of latrines, 103 desks ad 4 teacher house.</p> <p>Adyel primary school had 20 classrooms, 18 stances latrines, 460 desks and teacher houses 17.</p> <p>Ngetta Boys had 11 classrooms, 15 stances latrines, 150 desks and 7 teacher houses.</p>
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12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i></p>	<p>There was no evidence that desk appraisals for the Education Development implemented projects in the previous FY 2022/2023 were conducted.</p>	0
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, <i>score 1 else score: 0</i></p>	<p>There was no evidence that field appraisals for the Education Development implemented projects in the previous FY 2022/2023 were conducted. for technical feasibility; ii) environmental and social acceptability; and (iii) customized designs.</p>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p>	<p>There was no Seed Secondary School projects planned for in the approved PDP for FY 2023/2024 dated 20th October 2023.</p>	1

13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i>	There was evidence that the school infrastructure projects in FY 2022/2023 were approved by the Contracts Committee. For instance; <ul style="list-style-type: none"> • Construction of a 4 stance drainable latrine at Akwia-woro P/S was approved by the Contracts Committee in a meeting held on 24th March 2023 (minutes signed on 11th April 2023 under minute number CC4/12/March/2023 (13) and awarded to M/S Olet Magezi Lira Hard Ware Co. Ltd at a contract price of UGX 18,967,851. • Construction of a two Classroom Block with an Office at Barapwo P/S was approved by the Contracts Committee on 29th December 2022 (minutes signed on 13th February 2023) under minute number CC5/6/12/2022 and awarded to M/S Olet Magezi Lira Hard Ware Co. Ltd at a contract price of UGX 98,304,119. • Construction of Water Harvesting Tank at Burlobo P/S, the project was implemented under force on account. No procurement file was presented. 	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i>	There was no evidence presented showing that the City established a PIT for school construction projects constructed within the last FY as per guideline.	0
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES <i>Score: 1, else, score: 0</i>	There was no Seed Secondary School projects planned for in the approved PDP for FY 2023/2024 dated 20th October 2023.	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i>	There was no Seed Secondary School projects planned for in the approved procurement plan for FY 2022/2023 dated 30th May 2023.	1

Procurement, contract management/execution

Maximum 9 points on this performance measure

f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted *score: 1, else score: 0*

There was evidence of monthly joint technical supervision at critical stages of the planned sector infrastructure projects at least monthly by the relevant officers.

For instance;

- For construction of 4 stance drainable latrine at Akwia-woro P/S, a progress report on construction of 5 stance drainable toilet dated 12th June 2022 by Okune Fred in which progress completion was reported as 100% was presented. No evidence of supervision by environment Officer and City Environment Officer was presented at the time of assessment.

- For Construction of a Two Classroom Blocks with an Office at Barapwo P/S, a progress report on construction works including E & S aspects by Okune Fred in which the percentage of work done was reported as 42% was presented. another progress report by Okune Fred dated 10th May 2023 in which percentage of work done was reported as 79% was presented.

- Construction of Water Harvesting Tank at Burlobo P/S, the project was implemented under force on account. No procurement file was presented.

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>The sector infrastructure projects were properly executed and payments to contractors made within the specified timeframes and contract terms. For example;</p> <ul style="list-style-type: none"> • Voucher no. 5859376 dated 15th June 2023 for Supply of 150 pieces of 3-seater school desks to Primary schools in the City at Ushs 22,500,000 by M/s Elisado Investments Ltd. The invoice was raised on 7th June 2023 and payment process was initiated on 7th June 2023 and paid on 15th June 2023 which was within 30 days of processing the payment as per the contract terms. • Voucher no. 4701152 dated 31st March 2023 for Construction a block of 2 classrooms with n office at Parapwo P/S at Ushs 98,304,11 by M/s Olet Magezi Lira Hardware Co. Ltd ; Invoice was raised 27th February 2023 and payment process was initiated on 1st March 2023 and paid on 31st March 2023 which was above the recommended 30 days of processing the payment as per the contract terms. • Voucher no. 5884504 dated 15th June 2023 for Supply of 75 pieces of 3-seater school desks to Primary schools in the City at Ushs 11,175,000 by M/s Jakana Investments Ltd. The invoice was raised on 6th June 2023 and payment process was initiated on 6th June 2023 and paid on 15th June 2023. 	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i></p>	<p>The City Council Education department submitted its procurement plan for FY 2022/2023 to PDU on the 31st October 2022, which was far beyond the recommended timeline of 30th April.</p> <p>Projects included;</p> <ul style="list-style-type: none"> • Construction of two classrooms with an Office at Barapwo P/S at a budget of UGX 108,000,000. • Construction of 4 stance drainable latrine at Akwiaworo P/S at a budget of UGX 20,000,000. • Construction of water harvest tanks at Burlobo P/S at a budget of UGX 5,000,000. 	0

13

Procurement, contract management/execution

Maximum 9 points on this performance measure

i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law score 1 or else score 0

There was evidence that the City Council had complete procurement files for each school infrastructure contract with all records required by the PPDA law.

For example;

- For Construction of two classrooms with an Office at Barapwo P/S; procurement ref: Lira858/WRKS/2022-2023/00001, the file had an evaluation report signed by the Evaluation Committee on 22nd December 2022 recommending the award to M/S Olet Magezi Lira Hardware Co. Ltd at a bid price of UGX 98,304,119. The Contracts Committee approved the evaluation report in a meeting held on 29th December 2022 (minutes signed on 13th February 2023) under minute number CC5/6/12/2022 and agreement between parties was signed on the 2nd February 2023.

- For Construction of 4 stances drainable latrine at Akwiaworo P/S, procurement ref: Lira858/WRKS/2022-2023/00004, the file had an evaluation report signed by the Evaluation Committee on 21st March 2023 recommending award to M/S Olet Magezi Lira Hardware Co. Ltd at a bid price of UGX 18,967,851. The Contracts Committee approved the evaluation report in a meeting held on 24th March 2023 (minutes signed on 11th April 2023) under minute number CC4/12/March/2023 and agreement between the parties was signed on the 28th April 2023.

- For Construction of water harvest tanks at Burlobo P/S, there was no procurement file because work was implemented under force on account.

Environment and Social Safeguards

14

Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.

Maximum 3 points on this performance measure

Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0

There were no grievances raised/recorded under Education sector in the log book.

3

15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>There was evidence that the City Environment Officer, Otika Leonard prepared environmental guidelines and disseminated them to the head teachers through the Town Clerk and the CEO on 8th August 2022.</p> <p>Copies of these guidelines were found at Adyel, Ober and Ngetta Boys Primary Schools.</p>	3
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i></p>	<p>There was evidence that the costed ESMPs were incorporated into the BoQs of the following projects;</p> <p>Contract no. LIRA 858/WRKS/21-22/00005 for the construction of 5 stances drainable latrine at Awia-Woro primary school. Environmental screening of the project at a total cost of UGX 300,000.</p> <p>Contract no. LIRA 858/WRKS/22-23/00001 for the construction of two classroom block at Bar-Apwo primary school. Environmental screening and tree planting had a total cost of UGX 700,000.</p> <p>Construction of a water harvesting tank at Burlobo primary school did not have a contractor..</p>	2
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i></p>	<p>There was no evidence of proof of land ownership for school construction projects by the LG, for instance;</p> <ul style="list-style-type: none"> - Construction of two classroom block at Bar-Apwo primary school. - Construction of 5 stances drainable latrine at Awia-Woro primary school - Construction of a water harvesting tank at Burlobo primary school. <p>All did not have proof of land ownership.</p>	0

16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p>	<p>There was no sufficient evidence that the Environment Officer and the DCDO conducted support supervision and monitoring. Only one report was availed for the monitoring of one project as seen below;</p> <p>Project monitoring report for the construction of two classroom block at Bar-Apwo primary school dated 1st May 2023. A team of 4 people (City Engineer, City Education Officer, Community Development Officer and Ag. Principal Environment Officer) conducted the monitoring. The monitoring checked the waste management, Environmental impact etc. the report was prepared by Otika Leonard the Environment Officer.</p> <p>There were no other reports availed for the two projects below;</p> <ul style="list-style-type: none"> - Construction of 5 stances drainable latrine at Awia-Woro primary school - Construction of a water harvesting tank at Burlobo primary school. 	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments</p> <p><i>Score: 1, else score:0</i></p>	<p>Certificate no. 2 issued on 10th May 2023 for UGX 41,715,124. Contract no. LIRA 858/WRKS/22-23/00001 for the construction of two classroom block at Bar-Apwo primary school by Olet Magezi Lira Hardware Limited. This was signed by Environment Officer, Community Development Officer City Engineer, City Education Officer on 12th May 2023. Payment was made on 26th May 2023, PV no. 5651644</p> <p>Certificate no. 1 dated 10th May 2023 for UGX 18,967,851. Contract no. LIRA 858/WRKS/21-22/00005 for the construction of 5 stances drainable latrine at Awia-Woro primary school by M/s Olet Magezi Lira Hardware Limited. This was signed by Environment Officer, Community Development Officer City Engineer, City Education Officer on 12th May 2023. Payment was made on 21st June 2023, PV no. 5966111.</p> <p>There was no certificate seen for the construction of a water harvesting tank at Burlobo primary school at the time of assessment.</p>	1

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>All the HMIS 107 reports were not available for review. However, summaries from HMIS 105 reports for the FY before assessment period (2021/2022) – prepared by the Biostatistician and signed by the City Health Officer (CHO) Dr Benard Otucu on 7th December 2023 – for the three sampled health facilities, indicated the total number of deliveries as follows:</p> <ul style="list-style-type: none"> • Barapwo HC III = 462 deliveries • Ayago HC III = 574 deliveries • Ongica HC III = 479 deliveries <p>Total = 1,515 deliveries</p> <p>For the FY under assessment (2022/2023), the summaries from HMIS 105 reports showed the total numbers of deliveries as follows:</p> <ul style="list-style-type: none"> • Barapwo HC III = 347 deliveries • Ayago HC III = 450 deliveries • Ongica HC III = 440 deliveries <p>Total = 1,237 deliveries</p> <p>The percentage in the utilisation of health care services was:</p> $\frac{\text{Total deliveries (FY under assessment)} - \text{Total deliveries (FY before assessment)}}{\text{Total deliveries (FY before assessment)}} \times 100$ $\frac{1235 - 1515}{1515} \times 100 = -18.3\%$ <p>Therefore, the City registered a decline in the utilisation of health care services, as measured by the percentage difference in total number of deliveries.</p>	0

2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> • 70% and above, score 2 • 50% - 69%, score 1 • Below 50%, score 0 	<p>The average score in Health for LLG performance assessment for Year 2022 was 77% and year 2023 was 85%; therefore, the average score for the health sector in the LLGs was 81%.</p>	2
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> • 75% and above; score 2 • 65 - 74%; score 1 • Below 65; score 0 	<p>There was a letter from the Permanent Secretary (Dr. Diana Atwine), Ministry of Health, dated 7th December 2022 (Ref: ADM.45/545/02), addressed to all CAOs on “outstanding RBTF funds”. The letter highlighted a halt in the payment of RBF.</p> <p>Likewise, according to the checklist for Health Specialists (section 5.2.1, 2b) provided by OPM, this indicator is not applicable. To score 0 for all LGs. Indicator to be dropped from the maximum score during analysis.</p>	0
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p>	<p>From the provided evidence, the City Council budgeted for the health development grant of Ushs 3,116,839,146 for the previous FY on eligible activities, however not all the funds were spent as some projects’ end period was past 30th June 2023. Below were the implemented projects for the health sector;</p> <ul style="list-style-type: none"> • Construction of a new HCII at Anyomorem Division at Ushs 880,027,336 (Approved budget page 19; AWP page 4) • Construction of OPD Block at Ongica HC IV Phase 1 at Ushs 229,520,537 (Approved budget page 19; AWP page 4) • Completion of Maternity Ward and Theater at Ober HC IV at Ushs 999,183,347 (Approved budget page 19; AWP page 4) • Construction of Punuluru HC III at Ushs 1,008,107,926 (Approved budget page 19; AWP page 4) <p>The above projects were completed and were eligible activities as per the health grant guidelines page 14.</p>	2

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0</p>	<p>The verified certificates indicated that the CHO, City Engineer, Environment Officer and CDO did not certify all the works on health projects before the LG made payments to the contractors/ suppliers. For example;</p> <p>Certificate no 2; dated 12th June 2023 for Ushs 356,098,453: Contract no. LIRA853/WRKS/21-22/00031 Project; Construction of Maternity Ward and Theater at Ober HC IV by M/s BP Enterprises and Construction Co Ltd was certified by CHO, City Engineer and Environment Officer on 12th June 2023; CDO did not certify the and payment effected on 15th June 2023.</p> <p>Certificate no 1; dated 5th June 2023 for Ushs 288,352,420: Contract no. MOH-UGIFT/WRKS/22-23/00001-LOT 3; Project; construction of Anyomorem HC II by M/s WML Consult & Engineering Ltd was certified by CHO and Environment Officer on 12th June 2023 and City Engineering on 6th June 2023, CDO on did not certify and payment effected on 21st June 2023.</p> <p>Certificate no 1; dated 25th April 2023 for Ushs 730,430,460: Contract no. MOH-UGIFT858/WRKS/21-22/00008/13; Project; Construction of Punuluru HCIII by M/s Builmast Engineering Ltd was certified by City Engineer on 25th April 2023, Environment Officer and CDO on 26th April 2023; CHO 27th April 2023 and payment effected on 17th May 2023.</p>	0
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If the variations in the contract price of sampled health infrastructure investments are within +/- 20% of the MoWT Engineers estimates, score 2 or else score 0</p>	<p>The variation in the contract price for sampled health infrastructure investments were not all within +/-20%.</p> <p>For example</p> <ul style="list-style-type: none"> • For continuity with construction of Ober HC IV Maternity ward at a contract price of UGX 999,183,347 against the engineers estimates of UGX 999,000,000 giving the contract price variation of -0.02%. • For phase I construction of OPD block at Ongica HC IV, at a contract price of UGX 229,520,537 against the engineers estimates of UGX 298,960,631 giving the contract price variation of +23.2%. • For construction of chain link with gate house at Barapwo HC III at a contract price of 61,563,432 against the engineers estimates of 62,300,000 giving the contract price variation of UGX +3.7%. 	0

3	Investment performance: The LG has managed health projects as per guidelines.	d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY	In the consolidated PDP for FY 2022/2023 by Town Clerk dated 30th May 2023 but submitted to PPDA on 5th June 2023, there was no planned HC II being upgraded to HC III.	2
	Maximum 8 points on this performance measure	<ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 		
4	Achievement of Standards: The LG has met health staffing and infrastructure facility standards	a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure	A review of the staffing structure for Lira City Council obtained from the Human Resources Office indicated that there were no HC IVs while 95 HC IIIs staff were approved against 73 staff filled. As per the approved customized Staff structure.	1
	Maximum 4 points on this performance measure	<ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	Therefore $73/95 \times 100 = 76\%$ So the percentage of health facility workers position that were filled was at 76%.	
4	Achievement of Standards: The LG has met health staffing and infrastructure facility standards	b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.	In the consolidated PDP for FY 2022/2023 by Town Clerk dated 30th May 2023 but submitted to PPDA on 5th June 2023, there was no planned HC II being upgraded to HC III.	2
	Maximum 4 points on this performance measure	<ul style="list-style-type: none"> • If 100 % score 2 or else score 0 		

Performance Reporting and Performance Improvement

Accuracy of Reported Information: The LG maintains and reports accurate information

Maximum 4 points on this performance measure

a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0

The Assessor reviewed the staff list from the CHO's office (dated 26th August 2023) and checked the staff lists, duty rosters and work attendance registers at each of the three sampled and visited health facilities.

It was established that the staff list from CHO's office was consistent with records of health workers at each of the visited health facilities.

The results were as follows:

- **Barapwo HC III:** Both the CHO's staff list and the health facility staff list (dated 14th November 2023, signed by Obok Patrick - In-Charge) had 12 health workers.
- **Ayago HC III:** Both the CHO's staff list and the health facility staff list (dated 7th November 2023, signed by Odongo Emmanuel - In-Charge) had 13 health workers.
- **Ongica HC III:** Both the CHO's staff list and the health facility staff list (dated 28th November 2023, signed by Moro Vincent - In-Charge) had 14 health workers.

Accuracy of Reported Information: The LG maintains and reports accurate information

Maximum 4 points on this performance measure

b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0

From CHO's office, it was noted that there were upgraded or constructed health facilities in FY 2022/2023 (see list dated 15th July 2023, signed by Dr. Benard Otucu - CHO). These health facilities were:

1. Anyomorem HC III - Newly constructed and construction was at 90% to completion. Pending staff recruitment.
2. Punuluru HC III - Newly constructed and construction was at 90% to completion. Pending staff recruitment.
3. Ober HC III - Undergoing upgrade to HC IV. Receiving PHC funding for HC IV but the reporting and staffing was for HC III. It was awaiting official letter from MoH accrediting it to level IV health facility.
4. Ongica HC III - Undergoing upgrade to HC IV. Still receiving PHC funding for HC III and the reporting and staffing was still for HC III. It was awaiting official letter from MoH accrediting it to level IV health facility.
5. Anyangatir HC III - The construction work for upgrade to level III started in 2021/2022, and it was at 90% to completion.

This information was collaborated with the Annual PBS report for FY 2022/2023, on page 18, (signed by the City Town Clark on 28th July 2023) and the information was confirmed as correct and accurate.

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The Annual Work plans and Budgets for the FY 2023/2024 for the three sampled health facilities were reviewed and the submissions were as follows:</p> <ul style="list-style-type: none"> • Barapwo HC III: submitted to the CHO on 14th August 2023. Work plan and budget was prepared by Obok Patrick (in-charge) and endorsed by the Chairperson HUMC. • Ayago HC III: Submitted to the CHO on 19th October 2023. The work plan was prepared by Dr. Emmanuel Odongo (in-charge) on 1st July 2023 and endorsed by the Chairperson HUMC (Akoko Alfred) on 18th October 2023. • Ongica HC III: Submitted to the CHO on 20th August 2023. The work plan was prepared by Moro Vincent (in-charge) on 20th August 2023. <p>It was established that all the three health facilities submitted their Annual Work plans and Budgets to the CHO late, after March 31st of this year, contrary to the LG Planning Guidelines for Health Sector.</p>	0
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>There was no evidence that health facilities prepared and submitted to the CHO their Annual Budget Performance Report for the previous FY.</p>	0
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Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports

- Score 2 or else 0

From the review of the health facility improvement plans for the sampled health facilities, it was noted that the health facilities incorporate performance issues in their plans.

These improvement plans were reviewed and findings were as follows:

- **Barapwo HC III:** Plan prepared by Obok Patrick (In-Charge) and received by the Ag. CHO on 14th August 2023.

In the plan, section 4.0 highlighted the challenges, planned strategies to mitigate the challenges and the activities to deliver out puts.

Some of the challenges identified include late attendance of 4th ANC visit, inadequate supply of medicines and medical supplies, absence of waiting shade pregnant women during ANC among others.

- **Ayago HC III:** Plan prepared by Mbaiti Judy (In-Charge) and received by the Ag. CHO on 5th July 2023.

In the plan, section 4.0 highlighted the challenges, planned strategies to mitigate the challenges and the activities to deliver out puts.

One of the challenges identified was low attendance for Postnatal Care at six days and six weeks. This low attendance was attributed to out of stock of commodities as well as mothers and VHTs being not empowered.

- **Ongica HC III:** Plan prepared by Dr. Okii Ricahrd (In-Charge) and received by the Ag. CHO on 5th July 2023.

In the plan, section 4.0 highlighted the challenges, planned strategies to mitigate the challenges and the activities to deliver out puts.

One of the challenges identified was low percentage of deliveries at the facilities. This low percentage was attributed poor attitude of health workers, stock out of mama kits and influence by Traditional Birth Attendants (TBAs).

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result

d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,

- score 2 or else score 0

The Assessor sampled three health facilities and reviewed all the monthly and quarterly HMIS reports (HMIS 105 and HMIS 106a respectively) for the FY 2022/2023. Health facilities did not submit (100%) up to date monthly and quarterly HMIS reports timely.

The submissions of the three sampled health facilities were as follows:

Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

Barapwo HC III: HMIS 105:

- July 2022: 09/08/2022 (**Submitted late**)
- August 2022: 05/09/2022
- September 2022: 04/10/2022
- October 2022: 07/11/2022
- November 2022: 07/12/2022
- December 2022: 11/01/2023 (**Submitted late**)
- January 2023: 02/03/2023
- February 2023: 08/03/2023
- March 2023: 06/04/2023
- April 2023: 03/05/2023
- May 2023: 05/06/2023
- June 2023: 04/07/2023

Ayago HC III: HMIS 105:

- July 2022: 08/08/2022 (**Submitted late**)
- August 2022: 08/09/2022 (**Submitted late**)
- September 2022: 07/10/2022
- October 2022: 10/11/2022
- November 2022: 07/12/2022
- December 2022: 06/01/2023
- January 2023: 06/02/2023
- February 2023: 07/03/2023
- March 2023: 04/04/2023
- April 2023: 06/05/2023
- May 2023: 06/06/2023
- June 2023: 02/07/2023

Ongica HC III: HMIS 105:

- July 2022: 02/08/2022
- August 2022: 04/09/2022
- September 2022: 04/10/2022
- October 2022: 07/11/2022
- November 2022: 06/12/2022
- December 2022: 04/01/2023

- January 2023: 04/02/2023
- February 2023: 06/03/2023
- March 2023: 04/04/2023
- April 2023: 04/05/2023
- May 2023: 05/06/2023
- June 2023: 05/07/2023

Barapwo HC III: HMIS 106a:

- Quarter 1: Not available
- Quarter 2: 04/01/2023
- Quarter 3: 04/04/2023
- Quarter 4: Not available

Ayago HC III: HMIS 106a:

- Quarter 1: 07/10/2022
- Quarter 2: 04/01/2023
- Quarter 3: No dates
- Quarter 4: 04/07/2023

Ongica HC III: HMIS 106a:

- Quarter 1: 06/10/2022
- Quarter 2: No dates
- Quarter 3: No dates
- Quarter 4: Not available

Note: The City did not have the revised HMIS 104. HMIS 104 is NTDS MDA implementation report but not quarterly report as reflected in the assessment manual. The quarterly report was HMIS 106a, which is the old version.

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0</p> <p>Note: Municipalities submit to districts</p>	<p>There was a letter from the Permanent Secretary (Dr. Diana Atwine), Ministry of Health, dated 7th December 2022 (Ref: ADM.45/545/02), addressed to all CAOs on “outstanding RBTF funds”. The letter highlighted a halt in the payment of RBF.</p> <p>Likewise, according to the checklist for Health Specialists (section 5.2.1, 6e) provided by OPM, this indicator is not applicable. To score 0 for all LGs. Indicator to be dropped from the maximum score during analysis.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>There was a letter from the Permanent Secretary (Dr. Diana Atwine) Ministry of Health, dated 7th December 2022 (Ref: ADM.45/545/02), addressed to all CAOs on “outstanding RBTF funds”. The letter highlighted a halt in the payment of RBF.</p> <p>Likewise, according to the checklist for Health Specialists (section 5.1.1, 6f) provided by OPM, this indicator is not applicable. To score 0 for all LGs. Indicator to be dropped from the maximum score during analysis.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>The City Council did not provide documentary evidence to show that they timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports to the Planner as required.</p>	0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>There was no evidence that the City developed an approved Performance Improvement Plan for the weakest performing health facilities.</p>	0
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>There was no evidence that the City implemented the Performance Improvement Plan for the weakest performing health facilities.</p>	0
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Human Resource Management and Development

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>There was no evidence that the City budgeted for health workers in accordance with the staffing norms as per the Health Sub Programme Grant, Budget and Implementation Guidelines for LGs, FY 2023/ 2024 (page 3).</p> <p>According to the City approved Budget Estimates for the FY 2023/2024 (page 27), it was noted that the city recurrent salary for health workers was UGX 1,532,042,000. This budgeted salary was for 89 health workers, including CHO's office (26.2%, see staff list dated 26th August 2023) out of 340 staffing norm as per the Health Sub Programme Grant, Budget and Implementation Guidelines for LGs, FY 2023/ 2024 (pages 72-84).</p>	0
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7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>According to the deployment list dated 26th August 2023 (signed by the CHO, Dr. Benard Otucu), the City had 06 HC IIIs and 01 HC IIs. The City had deployed a total of 82 health workers out of 339 staffing norm as per the Health Sub Programme Grant, Budget and Implementation Guidelines for LGs, FY 2023/ 2024 (pages 80-84).</p> <p>Hence, $\frac{82}{339} \times 100 = 24.1\%$</p> <p>Therefore, the deployed health workers were at 24.1%, which is below the scorable 75%.</p>	0
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7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>The Assessor reviewed the deployment list from the CHO's office (dated 26th August 2023) and checked the staff lists, duty rosters and work attendance registers at each of the three sampled and visited health facilities.</p> <p>It was established that the deployment list from CHO's office was in tandem with records of health workers working at each of the visited health facilities.</p> <p>The results were as follows:</p> <ul style="list-style-type: none"> • Barapwo HC III: Both the CHO's deployment list and the health facility staff list (dated 14th November 2023, signed by Obok Patrick - In-Charge) had 12 health workers. Likewise, the duty roster and attendance register for November 2023 revealed similar results. • Ayago HC III: Both the CHO's deployment list and the health facility staff list (dated 7th November 2023, signed by Odongo Emmanuel - In-Charge) had 13 health workers. Likewise, the duty roster and attendance register for November 2023 revealed similar results. • Ongica HC III: Both the CHO's deployment list and the health facility staff list (dated 28th November 2023, signed by Moro Vincent - In-Charge) had 14 health workers. Likewise, the duty roster and attendance register for November 2023 revealed similar results. 	3
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7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0</p>	<p>There was evidence that the City had publicized health worker's deployment and disseminated this, as evidenced by the display of the list of deployed health workers on health facilities' notice boards.</p> <p>In each of the three sampled and visited health facilities (i.e Barapwo HC III – staff list dated 14th November 2023, Ayego HC III – staff list dated 7th November 2023 and Ongica HC III – staff list dated 28th November 2023), the displayed lists, albeit not updated, indicated the name of the facility, name of the staff, cadre/position, telephone numbers among others.</p>	2
<p>Maximum 9 points on this performance measure</p>				
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p>	<p>a) Evidence that the DHO/MMOHs has:</p> <p>i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0</p>	<p>A review of the healthy facility in charges files obtained from the Principal Human Resource Office for the FY 2022/2023 for Lira City indicated that 8 out of 8 presented to the assessor were appraised as follows;</p> <ol style="list-style-type: none"> 1. Opio Denis Nixon Senior Medical Clinical Officer was appraised by Dr. Otucu Benard Principal Medical Officer on 30th June 2023 2. Emmanuel Odongo Medical Clinical Officer was appraised by Dr. Benard Otucu Principal Medical Officer on 30th June 2023 3. Obok Patrick Medical Clinical Officer was appraised by Dr. Benard Otucu Principal Medical Officer on 30th June 2023 4. Judy Wanjiku Mbaiti was appraised by Ochen Geoffrey Senior Clinical Officer on 30th June 2023 5. Dr. Richard Okii Medical Clinical Officer was appraised by Dr. Otucu Benard on 30th June 2023 6. Auma Sylvia Senior Clinical Officer was appraised by Dr. Otucu Benard on 30th June 2023 7. Adongo Molly Nursing Assistant was appraised by Enrooled Nurse on 30th June 2023 	1
<p>Maximum 6 points on this performance measure</p>				

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0

A review of appraisal files of health facility workers obtained from the Principal Human Resource Office for the FY 2022/2023 for Lira City indicated that all files presented appraisals were done timely as follows;

1. Okello Hillary Senior Medical Clinical Officer for Ober HC III was appraised by Dr. Otucu Benard Principal Medical Officer on 30th June 2023
2. Ayer Francisca Enrolled Nurse for Ober HC III was appraised by Tamali Tino Medical Officer on 30th June 2023
3. Obok Patrick Medical Officer for Anyangatir HC III was appraised by Dr. Otucu Benard Principal Medical Officer on 30th June 2023
4. Okii Richard Medical Clinical Officer for Ayago HC III was appraised by Dr. Otucu Benard Principal Medical Officer on 30th June 2023
5. Amulen Hellen Enrolled Midwife of Anyangatir HC III was appraised by Awor Molly Assistant Nursing Officer on 30th June 2023
6. Apondo Sharon Laboratory Assistant Ongica HC III was appraised by Mbaiti J. Medical Clinical Officer on 30th June 2023
7. Adongo Agnes Enrolled Nurse for Anyangatir HCIII was appraised by Awor Molly on 30th June 2023
8. Aculo Filda Enrolled Midwife of Ongica HC III was appraised by Okello George Assistant Nursing Officer on 30th June 2023
9. Onyolo Proscovia Enrolled Nurse of Anyangatir HC III was appraised by Awor Molly on 30th June 2023
10. Senior Medical Clinical Officer for Ober HC III was appraised by Dr. Otucu Benard Principal Medical Officer on 30th June 2023

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

iii. Taken corrective actions based on the appraisal reports, score 2 or else 0

There was no major gaps in all performance appraisal reports for health workers that were reviewed that needed corrective actions.

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p>	<p>There was evidence that the City conducted training of health workers (Continuous Professional Development) in accordance to the training plan.</p> <p>The training plan for FY 2022/2023 was dated 6th July 2022 (signed by Ag. CHO, Dr. Benard Otucu) was availed. It highlighted seven topics in which health workers were trained.</p> <p>A training report dated 25th September 2022 for the training conducted from 19th to 23rd September 2022 was availed. The report was on a topic (Immunisation in Practice), which was reflected in the training plan.</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>There was evidence that the City carried out training activities and documented these activities in the training database.</p> <p>For example, a training on Immunisation in Practice was documented in the database, indicating the name of health worker, cadre, facility, date of training, name of trainer, funding and signature of trainer.</p>	1

Management, Monitoring and Supervision of Services.

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>From the CHO's office, a copy of the letter (dated 30th August 2023) from the City Clerk (Theophilus Tibihika) to the Permanent Secretary MoH on "Validation and reconciliation of health facilities in Lira City" was availed. A list of 14 health facilities was attached.</p> <p>The letter was sent to the MoH by email by the Ag. CHO (Dr. Benard Otucu) on 15th September 2023 and was acknowledged by Hillary Arinaitwe on 18th September 2023. The email was copied to the TC, DTC and AG. ACHO-MCH.</p>	2
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>The documentary evidence indicated that the City Council allocated 19% towards monitoring service delivery and management of City health services which was in line with the health sector grant as per the guidelines as per the computations below;</p> <p>The CHO's budget as per the Approved budget page 24 was Ushs 44,794,944 and the allocation was Ushs 8,500,000 which was 15%.</p> <p>$8,500,000/44,794,944 \times 100 = 19\%$</p>	2

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>	<p>There was evidence that the City Council made timely submission of warrants to health facilities for the last FY, in accordance with the requirements of the budget the 4 quarters. as per the dates from the IFMS;</p>	2
	<p>Maximum 9 points on this performance measure</p>		<p>Quarter 1 warrants direct grant transfers to health facilities were submitted on 12th August 2022 which was 1 working day after MoFPED approved on 11th August 2022.</p>	
			<p>Quarter 2 warrants for direct grant transfers to health facilities were submitted on 12th October 2022 which was the same day MoFPED approved.</p>	
			<p>Quarter 3 warrants for direct grant transfers to health facilities were submitted on 16th January 2023 which was 2 working days after MoFPED approved on 11th January 2023.</p>	
			<p>Quarter 4 warrants for direct grant transfers to health facilities were submitted on 24th April 2023 which was 2 working days after MoFPED approved on 19th April 2023.</p>	
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0</p>	<p>While Lira City Council invoiced and communicated communicated PHC NWR Grant transfers for the previous FY to health facilities, some were made outside the 5 working days as per the verified vouchers below;</p>	0
	<p>Maximum 9 points on this performance measure</p>		<p>Quarter 1 was invoiced and communicated on 15th August 2022 after the release of funds from MoFPED on 12th August 2022 which was 1 working day.</p>	
			<p>Quarter 2 was invoiced and communicated on 19th October 2022 after the release of funds from MoFPE 13th October 2022 which was 3 working days.</p>	
			<p>Quarter 3 was invoiced and communicated on 19th January 2023 after release of funds from MoFPED on 16th January 2023 which was 2 working days.</p>	
			<p>Quarter 4 was invoiced and communicated on 17th May 2023 after release of funds from MoFPED on 28th April 2023. which was more than 3 working days.</p>	
			<p>The 4 quarter was invoiced and communicated late hence not being compliant.</p>	

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>There was evidence that the City Council did not publicize on the notice boards all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoPPED as below;</p> <p>Quarter 1 was publicised on 15th August 2022 which was more than 5 working days after the release of expenditure limits from MoFPED on 8th July 2022.</p> <p>Quarter 2 was displayed on 19th October 2022 which was 1 day after the release of the expenditure limits from MoFPED on 17th October 2022.</p> <p>Quarter 3 was publicised on 19th January 2023 which was more than 5 working days from the release of expenditure limits from MoFPED on 29th December 2022.</p> <p>Quarter 4 was publicised on 17th May 2023 which was more than 5 working days from the release of expenditure limits from MoFPED on 17th April 2023.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>There was no evidence that the City health department implemented actions recommended by the CHT Quarterly performance review meetings.</p>	0

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>Review of the quarterly performance review meeting attendance lists indicated that health facility in charges and other key stakeholders like Mayor, Senior Planner, DISO, PHRO, Deputy Town Clark, City Secretary for Health, implementing Partners e.g USAID-UHA, JCRC among others.</p> <p>The meetings were held as follows:</p> <ul style="list-style-type: none"> • Quarter 1 meeting: held on 24th November 2022 (minutes prepared Maxwell Ogwang Foshen (Ag. Biostatistician). • Quarter 2 meeting: held on 10th February 2023 (minutes prepared Maxwell Ogwang Foshen (Ag. Biostatistician). • Quarter 3 meeting: held on 25th May 202 (minutes prepared Maxwell Ogwang Foshen (Ag. Biostatistician). • Quarter 4 meeting: held on 15th August 2023 (minutes prepared Maxwell Ogwang Foshen (Ag. Biostatistician). 	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>This indicator is not applicable. The City had no HC IV. However, the City supervised the HC IIIs and HC IIs as follows:</p> <p>Quarter 1: Supervision conducted from 11th July 2022 22nd July 2022. Report dated 25th July 2022, compiled by Tino Tamali (Senior Nursing Officer - MCH), approved by Dr. Benard Oticu (Ag. CHO) on 25th July 2022.</p> <p>Quarter 2: Supervision conducted from 11th October 2022 22nd October 2022. Report dated 28th October 2022, compiled by Tino Tamali (Senior Nursing Officer - MCH), approved by Dr. Benard Oticu (Ag. CHO) on 28th October 2022.</p> <p>Quarter 3: Supervision conducted from 8th January 2023 to 19th January 2023. Report dated 21st March 2023, compiled by Tino Tamali (Senior Nursing Officer - MCH), approved by Dr. Benard Oticu (Ag. CHO) on 21st March 2023</p> <p>Quarter 4: Supervision conducted from 8th May 2023 to 19th May 2023. Report dated 28th June 2023, compiled by Tino Tamali (Senior Nursing Officer - MCH), approved by Dr. Benard Oticu (Ag. CHO) on 28th June 2023.</p>	1

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <ul style="list-style-type: none"> • If not applicable, provide the score 	<p>This indicator is not applicable. The City Local Government had no HSD.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>There was evidence that the City used results/ reports from discussion of the support supervision and monitoring visits.</p> <p>For example, quarter four report (dated 28th June 2023), findings highlighted that there was no sanitary facility for patients because of construction of a theatre at Ober HC III. The former sanitary facility was demolished in the process of upgrading the HC to HC IV. The supervision team recommended that the In-charge should follow up on the construction of the patients' sanitary facility (page 2).</p> <p>In view of the above, in quarter one support supervision (report dated 15th August 2023, compiled by Chris Hirotot – Senior Health Inspector), the issue of sanitary facility was followed up and it was In-Charge noted that the construction of the facility was on-going (page 8).</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>There was evidence that the City provided support to all health facilities in the management of medicines and health supplies.</p> <p>The evidence was established from the support supervision and activity reports. For example, in quarter one report (dated 29th October 2022) on Supervision Performance Assessment and Recognition Strategy (SPARS), the supervision team that there was need to build capacity of In-charges and Health Information Assistants on reporting to improve data management. Relatedly, an activity report dated 29th January 2023 revealed that the health facilities were oriented and mentored in stock and data management.</p>	1

11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0	The documentary evidence indicated that the City Council allocated 36% towards promotion and prevention activities which was in line with the health sector grant as per the guidelines as per the computations below;	2
	Maximum 4 points on this performance measure		The CHO's budget as per the Approved budget page 27 was Ushs 44, 794,944 and the allocation was Ushs 16,134,944,000 which was 30%. $16,134,944/44, 794,944 \times 100 = 36\%$	
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0	There was evidence that the CHT led health promotion, disease prevention and social mobilization activities. For example, from the review of minutes dated 4th July 2022, prepared by Odongo Tom (Laboratory Focal Person) and approved by the Ag. CHO, the CHT discussed issues regarding round four COVID-19 vaccination. A total of 173,452 people (both adults and children) were targeted for vaccination.	1
	Maximum 4 points on this performance measure			
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0	There was evidence of follow up actions taken by the CHT on health promotion and disease prevention issues. The evidence was established from the Health Department Quarter One report (dated 20th October 2022) by Ag. CHO to the City Town Clerk (received on 20th October 2022). In the report, it was noted that COVID-19 vaccination campaign was conducted in September 2022, targeting children from 12 to 17 years.	1
	Maximum 4 points on this performance measure			

Investment Management

12	Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.	a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0	There was an updated Assets register, which sets out health facilities and equipment relative to the basic standards. The register indicated the seven health facilities (Ongica HC III, Adyel HC III, Ober HC III, Ayago HC III, Anyangatir HC III, Barapwo HC III and Lira Municipal Council HC II) and their equipment, indicating the equipment specification, quantity (functional, non-functional and total) and remarks.	1
	Maximum 4 points on this performance measure			

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDPIII);</p>	<p>There was no evidence that desk appraisals for the prioritized investments in the health sector implemented projects in the previous FY 2022/2023 were conducted.</p>	0
	<p>Maximum 4 points on this performance measure</p>	<p>(ii) desk appraisal by the LG; and</p> <p>(iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG)):</p>		
		<p>score 1 or else score 0</p>		
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>There was no evidence that field appraisals for the prioritized investments in the health sector implemented projects in the previous FY 2022/2023 were conducted. for technical feasibility; ii) environmental and social acceptability; and (iii)customized designs.</p>	0
	<p>Maximum 4 points on this performance measure</p>			
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>There was no evidence that the health facility investments were screened for the current FY 2023/2024.</p>	0
	<p>Maximum 4 points on this performance measure</p>			

13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0	For FY 2023/2024, the health department submitted its procurement plans to PDU on the 30th May 2023. Projects included; <ul style="list-style-type: none"> • Construction works at Anyomore HC at a budget of UGX 1,095,964,000 Under UGIFT. • Painting and tilling of the City Health Office at a budget of UGX 15,900,000 under PHC Development. • Infrastructure and building repairs at a budget of UGX 21,000,000 under PHC development. 	0
13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0	The City Health department submitted its procurement request forms for FY 2023/2024 to PDU on 31st October 2023. Projects included; <ul style="list-style-type: none"> • Second phase of construction works to establish Anyomore HC at a budget of UGX 1,095,964,000 • Construction of HC III OPD Block at Ayago HC at a budget of UGX 430,000,000. 	0
	Maximum 10 points on this performance measure			

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>There was evidence that the health infrastructure investment for FY 2022/2023 were approved by the contracts committee.</p> <p>For example;</p> <ul style="list-style-type: none"> • Continuity with construction of Ober HC IV maternity ward, was approved by the Contracts Committee on 23rd January 2023 (minutes signed on 13th February 2023) under minute no CC4/8/January 2023 (i) and awarded to M/S B.P Enterprises and Construction Co. Ltd at a contract price of UGX 999,183,347. Clearance by Solicitor General was presented at the time of assessment • Construction of Level IV OPD at Ongica HC Phase 1, was approved by the Contracts Committee on 29th December 2022 (minutes signed on 13th February 2023) under minute CC5/6/12/2022 (i) and awarded to M/S AKOL Holdings Ltd at a contract price of UGX 229,520,537. Clearance by Solicitor General was dated 3rd May 2023. • Construction of Chain Link with gate house at Barapwo HC III was approved by the Contracts Committee on 24th March 2023 (minutes signed on 11th April 2023) under minute No. CC4/12/March/2023 (12) awarded to M/S Sturgeon Investments CO. Ltd at a contract price of UGX 61,563,432. 	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no evidence that the City properly established a PIT for all health projects that was presented at the time of assessment</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>In the consolidated PDP for FY 2022/2023 by Town Clerk dated 30th May 2023 and submitted to PPDA on 5th June 2023, there was no planned HC II being upgraded to HC III.</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no evidence that the Clerk of Works maintains daily records that are consolidated weekly to the City Health Officer for each health infrastructure project was presented at the time of assessment</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>In the consolidated PDP for FY 2022/2023 by Town Clerk dated 30th May 2023 and submitted to PPDA on 5th June 2023, there was no planned HC II being upgraded to HC III.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>Lira City carried out technical supervision of works at some health facilities as indicated below;</p> <ul style="list-style-type: none"> • For Construction of level IV OPD at Ongica HC phase I, a request for payment by AKOL Holdings was dated 26th May 2023. A progress report on construction works by Citv Engineer in which work progress was reported as 100% was presented. • For construction of chain link with a gate house at Barapwo HC III, a progress report including Environment and Social Safeguards aspects (workers were provided by PPE) by Civil Engineer Lira City (Okune Fred) in which the physical progress of work was reported as 100% was presented. <p>However, there was no evidence that technical supervision was carried out for on-going construction of maternity ward at Ober HC IV, as no supervision reports were availed to the assessment team.</p>	0

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0

The sampled payment vouchers indicated that the CHO did not verify and initiate payments for contractors within the specified time frame (within 2 weeks or 10 working days after receiving payment requests) as indicated below;

Vouchers 5298056 dated 17th May 2023 for Ushs 567,290,000: Contract no. MOH-UGIFT/WRKS/2021-22/00013/LOT 8; Project; Construction of Punuluru HC III by M/s Buildmast Engineering Co. Ltd. Invoice was raised on 18th April 2023 and payment process was verified and initiated by CHO on 27th April 2023 which was 7 working days after receipt of payment request.

Voucher 5875628 dated 15th June 2023 for Ushs 300,885,387: Contract no. LIRA531/WRKS/2021-2022/00031 Project; Construction of Maternity Ward and Theatre at Ober HC IV by M/s BP Enterprises and Construction Co Ltd invoice was raised on 5th June 2023 and payment process was verified and initiated by CHO on 12th June 2023 which was 3 working days after receipt of payment request.

Voucher 5967238 dated 21st June 2023 for Ushs 249,011,248: MOH-UGIFT/WRKS/2022-23/00001/LOT 13; Project; Construction of a new HC II at Anyomore Division by M/s WML Construction & Engineering Ltd invoice was raised on 27th April 2023 and payment process was verified and initiated by CHO on 13th June 2023 which was more than 10 working days after receipt of payment request.

13

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0

There was evidence that the procurement file for each health infrastructure contract was complete with all records required by the PPDA. For example;

- For construction of chain link and gate house at Barapwo HC III, procurement ref No: Lira858/WRKS/2022-2023/00006, the file had an evaluation report signed by Evaluation Committee on 21st March 2023 recommending award to M/S Sturgeon Investments Co. Ltd at a contract price of UGX 61,563,432. The Contracts Committee approved the Evaluation Report on 24th March 2023 (minutes signed on 11th April 2023) under minute CC4/12/March/2023 (17) and contract between the parties was signed on 28th April 2023.

- For construction of level IV OPD at Ongica HC Phase I, Procurement ref: Lira858/WRKS/2022-2023/00002, the file had an Evaluation Report dated 12th December 2022 recommending award to M/S AKOL Holdings Ltd at a contract price of UGX 229,520,537. The Contracts Committee approved the evaluation report on 29th December 2022 (minutes signed on 13th February 2023) under minute CC5/6/12/2022 and contract between the parties was signed on 8th May 2023.

- For continuity with construction of Ober HC IV of Maternity ward, Procurement ref: Lira531/WRKS/2022-2023/00031, the file had an Evaluation Report dated 22nd February 2023 recommending award to M/S B.P Enterprises & Construction Ltd at a contract price of UGX 999,183,347. The Contracts Committee approved the evaluation report on 23rd January 2023 (minutes signed on 13th February 2023) under minute CC4/8/January/2023 (i). The contract between the parties was signed on 7th April 2023. Approval by Solicitor General was not presented.

Environment and Social Safeguards

14

Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework

Maximum 2 points on this performance measure

a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0

There were no grievances raised/recorded in the log book under health sector. The responsible officer indicated there were no grievances received by the City Local Government during the FY 2022/2023.

2

15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>There was evidence that the LG had disseminated the guidelines on medical waste management. There was a medical waste management guideline distribution list without a date. 7 Health workers (In-Charges) had signed for the copies of guidelines.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider); score 2 or else score 0</p>	<p>There was a service provider “Green Label” managing the medical waste. According to the City Health Officer, that the City has never got any MOU but the district still handles the medical waste management.</p> <p>Bara-Pwo Health Centre III had a new incinerator, placenta pit and other rubbish pits for managing the waste. In their Annual work plan FY 2023/2024 signed by the HUMC Chairperson and In-Charge, there was no budget on waste management.</p> <p>Ongica health centre III had a placenta pit and rubbish pits for managing waste. The Annual work plan was not availed for budget verification at the time of assessment.</p> <p>Ober health centre IV had an incinerator, placenta pit, ash pit, rubbish bins and rubbish pits. The Green Label picks all the medical waste for further management. The annual work plan 2023/2024 was not availed to verify the budget.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>Report following IPC facility based mentorships on case management and health care waste training in Lira City, dated 16th August 2022 to the City Health Officer. The training was conducted from 11th August to 16th August 2022 and 20 members were mentored by 9 mentors. One of the objectives was to equip the health workers with the basic information on the case management and health care waste management. The report was prepared by Job Eluk the IPC FP.</p>	1

16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0	<p>There was evidence that the costed ESMPs were incorporated into the BoQs of the following projects;</p> <p>Contract no. LIRA 858/WRKS/22-23/00031 for the construction of maternity theatre at Ober health centre IV. Element, Environment and social screening had a total cost of UGX 450,000.</p> <p>Contract no. LIRA 858/WRKS/22-23/00002 for the construction of OPD at Ongica health centre IV phase 1. Environment and social safe guards had a total of UGX 1,000,000.</p> <p>Contract no. LIRA 858/WRKS/22-23/00005 for the construction of Chain link fencing of Bar-Apwo Health Centre III. Tree planting and awareness creation UGX 300,000.</p>	2
	Maximum 8 points on this performance measure			
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0	<p>There was no evidence that the LG had proof of land ownership where the following projects were implemented;</p> <ul style="list-style-type: none"> - Construction of maternity ward at Ober Health Centre IV. - Construction of Ash pit, a roofed medical waste reservoir with concrete base and chain link perimeter fence and installation.at Bar-Opwo Health Centre III, - Construction of chain link fence with a gate house for Bar-Apwo Health Centre III, - Construction of Level IV OPD Block at Ongica Health Centre III Phase 1. 	0
	Maximum 8 points on this performance measure			
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.	<p>There was no evidence that the Environment Officer and the CDO conducted support supervision and monitoring of the following projects:</p> <ul style="list-style-type: none"> - Construction of maternity ward at Ober Health Centre IV. - Construction of Ash pit, a roofed medical waste reservoir with concrete base and chain link perimeter fence and installation.at Bar-Opwo Health Centre III, - Construction of chain link fence with a gate house for Bar-Apwo Health Centre III, - Construction of Level IV OPD Block at Ongica Health Centre III Phase 1 	0
	Maximum 8 points on this performance measure			

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0

Certificate no. 1 dated 6th June 2023 for UGX 61,563,432. Contract no. LIRA 858/WRKS/22-23/00005 for the construction of Chain link fencing of Bar-Apwo Health Centre III by M/S Sturgeon Investment Company Limited. This was signed by Environment Officer, Community Development Officer, City Engineer, City Health Officer on 8th June 2023. Payment was made on 21st June 2023, PV no. 5966886.

Certificate no. 1 dated 6th June 2023 for UGX 999,183,347. Contract no. LIRA 858/WRKS/22-23/00031 for the construction of maternity theatre at Ober health centre IV by M/S BP Enterprises and Construction Co Limited. This was signed by Environment Officer, Community Development Officer, City Engineer, City Health Officer on 12th June 2023. Payment was made on 15th June 2023, PV no. 5905247.

Certificate no. 1 dated 5th June 2023 for UGX 77,102,000. Contract no. LIRA 858/WRKS/22-23/00002 for the construction of OPD at Ongica health centre IV phase 1 by M/S Akol Holding Limited. This was signed by Environment Officer, Community Development Officer, City Engineer, City Health Officer on 12th June 2023. Payment was made on 15th June 2023, PV no. 5905247.

**Water &
Environment
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	n/a	0
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	n/a	0
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p>Maximum 8 points on this performance measure</p>	<p>a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;</p> <ul style="list-style-type: none"> • Above 80%, score 2 • 60% - 80%, score 1 • Below 60%, score 0 	n/a	0
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p>Maximum 8 points on this performance measure</p>	<p>b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.</p> <ul style="list-style-type: none"> o If 100 % of water projects are implemented in the targeted S/Cs: Score 2 o If 80-99%: Score 1 o If below 80 %: Score 0 	n/a	0

2	N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment Maximum 8 points on this performance measure	c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates o If within +/-20% score 2 o If not score 0	n/a	0
2	N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment Maximum 8 points on this performance measure	d. % of WSS infrastructure projects completed as per annual work plan by end of FY. o If 100% projects completed: score 2 o If 80-99% projects completed: score 1 o If projects completed are below 80%: 0	n/a	0
3	New_Achievement of Standards: The LG has met WSS infrastructure facility standards <i>Maximum 4 points on this performance measure</i>	a. If there is an increase in the % of water supply facilities that are functioning o If there is an increase: score 2 o If no increase: score 0.	n/a	0
3	New_Achievement of Standards: The LG has met WSS infrastructure facility standards <i>Maximum 4 points on this performance measure</i>	b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs). o If increase is more than 1% score 2 o If increase is between 0-1%, score 1 o If there is no increase : score 0.	n/a	0

Performance Reporting and Performance Improvement

4	Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance <i>Maximum 3 points on this performance measure</i>	The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3	n/a	0
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5	Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance <i>Maximum 7 points on this performance measure</i>	a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2	n/a	0
5	Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance <i>Maximum 7 points on this performance measure</i>	b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0	n/a	0
5	Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance <i>Maximum 7 points on this performance measure</i>	c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0 <i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i>	n/a	0

Human Resource Management and Development

6	Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff <i>Maximum 4 points on this performance measure</i>	a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2	n/a	0
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6	Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff <i>Maximum 4 points on this performance measure</i>	b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2	n/a	0
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7	Performance Management: The LG appraised staff and conducted trainings in line with the district training plans. <i>Maximum 6 points on this performance measure</i>	a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3	n/a	0
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7	Performance Management: The LG appraised staff and conducted trainings in line with the district training plans. <i>Maximum 6 points on this performance measure</i>	b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3	n/a	0
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Management, Monitoring and Supervision of Services.

8	Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines. <i>Maximum 6 points on this performance measure</i>	<ul style="list-style-type: none"> • a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district: • • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3 • If 80-99%: Score 2 • If 60-79: Score 1 • If below 60 %: Score 0 	n/a	0
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8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3</p>	n/a	0
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)</p> <ul style="list-style-type: none"> • If 95% and above of the WSS facilities monitored quarterly: score 4 • If 80-94% of the WSS facilities monitored quarterly: score 2 • If less than 80% of the WSS facilities monitored quarterly: Score 0 	n/a	0
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2</p>	n/a	0
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>	n/a	0
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 	n/a	0

10	Mobilization for WSS is conducted <i>Maximum 6 points on this performance measure</i>	b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.	n/a	0
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Investment Management

11	Planning and Budgeting for Investments is conducted effectively <i>Maximum 14 points on this performance measure</i>	a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG: Score 4 or else 0	n/a	0
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11	Planning and Budgeting for Investments is conducted effectively <i>Maximum 14 points on this performance measure</i>	Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDPIII) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible: Score 4 or else score 0.	n/a	0
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11	Planning and Budgeting for Investments is conducted effectively <i>Maximum 14 points on this performance measure</i>	c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2	n/a	0
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11	Planning and Budgeting for Investments is conducted effectively <i>Maximum 14 points on this performance measure</i>	d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2	n/a	0
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11	Planning and Budgeting for Investments is conducted effectively <i>Maximum 14 points on this performance measure</i>	e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2	n/a	0
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12	Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements <i>Maximum 14 points on this performance measure</i> .	a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0	n/a	0
12	Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements <i>Maximum 14 points on this performance measure</i> .	b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:	n/a	0
12	Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements <i>Maximum 14 points on this performance measure</i> .	c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:	n/a	0
12	Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements <i>Maximum 14 points on this performance measure</i> .	d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2	n/a	0

12	Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements <i>Maximum 14 points on this performance measure</i>	e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2	n/a	0
12	Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements <i>Maximum 14 points on this performance measure</i>	f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts o If 100 % contracts paid on time: Score 2 o If not score 0	n/a	0
12	Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements <i>Maximum 14 points on this performance measure</i>	g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law: Score 2, If not score 0	n/a	0

Environment and Social Requirements

13	Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework <i>Maximum 3 points this performance measure</i>	Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework: Score 3, If not score 0	n/a	0
14	Safeguards for service delivery <i>Maximum 3 points on this performance measure</i>	Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs: Score 3, If not score 0	n/a	0

15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0	n/a	0
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 3, If not score 0	n/a	0
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects: Score 2, If not score 0	n/a	0
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 2, If not score 0	n/a	0

**Micro-scale
Irrigation
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	n/a	0
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	n/a	0
2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%, score 4 • 60% - 70%, score 2 • Below 60%, score 0 	n/a	0
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0</p>	n/a	0

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	n/a	0
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6	Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0	n/a	0
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0	n/a	0
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	a) Evidence that the LG has recruited LLG extension workers as per staffing structure • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0	n/a	0
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF • If 100% score 2 or else score 0	n/a	0

4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	b) Evidence that the installed micro-scale irrigation systems during last FY are functional • If 100% are functional score 2 or else score 0	n/a	0
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Performance Reporting and Performance Improvement

5	Accuracy of reported information: The LG has reported accurate information Maximum score 4	a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0	n/a	0
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5	Accuracy of reported information: The LG has reported accurate information Maximum score 4	b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0	n/a	0
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6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0	n/a	0
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6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0	n/a	0
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6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0	n/a	0
	Maximum score 6			

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	n/a	0
	Maximum score 6			

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	n/a	0
	Maximum score 6			

Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	n/a	0
	Maximum score 6			

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	ii Deployed extension workers as per guidelines score 1 or else 0	n/a	0
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0	n/a	0
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0	n/a	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	a) Evidence that the District Production Coordinator has: i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0	n/a	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	a) Evidence that the District Production Coordinator has; Taken corrective actions: Score 1 or else 0	n/a	0

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	b) Evidence that: i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0	n/a	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	ii Evidence that training activities were documented in the training database: Score 1 or else 0	n/a	0

Management, Monitoring and Supervision of Services.

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10	a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0	n/a	0
9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10	b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0	n/a	0
9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10	c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0	n/a	0

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	n/a	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	n/a	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 Less than 70% score 0 	n/a	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	n/a	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0</p>	n/a	0

10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0</p>	n/a	0
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11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0</p>	n/a	0
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11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0</p>	n/a	0
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Investment Management

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0</p>	n/a	0
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12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p>	n/a	0
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12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines Maximum score 8	c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0	n/a	0
12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines Maximum score 8	d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0	n/a	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.	n/a	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0	n/a	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0	n/a	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0	n/a	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	n/a	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	f)Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	n/a	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0	n/a	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	h) Evidence that the LG has overseen the irrigation equipment supplier during: i. Testing the functionality of the installed equipment: Score 1 or else 0	n/a	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	n/a	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	n/a	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	n/a	0

Environment and Social Safeguards

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0	n/a	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: i). Recorded score 1 or else 0 ii). Investigated score 1 or else 0 iii). Responded to score 1 or else 0 iv). Reported on in line with LG grievance redress framework score 1 or else 0	n/a	0

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	n/a	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	n/a	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iv. Reported on in line with LG grievance redress framework score 1 or else 0	n/a	0

Environment and Social Requirements

15	Safeguards in the delivery of investments Maximum score 6	a) Evidence that LGs have disseminated Micro-irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc. score 2 or else 0	n/a	0
15	Safeguards in the delivery of investments Maximum score 6	b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment. i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0	n/a	0

15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	n/a	0
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	n/a	0
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	n/a	0

**Crosscutting Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	The position of Principal Finance Officer was substantively filled by Mr. Awio Patrick, appointed on 21st June 2012 through ref. letter CR/214/1 as was directed by DSC/69/2012	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	The position of Senior Planner was substantively filled by Opio Nixon. He was appointed on 27th August 2015 through ref. letter CR/214/1 as was directed by DSC 114/2015.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	The City Council had no substantively appointed Principal Engineer nor was there staff secondment.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	The position of Senior Natural Resources Officer was substantively filled by Otika Leonard, appointed on 23rd December 2022 through ref. letter CR/214/1 as was directed by CSC 20/2022.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	The position of Senior Veterinary Officer was substantively filled by Okello Nelson. He was appointed on 23rd December 2022 through ref. letter CR/214/1 as was directed by CSC 21/2022 (i)	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	The position of City Community Development Officer was substantively filled by Okello Tom Richard. He was appointed on 23rd December 2022 through ref. letter CR/214/1 as was directed by CSC 18/2022 (i).	3

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	The position of City Commercial Officer was substantively filled by Okello Richard. He was appointed on 23rd December 2022 through ref. letter CR/214/1 as was directed by CSC 21/2022(i)	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	The position of Senior Procurement Officer was substantively filled by Ojuk Denis. He was appointed on 3rd October 2022 through ref. letter CR/156/7 as was directed by CSC Min 1/2022	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	Lira City Council approved and customised staff structure did not cater for the position of Procurement Officer nor Assistant Procurement Officer. Instead, the structure had a Principal as well as a Senior Procurement Officer- to which only the Senior Procurement Officer was substantively appointed at the time of assessment.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	The City Council had no substantively appointed Principal Human Resource Officer nor was there staff on secondment.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	The City Council had no substantively appointed Senior Environment Officer nor was there staff on secondment.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	k. Senior Land Management Officer /Physical Planner, score 2 or else 0	The position of a Physical Planner was filled by Etura Ambrose, substantively appointed vide letter dated 15th November 2023 by the direction of Lira CSC under Min.No.13/2022	2

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>l. A Senior Accountant, score 2 or else 0</p>	<p>Olung Moses Ogwang was substantively appointed on promotion to the position of Senior Accountant through letter Ref.CR/214/1 dated 23rd December 2022 by the direction of Lira City Council Commission under Minute No. 19/2022(v).</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0</p>	<p>Jimmy Okello was substantively appointed through re-designation to the position of Senior Internal Auditor on letter dated 31st January 2023 by the direction of Lira CSC under Minute No. 28/2023(i).</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p>	<p>The City Council had no substantively appointed Principal Human Resource Officer (Secretary CSC) nor was there staff on secondment</p>	0
2	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG Maximum score is 15</p>	<p>a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).</p>	<p>Lira City Council has two Divisions and appointed Senior Assistant Town Clerks as follows;</p> <ol style="list-style-type: none"> 1. Komakech Emmanuel was substantively appointed by promotion to Principal Assistant Town Clerk vide letter dated 23rd December 2022 under Min. No. CSC14/2022(vii) 2. Stephen J. Kasadha was seconded by the Ministry of Local Government to Lira City Council to perform the duties of Division City Town Clerk vide letter ref.LG/P. 10673 and dated 18th October 2023 	5

2	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG</p> <p>Maximum score is 15</p>	<p>b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.</p>	<p>Lira City Council had two City Divisions to which Senior Community Officers were appointed as follows;</p> <ol style="list-style-type: none"> 1. Ebong Sammy was substantively appointed on promotion to the position of Senior Community Development Officer on letter dated 23rd December 2022 by the direction of Lira CSC under Minute No.18/2022(ii). 2. Aceng Susan Christine was substantively appointed through re-designation to Community Development Officer on letter dated 23rd December 2022 by the direction of Lira CSC under Minute No. 18/2022(v). 	5
2	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG</p> <p>Maximum score is 15</p>	<p>c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.</p>	<p>Lira City Council had two City Divisions to which Accountants were deployed as follows;</p> <ol style="list-style-type: none"> 1. Otim Benedict, was substantively appointed on promotion to the position of Principal Treasurer on letter dated 23rd December by the direction of Lira CSC under Minute no. 2022(vii) 2. Odyeko George was substantively appointed on promotion to the position of Accountant on letter dated 23rd December 2022 under CSC Minute no.19/2022(i). 	5

Environment and Social Requirements

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY. Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to: a. Natural Resources department, score 2 or else 0	The evidence derived from the final accounts for FY 2022/23 indicated that the City Council released 38% for Natural Resources as per the computation below; The budgeted amount was Ushs 670,963,000 amount released was Ushs 255,744,976 (Final Draft A/cs FY 2022/23-page 32), thus leaving a balance of the planned amount of Ushs 415,218,024. Therefore, the % released was; $(255,744,976 / 670,963,000) \times 100 = 38\%$ The City Council did not release all the funds as planned hence being compliant.	0
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3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY. Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to: b. Community Based Services department. score 2 or else 0.	The evidence derived from the Final Draft Accounts for FY 2022/23 indicated that the City Council released 78% for Community Based Services as per the computation below. The budgeted amount was Ushs 125,404,484 amount released was Ushs 97,896,745 (Final Draft A/cs FY 2022/23-page 32) leaving a balance of Ushs 27,507,739. Therefore, the % released was; $(97,896,745 / 125,404,484) \times 100 = 78\%$ The City Council did not release all the funds as planned, hence not being compliant.	0
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Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

a. If the LG has carried out Environmental, Social and Climate Change screening,

score 4 or else 0

There was evidence that the LG carried out Environment, social and climate change screening for the following DDEG projects implemented at sub-county level;

1. Environmental and Social screening form (ESS) dated 11th August 2022 for the opening of 2 km road of Ojungu Omule swamp. This was signed by Otika Leonard the Environment Officer and Okello Richard the Community Development

2. Environmental and Social screening form (ESS) dated 11th August 2022 for the Opening of 3 km road of Ogwang George - Ayok Samwiri-Bua Moses.. This was signed by Otika Leonard the Environment Officer and Okello Richard the Community Development Officer.

3. Environmental and Social screening form (ESS) dated 11th August 2022 for the Opening of 2.5 km Road of Omito- St. Joseph Church Cross swamp to Ajuli Juma... This was signed by Otika Leonard the Environment Officer and Okello Richard the Community Development Officer.

All projects started in February 2023.

There were no USMID investments initiated for the FY 2022/2023.

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),

score 4 or 0

According to the NEMA guidelines annex 2c or A guide to the Environment Impact Assessment Process in Uganda by Kenneth Kakuru Annex 1 - September

4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;	There was no costed Environment and social management plan for the following projects;	0
	Maximum score is 12	score 4 or 0	<ul style="list-style-type: none"> - Opening of 2 km road of Olungu Omule swamp, - Opening of 3 km road of Ogwang George – Ayok Samwiri-Bua Moses and - Opening of 2.5 km Road of Omito- St. Joseph Church Cross swamp to Ajuli Juma. 	

Financial management and reporting

5	Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.	If a LG has a clean audit opinion, score 10;	Lira City Local Government obtained unqualified audit opinion in FY 2022/2023.	10
	Maximum score is 10	If a LG has a qualified audit opinion, score 5		
		If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0		
6	Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).	If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),	The evidence provided indicated that the City Council provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous FY as the submission letter dated 7th March 2023 was received by MoFPED on 29th March 2023.	0
	maximum score is 10	score 10 or else 0.	The submission date was far beyond the recommended date as required by end of February (PFMA s. 11 2g).	
7	Evidence that the LG has submitted an annual performance contract by August 31st of the current FY	If the LG has submitted an annual performance contract by August 31st of the current FY,	The City Council submitted the annual performance contract on 2nd August 2023 which was before August 31st of the current FY.	4
	Maximum Score 4	score 4 or else 0.		

8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>Lira City Council submitted the Annual Performance Report for the previous FY on 28th July 2023, which was before the mandatory time frame of August 31, of the current Financial Year.</p>	4
9	<p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p> <p>Maximum score is 4</p>	<p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The City Council submitted the Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous as per the dates below.</p> <p>Quarter 1: the QBPR was submitted on 3rd January 2023</p> <p>Quarter 2: the QBPR was submitted on 6th February 2023</p> <p>Quarter 3: the QBPR was submitted on 12th May 2023</p> <p>Quarter 4; the QBPR was submitted on 28th July 2023.</p> <p>From the above submission dates the City Council submitted the 4th QBPR before the mandatory date of August 31 of the current Financial Year.</p>	4

**Education Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	The position of City Education Officer was substantively filled by Abura Jasper. He was appointed on 23rd December 2022 through ref. letter CR/214/1 as was directed by CSC Min 15/2022 (i).	30
	<i>The Maximum Score of 70</i>			
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	b) All District/Municipal Inspector of Schools, score 40 or else 0.	The position of Senior Inspector of Schools was substantively filled by Omara Tom Ronald. He was appointed on 23rd December 2022 through ref. letter CR/214/1 as was directed by CSC 15/2022 (iii)	40
	<i>The Maximum Score of 70</i>			
	<i>The Position of Inspector of schools was substantively filled by Ogwok Samuel. He was appointed on 23rd December 2022 through ref. letter CR/214/1 as was directed by CSC 15/2022 (iv).</i>			
Environment and Social Requirements				

2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

There was evidence that the LG carried out Environmental, Social and Climate Change Screening for Education Projects. 3 projects were sampled as follows:

1. Environmental and Social screening form (ESS) dated 2nd August 2022 for the construction of 2 classrooms with an office at Bara-Pwo primary school. This was signed by Otika Leonard the Environment Officer and Okello Richard the Community Development Officer. Project commenced on 2nd January 2023.

The costed ESMP had a total cost of UGX 1,000,000 for awareness raising and tree planting.

2. Environmental and Social screening form (ESS) dated 2nd August 2022 for the construction of a four stance Drainable latrine at Awia-woro primary school. This was signed by Otika Leonard the Environment Officer and Okello Richard the Community Development Officer. Project commenced on 28th April 2023.

The costed ESMP had a total of UGX 5,000,000 for awareness raising on GBV and HIV/AIDs.

3. Environmental and Social screening form (ESS) dated 2nd August 2022 for the construction of a water harvesting tank at Burlobo primary school. This was signed by Otika Leonard the Environment Officer and Okello Richard the Community Development Officer. Project commenced on 2nd January 2023.

The costed ESMP had a total of UGX 1,000,000 for awareness raising on GBV issues.

The Maximum score is 30

2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

According to the NEMA guidelines annex 2c or A guide to the Environment Impact Assessment Process in Uganda by Kenneth Kakuru Annex 1 – September 2001, these projects were not in the list of those that required ESIAs, therefore no ESIAs was done.

The Maximum score is 30

**Health Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.		
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0		
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	c. Assistant District Health Officer Environmental Health, score 10 or else 0.		
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.		
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	e. Senior Health Educator, score 10 or else 0.		

1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	f. Biostatistician, score 10 or 0.		
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	g. District Cold Chain Technician, score 10 or else 0.		
1	New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions. <i>Applicable to MCs only.</i> <i>Maximum score is 70</i>	h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.	The position of City Health Officer was substantively filled by Dr. Otucu Benard. He was appointed on 29th April 2020 through ref. letter CR/156214/1 as was directed by DSC Min 8/2020	30
1	New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions. <i>Applicable to MCs only.</i> <i>Maximum score is 70</i>	i. Principal Health Inspector, score 20 or else 0.	The City Council had no substantively appointed Principal Health Officer-Environmental Health Officer nor was there staff on secondment.	0
1	New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions. <i>Applicable to MCs only.</i> <i>Maximum score is 70</i>	j. Health Educator, score 20 or else 0	The City Council had no substantively appointed Senior Health Educator nor was there staff on secondment. Note: The staff structure provided for for a Senior Health Educator not Health Educator	0

Environment and Social Requirements

2

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

There was evidence that the Lira LG carried out Environmental, Social and Climate Change Screening for all the Health sector projects. 3 projects were sampled as follows;

1. Environmental and social screening form (ESS) for the Construction of Level IV OPD Block at Ongica Health Centre III Phase 1, dated 2nd August 2022. The form was signed by Otika Leonard the Environment Officer and Okello Richard the Community Development Officer. Project commenced on 17th April 2023.

2. Environmental and social screening form (ESS) for the Construction of chain link fence with a gate house for Bar-Apwo Health Centre III, dated 2nd August 2022. The form was signed by Otika Leonard the Environment Officer and Okello Richard the Community Development Officer. Project commenced on 15th March 2023.

3. Environmental and social screening form (ESS) for the Construction of Ash pit, a roofed medical waste reservoir with concrete base and chain link perimeter fence and installation at Bar-Opwo Health Centre III, dated 2nd August 2022. The form was signed by Otika Leonard the Environment Officer and Okello Richard the Community Development Officer. Project commenced on 15th March 2023.

4. Environmental and social screening form (ESS) for the Construction of maternity ward at Ober Health Centre IV, dated 2nd August 2022. The form was signed by Otika Leonard the Environment Officer and Okello Richard the Community Development Officer. Project commenced on 17th April 2023

The ESMPs were in place but not costed for all the sampled projects.

2

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

According to the NEMA guidelines annex 2c or A guide to the Environment Impact Assessment Process in Uganda by Kenneth Kakuru Annex 1 – September 2001, these projects were not in the list of those that require ESIAs, therefore no ESIAs was done.

**Micro-scale Irrigation Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation <i>Maximum score is 70</i>	If the LG has recruited; a. the Senior Agriculture Engineer <i>score 70 or else 0.</i>	n/a	0
Environment and Social Requirements				
2	New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed. <i>Maximum score is 30</i>	If the LG: Carried out Environmental, Social and Climate Change screening score 30 or else 0.	n/a	0

**Water & Environment Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	n/a	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	n/a	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	n/a	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	n/a	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	n/a	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	f. Forestry Officer, score 10 or else 0.	n/a	0
Environment and Social Requirements				
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	If the LG: a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.	ok	0
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.	ok	0

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.