



LGMSD 2022/23

Kumi Municipal Council

(Vote Code: 787)

Assessment	Scores
Crosscutting Minimum Conditions	72%
Education Minimum Conditions	100%
Health Minimum Conditions	100%
Water & Environment Minimum Conditions	0%
Micro-scale Irrigation Minimum Conditions	0%
Crosscutting Performance Measures	84%
Educational Performance Measures	92%
Health Performance Measures	88%
Water & Environment Performance Measures	0%
Micro-scale Irrigation Performance Measures	0%

**Crosscutting
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): If so: Score 4 or else 0 	<p>The MLG implemented one project that was funded by DDEG during FY 2022/2023. The project: Fencing Works Around Kumi MLG in South Division which was budgeted for shs 24,540,000 as per approved MLG budget for FY 2022/2023. The project was captured on page 173 of the MDP III and AWP page 12. Total expenditure on the project during FY 2022/2023 amounted to shs 20,275,000 as per draft final accounts of the MLG for FY 2022/2023. The project was under reference 148272 "Construction or Rehabilitation and Furnishing of Government Offices."</p> <p>The project was functional and used for the purpose intended of protecting the MLG properties and buildings from un authorised persons.</p>	4
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> By more than 5%, score 3 1 to 5% increase, score 2 If no increase, score 0 <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>In 2022, the performance by Kumi MLG was 64% and in 2023, the performance improved to 83% resulting in a variance of 19%. The improvement was therefore above 10%.</p>	3
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> If 100% the projects were completed : Score 3 If 80-99%: Score 2 If below 80%: 0 	<p>The DDEG project was budgeted for shs 24,540,000 out of which shs 20,275,000 was spent by closure of FY 2022/2023. Performance was 83% as per Municipal Engineer's project completion report dated 20th April, 2023.</p>	2

3	Investment Performance Maximum 4 points on this performance measure	a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines: Score 2 or else score 0.	Kumi MLG budgeted shs 20,275,000 and spent shs 20,275,000 on one project that was funded by DDEG funds in FY 2022/2023. Performance on budgeted funds and expenditure was 100%. The project was fencing works around Kumi MLG and the budget adhered to DDEG implementation guidelines.	2
3	Investment Performance Maximum 4 points on this performance measure	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates, score 2 or else score 0	The DDEG Funded infrastructure project contract for the FY2022/2023 had a percentage contract price variation that was within +-20% and the project contract was for the fencing works around Kumi Municipal Council headquarters lot -05 (Proc Ref; KMC717/WRKS/2022/2023/00002) awarded at a contract price of 20,275,000 and an Engineers estimate of 21,840,000 giving a percentage contract variation of -7.166% that's within the +-20%.	2

Performance Reporting and Performance Improvement

4	Accuracy of reported information Maximum 4 points on this Performance Measure	a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate, score 2 or else score 0	There was evidence that the information on the key positions filled in divisions as per minimum staffing standards was accurate. All two (2) divisions were visited and below are the findings: 1. In South Division, the approved staff structure provided for three key positions including the CDO,SATC and S.A.A and as per the staff list, the position were filled by Ikiring Ruth ,Akurut Stella and Okalangi Peter respectively. 2. In North Division, the approved structure provided for three key positions including the CDO,SATC and S.A.A and as per the staff list, the positions were filled by Oluka Lewis, Epanyu Charles and Odango Henry respectively.	2
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4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> • If 100 % in place: Score 2, else score 0. <p>Note: if there are no reports produced to review: Score 0</p>	<p>The MLG implemented one project that was funded by DDEG during FY 2022/2023 budgeted for shs 24,540,000 and spent shs 20,275,000 funded by DDEG for FY 2022/2023 on eligible projects/activities as per the DDEG grant, budget and implementation guidelines and expenditure totalled shs 20,275,000; 83% performance.</p> <p>The infrastructure constructed using the DDEG was in place as per reports produced by the MLG. Monitoring reports were produced and reported on the DDEG project as follows: In quarter one, there was no monitoring report produced. Quarter two, monitoring report was produced on 24th November, 2022; quarter three report was produced on 27th February, 2023; quarter four report was produced on 10th May, 2023. In addition to the monitoring reports were the quarterly budget performance reports which were produced and reported on DDEG projects implemented by the MLG. The quarterly budget performance reports were produced as follows:</p> <p>Quarter One on 9th August, 2023;</p> <p>Quarter Two on 9th August, 2023, 2023;</p> <p>Quarter Three on 9th August, 2023;</p> <p>Quarter Four on 16th October, 2023.</p>	2
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> <p>score 4 or else 0</p> <p>NB: The Source is the OPAMS Data Generated by OPM.</p>	<p>The LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise. All the two Divisions had a deviation less than +-10%, as indicated below.</p> <p>Southern Division scored 81% as per the LG, 90% as per the IVA and gave a variance of 9%.</p> <p>North Division scored 84% as per the LG, 85% as per the IVA and gave a variance of 1%</p>	4
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	<p>There was no evidence of developed PIPs presented</p>	0

5	N23_Reporting and Performance Improvement Maximum 8 points on this Performance Measure	c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY: Score 2 or else score 0	There was no evidence of PIPs implemented	0
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Human Resource Management and Development

6	Budgeting for and actual recruitment and deployment of staff Maximum 2 points on this Performance Measure	a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED. Score 2 or else score 0	There was no evidence that the MC consolidated and submitted the staffing requirements for the coming FY 2024/25 to the MoPS by 30th September of the current FY. Evidence obtained from HRM revealed that the recruitment plan and requisition of wage funds worth 5,052,602,886/= dated 28/9/2023 was prepared and submitted to different Ministries on 29/9/2023.	0
7	Performance management Maximum 5 points on this Performance Measure	a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI): Score 2 or else score 0	There was evidence that the MC conducted tracking and analysis of staff attendance. For example, • On 17/10/2023, Kongai Marion (Snr. Environment Officer) reported for duty at 8:15am. And as per the attendance analysis of June 2023, Oguttu George was absent all through because of being on annual leave	2
7	Performance management Maximum 5 points on this Performance Measure	i. Evidence that the LG has conducted an appraisal with the following features: HODs have been appraised as per guidelines issued by MoPS during the previous FY: Score 1 or else 0	There was no evidence that the MC conducted appraisal for all HODs. Below were the details of findings; 1. Angulo Charles (Principal Treasurer) was appraised but no date was indicated 2. Kongai Marion (Senior Environment Officer) was appraised on 19/6/2023 3. Ainyo Grace (Principal CDO) wasn't appraised 4. Omonyot James (Procurement Officer) was appraised on 30/6/2023 5. Aguti Mirriam (SHRO) was appraised on 30/6/2023 6. Eibu Vincent (Physical Planner) was appraised on 29/6/2023 7. Emuria Stephen (Senior Internal Auditor) wasn't appraised 8. Atai Stella (Principal Education Officer) was appraised on 21/7/2023	0

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to “a” above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>The MC implemented administrative rewards and sanctions. The rewards and sanctions Committee was established and composed of five members as indicated below;</p> <ol style="list-style-type: none"> 1. Wejuli Harld Micheal Chairperson 2. Aguti Miriam 3. Omanikor Francis 4. Akiai Alice Epyanu 5. Apolot Jane Francis <p>The committee held a meeting on 23/8/2022. The meeting was convened to identify best performing staff for recognition based on the recommendation of Town Clerk in a letter dated 21/6/2022 addressed to the committee</p>	1
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>There was evidence that the MC had an established and functional consultative committee to deal with staff grievances. Members included;</p> <ol style="list-style-type: none"> 1. Wejuli Harld M chairperson 2. Aguti Miriam 3. Dr. Emusugut Micheal 4. Atai Stella 5. Oronon Denis 6. Okurut Martin 7. Akot Christine 8. Acakara Grace 9. Ogwang Micheal <p>The committee was functional and on 4/6/2023 it held a meeting simply to discuss the problem of absenteeism and poor time management. The committee agreed to close the attendance book at 9:00am.</p>	1

8

Payroll management

Maximum 1 point on this Performance Measure or else score 0

a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:

Score 1.

The MC recruited 18 Education Assistants in FY 2022/2023 and all accessed payroll not later than two months of their appointment. For evidence purposes, all were recruited on 12/4/2023 and accessed payroll on 28/5/2023. They included;

1. Okurut Emmanuel
2. Ameede Esther
3. Osama Paul
4. Ipullet Josephine
5. Tukei Josephine
6. Alukor Dorothy
7. Odongo Peter
8. Ogwang David
9. Achola Ruth
10. Ayumo Joyce
11. Ikiring Benna
12. Atim Christine
13. Achom Florence
14. Achen Juliet
15. Aguti Agnes
16. Iyogil Jesca
17. Amongin Dinah Iberei
18. Mwanika Simon Peter

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9

Pension Payroll management

Maximum 1 point on this Performance Measure or else score 0

a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:

Score 1.

The MC retired 5 staff and all accessed pension payroll within the recommended timeline. They included;

1. Apio Margaret (Askari) retired 1/1/2022 and accessed pension in March 2022
2. Apio Cornelia (EA) retired on 18/8/2022 and accessed pension payroll in October 2023
3. Acipa Janet (HT) retired on 1/2/2022 and accessed pension payroll in March 2022
4. Akweny Eunice (HT) retired on 3/9/2022 and accessed pension payroll in October 2022
5. Okiring Opolot Micheal (Stores Assistant) on 26/9/2022 and accessed pension payroll in October 2022

1

10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery	a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:	<p>Direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in FY 2022/2023.</p> <p>The MLG received DDEG funds in two quarters only; quarter two and quarter three. The total amount that was received during FY 2022/2023 amounted to shs 165,235,000 as per page 8 of the approved budget of the MLG for FY 2022/2023 out of which shs 83,216,000 was transferred to the LLGs.</p> <p>Examples of DDEG transfers to the North and South Divisions during FY 2022/2023:</p> <p>(i) Shs 28,202,988 was transferred to South Division on payment voucher number 3436106 dated 27th January, 2023 in quarter three. (ii) Shs 27,274,345 was transferred to North Division on payment voucher number 3436105 dated 27th January, 2023 in quarter three. (iii) Shs 14,101,454 was transferred on payment voucher number 1516749 dated 20th October, 2023 in quarter one. (iv) Shs 13,637,173 was transferred to North Division on payment voucher number 1516748 dated 20th October, 2022 in quarter one.</p>	2
Maximum 6 points on this Performance Measure	Score 2 or else score 0			
10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery	b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).	<p>Kumi MLG did not receive funding from DDEG during quarter one and quarter four in FY 2022/2023. In quarter two, the MLG received communication from PS/ST on cash limits on 8th October, 2022 under reference BPD/267/268/01. Warranting was done on 10th October, 2022. Invoicing was done on 15th October, 2022. Transfers to LLGs was done on 15th October, 2022. Communication to LLGs by the TC was done on 15th October, 2022.</p> <p>In quarter three, the DLG received communication from PS/ST on cash limits on 17th January, 2023 under reference BPD/267/268/01.Warranting was done on 19th January, 2023. Invoicing was done on 27th January, 2023. Transfers to LLGs was done on 27th January, 2023. Communication to LLGs by the TC was done on 27th January, 2023.</p> <p>The MLG did timely (5 working days from the date of receipt of releases from MoFPED) warranting /verification of direct DDEG transfers to LLGs in accordance to their requirements of the budget</p>	2
Maximum 6 points on this Performance Measure	Score: 2 or else score 0			

10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:</p> <p>Score 2 or else score 0</p>	<p>The MLG invoiced and communicated all DDEG transfers for the previous FY to LLGs/Divisions within 5 working days from the date of funds release in each quarter as required. Example: TC's letter dated 23rd October, 2022 under reference KMC/76/90/DDEG for shs 23,678,000. TC's letter dated 24th April, 2023 under reference KMC/32/8/DDEG for shs 45,090,000.</p>	2
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>Kumi MLG supervised and mentored all LLGs in Kumi MLG at least once per quarter consistent with guidelines. The activity was done on quarterly basis, various issues were discussed and reports accordingly produced.</p> <p>Mentoring and supervision reports were produced as follows:</p> <p>Report dated 15th November, 2022 in quarter two; report dated 11th August, 2022 in quarter one, report dated 16th February, 2023 in quarter three and 20th May, 2023 in quarter four.</p> <p>Issues discussed covered planning and budgeting in MLGs based on IPFs issued from MOFPED, guidelines for planning, physical progress reports in local governments and assessment of local government on service delivery.</p>	2
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>	<p>The results/reports of support supervision and monitoring visits were discussed in the MTPC, used by the MLG to make recommendations for corrective actions and followed-up:</p> <p>The MTPC meeting held on 21st November, 2022, discussed supervision and mentoring report under minute reference MIN 7/11/2022. The MTPC meeting held on 12th September, 2022 discussed mentoring and supervision report under minute reference MIN 4/09/2022.</p> <p>The MTPC meeting held on 14th March, 2023, discussed supervision and mentoring report under minute reference MIN 55/05/2023</p>	2

Investment Management

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</p>	<p>Kumi Municipal Local Government maintained an up dated assets register to 31st October, 2023. All categories of assets like buildings, furniture, equipment, land, motor cycles were captured in the computerised assets register under IFMS. Maintenance of the assets register was in compliance with the guidelines that were provided by the Accountant General at MOFPED. Examples: (i) Phot copier bought on 20th November, 2022 referenced 1235363 in the register valued at shs 6,887,000 for the Administration Department.</p> <p>(ii) Desk top computer valued at shs 8,765,000 referenced 5344757 in the assets register.</p> <p>(iii) Projector valued for shs 14,260,000 for the Health Department bought on 17th June,2022 referenced 596-DTR 001.</p>
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>The Board of Survey report for the MLG for FY 2021/2022 was submitted to the PS/ST through TC's letter dated 25th August, 2022 under reference KMC/108/1. The TC's letter was copied to the OAG and IAG. The IAG acknowledged receipt of the letter on 30th August, 2022, Accountant General on 30th August, 2022 and MOFPED on 30th August, 2022</p> <p>Recommendations that were raised in the Board of Survey Report for FY 2021/2022 included among others engraving of the MLG assets, updating of the assets registers, boarding off old assets in the MLG and divisions, titling of the MLG land. Most of the recommendations had been cleared at the time of the assessment.</p>
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>A Physical Planning Committee was constituted comprising of seven members that were appointed by the TC through letter dated 6th August, 2018 under reference KMC 2014/16. In FY 2022/2023, the Physical Planning Committee convened two meetings only specifically on 2nd May, 2023 and 16th June, 2023. However, there was no evidence that the Physical Planning Committee submitted its approved minutes to the Ministry of Lands, Housing and Urban Development.</p> <p>Members of the Physical Planning Committee that were appointed by the TC were as detailed hereunder:</p> <ol style="list-style-type: none"> 1. TC and Chairperson. 2. Physical Planner -Secretary to the Committee 3. Environment Officer 4. Superintendent of Works/Roads Engineer 5. Principal Health Inspector 6.Lands Supervisor 7. Survey Attendant 8. MCDO The Physical Development Plan was in place but expired in 2018. <p>The Building Plan Registration Book was in place and up dated to 24th August, 2023.</p>
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d.For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>The DLG implemented one project that was funded by DDEG during FY 2022/2023. The MLG conducted desk appraisal for the project in the budget to establish whether the prioritized investments were derived from the LG Development Plan;</p> <p>and eligible for expenditure as per sector guidelines and funding. Desk appraisal was conducted as provided below:</p> <p>Fencing Works Around Kumi DLG in Kumi MLG in South Division which was budgeted for shs 24,540,000 as per approved MLG budget for FY 2022/2023. The project was captured on page 173 of the MDP III and AWP page 12. Total expenditure on the project during FY 2022/2023 amounted to shs 20,275,000 as per draft final accounts of the MLG for FY 2022/2023. Desk appraisal was dated 10th November, 2021. The field appraisal was dated 26th November, 2021.</p>
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>Kumi MLG conducted the field appraisal for all the DDEG infrastructure projects implemented in FY 2022/2023, as indicated in the consolidated field appraisal report dated November 26, 2021, to check for technical feasibility, environmental and social acceptability, and, where needed, customize the design to suit the site conditions. Each project was independently evaluated against three criteria checks, and the report indicated that all projects passed the three checks and were fit for implementation.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>The MLG planned two project funded by DDEG in FY 2023/2024.</p> <p>(i) Renovation of Slaughter Shed at Abattoir in South Division budgeted for shs 6,000,000 per page 19 of the approved MLG budget for FY 2023/2024.</p> <p>The project was captured on page 185 of the MDP III and page 2 of the AWP for FY 2023/2024. DDEG reference 018282.</p> <p>The desk appraisal report was dated 10th November, 2022 and the field appraisal report for the project was dated 16th November, 2022.</p> <p>(ii) Renovation works within Kumi MLG Administration Block (Toilet and Office Room) in South Division budgeted for shs 8,000,000 per page 11 of the approved MLG budget for FY 2023/2024.</p> <p>The project was captured on page 173 of the MDP III and page 2 of the AWP for FY 2023/2024. DDEG reference 0148272.</p> <p>The desk appraisal report was dated 10th November, 2022 and the field appraisal report for the project was dated 16th November, 2022</p> <p>The project profiles with costing were developed and discussed by the TPC in a meeting held on 10th November, 2022 under minute reference MIN 6/11/2022 project profiles</p> <p>Note: The indicator was specific on FY 2023/2024 DDEG projects not FY 2022/2023 projects.</p>	1

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>MC had screened for environmental and social risks/impact and put mitigation measures were required before being approved for construction using checklists for FY 2023/2024 as evidenced below;</p> <ul style="list-style-type: none"> • E&S Screening form for the proposed renovation of a slaughter slab at abattoir by the SEO and DCDO on 14/04/2023 • E&S Screening form for the proposed renovation works within Kumi MC Administration Block (Town Clerk's toilet and Deputy Mayor's office room) by the SEO and DCDO on 06/04/2023 • E&S Screening form for the proposed gate installation at Kumi MC by the SEO and DCDO on 06/04/2023 	2
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>Kumi MLG incorporated all the FY 2023/2024 DDEG infrastructure projects in the LG approved procurement plan on page 3 and 4 of the procurement plan. Such projects included the Renovation of the slaughter shed at Abattoir, Renovation of office block for south division, Phased construction of a 3-classroom block at Amejel primary school, Installation of a gate for Kumi Municipal Council offices and Rehabilitation of Deputy mayor's office and Town Clerk office toilets.</p>	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0</p>	<p>The Kumi MLG contracts committee approved all the DDEG infrastructure investments for the Fy 2023/2024 under minute 05/KMC/CC/11/2022 of the minutes of the contracts committee dated 9th/11/2022 and under minute 7/KMC/CC/08/2022 of the minutes of contracts committee dated 11th/8/2022.</p>	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:</p> <p>Score 1 or else 0</p>	<p>Kumi MLG did not properly establish the project implementation team as per the PPDA and DDEG grant requirement. The Town Clerk as per the appointment letter dated January 24, 2023, appointed Mr. Ochen Stephen (Assistant Municipal Engineer) as the project manager, Ms Ainyo Grace as the CDO, Ms. Kongai Marion as the Environment officer, Mr Eibu Vincent as the contracts manager but no labour officer and clerk of works were appointed on the team.</p>	0

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer: Score 1 or else score 0	The DDEG implemented project for the fencing works of the Kumi Municipal Council headquarters (KMC717/WRKS/2022/2023/00002) followed the project contract designs and specifications. The chain link fence with reinforced concrete poles spaced at 2m intervals were used as specified.	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0	The relevant technical officers including the Municipal Engineer (Mr. Oguttu George William) , the environmental officer (Ms. Kongai Marion) and the CDO (Ms. Ainyo Grace) provided supervision of the DDEG infrastructure project prior to verification and certification of works as evidenced in the technical supervision report dated 13th/6/2023 for the fencing works around Kumi Municipal land headquarters	2
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement): Score 1 or else score 0	The LG verified works (certified) and initiated payments of contractors within specified time frames of not late than two months from the time of payment request as indicated below. The works were completed on 6th/6/2023,the payment request was made on 7th/6/2023 and the payment of 20,275,000 was made on 13th/6/2023 as per the payment certificate.	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law: Score 1 or else 0	The LG had a complete procurement file for the implemented DDEG infrastructure project contracts as per the PPDA requirements as indicated below for the respective contract; Procurement file for the fencing works around Kumi Municipal Land Headquarters Lot 5 (KMC717/WRKS/2022/2023/00002) having an evaluation report dated 7th/11/2022 with Bukedea Orongat Corporative Society Ltd as the best evaluated bidder at a cost of 20, 275, 000, minutes of contract committee decision dated 9th/11/2022 and Works contract signed on 2nd/12/2022.	1

Environment and Social Safeguards

14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.</p> <p>Score: 2 or else score 0</p>	<p>MC had designated a person to coordinate response to feed-back (grievance / complaints) as evidenced by the Appointments letter of Ms Ainyo Grace the PCDO by the Town Clerk on 18/07/2022</p> <p>MC had also established a Central Grievance Redress Committee as evidenced by the Appointment letters of the 05 committee members by the Town Clerk on 18/07/2022 as follows;</p> <ul style="list-style-type: none"> • Mr Ochen Stephen (Road Inspector) - Committee Member • Mr Omongot James (PO) - Committee Member • MMs Kongai Marion (SEO) - Committee Member • Ms Acham Mary Florence (Commercial Officer) - Committee Member • Ms Ainyo Grace (PDCDO) - Committee Secretary • Mr Wejuli Harold (Deputy Town Clerk) - Committee Chairperson 	2
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.</p> <p>If so: Score 2 or else 0</p>	<p>MC had a specified system for Recording, investigating and responding to grievances as evidenced by the GRC Log Book dated 2017 and coded "Education and Health"</p>	2
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.</p> <p>If so: Score 1 or else 0</p>	<p>MC had a Grievance handling mechanism and reporting publicized on the LG notice board by the Grievance Focal Person dated 18/07/2022 and bearing Town Clerk's stamp</p>	1

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0	Environment, Social and Climate change interventions were integrated into MC Development Plans as evidenced by non-specified Item (Community Based Services) page 39 and unspecified item (Natural Resources) page 36 of the approved AWP 2023/2024	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management score 1 or else 0	MC had disseminated the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation to LMCs as evidenced by Item 4 (Dissemination of DDEG guidelines) and Min 4/10/2022 on unspecified page of the minutes of the TPC held on 18/10/2022 and Attendance list of 18 members dated 18/10/2022	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	(For investments financed from the DDEG other than health, education, water, and irrigation): c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary: score 3 or else score 0	MC had incorporated costed Environment and Social Management Plan (ESMP) into the design. BoQs, bidding and contractual documents for the only DDEG infrastructure project for FY 2022/2023, other than health, education, water, and irrigation evidenced by Item 15.0 (plant and protect Paspalum, 5 timber trees, 5 shade trees, 5 fruit trees within the compound) on unspecified page of the BoQs in the bid document for the completion of fencing works around Kumi Municipal Council land Headquarters with Procurement Reference KMC717/Wrks/2022-2023/00002 lot 5 dated 30/10/22 by Bukedea Orongat Vendors Co-operative Society Ltd	3
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	d. Examples of projects with costing of the additional impact from climate change. Score 3 or else score 0	MC had an example of project with costing of the additional impact from climate change as evidenced by Item 15.0 (plant and protect Paspalum, 5 timber trees, 5 shade trees, 5 fruit trees within the compound) on unspecified page of the BoQs in the bid document for the completion of fencing works around Kumi Municipal Council land Headquarters with Procurement Reference KMC717/Wrks/2022-2023/00002 lot 5 dated 30/10/22 by Bukedea Orongat Vendors Co-operative Society Ltd	3

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 1 or else score 0	MC had implemented on land where it has proof of ownership, access and availability without any encumbrances as evidenced by the Land title for Kumi MC P.O.Box 93, Kumi of 0.2540 Hectares, plots 3-5, Mayors Drive at Boma dated 12/08/2021	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 1 or else score 0	MC Environment Officer and MCDO conducted support supervision and monitoring evidenced by the E&S Monitoring Reports for the Fencing works within the civic area/ Kumi Municipality by the SEO and MCDO as follows; <ul style="list-style-type: none"> • 18/04/2023 • 03/04/2023 • 08/03/2023 	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0	E&S Certification form for the Fencing works within the civic area/ Kumi Municipality by the SEO and PCDO on 24/05/2023	1

Financial management

16	LG makes monthly Bank reconciliations Maximum 2 points on this Performance Measure	a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment: Score 2 or else score 0	The MLG operated bank accounts which were all reconciled up to 31st October, 2023. The YLP Revolving Fund account number 3100049190 with Centenary Rural Development Bank, Kumi branch was reconciled with a closing balance of shs 975,450 as on 31st October, 2023. The UWEP account number 471210045 with Centenary bank Kumi branch was reconciled to 31st October, 2023 with a closing balance of shs 12,167,500. The General Fund account number 307753000024 with Finance Trust bank Kumi branch had a reconciled closing balance of shs 24,764,814 as on 31st October, 2023.	2
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17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p>	<p>The MLG produced the four quarterly internal audit reports for FY 2022/2023 as required. Quarter One report was produced on 7th November, 2022 under reference KMC/252/2 addressed to the Speaker and copied to PS MOLG, IAG, OAG, LGPAC, Mayor, RDC, and TC. There were five queries.</p>	2
	<p>Maximum 4 points on this performance measure</p>	<p>Score 2 or else score 0</p>	<p>The quarter two report was produced on 4th January, 2023 under reference KMC/252/2 addressed to the Speaker and copied to PS MOLG, IAG, OAG, LGPAC, District Chairman, RDC, and TC. There were six queries.</p>	
			<p>Quarter three report was produced on 27th April, 2023 under reference KMC/252/2 addressed to the Speaker and copied to PS MOLG, IAG, OAG, LGPAC, Mayor, RDC, and TC. There were six queries.</p>	
			<p>Quarter four report was produced on 26th July, 2023 under reference KMC/252/2 addressed to the Speaker and copied to PS MOLG, IAG, OAG, LGPAC, Mayor, RDC, and TC. There were eleven queries.</p>	
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p>	<p>The LG PAC in Kumi District Local Government reviewed all the internal audit reports that were produced by Kumi Municipal Local Government during FY 2022/2023.</p>	1
	<p>Maximum 4 points on this performance measure</p>	<p>Score 1 or else score 0</p>	<p>The MLG provided information to the Council! Chairperson and the LG PAC on the status of implementation of internal audit findings for FY 2022/2023; information on follow-up on audit queries from all quarterly audit reports. Example: CAO's letter from Kumi DLG to the TC Kumi MLG dated 3rd July, 2023 under reference CR214/14. LGPAC reports were produced on quarterly basis and submitted to Kumi DLG Council for action. The LGPAC report dated 24th March, 2023 for quarter for FY 2022/2023 updated the status of implementation and follow up action on recommendations raised by the LG PAC.</p>	

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>The Kumi Municipal Local Government internal audit reports for the previous FY 2022/2023 were submitted to the Town Clerk Kumi MLG Accounting Officer, LG PAC and that LGPAC reviewed them accordingly. After review of the reports, the LGPAC provided feed back on the reports to the MLG as required.</p> <p>All the LGPAC reports were submitted to Council for action as Council convened a meeting on 28th April, 2023 and discussed the LGPAC reports under minute reference MIN 21/34/04/2023.</p>	1
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Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>Kumi Municipal Local Government planned for collection of local revenue amounting to shs 289,028,880 as provided on page 11 of the MLG draft accounts for FY 2022/2023. The MLG realised shs 236,354,410 on closure of FY 2022/2023 as indicated on page 11 of the draft final accounts of the MLG. This was equivalent to 82% performance.</p> <p>Low collection of local revenues was attributed to the after effects of COVID 19, poor harvesting of cash crops due to dry season, changes instituted by the Central Government in respect of charging parking fees for motor vehicles in the MLG parking yards.</p>	0
19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>The MLG realised shs 236,354,410 on closure of FY 2022/2023 as indicated as per page 11 of the draft final accounts of the MLG. In FY 2021/2022, the MLG realised shs 267,304,280 as per page 12 of the audited accounts of the MLG for FY 2021/2022. This was poor performance in local revenue collection.</p>	0

20	Local revenue administration, allocation, and transparency Maximum 2 points on this performance measure.	a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0	The local revenue amount transferrable to LLGs in FY 2022/2023 was shs 234,140,740 as per page 12 of the draft final accounts for FY 2022/2023. Examples of local revenue that was transferred to divisions: (i) Shs 8,000,000 was transferred to North Division as per payment voucher number 5845411 dated 11th June, 2023 as the 50% share in quarter four. (ii) Shs 1,455,000 was transferred to South Division as per payment voucher number 852525 dated 30th August, 2022 as the 50% share in quarter one. (iii) South Division received shs 500,000 as per payment voucher number 2534387 dated 6th December, 2022 as the 65% share in quarter two. (iv) North Division received shs 8,280,000 as per payment voucher number 1293537 dated 6th October, 2022 as the 65% share in quarter two.	2
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Transparency and Accountability

21	LG shares information with citizens Maximum 6 points on this Performance Measure	a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0	The procurement plan and awarded contracts for the FY 2022/2023 infrastructure project contracts including DDEG contracts were publicized on 16th/11/2022 as per the notice of best evaluated bidders that was pinned on the notice board and on file.	2
21	LG shares information with citizens Maximum 6 points on this Performance Measure	b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0	The Kumi Municipal Local Government performance results for FY 2020/2021 were publicised at the municipal headquarters and division North and South as per TC's circular letter dated 26th October, 2022 under reference KMC/252/18. TC's circular letter dated 12th November, 2022 that was pinned on the South and North divisions notice boards. The MLG had not established a website at the time of the assessment.	2

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>There was no evidence that the MLG conducted discussions (e.g. municipal urban forum, barazas, radio programmes etc.) with the public to provide feedback on status of activity implementation</p>	0
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>The MLG made publicly available information on i) tax rates as evidenced by TC's circular letter dated 26th April, 2023 under reference KMC/104/4 to divisions. The circular letter was copied to the Mayor. TC's letter dated 3rd May, 2022 under reference KMC/104/4 on tax procedures and appeals.</p>	1
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>There were no IGG issues in the MLG. Scrutiny of the minutes of the meetings held by Council on dates indicated below had no IGG issues reported.</p>	1
			<p>Meeting held on 16th September, 2022, 20th December, 2022, 29th March, 2023, 31st March, 2023 and 31st May, 2023.</p>	

**Educational
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>The PLE pass rates decreased by -3.5% between 2022 and 2020 school years.</p> <p>2020</p> <p>In 2020 the learners who passed in Div 1, Div 2 and Div 3 were 904 out of 998</p> <p>$904/998*100 = - 90.5\%$</p> <p>2022</p> <p>1034 passed in Div 1, 2, and 3 out of 1188 pupils, which represented 87 % pass rate.</p> <p>The percentage decrease in pass rate was</p> <p>$87\% - 90.5\% = - 3.5\%$</p>	0
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>The percentage increase between 2022 and 2020 UCE results was 15.2%</p> <p>In 2020, 101 students passed Div 1, 2 and 3 out of 231 students, accounting to 43.7%</p> <p>In 2022, 161 students passed in Div 1, 2 and 3 out of 273 representing 58.9 %</p> <p>Percentage increase was $58.9\% - 43.7\% = 15.2\%$</p>	3

2	<p>N23_Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p>	<p>The average score in the education LLG performance was 75% in 2022 and in 2023, the performance was 80% giving a percentage improvement of 5%</p>	1
	<p>Maximum 2 points</p>	<ul style="list-style-type: none"> • By more than 5%, score 2 • Between 1 and 5%, score 1 • No Improvement, score 0 <p>NB: If the previous average score was 95% and above, Score 2 for any increase.</p>		
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>The Education development grant was used on eligible activities as stipulated in the Guidelines manual of FY 2022/23 page 21, Table 11.</p> <p>The projects included;</p> <ul style="list-style-type: none"> -Construction of a fence and a Three classroom block, Store and office for Amejei P/S at a cost of Ugx 30,249,979 -Construction of a Five stance latrine at St. Mathias Aputon and Olungia Primary schools at a cost of Ugx 20,967,912 and Ugx 23,721,550 respectively. 	2
	<p>Maximum 8 points on this performance measure</p>			

Investment
Performance: The LG
has managed
education projects as
per guidelines

Maximum 8 points on
this performance
measure

b) If the DEO,
Environment Officer
and CDO certified
works on Education
construction projects
implemented in the
previous FY before the
LG made payments to
the contractors score 2
or else score 0

The MLG implemented four projects during FY 2022/2023 out of which a sample of three projects was selected. (i) Payment to Arise Blessed Desting Enterprises Ltd for shs 23,721,550 on payment voucher number 6428488 dated 28th June, 2023 in respect of construction of a five stance lined VIP latrine at Olungia Primary School in South division as per contract KMC/717/WRKS/2022-2023. The MEO initiated payment on 28th April, 2023 and MCDO, the Senior Environment Officer , HOF, MTC signed the documents to certify payment on the same date.

(ii) Payment to Ojokotau Technical Services Ltd for shs 30,249,979 in respect of phased construction of a three class room block with an office at Amejei Primary School LOT 03 in North Division on payment voucher number 6435615 dated 28th June, 2023. Payment was initiated by the MEO on 28th June, 2023. The MCDO, Senior Environment Officer, ME, TC, AE signed the payment documents on the same.

(iii) Payment to Simpjo Technical Uganda Ltd for construction of five stance lined VIP pit latrine for shs 20,967,912 on payment voucher number 6437446 dated 28th June, 2023 for construction of a five stance lined VIP pit latrine at St Mathias Aputon Primary School in South Division. Payment was initiated by the MEO on 19th May, 2023. The ME, Principal Community Officer, Assistant Engineer, Senior Environment Officer, HOF and TC signed the documents to certify payment on the same date.

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

c) If the variations in the contract price are within +/-20% of the MoWT estimates score 2 or else score 0

The percentage contract variation for all the three sampled education project contracts were within the +/-20% as indicated below for the respective project contracts;

Phased construction of a 3-classroom block at Amejel primary school under Procurement number. KMC717/WRKS/2022-2023/00001

Contract price = 34,817,670

Estimated cost = 42,000,000

Percentage change = -17.1%

Construction of a 5-stance lined VIP latrine at Olungai Primary School Lot 1 under Procurement number. KMC717/WRKS/2022-2023/00002

Contract price = 24,796,083

Estimated cost = 25,000,000

Percentage change = -0.82%

Construction of a 5-stance lined VIP latrine at St. Mathias Aputon Primary School Lot 2 under Procurement number. KMC717/WRKS/2022-2023/00002

Contract price = 24,889,150

Estimated cost = 25,000,000

Percentage change = -0.45%

The percentage contract variation is computed as

$(\text{contract cost} - \text{Estimated cost}) / (\text{Estimated cost}) * 100$

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY

- If 100% score 2
- Between 80 - 99% score 1
- Below 80% score 0

The assessment manual specifies this indicator for only seed secondary schools which does not apply to municipalities. However all the FY 2022/2023 education infrastructure projects implemented were 100% completed as per the education departmental progress report dated 7th/01/2023. The phase 1 construction of the 3 classroom block at Amejel Primary school up to the ring beam level was completed to 100% and all the two 5-stance latrines at Olungai and St. Mathias Aputon primary schools were also 100% completed.

4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 - 79% score: 1 • Below 70% score 0 	<p>The MC recruited Primary School Teachers as the prescribed MoES staffing guidelines. The approved number of required teachers was 305 but the LG managed to recruit only 295 teachers which translated to 96%. This was a clear indicator of under staffing in primary schools.</p>	2
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4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> • If above 70% and above score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 	<p>All the schools within the municipality met the basic standards set out by DES, for example all the 17 UPE schools had 177 classrooms representing 10 classrooms per school with each school having at least a class for each learning group as required.</p> <p>The 17 schools, had 116 teachers' accommodations representing a minimum of 6 staff accommodations for each school as required by DES.</p> <p>$17 / 17 * 100 = 100\%$</p>	3
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Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>There was accurate deployment of teachers as indicated on the teacher's deployment list and the school staff lists displayed in all the 3 sampled schools i.e. Kumi Boys P/S had 14 teachers deployed and 14 teachers on its school staff list led by Ms. Judith Imamut the Head teacher.</p> <p>With 5 teachers being male and 9 being female.</p> <p>Olungia P/S had 15 teachers, deployed, out of which 7 were male and 8 were female led by Ms. Grace Achoroi.</p> <p>Boma North P/S had 16 teachers deployed, out of which 6 were male and 10 females, led by Ms Alupo Martha.</p>	2
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5	2	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>The infrastructure recorded in Kumi municipality primary school asset register was found present in all the visited sampled schools.</p> <p>Kumi Boys P/S had 12 classrooms, 5 teachers' houses, 15 stance latrines and 260 desks.</p> <p>Olungia P/S had 8 classrooms, 7 staff houses, 9 latrine stances, and 189 desks.</p> <p>Boma North P/S had 148 desks, 7 classrooms and 17 latrine stances, 6 teachers' houses which were all found on ground.</p>
6	4	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p> <ul style="list-style-type: none"> • If 100% school submission to LG, score: 4 • Between 80 - 99% score: 2 • Below 80% score 0 	<p>100% of schools submit school reports as evidenced from Schools Reports file at the Education department of KMC, all the reports submitted by the 30th January ,2023.</p> <p>Kumi Boys P/S submits termly reports and it covers, school opening and closing dates, total school enrolment of learners and teachers, school performance, achievements, challenges and recommendations. Reports were submitted by 15th Janaury 2023.</p> <p>Olungia P/S submits termly reports, it captures learners' enrolments, staffing, achievements, challenges and planned activities.</p> <p>Boma North P/S submits termly reports, and captures attendance of teachers and learners. Achievements, challenges and way forward.</p> <p>Percentage submission;</p> <p>$3/3*100= 100\%$</p>

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> • If 50% score: 4 • Between 30- 49% score: 2 • Below 30% score 0 	<p>School Improvement plans were found in all the 3 sampled schools representing 100% i.e. Boma North, Olungia and Kumi Boys primary schools.</p> <p>The school improvement plan of Olungia P/S prioritized Improving performance, improving sanitation, construction of a school kitchen, doing repairs among others.</p> <p>Boma North P/S prioritized, Fencing the school, construction of teachers houses, supporting Girl child Education, fencing the school, among others.</p> <p>Kumi Boys P/S prioritized Scheming and lesson planning, effective teaching, support supervision, improving reading and writing of learners among others.</p> <p>Percentage</p> <p>$3/3*100=100\%$</p>	4
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6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 - 99% score 2 • Below 90% score 0 	<p>The list of schools on PBS and OTIMS corresponded well with each having 17 UPE schools and 1 USE school which is 100%. This was done on 26th November , 2022.</p> <p>$18/18* 100=100\%$</p>	4
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Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>The municipality budgeted Ugx 1,818,642,000 for 17 head teachers and 296 teachers for all the 17 primary schools of KUMI Municipal Council for the FY 2022/23 and 23/24.</p>	4
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7 Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY, Score 3 else score: 0

Teachers had been deployed as required by the sector guidelines with a head teacher and a minimum of 7 teachers per school for schools with classes reaching P.7.

Olungia P/S had a head teacher Ms. Achoroi Grace and a total of 15 teachers.

Kumi Boys P/S had a head teacher Ms. Judith Imamut and a total staffing of 14 teachers.

Boma P/S had a head teacher Ms. Alupo Martha and a total of 16 teachers

Maximum 8 points on this performance measure

3

7 Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

c) If teacher deployment data has been disseminated or publicized on LG and or school notice board, score: 1 else, score: 0

All the primary schools sampled namely, Boma North, Kumi Boys and Olungia had their staff lists displayed on their office walls dated 2023.

Maximum 8 points on this performance measure

1

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copy to DEO/MEO</p> <p>Score: 2 or else, score: 0</p>	<p>The MC had 17 Primary School Head Teachers and there was evidence that all were appraised. Ten (10) files were sampled for review and below were the findings;</p> <ol style="list-style-type: none"> 1. Okello Obore Francis (Okouba P/S) was appraised on 31/12/2022 2. Oligo Francis (Bazaar Ward) was appraised on 3/12/2022 3. Alungat Hellen (St.Mathia Aputon) was appraised on 28/12/2022 4. Asio Hellen (Aburbur) was appraised on 30/12/2022 5. Ebwokor Charles (Kelim) was appraised on 6/12/2022 6. Amity Immaculate (Kumi Girls) was appraised on 29/12/2022 7. Okeja Francis (Otiye) was appraised on 30/12/2022 8. Okodel David (Aterai) was appraised on 30/12/2022 9. Oluka Moses (Kumi Township) was appraised on 26/11/2022 10. Akello Caroline (Kabata) was appraised on 20/12/2022 	2
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM</p> <p>Score: 2 or else, score: 0</p>	<p>The MC had 1 Secondary School HT and there was evidence that he was appraised.</p> <ol style="list-style-type: none"> 1. Okomo Francis (Wiggins SS) was appraised on 5/12/2022 	2
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p> <p>score: 2. Else, score: 0</p>	<p>There was evidence that all Education Department staff were appraised against their performance plans.</p> <ol style="list-style-type: none"> 1. Omungen John Bosco (Sports Officer) was appraised on 30/6/2023 2. Omanikor Francis (Inspector) was appraised on 7/6/2023 3. Atai Stella (PEO) was appraised on 22/6/2023 	2
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>There was a training plan for the FY 2022/23 for the identified staff capacity building gaps within the Education department of KUMI Municipal Council. The trainings undertaken included;</p> <ul style="list-style-type: none"> - Training of Head teachers of head teachers on SIPs -Induction of new teachers -Item Writing for teachers of Upper primary -Moderation of Exams for selected teachers -CPDs for teachers in Early Grade reading 	2
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Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p>	<p>The KUMI Municipal Council has confirmed in writing the list of schools as evidenced on the referenced letter</p> <p>KMC. 305/ 1 dated 26/11/2022</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p> <p>If 100% compliance, score:2 else, score: 0</p>	<p>The KUMI Municipal Council Education department had an Inspection and Monitoring budget of Ugx 17,784,000 for 22/23 for the 17 primary schools.</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p> <p>If 100% compliance, score: 2 else score: 0</p>	<p>The PS/ST communicated cash limits for the Education Sector in quarter one on 28th July, 2022 under reference BPD/267/268/01. There were no funds in quarter one.</p> <p>In quarter two, the communication from PS/ST was done on 10th October, 2022 under reference BPD/267/268/01, Warranting on 10th October, 2022; Invoicing on 10th October, 2022. Transfers to schools was done on 15th October, 2022 and communication to LLGs was done on 15th October, 2022.</p> <p>The PS/ST communicated cash limits for the Education Sector in quarter three on 10th January, 2023 under reference BPD/267/268/01. Warranting was done on 13th January, 2023, Invoicing was done on 19th January, 2023; Transfers to schools was done on 27th January, 2023 and communication to LLGs was done on 27th January, 2023.</p> <p>The PS/ST communicated cash limits for the Education Sector in quarter four on 24th April, 2023 under reference BPD/267/268/01. Warranting was done on 25th April, 2023, Invoicing was done on 30th April, 2023; Transfers to schools was done on 30th April, 2023 and communication to LLGs was done on 2nd May, 2023.</p> <p>There were no delays experienced by the DLG.</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p> <p>If 100% compliance, score: 2 else, score: 0</p>	<p>There was evidence that the MLG invoiced on 9th October, 2022 and the MEO s communicated and publicized capitation releases to schools on 11th October, 2022 within three working days of release from MoFPED as witnessed by sampled schools in the North and South divisions. Amejei Primary School in North Division as per MEO's circular letter dated 12th October, 2022 under reference KMC/RT/33/2022-2023 for shs 34,989,000 as capitation grant for schools. TC's circular letter dated 23rd February, 2023 under reference KMC/45/DL in respect of capitation releases to schools for shs 56,987,000.</p> <p>At Olungia Primary School in South Division as per TC's circular letter dated 24th May, 2023 on quarter four capitation release to schools.</p>	2
10	<p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <ul style="list-style-type: none"> • If 100% compliance, score: 2, else score: 0 	<p>There was evidence that KUMI Municipal Council's Education department prepared an Inspection plan and meetings were conducted to plan for school inspections as evidenced from the inspection workplan work schedules dated i.e.05/09/22-25/10/22;04/07/22-10/08/22;06/02/23-27/03/23.</p>	2
10	<p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> • If 100% score: 2 • Between 80 - 99% score 1 • Below 80%: score 0 	<p>The LG had inspection reports for Term 3 , Term 1, and Term 2, with each covering 17 primary schools, as indicated below:</p> <p>Term 1 report was dated 05/10/2022, Term II inspection was done between 04/07/22 - 10/08/22,Term III inspection was done in 2022 on 05/09/22, 25/10/22 and 15/01/23</p> <p>All 17 schools had been inspected in the three school terms; reports was prepared by the inspector of schools. Thus percentage number of schools inspected was 100% as computed below</p> <p>$17/17*100\%= 100\%$</p>	2

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>There was evidence of dissemination of findings arising from the inspection reports as evidenced from the departmental meetings dated 22/06/23 to discuss inspection report of Q3 of 22/23.</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>Inspection findings had been presented to all the 3 sampled primary schools i.e. Kumi Boys P/S- on (15/11/22), Olungia P/Son (06/09/22), and Boma North P/S on (13/02/23).</p> <p>The Inspection and Monitoring reports had been forwarded to DES as evidenced from DES acknowledgement sheets for Q1(5/10/22); Q2 (15/01/23); Q3(14/04/23);Q4(18/07/23) received by Mr Ongwali Patrick DES Mbale.</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>The Council Committee responsible for education was in place that was also referred to as the Social Services Committee which met every quarter. There was no meeting of the Committee during the first quarter in FY 2022/2023.,</p> <p>In quarter two, the Committee met on 18th September, 2022 and discussed departmental work plans, confirmation of technical staff in the Education Sector etc.</p> <p>In quarter three, there was no meeting of the Committee that was held. In quarter four the Committee met on 18th May, 2023 and discussed departmental reports, budget for FY 2022/2023 and departmental work plans.</p>	2

11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,</p> <p><i>score: 2 or else score: 0</i></p>	<p>There were minutes of meetings held to mobilize parents in order to attract learners, as evidenced from the mobilization events and reports with pictorials as well as Radio Talk show recordings on ETOP and Continental Radios.</p> <ul style="list-style-type: none"> - A report on mobilization of parents to support school activities to influence learner retention held on 05/02/23 - Mobilization was also done in some schools which had high levels of absenteeism such as Kumi Township P/S, Aburuburu P/S, Aterai P/S - Mobilization was also done at EMURIA TV geared towards school feeding - Menstrual hygiene on ETOP Radio on 28/05/22. - Mobilization is also done during school sports activities, SMC and PTA meetings.
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Investment Management

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards,</p> <p><i>score: 2, else score: 0</i></p>	<p>There was an up to- date asset register dated for 2023, listing all assets within the 17 primary schools of KUMI Municipal Council.</p> <p>There were 177 classrooms, 3262 desks, 176 pit latrine stances and 116 teachers' houses.</p>
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12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i></p>	<p>The Education Department implemented four projects during FY 2022/2023 out of which a sample of three projects was selected. (i)</p> <p>Phased construction of a three class room block in Amejei Primary, School in North Division budgeted for shs 35,000,000 per approved MLG budget for FY 2022/2023. The project was captured on page 164 of the MDP III and AWP. The desk appraisal report was dated 10th November, 2021. The field appraisal report was dated 25th November, 2021.</p> <p>(ii) Construction of a five stance lined VIP pit latrine at St Mathias Aputon Primary School in South Division budgeted for shs 25,000,000 as per page 37 of the MLG approved budget for FY 2022/2023. The project was captured in MDP III on page 164, page 10 of the AWP. The field appraisal report was dated 26th November, 2021 and desk appraisal report was dated 10th November, 2021.</p> <p>(iii) Construction of a five stance lined VIP lined pit latrine at Olungia Primary School in South Division budgeted for shs 25,000,000 as per page 30 of the MLG approved budget for FY 2022/2023. The project was captured in MDP III on page 164 and the AWP. The field appraisal report was dated 26th November, 2021 and the desk appraisal report was dated 10th November, 2021.</p>	1
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, <i>score 1 else score: 0</i></p>	<p>Kumi MLG conducted the field appraisal for all the education infrastructure projects implemented in FY 2022/2023, as indicated in the consolidated field appraisal report dated November 26, 2021, to check for technical feasibility, environmental and social acceptability, and, where needed, customize the design to suit the site conditions. Each project was independently evaluated against three criteria checks, and the report indicated that all projects passed the three checks and were fit for implementation.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p>	<p>Kumi MLG incorporated all the FY 2023/2024 Education infrastructure projects in the LG approved procurement plan approved on 5th/06/ 2023 as indicated on page 5 of the procurement plan. Such projects included the phase II construction of a 3-classroom block at Amejel Primary school at 41,000,000 and</p> <p>Construction of 5-stance and 2-stance VIP latrine respectively at Otipe primary School and Amejel Primary School.at 47,000,000</p>	1

13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i>	The Kumi MLG contracts committee approved the Education infrastructure projects for the FY 2022/2023 under minute 05/KMC/CC/11/2022 of the minutes of the contracts committee dated 9th/11/2022.	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i>	Kumi MLG did not properly establish the project implementation team as per the PPDA requirement. The town clerk, as per the appointment letter dated January 24, 2023, appointed Mr. Ochen Stephen (Assistant Municipal Engineer) as the project manager, Ms Ainyo Grace as the CDO, Ms. Kongai Marion as the environment officer, Mr Omugen Bosco (Education Sports Officer) as the contracts manager, but no labour officer and clerk of works were appointed on the team.	0
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES <i>Score: 1, else, score: 0</i>	The field technical inspection of the phase 1 construction of a 3-classroom block with an office at Amejel Primary School showed that the structures were implemented following the specifications provided in both the approved architectural and structural designs. The Construction scope of work for the FY 2022/2023 phase 1 construction involved the rising of the structure from the ground level to the ring beam level. The window and door opening sizes types and numbers were in line with the specifications, there were no observed structural cracks on the walling and ground floor, a physical scratch check on the plaster and concrete floors indicated a good class mortar and concrete used and the block work was done well and all the buildings component dimensions were in line with the architectural plans provided by the ministry of education construction unit.	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i>	The assessment manual specified this indicator for only seed secondary schools which was not applicable to municipalities. However, the LG presented only the attendee list of one minutes of site meeting dated 19th/01/2023 for the phase 1 construction of the 3-classroom block at Amejel P/S.	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted <i>score: 1, else score: 0</i></p>	<p>There was evidence that during critical stages of construction of education sector infrastructure projects, joint technical supervision involving engineers, environment officer, CDO were conducted as indicated in the following supervision reports for different projects;</p> <p>Joint inspection report dated 13th/06/2023 for the construction of a 3 - classroom block at Amejel Primary School, Joint inspection report dated 9th/05/2023 for the construction of a 3 - classroom block at Amejel Primary School, E &S monitoring reports dated 30th/3/2023 and 4th/4/2023 for the construction of a 4-stance lined pit latrine at Aputon P/S and E&S compliance report dated 16th/03/2023 for the construction of a 3 - classroom block at Amejel Primary School.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>Sector infrastructure projects were properly executed and payments to contractors made within specified time frames within the contract as detailed below.</p> <p>The MLG implemented four projects during FY 2022/2023 out of which a sample of three projects was selected. (i) Payment to Arise Blessed Desting Enterprises Ltd for shs 23,721,550 on payment voucher number 6428488 dated 28th June, 2023 in respect of construction of a five stance lined VIP latrine at Olungia Primary School in South division as per contract KMC/717/WRKS/2022-2023. The MEO initiated payment on 28th April, 2023 and MCDO, the Senior Environment Officer , HOF, MTC signed the documents to certify payment on the same date.</p> <p>(ii) Payment to Ojokotau Technical Services Ltd for shs 30,249,979 in respect of phased construction of a three class room block with an office at Amejei Primary School LOT 03 in North Division on payment voucher number 6435615 dated 28th June, 2023. Payment was initiated by the MEO on 28th June, 2023. The MCDO, Senior Environment Officer, ME, TC, AE signed the payment documents on the same.</p> <p>(iii) Payment to Simpjo Technical Uganda Ltd for construction of five stance lined VIP pit latrine for shs 20,967,912 on payment voucher number 6437446 dated 28th June, 2023 for construction of a five stance lined VIP pit latrine at St Mathias Aputon Primary School in South Division. Payment was initiated by the MEO on 19th May, 2023. The ME, Principal Community Officer, Assistant Engineer, Senior Environment Officer, HOF and TC signed the documents to certify payment on the same date.</p>	1

13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i>	The Education department timely submitted the procurement plan for the FY 2022/2023 to procurement unit on 25th/04/2022 before the deadline date of 30th/April/2022 as per the PPDA requirement.	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law <i>score 1 or else score 0</i>	<p>The assessment manual specified this indicator for only seed secondary schools which was not applicable to municipalities. However, the LG had complete procurement files for the education infrastructure projects implemented in the FY 2022/2023 as indicated below for the three sampled project files.</p> <p>1. Procurement file for the phase 1 construction of a 3-classroom block at Amejel Primary School (KMC717/WRKS/2022-2023/00001)</p> <p>The procurement file had the evaluation report dated 7th/11/2022 with Ojoktau Technical Services ltd as the best evaluated bidder at a cost of 34,817,670 Minutes of contracts committee decision dated 11th/11/2022 and the works contract signed on 5th/12/2022.</p> <p>2. Procurement file for the construction of the 5-stance lined pit latrine at Olungai Primary School lot 01 (KMC717/WRKS/2022-2023/00002).</p> <p>The procurement file had the evaluation report dated 7th/11/2022 with Arise Blessed Enterprises ltd as the best evaluated bidder at a cost of 24,796,083 Minutes of contracts committee decision dated 9th/11/2022 and the works contract signed on 1st/12/2022.</p> <p>3. Procurement file for the construction of the 5-stance lined pit latrine at St. Mathias Aputon Primary School lot 02 (KMC717/WRKS/2022-2023/00002).</p> <p>The procurement file had the evaluation report dated 7th/11/2022 with Simpio Tech (U) ltd as the best evaluated bidder at a cost of 24,889,150, Minutes of contracts committee decision dated 9th/11/2022 and the works contract signed on 1st/12/2022.</p>	1

Environment and Social Safeguards

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0</p>	<p>MC had no grievance recorded under education sector for FY 2022/2023 by the time of assessment, however the Central Grievance Log was available dated 2017 and coded "Education and Health"</p>	3
15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>There was evidence that guidelines were received and disseminated to the head teachers on 30th September,2022.</p> <p>Environmental Guidelines for all schools in KMC disseminated on 5th August, 2022 from the Senior Environmental officer Ms Esther Kongai.</p>	3
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, score: 2, else score: 0</p>	<p>MC had a costed ESMP incorporated within the BoQs as evidenced by Item I (plant and protect Paspalum, 5 timber trees, 5 shade trees, 5 fruit trees within the compound) on unspecified page of the BoQs in the bidding document for the construction of 5 stance lined VIP latrine at Olungai P/S lot 1 with Procurement Reference KMC717/Wrks/2022-2023/00002 lot 1 dated 01/11/2022 by Arise Blessed Destiny Enterprises Ltd.</p>	2
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, score: 1, else score:0</p>	<p>MC had no proof of land ownership, access of school construction projects by the time of assessment as required</p>	0

16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p>	<p>The MC conducted monthly support supervision and monitoring of education projects in FY 2022/2023 as evidenced below;</p> <ul style="list-style-type: none"> • E&S Monitoring Report for the phased construction of 3 classroom block and a store at Amejei P/S, 5 stance lined VIP latrine at Olungai P/S and 5 stance pit latrine at Aputon P/S by the SEO and PCDO on 31/01/2023 • E&S Monitoring Report for the construction of 5 stance lined VIP latrine at Olungai P/S by the SEO and PCDO on 04/04/2023 • E&S Monitoring Report for the phased construction of 3 classroom block and a store at Amejei P/S by the SEO and PCDO on 16/03/2023 	2
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments <i>Score: 1, else score:0</i></p>	<p>The Environment Officer and MCDO prepared the E&S certification forms for education projects prior to payment of contractors as evidenced below;</p> <ul style="list-style-type: none"> • E&S Certification form for the construction of 5 stance pit latrine at Aputon P/S by the SEO and MCDO on 10/05/2023 • E&S Certification form for the phased construction of 3 classroom block and a store at Amejei P/S by the SEO and MCDO on 10/05/2023 • E&S Screening form for the construction of 5 stance lined VIP latrine at Olungai P/S by the SEO and PCDO on 10/05/2023 	1

**Health
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score														
Local Government Service Delivery Results																		
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>There was only one health facility in the MLG and had deliveries as follows:</p> <table border="0"> <tr> <td colspan="2">FY 2021/2022</td> </tr> <tr> <td>Kumi HC IV</td> <td>1396</td> </tr> <tr> <td>Total</td> <td>1396</td> </tr> <tr> <td colspan="2">FY 2022/2023</td> </tr> <tr> <td>Kumi HC IV</td> <td>1451</td> </tr> <tr> <td>Total</td> <td>1451</td> </tr> <tr> <td colspan="2">Percentage change $(1451-1396)/3644*100=3.93\%$</td> </tr> </table>	FY 2021/2022		Kumi HC IV	1396	Total	1396	FY 2022/2023		Kumi HC IV	1451	Total	1451	Percentage change $(1451-1396)/3644*100=3.93\%$		0
FY 2021/2022																		
Kumi HC IV	1396																	
Total	1396																	
FY 2022/2023																		
Kumi HC IV	1451																	
Total	1451																	
Percentage change $(1451-1396)/3644*100=3.93\%$																		
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> • 70% and above, score 2 • 50% - 69%, score 1 • Below 50%, score 0 	<p>Kumi MLG had an average score of 85% according to the provided score for FY 2023.</p>	2														
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> • 75% and above; score 2 • 65 - 74%; score 1 • Below 65; score 0 	<p>Not applicable</p>	0														

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.

The MLG budgeted shs 281,004,000 as development grant for FY 2022/2023 as per QBPR for quarter four on page 16. Shs 281,004,000 was equally spent by closure of FY 2022/2023 as reflected on page 77 of the Annual Performance Report for FY 2022/2023 Performance was 100%. Examples of projects implemented by the Health Department during FY 2022/2023: (i) Construction of OPD at Aterai HC III in North Division per contract reference number KMC/WRKS/2022-2023/00017 (ii) Phased construction of OPD at Kumi HC IV in South Division as per contract reference number KMC/WRKS/2022-2023/00001.

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/suppliers score 2 or else score 0

The MLG implemented projects in the department during FY 2022/2023 out of which a sample of three projects was selected. (i) Payment to KABA General Hardware Ltd for shs 77,499,072 per payment voucher number 6431726 dated 28th June, 2023 in respect of construction of OPD at Aterai HC III in South Division as per contract number KMC 717/WRKS/2022-2023/00002.

The PHI initiated payment on 9th April, 2023 and on the same date, the TC, PCDO, ME, Environment Officer, Assistant Engineer signed the payment documents.

(ii) Payment to Sanitation Africa Ltd for shs 133,563,492 per payment voucher number 6439869 dated 28th June, 2023 in respect of phased construction of OPD Kumi HC IV Southern Division per contract number KMC/WRKS/2022-2023/00001.

The PHI initiated payment on 6th June, 2023 and on the same date, the TC, PCDO, ME, Environment Officer, Assistant Engineer signed the payment documents.

(iii) Payment to Dauci Investments Ltd

for shs 6,355,913 per payment voucher number 6429878 dated 28th June, 2023 in respect of construction of a placenta pit at Kumi HCIV per contract KMC 717/WRKS/2022-2-23/00002. The PHI initiated payment on 5th May, 2023 and on the same date, the TC, PCDO, ME, Environment Officer, Assistant Engineer signed the payment documents.

(iv) Payment to Payo -Echo Engineering Company Ltd

for shs 12,797,865 per payment voucher number 6442276 dated 28th June, 2023 in respect of construction and completion of a VIP latrine at Alerai HC III as per contract KMC 717/WRKS/2022-2023/00002. The PHI initiated payment on 12th June, 2023 and on the same date, the TC, PCDO, ME, Environment Officer, Assistant Engineer signed the payment documents.

3	Investment performance: The LG has managed health projects as per guidelines.	c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0	All the three sampled implemented health project contracts had percentage contract variations that were within +/-20% as indicated below for the respective project contracts;	2
	Maximum 8 points on this performance measure		<p>1. Completion of an OPD at Aterai HC III (Procurement Reference no. KMC717/WKRS/2022-2023/00002)</p> <ul style="list-style-type: none"> • Contract price = 91,071,220 • Estimated cost = 99,000,000 • Percentage contract variation = -8.0% <p>2. Phased completion of an OPD at Kumi HC IV (Procurement Reference no. KMC717/WKRS/2022-2023/00001)</p> <ul style="list-style-type: none"> • Contract price = 299,998,480 • Estimated cost = 300,000,000 • Percentage contract variation = -0.0005% <p>3. Construction and completion of 2 -stance lined VIP latrine respectively at Aterai HC III Lot 3 and 4-stance latrine at Aterai HC III (Procurement Reference no. KMC717/WKRS/2022-2023/00002)</p> <ul style="list-style-type: none"> • Contract price = 15,127,500 • Estimated cost = 15,500,600 • Percentage contract variation = -2.41% 	
3	Investment performance: The LG has managed health projects as per guidelines.	d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY	There was no HC II being upgraded to HC III in the FY 2022/2023	2
	Maximum 8 points on this performance measure	<ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 		

4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	<p>Kumi HC IV the only health facility had 57/48 (118.8%) which was above the 75% of staff required.</p>	2
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4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> • If 100 % score 2 or else score 0 	<p>There was no HC II being upgraded to HC III in the FY 2022/2023</p>	2
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Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>The H/Ws were working in the facility where they were deployed. Kumi HC IV had 57 HWs on the list dated 3/07/2023 and were found in attendance book.</p>	2
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5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>There was no facility upgraded in FY2022/2023.</p>	2
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>Kumi HC IV submitted Annual Workplan and budget 2023/2024 timely on 30/03/2023.</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>Kumi HC IV submitted to the MMOH Annual Budget Performance Report for 2022/2023 on the 14/07/2023.</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>Kumi HC IV developed a Performance Improvement Plan 2023/2024 on 3/07/2023. The issues were mainly filled up latrines, lack a refrigerator for Anaesthetic drugs and filled up refuse pit.</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following</p>	<p>Kumi HC IV submitted up to date monthly and quarterly HMIS reports timely as follows: July 2022</p>	2

Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

the end of each month and quarter) If 100%,
• score 2 or else score 0

5th August 2022

August 2022

7th September 2022

September 2022

7th October 2022

October 2022

7th November 2022

November 2022

7th December 2022

December 2022

7th January 2023

January 2023

7th February 2023

February 2023

7th March 2023

March 2023

7th April 2023

April 2023

6th May 2023

May 2023

7th June 2023

June 2023

7th July 2023

Quarterly 2022/2023

1st Quarter 2022/2023

7th October 2022

2nd Quarter 2022/2023

7th January 2023

3rd Quarter 2022/2023

7th April 2023

4th Quarter 2023

7th July 2023

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0</p> <p>Note: Municipalities submit to districts</p>	Not applicable.	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	Not applicable	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>Quarter 1 report was submitted on 5th October, 2022; Quarter 2 report was submitted on 4th January, 2023; Quarter 3 reports was submitted on 4th April, 2023; Quarter 4 report was submitted on 5th July, 2023.</p>	1

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>The MLG developed a Performance Improvement Plan 2023/2024 for Kumi HC IV on 4/07/2023.</p>	1
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>The full up latrines in Kumi HC IV were emptied in the 1st Quarter 2023/2024.</p>	1
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Human Resource Management and Development

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>The MLG budgeted 1,506,589,000= for 64 out of the required 65 staff.</p> <p>64/65*100 = 98.5% which was above the required 75% staff in accordance with the guidelines.</p>	2
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7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>Kumi HC IV the only health facility had 57/48 (118.8%) which was above the 75% of staff required.</p>	2
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>The H/Ws were working in the facility where they were deployed. Kumi HC IV had 57 HWs on the list dated 3/07/2023 and were found in attendance book.</p>	3
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0</p>	<p>The MLG publicised H/Ws on the noticeboard. Kumi HC IV publicised 57 H/Ws dated 3/07/2023 on the notice board.</p>	2
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the DHO/MMOHs has:</p> <p>i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0</p>	<p>The MC had 1 Health Facility In-charge and there was evidence that he/she was appraised.</p> <p>1. Dr. Obore Francis (SMO) of Kumi HCIV was appraised on 30/6/2023</p>	1

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p>	<p>The MC had 57 facility workers and there was evidence that all Health Facility Workers were appraised against the agreed performance plans.</p> <ol style="list-style-type: none"> 1. Orode Francis (Records Asst) Kumi HCIV was appraised on 30/6/2023 2. Amodan Norah (E/N) Kumi HCIV was appraised on 30/6/2023 3. Okurut Martin (CO) Kumi HCIV was appraised on 30/6/2023 4. Akello Rebecca (PHO) Kumi HCIV was appraised on 30/6/2023 5. Akurut Christine (E/N) Kumi HCIV was appraised on 30/6/2023 6. Awor Rhoda Semmy (SNO) Kumi HCIV was appraised on 30/6/2023 7. Oboi Nathan (Anaesthetic Officer)Kumi HCIV was appraised on 30/6/2023 8. Omongin John Francis (SCO) Kumi HCIV was appraised on 30/6/2023 9. Aguti Jenifer (E/N) Kumi HCIV was appraised on 30/6/2023 10. Etuka George (Askari) Kumi HCIV was appraised on 30/6/2023 	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>There was no evidence and no reason was given</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <ol style="list-style-type: none"> i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0 	<p>The Nursing Officer/Psychiatry (CME Focal Person) conducted training of 12 H/Ws on Data collection at Kumi HC IV on 8/06/2023 in accordance to the training plan 2022/2023. The H/Ws included among others Enrolled Nurses, Vector Control Officer, Cold Chain Assistant and Nursing Assistant.</p>	1

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>The training of H/Ws on Data Collection activities done on 8/06/2023 was entered in the training database.</p>	1
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Management, Monitoring and Supervision of Services.

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>The Town Clerk in a letter Ref: KMC 103/3 written to PS MOH on 13/09/2013 and received on 19/09/2023 confirmed that Kumi HC IV received PHCNWR. This rhymed with the one submitted in the budget FY 2023/2024.</p>	2
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9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>Fund allocation to the Health Department during FY 2022/2023 amounted to shs 63,500,000 as reflected on page 2 of the MLG quarter four quarterly budget performance report for FY 2022/2023. The monitoring and service delivery was allocated shs 50,200,000 as per page 8 of the of the MLG quarter four Quarterly Budget Performance Report for FY 2022/2023.</p>	2
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9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>	<p>The MLG received communication from PS/ST on quarter one cash limits on 30th August, 2022 under reference BPD/267/268/01. The MLG did not receive funds in quarter one.</p>	2
	<p>Maximum 9 points on this performance measure</p>		<p>In quarter two, communication on cash limits from the PS/ST was received on 8th October, 2022 under reference BPD/267/268/01. Warranting was done on 9th October, 2022; Invoicing was done on 10th October, 2022; Transfers to Health Centers was done on 15th October, 2022 and communication to Health Centers was done on 15th October, 2022.</p>	
			<p>In quarter three, communication from the PS/ST was received by the MLG on 10th January, 2023 under reference BPD/267/268/01, Warranting was done on 13th January, 2023; Invoicing was done on 19th January, 2023; transfers to Health Centers was done 27th January, 2023 and communication to Health Centers by the TC was done on 27th January, 2023.</p>	
			<p>In quarter four, communication from the PS/ST was received by the MLG on 24th April, 2023 under reference BPD/267/268/01, Warranting was done on 25th April, 2023; Invoicing was done on 30th April, 2023; transfers to Health Centers was done 30th April, 2023 and communication to Health Centers by the TC was done on 2nd May, 2023.</p>	
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0</p>	<p>The MLG invoiced and communicated all PHC NWR Grant transfers in FY 2022/2023 to health facilities within 5 working days from the day of funds release in each quarter as per details provided above. For example circular letter dated 12th October, 2022 by PHI for shs 56,900,000 to all health centers that was pinned at Kumi HC IV and Aterai HC III in South Division.</p>	2
	<p>Maximum 9 points on this performance measure</p>		<p>There was evidence that the Kumi Municipal Local Government publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoPPED for example circular letter under reference KMC/HC/09/3L dated 14th October, 2022 verified at Kumi HC IV in Kumi MLG notice board. TC's circular letter dated 23rd March, 2023 under reference KMC/09/HC/23 and pinned at the MLG headquarters.</p>	

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>There was evidence that the Kumi Municipal Local Government publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoPPED for example circular letter under reference KMC/HC/09/3L dated 14th October, 2022 verified at Kumi HC IV in Kumi MLG for shs 34,890,000 pinned on notice board after invoicing was done on 12th October, 2022. TC's circular letter dated 23rd March, 2023 under reference KMC/09/HC/23 and pinned at the MLG headquarters for shs 45,098,000 after invoicing on 20th March, 2023.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>The meeting that sat at Municipal Health offices on 7/10/2022 recommended sensitisation of the community on Health Promotion and Disease Prevention activities which was done quarterly (3/01/2023, 3/04/2023 & 30/06/2023). On 10/01/2023 repair of solar system at Kumi HC IV was recommended which was done in the 4th Quarter 2022/2023. The meeting of 10/04/2023 recommended fumigation of bees at Kumi HC IV which was done in the 4th Quarter 2022/2023.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>The MLG performance review meetings involved all health facilities in charges and some stake holders. The meetings held on 7/08/2022,10/01/2023, 10/04/2023 were attended by Planner, PCDO, MEO and the Senior Environment Officer.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>The MLG supervised Kumi HC IV as follows: 6/10/2022, 9/01/2023, 6/04/2023 & 30/06/2023.</p>	1

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <ul style="list-style-type: none"> • If not applicable, provide the score 	<p>The Municipality did not have an HSD.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>The MLG use results from discussion of the support supervision to make recommendations for specific corrective actions. For example on 11/04/2023 the Team found stagnant water in compound of Kumi HC IV; murrum was ferried and covered the potholes on 31/05/2023. On 11/04/2023 Kumi IV had few weighing scales and BP machines. The MLG purchased 2 weighing scales (Adult & Infant) and 5 BP machines for the facility on 30/06/2023.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>The MLG supported Kumi HC IV in dispensing, prescribing and storing of medicines and health supplies on 5/12/2022.</p>	1
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p>	<p>Fund allocation to the Health Department during FY 2022/2023 amounted to shs 13,300,000 as reflected on page 2 of the MLG 4th Quarter Budget Performance Report for FY 2022/2023. The allocation for health prevention and promotion activities was allocated shs 3,990,000 as per page 2 of the 4th quarter Budget Performance Report for FY 2022/2023. This was equivalent to 30%.</p>	2

11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0</p>	<p>The ag. PHI led community sensitisation meetings in the community (3/01/2023, 3/04/2023) on Health Promotion and Disease Prevention. Among the topics covered were:</p> <ol style="list-style-type: none"> 1. Mosquito net use 2. Immunisation 3. Clearing of land fill 4. Mobilisation of Women for Cervical Cancer screening 5. Occupational Health and Safety 6. Safe Male Circumcision 	1
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11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p>	<p>The Ag. PHI monitored 41 eating places on proper Hygiene and Sanitation in the 4th Quarter 2022/2023. In the same quarter 2022/2023 78 households in Okouba, Abubur, Kabata & Kadachar cells were followed up on hygiene and sanitation. 8 new latrines were constructed. The data collected and analysed revealed 57% latrine coverage and 12% hand washing facilities coverage.</p>	1
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Investment Management

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>The MLG had an updated Assets register which sets out health facilities and equipment relative to standard. Kumi HC IV had BP machines, Stethoscopes, Delivery beds and Evacuation set.</p>	1
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Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.

Maximum 4 points on this performance measure

b. Evidence that the prioritized investments in the health sector for the previous FY were:
(i) derived from the third LG Development Plan (LGDPIII);

(ii) desk appraisal by the LG; and

(iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG));

score 1 or else score 0

Kumi MLG implemented four projects in the health department during FY 2022/2023.

(i) Completion of OPD at Aterai HC III in South Division

budgeted for shs 99,561,000 as per page 22 of the approved MLG budget for FY 2022/2023. The project was captured on page 176 of the MDP III and the AWP. Desk appraisal report was dated 10th November, 2021 and the Field appraisal report was dated 29th November, 2021.

(ii) Completion of OPD at Kumi HCIV in South Division budgeted for shs 300,000,000 as per MLG approved budget for FY 2022/2023. The project was captured on page 176 of the MDP III and the AWP.

The field appraisal report was dated 25th November, 2021 and the desk appraisal reports was dated 10th November, 2021.

(iii) Construction and completion of two and four stance lined VIP pit latrine at Alrai HC III in South Division

budgeted for shs 15,127,500 as per MLG approved budget for FY 2022/2023. The project was captured on page 176 of the MDP III and the AWP.

The field appraisal report was dated 29th November, 2021 and the desk appraisal reports was dated 10th November, 2021.

(iv) Completion of a placenta pit at Kumi HC IV in South Division budgeted for shs 9,000,000 as per MLG approved budget for FY 2022/2023. The project was captured on page 176 of the MDP III and the AWP.

The field appraisal report was dated 25th November, 2021 and the desk appraisal reports was dated 10th November, 2021.

Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.

Maximum 4 points on this performance measure

c. Evidence that the LG has conducted field Appraisal to check for:
(i) technical feasibility;
(ii) environment and social acceptability; and
(iii) customized designs to site conditions: score 1 or else score 0

Kumi MLG conducted the field appraisal for all the health infrastructure projects implemented in FY 2022/2023, as indicated in the consolidated field appraisal report dated November 5, 2022, to check for technical feasibility, environmental and social acceptability, and, where needed, customize the design to suit the site conditions. Each project was independently evaluated against three criteria checks, and the report indicated that all projects passed the three checks and were fit for implementation.

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>MC health facility investment were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist as evidenced below;</p> <ul style="list-style-type: none"> • E&S Screening form for the proposed construction of a placenta pit at Kumi HC IV by the SEO and PCDO on 16/11/2022 • E&S Screening form for the proposed completion of OPD Ward at Aterai HC III by the SEO and PCDO on 08/11/2022 • E&S Screening form for the proposed completion of OPD at Kumi HC IV by the SEO and PCDO on 16/11/2022 	1
	<p>Maximum 4 points on this performance measure</p>			
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>The Health sector lately submitted all its infrastructure and other request to procurement unit for the FY 2023/2024 on 17th/05/2023 after the deadline date of 30th/April/2023</p>	0
	<p>Maximum 10 points on this performance measure</p>			
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>The LG Health department submitted the procurement request form (FormPP1) to the PDU on 29th/05/2023 before the 1st Quarter of the FY 2023/2024 within the required timeline.</p>	1
	<p>Maximum 10 points on this performance measure</p>			
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>Kumi MLG Contracts Committee approved all the health infrastructure investments for the FY2022/2023 in the minutes of the contracts committee dated 16th /08/2022 under minute number 05/KMC/CC/8/2022-2023 for completion of Kumi HC IV OPD, Minutes of the contract committee dated 9th/11/2022 under minute number 05/KMC/CC/11/2022-2023 for the 4-stance latrine at Aterai HC III and a placenta pit construction, Minutes of contracts committee dated 11th/08/2022 under minute number 05/KMC/CC/8/2022-2023 for the OPD construction at Aterai HC III and Solicitor general letter of clearance dated 19th/10/2022 for the phase 2 OPD construction at Kumi HC IV at a cost of 299,998,480.</p>	1
	<p>Maximum 10 points on this performance measure</p>			

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>Kumi MLG did not properly establish the project implementation team as per the PPDA requirement. The Town Clerk as per the appointment letter dated 14th/11/2022 appointed Mr. Oguttu George William (Municipal Engineer) as the project manager, Ms Ainyo Grace appointed on 24th/12/2022 as the CDO, Ms. Kongai Marion appointed on 9th/12/2022 as the Environment officer, Ms Akial Alice (Health Inspector) as the contracts manager but with no labour officer and clerk of works appointed on the team.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no HC II being upgraded to HC III in the FY 2022/2023</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no sufficient evidence that the Clerk of Works maintained daily records that were consolidated weekly to the Municipal Engineer in copy to the MHO, for each health infrastructure project. Only one progress report dated 6th/02/2023 for the phased completion of an OPD at Kumi HC IV was presented and was prepared by the assistant Municipal Engineer with no official appointment as the project clerk of works on the project implementation team.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no HC II being upgraded to HC III in the FY 2022/2023</p>	1

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0

If there is no project, provide the score

The LG carried out technical supervision of works at all health infrastructure projects by the relevant technical officers as in the Inspection reports, E&S reports and minutes of site meetings;

- Inspection report dated 7th/5/2023 for the construction and completion of a 2-stance and 4-stance lined VIP latrine respectively at Aterai HC III.
- Inspection report dated 8th/05/2023 for the completion of OPD at Aterai HC III.
- Environment and social guard monitoring report dated 24th/05/2023 for the construction of an OPD at Kumi HC IV.
- Environment and social guard monitoring report dated 6th/06/2023 for the construction of an OPD at Kumi HC IV.
- Minutes of site meeting dated 15th/12/2022 for the phased construction of OPD block at Aterai HC III.
- Minutes of site meeting dated 12th/01/2023 for the phased construction of OPD block at Aterai HC III and
- Minutes of site meeting dated 9th/01/2023 for the phased construction of OPD block at Kumi HC IV.

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0

The MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days after receiving payment requests) as detailed below:

The MLG implemented projects in the department during FY 2022/2023 out of which a sample of three projects was selected. (i) Payment to KABA General Hardware Ltd for shs 77,499,072 per payment voucher number 6431726 dated 28th June, 2023 in respect of construction of OPD at Aterai HC III in South Division as per contract number KMC 717/WRKS/2022-2023/00002.

The PHI initiated payment on 9th April, 2023 and on the same date, the TC, PCDO, ME, Environment Officer, Assistant Engineer signed the payment documents.

(ii) Payment to Sanitation Africa Ltd for shs 133,563,492 per payment voucher number 6439869 dated 28th June, 2023 in respect of phased construction of OPD Kumi HC IV Southern Division per contract number KMC/WRKS/2022-2023/00001.

The PHI initiated payment on 6th June, 2023 and on the same date, the TC, PCDO, ME, Environment Officer, Assistant Engineer signed the payment documents.

(iii) Payment to Dauci Investments Ltd

for shs 6,355,913 per payment voucher number 6429878 dated 28th June, 2023 in respect of construction of a placenta pit at Kumi HCIV per contract KMC 717/WRKS/2022-2-23/00002. The PHI initiated payment on 5th May, 2023 and on the same date, the TC, PCDO, ME, Environment Officer, Assistant Engineer signed the payment documents.

(iv) Payment to Payo -Echo Engineering Company Ltd

for shs 12,797,865 per payment voucher number 6442276 dated 28th June, 2023 in respect of construction and completion of a VIP latrine at Alerai HC III as per contract KMC 717/WRKS/2022-2023/00002. The PHI initiated payment on 12th June, 2023 and on the same date, the TC, PCDO, ME, Environment Officer, Assistant Engineer signed the payment documents.

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>The LG had complete procurement files for the four sampled health Infrastructure contracts with all records as required by the PPDA Law as indicated below for the respective projects;</p> <ol style="list-style-type: none"> 1. The procurement file for the construction and completion of 2 and 4 stance lined pit latrine respectively at Aterai HC III lot 03 (Procurement file Reference number. KMC717/WRKS/2022-2023/00002) had an evaluation report dated 7th/11/2022 with Payo Echo Engineering Co Ltd as the best evaluated bidder at 15,127,500 Minutes of contracts committee decision dated 9th/11/2022 and works contract signed on 1st/12/2022 2. The procurement file for the completion of an OPD at Aterai HC III (Procurement file Reference number. KMC717/WRKS/2022-2023/00002) had an evaluation report dated 28th/07/2022 with KABA General Hardware Ltd as the best evaluated bidder at 91,071,220, Minutes of contracts committee decision dated 11th/8/2022 and works contract signed on 5th/09/2022 3. The procurement file for the construction of a placenta pit at Kumi HC IV lot 4 (Procurement file Reference number. KMC717/WRKS/2022-2023/00002) had an evaluation report dated 7th/11/2022 with Daudi Investment Ltd Ltd as the best evaluated bidder at 7,512,900, Minutes of contracts committee decision dated 9th/11/2022 and works contract signed on 2nd/12/2022 4. The procurement file for the phased completion of an OPD at Kumi HC IV (Procurement file Reference number. KMC717/WRKS/2022-2023/00001) had an evaluation report dated 28th/07/2022 with Sanitation Africa Ltd as the best evaluated bidder at 299,998,480, Minutes of contracts committee decision dated 16th/8/2022 and works contract signed on 25th/10/2022.
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Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>MC had not recorded any grievance(s) under health in the FY 2022/2023 by the time of assessment, however the Grievance Log was availed dated 2017 and coded "Education and Health"</p>
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15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>The MLG disseminated WASH IN FACILITIES 2022 guidelines to Kumi HC IV on 14/04/2023. These guidelines were found at the facility.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>The MLG had a functional system for health care waste management. Kumi HC IV had an incinerator.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>The Ag. PHI trained H/Ws on health care waste management at Kumi HC IV on 3/07/2022.</p>	1
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0</p>	<p>MC had incorporated costed ESMPs into designs, BoOs as evidenced by Item 9 (Environment and Social Safeguards) at 7,260,000/= page 1 of the BoQs and page 11 of the bid document for the completion of Ateraio HC III with Procurement Reference KMC717/Wrks/2022-2023/00002 dated 20/07/2022 by Kaba General Hardware Ltd</p>	2

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>MC had proof that all health sector projects were implemented on land where the it had ownership, access as evidenced by the Land title for Kumi MC of P.O.Box 93 Kumi of 7.7050 hectares plots 2-44 Block 4 at Tank dated 14/10/2021 for Kumi HC IV</p>	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>The MC conducted monthly support supervision and monitoring of health projects as evidenced below;</p> <ul style="list-style-type: none"> • E&S Monitoring Report for the construction of OPD at Kumi HC IV by the SEO and PCDO on 06/06/2023 • E&S Monitoring Report for the completion of General Ward at Kumi HC IV by the SEO and PCDO on 10/05/2023 • E&S Monitoring Report for the completion of OPD and completion of OPD Ward at Aterai HC III by the SEO and PCDO on 16/11/2022 	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0</p>	<p>Environment and Social Certification forms were completed and signed by the SEO and PCDO prior to payments of contractor invoices/certificates of the only health infrastructure project as evidenced below;</p> <ul style="list-style-type: none"> • E&S Certification form for the proposed construction of a placenta pit at Kumi HC IV on 25/05/2023 • E&S Certification form for the proposed completion of OPD Ward at Aterai HC III on 25/05/2023 • E&S Certification form for the proposed completion of OPD at Kumi HC IV on 10/05/2023 	2

**Water &
Environment
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>Not applicable for municipalities</p>	0
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>Not applicable for municipalities</p>	0
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p>Maximum 8 points on this performance measure</p>	<p>a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;</p> <ul style="list-style-type: none"> • Above 80%, score 2 • 60% - 80%, score 1 • Below 60%, score 0 	<p>Not applicable for municipalities</p>	0
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p>Maximum 8 points on this performance measure</p>	<p>b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.</p> <ul style="list-style-type: none"> o If 100 % of water projects are implemented in the targeted S/Cs: Score 2 o If 80-99%: Score 1 o If below 80 %: Score 0 	<p>Not applicable for municipalities</p>	0

2	N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment Maximum 8 points on this performance measure	c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates o If within +/-20% score 2 o If not score 0	Not applicable for municipalities	0
2	N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment Maximum 8 points on this performance measure	d. % of WSS infrastructure projects completed as per annual work plan by end of FY. o If 100% projects completed: score 2 o If 80-99% projects completed: score 1 o If projects completed are below 80%: 0	Not applicable for municipalities	0
3	New_Achievement of Standards: The LG has met WSS infrastructure facility standards <i>Maximum 4 points on this performance measure</i>	a. If there is an increase in the % of water supply facilities that are functioning o If there is an increase: score 2 o If no increase: score 0.	Not applicable for municipalities	0
3	New_Achievement of Standards: The LG has met WSS infrastructure facility standards <i>Maximum 4 points on this performance measure</i>	b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs). o If increase is more than 1% score 2 o If increase is between 0-1%, score 1 o If there is no increase : score 0.	Not applicable for municipalities	0

Performance Reporting and Performance Improvement

4	Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance <i>Maximum 3 points on this performance measure</i>	The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3	Not applicable for municipalities	0
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5	Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance <i>Maximum 7 points on this performance measure</i>	a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2	Not applicable for municipalities	0
5	Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance <i>Maximum 7 points on this performance measure</i>	b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0	Not applicable for municipalities	0
5	Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance <i>Maximum 7 points on this performance measure</i>	c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0 <i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i>	Not applicable for municipalities	0

Human Resource Management and Development

6	Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff <i>Maximum 4 points on this performance measure</i>	a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2	Not applicable for municipalities	0
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6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>Not applicable for municipalities</p>	0
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>Not applicable for municipalities</p>	0
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p>	<p>Not applicable for municipalities</p>	0

Management, Monitoring and Supervision of Services.

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<ul style="list-style-type: none"> • a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district: • • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3 • If 80-99%: Score 2 • If 60-79: Score 1 • If below 60 %: Score 0 	<p>Not applicable for municipalities</p>	0
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8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3</p>	<p>Not applicable for municipalities</p>	0
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)</p> <ul style="list-style-type: none"> • If 95% and above of the WSS facilities monitored quarterly: score 4 • If 80-94% of the WSS facilities monitored quarterly: score 2 • If less than 80% of the WSS facilities monitored quarterly: Score 0 	<p>Not applicable for municipalities</p>	0
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2</p>	<p>Not applicable for municipalities</p>	0
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>	<p>Not applicable for municipalities</p>	0
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 	<p>Not applicable for municipalities</p>	0

10	Mobilization for WSS is conducted <i>Maximum 6 points on this performance measure</i>	b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.	Not applicable for municipalities	0
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Investment Management

11	Planning and Budgeting for Investments is conducted effectively <i>Maximum 14 points on this performance measure</i>	a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG: Score 4 or else 0	Not applicable for municipalities	0
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11	Planning and Budgeting for Investments is conducted effectively <i>Maximum 14 points on this performance measure</i>	Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDP/III) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible: Score 4 or else score 0.	Not applicable for municipalities	0
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11	Planning and Budgeting for Investments is conducted effectively <i>Maximum 14 points on this performance measure</i>	c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2	Not applicable for municipalities	0
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11	Planning and Budgeting for Investments is conducted effectively <i>Maximum 14 points on this performance measure</i>	d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2	Not applicable for municipalities	0
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11	Planning and Budgeting for Investments is conducted effectively <i>Maximum 14 points on this performance measure</i>	e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2	Not Applicable because water is not assessed in Municipalities	0
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12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>Not applicable for municipalities</p>	0
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>Not applicable for municipalities</p>	0
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>Not applicable for municipalities</p>	0
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>Not applicable for municipalities</p>	0

12	Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements <i>Maximum 14 points on this performance measure</i>	e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2	Not applicable for municipalities	0
12	Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements <i>Maximum 14 points on this performance measure</i>	f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts o If 100 % contracts paid on time: Score 2 o If not score 0	Not applicable for municipalities	0
12	Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements <i>Maximum 14 points on this performance measure</i>	g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law: Score 2, If not score 0	Not applicable for municipalities	0

Environment and Social Requirements

13	Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework <i>Maximum 3 points this performance measure</i>	Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework: Score 3, If not score 0	Not Applicable because water is not assessed in Municipalities	0
14	Safeguards for service delivery <i>Maximum 3 points on this performance measure</i>	Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs: Score 3, If not score 0	Not Applicable because water is not assessed in Municipalities	0

15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0	Not Applicable because water is not assessed in Municipalities	0
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 3, If not score 0	Not applicable for municipalities	0
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects: Score 2, If not score 0	Not Applicable because water is not assessed in Municipalities	0
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 2, If not score 0	Not Applicable because water is not assessed in Municipalities	0

**Micro-scale
Irrigation
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	Not Applicable	0
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	Not Applicable	0
2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%, score 4 • 60% - 70%, score 2 • Below 60%, score 0 	Not Applicable	0
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0</p>	Not Applicable	0

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	Not Applicable	0
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6	Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0	Not Applicable	0
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0	Not Applicable	0
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	a) Evidence that the LG has recruited LLG extension workers as per staffing structure • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0	Not applicable	0
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF • If 100% score 2 or else score 0	Not Applicable	0

4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	b) Evidence that the installed micro-scale irrigation systems during last FY are functional • If 100% are functional score 2 or else score 0	Not Applicable	0
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Performance Reporting and Performance Improvement

5	Accuracy of reported information: The LG has reported accurate information Maximum score 4	a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0	Not Applicable	0
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5	Accuracy of reported information: The LG has reported accurate information Maximum score 4	b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0	Not Applicable	0
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6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0	Not Applicable	0
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6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0	Not Applicable	0
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6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	c.Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0	Not Applicable	0
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	Not Applicable	0
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	Not Applicable	0

Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	Not Applicable	0
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7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	ii Deployed extension workers as per guidelines score 1 or else 0	Not Applicable	0
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0	Not Applicable	0
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0	Not Applicable	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	a) Evidence that the District Production Coordinator has: i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0	Not Applicable	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	a) Evidence that the District Production Coordinator has; Taken corrective actions: Score 1 or else 0	Not Applicable	0

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	b) Evidence that: i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0	Not Applicable	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	ii Evidence that training activities were documented in the training database: Score 1 or else 0	Not Applicable	0

Management, Monitoring and Supervision of Services.

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10	a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0	Not Applicable	0
9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10	b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0	Not Applicable	0
9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10	c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0	Not Applicable	0

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	Not Applicable	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	Not Applicable	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 Less than 70% score 0 	Not Applicable	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	Not Applicable	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0</p>	Not Applicable	0

10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	Not Applicable	0
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11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	Not Applicable	0
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11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	Not Applicable	0
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Investment Management

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines Maximum score 8	a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0	Not Applicable	0
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12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines Maximum score 8	b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0	Not Applicable	0
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12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	Not Applicable	0
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	N/A	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	Not Applicable	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	Not Applicable	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0</p>	Not Applicable	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0	Not Applicable	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	Not Applicable	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	f)Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	Not Applicable	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0	Not Applicable	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	h) Evidence that the LG has overseen the irrigation equipment supplier during: i. Testing the functionality of the installed equipment: Score 1 or else 0	Not Applicable	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	Not Applicable	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	Not Applicable	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	Not Applicable	0

Environment and Social Safeguards

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0	Not Applicable	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: i). Recorded score 1 or else 0 ii). Investigated score 1 or else 0 iii). Responded to score 1 or else 0 iv). Reported on in line with LG grievance redress framework score 1 or else 0	Not Applicable	0

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	Not Applicable	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	Not Applicable	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iv. Reported on in line with LG grievance redress framework score 1 or else 0	Not Applicable	0

Environment and Social Requirements

15	Safeguards in the delivery of investments Maximum score 6	a) Evidence that LGs have disseminated Micro-irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc. score 2 or else 0	Not Applicable because Micro Scale Irrigation is not assessed in Municipalities	0
15	Safeguards in the delivery of investments Maximum score 6	b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment. i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0	Not Applicable because Micro Scale Irrigation is not assessed in Municipalities	0

15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	Not Applicable because Micro Scale Irrigation is not assessed in Municipalities	0
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	Not Applicable because Micro Scale Irrigation is not assessed in Municipalities	0
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	Not Applicable because Micro Scale Irrigation is not assessed in Municipalities	0

**Crosscutting Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	Mr. Angulo Ogalo Charles was substantively appointed as Principal Treasurer as per the appointment letter dated 20/7/2019 under DSC Min No. 5/2019 (3) d	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	The position of Senior Planner was found vacant at the time of assessment. However, Simon Ilaborot (Planner) was found supporting the department and there was no secondment.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	The position of Principal Engineer was found vacant at the time of assessment. However, Mr. Osire Patrick (Superintended of works) was found supporting the department and there was no secondment	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	Ms. Asio Alice was substantively appointed as Senior Environment Officer as per the appointment letter dated 5/6/2018 under DSC Min No. 38/2018 (b)	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	The position of Senior Veterinary Officer was found vacant. However, Jane Apolot Francis (Vet. Officer) was found supporting the department but without letter of assignment of duties and there was no secondment	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	Ms. Ainyo Grace was substantively appointed as Principal CDO as per the appointment letter dated 6/2/2019 under DSC Min No. 5/2019 (3)	3

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	The position of Principal Commercial Officer was found vacant but Acham Mary Florence (Commercial Officer) was found supporting the department and there was no secondment	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	Mr. Omonget James was substantively appointed as Procurement Officer as per the appointment letter dated 14/3/2019 under DSC Min No. 5/2019 (I)	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	The position of Assistant Procurement Officer was found vacant at the time of assessment.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	Ms. Aguti Mirriam was substantively appointed as SHRO as per the letter of appointment dated 5/5/2021 under DSC Min No. 46/2021 (iv)	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	Mr. Kongai Marion was substantively appointed as SEO as per the letter of appointment dated 28/12/2021 under DSC Min No. 103 (b) (viii)	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	k. Senior Land Management Officer /Physical Planner, score 2 or else 0	Mr. Eibu Vincent was substantively appointed as Physical Planner as per the letter of appointment dated 14/3/2019 under DSC Min No. 5/2019 (I)	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	l. A Senior Accountant, score 2 or else 0	Ms. Amidiong Phionah Grace was substantively appointed as SA as per the appointment letter dated 12/7/2023 under DSC Min No. 62/2023 (a) (i)	2

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0</p>	<p>Mr. Emuria Stephen was substantively appointed as Senior Internal Auditor as per the letter of appointment dated 28/3/2022 under DSC Min No. 1(iii)</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p>	<p>KUMI MC and KUMI DLG were found sharing the services of Secretary to the DSC. Mr.Oguli John Michael, the secretary to Kumi DSC was also working at Kumi Municipal Council and was appointed on May 8, 2017 under min No. 49/2017.</p>	2
2	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG Maximum score is 15</p>	<p>a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).</p>	<p>The MC had 2 Divisions and all the Senior Assistant Town Clerks (SATC) were substantively appointed as shown below;</p> <ol style="list-style-type: none"> 1. Akurut Stella was substantively appointed as SATC for south division on 28/3/2022 under Min No. (iv) 1 2. Epenyu Charles was substantively appointed as SATC for north division on 28/12/2021 under Min No. 103 b) (iv) 	5
2	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG Maximum score is 15</p>	<p>b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.</p>	<p>The MC had 2 CDO's and all the were substantively appointed as shown below;</p> <ol style="list-style-type: none"> 1. Ikiring Ruth was substantively appointed as CDO for south division on 6/2/2019 under Min No. 5/2019 (I) 2. Oluka Lewis was substantively appointed as CDO for north division on 6/2/2019 under Min No. 5/2019 (I) 	5

2	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG</p> <p>Maximum score is 15</p>	<p>c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.</p>	<p>The MC had 2 S.A.A and all the were substantively appointed as shown below;</p> <ol style="list-style-type: none"> 1. Odongo Henry was substantively appointed as SAA for North division on 4/3/2019 under Min No. 11/2019 (ii) 2. Okalany Peter was substantively appointed as Treasurer for south division on 15/4/2023 under Min No. 41 (b) 	5
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Environment and Social Requirements

3	<p>Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.</p> <p>Maximum score is 4</p>	<p>If the LG has released 100% of funds allocated in the previous FY to:</p> <p>a. Natural Resources department,</p> <p>score 2 or else 0</p>	<p>The Natural Resources Department in Kumi Municipal Local Government had Shs 183,040,070 warranted as reflected on page 18 of the draft final accounts for FY 2022/2023.</p> <p>Shs 183,040,070 was released as detailed on page 18 of the draft final accounts for FY 2022/2023. Performance was therefore 100% as required.</p>	2
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3	<p>Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.</p> <p>Maximum score is 4</p>	<p>If the LG has released 100% of funds allocated in the previous FY to:</p> <p>b. Community Based Services department.</p> <p>score 2 or else 0.</p>	<p>The Community Based Department in Kumi Municipal Local Government had Shs 65,813,555 was warranted for as reflected on page 18 of the draft final accounts for FY 2022/2023.</p> <p>Shs 65,813,555 was released as detailed on page1 18 of the draft final accounts for FY 2022/2023. Performance was 100% as required.</p>	2
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4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>	<p>a. If the LG has carried out Environmental, Social and Climate Change screening,</p> <p>score 4 or else 0</p>	<p>MC had carried out Environment and Social Screening of the only DDEG project in FY 2022/2023 as evidenced by the E&S Screening form for the proposed Fencing works within the civic area/ Kumi Municipality by the SEO and PCDO on 26/10/2022</p>	4
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4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),	The only DDEG project that was implemented in the FY 2022/2023 did not require ESIA given its small scope according to Schedule 5 of the National Environment Act No. 05 of 2019	4
	Maximum score is 12	score 4 or 0		
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;	MC had prepared a Costed ESMP for the only DDEG project that was implemented in FY 2022/2023 as evidenced by the Costed ESMP of 300,000/= for the proposed Fencing works within the civic area/ Kumi Municipality by the SEO and PCDO on 18/11/2022	4
	Maximum score is 12	score 4 or 0		

Financial management and reporting

5	Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.	If a LG has a clean audit opinion, score 10;	Kumi MLG had Un-qualified Auditor General's opinion in the FY 2022/2023.	10
	Maximum score is 10	If a LG has a qualified audit opinion, score 5		
		If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0		
6	Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).	If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),	There was no evidence that the MLG provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for FY 2021/2022 by end of February, 2023.	0
	maximum score is 10	score 10 or else 0.		

7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p> <p>Maximum Score 4</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p> <p>score 4 or else 0.</p>	<p>The Annual Performance Contract was submitted by the PBS system on 27th June, 2023. The submission of the performance contract was done within the prescribed time frame (by 31st August, 2023).</p>	4
8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The Annual Performance Report for FY 2022/2023 was submitted by the MLG through the PBS system on 16th October, 2023 which time was outside the prescribed time frame of on or before 31st August, 2023. The supportive TC 's letter of submission was dated 19th October, 2023 under reference KMC/103/1.</p>	0
9	<p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p> <p>Maximum score is 4</p>	<p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The MLG submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of FY2022/2023 by August 31st of the current FY 22023/2024. Submission of the quarterly reports was done through the PBS system as detailed below:</p> <p>Quarter One on 9th August, 2023;</p> <p>Quarter Two on 9th August, 2023, 2023;</p> <p>Quarter Three on 9th August, 2023;</p> <p>Quarter Four on 16th August, 2023.</p>	4

**Education Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	Ms. Atai Stella was substantively appointed Principal Education Officer as per the appointment letter dated 16/3/2023 under DSC Min No. 40/2023 (a)	30
<i>The Maximum Score of 70</i>				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	b) All District/Municipal Inspector of Schools, score 40 or else 0.	The MC had 1 inspector of Schools and was substantively appointed. 1. Omanikor Francis (Municipal Inspector) of schools was substantively appointed on 14/6/2023 under DSC Min No. 55/2023 (b) (i)	40
<i>The Maximum Score of 70</i>				
Environment and Social Requirements				
2	Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)	If the LG carried out: a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.	MC had carried out Environmental, Social and Climate Change Screening of all education projects prior to commencement of all civil works for FY 2022/2023 as evidenced below; • E&S Screening form for the proposed construction of 5 stance pit latrine at Aputon P/S by the SEO and PCDO on 04/11/2022 • E&S Screening form for the proposed phased construction of 3 classroom block and a store at Amejei P/S by the SEO and PCDO on 04/11/2022 • E&S Screening form for the proposed construction of 5 stance lined VIP latrine at Olungai P/S by the SEO and PCDO on 04/11/2022	15
<i>The Maximum score is 30</i>				

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:
b. Social Impact Assessments (ESIAs) , score 15 or else 0.

All education projects that were implemented in FY 2022/2023 did not require ESIAs given their small scope, according to the National Environment Act NO. 05 of 2019

The Maximum score is 30

Health Minimum Conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.		
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0		
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	c. Assistant District Health Officer Environmental Health, score 10 or else 0.		
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.		
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	e. Senior Health Educator, score 10 or else 0.		
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	f. Biostatistician, score 10 or 0.		

1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.</p>	<p>Dr. Emusugat Micheal was substantively appointed as Principal Medical Officer of Health Services on 16/5/2023 under DSC Min No. 40/2023 (a)</p> <p style="text-align: right;">30</p>
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>i. Principal Health Inspector, score 20 or else 0.</p>	<p>Ms. Akiai Alice Epyanu was substantively appointed as Principal Health Inspector on 1/6/2017 under DSC Min No. 54/2017 (m)</p> <p style="text-align: right;">20</p>
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>j. Health Educator, score 20 or else 0</p>	<p>Mr. Musoke Samuel was substantively appointed as Health Educator on 6/4/2020 under DSC Min No. 13/2020 (b)</p> <p style="text-align: right;">20</p>

Environment and Social Requirements

2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>MC had carried out Environmental, Social and Climate Change for the three Health projects for FY 2022/2023 as evidenced below;</p> <ul style="list-style-type: none"> • E&S Screening form for the proposed construction of a placenta pit at Kumi HC IV by the SEO and PCDO on 16/11/2022 • E&S Screening form for the proposed completion of OPD Ward at Aterai HC III by the SEO and PCDO on 08/11/2022 • E&S Screening form for the proposed completion of OPD at Kumi HC IV by the SEO and PCDO on 16/11/2022 	15
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2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>b. Social Impact Assessments (ESIAs) , score 15 or else 0.</p>	<p>The only health project for FY 2022/2023 did not require ESIAs given their small scope according to the NEA No. 05 of 2019</p>	15
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**Micro-scale Irrigation Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation <i>Maximum score is 70</i>	If the LG has recruited; a. the Senior Agriculture Engineer <i>score 70 or else 0.</i>	N/A	0
Environment and Social Requirements				
2	New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed. <i>Maximum score is 30</i>	If the LG: Carried out Environmental, Social and Climate Change screening score 30 or else 0.	Not Applicable because Micro Scale Irrigation is not assessed in Municipalities	0

Water & Environment Minimum Conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	N/A	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	N/A	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	N/A	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	N/A	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	N/A	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	f. Forestry Officer, score 10 or else 0.	N/A	0
Environment and Social Requirements				
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	If the LG: a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.	Not Applicable because water is not assessed in Municipalities	0
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.	Not Applicable because water is not assessed in Municipalities	0

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.

Not Applicable because water is not assessed in Municipalities