



LGMSD 2022/23

Katakwi District

(Vote Code: 522)

Assessment	Scores
Crosscutting Minimum Conditions	65%
Education Minimum Conditions	100%
Health Minimum Conditions	80%
Water & Environment Minimum Conditions	85%
Micro-scale Irrigation Minimum Conditions	100%
Crosscutting Performance Measures	80%
Educational Performance Measures	88%
Health Performance Measures	65%
Water & Environment Performance Measures	83%
Micro-scale Irrigation Performance Measures	61%

**Crosscutting
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): If so: Score 4 or else 0 	<p>The DLG implemented one project that was funded by DDEG during FY 2022/2023. The project: Construction of two stance pit latrine at the District Head Quarters with urinals which was budgeted at shs 15,000,000 as per page 24 and 51 of the approved budget for FY 2022/2023. The project was completed, functional and used for the purpose intended. It was visited by the assessor on 6th November, 2023. The DDEG project was under reference 148272 Construction or Rehabilitation of buildings.</p>	4
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> By more than 5%, score 3 1 to 5% increase, score 2 If no increase, score 0 <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>In 2022, the katakwi did not conduct the LLG performance assessment and in 2023, the average score in the overall LLG performance assessment was 61%. The variance couldn't be computed</p>	0
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> If 100% the projects were completed : Score 3 If 80-99%: Score 2 If below 80%: 0 	<p>The DLG implemented one project that was funded by DDEG during FY 2022/2023. The project: Construction of two stance pit latrine at the District Head Quarters with urinals was budgeted at shs 15,000,000 as per page 24 and 51 of the approved budget for FY 2022/2023. The project was 100% completed as detailed on page 5 of the AWP.</p>	3

3	Investment Performance Maximum 4 points on this performance measure	a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines: Score 2 or else score 0.	The budget for the construction of two stance pit latrine was shs 15,000,000 out of which shs 15, 000, 000 was spent as provided on pages 44 and 61 of the district Annual Performance Report based on the DDEG implementation guidelines.	2
3	Investment Performance Maximum 4 points on this performance measure	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates, score 2 or else score 0	The variations in the contract price could not be computed as the LG had one project under DDEG in FY 2022/2023 that was implemented on force account at a cost of UGx 15,000,000. The DDEG funding was allocated for the Construction of a 3-stance pit latrine with a urinal at the district Headquarters	0

Performance Reporting and Performance Improvement

4	Accuracy of reported information Maximum 4 points on this Performance Measure	a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate, score 2 or else score 0	There was evidence that information on the positions filled in LLGs as per minimum staffing standards was accurate. The 3 LLGs were sampled and below were the findings; 1. In Katakwi TC, the approved staff structure provided for an Agriculture Officer and as per the staff list, this position had been filled by Mr. Akoom James Mark 2. In Katakwi SC, Ms. Asengo Anna was the CDO on the staff list as provided for in the approved staff structure. 3. In Usuk SC, Edeun Simon Peter was the Assistant Veterinary Officer on the staff list, a position provided for in the approved staff structure.	2
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4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> • If 100 % in place: Score 2, else score 0. <p>Note: if there are no reports produced to review: Score 0</p>	<p>The DLG implemented one project that was funded by DDEG during FY 2022/2023. The project: Construction of two stance pit latrine at the District Head Quarters with urinals. The project was budgeted at shs 15,000,000 as per district approved budget. Total expenditure on the project amounted to shs 15,000,000 as per draft final accounts for FY 2022/2023; Annual Performance Report and AWP. The district monitoring reports captured the implementation of the project in the under listed monitoring reports:</p> <p>Quarter One report was produced on 8th August, 2022, quarter two report was produced on 15th December, 2022; quarter three report was produced on 25th March, 2023 and quarter four report was produced on 22nd June, 2023. As for the quarterly budget reports, these were produced as follows and captured the DDEG project:</p> <p>Quarter One on 10th January, 2023;</p> <p>Quarter Two on 14th February, 2023;</p> <p>Quarter Three on 10th May, 2023;</p> <p>Quarter Four on 21st August, 2023.</p>	2
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> <p>score 4 or else 0</p> <p>NB: The Source is the OPAMS Data Generated by OPM.</p>	<p>The LG did not conduct a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise. One sub county (Palam Sub county) had a deviation greater than +-10%, as indicated below.</p> <p>Palam Sub county scored 66% as per the LG, 81% as per the IVA and gave a variance of 15%.</p> <p>Katakwi Sub county scored 59% as per the LG, 60% as per the IVA and gave a variance of 1%.</p> <p>Ongongoja Sub county scored 71% as per the LG, 67% as per the IVA and gave a deviation of -4%.</p> <p>Toroma TC scored 81% as per the LG, 80% as per the IVA and gave a deviation of -1%.</p>	0

5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	<p>There was evidence that the district developed Performance Improvement Plans for at least 30% of the lowest performing LLGs for current FY, based on the previous assessment results. According to the LLGs Performance Assessment Results Summary 2022/23, the lowest performing LLGs were six (6) which included;</p> <ol style="list-style-type: none"> 1. Amusia - 37 2. Angodingod – 42 3. Guyaguya – 41 4. Katakwi Sc – 48 5. Omodoi SC – 48 6. Usuk SC – 42 	2
			<p>It should be noted that, Katakwi was one of the LGs considered not to have undertaken LLG Assessment FY 2021/22 simply because the process wasn't completed. Because of that, the internal results were not quality assured, approved and submitted to Office of the Prime Minister by CAO.</p> <p>Although the situation was like that, the LG went ahead and prepared the PIP to support the above mentioned LLGs. The PIP system generated document presented for review was coded as LGMSD 2021/22 on the first page. This was for reference purposes as it never had a date.</p>	
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the district implemented PIP for the 30% lowest performing LLGs in the previous FY which boasted performance of these LLGs in this current FY. For stance,</p> <p>I was provided with a report for general mentoring and supervision of LLGs dated 18/8/2022. And because of implementing the PIP, the performance of these LLGs improved as seen below;</p> <p>LLG 2021/22 2022/23</p> <p>Amusia 37 42</p> <p>Angodingod 42 55</p> <p>Guyaguya 41 49</p> <p>Katakwi 48 59</p> <p>Omodoi 48 51</p> <p>Usuk 42 69</p>	2

6	<p>Budgeting for and actual recruitment and deployment of staff</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG consolidated and submitted the staffing requirements for the coming FY 2023/24 to the MoPS by 30th September of the current FY. Evidence obtained from HRM revealed that the recruitment plan and requisition of wage funds dated 29/09/2022 worth 4,943,224,620/= was submitted to PS but date for acknowledgement of receipt of submission was not indicated</p>	0
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG conducted daily tracking of attendance and monthly analysis were being done. Forestine;</p> <p>On 15/9/2023, the PHRO submitted the attendance analysis report to CAO for the month of August 2023. In that analysis, Achen Martha (AO) was present for 20 days out of 23 which was 87%</p>	2

Performance management

Maximum 5 points on this Performance Measure

i. Evidence that the LG has conducted an appraisal with the following features:

HODs have been appraised as per guidelines issued by MoPS during the previous

FY: Score 1 or else 0

There was evidence that the LG conducted appraisal for HODs though the timeframe was not complied with as indicated below;

1. Ongom Bernard Silver (DPO) was appraised by CAO but date not indicated
2. Aleli Martin (Ag. DNRO) was appraised on 27/7/2023
3. Echatu Andrew (Ag. District Eng) was appraised on 26/9/2023
4. Akiror Emmanuel (Ag. District Planner) was appraised on 31/7/2023
5. Gakowe Kagule Jamada (Snr. Procurement Officer) was appraised on 31/7/2023
6. Tino Catherine (DCDO) was appraised on 31/7/2023
7. Apio Eseza Lydia (Civil Eng - Water) appraised on 30/6/2023
8. Atim Angella (DEO) was appraised on 7/7/2023
9. Apio Epel Rita (PHRO) was appraised on 30/10/2023
10. Todi Patrick (Principal Commercial Officer)
11. Okello Charles Stephen (Ag. Principal Internal Auditor) was appraised on 31/7/2023
12. Dr. Ichumar Simon Omake (DHO) was appraised on 11/7/2023

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to “a” above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>The LG implemented administrative rewards and sanctions. The rewards and sanctions Committee was established and composed of six members as indicated below;</p> <ol style="list-style-type: none"> 1. Alupo Oscar (Chair) 2. Apio Epel Rita 3. Atim Angell 4. Ichumar Simon Omeke 5. Okiror Bosco 6. Opio Phillip Stephen 7. Opio Moses <p>The committee held a meeting on 26/10/2022 in the District Planning Unit Board Room. In the meeting for example, the committee made a recommendation to the DSC to reinstate Ms. Apio Teddy Okwi an Educ. Asst II who had abandoned duty and also issue a caution letter to her.</p>	1
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>There was evidence that the LG had an established and functional consultative committee to deal with staff grievances. The Members were appointed on 20/9/2022 and they included;</p> <ol style="list-style-type: none"> 1. Akwi Harriet Faith (ACAO) Chairperson 2. Apio Rita Epel (PHRO) 3. Elungat James (SAO) 4. Apio Francis (Ag. Snr. Labour Officer) 5. Aleli Martin (Ag. DNRO) 6. Dr. Odong Simon (MO) 7. Atim Christine (Nurse & Midwifery) 8. Apio Mary Scovia 9. Okot John UNATU 10. Aseriat Agnes, Disability <p>The committee held a meeting on 21/11/2022 at council hall chambers and it was recommended that all members attend an orientation organised by MoPS</p>	1

8

Payroll management

Maximum 1 point on
this Performance

Measure or else score 0

a. Evidence that 100% of
the staff recruited during
the previous FY have
accessed the salary
payroll not later than two
months after
appointment:

Score 1.

There was no evidence since no recruitment
was undertaken in FY 2022/2023

1

Pension Payroll
management

Maximum 1 point on
this Performance
Measure or else score 0

a. Evidence that 100% of
staff that retired during
the previous FY have
accessed the pension
payroll not later than two
months after retirement:

Score 1.

There was evidence that 100% of staff that
retired during 2022/2023 all accessed
pension payroll not later than two months
after retirement. The LG retired 15 staff as
indicated below;

1. Itiamat Jane (Educ Asst) retired on
1/1/2023 and accessed pension in February
2023
2. Oluka Joseph (H.T) retired on 4/1/2023
and accessed pension on 7/2/ 2023
3. Akiror Hellen Rose (Educ Asst) retired on
8/1/2022 and accessed pension in February
2022
4. Otim Anna Edith (H.T) retired on
18/8/2022 and accessed pension in
September 2023
5. Opus Ogagul Simon (Educ Asst) retired on
8/8/2022 and accessed pension in
September 2023
6. Emaju John (Snr. Educ Asst) retired on
17/9/2022 and accessed pension in October
2022
7. Okwi Stephen Bosco (Educ Asst) retired
on 4/1/2023 and accessed pension in March
2023
8. Ilepot John Micheal (Educ Asst) retired on
11/11/2022 and accessed pension in
December 2022
9. Emuron Tom (Driver) retired on 9/9/2022
and accessed pension in October 2022
10. Okwi Micheal Asuro (Educ Asst) retired
on 27/9/2022 and accessed pension in
October 2022
11. Esalu James (Asst Educ) retired on
9/10/2022 and accessed pension in
November 2022
12. Opio Tom (Porter) retired on 27/6/2023
and accessed pension in July 2023
13. Omoding Peter (Parish Chief) retired on
15/9/2022 and accessed pension in
November 2022
14. Oruni Odwar John (H.T) retired on
1/6/2023 and accessed pension in July 2023
15. Aboket Eseza Suzin (H.T) retired on
28/11/2022 and accessed pension in
January 2023

10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery	a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:	The DLG received DDEG funds amounting to shs 167,139,934 in total as transferrable to LLGs based on guidelines during FY 2022/2023. The transfer of DDEG funds during FY 2022/2023 was effected as per guidelines. Examples:	2
	Maximum 6 points on this Performance Measure	Score 2 or else score 0	(i) Shs 763,729, was transferred to Okulongo sub county per payment voucher number 2085733 dated 15th November, 2022. (ii) Shs 1,527,447 was transferred to Okulongo sub county as per payment voucher number 3800956 dated 21st February, 2023. (iii)	
			Shs 1,527,447 was transferred to Okore sub county as per payment voucher number 3800958 dated 21st February, 2023.	
10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery	b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).	The DLG did not receive funding from DDEG during quarter one in FY 2022/2023. In quarter two, the DLG received communication from PS/ST on cash limits on 30th September, 2022 under reference MEF.50/268/01.Warranting was done on 18th October, 2022. Invoicing was done on 19th October, 2022. Transfers to LLGs was done on 19th October, 2022. Communication to LLGs by the CAO was done on 19th October, 2022.	0
	Maximum 6 points on this Performance Measure	Score: 2 or else score 0	In quarter three, the DLG received communication from PS/ST on cash limits on 9th January, 2023 under reference MEF.50/268/01.Warranting was done on 24th January, 2023. Invoicing was done on 26th January, 2023. Transfers to LLGs was done on 26th January, 2023. Communication to LLGs by the CAO was done on 26th January, 2023.	
			The DLG did not comply with timely (5 working days from the date of receipt of releases from MoFPED) warranting /verification of direct DDEG transfers to LLGs in accordance to the requirements of the budget	

10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:</p> <p>Score 2 or else score 0</p>	<p>The DLG received DDEG funding in two quarters only. Quarter two and quarter three in FY 2022/2023.</p> <p>In quarter two, communication from the PS/ST was received by the DLG on 30th September, 2022. The DLG invoiced on 19th October, 2022 and CAO communicated all DDEG transfers under circular letter dated 19th October, 2022 under reference KAT/14/DLG/4 for FY 2022/2023 to LLGs for shs 78,098,000 within 5 working days from the date of funds release in each quarter as required.</p> <p>In quarter three, communication from the PS/ST was received by the DLG on 9th January, 2023. The DLG invoiced on 26th January, 2023 and CAO communicated all DDEG transfers under circular letter dated 26th January, 2023 under reference KAT/14/DLG/4 for FY 2022/2023 to LLGs for shs 67,987,000 within 5 working days from the date of funds release in each quarter as required.</p> <p>There was no DDEG funding in quarter four in FY 2022/2023.</p> <p>The DLG adhere to the prescribed time frame on invoicing and communicating all DDEG transfers for the FY 2022/2023 to LLGs within 5 working days from the date of receipt of the funds release as required.</p>	2
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>The DLG supervised and mentored all LLGs in the District at least once per quarter consistent with guidelines: The activity was done on quarterly basis, various issue discussed and reports accordingly produced.</p> <p>In quarter one, mentoring and supervision report was produced on 1th August, 2022. Issues discussed included planning and budgeting in a LG. In quarter two, the report was produced on 7th October, 2022 and issues discussed covered review of the district development plan. In quarter three, the report was produced on 20th March, 2023 and covered PDM issues. In quarter four, the report was produced on 23rd June, 2023 and covered local revenue collection issues as well as the District Development Plan.</p>	2

11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>	<p>The results/reports of support supervision and monitoring visits were discussed in the DTPC, used by the District to make recommendations for corrective actions and followed-up:</p> <p>The DTPC meeting held on 21st September, 2023 discussed quarter one supervision and mentoring report under minute reference MIN 06 of 2023. The DTPC meeting held on 28th March, 2023 discussed mentoring and supervision report for quarter three under minute reference MIN of 28th March, 2023.</p> <p>The DTPC meeting held on 27th June, 2023 discussed quarter four supervision and mentoring report under minute reference MIN 05 of 19th December, 2022.</p>	2
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Investment Management

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</p>	<p>The DLG maintained an up dated asset register to 30th September, 2023. All categories of assets like buildings, furniture, equipment, land, motor cycles were captured in the computerised assets register under IFMS. Examples: (i) Office table asset reference 356263 valued at shs 900,000 for the district administration; (ii) Motor cycle asset reference 355915 LG-0034-48 valued at shs 17,000,000.</p> <p>(iii) Non resident buildings like schools were capitalised under reference 536134.</p> <p>The district complied with instructions and guidelines that were provided by the Accountant General in MOFPED.</p>	2
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Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:

Score 1 or else 0

The Board of Survey report for the DLG for FY 2021/2022 was submitted to the Accountant General through CAO's letter dated 31st August, 2022 under reference CR/115/1. The CAO's letter was copied to PS MOLG, IAG, MOFPED and OAG. The Accountant General acknowledged receipt of the report on 13st August, 2022.

Recommendations that were raised in the Board of Survey Report for FY 2021/2022 included among others engraving of the district assets, up dating of the assets registers, titling of the district land especially for schools and health centers, capacity building initiatives for the DLG staff in respect of asset management, boarding off of council assets.

All the recommendations had been cleared at the time of the assessment.

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.

There was a functional Physical Planning Committee that was constituted and appointed by the CAO with 13 members. The committee convened meetings on quarterly basis. Meetings were held as detailed below and minutes accordingly submitted to MLHUD as provided hereunder:

In quarter one, the Physical Planning Committee met on 5th July, 2022 and minutes were submitted on 27th August, 2022; In quarter two, the Committee met on 12th December, 2022 and minutes were submitted on 9th January, 2023; In quarter three, the Committee met on 12st February, 2023 and minutes were submitted on 10th March, 2023; in quarter four, the Committee met on 28th April, 2023 and minutes were submitted on 12th May, 2023.

Members of the Physical Planning Committee were appointed by the CAO vide un referenced letters dated 28th August, 2017 and 7th November, 2022 .r The members:

1. The Chief Administrative Officer (CAO) and chair person. (M Paul Walakira);
2. Mr Aleli Martin Physical Planner;
3. Mr J. Okure TC Katakwi TC;
4. Dr Icumar Omeke DHO'
5. Echetu Adure DEO;
6. S. Okello Environment Officer;
7. A,. Atim DE;
8. S. Ongom Agriculture Officer;
9. E.L. Apio Water Officer;
10. C.Tino DCDO;
11. M.Oluka Private Planner;
12. S. Amiti Private Surveyor;
- 13 A. Pauline r TC Usuku TC.

There was no evidence that the Physical Development Plan was in place.

The Building Plan Registration Book was in place and up dated to 25th January, 2023.

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d.For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>The DLG implemented one project that was funded by DDEG during FY 2022/2023. The project: Construction of two stance pit latrine at the District Head Quarters with urinals was budgeted at shs 15,000,000 as per page 24 and 51 of the approved budget for FY 2022/2023. The project was 100% completed as detailed on page 5 of the AWP. The project was captured on page 11 of the DDP III. The Desk Appraisal Report was dated 2nd November, 2021 while the Field Appraisal report was dated 10th November, 2021.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>The DLG conducted the appraisal reports as follows:</p> <p>Field appraisal report was dated 10th November, 2021 and desk appraisal report was dated 2nd November, 2021. Environmental issues were adequately covered as there was only one project funded under DDEG.</p>	2

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>The DLG implemented three projects funded by DDEG in current FY 2023/2024.</p> <p>(i) The first project name is Completion of Staff House in Omodoi HC II in Omodoi sub county. The project was budgeted at shs 80,750,000 as provided on page 37 of the district approved budget for FY 2023/2024. The project was captured on page 115 of the DDP III; page 9 of the AWP. The project had a Field Appraisal Report dated 23rd November, 2022 and a Desk Appraisal Report dated 3rd November, 2022. The project was under item reference 0088281 Staff Houses Construction and Rehabilitation.</p> <p>(ii) Renovation of the Mechanical Workshop for the Works Department that was budgeted at shs 5,000,000 as per page 58 of the district approved budget; page 70 of the DDP III and page 40 of the AWP. Referenced 048281 Construction of Public Buildings. The Desk Appraisal Report was dated 3rd November, 2022 and the Field Appraisal Report was dated 23rd November, 2022.</p> <p>(iii) Installation of Air Conditioner System at the District Headquarters budgeted at shs 20,000,000 as per page 70 of the district approved budget, page 129 of the DDP III and page 17 of the AWP. The Desk Appraisal Report was dated 3rd November, 2022 and the Field Appraisal Report was dated 23rd November, 2022. Reference number 148272 Construction and Rehabilitation of Government Offices.</p> <p>The project profiles with costing were developed and discussed by the TPC in a meeting held on 8th November, 2022 under minute reference 04 of 8th NOVEMBER/2022.</p>
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>LG had screened for environmental and social risks/impact and put mitigation measures were required before being approved for construction using checklists for FY 2023/2024 as evidenced by the following screening forms by the EO & DCDO;</p> <ul style="list-style-type: none"> • Construction of a 2 classroom block with office space at Akwamor P/S on 21/10/2022 • Upgrade of Aakum HC II to HC III on 12/12/2022 • Construction of lowcost sealing of Alelesi-Omodoi-Adere - 2km Road on 20/10/2022
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13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan Score 1 or else score 0	There was evidence that Katakwi DLG incorporated all FY 2023/2024 infrastructure projects to be implemented using DDEG in the LG approved procurement plan approved on July 5, 2023, by the CAO, as indicated on page 1/6 and page 2/6 of the procurement plan. Such projects include the construction of a two-stance pit latrine at the proposed site of Ominya Market in Toroma S/C, the construction of a changing room at Oriam P/S, the construction of a shade at Aliakamer HC11, the renovation of the staff house at Ngariam S/C, and the construction of a two-classroom block with an office and store at Akwamor P/S.	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0	All the infrastructure projects for FY 2023/2024 had been approved by the contracts committee, as shown in the minutes of the contracts committee dated 05/07/2023 under minute number MIN:05/06/07/06/07/2023/DCC	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines: Score 1 or else 0	The LG did not properly establish the project implementation team as per PPDA guidelines. The CAO as per the appointment letter dated 28th/10/2022 appointed Echatu Andrew as the project manager, Tino Catherine as DCDO and Okello Simon as the Environment officer and no clerk of works and labour officer was appointed on the team	0
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer: Score 1 or else score 0	The DDEG implemented project (construction of a 3-stance pit latrine with a urinal at the district headquarter) for the Fy 2022/2023 followed the standard technical designs for the 3-stance pit latrine, the room sizes were accurate as on the architecture plans, there were no progressive cracks identified, the physical check on the plaster mortar indicated no peeling off of mortar, the roof covering used was of gauge 26 as per specifications; and all the rooms dimensions were accurate	1

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0	The LG relevant technical officers provided supervision of the construction of the 3 stance pit latrine with a urinal at the district headquarters prior to verification and certification of works as evidenced in the joint supervision report dated 30/10/2023 for the construction of the 3 stance pit latrine with a urinal at the district headquarters with participation of all the relevant technical officers; Eng.Andrew Echatu (District Engineer), Mrs Tino Catherine (DCDO) and Mr. Okello Simon (Environment officer)	2
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement): Score 1 or else score 0	The DDEG project was implemented on force account and therefore there was no completion certificates, payment certificates and payment requests issued as the works were directly executed by the district works department.	0
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law: Score 1 or else 0	The DDEG project was implemented on Force Account (FAC) and therefore there was no complete procurement file.	0

Environment and Social Safeguards

14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.</p> <p>Score: 2 or else score 0</p>	<p>LG had designated a person to coordinate response to feed-back (grievance / complaints) as evidenced by the Assignment of duty letter of Ms Tino Catherine the DCDO by the CAO on 20/09/2022</p> <p>The District had also established a Central Grievance Redress Committee as evidenced by the appointment letters of the 9 committee members by the CAO on 07/07/2022 as follows;</p> <ul style="list-style-type: none"> • Ms Cheptoek Juliet (Deputy CAO) - Committee Chairperson • Dr Emuron Joseph (Medical Officer) - Committee Member • Mr Okiror John Bosco (Inspector of Schools) - Committee Member • Ms Asio Florence (Internal Auditor) - Committee Member • Ms Apio Epel Rita (PHR) - Committee Member • Ms Tino Catherine (DCDO) - Committee Secretary • Mr Amega Stephan (Assistant Engineering Officer) - Committee Member • Mr Elungat James (AO) - Committee Member • Mr Okure Joseph Okot (Town Clerk)-Member 	2
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.</p> <p>If so: Score 2 or else 0</p>	<p>LG had a specified system for Recording, investigating and responding to grievances as evidenced by the GRC Log Book dated "29/09/2022"</p>	2

14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.</p> <p>If so: Score 1 or else 0</p>	<p>LG had a list of Grievance handling mechanism and reporting publicized on the district notice board by the Grievance Focal Person dated 29/09/2022</p>	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0</p>	<p>Environment, Social and Climate change interventions were integrated into LG Development Plans as evidenced by Adopted Programme (Climate Change, Natural Resources and Waste Management) page 101 and Adopted Programme (Community Mobilisation and Mind-set Change) page 124 of DDP III 2020-2021-2023/2024</p>	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management</p> <p>score 1 or else 0</p>	<p>LG had disseminated the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation to LLGs as evidenced by the Training Report of LLGs on the budget preparation conference budget framework paper dated 07/10/2022, page 3 paragraph 3 (Dissemination of DDEG Guidelines) by the Senior Planner.</p>	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>(For investments financed from the DDEG other than health, education, water, and irrigation):</p> <p>c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:</p> <p>score 3 or else score 0</p>	<p>LG had incorporated costed Environment and Social Management Plan (ESMP) into the design. BoQs, bidding and contractual documents for the only DDEG infrastructure project for FY 2022/2023, other than health, education, water, and irrigation, that is to say,</p> <ul style="list-style-type: none"> • Item 4 (ESMP implementation) at 53,000/= on page 3 of the BoQs for the Construction of 2 stance pit latrine at the district Head Quarters 	3

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	d. Examples of projects with costing of the additional impact from climate change. Score 3 or else score 0	LG had an example of project with costing of the additional impact from climate change as evidenced by Item 4 (ESMP implementation) at 53,000/= on page 3 of the BoQs for the Construction of 2 stance pit latrine at the district Head Quarters	3
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 1 or else score 0	LG had implemented on land where it has proof of ownership, access and availability without any encumbrances as evidenced by the Land title for Katakwi DLG of P.O.Box Private Bag, Katakwi of 9.455Hectares, Plot 7, 8, 9, 10 and 11, Block 4, Toroma County dated 19/03/2012	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 1 or else score 0	LG EO and DCDO conducted support supervision and monitoring evidenced below; <ul style="list-style-type: none"> • E&S Monitoring Report for the Construction of 2 stance pit latrine at the district Head Quarters on 20/07/2023 • E&S Joint Monitoring Report for the Construction of 2 stance pit latrine at the district Head Quarters on 08/08/2023 • Joint Monitoring Report for the Construction of 2 stance pit latrine at the district Head Quarters on 06/06/2023 	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0	The only DDEG project in FY 2022/2023 (Construction of 2 stance pit latrine at the district Head Quarters) was implemented under Force Account which contradicts with the DDEG Guidelines	0
Financial management				
16	LG makes monthly Bank reconciliations Maximum 2 points on this Performance Measure	a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment: Score 2 or else score 0	The DLG operated one bank account namely the General Fund Account Number 9030005783118 with Stanbic Bank Soroti branch. The account was manually reconciled up to 30th September, 2023 with balance of shs 56,398,943.	2

17

LG executes the Internal Audit function in accordance with the LGA Section 90

Maximum 4 points on this performance measure

a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.

Score 2 or else score 0

The DLG produced the four quarterly internal audit reports for FY 2022/2023 as required. Quarter One report was produced on 24th November, 2022 referenced CR/252/1 and copied to PS MOLG, IAG, OAG, RDC, Chairperson of the LGPAC. The report had 04 queries.

Quarter Two report was produced on 25th January, 2023 under reference CR/252/1 and copied to PS MOLG, IAG, OAG, RDC, Chairperson of the LGPAC. The report had 4 queries.

Quarter Three report was produced on 29th May, 2023 copied to PS MOLG, IAG, OAG, RDC, Chairperson of the LGPAC. The report had 04 queries.

Quarter Four report was produced on 28th July, 2023 and copied to PS MOLG, IAG, OAG, RDC, Chairperson of the LGPAC. The report had 05 queries.

17

LG executes the Internal Audit function in accordance with the LGA Section 90

Maximum 4 points on this performance measure

b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.

Score 1 or else score 0

The DLG provided information to the Council! Chairperson and the LG PAC on the status of implementation of internal audit findings for FY 2022/2023; information on follow-up on audit queries from all quarterly audit reports as per evidence provided below:

CAO's letter under reference CR/252/1 to the District Internal Auditor dated 12th December, 2022 in respect of the recommendations and action required contained in the quarterly internal audit reports for quarter one that was copied to chairperson LG PAC, RDC.

CAO's letter to the Internal Auditor for quarter two reports dated 6th February, 2023, referenced CR/252/1 in response to internal audit queries that were raised.

CAO's letter to the Internal Auditor for quarter three reports dated 15th May, 2023 referenced CR/252/1 in response to internal audit queries that were raised.

CAO's letter to the Internal Auditor for quarter four reports dated 15th August, 2023, referenced CR/252/1 in response to internal audit queries that were raised. Follow up of the audit queries was done satisfactorily as the Council chairperson and LGPAC accessed information on the follow up of queries raised by the Internal Auditor.

1

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>Internal audit reports for the previous FY 2022/2023 were submitted to LG Accounting Officer, LG PAC and that LGPAC reviewed them and followed-up. The LG PAC was established in the district and functional. The committee met on quarterly basis and generated reports on internal audit reports in a financial year for consideration by Council.</p> <p>For example; in quarter one, the LG PAC held a meeting on 5th October, 2022</p> <p>In quarter two, the LG PAC held a meeting on 15th February, 2023</p> <p>In quarter three, the LG PAC met on 10th May, 2023; in quarter four, the committee held a meeting on 9th September, 2023. The LGPAC produced a report as a follow up of action dated 26th September, 2023 due for consideration by Council.</p>	1
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Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>The DLG planned for collection of local revenue amounting to shs 731,625,355 as provided on page 49 of the district draft accounts for FY 2022/2023. The DLG realised shs 460,997,181 on closure of FY 2022/2023 as indicated on page 49 of the draft final accounts of the district. This was equivalent to 63% performance. Poor performance on local revenue collection was attributed the COVID epidemic and lack of adequate sensitization to tax payers.</p>	0
19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>The DLG realised shs 460,997,181 on closure of FY 2022/2023 as indicated as per the draft final accounts of the district page 49 In FY 2021/2022, the district realised shs 280,759,282 as per audited accounts of the district for FY 2021/2022 page 45. Performance was above 10%.</p>	2

20	Local revenue administration, allocation, and transparency	a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0	<p>The local revenue amount transferrable to LLGs in FY 2022/2023 was shs 410,920,778 of the draft final accounts for FY 2022/2023.</p> <p>Sub counties received shs 267,009,310 where as the district head quarters received shs 143,822,272 as detailed in the draft final accounts for FY 2022/2023.</p> <p>Examples of local revenue that was transferred to LLGs:</p> <p>(i) Shs 1,300,000 was transferred to Akoboi sub county as per payment voucher number 3123509 dated 28th December, 2022 as the 65% share in quarter two.</p> <p>(ii) Shs 648,375 was transferred to Akoboi sub county as per payment voucher number 6405937 dated 26th June, 2023 as the 65% share in quarter four.</p> <p>(iii) Kapujan sub county received shs 303,225 as per payment voucher number 5881944 dated 15th June, 2023 as the 65% share in quarter four.</p> <p>(iii) Ngariam sub county received shs 325,000 as per payment voucher number 2082525 dated 15th November, 2023 as the 65% share in quarter two</p>	2
Transparency and Accountability				

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0	The LG publicized all the procurement plans and awarded contracts with all amounts for FY 2022-2023 on 24th/04/2023 as per the notice of the best evaluated bidders dated April 24, 2023.	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0	The LG performance results for FY 2021/2022 were publicised as required on various notice boards at the district and lower local governments levels as per CAO's circular letter dated 12th September, 2022. The district established a website katakwi.go.ug/public/national assessment.	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feedback on status of activity implementation: Score 1 or else score 0	Barazas were held through radio talk shows guided by the RDC, chair person of the district and CAO. Reports were produced as evidenced below: Report dated 28th August, 2023; 10th February, 2023. The baraza was held at Ngariam sub county as feed back meetings were held to mobilise communities to participate in government programmes.	1

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>The LG made publicly available information on i) tax rates as evidenced by the CFO's circular letter dated 20th June, 2022 under reference 24 FN/114 copied to the RDC and chairperson of the district. ii) CFO's circular letter on tax appeals dated 10th October, 2022. Tax grievances were explained in the communication to tax payers.</p>	1
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>There were no IGG issues in the DLG during FY 2022/2023. Perusal of the minutes of the meetings held by Council on dates indicated below had no IGG issues reported.</p> <p>Meeting held on 30th , May, 2023. Council meeting held on 14th April, 2023; meeting held on 31st March, 2023; meeting held on 27th October,2022; meeting held on 21st September, 2022 and Council meeting held on 11th August, 2023.</p>	1

**Educational
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>The PLE pass rates decreased by -→4.2% between 2022 and 2020 school years.</p> <p>2020 In 2020 the learners who passed in Div 1, Div 2 and Div 3 were 2047 out of 2798</p> <p>2047/2798 *100= 73.1%</p> <p>2022 2127 passed in Div 1,2, and 3 out of 3083 pupils, which represented 68.9% pass rate</p> <p>The percentage increase in pass rate was 68.9-73.1 = - 4.2%</p>	0
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>The percentage increase between 2022 and 2020 UCE results was 12.7%</p> <p>In 2022, 477 students passed Div 1, 2 and 3 out of 712 students, accounting to 66.9%</p> <p>In 2020, 247 students passed in Div 1, 2 and 3 out of 455 representing 54.2%</p> <p>Percentage increase 66.9-54.2=12.7%</p>	3

2	<p>N23_Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> • By more than 5%, score 2 • Between 1 and 5%, score 1 • No Improvement, score 0 <p>NB: If the previous average score was 95% and above, Score 2 for any increase.</p>	not applicable	0
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>The Education development grant was used on eligible activities that included;</p> <p>Construction of two classroom block each with an office and store for the following schools; (i) Gwetom P/S, Acang P/S and Acwun P/S-all were completed.</p> <p>(ii) 5 stance pit latrines were constructed for the following schools;</p> <ul style="list-style-type: none"> - Angodingod P/S, - Akurao P/S and - Amusia P/S, works were completed. 	2

Investment
Performance: The LG
has managed
education projects as
per guidelines

Maximum 8 points on
this performance
measure

b) If the DEO,
Environment Officer
and CDO certified
works on Education
construction projects
implemented in the
previous FY before
the LG made
payments to the
contractors score 2
or else score 0

The DLG implemented two projects during FY 2022/2023. (i) Payment to Ayo and Sons General Enterprises Ltd for shs 55,535,281 in respect of construction of two classroom block, office and store at Acange Primary School in Ngariam sub county as per payment voucher number 6412813 dated 27th June, 2023. Contract KAT 857/WRKS/22-23/0002.

The DEO initiated payment on 15th June, 2023 and on the same date, the DE, CDO, Senior Environment Officer, CFO and CAO signed the documents to certify payment.

(ii) Payment to Sanitation Africa Ltd for shs 106,461,000 in respect of construction of Toroma Seed School in Toroma sub county on payment voucher number 6417625 dated 27th June, 2023. Payment was initiated by the DEO on 22nd June, 2023. The DE, CDO, Senior Environment Officer, CFO and CAO signed the documents to certify payment on the same date.

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

c) If the variations in the contract price are within +/-20% of the MoWT estimates score 2 or else score 0

The percentage contract variation for the sampled education project contracts were within +/-20% as indicated below;

Construction of a 2 classroom block with office and store at Acanga primary school in Ngariam sub county (Procurement ref no. KATA857/WRKS/2022/22-23/00021)

Contract price = 108,141,540

Estimated cost = 110,000,000

Percentage change = -1.69%

Construction of a 2 classroom block with office and store at Getom primary school in Getom sub county (Procurement ref no. KATA857/WRKS/2022/22-23/00022)

Contract price = 106,769,790

Estimated cost = 110,000,000

Percentage change = -3.02%

Construction of a 2 classroom block with office and store at Ocwin primary school in Okore sub county (Procurement ref no. KATA857/WRKS/2022/22-23/00020)

Contract price = 109,904,000

Estimated cost = 110,000,000

Percentage change = -0.09%

Phase I construction of Getom seed secondary school in Getom sub county (Procurement ref no. KATA857/WRKS/2022/22-23/00007)

Contract price = 939,950,960

Estimated cost = 981,697,000

Percentage change = -0.31%

Phase II construction of Akoboi seed secondary school in Akoboi sub county (Procurement ref no. KATA857/WRKS/2022/22-23/00007)

Contract price = 978,679,700

Estimated cost = 981,697,000

Percentage change = -0.31%

. The percentage contract variation is obtained from

$(\text{contract cost} - \text{Estimated cost}) / (\text{Estimated cost}) * 100$

3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY</p> <ul style="list-style-type: none"> • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0 	<p>The percentage completion of all the seed secondary school constructions were between 80 and 99%. The LG had two seed secondary schools under construction in FY 2022/2023, which are phase 1 construction of the seed secondary school in Getom subcounty and phase 2 construction of the seed secondary school in Atoboi subcounty. The education budget performance report/end of year report page 2 and the physical field visit indicated that phase 1 Getom seed secondary school construction is at plaster level, which is between 80 and 99% completion, and phase 2 Atoboi seed secondary school construction is at painting level, which is between 80 and 99% completion.</p>	1
4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 - 79% score: 1 • Below 70% score 0 	<p>The LG did not recruit Primary School Teachers as the prescribed MoES staffing guidelines. The approved number of required teachers was 1304 but the LG managed to recruit only 742 teachers which translated to 56%. This was a clear indicator of under staffing in primary schools.</p>	0
4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> • If above 70% and above score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 	<p>All the 78 schools within the LG met the basic standards set out by DES, for example all the 78 PLE schools had 520, classrooms representing 7 classrooms per school with each school having at least a class for each learning group as required.</p> <p>The 78 schools, had 339 teacher accommodations representing 4.3% which is a minimum requirement of at least four permanent houses per school as required by DES.</p> <p>$78 / 78 * 100 = 100\%$</p>	3

Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>There was accurate deployment of teachers as indicated on the teacher's deployment list and the school staff lists were displayed in all the 3 sampled schools i.e., Toroma Girls P/S had 11 teachers, deployed and 11 teachers on its school staff list led by Sr. Aucho Dinah the Head teacher.</p> <p>With 6 teachers being male and 5 being female.</p> <p>Olela P/S had 11 teachers, deployed, out of which 4 were female and 7 were male led by Mr. Martine Omenyuk.</p> <p>Apuuton P/S had 21 teachers deployed, out of which 15 were male and 6 females, led by Mr. Ewasu Simon.</p>	2
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5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>The infrastructure recorded in Katakwi district local government primary school asset registers were all found present in all the visited sampled schools.</p> <p>Toroma Girls' P/S had 7 classrooms, 3 permanent and 3 temporal teachers houses, 186 desks and 5 latrine stances which were all found on ground.</p> <p>Olela P/S had 8 classrooms, 2 permanent, 5 grass thatched and 4 temporal staff houses, 2 blocks of 5 stance latrine each for both boys and girls, and 177 desks.</p> <p>Apuuton P/S had 278 desks, 13 classrooms, 12 latrine stances, 12 permanent, 1 temporal and 4 grass thatched teachers' houses.</p>	2
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6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p> <ul style="list-style-type: none"> • If 100% school submission to LG, score: 4 • Between 80 - 99% score: 2 • Below 80% score 0 	<p>100% of schools submitted their annual school reports as evidenced from the required 3 sampled schools.</p> <p>Toroma Girls' P/S submitted its report February 2023. The report included a total school enrolment of 881 learners, 11 staff and PLE performance.</p> <p>Olela P/S submitted its report on 6th December, 2022. The report included a total school enrolment of 1015 learners with a staffing of 11 teachers and PLE results.</p> <p>Apuuton P/S submitted its report on 6th December, 2022. The report included a total school enrolment of 2004 learners, with staffing of 27 teachers out of which 6 are supported by PTA and PLE results.</p> <p>Percentage submission;</p> <p>$3/3 * 100 = 100\%$</p>	4
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6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> • If 50% score: 4 • Between 30- 49% score: 2 • Below 30% score 0 	<p>School Improvement plans were found in all the 3 sampled schools representing 100% i.e. Toroma Girls', Olela and Apuuton primary schools.</p> <p>The school improvement plan of Toroma Girls' prioritized improving academic performance, renovate teacher's house and construct one teacher's house, complete construction of a school fence and sensitize parents on EMIS among others.</p> <p>Olela P/S prioritized construction and renovation of teachers' houses, fencing of the school, provision of midday meals to both learners and teachers, construction of stance latrines for both pupils and staff as well as a girls' washroom.</p> <p>Apuuton P/S prioritized, completion of teacher's house, feeding of learners and teachers, tree planting and development of flower gardens as well as continuous support supervision of teachers and learners among others.</p> <p>Percentage</p> <p>$3/3 * 100 = 100\%$</p>	4
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6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 - 99% score 2 • Below 90% score 0 	<p>The list of schools on PBS and OTIMS corresponded well with each having 78 UPE schools with an enrolment of learners and 10 USE schools with was 100%.</p> <p>78 /78 *100=100%</p>	4
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Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>The district budgeted UGX 6,014,906,839 for 78 head teachers and 742 teachers in 78 primary schools of Katakwi DLG.</p>	4
7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p> <p>Score 3 else score: 0</p>	<p>Teachers had been deployed as required by the sector guidelines with a head teacher and a minimum of 7 teachers per class for schools with classes reaching P.7</p> <p>Toroma Girls' P/S had a head teacher Sr. Awucho Dina and a total of other 10 teachers.</p> <p>Olela P/S had a head teacher Mr. Martine Omenyuk with a total of 11 teachers.</p> <p>Apetuun P/S had head teacher Mr. Ewasu Simon with 21 teachers.</p>	3
7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,</p> <p>score: 1 else, score: 0</p>	<p>All the primary schools sampled namely; Toroma Girls', Olela and Apuuton Primary schools had staff lists displayed on their office notice boards dated February ,2023.</p>	1

8

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copt to DEO/MEO

Score: 2 or else, score: 0

The LG Primary School Head Teachers were all appraised. Ten (10) files were sampled for review and below were the findings;

1. Okiror Saverino (Toroma PS) was appraised on 1/2/2023 by Chairperson School Management Committee
2. Aloket Betty (Katakwi PS) was appraised on 15/12/2022
3. Apaach Robert (Okochi PS) was appraised on 13/3/2023
4. Epwaat Wilson (Okolimo PS) was appraised on 30/11/2023
5. Ochole Martin (BT Akisimo Ngariam PS) was appraised on 5/1/2023
6. odokocanEmmanuel (Okibui PS) was appraised on 2/3/2023
7. opio Simon Peter (Usuk Boys PS) was appraised on 24/8/2023
8. ewadika Faucett (Akwooro PS) was appraised on 5/12/2022
9. ilepus Alice Merab (Omasia PS) was appraised on 25/8/2023
10. omenyuk Martin (Olela PS) was appraised on 14/12/2022

2

8

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM

Score: 2 or else, score: 0

There was no evidence that the LG appraised all Secondary School Head Teachers and reports submitted to HRM by D/CAO/TC or Chair BOG.

1. Engole James Stephen (St.Stephen SS) was appraised on 31/2/2022 by CAO
2. Olukuma John (Magoro Comprehensive SS) not appraised
3. Acen Regina (Palam Seed SS) was appraised on 31/10/2022
4. Adeko Teresa (Priscilla Girls) was appraised on 10/12/2022
5. Okweny Stephen (Ag. Ongongonja SS) was appraised on 13/3/2023
6. Okiror Joseph (Usuk SS) not appraised
7. Omasa Akosile Mark (Ngariam Seed SS) was appraised on 13/2/2023
8. Opio James (Kapujan SS) was appraised on 31/12/2022
9. Okurut Micheal (Katakwi SS) was appraised on 30/12/2022

0

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p> <p>score: 2. Else, score: 0</p>	<p>There was evidence that all Education Department staff were appraised against their performance plans.</p> <ol style="list-style-type: none"> 1. Okiror John Bosco (Inspector) was appraised by DEO on 12/7/2023 2. Alileng Daniel (Snr. Inspector) was appraised on 31/7/2023 3. Atim Angella (DEO) was appraised on 7/7/2023 by CAO 	2
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>There was a training plan for the FY 2022/23 was allocated Ugx 10M.</p> <p>Ugx 17,920,000 was for the identified staff capacity building gaps within the Education department, trainings involved;</p> <ol style="list-style-type: none"> 1. Training of head teachers and teachers in the abridged curriculum 2. Ugx 19,440,000 for training Toroma Girls' senior women on their roles 3. Ugx 11,160,000 for training Upper primary teachers on Curriculum management and Item writing 4. Ugx 11,530,000 for training 234 lower primary teachers on curriculum management on literacy and numeracy. <p>NB: District tops up on the training budget.</p>	2
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Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p>	<p>Since there were no errors, in the list of schools in the PBS there was no need to confirm the list of schools and their enrolment for Katakwi DLG.</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p> <p>If 100% compliance, score:2 else, score: 0</p>	<p>The district had an inspection roadmap and budget as evidenced from the reports of Q1 dated 16/2/22; Q11 dated 14/06/22; Q111 dated 25/09/22.</p> <p>Follow up inspection reports were also available and dated 24/3/22;17/8/22;24/11/22 and 6/9/2023.</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p> <p>If 100% compliance, score: 2 else score: 0</p>	<p>The PS/ST communicated cash limits for the Education Sector in quarter one on 4th August, 2022. Warranting was done on 8th August, 2022, Invoicing was done on 10th August, 2022; Transfers to schools was done on 10th August, 2022 and communication to LLGs was done on 10th August,2022.</p> <p>In quarter two, there was no allocation of funds for the Education Department.</p> <p>The PS/ST communicated cash limits for the Education Sector in quarter three on 9th January, 2023. Warranting was done on 13th , January, 2023, Invoicing was done on 16th January, 2023; Transfers to schools was done on 16th January, 2023 and communication to LLGs was done on 16th January, 2023.</p> <p>The PS/ST communicated cash limits for the Education Sector in quarter four on 11th April, 2023. Warranting was done on 14th , April, 2023, Invoicing was done on 18th April, 2023; Transfers to schools was done on 18th April, 2023 and communication to LLGs was done on 18th April, 2023.</p> <p>There were no delays experienced by the DLG.</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p> <p>If 100% compliance, score: 2 else, score: 0</p>	<p>There was evidence that the DLG invoiced and the DEO s communicated and publicized capitation releases to schools within three working days of release from MoFPED as witnessed by sampled schools by the Education Expert. For example in quarter one of FY 2022/2023, invoicing was done on 10th August, 2022, communication by DEO on capitation was done on 12th August, 2022 as was verified at Acange Primary School in Ngaria sub county. Information was pinned on the shool notice board (Shs 34,890,000). In quarter three, invoicing was done on 16th January, 2023 communication by DEO on capitation was done on 18th January, 2023 as was verified at Getom Primary School in Getom sub county. Information was pinned on the school notice boards (34,990,000).</p> <p>In quarter four, invoicing was done on 18th April, 2023 communication by DEO on capitation was done on 20th April, 2023 as was verified at Ararao Primary School in Toroma sub county. Information was pinned on the school notice boards (Shs 67,098,000).</p>	2
10	<p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <ul style="list-style-type: none"> • If 100% compliance, score: 2, else score: 0 	<p>The inspection workplan for 2022/23 was in place covering all 78-government aided primary schools within the DLG for 3 terms and</p> <p>Inspection reports were discussed and findings followed up by each schools' SMC and PTA committees. some of the issues discussed with SMCs and PTAs included supporting schools in the enrollment of learners in EMIS , encouraging parents to support with provision of midday meals.</p>	2
10	<p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> • If 100% score: 2 • Between 80 - 99% score 1 • Below 80%: score 0 	<p>The LG had an inspection plan for Term 3 2022, Term 1 2023, and Term 2 of 2023. Each covering 78 primary schools with a budget Ugx 22,000,000.)16/02/22;14/06/22.25/09/22.</p>	2

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>There was evidence of dissemination of findings arising from the inspection reports as evidenced in files dated 24/03/2022, 02/05/2022,17/08/2022,05/09/2022, 24/11/2022 ,05/12/2022, and 06/09/2023.</p> <p>Recommendations shared with SMC for implementation</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>Inspection findings had been presented to all the 3 sampled primary schools i.e. Toroma Girls' P/S, Olala P/S, and Apuuton P/S on 18th October ,2022, 1st February,2023 and 4th May,2023 respectively.</p> <p>The inspection and monitoring reports had been forwarded to DES as evidenced from DES acknowledgement sheets dated 26/10/2022; 06/02/2023</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>The Council Committee responsible for education was in place that was also referred to as the Health, Education and Social Services Committee which met every quarter. Meeting held on 13th December, 2022 discussed planning and budgeting, construction of seed schools, inspection and monitoring of schools.</p> <p>Meeting held on 22nd May, 2023 discussed budget for FY 2023/2024, PLE administration, sports development and capacity strengthening.</p>	2
11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,</p> <p>score: 2 or else score: 0</p>	<p>There was a report on mobilizing parents in order to attract learners, as evidenced from the reports with pictures of DEO and DIS conducting meetings, talk shows on Radio Joshua 93.5 FM, ETOP Radio 99.4 and VOICE OF TESO 88.4. There was also a Task Force of SMC and PTA members moving door to door in the communities, stakeholder meetings were also held called GET BACK TO SCHOOL Drive which targeted specific schools such as Obule-Ajet P/S on 22/11/2022; Akisim-Toroma P/S 24/05/2022. Some of the issues raised included; encouraging parents to take back their children back to school.</p>	2

Investment Management

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i></p>	<p>There was an up to date asset register dated February 2023, for 2022/23 listing all assets within the 78 primary schools of Katakwi DLG.</p> <p>There were a total 520 classrooms, 710 stance latrines, 10,000 desks and 339 teachers' houses.</p>	2
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i></p>	<p>The Education Department implemented eight projects during FY 2022/2023 out of which a sample of three projects was selected. (i)</p> <p>Construction of two classroom block with office and store at Acanga Primary School in Ngariam sub county budgeted at shs110,000,000 as per page 37 of the district approved budget, AWP page 9 and page 121 of the DDP III. The Field Appraisal Report was dated 12th November, 2021 and the Desk Appraisal Report was dated 2nd November, 2021.</p> <p>(ii) Construction of two class room block with office and store at Getom Primary School in Getom sub county</p> <p>Budgeted for shs 110,000,000 as per page 37 of the district approved budget, page 9 of the AWP and page 121 of the DDP III. The Field Appraisal Report was dated 12th November, 2021 and the Desk Appraisal Report was dated 2nd November, 2021.</p> <p>(iii) Construction of a five stance pit latrine in Akurao Primary School in Toroma sub county budgeted for shs 22,000,000 as per page 38 of the approved district budget AWP page 9 and DDP III page 121.</p> <p>The Desk Appraisal Report was 3rd November, 2021 and the Field Appraisal Report was dated 12th November, 2012.</p>	1

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0</p>	<p>The Education Department implemented eight projects during FY 2022/2023 out of which a sample of three projects was selected. (i)</p> <p>Construction of two classroom block with office and store at Acanga Primary School in Ngariam sub county budgeted at shs110,000,000. The Field Appraisal Report was dated 12th November, 2021 and the Desk Appraisal Report was dated 2nd November, 2021.</p> <p>(ii) Construction of two class room block with office and store at Getom Primary School in Getom sub county</p> <p>Budgeted for shs 110,000,000. The Field Appraisal Report was dated 12th November, 2021 and the Desk Appraisal Report was dated 2nd November, 2021.</p> <p>(iii) Construction of a five stance pit latrine in Akurao Primary School in Toroma sub county budgeted for shs 22,000,000.</p> <p>The Desk Appraisal Report was 3rd November, 2021 and the Field Appraisal Report was dated 12th November, 2012.</p> <p>Environmental issues were equally addressed.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, score: 1, else score: 0</p>	<p>The education department planned infrastructure projects (seed secondary schools) for FY 2023/2024 were incorporated into the approved procurement plan approved on September 27, 2023 on pages 3 and 5 of the procurement plan. Among these projects are phase II construction of Getom seed secondary school and phase III construction of Akoboi seed secondary school.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, score: 1, else score: 0</p>	<p>All the school infrastructure projects implemented in FY 2022/2023 were approved by the contracts committee as indicated in the minutes of the contract committee dated 5th December 2022 under minute number MIN: 05/21/12/2022/DCC for the approval of the phase II construction of Akoboi seed secondary school and Getom seed secondary school and all these were cleared by the solicitor general as in the letter dated 14/12/2022 among other projects.</p>	1

13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i>	The LG properly established the project implementation team as per the PPDA guidelines. The appointment letter by CAO dated 28th/10/2022 indicate that Atim Angella (DEO) was appointed as the contracts manager, Andrew Echatu as the project manager, Okello simon as the Environment officer ,Tino cathrine as the DCDO and Elungot Titus was appointed the clerk of works on 17th/05/2022	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES <i>Score: 1, else, score: 0</i>	The field technical inspection of the two seed secondary schools visited in the two subcounties of Atoboi and Getom indicated that the infrastructure was implemented following the specifications provided in both the architectural and structural plans by the Ministry of Works. The window and door types and numbers installed were in line with the specifications for all the structures. There were no observed structural cracks on the walling and floors, a physical scratch check on the plaster indicated a good class mortar used, the roof covering type used (Gauge 26) was that in the specifications and the block work was done well and all the buildings component dimensions were fulfilled	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i>	The monthly site meetings were conducted for all the sector infrastructure projects as indicated in the minutes of the site meeting dated 04/04/2023 for the construction of Akoboi seed secondary school, Minutes of site meeting for the construction of Getom seed secondary school dated 25th/06/2023 with all indicating the participation of the Environment officers, District Engineer and the DCDO.	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted <i>score: 1, else score: 0</i>	There was evidence that during critical stages of construction of planned sector infrastructure projects, joint technical supervision involving engineers, environment officers, and DCDOs was conducted as indicated in the joint monitoring report for the construction of Getom seed secondary school dated June 25, 2023; the physical progress report for the construction of Akoboi seed secondary school dated May 28, 2023; the physical progress report for the construction of Akoboi seed secondary school dated May 31, 2023; the physical progress report for the construction of Akoboi seed secondary school dated June 26, 2023; and the physical progress report for the construction of Akoboi seed secondary school dated April 28, 2023 and Progress report for the construction of a block of two classrooms with an office and store at Getom P/S All these reports captures all the technical, environmental, and social issues raised by the respective technical officers	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>The DLG implemented two projects during FY 2022/2023. (i) Payment to Ayo and Sons General Enterprises Ltd for shs 55,535,281 in respect of construction of two classroom block, office and store at Acange Primary School in Ngariam sub county as per payment voucher number 6412813 dated 27th June, 2023. Contract KAT 857/WRKS/22-23/0002.</p> <p>The DEO initiated payment on 15th June, 2023 and on the same date, the DE, CDO, Senior Environment Officer, CFO and CAO signed the documents to certify payment.</p> <p>(ii) Payment to Sanitation Africa Ltd for shs 106,461,000 in respect of construction of Toroma Seed School in Toroma sub county on payment voucher number 6417625 dated 27th June, 2023. Payment was initiated by the DEO on 22nd June, 2023. The DE, CDO, Senior Environment Officer, CFO and CAO signed the documents to certify payment on the same date.</p> <p>All sector infrastructure projects were properly executed and payments to</p> <p>contractors made within specified time frames within their respective contracts.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i></p>	<p>The Education department timely submitted the procurement plan to procurement unit by 17th/04/2023 before the deadline date of 30th/April/ as per the PPDA requirement</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law <i>score 1 or else score 0</i></p>	<p>The LG had complete procurement plans for all the seed secondary school projects implemented in the FY 2022/2023 as indicated below for the respective projects;</p> <p>Phase II Construction of the Akoboi seed secondary school in Akoboi p/s (Procurement ref: KATA857/WRKS/22-23/00007)</p> <ul style="list-style-type: none"> • Evaluation report dated 30/11/2022 with UK General Services ltd as the best evaluated bidder at a cost of 978,679,700 was on file • Minutes of contracts committee dated 21/12/2022 for the evaluation approval under minute number MIN:05/21/12/2022/DCC was on file. • The works contract signed on 25th/01/2023 was on file. <p>Phase I Construction of the Getomi seed secondary school in Akoboi p/s (Procurement ref: KATA857/WRKS/22-23/00008)</p> <ul style="list-style-type: none"> • Evaluation report dated 30/11/2022 with Wil Jon Estates ltd as the best evaluated bidder at a cost of 939.950,960 was on file • Minutes of contracts committee dated 21/12/2022 for the evaluation approval under minute number MIN:05/21/12/2022/DCC was on file. • The works contract signed on 25th/01/2023 was on file.
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Environment and Social Safeguards

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, <i>score: 3, else score: 0</i></p>	<p>LG had no grievance recorded under education sector for FY 2022/2023 by the time of assessment, however the Central Grievance Log was available dated "29/09/2022"</p>
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15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>The Environmental guidelines had been issued to all the 3 sampled primary schools (Toroma Girls', Olela and Apuuton Primary schools) in Katakwi DLG on 30th March ,2022.</p> <p>They included, proper disposal of garbage, establishment of environmental clubs, planting of trees, and water harvesting among other issues.</p>	3
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i></p>	<p>LG had a costed ESMP incorporated within the BoQs as evidenced by element 1 (Safety, Health and Welfare of workers) in the BoQs for the construction of Getom Seed SS - Phase 1 on unspecified page in the bidding document with Ref No. Kata857/Wrks/22-23/00008 on 04/11/2022</p>	2
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i></p>	<p>LG had proof of land ownership, access of school construction projects by the time of assessment as evidenced the Land Consent Form (Voluntary Land Contribution) for the construction of 5 stance pit latrine at Angodingodi P/S of 0.046Ha by Toroma Church of Uganda, Usuk Archdeaconary, Soroti District dated 01/11/2023</p>	1
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p>	<p>The EO and DCDO conducted monthly support supervision and monitoring of education projects in FY 2022/2023 as evidenced below;</p> <ul style="list-style-type: none"> • E&S Monitoring Report for the district development infrastructure projects including education sector on 06/08/2023 • E&S Monitoring Report for the district development infrastructure projects including education sector on 05/05/2023 • E&S Monitoring Report for the district development infrastructure projects including education sector on 07/09/2023 	2

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

Score: 1, else score:0

The EO and DCDO prepared the E&S certification forms for education projects prior to payment of contractors as evidenced below;

- E&S Certification form for the for the construction of 2 classroom block with office space at Getom P/S on 14/06/2022
- E&S Certification form for the construction of 2 classroom block with office space at Acanga P/S on 14/06/2022
- E&S Certification form for the construction a 5 stance pit latrine at Akurao P/S on 10/06/2022

**Health
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score																
Local Government Service Delivery Results																				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>The sampled health facilities had the following deliveries:</p> <p>FY2021/22</p> <table> <tr> <td>Toroma HC IV</td> <td>618</td> </tr> <tr> <td>Kapujan HC III</td> <td>525</td> </tr> <tr> <td>Magoro HC III</td> <td>775</td> </tr> <tr> <td>Total</td> <td>1918</td> </tr> </table> <p>FY2022/23</p> <table> <tr> <td>Toroma HC IV</td> <td>536</td> </tr> <tr> <td>Kapujan HC III</td> <td>480</td> </tr> <tr> <td>Magoro HC III</td> <td>927</td> </tr> <tr> <td>Total</td> <td>1943</td> </tr> </table> <p>Average change (1943-1918)/1918*100 = 1.3%</p>	Toroma HC IV	618	Kapujan HC III	525	Magoro HC III	775	Total	1918	Toroma HC IV	536	Kapujan HC III	480	Magoro HC III	927	Total	1943	0
Toroma HC IV	618																			
Kapujan HC III	525																			
Magoro HC III	775																			
Total	1918																			
Toroma HC IV	536																			
Kapujan HC III	480																			
Magoro HC III	927																			
Total	1943																			
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> • 70% and above, score 2 • 50% - 69%, score 1 • Below 50%, score 0 	Not applicable	0																
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> • 75% and above; score 2 • 65 - 74%; score 1 • Below 65; score 0 	Not applicable	0																

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.

The DLG budgeted shs 1,096,168,000 as development grant for FY 2022/2023 as per page 29 of the district approved budget. Total expenditure amounted to shs 1,096,168,000 as per page 17 of the Annual Performance Report Performance was 100%. The Health department implemented a total of six projects during FY 2022/2023. A sample of three out of six projects was selected as follows:

Construction of maternity ward at Pala HC III in Palam sub county contract reference KATA 857/WRKS/22-23 - 00009 whereby shs 99,757,795 was spent in FY 2022/2023 per payment voucher number 6414277 dated 27th June, 2023;

Upgrading of Akoboi HC II to HC III in Akoboi sub county contract reference MOH/UGIFT/WRKS/22-23 - 00001 LOT 9;

whereby shs 198,976,013 was spent in FY 2022/2023 per payment voucher number 5302077 dated 17th May, 2023;

Construction of staff house at Aliakamer HC III at Katakwi sub county contract reference KATA 522/WRKS/22-23 - 00011 whereby shs 75,199,827 was spent in FY 2022/2023 per payment voucher number 6417571 dated 27th June, 2023;

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0

The DLG implemented six e project in the department during FY 2022/2023 out of which a sample of three projects was selected. (i) Payment to Kid Builders and Engineers Ltd for shs 99,757,795 per payment voucher number 6414277 dated 27th June, 2023 in respect of completion of maternity ward at Palam GCIII in Palam sub county, Contract KAT 857/WRKS/22-23/0009. The DHO initiated payment on 13th June,2023. The DE, CAO, Environment Officer signed the payment on 14th June, 2023.

(ii) Payment to CAB (U) Ltd for shs 198,976,013 on payment voucher number 5302077 dated 17th May, 2023 for upgrade of Akoboi HC II to HC III in Akoboi sub county. Contract Reference MOH-UgFT/WRKS/22-23/00001/LOT 9. The DHO initiated payment on 27th April, 2023 and the CFO, CAO, CDO, DE, Environment Officer signed the payment on 24th April,2023.

(iii) Payment to Regions Investment Ltd for shs 75,199,827 per payment voucher number 6417571 dated 27th June, 2023 in respect of construction of staff house at Aliakamer HC III in Katakwi sub county. Contract reference KATA 522/WRKS/21-22/00011.

The DHO initiated payment on 14th June, 2023 and the CFO, CAO, CDO, DE, Environment Officer signed the payment on 13th June, 2023.

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0</p>	<p>Not all the sampled health project contracts had a percentage contract variation of within +-20% as indicated below for the respective project contracts.</p> <p>Construction upgrade of Akoboi HCII to HCIII (Procurement Reference no. MOH-UGIFT/WRKS/22/23/00001)</p> <p>Contract price = 837,955,091</p> <p>Estimated cost = 712,000,000</p> <p>Percentage contract variation = -17.7%</p> <p>Fencing of katakwi General Hospital (Procurement Reference no. KATA857/WKRS/2022-2023/00018)</p> <p>Contract price = 24,094,000</p> <p>Estimated cost = 24,700,000</p> <p>Percentage contract variation = -2.45%</p> <p>Consultancy services for the development Katakwi district master plan. (KATA857/WKRS/2022-2023/00018)</p> <p>Contract price = 63,000,000</p> <p>Estimated cost = 87,000,000</p> <p>Percentage contract variation = -27.6%</p>	0
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY</p> <ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 	<p>The contract agreement in the procurement file for the construction upgrade of Akoboi HCII to HCIII (construction of a maternity ward, a twin staff house, 4 stance pit latrine, a placenta pit, a medical waste pit, and renovation of the old OPD) was signed on January 30, 2023, and the contract period of eight (8) months was allowed for the project up to completion. The field visit and the budget performance report showed that the project is at the finishes level which translates to a percentage completion of between 80-99%</p>	1

4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	<p>The LG had recruited for all HC's and HC IV as follows:</p> <table border="0"> <tr> <td>Toroma HC IV</td> <td>31 out of 48</td> </tr> <tr> <td>Aketa HC III</td> <td>16 out of 19</td> </tr> <tr> <td>Ngariam HC III</td> <td>14 out of 16</td> </tr> <tr> <td>Magoro HC III</td> <td>17 out of 19</td> </tr> <tr> <td>Kapujan HC III</td> <td>12 out of 19</td> </tr> <tr> <td>Aliakamer HC III</td> <td>12 out of 19</td> </tr> <tr> <td>Total</td> <td>102 out of 143</td> </tr> </table> <p style="text-align: right;">$102/143*100 = 71.3\%$</p>	Toroma HC IV	31 out of 48	Aketa HC III	16 out of 19	Ngariam HC III	14 out of 16	Magoro HC III	17 out of 19	Kapujan HC III	12 out of 19	Aliakamer HC III	12 out of 19	Total	102 out of 143	0
Toroma HC IV	31 out of 48																	
Aketa HC III	16 out of 19																	
Ngariam HC III	14 out of 16																	
Magoro HC III	17 out of 19																	
Kapujan HC III	12 out of 19																	
Aliakamer HC III	12 out of 19																	
Total	102 out of 143																	

4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> • If 100 % score 2 or else score 0 	<p>The construction scope of work for the upgrade of Akoboi HCII to HC III involved the construction of a maternity ward, a twin staff house, a 4 stance pit latrine, a placenta pit, a medical waste pit and renovation of the old OPD. A technical field check on each of the structures indicated that the project is implemented following the standard architectural and structural designs and specifications and no structural defects was identified on all components of the structures at the time of assessment. The number and specifications of the windows and doors, roof coverings, truss details and materials and the type of the floor and wall finishes was as specified.</p>	2
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Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>The information on positions of health workers filled was accurate. Toroma HC IV had 31 H/Ws on the list dated 1/07/23 so was the District list dated 1/07/2023. Magoro HC III had 17 H/Ws on the list dated 2023-2024. Kapujan had 12 H/Ws on the list dated 1/07/2023 so was the District list dated 1/07/2023.</p>	2
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5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>Akoboi HC II was upgraded to HC III but not complete and was not functional as a HC III.</p>	0
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The sampled Health facilities (Toroma HC IV, Magoro HC III and Kapujan HC III) did not submit their Annual Workplans ad budgets for FY 2023/24 to the DHO.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>Of the sampled Health facilities only Toroma HC IV submitted the Annual Budget Performance Report for FY 2022/23 to the DHO. This was submitted on the 7/07/2023.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The sampled Health facilities (Toroma HC IV, Magoro HC III and Kapujan HC III) did not develop the facility improvement plans.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports</p>	<p>The Health Facilities submitted up to date monthly and quarterly HMIS reports timely as follows:</p>	2

Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

timely (7 days following the end of each month and quarter) If 100%,

• score 2 or else score 0

July 2022

Toroma HC IV

5/8/2022

Kapujan HC III 3/08/2022

Magoro HC III 5/08/2022

August 2022

Toroma HC IV 5/09/2022

Kapujan HC III 7/09/2022

Magoro HC III 6/09/2022

September 2022

Toroma HC IV

6/10/2022

Kapujan HC III

4/10/2022

Magoro HC III

6/10/2022

October 2022

Toroma HC IV

7/11/2022

Kapujan HC III

4/11/2022

Magoro HC III 7/11/2022

November 2022

Toroma HC IV

5/12/2022

Kapujan HC III

7/12/2022

Magoro HC III 5/12/2022

December 2022

Toroma HC IV 4/01/2023

Kapujan HC III

6/01/2023

Magoro HC III 5/01/2023

January 2023

Toroma HC IV 6/02/2023

Kapujan HC III

7/02/2023

Magoro HC III 6/02/2023

February 2023

Toroma HC IV 6/03/2023

Kapujan HC III

6/03/2023

Magoro HC III 7/03/2023

March 2023

Toroma HC IV 4/04/2023

Kapujan HC III

6/04/2023

Magoro HC III 5/04/2023

April 2023

Toroma HC IV 5/05/2023

Kapujan HC III

4/05/2023

Magoro HC III 5/05/2023

May 2023

Toroma HC IV 6/06/2023

Kapujan HC III

7/06/2023

Magoro HC III 5/06/2023

June 2023

Toroma HC IV 7/07/2023

Kapujan HC III

5/07/2023

Magoro HC III 5/07/2023

Quarterly 2022/23

1st Quarter 2022/23

Toroma HC IV 6/10/2022

Kapujan HC III 4/10/2022

Magoro HC III 6/10/2022

2nd Quarter 2022/23

Toroma HC IV 4/01/2023

Kapujan HC III 6/01/2023

Magoro HC III 5/01/2023

3rd Quarter 2022/23

Toroma HC IV 4/04/2023

Kapujan HC III 6/04/2023

Magoro HC III 5/04/2023

4th Quarter 2022/23

Toroma HC IV 7/07/2023

Kapujan HC III 5/07/2023

Magoro 5/07/2023

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0

Note: Municipalities submit to districts

Not applicable

0

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0

Not applicable

0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>Quarter 1 report was submitted on 6th October, 2022; Quarter 2 report was submitted on 9th January, 2023; Quarter 3 reports was submitted on 10th April, 2023; Quarter 4 report was submitted on 7th July, 2023</p>	1	
<p>Maximum 14 points on this performance measure</p>	6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>h) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>The LG developed the Performance Improvement Plan on 15/07/2023 for mainly Katakwi COU HC II, St. Stephen Koroma HC III, Ngariam HC III, Ngariam COU HC II and Palm HC II which were the weakest health facilities.</p>	1
<p>Maximum 14 points on this performance measure</p>	6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>The plan implementation was slated for the 2nd Quarter 2023 (October-December 2023).</p>	0
<p>Maximum 14 points on this performance measure</p>					

Human Resource Management and Development

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>a) Evidence that the LG has: i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>According to the LG Annual Budget 2023/24, the LG budgeted 5,192,894,624= for 327 health workers out 467 required. 327/467*100=70%. This was below the required 75%.</p>	0
	<p>Maximum 9 points on this performance measure</p>			
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>a) Evidence that the LG has: ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>The LG had 8 out of 26 health facilities that had at least 75% of the staff required. These were: Aketa HC III 16/19(84%) Magoro HC III 17/19(89%) Akoboi HC II 9/9(100%) Koritok HC III 10/9(111%) Ongogojja HC II 7/9(78%) Okochi HC II 8/9(89%) Aakum HC II 9/9(100%) Omodoi HC II 9/9(100%)</p>	0
	<p>Maximum 9 points on this performance measure</p>			
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>The health workers were working where they were deployed. Toroma HC IV had 31 H/Ws on the list 1/07/2023 and were found in the attendance book. Magoro HC III had 17 H/Ws on the list dated 2023/24 and were found in the attendance book. Kapujan HC III had 12 H/Ws dated 1/07/2023 and were found in the attendance book. The example of staff found were: Toroma HC IV Ochole Paul Senior Clinical Officer Magoro HC III Angedu James Clinical Officer Kapujan HC III Akello Alice Medical Records Assistant</p>	3
	<p>Maximum 9 points on this performance measure</p>			

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0</p>	<p>The LG publicized health workers on the noticeboards. Toroma HC IV publicized 31 H/Ws dated 1/07/23.</p> <p>Magoro HC III publicized 17 H/Ws dated 2023/24. Kapujan HC III 12 H/Ws dated 1/07/2023.</p>	2
	<p>Maximum 9 points on this performance measure</p>			
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p>	<p>a) Evidence that the DHO/MMOHs has:</p> <p>i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0</p>	<p>There was evidence that Health Facility In-charges were all appraised. Below are the review findings;</p> <ol style="list-style-type: none"> 1. Acorangela Mary (ANO) of Omodoi HC II was appraised by SAS on 4/7/2023 2. Angedu James (Snr. MCO) of Magoro HC III was appraised on 7/7/2023 3. Asio Dorothy (Snr. ANO) of Akoboi HC II was appraised on 5/7/2023 4. Madudu Grace (ANO) of Gongonja HC II was appraised on 30/6/2023 5. Ariokot Beatrice (Snr. ANO) Kokorio HC II was appraised on 28/6/2023 6. Atabo Hellen (ANO) of Kapujan HC III was appraised on 26/6/2023 7. Adeke Julliet (EN) Aakum HC II was appraised on 30/6/2023 8. Acom Teckla (ANO) of Okocho HC II was appraised on 4/7/2023 9. Ochole Paul (SCO) Toroma HC IV was appraised on 30/6/2023 10. Opio Moses (SMCO) of Aketa HC III was appraised on 10/7/2023 	0
	<p>Maximum 6 points on this performance measure</p>			

8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0	There was evidence that all Health Facility Workers were appraised against the agreed performance plans though at some point, appraisal was carried out beyond the recommended timeframe.	0
	Maximum 6 points on this performance measure		<ol style="list-style-type: none"> 1. Imalingat Mary Gorret (E N) Kokoria HC II was appraised on 1/7/2023 2. Akiteng Betty (ANO) Katakwi Hospital was appraised on 27/6/2023 3. Arige Christine Patricia (EN) Olilim HC II was appraised on 3/7/2023 4. Among Catherine (EN) Aakum HC II was appraised on 25/8/2023 5. Onyait Peter (Askari) Ngongonja HC II was appraised on 30/6/2023 6. Iromwait Suzan (EN) Okocho HC II was appraised on 22/6/2023 7. Akello Christine (EN) Bisina HC II was appraised on 15/6/2023 8. Asio Penninah (ANO) Toroma HC IV was appraised on 28/7/2023 9. Odong Simon Peter (MO) main Hospital was appraised on 15/7/2023 10. Aoja Salume Jesca (ANO) was appraised on 2/7/2023 	
8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	iii. Taken corrective actions based on the appraisal reports, score 2 or else 0	There was evidence that the LG took corrective action based on the appraisal reports. For stance,	2
	Maximum 6 points on this performance measure		Dr. Simon Omeke (DHO) conducted training on support supervision on 29/8/2023 at Katakwi General hospital and was attended by 20 participants	
8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	b) Evidence that the LG: i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0	The LG conducted training to 4 H/Ws from 18-20/05/2023 at Royalis Motel on Pre-eclampsia. This was conducted by a Medical Officer and Senior Assistant Nursing Officer to 1 Assistant Nursing Officer (ANO), Medical Officer (MO), Senior Assistant Nursing Officer (SANO) and Enrolled Midwife.	1
	Maximum 6 points on this performance measure			

8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	ii. Documented training activities in the training/CPD database, score 1 or else score 0	The training activities of health workers on Pre-eclampsia were entered in the training database.	1
	Maximum 6 points on this performance measure			

Management, Monitoring and Supervision of Services.

9	N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.	a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0	The CAO communicated to the PS MOH in letter in the email sent to Brenda Apio of Planning Unit MOH on 19/092023 about the 26 Health facilities that receive PHC which rhymes with the one submitted in LG budget FY 2023/24.	2
	Maximum 9 points on this performance measure			

9	N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.	b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.	Fund allocation to the Health Department during FY 2022/2023 amounted to shs 53,530,211 as reflected on page 13 of the District approved budget for FY 2022/2023. The monitoring and service delivery was allocated shs 17,254,336 as per page 13 of the approved LG budget.	2
	Maximum 9 points on this performance measure			

N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0

The DLG received communication from PS/ST on quarter one cash limits on 4th August, 2022, Warranting was done on 8th August, 2022, Invoicing was done on 17th August, 2022, Transfers to Health Centers on 17th August, 2022 and communication to Health Centers by Cao on 17th August, 2022.

In quarter two, communication on cash limits from the PS/ST was received on 5th October, 2022, Warranting was done on 10th October, 2022; Invoicing was done on 18th October, 2022; Transfers to Health Centers was done on 18th October, 2022 and communication to Health Centers was done on 18th October, 2022.

In quarter three, communication from the PS/ST was received by the DLG on 30th December, 2022, Warranting was done on 6th January, 2023; Invoicing was done on 17th January, 2023; transfers to Health Centers was done 17th January, 2023 and communication to Health Centers by the CAO was done on 17th January, 2023.

In quarter four, communication from the PS/ST was received by the DLG on 14th April, 2023, Warranting was done on 18th April, 2023; Invoicing was done on 10th May, 2023; transfers to Health Centers was done 10th May, 2023 and communication to Health Centers by the CAO was done on 10th May, 2023.

N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0

The DLG invoiced and communicated all PHC NWR Grant transfers in FY 2022/2023 to health facilities within 5 working days from the day of funds release in each quarter as per details provided above

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>The DLG did not publicize all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoPPED—e.g. through posting on public notice boards as evidenced by the health centres visited by the health expert.</p> <p>There was no evidence that the district publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoPPED for example circular letter under reference KA/DLG/HQ/09 dated 14th October, 2022 verified at Katakwi HC III notice board indicated no compliance with the prescribed time frame.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>The meeting that took place in the DHO's Board Room on 12/10/2022 recommended sanitation should be among the reports presented in the review meetings; the subsequent meeting that sat on 17/01/2023 sanitation was among the reports that were presented. In the meeting that took place on 17/01/2023; a vaccine refrigerator was recommended for Ngariam COU HC II; the DCCT confirmed that it was given to the health facility during the meeting that sat on 24/04/2023. On 24/04/2023 the members recommended to the In-charges to provide data for reporting to the Records Assistants; The In-charges confirmed in the meeting that took place on 17/07/2023 that it was included in the health facility budgets for FY 2023/2024.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>The review meeting that sat on 17/01/2023 was attended by the CAO, Chairman LC V and Secretary for Health. The on that sat on 24/04/2023 was attended by the CAO, Officials from TASO, CDFU and Rhites-E that of 17/07/2023 was attended by Secretary for Health. All the meetings were attended by the in charges of the health facilities and the DHT.</p>	1

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>According to the DHO reports to the CAO, the LG supervised Toroma HC IV and Katakwi Hospital as follows:</p> <p>Toroma HC IV 20/10/2022, 16/01/2023, 26/04/2023 & 21/06/2023.</p> <p>Katakwi Hospital 22/10/2022, 12/01/2023, 22/04/2023 & 21/06/2023.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <p>• If not applicable, provide the score</p>	<p>Katakwi General Hospital which heads Usuk HSD supervised Ngariam HC III, Magoro HC III, St. Kevin HC III, Astu HC III in the 1st Quarter 2022/23. In the 2nd Quarter 2022/23 Kapujan HC III and Magoro HC 111 were supervised. In the 3rd Quarter 2022/23 Kapujan HC III, Ngariam HC III and Magoro HC III were supervised. In the 4th Quarter Aketta HC III, Ojongoja HC II, Akoboi HC II, Aliakamer HC III and Olilim HC II were supervised.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>The LG reports from discussion of the supervision visits to make recommendations for corrective actions and the implementation done. The DHT in October 2022 discovered that data from St. Anne HC III was not accurate. The H/Ws were mentored by the Biostatician and on follow up in January 2023 it was accurate.</p> <p>Ngariam HC III was not displaying PHC funds on their noticeboard: on follow up in January 2023 it had been displaying.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>The health facilities were supported in prescription, storage and dispensing of medicines as follows: 1st Quarter 2022/23 Sofaad HC III, Astu HC II and Toroma HC IV.</p> <p>In the 2nd Quarter 2022/23 Katakwi Hospital. In the 4th Quarter 2022/23 Magoro HC III, Oketta HC III and Damasiko HC II.</p>	1

11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0	Fund allocation to the Health Department during FY 2022/2023 amounted to shs 53,530,211 as reflected on page 22 of the District approved budget for FY 2022/2023. The allocation for health prevention and promotion activities was allocated shs 16,050,000 as per page 22 of the approved LG budget. This was equivalent to 30%.	2
	Maximum 4 points on this performance measure			
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0	The District Health Educator (DHE) led the VHTs in the social mobilisation activities in the respective villages for Immunisation on 19/10/2023. The DHE conducted socio-mobilisation against Measles and Rubella in October 2023.	1
	Maximum 4 points on this performance measure			
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0	The District Health Inspector together with Green Label services followed up Health Care Waste collection quarterly from the health facilities. The District Health Inspector led Health Assistants and VHTs in collection of monthly sanitation data which was incorporated in the DHIS.	1
	Maximum 4 points on this performance measure			

Investment Management

12	Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.	a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0	The LG had an updated Asset register that set out health facilities and equipment relative to basic equipment. Toroma HC IV had BP machine, PIMA machine, Delivery beds and Microscope. Magoro HC III had Glucometer, BP machine, Delivery kits and Blood cell counter. Kapujan HC III had BP machine, Weighing scale and Thermometer.	1
	Maximum 4 points on this performance measure			

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were:</p> <p>(i) derived from the third LG Development Plan (LGDPIII);</p>	<p>The DLG implemented seven projects during FY 2022/2023 out of which three samples were selected. (i) Fencing of Katakwi General Hospital which was budgeted at shs 24,700,000 as per approved DLG budget for FY 2022/2023 page 34.</p>	1
	<p>Maximum 4 points on this performance measure</p>	<p>(ii) desk appraisal by the LG; and</p>	<p>The project was captured in the DDP III page 122, and AWP page 7. The Field Appraisal Report was dated 13th November, 2021 and the Desk Appraisal Report was dated 2nd November, 2021.</p>	
		<p>(iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG));</p>	<p>(ii) Construction of Omodoi HC II staff house which was budgeted at shs 8,090,945 as per approved DLG budget for FY 2022/2023 page 33.</p>	
		<p>score 1 or else score 0</p>	<p>The project was captured in the DDP III page 122, and AWP page 7. The Field Appraisal Report was dated 13th November, 2021 and the Desk Appraisal Report was dated 2nd November, 2021.</p>	
			<p>(i) Up grade of Akoboi HC II to HC III in Akoboi sub county budgeted at shs 712,000 as per approved DLG budget for FY 2022/2023 page 34.</p>	
			<p>The project was captured in the DDP III page 122, and AWP page 7. The Field Appraisal Report was dated 13th November, 2021 and the Desk Appraisal Report was dated 2nd November, 2021</p>	
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for:</p> <p>(i) technical feasibility;</p> <p>(ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>Social and environment issues were addressed.</p> <p>The Field Appraisal Report was dated 13th November, 2021 and the Desk Appraisal Report was dated 2nd November, 2021</p>	1
	<p>Maximum 4 points on this performance measure</p>			

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>The only LG health facility investment was screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist as evidenced by the following screening forms by EO & DCDO below;</p> <ul style="list-style-type: none"> • E&S Screening form for the upgrade of Okoboi HC II to HC III on 21/09/2021 • E&S Screening form for the upgrade of Palam HCII to HC III on 25/10/2021 • E&S Screening form for the construction of Staff House at Omodoi HC II on 21/09/2021 	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>There was no document available to review the timely submission of the health sector infrastructure and other requests to procurement unit before the deadline date of April 30th.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>The LG Health department did not submit the procurement request form (FormPP1) to the PDU as no evidence of submission.</p>	0
	<p>Maximum 4 points on this performance measure</p>			
	<p>Maximum 10 points on this performance measure</p>			
	<p>Maximum 10 points on this performance measure</p>			

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>The health infrastructure investments for the FY 2022/2023 were approved by the Contracts Committee as indicated below in the minute of the contracts committee for the approval of different infrastructure investments.</p> <ul style="list-style-type: none"> Minutes of the contracts committee dated 6th/11/2022 under minute number MIN:05/06/12/2022/DCC for the Consultancy services for the development of katakwi hospital master plan Minutes of contracts committee dated 15th/02/2023 under minute number MIN:05/15/02/2023/DCC for the construction of the 2 stance pit latrine for OPD at Katakwi general hospital. Minutes of contracts committee dated 15th/02/2023 under minute number MIN:05/15/02/2023/DCC for the approval of the fencing of Katakwi general hospital phase III and solicitor general letter dated 14/12/2022 for the clearance of the Akoboi health centre II upgrade. 	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG did not properly establish the project implementation team as per the PPDA guideline as no labour officer was appointed. The appointment letter by CAO dated 28th/10/2022 indicate that Dr.Onglu Emmanuel (DHO) was appointed as the contracts manager, Andrew Echetu (DE) as the project manager, Mr.Okello Simon as the Environment officer ,Mrs Tino Catherine as the DCDO and Mr. Elunget Titus as the clerk of works with no labour officer</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The construction scope of work for the upgrade of Akoboi HCII to HC III involved the construction of a maternity ward, a twin staff house, a 4 stance pit latrine, a placenta pit, a medical waste pit and renovation of the old OPD. A technical field check on each of the structures indicated that the project is implemented following the standard architectural and structural designs and specifications and no structural defects was identified on all components of the structures at the time of assessment. The number and specifications of the windows and doors, roof coverings, truss details and materials and the type of the floor and wall finishes was as specified</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no evidence provided to show that the Clerk of Works maintained daily records that would have been consolidated weekly to the District Engineer in copy to the DHO</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no reports to show that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility, the Community Development and Environmental officers</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG carried out technical supervision of works as indicated in the joint supervision report dated 22/06/20222 for the construction upgrade of Akoboi HC II to HC III with participation of the Engineers, Environment officers, CDOs</p>	1

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0

The DLG implemented six e project in the department during FY 2022/2023 out of which a sample of three projects was selected. The DHO verified works and initiated payments of contractors within specified timeframes i.e within 2 weeks or 10 working days after receiving payment requests as provided in the examples below: (i) Payment to Kid Builders and Engineers Ltd for shs 99,757,795 per payment voucher number 6414277 dated 27th June, 2023 in respect of completion of maternity ward at Palam GCIII in Palam sub county, Contract KAT 857/WRKS/22-23/0009. The DHO initiated payment on 13th June,2023. The DE, CAO, Environment Officer signed the payment on 14th June, 2023.

(ii) Payment to CAB (U) Ltd for shs 198,976,013 on payment voucher number 5302077 dated 17th May, 2023 for upgrade of Akoboi HC II to HC III in Akoboi sub county. Contract Reference MOH-UgFT/WRKS/22-23/00001/LOT 9. The DHO initiated payment on 27th April, 2023 and the CFO, CAO, CDO, DE, Environment Officer signed the payment on 24th April,2023.

(iii) Payment to Regions Investment Ltd for shs 75,199,827 per payment voucher number 6417571 dated 27th June, 2023 in respect of construction of staff house at Aliakamer HC III in Katakwi sub county. Contract reference KATA 522/WRKS/21-22/00011.

The DHO initiated payment on 14th June, 2023 and the CFO, CAO, CDO, DE, Environment Officer signed the payment on 13th June, 2023.

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>The LG had a complete procurement file for each health Infrastructure contract with all records as required by the PPDA Law as indicated below for the respective projects;</p> <ul style="list-style-type: none"> • Construction upgrade of Akoboi HC II to HC III ((Procurement Reference no. MOH-UGIFT/WRKS/2022-2023/00001) <p>Evaluation report dated 21/09/2022 with</p> <p>Cab Uganda ltd as the best evaluated bidder at 837,955,001</p> <p>Minutes of contracts committee dated 21/09/2022 and evaluation approval under minute MIN:634/DCC/2022 and works contract signed on 30h/01/2023</p> <p>Consultancy services for development of Katakwi General hospital (Procurement Reference no. KATA857/SRVCS/2022-2023/00001).</p> <p>Evaluation report dated 26/09/2023 with</p> <p>Kopa conslts ltd as the best evaluated bidder at 67,832,455.</p> <p>Minutes of contract committee dated 6th/11/2022 and project approve under minute MIN:05/06/12/2022/DCC and works contract signed on 7th/12/2022.</p> <p>Construction of the 2 stance pit latrine at Katakwi general hospital (Procurement Reference no. KATA857/WRKS/2022-2023/00019).</p> <p>Evaluation report dated 7/02/2023 with</p> <p>Olela General services ltd as the best evaluated bidder at 14,191,125.</p> <p>Minutes of contract committee dated 6th/11/2022 and project approve under minute MIN:05/06/12/2022/DCC and works contract signed on 7th/12/2022.</p>
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Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>LG had recorded one grievance under health in the FY 2022/2023 by the time of assessment as evidenced below;</p> <ul style="list-style-type: none"> • Omongir James aged 29 of Dokomer Eastern Ward worked was not paid for one month totalling to 480,000/= during construction worksa at Aliakomer HC III. GRC summoned the contractor on 21/08/2023 and held a resolution meeting on 22/08/2023 where the contractor promised to pay
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15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>The LG disseminated guidelines on health care waste management to health facilities on 20/04/2023. Waste segregation charts were found in Toroma HC IV, Kapujan HC III and Magoro HC III. Uganda National Infection and Prevention and Control Guidelines were found in Toroma HC IV, Magoro HC III and Kapujan HC III.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>The LG had a functional system for Medical waste management. Toroma HC IV, Magoro HC III and Kapujan HC III had bin liners for segregation of waste, safety boxes, waste pits and placenta pits. Green Label Services LTD a registered service provider was collecting health care waste from the LG. Katakwi Hospital and Toroma HC IV each had an incinerator.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>The LG created awareness in health care waste management on 20/04/2023 during the performance review meeting in the DHO's Board Room</p>	1
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0</p>	<p>LG had incorporated costed ESMPs into designs, BoOs as evidenced by the Compliance with NEMA Regulations and ESIA Report Recommendations at 5,000,000/= on unspecified page in the BoQas for the upgrade of Okoboi HC II to HC III in the bidding document with Ref No. Kata857/Wrks/22-23/00009 on 20/03/2023</p>	2

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>LG had proof that all health sector projects were implemented on land where the it had ownership, access as evidenced by the Land Consent Letter (Voluntary Land Availability and ownership) by the CAO for the upgrade of Akoboi HC II to HC III in FY 2022/2023</p>	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>The EO and DCDO conducted monthly support supervision and monitoring of health projects as evidenced below;</p> <ul style="list-style-type: none"> • E&S Monitoring Report for health projects in FY 2022/2023 on 25/09/2023 • E&S Monitoring Report for health projects in FY 2022/2023 on 15/07/2023 • E&S Monitoring Report for health projects in FY 2022/2023 on 25/08/2023 	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0</p>	<p>Environment and Social Certification forms were completed and signed by the DEO and DCDO prior to payments of contractor invoices/certificates of the only health infrastructure project as evidenced below;</p> <ul style="list-style-type: none"> • E&S Certification form for the fencing of Katakwi General Hospital Phase III on 14/05/2023 • E&S Certification form for the upgrade of Okoboi HC II to HC III on 09/05/2023 • E&S Certification form for upgrade of Palam HCII to HC III on 09/05/2023 	2

**Water &
Environment
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>The District rural water source functionality as per the MWE MIS on 6th November 2023 was 97%.</p>	2
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>The District facilities with functional water & sanitation committees as per the MWE MIS on 6th November 2023 was 100%.</p>	2

2

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;

- Above 80%, score 2
- 60% - 80%, score 1
- Below 60%, score 0

Reviewed the LLGs performance assessment report for the FY 2023/2024 and the scores converted to percentage for the water and environment indicators were as follows;

- Kapujan s/c 30%
 - Magoro s/c 60%
 - Omodoi s/c 0%
 - Toroma s/c 30%
 - Katakwi s/c 30%
 - Ngariam s/c 60%
 - Ongongoja s/c 0%
 - Palam s/c 60%
 - Uzuk s/c 20%
 - Akoboi s/c (new) 100%
 - Amuria s/c (new) 20%
 - Angodiongodi s/c (new) 80%
 - Gatom s/c (new) 80%
 - Guyaguya s/c (new) 0%
 - Okore s/c (new) 60%
 - Okulonyo s/c (new) 60%
- The average score was 43%.

0

2

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.

- o If 100 % of water projects are implemented in the targeted S/Cs: Score 2
- o If 80-99%: Score 1
- o If below 80 %: Score 0

Data for safe water coverage used for planning the previous FY 2022/2023 was for FY 2021/2022. According to the 4th quarter progress report for FY 2021/2022 dated 18th October 2022 and received by MWE on 21st October 2022, Katakwi district safe water coverage was 83.7% and per sub-county (s/c) were as follows:

- Kapujan s/c 73%
- Magoro s/c 85%
- Omodoi s/c 78%
- Toroma s/c 97%
- Katakwi s/c 76%
- Ngariam s/c 96%
- Ongongoja s/c 63%
- Palam s/c 91%

0

Uzuk s/c 89%

Note that the new sub-counties were not yet operational in the previous FY 2022/2023.

The sub-counties with safe water coverage below the district average were Kapujan, Omodoi, Katakwi and Ongongoja.

Reviewed the District Water and Sanitation 4th quarter progress report for FY 2022/2023 dated 18th July 2023 received by the MWE on the 21st July 2023. The water projects implemented were as follows:

1. 7 boreholes were drilled at a total cost 175,000,000 UGX.
2. 6 boreholes were rehabilitated at a total cost 56,000,000 UGX.
3. Motorizing an existing borehole at Bisina Health Center II at cost of 121,500,000 UGX

The total of development fund used by the water sector for infrastructure development was 352,500,000UGX.

Water projects implemented with development fund in sub-counties which had safe water coverage below district average were as follows;

- Kapujan s/c: 1 borehole was drilled at 25,000,000 UGX
- Omodoi s/c: 1 borehole was drilled at 25,000,000 UGX
- Katakwi s/c: 1 borehole was drilled at 25,000,000 UGX and 1 borehole was rehabilitated at 8,000,000 UGX
- Ongongoja s/c: 2 boreholes were drilled at 50,000,000 UGX

Total cost of project implemented in the s/c with safe water coverage below district average was 133,000,000 UGX.

Reviewed the MoU between the Katakwi district and Welt Hunger Hilfe (WHH). The MoU was signed by WHH on 24th July 2018 and CAO on 9th October 2018. The water projects implemented under this MoU were as follows:

1. 11 boreholes were drilled at a total cost 308,000,000 UGX.
2. 29 boreholes were

rehabilitated at a total cost
290,000,000 UGX.

The total cost of the water
infrastructures implemented by
WHH was 598,000,000UGX

Water projects implemented by
WHH in sub-counties which had
safe water coverage below
district average were as follows;

- Kapujan s/c: 2 boreholes were drilled at a total cost of 56,000,000 UGX and 4 boreholes were rehabilitated at a total cost of 40,000,000 UGX.

- Omodoi s/c: 2 boreholes were drilled at a total cost of 56,000,000 UGX and 4 boreholes were rehabilitated at a total cost of 40,000,000 UGX.

- Katakwi s/c: 1 borehole was drilled at 28,000,000 UGX and 2 boreholes were rehabilitated at 20,000,000 UGX.

- Ongongoja s/c: 2 boreholes were drilled at a total cost of 56,000,000 UGX and 4 boreholes were rehabilitated at a total cost of 40,000,000 UGX .

Total cost of project implemented
in the s/c with safe water
coverage below district average
was 336,000,000 UGX.

The total cost of water project in
the district was 352,500,000 +
598,000,000 = 950,500,000 and
total cost of water projects
implemented in the s/c with safe
water coverage below the district
average was 133,000,000 +
336,000,000 = 469,000,000 UGX

Therefore, % of water projects
implemented in the s/c with safe
water coverage below the district
average was 49.3%

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates

o If within +/-20% score 2

o If not score 0

Reviewed the District Water and Sanitation AWP for FY 2022/2023 dated 28th July 2022, approved by the MWE on 12th August 2022, and contract documents to establish

variations in the contract price for the three water projects implemented;

1. Construction of 7 deep hand pump boreholes contract number KATA857/WRKS/22-23/00010 was budgeted/estimated at 154,000,000 UGX. The contract document (Bill of quantity) showed that MSR TECHNOLOGY (U) LTD quoted at 149,691,850UGX. The contract variation was -2.7%.

2. Rehabilitation of 6 boreholes, contract number KATA857/WRKS/22-23/00024 was estimated at a total cost of 56,000,000 UGX. The contract document (Bill of quantity) showed that Katakwi District Hand Pump Mechanics Association quoted at 53,714,500 UGX. The contract variation was -4%

3. Construction of a solar piped water supply systems at Bisina Health Center II, contract number KATA857/WRKS/22-23/00011 was estimated at 125,000,000UGX. The contract document (Bill of quantity) showed that MS GEOBOT GROUP LTD at 121,500,000 UGX. The contract variation was -2.8%

2

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

d. % of WSS infrastructure projects completed as per annual work plan by end of FY.

o If 100% projects completed: score 2

o If 80-99% projects completed: score 1

o If projects completed are below 80%: 0

According to the District Water and Sanitation AWP for FY 2022/2023 dated 28th July 2022, approved by the MWE on 12th August 2022, the following projects implemented by the district in the sub-counties;

- Ongongoja s/c: 2 boreholes were drilled at 25,000,000 UGX

- Kapujan s/c: 1 borehole was drilled at 25,000,000 UGX

- Omodoi s/c: 1 borehole was drilled at 25,000,000 UGX

- Ngariam s/c: 1 borehole was drilled at 25,000,000 UGX and solar powered piped water system was constructed at 121,500,000 UGX

- Katakwi s/c: 1 borehole was drilled at 25,000,000 UGX and 1 borehole was rehabilitated at 8,000,000 UGX

- Majoro s/c: 1 borehole was drilled at 25,000,000 UGX and 1 borehole was rehabilitated at 8,000,000 UGX

- Palam s/c: 1 borehole was rehabilitated at 8,000,000 UGX

- Usuk s/c: 2 boreholes were rehabilitated at 16,000,000 UGX

According to the District Water and Sanitation 4th quarter progress report for FY 2022/2023 dated 18th July 2023, received by the MWE on 15th August 2023, all (100%) of the WSS infrastructure projects mentioned above were completed as per AWP and were functional except the new boreholes drilled in Katakwi and Magoro sub-counties that were dry wells.

2

3

New_Achievement of Standards:

The LG has met WSS infrastructure facility standards

Maximum 4 points on this performance measure

a. If there is an increase in the % of water supply facilities that are functioning

o If there is an increase: score 2

o If no increase: score 0.

From the Ministry MIS, the functionality of rural water supply facilities for the FY 2021/2022 was 93% and for the FY 2022/2023 was 92%, giving a decrease of 1%.

0

3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <p>o If increase is more than 1% score 2</p> <p>o If increase is between 0-1%, score 1</p> <p>o If there is no increase : score 0.</p>	<p>From the Ministry MIS, the functionality of WUCs for the FY 2021/2022 was 97% and for the FY 2022/2023 was also 100%, giving an increase of 3%.</p>	1
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Performance Reporting and Performance Improvement

4	<p>Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3</p>	<p>From the District Water and Sanitation 4th quarter progress report for FY 2022/2023 dated 18th July 2023 received by the MWE on the 21st July 2023, the following constructed WSS facilities were sampled and visited to establish if they were completed, functional and in use;</p> <ol style="list-style-type: none"> 1. Akalele borehole in Omodoi s/c. The water source was constructed by the MSR TECHNOLOGY LTD. It was installed on the 4th April 2023. Given number DWD 81674. The water source was completed, functional and in use by the community. 2. Acera borehole in Usuk s/c. The water source was constructed by the MSR TECHNOLOGY LTD. It was installed on the 7th April 2023. Given number DWD 81675. The water source was completed, functional and in use by the community. 3. Solar piped water systems in Bisina Health Center II, Ongariam s/c constructed by MS GEOBOT GROUP LTD. Construction was fully completed functional and in use by the community. 	3
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on</i></p>	<p>a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2</p>	<p>Reviewed the following sub-country quarterly WSS monitoring reports for the FY 2022/2023 which provided evidences that the LG Water Office collected and compiled quarterly information on sub-county water supply and sanitation;</p> <ul style="list-style-type: none"> • Ongongoja s/c WSS monitoring 	2

*this performance
measure*

report for 57 boreholes in 1st quarter dated 28th September 2022, 2nd quarter dated 4th Jan 2023, 3rd quarter dated 24th April 2023 and 4th quarter dated 4th July 2023.

- Katakwi s/c WSS monitoring report for 112 boreholes in 1st quarter dated 28th September 2022, 2nd quarter dated 4th Jan 2023, 3rd quarter dated 24th March 2023 and 4th quarter dated 29th July 2023.

- Kapujan s/c WSS monitoring report for 40 boreholes in 1st quarter dated 30th September 2022, 2nd quarter dated 6th Jan 2023, 3rd quarter dated 30th March 2023 and 4th quarter dated 4th July 2023.

- Palam s/c WSS monitoring report for 55 boreholes in 1st quarter dated 4th October 2022, 2nd quarter dated 6th Jan 2023, 3rd quarter dated 31st March 2023 and 4th quarter dated 8th July 2023.

- Ogariam s/c WSS monitoring report for 48 boreholes in 1st quarter dated 4th October 2022, 2nd quarter dated 6th Jan 2023, 3rd quarter dated 31st March 2023 and 4th quarter dated 8th July 2023.

- Toroma s/c WSS monitoring report for 59 boreholes in 1st quarter dated 28th September 2022, 2nd quarter dated 5th Jan 2023, 3rd quarter dated 31st March 2023 and 4th quarter dated 27th July 2023.

- Usuk s/c WSS monitoring report for 79 boreholes in 1st quarter dated 5th October 2022, 2nd quarter dated 30th December 2023, 3rd quarter dated 24th March 2023 and 4th quarter dated 27th July 2023.

- Magoo s/c WSS monitoring report for 65 boreholes in 1st quarter dated 30th September 2022, 2nd quarter dated 6th Jan 2023, 3rd quarter dated 30th March 2023 and 4th quarter dated 8th July 2023.

5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0</p>	<p>The DWO had an updated database for a total of 569 WSS facilities. For examples, the database was updated with the information on the following WSS facilities constructed in the previous FY:</p> <ol style="list-style-type: none"> 1. Osegai borehole constructed in Kapujan s/c (on page 2 of the database). 2. Akelele borehole constructed in Omodoi s/c (on page 4 of the database). 3. Adipala borehole constructed in Ngariam s/c (on page 9 of the database). <p>There were also evidences that Form 4 which should be used to update MIS with information about functionality, population, management of old WSS and Form 1 used to update MIS with newly constructed water facilities in the FY 2022/2023 were submitted and received by MWE on the 21st July 2023.</p>	3
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>Katakwi LLG performance assessment report for the previous FY 2022/2023 was not verified and PIPs were not developed and implemented.</p>	0

Human Resource Management and Development

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p>	<p>There was evidence that the DWO budgeted for critical positions in the department. According to the LG approved Budget Estimates VOTE 845, the wage budget was 45,333,000/= and this budget was for 3 staff under water as shown below;</p> <ol style="list-style-type: none"> 1. Apio Eseza Lydia (Civil Engineer (Water)) 2. Ilelit Isaac (Borehole Maintenance Technician) 3. Amega Stephen (Assistant Engineering Officer - Water) 	2
6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>There was evidence that the Environment and Natural Resource Officer budgeted for staff in the department totalling to 93,600,000/= for the following;</p> <ol style="list-style-type: none"> 1. Ekwith Emmanuel (Forestry Officer) 2. Okello Simon (Environment Officer) 3. Aleli Martine (Ag. DNRO) 4. Akello Veronica (Physical Planner) at Katakwi TC 	2
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>There was evidence that DWO appraised the District Water Office staff against the agreed performance plans during 2022/2023 and this can be confirmed by the below findings;</p> <ol style="list-style-type: none"> 1. Apio Eseza (Civil Engineer) was appraised on 30/6/2023 2. Amega Stephen (Assistant Engineering water) was appraised on 30/6/2023 3. Ilelit Isaac (Borehole Maintenance Technician) was appraised on 29/8/2023 	3

7

Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.

Maximum 6 points on this performance measure

b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3

No capacity needs assessment report, training report and training database were presented during the assessment.

0

Management, Monitoring and Supervision of Services.

8

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
 - • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
 - • If 80-99%: Score 2
 - • If 60-79: Score 1
 - • If below 60 %: Score 0

The district used data for safe water coverage in the 4th quarter progress report for FY 2022/2023 dated 18th July 2022 and received by MWE on 21st July 2022 for planning the water infrastructure projects in the FY 2023/2024. The average safe water coverage for Katakwi District was 75.8% and per sub-county (s/c) were as follows:

Kapujan s/c 70%

Magoro s/c 72%

Omodoi s/c 73%

Toroma s/c 93%

Katakwi s/c 67.5%

Ngoriam s/c 99.3%

Ongongoja s/c 63.5%

Palam s/c 88%

Uzuk s/c 82.5%

Therefore, sub-counties with safe water coverage below the district average were Kapujan, Omodoi, Katakwi, Ongongoja and Toroma

Reviewed the District Water and Sanitation AWP for FY 2023/2024 dated 7th July 2023 approved by the MWE on the 10th July 2023. The water infrastructure projects budgeted for implementation were as follows:

1. Drilling 6 boreholes at a total cost 150,000,000 UGX.

2. Rehabilitation of 5 boreholes at a total cost 40,000,000 UGX.

3. Drilling 3 production well at a total cost of 90,000,000 UGX

Giving a total of 280,000,000UGX.

Water projects budgeted for

1

implementation under MoU with WHH were as follows;

1. Drilling 13 boreholes at a total cost 325,000,000 UGX.
2. 29 boreholes were rehabilitated at a total cost 260,000,000 UGX.

Giving a total of 585,000,000 UGX

The total budget of water infrastructure projects implemented by WHH was $280,000,000 + 585,000,000 = 865,000,000$ UGX

Water projects budgeted for implementation in the district in sub-counties which had safe water coverage below district average were as follows;

- Kapujan s/c: Drilling 1 borehole at 25,000,000 UGX and rehabilitating 3 boreholes at a total cost of 30,000,000UGX
- Omodoi s/c: Drilling 3 boreholes at a total cost of 75,000,000 UGX, rehabilitating 4 boreholes at a total cost of 38,000,000UGX and drilling 1 production well at 30,000,000 UGX.
- Katakwi s/c: Drilling 3 boreholes at a total cost of 75,000,000 UGX and rehabilitating 4 boreholes at a total cost of 38,000,000UGX
- Ongongoja s/c: Drilling 5 boreholes at a total cost of 125,000,000 UGX, rehabilitating 4 boreholes at a total cost of 38,000,000UGX and drilling 1 production well at 30,000,000 UGX.
- Toroma s/c: Drilling 2 boreholes at a total cost of 50,000,000 UGX and rehabilitating 3 boreholes at a total cost of 28,000,000 UGX.

Giving total cost of project budgeted for implementation in the s/c with safe water coverage below district average as 584,000,000 UGX.

Therefore, % of water projects implemented in the s/c with safe water coverage below the district average was 67.5%

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3</p>	<p>There was a letter dated 11th July 2023 circulated to all the SAS by the DWO which communicated to the LLGs their respective allocations per source to be constructed in the current FY. The DWO did not present any report or minute of advocacy meeting for the current FY.</p>	3
<p><i>Maximum 6 points on this performance measure</i></p>				
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)</p> <ul style="list-style-type: none"> • If 95% and above of the WSS facilities monitored quarterly: score 4 • If 80-94% of the WSS facilities monitored quarterly: score 2 • If less than 80% of the WSS facilities monitored quarterly: Score 0 	<p>The following WSS monitoring reports were reviewed.</p> <ul style="list-style-type: none"> • Ongongoja s/c WSS monitoring report for 57 boreholes in 1st quarter dated 28th September 2022, 2nd quarter dated 4th Jan 2023, 3rd quarter dated 24th April 2023 and 4th quarter dated 4th July 2023. Representing 100% monitoring quarterly. • Katakwi s/c WSS monitoring report for 112 boreholes in 1st quarter dated 28th September 2022, 2nd quarter dated 4th Jan 2023, 3rd quarter dated 24th March 2023 and 4th quarter dated 29th July 2023. Representing 100% monitoring quarterly. • Kapujan s/c WSS monitoring report for 40 boreholes in 1st quarter dated 30th September 2022, 2nd quarter dated 6th Jan 2023, 3rd quarter dated 30th March 2023 and 4th quarter dated 4th July 2023. Representing 100% monitoring quarterly. • Palam s/c WSS monitoring report for 55 boreholes in 1st quarter dated 4th October 2022, 2nd quarter dated 6th Jan 2023, 3rd quarter dated 31st March 2023 and 4th quarter dated 8th July 2023. Representing 100% monitoring quarterly. • Ogariam s/c WSS monitoring report for 48 boreholes in 1st quarter dated 4th October 2022, 2nd quarter dated 6th Jan 2023, 3rd quarter dated 31st March 2023 and 4th quarter dated 8th July 2023. Representing 100% monitoring quarterly. • Toroma s/c WSS monitoring report for 59 boreholes in 1st quarter dated 28th September 2022, 2nd quarter dated 5th Jan 	4
<p><i>Maximum 8 points on this performance measure</i></p>				

2023, 3rd quarter dated 31st March 2023 and 4th quarter dated 27th July 2023. Representing 100% monitoring quarterly.

- Usuk s/c WSS monitoring report for 79 boreholes in 1st quarter dated 5th October 2022, 2nd quarter dated 30th December 2022, 3rd quarter dated 24th March 2023 and 4th quarter dated 27th July 2023. Representing 100% monitoring quarterly. Representing 100% monitoring quarterly.

- Magoo s/c WSS monitoring report for 65 boreholes in 1st quarter dated 30th September 2022, 2nd quarter dated 6th Jan 2023, 3rd quarter dated 30th March 2023 and 4th quarter dated 8th July 2023. Representing 100% monitoring quarterly.

The total number of water sources reported 515 and 100% of the boreholes were monitored quarterly.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2

There following minutes indicated that the DWO conducted quarterly DWSCC meetings;

- 2nd quarter DWSCC meeting which was held on 13th January 2023 during which key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions planned for. For examples water quality testing, construction and rehabilitation of more boreholes and motorization of boreholes sources amongst others.

- 3rd quarter DWSCC meeting which was held on 27th April 2023 during which key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions planned for. For examples continue with water quality testing and incorporation of GIS in to capture information during WSS monitoring amongst others

- 4th quarter DWSCC meeting which was held on 17th July 2023 during which key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions planned for. For examples continue water quality testing, providing post construction support to the WSC and baseline survey for new boreholes amongst others.

1st quarter DSWCC meeting was not conducted because funds for the FY 2022/2023 were released late. 1st quarter warrant to the district was received on 23rd September 2022

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2

There were notice on the notice boards of the district and the sub-counties that were visited (Omodoi, Ngariam and Usuk) which showed that the DWO publicized to the LLGs their respective allocations of water sources to be constructed in the FY 2023/2024 The notice was dated 11th June 2023 and had the following allocations;

- Magoro s/c: Drilling 1 borehole and rehabilitating 2 boreholes at a total cost of 45,000,000UGX.
- Toroma s/c: Drilling 2 boreholes and rehabilitating 3 boreholes at a total cost of 78,000,000UGX
- Kapujan s/c: Drilling 1 borehole and rehabilitating 3 boreholes at a total cost of 55,000,000UGX
- Omodoi s/c: Drilling 3 boreholes, rehabilitating 4 boreholes and drilling 1 production well at a total cost of 143,000,000 UGX.
- Katakwi s/c: Drilling 3 boreholes and rehabilitating 4 boreholes at a total cost of 113,000,000UGX
- Ongongoja s/c: Drilling 5 boreholes at a total cost of 125,000,000 UGX, rehabilitating 4 boreholes at a total cost of 38,000,000UGX and drilling 1 production well at 30,000,000 UGX.
- Usuk s/c: Drilling 1 borehole and rehabilitating 5 boreholes at a total cost of 73,000,000 UGX.
- Ngariam s/c: Drilling 2 boreholes, rehabilitating 2 boreholes and drilling 1 production well at a total cost of 100,000,000 UGX.
- Palam s/c: Drilling 1 borehole and rehabilitating 4 boreholes at a total cost of 65,000,000 UGX.

10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 	<p>The total allocation of NWR budget to rural water and sanitation was 69,858,145 UGX out from which allocation to facilitate community mobilisation activities was 27,980,140 UGX which was 40% as required by WSS grant guidelines.</p>	3
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.</p>	<p>A training reports for WSC dated 10th July 2023 was presented by the District Water Officer which indicated that WSCs were trained on the O&M of the new water facilities constructed in FY 2022/2023. The trainings were conducted between 8th January 2023 and 12th January 2023.</p> <p>The following 3 water projects were visited to confirm if the training of the WSCs were conducted.</p> <ol style="list-style-type: none"> 1. Akelele borehole in Omodoi s/c. Found caretaker Oeyesi Charles and Land lord Olemukori Eugina who confirmed that the WSC was established and trained but could not remember the date. They said collection of water user fee had not yet commenced but they were planning to collect 1000 UGX per month per household. 2. Ocera borehole in Usuk s/c. Found Opetum Simon (Mobiliser of the WSC) and Amukule Shara (Community member) who confirmed that the WSC was established and trained. Payment of waer user fees had been done for three months at 1000 UGX per month per household. 3. Motorised piped water systems at Bisina Health center II in Ongariam s/c. The piped water system was operational with 6 public tap stands. The WSC were established for each public tap stand. Found Ekongot Richard (caretaker of one of the tap stand), Aleo Caroline (Nurse in the health center) and Odong George William (SAS) who confirmed that training WSC were conducted. 	3

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:</p> <p>Score 4 or else 0</p>	<p>The DWO presented a water facilities asset register/database. The database was detailed and updated with information of water supply and sanitation facilities by location and LLG that were constructed in FY 2022/2023. Examples were;</p> <ol style="list-style-type: none"> 1. Osegai borehole constructed in Kapujan s/c (on page 2 of the database) 2. Akelele borehole constructed in Omodoi s/c (on page 4 of the database) 3. Adipala borehole constructed in Ngariam s/c (on page 9 of the database) 	4
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDP III) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p> <p>Score 4 or else score 0.</p>	<p>The district implemented three projects in the department during FY 2022/2023. (i) Drilling and installation of bore holes (ii) Motorization of hand pump (iii) Rehabilitation of boreholes in various sub counties namely Otuboi, Kakure, Bululu, Apapai, Ochelakur which was budgeted at shs 158,200,000 as per DLG approved budget for FY 2022/2023. The project was captured in the DDP III as well as the AWP for FY 2022/2023. The Desk and Field Appraisal Reports were both dated 20th July, 2022.</p> <p>(ii) Phase I Construction of Abalang Piped Water s Supply System in Ogwolo sub county which was budgeted for shs 118,165,973 as per approved DLG budget for FY 2022/2023. The project was captured in the DDP III and AWP in FY 2022/2023. The Desk and Field Appraisal Reports were both dated 20th July, 2022</p>	4

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>The DWO presented 6 community application forms for the new water projects in the FY 2023/2024.</p> <ol style="list-style-type: none"> 1. Application for a borehole in Apolin village in Katakwi s/c signed by the area LC1 on the 26th February 2022. 2. Application for a borehole in Ogangai village in Okulongu s/c formerly Ongongoja s/c. The application was not signed. 3. Application for a borehole in Aloet village in Omodoi s/c signed by the area LC1 on the 20th October 2021. 4. Application for a borehole in Omasai village in Ongongoja s/c signed by the area LC1 on the 23rd March 2023. 5. Application for a borehole in Ogugut village in Okore s/c formerly Ongariam s/c signed by the area LC1 on the 5th April 2023. 6. Application for a borehole in Aperitisiru village in Magoro s/c signed by the area LC1 on the 5th December 2022. 	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>The Field Appraisal Reports were dated 20th July, 2022 and the Desk Appraisal Reports were dated 20th July, 2022. Environment and social acceptability were all executed as required.</p> <p>(iii)Drilling Kanapa bore hole in Magoro sub county which was budgeted at shs 22,000,000 as per DLG approved budget for FY 2022/2023 page 53. The project was captured in the DDP III page 103 as well as the AWP for FY 2022/2023 page 12. The Desk was dated 3rd November, 2021 and Field Appraisal Reports were both dated 12th November, 2021</p>	2

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>LG had proof that water infrastructure projects for the current FY were screened for environmental and social risks/impacts by the DEO and DCDO and incorporation of ESMPs into the BoQs as evidenced below;</p> <ul style="list-style-type: none"> • E&S Screening form for a borehole at Ogugut Village on 12/10/2022 • E&S Screening form for a borehole at Omasia Village on 12/10/2022 • E&S Screening form for a borehole at Apeleun Village on 12/10/2022 • E&S Screening form for a borehole at Ogangai Village on 12/10/2022 	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>The water infrastructure investments were incorporated on page 3 of 5 of the LG procurement plan and the planned projects included;</p> <ul style="list-style-type: none"> • Drilling and installation of 7 deep boreholes with handle pumps in the sub counties of Katakwi district. • Consultancy services for the borehole sitting and supervision at a cost of 21,000,000 • Motorization of one hand pump borehole at a cost of 125,000,000 	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>The water supply and public sanitation infrastructure projects for the FY2022/2023 were approved by the Contracts Committee as indicated in the following respective minutes;</p> <p>Minute of the contracts committee dated 11th/08/2023 under minute MIN: 05/11/08/2022/DCC for the approval of the approval of water infrastructure projects.</p>	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>The LG properly established the project implementation team as per the PPDA guideline. The appointment letter by CAO dated 19th/12/2022 indicates that Amega Stephen (DWO) was appointed as the contracts manager, Eng. Andrew Echetu (DE) as the project manager, Mr.Okello Simao as the Environment officer ,Tino Catherine as the DCDO and Elunget Titus as the clerk of works.</p>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>The DWO presented the standard technical designs for the motorised piped water system constructed at Bisina Health Center II in Ogariam s/c and Indian Mark II design for boreholes.</p> <p>The following water projects were visited to confirm if they were constructed as per the standard technical designs provided;</p> <p>1. Akelel borehole in Omodoi and Acera borehole in Usuk s/c. All the water sources were constructed by MSR TECHNOLOGY LTD. The following measurements were checked and found to be within acceptable range in the standard technical design;</p> <p>i. Slap (apron) external diameter</p> <p>ii. Length of drainage channel</p> <p>iii. Sprout (pipe outlet) height</p> <p>2. Motorised piped water system constructed at Bisina Health Center II in Ogariam s/c. Solar powered motorized production well, a water tank and 6 public tap stands.</p>	2

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2

The relevant technical officers carried out monthly technical supervision of WSS infrastructure projects as in the following supervision/monitoring reports and minutes of site meetings;

- Joint supervision report on sitting and geological investigations of 7 boreholes dated 24th/02/2023 with participation of Environment officer (Okello Simon), DCDO (Tino Catherine), District Engineer and District Water officer (Apio Eseza).
- Report on supervision of the pump testing of 5 Boreholes dated 10th/5/2023 with participation of Environment officer (Okello Simon), DCDO (Tino Catherine), District Engineer and District Water officer (Apio Eseza)
- Report on borehole rehabilitation works dated 31st/05/2023 with participation of Environment officer (Okello Simon), DCDO (Tino Catherine), District Engineer and District Water officer (Apio Eseza)

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts

o If 100 % contracts paid on time: Score 2

o If not score 0

The DLG implemented three projects in the department during FY 2022/202. Drilling and installation of boreholes;

Rehabilitation of boreholes and motorization of hand pump borehole. Example of payments:

(i) Payment to Geobot Group Company Ltd Ltd for shs 83,646,400 for motorization of one hand pump borehole in the district at Olupe Town Board. Contract reference KATA 857/WRKS/22-23/00011. Payment was effected on voucher number 6409371 dated 27th June, 2023. Initiation was done by the DWO on 12th June, 2023 and on the same date the CFO, CAO, DE, CDO and Environment Officer signed the payment. (ii) Payment to Technologies Uganda Ltd

for shs 96,722,550 on payment voucher number 5859490 dated 15th June, 2023 for drilling, casting and installation of five deep boreholes at Akoboi Seed School in Magoro sub county. Contract reference KATA585522/WRKS/22-23. Initiation was done by the DWO on 23rd May, 2023 and on 24th May, 2023 the CFO, CAO, DE, CDO and Environment Officer signed the payment.

(iii) Payment to Katakwi Hand Pipe Mechanics for shs 45,442,467 on payment voucher number 6414158 dated 27th June, 2023 for rehabilitation works in Getom sub county, Akoboi sub county Katakwi sub county, Magoro sub county, Usuku sub county and Bala sub county. Contract reference KATA587/WRKS/22-23/00024. Initiation was done by the DWO on 23rd May, 2023 and on 12th May, 2023 the CFO, CAO, DE, CDO and Environment Officer signed the payment on 31st May, 2023.

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:

Score 2, If not score 0

The LG had a complete procurement file for the three sampled water Infrastructure contracts with all records as required by the PPDA Law as indicated below for the respective projects;

- Consultancy services for the borehole sitting and drilling supervision ((Procurement Reference no. KATA857/SRVCS/22-23/00004)

Evaluation report dated 29/09/2022 with Aquatech Enterprises Ltd as the best evaluated bidder at 20,780,000.

Minutes of contracts committee dated 7th/10/2022 for approval of the evaluation report under minute MIN:05/07/10/2022/DCC and works contract signed on 9th/11/2022

- Motorization of one hand pump borehole (Procurement Reference no. KATA857/WRKS/2022-2023/00011)

Evaluation report dated 26th/09/2022 with Geobot Group Ltd as the best evaluated bidder at 121,500,000

Minutes of contract committee dated 7th/10/2022 for evaluation report approval under minute MIN:05/07/10/2022/DCC and works contract signed on 14th/11/2022.

- Drilling and installation of 7 deep boreholes in the selected sub counties of Katakwi District (Procurement Reference no. KATA857/WRKS/2022-2023/00011)

Evaluation report dated 16th/09/2022 with MSR technologies Uganda Ltd as the best evaluated bidder at 149,691,850

Minutes of contract committee dated 7th/10/2022 for evaluation report approval under minute MIN:05/07/10/2022/DCC and works contract signed on 30/01/2023.

13	<p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p>	<p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p> <p>Score 3, If not score 0</p>	<p>LG had not recorded any grievances under water for the FY 2022/2023 however the Central Grievance Log was available dated "29/09/2022"</p>	3
14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>The EO disseminated guidelines on water source & catchment protection and natural resource management to CDOs as evidenced by Item 6 (Dissemination of DDEG Guidelines) on the agenda under minute No. 06/05/2022 on unspecified page.</p>	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p>	<p>LG had prepared the water source protection plans and natural resource management plans for WSS facilities constructed in the FY 2022/2023 dated 08/02/2023 by the DCDO and the EO</p>	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 3, If not score 0</p>	<p>Land agreements and MoUs for the newly constructed boreholes in the FY 2022/2023 signed between land owners and community presented by the LC 1 Chairpersons. Examples;</p> <ul style="list-style-type: none"> • Land agreement for Alera borehole in Guyaguya s/c signed on 10th January 2023 by the land owner Apolot Juliet. • Land agreement for Amuturu borehole in Okulunyo s/c signed on 16th December 2022. No body signed on the agreement as the owner. <p>Only 2 land agreements were presented during the assessment.</p>	0

15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:</p> <p>Score 2, If not score 0</p>	<p>E&S Certification forms were completed and signed by the EO and DCDO prior to payments of contractors as evidenced below;</p> <ul style="list-style-type: none"> • E&S Certification form for the for the sitting, drilling and construction of a borehole at Amuturo village on 12/04/2023 • E&S Certification form for the for the sitting, drilling and construction of a borehole at Adipala on 04/04/2023 • E&S Certification form for the for the sitting, drilling and construction of a borehole at Osongai village on 04/04/2023 • E&S Certification form for the for the sitting, drilling and construction of a borehole at Kanapa village on 04/04/2023 	2
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 2, If not score 0</p>	<p>The DCDO and EO undertook monthly monitoring of water projects in FY 2022/2023 as evidenced below;</p> <ul style="list-style-type: none"> • E&S Monitoring Report for the water sector projects in FY 2023/24 by the EO and DCDO on 01/07/2023 • E&S Monitoring Report for the water sector projects in FY 2023/24 by the EO and DCDO on 08/02/2023 • E&S Monitoring Report for the water sector projects in FY 2023/24 by the EO and DCDO on 08/02/2023 	2

**Micro-scale
Irrigation
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>The LG had up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries as evidenced by the report dated 30/Jun/2023 prepared by Elungat James-FFP/DAO.</p> <p>For the FY 2022/23, Katakwi district Local government was enrolled for the Micro scale irrigation program phase II. There was 89 acres of irrigated land for both beneficiaries and non-beneficiaries. The non-beneficiaries constituted to 85 acreage and the beneficiaries they constituted to 4 acreages as indicated in the data collection report dated 30/06/2023. Four demonstration sites were set out under micro scale irrigation grant beneficiary that is Malinga Johnson/Regina at Getom Subcounty Getom Parish Palaet Village sized at 1 acre, Olinga Krispo Getom at Palam Subcounty sized at 1 acre, Okello Jimmy at Getom Subcounty Getom Parish Akulet Village sized at 1 acre and Osege Sliver at Magoro Subcounty Aleles Parish Oriau Village sized at 1 acre.</p>	2
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>According to the reports on irrigated land by Elungat James-FFP/DAO (written on 30/06/2022 and 30/06/2023), the District in the FY 2021/22 had 37 acres of irrigated land which increased to 89 acres in the FY 2022/23. Therefore, the increase in the irrigated land was</p> <p>$((89 - 37)/89) \times 100 = 58.43\%$</p>	2

2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%, score 4 • 60% - 70%, score 2 • Below 60%, score 0 	<p>A waiting results verification for LLG assessment.</p>	0
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6</p>	<p>a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0</p>	<p>In the FY 2022/23, the district received a grant of 314,480,149 for micro scale irrigation program in the second and third quarter. Out of this, 75% (220,136,104) was allocated for capital development and the 25 % for complementary services as per the guidelines as evidenced from the Budget performance reports dated 25/06/2023 page 25. However, 3,190,000 of complementary was swept back to the system.</p>	2
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6</p>	<p>b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0</p>	<p>The approved farmers Malinga Johnson/Regina, Olinga Krispo, Okello Jimmy and Osege Sliver signed an Acceptance Form dated 12/07/2023 confirming that equipment is working well, before the LG made payments to the suppliers Furthermore, a Project completion report dated 13/07/2023 of page 8 was presented by Mutumba Raymond supporting Engineer from Amuria District confirming that equipment is working well.</p>	1
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6</p>	<p>Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0</p>	<p>The supporting Agricultural Engineer quoted 84,671,655 and the contractor Eco Soil & Water Engineers (U) Ltd contract No: KATA857/SUPLS/22-23/00191 quoted 83,753,568 which was recommend for award on the 16/06/2023. Thus, the contract was within +/-20% of the Agriculture Engineers estimates.</p>	1

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY	Most of micro irrigation equipment was Installed/completed in the FY 2023/24 on the 21/07/2023 as evidenced from the certificate of Practical completion dated 21/07/2023.	0
Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0 			
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	a) Evidence that the LG has recruited LLG extension workers as per staffing structure	There was no evidence that the LG recruited LLG extension workers as per the staffing structure. According to the approved structure, the LG required 48 and only recruited 21 extension workers translating to 43%	0
Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 • If 75 - 99% score 1 • If below 75% score 0 			
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF	There was evidence that the micro-scale irrigation equipment met standards as defined by MAAIF as evidenced on the inventory register of micro-scale irrigation equipment dated 30/06/2023 and site visit conducted on the 3 sampled sites Malinga Johnson, Osege Sliver and Okello Jimmy sites on the 6/11/2023.	2
Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 or else score 0 		5000 L HDPE water storage tank mounted on raised platform, Drip irrigation technology at 0.25 acres., Drag Hose irrigation technology at 0.5 acres, Sprinkler irrigation System at 0.25 acres, Solar panels mounted on a metallic structure and Solar pumps.	
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the installed micro-scale irrigation systems during last FY are functional	From the 3 sampled irrigation facilities installed in the FY 2022/2023, it was evident from the site visit dated 6/11/2023 that they were functional as all farmers were found irrigating. The entire system was functional and the host farmers Malinga Johnson, Osege Sliver and Okello Jimmy were found irrigating.	2
Maximum score 6	<ul style="list-style-type: none"> • If 100% are functional score 2 or else score 0 			

Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0</p>	<p>There was evidence that information on positions of extension workers filled was accurate as shown below;</p> <ol style="list-style-type: none"> 1. In Usuk SC, the approved structure provided for as Agriculture Officer and as per the staff list, this position had been filled by Omongole Francis 2. In Katakwi SC, the approved structure provided for Assistant Fisheries Officer and as per the staff list, the position was occupied by Alica David. 3. In Katakwi TC, the approved structure provided for an Agriculture Officer and as per the staff list, the position had been filled by Akoom James Mark 	2
5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p>	<p>From the visit to the site by the assessment team on 06/11/2023 revealed that the information on demonstration site status was accurate for all demonstration sites.</p> <p>The host farmers Malinga Johnson, Osege Sliver and Okello Jimmy were found irrigating.</p>	2

6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>	<p>Out of the four quarterly supervision and monitoring reports, only three sets of quarterly supervision and monitoring reports were provided by the District Agriculture Engineer (Mr.Elungat James), of which one report (quarter 2) was not dated. The quarter 1 report was not submitted for review since the project was still in its initial stages in quarter 1. The following quarterly reports were submitted for review at the time of assessment:</p>	0
	<p>Maximum score 6</p>		<ol style="list-style-type: none"> 1. Quarter 2 report was not dated 2. Quarter 3 report dated March 30, 2023 and 3. The Quarter 4 report was dated July 25, 2023. 	
			<p>In the Q2 report, there was mainly awareness to district leaders and training of staff</p>	
			<p>In the Q3 report, there was mainly awareness among district leaders, training of staff, and awareness among farmers.10 farmers submitted there Expressions of Interest (Eol), of which 4 were successful and 6 were unsuccessful. However, newly irrigated land was not indicated anywhere.</p>	
			<p>In the Q4 report, there was mainly awareness among district leaders, training of staff, awareness among farmers, farm visits, and the setting up of demo farms.124 farmers submitted an Eol and only 13 farm visits were conducted. However, all these reports were prepared beyond the agreed-upon time.</p>	
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p>	<p>The LG had entered up to- date LLG information into MIS as evidenced in the MIS. From the MIS is was evidenced that EOI were 380 farmers of which 246 were successful and 134 unsuccessful. Total number of preparations for farm visits were 28 and 25 farm visits were made.</p>	1
	<p>Maximum score 6</p>			

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0	The LG prepared quarterly report using information compiled for LLGs in the MIS as evidenced by Q4 report dated 25/07/2023 were EOI successful were 124.	1
	Maximum score 6			

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	The LG developed an approved Performance Improvement Plan for the lowest performing LLGs (Magoro) as evidenced in the Approved performance improvement plan dated 8/08/2022. This included appointment of a senior agricultural engineer and regular monitoring mechanisms were suggested to enhance accountability among others.	1
	Maximum score 6			

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	Implemented Performance Improvement report dated 30/06/2023 was evidence, however appointment of a senior agricultural engineer was still pending.	1
	Maximum score 6			

Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	There was evidence that the LG had budgeted for extension workers for the FY 2023/2024 approved work plan dated 01/07/2023 page 1. 10 extension workers were budgeted for in all the 4 quarters as indicated in the workplan. These were deployed as per guidelines as reflected in the staff registers dated 6/11/2023.	1
	Maximum score 6			

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	ii Deployed extension workers as per guidelines score 1 or else 0	10 extension workers were budgeted for in all the 4 quarters as indicated in the workplan. These were deployed as per guidelines as reflected in the staff registers dated 6/11/2023.	1
Maximum score 6				
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0	<p>There was evidence that extension workers were working in the LLGs where they were deployed. For example;</p> <ol style="list-style-type: none"> 1. In Usuk SC, Omongole Francis was deployed as an Agriculture Officer and as per the staff attendance of 15/5/2023, he reported for duty at 8:45am 2. In Katakwi SC, Alica David was deployed as Assistant Fisheries Officer and as per the staff attendance of 4/4/2023, he reported at 8:04am. 3. In Katakwi TC, Akoom James Mark was deployed as Agriculture Officer and as per the staff attendance of 31/8/2023, he reported for duty at 9:00am 	2
Maximum score 6				
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0	There was evidence that extension workers deployment had been published and disseminated to all noticeboards of LLGs visited such as Usuk SC, Katakwi SC and Katakwi TC. However, they were not dated nor stamped	2
Maximum score 6				

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has:</p> <p>i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0</p>	<p>There was evidence that the DPO conducted appraisal for all extension workers against the agreed performance plans and submitted a copy to HRO. For stance,</p> <ol style="list-style-type: none"> 1. Omongole John Francis (AO) Usuk SC TC was appraised on 30/6/2023 2. Ekoshot James (AO) Ngariam & Okore SC was appraised on 30/6/2023 3. Alica David (AFO) Kapujan SC was appraised on 30/6/2023 4. Edeun Simon (AVO) Katakwi & Usuk SC was appraised on 30/6/2023 5. Odukatum Samuel (AO) Amucia & Omodoi SC was appraised on 4/7/2023 6. Akoom James Mark (AO) Magoro & Getom SC was appraised on 3/7/2023 7. Akello Suzan (AO) Katakwi & Akoboi SC was appraised on 4/7/2023. <p>However, some extension workers as indicated above were appraised after 30th/June of the FY 2022/2023.</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has;</p> <p>Taken corrective actions: Score 1 or else 0</p>	<p>There was no evidence and no reason was given</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>b) Evidence that:</p> <p>i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0</p>	<p>Training activities were conducted in accordance to the training plans at District level as evidenced in training reports dated 25/06/2023 and training data base dated 30/06/2023. From the training report, 122 people, 75 male and 47 female comprising of DEC, all district council, opinion leaders, representatives of farmers at S/C, representatives of faith base organizations, representatives of the CSOs, members of the district technical planning committee were trained on different days as evidenced in the training data base dated 30/06/2023. 20 production staff were trained on the use of irri-track application</p>	1

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	ii Evidence that training activities were documented in the training database: Score 1 or else 0	Training activities were documented in the training database as evidenced in training data base dated 30/06/2023.	1
	Maximum score 4			

Management, Monitoring and Supervision of Services.

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.	a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 - 75% capital development; and 25% complementary services): Score 2 or else 0	In the FY 2022/23, the district received a grant of 314,480,149 for micro scale irrigation program. Out of this, 75% (235,860,111) was allocated for capital development and the 25 % for complementary services as per the guidelines as evidenced from the work plan and budget dated 10/10/2022.	2
	Maximum score 10			

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.	b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0	From work plan and budget for FY 2023/24 dated 01/07/2023, the production department budgeted for a grant of (447,649,678/=) of which 25% (105,649,678) was for complimentary services and 75% (342,000,000/=) for capital development. From the complimentary service amount, 25% (26,412,420) was allocated to enhancing LG capacity to support irrigated agriculture and 75%(79,237,258) for enhancing farmer capacity for uptake of micro scale irrigation.	2
	Maximum score 10			

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.	c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0	There was no evidence that co—funding was reflected in the LG Budget and allocated as per guidelines for FY 2023/2024.	0
	Maximum score 10			

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>No evidence was availed to indicate that the local government used farmer co-funding funds since no farmer had co-funded in the FY 2022/2023.</p>	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>There was no evidence that the LG had disseminated information on use of the farmer co-funding.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 Less than 70% score 0 	<p>There was no evidence of monthly supervision of the micro-irrigation equipment by the DPO in FY 2022/2023 since all the installations were done towards the end of FY 2022/2023 in June 2023. No monthly supervision reports that were provided to that assessor at the time of assessment.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>There was no evidence that the LG had overseen technical training and support to the approved farmer to achieve servicing and maintenance during warranty period since no evidence was provided at the time of assessment.</p>	0

10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0	There was evidence that the LG had provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines according to the training reports dated 30/06/2023. 20 Extension workers were trained on how to use the IRR- TRACK APP, filling and documentation, collection of EIO from farmers.	2
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	No applicable since no farmer field school had been set up at the time of assessment.	0
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	The LG has conducted activities to mobilize farmers as per guidelines as evidenced from report dated 25/05/2023, Attendance sheets dated 24/05/2023 and field photos.	2
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	From training report dated 25/06/2023, 122 people of which 47 were female and 75 males comprising of DEC, all district council, opinion leaders, representatives of farmers at S/C, representatives of faith-based organizations, representatives of the CSOs, members of the district technical planning committee were all trained thus it was evident that the district trained staff and political leaders at district level.	2

Investment Management

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0</p>	<p>The LG had an up dated register of micro-scale irrigation equipment supplied to farmers in the FY 2022/2023 as per the format as evidenced from the inventory of irrigation equipment dated 30/06/2023.</p> <p>Four 5000 L HDPE water storage tanks mounted on raised platform at host farms for Malinga Johnson, Olinga Krispo, Okello Jimmy and Osege Sliver</p> <p>Nine 300WP-315WPV panel, voltage at max power =36.75-36.98 V mounted on a raised platform at host farms for Malinga Johnson, Olinga Krispo, Okello Jimmy and Osege Sliver.</p> <p>Sprinkler system. Low pressure sprinklers (0.1 to 0.3 bars) complete with raiser, rubbers, raiser supports and heads</p> <p>at host farms for Malinga Johnson, Olinga Krispo, Okello Jimmy and Osege Sliver.</p> <p>Drip irrigation system complete with all accessories at host farms for Malinga Johnson, Olinga Krispo, Okello Jimmy and Osege Sliver.</p> <p>Drag Horse System complete with all accessories at host farms for Malinga Johnson, Olinga Krispo, Okello Jimmy and Osege Sliver.</p> <p>Four water pumps at host farms for Malinga Johnson, Olinga Krispo, Okello Jimmy and Osege Sliver.</p>	2
	<p>Maximum score 8</p>			
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p>	<p>The LG kept an up-to—date database of applications at the time of the assessment as evidenced in the data base of farmers applications for micro scale irrigation dated 08/08/2023 were 380 farmers applied from the different sub counties.</p>	2
	<p>Maximum score 8</p>			

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>Farm visits were carried out as evidenced by the farm visit reports dated 25/07/2023. At total of 13 farm visits were carried out from those that expressed interest.</p> <p>From the farm visits a number of parameters were assessed including area to be irrigated, nature of water source, distance from the water source to the furthest point of the garden, agronomic practices and equipment of preference.</p> <p>However, there was no evidence of farmers signing an Agreement to proceed for quotation form.</p>	2
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects:</p> <p>Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>There was no evidence that the LG District Agricultural Engineer publicised the eligible farmers that they have been approved for posting on the District and LLG noticeboards</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>The micro-scale irrigation systems had not been incorporated in the LG approved procurement plan for the FY 2023/2024 at the time of assessment. The LG received the supplementary budget late as per the ministry of finance letter dated 2nd/10/2023 on supplementary budget for the FY 2023/2024.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>The LG did not get quotations from the irrigation equipment suppliers as the LG used Eco Soils and Water Engineers Ltd for the supply and installation of small-scale equipment of Demo sites that was directly recommended by the ministry (MAAIF) and it's among the irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry, and Fisheries as per the 2021 list of pre-qualified suppliers sent to LGs for FY 2022-2023.</p>	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0	The LG did not properly conclude the selection of the irrigation equipment supplier based on the set criteria as the supplier was directly selected by the MAAIF.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0	There was no minutes of contracts committee availed by LG for the approval of micro-scale irrigation systems at the time of assessment	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	The LG was recommended by MAAIF to contract Eco Soil and Water Engineers Ltd for the supply and installation of small scale equipment of Demo sites and therefore the assessment team couldn't ascertain whether the lowest priced technically responsive irrigation equipment supplier was contracted as there were no comparison quotation figures from other bidders. However the contract between the LG and Eco Soil and water Engineers Ltd was signed on 16th/06/2023 at a contract price of 83,753,568	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	The micro-scale irrigation equipment installed was in line with the design output sheet (generated by irri Track App). The irrigation points were 7-10m apart and the drag horse 50m efficient for irrigation acreage coverage.	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0	Site books indicated that SAO, SEO& WO concurrently visited the sites on different dates dated 12/06/2023,19/16/2023, 26/06/2023,10/07/2023,17/07/2023 and 20/07/2023 and supervision reports dated 30/06/2023 filed by Elungat James-FFP/DAO, thus it was evidenced that the LG conducted regular technical supervision of the Micro-scale irrigation projects.	2

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	h) Evidence that the LG has overseen the irrigation equipment supplier during: i. Testing the functionality of the installed equipment: Score 1 or else 0	Site books indicated that SAO, SEO& WO concurrently visited the sites on different dates dated 12/06/2023,19/16/2023, 26/06/2023,10/07/2023,17/07/2023 and 20/07/2023, thus it was evidenced that the LG has oversaw the irrigation equipment supplier during testing the functionality Furthermore, a Project completion report dated 13/07/2023 of page 8 was presented by Mutumba Raymond supporting Engineer from Amuria District confirming that equipment was tested for functionality.	1
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	There was no evidence to show that the LG had overseen the handover of the equipment to the approved farmer.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	The Local Government made payment of the supplier rather not within specified timeframes as evidenced form the request of payment of 83,753,568 shillings only dated 19/6/2023 and on invoice no 012 dated 19/06/2023. Furthermore, there is no evidence that payment was made subjected to the presence of the approved farmer since no acceptance letter was signed. However, the equipment had not been fully fixed since its practical completion was on 21/07/2023.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	The LG did not prepare a complete procurement file for the supply and installation of the micro -scale irrigation equipment for the demonstration sites, though the LG signed the contract on June 16, 2023. There was no evaluation report and minutes of contract committee for award and bid evaluation approval by the contracts committee as the contractor was directly picked and recommended by the Ministry (MAAIF).	0

Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0</p>	<p>The LG displayed details of the nature and avenues to address grievance prominently in multiple public areas as evidenced on all LG and LLG noticeboards dated 10/August/2022.</p>	2
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>i). Recorded score 1 or else 0</p> <p>ii). Investigated score 1 or else 0</p> <p>iii). Responded to score 1 or else 0</p> <p>iv). Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>Record of grievances indicated that two case were recorded. Mr Ogwel Isaac was complaining for non-payment of boda services to transport materials to site on 10/9/2023. It was resolved on the 12/10/2023.</p> <p>Okero Vincent was complaining for non-payment for erecting of MAAIF sign posts on 26/9/2023. He was paid on 27/9/2023 and case resolved.</p>	1
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>ii. Investigated score 1 or else 0</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>Record of grievances indicated that two case were recorded. Mr Ogwel Isaac was complaining for non-payment of boda services to transport materials to site on 10/9/2023. It was resolved on the 12/10/2023.</p> <p>Okero Vincent was complaining for non-payment for erecting of MAAIF sign posts on 26/9/2023. He was paid on 27/9/2023 and case resolved.</p> <p>However, these cases were not reported online with LG grievance redress frameworks.</p>	1

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>Record of grievances indicated that two case were recorded. Mr Ogwel Isaac was complaining for non-payment of boda services to transport materials to site on 10/9/2023. It was resolved on the 12/10/2023.</p> <p>Okero Vincent was complaining for non-payment for erecting of MAAIF sign posts on 26/9/2023. He was paid on 27/9/2023 and case resolved.</p> <p>However, these cases were not reported online with LG grievance redress frameworks.</p>	1
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14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>Record of grievances indicated that two case were recorded. Mr Ogwel Isaac was complaining for non-payment of boda services to transport materials to site on 10/9/2023. It was resolved on the 12/10/2023.</p> <p>Okero Vincent was complaining for non-payment for erecting of MAAIF sign posts on 26/9/2023. He was paid on 27/9/2023 and case resolved</p> <p>These cases were reported in line with LG grievance redress frameworks.</p>	1
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Environment and Social Requirements

15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>a) Evidence that LGs have disseminated Micro-irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.</p> <p>score 2 or else 0</p>	<p>The guidelines were in place and MOUs were signed between the LG and the host farmers. The LG disseminated these guidelines through the E&S input distribution list for E&S requirements guidelines, farmers brochure part 1&2 dated April & May/2023 where 10 members were inducted on the different farm visits as indicated in the report on farm visits dated 30/06/2023.</p> <p>MOU were signed between the district LG and all host farmers, however all these MOUs were signed after installation of the irrigation equipment that is on 9/08/2023.</p> <p>Clauses to adhere with requirements for proper storage, use, transportation, and disposal of agro-chemicals and follow E&S recommendations were evidenced on page 1of 2 of the signed MOUs.</p> <p>However, there was no evidence of land access without Encumbrance since no land tittle nor land agreement was availed at the time of assessment from the two host farmers.</p>	2
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15	Safeguards in the delivery of investments Maximum score 6	<p>b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.</p> <p>i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0</p>	<p>LG had prepared costed ESMPs for micro scale irrigation investments in FY 2022/2023 by the SEO and DCDO as follows;</p> <ul style="list-style-type: none"> • Costed ESMP of 8,000,000/= for the Supply and installation of irrigation demonstration equipment in Alelesi Village at Olinga Chrisp’s land on 05/06/2023 • Costed ESMP of 9,200,000/= for the Supply and installation of irrigation demonstration equipment in Ariet Village at Osege Silver’s land on 06/06/2023 • Costed ESMP of 8,000,000/= for the Supply and installation of irrigation demonstration equipment in Paelekol Village at Achen Rigan’s land on 10/06/2023 • Costed ESMP of 8,200,000/= for the Supply and installation of irrigation demonstration equipment in Akulet Village at Okello Jimmy’s land on 10/06/2023 	1
15	Safeguards in the delivery of investments Maximum score 6	<p>ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0</p>	<p>LG had conducted monthly monitoring of micro scale irrigation investments in FY 2022/2023 as follows;</p> <ul style="list-style-type: none"> • E&S Monitoring Report for the micro scale irrigation demonstration sites for farmers in Magoro, Palam, and Getomt Sub Counties by the EO and DCDO on 27/06/2023 • E&S Monitoring Report for the micro scale irrigation demonstration sites for farmers in Magoro, Palam, and Getomt Sub Counties by the EO and DCDO on 09/08/2023 • E&S Monitoring Report for the micro scale irrigation demonstration sites for farmers in Magoro, Palam, and Getomt Sub Counties by the EO and DCDO on 25/07/2023 	1

15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	<p>LG had Certification forms completed and signed by SEO for micro scale irrigation investments in FY 2022/2023 as follows;</p> <ul style="list-style-type: none"> • E&S Certification form for the Supply and installation of irrigation demonstration equipment in Alelesi Village at Olinga Chrisp's land on 13/07/2023 • E&S Certification form for the Supply and installation of irrigation demonstration equipment in Ariet Village at Osege Silver's land on 13/072023 • E&S Certification form for the Supply and installation of irrigation demonstration equipment in Akulet Village at Okello Jimmy's land on 12/07/2023 	1
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	<p>LG had Certification forms completed and signed by DCDO for micro scale irrigation investments in FY 2022/2023 as follows;</p> <ul style="list-style-type: none"> • E&S Certification form for the Supply and installation of irrigation demonstration equipment in Alelesi Village at Olinga Chrisp's land on 13/07/2023 • E&S Certification form for the Supply and installation of irrigation demonstration equipment in Ariet Village at Osege Silver's land on 13/072023 • E&S Certification form for the Supply and installation of irrigation demonstration equipment in Akulet Village at Okello Jimmy's land on 12/07/2023 	1

Crosscutting Minimum Conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	The position of CFO was not substantively filled at the time of Assessment. However, Mr. Oonyu Moses was assigned by CAO as Ag. CFO on 1/7/2022. There was no request for secondment	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	The LG did not have a substantively appointed District Planner. However, Mr. Okiror Emmanuel was in acting capacity assigned by CAO on 10/1/2019. There was no request for secondment	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	The LG did not have a substantively appointed District Engineer. However, Mr Echatu Andrew was assigned duties of District Engineer, on 1/July/2019. There was no request for secondment	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	The LG did not have a substantively appointed District Natural Resource Officer. However, Mr Aleli Martin was in acting capacity as DNRO since 1/7/2022. There was no request for secondment	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	Mr Ongom Bernard Silver was substantively appointed as DPO on 1 / August/2006 letter referenced CR/156/1 as directed by the DSC Minute number 46/2006.signed by Mr. Muron Ocakara Nicholas, the CAO.	3

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	Ms. Tino Catherine was substantively appointed as the DCDO as per the letter of appointment dated 30/5/2018 under DSC Min No. 130/2018 (2) of ref; CR/156/1	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	Mr. Todi Patrick was substantively appointed as Principal Commercial Officer on 6/3/2020 under Min No. 09/2020 (1)	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	Katakwi DLG had substantively appointed Mr. Gakowe Kaugule Jamada as SPO on 1 /July /2020 Ref: CR/156/1 as directed by the DSC Minute number 34/2020, signed by the CAO, Mr. Nawuyo Bruno	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	The position was not substantively filled. However, Adoki Samson was assigned by CAO as the Ag. Procurement Officer on 29/01/2019. There was no request for secondment	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	Ms. Apio Epel Rita was substantively appointed as Senior Human resource Officer on 1/September /2005, letter reference CR/156 /1 as directed by DSC Minute number 155. It was signed by Mr. Muron Ocakara Nicholas, the CAO	2

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>j. A Senior Environment Officer, score 2 or else 0</p>	<p>The position for Senior Environment Officer was vacant and the HRM attributed this to lack of wage. There was no request for secondment</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>k. Senior Land Management Officer /Physical Planner, score 2 or else 0</p>	<p>This position was vacant at the time of assessment due to wage . There was no request for secondment</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>l. A Senior Accountant, score 2 or else 0</p>	<p>The DLG had substantively appointed Mr. Ocen Simon as A Senior Accountant on 8/8/2017 letter reference CR/159 as directed DSC Minute number 97/2017 Signed by Mr. Magumba Eria the CAO</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0</p>	<p>The position was not substantively filled. However, Okello Charles Stephen was assigned by CAO as Ag. Principal Internal Auditor on 29/7/2021. There was no request for secondment</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p>	<p>The DLG had substantively appointed Mr. Okello Gabriel as Principal Human Resource officer under letter reference CR /10802, dated 13th Oct 2020 as directed by the DSC Minute number 48/2020(3)-(ii). Signed by Ms. Alia Seraphine the CAO</p>	2
2	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG Maximum score is 15</p>	<p>a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal</p>	<p>The DLG had 20 LLGs including 16 sub counties and 4 town councils. However, there was no evidence that all SAS/SATCs were substantively recruited. 1. Apolo Pauline (Ag. Town Clerk) Toroma TC was not substantively appointed. She was in the acting capacity from 1/11/2023</p>	0

Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).

2. Oluka Fidel was the Ag. Town Clerk of Katakwi TC as was assigned by CAO on 1/11/2023
3. Iteu Zipporah was the Ag. Town Clerk of Usuk TC from 1/11/2023 as assigned by CAO
4. Imerat David was substantively appointed as SAS for Katakwi SC on 1/7/2015 under DSC Min No. 73/DSC/2015, RefCR/156/1
5. Emuge Gilbert was the Ag. SAS for Kapujan SC assigned by CAO on 1/7/2022
6. Ilaborot Samuel was the Ag. SAS for Guyaguya SC as assigned by CAO on 1/7/2021
7. Ocom Charles was the Ag. SAS for Amusia SC assigned by CAO on 1/7/2022
8. Malinga Moses was the Ag. SAS for Okore SC assigned by CAO on 1/7/2022
9. Oromwait Joseph was the Ag. SAS for Ongongonja SC assigned by CAO on 1/7/2022
10. Amongin Esther Caroline was the Ag. SAS for USUK SC as assigned by CAO on 1/7/2022
11. Alungat Stella was the Ag. SAS for Getom SC assigned by CAO on 1/7/2022
12. Okany Henry was the Ag. SAS for Ngariam SC assigned by CAO on 1/7/2022
13. Apio Immaculate was the Ag. SAS for Akoboi SC assigned by CAO on 1/7/2021
14. Akwi Sofia was the Ag. SAS for Palam SC assigned by CAO on 1/7/2022
15. Etoori Emmanuel was the Ag. SAS for Magoro SC assigned by CAO on 1/7/2022
16. Apio Martha was the Ag. SAS for Angodingod SC assigned by CAO on 1/7/2022
17. Okiror Sam was the Ag. SAS for Okulonyo SC assigned by CAO on 1/7/2022
18. Opio Moses substantively appointed as SAS for Ongongonyu SC effective 1/1/2008 under DSC Min No. 86/2007
19. Erabu Lambert was substantively appointed as SAS for Toroma SC on 1/7/2016 under DSC Min No. 27/2017 (3)
20. The personnel file for Okure Joseph Okot was missing

2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

The LG had 20 CDOs but due to poor records management only 13 files were presented for review.

1. Arado Dorothy (Usuk Sc) was substantively appointed CDO on 1/7/2019 under DSC Min No. 145/2018 (01)
2. Osege James (Kapujan SC) was assigned by CAO as Ag. CDO on 18/2/2020
3. Apolot Stella (Ongongonja SC) was substantively appointed CDO on 1/10/2020 under DSC Min No. 48/2020 (7) (1.1)
4. Ekopor John Micheal (Omodoi SC) was substantively appointed by CAO on 1/12/2018 under DSC Min No. 145/2018 (27)
5. Asengo Anna Grace (Katakwi SC) was substantively appointed by CAO on 1/12/2018 under DSC Min No. 145/2018 (28)
6. Osire Jerry (Magoro TC) was assigned by CAO as the Ag. CDO on 1/7/2022
7. Ojolim Samuel (Ngariam SC) was substantively appointed by CAO on 1/7/2022 under DSC Min No. 05/2022 (1)
8. Ekokot James Peter (Katakwi TC) was assigned by CAO as Ag. Snr. CDO on 1/7/2022
9. Oyala Nelson (Palam SC) was substantively appointed by CAO on 1/12/2018 under DSC Min No. 145/2018 (30)
10. Ebasu John Micheal (Magoro SC) was substantively appointed as CDO on 1/12/2018 under DSC Min No. 146/2018 (6)
11. Apio Francis CDO head office was substantively appointed on 5/4/2017 under Min No. 53/2017
12. Okalabo Charles (Ag. CDO) Toroma Sc was assigned by CAO on 1/7/2022
13. Okwi Tom Bosco (Ag. CDO) Okulonyo SC was assigned on 2/01/2019

0

2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

The DLG had 20 including SAA and AA but not substantively recruited. Due to poor records management only 13 files were presented for review as follows'

1. Mr Olemutum Joseph (Toroma SC) was appointed as Accounts assistant on 1/7/2017 under letter reference CR/159 as was directed by the DSC Minute number 100/DSC/2017(3) signed by Mr Magumba Eria (CAO),
2. Mr Amodoi James was substantively appointed as A Senior Assistant Accountant under letter reference CR/156 dated 1/ Nov /2017 Minute number 54/2010 (i) signed by

0

Mr Dansan Yiga Mukasa the CAO.

3. Mr Ilukor Vicent (Toroma TC) was appointed in acting capacity as Senior Accounts Assistant under letter reference CR/156/1 dated 1/Sept /2005 signed by Mr Muron Ocakana Nicholas.

4. Mr Oese Beda (Ngongonyo SC) was substantively appointed as Senior Accounts Assistant on 1/3/2017 Letter reference CR/160 as was directed by the DSC Minute number 23/KDSC/2017 signed by the CAO Mr Magumba Eria.

5. Mr Ilukor Opio Steven (Omodoi SC) was appointed as Senior Accounts assistant on 1/3/ 2017 under letter reference CR/160 as was directed by the DSC Minute number 23/KDSC/2017.

6. Mr Imanet Job Isaac was appointed on 12 /11/2018 under letter reference CR110608 as was directed by the DSC Minute number 145/2018(3) signed by Lorwor Joseph Jimmy.

7. Ms Alengat Mary Francis (Ngariam SC) was substantively appointed as Accounts Assistant on 1/7/ 2020 under letter reference as was directed by the DSC Minute number 34 /2020 signed by the CAO Mr Alia Seraphine.

8. Aesekol Richard (Kapujan SC) was substantively appointed as Accounts Assistant on 1/11/2012 under DSC Min No. 47/2010 (2)

9. Ajemo Solome (Katakwi SC) was substantively appointed as Senior Accounts Assistant on 1/3/2017 under DSC Min No. 23/KDSC/2017

10. Adengu Simon (Usuk TC) was assigned by CAO as Ag. Senior Treasury on 1/7/2022

11. Elitir Francis (Magoro TC) was assigned by CAO as Ag. Town Treasury on 5/1/2023

12. Opeitum John Justine Apuda (Magoro SC) was assigned by CAO as Senior Assistant Accountant on 1/3/2017

13. Oese Beda (Usuk SC) was assigned by CAO as the Ag. Snr Accounts Assistant on 2/6/2023

Environment and Social Requirements

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	As for the Natural Resources Department, Shs 239,558,452 was warranted as reflected on page 22 of the draft final accounts for FY 2022/2023.	2
	Maximum score is 4	a. Natural Resources department, score 2 or else 0	Shs 239,558,452 was released as detailed on page 22 of the draft final accounts for FY 2022/2023. Performance was therefore 100% as required.	
3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	Shs 334,579,172 was warranted for the Community Based Department as reflected on page 22 of the draft final accounts for FY 2022/2023.	2
	Maximum score is 4	b. Community Based Services department. score 2 or else 0.	Shs 334,579,172 was released as detailed on page1 22 of the draft final accounts for FY 2022/2023. Performance was 100% as required.	
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0	LG had carried out Environment and Social Screening for the only DDEG project in FY 2022/2023 as evidenced below; • E&S Screening form for the Construction of a 2 stance pit latrine at the district Head Quarters by the EO and DCDO on 20/09/2021	4
	Maximum score is 12			
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),	The only DDEG project that was implemented in the FY 2022/2023 did not require ESIA given its small scope according to Schedule 5 of the National Environment Act No. 05 of 2019	4
	Maximum score is 12	score 4 or 0		

4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>	<p>c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;</p> <p>score 4 or 0</p>	<p>LG had prepared a Costed ESMP for the only DDEG project that was implemented in FY 2022/2023 using the Discretionary Development Equalization Grant (DDEG) by the DDEO & DDCDO as evidenced by the Costed ESMP of 500,000/= for the Construction of a 2 stance pit latrine at the district Head Quarters on 20/09/2021</p>	4
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Financial management and reporting

5	<p>Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.</p> <p>Maximum score is 10</p>	<p>If a LG has a clean audit opinion, score 10;</p> <p>If a LG has a qualified audit opinion, score 5</p> <p>If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0</p>	<p>Katakwi DLG had Un-qualified Auditor General's opinion in the FY 2022/2023.</p>	10
6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p> <p>maximum score is 10</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p> <p>score 10 or else 0.</p>	<p>The DLG provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for FY 2021/2022 through CAO's letter dated 15th December, 2022 under reference CR/252/1. The IAG acknowledged receipt of the letter on 23rd December, 2022. Issues raised included among others the requirement to increase on local revenue collection, unaccounted for funds by closure of FY 2022/2023, management of the Parish Development Model (PDM). The issues raised were cleared at the time of the assessment. The response by the DLG was executed within the prescribed time frame i.e by end of February, 2023.</p>	10
7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p> <p>Maximum Score 4</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p> <p>score 4 or else 0.</p>	<p>The Annual Performance Contract was submitted by the PBS system on 8th August, 2023. It was countersigned by the PS/ST on 8th August, 2023.</p>	4

8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The Annual Performance Report for FY 2022/2023 was submitted by the DLG through the PBS system on 28th July, 2023 within the prescribed time frame as required. The Annual Performance Report was approved by MOFPED on 28th July, 2023</p>	4
9	<p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p> <p>Maximum score is 4</p>	<p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The DLG submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of FY2022/2023 by August 31st of the current FY 22023/2024. Submission of the quarterly reports was done through the PBS system as detailed below:</p> <p>Quarter One on 10th January, 2023;</p> <p>Quarter Two on 14th February, 2023;</p> <p>Quarter Three on 10th May, 2023;</p> <p>Quarter Four on 21st August, 2023.</p>	4

**Education Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.</p> <p><i>The Maximum Score of 70</i></p>	<p>a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0</p>	<p>Ms. Atim Angela was substantively appointed as a District education officer under letter reference CR/160, dated 1/03/ 2017 as directed DSC minute number 23/KDSC/2017 signed by Mr, Mugamba Eria, CAO.</p>	30
1	<p>New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.</p> <p><i>The Maximum Score of 70</i></p>	<p>b) All District/Municipal Inspector of Schools, score 40 or else 0.</p>	<p>1. Katakwi DLG substantively appointed Mr. Alilenge Daniel as senior inspector of schools, letter reference number CR/156/1, dated 1 July/2020 as directed by DSC minute number 34/2020(1) (1.1) by Alia Seraphine the CAO.</p> <p>2. Mr. Okiror John Bosco was substantively appointed as an Inspector Of Schools under letter reference CR/156/1 on 1st July/2019 as directed by DSC Minute number 173/2019, signed by Alia Seraphine CAO</p>	40
Environment and Social Requirements				
2	<p>Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>The Maximum score is 30</p>	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>LG had carried out Environmental, Social and Climate Change Screening of all education projects prior to commencement of all civil works for FY 2022/2023 as evidenced below;</p> <ul style="list-style-type: none"> • E&S Screening form for the construction of 2 classroom block with office space at Getom P/S by the EO and DCDO on 22/09/2021 • E&S Screening form for the construction of 2 classroom block with office space at Acanga P/S by the EO and DCDO on 22/09/2021 • E&S Screening form for the construction of a 5 stance pit latrine at Akurao P/S by the EO and DCDO on 03/05/2021 	15

2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:
b. Social Impact Assessments (ESIAs) ,
score 15 or else 0.

All education projects that were implemented in FY 2022/2023 did not require ESIAs given their small scope, according to the National Environment Act N0. 05 of 2019

15

The Maximum score is 30

**Health Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.</p>	<p>Dr. Ichumar Simon Omeke was substantively appointed as DHO under letter reference CR/156/1 dated 1/ 7/2022 as directed by DSC Minute number 05/2022, as signed by Nuwabigaba John Patrick CAO</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0</p>	<p>Ms. Atim Christine was substantively appointed as Assistant District Health Officer Maternal through reference number CR/156/1 on 1st 8/2022 as directed by DSC Minute number 15/2022/(2) as signed by Nuwabigaba CAO</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>c. Assistant District Health Officer Environmental Health, score 10 or else 0.</p>	<p>The position was vacant at the time of assessment due to wage</p>	0
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.</p>	<p>Oluke Moses was substantively appointed as Principal Health Inspector on 1/12/2010 under Min No. 64/2010</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>e. Senior Health Educator, score 10 or else 0.</p>	<p>The position was vacant due to wage</p>	0

1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	f. Biostatistician, score 10 or 0.	Mr. Amali Samuel was substantively appointed as a Biostatistician through letter reference dated 5th 4/2017 as directed by DSC minute number 37/2017 signed by Mr. Mugamba Eria CAO	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	g. District Cold Chain Technician, score 10 or else 0.	Onyait David was substantively appointed by CAO as District Cold Chain Technician as per the appointment letter dated 5/4/2017 under DSC Min No. 39/2017	10
1	New_Evidence that the Municipality has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to MCs only.</i> <i>Maximum score is 70</i>	h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.		
1	New_Evidence that the Municipality has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to MCs only.</i> <i>Maximum score is 70</i>	i. Principal Health Inspector, score 20 or else 0.		
1	New_Evidence that the Municipality has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to MCs only.</i> <i>Maximum score is 70</i>	j. Health Educator, score 20 or else 0		

Environment and Social Requirements

2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>LG had carried out Environmental, Social and Climate Change of the only 02 Health projects for FY 2022/2023 as evidenced below;</p> <ul style="list-style-type: none"> • E&S Screening form for the upgrade of Okoboi HC II to HC III by the EO and DCDO on 21/09/2021 • E&S Screening form for the upgrade of Palam HCII to HC III by the EO and DCDO on 25/10/2021 • E&S Screening form for the construction of Staff House at Omodoi HC II by the EO and DCDO on 21/09/2021 	15
2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>b. Social Impact Assessments (ESIAs) , score 15 or else 0.</p>	<p>The only health project for FY 2022/2023 did not require ESIAs given their small scope according to the NEA No. 05 of 2019</p>	15

**Micro-scale Irrigation
Minimum Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p>	<p>If the LG has recruited;</p> <p>a. the Senior Agriculture Engineer</p>	<p>The position of Senior Agriculture Eng. Was vacant at the time of assessment due to wage</p>	70
<i>score 70 or else 0.</i>				
Environment and Social Requirements				
2	<p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p>	<p>If the LG:</p> <p>Carried out Environmental, Social and Climate Change screening</p> <p>score 30 or else 0.</p>	<p>LG had carried out Environmental, Social and Climate Change screening of the micro scale irrigation investments for FY 2022/2023 as evidenced below;</p> <ul style="list-style-type: none"> • E&S Screening form for the Supply and installation of irrigation demonstration equipment in Alelesi Village at Olinga Chrisp’s land on 05/06/2023 • E&S Screening form for the Supply and installation of irrigation demonstration equipment in Ariet Village at Osege Silver’s land on 05/06/2023 • E&S Screening form for the Supply and installation of irrigation demonstration equipment in Paelekol Village at Achen Rigan’s land on 09/06/2023 • E&S Screening form for the Supply and installation of irrigation demonstration equipment in Akulet Village at Okello Jimmy’s land on 09/06/2023 	30

**Water & Environment Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	Ms. Apio Eseza was substantively appointed as CE(w) under letter reference CR/1561 dated 30/5/2018 as directed by DSC Minute number 130/2018(10) signed by Jose Jimmy Lorwor.CAO	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	Mr. Amega Stephen had been substantively appointed as AWO through letter reference CR/112/80 dated 1/7/2018 as directed by DSC Minute number 29/KDSC/2016. Signed by Lorwor Jose Jimmy CAO	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	Mr. Illelit Isaac was substantively appointed as Borehole Maintenance Technician under letter reference CR/156/1 dated 1/Nov/2012 as directed by DSC Minute number 49/2010(3) signed by Dason Yiga Mukasa CAO	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	The position was vacant due to wage. However, the DLG had a position of DNRO which was occupied by Aleli Martine in Acting Capacity on 1/7/2022	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	Mr Okello Simon had been substantively appointed as Environment officer on 1/7/2020 as directed DSC minute number 34/2020 signed by Alia Seraphine CAO. However, the letter was not referenced	10

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.</p> <p><i>Maximum score is 70</i></p>	<p>f. Forestry Officer, score 10 or else 0.</p>	<p>Ekwith Emmanuel was substantively appointed as Forestry Officer as per the appointment letter dated 1/11/2012 under DSC Min No. 55/2010 (1)</p>	10
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Environment and Social Requirements

2	<p>Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects</p>	<p>If the LG:</p> <p>a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.</p>	<p>LG had carried out Environmental, Social and Climate Change screening as follows;</p> <ul style="list-style-type: none"> • E&S Screening form for the sitting, drilling and construction of a borehole at Amuturo village by the EO and DCDO on 23/09/2021 • E&S Screening form for sitting, drilling and construction of a borehole at Adipala village by the SEO and DCDO on 23/09/2021 • E&S Screening form for the for sitting, drilling and construction of a borehole at Akoboi Sees Secondary School by the EO and DCDO on 23/09/2021 • E&S Screening form for the for sitting, drilling and construction of a borehole at Osongai village by the EO and DCDO on 23/09/2023 	10
2	<p>Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects</p>	<p>b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.</p>	<p>All water projects that were executed in FY 2022/2023 did not require ESIAs given their small scope according to the NEA No. 5 of 2019</p>	10

Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.

The DWO presented an abstraction permit issued on Friday 1st June 2022 that was valid for a period of 1 year to Friday 30th June 2023. The groundwater permit number KAM56/DP03266/2022/RR was issued to MSR TECHNOLOGY (U) LTD for drilling boreholes.