



LGMSD 2022/23

Kapelebyong
District

(Vote Code: 627)

Assessment	Scores
Crosscutting Minimum Conditions	60%
Education Minimum Conditions	70%
Health Minimum Conditions	60%
Water & Environment Minimum Conditions	55%
Micro-scale Irrigation Minimum Conditions	30%
Crosscutting Performance Measures	78%
Educational Performance Measures	75%
Health Performance Measures	78%
Water & Environment Performance Measures	76%
Micro-scale Irrigation Performance Measures	55%

**Crosscutting
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): • If so: Score 4 or else 0 	<p>The DLG implemented one project that was funded by DDEG during FY 2022/2023. The project: 8 kms on Adipala -Dobai Regraded and 1.5 kms on Acowa -Acinga Spot Improve under reference 048159 "District Community Access Road Maintenance". The project was budgeted at shs 36,928,146 as per page 100 of the Quarterly Budget Performance Report quarter four. The project was captured on page 62, 68 and 158 of the DDP III, page 41 of the AWP</p> <p>The project was functional and used for the purpose intended as it catered for good communication to communities to enable them access markets, medical services and schools among others.</p>	4
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> • By more than 5%, score 3 • 1 to 5% increase, score 2 • If no increase, score 0 <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>In 2022, the average score in the overall LLG performance assessment was 33% and in 2023, the average score in the overall LLG performance assessment was 64%, giving a variance of 31%</p>	3

2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> • If 100% the projects were completed : Score 3 • If 80-99%: Score 2 • If below 80%: 0 	<p>The DLG implemented one project that was funded by DDEG during FY 2022/2023. The project: 8 kms on Adipala -Dobai Regraded and 1.5 kms on Acowa -Acinga Spot Improve under reference 048159 “District Community Access Road Maintenance”. The project was budgeted at shs 36,928,146 as per page 100 of the Quarterly Budget Performance Report quarter four. The project was captured on page 62, 68 and 158 of the DDP III, page 41 of the AWP. Total expenditure on the project amounted to shs 36,488,400 as per Annual Performance Report and AWP for FY 2022/2023</p> <p>The project was implemented through force account, completed and all funds as budgeted were spent accordingly.</p>	3
3	<p>Investment Performance</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:</p> <p>Score 2 or else score 0.</p>	<p>The DLG implemented one project that was funded by DDEG during FY 2022/2023. The project: 8 kms on Adipala -Dobai Regraded and 1.5 kms on Acowa -Acinga Spot Improve under reference 048159 “District Community Access Road Maintenance”. The project was budgeted at shs 36,928,146 as per page 100 of the Quarterly Budget Performance Report quarter four. The project was captured on page 62, 68 and 158 of the DDP III, page 41 of the AWP.</p> <p>The project was implemented through force account, completed and all funds as budgeted were spent accordingly. Total expenditure on the project amounted to shs 36,488,400 as per Annual Performance Report and AWP for FY 2022/2023 based on the DDEG implementation guidelines.</p>	2
3	<p>Investment Performance</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates, score 2 or else score 0</p>	<p>The DDEG funded projects, including the spot improvement of Acowa-Acinga Road and the re-grading works of Adipala-Olobal Road, were implemented in the FY 2022/2023 using the force account method of worker execution at the respective costs of 25,650,400 and 10,838,000 and therefore the contract variations couldn’t be computed as the LG did not procure a contractor to undertake the works.</p>	0

Performance Reporting and Performance Improvement

4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate,</p> <p>score 2 or else score 0</p>	<p>There was evidence that information on the positions filled in LLGs as per minimum staffing standards was accurate. In the 3 LLGs sampled, data obtained indicated as follows;</p> <ol style="list-style-type: none"> 1. In Obalanga TC, the approved staff structure provided for SAA and as per the staff list, this position had been filled by Ebulu Ricky Augustus 2. In Obalanga SC, Ms. Awo Philomina was the CDO on the staff list as provided for in the approved staff structure. 3. In Okungur SC, Kamudan Joshua was the SACAO on the staff list, a position provided for in the approved staff structure 	2
4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> • If 100 % in place: Score 2, else score 0. <p>Note: if there are no reports produced to review: Score 0</p>	<p>The DLG implemented one project that was funded by DDEG during FY 2022/2023. The project: 8 kms on Adipala -Dobai Regraded and 1.5 kms on Acowa -Acinga Spot Improve under reference 048159 "District Community Access Road Maintenance". The project was budgeted at shs 36,928,146 as per page 100 of the Quarterly Budget Performance Report quarter four.</p> <p>The project was implemented through force account, completed and all funds as budgeted were spent accordingly. Total expenditure on the project amounted to shs 36,488,400 as per Annual Performance Report and AWP for FY 2022/2023.</p> <p>The project was reported in the quarterly budget performance reports as well as the district monitoring reports as detailed below:</p> <p>Monitoring Reports:</p> <p>Quarter One report was produced on 28th September, 2022; quarter two was produced on 16th January, 2023; quarter three was produced on 22nd May, 2023 and quarter four was produced on 31st May, 2023.</p> <p>Quarterly Budget Performance Reports: Quarter One on 23rd December, 2022;</p> <p>Quarter Two on 7th February, 2023;</p> <p>Quarter Three on 23RD May, 2023;</p> <p>Quarter Four on 2nd August, 2023.</p>	2

5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> <p>score 4 or else 0</p> <p>NB: The Source is the OPAMS Data Generated by OPM.</p>	<p>The LG did not conduct a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise. Two LLGs (Obalanga TC and Okunkur sc) had deviations greater than +/-10%, as indicated below.</p> <p>Okunkur Sub county scored 53% as per the LG, 68% as per the IVA and gave a variance of 15%.</p> <p>Obalanga Sub county scored 62% as per the LG, 69% as per the IVA and gave a variance of 7%.</p> <p>Acowa Sub county scored 73% as per the LG, 82% as per the IVA and gave a deviation of 9%.</p> <p>Obalanga TC scored 52% as per the LG, 69% as per the IVA and gave a deviation of 17%.</p>	0
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5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	<p>There was evidence that the district developed Performance Improvement Plans for at least 30% of the lowest performing LLGs for current FY, based on the previous assessment results. According to the LLGs Performance Assessment Results Summary 2021/22, the lowest performing LLGs were 4 which included;</p> <ol style="list-style-type: none"> 1. Acowa SC - 16 2. Obalanga SC - 23 3. Obalanga TC -25 4. Okungur Sc- 28 <p>Although the situation was like that, the LG went ahead and prepared the PIP for each of the above mentioned LLGs to address some of the issues that had caused poor performance such as lack of grievance redress committee, filling all monitoring reports etc. For stance one of the PIP was;</p> <ul style="list-style-type: none"> • To establish the GRC • To appraise all Head Teachers 	2
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5	N23_Reporting and Performance Improvement	c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:	There was evidence that the district implemented PIP for the 30% lowest performing LLGs in the previous FY which boasted performance of these LLGs in this current FY. For stance,	2
	Maximum 8 points on this Performance Measure	Score 2 or else score 0	<ul style="list-style-type: none"> • Staff appraisal was conducted for FY 2022/23 though still time frame wasn't complied with. Some were appraised after 30th June 2023 while others were completely not appraised at all. Nevertheless, there was evidence that performance for these LLGs improved as shown below; 	
			LLG 2021/22 2022/23	
			Acowa 16% 73%	
			Obalanga SC 23% 62%	
			Obalanga TC 25% 52%	
			Okungur Sc 28% 53%	

Human Resource Management and Development

6	Budgeting for and actual recruitment and deployment of staff	a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.	There was evidence that the LG consolidated and submitted the staffing requirements for FY 2024/25 to the MoPS by 30th September of the current FY. Evidence obtained from HRM revealed that the recruitment plan and requisition of wage funds dated 28/9/2023 worth 4,910,983,248/= was submitted to PS but date for acknowledgement of receipt of submission was 29/09/2023	2
	Maximum 2 points on this Performance Measure	Score 2 or else score 0		
7	Performance management	a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):	There was evidence that the LG conducted daily tracking of attendance and monthly analysis were being done. Forestine;	2
	Maximum 5 points on this Performance Measure	Score 2 or else score 0	On 13/10/2023, the SHRO submitted the attendance analysis report to CAO for Q1 2023/24. In that analysis, I observed a high rate of absenteeism among staff in District. For example, Ebuu David (PAS), Ebuu Lawrence (Planner), Imalingat Stella (ADHO) were all absent for the whole month and no reason was given in the remark's column.	

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p>	<p>There was evidence that the LG conducted appraisals for HODs but this was done later than June 30, 2023 as indicated below;</p> <ol style="list-style-type: none"> 1. Dr. Eudu James (DHO) was appraised on 28/7/2023 2. Okare Olaka Samson (DEO) was appraised on 4/10/2023 3. Egulo Paul (DNRO) was appraised on 14/8/2023 4. Epiu James Collins (DCO) was appraised on 24/7/2023 5. Apio Jesca (DCDO) was appraised on 28/7/2023 6. Ejiet John Williams (DPO) was appraised on 18/8/2023 7. Oule Charles (District Eng) was appraised on 3/8/2023 8. Ebuu Lowrence (District Planner) was appraised on 28/7/2023 9. Emeru Simon (CFO) was appraised on 17/7/2023 	0
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to "a" above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>The LG implemented administrative rewards and sanctions. The rewards and sanctions Committee was established and composed of 7 members as indicated below;</p> <ol style="list-style-type: none"> 1. Ebuu David (PAS) 2. Nawegulo Bridget (SHRO) 3. Eteru Moses (Ag. TC) 4. Ibrahim Mohamed (SPSWO) 5. Okare Samson (DEO) 6. Agono Betty (SACAO) 7. Eudu James (DHO) <p>The committee held a meeting on 27/9/2023. In the meeting Mr. Okaed Richard (EA) was being accused of abscondment of duty. However, while appearing before the committee, he informed members that his absence was due to ill health as he was suffering from HIV, Hepatis B and non-payment of salary. The committee recommended him for retirement on medical grounds.</p>	1

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>The Consultative Committee was established on 10/06/2022 but wasn't functional and no reason was given. It was composed of the following members;</p> <ol style="list-style-type: none"> 1. Aenu John Micheal (SAS) 2. Obua Emmanuel (E/N) 3. Edimu Robert (HT) 4. Ikidengit Dominic (HT) 5. Nawegulo Bridget (SHRO) 6. Eteru Moses (Labour Officer) 7. Olinga Stephen (Inspector) 8. Abeja Edith Akello (ANO) 	0
8	<p>Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:</p> <p>Score 1.</p>	<p>The LG did not recruit any staff in the FY 2022/23</p>	1

Pension Payroll management

Maximum 1 point on this Performance Measure or else score 0

a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:

Score 1.

The DLG retired 15 staff but of those who retired, only 73% accessed pension payroll within two months from the date of retirement as shown below;

1. Ekunyu George William (PCO) retired 25/7/2022 and accessed pension payroll in July 2022
2. Ebidau Emmanuel (HT) retired 22/12/2022 and accessed pension in January 2023
3. Ochodo John Micheal (HT) retired on 10/10/2022 and accessed pension in May 2023
4. Ibiara Janet Ruth (D.HT) retired on 16/12/2022 and accessed pension payroll in January 2023
5. Okure Micheal (N/A) retired on 23/7/2022 and accessed pension in December 2022
6. Ogwang Iginatius (N/A) retired on 9/10/2022 and accessed pension payroll in December 2022
7. Amodoi Vincent (D.HT) retired on 16/4/2023 and accessed pension payroll in May 2023
8. Okanyukure Justine (PIA) retired on 9/12/2022 and accessed pension payroll on may 2023
9. Apiro Egau Micheal (SATC) retired on 25/01/2023 and accessed pension in April 2023
10. Amuge Alice (SEA) retired on 1/1/2023 and accessed pension in February 2023
11. Isamat James Andrew (SEA) retired on 29/1/2023 and accessed pension in April 2023
12. Tikoroi Anne Rose (EA) retired on 18/3/2023 and accessed pension in April 2023
13. Echodu Onyang John (AEO) retired on 3/4/2023 and accessed pension in May 2023
14. Akiror Margaret (SEA) retired on 19/4/2023 and accessed pension in May 2023
15. Epiangu Ocan Mike (EA) retired on 10/6/2023 and accessed pension on July 2023

Management, Monitoring and Supervision of Services.

10

N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery

Maximum 6 points on this Performance Measure

a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY: Score 2 or else score 0

Direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in FY 2022/2023.

The total amount that was received during FY 2022/2023 amounted to shs 133,024,564 as per page 43 of the approved district budget for FY 2022/2023.

Examples of DDEG transfers to LLGs during FY 2022/2023:

(i) Shs 9,436,303 was transferred to Acowa sub county on payment voucher number 3480237 dated 30th January 2023. (ii) Shs 14,246,157 was transferred to Okungur sub county on payment voucher number 3508231 dated 30th January, 2023. (iii) Shs 4,432,735 was transferred to Obalanga sub county on payment voucher number 1817569 dated 2nd November, 2022. (iv) Shs 7,040,188 was transferred to Akoromit sub county on payment voucher number 1834489 dated 2nd November, 2022

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N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery

Maximum 6 points on this Performance Measure

b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget: Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).

Score: 2 or else score 0

The DLG did not receive funding from DDEG during quarter one in FY 2022/2023. In quarter two, the DLG received communication from PS/ST on cash limits on 17th October, 2022 under reference BPD/102/155/01. Warranting was done on 18th October, 2022. Invoicing was done on 21st October, 2022. Transfers to LLGs was done on 21st October, 2022. Communication to LLGs by the CAO was done on 18th October, 2022.

In quarter three, the DLG received communication from PS/ST on cash limits under reference MEP.50/268/01 on 29th December, 2022; Warranting was done on 6th January, 2023. Invoicing was done on 10th January, 2023. Transfers to LLGs was done on 10th January, 2023. Communication to LLGs by the CAO was done on 6th January, 2023.

In quarter four, the DLG received communication from PS/ST on cash limits under reference MEP.50/268/01 on 6th April, 2023; Warranting was done on 10th April, 2023. Invoicing was done on 13th April, 2023. Transfers to LLGs was done on 13th April, 2023. Communication to LLGs by the CAO was done on 7th April, 2023.

The DLG did timely (5 working days from the date of receipt of releases from MoFPED) warranting /verification of direct DDEG transfers to LLGs in accordance to their requirements of the budget

2

10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:</p> <p>Score 2 or else score 0</p>	<p>The LG invoiced shs 236,9879.000 and communicated all DDEG transfers for the previous FY to LLGs within 5 working days, as indicated below for the respective quarters. For quarter 2, the funds were received on October 12, 2022, and the communication was made on October 13, 2022. For quarter 3, the funds were received on January 8, 2023, and the communication was made on January 10, 2023 and for quarter 4, the funds were received on April 12, 2023, and the communication was made on April 13, 2023. No funds were received in Quarter 1. In Quarter 2, shs 42,8987,300 was received; in Quarter 3, shs 34,090,000 was received; and in Quarter 4, shs 67,090,000 was received.</p>	2
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>The District supervised and mentored all LLGs in the District at least once per quarter consistent with guidelines: The activity was done on quarterly basis, various issues were discussed and reports accordingly produced.</p> <p>In quarter one, mentoring and supervision report was produced on 7th October, 2022. Issues discussed covered financial management in LGs. In quarter two, the report was produced on 14th April, 2023 and issues discussed covered review of the district development plan. In quarter three, the report was produced on 10th March, 2023 and covered training local councils on functionality of local courts. In quarter four, the report was produced on 27th June, 2023 and covered management of grievances.</p>	2

11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>	<p>The results/reports of support supervision and monitoring visits were discussed in the DTPC, used by the District to make recommendations for corrective actions and followed-up:</p> <p>The DTPC meeting held on 30th September, 2022 discussed quarter one supervision and mentoring report under minute reference MIN 05/DTPC/2022-2023. The DTPC meeting held on 21st December, 2022 discussed quarter two mentoring and supervision report under minute reference MIN05/DTPC/2022.</p> <p>The DTPC meeting held on 28th April, 2023 discussed quarter three mentoring and supervision report under minute reference MIN05/DTPC/2023.</p> <p>The DTPC meeting held on 27th July, 2023 discussed quarter four mentoring and supervision report under minute reference MIN05/DTPC/2023.</p>	2
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Investment Management

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</p>	<p>The DLG maintained an up dated register to 31st October, 2023. All categories of assets like buildings, furniture, equipment, land, motor cycles were captured in the computerised assets register under IFMS. Examples: (i) Motor Cycle that was donated to the district by the Centenary Bank referenced KAP-DLG-MC-03 on page three of the Assets Register</p> <p>(ii) Roads and bridges valued at shs 3,581,681 referenced KAP/ER/009 page 67 of the Assets Register.</p> <p>(iii) Generator valued at shs 1,500,000 referenced OBAL-SC-01.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>The Board of Survey Report for the DLG for FY 2021/2022 was submitted to the Accountant General through CAO's letter dated 31st August, 2022. The CAO's letter was copied to PS MOLG, IAG and OAG. Recommendations that were raised in the Board of Survey Report for FY 2021/2022 included among others engraving of the district assets, up dating of the assets registers. All the recommendations had been cleared at the time of the assessment.</p>	1

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.

There was a functional Physical Planning Committee that was constituted and appointed by the CAO vide letter dated 9th September, 2019 with 10 members. The committee convened meetings on quarterly basis. Meetings were held as detailed below and approved minutes accordingly submitted to MLHUD as provided hereunder:

In quarter one, the Physical Planning Committee met on 22nd September, 2022 and minutes were submitted on 14th October, 2022; In quarter two, the Committee met on 19th December, 2022 and minutes were submitted on 5th May, 2023; In quarter three, the Committee met on 29th March, 2023 and minutes were submitted on 5th May, 2023; in quarter four, the Committee met on 29th June, 2023 and minutes were submitted on 20th July, 2023.

Members of the Physical Planning Committee:

1. The Chief Administrative Officer (CAO) and chair person;
2. Physical Planner;
3. Natural Resources Officer;
4. DE
5. DEO;
6. District Agriculture Officer;
7. District Water Officer;
8. DCDO;
9. Assistant DHO;
10. Senior Environment Officer. There was no evidence that the Physical Development Plan was in place.

The Building Plan Registration Book was in place and up dated to 4th July, 2023.

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d.For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>The DLG implemented one project that was funded by DDEG during FY 2022/2023. The project: 8 kms on Adipala -Dobai Regraded and 1.5 kms on Acowa -Acinga Spot Improve under reference 048159 "District Community Access Road Maintenance". The project was budgeted at shs 36,928,146 as per page 100 of the Quarterly Budget Performance Report quarter four. The project was captured on page 62, 68 and 158 of the DDP III, page 41 of the AWP. The Field Appraisal Report was dated 18th July, 2022 whereas Desk Appraisal Report was dated 17th March, 2023.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>The LG conducted the field appraisal for the DDEG funded road maintenance projects (spot improvement of Acowa-Acinga road and Re-grading works of Adipala-Olobal road) and checked for the technical feasibility, Environmental and social acceptability as indicated in the consolidated field appraisal report dated 25th/07/2022. Among the key issues captured in the report included; project not causing household displacement, the project not tampering with the vegetation among others.</p>	2

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>The DLG implemented one project funded by DDEG in the current FY 2023/2024. Project Name:</p> <p>Completion of two class room blocks at Apopong Primary School which has so far been completed. The project was budgeted for shs 41,540,000 as per page 10 of the project profiles referenced 078180 "Class room Construction and Rehabilitation" captured in the district approved budget for FY 2023/2024 on page 59, AWP page 71 and pages 101 and 103 of the DDPIII.</p> <p>The Field Appraisal Report was dated 18th July,2022 whereas the Desk Appraisal Report was dated 17th March, 2022.</p> <p>The project was discussed by the DTPC in a meeting held on 24th March, 2023 under minute reference MIN.08/DTPC/2023.</p>	1
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>LG had screened for environmental and social risks/impact and put mitigation measures were required before being approved for construction using checklists for FY 2023/2024 as evidenced by the following screening forms by the EO & DCDO;</p> <ul style="list-style-type: none"> • E&S Screening form for the Renovation of a classroom block at Amoni P/S on 12/07/2023 • E&S Screening form for drilling of a deep well at Obur East Village on 04/07/2023 • E&S Screening form for drilling of borehole at Atarukot Village on 04/07/2023 • E&S Screening form drilling of a borehole at Agonga Village on 05/07/2023 • E&S Screening form for drilling of a borehole at Agonga Rural Growth Centre Village on 10/02/2023 	2
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>Kapelebyong DLG incorporated all FY 2023/2024 DDEG funded infrastructure projects in the LG approved procurement plan approved on 29th/05/ 2023 as indicated on page 1 of the procurement plan. Such projects included; Completion of a two classroom block at Amoni p/s at a planned cost of Ugx 38,946,400 and construction of a two classroom block at Apopong p/s at a planned cost of 41,540,000.</p>	1

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0	The contracts committee of Kapelebyong DLG approved the DDEG infrastructure project (Completion of a two classroom block at Amoni p/s and construction of a two classroom block at Apopong p/s) for the FY 2023/2024 under minute CC/sep/2023-2024/Appr/18(a) of the minutes of the contracts committee dated 12th/09/2023.	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines: Score 1 or else 0	Kapelebyong DLG did not establish the Project Implementation team during the implementation of DDEG infrastructure projects	0
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer: Score 1 or else score 0	Kapelebyong DLG works department followed the Ministry of works and Transport road design manual 2004 during the design and implementation of the road works for the FY 2022/2023. During the re-grading of Adipala-Olobal road and the spot improvement of Acowa-Acinga road maintenance projects, camber slopes of 8% and gravel thickness of 100mm was ensured as per the designs, 600mm diameter culverts were installed at different road sections and there were no major defects that were identified on both the road projects at the time of field assessment.	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0	There were no road supervision reports to show that the LG relevant technical officers provided supervision of the road infrastructure projects.	0
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement): Score 1 or else score 0	The DDEG project was implemented on Force Account (FAC) and therefore there was no issuance of completion and payment certificates	0

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law: Score 1 or else 0	The DDEG project was implemented on Force Account (FAC) and therefore there was no complete procurement file.	0
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Environment and Social Safeguards

14	Grievance redress mechanism operational. Maximum 5 points on this performance measure	a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant. Score: 2 or else score 0	LG had designated a person to coordinate response to feed-back (grievance / complaints) as evidenced by the Assignment of duty letter of Ms Apio Jesca the Ag DCDO by the CAO on 02/05/2022 quote: CR/11/2/6 The District had also established a Central Grievance Redress Committee as evidenced by the Appointment letters of the 6 committee members by the CAO on 02/05/2022 except the Chairperson who was appointed on 04/04/2023 as follows; <ul style="list-style-type: none"> • Mr Oule Charles (Ag DE) - Committee Member • Ms Apio Jesca (Ag DCDO) - Committee Secretary • Mr Eteru Moses (Labour Officer) - Committee Member • Mr Olinga Stephen (Inspector of Schools) - Committee Member • Mr Ojilong Paul Omaido (Health Inspector) - Committee Member • Ms Ebulu David (PAS) - Committee Chairperson 	2
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14	Grievance redress mechanism operational. Maximum 5 points on this performance measure	b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices. If so: Score 2 or else 0	LG had a specified system for Recording, investigating and responding to grievances as evidenced by the GRC Log Book dated "2022/2023"	2
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14	Grievance redress mechanism operational.	c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.	LG had a list of Grievance handling mechanism and reporting publicized on the district notice board by the Grievance Focal Person dated 02/05/2023	1
	Maximum 5 points on this performance measure	If so: Score 1 or else 0		
15	Safeguards for service delivery of investments effectively handled.	a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0	LG did not have hard copies for reference and the soft copies which they had could not be accessed	0
	Maximum 11 points on this performance measure			
15	Safeguards for service delivery of investments effectively handled.	b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management	LG had disseminated the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation to LLGs as evidenced by Item 5(iii) (DDEG Guidelines and other sector grant guidelines), page 2 and Minute 05/DTPC/2023(iii), page 4 of the minutes for the District Technical Planning Committee held on 22/09/2023.	1
	Maximum 11 points on this performance measure	score 1 or else 0		
15	Safeguards for service delivery of investments effectively handled.	(For investments financed from the DDEG other than health, education, water, and irrigation):	LG had not incorporated costed Environment and Social Management Plan (ESMP) into the design. BoQs, bidding and contractual documents for the DDEG infrastructure project for FY 2022/2023, other than health, education, water, and irrigation	0
	Maximum 11 points on this performance measure	c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:		
		score 3 or else score 0		

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	d. Examples of projects with costing of the additional impact from climate change. Score 3 or else score 0	LG had an example of project with costing of the additional impact from climate change as evidenced by Item C under preliminaries and sub structures (Environmental mitigation measures/crosscutting issues, planting trees and gender) at 1,000,000/= on unspecified page in the BoQs of the bid document for the construction of staff house at Kapelabyong HC IV with Procurement Reference No. KAPE/WRKS/2022-223/00011	3
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 1 or else score 0	N/A because the DDEG projects that were implemented in FY 2022/2023 were road projects (spot improvement (swamp raising) of Acinga Road and mechanised maintenance of Olobai road) which were already existing district roads	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 1 or else score 0	LG EO and DCDO did not conduct support supervision and monitoring as required.	0
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0	The DDEG projects for FY 2022/2023 (spot improvement (swamp raising) of Acinga Road and mechanised maintenance of Olobai road) were implemented under Force Account and thus there was no evidence that E&S compliance Certification forms were completed and signed by Environmental Officer and CDO.	0

Financial management

16	<p>LG makes monthly Bank reconciliations</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment:</p> <p>Score 2 or else score 0</p>	<p>The DLG operated three bank accounts namely the General Fund Account</p> <p>Number 01553616178093 with DFCU, Soroti branch which had a reconciled bank balance of shs 26,254,247 as on 31st October, 2023. YLP account number 1553616799823 with DFCU, Soroti branch which had a reconciled bank balance of shs 3,810,275 as on 31st October, 2023.</p> <p>UWEP account number 01553616799966 with DFCU, Soroti branch which had a reconciled bank balance of shs 30,651,550 as on 31st October, 2023.</p>	2
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>The DLG produced the four quarterly internal audit reports for FY 2022/2023 as required. Quarter One report was produced on 9th February, 2023 under reference CR/KAP/252/1 and copied to PS MOLG, IAG, OAG, RDC, Chairperson of the LGPAC. The report was acknowledged by the OAG and MOLG on 13th February, 2023.</p> <p>The report had 03 queries.</p> <p>Quarter Two report was produced on 9th May, 2023 and copied to PS MOLG, IAG, OAG, RDC, Chairperson of the LGPAC. The report had 06 queries. The reports were acknowledged by MOLG on 7th July, 2023; RDC on 19th July, 2023 and IAG on 7th July, 2023.</p> <p>Quarter Three report was produced on 5th September, 2023, copied to PS MOLG, IAG, OAG, RDC, Chairperson of the LGPAC. The report had 03 queries.</p> <p>Quarter Four report was produced on 29th August, 2023 and copied to PS MOLG, IAG, OAG, RDC, Chairperson of the LGPAC. The report had 05 queries.</p> <p>The Internal Auditor addressed all the reports to the speaker oof the DLG.</p>	2

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>The DLG provided information to the Council! Chairperson and the LG PAC on the status of implementation of internal audit findings for FY 2022/2023; information on follow-up on audit queries from all quarterly audit reports as per evidence provided below:</p> <p>CAO's letter under reference CR/213/27 dated 18th September, 2023 addressed to the DLG speaker in respect of the recommendations and action required contained in the quarterly internal audit reports that was copied to chairperson LG PAC, RDC. The audit reports covered all queries raised by the Internal Auditor in respect of FY 2022/2023.</p> <p>CAO's letter dated 7th February, 2023 referenced; CR/213/27 on quarter one audit findings; CAO's letter dated 11th September, 2023 for quarter three findings; CAO's letter dated 1st September, 2023 for quarter four audit finding. All the CAO's letters were under reference CR/213/27.</p>	1
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17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>Internal audit reports for the previous FY 2022/2023 were submitted to LG Accounting Officer, LG PAC and that LGPAC reviewed them and followed-up. The LG PAC was established in the district and functional. The committee met on quarterly basis and generated reports on internal audit reports in a financial year for consideration by Council.</p> <p>For example; the LG PAC held meetings on the indicated dates: on 22nd to 24th February, 2023; 26th July, 2022 and 13th to 14th September, 2023. One report dated 2nd October, 2023 was produced covering all queries raised by the Internal Auditor in quarters one, two, three and four during FY 2022/2023. At the time of the assessment, Council was yet to convene a meeting to review and take action in respect of the LG PAC report.</p>	1
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Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>The DLG planned for collection of local revenue amounting to shs 338,682,662 as provided on page 34 of the district draft accounts for FY 2022/2023. The DLG realised shs 273,041,504 on closure of FY 2022/2023 as indicated on page 34 of the draft final accounts of the district. This was equivalent to 80% performance therefore above 10%</p>	0
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19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>The DLG realised shs 273,041,504 on closure of FY 2022/2023 as indicated as per the draft final accounts of the district for FY 2022/2023 page 34. In FY 2021/2022, the district realised shs 217,798,966 as per audited accounts of the district for FY 2021/2022 page 28. Performance was above 21% and therefore above the prescribed limit.</p>	2
20	<p>Local revenue administration, allocation, and transparency</p> <p>Maximum 2 points on this performance measure.</p>	<p>a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0</p>	<p>The local revenue amount transferrable to LLGs as 65% share in FY 2022/2023 was shs 175,905,872 as per page 9 of the draft final accounts for FY 2022/2023. Examples of local revenue that was transferred to LLGs:</p> <p>(i) Shs 910,000 was transferred to Acowa sub county as per payment voucher number 2058543 dated 11th November, 2022 as the 65% share in quarter two</p> <p>(ii) Shs 8,878,220 was transferred to Akoromit sub county as per payment voucher number 4266772 dated 16th March, 2023 as the 65% share in quarter three.</p> <p>(iii) Alito sub county received shs 338,000 as per payment voucher number 4266941 dated 16th March, 2023 as the 65% share in quarter three.</p> <p>(iv) Okungur sub county received shs 395,525 as per payment voucher number 5310785 dated 17th May, 2023 as the 65% share in quarter four.</p>	2

Transparency and Accountability

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0</p>	<p>The LG publicized all the procurement plans and awarded contracts with all amounts for FY 2022-2023 on April 14, 2023, as per notice of best-evaluated bidders dated April 14, 2023.</p>	2
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21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>The LG performance results for FY 2021/2022 were publicised as required on various notice boards at the district and lower local governments levels as per CAO's circular letter dated 18th October, 2022 under reference KDLG/22/41. The district established a website kapelebyong.go.ug/public/national assessment</p>	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>Radio talk shows were held guided by the RDC, Chairperson of the district and CAO. Reports were produced as evidenced below: Report dated 14th May, 2023; 12th October, 2023. Radio Talk Shows were conducted by TBS FM that covered Teso Region. Issues discussed included among others the management of the Parish Development Model funds. The district communication Officer and the District Commercial Officer guided the talk shows.</p>	1
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>The district CAO together with the district CFO publicized available information on tax rates, collection procedures and appeals as guided by the district officials in charge on revenue collection in the district. Circular letters were issued by both the CAO and CFO as for example the CFO's circular letter to all LLGs in respect of tax collection procedures dated 5th July, 2021. The CFO's circular dated 5th July, 2021 and another circular letter to LLGs dated 31st May, 2022 to all LLGs provided guidelines and publicity required on management of local revenue in the district. Revenue Enhancement Plan was discussed by the district Council under minute number 2022-2023 to 2026-2027 and all this information was circulated in all the LLGs as well as the district headquarters and pinned on notice boards..</p>	1
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>There were no IGG issues in the DLG. Perusal of minutes for meetings held by Council on dates indicated below indicated that there were no IGG issues reported.</p> <p>Meetings held on 29th November, 2022; 22nd December, 2022; 13th April, 2023 and 29th May, 2023.</p>	1

**Educational
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>The PLE pass rates increased by 0.05% between 2022 and 2020 school years.</p> <p>2020</p> <p>In 2020 the learners who passed in Div 1, Div 2 and Div 3 were 1135 out of 1752</p> <p>$1135/1752 * 100 = 0.64\%$</p> <p>2022</p> <p>1285 passed in Div 1,2, and 3 out of 1860 pupils, which represented 0.69% pass rate</p> <p>The percentage increase in pass rate was $0.69\% - 0.64\% = 0.05\%$</p>	0
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>The USE pass rates decreased by -12.9% between 2022 and 2020 school years.</p> <p>2020</p> <p>In 2020 the students who passed in Div 1, Div 2 and Div 3 were 271 out of 414</p> <p>$271/414 * 100 = 65.4\%$</p> <p>2022</p> <p>392 passed in Div 1,2, and 3 out of 746 students, which represented 52.5% pass rate</p> <p>The percentage increase in pass rate was $52.5\% - 65.4\% = -12.9\%$</p>	0

2	N23_Service Delivery Performance: Increase in the average score in the education LLG performance assessment.	a) Average score in the education LLG performance has improved between the previous year but one and the previous year	not applicable	0
	Maximum 2 points	<ul style="list-style-type: none"> • By more than 5%, score 2 • Between 1 and 5%, score 1 • No Improvement, score 0 <p>NB: If the previous average score was 95% and above, Score 2 for any increase.</p>		
3	Investment Performance: The LG has managed education projects as per guidelines	a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0	<p>the education development grant had been used on eligible activities for the F/Y 2022/23 that included;</p> <ul style="list-style-type: none"> (i) Construction of 2 classroom blocks at Ariabet P/S at Ugx 70,923,020 (ii) Akoromit Seed School at Ugx 491,265,182 (iii) Furniture for the DEOs office at Ugx 1,500,000 	2
	Maximum 8 points on this performance measure			
3	Investment Performance: The LG has managed education projects as per guidelines	b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0	<p>The DLG implemented two projects during FY 2022/2023. (i) Payment to CAB (U) Ltd for shs 180,329,786 in respect of construction of facilities at Akoromit Seed Secondary School in Akoromit sub county referenced KAPE/UGIFT/WRKS/2021-22/00001 as per payment voucher number 5876794 dated 15th June, 2023.</p> <p>The DEO initiated payment on 10th May, 2023 and on 16th,May, 2023 the DE, CDO, Senior Environment Officer, CFO and CAO signed the documents to certify payment.</p> <p>(ii) Payment to Ailwar General for shs 73,889,499 in respect of construction of</p> <p>Akoromit Seed Secondary School Phase III – LOT – KAPE/627/WRKS/2022-2023/0033 LOT Z on payment voucher number 5871998 dated 15th June, 2023. Payment was initiated by the DEO on 31st March, 2023. The DE, DCDO, Senior Environment Officer, CFO and CAO signed the documents to certify payment on the same date.</p>	2
	Maximum 8 points on this performance measure			

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

c) If the variations in the contract price are within +/-20% of the MoWT estimates score 2 or else score 0

The percentage contract variation for all the sampled education contracts were within +/- 20% as indicated below;

Phase III construction of Akoromit seed secondary school Lot 1 (Construction of ICT library block) under Procurement number. KAPE627/WRKS/2022-2023/00033)

Contract price = 363,884,006

Estimated cost = 400,000,000

Percentage change = -9.02%

Phase III construction of Akoromit seed secondary school Lot 2 (Construction of one twin staff house, Kitchen and one -two stance pit latrine) under Procurement reference number. KAPE627/WRKS/2022-2023/00033)

Contract price = 199,722,000

Estimated cost = 200,000,000

Percentage change = -0.139%

Construction of a two classroom block with offices and store at Airabet p/s under Procurement reference number. KAPE627/WRKS/2022-2023/00002)

Contract price = 79,551,228

Estimated cost = 80,000,000

Percentage change = -0.560%

Rehabilitation of classroom block at Amaseniko and Adepar p/s under Procurement reference number. KAPE627/WRKS/2022-2023/00003)

Contract price = 55,556,760

Estimated cost = 60,847,525

Percentage change = -9.52%

The percentage contract variation was computed from

$(\text{contract cost} - \text{Estimated cost}) / (\text{Estimated cost}) * 100$

3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY</p> <ul style="list-style-type: none"> • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0 	<p>The education project (Akoromit seed secondary school construction phase III) was not fully completed by the end of the FY 2022/2023 as this was a multiyear project planned to be completed in FY 2023/2024. However, the unpagged budget performance report dated June 23, 2023, indicated a percentage completion of 96% by the end of FY 2022/2023.</p> <p>Additionally, the field site visit indicated that Akoromit seed secondary school construction phase III was at plaster level at the time of assessment, indicating a percentage completion of between 80-99%</p>	1
4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 - 79% score: 1 • Below 70% score 0 	<p>The LG did not meet the prescribed school staffing standards for Primary Teachers. The approved number of required teachers was 556 but the LG managed to recruit only 377 teachers which translated to 67%.</p>	0
4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> • If above 70% and above score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 	<p>14 out of the 40 schools from the assets register met the minimum standards set out by DES.</p> <p>$14/40 * 100 = 35\%$</p> <p>All the 40 UPE schools had 248 classrooms representing an average of 6.2 classrooms per school which is below the recommended 7 classes per school.</p> <p>The 40 schools, had 132 teacher accommodations representing an average of 3.3 which is below the minimum requirement of at least four permanent houses per school as required by DES.</p>	0

Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>There was accurate deployment of teachers as indicated on the teacher's deployment list and the school staff lists were displayed in all the 3 sampled school notice boards i.e., Oditel P/S had 10 teachers, deployed and 10 teachers on its school staff list led by Mr. Eriongu James as the Head teacher.</p> <p>With 6 teachers being male and 3 being female.</p> <p>Odiding P/S had 9 teachers, deployed, out of whom 3 are female and 6 were male led by Mr Alex Okolimo.</p> <p>Kapelebyong P/S had 10 teachers deployed, out of whom 8 are male and 2 females, led by Mr. Okello Francis. All the sampled schools had attendance registers and in use.</p>	2
5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>The infrastructure recorded in Kapebyong district local government primary school asset registers were all found present in all the visited sampled schools.</p> <p>Oditel P/S had 8 classrooms, 7 teachers houses, 135 desks and 10 latrine stances which were all found on ground.</p> <p>Odiding P/S had 6 classrooms, 6 staff houses, 10 stances of pit latrines, and 53 desks.</p> <p>Kapelebyong P/S had 185 desks, 2 classrooms, 15 latrine stances, 8 teachers' houses.</p>	2

School compliance and performance improvement:

Maximum 12 points on this performance measure

a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:

- If 100% school submission to LG, score: 4
- Between 80 - 99% score: 2
- Below 80% score 0

100% of schools submitted their annual school reports as evidenced from the required 3 sampled schools.

Oditel P/S submits its reports termly as well as annually. The report included a total school enrolment of 800 learners, 10 staff, school opening and closure dates, general status of the school and PLE performance.

Oiding P/S submits its report as well. The report included a total school enrolment of 792 learners with a staffing of 9 teachers and PLE results.

Kapelebyong P/S submitted its report. The report included a total school enrolment of learners, with staffing of 10 teachers and PLE results and the general status of the school.

Percentage submission;

$$3/3*100= 100\%$$

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> • If 50% score: 4 • Between 30- 49% score: 2 • Below 30% score 0 	<p>School Improvement plans were found in all the 3 sampled schools representing 100% i.e.</p> <p>Oditel P/S', Odiding P/S and Kapelebyong P/S.</p> <p>The school improvement plan of Oditel P/S prioritized recruitment of PTA teachers and an Askari,</p> <p>-Construction of teachers houses;</p> <p>-Monitoring and support supervision, planting of trees among others.</p> <p>Odiding P/S prioritized;</p> <ul style="list-style-type: none"> - Renovation of teachers houses - Provision of midday meals - Remedial lessons among others <p>Kapelebyong P/S prioritized,</p> <ul style="list-style-type: none"> - Provision of midday meals - Remedial teaching - Recruiting of PTA teachers and class level meetings among others. <p>Percentage</p> <p>$3/3*100=100\%$</p>	4
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 - 99% score 2 • Below 90% score 0 	<p>The list of schools on PBS and OTIMS corresponded well with each having 40 UPE schools and 7 USE schools</p> <p>$47/47*100=100\%$</p>	4

Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>The district budgeted Ugx 3,281,776,000 for 40 head teachers and 377 teachers in 40 primary schools of Kapelebyong DLG as per the approved budget FY 2022/23</p>	4
7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p> <p>Score 3 else score: 0</p>	<p>Teachers had been deployed as required by the sector guidelines with a head teacher and a minimum of 7 teachers per class for schools with classes reaching P.7</p> <p>Odiding P/S had a head teacher Mr Alex Okolimo a total of 9 teachers.</p> <p>Oditel P/S had a head teacher Mr. Eriongu James with a total of 10 teachers.</p> <p>Kapelebyong P/S had a head teacher Mr. Okello Francis with a total of 10 teachers.</p>	3
7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,</p> <p>score: 1 else, score: 0</p>	<p>All the primary schools sampled namely; Oditel, Odiding and Kapelebyong Primary schools had staff lists displayed on their office notice boards dated January ,2023.</p>	1

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copy to DEO/MEO

Score: 2 or else, score: 0

Of the ten sampled files, three primary school head teachers, including Elwenyu John (Iyalakwe), Edum Faustine (Amoni) and Akol Sam (Olekat) were appraised beyond December 31, 2022. The LG had 40 Primary School Head Teachers and all were appraised. Ten (10) files were sampled for review and below were the findings;

1. Akol Sam (Olekat) was appraised on 9/3/2023 by Chairperson School Management Committee
2. Edum Faustine (Amoni) was appraised on 12/1/2023
3. Elwenyu John (Iyalakwe) was appraised on 27/1/2023
4. Malinga Samuel (Adodor) was appraised on 16/12/2022
5. Ebete Joel Joseph (Matailong) was appraised on 16/12/2022
6. Okiror Eugene (Airabet) was appraised on 10/1/2023
7. Okello Francis (Kapelebyong) was appraised on 20/12/2022
8. Ekadu John Peter (Aeket) was appraised on 3/1/2023
9. Eragu Joseph (Okoboi) was appraised on 10/1/2023
10. Igua Simon Peter (Angerepo) was appraised on 15/12/2022

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM</p>	<p>The LG had 7 Secondary School Head Teachers and majority were not appraised.</p>	0
	<p>Maximum 8 points on this performance measure</p>	<p>Score: 2 or else, score: 0</p>	<ol style="list-style-type: none"> 1. Okiasi John Robert (St. Francis Acumet SS) was appraised by PAS but no date 2. Ajuk Vincent (John Eluru Memorial) was not appraised but report was on file 3. Ojangole George Francis (Obalanga Seed) was appraised on 31/1/2023 4. Amongin Grace (St. Peter SS) was appraised on 31/1/2023 but the appraisee didn't sign implying there was no discussion between the two 5. Edimu Robert (Obalanga Comprehensive) was appraised on 13/1/2023 6. Osang Aggrey Othieno (Labira Girls) was not appraised 7. Lubaale Moses (Akromit Seed) was appraised on 24/1/2022 	
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p>	<p>There was evidence that all Education Department staff were appraised against their performance plans. However, one staff member (Olinga Stephen) was appraised late after 30th/06/2023, as indicated below</p>	0
	<p>Maximum 8 points on this performance measure</p>	<p>score: 2. Else, score: 0</p>	<ol style="list-style-type: none"> 1. Olinga Stephen (Inspector of Schools) was appraised on 7/7/2023 2. Okare Samson (Ag.DEO) was appraised on 4/10/2023 	
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p>	<p>There was a training plan for the FY 2022/23 for the training department. Some of the key trainings involved;</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>score: 2 Else, score: 0</p>	<ul style="list-style-type: none"> - Refresher training for head teachers on how to develop and implement school improvement plans - Refresher training on how to deal with special needs children 	

Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p>	<p>Letter from CAO dated 1st November 2022 reference CR/213/29 was in place directed to PS Ministry of Education and Sports.</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score:2 or else, score: 0</p>		
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p>	<p>The district had an inspection roadmap and the sampled schools had evidence of inspection reports. Inspection reports (18/07/23-14/08/23);03/10/23.</p> <p>Inspection and monitoring were budgeted Ugx 25,928,000. Acknowledgement notes received by Mr. Okiroro Henry Andrew (S.I.S.) on 09/04/23</p> <p>Areas of concern included;</p> <ul style="list-style-type: none"> - Evaluate head teacher's performance on teacher's management, community and learners' achievement - To guide schools with identified gaps for effective and efficient implementation of SOPs - Induction of head teachers - Refresher training for P.7subject teachers on Test Item writing - P.L.E performance review among others. 	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score:2 else, score: 0</p>		

Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters

If 100% compliance, score: 2 else score: 0

The PS/ST communicated cash limits for the Education Sector in quarter one on 11th July, 2022 under reference BPD/102/155/01. Warranting was done on 15th July, 2022, Invoicing was done on 19th July, 2022; Transfers to schools was done on 12st July, 2022 and communication to LLGs was done on 12st July2022.

In quarter two, the communication from PS/ST was done on 17th October, 2022 under reference BPD/102/155/01, Warranting on 18th October, 2022; Invoicing on 21st October, 2022. Transfers to schools was done on 21st October, 2022 and communication to LLGs was done on 18th October, 2022.

The PS/ST communicated cash limits for the Education Sector in quarter three on 29th December, 2022 referenced MEP.50/268/01. Warranting was done on 6th , January, 2023, Invoicing was done on 10th January, 2023; Transfers to schools was done on 10th January, 2023 and communication to LLGs was done on 6th January, 2023.

The PS/ST communicated cash limits under reference MEP.50/268/01 for the Education Sector in quarter four on 6th April, 2023. Warranting was done on 10th , April, 2023, Invoicing was done on 13th April, 2023; Transfers to schools was done on 13th April, 2023 and communication to LLGs was done on 7th April, 2023.

There were no delays experienced by the DLG.

Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.

If 100% compliance, score: 2 else, score: 0

The DLG invoiced and the DEO s communicated and publicized capitation releases to schools within three working days of release from MoFPED as required. For example: Quarter two FY 2022/2023 communication to LLGs was verified in the following schools: Airabet Primary School in Okungur sub county per CAO's circular letter dated 15th November, 2022 under reference CR 252/16/108 for shs 17,989,000 to various schools verified at Amaseniko Primary School. CAO's communication dated 17th April, 2023 under reference CR 252/09/33 for shs 22,987,000 as capitation grant to schools. The same circular letter was verified at Akoromit Seed School in Akoromit sub county. There was evidence that the DLG invoiced and the DEO communicated and publicized capitation releases to schools within three working days of release from MoFPED as witnessed by sampled schools by the Education expert.

10	Routine oversight and monitoring <i>Maximum 10 points on this performance measure</i>	a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections. • If 100% compliance, score: 2, else score: 0	The inspection workplan for 2022/23 was in place covering all 40-government aided primary schools within the DLG for 3 terms and Inspection reports were discussed and findings followed up by each schools' SMC and PTA committees.	2
10	Routine oversight and monitoring <i>Maximum 10 points on this performance measure</i>	b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report: • If 100% score: 2 • Between 80 - 99% score 1 • Below 80%: score 0	The LG had an inspection plan for Term 3 2022, Term 1 2023, and Term 2 of 2023. Each covering 40 primary schools with a budget Ugx 25,928,000 Meetings to plan for school inspections were done by the District Education Inspectorate team and each officer was allocated schools to inspect per term. All the 40 schools had been inspected in the 3 school terms accounting to 100%.	2
10	Routine oversight and monitoring <i>Maximum 10 points on this performance measure</i>	c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up, Score: 2 or else, score: 0	There was evidence of dissemination of findings arising from the inspection reports as evidenced from the file with pictorials of the structures that need improvement and recommendations. This was also evidenced from the files at the sampled schools i.e. Kapelebyong, Oditel and Odiding primary schools.	2
10	Routine oversight and monitoring <i>Maximum 10 points on this performance measure</i>	d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0	Inspection findings had been presented to all the 3 sampled primary schools i.e. Kapelebyong P/S, Odiding P/S, and Oditel P/S . The inspection and monitoring reports had been forwarded to DES as evidenced from DES acknowledgement sheets dated 09/04/2023, 19/07/2023, 03/10/2023	2

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>The Council Committee responsible for education was in place that was also referred to as the Health, Education and Community Based Services Committee which convened meetings every quarter. Example of meetings held: Meeting held on 12th May, 2023 discussed planning and budgeting for the Education Sector.</p> <p>Meeting held on 15th April, 2023 discussed budget for FY 2023/2024.</p> <p>Meeting held on 25th November, 2022 discussed issues on monitoring projects in the sector..</p>	2
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11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,</p> <p><i>score: 2 or else score: 0</i></p>	<p>There was a report on mobilizing parents in order to attract learners, the DEO and DIS</p> <p>Conducted Radio talk shows on Veritus Radio 91.5FM, ETOP Radio 99.4FM, and Voice of Teso 88.4 FM.</p>	2
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Investment Management

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i></p>	<p>There was an asset register for 2022/23 listing all assets of the 40 primary schools of Kapelebyong DLG.</p> <p>There was a total 248 classrooms, 352 stance latrines, 4475 desks and 132 teachers' houses.</p>	2
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12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, score: 1 or else, score: 0</p>	<p>The Education Department implemented four projects during FY 2022/2023 out of which a sample of two projects was selected. (i)</p> <p>Construction of two class room block at Airabet Primary School in Okungur sub county which was budgeted at shs 76,000,000 as per page 53 of the approved budget, AWP and pages 76 and 103 of the DDP III.</p> <p>The desk appraisal report was dated 13th July, 2022 and the Field Appraisal report was dated 17th March, 2022.</p> <p>(ii) Renovation of Amaseniko Primary School which was budgeted for shs 25,847,525 as per district approved budget and the project was captured in the DDP III.</p> <p>The project was captured on page 30 of the quarterly budget performance report; page 64 of the AWP and page 104 of the DDP III.</p> <p>The desk appraisal report was dated 13th March, 2022 and the Field Appraisal report was dated 13th March, 2022.</p> <p>(iii) Works at Kachiro Primary School was budgeted for shs 68,798,266 as per district approved budget and the project was captured in the DDP III page 76.</p> <p>The Desk Appraisal Report and the Field Appraisal Report were dated 13th July, 2022.</p>	1
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0</p>	<p>The LG conducted the field appraisal for the education infrastructure projects (Akoromit seed school construction, construction of two classroom block with office and store at Airabet P/S and rehabilitation of classroom at Amaseniko and depar p/s) and checked for the technical feasibility, Environmental and social acceptability as indicated in the consolidated field appraisal report dated 25th/07/2022. Among the key issues captured in the report included; project not causing household displacement, the project not tampering with the vegetation, project creating employment opportunities, the design being suitable for the site conditions and making use of the available local materials among other issues.</p>	1

13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i>	The education sector's planned infrastructure projects were included in the LG procurement plan for FY 2023/2024, which was approved on May 29, 2023, and the projects are listed on page 1 of the procurement plan. These projects are: construction of a two-classroom block at Apopong p/s at a cost of shs 41,540,000. <ol style="list-style-type: none"> 1. Renovation of school buildings in the ten selected primary schools at a cost of 129,245,000 2. Completion of a two-classroom block at Amoni primary school at a cost of 38,946,460 and construction of Alito seed secondary school at a cost of 760,000,000 	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i>	All the school infrastructure projects that was to be implemented in the FY 2023/2024 were approved by the contracts committee as indicated in the minutes of the contract committee dated 12th/9/2023 under minute CC/Sep/2023-2024/Appr/18(a).	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i>	The LG did not establish the project implementation team as per the PPDA guidelines for all the education sector projects.	0
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES <i>Score: 1, else, score: 0</i>	The field technical inspection of the Akoromit seed secondary school construction site in Akoromit sub county indicated that the structures were implemented following the specifications provided in both the approved architectural and structural designs. The window and door types and numbers installed were in line with the specifications for all the structures, there were no observed structural and surface cracks on the walling, floors, splash apron a physical scratch check on the plaster indicated a good class mortar used, the roof covering type used (Gauge 26) was that in the specifications and the block work was done well and all the buildings component dimensions requirements were fulfilled	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i></p>	<p>There were no monthly minutes of site meetings and reports of site meetings that were availed for review to show that monthly site meetings were conducted during the construction of Akoromit seed secondary school construction phase III.</p>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted <i>score: 1, else score: 0</i></p>	<p>There was no evidence that during the critical stages of construction of the planned education infrastructure projects, joint technical supervisions involving the District Engineer, Environment officers and DCDOs were conducted</p>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>The Education Sector infrastructure projects were properly executed and payments to contractors made within specified time frames within the contract as per examples provided below in respect of the two projects.</p> <p>The DLG implemented two projects during FY 2022/2023. (i) Payment to CAB (U) Ltd for shs 180,329,786 in respect of construction of facilities at Akoromit Seed Secondary School in Akoromit sub county referenced KAPE/UGIFT/WRKS/2021-22/00001 as per payment voucher number 5876794 dated 15th June, 2023.</p> <p>The DEO initiated payment on 10th May, 2023 and on 16th, May, 2023 the DE, CDO, Senior Environment Officer, CFO and CAO signed the documents to certify payment.</p> <p>(ii) Payment to Ailwar General for shs 73,889,499 in respect of construction of Akoromit Seed Secondary School Phase III – LOT – KAPE/627/WRKS/2022-2023/0033 LOT Z on payment voucher number 5871998 dated 15th June, 2023. Payment was initiated by the DEO on 31st March, 2023. The DE, DCDO, Senior Environment Officer, CFO and CAO signed the documents to certify payment on the same date.</p>	1

13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i>	The Education department submitted the procurement plan to procurement unit on 28th/07/2022 before the deadline date of 30th/April/2023 as per the PPDA requirement	1
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13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law <i>score 1 or else score 0</i>	<p>The LG had complete procurement files for the two Akoroti seed secondary school contract Lots that was awarded in the FY 2022/2023 and the procurement files had the following documents as per the PPDA requirements for the respective contracts;</p> <ul style="list-style-type: none"> • Construction of facilities at Akoroti seed school contract Lot 1 (Procurement reference number. KAPE627/WRKS/2022-2023/00033) • Evaluation report dated 16/03/2023 with Delovo Company as the best evaluated bidder at a cost of 363,884,006 • Minutes of contracts committee dated 17/03/2023 for the evaluation approval under minute number CC/Mar/2022-2023/Appr/48(a) and • The works contract signed on 17th/04/2023. <p>1. Construction of facilities at Akoroti seed school contract Lot 2 (Procurement reference number. KAPE627/WRKS/2022-2023/00033)</p> <ul style="list-style-type: none"> • Evaluation report dated 16th/03/2023 with Design general works Ltd as the best evaluated bidder at a cost of 199,722,000. • Minutes of contracts committee dated 17/03/2023 for the evaluation report approval under minute number CC/Mar/2022-2023/Appr/48(b) and • The works contract signed on 17th/04/2023 	1
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Environment and Social Safeguards

14	Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework. <i>Maximum 3 points on this performance measure</i>	Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, <i>score: 3, else score: 0</i>	LG had no grievances recorded under education sector for FY 2022/2023 by the time of assessment, however the Central Grievance Log was available coded "2022/2023"	3
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15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>The Environmental guidelines had been issued to all the 3 sampled primary schools namely; Oditel, Odiding P/S and Kapelebyong Primary schools in Kapelebyong DLG on February, 2022.</p> <p>They included, proper disposal of garbage, establishment of environmental clubs, planting of trees, and water harvesting among other issues.</p>	3
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i></p>	<p>LG had a costed ESMP incorporated within the BoQs as evidenced by Item C (crosscutting issues, environmental mainstreaming, tree planting and HIV/AIDS) under preliminaries on page 37 in the BoQs of the Bidding Document for the Rehabilitation of Amaseniko P/S and Adepar P/S Classrooms with Procurement Reference No. KAPE/627/WRKS/2022-2023/00003</p>	2
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i></p>	<p>LG had proof of land ownership, access of school construction projects by the time of assessment as evidenced by the Land Agreement for Airabet P/S by the families of late Mzee Yonesi Ongeny of Atarukot Village and late Mzee Orieba son of Ebeku of Olilia Village to Church of Uganda on 28/03/2018</p>	1
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p>	<p>The EO and DCDO conducted monthly support supervision and monitoring of education projects in FY 2022/2023 as evidenced by the E&S Monitoring reports for education projects by the EO and DCDO on the following dates;</p> <ul style="list-style-type: none"> • 26/04/2023 • 30/05/2023 • 20/06/2023 	2

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

Score: 1, else score:0

The EO and DCDO prepared the E&S certification forms for education projects prior to payment of contractors as evidenced below;

- E&S Certification form for the construction of 2 classroom block at Airabet P/S by the EO and DCDO on 19/06/2023
- E&S Certification form for the renovation of a classroom block at Adepar P/S by the EO and DCDO on 09/06/2023
- E&S Certification form for the renovation of a classroom block at Amaseniko P/S by the EO and DCDO on 09/06/2023

**Health
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score																
Local Government Service Delivery Results																				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>The sampled health facilities had the following deliveries:</p> <p>FY2021/22</p> <table border="0"> <tr> <td>Obalanga HC III</td> <td>518</td> </tr> <tr> <td>Acowa HC III</td> <td>773</td> </tr> <tr> <td>Kapelebyong HC IV</td> <td>864</td> </tr> <tr> <td>Total</td> <td>2155</td> </tr> </table> <p>FY2022/23</p> <table border="0"> <tr> <td>Obalanga HC III</td> <td>531</td> </tr> <tr> <td>Acowa HC III</td> <td>781</td> </tr> <tr> <td>Kapelebyong HC IV</td> <td>926</td> </tr> <tr> <td>Total</td> <td>2238</td> </tr> </table> <p>Average change $(2238-2155)/2155*100 = 3.85\%$</p>	Obalanga HC III	518	Acowa HC III	773	Kapelebyong HC IV	864	Total	2155	Obalanga HC III	531	Acowa HC III	781	Kapelebyong HC IV	926	Total	2238	0
Obalanga HC III	518																			
Acowa HC III	773																			
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Total	2155																			
Obalanga HC III	531																			
Acowa HC III	781																			
Kapelebyong HC IV	926																			
Total	2238																			
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> • 70% and above, score 2 • 50% - 69%, score 1 • Below 50%, score 0 	Not applicable	0																
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> • 75% and above; score 2 • 65 - 74%; score 1 • Below 65; score 0 	Not applicable	0																

3	Investment performance: The LG has managed health projects as per guidelines.	a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.	The DLG budgeted shs 111,397,457 as development grant for FY 2022/2023 as per QBPR for quarter four on page 52. Shs 97,171,457 was equally spent by closure of FY 2022/2023 as reflected on page 52 of the Annual Performance Report for FY 2022/2023 Performance was 88%. The health department implemented five projects under the development grant during FY 2022/2023. A sample of three projects was selected. Construction of a fence at Acowa HC III in Acowa sub county and a total of shs 9,576,313 was paid for the project. Fencing of Acito and Akoromot HC III and payment of shs 23,678,980 was made to the contractor. Upgrade of Alito HC II to HC III and shs 34,890,000 was paid to the contractor.	2
Maximum 8 points on this performance measure	Investment performance: The LG has managed health projects as per guidelines.	b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0	<p>The DLG implemented five projects in the department during FY 2022/2023 out of which three projects were sampled. (i) Payment to CAB (U) for shs 286,900,272 per payment voucher number 5302076 dated 17th May, 2023 in respect of construction of Akoromit HC III and upgrade of Alito HC II to HC III under reference MOH-UGIFT/WRKS/2022-2023/0001.</p> <p>The DHO initiated payment on 13th April, 2023 and on 13th April, the CFO, CAO, CDO, DE, Environment Officer signed the payment.</p> <p>(ii) Payment to Elton Business Solutions for shs 9,576,313 per payment voucher number 365478 dated 15th June, 2023 in respect of construction of a fence at Acowa HC III in Acowa TC.</p> <p>The DHO initiated payment on 3rd May, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment.</p> <p>(iii) Payment to CAB (U) for shs 79,491,725 per payment voucher number 6429584 dated 28th June, 2023 in respect of fencing of Alito and Akoromit HC III</p> <p>The DHO initiated payment on 26th June, 2023 and on 26th April, the CFO, CAO, CDO, DE, Environment Officer signed the payment.</p>	2
Maximum 8 points on this performance measure				

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0</p>	<p>All the implemented health project contracts had percentage contract variations of within +/-20% as indicated below for the respective project contracts</p> <p>1. Upgrade of Alito HC II to HC III and construction of Akoromit HC III (Procurement Reference no. MOH-UGIFT/WRKS/2022-2023/00001)</p> <p>Contract price = 1,675,910,182</p> <p>Estimated cost = 1,675,910,182</p> <p>Percentage contract variation = 0%</p> <p>2. Construction of staff house at Kapelebyong HC IV (Procurement Reference no. KAPE627/WRKS/2022-2023/00011)</p> <p>Contract price = 66,153,750</p> <p>Estimated cost = 68,000,000</p> <p>Percentage contract variation = -2.71%</p> <p>3. Fencing of Acowa HC III (Procurement Reference no. KAPE627/WRKS/2022-2023/00025)</p> <p>Contract price = 14,899,270</p> <p>Estimated cost = 16,000,000</p> <p>Percentage contract variation = -6.88%</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY</p> <ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 	<p>The contract agreements in the procurement file for the Construction upgrade of Alito HCII to HCIII (construction of a maternity ward, a twin staff house, a 4 stance pit latrine, a placenta pit and a medical waste pit) and construction of new HC III at Akoromit sub county were signed on 27th/01/2023 and completion dates was 30th/09/2023 giving a contract period of eight (8) months up to completion. At the time of assessment, it was found out from the field visit and the budget performance report that the two projects are at the finishes level for all the structures which translates to a percentage completion of between 80-99%</p>	1

4	Achievement of Standards: The LG has met health staffing and infrastructure facility standards	a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure	The LG had recruited for all HC's and HC IV as follows:	1										
	Maximum 4 points on this performance measure	<ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	<table border="0"> <tr> <td>Kapelebyong HC IV</td> <td>31 out of 48</td> </tr> <tr> <td>Acowa HC III</td> <td>17 out of 19</td> </tr> <tr> <td>Obalanga HC III</td> <td>14 out of 19</td> </tr> <tr> <td>Total</td> <td>62 out of 76</td> </tr> <tr> <td colspan="2" style="text-align: right;">$62/76*100 = 81.6\%$</td> </tr> </table>	Kapelebyong HC IV	31 out of 48	Acowa HC III	17 out of 19	Obalanga HC III	14 out of 19	Total	62 out of 76	$62/76*100 = 81.6\%$		
Kapelebyong HC IV	31 out of 48													
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Total	62 out of 76													
$62/76*100 = 81.6\%$														
4	Achievement of Standards: The LG has met health staffing and infrastructure facility standards	b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.	The construction scope of work for the upgrade of Alito HCII to HC III and Akoromit new HC III involved the construction of a maternity ward, a twin staff house, a 4-stance pit latrine, a placenta pit, and a medical waste pit. A technical field check on each of the structures in the two health centres indicated that the projects were implemented following the standard architectural and structural designs and specifications, and no structural defects was identified on all components of the structures at the time of assessment. The number and specifications of the windows and doors, roof coverings, truss details and materials and the type of the floor and wall finishes was as specified.	2										
	Maximum 4 points on this performance measure	<ul style="list-style-type: none"> • If 100 % score 2 or else score 0 												

Performance Reporting and Performance Improvement

5	Accuracy of Reported Information: The LG maintains and reports accurate information	a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0	The information on positions of health workers filled was accurate. Kapelebyong HC IV had 31 H/Ws on the list dated 1/07/23 so was the District list dated 4/08/2023. Acowa HC III had 18 H/Ws on the list dated 18/07/23. Obalanga HC III had 14 H/Ws on the list dated 5/07/2023 so was the District list dated 4/08/2023.	2
	Maximum 4 points on this performance measure			
5	Accuracy of Reported Information: The LG maintains and reports accurate information	b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0	Alito HC II was upgraded to HC III but not complete and was not functional.	0
	Maximum 4 points on this performance measure			

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The health facilities submitted to the DHO Annual Workplans and budgets as follows:</p> <p>Kapelebyong HC IV 30/03/2023</p> <p>Acowa HC III 30/03/2023</p> <p>Obalanga HC III 30/03/2023</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The health facilities submitted to the DHO Annual Budget Performance reports for FY 2022/23 as follows: Kapelebyong HC IV 3/07/2023</p> <p>Obalanga HC III 3/07/2023</p> <p>Acowa HC III 3/07/2023.</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>Health facilities developed the facility plans as follows:</p> <p>Kapelebyong HC IV 14/07/2023</p> <p>Obalanga HC III 14/07/2023</p> <p>Acowa HC III 4/07/2023. The plans included issues like Data management, Quality improvement and Immunisation improvement.</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports</p>	<p>The Health Facilities submitted up to date monthly and quarterly HMIS reports timely as follows:</p> <p>July 2022</p>	2

Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

timely (7 days following the end of each month and quarter) If 100%,
• score 2 or else score 0

Kapelebyong HC IV
6/8/2022

Obalanga HC III 6/08/2022

Acowa HC III 7/08/2022

August 2022

Kapelebyong HC IV 7/09/2022

Obalanga HC III 6/09/2022

Acowa HC III 7/09/2022

September 2022

Kapelebyong HC IV
6/10/2022

Obalanga HC III
6/10/2022

Acowa HC III
7/10/2022

October 2022

Kapelebyong HC IV
6/11/2022

Obalanga HC III
6/11/2022

Acowa HC III 7/11/2022

November 2022

Kapelebyong HC IV
7/12/2022

Obalanga HC III
7/12/2022

Acowa HC III 6/12/2022

December 2022

Kapelebyong HC IV 7/01/2023

Obalanga HC III
7/01/2023

Acowa HC III 4/01/2023

January 2023

Kapelebyong HC IV 6/02/2023

Obalanga HC III
6/02/2023

Acowa HC III 6/02/2023

February 2023

Kapelebyong HC IV 3/03/2023

Obalanga HC III

6/03/2023

Acowa HC III 6/03/2023

March 2023

Kapelebyong HC IV 5/04/2023

Obalanga HC III

5/04/2023

Acowa HC III 7/04/2023

April 2023

Kapelebyong HC IV 5/05/2023

Obalanga HC III

5/05/2023

Acowa HC III 7/05/2023

May 2023

Kapelebyong HC IV 6/06/2023

Obalanga HC III

5/06/2023

Acowa HC III 7/06/2023

June 2023

Kapelebyong HC IV 5/07/2023

Obalanga HC III

7/07/2023

Acowa HC III 6/07/2023

Quarterly 2022/23

1st Quarter 2022/23

Kapelebyong HC IV 6/10/2022

Obalanga HC III 6/10/2022

Acowa HC III 7/10/2022

2nd Quarter 2022/23

Kapelebyong HC IV 7/01/2023

Obalanga HC III 7/01/2023

Acowa HC III 4/01/2023

3rd Quarter 2022/23

Kapelebyong HC IV 5/04/2023

Obalanga HC III 5/04/2023

Acowa HC III 7/04/2023

4th Quarter 2022/23

Kapelebyong HC IV 5/07/2023

Obalanga HC III 7/07/2023

Acowa HC III 6/07/2023

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0</p> <p>Note: Municipalities submit to districts</p>	Not applicable	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	Not applicable	0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>Quarter 1 report was submitted on 11th October, 2022; Quarter 2 report was submitted on 9th January, 2023; Quarter 3 reports was submitted on 11th April, 2023; Quarter 4 report was submitted on 13th July, 2023.</p>	1
	<p>Maximum 14 points on this performance measure</p>			
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>h) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>The LG developed an approved Performance Improvement Plan for Obalanga HC III the weakest facility on 4/07/2023.</p>	1
	<p>Maximum 14 points on this performance measure</p>			
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>The plan was implemented on 28/07/2023.</p>	1
	<p>Maximum 14 points on this performance measure</p>			

Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>According to the LG Annual Budget Estimates 2023/24, the LG budgeted 1,743,496,000= for 104 health workers out of the 178 required.</p> <p>$104/178*100=58.4\%$. This was below the required 75%.</p>	0
	Maximum 9 points on this performance measure			
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>The LG had 2 out of 12 health facilities that had at least 75% of the staff required. These were:</p> <p>Obalanga HC III 15/19 (88.2%)</p> <p>Acowa HC III 17/19 (89.5%)</p>	0
	Maximum 9 points on this performance measure			
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>The health workers were working where they were deployed. Kapelebyong HC IV had 31 H/Ws on the list 1/07/2023 and were found in the attendance book. Acowa HC III had 13 H/Ws on the list dated 18/07/2023 and were found in the attendance book. Obalanga HC III had 14 H/Ws dated 5/07/2023 and were found in the attendance book.</p> <p>The example of staff found were:</p> <p>Kapelebyong HC IV</p> <p>Obua Emmanuel Enrolled Nurse</p> <p>Acowa HC III</p> <p>Ebau Pius Senior Clinical Officer</p> <p>Obalanga HC III</p> <p>Acen Joyce Mary Nursing Assistant</p>	3
	Maximum 9 points on this performance measure			

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0</p>	<p>The LG publicized health workers on the noticeboards. Kapelebyong HC IV publicized 31 H/Ws dated 1/07/23. Acowa HC III publicized 13 H/Ws dated 18/07/2023. Obalanga HC III 14 H/Ws dated 5/07/2023.</p>	2
	<p>Maximum 9 points on this performance measure</p>			
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p>	<p>a) Evidence that the DHO/MMOHs has: i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0</p>	<p>There was no evidence that Health Facility In-charges were all appraised. Below are the review findings;</p> <ol style="list-style-type: none"> 1. Elwana Anna Grace (N/O) Acowa HCIII was not appraised 2. Ebau Pius (SCO) Acowa HCIII was appraised on 30/6/2023 3. Iwanyu Margaret (E/Midwife) Airabet HCII was appraised on 27/6/23 4. Omujul Richard (CO) Obalanga HCIII was appraised on 20/6/2023 5. Ademere Mary Caroline (E/Midwife) Ajeleik HCII was appraised 30/6/2023 6. Amongin Faith (E/Midwife) Aeket HCII was appraised on 28/6/2023 7. Imalingat Stella (N/O) Kapelebyong HCIV was appraised on 27/6/2023 8. Cheroy Peter (E/N) Okoboi HC II was appraised on 28/6/2023 9. Acanit Jesca (E/N) Alito HCII was appraised on 25/6/2023 10. Olemo Peter (E/N) agonga HCII was appraised on 26/6/2023 	0
	<p>Maximum 6 points on this performance measure</p>			

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p>	<p>There was no evidence that all Health Facility Workers were appraised against the agreed performance plans.</p> <ol style="list-style-type: none"> 1. Obua Emmanuel (E/N) Kapelebyong HCIV was appraised on 25/6/2023 2. Icodu Mary Immaculate (Porter) Acowa HCIII was not appraised 3. Adolu George (Theater Asst) Kapelebyong HCIV was appraised on 26/6/2023 4. Erengu Simon (MCO) Obalanga HCIII was not appraised 5. Apiso Jesca (E/N) Angerepo HCII was appraised on 29/6/2023 6. Dairo Modesta (E/Midwife) Kapelebyong HCIV was appraised on 25/6/2023 7. Edemu Samuel (Askari) Angerepo HCII was appraised on 29/6/2023 8. Akiteng Consy Alongu (E/Midwife) Obalanga HCIII was appraised on 20/6/2023 9. Elianu Alex (Porter) and 10. Ochuka Abraham (N/A) airabet HCII were not appraised. 	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>There was no evidence and no reason given</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <ol style="list-style-type: none"> i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0 	<p>The DHO and DHE trained 16 H/Ws on Medical Ethics on 12/04/2023 at Kapelebyong HC IV.</p>	1

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>The training of staff on Medical Ethics activities was entered in training database.</p>	1
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Management, Monitoring and Supervision of Services.

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>The CAO confirmed the 14 health facilities by filling the format provided by the MOH on 5/09/2023 and received on 8/09/2023 which rhymed with the one submitted in budget for FY2023/24.</p>	2
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9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>Fund allocation to the Health Department during FY 2022/2023 amounted to shs 52,360,000 as reflected on pages 1 and 2 of the District approved budget for FY 2022/2023. The monitoring and service delivery was allocated shs 6,894,000 as per pages 1 and 2 of the approved LG budget.</p>	2
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N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0

The DLG received communication from PS/ST on quarter one cash limits on 10th July, 2022 referenced BPD/102/155/01, Warranting was done on 11th July, 2022, invoicing was done on 15th July, 2022, Transfers to Health Centers on 19th July, 2022 and communication to Health Centers by Cao on 21st July, 2022.

In quarter two, communication on cash limits from the PS/ST was received on 17th October, 2022 under reference BPD/102/155/01, Warranting was done on 18th October, 2022; Invoicing was done on 21st October, 2022; Transfers to Health Centers was done on 21st October, 2022 and communication to Health Centers was done on 18th October, 2022.

In quarter three, communication from the PS/ST referenced MEP.50/268/01 was received by the DLG on 29th December, 2022, Warranting was done on 6th January, 2023; Invoicing was done on 10th January, 2023; transfers to Health Centers was done 10th January, 2023 and communication to Health Centers by the CAO was done on 6th January, 2023.

In quarter four, communication from the PS/ST referenced MEP .50/268/01 was received by the DLG on 6th April, 2023, Warranting was done on 10th April, 2023; Invoicing was done on 13th May, 2023; transfers to Health Centers was done 13th May, 2023 and communication to Health Centers by the CAO was done on 7th April, 2023.

N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0

The DLG invoiced and communicated all PHC NWR Grant transfers in FY 2022/2023 to health facilities within 5 working days from the day of funds release in each quarter as per details provided above.

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>There was evidence that the district publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoPPED for example circular letter under reference KAPE/DLG/HQ/02 dated 17th October, 2022 verified at Kapelebyong HC IV notice board.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>The LG implemented actions recommended by the DHMT quarterly review meetings. During the review meeting that sat in the DHO's Board room on 30/09/2022 reported that OPD registers had been distributed following a recommendation made on 15/06/22. The meeting that sat on the 10/01/2023 in the Council Hall recommended mapping of TBAs which activity commenced on the 5/06/2023 done by the ADHO/MCH. In the Health Boardroom on 12/04/2023, the meeting recommended improvement of Nutrition Assessment by the health facilities. The LG implemented by distribution of adult and baby weighing scales to the HC IV and IIIs on 5/06/2023.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>The review meeting that sat on 10/01/2023 was attended by officials from TASO. The one that sat on 14/04/2023 was attended by officials from TASO, CDFU & PATH and that of 15/06/2023 was attended by TASO.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>There was no Hospital in the LG. Kapelebyong HC IV was supervised among other facilities as follows:</p> <p>1st Quarter 2022/23 19-23/09/2022</p> <p>2nd Quarter 2022/23 13/12/2022</p> <p>3rd Quarter 2022/23 11-20/04/2023</p> <p>4th Quarter 2022/2023 26-30/06/2023.</p>	1

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <ul style="list-style-type: none"> • If not applicable, provide the score 	<p>Kapelebyong HSD led by Kapelebyong HC IV supervised lower health facilities as follows:</p> <p>Quarter 1 2022/23 18-26/07/2022 St. Francis Acumet HC III, Obalanga HC III & Airabet HC II.</p> <p>Quarter 2 2022/23 6-9/12/2022 Acowa HC III, Obalanga HC III & Amaseniko HC II.</p> <p>Quarter 3 2022/23 23-24/05/2023 Acowa HC III, Aeket HC II & Angerepo HC II.</p> <p>Quarter 4 15-16/06/2023 Obalanga HC III, Alito HC II & Acowa HC III.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>The DHO wrote to the facility In-charges to lock their facilities properly due to the break in facilities by thieves. The DMMS on inspection some facilities found on 17/02/2023 Alito HC II had double locked.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>The health facilities were supported in prescription, storage and dispensing of medicines as follows: Acowa HC III 2/01/2023, Airabet HC II 7/02/2023 and Nyada HC II 4/06/2023.</p>	1
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p>	<p>Fund allocation to the Health Department during FY 2022/2023 amounted to shs 52,360,000 as reflected on pages 1 and 2 of the District approved budget for FY 2022/2023. The allocation for health prevention and promotion activities was allocated shs 6,028,000 as per pages 1 and 2 of the approved LG budget.</p>	2

11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0</p>	<p>The DHE held community dialogue meeting with the communities of Maga and Aridai in Okungur S/C on 10/10/2022 about Immunisation, ANC and Delivery.</p> <p>The DHE, RDC and Secretary Health held Radio Talk shows on Radio Saviour during the month of October 2022 at 5-6PM. In Council Hall on 25/09/2022, oriented the VHTs and LC1s on their role on Health Promotion.</p>	1
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p>	<p>According to the report written by the DHI to DHO on 9/11/2022, the DHI made a follow up inspection of Public places in Acowa, Obalanga, Okungur, Kapelebyong and Akoromoit S/Cs in the 1st Quarter 2022/23. 12 pit latrines were constructed in the community.</p>	1

Investment Management

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>The LG had an updated Asset register that set out health facilities and equipment relative to basic equipment. Kapelebyong HC IV had BP machine, PIMA machine, Delivery beds, Theatre equipment and Microscope. Acoma HC III had Glucometer, BP machine, Delivery kits and Blood cell counter. Obalanga HC III had BP machine, Weighing scale and Thermometer.</p>	1
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12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were:</p> <ul style="list-style-type: none"> (i) derived from the third LG Development Plan (LGDPIII); (ii) desk appraisal by the LG; and (iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG)); <p>score 1 or else score 0</p>	<p>The DLG implemented four projects during FY 2022/2023 out of which a sample of two projects was selected.</p> <ul style="list-style-type: none"> (i) Construction of one one staff house at Kapelebyong HC IV in Kapelebyong TC which was budgeted at shs 55,000,000 as per page 136 of the 4t quarter budget performance report for FY 2022/2023. The project was captured on page 105 of DDP III, page 23 of the AWP. <p>The Field Appraisal Report was dated 17th March, 2022 and the Desk Appraisal Report was dated 17th March, 2022.</p> <ul style="list-style-type: none"> (ii) Fencing of Acowa HC III in Acowa TC budgeted at shs 15,000,000 as per page 122 of the 4th quarter budget performance report for FY 2022/2023. The project was captured on page 225 of the DDP III and page 16 of the AWP. <p>The Field Appraisal Report was dated 17th March, 2022 and the Desk Appraisal Report was dated 17th March, 2022.</p>	1
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for:</p> <ul style="list-style-type: none"> (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0 	<p>The LG conducted the field appraisal for the education infrastructure projects (Upgrade of Aliko HC II and Construction of new Akoromit HC III, Construction of a staff house at Kapelebyong HC IV and fencing of Acowa HC III) and checked for the technical feasibility, Environmental and social acceptability as indicated in the consolidated field appraisal report dated 25th/07/2022. Among the key issues captured in the report included; project not causing household displacement, the project not tampering with the vegetation, project creating employment opportunities, the design being suitable for the site conditions and making use of the available local materials among other issues.</p>	1

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>The only LG health facility investment was screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist as evidenced by the following screening forms by EO & DCDO below;</p> <ul style="list-style-type: none"> • E&S Screening form for the construction of Akoromit HC III by the EO and DCDO on 25/11/2022 • E&S Screening form for the upgrade of Alito HCII to HC III by the EO and DCDO on 15/11/2022 • E&S Screening form for the construction of Staff House at Kapelabyong HC IV by the EO and DCDO on 05/01/2023 	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>The Health sector submitted all its infrastructure and other request to procurement unit on 25th/07/2022 before 30th/April/2023 as per the PPDA requirement and was incorporated in the FY 2023/2024 approved procurement plan on page 1 of the plan. The procurement requests included;</p> <ul style="list-style-type: none"> • Construction of a staff house in Kapelebyong HC IV • Fencing of Obalang HC III and Acowa HC III 	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>The LG Health department submitted the procurement request form (FormPP1) to the PDU on 27th/07/2022 with in the 1st Quarter of the FY 2023/2024.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>The Contracts Committee approved all the health infrastructure investments for the FY2022/2023 in the minutes of the contracts committee dated 14th /07/2022 under minute number CC/July/2022-2023/Appr/03 and cleared by the solicitor general in a letter dated 14th/12/2022 for the for the upgrade of Alito HC II to HC III and construction of new Akoromit HC III .</p>	1
<p>Maximum 4 points on this performance measure</p>	<p>Maximum 10 points on this performance measure</p>	<p>Maximum 10 points on this performance measure</p>	<p>Maximum 10 points on this performance measure</p>	

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG properly established the project implementation team as per the PPDA guidelines. The appointment letter by CAO dated 16th/03/2023 indicated that Dr.Eudu James (DHO) was appointed as the contracts manager, Mr. Oule charles (District Engineer) as the project manager, Mr. Egelu Paul as the environment officer, Mr. Apio Jesca as the DCDO, Mr. Eteru Moses as the labour officer, and Mr. Edeu Jesse appointed on July 21, 2022, as the clerk of works.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The construction scope of work for the upgrade of Alito HCII to HC III and construction of new Akoromit HC III involved the construction of a maternity ward, a twin staff house, a 4 stance pit latrine, a placenta pit and a medical waste pit. A technical field check on each of the structures indicated that the project is implemented following the standard architectural and structural designs and specifications, and no structural defects was identified on all components of the structures at the time of assessment. The number and specifications of the windows and doors, roof coverings, truss details and materials and the type of the floor and wall finishes was as specified</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The Clerks of Works for the upgrade of Alite HC II construction of Akoromit HC III maintained daily site records that were consolidated weekly to the District Engineer and DHO as in the following weekly progress reports;</p> <ul style="list-style-type: none"> • Weekly progress report for the upgrade of Alito HC II to HC III for the week starting 17/6/2023 to 24/06/2023 by Ocana peter (clerk of works) • Weekly progress report for the upgrade of Alito HC II to HC III for the week starting 26/6/2023 to 01/07/2023 by Ocana peter (clerk of works) • Weekly progress report for the upgrade of Alito HC II to HC III for the week starting 06/8/2023 to 12/08/2023 by Ocana peter (clerk of works) 	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility, the Community Development and Environmental officers</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG carried out technical supervision of works as indicated in the minutes of site meeting dated March 28th, 2023, April 28th, 2023, and May 15th, 2023, for the construction of Akoromit HC III with participation of the Engineers, Environment officers and DCDOs and minutes of site meeting dated May 2nd, 2023, April 3rd, 2023, and March 29th, 2023, for the construction of upgrade of Alito HC II to HC III with participation of the Engineers, Environment officers and DCDO.</p>	1

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0

The DHO verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days after receiving payment requests) as per examples provided below based on the implemented projects.

The DLG implemented five projects in the department during FY 2022/2023 out of which three projects were sampled. (i) Payment to CAB (U) for shs 286,900,272 per payment voucher number 5302076 dated 17th May, 2023 in respect of construction of Akoromit HC III and upgrade of Alito HC II to HC III under reference MOH-UGIFT/WRKS/2022-2023/0001.

The DHO initiated payment on 13th April, 2023 and on 13th April, the CFO, CAO, CDO, DE, Environment Officer signed the payment.

(ii) Payment to Elton Business Solutions for shs 9,576,313 per payment voucher number 365478 dated 15th June, 2023 in respect of construction of a fence at Acowa HC III in Acowa TC.

The DHO initiated payment on 3rd May, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment.

(iii) Payment to CAB (U) for shs 79,491,725 per payment voucher number 6429584 dated 28th June, 2023 in respect of fencing of Alito and Akoromit HC III

The DHO initiated payment on 26th June, 2023 and on 26th April, the CFO, CAO, CDO, DE, Environment Officer signed the payment.

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>The LG had the complete procurement file for the health Infrastructure contract with all records as required by the PPDA Law as indicated below for the respective projects;</p> <p>Upgrade of Alito HCII to HC III and construction of Akoromit new HC III (Procurement Reference no. MOH-UGIFT/WRKS/2022-2023/00001)</p> <p>Evaluation report dated 24/09/2022 with CAB Uganda Ltd as the best evaluated bidder at 1,675,910,182.</p> <p>Minutes of contracts committee dated 14th/10/2022 and evaluation report approval under minute Minute 629/DCC/2022/Appr and works contract signed on 27th/01/2023</p> <p>Construction of the staff house at Kapelebyong HC IV (Procurement reference no. KAPE627/WRKS/2022-2023/00011)</p> <p>Evaluation report dated 6/08/2022 with Brand berak Agency as the best evaluated bidder at 66,153,750.</p> <p>Minutes of contracts committee dated 11th/08/2022 and evaluation report approval under minute Minute CC/Aug/2022-2023/Appr/14 and works contract signed on 26th/10/2022</p> <p>Fencing of Acowa HC III (Procurement reference no. KAPE627/WRKS/2022-2023/00025)</p> <p>Evaluation report dated 2nd/02/2023 with Eltom Business solution as the best evaluated bidder at 14,396,885</p> <p>Minutes of contracts committee dated 10th/02/2023 and evaluation report approval under Minute CC/Feb/2022-2023/Appr/37 and works contract signed on 6th/03/20232</p>	1
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Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>LG had not recorded any grievances under health in the FY 2022/2023 by the time of assessment but the Grievance Log Book was availed coded "2022/2023"</p>	2
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15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>The LG disseminated guidelines Infection Prevention and Control to health facilities on 17/03/2023. Waste segregation charts were found in Kapelebyong HC IV, Acowa HC III and Obalanga HC III. Uganda National Infection and Prevention and Control Guidelines were found in Kapelebyong HC IV, Acom HC III and Obalanga HC III.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>The LG had a functional system for Medical waste management. Kapelebyong HC IV, Acom HC III and Obalanga HC III had bin liners for segregation of waste, safety boxes, waste pits and placenta pits. Green Label Services LTD a registered service provider was collecting health care waste from the LG.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>The LG created awareness in health care waste management on 17/03/2023 during the distribution of Infection Prevention and Control Guidelines. The DHO during the training of H/Ws on Health and Safety on 2/10/2023 at Kapelebyong HC IV created awareness on health care waste management.</p>	1
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0</p>	<p>LG had incorporated costed ESMPs into designs, BoOs as evidenced by Item C under preliminaries and sub structures (Environmental mitigation measures/crosscutting issues, planting trees and gender) at 1,000,000/= on unspecified page in the BoQs of the bid document for the construction of staff house at Kapelabyong HC IV with Procurement Reference No. KAPE/WRKS/2022-223/00011</p>	2

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>LG had proof that all health sector projects were implemented on land where the it had ownership, access as evidenced by the Land Agreement for the donation of land by Bako Padakwara Ayom clan and Ipilitok of Alito Sub County, to Alito HC II development on 14/09/2022</p>	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>The EO and DCDO conducted monthly support supervision and monitoring of health projects as evidenced below;</p> <ul style="list-style-type: none"> • E&S Monitoring Report for construction of Akoromit HC III by the EO and DCDO on 28/06/2023 • E&S Monitoring Report for the upgrade of Alito HCII to HC III by the EO and DCDO on 25/05/2023 • E&S Monitoring Report for construction of Akoromit HC III by the EO and DCDO on 25/06/2023 	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0</p>	<p>Environment and Social Certification forms were completed and signed by the EO and DCDO prior to payments of contractor invoices/certificates of the only health infrastructure project as evidenced below;</p> <ul style="list-style-type: none"> • E&S Certification form for the construction of Staff House at Kapelabyong HC IV by the EO and DCDO on 08/06/2023 • E&S Certification form for the construction of Akoromit HC III by the EO and DCDO on 15/06/2023 • E&S Certification form for upgrade of Alito HCII to HC III by the EO and DCDO on 12/06/2023 	2

**Water &
Environment
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees <i>Maximum 4 points on this performance measure</i>	a. % of rural water sources that are functional. If the district rural water source functionality as per the sector MIS is: o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0	The District rural water source functionality as per the MWE MIS on 9th November 2023 was 97%.	2
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees <i>Maximum 4 points on this performance measure</i>	b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is: o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0	The District facilities with functional water & sanitation committees as per the MWE MIS on 9th November 2023 was 80%.	1
2	N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment <i>Maximum 8 points on this performance measure</i>	a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is; • Above 80%, score 2 • 60% - 80%, score 1 • Below 60%, score 0	Reviewed the LLGs performance assessment report for the FY 2023/2024 and the scores for sub counties converted to percentage for the water and environment indicators were as follows; Acowa s/c 73% Akoromit s/c 81% Kapelebyong s/c 68% Obalanga s/c 62% Okungur s/c 53% Acinga s/c 63% Alito s/c 62% The average score for the LG was 66%	1
2	N23_Service Delivery Performance: Average score in the water and	b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the	According to the district water and sanitation 4th quarter progress report for FY 2021/2022	1

environment LLGs performance assessment

Maximum 8 points on this performance measure

district average in the previous FY.

- o If 100 % of water projects are implemented in the targeted S/Cs: Score 2
- o If 80-99%: Score 1
- o If below 80 %: Score 0

dated 30th August 2022, received by the MWE on 6th September 2022, the district safe water coverage for FY 2021/2022 was 74.8% and sub-county (s/c) water coverage were as follows:

Acowa s/c 61%

Akoromit s/c 91%

Kapelebyong s/c 78%

Obalanga s/c 111%

Okungur s/c 56%

Acinga s/c 34%

Alito s/c 92%

Therefore, sub-counties with safe water coverage below the district average were Acowa, Okungur and Acinga.

Reviewed the District Water and Sanitation AWP for FY 2022/2023 dated 30th August 2022, approved by MWE on 8th September 2022 and 4th quarter progress report for FY 2022/2023 dated 14th September 2023 received by the MWE on the 20th September 2023. The WSS infrastructure development projects implemented in the district were as follows:

1. 4 hand pump boreholes were drilled each at a cost of 25,000,000 UGX. 1 in Acinga s/c, 1 in Acowa s/c, 1 in Okungur s/c and 1 in Kapelebyong s/c

2. 2 production wells were drilled each at a cost of 35,000,000 UGX. 1 in Okungur s/c and 1 in Acowa s/c.

The total fund used by the district for WSS infrastructure development was 170,000,000 UGX.

The total fund used by the district for WSS infrastructure development in the sub counties (Acowa, Okungur and Acinga) with safe water coverage below district average was 145,000,000 UGX.

The 4th quarter report for FY 2022/2023 indicated that development partners also constructed new water facilities in the district. However, the exact number of allocations and costs were not indicated.

Therefore, % of water projects implemented by the district in the s/c with safe water coverage below the district average was 83%.

2

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates

o If within +/-20% score 2

o If not score 0

According to the District Water and Sanitation AWP for FY 2022/2023 dated 30th August 2022, approved by MWE on 8th September 2022 there were only two WSS infrastructure development projects implemented in the district:

1. Drilling of 4 hand pump boreholes, contract number KAPEL/ 627/WRKS/2022-2023/00008 was budgeted/estimated at 100,000,000 UGX. The contract document (Bill of quantity) showed that ICON PROJECTS LTD quoted at 99,877,120 UGX. The contract variation was -0.2%.

2. Drilling of 2 production wells, contract number KAPEL/ 627/WRKS/2022-2023/00009 was budgeted/estimated at 70,000,000 UGX. The contract document (Bill of quantity) showed that ICON PROJECTS LTD quoted at 69,900,000 UGX. The contract variation was -0.14%.

2

2

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

d. % of WSS infrastructure projects completed as per annual work plan by end of FY.

o If 100% projects completed: score 2

o If 80-99% projects completed: score 1

o If projects completed are below 80%: 0

From the District Water and Sanitation 4th quarter progress report for FY 2022/2023 dated 14th September 2023, received by the MWE on the 20th September 2023, all (100%) WSS infrastructure development projects implemented in the district were completed as per the AWP.

1. Drilled 4 hand pump boreholes; Adepar borehole in Acinga s/c, Oburiteng borehole in Acowa s/c, Amanit borehole in Okungur s/c and Mabasa borehole in Kapelebyong s/c

2. Drilled 2 production wells; Amootom RGC in Okungur s/c and Ajelek RGC in Acowa s/c.

2

New_Achievement of Standards:

The LG has met WSS infrastructure facility standards

Maximum 4 points on this performance measure

a. If there is an increase in the % of water supply facilities that are functioning

o If there is an increase: score 2

o If no increase: score 0.

From the Ministry MIS, the functionality of rural water supply facilities in the district for the FY 2021/2022 was 97% and was the same as for the FY 2022/2023 which was also the same at 97%. It was established that the information about the new water sources and new sub-counties created in the district were not updated in the Ministry MIS.

However, according to the district water and sanitation 4th quarter progress report for FY 2021/2022 dated 30th August 2022, received by the MWE on 6th September 2022, the district water supply facilities that were functional was 95%. The 4th quarter progress report for FY 2022/2023 dated 14th September 2023 received by the MWE on the 20th September 2023 indicated that the district water supply facilities that were functional was 97%. Which represented 2% increase.

The score was awarded based on the information in the quarterly progress report by the district since the Ministry MIS for the district was not up-to-date.

New_Achievement of Standards:

The LG has met WSS infrastructure facility standards

Maximum 4 points on this performance measure

b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).

- o If increase is more than 1% score 2
- o If increase is between 0-1%, score 1
- o If there is no increase : score 0.

From the Ministry MIS, the water sources with functionality WSCs in the district for the FY 2021/2022 was 80% and was the same as for the FY 2022/2023 which was also the same at 80%. It was established that the information about the new water sources and new sub-counties created in the district were not updated in the Ministry MIS.

According to the district water and sanitation 4th quarter progress report for FY 2021/2022 dated 30th August 2022, received by the MWE on 6th September 2022, the water sources with functional WSCs was 84%. The 4th quarter progress report for FY 2022/2023 dated 14th September 2023 received by the MWE on the 20th September 2023 indicated that the water sources with functional WSCs was 91%. Which represented 6% increase.

The score was awarded based on the information in the quarterly progress report since the Ministry MIS for the district was not up-to-date.

Performance Reporting and Performance Improvement

Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance

Maximum 3 points on this performance measure

The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3

From the District Water and Sanitation 4th quarter progress report for FY 2022/2023 dated 14th September 2023, received by the MWE on 20th September 2023, the following constructed WSS facilities were sampled and visited to establish if they were completed, functional and in use;

1. Production well drilled in Ajeleit RGC in Acowa s/c. It was drilled by ICON PROJECTS LTD dated 17th January 2023. The DWD number is 87698. The well was completed waiting for motorisation and piped water system design in another phase.

2. Adeper hand pump borehole in Acinga s/c. The borehole was constructed by ICON PROJECTS LTD installed on 16th February 2023, DWD 87697. The water source was completed, functional and in use by the community.

3. Mabasa hand pump borehole in Kapelebyong s/c. The water source was constructed by ICON PROJECTS LTD, installed on 13th February 2023, DWD 87694. The water source was completed, functional and in use by the community.

5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2</p>	<p>There was quarterly information on the sub county water facilities (functionality, WSCs and population) in all the water and sanitation sector quarterly progress reports for FY 2022/2023.</p> <ul style="list-style-type: none"> • 1st quarter progress report for FY 2022/2023 dated 5th October 2022 received by MWE on 6th October 2022 indicated that 422 water sources were monitored and 398 were functional. • 2nd quarter progress report for FY 2022/2023 dated 10th March 2022 received by MWE on 16th March 2022 indicated that 428 water sources were monitored and 404 were functional. • 3rd quarter progress report for FY 2022/2023 dated 10th May 2023 received by MWE on 18th May 2023 indicated that 431 water sources were monitored and 407 were functional. • 4th quarter progress report for FY 2022/2023 dated 14th September 2023 received by MWE on 20th September 2023 indicated 435 water sources monitored and 423 were functional. 	2
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0</p>	<p>There were evidences that the DWO submitted Form 4 and Form 1 to the MWE for updating information on the water and sanitation in the District in the MIS;</p> <ul style="list-style-type: none"> • 1st quarter Form 4 was submitted to the ministry together with the sector progress report on the 6th may 2022. • 2nd quarter Form 4 was submitted to the ministry together with the sector progress report on the 16th March 2023. • 3rd quarter Form 4 was submitted to the ministry together with the sector progress report on the 18th May 2023. • 4th quarter Form 4 and Form 1 were submitted to the ministry on the 20th September 2023. 	3

5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>LLG performance assessment report for the FY 2022/2023 indicated the following performances of the sub counties;</p> <p>Acowa s/c 16%</p> <p>Akoromit s/c 49%</p> <p>Kapelebyong s/c 36%</p> <p>Obalanga s/c 23%</p> <p>Okungur s/c 28%</p> <p>Acinga s/c 37%</p> <p>Alito s/c 30%</p> <p>The DWO presented the LLGs PIPs dated 30th April 2023 developed for all the sub counties but there were no evidences that the plan was implemented in the subcounties.</p>	0
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Human Resource Management and Development

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p>	<p>There was evidence that the DWO budgeted for critical positions in the department. According to the LG approved Budget Estimates VOTE 853, the wage budget was 33,763,000/= and this budget was for one staff under water as shown below;</p> <p>1. Odima Isaac (Civil Engineer Water).He is the only staff in water Office</p>	2
6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>There was evidence that the Environment and Natural Resource Officer budgeted for staff in the department totalling to 140,400,000/= for the following;</p> <p>1. Egelu Paul (Snr.Environment Officer/Ag.DNRO)</p> <p>2. Anyait Mary Kevin (SLMO)</p> <p>3. Okao James Brown (Forest Officer)</p>	2

7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>There was evidence that DWO appraised the District Water Office staff during 2022/2023. However, the staff were appraised late beyond 30th/6/2023 as indicated below.</p> <p>1. Odima Isaac (Civil Eng – Water) was appraised on 6/7/2023</p> <p>He was the only staff in water Office</p>	0
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p>	<p>The Capacity Need Assessment (CAN) report was not presented. The DWO conducted the staff appraisal, however, there were no training plan, report and database to indicate that capacity needs were identified and actions were taken.</p>	0

Management, Monitoring and Supervision of Services.

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<ul style="list-style-type: none"> • a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district: • • • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3 • • If 80-99%: Score 2 • • If 60-79: Score 1 • • If below 60 %: Score 0 	<p>According to the district water and sanitation 4th quarter progress report for FY 2022/2023 dated 14th September 2023 received by the MWE on the 20th September 2023, the district average safe water coverage was 80% and per sub-county (s/c) were as follows:</p> <p>Acowa s/c 65%</p> <p>Akoromit s/c 101%</p> <p>Kapelebyong s/c 79%</p> <p>Obalanga s/c 118%</p> <p>Okungur s/c 64%</p> <p>Acinga s/c 40%</p> <p>Alito s/c 95%</p> <p>Therefore, sub-counties with safe water coverage below the district average were Acowa, Okungur and Kabelebyong.</p> <p>Reviewed the District Water and Sanitation AWP for FY 2023/2024 dated 5th September 2023, approved by the MWE on 20th September 2023. The WSS infrastructure development projects budgeted for implementation in the district were as follows:</p> <p>1. Drilling 3 hand pump</p>	1
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boreholes each at a cost of 24,000,000 UGX. 1 in Acowa s/c, 1 in Okungur s/c and 1 in Acowa s/c

2. Drilling 2 production wells each at a cost of 30,000,000 UGX. 1 in Okungur s/c and 1 in Acowa s/c.

3. Feasibility studies and design of piped water system for RGC in Okungur s/c at a cost of 24,557,000 UGX.

The total fund used by the district for WSS infrastructure development was 156,557,000 UGX.

The total fund used by the district for WSS infrastructure development in the sub counties (Acowa, Okungur and Kabelebyong) with safe water coverage below district average was 102,557,000 UGX.

No MoU with development partners and their number of water sources allocations and costs were presented.

Therefore, % of water projects implemented by the district in the s/c with safe water coverage below the district average was 66%.

8

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3

There was a letter written to SACAOs dated 1st July 2023 which indicated the number of allocations of water projects to sub-counties with their respective costs.

There was also a minute of the district water and sanitation advocacy meeting held on the 28th July 2023 during which the issue of water project allocation for the FY 2023/2024 was discussed under Min 4

3

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)

- If 95% and above of the WSS facilities monitored quarterly: score 4
- If 80-94% of the WSS facilities monitored quarterly: score 2
- If less than 80% of the WSS facilities monitored quarterly: Score 0

All the water and sanitation sector quarterly progress reports for FY 2022/2023 contained evidences that the district Water Office has monitored WSS facilities (functionality, WSCs and population)

- 1st quarter progress report for FY 2022/2023 dated 5th October 2022 received by MWE on 6th October 2022 indicated that 422 water sources were monitored out of 445 water sources in the district representing 95%
- 2nd quarter progress report for FY 2022/2023 dated 10th March 2022 received by MWE on 16th March 2022 indicated that 428 water sources were monitored out of 445 water sources in the district representing 96%
- 3rd quarter progress report for FY 2022/2023 dated 10th May 2023 received by MWE on 18th May 2023 indicated that 431 water sources were monitored out of 445 water sources in the district representing 97%
- 4th quarter progress report for FY 2022/2023 dated 14th September 2023 received by MWE on 20th September 2023 indicated 435 water sources monitored out of 445 water sources in the district representing 98%

9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2</p>	<p>The following minutes indicated that the DWSCC meetings were conducted;</p> <ul style="list-style-type: none"> • 1st quarter DWSCC meeting was held late on the 22nd September 2022. • 2nd quarter DWSCC meeting was held on 20th December 2022 • 3rd quarter DWSCC meeting was held on 30th March 2023. • 4th quarter DWSCC meeting was held on 30th July 2023. 	0
	<p><i>Maximum 8 points on this performance measure</i></p>		<p>However, no key issues from quarterly monitoring of the WSS facilities were discussed in the DWSCC meetings. The main issues discussed were only activities on water projects implementation.</p>	
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>	<p>Budget allocations to sub counties were displayed in the notice board of the district dated 1st July 2023. The allocations were as follows;</p> <ol style="list-style-type: none"> 1. Drilling 3 hand pump boreholes in Acowa s/c, Okungur s/c and Acowa s/c at a total cost of 72,000,000 UGX. 2. Drilling 2 production wells in Okungur s/c and Acowa s/c at a total cost of 60,000,000 UGX. 3. Feasibility studies and design of piped water system for RGC in Okungur s/c at a cost of 24,557,000 UGX. 	2
	<p><i>Maximum 8 points on this performance measure</i></p>			
10	<p>Mobilization for WSS is conducted</p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 	<p>The total allocation of NWR budget to rural water and sanitation was 50,898,464 UGX out from which allocation to facilitate community mobilisation activities was 30,698,464 UGX, presenting 60% which was above the required percentage of 40% by WSS grant guidelines.</p>	3
	<p><i>Maximum 6 points on this performance measure</i></p>			

10

Mobilization for WSS is conducted

Maximum 6 points on this performance measure

b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.

The was a training report dated 29th April 2023 presented by the District Water Officer which indicated that WSCs were trained on the O&M in the FY 2022/2023;

- Aमित borehole in Okungur s/c WSC training was held on the 2nd February 2023.
- Adeper borehole in Acinga s/c WSC training was held on the 1st February 2023
- Oburaiteng borehole in Acowa s/c WSC training was held on the 1st February 2023
- Mabasa borehole in Kapelebyong s/c WSC training was held on the 3rd February 2023

The following 3 water projects were visited to confirm if the training of the WSCs were conducted.

1. Adeper borehole in Acinga s/c. Found Itengot Joseph (Chairperson) who confirmed that the WSC was established and trained. Water user fee collection was 1000 UGX per month per household.
2. Production well in Ajeleit RGC, Acowa s/c. The well was only drilled and casing installed. The design for motorising and piped water network was planned for the FY 2023/2024.
3. Mabasa borehole in Kapelebyong s/c. Found Arakeit Rose (Mobiliser) who confirmed that the WSC was established and trained. Water user fee collection was 2000 UGX per month per household.

Investment Management

11

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:

Score 4 or else 0

The DWO presented a water facilities asset register which was not yet updated with the newly constructed boreholes in the FY 2022/2023. The asset register for sanitation facilities was not presented.

0

11

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDPIII) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:

Score 4 or else score 0.

The district implemented six projects in the department during FY 2022/2023 out of which three projects were sampled. (i) New boreholes in Okungur sub county

In Aminit village, Airabet parish which was budgeted at shs 27,000,000 as per 4th quarter budget performance report for FY 2022/2023. The project was captured on page 132 of the DDP III, page 148 of the DDP III and page 34 of the AWP.

The Desk and Field Appraisal Reports were both dated 17th March, 2022.

(ii) New borehole at Kapelebyong sub county in Nyada parish budgeted at shs 27,000,000 as per page 132. The project was captured on page 132 of the DDP III, page 148 of the DDP III and page 34 of the AWP.

The Desk and Field Appraisal Reports were both dated 17th March, 2022.

(iii) New borehole at Acinga sub county in Adepar parish budgeted at shs 27,000,000 as per page 132. The project was captured on page 132 of the DDP III, page 148 of the DDP III and page 34 of the AWP.

The Desk and Field Appraisal Reports were both dated 17th March, 2022.

11

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2

The DWO presented community application forms for new water projects in the FY 2023/2024.

1. Application for a water source in Obur-East village, Acowa s/c signed by the area LC1 on the 14th April 2023.

2. Application for a water source in Atarukot village, Akoromit s/c signed by the area LC1 on the 25th October 2023.

3. Application for a water source in Amotot village, Obalanga s/c signed by the area LC1 on the 14 February 2023.

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>The LG had not yet conducted the field appraisals for the water and sanitation projects for the FY 2023/2024.</p>	0
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>LG had proof that water infrastructure projects for the current FY were screened for environmental and social risks/ impacts by the DEO and DCDO and incorporation of ESMPs into the BoQs as evidenced below;</p> <ul style="list-style-type: none"> • E&S Screening form for drilling of a deep well at Obur East Village on 04/07/2023 • E&S Screening form for drilling of borehole at Atarukot Village on 04/07/2023 • E&S Screening form drilling of a borehole at Agonga Village on 05/07/2023 • E&S Screening form for drilling of a borehole at Agonga Rural Growth Centre Village on 10/02/2023 	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>The water infrastructure investments for FY 2022/2023 were incorporated on page 1 of the LG approved procurement plan approved on 31st/05/2022 and the projects included;</p> <ul style="list-style-type: none"> • Drilling and installation of 4 deep boreholes and construction of the piped water supply system in Ajeleik and Ammtom parishes 	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>All the water supply and public sanitation infrastructure projects for the FY2022/2023 were approved by the Contracts Committee in the minute of the contracts committee dated 14th/07/2022 under minute CC/July/2022-2023/Appr/03</p>	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>The LG properly established the project implementation team as per the PPDA guidelines. The appointment letter by CAO dated 24/10/2023 indicated that Odima Isaac (DWO) was appointed as the contracts manager, Mr. Oule Charles (District Engineer) as the project manager, Mr. Egelu Paul as the Environment officer and Mr. Apio Jesca as the CDO.</p>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>The DWO presented the Indian Mark II design for boreholes. The following borehole were visited to confirm if they were constructed as per the standard technical designs provided;</p> <ol style="list-style-type: none"> 1. Production well in Ajeleit RGC in Acowa s/c. The well was drilled and casings of 6 inches were installed as required by the technical design. It is not yet motorised and installed with piped water networks. 2. Adepar borehole in Acinga s/c. The following measurements were checked and found to be within acceptable range in the standard technical design; <ol style="list-style-type: none"> i. Slap (apron) external diameter ii. Length of drainage channel iii. Sprout (pipe outlet) height 3. Mabasa borehole in Kapelebyong s/c. The following measurements were checked and found to be within acceptable range in the standard technical design; <ol style="list-style-type: none"> i. Slap (apron) external diameter ii. Length of drainage channel iii. Sprout (pipe outlet) height 	2

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2

The relevant technical officers carried out monthly technical supervision of WSS infrastructure projects as in the following supervision/monitoring reports and minute of site meetings.

- Sitting and drilling supervision reports of 4 deep boreholes dated 27th/03/2023
- Sitting, test pumping and apron construction supervision report dated 27th/03/2023 of 4 production wells
- Hydrogical survey supervision report dated 19/01/2023 for the 4 deep boreholes and production wells
- Minutes for the community engagement meeting held on 22nd/11/2022 at Ajeleik in Acowa s/c
- Minutes for the community engagement meeting held on 10/11/2022 at Mambasa Kapelebyong and
- Minutes for the community engagement meeting held on 2nd/11/2022 in Adepar Acinga Sub county.

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts

o If 100 % contracts paid on time: Score 2

o If not score 0

The DLG implemented two projects in the department during FY 2022/2023 out of which two projects were sampled. (i) Payment to Icon Projects Ltd for shs 66,405,000 for drilling of production wells at Ajeleik and Amoom Rural Growth Center as per payment voucher number 529775 dated 15t May, 2023

Initiation of payment was done by the DWO on 27th March, 2023, DE on 28th March, 2023; CFO, CAO, CDO and Environment Officer signed the payment on 31st March, 2023

(ii) Payment to Icon Projects Ltd for shs 94,818,664 for drilling, sitting, casting and pump testing four deep boreholes as per payment voucher number 5297705 dated 17th May, 2023 under reference KAPE/27/WRKS 2022-2023

Initiation of payment was done by the DWO on 27th March, 2023, DE on 28th March, 2023; CFO, CAO, CDO and Environment Officer signed the payment on 31st March, 2023 .

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:

Score 2, If not score 0

The LG had a complete procurement file for each water Infrastructure contract with all records as required by the PPDA Law as indicated below for the respective projects;

Sitting, drilling and installation of 4 deep boreholes (Procurement Reference no. KAPE627/WRKS/2022-2023/00008

- Evaluation report dated 4th/08/2022 with Icon projects Ltd as the best evaluated bidder at 99,809,120

- Minutes of contracts committee dated 11th/8/2022 and evaluation report approve under minute CC/Aug/2022-2023/Appr/14 and works contract signed on 26/10/2022

Construction of a piped water system (Procurement Reference no. KAPE627/WRKS/2022-2023/00009)

- Evaluation report dated 15/68/2022 with Icon projects Ltd as the best evaluated bidder at 69,900,000

- Minutes of contract committee dated 11th/08/2022 and evaluation report approval under minute CC/Aug/2022-2023/Appr/14 and works contract signed on 26th/10/2022.

Environment and Social Requirements

Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework

Maximum 3 points this performance measure

Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:

Score 3, If not score 0

LG had not recorded any grievances under water for the FY 2022/2023 however the Central Grievance Log was available coded 2022/2023

14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>The EO disseminated guidelines on water source & catchment protection and natural resource management to CDOs as evidenced by Item 6 on the agenda (Dissemination of water source protection guidelines) on unspecified page under minute 6 on unspecified page of the minutes for the dissemination of water source protection guidelines to extension workers including CDOs on 04/07/2023.</p>	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p>	<p>LG had not prepared the water source protection plans and natural resource management plans for WSS facilities constructed in the FY 2022/2023</p>	0
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 3, If not score 0</p>	<p>The following land agreements and MoUs for water sources constructed in the FY 2022/2023 were presented:</p> <ol style="list-style-type: none"> 1. Land agreement for Adapar borehole in Acinga s/c signed by the land owner Akello Mary and LCI Adengo James on 11th January 2023. 2. Land agreement for Aminit borehole in Okungur s/c signed by the land owner Opira Micheal and LCI Ekwaro Keit on 12th January 2023. 3. Land agreement for Mabasa borehole in Kapelebyong s/c signed by the land owner Anna Grace and LCI Opama Simon on 14th January 2023. 4. Land agreement for Oburaiteng borehole in Acowa s/c signed by the land owner Acai Erisanu and LCI Obore Christopher on 13th February 2023. 	3

15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects: Score 2, If not score 0	E&S Certification forms were completed and signed by the EO and DCDO prior to payments of contractors as evidenced by the E&S Certification form for the for the sitting, drilling and construction of boreholes at Aminit, Mambasa, Oburaiteng and Adepar villages by the EO and DCDO on 31/05/2023	2
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 2, If not score 0	The DCDO and EO undertook monthly monitoring of water projects in FY 2022/2023 as evidenced by the E&S Monitoring Reports for the water sector projects in FY 2023/24 by the EO and DCDO on the following dates; <ul style="list-style-type: none"> • 25/04/2023 • 05/06/2023 • 24/05/2023 	2

**Micro-scale
Irrigation
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>The LG had up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries as evidenced by the report dated 26/Jun/2023 prepared by Ejiet John William-Focal Point Person</p> <p>For the FY 2022/23, Kapelebyong district Local government was enrolled for the Micro scale irrigation program phase II. There was 10.5 acres of irrigated land for both beneficiaries and non-beneficiaries. The non-beneficiaries constituted to 5.5 acreage and the beneficiaries they constituted to 5 acreages as indicated in the data collection report dated 26/06/2023. Two demonstration sites were set out under micro scale irrigation grant beneficiary that is Ongaba Michel at Napak cell next to Napak Valley tank sized at 2.5 acres and Opecel Johnson at Acowa S/C Angerepo Village sized at 2.5 acres.</p>	2
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>According to the reports on irrigated land by the Ejiet John William-Focal Point Person written on 26/06/2023, the District in the FY 2021/22 had 3 acres of irrigated land which increased to 10.5 acres in the FY 2022/23. Therefore, the increase in the irrigated land was</p> <p>$((10.5 - 3)/10.5) \times 100 = 71.43 \%$</p>	2
2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%, score 4 • 60% - 70%, score 2 • Below 60%, score 0 	Awaiting assessment of the LLLG	0

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0	The LG received a grant of 137,585,065 that was budgeted and used according to the set-out guidelines for districts under Phase II. Awareness raising for local leaders 15,758,253(15%), Awareness raising for farmers 46,534,030 (40%), Farm visits 26,303,010 (15%), Demonstration sites 47,172,023(30%) as evidenced from the Budget performance reports dated 21/08/2023. The balance was swept back to the system.	2
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	The approved farmer Opecel John and Ongaba Micheal signed an Acceptance Form dated 11/08/2023 and 10/08/2023 confirming that equipment is working well, before the LG made payments to the suppliers	1
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0	The Agricultural Engineer quoted 41,274,919/= and the contractor Alison Consults submitted in a bid of 49,000,114/=on the 14/03/2023. A contract (contract No Kapel/627/Wrks/2022-2023/00035 was signed between Alison Consults and Kapelebyong LG on the 5/April/2023 indicating a contact sum of 48,585,065/=. Thus, the contract was within +/-20% of the Agriculture Engineers estimates.	1
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY	There was no evidence provided to show the completion date of installation micro irrigation equipment.	0
Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0 			
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	a) Evidence that the LG has recruited LLG extension workers as per staffing structure	There was no evidence that the LG recruited LLG extension workers as per the staffing structure. According to the approved structure, the LG required 54 and only recruited 9 extension workers translating to 16%	0
Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0 			

4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF • If 100% score 2 or else score 0	There was no evidence to show that the irrigation equipment met standards as defined by MAAIF since no inventory of installed micro scale irrigation equipment was availed at the time of assessment.	0
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4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	b) Evidence that the installed micro-scale irrigation systems during last FY are functional • If 100% are functional score 2 or else score 0	From the 2 sampled irrigation facilities installed in the FY 2022/2023, it was evident that they were functional as both farmers were found irrigating. The entire system was functional and the host farmers Ongaba Michel and Opecel Johnson were found irrigating.	2
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Performance Reporting and Performance Improvement

5	Accuracy of reported information: The LG has reported accurate information Maximum score 4	a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0	There was evidence that information on positions of extension workers filled was accurate as shown below; 1. In Obalanga TC, the approved structure provided for (AAHO) and as per the staff list, this position had been filled by Ekolu Emmanuel 2. In Obalanga SC, the approved structure provided for (AAO) and as per the staff list, the position was occupied by Eceru John Peter. 3. In Okungur SC, the approved structure provided for (AHO) and as per the staff list, the position had been filled by Ariko Charles	2
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5	Accuracy of reported information: The LG has reported accurate information Maximum score 4	b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0	From the visit to the site by the assessment team on 14/11/2023 revealed that the information on demonstration site status was accurate for all demonstration sites. The host farmers Ongaba Michel and Opecel Johnson were found irrigating.	2
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6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0	Four sets of Quarterly supervision and monitoring report by Ejiet John William-Focal Point Person. The Q1 report was written on 29/09/2022, Q2 on 15/12/2022, Q3 on 02/04/2023 and Q4 on 29/06/2023. The supervisions reports captured the status of the irrigated land, equipment functionality, the provision of complementary services and farmers expression of interest.	2
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0	The LG had entered up to- date LLG information into MIS as evidenced from, by the last entry of EOI as Akol Ketty farmer ID KAPELEBYONG/2023-04-11/Female/49327 from Kapelebyong S/C Nyada Parish Nyada Village. dated 04/11/2023.	1
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	c.Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0	The LG prepared quarterly reports using information compiled for LLGs in the MIS as evidenced by the Quarter 1 report dated September 29, 2022, the Quarter 2 report dated December 15, 2022, the Quarter 3 report dated April 2, 2023, and the Quarter 4 report dated June 29, 2023. All four reports showed the number of farmers who showed their expression of interest, the successful and unsuccessful farmers. Farm visits were 16, and attendance at awareness-raising events for the fourth quarter was 404.	1
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	There was no evidence that the LG developed an approved Performance Improvement Plan for the lowest performing LLGs as no evidence was availed at the time of assessment.	0
Maximum score 6				
Maximum score 6				
Maximum score 6				
Maximum score 6				

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	There was no evidence that the LG developed an approved Performance Improvement Plan for the lowest performing LLGs as no evidence was availed at the time of assessment. There was no evidence that the LG prepared an implemented Performance Improvement report as no evidence was availed at the time of assessment.	0
	Maximum score 6			

Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	There was no evidence that the LG had budgeted for extension workers as per guidelines for the FY 2023/2024 as no evidence was availed at the time of assessment.	0
	Maximum score 6			

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	ii Deployed extension workers as per guidelines score 1 or else 0	There was no evidence that the LG deployed extension workers as per guidelines for the FY 2023/2024	0
	Maximum score 6			

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0	There was no evidence that extension workers were working in the LLGs where they were deployed. For example; 1. In Obalanga TC, the staff list had names of Ekolu Emmanuel and Eceru John Peter and indeed Ekolu was appearing in the attendance of 7/11/2023 2. In Obalanga SC, the staff list contained names of Eceru John Peter (AAO) and Ekolu Emmanuel (AHO) but in the attendance of November 7 2023, all were not in the register. 3. In Okungur SC, the staff list had Echaku Herbert (AAO) and Ariko Charles (AHO) but in the attendance of August and November 2023, they were nowhere to be seen	0
	Maximum score 6			

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0	There was evidence that extension workers deployment had been published and disseminated to all noticeboards of LLGs of Obalanga TC, Obalanga SC and Okungur SC on 19th/10/2023	2
Maximum score 6				
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	a) Evidence that the District Production Coordinator has: i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0	There was no evidence that the DPO conducted appraisal for all extension workers against the agreed performance plans and submitted a copy to HRO. However, some extension workers were appraised later than 30th/June as indicated below. 1. Ariko Charles (AAHO) Okungur was appraised on 20/6/2023 2. Ongole James (AAO) Kapelebyong SC and TC was appraised on 30/6/2023 3. Ekita Raymond (AAHO) Head office was appraised on 30/6/2023 4. Alupo Suzan (AVO) Akromit SC and Kapelebyong TC was appraised on 1/7/2023 5. Eceru John Peter (AAO) Obalanga SC was appraised on 1/7/2023 6. Ekolu Emmanuel (AAHO) of Obalanga, Alito and Okungur was appraised on 19/7/2023 7. Opolot John Joseph (AAo) Akromit SC was appraised on 30/6/2023	0
Maximum score 4				
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	a) Evidence that the District Production Coordinator has; Taken corrective actions: Score 1 or else 0	There was no evidence of corrective action taken and no reason was given	0
Maximum score 4				

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>b) Evidence that:</p> <p>i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0</p>	<p>Training activities were conducted in accordance to the training plans at District level as evidenced in training reports dated 29/4/2023 and 14/05/2023. These trainings included awareness of local leaders at both LG and LLG, awareness of farmers, training of farmers and training of extension workers both online and physical. Online trainings for different modules were taken up and completed by the different DPO staff that is Ejiet John William and Ongole James (AAO) completed modules 1,5a&5b on 27/7/2022 & 13/01/2023, Opiot John (AAO) completed modules 5a&5b on 13/01/2023, Eceru John Peter (AAO) completed modules 1,3,5a&5b on 27/7/2022, 13/01/2023, 19/01/2023, and Echauku Herbert (AAO) completed modules 5a,5b&6 on 13/01/2023.</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>ii Evidence that training activities were documented in the training database: Score 1 or else 0</p>	<p>There was no evidence that training activities were documented in the training database as no evidence was availed at the time of assessment.</p>	0

Management, Monitoring and Supervision of Services.

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0</p>	<p>The LG received a grant of 137,585,065 that was budgeted and used according to the set-out guidelines for districts under Phase II. Awareness raising for local leaders 15,758,253(15%), Awareness raising for farmers 46,534,030 (40%), Farm visits 26,303,010 (15%), Demonstration sites 47,172,023(30%) as evidenced from the Budget performance reports dated 21/08/2023. The balance was swept back to the system.</p>	2
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9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	<p>There was no evidence that budget allocations had been made towards complementary services inline with the sector guidelines.</p>	0
	<p>Maximum score 10</p>			
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>There was no evidence that the co-funding was reflected in the LG budget and allocated as per guidelines for FY 2023/2024.</p>	0
	<p>Maximum score 10</p>			
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>There was no evidence that the LG used the famers co-funding since no farmer had co-funded at the time of assessment.</p>	0
	<p>Maximum score 10</p>			
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>There was no evidence that the LG disseminated information on use of the farmer co-funding since no evidence was availed at the time of assessment.</p>	0
	<p>Maximum score 10</p>			

10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 Less than 70% score 0 	<p>For FY 2022/2023 it was not applicable to monitor on a monthly basis installed micro-scale irrigation equipment. since installation of these equipment ended at the end of FY 2022/2023.</p> <p>However, from the monitoring report dated 26/06/2023 by the DPO, indicated that the DPO made a one-day monitoring of the equipment at the end of the FY 2022/2023. Both political and technical officials were involved in the exercise. In attendance a total of 27 members were present during the monitoring process as evidenced from the attendance sheet dated 26/06/2023.</p> <p>From the finding during the monitoring, it was evident that equipment was rightly installed as per the specifications with all the components. The irrigation systems installed were functional without any reported mechanism defects.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>The LG over saw technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period as evidenced in field monitoring report dated 26/06/2023.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0</p>	<p>There was evidence that the LG had provided hands- on support to the LLG extension workers during the implementation of complementary services within the FY 2022/2023 as per guidelines according to the farm visit report dated 26/06/2023. Extension workers were trained on how to use the IRR- TRACK APP, filling and documentation, collection of EIO from farmers.</p> <p>Furthermore, the level of implementation by the extension workers was ascertained as indicated in the supervision report dated 26/06/2023.</p>	2

10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	Not Applicable	0
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	From the report on training of farmers on the micro scale irrigation program, dated 14/05/2023, it was evident that the LG had conducted activities to mobilize farmers as per guidelines. 467 male and 203 female farmers were mobilized and in attendance and field photos evidenced in the 14/05/2023 report annex. Farmers were enlightened on the efficient water source for crop production to minimize loses, the embracing of the micro scale program. Furthermore, they were trained on the sustainable farming practices by optimizing wastage of water and adoption of the new technologies of farming.	2
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	From the awareness raising report for the LG and LLG stake holders dated 29/4/2023. It was evident that the district trained staff and political leaders at district and LLG levels. 104 local leaders were sensitized at district level, 326 local leaders at LLGs for S/C level leaders, 387 local leaders at the LLGs for the parish and village level leaders.	2
Investment Management				
12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines Maximum score 8	a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0	There was no evidence provided as proof that the LG had an up dated register of micro-scale irrigation equipment supplied to farmers in the FY 2022/2023 as per the format.	0

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p>	<p>There was no evidence provided as proof that the LG kept an up-to—date database of applications at the time of the assessment.</p>	0
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>There was no evidence provided as proof that the district carried out farm visits to farmers that submitted complete expressions of interest.</p> <p>Furthermore, there was no evidence of farmers signing an Agreement to proceed for quotation form.</p>	0
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>There was no evidence that the LG District Agricultural Engineer publicised the eligible farmers that they have been approved for posting on the District and LLG noticeboards</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>The micro-scale irrigation systems had not been incorporated in the LG approved procurement plan for the FY 2023/2024 as the LG had not yet received the Indicative planning Figure (IPF) for incorporation into the procurement plan</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>The LG through open bidding contracted Alison Consults for the supply and installation of the micro scale irrigation equipment for the demo sites but was not among the list of pre-qualified suppliers as per 2022/2023 list of pre-qualified suppliers</p>	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0	The LG concluded the selection of the irrigation equipment supplier as in indicated in the minutes of the contract committee for the evaluation report approval dated 16/03/2023 under CC/Mar/2022-2023/Appr/48 with Alison Consults as the best evaluated bidders.	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0	The micro-scale irrigation systems installed were approved by the Contracts Committee as per minutes of the contracts committee dated 14/07/2022 under minute CC/July/2022-2023/Appr/03 for procurement and installation of three sets of demonstration irrigation systems	1
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	The LG signed the contract with the lowest priced technically responsive irrigation equipment supplier as only two suppliers turned up for the contract and that's Alison Consults with a quotation of 48,585,065 and Arki Timers Ltd with a quotation of 80,000,000. Alison Consults with the lowest quotation was evaluated at the best bidder at 48,585,065	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	The micro-scale irrigation equipment installed was in line with the design output sheet (generated by IrriTrack App). The irrigation points were 7-10m apart and the drag horse 50m efficient for irrigation acreage coverage.	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0	From the site visits conducted on the 2 sampled demonstration sites, there was no evidence that the LG had conducted regular technical supervision of the micro scale irrigation projects by the relevant technical officers as no site books were present on the demonstration sites. However, supervision report dated 26/06/2023, filed Ejiet John William-Focal Point Person, indicated that the LG conducted regular technical supervision of the Micro-scale irrigation projects.	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	h) Evidence that the LG has overseen the irrigation equipment supplier during: i. Testing the functionality of the installed equipment: Score 1 or else 0	From the site visits conducted on the 2 sampled demonstration sites, there was no evidence that the LG had overseen the irrigation equipment supplier during testing the functionality of the installed equipment as no site books were present on the demonstration sites.	0
	Maximum score 18		However, supervision report dated 26/06/2023, filed Ejiet John William-Focal Point Person, indicated that equipment was rightly installed as per the specifications with all the components. The irrigation systems installed were functional without any reported mechanism defects Indicated.	
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	Delivery notes 019, 020,021,022 and 023 dated 20/06/2023 by the supplier and goods received note 194,195,196 dated 20/06/2023 by the LG on behalf of the approved farmer indicated that LG had overseen hand-over of the equipment to the approved farmer.	1
	Maximum score 18			
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	There was no evidence provided that the Local Government made payment of the supplier within specified timeframes subject to the presence of the Approved farmer.	0
	Maximum score 18		However, the approved farmer Opecel John and Ongaba Micheal all signed Acceptance Forms dated 11/08/2023 and 10/08/2023 indicating that the equipment was working well, thus giving a go ahead to the LG to make payment to the supplier.	
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	The LG had a complete procurement file for the supply and installation of the micro scale irrigation system with all the documents as per the PPDA requirements as indicated below;	2
	Maximum score 18		<ul style="list-style-type: none"> • Evaluation report dated 16th/03/2023 with Alison Consults as the best evaluated bidder at 48,585,065 • Minutes of contracts committee dated 17th/03/2023 for the approval of the evaluation report under minute CC/Mar/2022-2023/48(d) and works contract signed on 5th/04/2023 	

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0	There was evidence that the LG displayed details of the nature and avenues to address grievance prominently in multiple public areas dated 02/05/2022.	2
	Maximum score 6			
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	b) Micro-scale irrigation grievances have been: i). Recorded score 1 or else 0 ii). Investigated score 1 or else 0 iii). Responded to score 1 or else 0 iv). Reported on in line with LG grievance redress framework score 1 or else 0	Record of grievances indicated one case was recorded in Akoromit S/c dated 3/06/2023 by Complainant Odeke James where he was complaining as to why he was not given to host the micro scale irrigation system. A meeting was held on 22/06/2023 and he was given reasons as to why he couldn't host the project in that his water source couldn't pump 20,000 Liters per day as required.	1
	Maximum score 6			
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	b) Micro-scale irrigation grievances have been: ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	Record of grievances indicated one case was recorded in Akoromit S/c dated 3/06/2023 by Complainant Odeke James where he was complaining as to why he was not given to host the micro scale irrigation system. A meeting was held on 22/06/2023 and he was given reasons as to why he couldn't host the project in that his water source couldn't pump 20,000 Liters per day as required.	1
	Maximum score 6			
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	b) Micro-scale irrigation grievances have been: iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	Record of grievances indicated one case was recorded in Akoromit S/c dated 3/06/2023 by Complainant Odeke James where he was complaining as to why he was not given to host the micro scale irrigation system. A meeting was held on 22/06/2023 and he was given reasons as to why he couldn't host the project in that his water source couldn't pump 20,000 Liters per day as required.	1
	Maximum score 6		However, this case was not reported online with LG grievance redress frameworks.	

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>Record of grievances indicated one case was recorded in Akoromit S/c dated 3/06/2023 by Complainant Odeke James where he was complaining as to why he was not given to host the micro scale irrigation system. A meeting was held on 22/06/2023 and he was given reasons as to why he couldn't host the project in that his water source couldn't pump 20,000 Liters per day as required.</p> <p>This case was reported in line with LG grievance redress frameworks.</p>	1
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Environment and Social Requirements

15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>a) Evidence that LGs have disseminated Micro-irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.</p> <p>score 2 or else 0</p>	<p>LGs have disseminated Micro-irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste as evidenced from the MOUs signed between Opecel Johnson NIN CM760581005UQA and Ejiet William on behalf of the district on 15/08/2023.</p> <p>Another MOU was signed between Ongaba Michel NIN CM78058101UZKL and Ejiet John William on behalf of the district dated 15/08/2023.</p> <p>However, all MOUs were signed after the equipment had been installed.</p> <p>Clauses to adhere with requirements for proper storage, use, transportation, and disposal of agro-chemicals and follow E&S recommendations were evidenced on page 1of 2 of the signed MOUs.</p> <p>However, there was no evidence of land access without Encumbrance since no land tittle nor land agreement was availed at the time of assessment from the two host farmers.</p>	2
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15	Safeguards in the delivery of investments Maximum score 6	<p>b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.</p> <p>i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0</p>	<p>LG had prepared costed ESMPs for micro scale irrigation investments in FY 2022/2023 by the SEO and DCDO evidenced by the Costed ESMP of 200,000/= for the Supply and installation of irrigation demonstration equipment at Napak Cell and Alungar Village on 30/01/2022</p>	1
15	Safeguards in the delivery of investments Maximum score 6	<p>ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0</p>	<p>LG had conducted monthly monitoring of micro scale irrigation investments in FY 2022/2023 as evidenced by the E&S Monitoring Reports for the micro scale irrigation demonstration sites for farmers at Napak Cell and Alungar Village by the EO and DCDO on the following dates;</p> <ul style="list-style-type: none"> • 27/06/2023 • 26/05/2023 • 31/07/2023 	1
15	Safeguards in the delivery of investments Maximum score 6	<p>iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0</p>	<p>LG had Certification forms completed and signed by EO for micro scale irrigation investments in FY 2022/2023 as evidenced by the E&S Certification form for the Supply and installation of irrigation demonstration equipments at Napak Cell and Alungar Village on 15/06/2023</p>	1
15	Safeguards in the delivery of investments Maximum score 6	<p>iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0</p>	<p>LG had Certification forms completed and signed by DCDO for micro scale irrigation investments in FY 2022/2023 as evidenced by the E&S Certification form for the Supply and installation of irrigation demonstration equipments at Napak Cell and Alungar Village on 15/06/2023</p>	1

**Crosscutting Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	Mr. Emeru Simon currently working as substantive (SAA) at head office was assigned duties of CFO by CAO on 15/3/2022. There was no secondment	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	Mr. Ebuu Lowrance was said to be the acting District Planner but his letter of assignment was found missing on the personnel file due to poor records management. There was no secondment	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	Mr. Oule Charles was the Ag. District Engineer according to SHRO but his letter was missing on the file due to poor records management. There was no secondment	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	Mr. Egelu Paul currently working as Senior Environment Officer was assigned duties of DNRO by CAO on 6/8/2018. There was no secondment	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	Mr. Ejiet John William was the Ag. DPO assigned by CAO on 19/12/2019. There was no secondment	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	Ms. Apio Jesca currently working as substantive (CDO) of Kapelebyong SC was assigned duties of DCDO by CAO on 6/8/2018. There was no secondment	0

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	Mr. Epiu James Collins was the Ag. District Commercial Officer assigned by CAO on 4/8/2022. There was no secondment	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	The position for SPO was found vacant due to lack of wage and there was no secondment	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	Ms. Anyango Betty was substantively appointed as PO as per the appointment letter dated 31/1/2008 under Min No. 70/1/2008	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	Ms. Nawegulo Bridget currently working as SHRO was assigned duties of PHRO by CAO on 4/8/2022 and there was no secondment	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	Mr. Egelu Paul was substantively appointed as SEO as per the appointment letter dated 1/5/2019 under Min No. 13/ADSC/2019	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	k. Senior Land Management Officer /Physical Planner, score 2 or else 0	Ms. Anyait Mary Kevin was substantively appointed as SLMO as per the letter of appointment dated 3/4/2023 under Min No.11/KDSC/2021/2022 (3.1)	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	l. A Senior Accountant, score 2 or else 0	The position of SA was found vacant at the time of assessment due to wage and there was no secondment	0

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0</p>	<p>The position of PIA was found vacant at the time of assessment due to lack of wage and there was no secondment</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p>	<p>Ms. Acor Jesca Atim, a substantive SAS for Kapelebyong SC was assigned duties of PHRO- DSC by CAO on 24/1/2023 and there was no secondment</p>	0

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).

The LG had 11 SAS and some were not substantively appointed and indicated below;

1. Eteru Moses was the Ag. Town Clerk for Kapelebyong TC assigned by CAO on 1/7/2023

2. Omoding Micheal was the Ag. SAS for Obalanga SC assigned by CAO on 1/7/2023

3. Kamudan Joshua was the SAS for Okungur SC substantively appointed on 1/8/2018 under Min No. DSC/AMUR/04/06/2018 a (2) (vi)

4. Esalu Alfred was the Ag. Town Clerk for Akore TC from 1/7/2023

5. Alejo Patience Immaculate was the Ag. SAS for Alito SC since 1/7/2023

6. Otwao Solomon was substantively appointed as the SAS Akromit SC on 22/7/2019 under Min No. 52/ADSC/2019/16

7. Okello Joseph was the Ag. SAS for Acinga SC assigned on 1/7/2023

8. Acen Rodah was the Ag. SAS for acowa SC assigned by CAO on 1/7/2023

9. Acor Jesca Atim was substantively appointed as SAS for Kapelebyong SC on 1/9/2005 under Min No. DSC/KATAKWI (Your Min No. 155 (a) refers)

10. Aenu John Micheal was substantively appointed as SAS for Acinga SC on 9/8/2018 under Min No. DSC/AMUR/04/06/2018 a (2) (viii)

11. Agono Betty was substantively appointed as the SACAO for Obalanga SC on 22/7/2019 under Min No. 52/ADSC/2019/17

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

The LG had 11 CDO but due to poor records management only 7 files were presented for review.

1. Apio Jesca was substantively appointed for Kapelebyong SC on 1/11/2016 under Min No. DSC/AMUR/07/2016 (3)

2. Aujo Philomina was substantively appointed for obalanga Sc on 11/5/2020 under Min No. 6/KDSC/2020

3. Obwalinga Martin was substantively appointed for Acowa SC on 11/5/2020 under Min No. 6/KDSC/2020

4. Emuge Esther was substantively appointed for Acinga SC on 11/5/2020 under Min No. 6/KDSC/2020

5. Ibrahim Mohammed was substantively appointed for Akromit SC on 1/11/2016 under Min No. DSC/AMUR/07/2016 (4)

6. Oluport Benjamin was substantively appointed for Alito SC on 11/5/2020 under Min No. 6/KDSC/2020

7. Ediau Oyata Jonah was substantively appointed for Okungur SC on 11/5/2020 under Min No. 6/KDSC/2020

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

The LG had 11 Accounts Assistants at LLGs and all were substantively appointed as shown below;

1. Emoku Moses was substantively appointed as SAA for Kapelabyong TC on 22/7/2019 under Min No. 52/ADSC/2019/6
2. Okello Samuel Robins was substantively appointed as SAA for Head Office on 22/7/2019 under Min No. 52/ADSC/2019/6
3. Agutti Hellen Betty was substantively appointed as SAA for Obalanga SC on 22/7/2019 under Min No. 52/ADSC/2019/6
4. Amidiong Phiona Grace was substantively appointed as AA for Acowa TC on 11/5/2020 under Min No. 12/KDSC/2020
5. Aleleu Patrick was substantively appointed as AA for Head Office on 1/11/2012 under Min No. 210/2012 (i)
6. Akello Conslate Brenda was substantively appointed as AA for Akromit SC on 11/5/2020 under Min No. 4/KDSC/2020
7. Odeng James Peter was substantively appointed as SAA for Kapelebyong SC on 1/8/2018 under Min No. DSC/AMUR/04/06/2018 a (22) (i)
8. Odingar Francis was substantively appointed as AA for Okungur SC on 11/5/2020 under Min No. 4/KDSC/2020
9. Olaki Stephen was substantively appointed as SAA for Acowa SC on 22/7/2019 under Min No. 52/ADSC/2019/6
10. Atepu Faustino was substantively appointed as SAA for Head office on 1/8/2018 under Min No. DSC/AMUR/04/06/2018 a (22) (v)
11. Emeru Simon was substantively appointed as SAA for Head Office on 22/7/2019 under Min No. 52/ADSC/2019/20

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	For the Natural Resources Department, Shs 188,610,247 was warranted as reflected on page 15 of the draft final accounts for FY 2022/2023.	2
	Maximum score is 4	a. Natural Resources department, score 2 or else 0	Shs 188,610,247 was released as detailed on page 15 of the draft final accounts for FY 2022/2023. Performance was therefore 100% as required.	
3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	As for the Common Based Services Department, Shs 143,977,956 was warranted as reflected on page 15 of the draft final accounts for FY 2022/2023.	2
	Maximum score is 4	b. Community Based Services department. score 2 or else 0.	Shs 143,977,956 was however released as detailed on page1 15 of the draft final accounts for FY 2022/2023. Performance was 100% as required.	
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0	LG had carried out Environment and Social Screening of DDEG projects in FY 2022/2023 as evidenced below; • E&S Screening form for the spot improvement (swamp raising) of Acinga Road by the EO and DCDO on 07/072022 • E&S Screening form for the mechanised maintenance of Olobai road by the EO and DCDO on 07/072022	4
	Maximum score is 12			
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),	The DDEG projects that were implemented in the FY 2022/2023 did not require ESIA given its small scope according to Schedule 5 of the National Environment Act No. 05 of 2019	4
	Maximum score is 12	score 4 or 0		

4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>	<p>c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;</p> <p>score 4 or 0</p>	<p>LG had prepared a Costed ESMP for DDEG projects that were implemented in FY 2022/2023 using the Discretionary Development Equalization Grant (DDEG) by the DDEO & DDCDO as evidenced by the Costed ESMP of 400,000/= for the spot improvement (swamp raising) of Acinga Road and mechanised maintenance of Olobai road on 15/02/2023</p>	4
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Financial management and reporting

5	<p>Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.</p> <p>Maximum score is 10</p>	<p>If a LG has a clean audit opinion, score 10;</p> <p>If a LG has a qualified audit opinion, score 5</p> <p>If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0</p>	<p>Kapelebyong DLG had Un-qualified Auditor General's opinion in the FY 2022/2023.</p>	10
6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p> <p>maximum score is 10</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p> <p>score 10 or else 0.</p>	<p>The DLG provided information to the PS/ST in respect of the status of implementation of the Auditor General findings in respect of FY 2021/2022 through CAO's letter dated 23rd February, 2023 under reference CR/103/10. The letter was copied to the PS MOLG, IAG, RDC, Accountant General and Chairman of the DLG. The CAO's letter was acknowledged by Internal Auditor General and MOFPED on 24th February, 2023.</p> <p>Similarly, the CAO's letter to PS/ST in response to findings by the IAG in FY 2021/2022 was dated 18th November, 2022 under reference CR/103/10. The letter was copied to the RDC and acknowledged by the Accountant General, IAG on 24th November, 2022. There were three queries which had been cleared by the time of the assessment.</p>	10

7	Evidence that the LG has submitted an annual performance contract by August 31st of the current FY Maximum Score 4	If the LG has submitted an annual performance contract by August 31st of the current FY, score 4 or else 0.	The DLG submitted the Annual Performance Contract through CAO's letter dated 11th July, 2023 under reference CR/102/1. The letter was copied to PS OPM, RDC and the PS MOLG. There was no evidence acknowledging receipt of the submitted Annual Performance Contract.	0
8	Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year maximum score 4 or else 0	If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year, score 4 or else 0.	The Annual Performance Report for FY 2022/2023 was submitted by the DLG through the PBS system on 2nd August, 2023 within the prescribed time frame as required. The Annual Performance Report was approved by MOFPED on 2nd August, 2023.	4
9	Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year Maximum score is 4	If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year, score 4 or else 0.	The DLG submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of FY2022/2023 by August 31st in the current FY 22023/2024. Submission of the quarterly reports was done through the PBS system as detailed below: Quarter One on 23rd December, 2022; Quarter Two on 7th February, 2023; Quarter Three on 23RD May, 2023; Quarter Four on 2nd August, 2023. Submission of reports was done within the prescribed time frame.	4

**Education Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	Mr. Okare Olaki Samson, a substantive Senior Inspector of Schools was assigned duties of DEO by CAO on 6/8/2018. There was no secondment	0
<i>The Maximum Score of 70</i>				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	b) All District/Municipal Inspector of Schools, score 40 or else 0.	<p>1. Mr. Okare Olaki Samson was substantively appointment as senior inspector of schools as per the letter of appointment dated 1/5/2019 under Min No. 14/ADSC/2019</p> <p>2. Mr. Olinga Stephen was substantively appointed as Inspector of schools as per the appointment letter dated 27/7/2019 under Min No. 52/ADSC/2019/14</p>	40
<i>The Maximum Score of 70</i>				
Environment and Social Requirements				
2	Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)	If the LG carried out: a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.	<p>LG had carried out Environmental, Social and Climate Change Screening of all education projects prior to commencement of all civil works for FY 2022/2023 as evidenced below;</p> <ul style="list-style-type: none"> • E&S Screening form for the renovation of a classroom block at Amaseniko P/S by the EO and DCDO on 03/01/2023 • E&S Screening form for the renovation of a classroom block at Adepar P/S at Acanga P/S by the EO and DCDO on 03/01/2023 • E&S Screening form for the construction of a classroom block at Airbet P/S by the EO and DCDO on 04/01/2023 	15
<i>The Maximum score is 30</i>				

2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:
b. Social Impact Assessments (ESIAs) , score 15 or else 0.

All education projects that were implemented in FY 2022/2023 did not require ESIAs given their small scope, according to the National Environment Act NO. 05 of 2019

The Maximum score is 30

Health Minimum Conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.</p>	<p>Dr. Eudu James was not substantively appointed. He was rather assigned by CAO as Ag. DHO on 26/9/2022. There was no staff seconded</p>	0
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0</p>	<p>Ms. Walakira Margaret Emodu was substantively appointed as ADHO Maternal as per the letter of appointment dated 5/6/2012 under Min No. 174/2012 (a)</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>c. Assistant District Health Officer Environmental Health, score 10 or else 0.</p>	<p>Mr. Jilong Paul Omaido (ADHO – Environmental Health) had his file missing due to poor records Management and there was no any other staff seconded</p>	0
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.</p>	<p>The position was found vacant at the time of assessment due to lack of wage</p>	0
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>e. Senior Health Educator, score 10 or else 0.</p>	<p>The position was not substantively filled. However, Abejja Edith Akello was assigned duties of District Health Educator by CAO on 20/8/2019. There was no staff seconded</p>	0

1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>Mr. Etori Festo was substantively appointed as a Biostatistician as per the letter of appointment dated 22/7/2019 under DSC Min No. 52/ADSC/2019/8</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>Mr. Ocan Francis was substantively appointed by CAO as District Cold Chain Technician as per the appointment letter dated 21/12/2007 under DSC Min No. 36/2007</p>	10
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>i. Principal Health Inspector, score 20 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>j. Health Educator, score 20 or else 0</p>		

Environment and Social Requirements

2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>LG had carried out Environmental, Social and Climate Change of the only 02 Health projects for FY 2022/2023 as evidenced below;</p> <ul style="list-style-type: none"> • E&S Screening form for the construction of Akoromit HC III by the EO and DCDO on 25/11/2022 • E&S Screening form for the upgrade of Alito HCII to HC III by the EO and DCDO on 15/11/2022 • E&S Screening form for the construction of Staff House at Kapelabyong HC IV by the EO and DCDO on 05/01/2023 	15
2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>b. Social Impact Assessments (ESIAs) , score 15 or else 0.</p>	<p>The only health project for FY 2022/2023 did not require ESIAs given their small scope according to the NEA No. 05 of 2019</p>	15

**Micro-scale Irrigation
Minimum Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation <i>Maximum score is 70</i>	If the LG has recruited; a. the Senior Agriculture Engineer <i>score 70 or else 0.</i>	The position was found vacant due to lack of wage	0
Environment and Social Requirements				
2	New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed. <i>Maximum score is 30</i>	If the LG: Carried out Environmental, Social and Climate Change screening score 30 or else 0.	LG had carried out Environmental, Social and Climate Change screening of the micro scale irrigation investments for FY 2022/2023 as evidenced by the E&S Screening forms developed and signed by the EO and DCDO as follows; • Supply and installation of irrigation demonstration equipment at Napak Cell on 25/07/2022 • Supply and installation of irrigation demonstration equipment at Alungar Village on 25/07/2022	30

Water & Environment Minimum Conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	Mr. Odima Isaac was substantively appointed as CE(w) as per the appointment letter dated 22/7/2019 under Min No.52/ADSC/2019/19	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	The position was not on the approved staff structure	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	The position was vacant because of lack of wage	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	The position was not on the approved staff structure.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	The position of environment officer was vacant due to wage	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	f. Forestry Officer, score 10 or else 0.	Mr. Okao James Brown was substantively appointed as Forestry Officer as per the appointment letter dated 22/7/2019 under DSC Min No.52/ADSC/2019/24	10

Environment and Social Requirements

2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	If the LG: a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.	LG had carried out Environmental, Social and Climate Change screening as follows; • E&S Screening form for the sitting, drilling and construction of a borehole at Adepar village by the EO and DCDO on 29/07/2022 • E&S Screening form for sitting, drilling and construction of a borehole at Oburaiteng village by the SEO and DCDO on 29/07/2022 • E&S Screening form for the for sitting, drilling and construction of a borehole at Mambasa Village EO and DCDO on 29/07/2022 • E&S Screening form for the for sitting, drilling and construction of a borehole at Aमित village by the EO and DCDO on 29/07/2022	10
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.	All water projects that were executed in FY 2022/2023 did not require ESIAs given their small scope according to the NEA No. 5 of 2019	10
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.	The DWO presented an abstraction permit which was valid for a period of 1-year Fri 1st July 2022 to Fri 30 June 2023. The groundwater permit number KAM45/DP00983/2022/RR was issued to ICON PROJECTS LIMITED for drilling boreholes.	10