



LGMSD 2022/23

Kalaki District

(Vote Code: 635)

| Assessment | Scores |
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| Crosscutting Minimum Conditions | 80% |
| Education Minimum Conditions | 70% |
| Health Minimum Conditions | 70% |
| Water & Environment Minimum Conditions | 90% |
| Micro-scale Irrigation Minimum Conditions | 100% |
| Crosscutting Performance Measures | 85% |
| Educational Performance Measures | 89% |
| Health Performance Measures | 86% |
| Water & Environment Performance Measures | 86% |
| Micro-scale Irrigation Performance Measures | 83% |

**Crosscutting
Performance
Measures**

| No. | Summary of requirements | Definition of compliance | Compliance justification | Score |
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| Local Government Service Delivery Results | | | | |
| 1 | <p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p> | <ul style="list-style-type: none"> Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): If so: Score 4 or else 0 | <p>The DLG implemented one project that was funded by DDEG during FY 2022/2023. The project: Reshaping of Abalang – Kaburuburu - Osikoi Road for 8 kms. The project was under DDEG reference 048159 – District and Community Access Roads maintenance. The project was functional and used for the purpose intended as it catered for good communication to communities to enable them access markets, medical services and schools among others.</p> | 4 |
| 2 | <p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p> | <p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> By more than 5%, score 3 1 to 5% increase, score 2 If no increase, score 0 <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p> | <p>In 2022, the average score in the overall LLG performance assessment was 72% and in 2023, the average score in the overall LLG performance assessment was 91%, giving a variance of 19%</p> | 3 |
| 2 | <p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p> | <p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> If 100% the projects were completed : Score 3 If 80-99%: Score 2 If below 80%: 0 | <p>The DLG implemented one project that was funded by DDEG during FY 2022/2023. The project: Reshaping of Abalang – Kaburuburu - Osikoi Road for 8 kms. The project was completed in FY 2022/2023. The project was completed as detailed in the District Engineer’s report dated 27th June, 2023; Engineer’s Project Completion Certificates, Annual Performance Report page 67 and AWP page 22.</p> | 3 |

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| 3 | Investment Performance Maximum 4 points on this performance measure | a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines: Score 2 or else score 0. | The DLG implemented one project that was funded by DDEG during FY 2022/2023. The project was the Reshaping of Abalang – Kaburuburu -Osikoi Road for 8 kms. The project was completed in FY 2022/2023. The project was budgeted at shs 37,903,000 as per district approved budget page 18. The project was implemented through force account and all funds as budgeted were spent accordingly. Total expenditure on the project amounted to shs 37,903,000 as per draft final accounts for FY 2022/2023; Annual Performance Report, and the AWP. | 2 |
| 3 | Investment Performance Maximum 4 points on this performance measure | b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates, score 2 or else score 0 | The percentage contract price variations couldn't be computed as the LG did not procure a contractor to undertake the work. The DDEG-funded project for the reshaping of an 8Km Abalang-Kaburuburu-Osikoi road was implemented in FY 2022/2023 using the force account method of worker execution at an amount of 37,903,000 and the 2021/2022 DDEG guideline page 12 does not provide for use of force account (FAC) for DDEG infrastructure projects. | 0 |

Performance Reporting and Performance Improvement

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| 4 | Accuracy of reported information Maximum 4 points on this Performance Measure | a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate, score 2 or else score 0 | There was evidence that information on the positions filled in LLGs as per minimum staffing standards was accurate. Evidence was obtained from the 3 LLGs which were sampled and visited. Below were the findings; 1. In Otuboi TC, Ojuu Bernard was working as the Town Clerk and on the staff attendance of 19/5/2023, he reported for duty at 8:30am 2. In Ogwole SC, Edwonu Simon was working as the Senior Assistant Accountant and in the staff attendance of 13/02/2023, he reported for duty at 9:30am 3. In Kalaki SC, Opio Hanington was working as the Senior Assistant Chief Administrative Officer and in the staff attendance of 17/5/2023, he reported for duty at 9:07am | 2 |
| 4 | Accuracy of reported information Maximum 4 points on this Performance Measure | b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG: • If 100 % in place: Score 2, else score 0. Note: if there are no reports produced to review: Score 0 | The DLG implemented one project that was funded by DDEG during FY 2022/2023. The project: Reshaping of Abalang – Kaburuburu - Osikoi Road for 8 kms. The project was completed in FY 2022/2023. The project was budgeted at shs 37,903,000 as per district approved budget page 18. The project was implemented through force account and all funds as budgeted were spent accordingly. Total expenditure on the project amounted to shs 37,000,000 as per draft final accounts for FY 2022/2023; Annual Performance Report and AWP. | 2 |

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| 5 | <p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p> | <p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> | <p>The LG did not conduct a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise. One sub county (Ogworro Sub county) had a deviation greater than +-10%, as indicated below.</p> <p>Kalaki Sub county scored 97% as per the LG, 98% as per the IVA and giving a variance of 1%.</p> <p>Anyara Sub county scored 92% as per the LG, 95% as per the IVA and giving a variance of 3%.</p> <p>Ogworro Sub county scored 89% as per the LG, 78% as per the IVA and giving a deviation of - 11% greater than -10%.</p> <p>Otuboi TC scored 88% as per the LG, 89% as per the IVA and giving a deviation of 1%.</p> | 0 |
| | | score 4 or else 0 | | |
| | | <p>NB: The Source is the OPAMS Data Generated by OPM.</p> | | |

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| 5 | <p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p> | <p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> | <p>There was evidence that the district developed Performance Improvement Plans for at least 30% of the lowest performing LLGs for current FY, based on the previous assessment results. According to the LLGs Performance Assessment Results Summary 2021/22, the lowest performing LLGs were six (6) which included;</p> <ol style="list-style-type: none"> 1. Ochelakur SC - 51% 2. Otuboi TC - 58% 3. Kalaki TC - 60% 4. Ogwalo SC - 64% 5. Anyara SC - 66% 6. Otuboi SC - 67% <p>A critical analysis was under taken to identify factors which caused poor performance in the above mentioned LLGs. Some were Inadequate knowledge about LGPA Manual, poor record keeping and documentation, lack of manpower at LLGs. Its upon such background that PIPs were developed to address the gaps and boost performance. Some of the PIPs were;</p> <ol style="list-style-type: none"> 1. To conduct staff trainings on LGPA manual, 2. Offer support supervision 3. Fill up manpower gaps at LLGs | 2 |
| | | Score: 2 or else score 0 | | |

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| 5 | <p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p> | <p>c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:</p> <p>Score 2 or else score 0</p> | <p>There was evidence that the district implemented PIP for the 30% lowest performing LLGs in the previous FY which boasted performance of these LLGs in this current FY. For stance,</p> <ol style="list-style-type: none"> 1. LGPA training and support supervision were undertaken from 19th June to 23rd June 2023 across all the lowest performing LLGs. 2. All staff who were in acting positions by then were substantively appointed which boasted their morale hence better performance. <p>It was therefore not surprising to find that performance improved as follows;</p> <ol style="list-style-type: none"> 1. Ochelakur SC - 51 - 90% 2. Otuboi TC - 58 - 88% 3. Kalaki TC - 60 - 82% 4. Ogwalo SC - 64 - 89% 5. Anyara SC - 66 - 92% 6. Otuboi SC - 67 - 84% | 2 |
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Human Resource Management and Development

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| 6 | <p>Budgeting for and actual recruitment and deployment of staff</p> <p>Maximum 2 points on this Performance Measure</p> | <p>a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.</p> <p>Score 2 or else score 0</p> | <p>There was evidence that the LG consolidated and submitted the staffing requirements for the coming FY 2023/24 to the MoPS by 30th September of the current FY. Evidence obtained from HRM revealed that the recruitment plan and requisition of wage funds dated 15/6/2022 worth 4,196,033,856/= was submitted to PS and received on 22/6/2022</p> | 2 |
| 7 | <p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p> | <p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p> <p>Score 2 or else score 0</p> | <p>There was evidence that the LG conducted tracking and analysis of staff attendance. Evidence was obtained from staff attendance register and monthly attendance analysis. For example,</p> <ul style="list-style-type: none"> • On 02/11/2022, Otyek Godfrey- Senior Assistant Accountant reported for duty at 7:57am. • Secondly, attendance analysis was being done by HRM on a monthly basis. According to the month of November 2022, Otyek Godfrey - Snr. Assistant Accountant was present for 9 days out of 22 days in the month scoring 40%. There was evidence of submission of attendance to CAO on monthly basis. | 2 |

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| 7 | <p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p> | <p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p> | <p>There was evidence that the LG conducted appraisal for HODs though the timeframe was not complied with as indicated below;</p> <ol style="list-style-type: none"> 1. Ongica Anthony (Ag.Internal Auditor) was appraised by CAO on 4/8/2023 2. Eryau Joseph (DPO) was appraised by CAO on 1/8/2023 3. Atim Harriet Mirriam (Ag.DHO) was appraised on 8/8/2023 4. Ongom Oscar (Ag.DNRO) was appraised on 8/8/2023 5. Ayeto Beatrice (Ag.District Commercial Officer) was appraised on 20/7/2023 6. Okello Joseph Atikoro (DCDO) was appraised on 3/7/2023 7. Akutu Max (Ag.CFO) was appraised on 10/8/2023 8. Omagor Chalaire (Ag.DEO) was appraised on 20/7/2023 9. Emalu Francis (Ag.District Engineer) was appraised on 24/8/2023 10. Bongonyinge Abdallah (Civil Engineer Water) was appraised on 11/8/2023 | 1 |
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| 7 | <p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p> | <p>ii. (in addition to "a" above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p> | <p>The LG implemented administrative rewards and sanctions. The rewards and sanctions Committee was established and composed of six members as indicated below;</p> <ol style="list-style-type: none"> 1. Kitutu Herbert Fredrick (DCAO) -Chairperson 2. Atim Mirriam Hariet (Ag.DHO) 3. Ongom Oscar (SMLO) 4. Omagor Chalaire (Ag.DEO) 5. Amulen Mary Magdelene (SACAO) 6. Ogwere Simon (HRO) <p>The committee held a meeting on 12/6/2023 in the District Board Room. The aim of the meeting was to induct members about their roles following their appointment on to the committee. The meeting ended at 1:30pm</p> | 1 |
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| 7 | <p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p> | <p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p> | <p>There was evidence that the LG had an established and functional consultative committee to deal with staff grievances. Members on the committee included;</p> <ol style="list-style-type: none"> 1. Ogwere Simon (HRO) 2. Erau Andrew (Senior Planner) 3. Omagor Chalaire (Ag.DEO) 4. Atikoro Joseph (DCDO) 5. Emunyu William (PACAO) 6. Opio Hannington (SACAO) 7. Ogwal Kenneth (Ag. Snr Procurement Officer) 8. Dr. Ocoma Francis (PVO) 9. Otyek Geoffrey (SAA) 10. Ongom Oscar (Snr.Lands Officer) 11. Engangu Simon (Chairperson Kalaki Teachers Association) <p>On 30/03/2023, the committee held a meeting at 11:00am in the District Council Hall to listen to complaints raised by secretaries for Cleaning Materials and Stationeries led by secretary for education under Min No. DCC/MIN/04/05/30/03/2023</p> | 1 |
| 8 | <p>Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p> | <p>a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:</p> <p>Score 1.</p> | <p>There was no evidence that 100% of staff recruited during 2022/2023 accessed payroll not later than two months after appointment. The LG recruited 79 staff and only 70 accessed payrolls within the recommended time which implies 88%. Some of the staff who never got onto payroll on time included;</p> <ol style="list-style-type: none"> 1. Opio Quinto (Treasure) who joined on 29/5/2023 and accessed payroll in October 2023 2. Adopa John Micheal (HRO) joined 29/5/2023 and accessed payroll in October 2023 3. Ejuu Bernard (Principal Township Officer) joined 1/2/2023 and accessed payroll in May 2023 4. Eyesu Eugene (ANO) joined on 2/5/2023 and accessed payroll in October 2023 5. Amula Damali (Enrolled Nurse) joined on 6/6/2023 and accessed payroll in October 2023 | 0 |

Pension Payroll management

Maximum 1 point on this Performance Measure or else score 0

a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:

Score 1.

Pension & Gratuity computation sheet There was evidence that 100% of staff that retired during 2022/2023 all accessed pension payroll not later than two months after retirement. The LG retired 14 staff as indicated below;

1. Emesu Julius Nelson (Educ Asst. II) retired on 22/11/2022 and accessed pension payroll in December 2022

2. Eriatu Peter Ray (Educ. Asst II) retired on 18/12/2022 and accessed pension payroll in January 2023

3. Eryau Joseph (DPO) retired on 30/6/2023 and accessed pension payroll in July 2023

4. Egulu Charles (Nursing Assistant) retired on 8/10/2022 and accessed pension payroll in November 2022

5. Okello George Stephen (Head Teacher Primary) retired on 10/1/2023 and accessed pension payroll in February 2023

Management, Monitoring and Supervision of Services.

N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery

Maximum 6 points on this Performance Measure

a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:

Score 2 or else score 0

Direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in FY 2022/2023.

The DLG received DDEG funds in two quarters only; quarter two and quarter three. The total amount that was received during FY 2022/2023 amounted to shs 95,127,922 as per page 8 of the approved district budget for FY 2022/2023.

Examples of DDEG transfers to LLGs during FY 2022/2023:

(i) Shs 5,770,563 was transferred to Apapai sub county on payment voucher number 2067481 dated 14th November, 2022 in quarter two. (ii) Shs 1,211,719 was transferred to Ochelakur sub county on payment voucher number 2072408 dated 14th November, 2022 in quarter two. (iii)) Shs 12,196,646 was transferred to Bulubul sub county on payment voucher number 3745931 dated 20th February, 2023 in quarter three. (iv) Shs 6,594,929 was transferred to Kalaki sub county on payment voucher number 3748542 dated 20th February, 2023 in quarter three.

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| 10 | <p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p> | <p>b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).</p> <p>Score: 2 or else score 0</p> | <p>The DLG did not receive funding from DDEG during quarter one and quarter four in FY 2022/2023. In quarter two, the DLG received communication from PS/ST on cash limits on 30th September, 2022 under reference BPD/155/01.Warranting was done on 3rd October, 2022. Invoicing was done on 5th October, 2022. Transfers to LLGs was done on 5th October, 2022. Communication to LLGs by the CAO was done on 7th October, 2022.</p> <p>In quarter three, the DLG received communication from PS/ST on cash limits on 4th January, 2023 under reference MEP.50/268/01/155/01.Warranting was done on 9th January, 2023. Invoicing was done on 12th January, 2023. Transfers to LLGs was done on 12th January, 2023. Communication to LLGs by the CAO was done on 16th January, 2023.</p> <p>The DLG did timely (5 working days from the date of receipt of releases from MoFPED) warranting /verification of direct DDEG transfers to LLGs in accordance to their requirements of the budget</p> | 2 |
| 10 | <p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p> | <p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:</p> <p>Score 2 or else score 0</p> | <p>There was no evidence that the LG invoiced and communicated all DDEG transfers for 2022/2023 to LLGs within 5 working days from the date of funds release in each quarter. For stance on 1/11/2022, the Principal Chief Administrative Officer wrote to CAO about transfer of quarter two (Q2) funds released FY 2022/2023 totalling to 98,620,757/= where 51,056,796 was for non-wage and 47,563,961 was for DDEG. However, when the assessor checked with LLGs (Kalaki Sc) I discovered that Q2 releases totalling to 4,089,765/= was received on their account No. 01473500239589 on 23/12/2022 which was beyond the recommended 5 working days</p> | 0 |
| 11 | <p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p> | <p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p> | <p>The District supervised and mentored all LLGs in the District at least once per quarter consistent with guidelines: The activity was done on quarterly basis, various issues were discussed and reports accordingly produced.</p> <p>In quarter one, mentoring and supervision report was produced on 24th September, 2022. Issues discussed included planning and budgeting in a LG. In quarter two, the report was produced on 20th December, 2022 and issues discussed covered review of the district development plan. In quarter three, the report was produced on 20th February, 2023 and covered assessment of local governments. In quarter four, the report was produced on 30th June, 2023 and covered PDM issues as well as the District Development Plan.</p> | 2 |

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| 11 | <p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p> | <p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p> | <p>The results/reports of support supervision and monitoring visits were discussed in the DTPC, used by the District to make recommendations for corrective actions and followed-up:</p> <p>The DTPC meeting held on 21st February, 2023 discussed quarter three supervision and mentoring report under minute reference MIN 05/DTPC/21/02/2023. The DTPC meeting held on 5th July, 2023 discussed quarter four mentoring and supervision report for quarter four under minute reference MIN06/DTPC/JULY/23.</p> | 2 |
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Investment Management

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| 12 | <p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p> | <p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</p> | <p>The DLG maintained an up dated register to 30th September, 2023. All categories of assets like buildings, furniture, equipment, land, motor cycles were captured in the computerised assets register under IFMS. Examples: (i) Toyota Hilux 6 pickup valued at shs 200,000,000 and purchased on 6th November, 2022 registration number UG 6708M was captured on page 71 of the computerised assets register. (ii) Motor cycle registration number UG 478 7R valued at shs 18,000,000 was captured in the assets register page 41. (iii) OPD Block in Otuboi TC valued at shs 130,000,000 was captured in the computerised assets register page 83.</p> | 2 |
| 12 | <p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p> | <p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p> | <p>The Board of Survey report for the DLG for FY 2021/2022 was submitted to the Accountant General through CAO's letter dated 17th August, 2022 under reference CR/108/1. The CAO's letter was copied to PS MOLG, IAG and OAG. Recommendations that were raised in the Board of Survey Report for FY 2021/2022 included among others engraving of the district assets, up dating of the assets registers, construction of pit latrine at Otuboi TC; repair of solar system at Anyara HC III. All the recommendations had been cleared at the time of the assessment.</p> | 1 |

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.

There was a functional Physical Planning Committee that was constituted and appointed by the CAO with 13 members. The committee convened meetings on quarterly basis. Meetings were held as detailed below and accordingly submitted to MLHUD as provided hereunder:

In quarter one, the Physical Planning Committee met on 4th November, 2022 and minutes were submitted on 31st January, 2023; In quarter two, the Committee met on 17th January, 2023 and minutes were submitted on 28th January, 2023; In quarter three, the Committee met on 4th May, 2023 and minutes were submitted on 29th June, 2023; in quarter four, the Committee met on 7th June, 2023 and minutes were submitted on 29th June, 2023.

Members of the Physical Planning Committee were appointed by the CAO vide letter dated 20th May, 2021 referenced CR/156/2. The members:

1. The Chief Administrative Officer (CAO) and chair person.
2. Physical Planner
3. Staff Surveyor
4. Superintendent of Works/Roads Engineer
5. DEO
6. Agriculture Officer
7. Water Officer
8. DCDO
9. DHO
10. Senior Environment Officer
11. District Natural Resources Officer
13. Town Clerk. There was no evidence that the Physical Development Plan was in place.

The Building Plan Registration Book was in place and up dated to 11th May, 2023.

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| 12 | <p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p> | <p>d.For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> | <p>The district implemented one project funded by DDEG during FY 2022/2023. The project name was Reshaping of Abalang -Kaburuburu -Osikoi Road 8kms which was budgeted at shs 37,903,000 as detailed on page 18 of the district approved budget for FY 2022/2023. The project was captured on page 112 of the DDP III under the heading of "Improved Infrastructure, ICT and Human Resource facilities". The project was captured on page 17 of the AWP for FY 2022/2023. The desk appraisal report was dated 12th June, 2022 whereas the field appraisal report was dated 20th July,2022.</p> | 2 | |
| <p>Score 2 or else score 0</p> | 12 | <p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p> | <p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> | <p>The DLG conducted the appraisal reports as follows:</p> <p>Field report dated 20th July, 2022 and desk report dated 12th June, 2022. Environmental issues were adequately covered as there was only one project funded under DDEG. Customized design for investment projects of FY 2022/2023 was adequately addressed.</p> | 2 |
| <p>Score 2 or else score 0</p> | | | | | |

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| 12 | <p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p> | <p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p> | <p>The DLG implemented two projects funded by DDEG in current FY 2023/2024.</p> <p>(i) The first project name is Construction of VIP Drainable Latrines of 3 stances with attached urinals at Kalaki District Headquarters which was budgeted at shs 17,000,000 under reference 008155 as per approved district budget.</p> <p>The project was captured on page 112 of the DDP III and page 10 of the AWP for FY 2023/2024.</p> <p>(ii) The second project was Paving of Administration Compound Phase One which was budgeted at shsh 16,353,930 as per page 23 of the district approved budget, page 112 of the DDP III and page 11 of the AWP</p> <p>The desk appraisal reports for both projects were dated 28th July, 2023 where as the field appraisal reports were dated 18th August ,2023.</p> <p>The project profiles with costing were developed and discussed by the TPC in a meeting held on 14th September, 2023 under minute reference 4/SEPTEMBER/DTPC/2023.</p> | 1 |
| 12 | <p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p> | <p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p> | <p>LG had screened for environmental and social risks/impact and put mitigation measures were required before being approved for construction using checklists for FY 2023/2024 as evidenced by the following screening form by the EO & DCDO;</p> <ul style="list-style-type: none"> • Spot improvement of Otuboi-Kakure Road on 14/09/2023 • Proposed construction of Lowcost sealing of Kalaki Headquarter Road-0.5km on 07/09/2023 • Phased construction of a health drug store at DHO's office on 12/09/2023 | 2 |
| 13 | <p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p> | <p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p> | <p>There was evidence that Kalaki DLG incorporated all FY 2023/2024 infrastructure projects to be implemented using DDEG in the LG approved procurement plan approved on 14th /7/ 2023 by the CAO as indicated on page 10 of the procurement plan. Such projects include; Construction of a 3 stance drainable pit latrine at the district headquarters, Boundary opening of the land at the district headquarters and Kalaki HC IV, Phase I construction health drugs stores at DHO's office and among other projects indicated on page 10 of the procurement plan</p> | 1 |

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| 13 | Procurement, contract management/execution Maximum 8 points on this Performance Measure | b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0 | All the infrastructure projects for the Fy 2023/2024 had been approved by the contract committee under minute number CC/MIN/07/06/02/06/23/2022/2023-6(1) dated 02/06/2023. | 1 |
| 13 | Procurement, contract management/execution Maximum 8 points on this Performance Measure | c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines: Score 1 or else 0 | The LG properly established the Project Implementation Team as per sector guidelines. The CAO as per the appointment letters dated 7th/03/2023 appointed Emalu Francis as the project manager, Atikoro Joseph as CDO, Oluka Samual as labour officer, Alilim Cate as the Environment officer and Odioyo Adii Peter as the clerk of works. | 1 |
| 13 | Procurement, contract management/execution Maximum 8 points on this Performance Measure | d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer: Score 1 or else score 0 | The project implemented under DDEG in the Fy 2022/2023 was the reshaping of Abalang-Kaburuburu-Osikai road. The field observations and measurements showed that there were no defects on the road and the implementation and design was done using the ministry of works road design manual, the installed culvert type and size in the different road sections was 600mm diameter concrete culverts as per the designs and the road camber was put at 8% to enable road drainage. | 1 |
| 13 | Procurement, contract management/execution Maximum 8 points on this Performance Measure | e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0 | The LG relevant technical officers provided supervision of the road infrastructure project prior to verification and certification of works as evidenced in the joint supervision report dated 27/6/2023 for the reshaping of the Abalang-Kaburuburu-Osikai road with participation of all the relevant technical officers; Emalu Francis as the project manager, Atikoro Joseph as CDO, Oluka Samual as labour officer, Alilim Cate as the Environment officer. | 2 |
| 13 | Procurement, contract management/execution Maximum 8 points on this Performance Measure | f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement): Score 1 or else score 0 | The DDEG project was implemented on Force Account (FAC) and therefore there was no issuance of completion and payment certificates | 0 |

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| 13 | Procurement, contract management/execution Maximum 8 points on this Performance Measure | g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law: Score 1 or else 0 | The DDEG project was implemented on Force Account (FAC) and therefore there was no complete procurement file. | 0 |
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Environment and Social Safeguards

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| 14 | Grievance redress mechanism operational. Maximum 5 points on this performance measure | a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant. Score: 2 or else score 0 | <p>LG had designated a person to coordinate response to feed-back (grievance / complaints) as evidenced by the assignment of duty letter of Mr Atikoro Joseph Okello the DCDO dated 01/10/2021 by the CAO and the acceptance letter dated 01/07/2021</p> <p>LG had also established a Central Grievance Redress Committee as evidenced by the following appointment letters of the 9 committee members by the CAO on 07/07/2021 as follows;</p> <ul style="list-style-type: none"> • Mr Emunyu William (CAO) - Committee Chairperson • Mr Atikoro Joseph Okello (DCDO) - Committee Secretary • Mr Emalu Francis (Ag DE) - Committee Member • Ms Apiny Rose (C/P-HUMC) - Committee Member • Ms Phiona Akello (NGO) - Committee Member • Mr Oluka Samuel (SLO) - Committee Member • Mr Egangu Simon (C/P-P.T.A-Kalaki P/S) - Committee Member • Mr Eworu Patrick Peter (C/P-SMC) - Committee Member | 2 |
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| 14 | Grievance redress mechanism operational. Maximum 5 points on this performance measure | b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices. If so: Score 2 or else 0 | LG had a specified system for Recording, investigating and responding to grievances as evidenced by the GRC Log Book dated "2022" | 2 |
| 14 | Grievance redress mechanism operational. Maximum 5 points on this performance measure | c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress. If so: Score 1 or else 0 | LG had a list of Grievance handling mechanism and reporting publicized on the district notice board by the Grievance Focal Person dated 05/08/2021 | 1 |
| 15 | Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure | a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0 | Environment, Social and Climate change interventions were integrated into LG Development Plan as evidenced by Item 1.5.4 (Natural Resource Management) page 24 and item 2.5.4 (Community Development and Social Protection) page 72 of the DDP III 2020/2021-2024/2025 dated April 2023 | 1 |
| 15 | Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure | b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management score 1 or else 0 | LG had disseminated the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation to LLGs as evidenced by the dissemination list of hard copies of DDEG Guidelines for 2022/2023 dated 22/02/2023 consisting of nine members ending with Ejuu Benard | 1 |

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| 15 | Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure | (For investments financed from the DDEG other than health, education, water, and irrigation): c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary: score 3 or else score 0 | LG had incorporated costed Environment and Social Management Plan (ESMP) into the design. BoQs, bidding and contractual documents for the only DDEG infrastructure project for FY 2022/2023, other than health, education, water, and irrigation evidenced by Item 6.8 (planting 20 trees) at 140,000/= and item 6.1, 6.12 and 6.13 (HIV/AIDS Sanitary facilities) on unspecified page of the BoQs for the Reshaping of Abalang-Kaburuburu-Osikia 8km Road | 3 |
| 15 | Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure | d. Examples of projects with costing of the additional impact from climate change. Score 3 or else score 0 | LG had an example of project with costing of the additional impact from climate change as evidenced by Item 6.8 (planting 20 trees) at 140,000/= and item 6.1, 6.12 and 6.13 (HIV/AIDS Sanitary facilities) on unspecified page of the BoQs for the Reshaping of Abalang-Kaburuburu-Osikia 8km Road | 3 |
| 15 | Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure | e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 1 or else score 0 | N/A because the only DDEG project that was implemented in FY 2022/2023 was a district road (Reshaping of Abalang-Kaburuburu-Osikia 8km Road) which was already an existing. | 1 |
| 15 | Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure | f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 1 or else score 0 | LG EO and DCDO conducted only one support supervision and monitoring as opposed to the minimum of 3 monitoring as evidenced below; • E&S Monitoring Report for the Reshaping of Abalang-Kaburuburu-Osikai -8.0km Road by the SEO and DCDO on 20/07/2022 | 0 |

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| 15 | Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure | g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0 | The only DDEG project that was implemented in FY 2022/2023 was a road project (Reshaping of Abalang-Kaburuburu-Osikia 8km Road) which was implemented under Force Account which conflicts with the DDEG Guidelines | 0 |
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Financial management

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| 16 | LG makes monthly Bank reconciliations Maximum 2 points on this Performance Measure | a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment: Score 2 or else score 0 | The DLG operated one bank account namely the General Fund Account Number 01473657426687 with DFCU Dokolo branch. The account was manually reconciled up to 30th September, 2023. | 2 |
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| 17 | LG executes the Internal Audit function in accordance with the LGA Section 90 Maximum 4 points on this performance measure | a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY. Score 2 or else score 0 | The DLG produced the four quarterly internal audit reports for FY 2022/2023 as required. Quarter One report was produced on 12th October, 2022 and copied to PS MOLG, IAG, OAG, RDC, Chair person of the LGPAC. The report had 09 queries. Quarter Two report was produced on 15th January, 2023 and copied to PS MOLG, IAG, OAG, RDC, Chair person of the LGPAC. The report had 12 queries. Quarter Three report was produced on 12th April, 2023 copied to PS MOLG, IAG, OAG, RDC, Chair person of the LGPAC. The report had 07 queries. Quarter Four report was produced on 20th July, 2023 and copied to PS MOLG, IAG, OAG, RDC, Chair person of the LGPAC. The report had 13 queries. | 2 |
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| 17 | <p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p> | <p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p> | <p>The DLG provided information to the Council! Chairperson and the LG PAC on the status of implementation of internal audit findings for FY 2022/2023; information on follow-up on audit queries from all quarterly audit reports as per evidence provided below:</p> <p>Internal Auditor's letter to CAO dated 12th July, 2023 in respect of the recommendations and action required contained in the quarterly internal audit reports that was copied to chairperson LG PAC, RDC.</p> <p>CAO's letter to the Internal Auditor dated 14th August, 2023 in response to internal audit queries that were raised during FY 2022/2023. The letter was copied to the District Chairperson and RDC.</p> | 1 |
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| 17 | <p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p> | <p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p> | <p>Internal audit reports for the previous FY 2022/2023 were submitted to LG Accounting Officer, LG PAC and that LGPAC reviewed them and followed-up. The LG PAC was established in the district and functional. The committee met on quarterly basis and generated one report covering all the four quarterly internal audit reports in a financial year for consideration by Council. For example; in quarter one, there was no LG PAC meeting held. However, in quarter two, the LG PAC met on 7th March, 2023; in quarter three, the LG PAC met on 28th April, 2023. In quarter four the LG PAC met on 22nd June, 2023. The LG PAC produced the annual report dated 15th July, 2023 for consideration by Council as required. The Council had not convened a meeting by the time when the assessment was being conducted.</p> | 1 |
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Local Revenues

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| 18 | <p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p> | <p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p> | <p>The DLG planned for collection of local revenue amounting to shs 315,047,295 as provided on page 9 of the district draft accounts for FY 2022/2023. The DLG realised shs 252,437,118 on closure of FY 2022/2023 as indicated on page 9 of the draft final accounts of the district. This was equivalent to 80% performance.</p> | 0 |
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| 19 | <p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p> | <p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. | <p>The DLG realised shs 252,437,118 on closure of FY 2022/2023 as indicated as per the draft final accounts of the district. In FY 2021/2022, the district realised shs 99,277,014 as per audited accounts of the district for FY 2021/2022. Performance was above 10%.</p> | 2 |
| 20 | <p>Local revenue administration, allocation, and transparency</p> <p>Maximum 2 points on this performance measure.</p> | <p>a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0</p> | <p>The local revenue amount transferrable to LLGs in FY 2022/2023 was shs 211,364,642 as per page 8 of the draft final accounts for FY 2022/2023. Examples of local revenue that was transferred to LLGs:</p> <p>(i) Shs 1,927,000 was transferred to Bululu sub county as per payment voucher number 3229370 dated 20th January, 2023 as the 65% share in quarter three.</p> <p>(ii) Shs 1,950,000 was transferred to Apapai sub county as per payment voucher number 6428384 dated 28th June, 2023 as the 65% share in quarter four.</p> <p>(iii) Anyara sub county received shs 2,307,500 as per payment voucher number 3227722 dated 20th January, 2023 as the 65% share in quarter three.</p> <p>(iv) Otuboi sub county received shs 2,541,500 as per payment voucher number 3238696 dated 20th January, 2023 as the 65% share in quarter three.</p> | 2 |

Transparency and Accountability

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| 21 | <p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p> | <p>a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0</p> | <p>The procurement plan and awarded contracts with all amounts for the FY 2022/2023 were published in the notice of best evaluated bidders dated 18th/04/2023.</p> | 2 |
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| 21 | <p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p> | <p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p> | <p>The LG performance results for FY 2021/2022 were publicised as required on various notice boards at the district and lower local governments levels as per CAO's circular letter dated 12th October, 2022 under reference KDL/22/41. The district had not established a website at the time of the assessment.</p> | 2 |
| 21 | <p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p> | <p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p> | <p>Barazas were held through radio talk shows guided by the RDC, chair person of the district and CAO. Reports were produced as evidenced below: Report dated 22nd December, 2022, 31st December, 2022 and 21st December, 2022. Issues that were covered in the discussions included girl child guidance, production, spraying of crops as guided by modern farming guidelines.</p> | 1 |
| 21 | <p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p> | <p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p> | <p>The LG made publicly available information on i) tax rates as evidenced by report dated 13th January, 2023 to Council. The report was copied to RDC, Chairperson of the district. The report covered guidelines on the conduct of tax appeals, fees for filing appeals and procedures on appeals.</p> | 1 |
| 22 | <p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p> | <p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p> | <p>There were no IGG issues in the DLG. Perusal of meetings held by Council on dates indicated below had no IGG issues reported.</p> <p>Meeting held on 27th October, 2022 and 20th December, 2022. Council meeting held on 15th February, 2023; meeting held on 30th March, 2023 and Council meeting held on 30th May, 2023.</p> | 1 |

**Educational
Performance
Measures**

| No. | Summary of requirements | Definition of compliance | Compliance justification | Score |
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| Local Government Service Delivery Results | | | | |
| 1 | <p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p> | <p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 | <p>The PLE pass rates decreased by -4.6% between 2022 and 2020 school years.</p> <p>2020</p> <p>In 2020 the learners who passed in Div 1, Div 2 and Div 3 were 1404 out of 1962</p> <p>$1404/1962*100= 71.5\%$</p> <p>2022</p> <p>1590 passed in Div 1,2, and 3 out of 2376 pupils, which represented 66.9% pass rate</p> <p>The percentage increase in pass rate was $66.9- 71.5 = - 4.6\%$</p> | 0 |
| 1 | <p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p> | <p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 | <p>The percentage increase between 2022 and 2020 UCE results was 40.8%</p> <p>In 2022, 511 students passed Div 1, 2 and 3 out of 576 students, accounting to 88.7%</p> <p>In 2020, 191 students passed in Div 1, 2 and 3 out of 398 representing 47.9%</p> <p>Percentage increase $88.7-47.9=40.8\%$</p> | 3 |
| 2 | <p>N23_Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p> | <p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> • By more than 5%, score 2 • Between 1 and 5%, score 1 • No Improvement, score 0 <p>NB: If the previous average score was 95% and above, Score 2 for any increase.</p> | Not applicable | 0 |

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| 3 | <p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p> | <p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p> | <p>The Education development grant was used on eligible activities that included; Rehabilitation of Kachilo Primary School 3 classroom block</p> <p>Rehabilitation of Angolotok primary school three classrooms and office block.</p> <p>Construction of a two-classroom block of Kalaki -Katiti Seed school at 120,000,000(OPM)</p> | 2 |
| 3 | <p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p> | <p>b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0</p> | <p>The DLG implemented two projects during FY 2022/2023. (i) Payment to Ominya Enterprises Ltd for shs 62,035,046 in respect of rehabilitation of three class room block in Kachiro Primary School in Ochelakur sub county as per payment voucher number 4280291 dated 16th March, 2023. The DEO initiated payment on 6th February, 2023 and on the same date, the DE, CDO, Senior Environment Officer, CFO and CAO signed the documents to certify payment.</p> <p>(ii) Payment to Adakingo Company Ltd for shs 48,717,197 in respect of rehabilitation of three class room block at Angottok Primary School in Ogwolo sub county on payment voucher number 4678547 dated 30th March, 2023. Payment was initiated by the DEO on 9th March, 2023. The DE, CDO, Senior Environment Officer, CFO and CAO signed the documents to certify payment on the same date.</p> <p>(iii) Payment to Adakingo Company Ltd for shs 15,009,050 in respect of rehabilitation of three class room block at Angottok Primary School in Ogwolo sub county on payment voucher number 6427433 dated 28th June, 2023. Payment was initiated by the DEO on 15th June, 2023. The DE, CDO, Senior Environment Officer, CFO and CAO signed the documents to certify payment on the same date.</p> | 2 |

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

c) If the variations in the contract price are within +/-20% of the MoWT estimates score 2 or else score 0

The percentage contract variation for all the education project contracts were within +/-20%, as indicated below.

- Rehabilitation of a 3 classroom block and office at Angoltok p/s in Ogwolo sub county (Procurement ref no. KALA845/WKRS/2022/2023/00004)

Contract price = 72,283,260

Estimated cost = 80,000,000

Percentage change = -9.64%

- Rehabilitation of a 3 classroom block and office at Kachilo p/s in Ochelakur sub county (Procurement ref no. KALA845/WKRS/2022/2023/00003)

Contract price = 68,798,266

Estimated cost = 70,000,000

Percentage change = -1.72%

- Construction of Apapai seed secondary school in Apapai sub county (Procurement ref no. MOES/UGIFT/WRKS/21/22/0003)

Contract variations

This was a multi-year billion project worthy 2,684,401,470 contract sum that was awarded in FY 2021/2022 and still running. Therefore the contract variations couldn't be computed in the FY 2022/2023 even though the project is still ongoing. The percentage contract variation is obtained from

$(\text{contract cost} - \text{Estimated cost}) / (\text{Estimated cost}) * 100$

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY

- If 100% score 2
- Between 80 - 99% score 1
- Below 80% score 0

The LG did not have a new seed secondary school being implemented in FY 2022/2023 but had a multi-year running project for the Construction of Apapai seed secondary school in Apapai subcounty (Procurement ref no. MOES/UGIFT/WRKS/21/22/0003) worthy 2,684,401,470 contract sum that was started in FY 2021/2022 and still running in the FY 2022/2023. The super structure up to the wall plate for all the blocks were 100% completed in the FY 2022/2023.

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| 4 | <p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p> | <p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 - 79% score: 1 • Below 70% score 0 | <p>The LG did not recruit Primary School Teachers as per the prescribed MoES staffing guidelines. The approved number of required teachers was 1012 but the LG managed to recruit only 468 teachers which translated to 46%. This was a clear indicator of under staffing in primary schools.</p> | 0 |
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| 4 | <p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p> | <p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> • If above 70% and above score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 | <p>All the 49 schools within the LG met the basic standards set out by DES, for example all the 49 PLE schools i.e. had 447, classrooms representing 7 classrooms per school with each school having at least a class for each learning group as required.</p> <p>The 49 schools, had 235 teacher accommodations representing 4.7 which is a minimum requirement of at least for houses per school as required by DES.</p> <p>$49 / 49 * 100 = 100\%$</p> | 3 |
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Performance Reporting and Performance Improvement

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| 5 | <p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p> | <p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 | <p>There was accurate deployment of teachers as indicated on the teacher's deployment list and the school staff lists displayed in all the 3 sampled schools i.e., Kalaki P/S had 11 teachers, deployed and 11 teachers on its school staff list led by Ejibu Francis Atim the Head teacher.</p> <p>With 3 teachers being male and 8 being female</p> <p>Kakure P/S had 11 teachers, deployed, out of which 3 were female and 8 were male led by Ms Modesta Agilo.</p> <p>Kakere P/S had 10 teachers deployed, out of which 6 were male and 4 females, led by Emaru Charles</p> | 2 |
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| 5 | <p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p> | <p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 | <p>The infrastructure recorded in Kalaki district local government primary school asset register were all found present in all the visited sampled schools.</p> <p>Kalaki P/S had 10 classrooms, 15 teachers' houses, 135 desks and 10 latrine stances which were all found on ground.</p> <p>Kakure P/S had 12 classrooms, 3 permanent staff houses, 25 latrine stances, 195 desks.</p> <p>Kakere P/S had 99 desks, 9 classrooms, 10 latrine stances, 8 permanent teachers' houses.</p> | 2 |
| 6 | <p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p> | <p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p> <ul style="list-style-type: none"> • If 100% school submission to LG, score: 4 • Between 80 - 99% score: 2 • Below 80% score 0 | <p>100% of schools submitted their annual school reports as evidenced from the required 3 sampled schools.</p> <p>Kalaki P/S submitted its report on 6th January 2023. The report included a total school enrolment of 2066 learners, 30 staff and PLE performance.</p> <p>Kakure parent's P/S submitted its report on 6th December, 2022. The report included a total school enrolment of 1402 learners with a staffing of 16 teachers and PLE results.</p> <p>Kakere P/S submitted its report on 6th December, 2022. The report included a total school enrolment of 756 learners, with staffing of 10 teachers and PLE results.</p> <p>Percentage submission; $3/3 * 100 = 100\%$</p> | 4 |

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| 6 | <p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p> | <p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> • If 50% score: 4 • Between 30- 49% score: 2 • Below 30% score 0 | <p>School Improvement plans were found in all the 3 sampled schools representing 100% i.e. Kalaki, Kakure and Kakere primary schools.</p> <p>The school improvement plan of Kalaki P/S included improving academic performance, improving teacher's and pupils' welfare, compound beautification, supervision and monitoring, accommodation for staff among others.</p> <p>Kakure P/S prioritized solving of land wrangles, need for more staff houses, addressing under staffing issue among others.</p> <p>Kakere P/S prioritized improving performance, construction of storage facilities and staff room, improve on school structures, among others.</p> <p>Percentage</p> <p>$3/3*100=100\%$</p> | 4 |
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| 6 | <p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p> | <p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 - 99% score 2 • Below 90% score 0 | <p>The list of schools on PBS and OTIMS corresponded well with each having 49 UPE schools with an enrolment of 53559 learners and 6 USE schools with was 100%.</p> <p>$54/54*100=100\%$</p> | 4 |
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Human Resource Management and Development

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| 7 | <p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p> | <p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p> | <p>The district budgeted Ugx 3,654,328 for teachers for 49 head teachers and 408 teachers in 49 primary schools of Kalaki DLG as per the approved budget FY 2023/24.</p> | 4 |
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| 7 | <p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p> | <p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p> <p>Score 3 else score: 0</p> | <p>Teachers had been deployed as required by the sector guidelines with a head teacher and a minimum of 7 teachers per class for schools with classes reaching P.7.</p> <p>Kalaki primary school had a head teacher Mr Ejibu Francis Atim and a total of other 10 teachers.</p> <p>Kakure primary school had a head teacher Ms Modesta Ajilo with a total of 10 teachers.</p> <p>Kakere primary school had head teacher Mr Charles Emaru and a total of 9 teachers.</p> | 3 |
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| 7 | <p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p> | <p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,</p> <p>score: 1 else, score: 0</p> | <p>All the primary schools sampled namely; Kalaki , Kakure and Kakere Primary schools had staff lists displayed on their office walls dated 31st October ,2023, for Kalaki P/S , Kakure P/S dated 27th October,2023.</p> | 1 |
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| 8 | <p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p> | <p>a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copy to DEO/MEO</p> <p>Score: 2 or else, score: 0</p> | <p>The LG had 49 Primary School Head Teachers and there was evidence that all were appraised. 10 files were sampled for review and below were the findings;</p> <ol style="list-style-type: none"> 1. Opejok Richard (Okongol P/S) was appraised by SACAO on 30/12/2022 2. Elesu Anthony (Oyamai P/S) was appraised on 20/12/2022 3. Ajilo Modesta (Kakure P/S) was appraised on 20/12/2022 4. Aguti Jane Francis (Odongai PS) was appraised on 30/12/2022 5. Echibu Christopher (Kiriamet PS) was appraised on 5/12/2022 6. Egemo Suzan Emalu (Lwala Girls PS) was appraised on 29/12/2022 7. Aligoi Elizabeth Norah (Kalaki PS) was appraised on 20/12/2022 8. Asamo Hellen (Kachilo PS) was appraised on 18/12/2022 9. Eugu Simon (Adongkweru PS) was appraised on 30/12/2022 10. Amuge Hellen Grace (Anyara Moru PS) was appraised on 30/12/2022 | 2 |
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| 8 | <p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p> | <p>b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM</p> <p>Score: 2 or else, score: 0</p> | <p>The LG had 6 Secondary School Head Teachers and there was evidence that performance appraisal was conducted and reports submitted to HRM by D/CAO/TC or Chair BOG.</p> <ol style="list-style-type: none"> 1. Ongwara Moses (Kakure Seed School) was appraised on 30/12/2022 2. Adrabo Dante (Olomet SS) was appraised on 30/12/2022 3. Opio Samuel Olwi (Anyara SS) was appraised on 30/12/2022 4. Osuu John Robert (Kalaki SS) was appraised on 30/12/2022 5. Ssenambi Muhammad Matembe (Otuboi SS) was appraised on 30/12/2022 6. Apio Grace (Lwala Girls SS) was appraised on 30/12/2022 | 2 |
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| 8 | <p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p> | <p>c) If all staff in the LG Education department have been appraised against their performance plans score: 2. Else, score: 0</p> | <p>There was evidence that all Education Department staff were appraised against their performance plans.</p> <ol style="list-style-type: none"> 1. Ariku Stephen Max (Education Officer) Guidance and Counselling was appraised on 28/6/2023 2. Abago Catherine (Inspector of Schools) was appraised on 28/6/2023 3. Adoch Vicky (Education Officer) Special Needs was appraised on 28/6/2023 4. Ogwal Stephen (Snr. Inspector of Schools) was appraised on 28/6/2023 5. Wasegali Annet (Stenographer) was appraised on 28/6/2023 6. Lubega Mutwalibi Samanya (Driver) was appraised on 28/6/2023 | 2 |
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| 8 | <p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p> | <p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level, score: 2 Else, score: 0</p> | <p>There was a training plan for the FY 2022/23 budgeted at Shs 10,000,000 for the identified staff capacity building gaps within the Education department. Some of the key trainings involved;</p> <ol style="list-style-type: none"> 1. Training of head teachers in School leadership and management 2. Training of Games and -Sports teachers <p>Training in ICT for the Head Teachers and their deputies.</p> | 2 |
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Management, Monitoring and Supervision of Services.

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| 9 | <p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p> | <p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually. If 100% compliance, score:2 or else, score: 0</p> | <p>Since there were no errors, in the list of schools in the PBS there was no need to confirm the list of schools and their enrolment for Kalaki DLG.</p> | 2 |
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| 9 | <p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p> | <p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p> <p>If 100% compliance, score:2 else, score: 0</p> | <p>The district spent Ugx 22,000,000 on Inspection and monitoring of 49 primary schools which was in line with the sector guidelines page 14 that stipulate that at minimum, inspection must be allocated a fixed rate of 4M Ugx per LG plus Ugx 112,000 for 3 inspections each at Ugx 37,333 per school for 3 terms.</p> | 2 |
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| 9 | <p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p> | <p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p> <p>If 100% compliance, score: 2 else score: 0</p> | <p>The PS/ST communicated cash limits for the Education Sector in quarter one on 4th August, 2022. Warranting was done on 8th August, 2022, Invoicing was done on 10th August, 2022; Transfers to schools was done on 10th August, 2022 and communication to LLGs was done on 10th August,2022.</p> <p>In quarter two, the communication from PS/ST was done on 5th October, 2022, Warranting on 10th October, 2022; Invoicing on 13th October, 2022. Transfers to schools was done on 13th October, 2022 and communication to LLGs was done on 13th October, 2022.</p> <p>The PS/ST communicated cash limits for the Education Sector in quarter three on 30th December, 2022. Warranting was done on 6th , January, 2023, Invoicing was done on 23rd January, 2023; Transfers to schools was done on 23rd January, 2023 and communication to LLGs was done on 23rd January, 2023.</p> <p>The PS/ST communicated cash limits for the Education Sector in quarter four on 14th April, 2023. Warranting was done on 18th , April, 2023, Invoicing was done on 23rd May, 2023; Transfers to schools was done on 23rd May, 2023 and communication to LLGs was done on 23rd May, 2023.</p> <p>There were no delays experienced by the DLG.</p> | 2 |
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| 9 | <p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p> | <p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p> <p>If 100% compliance, score: 2 else, score: 0</p> | <p>There was evidence that the DLG invoiced and the DEO's communicated and publicized capitation releases to schools on 15th October, 2022 and invoicing was done on 17th October, 2022 as per DEO's circular letter reference KDLG/98/EDUC/2022-2023. Sampled schools included at Kachiro Primary School in Ochelakur sub county; Angottok Primary School in Ogwolo sub county. This was within three working days of release from MoFPED as witnessed by sampled schools by the Education expert. The release from MOFPED took place on 16th October, 2022 and communication to schools took place on 18th October, 2022 and therefore within three working days.</p> | 2 |
| 10 | <p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p> | <p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <ul style="list-style-type: none"> • If 100% compliance, score: 2, else score: 0 | <p>The inspection work plan for 2022/23 was in place covering all 49-government aided primary schools within the DLG for 3 terms</p> <p>Inspection reports were discussed on 5th August 2022</p> | 2 |
| 10 | <p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p> | <p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> • If 100% score: 2 • Between 80 - 99% score 1 • Below 80%: score 0 | <p>The LG had an inspection plan for Term 3 2022, Term 1 2023, and Term 2 of 2023. Each covering 49* primary schools with a budget Ugx 22,000,000</p> <p>Meetings to plan for school inspections had been organized on 5th January,2023 for term 1.</p> <p>On 11th June 2022, a pre-inspection school meeting was conducted by the District Inspectorate team.</p> <p>All the 49 schools had been inspected in the 3 school terms accounting to 100%.</p> | 2 |
| 10 | <p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p> | <p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p> | <p>There was evidence of dissemination of findings arising from the inspection reports as evidenced in files dated 29/09/2022, 05/07,2021 and 04/01/2023.</p> <p>Recommendations shared with SMC for implementation</p> | 2 |

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| 10 | <p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p> | <p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p> | <p>Inspection findings had been presented to all the 3 sampled primary schools i.e. Kalaki P/S, Kakure P/S, and Kakere P/S on 18th October ,2022, 1st February,2023 and 4th May,2023 respectively.</p> <p>The inspection and monitoring reports had been forwarded to DES as evidenced from DES acknowledgement sheets dated 26/10/2022; 06/02/2023</p> | 2 |
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| 10 | <p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p> | <p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p> | <p>The Council Committee responsible for education was in place that was also referred to as the Social Services Committee which met every quarter. In quarter one, the committee met on 25th October, 2022 and discussed quarter four report of financial year 2021/2022. In quarter two, the committee met on 15th December, 2022 and discussed departmental reports of the DLG. In quarter three, the meeting was held on 18th May, 2023 and discussed planning and budgeting. In quarter four the committee met on 19th May, 2023 and discussed departmental reports.</p> | 2 |
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| 11 | <p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p> | <p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,</p> <p><i>score: 2 or else score: 0</i></p> | <p>There a report on mobilizing parents in order to attract learners, as evidenced from the reports with pictures of DEO making Talk shows on TESO BROADCASTING SERVICES Radio 87.6 FM in December 2022. Some of the issues raised included; encouraging parents to take their children to school</p> | 2 |
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Investment Management

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| 12 | <p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p> | <p>a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i></p> | <p>There was an up to date asset register dated 3rd January 2023, for 2022/23 listing all assets within the 49 primary schools of Kalaki DLG.</p> <p>There were a total 447 classrooms, 207 stance toilets and 126 teacher's houses.</p> <p>And 7685 desks</p> | 2 |
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| 12 | <p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p> | <p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i></p> | <p>The Education Department implemented four projects during FY 2022/2023 out of which a sample of three projects was selected. (i) Construction of Seed Secondary School at Apapai in Apapai sub county which was budgeted at shs 905,710,000 as per page 22 of the district approved budget.</p> <p>The project was captured in the DDP III page 71. The desk appraisal report was dated 13th July, 2022 and the Field Appraisal report was dated 13th July, 2022. (ii) Rehabilitation of Angltok Primary School was budgeted for shs 73,283,260 as per district approved budget and the project was captured in the DDP III.</p> <p>The desk appraisal report was dated 13th July, 2022 and the Field Appraisal report was dated 13th July, 2022. (iii) Works at Kachiro Primary School was budgeted for shs 68,798,266 as per district approved budget and the project was captured in the DDP III page 76.</p> <p>The Desk Appraisal Report and the Field Appraisal Report were dated 13th July, 2022.</p> | 1 |
| 12 | <p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p> | <p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, <i>score 1 else score: 0</i></p> | <p>(i) Construction of Seed Secondary School at Apapai in Apapai sub county:</p> <p>The desk appraisal report was dated 13th July, 2022 and the Field Appraisal report was dated 13th July, 2022.</p> <p>(ii) Rehabilitation of Angltok Primary School</p> <p>The desk appraisal report was dated 13th July, 2022 and the Field Appraisal report was dated 13th July, 2022.</p> <p>(iii) Works at Kachiro Primary School</p> <p>The Desk Appraisal Report and the Field Appraisal Report were dated 13th July, 2022.</p> <p>Environmental issues were equally addressed.</p> | 1 |

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| 13 | <p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p> | <p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p> | <p>The education-planned sector infrastructure projects were incorporated and approved in the procurement plan approved on July 14, 2023, as indicated on page 7 and 8 of the procurement plan. Among these projects are: the rehabilitation of the three classroom block in Kalaki primary school at 45,000,000; the rehabilitation of the four classroom block in kaburuburu primary school at 50,000,000; Construction of a 5 stance drainable pit latrine in Anyara P/S at 25,000,000, Construction of a 5 stance drainable pit latrine in Gome P/S at 25,000,000, Construction of a fence for kakure seed secondary school, Construction of late Echou Memorial Technical Institute and among other projects.</p> | 1 |
| 13 | <p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p> | <p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p> | <p>All the school infrastructure projects implemented in the Fy 2022/2023 were approved by the contracts committee as indicated in the minutes of the contract committee dated 17th/11/2022 under minute CC/MIN/04/05/17/11/2023/2022/2023-5(5) for the approval of the rehabilitation of a 3 classroom block at Kachilo p/s in Ochilako Sub county and minutes of the contracts committee dated 17/11/2022 under minute CC/MIN/04/05/17/11/2023/2022/2023-5(4) For the approval of the rehabilitation of an office block at Angoltok p/s in Ogwolo sub county.</p> <p>The multi-year running seed secondary school project in Apapai p/s was approved in the Fy 2021/2022 under minute Min/15/Amol564/CC14/2021-2022</p> | 1 |
| 13 | <p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p> | <p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p> | <p>The LG properly established the project implementation team as per the PPDA guideline. The appointment letter by CAO dated 27th/12/2022 indicate that Omagor Chalaire (DEO) was appointed as the contracts manager, Emalu Francis as the project manager, Aakara Edith Ruth as the Environment officer, Atikoro Joseph as the CDO and Oluka Samuel as the labour officer.</p> | 1 |

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| 13 | <p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p> | <p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p> | <p>The LG did not have a new seed secondary school being implemented in the FY 2022/2023 but had a multi-year running project for the Construction of Apapai seed secondary school in Apapai sub county (Procurement ref no. MOES/UGIFT/WRKS/21/22/0003) and worthy 2,684,401,470 contract price that was started in FY 2021/2022 and still running .The super structure up to the wall plate for all the blocks (ICT lab,Three 2 classroom blocks, Main hall, Kitchens, 5 stance latrines and an administration block) were 100% completed. The field observations and technical checks indicated that there are no structural defects/clacks on the works so far completed and that the architectural and structural standard design were being followed</p> | 1 |
| 13 | <p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p> | <p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i></p> | <p>The monthly site meetings were conducted for all the sector infrastructure projects as indicated in the minutes of the site meeting dated 03/05/2023 for the construction of Apapai seed secondary school. Minutes of site meeting for the construction of Apapai seed secondary school dated 7th/07/2023 with all indicating the participation of the Environment officers, District Engineer and the DCDO</p> | 1 |
| 13 | <p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p> | <p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted <i>score: 1, else score: 0</i></p> | <p>There was evidence that during critical stages of construction of planned sector infrastructure projects, joint technical supervision involving engineers, environment officers, CDOs were conducted as indicated in the joint monitoring report for the rehabilitation of Angolotok P/S dated 26/4/2023, Joint monitoring report for rehabilitation of Achiro P/S dated 20th/04/2023 and the joint supervision report dated 24/04/23 for the rehabilitation of the three classroom block in Kachilo P/S and Angoltok P/S all of which indicate the technical involvement of all the relevant technical officers. Additionally, the minutes of site meeting dated 26th/04/2023 for the rehabilitation of Angolotok P/S indicate the participation of the Environment officers, District Engineer and the DCDO.</p> | 1 |

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| 13 | Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i> | g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i> | The DLG implemented two projects during FY 2022/2023. (i) Payment to Ominya Enterprises Ltd for shs 62,035,046 in respect of rehabilitation of three class room block in Kachiro Primary School in Ochelakur sub county as per payment voucher number 4280291 dated 16th March, 2023. The DEO initiated payment on 6th February, 2023 and on the same date, the DE, CDO, Senior Environment Officer, CFO and CAO signed the documents to certify payment. (ii) Payment to Adakingo Company Ltd for shs 48,717,197 in respect of rehabilitation of three class room block at Angottok Primary School in Ogwolo sub county on payment voucher number 4678547 dated 30th March, 2023. Payment was initiated by the DEO on 9th March, 2023. The DE, CDO, Senior Environment Officer, CFO and CAO signed the documents to certify payment on the same date. (iii) Payment to Adakingo Company Ltd for shs 15,009,050 in respect of rehabilitation of three class room block at Angottok Primary School in Ogwolo sub county on payment voucher number 6427433 dated 28th June, 2023. Payment was initiated by the DEO on 15th June, 2023. The DE, CDO, Senior Environment Officer, CFO and CAO signed the documents to certify payment on the same date. All sector infrastructure projects were properly executed and payments to contractors made within specified time frames within their respective contracts. | 1 |
|----|---|---|---|----------|

| | | | | |
|----|---|---|---|----------|
| 13 | Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i> | h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i> | The Education department timely submitted the procurement plan to procurement unit by 29th/04/2022 before the deadline date of 30th/April/2022 as per the PPDA requirement. | 1 |
|----|---|---|---|----------|

| | | | | |
|----|--|--|--|----------|
| 13 | <p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p> | <p>i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law <i>score 1 or else score 0</i></p> | <p>The LG did not have a new seed secondary school being implemented in the FY 2022/2023 but had a complete procurement file for the multi-year running project for the Construction of Apapai seed secondary school in Apapai sub county (Procurement ref no. MOES/UGIFT/WRKS/21/22/0003) and worthy 2,684,401,470 contract price that was started in FY 2021/2022 and still running. The project evaluation report dated 2nd/02/2022 indicate Bygon Enterprises Ltd as the best evaluated bidder, The minutes of the contracts committee dated 2nd/03/2022 indicates min115/Amol564/CC14/2021/2022 for approval of the project and the Works contract was signed on 29th/11/2022</p> | 1 |
|----|--|--|--|----------|

Environment and Social Safeguards

| | | | | |
|----|--|--|---|----------|
| 14 | <p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p> | <p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, <i>score: 3, else score: 0</i></p> | <p>LG had no grievance recorded under education sector for FY 2022/2023 by the time of assessment, however the Central Grievance Log was available dated "2022"</p> | 3 |
| 15 | <p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p> | <p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p> | <p>The Environmental guidelines had been issued to all the 3 sampled primary schools (Kaliki P/S, Kakure P/S and Kakere P/S) in Kalaki DLG on 30th March ,2022.</p> <p>They included, proper disposal of garbage, planting of trees, establishment of environmental clubs among other issues.</p> | 3 |
| 16 | <p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p> | <p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i></p> | <p>LG had a costed ESMP incorporated within the BoQs as evidenced by element 1 on the summary page, Second Item under contingency (Environment mainstreaming) totalling to 5,140,000/= on unspecified page of the BoQs of the Bid Document for the Rehabilitation of 3 classrooms at Kachilo P/S under SFG dated 26/09/2022 with Procurement Reference Number: Kala845/Wrks/2022/2023/00003 by Ominya Enterprises Ltd</p> | 2 |

| | | | | |
|----|---|---|--|----------|
| 16 | <p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p> | <p>b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i></p> | <p>LG had proof of land ownership, access of school construction projects by the time of assessment as evidenced by the land title for Kalaki DLG (Board of Directors Kalaki-Katiti Secondary School) of P.O.Box 722, Soroti of 4.6050 hectares, plot 267, Block 49 Apiri, dated 27/09/2022</p> | 1 |
| 16 | <p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p> | <p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p> | <p>The EO and DCDO conducted only two monthly support supervision and monitoring of education projects in FY 2022/2023 as opposed to the three minimum monitoring as evidenced by the monthly E&S Monitoring reports by the two officers above as follows;</p> <ul style="list-style-type: none"> • E&S Monitoring Report for the Rehabilitation of 3 classrooms at Kachilo P/S by the SEO and DCDO on 22/09/2022 • E&S Monitoring Report for the Rehabilitation of Angoltok P/S by the SEO and DCDO on 24/02/2023 | 0 |
| 16 | <p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p> | <p>d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments</p> <p><i>Score: 1, else score:0</i></p> | <p>The EO and DCDO prepared the E&S certification forms for education projects prior to payment of contractors as evidenced below;</p> <ul style="list-style-type: none"> • E&S Certification form for the Rehabilitation of 3 classrooms at Kachilo P/S by the SEO and DCDO on 28/06/2023 • E&S Certification form for the Rehabilitation of Angoltok P/S by the SEO and DCDO on 15/06/2023 • E&S Certification form for the construction of a 2 classroom block at Katiti P/S by the SEO and DCDO on 09/07/2023 | 1 |

**Health
Performance
Measures**

| No. | Summary of requirements | Definition of compliance | Compliance justification | Score | | | | | | | | | | | | | | | | |
|--|---|--|--|--------------|-----|---------------|-----|---------------|-----|--------------|-------------|--------------|-----|---------------|-----|---------------|-----|--------------|-------------|----------|
| Local Government Service Delivery Results | | | | | | | | | | | | | | | | | | | | |
| 1 | <p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p> | <p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 | <p>The sampled health facilities had the following deliveries:</p> <p>FY2021/22</p> <table> <tr> <td>Kalaki HC IV</td> <td>628</td> </tr> <tr> <td>Apapai HC III</td> <td>292</td> </tr> <tr> <td>Anyara HC III</td> <td>513</td> </tr> <tr> <td>Total</td> <td>1433</td> </tr> </table> <p>FY2022/23</p> <table> <tr> <td>Kalaki HC IV</td> <td>774</td> </tr> <tr> <td>Apapai HC III</td> <td>353</td> </tr> <tr> <td>Anyara HC III</td> <td>649</td> </tr> <tr> <td>Total</td> <td>1756</td> </tr> </table> <p>Average change $(1756-1433)/1433*100 = 22.54\%$</p> | Kalaki HC IV | 628 | Apapai HC III | 292 | Anyara HC III | 513 | Total | 1433 | Kalaki HC IV | 774 | Apapai HC III | 353 | Anyara HC III | 649 | Total | 1756 | 2 |
| Kalaki HC IV | 628 | | | | | | | | | | | | | | | | | | | |
| Apapai HC III | 292 | | | | | | | | | | | | | | | | | | | |
| Anyara HC III | 513 | | | | | | | | | | | | | | | | | | | |
| Total | 1433 | | | | | | | | | | | | | | | | | | | |
| Kalaki HC IV | 774 | | | | | | | | | | | | | | | | | | | |
| Apapai HC III | 353 | | | | | | | | | | | | | | | | | | | |
| Anyara HC III | 649 | | | | | | | | | | | | | | | | | | | |
| Total | 1756 | | | | | | | | | | | | | | | | | | | |
| 2 | <p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p> | <p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> • 70% and above, score 2 • 50% - 69%, score 1 • Below 50%, score 0 | Not applicable | 0 | | | | | | | | | | | | | | | | |
| 2 | <p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p> | <p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> • 75% and above; score 2 • 65 - 74%; score 1 • Below 65; score 0 | Not applicable | 0 | | | | | | | | | | | | | | | | |

| | | | | |
|---|--|--|---|---|
| 3 | <p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p> | <p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p> | <p>The DLG budgeted shs 148, 496,000 as development grant for FY 2022/2023 as per QBPR for quarter four on page 93. Shs 148,496,000 was equally spent by closure of FY 2022/2023 as reflected on page 92 of the Annual Performance Report for FY 2022/2023 Performance was 100%.</p> | 2 |
| 3 | <p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p> | <p>b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0</p> | <p>The DLG implemented one project in the department during FY 2022/2023. (i) Payment to Robert Emadu Constructions for shs 69,640,700 per payment voucher number 4205655 dated 2nd March, 2023 in respect of Completion works in Otuboi HC III. The DHO initiated payment on 24th February, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment.</p> <p>(ii) Payment to Robert Emadu Constructions for shs 52,609,582 per payment voucher number 3855532 dated 22nd February, 2023 in respect of OPD at Otuboi HC III. The DHO initiated payment on 2nd February, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment.</p> | 2 |
| 3 | <p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p> | <p>c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0</p> | <p>All the two implemented health project contracts had a percentage contract variation of within +/-20% as indicated below for the respective project contracts.</p> <p>Phased 2 construction of the Out Patient Department (OPD) at Otuboi HC IV in Otuboi Trading Centre (Procurement Reference no. KALA845/WKRS/2022-2023/00002)</p> <p>Contract price = 147,261,000</p> <p>Estimated cost = 148,496,176</p> <p>Percentage contract variation = -0.83%</p> <p>Construction of DHO office block at kalaki district headquarters (Procurement Reference no. KALA845/WKRS/2022-2023/00027)</p> <p>Contract price = 296,639,560</p> <p>Estimated cost = 300,000,000</p> <p>Percentage contract variation = -1.13%</p> | 2 |

| | | | | |
|---|--|---|---|---|
| 3 | <p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p> | <p>d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY</p> <ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 | <p>The LG did not have any HC II being upgraded to HC III or new HC III in the FY 2022/2023</p> | 2 |
|---|--|---|---|---|

| | | | | | | | | | | | | | | | | |
|---------------|---|--|---|--------------|--------------|---------------|--------------|---------------|--------------|---------------|--------------|---------------|--------------|-------|----------------|---|
| 4 | <p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p> | <p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 | <p>The L G recruited staff for all HC III's and IV as follows:</p> <table border="0" style="width: 100%;"> <tr> <td style="padding-right: 20px;">Kalaki HC IV</td> <td>43 out of 48</td> </tr> <tr> <td>Anyara HC III</td> <td>17 out of 19</td> </tr> <tr> <td>Otuboi HC III</td> <td>17 out of 19</td> </tr> <tr> <td>Bululu HC III</td> <td>14 out of 19</td> </tr> <tr> <td>Apapai HC III</td> <td>12 out of 19</td> </tr> <tr> <td>Total</td> <td>103 out of 124</td> </tr> </table> <p>Percentage $103/124*100= 83.06\%$</p> | Kalaki HC IV | 43 out of 48 | Anyara HC III | 17 out of 19 | Otuboi HC III | 17 out of 19 | Bululu HC III | 14 out of 19 | Apapai HC III | 12 out of 19 | Total | 103 out of 124 | 1 |
| Kalaki HC IV | 43 out of 48 | | | | | | | | | | | | | | | |
| Anyara HC III | 17 out of 19 | | | | | | | | | | | | | | | |
| Otuboi HC III | 17 out of 19 | | | | | | | | | | | | | | | |
| Bululu HC III | 14 out of 19 | | | | | | | | | | | | | | | |
| Apapai HC III | 12 out of 19 | | | | | | | | | | | | | | | |
| Total | 103 out of 124 | | | | | | | | | | | | | | | |

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| 4 | <p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p> | <p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> • If 100 % score 2 or else score 0 | <p>The LG did not have any HC II being upgraded to HC III or new HC III in the FY 2022/2023</p> | 2 |
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Performance Reporting and Performance Improvement

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| 5 | <p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p> | <p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p> | <p>The information on positions of health workers filled was accurate. Apapai HC III had 12 H/Ws on the list dated 1/07/23 so was the District. Anyara HC III had 17 H/Ws on the list dated 1/07/23. Kalaki HC IV had 43 H/Ws on the list dated 1/07/2023 so was the District dated 1/07/2023.</p> | 2 |
|---|--|--|--|---|

| | | | | |
|---|--|---|--|---|
| 5 | <p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p> | <p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p> | <p>There was no health facility upgraded nor constructe in FY2022/23.</p> | 2 |
| 6 | <p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p> | <p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> • Score 2 or else 0 | <p>The health facilities submitted the Annual Workplans FY2023/24 timely as follows:</p> <p>Apapai HC III 28/03/23 Anyara HC III 30/03/23 Kalaki HC IV 30/03/23</p> | 2 |
| 6 | <p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p> | <p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> • Score 2 or else 0 | <p>The health facilities submitted the Annual Budget Performance Reports FY2022/23 timely as follows:</p> <p>Apapai HC III 28/03/23 Anyara HC III 28/03/23 Kalaki HC IV 30/03/23</p> <p>This was incorporated in their Performance Improvement Plans which was not according to the Budget and Grant Guidelines.</p> | 0 |

| | | | | |
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| 6 | <p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p> | <p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> • Score 2 or else 0 | <p>The health facilities developed their facility improvement plans as follows:</p> <p>Kalaki HC IV 23/08/23</p> <p>Apapai HC III 28/03/23</p> <p>Anyara HC III 30/03/23. The issues were mainly data management, performance review meetings and support supervision</p> | 2 |
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|---|--|--|--|---|
| 6 | <p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p> | <p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,</p> <ul style="list-style-type: none"> • score 2 or else score 0 | <p>The Health Facilities submitted up to date monthly and quarterly HMIS reports as follows:</p> <p>July 2022</p> <p>Anyara HC III 6/08/2022</p> <p>Apapia HC III 5/08/2022</p> <p>Kalaki HC IV 4/08/2022</p> <p>August 2022</p> <p>Anyara HC III 6/09/2022</p> <p>Apapia HC III 7/09/2022</p> <p>Kalaki HC IV 6/09/2022</p> <p>September 2022</p> <p>Anyara HC III 5/10/2022</p> <p>Apapia HC III 6/10/2022</p> <p>Kalaki HC IV 6/10/2022</p> <p>October 2022</p> <p>Anyara HC III 6/11/2022</p> <p>Apapia HC III 7/11/2022</p> <p>Kalaki HC IV 4/11/2022</p> <p>November 2022</p> <p>Anyara HC III 6/12/2022</p> <p>Appia HC III 6/12/2022</p> <p>Kalaki HC IV 6/12/2022</p> <p>December 2022</p> <p>Anyara HC III 6/01/2023</p> | 2 |
|---|--|--|--|---|

Apapia HC III 6/01/2023

Kalaki HC IV 10/01/2023

January 2023

Anyara HC III 6/02/2023

Apapia HC III 7/02/2023

Kalaki HC IV 7/02/2023

February 2023

Anyara HC III 6/03/2023

Apapia HC III 6/03/2023

Kalaki HC IV 7/03/2023

March 2023

Anyara HC III 6/04/2023

Apapia HC III 6/04/2023

Kalaki HC IV 4/04/2023

April 2023

Anyara HC III 4/05/2023

Apapia HC III 9/05/2023

Kalaki HC IV 5/05/2023

May 2023

Anyara HC III 6/06/2023

Apapia HC III 5/06/2023

Kalaki HC IV 7/06/2023

June 2023

Anyara HC III 6/07/2023

Apapia HC III 6/07/2023

Kalaki HC IV 7/07/2023

Quarterly 2022/23

1st Quarter 2022/23

Anyara HC III 5/10/2022

Apapia HC III 6/10/2022

Kalaki HC IV 6/10/2022

2nd Quarter 2022/23

Anyara HC III 6/01/2023

Apapia HC III 6/01/2023

Kalaki HC IV 10/01/2023

3rd Quarter 2022/23

Anyara HC III 6/04/2023

Apapia HC III 6/04/2023

Kalaki HC IV 6/04/2023

4th Quarter 2022/23

Anyara HC III 6/07/2023

Apapia HC III 6/07/2023

Kalaki HC IV 7/07/2023

Kalaki HC IV submitted the December 2022 report on the 10/01/2023 which was late.

6 Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.
Maximum 14 points on this performance measure

e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0
Note: Municipalities submit to districts

Not applicable.

0

6 Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.
Maximum 14 points on this performance measure

f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0

Not applicable.

0

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|---|---|---|--|---|
| 6 | <p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> | <p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p> | <p>Quarter 1 report was submitted on 18th October, 2022; Quarter 2 report was submitted on 17th January, 2023; Quarter 3 reports was submitted on 18th April, 2023; Quarter 4 report was submitted on 19th July, 2023.</p> | 1 |
| | <p>Maximum 14 points on this performance measure</p> | | | |
| 6 | <p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> | <p>h) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p> | <p>The LG developed Performance Improvement Plan on 28/03/2023 for the whole district but more emphasis on Kalaki HC IV, Anyara HC III and Atuboi HC III which were the weakest.</p> | 1 |
| | <p>Maximum 14 points on this performance measure</p> | | | |
| 6 | <p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> | <p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p> | <p>The LG implemented the plan by supervising Anyara HC III, Otuboi HC III and Kalaki HC IV from 7-8/08/2023.</p> | 1 |
| | <p>Maximum 14 points on this performance measure</p> | | | |

Human Resource Management and Development

| | | | | |
|---|---|--|---|---|
| 7 | <p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> | <p>a) Evidence that the LG has: i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p> | <p>The LG budgeted 3,052,791,000= to cater for 148 out of 152 required Health workers which was 97.3%.</p> | 2 |
| | <p>Maximum 9 points on this performance measure</p> | | | |
| 7 | <p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> | <p>a) Evidence that the LG has: ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p> | <p>The LG had 5 out of 7 health facilities with at least 75% of staff required. These were:</p> <ol style="list-style-type: none"> 1. Kalaki HC IV 43/48(89.58%) 2. Anyara HC III 17/19 (89.47%) 3. Otuboi HC III 17/19 (89.47%) 4. Kakure HC II 12/9 (133%) 5. Ocheraku HC II 8/9 (88.8%) | 0 |
| | <p>Maximum 9 points on this performance measure</p> | | | |
| 7 | <p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> | <p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p> | <p>The health workers were working where they were deployed. Apapai HC III had 12 H/Ws on the list 1/07/2023 and were found in the attendance book. Anyara HC III had 17 H/Ws on the list dated 1/07/2023 and were found in the attendance book. Kalaki HC IV had 43 H/Ws dated 1/07/2023 and were found in the attendance book.</p> | 3 |
| | <p>Maximum 9 points on this performance measure</p> | | | |
| 7 | <p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> | <p>c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0</p> | <p>The LG publicized health workers on the noticeboards. Apapai HC III publicized 12 H/Ws dated 1/07/23. Anyara HC III publicized 17 H/Ws dated 1/07/2023. Kalaki HC IV publicized 43 H/Ws dated 1/07/2023.</p> | 2 |
| | <p>Maximum 9 points on this performance measure</p> | | | |

8

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

a) Evidence that the DHO/MMOHs has:

i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0

The LG had 7 Health Facility In-charges and all were appraised. Below are the review findings;

1. Akurut Hellen (Asst.Nursing Officer) Apapai HC III was appraised on 29/6/2023
2. Eyalu Raphael (Clinical Officer) Anyara HC III was appraised on 3/7/2023
3. Amen Richard (Snr.Clinical Officer) Otuboi HC III was appraised on 3/7/2023
4. Otim Calmorine Francis (Clinical Officer) Bululu HC III was appraised on 11/7/2023
5. Ogwai Tonny Chris (Clinical Officer) Kalaki HC IV was appraised on 17/7/2023
6. Ipene Brenda (Enrolled Nurse) Kakure HC II was appraised on 27/6/2023
7. Ajulong Mary Immaculate (Enrolled Nurse) Ochelakur HC II was appraised on 30/6/2023, **However, some health in-charges were appraised late after 30th/June/2023**

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Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0

There was evidence that all Health Facility Workers were appraised against the agreed performance plans.

1. Obela Patrick (Porter) Kalaki HC IV was appraised on 30/6/2023
2. Eyangu Denis (Askari) Bululu HC III was appraised on 4/7/2023
3. Gamusi Robert (Enrolled Nurse) Anyara HC III was appraised on 30/6/2023
4. Apio Judith (Enrolled Nurse) Otuboi HC III was appraised on 8/7/2023
5. Apio Christine (Enrolled Midwife) Ochelakur HC II was appraised on 26/6/2023
6. Ajok Christine (Lab Assistant) Anyara HC III was appraised on 26/6/2023
7. Achom Sarah (Enrolled Midwife) Kalaki HC IV was appraised on 30/6/2023
8. Abeso Doreen Enibu (Health Assistant) Kakure HC III was appraised on 29/6/2023
9. Aleko Grace (Enrolled Nurse) Otuboi HC III was appraised on 13/7/2023
10. Angico Rose (Nursing Assistant) Otuboi HC III was appraised on 15/6/2023, **However, some health workers as indicated above were appraised late after 30th/June/2023**

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| 8 | <p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p> | <p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p> | <p>There was evidence that the LG took corrective action based on the appraisal reports. For stance,</p> <p>Mr. Cherop Charles (District Sports Officer) was granted study leave for two years on 11/7/2022 to undertake masters of science in sports at Kyambogo University.</p> | 2 |
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| 8 | <p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p> | <p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p> | <p>The LG trained staff on health care waste management at facility level Bululu HC III (5) ,Ocherakur HC II (5), Anyara HC III (5), Apapai HC III (5), Otuboi HC III (5), Kakure HC II (3), Kalaki HC IV (6) and Lwala Hospital (6). This was done by the DHO, SEHO, SHE from 10-13/04/2023.</p> | 1 |
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| 8 | <p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p> | <p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p> | <p>The training of staff on health care waste was entered in the training database.</p> | 1 |
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Management, Monitoring and Supervision of Services.

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| 9 | <p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p> | <p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p> | <p>The CAO communicated to the PS MOH through email to hillaryruk@gmail.com, chebetkeren@gmail.com on 23/08/2023 about the 8 Health facilities that receive PHC which rhymes with the one submitted in LG budget FY 2023/24.</p> | 2 |
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| 9 | <p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> | <p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p> | <p>Fund allocation to the Health Department during FY 2022/2023 amounted to shs 35,000,000 as reflected on page 11 of the District approved budget for FY 2022/2023. The monitoring and service delivery was allocated shs 5,500,000 as per page 11 of the approved LG budget. This was equivalent to 15%.</p> | 2 |
| | <p>Maximum 9 points on this performance measure</p> | | | |
| 9 | <p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> | <p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p> | <p>The DLG received communication from PS/ST on quarter one cash limits on 4th August, 2022, Warranting was done on 8th August, 2022, invoicing was done on 17th August, 2022, Transfers to Health Centers on 17th August, 2022 and communication to Health Centers by Cao on 17th August, 2022.</p> <p>In quarter two, communication on cash limits from the PS/ST was received on 5th October, 2022, Warranting was done on 10th October, 2022; Invoicing was done on 18th October, 2022; Transfers to Health Centers was done on 18th October, 2022 and communication to Health Centers was done on 18th October, 2022.</p> <p>In quarter three, communication from the PS/ST was received by the DLG on 30th December, 2022, Warranting was done on 6th January, 2023; Invoicing was done on 17th January, 2023; transfers to Health Centers was done 17th January, 2023 and communication to Health Centers by the CAO was done on 17th January, 2023.</p> <p>In quarter four, communication from the PS/ST was received by the DLG on 14th April, 2023, Warranting was done on 18th April, 2023; Invoicing was done on 10th May, 2023; transfers to Health Centers was done 10th May, 2023 and communication to Health Centers by the CAO was done on 10th May, 2023.</p> | 2 |
| | <p>Maximum 9 points on this performance measure</p> | | | |

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| 9 | <p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> | <p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0</p> | <p>The DLG invoiced and communicated all PHC NWR Grant transfers in FY 2022/2023 to health facilities within 5 working days from the day of funds release in each quarter as per details provided above</p> | 2 |
| | <p>Maximum 9 points on this performance measure</p> | | | |
| 9 | <p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> | <p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p> | <p>There was evidence that the district publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoPPED for example circular letter under reference K/DLG/HQ/02 dated 14th October, 2022 verified at Kalaki HC III notice board. Date of receipt from MOFPED was 17th October, 2022 for shs 34,989,00. CAO's circular letter dated 12th January, 2023 under reference K/DLG/PQ/122 that was verified at OPD Otuboi HC III in Otuboi TC for shs 23,909,000 and funds received on 14th January, 2023 from MOFPED.</p> | 1 |
| | <p>Maximum 9 points on this performance measure</p> | | | |
| 10 | <p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> | <p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p> | <p>The meeting that sat on 11/07/2022 in the District Council Hall recommended recruitment of a Health Information Assistant and was recruited in May 2023. During the meeting that took place on 2/02/2023 discovered poor timeliness of HMIS data submission from the health facilities. The Biostatistician followed up and mentored the Health Information Assistants during support supervision from 20-24/04/2023.</p> | 2 |
| | <p>Maximum 7 points on this performance measure</p> | | | |
| 10 | <p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> | <p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p> | <p>The performance review meeting that sat on 20/12/2022 was attended by Vice Chairman LC V, Secretary Social Services and officials from TASO. The one that sat on 22/07/2022 was attended by CAO, LC V Chairman, Officials from TASO and Human Resource Officer. On the 2/02/2023 the officials from CDFU and Secretary for Health attended.</p> | 1 |
| | <p>Maximum 7 points on this performance measure</p> | | | |

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| 10 | <p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p> | <p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p> | <p>According to the supervision reports by the DHO to CAO, the LG supervised Lwala Hospital and Kalaki HC IV (12-16/09/2022, 19-22/12/2022, 20-24/03/2023 and 5-12/05/2023).</p> | 1 |
| 10 | <p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p> | <p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <ul style="list-style-type: none"> • If not applicable, provide the score | <p>The LG had no HSD. The DHT supervised the lower level health facilities like Otuboi HC III, Anyara HC III, Apapai HC III and Kakure HC II (12-16/09/2022, 19-22/12/2023, 20-24/03/2023 & 5- 12/05/2023).</p> | 1 |
| 10 | <p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p> | <p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p> | <p>During supervision of Otuboi HC III (12/05/2023) it was discovered the health workers were not involving their HUMC; they were advised to involve them and now their reports include HUMC as per 30/06/2023.</p> | 1 |
| 10 | <p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p> | <p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p> | <p>According to the DMMS reports Anyara HC III (20/01/2023), Lwala Hospital (7/07/2022) and Kalaki HC IV (27/01/2023) were supported in Dispensing, Prescribing, Stores management and Stock management of medicines and health supplies.</p> | 1 |

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| 11 | Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities | a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0 | Fund allocation to the Health Department during FY 2022/2023 amounted to shs 35,000,000 as reflected on page 45 of the District approved budget for FY 2022/2023. The allocation for health prevention and promotion activities was allocated shs 10,550,000 as per page 45 of the approved LG budget. This was equivalent to 30%. | 2 |
| | Maximum 4 points on this performance measure | | | |
| 11 | Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities | b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0 | The Senior Health Educator (SHE) conducted microplanning on Indoor Residual Spraying (IRS) in Anyara S/C (10/10/2023), Ogwolo S/C (12/10/2022, Bululu and Ochelakur (13/10/2022). Community mobilisation on IRS was held on Delta FM (12/10/2022) and Dokolo FM (12/11/2022) by the DHO, SHE, VCO and Chairman LC V. | 1 |
| | Maximum 4 points on this performance measure | | | |
| 11 | Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities | c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0 | The report written to the DHO on 11/04/2023 on follow up actions done in Sanitation Health Promotion for the FY 2022/23 were among others: <ol style="list-style-type: none"> 1. Training of staff in health care waste management 2. Distribution of IEC materials to health facilities. 3. Disease Surveillance. | 1 |
| | Maximum 4 points on this performance measure | | | |

Investment Management

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| 12 | Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines. | a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0 | The LG had an updated Asset register that set out health facilities and equipment relative to basic equipment. Kalaki HC IV had Microscope, Delivery kit, Kidney dishes and Drip stand. Apapai HC III had BP machine, Thermometer and Delivery set. Anyara HC III had BP and Delivery set. | 1 |
| | Maximum 4 points on this performance measure | | | |

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| 12 | <p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> | <p>b. Evidence that the prioritized investments in the health sector for the previous FY were:</p> <p>(i) derived from the third LG Development Plan (LGDPIII);</p> | <p>The DLG implemented one project during FY 2022/2023 -,Phase II Construction of OPD at Otuboi HC III in Otuboi TC which was budgeted at shs 148,496,000 as per approved DLG budget for FY 2022/2023.</p> | 1 |
| | <p>Maximum 4 points on this performance measure</p> | <p>(ii) desk appraisal by the LG; and</p> <p>(iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG));</p> | <p>The project was captured in the DDP III page 78, and AWP page 34. The Field Appraisal Report was dated 14th July, 2022 and the Desk Appraisal Report was dated 12th July, 2022.</p> | |
| | | <p>score 1 or else score 0</p> | | |
| 12 | <p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> | <p>c. Evidence that the LG has conducted field Appraisal to check for:</p> <p>(i) technical feasibility;</p> <p>(ii) environment and social acceptability; and</p> <p>(iii) customized designs to site conditions: score 1 or else score 0</p> | <p>Social and environment issues were addressed.</p> | 1 |
| | <p>Maximum 4 points on this performance measure</p> | | <p>The Field Appraisal Report was dated 14th July, 2022 and the Desk Appraisal Report was dated 12th July, 2022.</p> | |
| 12 | <p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> | <p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p> | <p>The only LG health facility investment was screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist as evidenced by the following screening forms by EO & DCDO below;</p> | 1 |
| | <p>Maximum 4 points on this performance measure</p> | | <ul style="list-style-type: none"> • E&S Screening form for the construction of OPD block at Otuboi HC III on 05/01/2023 • E&S Screening form for the construction of Health Administration block on 09/03/2023 | |

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| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p> | <p>The Health sector timely submitted all its infrastructure and other request to procurement unit by 18th/04/2023 before the deadline date of 30th/April/2023 as per the PPDA requirement and was incorporated in the FY 2023/2024 approved procurement plan on page 7 of the plan. Among the projects planned to be implemented in the FY2023/2024 include the connection of electricity to DHO'S office block and Construction of a kitchen in Anyara HC III and construction of the placenta pit at Abilabira HC II at 15,000,000</p> | 1 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p> | <p>The LG Health department submitted the procurement request form (Form PP1) to the PDU on 18/07/2023 with in the 1st Quarter of the FY 2023/2024.</p> | 1 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p> | <p>The Contracts Committee approved all the health infrastructure investments for FY2022/2023 in the minutes of the contracts committee dated November 17, 2022, under minute number CC/MIN/02/05/17/11/2022/2022/2023-5(2) for the Phase 2 construction of the Out Patient Department (OPD) at Otuboi HC IV in Otuboi Trading Centre, the minutes of the contracts committee dated February 13, 2023, under minute number CC/MIN/04/05/13/02//2023/2022/2023-5(2) for the construction of DHO's administration block at kalaki district headquarters and Solicitor general letter of clearance dated 03/06/2023 for the construction of DHO's office block at the district headquarters.</p> | 1 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p> | <p>The LG properly established the project implementation team as per the PPDA guidelines. The appointment letter by CAO dated December 27, 2022, indicates that Atim Harriet Mirriam (DHO) was appointed as the contracts manager, Emalu Francis (DE) as the project manager, Alilim Cate as the Environment officer, ,Atikoro Joseph as the CDO, Oluka Samuel as the labour officer and Odioya Adii Peter as the clerk of works</p> | 1 |

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| 13 | Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines | e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0 | The LG did not have any HC II being upgraded to HC III or new HC III in the FY 2022/2023 | 1 |
| | Maximum 10 points on this performance measure | If there is no project, provide the score | | |
| 13 | Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines | f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0 | The Clerk of Works maintained daily records that were consolidated into the following reports: | 1 |
| | Maximum 10 points on this performance measure | If there is no project, provide the score | <ol style="list-style-type: none"> 1. Construction progress report dated 12/6/2023 from Odioyo Peter (Clerk of works) for the construction of OPD at Otuboi HC III. 2. Construction progress report dated 31/04/2023 for the construction of an administration block at DHO's office 3. Supervision and monitoring report dated 24th/04/2023 for phase 2 completion of Otuboi OPD. | |
| 13 | Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines | g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0 | The LG did not have any HC II being upgraded to HC III or new HC III in the FY 2022/2023 | 1 |
| | Maximum 10 points on this performance measure | If there is no project, provide the score | | |

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| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p> | <p>The LG carried out technical supervision of works at all health infrastructure projects at least monthly by the relevant officers, as in the joint supervision and monitoring report dated April 24, 2023, for the phase 2 completion of Otuboi OPD and the construction of the DHO administration block signed by Emalu Francis (District Engineer), Atikoro Joseph (DCDO) and Alilim Cate (Environment officer).</p> | 1 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p> | <p>The DLG implemented one project in the department during FY 2022/2023. (i) Payment to Robert Emadu Constructions for shs 69,640,700 per payment voucher number 4205655 dated 2nd March, 2023 in respect of Completion works in Otuboi HC III. The DHO initiated payment on 24th February, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment. Payment was effected to the contractor within the prescribed time frame.</p> <p>(ii) Payment to Robert Emadu Constructions for shs 52,609,582 per payment voucher number 3855532 dated 22nd February, 2023 in respect of OPD at Otuboi HC III. The DHO initiated payment on 2nd February, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment.</p> <p>Payment was effected to the contractor within the prescribed time frame.</p> | 1 |

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| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p> | <p>The LG had a complete procurement file for each health Infrastructure contract with all records as required by the PPDA Law as indicated below for the respective projects;</p> <ul style="list-style-type: none"> • Construction of the DHO's administration block ((Procurement Reference no. KALA845/WKRS/2022/2023/00027) <ol style="list-style-type: none"> 1. Evaluation report dated 10/02/2023 with 2. Mwonzi Construction Engineering Services Ltd as the best evaluated bidder at 296,639,560. 3. Minutes of contracts committee dated 28th/2/2023 and project approve under minute CC/MIN/04/05/28/02/2022//2023-5(2) and works contract signed on 7th/03/2023 • Phase 2 construction of Otuboi HC III OPD in Otuboi Trading Center (Procurement Reference no. KALA845/WKRS/2022/2023/00002). <ol style="list-style-type: none"> 1. Evaluation report dated 14/10/2023 with 2. Emadu construction Ltd as the best evaluated bidder at 147,281,000. 3. Minutes of contract committee dated 17th/11/2022 and project approve under minute CC/MIN/02/05/17/11/2022/2023-5(2) and works contract signed on 20th/12/2022. | 1 |
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Environment and Social Safeguards

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| 14 | <p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p> | <p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p> | <p>LG had recorded one grievance under health in the FY 2022/2023 by the time of assessment as evidenced below;</p> <ul style="list-style-type: none"> • None payment of workers by Muwonzi Company Ltd by Ekere Felix on 02/08/2023 in the Grievance Log and redressed on 28/04/2023 under minute number 05/GRC/APR/2023 | 2 |
| 15 | <p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p> | <p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p> | <p>The LG disseminated health care waste management guidelines to health facilities during the training of the health workers in health care waste management by the DHO from 10-13/04/2023 also charts on segregation of waste were found in Kalaki HC IV, Anyara HC III and Apapai HC III.</p> <p>Uganda National Infection Prevention and Control 2013 guidelines were found at Anyara HC III.</p> | 2 |

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| 15 | Safeguards for service delivery: LG Health Department ensures safeguards for service delivery | b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0 | The LG had a functional system for Medical waste management. Kalaki HC IV, Apapai HC III and Anyara HC III had bin liners for segregation of waste, safety boxes, waste pits and placenta pits. | 2 |
| | Maximum 5 points on this performance measure | | | |
| 15 | Safeguards for service delivery: LG Health Department ensures safeguards for service delivery | c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0 | The LG conducted training and created awareness in health care awareness to health workers from 10-13/04/2023. The staff were from Bululu HC III (5), Ochelakur HC II (5), Otuboi HC III (5), Anyara HC III (5), Apapai HC III (5), Kakure HC II (5), Kalaki HC IV (6) and Lwala Hospital (6). This was done by the DHO, SEHO and SHE. | 1 |
| | Maximum 5 points on this performance measure | | | |
| 16 | Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments | a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0 | LG had incorporated costed ESMPs into designs, BoOs as evidenced by Item A20, page 36 (Allow for tree planting of 40 trees at 280,000/=) in the Bid Document for the construction of Health Administration Block at Kalaki DLG Headquarters dated 10/02/2023 by Mwonzi Construction and Engineering Ltd | 2 |
| | Maximum 8 points on this performance measure | | | |
| 16 | Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments | b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0 | LG had proof that all health sector projects were implemented on land where the it had ownership, access as evidenced by the land title for Kalaki DLG Headquarters of P.O.Box 94, Kaberamaido of 20.589 hectares, plot 79&80, Block 4 at Olyerai, Kalaki, and dated 16/08/2011 | 2 |
| | Maximum 8 points on this performance measure | | | |

| | | | | |
|----|--|---|--|----------|
| 16 | <p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p> | <p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p> | <p>The EO and DCDO conducted monthly support supervision and monitoring of the only health project as evidenced by the E&S Compliance Monitoring Reports for health projects as follows;</p> <ul style="list-style-type: none"> • E&S Monitoring Report for health projects by the SEO and DCDO on 30/06/2023 • E&S Monitoring Report for health projects by the SEO and DCDO on 14/02/2023 • E&S Monitoring Report for health projects by the SEO and DCDO on 25/05/2023 | 2 |
| 16 | <p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p> | <p>d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0</p> | <p>Environment and Social Certification forms were completed and signed by the DEO and DCDO prior to payments of contractor invoices/certificates of the only health infrastructure project as evidenced below;</p> <ul style="list-style-type: none"> • E&S Certification form for the construction of OPD block at Otuboi HC III on 04/07/2023 • E&S Certification form for the construction of Health Administration block on 04/07/2023 | 2 |

**Water &
Environment
Performance
Measures**

| No. | Summary of requirements | Definition of compliance | Compliance justification | Score |
|--|--|--|--|--------------|
| Local Government Service Delivery Results | | | | |
| 1 | Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees <i>Maximum 4 points on this performance measure</i> | a. % of rural water sources that are functional. If the district rural water source functionality as per the sector MIS is: o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 | The Kalaki District rural water source functionality as per the MWE MIS on 2nd November 2023 was 89%. | 1 |
| 1 | Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees <i>Maximum 4 points on this performance measure</i> | b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is: o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 | The Kalaki District facilities with functional water & sanitation committees as per the MWE MIS on 2nd November 2023 was 99%. | 2 |
| 2 | N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment <i>Maximum 8 points on this performance measure</i> | a. The LG average score in the water and environment LLGs performance assessment for the current FY. If LG average scores is; • Above 80%, score 2 • 60% - 80%, score 1 • Below 60%, score 0 | Reviewed the summary of the LLGs performance assessment report for the FY 2023/2024 and the scores converted to percentage for the water and environment indicators were as follows; Anyara s/c 100% Apapai s/c 100% Bukulu s/c 100% Kakure s/c 100% Kalaki s/c 100% Otuboi s/c 100% Ogwolo s/c (New) 100% Ochelakur s/c (New) 100% The average score for the LG was 100% | 2 |
| 2 | N23_Service Delivery Performance: Average | b. % of budgeted water projects implemented in | Data for safe water coverage used for planning the previous FY 2022/23 was for | 0 |

score in the water and environment LLGs performance assessment

the sub-counties with safe water coverage below the district average in the previous FY.

FY 2021/2022. According to the Ministry MIS, the Kalaki district safe water coverage for FY 2021/2022 was 79% and per sub-county (s/c) were as follows:

Maximum 8 points on this performance measure

- o If 100 % of water projects are implemented in the targeted S/Cs: Score 2
- o If 80-99%: Score 1
- o If below 80 %: Score 0

Anyara s/c 71%
Apapai s/c 71%
Bukulu s/c 65%
Kakure s/c 84%
Kalaki s/c 95%
Otuboi s/c 85%

Note that the new sub-counties still had no data in the Ministry MIS. Their data were captured under the old sub-counties.

Therefore, sub-counties with safe water coverage below the district average were Anyara, Apapai and Bululu.

Reviewed the District Water and Sanitation 4th quarter progress report for FY 2022/2023 dated 18th April 2023 received by the MWE on the 15th August 2023. There was error in the date the report was prepared which was not in 4th quarter. This error was explained by the DWO and the date corrected to 18th July 2023. The water projects implemented were as follows:

1. 6 boreholes were drilled at total cost 158,200,000 UGX.
2. 4 boreholes were rehabilitated at total cost 27,600,000 UGX.
3. A piped water supply system was constructed at cost of 118,187,089 UGX (Phase 1 which included drilling the production well, construction of the pump house and construction of a drainable latrine).

The total fund used by the water sector for infrastructure development was 303,987,089 UGX.

Water projects implemented in sub-counties which had safe water coverage below district average were as follows;

- Anyara s/c; 1 borehole was drilled at 22,600,000 UGX, 1 borehole was rehabilitated at 6,900,000 UGX and Phase 1 piped water supply systems constructed at cost of 118,187,089 UGX. Giving a total of 147,687,098 UGX.

- Apapai, s/c: 1 borehole was drilled at 22,600,000 UGX, 1 borehole was rehabilitated at 6,900,000 UGX. Giving a total of 29,500,000 UGX.

- Bululu. s/c: 1 borehole was drilled at 22,600,000 UGX, 1 borehole was

rehabilitated at 6,900,000 UGX. Giving a total of 29,500,000 UGX.

Total cost of project implemented in the s/c with safe water coverage below district average was 206,687,098 UGX.

Therefore, % of water projects implemented in the s/c with safe water coverage below the district average was 68%.

2

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates

- o If within +/-20% score 2
- o If not score 0

Reviewed the District Water and Sanitation AWP for FY 2022/2023 dated 28th July 2022, approved by the MWE on 12th August 2022, the following projects were budgeted for implementation in the district;

1. Drilling 6 boreholes at a total cost 158,200,000 UGX.
2. Rehabilitation of 4 boreholes at a total cost 27,600,000 UGX.
3. Construction of a piped water supply system (Phase 1 which included drilling the production well, construction of the pump house and construction of a drainable latrine) at a cost of 118,165,973 UGX.

Variations in the contract price for the three projects were as follows:

1. Construction of 6 deep hand pump boreholes contract number KALA845/WRKS/2022-2023/00029 was budgeted/estimated at 158,200,000 UGX UGX. The contract document (Bill of quantity) showed that LALE GROUP LTD quoted at 158,200,000 UGX. The contract variation was 0%.
2. Rehabilitation of 4 boreholes, contract number KALA845/WRKS/2022-2023/00046 was estimated at a total cost of 27,600,000 UGX. The contract document (Bill of quantity) showed that MS Wajab Holding LTD quoted at 26,752,960 UGX. The contract variation was -3%
3. Construction piped water supply systems (Phase 1) contract number KALA845/WRKS/2022-2023/00005 was estimated at 118,165,973 UGX. The contract document (Bill of quantity) showed that MS LOKOSI INVESTMENT LTD at 118,187,089 UGX. The contract variation was +0.1%

2

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

d. % of WSS infrastructure projects completed as per annual work plan by end of FY.

o If 100% projects completed: score 2

o If 80-99% projects completed: score 1

o If projects completed are below 80%: 0

According to the District Water and Sanitation AWP for FY 2022/2023 dated 28th July 2022, approved by the MWE on 12th August 2022, the following projects were budgeted for implementation at the sub-counties;

- Anyara s/c; Drilled 1 borehole at 22,600,000 UGX, rehabilitated 1 borehole at 6,900,000 UGX and constructed 1 piped water supply systems at cost of 118,187,089 UGX. Total 147,687,098 UGX.

- Apapai, s/c: Drilled 1 borehole at 22,600,000 UGX and rehabilitated 1 borehole at 6,900,000 UGX. Total 29,500,000 UGX.

- Bululu. s/c; Drilled 1 borehole at 22,600,000 UGX and rehabilitated 1 borehole at 6,900,000 UGX. Total 29,500,000 UGX.

- Kakure s/c: Drilled 1 borehole at 22,600,000 UGX and rehabilitated 1 borehole at 6,900,000 UGX

- Kalaki s/c; Rehabilitated 2 boreholes at 13,800,000 UGX. Total 29,500,000 UGX.

- Otuboi s/c: Drilled 1 borehole at 22,600,000 UGX and rehabilitated 1 borehole at 6,900,000 UGX. Total 29,500,000 UGX.

According to the District Water and Sanitation 4th quarter progress report for FY 2022/2023 dated 18th July 2023, received by the MWE on 15th August 2023, all (100%) of the WSS infrastructure projects mentioned above were completed as per AWP and were functional.

New_Achievement of Standards:

The LG has met WSS infrastructure facility standards

Maximum 4 points on this performance measure

a. If there is an increase in the % of water supply facilities that are functioning

o If there is an increase: score 2

o If no increase: score 0.

From the Ministry MIS, the functionality of rural water supply facilities for the FY 2021/2022 was 85% and for the FY 2022/2023 was 89%, giving an increase of 4 %.

New_Achievement of Standards:

The LG has met WSS infrastructure facility standards

Maximum 4 points on this performance measure

b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).

o If increase is more than 1% score 2

o If increase is between 0-1%, score 1

o If there is no increase : score 0.

From the Ministry MIS, the functionality of WUCs for the FY 2021/2022 was 99% and for the FY 2022/2023 was also 99%, giving an increase of 0%.

Performance Reporting and Performance Improvement

Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance

Maximum 3 points on this performance measure

The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported:
Score: 3

From the District Water and Sanitation 4th quarter progress report for FY 2022/2023 dated 18th July 2023, received by the MWE on 15th April 2023, the following constructed WSS facilities were sampled and visited to establish if they were completed, functional and in use;

1. Amadira borehole in Otobo s/c. The water source was constructed by LALE GROUP COMPANY LTD but the date of construction and DWD number were not labelled on the facility. The water source was completed, functional and in use by the community.

2. Ocukai borehole in Kakure s/c. The water source was constructed by LALE GROUP COMPANY LTD but the date of construction and DWD number were not labelled on the facility. The water source was completed, functional and in use by the community.

3. Abalang Phase 1 piped water systems in Anyara s/c constructed by LOSOKI INVESTMENT LTD. Construction of the Phase 1 was fully completed with production well, pump house and latrine.

Reviewed the Water and Sanitation software reports in ANNEX 4 attached to the following quarterly reports for FY 2022/2023;

1. 1st Quarter report dated 14th December 2022, received by the MWE on the 22nd December 2022.

2. 2nd Quarter report dated 9th February 2023, received by the MWE on the 22nd March 2023.

3. 3rd Quarter report dated 18th April 2023, received by the MWE on the 12th May 2023.

4. 4th Quarter report dated 18th July 2023, received by the MWE on the 15th August 2023.

The software reports provided on quarterly information for FY 2022/2023 on functionality of facilities, WSCs and community involvement per sub-county for all the water and sanitation facilities in the district.

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2

From the District Water and Sanitation 4th quarter progress report for FY 2022/2023 dated 18th July 2023, received by the MWE on 15th April 2023, the following constructed WSS facilities were sampled and visited to establish if they were completed, functional and in use;

1. Amadira borehole in Otoboi s/c. The water source was constructed by LALE GROUP COMPANY LTD but the date of construction and DWD number were not labelled on the facility. The water source was completed, functional and in use by the community.

2. Ocukai borehole in Kakure s/c. The water source was constructed by LALE GROUP COMPANY LTD but the date of construction and DWD number were not labelled on the facility. The water source was completed, functional and in use by the community.

3. Abalang Phase 1 piped water systems in Anyara s/c constructed by LOSOKI INVESTMENT LTD. Construction of the Phase 1 was fully completed with production well, pump house and latrine.

Reviewed the Water and Sanitation software reports in ANNEX 4 attached to the following quarterly reports for FY 2022/2023;

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2. 2nd Quarter report dated 9th February 2023, received by the MWE on the 22nd March 2023.

3. 3rd Quarter report dated 18th April 2023, received by the MWE on the 12th May 2023.

4. 4th Quarter report dated 18th July 2023, received by the MWE on the 15th August 2023.

The software reports provided on quarterly information for FY 2022/2023 on functionality of facilities, WSCs and community involvement per sub-county for all the water and sanitation facilities in the district.

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes:
Score 3 or else 0

There were evidences that Form 4 which should be used to update MIS with information about functionality, population, management of old WSS in the FY 2022/2023 were submitted on quarterly bases to the MWE as follows;

- 1st quarter WSS data was submitted and received by MWE on 12th August 2022.
- 2nd quarter WSS data was submitted and received by MWE on 22nd December 2023.
- 3rd quarter WSS data was submitted and received by MWE on 27th February 2023.
- 4th quarter WSS data was submitted and received by MWE on 15th August 2023.

However, the DWO did not provide any evidences of submitting to MWE Form 1 which should be used to update MIS with information on newly constructed water supply facilities in the FY 2022/2023.

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LIG assessment to develop and implement performance improvement plans: Score 2 or else 0

Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.

LLG performance assessment report for the FY 2022/2023 indicated the following performances of the sub counties;

Anyara s/c 66%

Apapai s/c 92%

Bukulu s/c 88%

Kakure s/c 86%

Kalaki s/c 89%

Otuboi s/c 67%

Ogwolo s/c (New) 64%

Ochelakur s/c (New) 51%

The highest performing LLG score was 92%

Therefore, the 25% lowest performing LLGs were those that performed below 67% (i.e. 92% minus 25%) and they were Anyara s/c, Ogwolo s/c and Ocelakur s/c.

There was PIP developed for the lower performing LLGs in the FY 2022/2023 signed on 27th June by the DWO and CAO. Issues of low coverage, salinity of water and needs to construct piped water systems were identified for PI actions.

The PI actions were implemented in AWP for FY 2023/2024 by budgeting for new water sources in the low performing sub-counties and substitution of boreholes with salty water.

Human Resource Management and Development

Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff

Maximum 4 points on this performance measure

a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2

There was evidence that the DWO budgeted for critical positions in the department. According to the LG approved Budget Estimates VOTE 845, the wage budget was 50,000,000/= and this budget was for the 2 staff under water as shown below;

1. Bongonyinge Abdallah (Civil Engineer (Water) and

2. Ogwara James (Borehole Maintenance Technician)

| | | | | |
|---|---|---|---|---|
| 6 | <p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p> | <p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p> | <p>There was evidence that the Environment and Natural Resource Officer budgeted for staff in the department totalling to 322,901,000/= for the following as staff salaries;</p> <ol style="list-style-type: none"> 1. Erienyu Johnson (DNRO) 2. Alilim Cate (Snr. Environment Officer) 3. Atyek Daniella (Physical Planner) 4. Ouke Bernard (Forestry Officer) 5. Acakara Edith Ruth (Environment Officer) 6. Ariokot Anna Grace (Staff Surveyor) 7. Mwanika David (forest Guard) | 2 |
| 7 | <p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p> | <p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p> | <p>There was evidence that DWO appraised the District Water Office staff against the agreed performance plans during 2022/2023 and this can be confirmed by the below findings;</p> <ol style="list-style-type: none"> 1. Bongonyinge Abdallah (Civil Engineer) was appraised on 11/8/2023 2. Agwara James (Borehole Maintenance Technician) was appraised on 30/6/2023. However, the appraisal of the Civil Engineer was done late after 30th/June/2023 | 0 |

Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.

Maximum 6 points on this performance measure

b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3

The DWO presented the following documents:

1) Capacity Need Assessment Report which was prepared in May 2022 by the Kalaki District Human Resource Officer. The Training needs identified in the water sector recruitment of new staff in the following areas;

- i. Water quality management
- ii. Water system design (software)
- iii. Water supply operation and management

2) Training plan for water sector dated 22nd July 2022 prepared by DWO only identified the training areas and objectives.

3) Training database for water sector dated 22nd July 2022 prepared by DWO indicated that the following trainings were conducted;

- i. Training on water quality management on 22nd August 2022 attended by the DWO, Assistant DWO and Health inspectors
- ii. Training on PBS reporting and budgeting on the 27th September 2022 attended by DWO, Statistician, Planner and District Engineer.

Management, Monitoring and Supervision of Services.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
- • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
- • If 80-99%: Score 2
- • If 60-79: Score 1
- • If below 60 %: Score 0

According to the Ministry MIS, the average safe water coverage for Kalaki district in the FY 2022/2023 was 77% and for the sub-county were as follows:

Anyara s/c 69%

Apapai s/c 70%

Bukulu s/c 62%

Kakure s/c 81%

Kalaki s/c 95%

Otuboi s/c 82%

Therefore, s/c with safe water coverage below the district average were Anyara, Apapai, and Bululu.

According to the District Water and Sanitation AWP for FY 2023/2024 dated 27th April 2023, received by the MWE on 15th August 2023 the total budget allocations to water infrastructure projects was 326,507,716 UGX.

The water infrastructure projects budgeted for were as follows;

1. Drilling 6 deep boreholes (hand pump) at a total cost of 150,000,000 UGX.

2. Rehabilitating 7 boreholes at a total cost of 49,000,000 UGX.

3. Construction of piped water systems at the cost of 127,507,716 UGX.

Projects allocated to s/c which had safe water coverage below district average were as follows;

1. Anyara s/c was allocated 1 new borehole, 1 borehole rehabilitation and Phase 2 construction of piped water systems. The total cost was 157,507,716 UGX.

2. Apapai s/c was allocated 1 new borehole and 1 borehole rehabilitation. The total cost was 34,000,000 UGX.

3. Bululu. s/c was allocated 1 new borehole and 1 borehole rehabilitation. The total cost was 34,000,000 UGX.

The total cost of project allocated to s/c with safe water coverage below district average was 221,507,716 UGX.

Therefore, % of water projects allocated to s/c with safe water coverage below the district average was 69%.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3

There were evidences that the DWO communicated to the LLGs their respective allocations of water sources to be constructed in the current FY on the notice board of the district dated 13th June 2023. The notice indicated allocations as follows;

1. Anyara s/c, 1 borehole at 25,000,000UGX
2. Apapai s/c, 1 borehole at 25,000,000UGX
3. Bukulu s/c, 1 borehole at 25,000,000UGX
4. Kakure s/c, 1 borehole at 25,000,000UGX
5. Kalaki s/c, 1 borehole at 25,000,000UGX
6. Otuboi s/c, 1 borehole at 25,000,000UGX
7. Ogwolo s/c (New), phase 2 construction of pipe water system at 127,507,716 UGX

Reviewed the minutes presented by the DWO for planning and advocacy meeting held on the 16th February 2023 at Kalaki sub-county. The meeting discussed the water sector budget and workplan but did not capture the water project allocations per sub-county.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)

- If 95% and above of the WSS facilities monitored quarterly: score 4
- If 80-94% of the WSS facilities monitored quarterly: score 2
- If less than 80% of the WSS facilities monitored quarterly: Score 0

The WSS facilities were monitored quarterly using Form 4 to update MIS with information about functionality, population, management of old WSS facilities in the FY 2022/2023. These forms were submitted quarterly to the MWE as follows;

- 1st quarter WSS data was submitted and received by MWE on 12th August 2022.
- 2nd quarter WSS data was submitted and received by MWE on 22nd December 2023.
- 3rd quarter WSS data was submitted and received by MWE on 27th February 2023.
- 4th quarter WSS data was submitted and received by MWE on 15th August 2023.

The total number of water sources in the form was 419 and all (100%) were monitored quarterly.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2

There following minutes indicated that the DWO conducted quarterly DWSCC meetings;

- 1st quarter DWSCC meeting which was held on 3rd August 2022. The following main issues were discussed; Allocation of water project to sub-counties with low water coverage, departmental transport challenges, rehabilitation and fencing of boreholes. Agreed remedial actions to be taken in the current FY were increase allocation of water projects to sub counties with low coverage, rehabilitation of boreholes and enforcement collection of water user fees.

- 2nd quarter DWSCC meeting which was held on 15th November 2022. The main issues that were discussed and agreed remedial actions to be taken in the current FY were the same as for 1st quarter DWSCC meeting.

- 3rd quarter DWSCC meeting which was held on 28th February 2023. The following main issues were discussed and considered for implementation in next FY; areas with salty boreholes water must be allocated new boreholes, enforcement of water user fee collection and allocation of piped water systems to rural growth centers.

- 4th quarter DWSCC meeting which was held on 7th June 2023. The following main issues were discussed and considered for implementation in next FY; acquiring new transport for supervision and monitoring, enforced maintenance of water sources, providing new technologies to schools with low groundwater potentials.

| | | | | |
|----|---|--|--|---|
| 9 | <p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p> | <p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p> | <p>There were notices on the notice boards of the district and the sub-counties that were visited (Kakure, Otoboi and Anyara) which showed that the DWO publicized to the LLGs their respective allocations of water sources to be constructed in the current FY. The notice was dated 13th June 2023 and had the following allocations;</p> <ol style="list-style-type: none"> 1. Anyara s/c, 1 borehole at 25,000,000UGX 2. Apapai s/c, 1 borehole at 25,000,000UGX 3. Bukulu s/c, 1 borehole at 25,000,000UGX 4. Kakure s/c, 1 borehole at 25,000,000UGX 5. Kalaki s/c, 1 borehole at 25,000,000UGX 6. Otuboi s/c, 1 borehole at 25,000,000UGX 7. Ogwolo s/c (New), phase 2 construction of pipe water system at 127,507,716 UGX | 2 |
| 10 | <p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p> | <p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 | <p>The total allocation of NWR budget to rural water and sanitation was 54,129,809 UGX out from which allocation to facilitate community mobilisation activities was 21,654,808 UGX which was 40% as required by WSS grant guidelines</p> | 3 |

Mobilization for WSS is conducted

Maximum 6 points on this performance measure

b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.

The following training reports were presented by the District Water Officer which indicated that WSCs were trained on the O&M of the new water facilities constructed in FY 2022/2023;

1. Report for the training of WSC in Ochelakur s/c (New) dated 5th July 2023 attended by 18 people.

2. Report for the training of WSC in Kakure dated 4th March 2023 attended by 9 people.

3. Report for the training of WSC in Apapai s/c dated 15 March 2023 attended by 14 people.

4. Report for the training of WSC in Bululu s/c dated 4/4/2023 attended by 11 people.

The following 3 water projects were visited to confirm if the training of the WSCs were conducted.

1. Amadira borehole in Otoboi s/c. Found caretaker Apidong Josephine who confirmed that the WSC was established and trained on O&M of the borehole but could not remember the date. She said the community collected 12,000 UGX per household for the O&M and they planned to start user fees collection of 2000 UGX per month per household.

2. Ocukai borehole in Kakure s/c. It was raining by the time the water source was visited and no WSC members was found for interview.

3. Abalang Phase 1 piped water systems in Anyara s/c. The piped water system was not yet operational. Phase 1 only drilled the production well and constructed pump house and latrine. The project was planned for completion in the current FY. The WSC was not yet operational.

Investment Management

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| 11 | <p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p> | <p>a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:</p> <p>Score 4 or else 0</p> | <p>The DWO presented a water facilities asset register signed by DWO on 14th February 2023. The database specifies Vote code, Workplan code, description, Parish, Village, District, Sub-county, cost, date of construction, conditions, user name and user title. The database showed water facilities that were constructed and rehabilitated from FY 2020/2021 when the district was started up to the previous FY 2022/2023.</p> <p>There were no sanitation facilities in the asset register.</p> | 4 |
| 11 | <p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p> | <p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDPIII) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p> <p>Score 4 or else score 0.</p> | <p>The district implemented two projects in the department during FY 2022/2023. (i) Drilling of boreholes in various sub counties namely Otuboi, Kakure, Bululu, Apapai, Ochelakur which was budgeted at shs 158,200,000 as per DLG approved budget for FY 2022/2023. The project was captured in the DDP III as well as the AWP for FY 2022/2023. The Desk and Field Appraisal Reports were both dated 20th July, 2022.</p> <p>(ii) Phase I Construction of Abalang Piped Water s</p> <p>Supply System in Ogwolo sub county which was budgeted for shs 118,165,973 as per approved DLG budget for FY 2022/2023. The project was captured in the DDP III and AWP in FY 2022/2023. The Desk and Field Appraisal Reports were both dated 20th July, 2022</p> | 4 |
| 11 | <p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p> | <p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p> | <p>The DWO presented 6 community application forms for new water projects in the FY 2023/2024. Examples of the applications were;</p> <ol style="list-style-type: none"> 1. Application for a borehole in Katiti village, Kalaki S/C signed by the area LC1 on the 30th July 2023. 2. Application for a borehole in Olilimo village, Bululu S/C signed by the area LC1 on the 31st July 2023. 3. Application for a borehole in Ocuikai village, Kakure S/C signed by the area LC1 on the 15th May 2023. | 2 |

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| 11 | <p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p> | <p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p> | <p>The Field Appraisal Reports were dated 20th July, 2022 and the Desk Appraisal Reports were dated 20th July, 2022. Environment and social acceptability were all executed as required.</p> | 2 |
| 11 | <p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p> | <p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p> | <p>LG had no proof that water infrastructure projects for the current FY were screened for environmental and social risks/ impacts by the DEO and DCDO and incorporation of ESMPs into the BoQs because the Water Engineer had not located the water points by the time of assessment</p> | 0 |
| 12 | <p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> | <p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p> | <p>The water infrastructure investments were incorporated on page 7 of the LG approved procurement plan approved on 6/09/2022 and the projects included;</p> <ul style="list-style-type: none"> • Sitting, design and construction of 6 deep boreholes with handle pumps in the sub counties of Kalaki district. • Rehabilitation of 4 deep boreholes in the selected sub counties of Kalaki District and • Phase 1 construction of the piped water scheme for Abalang trading centre in Ogwolo sub county . | 2 |

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| 12 | <p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> | <p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p> | <p>The water supply and public sanitation infrastructure projects for FY2022-2023 were approved by the Contracts Committee as indicated in the following respective projects:</p> <p>Minute of the contracts committee dated 4th/4/2023 under minute CC/MIN/06/05/04/04/2023/2022/2023-5(1b) for the approval of the Sitting, design and construction of the 6 deep boreholes</p> <p>Minute of the contracts committee dated 17th/01/2023 under minute CC/MIN/03/07/17/01/2023/2022/2023-7(1) for the phase 1 piped water supply scheme.</p> <p>Minute of the contracts committee dated 9th/03/2023 under minute CC/MIN/05/05/09/03/2023/2022/2023-5(4) for the approval of the rehabilitation of 4 deep boreholes.</p> | 2 |
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| 12 | <p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> | <p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p> | <p>The LG properly established the project implementation team as per the PPDA guideline. The appointment letter by CAO dated April 6, 2023, indicates that Bongonyige Abdullah (DWO) was appointed as the contracts manager, Emalu Francis (DE) as the project manager, Alilim Cate as the Environment officer ,Atikoro Joseph as the CDO and Oluka Samuel as the labor officer and Odioyo Adii Peter as the clerk of works.</p> | 2 |
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| 12 | <p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p> | <p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p> | <p>The DWO presented the standard technical designs for the Phase 1 construction of Abalang piped water system in Ogwolo s/c and Indian Mark II design for boreholes.</p> <p>The following water projects were visited to confirm if they were constructed as per the standard technical designs provided;</p> <ol style="list-style-type: none"> 1. Amadira borehole in Otoboi s/c. The water source was constructed by LALE GROUP OF COMPANY but the date of construction and DWD number were not labelled. The following measurements were checked and found to be within acceptable range in the standard technical design; <ol style="list-style-type: none"> i. Slap (apron) external diameter ii. Length of drainage channel iii. Sprout (pipe outlet) height 2. Ocukai borehole in Kakure s/c. The water source was constructed by LALE GROUP COMPANY LTD but the date of construction and DWD number were not labelled. The following measurements were checked and found to be within acceptable range in the standard technical design; <ol style="list-style-type: none"> i. Slap (apron) external diameter ii. Length of drainage channel iii. Sprout (pipe outlet) height 3. Abalang Phase 1 piped water systems in Anyara s/c. The water project was constructed by LOSOKI INVESTMENT LTD. Construction of production well, pump house and latrine were completed. Dimensions of the pump house and latrines were checked and found to be within acceptable range in the standard technical design. | 2 |
| 12 | <p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p> | <p>e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2</p> | <p>The relevant technical officers carried out monthly technical supervision of WSS infrastructure projects as described in the following supervision and or monitoring report.</p> <ul style="list-style-type: none"> • Joint supervision and monitoring report for all water projects in the sub counties dated 27/06/2023 for the construction of the 6 deep boreholes and signed by the Environment officer, (DCDO), District Engineer and DWO | 2 |

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts

o If 100 % contracts paid on time: Score 2

o If not score 0

The DLG implemented three projects in the department during FY 2022/2023 out of which two projects were sampled. (i) Payment to Wajab Holdings Ltd for shs 25,437,440 for rehabilitation of four boreholes in the DLG as per payment voucher number 5897187 dated 15th June, 2023.

Initiation of payment was done by the DWO on 25th May, 2023 and on the same date the CFO, CAO, DE, CDO and Environment Officer signed the payment. (ii) Payment to Lokosi Investments Ltd for shs 16,998,789 for installation of piped water in Abalang Trading Center as per payment voucher number 5859921 dated 15th June,2023.

Initiation was done by the DWO on 30th May, 2023 and on the same date the CFO, CAO, DE, CDO and Environment Officer signed the payment documents as required

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:

Score 2, If not score 0

The LG had a complete procurement file for each water Infrastructure contract with all records as required by the PPDA Law as indicated below for the respective projects;

• Sitting, design and construction of 6 deep boreholes ((Procurement Reference no. KALA845/WKRS/2022/2023/00029)

1. Evaluation report dated 6/03/2023 with Lale group Ltd as the best evaluated bidder at 158,200,000.
2. Minutes of contracts committee dated 4th/4/2023 and project approve under minute CC/MIN/06/05/04/04/2023/2022/2023-5(1b) and
3. works contract signed on 6/04/2023

• Construction of a piped water scheme phase 1 (Procurement Reference no. KALA845/WKRS/2022/2023/00005)

1. Evaluation report dated 14/10/2022 with Lokosi investment Ltd as the best evaluated bidder at 148,833,450
2. Minutes of contract committee dated 17th/11/2022 and project approve under minute CC/MIN/02/0517/11/2022/2022/2023-5(3) and
3. Works contract signed on 01/02/2023.

Rehabilitation of 4 deep boreholes in selected sub counties (Procurement Reference no. KALA845/WKRS/2022/2023/00046)

1. Evaluation report dated 6/03/2023 with Wajab Holdings Ltd as the best evaluated bidder at 26,752,960
2. Minutes of contract committee dated 9th/3/2023 and project approve under minute CC/MIN/05/05/09/03/2023/2022/2023-5(14) and
3. Works contract signed on 30/03/2023.

Environment and Social Requirements

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| 13 | <p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p> | <p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p> <p>Score 3, If not score 0</p> | <p>LG had not recorded any grievances under water for the FY 2022/2023 however the Central Grievance Log was available dated "2022"</p> | 3 |
| 14 | <p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p> | <p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p> | <p>NRO disseminated guidelines on water source & catchment protection and natural resource management to CDOs as evidenced by the dissemination list of 06 members dated 20/07/2022, quote: CR/213/6 ending with Ekunu Emmanuel the CDO of Otuboi Town Council</p> | 3 |
| 15 | <p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p> | <p>a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p> | <p>LG had prepared the water source protection plans and natural resource management plans for WSS facilities constructed in the FY 2022/2023 dated 22/08/2022 by the DCDO and the EO</p> | 3 |
| 15 | <p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p> | <p>b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 3, If not score 0</p> | <p>All the 7 boreholes constructed in the FY 2022/2023 had land agreements and MOUs signed between land owners and community presented by the LC 1 Chairpersons. Examples;</p> <ul style="list-style-type: none"> • Land agreement for Kalobo borehole in Otuboi S/C signed on 12th July by the land owner Egono Philip and Chairperson LC 1 Etagu Richard • Land agreement for Aloet borehole in Anyara S/C signed on 27th January 2022 by the land owner Ekado Valentine and Chairperson LC 1 Ewinyu Samel. • Land agreement for Ojolai borehole in Apapai S/C signed on 17th June 2023 by the land owner Olupot Richard and Chairperson LC 1 Alomo Uventine | 3 |

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| 15 | Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i> | c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects: Score 2, If not score 0 | E&S Certification forms were completed and signed by the SEO and DCDO prior to payments of contractors as evidenced below; • E&S Certification form for the for the construction of 6 boreholes in the Sub Counties of Bukulu, Kakure, Ochelakur, Apapai and Otuboi on 15/07/2023 | 2 |
| 15 | Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i> | d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 2, If not score 0 | The DCDO and SEO undertook only two monthly monitoring of water projects in FY 2022/2023 as opposed to the 3 minimum required as evidenced below; • E&S Monitoring Report for the for the construction of 6 boreholes in the Sub Counties of Bukulu, Kakure, Ochelakur, Apapai and Otuboi on 13/06/2023 • E&S Monitoring Report for the for the construction of 6 boreholes in the Sub Counties of Bukulu, Kakure, Ochelakur, Apapai and Otuboi on 17/05/2023 | 0 |

**Micro-scale
Irrigation
Performance
Measures**

| No. | Summary of requirements | Definition of compliance | Compliance justification | Score |
|--|--|--|--|----------|
| Local Government Service Delivery Results | | | | |
| 1 | <p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p> | <p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p> | <p>The LG had up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries as evidenced by the report dated 30/Jun/2023 prepared by Esamu Noah-Senior Agricultural Officer</p> <p>For the FY 2022/23, Kalaki district Local government was enrolled for the Micro scale irrigation program phase II. There was 38.25 acres of irrigated land for both beneficiaries and non-beneficiaries. The non-beneficiaries constituted to 34.25 acreage and the beneficiaries they constituted to 4 acreage as indicated in the data collection report dated 30/06/2023. Two demonstration sites were set out under micro scale irrigation grant beneficiary that is Emagu Alphonse at Otuboi TC Subcounty Abemunyo Parish Ogasia Village sized at 2 acres and Ojupa at Kalaki Subcounty Kamud Parish Oimai Village sized at 2 acres.</p> | 2 |
| 1 | <p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p> | <p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 | <p>According to the reports on irrigated land by the Esamu Noah-Senior Agricultural Officer Senior (written on 24/06/2022 and 30/06/2023), the District in the FY 2021/22 had 8 acres of irrigated land which increased to 38.25 acres in the FY 2022/23. Therefore, the increase in the irrigated land was</p> $((38.25 - 8)/38.25) \times 100 = 79.085\%$ | 2 |
| 2 | <p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p> | <p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%, score 4 • 60% - 70%, score 2 • Below 60%, score 0 | <p>Awaiting assessment results from the LLG assessment</p> | 0 |

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| 3 | Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines | a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0 | The LG received a grant of 157,240,075 that was budgeted and used according to the set-out guidelines for districts under Phase II. Awareness raising for local leaders 23,586,011 (15%), Awareness raising for farmers 62,896,030 (40%), Farm visits 23,586,010 (15%), Demonstration sites 47,172,023(30%) as evidenced from the Budget performance reports dated 16/08/2023. | 2 |
| 3 | Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines | b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0 | The approved farmer Emagu Alphonse and Ojupa Richard signed an Acceptance Form dated 02/06/2023 confirming that equipment is working well, before the LG made payments to the suppliers | 1 |
| 3 | Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines | Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0 | The Agricultural Engineer quoted 47,172,023 Ref No CC/MIN/06/06/4/04/2023/2022/2023 and the contractor Smart Agriculture Technologies Supplies and Consultancy (U) Ltd submitted in a bid (Bid No 18) of 47,154,192 which was recommend for award by the contracts committee on the 4/4/2023. A contract (contract No KALA 845/WRKS/2022-2023/00043) was signed between Smart Agriculture Technologies Supplies and Consultancy (U) Ltd and Kalaki LG on the 4/May/2023 indicating a contact sum of 47,154,192. Thus, the contract was within +/-20% of the Agriculture Engineers estimates. | 1 |
| 3 | Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines | d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY | All micro irrigation equipment was Installed/completed 100%within the FY 2022/23 as evidenced from the certificate of Practical completion dated 02/JUNE/2023 | 2 |
| Maximum score 6 | <ul style="list-style-type: none"> • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0 | | | |

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| 4 | Achievement of standards: The LG has met staffing and micro-scale irrigation standards | a) Evidence that the LG has recruited LLG extension workers as per staffing structure | There was no evidence that the LG recruited LLG extension workers as per the staffing structure. According to the approved structure, the LG required 28 and only recruited 19 extension workers translating to 67% | 0 |
| | Maximum score 6 | <ul style="list-style-type: none"> • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0 | | |
| 4 | Achievement of standards: The LG has met staffing and micro-scale irrigation standards | b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF | There was evidence that the micro-scale irrigation equipment met standards as defined by MAAIF as evidenced on the inventory register of micro-scale irrigation equipment dated 30/06/2023. | 2 |
| | Maximum score 6 | <ul style="list-style-type: none"> • If 100% score 2 or else score 0 | <p>Petrol Engine surface water pump, head pressure (TDH) 50-75mm, discharge (Q) 15-30m, 3hr, 1 1/2" to 2" outlet & inlet was evidenced at host farm for Ojupa Richard in Oimai village, Kamunda parish, Kalaki sub-county as indicated in the inventory register dated 30/06/2023 S/N 1.</p> <p>Surface solar water pump, 1 1/4" inlet & outlet, head pressure (TDH) 30-40m, Omax 45 to 60L/hr, power 500 to 550W. (ENOS) was evidenced at host farms for Ojupa Richard in Oimai village, Kamunda parish, Kalaki sub-county and Emagu Alphonse in Ogasia cell, Abermunyo ward, Otuboi town council as indicated in the inventory register dated 30/06/20.</p> <p>300WP-315WPV panel, voltage at max power =36.75-36.98 V was evidenced at host farms for Ojupa Richard in Oimai village, Kamunda parish, Kalaki sub-county and Emagu Alphonse in Ogasia cell, Abermunyo ward, Otuboi town council as indicated in the inventory register dated 30/06/20.</p> <p>1/2" sprinkler with minimum radius flow of 15m was evidenced at host farm for Ojupa Richard in Oimai village, Kamunda parish, Kalaki sub-county as indicated in the inventory register dated 30/06/20.</p> <p>5000 L HDPE water storage tank mounted on raised platform was evidenced at host farm for Emagu Alphonse in Ogasia cell, Abermunyo ward, Otuboi town council as indicated in the inventory register dated 30/06/20.</p> | |

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| 4 | <p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p> | <p>b) Evidence that the installed micro-scale irrigation systems during last FY are functional</p> <ul style="list-style-type: none"> • If 100% are functional score 2 or else score 0 | <p>From the 2 sampled irrigation facilities installed in the FY 2022/2023, it was evident that they were functional as farmers were found irrigating. The entire system was functional and the host farmers Ojupa Richard in Oimai village, Kamunda parish, Kalaki sub-county and Emagu Alphonse in Ogasia cell, Abermunyo ward, Otuboi town council were found irrigating.</p> | 2 |
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Performance Reporting and Performance Improvement

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| 5 | <p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p> | <p>a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0</p> | <p>There was evidence that information on positions of extension workers filled was accurate. Three (3) LLGs were sampled and visited and below were the findings;</p> <ol style="list-style-type: none"> 1. In Otuboi Town Council Abeko Tom was working as the Animal Husbandry Officer and this position was provided for in the approved staff structure. 2. In Kalaki Sub County, the approved structure provided for (AVO) and as per the staff list, the position had been filled by Ademun Richard Oliba 3. In Ogwole Sub County, Amalai Henry was the Fisheries Officer, a position provided for in the approved staff structure | 2 |
| 5 | <p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p> | <p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p> | <p>From the visit to the site by the assessment team on 03/11/2023 revealed that the information on demonstration site status was accurate for all demonstration sites.</p> <p>The host farmers Ojupa Richard in Oimai village, Kamunda parish, Kalaki sub-county and Emagu Alphonse in Ogasia cell, Abermunyo ward, Otuboi town council were found irrigating.</p> | 2 |
| 6 | <p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p> | <p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p> | <p>Four sets of Quarterly supervision and monitoring report by Esamu Noah-Senior Agricultural Officer were in place.</p> <p>The Q1 report was written on 30/09/2022, Q2 on 02/01/2023, Q3 on 03/04/2023 and Q4 on 29/06/2023.</p> <p>The supervisions reports captured the status of the irrigated land, equipment functionality, the provision of complementary services and farmers expression of interest.</p> | 2 |

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| 6 | Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6 | b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0 | The LG had entered up to- date LLG information into MIS as evidenced by the last entry of EOI as Apeduna Florence of Akatakwe village NIN CF62054100NPXA dated 31/10/2023. | 1 |
| 6 | Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6 | c.Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0 | The LG prepared quarterly report using information compiled for LLGs in the MIS as evidenced by Q4 report dated 29/06/2023 were EOI successful were 116 & 13 unsuccessful. 8.6% were female and 91.4% were male. | 1 |
| 6 | Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6 | d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0 | The LG developed an approved Performance Improvement Plan for the lowest performing LLGs (Ochelakur S/C that scored 0) as evidenced in the Action plan dated 20/07/2022. | 1 |
| 6 | Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6 | ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0 | Implemented Performance Improvement Plan dated 28/06/2023 was evidence. Kyazze Ronald was re-designated in appointment as SAE under minute No. 13/KDSC/JUNE/2023(a) to fill in the gap. | 1 |

Human Resource Management and Development

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| 7 | Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines | a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0 | There was evidence that the LG had budgeted for extension workers for the FY 2023/2024 approved work plan dated 11/10/2023 page 1. 23 extension workers were budgeted for in all the 4 quarters as indicated by budget code 211101 of the work plan. These were deployed as per guidelines as reflected in the staff registers dated 3/11/2023. | 1 |
| 7 | Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines | ii Deployed extension workers as per guidelines score 1 or else 0 | 23 extension workers were budgeted for in all the 4 quarters as indicated by budget code 211101 of the work plan. These were deployed as per guidelines as reflected in the staff registers dated 3/11/2023. | 1 |
| 7 | Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines | b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0 | <p>There was evidence that extension workers were working in the LLGs where they were deployed. For example;</p> <ol style="list-style-type: none"> 1. In Otuboi Town Council Abeko Tom was working as the Animal Husbandry Officer and on 19/5/2023 reported for work at 8:32am 2. In Kalaki Sub County, Ademun Richard Oliba was deployed as Assistant Veterinary Officer and on 16/5/2023 reported for duty at 8:45am 3. In Ogwole Sub County, Amalai Henry was deployed as Fisheries Officer and on 13/2/2023 reported for duty at 8:04am. | 2 |
| 7 | Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines | c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0 | There was evidence that extension workers deployment had been published and disseminated to the LLGs based on evidence obtained from Otuboi TC, Kalaki SC and Ogwole SC. It should however, be noted that the staff lists were not dated nor stamped. | 2 |
| | Maximum score 6 | | | |

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| 8 | <p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p> | <p>a) Evidence that the District Production Coordinator has:</p> <p>i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0</p> | <p>There was evidence that the DPO conducted appraisal for all extension workers against the agreed performance plans and submitted a copy to HRO. For stance,</p> <ol style="list-style-type: none"> 1. Ademun Richard (AHO) kalaki SC was appraised on 30/6/2023 2. Opolot Samuel Ojwang (AFO) Bululu SC was appraised on 27/6/2023 3. Emolu David (AO) Otuboi SC was appraised on 29/6/2023 4. Amalai Henry (FO) Otuboi SC was appraised on 2/7/2023 5. Amuge Joyce (AO) Kakure SC was appraised 30/6/2023 6. Ariu Emmanuel (AO) Kalaki SC was appraised on 30/6/2023 7. Ijoot Nicholas (AO) Ochelakur SC was appraised on 30/6/2023 8. Oniro Bernard (AFO) Kalaki SC was appraised on 6/7/2023 9. Tino Florence (AHO) Kakure SC was appraised on 30/6/2023 10. Emochu Joseph (Vet Officer) Otuboi SC was appraised on 4/7/2023. However, some extension workers as indicated above were appraised late after 30th/June/2023. | 0 |
| 8 | <p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p> | <p>a) Evidence that the District Production Coordinator has;</p> <p>Taken corrective actions: Score 1 or else 0</p> | <p>There was no evidence and no reason was given</p> | 0 |
| 8 | <p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p> | <p>b) Evidence that:</p> <p>i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0</p> | <p>Training activities were conducted in accordance to the training plans at District level as evidenced in training reports dated 11/01/2023 and training data base dated 30/06/2023. Awareness raising micro-scale irrigation held on 10/01/2023 at New council hall were 104(DTPC, DEC, councillors) attended.</p> | 1 |

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| 8 | Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4 | ii Evidence that training activities were documented in the training database: Score 1 or else 0 | Training activities were documented in the training database as evidenced in training data base dated 30/06/2023. Awareness raising event for leaders held on 10/01/2023 | 1 |
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Management, Monitoring and Supervision of Services.

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| 9 | Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10 | a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0 | The LG received a grant of 157,240,075 that was budgeted and used according to the set-out guidelines for districts under Phase II. Awareness raising for local leaders 23,586,011 (15%), Awareness raising for farmers 62,896,030 (40%), Farm visits 23,586,010 (15%), Demonstration sites 47,172,023(30%) as evidenced in the budget and workplan for FY 2022/2023 dated 10/08/2022. | 2 |
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| 9 | Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10 | b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0 | The approved work plan for FY 2023/24 showed that the District allocated (70,117,575/=) for complementary services on page 3 dated 11/10/2023. 25% for enhancing LG capacity to support irrigated agriculture and 75% of the complementary service for enhancing farmer capacity for uptake of micro scale irrigation. | 2 |
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| 9 | <p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p> | <p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p> | <p>The co—funding is reflected in the LG Budget and allocated as per guidelines on page 3 of the workplan dated 11/10/2023 for FY 2023/2024</p> | 2 |
| 9 | <p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p> | <p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p> | <p>No evidence was availed to indicate that the local government used farmer co-funding funds. It was however, reported that one farmer was paying co-funding on instalment.</p> <p>A one farmer in the names of Otim Alex paid One Million shillings only as co-funding on receipt no 11406.</p> | 0 |
| 9 | <p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p> | <p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p> | <p>The LG disseminated information on use of the farmer co-funding as evidenced from the minutes on dissemination of farmers co-funding to the farmers dated 24/04/2023.</p> <p>A one farmer in the names of Otim Alex paid One Million shillings only as co-funding on receipt no 11406.</p> | 2 |
| 10 | <p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p> | <p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 Less than 70% score 0 | <p>There was no evidence provided to show that the DPO had monitored on a monthly installed micro scale irrigation equipment. Further more installation of the microscale irrigation equipment was done at the end of FY.</p> | 0 |

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| 10 | Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8 | b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0 | The LG over saw technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period as evidenced in field monitoring reports dated 28/03/2023,29/04/2023 & 30/06/2023. The technical training included the proper use of equipment, small repairs and servicing of the equipment. | 2 |
| 10 | Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8 | c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0 | There was evidence that the LG had provided hands- on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines according to the farm visit reports dated 26/05/2023, 31/05/2023, 01/06/2023.Extension workers were trained on how to use the IRR- TRACK APP, filling and documentation, collection of EIO from farmers. | 2 |
| 10 | Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8 | d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0 | The LG established and run farmer field schools as per guidelines as evidenced in report dated 30/06/2023 on Farmer Field schools in Otuboi TC & Kalaki Sub-county. | 2 |
| 11 | Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4 | a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0 | The LG has conducted activities to mobilize farmers as per guidelines as evidenced from report dated 19/04/2023, Attendance sheets dated 24/03/2023 and 31/03/2023 and field photos. 427 male and 92 female farmers were mobilized. | 2 |
| 11 | Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4 | b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0 | The District has trained staff and political leaders at District and LLG levels as evidenced in training report dated 14/02/2023 for LLG and training report dated 11/01/2023 and attendance sheets dated 10/01/2023 for LG. At district level there were 65 males and 32 females. | 2 |

Investment Management

12

Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines

Maximum score 8

a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0

The LG had an up dated register of micro-scale irrigation equipment supplied to farmers in the FY 2022/2023 as per the format as evidenced from the inventory of irrigation equipment dated 30/06/2023.

Petrol Engine surface water pump, head pressure (TDH) 50-75mm, discharge (Q) 15-30m, 3hr, 1 1/2" to 2" outlet & inlet at host farm for Ojupa Richard in Oimai village, Kamunda parish, Kalaki sub-county that was delivered on 25/05/2023 and completed on 02/06/2023.

2 Surface solar water pump, 1 1/4" inlet & outlet, head pressure (TDH) 30-40m, Omax 45 to 60L/hr, power 500 to 550W. (ENOS) at host farms for Ojupa Richard in Oimai village, Kamunda parish, Kalaki sub-county and Emagu Alphonse in Ogasia cell, Abermunyo ward, Otuboi town council that was delivered on 25/05/2023 and completed on 02/06/2023.

Two 300WP-315WPV panel, voltage at max power =36.75-36.98 V at host farms for Ojupa Richard in Oimai village, Kamunda parish, Kalaki sub-county and Emagu Alphonse in Ogasia cell, Abermunyo ward, Otuboi town council that was delivered on 25/05/2023 and completed on 02/06/2023

1/2" sprinkler with minimum radius flow of 15m at host farm for Ojupa Richard in Oimai village, Kamunda parish, Kalaki sub-county that was delivered on 25/05/2023 and completed on 02/06/2023.

5000 L HDPE water storage tank mounted on raised platform at host farm for Emagu Alphonse in Ogasia cell, Abermunyo ward, Otuboi town council that was delivered on 25/05/2023 and completed on 02/06/2023.

12

Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines

Maximum score 8

b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0

The LG kept an up to—date database of applications at the time of the assessment as evidenced in the data base of farmers applications for micro scale irrigation dated 30/06/2023 were 269 farmers applied, 30 in Kakure, 35 in Ogwolo, 44 in Bululu, 26 in Apapi, 16 in Anyara, 25 in Kalaki, 29 in Ochelakur, 26 in Otuboi, 23 in Otuboi Town council and 15 in Kalaki Town council.

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| 12 | <p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p> | <p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p> | <p>Farm visits were carried out as evidenced by the farm visit reports dated 30/06/2023. From the farm visits a number of parameters were assessed including area to be irrigated, nature of water source, distance from the water source to the furthest point of the garden, agronomic practices and equipment of preference.</p> <p>However, there was no evidence of farmers signing an Agreement to proceed for quotation form.</p> | 2 |
| 12 | <p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p> | <p>d) For DDEG financed projects:</p> <p>Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p> | <p>There was evidence that the LG District Agricultural Engineer publicised the eligible farmers that they have been approved for posting on the District and LLG noticeboards of Ogowole, Kalaki and Otuboi TC. However, the list was not dated or stamped</p> | 2 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p> | <p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p> | <p>Page 7 of the approved Procurement Plan for FY 2023-2024, approved on July 14, 2023, under production department, indicated that the micro scale irrigation systems had been incorporated in the approved Procurement Plan with the following project:</p> <p>Procurement and installation of irrigation equipment at 210,352,726 and establishment of farmer field training schools at 14,023,515.</p> | 1 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p> | <p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p> | <p>The LG did not request for quotations from irrigation equipment suppliers, as the LG received the list of pre-qualified equipment suppliers from MAAIF very late on October 27, 2023, at 22:22 a.m., as per the email message for the receipt of the list presented by the production officer at the time of assessment</p> | 0 |

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| 13 | Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18 | c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0 | The LG did not conclude the selection of the irrigation equipment supplier as the LG received the list of pre-qualified equipment suppliers from MAAIF very late on October 27, 2023, at 22:22 a.m., as per the email message for the receipt of the list presented by the production officer at the time of assessment | 0 |
| 13 | Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18 | d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0 | The micro-scale irrigation systems installed were approved by the Contracts Committee as per minutes of the contracts committee dated 4th/04/2023 under minute CC/MIN/06/06/04/04/2023/2022/2023-6(b) for procurement and installation of two sets of demonstration irrigation systems at an amount of 47,154,192. | 1 |
| 13 | Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18 | e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0 | The LG signed the contract with the lowest priced technically responsive irrigation equipment supplier as only one irrigation equipment supplier turned for bidding and that's SMART AGRICULTURE TECHNOLOGIES SUPPLIES AND CONSULTANCY UGANDA LTD who was awarded the contract at 47,154,192 | 2 |
| 13 | Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18 | f)Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0 | The micro-scale irrigation equipment installed was in line with the design output sheet (generated by irri Track App). The irrigation points were 7-10m apart and the drag horse 50m efficient for irrigation acreage coverage. | 2 |
| 13 | Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18 | g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0 | Site books indicated that SAO, SEO& WO concurrently visited the sites on different dates dated 25/5/2023,31/05/2023, 01/06/2023 and supervision reports dated 26/05/2023, 31/05/2023, 01/06/2023 filed by Esamu Noah-Senior Agricultural Officer Senior, thus it was evidenced that the LG conducted regular technical supervision of the Micro-scale irrigation projects. | 2 |

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| 13 | Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18 | h) Evidence that the LG has overseen the irrigation equipment supplier during: i. Testing the functionality of the installed equipment: Score 1 or else 0 | Site books indicated that SAO, SEO& WO concurrently visited the sites on different dates dated 25/5/2023,31/05/2023, 01/06/2023 and supervision reports dated 26/05/2023, 31/05/2023, 01/06/2023 filed by Esamu Noah-Senior Agricultural Officer Senior, thus it was evidenced that the LG has oversaw the irrigation equipment supplier during testing the functionality. | 1 |
| 13 | Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18 | ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0 | Delivery note dated 25/06/2023 and 02/06/2023 by the supplier and goods received note dated 25/06/2023 and 02/06/2023 by the LG on behalf of the approved farmer, indicated that the LG has oversaw the irrigation equipment supplier hand-over of the equipment to the Approved Farmer. | 1 |
| 13 | Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18 | i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0 | The Local Government made payment of the supplier within specified timeframes subject to the presence of the Approved farmer as evidenced form the request of payment dated 2/6/2023 and payment was made on 30/06/2023 Voucher No 6439911 EFT No 643991. | 2 |
| 13 | Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18 | j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0 | The LG had a complete procurement file for the installed irrigation systems, as indicated by 1. Evaluation report dated 29/03/2023 with. Smart Agriculture Technologies Supplies and Consultancy Uganda Ltd as the best bidder at 47,154,192. 2. Minutes of the contracts committee dated 4th/4/2023 under minute CC/MIN/06//06/04/04/2023/2022/2023-6(b) 3. Works contract signed on 4th/05/2023. | 2 |

Environment and Social Safeguards

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| 14 | <p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p> | <p>a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0</p> | <p>The LG displayed details of the nature and avenues to address grievance prominently in multiple public areas as evidenced on all LG and LLG noticeboards dated 5/August/2022.</p> | 2 |
| 14 | <p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p> | <p>b) Micro-scale irrigation grievances have been:</p> <p>i). Recorded score 1 or else 0</p> <p>ii). Investigated score 1 or else 0</p> <p>iii). Responded to score 1 or else 0</p> <p>iv). Reported on in line with LG grievance redress framework score 1 or else 0</p> | <p>Record of grievances indicated one case was recorded in Otuboi dated 1/06/2023 in Abermunyo Ward by Complainant Ogwang Alphonse Emagu the host farmer were community animals destroyed the irrigation pipes that affected the supply of water for irrigation. The community was sensitised by different stake holders and case was closed on 17/06/2023</p> <p>Damage of irrigation pipe on 20/07/2023 was recorded from Ojupa Richard the complainant in Kalaki S/C. The community was sensitized to respect government property and the case was closed on 16/08/2023.</p> <p>These cases were reported in line with LG grievance redress frameworks.</p> | 1 |
| 14 | <p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p> | <p>b) Micro-scale irrigation grievances have been:</p> <p>ii. Investigated score 1 or else 0</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p> | <p>Record of grievances indicated one case was recorded in Otuboi dated 1/06/2023 in Abermunyo Ward by Complainant Ogwang Alphonse Emagu the host farmer were community animals destroyed the irrigation pipes that affected the supply of water for irrigation. The community was sensitised by different stake holders and case was closed on 17/06/2023</p> <p>Damage of irrigation pipe on 20/07/2023 was recorded from Ojupa Richard the complainant in Kalaki S/C. The community was sensitized to respect government property and the case was closed on 16/08/2023.</p> <p>However, these cases were not reported online with LG grievance redress frameworks.</p> | 1 |

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|----|---|--|--|----------|
| 14 | <p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p> | <p>b) Micro-scale irrigation grievances have been:</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p> | <p>Record of grievances indicated one case was recorded in Otuboi dated 1/06/2023 in Abermunyo Ward by Complainant Ogwang Alphonse Emagu the host farmer were community animals destroyed the irrigation pipes that affected the supply of water for irrigation. The community was sensitised by different stake holders and case was closed on 17/06/2023</p> <p>Damage of irrigation pipe on 20/07/2023 was recorded from Ojupa Richard the complainant in Kalaki S/C. The community was sensitized to respect government property and the case was closed on 16/08/2023.</p> <p>However, these cases were not reported online with LG grievance redress frameworks.</p> | 1 |
| 14 | <p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p> | <p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p> | <p>None of these cases were reported online with LG grievance redress frameworks.</p> | 0 |

Environment and Social Requirements

15

Safeguards in the delivery of investments

Maximum score 6

a) Evidence that LGs have disseminated Micro-irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.

score 2 or else 0

LGs have disseminated Micro-irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste. This is evident on the E&S input distribution list for E&S requirements guidelines, farmers brochure part 1&2 dated 2/May/2023 were 6 members were inducted on the different farm visits as indicated in the report on farm visits dated 26/05/2023, 30/05/2023 and 02/06/2023.

MOU was signed between Emagu Alphonse NIN CM66054102x450 and Esamu Noah the SAO on behalf of Kalaki district LG on 31/Mar/2023.

MOU was also signed between Ojupa Richard and Esamu Noah the SAO on behalf of Kalaki district LG on 14/Mar/2023.

Clauses to adhere with requirements for proper storage, use, transportation, and disposal of agro-chemicals and follow E&S recommendations were evidenced on page 1of 2 of the signed MOUs.

Consent forms dated 31/03/2023 were signed by Farmers confirming the host of demo sites under UGIFT micro irrigation programme.

Land agreement dated 04/01/2023 was presented by Ojupa Richard indicating land access without Encumbrance.

Land agreement dated 22/06/2017 was also presented by Emagu Alphonse indicating land access without Encumbrance.

15

Safeguards in the delivery of investments

Maximum score 6

b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.

i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents
score 1 or else 0

LG had prepared costed ESMPs for micro scale irrigation investments in FY 2022/2023 by the SEO and DCDO as follows;

- Costed ESMP of 650,000/= for the supply and installation of irrigation demonstration equipment in Omai Village in Otuboi Sub County and Otuboi Town Council on 01/05/2023

- Costed ESMP of 1,300,000/= for the Supply and installation of irrigation demonstration equipment in Kalaki Sub County on 12/05/2023

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| 15 | Safeguards in the delivery of investments Maximum score 6 | ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0 | <p>LG had conducted monthly monitoring of micro scale irrigation investments in FY 2022/2023 as follows;</p> <ul style="list-style-type: none"> • E&S Monitoring Report Supply and installation of irrigation demonstration equipment in Omai Village in Otuboi Sub County and Otuboi Town Council, and in Kalaki Sub County by the SEO and DCDO on 13/06/2023 • E&S Monitoring Report Supply and installation of irrigation demonstration equipment in Omai Village in Otuboi Sub County and Otuboi Town Council, and in Kalaki Sub County by the SEO and DCDO on 17/05/2023 • E&S Monitoring Report Supply and installation of irrigation demonstration equipment in Omai Village in Otuboi Sub County and Otuboi Town Council, and in Kalaki Sub County by the SEO and DCDO on 15/05/2023 | 1 |
| 15 | Safeguards in the delivery of investments Maximum score 6 | iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0 | <p>LG had Certification forms completed and signed by SEO for micro scale irrigation investments in FY 2022/2023 as follows;</p> <ul style="list-style-type: none"> • E&S Certification form for the supply and installation of irrigation demonstration equipment in Omai Village in Otuboi Sub County and Otuboi Town Council on 30/06/2023 | 1 |
| 15 | Safeguards in the delivery of investments Maximum score 6 | iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0 | <p>LG had Certification forms completed and signed by DCDO for micro scale irrigation investments in FY 2022/2023 as follows;</p> <ul style="list-style-type: none"> • E&S Certification form for the supply and installation of irrigation demonstration equipment in Omai Village in Otuboi Sub County and Otuboi Town Council on 30/06/2023 | 1 |

**Crosscutting Minimum
Conditions**

| No. | Summary of requirements | Definition of compliance | Compliance justification | Score |
|--|---|---|---|--------------|
| Human Resource Management and Development | | | | |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0 | Ms. Ayo Judith was substantively appointed as Chief Finance Officer as per the letter of appointment dated 15/5/2023 under DSC Min No. 22/KDSC/APRIL/2023 (w) | 3 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | b. District Planner/Senior Planner, score 3 or else 0 | The position of District Planner was not substantively filled at the time of assessment. However, Mr. Erau Andrew a substantive Senior Planner was assigned by CAO as Ag. District Planner on 7/7/2023 | 0 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | c. District Engineer/Principal Engineer, score 3 or else 0 | The position of District Engineer was not substantively filled at the time of assessment. However, Mr. Emalu Francis a substantive Senior Engineer was assigned by CAO as Ag. District Engineer on 24/8/2022. There was also evidence that CAO wrote to the Ministry of Works and Transport requesting for secondment on 12/5/2023 but no response was given. | 0 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0 | Mr. Erienyu Johnson was substantively appointed as District Natural Resource Officer as per the appointment letter dated 2/6/2023 under Min No. 17/KDSC/MAY/2023 (g) | 3 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | e. District Production Officer/Senior Veterinary Officer, score 3 or else 0 | Mr. Eryau Joseph was substantively appointed as District Production & Marketing Officer as per the appointment letter dated 1/7/2020 under DSC Min No. 201/2020 | 3 |

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| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | f. District Community Development Officer/Principal CDO, score 3 or else 0 | Mr. Okello Joseph Atikono was substantively appointed as District Community Development Officer as per the appointment letter dated 1/11/2020 under DSC Min No. 10/2020 (ii) | 3 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0 | Mr. Nyangkori Moses was substantively appointed as Principal Commercial Officer as per the letter of appointment dated 30/6/2023 under Min No. 12/KDSC/JUNE/2023 (r) | 3 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0. | Mr. Ogwal Kenneth was substantively appointed as Senior Procurement Officer as per the letter of appointment dated 15/5/2023 under Min No. 22/KDSC/APRIL/2023 (a) | 2 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0 | The position was found Vacant since May 2023 when the then Procurement Officer was promoted to Senior Procurement Officer and has since not been filled. | 0 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | i. Principal Human Resource Officer, score 2 or else 0 | The position was not substantively filled at the time of Assessment. However, Adur Lorna was assigned by CAO as Ag. PHRM on 8/12/2022. Available records revealed that this position was advertised in the New Vision of 23/01/2023 but never attracted potential candidate. | 0 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | j. A Senior Environment Officer, score 2 or else 0 | Ms. Alilim Cate was substantively appointed as Senior Environment Officer as per the appointment letter dated 1/2/2020 under Min No. 8/2020 (ii) | 2 |

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| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | k. Senior Land Management Officer /Physical Planner, score 2 or else 0 | Mr. Ongom Oscar was substantively appointed as Senior Land Management Officer as per the appointment letter dated 1/11/2020 under DSC Min No. 110/2020 | 2 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | l. A Senior Accountant, score 2 or else 0 | Mr. Ekutu Max was substantively appointed as Senior Accountant as per the appointment letter dated 1/3/2022 under DSC Min No. 389/2022 | 2 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0 | Mr. Alungat Petua was substantively appointed as Principal Internal Auditor as per the appointment letter dated 15/5/2023 under Min No. 22/KDSC/APRIL/2023 (y) | 2 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0 | Mr. Ejupa Martin Opaga was substantively appointment as Principal Human Resource Officer – Secretary DSC as per the appointment letter dated 1/02/2023 under DSC Min No. 7/2023 (1) | 2 |

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).

The DLG had ten (10) LLGs and there was evidence that all Senior Assistant Secretaries were substantively appointed as indicated below;

1. Apwono Goretty (Anyara SC) was substantively recruited as SAS on 1/2/2023 under Min No. 7/2023 (1). 3
2. Ejuu Bernard (Otuboi TC) was substantively recruited as Principal Township Officer on 1/2/2023 under Min No. 7/2023 (1)
3. Eliau Richard (Kalaki TC) was substantively recruited as Town Clerk on 1/11/2020 under Min No. 95/2020
4. Emwocu Tom (Ogwolo SC) was substantively recruited as SACAO on 2/5/2023 under Min No. 23/KDSC/APRIL/2023 (a)
5. Amulen Mary Magdelene (Apapai SC) was substantively recruited as SACAO on 1/11/2020 under Min No. 82/2020 (3)
6. Eroku David (Kakure SC) was substantively recruited as SACAO on 1/2/2022 under Min No. 363/2021
7. Ebonge Patrick (Otuboi SC) was substantively recruited as SACAO on 30/5/2019 under Min No. 12/2019 (ii) - 3
8. Opio Hannington (Kalaki SC) was substantively recruited as SACAO on 1/11/2020 under Min No. 82/2020 (2)
9. Aleto Mary (Bululu SC) was substantively recruited as SACAO on 1/11/2020 under Min No. 82/2020 (1)
10. Ogulei Joseph (Ochelakur SC) was substantively recruited as SACAO on 2/5/2023 under Min No. 22/KDSC/APRIL/2023 (a)

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

The LG had ten (10) CDOs and there was evidence that all Community Development Officers were substantively appointed as indicated below;

1. Aporu Bernard Francis (Kalaki TC) was substantively recruited as Snr. CDO on 25/6/2019 under Min No. 18/2019 (a) - 2

2. Esupu Richard (Otuboi TC) was substantively recruited as Snr. CDO on 1/11/2020 under Min No. 116/2020

3. Ongaria Samuel (Bululu SC) was substantively recruited as CDO on 1/9/2009 under Min No. 14 (xviii) of 2009

4. Akoto Harriet (Kalaki SC) was substantively recruited as CDO on 2/6/2023 under Min No. 14/KDSC/MAY/2023 (n) 5

5. Apila Teddy (Kakure SC) was substantively recruited as CDO on 2/6/2023 under Min No.14/KDSC/MAY/2023 (n) 2

6. Ajulo Harriet (Otuboi SC) was substantively recruited as CDO on 2/6/2023 under Min no. 14/KDSC/MAY/2023 (n) 4

7. Adwono Betty (Ochelakur SC) was substantively recruited as CDO on 2/6/2023 under Min No. 14/KDSC/MAY/2023 (n) 1

8. Akot Julliet (Anyara SC) was substantively recruited as CDO on 30/5/2019 under Min No. 12/2019 (xvii) - 1

9. Eilu Silas (Apapai SC) was substantively recruited as CDO on 2/6/2023 under Min No. 14/KDSC/MAY/2023 (n) 3

10. Amongin Grace (Ogwalo Sc) was substantively recruited as CDO on 15/10/2018 under Min No. 27/2018 (b) -28

11. Ekunu Emmanuel (Otuboi TC) was substantively recruited as Assistant CDO on 15/5/2023 under Min No. 23/KDSC/APRIL/2023 (u)

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

The LG had ten (10) Accounts Assistants and there was evidence that all Senior Accounts Assistants were substantively appointed as indicated below;

Maximum score is 15

1. Apali James Opio (Otuboi SC) was substantively recruited as Snr. Assistant Accountant on 25/6/2019 under Min No. 18/2019 (b) - 6

2. Esabu Joseph Oriekot (Kakure SC) was substantively recruited as Snr. Assistant Accountant on 1/6/2019 under Min No. 12/2019 (xi) -3

3. Edwonu Simon (Ogwolo Sc) was substantively recruited as Snr. Assistant Accountant on 1/11/2020 under Min No. 106/2020 (2)

4. Aniki Stella (Ochelakur Sc) was substantively recruited as Snr. Assistant Accountant on 1/11/2020 under Min No. 106/2020 (4)

5. Opio Quinto (Kalaki TC) was substantively recruited as Treasure on 1/6/2023 under Min No. 07/KDSC/MAY/2023 (g)

6. Otyeko Godfrey (Ochelakur SC) was substantively recruited as Snr. Assistant Accountant on 1/12/2009 under Min No. 20 of 2009

7. Nyadoi Solome (Otuboi TC) was substantively recruited as Snr. Assistant Accountant on 1/11/2020 under Min No. 106/2020 (3)

8. Ebongu Charles (Ogwolo SC) was substantively recruited as Assistant Accountant on 1/7/2016 under Min No. 25/2017 (ii)-(a)-7

9. Ariekot Demiterina (Kalaki TC) was substantively recruited as Assistant Accountant on 2/2/2021 under Min No. 226/2020

10. Egadu Charles (Kalaki SC) was substantively recruited as Assistant Accountant on 25/5/2018 under Min No. 14/2018 (i)-1

11. Epyetu Ekechu John Martin (Kalaki SC) was substantively recruited as Accounts Assistant on 15/4/2013 under Min No. 78 (xiii)/2012

12. Akunyo Mary Goretty (Apapai Sc) was substantively recruited as Assistant Accountant on 15/5/2023 under Min No. 23/KDSC/APRIL/2023 (p)

Environment and Social Requirements

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| 3 | Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY. Maximum score is 4 | If the LG has released 100% of funds allocated in the previous FY to: a. Natural Resources department, score 2 or else 0 | Shs 38,625,777 was warranted as reflected on page 18 of the draft final accounts for FY 2022/2023. Shs 38,625,777 was released as detailed on page 18 of the draft final accounts for FY 2022/2023. Performance was therefore 100% as required. | 2 |
| 3 | Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY. Maximum score is 4 | If the LG has released 100% of funds allocated in the previous FY to: b. Community Based Services department. score 2 or else 0. | Shs 50,756,966 was warranted for the Community Based Department as reflected on page 18 of the draft final accounts for FY 2022/2023. Shs 50,756,966 was released as detailed on page 18 of the draft final accounts for FY 2022/2023. Performance was 100% as required. | 2 |
| 4 | Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works. Maximum score is 12 | a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0 | LG had carried out Environment and Social Screening of the only DDEG project in FY 2022/2023 as evidenced by the E&S Screening form for the Reshaping of Abalang-Kaburuburu-Osikai - 8.0km Road on 12/06/2022 by the EO & DCDO | 4 |
| 4 | Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works. Maximum score is 12 | b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG), score 4 or 0 | The only DDEG project that was implemented in the FY 2022/2023 did not require ESIA given its small scope according to Schedule 5 of the National Environment Act No. 05 of 2019 | 4 |

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| 4 | <p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p> | <p>c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;</p> <p>score 4 or 0</p> | <p>LG had prepared a Costed ESMP for the only DDEG project that was implemented in FY 2022/2023 using the Discretionary Development Equalization Grant (DDEG) by the EO & DCDO as evidenced below;</p> <ul style="list-style-type: none"> • Costed ESMP of 1,100,000/= for the Reshaping of Abalang-Kaburuburu-Osikai -8.0km Road on 12/07/2023 | 4 |
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Financial management and reporting

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| 5 | <p>Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.</p> <p>Maximum score is 10</p> | <p>If a LG has a clean audit opinion, score 10;</p> <p>If a LG has a qualified audit opinion, score 5</p> <p>If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0</p> | <p>Kalaki DLG had an unqualified Auditor general's opinion in the FY 2022/2023.</p> | 10 |
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| 6 | <p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p> <p>maximum score is 10</p> | <p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p> <p>score 10 or else 0.</p> | <p>The DLG provided information to the PS/ST in respect of the status of implementation of the Auditor General findings in respect of FY 2021/2022 through CAO's letter dated 21st March, 2023.</p> <p>There were seventeen queries that were cleared at the time of the assessm.The DLG provided information to the PS/ST in respect of the status of implementation of the Internal Auditor General findings in respect of FY 2021/2022 through CAO's letter dated 17th February, 2023.</p> <p>There were thirty one queries that were cleared at the time of the assessment.</p> <p>The CAO's response to the PS/ST in respect of OAG findings was done outside the scheduled time of 28th February, 2023.</p> | 0 |
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| 7 | <p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p> <p>Maximum Score 4</p> | <p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p> <p>score 4 or else 0.</p> | <p>The Annual Performance Contract was submitted through CAO's letter dated 10th July, 2023 under reference CR/103/1. The letter was copied to PS OPM and the PS MOLG. PS OPM acknowledged receipt of the CAO's submission on 13th July, 2023, PS MOLG as well as MOFPED on 13th July, 2023.</p> | 4 |
| 8 | <p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p> | <p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p> | <p>The Annual Performance Report for FY 2022/2023 was submitted by the DLG through the PBS system on 28th July, 2023 within the prescribed time frame as required. The Annual Performance Report was approved by MOFPED on 8th August, 2023.</p> | 4 |
| 9 | <p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p> <p>Maximum score is 4</p> | <p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p> | <p>The DLG submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of FY2022/2023 by August 31st of the current FY 22023/2024. Submission of the quarterly reports was done through the PBS system as detailed below:</p> <p>Quarter One on 27th February, 2023;</p> <p>Quarter Two on 27th February, 2023;</p> <p>Quarter Three on 13th July, 2023;</p> <p>Quarter Four on 28th July, 2023</p> | 4 |

**Education Minimum
Conditions**

| No. | Summary of requirements | Definition of compliance | Compliance justification | Score |
|--|---|---|--|-----------|
| Human Resource Management and Development | | | | |
| 1 | New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office. | a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0 | The position of District Education Officer was not substantively filled at the time of assessment. However, Mr. Omagor Chalaire was assigned by CAO as Ag. DEO on 7/7/2021. Evidence on record revealed that this position was advertised in the New Vision of 23/01/2023 but the LG failed to attract potential candidates. | 0 |
| | <i>The Maximum Score of 70</i> | | | |
| 1 | New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office. | b) All District/Municipal Inspector of Schools, score 40 or else 0. | <p>There was evidence that all District Inspector of Schools were substantively appointed.</p> <p>1. Mr. Ogwara Stephen was substantively appointed as Senior Inspector of Schools as per the letter of appointment dated 15/5/2023 under Min No. 22/KDSC/APRIL/2023 (v)</p> <p>2. Ms. Abago Catherine was substantively appointed as Inspector of Schools as per the appointment letter dated 1/6/2023 under Min No. 05/KDSC/MAY/2023 (e)</p> | 40 |
| | <i>The Maximum Score of 70</i> | | | |
| Environment and Social Requirements | | | | |
| 2 | Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs) | <p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p> | <p>LG had carried out Environmental, Social and Climate Change Screening of all education projects prior to commencement of all civil works for FY 2022/2023 as evidenced below;</p> <ul style="list-style-type: none"> • E&S Screening form for the Rehabilitation of 3 classrooms at Kachilo P/S by the SEO and DCDO on 09/08/2022 • E&S Screening form for the Rehabilitation of Angoltok P/S by the SEO and DCDO on 09/01/2023 • E&S Screening form for the construction of a 2 classroom block at Katiti P/S by the SEO and DCDO on 24/12/2022 | 15 |
| | <i>The Maximum score is 30</i> | | | |

2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:
b. Social Impact Assessments (ESIAs) ,
score 15 or else 0.

All education projects that were implemented in FY 2022/2023 did not require ESIAs given their small scope, according to the National Environment Act NO. 05 of 2019

15

The Maximum score is 30

**Health Minimum
Conditions**

| No. | Summary of requirements | Definition of compliance | Compliance justification | Score |
|--|---|---|---|--------------|
| Human Resource Management and Development | | | | |
| 1 | <p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p> | <p>a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.</p> | <p>The District Health Officer was not substantively recruited. However, Dr. Atim Miriam Harriet was assigned by CAO as Ag. DHO on 28/3/2022. The assessor also learnt that this position was advertised in the New Vision of 23/01/2023 but never attracted potential candidates. CAO also wrote to the Ministry of Health requesting for secondment on 12/5/2023 but no response was given.</p> | 0 |
| 1 | <p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p> | <p>b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0</p> | <p>Dr. Atim Harriet Miriam was substantively appointed as Assistant District Health Officer Maternal, Child Health and Nursing on 1/11/2020 under DSC Min No. 101/2020 (i) (ii)</p> | 10 |
| 1 | <p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p> | <p>c. Assistant District Health Officer Environmental Health, score 10 or else 0.</p> | <p>Mr. Omony Emmanuel Peace was substantively appointed as Assistant District Health Officer - Environmental Health on 2/5/2023 under DSC Min No. 2/KDSC/APRIL/2023 (b)</p> | 10 |
| 1 | <p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p> | <p>d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.</p> | <p>The position was not substantively filled at the time of assessment. However, Mr. Okoju Patrick a substantive Health Inspector was assigned by CAO as Ag. Senior Environmental Health on 1/7/2021</p> | 0 |
| 1 | <p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p> | <p>e. Senior Health Educator, score 10 or else 0.</p> | <p>The position was not substantively filled at the time of assessment. However, Ms. Alimo Ezeza Constance was assigned by CAO as Ag. Senior Health Educator on 1/7/2020</p> | 0 |

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|---|---|---|--|-----------|
| 1 | New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i> | f. Biostatistician, score 10 or 0. | Mr. Anywar Joshua Ongom was substantively appointed as Biostatistician on 1/11/2020 under DSC Min No. 108/2020 | 10 |
| 1 | New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i> | g. District Cold Chain Technician, score 10 or else 0. | Mr. Otim Ronald was substantively appointed as District Cold Chain Technician as per the appointment letter dated 1/11/2020 under DSC Min No. 107/2020 | 10 |
| 1 | New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions. <i>Applicable to MCs only.</i> <i>Maximum score is 70</i> | h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0. | | |
| 1 | New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions. <i>Applicable to MCs only.</i> <i>Maximum score is 70</i> | i. Principal Health Inspector, score 20 or else 0. | | |
| 1 | New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions. <i>Applicable to MCs only.</i> <i>Maximum score is 70</i> | j. Health Educator, score 20 or else 0 | | |

Environment and Social Requirements

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|---|---|---|---|-----------|
| 2 | <p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p> | <p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p> | <p>LG had carried out Environmental, Social and Climate Change of the only 02 Health projects for FY 2022/2023 as evidenced by the following E&S Screening form by the DEO and DCDO below;</p> <ul style="list-style-type: none"> • E&S Screening form for the construction of OPD block at Otuboi HC III on 05/01/2023 • E&S Screening form for the construction of Health Administration block on 09/03/2023 | 15 |
| 2 | <p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p> | <p>b. Social Impact Assessments (ESIAs) , score 15 or else 0.</p> | <p>The only health project for FY 2022/2023 did not require ESIAs given their small scope according to the NEA No. 05 of 2019, hence costed ESMPs were prepared by the DEO and DCDO as evidenced below;</p> <ul style="list-style-type: none"> • Costed ESMP of 800,000/= for the construction of OPD block at Otuboi HC III on 10/01/2023 • Costed ESMP of 800,000/= for the construction of Health Administration block on 19/03/2023 | 15 |

**Micro-scale Irrigation
Minimum Conditions**

| No. | Summary of requirements | Definition of compliance | Compliance justification | Score |
|--|---|---|--|--------------|
| Human Resource Management and Development | | | | |
| 1 | <p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p> | <p>If the LG has recruited;</p> <p>a. the Senior Agriculture Engineer</p> <p><i>score 70 or else 0.</i></p> | <p>Eng. Kyazze Ronald was substantively appointed as Senior Agriculture Engineer as per the appointment letter dated 15/6/2023 under Min No. 13/KDSC/AUGUST/2023 (a)</p> | 70 |
| Environment and Social Requirements | | | | |
| 2 | <p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p> | <p>If the LG:</p> <p>Carried out Environmental, Social and Climate Change screening score 30 or else 0.</p> | <p>LG had carried out Environmental, Social and Climate Change screening of the only two micro scale irrigation investments for FY 2022/2023 as evidenced below;</p> <ul style="list-style-type: none">• Supply and installation of irrigation demonstration equipment in Omai Village in Otuboi Sub County and Otuboi Town Council on 01/06/2023• Supply and installation of irrigation demonstration equipment in Kalaki Sub County on 12/05/2023 | 30 |

**Water & Environment Minimum
Conditions**

| No. | Summary of requirements | Definition of compliance | Compliance justification | Score |
|--|--|---|---|--------------|
| Human Resource Management and Development | | | | |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i> | a. 1 Civil Engineer (Water), score 15 or else 0. | Mr. Bongonyinge Abdallah was substantively appointed as Civil Engineer -Water on 1/11/2020 under Min No. 225/2020 | 15 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i> | b. 1 Assistant Water Officer for mobilization, score 10 or else 0. | The position was not on the approved structure. However, Mr. Oluka Samuel was assigned by CAO as Assistant Water Officer for Mobilization on 1/01/2023 | 0 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i> | c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0. | Mr. Agwaru James was substantively appointed as Borehole Maintenance Technician on 1/11/2020 under Min No. 92/2020 | 10 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i> | d. 1 Natural Resources Officer, score 15 or else 0. | The approved staff structure did not provide for Natural Resource Officer. However, it catered for District Natural Resource Officer and was substantively filled by Erienyu Johnson on 2/6/2023 under Min No. 17/KDSC/MAY/2023 (w) | 15 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i> | e. 1 Environment Officer, score 10 or else 0. | Ms. Acakara Edith Ruth was substantively appointed as Environment Officer as per the appointment letter dated 1/6/2023 under Min No. 404/2022 | 10 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i> | f. Forestry Officer, score 10 or else 0. | Mr. Ouke Benard was substantively appointed as Forestry Officer as per the appointment letter dated 2/2/2021 under Min No. 223/2020 | 10 |

Environment and Social Requirements

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|---|---|--|--|----|
| 2 | Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects | If the LG: a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0. | LG had carried out Environmental, Social and Climate Change screening as follows; • E&S Screening form for the sitting, drilling and construction of a borehole at Agule village by the SEO and DCDO on 14/02/2023 • E&S Screening form for sitting, drilling and construction of a borehole at Ogolai village by the SEO and DCDO on 14/02/2023 • E&S Screening form for the for sitting, drilling and construction of a borehole at Aloet village by the SEO and DCDO on 14/02/2023 • E&S Screening form for the for sitting, drilling and construction of a borehole at Omadira village by the SEO and DCDO on 14/02/2023 | 10 |
| 2 | Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects | b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0. | All water projects that were executed in FY 2022/2023 did not require ESIAs given their small scope according to the NEA No. 5 of 2019 | 10 |
| 2 | Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects | c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0. | The DWO presented an abstraction permit issued on Fri 30th June 2023 that was valid for a period of 1 year to Saturday June 2024. The groundwater permit number LIR20/DP4035/2023/RR was issued to LALE GROUP LIMITED for drilling boreholes | 10 |