



LGMSD 2022/23

Kakumiro District

(Vote Code: 614)

Assessment	Scores
Crosscutting Minimum Conditions	74%
Education Minimum Conditions	70%
Health Minimum Conditions	80%
Water & Environment Minimum Conditions	80%
Micro-scale Irrigation Minimum Conditions	100%
Crosscutting Performance Measures	87%
Educational Performance Measures	92%
Health Performance Measures	61%
Water & Environment Performance Measures	81%
Micro-scale Irrigation Performance Measures	69%

**Crosscutting
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): If so: Score 4 or else 0 	<p>The LG provided evidence that infrastructure projects implemented in FY 2022/2023 using DDEG funding were functional and utilized as per purpose of the projects.</p> <p>The projects were</p> <ol style="list-style-type: none"> Administration Block at District Headquarters - Annex, UGX. 57,718,100 (AWPB- page, 10) Completion of Kisengwe water supply system to Kisengwa PS, Kisengwe SC, UGX. 50,000,000 (AWP, page 81). 	4
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> By more than 5%, score 3 1 to 5% increase, score 2 If no increase, score 0 <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <p>2021/2022- performance average score - 48%</p> <p>2022/2023- performance average score- 79%</p> <p>= (79-48) = 31%, hence increase in performance.</p>	3
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> If 100% the projects were completed : Score 3 If 80-99%: Score 2 If below 80%: 0 	<p>Evidence provided showed the DDEG funded investment projects implemented were 100% completed as per performance contract end of the FY 2022/2023.</p> <p>The projects were:</p> <ol style="list-style-type: none"> Administration Block at District Headquarters phase IV, completed 100% (ABPR, page 10). Completion of Kisengwe water supply system to Kisengwa PS, Kisengwe SC completed 100% (ABPR, page 139). 	3

Investment
Performance

Maximum 4 points on
this performance
measure

a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:

Score 2 or else score 0.

LG budgeted for UGX. 487,793,000 (ABPR, page, 4: AWP, page. 1) and spent all the UGX. 487,793,000 on DDEG projects in FY 2022/2023 on eligible projects and activities as per the DDEG grant, budget, and implementation guidelines.

The DDEG allocation was as below:

a. HLG, UGX. 208,933,450

b. LLGs, UGX. 278,993,540

Total, UGX. 487,793,000

Expenditure for HLG, UGX.208,933,450 was as below:

1. Construction of Administration Phase IV (Annex), UGX. 41,170,000 (ABPR, page 10).

2. Completion of Kisengwe piped water system cost, UGX. 50,000,000 (ABPR, page 139).

3. Retention Mulinga PS UGX 2,532,000 (ABPR, page, 20).

4. Retentions under health department UGX. 30,000,000 (ABPR, page 17).

5. Retention for Aminstartion UGX 22,500,000 (ABPR, page 38).

totaling all making 70% on infrastructure (ABPR, page 67).

2. Performance Improvement, 10% UGX 20,885,989 (ABPR, pages 35 and 75).

3. Monitoring and investment servicing cost, UGX. 20,885,989 (ABPR, page 73).

4. LLG Performance assessment-5%UGX. 10,442,994 (ABPR, page 74).

5. Data Collection-5%- UGX. 10,442,994 (ABPR, page 73).

Total, UGX. 208,933,450

The above expenditure was eligible according to DDEG Grant, Budget and Implementation guidelines, page 9.

3	Investment Performance Maximum 4 points on this performance measure	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates, score 2 or else score 0	The AWP for the FY 20202/23 indicated a few infrastructure projects funded under the DDEG. These executed projects had contract amounts according to contract documents as follows: I. Construction of administration block phase Four- KAKU614/WRKS/2022-2023/00036 Engineer's Estimate = 541,000,000/= Contract award price: 538,104,780/= Variation: 0.538% II. Construction of extension of Kisengwe water supply system-KAKU/614/WRKS/2022-2023/00400. Engineer's estimate: 49,500,000/= Contract price: 49,345,240/= Variation: 0.314% The above Variations were within +/-20% of the LG Engineers estimates	2
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Performance Reporting and Performance Improvement

4	Accuracy of reported information Maximum 4 points on this Performance Measure	a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate, score 2 or else score 0	Review of the staff lists in the three LLGs visited confirmed that information on staffing provided by the HRM office was accurate. Kasambya sub-county had 14 staff, Bwanswa sub-county had 10 staff and Kakumiro Town Council had 14 staff members.	2
4	Accuracy of reported information Maximum 4 points on this Performance Measure	b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG: • If 100 % in place: Score 2, else score 0. Note: if there are no reports produced to review: Score 0	Evidence availed showed that the infrastructure constructed using the DDEG FY 2022/2023, were completed as per completion reports. The projects were: 1. Administration Block at District Headquarters phase IV completed 100% (AWP, page 10). 2. Completion of Kisengwe water supply system to Kisengwa PS, Kisengwe SC completed 100% (AWP, page 139).	2
5	N23_Reporting and Performance Improvement Maximum 8 points on this Performance Measure	a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise; If there is no difference	There was no evidence to confirm that the Kakumiro DLG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise. The assessment team sampled four LLGs and found that the Kakumiro DLG did not undertake credible assessment. Two out of four sampled There was evidence that the Ibanda DLG conducted a credible assessment of LLGs as verified	0

in the assessment results of the LG and national assessment in all LLGs

score 4 or else 0

NB: The Source is the OPAMS Data Generated by OPM.

during the National Local Government Performance Assessment Exercise. The assessment team sampled four LLGs and found that the LG undertook credible assessment. All four sampled Lower Local Governments (LLGs) exhibited a deviation within the recommended range of +/-10%, as outlined below.

1. Rukiri SC: The district internal assessment awarded a perfect score of 98%, while the national assessment team awarded a slightly higher score of 99%. This indicated a deviation of +1%.

2. Ishongororo SC: The district internal assessment awarded a perfect score of 99%, while the national assessment team awarded a slightly higher score of 100%. This indicated a deviation of +1%.

3. Ishongororo TC: The district internal assessment awarded a perfect score of 99%, while the national assessment team awarded a score of 99%. This indicated no deviation.

4. Kikyekye SC: The district internal assessment awarded a perfect score of 97%, while the national assessment team awarded a slightly higher score of 98%. This indicated a deviation of +1%.

(LLGs) exhibited a deviation within the recommended range of +/-10%, while one LLG was out of the recommended range as outlined

1. Igayaza SC: The district internal assessment awarded a perfect score of 74%, while the national assessment team awarded a slightly higher score of 84%. **This indicated a deviation of +10%.**

2. Nkooko SC: The district internal assessment awarded a perfect score of 59%, while the national assessment team awarded a slightly higher score of 79%. **This indicates a deviation of +19%.**

3. Kibiijo SC: The district internal assessment awarded a perfect score of 79%, while the national assessment team awarded a slightly higher score of 91%. **This indicated a deviation of +12%.**

4. Kikwaya SC: The district internal assessment awarded a perfect score of 92%, while the national assessment team awarded a slightly higher score of 96%. **This indicated a deviation of +4%.**

5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	<p>There was evidence that Kakumiro district had developed performance improvement plans for the lowest performing LLGs for the previous FY. The assessor accessed and reviewed PIPs for Kitaihuka sub-county and Mpasaana sub-county.</p>	2
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence provided to show that the district had implemented the PIP for the lowest performing LLGs in the previous FY</p>	0

Human Resource Management and Development

6	<p>Budgeting for and actual recruitment and deployment of staff</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.</p> <p>Score 2 or else score 0</p>	<p>Evidence was availed to the assessor to confirm that Kakumiro district had submitted a recruitment plan (staffing requirements for the coming FY to the MoPS by September 30th of the current FY. The submission was received by MoPS on 28th September 2023 as evidenced by the delivery sheet signed by Harriet. Copies of the submission were received on the same day by Ministry of Local Government and Ministry of Finance, Planning and Economic Development.</p>	2
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p> <p>Score 2 or else score 0</p>	<p>There was evidence that Kakumiro had conducted a tracking and analysis of staff attendance as per guidelines by MoPS Circular Standing Instructions. The attendance book and monthly analysis from July 2022 to June 2023 was availed to the assessor.</p>	2

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p>	<p>A review of the personal files of HoDs confirmed that they had been appraised during the previous FY as per guidelines issued by MoPS (by 30th of June)</p> <ol style="list-style-type: none"> 1. The Acting District Planner (Serugo Pious) was appraised on 30th June 2023 2. The Chief Finance Officer (Gladys Bigabwa) was appraised on 30th June 2023 3. The Acting District Engineer (Mukabya Robert) was appraised on 28th June 2023 4. The District Natural Resources Officer (Katabazi Bob Allan was newly recruited and not yet due for appraisal – appointed on 31st May 2023) 5. The Acting District Production Officer was appraised on 22nd June 2023 6. The District Community Development Officer (Ankunda Nickson) was appraised on 30th June 2023 7. The Acting District Production Officer was appraised on 22nd June 2023 8. The Principal Human Resource Officer (Lubowa Tumusiime Mark) was appraised on 30th June 2023 9. The Acting DEO (Baleke William) was appraised on 29th June 2023 10. The DHO (Dr Sabiiti Edward) was appraised on 30th June 2023 11. The Civil Engineer (Water) – Isingoma Julius was appraised on 28th June 2023 	1
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to “a” above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>There was evidence that administrative rewards and sanctions were implemented as provided for in the guidelines. The Rewards and Sanctions Committee was in place and functional as shown by the holding of meetings. The assessor was availed minutes of meetings which took place on 25th October 2022 and 16th November 2022</p>	1
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>Evidence was availed to the assessor to confirm that Kakumiro district had established a Consultative Committee (CC) for staff grievance redress which was functional. Copies of letters dated 3rd July 2022 appointing members of the Consultative Committee were seen by the assessor. Minutes of the Consultative Committee which took place on 20th November 2022 which discussed the case brought forward by Kasana Edward who was demanding for payment of his duty allowance.</p>	1

8	Payroll management Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: Score 1.	The assessor sampled files of 10 out of 122 staff recruited during the previous FY have and established that all of them accessed the salary payroll within two months after assumption of duty. They were all appointed on 10th November 2022 and all of the accessed the salary payroll of December 2022	1
9	Pension Payroll management Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: Score 1.	The assessor reviewed files of 7 staff that retired during the previous FY and found out that all of them accessed the pension payroll later than two months after retirement 1. Kalisa Clement (Education Assistant) retired on 3rd April and accessed the pension payroll of April 2023 2. Kigozi Paul (Deputy Head Teacher Secondary) retired on 3rd February 2023 and accessed the pension payroll of April 2023 3. Sewanyana Leonald (Education Officer) retired on 12th January 2023 and accessed the pension payroll of February 2023 4. Mbogo Charles (Education Officer) retired on 18th March 2023 and accessed the pension of April 2023 6. Kajungu Medard (Deputy Headteacher Primary) retired on 3rd September 2022 and accessed the pension payroll of October 2022 7. Kulabirawo Dominic (Education Assistant) retired on 4th August 2022 and accessed the pension payroll of October 2022 8. Tugyengane Augustine (senior Assistant Accountant) retired on 4th August 2022 and accessed the pension payroll of October 2022	1

Management, Monitoring and Supervision of Services.

10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery Maximum 6 points on this Performance Measure	a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY: Score 2 or else score 0	The evidence availed showed that direct transfers of DDEG were executed in accordance with the requirements of the budget as follows: The LG, budgeted for UGX 487,793,000 (ABPR, page, 4) and transfers to LLGs were executed in accordance with the requirements of the budget for FY 2022/2023. HLG was UGX 208,859,891 LLGs, UGX. 278,933,540 Total, UGX. 487,793,000 DDEG transfers of UGX.278,933,540 to LLG's were as below: Sub-county UGX 1. Kasambya 30,534,315	2
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2. Katikara	30,412,224
3. Kikwaya	18,752,688
4. Kakindo	17,043,438
5. Nkooko	36,882,963
6. Kitaihuka	21,560,745
7. Nalweyo	10,877,925
8. Birembo	22,964,772
9. Bwanswa	15,883,590
10. Mpasana	17,592,848
11. Kisiita	19,668,360
12. Kijangi	16,249,857
13. Kibijjo	4,101,963
14. Kikooro	4,101,963
15. Kyabasaija	4,101,963
16. Mwitanzige	4,101,963
17. Kisengwe	4,101,963
Total	278,933,540

Town Councils: UGX.

1. Kakumiro	20,245,887
2. Kisiita	25,698,438
3. Igayaza	1,806,357
4. Kakindo	1,806,357
5. Mpasana	1,806,357
6. Nkooko	1,806,357
7. Nyarweyo	1,806,357
Total	54,976,110

10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery	b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).	The LG did not timely warranted DDEG transfers, Q 2 and Q 3 to LLGs FY in 2022/2023 within 5 working days.	0
	Maximum 6 points on this Performance Measure	Score: 2 or else score 0	<p>The status was as follows:</p> <p>Q 1- There was no disbursement for DDEG in Q 1</p> <p>Q 2- Warranted on 10/10/2022 and transferred on 25/10/2022, after 15 days which was beyond 5 working days.</p> <p>Q 3- Warranted on 06/01/2023 and transferred on 27/01/2023, after 21 days which was beyond 5 working days.</p> <p>In Q 2 and Q 3 funds were transferred beyond 5 working days after warranting.</p>	
10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery	c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:	Evidence presented showed the LG invoiced and communicated all DDEG transfers, Q 2 and Q 3 to LLGs within 5 working days in FY 2022/2023.	2
	Maximum 6 points on this Performance Measure	Score 2 or else score 0	<p>Q 1 - DDEG funds were not released in this quarter.</p> <p>Q 2 - Cash release was on 13/10/2022, invoiced and communicated on 15/10/2022, 5 days after the release which was within the 5 days.</p> <p>Q 3 - Cash release was on 13/01/2023, invoiced and communicated on 16/01/2023, 3 days after the release, was within 5 working days.</p>	
11	Routine oversight and monitoring	a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:	Evidence obtained specified the District supervised and mentored all LLGs in the District at least once per quarter in FY 2022/2023. This was consistent with guidelines as supported by reports dated below:	2
	Maximum 4 points on this Performance Measure	Score 2 or else score 0	<p>Q1 19th September 2022</p> <p>Q2 12th December 2022</p> <p>Q3 20th March 2023</p> <p>Q4 16th June 2023.</p>	

11

Routine oversight and monitoring

Maximum 4 points on this Performance Measure

b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/Municipality to make recommendations for corrective actions and followed-up:

Score 2 or else score 0

Evidence availed by the District showed reports of supervision and monitoring visits discussed in the TPC meetings to make recommendations for corrective actions and follow up for FY 2022/2023.

The supervision and monitoring reports were discussed in the following TPC Meetings:

Q1 - Report dated 19/09/2022, discussed under-TPC dated 27/10/2022, under MIN. 031/KDTPC/OCTOBER/2022/23.

Q2 - Report dated 12/12/2023, discussed under, TPC dated 26/01/2023, under MIN 053/KDTPC/JANUARY/2022/23.

Q3 - Report dated 20/03/2023, discussed under, TPC dated 30/05/2023, under MIN 069/KDTPC/MAY/2022/23.

Q4 - Report dated 16/06/2023, discussed under, TPC dated 22/06/2023, under MIN 089/KDTPC/JUNE/2022/23.

Investment Management

12

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:

Score 2 or else score 0

Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0

Evidence indicated the District maintained an updated assets register covering details on all assets as per format in the accounting manual. The LG provided the assets registers both manual and IFMS system generated by the time of assessment on 30th November 2023. The registers were provided by Gladys Bigabwa, Chief Finance Officer.

The assets registers included;

- ▲ Buildings and structures
- ▲ Machinery and equipment
- ▲ Furniture
- ▲ Vehicles
- ▲ Motorcycles
- ▲ Land and buildings
- ▲ ICT equipment.

2

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:

Score 1 or else 0

Evidence showed the District used the Board of Survey Report for FY 2021/2022 to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets. The BOS dated 29th September 2022 signed by the committee chairperson, Kyesa Bernard with two other members: Nyendwa Moses and Namuddu Robinah. The BOS had a list of items recommended for disposal (BOS, 2021/2022, page, 3). The submission report on the status of the assets recommended for disposal and action by management was discussed in BOS report 2022/2023 (page 7). It was signed by the CFO, Gladys Bigabwa on 31st August 2023.

Recommendations were as follows (BOS-2021/2022, page,i)

1. To construct a District store for proper storage of District stores.
2. Engrave all non-engraved LG property at LG headquarters, Health facilities and secondary schools.
3. Property at LG headquarters secondary schools and health facilities in bad condition should be repaired and subsequently be maintained.
4. All District assets due for boarding off be disposed of after technically ascertaining, ownership, book value and current value.
5. Asset registers be maintained and regularly updated.

The following were to be disposed of FY 2021/2022.

- 3 (three) - Motor-cycles - page, 104.
- 1 (one) - Desk-top computer-page, 104.
- 1 (one) - Radio calling system- page, 105.
- 2 (two) -Refrigerators- page 105.
- 14 (fourteen) Solar panels (page, 105).

12

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.

The evidence provided indicate the District had a functional physical planning committee of fully appointed 13 members.

The Physical planner Francis Kaahwa availed the following documents:

a. Plans submission register with the last transaction on Bukuumi Parish, commercial building at Bwanswa SC, No. 15, KDLG of 15/11/2023.

b. Annual work-plan for FY 2022/2023.

c. Appointments letters dated 13 members dated 4th October 2021.

The four sets of minutes were submitted and received by MoLHUD with stamp dates as below;

Q.1. Date of report 20th September 2022, meeting held 20th September 2022, and discussed under, Minute: Sept /2022/KDLG. Submitted to MOLHUD on 04/10/2022.

Q -2-Date of report 5th December 2022, meeting held on 5th December 2022 and discussed under Minute:60/December /2022/KDLG; submitted to MOLHUD on 04/10/2022.

Q -3-Date of report 3rd February 2023, meeting held on 3RD February 2023 and discussed under Minute: 69/Feb/2023/KDLG; submitted to MOLHUD on 04/10/2023.

Q-4, Date of report 15th June 2023, meeting held on 15th June 2023 and discussed under Minute: 70/June/2023/KDLG. Submitted to MOLHUD on 4th June 2023.

12

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

d.For DDEG financed projects;

Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:

Score 2 or else score 0

The LG provided evidence that the District conducted a desk appraisal for all projects in the budget and the prioritized investments were derived from the LG Development Plan eligible for expenditure as per sector guidelines and funding source. The desk appraisal was carried out on, 10th October 2022, by the following; District Planner, Senior Environment Officer, DCDO Desk appraisal report dated 10th October 2022.

1. Construction of Administration Block (Annex) (AWP, page 11, ABPR, page, 101).

2. Construction of Kisengwe Piped water system page (AWP- page, 81, AWP-page 287-288).

2

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>The LG provided evidence that showed it conducted field appraisal for DDEG project FY 2022/2023, as per report dated, 11th November 2022, to check for technical feasibility, environmental and social acceptability and customized design for investment projects. They were appraised by, the, District Planner, Senior Environment Officer, DCDO, District Engineer.</p> <p>The projects were:</p> <ol style="list-style-type: none"> 1. Construction of Administration Block (Annex) (AWP, page 11, ABPR, page, 101). 2. Construction of Kisengwe Piped water system page (AWP- page, 81, AWP-page 287-288). 	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>Evidence that project profiles with costing were developed by HODs from different departments and discussed with presentation developed from LG DP III, Annual Work plans and Approved Budget Estimates for the FY 2023/24. AWP for FY 2023/2024 indicate all projects to be implemented in the FY 2023/2024 (LG DP III, pages- 251 - 306) TPC dated 30th November 2022 under MIN 110/11/2022, presentation, discussion and adoption of the priorities for the FY 2023/2024.</p> <p>The projects were:</p> <p>Administration</p> <ul style="list-style-type: none"> • Construction of phase IV of admin block page 10 <p>Health (AWP, page 33, 38)</p> <ol style="list-style-type: none"> 1. Equipping Mukoora HC III Nkooko S/c at UGX. 210,000,000. 2. Equipping Kikwaya HC III at UGX. 210,000 000 3. Theatre equipment for Kakindo HC IV, UGX. 30,000,000. 4. Theatre equipment for Kakumiro HC IV, UGX. 30,000,000. 5. Health facility Completion Kikwaya HC III, UGX. 900,000,000. 6. Kakumiro HC IV- latrine construction, UGX. UGX. 34,000,000. 7. Fencing Kakindo HC IV, UGX. 84,000,000. 8. 2 YAMAHA Sport Motor cycles at Ugx 50,000,000 <p>Education (AWP, page 43-46).</p> <ol style="list-style-type: none"> 1. Classroom construction at Nkook s.s and St edwards Bukumi, UGX. 909,000,000. 	1

2. Mpasaana Seed SS construction, UGX.1,877,234,000.
3. Construction of 2 class room blocks at Kabuubwa PS, UGX. 130,000,000
4. Construction of 2 class room blocks at St Marys Muhumuza Kikoora, UGX.130,000,000.
5. Construction of 2 class room blocks Kitaihuka seed school, UGX. 563,000,000.
6. Construction of class rooms at Kihumuro S.S, UGX. 340,000,000'
7. Lab at Lab at St Paul Kihumuro., UGX.223,000,000.
8. Latrine construction at Kamusenene ps, Birembo PS, Kabuubwa, Nyakafunjo, Nkooko SSS, UGX. 34,000,000.
9. Furniture at St. Edwards and St. Paul SSS, Kihumuro UGX. 48,000,000.

Roads (AWP, page 25-26) UGX. 855,000,000

Rural roads construction and rehabilitation(Road s and Bridges):

- i. Semuto-Kikamba 12 KM
- ii. Kibojana Kirasa-Magoma 14 KM
- iii. Igayaza Rusolera 12 KM
- iv. Kikwaya-Kamuli Kijanji 8 KM
- v. Kyedikyo-Saawa ya queen-St charles Iwanga Katikara,4 KM
- vi. Muziranduru Kakindo-Kizinga Kibande Kyamujundo 12KM
- vii. Mukono Kacocezo Kyangota 8KM
- viii. Kijanji (Haitambiro)- Kamugaba 12KM
- ix. Kyema Busingye Kikoora-Kadiki 10 KM
- x. Trnitorial roads Kisiita-Kirasa Kisijja-Igayaza-8 KM,
- xi. Kirundi Nyamyariro-Mite mbo-6.5 KM,
- xii. Nyansimbi-Kiryatete-Ka nyegaramire-2.5 KM
- xiii. ,Kasambya Kyarugubi-Nkwir wa-5 KM,
- xiv. Kikoma Buregete Nyabiroko-Kigom a-6 KM,
- xv. Kikamba Semuto Boarder-4 .5 KM
- xvi. Kingereza Kibuku Rubazi-3BKM at Ugx 150,000,000

District roads maitainance District Roads Maintenance (Sector Conditional Grant (Non-Wage,))

- i. Nyabirungi Nyamirama - Kyakapere-Mpasa ana-16km,Kakumi ro-Kineena-Mpan ga-8km

ii. Nalweyo Kijweenge Kiryamaasa-10 at ugx 90,022,000

Water (AWP, page 29-31) in the following places at UGX. 267,247,067

Borehole drilling

1. Kabubwa East/Kyabagwanyi Kibijjo,
2. Bathania Kayembe Kikoora
3. Nyarweyo T/C Rwobuhuka, Nyarweyo T/C
4. Kitaihuka Seed School Kitaihuka
5. Butemba Mwitanzige
6. Rwamalenge B Kisengwe
7. Nyamigaju Kitaihuka
8. Gayaza Kyabasaija
9. Nyabakande Kakindo
10. Kiihe Nalweyo
11. Salama Mwitanzige
12. Kyerima Kikwaya
13. Kabangwire Kijangi
14. Kahike Nalweyo
15. Kisiita Kisiita TC
16. Salama Mwitanzige

Rehabilitation of boreholes at the following places, each, UGX. 6,000,000.

1. Mpongo Kisiita T/C
2. Kyamujundo P/S Kitaihuka
3. Buhemba Nalweyo
4. Kiriisa Kitaihuka
5. Isunga Kibijjo
6. Mukoora A Nkooko
7. Kasambya Katikara
8. Nchwanga Kyabasaija,
9. Kisiita P/S Kisiita T/C
10. Kyedikyo Katikara
11. Masaka A Nyarweyo

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG carried out Environmental, Social and Climate Change screening for DDEG Projects for current FY. The projects include;</p> <p>Construction of Administration block at Kakumiro District HQ-Phase V. Environmental, Social and Climate Change screening was carried out on by the Senior environment officer and DCDO on 19/10/2023</p> <p>Mitigation measures included; wearing of PPEs during construction, non-employment of children on site and removal of debris from site.</p>	2
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>As per the Approved procurement plan, the following infrastructure project (to be funded under DDEG) was incorporated in the AWP and Procurement Plans for the current FY approved on 06th Jul 2023 by Public procurement and disposal of public assets Authority.</p> <p>Project:</p> <p>I. Construction of administration block phase Five- KAKU614/WRKS/2023-2024/00036.</p>	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0</p>	<p>Infrastructure projects to be implemented in the current FY using DDEG was approved by the Contracts Committee before commencement.</p> <p>The project for the Construction of administration block phase Five- KAKU614/WRKS/2023-2024/00036 awarded to Geses Uganda Ltd was approved by Contracts Committee under minute No. 105/CC/2023-24(6).</p>	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:</p> <p>Score 1 or else 0</p>	<p>There was Proper establishment of PITs (full team)</p> <p>Evidence:</p> <p>Appointment letter dated 21st July 2023 appointing District Health Officer, District Education Officer and District Water Officer as contract manager for health, education and water projects respectively, DE- Mukabya Robert (project supervisor), Labour officer, District Community Development Officer (Akunda Nixon) and Environment officer- Namayingo Macline for all infrastructure projects</p>	1

13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer:</p> <p>Score 1 or else score 0</p>	<p>The infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer. These projects include; Construction of administration block phase Four- KAKU614/WRKS/2022-2023/00036 and Construction of extension of Kisengwe water supply system-KAKU/614/WRKS/2022-2023/00400.</p>	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0</p>	<p>The LG provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works</p> <p>Evidence;</p> <p>Progress report dated 18th April 2023 on the construction of administration block phase four.</p> <p>Environment and Social monitoring report dated 25th April 2023, 25th July 2023 prepared by the Environment officer and CDO on the education and health projects.</p>	2
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):</p> <p>Score 1 or else score 0</p>	<p>Interim and Completion certificates were prepared after technical supervision and paid within the Specified times as per the contracts</p> <p>For example;</p> <p>Payment for Construction of an ICT Block at St. Edwards Bukumi S.S. KAKU614/WRKS/2022-2023/00028</p> <p>The Invoice from the Contractor - Mita Engineering Ltd; was raised on 06/June/2023 verified on 15/June/2023 by DE, DEO District internal Auditor and CAO and payment (EFT NO. 6433562) made on 30th/June/2023.</p> <p>Payment for the renovation of a hall at Uganda Matrys S.S Kakumiro DLG</p> <p>Invoice raised on 02/May/2023 by Elssen Construction Company Ltd, and payment (EFT No. 5838953) made on 24th June 2023</p> <p>Payment for the construction of a Two Unit Staff House at Kabuubwa Health Centre III</p> <p>Invoice was raised by Daikam technologies Ltd on 12th June 2023 certified/verified on 15/June/2023 by DE, DEO, District internal Auditor and CAO and payment (EFT NO. 6425428) made on 05/July/2023.</p> <p>All Payments for the sample projects were made within the specified time frames in the contract.</p>	1

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law: Score 1 or else 0	The Procurement Plan and Files were complete for the sampled projects. It comprised of the Contract documents, approved Evaluation reports, memos of Bid Acceptance and Award of Contracts showing the Contracts Committee (C.C) approvals. Construction of an ICT Block at St. Edwards Bukumi S.S. KAKU614/WRKS/2022-2023/00028. Renovation of a hall at Uganda Martyrs S.S, Kakumiro DLG. KAKU614/WRKS/2022-2023/00037 Construction of a Two Unit Staff House at Kabuubwa Health Centre III. KAKU614/WRKS/2022-2023/00033	1
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Environment and Social Safeguards

14	Grievance redress mechanism operational. Maximum 5 points on this performance measure	a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant. Score: 2 or else score 0	There was Evidence that the District had i) designated a person to coordinate response to feed-back (grievance/complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff. Example; Appointment of Focal person Chairperson on Grievance redress committee for FY 2023/24 Mr. Ssali Joram Sekitoleko (PAS)Cr/214/1.the coordinator is to attend regular meetings on grievances, receive public grievances/complaints and resolve. dated 7/7/2022 signed by CAO (Kutumbaki Suzan) Members of the committee include chairperson, secretary and 6 other members which is in line with section 2b(B)I social health safeguards implementation guidelines issued by MGLSD this was signed on 2/9/2022 by CAO (Mr. Mawejje Andrew)	2
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14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.</p>	<p>The LG had specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action. Example;</p> <p>There was evidence of a grievance log book detailing</p> <p>Date, Complaint, details Action and Remarks. Example case of Kawesa Paul reported on 11/10/2022 on delayed payments of casual workers at Birembe seed school construction. meetings held on 17/10/2022 and salaries were paid</p> <p>Minutes of the GRM held on 30/3/2023 at Birembe seed school construction site, issues discussed include payment of workers.in attendance were 11 members.Minutes signed by Labor officer and chairperson -GRM on 30/3/2022</p>	2
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.</p>	<p>The District had publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress</p> <p>This information was publicized on the district notice boards of Kakumiro DHQ showing a referral pathway. The details were also on website of the Kakumiro DLG www.kakumiro.co.ug</p>	1
		<p>If so: Score 2 or else 0</p>		
		<p>If so: Score 1 or else 0</p>		

Safeguards for service delivery of investments effectively handled.

Maximum 11 points on this performance measure

a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0

The evidence that was provided indicated environment, social and climate change interventions were integrated into, LG DP III, AWP and Budget Estimates. Environment interventions, (AWP, page, 390, 126 and LGDP III, page, 3). AWP-Environmental impact assessment is in all capital projects is the budget (Approved Annual Budget Estimates; environment interventions-AWP, pages,88, 70, and 65 ; climate interventions - AWP, pages,60 and 61).

Sample projects were.

1. Environment, Social and Climate of all water projects, UGX. 9,000,000 (AWP, page, 70, LG DP III, page, 126, 390).

2. Environment Impact Assessment for Capital Works for all roads .UGX. 2,000,000 on (AWP, page, 68 (LG DP III, page).

3. Environment Impact Assessment for education UGIFT projects for all, UGX. 9,000,000 on AWP, page 41 and, UGX. 12,000,000 on page 53 (LG DP III, APG 390,126).

4. Environment Impact Assessment for health projects at 10,000,000 on AWP, page 34 (LG DP III, page, 126, 390).

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management</p> <p>score 1 or else 0</p>	<p>The LG disseminated to LLGs the enhanced DDEG guidelines that strengthened and included, environment, climate change mitigation and adaptation and social risk management. This is in reference to the mentoring report dated 28th February 2023 which was discussed on 25th March 2023 regarding the dissemination of the DDEG guidelines to LLGs. Those involved were, CAO All HODs, Town Clerks of Town Councils, Sub-County Chiefs and community leaders.</p> <p>The activities included;</p> <ul style="list-style-type: none"> • To disseminate new guidelines on DDEG and unconditional Grant Guidelines. • Changes in internal assessment guidelines on DDEG. • Planning for Parish Model at LLGs using DDEG. • To guide LLGs on project DDEG selection for projects for FY 2023/2024. • To have all LLGs plans/budgets for 2022/23 to follow DDEG guidelines. <p>DDEG guidelines were disseminated to LLGs as per distribution list which was seen during the assessment. The guidelines were signed for by the recipients who included S/C chiefs and Town Clerks.</p>	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>(For investments financed from the DDEG other than health, education, water, and irrigation):</p> <p>c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:</p> <p>score 3 or else score 0</p>	<p>There was evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects example</p> <p>Construction of Administration block at Kakumiro District HQ-Phase V. The ESMP mitigation costed 12,000,000UGX and signed by the Senior environment officer and DCDO. In the BoQ under general matters Item A safety health and welfare people, OHS/HIV/AIDS prevention and PPEs costed 7,000,000UGX</p>	3
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>d. Examples of projects with costing of the additional impact from climate change.</p> <p>Score 3 or else score 0</p>	<p>There was no evidence in the LG to show projects with costing of the additional impact from climate change for the previous FY by the time of assessment</p>	0

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 1 or else score 0	There was Evidence that all DDEG projects implemented on land had proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances for the previous FY example Admin Block Certificate of Title, Mailo Tenure, Land at Kakumiro plot 1, Block309. Part II Ownership:- Date of Registration 23/1/2014-(3.00pm), instrument no FP 102590. Proprietors name (The Omukama of Bunyoro by virtue of his office3(1) & (5) of the traditional rulers (Restitution of assets and properties Act cap ,247)	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 1 or else score 0	There was evidence that Environmental officer and CDO conducted support supervision and monitoring for health, education and water projects. Monthly reports were done. Examples include; Monthly Report for compliance on Construction of 2 classroom block at Nalweyo SS. Monitoring reports dated 21/3/2023, 21/4/2023 and 19/6/2023 and signed by environment officer and DCDO Monitoring report for construction of admin block dated 5/4/2023 signed by Environment officer. Monthly Report for compliance on Construction of Christ the king seed secondary school at Mpasaana SC. Monitoring report dated 13/6/2023, 14/6/2023 signed by Environment officer and DCDO Monthly Report for compliance on establishment of Kikwaya HC III Monitoring reports 16/3/2023, 25/4/2023, 22/6/2023 signed by Environment officer and DCDO Monthly Report for drilling of Boreholes at St Albert Kakindo TC and Borehole at Rwego village. Monitoring report dated 20/12/2023 signed by CDO and Environment officer Compliance issues included; safety of workers, planting of trees and paspalum and site to be cleared off debris after construction.	1

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0	There was evidence that LG had E&S compliance Certification forms completed and signed by Environmental Officer and CDO. The mitigation measures were addressed satisfactorily for the projects. examples include; Construction of 2 classroom block at Kakumiro boys PS. Environmental and social certification was signed by the Environment officer and DCDO on 12/6/2022 Establishment of Kikwaya HC III. Environmental and social certification was signed by the environment officer and DCDO on 12/6/2023 Upgrade of Mukora HC II to HC III. Environmental and social certification was signed by the Environment officer and DCDO on 12/6/2023.	1
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Financial management

16	LG makes monthly Bank reconciliations Maximum 2 points on this Performance Measure	a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment: Score 2 or else score 0	The LG monthly bank reconciliations were to-date at time of the assessment on 30th November 2023. The bank reconciliations were as at 31st October 2023. The 3 sampled banks accounts were as follows; 1. Kakumiro DLG, UWEP a/c. Stanbic Bank a/c. no. 9030012788982, UGX. 33,296,131. 2. Kakumiro DLG, YLP, Centenary Bank a/c. 8912100187, UGX. 3,825,010. 3. Kakumiro DLG, General Fund a/c. 9030012298918, UGX. 101,188,586.	2
17	LG executes the Internal Audit function in accordance with the LGA Section 90 Maximum 4 points on this performance measure	a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY. Score 2 or else score 0	The LG Internal Auditor Kyalisima Job provided to the assessor all four quarterly internal audits (IA) reports for FY 2022/2023. The reports were submitted to the CAO on; observations, recommendations and action. Submissions dates were as follows: Q 1- 28th October 2022 Q 2 -30th January 2023 Q 3- 28th April 2023 Q 4 -28th July 2023	2

LG executes the Internal Audit function in accordance with the LGA Section 90

Maximum 4 points on this performance measure

b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.

Score 1 or else score 0

Evidence showed the LG provided information on all the four quarterly reports to the Council and the Chairperson LG PAC on the status of implementation of internal audit findings for the FY 2022/2023.

The status of submission dates to Council, LC V and Chairperson LG PAC for the four quarterly internal audit reports were as below:

Q 1- report of 28/10/2022, submitted and acknowledged by Council and LC V and the Chairperson LG PAC on 28/10/2022.

Q 2- report of 30/01/2023, submitted and acknowledged by Council and LC V and the Chairperson LG PAC on 30/01/2023.

Q 3- report of 28/04/2023, submitted and acknowledged by Council and LC V and the Chairperson LG PAC on 28/04/2023.

Q 4- report of 28/07/2023, submitted and acknowledged Council and LC V and the Chairperson LG PAC by on 28/07/2023.

The LG provided all the four quarterly reports (Q 1, - Q 4) internal audit reports for implementation on internal audit findings and follow-up on audit queries.

17

LG executes the Internal Audit function in accordance with the LGA Section 90

Maximum 4 points on this performance measure

c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:

Score 1 or else score 0

There was evidence that the internal audit reports for FY 2022/23 (Q 1 - Q 4) were submitted to LGPAC following stamp dates received.

The status of the quarterly reports reviewed by LG PAC were as follows:

Quarter 1, report dated 28th October 2022, submitted to LGPAC on 28th October 2022. The report was reviewed and follow-up made by LG PAC on 6th July 2023, under MIN.04/KDLGPAC /06/07/2023, page, 3.

Quarter 2, report dated 30th January 2023, submitted to LGPAC on 30th January 2023. The report was reviewed and follow-up made by LG PAC on 29th March 2023 under MIN./06/KDLGPAC/28/04/2023, page, 2.

Quarter 3, report dated 28th April 2023, submitted to LGPAC on 28th April 2023. The report was reviewed and follow-up made by LG PAC on 28th April 2023 under Min. KDLG PAC /04/KDLGPAC/29/03/2023, page, 2.

Quarter 4, report dated 28th July 2023, submitted to LGPAC on 28th July 2023. The report was reviewed and follow-up made by LG PAC on 11th November 2023 under MIN/04/KDLGPAC /06/07/2023, page, 2.

All the four internal audit quarterly reports were reviewed and follow- up made by LG PAC

Local Revenues

18

LG has collected local revenues as per budget (collection ratio)

Maximum 2 points on this performance measure

a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.

The actual OSR for the FY 2022/23 was UGX. 234,050,202 and planned, UGX.204,716,000 (Final accounts 2022/2023, page, 39). This was an increase of UGX. 29,334,202, which was 14% not within the 10% requirement.

0

The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)

Maximum 2 points on this Performance Measure.

a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY

- If more than 10 %: score 2.

- If the increase is from 5% -10 %: score 1.

- If the increase is less than 5 %: score 0.

The actual OSR for the FY 2022/23 was UGX. 234,050,202 and actual for 2021/2022 was UGX.124,740,567 (Final accounts 2022/2023, page, 39). This was an increase of UGX. 109,309,635, which was 88% more than 10%.

Local revenue administration, allocation, and transparency

Maximum 2 points on this performance measure.

a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0

There was evidence that the LG remitted the mandatory LLG share of the local revenue FY 2022/2023. The total revenue realized during the year was, UGX. 234,050,202 (Final accounts, page, 15), out of which, UGX. 77,524,750 for Town Councils and sharable, UGX 83,066,154 as District share and balance of UGX. 73,459,298 to LLGs.

During the year, the District disbursed the entire UGX. 73,459,298 (100 %+) to LLGs.

Disbursements were as below:

Sub-county	UGX.
1. Birembo	3,976,700
2. Bwanswa	4,771,325
3. Kakindo	2,952,463
5. Katikara	12,675,000
6. Kijangi	4,205,500
7. Kikwaya	3,532,750
8. Kisiita	2,870,075
10. Kasambya	3,407,308
11. Mpasaana	1,247,025
12. Kitaihuka	3,211,000
13. Nalweyo	1,941,550
14. Nkooko	2,554,502
17. Kibijjo	1,657,500
18. Kikoora	3,679,000
19. Kisengwe	3,350,450
20. Kyabasaija	2,629,575
22. Mwitanzige	14,797,575
Total LLGs	73,459,298

Transparency and Accountability

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0</p>	<p>The Procurement Plan and awarded Contracts were accordingly published for Public View.</p> <p>I. Renovation of a hall at Uganda Martyrs S.S, Kakumiro DLG- KAKU614/WRKS/2022-2023/00037</p> <p>Display date: 21st November 2022</p> <p>Date of removal: 2nd December 2022</p> <p>II. Construction of an ICT Block at St. Edwards Bukumi S.S- KAKU614/WRKS/2022-2023/00028.</p> <p>Display date: 21st November 2022</p> <p>Date of removal: 2nd December 2022.</p> <p>III. Construction of a Two Unit Staff House at Kabuubwa Health Centre III- KAKU614/WRKS/2022-2023/00033</p> <p>Display date: 21st November 2022</p> <p>Date of removal: 2nd December 2022</p> <p>IV. Construction of Mpasana water supply system phase 3 (Three) at Mpasana Town council- KAKU614/WORKS/2022-2023/00037.</p> <p>Display date: 14th September 2022</p> <p>Date of removal: 27th September 2022</p>	2										
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>Evidence presented showed the LG performance assessment results and implications were published on the LG budget website and notice board and seen by the assessor on 30th November 2023.</p> <p>The LG was number 89th with a score of 48% FY 2021/2022.</p> <table border="1" data-bbox="802 1469 1059 1720"> <thead> <tr> <th>Item</th> <th>Score-%</th> </tr> </thead> <tbody> <tr> <td>Cross-cutting</td> <td>35</td> </tr> <tr> <td>Education</td> <td>51</td> </tr> <tr> <td>Health</td> <td>54</td> </tr> <tr> <td>Water</td> <td>50</td> </tr> </tbody> </table> <p>Dissemination of performance assessment results done on 25th September, 2023 in DTPC under MIN: 018/KDTPC/SEPTEMBER/2023/24: Presentation of LLGPA Assessment Reports. They were also displayed on the notice board as seen by the assessor on 30th November 2023 and Website www.kakumiro.go.ug.</p>	Item	Score-%	Cross-cutting	35	Education	51	Health	54	Water	50	2
Item	Score-%													
Cross-cutting	35													
Education	51													
Health	54													
Water	50													

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>The LG conducted discussions with the public to provide feed-back on status of activity implementation. The programmes were conducted by; District Chairperson, CAO, Deputy CAO, RDC and HODs.</p> <p>▲ Radio Talk shows reports: Conducted in the radios of:</p> <ol style="list-style-type: none"> 1. Radio Emamya 95.4FM, <p>Some topical issues discussed included status on implementation of projects implemented during the FY2022/2023 and resource envelope and projects and activities to be implemented in the next FY 2023/2024 by the Chairperson LCV and the District Executive Members in attendance</p> <p>▲ Radio talk shows using RDCs airtime on all local radio station.</p> <ol style="list-style-type: none"> 2. Radio Emambya 95.4 FM every Wednesday 6:00-7:00pm 3. Kakumiro Community Radio 95.1 FM every Thursday 6:00-7:00 pm <p>Amazon radio Tuesday 97.2 FM</p> <p>The feed-back are on the following projects;</p> <ul style="list-style-type: none"> -Feedback on PDM Projects. - Discussed challenges in sectors of water, education, production, commerce & industry, community-based services, health, natural resources and administration. -Sources of water construction and environmental management -Road construction and maintenance of roads. -Progress of the LG DP III 	1
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>The LG publicly avail information on, tax rates, collection procedures, and procedures for appeal as per evidence of circulars signed by the Chief Finance Officer, Gladys Bigabwa on 8th August 2022. The information was on: Market dues, trading licenses, loading fees, timber produce, building plans, bid documents (administrative fees), local service tax, property rates and sand mining. The list was also seen on the noticeboard on the day of assessment 30th November 2023.</p>	1

Reporting to IGG

Maximum 1 point on
this Performance
Measure

a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0

The LG had no cases on frauds and corruption as confirmed by Clerk to Council Kyesa Bernard and confirmed by Chief Finance Officer, Ms. Gladys Bigabwa and Internal auditor, Namuddu Robinah on the day of assessments 30th November 2023.

**Educational
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>PLE 2020: Div. 1 - 172; Div. 2 - 1668; Div. 3 - 1096 [2936]. Total Candidates: 4226 - 70 Absentees = 4156. Giving a pass rate of 70.6%.</p> <p>PLE 2022: Div. 1 - 312; Div. 2 - 1896; Div. 3 - 948 [3156]. Total Candidates: 4336 - 134 Absentees = 4202. Giving a pass rate of 75.1%.</p> <p>There was an increase of 4.5% points.</p> <p>Source: UNEB PLE results 2020 and 2022.</p> <p>N.B. I used UNEB PLE figures because district figures extracted were not tallying with those of UNEB.</p>	2
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>UCE 2020: Div. 1 - 153; Div. 2 - 259; Div. 3 - 308 [720]. Total Candidates: 1212 - 12 Absentees = 1200. Giving a pass rate of 60.0%.</p> <p>UCE 2022: Div. 1 - 154; Div. 2 - 284; Div. 3 - 291 [729]. Total Candidates: 1128 - 10 Absentees = 1118. Giving a pass rate of 65.2%.</p> <p>There was an increase of 5.2% points.</p> <p>Source: UNEB UCE results 2020 and 2022.</p> <p>N.B., I used UNEB UCE figures because district figures extracted were not tallying with those of UNEB.</p>	3
2	<p>N23_Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> • By more than 5%, score 2 • Between 1 and 5%, score 1 • No Improvement, score 0 <p>NB: If the previous average score was 95% and above, Score 2 for any increase.</p>	<p>Education LLG performance of 24 LLG of FY 2021/2022 was at an average score 7/10 giving 70%. While that of FY 2022/2023 was at 8.5/10 yielding to 85%. There was an increase of 15% points.</p>	2

3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>Basing on vouchers:</p> <ol style="list-style-type: none"> 1. No. 5884229 dated 24/06/2023 of UGX. 1,000,000/= to Kyalisiima S. D. [Principal Internal Auditor] in respect of audit verification of completed projects under education. 2. No. 3045478 dated 28/12/2022 of UGX.64,712,832/= to Vintage International Co. Ltd. for construction of a 2-unit teachers' house, 2 kitchen, and 2-stance VIP latrine at Kitegura P/S. 3. No. 2427361 dated 02/12/2022 of UGX. 2,300,000/= to Baleke William [Ag. DEO] in respect of commissioning of completed projects and handover of UgIFT sites. <p>The Education Development Grant was spent on eligible activities as per sector guidelines.</p>	2
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0</p>	<p>The DEO, District Engineer, DCDO and Senior Environment Officer certified works on Education construction projects in FY 2022/2023 before the LG made payments to the contractors.</p> <p>The projects were as follows;</p> <ol style="list-style-type: none"> 1. Construction of an ICT Block at St Edwards Bukuumi SS, by MITA Engineering & Logistics Co Ltd. Procurement Number. KAKU844/Wrks/2022-2023/00028. Requisition for funds 17/05/2023. Certified works on 5/6/2023 and paid on 12/6/2023 by EFT. 5835874, UGX. 274,656,704. 2. Construction of a Two Classroom block, Office, Store and a Water Tank at Kitegura P/S, by Artcentre Contractors Ltd. Procurement Number. KAKU844/Wrks/2022-2023/00022. Requisition for funds 01/3/2023. Certified works on 16/3/2023 and paid on 31/3/2023 by EFT. 4695406, UGX. 37,523417 3. Construction of a Five stance VIP Latrine at Kisiita P/S by Bamutazindwa Investments Co Ltd. Procurement Number. KAKU844/Wrks/2022-2023/00043. Requisition for funds 7/2/2023. Certified works on 15/2/2023 and paid on 16/3/2023 by EFT. 4281119, UGX. 10,520,832. 	2

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

c) If the variations in the contract price are within +/- 20% of the MoWT estimates score 2 or else score 0

From the DE and DEOs offices, the following Works contracts were sampled; and the Engineers estimates (Budgets) Vs. the Contract Prices are as listed with the corresponding Variation percentages:

I. Construction of an ICT Block at St. Edwards Bukumi S.S.
KAKU614/WRKS/2022-2023/00028

With engineer's estimate at UGX 475,000,000/=.

Contract price = 474,991,300/=.

Variation = 0.002%

II. Renovation of a hall at Uganda Martyrs S.S, Kakumiro DLG.
KAKU614/WRKS/2022-2023/00037

Engineer's estimate = 60,000,000/=
Contract price = 60,000,000/=

Variation: 0.00%.

III. Construction of a two-classroom block with office, store and water tank at St. Joseph secondary school, Nkooko S/C. KAKU614/WRKS/2022-2023/00025.

Engineer's estimate: 95,000,000/=

Contract award price: 94,431,000/=

Variation: 0.603%

The variations were within +/-20% of the MoES Engineers estimates

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY

- If 100% score 2
- Between 80 - 99% score 1
- Below 80% score 0

As per the AWP Budget performance report for FY/22-23, the following Education/School infrastructure development were implemented as per workplan. The christ the King seed secondary project in Mpasana was at 82% completion as per the site inventory. However, the following were executed to completion.

I. Construction of an ICT Block at St. Edwards Bukumi S.S-
KAKU614/WRKS/2022-2023/00028

II. Renovation of a hall at Uganda Martyrs S.S, Kakumiro DLG-
KAKU614/WRKS/2022-2023/00037.

4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 - 79% score: 1 • Below 70% score 0 	<p>According to information obtained from the HRM Office, there were 82 primary schools in Kakumiro district with a staff ceiling of 1016. All the established positions in primary schools were filled 100% hence a score of 3</p>	3
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4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> • If above 70% and above score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 	<p>Basing on the district consolidated assets register 2023 dated 30/03/2023 the 82 [100%] UPE and 08 [100%] USE schools had the basic requirements and minimum standards as per DES guidelines.</p>	3
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Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>According to the district consolidated deployment schedule 2022/2023 dated 28/02/2023 and the staff lists found in the primary schools [Kijangi, St. Joseph Igayaza, Kasambya] I sampled and visited, the names and number of teachers on the two staff lists [district and schools] were talking to each other.</p> <p>For example, Names: Kazibwe Patrick, Baguma John Bosco, and others [At Kijangi P/S (10)]; Asiimwe Grace, Asaba Damiano, Akugizibwe Anna, and others [at St. Joseph Igayaza P/S (09)]; Byaruhanga Tadeo, Kwikiriza Justine, Sunday Edwin, and others [at Kasambya P/S (10)] were physically existing in the schools.</p>	2
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5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>The information in the consolidated assets register 2022/2023 dated 30/03/2023, was tallying with the information in the assets registers I found in primary schools [Kijangi, St. Joseph Igayaza, Kasambya] I sampled and visited. The infrastructure and equipment were in place in the schools.</p>	2
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p> <ul style="list-style-type: none"> • If 100% school submission to LG, score: 4 • Between 80 – 99% score: 2 • Below 80% score 0 	<p>82/82 [100%] UPE schools had submitted school annual reports meeting the annual budgeting and reporting guidelines.</p> <p>For example, annual reports of: St. Jude Kikyamuzi P/S dated 25/11/2022 and received on 29/11/2022; St. Noah Kasojo P/S dated 25/11/2022 and received on 25/11/2022; Kasambya P/S dated 25/11/2022 and received on 20/12/2022 meeting the deadline date of 30th January were available.</p>	4
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> • If 50% score: 4 • Between 30– 49% score: 2 • Below 30% score 0 	<p>Basing on the termly inspection plans of 2022/2023 and inspection reports, schools were supported to develop SIPs and 70/82 [85.4%] UPE schools had submitted their SIPs to DEO's office.</p>	4

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 – 99% score 2 • Below 90% score 0 	<p>According to Approved Budget Estimates 2022/2023, PBS data, and letter from CAO dated 31 /10/2022 to PS/MoES and received on 18/11/2022, the LG submitted the list of 82 UPE and 08 USE schools and their enrolment figures.</p>	4
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Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>Basing on the Approved Budget Estimates FY 2023/2024 and PBS data, the LG budgeted for 752 teachers at UGX. 5,356,814,000/= in accordance with staffing norms of MoES.</p>	4
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7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p> <p>Score 3 else score: 0</p>	<p>Basing on the consolidated deployment schedule 2022/2023 dated 28/02/2023 and the staff lists I found in the primary schools [Kijangi (10), St Joseph Igayaza (09), Kasambya (10)] I sampled and visited, the teachers were deployed as per sector guidelines dictate.</p>	3
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7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,</p> <p>score: 1 else, score: 0</p>	<p>Consolidated staff list 2022/2023 was displayed on the notice board and equally the staff lists in the primary schools [Kijangi, St. Joseph Igayaza, Kasambya] I sampled and visited were displayed on the notice boards. The information displayed was in tandem with the staff list at the district.</p>	1
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Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copt to DEO/MEO

Score: 2 or else, score: 0

The Assessor reviewed personal files of 10 primary school head teachers and confirmed that all primary school head teachers had been appraised with evidence of appraisal reports submitted by SAS/Town Clerk to HRM

1. Nkuuna Joseph (Headteacher Kijwenge primary school) was appraised on 31st December 2022

2. Mawangali Noah (Headteacher Munsa primary school) was appraised on 27th November 2022

3. Mubiru Andrew (Headteacher Kibijjo primary school) was appraised on 30th December 2022

4. Nyabasiita Judith (Headteacher Nkwirwa primary school) was appraised on 25th November 2022

5. Jango Kyakagaba (Headteacher Nyabirungi primary school) was appraised on 4th December 2022

6. Sunday Ndugwa Rosemary (Headteacher primary school) was appraised on 25th November 2022

7. Nikweri Elastus (Headteacher Kiryamasasa primary school) was appraised on 19th December 2022

8. Bikara Sauba (Headteacher Bwenseera primary school) was appraised on 29th November 2022

9. Namukisa Agnes (Headteacher Kiriisa primary school) was appraised on 16th December 2022

10. Kwetegya Wilbrod (Headteacher Kitegura primary school) was appraised on 15th December 2022

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM

Score: 2 or else, score: 0

The assessor reviewed files of 7 secondary school head teachers and found out that 6 had been appraised by Chair BoG) with evidence of appraisal reports submitted to HRM for the previous school year. One head teacher was new and not due for appraisal in the previous school year.

1. Nshemereirwe Gorreth (Head teacher Kisiita Seed Secondary School) was appraised on 31st November 2022

2. Ssemyalo Joseph Mary ((Head teacher St Joseph SS Nkooko) was appraised on 30th December 2022

3. Katto Fred (Head teacher Nalweyo Secondary School) was appraised on 26th December 2022

4. Mayani Gerald (Acting head teacher St Albert SS Kakindo) was appraised on 11th December 2022

5. Kato Lourdel (Acting Head teacher St Joseph SS) was appraised on 15th December 2022

6. Bro. Njogerere John Fisher (Head teacher St Edwards SSS Bukuumi) was appraised on 29th December 2022

7. Fr Kirigwajjo Anatoli (Head teacher Uganda Matrys Centenary SS) was new and not due for appraisal

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans score: 2. Else, score: 0</p>	<p>The assessor reviewed personal files of 8 Education department staff and established that were appraised against their performance plans in the previous FY in accordance with guidelines from MoPS</p> <ol style="list-style-type: none"> 1. Education Officer (Guidance and Counselling) – Kaiga Hamzah was appraised on 30th June 2023 2. Sports Officer – Isingoma David was appraised on 30th June 2023 3. Inspector of Schools (Nsamba Wasswa Kizito) was appraised on 30th June 2023 4. Inspector of Schools (Kiirya Businge Edward) was appraised on 28th June 2023 5. Inspector of Schools (Namukisa Josephine) was appraised on 30th June 2023 6. Inspector of Schools (Sekayita Robert) was appraised on 30th June 2023 7. The Senior Inspector of Schools (Kyofuna Mary) was appraised on 30th June 2023 8. Education Officer (Special Needs Education) – Abimanya Sarah was appraised on 30th June 2023 	2
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level, score: 2 Else, score: 0</p>	<p>Departmental training plan 2022/2023 dated 12/07/2022 was in place with training needs of orientation of newly recruited inspectors of schools, professional expertise in IT systems and management on PBS for education staff, train deputy headteachers on key headteachers' tasks and functions among others.</p>	2

Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p>	<p>There was no letter from CAO to PS/MoES communicating the corrections/revisions of 82 UPE and 08 USE schools and their enrolment figures.</p>	0
<p>Maximum 8 points on this performance measure</p>				
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p> <p>If 100% compliance, score:2 else, score: 0</p>	<p>According to Approved Budget Estimates FY 2022/2023, the monitoring and inspection function was allocated UGX. 34,781,000/= and the money was spent on small office equipment, ICT, workshops & meetings; allowances [inland travels], fuel, lubricants and oils, membership dues and subscriptions.</p>	2
<p>Maximum 8 points on this performance measure</p>				
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p> <p>If 100% compliance, score: 2 else score: 0</p>	<p>A review of PBS timestamps from MoFPED of LG warrant submissions for school capitation grants revealed that the LG in FY 2022/203, warranted within 5 working days after cash limits for the LG were uploaded in the PBS by MoFPED.</p>	2
<p>Maximum 8 points on this performance measure</p>			<p>Evidence</p> <p>Q 3 - FY 2022/2023-Cash lint uploaded on 05th January 2023 and LG warranted on 6th January 2023, after 1 day, within 5 working days.</p> <p>Q 4 - FY 2022/2023-Cash lint uploaded on 17th April 2023 and LG warranted on 17th April 2023 2023, on the same day</p> <p>Q 1 - FY 2023/2024-Cash lint uploaded on 13th July 2023 and LG warranted on 13th July 2023, on the same day.</p> <p>LG warranted within 5 days after cash limits were uploaded in the PBS in Q 2 and Q 3.</p>	

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p> <p>If 100% compliance, score: 2 else, score: 0</p>	<p>Release circulars for: term 3/2022 dated 16/08/2022 and 10/10/22; term 1/2023 dated 24/01/2023; and for term 2/2023 dated 03/05/2023 notifying headteachers were in place and publicized on notice boards of both the district and primary schools [Kijangi, St. Joseph Igayaza, Kasambya] I sampled and visited.</p>	0
	<p>Maximum 8 points on this performance measure</p>		<p>However, at both the district and schools there were no invoices of capitation to schools. This disabled total compliance to guidelines.</p>	
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <ul style="list-style-type: none"> • If 100% compliance, score: 2, else score: 0 	<p>Termly inspection plans: term 3/2022 dated 01/09/2022, term 1/2023 dated 01/02/2023, and term 2/2023 01/06/2023 were in place. Equally, minutes of meetings held on 25/08/2022, 31/08/2022, 14/09/2022, 09/02/2023, 04/04/2023 and 26/05/2023 were available. Both [plans and minutes] put emphasis on: enrolment capture, monitoring attendance of both learners and teachers, joint inspection, capturing excess desks in schools, approval of schemes of work, monitoring lesson planning, monitoring development of SIPs, among others.</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> • If 100% score: 2 • Between 80 - 99% score 1 • Below 80%: score 0 	<p>According to termly reports [3/2022, 1/2023, 2/2023] dated 20/12/2022, 31/03/2023, and 30/06/2023 respectively, all the 82 [100%] UPE schools were inspected each term</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>Basing on departmental minutes of meetings held on 15/02/2023, 009/01/2023, 02/03/2023, 04/04/2023, 05/05/2023, and 08/06/2023, inspection reports were discussed and dominant issues for follow-up were: support to worst performing schools; best performing teachers in EGRA; staffing in schools; recruitment of 106 teachers; excess desks in schools and their re-allocation; joint inspections; TELA, EMIS, UglIFT, USSEP IN schools; schemes of work; lesson planning; among others.</p>	2

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>Inspection reports for Term 3/2022 dated 20/12/2022 and received by DES on 04/01/2023; Term 1/2023 dated 31/03/2023 received on 31/03/2023; and that of Term 2/2023 dated 30/06 2023 was received on 03/07/2023 were in place. Equally, in primary schools [Kijangi, St. Joseph Igayaza, Kasambya] I sampled and visited, inspection reports were in place.</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>Evidence by the LG showed the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results for FY 2022/2023. This was from sector committee and council meetings.</p> <p>Below are dates for meeting and Minute numbers:</p> <p>a. 24th October 2022: Min.46/October/Sec/2022, page, 3.</p> <p>b. 6th December 2022: Min.46/December/Sec/2022, page, 3.</p> <p>c. 14th March 2023: Min.46/March/Sec/2022, page, 2.</p> <p>e. 17th May 2023: Min.46/May/Sec2023, page 2.</p> <p>The following were discussed:</p> <ol style="list-style-type: none"> 1. Construction of classrooms in schools. 2. Renovation of schools should be continuous. 3. Construction of staff houses in schools should be looked at serious as it affects their performance and absenteeism which has been noted. 4. A team from MOES to visit St. Paul Kihumuro to assess whether it qualifies for coding. 5. Advertise for Education Assistants and Senior Education Assistants. 6. Schools had alleged forgery of documents submitted to the Ministry should be investigated. 7. Address the issue of inadequate teachers in schools. 8. Check on the relaxation on school uniform and other scholastic materials as parents have ignored this. 9. Address relaxation on learners' registration exercise in schools. 	2

10. Check why some schools don't receive specific grants raised from local revenue.
11. Monitor and supervision of schools be enhanced.
12. Appointment of new school management committees.
13. Follow-up and support of private schools.
14. To ensure that unlicensed / unregistered schools don't open in the year 2023.
15. Teachers who have overstayed in certain schools should be transferred as this affects performance.
16. Inadequate transport for Inspectors of schools.
17. Inadequate teaching staff basing on increased enrolment in schools: current ration, 1:65.
18. Absenteeism of learners
19. Inadequate office space for headquarters staff.
20. Quality and capacity of school management committees still wanting hence contributing to poor performance.
21. Deteriorating professional standards, ethics, and morale among teachers.
22. Despite the various sensitization on children's rights, violation children is still rampant in schools.
23. There should be improvement of performance of PLE, Ordinary and Advanced level exams.

11

Mobilization of parents to attract learners

Maximum 2 points on this performance measure

Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,

score: 2 or else score: 0

Basing on:

1. Stakeholders meeting held on 09/05/2023 at Nkwirwa P/S with attendance lists attached.

2. General meeting held on 21/09/2022 at Kisengwe P/S with attendance lists attached.

3. PTA General sensitization meeting held on 08/06/2023 at Bukuumi Girls, P/S.

4. CDs for radio programmes in place.

The LG had evidence of mobilization efforts to attract and retain learners in schools.

2

Investment Management

12	Planning and budgeting for investments <i>Maximum 4 points on this performance measure</i>	a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i>	The consolidated assets register 2023 dated 30/03/2023 and the assets registers I found in primary schools [Kijangi, St. Joseph Igayaza, Kasambya] I sampled and visited, had tallying information. The facilities and equipment in the two [district and schools] were in existence.	2
12	Planning and budgeting for investments <i>Maximum 4 points on this performance measure</i>	b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i>	The LG conducted desk appraisal for all sector projects in the budget and investments were obtained from the LGDP III-2019/2020 -2024/2025. The projects were appraised by; DEO, District Planner, DCDO and Senior Environment Officer on, 26/05/2022. The desk appraisal were for the following projects; 1. Construction of 2 class room blocks at St Marys Muhumuza Kikoora. 2. Latrine construction at Kamusenene ps, Birembo ps, Kabuubwa, Nyakafunjo, Nkooko s.s. 3. Mpasaana seed school construction Desk appraisal report. 4. Lab construction at St Paul Kihumuro 5. Construction of 2 class room blocks Kitaihuka Seed SSS. 6. Construction of two classroom block at St Edwards ss Bukuumi.	1

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0</p>	<p>The LG provided a field appraisal for, technical feasibility, environmental and social acceptability and customized designs. The appraisal was on 6th April 2023. The appraisals were carried out by; DEO, Inspector of Schools, District Planner, DCDO and Senior Environment Officer.</p> <p>The field appraisal were carried out in the following projects;</p> <ol style="list-style-type: none"> 1. Construction of 2 class room blocks at St Marys Muhumuza Kikoora. 2. Latrine construction at Kamusenene ps, Birembo ps, Kabuubwa, Nyakafunjo, Nkooko s.s. 3. Mpasaana seed school construction Desk appraisal report. 4. Lab construction at St Paul Kihumuro 5. Construction of 2 class room blocks Kitaihuka Seed SSS. 6. Construction of two classroom block at St Edwards ss Bukuumi. 	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, score: 1, else score: 0</p>	<p>As per the Approved Budget Estimates and the Education Sector Work Plan, the following projects were incorporated in the AWP and Procurement Plans for the current FY/2023-2024.</p> <ol style="list-style-type: none"> I. Construction of Mpasana seed school. Budgeted at 700,000,000/= II. Construction of Kihaihuka seed secondary school (Phase III). Budgeted at 700,000,000/= III. Construction of Nkooko Secondary school. Budgeted at 700,000,000/= IV. Construction of 2 five (5) stance lined Latrine with a hand washing facility and changing room for Girls at Kabuubwa P/S. Budgeted at 34,000,000/= V. Construction of 2 five (5) stance lined Latrine with a hand washing facility and changing room for Girls at Nyakafunjo P/S. Budgeted at 34,000,000/= 	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>School infrastructure Projects were approved by the contracts committee before commencement.</p> <p>Sampled projects:</p> <p>I. Construction of an ICT Block at St. Edwards Bukumi S.S- KAKU614/WRKS/2022-2023/00028.</p> <p>Approved by the contracts committee meeting held on 17th October 2022 under Agenda No. 03minute No 847/CC/2022/23 and cleared by solicitor general in a letter dated 22nd December 2022 (Ref ADM.7/142/01).</p> <p>II. Renovation of a hall at Uganda Martyrs S.S, Kakumiro DLG- KAKU614/WRKS/2022-2023/00037</p> <p>Approved by the contracts committee under minute No. 847/cc/2022-2023(11).</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>There was Proper establishment of PITs (full team)</p> <p>Evidence:</p> <p>Appointment letter dated 21st July 2023 appointing DEO-Baleke William Deo as contract manager DE- Mukabya Robert (project supervisor), DCDO (Akunda Nixon) and Environment officer Namayingo Macline.</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p>	<p>The sampled projects as per the physical checks during the site visits were implemented following MoES technical designs.</p> <p>Construction of christ the King seed secondary in Mpasana Subcounty (MOES/UGIFT/WORKS/2021-2022/0004.</p> <p>As per the standard technical designs provided by the MoES, the structure had 3 units of 2 classroom Blocks with doors (1200x2400mm steel casement), windows (1200x1490mm), classroom size (8810x7430mm), 26 Gauge roofing sheets covering fixed on 100x50x2mm zed purlins, inbuilt cabinets, and a ramp. A science Lab, ICT library, teachers' houses and kitchen, administration block, 5-stance VIP latrine and a urinal, multipurpose hall.</p> <p>All these were met at the Ongoing works at Christ the King seed secondary school.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i></p>	<p>There was evidence that site meetings were conducted for infrastructure projects implemented in the previous FY2022/2023.</p> <p>Evidence;</p> <p>I. Stake holders site meeting held at Mpasana seed school on 5th May 2023</p> <p>II. Stake holders site meeting held at Kihumuro on 21st April 2023.</p> <p>III. Stake holders meeting held at Uganda Martyrs school on 2nd May 2023</p> <p>IV. Stakeholders meeting held on 21st April 2023at ST. Edwards S. S</p>	1

Procurement, contract management/execution

Maximum 9 points on this performance measure

f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted *score: 1, else score: 0*

There was evidence of joint supervision of the sector infrastructure projects

Evidence;

Monitoring report dated 30th June 2023 prepared by DEO addressed to CAO.

Projects monitored included;

I. Construction of christ the King Mpasaana seed school, construction of a laboratory at Kitaihuka S.S

II. Construction of an ICT Block at St. Edwards Bukumi S.S.

III. Construction of a classroom block at St. Paul Kihumuro.

Stake holders site meeting dated 21st April 2023.

In attendance were CAO, RDC, LCV, Environment officer, District inspectors of schools. and the contractors team.

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>The sector infrastructure projects were properly executed but payments to contractor (contractor 3) was not made within specified timeframe of the contract FY 2022/2023.</p>	0
			<p>The payment to projects were as follows;</p>	
			<p>Payment terms as per contract 30 days after a request for payment / invoice by the contractor.</p>	
			<p>1. Construction of an ICT Block at St Edwards Bukuumi SS, by MITA Engineering & Logistics Co Ltd. Procurement Number. KAKU844/Wrks/2022-2023/00028. Requisition for funds 17/05/2023. Certified works on 5/6/2023 and paid on 12/6/2023 by EFT. 5835874, UGX. 274,656,704. Payment after 26 days, within the timeframe of 30 days.</p>	
			<p>1. Construction of a Two Classroom block, Office, Store and a Water Tank at Kitegura P/S, by Artcentre Contractors L t d . Procurement Number. KAKU844/Wrks/2022-2023/00022. Requisition for funds 01/3/2023. Certified works on 16/3/2023 and paid on 31/3/2023 by EFT. 4695406, UGX. 37,523417. Payment after 30 days, within the timeframe of 30 days</p>	
			<p>3. Construction of a Five stance VIP Latrine at Kisiita P/S by Bamutazindwa Investments Co Ltd. Procurement Number. KAKU844/Wrks/2022-2023/00043. Requisition for funds 7/2/2023. Certified works on 15/2/2023 and paid on 16/3/2023 by EFT. 4281119, UGX. 10,520,832. Payment after 37 days, beyond the timeframe of 30 days.</p>	
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i></p>	<p>The Education department timely submitted a procurement plan before 30th April 2023. All submissions were made on 15th April 2023 for the following projects;</p>	1
			<p>I. Construction of a 2-Classroom block at Kabubwa P/S KAKU844/WRKS/2023-2024/00010</p>	
			<p>II. Renovation of two classroom block at Rwembura P/S Kijangi S/C. KAKU844/WRKS/2023-2024/00020</p>	

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law</p> <p><i>score 1 or else score 0</i></p>	<p>Complete Procurement files for all the school infrastructure projects with Evaluation Reports, contracts, commencement/ award letters and Minutes of the Contract Committee were present, For example;</p> <p>I. Construction of an ICT Block at St. Edwards Bukumi S.S- KAKU614/WRKS/2022-2023/00028</p> <p>II. Renovation of a hall at Uganda Martyrs S.S, Kakumiro DLG- KAKU614/WRKS/2022-2023/00037</p>	1
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Environment and Social Safeguards

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0</p>	<p>There was evidence of grievances redress framework the LG had grievances recorded, investigated, responded to and redress reported under Education. Example Kawesa Paul reported on 11/10/2022 on delayed payments of casual workers at Birembe seed school construction. meetings were held on 17/10/2022 and salaries were paid</p>	3
15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>Guidelines/circulars entitled: Making schools environmentally friendly dated 02/02/2023; Dissemination of environmental and social safeguards dated 21/07/2022; and School hygiene and sanitation dated 03/10/2022; were in place and disseminated to schools as per lists of recipients attached. Equally, when I visited primary schools [Kijangi, St. Joseph Igayaza; Kasmbya] I sampled, these documents were in place.</p>	3

16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i></p>	<p>There was evidence that the LG had costed ESMPs incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY example;</p> <p>Upgrade of Mukoora HC II to III and construction of Kikwaya HC III under UgiFT (Ref No: MoH-UgiFT/Wrks/22-23/00001-Lot 22. In the BOQ under Item A under preliminaries (temporary hoardings, fencing costed 18,455,075 UGX, OHS,HIV/AIDS and Gender costed 3,00,000UGX,compliance with NEMA regulations and EIA report costed at 500,000UGX ESMP costed ESMP costed 500,000UGX for solid waste management,4,000,000UGX for first aid abatement ie accidents and was signed by Environment officer and DCDO on 19/10/2022</p> <p>Construction of 2-unit staff house at Kabulwa HC IV (Ref No: KAKU/WRKS/22-23/00033. the BOQ under external works element No 1 (Landscaping-grassing and planting of trees costed 3,925,000UGX</p>	2
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i></p>	<p>There was evidence showing the LG had proof of land ownership for health construction projects Example;</p> <p>Certificate of Title LAF KDLB/046/2021, Plot 20, Block 339 at Mukoora 'A' Ownership-(Mukoora HC III). Area 1. 296Hec P.O. Box 522 Kakumiro. Dated 14/9/2022 signed by Registrar of Titles</p> <p>Land agreement for Kabubwa HC III dated 29/4/2021 measuring 4 acres owned by Mikael Kasaija and distributed to the health center II on 6/4/1970.Witnesses (Zakayo N Kaija, Ezekeri Isoke and Kabanda Ag Commissioner chief Nkooko and Albine E-for county Chief)Letter Agreement retyped by Senteza Robert A-Ag DHO Kakumiro DLG</p>	1

16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p>	<p>There was evidence of consistent monitoring and engagement throughout the contract period by Environment officer and DCDO. monthly reports for health projects Include:</p> <p>Monthly Report for compliance on establishment of Kikwaya HC III Monitoring reports 16/3/2023, 25/4/2023 ,22/6/2023 signed by Environment officer and DCDO</p> <p>Monitoring sites where different constructions taking place for health projects example Upgrade of Mukora HC II to HC III. Monitoring reports 16/3/2023, 25/4/2023 ,22/6/2023 signed by Environment officer and DCDO</p> <p>Monitoring sites where different constructions taking place for health projects example Construction of Staff house at Kabubwa HC II. Monitoring reports 16/3/2023, 25/4/2023 ,22/6/2023 signed by Environment officer and DCDO</p> <p>Recommendations in the reports include; Debris on sites to be cleared after construction, workers to wear PPEs at all times while working, proper waste management to be established.</p>	2
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments</p> <p><i>Score: 1, else score:0</i></p>	<p>There was evidence accessed in LG for Environment and social certifications signed off by the Environment Officer and CDO prior to payments of contractor invoices/certificates for health projects examples include;</p> <p>Environment and social certification certificate for Construction of Kikwaya HC III. signed by Environment officer and DCDO 12/6/2023</p> <p>Environment and social certification certificate for Upgrade of Mukora HC II to HC III. signed by Environment officer and DCDO 12/6/2023</p> <p>Environment and social certification certificate for Construction of Staff house at Kabubwa HC II. signed by Environment officer and DCDO 12/6/2023</p> <p>Certifications were done after all ESMP mitigation measures were addressed satisfactorily.</p>	1

**Health
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>The LG Health department registered a decrease in population delivering at health facilities by 2.1% between FY 2021/2022 to 2022/2023. Delivery data was obtained for the FY 2021/2022 and 2022/2023 for (Kakumiro HC IV, Kyabasaija HC III and Kasambya HC III). Total deliveries for the FY 2021/2022(Old) was 4761 and FY 2022/2023(New) was 4657. Percentage change was calculated using (New-Old)/Old x100 = (4657-4761)/4761 x 100 = - 2.1%.</p>	0
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> • 70% and above, score 2 • 50% - 69%, score 1 • Below 50%, score 0 	<p>Average score in health for LLG Performance was 79%</p>	2
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> • 75% and above; score 2 • 65 - 74%; score 1 • Below 65; score 0 	<p>This indicator is NA, All LGs to score 0</p>	0

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p>	<p>The LG budgeted and received UGX. 3,533,160,000 for health sector development grant spent (ABPR, page, 17). The unspent amount was transferred back to the centre, UGX.776,069,000 (ABPR, page,17) therefore, expenditure was, UGX.2,757,091,000</p> <p>Expenditure of UGX. 2,757,091,000</p> <ol style="list-style-type: none"> 1. Construction of Kikwaya HC3 at 1,200,000,000 (ABPR, page 143). 2. Equipping Kasenyi HCIII at Ugx 320,000,000 (ABPR, page 144). 3. Upgrade of Mukoora HC2 to HCIII at Ugx 1,237,091,000 (ABPR, page 147). <p>Total, UGX.2,757,091,000.</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0</p>	<p>The DHO , District Engineer, District Natural Resource Officer, DCDO certified works on health projects before the LG made payments to the Contractors and suppliers. The assessor was availed the evidence on 30th November 2023.</p> <p>The sample payments made were as follows;</p> <ol style="list-style-type: none"> 1. Construction of Buildings at Mukoora & Kikwaya HC III by Extech Technical Services. Procurement Number. MOH/UgIFT/WRKS/22-23/00001/LOT 22. Requisition for funds 13/03/2023. Certified works on 14/03/2023 and paid on 28/03/2023 by EFT. 4597780, UGX. 527,453,207. 2. Construction of Staff House at Kigando HC III, by Crystal Consults Ltd. Procurement Number. KAKU614/WRKS/2022-2023/00035. Requisition for funds 20/04/2023. Certified works on 25/04/2023 and paid on 17/05/2023 by EFT. 5302351, UGX. 124,711,535. 3. Construction of Staff House at Kyabasaija HCIII by Heavy Investments Ltd. Procurement Number. KAKU614/WRKS/2022-2023/00034. Requisition for funds 05/05/2023. Certified works on 09/05/2023 and paid on 18/05/2023 by EFT. 5327009, UGX. 54,242,732 	2

3	Investment performance: The LG has managed health projects as per guidelines.	c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0	<p>The Engineers estimates (Budgets) Vs. the Contract Prices for the sampled projects are;</p> <p>I. Construction of a Two Unit Staff House at Kabuubwa Health Centre III. KAKU614/WRKS/2022-2023/00033</p> <p>Engineer's Estimate: 170,000,000/=</p> <p>Contract award price: 169,777,971/=</p> <p>Variation: 0.13%</p> <p>II. Upgrade of Mukoora HCII to HCIII and construction of New Health centre IIs at Kikwaya in Kakumiro District. MOH/UGIFT/WRKS/22-23/00001: LOT 22.</p> <p>Engineer's Estimate: 1,820,000,000/=</p> <p>Contract price: 1,754,365,244/=</p> <p>Variation: 3.74%</p> <p>The variations were thus within +/-20% of the MoWT/LG Engineers estimates.</p>	2
3	Investment performance: The LG has managed health projects as per guidelines.	<p>d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY</p> <ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 	<p>According to the LG works contracts for FY/22-23, the health sector investment projects implemented in the previous FY were completed as per work plan. These projects include;</p> <p>I. Upgrade of Mukoora HCII to HCIII and construction of New Health centre IIs at Kikwaya in Kakumiro District- MOH/UGIFT/WRKS/22-23/00001: LOT 22.</p> <p>II. Construction of a Two Unit Staff House at Kabuubwa Health Centre III- KAKU614/WRKS/2022-2023/00033.</p>	2
4	Achievement of Standards: The LG has met health staffing and infrastructure facility standards	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	<p>Kakumiro district has 2 HCIVs and 15HCIII with a combined staff ceiling of 583 staff. There were 244 filled positions which is equivalent to 41% hence a score of 0</p>	0
Maximum 8 points on this performance measure	Maximum 4 points on this performance measure			

4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> • If 100 % score 2 or else score 0 	<p>The LG health infrastructure construction project meet the approved MoH Facility Infrastructure Designs.</p> <p>The Works on the upgrade of Mukoora HCII to HCIII in Kakumiro District. MOH/UGIFT/WRKS/22-23/00001: LOT 22 included construction of general/maternity ward, construction of 4-stance lined VIP latrine and urinals, construction of placenta pit and general external All these works met the approved MoH Facility Infrastructure Designs.</p>	2
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Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>There was no evidence to show that health workers were in place as indicated in the staff list provided by the DHOs office for the FY 2023/2024. The assessment team compared the staff list obtained from the DHOs office for the FY 2023/2024 with what was available at the sampled facilities (Kakumiro HC IV, Kyabaisaja HC III and Kasambya HC III) and observed the following.</p> <p>Kakumiro HC IV: The deployment list provided by the DHOs office had 43 health staff and the HF list had 41 with 4 staff on annual leave and one on maternity leave. The missing staff on the HF list was Dr Sekate Julius-Medical Officer and Baguma Living- An Askari. However, all the 43-health staff were working were they were deployed</p> <p>Kyabaisaja HC III: The deployment list provided by the DHOs office had 11 health staff. On verification all the 11staff were in place as indicated on the DHOs list.</p> <p>Kasambya HC III: The deployment list provided by the DHOs office had 13 health staff. On verification ALL the health staff were in place as indicated on the DHOs list, with Kansiiime Winnie and Tusabomu Rachel on annual lave and Mwesige Fred and Kyalisiima Godfrey on sick leave.</p>	0
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5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>There was evidence to confirm that that information on health facilities constructed and functional was accurate. Based on the information obtained from the DHOs office, only one health facility was upgraded in the FY 2022/2023.</p> <p>Mukora HC II was upgraded to HCIII and as part of the upgrade activities, there was construction of maternity and staff houses. The assessment team reviewed the annual PBS report (Quarter 4 report) submitted on 28 August 2023 and established that information on the above-mentioned projects were accurate as reflected on Page 147 of the report. The district had so far spent Ugx 206,000,000 out of Ugx 1748,000,000 that was budgeted for the above construction works under the District Discretionary Equalisation Development Grant</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>There was evidence that the annual work plan and budgets for the sampled health facilities (Kakumiro HC IV, Kyabasaija HC III and Kasambya HC III). conformed to the prescribed formats in the planning guidelines and were submitted by March 31st of the previous FY 2022/2023</p> <p>Kakumiro HC IV: The workplan and budget was prepared by Dr Byaruhanga Hargreave (Health Facility In charge) on 5th March 2023 and submitted to the DHOs office on 17th March 2023</p> <p>Kyabasaija HC III: The workplan and budget was prepared Kitone Rosemary (Health Facility In charge) on 13th March 2023 and submitted to the DHOs office on 31st March 2023</p> <p>Kasambya HC III: The workplan and budget was prepared by Ssekumba Achileo (Health Facility In charge) on 23rd March 2023 and submitted to the DHOs office on 26th March 2023</p>	2

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :

- Score 2 or else 0

The Annual Budget Performance Reports for the previous FY 2022/2023 conformed to the Budget and Grant Guidelines and were submitted timely i.e. 15th July of the current FY 2023/2024 for 1 out of the 3 sampled facilities (Kakumiro HC IV, Kyabasaija HC III and Kasambya HC III).

Kakumiro HC IV: The HF annual budget performance report was prepared by Dr Byarhunga Hargreaves (Health Facility In charge) on 11th July 2023 and submitted to the DHOs office on 13th July 2023

Copies of the annual budget performance report for Kyabasaija HC III and Kasambya HC III were not availed to the assessment team.

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports

- Score 2 or else 0

There was no evidence that the sampled health facility improvement plans for the current FY (2023/2024) incorporated performance issues identified in DHMT monitoring and assessment reports. We reviewed the HF improvement plans for the current FY and compared with recommendations identified in the DHMT and noted the following;

Kakumiro HC IV

During the Q1 supervision visit, it was noted that, there was high rate of staff absenteeism that was affecting service delivery at the facility, equally there were also gaps in completing the HMIS registers a midst limited space to store records. (Q1supervision report prepared by Mbabazi Assumpta – Ag ADHO-MCH, 30th Oct 2022)

These issues were not integrated into the HF PIPs for the current FY (Integrated PIP and workplan was prepared by Dr Byaruhanga Hargreave (Health Facility In charge) on 25th March 2023)

Kyabasaija HC III

During the Q1 Health Sub District (HSD) support supervision, it was noted that the stores at Kyabasaija HC III was infected with vermin, the laboratory space was too small to confer safety to Lab personnel, maternity equipment were not being sterilized and weekly and monthly reports were being submitted late. (Report prepared by Dr Byaruhanga Hargreaves- HSD In charge, dated 21st August 2022). On review of the HF PIP, it was noted that, these issues were not integrated (Integrated PIP and workplan t prepared Kitone Rosemary (Health Facility In charge) on 13th March 2023)

Kasambya HC III

The Q1 HSD support supervision noted that the facility had no HIV testing algorithm, reliable water source and concentrator for restating babies. Data was not being reviewed before submission, in addition to absenteeism by health facility staff. On review of the HF PIP it was noted that these issues were not integrated(Integrated PIP and workplan prepared by Ssekumba Achilleo (Health Facility In charge) on 23rd March 2023)

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,

• score 2 or else score 0

There was evidence that the sampled HFs (Kakumiro HC IV, Kyabasaija HC III and Kasambya HC III) submitted 100% their monthly (12) and quarterly (4) reports for the FY 2022/2023 timely i.e. 7 days following the end of each month and quarter. The details are summarized.

Month Kasambya HC III Kakumiro HC IV Kyabasaija HC III

June 2023 6/7/2023 7/7/2023 7/7/2023

May 2023 6/6/2023 6/6/2023 6/6/2023

Api 2023 6/5/2023 7/5/2023 7/5/2023

March 2023 5/4/2023 7/4/2023 5/4/2023

Feb 2023 6/3/2023 7/3/2023 3/3/2023

Jan 2023 6/2/2023 6/2/2023 6/2/2023

Dec 2022 6/1/2023 6/1/2023 6/1/2023

Nov 2022 6/12/2022 6/12/2022 6/12/2022

Oct 2022 7/11/2022 6/11/2022 6/11/2022

Sep 2022 6/10/2022 7/10/2022 7/10/2022

Aug 2022 7/9/2022 7/9/2022 7/9/2022

Jul 2022 7/8/2022 6/8/2022 6/8/2022

Quarter Kasambya HC III Kakumiro HC IV Kyabasaija HC III

Q1 3/10/2022 6/10/2022 6/10/2022

Q2 6/1/2023 7/01/2023 4/1/2023

Q3 6/4/2023 6/4/2023 6/4/2023

Q4 4/7/2023 6/7/2023 5/7/2023

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0

Note: Municipalities submit to districts

This indicator is NA, All LGs to score 0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>This indicator is NA, All LGs to score 0</p>	0
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>The LG DHOs office timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports and status, FY 2022/2023 to Planner for consolidation.</p> <p>The due dates for submission and submission by DHO's office for consolidation were as below:</p> <p>Q-1- Due date for submission was 31/10/2022, submitted on 24/10/2022. The submission was within the time-frame.</p> <p>Q 2- Due date for submission was 31/01/2023, submitted on 26/01/2023. The submission was within the time-frame.</p> <p>Q 3- Due date for submission was 30/04/2023, submitted on 27/04/2023. The submission was within the time-frame.</p> <p>Q 4 -Due date for submission was 31/08/2023, submitted on 26/08/2023. The submission was within the time-frame.</p>	1
<p>Maximum 14 points on this performance measure</p>				

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>There was evidence that the LG developed Performance Improvement Plan for the lowest performing health facilities. The plan was prepared by Assumpta Mbabazi - Ag Assistant District Health Officer (MCH) and approved by CAO, not dated</p> <p>Key performance issues identified in the planned included;</p> <ul style="list-style-type: none"> - DHO and ADHO to attended all local maternity and neonatal meetings. This was intended to address the low performance in maternal and child health indicators - Joint support supervison to track staff absenteeism - Establishment of accommodation for critical staff at health facilities to improve service delivery. 	1
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>There was evidence that the LG implemented Performance Improvement Plan for the lowest performing health facilities.</p> <p>Staff houses were constructed at Kabuubwa HC III, Kyabsaija HC III and Mukora HC III to accommodate the critical staff. (LG Q4 budget performance report, dated 28 August 2023)</p> <p>Joint support supervision visits were also conducted in all the 4 quarter in the FY 2022/2023</p> <p>Q1: A total of 6 HFs were supervised this quarter (Report prepared by Mbabazi Assumpta - Ag ADHO-MCH, 30th Oct 2022)</p> <p>Q2. Supervision activities were conducted from 28th - 29th December 2022. Only 1 HC IV (Kakindo HC IV) was supervised this quarter (Report prepared by Mbabazi Assumpta - Ag ADHO-MCH, not dated)</p> <p>Q3: Supervision activities were conducted form 20th - 23rd March 2023. (Report prepared by Mbabazi Assumpta - Ag ADHO-MCH, not dated)</p> <p>Q4: Supervision activities were conducted from 20th -22nd June 2023. (Report prepared by Mbabazi Assumpta - Ag ADHO-MCH, not dated.</p>	1

Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	The LG-Health department did not budget for health workers as per guidelines. We reviewed the LG approved performance contract and wage analysis and noted that the department budgeted for 250(50.1%) of the health workforce with a wage of Ugx 5,560,573,000 (LG Approved Budget Estimates 2023/2024 Page 31). The health facility list obtained from the District Health office indicated that, the LG health department has a total of 16 health facilities (2 HCIV and 16 HC III and 1 HC II), with an approved staff structure of 409 health workers.	0
Maximum 9 points on this performance measure				
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	There was evidence that the LG health department did not deploy health workers as per guidelines/staffing levels and norms in the FY 2023/2024(i.e. at least all the HFs to have 75% of the staff required. Some of the facilities had less 75% of the required staffing. For example; Birembo HC III had 8 staff out of 19(42.1%), Kabuubwa HC III had 10 staff out of 19(52.6%), Kakindo HC III had 5 staff out of 19(26.3%) and Kisengwa HC III had 8 staff out of 19(42.1%)	0
Maximum 9 points on this performance measure				
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>There was evidence that health staff are working where they are deployed in all the sampled facilities (Kakumiro HC IV, Kyabaisaja HC III and Kasambya HC III) The assessment team reviewed the deployment list provided by the DHOs office and HF list obtained at the sampled facilities and noted the following;</p> <p>Kakumiro HC IV: The deployment list provided by the DHOs office had 43 health staff and the HF list had 41 with 4 staff on annual leave and one on maternity leave. The missing staff on the HF list was Dr Sekate Julius-Medical Officer and Baguma Living- An Askari. However, all the 43-health staff were working where they were deployed</p> <p>Kyabaisaja HC III: The deployment list provided by the DHOs office had 11 health staff. On verification all the 11staff were working where they were deployed</p> <p>Kasambya HC III: The deployment list provided by the DHOs office had 13 health staff. On verification ALL the health staff were working where they were deployed, with Kansiiime Winnie and Tusabomu Rachel on annual lave and Mwesige Fred and Kyalisiima Godfrey on sick leave</p>	3
Maximum 9 points on this performance measure				

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0</p>	<p>There was evidence that the list of health workers deployed in the sampled facilities HFs (Kakumiro HC IV, Kyabasaija HC III and Kasambya HC III) were displayed on the health facilities notice boards</p>	2
	<p>Maximum 9 points on this performance measure</p>		<p>Kakumiro HC IV had a list of 41 health staff displayed (List prepared by Dr Byaruhanga Hargreave - Health Facility In charge, dated 1st April 2023)</p>	
			<p>Kyabasaija HC III had a list of 11 staff displayed, dated 1st July 2023</p>	
			<p>Kasambya HC III had a list of 13 health staff displayed- list not dated</p>	
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p>	<p>a) Evidence that the DHO/MMOHs has: i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0</p>	<p>The assessor reviewed personal files 9 health in-charges and established that they were all appraised during the previous FY in accordance with guidelines from MoPS.</p>	1
	<p>Maximum 6 points on this performance measure</p>		<p>1. Sekyole Ibrahim (Senior Clinical Officer) was appraised on 28th June 2023</p>	
			<p>2. Musitwa Anatoli (Senior Clinical Officer) was appraised on 22nd June 2023</p>	
			<p>3. Byaruhanga Ibrahim (Clinical Officer) was appraised on 27th June 2023</p>	
			<p>4. Agaba Dison (Assistant Nursing Officer) was appraised on 4th June 2023</p>	
			<p>5. Twomonday Moses (Senior Clinical Officer) was appraised on 30th June 2023</p>	
			<p>6. Bikorwenda Richard (Clinical Officer) was appraised on 28th June 2023</p>	
			<p>7. Dr Byaruhanga Hargreaves (Senior Medical Officer) was appraised on 30th June 2023</p>	
			<p>8. Katusabe Yasinta (Enrolled Nurse) was appraised on 29th June 2023</p>	
			<p>9. Sekate Julius (Medical Officer) was appraised on 30th June 2023</p>	

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p>	<p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p>	<p>The assessor reviewed personal files for a sample of 10 health workers and established that they all were appraised by their Health Facility In-charges during the previous FY in accordance with guidelines from MoPS.</p> <ol style="list-style-type: none"> 1. Nassanga Grace (Assistant Nursing Officer) was appraised on 30th June 2023 2. Kyalimpa Innocent (Health Inspector) was appraised on 26th June 2023 3. Nampewo Dorothy (Enrolled Midwife) was appraised on 30th June 2023 4. Nasande Anne (enrolled Midwife) was appraised on 19th June 2023 5. Ssegawa Alex (Medical Laboratory Assistant) was appraised on 30th June 2023 6. Nalugobe Sylvia (Enrolled Nurse) was appraised on 21st June 2023 7. Birungi Mary (Enrolled Midwife) was appraised on 29th June 2023 8. Kusiima Jane (Assistant Nursing Officer) was appraised on 26th June 2023 9. Mugaga Deus (Nursing Officer) was appraised on 28th June 2023 10. Bwaligonza Mildred (Enrolled Midwife) was appraised on 29th June 2023 	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p>	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>No evidence was provided to show that any corrective actions based on the appraisal reports were taken by the DHO to address identified performance gaps</p>	0
	<p>Maximum 6 points on this performance measure</p>			

8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	b) Evidence that the LG: i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0	There was evidence that training activities for the FY 2022/2023 were conducted. Covid 19 and malaria dialogue training was conducted. The objective of the training was to increase awareness in the community on how to reduce illness and nature a healthy community. A total of 50(health workers from Kisengwa HC III, Birembo HC III, Kasambya HC III and Kigando HC III) were trained (Report prepared by Wamani Edward-Health Inspector, dated 3rd April 2023) Training of health workers on reproductive health skills was conducted from 12th -15th June 2023. The objective of the training was to provide knowledge and skills to health workers on how to prevent teenage pregnancies, abortion, unwanted pregnancies and sexually transmitted diseases including HIV (Report prepared by Mbabazi Assumpta, Acting Assistant District Health Officer, not dated) Maternal Perinatal and Disease Surveillance Response (MPDSR) training for In charges of maternity in Kakumiro was conducted from 6th - 10th February 2023. The purpose of the training was to examine the process of perinatal death notification review in Bunyoro region. Participants were drawn from seven high volume health facilities in the district. (Report prepared by Mbabazi Assumpta, Acting Assistant District Health Officer, 10th Feb 2023)	1
8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	ii. Documented training activities in the training/CPD database, score 1 or else score 0	There was evidence that the LG health department documented training activities in the training/CPD database. A training data base opened in October 2022 and prepared by Mbabazi Assumpta, Ag ADHO – MCH was provided as evidence.	1
Maximum 6 points on this performance measure				

Management, Monitoring and Supervision of Services.

9	N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.	a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0	There was evidence that the CAO confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing in a letter to the PS MoH (Letter dated 16th September 2023 -Ref CR/350). The letter was written within the required timeline i.e. 30th Sep 2023	2
Maximum 9 points on this performance measure				

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>The LG PHC budget for FY 2022/2023 was UGX. 693,590,000 (ABPR, page, 17) and allocated, UGX.127,670,000 (ABPR, page, 103) for monitoring and service delivery and District health services. This was 18.4% which was not within 15% maximum.</p>	0
<p>Maximum 9 points on this performance measure</p>	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>	<p>The LG did not warrant and transfer direct transferred to health facilities in accordance to the requirements within 5 working days in FY 2022/2023.</p>	0
<p>Maximum 9 points on this performance measure</p>			<p>Q 1- FY 2022/2023, warranted on 27/07/2022 and transferred 23/08/2022, after 26 days, beyond timeframe of 5 working days.</p>	
			<p>Q 2- FY 2022/2023, warranted on 10/10/2022 and transferred 25/10/2022, after 15 days, beyond timeframe of 5 working days.</p>	
			<p>Q 3 - FY 2022/2023, warranted on 06/01/2023 and transferred 27/01/2023, after 21 days, beyond timeframe of 5 working days.</p>	
			<p>Q 4 - FY 2022/2023, warranted on 17/04/2023 and transferred 17/05/2023, after 30 working days, beyond timeframe of 5 working days.</p>	
			<p>The LG did not transfer within 5 working days after warranting, Q 1 - Q 4, in FY 2022/2023.</p>	

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0</p>	<p>The LG did not invoice and communicate PHC NWR Grant transfers for FY 2022/2023 to health facilities within 5 working days from the day of funds release in each quarter.</p> <p>Q 1- FY 2022/2023, released funds on 04/08/2022, invoiced and communicated on 10/08/2022, after 6 days, beyond timeframe of 5 working days.</p> <p>Q 2- FY 2022/2023, released funds on 13/10/2022, invoiced and communicated on 19/10/2022, after 6 working days , beyond the timeframe of 5 working days.</p> <p>Q 3 - FY 2022/2023, released funds on 13/01/2023, invoiced and communicated on 19/01/2023, after 6 working days, beyond the timeframe of 5 working days.</p> <p>Q 4 - FY 2022/2023, released funds on 19/04/2023, invoiced and communicated on 26/05/2023, after 7 working days, beyond 5 working days.</p> <p>The LG invoiced and communicated all PHC NWR Grant transfers after release of funds for the FY 2022/2023 to health within 5 working days in, Q 1- Q4.</p>	0
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>Evidence indicated the LG did not publicize (Q 1 - Q 4) all the quarterly financial releases through noticeboard on the release to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED FY 2022/2023.</p> <p>Q 1-FY 2022/2023, expenditure limits was on 8/07/2022, publication was on 27/07/2022, after 19 days, beyond the timeframe of 5 working days.</p> <p>Q 2- FY 2022/2023, expenditure limits was on 30/09/2022, publication was on 10/10/2022, after 10 days, beyond the timeframe of 5 working days.</p> <p>Q 3 - FY 2022/2023, expenditure limits was on 29/12/2023, publication was on 6/01/2023, after 8 days, beyond the timeframe of 5 working days.</p> <p>Q 4 - FY 2022/2023, expenditure limits was on 06/04/2023, publication was on 17/04/2023, after 11 days, beyond the timeframe of 5 working days.</p>	0

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>There was no evidence that the health department implemented actions recommended by the DHMT/MMHT quarterly performance review meetings of the previous FY 2022/2023. The LG health department availed Minutes and attendance for Q3 meeting that was held on 31st May 2023. Minutes for Q1, 2 and 4 and the corresponding attendance lists were not availed to the assessment team. We could therefore not establish whether recommendations from the DHMT quarterly performance review meetings for the previous FY 2022/2023 were implemented.</p> <p>For Q3, the meeting was held on 31st May 2023. It was attended by 43 participants. Key recommendations made under Min.IPRM:7/5/2022/2023 included;</p> <ul style="list-style-type: none"> - The District TB and Leprosy Supervisor (DTLS) position to be functionalize at the District level - Health facility to be oriented on development targets - The DTLS to follow up with documentation of data on the TB register <p>There was no evidence of implementation of these recommendations.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>There was no evidence that the LG quarterly performance review meetings involved all health facilities in charges, implementing partners, DHMTs and key LG departments.</p> <p>The LG health department availed attendance list for Q3 meeting that was held on 31st May 2023. Attendance for Q1, 2 and 4 were not availed to the assessment team. However, the Q3 performance review meeting was attended by the DHMT, Health facility in charges, Implementing partners (Baylor Uganda) and Key departments (Education Officer and CAO)</p>	0

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0

If not applicable, provide the score

The LG has 2 HC IV (Kakindo and Kakumiro HC IVs) and No General Hospital. There was no evidence that the LG supervised the HC IVs at least once in every quarter. The assessment team reviewed the quarterly integrated support supervision reports and noted the following;

Q1: A total of 6 HFs were supervised this quarter. All the HC IVs were supervised this quarter (Report prepared by Mbabazi Assumpta - Ag ADHO-MCH, 30th Oct 2022)

Q2. Supervision activities were conducted from 28th - 29th December 2022. Only 1 HC IV (Kakindo HC IV) was supervised this quarter and key gap noted included;

- Protocols were not displayed
- Some staff were not on uniform
- The operation table was not tilting to allow different positions during operation

(Report prepared by Mbabazi Assumpta - Ag ADHO-MCH, not dated

Q3: Supervision activities were conducted from 20th - 23rd March 2023. All the 2 HC IVs were supervised this quarter (Report prepared by Mbabazi Assumpta - Ag ADHO-MCH, not dated

Q4: Supervision activities were conducted from 20th -22nd June 2023. Only one HC IV (Kakumro HC IV) was supervised this quarter (Report prepared by Mbabazi Assumpta - Ag ADHO-MCH, not dated

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0

- If not applicable, provide the score

The LG have 1 HSD supervised by (Kakindo and Kakumiro HC IVs). There was evidence that the Health Sub District (HSD) carried out support supervision of lower level health facilities within the previous FY 2022/2023

Kakumiro HC IV

Q1: Supervision activities were conducted from 18th – 21st August 2022. A total of 8 HFs were supervised this quarter. (Report prepared by Dr Byaruhanga Hargreaves- HSD Incharge, dated 21st August 2022)

Q2: Supervision activities were conducted from 13th – 14th Dec 2022. A total of 7 health facilities were supervised this quarter. (Report prepared by Dr Byaruhanga Hargreaves- HSD In charge, dated 14th Dec 2022)

Q3: Supervision activities were conducted from 13th -14th March 2023. A total of 7 facilities were supervised this quarter (Report prepared by Dr Byaruhanga Hargreaves- HSD Incharge, dated 14th March 2023)

Q4: Supervision activities were conducted from 3rd – 12th July 2023 A total of 9 HFs were supervised this quarter (Report prepared by Dr Byaruhanga Hargreaves- HSD Incharge, dated 12th July 2023)

Kakindo HC IV

Q2: A total of 9 HFs were supervised this quarter (Report prepared by Asiimwe Thereza-Incharge, dated 13th Jan 2023)

Q3: Supervision activities were implemented from 28th -29th April 2023. A total of 9 HFs were supervised (Report prepared by Asiimwe Thereza-Incharge, not dated)

Q4: Supervision activities were conducted from 1st – 2nd June 2023. A total. A total of 9 HFs were supervised this quarter (Report prepared by Asiimwe Thereza-Incharge, dated 9th June 2023)

10

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0

There was no evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and implementation of those were followed during the FY 2022/2023.

Kasambya HC III, the support supervision visit conducted on 8th August 2022 recommended that the EPI focal person should update the vaccine and injection material control book and also update the EPI micro plan and monitoring chats by 15th August 2022. Equally the visit conducted on 28th Sep 2022 also made a recommendation for the health facility to functionalize the reward and sanction committee. There was no evidence provided on the status of implementation of these recommendations.

Kyabaisaja HC III, the support supervision visit conducted on 6th Dec 2022 recommended that, the health facility staff should open up a new quality improvement journal and staff should equally be mentored on how to mix jik. The visit conducted on 13th March 2023 also recommended that, the staff should analyze data and open quality improvement projects on weak areas. No evidence was provided on the status of implementation of these recommendations

Kakumiro HCIV, the support supervision visit conducted on 19th December 2022 recommended that, the health facility should organize a quarterly performance review meeting and also develop procurement plans, in addition to developing quarterly targets. There was no evidence provided on that status of implementation of these recommendations.

0

10

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0

There was no evidence that the LG provided support to health facilities in the management of medicines and health supplies. Copies of the reports were not availed to the assessment team.

0

11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0	The LG DHOs health office budget was UGX.127,670,000 (ABPR, page, 103) The total amount allocated to health promotion and prevention activities was UGX. 11,349,000 (ABPR-page, 103). This was 35.4%, which was within the range of 30%.	2
	Maximum 4 points on this performance measure			
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0	<p>There was evidence that the DHT implemented health promotion, disease prevention and social mobilization activities in the FY 2022/2023. The assessment team reviewed the health promotion and diseases prevention reports and noted the following</p> <p>IPC monitoring activities were conducted from 3rd – 6th April 2023. The objective of the activity was to assess the functionality of IPC in health facilities in order to improve health worker and client care safety. Key gaps noted during the monitoring visit included;</p> <ul style="list-style-type: none"> - Non-functional IPC committee with no evidence of monthly meetings in all health facilities monitored - Inadequate and functional handwashing stations - Lack of screening stations in 8/9 health facilities monitored and - No designated holding space for suspected cases in health facilities <p>(Report prepared by Wamani Edward- Health Inspector, dated 13th April 2023)</p> <p>Social behavioral change communication for yellow fever vaccination mass campaign was conducted. A number of avenues were used to ensure that the masses in communities were made aware of and mobilized for this exercise. This included, the use of public address systems, radio talks shows and use of local structures among others. (Report prepared by Senteza Robert Augustine-Senior Health Educator, dated 26th June 2023)</p> <p>Mobilization of food vendors towards food handler’s education was conducted on 14th March 2023. 30 food premises were reached and food vendors mobilized. Various schools were also visited to increase the level of awareness on personal hygiene and sanitation. (Report prepared by Kyalimpaa Innocent-Health Inspector, dated 26th April 2023)</p>	1
	Maximum 4 points on this performance measure			

11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p>	<p>There was evidence that follow up actions were taken by the LG on health promotion and disease prevention issues during the last FY 2022/23. We reviewed the DHMT meeting minutes and progress reports and noted the following;</p> <p>The DHT meeting held on 17th Jan 2023 recommended that the ADHO-MCH should orient EPI Focal persons on yellow fever vaccination since it was a new vaccine introduced in the routine. Equally the DHMT meeting held on 27th March 2023 further recommended that the District Health Educator should organize school health programs and radio talk shows to create awareness on yellow fever vaccination. These recommendations were implemented. Social behavioral change communication for yellow fever vaccination mass campaign was conducted. A number of avenues were used to ensure that the masses in communities were made aware of and mobilized for this exercise. This included, training of health facility EPI focal persons, use of public address systems, radio talks show and use of local structures among others. (Report prepared by Senteza Robert Augustine-Senior Health Educator, dated 26th June 2023).</p>	1
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Investment Management

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>There was evidence that the LG had an Assets register that details health facilities and equipment in the LG, relative to the medical equipment list and service standards. The register presented details of assets and equipment which were available at each health facility as of 15th April 2023. The register was updated by Mbaine Charlotte-Assistant Inventory Management Officer)</p>	1
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12

Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.

Maximum 4 points on this performance measure

b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDPIII);

(ii) desk appraisal by the LG; and

(iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG));

score 1 or else score 0

The evidence showed the prioritized investments in the health sector were desk appraised and eligible for expenditure under sector guidelines and funding source, development grant, Discretionary Development Equalization Grant DDEG. These were discussed in the TPC meeting held on 23rd April 2022. These were profiled from LG DP III. Projects were appraised by; DHO, District Planner, DCDO, Senior Environment Officer and District Engineer.

Projects appraised were.

1. Equipping Kikwaya and Mukoora HCIIIs.
2. Fencing Kakindo HCIV.
3. Completion of Mukoora and Kikwaya HCIIIs.
4. Terrazo floor of Kitaihuka Masaka and Birembo HCIII.
5. Latrine construction of Kakumiro HCIV.

1

12

Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.

Maximum 4 points on this performance measure

c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0

The LG provided evidence that conducted field appraisal to check for technical feasibility, environment and social acceptability, and customized designs to site. These were profiled from the LG DP III, FY 2022/2023. The projects were appraised by; DHO, District Planner, DCDO, Senior Environment Officer on dates, 29th May 2022.

Appraised projects were;

1. Equipping Kikwaya and Mukoora HCIIIs.
2. Fencing Kakindo HCIV.
3. Completion of Mukoora and Kikwaya HCIIIs.
4. Terrazo floor of Kitaihuka Masaka and Birembo HCIII.
5. Latrine construction of Kakumiro HCIV

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>The LG screened and prepared costed ESMPs and complied to risk mitigation plans for the following health projects;</p>	1
	<p>Maximum 4 points on this performance measure</p>		<p>Upgrade of Mukoora HC II to III under UgIFT (Ref No: MoH-UgIFT/Wrks/22-23/00001-Lot 22. ESMP costed 500,000UGX for solid waste management, 4,000,000UGX for first aid abatement i.e. accidents and was signed by environment officer and CDO on 19/10/2022</p>	
			<p>Establishment of Kikwaya HC III under UgIFT. ESMP costed 500,000UGX for storm water management-,4,000,000UGX and 500,000UGX for grievance handling signed by environment officer and CDO on 27/10/2022</p>	
			<p>Construction of 2-unit staff house at Kabulwa HC IV (Ref No: KAKU/WRKS/22-23/00033.ESMP costed 1,500,000UGX for Clearing and excavation. 2,500,000UGX for first aid abatement and for grievance handling signed by environment officer and CDO on 27/10/2022</p>	
			<p>Compliance to the risk mitigation plan for projects implemented include, site debris to be cleared after construction. Waste management, planting grass to control soil erosion.,</p>	
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>LG health department timely made late submission of all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan.</p>	1
	<p>Maximum 10 points on this performance measure</p>		<p>Submissions for the following projects was made on 07th April 2023.</p>	
			<p>I. Fencing of Kakindo Health centre IV KAKU844/WRKS/2023-2024/00018. Contract awarded to Bjat supplies Ltd at 83,983,292/=</p>	
			<p>II. Construction of five stance VIP Latrine at Kisiita HC III.budgeted at 35,000,000/=</p>	
			<p>III. Construction of a five stance VIP Latrine at Kakumir HCIV. Estimated to cost 35,000,000/=</p>	
			<p>IV. Completion of Mukoora and Kikwaya HC IIIs. Planned to cost 60,000,000/=</p>	

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>The LG Health Department submitted Procurement Requisition Forms – LG PP Forms to the PDU by the first Quarter of the current FY.</p> <p>Submissions were made on 7th April 2023.</p> <p>Sample project;</p> <p>I. Fencing of Kakindo Health centre IV- KAKU844/WRKS/2023-2024/00018.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>As per the PDU – Kibaale DLG, the following projects were approved by the contracts committee and cleared by solicitor general before commencement.</p> <p>I. Upgrade of Mukoora HCII to HCIII and construction of New Health centre IIs at Kikwaya in Kakumiro District- MOH/UGIFT/WRKS/22-23/00001: LOT 22. Cleared by solicitor general on 25th November 2022.</p> <p>II. Construction of a Two Unit Staff House at Kabuubwa Health Centre III- KAKU614/WRKS/2022-2023/00033.</p> <p>Approved by the contracts committee under Minute No 847/CC/2022-2023(5).</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was Proper establishment of PITs (full team)</p> <p>Evidence:</p> <p>Appointment letter dated 21st July 2023 appointing DHO-Sabiit Edward as contract manager DE- Mukabya Robert (project supervisor), DCDO (Akunda Nixon) and Environment officer Namayingo Macline.</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>Upgrade of Mukoora HCII to HCIII in Kakumiro District. MOH/UGIFT/WRKS/22-23/00001: LOT 22.</p> <p>According to MoH Health facility infrastructure designs, the maternity ward should have female ward (33.0 sqm), male ward (17.5 sqm), pediatric room (33.0 sqm), kangaroo room (17.5 sqm), delivery room (27.0 sqm), passage (18.0 & 16.8 sqm), post Natal ward (49.0 sqm), prenatal ward (14.4 sqm), linen store (5.3 sqm), sterile store (5.2 sqm) steel and records office (3.3 sqm) among others, casement exterior doors (1500x2100mm), solid mahogany interior doors (900x2100mm). All these were achieved at the upgrade of Mukoora HCII to HCIII in Kakumiro District.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Health officer.</p> <p>Evidence:</p> <p>I. Monthly progress report dated 3rd April 2023, 16th May 2023, 5th June 2023 on the upgrade of Mukoora HCII to HCIII and construction of New Health Centre IIs at Kikwaya in Kakumiro.</p> <p>II. Progress report dated 15th February 2023 on the construction of a Two Unit staff house at Kigando HC III.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility, the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was No proper evidence to justify that LG held monthly site meetings by project site committee</p>	0

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers.</p> <p>Evidence:</p> <p>I. Site visitors book at Mukora HC III where Engineer, CAO, Environment officer and Community development officer appeared in the register upon conducting site visit.</p> <p>II. Site inspection report dated 3rd June 2023 on staff house constructions at Kyabasaija, Kabuubwa and Kigando.</p> <p>III. Environment and Social monitoring report dated 25th April 2023, 25th July 2023 prepared by the Environment officer and CDO on the education and health projects.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p>	<p>The LG provided evidence which showed, the DHO, District Engineer, DCDO, Senior Environment Officer verified works and initiated payments of contractors. However, the contractors (nos.2 and 3) were not paid within the prescribed timeframe of 14 days.</p> <p>The sample of payments were;</p> <p>1. Construction of Buildings at Mukoora & Kikwaya HC III by Extech Technical Services. Procurement Number. MOH/UgIFT/WRKS/22-23/00001/LOT 22. Requisition for funds 13/03/2023. Certified works on 14/03/2023 and paid on 28/03/2023 by EFT. 4597780, UGX. 527,453,207. Payment after 14 days, within the timeframe of 14 days.</p> <p>2. Construction of Staff House at Kigando HC III, by Crystal Consults Ltd. Procurement Number.KAKU614/WRKS/2022-2023/00035. Requisition for funds 20/04/2023. Certified works on 5/04/2023 and paid on 17/05/2023 by EFT. 5302351, UGX. 124,711,535. Payment after 42 days, beyond the timeframe of 14 days.</p> <p>3. Construction of Staff House at Kyabasaija HCIII by Heavy Investments Ltd. Procurement number. KAKU614/WRKS/2022-2023/00034. Requisition for funds 05/05/2023. Certified works on 09/05/2023 and paid on 18/05/2023 by EFT. 5327009. Payment after 13 days, beyond the timeframe of within 14 days.</p> <p>Sample above showed, contractors 2 and 3 were not paid within the prescribed time-frame of 14 working days.</p>	0

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>Complete Procurement files for the health infrastructure contracts with Evaluation reports and Minutes of the Contract Committee, and contract documents were seen.</p> <p>Sample project;</p> <p>I. Upgrade of Mukoora HCII to HCIII and construction of New Health centre IIs at Kikwaya in Kakumiro District- MOH/UGIFT/WRKS/22-23/00001: LOT 22.</p> <p>II. Construction of a Two Unit Staff House at Kabuubwa Health Centre III- KAKU614/WRKS/2022-2023/00033.</p>	1
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Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>There was evidence that the LG had grievances recorded, investigated, responded to and redress reported under Health example;</p> <p>On 26/6/2022 Mr Stephen Bahemuka reported failure to be paid allowance at Kakindo HC IV. Action was taken with the incharge and DHO stating he would be paid on 13/7/2022</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>There was evidence that the guidelines on medical waste management were issued to health centres' example;</p> <p>Distribution of healthcare guidelines on medical waste and IPC dated 20/4/2020.in the distribution 19 health centres were issued with healthcare guidelines. The listing mentions Name of incharge, Health facility and Tel No .</p> <p>Healthcare waste management guidelines were pinned on the health center walls at Kabulwa Hc II and Mukora HC III</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>There was evidence that the LG has a functional/equipped system for health care waste management. Example;</p> <p>Kabulwa HC II and Mukora HC III had open burning for medical waste pits seen during site visit. However, they were in poor condition. No incinerators were found in these health centers</p>	0

15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>There was no evidence of training records and awareness raising on healthcare waste management seen by the time of assessment.</p>	0
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0</p>	<p>There was evidence that the LG had costed ESMPs incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY example;</p> <p>Upgrade of Mukoora HC II to III and construction of Kikwaya HC III under UgIFT (Ref No: MoH-UgIFT/Wrks/22-23/00001-Lot 22. In the BOQ under Item A under preliminaries (temporary hoardings, fencing costed 18,455,075 UGX, OHS,HIV/AIDS and Gender costed 3,00,000UGX,compliance with NEMA regulations and EIA report costed at 500,000UGX ESMP costed ESMP costed 500,000UGX for solid waste management,4,000,000UGX for first aid abatement ie accidents and was signed by Environment officer and DCDO on 19/10/2022</p> <p>Construction of 2-unit staff house at Kabulwa HC IV (Ref No: KAKU/WRKS/22-23/00033. the BOQ under external works element No 1 (Landscaping-grassing and planting of trees costed 3,925,000UGX,</p>	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>There was evidence showing the LG had proof of land ownership for health construction projects Example;</p> <p>Certificate of Title LAF KDLB/046/2021, Plot 20, Block 339 at Mukoora 'A' Ownership-(Mukoora HC III). Area 1. 296Hec P.O. Box 522 Kakumiro. Dated 14/9/20222 signed by Registrar of Titles</p> <p>Land agreement for Kabubwa HC III dated 29/4/2021 measuring 4 acres owned by Mikael Kasaija and distributed to the health center II on 6/4/1970. Witnesses (Zakayo N Kaija, Ezekeri Isoke and Kabanda Ag Commisioner chief Nkooko and Albine E-for county Chief).Letter Agreement retyped by Senteza Robert A-Ag DHO Kakumiro DLG</p>	2

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>There was evidence of consistent monitoring and engagement throughout the contract period by Environment officer and DCDO. monthly reports for health projects Include:</p> <p>Monthly Report for compliance on establishment of Kikwaya HC III Monitoring reports 16/3/2023, 25/4/2023 ,22/6/2023 signed by Environment officer and DCDO</p> <p>Monitoring sites where different constructions taking place for health projects example Upgrade of Mukora HC II to HC III. Monitoring reports 16/3/2023, 25/4/2023 ,22/6/2023 signed by Environment officer and DCDO</p> <p>Monitoring sites where different constructions taking place for health projects example Construction of Staff house at Kabubwa HC II. Monitoring reports 16/3/2023, 25/4/2023 ,22/6/2023 signed by Environment officer and DCDO</p> <p>Recommendations in the reports include; Debris on sites to be cleared after construction, workers to wear PPEs at all times while working, proper waste management to be established.</p>	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0</p>	<p>There was evidence accessed in LG for Environment and social certifications signed off by the Environment Officer and CDO prior to payments of contractor invoices/certificates for health projects examples include;</p> <p>Environment and social certification certificate for Construction of Kikwaya HC III. signed by Environment officer and DCDO 12/6/2023</p> <p>Environment and social certification certificate for Upgrade of Mukora HC II to HC III. signed by Environment officer and DCDO 12/6/2023</p> <p>Environment and social certification certificate for Construction of Staff house at Kabubwa HC II. signed by Environment officer and DCDO 12/6/2023</p>	2

**Water &
Environment
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees <i>Maximum 4 points on this performance measure</i>	a. % of rural water sources that are functional. If the district rural water source functionality as per the sector MIS is: o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0	As per the MWE-MIS for the FY 2022/23, the rural water functionality for Kakumiro District was 70% which falls far below 80%, thereby justifying a minimum score of zero (0).	0
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees <i>Maximum 4 points on this performance measure</i>	b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is: o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0	From the MWE -MIS for the FY 2022/23, the % of WSS facilities with functional WSCs in Kakumiro DLG, as seen under the Management Column, was 100%. This is in the range of 90% to 100%, thereby justifying a maximum score of 2 to be entered.	2
2	N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment <i>Maximum 8 points on this performance measure</i>	a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is; • Above 80%, score 2 • 60% - 80%, score 1 • Below 60%, score 0	The water and environment LLGs performance assessment for the current FY 2023/24 indicated an overall average score for Kakumiro DLG of 85% which is above 80%. Therefore, a maximum score of 2 was entered.	2
2	N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment <i>Maximum 8 points on this performance measure</i>	b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY. o If 100 % of water projects are implemented in the targeted S/Cs:	The Safe Water Coverage (SWC) for Kakumiro DLG for the previous FY 2022/2023, as obtained from the DWO for Rural water, was 48.58%. The Sub-Counties (S/Cs) with their SWC were Igayaza TC (92%); Bwanwsa S/C (86%); Kakindo S/C (77%); Birembo S/C (76%); Nalweyo S/C (74%); Kisiita S/C (67%); Kasambya S/C (66%); Kikwaya S/C (60%); Kakumiro TC (55%); Nkooko S/C (54%); Katikara S/C	0

Score 2 (53%); Kikoora S/C (52%); Kijangi S/C (40%); Kisengwe S/C (39%); Kakindo TC (37%);
o If 80-99%: Score 1 Nkooko TC (33%); Kibijjo S/C (31%); Kisiita TC (30%); Mpasaana TC (28%); Kitaihuka S/C (28%); Kyabasaija S/C (27%); Mpasaana S/C (24%); Nalweyo TC (21%); and Mwitanzige S/C (16%). Therefore, the Sub-counties and TCs with their SWC below the district average that were to be targeted were Kijangi S/C; Kisengwe S/C; Kakindo TC; Nkooko TC; Kibijjo S/C; Kisiita TC; Mpasaana TC; Kitaihuka S/C; Kyabasaija S/C; Mpasaana S/C; Nalweyo TC; and Mwitanzige S/C.

From the AWP and Annual Budget performance report for FY 2022/23 submitted by CAO on 15th/07/2022 to MWE PS, received by MWE Central Registry on 18th/07/2022, and approved by MWE RWSSD on 4th/08/2022 indicated the following budgeted water projects that were implemented in the targeted S/Cs:

(i) Construction of Public Latrines in RGCs: Construction of Lined VIP Public Latrine at Rusolera Market in UGX28,000,000 in Kijangi S/C: of which 1 out of 1 is to the target S/C.

(ii) Deep Borehole drilling (Hand Pumped): Drilling of 15 deep boreholes in Kakindo TC (1); Igayaza TC (1); Birembo S/C (1); Kisiita TC (1); Kasambya S/C (1); Kisengwe S/C (1); Kakindo S/C (2); Kisiita S/C (1); Mwitazinge S/C (2); Kibijjo S/C (2); Kakumiro TC (1); and Nalweyo S/C (1): of which 6 out of 15 was to the target S/Cs.

(iii) Boreholes rehabilitated: 11 Boreholes rehabilitated in Kyabasajja S/C (1); Katikara S/C (2); Nkooko S/C (1); Kibijjo S/C (1); Kitaihuka S/C (2); Kisiita S/C (2); and Nalweyo S/C (2): of which 3 out of 11 was to the target S/Cs.

(iv) Construction of piped water supply system (Borehole pumped) in Mpasaana S/C of Mpasaana WSS Phase (IV) piped water supply: of which 1 out of 1 is to the target S/C.

(vi) Design of piped water Systems (GFS, Borehole, Surface), Feasibility studies and Tender documentations: (02) of Katikara in Katikara S/C and Nyakasenene in Nyansenenene S/C: of which 1 out of 2 was to the target S/C.

Therefore, the total number of projects implemented in target S/C was 12 out of 30 budgeted projects in the Previous FY 2022/23, equivalent to 40%, which was far below the minimum of 80% of water projects implemented in the targeted S/Cs, thereby justifying the minimum score of zero (0) to be entered.

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates

- o If within +/-20% score 2
- o If not score 0

A review of the Annual Work Plan and Budget for the previous FY 2022/2023 and the sample of 3 WSS contracts revealed the following percentage variation of the engineering estimates:

(a) Project with reference KAKU/614/WRKS/2022-2023/00037: Construction of Mpasaana Water Supply System, contract signed with Extech Technical Services Ltd at a cost of UGX358,139,086 compared with the Engineer's estimate of UGX332,198,628. This represents a positive variation of UGX25,940,458; equivalent to a percentage variation of +7.81%.

(b) Project with reference KAKU/614/WRKS/2022-2023/00038: Drilling, Casting and Installation of 15 Deep Boreholes in Kakumiro DLG for FY 2022/23, awarded to KLR-Uganda Ltd at a cost of UGX387,017,704, compared with the Engineer's estimate of UGX375,000,000. This represents a positive variation of UGX 12,017,704; equivalent to a percentage variation of +3.20%.

(c) Project with reference KAKU/614/WRKS/2022-2023/00039: Rehabilitation of 11 Boreholes in Kakumiro DLG for FY 2022/23, awarded to RB Mubiru Services at a cost of UGX62,994,300, compared with the Engineer's estimate of UGX66,000,000. This represents a negative variation of UGX 3,005,700; equivalent to a percentage variation of -4.55%.

Therefore, given that the contracted prices of the sampled 3 WSS infrastructure investment contracts for the previous FY 2022/23 were all within +/-20% of the engineer's estimates, a score of 2 was entered.

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

d. % of WSS infrastructure projects completed as per annual work plan by end of FY.

o If 100% projects completed: score 2

o If 80-99% projects completed: score 1

o If projects completed are below 80%: 0

The Annual Work Plan (AWP) for the DWSCG for FY 2022/23, and the Annual Budget performance report (4th Quarter for FY 2022/23) indicated that all the planned projects were completed by the end of the FY 2022/23. The following were the planned WSS infrastructure projects and level of achievement by the end of FY 2022/23:

(i) Construction of Public Latrines in RGCs: Construction of Lined VIP Public Latrine at Rusolera Market in Kijangi S/C: Achieved 100% at UGX28,000,000.

(ii) Deep Borehole drilling (Hand Pumped): Drilling of 15 deep boreholes in Kakindo TC (1); Igayaza TC (1); Birembo S/C (1); Kisiita TC (1); Kasambya S/C (1); Kisengwe S/C (1); Kakindo S/C (2); Kisiita S/C (1); Mwitazinge S/C (2); Kibijjo S/C (2); Kakumiro TC (1); and Nalweyo S/C (1): Achieved 100% at a cost of UGX375,000,000.

(iii) Boreholes rehabilitated: 11 Boreholes rehabilitated in Kyabasajja S/C (1); Katikara S/C (2); Nkooko S/C (1); Kibijjo S/C (1); Kitaihuka S/C (2); Kisiita S/C (2); and Nalweyo S/C (2): Achieved 100% at a cost of UGX66,000,000.

(iv) Other (Consultancy studies for boreholes): Siting of 15 Boreholes to be drilled in Kakindo TC (1); Igayaza TC (1); Birembo S/C (1); Kisiita TC (1); Kasambya S/C (1); Kisengwe S/C (1); Kakindo S/C (2); Kisiita S/C (1); Mwitazinge S/C (2); Kibijjo S/C (2); Kakumiro TC (1); and Nalweyo S/C (1): Achieved 100% at a cost of UGX45,000,000 from UgIFT.

(v) Construction of piped water supply system (Borehole pumped) in Mpasaana TC of Mpasaana WSS Phase (IV) piped water supply: Achieved 100% at a cost of UGX332,198,628.

(vi) Design of piped water Systems (GFS, Borehole, Surface), Feasibility studies and Tender documentations: (02) of Katikara in Katikara S/C and Nyakasenene in Nyakasenene S/C: Achieved 100% at a cost of UGX80,000,000.

Since 100% of the WSS Infrastructure projects implemented for FY 2022/23 were completed by the end of the FY, then a score of 2 is entered.

3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <p>o If there is an increase: score 2</p> <p>o If no increase: score 0.</p>	<p>From MWE-MIS for the assessment of Previous FY 2022/23, the rural water functionality for Kakumiro DLG as per June 30th, 2023 was 70%.</p> <p>From MWE-MIS for the assessment of Previous FY but one, FY 2021/22, the rural water functionality for Kakumiro District per June 30th, 2022 was 81%.</p> <p>The variation in Functionality from 81% in FY 2021/22 to 70% in FY 2022/23, represents a decrease of 9% which is a no increase in the water supply facilities that were functional. Therefore, a score of zero (0) was entered.</p>	0
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <p>o If increase is more than 1% score 2</p> <p>o If increase is between 0-1%, score 1</p> <p>o If there is no increase : score 0.</p>	<p>From MWE-MIS for the assessment of previous FY 2022/23, the rural water Management for Kakumiro DLG was 100% while the Management was 98% for the assessment of previous FY but one, FY 2021/22. This indicates an increase of 2% in the water supply facilities with functional water and sanitation committees, which is an increase of more than 1% and therefore, a maximum score of 2 was entered.</p>	2

Performance Reporting and Performance Improvement

4	<p>Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3</p>	<p>There was evidence that the DWO accurately reported on WSS facilities constructed in the previous FY 2022/23.</p> <p>From the list of 30 constructed WSS facilities in the previous FY 2022/23 as seen in Kakumiro DLG Annual Performance Report (4th Quarter Report) for the DWSCG for FY 2022/23, the following 3 WSS facilities were sampled and visited, and the following were observed:</p> <p>(a) Facility source name: Public Lined VIP Latrine at Rusolera Daily Market (RGC) in Rusolera village, Rwembuba parish in Kijangi S/C, funded by DWSCG:</p> <ul style="list-style-type: none"> • It was constructed in FY 2022/23 and completed on 27th/04/2023 to serve approximately 400 market people. • It has 5 stances, two for male with a urinal, another two stances for female and a fifth one with wider door and a ramp for PWDs. 	3
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- There is no wall separation of male and female stances and all have one entrance, except the PWD stance that has its own entrance with a ramp.
- Hand washing facility was a carriable one (missing at the time of the visit).
- There is need to install a roof gutter to carry away roof runoff.
- The manhole for emptying is ok.
- The facility is well ventilated and there are 5 pipe vents, though the shielding wall could not be perforated as the slaughter shade was close in 10m distance.
- The pipe to the soak pit for the urinal is broken and the facility was locked.
- There were no feet stepping slabs for proper targeting to avoid soiling of the facility.
- There is no roof water harvesting and a tank for hand washing is placed on entrance side of the 4 stances for Male and Female but it was without pipe connection to roof top.
- The facility had WSC established and trained.
- The facility was completed as reported and was ready in use as reported.

(b) Facility source name: Deep Bore Hole at Kihuuna B in Kihuuna B Village, Kihuuna Parish, Kakindo S/C, with source ID DWD 79042, funded by DWSCG:

- It was constructed by KLR (U) Ltd., in FY 2022/23 and was completed on 16th/01/2023 to serve approximately 300 Households with 7 people each.
- Approximately 18 pipes were installed in it.
- It had WSC of 9 members established and trained on their roles and responsibilities. The WSC has 4 women as Vice Chairperson, Secretary, Treasurer and Caretaker.
- The WSC meetings are quarterly and they collect user fees of UGX5,000 per Household per quarter. There are trees around the facility and there is need to plant pasparam grass around the facility to mitigate against soil erosion.
- The facility has a fence to stop goats and cattle from accessing it.
- Construction of a soak pit at the end of the drainage channel was not done.
- There is need to maintain cleanliness of the facility.

- The community were reminded of digging an Interceptor drain to drive runoff away from the facility and avoid eroding the soil around it.

- Despite all the challenges, the facility is completed and in use as reported.

(c) Facility source name: Mpasaana piped water supply system Phase IV in Mpasaana Village, Mpasaana Parish, Mpasaana TC, with ID DWD 87775, funded by DWSCG:

- It was constructed by Extech Technical Services Ltd., to serve Mpongo Ward, comprising of Mpongo A, Mpongo B, Mpongo C, Kanyegaramire, Kihumuro, and Kakyinga, Munzo Cells, Central Ward with Kyajagwe A, Kyajagwe B, Kyajagwe C, Mpasaana, Kamirabyoma, Mukono and Kyamusesa Cells, Rwamata Ward with 3 Cells and Kijungu ward with 4 Cells.

- A galvanized steel cuboid tank measuring 18m by 3m by 3m with a capacity of 162m³ was installed on 9 reinforced concrete pillars with good foundation footings.

- The facility was installed on top of a hill in an area of 22m by 22m, which was fully fenced with chain link fixed on concrete poles. The top of the chain link fence is installed a series of barbed wire fence.

- The facility is close to the Airtel Mask installed on the same hill and the access road used is the same for the two facilities.

- The facility had Mpasaana Water Board established and trained on the O&M roles.

- The facility is completed and ready for other pipework connections of inlet transmission line and outlet distribution lines and it is as reported.

Therefore, the facilities were constructed and are functioning well, as observed during the field visits and the DWO accurately reported on them in the Annual Performance Report of 4th Quarter in the FY 2022/23. A score of 3 was therefore, accordingly entered.

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2

There was evidence that the LG Water Office collects and compiles information on sub-county water supply and sanitation reported in each quarter incorporated in the following Quarterly reports as attachments:

(i) Quarter One Progress Report for Kakumiro DWSCG for FY 2022/23 was submitted by CAO on 25th/10/2022 to MWE PS, received by MWE Central Registry and Rural Water & Sanitation Department on 28th/10/2022.

(ii) Quarter Two Progress Report for Kakumiro DWSCG for FY 2022/23 was submitted by CAO on 15th/02/2023 to MWE PS, received by MWE Central Registry and Rural Water & Sanitation Department on 2nd/03/2023.

(iii) Quarter Three Progress Report for Kakumiro DWSCG for FY 2022/23 was submitted by CAO on 15th/06/2023 to MWE PS, received by MWE Central Registry and Rural Water & Sanitation Department on 22nd/06/2023.

(iv) Quarter Four Progress Report for Kakumiro DWSCG for FY 2022/23 was submitted by CAO on 14th/07/2023 to MWE PS, received by MWE Central Registry and Rural Water & Sanitation Department on 14th/07/2023.

The other information details on functionality of facilities and WSCs, safe water coverage, and community involvement especially in management through WSCs are also reported in the summary list attached to especially the 4th Quarter report and Form 1s for data collection for new point water sources/facilities. Therefore, a score of 2 was accordingly entered.

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0

There was evidence that the LG Water Office updates the MIS (WSS data) as seen in all the Quarterly Reports, the newly constructed facilities are reported and their details are filled in Form 1 as a data collection form for point water sources for new facilities, detailing location of the new facilities, population served, functionality of WSCs and WSS facilities, etc.).

In each Quarterly report, Form 1s are attached with a summary table with column entries: New ID, Parish, Village, Type, YoC, Source name, Source number, Functionality (Functional (F), Non-Functional (NF), Decommissioned (D)), Comment, Free from contamination (Yes/No), Source Funder, Source owner, Management (Fees, Repairs, Meetings, Environment/Sanitation) and Gender: Women in Key Positions.

The dates for submission of Water and

Sanitation sector Quarterly progress reports are listed below:

(I) Quarter One Progress Report for Kakumiro DWSCG for FY 2022/23 was submitted by CAO on 25th/10/2022 to MWE PS, received by MWE Central Registry and Rural Water & Sanitation Department on 28th/10/2022.

(II) Quarter Two Progress Report for Kakumiro DWSCG for FY 2022/23 was submitted by CAO on 15th/02/2023 to MWE PS, received by MWE Central Registry and Rural Water & Sanitation Department on 2nd/03/2023.

(III) Quarter Three Progress Report for Kakumiro DWSCG for FY 2022/23 was submitted by CAO on 15th/06/2023 to MWE PS, received by MWE Central Registry and Rural Water & Sanitation Department on 22nd/06/2023.

(IV) Quarter Four Progress Report for Kakumiro DWSCG for FY 2022/23 was submitted by CAO on 14th/07/2023 to MWE PS, received by MWE Central Registry and Rural Water & Sanitation Department on 14th/07/2023.

Examples of sources recorded in Quarter Four Report included the following 5 sources which were updated in the MIS:

(I) Source Name: Mwana-Tengede, which is a Deep Bore Hole (DBH) with ID DWD79037 located in Nyakagena Village, Kabungera Parish in Igayaza TC at Location Coordinate UTM Zone 36N 295350mE, 103989mN at an elevation of 1196m amsl. It was funded by GOU through DWSCG and is under Institutional ownership and Institutional management. It serves 460 households with each having 5 people, and it is functional (in use). Its WSC was established in March 2023 and was last trained in March 2023. The WSC is composed of 9 members and only 6 members are active with 4 women and 3 women as Vice-Chairperson, Treasurer, and Secretary. The information was verified on 13th/06/2023 to updated the MIS.

(II) Source Name: Karokarungi, which is a Deep Bore Hole (DBH) with ID DWD79084 located in Karokarungi Village, Mwitanzige Parish in Mwitanzige S/C at Location Coordinate UTM Zone 36N 314698mE, 110818mN at an elevation of 1150m amsl. It was funded by The Water Trust NGO and is under community ownership and communal management. It serves 135 households with each having 6 people, and it is functional (in use). Its WSC was established in February 2022 and was last trained in February 2022. The WSC is composed of 7 members and all are active with 4 women and 3 women as Vice-

Chairperson, Treasurer and Secretary. The information was verified on 13th/06/2023 to updated the MIS.

(III) Source Name: Ijumangabo B, which is a Deep Bore Hole (DBH) with ID DWD79085 located in Ijumangabo B Village, Ijumangabo Parish in Mwitanzige S/C at Location Coordinate UTM Zone 36N 314473mE, 114669mN at an elevation of 1135m amsl. It was funded by GOU through the DWSCG and is under community ownership and communal management. It serves 100 households with each having 5 people, and it is functional (in use). Its WSC was established in March 2023 and was last trained in March 2023. The WSC is composed of 7 members and all are active with 5 women and 4 women as the Chairperson, Vice-Chairperson and Treasurer. The information was verified on 30th/06/2023 and received by the MWE Central Registry on 13h/06/2023 to updated the MIS.

(IV) Source Name: Kakindo B, which is a Deep Bore Hole (DBH) with ID DWD79086 located in Kakindo B Village, Isunga Parish in Kibijjo S/C at Location Coordinate UTM Zone 36N 317266mE, 100661mN at an elevation of 1257m amsl. It was funded by GOU through the DWSCG and is under community ownership and communal management. It serves 72 households with each having 6 people, and it is functional (in use). Its WSC was established in March 2023 and was last trained in March 2023. The WSC is composed of 7 members and all are active with 4 women and 2 women as the Vice-Chairperson and Treasurer. The information was verified on 30th/06/2023 and received by the MWE Central Registry on 13h/06/2023 to updated the MIS.

(V) Source Name: Sazike B, which is a Deep Bore Hole (DBH) with ID DWD79088 located in Sazike B Village, Sazike Parish in Kibijjo S/C at Location Coordinate UTM Zone 36N 321384mE, 929430mN at an elevation of 1314m amsl. It was funded by GOU through the DWSCG and is under community ownership and communal management. It serves 120 households with each having 5 people, and it is functional (in use). Its WSC was established in February 2023 and was last trained in February 2023. The WSC is composed of 7 members and all are active with 4 women and 2 women as the Vice-Chairperson and Treasurer. The information was verified on 30th/06/2023 and received by the MWE Central Registry on 13h/06/2023 to updated the MIS.

Therefore, the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information and uses compiled information for planning purposes. This justifies a score of 3 to be entered.

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0

Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.

There was evidence that DWO supported the 25% lowest performing LLGs in the previous FY 2022/23 in developing PIPs.

- There was previous assessment of the LLGs performance in FY 2021/22, assessment results released in 2022, that showed the overall LLG performance in water and Environment for Kakumiro DLG as 76%.

- However, the recent assessment of the LLGs performance in FY 2022/23, assessment results released in 2023, that showed the overall LLG performance in water and Environment for Kakumiro DLG as 85%.

- Based on the Assessment in 2022, the 25% lowest performing LLGs FY 2023/24 were: Nkooko S/C, Nyalweyo S/C, Nyalweyo TC, and Mpasaana TC. These were the ones that were supported as lowest performing LLGs with developing the PIPs.

- The PIPs seen were in form of tables with entry columns: (i) Thematic area, (ii) Challenges/Issue, (iii) Recommendation(s), (iv) Time Frame, and (v) Responsible.

- Below is the list of PIPs developed and their dates:

(i) Nkooko S/C LLG PIP, dated 21st/08/2023;

(ii) Nyalweyo S/C LLG PIP, dated 23rd/08/2023;

(iii) Nyalweyo TC LLG PIP, dated 23rd/08/2023; and

(iv) Mpasaana TC LLG PIP, dated 23rd/08/2023

Since there were previous assessments in FY2021/22 that were used to determine the 25% (4) lowest performing LLGs, the basis on which the PIPs were developed and rolled out for implementation in the current FY 2023/24, then a maximum score of 2 was entered.

Human Resource Management and Development

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p>	<p>From the review of the staff list and the performance contract it was confirmed that the District Water Officer budgeted for the critical staff in the District Water Office including:</p> <ol style="list-style-type: none"> 1. The Civil Engineer (Water) 2. Assistant Engineering Officer (Water) - responsible for hygiene and sanitation 3. Assistant Water Officer for Mobilization (seconded from Community Services Department) 4. Borehole Technician 	2
6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>The District Natural Resources Officer has budgeted for critical staff in the Natural Resources department:</p> <ol style="list-style-type: none"> 1. The District Natural Resources Officer 2. Environment Officer 3. Forestry Officer 	2
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>The following staff in the District Water Office staff were appraised during the previous FY.</p> <ol style="list-style-type: none"> 1. The Civil Engineer (Water) - Isingoma Julius was appraised on 28th June 2023 2. The Assistant Water Officer for Mobilization (Kituyi Lydia) was appraised on 30th June 2023 3. The Borehole Technician (Matovu Azaliya) was appraised on 30th June 2023 	3

Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.

Maximum 6 points on this performance measure

b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3

There was no evidence that the District Water Office identified capacity needs of staff from the performance appraisal process.

- There was no Kakumiro DLG Capacity Needs Assessment Report for FY 2022/23 that was prepared by the Principal Human Resource Officer (PHRO) of Kakumiro DLG.
- There was no training plan developed and no training of the LG Water Sub-departmental Staff was conducted.
- Since no training was conducted for the district water office staff, there were no training reports.
- Therefore, based on the above, a score of zero (0) was entered.

Management, Monitoring and Supervision of Services.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
 - If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
 - If 80-99%: Score 2
 - If 60-79: Score 1
 - If below 60 %: Score 0

The Safe Water Coverage (SWC) for Kakumiro District for the Current FY (2023/2024), as obtained from the DWO in an Annual Work Plan and Budget for FY 2023/24, submitted by the CAO on 14th/07/2023 to MWE-PS, received by MWE Central Registry and approved by MWE-RWSSD on the same date, was 49.92%.

• The Sub-Counties (S/Cs) and TCs with their SWC were: Igayaza TC (94%); Nalweyo S/C (87%); Bwanwsa S/C (86%); Kakindo S/C (78%); Birembo S/C (78%); Kisiita S/C (71%); Kasambya S/C (66%); Kikwaya S/C (60%); Kakumiro TC (57%); Nkooko S/C (54%); Katikara S/C (53%); Kikoora S/C (52%); Kisengwe S/C (42%); Kijangi S/C (40%); Kibijjo S/C (39%); Kakindo TC (38%); Kisiita TC (33%); Mpasaana TC (28%); Kitaihuka S/C (28%); Nkooko TC (25%); Kyabasaija S/C (25%); Mpasaana S/C (24%); Nalweyo TC (21%); and Mwitanzige S/C (19%).

• The S/Cs with SWC below the district average that were to be targeted in the current FY 2023/24 were Kisengwe S/C; Kijangi S/C; Kibijjo S/C; Kakindo TC; Kisiita TC; Mpasaana TC; Kitaihuka S/C; Nkooko TC; Kyabasaija S/C; Mpasaana S/C; Nalweyo TC; and Mwitanzige S/C.

• From the Annual Work Plan (AWP) and Budget for the current FY 2023/24, submitted by Kakumiro CAO on 14th/07/2023 to MWE PS, received by MWE Central Registry and approved by RWSSD on 14th/07/2023 showed the following budget allocations under the District Rural Water Supply-Development Fund part of the DWSCG and UglIFT:

(i) Construction of Public Latrines in RGCs (1): Construction of a 4-stance Lined VIP Latrine at Kikoora village in Kikoora S/C at a

cost of UGX28,000,000, of which none (0) went to Target S/C.

(ii) Deep Borehole drilling (17): Drilling of 17(no) Deep Boreholes in Kisiita TC (1), Nkooko TC (1), Mwitanzige S/C (2), Kisingwe S/C (1), Kasambya S/C (2), Kikwaya S/C (1), Kakindo S/C (2), Kitaihuka S/C (2), Bwanwsa S/C (1), Kijangi S/C (1), Kakindo TC (1), Nyarweyo S/C (1) and Nyarweyo TC (1) at a cost of UGX476,000,000, of which UGX280,000,000 went to Target S/Cs.

(iii) Construction of piped Water Supply System (Borehole pumped): Construction of Mpasana piped water supply system in Mpasana S/C under UgIFT funding at a cost of UGX252,196,667 of which all went to Target S/C.

(iv) Borehole Rehabilitation (12): Mpasana S/C (1); Bwanwsa S/C (1); Kakindo S/C (1); Kasambya S/C (1); Nkooko TC (1); Kikoora S/C (1); Kisengwe S/C (1); Kibijjo S/C (1); Kyabasaija S/C (2); Mpasana S/C (1); and Mwitanzige S/C (1) at a cost of UGX72,000,000 of which UGX48,000,000 was to the Target S/Cs.

The Budget for FY 2023/24, therefore reflected a total of UGX580,196,667 allocated to target S/Cs out of UGX828,196,667 allocated to Water developmental infrastructure projects. This equated to 70.1% of the budget for water developmental infrastructure projects, falling within the range of 60% to 79%, and a score of 1 is therefore entered.

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3</p>	<p>There was evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY 2023/24.</p>	3
			<ul style="list-style-type: none"> • There was a circular to All SAS/Town Clerks, dated 10th/07/2023 on the subject “Notification of funds received for construction of water and sanitation facilities in your LLG”. The Circular indicated: S/C, Water Facility, Location village and Amount. • There was a circular to All SAS/Town Clerks, dated 27th/06/2022 on the subject “Notification of funds received for construction of water and sanitation facilities in your LLG”. The Circular indicated: S/C, Water Facility, Location village and Amount. • For the sampled facilities in Kijangi S/C, Kakindo S/C and Mpasaana TC, information on the current FY 2023/24 allocations to the target S/C was displayed on their S/C notice boards. 	
			<p>Therefore, a maximum score of 3 was entered.</p>	
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)</p> <ul style="list-style-type: none"> • If 95% and above of the WSS facilities monitored quarterly: score 4 • If 80-94% of the WSS facilities monitored quarterly: score 2 • If less than 80% of the WSS facilities monitored quarterly: Score 0 	<p>There was significant evidence that the district Water Office monitored each of the previous FY 2022/23 WSS facilities at least quarterly.</p> <p>The list of sources for Rural Water Supply and Sanitation facilities for Kakumiro district constructed in FY 2022/23 included the following:</p> <p>(i) Construction of Public Latrines in RGCs: Construction of Lined VIP Public Latrine at Rusolera Market in Kijangi S/C at a cost of UGX28,000,000.</p> <p>(ii) Deep Borehole drilling (Hand Pumped): Drilling of 15 deep boreholes in Kakindo TC (1); Igayaza TC (1); Birembo S/C (1); Kisiita TC (1); Kasambya S/C (1); Kisengwe S/C (1); Kakindo S/C (2); Kisiita S/C (1); Mwitazinge S/C (2); Kibijjo S/C (2); Kakumiro TC (1); and Nalweyo S/C (1) at a cost of UGX375,000,000.</p> <p>(iii) Boreholes rehabilitated: 11 Boreholes rehabilitated in Kyabasajja S/C (1); Katikara S/C (2); Nkooko S/C (1); Kibijjo S/C (1); Kitaihuka S/C (2); Kisiita S/C (2); and Nalweyo S/C (2) at a cost of UGX66,000,000.</p> <p>(iv) Construction of piped water supply system (Borehole pumped) in Mpasaana TC of Mpasaana WSS Phase (IV) piped water supply at a cost of UGX332,198,628.</p>	4
			<p>The following monitoring/supervision or inspection reports were found at the LG</p>	

Water Department for four WSS facilities implemented during the Previous FY 2022/23:

- Assessment report on 15 boreholes due for rehabilitation in March Report for FY 2022/23 to the DWO by Engineering Assistant-Water, dated 26th/03/2023.
- Report on monitoring and certification of water construction sites for FY 2022/23 by the CDO on 21st/06/2023 to CAO
- Report on the Inspection of Latrine Construction in Kakumiro District by the DCO, dated 27th/10/2022.
- Report on the Inspection of Borehole Rehabilitation in Kakumiro District for FY 2022/23 by the DCO through the DWO to the CAO, dated 31st/03/2023.
- July Monthly Monitoring Report for water facilities (15 new borehole to be drilled and 11 boreholes to be rehabilitated) to the DWO by Engineering Assistant-Water, dated 28th/07/2022.
- August Monthly Monitoring Report for water facilities (15 new borehole to be drilled and 11 boreholes to be rehabilitated) to the DWO by Engineering Assistant-Water, dated 28th/08/2022.
- September Monthly Monitoring Report for water facilities (15 new borehole to be drilled and 11 boreholes to be rehabilitated) to the DWO by Engineering Assistant-Water, dated 29th/09/2022.
- October Monthly Monitoring Report for water facilities (15 new borehole to be drilled and 11 boreholes to be rehabilitated) to the DWO by Engineering Assistant-Water, dated 30th/10/2022.
- December Monthly Monitoring Report for water facilities (15 new borehole to be drilled and 11 boreholes to be rehabilitated) to the CAO through DWO by Engineering Assistant-Water, dated 30th/12/2022.
- January Monthly Monitoring Report for water facilities (15 new borehole to be drilled and 11 boreholes to be rehabilitated) to the CAO through DWO by Engineering Assistant-Water, dated 30th/01/2023.
- February Monthly Monitoring Report for water facilities (15 new borehole to be drilled and 11 boreholes to be rehabilitated) to the CAO through DWO by Engineering Assistant-Water, dated 28th/02/2023.
- June Monthly Monitoring Report for water facilities (15 new borehole to be drilled and 11 boreholes to be rehabilitated) to the CAO through DWO by Engineering Assistant-Water, dated 28th/06/2023.

- April Monthly Monitoring Report for water facilities (15 new borehole to be drilled and 11 boreholes to be rehabilitated) to the CAO through DWO by Engineering Assistant-Water, dated 28th/04/2023.

- May Monthly Monitoring Report for water facilities (15 new borehole to be drilled and 11 boreholes to be rehabilitated) to the CAO through DWO by Engineering Assistant-Water, dated 30th/05/2023.

- June Monthly Monitoring Report for water facilities (15 new borehole to be drilled and 11 boreholes to be rehabilitated) to the CAO through DWO by Engineering Assistant-Water, dated 28th/06/2023.

- Report on water and sanitation activities for the month of August 2022 by the DWO to CAO, covering 169 WSS facilities and dated 31st/08/2022.

- Water and Sanitation Progress Report for the month of July by the DWO to CAO, dated 28th/07/2022.

- Construction supervision report for construction of 5-stance VIP lined Latrine at Rusolera Market in Kijangi S/C in FY 2022/23 by the DWO to CAO, dated 20th/06/2023.

The reviewed reports indicate that the four projects implemented in the Previous FY 2022/23 were sufficiently monitored/supervised. Therefore, it can be estimated that over 95% of the WSS facilities were monitored at least quarterly, thereby justifying a maximum score of 4 to be entered.

9

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2

There was evidence that the DWO conducted quarterly DWSCC meetings and key issues identified from quarterly monitoring of WSS facilities discussed and remedial actions incorporated in the current FY AWP as identified in the following:

- Minutes for the 4th Quarter Kakumiro DLG District Water and Sanitation Coordination Committee Meeting held on 19th/06/2023 at the District Headquarters, attended by 20 participants: Especially under agenda item 4 on “Briefs from departments and Implementing Partners”, and agenda item 5 on “Reactions and Recommendations”.

- Minutes of the 3rd Quarter Kakumiro DLG District Water and Sanitation Coordination Committee Meeting held on 13th/03/2023 at Kakumiro District Headquarters, attended by 15 participants: Especially under agenda item 4 on “Briefs from departments and Implementing Partners”, and agenda item 5 on “Reactions and Recommendations”.

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- Minutes of the 2nd Quarter Kakumiro DLG District Water and Sanitation Coordination Committee Meeting held on 14th/12/2023 at Kakumiro District Headquarters, attended by 23 participants: Especially under agenda item 4 on “Presentation of reports from Departments and Implementing Partners”, and agenda item 5 on “Reactions and Recommendations”.

- Minutes of the 2nd Quarter Kakumiro DLG District Water and Sanitation Coordination Committee Meeting held on 16th/09/2023 at Kakumiro District Headquarters, attended by 20 participants: Especially under agenda item 4 on “Presentation of reports from Departments and Implementing Partners”, and agenda item 5 on “Reactions and Recommendations”.

The DWSCC meetings discussed and resolved on the following: (a) Unresolved land ownership issues: Resolved to obtain land agreement or consent before implementation of projects in the Current FY 2023/24 (b) Recommended refresher trainings for WUCs in all S/Cs, (c) DWO to update data on water facilities including Name of water source, Location, and Population served for accuracy and consistence, and (d) Recommended that the DLG to increase on Latrines being constructed in schools to improve on pupil-Stance ratio. Some of these recommendations were included in the AWP and Budget for the current FY 2023/24

Since the DWO conducted quarterly DWSCC meetings and key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the AWP for the current FY 2023/24, then a score of 2 was entered.

9

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2

There was evidence that allocations for the current FY 2023/24 to LLGs with safe water coverage (SWC) below the LG average were displayed at notice boards and the district website.

- At the District Water Sub-Department Notice board, information on the current FY 2023/24 allocations to the target S/C was displayed.

- For the sampled facilities in Kakumiro S/C, Kigwera S/C and Ngwedo S/C, information on the current FY 2023/24 allocations to the target S/C was displayed on their notice boards.

Therefore, a score of 2 was entered.

2

10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 	<p>The Total Non-Wage Recurrent (NWR) rural water and sanitation budget of Kakumiro DLG for the previous FY 2022/23 was UGX103,936,883 while the amount spend on mobilization was UGX54,690,883, which is 52.6% of the NWR. This percentage is more than the minimum of 40% as per sector guidelines. Therefore, a score of 3 was entered.</p>	3
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.</p>	<p>There was evidence that the DWO in liaison with the CDO trained WSCs on their roles on O&M of WSS facilities.</p> <ul style="list-style-type: none"> • A Quarterly report on software activities implemented during fourth quarter in the district by the ADWO-Mobilization, dated 1st/04/2023 to 30th/06/2023; • A Quarterly report on software activities implemented during fourth quarter in the district by the ADWO-Mobilization, dated 1st/10/2022 to 31st/12/2022; • A Quarterly report on software activities implemented during fourth quarter in the district by the ADWO-Mobilization, dated 1st/07/2022 to 30th/09/2022 • For the sample of 3 WSS facilities, namely: <ul style="list-style-type: none"> (a) Facility source name: Public Lined VIP Latrine at Rusolera Daily Market (RGC) in Rusolera village, Rwembuba parish in Kijangi S/C, funded by DWSCG: <ul style="list-style-type: none"> • It was constructed in FY 2022/23 and completed on 27th/04/2023 to serve approximately 400 market people. • It has 5 stances, two for male with a urinal, another two stances for female and a fifth one with wider door and a ramp for PWDs. • The facility had WSC established and trained. (b) Facility source name: Deep Bore Hole at Kihuuna B in Kihuuna B Village, Kihuuna Parish, Kakindo S/C, with source ID DWD 79042, funded by DWSCG: <ul style="list-style-type: none"> • It was constructed by KLR (U) Ltd., in FY 2022/23 and was completed on 16th/01/2023 to serve approximately 300 Households with 7 people each. • Approximately 18 pipes were installed in it. • It had WSC of 9 members established and trained on their roles and responsibilities. The WSC has 4 women as Vice Chairperson, Secretary, Treasurer and Caretaker. 	3

- The WSC meetings are quarterly and they collect user fees of UGX5,000 per Household per quarter. There are trees around the facility and there is need to plant pasparam grass around the facility to mitigate against soil erosion.

(c) Facility source name: Mpasaana piped water supply system Phase IV in Mpasaana Village, Mpasaana Parish, Mpasaana TC, with ID DWD 87775, funded by DWSCG:

- It was constructed by Extech Technical Services Ltd., to serve Mpongo Ward, comprising of Mpongo A, Mpongo B, Mpongo C, Kanyegaramire, Kihumuro, and Kakyinga, Munzo Cells, Central Ward with Kyajagwe A, Kyajagwe B, Kyajagwe C, Mpasaana, Kamirabyoma, Mukono and Kyamusesa Cells, Rwamata Ward with 3 Cells and Kijungu ward with 4 Cells.

- The facility had Mpasaana Water Board established and trained on the O&M roles.

Therefore, the District Water Officer in liaison with the Community Development Officer established and trained WSCs/WUCs on their roles on O&M of WSS facilities, thereby justifying a maximum score of 3 to be entered.

Investment Management

11

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:

Score 4 or else 0

There was an up-to-date LG Asset Register with a title of "Water Sector Updated Asset Register for Kakumiro District as of 30th June 2023" that was compiled by the DWO. The Register has a total number of water sources as 705, Functional water user committees as 625 and 80 committees as Non-Functional, equivalent to Management of 88.65%. The Register is in form of a Table which sets out water supply and sanitation facilities by location in terms of S/C, Parish, Village, Name of source, Source Type, Year of Construction (YOC)WUC Functionality status.

Examples of WSS facilities that were constructed in the period 2019 to 2023 that were in the Register included the following:

- Kihuuna PS Borehole found in Kihuuna Village, Kihuuna Parish, in Kakindo S/C was constructed in 2023 and has its WUCs Functional.
- Ijumangabo B Borehole found in Ijumangabo B Village, Ijumangabo Parish, in Mwitanzige S/C was constructed in 2023 and has its WUCs Functional.
- Kisiita B Borehole found in Kisiita B Village, Kisiita Parish, in Kisiita S/C was constructed in 2023 and has its WUCs Functional.
- Mugabi Borehole found in Mugabi Village,

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Kibijjo Parish, in Kibijjo S/C was constructed in 2023 and has its WUCs Functional.

- Karokarungi Borehole found in Karokarungi Village, Karokarungi Parish, in Mwitanzige S/C was constructed in 2023 and has its WUCs Functional.

- Kiriisa Borehole found in Kiriisa Village, Kiriisa Parish, in Kitaihuka S/C was constructed in 2023 and has its WUCs Functional.

- Kasenyi Borehole found in Kasenyi Village, Rutooma Parish, in Kibijjo S/C was constructed in 2022 and has its WUCs Functional.

- Kisaigi Borehole found in Kisaigi Village, Rukunyu Parish, in Kakindo TC was constructed in 2022 and has its WUCs Functional.

- Mpasana PS Borehole found in Mpasana Village, Mpasana Parish, in Mpasana S/C was constructed in 2022 and has its WUCs Functional.

- Kyakuterekera Borehole found in Kyakuterekera Village, Kisiita Parish, in Kisiita S/C was constructed in 2021 and has its WUCs Functional.

- Kyebando Borehole found in Kyebando Village, Kasambya Parish, in Kasambya S/C was constructed in 2021 and has its WUCs Functional.

- Mumbali Borehole found in Mumbali Village, Katikara Parish, in Katikara S/C was constructed in 2021 and has its WUCs Functional.

- Nyakasenene Borehole found in Nyakasenene Village, Kijangi Parish, in Kijangi S/C was constructed in 2020 and has its WUCs Functional.

- Kyamujundo Borehole found in Kyamujundo Village, Kyamujundo Parish, in Kitaihuka S/C was constructed in 2020 and has its WUCs Functional.

- Kyakajumbi Borehole found in Kyakajumbi Village, Kyakajumbi Parish, in Kikwaya S/C was constructed in 2020 and has its WUCs Functional.

- Kamusere Borehole found in Kamusere Village, Buruko Parish, in Nalweyo S/C was constructed in 2019 and has its WUCs Functional.

- Igasani Borehole found in Igasani Village, Gayaza Parish, in Kyabasaija S/C was constructed in 2019 and has its WUCs Functional.

- Kabarungi Borehole found in Kabarungi Village, Nsana Parish, in Nkooko S/C was constructed in 2019 and has its WUCs Functional.

Functional.

The register must include the net worthies of the Assets.

Since there was an assets register, that had WSS facilities by location and LLG that were updated with the previously constructed facilities in FY 2022/23, a maximum score of 4 was entered.

11	Planning and Budgeting for Investments is conducted effectively	Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDPIII) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:	Evidence was provided which showed the, LG DWO, District Engineer, Senior Environment Officer conducted desk appraisals for all WSS projects for FY 2023/2024. The prioritized investments were profiled in the LG DP III and in the approved AWP. There was a feasibility study report on Mpasana Piped Water System in Mpasana Town Council dated 18th December 2022. This was discussed in the Annual Workplan and budget for District Water and Sanitation Development Conditional Grant dated 18th July 2022. The plans are eligible for expenditure under sector guidelines. The projects were appraised by, DWO, District Engineer, Senior Environment Officer and DCDO on 30th May 2023.	4
	<i>Maximum 14 points on this performance measure</i>	Score 4 or else score 0.	The desk appraisals for projects were: <ol style="list-style-type: none">1. Borehole drilling of 16 boreholes2. Rehabilitation of 11 boreholes3. Construction of phase IV of Mpasana piped water system dated 30/5/2023	
11	Planning and Budgeting for Investments is conducted effectively	c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2	There was evidence that the beneficiary communities applied for WSS investments for the current FY 2023/24 as can be inferred from the following requests and applications: <ul style="list-style-type: none">• Request for a borehole by the Chairperson of Kahiike Cell on behalf of the community of Kahiike Cell in Nyarweyo ward of Nyarweyo TC on 30th/10/2023 to the DWO, signed by 108 community members during the General Meeting.• Request for a borehole by the Chairperson of Lwabaranga Cell on behalf of the community of Lwabaranga Cell in Masaka ward of Nyarweyo TC on 24th/08/2022 to the DWO, signed by 32 community members.• Application for a water source (Borehole) by the Head Teacher of Kitaihuka Secondary School in Kyetume village, Kiriisa parish in Kitaihuka S/C to the DWO, dated 14th/03/2023.• Request for a borehole by the Chairperson of Buseera village on behalf of the	2
	<i>Maximum 14 points on this performance measure</i>			

community of Buseera village in Kihuuna parish of Kakindo S/C on 6th/06/2022 to the DWO, signed by 30 community members.

- Request for a borehole by the Chairperson of Kisiita A Cell on behalf of the community of Kisiita A Cell in Kyabalitwa Ward of Kisiita TC on 23rd/08/2022 to the DWO, signed by 71 community members.

- Request for drilling and construction of a borehole by the Chairperson of Kamuli village on behalf of the community of Kamuli village in Kamuli parish of Kikwaya S/C on 20th/05/2023 to the DWO, signed by 107 community members.

- Request for a borehole by the Chairperson of Kabangwire village on behalf of the community of Kabangwire village in Kijangi parish of Kijangi S/C on 25th/10/2022 to the DWO, signed by 37 community members.

- Request for a borehole by the Chairperson of Bulemba village on behalf of the community of Bulemba village in Kyabusingye parish of Mwitanzige S/C on 25th/10/2022 to the DWO, signed by 23 community members.

- Request for a borehole to serve over 732 people in 178 households by the Chairperson of Salaama village on behalf of the community of Salaama village in Kyabusingye parish of Mwitanzige S/C on 24th/10/2022 to the DWO, signed by 40 community members.

- Request for a borehole by the Chairperson of Rwamalenge B village on behalf of the community of Rwamalenge B village in Kyamagwara parish of Kisengwe S/C on 26th/12/2022 to the DWO, signed by 37 community members.

Therefore, all the budgeted investments for current FY 2023/24 have completed applications from beneficiary communities, and a score of 2 was entered.

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>Evidence was provided that the LG DWO, Senior Environment Officer, DCDO, District Engineer conducted field appraisal to check technical feasibility, environmental social acceptability and customized designs for Water Supply and Sanitation Services projects. There was a feasibility study report on Mpasana Piped Water System in Mpasana Town Council dated 18th July 2022 This was discussed in the Annual Workplan and budget for District Water and Sanitation Development Conditional Grant dated 18th December 2022.. The field appraisal was carried out as per reports dated, 23rd March 2023.</p>
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The field appraisals for projects were:

1. Borehole drilling of 16 boreholes
2. Rehabilitation of 11 boreholes
3. Construction of phase IV of Mpasana piped water system dated 30/5/2023

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>There was evidence of Environmental and Social Screening Forms for water projects for current Yr, and mitigation measures put in place example;</p> <p>Construction of Katikara water supply system. Screening was done by the Environment officer and DCDO on 12/10/2023. ESMPs costed 1,400,000UGX and signed by Environment officer and DCDO on 19/10/2023</p> <p>Drilling and installation of deep Boreholes at J/Plus PS in Kisenyi subcounty. Screening was done by the Environment officer and DCDO on 21/9/2023. ESMPs costed 1,500,000UGX and signed by Environment officer and DCDO on 25/9/2023</p> <p>Drilling and installation of deep Boreholes at Busera in Kakindo SC. Screening was done by the Environment officer and DCDO on 18/9/2023. ESMPs costed 1,500,000UGX and signed by Environment officer and DCDO on 25/9/2023</p> <p>Mitigations in place include proper drainage channels and no child labour allowed on site plus fencing of the water points,</p>
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12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>The following water infrastructure investment projects among others are incorporated in the Procurement Plans for the current FY</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>		<p>I. Construction of Katikara water supply system. KAKU884/WRKS/2023-2024/00020. Contract awarded to Extech Technical services at contract amount of 444,569,949/=</p> <p>II. Sitting, drilling and pump installation of 17 boreholes in various sub counties in kakumiro district contracted to mama borewells Africa Ltd at 424,115,010/=</p> <p>III. Rehabilitation of 12 boreholes in various sub counties in kakumiro district. KAKU844/WRKS/2023-2024/00022. Contract awarded to Mbafakoki produce enterprise at 68,017,020/=</p>	
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>The water supply and public sanitation infrastructure Projects for the Previous FY were approved before commencement of Works. The following projects were approved by contract committee during a meeting held on 31st August 2022 agenda No. 03 under minute No. 791/CC/2022/2023(9) and 791/CC/2022/2023(7) respectively.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>		<p>Sample projects.</p> <p>I. Sitting, drilling of 15 boreholes in various Sub counties in Kakumiro district-KAKU614/WRKS/2022-2023/00038.</p> <p>II. Construction of Mpasana water supply system phase 3 (Three) at Mpasana Town council-KAKU614/WORKS/2022-2023/00037.</p>	
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>There was evidence on the proper establishment of the full Project Implementation Team for water projects</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>		<p>Evidence:</p> <p>A letter from CAO dated 21st July 2023 appointed Isingoma Julius as contract manager, Mr Mukabya Robert- district engineer as project manager, District Community Development Officer (Akunda Nixon), Environment officer (Macline Namayingo) and Labour officer.</p>	
12	<p>Procurement and Contract</p>	<p>d. Evidence that water and public sanitation</p>	<p>There was evidence that Water and Public Sanitation Infrastructure sampled and</p>	2

Management/execution:
The LG has effectively
managed the WSS
procurements

*Maximum 14 points on
this performance
measure*

infrastructure sampled
were constructed as per
the standard technical
designs provided by the
DWO: Score 2

indicated below were constructed as per the
standard Technical Designs provided by the
DWO in the BOQs and Technical drawings:

(a) Facility source name: Public Lined VIP
Latrine at Rusolera Daily Market (RGC) in
Rusolera village, Rwembuba parish in
Kijangi S/C, funded by DWSCG:

- It was constructed in FY 2022/23 and completed on 27th/04/2023 to serve approximately 400 market people.
- It has 5 stances, two for male with a urinal, another two stances for female and a fifth one with wider door and a ramp for PWDs.
- There is no wall separation of male and female stances and all have one entrance, except the PWD stance that has its own entrance with a ramp.
- Hand washing facility was a carriable one (missing at the time of the visit).
- There is need to install a roof gutter to carry away roof runoff.
- The manhole for emptying is ok.
- The facility is well ventilated and there are 5 pipe vents, though the shielding wall could not be perforated as the slaughter shade was close in 10m distance.
- The pipe to the soak pit for the urinal is broken and the facility was locked.
- There were no feet stepping slabs for proper targeting to avoid soiling of the facility.
- There is no roof water harvesting and a tank for hand washing is placed on entrance side of the 4 stances for Male and Female but it was without pipe connection to roof top.
- Despite the challenges, the Substructure, Walling, Roofing, Floor finishes, Door fixing/fittings and painting were done well according to the technical designs and drawings provided by the DWO.

(b) Facility source name: Deep Bore Hole at Kihuuna B in Kihuuna B Village, Kihuuna Parish, Kakindo S/C, with source ID DWD 79042, funded by DWSCG:

- It was constructed by KLR (U) Ltd., in FY 2022/23 and was completed on 16th/01/2023 to serve approximately 300 Households with 7 people each.
- Approximately 18 pipes were installed in it.
- It had WSC of 9 members established and trained on their roles and responsibilities. The WSC has 4 women as Vice Chairperson,

Secretary, Treasurer and Caretaker.

- The WSC meetings are quarterly and they collect user fees of UGX5,000 per Household per quarter. There are trees around the facility and there is need to plant pasparam grass around the facility to mitigate against soil erosion.
- The facility has a fence to stop goats and cattle from accessing it.
- Construction of a soak pit at the end of the drainage channel was not done.
- There is need to maintain cleanliness of the facility.
- The community were reminded of digging an Interceptor drain to drive runoff away from the facility and avoid eroding the soil around it.
- The facility was drilled and installed as per the design specifications and BOQs provided by the DWO.

(c) Facility source name: Mpassaana piped water supply system Phase IV in Mpassaana Village, Mpassaana Parish, Mpassaana TC, with ID DWD 87775, funded by DWSCG:

- It was constructed by Extech Technical Services Ltd., to serve Mpongo Ward, comprising of Mpongo A, Mpongo B, Mpongo C, Kanyegaramire, Kihumuro, and Kakyinga, Munzo Cells, Central Ward with Kyajagwe A, Kyajagwe B, Kyajagwe C, Mpassaana, Kamirabyoma, Mukono and Kyamusesa Cells, Rwamata Ward with 3 Cells and Kijungu ward with 4 Cells.
- A galvanized steel cuboid tank measuring 18m by 3m by 3m with a capacity of 162m³ was installed on 9 reinforced concrete pillars with good foundation footings.
- The facility was installed on top of a hill in an area of 22m by 22m, which was fully fenced with chain link fixed on concrete poles. The top of the chain link fence is installed a series of barbed wire fence.
- The facility is close to the Airtel Mask installed on the same hill and the access road used is the same for the two facilities.
- The facility had Mpassaana Water Board established and trained on the O&M roles.
- The facility is completed and ready for other pipework connections of inlet transmission line and outlet distribution lines.

Therefore, the water and public sanitation infrastructure sampled and visited were constructed as per the standard technical designs and BOQs provided by the DWO and a score of 2 is entered.

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2

There was evidence that the technical officers conducted supervision of WSSS infrastructure projects.

Evidence;

Supervision report dated 7th February 2023 on Borehole drilling, Pump testing and installation.

Supervision report dated 27th February 2023 for drilled boreholes.

Completion report dated 30th May 2023 on Mpasana Water Supply System.

Monitoring and certification of construction sites report dated 21st June 2023 on the sites of; kisengwe water system, Saziike-Kibijjo, Rwengo Semwema Ward, Kaleera Kahungera, Nguse Nyamuha and Kiihe-Kakiseke Nalweyo.

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts</p> <ul style="list-style-type: none"> o If 100 % contracts paid on time: Score 2 o If not score 0 	<p>The DWO, District Engineer, CDCO, and Senior Environment officer verified works and initiated payments of contractors, but were not paid (contractors, 2 and 3) within the 14 days' timeframe.</p> <p>The sample of payments were;</p> <p>Payment terms as per contract 30 days after a request for payment / invoice by the contractor</p> <ol style="list-style-type: none"> 1. Construction of extension of Kisengwe water supply system by Mbafakoki Produce Enterprises Ltd. Procurement Number. Kaku 844/Wrks/2022-2023/00400. Requisition for funds 30 /5/2023. Certified works on 30/5/2023 and paid on 30/6/2023 by EFT. 6433454, UGX. 43,967,685. Payment within the timeframe of 30 days. 2. Drilling and supervision of 15 boreholes by ROK Technical services Limited. Procurement Number. Kaku 844/srves/2022/2023/0005. Requisition for funds 27/3/2023. Certified works on 27/3/2023 and paid on 29/5/2023 by EFT. 5293615, UGX. 22,646,400. Payment after 63 days, beyond the timeframe of 30 days. 3. Construction of Mpasana water supply system by Extech Technical services Ltd. Procurement Number. Kaku 844/Wrks/2022-2023/00037. Requisition for funds 28/5/2023. Certified works on 6/6/2023 and paid on 30/6/2023 by EFT. 6425240, UGX. 232,561'672. Payment after 33 days, beyond the timeframe of 30 days. <p>From the sample, contractors (Nos. 2 and 3) were not paid within 30 working days.</p>
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12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:</p> <p>Score 2, If not score 0</p>	<p>Complete Procurement files for the water infrastructure investments are in place with Evaluation reports and Minutes of the Contract Committee, and contract documents.</p> <p>Sample projects:</p> <ol style="list-style-type: none"> I. Sitting and drilling of 15 boreholes in various Sub counties in Kakumiro district- KAKU614/WRKS/2022-2023/00038. II. Construction of Mpasana water supply system phase 3 (Three) at Mpasana Town council- KAKU614/WORKS/2022-2023/00037.
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13	<p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p>	<p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p> <p>Score 3, If not score 0</p>	<p>There was no evidence that the LG had grievances recorded, investigated, responded to and redress reported under water and environment</p>	0
14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>There was evidence that the LG had Water source and catchment protection and natural resource management guidelines disseminated to CDOs .example;</p> <p>Minutes of the water and sanitation extension workers meeting held on 16/3/2023.highlights in the meeting includes;</p> <p>Extension workers to always monitor and supervise Water user committees (WUC) and water facilities in sub counties. report was signed by the CDO-Water Kitungi Lydia and PAS (Joram Sekitoleko). The meeting was attended by 22 members including subcounty CDOs on 16/3/2023</p>	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p>	<p>There was no evidence in the LG to show water source protection plans and natural resource management plans for WSS infrastructure projects constructed during the previous FY prepared and implemented.</p>	0
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 3, If not score 0</p>	<p>There was evidence that all WSS projects were implemented on land where the LG has proof of consent as seen from the following listed Land Agreements:</p> <ul style="list-style-type: none"> • Land Agreement between Katikara S/C and land owner (Fokushaba John Bosco) for drilling of a borehole at Kasambya village in Katikara parish in Katikara S/C in which he sold land of 50ft by 50ft at a cost of UGX1,000,000 for construction of community water point/sanitation facility, confirmed by LC 1 Chairperson on 6th/05/2022. • Land Agreement between Katikara S/C and land owner (Barugahare Benon) for drilling of a borehole at Katikara North village in Katikara parish in Katikara S/C in which he sold land of 50ft by 50ft at a cost of UGX2,000,000 for construction of community water point/sanitation facility, 	3

confirmed by LC 1 Chairperson on 6th/05/2022.

- Land Agreement between Kisiita TC and land owners (Ayebale Habert and Abomugyisha Benjamin) for drilling of a borehole at Kisiita B Cell in Bwikaragye Ward in Kisiita TC in which they agreed to give land of 20ft by 20ft for construction of community water point/sanitation facility, confirmed by LC 1 Chairperson on 19th/01/2023 and witnessed by 23 community members.
- Land Agreement between Kakindo S/C and land owners (Kajubi Palizio, Kajubi Janefisca and Abia Victory) for drilling of a borehole at Kihuuna B village in Kihuuna parish in Kakindo S/C in which they agreed to give land of 20ft by 20ft for construction of community water point facility, confirmed by LC 1 Chairperson on 15th/02/2023.
- Land Agreement between Kisiita TC and land owner (Muhereza Buruno) for drilling of a borehole at Kyangota B Cell in Kisiita Central Ward in Kisiita TC in which he agreed to give land of 20ft by 20ft for construction of community water point facility, confirmed by LC 1 Chairperson on 2nd/02/2023 and witnessed by 9 community members.
- Land Agreement between Kakumiro TC and land owner (Sserimu John Byekwaso) for drilling of a borehole at Rwenge Cell in Semwema Ward in Kakumiro TC in which he agreed to give land of 20ft by 20ft for construction of community water point facility, confirmed by LC 1 Chairperson on 19th/02/2023 and witnessed by 13 community members.

It can be concluded from the sampled land agreements, that actually all WSS projects were implemented on land where the LG has proof of consent (e.g., a land agreement; Formal Consent, or MOUs), without any encumbrances. Therefore, a score of 3 was entered.

15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects: Score 2, If not score 0	Evidence that showed Environment and Social Safeguards Certification forms were completed and signed by the Senior Environment Officer and DCDO prior to payments of contractor invoices and certificates at interim and final stages of projects. The assessor sampled the payment vouchers and were attached as on 30th November 2023. The sample of payments were; 1. Construction of extension of Kisengwe water supply system by Mbafakoki Produce Enterprises Ltd . Procurement Number. Kaku 844/Wrks/2022-2023/00400. Requisition for funds 30 /5/2023. Certified works on 30/5/2023 and paid on 30/6/2023 by EFT. 6433454, UGX. 43,967,685. 2. Drilling and supervision of 15 boreholes by ROK Technical services Limited. Procurement Number. Kaku 844/srves/2022/2023/0005. Requisition for funds 27/3/2023. Certified works on 27/3/2023 and paid on 29/5/2023 by EFT. 5293615, UGX. 22,646,400. 3. Construction of Mpsaana water supply system by Extech Technical services Ltd . Procurement Number. Kaku 844/Wrks/2022-2023/00037. Requisition for funds 28/5/2023. Certified works on 6/6/2023 and paid on 30/6/2023 by EFT. 6425240, UGX. 232,561'672.	2
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 2, If not score 0	There was evidence in the LG that the CDO and Environment Officers undertook monitoring to ascertain compliance with ESMPs. The reports were include; Monthly Report for drilling of Boreholes at St Albert Kakindo TC and Borehole at Rwego village. Monitoring report dated 20/12/2023 signed by CDO and Environment officer Mitigation measures implemented for water projects included; no conflicts registered and water user committees formed plus fencing off site.	2

**Micro-scale
Irrigation
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries - score 2 or else 0</p>	<p>There was evidence that the LG has up to-date data on irrigated land for the last two FYs (2021/2022 & 2022/2023); disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries. Data on irrigated land for the two FYs were summarized below: "Area under irrigated land in Kakumiro district local government FY 2021/2022" for Non-micro scale beneficiaries dated 30th June 2022, had total acreage of 49. Another report dated June 30, 2023 "Report on total irrigated land in the district for the FY 2022/2023" for both non-micro scale and micro scale beneficiaries had acreage 61.5, of which 12.5 acres were under micro irrigation.</p>	2
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>There was evidence that the LG had increased acreage of newly irrigated land in the previous FY as compared to the previous FY but one. Data on irrigated land in Kakumiro district as of June 30, 2022, for non micro-scale beneficiaries was 49 acres. Data on irrigated land in Kakumiro district as of June 30, 2023- for both non-micro scale and micro scale beneficiaries was 61.5 acres. Therefore the percentage Increase in acreage = $(61.5-49) \times 100/49 = 25.5\%$.</p>	2
2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%, score 4 • 60% - 70%, score 2 • Below 60%, score 0 	<p>N/A (Kakumiro LG is under Phase 2 Districts).</p>	0

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0	There was evidence that the development component of micro-scale irrigation grant had been used on eligible activities. From the budget performance report for the FY 2022/2023, 334,135,159/= was received and 334,135,159/= was utilized. Grant guidelines were provided for Phase 2, which was 100% complimentary services and started in the FY 2022/2023 looking at upscaling the program to the rest of the country covering the 95 roll-out districts where Kakumiro was among.	2
Maximum score 6				
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	N/A (Kakumiro LG is under Phase 2 Districts).	0
Maximum score 6				
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0	There was evidence that the variations in the contract price for establishment of irrigation demonstration sites are within +/-20% of the Agriculture Engineers estimates. As per the contract document provided with procurement ref nos. KAKU614/Wrks/2022-2023/00031, budget for supply and installation of solar powered demo site, for various sites and protective equipment and data collection tools in Kakumiro DLG was 90,200,000/= exclusive of the tax. The contract was signed on 30th November 2022 between Kakumiro district LG and Techford contractors and suppliers. The Engineer's estimate was 85,000,000 for the five irrigation demo sites. Therefore, the percentage variation in the contract price was 6%.	1
Maximum score 6				
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY	There was evidence that planned irrigation demonstration sites where contracts were signed during the previous FY 2022/2023 were installed/completed within the previous FY 2022/2023. The contract document for the five irrigation Demos was signed on 30th November 2022. The work completion certificate was issued on March 10, 2023. The percentage of completion for the five irrigation Demos installed was $5 \times 100 / 5 = 100\%$.	2
Maximum score 6		<ul style="list-style-type: none"> • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0 		

4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	a) Evidence that the LG has recruited LLG extension workers as per staffing structure	There were 17 sub-counties and 7 Town Councils in Kakumiro district. According to the approved structure of the district each sub-county is supposed to have 6 extension workers and each town council is supposed to have 3 extension workers which total to 123. 30 positions of extension workers are filled which is 24%	0
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0 		
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF	There was evidence that irrigation demonstration site(s) in different LLGs met standards as defined by MAAIF. The following irrigation demos were sampled.	2
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 or else score 0 	<p>1. Birembo seed secondary school had 2.3 acres under irrigation in the Birembo sub-county with drip irrigation and drag hose system demonstrated. There was a water tank of capacity 5000 liters, solar water pump, Tank stand, Mobile wheeled structure, solar module (1) 495W, drip lines, irrigation jet spray nozzle, flexible hose pipe and hydrants (4).</p> <p>2. Kajoba memorial Farm had 2.5 acres under irrigation in Kisiita town council with drip irrigation and drag hose system demonstrated. There was a water tank of capacity 5000 liters, solar water pump, Tank stand, Mobile wheeled structure, solar module (1) 495W, driplines, irrigation jet spray nozzle, flexible hose pipe and hydrants (4).</p> <p>3. Kyaligonza Lazmus Farm had 2.5 acres under irrigation in Nalweyo sub-county with drip irrigation and drag hose system demonstrated. There was a water tank of capacity 5000 liters, solar water pump, Tank stand, Mobile wheeled structure, solar module (1) 495W, driplines, irrigation jet spray nozzle, flexible hose pipe and hydrants (4).</p>	
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the installed micro-scale irrigation systems during last FY are functional	The three demonstration sites (Birembo seed secondary school, Kajoba memorial Farm and Kyaligonza Lazmus Farm) sampled were installed and functional.	2
	Maximum score 6	<ul style="list-style-type: none"> • If 100% are functional score 2 or else score 0 		

Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0</p>	<p>Review of the staff lists found in the sampled LLGs in comparison with information obtained from the HRM office confirmed that information on position of extension workers filled is accurate. Bwanswa sub-county had 3 extension staff (Kisembo Joseph - Assistant Animal Husbandry Officer, Kajumba Catherine - Agriculture Office and Okinda Joanas Jasmine - Fisheries Officer). Kakumiro Town Council had 3 extension staff (Mugwanya Remiguios - Veterinary Officer, Byenka Edward - Agriculture Officer and Namusoke Constance - Assistant Animal Husbandry Officer). Kasambya sub-county had 2 extension staff namely Kakumba Muyania - Agriculture Officer and Majwara Richard - Veterinary Officer)</p>	2
5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p>	<p>There was evidence that information on established irrigation demonstration sites installed, and functioning was accurate. The three sites sampled (Birembo seed secondary school, Kajoba memorial Farm and Kyaligonza Lazmus Farm) were functioning, and accurate. The driplines were supplying water to the target plant. The hydrants installed were functional and accurate.</p>	2
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>	<p>There was no evidence that information is collected quarterly on; newly irrigated land, functionality of irrigation equipment installed, provision of complementary services and farmer Expression of Interest. Q3 and Q4 reports were provided but there were no Q1 and Q2 reports. In Q3, there was a progress report for Kiringo farm, Kyaligonza Lazmus farm, Kajoba memorial farm, Nabatanzi Prossy farm and Birembo seed secondary school farm/ irrigation demonstration sites in Kakumiro district LG dated 10th March 2023. In Q4, there was a monitoring report on irrigation Demo sites in Kakumiro district LG dated 12th July 2023.</p>	0
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p>	<p>There was evidence that the LG had entered up-to-date LLG information into MIS/Irritrack and had reached their target EOIs. EOIS submitted 499 and those that succeeded were 397, and the target was 387 and farm visits were 356 and this figure exceeded the target of 194.</p>	1

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0	There was evidence that the LG had prepared a quarterly report using information compiled from LLGs in the MIS/Irritrack. The following reports provided the evidence. There was a report on micro-scale irrigation sub-grant quarterly report for Q1 and Q2 dated 17th January 2023. In Q1, radio talk shows to raise awareness on micro-scale irrigation were conducted. There was online training on micro-scale irrigation programs for modules 1,2,3 &4. In Q2, there was awareness raising of district stakeholders (RDC, District council members, Diso, CAO, DTPC members etc.). There was also awareness creation on micro-scale irrigation in all the 24 LLGs. There was awareness raising of farmers and 250 expressions of interest were recorded. In Quarter 3, there was a report on micro-scale irrigation sub-grant quarterly report dated 18th April 2023. There was online training, and awareness raising for farmers, 150 EOIs recorded, and 256 farm visits made. Five demo sites had been fully constructed and completed and were being used by the beneficiaries. In Q4 there was a report titled "Micro-scale irrigation sub-grant quarterly report" dated 14th August 2023. The report indicated 403 successful EOIs, and farm visits 380.	1
	Maximum score 6			
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	There was a proposal for performance improvement plan for the lowest performing lower local government on the uptake of agricultural engineering technologies dated July 04, 2023. However, this plan was not yet approved.	0
	Maximum score 6			
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	There was no PIP implementation reports to establish whether the LG developed and implemented Performance Improvement Plan for the lowest performing LLGs.	0
	Maximum score 6			

Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0</p>	The performance contracts for the FY 2023/2024 for the extension workers were in place and filed. The period of assessment was from 1/07/2023 to 30/06/2024 and were signed on 1st July 2023. The extension workers wage was 1,571,100,000/= for FY 2023/2024.	1
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	<p>ii Deployed extension workers as per guidelines score 1 or else 0</p>	The extension workers were deployed as per guidelines and were engaged in program implementation activities. From the staff register, there were thirty-six (36) extension workers in production department and all were deployed.	1
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	<p>b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0</p>	There was evidence through the attendance books and discussions with staff found in the LLGs visited which demonstrated that the extension staff were working where they had been deployed. Kakumiro Town Council had 3 extension staff (Mugwanya Remiguios - Veterinary Officer, Byenka Edward - Agriculture Officer and Namusoke Constance - Assistant Animal Husbandry Officer). Kasambya sub-county had 2 extension staff namely Kakumba Muyania - Agriculture Officer and Majwara Richard - Veterinary Officer). It should however be noted that some extension staff were being shared by two or more LLGs	2
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	<p>c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0</p>	There was evidence that extension workers deployment had been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. In all the 3 LLGs visited by the assessor namely Kakumiro Town Council, Kasambya Sub-County and Bwanswa sub-County, the names of extension staff together with their telephone contacts and in some cases, photos were displayed on noticeboards	2

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has:</p> <p>i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0</p>	<p>There was evidence District Production Officer had Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY</p> <ol style="list-style-type: none"> 1. Mbabazi Margaret (Senior Agriculture Officer) was appraised on 30th June 2023 2. Tumwesigye Tomas (Agriculture Officer) was appraised on 31st March 2023 3. Kitembo Joseph (Assistant Animal Husbandry Officer) was appraised on 30th June 2023 4. Namusoke Constance (Assistant Animal Husbandry Officer) was appraised on 15th June 2023 5. Basirika Safina (Agriculture Officer) was appraised on 26th June 2023 6. Mubezi Josephine (Agriculture Officer) was appraised on 26th June 2023 7. Kagambo Solomon (Agriculture Officer) was appraised on 27th June 2023 8. Kemigisa Elizabeth (Agriculture Officer) was appraised on 30th June 2023 9. Monday Kayakoba John Bosco (Agriculture Officer) was appraised on 1st May 2023 10. Asimwe Tadeo Jude (Assistant Animal Husbandry Officer) was appraised on 27th June 2023 	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has;</p> <p>Taken corrective actions: Score 1 or else 0</p>	<p>No evidence was provided to show that the DPO had taken corrective actions on performance gaps identified during the appraisal process</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>b) Evidence that:</p> <p>i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0</p>	<p>In Q1 and Q2 dated 17th January 2023, there was a report on micro-scale irrigation sub-grant quarterly report online training of extension staff on micro-scale irrigation program for modules 1,2,3 & 4. From the attendance registers, 23 technical staff were trained.</p>	1

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	ii Evidence that training activities were documented in the training database: Score 1 or else 0	There was no evidence that training activities were documented in the training database.	0
	Maximum score 4			

Management, Monitoring and Supervision of Services.

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.	a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0	The LG i) Did not get Capital Development ii) Complimentary services was allocated of 100%, UGX.334, 135,159.	2
	Maximum score 10			
9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.	b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0	The LG evidence provided showed budget allocations were made towards complementary services in line with the sector guidelines as follows. i. Complementary, UGX.334, 135,159. a. Awareness of raising local leaders (Max. 15%), UGX. 50,120,274. b. Awareness of raising famers (Min.40%), UGX.133, 654,036. c. Farm visits, (Min.15%), UGX.50, 120,274. d. Demonstrations (Min.30%), UGX.100, 240,548. Total, UGX.334, 135,159.	2
	Maximum score 10			

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>N/A (Kakumiro LG is under Phase 2 Districts).</p>	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>N/A (Kakumiro LG is under Phase 2 Districts).</p>	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>There was no evidence that the LG had disseminated information on use of the farmer co-funding through distribution of brochures to farmers. Minutes from meetings between DPO and DTTC and LLGs were not provided.</p>	0

10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 Less than 70% score 0 	<p>There was evidence that the DPO had monitored monthly the installation of equipment for the irrigation demonstration sites (key areas to include the functionality of equipment, environment and social safeguards including the adequacy of water source, the efficiency of micro irrigation equipment in terms of water conservation, etc.). There was a report titled Report on farm visit and launching of the construction of 5 irrigation sites in Kakumiro district LG dated March 21, 2023. there was also a report on the submission of monthly progress reports for the supply and installation of solar-powered Demo sites, for various sites and protective equipment and data collection tools in Kakumiro LG dated 24th January 2023. There was another report on progress for Kiringo farm, Kyaligonza Lazmus farm, Kajoba memorial farm, Nabatanzi prossy farm and Birembo seed school farm/irrigation demonstration sites in Kakumiro district LG dated March 10, 2023.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>There was evidence that LG had overseen approved farmer training & support (to farmers, to achieve servicing and maintenance during the warranty period). There was a report on the DEC monitoring report on irrigation demo sites in Kakumiro district LG dated 12th July 2023.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0</p>	<p>There was evidence that LG had provided hands-on support to the LLG extension workers during the implementation of complementary services as per guidelines. There was a report dated 12th January 2023 titled Report on training of Agricultural officers and community development officers.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0</p>	<p>There was no evidence that LG had established and run farmer field schools/irrigation demo sites as per the guidelines.</p>	0

11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	There was evidence that LG had conducted activities to mobilize and sensitize farmers as per guidelines. Below is the evidence collected; Attendance sheets dated September 05, 2022, about sensitization of farmers in Igayaza parish with 17 participants were in place. Group sensitization on micro-scale irrigation in wards of Kamusenene, Nkooko, Kyabakamba and Gamugole dated May 04, 2023. 60 participants attended. on June 22, 2023, there was sensitization and mobilization of farmers in Kisengwe. There were attendance sheets together with the field-based photos attached. Eight (8) radio talk shows on Mambya Radio FM and Kakumiro community radio FM were conducted.	2
	Maximum score 4			

11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	There was evidence that the district has trained staff and sensitized political leaders at District and LLG levels. The following reports provided the evidence. Report titled awareness raising on micro-scale irrigation for lower local council leaders in Kakumiro district conducted on January 10, 2023. Report on awareness raising training workshop of LLGs in Bugangaizi west on micro-scale irrigation programme held at Kakindo town council dated November 23, 2022. Report on training agricultural officers and community development officers dated January 12, 2023.	2
	Maximum score 4			

Investment Management

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0	There was evidence that the LG had an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY 2022/2023 as per the format. The inventory of micro-scale irrigation equipment installed had five sites, with equipment supplier and ref number KAKU614/Wrks/2022-2023/00031. The five Demo site names were Birembo Seed Secondary School Farm (Birembo subcounty), Kajoba Memorial Farm (Kasiita town council), Kiringo Farm (Bwanswa sub-county), Nabatanzi Proscovia Flora Farm (Kasambya sub-county) and Kyaligonza Lazmus farm (Nalweyo sub-county). The date of installation was June 30, 2023, and the size of each demo was 2.5 acres.	2
	Maximum score 8			

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0	There was evidence that LG keeps an up-to-date database of applications (EOIs) for the current FY 2023/2024 and previous FY 2022/2023 at the time of the assessment. Database of the applications, FY 2022/2023; EOIs were 499 and 368 farm visits. The database of the applications FY 2023/2024 for EOIs was 56. Farm visits 12. From the database, the names of those that expressed interest were displayed. Copies of application from LLGs seen from the form showing expression of interest in the micro scale irrigation program. Submission letters were provided.	2
	Maximum score 8			

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>There was evidence that the district had carried out farm visits to farmers that submitted complete Expressions of Interest (EOI). This was evidenced in a report titled activity report conducted under UGIFT micro-scale irrigation program in Kakumiro district local government dated July 11, 2022. A total of 10 sites for potential micro-scale irrigation demo farms for FY 2022/2023 were visited. These included Birembo technical institute, which was visited on 27th April 2022, Birembo seed secondary school was done on 28th April 2022, Fred Mugisa Kijuru farm was visited on May 01, 2022, Wilber Tumwesigye farm was visited on May 02, 2022, kyaligonza Lazmus farm was visited on May 31,2022, Nabatanzi Proscovia farm was visited on 1st June 2022, Kiringo farm was visited on May 05, 2022, Kajjoba memorial farm was visited on May 31, 2022 and others. Five of these sites were selected. Agreement to proceed for quotation was not seen.</p>	2
	<p>Maximum score 8</p>			
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>The list of approved eligible farmers for the micro-scale irrigation had not yet been posted on the District and LLG noticeboards</p>	0
	<p>Maximum score 8</p>			
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>The following micro-scale irrigation systems were incorporated in the LG procurement plan of FY2023/2024.</p> <p>I. Construction and installation of two irrigation Demo sites. Estimated to cost 46,500,000/=</p> <p>II. Supply and design of micro irrigation equipment to various farmers. Estimated to cost 773,000,000/=</p>	1
	<p>Maximum score 18</p>			
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>The LG requested for quotation from irrigation equipment suppliers Not pre-qualified by MAAIF.</p> <p>The LG awarded the contract for the Supply and installation of solar powered irrigation demo site and protective equipment and data collection tools, Kakumiro district to Techford contractors and supplies Ltd.</p>	0
	<p>Maximum score 18</p>			

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0</p>	<p>The LG concluded the selection of the irrigation equipment supplier based on the set criteria in which Techford contractors and supplies scored high.</p> <p>The unsuccessful bidders were;</p> <p>I. Kenejo investments Ltd; lacked required personnel</p> <p>II. Waterfix Engineering (U) Ltd; High quotation (2nd evaluated bidder).</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0</p>	<p>There was evidence that the micro-scale projects were approved by the Contracts Committee under minute DCC Min. 793/CC/2022C23(8) in a meeting held on 31st August 2022</p> <p>Sample project:</p> <p>Supply and installation of solar powered irrigation demo site and protective equipment and data collection tools, Kakumiro district-KAKU614/WRKS/2022-2023/00031</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0</p>	<p>The LG signed the contract with the lowest priced and technically responsive irrigation equipment supplier for the Supply and installation of solar powered irrigation demo site and protective equipment and data collection tools, Kakumiro district. KAKU614/WRKS/2022-2023/00031</p> <p>Techford contractors and supplies</p> <p>Bid price = 100,200,000 /=</p> <p>Waterfix engineering Ltd</p> <p>Bid price: 139,577,000/=</p> <p>Kenjo Investments Ltd</p> <p>Bid price = 84,993,040/=</p> <p>Techford contractors and supplies was awarded the contract as being the lowest technically responsive supplier.</p> <p>Kenejo investments Ltd lacked required personnel despite being the lowest bidder.</p>	2

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	There was evidence that the irrigation demonstration sites installed were in line with the designs. The standard technical designs for the three irrigation demos sampled were provided by the supplier for the five Demo sites and installations were in line with the designs. There was a report on the submission of the annual work plan and budget for micro-scale irrigation for FY 2022/2023 dated July 05, 2022.	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0	There was evidence that the LG had conducted regular technical supervision of the irrigation demonstration sites by the relevant technical officers. There was a report on the progress of Kiringo farm, Kyaligonza Lazmus farm, Kajoba memorial farm, Nabatanzi prossy farm and Birembo seed school farm/irrigation demonstration sites in Kakumiro district LG dated March 10, 2023. Report on monitoring of micro-scale irrigation projects in Kakumiro district for FY 2022/2023 dated June 05, 2023.	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	h) Evidence that the LG has overseen the irrigation equipment supplier during: i. Testing the functionality of the installed equipment: Score 1 or else 0	1. There was evidence that the LG had overseen the irrigation equipment supply, installation, and testing for functionality. There was a progress report for Kiringo farm, Kyaligonza Lazmus farm, Kajoba memorial farm, Nabatanzi Prossy farm and Birembo seed secondary school farm/irrigation demonstration sites in Kakumiro district LG dated 10th March 2023. The site books for the three irrigation demos sampled verified that LG production department oversaw the irrigation equipment supplier.	1
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	There was evidence that the LG has overseen the irrigation equipment hand-over to the Approved host/beneficiary Farmer. There was micro-irrigation demonstration site hand over/ take over certificates issued. The handover took place in September 2023.	1
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	There was no evidence provided to the assessor regarding payment to the suppliers by the LG, in FY 2022/2023 for the two days on 30th November and 1st December 2023.	0

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0</p>	<p>Complete Procurement files for the water infrastructure investments are in place with Evaluation reports and Minutes of the Contract Committee, and contract documents.</p> <p>Sample project:</p> <p>Supply and installation of solar powered irrigation demo site and protective equipment and data collection tools, Kakumiro district-KAKU614/WRKS/2022-2023/00031</p>	2
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Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0</p>	<p>There was evidence that the Local Government had displayed details of the nature and avenues to address grievance in public areas on production department and LLG noticeboards. There was a circular on grievance management under the Uganda intergovernmental fiscal transfer (UGIFT) program at local government level. There was also an implementation of grievance redress mechanisms under the UGIFT micro-scale irrigation program for Kakumiro district local government dated May 04, 2023. The names of the committee members were displayed on the noticeboard.</p>	2
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>i). Recorded score 1 or else 0</p> <p>ii). Investigated score 1 or else 0</p> <p>iii). Responded to score 1 or else 0</p> <p>iv). Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There was no evidence that the LG had grievances recorded, investigated, responded to and redress reported under Micro-scale irrigation</p>	0

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	There was no evidence that the LG had a grievance framework for Micro-scale irrigation grievances investigated	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	There was no evidence that the LG had a grievance responded to under microscale irrigation	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iv. Reported on in line with LG grievance redress framework score 1 or else 0	There was no evidence that the LG had a grievance framework for Micro-scale irrigation grievances were reported.	0

Environment and Social Requirements

15	Safeguards in the delivery of investments Maximum score 6	a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc. score 2 or else 0	There were MOUs between Demo hosts and Kakumiro LG signed on 3rd August 2022. The MOUs indicated that the farmer had to commit to providing the land free of encumbrance to establish the irrigation system. Guidelines disseminating micro-scale irrigation to provide, proper use of agro-chemicals and safe disposal of chemical waste containers were provided to farmers.	2
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15	Safeguards in the delivery of investments Maximum score 6	b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.	There was evidence that the LG had screened and costed ESMP that were incorporated into designs, BoQs, bidding and contractual documents for micro-scale irrigation projects example; Screening forms for Birembo seed school demo were signed by the Environment officer, DPO and CDO on 4/5/2022	1
		i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0	ESMP costed at 8,000,000UGX and signed by Environment officer and CDO on 7/6/2022. In the BoQs (8.1) under Trenching and backfilling costed at 1,250,000UGX Screening forms for Kyaligonza Lazmus were signed by the Environment officer, DPO and CDO on 4/5/2022 ESMP costed at 8,000,000UGX and signed by Environment officer and CDO on 6/6/2022. In the BoQs (8.1) under Trenching and backfilling costed at 1,250,000UGX Screening forms for Kiringo demo at Bwanswa SC were signed by the Environment officer, DPO and CDO on 4/5/2022. ESMP costed at 8,000,000UGX and signed by Environment officer and CDO on 6/6/2022 In the BoQs (8.1) under Trenching and backfilling costed at 1,250,000UGX	
15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	There was evidence that the LG monitored irrigation impacts for Microscale irrigation projects for the demo sites .example Monitoring report for Microscale irrigation projects in Kakumiro DLG for demo site at Kiringo demo at Bwanswa SC Kyaligonza Lazmus demo and Birembo seed school demo dated 5/6/2023 signed by environment officer and DCDO.Highlights in the report include; not to encroach on the swamp at Kyaligonza Lazmus demo, security of water flow not guaranteed at Birembo seed school site. Recommendations suggested were; Soil heaps to be spread, continuous monitoring of projects and vegetation clearance restricted	1

15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	There were E&S certification forms completed and signed by Environment officer prior to payment of contractor invoices/certificates at interim and final stages of projects signed on 7/6/2023 for Birembo seed school demo.6/6/2023 for Kyaligonza Lazmus demo and Kiringo demo at Bwanswa Certification signed on 7/6/2023	1
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	There were E&S certification forms completed and signed by CDO prior to payment of contractor invoices/certificates at interim and final stages of projects signed on 7/6/2023 for Birembo seed school demo.6/6/2023 for Kyaligonza Lazmus demo and Kiringo demo at Bwanswa Certification signed on 7/6/2023	1

**Crosscutting Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	<ul style="list-style-type: none"> The position of Chief Finance Officer was substantively filled. Gladys Bigabwa was appointed on promotion as Chief Finance Officer under minute 243/KDSC/01/2023. The Chief Finance Officer (Gladys Bigabwa) was appraised on 30th June 2023. 	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	The position of District Planner was vacant. Serugo Pious who was substantively appointed as Senior Planner under minute no. 76 (a) /KDSC/05/2021 was assigned duties to act by the CAO in a letter dated 6th July 2022. The Acting District Planner (Serugo Pious) was appraised on 30th June 2023	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	The position of District Engineer was vacant. Mukabya Robert who was substantively appointed on promotion as Senior Engineer under minute no. 49/KDSC/06/2018 was assigned duties to act by the CAO in a letter dated 11th July 2022. The Acting District Engineer (Mukabya Robert) was appraised on 28th June 2023	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	The position of District Natural Resources Officer was substantively filled. Katabazi Bob Allan was appointed on probation as District Natural Resources Officer under minute 302/KDSC/05/2023. The District Natural Resources Officer (Katabazi Bob Allan was newly recruited and not yet due for appraisal – appointed on 31st May 2023)	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	The position of District Production Officer was vacant. Sserumaga Stephen who was substantively appointed on promotion as Principal Agricultural Officer under minute no. 16.1 (f)/KDSC/08/2020 was acting. The Acting District Production Officer was appraised on 22nd June 2023	0

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	The position of District Community Development Officer was substantively filled. Ankunda Nickson was appointed on probation as District Community Development Officer under minute no. 50 (b) /KDSC/09/2020. The District Community Development Officer (Ankunda Nickson) was appraised on 30th June 2023	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	The position of District Commercial Officer was vacant. Mutakooha George who was appointed on promotion as Principal Commercial Officer under minute no. 93 (b) /KDSC/05/2021 was assigned duties to act as District Commercial Officer in a letter dated 28th June 2019. The Acting District Commercial Officer (Mutakooha George) was appraised on 29th June 2023	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	The position of Senior Procurement Officer was substantively filled. Nuwamanya Richard was appointed on promotion as Senior Procurement Officer under minute no. 16.1 (b)/KDSC/08/2020. Appraisal report for the Senior Procurement Officer (Nuwamanya Richard) was not provided.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	The position of Procurement Officer was vacant and there was no evidence of secondment from Central Government	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	The position of Principal Human Resource Officer was substantively filled. Lubowa Tumusiime Mark was appointed on accelerated promotion as Principal Human Resource Office under minute no. 295/KDSC/04/2023. The Principal Human Resource Officer (Lubowa Tumusiime Mark) was appraised on 30th June 2023	2

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	The position of Senior Environment Officer was substantively filled. Nnamiyingo Markline was appointed on promotion as Senior Environment Officer under minute no. 294 (a)/KDSC/04/2023. The Senior Land Management Officer (Nnamiyingo Markline) was appraised on 30th June 2023	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	k. Senior Land Management Officer /Physical Planner, score 2 or else 0	The position of Senior Land Management Officer was substantively filled. Nankinga Yudaya was appointed on promotion as Senior Lands Management Officer under min. 67/KDSC/03/2019. The Senior Lands Management Officer (Nankinga Yudaya) was appraised on 30th June 2023	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	l. A Senior Accountant, score 2 or else 0	The position of Senior Accountant was substantively filled. Kaitogo Joseph was appointed on promotion as Senior Accountant under minute no. 271 (c)/KDSC/04/2023. The Senior Accountant was (Kaitogo Joseph) was appraised on 30th June 2023	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0	The position of Principal Internal Auditor was substantively filled. Kyalisiime Job was appointed on transfer of service from Kibaale District to Kakumiro District as Principal Internal Auditor under minute no. KDSC/03/FEB/2017/06. The Principal Internal Auditor (Kyalisiime Job) was appraised on 30th June 2023	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0	The position of Principal Human Resource Officer (Secretary DSC) was vacant. Muhumuza Yesero who was appointed as Human Resource Officer under minute no. 26/KDSC/JUNE/2017 was assigned duties to act as PHRO (Secretary DSC) by CAO in a letter dated 20th July 2020. The Acting PHRO (Muhumuza Yesero) was appraised on 30th June 2023	0
2	New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG Maximum score is 15	a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal	There was evidence that Kakumiro district had not substantively appointed Senior Assistant Secretaries (in all sub-counties in the district) and Town Clerk (Town Councils). Only 12 out of 24 were substantively appointed, the rest were in acting capacity. 1. Buletwenda Businge Tadeo was appointed	0

Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).

on promotion as Principal Township Officer in Kibaale under DSC minute no. 85 (c)/2015

2. Muhimbo Edward Lubega was appointed on probation as Senior Assistant Secretary under minute no. 67/KDSC/03/2019

3. Nanyonga Mugenyi Teddy was appointed on promotion and transfer within service as Senior assistant Secretary under minute no.42/KDSC/05/2018

4. Waswa Julius was appointed on promotion and transfer within service as Senior assistant Secretary under minute no.42/KDSC/05/2018

5. Namukisa Juliet was appointed on promotion and transfer within service as Senior assistant Secretary under minute no.42/KDSC/05/2018

6. Ssebuliba Elineo was appointed on promotion and transfer within service as Senior assistant Secretary under minute no.42/KDSC/05/2018

7. Sebukeera Fred was appointed on promotion and transfer within service as Senior assistant Secretary under minute no.42/KDSC/05/2018

8. Nyakato Winifred was appointed on probation as Senior Assistant Secretary under minute no. 67/KDSC/03/2019

9. Busobozi Edward was appointed on probation as Senior Assistant Chief Administrative Officer under minute no. 24/(j) 2/KDSC/01/2019

10. Kushemererwa Hope Magezi was appointed on probation as Senior Assistant Chief Administrative Officer under minute no. 241 (j)1/KDSC/01/2023

11. Yombo Raphael was appointed on probation as Senior Assistant Secretary under minute no. 67/KDSC/03/2019

12. Sekate Moses was appointed on transfer of service from Mpigi district to Kakumiro district as Senior Assistant Secretary under minute no. KDSC/06/1/2018

13. Bagumba Elimizidus was appointed on transfer within service as a Senior Assistant Town Clerk under minute no.26/KDSC/JUNE/2017

14. Byaruhanga Julius was appointed on probation as Parish Chief under minute no. 67/KDSC/03/2019. He was assigned acting duties as Senior Assistant Secretary by CAO in a letter dated 22nd September 2021

15. Kigongo Estakio was appointed on probation as Parish Chief under DSC minute 41/2011 under Kibaale. He was assigned acting duties as Sub-County Chief by CAO in a letter dated 24th June 2016

16. Tusiime Mary was appointed as Parish

Chief in Kibaale under DSC minute no. 34/2009. He was assigned duties as Senior Assistant Secretary by CAO in a letter dated 20th July 2020

17. Biijampora Moses was appointed on probation as Parish Chief under DSC minute no. 135/2006 in Kibaale district. He was assigned duties to act as Senior Assistant Secretary in a letter dated 6th October 2020

18. Murungi Justus was appointed on probation as Senior Assistant Town Clerk under minute no. 211 (b)/3/KDSC/06/2022. He was assigned duties to act as Town Clerk by CAO in a letter dated 10th February 2023

19. Lawi Monday Edson was appointed as Assistant Town Clerk under minute no.211 (b)/3/KDSC/o6/2022. He was assigned duties to act as Town Clerk in a letter dated 10th February 2023

20. Busirikirwa Zuriya was appointed on probation as Assistant Town Clerk under minute no.211 (b)/3/KDSC/o6/2022. He was assigned duties to act as Town Clerk in a letter dated 10th February 2023

21. Bahemuka Robert was appointed on promotion as Assistant Town Clerk. Under minute no. 16.1 (e)/KDSC/08/2020. He was assigned duties to act as Principal Township Officer by CAO in a letter dated 25th January 2023

22. Mukisa Eugene was appointed on transfer of service from Kibaale to Kakumiro as Parish Chief under minute no. 76/KDSC/03/2019. He was assigned duties to act as Senior Assistant Secretary by CAO in a letter dated 29th June 2023

2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

There was evidence that Kakumiro district had not substantively appointed Community Development Officer or Senior CDO in all the sub-counties or Town Councils:

1. Mbabazi Judith was appointed on transfer within service as a Community Development Officer under minute no. 73/KDSC/01/2020
2. Kanya Benjamin was appointed on probation as a Community Development Officer under minute no. 73/KDSC/01/2020
3. Kirungi Asuma was appointed on probation as a Community Development Officer under minute no. 73/KDSC/01/2020
4. Kyakurugaha Rogers was appointed on probation as a Community Development Officer under minute no. 73/KDSC/01/2020
5. Kyamunywa David was appointed on probation as a Community Development Officer under minute no. 73/KDSC/01/2020
6. Aliganyira Hassan Faisal was appointed on probation as a Community Development Officer under minute no. 26/KDSC/JUNE/2017
7. Kituyi Lydia was appointed on probation as a Community Development Officer under minute no. 26/KDSC/JUNE/2017
8. Kitone John was appointed on transfer of service from Kibaale district to Kakumiro district as A Community Development Officer under minute no. 85 (c) (1-107)/KDSC/05/2021
9. Serumaga Ronald was appointed on probation as Community Development Officer under minute no. 26/KDSC/JUNE/2017
10. Kobusinge Caroline was appointed as a Community Development Officer under minute 26/KDSC/JUNE/so17
11. Sebagere Rovious was appointed on transfer of service from Kibale district to kakumiro district as a Community Development Officer under minute no. KDSC/03/FEB/2017/34]

0

2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

There was evidence that Kakumiro district had recruited Senior Accounts Assistant or an Accounts Assistant in all LLGs under its jurisdiction

1. Nyangoma Scholar was appointed on promotion as Senior Accounts Assistant under minute no. 42/KDSC/05/2018
2. Kisakye Julius was appointed on promotion as Senior Accounts Assistant under minute no. 42/KDSC/05/2018
3. Kabusu Farouk was appointed on promotion as Senior Assistant Accountant under minute

5

no. 27 (c) 6/KDSC/04/2023

4. Rugaza Joab was appointed on probation as Assistant Accountant under minute no. 211 (a) 4/KDSC/06/2022

5. Namata Oliver was appointed on probation as Assistant Accountant under minute no. 211 (a) 1/KDSC/06/2022

6. Sebuuma Moses was appointed on probation as Assistant Accountant under minute no. 211 (a) 3/KDSC/06/2022

7. Kamanyire Elifazi was appointed on probation as Assistant Accountant under minute no. 211 (a) 2/KDSC/06/2022

8. Tusiime Moureen was appointed on probation as Assistant Accountant under minute 26.1 (c)/KDSC/08/2020

9. Mwine Enock was appointed on probation as Assistant Accountant under minute 26.1 (c)/KDSC/08/2020

10. Ssewante Umaru was appointed on probation as Assistant Accountant under minute 26.1 (c)/KDSC/08/2020

11. Nkuuna Augustine was appointed on probation as Assistant Accountant under minute 26.1 (c)/KDSC/08/2020

12. Kemigisa Justine was appointed on probation as Assistant Accountant under minute 26.1 (c)/KDSC/08/2020

13. Asimwe Patrick was appointed on promotion to position of Senior Accounts Assistant under minute no 10/2009 under Kibaale District

14. Sebukeera Ronald was appointed on promotion as Senior Accountant/Treasurer under DSC minute no. 271 (c) 2 /KDSC/04/2023

15. Biryabaho Leonard was appointed as Accounts Assistant under minute no. 67/KDSC/03/2019

16. Muhanuzi Isiah was appointed on promotion as Senior Assistant Accountant under minute no.271 (e) 3/KDSC/04/2023

17. Ahimbisibwe Rause Rose was appointed on promotion as Senior Assistant Accountant under minute no.271 (e) 5/KDSC/04/2023

18. Mukasa William was appointed on promotion as Senior Assistant Accountant under minute no.271 (e)/KDSC/04/2023

19. Mwebe Joachim was appointed on promotion as Senior Accounts Assistant under minute no. 42/KDSC/05/2018

20. Awoko George William was appointed on promotion as Senior Accounts Assistant under minute no. 08/KDSC/FEB/2017/2

21. Nalweiso Joan was appointed on probation as Accounts Assistant under DSC minute no. 46/2011 under Kibaale district

22. Semate Gyavira was redesignated as Senior Assistant Accountant under minute no. 94 (a) 8-14/KDLG/05/2021

23. Kyeyune Julius was redesignated as Senior Assistant Accountant under minute no. 94 (a) 1-7/KDLG/05/2021

24. Nyendwa Moses was redesignated as Senior Assistant Accountant under minute no. 94 (a) 8-14/KDLG/05/2021

Environment and Social Requirements

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY. Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to: a. Natural Resources department, score 2 or else 0	For Kakumiro LG Natural Resources Department, The amount allocated was UGX. 181,659,647, and released UGX. 181,659,647 for FY 2022/2023 (ABPR, page, 17). This was a ratio 100%.	2
3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY. Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to: b. Community Based Services department. score 2 or else 0.	For Kakumiro LG Community Based Services Department, The amount allocated for FY 2022/2023, was UGX.542, 611,495, and released UGX.542, 611,495. (ABPR, page, 17). This was a 100%.	2
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works. Maximum score is 12	a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0	There was evidence that the LG carried out Environmental, Social and Climate Change screening for all DDEG Projects and ESMPs including child protection plans developed prior to commencement of civil works. These were some of the project(s); Construction of Administration Block phase IV at district HQ (Ref No: KAKU/WRKS/22-23/0036). Contractor M/s Adrata Uganda Ltd. Contract Value 538,000,104,780 UGX. Screening was done by Environment officer and DCDO on 12/9/2022 Construction of Kisengwe water supply system in Kyebando village (Ref No: KAKU614/WRKS/22-23/00400). Contractor M/s Mbafakoki produce Enterprises. Contract Value 49,345,240 UGX. Screening was done by Environment officer and DCDO 5/10/2022	4

4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>	<p>b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),</p> <p>score 4 or 0</p>	<p>There was evidence that the LG carried out Environment and Social Impact Assessments (ESIAs/ESMPS) prior to commencement of civil works for project(s) implemented using the DDEG. Basing on the results of the screening, ESMP was a required safeguard document.</p> <p>Construction of Administration Block phase IV at district HQ (Ref No: KAKU/WRKS/22-23/0036). ESMP was costed at 10,200,000UGX, undertaken by Environment officer and DCDO on 21/9/2022</p> <p>Construction of Kisengwe water supply system in Kyebando village (Ref No: KAKU614/WRKS/22-23/00400). ESMP was costed at 900,000UGX, undertaken by Environment officer and DCDO on 19/10/2022</p>	4
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4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>	<p>c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;</p> <p>score 4 or 0</p>	<p>There was evidence that the LG costed ESMPs for all project(s) implemented using the DDEG example;</p> <p>Construction of Administration Block phase IV at district HQ (Ref No: KAKU/WRKS/22-23/0036). ESMP was undertaken by Environment officer and DCDO on 21/9/2022 and mitigation costed at 10,200,000UGX</p> <p>Construction of Kisengwe water supply system in Kyebando village (Ref No: KAKU614/WRKS/22-23/00400). ESMP was undertaken by Environment officer and DCDO on 19/10/2022 and mitigation costed at 900,000UGX</p>	4
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Financial management and reporting

5	<p>Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.</p> <p>Maximum score is 10</p>	<p>If a LG has a clean audit opinion, score 10;</p> <p>If a LG has a qualified audit opinion, score 5</p> <p>If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0</p>	<p>Evidence showed the LG had an un-qualified audit opinion for FY 2022/2023.</p>	10
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6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p>	<p>The LG provided information to PS/ST on the status of the implementation of Internal Auditor General and Auditor General's findings for FY 2021/2022. The report on submission dated 21st February, signed by the CAO Jorum Ssali Sekitoleko was received by the PS/ST on 23rd February 2023. The submissions were also received by the Accountant General and Internal Auditor General on 23rd February 2023. The report contained actions taken on 4 recommendations against all findings FY 2021/2022 (pages, 1-55: items, 1.0- 6.0 1-47). The report was signed by the CAO Jorum Ssali Sekitoleko on 21st February 2023. The submission was made within the February end deadline.</p>	10
	<p>maximum score is 10</p>	<p>score 10 or else 0.</p>		
7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p>	<p>LG submitted an annual performance contract by August 31st of the current FY 2023/2024. Performance Contract for FY 2023/2024, signed by the Accounting Office (CAO) Mawejje Andrew, was submitted and acknowledged by PS/ST on 15th June 2023. This was before the deadline of 31st August.</p>	4
	<p>Maximum Score 4</p>	<p>score 4 or else 0.</p>		
8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p>	<p>The LG Performance Report for FY 2022/2023 was signed and submitted by the Accounting Officer (CAO) Mawejje Andrew on 28th August 2023. This was within the deadline of 31st August.</p>	4
	<p>maximum score 4 or else 0</p>	<p>score 4 or else 0.</p>		
9	<p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p>	<p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p>	<p>The LG Performance Quarterly Budget Performance Reports for all the four quarters of FY 2022/2023 were signed and submitted by the Accounting Officer (CAO) Mawejje Andrew on 28th August 2023. This was within the deadline of 31st August.</p>	4
	<p>Maximum score is 4</p>	<p>score 4 or else 0.</p>	<p>The submission dates were as follows.</p> <p>Quarter 1 report on 19/12/2022</p> <p>Quarter 2 report on 31/01/2023</p> <p>Quarter 3 report on 27/04/2023</p> <p>Quarter 4 report on 28/08/2023</p> <p>All the reports were submitted within the mandatory August 31 deadline.</p>	

**Education Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	The position of District Education Officer was vacant. Baleke William who was appointed substantively as Senior Education Officer under minute no.16.1 (c) /KDSC/08/2020 was assigned duty by CAO to act as DEO in a letter dated 1st July 2022. The Acting DEO (Baleke William) was appraised on 29th June 2023	0
<i>The Maximum Score of 70</i>				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	b) All District/Municipal Inspector of Schools, score 40 or else 0.	<p>All positions of District Inspectors of Schools were filled:</p> <ol style="list-style-type: none"> 1. Nsamba Wasswa Kizito was appointed on transfer within service as Inspector of Schools under minute no.200 (c) 3/KDSC/06/2022. Inspector of Schools (Nsamba Wasswa Kizito) was appraised on 30th June 2023 2. Kiiry Businge Edward was appointed on transfer within service as Inspector of Schools under minute no. 200 (c)/KDSC/08/2020. Inspector of Schools (Kiiry Businge Edward) was appraised on 28th June 2023 3. Namukisa Josephine was appointed on transfer within service as Inspector of Schools under minute no.200 (c) 2 /KDSC/06/2022. Inspector of Schools (Namukisa Josephine) was appraised on 30th June 2023 4. Sekayita Robert was appointed on transfer within service as Inspector of Schools under minute no.200 (c) 04/KDSC/06/2022. Inspector of Schools (Sekayita Robert) was appraised on 30th June 2023 5. Kyofuna Mary was appointed on promotion as Senior Inspector of Schools under minute no. 56/KDSC/09/2018. The Senior Inspector of Schools (Kyofuna Mary) was appraised on 30th June 2023 	40
<i>The Maximum Score of 70</i>				

Environment and Social Requirements

2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is 30

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

There were Environmental and Social and Climate Change Screening Forms for Education projects for the previous FY. examples include;

Construction of 2 classroom block with store, water tank at Kakumiro boys. (Ref No Kaku614/WRKS/22-23/00020). Contract Amount 94,948,464 UGX, Contractor M/S Mubende electrical Co Ltd. Screening forms were signed by the Environment officer and CDO on 29/9/2022

Construction of 2 classroom block at with an office and, store and water tank at Nalweyo SS (Ref No KAKU614/WRKS/22-23/00024). Contract Amount 94,602,789 UGX, Contractor M/S Risco General Investment. Screening forms were signed by the environment officer and CDO on 29/9/2022

Construction of seed secondary school at Christ the King, Mpasaana SC Ref No MOES/UgIFT/Wrks/21-22/00004) Contract Amount 3,450,254,215 UGX. Contractor M/S P&D Traders and contractors Ltd. Screening forms were signed by the Environment officer and CDO on 14/9/2022

2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is 30

If the LG carried out:

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

There was evidence that LG carried out Environment and Social Impact Assessments (ESIAs/ESMPs) for the following projects; Basing on screening results ESMP were required as a safeguard document;

Construction of 2 classroom block with store, water tank at Kakumiro boys. (Ref No Kaku614/WRKS/22-23/00020). ESMPs costed at 500,000UGX for storm water management, solid waste management costed at 1,500,000UGX, undertaken and were signed by the Environment officer and CDO on 24/10/2022

Construction of 2 classroom block at with an office and, store and water tank at Nalweyo SS (Ref No KAKU614/WRKS/22-23/00024). ESMPs costed at 2,500,000UGX for PPEs and storm water management at 4,00,000UGX, undertaken and were signed by the Environment officer and CDO on 7/10/2022

Construction of seed secondary school at Christ the King, Mpasaana SC Ref No MOES/UgIFT/Wrks/21-22/00004)ESMPs costed at 24,500,000UGX undertaken and were signed by the Environment officer and CDO on 26/9/2022

**Health Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.	The position of District Health Officer was substantively filled. Dr Sabiiti Edward was appointed on transfer of service from Bukwo District to Kakumiro District under minute no. 244/KDSC/11/2022. The DHO (Dr Sabiiti Edward) was appraised on 30th June 2023	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0	The position of Assistant District/Principal Health Officer Maternal, Child Health and Nursing was vacant. Mbabazi Assumpta who was substantively appointed on promotion as Senior Nursing Officer under minute no. 08/2013 was assigned duties to act as ADHO(MCH) by CAO in a letter dated 20th July 2020. The appraisal report for the Acting ADHO (MCH) - Mbabazi Assumpta was not provided	0
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	c. Assistant District Health Officer Environmental Health, score 10 or else 0.	The position of Assistant District Health Officer Environmental Health was substantively filled. Sakwa David was appointed on promotion as ADHO Environmental Health under minute no.311/KDSC/06/2023. The ADHO Environmental Health (Sakwa David) was appraised on 30th June 2023	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.	The position of Principal Health Inspector was vacant and there was no evidence of secondment from Central Government	0
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	e. Senior Health Educator, score 10 or else 0.	The position of Senior Health Educator was substantively filled. Senteza Robert Augustine was appointed on promotion as Senior Health Educator under minute no. 08/KDSC/FEB/2017/6	10

1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>The position of Biostatistician was substantively filled. Tumwiine Stephen was appointed on promotion as Biostatistician under minute no.67/KDSC/03/2019. The Biostatistician (Tumwiine Stephen) was appraised on 30th June 2023</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>The position of Cold Chain Technician was substantively filled. Magomu Christopher was appointed on probation as Cold Chain Technician under minute no. 67/KDSC/03/2019. The Cold Chain Technician (Magomu Christopher) was appraised on 30th June 2023</p>	10
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>i. Principal Health Inspector, score 20 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>j. Health Educator, score 20 or else 0</p>		

Environment and Social Requirements

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

There was evidence that the LG carried out Environmental, Social and Climate Change screening Forms for Health projects for the previous FY. examples include;

Upgrade of Mukoora HC II to III and construction of Kikwaya HC III under UgIFT (Ref No: MoH-UgIFT/Wrks/22-23/00001-Lot 22. Contractor M/S Extech Technical services Ltd. Contract amount 1,754,365,244 UGX. Screening forms were signed by Environment officer and CDO on 12/10/2022

Construction of 2-unit staff house at Kabulwa HC IV (Ref No: KAKU/WRKS/22-23/00033. Contractor M/S Daikam technologies Ltd Contract amount 169,777,971UGX Screening forms were signed by Environment officer and CDO on 11/10/2022

Construction of Kikwaya HC III under UgIFT (Ref No: MoH-UgIFT/Wrks/22-23/00001-Lot 22. Contractor M/S Extech Technical services. Screening forms were signed by Environment officer and CDO on 12/10/2022

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

There was evidence that the LG carried out Environment and Social Impact Assessments (ESIAs/ESMPs) for the following projects; basing on screening results ESMP were required as a safeguard documents for health projects for the previous year, these include;

Upgrade of Mukoora HC II to III under UgIFT (Ref No: MoH-UgIFT/Wrks/22-23/00001-Lot 22. ESMPs costed at Solid waste management 1,500,000UGX, First Aid/accidents at 4,000,000UGX undertaken and were signed by the environment officer and CDO on 19/10/2022

Construction of 2-unit staff house at Kabulwa HC IV (Ref No: KAKU/WRKS/22-23/00033. ESMPs costed for Cleaning and execution works at 1,500,000UGX First Aid/accidents at 2,500,000UGX undertaken and were signed by the environment officer and CDO on 29/10/2022

construction of Kikwaya HC III under UgIFT (Ref No: MoH-UgIFT/Wrks/22-23/00001-Lot 22. ESMPs costed for storm water management at 4,000,000UGX, hoarding of area at 500,000UGX undertaken and were signed by the environment officer and CDO on 27/10/2022

**Micro-scale Irrigation
Minimum Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p>	<p>If the LG has recruited;</p> <p>a. the Senior Agriculture Engineer</p> <p><i>score 70 or else 0.</i></p>	<p>The position of Senior Agriculture Engineer was substantively filled. Kwezi Joseph Kabuye was appointed on probation as Senior Agriculture Engineer under minute no. 170 (o)/KDSC/04/2022. The Senior Agriculture Engineer (Kwezi Joseph Kabuye) was appraised on 30th June 2023</p>	70
Environment and Social Requirements				
2	<p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p>	<p>If the LG:</p> <p>Carried out Environmental, Social and Climate Change screening</p> <p>score 30 or else 0.</p>	<p>There was evidence that the LG carried out Environmental and Social and Climate Change Screening Forms for Micro-scale Irrigation projects for the previous FY examples include;</p> <p>Supply of and installation of solar powered demo site for various sites and protective equipment's and data collection Ref No: Kaku614/Supls/22-23/00031. Contractor: Techford contractors and suppliers. contract amount 100,200,000UGX. Screening forms for Birembo seed school demo were signed by the Environment officer, PDO and CDO on 4/5/2022</p> <p>Supply of and installation of solar powered demo site for various sites and protective equipment's and data collection Ref No: Kaku614/Supls/22-23/00031. Contractor: Techford contractors and suppliers. contract amount 100,200,000UGX. Screening forms for Kyaligonza Lazmus were signed by the Environment officer, PDO and CDO on 4/5/2022</p> <p>Supply of and installation of solar powered demo site for various sites and protective equipment's and data collection Ref No: Kaku614/Supls/22-23/00031. Contractor: Techford contractors and suppliers. contract amount 100,200,000UGX. Screening forms for Kiringo demo at Bwanswa SC were signed by the Environment officer, DO and CDO on 4/5/2022</p>	30

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	The position of Civil Engineer (Water) was substantively filled. Isingoma Julius was appointed on promotion as Civil Engineer (Water) under minute no. 74 (c)/KDSC/01/2020. The Civil Engineer (Water) - Isingoma Julius was appraised on 28th June 2023	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	The position of Assistant Water Officer for mobilization was filled. Kituyi Lydia was assigned duties as Assistant Water Officer in charge of mobilization by CAO in a letter dated 29th November 2017. The Assistant Water Officer for Mobilization (Kituyi Lydia) was appraised on 30th June 2023	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	The position of Borehole Maintenance Technician was substantively filled. Matovu Azaliya was appointed on probation as Engineering Assistant / Borehole Technician under minute no.67/KDSC/03/2019. The Borehole Technician (Matovu Azaliya) was appraised on 30th June 2023	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	The position of Natural Resources Officer was vacant but the position of District Natural Resources Officer was filled	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	The position of Environment Officer was vacant and there was no evidence of secondment from Central Government	0

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.</p> <p><i>Maximum score is 70</i></p>	<p>f. Forestry Officer, score 10 or else 0.</p> <p>The position of Forestry Officer was substantively filled. Sebulime Patrick was appointed on probation was Forest Officer under minute no. 26/KDSC/JUNE/2017</p>	10
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Environment and Social Requirements

2	<p>Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects</p>	<p>If the LG:</p> <p>a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.</p> <p>There was evidence that the LG carried out Environmental and Social and Climate Change Screening Forms for water projects for the previous FY examples include;</p> <p>Drilling and Sitting of Boreholes in different parts of the various sub counties (Ref No Kaku614/WRKS/22-23/00037. Contract Amount 387,017,704. Contractor M/S KLR (U)Ltd. Screening forms e.g. for (Borehole at Kakindo TC and Rwego village were signed by the Environment officer and DCDO on 10/10/2022</p> <p>Construction of Mpasaana water supply system at Mpasaana TC (Ref No KAKU614/WRKS/22-23/00037. contract Amount 358,139,086UGX. Contractor M/S Extech Technical service. Screening forms for Kihohoro were signed by the Environment officer and CDO on 12/10/2022</p> <p>Construction of 5 stance VIP latrine lined at Rusolera, Kijanga SC (Ref No KAKU614/WRKS/22-23/00401. contract Amount 27,970,838UGX. Contractor M/S Mubtrust Ug Ltd. Screening forms were signed by the Environment officer and CDO on 12/10/2022</p>	10
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2

Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.

There was evidence that LG carried out Environment and Social Impact Assessments (ESIAs/ESMPs) for the following projects; Basing on screening results ESMP were required as a safeguard document;

Drilling and Sitting of Boreholes in different parts of the various sub counties (Ref No Kaku614/WRKS/22-23/00037. ESMPs costed for waste disposal at 500,00UGX, clearing of debris and excavation 300,00UGX, undertaken and were signed by the Environment officer and CDO on 13/10/2022

Construction of Mpasana water supply system at Mpasana TC (Ref No KAKU614/WRKS/22-23/00037. ESMPs costed for Clearing site at 1,000,000UGX, waste disposal at 100,000UGX. undertaken and were signed by the Environment officer and CDO on 19/10/2022

Construction of 5 stance VIP latrine lined at Rusolera, Kijanga SC (Ref No KAKU614/WRKS/22-23/00401.ESMPs costed for waste disposal at 400,000UGX Clearing site at 300,000UGX, undertaken and were signed by the Environment officer and CDO on 19/10/2022

2

Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.

There were no copies of abstraction permits seen at the LG water department that were obtained from DWRM for piped water supply systems.

Therefore, a score of zero (0) was entered.

0