



LGMSD 2022/23

Kaberamaido
District

(Vote Code: 514)

Assessment	Scores
Crosscutting Minimum Conditions	56%
Education Minimum Conditions	70%
Health Minimum Conditions	80%
Water & Environment Minimum Conditions	65%
Micro-scale Irrigation Minimum Conditions	100%
Crosscutting Performance Measures	79%
Educational Performance Measures	86%
Health Performance Measures	82%
Water & Environment Performance Measures	79%
Micro-scale Irrigation Performance Measures	52%

**Crosscutting
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): If so: Score 4 or else 0 	<p>The LG implemented one project that was funded by DDEG during FY 2022/2023. Phase One, Part Tiling of Kaberamaido District Administration Block. The first phase was completed as planned with a budget of shs 19,000,000 as per page 9 of the district approved budget for FY 2022/2023. The administration block was visited on 31st October, 2023 and the project was found to be in existence and utilised for the purpose intended.</p>	4
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> By more than 5%, score 3 1 to 5% increase, score 2 If no increase, score 0 <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>In 2022, the average score in the overall LLG performance assessment was 41% and in 2023, the average score in the overall LLG performance assessment was 60%, giving a variance of 19%</p>	3
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> If 100% the projects were completed : Score 3 If 80-99%: Score 2 If below 80%: 0 	<p>Phase One, Part Tiling of Kaberamaido District Administration Block was budgeted for shs 19,000,000 out of which shs 18,002,500 was spent by closure of FY 2022/2023. This was equivalent to 95% performance as reflected in the Annual Performance Report, draft final accounts of the DLG. District AWP page 42.</p>	2

3	Investment Performance Maximum 4 points on this performance measure	a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines: Score 2 or else score 0.	The LG implemented one project that was funded by DDEG during FY 2022/2023. Phase One, Part Tiling of Kaberamaido Administration Block. The first phase was completed as planned with a budget of shs 19,000,000 as per page 9 of the district approved budget for FY 2022/2023. DDEG reference 148272 ADMINISTRATION. The Annual Performance Report page 20 and draft final accounts page 17 of the district reflected total expenditure on the project as shs 18,002, 500 equivalent to 95% performance.	2
3	Investment Performance Maximum 4 points on this performance measure	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates, score 2 or else score 0	There was a percentage contract price variation of -1.17% for the FY 2022/2023 DDEG funded project From the works department, the LG had only one DDEG funded project and from the contract files, the contract price for the Phased/ part tiling of kaberamaido administration block at kaberamaido district headquarters was 18,950,000 and the Engineers estimated cost was 19,173,500 representing a percentage change of -1.17% that is within -+20% .	2

Performance Reporting and Performance Improvement

4	Accuracy of reported information Maximum 4 points on this Performance Measure	a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate, score 2 or else score 0	There was evidence that information on the positions filled in LLGs as per minimum staffing standards was accurate. 3 LLGs were sampled and below were the findings; 1. In Ochero TC, the approved structure provided for the position of Town Clerk and as per the staff list, Apio Agnes was the Ag. Town Clerk. 2. In Oriam SC, the approved structure provided for the position of SACAO and as per the staff list, Mr. Eyamu Calvin was the SACAO. 3. In Okile SC, the approved structure catered for the position of SACAO and Mr. Opio Anthony was working as SACAO as per the staff List	2
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4 Accuracy of reported information
Maximum 4 points on this Performance Measure

b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:

- If 100 % in place: Score 2, else score 0.

Note: if there are no reports produced to review: Score 0

There was evidence that infrastructure constructed using the DDEG was in place as per reports produced by the LG. The project funded by the DDEG was only one under Phase One and budgeted at shs 19,000,000 out of which 95% was spent during FY 2022/2023. Th DDEG monitoring report dated 10th July, 2023 submitted to the CAO by the District Planner provided all the information and status of the project. In addition, page 4 of the Annual Performance Report (4th QBPR in FY 2022/2023) page 10 provided similar information. Page 28 of the draft final accounts for FY 2022/2023 reported on the status of the DDEG funded project.

2

5 N23_Reporting and Performance Improvement
Maximum 8 points on this Performance Measure

a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;

The LG did not conduct a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise. Two sub counties (Kobulubulu Sc and Okile Sc) had deviations greater than +/-10%, as indicated in the matrix below.

SUB COUNTY	VARIANCE	LG SCORE	COMMENT	IVA SCORE
Okile sc	16	33	NOT CREDIBLE	49
Oriamo sc	2	77	CREDIBLE	79
Ochero TC	1	54	CREDIBLE	55
Kobulubulu Sc	-13	70	NOT CREDIBLE	57

If there is no difference in the assessment results of the LG and national assessment in all LLGs
score 4 or else 0

NB: The Source is the OPAMS Data Generated by OPM.

0

5 N23_Reporting and Performance Improvement
Maximum 8 points on this Performance Measure

b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.

There was no evidence that the District developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment result and the District Planner who was the coordinator of internal assessment never gave any reason for that

Score: 2 or else score 0

0

5	N23_Reporting and Performance Improvement Maximum 8 points on this Performance Measure	c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY: Score 2 or else score 0	There was no evidence that the District implemented the PIP for the 30 % lowest performing LLGs in the previous since they had not been development.	0
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Human Resource Management and Development

6	Budgeting for and actual recruitment and deployment of staff Maximum 2 points on this Performance Measure	a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED. Score 2 or else score 0	There was evidence that the LG consolidated and submitted the staffing requirements for the coming FY 2023/24 to the MoPS by 30th September of current FY. Evidence obtained from Delivery Book revealed that the recruitment plan and request for funds for recruitment dated 26th September 2022 worth 10,150,601,988/= was submitted to PS and received on 30/9/2022	2
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7	Performance management Maximum 5 points on this Performance Measure	a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI): Score 2 or else score 0	There was evidence that the LG conducted tracking and analysis of staff attendance. Evidence was obtained from staff attendance register and monthly attendance analysis. For example, <ul style="list-style-type: none"> • On 22/02/2023, Opio Robert- Senior Community Development Officer reported for duty at 7:23am. • Secondly, attendance analysis was being done by HRM on a monthly basis. According to the month of February 2023, Omoding Charles – Snr. Assistant Accountant was present for 8 days out of 19 days in the month scoring 42% 	2
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7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p>	<p>Evidence obtained from staff files (performance agreements & Appraisal forms) revealed that the LG did not conduct appraisal following the guidelines issued by MoPS.</p> <p>It was discovered that Some HODs were appraised while others not. Secondly, some HODs were appraised beyond the recommended timeframe as shown below;</p> <ol style="list-style-type: none"> 1. Omwanet John Bosco – PHRM wasn't appraised 2. Odela Nelson – DCDO – not appraised 3. Elyebu Richard – DEO not appraised 4. Ojur Francis – CFO was appraised by CAO on 31/07/2023 5. Magambo Mathias- DNRO was appraised by CAO on 10/7/2023 6. Dr. Odongo James – DHO appraised by CAO on 20/6/2023 7. Elomunait David – Civil Engineer Water was appraised by CAO on 29/6/2023 8. Egaja Joseph – DPO was appraised on 30/6/2023 	0
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to “a” above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>The LG implemented administrative rewards and sanctions. The rewards and sanctions Committee was established and composed of six members as indicated below;</p> <ol style="list-style-type: none"> 1.Ojur Francis – CAO 2. Omwanet John Bosco – PHRM 3. Odongo James – Ag. DHO 4. Ejotu Rogers – Snr.Labour Officer 5. Elyebu Richard – Ag. DEO 6. Ejoku Herman – Ag. DCAO <p>The committee held a meeting on 12/10/2022 in the council hall from 11:00am to 3:40pm to handle disciplinary cases under Min No. 3/10/2022 involving Ms. Achen Dhorine Cissy who was being accused of extorting money from patients.</p>	1
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>The LG did not have evidence of an established and functional Consultative Committee. According to HR, they lacked guidance on its composition.</p>	0

Payroll management
Maximum 1 point on
this Performance
Measure or else score 0

a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:

Score 1.

There was evidence that 100% of staff recruited in the previous FY accessed payroll not later than two months after appointment. The LG recruited 16 staff members as indicated in their various categories below;

- Veterinary Officer (1)
- Education Assistant II (13)
- Education Officer - Secondary (1) and
- Head Teacher - Secondary (1)

For example;

1. Emaru Joseph (Education Officer - Secondary) joined on 01/01/2023 and accessed payroll in February 2023.

2. Mugabe Micheal (Head Teacher-Secondary) was recruited on 01/03/2023 and accessed payroll in April 2023.

3. Oyoma Edmond (Vet. Officer) appointed on 1/06/2023 and accessed payroll in the same month

4. The 13 Educ. Asst II were all appointed on 01/06/2023 and accessed salary payroll in June 2023. They included Apio Stella, Mpimpini Nicholas, Aumo Jennifer, Ajaruva Justine, Atigo Magret, Elweu moris, Ajuno Christine, Apio Agnes, Alapo Ritah, Ongwara Daniel, Akello Monica, Abeku Richard and Abongi Alfred

Pension Payroll management

Maximum 1 point on this Performance Measure or else score 0

a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:

Score 1.

There was evidence that 100% of staff that retired during 2022/2023 all accessed pension payroll not later than two months after retirement. The LG retired 17 staff as indicated below;

1. Odongo Charles – Education Assistant II retired on 23/03/2023 and accessed pension payroll in April 2023
2. Anyinge Engulu Margaret – Nursing Officer retired on 21/07/2022 and accessed pension payroll in August 2022
3. Atanyu Odiako Simon (Educ. Asst.II) retired 14/12/2022 and got onto payroll in December 2022
4. Ojuka Charles (Educ.Asst II) retired on 3/11/2022 and accessed payroll in December 2022
5. Olila Richard (Head Teacher) retired on 9/10/2022 and accessed payroll in November 2022
6. Elimu Peter (Head Teacher) retired on 11/10/2022 and accessed payroll in December 2022
7. Ekalam Eugen (Educ. Asst II) retired on 1/12/2022 and accessed payroll in December 2022.
8. Acup Robin Benson (Head Teacher) retired on 12/12/2022 and accessed pension in December 2022
9. Acot John (Educ. Asst II) retired on 7/10/2022 and accessed pension payroll in November 2022
10. Okello Stephen (Head Teacher) retired on 5/03/2023 and accessed pension payroll in March 2023
11. Enumu James Patrick (Head Teacher) retired on 9/9/2022 and accessed pension in October 2022
12. Beinomugisha Consolata (Deputy HT) retired on 25/12/2022 and accessed pension in January 2023
13. Obete Moses (Educ. Asst II) retired on 10/03/2023 and accessed pension in March 2023
14. Okello Elapu Charles (Snr. Asst. Accountant) retired on 03/1/2023 and accessed pension in February 2023
15. Epongu John (Educ.Asst II) retired 25/3/2023 accessed pension in May 2023
16. Eloru Peter (Educ. Asst II) retired on 13/1/2023 and accessed pension in march 2023
17. Atepo Tom (Asst. Instructor) retired 12/3/2023 and accessed pension in April 2023

Management, Monitoring and Supervision of Services.

10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery Maximum 6 points on this Performance Measure	a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY: Score 2 or else score 0	The DLG received DDEG funds amounting to shs 152,199,219 in total as transferrable to LLGs based on guidelines during FY 2022/2023. The total amount that was transferred to LLGs was shs 152,199,219. The transfer of DDEG funds during FY 2022/2023 was effected in quarters two and three. There was no allocation of DDEG for the district in quarter one and four. Two examples of transfers of the DDEG funds to LLGs were selected and detailed as provided below. (i) In quarter two, shs 5,428,552 was transferred to Alwa sub county per payment voucher number b1757143 dated 1st November, 2022. (ii) In quarter three, shs 20,716,530 was transferred to Kabaramaido sub county as per payment voucher number 3159688 dated 19th January, 2023. (iii) In quarter three, shs 3,245,048 was transferred to Oriamo sub county as per payment voucher number 3165675 dated 19th January, 2023. The overall total transferable was shs 152,199,219 and the above are examples of LLGs that received their respective allocations in quarter two and quarter three. Many other vouchers were seen but could not all be used listed here because they were many of them. The above should suffice as selected examples.	2
10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery Maximum 6 points on this Performance Measure	b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget: Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED). Score: 2 or else score 0	In Q1 & Q4 the LG did not access funding during FY 2022/2023. However, in Q2, communication from the PSST on cash limits was received on 5/10/2022, warranting was done on 10/10/2022, invoicing was done on 13/10/2022, transfer of funds and communication to LLGs was done on 13/10/2022. In Q3 communication on cash limits from PSST was done on 30/12/2022, warranting 6/01/2023, invoicing was done on 18/01/2023, Transfer of funds and communication to LLG was done on 18/01/2023.	2

10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery	c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:	There was evidence that the LG invoiced and communicated all DDEG transfers for 2022/2023 to LLGs within 5 working days from the date of funds release in each quarter.	0
	Maximum 6 points on this Performance Measure	Score 2 or else score 0	The LG CAO wrote to all SACAO's and Town Clerks on 13th October 2022 notifying them about Q2 DDEG Releases totalling to 50,733,073 but the actual date of transfer to LLGs was 21st October 2022.	
			He (CAO) again wrote to them on 18th January 2023 informing them about Q3 DDEG Release totalling to 101,466,147 but actual date of transfer to LLGs was 18th January 2023.	
			As noted above, Q2 release and transfer of DDEG funds to LLGs didn't conform to the required 5 working days.	
11	Routine oversight and monitoring	a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:	The District supervised and mentored all LLGs in the District at least once per quarter consistent with guidelines: The activity was done on quarterly basis, various issue discussed and reports accordingly produced.	2
	Maximum 4 points on this Performance Measure	Score 2 or else score 0	In quarter one, mentoring and supervision report was produced on 5th October, 2022. Issues discussed included planning and budgeting in a LG. In quarter two, the report was produced on 20th December, 2022 and issues discussed covered review of the district development plan. In quarter three, the report was produced on 28th February, 2023 and covered PDM issues. In quarter four, the report was produced on 30th June, 2023 and covered PDM issues as well as the District Development Plan.	

11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>	<p>The results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District to make recommendations for corrective actions and followed-up:</p> <p>The quarter one report was discussed by the TPC in a meeting held on 30th August, 2022 under minute reference 6/August/DTPC/2022.</p> <p>The quarter two report was discussed by the TPC in a meeting held on 10th November, 2022 under minute reference 6/NOV/2023.</p> <p>The quarter three report was discussed by the TPC in a meeting held on 19th January, 2023 under minute reference 7/3/2023.</p> <p>The quarter-four report was discussed by the TPC in a meeting held on March 31, 2023, under minute reference 6/3/2023. Issues discussed in the meetings indicated above were used to follow up on the recommendations made and corrective actions taken. For example, follow up and implementation of PDM modalities in all the district parishes; planning and budgeting in LGs etc.</p>	2
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Investment Management

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</p>	<p>The district maintains an updated assets register covering details on buildings, vehicles, furniture, motor cycles etc using the IFMS system and the assets register was up dated as required. The computerised register complied with the instructions of the IAG in MOFPED as well as the format. Assets were tagged with specific reference numbers as well as details of the assets cost, location, year of acquisition and value.</p> <p>For example: (i) Yamaha motor registration number UG 1063s valued at shs 3,000,000 that was grounded. (ii) Soar system valued at shs 4,000,0000 for the Health Department of Kaberamaido Hospital purchased on 20th September, 2010.</p>	2
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>The Board of Survey Report for FY 2021/2022 was in place.</p> <p>Recommendations from the Board of Survey for FY 2021/2022 had not been fully executed as required. Example; Titling of the district land, training of staff on assets management etc.</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>There was a Physical Planning Committee that was mandated to meet on quarterly basis. However, records indicated that the Committee did not meet on quarterly basis as required. There was no meeting held by the Committee in quarter one, three and four during FY 2022/2023. In quarter two, the Committee met on 17th November, 2022. There was no evidence that minutes of the Physical Planning Committee were submitted to MLHUD.</p> <p>Members of the Physical Planning Committee were appointed by the CAO vide letter dated 7th July, 2019:</p> <p>Kasadha John Stephen -CAO and chair person.</p> <p>Erongu Charles Physical Planner;</p> <p>Enangu Charles DRE</p> <p>Elomunait David DWE</p> <p>Etwomu Sam DAE</p> <p>Elyebu Richard DEO</p> <p>Odela Nelson DCDO</p> <p>Ocen Gregory DHO</p> <p>Areto Dorcus District Environment Officer</p> <p>Magambo Mathias DNRO.</p> <p>There was no Physical Development Plan in place;</p> <p>The Building Plan Registration Book was in place and up dated to 15th October, 2023.</p>	0

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d.For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>The district implemented one project funded by DDEG during FY 2022/2023. The project name was Rehabilitation of the District Administration Block Phase 1 budgeted at shs 19,000,000 as detailed on page 09 of the district approved budget for FY 2022/2023. The project was captured on page 102 of the DDP III under the heading of "Improved Infrastructure, ICT and Human Resource facilities". The project was captured on page 09 of the AWP for FY 2022/2023. The desk appraisal report was dated 4th June, 2022 where as the field appraisal report was dated 1st July, 2022.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>The LG conducted the appraisal reports as follows:</p> <p>Field report dated 1st July, 2022 and desk report dated 4th June, 2022. Environmental issues were adequately covered as there was only one project under DDEG. The technical feasibility, environmental and social acceptability as well as customised design for investments were adequately addressed.</p> <p>The district implemented one project funded by DDEG during FY 2023/2024. The project name was Rehabilitation of the District Administration Block Phase 02 which was budgeted at shs 270,000,000 as detailed on page 09 of the district approved budget for FY 2023/2024. The project was captured on page 102 of the DDP III under the heading of "Improved Infrastructure, ICT and Human Resource facilities". The project was captured on page 10 of the AWP for FY 2023/2024. The desk appraisal report was dated 4th June, 2022 where as the field appraisal report was dated 1st July,2022.</p> <p>The project profiles with costing were developed and discussed by the TPC in a meeting held on 14th June, 2023 under minute reference 4/JUNE/DTPC/2023.</p>	2

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>The LG conducted the appraisal reports as follows:</p> <p>Field report dated 1st July, 2022 and desk report dated 4th June, 2022. Environmental issues were adequately covered as there was only one project under DDEG.</p> <p>The district implemented one project funded by DDEG during FY 2023/2024. The project name was Rehabilitation of the District Administration Block Phase 02 which was budgeted at shs 270,000,000 as detailed on page 09 of the district approved budget for FY 2023/2024. The project was captured on page 102 of the DDP III under the heading of "Improved Infrastructure, ICT and Human Resource facilities". The project was captured on page 10 of the AWP for FY 2023/2024. The desk appraisal report was dated 4th June, 2022 where as the field appraisal report was dated 1st July,2022.</p> <p>The project profiles with costing were developed and discussed by the TPC in a meeting held on 14th June, 2023 under minute reference 4/JUNE/DTPC/2023.</p>	1
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>LG had screened for environmental and social risks/impact and put mitigation measures were required before being approved for construction using checklists for current FY (2023/2024) evidenced by the following screening form by the EO & DCDO;</p> <ul style="list-style-type: none"> • Renovation of the main Administration Block at Kaberamaido Technical Institute on 11/10/2023 • Maintenance of a 2 classroom block at Kaberamaido Township P/S on 11/10/2023 • Phased construction of the maternity ward at Kaburepoli HC II on 02/10/2023 	2
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>There was evidence that Kaberamaido DLG incorporated all FY 2023/2024 infrastructure projects to be implemented using the DDEG in the LG approved procurement plan approved on 10thOct 2023 by the CAO as indicated on page 4 and 3 of the procurement plan. Such projects include; opening of a 6km road in Ochero Sub county at 15,000,000, Construction of the placenta pit at Abilabira HC II at 15,000,000, Construction of the placenta pit at Kaburepoli HC II at 15,000,000 and construction of a sub county administration natural power in a Iwa Sub county.</p>	1

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0	The infrastructure projects for the Fy 2023/2024 had not been approved by the contract committee at the time of assessment	0
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines: Score 1 or else 0	The LG did not properly established the project implementation team for the FY 2022/2023 DDEG funded project (phased/part tiling of kaberamaido administration block) as per page 12 of the 2021/2022 DDEG sector guidelines as no clerk of works was appointed on the team. The CAO as per the appointment letters dated 26th/07/2022 appointed Ewayu Francisas the project manager, Odela Nelson as CDO, Ejotu Rogers as labour officer, Areto Dorcus as the Environment officer and no clerk of works was appointed.	0
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer: Score 1 or else score 0	The project implemented under DDEG in the Fy 2022/2023 was a phased/part tiling of kaberamaido administration block at kaberamaido district headquarters and there were no observed defects on the tiled floor, tiles were well a lined, levelled, dimensions of the joints are regular, joints are not splotchy and not stained.	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0	The LG relevant technical officers provided supervision of each infrastructure project prior to verification and certification of works as evidenced below; <ul style="list-style-type: none"> E&S Certificate for the construction of a 2 classroom block with office at Awelu primary school in Alwa Sub county dated 12th/01/2023 and signed by DCDO Mr. Odela Nelson, Environment officer Areto Dorcus and District Engineer Ewayu Francis. E&S Certificate for the construction of a 2 classroom block with office at Bira primary school in Ochero Sub county dated 17th/05/2023 and signed by DCDO Mr. Odela Nelson, Environment officer Areto Dorcus and District Engineer Ewayu Francis. Completion certificate for the construction of a 2 classroom block with office at Bira primary school in Ochero Sub county dated 24th/06/2023 and signed by DCDO Mr. Odela Nelson, Environment officer Areto Dorcus and District Engineer Mr.Ewayu Francis. 	2

- Completion certificate for the construction of a 2 classroom block with office at Awelu primary school in Alwa Sub county dated 20th/06/2023 and signed by DCDO Mr. Odela Nelson, Environment officer Mr. Areto Dorcus and District Engineer Ewayu Francis.
- Completion certificate for the construction of a 2 classroom block with office at Bira primary school in Ochero Sub county dated 24th/06/2023 and signed by DCDO Mr. Odela Nelson, Environment officer Areto Dorcus and District Engineer Mr. Ewayu Francis.
- Completion certificate for the phased tiling of the administration block at the district headquarters dated 20th/6/2023 and signed by DCDO Mr. Odela Nelson, Environment officer Areto Dorcus and District Engineer Mr. Ewayu Francis.
- E&S compliance monitoring report for the construction of Awelu p/s 2 classroom block with office in Alwa sub county dated 23/06/2023 and signed by Environment officer, DCDO and District Engineer.
- E&S compliance monitoring report for the construction of Bira P/s 2 classroom block with office in Ochero sub county dated 17/04/2023 and signed by Environment officer, DCDO and District Engineer
- Awelu primary school site meeting held on 19/12/2022 and attended by DCDO Mr. Odela Nelson, Environment officer Mr. Areto Dorcus and District Engineer Ewayu Francis.
- Bira primary school site meeting held on 2/11/2022 and attended by DCDO Mr. Odela Nelson, Environment officer Mr. Areto Dorcus and District Engineer Ewayu Francis.

13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):</p> <p>Score 1 or else score 0</p>	<p>The LG verified works and initiated payments of contractors with in the specified time frame (2 months) as indicated below;</p> <ul style="list-style-type: none"> • For the Construction of the 2 classroom block with office at Awelu P/S in Alwa Sub county,the completion certificate was issued on 05/6/2023, payment request was made on 23rd/12/2022 and the payment certificate made on 23rd/12/2022 • For the Construction of the 2 classroom block with office at Bira P/S in Ocherero Sub county, the completion certificate was issued on 24th/4/2023, payment request was made on 20th/0o4/2023 and the payment certificate made on 24th/04/2023 • For the phased tiling of the administration block at the district head quarter, the completion certificate was issued on 20th/6/2023, payment request was made on 20th/o6/2023 and the payment certificate made on 20th/06/2023 	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law:</p> <p>Score 1 or else 0</p>	<p>In accordance with the PPDA guidelines, the LG Works Department possessed a comprehensive procurement file for the phased/part tiling of the Kaberamaido Administration Block at the Kaberamaido District Headquarters. The procurement file (KAB842/WKRS/2022/2023/00016) contained an evaluation report dated 03/Jan/2023 with Net Fix ICT solutions Ltd as the best bidder at 18,950,800, a works contract signed on 05/Jan/2023 and minutes of the contracts committee CC/MIN/07/04/04/01/2023/2022/2023-4(56) and dated 5/Jan/2023.</p>	1

Environment and Social Safeguards

14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.</p>	<p>LG had designated a person to coordinate response to feed-back (grievance / complaints) as evidenced by the appointment letter of Mr Ejotu Rogers the Probation and Welfare Officer by the CAO on 01/07/2020 with Reference Number CR/115/1</p> <p>The District had also established a Central Grievance Redress Committee as evidenced by the following appointment letters;</p> <ul style="list-style-type: none"> • Ag District Education Officer (Mr Elyebu Richard) – Chairperson on 01/07/2022 • DCDO (Mr Odela Nelson) – Member on 16/06/2022 • Civil Engineer-Water (Mr Elomunait David) – Member on 16/06/2022 • Probation and Welfare Officer -Grievance Focal Person (GFP) on 01/07/2020 • SACAO (Ms Aliano Jane)-Member on 01/07/2021 	2
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.</p>	<p>LG had a specified system for Recording. Investigating and responding to grievances as evidenced by the GRC Log Book dated “2022/2023 to date”</p>	2
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.</p>	<p>LG had a Grievance handling mechanism and reporting publicized on the district notice board by the Grievance Focal Person dated 03/07/2022</p>	1
		<p>If so: Score 1 or else 0</p>		

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0	Environment, Social and Climate change interventions were integrated into LG Development Plans as evidenced by item 2.37, page 36 and item 2.3.8, page 39 of the DDP III 2020/21-224/25 dated 04/2020	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management score 1 or else 0	LG had disseminated the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation to LLGs as evidenced by bullet 3 under the objectives of the 5 days support supervision report, page 5 dated 05/12/2022 from 28/11/2023-02/12/2022	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	(For investments financed from the DDEG other than health, education, water, and irrigation): c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary: score 3 or else score 0	LG had not incorporated costed Environment and Social Management Plan (ESMP) into the design. BoQs, bidding and contractual documents for the only DDEG infrastructure project for FY 2022/2023, other than health, education, water, and irrigation as required	0
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	d. Examples of projects with costing of the additional impact from climate change. Score 3 or else score 0	LG had an Example of project with costing of the additional impact from climate change as evidenced in the BoQs of the bidding document for the construction of 2 classroom block at Bira Primary School on the unspecified page number of the BoQ under Item J (Rain water harvesting) at 1,532,300/= with Procurement Reference Number: KABE842/WRKS/2022/2023/00006	3

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 1 or else score 0	Land title for Kaberamaido DLG, P.O.Box 94, Kaberamaido of 2.554 Hectares dated 14/09/2012, Plot 56, Block 5 at Abirabira, Aperikira for the Renovation of the main Administration Block in FY 2022/2023	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 1 or else score 0	LG EO and DCDO conducted monthly support supervision and monitoring as evidenced by the Monthly E&S Monitoring reports for the Renovation of the main Administration Block on; • 23/08/2022 • 05/01/2023 • 12/06/2023	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0	The EO and DCDO prepared and signed the E&S Certification Form for the only DDEG project prior to payment of contractor as evidenced below; • E&S Certification Form for the proposed Renovation of the main Administration Block by the DEO&DCDO on 13/06/2023	1

Financial management

16	LG makes monthly Bank reconciliations Maximum 2 points on this Performance Measure	a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment: Score 2 or else score 0	The DLG operated three bank accounts during FY 2022/2023. All the bank accounts were reconciled up to 30th September, 2023 and therefore up to date. For example, the General Fund Account number 01473500239046 with DFCU Dokolo branch had a reconciled bank balance of shs 174,436,989 as on 30th September, 2023.	2
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17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>The DLG produced the four quarterly internal audit reports for FY 2022/2023 as required. Quarter One report was produced on 2nd November, 2022 and submitted to the Speaker copied to PS MOLG, IAG, OAG, RDC, Chair person of the LGPAC. The report was acknowledged by IAG on 2nd August, 2023; CAO on 2nd November, 2022 and Clerk to Council on 2nd November, 2022. The report had 08 queries.</p> <p>Quarter Two report was produced on 17th February, 2023 and submitted to the Speaker copied to PS MOLG, IAG, OAG, RDC, Chair person of the LGPAC. The report was acknowledged by IAG on 2nd August, 2023; CAO on 17th February, 2023 and Clerk to Council on 17th February, 2023. The report had 14 queries.</p> <p>Quarter Three report was produced on 2nd, May, 2023 and submitted to the Speaker copied to PS MOLG, IAG, OAG, RDC, Chair person of the LGPAC. The report was acknowledged by IAG on 2nd August, 2023; CAO on 2nd May, 2023 and Clerk to Council on 2nd May, 2023. The report had 18 queries.</p> <p>Quarter Four report was produced on 2nd August, 2023 and submitted to the Speaker copied to PS MOLG, IAG, OAG, RDC, Chair person of the LGPAC. The report was acknowledged by IAG on 27th October, 2023; CAO on 2nd August, 2023 and Clerk to Council on 2nd August, 2023. The report had 21 queries.</p>
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17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>The DLG provided information to the Council/ Chairperson and the LG PAC on the status of implementation of internal audit findings for FY 2022/2023; information on follow-up on audit queries from all quarterly audit reports as per evidence provided below:</p> <p>Internal Auditor's letter to CAO dated 25th August, 2023 in respect of the recommendations and action required contained in the quarterly internal audit reports that was copied to chairperson LG PAC. A total of thirty two internal audit queries that were raised in FY 2022/ 2023; thirty queries had been cleared at the time of the assessment. The queries covered quarter one, two, three and four in FY 2022/2023.</p>
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17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>Internal audit reports for the previous FY 2022/2023 were submitted to LG Accounting Officer, LG PAC and the LGPAC reviewed them and followed up. The LG PAC was in place and functional. The committee met on a quarterly basis, for example, on April 27 and 28, 2023 where internal audit reports were discussed. The LGPAC submitted its reports to Council for action. For example, LGPAC met on 14th to 16th November, 2022. Issues reviewed by the LGPAC were followed up for example, un accounted for advances to staff totalling shs 34,890,000 in quarter two of FY 2022/2023 was recovered through payroll deductions. Engraving of DLG assets at district headquarters and LLGs was followed up during FY 2022/2023 as most assets were engraved. Update on functions of the School Management Committees in the district was done mostly in quarter four of FY 2022/2023.</p>	1
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Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>The DLG planned for collection of local revenue amounting to shs 174,088,255 as provided on page 35 of the district draft accounts for FY 2022/2023. The DLG realised shs 174,088,255 on closure of FY 2022/2023 as indicated on page 35 of the draft final accounts of the district. This was equivalent to 100% performance.</p>	2
19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>The DLG realised shs 174,088,255 on closure of FY 2022/2023 as indicated on page 35 of the draft final accounts of the district. In FY 2021/2022, the district realised shs 154,901,305 as per page 29 of the audited accounts of the district for FY 2021/2022. This was equivalent to 12.38%</p> <p>19,186,950 x 100 =12.38%</p> <p>154,901,305</p>	2

20	Local revenue administration, allocation, and transparency Maximum 2 points on this performance measure.	a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0	The local revenue amount transferrable to LLGs in FY 2022/2023 was shs 126,517,750 as per page 34 of draft final accounts for FY 2022/2023. There was a collection of shs 174,088,255 sharable local revenue to two town councils namely Kaberamaido TC and Oceru TC as per page 45 of the draft final accounts for FY 2022/2023. Details of the local revenues were provided on page 35 of the district draft accounts for FY 2022/2023. Examples of local revenue thaT was transferred to LLGs: (i) Shs 16,801,271 was transferred to Oceru sub county as per payment voucher number 1242799 dated 4th October, 2022 as the 65% share. (ii) Shs 379,600 was transferred to Okile sub county as per payment voucher number 2480059 dated 1st December, 2022 as the 65% share. (iii) Kaberamaido TC received shs 29,000,000 as per payment voucher number 2439358 dated 1st December, 2022 as the 100% share. The shared local revenue totalled to the 65% share as required.	2
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Transparency and Accountability

21	LG shares information with citizens Maximum 6 points on this Performance Measure	a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0	There was evidence on file that the procurement plan and awarded contracts and all amounts were published to citezens on 5/01/2023 as indicated on the notice of best evaluated bidder for the construction of a 2 classroom block with an office at Awelu P/s in Alwa sub county and at Bira P/s in Ocheru Sub county at contract sums of 99,799,916 and 96,069,482 respectively	2
21	LG shares information with citizens Maximum 6 points on this Performance Measure	b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0	The LG performance results for FY 2021/2022 were publicised as required on various notice boards at the district and lower local government's levels as per CAO's circular letter dated 28th September, 2023 under reference KDL/13/141. Website: Kaberamaido.go.ug dated 30th September, 2023.	2

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>Discussions were held in the district based on the CAO's circular letter of invitation dated 7th December, 2022. The circular letter invited the public to the baraza that was held at Kaberamaido TC on 15th December, 2022. RDC's circular letter dated 5th December, 2022 reference KMD/22 to provide feedback on status of activity implementation.</p> <p>The LG made publicly available information on i) tax rates as evidenced by the CAO's circular letter dated 3rd July, 2022, ii) There was CAO's circular letter dated 3rd July, 2022 under reference</p> <p>FI./224 on appeal procedures against tax assessment for FY 2022/2023</p> <p>collection procedures. Review of methods used to collect local revenue from communities and publicizing their performance based on information provided by leaders in LLGs.</p>	1
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>available information on i) tax rates as evidenced by the CAO's circular letter dated 3rd July, 2022, ii) There was CAO's circular letter dated 3rd July, 2022 under reference</p> <p>FI./224 on appeal procedures against tax assessment for FY 2022/2023</p> <p>collection procedures. The communication of tax procedures were pinned on notice boards both at the district head quarters and LLGs.</p>	1
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>There were no IGG issues in the DLG. Perusal of meetings held by Council on dates indicated below had no IGG issues reported.</p> <p>Meeting held on 16th November, 2022 and 20th December, 2022. Council meeting held on 31st March, 2023 and Council meeting held on 30th May, 2023.</p>	1

**Educational
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>The PLE pass rates increased by 4.3% between 2022 and 2020 school years</p> <p>2022 Div 1 were 51, Div 2 - 1008, Div 3 - 694 out of 2322.</p> <p>$1753/2322*100= 75.4\%$</p> <p>2020 1530 passed in Div 1,2, and 3 out of 2151 pupils which represented 71.1% pass rate</p> <p>The percentage increase in pass rate was $75.4 - 71.1=4.3\%$</p>	2
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>The percentage increase between 2022 and 2020 UCE results was 8.9%</p> <p>In 2022, 369 students passed Div 1,2 and 3 out of 637 students, accounting to 57.9%</p> <p>In 2020, 285 students passed in Div 1,2 and 3 out of 581 representing 49.0%</p> <p>Percentage increase $57.9-49.0=8.9\%$</p>	3

2	<p>N23_Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> • By more than 5%, score 2 • Between 1 and 5%, score 1 • No Improvement, score 0 <p>NB: If the previous average score was 95% and above, Score 2 for any increase.</p>	Not Applicable	0
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>The Education Development grant (Ugx 229,897M) was used to construct 4 classrooms and supply of desks for Bira primary school and Aweru primary schools. Project was completed.</p> <p>Ugx 300M was used for construction of a 4 classroom and 10 stances of latrines for Alwa Seed Secondary school. project was completed.</p>	2
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0</p>	<p>The DLG implemented three projects during FY 2022/2023. (i) Payment to Canpwanyi Construction Ltd shs 123,500,000 in respect of construction a two classroom block at Alwasi Seed Secondary School on payment voucher number 3158362 dated 19th January, 2023. The DEO initiated payment on 13th January, 2023. The DE, CDO,CFO, CAO Environment Officer signed the payment on the same date. (ii) Payment to Omodoi Company Ltd for shs 61,919,780 for construction of two class room block at Atibira Primary School on payment voucher number 2906206 dated 14th November, 2022. The DEO initiated payment on 14th November, 2022. The DE, CDO, CFO, CAO Environment Officer signed the payment on the same date. (iii) Payment to MI Romi General Supplies for shs 5,633,977 for repair of water supply system at the department on payment voucher number 5867361 dated 15th June, 2023. The DEO initiated payment on 8th June, 2023. The DE, CDO, CFO, CAO Environment Officer signed the payment on the same date.</p>	2

3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If the variations in the contract price are within +/-20% of the MoWT estimates score 2 or else score 0</p>	<p>The percentage contract variation for all the two education project contracts were within +/-20% as indicated below;</p> <ul style="list-style-type: none"> • Construction of a 2 classroom block with an office at Awelu p/s in A lwa sub county (Procurement ref no. KAB842/WKRS/2022/2023/00006) <p>Contract price = 96,069,482</p> <p>Estimated cost = 100,000,000</p> <p>Percentage change = -4.09%</p> <ul style="list-style-type: none"> • Construction of a 2 classroom block with an office at Bira p/s in Ochero sub county (Procurement ref no. KAB842/WKRS/2022/2023/00002) <p>Contract price = 99,799,916</p> <p>Estimated cost = 100,000,000</p> <p>Percentage change = -0.2%</p>	2
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY</p> <ul style="list-style-type: none"> • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0 	<p>There was no seed school project implemented in the Financial 2022/2023</p>	2
4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 - 79% score: 1 • Below 70% score 0 	<p>The data obtained from the approved staffing structure for schools and teachers list showed that the LG did not recruit Primary School Teachers as the prescribed MoES staffing guidelines.</p> <p>The approved number of required teachers was 825 but the LG managed to recruit only 564 teachers which translated to 68.36% and this was below the minimum.</p>	0

4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> • If above 70% and above score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 	<p>All the schools within the LG met the basic standards set out by DES , for example all the 45 PLE schools i.e. had 331, classrooms representing 7 classrooms per school with each school having at least a class for each learning group as required.</p> <p>The 45 schools, had 348 teacher accommodations representing a minimum of 7 staff accommodations for each school as required by DES.</p> <p>45 /45* 100 = 100%</p>	3
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Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>There was accurate deployment of teachers as indicated on the teacher’s deployment list and the school staff lists displayed in all the 3 sampled schools i.e., Kaberamaido primary school had 30 teachers, deployed and 30 teachers on its school staff list led by Eyoku Simon the Head teacher.</p> <p>With 16 teachers being male and 17 14 being female</p> <p>Kamuk parents primary school had 16 teachers, deployed, out of which 7 were female and 9 were male led by Eyanu Richard.</p> <p>Opiu primary school had 10 teachers deployed, out of which 5 were male and 5 female, led by Elizabeth Atubo.</p>	2
5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>The infrastructure recorded in Kaberamaido district local government primary school asset register were all found present in all the visited sampled schools.</p> <p>Kaberamaido primary school had 15 classrooms,8 teachers houses, 339 desks which were all found on ground.</p> <p>Opiu primary school had 10 classrooms, 10 permanent staff houses, 13 latrine stances, 514 desks.</p> <p>Kamuk parents primary school had 190 desks, 12 classrooms,20 latrine stances, 16 permanent teachers houses.</p>	2

School compliance and performance improvement:

Maximum 12 points on this performance measure

a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:

- If 100% school submission to LG, score: 4
- Between 80 - 99% score: 2
- Below 80% score 0

100% of schools submitted their annual school reports as evidenced from the required 3 sampled schools.

Kaberaimado primary school submitted its report on 6th January 2023. The report included a total school enrolment of 2066 learners, 30 staff and PLE performance.

Kamuk parents primary school submitted its report on 6th December, 2022. The report included a total school enrolment of 1402 learners with a staffing of 16 teachers and PLE results.

Opiu primary school submitted its report on 6th December, 2022. The report included a total school enrolment of 756 learners, with staffing of 10 teachers and PLE results.

Percentage submission;

$$3/3 * 100 = 100\%$$

School compliance and performance improvement:

Maximum 12 points on this performance measure

b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:

- If 50% score: 4
- Between 30- 49% score: 2
- Below 30% score 0

School Improvement plans were found in all the 3 sampled schools representing 100% i.e. Kaberaimado, Kamuk Parents and Opiu primary schools.

The school improvement plan of Kaberaimado included extending electricity power lines to classrooms and teacher's houses, borehole maintenance, fencing the school, construction of teachers houses among others.

Kamuk parents' school prioritized improving performance, construction of teachers houses, kitchen, tree planting.

Opiu prioritized improving performance, construction of additional latrine stances among others.

Percentage

$$3/3 * 100 = 100\%$$

6	School compliance and performance improvement: Maximum 12 points on this performance measure	c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year: • If 100% score: 4: • Between 90 - 99% score 2 • Below 90% score 0	The list of schools on PBS and OTIMS corresponded well with each having 45 UPE schools and 5 USE schools with was 100%. $50/50*100=100\%$	4
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Human Resource Management and Development

7	Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision Maximum 8 points on this performance measure	a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY: Score 4 or else, score: 0	The district budgeted Ugx 3,700,692,000 for teachers for 45 head teachers and 558 teachers in 45 primary schools of Kaberamaido DLG as per page 30 of the approved budget FY 2023/24.	4
7	Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision Maximum 8 points on this performance measure	b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY, Score 3 else score: 0	Teachers had been deployed as required by the sector guidelines with a head teacher and a minimum of 7 teachers per class for schools with classes reaching P.7 Kaberamaido primary school had a head teacher Mr Eyoku Simon and a total of other 29 teachers. Kamuk parents primary school had a teacher Mr Eyanu Richard and a total of 15 other teachers. Opiu primary school had an acting head teacher Ms Atubo Elizabeth and 9 other teachers	3
7	Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision Maximum 8 points on this performance measure	c) If teacher deployment data has been disseminated or publicized on LG and or school notice board, score: 1 else, score: 0	All the primary schools sampled namely, Kaberamaido Primary, Opiu and Kamuk Parents had staff lists displayed on their office walls dated 31st October ,2023, for Kaberamaido P/S and Opiu P/S dated 27th October,2023.	1

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copt to DEO/MEO</p> <p>Score: 2 or else, score: 0</p>	<p>The LG had 45 Primary School Head Teachers but there was no evidence that all were appraised. Ten (10) staff files were sampled for review and below were the findings;</p> <ol style="list-style-type: none"> 1. Abele Esther – Omarai P/S wasn't appraised 2. Achobo Hellen Beatrice – Abalang P/S was not appraised 3. Elwaru Cornelius – Kakado PS not appraised 4. Adoa Joseph – Awelu PS not appraised 5. Aceng Lillian – Kaburepoli PS not appraised 6. Opalakiro David – Doya PS not appraised 7. Eucho Moses – Oyama Eulu PS was appraised on 7/02/2023 8. Elyanu Francis – Alwa PS was appraised on 9/02/2023 9. Emong Moses – Katingi PS was appraised on 9/02/2023 10. Oluka Christopher – Abata PS was appraised on 23/12/2022 	0
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM</p> <p>Score: 2 or else, score: 0</p>	<p>The LG had 5 Secondary School Head Teachers but there was no evidence that performance appraisal was conducted and reports submitted to HRM by D/CAO/TC or Chair BOG.</p> <ol style="list-style-type: none"> 1. Mugabe Micheal – Kaberamaido SS 2. Atubo Francis – Alwa Seed SS 3. Edyelu Eunyuu – St. Thomas Girls 4. Adiamu Nancy – St. Paul SS 5. Ikala Sarah – Kobulubulu SS 	0
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p> <p>score: 2. Else, score: 0</p>	<p>There was no evidence that all Education Department staff were appraised against their performance plans.</p> <ol style="list-style-type: none"> 1. Esuku James – Education Officer-Special Needs not appraised 2. Alweyo Molley Monnicah – Education Officer – Guidance and Counselling not appraised 3. Ebinu Pius – Inspector of Schools-Not appraised 4. Alwato Everline – Office Typist was appraised on 30/6/2023 5. Egadu Musa – Office Attendant was appraised on 30/6/2023 	0
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>There was a training plan for the FY 2022/23 for the identified staff capacity building gaps within the Education department dated 1st July 2022. Some of the key trainings involved;</p> <ol style="list-style-type: none"> 1. Training of head teachers in School leadership and management <p>Training of Games and -Sports teachers</p>	2
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Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p>	<p>Since there were no errors, in the list of schools in the PBS there was no need to confirm the list of schools and their enrolment for Kaberamaido DLG.</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p>	<p>The LG budgeted Ugx 216,614,000 for inspection and monitoring for FY 2022/23 and spent Ugx 533, 089,000 on inspection and monitoring as per the Q4 report 2022/23FY, which was inline with the sector guidelines5</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score:2 else, score: 0</p>		
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p>	<p>In Q1 cash limits from PSST was received on 4/08/2022, warranting was done on 8/08/2022, invoicing, transfers to schools and communication to LLGs were done on 10/8/2022.</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score: 2 else score: 0</p>	<p>In Q2 cash limits from PSST was done 5/10/2022, warranting was done on 10/10/2022, invoicing, transfers to schools and communication to LLGs was done on 13/10/2022.</p>	
			<p>In Q3 cash limits from PSST was done 30/12/2022, warranting was done on 6/01/2023, invoicing, transfers to schools and communication to LLGs was done on 23/1/2023.</p>	
			<p>In Q4 cash limits from PSST was done 14/04/2023, warranting was done on 18/04/2023, invoicing, transfers to schools and communication to LLGs was done on 23/05/2023.</p>	

Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.

If 100% compliance, score: 2 else, score: 0

Kaberaimado DLG invoiced and the DEO communicated/publicized capitation releases to schools within three working days of release from MoFPED as per reference below: In quarter one, release of funds from MOFPED was done 8th August, 2022. Communication by DEO was done on 10th August, 2022 reference KDLG/EDUC/45/2022 as per circular letter that was verified at Awelu Primary School in Oceru sub county.

In quarter two, release of funds from MOFPED was done 10th October, 2022. Communication by DEO was done on 13th October, 2022 reference KDLG/EDUC/27/2022 as per circular letter that was verified at Biira Primary School in Alwa sub county.

In quarter three release of funds from MOFPED was done 6th January, 2023. Communication by DEO was done on 8th January, 2023 reference KDLG/EDUC/44/2023 as per circular letter that was verified at Atibira Primary School.

In quarter four, release of funds from MOFPED was done 18th April, 2023. Communication by DEO was done on 20th April, 2023 reference KDLG/EDUC/89A/2023 as per circular letter that was verified at ALWASI Seed School.

The DLG invoiced and the DEO communicated, publicized capitation releases to schools as required.

Routine oversight and monitoring

Maximum 10 points on this performance measure

a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.

• If 100% compliance, score: 2, else score: 0

Inspection file available;

Quarter 1 - 01/07/2022 to 30/09/2022

Quarter II - 01/10/2022 to 31/12/2022

Quarter III - 01/01/2023 to 30/03/2023

Quarter IV- 01/04/2023 to 30/06/2023

Inspection report submitted to DES submitted on 5th August 2022.

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> • If 100% score: 2 • Between 80 - 99% score 1 • Below 80%: score 0 	<p>The LG had an inspection plan for Term 3 2022, Term 1 2023, and Term 2 of 2023. Each covering 45 primary schools with a budget Ugx 32,703,000 signed by Mr Pius Ebiu, and the Head of Education department Mr Richard Elyebu.</p> <p>Meetings to plan for school inspections had been organized on 5th January, 2023 for term 1.</p> <p>On 11th June 2022, a pre-inspection school meeting was conducted by the District Inspectorate team.</p> <p>All the 45 schools had been inspected in the 3 school terms accounting to 100%.</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>There evidence of dissemination of findings arising from the inspection reports was available as evidenced in files dated 29/09/2022, 05/07,2021 and 04/01/2023.</p> <p>Recommendations shared with SMC for implementation and there are some successes registered.</p> <p>Some of the recommendationa included;</p> <ul style="list-style-type: none"> - Steaming of classes - Redeploying of teachers from upper primary classes to lower classes that had few teachers -Regular Supervision of teachers - Performance review meetings with parents and teachers - Classlevel meetings - Feeding of learners -improve sanitation i.e construction of a pit latrine at Katingi P/S. 	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>Inspection findings had been presented to all the 3 sampled primary schools i.e. Kamuk parent's P/S, Kaberamaido P/S, and Opiu P/S on 18th October ,2022, 1st February,2023 and 4th May,2023 respectively.</p> <p>The inspection and monitoring reports had been forwarded to DES as evidenced from DES acknowledgement sheets dated 26/10/2022; 06/02/2023</p>	2

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>The Council Committee responsible for education was in place that was also referred to as the Social Services Committee which met every quarter. In quarter one, the committee met on 22nd September, 2022 and discussed planning and schools in general. In quarter two, the committee met on 20th December, 2022 and discussed moral degeneration of students and family issues. In quarter three, there was no meeting held where as in quarter four the committee met on 19th April, 2023 and discussed the role of the school management committees as well as health related issues.</p>	2
11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,</p> <p><i>score: 2 or else score: 0</i></p>	<p>There were minutes of meetings held to mobilize parents in order to attract learners, as evidenced from the minutes of community mobilization held on 14/07/2023</p>	2
Investment Management				
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i></p>	<p>There was an up to date asset register dated 3rd January 2023, listing all assets within the 45 primary schools of Kaberamaido DLG</p>	2
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i></p>	<p>The Education Department implemented three projects during FY 2022/2023 out of which a sample of two projects was selected. (i) Construction of 2 Classroom Blocks in Aweli Primary School in Oceru sub county. It was budgeted at shs100,000,000 as per pages 27 and 28 of the district approved budget for FY 2022/2023. The project was captured on page 88 of the DDP III under project one. The Desk appraisal report was dated 4th June, 2022 and the Field Appraisal report was dated 1st July, 2022. (ii) Construction of 2 Classroom Blocks in Biira Primary School in Alwa sub county. It was budgeted at shs100,000,000 as per pages 27 and 28 of the district approved budget for FY 2022/2023. The project was captured on page 88 of the DDP III under project one. The Desk appraisal report was dated 4th June, 2022 and the Field Appraisal report was dated 1st July, 2022.</p>	1

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0</p>	<p>Kaberamaido DLG conducted the field appraisal for the education infrastructure projects as indicated in the consolidated field appraisal report, dated July 1, 2022. All the projects were assessed and passed the technical feasibility check, environmental acceptability check, and social acceptability check, and they were all worth implementing.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, score: 1, else score: 0</p>	<p>There was no seed school project implemented in the Financial 2022/2023</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, score: 1, else score: 0</p>	<p>All the school infrastructure projects implemented in FY 2022/2023 were approved by the contracts committee, as indicated in the minutes of the contract committee dated September 28, 2022, under minute number CC/MIN/01/05/28/09/2022/2022/2023-5(2) for the approval of the construction of a 2 classroom block with an office at Awelu p/s in Alwa Sub county and in the minutes of the contract committee dated September 28, 2022, under minute number CC/MIN/01/05/28/09/2022/2022/2023-5(6) for the approval of the construction of a 2 classroom block with an office at Bira p/s in Ochere Sub county</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. score: 1, else score: 0</p>	<p>The LG did not properly establish the project implementation team as per the PPDA guideline as no clerk of works was appointed on the team.. The appointment letter by CAO dated 26th/07/2022 indicate that Elyebu Richard (DEO) was appointed as the contracts manager, Ewayu Francis as the project manager, Magambo Matheius as the Environment officer ,Odele Nelson as the CDO and Ejotu Rogers as the labour officer</p>	0

13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES <i>Score: 1, else, score: 0</i>	There was no seed school project implemented in the Financial 2022/2023	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i>	There was no seed school project implemented in the Financial 2022/2023	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc ..., has been conducted <i>score: 1, else score: 0</i>	There was no seed school project implemented in the Financial 2022/2023	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>Sector infrastructure projects were properly executed and payments to contractors were made within specified time frames within the contract.</p> <p>The DLG implemented three projects during FY 2022/2023. (i) Payment to Canpwanyi Construction Ltd shs 123,500,000 in respect of construction a two classroom block at Alwasi Seed Secondary School on payment voucher number 3158362 dated 19th January, 2023. The DEO initiated payment on 13th January, 2023. The DE, CDO,CFO, CAO Environment Officer signed the payment on the same date. (ii) Payment to Omodoi Company Ltd for shs 61,919,780 for construction of two class room block at Atibira Primary School on payment voucher number 2906206 dated 14th November, 2022. The DEO initiated payment on 14th November, 2022. The DE, CDO, CFO, CAO Environment Officer signed the payment on the same date. (iii) Payment to MI Romi General Supplies for shs 5,633,977 for repair of water supply system at the department on payment voucher number 5867361 dated 15th June, 2023. The DEO initiated payment on 8th June, 2023. The DE, CDO, CFO, CAO Environment Officer signed the payment on the same date.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i></p>	<p>The Education department timely submitted the procurement plan to procurement unit by 28th/04/2022 before the deadline date of 30th/April/2022 as per the PPDA requirement</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law <i>score 1 or else score 0</i></p>	<p>There was no seed school project implemented in the Financial 2022/2023</p>	1

Environment and Social Safeguards

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0</p>	<p>LG had no grievance recorded under education sector for FY 2022/2023 by the time of assessment, however the Central Grievance Log was available dated “2022/2023 to date”</p>	3
15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, ‘green’ schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>The Environmental guidelines had been issued to all the 3 sampled primary schools (Kamuk parents, Kaberamaido and Opiu) in Kaberamaido DLG on 30th March ,2022.</p> <p>They included, proper disposal of garbage, establishment of environmental clubs among other issues.</p>	3
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, score: 2, else score: 0</p>	<p>LG had a costed ESMP incorporated within the BoQs as evidenced by Item F, under section A (Substructure) totalling to 400,000/= for 20 trees on unspecified page in the BoQs of the bidding document for the proposed construction of 2 classroom block with office space at Awelu P/S with Procurement Reference Number: KABE842/WRKS/2022/2023</p>	2
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, score: 1, else score:0</p>	<p>LG had proof of land ownership, access of school construction projects by the time of assessment as evidenced bby the MoU between Alwa Secondary School and Irarak Me Okongoi a.k.a Ikongola Clan on the 09/07/2020</p>	1

16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i>	The EO and DCDO conducted monthly support supervision and monitoring of education projects in FY 2022/2023 as evidenced by the monthly E&S Monitoring reports by the two officers above as follows; <ul style="list-style-type: none"> • The proposed construction of 02 classroom block and office space at Bira P/S and Awelu P/S on 17/04/2023 • The proposed construction of 02 classroom block and office space at Bira P/S, Awelu P/S and the construction of only 2 classroom block at Alwa Seed Secondary School on 17/04/2023 	2
16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments <i>Score: 1, else score:0</i>	The EO and DCDO prepared the E&S certification forms for education projects prior to payment of contractors as evidenced below; <ul style="list-style-type: none"> • E&S Certification form for the construction of 2 classroom block and office space at Bira P/S by the DEO&DCDO on 17/05/2023 • E&S Certification form for the construction of 2 classroom block and office space at Awelu P/S by the DEO&DCDO on 12/01/2023 	1

**Health
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score																
Local Government Service Delivery Results																				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>The sampled health facilities had the following deliveries:</p> <p>FY2021/22</p> <table border="0"> <tr> <td>Kaberaimado Hospital</td> <td>1488</td> </tr> <tr> <td>Aperkira HC III</td> <td>232</td> </tr> <tr> <td>Alwa HC III</td> <td>732</td> </tr> <tr> <td>Total</td> <td>2452</td> </tr> </table> <p>FY2022/23</p> <table border="0"> <tr> <td>Kaberaimado Hospital</td> <td>1505</td> </tr> <tr> <td>Aperkira HC III</td> <td>507</td> </tr> <tr> <td>Alwa HC III</td> <td>508</td> </tr> <tr> <td>Total</td> <td>2592</td> </tr> </table> <p>Average change $(2592-2452)/2452*100 = 5.7\%$</p>	Kaberaimado Hospital	1488	Aperkira HC III	232	Alwa HC III	732	Total	2452	Kaberaimado Hospital	1505	Aperkira HC III	507	Alwa HC III	508	Total	2592	0
Kaberaimado Hospital	1488																			
Aperkira HC III	232																			
Alwa HC III	732																			
Total	2452																			
Kaberaimado Hospital	1505																			
Aperkira HC III	507																			
Alwa HC III	508																			
Total	2592																			
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> • 70% and above, score 2 • 50% - 69%, score 1 • Below 50%, score 0 	Not applicable	0																
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> • 75% and above; score 2 • 65 - 74%; score 1 • Below 65; score 0 	Not applicable	0																

3	Investment performance: The LG has managed health projects as per guidelines.	a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.	The LG budgeted shs 188,819,282 as development grant for FY 2022/2023 as per the district approved budget page number 45. Shs 188,819,282 was equally spent by closure of FY 2022/2023 as reflected on page 45 of the Annual Performance Report for FY 2022/2023 Performance was 100%. Expenditure was shs 47,961,130 in respect of construction of maternity ward at Abirabira HC II in Apellila sub county per payment voucher number 3582247 dated 1st February 2023;. Construction of main theatre at Kaberamaido Hospital for shs 88,764,400 as per payment voucher number 4772294 dated 18th April, 2023 and rehabilitation of surgical ward at Kaberamaido hospital for shs 22,897,090 as per payment voucher number 4762239 dated 14th April, 2023.	2
3	Investment performance: The LG has managed health projects as per guidelines.	b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0	<p>The Kaberamaido DLG implemented three projects in the health department during FY 2022/2023 out of which a sample of three payments was selected as detailed. (i) Payment to Corridor E.A. Investments for shs 37,461,130 per payment voucher number 3582247 dated 1st February, 2023 in respect of construction of maternity ward at Abirabira HC II in Apekila sub county.</p> <p>DHO initiated payment on 17th January, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment. (ii) Payment to Robert Emadu Construction Ltd for shs 7,500,000 per payment voucher number 4762239 dated 14th April, 2023 in respect of rehabilitation of a surgical ward at Kaberamaido Hospital in Kaberamaido TC</p> <p>DHO initiated payment on 27th March, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment. (iii) Payment to Basham Associates Uganda Ltd for shs 88,764,400 per payment voucher number 4772294 dated 18th April, 2023 in respect of construction of main theatre at Kaberamaido Hospital in Kaberamaido TC.</p> <p>DHO initiated payment on 21st March, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment.</p>	2

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0</p>	<p style="text-align: right;">2</p> <p>The three sampled health project contracts had a percentage contract variation of within +- 20% as indicated below for the respective project contracts.</p> <ul style="list-style-type: none"> • Construction of the maternity ward at Abilabira HC II in Aperkaira S/c (Procurement Reference no. KAB842/WKRS/2022/2023/00008) <p>Contract price = 148,446, 586</p> <p>Estimated cost = 150,000,000</p> <p>Percentage contract variation = -1.05%</p> <ul style="list-style-type: none"> • Rehabilitation of a surgical ward at Kaberamaido Hospital (Procurement Reference no. KAB842/WKRS/2022/2023/00004) <p>Contract price = 150,000,000</p> <p>Estimated cost = 150,000,000</p> <p>Percentage contract variation = 0%</p> <ul style="list-style-type: none"> • Phased construction of a main theatre at Kaberamaido Hospital (Procurement Reference no. KAB842/WKRS/2022/2023/00003) <p>Contract price = 185,000,000</p> <p>Estimated cost = 185,200,000</p> <p>Percentage contract variation = -1.08%</p>
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3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY</p> <ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 	<p style="text-align: right;">2</p> <p>The LG did not have any HC II being upgraded to HC III or new HC III in the FY 2022/2023</p>
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4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	<p>The LG had no HC IV and recruited staff for all HC IIIs as follows:</p> <table border="0" style="width: 100%;"> <tr> <td style="width: 60%;">Alwa HC III</td> <td style="text-align: right;">20 out of 19</td> </tr> <tr> <td>Aperkira HC III</td> <td style="text-align: right;">17 out of 19</td> </tr> <tr> <td>Kobulubulu HC III</td> <td style="text-align: right;">18 out of 19</td> </tr> <tr> <td>Ochero HC III</td> <td style="text-align: right;">19 out of 19</td> </tr> <tr> <td>Total</td> <td style="text-align: right;">74 out of 76</td> </tr> </table> <p>Percentage = $74/76 \times 100 = 97.4\%$</p>	Alwa HC III	20 out of 19	Aperkira HC III	17 out of 19	Kobulubulu HC III	18 out of 19	Ochero HC III	19 out of 19	Total	74 out of 76	2
Alwa HC III	20 out of 19													
Aperkira HC III	17 out of 19													
Kobulubulu HC III	18 out of 19													
Ochero HC III	19 out of 19													
Total	74 out of 76													

4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> • If 100 % score 2 or else score 0 	<p>The LG did not have any HC II being upgraded to HC III or new HC III in the FY 2022-2023</p>	2
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Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>The information on positions of health workers filled was accurate. Aperkira HC III had 18 H/Ws on the list dated 1/07/23 so was the District list dated 1/07/2023. Alwa HC III had 20 H/Ws on the list dated 10/07/23. Kaberamaido Hospital had 109 H/Ws on the list dated 4/07/2023 so was the District list dated 1/07/2023.</p>	2
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5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>There was no health facility upgrade in the FY 2022/2023</p>	2
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The health facilities submitted the Annual Workplans FY2023/24 timely as follows:</p> <p>Aperkira HC III 22/03/23</p> <p>Alwa HC III 22/03/23</p> <p>Kaberaimado Hospital 22/03/23 as per LG planning guidelines.</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The health facilities submitted the Annual Budget Performance Reports FY2022/23 timely as follows:</p> <p>Aperkira HC III 12/07/23</p> <p>Alwa HC III 11/07/23</p> <p>Kaberaimado Hospital 13/07/23</p> <p>These conform to the Budget and Grant guidelines.</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The health facilities developed their facility improvement plans as follows:</p> <p>Kaberaimado Hospital 27/07/23</p> <p>Alwa HC III 20/03/23</p> <p>Aperkira HC III 26/04/23. The issues were mainly support supervision and data quality.</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly</p>	<p>The Health Facilities submitted up to date monthly and quarterly HMIS reports as follows:</p> <p>July 2022</p>	0

Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

HMIS reports timely (7 days following the end of each month and quarter) If 100%,
• score 2 or else score 0

Alwa HC III 5/08/2022
Aperkira HC III 9/08/2022
Kaberamaido Hospital 5/08/2022
August 2022
Alwa HC III 7/09/2022
Aperkira HC III 6/09/2022
Kaberamaido Hospital 7/09/2022
September 2022
Alwa HC III 7/10/2022
Aperkira HC III 6/10/2022
Kaberamaido Hospital 7/10/2022
October 2022
Alwa HC III 7/11/2022
Aperkira HC III 7/11/2022
Kaberamaido Hospital 7/11/2022
November 2022
Alwa HC III 7/12/2022
Aperkira HC III 6/12/2022
Kaberamaido Hospital 7/12/2022

December 2022
Alwa HC III 9/01/2023
Aperkira HC III 6/01/2023
Kaberamaido Hospital 7/01/2023
January 2023
Alwa HC III 7/02/2023
Aperkira HC III 7/02/2023
Kaberamaido Hospital 7/02/2023
February 2023
Alwa HC III 7/03/2023
Aperkira HC III 6/03/2023
Kaberamaido Hospital 7/03/2023
March 2023
Alwa HC III 6/04/2023
Aperkira HC III 6/04/2023
Kaberamaido Hospital 7/04/2023
April 2023

Alwa HC III 5/05/2023

Aperkira HC III 5/05/2023

Kaberamaido Hospital 5/05/2023

May 2023

Alwa HC III 7/06/2023

Aperkira HC III 7/06/2023

Kaberamaido Hospital 7/06/2023

June 2023

Alwa HC III 7/07/2023

Aperkira HC III 7/07/2023

Kaberamaido Hospital 7/07/2023

Quarterly 2022/23

1st Quarter 2022/23

Alwa HC III 7/10/2022

Aperkira HC III 6/10/2022

Kaberamaido Hospital 7/10/2022

2nd Quarter 2022/23

Alwa HC III 9/01/2023

Aperkira HC III 6/01/2023

Kaberamaido Hospital 7/01/2023

3rd Quarter 2022/23

Alwa HC III 6/04/2023

Aperkira HC III 6/04/2023

Kaberamaido Hospital 7/04/2023

4th Quarter 2022/23

Alwa HC III 7/07/2023

Aperkira HC III 7/07/2023

Kaberamaido Hospital 7/07/2023

Aperkira HC III submitted the July 2022 report on the 9/08/2022 and Alwa HC III submitted the December 2022 report on 9/01/2023 which was late.

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0</p> <p>Note: Municipalities submit to districts</p>	Not applicable	0
	<p>Maximum 14 points on this performance measure</p>			
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	Not applicable	0
	<p>Maximum 14 points on this performance measure</p>			
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>Quarter 1 report was submitted on 14th October, 2022; Quarter 2 report was submitted on 15th January, 2023; Quarter 3 reports was submitted on 13th April, 2023; Quarter 4 report was submitted on 3rd August, 2023.</p>	1
	<p>Maximum 14 points on this performance measure</p>			

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>The LG developed a Consolidated Health Plan FY2023/24 on 8/07/2023. This included support supervision and data quality improvement of the facilities. There were also issues of indiscipline among staff. Aperkira was the weakest facility in terms of data management.</p>	1
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>This was implemented by subjecting the undisciplined staff to the rewards and sanction committee on 10/09/2023. The support supervision for Aperkira HC III was slated for December 2023.</p>	1
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Human Resource Management and Development

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>The LG budgeted 3,386,753,000= for 237 H/Ws out of the required 304. $237/304 * 100 = 77.9\%$. This catered for 77.9% of the positions filled.</p>	2
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7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>The LG had 75% staff filled in only out 4 of 8 health facilities. These were Alwa HC III 20/19 (105%),</p> <p>Ochero HC III 19/19 (100%), Aperkira HC III 17/19 (89.4%) and Kobulubulu HC III 18/19 (95%).</p>	0
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>The health workers were working where they were deployed. Aperkira HC III had 18 H/Ws on the list 1/07/2023 and were found in the attendance book. Alwa HC III had 20 H/Ws on the list dated 10/07/2023 and were found in the attendance book. Kaberamaido Hospital had 109 H/Ws dated 4/07/2023 and were found in the attendance book.</p> <p>The example of staff found were:</p> <p>Kaberamaido Hospital</p> <p>Amuso Margaret Anaesthetic Officer</p> <p>Aperkira HC III</p> <p>Amudu Samuel Askari</p> <p>Alwa HC III</p> <p>Mawogole John Clinical Officer</p>	3
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0</p>	<p>The LG publicized health workers on the noticeboards. Aperkira HC III publicized 18 H/Ws dated 1/07/23.</p> <p>Alwa HC III publicized 20 H/Ws dated 10/07/2023. Kaberamaido Hospital publicized 109 H/Ws dated 4/07/2023.</p>	2

8

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

a) Evidence that the DHO/MMOHs has:

i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0

The LG had 8 Health Facility In-charges but some were not appraised. Below are the review findings;

1. Auna Eric (MO) of Kaberamaido Gen. Hospital was not appraised
2. Eoru Naaman (Enrolled Nurse) of Murem HC II was appraised on 21/07/2023
3. Ekou Jonathan (Enrolled Nurse) of Kaburepoli HC II was appraised on 7/08/2023
4. Opio Rubby Kauku (Asst. Nursing Officer) of Abirabira HC II was appraised on 1/7/2023
5. Epwo Andrew (CO) of Alwa HC III was appraised on 10/7/2023
6. Okodel Francis (Snr. CO) of Ochero HC III was appraised on 5/7/2023
7. Eding Suluman (Snr. CO) of Aperkira HC III was appraised on 30/6/2023
8. Elwau James (CO) of Kobulubulu HC III was appraised on 8/7/2023

0

8

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0

Ten (10) personnel files were sampled for review and findings revealed that some health facility workers were not appraised against the agreed performance plans.

1. Auro Betty (Asst. Nursing Officer) of Alwa HC III was not appraised
2. Egaru John David (Porter) of Alwa HC III was appraised on 13/7/2023
3. Ocen James (CO) of ochero HC III was appraised on 2/07/2023
4. Amolo Joyce (Enrolled Nurse) of Kobulubulu HC III was appraised on 31/7/2023
5. Eseru Robert (Porter) of Kobulubulu HC III was appraised on 24/7/2023
6. Okudu Epesu Charles (Asst. Nursing Officer) of Kaberamaido Gen. Hospital was appraised on 29/6/2023
7. Acengo Rose (Enrolled Nurse) of Aperkira HC III was appraised on 13/7/2023
8. Apio Mercy (Enrolled Midwife) Alwa HC III was appraised on 13/7/2023
9. Abago Mirriam (Enrolled Midwife) of Murem HC II was appraised on 8/7/2023
10. Abeso Julius (Enrolled midwife) of Kaberamaido Gen. Hospital was appraised on 4/01/2023

0

8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	iii. Taken corrective actions based on the appraisal reports, score 2 or else 0	There was evidence that the LG took corrective action based on the appraisal reports. For stance, Ms. Adongo Everlyn (Assistant Nursing Officer – Midwifery) was given study leave with pay by CAO for four (4) years as directed by DSC under Min No. 39/2023 (c) -2 from 15/10/2022 to 14/10/2026. She undertook a course leading to award of Bachelor of Science in Midwifery at Lira University and this was based on a recommendation from appraisal.	2
	Maximum 6 points on this performance measure			
8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	b) Evidence that the LG: i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0	The LG conducted training of 24 H/Ws on Integrated Disease Surveillance at Helmarts Motel from 12-16/06/2023. This was facilitated by the DHT and DVO and attended by 6 Health Assistants, 16 In-Charges and 2 Health Inspectors according to the training plan.	1
	Maximum 6 points on this performance measure			
8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	ii. Documented training activities in the training/CPD database, score 1 or else score 0	The training activities on Integrated Disease Surveillance were entered into the computerized Data base. This included Name, Title, Venue among others.	1
	Maximum 6 points on this performance measure			
Management, Monitoring and Supervision of Services.				
9	N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.	a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0	The CAO communicated to the PS MOH in letter Ref: CR/351/2 written on 19/09/2023 and received on 21/09/2023 about the 10 Health facilities that receive PHC which rhymes with the one submitted in LG budget FY 2023/24.	2
	Maximum 9 points on this performance measure			

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>Fund allocation to the Health Department during FY 2022/2023 amounted to shs 37,800,000 as reflected on page25 of the District approved budget for FY 2022/2023. The monitoring and service delivery was allocated shs7,800,000 as per page25 of the approved LG budget. This was equivalent to 20.6%.</p>	2
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>	<p>In Q1 cash limits from PSST was received on 4/08/2022, warranting was done on 8/08/2022, invoicing, transfers and communication to Health centres was done 17/08/2023.</p> <p>In Q2 cash limits from PSST was received on 5/10/2022, warranting was done on 10/10/2022, invoicing, transfers and communication to Health centres was done 18/10/2023.</p> <p>In Q3 cash limits from PSST was received on 30/12/2022, warranting was done on 6/01/2023, invoicing, transfers and communication to Health centres was done 17/01/2023.</p> <p>In Q4 cash limits from PSST was received on 14/04/2023, warranting was done on 18/04/2023, invoicing, transfers and communication to Health centres was done 10/05/2023.</p>	2
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0</p>	<p>The DLG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of funds release in each quarter: In quarter one invoicing was done 3rd August, 2022; in quarter two invoicing was done on 3rd October, 2022; in quarter three, invoicing was done 4t April, 2023 and in quarter four, invoicing was done on 5th July, 2023</p>	2

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>The DLG had publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoPPED—through posting on public notice boards. In quarter one this was done 3rd August, 2022; in quarter two this was done on 3rd October, 2022; in quarter three, this was done 4th April, 2023 and in quarter four, this was done on 5th July, 2023. The circular letter was verified at Kaberamaido district headquarters reference KDLG/HEALTH/67/3990/ 22-23. The similar communications were pinned on notice boards at Abirabira HC II in Apella sub county.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>Following the 10/08/2022 meeting the CAO wrote to the Subcounty Senior Assistant Secretaries to maintain clean liness of the trading centres. The HI Kaberamaido HSD inspected the trading centres of Alayojik (10/01/2023), Akwei (14/01/2023), and Kanyalam (20/01/2023. The Heath facility In-charges were requested to come up with Performance Improvement Plans (PIP) during the 29/01/2023 meeting at Planning Unit Board room following low immunisation coverage. The PIPS were submitted Alwa HC III (23/03/2023), Aperkira HC III (24/04/2023) and Kaberamaido Hospital (7/07/2023)</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>The performance review meeting that sat on 10/08/2022 at the District Council Hall was attended by the District Chairperson, DRDC, CAO, DCDO. The one that took place on 28/10/2022 in the District Council had officials from Rhites, TASO, CAO, DRDC and Secretary Social Services. The 3rd Quarter 2022/23 on 29/01/2023 meeting was attended by official from TASO, Chairperson LCV and DCDO.</p> <p>The one that sat on 24/04/2023 in the Council Hall was attended by the PACAO, TASO, LCV Chairperson and the Secretary Social Servies.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>There was no HC IV in the LG. Kaberamaido Hospital was supervised on 28/07/2022, 1/11/2022, 2/03/2023 and 19/06/2023.</p>	1

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <ul style="list-style-type: none"> • If not applicable, provide the score 	<p>Kalubulu HC III, Ochero HC III, Aperkira HC III and Alwa HC III were supervised by Kaberamaido Hospital on 15/12/2022, 15/02/2023, 23/05/2023 and 12/06/2023.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>There was no evidence to show that specific corrective actions were followed up.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>According to the DMMS reports Alwa HC III (25/11/2022, 22/03/2023), Kaberamaido Hospital (28/11/2022) and Aperkira HC III (12/12/2022, 29/03/2023) were supported in Dispensing, Prescribing, Stores management and Stock management of medicines and health supplies.</p>	1
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p>	<p>Fund allocation to the Health Department during FY 2022/2023 amounted to shs 37,800,000 as reflected on page25 of the District approved budget for FY 2022/2023. The allocation for health prevention and promotion activities was allocated shs 11,300,000 as per page25 of the approved LG budget. This was equivalent to 30%.</p>	2

11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0	The Senior Health Educator (SHE) held community talks on Malaria and Immunisation in Aperkira, Ochero, Kaberamaido and Kalubulu Subcounties during April 2023. The SHE held AIDS committee meeting on 30/08/2022 in the Education Board room' The SHE, ADHO EH held radio talk shows on Dwanwa FM on Malaria, Child Health DAYS on 18/04/2023.	1
	Maximum 4 points on this performance measure			

11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0	The Health Inspector Kaberamaido inspected Trading centres of Alayojik (10/01/2023), Akwei (14/01/2023) and Kanyalam (20/01/2023). The issues discovered were the bushy and dirty compounds, low latrine coverage and few hand washing facilities.	1
	Maximum 4 points on this performance measure			

Investment Management

12	Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.	a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0	The LG had an updated Asset register that set out health facilities and equipment relative to basic equipment. Kaberamaido Hospital had BP machine, PIMA machine, Xray machine, Delivery beds, Theatre equipment and Microscope. Aperkira HC III had Glucometer, BP machine, Delivery kits and Blood cell counter. Alwa HC III had BP machine, Weighing scale and Thermometer.	1
	Maximum 4 points on this performance measure			

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDPIII); (ii) desk appraisal by the LG; and (iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG));</p>	<p>The district implemented three projects in the department during FY 2022/2023 out of which a sample of two projects was selected. (i) Construction and equipping maternity ward at Abarabira Health Center II in Aperikira sub county which was budgeted at shs 188,819,000 as per page 25 of the approved district budget for FY 2022/2023, out of which shs 150,000,000 was for construction works only. The project was captured on page 84 out put 13 of the DDP III. The Field Appraisal Report was dated 1st July, 2022; the Desk Appraisal Report was dated 4th June, 2022. (ii) Phased construction of the main theatre at Kaberamaido Hospital which was budgeted at shs 185,000,000 as per page 25 of the district approved budget for FY 2022/2023. The project was captured on page 84 of the DDP III. The Desk Appraisal Report was dated 4th June, 2022</p>	1
	<p>Maximum 4 points on this performance measure</p>	<p>score 1 or else score 0</p>		
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>The Field Appraisal Report was dated 1st July, 2022; the Desk Appraisal Report was dated 4th June, 2022. Environment and social acceptability, technical feasibility and their designs were all executed</p>	1
	<p>Maximum 4 points on this performance measure</p>			
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>The only LG health facility investment was screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist as evidenced by the following screening form by EO & DCDO below;</p> <ul style="list-style-type: none"> • The proposed construction of Abirabira HC II on 07/02/2023 • Rehabilitation of a surgical Ward on 04/08/2022 • Construction of main Theatre at Kaberamaido Hospital on 02/09/2022 <p>The proposed mitigation measures for the 3 projects included the planting of trees around the proposed infrastructures, provision of ramps on the facilities to cater for PWDs, social acceptability of the projects and among other measures.</p>	1
	<p>Maximum 4 points on this performance measure</p>			

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>The Health sector timely submitted all its infrastructure and other request to procurement unit on 18th/04/2023 before the deadline date of 30th/April/2023 as per the PPDA requirement and was incorporated in the FY 2023/2024 approved procurement plan.Among the projects planned to be implemented in the FY2023/2024 include</p> <ul style="list-style-type: none"> • Phased construction of the maternity ward at Kaburepoli HC II in Ochero Sub county • Construction of the placenta pit at Abilabira HC II at 15,000,000 <p>The LG Health department submitted the procurement request form (FormPP1) to the PDU on 03/07/2023 with in the 1st Quarter of the current FY 2023/2024.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>The LG Health department submitted the procurement request form (FormPP1) to the PDU on 03/07/2023 with in the 1st Quarter of the current FY 2023/2024</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>The Contracts Committee approved all the health infrastructure investments for the FY2022/2023in the minutes of the contracts committee dated 28th /09/2022 under minute number CC/MIN/01/05/28/09/2022/2022/2023-5(4) for the Rehabilitation of the surgical ward at Kaberamaido hospital, Minute number CC/MIN/01/05/28/09/2022/2022/2023-5(8) for the construction of the maternity ward at Abilabira HCII in Aperkira Sub county and Minute number CC/MIN/01/05/28/09/2022/2022/2023-5(3) for the construction of the main theatre at Kaberamaido hospital.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG did not properly establish the project implementation team as per the PPDA guideline. The appointment letter by CAO dated 26th/07/2022 indicate that James Daniel Odongo (DHO) was appointed as the contracts manager, Ewayu Francis (DE) as the project manager, Magambo Matheius as the Environment officer ,Odele Nelson as the CDO and Ejotu Rogers as the labour officer. However the LG did not assign the position of clerk of works on the PIT as per PPDA requirement</p>	0

13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0	The LG did not have any HC II being upgraded to HC III or new HC III in the FY 2022/2023	1
	Maximum 10 points on this performance measure	If there is no project, provide the score		
13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0	The LG did not have any HC II being upgraded to HC III or new HC III in the FY 2022/2023	1
	Maximum 10 points on this performance measure	If there is no project, provide the score		
13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0	The LG did not have any HC II being upgraded to HC III or new HC III in the FY 2022/2023	1
	Maximum 10 points on this performance measure	If there is no project, provide the score		

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no clear evidence that LG relevant technical team carried out monthly technical supervision of all the health infrastructure projects as only reports by the environment officer and DCDO and there were no supervision reports from the district engineer and no site instruction and or visitor's books were found at the visited site. The monitoring/supervision reports from the environment Officer and DCDO included;</p> <p>E&S Compliance monitoring report for the Construction of Abilabira HC II dated 20th/10/2022 and signed by both the Environment officer and DCDO</p> <p>E&S Compliance monitoring report for the Construction of Abilabira HC II dated 24th/02/2023 and signed by both the Environment officer and DCDO</p> <p>E&S Compliance monitoring report for the Construction of Abilabira HC II dated 30th/03/2023 and signed by both the Environment officer and DCDO</p> <p>E&S certification form for the Construction of Abilabira HC II dated 20th/06/2023 and signed by both the Environment officer and DCDO.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p>	<p>The DLG im Ltd for implemented three projects in the department during FY 2022/2023. (i) Payment to Corridor E.A. Investments for shs 37,461,130 per payment voucher number 3582247 dated 1st February, 2023 in respect of construction of maternity ward at Abirabira HC II in Apekila sub county.</p> <p>DHO initiated payment on 17th January, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment. (ii) Payment to Robert Emadu Construction Ltd for shs 7,500,000 per payment voucher number 4762239 dated 14th April, 2023 in respect of rehabilitation of a surgical ward at Kaberamaido Hospital in Kaberamaido TC</p> <p>DHO initiated payment on 27th March, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment. (iii) Payment to Basham Associates Uganda Ltd for shs 88,764,400 per payment voucher number 4772294 dated 18th April, 2023 in respect of construction of main theatre at Kaberamaido Hospital in Kaberamaido TC.</p> <p>DHO initiated payment on 21st March, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment.</p>	1

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0

The LG had a complete procurement file for each health Infrastructure contract with all records as required by the PPDA Law as indicated below for the respective projects;

- Rehabilitation of the surgical ward at Kaberamaido hospital ((Procurement Reference no. KAB842/WKRS/2022/2023/00004)

Evaluation report dated 15/09/2022 with

Robert Emadu Construction Ltd as the best evaluated bidder at 150,000,000.

Minutes of contracts committee dated 28th/9/2022 and project approve under minute CC/MIN/01/05/28/09/2022/2022/2023-5(4) and works contract signed on 13th/10/2022

- Construction of the maternity ward at Abilabira HC II in Aperkira Sub county (Procurement Reference no. KAB842/WKRS/2022/2023/00008).

Evaluation report dated 19/09/2022 with

Corridor East Africa Investment Company as the best evaluated bidder at 148,446,546.

Minutes of contract committee dated 13th/10/2022 and project approve under minute CC/MIN/01/05/28/09/2022/2022/2023-5(8) and works contract signed on 13th/10/2022.

- Phased construction of the main theatre at Kaberamaido Hospital (Procurement Reference no. KAB842/WKRS/2022/2023/00003).

Evaluation report dated 21/09/2022 with

Bashm Associate Uganda Ltd as the best evaluated bidder at 185,000,000.

Minutes of contract committee dated 13th/10/2022 and project approve under minute CC/MIN/01/05/28/09/2022/2022/2023-5(3) and works contract signed on 13th/10/2022.

Environment and Social Safeguards

Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework

Maximum 2 points on this performance measure

a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0

LG had not recorded any grievance under health in the FY 2022/2023 by the time of assessment, however the Central Grievance Log was availed dated "2022/2023 to date"

15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>The LG disseminated guidelines on health care waste management to health facilities on 15/05/2023. Waste segregation charts were found in Kaberamaido Hospital, Aperkira HC III and Alwa HC III. WASH in HEALTH CARE FACILITES 2022 was found in Aperkira HC III.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>The LG had a functional system for Medical waste management. Kaberamaido Hospital , Aperkira HC III and Alwa HC III had bin liners for segregation of waste, safety boxes, waste pits and placenta pits. Green Label Services LTD a registered service provider signed District Waste Transfer Form with the DHO on 21/06/2023. Kaberamaido Hospital had an incinerator.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>The LG created awareness in health care waste management on 23/10/22 at Council Hall during the training on Management of Cremean-Congo Haemorrhagic Fever: in attendance were 16 In-Charges, 6 Health Assistants and 2 Health Inspectors.</p>	1
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0</p>	<p>LG had incorporated costed ESMPs into designs, BoOs as evidenced below;</p> <ul style="list-style-type: none"> Item 1.24 (planting 20 trees) at 60,000/= under section 1(substructure) on unspecified page in the BoQs of the bidding document of the construction of a maternity ward at Abirabira HC II with Procurement Reference Number: KABE842/WRKS/2022-2023/00008 issued on 25/08/2022 	2

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>LG had proof that all health sector projects were implemented on land where the it had ownership, access as evidenced below;</p> <ul style="list-style-type: none"> • Land title for Kaberamaido District Local Government of P.O. Box 94 Kaberamaido, plot 56, Block 5 at Abirabira Aperikira covering 2.554 hectares issued on 14/09/2012 for the construction of Abirabira HC II 	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>The EO and DCDO conducted monthly support supervision and monitoring of the health projects as evidenced by the E&S Compliance Monitoring Reports for the health projects of the construction of Abirabira HC II in FY 2022/2023 by both officers above as follows;</p> <ul style="list-style-type: none"> • 20/10/2022 • 24/02/2023 • 30/03/2023 	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0</p>	<p>Environment and Social Certification forms were completed and signed by the DEO and DCDO prior to payments of contractor invoices/certificates of the health infrastructure project as evidenced below;</p> <ul style="list-style-type: none"> • E&S Certification form for the construction of Abirabira HC II in FY 2022/2023 on 20/06/2023 • E&S Certification form for the Rehabilitation of a surgical Ward on 31/05/2023 • E&S Certification form for the construction of main Theatre at Kaberamaido Hospital on 14/04/2023 	2

**Water &
Environment
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>The MWE MIS for FY 2022.2023 showed that the rural water source functionality in Kaberamaido district was 87%.</p>	1
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>The MWE MIS for FY 2022.2023 showed that facilities with functional water& sanitation committees in Kaberamaido district was 100 %.</p>	2
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;</p> <ul style="list-style-type: none"> • Above 80%, score 2 • 60% - 80%, score 1 • Below 60%, score 0 	<p>The district conducted the LLGs performance assessment in the FY 2023/2024 and the scores for the water and environment were as follows;</p> <p>Akwa S/C 100%</p> <p>Aperkira S/C 100%</p> <p>Kaberamaido S/C 100%</p> <p>Kubulubulu S/C 100%</p> <p>Ochero S/C 100%</p> <p>Oryemo S/C (New) 100%</p> <p>Okile S/C (New) 40%</p> <p>The average score was 91%.</p>	2

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.

- o If 100 % of water projects are implemented in the targeted S/Cs: Score 2
- o If 80-99%: Score 1
- o If below 80 %: Score 0

According to the Ministry MIS, the district safe water coverage for FY 2021/2022 was 80% and per sub-county (S/C) were as follows:

Akwa S/C 88%

Aperkira S/C 78%

Kaberaimaido S/C 82%

Kubulubulu S/C 84%

Ochero S/C 68%

Therefore, sub-counties with safe water coverage below the district average were Ochra and Aperkira.

The District Water and Sanitation 4th quarter report for FY 2022/2023 dated 3rd July 2023, received by the MWE on 10th July 2023 showed that 9 deep hand pump boreholes were constructed at a total cost of 224,399,997 UGX, 2 motorised boreholes were drilled at total cost of 72,000,000 UGX, 7 boreholes were rehabilitated at a total cost of 29,400,000 UGX, one piped water system was designed at 24,304,768 UGX, 2 rural piped water systems were repaired at 30,000,000 UGX, a rural piped water systems was extended at 30,000,000 UGX and one drainable pit latrine was constructed at 17,000,000 UGX, giving overall cost of water projects implemented to 427,104,765 UGX.

Projects implemented in Ochero S/C which had safe water coverage below district average were; one new boreholes constructed at a total cost of 24,933,333 UGX, 2 boreholes rehabilitated at a total cost of 8,400,000 UGX, one motorised borehole drilled at 36,000,000 UGX, one piped water system was designed at 24,304,768 UGX and one drainable pit latrine was constructed at 17,000,000 UGX, bringing overall cost of water projects implemented to 110,638,101 UGX.

Projects implemented in Aperkira S/C which had safe water coverage below district average were; one new borehole constructed at a total cost of 24,933,333 UGX and one borehole rehabilitated at a cost of 4,200,000 UGX, bringing overall cost of water projects implemented to 29,133,333 UGX.

Total cost of project implemented with safe water coverage below district average was 139,771,434 UGX.

Therefore, % of water projects implemented in sub-counties with safe water coverage below the district average was 32.7%.

2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates</p> <ul style="list-style-type: none"> o If within +/-20% score 2 o If not score 0 	<p>Sampled three WSS from the District Water and Sanitation AWP for FY 2022/2023 dated 8Th August 2022, approved by the MWE on 18Th July 2022;</p> <ol style="list-style-type: none"> 1. Construction of 9 deep hand pump boreholes budgeted at 224,399,997 UGX. However, contract number KABE/842/WRKS/2022/2023/00001 showed that MS Multec Consults (U) LTD quoted 225,695,600 UGX, giving a contract variation of +5.7%. 2. Drilling of 2 motorised boreholes budgeted at total cost of 72,000,000 UGX. However, contract number KABE/842/WRKS/2022/2023/00009 showed that MS Multec Consults (U) LTD quoted 72,876,800 UGX, giving a contract variation of +1.2 %. 3. Rehabilitation of 7 boreholes at a total cost of 29, 400,000 UGX. However, contract number KABE/842/WRKS/2022/2023/00049 showed that Wazab Holding LTD quoted 30,957,600 UGX, giving a contract variation of +5.3 %. 	2
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p>Maximum 8 points on this performance measure</p>	<p>d. % of WSS infrastructure projects completed as per annual work plan by end of FY.</p> <ul style="list-style-type: none"> o If 100% projects completed: score 2 o If 80-99% projects completed: score 1 o If projects completed are below 80%: 0 	<p>According to the District Water and Sanitation AWP for FY 2022/2023 dated 8th July 2022, approved by the MWE on 18th July 2022, the following projects were budgeted for; construction of 7 deep hand pump boreholes at a total cost of 224,399,997 UGX, Drilling of 2 motorised boreholes at total cost of 72,000,000 UGX, Rehabilitation of 7 boreholes at a total cost of 29, 400,000 UGX, Design of one piped water system at 24,304,768 UGX, repair of 2 rural piped water systems at 30,000,000 UGX, extension of rural piped water systems at 30,000,000 UGX and construction of a drainable pit latrine at 17,000,000 UGX.</p> <p>The District Water and Sanitation 4th quarter report for FY 2022/2023 dated 3rd July 2023, received by the MWE on 10Th July 2023 showed that all (100%) of the WSS infrastructure projects were completed as per AWP and were functional.</p>	2

3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <p>o If there is an increase: score 2</p> <p>o If no increase: score 0.</p>	<p>Functionality of rural water supply facilities for the FY 2021/2022 was 84% and for the FY 2022/2023 was 87%, giving an increase of 3%</p>	2
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <p>o If increase is more than 1% score 2</p> <p>o If increase is between 0-1%, score 1</p> <p>o If there is no increase : score 0.</p>	<p>Functionality of WUC for the FY 2021/2022 was 99% and for the FY 2022/2023 was 100%, giving an increase of 1%.</p>	1

Performance Reporting and Performance Improvement

4	<p>Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3</p>	<p>From the District Water and Sanitation 4th quarter report for FY 2022/2023 dated 3rd July 2023, received by the MWE on 10th July 2023, the following WSS facilities constructed were sampled and visited to established if they were completed, functional and in use;</p> <ol style="list-style-type: none"> 1. Kirabet A borehole in Kobulubulo S/C. Installed on 18th May 2023, DWD number 90988. Constructed by MS Consult (U) LTD. The borehole was completed, functional and in use by the community. 2. Aperikira borehole in Aperikira S/C. Installed on 20th April 2023, DWD number 90986. Constructed by MS Consult (U) LTD. The borehole was completed, functional and in use by the community. 3. Alindi borehole in kaberamaido S/C. Installed on 29th April 2023, DWD number 90987. Constructed by MS Consult (U) LTD. The borehole was completed, functional and in use by the community. 	3
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5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2</p>	<p>The Water and Sanitation software reports attached as ANNEX to the following quarterly reports for FY 2022/2023 showed that the DWO collected and compiled quarterly information on sub-county WSS, functionality of facilities, WSCs and community involvement as follows;</p> <ol style="list-style-type: none"> 1. 1st Quarter report dated 6th October 2022, received by the MWE on the 14th October 2022. 2. 2nd Quarter report dated 5th January 2023, received by the MWE on the 12th January 2023. 3. 3rd Quarter report dated 11th April 2023, received by the MWE on the 18th April 2023. 4. 4th Quarter report dated 3rd July 2023, received by the MWE on the 10th July 2023. 	2
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5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0</p>	<p>The LG Water Office updated the MIS quarterly. Form 1 that were used to update MIS with information about new WSS constructed in the FY 2022/2023 were submitted and received by the MWE on 10th July 2023.</p> <p>Form 4 that were used to update MIS with information about functionality, population, management of old WSS in the FY 2022/2023 were submitted on quarterly bases to the MWE as follows;</p> <ul style="list-style-type: none"> • 1st quarter WSS data was submitted and received by MWE on 14th October 2022. • 2nd quarter WSS data was submitted and received by MWE on 12th January 2023. • 3rd quarter WSS data was submitted and received by MWE on 18th May 2023. • 4th quarter WSS data was submitted and received by MWE on 10th July 2023. 	3
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5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>The district performed LLG performance assessment in the previous FY but the DWO did not present any LLGs performance improvement Plant (PIP) to the assessor during the assessment because it was not prepared.</p>	0
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Human Resource Management and Development

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p>	<p>There was evidence that the DWO budgeted for critical positions in the department. According to the LG approved Budget Estimates VOTE 842, the wage budget was 222,800,000/= and this budget was for staff under water and administration Departments.</p> <ol style="list-style-type: none"> 1. Civil Engineer (Water) Elamunait David 2. Assistant Engineering Officer (Water) Elobu Emmanuel and 3. Office Attendant Asiko Rebecca <p>Please note that the 222,800,000 was inclusive of water and administration staff. As evidenced, during budgeting the system had a problem and could not save. With that, it was decided to merge the two wage budgets for water and admin together.</p>	2
6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>There was evidence that the Environment and Natural Resource Officer budgeted for the following positions;</p> <ol style="list-style-type: none"> 1. Magambo Mathis (Snr. Environment Officer) 2. Areto Dorcus (Environment Officer) 3. Olupot Isaac (Forest Officer) 4. Erongu Charles (Physical Planner) and 5. Egoru Emmanuel (Forest Ranger) <p>The LG approved Budget Estimates VOTE 842, the wage budget was 40,800,000/= its vital to note that the budget doesn't reflect because there was a problem in the system and was not saving. However, this budget was transferred to administration and it reflects under District Unconditional Grant Wage.</p>	2

7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>There was evidence that DWO appraised the Water Office staff against the agreed performance plans during 2022/2023. However, the appraisal was conducted beyond the recommended time frame as shown below;</p> <ol style="list-style-type: none"> 1. Elobu Emmanuel (Assistant Engineering Officer) Water was appraised on 11/7/2023 and recommended to under trainings in Water quality testing, GIS system and Auto Card 2. Asiko Rebecca (Office Attendant) was appraised on 11/7/2023 	0
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p>	<p>The DWO appraised Assistant Engineering Officer for Water on 11/7/2023 and recommended him for trainings in Water quality testing, GIS system and Auto Card. However, there were no evidences in terms of training plan, reports and database to show that the training activities were conducted.</p>	0

Management, Monitoring and Supervision of Services.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
-
- If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
- If 80-99%: Score 2
- If 60-79: Score 1
- If below 60 %: Score 0

According to the Ministry MIS, the district safe water coverage for FY 2022/2023 was 82% and per sub-county (S/C) were as follows:

Akwa S/C 93%

Aperkira S/C 81%

Kaberaido S/C 82%

Kubulubulu S/C 92%

Ochrea S/C 68%

Therefore, S/C with safe water coverage below the district average were Ochera and Aperkira.

According to the District Water and Sanitation AWP for FY 2023/2024 dated 3rd July 2023, received by the MWE on 10th July 2023 budgeted allocations to water projects were as follows: drilling of 7 deep hand pump boreholes at a total cost of 175,000,000 UGX, construction of piped water system at 113,338,877 UGX, rehabilitation of 7 boreholes at a total cost of 29,297,035UGX and construction of a drainable pit latrine at 15,000,000 UGX, giving overall cost of water projects budgeted to 332,633912 UGX.

Projects allocated to be implemented in Ochera S/C which had safe water coverage below district average were; drilling one new borehole at a cost of 25,000,000 UGX, rehabilitation of one borehole at a cost of 4,187,005 UGX and construction of piped water system 113,338,877 UGX, bringing overall cost of water projects allocated to 142,523,882 UGX.

Projects allocated to be implemented in Aperkira S/C which had safe water coverage below district average were; drilling one new borehole at a cost of 25,000,000 UGX and rehabilitation of one borehole at a cost of 4,187,005 UGX, bringing overall cost of water projects implemented to 29,187,005 UGX.

Total cost of project allocated to S/C with safe water coverage below district average was

171,710,887 UGX.

Therefore, % of water projects allocated to S/C with safe water coverage below the district average was 51.6%.

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3</p>	<p>There were evidences that the DWO communicated to the LLGs their respective allocations of water sources to be constructed in the current FY on the notice board of the district water department dated 16th August 2023. The allocations were as follows;</p> <ul style="list-style-type: none"> • Alwa S/C was allocated drilling of 1 new borehole and rehabilitation of 1 borehole at total cost of 29,187, 005 UGX. • Kaberamaido S/C was allocated drilling of 1 borehole, rehabilitation of 1 borehole and construction of 1 pit latrine at total cost of 44, 185,005 UGX. • Kobulubulu S/C was allocated drilling of 1 new borehole and rehabilitation of 1 borehole at total cost of 29, 187,005 UGX. • Aperikira S/C was allocated construction of 1 new borehole and rehabilitation of 1 borehole at total cost of 61,185,005 UGX. • Ochero S/C was allocated drilling of 1 new borehole and construction of piped water system at a total cost of 142,523,882 UGX. • Oriamo S/C (New) was allocated drilling of 1 new borehole and rehabilitation of 1 borehole at total cost of 29, 187,005 UGX. • Okile S/C (new) was allocated drilling of 1 new borehole and rehabilitation of 1 borehole at total cost of 29, 187,005 UGX. 	3
	<p><i>Maximum 6 points on this performance measure</i></p>			
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)</p> <ul style="list-style-type: none"> • If 95% and above of the WSS facilities monitored quarterly: score 4 • If 80-94% of the WSS facilities monitored quarterly: score 2 • If less than 80% of the WSS facilities monitored quarterly: Score 0 	<p>Form 4 that were used to monitor and update MIS with information about functionality, population, management of old WSS in the FY 2022/2023 were submitted on quarterly bases to the MWE as follows;</p> <ul style="list-style-type: none"> • 1st quarter WSS data was submitted and received by MWE on 14th October 2022. • 2nd quarter WSS data was submitted and received by MWE on 12th January 2023. • 3rd quarter WSS data was submitted and received by MWE on 18th May 2023. • 4th quarter WSS data was submitted and received by MWE on 10th July 2023 <p>The form indicated the total number of boreholes in the district is 421 and all (100) of the water sources were monitored quarterly.</p>	4
	<p><i>Maximum 8 points on this performance measure</i></p>			

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2

There were minutes for quarterly DWSCC meetings;

1st quarter DWSCC meeting was held on 30th August 2022. Main issues identified from water sector were under Min 22/08/30/04 and included; Unfenced borehole water sources, Poor management of soak pits in boreholes water sources and Un-rehabilitated boreholes. Evidence of remedial action taken in the current FY was rehabilitation of boreholes.

2nd quarter DWSCC meeting was held on 7th October 2022. Main issues identified from water sector were under Min 22/10/07/04 and included; major breakdown of boreholes and unutilized public toilet especially those constructed in the markets. Evidence of remedial action taken in the current FY was rehabilitation of boreholes.

3rd quarter DWSCC meeting was held on 9th May 2023. Main issues identified from water sector were under Min 23/09/03/05 and included; Poor water source maintenance in institutions (schools and hospitals), poor maintenance of public toilets and demand to extend piped water systems. Evidence of remedial action taken in the current FY was design of piped water system in Ochero S/C.

4th quarter DWSCC meeting was held on 17th May 2023. Main issues identified from water sector were under Min 23/05/17/04 and included; needs for borehole rehabilitation, unutilized sanitary facilitation and borehole water quality (salinity). Evidence of remedial action taken in the current FY were rehabilitation of boreholes and use of stainless-steel pipes for borehole rehabilitation and construction.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2

There were lists of water projects with their budget allocations to sub-counties with safe water coverage below the district average on the district water and 3 sampled S/C notice boards dated 16th August 2023. The S/C sampled were Aperkira, Kaberamaido and Kobulubulu.

The list indicated allocations of the water project in the S/C as follows;

- Alwa S/C was allocated drilling of 1 new borehole and rehabilitation of 1 borehole at total cost of 29,187, 005 UGX.
- Kaberamaido S/C was allocated drilling of 1 borehole, rehabilitation of 1 borehole and construction of 1 pit latrine at total cost of 44, 185,005 UGX.
- Kobulubulu S/C was allocated drilling of 1 new borehole and rehabilitation of 1 borehole at total cost of 29, 187,005 UGX.
- Aperikira S/C was allocated construction of 1 new borehole and rehabilitation of 1 borehole at total cost of 61,185,005 UGX.
- Ochero S/C was allocated drilling of 1 new borehole and construction of piped water system at a total cost of 142,523,882 UGX.
- Oriamo S/C (New) was allocated drilling of 1 new borehole and rehabilitation of 1 borehole at total cost of 29, 187,005 UGX.
- Okile S/C (new) was allocated drilling of 1 new borehole and rehabilitation of 1 borehole at total cost of 29, 187,005 UGX.

Mobilization for WSS is conducted

Maximum 6 points on this performance measure

a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:

- If funds were allocated score 3
- If not score 0

From the District Water and Sanitation AWP for FY 2022/2023 dated 8Th August 2022, approved by the MWE on 18Th July 2022, the total allocation of NWR budget to rural water and sanitation was 54,284,446 UGX out from which allocation to facilitate community mobilisation activities was 21,803,274 UGX presenting 40.16%. This was above 40% required by WSS grant guideline.

10

Mobilization for WSS is conducted

Maximum 6 points on this performance measure

b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.

The WUCs training reports dated 24th July 2023 provided evidences that WSCs were trained on their roles on O&M of the new water facilities in the previous FY. For example;

1. On 3rd July 2023 WUCs for Aperkira borehole in Aperkira S/C were trained. 8 WUC members attended.

2. On 21st July 2023 WUCs for Kirabet A borehole in Okile S/C were trained. 9 WUC members attended.

3. On 21st July 2023 WUCs for Obirai borehole in Kobulubulu S/C were trained. 9 WUC members attended.

Visited the 3 water sources to confirm if the training of the WUC were conducted.

1. Kirabet A borehole in Kobulubulu S/C installed on 18th May 2023. Found Atuku Rose (Chairperson WUC) and Obira Noah (Caretaker), who confirmed that the committee were established and trained on O&M of the borehole on 21st July 2023. User fees of 1000 UGX were being collected per month per household.

2. Aperkira borehole in Aperkira S/C installed on 20th April 2023. Found Omilogor Jude (Secretary WUC), Okima Okoma Wilson (LC 1 chairperson) and Opilo Joseph (LC 1 Secretary) who confirmed that the committee were established and trained on O&M of the borehole on 3rd July 2023. User fees of 1000 UGX were being collected per month per household.

3. Alindi borehole in kaberamaido S/C installed on 29th April 2023. Found Agiro Evaline (Caretaker) and Ojangi Walter (WUC member) who confirmed that the committee were established and trained on O&M of the borehole. User fees of 1000 UGX were being collected per month per household.

Because the trainings of the WUC were not conducted in the previous FY the score was 0

Investment Management

11

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:

Score 4 or else 0

The DWO presented a water facilities asset register dated 8th July 2023 specifying sub-county, parish, village, source type, source name and year constructed. However, the water sources constructed in the FY 2022/2023 were not yet updated in the register and sanitation facilities were not included in the list.

0

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDP/III) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p>	<p>The district implemented projects in the department during FY 2022/2023 out of which a sample of three projects was selected. (i) Siting and drilling of a deep bore hole in Akani Village, Oriamo Parish Oriamo sub county which was budgeted at shs 24,933,333 as per page 38 and 39 of the district approved budget for FY 2022/2023. The project was captured in the DDP III on page 83. The Field Appraisal Report was dated 2nd August, 2022; the Desk Appraisal Report was dated 29th July, 2022. (ii) Siting and drilling of the production well at Kaburepoli Village, Swagerere Parish in Ocerro sub county. It was budgeted at shs 30,000,000 as per page 38 and 39 of the district approved budget for FY 2022/2023. The project was captured on page 83 of the DDPIII. The Desk Appraisal Report was dated 29th July, 2022. (iii) Construction of two stance drainable latrine at Kaburepoli market in Ocerro sub county which was budgeted at shs 17,000,000 as per pages 38 and 39 of the district approved budget for FY 2022/2023. The project was captured on page 83 of the DDP III. The Desk Report was dated 29th July, 2022.</p>	4
		<p>Score 4 or else score 0.</p>		
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>The DWO presented community application forms for new water projects for the FY 2023/2024 and examples were;</p> <ol style="list-style-type: none"> 1. Application for borehole in Byeyale village, Ocherro S/C signed by the area LC1 on the 26th October 2023. 2. Application for borehole in Palatau village, Alwa S/C signed by the area LC1 on the 6th October 2023. 3. Application for borehole in Abedi village, Kaberamaido S/C signed by the area LC1 on the 6th October 2023. 	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>The Field Appraisal Reports were dated 2nd August and 8th August, 2022 and the Desk Appraisal Reports were dated 29th July, 2022. Environment and social acceptability were all executed as required.</p>	2

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>LG had no proof that water infrastructure projects for the current FY were screened for environmental and social risks/ impacts by the DEO and DCDO and incorporation of ESMPs into the BoQs because the Water Engineer had not located the water points by the time of assessment</p>	0
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>The water infrastructure investments were incorporated on page 2,3 and 4 of the LG approved procurement plan approved on 21/07/2023 and the projects included;</p> <ul style="list-style-type: none"> • Sitting, design and construction of 9 deep boreholes at Kaberamaido district. • Drilling of 2 large production wells. • Rehabilitation of 7 deep boreholes in Kaberamaido District and Extension of the piped water supply system from Alwa RGC to Ebeju RGC 	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>The water supply and public sanitation infrastructure for the FY2022/2023 was approved by the Contracts Committee as indicated in the following respective projects;</p> <p>Minute of the contracts committee dated 28th/9/2022 under minute CC/MIN/01/05/28/09/2022/2022/2023-5(1) for the approval of the construction of the 9 deep boreholes</p> <p>Minute of the contracts committee dated 28th/9/2022 under minute CC/MIN/01/05/28/09/2022/2022/2023-5(9) for the approval of the construction of the 2 large production wells.</p> <p>Minute of the contracts committee dated 5th/01/2023 under minute CC/MIN/07/04/04/01/2023/2022/2023-4(12) for the approval of the extension of the piped water supply system.</p>	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>The LG properly established the project implementation team as per the PPDA guidelines. The appointment letter by CAO dated July 26, 2022, indicates that Elomunait David was appointed as the contracts manager, Ewayu Francis (DE) as the project manager, Magambo Matheius as the environment officer, Odele Nelson as the CDO, and Ejotu Rogers as the labor officer.</p>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>The DWO presented India Mark II as the standards technical design used for the constructed boreholes.</p> <p>Three sampled water projects were visited to check if they were constructed as per the standard technical designs; Kirabet A borehole in Kobulubulu S/C, Aperkira borehole in Aperkira S/C and Alindi borehole in Kaberamaido S/C.</p> <p>The following measurements were checked and found to be within acceptable range in the standard technical design;</p> <ul style="list-style-type: none"> • Slab (apron) external diameter about 195 centimetres. • Length of drainage channel about 600 centimetres. • Sprout (pipe outlet) height about 45 centimetres. 	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2</p>	<p>The relevant technical officers carried out monthly technical supervision of WSS infrastructure projects as in the following supervision/monitoring reports and minutes of site meetings;</p> <ul style="list-style-type: none"> • Monitoring report dated 22/02/2023 for the construction of the 9 deep boreholes and signed by the Environment officer (Magambo Matheius), DCDO (Odela Nelson), District Engineer /DWO (Ewayu Francis) • Monitoring report dated 27/02/2023 for the drilling of two large production wells and rehabilitation of 7 deep boreholes signed by the Environment officer (Magambo Matheius), DCDO (Odela Nelson), District Engineer /DWO (Ewayu Francis) • Minutes of site meeting for the construction of 9 deep boreholes dated 17/11/2022 and signed on by all the relevant officers • Minutes of site meeting for the construction of 9 deep boreholes dated 13/10/2022 and signed on by all the relevant officers 	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts</p> <ul style="list-style-type: none"> o If 100 % contracts paid on time: Score 2 o If not score 0 	<p>The DLG implemented 4 projects in the department during FY 2022/2023 out of which three projects were sampled. (i) Payment to Multec Consultants Ltd for shs 91,285,307 for construction of 9 deep bore holes in various sub counties- Ocero, Apiliti, etc. Payment was effected on voucher number 5212217 dated 11th May, 2023. Initiation was done by the DWO on 14th March, 2023 and on the same date the CFO, CAO, DE, CDO and Environment Officer signed the payment. (ii) Payment to Ilai Group</p> <p>For shs 28,025,000 for extension of piped water line from Alwa to Abeyi trading centres. Initiation was done by the DWO on 14th March, 2023 and on the same date the CFO, CAO, DE, CDO and Environment Officer signed the payment. (iii) Payment to Pennoy Holding Ltd for shs 15,931,500 for construction of two stance pit latrine in Kaburepoli market in Ocero sub county on payment voucher number 4205500 dated 2nd March, 2023. Initiation was done by the DWO on 14th March, 2023 and on the same date the CFO, CAO, DE, CDO and Environment Officer signed the payment. (iv) Payment to Wajab Holding Ltd for shs 27,930,000 for rehabilitation of 7 boreholes</p> <p>in various sub counties. Payment was effected on voucher number 4389679 dated 23rd March, 2023. Initiation was done by the DWO on 15th March, 2023 and on the same date the CFO, CAO, DE, CDO and Environment Officer signed the payment.</p>	2

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:

Score 2, If not score 0

The LG had a complete procurement file for each water Infrastructure contract with all records as required by the PPDA Law as indicated below for the respective projects;

- Sitting, design and construction of 9 deep boreholes ((Procurement Reference no. KAB842/WKRS/2022/2023/00001)

Evaluation report dated 20/09/2022 with

Multec Consults Ug Ltd as the best evaluated bidder at 224,399,997.

Minutes of contracts committee dated 28th/9/2022 and project approve under minute CC/MIN/01/05/28/09/2022/2022/2023-5(1) and works contract signed on 21/10/2022

- Construction of 2 large production wells at Kaburapoli and Ogerai Rural growth Centre (Procurement Reference no. KAB842/WKRS/2022/2023/00009).

Evaluation report dated 20/09/2022 with

Multec Consults Ug Ltd as the best evaluated bidder at 72,000,000

Minutes of contract committee dated 13th/10/2022 and project approve under minute CC/MIN/01/05/28/09/2022/2022/2023-5(9) and works contract signed on 13th/10/2022.

- Rehabilitation of 7 deep boreholes in Kaberamaido district (Procurement Reference no. KAB842/WKRS/2022/2023/00049).

Evaluation report dated 4/01/2023 with

Wajab Holdings Ltd as the best evaluated bidder at 29,400,000

Minutes of contract committee dated 5th/1/2023 and project approve under minute CC/MIN/07/04/04/01/2023/2022/2023-4(12) and works contract signed on 23/01/2023

Environment and Social Requirements

Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework

Maximum 3 points this performance measure

Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:

Score 3, If not score 0

LG had not recorded any grievances under water for the FY 2022/2023 however the Central Grievance Log was available dated "2022/2023 to date"

14	Safeguards for service delivery <i>Maximum 3 points on this performance measure</i>	Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs: Score 3, If not score 0	NRO disseminated guidelines on water source & catchment protection and natural resource management to CDOs as evidenced by the minutes of the meeting that was held on 28/02/2023, Item 6 on the Agenda, Minute No. 28/02/2023 on unspecified page	3
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0	LG had prepared the water source protection plans and natural resource management plans for WSS facilities constructed in the FY 2022/2023 dated 01/07/2022 by the DCDO and the EO	3

Safeguards in the Delivery of Investments

Maximum 10 points on this performance measure

b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:

Score 3, If not score 0

Boreholes constructed in the FY 2022/2023 had land agreements signed between land owners and community presented by the LC 1 Chairpersons. Examples;

- Land agreement for Akani borehole in Alwa S/C signed on 5th November 2022 by the land owner Esegu William and Chairperson LC 1 Opio Simon.
- Land agreement for Alindi borehole in Oriamo S/C (new) formally Kaberamaido S/C signed on 25th October 2022 by the land owner Odamu Simon and Chairperson LC 1 Ekanu David.
- Land agreement for Kirabet A borehole in Kobulubulu S/C signed on 3rd January 2023 by the land owner Epau Samuel and Chairperson LC 1 Epau Samuel
- Land agreement for Akweri borehole in Ochero S/C signed on 9th November 2022 by the land owner Olobo Julius and Chairperson LC 1 Abiang Charles
- Land agreement for Obirai borehole in Kobulubulu S/C signed on 6th November 2022 by the land owner Atengo Zihma and Chairperson LC 1 Ocen Peter
- Land agreement for Ominai borehole in Alwa S/C signed on 11th August 2023 by the land owner Owatu Moses and Chairperson LC 1 Etwomu James
- Land agreement for Operkira borehole in Operkira S/C signed on 14th November 2022 by the land owner Eloru Peter and Chairperson LC 1 Ekudot Joseph

15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:</p> <p>Score 2, If not score 0</p>	<p>E&S Certification forms were completed and signed by Environmental Officer and DCDO prior to payments of contractors as evidenced below;</p> <ul style="list-style-type: none"> • E&S Certification form for the proposed drilling, casting and installation of a borehole at Alem Cell by the DEO&DCDO on 08/06/2023 • E&S Certification form for the proposed drilling, casting and installation of a borehole at Aperikira Village by the DEO&DCDO on 06/06/2023 • E&S Certification form for the proposed drilling, casting and installation of a borehole at Aminimal A Village by the DEO&DCDO on 09/06/2023 • E&S Certification form for the proposed drilling, casting and installation of a borehole at Alindi Village by the DEO&DCDO on 09/06/2023 	2
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 2, If not score 0</p>	<p>E&S Certification forms were completed and signed by Environmental Officer and DCDO prior to payments of contractors as evidenced below;</p> <ul style="list-style-type: none"> • E&S Certification form for the proposed drilling, casting and installation of a borehole at Alem Cell by the DEO&DCDO on 08/06/2023 • E&S Certification form for the proposed drilling, casting and installation of a borehole at Aperikira Village by the DEO&DCDO on 06/06/2023 • E&S Certification form for the proposed drilling, casting and installation of a borehole at Aminimal A Village by the DEO&DCDO on 09/06/2023 • E&S Certification form for the proposed drilling, casting and installation of a borehole at Alindi Village by the DEO&DCDO on 09/06/2023 	2

**Micro-scale
Irrigation
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>The LG had up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries as evidenced by the report dated 30/08/2023 page 3 of 5 prepared by written by the Senior Agricultural Engineer –Mr. James Kiyengo Nsubuga submitted to the DPO</p> <p>For the FY 2022/23, Kaberamaido district Local government was enrolled for the Micro scale irrigation program phase II. There was 52.3 acres of irrigated land for both beneficiaries and non-beneficiaries. The non-beneficiaries constituted to 50.1 acreage and the beneficiaries they constituted to a 2.2 acreage as indicated in the data collection report dated 30/08/2023 on page 4 of 6.</p> <p>Two demonstration sites were set out under micro scale irrigation grant beneficiary that is KTI sized at 1.2 acres and Inebe Monica sized at 1 acre.</p>	2
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>According to the reports on irrigated land by the Senior Agricultural Engineer –Mr. James Kiyengo Nsubuga to the DPO (written on 30/08/2022 and 30/08/2023), the District in the FY 2021/22 had 40.3 acres of irrigated land which increased to 52.3 acres in the FY 2022/23. Therefore, the increase in the irrigated land was</p> $((52.3 - 40.3)/40.3) \times 100 = 29.78\%$	2
2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%, score 4 • 60% - 70%, score 2 • Below 60%, score 0 	<p>A waiting results verification for LLG assessment.</p>	0

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0	The LG received a grant of 137,612,710, indicated in the workplan page 3 dated 23/01/2023 that was budgeted and used according to the set-out guidelines for districts under Phase II. Awareness raising for local leaders 20,630,000(15%), Awareness raising for farmers 54,526,000(40%), Farm visits 21,113,000(15%), Demonstration sites 41,300,000(30%) as evidenced from the Budget performance reports dated 23/01/2023. The balance of 43,710/= was swept back to the system.	2
Maximum score 6				
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	<p>The LG used force on account to acquire/procure the equipment that is:</p> <ol style="list-style-type: none"> 1. Poly Crystalline Solar panel 340watts mounted on a fixed structure. 2. Surface solar water pump, 40m total head at a flow rate of 1400 L/hr. 3. Completed piping with all accessories for transmission of water from source to the reservoir. 4. 5,000 Litres water tank mounted on a steel tank stand 3m high (off the ground) complete with all accessories as a reservoir. 5. Drip irrigation system complete with all accessories. 6. Sprinkler system. Low pressure sprinklers (0.1 to 0.3 bars) complete with raiser, rubbers, raiser supports and heads. 7. Drag Horse System complete with all accessories. <p>However, Acceptance form signed by the farmer confirming that equipment was working well wasn't documented.</p>	0
Maximum score 6				
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0	There was no contract awarded as direct procurement on force account was done. The LG requested for direct procurement of the design, supply and installation of the two solar powered demonstration sites on 7/06/2023 as no supplier was identified by the entity and was coming to the end of financial year, the LG through the COW submitted a request to the PDU to expedite the process. And the PDU under minute Number MIN/14/04/12/06/2023/2022/2023-(2) dated 12/06/2023 approved the request for force on account. Thus, there was an increase nor decrease in the agriculture Engineers estimates of 41,275,520 shillings as what was quoted as reserve was all used.	0
Maximum score 6				

3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY</p> <ul style="list-style-type: none"> • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0 	<p>All micro irrigation equipment was Installed/completed 100% within the FY 2022/23 as indicated in the completion report on the installation of irrigation demo sites for micro irrigation dated 30/06/2023. However, completion certificates were not awarded since no supplier contracts were awarded because it was a direct procurement and installation of Force on account.</p>	2
4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>a) Evidence that the LG has recruited LLG extension workers as per staffing structure</p> <ul style="list-style-type: none"> • If 100% score 2 • If 75 - 99% score 1 • If below 75% score 0 	<p>There was no evidence that the LG recruited LLG extension workers as per the staffing structure. Evidence obtained from approved structure revealed that, the LG required 49 extension workers as below;</p> <p>Agriculture Officer (7)</p> <p>Assistant Agric Officer (7)</p> <p>Veterinary Officer (7)</p> <p>Assistant Vet. Officer (7)</p> <p>Fisheries Officer (7)</p> <p>Assistant Fisheries Officer (7)</p> <p>Animal Husbandry Officer (7)</p> <p>However, only 11 were recruited which was 22% far below the minimum</p>	0

Achievement of standards: The LG has met staffing and micro-scale irrigation standards

Maximum score 6

b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF

- If 100% score 2 or else score 0

There was evidence that the micro-scale irrigation equipment met standards as defined by MAAIF.

1. Poly Crystalline Solar panel 340watts mounted on a fixed structure was evident.
2. Surface solar water pump, 40m total head at a flow rate of 1400 L/hr was evident.
3. Completed piping with all accessories for transmission of water from source to the reservoir was evident.
4. 5,000 Litres water tank mounted on a steel tank stand 3m high (off the ground) complete with all accessories as a reservoir was evident.
5. Drip irrigation system complete with all accessories was evident.
6. Sprinkler system. Low pressure sprinklers (0.1 to 0.3 bars) complete with raiser, rubbers, raiser supports and heads was evident.
7. Drag Horse System complete with all accessories was evident.

Achievement of standards: The LG has met staffing and micro-scale irrigation standards

Maximum score 6

b) Evidence that the installed micro-scale irrigation systems during last FY are functional

- If 100% are functional score 2 or else score 0

From the 2 sampled irrigation facilities installed in the FY 2022/2023, it was evident that both were functional.

sample 1(Kaberamaido technical institute (kti)) in kobulubulu sub-county of Irrigated land 1.2 acres

5,000 Litres water tank mounted on a steel tank stand 3m high (off the ground) complete with all accessories as a reservoir was evident.

Sprinkler system on 0.2 acres. Low pressure sprinklers (0.1 to 0.3 bars) complete with raiser, rubbers, raiser supports and heads was evident.

Drip irrigation system on 0.25 acres complete with all accessories was evident.

Drag Horse System complete with all accessories on 0.75 acre was evident.

Completed piping with all accessories for transmission of water from source to the reservoir was evident.

sample 2. inebe monica demonstration farm in ochero sub-county of Irrigated land 1 acre.

Poly Crystalline Solar panel 340watts mounted on a fixed structure was evident and functional

Surface solar water pump, 40m total head at a flow rate of 1400 L/hr was evident and functional.

Completed piping with all accessories for transmission of water from source to the reservoir was evident.

5,000 Litres water tank mounted on a steel tank stand 3m high (off the ground) complete with all accessories as a reservoir was evident.

Drip irrigation system on 0.25 acres complete with all accessories was evident.

Sprinkler system on 0.2 acres. Low pressure sprinklers (0.1 to 0.3 bars) complete with raiser, rubbers, raiser supports and heads was evident.

Drag Horse System complete with all accessories was evident.

Thus, the entire system was functional and the host farmer Mrs Inbee Monica was found irrigating.

5	Accuracy of reported information: The LG has reported accurate information	a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0	There was no evidence that information on positions of extension workers filled was accurate. Three (3) LLGs were sampled and visited and below were the findings;	0
	Maximum score 4		<ol style="list-style-type: none"> 1. Ochero Town Council does not have an extension worker both on the staff list and attendance 2. In Oriamo Sub County, the staff list found on the noticeboard contained the name of Engwenyu Richard as Agric Officer which was simply written by Pen. However, when staff attendance was reviewed, he was nowhere to be seen. 3. Okile Sub County did not have a staff list in place. However, they had staff attendance register. When attendance was reviewed, it became clear that there was no any extension worker. 	
5	Accuracy of reported information: The LG has reported accurate information	b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0	The status functional report of the Kaberamaido Technical institute and Inebe Monica demonstration sites by Senior Agricultural Engineer –Mr. James Kiyengo Nsubuga on 30/06/2023 showed that the systems were functional and the sprinkler pressures were optimal.	2
	Maximum score 4		From the visit to the site by the assessment team on 31/10/2023 revealed that the information on demonstration site status was accurate for both demonstration site that is Inebe Monica and Kaberamaido Technical Institute demonstration site.	
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0	<p>Four sets of Quarterly supervision and monitoring report by the Senior Agricultural Engineer –Mr. James Kiyengo Nsubuga DPO was presented for assessment.</p> <p>The Q1 report was written on 5/10/2022, Q2 on 06/01/2023, Q3 on 4/04/2023 and Q4 on 05/07/2023. The supervisions reports captured the status of the irrigated land, equipment functionality, the provision of complementary services and farmers expression of interest.</p>	2
	Maximum score 6			

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0	The LG had entered up to- date LLG information into MIS as evidenced from the MIS. EOI were 290 of which 223 -successful and 67 -un successful.	1
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	c.Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0	The LG had prepared quarterly report using information compiled for LLGs in the MIS as evidenced by reports dated 5/10/2022,06/01/2023,4/04/2023,5/7/2023	1
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	The LG developed an approved Performance Improvement Plan for the lowest performing LLGs as evidenced in the Action plan dated 10/01/2023.	1
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	There was a performance improvement plan in place as evidenced in the report on implementation of approved performance improvement plan dated 19/06/2023. <ul style="list-style-type: none"> • Field visits to farmers have been conducted to have up to date data on irrigated land. • Farmer trainings through training farmer field schools and demo sites. • Farmer awareness and mobilization campaigns have been carried out through farmer field days and awareness meetings. 	1

Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	There was no evidence that the LG had budgeted for extension workers for the FY 2023/2024 since no evidence was availed at the time of assessment.	0
Maximum score 6				
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	ii Deployed extension workers as per guidelines score 1 or else 0	There was no evidence to determine deployed extension workers as per guidelines since no evidence was availed at the time of assessment.	0
Maximum score 6				
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0	There was no evidence that extension workers were working in the LLG where they were deployed. This was confirmed by reviewing staff list and attendance registers in the 3 LLGs sampled (Ochero TC, Okile SC and Oriamo SC)	0
Maximum score 6				
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0	There was no evidence that extension workers deployment had been published and disseminated to the LLGs. For stance in Okile SC, there was nothing to view on the noticeboard. However, staff lists were found displayed on the noticeboards of Oriamo SC and Ochero TC. But all the 3 LLGs lacked extension workers and therefore no deployment on noticeboards.	0
Maximum score 6				

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has:</p> <p>i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0</p>	<p>There was no evidence that DPO conducted appraisal for all extension workers against the agreed performance plans and submitted a copy to HRO. For stance,</p> <ol style="list-style-type: none"> 1. Emenyu Thomas (Animal Husbandry Officer) for Alwa SC was not appraised. 2. Amatu Johnathan (Agric Officer) Kaberamaido SC was appraised on 30/6/2023 3. Orai Julius (Animal Husbandry Officer) Aperkira SC was appraised on 30/6/2023 4. Odongo Isac (Animal Husbandry Officer) Ochero SC was appraised on 5/7/2023 5. Obongi James (Agric Officer) Alwa SC was appraised on 7/7/2023 6. Oriekot Peter (Agric Officer) of Aperkira SC was appraised on 30/6/2023 7. Engwenyu Richard (Agric Officer) of Kabulubulu SC was appraised on 12/7/2023 	0
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8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has;</p> <p>Taken corrective actions: Score 1 or else 0</p>	<p>There was no evidence that the District Production Coordinator took corrective actions and no reason was given</p>	0
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8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>b) Evidence that:</p> <p>i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0</p>	<p>Training activities were conducted in accordance to the training plans at District level as evidenced in training reports dated 31/01/2023. Awareness raising micro-scale irrigation held on 25/01/2023 at district council chambers were RDC, DISO, CAO, district chairperson, all district councillors, opinion leaders, religious leaders and heads of departments attended.</p> <p>Furthermore, production department workers attended online training and received certificates of completion per module. The available training certificates showed that the Senior Agricultural Engineer –Mr. James Kiyengo Nsubuga completed all the four modules for micro scale irrigation program training: the completion dates were as follows:</p> <p>Module 1 (7/4/2023), Module 2 (7/4/2023), Module 3 (7/4/2023), Module 4 (7/4/2023)</p> <p>Jesca Ajilo, Agricultural Officer completed module 1 and Module 2 of the micro scale irrigation program on 7/4/2023 and 21/4/2023 respectively</p> <p>Anthony Opio, Agricultural Officer completed module 1 Module 3 and Module 4 of the micro scale irrigation program on 19/7/2022, 3/2/2023 and 3/2/2023 respectively</p> <p>Julius Orai, Agricultural Officer completed module 2 Module 3 and Module 4 of the micro scale irrigation program on 20/1/2022, 20/1/2023 and 24/1/2023 respectively.</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>ii Evidence that training activities were documented in the training database: Score 1 or else 0</p>	<p>There was no evidence that training activities were documented in the training database as no document was available for review at the time of assessment.</p>	0

Management, Monitoring and Supervision of Services.

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 - 75% capital development; and 25% complementary services):</p>	<p>The LG received a grant of 137,612,710, indicated in the workplan page 3 dated 23/01/2023 that was budgeted and used according to the set-out guidelines for districts under Phase II. Awareness raising for local leaders 20,630,000(15%), Awareness raising for farmers 54,526,000(40%), Farm visits 21,113,000(15%), Demonstration sites 41,343,710(30%) as evidenced from the Budget and work plan dated 23/01/2023.</p>	2
	<p>Maximum score 10</p>	<p>Score 2 or else 0</p>		
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools):</p>	<p>Not applicable because they were still on rollout at the time of assessment.</p>	0
	<p>Maximum score 10</p>	<p>Score 2 or else score 0</p>		
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>Not applicable since it was still in process.</p>	0
	<p>Maximum score 10</p>			

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>Not applicable.</p>	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>There was no evidence the LG had disseminated information on use of the farmer co-funding since on evidence was availed at the time of assessment.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 Less than 70% score 0 	<p>Not Applicable.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>There was no evidence that the LG oversaw technical training and support to the approved farmer to achieve servicing and maintenance during the warranty period since no evidence was provided at the time of assessment.</p> <p>Furthermore, the equipment was installed on the 30/06/2023 at the end of FY 2022/2023. Thus this was not applicable for FY 2022/2023.</p>	0

10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0	There was evidence that the LG had provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines according to the farm visit reports dated 27/02/2023. 1 male and 6 females were trained. These were trained on how to use the IRR- TRACK APP, filling and documentation, collection of EOI from farmers.	2
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	Not applicable	0
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	There was evidence that the LG had conducted activities to mobilize farmers as per guidelines according to the awareness and sensitization report dated 6/04/2023. Nine sensitization meetings and workshops were conducted across the 9 sub counties. This was also evident in the field photos taken and attendance sheets dated 4/04/2023.	2
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	A training report by the DPO – Agaja Joseph authored on 31/01/2023 showed that the District councilors and sub county chairpersons were trained / sensitized on the micro scale irrigation program. Awareness rising events and training were organized in ten groups; that is one cluster for LG leaders at district level on 25/01/2023 and 9 clusters for leaders at the LLG. Kaberamaido T/C on 10/01/2023, Kaberamaido S/C on 11/01/2023, Kobulubulu S/C on 12/01/2023, Okile T/C on 13/01/2023, Ochelo TC& Ocherero S/C on 17/01/2023, Aperikera S/C on 18/01/2023, Alwa S/C & Oyamo S/C on 20/01/2023 The sensitization session was chaired by the CAO while the DPO made presentations and the District Chairperson closed the sensitization session.	2

Investment Management

Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines

Maximum score 8

a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0

There was evidence that the LG had asset registers FY2021/2022 of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format of inventory of irrigation equipment as of 30/06/2023.

Kaberaido technical institute (KTI) in Kobulubulu sub-county

5,000 Litres water tank mounted on a steel tank stand 3m high (off the ground) complete with all accessories as a reservoir

Sprinkler system on 0.2 acres. Low pressure sprinklers (0.1 to 0.3 bars) complete with raiser, rubbers, raiser supports and heads

Drip irrigation system on 0.25 acres complete with all accessories.

Drag Horse System complete with all accessories on 0.75 acre.

Completed piping with all accessories for transmission of water from source to the reservoir.

Inebe Monica demonstration farm in Ocheru sub-county

Poly Crystalline Solar panel 340watts mounted on a fixed structure.

Surface solar water pump, 40m total head at a flow rate of 1400 L/hr was evident and functional.

Completed piping with all accessories for transmission of water from source to the reservoir.

5,000 Litres water tank mounted on a steel tank stand 3m high (off the ground) complete with all accessories as a reservoir.

Drip irrigation system on 0.25 acres complete with all accessories.

Sprinkler system on 0.2 acres. Low pressure sprinklers (0.1 to 0.3 bars) complete with raiser, rubbers, raiser supports and heads.

Drag Horse System complete with all accessories.

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p>	<p>A database of farmers' expression of interest in the micro scale irrigation was provided for assessment. The database had a list of 290 expression of interest and was updated with the latest entry made on 30/08/2023.</p>	2
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>Farm visits were carried out as evidenced by the farm visit reports dated 31/07/2023.</p> <p>From the farm visits a number of parameters were assessed including area to be irrigated, nature of water source, distance from the water source to the furthest point of the garden, agronomic practices and equipment of preference.</p> <p>183 farm visits were made for the farmers that had expressed interest in the micro scale irrigation program. A number of farmers later signed agreement to proceed for quotation.</p> <ol style="list-style-type: none"> 1. Anoku Johnson Farmer unique ID KAB/2023-06-02/male/57117 On 6/7/2023. 2. Ebitu Sam Farmer unique ID KABERAMAIDO/2023-02-25/male/41913 on 7/7/2023. 3. Alupo Margret Farmer Unique ID KAB/2023-8-07/female/655 On 12/8/2023 (Randomly sampled) 	2
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects:</p> <p>Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>There was evidence that the LG District Agricultural Engineer publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards. The list was found on the noticeboard at Head Office, Ochero TC and Oriamo SC. However, Okile SC did not have the eligible farmers list publicised on the noticeboard.</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>Page 5 of the approved procurement plan for FY 2023-2024, approved on 10/10/2023 under the production department, indicates that the micro scale irrigation system was not incorporated into the approved procurement plan for FY 2023-2024.</p>	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0	The LG did not request for quotation from irrigation equipmSuppliers as the LG received the list of pre-qualified equipment suppliers from MAAIF very late on 27th/10/2023 at 22:22am as per the email message for the receipt of the list presented by the production officer at the time of assessment.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0	The LG did not conclude the selection of the irrigation equipment supplier as the LG received the list of pre-qualified equipment suppliers from MAAIF very late on 27th/10/2023 at 22:22am as per the email message for the receipt of the list presented by the production officer at the time of assessment	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0	The micro-scale irrigation system installed was not approved by the Contracts Committee as no equipment supplier was identified.It was implemented on force on account as per the direct procurement request letter dated 7/06/2023 from the production unit to CAO for the Design, Supply and installation of two solar powered demonstration sites. The request letter was submitted to PDU by CAO to expedite the process and the PDU under minute MIN/14/04/12/06/2023/2022/2023-(2) approved the request for force account	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	The LG did not sign the contract with the lowest priced technically responsive irrigation equipment supplier as the project was implemented on force on account.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	f)Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	The micro-scale irrigation equipment installed was in line with the design output sheet (generated by irri Track App). The irrigation points were 7-10m apart and the drag horse 50m efficient for irrigation acreage coverage.	2

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0</p>	<p>Farm visits carried out and supervision reports filed by Senior Agricultural engineer- Mr. James Kiyengo Nsubuga dated 31/08/2023, it was evidenced that the LG conducted regular technical supervision of the Micro-scale irrigation projects. However, these reports were all beyond the deadline for FY 2022/2023</p> <p>Further more, there was no evidence in the site books that the LG conducted regular technical supervision of micro-scale irrigation since no one appended his/her signature anywhere.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>h) Evidence that the LG has overseen the irrigation equipment supplier during:</p> <p>i. Testing the functionality of the installed equipment: Score 1 or else 0</p>	<p>All procurement and installation were Force on account. Supervision reports by Senior Agricultural Engineer dated 31/08/2023 were available and these indicated functionality of the equipment</p> <p>However, a functionality report of the irrigation demonstration sites was prepared and presented by the Senior Agricultural engineer- Mr. James Kiyengo Nsubuga on the 31/08/2023 indicating that all equipment was functional for the last two months from the date of installation.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0</p>	<p>There was no delivery note by the supplies and goods received note by the approved farmer since there was no approved supplier. All procurement and installation were Force on account.</p> <p>However, supervision reports dated 31/08/2023 filled by Senior Agricultural Engineer -Mr. James Kiyengo Nsubuga on installation and procurement were available.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0</p>	<p>Not applicable since it was a force on account.</p>	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	The LG did not have a complete procurement file as the project was implemented on force account where the procurement and implementation was done by the LG was used	0
	Maximum score 18			

Environment and Social Safeguards

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0	LG had displayed the Grievance Redress Path on the notice board of the production department dated 03/07/2022 and signed by the Chairperson and Secretary of the GRC	2
	Maximum score 6			

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	b) Micro-scale irrigation grievances have been: i). Recorded score 1 or else 0 ii). Investigated score 1 or else 0 iii). Responded to score 1 or else 0 iv). Reported on in line with LG grievance redress framework score 1 or else 0	LG had no grievances under micro irrigation in the FY 2022/2023 as indicated in the grievance stock-taking tool from MAAIF dated January 2023.	1
	Maximum score 6			

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	b) Micro-scale irrigation grievances have been: ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	LG had no grievance investigated under micro irrigation in the FY 2022/2023. However the grievance stock-taking tool from MAAIF was in place dated January 2023 ready to take on grievance.	1
	Maximum score 6			

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>No grievances were investigated, recorded and responded to. However the grievance stock-taking tool from MAAIF was in place dated January 2023 ready to take on grievance.</p>	1
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14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>No grievance was reported online since none was recorded. However the grievance online tool was in place take on grievance.</p>	1
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Environment and Social Requirements

15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>a) Evidence that LGs have disseminated Micro-irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.</p> <p>score 2 or else 0</p>	<p>The guidelines were in place and MOUs were signed between the LG and the host farmers. The LG disseminated these guidelines through the E&S input distribution list for E&S requirements guidelines, farmers brochure part 1&2 dated April & May/2023 where 10 members were inducted on the different farm visits as indicated in the report on farm visits dated 30/06/2023.</p> <p>MOU dated 4/04/2023 was signed between the Principal of Kaberamaido Technical Institute- Mr Oluge Christopher NIN CM64086102WMLH and the LG. MOU dated 7/04/2023 was signed between Inebe Monica NIN CF790541020P8A and the LG.</p> <p>Clauses to adhere with requirements for proper storage, use, transportation, and disposal of agro-chemicals and follow E&S recommendations were evidenced on page 1 of 2 of the signed MOUs.</p> <p>However, there was no evidence of land access without Encumbrance since no land title nor land agreement was availed at the time of assessment from the two host farmers.</p>	2
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15	Safeguards in the delivery of investments Maximum score 6	<p>b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.</p> <p>i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0</p>	<p>LG had prepared costed ESMPs for micro scale irrigation investments in FY 2022/2023 as follows;</p> <ul style="list-style-type: none"> • Costed ESMP of 11,400,000/= for the proposed micro scale irrigation programme demonstration site at Kaberamaido Technical Institute by the EO and DCDO on 02/05/2023 • Costed ESMP of 11,400,000/= for the proposed micro scale irrigation programme demonstration site in Ochero Village by the EO and DCDO on 01/05/2023 	1
15	Safeguards in the delivery of investments Maximum score 6	<p>ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0</p>	<p>LG had conducted monthly monitoring of micro scale irrigation investments in FY 2022/2023 as follows;</p> <ul style="list-style-type: none"> • E&S Monitoring for the proposed micro scale irrigation programme demonstration site at Kaberamaido Technical Institute and Ochero Village by the EO and DCDO on 13/12/2023 • E&S Monitoring report for the proposed micro scale irrigation programme demonstration site at Kaberamaido Technical Institute and Ochero Village by the EO and DCDO on 28/04/2023 • E&S Monitoring report for the proposed micro scale irrigation programme demonstration site at Kaberamaido Technical Institute and Ochero Village by the EO and DCDO on 30/03/2023 	1
15	Safeguards in the delivery of investments Maximum score 6	<p>iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0</p>	<p>LG had Certification forms completed and signed by EO for micro scale irrigation investments in FY 2022/2023 as follows;</p> <ul style="list-style-type: none"> • E&S Certification form for the proposed micro scale irrigation programme demonstration site at Kaberamaido Technical Institute EO on 18/05/2023 • E&S Certification form for the proposed micro scale irrigation programme demonstration site in Ochero Village on 18/05/2023 	1

Safeguards in the delivery of investments

Maximum score 6

iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0

LG had Certification forms completed and signed by DCDO for micro scale irrigation investments in FY 2022/2023 as follows;

- E&S Certification form for the proposed micro scale irrigation programme demonstration site at Kaberamaido Technical Institute 18/05/2023

- E&S Certification form for the proposed micro scale irrigation programme demonstration site in Ochero Village on 18/05/2023

**Crosscutting Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	Mr. Ojur Francis was substantively appointed as Chief Finance Officer as per the appointment letter dated 8/10/2008 under DSC Min: 27/2008 (x)	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	The position of District Planner wasn't substantively filled at the time of assessment. However, Mr. Elalu Albert was assigned by CAO as Ag. District Planner on 4/11/2019	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	The position of District Engineer wasn't substantively filled at the time of assessment. However, Mr.Elomunait David was assigned by CAO as Ag. District Engineer on 20/07/2023	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	The position of District Natural Resource Officer wasn't substantively filled at the time of assessment. However, Mr. Magambo Mathias was assigned by CAO as Ag. District Engineer on 20/04/2017	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	Mr. Chakua Wilfred was substantively appointed as District Production Officer as per the letter of appointment dated 20/03/2017 and DSC Min No. 11 (i) /2017 - 1	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	Mr. Odela Nelson was substantively appointed as District Community Development Officer on 16/06/2015 under DSC Min No. 28 (vii)/2015	3

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	The position of Principal Commercial Officer wasn't substantively filled at the time of assessment. However, Eyamu David was assigned by CAO as Ag. PCO on 3/07/2023	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	Mr. Ejupa Micheal Oyuu was substantively appointed as Senior Procurement Officer on 21/06/2019 under DSC Min No. 18/2019 (a) - 7	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	Mr. Etoku Emmanuel was substantively appointed as Procurement Officer on 1/02/2021 under DSC Min No. 14/2020 (r) - 1	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	Mr. Omwanet John Bosco was substantively appointed as Principal Human Resource Officer as per the appointment letter dated 15/10/2018 under Min No. 27/2018 (a) - 4	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	Mr. Magambo Mathias was substantively appointed as Senior Environment Officer on 26/10/2017 under Min No. 30/2017/ (a) - 1	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	k. Senior Land Management Officer /Physical Planner, score 2 or else 0	The staff file for Senior Lands Management Officer was missing at the time of assessment and couldn't verify whether the position was substantively filled or not.	0

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>l. A Senior Accountant, score 2 or else 0</p>	<p>Mr. Elemu Enuru Charles was substantively appointed as Senior Accountant on 21/06/2019 under DSC Min No. 18/2019/ (a) - 8</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0</p>	<p>The position of Principal Internal Auditor wasn't substantively filled at the time of assessment. However, Ebwamu Milton was assigned by CAO as Ag. Principal Internal Auditor on 4/01/2023</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p>	<p>Mr. Ochen Peter was substantively appointed as Principal Human Resource Officer - DSC as per the appointment letter dated 22/11/2021 under DSC Min No. 82/2021 (b) - 2</p>	2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).

The LG had 9 LLGs which included 7subcounties and 2 Town Councils. However, findings of records reviewed revealed that some were in the acting capacity as shown below;

1. Edwogu Moses was the (Ag. SACAO) of Aperkira SC assigned by CAO on 2/7/2020

2. Ayeco Stella was the (Ag. Town Clerk) of Kaberamaido TC assigned by CAO on 30/11/2021

3. Apio Agnes was the (Ag. Town Clerk) of Ochero TC assigned by CAO on 4/10/2021

4. Eyamu Calvin was the (Ag. SACAO) of Oriamo Sc assigned by CAO on 28/7/2023

5. Elasu Moses Ebusu was the (Ag. SAS) Ochero Sc assigned by CAO on 10/08/2021

6. Aliano Jane SAS of Kaberamaido SC was substantively appointed on 26/5/2017 under DSC Min No. 18/2017 (c) (ii) - 1

7. Opio Anthony (SACAO) of Okile SC was substantively recruited on 30/5/2019 under DSC Min No. 12/2019 (ii) - 2

8. Amuso Esther (SACAO) Kabulubulu Sc was substantively appointed on 30/5/2019 under DSC Min No. 12/2019 (ii) - 1

9. Eripu Emmanuel (SACAO) Alwa Sc was substantively appointed on 8/10/2021 under DSC Min No. 63/2021 (a) - 1

2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

The LG had 9 Community Development Officers but not all were substantively appointed as indicated below. Also important to note was that due to poor records management, two staff files were not presented for review.

1. Egodu Alfred was the (Ag. Snr. CDO) of Ochero TC as assigned by CAO 5/08/2021

2. Ayeco Stella (Snr.CDO) Kaberamaido TC was substantively recruited on 1/02/2021 under DSC Min No. 14/2020 (b) -1

3. Apaso Fiona (CDO) Kobulubulu Sc was substantively recruited on 16/10/2019 under DSC Min No. 27/2019 (d) (i) -1

4. Egodu Alfred (CDO) Ochero Sc was substantively appointed on 30/5/2019 under DSC Min No. 12/2019 (xvii) -3

5. Olobo Richard (CDO) Aperkira Sc was substantively appointed on 15/10/2018 under DSC Min No. 27/2018 (b) -29

6. Icwalo Annet (CDO) Kaberamaido Sc was substantively recruited on 30/5/2019 under DSC Min No. 12/2019 (xvii) -2

7. Ayudu Jane Judith (CDO) Alwa Sc was substantively recruited on 16/10/2019 under DSC Min No. 27/2019 (d) (i) - 2

0

2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

The LG had ten (10) Accounts Assistants who included 2 Snr.AA and 8 AA and all were substantively appointed as shown below;

1. Amayo Harriet (Assistant Accountant) of Ochero SC was substantively appointed on 3/7/2023 under DSC Min No. 30/2023 (ii)

2. Akello Christine Omara (Assistant Accountant) of

5

Kobulubulu Sc was substantively appointed on 1/02/2021 under DSC Min No. 14/2020 (t)-2

3. Okar Morris (Assistant Accountant) of Okile Sc was substantively appointed on 1/02/2021 under DSC Min No. 14/2020 (t) – 1

4. Ekadu Micheal (Snr. Assistant Accountant) of Ochero TC was substantively recruited on 20/4/2021 under DSC Min No. 30/2021 (b) – 2

5. Eiga Julius (Snr. Assistant Accountant) of ochero Sc was substantively appointed on 15/4/2010 under DSC Min No. 11 (i) 2010

6. Elogu Peter (Assistant Accountant) of Kaberamaido Sc was substantively appointed on 22/4/2021 under DSC Min No. 37/2021 (c) -2

7. Okello John (Assistant Accountant) of Oriamo Sc was substantively appointed on 11/2/2022 under DSC Min No. 6/2022 (1) – 1

8. Alaso Martha (Snr. Assistant Accountant) of Aperkira Sc was substantively appointed on 18/5/2023 under DSC Min No. 13/2023 (a) – 2

9. Euchu Micah (Snr. Assistant Accountant) of Kaberamaido TC was substantively appointed on 14/5/2012 under DSC Min No. DSC 41 (ix) /2012

10. Akello Nancy (Assistant Accountant) of Alwa SC was substantively appointed on 28/6/2012 under DSC Min No. 35/2022 (vi)

Environment and Social Requirements

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	As for the Natural Resources Department, Shs 282,702,000 was warranted as reflected on page 8 of the Quarterly Budget Performance Report of quarter four for FY 2022/2023.	2
	Maximum score is 4	a. Natural Resources department, score 2 or else 0	Shs 282,702 was released as detailed on page 8 of the Quarterly Budget Performance Report of quarter four for FY 2022/2023. Performance was 100% as required.	
3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	For the Community Based Services Department, Shs 200,648,000 was warranted as reflected on page 8 of the Quarterly Budget Performance Report of quarter four for FY 2022/2023.	2
	Maximum score is 4	b. Community Based Services department. score 2 or else 0.	Shs 200,648,000 was released as detailed on page 8 of the Quarterly Budget Performance Report of quarter four for FY 2022/2023. Performance was 100% as required.	
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0	LG had carried out Environment and Social Screening of the only DDEG project in FY 2022/2023 as evidenced by the E&S Screening Form signed by the EO & DCDO for the Renovation of the main administration block at Kaberamaido DLG on 08/09/2022	4
	Maximum score is 12			

4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),	The only DDEG project that was implemented in the FY 2022/2023 did not require ESIA given its small scope according to Schedule 5 of the National Environment Act No. 05 of 2019	4
	Maximum score is 12	score 4 or 0		
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;	LG had prepared a Costed ESMP of 3,700,000/= for the proposed renovation of the main administration block at Kaberamaido DLG as the only DDEG project dated 10/12/2022	4
	Maximum score is 12	score 4 or 0		

Financial management and reporting

5	Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.	If a LG has a clean audit opinion, score 10;	Kaberamaido DLG had Un-qualified Auditor General's opinion in the FY 2022/2023.	10
	Maximum score is 10	If a LG has a qualified audit opinion, score 5		
		If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0		
6	Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).	If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),	The CAO's letter dated 14th April, 2023 under reference CR/40/401 responded to the IAG findings for FY 2022/2023. This was done outside the scheduled time frame of February, 2023.	0
	maximum score is 10	score 10 or else 0.	There was no evidence that LG responded to findings by the Auditor General during FY 2021/2022 by end of February, 2023.	

7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p> <p>Maximum Score 4</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p> <p>score 4 or else 0.</p>	<p>The LG submitted the Annual Performance Contract through the CAO's letter dated 4th August, 2023 under reference CR/254/1. The letter was copied to PS MoLG, RDC and Chairman of the district. However, there was no evidence that the CAO's letter was acknowledged by the PS/ST as required.</p>	0
8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The Annual Performance Report was submitted by PBS on 11th August, 2023 and it was approved on the same day.</p>	4
9	<p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p> <p>Maximum score is 4</p>	<p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The LG submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the Previous FY 2022/2023 by August 31 as follows:</p> <p>Quarter 1 QBPR was submitted on 20th December, 2022;</p> <p>Quarter 2 QBPR was submitted on 27th February, 2023; Quarter 3 QBPR was submitted on 12th May, 2023 and Quarter 4 QBPR was submitted on 11th August, 2023,</p>	0

**Education Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	The position of District Education Officer was not substantively filled at the time of assessment. However, Mr. Elyebu Richard was assigned by CAO as Ag. DEO on 6/08/2019	0
<i>The Maximum Score of 70</i>				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	b) All District/Municipal Inspector of Schools, score 40 or else 0.	There was evidence that all positions of District Inspector of Schools were substantively recruited. 1. Mr. Elyebu Richard was substantively appointed as Senior Inspector of Schools as per the appointment letter dated 30/5/2019 under DSC Min No. 12/2019 (xviii) – 1 2. Mr. Ebinu Pius was substantively appointed as Inspector of Schools as per the appointment letter dated 20/04/2021 under DSC Min No. 30/2021 (d)	40
<i>The Maximum Score of 70</i>				
Environment and Social Requirements				
2	Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)	If the LG carried out: a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.	LG had carried out Environmental, Social and Climate Change Screening of the only two education projects prior to commencement of all civil works for FY 2022/2023 as evidenced below; • E&S Screening form for the proposed construction of a 2 classroom block with an office space at Awelu P/S by the DEO&DCDO on 12/01/2022 • E&S Screening form for the proposed construction of a 2 classroom block with an office space at Abira P/S by the DEO&DCDO on 17/05/2022	15
<i>The Maximum score is 30</i>				

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:
b. Social Impact Assessments (ESIAs) , score 15 or else 0.

All education projects that were implemented in FY 2022/2023 did not require ESIAs given their small scope, according to the National Environment Act N0. 05 of 2019

The Maximum score is 30

Health Minimum Conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.</p>	<p>The District Health Officer was not substantively recruited. However, Dr. Odongo James Daniel was assigned by CAO as Ag. DHO on 22/03/2018</p>	0
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0</p>	<p>The Assistant District Health Officer - Maternal, Child Health was not substantively filled. However, Ms. Acio Susan was assigned by CAO as Ag. ADHO on 31/03/2023</p>	0
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>c. Assistant District Health Officer Environmental Health, score 10 or else 0.</p>	<p>Mr. Ocen Gregory was substantively appointed as ADHO Environmental Health on 9/05/2013 under DSC Min No. 15 (v)/2013</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.</p>	<p>Mr. Oyuu Kassim was substantively appointed as Senior Environment Health Officer as per the appointment letter dated 1/12/2021 under DSC Min No. 18/2019 (a) - 5</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>e. Senior Health Educator, score 10 or else 0.</p>	<p>Ms. Tino Grace was substantively appointed as Senior Health Educator as per the appointment letter dated 1/12/2021 under DSC Min No. 27/2018 (a) - 6</p>	10

1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>Mr. Edadu Charles was substantively appointed as Biostatistician as per the appointment letter dated 1/12/2021 under DSC Min No. 14/2020 (d) - 1</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>Mr. Okello Francis was substantively appointed as District Cold Chain Technician as per the appointment letter dated 10/9/2008 under DSC Min No. 21/2008 – 2.18</p>	10
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>i. Principal Health Inspector, score 20 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>j. Health Educator, score 20 or else 0</p>		

Environment and Social Requirements

2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>LG had carried out Environmental, Social and Climate Change of the only Health project for FY 2022/2023 as evidenced by the following E&S Screening form by the DEO and DCDO below;</p> <ul style="list-style-type: none"> • The proposed construction of Abirabira HC II on 07/02/2023 • Rehabilitation of a surgical Ward on 04/08/2022 • Construction of main Theatre at Kaberamaido Hospital on 02/09/2022 	15
2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>b. Social Impact Assessments (ESIAs) , score 15 or else 0.</p>	<p>The only health project for FY 2022/2023 did not require ESIAs given their small scope according to the NEA No. 05 of 2019</p>	15

**Micro-scale Irrigation
Minimum Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p>	<p>If the LG has recruited;</p> <p>a. the Senior Agriculture Engineer</p> <p><i>score 70 or else 0.</i></p>	<p>Eng. Kiyego James Nsubuga was substantively appointed as Senior Agriculture Engineer as per the appointment letter dated 30/6/2022 under DSC Min No. 43/2022 (ii) - 1</p>	70
Environment and Social Requirements				
2	<p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p>	<p>If the LG:</p> <p>Carried out Environmental, Social and Climate Change screening score 30 or else 0.</p>	<p>LG had carried out Environmental, Social and Climate Change screening of the only two micro scale irrigation investments for FY 2022/2023 as evidenced below;</p> <ul style="list-style-type: none"> • E&S Screening form for the proposed micro scale irrigation programme demonstration site at Kaberamaido Technical Institute by the EO and DCDO on 22/02/2023 • E&S Screening form for the proposed micro scale irrigation programme demonstration site in Ocherero Village by the EO and DCDO on 22/02/2023 	30

Water & Environment Minimum Conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	Mr. Elomunait David was substantively appointed as Civil Engineer (Water) as per the appointment letter dated 21/01/2020 under DSC Min No. 4/2020 (c) - 1	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	Although the approved staff structure doesn't provide for this position, Ejotu Rogers (CDO) was assigned duties of Assistant Water officer for mobilization by CAO on 18th February 2021	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	Mr. Elobu Emmanuel was substantively appointed as Assistant Engineering Officer as per the appointment letter dated 1/02/2021 under DSC Min No. 14/2020 (j) - 1	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	The approved staff structure does not cater for NRO. However, it provides for DNRO but still it's not substantively filled	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	Ms. Areto Dorcus was substantively appointed as Environment Officer as per the appointed letter dated 1/02/2021 under DSC Min No. 14/2020 (p) - 1	10

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.</p> <p><i>Maximum score is 70</i></p>	<p>f. Forestry Officer, score 10 or else 0.</p>	<p>Mr. Olupot Isaac was substantively appointed as Forest Officer as per the appointment letter dated 25/5/2018 under DSC Min No. 14/2018 (k) -1</p>	<p>10</p>
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Environment and Social Requirements

2	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects</p>	<p>If the LG:</p> <p>a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.</p>	<p>LG had carried out Environmental, Social and Climate Change screening as follows;</p> <ul style="list-style-type: none"> • E&S Screening form for the proposed drilling, casting and installation of a borehole at Alem Cell by the DEO&DCDO on 14/04/2023 • E&S Screening form for the proposed drilling, casting and installation of a borehole at Aperikira Village by the DEO&DCDO on 13/03/2023 • E&S Screening form for the proposed drilling, casting and installation of a borehole at Aminimal A Village by the DEO&DCDO on 14/04/2023 • E&S Screening form for the proposed drilling, casting and installation of a borehole at Alindi Village by the DEO&DCDO on 05/04/2023 	<p>10</p>
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Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.

All water projects that were executed in FY 2022/2023 did not require ESIAs given their small scope according to the NEA No. 5 of 2019, hence Costed ESMPs were prepared by the DEO&DCDO as follows;

- Costed ESMP of 2,100,000/= for the proposed drilling, casting and installation of a borehole at Alem Cell by the DEO&DCDO on 21/04/2023

- Costed ESMP of 2,100,000/= for the proposed drilling, casting and installation of a borehole at Aperikira Village by the DEO&DCDO on 10/03/2023

- Costed ESMP of 2,100,000/= for the proposed drilling, casting and installation of a borehole at Aminimal A Village by the DEO&DCDO on 18/04/2023

- Costed ESMP of 2,100,000/= for the proposed drilling, casting and installation of a borehole at Alindi Village by the DEO&DCDO on 11/04/2023

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.

The DWO presented an abstraction permit issued on Monday 25th September 2017 valid for a period of 5 years until Saturday September 2022 which had already expired by the time water projects were implemented.

The groundwater permit number KBM102084/2GW/DW 2017 was issued to Ocherero Sub-county Kaberamaido District.