



## **LGMSD 2022/23**

Kabale District

(Vote Code: 512)

<b>Assessment</b>	<b>Scores</b>
Crosscutting Minimum Conditions	96%
Education Minimum Conditions	100%
Health Minimum Conditions	100%
Water & Environment Minimum Conditions	90%
Micro-scale Irrigation Minimum Conditions	100%
Crosscutting Performance Measures	43%
Educational Performance Measures	61%
Health Performance Measures	72%
Water & Environment Performance Measures	65%
Micro-scale Irrigation Performance Measures	64%

**Crosscutting  
Performance  
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s):</li> <li>If so: Score 4 or else 0</li> </ul>	<p>Kabale DLG implemented three (03) infrastructure DDEG funded projects in FY2022/2023.</p> <ul style="list-style-type: none"> <li>Renovation of OPD at Rusikizi HCII UGX20,000,000.</li> <li>Procurement of 240 iron sheets for distribution to recommended schools with permanent structures UGX20,000,000.</li> <li>Construction of Karambwe-Kyasano-Bunagana 10km road in Kamuganguzi S/C UGX25,000,000.</li> </ul> <p>Two (02) projects were site visited and the works carried out were verified.</p> <p>However, though the purchase of iron sheets was done, the sheets were not distributed to schools and as on the time of this assessment more than half a year later they were still in the DLG stores, thus bringing to question the functionality of this project. The Renovation of the OPD at Rusikizi HCII could not be fully verified since the project was done by UPDF Engineering brigade and no progress reports have been availed to date.</p>	0
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> <li>By more than 5%, score 3</li> <li>1 to 5% increase, score 2</li> <li>If no increase, score 0</li> </ul> <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>Overall, the performance of KDLG in its LLG assessment was 93% in FY2021/2022 and 74% in FY2022/2023, meaning reduction of 19%. This was definitely less than 0%.</p>	0

N23\_Service Delivery Performance

Maximum 6 points on this performance measure

b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.

- If 100% the projects were completed : Score 3
- If 80-99%: Score 2
- If below 80%: 0

Kabale DLG implemented five (05) DDEG funded capital investment projects in FY2022/2023.

- 🏗️ Renovation of OPD at Rusikizi HCII UGX20,000,000.
- 🏗️ Procurement of 240 iron sheets for distribution to recommended schools with permanent structures UGX20,000,000.
- 🏗️ Titling of DLG land UGX20,000,000
- 🏗️ Procurement of office furniture for LCV Chairman office UGX20,000,000
- 🏗️ Construction of Karambwe-Kyasano-Bunagana 10km road in Kamuganguzi S/C UGX25,000,000.

The LG's 4th quarter Performance Report for FY 2022/2023 shows that DDEG total amount budgeted including investment projects was UGX 321,356,000 and what was received during the FY was UGX 321,356,000 which made 100%.

However, some of the projects such as Renovation of the OPD at Rusikizi HCII is still ongoing and could not be verified since the projects were done by UPDF Engineering brigade and no progress reports have been availed todate. Also, the purchase of iron sheets was done but the sheets were not distributed to schools and as on the time of this assessment they were all still in the DLG stores.

Not all KDLG DDEG projects of FY2022/2023 were completed as reported.

3	Investment Performance  Maximum 4 points on this performance measure	a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:  Score 2 or else score 0.	Kabale DLG implemented five (05) DDEG funded projects in FY2022/2023.  Renovation of OPD at Rusikizi HCII UGX20,000,000.  Procurement of 240 iron sheets for distribution to recommended schools with permanent structures UGX20,000,000.  Titling of DLG land UGX20,000,000  Procurement of office furniture for LCV Chairman office UGX20,000,000  Construction of Karambwe-Kyasano-Bunagana 10km road in Kamuganguzi S/C UGX25,000,000.  The LG's 4th Performance Report for FY 2022/2023 shows that UGX321,356,000 was budgeted for DDEG and it was all received by the LG. This figure included funds sent to LLGs.  The projects carried out were all eligible under DDEG guidelines (Table 7 - Positive List/Investment Menu, page 7 and 8).	2
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3	Investment Performance  Maximum 4 points on this performance measure	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates,  score 2 or else score 0	There is NO evidence that the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineer's estimates. The Annual Work Plan and Budget for the previous FY (2022/23) indicated 2 projects funded under the DDEG as listed below:  1. <b>Renovation of OPD at Rusikizi HC II.</b> The Engineer's Estimate (A) was UGX 19,000,000/=; the contract Sum/Price (B) was UGX 26,098,013/=. The Variation was at -37.36% $\{[(A - B)/A] * 100\}$ . This project is being implemented by Ministry of Defence and Veteran Affairs through UPDF Engineering Brigade.  2. <b>Rehabilitation of Karambwe-Kyempogo-Butanga-Kihesi Rd (6KM) Phase I in Maziba and Kaharo S/C.</b> This project is being implemented under Force Account therefore it only comprised of the Engineer's Estimate (A) i.e. UGX 25,000,000/=; there was no contract Sum/Price (B).  Therefore, the variation in the contract price of Project No. 1 is not within +/-20% (i.e. -37.36%) while Project No. 2 comprises of the Engineer's estimate only hence no comparison was made to attain a variation. This gives Kabale DLG a score of 0.	0
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Accuracy of reported information

Maximum 4 points on this Performance Measure

a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate,

score 2 or else score 0

**In Kabale District, there was evidence of inadequate staffing and staff were not in place as per minimum standards and staff list at LLGs as per the three sampled Sub Counties of Kitumba , Kyanamira, and Katuna Town Council.**

At Kitumba Sub County with a staff strength of 10 out of a staff establishment ceiling of 19, the staff included:

1. Byoruganda Wilson, Senior Assistant Secretary
2. Tukamushaba Edith, Community Development Officer
3. Akansinguza Moses, Accounts Assistant
4. Tayebwa Banet, Assistant Veterinary Officer
5. Aharimpisya Jessey, Agricultural Officer
6. Katushabe Gloria, Fisheries Officer
7. Kemigisha Mackline, Parish Chief
8. Mugabi Blessing, Parish Chief
9. Kiconco Mercy, Parish Chief

Some of the staff that were substantively deployed at Kyanamira Sub County, with a staff strength of 15 against a staff establishment ceiling of 19, were:

1. Muagyehwenki Ivan, Senior Assistant Secretary
2. Worinawe Patrick, Senior Accounts Assitant
3. Andinda Jill, Community Development Officer
4. Beingana Apulnal, Fisheries Officer
5. Musinguzi Martin , Agriculture Officer
6. Kezabu Miria , Parish Chief
7. Tushabe Evas, Parish Chief
8. Rugambwa Johnbosco, Parish Chief
9. Mbabazi Gilbert Bakeine, Parish Chief
10. Busingye Dorcas, Parish Chief
11. Kyomuhangi Hope, Parish Chief

At Katuna Town Council, with a staff strength of 28 out of a staff establishment of 58, some of the staff substantively deployed were:

1. Byarugaba Christmas, Principal Township Officer
2. Akampurira Moses, Senior Community Development Officer
3. Tayebwa Simon, Accounts Assistant
4. Arinaitwe Annet, Senior Treasurer
5. Tumuhereze Edmond, Assistant Veterinary Officer
6. Rweigyema Hirary, Assistant Agricultural Officer
7. Tumwesigye Martin, Assistant Statistical Officer
8. Origasha Jimmy.K., Assistant Tax Officer
9. Niwagaba Annociata, Stenographer Secretary
10. Kareebya Aidah , Town Agent
11. Kyomukama Hope, Office Attendant
12. Ainembabazi Collins, Assistant Town Clerk
13. Twasiima Brian, Assistant Records Officer
14. Maviiri Denesi, Senior Internal Auditor
15. Ahimbisibwe Kenneth, Physical Planner
16. Asimwe Judith, Commercial Officer

17. Twesiga Owen, Assistant Commercial Officer
18. Tusiime Dativah, Principal Health Inspector
19. Tukamuhebwa Movad, Office Attendant
20. Saturday Collins, Principal Town Agent
21. Tayebwa Samuel , Principal Town Agent
22. Kyansiimire Assumpta, Principal Town Agent

4

Accuracy of reported information

Maximum 4 points on this Performance Measure

b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:

• If 100 % in place: Score 2, else score 0.

**Note: if there are no reports produced to review: Score 0**

For the three (03) DDEG infrastructure projects of FY2022/2023:

❑ Renovation of OPD at Rusikizi HCII UGX20,000,000.

❑ Procurement of 240 iron sheets for distribution to recommended schools with permanent structures UGX20,000,000.

❑ Construction of Karambwe-Kyasano-Bunagana 10km road in Kamuganguzi S/C UGX25,000,000.

Some of the projects such as Renovation of the OPD at Rusikizi HCII could not be verified since the project were done by UPDF Engineering brigade and no progress reports have been availed to date. Also, the procurement and distribution of iron sheets to schools had no completion report since more than half a year later the iron sheets are not yet distributed. The Construction of Karambwe-Kyasano-Bunagana 10km road in Kamuganguzi is still an ongoing project.

The LG has not complied with the requirements.

0

5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> <p>score 4 or else 0</p> <p><b>NB: The Source is the OPAMS Data Generated by OPM.</b></p>	<p>There <b>was no evidence to confirm that the Kabale DLG</b> conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise. The assessment team sampled four LLGs and found that the Kabale DLG did not undertake credible assessment. Three out of four sampled Local Level Governments (LLGs) exhibited a deviation within the recommended range of +/- 10%, while one LLG was out of the recommended range as outlined below.</p> <ol style="list-style-type: none"> <li>1. <b>Ryakarimira TC:</b> The district internal assessment awarded a perfect score of 69%, while the national assessment team awarded a slightly lower score of 79%. <b>This indicates a deviation of +12%.</b></li> <li>2. <b>Kyanamira SC :</b> The district internal assessment awarded a perfect score of 69%, while the national assessment team awarded a slightly lower score of 92%. This indicates a deviation of 10%.</li> <li>3. <b>Rubaya SC:</b>The district internal assessment awarded a perfect score of 50%, while the national assessment team awarded a slightly lower score of 53%. This indicates a deviation of +3%.</li> <li>4. <b>Kaharo SC:</b> The district internal assessment awarded a perfect score of 86%, while the national assessment team awarded a slightly lower score of 86%. This indicates no deviation.</li> </ol>	0
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	<p><b>There was no evidence that the District had developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</b></p>	0
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:</p> <p>Score 2 or else score 0</p>	<p><b>There was no evidence that the District had implemented performance improvement plans for the lowest performing LLGs.</b></p>	0

**Human Resource Management and Development**

6	<p>Budgeting for and actual recruitment and deployment of staff</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.</p> <p>Score 2 or else score 0</p>	<p><b>There was evidence</b> that the LG had consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.</p> <p>This was done in a letter by the Chief Administrative Officer, Kabale District signed by Manzi Gordon, Principal Assistant Secretary addressed to the Permanent Secretary, Ministry of Public Service, dated September 27, 2023 ref.: HRM 1/151/2 titled "Submission of Recruitment Plan for FY 2024/2025" received at Ministry of Public Service by Harriet on September 29, 2023.</p>	2
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p> <p>Score 2 or else score 0</p>	<p><b>There was evidence that the District had conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI)</b></p> <p>Attendance was tracked using a Daily Attendance Register, summarized in an excel sheet and a report presented to Chief Administrative Officer for action.</p> <p>For example, following analysis of attendance and report for the month of December to the Chief Administrative Office, action was taken in a letter dated January 30, 2023 from the Chief Administrative Officer to Turyagyenda Emmanuel ref.: ADM 49/50/02 "RE: FAILURE TO SIGN IN DAILY ATTENDANCE BOOK" and another to Kyarikunda Charity dated January 30 on the same subject.</p> <p>On the other hand a letter of "Commendation for Attending to Duty as Required for 1st Quarter 2022/2023" dated October 31, 2022 by CAO, Signed by Byiringiro Elizabeth addressed to Biryomumeisho Fadson, Senior Accounts Assistant among others was seen.</p>	2
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p>	<p><b>There was no evidence that Heads of Department had been appraised as per guidelines issued by Ministry of Public Service during the previous FY as most of the appraisals were done outside the stipulated timelines by June 30th for traditional civil servants and December 31st for teachers.</b></p> <ol style="list-style-type: none"> <li>1. The Chief Finance Officer, Mujuni Julius was appraised by Kalyesubula Fred, Chief Administrative Officer on <b>July 31, 2023.</b></li> <li>2. The District Planner, Kakuru Boaz was appraised by Kalyesubula Fred, Chief Administrative Officer on <b>July 27, 2023.</b></li> <li>3. The District Engineer, Bagamuhunda Turinawe Januarious was appraised by Kalyesubula Fred, Chief Administrative Officer on June 30, 2023.</li> <li>4. The District Natural Resources Officer, Tumwesigye Henry was appraised by</li> </ol>	0



Kalyesubula Fred, Chief Administrative Officer on **August 05, 2023.**

5. The District Production Officer, Mwebesa Beda was appraised by Kalyesubula Fred, Chief Administrative Officer on **August 08, 2023.**
6. The District Community Development Officer, Namara Christopher was appraised by Kalyesubula Fred, Chief Administrative Officer on **July 28, 2023.**
7. The District Commercial Officer, Natumanya Erasmus was appraised by Kalyesubula Fred, Chief Administrative Officer on June 30, 2023.
8. The Senior Procurement Officer, Atuheire Mercy was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
9. The Procurement Officer, Tyrinawe Alex was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
10. The Principal Human Resource Officer (Administration), Tushabomwe Jacenta was appraised by Kalyesubula Fred, Chief Administrative Officer on **July 28, 2023.**
11. The Senior Environment Officer, Asimwe Evas was appraised by Manzi Gordon, Principal Assistant Secretary on **September 19, 2023.**
12. The Senior Land Management Officer, Asimwe Joan was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
13. The Senior Accountant, Agaba Fred was appraised by Manzi Gordon, Principal Assistant Secretary on **July 30, 2023.**
14. The Principal Internal Auditor, Akampumuza Amon was appraised by Kalyesubula Fred, Chief Administrative Officer on **August 02, 2023.**
15. The Principal Human Resource Officer (Secretary DSC), Twinomugisha Edison Caleb was appraised by Kalyesubula Fred, Chief Administrative Officer on **August 02, 2023.**

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to “a” above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p>	<p><b>There was evidence that Kabale District had implemented administrative rewards and sanctions on time as provided for in the guidelines.</b></p>	1
		<p>Score 1 or else 0</p>	<p>The Rewards and Sanctions Committee was constituted in a letter ref.: HRM 28/280/03 from the chief Administrative Officer dated October 14, 2021 "RE: APPOINTMENT TO THE REWARDS AND SANCTIONS COMMITTEE - KABALE DISTRICT" and comprised of the following:</p> <ol style="list-style-type: none"> <li>1. Mugisha James, Principal Assistant Secretary as Chairperson;</li> <li>2. Besigensi Alfred, Ag. District Health Officer as Member;</li> <li>3. Tumwijukye Moses, District Education Officer as Member;</li> <li>4. Mujuni julius, Chief Finance Officer as Member; and</li> <li>5. Tushabomwe Jacenta, Principal Human Resource Officer as Secretary.</li> </ol>	
			<p>In the minutes of the meeting held on November 03, 2023, the Rewards and Sanctions Committee considered the case of Owezire Allan Merete, an Education Assistant II in Katuna Primary School accused of not attending to duty. The Committee resolved that the headteacher should make a detailed report and copies of daily attendance and submit to the Committee. Further, that the officer should be submitted to District Service Commission for noting his abscondment from duty. The officer was removed from the payroll effective October 2023 on instruction from the Chief Administrative Officer in comments on a letter from Ag. District Education Officer, Tumwijukye Moses to the Chairman Rewards and Sanctions Committee dated September 25, 2023.</p>	
			<p>The assessment team also saw a warning letter ref.: HRM 28/280/03 dated January 30, 2023 on absenteeism following completion of disciplinary proceedings before the Rewards and Sanctions Committee, from CAO, Kalyesubula Fred to Kwatirayo William, Education Assistant II from Mukarangye Primary School.</p>	
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p>	<p><b>There was no evidence to show that Kabale District had established a Consultative Committee for staff grievances.</b></p>	0
		<p>Score 1 or else 0</p>		

8	Payroll management Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:  Score 1.	HRM informed the assessment team that Kabale District <b>did not recruit new staff in the FY 2022/2023.</b>	1
9	Pension Payroll management Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:  Score 1.	<p><b>There was no evidence to show that 100% of staff retired during FY 2022/2023 accessed the pension payroll within two months of retirement.</b></p> <p>Some of the files reviewed show the details of retirement and access to payroll as follows:</p> <ol style="list-style-type: none"> <li>1. Mugisha James IPPS No. 747978, Principal Assistant Secretary retired on 2/12/2023 and accessed pension payroll on 3/17/2023, 35 days later.</li> <li>2. Ekirio Irene IPPS No. 436676, Education Assistant II retired on 10/8/2022 and accessed pension payroll on 11/21/2022, 43 days later.</li> <li>3. Rwamafa Richard IPPS No. 436664, Education Assistant II retired on 10/3/2022 and accessed pension payroll on 11/21/2022, 48 days later.</li> <li>4. Badidi Charles IPPS No. 436588, Assistant Education Officer retired on 8/28/2022 and accessed pension payroll on 11/21/2022, 83 days later.</li> <li>5. January Julius IPPS No. 437059, Assistant Education Officer retired on 10/1/2022 and accessed pension payroll on 11/21/2022, 50 days later.</li> <li>6. Magara Pius Edward IPPS No. 436705, Assistant Education Officer retired on 8/28/2022 and had not accessed pension payroll at time of assessment.</li> <li>7. Magaba Jasper IPPS No. 436621, Headteacher Primary retired on 7/1/2022 and had not accessed pension payroll at time of assessment.</li> <li>8. Ampuriire Sophan IPPS No. 436910, Headteacher Primary retired on 12/12/2022 and accessed pension payroll on 1/19/2023, 37 days later.</li> <li>9. Kamugisha Sadas IPPS No. 436644, Education Assistant II retired on 10/2/2022 and accessed pension payroll on 11/21/2022, 49 days later.</li> <li>10. Orishaba Pross IPPS No. 432568, Education Assistant II retired on 3/23/2023 and had not accessed pension payroll at time of assessment.</li> </ol>	0

**Management, Monitoring and Supervision of Services.**

10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery	a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:	Annual FY2022/2023 Kabale District DDEG budget for LLGs was UGX217,628,000. What was transferred to 13 sub counties and 8 town councils during the FY according to the financial report was UGX217,628,000, composed as follows:	2
	Maximum 6 points on this Performance Measure	Score 2 or else score 0	<p>Quarter one 0</p> <p>Quarter two UGX72,542,765</p> <p>Quarter three UGX145,085,531</p> <p>Total UGX217,628,296</p> <p>This was 100% and means all the funds were transferred in accordance with the budget.</p>	
10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery	b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).	<p>For KDLG, the DDEG funds were warranted and transferred during FY2022/2023 to LLGs as follows:</p> <p>For Quarter 1, the MoFPED circular is dated 8th July 2022 and the CAO warranted on 5th August 2022. However, no DDEG funds were transferred to LLGs during quarter one. They were all sent in quarter two and three (27 days).</p> <p>For Quarter 2, the MoFPED circular is dated 30th September 2022, the CAO warranted on 21st October and the funds were sent on 27th October 2022 (21 days).</p> <p>For Quarter 3, cash limits were communicated through MoFPED circular dated 29th December 2022. The CAO warranted 18th January 2023 and the disbursements made on 24th February 2023 (20 days).</p>	0
	Maximum 6 points on this Performance Measure	Score: 2 or else score 0	<p>In all the three quarters, the warranting/verification of DDEG grants was effected beyond the 5 days' time limit. This was besides the first quarter disbursement not being sent in time.</p>	

N23\_Effective Planning, Budgeting and Transfer of Funds for Service Delivery

Maximum 6 points on this Performance Measure

c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:

Score 2 or else score 0

**There was evidence that the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter.**

The DDEG was released in the second quarter and third quarter. The second quarter release was warranted on October 21, 2022 and communicated to Kyanamira Sub County on October 27, 2022 5 days later.

The third quarter release was warranted on January 18, 2023 and communicated to Kyanamira Sub County on January 24, 2023 5 days later.

Second quarter release warranted on October 21, 2022 communicated to Kitumba Sub County on October 27, 2022 5 days later.

Third quarter release warranted on January 18, 2023 communicated to Kitumba Sub County on January 24, 2023 5 days later.

Second quarter release warranted on October 21, 2022 communicated to Katuna Town Council on October 27, 2022 5 days later.

Third quarter release warranted on January 18, 2023 communicated to Katuna Town Council on January 24, 2023 5 days later.

11

Routine oversight and monitoring

Maximum 4 points on this Performance Measure

a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:

Score 2 or else score 0

Four reports were provided as evidence of KDLG supervision and mentoring activities in LLGs during FY2022/2023.

For Quarter one: A report dated 26/09/2022 on subject "DISTRICT 1st QUARTERLY FY2022/23 LLG DDEG PROJECTS MONITORING REPORT". The monitoring activity involved the DCAO, Planner and Statistician. The activity involved monitoring the progress of government projects in sub counties Maziba, Buhara, Kamuganguzi, Kitumba, Kyanamira, Kaharo, Butanda, Kahungye, Rubaya, Kibuga and town councils Katuna and Ryakarimira.

For Quarter two: A report dated 19/12/2022 on subject "DISTRICT 2nd QUARTERLY FY2022/23 LLG DDEG PROJECTS MONITORING REPORT". The monitoring activity involved the DCAO, Planner and Statistician. The activity involved monitoring the progress of government projects in sub counties Maziba, Kyanamira, Kaharo, Butanda, Kahungye, Rubaya, Kibuga and town councils Katuna and Ryakarimira

For Quarter three: A report dated 15/03/2023 on subject "DISTRICT 3rd QUARTERLY FY2022/23 LLG DDEG PROJECTS MONITORING REPORT". The monitoring activity involved the DCAO, Planner and Statistician. The activity involved monitoring the progress of government projects in sub counties Maziba, Kyanamira, Kaharo, Butanda, Kahungye, Rubaya, Kibuga, Buhara, Kitumba and town councils Katuna and Ryakarimira.

For Quarter four: A report dated 30/06/2023 on subject "DISTRICT 3rd QUARTERLY FY2022/23 LLG DDEG PROJECTS MONITORING REPORT". The monitoring activity involved the LC5 Chair, CAO, DCAO, Planner CFO and Statistician. The activity involved monitoring the progress of government projects in sub counties Maziba, Kyanamira, Kaharo, Butanda, Kahungye, Rubaya, Kibuga, Buhara, Kitumba and town councils Katuna and Ryakarimira.

Overall, KDLG reports were found sufficient to satisfy the requirement of mentoring/ monitoring/supervision for LLGs during FY2022/2023.

11	Routine oversight and monitoring  Maximum 4 points on this Performance Measure	b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:  Score 2 or else score 0	No evidence was provided/ seen as to the presentation and discussion of the results/reports of support supervision and monitoring visits in the TPC, or their use by the DLG for corrective actions and follow-up.	0
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**Investment Management**

12	Planning and budgeting for investments is conducted effectively  Maximum 12 points on this Performance Measure	a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:  Score 2 or else score 0  <b>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</b>	The LG had an IFMIS based electronic assets register, formatted as required by the LG Accounting Manual. A printed copy was also availed for this assessment.  A number of assets were sampled during this assessment including land and buildings, computers, furniture, motor vehicles and road equipment to check for the completeness and up datedness of the register. Of the 14 assets sampled, only 7 were found in the register meaning it was much lacking in terms of being updated and correct.  The LG has an asset register but it is not updated and its details and content do not satisfy this requirement	0
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>A Board of Survey report for the year 2021/2022 dated 15/09/2022 with 7 recommendations was availed. The recommendations included the following:</p> <ol style="list-style-type: none"> <li>1. Respective health unit In-charges and Management Committees should dispose of old items and scrap with assistance from DLG PDU staff</li> <li>2. Appoint focal point persons to help each department update its assets register</li> <li>3. Build capacity among staff, especially health centres to help maintain stores inventories</li> <li>4. Use the new Board of Survey format and templates and ensure inventories are updated</li> <li>5. All DLG lands should be surveyed and titled</li> <li>6. Dispose all goods, items and assets that have been recommended for boarding off</li> <li>7. Set and compile reserve prices for boarding off items as recommended by PPDA regulations.</li> </ol> <p>As on the date of this assessment on 09/11/2023, only numbers 3 and 4 had been implemented. Some land titling had also been done though not yet for all DLG lands. The other recommendations still remained unimplemented.</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>Kabale DLG has a 12 member Physical Planning Committee. According to the minutes seen, during the year ended 2022/2023 the Physical Planning Committee met on the following dates:</p> <p>Quarter 1 meeting on 30/08/2022 and minutes submitted to MoLHUD on 10/09/2022.</p> <p>Quarter 2 meeting on 19/09/2022 and minutes submitted to MoLHUD on 31/10/2022.</p> <p>Quarter 3 meeting on 17/02/2023 and minutes submitted to MoLHUD on 02/03/2023.</p> <p>Quarter 4 meeting on 30/06/2023 and minutes submitted to MoLHUD on 12/07/2023.</p> <p>The requirement of quarterly physical planning committee meetings and submission of four (04) sets of minutes of the year to MoLHUD was satisfied.</p>	2



12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d.For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>Kabale DLG has two (02) DDEG funded infrastructural projects in its FY2022/2023 annual workplan and budget.</p> <ul style="list-style-type: none"> <li>🏗️ Renovation of OPD at Rusikizi HCII UGX20,000,000.</li> <li>🏗️ Construction of Karambwe-Kyasano-Bunagana 10km road in Kamuganguzi S/C UGX25,000,000.</li> </ul> <p>A Desk Appraisal aims to ensure that a project meets the following requirements.</p> <ul style="list-style-type: none"> <li>-Derived from the LG Development Plan</li> <li>-Consistent with sector guidelines &amp; DDEG objectives</li> <li>-Financially feasible</li> <li>-Having costed project profiles.</li> </ul> <p>Evidence of desk appraisals for the two (02) KDLG DDEG projects for FY2022/2023 was availed/ seen.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>Kabale DLG had two (02) DDEG funded infrastructural projects in its FY2022/2023 budget.</p> <ul style="list-style-type: none"> <li>🏗️ Renovation of OPD at Rusikizi HCII UGX20,000,000.</li> <li>🏗️ Construction of Karambwe-Kyasano-Bunagana 10km road in Kamuganguzi S/C UGX25,000,000.</li> </ul> <p>A Field Appraisal aims to ensure that a project meets the following requirements.</p> <ul style="list-style-type: none"> <li>-Technical feasibility</li> <li>-Environmental and social acceptability requirements.</li> </ul> <p>Evidence was provided concerning field appraisals for the two DDEG infrastructural projects of FY2022/2023.</p>	2

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>Kabale DLG 5 year Development Plan 2020/2021-2024/2025 contained project profiles for the projects the DLG (Annex 2 - PROJECT PROFILES - Page 148-172). The profiles cover different aspects of the DLG including Production, Health, Water, Works, Irrigation, Education and Administration for the 5 years .</p> <p>However, no evidence was provided/ seen as to the presentation of project profiles for the current FY2023/2024, and their discussion in the TPC.</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>There <b>was evidence</b> that the LG had screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists</p> <ol style="list-style-type: none"> <li>1. Screening for environmental and social risks for renovation of Kanjobe HC II was carried out on 3/8/2023, signed and stamped by both SEO and DCDO, mitigation measures were proposed i.e. putting a sign post near the road. Desk appraisals were done on 15/6/2023 attended by the appraisal team.</li> <li>2. Screening for environmental and social risks for renovation of Kanjobe HC II was carried out on 3/8/2023, signed and stamped by both SEO and DCDO, mitigation measures were proposed i.e. putting a sign post near the road. Desk appraisals were done on 15/6/2023 attended by the appraisal team.</li> <li>3. Screening for environmental and social risks for rehabilitation of Nyabushabi P/s was carried out on 1/8/2023, signed and stamped by both SEO and DCDO, mitigation measures were proposed i.e. hoarding the site during construction. Desk appraisals were done on 15/6/2023 attended by the appraisal team that included the following members for all the above projects; <ol style="list-style-type: none"> <li>1. Kakuru Boaz District Planner</li> <li>2. Eng Bagamunda Turinawe District Engineer</li> <li>3. Asiiimwe Evas DEO</li> <li>4. Namara Christopher DCDO</li> <li>5. Ndyabawe Swizeen Labour Officer</li> </ol> </li> </ol>	2

13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan  Score 1 or else score 0	There was evidence that all infrastructure projects for the current FY (2023/24) to be implemented using the DDEG were incorporated in the LG approved procurement plan. These include:  <ol style="list-style-type: none"> <li>1. Renovation of Kanjobe HC II- Estimated (Budget) at UGX 25,000,000/=</li> <li>2. Renovation of Kigata HC II- Estimated (Budget) at UGX 25,000,000/=</li> <li>3. Construction of a retaining wall- Estimated (Budget) at UGX 104,000,000/=</li> <li>4. Construction of pit latrine at Rwene HC II- Estimated (Budget) at UGX 14,500,000/=</li> </ol>	1
13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0	This indicator is Not Applicable because 3 of the projects under DDEG funding to be implemented in the current FY (2023/24) are under Force Account Mechanism. and 1 project has just completed the bid opening phase of procurement. The project details are as listed below:  <ol style="list-style-type: none"> <li>1. Renovation of Kanjobe HC II- will be implemented under Force Account Mechanism.</li> <li>2. Renovation of Kigata HC II- will be implemented under Force Account Mechanism.</li> <li>3. Construction of a retaining wall- Bid opening phase was completed on 10/11/2023.</li> <li>4. Construction of pit latrine at Rwene HC II- will be implemented under Force Account Mechanism.</li> </ol>	1
13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:  Score 1 or else 0	There was NO evidence that LG had properly established the Project Implementation team(s) as per guidelines. As described below:  <b>Construction of two twin staff houses at Kahondo HC III and another one at Kitooma HC III-</b> As per memo dated 20/1/2023, a joint appointment was done by the CAO to: the Project Manager (District Engineer), Contract Manager (District Health Educator), Senior Environment Officer, DCDO and District Labour Officer. However, there was no appointment from the CAO to the Clerk of Works.  A Project Implementation Team was also set up for water projects i.e. <b>Rehabilitation of Kyabakonjo GFS, Rehabilitation and Extension of water to upgraded Buramba GFS projects.</b> This team comprised of: Project Manager (Town Engineer), Contract Manager (Civil Engineer), Environment Officer, DCDO and Senior Labor Officer. However, there was no appointment from the CAO to the Clerk of Works.	0

13

Procurement, contract management/execution  
Maximum 8 points on this Performance Measure

d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer:

Score 1 or else score 0

This indicator is Not Applicable for the 2 projects implemented by DDEG funds that are listed below:

1. **Renovation of OPD at Rusikizi HC II**- This project was implemented by the Ministry of Defence and Veteran Affairs through UPDF Engineers Brigade.
2. **Rehabilitation of Karambwe-Kyempogo-Butanga-Kihesi Road in Maziba and Kahoro S/C** was implemented under Kabale DLG Force Account.

Procurement, contract management/execution  
Maximum 8 points on this Performance Measure

e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0

There was evidence that the LG provided supervision by the relevant technical officers for infrastructure projects prior to verification and certification of works in previous FY (2022/23).

A Project Implementation Team was specifically set up for each project and it comprised of several technical officers that jointly inspected the infrastructure prior to its verification and certification.

The following projects among others were sampled:

1. **Construction of 5-stance VIP Latrine at Kirwa P/S, Ryakarimira Town Council-** The contractor's claim dated 30/11/2022 was certified for payment by the CAO, DEO, DE and Senior Civi Engineer. A joint inspection was done by the Senior Civil Engineer, District Environment Officer and DCDO on 8/12/2022 and a supervision report prepared. A practical completion certificate was prepared on 8/12/2022 by the Senior Civil Engineer, verified and signed by the DE, DCDO, DEO, District Environment Officer and District Internal Auditor. An Environment and Social compliance certificate was also signed by the District Environment Officer and DCDO on 27/10/2023.
2. **Construction of 5-stance VIP Latrine at Rutojo P/S, Butanda S/C-** The contractor's claim dated 5/12/2022 was certified for payment by the CAO, DE and Senior Civi Engineer. A joint inspection was done by the Senior Civil Engineer, District Environment Officer and DCDO on 13/12/2022 and a supervision report prepared. A practical completion certificate was prepared on 13/12/2022 by the Senior Civil Engineer, verified and signed by the DE, DCDO, DEO, District Environment Officer and District Internal Auditor. An Environment and Social compliance certificate was also signed by the District Environment Officer and DCDO on 12/10/2023.
3. **Construction of a 5-stance VIP Latrine at Karambwe Primary School in Maziba S/C-** The contractor's claim dated 20/2/2023 was certified for payment by the CAO, Senior Civil Engineer and DEO. A joint site inspection was done by the Senior Civil Engineer, District Environment Officer, DCDO and SLO on 17/2/2023 and a supervision report prepared. A practical completion certificate was prepared on 17/2/2023 by the Senior Civil Engineer, verified and signed by the DE, DCDO, DEO, District Environment Officer and District Internal Auditor. An Environment and Social compliance certificate was also signed by the Senior Environment Officer and DCDO on 20/10/2023.

<p>13 Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):</p> <p>Score 1 or else score 0</p>	<p>There was evidence that Kabale DLG verified works (certified) and initiated payments of contractors timely for example;</p> <ol style="list-style-type: none"> <li>1. Payments for Works- <b>Construction of 5-stance VIP Latrine at Kirwa P/S, Ryakarimira Town Council- KABA512/WRKS/2022-23/00003</b>. The project had a procurement file and was included in the annual work plan (Item 5) for the FY 2022/23. The CAO, DEO, DE and Senior Civil Engineer approved the contractor's claim dated 30/11/2022 after verification of the works and the Senior Civil Engineer, District Environment Officer and DCDO prepared a final joint inspection report dated 8/12/2022 after inspection of the completed works. Certificate No. 1 of payment was then initiated on 8/12/2022 by the Senior Civil Engineer and verified by the DE, DCDO, District Internal Auditor, District Environment Officer and DEO. An environment and social certificate was later issued and signed by the Senior Environment Officer and DCDO on 27/10/2023.</li> <li>2. Payments for Works- <b>Construction of 5-stance VIP Latrine at Rutojo P/S, Butanda S/C- KABA512/WRKS/2022-23/00004</b>. The project had a procurement file and was included in the Annual Work Plan (Item 9) for the FY 2022/23. The CAO, DEO and Senior Civil Engineer approved the contractor's claim dated 5/12/2022 after verification of the works and the Senior Civil Engineer, District Environment Officer and DCDO prepared a final joint inspection report dated 13/12/2022 after inspection of the completed works. Certificate No. 1 of payment was then initiated on 13/12/2022 by the Senior Civil Engineer and verified by the DE, DCDO, District Internal Auditor, District Environment Officer and DEO. An environment and social certificate was later issued and signed by the Senior Environment Officer and DCDO on 27/10/2023.</li> <li>3. Payments for Works- <b>Construction of 5-stance VIP Latrine at Karambwe P/S, Maziba S/C- KABA512/WRKS/2022-23/00008</b>. The project had a procurement file and was included in the Annual Work Plan (Item 4) for the FY 2022/23. The CAO, DEO and Senior Civil Engineer approved the contractor's claim dated 20/2/2023 after verification of the works and the Senior Civil Engineer, District Environment Officer and DCDO prepared a final joint inspection report dated 17/2/2023 after inspection of the completed works. Certificate No. 1 of payment was then initiated on 17/2/2023 by the Senior Civil Engineer and verified by the DE, DCDO, District Internal Auditor, District Environment Officer, CAO and DEO. An environment and social certificate was later issued and signed by the Senior Environment Officer and DCDO on 27/10/2023.</li> </ol>
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13

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law:

Score 1 or else 0

There was evidence of Complete procurement files in place for the all projects/contracts; including the Contract documents, approved Evaluation reports, memos of Bid Acceptance and Award of Contract indicating the Contracts Committee (C.C) approvals and/or minutes. These included:

1. **Rehabilitation of Kyabakonjo GFS in Buhara S/C**- KABA512/WRKS/2022-23/00011; approved by the Contracts Committee under Min. No. CC05/2022 in a meeting held on 18/1/2023 after evaluation. The evaluation report was approved by Contracts Committee. The contract document was signed on 16/3/2023.
2. **Construction of a Twin Staff house at Kitooma HC III**- KABA512/WRKS/2022-23/00013; approved by the Contracts Committee under Min. No. CC05/2022 (7) in a meeting held on 18/1/2023 after evaluation. The evaluation report was approved by Contracts Committee. The contract document was signed on 14/2/2023.
3. **Extension of Water to Upgraded Buramba HC III**- KABA512/WRKS/2022-23/00011; approved by the Contracts Committee under Min. No. CC08/2022 6(ii) in a meeting held on 31/3/2023 after evaluation. The evaluation report was approved by Contracts Committee. The contract document was signed on 14/2/2023.

## Environment and Social Safeguards

14	Grievance redress mechanism operational.	a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.	There <b>was evidence</b> that the district had i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.	2
	Maximum 5 points on this performance measure	Score: 2 or else score 0	In a letter of ref No PLN1/15/01, dated 19/1/2023, the CAO Mr. Manzi Gordon appointed Mr Namara Christopher as the district grievance redress focal person, signed and stamped by the CAO, copied to RDC and district chairperson. In another letter dated 18/10/2021, the CAO Mr. Ntimba Edmond appointed a grievance committee of the following members	
			<ol style="list-style-type: none"> <li>1. Mr Namara Christopher DCDO Chairperson</li> <li>2. Mr. Tushabomwe Jacenta PHRO Secretary</li> <li>3. Mr. Besigensi Alfred Ag.DHO Member</li> <li>4. Ms. Asiimwe Evas SEO Member</li> <li>5. Mr. Ampeire Robert SEO Member</li> <li>6. Ms. Aharinta Patience Civil Engineer Member</li> <li>7. Ms. Atuheire Mercy SPO Member</li> </ol>	
14	Grievance redress mechanism operational.	b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.	A log book was in place with columns detailing on how complaints are captured including the date, complaints handled, action point and responsible person, complaints were recorded in the log book. <b>However a public display information was not displayed on the LG notice board.</b>	0
	Maximum 5 points on this performance measure	If so: Score 2 or else 0		
14	Grievance redress mechanism operational.	c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.	There <b>was no evidence</b> district had publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.	0
	Maximum 5 points on this performance measure	If so: Score 1 or else 0		



15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0</p>	<p>Kabale DLG Development Plan III and Annual Workplan and budget 2023/2024 encompass aspects of environment, social and climate change interventions as most are cross cutting and embedded throughout the plans. Specific examples of the sections where environment, social and climate change interventions are highlighted include:</p> <p>In the KDLG DDP, there are interventions incorporated on</p> <p>Page 100 – Climate Change, Environment and Natural Resources Management and Water Management</p> <p>Project 1 – Developing comprehensive and integrated water catchment plans. Areas covered under Climate Change, Environment and Natural Resources Management and Water Management:</p> <ul style="list-style-type: none"> <li>❑ Objectives and key outcomes</li> <li>❑ Waste management sites development</li> <li>❑ Urban and rural growth centre greening</li> <li>❑ Promoting afforestation</li> </ul> <p>In the Approved budget and Workplan FY2023/2024</p> <p>Page 30 of 38.</p> <p>Program 06 – Natural resources, environment, climate change, land and water</p> <p>Sub Programme 01 – Environment and natural resources management.</p> <p>Environment and social safeguards.</p> <p>Environmental, social and climate change interventions were integrated into KDLG Development Plans, annual work plans and budgets.</p>
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management</p> <p>score 1 or else 0</p>	<p>KDLG Planning Unit disseminated enhanced DDEG guidelines FY2022/2023 by distributing them to LLG staff in an Extended TPC meeting which sat on 06/10/2022.</p> <p>A sheet dated was presented where all TPC including LLG staff did sign for the Guidelines as evidence on the dates 06/10/2022 to 09/10/2022. On the sheet, 10 sub county and 2 town council staff signed as evidence of collecting the guidelines.</p>

15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	(For investments financed from the DDEG other than health, education, water, and irrigation):  c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:  score 3 or else score 0	There <b>was no evidence</b> that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY	0
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	d. Examples of projects with costing of the additional impact from climate change.  Score 3 or else score 0	There <b>was no evidence</b> for examples of projects with costing of the additional impact from climate change	0
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:  Score 1 or else score 0	There <b>was no evidence</b> that all DDEG projects were implemented on land where the LG had proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances i.e. (renovation of OPD at Rusikizi HC II)	0
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports:  Score 1 or else score 0	There <b>was no evidence</b> that environmental officer and CDO conducted support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports	0

15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects:  Score 1 or else score 0	The <b>project is still on going</b> therefore no certificates have been signed for payments for the renovation of OPD at Rusikizi HC II, since the certificates are signed prior to payments.	<b>1</b>
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**Financial management**

16	LG makes monthly Bank reconciliations  Maximum 2 points on this Performance Measure	a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment:  Score 2 or else score 0	According to KDLG FY2022/2023 financial report, the LG runs the following bank accounts:  Treasury single account  General Fund account  YLP recovery account  UWEP recovery account  ACDP project account  Multi-sectoral nutrition account  Resilient account  The responsibility of reconciliation of the TSA is said to have been taken back to MoFPED.  As on date of the assessment 10/11/2023, the rest of Kabale DLG's bank accounts were not reconciled up to date. The final accounts of FY2022/2023 showed they were reconciled to 30/06/2023 but information on reconciliations up to date was not provided by the LG.  The DLG's bank accounts were not reconciled as required under this manual.	<b>0</b>
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17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>The four quarterly reports of FY 2022/2023 were produced by the KDLG Internal Audit department. The reports were submitted to the LCV Chair, CAO, LGPAC and the RDC through dated acknowledgement signatures of the recipients on the audit submission memos. The report dates were as follows:</p> <p>Quarter 1 report is dated 07/11/2022, Quarter 2 dated 20/06/2023, Quarter 3 dated 23/06/2023 and Quarter 4 dated 31/07/2023.</p> <p>However, the reports for Quarters two and three were all produced in the fourth quarter, just three days apart.</p> <p>Besides the above, the reports for Quarters two and three had duplicated information from pages 5 to 8, word for word in content - findings, recommendations and management response except for a few changes in figures.</p> <p>Although the four quarterly reports were produced and availed for the assessment, their content was inadequate to satisfy the requirement.</p>	0
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>The fourth quarterly KDLG internal audit report for FY2022/2023 did contain a section for follow-up on the status of implementation of prior audit findings/ recommendations for the first three quarters of the FY.</p> <p>The reports were submitted to the LCV Chair, CAO, LGPAC and the RDC through dated acknowledgement signatures of the recipients on the audit submission memos</p> <p>The reports were discussed by the LGPAC as follows:</p> <p>Quarter 1 discussed on 07/07/2023</p> <p>Quarter 2 discussed on 14/07/2023</p> <p>Quarter 3 discussed on 17/07/2023</p> <p>Quarter 4 discussed on 28/09/2023</p> <p>In all the cases the LGPAC called in the various officers responsible and actions taken were demanded in follow up on the various recommendations in the quarterly reports.</p>	1

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>KDLG records show that the four quarterly internal audit reports were received by CAO, Council and DPAC through witness of the acknowledgement signatures of the recipients on the audit submission memos.</p> <p>Four LGPAC reports were provided as evidence that Internal Audit reports for FY2022/2023 were discussed and followed up by Kabale District LG PAC.</p> <p>Quarter 1 internal audit report was discussed in LGPAC meeting which sat on 07/07 2023 (Min.04/DPAC/07/2023 to MIN.09/DPAC/07/2023) – Review of 1st Quarter Internal Audit Report).</p> <p>Quarters 2 and 3 internal audit reports – Discussed in LGPAC meetings which sat on 14/07/2023 and 17/07/2023 per minute numbers MIN.45/DPAC/07/2023 and MIN.56/DPAC/07/2023, respectively.</p> <p>Quarters 4 internal audit report was discussed in LGPAC meeting which sat on 28th and 28th September 2023 (MIN.07/DPAC/09/2023).</p> <p>Internal audit reports of KDLG were presented to the LGPAC and PAC sufficiently discussed and took actions on them.</p>	<b>1</b>
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**Local Revenues**

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>Kabale DLG OSR budget for FY 2022/2023 was UGX850,812,750. What was realised according to the financial report was UGX736,267,008. This was 86.5% of what was budgeted and falls outside the +/-10% range.</p>	<b>0</b>
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19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> <li>• If more than 10 %: score 2.</li> <li>• If the increase is from 5% -10 %: score 1.</li> <li>• If the increase is less than 5 %: score 0.</li> </ul>	<p>According to the financial reports, Kabale DLG OSR performance for FY 2021/2022 was UGX306,504,190. Performance for FY 2022/2023 was UGX736,267,008.</p> <p>This was a big increase in revenue, which was UGX429,762,818 i.e. 140.2%.</p> <p>The increase was &gt; 10%.</p>	2
20	<p>Local revenue administration, allocation, and transparency</p> <p>Maximum 2 points on this performance measure.</p>	<p>a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0</p>	<p>During the year ended 2022/2023, what was collected as OSR by KDLG was UGX736,267,008.</p> <p>What was remitted to the LLGs (10 S/Cs and 2 T/Cs) during the FY according to the incomplete information availed was UGX83,083,454, which made 11.2%.</p> <p>Quarter one Information not provided</p> <p>Quarter two UGX45,559,149</p> <p>Quarter three UGX37,524,305</p> <p>Quarter four Information not provided</p> <p>TOTAL UGX83,083,454</p> <p>This was 11.2%, which was way below the 65% threshold.</p> <p>The DLG did not comply with the 65% OSR remittance requirement to LLGs.</p>	0

**Transparency and Accountability**

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0</p>	<p>There was evidence that the Procurement Plan and the Awarded Contracts were duly published/displayed on the Kabale DLG Procurement Notice board for Public View.</p> <p>Examples of Projects with list of Best Evaluated Bidders include;</p> <ol style="list-style-type: none"> <li>1. <b>Proc. Ref. No-KABA512/WRKS/2022-23/00008</b>; dated 23/8/2022: Adix Plumbers and Engineers Ltd; for the Construction of a 5-stance VIP Latrine at Karambwe P/S with an amount of - UGX 23,804,730/=; Display date was 23/8/2022 and Removal date was 5/9/2022 under open bidding.</li> <li>2. <b>Proc. Ref. No-KABA512/WRKS/2022-23/00014</b>; dated 23/1/2023: Kinombe Nyaruzinga Construction Co. Ltd; for the Construction of a twin staff house at Kitooma HC III with an amount of - UGX 117,062,440/=; Display date was 23/1/2023 and Removal date was 3/2/2023 under open bidding.</li> <li>3. <b>Proc. Ref. No-KABA512/WRKS/2022-23/00013</b>; dated 23/1/2023: Famdec Technical Services Ltd; for the Construction of a twin staff house at Kahondo HC III with an amount of - UGX 165,658,047/=; Display date was 23/1/2023 and Removal date was 3/2/2023 under open bidding.</li> </ol>	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>The LG's performance assessment results for FY2021/2022 were disseminated by displaying them on the DLG noticeboard.</p> <p>During this assessment, the results were found on the DLG noticeboard which could be easily accessed by the staff and the general public.</p> <p>The results were also disseminated through an Extended TPC meeting which sat on 20/06/2023 (MIN 07/2023/24 - Presentation of Performance Assessment Results for FY2021/2022 BY STATICIAN). In this meeting the District Planner presented the performance of the DLG which had come as 89th position out of 156. Members were challenged on the need to pull up their socks and do better.</p>	2

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>Evidence was provided/seen concerning the fact that KDLG during FY 2022/2023 conducted discussions, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation.</p> <p>The DLG has had presentations on radios discussing the programs of the DLG. Examples include:</p> <ul style="list-style-type: none"> <li>🗣️ Presentation on 14 and 17/10/2022 by District Health Educator, DHO and Mayor talking about killer diseases and immunization.</li> <li>🗣️ Presentation on 05/05/2023 by RDC, LC5 Chair, UBOS team and Communications Officer talking about the Census</li> <li>🗣️ Presentation on 25/06/2023 by Communications Officer, Labour Officer, with childcare organisations talking about the Day of the African Child.</li> <li>🗣️ Presentation on 01/07/2023 by District Chair, PAS, District Planner (DEC) – State of District Affairs for the previous FY.</li> <li>🗣️ Presentation on 19/07/2023 by DEO, DHO, Senior Fisheries Officer, Secretary for Production and Communications Officer on Peak Radio on Uganda Multi-sectoral Food Security and Nutrition.</li> <li>🗣️ Presentation on 27/07/2023 on Voice of Kigezi FM by District Production Officer, Agricultural Engineer and Communications Officer on Micro-scale Irrigation.</li> <li>🗣️ Presentation on 31/07/2023 presentation on Voice of Kigezi FM by District Production Officer, Agricultural Engineer and Communications Officer on Micro-scale Irrigation.</li> </ul> <p>The DLG has complied with the requirement on conducting discussions with the public on the activities and government programs its implementing.</p>	<b>1</b>
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>No evidence was provided/seen as to Kabale DLG making publicly available or otherwise disseminating information on i) tax rates, ii) collection procedures, and iii) procedures for appeal.</p> <p>The LG did not comply with the requirement.</p>	<b>0</b>



Reporting to IGG

Maximum 1 point on this Performance Measure

a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0

Kabale DLG did have an IGG file which contained a number of correspondences and ongoing issues with the LG. The DLG (CAO) did prepare a report on actions taken on IGG recommendations of the assessment year FY2022/2023. The report, dated 07/07/2023 was addressed to the IGG and contained actions on 19 recommendations. The report was sent by email on 19/07/2023.

However, the report was not presented to Council and therefore there wasn't any evidence of its discussion in Council or DEC. This made the effort look like it was just aimed at satisfying the assessment requirements.

The LG didn't meet the requirement of this performance measure.

**Educational  
Performance  
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 4</li> <li>• Between 1 and 5% score 2</li> <li>• No improvement score 0</li> </ul>	<p>We obtained and reviewed the PLE results for 2020 and 2022 and calculated the percentage change in performance as indicated below:</p> <p>☞ 2,613 out of 3,276 (79.7%) pupils who sat PLE in 2020 passed between grade 1 and 3 (Div1:154, Div2:1,552 &amp; Div3:907=2,613). This excludes absentees (4,907-66=3,276).</p> <p>☞ 2,907 out 3,629 (80%) pupils who sat PLE in 2022 passed between grade 1 and 3 (Div1:237, Div2:1,790 &amp; Div3:880=2,907. This excludes absentees (3,771-142=3,629)</p> <p>Thus, a percentage increase between years of 0.3% (improvement below 1%), the score is 0.</p>	0
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 3</li> <li>• Between 1 and 5% score 2</li> <li>• No improvement score 0</li> </ul>	<p>We obtained and reviewed the UCE results for 2020 and 2022 for USE schools and calculated the percentage improvement in performance as indicated below.</p> <p>☞ 745 out of 1,357 (54.9%) students who sat UCE in 2020 passed between grade 1 and 3 (Div1:129, Div2:273 &amp; Div3:343=745. This excludes absentees (1,357-0=1,357)</p> <p>☞ 708 out 1,267 (55.8%) students who sat UCE in 2022 passed between grade 1 and 3 (Div1:89, Div2:265 &amp; Div3:354=708. This excludes absentees (1,283-16=1,267)</p> <p>Thus, a performance improvement of 0.9%, between the years (improvement below 1%). Therefore, the score is 0.</p>	0

2	<p>N23_Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> <li>• By more than 5%, score 2</li> <li>• Between 1 and 5%, score 1</li> <li>• No Improvement, score 0</li> </ul> <p>NB: If the previous average score was 95% and above, Score 2 for any increase.</p>	<p>The performance of KDLG in its LLG Education assessment was 93% in FY2021/2022 and 57% in FY2022/2023, meaning a reduction of -37%. This was definitely less than 0%.</p>	0
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>There was evidence that the education development grant was spent on eligible capital invest activities as per the planning, budgeting and implementation guidelines for LGs for the education sector FY 2023-2024 ( ) page (17).</p> <p>The review of the 2022-2023_ LG quarterly performance report Q4 _840_ Kabale district-page 19, indicated that Ugx. 964,433,000 was the approved budget of which Ugx.464,433,000 was released and spent on capital investments during FY 2022/23. We noted that Ugx.500 million shillings under transitional capital development for Maziba primary school was not released.</p> <p>From Q4-2022-2023, we noted that the money was spent on capital investments including:</p> <ul style="list-style-type: none"> <li>• Construction of 5 stance VIP latrine at 7 UPE schools of Karambwe, Kirwa, Kahungye, Rwaza, Nkumbura, Rutojo and Bunangana primary schools at Ugx 172,531,104</li> <li>• Completion of a multi-purpose hall at St.Barnabas Karujanga at Ugx.250,000,000</li> <li>• Procured and supplied 240 iron sheets to schools at Ugx.20,000,000</li> </ul> <p>Therefore, Ugx.442,531,104 of 464,433,000 (released) was spent on capital investments representing 95.2% which is in line with sector guidelines. The score is 2.</p>	2
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p>	<p>b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made</p>	<p>Eight (08) KDLG Education infrastructure projects of FY2022/2023 were sampled to check certification before payment.</p> <p>Payment to Kabale Joiners and</p>	2

Maximum 8 points on this performance measure

payments to the contractors score 2 or else score 0

Contractors (U) Ltd for construction of construction of 5 stance VIP latrine at Rwaza P/S (UGX21,558,069) – Requisition dated 01/06/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer, Internal Auditor and CAO on 01/06/2023 and payment on 15/06/2023.

Payment to Adix Plumbers and Engineering Ltd for construction of 5 stance latrine at Karambwe P/S in Maziba S/C (UGX21,424,257) – Requisition dated 22/05/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer, Internal Auditor and CAO on 17/02/2023 and payment on 30/03/2023.

Payment to St Barnabas S.S Karujanga for completion of assembly hall (Pase III) at St Barnabas S.S.S Karujanga (UGX249,999,980) – Requisition dated 01/02/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer, Internal Auditor and CAO on Inspection report - 17/10/2022 and payment on 16/03/2023.

Payment to Acjude Engineering Company Ltd for construction of 5 stance VIP latrine at Bunagana P/S in Kamuganguzi S/C (UGX27,291,579) – Requisition dated 12/12/2022, certified by the District Engineer, District Education Officer, CDO, Environment Officer, Internal Auditor and CAO on 09/12/2022 and payment on 06/02/2023.

Payment to Mket Technical Services (U) Ltd for construction of 5 stance VIP latrine at Kirwa P/S (UGX23,268,208) – Requisition dated 08/12/2022, certified by the District Engineer, District Education Officer, CDO, Environment Officer, Internal Auditor and CAO on 08/12/2022 and payment on 06/02/2023.

Payment to Habaasa Muhire and Sons Ltd for construction of 5 stance VIP latrine at Rutojo P/S in Butanda S/C (UGX22,555,181) – Requisition dated 19/12/2022 certified by the District Engineer, District Education Officer, CDO, Environment Officer, Internal Auditor and CAO on 13/12/2022 and payment on 20/12/2022.

Payment to Habaasa Muhire and Sons Ltd for construction of 5 stance VIP latrine at Muyebe P/S in Buhara S/C (UGX6,196,180) – Requisition dated 02/11/2022 certified by the District

Engineer, District Education Officer, CDO, Environment Officer, Internal Auditor and CAO on 15/06/2022 and payment on 01/12/2022.

Payment to Adix Plumbers and Engineering Ltd for construction of 5 stance latrine at Kahungye P/S in Kahungye S/C (UGX20,688,940) – Requisition dated 13/12/2022, certified by the District Engineer, District Education Officer, CDO, Environment Officer, Internal Auditor and CAO on 13/12/2022 and payment on 29/12/2022.

In all the eight (08) sampled projects, certification for Education infrastructural projects was done before payments were effected in accordance with the requirements.

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

c) If the variations in the contract price are within +/-20% of the MoWT estimates score 2 or else score 0

There is evidence that the variations in the contract price are within +/-20% of the MoWT estimates.

From the DE and DEO's offices, the following Works contracts were sampled; and the Engineer's estimates (Budgets) Vs. the Contract Prices are as listed with the corresponding Variation percentages;  $[(A - B)/A] * 100\%$  :

1. **Construction of 5-stance VIP Latrine at Karambwe P/S, Maziba S/C-** KABA512/WRKS/2022-23/00008. The Engineer's Estimate (A) was UGX 24,985,910/=; the Contract Sum/Price (B) was UGX 23,804,730/=. The Variation was at 4.73%.
2. **Construction of 5-stance VIP Latrine at Kirwa P/S, Ryakarimira TC** - KABA512/WRKS/2022-23/00003. The Engineer's Estimate (A) was UGX 26,253,820/=; the Contract Sum/Price (B) was UGX 25,995,164/=. The Variation was at 0.99%.
3. **Construction of 5-stance VIP Latrine at Rutojo P/S, Butanda S/C-** KABA/WRKS/2022-23/00004. The Engineer's Estimate (A) was UGX 28,894,070/=; the Contract Sum/Price (B) was UGX 28,020,280/=. The Variation was at 3.02%.

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY

- If 100% score 2
- Between 80 - 99% score 1
- Below 80% score 0

Kabale District Local Government did not have a project on the construction of the seed secondary school and therefore the requirement of the indicator could not be assessed.

4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> <li>• If 100%: score 3</li> <li>• If 80 - 99%: score 2</li> <li>• If 70 - 79% score: 1</li> <li>• Below 70% score 0</li> </ul>	<p>The ceiling for primary school teachers obtained from HRM was 1445 and the number of teachers in post was 1438 computing to a percentage of <math>(1438/1445)*100 = 99.5\%</math></p>	2
4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> <li>• If above 70% and above score: 3</li> <li>• If between 60 - 69%, score: 2</li> <li>• If between 50 - 59%, score: 1</li> <li>• Below 50 score: 0</li> </ul>	<p>The education department had consolidated schools' asset registers for FY 2021/2022 and 2022/2023. The two (2) registers had both UPE schools (113) and USE schools (11) FY 2022/23.</p> <p>We noted that the consolidated school asset registers for both FY 2021/22 and 2022-23 had the same content for UPE schools.</p> <p>We obtained and reviewed the consolidated asset registers for FY 2021/2022 and 2022/23 and calculated the percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines as indicated below;</p> <ul style="list-style-type: none"> <li>• 18 out of 113 (16%) UPE schools met the DES requirement for permanent accommodation for at least four (4) teachers</li> <li>• 8 out of 18 UPE schools that met the DES requirement for teacher accommodation, met the DES requirement for desk-pupil ratio of 1:3</li> <li>• 3 of 8 UPE schools that met the DES requirement for desk: pupil ratio, met the DES requirement for latrine stance : pupil ratio of 1:40</li> <li>• 2 of 3 UPE schools that met the DES requirements for teacher accommodation, desk: pupil ratio, latrine stance : pupil ratio, met the classroom :pupil ratio of 1:53</li> <li>• Therefore, 2 out of 113 UPE schools met all the DES requirements representing (1.7%).</li> </ul> <p>The USE school asset register data for 2023 was not well captured hence unable to calculate the percentage of schools that meet the Basic requirements and minimum standards set out in the DES guidelines.</p>	0

In view of the above analysis;

(i) The percentage of UPE schools that met the Basic requirements and minimum standards set out in the DES guidelines for schools to LGs was 1.7% for the two previous FYs

(ii) The percentage of USE schools that met the Basic requirements and minimum standards set out in the DES guidelines for schools to LGs was 0% (complete information not provided).

Therefore, the score is 0.

## Performance Reporting and Performance Improvement

5

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

a) Evidence that the LG has accurately reported on teachers and where they are deployed.

- If the accuracy of information is 100% score 2
- Else score: 0

The staff deployment list of 27 October 2023 obtained from DEO's office ( stamped & signed) had a total of (1,438) teachers in post covering all the (113) UPE schools in Kabale DLG.

Verification was done in the three (3) sampled UPE school and the following was established as per the deployment list from the DEO's office.

□ The number of teachers (11) on the DEO's deployment list was consistent with the number of teachers on the school staff list (11) in Mukarangye primary school, Katuna TC.

The names of teachers verified:

1. Begira Patrick
2. Akndinda Sam
3. Kyarikunda Addah
4. Biryomumeisho Peterson
5. Mbabazi Grace
6. Katwongyeire Livingstone
7. Twesigyemukama Obadian
8. Saturday Suvati
9. Kwatirayo William
10. Nahabwe Grace
11. Ndyamuhaki Christopher

□ The number of teachers (21) on the DEO's deployment list was consistent with the number of teachers on the school staff list (21) in Mwisi PS, Kitumba S/C.

2



The names of teachers verified:

1. Nyongyeza Adrine
2. Tuinawe Godfrey
3. Kiiza Valentine
4. Akamurizra Robbinson
5. Saturday Dickson
6. Komugisha Florence
7. Barugahare Moses
8. Atuheire Patience
9. Ensihinduka Esau
10. Twemwebaze Agatha
11. Natureeba Emmanuel
12. Asimwe Judith
13. Kyotungga Sheillah
14. Owembabazi Rosemary
15. Byamugisha Dick
16. Twemwebaze Addy
17. Kanssiime Winnie
18. Kiconco Robinah
19. Twikiriza Evidence
20. Ekyameire Annet
21. Asimwe Ruth

□ The number of teachers (17) on the DEO's deployment list was consistent with the number of teachers on the school staff list (17) in Nyanamira Primary school, Nyanamira S/C.

The names of teachers verified:

1. Mugambagye Julius
2. Turyahebwa Kenneth
3. Tukamuhebwa Pamela
4. Musiimenta Vastine
5. Macumu Monic
6. Ankunda Johnnwilly
7. Asaasira Betesy
8. Tumwijukye Wencesilous
9. Turyamushanga Sepapio
10. Muheirwe Grace

11. Arinaitwe Caroline
12. Tushaabomwe Ruth
13. Magara Magret
14. Arigaba Keneth
15. Kanyesigye Robert
16. Kyarisiima Jenifer
17. Tumusiime Joventa

It was verified that the total number of teachers as indicated on the DEO's teacher deployment list was matching with the number of teachers on the school staff lists in all the three (3) sampled UPE schools as indicated above. Therefore, the information on deployment list for teachers is 100% accurate. The score is 2.

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.

- If the accuracy of information is 100% score 2
- Else score: 0

There was evidence that the information on the LG education department consolidated schools 'asset register for FY 2022/23 and school asset registers of the sampled 03 UPE schools was in place as per the consolidated asset in place. Specific details as indicted below:

□ Mukarangye PS: The education department consolidated school asset register for FY 2022/23 indicated that the school had (07) classrooms, (10) latrine stances, (136) desks and teacher accommodation (00), while the school asset register had (07) classrooms, (10) latrine stances, (136) desks and teacher accommodation (00). Information was accurate

Mwisi PS: The education department consolidated school asset register for FY 2022/23 indicated that the school had (17) classrooms, (43) latrine stances, (443) desks and teacher accommodation (04), while the school asset register had (17) classrooms, (43) latrine stances, (443) desks and teacher accommodation (04). Information was consistent

□ Kyanamira PS: The education department consolidated school asset register for FY 2022/23 indicated that the school had (20) classrooms, (13) latrine stances, (128) desks and teacher accommodation (00), while the school asset register had (20) classrooms, (13) latrine stances, (128) desks and teacher accommodation (00). Information was consistent.

Based on above, verified information was 100%

School compliance and performance improvement:

Maximum 12 points on this performance measure

a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:

- If 100% school submission to LG, score: 4
- Between 80 – 99% score: 2
- Below 80% score 0

There was evidence of compliance to MoES annual budgeting and reporting guidelines.

#76 out of 113 (67%) UPE schools submitted annual school reports and budgets to DEO by 30 July 2023. The review of submitted school reports on file revealed that all reports were compiled as per the reporting formants for annual school report and budget provided in the sector guidelines (Budgeting and implementation guidelines for primary and secondary schools, pages 21-25.

The list of submitted school annual reports and budgets shows that all reports were submitted by 30 January 2023 as required e.g. Kitohwa PS (9/1/23), Mukoki PS (6/1/23), Kiguga PS (11/1/23), Bwera PS (11/1/23), Rukore PS (13/1/23), Katojo PS (13/1/23), Rutare PS (21/1/23), Kirwa PS (4/1/23), Kacuro PS (5/1/23), Rusikizi PS (6/1/23), Rwanyana PS (6/1/23), etc.

We noted that all three (3) sampled UPE schools had copies of annual school reports and budgets as evidenced below;

☐ Mukarangye PS, had a copy of annual school report and budget for 2022 (signed but not dated)

☐ Mwisi PS: Had a copy of annual school report and budget for 2022 dated 9 January 2023 but not signed by the chairperson –SMC.

☐ Kyanamira PS: Had a copy of annual school report and budget for 2022 dated 30 January 2023 but not signed by the chairperson –SMC.

As indicated above, compliance with MoES annual budgeting and reporting guidelines stood at 76% (below 80%), the score is 0.

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> <li>• If 50% score: 4</li> <li>• Between 30- 49% score: 2</li> <li>• Below 30% score 0</li> </ul>	<p>There was evidence that education department supported UPE schools to prepare and implement the school improvement plans (SIPs) in line with inspection recommendations.</p> <p>The review of school files in DEO's office revealed that 76 out of 113 (67%) UPE schools submitted their SIPs as part of the annual school report and budget for FY 2022/23.</p> <p>We noted that head teachers were sensitized on the development of school improvement plan (SIP) during the meeting held on 20 February 2023. The DIS trained the head teachers on how to fill the SIP format/template (Min.4/2023).</p> <p>It was further verified that all the three (3) sampled UPE schools had in place copies of SIP displayed on the school notice boards (Mukaragye PS, Mwisi PS and Kyanamira PS):</p> <p>Therefore, the percentage of schools supported to prepare and implement SIPs in line with inspection recommendation stood at 67% which was above 50% hence the score is 4.</p>	4
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> <li>• If 100% score: 4:</li> <li>• Between 90 - 99% score 2</li> <li>• Below 90% score 0</li> </ul>	<p>We obtained and reviewed the list of schools from LG performance contract and the EMIS data of schools from MoES. We established that the list of government aided primary schools (113) captured in 2022-2023 Approved Performance contract_840_KABALE District was consistent with the number of schools (113) in excel data sheet (EMIS) for FY 2022/23.</p>	4

**Human Resource Management and Development**

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p>	<p>Kabale district budgeted for a head teacher and minimum of (7) teachers per school or a teacher per class in all the (113) Government aided primary schools as per wage provision. The 2023-2024 Approved budget estimates Vote 840_Kabale district had a total of 1,438 primary teachers for all the (113) UPE schools with a total salary budget of UGX.9,818,138,000, page 44</p>	4
	<p>Maximum 8 points on this performance measure</p>	<p>Score 4 or else, score: 0</p>	<p>We noted that all the 113 UPE schools had substantive head teachers and (7) UPE schools had classes less than P.7 but with a minimum of one teacher per class. E.g. Kagoma PS had classes up to P.6 with 8 teachers.</p>	
7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p>	<p>The primary schools staff list of 27 October 2023 obtained from the DEO's office and reviewed, indicated that a total of (1,438) teachers were deployed in (113) UPE schools as per sector guidelines e.g. all the (113) had substantive head teachers and a minimum of (7) teachers per school or a minimum of one (1) teacher per class for schools with less than P.7.</p>	3
	<p>Maximum 8 points on this performance measure</p>	<p>Score 3 else score: 0</p>	<p>Verification was done in 3 sampled UPE schools and the following was established as per the teacher deployment list 2023 / school staff lists.</p>	
			<p>□ The number of teachers (11) on the DEO's deployment list was consistent with the number of teachers on the school staff list (11) in Mukarangye primary school, Katuna TC.</p>	
			<p>□ The number of teachers (21) on the DEO's deployment list was consistent with the number of teachers on the school staff list (21) in Mwisi PS, Kitumba S/C.</p>	
			<p>□ The number of teachers (17) on the DEO's deployment list was consistent with the number of teachers on the school staff list (17) in Nyanamira Primary school, Nyanamira S/C.</p>	
			<p>We established that the number of teachers on the DEO's deployment list was consistent with the number of teachers on school staff lists in all the (3) sampled UPE schools.</p>	
			<p>Therefore, the score is 3.</p>	

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,</p>	<p>score: 1 else, score: 0</p>	<p>There was evidence that teacher deployment data had been displayed on school notice boards in all the 03 sampled UPE schools as indicated below:</p>	1
	<p>Maximum 8 points on this performance measure</p>			<p>☐ Mukarangye PS (Katuna TC) deployment staff list displayed on the notice board had (11) teachers i.e. Male (8) and Female (3)</p> <p>☐ Mwisi PS (Kitumba S/C) deployment staff list displayed on the notice board had (21) teachers i.e. Male (8) and Female (13)</p> <p>☐ Kyanamira PS (Kyanamira S/C) deployment staff list displayed on the notice board had (17) teachers i.e. Male (7) and Female (10). The score is 1.</p>	
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with cop to DEO/MEO</p>	<p>Score: 2 or else, score: 0</p>	<p><b>There was no evidence that all headteachers had been appraised for the previous school year in accordance with the guidelines as most of their appraisals were done outside the stipulated timeline of by December 31.</b></p>	0
	<p>Maximum 8 points on this performance measure</p>			<p>Some files reviewed included:</p> <ol style="list-style-type: none"> <li>1. Busingye Adah, the headteacher of Kafunjo Primary School in Buhara Sub County was appraised by Tumwijukye Moses, District Education Officer on <b>February 15, 2023.</b></li> <li>2. Tumusiime Innocent, the headteacher of Rwanyana Primary School in Ryakaremema Town Council was appraised by Tumwijukye Moses, District Education Officer on <b>February 26, 2023.</b></li> <li>3. Niwagaba Christopher, the headteacher of Rwancerere Primary School in Butanda Sub County was appraised by Tumwijukye Moses, District Education Officer on <b>March 02, 2023.</b></li> <li>4. Birungi James, the headteacher of Kavu Primary School in Maziba Sub County was appraised by Tumwijukye Moses, District Education Officer on <b>March 20, 2023.</b></li> <li>5. Turyamureeba Stanley, the headteacher of Bigaaga Primary School in Kahungye Sub County was appraised by Tumwijukye Moses, District Education Officer on <b>March 05, 2023.</b></li> <li>6. Aharimpisya Ceasalia, the</li> </ol>	

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM

Score: 2 or else, score: 0

- headteacher of Rwaza Primary School in Kibuga Sub County was appraised by Tumwijukye Moses, District Education Officer on **February 23, 2023.**
7. Niwagaba Bruno, the headteacher of Kitibya Primary School in Kyanamira Sub County was appraised by Tumwijukye Moses, District Education Officer on **February 09, 2023.**
  8. Matsiko David, the headteacher of Bunagana Primary School in Kamuganguzi Sub County was appraised by Tumwijukye Moses, District Education Officer on **February 23, 2023.**
  9. Barisigara Jack, the headteacher of Kisaasa Primary School in Kamuganguzi Sub County was appraised by Tumwijukye Moses, District Education Officer on **March 06, 2023.**
  10. Turyamureeba Innocent, the headteacher of Kicumbi Primary School in Kamuganguzi Sub County was appraised by Tumwijukye Moses, District Education Officer on **February 23, 2023.**
  11. Byagageire Innocent, the headteacher of Katenga Primary School in Kamuganguzi Sub County was appraised by Tumwijukye Moses, District Education Officer on **February 27, 2023.**

**There was no evidence that all secondary school headteachers had been appraised for the previous school year in accordance with the guidelines as most of their appraisals were done outside the stipulated timeline of by December 31.**

Some files reviewed included:

1. Turinawe Alex the headteacher of St. Barnabas SS Karushanga in Katuna Town Council was appraised by Kalyesubula Fred, Chief Administrative Officer on **March 26, 2023.**
2. Besigye Godfrey the headteacher of St. Francis' College Kyanamira in Kyanamira Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on **January 30, 2023.**
3. Naturinda Dalton the headteacher of Buranga Secondary School Kamuganguzi in Kamuganguzi Sub County was appraised by Manzi Gordon,



Principal Assistant Secretary on  
**February 24, 2023.**

4. Tumuhkyi Peter the headteacher of Rwesasi Senior Secondary School in Kaharo Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on **January 26, 2023.**
5. Aguma Dickson Murabehe the headteacher of Kakomo Senior Secondary School in Kitumba Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on **January 16, 2023.**
6. Tumhimbise Gerevazio the headteacher of Kahondo Secondary School in Maziba Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on **January 29, 2023.**
7. Akankwasa Joseph the headteacher of Rukore High School in Byakarimira Town Council was appraised by Manzi Gordon, Principal Assistant Secretary on **January 03, 2023.**
8. Nowe Promise the headteacher of Kamuganguzi Janan Luwum Memorial SS in Kamuganguzi Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on **February 23, 2023.**
9. Tumukunde Ainomugisha Grace the headteacher of Buhara Secondary School in Buhara Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on **January 21, 2023.**
10. Byembabazi Richard the headteacher of Kigata High School in Kyanamira Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on **January 26, 2023.**

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p> <p>score: 2. Else, score: 0</p>	<p><b>The Education Department management staff were appraised outside the stipulated timeline of by June 30.</b></p> <ol style="list-style-type: none"> <li>1. The District Education Officer, Tumwujukye Moses Bwengye was appraised by Kalyesubula Fred, Chief Administrative Officer on July 31, 2023.</li> <li>2. The Principal Inspector of Schools, Nabaasa Wilberforce was appraised by Manzi Gordon, Principal Assistant Secretary on July 03, 2023.</li> <li>3. The Senior Inspector of Schools, Ampeire Robert was appraised by Manzi Gordon, Principal Assistant Secretary on August 04, 2023.</li> <li>4. The Inspector of Schools, Turinawe Cossy was appraised by Manzi Gordon, Principal Assistant Secretary on July 25, 2023.</li> <li>5. The Education Officer, Byonanebye Jenniffer was appraised by Manzi Gordon, Principal Assistant Secretary on July 27, 2023.</li> </ol>	0
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>There was evidence of a Departmental Training Plan for FY 2022/23 signed and stamped by Education Officer/Special Needs Education but not dated.</p> <p>🔑 Key training areas included among others:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Post graduate studies (Masters programs) for education department staff (5) under self sponsorship</li> <li><input type="checkbox"/> Training of teachers on appraisal forms</li> <li><input type="checkbox"/> Training of head teachers on MoES sector guidelines</li> <li><input type="checkbox"/> Training of head teachers on balanced score card tool</li> <li><input type="checkbox"/> Mentoring departmental staff on E-inspection and record keeping</li> <li><input type="checkbox"/> Training head teachers on EMIS</li> </ul>	2

**Management, Monitoring and Supervision of Services.**

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p>	<p>We noted from the DEO, that the education department was compliant and had no errors for correction regarding the submitted school lists and enrolment data in PBS for 2022_2023 Approved Performance Contrat_840_Kabale District which was submitted to MoFPED.</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score:2 or else, score: 0</p>	<p>Therefore, there was no need of communicating corrections/ revisions of school lists and enrolment data submitted in PBS as well as adjusting the IPFs for Kabale District.</p>	
			<p>The score is 2</p>	
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p>	<p>There was evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score:2 else, score: 0</p>	<p>We obtained and reviewed the LG quarterly performance Report-Q4-Vote: 840-Kabale district and established that under budget output: 000023 Inspection and monitoring; Ugx.39,942,000 was approved for inspection and monitoring functions in line with MoES guidelines -page 17.</p>	
			<p>The score is 2.</p>	

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p> <p>If 100% compliance, score: 2 else score: 0</p>	<p style="text-align: right;"><b>0</b></p> <p>Kabale DLG timeliness of warranting schools' capitation grants for the school (calendar) year in FY2022/2023 and FY2023/2024 was tested in the three terms as follows:</p> <p>Term1 (which was quarter 3 FY2022/2023) cash limits were communicated through MoFPED circular dated 29th December 2022. The CAO warranted 18th January 2023 and the disbursements made on 24th January 2023 (20 days).</p> <p>For Term 2 (which was quarter 4 FY2022/2023), MoFPED circular is dated 6th April 2023 and the CAO warranted on 25th April 2023 and the funds were transferred on 19th May 2023 (19 days).</p> <p>For Term 3 (which was quarter 1 FY2023/2024), the MoFPED circular is dated 6th July 2023, the CAO warranted on 24th July 2023 and the funds were transferred on 6th September 2023 (18 days).</p> <p>In all the three cases, the 5 days' time limit for warranting was not met.</p>
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p> <p>If 100% compliance, score: 2 else, score: 0</p>	<p style="text-align: right;"><b>0</b></p> <p>There was no evidence that DEO had communicated/ publicized capitation releases to schools within three working days of release from MoFPED as indicated below:</p> <p>(i) QTR3 Expenditure limits FY 2022/23 were communicated through MoFPED release circular dated 29 December 2022 and warranted by CAO on 8 January 2023. The DEO communicated capitation releases for term 1 2023 to all head teachers through a letter dated 30 December 2022. Invoices of capitation to schools not provided at the time of the assessment.</p> <p>This was compliant to the three working days of release from MoFPED.</p> <p>(ii)QTR4 Expenditure limits FY 2022/23 were communicated through MoFPED release circular dated 6 April 2023 and warranted by CAO on 25 April 2023. The DEO communicated capitation releases for term II 2023 to all head teachers through a letter dated 14 May 2023 Capitation Funds amounting to Ugx.353,141,167 were invoiced on 14 May 2023, approved by CFO on 16 May 2023 and</p>
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transferred on 19 May 2023

The capitation release communication for this quarter was not compliant to the three working days of release from MoFPED.

(iii) QTR 1 Expenditure limits FY 2023/24 were communicated through MoFPED release circular dated 6 July 2023 and warranted by CAO on 24 July 2023. The DEO communicated capitation releases for term II 2023 to all head teachers through a letter dated 7 July 2023. Invoices of capitation to schools not provided at the time of the assessment.

This was compliant to the three working days of release from MoFPED.

We noted that DEO communicated capitation releases to schools within three working days of release from MoFPED for 2 out of the three quarters as indicated above. The score is 0.

Verification from the sampled (3) UPE schools revealed that there was evidence of display of capitation grants releases on school notice boards in all the 3 sampled UPE schools as indicated below:

- Mukarangye PS: The display contained capitation grants releases for;

- Q4 of FY 2022/3 (term II 2023), Ugx.1,150,000- not dated

- Q3 of FY 2022/23 (term I 2023), Ugx.2,330,000-not dated.

- Mwisu PS: The display contained UPE capitation grant releases for;

- Q3 FY 2022/3 (term I 2023), 2,364,000-not dated

- Q4 FY 2022/3 (term II 2023), Ugx.4,795,167 dated 2 February 2023

- Q1 FY 2023/4 (term III 2023), Ugx.6,137,000 dated 18 September 2023

- Kyanamira PS: The display indicated UPE funds releases for;

- Q3 FY2022/3 (term I 2023), Ugx. 3,780,167 dated 2 February 2023

- Q4 FY 2022/3 (term II 2023), Ugx.3,775,282 dated 12 June 2023

- Q1 FY 2023/4 (term III 2023), Ugx.4,380,167 dated 12 September 2023

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <ul style="list-style-type: none"> <li>• If 100% compliance, score: 2, else score: 0</li> </ul>	<p>There was evidence that the education department prepared the inspection annual work plan for FY 2022/23 signed and stamped by SIS, DEO, CFO &amp; CAO dated 20 July 2022.</p> <p>Key activities included monitoring and follow up of issues in inspection reports, and preparatory and dissemination of inspection reports and examination results among others</p> <p>On file, we noted minutes of inspection preparatory meetings held on 16 September 2022 (Min.5/2022) and 5 January 2023 (Min.4/1/2023).</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> <li>• If 100% score: 2</li> <li>• Between 80 - 99% score 1</li> <li>• Below 80%: score 0</li> </ul>	<p>There was evidence of three (3) school inspection reports as indicated below:</p> <p>(i) School Inspection Report Term III of 2022 dated 9 January 2023. The inspection was started on 5 September 2022. The exercises targeted all the education institutions including 113 UPE schools representing 100% coverage.</p> <p>(ii) School Inspection report for Term I of 2023. The inspection was conducted online (TELA) and carried out by Senior Inspector of schools (SIS) and dated 11 May 2023. Education institutions inspected included;</p> <ul style="list-style-type: none"> <li>• UPE schools: 31 /113 representing 27.4%</li> <li>• Private primary schools; 2</li> </ul> <p>(iii) The school inspection report for Term II of 2023. The inspection was conducted online (TELA) and dated 31 October 2023. The inspection was carried out by the Senior Inspector of schools (SIS) and covered 36 out of 113 UPE schools representing 32%.</p> <p>In view of the above, the percentage of UPE schools inspected was: <math>167/339 \times 100 = 49.2\%</math> (below 80%), the score is 0.</p>	0
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on</i></p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions</p>	<p>There was evidence that all the three inspection reports were presented and discussed during departmental staff meetings. Specific details below:</p>	2

*this performance measure*

have subsequently been followed-up,

Score: 2 or else, score: 0

▲ Departmental meeting on discussion of term 3 of 2022 inspection report dated 15 December 2022. Key inspection findings discussed under Minute 4/2022 included; teaching without schemes of work and lesson plans, and high teacher: pupil ratio ins some schools. It was recommended that emphasis be put in schools with weak performance.

▲ Departmental minutes on discussion of inspection report findings for term 1 of 2023 dated 10 May 2023. Highlights of key findings discussed under Minute 4/2023 included; mismatch between schemes work/lesson plans and the new curriculum, cases of alcoholism during teaching time, absenteeism of head teachers, and lack of mid-day meals for learners by parents. Sensitization of parents, teachers and head teachers was recommended.

▲ Departmental minutes on discussion of inspection report findings for term 2 of 2023 dated 30 August 2023. The report was discussed in detail under Min.5/2023 and key highlights include; use of old teaching methods, failure to follow school time table, lack of adequate latrines, and poor records keeping. It was recommended that there is need for timely follow up on implementation of inspection recommendations in all schools.

▲ There was evidence that inspection recommendations were used to make corrective actions. For instance, on 2 August 2022, the Ag.DEO submitted list of staff (teachers) to Rewards and sanctions committee for disciplinary action. Cases included addition to alcoholism (2), abscondment from duty (2) and absenteeism (1).

Verification was done at school level through the review of inspection files in the (3) sampled UPE schools, and established that:

(i) Mukarangye PS in Katuna TC; had evidence of (1) feedback inspection report on file dated 16 November 2022 by Senior Education Officer

(ii) Mwisi PS in Kitumba S/C; had evidence of feedback inspection reports on file including; (i) School feedback report dated 6 April 2023 (Commissioner , PPE) and (ii) school feedback inspection report dated 2 March 2023 by DIS

(iii) Kyanamira PS in Kyanamira S/C; had no evidence of feedback

inspection reports on file.

Based on the above, there was evidence that inspection reports were discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up. The score is 2.

10

Routine oversight and monitoring

*Maximum 10 points on this performance measure*

d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES):  
Score 2 or else score: 0

There was evidence of submission of three (3) inspection reports to DES as indicated below:

- School inspection report for Term 3 of 2022 was submitted and received by DES secretary (Komugabe Edith ) on 9 January 2023 (signed & stamped).
- School inspection report for Term 1 of 2023 was submitted and received by DES secretary (Tooko Victoria) on 5 June 2023 (signed & stamped)
- School inspection report for Term 2 of 2023 was submitted online on 31 October 2023, 10:49:55 by Wilberforce Nabaasa (SIS).

Verification was done through the review of inspection files in the (3) sampled UPE schools and established that copies of the inspection reports from the previous three terms were not left behind as expected in all the (3) sampled schools as indicated below;

(i) Mukarangye PS in Katuna TC; had evidence of (1) feedback inspection report on file dated 16 November 2022 by Senior Education Officer

(ii) Mwisi PS in Kitumba S/C; had evidence of feedback inspection reports on file including; (i) School feedback report dated 6 April 2023 (Commissioner , PPE) and (ii) school feedback inspection report dated 2 March 2023 by DIS

(iii) Kyanamira PS in Kyanamira S/C; had no evidence of feedback inspection reports on file.

As above, all the (3) inspection reports were submitted to DES though not all copies of inspection reports were left behind in all the (3) sampled UPE schools, the score is 2.

2

10

Routine oversight and monitoring

e) Evidence that the council committee responsible for

Education, Health and CBS issues at KDLG are handled by the Health,

2



*Maximum 10 points on this performance measure*

education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0

Education & Sports and Community Based Services Committee of Council. Here below are some examples of committee meetings where Education specific issues were presented and discussed in FY2022/2023.

Minutes of the Health, Education & Sports and Community Based Services Committee which sat on 18/08/2022 discussed Education and Community issues as per minute MIN.8/HEC/2022-23 – PRESENTATION AND DISCUSSION OF DEPARTMENTAL ANNUAL PERFORMANCE REPORTS. Discussions focussed on new Education staff who joined, destruction of Rwancerere P/S classroom block by heavy rains and recommendation made to help rebuild the school.

Minutes of the Health, Education & Sports and Community Based Services Committee which sat on 29/11/2022 per minute (MIN.16/HEC/2022-23 – PRESENTATION ON QUARTER ONE PERFORMANCE REPORT FOR 2022/2023). Among the issues discussed included District quarter system, the issue of drunkard teachers, incomplete multi-purpose hall at St. Barnabas S.S Karujanga and understaffing in secondary schools in the DLG.

Minutes of the Health, Education & Sports and Community Based Services Committee which sat on 30/01/2023 per minute (MIN.23/HEC/2022-23 – PRESENTATION AND DISCUSSION OF DEPARTMENTAL PERFORMANCE REPORTS). Issues discussed included among others:

PLE results for 2022

Low grades of pupils in the DLG

Low parents' support for their children's education

Lack of lunch for pupils as a major cause of absenteeism and school dropouts.

Weaknesses of some Headteacher e.g. Buramba S.S affecting performance.

KDLG Education issues are being adequately handled by the Council through its Health, Education & Sports and Community Based Services Standing Committee.

Mobilization of parents to attract learners

*Maximum 2 points on this performance measure*

Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,

*score: 2 or else score: 0*

There was evidence that the LG Education department conducted activities to mobilize, attract and retain children at school as indicated below:

- Minutes of Rubuga PS stakeholders meeting to mobilize and attract more pupils to join the school held on 15 March 2023 and attended by 107 stakeholders. During the meeting the area inspector of school reminded the stakeholders that it's their responsibility to develop their area by educating their children and participating in school programs.
- The voice of Kigezi FM radio hosted DEO and the Deputy District Commissioner on 21 January 2023, from 9 am to 11 am. The topic of discussion was "The importance of education and roles of different stakeholders towards children education". Specific issues discussed included causes of school dropout, child labour, provision of day meals to children, parents' participation in school activities and absenteeism of teachers and learners among others ( photos on file).
- On 8 April 2023, VOK Radio station hosted the DEO to sensitize parents on the values of education (photos on file).

## Investment Management

Planning and budgeting for investments

*Maximum 4 points on this performance measure*

a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, *score: 2, else score: 0*

The information on the LG education department consolidated asset register for FY 2022/23 and school asset registers of the sampled 3 UPE schools was verified. There was evidence that the education department prepared and submitted an asset register in the prescribed format ( Planning, Budgeting and Implementation Guidelines for the Education and Sports Sub-Program FY 2023-2024- page 57).

The education department had an up-to date consolidated schools' asset register at the time of assessment. Specific details as indicted below:

□ Mukarangye PS: The education department consolidated school asset register for FY 2022/23 indicated that the school had (07) classrooms, (10) latrine stances, (136) desks and teacher accommodation (00), while the school asset register had (07) classrooms, (10) latrine stances, (136) desks and teacher accommodation (00). Information was accurate

Mwisi PS: The education department consolidated school asset register for FY 2022/23 indicated that the school had (17) classrooms, (43) latrine stances, (443) desks and teacher accommodation (04), while the school asset register had (17) classrooms, (43) latrine stances, (443) desks and teacher accommodation (04). Information was consistent

□ Kyanamira PS: The education department consolidated school asset register for FY 2022/23 indicated that the school had (20) classrooms, (13) latrine stances, (128) desks and teacher accommodation (00), while the school asset register had (20) classrooms, (13) latrine stances, (128) desks and teacher accommodation (00). Information was consistent.

Based on the above, the asset register was up to date.

Planning and budgeting for investments

*Maximum 4 points on this performance measure*

b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, *score: 1 or else, score: 0*

For FY 2022/2023, the KDLG Education department had the following infrastructural projects:

☑ Construction of 5 stance latrines at 7 primary schools i.e. Karambwe in Maziba S/C, Kirwa in Ryakarimira T/C, Kahungye in Kahungye S/C, Rwaza in Kibuga S/C, Nkumbura in Kaharo S/C, Butojo in Butanda S/C and Bunagana in Kamuganguzi S/C (UGX 167,430,417).

☑ Construction/completion of multi-purpose hall at St Barnabas Karujanga S. S (UGX250,000,000).

A Desk Appraisal aims to ensure that a project meets the following requirements.

-Derived from the LG Development Plan

-Consistent with sector guidelines & DDEG objectives

-Financially feasible

-Having costed project profiles.

Evidence of desk appraisals for KDLG Education Department projects for FY2022/2023 was availed/ seen.

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0</p>	<p>For FY 2022/2023, the Education department had the following infrastructural projects:</p> <ul style="list-style-type: none"> <li>❑ Construction of 5 stance latrines at 7 primary schools i.e. Karambwe in Maziba S/C, Kirwa in Ryakarimira T/C, Kahungye in Kahungye S/C, Rwaza in Kibuga S/C, Nkumbura in Kaharo S/C, Butojo in Butanda S/C and Bunagana in Kamuganguzi S/C (UGX 167,430,417).</li> <li>❑ Construction/completion of multi-purpose hall at St Barnabas Karujanga S. S (UGX250,000,000)</li> </ul> <p>A Field Appraisal aims to ensure that a project meets the following requirements.</p> <ul style="list-style-type: none"> <li>-Technical feasibility</li> <li>-Environmental and social acceptability requirements.</li> </ul> <p>Evidence of field appraisals for KDLG Education Department projects for FY2022/2023 was availed/ seen.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, score: 1, else score: 0</p>	<p>There is evidence that the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan. As per the amended Approved Work Plan for FY 2023-24 dated 14/7/2023, the following projects among others were incorporated:</p> <ol style="list-style-type: none"> <li>1. <b>Item 11-</b> Construction of a 5 stance VIP latrine at Kisibo Primary School; Budgeted at UGX 24,000,000/=.</li> <li>2. <b>Item 12-</b> Construction of a 5 stance VIP latrine at Rukore Primary School; Budgeted at UGX 24,000,000/=.</li> <li>3. <b>Item 13-</b> Construction of a 5 stance VIP latrine at Rwene Primary School; Budgeted at UGX 24,000,000/=.</li> </ol>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>There is evidence that School infrastructure Projects were approved by the Contracts Committee (C.C) before commencement of Works. For example:</p> <ol style="list-style-type: none"> <li>1. <b>Under CC 02/2022-23 Min. No. vii</b> of the CC meeting held on 22/8/2022, the Construction of 5 stance VIP latrine at Karambwe P/S - Maziba S/C was approved.</li> <li>2. <b>Under CC 02/2022-23 Min. No. ii</b> of the CC meeting held on 22/8/2022, the Construction of 5 stance VIP latrine at Kirwa P/S - Ryakarimira TC was approved.</li> <li>3. <b>Under CC 02/2022-23 Min. No. iii</b> of the CC meeting held on 22/8/2022, the Construction of 5 stance VIP latrine at Rutojo P/S - Butanda S/C was approved.</li> </ol>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>There was NO evidence of proper establishment of the PIT for the school construction projects constructed within the last FY (2022/23) as per guidelines</p> <p>A copy of joint appointment by the CAO of the members of the PIT for Construction Works under Education Department dated 27/10/2022 was present. It comprised of: Project Manager (Senior Civil Engineer), Contract Manager (Senior Education Officer), Senior Environment Officer, Senior Labour Officer and DCDO. However no COW was assigned to any of the school construction projects. The following Projects were considered.</p> <ol style="list-style-type: none"> <li>1. Construction of a 5-stance VIP Latrine at Karambwe P/S, Maziba S/C.</li> <li>2. Construction of a 5-stance VIP Latrine at Rutojo P/S, Butanda S/C.</li> <li>3. Construction of a 5-stance VIP Latrine at Kirwa P/S, Ryakarimira Town Council.</li> </ol>	0

13	Procurement, contract management/execution  <i>Maximum 9 points on this performance measure</i>	d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES  <i>Score: 1, else, score: 0</i>	Kabale District Local Government did not have a project on the construction of the seed secondary school and therefore the requirement of the indicator (whether the school infrastructure followed the standard technical designs provided by the MoES) could not be assessed. Therefore, the LG earns the maximum score.	<b>1</b>
13	Procurement, contract management/execution  <i>Maximum 9 points on this performance measure</i>	e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY  <i>score: 1, else score: 0</i>	Kabale District Local Government did not have a project on the construction of the seed secondary school and therefore the requirement of the indicator (that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY) could not be assessed. Therefore, the LG earns the maximum score.	<b>1</b>
13	Procurement, contract management/execution  <i>Maximum 9 points on this performance measure</i>	f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted  <i>score: 1, else score: 0</i>	There was NO evidence that monthly Site Meetings were conducted for School infrastructure projects during the previous FY (2022/2023).  The following monthly reports were present  <ol style="list-style-type: none"> <li>1. <b>Construction of a 5-stance VIP Latrine at Rutojo P/S, Butanda S/C</b>- with reports dated: 13/12/2022, 6/2/2023.</li> <li>2. <b>Construction of 5-stance VIP Latrine at Karambwe P/S, Maziba S/C</b>- with reports dated: 17/2/2023.</li> <li>3. <b>Construction of 5-stance VIP Latrine at Kirwa P/S, Ryakarimira TC</b>- with reports dated: 8/12/2022.</li> </ol> However, there were no Minutes of meeting present during the time of assessment.	<b>0</b>
13	Procurement, contract management/execution  <i>Maximum 9 points on this performance measure</i>	g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract,  <i>score: 1, else score: 0</i>	Eight (08) KDLG Education projects of FY2022/2023 were sampled to check certification and timeliness of effecting payments for infrastructure projects.  Payment to Kabale Joiners and Contractors (U) Ltd for construction of construction of 5 stance VIP latrine at Rwaza P/S (UGX21,558,069) – Requisition dated 01/06/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer, Internal Auditor	<b>0</b>

and CAO on 01/06/2023 and payment on 15/06/2023 (14 days).

Payment to Adix Plumbers and Engineering Ltd for construction of 5 stance latrine at Karambwe P/S in Maziba S/C (UGX21,424,257) – Requisition dated 22/02/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer, Internal Auditor and CAO on 17/02/2023 and payment on 30/03/2023 (38 days).

Payment to St Barnabas S.S Karujanga for completion of assembly hall (Pase III) at St Barnabas S.S.S Karujanga (UGX249,999,980) – Requisition dated 01/02/2023, certified by the District Engineer, District Education Officer, CDO, Environment Officer, Internal Auditor and CAO on Inspection report - 17/10/2022 and payment on 16/03/2023 (43 days).

Payment to Acjude Engineering Company Ltd for construction of 5 stance VIP latrine at Bunagana P/S in Kamuganguzi S/C (UGX27,291,579) – Requisition dated 12/12/2022, certified by the District Engineer, District Education Officer, CDO, Environment Officer, Internal Auditor and CAO on 09/12/2022 and payment on 06/02/2023 (54 days).

Payment to Mket Technical Services (U) Ltd for construction of 5 stance VIP latrine at Kirwa P/S (UGX23,268,208) – Requisition dated 08/12/2022, certified by the District Engineer, District Education Officer, CDO, Environment Officer, Internal Auditor and CAO on 08/12/2022 and payment on 06/02/2023 (58 days).

Payment to Habaasa Muhire and Sons Ltd for construction of 5 stance VIP latrine at Rutojo P/S in Butanda S/C (UGX22,555,181) – Requisition dated 19/12/2022 certified by the District Engineer, District Education Officer, CDO, Environment Officer, Internal Auditor and CAO on 13/12/2022 and payment on 20/12/2022 (1 day).

Payment to Habaasa Muhire and Sons Ltd for construction of 5 stance VIP latrine at Muyebe P/S in Buhara S/C (UGX6,196,180) – Requisition dated 02/11/2022 certified by the District Engineer, District Education Officer, CDO, Environment Officer, Internal Auditor and CAO on 15/06/2022 and payment on 01/12/2022 (29 days).

Payment to Adix Plumbers and Engineering Ltd for construction of 5



stance latrine at Kahungye P/S in Kahungye S/C (UGX20,688,940) – Requisition dated 13/12/2022, certified by the District Engineer, District Education Officer, CDO, Environment Officer, Internal Auditor and CAO on 13/12/2022 and payment on 29/12/2022 (16 days).

In six (06) of the eight (08) sampled projects, payments were effected beyond the 14 days' time limit.

13

Procurement, contract management/execution

*Maximum 9 points on this performance measure*

h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, *score: 1, else, score: 0*

There is evidence that the LG Education Department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit on 30/04/2022.

. The following projects were included among other Works/Supplies;

1. Construction of a 5 stance VIP Latrine at Rutojo P/S.
2. Construction of a 5 stance VIP Latrine at Kirwa P/S.
3. Construction of a 5 stance VIP Latrine at Bunagana P/S.

**1**

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>There is evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA.</p> <p>The files included: the Contract documents, approved Evaluation reports, memos of Bid Acceptance and Award of Contract indicating the Contracts Committee (C.C) approvals. The project files sampled include:</p> <ol style="list-style-type: none"> <li>1. <b>Construction of 5 stance VIP latrine at Karambwe P/S, Maziba S/C-</b> KABA512/WRKS/2022-23/00008. Approved by the CC under CC 02/2022-23 Min. No. vii of the CC meeting held on 22/8/2022.</li> <li>2. <b>Construction of 5 stance VIP latrine at Kirwa P/S, Ryakarimira TC-</b> KABA512/WRKS/2022-23/00003. Approved by the CC under CC 02/2022-23 Min. No. ii of the CC meeting held on 22/8/2022.</li> <li>3. <b>Construction of 5 stance VIP latrine at Rutojo P/S, Butanda S/C-</b> KABA512/WRKS/2022-23/00004. Approved by the CC under CC 02/2022-23 Min. No. iii of the CC meeting held on 22/8/2022.</li> </ol>	<b>1</b>
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**Environment and Social Safeguards**

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0</p>	<p>There was <b>no evidence</b> that grievances were recorded, investigated, responded to and recorded in line with the grievance redress framework</p>	<b>0</b>
15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>There was evidence of dissemination of guidelines on environment in schools as per the letter addressed to all head teachers dated 10 September 2022.</p> <p>We noted copies of the guidelines on environment for school in all the 3 sampled UPE schools (Mukarangye PS, Mwisi PS and Kyanamira PS)</p>	<b>3</b>

16	Safeguards in the delivery of investments  <i>Maximum 6 points on this performance measure</i>	a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i>	There <b>was no evidence</b> that LG had in place a costed ESMP and this is incorporated within the BoQs and contractual documents for education projects	0
16	Safeguards in the delivery of investments  <i>Maximum 6 points on this performance measure</i>	b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i>	There <b>was no evidence</b> for proof of land ownership, access of school construction projects for the <b>construction of 5 stance and urinal at Rutojo P/s and for the construction of 5 stance and urinal at Rwaza P/s.</b>	0
16	Safeguards in the delivery of investments  <i>Maximum 6 points on this performance measure</i>	c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i>	There <b>was evidence</b> that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports  <ol style="list-style-type: none"> <li>1. Support supervision and monitoring for the construction of 5 stance and urinal at Rutojo P/s was carried on 12/12/2022, stamped and signed by both the SEO and DCDO, monthly reports were reviewed dated 12/12/2023, 26/1/2023 the project started on 37/10/2022 and ended 24/2/2023</li> <li>2. Support supervision and monitoring for the construction of 5 stance and urinal at Rwaza P/s was carried on 9/11/2022, stamped and signed by both the SEO and DCDO, monthly reports were reviewed dated 9/11/2023, 26/1/2023 the project started on 31/10/2022 and ended 24/2/2023</li> </ol>	2
16	Safeguards in the delivery of investments  <i>Maximum 6 points on this performance measure</i>	d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments  <i>Score: 1, else score:0</i>	There <b>was NO evidence</b> that E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments for the <b>construction projects for the construction of 5 stance and urinal at Rutojo P/s and for the construction of 5 stance and urinal at Rwaza P/s.</b>	0

**Health  
Performance  
Measures**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Local Government Service Delivery Results</b>				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> <li>• By 20% or more, score 2</li> <li>• Less than 20%, score 0</li> </ul>	<p>There <b>was no evidence to confirm</b> whether Kabale DLG registered an increased utilization of healthcare services, with a specific focus on total deliveries. The computation of healthcare services utilization was guided by the instructions provided during the orientation of Health Specialists, which indicated that the computation should be based on all the HCIIIs and HCIVs.</p> <p>Kabale DLG has eleven (11) HC IIIs and three (3) HC IVs conducting deliveries (Including PNFPs). The assessment team reviewed the annual reports (HMIS 107) for all HC IIIs and HC IVs for FY 2021/2022 and compared them with FY 2022/2023. The findings are as follows:</p> <p>The total number of deliveries <b>for FY 2021/2022 was 3,422, and for FY 2022/2023, it was 3658</b>, representing an overall increase of <b>6.9%</b>.</p> <p>As a result, <b>Kabale DLG did not achieve the recommended 20%</b> increase in the utilization of healthcare services, as required by the performance measure.</p>	<b>0</b>
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> <li>• 70% and above, score 2</li> <li>• 50% - 69%, score 1</li> <li>• Below 50%, score 0</li> </ul>	<p>The performance of KDLG in its LLG FY2022/2023 Health assessment was 69%, making it fall within the 50%-69% range.</p>	<b>1</b>
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> <li>• 75% and above; score 2</li> <li>• 65 - 74%; score 1</li> <li>• Below 65; score 0</li> </ul>	<p>This indicator is not applicable in this round of assessment due to changes in the management of the RBF program by the MoH.</p>	<b>0</b>

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.

Kabale DLG Annual Performance Report for FY 2022/2023 shows that UGX576,458,000 was budgeted for the health development grant. The total amount spent was UGX1,062,172,680 (KDLG Annual Performance Report, Page 17). The following projects were budgeted in FY2022/2023:

- 🏗️ Construction of OPD at Muyumbu HCII in Kyanamira S/C (UGX65,000,000)
- 🏗️ Phase II construction of OPD at Nyanja HCIII in Maziba S/C (UGX65,000,000)
- 🏗️ Completion of renovation at Nyakasharara HCII in Kasharo S/C (UGX14,198,000)
- 🏗️ Construction of staff houses at Rubaya HCIV in Ryakarimira T/C (UGX300,000,000)
- 🏗️ Construction of walk way at Rubaya HCIV in Ryakarimira T/C (UGX20,000,000)
- 🏗️ Construction of 4 stance VIP latrine at Kyasano HCII in Kamuganguzi S/C (UGX14,494,000)
- 🏗️ Upgrade of Karujanga HCII to III (UGX114,032,242)
- 🏗️ Construction of staff house at Kitooma HCIII in Rubaya S/C (UGX147,092,242)
- 🏗️ Construction of staff house at Kahondo HCIII in Maziba S/C (UGX157,356,196)
- 🏗️ Construction of twin staff houses at Kasheregyenyi HCII (UGX165,000,000)

These activities were eligible under the Health Development Grant guidelines. They didn't involve purchase of land, procurement of vehicles etc.

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0

For Kabale DLG Health eight (08) project payments in FY2022/2023 were sampled to test for certification before payments.

Payment to Kinombe Nyaruzinga Construction Co. Ltd for construction of a twin staff house at Kitooma HCIII Rubaya S/C (UGX68,461,830) - Requisition dated 11/04/2023, certified by District Engineer, CDO, Environment Officer, Labour Officer, DHO and CAO on 11/04/2022 and payment on 03/05/2023.

Payment to Famdec Technical Services Ltd for 2nd phase construction of twin staff house at Kahondo HCIII (UGX75,356,681) - Requisition dated 08/05/2023, certified by District Engineer, CDO, Environment Officer, Labour Officer, DHO and CAO on 08/05/2023 and payment on 08/06/2023.

Payment to Ricky Building Material Ltd for upgrading of Kasheregyenyi in Kamuganguzi S/C from HCII to III (UGX22,186,852) -

Requisition dated 15/02/2023, certified by District Engineer, CDO, Environment Officer, Labour Officer, DHO and CAO on 07/02/2023 and payment on 30/03/2023.

Payment to Geses Uganda Ltd for upgrade of Karujanga HCII to HCIII (UGX108,953,006) – Requisition dated 15/06/2023, certified by the District Engineer, CDO, Environment Officer, Labour Officer, DHO and CAO on 15/06/2023 and payment on 28/06/2023.

Payment to Kinombe Nyaruzinga Construction Co. Ltd for construction of a twin staff house at Kitooma HCIII Rubaya S/C (UGX42,774,221) – Requisition dated 06/06/2023, certified by District Engineer, CDO, Environment Officer, Labour Officer, DHO and CAO on 12/06/2022 and payment on 28/06/2023.

Payment to Famdec Technical Services Ltd for 2nd phase construction of twin staff house at Kasheregyenyi HCIII in Kamuganguzi S/C (UGX79,863,673) – Requisition dated 15/05/2023, certified by District Engineer, CDO, Environment Officer, Labour Officer, DHO and CAO on 15/05/2023 and payment on 15/06/2023.

Payment to Rodrisa Supplies Ltd for supply, delivery, installation, training and commissioning medical equipment for 64 HCIII (UGX385,217,391) – Requisition dated 23/06/2023, certified by the District Engineer, CDO, Environment Officer, Labour Officer, DHO and CAO on Delivery note 31/10/2022 and payment on 28/06/2023

Payment to Kinombe Nyaruzinga Construction Co. Ltd for construction of a twin staff house at Kitooma HCIII Rubaya S/C (UGX46,120,145) – Requisition dated 16/06/2023, certified by District Engineer, CDO, Environment Officer, Labour Officer, DHO and CAO on 15/06/2022 and payment on 28/06/2023.

In all the eight (08) sampled projects, certification for Education infrastructural projects was done in accordance with the requirements.

3

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0

- There is evidence that the variations in the contract price of sampled health infrastructure investments are within +/- 20% of the MoWT Engineer's estimates.
- From the DE and DHO, the following Works contracts were sampled; and the Engineer's estimates (Budgets) Vs. the Contract Prices are as listed with the corresponding Variation percentages;  $[(A-B)/A]*100\%$ :
  - **Construction of a twin staff house at Kahondo HC III** - KABA512/WRKS/2022-23/00013. The Engineer's Estimate (A) was UGX 170,000,000/=; the contract Sum/Price (B) was UGX 165,658,047/= . The Variation was at 2.55%.
  - **Construction of a twin staff house at Kitooma HC III, Rubaya Sub County-** KABA512/WRKS/2022-23/00014. The Engineer's Estimate (A) was UGX 170,000,000/=; the contract Sum/Price (B) was UGX 177,062,440/= . The Variation was at 4.15%.

2

3

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY

- If 100 % Score 2
- Between 80 and 99% score 1
- less than 80 %: Score 0

The indicator is Not Applicable since there is no HC II to HC III upgrade for Kabale DLG. Other infrastructures that were implemented include:

1. Construction of a twin staff house at Kahondo HC III - KABA512/WRKS/2022-23/00013.
2. Construction of a twin staff house at Kitooma HC III, Rubaya Sub County- KABA512/WRKS/2022-23/00014.

2

Achievement of Standards: The LG has met health staffing and infrastructure facility standards

Maximum 4 points on this performance measure

a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure

- If above 90% score 2
- If 75% - 90%: score 1
- Below 75 %: score 0

There **was evidence to confirm that Kabale DLG** recruited staffs for all eleven (11) HC IIIs and three (3) HC IVs, in accordance with the staffing structure. The staffing structure provided by the District Health Office (DHO) indicated that HC IVs were required to have 48 staff, while HC IIIs were required to have 19 health workers.

According to the approved budget for FY 2023/2024, the allocated conditional sector conditional grant for wages was 6,697,693,000 UGX. This allocation covered the wages of **273** staff members out of the required **356**, as per the staffing norms for the available HC IVs and HC IIIs. This indicates that only 76.7% of the health worker positions for the available HC IVs and HC IIIs were filled. The breakdown of the percentage of health worker positions filled for these facilities is provided below.

1. **Rubaya HC IV:** 44 staff were deployed out 49 required staffing norms. This represents 89.7% of filled positions.
2. **Maziba HC IV:** 32 staff were deployed out 49 required staffing norms. This represents 65.3% of filled positions.
3. **Kakomo HC IV:** 24 staff were deployed out 49 required staffing norms. This represents 49% of filled positions. This health facility has been recently upgraded to HC IV.
4. **Bwama HC III:** 13 staff were deployed out 19 required staffing norms. This represents 68.4% of filled positions.
5. **Karujanga HC III:** 13 staff were deployed out 19 required staffing norms. This represents 68.4% of filled positions.
6. **Kasheregyenyi HC III:** 15 staff were deployed out 19 required staffing norms. This represents 78.9% of filled positions.
7. **Buhara HC III:** 17 staff were deployed out 19 required staffing norms. This represents 89.5% of filled positions.
8. **Kahondo HC III:** 15 staff were deployed out 19 required staffing norms. This represents 78.9% of filled positions.
9. **Kamuganguzi HC III:** 20 staff were deployed out 19 required staffing norms. This represents 105.3% of filled positions.
10. **Butanda HC III:** 14 staff were deployed out 19 required staffing norms. This represents 73.7% of filled positions.
11. **Kitoma HC III:** 13 staff were deployed out 19 required staffing norms. This represents 68.4% of filled positions.
12. **Buramba Hc III:** 11 staff were deployed out 19 required staffing norms. This represents 57.9% of filled positions.
13. **Kyanamaira HC III:** 22 staff were deployed out 19 required staffing norms. This represents 115.8% of filled positions.
14. **Kaharo HC III:** 17 staff were deployed out 19 required staffing norms. This represents 89.5% of filled positions.



4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> <li>• If 100 % score 2 or else score 0</li> </ul>	<p>There was no HC II to HC III upgrade for Kabale DLG.</p> <p>Other infrastructures were implemented, but call to this indicator as per the LGMSD manual reviews call for Ref. HC II to HC III Upgrade.</p>	2
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**Performance Reporting and Performance Improvement**

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>There <b>was evidence to confirm that</b> information given by Kabale DLG on position of health workers filled accurate as evidenced by the findings from three (3) sampled facilities. The assessment team reviewed the staff list for FY 2023/2024 and compared it with the staff lists found at the sampled facilities. The details of the findings were as follows.</p> <ol style="list-style-type: none"> <li>1. <b>Buhara HC III:</b> 17 health workers deployed as per staff list obtained from the DHO. There was no observed deviation between the staff list obtained from the DHO and the list found at the facility.</li> <li>2. <b>Kakomo HC IV:</b> 24 health workers deployed as per staff list obtained from the DHO. There was no observed deviation between the staff list obtained from the DHO and the list found at the facility.</li> <li>3. <b>Kamuganguzi HC III:</b> 20 health workers deployed as per staff list obtained from the DHO. There was no observed deviation between the staff list obtained from the DHO and the list found at the facility.</li> </ol>	2
5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>There <b>was evidence to confirm</b> that information on health facilities constructed and functional was accurate. The list obtained from the DHO included the following items.</p> <ol style="list-style-type: none"> <li>1) <i>Construction of staff houses at Kahondo HC III and Kitoma HC III.</i> The assessment team reviewed the annual PBS report (Quarter 4) submitted by CAO (Kalyesubula Fred) on 01-08-2023 and established that information on their status and functionality was accurate.</li> </ol>	2

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:

- Score 2 or else 0

There **was evidence to confirm that** health facilities in Kabale DLG prepared and submitted Annual Workplans & budgets to the DHO by March 31st of the FY 2022/2023 as per as per the LG Planning Guidelines for Health Sector.

The assessment team sampled Annual Workplans & budgets for three health facilities and established their submissions where as follows.

1. **Kahondo HC III:** Prepared and submitted Annual Workplans & budgets to the DHO on 28th March 2022. The budget and workplan was also endorsed by the facility in charge (Kyasimire Catherine) on 27th March 2022.
2. **Butanda HC III:** Prepared and submitted Annual Workplan & budget to the DHO on 27th March 2022. The Annual Workplan & budget was prepared and endorsed by the facility in charge on 13th March 2022.
3. **Kokomo HC IV:** Prepared and submitted Annual Workplans & budgets to the DHO on 26th March 2022. The facility Annual Workplan & budget was prepared and endorsed by the facility in charge (Byamukama Lawrence) on the same day.

The review of the submitted Annual Workplans and budgets for FY 2022/2023 indicates that they are in conformity with the LG Planning Guidelines for the Health Sector.

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :

- Score 2 or else 0

There **was evidence to confirm** that health facilities of Kabale DLG prepared and submitted to the DHO Annual Budget Performance Reports for the FY 2022/2023 by July 15th of the as per the Budget and Grant Guidelines. The assessment team randomly sampled three health (**Butanda HC III, Kahondo HC III and Kokomo HC IV**) and established that submission was made as follows:

1. **Butanda HC III:** Submitted its Annual Budget Performance Report on 13th July 2023. The report was endorsed by the in-charge and HUMC Chairperson ( Njuyamwo Jacqueline on the same day.
2. **Kakomo HC IV:** Submitted its Annual Budget Performance Report on 2nd July 2023. The report was endorsed by the facility in charge, Chairperson HUMC (Kyomukama Ezera) on the same day.
3. **Kahondo HC III:** Submitted its Annual Budget Performance Report on 10th July 2023. The report was endorsed by the in-charge and HUMC Chairperson (Twinamasiko Elson) on the same day.

The assessment team noted that the above submitted Annual Budget Performance Reports conformed to the format prescribed in the guideline.

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports

- Score 2 or else 0

There **was evidence to confirm** that health facilities in Kabale DLG had developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports. The assessment team randomly sampled three (3) health facilities (***Butanda HC III, Kahondo HC III and Kakomo HC IV***) and confirmed that they reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports as described below.

- • **Kahondo HC III:** The monitoring and assessment reports dated 21st May 2023, noted that the facility did not have quality improvement document. The reviewed facility performance improvement plan submitted to DHO on 11th July 2023 indicated that the facility had prioritized improving quality improvement by bringing up file minutes. The PIP also indicated that there was a need to procure file for QI journals.
- • **Kakomo HC IV:** The monitoring and assessment report for submitted to the DHO on 14th June 2023 identified agap of HTS services not offered in the identified hot spots and recommended that this should be done monthly. The facility submitted to DHO on 2nd July 2023 highlighted the need to identify APN strategies and start other out reaches on the identified hotspots. This aimed at improving HTS coverage.
- • **Butanda HC III:** The facility improvement plan was submitted to the DHO on 13th July 2023 prioritized following up with monitoring charts to improve DPT3 coverage. This was in response to gap identified in the monitoring and assessment reports dated 27th February 2023 that noted that EPI performance monitoring charts were not plotted, and that data was not used to inform PITS.

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,</p> <ul style="list-style-type: none"> <li>• score 2 or else score 0</li> </ul>	<p>There <b>was evidence to confirm that the health facilities</b> in Kabale DLG submitted monthly and quarterly HMIS reports in a timely manner, meeting the requirement of submission within 7 days following the end of each month and quarter. All three sampled health facilities ie. <b>Butanda HC III, Kahondo HC III, and Kakomo HC IV</b>, submitted both monthly and quarterly HMIS reports within the stipulated 7-day timeframe. For instance, the quarterly HMIS reports for the sampled health facilities were submitted on the following dates.</p>	2
	<p>Maximum 14 points on this performance measure</p>		<ol style="list-style-type: none"> <li>1. <b>Butanda HC III:</b> Submitted its quarterly HMIS reports on 5 October 2023, 5th January 2023, and 5th July 2023.</li> <li>2. <b>Kakomo HC IV:</b> Submitted its quarterly HMIS reports on 6th October 2023, 6th January 2023, 7th April 2023 and 6th July 2023.</li> <li>3. <b>Kahondo HC III:</b> Submitted its quarterly HMIS reports on 6th October 2022 and 7th July 2023.</li> </ol>	
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0</p> <p>Note: Municipalities submit to districts</p>	<p>This indicator is not applicable in this round of assessment due to changes in the management of the RBF program by the MoH.</p>	0
	<p>Maximum 14 points on this performance measure</p>			
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>This indicator is not applicable in this round of assessment due to changes in the management of the RBF program by the MoH.</p>	0
	<p>Maximum 14 points on this performance measure</p>			

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>KDLG Planning Unit did not have a system for capturing dates of submission of Health department reports for integration into the overall quarterly DLG report.</p> <p>A communication by the DHO to the CAO was provided in which the DHO was informing the CAO that Quarter one report was submitted to the Planner on 28/10/2022, Quarter two report was submitted to the Planner on 27/01/2023, Quarter three report was submitted to the Planner on 27/04/2022, and Quarter four report was submitted to the Planner on 26/07/2022. However, the communication bore no acknowledgement by the CAO or and wasn't copied to the Planner. It looked made for the assessment and didn't provide sufficient evidence.</p> <p>The evidence provided concerning the submission dates of Health department reports was insufficient. Planning still needs to put in place a system to this effect.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>The assessment team established that the Kabale DLG DHMT had developed a Performance Improvement Plan (PIP) for the weakest performing health facilities on 8th July 2022. This PIP received endorsement from both the Chief Administrative Officer (CAO), Kalyesubula Fred, and the District Health Officer (DHO), Dr. Mateeka Gilbert, on the same day.</p>	1
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>There <b>was evidence to confirm</b> that the health department of Kabale DLG Implemented Performance Improvement Plan for weakest performing facilities. The Review if the Performance Improvement Plan on Page 11 indicated that the department had among other things priotised the recruitment of the DHO. The assessment team established that the position of District Health Officer was substantively filled by Dr. Mateeka Gilbert appointed on August 03, 2022 by the CAO in a letter ref.: DSC 28/59/01 as directed by DSC under Minute No. 67/2022.</p>	1

## Human Resource Management and Development

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

a) Evidence that the LG has:  
i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0

There **was evidence to confirm that Kabale DLG** Health Department budgeted for healthcare workers in accordance with staffing norms and guidelines. The approved staff structure, obtained from the DHO's office, indicates an approved structure of 486 healthcare workers.

A review of the approved Budget Estimates for FY 2023/2024 revealed that the allocated conditional Sector Conditional Grant (Wage) for Kabale DLG was UGX 6,804,723,864 intended to cover the wage of **437** healthcare workers in post out of the required **486** according to the staffing norm (**89.9%**). The assessment team established that the remaining balance of about UGX 117,263,326 had been earmarked to cater for the staff have been proposed for promotion as per the letter dated 20th September 2023 addressed to CAO with a list of 18 health care workers. The assessment team also found that the second budget call circular (2nd BCC) regarding the finalization of the budget Estimates for the financial year 2023/2024, as indicated in item 43 (Page 11 of 23), stated: "Therefore, no vote will be authorized to recruit new staff except on a replacement basis, with evidence that the position(s) to be recruited have provisions in the budget for FY 2023/2024.

2

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

a) Evidence that the LG has:  
ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0

There **was no evidence to confirm** that the Kabale DLG Health Department deployed healthcare workers in accordance with staffing guidelines, which require that all health facilities have at least 75% of the staff required according to the staffing norms. The assessment team reviewed the deployment lists for FY 2023/2024 and observed that some health facilities did not meet the requirement of having at least 75% of the required staff deployed in accordance with staffing norms. Such health facilities included among others; Kakomo HC IV, Karujanga HC III, Bwama Hc III, Buramba HC III, and Butanda HC III.

0

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0

The **was evidence to confirm that health workers** in Kabale DLG were working in health facilities where they are deployed. The assessment team reviewed the deployment list for FY 2023/2024 and compared them with the logs in the attendance book at the randomly sampled health facilities and established that the two were in agreement. The assessment team sampled three health facilities (**Buhara HC III, Kamuganguzi HC III and Kakomo HC IV**) and established that was no variance between the deployment list obtained from DHO's and logs in the staff attendance books found at the health facilities as summarized below.

1. **Kakomo HC IV:** 24 health workers deployed as per the deployment obtained from the DHO. The staff list found the health facility was Staff list was in agreement with the list obtained from the DHO.
2. **Buhara HC III:** 17 health workers deployed as per the deployment obtained from the DHO. Staff list found the health facility was Staff list was in agreement with the list obtained from the DHO.
3. **Kamuganguzi HC III:** 20 health workers deployed as per the deployment obtained from the DHO. The staff list found the health facility was Staff list was in agreement with the list obtained from the DHO.

3

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0

There **was evidence to confirm that** Kabale DLG publicized the deployment of health workers through various means, including posting on facility notice boards. The assessment team visited three sampled health facilities and observed that staff lists for FY 2023/2024 were available on the notice boards at **Kokomo HC IV, Buhara HC III, and Kamuganguzi HC III.**

2

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

a) Evidence that the DHO/MMOHs has:

i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0

**There was evidence that the District Health Officer had conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY.**

Some of the files reviewed included:

1. Natukunda Martin, Senior Clinical Officer In-Charge Kitooma HC III in Rubaya Sub County was appraised by Manzi Gordon , Principal Assistant Secretary on June 20, 2023.
2. Kirungi Moureen, Senior Clinical Officer In-Charge Buhara HC III in Buhara Sub County was appraised by Namanya Emmy, Assistant Chief Administrative Officer on June 30, 2023.
3. Monday Patrick, Enrolled Nurse In-Charge Bwene HC II in Buhara Sub County was appraised by Manzi Gordon , Principal Assistant Secretary on June 30, 2023.
4. Ngabirano Ronald, Assistant Nursing Officer In-Charge Bwama HC III in Kitumba Sub County was appraised by Manzi Gordon , Principal Assistant Secretary on June 30, 2023.
5. Dr. Asimwe Fortunate, Senior Medical Officer In-Charge Rubaya HC IV in Rubaya Sub County was appraised by Manzi Gordon , Principal Assistant Secretary on June 30, 2023.
6. Kukundakwe Natherine, Enrolled Midwife In-Charge Kabindi HC II in Kitumba Sub County was appraised by Manzi Gordon , Principal Assistant Secretary on June 30, 2023.
7. Turyahebwa Joan, Enrolled Midwife In-Charge Kahondo HC II in Maziba Sub County was appraised by Manzi Gordon , Principal Assistant Secretary on June 30, 2023.
8. Bikorwomuhangi Josephati, Assistant Nursing Officer In-Charge Kaharo HC III in Kaharo Sub County was appraised by Manzi Gordon , Principal Assistant Secretary on June 30, 2023.
9. Niwabine Hosanah, Assistant Nursing Officer In-Charge Kavu HC II in Maziba Sub County was appraised by Manzi Gordon , Principal Assistant Secretary on June 30, 2023.
10. Ainembabazi John , Enrolled Midwife In-Charge Karujanga HC III in Katuna Town Council was appraised by Manzi Gordon , Principal Assistant Secretary on June 30, 2023.
11. Byamukama Lawrence, Senior Clinical Officer In-Charge Kakomo HC IV in Kitumba Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023



Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0

**There was evidence that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO to HRO during the previous FY**

Some of the files reviewed included:

1. Logose Daphne, Assistant Nursing Officer (Psychiatry) posted to Maziba HC IV in Maziba Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
2. Mwesigwa Gerald, Assistant Entomological Officer posted to Maziba HC IV in Maziba Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
3. Nuwagaba Jovitah, Enrolled Nurse posted to Nyabushabi HC II in Kyamamira Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
4. Dr. Ndahiro Brian, Medical Officer posted to Rubaya HC IV in Rubaya Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
5. Twikiriza Alex, Enrolled Nurse posted to Katenga HC II in Kamuganguzi Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
6. Arinaitwe B. Edward, Nursing Officer posted to Rubaya HC IV in Rubaya Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
7. Ahimbisibwe Alexander, Laboratory Technician posted to Maziba HC IV in Maziba Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
8. Kyalimpa Mary Vastine, Assistant Health Educator posted to Kakomo HC IV in Kitumba Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
9. Mubangizi Andrew Gilbert, Senior Clinical Officer posted to Kyanamira HC III in Kyamamira Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
10. Aharimpisya Prudence, Enrolled Nurse posted to Maziba HC IV in Maziba Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
11. Rukundo Anoc, Anesthetic Officer posted to Maziba HC IV in Maziba Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p><b>There was no evidence</b> from the HRM or the appraisals reviewed to show that corrective action had either been recommended or that the District Health Officer had taken corrective action based on the appraisals.</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p>	<p>There <b>was evidence to confirm</b> that health workers received training through Continuous Professional Development in accordance with the training plans. The assessment team observed that, across all the sampled health facilities, the teams actively engaged in continuous medical education (CME). For instance, at Buhara HC III, the most recent session of Continuous Medical Education (CME) took place on October 7, 2023, focusing on infection prevention strategies.</p> <p>At Kamuganguzi HC III, two CME sessions were held, oriented themselves on Waste management and IPC guidelines. This CME was held on 3rd November 2023. The assessment team also established the Continuous Professional Development in terms of CMEs was regularly held at Kakomo HC IV evidenced by the CME held on 14th September 2023 that focused on the health workers re-orienting themselves about monitoring cold chain.</p> <p>The assessment team also confirmed that the CMEs followed the plans and schedules that were found displayed on the facility notice boards.</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>The assessment team established that all the health facilities maintained a record book in which they documented details of their training activities, including lists of all the healthcare workers that participated in the Continuous Medical Education (CME) sessions. The sampled health facilities included <b>Kokomo HC IV, Buhara HC III and Kamuganguzi HC III.</b></p>	1

**Management, Monitoring and Supervision of Services.**

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>There <b>was evidence to confirm that</b> the Chief Administrative Officer (CAO) of Kabale DLG confirmed the list of health facilities (Government of Uganda and Private-Not-For-Profit) receiving Primary Health Care Non-Wage Recurrent (PHC NWR) grants and notified the Ministry of Health (MOH) in writing by September 30th if any health facility had been listed incorrectly or was omitted from the FY 2023/2024 list.</p> <p>The assessment team noted a letter that this letter was received by a one Phionah at MoH Planning unit on 19th September 2023. The letter indicated among other corrections that Buhara HC II had been upgraded to HC III, Muguri HC III is in Ryakarimira TC and that Kahondo HC II had been upgraded to HC III in FY 2021/2022.</p>	2
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>Kabale DLG FY2022/2023 budget - PHC NWR grant for Lower Level Health Facilities was UGX385,844,000.</p> <p>Total allocation for the DHO's budget for management and monitoring activities in the same year was UGX73,598,000 which 19% of the total. This was above the 15% minimum.</p>	2

N23\_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0

The disbursements of all funds to government funded institutions and facilities follow the four quarterly routine. For KDLG, PHC NWR grants were transferred to facilities as follows:

For Quarter 1, the MoFPED circular is dated 8th July 2022, the CAO warranted on 5th August 2022 and the funds were transferred on 6th September 2022 (27 days).

For Quarter 2, the MoFPED circular is dated 30th September 2022, the CAO warranted on 21st October and the funds were sent on 27th October 2022 (21 days).

For Quarter 3, cash limits were communicated through MoFPED circular dated 29th December 2022. The CAO warranted 18th January 2023 and the disbursements made on 24th January 2023 (20 days).

For Quarter 4, MoFPED circular is dated 6th April 2022 and the CAO warranted on 24th April 2023 and the funds were transferred on 19th May 2023 (18 days).

In all the four quarters, the warranting/verification of PHC NWR grants was effected beyond the 5 working days' time limit.

N23\_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0

The disbursements of all funds to government funded institutions and facilities follow the four quarterly routine.

For Quarter 1, the MoFPED circular is dated 8th July 2022, the CAO warranted on 5th August 2022 and the funds were transferred on 6th September 2022. The communication concerning the disbursement of PHC NWR grants for quarter 1 was dated 06/09/2022.

For Quarter 2, the MoFPED circular is dated 30th September 2022, the CAO warranted on 21st October and the funds were sent on 27th October 2022. The communication concerning the disbursement of PHC NWR grants for quarter 2 was dated 27/10/2022.

For Quarter 3, cash limits were communicated through MoFPED circular dated 29th December 2022. The CAO warranted 18th January 2023 and the disbursements made on 24th January 2023. The communication concerning the disbursement of PHC NWR grants for quarter 3 was dated 24/01/2023.

For Quarter 4, MoFPED circular is dated 6th April 2022 and the CAO warranted on 24th April 2023 and the funds were transferred on 19th May 2023. The communication concerning the disbursement of PHC NWR grants for quarter 4 was dated 19/05/2023.

In all the four quarters, the invoicing and communication of funds on the funds releases was done beyond the 5 working days' time limit.

N23\_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0

There **was evidence to confirm that** Kabale DLG LG had publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards. This is evidenced by the details below.

1. **Quarter 1:** Display on the notice board was made on 11th July 2022; Th expenditure limits received from MoFPED 8th July 2022.
2. **Quarter 2:** Display on the notice board was made on 3rd October 2022; Th expenditure limits received from MoFPED on 30th September 2022.
3. **Quarter 3:** Display on the notice board was made on 30th December 2022; Th expenditure limits received from MoFPED on 29th December 2022
4. **Quarter 4:** Display on the notice board was made on 11th April 2023; Th expenditure limits received from MoFPED on 6th April 2023.

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0

There **was evidence to confirm that** the Kabale DLG Health Department implemented actions recommended by the DHMT Quarterly Performance Review Meetings held during FY 2022/23 on the following days; 10th October 2022, 23rd January 2023, 28th April 2023, and 17th July 2023.

The assessment team reviewed the DHMT Quarterly Performance Review meetings held on 28th April 2023. Under action points, the DHMT MT noted that the DHO was to write to the midwives attached to Rwene HC II, Katenga HC II, Kigata HC II, and Burambi HC II to explain themselves about their performance issues. The assessment team established that this action was implemented as per the responses from the staff explaining their performance-related situations. Such staff included Orikiriza Ruth, Bitwire Joseph, and Natukunda Jenifer.

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>There <b>was evidence to confirm</b> that the Kabale DLG Health Department's quarterly performance review meetings included all health facility in-charges and implementing partners, DHMTs, and key LG departments. The assessment team reviewed the attendance lists for the DHMT performance review meeting minutes dated 10th October 2022, 23rd January 2023, 28th April 2023, and 17th July 2023. The assessment team noted that there was representation from all health facilities in charges, implementing partners, DHMTs, key LG departments. For instance, the noticeable key attendees included Namara Chrispher – DCDO, Tumujukye Moses-DEO, Namanya Olver-WASH, and Ruhinda Johnson, the program Officer LPHS-K.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>There <b>was evidence to confirm that</b> Kabale DLG conducted supervision visits to 100% of the following healthcare facilities: These included the three (3) HC IVs and 2 PNFP hospitals. The assessment team reviewed the support supervision reports submitted to the DHO 3rd October 2023,5th January 2023,4th April 2023 and 5th July 2023. Evidence in these reports show that both Rugarama hospital, Rushoroza hospital, Maziba HC IV,Kakomo HC IV and Rubaya HC IV were supervised in all the quarters.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <ul style="list-style-type: none"> <li>• If not applicable, provide the score</li> </ul>	<p>There <b>was evidence to confirm that</b> the Kabale DLG District Health Team (DHT) ensured that Health Kabale DLG Health Sub-District (HSD) conducted support supervision of lower-level health facilities during FY 2022/2023.</p> <p>The assessment team randomly selected three health facilities and established that they had been supervised by the HSD team from Rubaya HC IV, as indicated by the reports (undated) submitted to the District Health Officer (DHO) for the four quarters in the FY 2022/2023. The randomly selected health facilities were <b>Butanda HC III,Kahondo HC III and Buramba HC III</b>. These reports were endorsed and forwarded by Arinaitwe B. Edward, the person in charge of Rubaya HC IV.</p>	1

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0

There **was evidence to confirm that Kabale DLG** used results and reports from the discussions during support supervision and monitoring visits to make recommendations for specific corrective actions, and these recommendations were followed up during the FY 2022/2023. This is demonstrated by the following instances: The assessment team reviewed the support supervision book logs at sampled health facilities and identified the following:

1. **At Buhara HC III:** The support supervision book logs for the DHT support supervision visit dated 13th July 2022, recommended that the stores person (Mahairwe Ruth) needed to be better motivated. This recommendation was implemented by sending her to attend conference and training opportunities in the districts. The DHT support supervision visit dated 24th November 2022 recommended for the in charge to follow up with the lost results for the viral load and PCR. The assessment noted that all the facility results were available at the time of assessment.
2. **At Kamungazi HC III,** a review of support supervision logs indicated that on August 17th, 2022, the DHT recommended that the the screening of ART patients should be improved by intensifying case findings forms at triage. The assessment team noted that a TB linkage facilitator was assigned to ensure there is proper screening and testing.
3. **At Kokoma HC IV,** during the support supervision visit dated November 21,2022 the DHT recommended that the in-charge should always leave the public document in accessible places. In response, the assessment noted that these documents were moved from accounts office to the records room.

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0

There **was evidence to confirm** that Kabale District Local Government (DLG) supported all health facilities in managing medicines and health supplies during FY 2022/2023. This support is demonstrated through the quarterly medicines management supervision reports submitted to the District Health Officer (DHO) on the following dates: October 8th, 2022, 4th January 2023, 10th April 2023, and 30th June 2023. The review of these reports by the assessment team noted that all health facilities had been supported in managing medicines and health supplies.



11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0	Kabale DHO health office budget for FY 2022/2023 was UGX73,598,000. Out of this a total of UGX39,000,000 was allocated to health promotion and prevention activities.  This was a proportion of 39.4%, which was above the 30% minimum.	2
	Maximum 4 points on this performance measure			
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0	<p>There <b>was evidence to confirm that the Kabale District</b> Local Government (DLG) DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the FY 2022/2023. The assessment team reviewed the quarterly progress report dated 30th December 2022, March 31st 2023, and 30th June 2023. The review of these reports indicated that the health department had undertaken the following activities.</p> <ul style="list-style-type: none"> <li>• Conducted Community advocacy meetings on family planning and health school education in schools eg Buranga Secondary School, Bukora Primary School, Mwendo Primary School, Kanyankwazi Primary School, Hamuganda Primary School, etc).</li> <li>•</li> <li>• The department also undertook radio talk shows on Voice of Kigezi radio on a range of topics including family planning, immunization uptake, COVID 19, HIV prevention interventions, malaria prevention among others.</li> </ul>	1
	Maximum 4 points on this performance measure			
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0	Evidence of follow-up actions taken by the Kabale District Local Government (DLG) District Health Team (DHT) regarding health promotion and disease prevention issues is documented in their minutes and reports. For instance, among other actions: The review of the DHT meeting minutes dated 5th January 2023 noted that the DHO was to mobilize additional resources to empty the latrine at Rwene HC II. The DHT meeting dated 4th April 2023 under the review of previous minutes for March 2023. This action was presented to have been addressed. The minutes indicated that the DHO indicated that plans were underway to construct a new latrine at the health facility.	1
	Maximum 4 points on this performance measure			

## Investment Management

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>There <b>was evidence to confirm that</b> Kabale DLG health department had an updated Asset register which sets out health facilities and equipment relative to basic standards. A compressive assets register was found on file in the DHO's office. The assets register was last updated on 30th June 2023. The assets register detailed among others, Asset number, Initial cost, Description of the assets, Date of purchase, location, and current condition.</p>	1
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDPIII);</p> <p>(ii) desk appraisal by the LG; and</p> <p>(iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG)):</p> <p>score 1 or else score 0</p>	<p>For previous year FY 2022/2023, the prioritised investments under KDLG Health department were the following:</p> <ul style="list-style-type: none"> <li>🏗️ Construction of OPD at Muyumbu HCII in Kyanamira S/C (UGX65,000,000)</li> <li>🏗️ Phase II construction of OPD at Nyanja HCII in Maziba S/C (UGX65,000,000)</li> <li>🏗️ Completion of renovation at Nyakasharara HCII in Kasharo S/C (UGX14,198,000)</li> <li>🏗️ Construction of staff houses at Rubaya HCIV in Ryakarimira T/C (UGX300,000,000)</li> <li>🏗️ Construction of walk way at Rubaya HCIV in Ryakarimira T/C (UGX20,000,000)</li> <li>🏗️ Construction of 4 stance VIP latrine at Kyasano HCII in Kamuganguzi S/C (UGX14,494,000)</li> <li>🏗️ Upgrade of Karujanga HCII to III (UGX114,032,242)</li> <li>🏗️ Construction of staff house at Kitooma HCIII in Rubaya S/C (UGX147,092,242)</li> <li>🏗️ Construction of staff house at Kahondo HCIII in Maziba S/C (UGX157,356,196)</li> <li>🏗️ Construction of twin staff houses at Kasheregyenyi HCII (UGX165,000,000)</li> </ul> <p>A Desk Appraisal aims to ensure that a project meets the following requirements.</p> <ul style="list-style-type: none"> <li>-Derived from the LG Development Plan</li> <li>-Consistent with sector guidelines &amp; DDEG objectives</li> <li>-Financially feasible</li> <li>-Having costed project profiles</li> </ul> <p>Evidence of desk appraisals for KDLG FY2022/2023 Health department projects was provided and reviewed during the assessment.</p>	1

Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.

Maximum 4 points on this performance measure

c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0

For previous year FY 2022/2023, the prioritised investments under KDLG Health department were the following:

🏗️ Construction of OPD at Muyumbu HCII in Kyanamira S/C (UGX65,000,000)

🏗️ Phase II construction of OPD at Nyanja HCIII in Maziba S/C (UGX65,000,000)

🏗️ Completion of renovation at Nyakasharara HCII in Kasharo S/C (UGX14,198,000)

🏗️ Construction of staff houses at Rubaya HCIV in Ryakarimira T/C (UGX300,000,000)

🏗️ Construction of walk way at Rubaya HCIV in Ryakarimira T/C (UGX20,000,000)

🏗️ Construction of 4 stance VIP latrine at Kyasano HCII in Kamuganguzi S/C (UGX14,494,000)

🏗️ Upgrade of Karujanga HCII to III (UGX114,032,242)

🏗️ Construction of staff house at Kitooma HCIII in Rubaya S/C (UGX147,092,242)

🏗️ Construction of staff house at Kahondo HCIII in Maziba S/C (UGX157,356,196)

🏗️ Construction of twin staff houses at Kasheregyenyi HCII (UGX165,000,000)

A Field Appraisal aims to ensure that a project meets the following requirements.

-Technical feasibility

-Environmental and social acceptability requirements

Evidence of field appraisals for the two KDLG Health FY2022/2023 projects was provided and seen during the assessment.

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>There <b>was evidence</b> that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist</p>	1
	<p>Maximum 4 points on this performance measure</p>		<ol style="list-style-type: none"> <li>1. Screening for environmental and social risks for the construction of a retention wall at Kahondo HC III was carried out on 3/8/2023, stamped and signed by both the SEO and DCDO. Mitigation measures were put in place i.e. leveling the ground, drainage should be worked and planting compound grass plant Grevelia and compound trees.</li> <li>2. Screening for environmental and social risks for the renovation of OPD at Kanjobe HC II was carried out on 3/8/2023, stamped and signed by both the SEO and DCDO. Mitigation measures were put in place i.e. leveling the ground.</li> <li>3. Screening for environmental and social risks for the completion of OPD at Muyumbu HC II was carried out on 3/8/2023, stamped and signed by both the SEO and DCDO.</li> </ol>	
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY ) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>There is evidence that the LG health department timely submitted all its infrastructure and other procurement requests to PDU (as signed by the DHO and received by the CC on 28/4/2023) for incorporation into the approved LG annual work plan, budget and procurement plans; The following Projects were visible;</p>	1
	<p>Maximum 10 points on this performance measure</p>		<ol style="list-style-type: none"> <li>1. Construction of a Twin Staff House at Karujanga HC III. Estimated cost is UGX 185,000,000/=.</li> </ol>	
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>There is NO evidence that the LG Health department submitted Procurement Requisition Forms - LG PP Forms to the PDU by 1st Quarter of the current FY (2023/24). There were no Procurement Requisition Forms present during the time of assessment.</p>	0
	<p>Maximum 10 points on this performance measure</p>			

13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0	There is evidence that the Health infrastructure Projects for the previous FY (2022/2023) were approved by the Contracts Committee before commencement of Works. For example:	1
	Maximum 10 points on this performance measure		<ol style="list-style-type: none"> <li>1. Under <b>Min No. CC05/2022-2023 (6)</b>, the Construction of a twin staff house at Kahondo HC III- KABA512/WRKS/2022-23/00013; approved by the Contracts Committee in a meeting held on 18/01/2023.</li> <li>2. Under <b>Min No. CC05/2022-2023 (7)</b>, the Construction of a twin staff house at Kitooma HC III- KABA512/WRKS/2022-23/00014; approved by the Contracts Committee in a meeting held on 18/01/2023.</li> </ol>	
13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0	There was NO evidence of proper establishment of the PITs for the Health construction projects within the last FY (2022/23) as per guidelines.	0
	Maximum 10 points on this performance measure	If there is no project, provide the score	Through the memo dated 20/1/2023, the CAO appointed a PIT that comprised of: a Project Manager (District Engineer), Senior Environmental Officer, DCDO, District Labor Officer and Contract Manager (District Health Officer). However, there was no Clerk of Works appointed.	
13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0	Kabale DLG didn't not have HC II upgrades, however;	1
	Maximum 10 points on this performance measure	If there is no project, provide the score	The sampled project is as listed below and was compliant as per approved MoH Facility Infrastructure Designs: <ol style="list-style-type: none"> <li>1. <b>Construction of a twin staff house at Kahondo HC III-</b> The building had a high structural integrity, was up to standard as technically guided by the Drawing and BoQs. According to the technical specifications of the staff house, the block has 2 units of a staff house, each with a sitting room, two bedrooms, a kitchen and a toilet/bathroom. The foundation, walling, roof structure, doors, plaster and paint were all found to be in good condition by the time of inspection and the structure is already under occupancy.</li> </ol>	

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was NO HC II to HC III Upgrade, thus no daily/weekly records maintained by the Clerk of Works.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no monthly site meeting to hold for the health infrastructure projects implemented in the last FY (2022/23) with regards to upgrade of HCIIIs to HCIIIs.</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>There is NO Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction.</p> <p>Joint Technical supervisions of the construction of health infrastructure projects (by the Engineer's team - including the Environment Officer and DCDO among other officers) were conducted but not regularly (with regards to critical stages). The structures were already under occupancy therefore there was no site instruction nor visitor's book during assessment. However, some monthly reports were missing as listed under the following projects:</p> <ol style="list-style-type: none"> <li>1. <b>Construction of a twin staff house at Kahondo HC III, Maziba S/C</b> with joint inspection reports dated: 8/5/2023, 14/6/2023. Joint inspection reports for March and April 2023 were missing.</li> <li>2. <b>Construction of a twin staff house at Kitooma HC III, Rubaya HC IV</b> with joint inspection report dated 11/4/2023, 12/6/2023. Joint inspection reports for March and May 2023 were missing.</li> </ol>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p>	<p>For Kabale DLG Health eight (08) certified project payments in FY2022/2023 were selected to test for certification and timeliness of payment for works executed.</p> <p>Payment to Kinombe Nyaruzinga Construction Co. Ltd for construction of a twin staff house at Kitooma HCIII Rubaya S/C (UGX68,461,830) - Requisition dated 11/04/2023, certified by District Engineer, CDO, Environment Officer, Labour Officer, DHO and CAO on 11/04/2022 and payment on 03/05/2023 (22 days).</p> <p>Payment to Famdec Technical Services Ltd for 2nd phase construction of twin staff house at Kahondo HCIII (UGX75,356,681) - Requisition dated 08/05/2023, certified by District Engineer, CDO, Environment Officer, Labour Officer, DHO and CAO on 08/05/2023 and payment on 08/06/2023 (30 days).</p> <p>Payment to Ricky Building Material Ltd for upgrading of Kasheregyenyi in Kamuganguzi S/C from HCII to III (UGX22,186,852) - Requisition dated 15/02/2023, certified by District Engineer, CDO, Environment Officer, Labour Officer, DHO and CAO on 07/02/2023 and payment on 30/03/2023 (45 days).</p> <p>Payment to Geses Uganda Ltd for upgrade of Karujanga HCII to HCIII (UGX108,953,006) - Requisition dated 15/06/2023, certified by the District Engineer, CDO, Environment Officer, Labour Officer, DHO and CAO on 15/06/2023 and payment on 28/06/2023 (43 days).</p> <p>Payment to Kinombe Nyaruzinga Construction</p>	0

Co. Ltd for construction of a twin staff house at Kitooma HCIII Rubaya S/C (UGX42,774,221) – Requisition dated 06/06/2023, certified by District Engineer, CDO, Environment Officer, Labour Officer, DHO and CAO on 12/06/2022 and payment on 28/06/2023 (22 days).

Payment to Famdec Technical Services Ltd for 2nd phase construction of twin staff house at Kasheregyenyi HCIII in Kamuganguzi S/C (UGX79,863,673) – Requisition dated 15/05/2023, certified by District Engineer, CDO, Environment Officer, Labour Officer, DHO and CAO on 15/05/2023 and payment on 15/06/2023 (31 days).

Payment to Rodrisa Supplies Ltd for supply, delivery, installation, training and commissioning medical equipment for 64 HCIIIs (UGX385,217,391) – Requisition dated 23/06/2023, certified by the District Engineer, CDO, Environment Officer, Labour Officer, DHO and CAO on Delivery note 31/10/2022 and payment on 28/06/2023 (5 days)

Payment to Kinombe Nyaruzinga Construction Co. Ltd for construction of a twin staff house at Kitooma HCIII Rubaya S/C (UGX46,120,145) – Requisition dated 16/06/2023, certified by District Engineer, CDO, Environment Officer, Labour Officer, DHO and CAO on 15/06/2022 and payment on 28/06/2023 (12 days).

In six (06) of the eight (08) sampled projects, payments were effected beyond the 14 days' time limit.

13

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0

There is Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Complete Procurement files for the health infrastructure contracts with Evaluation Reports and Minutes of the Contract Committee, and the contract documents. Files for the following projects were sampled accordingly as seen below;

1. **Construction of a twin staff house at Kahondo HC III-** KABA512/WRKS/2022-23/00013; approved by the Contracts Committee under Min No. CC05/2022-2023 (6), in a meeting held on 18/01/2023. The contract was awarded on 14/2/2023.
2. **Construction of a twin staff house at Kitooma HC III-** KABA512/WRKS/2022-23/00014; approved by the Contracts Committee under Min No. CC05/2022-2023 (7), in a meeting held on 18/01/2023. The contract was awarded on 14/2/2023.

1



## Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>There <b>was no evidence</b> that the Local Government had recorded, investigated, responded and reported in line with the LG grievance redress framework</p>	<b>0</b>
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>There <b>was evidence to confirm that Kabale DLG Health Department</b> had disseminated guidelines on health care/medical waste management to health facilities. The assessment noted charts on medical waste segregation displayed in the maternity ward and laboratory at the three sampled health facilities of Kakomo HC IV, Buhara HC III, and Kamuguzi HC III.</p>	<b>2</b>
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>There <b>was evidence to confirm that Kabale DLG</b> had a functional system/central infrastructure with equipment for medical waste management and had a dedicated/operational budget. The assessment team established that Green Label Company Ltd supported the local government in the management of medical waste. The assessment reviewed a Memorandum of Understanding (MOU) signed between Green Label Services Ltd (GLSL). Dr. Grace Mugume signed the MOU on behalf of Green Label Services, while Kable DLG was represented by the Chief Administrative Officer (CAO). The MOU was signed on 31st January 2023.</p>	<b>2</b>
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>There <b>was evidence to confirm that Kabale DLG</b> has conducted training and created awareness in healthcare waste management. The assessment reviewed a training report submitted to the DHO on 19th June 2023, which indicated that the Infection Prevention and Control (IPC) focal point persons were trained in IPC/WASH management at Cephass Hotel. The two-day training was held with support from the Uganda Health Activity program.</p>	<b>1</b>

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0</p>	<p>There <b>was no evidence</b> that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY</p>	0
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>There <b>was evidence</b> that all health sector projects were implemented on land where the LG had proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances</p> <ol style="list-style-type: none"> <li>1. Certificate of title for Karujanga HC III, on plot 86, block 102 at Kamuganguzi, dated 11/8/2020, was signed by register of titles on 18/8/2020</li> <li>2. Certificate of title for Kahondo HC III, on plot 86, block 102 at Kahondo T/c, dated 11/8/2020, was signed by register of titles on 18/8/2020</li> <li>3. In a notice letter dated 12/7/2019, addressed to CAO by the subcounty chief (Rindabo Zerida), informed the CAO Buramba HC II, is located on government land which was formally the parish headquarters.</li> </ol>	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>There <b>was evidence</b> that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provided monthly reports</p> <ol style="list-style-type: none"> <li>1. Support supervision and monitoring for the construction of twin staff house at Kahondo HC III was carried on 8/3/2023, stamped and signed by both the SEO and DCDO, monthly monitoring reports were reviewed dated 8/3/2023, 30/6/2023, the project started on 23/2/2023 and ended on 16/6/2023</li> <li>2. Support supervision and monitoring for the construction of twin staff house at Kitooma HC III was carried on 8/3/2023, stamped and signed by both the SEO and DCDO, monthly monitoring reports were reviewed dated 8/3/2023, 30/6/2023, the project started on 23/2/2023 and ended on 16/6/2023</li> </ol>	2

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0

There **was no evidence** that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects

**Water &  
Environment  
Performance  
Measures**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Local Government Service Delivery Results</b>				
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees  <i>Maximum 4 points on this performance measure</i>	a. % of rural water sources that are functional.  If the district rural water source functionality as per the sector MIS is:  o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0	As per the MWE-MIS for the FY 2022/2023, the rural water functionality for Kabale DLG was <b>86%</b> which falls between 80% and 89% thereby justifying a score one (1)	<b>1</b>
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees  <i>Maximum 4 points on this performance measure</i>	b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:  o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0	From the MWE-MIS for the FY 2022/2023, the percentage of WSS facilities with functional WSCs in Kabale DLG, as seen under the Management Column was <b>96%</b> that falls between 90% to 100%, thereby justifying a score two (2).	<b>2</b>
2	N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment  <i>Maximum 8 points on this performance measure</i>	a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;  • Above 80%, score 2 • 60% - 80%, score 1 • Below 60%, score 0	The performance of KDLG in its FY2022/2023 LLG Water assessment was 45%, making it fall in the 65% - 80% category.  From the LLGs performance assessment for the FY 2023/2024, the Kabale DLG average score in the water and environment sector as seen under the Water & Environment Services Management Column (J) was <b>65% that falls between 60% and 80%</b> , thereby justifying a score one (1).	<b>1</b>
2	N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment  <i>Maximum 8 points on this performance measure</i>	b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.  o If 100 % of water projects are	• According to the 4th Quarter report titled "Kabale District Local Government Water and Sanitation Development Grant 4th Quarter/ Annual Report for FY 2022/2023 (REF: ADM 49/D/50/02)" submitted by CAO on 26th/07/2023 to MWE PS, received by MWE Central Registry and approved by MWE RWSSD on 28th/07/2023; the Safe Water Coverage (SWC) <b>for Kabale DLG was 90.4%</b> . The Sub-Counties (S/Cs) with SWC	<b>0</b>

implemented in the targeted S/Cs: Score 2

o If 80-99%: Score 1

o If below 80 %: Score 0

below the district average were: **Butanda** S/C with SWC of 68.0%, **Kamuganguzi** S/C with SWC of 90.0% and **Ryakarimira** S/C with SWC of 60.0%.

• As per the document titled “Revised Final Annual Workplan/ Budget for Kabale District Rural Water and Sanitation Development Conditional Grant, Transitional Development Grant, District Hygiene and Sanitation Conditional Grant for FY 2022/2023 (REF: PLN 15/53/01)” submitted by CAO on 17th/08/2022 to MWE PS, received by MWE Central Registry and approved by MWE RWSSD on 19th/08/2022 and in reference to my analysis of the annual progress reports for FY 2022/2023, Kabale district water department implemented the following budgeted water projects in the targeted sub counties.

i. Project 1: KABA512/WRKS/2022-2023/00011: Rehabilitation of Kyabakonjo Gravity Flow Scheme in Buhara S/C and Maziba S/C at a cost of UGX 45,790,013; which is a target of **0 out of 1, equivalent to 0%**;

ii. Project 2: KABA512/WRKS/2022-2023/00016: Extension of Water to Upgraded Buramba HC-III in Butanda S/C at a cost of UGX 101,825,635; which is a target of **1 out of 1, equivalent to 100%**;

iii. Project 3: KABA512/WRKS/2022-2023/00007: Construction of 2-stance VIP latrine at Nkumbura RGC in Kaharo S/C at a cost of UGX 15,038,510; which is a target of **0 out of 1, equivalent to 0%**;

iv. Project 4: KABA512/WRKS/2022-2023/00020: Phase II Construction and Supply of Water to Upgraded Buramba HC-III in Butanda S/C at a cost of UGX 97,793,332; which is a target of **1 out of 1, equivalent to 100%**;

v. Project 5: KABA512/WRKS/2022-2023/00001: Design of Piped Water System and Feasibility studies for Buramba HC-III in Butanda S/C and Kisibo-Kiruruma Mukiyovu gfs in Rubaya S/C at a cost of UGX 33,923,000; which is a target of **1.2 out of 2, equivalent to 100%**;

Therefore, the number of projects implemented in target S/Cs is 3 (UGX 219,417,244) out of 5 (UGX 294,370,490) budgeted projects in the Previous FY 2022/2023, **equivalent to 74.5% approximated to 75%, which is below 80%** thereby justifying a score of zero (0).

environment LLGs performance assessment

Maximum 8 points on this performance measure

investments for the previous FY are within +/- 20% of engineer's estimates

o If within +/-20% score 2

o If not score 0

Conditional Grant, Transitional Development Grant, District Hygiene and Sanitation Conditional Grant for FY 2022/2023 (REF: PLN 15/53/01)" submitted by CAO on 17th/08/2022 to MWE PS, received by MWE Central Registry and approved by MWE RWSSD on 19th/08/2022 and in reference to my analysis of the annual progress reports for FY 2022/2023, and basing on sample of three (3) WSS contracts that were implemented in Kabale DLG, the following percentage variation of the engineering estimates were revealed:

i. Project 1: KABA512/WRKS/2022-2023/00011: Rehabilitation of Kyabakonjo Gravity Flow Scheme in Buhara S/C and Maziba S/C;

- Contractor: Andywc Constructors and Suppliers ;

- Engineer's estimated cost of UGX 45,435,173 (A);

- Contracted Sum of UGX 45,790,013 (B);

- Percentage variation=  $[(A-B)/A]*100 = [(45,435,173-45,790,013)/ 45,435,173]*100 = -0.8\%$

ii. Project 2: KABA512/WRKS/2022-2023/00016: Extension of Water to Upgraded Buramba HC-III in Butanda S/C at a cost of UGX 101,825,635; which is a target of 1 out of 1, equivalent to 100%;

- Contractor: Andywc Constructors and Suppliers ;

- Engineer's estimated cost of UGX 112,190,234 (A);

- Contracted Sum of UGX 101,825,635 (B);

- Percentage variation=  $[(A-B)/A]*100 = [(112,190,234 -101,825,635)/ 112,190,234]*100 = 9.2\%$

iii. Project 3: KABA512/WRKS/2022-2023/0007: Construction of 2-stance VIP latrine at Nkumbura RGC in Kaharo S/C at a cost of UGX 15,038,510; which is a target of 0 out of 1, equivalent to 0%;

- Contractor: Andywc Constructors and Suppliers ;

- Engineer's estimated cost of UGX 15,000,000 (A);

- Contracted Sum of UGX 15,038,510 (B);

- Percentage variation=  $[(A-B)/A]*100 = [(15,000,000 -15,038,510)/ 15,000,000]*100 = -0.3\%$

- Hence the variations in the contract price and engineer's estimates of the three (3) sampled WSS infrastructure investment contracts for FY 2022/2023 are all **within +/-**

**20%, thereby justifying a score of two (2)**

2

N23\_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

d. % of WSS infrastructure projects completed as per annual work plan by end of FY.

o If 100% projects completed: score 2

o If 80-99% projects completed: score 1

o If projects completed are below 80%: 0

• As per the document titled “Revised Final Annual Workplan/ Budget for Kabale District Rural Water and Sanitation Development Conditional Grant, Transitional Development Grant, District Hygiene and Sanitation Conditional Grant for FY 2022/2023 (REF: PLN 15/53/01)” submitted by CAO on 17th/08/2022 to MWE PS, received by MWE Central Registry and approved by MWE RWSSD on 19th/08/2022 and according to the according to the 4th Quarter report titled “Kabale District Local Government Water and Sanitation Development Grant 4th Quarter/ Annual Report for FY 2022/2023 (REF: ADM 49/D/50/02)” submitted by CAO on 26th/07/2023 to MWE PS, received by MWE Central Registry and approved by MWE RWSSD on 28th/07/2023; **all the planned projects were completed** by the end of the FY 2022/2023. The following were the planned WSS infrastructure projects and level of achievement by the end of the FY 2022/2023:

i. Project 1: KABA512/WRKS/2022-2023/00011: Rehabilitation of Kyabakonjo Gravity Flow Scheme in Buhara S/C and Maziba S/C at a cost of UGX 45,790,013; **Achieved 100%;**

ii. Project 2: KABA512/WRKS/2022-2023/00016: Extension of Water to Upgraded Buramba HC-III in Butanda S/C at a cost of UGX 101,825,635; **Achieved 100%;**

iii. Project 3: KABA512/WRKS/2022-2023/00007: Construction of 2-stance VIP latrine at Nkumbura RGC in Kaharo S/C at a cost of UGX 15,038,510; **Achieved 100%;**

iv. Project 4: KABA512/WRKS/2022-2023/00020: Phase II Construction and Supply of Water to Upgraded Buramba HC-III in Butanda S/C at a cost of UGX 97,793,332; **Achieved 100%;**

v. Project 5: KABA512/WRKS/2022-2023/00001: Design of Piped Water System and Feasibility studies for Buramba HC-III in Butanda S/C and Kisibo-Kiruruma Mukiyovu gfs in Rubaya S/C at a cost of UGX 33,923,000; **Achieved 100%;**

• Therefore, 100% WSS infrastructure projects were completed thereby justifying a score of two (2)

2

3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <p>o If there is an increase: score 2</p> <p>o If no increase: score 0.</p>	<ul style="list-style-type: none"> <li>• From Ministry of Water and Environment Management Information System (MWE-MIS) assessment report for the FY 2022/2023, the percentage of water supply facilities that were functioning for Kabale DLG was 86%.</li> <li>• Also, from MWE-MIS assessment report for the FY 2021/2022, the percentage of water supply facilities that were functioning for Kabale DLG was 88%.</li> <li>• The variation in Kabale DLG rural water functionality <b>from 88% to 86% represents a decrease of 2%</b> in the water supply facilities that are functional.</li> <li>• There is a <b>decrease and therefore, justifying a score of zero (0).</b></li> </ul>	0
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3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water &amp; sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <p>o If increase is more than 1% score 2</p> <p>o If increase is between 0-1%, score 1</p> <p>o If there is no increase : score 0.</p>	<ul style="list-style-type: none"> <li>• From Ministry of Water and Environment Management Information System (MWE-MIS) District Software Report (rural water Management) for FY/2022/2023, Kabale DLG had</li> <li>• 467 functional WSCs out of the 482 established WSCs equivalent to Management of <math>[467/482]*100 = 96.9\%</math> approximated to 97%.</li> <li>• Also, MWE-MIS District Software Report (rural water Management) for FY 2021/2022, Kabale DLG had</li> <li>• 486 functional WSCs out of the 495 established WSCs equivalent to Management of <math>[486/495]*100 = 98.2\%</math> approximated to 98%..</li> <li>• The variation in Kabale DLG <b>rural water functionality from 98.2% to 96.9% represents a decrease of 1.3%</b> in the water supply facilities with functional water and sanitation committees.</li> <li>• There is a <b>decrease, justifying a score of zero (0).</b></li> </ul>	0
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### Performance Reporting and Performance Improvement

4	<p>Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3</p>	<ul style="list-style-type: none"> <li>• According to the 4th Quarter report titled “Kabale District Local Government Water and Sanitation Development Grant 4th Quarter/ Annual Report for FY 2022/2023 (REF: ADM 49/D/50/02)” submitted by CAO on 26th/07/2023 to MWE PS, received by MWE Central Registry and approved by MWE RWSSD on 28th/07/2023; Kabale DLG water department implemented (constructed/ rehabilitated) 13 WSS projects/ facilities in FY 2022/2023. I sampled and visited seven (7) WSS facilities in three (3) S/Counties and I observed/noted the following:</li> </ul>	3
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i. Rehabilitation of Kyabakonjo Gravity Flow Scheme in Buhara S/C and Maziba S/C:  
Completed in June 2023

- The supply line has a total of 26 communal PSPs and 15 Private PSPs (Tripple, double and single spout) Public Stand Posts (PSPs) and they were all functioning well.
- I found 2 out of 9 WSC members that is Secretary (Mr. Mande Leonard) and Scheme Attendant (Mr. Ayebare Emmanuel)
- At the time of field visit, I found the scheme attendant
- The WSC was trained in April 2023 on fencing off taps; maintaining the water facilities clean.
- Each private PSP pays is supposed to pay UGX 1000/ month and no payments at communal PSPs. However, in most cases money is only mobilized after there has been a minor breakdown/ repairs and thus balance in the Treasury was not revealed at the time of visit.
- Challenge: Most households don't pay for Water Users Fees (WUF) especially for the 24 communal PSPS and thus the Scheme Attendant is not paid. This leads to disconnection of most communal PSPs to allow water flow to private PSPs. This was confirmed after discovering that the Scheme attendant illegally connected private PSPs than what was planned. I thus recommended need for proper water rationing for equity among communal and private PSPs and possibly need to replace the Scheme Attendant.
- I visited all the three (3) public stand posts (2-communal and 1-private) and measured the flow rate for all.

a. PSP 1 in Ruboroga Trading Centre (Repair of the cover of distribution line and tap)

- Repairs were well done
- Upon testing the minimum PSP yield, on average a 20-litre jerrycan was filled in  $(199+196)/2=197.5$  seconds approximated to  $[(20/197.5)*3600] = 364$  litres per hour less than 600 liters per hour reported in the standard design, technical specifications and terms of reference and thus poor yield.
- The surrounding environment was bushy with no existing soak pit.
- The water facility was not fenced.
- The PSP serves 35 households (each 5 members).
- There was no active water user committee but instead GFS management. Households do not collect any water user fees (WUF)

- The PSP had no engraving plate

#### b. PSP 2 in Ruboroga village

- This was one of the two communal PSPs extended
- Upon testing the minimum PSP yield, on average a 20-litre jerrycan was filled in  $(156+157)/2=156.5$  seconds approximated to  $[(20/156.5)*3600] = 460$  litres per hour less than 600 liters per hour reported in the standard design, technical specifications and terms of reference and thus poor yield.
- The surrounding environment was bushy with an existing but blocked soak pit.
- There was no active water user committee but instead GFS management. Households do not collect any water user fees (WUF).
- The PSP serves 25 household (each 5 members) collect water
- At the time of field visit, I found the 3 community members.
- The drainage channel was (170x135x170)mm.
- The water collection platform was 800mm x 800mm
- The height of the water delivery pipe from the ground was 480mm.
- The PSP had no engraving plate
- Challenge: there is no water metering and thus no payment. The scheme Attendant prioritizes water supply to private PSPs and thus unreliable supply to communal users. Households collecting water from communal PSPs do not want to pay for WUFs and instead decide to collect water from swamps and thus there is need for more community training and mindset change

#### c. PSP 3 in Rwantabara village

- This was a newly connected private PSP for Center for Disabled Children
- Upon testing the minimum PSP yield, on average a 20-litre jerrycan was filled in  $(99+98)/2=98.5$  seconds approximated to  $[(20/98.5)*3600] = 731$  litres per hour less than 600 liters per hour reported in the standard design, technical specifications and terms of reference and thus good yield.
- The surrounding environment was clean with an existing but blocked soak pit.
- There was no active water user committee but instead GFS management. CDC is meant to collect water user fees (WUF)-UGX 1000/month.
- Overall, the rehabilitated GFS was

functioning well as reported by Kabale DWO with some management challenges

ii. 2- Stance VIP Latrine at at Nkumbura RGC (market) in Kaharo S/C: completed in June 2023

- The VIP latrine was generally constructed according to the technical design drawings. However, the facility had no ramp on either for people living with disabilities (PWDs).

- I found the contractor at the time of field visit

- It had a screen wall (1600mm high) constructed for privacy protection of users and the urinal drains with a soak pit.

- The door width was 900 and corridor way was 1200mm.

- The surrounding environment was well cleaned/ slashed

- There was a hand-washing facility.

- The latrine was opened at the time of visit and thus I verified all internal dimensions.

- Veranda was 450mm wide

- Overall, the facility was completed and was functional as reported by the Kabale DWO.

- There was no clear labels for the Male and female sides.

- There was no engraving plate/labels

- There was no WSC member found at time of visit

- Challenge: the VIP facility had been completed in June 2023 but had no walkways on both sides at the time of field visit. There was need to create clear walk ways for both male and female sides

- Overall, the facility was completed and was functional as reported by the Kabale DWO.

iii. Construction and extension of Buramba piped water supply system to Upgraded Buramba HC-III in Butanda S/C

- The supply line had a total of 1 single-spout, 11 double-spout and 1 Triple-spout Public Stand Posts (PSPs) and they were all functioning well.

- The scheme was commissioned in June 2023.

- At the time of field visit, I found the Virat Contractor representative (Mr. Niwandida Gilbert)

- I found none of the GFS management members and thus I could not confirm WSC training/ content.

- I visited all two (2) double-spout and one (1) single-spout PSPs and measured the flow rate for all.

- I visited the 20m<sup>3</sup> reservoir tank and the height was 2000mm instead of 2500mm indicated on the design.

a) PSP 1 (double spout) in Rutooma I village

- Upon testing the minimum PSP yield, on average a 10-litre jerrycan was filled in  $(16+21+16)/3=17.7$  seconds approximated to  $[(10/17.7)*3600] = 2034$  litres per hour greater than 600 liters per hour reported in the standard design, technical specifications and terms of reference and thus good yield.

- The surrounding environment was dirty with an existing but blocked soak pit.

- The water facility was not fenced.

- I found no WSC member and thus I could not confirm training/ content

- The drainage channel was 165x180x165 mm.

- The water collection platform was 780mm x 980mm

- The height of the water delivery pipe from the ground was 470mm.

- The PSP had no engraving plate

b) PSP 2 (triple spout) Buramba HC-III

- Upon testing the minimum PSP yield, on average a 20-litre jerrycan was filled in  $(19+20+22)/3=20.3$  seconds approximated to  $[(20/20.3)*3600] = 3540$  litres per hour greater than 600 liters per hour reported in the standard design, technical specifications and terms of reference and thus good yield.

- The surrounding environment was clean with an existing but blocked soak pit.

- The water facility was not fenced.

- I found no WSC member and thus I could not confirm training/ content

- The drainage channel was 155x155x155)mm.

- The water collection platform was 680mm x 1280mm

- The height of the water delivery pipe from the ground was 485mm.

- The PSP had no engraving plate

c) PSP 3 (double spout) at Rutooma II village

- Upon testing the minimum PSP yield, on average a 20-litre jerrycan was filled in  $(44+46)/3=45$  seconds approximated to  $[(20/45)*3600] = 1600$  litres per hour

greater than 600 liters per hour reported in the standard design, technical specifications and terms of reference and thus good yield.

- The surrounding environment was clean with an existing soak pit.
- The water facility was not fenced.
- I found no WSC member but instead 2 community member that confirmed that 37 households were collecting water from the PSP
- The drainage channel was 175x200x175 mm.
- The water collection platform was 580mm x 900mm
- The height of the water delivery pipe from the ground was 485mm.
- The PSP had no engraving plate
- There was paspalum planted around the water source

**• Therefore, on average all the seven (7) WSS facilities I visited/ observed were constructed/ rehabilitated were generally functioning well, and the DWO fairly reported on them in the Annual Performance and Progress Reports of 4th Quarter in the FY 2022/2023 and therefore, justifying a score of three (3)**

5

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

*Maximum 7 points on this performance measure*

a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2

• As per quarterly WSS reports, Kabale district LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement.

• There was evidence that Kabale district LG Water Office collects and compiles information on sub-county water supply and sanitation reported in the minutes of the coordination committee meetings held each quarter incorporated in the following Quarterly reports:

i. 1st Quarter Report for FY 2022/2023 (REF: ADM 49/50/02) submitted by Kabale DLG CAO on 6th/10/2022 to MWE Permanent Secretary (PS), received by the MWE Central Registry and MWE Rural Water Supply & Sanitation Department (RWSSD) on 7th/10/2022

ii. 2nd Quarter Report for FY 2022/2023 (REF: ADM 49/50/02) submitted by Kabale DLG CAO on 11th/10/2023 to MWE Permanent Secretary (PS), received by the MWE Central Registry and MWE Rural Water Supply & Sanitation Department (RWSSD) on 12th/10/2023

iii. 3rd Quarter Report for FY 2022/2023 (REF:

2

ADM 49/50/02) submitted by Kabale DLG CAO on 27th /04/2023 to MWE Permanent Secretary (PS), received by the MWE Central Registry and MWE Rural Water Supply & Sanitation Department (RWSSD) on 28th/04/2023

iv. 4th Quarter Report for FY 2022/2023 (REF: ADM 49/50/02) submitted by Kabale DLG CAO on 26th /07/2023 to MWE Permanent Secretary (PS), received by the MWE Central Registry and MWE Rural Water Supply & Sanitation Department (RWSSD) on 28th/07/2023

- The other information details on functionality of facilities and WSCs, safe water coverage, and community involvement especially in management through WSCs were also reported in the summary list attached to especially the 4th Quarter report and Form 1 for data collection for new point water sources/facilities.

- Examples of information in 4th Quarter included the following newly constructed water points in FY 2022/2023 as presented in Water Source database update forms:

- i. Source tap in Rutooma I village in Buramba Parish, Kahungye Sub County, constructed in May 2023 serving 50 households each comprising 5 people.

- ii. Jamada tap in Rut village, Buramba Parish, Kahungye Sub County, constructed in June 2023 serving 30 households each 5 people.

- iii. Rutooma church tap in Nyinamuhombozi village, Buramba Parish, Kahungye Sub County, constructed in June 2023 serving 30 households each 5 people.

- iv. Reservoir tap in Rutooma I village, Buramba Parish, Kahungye Sub County, constructed in June 2023 serving 40 households each 5 people.

- v. Karamu tap in Rutooma I village, Buramba Parish, Kahungye Sub County, constructed in June 2023 serving 35 households each 5 people.

- vi. Hospital tap in Rutooma I village, Buramba Parish, Kahungye Sub County, constructed in June 2023 serving 100 households each 5 people.

- vii. Sakidi tap in Nyinamuhombozi village, Buramba Parish, Kahungye Sub County, constructed in June 2023 serving 20 households each 5 people.

- viii. Dibwani tap in Nyinamuhombozi village, Buramba Parish, Kahungye Sub County, constructed in June 2023 serving 20 households each 5 people.

- ix. Baragyi tap in Nyinamuhombozi village, Buramba Parish, Kahungye Sub County,

constructed in June 2023 serving 30 households each 5 people.

x. Sadamu tap in Nyinamuhombozi village, Buramba Parish, Kahungye Sub County, constructed in June 2023 serving 25 households each 5 people.

**• and therefore, justifying a score of two (2).**

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

*Maximum 7 points on this performance measure*

b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0

- There was some evidence that Kabale DLG Water Office updates the MIS (WSS data) as evidenced in all four (4) Quarterly Reports, the newly constructed facilities are reported and their details are filled in Form 1 as a data collection form for point water sources for new facilities, detailing location of the new facilities, population served, functionality of WSCs and WSS facilities, etc... These are compiled together sent to MWE for updating and the DWO downloads this information as Form 4 compilations of the updated MWE database forming the DWO MIS.

- There were also the following data update reports for District Water and Sanitation Development Grant for FY 2022/2023, submitted to MWE as listed below:

- i. Submission of the 1st Quarter data (Form-4) report for FY 2022/2023 by Kabale DLG CAO on 9th/01/2023 to MWE PS, by the MWE Central Registry and MWE Rural Water Supply & Sanitation Department (RWSSD) on 12th/01/2023

- ii. Submission of the 2nd Quarter data (Form-4) report for FY 2022/2023 by Kabale DLG CAO on 9th/01/2023 to MWE PS, by the MWE Central Registry and MWE Rural Water Supply & Sanitation Department (RWSSD) on 12th/01/2023

- iii. Submission of the 3rd Quarter data (Form-4) report for FY 2022/2023 by Kabale DLG CAO on 26th/04/2023 to MWE PS, by the MWE Central Registry and MWE Rural Water Supply & Sanitation Department (RWSSD) on 28th/04/2023

- iv. Submission of the 4th Quarter data (Form-4) report for FY 2022/2023 by Kabale DLG CAO on 26th/07/2023 to MWE PS, by the MWE Central Registry and MWE Rural Water Supply & Sanitation Department (RWSSD) on 28th/07/2023

- There was a compilation for form 1s and form 4s at the LG Water Office and these were used by the DWO for planning purposes (plan for villages/ S/Counties based on their access/ functionality of water sources).

- Kabale DLG Water Office **updated the MIS (WSS data)** with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) **for all the four (4) quarters for the FY 2022/2023**

- and therefore, justifying a score of three (3)



5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<ul style="list-style-type: none"> <li>• According to the Kabale Lower Local Government Assessment Results for 2022/2023 FY; the 25% lowest performing LLGs and PIPs were therefore to be developed and implemented for them included: Kibuga S/C with 62%; Ryakarimira T/C with 63%; and Maziba S/C with 66%</li> <li>• There was a document “<b>performance improvement plan for the poorly performed LLGs</b>” submitted by Kabale DLG DWO to CAO on 30th/10/2023.</li> <li>• There was a document titled “<b>Support to maziba, Kibuga and Ryakarimira S/County after Lower Local Government Assessment</b>” submitted by DWO and received by CAO on 30th/10/2023 where “members were requested tasked to always ensure at least each village has a safe water source’; “<b>members were encouraged to always forward their requests to district through their leaders at any time since planning was a continuous process. ....</b>”</li> <li>• Therefore, there was evidence that the DWO supported the 25% lowest performing LLGs in the Previous FY 2022/2023, and thereby justifying a score of two (2)</li> </ul>	2
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**Human Resource Management and Development**

6	<p>Budgeting for Water &amp; Sanitation and Environment &amp; Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water &amp; Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation &amp; hygiene); 1 Engineering Assistant (Water) &amp; 1 Borehole Maintenance Technician: Score 2</p>	<p><b>There was evidence</b> that the DWO had budgeted for the following Water &amp; Sanitation staff: 1 Civil Engineer(Water); 1 Assistant Water Officer for mobilization and; 1 Borehole Maintenance Technician and had provided for UGX 74,400,000/= in the approved budget for wage.</p>	2
6	<p>Budgeting for Water &amp; Sanitation and Environment &amp; Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment &amp; Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p><b>There was evidence</b> that the Environment and Natural Resources Officer had budgeted for the following Environment &amp; Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer and provided for UGX 1,773,852,108/= in the approved budget for wage.</p>	2

7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p><b>There was evidence that the DWO was appraised and appraised District Water Office staff against the agreed performance plans according to the guidelines during the previous FY.</b></p> <ol style="list-style-type: none"> <li>1. The Civil Engineer (Water), Aharinta Patience Twine was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.</li> <li>2. The Assistant Water Officer for Mobilization, Akanyijuka Baram was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.</li> <li>3. The Borehole Maintenance Technician/Assistant Engineering Officer, Ngabirano Spenser was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.</li> </ol>	3
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7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p>	<p>The DWO for Kabale DLG <b>did not provide the Capacity Needs Assessment Reports; Training plans and Reports</b></p> <ul style="list-style-type: none"> <li>• Therefore, the DWO <b>did not submit staff capacity needs to the PHRO for consolidation into the District Training database and none of the staff was trained.</b></li> <li>• and therefore, justifying a score of zero (0)</li> </ul>	0
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**Management, Monitoring and Supervision of Services.**

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<ul style="list-style-type: none"> <li>• a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:</li> <li>• • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3</li> <li>• • If 80-99%: Score 2</li> <li>• • If 60-79: Score 1</li> <li>• • If below 60 %: Score 0</li> </ul>	<ul style="list-style-type: none"> <li>• According to the 4th Quarter report titled “Kabale District Local Government Water and Sanitation Development Grant 4th Quarter/ Annual Report for FY 2022/2023 (REF: ADM 49/D/50/02)” submitted by CAO on 26th/07/2023 to MWE PS, received by MWE Central Registry and approved by MWE RWSSD on 28th/07/2023; the Safe Water Coverage (SWC) for Kabale DLG was <b>90.0%</b>.</li> <li>• The Sub-Counties (S/Cs) with SWC below the district average: <b>Butanda</b> S/C with SWC of 67.0%, <b>Kamuganguzi</b> S/C with SWC of 88.0% and <b>Ryakarimira</b> S/C with SWC of 59.0%.</li> <li>• These were to be the target S/Cs for budget allocations in the FY 2023/24.</li> <li>• As per the document titled “Revised Final Annual Workplan/ Budget for Kabale District Rural Water and Sanitation Development Conditional Grant, Transitional Development Grant, District Hygiene and Sanitation Conditional Grant for FY 2023/2024 (REF: ADM 49/50/02)” submitted by CAO on 27th/07/2023 to MWE PS, received by MWE Central Registry and approved by MWE RWSSD on 28th/07/2023; the following were the budget allocations:</li> </ul>	0
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(i) Construction of one (1) public latrine at RCG Kyevu market in Butanda S/Couty at a cost of UGX 16,000,000; which is **1 out of 1** project planned to be implemented in target S/Counties **equivalent to 100%**.

(ii) Construction and Supply of piped water supply system (GFS) to Karujanga HC-III in Kibuga S/County at a cost of UGX 124,605,453; which is **0 out of 1** project planned to be implemented in target S/Counties **equivalent to 0%**.

(iii) Rehabilitation and extension of Kiruruma-Kisibo Mukiyovu GFS in Rubaya S/C at a cost of UGX 155,930,356; which is **0 out of 1** project planned to be implemented in target S/Counties **equivalent to 0%**.

(iv) Rehabilitation of Kabaraga GFS in Kaharo S/C at a cost of UGX 61,445,013; which is **0 out of 1** project planned to be implemented in target S/Counties **equivalent to 0%**.

(v) Feasibility studies for construction of Kamukungu at Iremer in Kamuganguzi S/Couty at a cost of UGX 41,989,198; which is **1 out of 1** project planned to be implemented in target S/Counties **equivalent to 100%**.

- The Budget for FY 2023/24, therefore reflects a total of **UGX 399,970,020 (A)** allocated to WSS developmental projects out of which **UGX 41,989,198 (B)** is allocated to target S/Counties

- Therefore % of the budget allocation for FY 2023/2024 that was allocated to S/Cs below the district average coverage was  $(B/A)*100 = UGX 41,989,198 / 399,970,020 * 100 = 10.5 \% \text{ approximated to } 11\%$ .

- **This was below 60% and therefore, justifying a score of zero (0)**

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

*Maximum 6 points on this performance measure*

b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3

• There was evidence that Kabale DWO communicated to the LLGs their respective allocations per source to be constructed in the FY 2023/2024 as seen from the following:

• According to the 4th Quarter report titled “Kabale District Local Government Water and Sanitation Development Grant 4th Quarter/ Annual Report for FY 2022/2023 (REF: ADM 49/D/50/02)” submitted by CAO on 26th/07/2023 to MWE PS, received by MWE Central Registry and approved by MWE RWSSD on 28th/07/2023; there was several documents titled **“Minutes for Planning and Advocacy meeting held on 3rd/10/2023 in Kaharo S/County” attended by 16 members; Minutes for Planning and Advocacy meeting held on 4th/10/2023 in Butanda S/County” attended by 27 members; Minutes for Planning and Advocacy meeting held on 5th/10/2023 in Kibuga S/County” attended by 20 members** where the DWO presented the FY 2023/2024 planned WSS projects allocation per S/County.

• There was a document titled **“Budget allocation notification”** written to SAS of Kibuga S/C, Kaharo S/C Butanda S/C and Kamuganguzi S/C by Kabale DLG CAO on 17th/08/2023

• Besides, list titled “Budget allocations for FY 2023/2024-Water Sector” was displayed **on Kabale DLG notice board by the DWO and on 3 out of 3 sampled notice boards** of benefiting S/Counties

• and therefore, justifying a score of three (3)

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

*Maximum 8 points on this performance measure*

a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)

• If 95% and above of the WSS facilities monitored quarterly: score 4

• If 80-94% of the WSS facilities monitored quarterly: score 2

• If less than 80% of the WSS facilities monitored quarterly: Score 0

• There was evidence that Kabale District Water Office monitored some WSS facilities at least quarterly.

• According to Quarterly Monitoring plan for Water facilities written by Kabale DWO (no date), 802 WSS facilities were planned for monitoring in all the four quarters.

• Also, there were the following quarterly monitoring reports for FY 2022/2023 that were provided by Kabale DWO.

• Quarterly Monitoring and Supervision Report for the 1st Quarter FY 2022/2023 written by Kabale DWO and received by Kabale DLG CAO on 8th/10/2022

• Quarterly Monitoring and Supervision Report for the 2nd Quarter FY 2022/2023 written by Kabale DWO and received by Kabale DLG CAO on 9th/01/2023

• Quarterly Monitoring and Supervision Report for the 3rd Quarter FY 2022/2023

written by Kabale DWO and received by Kabale DLG CAO on 11th/04/2023

- Quarterly Monitoring and Supervision Report for the 4th Quarter FY 2022/2023 written by Kabale DWO and received by Kabale DLG CAO on 11th/07/2023.

- The List of sources for Rural Water Supply and sanitation facilities for Kabale district constructed in FY 2022/2023 included the following:

(i) Project 1: KABA512/WRKS/2022-2023/00011: Rehabilitation of Kyabakonjo Gravity Flow Scheme in Buhara S/C and Maziba S/C; **GFS was monitored, 100%.**;

(ii) Project 2: KABA512/WRKS/2022-2023/00016: Extension of Water to Upgraded Buramba HC-III in Butanda S/C; **WSS was monitored, 100%.**;

(iii) Project 3: KABA512/WRKS/2022-2023/00007: Construction of 2-stance VIP latrine at Nkumbura RGC in Kaharo S/C; **VIP latrine was monitored, 100%.**;

(iv) Project 4: KABA512/WRKS/2022-2023/00020: Phase II Construction and Supply of Water to Upgraded Buramba HC-III in Butanda S/C; **WSS was monitored, 100%.**;

- A total of 802 WSS facilities were thus planned for monitoring in all the four (4) quarters.

- This implies out of the 1889 water facilities (A) in Kabale DLG by June 2023 as per the MWE-MIS for the FY 2022/2023, Kabale District Water monitored 802 water facilities (B) at least quarterly.

- Overall, from my analysis of all the project implementation monitoring reports for FY 2022/2023, I conclude that the estimated percentage of water facilities monitored at least quarterly was **(B/A)\*100= (802/1889)\*100 = 42.5% equivalent to 43% that lies below 80%**

- **thereby, justifying a score zero (0).**

9

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

*Maximum 8 points on this performance measure*

b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2

There was evidence that Kabale DWO planned and conducted all the four (4) quarterly DWSCC meetings and key issues identified from quarterly monitoring of WSS facilities were discussed:

(i) Minutes of the Kabale DLG District Water and Sanitation Coordination Committee Meeting held on 29th/09/2022 at the Water Offices, attended by 23 participants: Especially under agenda item 5-“Submission of Reports by District and Implementing Partners” and 9-“Discussion and Way forward”.

2

(ii) Minutes of the Kabale DLG District Water and Sanitation Coordination Committee Meeting held on 8th/12/2022 from the District Boardroom, attended by 20 participants: Especially under agenda item 6-“ Submission of Reports” and 7-“Discussion and Way forward”.

(iii) Minutes of the Kabale DLG District Water and Sanitation Coordination Committee Meeting held on 31st/03/2023 held at District Boardroom, attended by 22 participants: Especially under agenda item 7-“Discussion and Way forward”.

(iv) Minutes of the Kabale DLG District Water and Sanitation Coordination Committee Meeting held on 30th/06/2023 held at District Health Boardroom, attended by 21 participants: Especially under agenda item 4-“Remarks from District Assistant Engineer Officer” and 8-“Discussion and Way forward”.

• Key Issue identified and discussed included but not limited to the following:

(i) ...District water office conducted three (3) S/Country planning and Advocacy meetings in Kahungye, Buhara and Kaharo S/Counties **(MIN013/DWSCM/12/2022)**

(ii) .....members raised concern regarding encroachment , silting, waste dumping into lake Bunyonyi resulting to its water contamination. **(MIN014/DWSCM/12/2022)**

(iii) .....theft and vandalization of water meters by communities **(MIN014/DWSCM/12/2022)**

(iv) .....theft and vandalization of water meters by communities **(MIN014/DWSCM/12/2022)**

(v) ... extension workers were not fully involved in identifying and selecting community beneficiaries **(MIN021/DWSCM/03/2023)**

(vi) ... illegal naming and branding of water sources in Kyanamira S/County by unknown persons **(MIN022/DWSCM/03/2023)**

(vii) ...need to involve Kabale University in research on siting of L. Bunyonyi waters **(MIN022/DWSCM/03/2023)**

(viii) ...interventions regarding poor sanitation and hygiene at Harutindo market **(MIN030/DWSCM/06/2023)**

• **therefore, justifying a score of two (2)**

9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>	<ul style="list-style-type: none"> <li>• There was evidence that Kabale district water office publicizes budget allocations for the current FY 2023/24. The list of planned projects for FY 2023/2024 titled “Budget allocations for FY 2023/2024-Water Sector” was displayed on Kabale DLG notice board by the DWO and on 3 out of 3 notice boards of sampled benefiting S/Counties</li> <li>• and therefore, justifying a score of two (2)</li> </ul>	2
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> <li>• If funds were allocated score 3</li> <li>• If not score 0</li> </ul>	<ul style="list-style-type: none"> <li>☞ The Total Non-Wage Recurrent budget for the previous FY 2022/2023 was UGX 62,228,129 (A) while the amount spend on Mobilization was UGX 50,582,225 (13,245,347 +37,336,878) (B).</li> <li>☞ Percentage of NWR rural water and sanitation budget allocated to mobilization = <b>(B/A)*100 = (50,582,225 /62,228,129)*100= 81.3%</b></li> <li>☞ This percentage is <b>greater than the minimum of 40% as per sector guidelines and therefore, justifying a score of three (3).</b></li> </ul>	3
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&amp;M of WSS facilities: Score 3.</p>	<ul style="list-style-type: none"> <li>☞ According to the four (4) quarterly Kabale District software reports, the DWO in liaison with the CDO established and trained three (3) WSCs for the new facilities constructed in FY 2022/2023 on their roles and responsibilities on O&amp;M for the facilities, and on hygiene indicated in the Quarterly Software Reports.</li> <li>☞ According to the document titled “Software Report Kabale DLG for FY 2022/2023” prepared by CDO-Kabale DLG on 26th/07/2023; and “Establishment and Training of Water User Committees” submitted by ADWO on 21st/10/2023 to MWE PS, received by DWO, there was evidence that three (3) water User Committees were trained in Buhara, Kahungye and Kaharo S/Counties.</li> <li>☞ There was a list of the three (3) WSCs established and trained, with four (4)- six (6) names of elected members, listed by: Subcounty, S/N, Name of Committee Members, Position, Sex, Contact, and name of the source.</li> <li>☞ There was some photos of Training water user committees in in different S/Counties.</li> <li>☞ For the seven (7) WSS facilities sampled in three (3) S/Counties namely: <ul style="list-style-type: none"> <li>i. Rehabilitation of Kyabakonjo Gravity Flow Scheme in Buhara S/C and Maziba S/C: Completed in June 2023</li> </ul> </li> </ul>	0

a. PSP 1 in Ruboroga Trading Centre (Repair of the cover of distribution line and tap)

• **There was no active water user committee but instead GFS management. Households do not collect any water user fees (WUF)**

b. PSP 2 in Ruboroga village

• **There was no active water user committee but instead GFS management**

• At the time of field visit, I found the 3 community members.

c. PSP 3 in Rwantabara village

• There was no active water user committee but instead GFS management. CDC is meant to collect water user fees (WUF)-UGX 1000/month.

2- Stance VIP Latrine at at Nkumbura RGC (market) in Kaharo S/C: completed in June 2023

• I found the contractor at the time of field visit

• **There was no WSC member found at time of visit**

ii. Construction and extension of Buramba piped water supply system to Upgraded Buramba HC-III in Butanda S/C

• **I found none of the GFS management members and thus I could not confirm WSC training/ content.**

a) PSP 1 (double spout) in Rutooma I village

• The water facility was not fenced.

• **I found no WSC member and thus I could not confirm training/ content**

b) PSP 2 (triple spout) Buramba HC-III

• **I found no WSC member and thus I could not confirm training/ content**

c) PSP 3 (double spout) at Rutooma II village

• The water facility was not fenced.

• I found no WSC member but instead 2 community member that confirmed that 37 households were collecting water from the PSP

• **Only 1 out 3 WSCs for the three FY 2022/2023 projects confirmed that they were trained equivalent to  $(1/3*100)=33.3$  approximated to 33%**

• **and therefore, justifying a score of zero (0).**



## Investment Management

11

Planning and Budgeting for Investments is conducted effectively

*Maximum 14 points on this performance measure*

a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:

Score 4 or else 0

• There was an assets register titled “Updated Asset Register as of 30th/6/2023” for WSS facilities for Kabale district Water Department signed and stamped by the Kabale DLG District Water Officer on 30th/6/2023.

• This was listed by S/N, Subcounty, Parish, Village, Name of Source, Year of Construction, Management and Functionality.

• This was updated with the most recently entered facility constructed in 2023.

• Examples of functional and communally managed WSS facilities constructed in FY 2022/2023 and were updated in the WSS asset register included:

i. Meremare tap in Buhara S/County

ii. Manuel tap in Buhara S/County

iii. Ruboroga Catholic tap in Buhara S/County

iv. Source tap in Kahungye S/County

v. Jamada tap in Kahungye S/County

vi. Hospital tap in Kahungye S/County

vii. Sed tap in Kahungye S/County

viii. Nyinmuhombori tap in Kahungye S/County

ix. Sakidi tap in Kahungye S/County

x. Reservoir tap in Kahungye S/County

**• Therefore, basing on the summarized (sampled) WSS facilities and their functionality, I established that most of the WSS facilities implemented (constructed/ rehabilitated/ designed) in FY 2022/2023 were updated.**

**• There was an up-to-date WSS asset register available at Kabale DLG Water Department and therefore, justifying a score of four (4).**

4

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDP/III) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p>	<p>For FY 2023/2024, KDLG Water and Environment Unit has the following projects:</p> <ul style="list-style-type: none"> <li>■ Construction of Kisibo-Kiruruma-Mukiyovu GFS in Kibuga S/C (UGX144,693,000)</li> <li>■ Construction of 2 stance VIP latrine at Kyevu RGC (UGX16,000,000)</li> <li>■ Rehabilitation of Kabaraga GFS in Kaharo S/C (UGX61,445,000)</li> </ul> <p>A Desk Appraisal aims to ensure that a project meets the following requirements.</p> <ul style="list-style-type: none"> <li>-Derived from the LG Development Plan</li> <li>-Consistent with sector guidelines &amp; DDEG objectives</li> <li>-Financially feasible</li> <li>-Having costed project profiles.</li> </ul> <p>Evidence of desk appraisals for the three (03) KDLG FY2023/2024 Water and Environment projects was provided and reviewed during this assessment.</p>	4
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<ul style="list-style-type: none"> <li>• As per the document titled “Revised Final Annual Workplan/ Budget for Kabale District Rural Water and Sanitation Development Conditional Grant, Transitional Development Grant, District Hygiene and Sanitation Conditional Grant for FY 2023/2024 (REF: ADM 49/50/02)” submitted by CAO on 27th/07/2023 to MWE PS, received by MWE Central Registry and approved by MWE RWSSD on 28th/07/2023; the following were the budget allocations:</li> </ul> <ul style="list-style-type: none"> <li>(i) Construction of one (1) public latrine at RCG Kyevu market in Butanda S/County at a cost of UGX 16,000,000;</li> <li>(ii) Construction and Supply of piped water supply system (GFS) to Karujanga HC-III in Kibuga S/County at a cost of UGX 124,605,453;</li> <li>(iii) Rehabilitation and extension of Kiruruma-Kisibo Mukiyovu GFS in Rubaya S/C at a cost of UGX 155,930,356;</li> <li>(iv) Rehabilitation of Kabaraga GFS in Kaharo S/C at a cost of UGX 61,445,013;</li> <li>(v) Feasibility studies for construction of Kamukungu at Iremer in Kamuganguzi S/County at a cost of UGX 41,989,198;</li> </ul> <ul style="list-style-type: none"> <li>• There was evidence that the beneficiary communities applied for WSS investments for the current FY 2023/2024.</li> </ul>	2

i. Application for Rehabilitation of Kabalaga GFS from the district water office by the S/County Chief of Kahoro sub county, signed by 17 community member, stamped and dated on 8th/09/2022.

ii. Application for a VIP latrine at Kyevu Trading Centre from the district water office by the Nyamirano Parish Chief and S/County Chief of Butanda sub county, signed by 38 community member, stamped and dated on 28th/09/2022.

iii. Request for a water point from the district water office by the LC-II C/Person Kurujanga Parish, Rubaya S/County and SAS Kibuga S/County, signed by 69 community members, stamped and dated on 12th/9/2022.

iv. Request for water to be installed in Karujanga Health Centre-III from the district water office by the Parish Chief Karujanga Parish and S/County Chief-Kibuga S/C signed by 29 community members, stamped and dated on 7th/9/2022.

**• Therefore, all the beneficiary communities applied for the budgeted WSS investments for current FY 2023/2024 and therefore, justifying a score of two (2).**

11

Planning and Budgeting for Investments is conducted effectively

*Maximum 14 points on this performance measure*

d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2

For the current FY2023/2024, KDLG Water and Environment department had the following projects:

☑ Construction of Kisibo-Kiruruma Mukiyovu GFS in Kibuga S/C (UGX144,693,000)

☑ Construction of 2 stance VIP latrine at Kyevu RGC (UGX16,000,000)

☑ Rehabilitation of Kabaranga GFS in Kaharo S/C (UGX61,445,000)

A Field Appraisal aims to ensure that a project meets the following requirements.

-Technical feasibility

-Environmental and social acceptability requirements

Evidence with regard to field appraisals for the three (03) KDLG FY2023/2024 Water and Environment projects was provided for the assessment and reviewed.

2

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>There <b>was evidence</b> that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents</p> <ol style="list-style-type: none"> <li>1. Screening for environmental and social risks/ impacts for the construction of a two stance VIP pit latrine at Kyevu Butanda Subcounty was carried out on 13/7/2023, signed and stamped by both SEO and DCDO, ESMP was prepared on 13/7/2023 at a cost Ugx 14,800,000</li> <li>2. Screening for environmental and social risks/ impacts for the rehabilitation of a gravity flow scheme at Kabalaga village was carried out on 13/7/2023, signed and stamped by both SEO and DCDO, ESMP was prepared on 13/7/2023 at a cost Ugx 16,800,000</li> <li>3. Screening for environmental and social risks/ impacts for the piped water supply system (Kisebo-Kiruruma-Mukiyovu) was carried out on 13/7/2023, signed and stamped by both SEO and DCDO, ESMP was prepared on 13/7/2023 at a cost Ugx 17,800,000</li> </ol>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>There is Evidence that the water infrastructure investments were incorporated in the LG approved Procurement Plan for the previous FY (2022-23) dated 14/7/2023. The following water infrastructure investment projects among others were incorporated in the Procurement Plan:</p> <ol style="list-style-type: none"> <li>1. <b>Item No. 24- Rehabilitation of Kyabakonjo GFS in Buhara S/C-</b> KABA512/WRKS/2022-2023/00011; Contract Price is UGX 45,790,013/=.</li> <li>2. <b>Item No. 27- Rehabilitation and Extension of Water to Upgraded Buramba HCIII Phase II S/C-</b> KABA512/WRKS/20222023/00020; Contract Price is UGX 112,371,134/=.</li> <li>3. <b>Item No. 22- Construction and Supply of Water to Upgraded Buramba HC III, Kahungye S/C-</b> KABA512/WRKS/20222023/00016; Contract Price is UGX 101,825,635/=.</li> </ol>	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>There is Evidence that the water supply and public sanitation infrastructure for the previous FY (2022/23) was approved by the Contracts Committee before commencement.</p>	2
			<p>These Included among others;</p> <ol style="list-style-type: none"> <li>1. <b>Rehabilitation of Kyabakonjo GFS in Buhara S/C</b>- KABA512/WRKS/2022-2023/00011; approved by the Contracts Committee under Min. No. CC05/2022-2023 in a meeting held on 18/1/2023.</li> <li>2. <b>Extension of Water to Upgraded Buramba HCIII</b>- KABA512/WRKS/2022-2023/00016; approved by the Contracts Committee under Min. No. CC05/2022-2023 (a) in a meeting held on 18/1/2023.</li> <li>3. <b>Phase II Construction and Supply of Water to Upgraded Buramba HC III</b>- KABA512/WRKS/2022-2023/00020; approved by the Contracts Committee under Min. No. CC11/2022-2023 6(i) in a meeting held on 31/5/2023.</li> </ol>	
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>There was NO evidence of proper establishment of the PITs for the Water Sector projects within the last FY (2022/2023) as per guidelines</p>	0
			<p>A copy of joint appointment dated 20/1/2023 has the Project Manager (Water Officer), Contract Manager (Senior Forestry Officer), DCDO, Environment Officer and District Labour Officer appointed by the CAO. Another copy of joint appointment dated 27/4/2023 has the Project Manager (Town Engineer), Contract Manager (Civil Engineer), DCDO, Environment Officer and District Labour Officer appointed by the CAO. However, there was no Clerk of Works appointed by the CAO on the PIT for Water and Sanitation projects.</p> <p>The sampled projects include:</p> <ol style="list-style-type: none"> <li>1. Rehabilitation of Kyabakonjo GFS in Buhara S/C.</li> <li>2. Rehabilitation and Extension of Water to Upgraded Buramba HCIII Phase II S/C.</li> <li>3. Construction and Supply of Water to Upgraded Buramba HC III, Kahungye S/C.</li> </ol>	
12	<p>Procurement and Contract Management/execution: The LG has effectively</p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per</p>	<p>There was evidence that the seven (7) water facilities I sampled and visited in three (5) S/Counties and presented below were constructed as per the standard Technical</p>	2

managed the WSS procurements

*Maximum 14 points on this performance measure*

the standard technical designs provided by the DWO: Score 2

Designs provided by the DWO in the BOQs and Technical drawings:

i. Rehabilitation of Kyabakonjo Gravity Flow Scheme in Buhara S/C and Maziba S/C: Completed in June 2023

- The supply line has a total of 26 communal PSPs and 15 Private PSPs (Tripple, double and single spout) Public Stand Posts (PSPs) and they were all functioning well.

- I visited all the three (3) public stand posts (2-communal and 1-private) and measured the flow rate for all.

a. PSP 1 in Ruboroga Trading Centre (Repair of the cover of distribution line and tap)

- Repairs were well done

b. PSP 2 in Ruboroga village

- This was one of the two communal PSPs extended

- The drainage channel was (170x135x170)mm.

- The water collection platform was 800mm x 800mm

- The height of the water delivery pipe from the ground was 480mm.

- The PSP had no engraving plate

c. PSP 3 in Rwantabara village

- This was a newly connected private PSP for Center for Disabled Children

- The facility had an existing but blocked soak pit.

ii. 2- Stance VIP Latrine at at Nkumbura RGC (market) in Kaharo S/C: completed in June 2023

- The VIP latrine was generally constructed according to the technical design drawings. However, the facility had no ramp on either for people living with disabilities (PWDs).

- It had a screen wall (1600mm high) constructed for privacy protection of users and the urinal drains with a soak pit.

- The door width was 900 and corridor way was 1200mm.

- Veranda was 450mm wide

- There was no clear labels for the Male and female sides.

- There was no engraving plate/labels

- Overall, the facility was completed and was functional as reported by the Kabale DWO.

iii. Construction and extension of Buramba

piped water supply system to Upgraded Buramba HC-III in Butanda S/C

- I visited the 20m<sup>3</sup> reservoir tank and the height was 2000mm instead of 2500mm indicated on the design.

a) PSP 1 (double spout) in Rutooma I village

- The water facility was not fenced.

- The drainage channel was 165x180x165 mm.

- The water collection platform was 780mm x 980mm

- The height of the water delivery pipe from the ground was 470mm.

- The PSP had no engraving plate

b) PSP 2 (triple spout) Buramba HC-III

- The surrounding environment was clean with an existing but blocked soak pit.

- The water facility was not fenced.

- The drainage channel was 155x155x155)mm.

- The water collection platform was 680mm x 1280mm

- The height of the water delivery pipe from the ground was 485mm.

- The PSP had no engraving plate

c) PSP 3 (double spout) at Rutooma II village

- .

- The water facility was not fenced.

- The drainage channel was 175x200x175 mm.

- The water collection platform was 580mm x 900mm

- The height of the water delivery pipe from the ground was 485mm.

- The PSP had no engraving plate

- There was paspalum planted around the water source

- **On average all the seven (7) water and sanitation facilities sampled were constructed as per the standard technical designs provided by the DWO, and therefore, justifying a score of two (2).**

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2</p>	<p>There is NO Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects. Monthly technical supervision of WSS infrastructure projects was conducted by the relevant technical officers i.e. Contract Manager (civil engineer), Project Manager, Environment Officer, Senior Labour Officer and ADWO) as seen from the joint inspection reports dated: 16/5/2023, 31/5/2023, 16/6/2023, 28/6/2023. However, there were no Minutes of Meeting present for any of the projects during the assessment and no reports for 1 project.</p>	0
			<p>The projects sampled included;</p> <ol style="list-style-type: none"> <li>1. <b>Phase II Construction and Supply of Water to Buramba HC III, Kahungye S/C-</b> with joint inspection reports dated: 28/06/2023 and no Minutes of Meetings.</li> <li>2. <b>Rehabilitation of Kyabakonjo GFS in Buhara S/C-</b> with joint inspection reports dated: 16/5/2023, 31/05/2023, 16/6/2023 and no Minutes of Meetings.</li> <li>3. <b>Extension of Water to Upgraded Buramba HC III-</b> with neither joint inspection reports nor Minutes of Meetings.</li> </ol>	
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts</p> <ul style="list-style-type: none"> <li>o If 100 % contracts paid on time: Score 2</li> <li>o If not score 0</li> </ul>	<p>Eight (08) infrastructural payments of KDLG Water and Environment FY2022/2023 infrastructure projects were sampled to test certification and the timeliness of payment for works executed.</p> <p>Payment to Virat Engineering Ltd for construction and supply of water to upgraded Buramba HCIII (UGX101,134,020) - Requisition dated 15/06/2023, certified by District Engineer, Water Officer, Environment Officer and CAO on 15/06/2023 and payment effected on 28/06/2023 (13 days).</p> <p>Payment to Andwyc Construction and Supplies Ltd for rehabilitation of Kyabakonjo GFS in Ruhara S/C (UGX6,814,500) - Requisition dated 15/06/2023, certified by District Engineer, Water Officer, Environment Officer and CAO on 15/06/2023 and payment effected on 28/06/2023 (13 days).</p> <p>Payment to Dacosi Ltd for extension of Ikamiro GFS to Kasherengenyi HCII and installation of rainwater harvesting system (UGX9,096,486) - Requisition dated 16/06/2023, certified by District Engineer, Water Officer, Environment Officer and CAO on 16/06/2023 and payment effected on 28/06/2023 (12 days)</p> <p>Payment to Virat Engineering Ltd for Pase 1 construction and supply of water to upgraded Buramba HCIII (UGX91,643,071) - Requisition dated 07/06/2023, certified by District Engineer, Water Officer, Environment Officer</p>	0



and CAO, No.1 and payment effected on 28/06/2023 (21 days).

Payment to Andwyc Construction and Supplies Ltd for rehabilitation of Kyabakonjo GFS in Ruhara S/C (UGX41,211,011) - Requisition dated 08/06/2023, certified by District Engineer, Water Officer, Environment Officer and CAO No.1 and payment effected on 28/06/2023 (20 days).

Payment to Anen Consulting Engineers (U) Ltd for Feasibility studies and detailed engineering design of Buramba HCIII piped water system in Kahungye S/C (UGX33,923,000) - Requisition dated 30/05/2023, certified by District Engineer, Water Officer, Environment Officer and CAO dated 30/05/2023 and payment effected on 15/06/2023 (16 days)

Payment to Bakaruhire and Co. Ltd for completion works on construction of 2 stance latrine at Nkumbura RGC, Kaharo S/C (UGX12,722,579) - Requisition dated 20/04/2023, certified by District Engineer, Water Officer, Environment Officer and CAO on 27/03/2023 and payment effected on 08/06/2023 (48 days)

Payment to Bakaruhire and Co. Ltd for rehabilitation of the Kyatoko-Mwisi-Muyebe GFS in Kitumba S/C (UGX9,898,711) - Requisition dated 20/04/2023, certified by District Engineer, Water Officer, Environment Officer and CAO on 15/03/2023 and payment effected on 03/05/2023 (13 days)

In four (04) out of the eight (08) sampled projects payments were effected beyond the 14 days' time limit.

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:</p> <p>Score 2, If not score 0</p>	<p>There was NO evidence that the LG has complete procurement files for all water infrastructure investments with all records including: the evaluation report approved by the Contracts Committee; Works Contract; and Minutes of Contracts Committee decisions. The Projects files among others included;</p> <ol style="list-style-type: none"> <li>1. <b>Phase II Construction and Supply of Water to Buramba HC III, Kahungye S/C-</b> KABA512/WRKS/2022-23/00020; approved by the Contracts Committee under Min. No. CC08/2022-2023 6(ii) in a meeting held on 31/5/2023. The contract was awarded on 1/6/2023.</li> <li>2. <b>Rehabilitation of Kyabakonjo GFS in Buhara S/C-</b> KABA512/WRKS/2022-2023/00011; approved by the Contracts Committee under Min. No. CC05/2022-2023 in a meeting held on 18/1/2023. The contract was awarded on 7/6/2023. The Contracts Committee approved the evaluation report on 18/1/2023.</li> <li>3. <b>Extension of Water to Upgraded Buramba HCIII-</b> KABA512/WRKS/2022-2023/00016; approved by the Contracts Committee under Min. No. CC08/2022-2023 6(ii) in a meeting held on 31/3/2023.</li> </ol>	0
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### Environment and Social Requirements

13	<p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p>	<p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p> <p>Score 3, If not score 0</p>	<p>There <b>was no evidence</b> that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework</p>	0
14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source &amp; catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>There <b>was no evidence</b> that the DWO and the Environment Officer have disseminated guidelines on water source &amp; catchment protection and natural resource management to CDOs</p>	0

15	Safeguards in the Delivery of Investments  <i>Maximum 10 points on this performance measure</i>	a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0	There <b>was evidence</b> that that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented  Water source protection plan and natural resource management plan were in place for all water projects implemented in the previous FY, detailing the management strategy signed and stamped by SEO and environmental water officer on 18/8/2022	3
15	Safeguards in the Delivery of Investments  <i>Maximum 10 points on this performance measure</i>	b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:  Score 3, If not score 0	<ul style="list-style-type: none"> <li>• There was evidence that most WSS projects were implemented on land where the LG has proof of consent as seen from the following listed requests and the accompanying land consent statements: <ul style="list-style-type: none"> <li>i. Consent Agreement between the landowner and community of Buramba Cell, Kahungye S/C for construction of water point with Kamuhanda Benon as the Land owner and Mugisha Kenneth SAS Kahungye in presence of 3 witnesses signed on 22nd/11/2022</li> <li>ii. Land Agreement between the landowner and community of Kyabakonjo village, Buhara S/C for sale of land at UGX 50,000 for construction of Kyabakonjo GFS with Bagwechwako Warren as the Land owner in presence of 10 community member signed on 12th/02/2005</li> <li>iii. Land Agreement between the landowner and community of Kyabakonjo village, Buhara S/C for sale of land at UGX 70,000 for construction of Kyabakonjo GFS with Knyaruhara Hebert as the Land owner in presence of 10 community member signed on 12th/02/2005</li> <li>iv. Consent Agreement between 13 landowners and community of Ruboroga village, Kafunjo parish, Kahungye S/C for passage of pipeline of Kyabakonjo with land owners and Karebye Silver LC-II Chairperon in presence of 5 witnesses signed on 2nd /02/2023</li> <li>v. Submission of land registration document for 0.5 Ha of land at Nkumbura, Bugarama, Kaharo, Ndorwa, Kabale by Kabale DLG for for a freehold signed by 16 on 20th/11/2015 and offered on 28th/04/2017.</li> </ul> </li> <li>• <b>There was evidence that most WSS facilities budgeted for FY 2022/2023 were implemented on land where Kabale DLG had proof of consent , and therefore, justifying a score of three (3).</b></li> </ul>	3

Safeguards in the Delivery of Investments

*Maximum 10 points on this performance measure*

c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:

Score 2, If not score 0

A sample of ten (10) FY2022/2023 investment projects in KDLG was tested to check for E&S certification of all projects before payment. The following transactions were tested:

- 📄 Construction of twin staff house at Kahondo HCIII – E&S certificate dated 24/07/2022.
- 📄 Construction of staff house at upgraded Kitooma HCIII – E&S certificate dated 24/07/2022.
- 📄 Renovation of OPD at Rusikizi HCII – E&S certificate dated 08/08/2022.
- 📄 Construction of twin staff house at Kahondo HCIV – E&S certificate dated 08/03/2023.
- 📄 Construction of 5 stance VIP latrine at Karambwe P/S – E&S certificate dated 05/09/2022.
- 📄 Construction of 5 stance VIP latrine and urinal at Rutojo P/S – E&S certificate dated 15/08/2022.
- 📄 Construction of 5 stance latrine and urinal at Rutojo – E&S certificate dated 12/12/2022.
- 📄 Construction of 5 stance latrine and urinal at Rwaza P/S – E&S certificate dated 05/09/2022.
- 📄 Rehabilitation of Ruramba GFS in Kahungye S/C – E&S certificate dated 02/06/2023
- 📄 Construction of 2 stance VIP latrine at Nkumbura RGC, Kaharo S/C – E&S certificate dated 21/03/2023.

For all the sampled payments, E&S certification was effected in accordance with the requirements.

Safeguards in the  
Delivery of Investments

*Maximum 10 points on  
this performance  
measure*

d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:

Score 2, If not score 0

There **was evidence** that the CDO and environment Officers undertook monitoring to ascertain compliance with ESMPs; and provide monthly reports

1. Monitoring for the construction and supply of water to Buramba HC III was carried out on 31/5/2023, signed and stamped by both SEO and DCDO, monthly reports were reviewed dated 31/5/2023 and 28/6/2023, the project started on 13/4/2023 and ended 27/6/2023
2. Monitoring for the rehabilitation of Kyabakonjo gravity flow scheme Buhara subcounty was carried out on 12/5/2023, signed and stamped by both SEO and DCDO, monthly reports were reviewed dated 12/5/2023 and 31/5/2023, the project started on 13/4/2023 and ended 27/6/2023

**Micro-scale  
Irrigation  
Performance  
Measures**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Local Government Service Delivery Results</b>				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>The Senior Agricultural Engineer (SAE), Mr. Ndyabanoha Tiragana Paulino availed a document with title “Data on irrigated land in Kabale district”, containing data on irrigated land from 2016/17 to 2022/2023 for both beneficiaries and non-beneficiaries of micro-scale irrigation grant. Among the non-beneficiaries of microscale Irrigation grant is Masiko Peter of Nyakibande Village, Mwendo Parish Kitumba S/C (6acres) funded by ATAAS whereas one of the microscale grant beneficiaries include Eng. Turinawe J. Bagamuhunda of Katukura Village, kahondo Parish, Maziba S/C (2 acres).</p>	<b>2</b>
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> <li>• By more than 5% score 2</li> <li>• Between 1% and 4% score 1</li> <li>• If no increase score 0</li> </ul>	<p>According to the document (<b>Data on irrigated land in Kabale district</b>) availed by the SAE, in 202/21, there was 41.5 acres under Irrigation in 2021/2022 and by end of 2022/2023, the acreage under irrigation stood at 47.5 acres giving a percentage increase of <math>[(47.5-41.5)/41.5] * 100 = 14.46\%</math></p>	<b>2</b>
2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> <li>• Above 70%, score 4</li> <li>• 60% - 70%, score 2</li> <li>• Below 60%, score 0</li> </ul>	<p>Kabale DLG Micro-scale irrigation LLG performance for FY2022/2023 was 85%. This put it in the range “Above 70%”</p>	<b>4</b>

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0	The DPO availed the Budget Performance Report (BPR) for the production department for FY 2022/2023 showing that Kabale DLG received micro-scale Irrigation grant amounting to UGX196,500,093. This entire allocation was for only complementary services, including setting up of irrigation demos.  Therefore the DLG's Microscale Irrigation grant allocation did not have the development component.	0
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	The Micro-scale irrigation program was still in initial stages (for Phase II LGs), funds had just been released and approved farmers were not yet selected.	1
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0	The Engineer's estimates for the 3 demos were as follows;  1. Buhara =UGX22,811,200 2. Maziba=UGX18,884,960 3. Kakomo=UGX15,969,960,  all totaling to UGX57,666,120. A contract agreement <b>(KABA512/SUPLS/2022-2023/00006)</b> for installation of irrigation equipment for 3 demo sites of microscale irrigation project, dated 10th May 2023 between Kabale DLG and Rivan Engineering (U) ltd had a contract price of UGX 56,960,960 and additional works =UGXUGX1,647,170, now totaling to UGX UGX59,313,290. This gives a percentage variation = [(59,313,290-57,666,120)/57,666,120] *100=2.86%, which is within +/-20% of the agric. engineer's estimate.	1
	Maximum score 6			

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY	The SAE availed a completion certificate dated 12th June, 2022, for the contract (KABA512/SUPLS/2022-2023/00006) for installation of irrigation equipment for 3 demo sites of microscale irrigation project, dated 10th May 2023, between Kabale DLG and Rivan Engineering (U) ltd.	2
	Maximum score 6	<ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• Between 80 – 99% score 1</li> <li>• Below 80% score 0</li> </ul>	Therefore, 3/3(100%) the irrigation demonstration sites where contracts were signed in the previous FY were completed within the last FY.	
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	a) Evidence that the LG has recruited LLG extension workers as per staffing structure	<b>There was no evidence that the LG has recruited LLG extension workers as per staffing structure.</b>	0
	Maximum score 6	<ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• If 75 – 99% score 1</li> <li>• If below 75% score 0</li> </ul>	Kabale District Local Government had 10 sub counties of Buhara; Butanda; Kaharo; Kahungye; Kamuganguzi; Kibuga; Kitumba; Kyanamira; Maziba; Rubaya and 2 town councils of Katuna; and Ryakaremira.	
			The approved structure communicated in a letter from the Permanent Secretary, Ministry of Public Service ref.: ARC 135/305/06/01 dated May 19, 2017 provided for six extension workers in each sub county (Veterinary Officer, Agricultural Officer, Fisheries Officer, Assistant Animal Husbandry Officer, Assistant Agricultural Officer, and Assistant Fisheries Development Officer), and three in each town council (Veterinary Officer, Assistant Agricultural Officer, and Assistant Veterinary Officer). The staff list for extension workers showed that the District had recruited only 34 staff out of the expected 66 computing to a percentage of <b>51.52%</b> .	
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF	The SAE availed the list of the three installed irrigation demonstration facilities (located in Buhara S/C, MAziba S/C and Kakomo S/C). These were visited and checked and were found to be complete with all the components as entailed in the guidelines version 1 Nov.2020 (page 87-88 Of 199).	2
	Maximum score 6	<ul style="list-style-type: none"> <li>• If 100% score 2 or else score 0</li> </ul>		



Achievement of standards: The LG has met staffing and micro-scale irrigation standards

Maximum score 6

b) Evidence that the installed micro-scale irrigation systems during last FY are functional

- If 100% are functional score 2 or else score 0

The SAE availed the list of the three installed irrigation demonstration facilities (located in Buhara S/C, MAziba S/C and Kakomo S/C). These were visited and checked and were found to be up and running with Maziba and Buhara are fully functional whereas Kakomo had some faults arising out of mishandling by the host farmer.

Therefore, 2/3 representing 67% of installed sites were found to be fully functional.

## Performance Reporting and Performance Improvement

Accuracy of reported information: The LG has reported accurate information

Maximum score 4

a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0

In Kabale District, the Sub Counties of Kitumba, Kyanamira, and Katuna Town Council were sampled for assessment.

### **In Kitumba Sub County the information on the position of Extension Workers filled was accurate.**

The following Extension Workers were on the staff list of Kitumba Sub County consistent with deployment information from HRM and the understaffing observed in the LG:

- 1) Tayebwa Banet, Assistant Veterinary Officer
- 2) Aharimpisya Jessey, Agricultural Officer
- 3) Katushabe Gloria, Fisheries Officer

### **In Kyanamira Sub County the information on the position of Extension Workers filled was accurate.**

The following Extension Workers were on the staff list of Kyanamira Sub County:

- 1) Beingana Apulnal, Fisheries Officer
- 2) Musinguzi Martin , Agriculture Officer

### **In Katuna Town Council the information on the position of Extension Workers filled was accurate.**

The following Extension Workers were on the staff list of Katuna Town Council:

- 1) Tumuhereze Edmond, Assistant Veterinary Officer
- 2) Rweigyema Hirary, Assistant Agricultural Officer

5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p>	<p>The SAE availed the list of the three installed irrigation demonstration facilities (located in Buhara S/C, MAziba S/C and Kakomo S/C). These were visited and checked and were found to be in place with Maziba and Buhara are fully functional whereas Kakomo had some faults arising out of mishandling by the host farmer.</p> <p>Implying only 2/3 representing 67% of installed sites were found to be fully functional contrary to the PAO's Quarter one report of 10/10/2023 in which it was stated that all the demos were up and running with few identified defects.</p> <p>Therefore, that information on micro-scale irrigation system installed and functioning is not accurate.</p>	0
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>	<p>The DPO availed a report dated 5th May, 2023 segregated into four quarters of FY 2022/2023. According to this report,</p> <p>In quarter one there was capacity building of crop extension staff was, mobilization and sensitization of farmers and leaders (at district and LLG levels).</p> <p>In quarter two there was mobilization and sensitization of leaders and farmers</p> <p>In quarter three, 289 EOIs were collected and</p> <p>In quarter four, 17 farm visits were conducted</p> <p>Additionally, Quarter one report for FY 2023/2024 was availed, dated 6th July 2013 indicating that activities of monitoring and receiving and entering EOIs were underway.</p> <p>Therefore, there was evidence of quarterly collection of information including but not limited to provision of complementary services, farmer EOI</p>	2

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans  Maximum score 6	b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0	The SAE accessed the MSI and the following were noted;  EOI=353, target 228, awareness raising attendees=86, preparation of farm visit=156, farm visits conducted 121 for all the LLGs in the district.	1
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans  Maximum score 6	c.Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0	The DPO availed a report dated 5th May,2023 segregated into four quarters of FY 2022/2023. The contents of this report were originated from the MIS for example the number of EOIs registered at the end of each quarter was as reflected in the MIS.	1
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans  Maximum score 6	d) Evidence that the LG has:  i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	No approved PIP availed	0
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans  Maximum score 6	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	No PIP implementation report availed	0

## Human Resource Management and Development

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p> <p>Maximum score 6</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0</p>	<p>According to the approved staffing structure for the extension department, the DLG is supposed to have 77 extension staff. Staff list availed had only 29 extension staff workers with a corresponding wage budget of UGX896,715,946 instead of UGX2,727,600,000. Therefore, the DPO did not budget for extension staff as per the staffing norm.</p>	0
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p> <p>Maximum score 6</p>	<p>ii Deployed extension workers as per guidelines score 1 or else 0</p>	<p><b>There was no evidence to show that the DLG had deployed extension workers as per guidelines.</b></p> <p>According to the approved staffing structure for the extension department, the DLG is supposed to have 6 extension staff deployed in each Sub County and 2 extension workers in the Town Council. The sampled Kitumba Sub County had 3 extension workers, Kyanamira Sub County had 2, and Katuna Town Council had 2 extension workers as follows:</p> <p>Kitumba Sub County:</p> <ol style="list-style-type: none"> <li>1. Tayebwa Banet, Assistant Veterinary Officer</li> <li>2. Aharimpisya Jessey, Agricultural Officer</li> <li>3. Katushabe Gloria, Fisheries Officer</li> </ol> <p>Kyanamira Sub County:</p> <ol style="list-style-type: none"> <li>1. Beingana Apulnal, Fisheries Officer</li> <li>2. Musinguzi Martin , Agriculture Officer</li> </ol> <p>Katuna Town Council:</p> <ol style="list-style-type: none"> <li>1. Tumuhereze Edmond, Assistant Veterinary Officer</li> <li>2. Rweigyema Hirary, Assistant Agricultural Officer</li> </ol>	0

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

Maximum score 6

b) Evidence that extension workers are working in LLGs where they are deployed:  
Score 2 or else 0

**There was evidence that extension workers are working in LLGs where they are deployed**

Review of the staff list, attendance registers and monitoring reports at the Kitumba Sub County showed that the following LLG extension workers were actually physically working at the Kitumba Sub County:

1. Tayebwa Banet, Assistant Veterinary Officer
2. Aharimpisya Jessey, Agricultural Officer
3. Katushabe Gloria, Fisheries Officer

These were physically working at Kyanamira Sub County:

1. Beingana Apulnal, Fisheries Officer
2. Musinguzi Martin , Agriculture Officer

These were physically at Katuna Town Council:

1. Tumuhereze Edmond, Assistant Veterinary Officer
2. Rweigyema Hirary, Assistant Agricultural Officer

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

Maximum score 6

c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0

**There was evidence that extension workers deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board**

The staff lists including extension workers where there were any posted and their telephone contacts were prominently displayed at the Notice Boards of all the three sampled LLGs of Kitumba Sub County, Kyanamira Sub County, and Katuna Town Council. Names of some of the LLG extension workers displayed included:

At Kitumba Sub County there was

1. Tayebwa Banet, Assistant Veterinary Officer
2. Aharimpisya Jessey, Agricultural Officer
3. Katushabe Gloria, Fisheries Officer

At Kyanamira Sub County there was

1. Beingana Apulnal, Fisheries Officer
2. Musinguzi Martin , Agriculture Officer and

At Katuna Town Council there was

1. Tumuhereze Edmond, Assistant Veterinary Officer
2. Rweigyema Hirary, Assistant Agricultural Officer.

Performance management: The LG has appraised, taken corrective action and trained Extension Workers

Maximum score 4

a) Evidence that the District Production Coordinator has:

i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0

**There was no evidence that the District Production Coordinator had conducted annual performance appraisal of all extension workers against the agreed performance plans as some of the appraisals were done outside the stipulate timeline of by June 30.**

Some of the files reviewed included:

1. Naturinda Brighton, Fisheries Officer posted to Buhara Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
2. Banyenzaki Charles Sali, Agricultural Officer posted to Rubaya Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
3. Muheki Good, Assistant Agricultural Officer posted to Karimira Town Council was appraised by Manzi Gordon Principal Assistant Secretary on **July 30, 2023.**
4. Tumuhereze Edmond, Assistant Veterinary Officer posted to

Katuna Town Council was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.

5. Byamukama Tophil, Agricultural Officer posted to Maziba Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
6. Tayebwa Banet, Assistant Veterinary Officer posted to Kitumba Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
7. Basheija Jackson, Agricultural Officer posted to Kahungye Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on **July 21, 2023**.
8. Nyakira James, Assistant Veterinary Officer posted to Butanda Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
9. Rweigyema Hirary, Assistant Agricultural Officer posted to Katuna Town Council was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
10. Kabigumira Barnet, Assistant Veterinary Officer posted to Kamuganguzi Sub County was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers  Maximum score 4	a) Evidence that the District Production Coordinator has;  Taken corrective actions: Score 1 or else 0	<b>There was no evidence to show that the District Production Coordinator had taken corrective action based on the appraisals.</b>	<b>0</b>
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers  Maximum score 4	b) Evidence that:  i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0	No training plan was availed	<b>0</b>

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	ii Evidence that training activities were documented in the training database: Score 1 or else 0	No training database availed	<b>0</b>
	Maximum score 4			

**Management, Monitoring and Supervision of Services.**

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.	a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 - 75% capital development; and 25% complementary services): Score 2 or else 0	<p>KDLG Micro-scale irrigation program is in its second year of operation and for the current FY2023/2024, allocation has been made for complimentary services (awareness rising of leaders and farmers, demonstration establishment and farm visits - making 25%) and capital development (75%), making 100%.</p> <p>In the budget of FY2023/2024, the following allocations have been made:</p> <p>Capital Development is UGX275,846,624 (75%)</p> <p>Complementary services UGX91,948,875 (25%)</p> <p>TOTAL BUDGET UGX367,795,499 (100%)</p> <p>The allocations were made in accordance with Micro-scale irrigation grant allocation guidelines.</p>	<b>2</b>
	Maximum score 10			



Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum score 10

b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0

KDLG Micro-scale irrigation program has been running and for the current year FY2023/2024, allocations have been made for complimentary services in line with MAIF Guidelines.

Complementary services should include a maximum 25% on enhancing LG capacity to support irrigated agriculture (Awareness leaders and procurement) and 75% on enhancing farmer capacity (Awareness farmers, Farm visits, Irrigation demos and Farmer field schools). For KDLG, the financial breakdown was as follows:

Awareness - LG Leaders  
UGX13,792,331 (15%)

Procurement UGX9,194,887 (10%)

Awareness - Farmers UGX18,389,775 (20%)

Farm Visits UGX13,792,331 (15%)

Irrigation Demos UGX18,389,775 (20%)

Farmer Field Schools UGX18,389,775 (20%)

TOTAL COMPLEMENTARY SERVICES  
UGX91,948,875.

For FY2023/2024, allocations have been made for Complementary Services in accordance with the guidelines.

Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum score 10

c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0

Kabale DLG FY2023/2024 Micro scale irrigation budget of UGX367,795,499 is government grant gotten through a supplementary budget and is 100% GoU funded. It doesn't yet have a co-funding element embedded.

There was no evidence that the co-funding is reflected in the LG FY2023/2024 Budget and allocations made as per laid down Micro-scale irrigation guidelines, though effort is being made to go this direction.

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>As at the time of this assessment, for FY2023/2024, Kabale DLG Micro scale irrigation budget was still 100% GoU funded without an element of co-funding.</p>	0
<p>Maximum score 10</p>			<p>For FY2023/2024, KDLG Micro-scale total budget is UGX367,795,499 and is 100% GoU funded.</p>	
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>In DTPC meeting No. 01, FY 2022/2023 held on 16th August 2022 in the district council hall, under minute number Min/07/2022/2023, the DPO notified the DTPC that they had received the guidelines for micro-scale irrigation grant in which he highlighted on the co-funding modality.</p>	2
<p>Maximum score 10</p>			<p>Also, the DPO availed copies of the brochures that were shared to the farmers together with distribution lists.</p>	
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p>	<p>The DPO did not avail monthly monitoring reports for the previous FY. Also, it should be noted that the micro-scale irrigation demonstration sites were installed in the last month of the previous FY, so no monitoring was conducted in the previous FY.</p>	0
<p>Maximum score 8</p>		<ul style="list-style-type: none"> <li>• If more than 90% of the micro-irrigation equipment monitored: Score 2</li> </ul>		
		<ul style="list-style-type: none"> <li>• 70-89% monitored score 1</li> </ul>		
		<p>Less than 70% score 0</p>		
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p>	<p>b. Evidence that the LG has overseen technical training &amp; support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>Two of the host farmers of Irrigation demonstration facilities i.e. the Agriculture Teachers of Buhara and Kakomo Seed schools were interviewed and confirmed that the LG oversaw Technical training to them by the equipment supplier.</p>	2
<p>Maximum score 8</p>				

10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0</p>	<p>According to a training report dated 24th April 2023 prepared by the DPO, the LLG extension staff were taken to Rukungiri district for learning visits to train in practical sessions of farm visits on the 2nd and 24th of March, 2023.</p> <p>Therefore, the DLG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0</p>	<p>Report on preparation and conducting of farmer field day held on 27th July, 2023 at Kakomo irrigation demonstration site under Micro-scale irrigation demonstration site, dated 31st July 2023 indicates that on 27th July 2023, the DLG conducted a highly successful farmer field day attended by 61 farmers, 6 district officials and several LLG leaders.</p>	2
11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0</p>	<p>From the report on radio talk shows on micro-scale irrigation program prepared by the Communications officer Kabale DLG, it was evident that the DPO conducted radio talk shows as follows; 27th March 2023 (9:30pm-10:30pm)-Hope Radio FM, 20th April 2023(9:00pm-10:00pm)-Peak Radio, 11th May 2023, 25th May 2023, 22nd June 2023 and 27th July 2023(9:00pm-10:00pm)-Voice of Kigezi FM.</p> <p>Additionally, attendance lists were available for farmer field day at Maziba S/C on 27th July, 2023.</p> <p>Therefore, the LG has conducted activities to mobilize farmers as per guidelines</p>	2

11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0</p>	<p>In a report prepared by the SAE Mr. Ndyabanoha Tiragana Paulino, dated 24th March, 2023, it was noted that awareness raising to the LLG leadership and farmers as follow; 6th March 2023 in Kahunye LLG, on 9th March 2023 in Ryakarimira LLG, 14th may 2023, in kamuganguzi LLG and finally on 16th March 2023, it was conducted at Kibuga LLG with a total of 80 farmers sensitized.</p> <p>Attendance list dated 15/12/2023 for district level awareness raising in which one of the attendees was Hon. Twijukye Kenneth, District councilor (0789413800) among others.</p> <p>Therefore, the district has trained staff and sensitized political leaders at District and LLG levels.</p>	2
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### Investment Management

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0</p>	<p>The SAE availed a document titled “List of installed microscale irrigation equipment in Kabale district” containing 3 irrigation demonstration facilities in Buhara, Kokomo and Maziba S/Cs, listed by the name of host farmer, village, parish and subcount.</p>	2
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p>	<p>The SAE availed a database of application with an accurate and up to-date information on EOIs for both current and previous FYS. For example, the following random 2 EOIs on file (Turyatemba Godfrey of Maziba S/C- 0759381485 and Million Oworinawe of Kamuganguzi S/C 0776816146) were crossmatched with the content of MIS and confirmed to be in the physical file.</p>	2

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>According to farm visit report in Kyanamira S/C compiled by Baguma Deus Bagambana, the Principal Agric. Officer, farm visits were conducted in the subcounty on 2nd, 3rd, 4th, 5th, 8th and 9th May 2023.</p>	2
	<p>Maximum score 8</p>		<p>In another report on farm visit in kyamuganguzi S/C dated 4th May 2023, farm visits were conducted in the S/C on 18th, 19th and 27th of April, 2023.</p>	
			<p>additionally, agreements to proceed for quotation were in file for Barugahare Godfrey dated 20/5/2023, Mugisha ben dated 20/5/2023, and Henry kuraigire dated 20/05/2023 among others.</p>	
			<p>Therefore, the district has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI).</p>	
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>d) For DDEG financed projects:  Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p><b>There was no evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they had been approved by posting on the District and LLG noticeboards.</b></p>	0
	<p>Maximum score 8</p>			
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>There is Evidence that the micro- scale irrigation systems were incorporated in the LG approved procurement plan for the current FY (2023/24) i.e. Item No. 90 states:</p>	1
	<p>Maximum score 18</p>		<p>1. Agricultural Supplies and Services-Assorted equipment; with a Budgeted cost of UGX 312,500,000/=.</p>	
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>There is NO evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF).</p>	0
	<p>Maximum score 18</p>			

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0	There is evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria.	2
	Maximum score 18		<ol style="list-style-type: none"> <li><b>Under Min. No. CC/08/2022-2023 6(ii) in a meeting held on 31/3/2023,</b> the Contracts Committee approved the selection process for the Procurement of Micro-scale irrigation equipment for 03 demo sites in Maziba, Buhara amd Kitumba S/C.</li> </ol>	
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0	There is evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee.	1
	Maximum score 18		<ol style="list-style-type: none"> <li><b>Under Min. No. CC/11/2022-2023 (i) in a meeting held on 31/5/2023,</b> the Contracts Committee approved the Procurement of Micro-scale irrigation equipment for 03 demo sites in Maziba, Buhara amd Kitumba S/C.</li> </ol>	
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	This indicator is Not Applicable since the LG did not sign the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation as provided in the details below:	2
	Maximum score 18		<p>Five bidders were invited by the LG procurement unit for the bidding process. Out of the five, only one bidder submitted the bid (i.e. Rivan Engineering (U) Ltd that totaled to UGX58,937,696/=). The subject of procurement was the supply and installation of irrigation equipment for 3 Demo sites i.e. Kakomo Seed Secondary School, Kahondo coffee farm and Buhara Secondary School.</p>	

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	Three irrigation demonstration sites in Kitumba S/C, Buhara S/C and Maziba subcounty were sampled and checked and were found to be in conformity to the designs with the following details;	2
	Maximum score 18		<ol style="list-style-type: none"> <li>1. At Kakomo Seed SS in Kitumba S/C, the system was found to be 1.5 acres with drag hose, drip and sprinkler technologies with 5000Litre tank harvesting water from the roofs.</li> <li>2. At Buhara Seed SS, the system was found to have drip and sprinkler technologies, with 5000litre tank and solar surface pump</li> <li>3. At Maziba, the system was found to have sprinkler and drag hose technologies with 5000 litre tank and solar surface pump.</li> </ol>	
			Therefore, all the three demonstration sites were installed in line with the designs.	
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0	According to the site books the demo sites, the SAE monitored the sites as follows;	2
	Maximum score 18		Kakomo: 5th May, 13th July 2023: Buhara: 20th June 2023, 30th August 2023, 21st June 2013(SAO and PAO)	
			According to Monitoring and supervision reports dated 30th June, 2023 and 30th August 2023, the SAE and SAO conducted regular technical supervision of all the demo sites.	
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	h) Evidence that the LG has overseen the irrigation equipment supplier during: i. Testing the functionality of the installed equipment: Score 1 or else 0	The LG availed Evidence that it oversaw the irrigation equipment supplier during testing the functionality of the installed equipment during handover. According to the site books the SAE and other technical staff were at the sites for hand over and testing of equipment functionality on 5th may 2023 (Buhara and Kakomo seed SS) and 20th june at kahondo coffee farm in Maziba S/C.	1
	Maximum score 18			

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	The LG availed Evidence that it oversaw the irrigation equipment supplier during testing the functionality of the installed equipment during handover. According to the site books the SAE and other technical staff were at the sites for hand over on 5th may 2023 (Buhara and Kakomo seed SS) and 20th june at kahondo coffee farm in Maziba S/C.	1
Maximum score 18				
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	For FY2022/2023, KDLG Micro Scale Irrigation program being in its infancy stage had one vendor who supplied equipment for the three farmer demos. Payment to this vendor was made as follows:  Payment to Rivan Engineering Uganda Ltd for supply and installation of equipment for farmer demos (UGX59,313,290) – Requisition dated 08/06/2023, certified by District Engineer and Water Officer on 12/06/2023 and payment effected on 28/06/2023 (20 days)  The payment was made beyond the mandatory 14 days deadline.	0
Maximum score 18				
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	There is evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law as described below:  <b>1. Supply and installation of Micro scale irrigation equipment in 03 Demo sites of Buhara, Kakomo and Kahondo-KABA512/2022-2023/00006.</b> Under Min. No. CC/11/2022-2023 (i) in a meeting held on 31/5/2023, the Contracts Committee approved the Procurement of Micro-scale irrigation equipment for 03 demo sites in Maziba, Buhara amd Kitumba S/C. The contract agreement was signed on 10/5/2023.	2
Maximum score 18				

## Environment and Social Safeguards



14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0	There <b>was no evidence</b> that the Local Government had displayed details of the nature and avenues to address grievance prominently in multiple public areas	0
	Maximum score 6			
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	b) Micro-scale irrigation grievances have been: i). Recorded score 1 or else 0 ii). Investigated score 1 or else 0 iii). Responded to score 1 or else 0 iv). Reported on in line with LG grievance redress framework score 1 or else 0	There <b>was no evidence</b> that Micro-scale irrigation grievances recorded in line with LG grievance redress framework	0
	Maximum score 6			
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	b) Micro-scale irrigation grievances have been: ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	There <b>was no evidence</b> that Micro-scale irrigation grievances Investigated in line with LG grievance redress framework	0
	Maximum score 6			
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	b) Micro-scale irrigation grievances have been: iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	There <b>was no evidence</b> that Micro-scale irrigation grievances responded in line with LG grievance redress framework	0
	Maximum score 6			

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There <b>was no evidence</b> that Micro-scale irrigation grievances reported in line with LG grievance redress framework</p>	<b>0</b>
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**Environment and Social Requirements**

15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.</p> <p>score 2 or else 0</p>	<p>According to farm visit report in Kyanamira S/C compiled by Baguma Deus Bagambana, the Principal Agric. Officer, firm visits were conducted in the subcounty on 2nd, 3rd, 4th, 5th, 8th and 9th May 2023.</p> <p>In another report on farm visit in kyamuganguzi S/C dated 4th May 2023, farm visits were conducted in the S/C on 18th, 19th and 27th of April, 2023.</p> <p>MoUs between Kabale DLG and the following host farmers were seen; Buhara Secondary School (2nd May,2023), Eng. Turinawe Januarious (4th May,2023), Kakomo SS (14th June,2023).</p>	<b>2</b>
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Safeguards in the delivery of investments

Maximum score 6

b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.

i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0

**T h e r e was evidence** that Environmental, Social and Climate Change screening were carried out and where required, ESMPs developed, prior to installation of irrigation equipment.

1. Environmental, Social and Climate Change screening for the supply and installation of micro irrigation equipment for Buhara seed S.S was carried out on 29/3/2023, stamped and signed by both SEO and DCDO, a costed ESMP was prepared on 29/3/2023 at cost of Ugx 966,667 stamped and signed by both SEO and DCDO and it was incorporated In BoQ under B6.
2. Environmental, Social and Climate Change screening for the supply and installation of micro irrigation equipment for Eng Turinawe Bagamuhunda was carried out on 30/3/2023, stamped and signed by both SEO and DCDO, a costed ESMP was prepared on 30/3/2023 at cost of Ugx 966,667 stamped and signed by both SEO and DCDO and it was incorporated In BoQ under B6.2
3. Environmental, Social and Climate Change screening for the supply and installation of micro irrigation equipment for Kakomo S.S was carried out on 28/3/2023, stamped and signed by both SEO and DCDO, a costed ESMP was prepared on 28/3/2023 at cost of Ugx 966,667 stamped and signed by both SEO and DCDO and it was incorporated In BoQ under B6.2

15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	There <b>was evidence</b> for Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers  <ol style="list-style-type: none"> <li>1. Monitoring to verify the supply and installation of micro scale irrigation equipment for Eng. Turinawe Bagamuhunda was carried out 8/3/2023 stamped and signed by both SEO and DCDO, monthly monitoring reports were provided for review dated 8/3/2023, the project started 10/5/2023 and ended on 12/6/2023</li> <li>2. Monitoring to verify the supply and installation of micro scale irrigation equipment for Buhara seed S.S was carried out on 22/6/2023, stamped and signed by both SEO and DCDO monthly monitoring reports were provided for review dated 8/3/2023, the project started 10/5/2023 and ended on 12/6/2023</li> <li>3. Monitoring to verify the supply and installation of micro scale irrigation equipment for Kakoma S.S was carried out on 22/6/2023, stamped and signed by both SEO and DCDO monthly monitoring reports were provided for review dated 8/3/2023, the project started 10/5/2023 and ended on 12/6/2023.</li> </ol>	1
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	The E&S certification forms <b>were signed on 27/10/2023, but the payments were made on 12/6/23</b> , which makes the forms irrelevant for the payment since the were supposed to be signed before payments are made.	0
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	The E&S certification forms were signed on 27/10/2023, and the payments were made on 12/6/23, but the E&S certification forms are supposed to be signed prior to payments after monitoring to confirm compliance with ESMP. Therefore the <b>LG can't score</b> since the certifications forms were signed after payments were made.	0

**Crosscutting Minimum  
Conditions**

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Human Resource Management and Development</b>				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	<p>There was evidence that the position of Chief Finance Officer was substantively filled by Mujuni Julius appointed by the Chief Administrative Officer in a letter dated July 04, 2018 ref.: DSC 1/54/01 as directed by the District Service Commission under Minute No. 91/2018 (d) (1).</p> <p>The Chief Finance Officer, Mujuni Julius was appraised by Kalyesubula Fred, Chief Administrative Officer on July 31, 2023.</p>	<b>3</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	<p>There was evidence that the position of District Planner was substantively filled by Kakuru Boaz appointed by the Chief Administrative Officer in a letter dated October 06, 2020 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 31/2020 (b) (1).</p> <p>The District Planner, Kakuru Boaz was appraised by Kalyesubula Fred, Chief Administrative Officer on July 27, 2023.</p>	<b>3</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	<p>There was evidence that the position of District Engineer was substantively filled by Bagamuhunda Turinawe Januarious appointed by the Chief Administrative Officer in a letter dated September 22, 2017 ref.: DSC 1/54/01 as directed by the District Service Commission under Minute No. 79/2017 (1).</p> <p>The District Engineer, Bagamuhunda Turinawe Januarious was appraised by Kalyesubula Fred, Chief Administrative Officer on June 30, 2023.</p>	<b>3</b>

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	There was evidence that the position of District Natural Resources Officer was substantively filled by Tumwesigye Henry appointed by the Chief Administrative Officer in a letter dated August 03, 2022 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 67/2022 (a) 1.	3
The District Natural Resources Officer, Tumwesigye Henry was appraised by Kalyesubula Fred, Chief Administrative Officer on August 05, 2023.				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	There was evidence that the position of District Production Officer was substantively filled by Mwebesa Beda appointed by the Chief Administrative Officer in a letter dated June 14, 2016 ref.: DSC 1/54/01 as directed by the District Service Commission under Minute No. 101/2016 (ii).	3
The District Production Officer, Mwebesa Beda was appraised by Kalyesubula Fred, Chief Administrative Officer on August 08, 2023.				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	There was evidence that the position of District Community Development Officer was substantively filled by Namara Christopher appointed by the Chief Administrative Officer in a letter dated July 15, 2020 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 12/2020 (b) (1).	3
The District Community Development Officer, Namara Christopher was appraised by Kalyesubula Fred, Chief Administrative Officer on July 28, 2023.				

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	There was evidence that the position of District Commercial Officer was substantively filled by Natumanya Erasmus appointed by the Chief Administrative Officer in a letter dated June 20, 2019 ref.: DSC 1/54/01 as directed by the District Service Commission under Minute No. 43/2019 (ii).  The District Commercial Officer, Natumanya Erasmus was appraised by Kalyesubula Fred, Chief Administrative Officer on June 30, 2023.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	There was evidence that the position of Senior Procurement Officer was substantively filled by Atuheire Mercy appointed by the Chief Administrative Officer in a letter dated February 01, 2019 ref.: DSC 1/54/01 as directed by the District Service Commission under Minute No. 8/2019 (3).  The Senior Procurement Officer, Atuheire Mercy was appraised by Manzi Gordon, Principal Assistant Secretary on June 30. 2023.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	There was evidence that the position of Procurement Officer was substantively filled by Tyrinawe Alex appointed by the Chief Administrative Officer in a letter dated June 20, 2019 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 38/2019.  The Procurement Officer, Tyrinawe Alex was appraised by Manzi Gordon, Principal Assitant Secretary on June 30, 2023.	2

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>i. Principal Human Resource Officer, score 2 or else 0</p>	<p>There was evidence that the position of Principal Human Resource Officer (Administration) was substantively filled by Tushabomwe Jacenta appointed by the Chief Administrative Officer in a letter dated October 06, 2020 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 31/2020 (a) (9).</p> <p>The Principal Human Resource Officer (Administration), Tushabomwe Jacenta was appraised by Kalyesubula Fred, Chief Administrative Officer on July 28, 2023.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>j. A Senior Environment Officer, score 2 or else 0</p>	<p>There was evidence that the position of Senior Environment Officer was substantively filled by Asiimwe Evas appointed by the Chief Administrative Officer in a letter dated August 31, 2021 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 34/2021 (b) (3).</p> <p>The Senior Environment Officer, Asiimwe Evas was appraised by Manzi Gordon, Principal Assistant Secretary on September 19, 2023.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>k. Senior Land Management Officer /Physical Planner, score 2 or else 0</p>	<p>There was evidence that the position of Senior Land Management Officer was substantively filled by Asiimwe Joan appointed by the Chief Administrative Officer in a letter dated March 16, 2023 ref.: ADM 46/281/04 as directed by the District Service Commission under Minute No. 008/2023 (i) 2.</p> <p>The Senior Land Management Officer, Asiimwe Joan was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.</p>	2



1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>l. A Senior Accountant, score 2 or else 0</p>	<p>There was evidence that the position of Senior Accountant was substantively filled by Agaba Fred appointed by the Chief Administrative Officer in a letter dated November 01, 2022 ref.: DSC 49/50/02 as directed by the District Service Commission under Minute No. 81/2022 (g) 3.</p> <p>The Senior Accountant, Agaba Fred was appraised by Manzi Gordon, Principal Assistant Secretary on July 30, 2023.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0</p>	<p>There was evidence that the position of Principal Internal Auditor was substantively filled by Akampumuza Amon appointed by the Chief Administrative Officer in a letter dated August 31, 2021 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 34/2021 (a) (1).</p> <p>The Principal Internal Auditor , Akampumuza Amon was appraised by Kalyesubula Fred, Chief Administrative Officer on August 02, 2023.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p>	<p>There was evidence that the position of Principal Human Resource Officer (Secretary DSC) was substantively filled by Twinomugisha Edison Caleb appointed by the Chief Administrative Officer in a letter dated September 18, 2023 ref.: DSC 49/50/02 as directed by the District Service Commission under Minute No. 21/2023 (i) 1.</p> <p>The Principal Human Resource Officer (Secretary DSC), Twinomugisha Edison Caleb was appraised by Kalyesubula Fred, Chief Administrative Officer on August 02, 2023.</p>	2
2	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG Maximum score is 15</p>	<p>a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).</p>	<p>There <b>was evidence</b> that Kabale District had posted a Senior Assistant Secretary and a Town Clerk to all LLGs. Kabale District had 10 Sub Counties of Buhara, Butanda, Kaharo, Kahungye, Kamuganguzi, Kibuga, Kitumba, Kyanamira, Maziba, Rubaya and 2 Town Councils of Katuna, and Ryakaremira. The substantively appointed Senior Assistant Secretaries posted to the Sub Counties and Town Clerks posted to the Town Councils</p>	5

were:

1. Rindabo Zerida , Senior Assistant Secretary appointed by the Chief Administrative Officer in a letter dated February 01, 2019 ref.: DSC 1/54/01 as directed by the District Service Commission under Minute No. 8/2019 (2) and posted to Kamuganguzi Sub County. Rindabo Zerida was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
2. Mugisha Wilson Bikangiso , Senior Assistant Secretary appointed by the Chief Administrative Officer in a letter dated July 01, 2001 ref.: CR 214/3 as directed by the District Service Commission under Minute No. 60/(iii) (a) and posted to Kahungye Sub County. Mugisha Wilson Bikangiso was appraised by Manzi Gordon, Principal Assistant Secretary on June 28, 2023.
3. Ampeire Isaac , Senior Assistant Secretary appointed by the Chief Administrative Officer in a letter dated June 16, 2022 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 50/22 (iv) 2 and posted to Buhara Sub County. Ampeire Isaac was appraised by Manzi Gordon, Principal Assistant Secretary on July 18, 2023.
4. Rwamastone Milton , Senior Assistant Secretary appointed by the Chief Administrative Officer in a letter dated June 16, 2022 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 50/2022 (iv) 3 and posted to Rubaya Sub County. Rwamastone Milton was appraised by Manzi Gordon, Principal Assistant Secretary on August 28, 2023.
5. Byoruganda Wilson , Senior Assistant Secretary appointed by the Chief Administrative Officer in a letter dated November 12, 2019 ref.: DSC 1/54/01 as directed by the District Service Commission under Minute No. 51/2019 (d) (1) and posted to Kitumba Sub County. Byoruganda Wilson was appraised by Byiringiro Elizabeth Rita, Deputy Chief Administrative Officer on June 30, 2023.
6. Muagyehwenki Ivan , Senior Assistant Secretary appointed by the Chief Administrative Officer in a letter dated April 19, 2016 ref.: DSC 1/54/01 as directed by the District Service Commission

- under Minute No. 190/2015 and posted to Kyanamira Sub County. Muagyeuwenki Ivan was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
7. Besigomwe Vianne , Senior Assistant Secretary appointed by the Chief Administrative Officer in a letter dated June 16, 2022 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 50/2022 (iv) 1 and posted to Maziba Sub County. Besigomwe Vianne was appraised by Byiringiro Elizabeth Rita, Deputy Chief Administrative Officer on June 30, 2023.
  8. Ahimisibwe Confidence , Senior Assistant Secretary appointed by the Chief Administrative Officer in a letter dated June 01, 2023 ref.: ADM 62/28/01 as directed by the District Service Commission under Minute No. 25/2023 (i) 2 and posted to Kibuga Sub County. Ahimisibwe Confidence was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
  9. Kyomuhangi Lydia , Senior Assistant Secretary appointed by the Chief Administrative Officer in a letter dated January 17, 2007 ref.: CR 160/1 as directed by the District Service Commission under Minute No. 91/2006 (c ) (iii) and posted to Kaharo Sub County. Kyomuhangi Lydia was appraised by Manzi Gordon, Principal Assistant Secretary on "June 28, 2023.
  10. Akampurira Emmy , Senior Assistant Secretary appointed by the Chief Administrative Officer in a letter dated June 01, 2023 ref.: ADM 62/28/01 as directed by the District Service Commission under Minute No. 34/2023 (i) 1 and posted to Butanda Sub County. Akampurira Emmy was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
  11. Byarugaba Christmas , Principal Township Officer appointed by the Chief Administrative Officer in a letter dated November 01, 2022 ref.: ADM 49/50/02 as directed by the District Service Commission under Minute No. 81/2022 (a) 1 and posted to Katuna Town Council. Byarugaba Christmas was appraised by Kalyesubula Fred , Chief Administrative Officer on June 30, 2023.
  12. Akandinda Enos , Principal

Township Officer appointed by the Chief Administrative Officer in a letter dated November 01, 2022 ref.: ADM 49/50/02 as directed by the District Service Commission under Minute No. 81/2022 (b) 1 and posted to Ryakaremira Town Council. Akandinda Enos was appraised by Kalyesubula Fred , Chief Administrative Officer on August 03, 2023.

2

New\_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

There **was evidence** that Kabale District had posted a Community Development Officer and a Senior Community Development Officer to all LLGs. The substantively appointed officers were:

1. Sunday Hillary , Senior Community Development Officer appointed by the Chief Administrative Officer in a letter dated ref.: DSC 1/54/01 as directed by the District Service Commission under Minute No. 100/2018 (12) and posted to Ryakaremira Town Council. Sunday Hillary was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
2. Akampurira Moses , Senior Community Development Officer appointed by the Chief Administrative Officer in a letter dated March 13, 2022 ref.: ADM 49/50/02 as directed by the District Service Commission under Minute No. 005/2023 (i) e1 and posted to Katuna Town Council. Akampurira Moses was appraised by Manzi Gordon, Principal Assistant Secretary on July 21, 2023.
3. Tumwegyese Scholar , Community Development Officer appointed by the Chief Administrative Officer in a letter dated September 22, 2017 ref.: DSC 1/54/01 as directed by the District Service Commission under Minute No. 79/2017 (8) and posted to Buhara Sub County. Tumwegyese Scholar was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
4. Andinda Jill , Community Development Officer appointed by the Chief Administrative Officer in a letter dated December 16, 2008 ref.: CR 156/5 as directed by the District Service Commission under

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- Minute No. 90/2008 and posted to Kyanamira Sub County.  
Andinda Jill was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
5. Tukamushaba Edith , Community Development Officer appointed by the Chief Administrative Officer in a letter dated March 13, 2022 ref.: ADM 49/50/02 as directed by the District Service Commission under Minute No. 005/2023 (i) d1 and posted to Kitumba Sub County.  
Tukamushaba Edith was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
  6. Kemigisha Racheal , Community Development Officer appointed by the Chief Administrative Officer in a letter dated January 03, 2014 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 143/2013 and posted to Kamuganguzi Sub County.  
Kemigisha Racheal was appraised by Manzi Gordon, Principal Assistant Secretary on June 27, 2023.
  7. Akankwasa Florence , Community Development Officer appointed by the Chief Administrative Officer in a letter dated June 21, 2011 ref.: ADM 62/28/01 as directed by the District Service Commission under Minute No. 57/2011 (5) and posted to Kibuga Sub County  
Akankwasa Florence was appraised by Manzi Gordon, Principal Assistant Secretary on July 18, 2023.
  8. Atukwase Daphine Alicia , Community Development Officer appointed by the Chief Administrative Officer in a letter dated December 18, 2020 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 31/2020 (d) (1) and posted to Rubaya Sub County. Atukwase Daphine Alicia was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
  9. Kemigisha Marycent , Community Development Officer appointed by the Chief Administrative Officer in a letter dated March 10, 2017 ref.: as directed by the District Service Commission under Minute No. 35/2017 and posted to Butanda Sub County.  
Kemigisha Marycent was appraised by Namanya Emmy, Assistant Chief Administrative

2

New\_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

- Officer on July 30, 2023.
10. Arineitwe Prize , Community Development Officer appointed by the Chief Administrative Officer in a letter dated July 19, 2010 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 41/2010 A(i) and posted to Kaharo Sub County. Arineitwe Prize was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
11. Ahimbisibwe Jennifer , Community Development Officer appointed by the Chief Administrative Officer in a letter dated March 13, 2022 ref.: ADM 49/50/02 as directed by the District Service Commission under Minute No. 005/2023 (i) d2 and posted to Maziba Sub County. Ahimbisibwe Jennifer was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
12. Mugisha Kenneth , Community Development Officer appointed by the Chief Administrative Officer in a letter dated March 13, 2022 ref.: ADM 49/50/02 as directed by the District Service Commission under Minute No. 005/2023 (i) d3 and posted to Kahungye Sub County. Mugisha Kenneth was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.

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There **was evidence** that Kabale District had posted a Senior Accounts Assistant, senior Assistant Accountant, Assistant Accountant, Accounts Assistant, Treasurer or Senior Treasurer to all LLGs. The substantively appointed officers were:

1. Tworeke Sedrack , Senior Accounts Assitant appointed by the Chief Administrative Officer in a letter dated July 04, 2018 ref.: DSC 1/54/01 as directed by the District Service Commission under Minute No. 91/2018 (d) (2) and posted to Buhara Sub County. Tworeke Sedrack was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
2. Kyarisiima Lucky , Senior Accounts Assitant appointed by the Chief Administrative Officer in a letter dated February 16, 1998 ref.: NAF 34/1 as directed by the District Service Commission under Minute No. 210/97 (13) and posted to Kamuganguzi Sub County.

- Kyarisiima Lucky was appraised by Manzi Gordon, Principal Assistant Secretary on July 03, 2023.
3. Worinawe Patrick , Senior Accounts Assitant appointed by the Chief Administrative Officer in a letter dated March 16, 2004 ref.: CR 156/5 as directed by the District Service Commission under Minute No. 79/2003(xxi) and posted to Kyanamira Sub County. Worinawe Patrick was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
  4. Ariho Victor , Senior Accounts Assitant appointed by the Chief Administrative Officer in a letter dated July 08, 2018 ref.: DSC 1/54/01 as directed by the District Service Commission under Minute No. 110/2017 (53) and posted to Kaharo Sub County. Ariho Victor was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
  5. Biryomumeisho Fadison , Accounts Assistant appointed by the Chief Administrative Officer in a letter dated January 06, 2016 ref.: DSC 1/54/01 as directed by the District Service Commission under Minute No. 172/2015 (d) and posted to Kahungye Sub County. Biryomumeisho Fadison was appraised by Namanya Emmy, Assistant Chief Administrative Officer on June 30, 2023.
  6. Makuba Denavence , Senior Accounts Assitant appointed by the Chief Administrative Officer in a letter dated October 25, 2005 ref.: CR 160/1 as directed by the District Service Commission under Minute No. 97/2005 and posted to Kahungye Sub County. Makuba Denavence was appraised by Manzi Gordon, Principal Assistant Secretary on August 06, 2023.
  7. Tayebwa Simon , Accounts Assistant appointed by the Chief Administrative Officer in a letter dated February 01, 2022 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 6/2022 (i) 1 and posted to Katuna Town Council. Tayebwa Simon was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
  8. Twongyeirwe Priscilla , Accounts Assistant appointed by the Chief Administrative Officer in a letter

- dated June 20, 2019 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 34/2019 (4) and posted to Maziba Sub County. Twongyeirwe Priscilla was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
9. Kirabo Glorious , Accounts Assistant appointed by the Chief Administrative Officer in a letter dated June 20, 2019 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 34/2019 (3) and posted to Kamuganguzi Sub County. Kirabo Glorious was appraised by Namanya Emmy, Assistant Chief Administrative Officer on June 30, 2023.
  10. Akansinguza Moses , Accounts Assistant appointed by the Chief Administrative Officer in a letter dated February 16, 2022 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 28/2022 (f) 1 and posted to Kitumba Sub County. Akansinguza Moses was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
  11. Mbasiiima Tadeo , Senior Treasurer appointed by the Chief Administrative Officer in a letter dated March 13, 2022 ref.: ADM 49/50/02 as directed by the District Service Commission under Minute No. 005/2023 (i) c1 and posted to Ryakaremira Town Council. Mbasiiima Tadeo was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.
  12. Arinaitwe Annet , Senior Treasurer appointed by the Chief Administrative Officer in a letter dated August 31, 2021 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 34/2021 (b) (2) and posted to Katuna Town Council. Arinaitwe Annet was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.

## **Environment and Social Requirements**



3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	For KDLG Natural Resources what was budgeted for FY2022/2023 was UGX1,171,011,495. What was spent according to the report of the year ended 30 June 2023 was UGX1,163,383,593. The ratio of the expenditure to the budgeted funds was 99.3%, which was less than 100%.	0
	Maximum score is 4	a. Natural Resources department,  score 2 or else 0		
3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	For KDLG Community Based Services what was budgeted for FY2022/2023 was UGX282,553,138. What was spent according to the report of the year ended 30 June 2023 was UGX233,624,939. The ratio of the expenditure to the budgeted funds was 82.6%, which was less than 100%.	0
	Maximum score is 4	b. Community Based Services department.  score 2 or else 0.		
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	a. If the LG has carried out Environmental, Social and Climate Change screening,	There was evidence that LG has carried out Environmental, Social and Climate Change screening for all projects implemented using the DDEG for the previous FY	4
	Maximum score is 12	score 4 or else 0	1. Environmental, Social and Climate Change screening for the renovation of OPD at Rusikizi HC II, was carried out on 8/8/2022 stamped and signed by both SEO )Mrs. Asimwe Evas and DCDO (Mr Namara Christopher)	
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),	DDG project i.e. (screening of the renovation of OPD at Rusikizi HC II, was carried out on 8/8/2022), that was implemented by the district in the previous FY, was screened by SEO and DCDO, however after screening the project didn't qualify for detailed study (ESIA) according to NEA 2019 under schedule 4 and 5, therefore costed ESMP was prepared.	4
	Maximum score is 12	score 4 or 0		

4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>	<p>c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;</p> <p>score 4 or 0</p>	<p>There was evidence that LG had a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG)</p> <p>1. A costed ESMP for the Renovation of OPD at Rusikizi HC II, was prepared on 8/8/2022 stamped and signed by both SEO )Mrs. Asimwe Evas and DCDO (Mr Namara Christopher) at cost of ugx 900,000</p>	4
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**Financial management and reporting**

5	<p>Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.</p> <p>Maximum score is 10</p>	<p>If a LG has a clean audit opinion, score 10;</p> <p>If a LG has a qualified audit opinion, score 5</p> <p>If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0</p>	<p>Kabale DLG had an unqualified audit opinion for its FY2022/2023 final accounts.</p>	10
6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p> <p>maximum score is 10</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p> <p>score 10 or else 0.</p>	<p>As per the submissions at the IAG office and the records at Kabale DLG, a report on the implementation status of IAG recommendations for FY2021/2022 was submitted to the office of the Internal Auditor General on 01/03/2022. The report contained actions taken on 10 IAG and AG recommendations.</p> <p>The submission to the IAG was made before the previous FY February end deadline.</p>	10
7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p> <p>Maximum Score 4</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p> <p>score 4 or else 0.</p>	<p>According to the MoFPED inventory of submissions and records at the DLG, Kabale DLG Performance Contract for FY 2023/2024, signed by the Accounting Officer (CAO) was submitted on 25/07/2023. This was before the mandatory August 31 deadline.</p>	4

8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>According to the MoFPED inventory of submissions and records at the DLG, Kabale DLG Annual Performance Report for FY 2022/2023, signed by the Accounting Officer (CAO) was submitted on 09/08/2023.</p> <p>This was before the mandatory August 31 deadline.</p>	4
9	<p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p> <p>Maximum score is 4</p>	<p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>According to the MoFPED inventory of submissions and records at the DLG, Kabale DLG Quarterly Performance Reports for FY 2022/2023, signed by the Accounting Officer (CAO) were submitted as follows:</p> <p>Quarter 1 report on 06/01/2023</p> <p>Quarter 2 report on 13/02/2023</p> <p>Quarter 3 report on 03/05/2023</p> <p>Quarter 4 report on 01/08/2023</p> <p>All the quarterly performance reports for FY2022/2023 were submitted before the mandatory August 31 deadline.</p>	4

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	There <b>was evidence</b> that the position of District Education Officer was substantively filled by Tumwujukye Moses Bwengye appointed by the Chief Administrative Officer in a letter dated June 01, 2023 ref.: ADM 62/28/01 as directed by the District Service Commission under Minute No. 85/2023 (i) 2.  Tumwujukye Moses Bwengye was appraised by Kalyesubula Fred, Chief Administrative Officer on July 31, 2023.	<b>30</b>
	<i>The Maximum Score of 70</i>			
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	b) All District/Municipal Inspector of Schools, score 40 or else 0.	There was evidence that the position of Principal Inspector of Schools was substantively filled by Nabaasa Wilberforce appointed by the Chief Administrative Officer in a letter dated June 01, 2023 ref.: ADM 62/28/01 as directed by the District Service Commission under Minute No. 42/2023 (i) 5. Nabaasa Wilberforce was appraised by Manzi Gordon , Principal Assistant Secretary on July 03, 2023.  There was evidence that the position of Senior Inspector of Schools was substantively filled by Ampeire Robert appointed by the Chief Administrative Officer in a letter dated June 01, 2023 ref.: ADM 62/28/01 as directed by the District Service Commission under Minute No. 79/2023 (i) 3. Ampeire Robert was appraised by Manzi Gordon , Principal Assistant Secretary on August 04, 2023.  There was evidence that the position of Inspector of Schools was substantively filled by Turinawe Cossy appointed by the Chief Administrative Officer in a letter dated November 01, 2022 ref.: ADM 49/50/02 as directed by the District Service Commission under Minute No. 81/2022 (g) 1. Turinawe Cossy was appraised by Manzi Gordon , Principal Assistant Secretary on July 25, 2023.	<b>40</b>
	<i>The Maximum Score of 70</i>			

**Environment and Social Requirements**

2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

There was evidence that the LG carried out Environmental, Social and Climate Change screening/Environment or all Education projects for the previous FY

1. Environmental, Social and Climate Change screening for the construction of a 5 stance VIP latrine and urinal at Rotojo P/s was carried out on 16/8/2022, signed and stamped by both the SEO (Mrs. Asiimwe Evas) and DCDO (Mr. Namara Christopher)

2. Environmental, Social and Climate Change screening for the construction of a 5 stance VIP latrine and urinal at Karambwe P/s was carried out on 5/9/2022, signed and stamped by both the SEO (Mrs. Asiimwe Evas) and DCDO (Mr. Namara Christopher)

3. Environmental, Social and Climate Change screening for the construction of a 5 stance VIP latrine and urinal at Rwaza P/s was carried out on 5/9/2022, signed and stamped by both the SEO (Mrs. Asiimwe Evas) and DCDO (Mr. Namara Christopher)

The Maximum score is 30

15

2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

Education projects ie (screening for the or the construction of a 5 stance VIP latrine and urinal at Rotojo P/s was carried out on 16/8/2022, construction of a 5 stance VIP latrine and urinal at Karambwe P/s was carried out on 5/9/2022, construction of a 5 stance VIP latrine and urinal at Rwaza P/s was carried out on 5/9/2022), that were implemented by the district in the previous FY, were screened by SEO and DCDO, however after screening all projects didn't qualify for detailed study (ESIA) according to NEA 2019 under schedule 4 and 5, therefore costed ESMP was prepared.

The Maximum score is 30

15

Health Minimum  
Conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.</p>	<p>There <b>was evidence</b> that the position of District Health Officer was substantively filled by Dr. Mateeka Gilbert appointed by the Chief Administrative Officer in a letter dated August 03, 2022 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 67/2022 (b) 1. Dr. Mateeka Gilbert was appraised by Kalyesubula Fred, Chief Administrative Officer on June 30, 2023.</p>	<b>10</b>
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0</p>	<p><b>There was evidence</b> that the position of ADHO Maternal, Child Health and Nursing was substantively filled by Mwesigye Patrick Paddy appointed by the Chief Administrative Officer in a letter dated March 11, 2021 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 07/2021 (d) (1).</p> <p>Mwesigye Patrick Paddy was appraised by Manzi Gordon, Principal Assistant Secretary on July 30, 2023.</p>	<b>10</b>
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>c. Assistant District Health Officer Environmental Health, score 10 or else 0.</p>	<p><b>There was evidence</b> that the position of Assistant District Health Officer Environmental Health was substantively filled by Namanya Oliver appointed by the Chief Administrative Officer in a letter dated June 20, 2019 ref.: DSC 1/54/01 as directed by the District Service Commission under Minute No. 43/2019 (i).</p> <p>Namanya Oliver was appraised by Manzi Gordon, Principal Assistant Secretary on July 30, 2023.</p>	<b>10</b>
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.</p>	<p><b>There was evidence</b> that the position of Senior Environment Officer was substantively filled by Kabyemera Edmond appointed by the Chief Administrative Officer in a letter dated November 12, 2019 ref.: DSC 1/54/01 as directed by the District Service Commission under Minute No. 51/2019 (d) (2).</p> <p>Kabyemera Edmond was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.</p>	<b>10</b>

1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>e. Senior Health Educator, score 10 or else 0.</p>	<p>There was no evidence that the position of Senior Health Educator was substantively filled. There was no secondment from the line ministry Besigensi Alfred appointed by the Chief Administrative Officer in a letter dated March 11, 2021 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 07/2021 (a) (3). Besigensi Alfred was appraised by Namanya Emmy, Assistant Chief Administrative Officer on July 30, 2023.</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>There was evidence that the position of Biostatistician was substantively filled by Beinomugisha Sauya appointed by the Chief Administrative Officer in a letter dated September 22, 2017 ref.: DSC 1/54/01 as directed by the District Service Commission under Minute No. 80/2017 (3). Beinomugisha Sauya was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>There was evidence that the position of District Cold Chain Technician was substantively filled by Mabale Sabiiti Godfrey appointed by the Chief Administrative Officer in a letter dated November 05, 1992 ref.: K.C.APP.1 as directed by the District Service Commission under Minute No. 42/92. Mabale Sabiiti Godfrey was appraised by Manzi Gordon, Principal Assistant Secretary on August 14, 2023.</p>	10
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>i. Principal Health Inspector, score 20 or else 0.</p>		

- 1 New\_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.

*Applicable to MCs only.*

*Maximum score is 70*

j. Health Educator, score 20 or else 0

## Environment and Social Requirements

- |   |   |   |  |           |
|---|---|---|--|-----------|
| 2 | <p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p> | <p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p> | <p>There was evidence that the LG carried out Environmental, Social and Climate Change screening Form for all Health projects for the current FY</p> <p>1. Environmental, Social and Climate Change screening for the construction of a retention wall at Kahondo HC III was carried out on 3/8/2023, stamped and signed by both the SEO and DCDO.</p> <p>2. Environmental, Social and Climate Change screening for the renovation of OPD at Kanjobe HC II was carried out on 3/8/2023, stamped and signed by both the SEO and DCDO.</p> <p>3. Environmental, Social and Climate Change screening for the completion of OPD at Muyumbu HC II was carried out on 3/8/2023, stamped and signed by both the SEO and DCDO.</p> | <b>15</b> |
| 2 | <p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p> | <p>b. Social Impact Assessments (ESIAs) , score 15 or else 0.</p>   | <p>Health projects i.e. (screening for the or the construction of a retention wall at Kahondo HC III was carried out on 3/8/2023, renovation of OPD at Kanjobe HC II was carried out on 3/8/2023, completion of OPD at Muyumbu HC II was carried out on 3/8/2023), that were implemented by the district in the current FY, were screened by SEO and DCDO, however after screening all projects didn't qualify for detailed study (ESIA) according to NEA 2019 under schedule 4 and 5, therefore costed ESMP was prepared.</p>   | <b>15</b> |



**Micro-scale  
Irrigation Minimum  
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p>	<p>If the LG has recruited;</p> <p>a. the Senior Agriculture Engineer</p> <p><i>score 70 or else 0.</i></p>	<p>There was evidence that the position of Senior Agriculture Engineer was substantively filled by Ndyabanoha Tiragana Paulino appointed by the Chief Administrative Officer in a letter dated April 27, 2021 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 21/2021 (v) 1. The Senior Agriculture Engineer, Ndyabanoha Tiragana Paulino was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.</p>	<b>70</b>
<b>Environment and Social Requirements</b>				
2	<p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p>	<p>If the LG:</p> <p>Carried out Environmental, Social and Climate Change screening score 30 or else 0.</p>	<p><b>There was evidence that LG carried out Environmental, Social and Climate Change screening for all micro-scale irrigation projects</b></p> <p>1. Environmental, Social and Climate Change screening for the supply and installation of micro irrigation equipment for Buhara seed S.S was carried out on 29/3/2023, stamped and signed by both SEO and DCDO.</p> <p>2. Environmental, Social and Climate Change screening for the supply and installation of micro irrigation equipment for Eng Bagamuhunda was carried out on 30/3/2023, stamped and signed by both SEO and DCDO.</p> <p>3. Environmental, Social and Climate Change screening for the supply and installation of micro irrigation equipment for Kakomo S.S was carried out on 28/3/2023, stamped and signed by both SEO and DCDO.</p>	<b>30</b>

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	There was evidence that the position of Civil Engineer (Water) was substantively filled by Aharinta Patience Twine appointed by the Chief Administrative Officer in a letter dated August 31, 2021 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 34/2021 (d) 1.  Aharinta Patience Twine was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.	<b>15</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	There was evidence that the position of Assistant Water Officer for Mobilization was substantively filled by Akanyijuka Baram appointed by the Chief Administrative Officer in a letter dated July 06, 2022 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 63/2022 (i) 2.  Akanyijuka Baram was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.	<b>10</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	There was evidence that the position of Borehole Maintenance Technician/Assistant Engineering Officer was substantively filled by Ngabirano Spenser appointed by the Chief Administrative Officer in a letter dated July 01, 2020 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 6/2020 (d) 5.  Ngabirano Spenser was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.	<b>10</b>

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	There was evidence that the position of Natural Resources Officer was substantively filled by Tumwesigye Henry appointed by the Chief Administrative Officer in a letter dated August 03, 2022 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 67/2022 (a) 1.  Tumwesigye Henry was appraised by Kalyesubula Fred, Chief Administrative Officer on August 05, 2023.	<b>15</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	There was evidence that the position of Environment Officer was substantively filled by Akampurira Allan appointed by the Chief Administrative Officer in a letter dated August 31, 2021 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 34/2021 (b) (4).  Akampurira Allan was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.	<b>10</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	f. Forestry Officer, score 10 or else 0.	There was evidence that the position of Forestry Officer was substantively filled by Ariyo Benjamin appointed by the Chief Administrative Officer in a letter dated May 28, 2019 ref.: DSC 28/59/01 as directed by the District Service Commission under Minute No. 27/2019 (ii).  Ariyo Benjamin was appraised by Manzi Gordon, Principal Assistant Secretary on June 30, 2023.	<b>10</b>

**Environment and Social Requirements**

2	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects</p>	<p>If the LG: a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.</p>	<p>There was evidence that the LG carried out Environmental, Social and Climate Change screening/Environment for all water infrastructure projects for the previous FY</p> <ol style="list-style-type: none"> <li>1. Environmental, Social and Climate Change screening for the construction of a two stance VIP latrine at Nkumbura rural growth center was carried out on 8/7/2022, stamped and signed SEO and DCDO</li> <li>2. Environmental, Social and Climate Change screening for a piped water supply system construction of Buramba GFS and supply of water to Buramba HC III was carried out on 28/6/2022, stamped and signed SEO and DCDO</li> <li>3. Environmental, Social and Climate Change screening for rehabilitation of Kyabakonjo gravirt flow scheme was carried out on 30/6/2022, stamped and signed SEO and DCDO</li> </ol>	<b>10</b>
2	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects</p>	<p>b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.</p>	<p>The water projects i.e. (construction of a two stance VIP latrine at Nkumbura rural growth center was carried out on 8/7/2022, piped water supply system construction of Buramba GFS and supply of water to Buramba HC III, rehabilitation of Kyabakonjo gravity flow scheme was carried out on 30/6/2022) implemented by the district in the previous FY, were screened by EO and DCDO. however after screening all projects didn't qualify for detailed study (ESIA) according to NEA 2019 under schedule 4 and 5, therefore costed ESMP was prepared.</p>	<b>10</b>

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.

• According to Kabale DWO, at the end of FY 2022/2023, there was 42 operational piped water supply systems including: Kyabakonjo Gravity Flow Scheme and Buramba Gravity Flow Scheme in Buhara and Kahungye S/Counties.

• There was a document titled “Submission of Application forms for Abstraction Permits for Kyabakonjo Gravity Flow Scheme and Buramba Gravity Flow Scheme (REF: ADM 49/50/02)” submitted by CAO on 1st/11//2023 to Director Victoria Water Management Zone (VWMZ), received by DWRM, VWMZ on 2nd/11/2023.

• Also, there were two filled Application forms for Ground water permits for Kyabakonjo Gravity Flow Scheme and Buramba Gravity Flow Scheme and received by DWRM, VWMZ on 2nd/11/2023

• However, **Kabale DLG Water department had not acquired water abstraction permits for all piped water supply systems.**

• Therefore, Kabale DLG specifically the Water Department did not get water abstraction permit issued by DWRM thereby justifying a score zero (0)