



LGMSD 2022/23

Amuria District

(Vote Code: 565)

Assessment	Scores
Crosscutting Minimum Conditions	68%
Education Minimum Conditions	100%
Health Minimum Conditions	70%
Water & Environment Minimum Conditions	80%
Micro-scale Irrigation Minimum Conditions	100%
Crosscutting Performance Measures	82%
Educational Performance Measures	80%
Health Performance Measures	75%
Water & Environment Performance Measures	56%
Micro-scale Irrigation Performance Measures	74%

**Crosscutting
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): If so: Score 4 or else 0 	<p>The DLG implemented one project that was funded by DDEG during FY 2022/2023 phase seven as the project was implemented in phases. The project: Council Chambers at the District Head Quarters was budgeted at shs 90,000,000 as per page 10 of the approved budget for FY 2022/2023. The project was not completed nor functional and used for the purpose intended. It was visited by the assessor on 9th November, 2023. The DDEG project was under reference 148272 Construction or Rehabilitation Furnishing of Government Offices.</p>	4
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> By more than 5%, score 3 1 to 5% increase, score 2 If no increase, score 0 <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>In 2022,Amuria DLG did not conduct LLG assessment and in 2023,the average score in the overall LLG performance assessment was 74%.The variance couldn't be computed</p>	0
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> If 100% the projects were completed : Score 3 If 80-99%: Score 2 If below 80%: 0 	<p>The DLG implemented one project that was funded by DDEG during FY 2022/2023 phase seven as the project was implemented in phases. This was phase seven which was budgeted at shs 90,000,000 as per page 10 of the approved budget for FY 2022/2023. Shs 90,000,000 was spent during the FY 2022/2023 on the project as per quarterly budget performance report page 36.The level of completion was between 80 to 99%.</p>	2

3	Investment Performance Maximum 4 points on this performance measure	a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines: Score 2 or else score 0.	The only project that was funded by DDEG during FY 2022/2023 phase seven was budgeted at shs 90,000,000 out of which shs 90,000, 000 was fully spent on phase seven of the project.	2
3	Investment Performance Maximum 4 points on this performance measure	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates, score 2 or else score 0	The LG did not implement any DDEG project (physical construction work) in the Financial year 2022/2023 but made earlier payments of worth 90,000,000 for the works done under phase seven in the FY 2021/2022 and therefore no percentage contract price variations could be computed..	2

Performance Reporting and Performance Improvement

4	Accuracy of reported information Maximum 4 points on this Performance Measure	a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate, score 2 or else score 0	There was evidence that information on the positions filled in LLGs as per minimum staffing standards was accurate. In the 3 LLGs sampled, data obtained indicated as follows; 1. In Kuju SC, the approved staff structure provided for CDO and as per the staff list, this position had been filled by Ms. Akol Jane Francis 2. In Apeduru SC, Ms. Akurut Miriam was the SACOA on the staff list as provided for in the approved staff structure. 3. In Asamuk TC, Yechesikor Rose Mary was the Senior Accounts Assistant on the staff list, a position provided for in the approved staff structure.	2
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4

Accuracy of reported information

Maximum 4 points on this Performance Measure

b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:

• If 100 % in place: Score 2, else score 0.

Note: if there are no reports produced to review: Score 0

The DLG implemented one project; Council Chambers that was funded by DDEG during FY 2022/2023. This was phase 7 of the project budgeted at shs 90,000,000 as per district approved budget page 10. Total expenditure on the project amounted to shs 90,000,000 as per quarterly budget performance report for FY 2022/2023.

Various reports on the project were generated as follows:

District Engineer's reports dated 17th May, 2023 and 31st March, 2023 specifically on phase seven of the project contract reference Amun/565/WRKS/2021 - 2022/00005; quarterly budget performance reports produced as detailed below:

Quarter One on 15th December, 2022;

Quarter Two on 19th February, 2023; Quarter Three on 16th May, 2023; Quarter Four on 6th August, 2023.

2

5

N23_Reporting and Performance Improvement

Maximum 8 points on this Performance Measure

a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;

If there is no difference in the assessment results of the LG and national assessment in all LLGs

score 4 or else 0

NB: The Source is the OPAMS Data Generated by OPM.

The LG did not conduct a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise. One sub county (Kuju SC) had a deviation of greater than 10% as indicated in the matrix below

SUB COUNTY	LG SCORE	IVA SCORE	VARIANCE	COMMENT
Kuju Sc	78	92	14	NOT CREDIBLE
Orungo TC	84	81	-3	CREDIBLE
ABIA SC	54	55	1	CREDIBLE
APEDURU SC	87	82	-5	CREDIBLE

0

5	N23_Reporting and Performance Improvement	b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.	There was evidence that the district developed Performance Improvement Plans for at least 30% of the lowest performing LLGs for FY 2023/2024, based on the previous assessment results. According to the LLGs Performance Assessment Results Summary 2021/22, the lowest performing LLGs were 4 which included;	2
	Maximum 8 points on this Performance Measure	Score: 2 or else score 0	<ol style="list-style-type: none"> 1. Kuju SC - 27 2. Akeriau - 38 3. Asamuk - 40 4. Apeduru - 41 <p>It should be noted that, Amuria was one of the LGs considered not to have undertaken LLG Assessment FY 2021/22 simply because the process wasn't completed. Because of that, the internal results were not quality assured, approved and submitted to Office of the Prime Minister by CAO.</p> <p>Although the situation was like that, the LG went ahead and prepared the PIP for each of the above mentioned LLGs to address some of the issues that had caused poor performance such as Lack of activity reports, Meeting minutes, poor records management, failure to appraise staff, failure to adhere to deadlines etc. For stance one of the PIP was;</p> <ul style="list-style-type: none"> • To conduct appraisal for Extension workers and Health facility in charges by 30th June every year. 	
5	N23_Reporting and Performance Improvement	c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:	There was evidence that the district implemented PIP for the 30% lowest performing LLGs in the previous FY which boasted performance of these LLGs in this current FY. For stance,	2
	Maximum 8 points on this Performance Measure	Score 2 or else score 0	<ul style="list-style-type: none"> • Staff appraisal was conducted for FY 2022/23 though still time frame wasn't complied with. Some were appraised after 30th June 2023 while others were completely not appraised at all. Nevertheless, there was evidence that performance for these LLGs improved as shown below; <p>LLG 2021/22 2022/23</p> <p>Kuju SC 27 78</p> <p>Akeriau 38 57</p> <p>Asamuk 40 58</p> <p>Apeduru 41 87</p>	

Human Resource Management and Development

6	<p>Budgeting for and actual recruitment and deployment of staff</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG consolidated and submitted the staffing requirements for the coming FY 2023/24 to the MoPS by 30th September of the current FY. Evidence obtained from HRM revealed that the recruitment plan and requisition of wage funds dated 3/03/2022 worth 5,514,695,114/= was submitted to PS but date for acknowledgement of receipt of submission was 18/3/2022</p>	2
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG conducted daily tracking of attendance and monthly analysis were being done. Forestine;</p> <p>On 3/7/2023, the SHRO submitted the attendance analysis report to CAO for the month of June 2023. In that analysis, I observed a high rate of absenteeism among staff in Amuria District. For example, Akiror Stella (Senior Health Educator) was absent for the whole month and this had a direct impact on service delivery.</p>	2
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p>	<p>There was evidence that the LG conducted appraisal for HODs. However, majority were not appraised. For details, see findings below;</p> <ol style="list-style-type: none"> 1. Dr. Cheli Peter (DPO) was appraised on 30/6/2023 2. Osuku Julius (Principal Internal Auditor) was appraised on 30/6/2023 3. All the other HODs were not appraised and they include; Okwii Patrick (Ag. CFO), Akelem Emmanuel (District Planner), Julius Eonyu Elolu (Ag District Eng), Okodel Francis (Ag. District Commercial Officer), Akol Anne (Snr. Procurement), Onanyang Martha (PHRO), Dr. OkwiNick (DHO), Odeke Charles (DNRO) 	0

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to "a" above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>The LG implemented administrative rewards and sanctions. The rewards and sanctions Committee was established and composed of five members as indicated below;</p> <ol style="list-style-type: none"> 1. Wanono Paul (DCAO) 2. Onyait O Silver (Town Clerk) 3. Akello Rhoda (SCDO) 4. Ejupu Martin Opaga (PHRO) 5. Okilla Paul (Inspector Schools) <p>The committee held a meeting on 24/3/2022 in the Office of DCAO. In the meeting Mr. Dotti Oriokot Joseph (Lab Asst) was being accused of abscondment from duty. However, while appearing before the committee, he was remorseful and asked for forgiveness since he was passing blood stool that made his skin turn pale. The committee resolved to advise him to take sick leave to complete treatment.</p>	1
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>The DLG did not have a Consultative Committee in place. However, there was a Grievance and Redress Committee and its membership composition had 9 members who included;</p> <ol style="list-style-type: none"> 1. Apio Rebecca (PAS) 2. Akello Rhoda (DCDO) 3. Okello Godfrey (District Eng) 4. Ebiru Nathan (NGO Rep) 5. Acom Kelen (DEO) 6. Dr. Okwi Nick (DHO) 7. Odeke Charles (DNRO) 8. Mutumba Raymond (SAEO) 9. Egunyu Pampas (VC. Chairperson-Youth Councilor) 	0

8	<p>Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:</p> <p>Score 1.</p>	<p>The DLG recruited 34 staff in FY 2022/23 but 30% of them never accessed payroll within two months from date of appointment. They included;</p> <ol style="list-style-type: none"> 1. Kulume Martha (Educ Asst II) appointed on 1/6/2023 and accessed payroll in September 2023 2. Aremu Richard (Educ Asst II) appointed 1/6/2023 and accessed payroll September 2023 3. Okiror Edmond (Educ Asst II) appointed on 1/6/2023 and accessed payroll September 2023 4. Ekadit Fredrick Julius (Educ Asst II) appointed on 1/6/2023 and accessed payroll September 2023 5. Ojirot Julius (Commercial Officer) never had date of appointment but accessed payroll in June 2023 6. Ateli Francis, 7. Ikoiku Caroline, 8. Iyatu Stella Rose, 9. Okalang John and 10. Acham Ann Godlever were all Educ Assistants who were appointed on 1/6/2023 and accessed payroll in September 2023 	0
9	<p>Pension Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:</p> <p>Score 1.</p>	<p>The DLG retired 7 staff but of those who retired, only 42% accessed pension payroll within two months from the date of retirement as shown below;</p> <ol style="list-style-type: none"> 1. Ekopu James (Educ Asst) retired 20/7/2022 and accessed pension payroll in August 2022 2. Episu Marcel (HT) retired 27/12/2022 and accessed pension in January 2023 3. Ecahu Gabriel (PC) retired on 1/9/2022 and accessed pension in October 2022 4. Emolu Paul (Educ Asst) retired on 25/12/2022 and accessed pension payroll in June 2023 5. Abwangu Silver (Educ Asst) retired on 5/8/2022 and accessed pension in June 2023 6. Agelu Hassan (DHT) retired on 24/7/2022 and accessed pension payroll in November 2022 7. Ewou Patrick Eukot (Driver) retired on 19/2/2023 and accessed pension payroll in August 2023 	0

Management, Monitoring and Supervision of Services.

10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:</p> <p>Score 2 or else score 0</p>	<p>The DLG received DDEG funds amounting to shs 230,886,306 in total as transferrable to LLGs based on guidelines during FY 2022/2023. This is reflected on page 57 of the draft final accounts of the district for FY 2022/2023.</p> <p>The transfer of DDEG funds during FY 2022/2023 was effected as per guidelines. Examples:</p> <p>(i) Shs 1,250,016 was transferred to Olwa sub county per payment voucher number 2600450 dated 9th December, 2022. (ii) Shs 8,391,087 was transferred to Asamuk sub county as per payment voucher number 1759202 dated 1st November, 2022. (iii) Shs 14,330,305 was transferred to Apeduru sub county as per payment voucher number 3579252 dated 1st February, 2023.</p> <p>(iv) Shs 21,992,397 was transferred to Abarilela sub county on payment voucher number 3577035 dated 1st February, 2023. All sub counties that received the transferred DDEG funds acknowledged receipt of the funds through issuance of respective official receipts.</p>
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10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. If the LG did timely warranting/verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget: Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).</p> <p>Score: 2 or else score 0</p>	<p>The warranting for the third quarter was done beyond the required 5 working days, as indicated below. In quarter 1, the DLG did not receive funding from DDEG, In quarter 2, the DLG received communication from PS/ST on cash limits on October 17, 2022, under reference MEP.50/268/01. Warranting was done on October 21, 2022. Invoicing was done on October 28, 2022. Transfers to LLGs were done on November 1, 2022. Communication to LLGs by the CAO was done on November 1, 2022, In quarter three, the DLG received communication from PS/ST on cash limits on 29th December, 2022 under reference MEP.50/268/01. Warranting was done on 6th January, 2023. Invoicing was done on 10th January, 2023. Transfers to LLGs was done on 16th January, 2023. Communication to LLGs by the CAO was done on 1st February, 2023, In quarter four, the DLG received communication from PS/ST on cash limits on 6th April, 2023 under reference MEP.50/268/01. Warranting was done on 10th April, 2023. Invoicing was done on 17th April, 2023. Transfers to LLGs was done on 24th April, 2023. Communication to LLGs by the CAO was done on 24th April, 2023.</p> <p>The DLG did not comply with the timely (5 working days from the date of receipt of releases from MoFPED) warranting /verification of direct DDEG transfers to LLGs in accordance to the requirements of the budget.</p>	0
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10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:</p> <p>Score 2 or else score 0</p>	<p>The DLG invoiced and communicated all DDEG transfers for the previous FY to LLGs beyond the mandatory 5 working days from the date of funds release in each quarter. In quarter one, invoicing was done on 15th August, 2022 and communication to transfers to LLGs was done on 20th August, 2022 as per circular letter dated 19th August, 2022 under reference ADLG/67/DDEG/2022-2023. The circular letter was pinned on the district notice board at the district headquarters. The same communication was verified at Asamuk TC, Amuria TC and Kujū sub county.</p>	0
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>The DLG supervised and mentored all LLGs in the District at least once per quarter consistent with guidelines: The activity was done on quarterly basis, various issue discussed and reports accordingly produced.</p> <p>In quarter one, mentoring and supervision report was produced on 29th September, 2022. Issues discussed included planning and budgeting in a LG. In quarter two, the report was produced on 18th October, 2022 and issues discussed covered review of the district development plan. In quarter three, the report was produced on 31st March, 2023 and covered handling of the Management Information System. In quarter four, the report was produced on 30th June, 2023 and discussed the assessment of local governments.</p>	2
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>	<p>The results/reports of support supervision and monitoring visits were discussed in the DTPC, used by the District to make recommendations for corrective actions and followed-up:</p> <p>The DTPC meeting held on 29th September, 2022 discussed quarter one supervision and mentoring report under minute reference MIN 05/09/2022. The DTPC meeting held on 27th April, 2023 discussed mentoring and supervision report for quarter three under minute reference MIN 06/04/2023.</p> <p>The DTPC meeting held on 3rd August, 2023 discussed quarter four supervision and mentoring report under minute reference MIN 05/08/2023.</p>	2

Investment Management

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</p>	<p>The DLG maintained an up dated asset register to 30th September, 2023. All categories of assets like buildings, furniture, equipment, land, motor cycles were captured in the computerised assets register under IFMS. Examples: (i) Motor vehicle registration number LG 004-58 procured on 15th March, 2007 for the Works Department under reference 34/890/0027. (ii) Motor Cycle registration number LG0052 - 007 procured in FY 2019/2020 for the District Council. Asset reference 226/12/988.</p> <p>(iii) Furniture under asset reference EU/DINU/AMU/WKS/2023 -13 for the Water Department.</p> <p>The district complied with instructions and guidelines that were provided by the Accountant General in MOFPED.</p>
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>The Board of Survey report for the DLG for FY 2021/2022 was submitted to the Accountant General through CAO's letter dated 29th August, 2022 under reference CR/ADLG/159/1 The CAO's letter was copied to PS MOLG, IAG, MOFPED, RDC, LAGPAC and OAG. The Accountant General, OAG, MOFPED, MOLG acknowledged receipt of the report on 2nd September, 2022.</p> <p>Recommendations that were raised in the Board of Survey Report for FY 2021/2022 included among others engraving of the district assets, up dating of the assets registers, titling of the district land especially for schools and health centers, capacity building initiatives for the DLG staff in respect of asset management, boarding off of council assets.</p> <p>All the recommendations had been cleared at the time of the assessment.</p>
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Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.

There was a functional Physical Planning Committee that was constituted and appointed by the CAO with 15 members. The committee convened meetings on quarterly basis. Meetings were held as detailed below and minutes accordingly submitted to MLHUD as provided hereunder:

In quarter one, the Physical Planning Committee met on 25th July, 2022 and minutes were submitted on 19th May, 2023; In quarter two, the Committee met on 16th December, 2022 and minutes were submitted on 19th May, 2023; In quarter three, the Committee met on 21st February, 2023 and minutes were submitted on 10th March, 2023; in quarter four, the Committee met on 17th April, 2023 and minutes were submitted on 14th October, 2023.

Members of the Physical Planning Committee were appointed by the CAO vide letter referenced CR/ADLG/156/2

dated 4th October, 2023. The members:

1. The Chief Administrative Officer (CAO) and Chair person.;
2. Physical Planner;
3. TC Asamuk TC;
4. DHO'
5. DEO;
6. Environment Officer;
7. DE;
8. Agriculture Officer;
9. Natural Resources Officer;
10. DCDO;
11. Staff Surveyor;
12. TC Orungo TC
13. TC Amuria TC.
14. TC Wera TC
15. DWO

There was no evidence that the Physical Development Plan was in place.

The Building Plan Registration Book was in place and up dated to 20th September, 2023.

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d.For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>The DLG implemented one project that was funded by DDEG during FY 2022/2023. Council Chambers Phase 07 and was budgeted at shs 90,000,000 as per page 10 of the approved budget for FY 2022/2023. The funds as budgeted for the project were spent 100% as detailed on page 16 of the AWP. The project was captured on page 28 of the DDP III. The Desk Appraisal Report was dated 22nd February, 2018 while the Field Appraisal report was dated 9th May, 2019.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>The DLG implemented the same project under DDEG funding- Council Chambers under Phase 08 budgeted for shs 220,000,000 as per page 08 of the district approved budget for FY 2023/2024. The project was captured in the DDP III page 190 and AWP page 10. Appraisal reports were produced as detailed below:</p> <p>Field appraisal report was dated 9th May, 2019 and desk appraisal report was dated 22nd February, 18. Environmental issues were adequately covered as there was only one project funded under DDEG.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>The DLG implemented one DDEG (Phase 08) project - Council Chambers under Phase 08 budgeted for shs 220,000,000 as per page 08 of the district approved budget for FY 2023/2024. The project was captured in the DDP III page 190 and AWP page 10. The project profiles with costing were developed and discussed in the DTPC meeting held on 27th April, 2023 under minute MIN05/04/2023</p>	1

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>LG had screened for environmental and social risks/impact and put mitigation measures were required before being approved for construction using checklists for FY 2023/2024 as evidenced by the following screening forms by the EO & DCDO;</p> <ul style="list-style-type: none"> • E&S Screening forms for the drilling of a production well in Morungatuny Seed School by the EO and DCDO on 27/09/2023 • E&S Screening forms for the hydrological survey, drilling and installation of a deep well at Odebei Village by the EO and DCDO on 22/09/2023 • E&S Screening forms for the hydrological survey, drilling and installation of a deep well at Aojaingur Cell by the EO and DCDO on 22/09/2023 	2
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>Amuria DLG incorporated all FY 2023/2024 DDEG infrastructure projects in the LG approved procurement plan approved on 7th/05/ 2023 as indicated on page 2 of the procurement plan. Such projects included the phase VIII construction of the council chamber at a planned amount of Ugx 209,000,000.</p>	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0</p>	<p>The contracts committee of Amuria DLG approved the DDEG infrastructure project (Phase VIII construction of the council chamber) for the Fy 2023/2024 under minute min4/ADCC/07-2/22-23 of the minutes of the contracts committee dated 24/07/2023.</p>	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:</p> <p>Score 1 or else 0</p>	<p>The LG did not implement any physical project under DDEG in FY 2022-2023 that would require the formation of the project implementation team. However,shs 90,000,000 was paid to the contractor in the FY 2022/2023 as arrears for the phase seven works of the construction of the council chamber that were done in FY 2021/2022</p>	1

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer: Score 1 or else score 0	The LG did not implement any physical project under DDEG in the FY 2022/2023 that would require checking for standard technical design compliance. However, shs 90,000,000 was paid to the contractor in FY 2022/2023 as arrears for the phase seven works of the construction of the council chamber that were done in FY 2021/2022	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0	The LG did not implement any physical projects under DDEG in the FY 2022/2023 that would require supervision by the relevant technical officers. However, shs 90,000,000 was paid to the contractor in FY 2022/2023 as arrears for the phase seven works of the construction of the council chamber that were done in FY 2021/2022.	2
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement): Score 1 or else score 0	The LG did not implement any physical projects under DDEG in the FY 2022/2023 that would require verification of works (certification) and initiation of payments of contractors. However, shs 90,000,000 was paid to the contractor in FY 2022/2023 as arrears for the phase seven works of the construction of the council chamber that were done in FY 2021/2022	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law: Score 1 or else 0	The LG did not implement any physical project under DDEG in the FY 2022/2023 that would require checking for completeness of the procurement files. However, shs 90,000,000 was paid to the contractor in FY 2022/2023 as arrears for the phase seven works of the construction of the council chamber that were done in FY 2021/2022	1

Environment and Social Safeguards

14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.</p> <p>Score: 2 or else score 0</p>	<p>LG had designated a person to coordinate response to feed-back (grievance / complaints) as evidenced by the Appointment letter of duty letter of Ms Akello Rhoda (Senior CDO) the DCDO by the CAO on 20/07/2017</p> <p>The District had also established a Central Grievance Redress Committee as evidenced by the Appointment letters of the 9 committee members by the CAO as follows;</p> <ul style="list-style-type: none"> • Mr Kaleeba Peter (Deputy CAO) - Committee Chairperson on 15/03/2023 • Ms Apio Rebecca (Ag DCDO) - Committee Secretary on 15/03/2023 • Mr Eonya Julius Elolu (Ag DE) - Committee Member on 15/03/2023 • Ms Mutumba Raymond (Senior Agriculture Engineering Officer) - Committee Member on 15/03/2023 • Ms Okello Godfrey Kennedy (Assistant Engineering Officer) - Committee Member on 15/03/2023 • Dr Okwi Nick (Ag DHO) - Committee on 15/03/2023 • Mr Odeke Charles (DNRO) - Committee Member on 15/03/2023 • Ms Acom Kelen (DEO) - Committee Member on 15/03/2023 • Mr Eguny Pampas (Youth Representative)- Committee Member on Committee 	2
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.</p> <p>If so: Score 2 or else 0</p>	<p>LG had a specified system for Recording, investigating and responding to grievances as evidenced by the GRC Log Book dated "2022-2023"</p>	2

14	Grievance redress mechanism operational.	c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.	LG had a list of Grievance handling mechanism and reporting publicized on the district notice board by the Grievance Focal Person dated 15/03/2023	1
	Maximum 5 points on this performance measure	If so: Score 1 or else 0		
15	Safeguards for service delivery of investments effectively handled.	a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0	Environment, Social and Climate change interventions were integrated into LG Development Plans as evidenced by item 2.4.4 (Community Development and Social Protection) page 52 and LGDP Program 3 (Water, Climate Change and Environment Management) page 68 of the DDP III of February 2021 (2020/2021-2024/2025)	1
	Maximum 11 points on this performance measure			
15	Safeguards for service delivery of investments effectively handled.	b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management	LG had disseminated the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation to LLGs as evidenced by Item 6 on the agenda (dissemination of DDEG guidelines) page 2 under minute 06/02/2022 page 4 of the minutes of the District Technical Planning Committee held on 23/02/2022	1
	Maximum 11 points on this performance measure	score 1 or else 0		

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	(For investments financed from the DDEG other than health, education, water, and irrigation): c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary: score 3 or else score 0	N/A because the LG did not execute any DDEG project in FY 2022/2023	3
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	d. Examples of projects with costing of the additional impact from climate change. Score 3 or else score 0	N/A because the LG did not execute any DDEG project in FY 2022/2023	3
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 1 or else score 0	N/A because the LG did not execute any DDEG project in FY 2022/2023	1

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 1 or else score 0	N/A because the LG did not execute any DDEG project in FY 2022/2023	1
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15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0	N/A because the LG did not execute any DDEG project in FY 2022/2023	1
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Financial management

16	LG makes monthly Bank reconciliations Maximum 2 points on this Performance Measure	a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment: Score 2 or else score 0	The DLG operated two bank accounts namely the General Fund Account Number 01983501007696 with DFCU Soroti branch. The account was manually reconciled up to 31st October, 2023 with a closing balance of shs 160,760,452. There was in addition a local revenue collection account in place .that was reconciled to shs 0 balance on 31st October, 2023	2
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17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>The DLG produced the four quarterly internal audit reports for FY 2022/2023 as required. Quarter One report was produced on 10th January, 2023 referenced CR/ADLG/251/1 which was addressed to the chairperson of the district and copied to PS MOLG, IAG, OAG, RDC, Chairperson of the LGPAC. The report had 04 queries.</p> <p>Quarter Two report was produced on 20th February, 2023 which was addressed to the chairperson of the district and copied to PS MOLG, IAG, OAG, RDC, Chairperson of the LGPAC. The report had 04 queries.</p> <p>Quarter three report was produced on 13th June, 2023 referenced CR/ADLG/251/1 which was addressed to the chairperson of the district and copied to PS MOLG, IAG, OAG, RDC, Chairperson of the LGPAC. The report had 05 queries.</p> <p>Quarter Four report was produced on 31st July,, 2023 referenced CR/ADLG/251/1 which was addressed to the chairperson of the district and copied to PS MOLG, IAG, OAG, RDC, Chairperson of the LGPAC. The report had 02 queries.</p>
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>The DLG provided information to the Council! Chairperson and the LG PAC on the status of implementation of internal audit findings for FY 2022/2023; information on follow-up on audit queries from all quarterly audit reports as per evidence provided below:</p> <p>CAO's letter under reference CR/252/1to the District Internal Auditor dated 12th November, 2022 in respect of the recommendations and action required contained in the quarterly internal audit reports for quarter one that was copied to chairperson LG PAC, RDC.</p> <p>CAO's letter to the Internal Auditor for quarter two reports dated 6th March, 2023, referenced CR/252/1 in response to internal audit queries that were raised.</p> <p>CAO's letter to the Internal Auditor for quarter three reports dated 18th May, 2023 referenced CR/252/1 in response to internal audit queries that were raised.</p> <p>CAO's letter to the Internal Auditor for quarter four reports dated 15th September, 2023, referenced CR/252/1 in response to internal audit queries that were raised. Follow up of the audit queries was done satisfactorily as the Council chairperson and LGPAC accessed information on the follow up of queries raised by the Internal Auditor.</p>

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>Internal audit reports for FY 2022/2023 were submitted to LG Accounting Officer, LG PAC and that LGPAC reviewed them and followed-up. The LG PAC was established in the district and functional and therefore reviewed the internal audit reports. The committee met on quarterly basis and generated reports covering all the four quarterly internal audit reports in a financial year for consideration by Council.</p> <p>For example; LG PAC held a meeting on 5th May, 2023 and produced a report dated 5th May, 2023 that was submitted to Council for consideration. The report was copied to OAG, IGG, RDC, Parliamentary PAC. Furthermore, in a meeting of Council that was held on 9th may, 2023, the Council discussed the LG PAC report under minute reference 07/COU/2023.</p>	1
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Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>The DLG planned for collection of local revenue amounting to shs 418,898,685 as provided on page 38 of the district draft accounts for FY 2022/2023. The DLG realised shs 257,031,448 on closure of FY 2022/2023 as indicated on page 38 of the draft final accounts of the district. This was equivalent to 61% performance. Poor performance on local revenue collection was attributed to the advent of COVID 19 and minimal sensitisation of revenue payers to relate revenue collection to service delivery</p>	0
19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>The DLG realised local revenue amounting to shs 418,898,599 on closure of FY 2022/2023 as indicated as per the draft final accounts of the district page 38 In FY 2021/2022, the district realised shs 220,374,599 as per audited accounts of the district for FY 2021/2022 page 45. Performance was within 10%.</p>	2

20	Local revenue administration, allocation, and transparency	a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0	<p>The local revenue amount transferrable to LLGs in FY 2022/2023 was shs 146,593,163 of the draft final accounts for FY 2022/2023 page 57.</p> <p>Examples of local revenue that was transferred to LLGs:</p> <p>(i) Shs 4,588,856 was transferred to Abarilela sub county as per payment voucher number 3226243 dated 20th January, 2023 as the 65% share in quarter three.</p> <p>(ii) Shs 2,147,446 was transferred to Asamuk sub county as per payment voucher number 1339115 dated 10th October, 2022 as the 65% share in quarter two.</p> <p>(iii)Kuju sub county received shs 500,000 as per payment voucher number 4739192 dated 5th April, 2023 as the 65% share in quarter four. Ogolai sub county received shs 3,904,046 as per payment voucher number 4741717 dated 5th April, 2023 as the 65% share in quarter four</p>	2
Transparency and Accountability				

21	LG shares information with citizens Maximum 6 points on this Performance Measure	a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0	The LG did not award any project under DDEG in the FY 2022/2023 that would require checking for evidence of publication of procurement plan and contracts award.	2
21	LG shares information with citizens Maximum 6 points on this Performance Measure	b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0	The LG performance results for FY 2021/2022 were publicised as required on various notice boards at the district and lower local governments levels as per CAO's circular letter dated 12th November, 2022 under reference ADLG/22/41. The district established a website https://www.amuria.go.ug/news/amuria . The assessment results for FY 2021/2022 were discussed in a DTPC meeting held on 3rs August, 2023 under minute reference MIN.05/08/2023	2
21	LG shares information with citizens Maximum 6 points on this Performance Measure	c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0	<p>Barazas were held through radio talk shows guided by the RDC, chair person of the district and CAO. Reports were produced as evidenced below: Report dated 17th March 2023 and barazas were held in Orungo sub county and Asamuk sub county. Issues discussed included among others, status of roads, participation of communities in meetings held at LLGs, schools and health centers, prevention of malaria.</p> <p>Report dated 30th September, 2022 on Radio Talk Shows SAVIOR FM Radion in Amuria TC. All reports were pinned on notice boards both at the district headquarters and the LLGs.</p>	1

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>The LG made publicly available information on i) tax rates as evidenced by the CFO's circular letter dated 13th July, 2022 on procedures for local revenue collection by the DLG and LLGs.</p> <p>CAO's letter dated 13th July, 2023 of tax appeal procedures. The DLG established a website website https://www.amuria.go.ug/news/amuria</p>	1
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>There were no IGG issues in the DLG during FY 2022/2023. Perusal of the minutes of the meetings held by Council on dates indicated below had no IGG issues reported.</p> <p>Meeting held on 30th May, 2023. Council meeting held on 30th May 2023; meeting held on 9th May, 2023; meeting held on 29th March, 2023; and Council meeting held on 20th December, 2022.</p>	1

**Educational
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>The PLE pass rates decreased by - 4.8% between 2022 and 2020 school years.</p> <p>2020</p> <p>In 2020 the learners who passed in Div 1, Div 2 and Div 3 were 2455 out of 3560</p> <p>$2455/3560 * 100 = 68.9\%$</p> <p>2022</p> <p>2711 passed in Div 1,2, and 3 out of 4229 pupils, which represented 64.1% pass rate</p> <p>The percentage increase in pass rate was $64.1\% - 68.9\% = -4.8\%$</p>	0
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>Results were not released to Amuria DLG, the DIS claimed that results are centralized and therefore did not access them</p>	0
2	<p>N23_Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> • By more than 5%, score 2 • Between 1 and 5%, score 1 • No Improvement, score 0 <p>NB: If the previous average score was 95% and above, Score 2 for any increase.</p>	not applicable	0

3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>Projects for the F/Y included;</p> <p>(i) Construction of 2 classroom blocks with a store and an office of the following schools Ugx 80,116,705 for Abuket, Ajaki Asinge P/S (Ugx 81,273,164), Akeriau P/S (Ugx 86,096,892)and Angutoi Primary school (Ugx 79,416,617). All projects swere completed.</p> <p>(ii) Construction of 5 stance pit latrines for Amolo at Ugx 24,933,650) and Awelu primary schools at Ugx 49,676,743 all supported by (SDG/SFG)</p>	2
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0</p>	<p>The DLG implemented five projects in the Education Department during FY 2022/2023.</p> <p>(i) Payment to Aoloko Investments SMC Ltd for shs 14,271,932 in respect of construction of a five stance pit latrine at Torongole Primary School in Abia sub county sub county as per payment voucher number 4769002 dated 18th April, 2023.</p> <p>The DEO initiated payment on 20th March, 2023 and on the same date, the DE on 31st March, 2023, CDO 20th March, 2023,, Senior Environment Officer, CFO and CAO on 21st March, 2023 signed the documents to certify payment.</p> <p>(ii) Payment to Lotisio Enterprises Ltd for shs 15,720,640 in respect of construction of five stance pit latrine at Okwalo Primary School in Asamuk sub county on payment voucher number 4772444 dated 18th April, 2023. Payment was initiated by the DEO on 20th March, 2023. The DE, CDO, Senior Environment Officer, CFO and CAO signed the documents to certify payment on 21st March, 2023.</p>	2

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

c) If the variations in the contract price are within +/-20% of the MoWT estimates score 2 or else score 0

The percentage contract variation for all the education project contracts were within +/- 20% as indicated below;

Phase 1 construction of Wera seed secondary school (Multipurpose hall, One 2 classroom block and fencing of the school premises) under Procurement number. AMUN565/WRKS/22-23/00017)

Contract price = 459,895,520

Estimated cost = 460,000,000

Percentage change = -0.023%

Phase 1 construction of Asamuk seed secondary school (Multipurpose hall, Three 2 classroom blocks, Administration block, ICT library Science laboratory, Three twin staff house with kitchen and 2-stance latrine, Two 5 stance pit latrine and one 2-stance pit latrine for administration) under Procurement number. EC/MIN/SEED/SCH/01/13/02/2022-2023/24/Lot 21)

Contract price = 3,123,436,400

Estimated cost = 3,200,000,000

Percentage change = -2.39%

Construction of the two-classroom block at Angutoi p/s (Procurement ref no. AMUN565/WRKS/22-23/00013)

Contract price = 79,416,617

Estimated cost = 85,321,029

Percentage change = -6.92%

The percentage contract variation is computed as

$(\text{contract cost} - \text{Estimated cost}) / (\text{Estimated cost}) * 100$

3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY</p> <ul style="list-style-type: none"> • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0 	<p>All the two seed secondary school construction sites were visited, and the field work observation of the work progress indicated that Wera seed secondary school construction phase II was completed to 100% and functional as per the purpose, whereas Asamuk seed secondary school phase 1 construction (multipurpose hall, three 2 classroom blocks, an administration block, an ICT library science laboratory, three twin staff houses with a kitchen and 2-stance latrine, two 5-stance pit latrines, and one 2-stance pit latrine for administration) was also completed to 100%.</p> <p>The unpagged budget performance report indicated a percentage completion of 100% for two seed secondary school construction phases.</p>	2
4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 - 79% score: 1 • Below 70% score 0 	<p>The LG did not meet the prescribed school staffing standards for Primary Teachers. The approved number of required teachers was 884 but the LG managed to recruit only 696 teachers which translated to 78%.</p>	1
4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> • If above 70% and above score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 	<p>All the 68 schools within the LG met the basic standards set out by DES, for example all the 68 PLE schools had 619 classrooms representing 9.1% classrooms per school with each school having at least a class for each learning group as required.</p> <p>The 68 schools, had 391 teacher accommodations representing 5.7% which is above the minimum requirement of at least four permanent houses per school as required by DES.</p> <p>$68 / 68 * 100 = 100\%$</p>	3

Performance Reporting and Performance Improvement

5

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

a) Evidence that the LG has accurately reported on teachers and where they are deployed.

- If the accuracy of information is 100% score 2
- Else score: 0

There was accurate deployment of teachers as indicated on the teacher's deployment list and the school staff lists were displayed in all the 3 sampled school notice boards i.e., Kuja P/S had 11 teachers, deployed and 11 teachers on its school staff list led by Mr. Okare Moses as the Head teacher.

With 7 teachers being male and 4 being female.

Asamuk P/S had 10 teachers, deployed, out of whom 3 are female and 7 were male led by Ms. Betty Aiyo.

Aparisa-Asamuk P/S had 11 teachers deployed, out of whom 8 are male and 3 females, led by Mr. Ojera Robert. All the sampled schools had attendance registers and in use.

2

5

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.

- If the accuracy of information is 100% score 2
- Else score: 0

The infrastructure recorded in Amuria district local government primary school asset registers were all found present in all the visited sampled schools.

Aparisa-Asamuk P/S had 7 classrooms, 1 permanent and 5 temporal teachers houses, 110 desks and 10 latrine stances which were all found on ground.

Asamuk P/S had 10 classrooms, 2 permanent, 7 staff houses, 15 stances of pit latrines, and 160 desks.

Kuja P/S had 150 desks, 9 classrooms, 10 latrine stances, 8 teachers' houses.

2

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p> <ul style="list-style-type: none"> • If 100% school submission to LG, score: 4 • Between 80 - 99% score: 2 • Below 80% score 0 	<p>100% of schools submitted their annual school reports as evidenced from the required 3 sampled schools.</p> <p>Aparisa-Asamuk P/S submitted its report January 2023. The report included a total school enrolment of 961 learners, 11 staff and PLE performance.</p> <p>Asamuk P/S submitted its report. The report included a total school enrolment of 601 learners with a staffing of 10 teachers and PLE results.</p> <p>Kuju P/S submitted its report. The report included a total school enrolment of 711 learners, with staffing of 11 teachers and PLE results.</p> <p>Percentage submission;</p> <p>$3/3 \times 100 = 100\%$</p>	4
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> • If 50% score: 4 • Between 30- 49% score: 2 • Below 30% score 0 	<p>School Improvement plans were found in all the 3 sampled schools representing 100% i.e.</p> <p>Aparisa -Asamuk', Asamuk and Kuju primary schools.</p> <p>The school improvement plan of Aparisa-Asamuk P/S prioritized Compensatory learning, purchase of sports equipment and musical equipment, completion of teachers' house, environmental conservation payment of PTA funds among others.</p> <p>Asamuk P/S prioritized construction of teachers' and visitors pit latrines,</p> <p>Construction of a school fence, construction of MHM for girls(completed), improvement of academic performance among others.</p> <p>Kuju P/S prioritized, improving performance, construction of teachers houses, and teacher's kitchen. Fencing the school among others,</p> <p>Percentage</p> <p>$3/3 \times 100 = 100\%$</p>	4

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 – 99% score 2 • Below 90% score 0 	<p>The list of schools on PBS and OTIMS corresponded well with each having 68 UPE schools and 7 USE schools</p> <p>75/75*100=100%</p>	4
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Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>The district budgeted Ugx 5,471,380,650 for 68 head teachers and 696 teachers in 68 primary schools of Amuria DLG as per the approved budget FY 2023/24.</p>	4
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7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p> <p>Score 3 else score: 0</p>	<p>Teachers had been deployed as required by the sector guidelines with a head teacher and a minimum of 7 teachers per class for schools with classes reaching P.7</p> <p>Aparisa- Asamuk P/S had a head teacher Mr Ojera Robert a total of 11teachers.</p> <p>Asamuk P/S had a head teacher Ms. Betty Aiyo with a total of 10 teachers.</p> <p>Kuju P/S had a head teacher Mr. Okare Moses with a total of 11 teachers</p>	3
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7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,</p> <p>score: 1 else, score: 0</p>	<p>All the primary schools sampled namely; Aparisa-Asamuk Asamuk and Kuju Primary schools had staff lists displayed on their office notice boards dated January ,2023.</p>	1
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copt to DEO/MEO</p> <p>Score: 2 or else, score: 0</p>	<p>The LG 68 Primary School Head Teachers and all were appraised. Ten (10) files were sampled for review and below were the findings;</p> <ol style="list-style-type: none"> 1. Egoiki Micheal (Akamuriel) was appraised on 5/01/2023 by chairperson School Management Committee (CSMC) 2. Alemu Joseph (Ocal) was appraised on 20/12/2022 3. Ileet Sam (Katina - Wera) was appraised on 20/12/2022 4. Egwalu Andrew (Aleka) was appraised on 5/12/2022 5. Iyeset Harriet Ruth (Abarilela) was appraised on 21/12/2022 6. Odida Richard (Ogolai) was appraised on 28/11/2022 7. Odongo Micheal (Angorom) was appraised on 30/1/2023 8. Nabwire Mary (Amucu) was appraised on 21/12/2022 9. Elepu Joseph (Olwa Orungo) was appraised on 10/11/2022 10. Ochom Micheal (Kuju) was appraised on 15/12/2022 	2
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM</p> <p>Score: 2 or else, score: 0</p>	<p>The LG had 7 Secondary School Head Teachers and majority were not appraised.</p> <ol style="list-style-type: none"> 1. Kizito Anne Grace (Ococia Girls) was appraised on 8/12/2022 2. Omara Bosco Boss (St. Paul Abarilela) was appraised on 5/04/2023. The rest of Head Teachers who were not appraised included; 3. Agadi Hellen Grace (Were Seed) 4. Akayo Harriet (Kuju Seed) 5. Otinen Ikate Emmanuel (Amuria SS) 6. Ochaga Peter (Orungo High) 7. Chemonges Watson (Monungent) 	0
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans score: 2. Else, score: 0</p>	<p>There was evidence that all Education Department staff were appraised against their performance plans.</p> <ol style="list-style-type: none"> 1. Oloko Micheal (Sports Officer) was appraised on 5/7/2023 2. Okilla Paul (Snr. Inspector) was appraised on 5/6/2023 3. Onyait Patrick (Inspector) was appraised on 5/7/2023 4. Erumu Simon Peter (Inspector) was appraised on 5/7/2023 5. Acom Kalen (DEO) was appraised on 3/6/2023 	0
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level, score: 2 Else, score: 0</p>	<p>There was a training plan for the FY 2022/23 for the training department. Some of the key trainings involved;</p> <ol style="list-style-type: none"> 1. Training of school management committees (Ugx 2M) 2. Ugx 3M for training SMC/Head teachers on School improvement plans 3. Ugx 5M for training of head teachers on staff appraisal 4. Ugx 2M for training of trainers of music, dance and drama (MDD) 5. Ugs 2M for Training of trainers for kids' athletics 6. Ugx 2M for Training of trainers for ball games 	2
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Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p>	<p>Since there were no errors, in the list of schools in the PBS there was no need to confirm the list of schools and their enrolment for Amuria DLG.</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p> <p>If 100% compliance, score:2 else, score: 0</p>	<p>The district had an inspection roadmap evidenced from the Workplan dated 06/06/2022 and the sampled schools had evidence of inspection reports. Ugx 29,872,000 was budgetted for Inspection and monitoring.</p>	2
<p>Maximum 8 points on this performance measure</p>				
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p> <p>If 100% compliance, score: 2 else score: 0</p>	<p>The PS/ST communicated cash limits for the Education Sector in quarter one on 11th July, 2022 under reference BPD/102/155/01 Warranting was done on 15th July, 2022, Invoicing was done on 19th July, 2022; Transfers to schools was done on 21st July, 2022 and communication to LLGs was done on 21st July, 2022.</p>	2
<p>Maximum 8 points on this performance measure</p>		<p>In quarter two, the communication from PS/ST was done on 17th October, 2022 under reference MEP.50/268/01, Warranting on 21st October, 2022; Invoicing on 28th October, 2022. Transfers to schools was done on 1st November, 2022 and communication to LLGs was done on 1st November, 2022.</p>	<p>The PS/ST communicated cash limits for the Education Sector in quarter three on 29th December, 2022 under reference MEP.50/268/01 . Warranting was done on 6th , January, 2023, Invoicing was done on 10th January, 2023; Transfers to schools was done on 16th January, 2023 and communication to LLGs was done on 1st February, 2023.</p>	
		<p>The PS/ST communicated cash limits for the Education Sector in quarter four on 6th April, 2023 under reference MEP.50/268/01. Warranting was done on 10th , April, 2023, Invoicing was done on 17th April, 2023; Transfers to schools was done on 24th April, 2023 and communication to LLGs was done on 24th April, 2023.</p>		
		<p>There were no delays experienced by the DLG.</p>		

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p> <p>If 100% compliance, score: 2 else, score: 0</p>	<p>There was evidence that the DLG invoiced and the DEO s communicated and publicized capitation releases to schools within three working days of release from MoFPED as witnessed by sampled schools by the Education expert. Fo example on 12th November, 2022 communication was done on capitation on 14th November, 2022 after invoicing on 11th November, 2022 for 22,000,000.</p>	2
10	<p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <ul style="list-style-type: none"> • If 100% compliance, score: 2, else score: 0 	<p>The inspection workplan for 2022/23 was in place covering all 68-government aided primary schools within the DLG for 3 terms and Inspection reports were discussed and findings followed up by each schools' SMC and PTA committees. This was evidenced from the sampled schools i.e.</p> <p>Kuju P/S- 14/07/2022</p> <p>Asamuk P/S- 25/07/2022</p> <p>Aparisa-Asamuk P/S- 10/08/2022</p>	2
10	<p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> • If 100% score: 2 • Between 80 - 99% score 1 • Below 80%: score 0 	<p>The LG had an inspection plan for Term 3 2022, Term 1 2023, and Term 2 of 2023. Each covering 68* primary schools with a budget Ugx 29,872,000</p> <p>Meetings to plan for school inspections were done by the District Education Inspectorate team and each officer was allocated schools to inspect per term. This is evidenced with the roadmap for inspection as follows; 22/09/2022 and Term 111-23/01/2023 respectively.</p> <p>All the 68 schools had been inspected in the 3 school terms accounting to 100%.</p>	2
10	<p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>There was evidence of dissemination of findings arising from the inspection reports as evidenced</p> <p>from the file with pictorials of the structures that need improvement and recommendations. This was also evidenced from the files at the sampled schools . Kuju P/S- 14/07/2022, Asamuk P/S on 25/07/2022 and Aparisa Asamuk P/S on 10/08/2022.</p>	2

10	Routine oversight and monitoring <i>Maximum 10 points on this performance measure</i>	d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0	Inspection findings had been presented to all the 3 sampled primary schools i.e. Kuju' P/S, Aparisa -Asamuk P/S, and Asamuk P/S i.e between 14/07/22- 10/08/2022 The inspection and monitoring reports had been forwarded to DES as evidenced from DES acknowledgement sheets dated 6/4/22,24/11,22,18/05/23.	2
10	Routine oversight and monitoring <i>Maximum 10 points on this performance measure</i>	e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0	The Council Committee responsible for education was in place that was also referred to as the Health, Education and Community Based Services Committee which met every quarter. Meeting held on 14th December, 2022 discussed planning and budgeting, construction of seed schools, inspection and monitoring of schools. Meeting held on 21st March, 2023 discussed monitoring reports, departmental reports and PBS system reports. Meeting held on 22nd May, 2023 discussed examination results, planning and budgets and departmental reports.	2
11	Mobilization of parents to attract learners <i>Maximum 2 points on this performance measure</i>	Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school, <i>score: 2 or else score: 0</i>	There was no report on mobilizing parents in order to attract learners.	0
Investment Management				
12	Planning and budgeting for investments <i>Maximum 4 points on this performance measure</i>	a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i>	There was an asset register for 2022/23 listing all assets of the 68 primary schools of Amuria DLG.	2

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i></p>	<p>The Education Department implemented five projects during FY 2022/2023 out of which a sample of three projects was selected. (i) Construction of two classrooms and office at Abuketu Primary School in Kuju sub county budgeted at shs 95,000,000 as per page 33 of the district approved budget, AWP page 33 and page 168 of the DDP III. The Field Appraisal Report was dated 3rd May, 2022 and the Desk Appraisal Report was dated 3rd May, 2022.</p> <p>(ii) Construction of Wera Seed Secondary School, fencing, staff house, hall and field in Wera Town Council budgeted for shs 65,968,000 as per page 38 of the district approved budget, page 38 of the AWP and page 170 of the DDP III. The Field Appraisal Report was dated 2nd May, 2022 and the Desk Appraisal Report was dated 2nd May, 2022.</p> <p>(iii) Construction of a two classroom block and office block at Ajaki - Asinge Primary School in Apedum sub county budgeted for shs 95,000,000 as per page 33 of the approved district budget AWP page 33 and DDP III page 168.</p> <p>The Desk Appraisal Report was 3rd May, 2022 and the Field Appraisal Report was dated 3rd May, 2022.</p>	1
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, <i>score 1 else score: 0</i></p>	<p>(i) Construction of two classrooms and office at Abuketu Primary School in Kuju sub county budgeted at shs 95,000,000. The Field Appraisal Report was dated 3rd May, 2022 and the Desk Appraisal Report was dated 3rd May, 2022.</p> <p>(ii) Construction of Wera Seed Secondary School, fencing, staff house, hall and field in Wera Town Council budgeted for shs 65,968,000</p> <p>The Field Appraisal Report was dated 2nd May, 2022 and the Desk Appraisal Report was dated 2nd May, 2022.</p> <p>(iii) Construction of a two classroom block and office block at Ajaki - Asinge Primary School in Apedum sub county budgeted for shs 95,000,000.</p> <p>The Desk Appraisal Report was 3rd May, 2022 and the Field Appraisal Report was dated 3rd May, 2022.</p> <p>Environmental issues were equally addressed.</p>	1

13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i>	The education planned sector infrastructure projects for the FY 2023/2024 (seed secondary schools) were not yet incorporated in the 2023/2024 approved procurement plan approved on 7th/05/2023 as the LG had not yet received the Indicative planning Figures (IPF) from the Ministry at the time of assessment.	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i>	All the school infrastructure projects that was to be implemented in the Fy 2023/2024 were approved by the contracts committee as indicated in the minutes of the contract committee dated 18th/10/2023 under minute Min4/ADCC/10-2/23-24 for the approval of the construction of the two class block at Agereger p/s at 95,000,000 and construction of the two classroom block at Ateuso p/s at 95,000,000.	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i>	The LG did not properly establish the project implementation team as per the PPDA guidelines for all the education sector projects. The appointment letter by CAO dated June 12, 2023, indicated that Mr.Okello Godfrey (District Engineer) was appointed as the Projects manager, Acom Kelen (DEO) was appointed as the contracts manager, Mr.Ogwala Moses as the environment officer , Akello Rhoda as the DCDO and the Labor Officer and clerk of works were not appointed on the team	0
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES <i>Score: 1, else, score: 0</i>	The field technical inspection of the two seed secondary school construction sites of Wera seed secondary school and Asamuk seed secondary school in the two sub counties of Wera and Asamuk indicated that the structures were implemented following the specifications provided in both the approved architectural and structural designs. The window and door types and numbers installed were in line with the specifications for all the structures; there were no observed structural cracks on the walling and floors, a physical scratch check on the plaster indicated a good class mortar used, the roof covering type used (Gauge 26) was that in the specifications and the block work was done well and all the buildings component dimensions were fulfilled.	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i></p>	<p>The monthly site meetings were conducted for all the seed secondary school construction projects as indicated in the minutes of the site meeting dated 13/04/2023 for the construction of Wera seed secondary school, Minutes of second site meeting for the construction of Wera seed secondary school dated 2nd/06/2023 and Minutes of site meeting for the construction of Asamuk seed secondary school dated 24th/01/2023 with all indicating the participation of the Environment officers, District Engineer and the DCDO.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc ..., has been conducted <i>score: 1, else score: 0</i></p>	<p>There was evidence that during critical stages of construction of education sector infrastructure projects, joint technical supervision involving engineers, environment officers, CDOs were conducted as indicated in the following monitoring and minutes of site meetings for different projects;</p> <p>Joint monitoring report for the construction of Asamuk seed secondary school and Wera seed secondary school dated 30/6/2023, Monitoring report for the construction of Asamuk seed secondary school and Wera seed secondary school dated 30/3/2023, Minutes of the site meeting for Asamuk seed secondary school held on 24th/01/2023, Technical inspection report on progress of the construction of Wera seed school and Asamuk seed school dated 25/08/2023 and minutes of site meeting held on 13th/04/2023 at Wera seed secondary school construction site.</p> <p>All these reports captured all the technical, the Environment and social issues by the respective technical officers.</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>The DLG implemented five projects in the Education Department during FY 2022/2023. Sector infrastructure projects have been properly executed and payments to contractors made within specified time frames within the contract as provided in the examples below:</p> <p>(i) Payment to Aoloko Investments SMC Ltd for shs 14,271,932 in respect of construction of a five stance pit latrine at Torongole Primary School in Abia sub county sub county as per payment voucher number 4769002 dated 18th April, 2023.</p> <p>The DEO initiated payment on 20th March, 2023 and on the same date, the DE on 31st March, 2023, CDO 20th March, 2023,, Senior Environment Officer, CFO and CAO on 21st March, 2023 signed the documents to certify payment.</p> <p>(ii) Payment to Lotisio Enterprises Ltd for shs 15,720,640 in respect of construction of five stance pit latrine at Okwalo Primary School in Asamuk sub county on payment voucher number 4772444 dated 18th April, 2023. Payment was initiated by the DEO on 20th March, 2023. The DE, CDO, Senior Environment Officer, CFO and CAO signed the documents to certify payment on 21st March, 2023.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i></p>	<p>The Education department timely submitted the procurement plan to procurement unit by 11th/04/2022 before the deadline date of 30th/April/2022 as per the PPDA requirement</p>	1

Procurement, contract management/execution

Maximum 9 points on this performance measure

i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law score 1 or else score 0

The LG had a complete procurement plans for all the seed secondary school projects implemented in the FY 2022/2023 as indicated below for the respective projects;

Phase II Construction of Wera seed secondary school in Wera sub county (Procurement ref: AMUN565/WRKS/22-23/00017)

1. • Evaluation report dated 15/03/2023 with MS CAB Uganda ltd as the best evaluated bidder at a cost of 459,895,520 was on file
2. • Minutes of contracts committee dated 16/03/2023 for the evaluation approval under minute number Min4/ADCC/03-1/22-23 was on file.
3. • The works contract signed on 12th/06/2023 was on file.

Phase I Construction of Asamuk seed secondary school in Asamuk sub county (Procurement ref: EC/MIN/SEED/SCH/01/13/02/2022-2023/24/Lot21)

1. • Evaluation report dated 4th/02/2022 with Sanitation Africa ltd as the best evaluated bidder at a cost of 3,123,436,400.
2. • Minutes of contracts committee dated 21/02/2022 for the evaluation report approval under minute number MIN:05/21/2022/DCC was on file.
3. The works contract signed on 126th/10/2022 was on file

Environment and Social Safeguards

Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.

Maximum 3 points on this performance measure

Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0

LG had some grievances recorded under education sector for FY 2022/2023 by the time of assessment as evidenced below;

- Poor relationship between two teachers of Akamuriei P/S reported on unspecified date in the log book and addressed on 15/02/2022 in the joint stakeholders meeting hence transfer of the two teachers to different schools

15	Safeguards for service delivery. <i>Maximum 3 points on this performance measure</i>	Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation <i>Score: 3, or else score: 0</i>	The Environmental guidelines had been issued to all the 3 sampled primary schools (Aparisa-Asamuk, Kuja and Asamuk Primary schools) in Amuria DLG on 28th March ,2022. They included, proper disposal of garbage, establishment of environmental clubs, planting of trees, and water harvesting among other issues.	3
16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i>	LG had not incorporated a costed ESMP within the BoQs	0
16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i>	LG had proof of land ownership, access of school construction projects by the time of assessment as evidenced by the Land title of the Board of Governors Asamuk Seed Secondary School of P.O.BOX 4, Amuria district of 4.6200 hectares, plot 223, block 1 at Omerai dated 14/10/2021	1
16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i>	The EO and DCDO conducted monthly support supervision and monitoring of education projects in FY 2022/2023 as evidenced below; <ul style="list-style-type: none"> • E&S Monitoring Report for the construction of Asamuk Seed School by the EO and DCDO on 22/08/2023 • E&S Monitoring Report for the construction of 2 classroom block at Ajaki-Asinge P/S in Apeduru S/C by the EO and DCDO on 22/05/2023 • E&S Monitoring Report for the construction of a 2 classroom block at Abuket P/S by the EO and DCDO on 22/05/2023 	2

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

Score: 1, else score:0

The EO and DCDO prepared the E&S certification forms for education projects prior to payment of contractors as evidenced below;

- E&S Certification form for the construction of 2 classroom block at Ajaki-Asinge P/S by the EO and DCDO on 27/06/2023
- E&S Certification form for the construction of Asamuk Seed School by the EO and DCDO on 23/05/2023
- E&S Certification form for the construction of a 2 classroom block at Abuket P/S by the EO and DCDO on 27/06/2023

**Health
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score																
Local Government Service Delivery Results																				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>The sampled health facilities had the following deliveries:</p> <p>FY2021/22</p> <table border="0"> <tr> <td>Wera HC III</td> <td style="text-align: right;">652</td> </tr> <tr> <td>Alere HC III</td> <td style="text-align: right;">127</td> </tr> <tr> <td>Asamuk HC III</td> <td style="text-align: right;">589</td> </tr> <tr> <td>Total</td> <td style="text-align: right;">1368</td> </tr> </table> <p>FY2022/23</p> <table border="0"> <tr> <td>Wera HC III</td> <td style="text-align: right;">706</td> </tr> <tr> <td>Alere HC III</td> <td style="text-align: right;">192</td> </tr> <tr> <td>Asamuk HC III</td> <td style="text-align: right;">732</td> </tr> <tr> <td>Total</td> <td style="text-align: right;">1632</td> </tr> </table> <p>Average change $(1632-1368)/1368*100 = 19.3\%$</p>	Wera HC III	652	Alere HC III	127	Asamuk HC III	589	Total	1368	Wera HC III	706	Alere HC III	192	Asamuk HC III	732	Total	1632	0
Wera HC III	652																			
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Total	1368																			
Wera HC III	706																			
Alere HC III	192																			
Asamuk HC III	732																			
Total	1632																			
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> • 70% and above, score 2 • 50% - 69%, score 1 • Below 50%, score 0 	Not applicable	0																
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> • 75% and above; score 2 • 65 - 74%; score 1 • Below 65; score 0 	Not applicable	0																

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p>	<p>The LG budgeted and spent all the health development grant for FY 2022/2023 on eligible activities as per page 15 (section 4.1.1, i,ii, iii and table 11) of the health grant and budget guidelines for FY 2022/2023. The development grant was spent on the construction of an OPD at HC II and an upgrade of HC II to HC III, which was in line with the development grant guidelines.</p> <p>The DLG budgeted for two projects in the department for shs 1,873,192 as development grant for FY 2022/2023 as per page 17 quarterly budget performance report for FY 2022/2023. Payments to CAB Uganda Lt as a contractor was made for shs 1,040,535,362 as per payment voucher number 6413140 dated 27th June, 2023 in respect of upgrade of Abeko and Golokwara HC II. The second payment was to Clabos Uganda Ltd for shs 92,610,560 as per payment voucher number 5325973 dated 18th May, 2023 in respect of construction of OPD Block at Amusus HC III in Kuju sub county. Additional expenses on the two projects resulted in an overall expenditure amounting to shs 1,813,658 as per page 17 of the Quarterly Budget Performance Report Performance was 100%.</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0</p>	<p>The DLG implemented two projects in the department during FY 2022/2023.</p> <p>(a) Up grade of Abeko HC III in Ogolai sub county.</p> <p>(b) Construction of OPD Block at Amusus HC III in Kuju sub county.</p> <p>(i) Payment to Clabos Uganda Ltd for shs 92,610,560 per payment voucher number 5325973 dated 18th May, 2023 in respect of construction of OPD Block</p> <p>At Amusus HC III in Kuju sub county.</p> <p>The DHO initiated payment on 10th May, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment.</p> <p>(ii) Payment to CAB Uganda Lt for shs 1,040,535,362 per payment voucher number 6413140 dated 27th June, 2023 in respect of up grade of Abeko and Golokwara HC II. The DHO initiated payment on 14th June, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment on 16th June, 2023.</p>	2

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0</p>	<p>The implemented health project contract had a percentage contract variation of within +-20% as indicated below for the construction of the Out Patient Department (OPD) at Amusus HC III in Kuju sub county (Procurement Reference no. AMUN565/WKRS/2022-2023/00008)</p> <p>Contract price = 140,935,245</p> <p>Estimated cost = 155,917,288</p> <p>Percentage contract variation = -9.60%</p>	2																		
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY</p> <ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 	<p>There was no HC II being upgraded to HC III in the FY 2022/2023</p>	2																		
4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	<p>The LG did not have HCIV but had recruited for all HC III's as follows:</p> <table border="0"> <tr> <td>Aberilela HC III</td> <td>14 out of 19</td> </tr> <tr> <td>Akeriau HC III</td> <td>14 out of 19</td> </tr> <tr> <td>Alere HC III</td> <td>10 out of 19</td> </tr> <tr> <td>Amusus HC III</td> <td>11 out of 19</td> </tr> <tr> <td>Asamuk HC III</td> <td>14 out of 19</td> </tr> <tr> <td>Morungatuny HC III</td> <td>15 out of 19</td> </tr> <tr> <td>Orungo HC III</td> <td>16 out of 19</td> </tr> <tr> <td>Wera HC III</td> <td>18 out of 19</td> </tr> <tr> <td>Total</td> <td>122 out of 152</td> </tr> </table> <p>122/152*100 =80%</p>	Aberilela HC III	14 out of 19	Akeriau HC III	14 out of 19	Alere HC III	10 out of 19	Amusus HC III	11 out of 19	Asamuk HC III	14 out of 19	Morungatuny HC III	15 out of 19	Orungo HC III	16 out of 19	Wera HC III	18 out of 19	Total	122 out of 152	1
Aberilela HC III	14 out of 19																					
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Morungatuny HC III	15 out of 19																					
Orungo HC III	16 out of 19																					
Wera HC III	18 out of 19																					
Total	122 out of 152																					

4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> • If 100 % score 2 or else score 0 	<p>There was no HC II being upgraded to HC III in the FY 2022/2023</p>	2
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Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>The information on positions of health workers filled was accurate. Wera HC III had 18 H/Ws on the list dated 1/08/23 so was the District list dated 1/08/2023. Asamuk HC III had 14 H/Ws on the list dated 10/10/23. Alere HC III had 10 H/Ws on the list dated 4/08/2023 so was the District list dated 1/08/2023.</p>	2
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5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>There was no health facility upgraded nor constructed in FY2022/23.</p>	2
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The sampled health facilities submitted Annual Workplans and budgets to the DHO as follows:</p> <p>Asumuk HC III 6/03/2023</p> <p>Wera HC III 20/03/2023</p> <p>Alere HC III 1/03/2023.</p>	2
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The sampled health facilities submitted to the DHO Annual Budget Performance Reports for FY 2022/23 as follows:</p> <p>Asumuk HC III 5/07/2023</p> <p>Wera HC III 7/07/2023</p> <p>Alere HC III 12/07/2023</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The sampled health facilities developed their facility improvement plans as follows: Wera HC III 10/07/2023</p> <p>Alere HC III 10/07/2023</p> <p>Asamuk HC III 4/07/2023. They had issues like data management, ANC attendance, Number of Pregnant women who receive IPT3 and Immunisation.</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,</p> <ul style="list-style-type: none"> • score 2 or else score 0 	<p>The health facilities submitted up to date monthly and quarterly reports timely as follows:</p> <p>July 2022</p> <p>Alere HC III 7/08/2022</p> <p>Asumuk HC III 6/08/2022</p> <p>Wera HC III 5/08/2022</p> <p>August 2022</p> <p>Alere HC III 3/09/2022</p> <p>Asumuk HC III 7/09/2022</p> <p>Wera HC III 7/09/2022</p> <p>September 2022</p> <p>Alere HC III 7/10/2022</p> <p>Asumuk HC III 3/10/2022</p> <p>Wera HC III 6/10/2022</p>	2
<p>Maximum 14 points on this performance measure</p>	<p>Maximum 14 points on this performance measure</p>	<p>Maximum 14 points on this performance measure</p>	<p>Maximum 14 points on this performance measure</p>	<p>Maximum 14 points on this performance measure</p>

October 2022

Alere HC III 5/11/2022

Asumuk HC III 7/11/2022

Wera HC III 7/11/2022

November 2022

Alere HC III 6/12/2022

Asumuk HC III 5/12/2022

Wera HC III 4/12/2022

December 2022

Alere HC III 7/01/2023

Asumuk HC III 7/01/2023

Wera HC III 7/01/2023

January 2023

Alere HC III 4/02/2023

Asumuk HC III 5/02/2023

Wera HC III 6/02/2023

February 2023

Alere HC III 7/03/2023

Asumuk HC III 7/03/2023

Wera HC III 7/03/2023

March 2023

Alere HC III 5/04/2023

Asumuk HC III 7/04/2023

Wera HC III 6/04/2023

April 2023

Alere HC III 7/05/2023

Asumuk HC III 5/05/2023

Wera HC III 5/05/2023

May 2023

Alere HC III 6/06/2023

Asumuk HC III 7/06/2023

Wera HC III 7/06/2023

June 2023

Alere HC III 7/07/2023

Asumuk HC III 6/07/2023

Wera HC III 7/06/2023

Quarterly
 1st Quarter 2022/23
 Alere HC III 7/10/2022
 Asumuk HC III 3/10/2022
 Wera HC III 6/10/2022
 2nd Quarter 2022/23
 Alere HC III 7/01/2023
 Asumuk HC III 7/01/2023
 Wera HC III 7/01/2023
 3rd Quarter 2023
 Alere HC III 5/04/2023
 Asumuk HC III 7/04/2023
 Wera HC III 5/04/2023
 4th Quarter 2022/23
 Alere HC III 7/07/2023
 Asumuk HC III 6/07/2023
 Wera HC III 7/07/2023

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0
 Note: Municipalities submit to districts

Not applicable.

0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	Not applicable	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>Quarter 1 report was submitted on 14th October, 2022; Quarter 2 report was submitted on 13th January, 2023; Quarter 3 reports was submitted on 13th April, 2023; Quarter 4 report was submitted on 13th July, 2023.</p>	1
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>The LG developed a Comprehensive Performance Plan approved on 4/07/2023. The plan mainly targeted the weakest performing health facilities like Abarilela HC III, Amuria General Hospital, Asamuk HC III among the 10 health facilities identified.</p>	1

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>The implementation of the plan was planned for the 2nd Quarter 2023/24 (October-December 2023).</p>	0
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Human Resource Management and Development

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>According to the Approved Budget Estimates (pg. 64), the LG budgeted 4,740,586,000= for 260 H/Ws out of the required 400 H/Ws. The percentage was $260/400 \times 100 = 65\%$ which was below 75% required.</p>	0
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7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>According to the LG staff structure dated 1/08/2023 there were 7 out of 17 health facilities with at 75% of staff required. These were:</p> <ol style="list-style-type: none"> 1. Wera HCIII 18/19 (94.7%) 2. Morungatuny HCIII 15/19 (78.9%) 3. Orenge HCIII 16/19 (84.2%) 4. Abeko HCII 8/9 (88.9%) 5. Ogorokwa HCII 7/9 (77.8%) 6. Olwa HC II 7/9 (77.8%) 7. Arute HC II 7/9 (77.8%) 	0
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7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0

The health workers were working where they were deployed. Wera HC III had 18 H/Ws on the list 1/08/2023 and were found in the attendance book. Asamuk HC III had 14 H/Ws on the list dated 10/10/2023 and were found in the attendance book. Alere HC III had 10 H/Ws dated 4/08/2023 and were found in the attendance book.

The example of staff found were:

Wera HC III

Aaron Ojulong Senior Clinical Officer

Asmuk HC III

Nansubuga Dorothy Senior Clinical Officer

Alere HC III

Kanana Doris Senior Clinical Officer

3

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0

The LG publicized health workers on the noticeboards. Wera HC III publicized 18 H/Ws dated 1/08/2023.

Asamuk HC III publicized 14 H/Ws dated 10/10/2023. Alere HC III 10 H/Ws dated 4/08/2023.

2

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

a) Evidence that the DHO/MMOHs has:

i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0

There was no evidence that Health Facility In-charges were all appraised. Below are the reviewed files;

1. Omagor Pius (EN) of Abia HC III was appraised on 29/6/2023
2. Ocen Fredrick (EN) Amilimili HC II was appraised on 16/8/2023
3. Kanana Doris (CO) Alde HC II was appraised on 30/6/2023
4. Aisu Emmanuel (Amuria Gen Hospital) was appraised on 24/2/2023
5. Nansubuga Doroth (CO) Asamuk HC III was appraised on 29/6/2023
6. Okolong Silver (SCO) Amusus HC III was appraised on 29/6/2023
7. Aemo Lydia (E. Midwifery) wasn't appraised
8. Otim Dan (EN) Golokwana HC III was not appraised
9. Olele Alex (SCO) Morungatuny HC III was appraised on 8/8/2023

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p>	<p>There was evidence that all Health Facility Workers were appraised against the agreed performance plans though at some point, appraisal was carried out beyond the recommended timeframe.</p> <ol style="list-style-type: none"> 1. Achen Sarah (EN) Gen Hospita was appraised on 28/6/2023 2. Aibo Mary (E. Midwifery) Asamuk HC III was appraised on 19/7/2023 3. Asubu Julius (EN) Akeriua HC III was appraised on 10/10/2023 4. Oula Tom (EN) Gen Hospital was appraised on 2/7/2023 5. Among Esther (E. Midwifery) Amolo HC II was appraised on 30/6/2023 6. Ojamo Simon Peter (MO) Gen Hospital was appraised on 2/8/2023 7. Ebeu Simon (Information Health Asst) Asamuk HC III was appraised on 30/6/2023 8. Aleto Jane (EN) Gen Hospital was appraised on 22/6/2023 9. Apiso Naume (Health Asst) Alele HC III was appraised on 30/6/2023 10. Abulo Jesca Rose (EN) Were HC III was appraised on 28/6/2023 	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>There was no evidence and no reason given</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <ol style="list-style-type: none"> i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0 	<p>The Ag. ADHO conducted training to 40 H/Ws on the Benefits of Breast feeding on 6/09/2022. This took place in Amuria General Hospital and among the trainees were Nurses, Midwives, Clinical Officers and Linkage Facilitators in accordance to the training plan 2022/23.</p>	1

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>The activities of Training on the Benefits of Breast feeding were entered in the training database.</p>	1
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Management, Monitoring and Supervision of Services.

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>The CAO communicated to the PS-MOH on 28/09/2023 about the 21 health facilities that receive PHC NWR grants in a letter Ref: CR/ADLG/213/3. The list rhymes with the one submitted in the budget 2023/24.</p>	2
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9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>Fund allocation to the Health Department during FY 2022/2023 amounted to shs 709,988,000 as reflected on page 27 of the District approved budget for FY 2022/2023. The monitoring and service delivery was allocated shs 106,498,200 as per page 27 of the approved LG budget.</p> <p>Performance was 15%</p>	2
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N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0

The PS/ST communicated cash limits for the Education Sector in quarter one on 11th July, 2022 under reference BPD/102/155/01. Warranting was done on 15th July, 2022, Invoicing was done on 19th July, 2022; Transfers to schools was done on 21st July, 2022 and communication to LLGs was done on 21st July 2022.

In quarter two, the communication from PS/ST was done on 17th October, 2022 under reference MEP.50/268/01, Warranting on 21st October, 2022; Invoicing on 28th October, 2022. Transfers to schools was done on 1st November, 2022 and communication to LLGs was done on 1st November, 2022.

The PS/ST communicated cash limits for the Education Sector in quarter three on 29th December, 2022 under reference MEP.50/268/01. Warranting was done on 6th January, 2023, Invoicing was done on 10th January, 2023; Transfers to schools was done on 16th January, 2023 and communication to LLGs was done on 1st February, 2023.

The PS/ST communicated cash limits for the Education Sector in quarter four on 6th April, 2023 under reference MEP.50/268/01. Warranting was done on 10th , April, 2023, Invoicing was done on 17th April, 2023; Transfers to schools was done on 24th April, 2023 and communication to LLGs was done on 24t April, 2023.

There were no delays experienced by the DLG.

N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0

The was no evidence that Amuria DLG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of funds release in each quarter as detailed below:

(within 5 working days from the day of receipt of the funds release in each quarter). In quarter one, the DLG invoiced funds on 7th August, 2022; communication was done on 9th August, 2022 referenced ADLG/HEALTH/89/01 and funds released (shs 43,0009,000) and received on 15th August, 2022.

In quarter two, the DLG invoiced funds on 10th November, 2022; communication was done on 14th November, 2022 referenced ADLG/HEALTH/19/61 and funds released (23,222,780) and received on 20th November 2022.

In quarter three, the DLG invoiced funds on 10th February, 2023; communication was done on 14th February,2023 referenced ADLG/HEALTH/45/91 and funds released (13,225,780) and received on 20th February, 2023.

In quarter four, the DLG invoiced funds on 14th April, 2023; communication was done on 25th April, 2023 referenced ADLG/HEALTH/09/22 and funds released (33,202,780) and received on 28th April, 2023.

There were delays and noncompliance within 5 working days. Communications through circular letters were verified at Abeko HC III in Ogolai sub county; Amususu HC III in Kuju sub county pinned on notice boards by the Health Expert during field visits on 9th and 10th November, 2023.

N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0

Publicity was done for all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoPPED—e.g. through posting on public notice board as verified by the Health Expert during field visits on 9th and 10th November, 2023.

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>The meeting that took place on 28/09/2022 in Amuria Hospital followed up a recommendation made in the last quarter 2021/22 for HSDs to hold performance review meeting which was reported implemented. On 6/12/2022 held in the Health Board room a recommendation for improvement of vaccine coverages at point of contact was reported implemented. On 20/06/2023 the meeting recommended mapping of TBAs; the mapping of the TBAs was started by the ADHO on the 22/06/2023 and it was on going.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>The meeting that sat on 28/09/2022 was attended by the DCAO, Secretary for Health and officials from AIC. The one that took place on 6/12/2022 was attended by the Speaker, DPC, Secretary for Finance and officials from World Vision. On 9/03/2023 the Speaker, Secretary for Health and PAS attended the 3rd Quarter 2022/23 performance review meeting. The PAS and officials from World Vision attended the 4th Quarter 2022/23 meeting held on 20/06/2023.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>There was no HC IV in the LG. According to the DHO reports to CAO Amuria General Hospital was supervised on 30/09/2022, 12/10/2022, 8/02/2023 and 23/05/2023.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <p>• If not applicable, provide the score</p>	<p>The HSD was led by Amuria General Hospital. According to the reports by HSD to DHO; the 1st Quarter 2022/23 Orungo HC III, Amusus HC III and St. Clare Orungo HC III were supervised.</p> <p>In the 2nd Quarter 2022/23 Asumuk HC III, Abeko HC II and St. Clare Orungo HC III were supervised. St. Michael Wera HC III, Asumuk HC III and Wera HC III were supervised in the 3rd Quarter 2022/23. Alere HC III and Amusus HC III were supervised in the 4th Quarter 2022/23.</p>	1

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>The LG reports from discussion of the supervision visits to make recommendations for corrective actions and the implementation done. The DHT discovered that Morangatuny HC III was not monitoring the refrigerator Temperature daily and the Vaccine Control Book was not updated during the 2nd Quarter support supervision. The H/Ws were mentored by the ADHO/MCH and on follow up in the 3rd Quarter 2022/23 the Temperature was being monitored daily and the vaccine control was up to date.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>The health facilities were supported in prescription, storage and dispensing of medicines as follows: Amusus HC III 10/06/2023, Amucu HC III 10/01/2023 and Amilmil HC II 6/12/2022, Amuria Hospital 8/06/2023.</p>	1
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p>	<p>Fund allocation to the Health Department during FY 2022/2023 amounted to shs 709,988,000 as reflected on page 27 of the District approved budget for FY 2022/2023. The allocation for health prevention and promotion activities was allocated shs 212,996,400 as per page 28 of the approved LG budget. This was equivalent to 30%.</p>	2
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0</p>	<p>The DHE carried out socio-mobilisation on Polio vaccination using a Public Address system in October 2022. A Radio Talk show on Oral Polio vaccination by the DHO, DHE and ADHO/MCH was carried out on Saviour FM on 1/11/2022. The District Councillors were sensitised on Child Health Days by the DHT on 19/10/2022.</p>	1

11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p>	<p>The ADHO/EH in March 2023 supervised schools on WASH; these were Atenso P/S, Ojangai P/S, Awela P/S, Ayela P/S, Odekere P/S and Jalam P/S. From 28/11/2022-2nd December 2022, the ADHO/EH supervised schools like Amuria S.S, St. Benedict S.S, St. Paul Abarilela S.S and Morungatuny S.S on WASH.</p>	1
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Investment Management

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>The LG had an updated Asset register that set out health facilities and equipment relative to basic equipment. Alere HC III had BP machine, Delivery beds and Microscope. Wera HC III had Glucometer, BP machine, Delivery kits and Blood cell counter. Asamuk HC III had BP machine, Weighing scale and Thermometer.</p>	1
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12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were:</p> <p>(i) derived from the third LG Development Plan (LGDPIII);</p> <p>(ii) desk appraisal by the LG; and</p> <p>(iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG));</p> <p>score 1 or else score 0</p>	<p>The DLG implemented three projects during FY 2022/2023 out of which three samples were selected. (i) Construction of maternity ward at Golokwara HC II in Apedum sub county which was budgeted at shs 553,476,256 as per page 17 of the district approved budget. The project was captured in the DDP III on page 181, AWP page 21.</p> <p>The Field Appraisal Report was dated 2nd May, 2022 and the Desk Appraisal Report was dated 2nd May, 2022.</p> <p>(ii) Construction of maternity ward at Abeko HC II in Ogoloc sub county which was budgeted at shs 553,476,256 as per approved DLG budget for FY 2022/2023 page 17.</p> <p>The project was captured in the DDP III page 181, and AWP page 21. The Field Appraisal Report was dated 2nd May, 2022 and the Desk Appraisal Report was dated 2nd May, 2022.</p> <p>(iii) Construction of OPD at Amususu HC III in Kuju sub county which was budgeted at shs 155,947,000 as per approved DLG budget for FY 2022/2023 page 30.</p> <p>The project was captured in the DDP III page 181, and AWP page 30. The Field Appraisal Report was dated 2nd May, 2022 and the Desk Appraisal Report was dated 2nd May, 2022.</p>	1
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12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>Social and environment issues were addressed. The Field Appraisal Report was dated 2nd May, 2022 and the Desk Appraisal Report was dated 2nd May, 2022.</p>	1
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>All the LG health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist as evidenced by the following screening forms by EO & DCDO below;</p> <ul style="list-style-type: none"> • E&S Screening form for the construction of OPD block at Amusus HC III by the EO and DCDO on 02/12/2022 • E&S Screening form for the upgrade of Abeko HCII to HC III by the EO and DCDO on 02/12/2022 • E&S Screening form for the upgrade of Golokwara HC II to HC III by the EO and DCDO on 02/12/2022 	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>The Health sector timely submitted all its infrastructure and other request to procurement unit by 13th/04/2023 before the deadline date of 30th/April/2023 as per the PPDA requirement and was incorporated in the FY 2023/2024 approved procurement plan on page 2 of the plan. The following projects had been planned to be implemented in the FY2023/2024;</p> <ul style="list-style-type: none"> • Construction of a staff house in Golokwara HC II at 175,750,000 • Construction of a staff house in Abeko HC II at 175,750,000 	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>The LG Health department submitted the procurement request form (FormPP1) to the PDU on 13th/04/2023 before the 1st Quarter of the FY 2023/2024.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>The Contracts Committee approved all the health infrastructure investments for the FY2022/2023 in the minutes of the contracts committee dated 19th /01/2023 under minute number Min5/ADCC/01-1/22-23 for the construction of the Out Patient Department (OPD) at Amusus HC III in Kujju Sub county,</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG did not properly establish the project implementation team as per the PPDA guidelines. The respective appointment letters by CAO dated 20th/02/2023 indicated that Dr.Okwi Nick (DHO) was appointed as the contracts manager, Mr. Eonya Eلولu (District Engineer) as the project manager, Mr. Ogwal Moses as the Environment officer and Mr. Apio Rebecca as the CDO but did not appoint the clerk of works and Labour officer on the team..</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no HC II being upgraded to HC III in the FY 2022/2023</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no HC II being upgraded to HC III in the FY 2022/2023</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no HC II being upgraded to HC III in the FY 2022/2023</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no site instruction book/visitor's book on file and at the OPD construction site at Amusus HC II in Kuju Sub county. No technical supervision reports were availed for review for the construction of the OPD at Amusus HC II</p>	0

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p>	<p>The DLG implemented two projects in the department during FY 2022/2023. Up grade of Abeko HC III in Ogolai sub county.</p> <p>(a) Construction of OPD Block at Amusus HC III in Kuju sub county.</p> <p>DHO verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days after receiving payment requests) as evidenced by the examples below:</p> <p>(i) Payment to Clabos Uganda Ltd for shs 92,610,560 per payment voucher number 5325973 dated 18th May, 2023 in respect of construction of OPD Block</p> <p>At Amusus HC III in Kuju sub county.</p> <p>The DHO initiated payment on 10th May, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment.</p> <p>(ii) Payment to CAB Uganda Lt for shs 1,040,535,362 per payment voucher number 6413140 dated 27th June, 2023 in respect of up grade of Abeko and Golokwara HC II. The DHO initiated payment on 14th June, 2023 and on the same date, the CFO, CAO, CDO, DE, Environment Officer signed the payment on 16th June, 2023.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>The LG had a complete procurement file for the health Infrastructure contract with all records as required by the PPDA Law as indicated below for the respective projects;</p> <ul style="list-style-type: none"> • Construction of the OPD at Amusus HC II in Kuju sub county ((Procurement Reference no. AMUN565/WRKS/2022-2023/00008) <ol style="list-style-type: none"> 1. Evaluation report dated 19/12/2022 with CLABOS Uganda Ltd as the best evaluated bidder at 140,935,245. 2. Minutes of contracts committee dated 19th/01/2023 and evaluation report approval under minute Min5/ADCC/01-1/2022-2023 and 3. Works contract signed on 17th/02/2023 	1

Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>LG had recorded some grievances under health in the FY 2022/2023 by the time of assessment as evidenced below;</p> <ul style="list-style-type: none"> • Poor hygiene and sanitation of Amuria General Hospital by the Secretary for Health and Education to the Medical Superintendent - Amuria General Hospital in a letter dated 11/07/2022 and addressed by the CAO on 12/07/2022 (Water restored, NWSC bill cleared, latrines constructed) 	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>The LG disseminated and distributed guidelines on Health Care Waste Management to health facilities on 18/05/2023.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>The LG had a functional system for Medical waste management. Wera HC III, Asmuk HC III and Alere HC III had bin liners for segregation of waste, safety boxes, waste pits and placenta pits. Green Label Services LTD a registered service provider signed MOU with the LG on 1/10/2022. Amuria Hospital and St. Claire Orungo HC III each had an incinerator.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>The LG trained 24 Health facility In-Charges on Health Care Management on 18/05/2023 in the Health Board room.</p>	1

16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0	LG had incorporated costed ESMPs into designs, BoOs as evidenced below; • Item 3.0 (Allow a provision for planting trees and general site clearance and other environment health usage) at 18,000,000/=, page 52 in the Bidding Document for the construction of OPD block at Amusus HC III with Procurement Reference No. Amun/565/Wrks/2022-2023/00008	2
	Maximum 8 points on this performance measure			
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0	LG had proof that all health sector projects were implemented on land where the it had ownership, access as evidenced by the Land title for Amuria DLG 9 (Golokwara HC II) of P.O.Box, Amuria of 0.8980 hectares, plot 221, block 1 at Apeduru dated 08/12/2021	2
	Maximum 8 points on this performance measure			
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.	The EO and DCDO conducted only 02 monthly support supervision and monitoring of health projects as opposed to the 3 as evidenced below; • E&S Monitoring Report for upgrade of Abeko HCII and Golokwara HC II to HC III by the EO and DCDO on 07/06/2023 • E&S Monitoring Report for construction of OPD block at Amusus HC III by the EO and DCDO on 29/05/2023	0
	Maximum 8 points on this performance measure			
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0	Environment and Social Certification forms were completed and signed by the DEO and DCDO prior to payments of contractor invoices/certificates of the only health infrastructure project as evidenced below; • E&S Certification form for the construction of OPD block at Amusus HC III by the EO and DCDO on 27/06/2023 • E&S Certification form for the upgrade of Abeko HCII and Golokwara HC II to HC III by the EO and DCDO on 29/06/2023	2
	Maximum 8 points on this performance measure			

**Water &
Environment
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>The District rural water source functionality as per the MWE MIS on 9th November 2023 was 93%.</p>	2
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>The District facilities with functional water & sanitation committees as per the MWE MIS on 9th November 2023 was 70%.</p>	0

2

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;

- Above 80%, score 2
- 60% - 80%, score 1
- Below 60%, score 0

Reviewed the summary of the LLGs performance assessment report for the FY 2023/2024 and the scores for sub counties converted to percentage for the water and environment indicators were as follows;

Barilela s/c 100%

Akeriau s/c 40%

Apeduru s/c 100%

Asamuk s/c 80%

Kuju s/c 80%

Morugutung s/c 100%

Ogolai s/c 100%

Orungo s/c 0%

Wera s/c 70%

Willa s/c 100%

Ogongora s/c 100%

Amolo s/c 100%

Olwa s/c 60%

Abia s/c 50%

The average score for the LG was 77%

1

2

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.

- o If 100 % of water projects are implemented in the targeted S/Cs: Score 2
- o If 80-99%: Score 1
- o If below 80 %: Score 0

Data for safe water coverage used for planning in the FY 2022/23 was for FY 2021/2022. According to the Ministry MIS, the district safe water coverage for FY 2021/2022 was 71% and per sub-county (s/c) were as follows:

Barilela s/c 70%

Akeriau s/c 51%

Apeduru s/c 83%

Asamuk s/c 71%

Kuju s/c 72%

Morugutung s/c 72%

Ogolai s/c 74%

Orungo s/c 55%

Wera s/c 64%

Willa s/c 62%

Note that the new sub-counties still had no data in the Ministry

0

MIS.

Therefore, sub-counties with safe water coverage below the district average were Barilela, Akeriau, Orungo, Wera and Willa.

Reviewed the District Water and Sanitation 4th quarter progress report for FY 2022/2023 dated 10th June 2023 received by the MWE on the 10th July 2023. The water projects implemented were as follows:

1. 5 boreholes were drilled at total cost 112,518,300 UGX.
2. 4 boreholes were rehabilitated at total cost 18,229,451 UGX.
3. A production well was drilled and temporary installed with hand pump in Odukut village, Ogolai s/c at 34,993,500 UGX.
4. A piped water supply system was extended in Wera s/c at cost of 15,709,280 UGX.
5. A well was motorized in Ogongora s/c at 14,047,163 UGX.

The total fund used by the water sector for infrastructure development was 195,497,694 UGX.

Water projects implemented in sub-counties which had safe water coverage below district average were as follows;

- Barilela s/c; No water project was implemented.
- Akeriau, s/c: No water project was implemented
- Orungo s/c: No water project was implemented
- Wera s/c: Extension of piped water systems to Wera Secondary Seed School at 15,709,280 UGX.
- Willa s/c: No water project was implemented.

Total cost of water project implemented by the district in the s/c with safe water coverage below district average was 15,709,280 UGX.

The 4th quarter report for FY 2022/2023 indicated that the following development partners also constructed new water facilities in the district:

SOCADIDO, Welt Hunger Hilfe and IIT-DINU. However, the exact allocations and costs were not indicated. Also, the running MoU with the development partners were not presented.

Therefore, % of water projects implemented in the s/c with safe water coverage below the district average was 8%.

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates

o If within +/-20% score 2

o If not score 0

Reviewed the District Water and Sanitation AWP for FY 2022/2023 dated 9th February 2023, approved by the MWE on 13th February 2022 (the approval was late because the AWP had issues and adjustment made), the following projects were budgeted for implementation in the district

1. Drilling of 5 boreholes at a total cost 112,518,300 UGX.
2. Rehabilitating 4 boreholes at a total cost 18,229,451 UGX.
3. Drilling of production well and temporal installation with hand pump in Odukut village, Ogolai s/c at 34,993,500 UGX.
4. Extending piped water supply systems in Wera s/c at the cost of 15,709,280 UGX.
5. Motorizing a well in Ogongora s/c at 14,047,163 UGX.

Variations in the contract price for three sampled projects were as follows:

1. Drilling of 6 hand pump boreholes and one production well, contract number AMUN 565/WRKS/2022-2023/00015 was budgeted/estimated at 140,000,000 UGX. The contract document (Bill of quantity) showed that EBOWA INVESTMENT LTD quoted at 147,511,800 UGX. The contract variation was +5.4%.
2. Rehabilitation of 4 boreholes, contract number AMUN 565/WRKS/2022-2023/00015/2 was estimated at a total cost of 18,229,451 UGX. The contract document (Bill of quantity) showed that EBOWA INVESTMENT LTD quoted at 18,229,451 UGX. The contract variation was 0%
3. Extension of piped water supply systems in Wera s/c, contract number AMUN 565/WRKS/2022-2023/00017/2 was estimated at 15,709.280 UGX. The contract document (Bill of quantity) showed that MS CAB UGANDA quoted at 16,712,000 UGX. The contract variation was +6.3%

2

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

d. % of WSS infrastructure projects completed as per annual work plan by end of FY.

o If 100% projects completed: score 2

o If 80-99% projects completed: score 1

o If projects completed are below 80%: 0

Reviewed AWP for FY 2022/2023 dated 9th February 2023, approved by the MWE on 13th February 2022 to establish the projects that were budgeted for implementation at the sub-counties;

Asamuk T/C: Rehabilitating 1 borehole.

Kuju s/c: Drilling 1 hand pump borehole.

Ogolai s/c: Drilling 1 hand pump borehole and a production well.

Orungo s/c: Rehabilitating 1 borehole.

Wera s/c: Extension of pipe water.

Ogongora s/c: Drilling 1 hand pump borehole and motorising a well.

Amolo s/c: Drilling 2 hand pump boreholes. One of the boreholes was swap and drilled in Apeduru s/c.

Olwa s/c: Rehabilitating 2 boreholes.

According to the 4th quarter progress report for FY 2022/2023 dated 10th June 2023 received by the MWE on the 10th July 2023, all (100%) of the WSS infrastructure projects mentioned above were completed as per AWP and were functional.

2

3

New_Achievement of Standards:

The LG has met WSS infrastructure facility standards

Maximum 4 points on this performance measure

a. If there is an increase in the % of water supply facilities that are functioning

o If there is an increase: score 2

o If no increase: score 0.

From the Ministry MIS, the functionality of rural water supply facilities in the district for the FY 2021/2022 was 93% and for the FY 2022/2023 was 93%, giving no increase.

0

3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <p>o If increase is more than 1% score 2</p> <p>o If increase is between 0-1%, score 1</p> <p>o If there is no increase : score 0.</p>	<p>0</p> <p>From the Ministry MIS, the functionality of WUCs in the district for the FY 2021/2022 was 70% and for the FY 2022/2023 was also 70%, giving no increase.</p>
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Performance Reporting and Performance Improvement

4	<p>Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3</p>	<p>3</p> <p>From the District Water and Sanitation 4th quarter progress report for FY 2022/2023 dated 10th June 2023, received by the MWE on 10th July 2023, the following constructed WSS facilities were sampled and visited to establish if they were completed, functional and in use;</p> <ol style="list-style-type: none"> 1. Production well drilled in Oduku village in Ogolai s/c. It was drilled by EBOWA INVESTMENT LTD and installed temporarily with a hand pump on the 4th July 2023. The DWD number is 64940. The water source was completed, functional and in use by the community. 2. Ominaita borehole in Kuju s/c. The water source was constructed by EBOWA INVESTMENT LTD, installed on 22nd July 2023, DWD 64938. The water source was completed, functional and in use by the community. 3. Takarayem borehole in Apeduru s/c. The water source was constructed by EBOWA INVESTMENT LTD, installed on 14th August 2023, DWD 64951. The water source was completed, functional and in use by the community.
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5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2</p>	<p>The DWO presented a file containing Form 4 as evidence that the LG Water Office collected and compiled quarterly information on sub-county WSS facilities in the FY 2022/2023. However, many of the forms had no dates and therefore, it was not possible to ascertain if the information in them were collected and compiled quarterly.</p>	0
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0</p>	<p>The DWO did not have updated MIS for the district. The DWO submitted Form 4 which should be used to update MIS information on water facilities to the MWE only in the 2th quarter of the FY 2022/2023. The ministry MIS was not updated with the information on the WSS facilities in the district for the FY 2022/2023.</p>	0
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>LLG performance assessment report for the previous FY 2022/2023 indicated the following performances of the sub counties;</p> <p>Abarilela s/c 46%</p> <p>Akeriau s/c 38%</p> <p>Apeduru s/c 41%</p> <p>Asamuk s/c 40%</p> <p>Kuju s/c 27%</p> <p>Morugatung s/c 48%</p> <p>Ogolai s/c 42%</p> <p>Orungo s/c 48%</p> <p>Wera s/c 51%</p> <p>Willa s/c 43%</p> <p>The LLGs PIPs were not presented for the assessment.</p>	0

Human Resource Management and Development

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p>	<p>There was evidence that the DWO budgeted for critical positions in the department. According to the LG approved Budget Estimates VOTE 807, the wage budget was 26,400,000/= and this budget was for the 2 staff under water as shown below;</p> <ol style="list-style-type: none"> 1. Obaate Philip (DWO)Okello 2. Godfrey Kennedy (Assistant Engineering Water) 	2
6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>There was evidence that the Environment and Natural Resource Officer budgeted for staff in the department totalling to 191,994,000/= for the following;</p> <ol style="list-style-type: none"> 1. Ogwal Moses (Forestry Officer) 2. Ecanit Etanu Betty (Snr. Lands Mgt Officer) 3. Ekosile Deogratus (Snr. Environment Officer) 4. Odeke Charles (DNRO) 	2
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>The DWO did not appraise all the District Water Office staff against the agreed performance plans during the FY 2022/2023. Only the Assistant Engineering Officer was appraised as indicated below;</p> <ol style="list-style-type: none"> 1. Okello Godfrey Kennedy (Assistant Engineering Water) was appraised on 1/7/2023 and recommended for promotion 2. Obaate Phillip (District Water Officer) was not appraised because he was on interdiction at the time appraisals were being conducted. 	0

7

Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.

Maximum 6 points on this performance measure

b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3

The Capacity Need Assessment (CNA) report was not presented. The DWO conducted the staff appraisal, however, there were no training plan, report and database to indicate that capacity needs were identified and actions were taken.

0

Management, Monitoring and Supervision of Services.

8

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
 - • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
 - • If 80-99%: Score 2
 - • If 60-79: Score 1
 - • If below 60 %: Score 0

Data for safe water coverage used for planning the FY 2023/2024 was for FY 2022/2023. According to the Ministry MIS, the district safe water coverage for FY 2022/2023 was 68% and per sub-county (s/c) were as follows:

Barilela s/c 70%

Akeriau s/c 51%

Apeduru s/c 83%

Asamuk s/c 71%

Kuju s/c 72%

Morugatuny s/c 72%

Ogolai s/c 74%

Orungo s/c 55%

Wera s/c 64%

Willa s/c 62%

Note that the new sub-counties still had no data in the Ministry MIS.

Therefore, sub-counties with safe water coverage below the district average were Akeriau, Orungo, Wera and Willa.

Reviewed the AWP for FY 2023/2024 dated 10th June 2023 and approved by the MWE on the 10th July 2023. The water projects budgeted for implementation were as follows:

1. Construction of a public pit latrine in Abia s/c at a cost of 20,000,000UGX.

2. Drilling 4 boreholes at a total cost 100,656,868 UGX.

3. Rehabilitating 4 boreholes at a total cost 24,887,000UGX.

4. Drilling of a production well (motorized) in Morunguny s/c at

0

47,771,307 UGX.

5. Construction of a piped water supply system in Amuria TC at cost of 92,047,527 UGX.

6. Feasibility study and design of piped water systems in Morunguny s/c at 21,049,79 UGX.

The total fund used by the water sector for infrastructure development was 306,412,494 UGX.

Water projects budgeted for implementation in sub-counties which had safe water coverage below district average were as follows;

- Akeriau, s/c: Drilling of a borehole at 25,164,217 UGX and rehabilitation a borehole at 6,221,750 UGX.

- Orungo s/c: Rehabilitation a borehole at 6,221,750 UGX.

- Wera s/c: Rehabilitation a borehole at 6,221,750 UGX.

Willa s/c: Rehabilitation a borehole at 6,221,750 UGX.

Total cost of projects for implementation by the district in the s/c with safe water coverage below district average was 50,501,217 UGX.

The allocation and cost of water projects by the development partners and running MoUs were not presented.

Therefore, % of water projects budgeted for implementation in the s/c with safe water coverage below the district average was 16.4%.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY:
Score 3

There were evidences that the DWO communicated to the LLGs their respective allocations of water sources to be constructed in the FY 2023/2024. There was on the notice board of the district dated 13th June 2023, allocations of water project in the sub counties as follows;

1. Construction of a public pit latrine in Abia s/c at a cost of 20,000,000UGX.
2. Drilling 4 boreholes in Apeduru s/c, Amolo s/c, Akariau s/c and Amuria TC sat a total cost 100,656,868 UGX.
3. Rehabilitating 4 boreholes at a total cost 24,887,000UGX.
4. Drilling of a production well (motorized) in Morungtuny s/c at 47,771,307 UGX.
5. Construction of a piped water supply system in Amuria TC at cost of 92,047,527 UGX.
6. Feasibility study and design of a piped water systems in Morungtuny s/c at 21,049,79 UGX.

There was a letter written to all the SACAO/LCIII dated 10th July 2023 which indicated the number of allocations of water projects to sub-counties with their respective costs.

There was also a minute of the district water and sanitation advocacy meeting held on the 25th July 2023. During which the issue of water project allocation was discussed.

9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)</p>	<p>The DWO presented a water facilities asset register which was not up-to-date. The database was not updated with water facilities constructed from 2017 to 2022. Therefore, it was difficult to ascertain the full lists of the WSS facilities in the district.</p>	0
	<p><i>Maximum 8 points on this performance measure</i></p>	<ul style="list-style-type: none"> • If 95% and above of the WSS facilities monitored quarterly: score 4 • If 80-94% of the WSS facilities monitored quarterly: score 2 • If less than 80% of the WSS facilities monitored quarterly: Score 0 	<p>The DWO also presented a file containing Form 4 which were used to update MIS in the FY 2022/2023. There was evidence which indicated that Form 4 was submitted to MWE only in the 2nd quarter of the FY 2022/2023. Other forms did not have dates on them.</p>	
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2</p>	<p>The following minutes indicated that the DWSCC meetings were conducted and main issues discussed;</p>	2
	<p><i>Maximum 8 points on this performance measure</i></p>		<ul style="list-style-type: none"> • 1st quarter DWSCC meeting which was held on 5th October 2022. • 2nd quarter DWSCC meeting minutes was not presented. • 3rd quarter DWSCC meeting which was held on 16th February 2023. • 4th quarter DWSCC meeting which was held on 26th July 2023. 	
			<p>Some of the general issues discussed were; water projects allocations to sub-counties, repair and rehabilitation of boreholes and presentation s fro development partners .</p>	

9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>	<p>There was a notice displayed on the notice board of the district dated 13th June 2023 which indicated that the DWO publicized to the LLGs their respective allocations of water sources to be constructed in the FY 2023/2024.</p> <p>However, the allocations were not displayed in the notice boards of the sub-counties that were visited (Ogolai, Adukuru and Kujju). It was explained that these sub counties had no allocation of water projects in the FY 2023/2024 and allocations were sent to only sub counties that benefitted.</p>	2
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 	<p>The total allocation of NWR budget to rural water and sanitation was 71, 121,927 UGX out from which allocation to facilitate community mobilisation activities was 29,009,000 UGX which was 40.7% which is above the required percentage of 40% by WSS grant guidelines.</p>	3

10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.</p>	<p>The was a training report dated 10th July 2003 presented by the District Water Officer which indicated that WSCs were trained on the O&M of the newly constructed water facilities in FY 2022/2023;</p> <p>The following 3 water projects were visited to confirm if the training of the WSCs were conducted.</p> <ol style="list-style-type: none"> 1. Aminaite borehole in Kuju s/c. Found Opiyo Emanuel (Chairperson), Akello grace (Secretary), Amayo Josephine (Treasurer) and Agwal Joseph (caretaker) who all confirmed that the WSC was established and trained on the 6th July 2023. User fee collection of 2000 UGX per month per household started in August 2023. 2. Production well in Odukut village, Ogolai s/c. None of the WSC members was found. 3. Takarayem borehole in Apeduru s/c. Found Okello John Bosco (Secretary) who confirmed that the WSC was not trained. 	0
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Investment Management

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:</p> <p>Score 4 or else 0</p>	<p>The DWO presented a water facilities asset register which was not up-to-date. The newly constructed boreholes in the FY 2022/2023 were inserted in the list without serial number. The database was not updated with water facilities constructed from 2017 to 2022</p>	0
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Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDPIII) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:

Score 4 or else score 0.

The district implemented six projects in the department during FY 2022/2023 out of which a sample of four projects was selected.. (i) Drilling of production well at Ogolali sub county in Oduku village budgeted at shs 153,570,000 as per page 46 of the DLG approved budget for FY 2022/2023. The project was captured in the DDP III page 182 as well as the AWP page 46 for FY 2022/2023. The Desk and Field Appraisal Reports were both dated 2nd May, 2022.

(ii) Drilling of a deep borehole in Ominaita village in Kuju sub county which was budgeted for shs 21,000,000 as per approved DLG budget for FY 2022/2023 page 46. The project was captured in the DDP III page 182 and AWP page 46 in FY 2022/2023. The Desk and Field Appraisal Reports were both dated 3rd May, 2022. (iii) Drilling of a deep borehole in Alira village in Amolo sub county

Budgeted at shs 21,000,000 as per page 46 of the approved district budget, page 46 of the AWP and page 182 of the DDP III. The Desk and Field Appraisal Reports were both dated 3rd May, 2022.

(iv) Drilling of a deep borehole in Mesopotamia village in Amolo sub county budgeted at shs 21,000,000 as per page 46 of the approved district budget, page 46 of the AWP and page 182 of the DDP III. The Desk and Field Appraisal Reports were both dated 3rd May, 2022

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>The DWO presented community application forms for new water projects in the FY 2023/2024.</p> <ol style="list-style-type: none"> 1. Application for a borehole in Acanpii village, Akeriau S/C signed by the area LC1 on the 28th September 2023. 2. Application for a borehole in Murungatuny village, Murungatuny S/C signed by the area LC1 on the 3rd October 2023. 3. Application for a borehole in Odebei village, Opeduru S/C signed by the area LC1 on the 4th October 2023. 4. Application for a borehole in Aojaingur village, Amuria S/C signed by the area LC1 on the 4th October 2023. 	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>The Field Appraisal Reports were dated 20th July, 2022 and the Desk Appraisal Reports were dated 20th July, 2022. Environment and social acceptability were all executed as required.</p>	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>LG had proof that water infrastructure projects for the current FY were screened for environmental and social risks/ impacts by the DEO and DCDO and incorporation of ESMPs into the BoQs as evidenced below;</p> <ul style="list-style-type: none"> • E&S Screening forms for the drilling of a production well in Morungatuny Seed School on 27/09/2023 • E&S Screening forms for the hydrological survey, drilling and installation of a deep well at Odebei Village on 22/09/2023 • E&S Screening forms for the hydrological survey, drilling and installation of a deep well at 	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>The water infrastructure investments were incorporated on page 2 and 3 of the LG approved procurement plan approved on 30th/05/2022 and the projects included;</p> <ul style="list-style-type: none"> • Sitting, drilling and installation of 5 deep boreholes at 90,000,000 • Rehabilitation of 4 boreholes at 18,229,451 and • Extension of the piped water to Wera seed secondary school at 16,712,000 	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>The water supply and public sanitation infrastructure projects for FY2022-2023 were approved by the Contracts Committee as indicated in the following respective projects:</p> <ol style="list-style-type: none"> 1. Minute of the contracts committee dated 16th/03/2023 under minute Min4/ADCC/03-1/22-23 for the approval of the sitting, drilling and installation of 5 deep boreholes 2. Minute of the contracts committee dated 7th/06/2023 under minute Min4/ADCC/06-2/22-23 for the piped water supply extension. 3. Minute of the contracts committee dated 7th/06/2023 under minute Min4/ADCC/06-2/22-23 for the approval of the rehabilitation of 4 deep boreholes. 	2

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:

The LG properly established the project implementation team as per the PPDA guidelines. The respective appointment letters by CAO dated March 31, 2023 indicated that Godfrey Kennedy (DWO) was appointed as the contracts manager, Mr. Eonya Erelu (District Engineer) as the project manager, Mr. Ogwal Moses as the environment officer, and Mr. Apio Rebecca as the CDO. Note that water projects are small and short-term projects that may not need the clerk of works and labor officer.

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2

The DWO presented the Indian Mark II design for boreholes. The following borehole projects constructed in the FY 2022/2023 were visited to confirm if they were constructed as per the standard technical designs provided;

1. Production well in Odukut village in Ogolai s/c to supply Apeulei RGC. The well was installed with hand pump for the community to use it temporarily. The following measurements were checked and found to be within acceptable range in the standard technical design;

- i. Slap (apron) external diameter
- ii. Length of drainage channel
- iii. Sprout (pipe outlet) height

2. Ominait borehole in Kuju s/c. The following measurements were checked and found to be within acceptable range in the standard technical design;

- i. Slap (apron) external diameter
- ii. Length of drainage channel
- iii. Sprout (pipe outlet) height

3. Takarayem borehole in Apeduru s/c. The following measurements were checked and found to be within acceptable range in the standard technical design;

- i. Slap (apron) external diameter
- ii. Length of drainage channel
- iii. Sprout (pipe outlet) height

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2

The relevant technical officers carried out monthly technical supervision of WSS infrastructure projects as in the following supervision/monitoring reports;

- Technical inspection report on Morungatuny piped water system in Amuria district dated 23/03/2023
- Technical inspection report for the water sources in quarter 3 dated 21/02/2023
- Inspection report on the 13 boreholes drilled by Ebowa Investments development partner dated 17/02/2023
- Supervision report on drilling of boreholes in Akumangar community primary school in Asamuk sub county dated 15th/08/22

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts

o If 100 % contracts paid on time: Score 2

o If not score 0

The DLG implemented two projects in the department during FY 2022/202. Drilling of five boreholes;

Construction of production well at Apelai Rural Growth Center. Example of payments:

(i) Payment to Ebowa Investments Ltd for shs 138,661,800 for drilling works under contract AMUN 565/WRKS/2022-2023/00015. Payment voucher number 6411472 dated 27th June, 2023.

Initiation was done by the DWO on 16th June, 2023; CFO, CAO, DE, CDO and Environment Officer signed the payment on 15th June, 2023 (ii) Payment to Ebowa Investments Ltd Technologies

for shs 17,135,684 on payment voucher number 6411472 dated 27th June, 2023 for borehole rehabilitation per contract AMUN 565/2022-2023/00015/2

(iii) Payment to CAB Uganda Ltd for shs 15,709,280 on payment voucher number 6413140 dated 27th June, 2023 for extension of water to Wera Seed School in Wera TC

Contract reference AMUN/565/WRKS/2021-2022/00044. Initiation was done by the DWO on 23rd May, 2023 and on 16th June, 2023 the CFO, CAO, DE, CDO and Environment Officer signed the payment on 18th June, 2023.

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:

Score 2, If not score 0

The LG had a complete procurement file for each water Infrastructure contract with all records as required by the PPDA Law as indicated below for the respective projects;

• Sitting, drilling and installation of 5 deep boreholes ((Procurement Reference no. Amun565/WRKS/2022-2023/00015

1. Evaluation report dated 15/03/2023 with Ebowa Investment Ltd as the best evaluated bidder at 147,511,800
2. Minutes of contracts committee dated 16th/3/2023 and evaluation report approve under minute Min4/ADCC/03-1/22-23 and works contract signed on 30/03/2023

• Extension of a piped water system to Wera seed secondary school (Procurement Reference no. Amun565/WRKS/2022-2023/00017)

1. Evaluation report dated 12/6/2023 with CAB Uganda Ltd as the best evaluated bidder at 16,712,000
2. Minutes of contract committee dated 7th/06/2023 and evaluation report approval under minute Min4/ADCC/06-2/22-23 and works contract signed on 13/06/2023.

Rehabilitation of 4 deep boreholes in Orungo HC III, Asamuk HC III, Ataper village and Aboke village (Procurement Reference no. Amun565/WRKS/2022-2023/00015/2

1. Evaluation report dated 12/0/2023 Ebowa Investment Ltd as the best evaluated bidder at 18,229,151
2. Minutes of contract committee dated 7th/6/2023 and report approval under minute Min5/ADCC/06-2/22-23and works contract signed on 13/06/2023.

13	Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework <i>Maximum 3 points this performance measure</i>	Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework: Score 3, If not score 0	LG had not recorded any grievances under water for the FY 2022/2023 however the Central Grievance Log was available dated "2022/2023"	3
14	Safeguards for service delivery <i>Maximum 3 points on this performance measure</i>	Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs: Score 3, If not score 0	The EO disseminated guidelines on water source & catchment protection and natural resource management to CDOs as evidenced by Item 6 on the agenda and minute 07/07/CBS/2023 in the dissemination minutes dated 23/06/2023	3
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0	LG had not prepared the water source protection plans and natural resource management plans for WSS facilities constructed in the FY 2022/2023	0
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 3, If not score 0	The DWO did not present any proof of land consent, agreement, MoU or title for the WSS projects implemented in the FY 2022/2023.	0
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects: Score 2, If not score 0	E&S Certification form was completed and signed by the EO and DCDO prior to payments of contractors as evidenced below; • E&S Certification form for the hydrological survey, drilling and installation of five deep boreholes in Amuria on 26/06/2023	2

Safeguards in the
Delivery of Investments

*Maximum 10 points on
this performance
measure*

d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:

Score 2, If not score 0

The DCDO and EO undertook only one monthly monitoring of water projects in FY 2022/2023 as opposed to 3 as evidenced below;

- E&S Monitoring Report proposed drilling, casting and installation of borehole water sources at Alem Cell on 09/06/2023
- E&S Monitoring Report for the hydrological survey, drilling and installation of five deep boreholes and installation of a production well by the EO and DCDO on 26/06/2023

**Micro-scale
Irrigation
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries - score 2 or else 0</p>	<p>The LG had up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries as evidenced by the report dated 13/July/2023 prepared by Mutumba Raymonds-Senior Agricultural Officer</p> <p>For the FY 2022/23, Amuria district Local government was enrolled for the Micro scale irrigation program phase II. There was 22.25 acres of irrigated land for both beneficiaries and non-beneficiaries. The non-beneficiaries constituted to 19.25 acreage and the beneficiaries they constituted to 3 acreages as indicated in the data collection report dated 13/07/2023 page 3 &4. Three demonstration sites were set out under micro scale irrigation grant beneficiary that is Wera Seed SS of Wera S/C, Wera TC Village sized at 1 acre, Emeru Peter Canan farm of Wera S/C, Wera TC sized at 1 acre and Ejeu Tom Akeriau S/C Akeriau Village sized at 1 Arce</p>	2
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>According to the reports on irrigated land by Mutumba Raymonds-Senior Agricultural Officer Senior written on 13/07/2023, the District in the FY 2021/22 had 19.25acres of irrigated land which increased to 22.25 acres in the FY 2022/23. Therefore, the increase in the irrigated land was</p> $((22.25 - 19.25)/22.25) \times 100 = 13.5\%$	2
2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%, score 4 • 60% - 70%, score 2 • Below 60%, score 0 	<p>Awaiting Assessment results of the LLG.</p>	0

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0	The LG received a grant of 275,170,000 for FY 2022/2023 as indicated in the Approved Annual work plan and budget dated 14/03/2023 that was budgeted and used according to the set-out guidelines for districts under Phase II. Awareness raising for local leaders 41,275,519.65/= (15%), Awareness raising for farmers 110,068,052.4/= (40%), Farm visits 41,275,519.65/= (15%), Demonstration sites 82,551,039.3=/ (30%). From the budget performance report dated 17/08/2023, 78,739,678/= was spent on capital development and 192,619,092/= on complimentary services. The balance of 3,811,230/= was swept back to the system.	2
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	The approved farmer Wera Seeds SS and Canan farm signed an Acceptance Form dated 27/06/2023 confirming that equipment is working well, before the LG made payments to the suppliers. However, Ejeu Tom didn't sign any acceptance letter since there still some grievances of non-payment and repairs between him and the contractor to be settled.	1
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0	The Agricultural Engineer quoted for 78,796,648/= On the 16/2/2023 and the contractor Eco Soil and Water Engineers (U) Ltd quoted 74,739,678/= on 2/05/2023 Ref No CR/ADLG/207/1. The contract was awarded on 3/05/2023 of 74,739,678/= Ref No Amun565/WRKS/2022-2023/00001. Thus, the contract was within +/-20% of the Agriculture Engineers estimates.	1
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0	All micro irrigation equipment was Installed/completed 100%within the FY 2022/23 as evidenced from the certificate of Practical completion dated 29/JUNE/2023	2

4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	a) Evidence that the LG has recruited LLG extension workers as per staffing structure	There was no evidence that the LG recruited LLG extension workers as per the staffing structure. According to the approved structure, the LG required 108 and only recruited 27 extension workers translating to 25%	0
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0 		
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF	There was evidence that the micro-scale irrigation equipment met standards as defined by MAAIF as evidenced on the inventory register of micro-scale irrigation equipment dated 13/07/2023.	2
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 or else score 0 	<ol style="list-style-type: none"> 1. Two submersible solar water pump, head pressure (TDH) 30-40m, Qmax 3.63m³/hr was evidenced at host farmer Canan farm and Ejeu Tom 2. Five 250WP panel, voltage at max power 36.98V, including controller and accessories mounted on a metallic detachable structure was evidenced at host farmer Canan farm and Ejeu Tom. 3. 5000 L HDPE water storage tank mounted on raised platform was evidenced at host farm for Canan farm , Wera Seed SS and Ejeu Tom 4. 1/2" sprinkler with minimum radius flow of 15m was evidenced at host farm Canan farm, Wera seed SS, and Ejeu Tom. 5. A set of 16mm drip lines with line emitters, 0.7mm-1mm wall thickness, 20-30cm emitter spacing, 1.7-2.4L/Hr discharge was evidenced at host farm for Canan farm, Wera Seed SS and Ejeu Tom. 6. 0.75 in drag hose with connections and outlets (SPVC), 8-15 bars pressure rating, 30m length including adjustable garden nozzle with couplings was evidenced at host farm for Canan farm, Wera Seed SS and Ejeu Tom 7. Hydrant assembly including PVC riser, Nozzles was evidenced at host farm for Canan farm, Wera Seed SS and Ejeu Tom 8. A set of 16m blank tubing, 0.9-1mm wall thickness was evidenced at host farm for Canan farm, Wera Seed SS and Ejeu Tom. 	

4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>b) Evidence that the installed micro-scale irrigation systems during last FY are functional</p> <ul style="list-style-type: none"> • If 100% are functional score 2 or else score 0 	<p>From the 3 sampled irrigation facilities installed in the FY 2022/2023, it was evident that two were functional as both farmers Canan farm and Ejeu Tom were found irrigating.</p> <p>However, for Wera Seed SS there was a shortage of water supply that was being shared by the market and Wera Seed SS.</p>	2
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Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0</p>	<p>There was evidence that information on positions of extension workers filled was accurate as shown below;</p> <ol style="list-style-type: none"> 1. In Asamuk TC, the approved structure provided for Assistant Fisheries Officer (AFO) and as per the staff list, this position had been filled by Otude Charles 2. In Apeduru SC, the approved structure provided for Assistant Animal Husbandry Officer (AAHO) and as per the staff list, the position was occupied by Acom Elixabeth. 3. In Kuju SC, the approved structure provided for Assistant Agriculture Officer (AAO) and as per the staff list, the position had been filled by Odeke Denis 	2
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5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p>	<p>The field visit to the site by the assessment team on 10/11/2023 revealed that the information on demonstration site status was accurate for all demonstration sites. The host farmers, Canan farm and Ejeu Tom were found irrigating. Wera Seed SS farm was also found functional despite the water shortage at the time of assessment.</p>	2
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6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>	<p>Only one set of quarterly supervision and monitoring report Q4 report by Okim Moses -DAO as dated on the 26/06/2023 was evidenced. The supervision and monitoring report captured the status of the irrigated land, equipment functionality, the provision of complementary services and farmers expression of interest.</p> <p>Q1, Q2 and Q3 Quarterly supervision and monitoring reports were not evidenced</p>	0
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6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p>	<p>The LG had entered up to- date LLG information into MIS as evidenced by the last entry of EOI as Ocung Levi of Wera S/C NIN CM39058101KP53 dated 6/11/2023.</p> <p>Furthermore, EOI totaling to 389 of which 324 were successful and 65 unsuccessful. 15 farm visits were recorded and attendee of awareness raising event was 9040.</p>	1
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>c.Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0</p>	<p>The LG did not prepare reports for quarters 1, 2, and 3. However, quarter 4 report, dated June 26, 2023 was prepared using information compiled for LLGs in the MIS.</p>	0
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0</p>	<p>The LG developed an approved Performance Improvement Plan for the lowest performing LLGs (Kuju S/C) as evidenced in the Action plan dated 19/10/2022.</p> <p>In the Approved performance improvement plan different measures were suggested so as to improve on the performance of Kuju Subcounty such as;</p> <ol style="list-style-type: none"> 1. Intensive awareness campaigns and supervision to educate both farmers and stakeholders about the best practices. 2. Establishment of rigorous supervision protocols to ensure service delivery met high standards. 3. Regular monitoring mechanisms were suggested to enhance accountability and quality in the production sector. 4. Adoption to new technologies of record keeping and data tracking so as to minimize of the errors in data collection. 	1

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	From Implemented Performance Improvement report by Dr. Cheli Peter the DPO dated 15/03/2023, it was evidenced that the suggested measures were put in place.	1
	Maximum score 6		<ol style="list-style-type: none"> 1. Intensive awareness campaigns and supervision to educate both farmers and stakeholders about the best practices was done. 2. Rigorous supervision protocols were established to ensure service delivery met high standards. 3. Regular monitoring mechanisms were emphasized and put in place to enhance accountability and quality in the production sector. 4. Extension workers and the entire DPO staff were trained of the different technological advancements such as the use of irri-track system and MIS and this eased on the capturing of farmers expression of interests 	

Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	There was evidence that the LG had budgeted for extension workers for the FY 2023/2024 as per approved work plan dated 14/10/2023 page 1. 31 extension workers were budgeted for in all the 4 quarters. These were deployed as per guidelines as reflected in the staff registers dated 4/11/2023.	1
	Maximum score 6			
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	ii Deployed extension workers as per guidelines score 1 or else 0	There was evidence that the LG had budgeted for extension workers for the FY 2023/2024 approved work plan dated 14/10/2023 page 1. 31 extension workers were budgeted for in all the 4 quarters. These were deployed as per guidelines as reflected in the staff registers dated 4/11/2023.	1
	Maximum score 6			

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p>	<p>b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0</p>	<p>There was evidence that extension workers were working in the LLGs where they were deployed. For example;</p> <ol style="list-style-type: none"> 1. In Asamuk TC, Otude Charles was deployed as AFO and on 2/10/2023, he reported for duty at 8:00am 2. In Apeduru SC, Opio Geoffrey was deployed as AAO and on 4/10/2023, he reported for work at 8:30am 3. In Kuju SC, Akol Emmanuel was deployed as AFO and on 5/9/2022, he reported at 7:20am 	2
Maximum score 6				
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p>	<p>c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0</p>	<p>There was no evidence that extension workers deployment had been published and disseminated to all noticeboards of LLGs except Asamuk TC. Secondly, the staff lists were neither dated nor stamped</p>	0
Maximum score 6				
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p>	<p>a) Evidence that the District Production Coordinator has:</p> <ol style="list-style-type: none"> i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0 	<p>There was no evidence that the DPO conducted appraisal for all extension workers against the agreed performance plans and submitted a copy to HRO. For stance,</p> <ol style="list-style-type: none"> 1. Epaju Thomas (AAHO) Abarilela was not appraised 2. Edupet John Collins (AAO) Ogolai was appraised on 15/7/2023 3. Odeke Stephen (AAHO) Kuju was not appraised 4. Opio Geoffrey (AAO) Apeduru was not appraised 5. Abia Stella (AAHO) Ogolai was appraised on 30/6/2023 6. Otude Charles (AFO) was not appraised 7. Akol Emmanuel (AFO) Kuju was appraised on 7/9/2023 8. Omais John (AFO) Abarilela was appraised on 3/7/2023 9. Ogweng Julius (AAHO) Willa was appraised on 8/7/2023 10. Adome Nampa Flavia (AAHO) Kuju was appraised on 11/7/2023 	0
Maximum score 4				

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has;</p> <p>Taken corrective actions: Score 1 or else 0</p>	<p>There was evidence that DPO took corrective action. Akware Veronich Ronnien was send for training in Artificial Insemination and Breeding Technology Management in Cattle from 22 - 30/09/2023 at College of Agriculture and Environmental Science - Makerere University</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>b) Evidence that:</p> <p>i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0</p>	<p>Training activities were conducted in accordance to the training plans at District level as evidenced in training reports dated 18/05/2023 and training data base dated 30/06/2023. Training activities included Awareness raising micro-scale irrigation held on 28/02/2023 at district Headquarters in the council hall were 73(DTPC, DEC, councilors) attended, awareness of framers and training of extension works and farmers on the hands on of irrigation equipment. For extension workers, they were trained on the use of irr-track system to enable them on the capturing of farmers expressions of interests while farmers were trained on hands on operation of the micro-scale equipment so as to achieve servicing and maintenance of the equipment.</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>ii Evidence that training activities were documented in the training database: Score 1 or else 0</p>	<p>Training activities were documented in the training database as evidenced in training data base dated 30/06/2023 and specific days were allocated for training per subcounty. Awareness raising micro-scale irrigation for leaders held on 28/02/2023 at district Headquarters and mobilization of farmers held from 10/02/2023 to 11/02/2023.</p>	1

Management, Monitoring and Supervision of Services.

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 - 75% capital development; and 25% complementary services): Score 2 or else 0</p>	<p>The LG received a grant of 275,170,000 for FY 2022/2023 as indicated in the Approved Annual work plan and budget dated 14/03/2023 that was budgeted and used according to the set-out guidelines on page 103 of 230 of the UgIFT Technical guidelines Version 3, April 2023 for districts under Phase II. Awareness raising for local leaders 41,275,519.65/= (15%), Awareness raising for farmers 110,068,052.4/= (40%), Farm visits 41,275,519.65/= (15%), Demonstration sites 82,551,039.3/= (30%).</p>	2
	<p>Maximum score 10</p>		<p>From the budget performance report dated 17/08/2023, 78,739,678/= was spent on capital development and 192,619,092/= on complimentary services. The balance of 3,811,230/= was swept back to the system.</p>	
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	<p>There was no evidence that that budget allocations were made towards complementary services in line with the sector guidelines.</p>	0
	<p>Maximum score 10</p>			
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>Not Applicable</p>	0
	<p>Maximum score 10</p>			

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>No evidence was availed to indicate that the local government used farmer co-funding funds.</p>	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>The LG disseminated information on use of the farmer co-funding as evidenced from the minutes on dissemination of farmers co-funding to the farmers dated 28/02/2023. Copies of the literature on UGIFT were produced and given to members for them to comprehend to the purpose of the fund. Highlights of the UGIFT were mentioned by the Agricultural officer (Focal person). Copies of farmers guide part 1,2 and 3 April 2023 were issued out to farmers during the farm visits and trainings held.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 Less than 70% score 0 	<p>The installations for the micro- scale equipment for the FY 2022/2023 was completed late on June 27, 2023 three days to the end of the FY 2022/2023; thus, no monitoring reports were availed to indicate that the DPO monitored installed equipment on a monthly basis.</p>	0

10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0	The LG over saw technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period as evidenced in field monitoring reports dated 28/08/2023. Farmers were trained on the understanding of the irrigating system components, system operations and water distribution at Ejeu Tom's site. Furthermore, another report on farmer irrigation technology exposure visit dated 11/06/2023 at the two sites in Tororo district, one in Mukuju S/C and another on in Malaba was evidenced.	2
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0	There was evidence that the LG had provided hands- on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines according to the farm visit report dated 18/05/2023.14 Extension workers were trained on how to use the IRR- TRACK APP, filling and documentation, collection of EIO from farmers.	2
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	There was no evidence that the LG had established and run farmer field schools as per guidelines.	0
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	The LG has conducted activities to mobilize farmers as per guidelines as evidenced from report dated 28/02/2023, Attendance sheets dated 10/02/2023 and 11/02/2023. 54% male and 46% female farmers were mobilized.	2
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	The district trained staff and political leaders at District and LLG levels as evidenced in training report dated 28/02/2023 on awareness creation exercise held at the district headquarters. At district level there were 53 males and 20 females.	2

Investment Management

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0</p>	<p>The LG had an up dated register of micro-scale irrigation equipment supplied to farmers in the FY 2022/2023 as per the format as evidenced from the inventory of irrigation equipment dated 13/07/2023.</p>	2
	<p>Maximum score 8</p>		<ol style="list-style-type: none"> 1. Two submersible solar water pump, head pressure (TDH) 30-40m, Qmax 3.63m³/hr was evidenced at host farmer Canan farm and Ejeu Tom 2. Five 250WP panel, voltage at max power 36.98V, including controller and accessories mounted on a metallic detachable structure was evidenced at host farmer Canan farm and Ejeu Tom. 3. 5000 L HDPE water storage tank mounted on raised platform was evidenced at host farm for Canan farm , Wera Seed SS and Ejeu Tom 4. 1/2" sprinkler with minimum radius flow of 15m was evidenced at host farm Canan farm, Wera seed SS, and Ejeu Tom. 5. A set of 16mm drip lines with line emitters, 0.7mm-1mm wall thickness, 20-30cm emitter spacing, 1.7-2.4L/Hr discharge was evidenced at host farm for Canan farm, Wera Seed SS and Ejeu Tom. 6. 0.75 in drag hose with connections and outlets (SPVC), 8-15 bars pressure rating, 30m length including adjustable garden nozzle with couplings was evidenced at host farm for Canan farm, Wera Seed SS and Ejeu Tom 7. Hydrant assembly including PVC riser, Nozzles was evidenced at host farm for Canan farm, Wera Seed SS and Ejeu Tom 8. A set of 16m blank tubing, 0.9-1mm wall thickness was evidenced at host farm for Canan farm, Wera Seed SS and Ejeu Tom. 	
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p>	<p>The LG kept an up-to—date database of applications at the time of the assessment as evidenced in the data base of farmers applications for micro scale irrigation dated 30/06/2023 were 389 farmers applied.</p>	2
	<p>Maximum score 8</p>			

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>Farm visits were carried out as evidenced by the farm visit reports dated 6/11/2023, it was evident that the District had carried out farm visits to farmers that submitted complete Expressions of Interest. From the farm visits a number of parameters were assessed including area to be irrigated, nature of water source, distance from the water source to the furthest point of the garden, agronomic practices and equipment of preference.</p> <p>However, there was no evidence of farmers signing an Agreement to proceed for quotation form.</p>	2
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>There was no evidence that the LG District Agricultural Engineer publicised the eligible farmers that they have been approved for posting on the District and LLG noticeboards</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>The micro-scale irrigation systems had not been incorporated in the LG approved procurement plan for the FY 2023/2024 as the LG had not yet received the Indicative planning Figure (IPF) for incorporation into the procurement plan</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>Following the letter of submission of the list of pre-qualified suppliers by MAAIF dated May 25, 2021, the LG requested for quotations from the irrigation equipment suppliers pre-qualified by MAAIF as per the quotation request letter dated April 14, 2023, and attracted only one pre-qualified bidder (ECO Soil and Water Engineers Ltd) for the supply and installation of the small-scale equipment of demos at a quotation of 74,739,678 and was evaluated as the best bidder</p>	2

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0	The LG concluded the selection of the irrigation equipment supplier as in indicated in the minutes of the contract committee for the evaluation report approval dated 2nd/05/2023 under Min4/ADCC/5-1/2022-2023 with ECO Soil and water Engineers Ltd as the best evaluated bidders.	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0	The micro-scale irrigation systems installed were approved by the Contracts Committee as per minutes of the contracts committee dated 25/04/2023 under minute Min4/ADCC/4-1/2022-2023 for procurement and installation of three sets of demonstration irrigation systems at an amount of 74,739,678	1
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	Only one irrigation equipment supplier turned up for the contract and was evaluated as the lowest priced technically responsive irrigation equipment supplier and was awarded the contract at 74,739,678.	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	f)Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	The micro-scale irrigation equipment installed was in line with the design output sheet (generated by irri Track App). The irrigation points were 7-10m apart and the drag horse 50m efficient for irrigation acreage coverage.	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0	Site books indicated that SAO, SEO& WO concurrently visited the sites on different dates dated 16/6/2023,27/06/2023, 9/08/2023 and supervision reports dated 27/06/2023 filed by Senior Agricultural Officer Senior, thus it was evidenced that the LG conducted regular technical supervision of the Micro-scale irrigation projects.	2

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	h) Evidence that the LG has overseen the irrigation equipment supplier during: i. Testing the functionality of the installed equipment: Score 1 or else 0	Site books indicated that SAO, SEO& WO concurrently visited the sites on different dates dated 16/6/2023,27/06/2023, 9/08/2023 and supervision reports dated 27/06/2023 filed by Senior Agricultural Officer Senior, thus it was evidenced that the LG has oversaw the irrigation equipment supplier during testing the functionality.	1
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	Site books indicated that SAO, SEO& DWO concurrently visited the sites on different dates dated 16/6/2023,27/06/2023, 9/08/2023 and supervision reports dated 27/06/2023, filed by Senior Agricultural Officer Senior, thus it was evidenced that the LG has oversaw the irrigation equipment supplier hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer	1
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	The Local Government made payment of the supplier within specified timeframes subject to the presence of the Approved farmer as evidenced form the request of payment dated 17/6/2023 and payment was made on 27/06/2023.	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	The LG had a complete procurement file for the supply and installation of small-scale equipment for the demo sites (Procurement reference: Amun565/Wrks/2022-2023/00001) and was composed of the following documents as per the PPDA requirement: • Evaluation report dated 28th/04/2023 with Eco Soil and Water Engineers Ltd as the best evaluated bidders at 74,739,678. • Minutes of the contracts committee dated 2nd/05/2023 for the approval of the evaluation report under minute Min5/ADCC/05-2/22-23 and • The works contract signed on 3rd/05/2023.	2

Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0</p>	<p>The LG displayed details of the nature and avenues to address grievance prominently in multiple public areas as evidenced on all LG and LLG noticeboards dated 23/03/2023 and 28/03/2023.</p>	2
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>i). Recorded score 1 or else 0</p> <p>ii). Investigated score 1 or else 0</p> <p>iii). Responded to score 1 or else 0</p> <p>iv). Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>Record of grievances indicated one case was recorded in Akeriau dated 5/06/2023 by Complainant Ejeu Tom the host farmer where the installed pump was not working. The contractor was contacted and he repaired the pump successfully.</p> <p>The farmer was not knowledgeable on the operation of the installed system on 5/6/2023 was recorded by Ejeu Tom the complainant in Akeriau. The farmer was fully trained.</p> <p>Damage of the main water supply pipe by the road tractors, thus cutting off water to the site was by Mr David the deputy headteacher of Wera Seed SS on the 19/6/2023. The contractor was contacted and the broken pipe was replaced and buried deeper underground one week later.</p> <p>Missing sprinkler heads and drag hose pipe after installation was logged by Mrs Jennifer the caretaker of Cannan farm on 22/6/2023. The contactor was contacted by the SAE and all the missing items were installed.</p> <p>These cases were not reported in line with LG grievance redress frameworks.</p>	1

Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework

Maximum score 6

b) Micro-scale irrigation grievances have been:

ii. Investigated score 1 or else 0

iii. Responded to score 1 or else 0

iv. Reported on in line with LG grievance redress framework score 1 or else 0

Record of grievances indicated one case was recorded in Akeriau dated 5/06/2023 by Complainant Ejeu Tom the host farmer where the installed pump was not working. The contractor was contacted and he repaired the pump successfully.

The farmer was not knowledgeable on the operation of the installed system on 5/6/2023 was recorded by Ejeu Tom the complainant in Akeriau. The farmer was fully trained.

Damage of the main water supply pipe by the road tractors, thus cutting off water to the site was by Mr David the deputy headteacher of Wera Seed SS on the 19/6/2023. The contractor was contacted and the broken pipe was replaced and buried deeper underground one week later.

Missing sprinkler heads and drag hose pipe after installation was logged by Mrs Jennifer the caretaker of Cannan farm on 22/6/2023. The contractor was contacted by the SAE and all the missing items were installed.

However, these cases were not reported online with LG grievance redress frameworks.

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>Record of grievances indicated one case was recorded in Akeriau dated 5/06/2023 by Complainant Ejeu Tom the host farmer where the installed pump was not working. The contractor was contacted and he repaired the pump successfully.</p> <p>The farmer was not knowledgeable on the operation of the installed system on 5/6/2023 was recorded by Ejeu Tom the complainant in Akeriau. The farmer was fully trained.</p> <p>Damage of the main water supply pipe by the road tractors, thus cutting off water to the site was by Mr David the deputy headteacher of Wera Seed SS on the 19/6/2023. The contractor was contacted and the broken pipe was replaced and buried deeper underground one week later.</p> <p>Missing sprinkler heads and drag hose pipe after installation was logged by Mrs Jennifer the caretaker of Cannan farm on 22/6/2023. The contractor was contacted by the SAE and all the missing items were installed.</p> <p>However, these cases were not reported online with LG grievance redress frameworks.</p>	1
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14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>The one case was reported in line with LG grievance redress frameworks.</p>	1
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Environment and Social Requirements

15	Safeguards in the delivery of investments Maximum score 6	<p>a) Evidence that LGs have disseminated Micro-irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.</p> <p>score 2 or else 0</p>	<p>The guidelines were in place and MOUs were signed between the LG and the host farmers. The LG disseminated these guidelines through the E&S input distribution list for E&S requirements guidelines, farmers brochure part 1&2 dated April & May/2023 where farmers were inducted on the different farm visits as indicated in the report on farm visits dated 30/06/2023.</p> <p>MOU was signed between Wera Seed SS NIN CF68097102657K and Dr Cheli Peter the DPO on behalf of Amuria district LG on 10/08/2023.</p> <p>MOU was also signed between Cannan Farm NIN CM5205810048AE and Dr Cheli Peter the DPO on behalf of Amuria district LG on 09/08/2023.</p> <p>MOU was also signed between Ejeu Tom NIN CM560581017P2K and Dr Cheli Peter the DPO on behalf of Amuria district LG on 09/08/2023.</p> <p>However, all MOUs were signed after installation of the micro scale irrigation equipment and this didn't confirm to the guide lines.</p> <p>Clauses to adhere with requirements for proper storage, use, transportation, and disposal of agro-chemicals and follow E&S recommendations were evidenced on page 1of 2 of the signed MOUs.</p> <p>However, there was no evidence of land access without Encumbrance since no land tittle nor land agreement was availed at the time of assessment from the three host farmers.</p>	2
15	Safeguards in the delivery of investments Maximum score 6	<p>b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.</p> <p>i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0</p>	<p>LG had prepared a costed ESMP for micro scale irrigation investments in FY 2022/2023 by the SEO and DCDO as follows;</p> <ul style="list-style-type: none"> • Costed ESMP of 3,700,000/= for the Supply and installation of irrigation demonstration equipments in Amuria district by the EO and DCDO on 21/11/2022 	1

15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	LG had conducted only one monthly monitoring of micro scale irrigation investments in FY 2022/2023 as opposed to the 3 required as follows; • E&S Monitoring Report for the micro scale irrigation demonstration sites in Amuria district by the EO and DCDO on 26/06/2023	0
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	LG had Certification form completed and signed by EO for micro scale irrigation investments in FY 2022/2023 as follows; • E&S Certification form for the Supply and installation of irrigation demonstration equipments (Mr Ejeiu Tom, Cannan farms and Wera Seed School) on 27/06/2023	1
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	LG had Certification form completed and signed by DCDO for micro scale irrigation investments in FY 2022/2023 as follows; • E&S Certification form for the Supply and installation of irrigation demonstration equipments (Mr Ejeiu Tom, Cannan farms and Wera Seed School) on 27/06/2023	1

**Crosscutting Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	Mr. Okwii Patrick was the Ag. CFO assigned by CAO Alfred Malinga on 28/01/2023. There was no secondment	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	Mr. Akelem Emmanuel was substantively appointed as the District Planner as per the letter of appointment dated 1/5/2008 under DSC Min No. 71/2008 (21)	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	Mr. Eonya Julius Elolu was the Ag. District Engineer assigned by CAO Mr. Andrew on 26/7/2018. There was no secondment	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	Mr. Odeke Charles was substantively appointed as the DNRO on 25/7/2022 under DSC Min No. 9/ADSC/JUNE/2022 (m)	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	Dr. Cheli Peter was the DPO substantively appointed as per the appointment letter dated 19/9/2019 under DSC Min No. 59/ADSC/2019/30	3

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	Ms. Apio Rebecca was the Ag. DCDO assigned by CAO on 9/12/2021. There was no secondment	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	Mr. Okodel Francis was the Ag. District Commercial Officer assigned by CAO Mr. Francis on 1/7/2021. There was no secondment	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	Akol Anne was the substantively appointed as the Senior Procurement Officer as per the letter of appointment dated 26/6/2020 under DSC Min No. 5/ADSC/JUNE/2020 (viii)	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	Mr. Igelat Raphael was substantively appointed as Procurement Officer as per the appointment letter dated 2/7/2018 under Min No. DSC/AMUR/05/05/2018 (1) (g)	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	Ms. Onanyang Martha was substantively appointed as the PHRO as per the appointment letter dated 1/8/2018 under Min No. DSC/AMUR/04/06/2018 a (1) (i)	2

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	Mr. Ekosile Deogratius was substantively appointed as Senior Environment Officer as per the letter of appointment dated 19/9/2019 under DSC Min No. 59/ADSC/2019/23	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	k. Senior Land Management Officer /Physical Planner, score 2 or else 0	Ms. Ecanit Etanu Betty was substantively appointed as Senior Land Management Officer as per the letter of appointment dated 10/9/2009 under DSC Min No. 116/2009	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	l. A Senior Accountant, score 2 or else 0	Mr. Orikot Ebulu Timothy was substantively appointed as Senior Accountant as per the appointment letter dated 15/6/2023 under Min No. 4/ADSC/JUNE/2023 (a)	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0	Mr. Osuku Julius was substantively appointed as Principal Internal Auditor as per the appointment letter dated 1/8/2018 under Min No. DSC/AMUR/04/06/2018 a (10) (i)	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0	Mr. Ejupu Martin Opaga was substantively appointed as PHRO – DSC as per the appointment letter dated 1/8/2018 under Min No. DSC/AMUR/04/06/2018 a (1) (ii)	2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).

The DLG had 18 LLGs including 4 TCs and 14 SC but due to poor records management, only 14 personnel files were presented for review and findings indicated that some of the SAS/SACAOs and Town Clerks were not substantively appointed as evidenced below;

1. Akurut Mirriam (Apeduru SC) was substantively appointed on 1/8/2018 under Min No. DSC/AMUR/04/06/2018 a (2) (ii)

2. Atiang Winfred (Asamuk) was substantively appointed on 1/8/2018 under Min No. DSC/AMUR/04/06/2018 a (2) (ix)

3. Opio Joseph (Orungo) was substantively appointed on 27/5/2008 under Min No. 71/2008 (13)

4. Akello Stella (Ogolai SC) was substantively appointed on 1/8/2018 under Min No. DSC/AMUR/04/05/2018 a (2) (v)

5. Onyait OchanSilver (Amuria TC) was substantively appointed on 19/9/2019 under Min No. 59/ADSC/2019/29 (a)

6. Abato Sarah (Willa SC) was substantively appointed on 27/5/2008 under Min No. 71/2008 (13)

7. Eumu David Micheal (Kuju SC) was substantively appointed on 1/8/2018 under Min No. DSC/AMUR/04/06/2018 a (2) (iv)

8. Asekenye Martha (Akeriau SC) was substantively appointed on 1/8/2018 under Min No. DSC/AMUR/04/06/2018 a (2) (i)

9. Olego Abdallai Esayu was the Ag. SACAO of WERE SC assigned by CAO on 20/7/2022

10. Okiring Job was the Ag. SACAO of Amolo assigned by CAO on 8/12/2021

11. Opoi Max was the Ag. SACAO of Abia assigned on 8/12/2021

12. Etiang John was the Ag. SACAO of Ogongora assigned on 8/12/2021

13. Asello Okello Jesca was the Ag. SAS of Abarilela SC assigned on 27/8/2008

14. Okiror Micheal was the Ag. SACAO of Morungatuny SC assigned on 17/7/2023

2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

The DLG had 15 CDOs at LLGs according to approved structure dated 24/10/2017. However, due to poor records management, 11 personnel files were presented for review and findings indicated that not all CDO were substantively appointed as shown below;

1. Amuge Everlyn was substantively appointed as CDO for Ogolai SC on 21/3/2018 under Min No. DSC/AMUR/04/02/2018 (1 c)
2. Okanyo Benard was substantively appointed as CDO for Willa SC on 1/8/2018 under Min No. DSC/AMUR/04/06/2018 a (17) (xi)
3. Malinga Micheal Edmond was substantively appointed as CDO attached to Head Office on 1/11/2016 under Min No. DSC/AMUR/07/2016 (2)
4. Ijaala Kathy Osire Jeany was substantively appointed as CDO for Apeduru SC on 1/6/2023 under Min No. 4/ADSC/MAY/2023 (b)
5. Akol Felix was substantively appointed as CDO for Morungatuny on 1/8/2018 under Min No. DSC/AMUR/04/06/2018 a (17) (i)
6. Orungura Agalo Agnes was substantively appointed as CDO for Asamuk TC on 1/8/2018 under Min No. DSC/AMUR/04/06/2018 a (17) (ii)
7. Akello Rhoda was substantively appointed as Snr. CDO for Amuria TC on 1/8/2018 under Min No. DSC/AMUR/04/06/20180a (16) (i)
8. Akol Jane Francis was assigned as the Ag. CDO for Kuju SC on 30/3/2023
9. Amuge Gorret was assigned as the Ag. CDO for ogongora on 27/1/2021
10. Isiku Elizabeth was assigned as the Ag. CDO for Akeriau on 2/8/2022
11. Oonyu Gilbert was substantively appointed as CDO Were SC on 29/7/2010 under Min No. 137/2010 (ix)

0

2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

The DLG had 15 Accounts Assistants and all were substantively appointed as shown below;

1. Obirai Gilbert was substantively appointed as Snr. Accounts Assistant Head Office on 26/6/2020 under Min No. 5/ADSC/JUNE/2020 (xv)
2. Apolot Agnes Vicky was substantively

5

appointed as Accounts Assistant in Orungo on 1/9/2009 under Min No. 116/2009

3. Ilukor Okwii Moses was substantively appointed as Snr. Accounts Assistant Amuria TC on 1/8/2018 under Min No. DSC/AMUR/04/06/2018 a (22) (ii)

4. Yachesikor Rosemary was substantively appointed as Snr. Accounts Asst. for Abarilela on 1/6/2015 under Min No. 19/04/2015 (f) i

5. Byansi Ben was substantively appointed as Snr. Accounts Asst for Wera TC on 1/8/2018 under Min No. DSC/AMUR/04/06/2018 a (22) (vii)

6. Esiru Kenneth was substantively appointed as Snr. Accounts Asst for Head Office on 1/6/2018 under Min No. DSC/AMUR/05/05/2018 (1) (e)

7. Apio Marion was substantively appointed as Accounts Assistant for Apeduru on 19/9/2019 under Min No. 59/ADSC/2019/36 (h)

8. Isamat Simon Peter was substantively appointed as Accounts Assistant for Akeriau on 19/9/2019 under Min No. 59/ADSC/2019/36 (d)

9. Acom Lucy was substantively appointed as accounts Assistant for head office on 24/7/2018 under Min No. DSC/AMUR/04/06/2018 a (g) (iv)

10. Adeke Berna was substantively appointed as accounts assistant for Wera on 1/8/2018 under Min No. DSC/AMUR/04/06/2018 a (g) (ix)

11. Ekisu Moses was substantively appointed as accounts assistant for Gen Hospital on 19/9/2019 under Min No. 59/ADSC/2019/36 (a)

12. Okello Paul was substantively appointed as Snr. Accounts Assistant for Kuju on 1/6/2023 under Min No. 4/ADSC/MAY/2023 (h)

13. Ekubu Jean Kenneth was substantively appointed as accounts assistant for Abarilela on 1/7/2022 under Min No. 9/DSC/JUNE/2022 (j)

14. Apiding Doreen was substantively appointed as Snr. Accounts Assistant for Amuria TC on 1/8/2018 under Min No. DSC/AMUR/04/06/2018 a (22) (iv)

15. Ocen Emmanuel was substantively appointed as Accounts Assistant for Orungo on 19/9/2019 under Min No. 59/ADSC/2019/36 (f)

Environment and Social Requirements

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY. Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to: a. Natural Resources department, score 2 or else 0	Shs 253,205,000 was warranted for the Natural Resources Department as reflected on page 27 of the district approved budget for FY 2022/2023. Shs 253,205,000 was released as detailed on page 27 of the district approved budget for FY 2022/2023. Performance was therefore 100% as required.	2
3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY. Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to: b. Community Based Services department. score 2 or else 0.	Shs 189,056,000 was warranted for the Community Based Department as reflected on page 27 of the Annual Performance Report for FY 2022/2023. Shs 188,419,000 was released as detailed on page 1 27 of the Annual Performance Report for FY 2022/2023. Performance was 100% as required.	2
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works. Maximum score is 12	a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0	Not applicable because the LG did not execute any DDEG infrastructure project in FY 2022/2023. However, shs 90,000,000/= was paid to the contractor in the FY 2022/2023 as arrears for the phase seven works of the construction of the council chamber that were done in FY 2021/2022	4
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works. Maximum score is 12	b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG), score 4 or 0	Not applicable because the LG did not execute any DDEG infrastructure project in FY 2022/2023. However, shs 90,000,000/= was paid to the contractor in the FY 2022/2023 as arrears for the phase seven works of the construction of the council chamber that were done in FY 2021/2022	4

4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;	Not applicable because the LG did not execute any DDEG infrastructure project in FY 2022/2023. However, shs 90,000,000/= was paid to the contractor in the FY 2022/2023 as arrears for the phase seven works of the construction of the council chamber that were done in FY 2021/2022	4
	Maximum score is 12	score 4 or 0		

Financial management and reporting

5	Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.	If a LG has a clean audit opinion, score 10;	Amuria DLG had unqualified Auditor generals Opinion	10
	Maximum score is 10	If a LG has a qualified audit opinion, score 5		
		If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0		

6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p>	<p>The DLG provided information to the PS/ST in respect of the status of implementation of the Auditor General findings in respect of FY 2021/2022 through CAO's letter dated 7th March, 2023 under reference CR/ADLG/22-23/62. This was done after failure to comply with the prescribed time frame of by the end of February, 2023. The letter was copied to the PS MOLG, IAG, OAG, RDC, Accountant General and Chairman of the DLG. The CAO' letter was acknowledged by OAG, MOLG, Accountant General on 15th March, 2023</p>	0
<p>maximum score is 10</p>	<p>score 10 or else 0.</p>	<p>There were five queries that were cleared at the time of the assessment. The letter in response to the OAG findings was submitted after February, 2023 and therefore outside the prescribed time frame.</p>	<p>The CAO's letter in response to findings by the IAG in FY 2021/2022 was dated 17th November, 2022 under reference CR/ADLG/I. It was acknowledged by MOFPED, Accountant General, IAG on 14th December, 2022. There were six queries which had been cleared by the time of the assessment.</p>	
7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p>	<p>The Annual Performance Contract was submitted through CAO's letter dated 23rd August, 2023 under reference CR/ADLG/105/3. The letter was copied to PS OPM and the PS MOLG, RDC and Chairperson of the district.</p>	4
<p>Maximum Score 4</p>	<p>score 4 or else 0.</p>	<p>The MOFPED and MOLG acknowledged receipt of the CAO's submission on 28th August 2023..</p>		
8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p>	<p>The Annual Performance Report for FY 2022/2023 was submitted by the DLG through the PBS system on 6th August, 2023 within the prescribed time frame as required. The Annual Performance Report was approved by MOFPED on 6th August, 2023.</p>	4
<p>maximum score 4 or else 0</p>	<p>score 4 or else 0.</p>			

Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year

Maximum score is 4

If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,

score 4 or else 0.

The DLG submitted Quarterly Budget Performance

Reports (QBPRs) for all the four quarters of FY2022/2023 by August 31st of the current FY 22023/2024. Submission of the quarterly reports was done through the PBS system as detailed below:

Quarter One on 15th December, 2022;

Quarter Two on 19th February, 2023;

Quarter Three on 16th May, 2023;

Quarter Four on 6th August, 2023.

**Education Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	Ms. Acem Kelen was substantively appointed as a District Education Officer under as per the appointment letter dated 1/5/2008 as directed DSC Min. No. 71/2008 (24)	30
<i>The Maximum Score of 70</i>				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	b) All District/Municipal Inspector of Schools, score 40 or else 0.	<p>1. Mr. Okilla Paul was substantively appointment as senior inspector of schools as per the letter of appointment dated 19/9/2019 under Min No. 59/ADSC/2019/34</p> <p>2. Mr. Eremu Simon Peter was substantively appointed as Inspector of schools as per the appointment letter dated 9/5/2019 under Min No. 38/ADSC/2019</p> <p>3. Mr. Onyait Patrick was substantively appointed as Inspector of Schools as per the letter of appointment dated 25/10/2021 under Min No. 2/ADSC/OCT/2021</p>	40
<i>The Maximum Score of 70</i>				
Environment and Social Requirements				
2	Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)	If the LG carried out: a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.	<p>LG had carried out Environmental, Social and Climate Change Screening of all education projects prior to commencement of all civil works for FY 2022/2023 as evidenced below;</p> <ul style="list-style-type: none"> • E&S Screening form for the construction of 2 classroom block at Ajaki-Asinge P/S by the EO and DCDO on 02/12/2022 • E&S Screening form for the construction of Asamuk Seed School by the EO and DCDO on 08/10/2022 • E&S Screening form for the construction of a 2 classroom block at Abuket P/S by the EO and DCDO on 03/05/2022 	15
<i>The Maximum score is 30</i>				

2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:
b. Social Impact Assessments (ESIAs) , score 15 or else 0.

All education projects that were implemented in FY 2022/2023 did not require ESIAs given their small scope, according to the National Environment Act NO. 05 of 2019

15

The Maximum score is 30

Health Minimum Conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.	Dr. Okwi Nick was not substantively appointed. He was rather assigned by CAO as Ag. DHO on 1/6/2016. There was no secondment	0
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0	The position of ADHO – Maternal was not substantively filled. However, Ms. Asio Anne Grace Egona was assigned as Ag. ADHO on 3/1/2022. There was no secondment	0
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	c. Assistant District Health Officer Environmental Health, score 10 or else 0.	The position of ADHO – Environmental Health was not substantively filled. However, Mr. Opolot Godfrey was assigned as Ag. ADHO on 3/1/2022. There was no secondment	0
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.	Mr. Opolot Godfrey was substantively appointed as Senior Environmental Health Inspector on 1/3/2021 under Min No. 7/ADSC/MARCH/2021 (vii) (e)	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	e. Senior Health Educator, score 10 or else 0.	Ms. Akiror Stella was substantively appointed as Senior Health Educator on 1/7/2009 under Min No. 95/2009	10

1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>Mr. Eyattu Jude was substantively appointed as a Biostatistician as per the letter of appointment dated 5/6/2012 under DSC Min No. 174/2012 (C)</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>Esemu David was substantively appointed by CAO as District Cold Chain Technician as per the appointment letter dated 21/12/2007 under DSC Min No. 37/2007</p>	10
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>i. Principal Health Inspector, score 20 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>j. Health Educator, score 20 or else 0</p>		

Environment and Social Requirements

2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>LG had carried out Environmental, Social and Climate Change of the only 02 Health projects for FY 2022/2023 as evidenced below;</p> <ul style="list-style-type: none"> • E&S Screening form for the construction of OPD block at Amusus HC III by the EO and DCDO on 02/12/2022 • E&S Screening form for the upgrade of Abeko HCII to HC III by the EO and DCDO on 02/12/2022 • E&S Screening form for the upgrade of Golokwara HC II to HC III by the EO and DCDO on 02/12/2022 	15
2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>b. Social Impact Assessments (ESIAs) , score 15 or else 0.</p>	<p>The only health project for FY 2022/2023 did not require ESIAs given their small scope according to the NEA No. 05 of 2019</p>	15

**Micro-scale Irrigation
Minimum Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p>	<p>If the LG has recruited;</p> <p>a. the Senior Agriculture Engineer</p> <p><i>score 70 or else 0.</i></p>	<p>Eng. Mutumba Raymond was substantively appointed as Senior Agriculture Engineer as per the appointment letter dated 7/12/2022 under Min No. 5/ADSC/NOV/2022 (k).</p>	70
Environment and Social Requirements				
2	<p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p>	<p>If the LG:</p> <p>Carried out Environmental, Social and Climate Change screening score 30 or else 0.</p>	<p>LG had carried out Environmental, Social and Climate Change screening of the micro scale irrigation investments for FY 2022/2023 as evidenced below;</p> <ul style="list-style-type: none"> • Supply and installation of irrigation demonstration equipment at Wera Sub County (Cannan farms) on 21/11/2022 • Supply and installation of irrigation demonstration equipment at Akeriau Sub County at Ejeiu Tom’s land on 21/11/2022 • Supply and installation of irrigation demonstration equipment at Wera Town Board at Wera Seed Secondary School on 09/06/2023 	30

Water & Environment Minimum Conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	Mr. Obaate Philip was substantively appointed as DWO as per the letter of appointment dated 7/5/2007 under Min No. 21/5/2007	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	Mr. Okello Godfrey Kennedy had been substantively appointed as Assistant Engineering - Water as per the appointment letter dated 1/7/2022 under Min No. 9/DSC/JUNE/2022 (g)	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	The position was vacant because it wasn't on the approved staff structure and there was no seconded staff	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	The position was not on the approved staff structure. However, the DLG had a position of DNRO which was substantively filled by Odeke Charles as per the letter of appointment dated 25/7/2022 under Min No. 9/ADSC/JUNE/2022 (m)	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	The position of environment officer was vacant due to wage and there was no seconded staff	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	f. Forestry Officer, score 10 or else 0.	Mr. Ogwal Moses was substantively appointed as Forestry Officer as per the appointment letter dated 9/5/2019 under DSC Min No. 30/ADSC/2019	10

Environment and Social Requirements

2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	If the LG: a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.	LG had carried out Environmental, Social and Climate Change screening as follows; <ul style="list-style-type: none"> • E&S Screening form for the sitting, drilling and construction of a borehole at Amolo Sub County by the EO and DCDO on 20/06/2023 • E&S Screening form for sitting, drilling and construction of a borehole at Alira-Obedo-Amolo Sub County by the EO and DCDO on 20/06/2023 • E&S Screening form for the for sitting, drilling and construction of a borehole at Orungo Sub County by the EO and DCDO on 20/06/2023 • E&S Screening form for the for sitting, drilling and construction of a borehole at Ominaita Village by the EO and DCDO on 20/06/2023 	10
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.	All water projects that were executed in FY 2022/2023 did not require ESIAs given their small scope according to the NEA No. 5 of 2019	10
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.	The DWO presented an abstraction permit which was valid for a period of 1-year Fri 30th January 2022 to Fri 30 June 2023. The groundwater permit number LIR07/DP00733/2022/RR was issued to EBOWA INVESTMENT LIMITED for drilling boreholes.	10