



LGMSD 2022/23

Alebtong District

(Vote Code: 588)

Assessment	Scores
Crosscutting Minimum Conditions	77%
Education Minimum Conditions	70%
Health Minimum Conditions	50%
Water & Environment Minimum Conditions	90%
Micro-scale Irrigation Minimum Conditions	30%
Crosscutting Performance Measures	52%
Educational Performance Measures	67%
Health Performance Measures	61%
Water & Environment Performance Measures	76%
Micro-scale Irrigation Performance Measures	54%

**Crosscutting
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): If so: Score 4 or else 0 	<p>The LG did not implement any infrastructure projects using DDEG funding in the previous Financial 2022/2023.</p>	4
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> By more than 5%, score 3 1 to 5% increase, score 2 If no increase, score 0 <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>A copy of the result assessment presented by the Planner during the assessment indicated that the average score of LLG performance decreased by 1% compared to the last year as per the computation below;</p> <p>The average score for the current year was 85%.</p> <p>The average score for the previous financial year was 86%</p> <p>Percentage change = Current percentage less previous percentage over old percentage.</p> <p>= (0.85 - 0.86/0.86)*100%= (1%)</p> <p>The LLG performance assessment for the current year decreased by 1% from the previous year's performance.</p>	0
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> If 100% the projects were completed : Score 3 If 80-99%: Score 2 If below 80%: 0 	<p>The LG did not implement any infrastructure projects using DDEG funding in the previous Financial 2022/2023.</p>	3

3	Investment Performance Maximum 4 points on this performance measure	a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines: Score 2 or else score 0.	The LG did not implement any infrastructure projects using DDEG funding in the previous Financial 2022/2023.	0
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3	Investment Performance Maximum 4 points on this performance measure	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/- 20% of the LG Engineers estimates, score 2 or else score 0	There was no DDEG funded infrastructure investment for the previous FY, that was implemented in the district.	0
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Performance Reporting and Performance Improvement

4	Accuracy of reported information Maximum 4 points on this Performance Measure	a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate, score 2 or else score 0	A comparison of the LG and LLG staff lists for Akura Sub County, Aloi Town Council indicated that the information on the positions filled in LLGs as per minimum staffing standards was accurate. For instance the following staff were found in Akura Sub County; <ol style="list-style-type: none"> 1. Okwir Isaac- Senior Assistant Secretary 2. Akello Lucy- Senior Accounts Assistant 3. Okullu Edward- Agriculture Officer 4. Odero Silvano- Assitant Animal Husbundry Officer 5. Ogwal Alex- Parish Chief 6. Odongo George- Parish Chief 7. Apio Assina-PARish Chief 8. Alaba Annet-Parish Chief 9. Opito Geoffery- Parish Chief 	2
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4	Accuracy of reported information Maximum 4 points on this Performance Measure	b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG: <ul style="list-style-type: none"> • If 100 % in place: Score 2, else score 0. <p>Note: if there are no reports produced to review: Score 0</p>	The LG did not implement any infrastructure projects using DDEG funding in the previous Financial 2022/2023 so there was no completion report to present to the assessment team.	0
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5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs score 4 or else 0</p> <p>NB: The Source is the OPAMS Data Generated by OPM.</p>	<p>The LLGs scores obtained from the internal District assessment and from the LLG IVA was;</p> <table border="1" data-bbox="844 226 1134 483"> <thead> <tr> <th></th> <th>DLG IVA</th> </tr> </thead> <tbody> <tr> <td>Akura S/C</td> <td>82 73</td> </tr> <tr> <td>Aloi T/C</td> <td>89 79</td> </tr> <tr> <td>Abia S/C</td> <td>93 96</td> </tr> <tr> <td>Aloi S/C</td> <td>73 92</td> </tr> </tbody> </table> <p>The performance of Aloi S/C was outside the credibility performance range of +/- 10 which implied that the internal assessment of the LG was not credible.</p>		DLG IVA	Akura S/C	82 73	Aloi T/C	89 79	Abia S/C	93 96	Aloi S/C	73 92	0
	DLG IVA													
Akura S/C	82 73													
Aloi T/C	89 79													
Abia S/C	93 96													
Aloi S/C	73 92													
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	<p>There was no evidence provided that the LG developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p>	0										
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence provided that the LG implemented the PIP for the 30 % lowest performing LLGs in the previous FY.</p>	0										

Human Resource Management and Development

6	<p>Budgeting for and actual recruitment and deployment of staff</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.</p> <p>Score 2 or else score 0</p>	<p>The LG had consolidated and submitted the staffing requirements for the coming FY to the MoPS on 7th December 2023 of the current FY, with copy to the respective MDAs and MoFPED.</p>	0
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI);</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG had conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI). For instance, in the month of March 2023 the best performer was Okello Moses with 95% while the worst performers were Ocen George Driver and Obong Francis- Grader Operator with 0% attendance.</p>	2

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p>	<p>Appraisals for some of the HODs were conducted as follows;</p> <ol style="list-style-type: none"> 1. Auma Dorcus Ag. DCDO was appraised on 8th August 2023 by Abenaitwe Robert, CAO 2. Oluk Bob Harris DC)was appraised on 15th August 2023 by Abenaitwe Robert, CAO 3. Ochan Morris Gleins, Planner was appraised on 27th October 2023 by Abenaitwe Robert, CAO 4. Andeoye Stephen CFO was appraised on 30th August 2023 by Abenaitwe Robert, CAO 5. Odung Tonny, DHO was appraised on 12th October 2023 by Abenaitwe Robert, CAO 6. Noki Charles, DPO was appraised on 30th July 2023 by Abenaitwe Robert, CAO 7. Okello Patrick, NRO was appraised on 30th June 2023 by Abenaitwe Robert, CAO 8. Puche David Ag, District Engineer was appraised on 30th June 2023 by Abenaitwe Robert, CAO 9. Ochan Morris Senior Planner appraised on 27th October 2023 by Abenaitwe Robert, CAO 	0
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to “a” above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>The LG had also implemented administrative rewards and sanctions on time as provided for in the guidelines. For instance on 5th March 2023 the committee sanctioned Akite Esther- Enrolled Nurse, Obwala Bob Patrick- health Assistant, and Acor Amos- Health Assistant for absenteeism and they were reprimanded.</p> <p>The committee comprised of;</p> <ol style="list-style-type: none"> 1. Auma Joy Christine-PAS, Chairperson 2. Odung Tony- DHO, Member 3. Noki Charles- DPO, Member 4. Adongo Caroline- PHRO, Secretary 	1
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>The LG HR department did not provide evidence during the assessment to who that the LG had established a Consultative Committee (CC) for staff grievance redress which was functional.</p>	0

8	Payroll management Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: Score 1.	The LG did not recruit any staff the previous FY.	1
9	Pension Payroll management Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: Score 1.	The following staff retired the previous FY; <ol style="list-style-type: none"> 1. Robert Okonye, Deputy Head Teacher retired on 22nd October 2022 and accessed the payroll in January 2023 2. Onapa Francis, Education Officer retired on 6th October 2022 and accessed the payroll in January 2023 3. Rose Chono, Head Teacher retired on 8th October 2022 and accessed the payroll on in January 2023 4. Richard Oryem, Education Assistant retired on 2nd December 2022 and accessed the payroll in January 2023 5. Tom Ebila, Education Assistant retired on 13th December 2022 and accessed the payroll in February 2023 <p style="margin-top: 20px;">Some of the staff accessed pension payroll after two months.</p>	0

Management, Monitoring and Supervision of Services.

10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:</p> <p>Score 2 or else score 0</p>	<p>The evidence from the release letters indicated that the transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY as per the releases below;</p> <p>Akura S/C received Ushs 29,942,322</p> <p>Omoro S/C received Ushs 32,931,018</p> <p>Aloi S/C received Ushs 26,455,509</p> <p>Abia S/C received Ushs 30,191,379</p> <p>Abako S/C received Ushs 31,104,594</p> <p>Amugu S/C received Ushs 31,685,730</p> <p>Awei S/C received Ushs 35,421,600</p> <p>Alebtong T/C received Ushs 15,834,459</p> <p>Apala S/C received Ushs 20,976,228</p> <p>Aloi T/C received Ushs 1,100,280</p> <p>Amugu T/C received Ushs 1,100,280</p> <p>Apala T/C received Ushs 1,100,280</p> <p>Adwir S/C received Ushs 6,281,799</p> <p>Angetta S/c received Ushs 6,281,799</p> <p>The direct DDEG transfers to LLGs for the last FY were as follows:</p> <p>In quarter 1: LG did not receive DDEG</p> <p>In quarter 2: Release was on 19th October, 2022.</p> <p>In quarter 3: Release was on 16th January, 2023.</p> <p>In quarter 4: LG didn't receive DDEG.</p>
10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).</p> <p>Score: 2 or else score 0</p>	<p>There was evidence that the LG did not timely warrant of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget as follows:</p> <p>Quarter 1: LG didn't receive DDEG</p> <p>Quarter 2: Release was on 3rd October, 2022 and warranted on 14th October, 2022, warrant was made in 11 days.</p> <p>Quarter 3: Release was on 02nd January, 2023 and warranted on 13th January, 2023 which was 11 days.</p> <p>Quarter 4: LG did not receive DDEG.</p>

10	<p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:</p> <p>Score 2 or else score 0</p>	<p>The evidence provided indicated that the invoicing and communicating of all DDEG transfers for the previous FY to LLGs was done however it was not within 5 working days from the date of funds release in each quarter;</p> <p>Quarter 1 LG did not receive DDEG funds,</p> <p>Quarter 2 funds was released on 3rd October 2022 and the communication was made on 19th October, 2022 which was more than 5 days.</p> <p>Quarter 3 funds was released on 2nd January 2023 and the communication was made on 16th January, 2023 which was more than 5 days.</p> <p>Quarter 4, LG did not receive DDEG funds.</p>	0
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>The LG provided evidence of quarterly reports for the supervision and mentoring of LLGs in the District FY 2022/2023.</p> <p>Q 1 - The monitoring report indicated that the activity was conducted on the 18th August 2022 to ascertain the status of ongoing projects for 2022/2023 and also assess the level of service delivery and maintenance of infrastructures of FY 2021/2022.</p> <p>Q 2- A monitoring report indicated that the activity was conducted on the 8th December 2023 to ascertain the level of progress in the implementation of projects for FY 2022/2023.</p> <p>Q 3 -A monitoring report indicated that the activity was conducted on 18th February 2023 to ascertain the level of progress in the implementation of projects for FY 2022/2023.</p> <p>Q 4 Supervision report indicated that the activity was conducted between 14th June 2023 and 15th June 2023 to assess the level of progress in the implementation of projects for FY 2022/2023</p>	2

11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>	<p>The LG availed TPC minutes which showed that supervision and monitoring reports of support supervision and monitoring visits were discussed in the TPC by the District to make recommendations for corrective actions and follow-up. These were signed by the Chairperson Habib Habubaker.</p> <p>The minutes were as follows;</p> <ol style="list-style-type: none"> 1. Quarter one report was discussed on 30th August 2022 - TPC- MIN.07/8/2022: Discussion of Support supervision report for Q1. 2. Quarter two report was discussed on 19th December 2022- MIN.09/DTPC/12/2022: Discussion of Monitoring reports for Q2. 3. Quarter three report was discussed on 27th March, 2023- TPC- MIN.06/DTPC/03/2023: Presentation of Monitoring report For Q3 4. Quarter four report was discussed on 26th June 2023- TPC- MIN.07/DTPC/06/2023: Discussion of Monitoring report for Q4. 	2
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Investment Management

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</p>	<p>The IFMS hard copy of the assets register presented at the time of assessment was incomplete.</p> <ul style="list-style-type: none"> • The register recorded only 3 out of the 75 primary schools. • The register had also recorded 11 out of the 14 sub counties in the district. <p>The register however, captured the following categories of assets:</p> <ul style="list-style-type: none"> • ICT equipment • Forest reserves • Roads & bridges • Transport equipment among others. 	0
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>The district had in place a board of survey report for the FY 2021-2022, produced and dully signed on 30th August 2022. The report carried recommendations to management which included engraving and disposal of obsolete assets. The DLG used the recommendations of the Board of Survey as indicated below:</p> <p>The DLG in a letter dated 18th November 2022, by the CAO, requested the Chief Mechanical Engineer, Ministry of Works & Transport to provide technical support to assess and value the assets to be disposed.</p> <p>The Ministry in a letter dated 7th December 2022 replied to the CAO requesting for facilitation to the said officers to travel to Alebtong to carry out the valuation.</p>	1
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>No evidence of physical planning committee minutes were presented at the time of assessment.</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d.For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>No desk appraisals forms were presented at the time of assessment since the LG did not implement any infrastructural development funded by DDEG.</p>	0

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>No field appraisals forms were presented at the time of assessment since the LG did not implement any infrastructural development funded by DDEG.</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>There was evidence that project profiles with costing were developed by HODs from different departments and discussed in a meeting that was held on 28th April, 2023 in th District Council Hall under TPC Min 06/DTPC/04/2023 Presentation of capital Project and their Profiles.</p>	1
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>The LG did not screen for environmental and social risks/impacts and neither carried out monitoring using checklists. Below were some of the projects that should have been screened and monitored with checklists;</p> <ol style="list-style-type: none"> 1. Construction of Art Clinic in Omoro at UGX. 41,777,000 2. Construction of Amugu Seed school at UGX. 967,000,000 3. Construction of Amugu Rural growth center piped water system -phase 1 at UGX. 180,000,000 	0
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>There was evidence to show that all infrastructure project planned for the current FY, to be implemented using the DDEG, were incorporated in the LG approved procurement plan, that was signed on 4th August, 2023 by the CAO, Abubaker Habib. These were completion of radiology unit at Alebtong HCIV and construction of Art clinic at Omoro HCIII.</p>	1

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0	There was no evidence to show that all infrastructure projects to be implemented in the current FY, using DDEG were approved by the Contracts Committee before commencement of construction.	0
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines: Score 1 or else 0	The LG did not establish the Project Implementation team as specified in the sector guidelines, as there was no project implemented during the year under review.	0
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer: Score 1 or else score 0	There was no infrastructure project implemented using DDEG, which required to follow standard technical designs provided by the LG Engineer.	0
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0	There was no supervision by the relevant technical officers for infrastructure project prior to verification and certification of works in previous FY, as there was no project implemented during the year under review.	0
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement): Score 1 or else score 0	There was no verification and certification of the works done, as there was no project implemented in the year under review.	0
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law: Score 1 or else 0	There was no project implemented in the year under review.	0

Environment and Social Safeguards

14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.</p> <p>Score: 2 or else score 0</p>	<p>The District i) designated Ms. Auma Joy Christine the Principal Assistant Secretary with an appointment letter issued on 17th November, 2022 to coordinate response to feedback (grievance/complaints) and ii) established a centralized Grievance Redress Committee (GRC), comprising of members co-opted from different departments such as Mr. Ogwal Paulino Bua the Senior Labour Officer, Engineer Puche David Ag. District Engineer, Mr. Ochan Anthony Mark the Assistant District Health Officer and Ms. Atala Joan Angom the Senior Environment Officer.</p>	2
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.</p> <p>If so: Score 2 or else 0</p>	<p>The LG specified a system for handling grievances, which included a centralized complaints log and a Grievances Redress Committee with members co-opted from different departments who were capable of handling grievances without bias. For example, complaints handled in Grievances Redress Committee meeting held on 7th March, 2023 on matters of non-payment of workers on roads construction sites on the Aminobia Bridge and Amugu road under "Min 5/3/3/2023 Handling of grievances".</p>	2
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.</p> <p>If so: Score 1 or else 0</p>	<p>There were no records availed at the time of assessment on publicized grievance redress mechanisms so that aggrieved parties know where to report and get redress.</p>	0

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0</p>	<p>Environment, Social and Climate change interventions are integrated in the DDP III as shown below:</p> <p>Environment and climate change interventions are found on page 96 of the DDP and page 48 on the approved Budget. The interventions included:</p> <ul style="list-style-type: none"> • Promotion of urban and rural plantation. • Agroforestry and Climate smart Agriculture. • Demarcation and gazette of wetlands. <p>Natural resources management was allocated UGX 240,823000 on page 47 of the budget.</p> <p>Social intervention on page 144 of DDP III which included community mobilization and mind set change.</p> <p>Community mobilization and empowerment was allocated UGX 202,831,000 on page 50 of the budget.</p>	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management</p> <p>score 1 or else 0</p>	<p>There was evidence of dissemination to LLGs of enhanced DDEG Guidelines. A meeting was held on 31st December 2023 under MIN no. 05/01/2023; Dissemination of Enhanced DDEG guidelines and it was done by the senior planner.</p>	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>(For investments financed from the DDEG other than health, education, water, and irrigation):</p> <p>c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:</p> <p>score 3 or else score 0</p>	<p>Since there were no DDEG projects implemented in the previous FY therefore no records were availed.</p>	3

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>d. Examples of projects with costing of the additional impact from climate change.</p> <p>Score 3 or else score 0</p>	<p>There were no records on projects with costing of the additional impact from climate change since they were not budgeted for in the previous FY</p>	3
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 1 or else score 0</p>	<p>There were no DDEG implemented projects in the previous FY that could be verified for proof of land ownership.</p>	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 1 or else score 0</p>	<p>The Environmental Officer and CDO conducted support supervision and monitoring to ascertain compliance with ESMPs and provided monthly reports for the following projects;</p> <ol style="list-style-type: none"> 1. Monitoring report dated 14th April, 2023 and 25th May, 2023 for the Construction of Angetta Seed Secondary School 2. Monitoring report dated 3rd August, 2023 for the construction of micro-scale irrigation demonstration sites at Abia Seed Secondary School, 2 at Amugu Agro Technical Institute and 3 at Aloji 3. Monitoring report dated 10th January, 2023 for the Completion of the DHO's Office 	1

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0	Certification forms were completed and signed by Environmental Officer and CDO prior to payments of contractors 'invoices/certificates at interim and final stages of projects. For example; 1. Certificate of compliance for the construction of a 5 stance latrine at Anara and Abongodyang primary school issued on 26th April, 2023 2. Certificate of compliance for the micro-scale irrigation demonstration site at Abia Seed Secondary School issued on 10th August, 2023 3. Certificate of compliance for the completion of DHO's Office phase II issued on 21st February, 2023	1
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Financial management

16	LG makes monthly Bank reconciliations Maximum 2 points on this Performance Measure	a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment: Score 2 or else score 0	There was evidence that the LG made monthly bank reconciliations and were up to-date at the point of time of the assessment as per the printed copies of the reconciled bank account availed to Assessment Team as detailed below; A/c name: ALEBTONG GENERAL FUND ACCOUNT A/c No: 01053500038906 Reconciled up to 31th October 2023 Amount; Ugx 690,748	2
17	LG executes the Internal Audit function in accordance with the LGA Section 90 Maximum 4 points on this performance measure	a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY. Score 2 or else score 0	There was evidence that the LG produced all quarterly internal audit (IA) reports for the previous FY as shown below; 1st quarter report was produced on 14th November, 2022. 2nd quarter report was produced on 13rd February, 2023. 3rd quarter report was produced on 13th May, 2023. 4th quarter report was produced on 05th September, 2023.	2

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>The LG provided information to the Council Chairperson and the LG PAC on the status of implementation of internal audit findings for FY 2022/2023 through the registry. The dates for submission for follow-up on quarterly internal audit queries to the LG PAC were as follows:</p> <p>Quarter one report was received on 14th November, 2022.</p> <p>Quarter two report was received on 13th February, 2023.</p> <p>Quarter three report was received on 13th May, 2023.</p> <p>Quarter Four report was received on 05th September, 2023.</p>	1
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>No evidence was provided that LG PAC discussed the internal audit reports for previous FY.</p>	0

Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>The LG planned revenue collection for the last FY was Ushs 362,132,000 (Final draft Accounts FY 2022/23 page 32) and Actual Revenue collected was Ushs 196,410,010 which gave a variance of Ushs (165,721,990) this indicate that District local Government collected less revenue compared to what they had budgeted for.</p> <p>$((165,721,990)/362,132,000) \times 100\% = (46\%)$</p> <p>The LG managed to correct 54% of its budgeted revenue in the Previous FY.</p>	0
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19

The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)

Maximum 2 points on this Performance Measure.

a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY

- If more than 10 %: score 2.
- If the increase is from 5% -10 %: score 1.
- If the increase is less than 5 %: score 0.

The ratio of OSR for the LG for previous FY as compared to that of the previous FY but one as per Final draft A/c 2022/23 page 36 was;

OSR 2021/22

Total revenue = Ushs 186,034,146

OSR 2022/23

Total revenue = Ushs 196,410,010

Therefore

Revenue 2022/23 Less revenue 2021/22

Ushs 196,410,010- Ushs 186,034,146 =
Ushs 10,375,864

= 10,375,864/186,034,146) x 100= 5%

Therefore, the Own Source Revenue for FY 2022/23 increased by 5% compared to the last year collection.

1

20

Local revenue administration, allocation, and transparency

Maximum 2 points on this performance measure.

a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0

The shareable revenue of Ugx 109,458,750 (65%) was transferred as required to the LLGs as below:

Akura S/C received Ushs 3,401,000

Omoro S/C received Ushs 8,872,000

Aloi S/C received Ushs 4,379,750

Abia S/C received Ushs 3,438,000

Abako S/C received Ushs 5,673,000

Amugu S/C received Ushs 3,058,000

Awei S/C received Ushs 3,207,000

Alebtong T/C received Ushs 2,328,000

Apala S/C received Ushs 3,084,000

Aloi T/C received Ushs 15,968,000

Amugu T/C received Ushs 11,462,000

Apala T/C received Ushs 34,562,000

Adwir S/C received Ushs 2,803,000

Angetta S/c received Ushs 2,023,000

2

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0</p>	<p>There was evidence to show that the LG shares information with citizens one such note read</p> <p>“Best Evaluated Bidder</p> <p>Procurement Reference:MoES/UGFIT/wrks/2021-2022/00003</p> <p>Subject of Procurement: Construction of Ogur Seed Secondary school in Lira District,Railway Seed Secondary school in Lira City, Awei Seed Secondary school in Alebtong District</p> <p>Method of Procurement: Open domestic bidding</p> <p>Best Evaluated Bidder: M/s. WANGI General Enterprise Uganda Limited</p> <p>Total Contract: (i) Ogur Seed Secondary School in Lira District Ugx 3,332,186,778/= VAT Inclusive\</p> <p>(ii) Railway seed Secondary school in Lira city Ugx 3,392,753,110/= VAT Inclusive</p> <p>(iii) Awei Seed Secondary School in Alebtong Ugx 3,335,615,386/= VAT Inclusive</p> <p>Date of display: 9th March, 2022</p> <p>Date of removal: 23rd March, 2022</p>	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>A copy of LG performance assessment results dated 31st July 2023, acknowledged by CAO and well-publicized on the district notice board.</p> <p>The District was ranked 48th position out of the 154 HLGs assessed.</p>	2

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>There was evidence that the LG conducted baraza on 23rd June 2023 with the public in Omoro Sub county.</p> <p>Some of the key objectives of the campaign were;</p> <ul style="list-style-type: none"> - To enhance citizen's civil competence and increase their involvement and participation in service delivery, planning, budgeting and monitoring. - To promote citizens mobilization, engagement to strengthen local structures and their capacities to improve service delivery and set a strong structures for higher level advocacy that holds duty bearers accountable to the citizens. <p>Participants were drawn from technical and political leaders at the sub county and district, CSOs, teachers, health workers, extension workers, opinion leaders and the community members. A total of over 200 people attended the citizens.</p>	1
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>The district showed evidence which showed that tax rates and appeal procedures was publicized on notice boards.</p> <p>A copy of tax rates, fees and appeal procedures that was on notice board was presented at the time of assessment. The taxes included:</p> <ul style="list-style-type: none"> • Telecommunications at UGX2,000,000 • Animal movement permits at UGX 10,000 for cows and 5,000 for goat & sheep • Trading licenses at UGX UGX 50,000. • Daily market dues at UGX 3,500,000 for Ajuri, UGX 5,400,000 for Amugu among others. <p>The LG also directed that all complaints /appeals to be addressed to CAO, CFO and Finance Officer whose contacts were provided in the communication.</p>	1

Reporting to IGG

Maximum 1 point on
this Performance
Measure

a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora.
Score 1 or else score 0

It was noted by the clerk to Council that no IGG issue was reported in the Previous FY.

**Educational
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>School year 2020</p> <p>Total No. of candidates registered was 4304</p> <p>Total absentees were 57</p> <p>Total that sat were (4304 - 57) =4247</p> <p>Total Grades (1,2&3) = 67+1176+1047 = 2290</p> <p>Pass rate = $(\frac{2290}{4247}) \times 100 = 53.92\%$</p> <p>School year 2022</p> <p>Total No. of registered candidates was 4541</p> <p>Total absentees were =82</p> <p>Total that sat were (4541 - 82) = 4459</p> <p>Total grades (1,2& 3)= 151 +1424 +891= 2466</p> <p>% pass rate= $(\frac{2466}{4454}) \times 100 = 55.3\%$</p> <p>% Change = $55.3 - 53.92 = 1.38 \%$</p>	2

1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>School year 2020</p> <p>Total No. of candidates registered was = 682</p> <p>Total absentees were =06</p> <p>Total that sat were = 676</p> <p>Total Grades (1,2&3) = 22 +142 +228 = 392</p> <p>Pass rate = $392 \times 100 = 57.98\%$</p> <p style="text-align: center;">676</p> <p>School year 2022</p> <p>Total No. of registered candidates was = 804</p> <p>Total absentees were =09</p> <p>Total that sat were (804 - 9) =795</p> <p>Total grades (1,2& 3)= 25+144 + 267 =436</p> <p>% pass rate= $436 \times 100 = 54.8\%$</p> <p style="text-align: center;">795</p> <p>% change = $54.8 - 57.98 = -3.18\%$</p>	0
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2	<p>N23_Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> • By more than 5%, score 2 • Between 1 and 5%, score 1 • No Improvement, score 0 <p>NB: If the previous average score was 95% and above, Score 2 for any increase.</p>	<p>The average score of education LLG performance decreased by 7% compared to the last year as per the computation below;</p> <p>The average score for the current year was 83%.</p> <p>The average score for the previous financial year was 90%</p> <p>Percentage change = Current percentage less previous percentage over old percentage.</p> <p>= $(0.83 - 0.90/0.90) \times 100\% = (7\%)$</p> <p>The Education LLG performance assessment for the current year decreased by 7% from the previous year's performance.</p>	0
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Investment
Performance: The LG
has managed
education projects as
per guidelines

Maximum 8 points on
this performance
measure

a) If the education
development grant has
been used on eligible
activities as defined in the
sector guidelines: score 2;
Else score 0

DLG did receive a Sector Development
Grant of Ushs 2,723,675,000 for FY
2022/2023. was used towards;

1. Construction of a 5-stance drainable latrine at Alebelebe and Awelokuricok Primary School at Ushs 50,000,000.
2. Construction of a 5-Stance drainable latrine at Anara and Abongodyng Primary School at Ushs 50,000,000.
3. Construction of Awei Seed School phase one at Ushs 852,942,233.
4. Construction of Angetta Seed School phase one at Ushs 950,000,000.
5. Construction of Adwir Seed School phase one 572,147,826.
6. Renovation of Four classroom blocks at Abia Primary School at Ushs 90,650,628.

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0

There was evidence that the DEO, Environment Officer, and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors.

- Voucher no 6438896 dated 28th June 2023 for Ushs 497,528,369; Certificate No 2, dated 13th June 2023; Contract No.2 ALEB/804/WRKS/22-23/00007, Project; Construction of a Angetta Seed secondary School phase II was certified by DEO on 14th June 2023, District Environment Officer on 13th June 2023, district Engineer on 13th June 2023 and DCDO on 13th June 2023, payment was initiated on 13th June 2023 and payments were made on 28th June 2023 which was within the time frame.

- Voucher no 4769261 dated 18th April 2023 for Ushs 71,694,873; Certificate No 1, dated 13th March 2023; Contract No.2 ALEB/804/WRKS/22-23/000013, Project; Rehabilitation of a 4 classroom block at Abia primary School was certified by DEO on 15th March 2023, District Environment Officer on 15th March 2023, district Engineer on 14th March 2023 and DCDO on 15th March 2023, payment was initiated on 13th March 2023 and payments were made on 18th April 2023.

- Voucher no 4770327 dated 18th April 2023 for Ushs 36,904,415; Certificate No 1, dated 14th March 2023; Contract No.2 ALEB/804/WRKS/22-23/00007, Project; Construction of 5 Stance drainable latrines at Anara Primary School and Abongdyong Primary School was certified by DEO on 15th March 2023, District Environment Officer on 14th March 2023, district Engineer on 14th March 2023 and DCDO on 14th March 2023, payment was initiated on 14th March 2023 and payments were made on 18th April 2023.

3	Investment Performance: The LG has managed education projects as per guidelines	c) If the variations in the contract price are within +/- 20% of the MoWT estimates score 2 or else score 0	Three projects were sampled two were +0.02% within +/-20% the allowable variation while the other was -291.07% and did not comply.	0
	Maximum 8 points on this performance measure		<p>The projects were;</p> <p>Project: Construction of Awei Seed Secondary School.</p> <p>Procurement ref: MoES/UGFIT/wrks/2021/2022/00003</p> <p>Project: 1</p> <p>Estimated Cost: Ugx 852,942,233/=</p> <p>Contract Cost: Ugx 3,335,615,386/=</p> <p>Variation Ugx -2,482,673,153/=</p> <p>%age variation (-2,482,673,153/852,942,233) x 100%= -291.07%</p> <p>Project 2: Construction of a 5-stance VIP latrine at Anara and Abongodtang</p> <p>Procurement ref: Aleb804/wrks/2022-2023/00007</p> <p>Estimated cost: Ugx 50,000,000/=</p> <p>Contract Cost: Ugx 49,998,607/=</p> <p>Variation Ugx 1,393/=</p> <p>%age variation (1,393/50,000,000) x 100%= 0.00%</p> <p>Project 3: Construction of a 4-classroom block at Abia Primary school</p> <p>Procurement ref: Aleb804/wrks/2022-2023/00013</p> <p>Estimated cost: Ugx 90,650,628/=</p> <p>Contract Cost: Ugx 90,630,868/=</p> <p>Variation Ugx 19,760/=</p> <p>%age variation (19,760/90,650,628) X100%= 0.02%</p>	
3	Investment Performance: The LG has managed education projects as per guidelines	d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY	From a report by the joint supervision team the progress of the work at Awei Seed Secondary School was put at 45% this was in a report dated 30th June, 2023.	0
	Maximum 8 points on this performance measure	<ul style="list-style-type: none"> • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0 		

4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 - 79% score: 1 • Below 70% score 0 	<p style="text-align: right;">1</p> <p>Alebtong LG current primary Teacher's staff list indicated a total of 1003 teachers posted in the 75 UPE schools which was an average indicator as per the prescribed MoES staffing guidelines out of the budgeted teacher's structure of 1292.</p> 1003×100 1292 $= 77.6\%$ <p>This implied that the LG was 22.3% less of the required UPE teachers.</p>
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4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> • If above 70% and above score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 	<p style="text-align: right;">3</p> <p>The LG Consolidated Assets register for Alebtong LG for the academic year 2022/2023, which documented assets for the 75 registered primary schools and 9 secondary schools, was meticulously prepared by the DLG education office. This comprehensive register included the following essential elements: 1661 classrooms, 1791 latrine stances, 22,858 desks, 1650 teachers' houses, and 15 laboratories. This indicates that all schools successfully fulfilled the DES basic requirements and met the minimum standards for compiling the assets register in the recommended format.</p> <p>To calculate the percentage represented by 84 out of 100, you can use the formula:</p> $\text{Percentage} = \left(\frac{\text{Part}}{\text{Whole}} \right) \times 100$ <p>In this case:</p> $\text{Percentage} = \left(\frac{\text{Part}}{\text{Whole}} \right) \times 100$
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Performance Reporting and Performance Improvement

5

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

a) Evidence that the LG has accurately reported on teachers and where they are deployed.

- If the accuracy of information is 100% score 2
- Else score: 0

The DLG teacher's deployment list from the DEO's office, dated 3rd July 2023, aligned seamlessly with the records discovered during school assessments. To illustrate, at Ajonyi Primary School, classified as urban, the list featured 15 teachers catering to 2223 learners. This information, prominently displayed within the head teacher's office and under the leadership of Opio Peter, corresponded accurately with the DEO's official record.

Similarly, at Omarari Primary School, designated as a semi-urban institution, the list indicated 16 teachers tending to 1556 pupils, with Itot Robert Sam serving as the head teacher. This alignment with the DEO's list demonstrated consistency in teacher deployment.

In the case of Iyama Primary School, categorized as rural, the list documented 11 teachers overseeing 1585 pupils, with the acting head teacher identified as Okwel Nobert. Notably, this information harmonized perfectly with the DEO's official record.

Conclusively, the concordance observed across all sampled schools indicated a flawless accuracy in teacher deployment, achieving a perfect score of 3 out of 3, translating to a percentage accuracy of 100%.

2

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>The evidence at hand strongly suggests that the DLG meticulously documented school assets registers, providing a comprehensive overview of the infrastructure across all 75 UPE schools. The accuracy of these records was further confirmed through a sampling of schools, with specific details outlined below:</p> <p>At Ajonyi Primary School, classified as urban, the recorded assets encompassed 7 classrooms, 100 desks, 10 latrine stances, and 14 housing units for teachers.</p> <p>Omarari Primary School, identified as semi-urban, reported 7 classrooms, 12 latrine stances (with 5 in a deteriorated condition), 96 desks, and 4 housing units.</p> <p>Iyama Primary School, situated in a rural setting, boasted 10 classrooms, 129 desks, 18 latrine stances, and 9 teachers' houses.</p> <p>Importantly, all the validated assets, infrastructures, and equipment were diligently documented in the Consolidated Education Department Assets Register. This meticulous recording not only reinforces the accuracy of the DLG's asset registers but also ensures a reliable and comprehensive account of the educational resources across the sampled schools.</p>	2
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p> <ul style="list-style-type: none"> • If 100% school submission to LG, score: 4 • Between 80 - 99% score: 2 • Below 80% score 0 	<p>The Education Department of the DLG did not provide any evidence indicating that head teachers in UPE schools complied with the Ministry of Education and Sports (MoES) annual budgeting and reporting guidelines, submitting them to the DEO's office annually as required by January 30th.</p>	0

6	School compliance and performance improvement: Maximum 12 points on this performance measure	b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations: <ul style="list-style-type: none"> • If 50% score: 4 • Between 30- 49% score: 2 • Below 30% score 0 	There was no evidence to substantiate that the LG had supported schools in the preparation and implementation of School Improvement Plans (SIPs) during the assessment. At the schools visited, there were no reports indicating the implementation of various SIPs, including those at Ajonyi, Omarari, and Iyama.	0
6	School compliance and performance improvement: Maximum 12 points on this performance measure	c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year: <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 - 99% score 2 • Below 90% score 0 	The LG collected and compiled EMIS return forms for all 75 registered primary schools. According to communication from the Permanent Secretary of the Ministry of Education on November 12, 2022, the LG enrolled a total of 98,434 learners, encompassing both the primary and secondary sections.	4

Human Resource Management and Development

7	Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision Maximum 8 points on this performance measure	a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY: Score 4 or else, score: 0	The LG had budgeted for head teachers and a minimum of 07 teachers per school, as captured from the DEO's declaration of the LG receipt of education financial grants for FY2022/2023 dated 20th July, 2022, of which UGX: 7,408,065,000 was meant for primary school staff salaries acknowledged by the DEO and 7,467,802,985 for FY 2023/2024 dated 14th July, 2023.	4
7	Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision Maximum 8 points on this performance measure	b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY, Score 3 else score: 0	The DLG deployed 1003 primary school teachers for the 75 UPE schools, aligning with education sector guidelines, although it remains below the ideal number. According to the staff lists observed during the assessment, for instance: Ajonyi Primary School, classified as urban, had 15 teachers serving 2223 pupils. Omarari Primary School, categorized as semi-urban, had 16 teachers serving 1566 pupils. Iyama Primary School, designated as rural, had 11 teachers serving 1585 pupils.	3

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board, score: 1 else, score: 0</p>	<p>The teacher deployment data had been disseminated or publicized on LG and or school notice board as part of the general LG staff list.</p>	1
<p>Maximum 8 points on this performance measure</p>				
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copy to DEO/MEO Score: 2 or else, score: 0</p>	<p>All primary school head teachers had been appraised with evidence of appraisal reports submitted to HRM with copy to DEO/MEO as below;</p> <ol style="list-style-type: none"> 1. Akulu Agnes- Adwir PS was appraised on 10th February 2023 by Amongi Jaqueline (This was beyond the set deadline). 2. Apio Patricia- Adoma PS was appraised on 8th December 2022 by Ometo Sylvia, SAS 3. Odwee Julius Peter- Alanyi PS was appraised on 23rd December 2022 by Ewach Denis 4. Okello Tomy-Te-Ongora PS was appraised on 29th December 2022 by Munu Samuel 5. Alip Joseph- Bardago PS was appraised on 29th December 2022 by Okwir Isaac 6. Odongo Jennifer-Agoro PS was appraised on 29th December 2022 by Okwir Isaac 7. Mola Nelson- Abololil PS, was appraised on 29th December 2022 by Okello Julius Peter 8. Oleke Jimmy-Alela Modern PS was appraised on 29th December 2022 by Alunga Collins Constant, SAS 9. Adea Moses Ojom- Ogogoro PS was appraised on 29th December 2022 by Opito Geoffrey, SAS 10. Nyankori Martin Kakira PS was appraised on 18th December 2022 by Munu Samuel, SAS 	0
<p>Maximum 8 points on this performance measure</p>				

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM</p> <p>Score: 2 or else, score: 0</p>	<p>The LG HR department provided 3 out of 8 appraisals for secondary school head teachers as below;</p> <ol style="list-style-type: none"> 1. Ogwang James Caleb- Amugu SS was appraised on 30th June 2023 by Onyok Howard, Chairman BOG 2. Oceg Peter- Omoro SS was appraised on 11th December 2022 by Odongo Christopher Chairman BOG 3. Adero Mascovia- Akibua SS was appraised on 11th December 2022 by Agel Moses, Senior Education Officer 	0
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p> <p>score: 2. Else, score: 0</p>	<p>The staff in the LG Education department had been appraised against their performance plans as below;</p> <ol style="list-style-type: none"> 1. Aol Dillis Faith, Inspector of schools was appraised on 14th March 2023 by Olwit Moses Ochen, Senior Inspector of Schools 2. Okabo Pius Victor, Inspector of schools was appraised on 30th June 2023 by Olwit Moses Ochen, Senior Inspector of Schools 3. Agel Moses, Senior Education Officer was appraised on 30th June 2023 by Olwit Moses Ochen, Senior Inspector of Schools 4. Ocen Ben. Education Officer was appraised on 12th June 2023 by Olwit Moses Ochen, Senior Inspector of Schools 5. Omara Jimmy, Sports Officer 15th July 2023 by Olwit Moses Ochen, Senior Inspector of Schools (This was beyond the set deadline) 	0
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>The LG had prepared a training plan to address identified staff capacity gaps at the school and LG level as prepared by Angel Moses, Senior Education Officer on 15th September 2022. Some of the planned sessions included Basic Computer skills, curriculum integration and interpretation, and management of primary schools.</p>	2

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p>	<p>The assessment team noted, based on information from the District Education Officer (DEO), that the LG was compliant. The Chief Administrative Officer (CAO) at that time, Bimbona Simon, wrote to the Permanent Secretary on December 12, 2022, providing an update on the enrollment of learners for both primary and secondary levels.</p>	2
	<p>Maximum 8 points on this performance measure</p>			
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p> <p>If 100% compliance, score:2 else, score: 0</p>	<p>According to evidence found in an acknowledgment letter to the Chief Administrative Officer (CAO) by the Acting District Education Officer (DEO), Agel Moses, dated July 20, 2022, Alebtong LG allocated UGX 40,500,000 for inspection and UGX 4,000,000 for DEO's monitoring for the Fiscal Year 2022/2023. This allocation was in accordance with sector guidelines.</p>	2
	<p>Maximum 8 points on this performance measure</p>			
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p> <p>If 100% compliance, score: 2 else score: 0</p>	<p>The District did not do timely warranting/verification (within 5 working days) from the date of releases from MoFPED as determined below:</p> <ul style="list-style-type: none"> • 1st Quarter was released on 17th July, 2022 and warranted on 2nd August, 2022 after 5 days. • 2nd Quarter released on 3rd October, 2022 and warranted on 14th October, 2022 after 11 days. • 3rd Quarter released on 2nd January, 2023 and warranted on 11st January, 2023 after 9 days. • 4th Quarter released on 11st April, 2023 and warranted on 24th April, 2023 after 13 days. 	0
	<p>Maximum 8 points on this performance measure</p>			

Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.

If 100% compliance, score: 2 else, score: 0

The District did not do timely invoicing/communication (within 5 working days) from the date of releases from MoFPED as determined below:

Quarter 1 funds was released on 18th July 2022 and the communication was made on 24th August 2022 which was more than 5 days.

Quarter 2 funds was released on 3rd October 2022 and the communication was made on 17th October 2022 which was more than 5 days.

Quarter 3 funds was released on 2nd January 2023 and the communication was made on 23th January 2023 which was more than 5 days.

Quarter 4 funds was released on 11st April 2023 and the communication was made on 15th May 2023 which was more than 5 days.

Routine oversight and monitoring

Maximum 10 points on this performance measure

a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.

• If 100% compliance, score: 2, else score: 0

For the Fiscal Year 2022/2023, the Education department formulated an inspection plan dated October 20, 2022, compiled by AOL Dillis. The plan prioritized the inspection of Government Schools, including 75 primary and 9 secondary schools. The pre-inspection planning meetings were conducted on the following dates:

Term III 2022 meeting occurred on October 20, 2022, with the intention to cover 30 primary schools out of the total 75 UPE schools. The minute number was 3/10/22, and the inspection was scheduled to take place between November 14 and November 18, 2022.

Term I 2023 meeting was held on February 8, 2023, with the plan to cover all 75 schools. The minute number was 5/2/2023, and the inspection was scheduled between February 13 and February 17, 2023. In attendance were 5 members.

Term 2 pre-inspection meeting occurred on June 8, 2023, with the plan to cover all 75 schools between June 13 and June 30, 2023. The attendance comprised 5 inspectors. The minute on inspection was Min 3/6/2023.

The compliance calculation stands at $\frac{3}{3} \times 100 = 100\%$, indicating full compliance.

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> • If 100% score: 2 • Between 80 - 99% score 1 • Below 80%: score 0 	<p>The inspection reports for the previous Financial Year revealed the following findings:</p> <p>The Term 3, 2022 report, dated November 25, 2022, showed that 30 out of 75 schools were inspected.</p> <p>The Term One report, dated March 20, 2023, indicated that 75% of the schools were inspected.</p> <p>The Term 2 report, dated June 30, 2023, showed that 60 out of the 75 UPE schools had been inspected.</p>	1
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>The assessment team observed that inspection reports were discussed, particularly at the DLG level, as outlined below:</p> <p>For Term 3, 2022, the post-inspection meeting occurred on December 6, 2022. Five attendees were present, and among the issues discussed were the shortcomings of the supervision tool, specifically its failure to provide the feedback report form. They also reached an agreement that inspections should be planned much earlier to avoid inconveniences with UNEB.</p> <p>Term One's post-inspection meeting was held on March 16, 2023, with six attendees. Inspection details were discussed, as indicated in minute 4/3/2023.</p> <p>For Term 2, there was no evidence presented of a post-inspection meeting at the time of the assessment.</p>	2

Routine oversight and monitoring

Maximum 10 points on this performance measure

d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0

Head teachers of the visited schools provided varying responses regarding inspection findings, as discussed below:

Ajonyi Primary School had received findings from the inspection for Term One but had not received other reports.

The head teacher of Omarari Primary School had not received any report from the inspection findings.

Similarly, the head teacher of Iyama Primary School had not received any information on inspection feedback.

Evidence related to DES submission of reports by the District Inspector of Schools (DIS) is presented below:

The Term 3, 2022 report was never handed over to DES, according to evidence observed during the assessment.

The Term One report, approved by the Chief Administrative Officer (CAO) on March 27, 2023, was submitted to DES on August 25, 2023, by the DIS, Okabo Victor.

The Term 2 report, dated July 7, 2023, was approved by the CAO on July 10, 2023, and submitted to DES on August 25, 2023.

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>There was evidence that the council committee responsible for the education sat and discussed delivery issues in meeting that was held on 22nd March 2023 at the District Council Hall where at least 8 members were present. Under Min no MIN 6/ADSC/3/2022/2023. Some of the key issues discussed under education included;</p> <p>The officer in charge special needs presented list of children with special needs per category of disability.</p> <p>He said in total there are 53 teachers for special needs. He said Adina foundation was sponsoring some pupils and they supported 52 pupils with scholastic materials.</p> <p>The organization is also sponsoring 21 pupils. Adina also offered vocational skills and catch up classes.</p> <p>Alebtong primary school special needs unit was constructed by the district. The unit received some donations from Ministry of Education. The team that donated the items to Alebtong primary school did not pass through education office.</p> <p>All issues addressed above were issues of service delivery that were done in the previous FY and discussed in council committee.</p>	2
11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school, <i>score: 2 or else score: 0</i></p>	<p>There was evidence in a report dated February 19, 2023, indicating that the LG Education Department undertook activities to mobilize, attract, and retain children in school through a "Go Back to School" campaign. This initiative involved participating in a series of radio talk shows on 94.3 QFM Lira City. The participants included the District Education Officer (DEO), SEO, inspectors, and the Secretary of Education, Culture, and Sports. These talk shows occurred from February 13 to February 16, 2023, constituting a mass mobilization campaign.</p> <p>Additionally, the LG Education Department engaged in a dialogue with the Plan International Manager for the Northern Uganda area. The focus was on developing strategies to assist learners in 32 benefiting primary schools to stay in school. The plan aimed to reach over 100,000 children in 9 districts facing similar challenges. This comprehensive report, dated March 28, 2023, was compiled by Agel Moses.</p>	2

Planning and budgeting for investments

Maximum 4 points on this performance measure

a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, *score: 2, else score: 0*

The Consolidated School Asset Register at the DEO's office revealed accurate reporting on the assets of 75 primary schools and 9 secondary schools

The assessment focused on three schools to verify the records in the consolidated asset register, and the findings are presented below:

Ajonyi primary school taken as urban had 7 classrooms, 100 desks, 10 latrine stances and 14 housing units for teachers.

,Omarari primary school taken as semi-urban had 7 classrooms, 12 latrine stances of which 5 were in bad shape, 96 and 4 housing units.

Iyama primary school taken as rural had the following assets in place 10 classrooms, 129 desks, 18 latrine stances & 9 teachers houses.

All the verified assets infrastructures and equipments were also indicated in the consolidated Education Department Assets

Planning and budgeting for investments

Maximum 4 points on this performance measure

b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, *score: 1 or else, score: 0*

There was evidence of conducting desk appraisal for technical feasibility, environmental and social acceptability and use of customized designs for eligible projects under education and all projects were derived from DDP III page 91 as follows;

- Construction of a 5 Stance drainable latrine at Alebelebe Primary School at Ushs 25,000,000 was desk appraised on 15th February 2022 and was recommended for field appraisal.

- Construction of a 5 Stance drainable latrine at Awelokuricok Primary School at Ushs 25,000,000 was desk appraised on and was recommended for field appraisal.

- Construction of a 5 Stance drainable latrine at Anara Primary School at Ushs 25,000,000 was desk appraised on 12nd February 2022 and was recommended for field appraisal.

- Construction of a 5 Stance drainable latrine at Abongodyng Primary School at Ushs 25,000,000 was desk appraised on 14th February 2022 and was recommended for field appraisal.

- Renovation of Four classroom block at Abia Primary School at Ushs 90,650,628 was desk appraised on 18th February 2022 and was recommended for field appraisal.

The Desk Appraisal report was endorsed by the District Planner and other technical staff.

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0</p>	<p>There was evidence of conducting field appraisal checking for technical feasibility, environmental and social acceptability and use of customized designs as per the examples;</p> <p>Field appraisal Construction of a 5 Stance drainable latrine at Alebelebe Primary School. Impacts and mitigation measures identified and recommended for funding as per the form and the project was appraised on 24th February 2022.</p> <p>Field appraisal Construction of a 5 Stance drainable latrine at Awelokuricok Primary School. Impacts and mitigation measures identified and recommended for funding as per the form and the project was appraised on 24th February 2022.</p> <p>Field appraisal Construction of a 5 Stance drainable latrine at Anara Primary School. Impacts and mitigation measures identified and recommended for funding as per the form and the project was appraised on 24th February 2022.</p> <p>Field appraisal Construction of a 5 Stance drainable latrine at Abongodyng Primary School. Impacts and mitigation measures identified and recommended for funding as per the form and the project was appraised on 25th February 2022.</p> <p>Field appraisal Renovation of Four classroom block at Abia Primary School. Impacts and mitigation measures identified and recommended for funding as per the form and the project was appraised on 25th February 2022.</p> <p>All field appraisal forms were Signed by District Planner, District Engineer, DCDO, DEO and District Environment Officer.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, score: 1, else score: 0</p>	<p>The LG Education department had budgeted for and ensured that planned sector infrastructure projects, had been approved and incorporated into the procurement plan that was signed on the 4th August 2023 by CAO, Abenaitwe Robert. The infrastructure projects planned were; Construction of Angetta Seed Secondary School 3rd phase, Construction of Amugu Seed Secondary School 1st phase, construction of Adwir Seed Secondary school 2nd Phase and construction of Awei Seed Secondary School 2nd phase.</p>	1

13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i>	There were two sets of Contract Committee minutes dated 8th September, 2022 where the construction of Angetta Seed Secondary School was approved in minute 05/cc/08/09/2022 and those for the sitting on 9th March, 2023 where the approval for the evaluation report and contract award for construction of Adwir seed Secondary school was done in minute 003/DCC4/2021-2022. There was also a solicitor general letter dated 30th August, 2022 clearing the contract for construction of Awei Seed Secondary school.	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i>	<p>There was evidence that the LG properly established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per guidelines. This was in a letter dated 9th August, 2022 signed by the CAO, Bimbona Simon, in which the following persons were named to the team</p> <ul style="list-style-type: none"> • Olwit Moses-Ag.DEO as Contract Manager • Puche David-Ag. DE as Project manager • Okello Patric as District natural resources officer • Auma Dorcas Vicky as DCDO • Obua Emmanuel as Clerk of works • Bua Paulin Ogwal as Labour officer 	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES <i>Score: 1, else, score: 0</i>	There was evidence that the school infrastructure followed the standard technical designs provided by the Mo ES, this was confirmed at Awei Seed secondary School, from where it was established that the internal dimensions of computer room as 11.8 x 8.1 m, the corridor width was 1.1m wide as per the designs.	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i>	There were two sets of minutes dated 4th April, 2023 and 14th June, 2023 signed by the representatives of the contractor and client to show that monthly site meetings had been held for construction of Awei Seed Secondary School.	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted <i>score: 1, else score: 0</i></p>	<p>There was evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision for Awei Seed Secondary School involving engineers, environment officer, CDO, had been done according to two sets of supervision reports dated 7th February, 2023 and 11th April, 2023.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>There was evidence that the sector infrastructure projects were properly executed and payments to contractors were within specified timeframes and within the contract. For example;</p> <ul style="list-style-type: none"> - Voucher no 6438896 dated 28th June 2023 for Ushs 497,528,369; Certificate No 2, dated 13th June 2023; Contract No.2 ALEB/804/WRKS/22-23/00007, Project; Construction of a Angetta Seed secondary School phase II was certified by DEO on 14th June 2023, District Environment Officer on 13th June 2023, district Engineer on 13th June 2023 and DCDO on 13th June 2023, payment was initiated on 13th June 2023 and payments were made on 28th June 2023 which was within the time flame. - Voucher no 4769261 dated 18th April 2023 for Ushs 71,694,873; Certificate No 1, dated 13th March 2023; Contract No.2 ALEB/804/WRKS/22-23/000013, Project; Rehabilitation of a 4 classroom block at Abia primary School was certified by DEO on 15th March 2023, District Environment Officer on 15th March 2023, district Engineer on 14th March 2023 and DCDO on 15th March 2023, payment was initiated on 13th March 2023 and payments were made on 18th April 2023. - Voucher no 4770327 dated 18th April 2023 for Ushs 36,904,415; Certificate No 1, dated 14th March 2023; Contract No.2 ALEB/804/WRKS/22-23/00007, Project; Construction of 5 Stance drainable latrines at Anara Primary School and Abongdyong Primary School was certified by DEO on 15th March 2023, District Environment Officer on 14th March 2023, district Engineer on 14th March 2023 and DCDO on 14th March 2023, payment was initiated on 14th March 2023 and payments were made on 18th April 2023. 	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i></p>	<p>The LG Education department did not timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, as they did so on 5th May, 2023 which was outside the required deadline.</p>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law <i>score 1 or else score 0</i></p>	<p>There was evidence that the LG has a complete procurement file for each education infrastructure contract with all records as required by the PPDA Law. The files reviewed were;</p> <p>Project: Construction of Awei seed secondary school</p> <p>Procurement ref: MoES/UGFIT/WRKS/2021/2022/00003 had these documents;</p> <ul style="list-style-type: none"> • Signed works contract dated 8th September, 2022 with Wangi General Enterprises limited • Solicitor letter dated 30th August, 2022 signed by Nyeko Joseph • Evaluation report dated 17th January, 2022 • Contracts committee minutes dated 9th March, 2022 • PP1 form, call for bids, issue and receipt of bids record, supervision reports and certificates of payments on file <p>Project: Construction of 5-stance drainable VIP latrine at Anara & Abongodtang primary schools</p> <p>Project ref: Aleb804/wrks/2022-2023/00007 had these documents of file</p> <ul style="list-style-type: none"> • Signed works contract dated 10th October, 2023 with Kuri Investments limited • Evaluation report dated 31st August, 2022 • Contracts Committee minutes dated 8th September, 2023 • PP1 form, call for bids, issue of and receipt of bids, certificates of payments, supervision reports among the documents therein. <p>Project: Renovation of a 4-classroom block at Abia primary school</p> <p>Procurement ref: Aleb804/wrks/2022-2023/00013 had these documents therein</p> <ul style="list-style-type: none"> • Singed works contract dated 10th October, 2022 with bona Agro farm & Engineering Company limited 	1

• Evaluation report dated 31st August, 2022

• Contracts Committee minutes dated 8th September, 2023

• PP1 form, call for call bids record, issue and receipt of bids record, supervision reports

Environment and Social Safeguards

14	Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework. <i>Maximum 3 points on this performance measure</i>	Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0	A complaint handled on the 14th August, 2022 in a Grievances Redress Committee meeting under Min 5/1/8/2022: Grievances Reported, where issues raised concerned the change by the contractor of Ocabu primary school by fixing metallic windows instead of glasses and his payment halted by the District Engineer and it was resolved that the contractor be paid because the mistake that was made was consented to by the supervising Engineer	3
15	Safeguards for service delivery. <i>Maximum 3 points on this performance measure</i>	Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation <i>Score: 3, or else score: 0</i>	There was evidence of a training report on the operation and maintenance of sanitation and hygiene facilities in schools and distribution of WASH manuals for Education conducted on 8th and 9th February, 2023 at Awali, Omarari and Aloii primary school Coordination Centers.	3
16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, score: 2, else score: 0	A costed ESMP for the construction of Adwir Seed Secondary School-phase 1 at a total contract cost of UGX. 472,886,320 with Bill No 11 for environmental and social safeguards costed at UGX. 16,064,240 was incorporated within the BoQs and contractual documents.	2
16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	b) If there is proof of land ownership, access of school construction projects, score: 1, else score:0	There was proof of land ownership for school construction projects implemented in the previous FY. For example, the construction of a micro-scale irrigation demonstration site at Amugu Agro Technical Institute was located on land with a certificate of title issued on 14th July, 2021 with Instrument No. LIR-00005221 at Plot 2, Block 5.	1

16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p>	<p>The Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow-up on recommended corrective actions and prepared monthly monitoring reports for the Education projects below;</p> <ol style="list-style-type: none"> 1. Monitoring report dated 14th April, 2023 for the construction of Angetta Seed Secondary School 2. Monitoring report dated 25th May, 2023 for the construction of Angetta Seed Secondary School 3. Monitoring report dated 27th March, 2023 for the construction of Awei Seed Secondary School 	2
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments <i>Score: 1, else score:0</i></p>	<p>Certification forms were approved and signed by the Environmental Officer and CDO prior to executing the project contractor payments for the education projects below;</p> <ol style="list-style-type: none"> 1. Certificate of compliance for the construction of a 5 stance latrine at Anara and Abongodyang primary school issued on 26th April, 2023 2. Certificate of compliance for the micro-scale irrigation demonstration site at Abia Seed Secondary School issued on 10th August, 2023 3. Certificate of compliance for the renovation of a 4 classroom block at Abia primary school issued on 6th April, 2023 	1

**Health
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>From the annual HMIS reports 107, the annual deliveries of the 3 health facilities: Alebtong HCIV, Abako HCIII and Awei HC III, for the FY 2021/22 were:1420,701 and 416 respectively. The total deliveries for the same facilities for FY 2021/22 was 2537.</p> <p>The annual deliveries for the same health facilities for the FY 2022/23 were: 1276,615 and 720 respectively. The total deliveries for the three above mentioned Facilities for FY2022/23 was 2611.</p> <p>From the calculation there was a percentage increase in Deliveries of 2.9%</p> <p>But it did not reach the national target of 20% increase</p>	0
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> • 70% and above, score 2 • 50% - 69%, score 1 • Below 50%, score 0 	<p>The the average score in Health for LLG performance assessment for the current year under review was 93% as per the OPAMS.</p>	2
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> • 75% and above; score 2 • 65 - 74%; score 1 • Below 65; score 0 	<p>RBF was incorporated into PHC as per the letter from MOH to CAOs dated 7th December 2022.</p>	0
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p>	<p>DLG did receive Sector Development Grant Ushs 377,656,000 for FY 2022/2023 and was used towards;</p> <ol style="list-style-type: none"> 1. Completion of DHOs Office at Ushs 100,000,000. 2. Completion of Staff house at Abia HC III at Ushs 161,500,000. 3. Remodeling of Omoro HC III old OPD to IPD at Ushs 45,970,093. 	2

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0

The verified vouchers indicated the District Health Officer, District Environment Officer, District Community Development Officer, and LG Engineer certified works on health projects before the LG made payments to the contractors/ suppliers. For example;

1. Voucher no 6434522 dated 28th June 2023 for Ushs 13,902,167 Certificate No 2, dated 12 June 2023; Contract No. ALEB/804/WRKS/22-23/00011, Project; Construction of a Staff House at Abia HC III was certified by District Health Officer on 12th June 2023, District Environment Officer on 14th June 2023, district Engineer on 12th June 2023 and DCDO on 14th June 2023.
2. Voucher no 6431423 dated 28th June 2023 for Ushs 9,757,189 Certificate No 2, dated 8th June 2023; Contract No. ALEB/804/WRKS/22-23/00010, Project; Completion of DHO's Office was certified by District Health Officer on 12th June 2023, District Environment Officer on 13th June 2023, district Engineer on 8th June 2023 and DCDO on 13th June 2023.
3. Voucher no 6442252 dated 28th June 2023 for Ushs 38,069,872 Certificate No 1, dated 18th May 2023; Contract No. ALEB/804/WRKS/22-23/00007, Project; Remodelling of Omoro HC III was certified by District Health Officer on 12th June 2023, District Environment Officer on 13th June 2023, district Engineer on 25th May 2023 and DCDO on 13th June 2023.

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0</p>	<p>The projects sampled were + 2.11% within +/- 20% the acceptable variation and therefore complied.</p> <p>The project was for completion of the DHO's office</p> <p>Procurement ref: Aleb804/wrks/2022-2023/00010</p> <p>Project:1</p> <p>Estimated cost: Ugx 100,000,000/=</p> <p>Contract cost: Ugx 99,995,870/=</p> <p>Variation Ugx 4,130/=</p> <p>$\%age\ variation\ (4,130/100,000,000) \times 100\% = 0.00\%$</p> <p>Project 2: Remodelling of OPD to IPD at Omoro HCIII</p> <p>Procurement ref: Aleb804/wrks/2022-2023/00007</p> <p>Estimated cost: Ugx 45,970,093/=</p> <p>Contract Cost: Ugx 44,999,849/=</p> <p>Variation Ugx 970,244/=</p> <p>$\%age\ variation\ (970,244/45,970,093) \times 100\% = 2.11\%$</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY</p> <ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 	<p>There was evidence that the health infrastructure followed the standard technical designs provided by the MOH, this was observed at Abia HCIII were the external dimensions of the twin staff house were 12.8 x 7.4M, while the roof was made out of timber wall plate, trusses, tie beams plus trusses and purlins of sizes;100 x 50,100 x 75 and 100 x 50mm respectively roofed using corrugated pre-painted iron sheets of G. 28 this was as per design.</p>	2
4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	<p>The LG staff structure provided for 670 health workers and the LG had deployed 183 health workers at the time of assessment = 27%.</p>	0

4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> • If 100 % score 2 or else score 0 	<p>There was evidence that the health infrastructure followed the standard technical designs provided by the MOH, this was observed at Abia HCIII were the external dimensions of the staff house 12.8 x 7.4M, the roof was made out of timber wall plate, trusses, tie beams plus trusses and purlins of sizes; 100 x 50, 100 x 75 and 100 x 50mm respectively roofed using corrugated pre-painted iron sheets of G. 28 this was as per design.</p>	2
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Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>Alebtong HCIV had 52 staff (DHO facility staff list) while Alebtong HCIV staff list had 51 staff. It was observed that Alinga Tobby; Askari who was on interdiction at the time of assessment was appearing on DHO list and yet was missing on the health facility list. Similarly Ogwal Leo; health inspector was on the DHO staff list for the health facility but was missing on Alebtong HCIV staff list at the health facility. It was also observed that the Health Inspector was working at Alebtong Town Council at the time of assessment. However Adongo Florence Accountant was appearing on the health facility staff list but was missing on the DHO list.</p> <p>Abako HCIII had 10 staff (DHO facility staff list). This was corresponding to the actual number of staff on the staff list at the facility noticeboard and confirmed staff deployed on site).</p> <p>Awei HCIII had 9 staff (DHO facility staff list). This was corresponding to the actual number of staff on the staff list at the health facility noticeboard and the confirmed staff deployed on site).</p> <p>Therefore the existing evidence showed that the information on positions of health workers filled specifically for Alebtong HCIV was inaccurate.</p>	0
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5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>There was no upgrade of any Health facility in the District in the FY 2022/23.</p> <p>However, there were some constructions done during the FY 2022/23 and was still going on.</p> <p>There was Maternity ward under construction at Adir HCII, Abia HCII, Akura HCII.</p> <p>All these Health centres were planned for Upgrade from HCII to HCIII but not yet functional</p>	0
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>There was evidence to show that the three sampled health facilities prepared and submitted their annual work plans to the DHO timely as per the LG Planning Guidelines for Health Sector:</p> <p>Their dates of submission were as shown below:</p> <p>Awei HCIII submitted on 23rd March, 2022.</p> <p>Alebtong HCIV submitted on 31 st March, 2022</p> <p>Abako HCIII submitted on 15th March ,2022</p>	2
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>There was evidence to show that the Sampled Health Facilities did not submit any annual budget performance Report.</p> <p>There was no BP report in the DHO's office at the time of assessment.</p>	0
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>There was evidence to show that the sampled Health Facilities developed and reported on implementation of Facility improvement plans</p>	2
	<p>Maximum 14 points on this performance measure</p>		<p>Their submission dates were indicated as shown below:</p>	
			<p>Awei HCIII submitted on 30th March 2023.</p>	
			<p>Alebtong HCIII submitted on 30th March,2023.</p>	
			<p>Abako HCIII submitted on 15th March 2023.</p>	
			<p>Their plans incorporated issues identified in monitoring and assessment reports. For example, the Facility Improvement plan for Abako HCIII incorporated issues like opening up a Training data base for the health facility. This was one of the recommendations of the quarter 2 HSD support supervision on the health facility, in response to the finding that the health facility did not have a CPD schedule.</p>	
			<p>The plan for Ablebtong HCIII incorporated issues like testing all suspected pregnant mothers and linking them to ANC services. This was one the gaps identified in the quarter 1 HSD support supervision.</p>	
			<p>All these were implemented and reported in the Quater 4 Performance review meeting.</p>	
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,</p> <ul style="list-style-type: none"> • score 2 or else score 0 	<p>There was evidence to show that the sampled Health facilities submitted their HMIS reports Timely as shown below:</p>	0
	<p>Maximum 14 points on this performance measure</p>		<p>Submission dates for HMIS 105 and 106 Reports</p>	
			<p>HMIS 105</p>	
			<p>July 2022</p>	
			<p>Alebtong HCIV submitted on 5th August 2022</p>	
			<p>Abako HCIII submitted on 4th August 2022</p>	
			<p>Awei HC III submitted on 3rd August 2022</p>	
			<p>August 2022</p>	
			<p>Alebtong HCIV submitted on 7th September, 2022</p>	
			<p>Abako HCIII submitted on 5th September, 2022</p>	
			<p>Awei HC III submitted on 2nd September, 2022</p>	
			<p>September 2022</p>	
			<p>Alebtong HCIV submitted on 7 October 2022</p>	

Abako HCIII submitted on 5th October 2022

Awei HC III submitted on 6h October 2022

October 2022

Alebtong HCIV submitted on 6th Novemeber 2022

Abako HCIII submitted on 4th Novemeber 2022

Awei HC III submitted on 3rd Novemeber 2022

November 2022

Alebtong HCIV submitted on 6th December 2022

Abako HCIII submitted on 5th December 2022

Awei HC III submitted on 3rd December, 2022

December 2022

Alebtong HCIV submitted on 7th January 2023

Abako HCIII submitted on 5th January 2023

Awei HC III submitted on 5th January 2023

January 2023

Alebtong HCIV submitted on 7th February 2023

Abako HCIII submitted on 6th February, 2023

Awei HC III submitted on 1st February, 2023

February 2023

Alebtong HCIV submitted on 7th March, 2023

Abako HCIII submitted on 3rd March, 2023

Awei HC III submitted on 3rd March, 2023

March 2023

Alebtong HCIV submitted on 7th April ,2023

Abako HCIII submitted on 6th April ,2023

Awei HC III submitted on 3rd April ,2023

April 2023

Alebtong HCIV submitted on 5th May, 2023

Abako HCIII submitted on 5th May, 2023

Awei HC III submitted on 4th May, 2023

May 2023

Alebtong HCIV submitted on 7th June, 2023

Abako HCIII submitted on 5th June, 2023

Awei HC III submitted on 7th June, 2023

June 2023

Alebtong HCIV submitted on 5th July, 2023

Abako HCIII submitted on 5th July 2023

Awei HC III submitted on 3rd July, 2023

Quarterly Report 106 a

Quarter 1

Alebtong HCIV submitted on 5th/7th October 2022

Abako HCIII submitted on 5th October 2022

Awei HC III did not offer ART services.

Quarter 2

Alebtong HCIV submitted on 7th January 2023

Abako HCIII submitted on 5th January 2023

Awei HC III did not offer ART services.

Quarter 3

Alebtong HCIV submitted on 6th April ,2023

Abako HCIII submitted on 3rd April ,2023

Awei HC III did not offer ART services.

Alebtong HCIV submitted on 4th July 2023

Abako HCIII submitted on 5th July 2023

Awei HC III did not offer ART services.

RBF was incorporated into PHC program as per the letter from MOH to CAOs dated 7th December 2022

0

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0

Note: Municipalities submit to districts

Maximum 14 points on this performance measure

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>There was a letter from the Permanent Secretary, Ministry of Health, dated 7th December 2022 (Ref: ADM.45/545/02), addressed to all CAOs on “outstanding RBF funds”. The letter highlighted a halt in the payment of RBF.</p> <p>Likewise, according to the checklist for Health Specialists (section 5.2.1, 2b) provided by OPM, this indicator is not applicable. To score 0 for all LGs. Indicator to be dropped from the maximum score during analysis.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>The Planner could not track submission date for the QBPRs by the DHO. He noted the new system doesn't send email notification compared to previous system and therefore she could not ascertain the dates.</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>There was evidence to show that The DLG did not develop a plan for weakest Health Facilities.</p> <p>There was no any plan seen in the DHO's Office at the time of assessment.</p>	0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>There was no implementation done on weak Health facilities.</p> <p>No implementation report was seen in DHO's office at the time of assessment.</p>	0
	<p>Maximum 14 points on this performance measure</p>			

Human Resource Management and Development

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>There was evidence that Alebtong district LG budgeted for health workers in accordance with staffing norms. The LG approved wage for health workers for FY2023/24 was Ugx. 4,008,775,000 (Approved budget estimates for Alebtong LG 2023/24 page 23 of 59 , vote 804). This was in line with Health Sub Programme Grant Budget and Implementation Guideline for Local Government FY 2023/24 where the provided wage rate was Ugx. 4,008,775,176 as indicated on page 94 vote 804.</p>	2
	<p>Maximum 9 points on this performance measure</p>		<p>Therefore, Alebtong LG budgeted for health workers as per the guidelines/in accordance with the staffing norms.</p>	

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>Alebtong HCIV had 51 out of 48 required health workers for HCIV, giving 106.3% of the required staffing norm for HCIV (Confirmed Staff list at Alebtong HCIV noticeboard)</p> <p>Abako HCIII had 10 out of 19 required health workers at HCIII giving 52.6% of the required staffing norm for HCIII (Confirmed staff list at Abako HCIII noticeboard)</p> <p>Awei HCIII had 9 out of 19 required health workers at HCIII giving 47.4% of the required staffing norm for HCIII (Confirmed staff list at Awei HCIII noticeboard)</p>	0
	<p>Maximum 9 points on this performance measure</p>		<p>Therefore, 2 health facilities (Abako and Awei HCIII) didn't have at least 75% of staff required hence Alebtong district LG did not deploy health workers in all the health facilities in accordance with the staffing norms.</p>	

7	<p>Budgeting for, actual</p>	<p>b) Evidence that health</p>	<p>There was evidence that the health workers</p>	3
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recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

workers are working in health facilities where they are deployed, score 3 or else score 0

were working in the health facilities they were deployed (as per health staff deployment lists, attendance registers and Monthly staff attendance analysis for personnel).

Alebtong HCIV: 22 out of 51 health workers deployed to Alebtong HCIV were present on duty on the day of assessment. However 8 health workers were for annual leave, 1 health worker was for a study leave while others were for either night duty or off duty.

Examples of health workers found working at Alebtong HCIV on the day of assessment included;

1. Opio Silvano; Anesthetist was present on duty on 12th December 2023. The facility monthly attendance analysis for health personnel indicated that he was present on duty for 19 days in the month of November 2023.

2. Luka Benson Assistant Health Educator was present on duty on 12th December 2023. The facility monthly attendance analysis for health personnel indicated that he was present on duty for 20 days in the month of November 2023.

3. Amongi Grace; Enrolled Midwife was present on duty on 12th December 2023. The facility monthly attendance analysis for health personnel indicated that she was present on duty for 12 days in the month of November 2023.

(Alebtong HCIV staff attendance book 12th December 2023 and Attendance Analysis for health personnel for November 2023).

Abako HCIII: 3 out of 10 staff deployed to the health facility were present on duty on the day of assessment. However 4 health workers (Acola Juliana; Enrolled Midwife, Ogwali Denis; Enrolled Nurse Okello Hillary Odom; Health Assistant and Ongom Calvin; Health Information Assistant) had taken part of their Annual leave to examinations. Similarly Opio Solomon; Laboratory Technical was for study leave while Awio James; Askari was for sick leave.

Examples of health workers found working at Abako health facility on the day of assessment included;

1. Ibyara Oboi Helen; Enrolled midwife was present on duty on 11th December 2023. The facility monthly attendance analysis for health personnel indicated that she was present on duty for 22 days in the month of November 2023.

2. Okwir Ronald was present on duty on 11th December 2023. The facility monthly attendance analysis for health personnel indicated that he was present on duty for 19

days in the month of November 2023.

3. Amongi Dorcus, Laboratory Technicians was present on duty on 11th December 2023. The facility monthly attendance analysis for health personnel indicated that she was present on duty for 17 days in the month of November 2023. (*Abako HCIII staff attendance book 11th December 2023*).

Awei HCIII: 6 out of 9 staff deployed to the health facility were present on duty on the day of assessment. However Acola Rabbecca; Midwife was off for study leave, Akello Juliet; Enrolled Nurse was for annual leave while Acen Immaculate; Enrolled Midwife was Absent.

Examples of health workers found working at Awei health facility on the day of assessment included;

1. Abua Mirriam; Nursing Assistant was present on duty on 11th December 2023 and the facility monthly attendance analysis for health personnel indicated that she was present on duty for 23 days in the month of October 2023.

2. Akite Esther; Enrolled Nurse was present on duty on 11th December 2023 and the facility monthly attendance analysis for health personnel indicated that she was present on duty for 19 days in the month of October 2023.

3. Acio Andilizah; Assistant Nursing Officer was present on duty on 11th December 2023 and the facility monthly attendance analysis for health personnel indicated that she was present on duty for 19 days in the month of October 2023.

(*Awei HCIII staff attendance book 11th December 2023 and Monthly staff attendance analysis for health personnel for October 2023*).

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0

There was evidence that Alebtong District LG publicized health worker deployment. Lists of health workers were found displayed on the noticeboards and walls at the three facilities visited.

The displayed lists of staff at Alebtong HCIV, Abako HCIII and Awei HCIII Noticeboards had a total of 51, 10 and 9 staff respectively. (Alebtong HCIV, Abako HCIII and Awei HCIII Noticeboards). These lists were clearly indicated as staff list for FY 2023/24 and were stamped

2

8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	<p>a) Evidence that the DHO/MMOHs has:</p> <p>i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0</p>	<ul style="list-style-type: none"> • The DHO had conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY although the deadline was not adhered to. For instance; <ol style="list-style-type: none"> 1. Wanyang Jimmy Omoro at HC III was appraised on 2nd July 2023 2. Okot Bonny at Abako HC III was appraised on 12th December 2023 by Ewach Dennis, SAS 3. Odoo Patrick Cedrick Akura HC II was appraised on 6th July 2023 by Atino Lillian, Senior Clinical Officer 4. Atine Jerome Fredrick Apala HC III was appraised on 29th June 2023 by Amongi Jaqueline 5. Okot Emmanuel Omarari HC II was appraised on 30th June 2023 by Wanyang Jimmy, Medical Officer 6. Odoo John Bosco Adwir HC II was appraised on 4th July 2023 by Aliro Tony, SAS 7. Akello Janet Oyom Anara HC III was appraised on 2nd July 2023 by Atino Lillian, Senior Clinical Officer 8. Ocen Jimmy Abia HC III was appraised on 30th June 2023 by Odongo Isaac Walter, SAS 9. Bua Jasper Obin HC II was appraised on 1st July 2023 by Olong Patrick Senior Clinical Officer 10. Andilizah Grace Acio Awei HC III was appraised on 12th July 2023 	0
8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	<p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p>	<p>The LG HR Department did not provide evidence to show that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY.</p>	0
8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>The LG had taken corrective actions based on the appraisal reports including; support supervision, human resource management training, financial management, management and administrative skills. All these actions were taken between July 2022 and June 2023.</p>	2
<p>Maximum 6 points on this performance measure</p>	<p>Maximum 6 points on this performance measure</p>	<p>Maximum 6 points on this performance measure</p>	<p>Maximum 6 points on this performance measure</p>	<p>Maximum 6 points on this performance measure</p>

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p>	<p>There was evidence to show that Alebtong DLG did not conduct training for its workers</p> <p>The was no training Data base nor any traning plan seen in DHO's Office at the time of assessment.</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>Alebtong DLG did not document any training that took place in FY 2022/23.</p> <p>There was no any training report seen in DHO's office at the time of assessment.</p>	0

Management, Monitoring and Supervision of Services.

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>There was a letter from CAO to MOH, dated 17th August ,2023 confirming Health facilities to receive PHC funds. They were 18 Health facilities.</p>	2
9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>A review of the approved budget showed that on page 53 supervision & monitoring was allocated UGX 377,656,000 and on (page 45) ,PHC non -wage was allocated UGX 475,062,000.</p> <p>As per the computation $377,656,000 / 475,062,000 \times 100 = 87\%$</p> <p>As per the computation the allocation of supervision and monitoring was more than 15% of PHC non-wage.</p>	2

9

N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0

The District did not do timely warranting/verification (within 5 working days) from the date of releases from MoFPED as determined below:

- 1st Quarter was released on 17th July, 2022 and warranted on 2nd August, 2022 after 5 days.
- 2nd Quarter released on 3rd October, 2022 and warranted on 14th October, 2022 after 11 days.
- 3rd Quarter released on 2nd January, 2023 and warranted on 11st January, 2023 after 9 days.
- 4th Quarter released on 11st April, 2023 and warranted on 24th April, 2023 after 13 days.

0

9

N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0

The evidence provided indicated that the invoicing and communicating of all PHC NWR Grant transfers for the previous FY to health facilities was not within 5 working days from the day of funds release in each quarter;

Quarter 1 funds was released on 18th July 2022 and the communication was made on 24th August 2022 which was more than 5 days.

Quarter 2 funds was released on 3rd October 2022 and the communication was made on 17th October 2022 which was more than 5 days.

Quarter 3 funds was released on 2nd January 2023 and the communication was made on 23th January 2023 which was more than 5 days.

Quarter 4 funds was released on 11st April 2023 and the communication was made on 15th May 2023 which was more than 5 days.

0

N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0

There was no evidence that the DLG had publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoPPED on the notice board.

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0

The Alebtong DHT conducted quarterly performance review meetings on the following dates below:

Quarter 1 meeting took place on 12 December, 2022.

Quarter 2 meeting took place on 28th February 2023.

Quarter 3 meeting took place on 5th May 2023.

Quarter 4 meeting took place on 4th July, 2023

There was evidence for implementation of actions that were recommended in these meetings.

Quarter 1 Performance review meeting reported low utilization of ANC4 and recommended use of VHTs to map out mother follow them up. The quarterly review meeting 2 reported implementation of this and that there was a slight increase in ANC attendance.

Quarter 2 Review meeting identified a problem of an upsurge of Malaria in the District. The meeting recommended Community Dialogue and sensitization on the use of LLIN

This action was implemented with assistance from MRA, there was formation of Malaria Task force in Sub counties to sensitize people about Malaria at households and community level and promoting the use on LLINs. (District progress report on 5th May, 2025).

In quarter 3 Performance review meeting, there was a gap of timely submission of HMIS report in Abako HCII, Abim HCII and Awi Mission HCIII.

The Meeting set deadlines for monthly submission of HMIS report as 5th date of every month and recommended the district HMIS focal person to remind Health facility HMIS focal persons and mentor them to ensure timely submission of HMIS Reports.

This was rectified. The HMIS 105 and 106a were submitted timely during the following Quarter.

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>The performance review meetings involved all health facilities in-charges, implementing partners, DHMTs, etc. For example Quarter 1 Performance review meeting involved</p> <p>Health facility incharges like:</p> <p>Obwa Jesper I/C Obim HC II</p> <p>Andlizar grace A I/C Awei HCIII</p> <p>Odoe Patric Cedric I/C Amugu HCIII</p> <p>Okello Macob I/C Akura HC III</p> <p>DHT members</p> <p>Dr.Odung Tonny DHO</p> <p>Luka Benson DHE</p> <p>Other Departments</p> <p>Bimbona Saimon CAO</p> <p>Olwit Moses Ag DEO</p> <p>Auma Docus DCDO</p> <p>Development Partners</p> <p>Otuke Joseph JCRC</p> <p>Apunyu Bonface</p> <p>Lubaale Rafee USAID Lango</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>There was no evidence that the Local Government did any Support supervision to HCIV .</p> <p>There were no support supervision Report on HCIV nor any feed back report seen In the DHO's office at time of Assessment.</p>	0

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <ul style="list-style-type: none"> • If not applicable, provide the score 	<p>There was evidence to show that Alebtong DHT ensured that Health subdistrict carried out Support supervision.</p> <p>The dates on which the Support supervisions were carried out were shown below:</p> <p>Quarter 1 was done on 16th _ 27th October 2022.A total of ten (10) health facilities were covered.</p> <p>Quarter 2 supervision which took place on 23rd - 3rd February 2023, Anara HCIII found that stock cards were not completely filled, Temperature charts for store were not in use.</p> <p>The Quarter 3 Supervision took place on 17th -28th April 2023. Thirteen (13) Health facilities were covered.</p> <p>For example, in Anyanga HCII, the team found that some vaccine material had not been entered in the Vaccine Control Book and there was incomplete filling of the Child Health Register.</p> <p>The quarter 4 Supervision took place on 26th June -7th July 2023.</p> <p>In Akua H/C for example the team found that Temperature charts were not filled ,weigh scales were missing.(HSD supervision Report 10th July 2023)</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>There was evidence to show that the DLG use of support supervision results to make recommendations. The DHO reported that these Support supervision reports were distributed to health facilities as feedback reports.</p> <p>But there was no evidence to show that follow up was done. There was not any follow up report nor progress report in DHO's Office to show any corrective implementation.</p>	0

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>There was evidence to show that the district provided support to all health facilities in the management of medicines and health supplies, during the previous FY:</p> <p>There were Quarterly SPARS reports.</p> <p>Quarter 1 SPARS report was submitted on 3rd September ,2022 and covered 7 Health facilities.</p> <p>Quarter 2 SPARS report was submitted on 30th December 2022 and covered 10 health facilities.</p> <p>Quarter 3 Covered 9 was submitted 30th March 2023 and covered 9 Health Facilities.</p> <p>Quarter 4 SPARS report was submitted on 30th June 2023 and covered 4 Health facilities.</p> <p>Some of the key gaps identified were that most Health facilities had not updated stock cards with AMC minimum stock level. 90%of the health facilities supervised lacked stock books during Quarter 3 supervision.</p> <p>All these Reports were compiled by Acam Ketty DMMS</p>	1
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p>	<p>A review of the Approved Budget report showed that DHO allocation was UGX 45,000,000. A review of the report shows that Ugx 13,969,900 was spent on Health promotion page 52 of approved budget.</p> <p>Expressed as a % = $13,969,900 / 45,000,000 \times 100 = 31\%$.</p>	2

11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0	There was evidence that Alebtong DHT led health promotion, disease prevention and social mobilization activities in FY 2022/23 .The Health promotional that took place are shown below: There was Community Dialogue on Malaria on 23rd March 2023. This took place in Alebtong Town council, and covered Alebton adwong Cell(Report by Ogwal Leo 27th March, 2023) Another Community Dialogue on Malaria was done on 30 January 2023, at Akaidabe in Abukamol Parish and in Olokoro village in Baropiro Parish.(reported byEnyang Fred 3rd February,2023) There was Malaria sensitization in Schools 5 th -6th May 2023. Schools covered were Awara Primary school, Winy Primary school and Allangel Primary school. There was community dialogue done on Malaria on in Awara village on 5th and May ,2023.	1
	Maximum 4 points on this performance measure			
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0	There was no evidence to show that the DHT did a follow up on Health promotion. There were no follow up reports seen in DHO's office at the time of assessment	0
	Maximum 4 points on this performance measure			

Investment Management

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>There was evidence to show that Alebtong District had an updated Assets register August 2023. This register included equipment for each Health facility.</p> <p>The medical equipments like BP machines, Microscopes weigh scales, Nursing equipment, laboratory equipment</p> <p>Sterization equipments like Autoclaves</p> <p>It also included the Physical infrastructure for example the , facility with OPD ward, General ward, Maternity Ward, Placentre pit, incinerator. Etc</p> <p>The vehicles for example attached to Albtong HCIV UG 0752Z,</p>	1
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDPIII);</p> <p>(ii) desk appraisal by the LG; and</p> <p>(iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG));</p> <p>score 1 or else score 0</p>	<p>The DLG presented desk appraisal for investment projects implemented under Health Sector in FY2022/23 to check whether these prioritized investments were derived DDP III page 91 and AWP as proof that they were eligible for expenditure under sector guidelines and funding source as per the example below.</p> <ol style="list-style-type: none"> 1. Completion of DHOs Office at Ushs 100,000,000 and recommended for field appraisal. 2. Completion of Staff house at Abia HC III at Ushs 161,500,000 and recommended for field appraisal. 3. Remodeling of Omoro HC III old OPD to IPD at Ushs 45,970,093 and recommended for field appraisal. <p>All the projects were appraised on 17th February 2022 by the District Planner, District Engineer and other technical staff and all projects were recommended for field appraisal.</p>	1

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>There was evidence of conducting field appraisal checking for technical feasibility, environmental and social acceptability and use of customized designs as per the examples;</p> <ul style="list-style-type: none"> - Field appraisal for Completion of DHOs Office. Impacts and mitigation measures identified and recommended for funding as per the form and the project was appraised on 23th February 2022 signed by PAS, District Planner, and Engineer. - Field appraisal for Completion of Staff house at Abia HC III. Impacts and mitigation measures identified and recommended for funding as per the form and the project was appraised on 24th February 2022 signed by PAS, District Planner, and Ag.Engineer. - Field appraisal for Remodeling of Omoro HC III old OPD to IPD. Impacts and mitigation measures identified and recommended for funding as per the form and the project was appraised on 25th February 2022 signed by PAS, District Planner, and D. Engineer. 	1
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>Health facility investments for the previous FY were screened for environmental and social risks and mitigation measures put in place before being approved for construction however, monitoring using the checklists was not adhered to. Below were some of the projects that should have been monitored using checklist;</p> <ol style="list-style-type: none"> 1. Construction of a staff house at Abia HCII prepared on 17th August, 2022 2. Completion of the DHO's Office prepared on 19th October, 2022 	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>There was evidence that the LG health department did timely, by April 30 for the current FY, submitted all its infrastructure and other procurement requests to PDU on 14th April, 2023, for incorporation into the approved annual work plan, budget and procurement plan, which was signed by the CAO, Abenaitwe Robert on 4th August, 2023. The projects planned for implementation were completion of radiology unit at Alebtong HCIV at Ugx 44M, construction of Art clinic at Omoro HCIII at Ugx 41M and construction of staff house at Adwir HCIII at 175M.</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>There was evidence to show that the LG Health department submitted procurement request form (Form PP1), to the PDU by 1st Quarter of the current FY. It was done on 20th June, 2023 and was for construction of staff house at Adwir HCIII, completion of radiology unit at HCIV and phase 3 construction of DHO's office.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>There was evidence that the health infrastructure investments for the previous FY, were approved by the Contracts Committee before commencement of construction. This was done in the sitting of 8th September, 2022, where there was approval of the evaluation report and award of the contracts for completion of the DHO's office and remodeling of OPD to IPD at Omoro HCIII in minute 05/CC/08/09/22.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence that the LG properly established a Project Implementation team for all health projects composed of</p> <ul style="list-style-type: none"> • Odungu Tonny Ag. DHO as Contract manager • Puche David Ag. DE as project manager • Okello Patrick- DNRO • Auma Dorcas Vicky- DCDO • Bua Paulino Ogwal- Labour officer • Opio Tom Richard Clerk of works 	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence that the health infrastructure followed the standard technical designs provided by the M0H, this was observed at Abia HCIII where the external dimensions of the twin staff house were 12.8 x 7.4M, while the roof was made out of timber wall plate, trusses, tie beams plus trusses and purlins of sizes;100 x 50,100 x 75 and 100 x 50mm respectively roofed using corrugated pre-painted iron sheets of G. 28 this was as per design.</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There were no records for clerk of works that were seen, during the assessment in the year under review</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no evidence that the LG held monthly site meetings by project site committee: chaired by the CAO and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility, the Community Development and Environmental officers.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no evidence to show that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction</p>	0

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0

The verified vouchers indicated the District Health Officer, District Environment Officer, District community Development Officer and LG Engineer certified works on health projects before the LG made payments to the contractors/ suppliers. For example;

- Voucher no 6434522 dated 28th June 2023 for Ushs 13,902,167 Certificate No 2, dated 12 June 2023; Contract No. ALEB/804/WRKS/22-23/00011, Project; Construction of a Staff House at Abia HC III was certified by District Health Officer on 12th June 2023, District Environment Officer on 14th June 2023, district Engineer on 12th June 2023 and DCDO on 14th June 2023. Payment was initiated on 12th June 2023 and paid on 16th June 2023 which was within the time flame.

- Voucher no 6431423 dated 28th June 2023 for Ushs 9,757,189 Certificate No 2, dated 8th June 2023; Contract No. ALEB/804/WRKS/22-23/00010, Project; Completion of DHO's Office was certified by District Health Officer on 12th June 2023, District Environment Officer on 13th June 2023, district Engineer on 8th June 2023 and DCDO on 13th June 2023. Payment was initiated on 8th June 2023 and paid on 28th June 2023 which was within the time flame.

- Voucher no 6442252 dated 28th June 2023 for Ushs 38,069,872 Certificate No 1, dated 18th May 2023; Contract No. ALEB/804/WRKS/22-23/00007, Project; Remodeling of Omoro HC III was certified by District Health Officer on 12th June 2023, District Environment Officer on 13th June 2023, district Engineer on 25th May 2023 and DCDO on 13th June 2023. Payment was initiated on 18th May 2023 and the payments were done on 21st June 2023.

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>There was evidence that the LG had a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law. The files reviewed were;</p> <p>Project: Completion of DHO's office</p> <p>Procurement ref: Aleb804/wrks/2022-2023/00010 had these documents therein;</p> <ul style="list-style-type: none"> • Signed works contract dated 10th October, 2022 with Jasto Holdings limited • Evaluation report dated 31st August, 2022 • Contracts Committee minutes dated 8th September, 2022 • PP1 form, call of bid, issue and receipt of bids record, letters of award and acceptance therein <p>Project: Remodeling OPD to IPD at Omoro HCIII</p> <p>Procurement Ref: Aleb804/wrks/2022-2023/00007 had these documents on file</p> <ul style="list-style-type: none"> • Signed works contract dated 10th October, 2022 with Lantana City Investments limited • Evaluation report dated 31st August, 2022 • Contracts Committee minutes dated 8th September, 2022 • PP1 form, call for bids, issue and receipt of bids record, letters of award and acceptance, payment records among those documents on file.
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Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>There were no records on Health sector grievances registered in the previous FY since none were observed and reported arising out the projects.</p>
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15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>There was evidence of 2 reports dated 22nd March, 2023 and 18th April, 2023 of dissemination of the Infection Prevention and Control guidelines to health workers at Pala HC III and Aletong HCIV.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>The District had a functional system for medical waste management such as an incinerator and placenta pits for the Health center IV, waste coded bins for all health units for segregating waste, waste pits for burning non-wet generated waste.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>There were no records availed at the time of assessment on conducted training(s) and awareness creation in health care waste management.</p>	0
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0</p>	<p>A costed ESMP for the completion of the DHO's Office was contracted at a total cost of UGX. 92,956,435 with Element No 1: Preliminaries, Item 1.4.0 for Social and Environmental mitigation measures costed at UGX. 11,000,000 and incorporated into designs, BoOs, bidding and contractual documents for health infrastructure projects of the previous FY.</p>	2

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>There was evidence of proof of land ownership for the completion of the DHO's Office located on land with a certificate of title issued on 29th July, 2020 with instrument No. LIR-00003855 at Plot 75-91</p>	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>The Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs and provided monthly reports for the projects below;</p> <ol style="list-style-type: none"> 1. Monitoring report dated 10th January, 2023 for the Completion of the DHO's Office 2. Monitoring report dated 5th April, 2023 for the Construction of a staff house at Abia HC II 	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0</p>	<p>The Environment Officer and CDO signed the certification forms prior to payments of contractor invoices/certificates for the completion of DHO's Office phase II issued on 21st February, 2023</p>	2

**Water &
Environment
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>The percentage of the rural water sources that were functional in Alebtong DLG in the previous FY was 70%.</p>	0
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>The percentage of the water facilities with functional water and sanitation committees in Alebtong DLG during the FY 2022/2023 was 90%.</p>	2
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;</p> <ul style="list-style-type: none"> • Above 80%, score 2 • 60% - 80%, score 1 • Below 60%, score 0 	<p>The LG average score in the water and environment LLGs performance assessment for the current FY was 64% as for the results viewed in the OPAMs.</p>	1

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.

o If 100 % of water projects are implemented in the targeted S/Cs: Score 2

o If 80-99%: Score 1

o If below 80 %: Score 0

The number of water projects implemented in water stressed sub-counties with safe water coverage below the district average which was 93% were:-

Drilling of 1 borehole installed with hand pump, rehabilitation of 1 borehole and construction of a 5 stance VIP drainable latrine in Adwir S/C with a safe water coverage of 73%, rehabilitation of 1 borehole and drilling of 1 borehole installed with hand pump in Awei S/C with a safe water coverage of 87% and drilling of one deep borehole and rehabilitation of 1 borehole in Amugu S/C with a safe water coverage of 80%.

The projects that were implemented during the year under review as captured in pages 4 of the annual report were:-

Drilling of 9 deep boreholes installed with hand pumps, rehabilitation of 12 boreholes, drilling of 2 production wells and construction of 1 block of 5 stances VIP latrine.

The projects implemented in water stressed LLGs were 22 in number. The total number of projects implemented in the FY were 24 in number.

The percentage of projects implemented in water stressed sub-counties was $22/24 * 100\% = 92\%$

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates

o If within +/-20% score 2

o If not score 0

The variation in the contract price of the sampled infrastructure investment implemented in the previous FY were within +/-20% of the Engineers' estimate as illustrated below:-

1). Drilling and installation of 9 boreholes in various Lower Local Governments:

Engineer's estimate = UGX
241,759,350

Contract Sum = UGX 205,539,480

Various = UGX 36,219,870

Percentage variance
= $36,219,870 / 241,759,350 \times 100\% = 15\%$

2). Rehabilitation of 12 boreholes in various LLGs.

Engineers estimate = UGX
84,000,000

Contract price = UGX 80,004,000

Variation = UGX 3,996,000

Percentage variation =
 $3,996,000 / 84,000,000 \times 100\% = 5\%$.

3). Construction of a 5 stance drainable VIP latrine in Adwir market in Adwir S/C.

Engineer's estimate = UGX
27,750,000

Contract Sum = UGX 26,998,000

Various = UGX 752,000

Percentage variance =
 $752,000 / 27,750,000 \times 100\% = 3\%$.

2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p>Maximum 8 points on this performance measure</p>	<p>d. % of WSS infrastructure projects completed as per annual work plan by end of FY.</p> <ul style="list-style-type: none"> o If 100% projects completed: score 2 o If 80-99% projects completed: score 1 o If projects completed are below 80%: 0 	<p>Projects that were planned to be implemented in the previous FY 2022/2023 as captured on page 4 of the annual budget performance report included the following:-</p> <p>Rehabilitation of 12 boreholes in various Sub-Counties, drilling of 2 production wells, drilling of 9 boreholes installed with hand pumps in various LLGs, construction of a 5 stance drainable VIP latrine in Adwir market of Adwir sub-county. The total planned projects were 24. The completed projects were 24 in number, therefore the percentage of the completed projects as per the annual report was:</p> <p>$24/24 * 100\% = 100\%$.</p>	2
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <ul style="list-style-type: none"> o If there is an increase: score 2 o If no increase: score 0. 	<p>There was a decrease in the percentage of water supply facilities that were functioning between the FY 2021/2022 and the FY 2022/2023.</p> <p>Percentage of the water supply facilities that were functioning in the FY 2021/2022 was 71% and FY 2022/2023 was 70% respectively.</p> <p>Hence percentage increase was $70\% - 71\% = -1\%$</p>	0
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <ul style="list-style-type: none"> o If increase is more than 1% score 2 o If increase is between 0-1%, score 1 o If there is no increase : score 0. 	<p>There was a decrease in the percentage of water facilities with functional water and sanitation committees between FY 2021/2022 and FY 2022/2023.</p> <p>The percentage of facilities with functional water and sanitation committees in the FY 2021/2022 and FY 2022/2023 was 94% and 90% respectively.</p> <p>The percentage increase therefore was $90\% - 94\% = -4\%$</p>	0

Performance Reporting and Performance Improvement

Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance

Maximum 3 points on this performance measure

The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3

The DWO accurately reported on WSS facilities constructed and their performance in the previous FY as captured in page 4 of the annual budget performance report from where the following facilities were sampled from;

- 1). Drilling of a deep borehole in Otjok village in Awei sub-county, funded under DWSCG, with a DWD number 89088 and completed on 17th May, 2023.
- 2). Drilling of a production well in Apiingic village in Aloi Town Council, funded under UGIFT, with a DWD number 89656 and completed on 20th May, 2023.
- 3). Drilling of a deep borehole in Ayoo Alwak village in Akura sub-county, funded under DWSCG, with a DWD number 89094 and completed on 19th June, 2023.

These projects were completed as per the plan,

Findings from the field visit of the three sampled projects showed that all projects were in place and functional, boreholes were well protected with no deep latrines in the radius of 30m, trees were planted around, water yield and water quality was visually good and all had functional WUCs. The hygiene and sanitation around the water sources was visibly clean.

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2

The DWO presented the quarterly reports and when reviewed the following was noted:

In the first quarter report which was submitted to the Ministry of Water and Environment on 24th November, 2022, on page 7, there was information about the status of the water facilities for each Lower Local Governments in summary table. There was also form 4 which was submitted on the same day

For the second quarter report which was submitted to the Ministry of Water and Environment on 24th February, 2023 on page 18; the DWO had compiled the information about the functionality status of all the water sources in the Sub-counties of the District this was submitted together with form 4 to the ministry.

While for the third quarter report which was submitted to the line Ministry on 08th June, 2023 the information about the water facilities status was found on pages 13.

Finally, for the fourth quarter which was submitted to the line Ministry on the 11th September, 2023, the information on the water facility status was found on pages 12. This report was submitted together with form4 to the ministry of water and environment.

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0

There was evidence that the DWO updated the MIS with quarterly information. The DWO presented form 1 having the information on all the new water facilities that were constructed in the year. These forms were submitted to the MoWE on 4th September, 2023 for inclusion in the national data base. The DWO also presented form 4 which had summaries of the status of all the water facilities per sub-county which were submitted on a quarterly basis with the quarterly reports.

5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>The copy of the LLG assessment report was availed at the time of assessment, the overall average for the water sector performance in the district was 64%; however, there were no PIPs seen and no performance improvement reports seen for any of the least performing LLGs at the time of the LG assessment exercise.</p>	0
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Human Resource Management and Development

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p>	<p>The DWO had budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician at Ugx 78,933,000/=</p>	2
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6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>The Environment and Natural Resources Officer had budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer at Ugx 354,197,000/=</p>	2
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7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>The DWO had appraised District Water Office staff against the agreed performance plans during the previous FY as below;</p> <ol style="list-style-type: none"> 1. Okite Alfred Water Assistant Engineering Officer was appraised on 1st July 2023 by Otyeno Ben, Water Officer 2. Otyeno Bonny- Water Officer was appraised on 7th July 2023 by Habib Abubaker, CAO <p>Not seen:</p> <ol style="list-style-type: none"> 1. Opio Bosco, Borehole Maintenance Technician 	0
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7

Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.

Maximum 6 points on this performance measure

b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3

The HR department did not provide evidence to show that the training activities were conducted in adherence to the training plans at district level and documented in the training database.

0

Management, Monitoring and Supervision of Services.

8

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
 - • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
 - • If 80-99%: Score 2
 - • If 60-79: Score 1
 - • If below 60 %: Score 0

The DWO allocated over 80% of the budget for the current FY 2023/2024 to water stressed sub-counties which had safe water coverage below the district average which was 93%.

The water stressed sub-counties were;

Awei S/C with safe water coverage of 87% was allocated rehabilitation of 1 boreholes, construction of 5 stance drainable VIP latrine and drilling of 1 borehole, Amugu S/C with a safe water coverage of 80% was allocated construction of a piped water supply system and rehabilitation of 1 borehole, Aloji S/C with safe water coverage of 91% was allocated drilling of 1 borehole, and rehabilitation of 1 borehole, and Adwir sub-county with a safe water coverage of 73% was allocated drilling of 1 borehole and rehabilitation of 2 boreholes.

The total budget allocation to water stressed LLGs was UGX 464,949,816

The total annual development budget for Alebtong DWO for the current FY was UGX 561,078,000

Percentage allocation to water stressed LLGs was = $464,949,816/561,078,000*100\% = 83\%$

2

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3

There was evidence that DWO communicated to the LLG their respective allocations per source to be constructed in the current FY.

The DWO presented the correspondence file in which communications to Lower Local Governments were contained.

In this file there was a letter dated 8th June, 2023 addressed to the different sub-county chiefs, of the following sub-counties Awei, Omoro, Adwir, Aloii, Amugu, Abia, and Abako; a copy of the same notification was seen on the DWO notice board.

The letter had details of the planned projects to be implemented in the current financial year and also detailing the allocations to each sub-county together with the financial amounts for each project. The allocations were as follows; Awei S/C UGX 26,862,150, Omoro S/C UGX 34,312,995, Adwir S/C UGX 34,312,995, Aloii S/C UGX 34,312,995, Amugu S/C UGX 189,312,995, Abia S/C UGX 34,312,995 and Abako S/C 34,312,995

The DWO also made a presentation during the district budget conference which was held on 2nd November, 2023 in slides number 4 and 5 in his presentation where the allocations were summarized.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)

- If 95% and above of the WSS facilities monitored quarterly: score 4
- If 80-94% of the WSS facilities monitored quarterly: score 2
- If less than 80% of the WSS facilities monitored quarterly: Score 0

There was evidence that the DWO monitored each of the WSS facilities at least quarterly.

The DWO presented 4 sets of the quarterly progress reports and monitoring reports which upon review the following was found out: - During the first quarter as per the report dated 27th September, 2022, it was noted that there was a summary table in the report which showed that 944 water facilities were monitored.

In the second quarter as per the monitoring report dated 24th January, 2023, a total of 897 water sources were monitored during this quarter.

Likewise, for quarter 3 report dated 8th March, 2023 the number of water sources monitored was 977.

In quarter 4 as per the report dated 24th June, 2023, gave a summary of the water facilities that were visited as 1,234.

On average, therefore the water facilities that were visited quarterly was = $944 + 897 + 977 + 1,234 = 4,052/4 = 1,013$.

Alebtong DLG had a total of 1,113 rural WSS facilities as per the national data base from MoWE.

The percentage of the quarterly monitored water facilities was $1,013/1,113 * 100\% = 91\%$.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2

There was evidence that the DWO conducted DWSCC meetings quarterly, the DWO presented four sets of minutes of the DWSCC meetings. The following were the meetings that were conducted:-

In the first quarter the meeting was held on 7th September, 2022. The key issues discussed during this meeting were found in minute number Min.05/09/2022 where the DWO gave a report on the projects that were planned for implementation in the current year and his emphasis was on the boreholes to be rehabilitated.

During the second quarter the meeting was held on 19th December, 2022 and the key issues discussed during the meeting were found in minute Min.04/12/2022 among the key issues discussed was the operations of one of the CSOs namely CO2Balance which was reported by the communities to be contravening the sector guidelines by sensitizing the communities to desist from co-funding for projects, this prompted the meeting to resolve that CO2Balance should suspend their operations until they harmonise with the sector guidelines.

For the third quarter the meeting was held on 28th March, 2023 and the major issues of discussion were found in minute Min.5/03/2023. One of the main issue of discussion was the issue of CSOs signing MoUs with the district with clear terms and conditions and give all their activities transparently so as to avoid any inconsistencies in the course of the implementation of their activities.

Whereas in quarter 4 the meeting was held on 20th June, 2023, and key issues discussed under minute Min.3/06/2023. The specific issue discussed here was the poor O&M of the water facilities which was partly blamed on political interference by a number of political leaders misleading the communities that they will come and repair water sources and this makes communities not to collect the user fees; and on the other hand there is need to give refresher training to the hand pump mechanics to enable them huddle the situation effectively.

9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>	<p>The DWO publicized the budget allocations for the current FY to LLG with safe water coverage below the LG average which was 93% as per the letter dated 8th September, 2023 which was found on the DWO notice board. The letter was addressed to the sub-county chiefs of the following sub-counties;</p> <p>Adwir, Awei, Akura, Abako, Omoro, Aloi, Amugu, and Abia.</p> <p>The letter detailed the projects allocated to these LLGs together with their budgeted amounts.</p>	2
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 	<p>The total NWR for the previous FY for Alebtong DLG water sector was UGX 84,717,606. The DWO allocated UGX 35,405,752 towards mobilization activities.</p> <p>The percentage allocation therefore was $35,405,752/84,717,606 \times 100\% = 41.7\%$.</p>	3
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.</p>	<p>There was evidence that the DWO in liaison with the CDO trained the WSCs on their roles, and responsibilities and O&M. The DWO presented a training report dated 17th July, 2023. The training period spanned from 22nd June, 2023 to 6th July, 2023. The topics handled included good and bad practices in handling water facilities, O&M, roles and responsibilities, simple book keeping skills, hygiene and in the households and around the water facilities, enacting of bye laws among others.</p> <p>The trainers were; Achieng Vicky the H/A, Alwedo Eunice H/A, and Okidi Moses ADWO in charge mobilization.</p>	3

Investment Management

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:</p> <p>Score 4 or else 0</p>	<p>The DWO presented an up to date water supply and sanitation facilities register which had all the water supply and sanitation facilities in the District by location and up on review it was noted that some of the newly constructed water facilities were included in the register as they were detailed in form 1 which was submitted to the Ministry of Water and Environment on 4th September, 2023 for inclusion in the national data base. The newly constructed water facilities were also seen included in the asset register.</p>	4
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDPIII) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p> <p>Score 4 or else score 0.</p>	<p>The evidence showed the LG's DWO, District Planner, Senior Environmental Officer and DCDO conducted a desk appraisals for all WSS projects in the budget. It was established the prioritized investments were derived from the approved district development plans (LGDPIII) and were eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage). The desk appraisals were conducted and discussed on 14th April 2023. The projects were derived from LG DP III, pages- 183 and Approved Budget pages, 46.</p> <p>Projects appraised were;</p> <ol style="list-style-type: none"> 1. Drilling of a borehole at Ojul. 2. Drilling of a borehole at Oculukori 3. Drilling of a borehole at Akwoyo 4. Drilling of a borehole at Matmot 5. Drilling of a borehole at Aweayela 6. Drilling of a borehole at Kakira 7. Drilling of a borehole at Tegweng 8. Drilling of a borehole at Ngobadi 9. Construction of a 5 Stance latrine at Engwenya Trading Centre. 	4

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2

All the budgeted investments for the current FY had completed application forms from the beneficiary communities as per the records reviewed from a file of community application forms presented by the DWO to the during the assessment. The following community applications were sampled:

1). Application from Apam village in Akura S/C, the application date was 11th April, 2023, and the DWO recommended it to be included for implementation in FY 2023/2024 on 12th April, 2023. The application was endorsed by the SAS, Ocan Vincent Aporu.

2). Application from Mat-mot village in Awei S/C, the application was dated 7th March, 2023, and was endorsed by the SAS, Munu Samuel

The DWO cleared it for implementation in FY 2023/2024 on the 24th April, 2023.

3). Application from Apwoyo village in Adwir S/C, this application was dated 11th April, 2023 and was endorsed by the LCI, Mr. Omedi Altus George. And this application was cleared for implementation in the FY 2023/2024 on 16th April, 2023 by the District Water Officer.

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2

LG conducted field appraisals and checked for technical feasibility environmental social acceptability, and customized designs for WSS projects for FY 2023/2024. LG DWO, District Planner, Senior Environmental Officer and DCDO conducted field appraisals for all WSS projects in the budget and established the prioritized investments are derived from the approved district development plans and are eligible for expenditure under sector guidelines. The LG District Water Officer conducted a field appraisals for water projects on 21st April 2023.

The projects were derived from LG DP III, pages- 183 and Approved Budget pages, 46.

Projects appraised were;

1. Drilling of a borehole at Ojul.
2. Drilling of a borehole at Oculukori
3. Drilling of a borehole at Akwoyo
4. Drilling of a borehole at Matmot
5. Drilling of a borehole at Aweayela
6. Drilling of a borehole at Kakira
7. Drilling of a borehole at Tegweng
8. Drilling of a borehole at Ngobadi
9. Construction of a 5 Stance latrine at Engwenya Trading Centre.

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>All water infrastructure projects for the current FY were screened for environmental and social risks/impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. For instance;</p> <ol style="list-style-type: none"> 1. Borehole rehabilitation in selected sites Rehabilitation of 8 boreholes in Abako, Okokolako, Ajobi, Ogogoro, Ojoloki, Ebule, Owelo, primary schools and Oloo central at UGX. 64,396,000 2. Hydrological survey, Drilling and installation of deep boreholes at UGX. 212,650,000 3. Construction of Amugu Rural growth center piped water system - phase 1 at UGX. 180,000,000 	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>There was evidence that the water infrastructure investments, were incorporated in the LG approved procurement plan that was signed on 4th August, 2023 by the CAO, Abenaitwe Robert. The planned infrastructure projects planned included Construction of a 5-stance VIP latrine at Engwenaya trading centre at Ugx- 26M, hydrogeological survey, drilling and installation of 8 boreholes at Ugx 193M/= and partial construction of Amugo piped water supply at Ugx 147M.</p>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>There was evidence that the water supply and public sanitation infrastructure for the previous FY, were approved by the Contracts Committee before commencement of construction. This was done in the sitting of 8th September, 2022 which approved the evaluation report and contract award for siting, drilling and installation of 9 deep production wells in minute 05/cc/08/09/2022.</p>	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>There was evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines. This was in a letter dated 9th August, 2022 signed by the CAO.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>		<ul style="list-style-type: none"> • Otyeno Bony- DWO- as Contract manager • Puche David Ag. DE as project manager • Okello Patrick- DNRO • Auma Dorcas Vicky- DCDO • Bua Paulino Ogwal- Labour officer <p>Opio Tom Richard Clerk of works</p>	
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>All the water and public sanitation infrastructure sampled were constructed in conformity to the standard designs provided by the District Water Officer for example a boreholes in Ot-jok village of Awei S/C, the platform stand was 600mm by 600mm and the apron depth and width was 100mm, respectively as prescribed on the designs that were obtained from the DWO.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2</p>	<p>There was evidence to show that the relevant technical officers carried out monthly technical supervision of WSS infrastructure projects as was noted in a letter dated 24th April, 2023 by the Assistant Engineering officer for water.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts</p>	<p>There was evidence that the DWO did verify works and payments were initiated. However, payments to the contractors were not within specified timeframes in the contracts for example;</p>	0
	<p><i>Maximum 14 points on this performance measure</i></p>	<p>o If 100 % contracts paid on time: Score 2 o If not score 0</p>	<ol style="list-style-type: none"> 1. Voucher no.6441725 dated 28th June 2023 for Ushs 21,251,614 with certificate no. 1 Dated 2nd May 2023; contract no. ALEB804/WRKS/22-23/00015, Construction of 1 line drainable Latrine at Adwir by Nakabation Company Ltd was certified and verified by the District water Officer on 29th May 2023, payment was initiated on 2nd May 2023 and made on 28th June 2023 which was more than 30 days. 2. Voucher no.6440848 dated 28th June 2023 for Ushs 56,003,200 with certificate no. 1 Dated 2nd May 2023; contract no. ALEB804/WRKS/22-23/00003, Rehabilitation of 12 boreholes by Pride Investment Ltd was certified and verified by the District water Officer on 14th June 2023, payment was initiated on 2nd May 2023 and made on 28th June 2023 which was more than 30 days. 	
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:</p>	<p>There was evidence that a complete procurement file for water infrastructure investments was in place for each contract with all records as required by the PPDA Law. The files reviewed were;</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>	<p>Score 2, If not score 0</p>	<p>Project: Siting, drilling and installation of 2-production wells</p> <p>Procurement ref: Aleb804/wrks/2022-2023/00002, documents on file were;</p> <ul style="list-style-type: none"> • Signed works contract dated 20th January, 2023 with Aadhira Solutions limited • Evaluation report dated 31st August, 2023 • Contracts Committee minutes date 8th September, 2022 • Solicitor General letter dated 19th January, 2023 signed by Nyeko Joseph • PP1 form, call for bids, issue and receipt of bids, letters of offer and acceptance among the documents 	

on file.

Project: Siting, drilling and installation of 2 production wells

Procurement ref:
Aleb804/wrks/2022-2023/00002 had these documents on file

- Signed works contract dated 10th October, 2022 with Aadhira solution limited
- Evaluation report dated 31st August, 2022
- Contracts Committee dated 8th September, 2022
- PP1 form, call for bids, issue and receipt of bids record, supervision reports among those documents on file.

Project: Rehabilitation of 12 boreholes

Procurement ref:
Aleb804/wrks/2022-2023/00003, had these documents

- Signed works contract dated 10th October, 2022 with Pride Investments Limited
- Evaluation reported 31st August, 2022
- Contracts Committee minutes dated 8th September, 2022
- PP1 form, call for bids, issue and receipt of bids record, acceptance and offer letters among the documents on file

Environment and Social Requirements

13

Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework

Maximum 3 points this performance measure

Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:

Score 3, If not score 0

The DWO in liaison with the District Grievances Redress Committee handled a complaint on the 14th August, 2022 in a Grievances Redress Committee meeting under Min 5/1/8/2022: Grievances Reported where issues raised concerned the change of site for drilling a borehole from Abongonyeko village to Olarocika village where discussed and it was resolved that the issue be forwarded to works committee and the savings from the water department be used for drilling another borehole at Abongonyeko village.

3

14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>There were no records availed at the time of assessment on disseminated guidelines on water source & catchment protection and Natural resource management to CDOs.</p>	0
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p>	<p>Water source protection plans and natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented for example, a plan for borehole drilling and construction of production wells for FY 2022-2023 dated 14th April, 2022 and the screening plans were availed as below;</p> <ol style="list-style-type: none"> 1. Borehole/production well drilling at Abako Corner village prepared on 12th July, 2022 2. Borehole drilling at Akamdini village prepared on 12th July, 2022 3. Borehole drilling/production well at Aloji Town Council prepared on 13th July, 2022 4. Borehole drilling at Alolololo primary school prepared on 13th July, 2023 5. Borehole drilling at Angetta Seed Secondary School prepared on 14th July, 2022 6. Borehole drilling at Aton-moo village prepared on 14th July, 2022 7. Borehole drilling at Ayele-pe village prepared on 15th July, 2022 8. Borehole drilling at Ayon-kedi village prepared on 15th July, 2022 9. Borehole drilling at Ot-Jok village prepared on 18th July, 2022 10. Borehole drilling at Oyoo-Alwak village prepared on 18th July, 2022 11. Borehole drilling at Punu-Jobi village prepared on 19th July, 2022 	3

Safeguards in the
Delivery of Investments

*Maximum 10 points on
this performance
measure*

b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:

Score 3, If not score 0

There was evidence that all the WSS projects were implemented on land where the LG had proof of consent. The DWO presented a file of all the land agreements for all the WSS projects that were implemented in the previous FY. Below were some of the agreements that were sampled by the assessor:-

1). Land agreement signed on 2nd May, 2023 between Ogwang Alfred and the community of Punujobi village of Abia S/C. This agreement was signed by Obong James the LCI together with Okullo Joshua, and Otim Bonny on behalf of the community.

2). Land agreement signed on 8th June, 2023 between Ogwal James Peter and the community of Aparpar village of Angetta S/C, and was signed by Ogwal Nelson the LCI with Atia Sam Nelson, and Bua Sam on behalf of the community.

3). Land agreement signed on 6th May, 2023 between Acen Lucy and the community of Akamdini village of Amugu S/C, it was also signed by Okello Alfred the LCI with Aceng Anna and Okabo Robert on behalf of the community.

15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:</p> <p>Score 2, If not score 0</p>	<p>The Environment and Social Compliance Certification(E & S) for water projects that were implemented in the previous FY were all signed by the District Environment Officer and District Community Development Officer as seen below;</p> <ol style="list-style-type: none"> 1. Environment and Social Compliance Certification (E & S) for the Oyo aiwak borehole drilling in Akura S/C at Completion signed by District Environment Officer on 7th July 2023 and the District Community Development Officer on the 7th July 2023. 2. Environment and Social Compliance Certification (E & S) for the Atonmoo borehole drilling in Apala S/C at Completion signed by District Environment Officer on 7th July 2023 and the District Community Development Officer on the 7th July 2023. 3. Environment and Social Compliance Certification (E & S) for the Ayon kedi B borehole drilling in Omoro S/C at Completion signed by District Environment Officer on 7th July 2023 and the District Community Development Officer on the 7th July 2023. 4. Environment and Social Compliance Certification (E & S) for the Punojobi borehole drilling in Abia S/C at Completion signed by District Environment Officer on 7th July 2023 and the District Community Development Officer on the 7th July 2023.
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 2, If not score 0</p>	<p>A monitoring report dated 14th April, 2023 for the water projects was availed as proof that the CDO and Environment Officers monitored to ascertain compliance with ESMPs and provide monthly reports for all water sector projects.</p>

**Micro-scale
Irrigation
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>The LG presented data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries.</p> <p>Updated report on Micro-irrigation activities implementation in Alebtong DLG dated 30th June 2023 by SAO - Edward Showed the following summary</p> <p>Irrigated land in 2021/2022 was 30.125 acres .</p> <p>In the financial years 2022 and 2023, the total acreage of irrigated land amounted to 33.125 acres, with 3 acres specifically allocated to demonstration sites. These sites include the Amugo Agro Technical Institute, with the agreement signed on March 17, 2023, the Abia Seed SS, signed on March 20, 2023, and the host farmer, Alani Leo, whose agreement was signed on March 17, 2023.</p>	2
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>This district LG had 30.125 acres of irrigated land in FY 2021/2022.</p> <p>In the FY 2022/ 2023, the LG had installed three Demo sites covering a total of three (3) acres totaling 33.125acres</p> <p>Increase in acreage.</p> <ul style="list-style-type: none"> • Percentage Increase=(New Acreage–Old Acreage) / Old Acreage X (100) • • Percentage Increase=(3 / 30.125) X100 <p>Percentage Increase ≈ 0.099 X100</p> <p>Percentage Increase ≈ 0.099 X100</p> <p>Percentage Increase ≈ 9.9%</p> <p>Therefore, the percentage increase in acreage from FY 2021/2022 to FY 2022/2023 was approximately 9.9%.</p>	2

2	<p>N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%, score 4 • 60% - 70%, score 2 • Below 60%, score 0 	<p>The average score in the micro-scale irrigation for LLG performance assessment for the current year under review was 78% as per the OPAMS.</p>	4
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6</p>	<p>a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0</p>	<p>It was evident that the development component of the micro-scale irrigation grant was used on eligible activities. E.g.</p> <p>Approved annual work plan and budget FY 22/23 prepared by the DAO, verified by the DPO and approved by the CAO, Alex Chelimo on 19th January 2023. The work plan reveals that.</p> <p>i). Awareness raising of leaders at the parish, LLG, and LG was costed at 29,482,000Ugx (15% Max).</p> <p>li). Awareness raising for farmers 76,620,000 (40% of the budget)</p> <p>iii). Farm visits cost UGX 29,482,000 (15% Min).</p> <p>iv). Demos, equipment, and machinery cost UGX 58,965,000 (30% Max).</p> <p>The annual budget performance report for the financial year 2022/2023, dated 22nd August 2023 summarized the following expenditures.</p> <p>i). Amount spent on awareness raising among local leaders = UGX 29,020,000</p> <p>ii). Amount spent on awareness of farmers = UGX 66,390,000</p> <p>iii). Amount spent on farm visit and data collection = UGX 40,124,000</p>	2
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6</p>	<p>b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0</p>	<p>No evidence was provided, and no approved Farmer Acceptance Forms were signed by farmers. The CFO noted that the LG was still in the first year of implementing micro-scale irrigation.</p>	0

3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>Evidence that the variations in the contract price are within +/- 20% of the Agriculture Engineers estimates: Score 1 or else score 0</p>	<p>Variations in the contract price were within +/-20% of the Agriculture Engineer's estimates excluding the cost of agricultural inputs was calculated as follows.</p>	1
			<p>SAE costed figure = UGX 58,965,000</p>	
			<p>Contractor's costed figure = UGX 53,970,000</p>	
			<p>Percentage Contract Variation = ((Contractor's Costed Figure - SAE Costed Figure)/SAE Costed Figure)X100</p>	
			<p>Percentage Contract Variation = ((53,970,000- 58,965,000)/58,965,000)X100</p>	
			<p>Percentage Contract Variation ≈ -0.0848×100</p>	
			<p>Percentage Contract Variation ≈ -8.48%</p>	
			<p>Therefore, the percentage contract variation was approximately -8.48%. The negative sign indicated a decrease in the contractor's costed figure compared to the SAE costed figure.</p>	
			<p>Hence the variation was within +/- 20% of the engineer's estimates.</p>	
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY</p> <ul style="list-style-type: none"> • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0 	<p>By the end of the financial year 2022/2023, contracts for the supply and installation of Micro-scale irrigation equipment had not been signed, and the installation/completion of the three demonstration sites had not started as planned in the workplan . The project was not implemented during that financial year. However, it was initiated and is currently being executed in the current financial year.</p>	0
4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>a) Evidence that the LG has recruited LLG extension workers as per staffing structure</p> <ul style="list-style-type: none"> • If 100% score 2 • If 75 - 99% score 1 • If below 75% score 0 	<p>The LG approved staff structure provided for 48 extension workers and 14 were filled at the time of assessment= 29%.</p>	0

4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF • If 100% score 2 or else score 0	By the end of the financial year 2022/2023, the supply and installation of micro-scale irrigation equipment had not been implemented as planned. Therefore, this indicator could not be assessed.	0
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4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	b) Evidence that the installed micro-scale irrigation systems during last FY are functional • If 100% are functional score 2 or else score 0	By the end of the financial year 2022/2023, the supply and installation of micro-scale irrigation equipment had not been implemented as planned. Therefore, this indicator could not be assessed, and no irrigation site was sampled or visited.	0
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Performance Reporting and Performance Improvement

5	Accuracy of reported information: The LG has reported accurate information Maximum score 4	a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0	A comparison of the staff list at the LG and those displayed at the 3 LLGs visited (Akura Sub County, Aloï Town Council and Alebtong Town Council) tallied. The following extension workers were accurately deployed; <ol style="list-style-type: none"> 1. Okullo Edward- Agricultural Officer, Akura Sub County 2. Okori Bonny- Assistant Agricultural Officer- Aloï Sub County 3. Adoko Jacob, Assistant Animal Husbandry Officer- Alebtong Town Council 4. Oder Silvan, Assistant Animal Husbandry Officer- Akura Sub County 5. Owera Emmanuel, Assistant Fisheries Officer- Alebtong Town Council 	2
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5	Accuracy of reported information: The LG has reported accurate information Maximum score 4	b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0	By the end of the financial year 2022/2023, the supply and installation of micro-scale irrigation equipment had not been implemented as planned. Therefore, this indicator could not be assessed.	0
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Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans

Maximum score 6

a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0

There was evidence that information was collected quarterly on newly irrigated land, functionality of irrigation equipment installed, provision of complementary services, and farmer EOI.

For example, quarterly progress reports, compiled by AO and endorsed by the CAO, were submitted on the following dates: Q1 (12th October 2022), Q2 (12th January 2023), Q3 (15th May 2023), and Q4 (15th August 2023). It was worth noting that the report for Q4 was submitted late.

Q4 report indicated that farmer registrations for expressing interest totaled 408. The total number of prepared farm visits was 298, out of which 283 visits were conducted. Among these, 247 visits were deemed successful, while 27 farmers were not successful.

Q3 report indicated that awareness events for farmers had a participation rate of 31.3% for females and 68.7% for males.

Q2 report indicated that awareness-raising events for local leaders were conducted at the district level, technical and political leaders, and cultural and religious leaders.

Q1 had no activities done since the budget and work plan had not been approved and the DLG had not received funds by the end of those quarters.

Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans

Maximum score 6

b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0

An up-to-date Local Local Government (LLG) information entry was made into the Management Information System (MIS). For instance, MIS reports displayed 418 Expressions of Interest (EOI), with 298 of them being prepared farm visits. Among these, 283 farm visits were conducted. Additionally, workshops and seminars aimed at raising awareness among farmers recorded a total participation of 2064 individuals.

During the assesment, the District Agricultural Officer (DAO) accessed his Irri Track application. The assessor then cross-verified the data on farm visits by comparing it with the information output in the MIS database.

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0	There was evidence that the LG prepared quarterly reports using information compiled from LLGs in the MIS. Quarterly reports, signed by the Chief Agricultural Officer (CAO), were submitted for Q1(12th October 2022), Q2 (12th January 2023), Q3 (15th May 2023), and Q4 (15th August 2023). These reports meticulously presented crucial statistics derived from the Management Information System (MIS) dashboard. Notably, the reports included graphical representations of data, such as the Expression of Interest (EOIs) across the 14 Sub-counties, 83 awareness-raising events spanning 69 parishes, and 14 Local Level Governments (LLGs).	1
	Maximum score 6			
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	There was no evidence that the LG had developed an approved Performance Improvement Plan (PIP). Alebtong was a Phase II district and PIP was only applicable to Phase I districts	0
	Maximum score 6			
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	There was no evidence that the LG had Implemented Performance Improvement Plans for lowest performing LLGs since Alebtong was not assessed in the previous assessment exercise.	0
	Maximum score 6			

Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	The LG had budgeted for extension workers as per guidelines/in accordance with the staffing norms at Ugx 568,911,000/=.	1
	Maximum score 6			

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

Maximum score 6

ii Deployed extension workers as per guidelines score 1 or else 0

The LG had deployed extension workers as per guidelines as below;

1. Okullo Edward- Agricultural Officer, Sub County
2. Okullo James- Agricultural Officer, Abia Sub County
3. Owino Miriam, Agricultural Officer, Omoro Sub County
4. Ojok Joe, Fisheries- Apala Sub County
5. Obonyo Hillary, Assistant Agricultural Officer - Amugo Sub County
6. Okori Bonny- Assistant Agricultural Officer- Aloii Sub County'
7. Arayo Irene, Assistant Agricultural Officer- Abako Sub County
8. Adoko Jacob, Assistant Animal Husbandry Officer- Alebtong Town Cohncil
9. Akwec Isaac, Assistant Animal Husbandry Officer- Apala Sub County
10. Oyonga Moses, Assistant Animal Husbandry Officer- Amugo Sub County
11. Oder Silvan, Assistant Animal Husbandry Officer- Akura Sub County
12. Ogwang Innocent Maurice, Assistant Animal Husbandry Officer, Awei Sub County
13. Owera Emmanuel, Assistant Fisheries Officer- Alebtong Town Council
14. Egwar Lawrence, Assistant Fisheries Officer- Omoro Sub County

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

Maximum score 6

b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0

From the staff lists and attendance registers seen at the LLGs visited (Akura Sub County, Aloï Town Council and Alebtong Town Council) there was evidence that extension workers were working in LLGs where they are deployed. For instance;

1. Okullo Edward- Agricultural Officer, Akura Sub County
2. Okori Bonny- Assistant Agricultural Officer- Aloï Sub County
3. Adoko Jacob, Assistant Animal Husbandry Officer- Alebtong Town Council
4. Oder Silvan, Assistant Animal Husbandry Officer- Akura Sub County
5. Owerá Emmanuel, Assistant Fisheries Officer- Alebtong Town Council

2

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

Maximum score 6

c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0

There was evidence from the 3 LLGs visited (Akura Sub County, Aloï Town Council and Alebtong Town Council) that extension workers' deployment had been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice boards. For instance;

1. Okullo Edward- Agricultural Officer, Akura Sub County
2. Okori Bonny- Assistant Agricultural Officer- Aloï Sub County
3. Adoko Jacob, Assistant Animal Husbandry Officer- Alebtong Town Council
4. Oder Silvan, Assistant Animal Husbandry Officer- Akura Sub County
5. Owerá Emmanuel, Assistant Fisheries Officer- Alebtong Town Council

2

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has:</p> <p>i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0</p>	<p>The District Production Coordinator had appraised extension workers as below;</p> <ol style="list-style-type: none"> 1. Ojok Joe, Fisheries- Apala Sub County was appraised on 30th June 2023 by Ometo Sylvia, SAS 2. Obonyo Hillary, Assistant Agricultural Officer - Amugo Sub County, was appraised on 30th June 2023 by Okello Julius Peter, SAS 3. Arayo Irene, Assistant Agricultural Officer- Abako Sub County was appraised on 30th June 2023 by Ewach Denis, SAS 4. Adoko Jacob, Assistant Animal Husbandry Officer- Alebtong Town Council-was appraised on 30th June 2023 by Okello Bosco, Town Clerk 5. Akwec Isaac, Assistant Animal Husbandry Officer- Apala Sub County-was on study leave 6. Okullo Edward, Agriculture Officer was appraised on 30th June 2023 by Noki Charles DPO 7. Okullo James, Agricultural Officer was appraised on 30th June 2023 by Odongo Isaac, SAS 8. Ogwang Innocent Maurice, Assistant Animal Husbandry Officer, Awei Sub County was appraised on 30th June 2023 by Munu Sam, SAS 9. Owera Emmanuel, Assistant Fisheries Officer- Alebtong Town Council-was on study leave 10. Egwar Lawrence, Assistant Fisheries Officer- Omoro Sub County was appraised on 30th June 2023 by Ewach Denis, SAS 	1
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8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has;</p> <p>Taken corrective actions: Score 1 or else 0</p>	<p>The District Production Coordinator had taken corrective actions which included; training in Microsoft excel, financial management, project proposal writing, soil fertility analysis and value addition.</p>	1
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8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	b) Evidence that: i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0	There was a training plan prepared by Noki Charles, DPO on 27th July 2022. Some extension workers were on further studies for instance Ogwal Moses- Masters degree in Crop Science, Odero Silvano- Bachelor of Veterinary Medicine, and Akwech Isaac- Bachelor of Veterinary Medicine at Makerere University.	1
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	ii Evidence that training activities were documented in the training database: Score 1 or else 0	The LG had a training database indicating the staff on further studies. These included Ogwal Moses- Masters degree in Crop Science, Odero Silvano- Bachelor of Veterinary Medicine, and Akwech Isaac- Bachelor of Veterinary Medicine at Makerere University.	1

Management, Monitoring and Supervision of Services.

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10	a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 - 75% capital development; and 25% complementary services): Score 2 or else 0	The LG had appropriately allocated the micro scale irrigation grant between capital development (micro scale irrigation equipment) and complementary services The budget for Micro Scale irrigation during the year was UGX 196,548,000 of which UGX 147,411,000 representing 75% of the budget was allocated to Capital Development and UGX 49,137,000 representing 25% was allocated to Complimentary Services.	2
9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10	b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0	LG which was in phase 2 ,100% micro scale irrigation grant was allocated to complementary services as below; 15% LG awareness creation was Uhs.7,370,550 40% farmer awareness creation was Uhs.19,654,800. 30% irrigation demonstrations was Uhs.14,741,100 15% farmer visits was Ushs 7,370,550 According to Page 7 of Sector Grant guidelines.	2

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>There was no evidence of cofunding planned as per the current budget.</p>	0
<p>Maximum score 10</p>				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>No evidence was provided that the LG used the farmer co-funding following the same rules applicable to the micro scale irrigation grant since were still on stage two of implementation.</p>	0
<p>Maximum score 10</p>				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>There was evidence that the LG had disseminated information on use of the farmer co-funding e.g.,</p>	2
<p>Maximum score 10</p>				
<p>Ugift farmers' field days at the two demo sites at Amugo Agro Technical Institute and Awiepek farmer's Demo site dated 30th June 2023.</p>				
<p>Radio Talk Show: Coordination, Development, and running radio short messages on the UGIFT MSI program implemented between 22nd May to 31st July dated 27th June 2023.</p>				
<p>Awareness creation and registration for Expression of interest from MSI program in Awei Sub-County dated 20th March 2023.</p>				
<p>Farmer awareness raising meetings and farmer expression of interest (Eols) in 14LLGs and 69 Parishes between 26th February- 24th March dated 27th March 2023</p>				

10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines	a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)	By the end of the financial year 2022/2023, LG had not installed micro-scale irrigation equipment. Therefore monthly monitoring reports by DPO were not available.	0
	Maximum score 8	<ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 		
		<ul style="list-style-type: none"> • 70-89% monitored score 1 		
		Less than 70% score 0		
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines	b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0	Alebtong DLG was in its first year of implementation and has not reached the co-funding stage of MSI projects.	0
	Maximum score 8			
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines	c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0	There was no evidence that the Local Government (LG) provided hands-on support to the Local Level Government (LLG) extension workers during the implementation of complementary services in the previous financial year. Supervision reports were not on file, and minutes of field meetings were also not documented.	0
	Maximum score 8			
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	Alebtong DLG was in its first year of implementation and has not reached the stage of establishing farmer field schools.	0
	Maximum score 8			

11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0</p>	<p>There was evidence that the LG conducted activities to mobilize farmers as per guidelines, for example, the awareness-raising reports for the LLGs and Town Councils. These reports included;</p> <p>Ugift farmers' field days at the two demo sites at Amugo Agro Technical Institute and Awiepek farmer's Demo site dated 30th June 2023.</p> <p>Radio Talk Show: Coordination, Development, and running radio short messages on the UGIFT MSI program implemented between 22nd May to 31st July dated 27th June 2023.</p> <p>Awareness creation and registration for Expression of interest from MSI program in Awei Sub-County dated 20th March 2023.</p> <p>Farmer awareness raising meetings and farmer expression of interests (EoIs) in 14 LLGs and 69 Parishes between 26th February- 24th March dated 27th March 2023.</p>	2
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11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0</p>	<p>Evidence was presented about the training of staff and political leaders at the District and LLG levels. for example, an awareness raising for the district local government dated 24th March 2023</p>	2
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Investment Management

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0</p>	<p>There was no evidence of an updated register for micro-scale irrigation equipment supplied to the Local Government or host institutions in the previous financial year, 2022/2023 as no installations were carried out.</p>	0
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12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p>	<p>There was an up-to-date database of applications at the time of the assessment.</p> <p>At the time of assessment, hard copies of Expression of Interest (EOI) application forms were on file and verified in the Irri Track application and MIS database.</p>	2
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12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>There was evidence presented that the district had carried out farm visits to farmers who submitted complete Expressions of Interest (EOI).</p>	2
	<p>Maximum score 8</p>		<p>Based on MIS data in Q4 (dated 15th August 2023), it was revealed that out of 285 farm visits that were successfully prepared, 276 farm visits had been completed by the end of the previous financial year 2022/2023. This indicated a completion rate of approximately 96.8%.</p>	
			<p>Minutes of the District Technical Planning Committee (DTPC) dated 10th December 2023 in the current financial year approved/mandated the production department to proceed with the implementation of the program to the approved farmers.</p>	
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>There was no information found on the LLG noticeboards publicizing eligible farmer approval.</p>	0
	<p>Maximum score 8</p>			
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>There was evidence that the micro-scale irrigation systems were not incorporated in the LG approved procurement plan for the current FY, that was signed on 4th August by the CAO, Abenaitwe Robert.</p>	0
	<p>Maximum score 18</p>			
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>There was no evidence to show that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries.</p>	0
	<p>Maximum score 18</p>			

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0	There was no evidence that the LG concluded the selection of the irrigation equipment supplier, based on the set criteria as there was no MIS project implemented in the district in the year under review.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0	There was evidence that the micro-scale irrigation systems were approved by the Contracts Committee which sat on 6th June, 2023 in minute 04(d(i))/cc/06/2023 where the committee approved force on account to supply and installation of three micro-scale irrigation schemes.	1
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	There was no evidence to show that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation since no project was implemented in the year under review.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	There was Micro-scale irrigation equipment installed in the financial year 2022/2023. Therefore no evidence was found or captured.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0	There was no evidence to indicate that the Local Government (LG) conducted regular technical supervision of micro-scale irrigation projects through relevant technical officers.	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	h) Evidence that the LG has overseen the irrigation equipment supplier during: i. Testing the functionality of the installed equipment: Score 1 or else 0	Since there was no installation of the Micro-scale irrigation equipment in the financial year 2022/2023, there were no equipment testing activities for functionality.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	Since there was no installation of the Micro-scale irrigation equipment in the financial year 2022/2023, there was no evidence that LG had overseen the irrigation equipment supplier during the handover of the equipment to the approved farmer or Demo host institutions.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	The CFO noted that the LG is still in the implementation stage of micro-scale irrigation, no approved farmer have been selected yet.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	There was no procurement file that seen during the assessment.	0

Environment and Social Safeguards

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0	The Local Government (LG) had established a mechanism for addressing grievances related to micro-scale irrigation in reference to the LG grievance redress framework. There was a Grievance Redress Mechanisms (GRM) displayed in various public areas, including the Production department noticeboard and the LLG noticeboards.	2
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14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: i). Recorded score 1 or else 0 ii). Investigated score 1 or else 0 iii). Responded to score 1 or else 0 iv). Reported on in line with LG grievance redress framework score 1 or else 0	The assessment reviewed the Grievance Logo Book and found no records on grievances emanating from the micro-scale irrigation projects implemented during FY 2022/2023.	1
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	The assessment reviewed the Grievance Logo Book and found no records on investigated grievances that emanated from the micro-scale irrigation projects implemented during FY 2022/2023.	1
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	The assessment reviewed the Grievance Logo Book and found no records on grievances responded to from the micro-scale irrigation projects implemented during FY 2022/2023.	1
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iv. Reported on in line with LG grievance redress framework score 1 or else 0	The assessment reviewed the Grievance records of the LG on reported grievances that emanated from the micro-scale irrigation projects implemented during FY 2022/2023.	1

Environment and Social Requirements

15	Safeguards in the delivery of investments Maximum score 6	a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc. score 2 or else 0	<p>There was evidence that LGs disseminated Micro- irrigation guidelines to farmers to provide for proper siting, land access (without encumbrance), proper use of agrochemicals, and safe disposal of chemical waste containers. MoUs between LGs and beneficiary farmers (host institution) dated 6th April 2023 and 17th March 2023 were presented. Environmental, Social, and Climate Change screening for the irrigation sites were conducted on;</p> <ol style="list-style-type: none"> 1. Construction of a micro-scale irrigation demonstration site at Amugu Agro Technical Institute prepared on 29th August 2022 2. Construction of a micro-scale irrigation demonstration site at AloI prepared on 29th August 2022 3. Construction of a micro-scale irrigation demonstration site at Abia Seed Secondary School prepared on 31st August 2022 <p>The LG disseminated the information about Micro-scale the following guidelines.</p> <ol style="list-style-type: none"> 1. Micro-scale irrigation program improving farmers' livelihood guide for farmers dated April, 2023 part 1 and 2. 2. UgIFT Micro-Scale Irrigation Program Improving Farmers' Livelihood Technical Guidelines version 3, April 2023. 	2
15	Safeguards in the delivery of investments Maximum score 6	b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment. i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0	<p>The LG carried out Environmental, Social and Climate Change screening for the irrigation sites below;</p> <ol style="list-style-type: none"> 1. Construction of a micro-scale irrigation demonstration site at Amugu Agro Technical Institute prepared on 29th August, 2022 2. Construction of a micro-scale irrigation demonstration site at AloI prepared on 29th August, 2022 3. Construction of a micro-scale irrigation demonstration site at Abia Seed Secondary School prepared on 31st August, 2022 <p>ESMPs were prepared but not incorporated into the designs, BoQs, biding and contractual documents</p>	1

15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	Micro-scale irrigation projects were monitored for example in a report dated 3rd August, 2023 for the construction of micro-scale irrigation demonstration sites at Abia Seed Seed Secondary School, 2 site at Amugu Agro Technical Institute and 3 sites at Aloji village.	1
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	The Environment Officer signed certification forms for the micro-scale irrigation projects prior to payments of contractor invoices/certificates at interim and final stages of projects. Below were the certificates signed; 1. Certificate of compliance for the micro-scale irrigation demonstration sites at Akecotwongo Cell, Awepek Ward and Aloji Town Council issued on 10th August, 2023 2. Certificate of compliance for the micro-scale irrigation demonstration sites at Amugu Agro Technological Institute issued on 10th August, 2023	1
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	The CDO signed certification forms for the micro-scale irrigation projects prior to payments of contractor invoices/certificates at interim and final stages of projects. Below were the certificates signed; 1. Certificate of compliance for the micro-scale irrigation demonstration sites at Akecotwongo Cell, Awepek Ward and Aloji Town Council issued on 10th August, 2023 2. Certificate of compliance for the micro-scale irrigation demonstration sites at Amugu Agro Technological Institute issued on 10th August, 2023	1

**Crosscutting Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	Andeoye Stephen was substantively appointed as Chief Finance Officer on 3rd May 2013 under Minute No. 56/2013.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	Ochan Morris Gleins was substantively appointed as Senior Planner on 14th September 2017 under Minute no. DSC/70/2017.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	The LG had neither substantively appointed a District Engineer nor was there a seconded staff.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	Okello Patrick was substantively appointed as District Natural Resources Officer on 21st August 2020 under Minute no. DSC/38/2020.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	Noki Charles was substantively appointed as District Production Officer on 21st August 2020 under Minute no. DSC/37/2020.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	The LG had neither substantively appointed a District Community Development Officer nor was there a seconded staff.	0

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	Oluk Bob Harris was substantively appointed as District Commercial Officer on 4th May 2022 under Minute no. DSC/173/2022.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	Alok Suzan was substantively appointed as Senior Procurement Officer on 4th May 2022 under Minute no. DSC/190/2022.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	Alok Babra was substantively appointed as Procurement Officer on 19th August 2019 under Minute no. DSC/32/2019.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	Adongo Caroline was substantively appointed as Principal Human Resource Officer on 2nd June 2022 under minute no. DSC/200/2022.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	Atala John was substantively appointed as Senior Environment Officer on 14th September 2017 under Minute no. DSC/75-(i)/2017.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	k. Senior Land Management Officer /Physical Planner, score 2 or else 0	The position of Senior Land Management Officer was substantively filled by Ayella Joseph Ref. No. CR/D/156/2 appointed vide letter dated 21st August 2020 under DSC Min No. DSC/32/2020.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	l. A Senior Accountant, score 2 or else 0	Akii Denis Martin was substantively appointed as Senior Accountant on 10th November 2022 under Minute No. DSC/264/2022.	2

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0</p>	<p>Bere Peter Otyang was substantively appointed as Principal Internal Auditor on 19th August 2019 under Minute no. DSC/27/2019.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p>	<p>The LG had neither substantively appointed a Principal Human Resource Officer (Secretary DSC) nor was there a seconded staff.</p>	0
2	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG Maximum score is 15</p>	<p>a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).</p>	<p>The LG had 14 LLGs and had appointed Senior Assistant Secretaries (Sub-Counties) /Town Clerks (Town Councils) in 8 LLGS as below;</p> <ol style="list-style-type: none"> 1. Odongo Isaac Walter- Abia Sub County was appointed on 4th May 2022 under Minute No. DSC/189/2022 2. Okello Bosco- Omoro Sub County was appointed on 30th March 2012 under Minute no. 33(e)/2012 3. Ocan Vincent- Akura Sub County was appointed on 30th March 2012 under Minute no. 33(d)/2012 4. Ewach Denis-Abako Sub County was appointed on 30th March 2012 under Minute no. 33(a)/2012 5. Alunga Collins Constant- Aloi Town Council was appointed on 10th May 2013 under Minute no. 78/2013 6. Amongi Jaqueline- Apala Town Council was appointed on 3rd May 2013 under Minute no. 60/2013 7. Okwir Isaac-Alebtong Town Council was appointed on 3rd May 2013 under Minute no. 59/2013 8. Ometo Silva- Apala Sub County was appointed on 30th March 2012 under Minute no. 33(b)/2012 	0

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

The LG had 14 LLGs and had substantively appointed Community Development Officers/ Senior CDO in case of Town Councils, in 6 LLGS as below;

1. Atim Priscilla-Amugu Town Council was appointed on 24th October 2023 under Minute no. DSC/379/2023
2. Okidi Moses- Apala Town Council was appointed on 24th October 2023 under Minute no. DSC/381/2023
3. Acen Suzan-Abia Sub County was appointed on 24th October 2023 under Minute no. DSC/380/2023
4. Apiny Lillian-Apala Sub County was appointed on 16th May 2014 under Minute no. DSC/157/2014
5. Akello Christine-Aloi Sub County was appointed on 2nd June 2022 under Minute No. DSC/240/2022
6. Awor Hellen Omara-Awei Sub County was appointed on 21st August 2020 under Minute No. DSC 40/2020

2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

• The LG had 14 LLGs and had substantively appointed Senior Accounts Assistants /an Accounts Assistants to 7 LLGs as below;

1. Apita Ambrose
2. Adupa Nobert
3. Akello Lucy- Akura Sub County was appointed on 4th May 2022 under Minute no. DSC/194/2022
4. Okello William- Apala Town Council was appointed on 19th August 2019 under Minute no. DSC/29/2019
5. Akello Christine- Aloï Sub County was appointed on 21 August 2020 under Minute no. DSC/50-1/2020
6. Abua Lucy- Aloï Town Council was appointed on 4th May 2022 under Minute no. DSC/50-2/2020
7. Angole Francis- Akura Sub County was appointed on 21 August 2020 under Minute no. DSC/50-2/2020

0

Environment and Social Requirements

3

Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.

Maximum score is 4

If the LG has released 100% of funds allocated in the previous FY to:

a. Natural Resources department,

score 2 or else 0

The evidence derived from the final accounts for FY 2022/23 indicated that the LG released 100% for Natural Resources as per the computation below;

The warranted amount was UGX 271,590,885

Actual received by the LG by 30th June 2023 was UGX 271,370,718 (Draft Final Accounts 2022/23 page 16).

(UGX 271,370,718 /271,590,885)*100= 100%.

2

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	The evidence derived from the final accounts for FY 2022/23 indicated that the LG released 100% for community-based service as per the computation below;	2
	Maximum score is 4	b. Community Based Services department.	The warranted amount was UGX 160,829,235	
		score 2 or else 0.	The actual received by the LG by 30th June 2023 was UGX 160,829,235 (Draft Final Accounts 2022/23 page 16).	
			(UGX 160,829,235/UGX 160,829,235)*100=100%	
			This gives a variance of UGX 0. Therefore, the release was 100%.	
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	a. If the LG has carried out Environmental, Social and Climate Change screening,	There were no DDEG projects implemented in the previous FY therefore no Environmental, Social and Climate Change screening was carried out.	4
	Maximum score is 12	score 4 or else 0		
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development	Since there were no DDEG projects implemented and neither screening carried out in the previous FY therefore no Environment and Social Impact Assessments (ESIAs) were carried out.	4
	Maximum score is 12	Equalization Grant (DDEG),		
		score 4 or 0		
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development	Since there were no DDEG projects implemented and neither screening carried out in the previous FY therefore no costed ESMPs were prepared.	4
	Maximum score is 12	Equalization Grant (DDEG);;		
		score 4 or 0		

Financial management and reporting

5	<p>Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.</p> <p>Maximum score is 10</p>	<p>If a LG has a clean audit opinion, score 10;</p> <p>If a LG has a qualified audit opinion, score 5</p> <p>If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0</p>	<p>The LG received unqualified audit opinion for FY 2022/2023</p>	10
6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p> <p>maximum score is 10</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p> <p>score 10 or else 0.</p>	<p>LG provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous FY on 6th February 2022. The submission date was before the recommended date as required by end of February (PFMA s. 11 2g).</p>	10
7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p> <p>Maximum Score 4</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p> <p>score 4 or else 0.</p>	<p>The LG submitted an annual performance contract on 3rd July 2023 which was before the stipulated deadline of August 31st of the current FY.</p>	4
8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The LG submitted an online Annual Performance Report for the previous FY 2022/2023 on 15th August 2023 which was within the stipulated timeline of August 31, of the current Financial Year.</p>	4

Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year

Maximum score is 4

If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,

score 4 or else 0.

The LG submitted the Quarterly Budget Performance Reports (QBPRs) for all four quarters of the previous as per the dates below;

Quarter 1 BPR was submitted on 27th January 2022

Quarter 2 BPR was submitted on 21st February 2023

Quarter 3 BPR was submitted on 22nd May 2023

Quarter 4 BPR was submitted on 15th August 2023

From the above submission dates, the LG submitted the 4th quarter report before the mandatory deadline of August 31 of the current Financial Year

**Education Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office. <i>The Maximum Score of 70</i>	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	The LG had neither substantively appointed a District Education Officer nor was there a seconded staff.	0
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office. <i>The Maximum Score of 70</i>	b) All District/Municipal Inspector of Schools, score 40 or else 0.	The LG had appointed all District Inspector of Schools as below; <ol style="list-style-type: none">1. Olwit Moses was substantively appointed as Senior Inspector of School on 3rd May 2013 under Minute No. 69/20132. Okabo Pius was substantively appointed as Inspector of Schools on 4th May 2022 under Minute No. DSC/181/20223. Aol Dillis Faith was substantively appointed as Inspector of Schools on 2nd June 2023 under Minute No. DSC/242/2022	40

Environment and Social Requirements

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is 30

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

Environmental, Social and Climate Change Screening was carried out for all civil works for all Education sector Projects listed below;

1. Construction of Angetta Seed Secondary School-phase II prepared on 22nd July, 2022
2. Construction of Awei Seed Secondary School prepared on 27th July, 2022
3. Construction of a 5 stance latrine at Abongodyang primary school prepared on 8th August, 2022
4. Construction of a 5-stance latrine at Anara primary school prepared on 8th August, 2022
5. Renovation of a 4 classroom block at Abia primary school prepared on 17th August, 2022
6. Construction of Adwir Seed Secondary School prepared on 29th August, 2022
7. Construction of a micro-scale irrigation demonstration site at Abia Seed Secondary School prepared on 31st August, 2022
8. Construction of a micro-scale irrigation demonstration site at Amugu Agro Technical Institute prepared on 29th August, 2022
9. Borehole drilling at Alolololo primary school prepared on 13th July, 2023
10. Borehole drilling at Angetta Seed Secondary School prepared on 14th July, 2022

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is 30

If the LG carried out:

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

The projects that were implemented in the Education sector did not require Environment and Social Impact Assessments (ESIAs) because they are categorized under schedule 5 of the National Environment Act 5, 2019, of projects with simple environment and social mitigation measures with minimal level of impacts and only require screening and costing for environmental management planning.

Health Minimum Conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.	Odung Tonny was substantively appointed as District Health Officer on 24th August 2023 under Minute no. DSC/331/2023.	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0	Ayang Ambrose was substantively appointed as Assistant District Health Officer Maternal, Child Health and Nursing on 14th September 2017 under Minute no. DSC/64-(i)/2017.	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	c. Assistant District Health Officer Environmental Health, score 10 or else 0.	Ochen Anthony Mark was substantively appointed as Assistant District Health Officer Environmental Health on 21st August 2020 under Minute no. DSC/33/2020.	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.	The position of Principal Health Inspector (Senior Environment Officer) was not on the LG approved staff structure.	0
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	e. Senior Health Educator, score 10 or else 0.	The position of Senior Health Educator was not on the LG approved staff structure.	0

1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>Adong Hope was appointed as Biostatistician on 24th December 2012 under Minute no. DSC/69/2012.</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>Ayang Ambrose was substantively appointed as District Cold Chain Technician on 21st August 2020 under Minute no. DSC/36/2020.</p>	10
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>i. Principal Health Inspector, score 20 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>j. Health Educator, score 20 or else 0</p>		

Environment and Social Requirements

2	Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)	If the LG carried out: a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.	Environmental, Social and Climate Change screening was not carried out for all Health sector projects below but yet they were approved in the LG Approved Budget Estimates FY 2023/24 1. Construction of Art Clinic in Omoro at UGX. 41,777,000 2. Construction of Art Clinic in Abako at UGX. 41,777,000 3. Completion of Radiology unit at Alebtong HCIV at UGX. 44,550,000 4. Construction of twin staff house at Adwir HCII at UGX. 175,750,000	0
	Maximum score is 30			
2	Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)	b. Social Impact Assessments (ESIAs) , score 15 or else 0.	Since no screening was carried out for all Health sector projects therefore Social Impact Assessments (ESIAs) could not be verified.	0
	Maximum score is 30			

**Micro-scale Irrigation
Minimum Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p>	<p>If the LG has recruited;</p> <p>a. the Senior Agriculture Engineer</p> <p><i>score 70 or else 0.</i></p>	<p>The LG had neither substantively appointed a Senior Agricultural Engineer nor was there a seconded staff.</p>	0
Environment and Social Requirements				
2	<p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p>	<p>If the LG:</p> <p>Carried out Environmental, Social and Climate Change screening</p> <p><i>score 30 or else 0.</i></p>	<p>The LG carried out Environmental, Social and Climate Change screening for the irrigation sites below;</p> <ol style="list-style-type: none"> 1. Construction of a micro-scale irrigation demonstration site at Amugu Agro Technical Institute prepared on 29th August, 2022 2. Construction of a micro-scale irrigation demonstration site at AloI prepared on 29th August, 2022 3. Construction of a micro-scale irrigation demonstration site at Abia Seed Secondary School prepared on 31st August, 2022 <p>However, Environment and Social Impact Assessments (ESIAs) were not carried out because the micro-scale irrigation sites were categorized under schedule 5 of the National Environment Act 5, 2019, of projects with simple environment and social mitigation measures with minimal level of impacts and only required screening and costing for environmental management planning.</p>	30

**Water & Environment
Minimum Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	Otyeno Bonny was substantively appointed as a Senior Assistant Engineering Officer (Civil) on 3rd May 2013 under Minute no. 66/2013.	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	Okite Alfred was substantively appointed as Assistant Water Officer in Charge of Mobilization on 21st August 2020 under Minute no. DSC/49/2020.	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	The position of Borehole Maintenance Technician was substantively filled by Opio Bosco Ref. CR/D/156/1 appointed vide letter dated 16th March 2012 under DSC Min. No 22/2012	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	Okello Patrick was substantively appointed as District Natural Resources Officer on 21st August 2020 under Minute no. DSC/38/2020.	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	Okello Moses was substantively appointed as Environment Officer on 21st August 2020 under Minute no. DSC/48/2020.	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	f. Forestry Officer, score 10 or else 0.	The LG had neither substantively appointed a Forestry Officer nor was there a seconded staff.	0

Environment and Social Requirements

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

If the LG:

a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.

Environmental, Social and Climate Change screening was carried out for all civil works on all water sector projects listed below;

1. Borehole/production well drilling at Abako Corner village prepared on 12th July, 2022
2. Borehole drilling at Akamdini village prepared on 12th July, 2022
3. Borehole drilling/production well at Aloï Town Council prepared on 13th July, 2022
4. Borehole drilling at Alolololo primary school prepared on 13th July, 2023
5. Borehole drilling at Angetta Seed Secondary School prepared on 14th July, 2022
6. Borehole drilling at Aton-moo village prepared on 14th July, 2022
7. Borehole drilling at Ayele-pe village prepared on 15th July, 2022
8. Borehole drilling at Ayon-kedi village prepared on 15th July, 2022
9. Borehole drilling at Ot-Jok village prepared on 18th July, 2022
10. Borehole drilling at Oyoo-Alwak village prepared on 18th July, 2022
11. Borehole drilling at Punu-Jobi village prepared on 19th July, 2022
12. Latrine construction at Adwir Market prepared on 19th July, 2022

2	Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.	The projects that were implemented in the Water sector did not require Environment and Social Impact Assessments (ESIAs) because they are categorized under schedule 5 of the National Environment Act 5, 2019, of projects with simple environment and social mitigation measures with minimal level of impacts and only require screening and costing for environmental management planning.	10
2	Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.	There was no abstraction permit presented for assessment since there were no piped water supply works carried out during the year under review. The District Water Officer however, presented a drilling permit for the contractor who drilled the boreholes for the FY in review. The permit details were: permit number was LIR05/DP-00001/2022/RR, it was issued on 24th May, 2022, valid for one year from 1st July, 2022 to 30th June 2023. It was issued to AADHIR SOLUTIONS LTD.	10